no structure → " **Words** " → just "strings"

non-terminal   OR   terminal

$digit = "0" | ... | "9".$ ← def!

                    informal

production

recursion

$integer = digit | integer\ digit.$

→ integer is any sequence of digit with at least one digit!

$integer = digit\ \{\ digit\ \}.$ ← repeat zero or more times

→ we do not need recursion!

$letter = "A" | ... | "Z" | "a" | ... | "z".$

$identifier = letter\ \{\ letter | digit\ \}.$

→ identifier is any sequence of letter and digit starting with a letter
   why?

→ scanner knows if it is dealing with an identifier or an integer at first symbol!

Sentences

start symbol · optional · grouping

leftmost derivation

expression = ["-"] term { ("+" | "-") term } .
term = factor { ("*" | "/") factor } .
factor = identifier | integer | "(" expression ")" .

produce · stack (push)
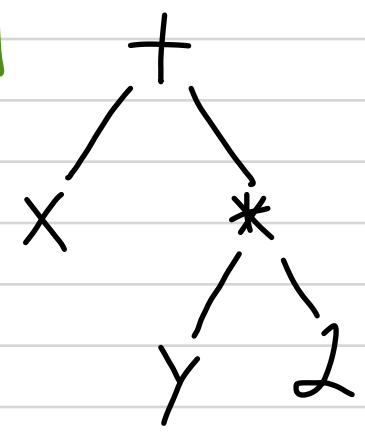
```
x+y*2 (I)    # expression
x+y*2 (P)    # ["-"] term { ( "+" | "-" ) term }
x+y*2 (M)    # term { ( "+" | "-" ) term }
x+y*2 (P)    # factor { ( "*" | "/" ) factor } ...
x+y*2 (P)    # identifier | integer | "(" expression ")" ... ...
+y*2 (M)     # { ( "*" | "/" ) factor } ...
+y*2 (M)     # { ( "+" | "-" ) term }
y*2 (M)      # term { ( "+" | "-" ) term }
...          ...
*2 (M)       # { ( "*" | "/" ) factor } ...
2 (M)        # factor { ( "*" | "/" ) factor } ...
...          ...
(M)          #
```
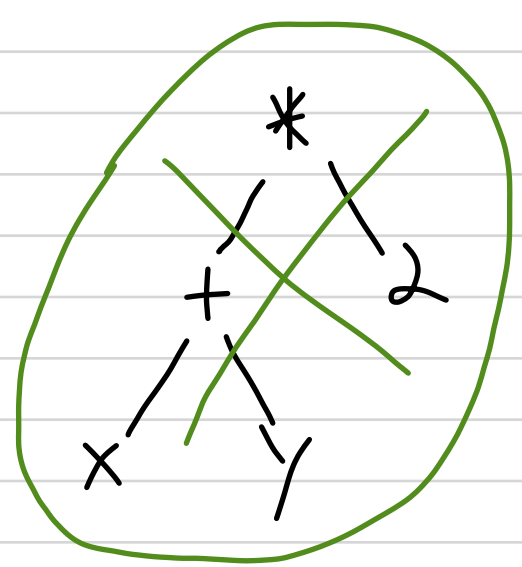
lookahead = 1

top-down parsing

match

syntax tree

not

→ each sentence must have exactly one syntax tree
• structure determines semantics !

Languages

a language is defined by a grammar which consists of:

1. a set of terminal symbols written in quotes which cannot be substituted (also called vocabulary)
2. a set of non-terminal symbols which can be substituted
3. a set of syntactic equations (also called productions)
4. a start symbol (non-terminal)

the language is the set of sequences (sentences) of terminal symbols which, starting with the start symbol, can be generated by repeated application of syntactic equations (we only consider so-called leftmost derivations here)

- What is the fundamental difference between identifiers and and expressions?

⤳ the language of identifiers is <u>regular</u>!

a language is regular if it can be defined by a single production

⤳ the language of expressions is <u>not</u> regular (but <u>context-free</u>)! (productions apply in <u>any</u> context)

⟶ scanner scans identifiers, parser parses expressions, why?

⤳ makes parsing independent of (regular) vocabulary

⤳ focus on structure!

"recursive-descent" → Parser Example

```
expression = [ "-" ] term { ( "+" | "-" ) term } .
term = factor { ( "*" | "/" ) factor } .
factor = identifier | integer | "(" expression ")" .
```

```
expression() {
  if (symbol == MINUS)
    getSymbol();
  term();
  while (symbol == PLUS || symbol == MINUS) {
    getSymbol();
    term();
  }
}
```

optimized (red annotation)

```
if (symbol == MINUS)
  getSymbol();
else
  error();
```

similarly optimized (red annotation)

```
term() {
  factor();
  while (symbol == TIMES || symbol == DIV) {
    getSymbol();
    factor();
  }
}
```

optimized (red annotation)

```
if (symbol == PLUS)
  getSymbol();
else if (symbol == MINUS)
  getSymbol();
else
  error();
```

"+" or "-" expected! (red annotation)

```
factor() {
  if (symbol == IDENTIFIER)
    getSymbol();
  else if (symbol == INTEGER)
    getSymbol();
  else if (symbol == LPAREN) {
    getSymbol();
    expression();
    if (symbol == RPAREN)
      getSymbol();
    else
      error();
  } else
    error();
}
```

")" expected! (green annotation)

ID..., INT..., "(" expected! (green annotation)

implements

| ← OR

How to Construct a Parser I

→ we need the syntax of grammars (defined using a grammar) ☺

```
syntax = { production } .
production = nonterminal "=" expression "." .
nonterminal = identifier .
expression = term { "|" term } .
term = factor { factor } .
factor = terminal | nonterminal |
"[" expression "]" | "{" expression "}" | "(" expression ")" .
terminal = """ character { character } """ .
```

"printable character"

→ Extended Backus-Naur Form (EBNF)

[] {}   recursion only (plus simply sequence Φ)
Wirth 1977   Backus, Naur 1960

Parser<nonterminal = expression>:

```
nonterminal() {
  Parser<expression>
}
```

← implement a procedure for each nonterminal!

Parser<terminal>:

```
if (symbol == Token<terminal>)
  getSymbol();
else
  error();
```

← expected

pure structure

↓

Parser<(expression)>:

Parser<expression>

How to Construct a Parser II

```
Parser<term_0 | ... | term_n>:

if (isIn(symbol, First<term_0>)) {
  Parser<term_0>
...
} else if (isIn(symbol, First<term_n>)) {
  Parser<term_n>
} else
  error();
```

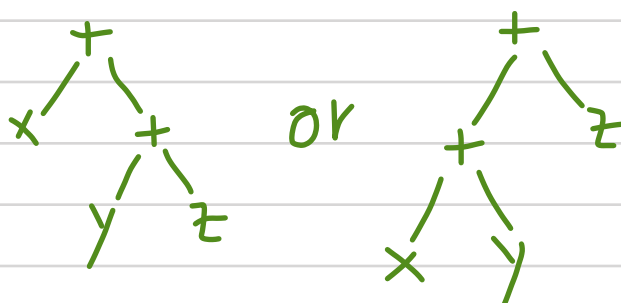the set of symbols with which term_0 may start (first set)!

→ we require that

$$\forall 0 \leq i, j \leq n.\ i \neq j \Rightarrow First<term_i> \cap First<term_j> = \{\}$$

⤳ avoids ambiguity!

implement first set check efficiently through smart token encoding

expression = identifier | expression "+" expression .

x + y + z :

or

a grammar is called ambiguous if there is a sentence that can be generated in different ways by the grammar

e.g.: later:
symbol ≥ "A"
&
symbol ≤ "z"

a language is inherently ambiguous if it can only be generated by ambiguous grammars

⤳ no problem here because of associativity of +
(but what if we replace + by -?)

→ requires grammar engineering (later)

How to Construct a Parser Ⅲ

```
Parser<factor_0 ... factor_n>:

Parser<factor_0>
...
Parser<factor_n>
```

$\longrightarrow$ we require that

$$\forall 0 \leq i < n. \{\} \in \text{First}<\text{factor}_i> \Rightarrow \text{First}<\text{factor}_i> \cap \text{First}<\text{factor}_{i+1}> = \{\}$$

```
Parser<[ expression ]>:

if (isIn(symbol, First<expression>)) {
  Parser<expression>
}
```

$\rightsquigarrow$ we require that

$$\text{First}<\text{expression}> \cap \underbrace{\text{Follow}<\text{expression}>}_{\text{the set of symbols that may follow the expression (Follow set)!}} = \{\}$$

same here

```
  Parser<{ expression }>:

  while (isIn(symbol, First<expression>)) {
    Parser<expression>
  }
```

Grammar Engineering

1. remove ambiguity through precedence
2. remove left recursion
3. left-factor

expression
term
factor
$\vdots$

$$A = A\alpha \mid \beta \quad \longleftarrow$$

subexpressions

$$A = \beta \{\alpha\}$$

$$A = \alpha\beta$$
$$A = \alpha\gamma$$
or $\quad A = \alpha\beta \mid \alpha\gamma$

$$A = \alpha B$$

new nonterminal

$$B = \beta \mid \gamma$$

Such that $First<\beta> \cap First<\gamma> = \{\}$
(introduce new keywords if necessary)

FSM and PDA

specification   (P)   implementation

↓ characters

regular
expressions | lexical
                       analysis | scanner
                                            (finite state
                                             machine, FSM)

↓ tokens

context-free
languages | syntax
                     analysis | parser
                                          (pushdown
                                           automaton, PDA)

↓ syntax tree

types | type
                 checking | type checker

↓ attributed tree

- Where is the FSM in the scanner?
  → states are implicit in code location!
  → code implements transitions!

- where is the PDA in the (recursive-descent) parser?
  → same as scanner, and the stack?
  → the stack is implemented by the procedure call stack!

- where is the type checker?
  → will be in the arguments of the parser procedures!

Bottom-up Parsing

"stop" symbol

init

expression = ["-"] term { ("+" | "-") term } .
term = factor { ("*" | "/") factor } .
factor = identifier | integer | "(" expression ")" .

shift ← stack (push)

lookahead = 1

(I) # x+y*2
(S) identifier # +y*2
(R) factor # +y*2   reduce
(R) term # +y*2
(S) term + # y*2
(S) term + identifier # *2   rightmost
(R) term + factor # *2   derivation
(S) term + factor * # 2
(S) term + factor * integer #
(R) term + factor * factor #
(R) term + term #
(R) expression #

read left to right
(input)

- bottom-up parsing: LR (k) ← lookahead

reduce at right end

produce at left end
(of rules)

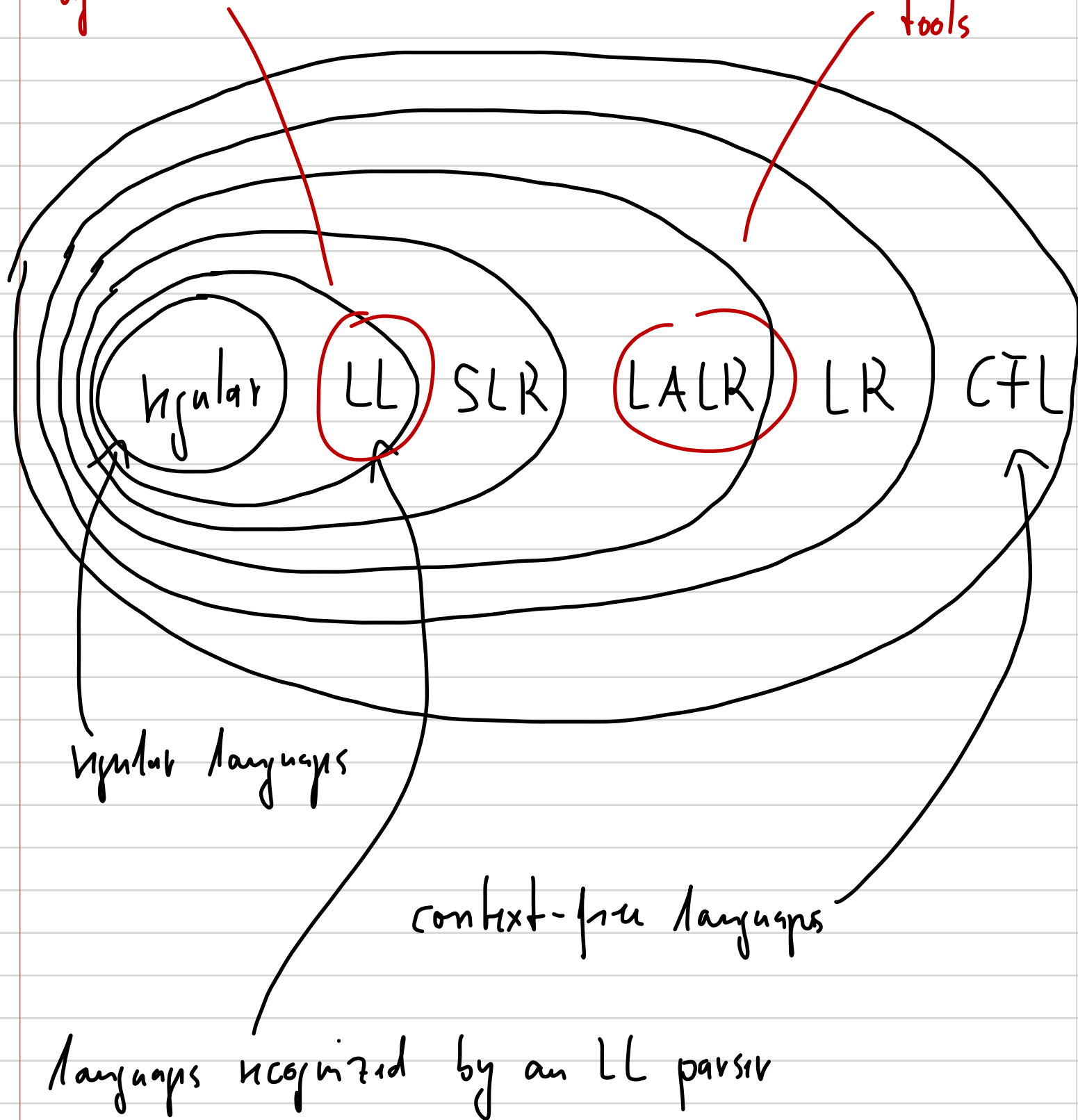- top-down parsing: LL (k)

- simple LR (SLR), lookahead LR (LALR),   represent
FSMs

based on parsing tables
generated by parser tools

Hierarchy

easy to write
by hand

common in
tools

regular

LL SLR LALR LR CFL

regular languages

context-free languages

languages recognized by an LL parser

Attributed Grammars and Semantics

expression(v0) = term(v1) . *left recursion only for demo*   v0 = v1

expression(v0) = expression(v1) "+" term(v2) .   v0 = v1 + v2

expression(v0) = expression(v1) "-" term(v2) .   v0 = v1 - v2

term(v0) = factor(v1) .   v0 = v1

term(v0) = factor(v1) "*" factor(v2) .   v0 = v1 * v2

term(v0) = factor(v1) "/" factor(v2) .   v0 = v1 / v2

factor(v0) = integer(v1) .   v0 = v1

factor(v0) = "(" expression(v1) ")" .   v0 = v1

syntactic   semantic

```
expression() {
  term();
  while (symbol == PLUS || symbol == MINUS) {
    getSymbol();
    term();
  }
}
```

attribute could also be expressed by call-by-reference parameter

```
int expression() {
  int value;
  value = term();
  while (symbol == PLUS || symbol == MINUS)
    if (symbol == PLUS) {
      getSymbol();
      value = value + term();
    } else {
      getSymbol();
      value = value - term();
    }
  return value;
}
```

attribute in return parameter

⟶ *calculator for integer expressions!*

Attributed Grammars and Types

```
expression(T0) = term(T1) .                          T0=T1
expression(T0) = expression(T1) "+" term(T2) .  T0=T1 T1==T2
expression(T0) = expression(T1) "-" term(T2) .  T0=T1 T1==T2
term(T0) = factor(T1) .                              T0=T1
term(T0) = factor(T1) "*" factor(T2) .               T0=T1 T1==T2
term(T0) = factor(T1) "/" factor(T2) .               T0=T1 T1==T2
factor(T0) = integer(T1) .                           T0=T1
factor(T0) = "(" expression(T1) ")" .                T0=T1
```

type

constraint!

```
expression() {
  term();
  while (symbol == PLUS || symbol == MINUS) {
    getSymbol();
    term();
  }
}
```

to be defined

efficient!

```
type_t expression() {
  type_t type;
  type = term();
  while (symbol == PLUS || symbol == MINUS)
    if (symbol == PLUS) {
      getSymbol();
      type = resolveType(PLUS, type, term());
    } else {
      getSymbol();
      type = resolveType(MINUS, type, term());
    }
  return type;
}
```

attribute in return parameter

implements type system

- single rule for multiple types
- values vs. types : actual type unknown at compile time
- static typing approximates semantics without executing code!
- static typing single most successful compile-time debugging tool

Attributed Grammars and Code

```
expression = term .
expression = expression "+" term .          emit(+)
expression = expression "-" term .          emit(-)
term = factor .
term = factor "*" factor .                   emit(*)
term = factor "/" factor .                   emit(/)
factor = integer(value) .                    emit(value)
factor = "(" expression ")" .
```

target machine operator (green annotation pointing to emit(*), emit(/))

from scanner (green annotation pointing to emit(value))

```
expression() {
  term();
  while (symbol == PLUS || symbol == MINUS) {
    getSymbol();
    term();
  }
}
```

infix to postfix! (green annotation)

```
expression() {
  term();
  while (symbol == PLUS || symbol == MINUS)
    if (symbol == PLUS) {
      getSymbol();
      term();
      emit(+)
    } else {
      getSymbol();
      term();
      emit(-);
    }
}
```

implicit attributes huh: code! (green annotation)

→ code generation as soon as first sentential structure has been recognized: single-pass compiler!