GRAPHING FLEAS

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ABSTRACT. We examine the evolution of a one-dimensinal lattice of labeled points. The points' labels change over time according to a rule. We prove that under our formulation of the problem every finite sequence of two distinct labels can be reached by a single rule. We then derive the amount of time required to reach every such sequence of a given length.

1. Introduction

In this paper, we examine patterns on a one-dimensional lattice, on which each point has a state associated with it. A flea travels along the lattice, and the state of a point may change when the flea visits it. Consider the following starting configuration:

$$W_0: \ldots 40 \mid \overrightarrow{3}1 \ldots$$

We number the points $\dots, -2, -1, 0, 1, 2, \dots$ A vertical bar (|) separates points -1 and 0. The numbers at each point represent the state of that point. Here, points -1, 0, and 1 begin in states 0, 3, and 1 respectively. The flea, represented by an arrow, begins at the origin, pointed in the positive direction.

The state of the point on which the flea is located at time t determines both the state of that point at time t+1 and whether the flea moves forward or reverses direction to arrive at its new location at time t+1. The precise behavior will be determined by a rule associated with the setting. For example, the rule may specify that if the flea, at a given time step, is on a point in state 3, then that point will be in state 2 at the next time step, and the flea will reverse direction. In this case, the following configuration would succeed the one shown above:

$$W_1: \ldots 4 \overleftarrow{0} \mid 2 1 \ldots$$

We begin by precisely defining the terminology that we will use in the rest of the paper. We then present the main result of the paper, our finding that in a universe with three states, a single rule can generate

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every possible finite sequence of two of those states. Finally, we derive the number of time steps required to reach every sequence of a given length.

2. Definitions and Notation

Before we prove our main result, we need to define several terms. Throughout this paper, all indices are zero-indexed (so if k is a sequence of elements, then k [0] is the first element in the sequence. \mathbb{N} refers to the set of non-negative integers, and S^* refers to the set of all (possibly empty) finite sequences whose elements are in the set S.

Definition 2.1. A <u>world</u> W is a tuple $W = (t, \ell, d), t : \mathbb{Z} \to \mathbb{N}, \ell \in \mathbb{Z}, d \in \{-1, 1\}.$

Here, t maps each point on the lattice to a state. We use the nonnegative integers \mathbb{N} to name the states. This is an arbitrary choice, but it is notationally simple. l specifies the location of the flea on the lattice, and d specifies the direction of the flea. The following is an example of a world:

$$W: \ldots 132 \mid \stackrel{\longleftarrow}{2} 01 \ldots$$

As suggested in the introduction, the larger space is between points -1 and 0. Here, the flea is at point 0, so $\ell = 0$. The flea is pointed to the left, so d = -1. t maps each point to a state, so t(-1) = 2, t(0) = 2, and t(1) = 0, etc.

Definition 2.2. For $s \in \mathbb{N}$, an <u>s-rule</u> r is a function $r : \{0, ..., s - 1\} \rightarrow \{0, ..., s - 1\} \times \{-1, 1\}$.

r describes the change in worlds between time steps. The first element of the output of r describes the new state of the point ℓ where the flea existed. The second element of the output specifies whether or not the flea switches direction before stepping forward.

Consider the effect on the world $W=(t,\ell,d)$, described under Definition 2.1, of the 4-rule r:r(0)=(2,1),r(1)=(0,-1),r(2)=(1,-1),r(3)=(0,1). The new world would look like this:

$$W' = (t', \ell', d') : \dots 132 \mid 1\overrightarrow{0}1\dots$$

In W, $\ell=0$ (that is, the flea is on point 0), and point 0 is in state 2. Because r(2)[0]=1, point 0 is in state 1 in W'. That is, t'(0)=1. All other points' states are unchanged. Because r(2)[1]=-1, the flea reverses direction before stepping forward. So d'=-d=1 and $\ell'=\ell+d\times-1=1$.

If r were applied again, this time to W', then we would get the world:

$$W'' = (t'', \ell'', d'') : \dots 132 \mid 12\overrightarrow{1}\dots$$

Definition 2.3. The <u>evolution</u> W^r of a world W under a rule r is the sequence $(W_i)_{i=0}^{\infty}$ of worlds obtained by iteratively applying r to W.:

For example, the evolution of $W: \ldots 1\ 3\ 2 \ 2\ 0\ 1 \ldots$ under the rule, r: r(0) = (2,1), r(1) = (0,-1), r(2) = (1,-1), r(3) = (0,1), begins as:

$$W_0: \dots 1 \quad 3 \ 2 \mid \stackrel{\longleftarrow}{2} \ 0 \ 1 \dots$$

 $W_1: \dots 1 \quad 3 \ 2 \mid 1 \ \stackrel{\longleftarrow}{0} \ 1 \dots$
 $W_2: \dots 1 \quad 3 \ 2 \mid 1 \ 2 \ \stackrel{\longleftarrow}{1} \dots$

This is just the sequence of worlds W, W', W'', \ldots described below Definition 2.3. Each world in the sequence is obtained by applying r to the world before it.

Definition 2.4. Let $b = (b_i)_{i=0}^{|b|-1} \in (\mathbb{N})^*$ be a finite sequence of positive integers. We say that world $W = (t, \ell, d)$ <u>contains</u> b if $t(i) = b_i \ \forall 0 \le i \le |b| - 1$.

For example, W_0 , described below Definition 2.3, contains the sequences (2), (2,0), and (2,0,1).

Definition 2.5. We say that an evolution W^r <u>accepts</u> $b \in (\mathbb{N})^*$ if $\exists W_i \in W^r$ such that W contains b.

For example, the evolution W^r , described below Definition 2.3, accepts (1,0,1), because $W_1 \in W^r$ contains (1,0,1).

Definition 2.6. For $k \in \mathbb{N}$, we say that a rule r is k-complete if the evolution $(t_0 : n \mapsto 0, 0, 1)^r$ accepts every element of $\{0, \dots, k-1\}^*$.

In the following section, the main part of this paper, we show the existence of a 2-complete rule.

3. A 2-Complete Rule

Given our above notion of k-completeness, we now demonstrate by construction the existence of a 2-complete rule. Let \mathring{r}_2 be the following 3-rule:

$$\mathring{r}_2: 0 \mapsto (1, -1), \ 1 \mapsto (2, 1), \ 2 \mapsto (0, 1)$$

We will also write \mathring{W}_2 to denote the evolution of the initial world under \mathring{r}_2 . With these definitions, we can now present our main result.

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$$W_0: \dots \underbrace{0 \mid \overrightarrow{0} \dots}_{W_1: \dots \overleftarrow{0} \mid 1 \dots}_{W_2: \dots 1 \mid \overrightarrow{1} \dots}$$

FIGURE 1. \mathring{W}_2 in the first 2 columns.

$$W_i: \dots 593\overrightarrow{0}70\dots$$

$$\downarrow W_{i+2}: \dots 593\overrightarrow{1}70\dots$$

FIGURE 2. Example substitution of 00 with 11.

Theorem 3.1. \mathring{r}_2 is 2-complete.

Before we embark on a formal proof of the theorem, let's look at the worlds in \mathring{W}_2 . Consider only the first few worlds (t,ℓ,d) in which $-1 \le \ell \le 0$, as shown in Figure ??. The next world W_3 has $\ell > 0$, so we stop. The key observation is that, given any world W_i in the evolution containing 00, W_{i+2} will be the same world with 00 replaced by 11. Figure ?? shows an example.

Let's use this to list the first few worlds (t, ℓ, d) in which $-2 \le \ell \le 1$, as shown in Figure ??. The next world W_{12} has $\ell > 1$ so we stop. Note how we were able to use the worlds W_0 to W_2 to determine worlds W_8 to W_{10} . We will perform one more iteration and list the first few worlds (t, ℓ, d) in which $-3 \le \ell \le 2$, as shown in Figure ??.

The next world has $\ell > 2$ so we stop. There is a very noticeable pattern: if we write 0^k and 1^k to mean a sequence of k zeroes and ones, respectively, we have that, after some number of steps, $0^k \overrightarrow{0} \ 0^{k-1}$ turns into $1^k 2^{k-1} \overrightarrow{1}$. In fact, we can strengthen this hypothesis and prove the resulting statement by induction:

Lemma 3.2. For every $k \in \mathbb{Z}^+$, there exists $i_k \in \mathbb{Z}^+$ such that:

(1)
$$W_{i_k-1} = (t_{i_k}, k-1, 1)$$
, where

$$t_{i_k-1}(n) = \begin{cases} 0 & , \ n < -k \ or \ k \le n \\ 1 & , \ -k \le n < 0 \ or \ n = k-1 \\ 2 & , \ 0 \le n < k-2 \end{cases}$$

(2) For each world $W_i = (t_i, \ell_i, d_i)$ in the first i_k worlds of \mathring{W}_2 , $-k \leq \ell < k$.

FIGURE 3. \mathring{W}_2 in the first 4 columns.

FIGURE 4. \mathring{W}_2 in the first 6 columns.

(3) For every finite sequence $b \in \{0,1\}^k$ of length k, some world W_i in the first i_k worlds of \mathring{W}_2 contains b.

Proof: We proceed by induction on k. The truth of this statement for the base case k=1 was demonstrated in Figure ?? with $i_1=3$. Note that $W_2=(t_2,0,1)$, where t_2 satisfies the properties in (1) in the statement of the lemma. Furthermore, W_0 contains 0, W_1 contains 1, and for all of W_0 , W_1 , W_2 , we have $-1 \le \ell < 1$. It follows that (2) and (3) are also satisfied.

Now suppose the statement holds for some $k = k' \in \mathbb{Z}^+$. We first show that property (1) holds for k = k' + 1. By our induction hypothesis, property (1) gives that $W_{i_{k'}}$ can be written as $01^{k'}2^{k'-1}\overrightarrow{1}$ 0. Using \mathring{r}_2 , we find that the subsequent worlds in \mathring{W}_2 are:

$$01^{k'}2^{k'-1}\overrightarrow{1}0 \implies 01^{k'}2^{k'}\overrightarrow{0} \implies \dots \implies \overleftarrow{0}2^{k'}0^{k'}1 \implies \dots$$
$$\implies 10^{k'}\overrightarrow{0}0^{k'-1}1$$

Note that we have $\mathbf{0}^{k'}\overrightarrow{\mathbf{0}}\mathbf{0}^{k'-1}$ in the latter world. Using property (2), we have that we can use property (1) again, as exemplified in Figure ??:

$$10^{k} \overrightarrow{0} 0^{k'-1} 1 \implies \dots \implies 11^{k'} 2^{k'-1} \overrightarrow{1} 1 = 1^{k'+1} 2^{k'-1} \overrightarrow{1} 1$$
$$\implies 1^{k'+1} 2^{k'} \overrightarrow{1}$$

This proves that (1) holds for k = k' + 1, taking $i_{k'+1}$ to be one more than the index of the world $1^{k'+1}2^{k'}\overrightarrow{1}$. Also, it is clear that $-(k'+1) \le \ell < k'+1$ in the above steps so that (2) also holds.

It suffices to show that (3) holds. That is, that every sequence in $\{0,1\}^{k'+1}$ is contained by some world in the first $i_{k'+1}$ worlds of \mathring{W}_2 . By our induction hypothesis, every sequence in $\{0,1\}^{k'}$ is contained by some world in the first $i_{k'}$ worlds of \mathring{W}_2 . Since $\ell_i < k'$ for each such world, we must have that $t_i(k') = 0$ for these worlds, so that every sequence in $\{0,1\}^{k'} \times \{0\}$ is also contained by these worlds. It remains to show that the sequences in $\{0,1\}^{k'} \times \{1\}$ are also so contained. But this follows from our proof of (1): we had that the world $10^{k'} 0 0^{k'-1} 1$ occurs in the first $i_{k'+1}$ worlds of \mathring{W}_2 , $i_{k'}$ steps after which we have the world $11^{k'} 2^{k'-1} 1$. In between these worlds, however, we clearly have worlds that contain each sequence in $\{0,1\}^{k'} \times \{1\}$.

Thus our induction is complete and we conclude that the result holds for all $k \in \mathbb{Z}^+$. \square

This lemma immediately gives us the 2-completeness of \mathring{r}_2 : given any sequence $b \in \{0,1\}^*$, it is clear that \mathring{W}_2 accepts it. In particular, there exists a world in the first $i_{|b|}$ worlds of \mathring{W}_2 that contains it. This completes the proof. \blacksquare

Our analysis of \mathring{W}_2 has thus far been confined to the non-negative axis. For completeness, it is worth considering how \mathring{W}_2 behaves on the negative axis. In fact, \mathring{r}_2 generates each sequence symmetrically on the negative axis. We will make a brief digression and make this claim more precise:

Definition 3.3. We will say a sequence <u>negatively contains</u> a sequence if the string appears in reverse on the negative axis, starting at location -1. We will say an evolution <u>negatively accepts</u> a sequence if some world in it negatively contains it. For fear of obscuring what is a quite natural definition, we will omit formulating these definitions with our notation from Section ??.

Our previous claim can now be written as follows:

Theorem 3.4. If \mathring{W}_2 accepts $b \in \{0, 1, 2\}^*$, then \mathring{W}_2 negatively accepts b.

As noted in Definition 3.3, we will avoid going into the same level of detail as we did in the proof of Theorem 3.1. A sketch of the proof is as follows.

Proof: For each world (t, ℓ, d) , consider whether ℓ is non-negative or negative. Now consider splitting \mathring{W}_2 into contiguous subsequences where ℓ is either always non-negative or always negative. Finally pair the first two such subsequences, then the next two, and so on, ad infinitum.

For example, making reference to Figures ?? and ??, we have that ℓ is non-negative in W_0 , negative in W_1 , non-negative from W_2 to W_4 , negative from W_5 to W_7 , etc., giving the following sequence:

$$((W_0), (W_1)), ((W_2, W_3, W_4), (W_5, W_6, W_7)), ((W_8), (W_9)), \dots$$

Again, note that each element in this sequence is a pair of contiguous subsequences of \mathring{W}_2 . It can be shown by induction that for each pair $((W_j), (W_k))$ of subsequences in this sequence, the following properties are satisfied:

- (1) The length of (W_i) is equal to the length of (W_k) .
- (2) The r^{th} world in (W_k) can be derived as follows: the non-negative axis is the same as the non-negative axis of the last element of (W_j) and the negative axis is the same as the reverse of the non-negative axis of the r^{th} world in (W_j) .

This immediately gives the desired result: if W_2 accepts some sequence b, then there exists a world with non-negative ℓ that contains b.

Thus the world must be an element of the second subsequence in some element of the above sequence. Suppose this world is the r^{th} world of (W_k) in the pair $((W_j), (W_k))$. By the second property above, the r^{th} world of (W_j) must negatively contain b. \square

4. "Efficiency" of Completeness

In the previous section, we showed that \mathring{r}_2 is 2-complete, i.e. the evolution \mathring{W}_2 accepts every sequence in $\{0,1\}^*$. We also showed that \mathring{W}_2 negatively accepts every sequence in $\{0,1\}^*$. Next, we look at the number of steps it takes \mathring{r}_2 to generate all sequences in $\{0,1\}^*$ of length at most n, both starting from 0 forwards and starting from -1 backwards. Since there are 2^n binary sequences of length n, we expect \mathring{r}_2 to take time at least on the order of 2^n to generate all these sequences. It turns out that \mathring{r}_2 takes time exactly on the order of 2^n , and we shall develop this result below.

Definition 4.1. For a positive integer $n \in \mathbb{Z}^+$, let T_n denote the number of steps it takes \mathring{r}_2 to generate all binary sequences of length at most n. That is, let T_n be the minimum integer $i \in \mathbb{N}$ such that for every non-empty binary sequence $b \in \{0,1\}^*$ of length at most n, there exist integers j and k such that $0 \le j, k \le i$, the world $W_j \in \mathring{W}_2$ contains b, and the world $W_k \in \mathring{W}_2$ negatively contains b.

The following Lemma describes the progression from W_0 to W_{T_n} in \mathring{W}_2 . While developing the form of W_{T_n} , we shall come up with a recurrence describing T_n .

Lemma 4.2. For any $n \in \mathbb{Z}^+$, the world $W_{T_n} \in \mathring{W}_2$ has the form

$$W_{T_n}: \ldots 0 \quad 1^n \mid \overrightarrow{1} \quad 1^{n-1} \quad 0 \quad \ldots$$

and the progression from W_0 to W_{T_n} does not step outside of the locations between -n and n-1, inclusive.

Proof: We prove this by induction on n.

The result can be easily seen for the base case, n=1, where we have $T_1=2$. There are exactly two non-empty binary sequences of length at most 1, namely 0 and 1. As shown in Figure ??, W_0 contains and negatively contains 0, W_1 contains 1, and W_2 negatively contains 1. Note that the world W_2 has exactly the desired form, and the progression from W_0 to W_2 does not step outside of locations -1 and 0.

Now for n > 1, suppose that the world $W_{T_{n-1}}$ has the form

$$W_{T_{n-1}}: \ldots 0 \quad 1^{n-1} \mid \overrightarrow{1} \quad 1^{n-2} \quad 0 \quad \ldots$$

FIGURE 5. Progression from W_0 to $W_{T_n} = W_{2T_{n-1}+4n-2}$.

and that the progression from W_0 to $W_{T_{n-1}}$ does not step outside of the locations between -(n-1) and n-2, inclusive. Let us use this inductive assumption to step through the evolution \mathring{W}_2 until we reach the world W_{T_n} . The progression from W_0 to W_{T_n} is shown in Figure ??. Based on our assumption, between worlds W_0 and $W_{T_{n-1}}$, we generate all binary sequences of length n ending in a n0. Next, between worlds $W_{T_{n-1}+4n-2}$ and $W_{T_{n-1}+4n-2}$, we generate all the remaining sequences of length n, namely the ones ending in a n1. Therefore, the last world in the sequence, $W_{T_{n-1}+4n-2}$, is indeed W_{T_n} . It has the desired form, and the progression to it from W_0 does not step outside of the locations between -n and n-1, inclusive. This completes the induction.

The following Corollary gives us a recurrence for T_n , which we shall solve to get a closed form expression.

Corollary 4.3. For any $n \in \mathbb{Z}^+$, we have

$$T_n = 2 \cdot T_{n-1} + 4n - 2.$$

Proof: This recurrence follows directly from the conclusion in Lemma ?? that

$$W_{T_n} = W_{2T_{n-1}+4n-2}.$$

Finally, we state T_n in closed form by solving the recurrence.

Theorem 4.4. $T_n = 6 \cdot 2^n - 4n - 6$.

Proof: We can prove this equality by induction. First we check the base case, n = 1:

$$T_1 = 6 \cdot 2^1 - 4 \cdot 1 - 6 = 2.$$

Next, for n > 1, let us assume that $T_{n-1} = 6 \cdot 2^{n-1} - 4(n-1) - 6$. With this inductive assumption and the recurrence from Corollary ??, we can solve for T_n :

$$T_n = 2T_{n-1} + 4n - 2$$

= $2(6 \cdot 2^{n-1} - 4(n-1) - 6) + 4n - 2$
= $6 \cdot 2^n - 4n - 6$.

This completes the induction.

Corollary 4.5. T_n grows on the order of 2^n .

Proof: This follows directly from the expression for T_n .

5. Further Work

In this paper, we have discussed in detail a 3-rule \mathring{r}_2 that is 2-complete. It is easy to show that there does not exist a 2-rule that is 2-complete by looking at all 16 2-rules. An interesting question to ask is whether there exists an s-rule that is s-complete for some integer s > 2.

Another unrelated question we considered is identifying the conditions on an s-rule r that would result in the flea being bounded between two locations in \mathbb{Z} . There are some very simple conditions we can develop such as if $r(0) = (\sigma, 1)$ for any $\sigma \in \{0, \ldots, s-1\}$, then, starting from the world $W_0 = (t(n) = 0 \forall n, 0, 1)$, the flea will always step to the right, which means that it would be unbounded. It would be interesting to develop an algorithm that can check the boundedness of a flea under any given rule.