

# Introductory Functional Analysis with Applications Solutions

Michael You



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Part I

Metric Spaces





# Chapter 1

## Metric Spaces

### 1.1 Metric Space

We spend this chapter introducing a metric space and building some intuition around it. From the definition below, if we can show they are true, then we have a metric space.

**Definition 1** A **metric space** is a pair  $(X, d)$  where  $X$  is a set, and  $d$  is a *metric on  $X$*  (or *distance function on  $X$* ), that is, a function defined on  $X \times X$  such that for all  $x, y, z \in X$  we have:

1.  $d$  is real-valued, finite and nonnegative
2.  $d(x, y) = 0$  if and only if  $x = y$
3.  $d(x, y) = d(y, x)$  (Symmetry)
4.  $d(x, y) \leq d(x, z) + d(z, y)$  (**Triangle inequality**)

#### Exercise 1.1.1

Let's show that  $\mathbb{R}$  is a metric space. Since the author didn't specify, I assume they mean the standard metric  $d(x, y) = |x - y|$ .

In this case, we can show

1.  $d(x, y) = |x - y| \geq 0$ , by definition of absolute value. It is finite, since  $x, y$  are finite, and you can bound  $|x - y|$  by  $|x| + |y|$  which is also finite. And it is  $\in \mathbb{R}$  by definition of absolute value.
2. If  $x = y$  then  $d(x, y) = |x - y| = 0$ . If  $d(x, y) = 0$ , then  $|x - y| = 0$ , which means  $x - y = 0$ , or  $x = y$ .
3.  $d(x, y) = |x - y| = |y - x| = d(y, x)$ .
4.  $d(x, y) = |x - y| = |x - z + z - y| \leq |x - z| + |z - y| = d(x, z) + d(z, y)$

#### Exercise 1.1.2

Same as 1.1.1, but for  $d(x, y) = (x - y)^2$ .

Counterexample for Triangle Inequality: Let  $x = 0, y = 2, z = 1$ . Then, we have

$$\begin{aligned} d(x, y) &= (2 - 0)^2 = 4 \\ d(x, z) + d(z, y) &= (0 - 1)^2 + (1 - 2)^2 = 1 + 1 = 2 \\ 4 &\not\leq 2 \end{aligned}$$

So it is **not a metric**.

#### Exercise 1.1.3

Same as 1.1.1, but for  $d(x, y) = \sqrt{|x - y|}$ .

We can show

1.  $d(x, y) = \sqrt{|x - y|} \geq 0$ , by definition of absolute value and square root. It is finite, since  $x, y$  are finite, and you can bound  $\sqrt{|x - y|}$  by  $\sqrt{|x| + |y|}$  which is also finite. And it is  $\in \mathbb{R}$  by definition of absolute value and square root.
2. If  $x = y$  then  $d(x, y) = \sqrt{|x - y|} = 0$ . If  $d(x, y) = 0$ , then  $\sqrt{|x - y|} = 0$ , which means  $|x - y| = 0$ , or  $x - y = 0$ , or  $x = y$ .
3.  $d(x, y) = \sqrt{|x - y|} = \sqrt{|y - x|} = d(y, x)$ .
4.  $d(x, y) = \sqrt{|x - y|} = \sqrt{|x - z + z - y|} \leq \sqrt{|x - z|} + \sqrt{|z - y|} = d(x, z) + d(z, y)$   
The inequality step comes from the fact that  $\sqrt{a + b} \leq \sqrt{a} + \sqrt{b}$  for nonnegative  $a, b$ , because

$$\begin{aligned} & (\sqrt{a} + \sqrt{b})^2 \leq (\sqrt{a + b})^2 \\ & a + b + 2\sqrt{ab} \geq a + b \end{aligned}$$

So it is a metric.

#### Exercise 1.1.4

We need to find all metrics on a set  $X$  consisting of two points.

Let  $X = \{a, b\}$  with  $a \neq b$ . Suppose we have the following metric:

$$d(x, y) = \begin{cases} 0 & x = y \\ c & x \neq y, c > 0 \end{cases}$$

We can verify 1, 2, 3, from 1 very easily. The main caveat, we needed  $c > 0$  for 2, for  $d(x, y) = 0$  iff  $x = y$ . Then, for 4 (Triangle Inequality), we can check all possible cases:

1. If  $x = y$ , then  $d(x, y) = 0 \leq d(x, z) + d(z, y)$
2. if  $x \neq y$ , then we have two more cases
  - (a) if  $x = z$ , then  $z \neq y$  and we have  $d(x, z) + d(z, y) = c \geq c = d(x, y)$
  - (b) if  $x \neq z$ , then we have  $z = y$  and similarly  $d(x, z) + d(z, y) = c \geq c = d(x, y)$

If  $X$  consists of one point, then the only metric is the trivial one where  $d(x, x) = 0$ . This is because, if we have any other metric, then  $d(x, x) > 0$  which violates property 2.

#### Exercise 1.1.5

Suppose  $d$  is a metric on  $X$ .

1. Suppose we have  $kd$ . Then this is still a metric if  $k > 0$ . We can verify the four properties:
  - (a)  $kd(x, y) \geq 0$  since  $d(x, y) \geq 0$  and  $k > 0$ . It is finite since  $d$  is finite and  $k$  is a finite scalar.
  - (b)  $kd(x, y) = 0$  iff  $d(x, y) = 0$  iff  $x = y$ .
  - (c)  $kd(x, y) = kd(y, x)$ , we can just scale property 3
  - (d)  $kd(x, y) \leq kd(x, z) + kd(z, y)$  we can just scale property 4 the triangle inequality.
2. Suppose we have  $d + k$ . Then this is only a metric for  $k = 0$ . If  $k \neq 0$  then property 2 is immediately dissatisfied, since  $d(x, x) + k = k \neq 0$ .

#### Exercise 1.1.6

We want to show the sequence space  $l^\infty$  is a metric space.

1.  $d(x, y) = \sup_{n \in \mathbb{N}} |x_n - y_n| \geq 0$  since absolute value is nonnegative. It is finite since  $x, y \in l^\infty$  means they are bounded sequences by  $c_x \in \mathbb{R}$ , so their difference is also bounded. And it is  $\in \mathbb{R}$  since absolute value produces real numbers.

2. If  $x = y$ , then  $d(x, y) = \sup |x_n - y_n| = 0$ . If  $d(x, y) = 0$ , then  $\sup |x_n - y_n| = 0$ , which means for all  $n$ ,  $|x_n - y_n| = 0$ , or  $x_n = y_n$  for all  $n$ , so  $x = y$ .
3.  $d(x, y) = \sup |x_n - y_n| = \sup |y_n - x_n| = d(y, x)$ .
4.  $d(x, y) = \sup |x_n - y_n| = \sup |x_n - z_n + z_n - y_n| \leq \sup |x_n - z_n| + \sup |z_n - y_n| = d(x, z) + d(z, y)$ .

**Exercise 1.1.7**

Supposed  $A$  is the subset of  $l^\infty$  where each sequence is composed of 0 or 1.

The induced metric then behaves like this:

$$d(x, y) = \begin{cases} 0 & x = y \\ 1 & x \neq y \end{cases}$$

**Exercise 1.1.8**

We have  $X$  as the set of all real-valued functions, and are defined and continuous on a closed interval  $J = [a, b]$ . We now choose the metric

$$d(x, y) = \int_a^b |x(t) - y(t)| dt \quad (1.1)$$

Let's check this is a metric

1.  $d(x, y) = \int_a^b |x(t) - y(t)| dt \geq 0$  since absolute value is nonnegative. It is finite since  $x, y$  are continuous on a closed interval, so they are bounded, and the integral of a bounded function over a finite interval is finite. And it is  $\in \mathbb{R}$  since the integral of a real-valued function is real.
2. If  $x = y$ , then  $d(x, y) = \int_a^b |x(t) - y(t)| dt = \int_a^b |0| dt = 0$ . If  $d(x, y) = 0$ , then  $\int_a^b |x(t) - y(t)| dt = 0$ , which implies  $|x(t) - y(t)| = 0$  for all  $t \in [a, b]$ , so  $x(t) = y(t)$  for all  $t \in [a, b]$ .
3.  $d(x, y) = \int_a^b |x(t) - y(t)| dt = \int_a^b |y(t) - x(t)| dt = d(y, x)$ .
4.  $d(x, y) = \int_a^b |x(t) - y(t)| dt = \int_a^b |x(t) - z(t) + z(t) - y(t)| dt \leq \int_a^b |x(t) - z(t)| dt + \int_a^b |z(t) - y(t)| dt = d(x, z) + d(z, y)$ .

**Exercise 1.1.9**

We want to show that the discrete metric for  $X$  is a metric, this is

$$d(x, x) = 0, d(x, y) = 1 \text{ for } x \neq y \quad (1.2)$$

1.  $d(x, y)$  is either 0 or 1, so it is nonnegative, finite, and real-valued.
2. By definition,  $d(x, y) = 0$  iff  $x = y$ .
3. By definition,  $d(x, y) = d(y, x)$ .
4. For the triangle inequality, we have two cases:
  - (a) If  $x = y$ , then  $d(x, y) = 0 \leq d(x, z) + d(z, y)$
  - (b) If  $x \neq y$ , then we have two more cases
    - i. if  $x = z$ , then  $z \neq y$  and we have  $d(x, z) + d(z, y) = 1 \geq 1 = d(x, y)$
    - ii. if  $x \neq z$ , then we have  $z = y$  and similarly  $d(x, z) + d(z, y) = 1 \geq 1 = d(x, y)$

**Exercise 1.1.10**

**(Hamming distance)** Let  $X$  be all set of ordered triples of 0s and 1s.

We immediately see that  $X$  has  $2^3 = 8$  elements.

Now,  $d(x, y)$  = number of positions where  $x$  and  $y$  differ.

1.  $d(x, y) = 0, 1, 2, 3$  so it is nonnegative, finite, and real-valued.

2. If  $x = y$ , then they differ in 0 positions, so  $d(x, y) = 0$ . If  $d(x, y) = 0$ , then they differ in 0 positions, so  $x$  and  $y$  must be the same in all positions, so  $x = y$ .
3. The number of positions where  $x$  and  $y$  differ is the same as the number of positions where  $y$  and  $x$  differ, so  $d(x, y) = d(y, x)$ .
4. For the triangle inequality, we can prove this by induction using the discrete metric space, as the base case. Then, suppose we have  $n$ -tuples, and we add one more position to each tuple to make it an  $(n + 1)$ -tuple. There are two cases:
  - (a) If the new position is the same for all three tuples, then the number of differing positions is unchanged, so the triangle inequality holds by the inductive hypothesis.
  - (b) If the new position is different for at least one of the tuples, then the left-hand side of the triangle inequality increases by at most 1, and the right-hand side also increases by at least 1, because in  $z$ , its new position must be different from at least  $x$  or  $y$ .

**Exercise 1.1.11**

We want to prove the generalized triangle inequality:

$$d(x_1, x_n) \leq d(x_1, x_2) + d(x_2, x_3) + \cdots + d(x_{n-1}, x_n) \quad (1.3)$$

We can do this by induction.

Base case:  $n = 2$  is just the regular triangle inequality. Inductive step: Suppose it is true for  $n = k$ . Then, for  $n = k + 1$ , we have

$$\begin{aligned} d(x_1, x_{k+1}) &\leq d(x_1, x_k) + d(x_k, x_{k+1}) && \text{(Triangle Inequality)} \\ &\leq d(x_1, x_2) + d(x_2, x_3) + \cdots + d(x_{k-1}, x_k) + d(x_k, x_{k+1}) && \text{(Inductive Hypothesis)} \end{aligned}$$

Thus, by induction, the generalized triangle inequality holds for all  $n \geq 2$ .

**Exercise 1.1.12**

We can see that

$$\begin{aligned} |d(x, y) - d(z, w)| &\leq d(x, z) + d(y, w) \\ d(x, y) - d(z, w) &\leq d(x, z) + d(y, w) && \text{(Case 1, we'll do the other case next)} \\ d(x, y) &\leq d(x, z) + d(y, w) + d(z, w) \\ d(x, y) &\leq d(x, z) + d(w, y) + d(z, w) && \text{(Symmetry)} \\ d(x, y) &\leq d(x, z) + d(z, w) + d(w, y) && \text{(Triangle Inequality)} \end{aligned}$$

We need to do the other case as well:

$$\begin{aligned} d(z, w) - d(x, y) &\leq d(x, z) + d(y, w) \\ d(z, w) &\leq d(x, z) + d(y, w) + d(x, y) \\ d(z, w) &\leq d(z, x) + d(x, y) + d(y, w) && \text{(Symmetry)} \\ d(z, w) &\leq d(z, x) + d(x, y) + d(y, w) && \text{(Triangle Inequality)} \end{aligned}$$

**Exercise 1.1.13**

$$\begin{aligned} |d(x, z) - d(y, z)| &\leq d(x, y) \\ d(x, z) - d(y, z) &\leq d(x, y) && \text{(Case 1, we'll do the other case next)} \\ d(x, z) &\leq d(x, y) + d(y, z) && \text{(Triangle Inequality)} \end{aligned}$$

Let's do the other case:

$$\begin{aligned}
 d(y, z) - d(x, z) &\leq d(x, y) \\
 d(y, z) &\leq d(x, y) + d(x, z) \\
 d(y, z) &\leq d(y, x) + d(x, z) && \text{(Symmetry)} \\
 d(y, z) &\leq d(y, x) + d(x, z) && \text{(Triangle Inequality)}
 \end{aligned}$$

**Exercise 1.1.14**

We want to show that properties 2-4 in the 1 definition imply that the metric must be nonnegative.

AFSOC that there exists some  $x, y \in X$  such that  $d(x, y) < 0$ . Then, by property 4, we have

$$d(x, x) \leq d(x, y) + d(y, x)$$

By property 3, we have  $d(y, x) = d(x, y)$ , so

$$d(x, x) \leq 2d(x, y)$$

But by property 2, we have  $d(x, x) = 0$ , so

$$0 \leq 2d(x, y)$$

However, we assumed  $d(x, y) < 0$ , so  $2d(x, y) < 0$ , which is a contradiction. Therefore, our assumption is false, and we conclude that for all  $x, y \in X$ ,  $d(x, y) \geq 0$ .

## 1.2 Further Examples of Metric Spaces

The  $l^p$  proof is a bit annoying, I had to read through it carefully to really get it.

We have  $p \in \mathbb{R}$ , and  $x = (\xi_j) = (\xi_1, \xi_2, \dots)$  such that

$$\sum_{j=1}^{\infty} |\xi_j|^p < \infty. \quad (p \geq 1)$$

and the metric is defined by

$$d(x, y) = \left( \sum_{j=1}^{\infty} |\xi_j - \eta_j|^p \right)^{1/p}. \quad (1.4)$$

For  $p = 2$ , we have the Hilbert space  $l^2$ .

We now want to prove that  $l^p$  is a metric space.

**PROOF** Properties 1-3 are pretty straightforward, so we really just need to show convergence and the triangle inequality.

We are starting with a auxiliary inequality

$$\frac{1}{p} + \frac{1}{q} = 1 \quad (1.5)$$

From this inequality, where  $p, q$  are **conjugate exponents**, we can derive  $1/(p-1) = q-1$ . Therefore, we can say

$$u = t^{p-1} \implies t = u^{1/(p-1)} = u^{q-1}. \quad (1.6)$$

Now, suppose  $\alpha, \beta \geq 0$ . Since  $\alpha\beta$  is the area of a rectangle in 1.1, we can bound it above by the integral curves. The intuition here is, we want to consider the area under the curve, vertically and horizontally. We have 3 cases:

1. If the corner  $(\alpha, \beta)$  is exactly at the corner of the rectangle, then the rectangle area is exactly the sum of the two areas.
2. If the end of the curve at  $\alpha$  is above  $\beta$ , then the rectangle is smaller than the sum of the two areas, because the curve has more area above the  $\beta$  line.
3. If the end of the curve at  $\alpha$  is below  $\beta$ , then the rectangle is still smaller than the sum of the two areas, since it has more horizontal area past  $\alpha$ .

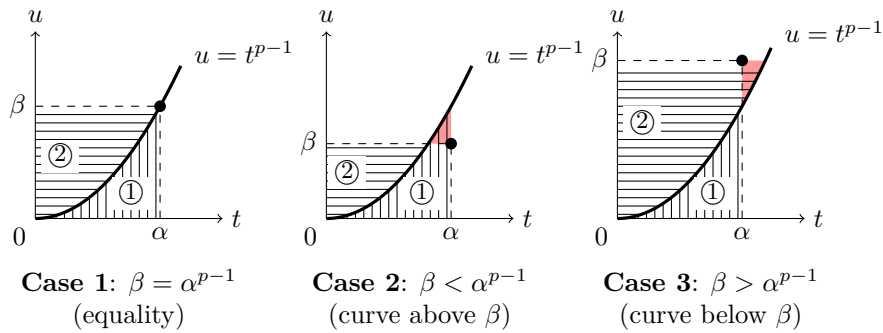


Figure 1.1: Integral inequality cases. The red areas are the excess

The diagrams in 1.1 illustrate these cases. Now, we can derive

$$\alpha\beta \leq \int_0^\alpha t^{p-1} dt + \int_0^\beta u^{q-1} du = \frac{\alpha^p}{p} + \frac{\beta^q}{q}. \quad (1.7)$$

Now, suppose we have sequences  $(\xi_j), (\eta_j)$ , such that

$$\sum_{j=1}^{\infty} |\xi_j|^p = 1, \quad \sum_{j=1}^{\infty} |\eta_j|^q = 1. \quad (1.8)$$

From (1.7), and summing over  $j$ , we have

$$\sum_{j=1}^{\infty} |\xi_j \eta_j| \leq \sum_{j=1}^{\infty} \left( \frac{|\xi_j|^p}{p} + \frac{|\eta_j|^q}{q} \right) = \frac{1}{p} + \frac{1}{q} = 1. \quad (1.9)$$

Now, if we want to choose any arbitrary sequences  $(\xi_j), (\eta_j)$ , we can normalize them by defining

$$\tilde{\xi}_j = \frac{\xi_j}{(\sum_{k=1}^{\infty} |\xi_k|^p)^{1/p}}, \quad \tilde{\eta}_j = \frac{\eta_j}{(\sum_{k=1}^{\infty} |\eta_k|^q)^{1/q}}, \quad (1.10)$$

and we can now substitute into (1.9) to get

$$\begin{aligned} \sum_{j=1}^{\infty} |\xi_j \eta_j| &\leq 1 \\ \sum_{j=1}^{\infty} \left| \frac{\xi_j}{(\sum_{k=1}^{\infty} |\xi_k|^p)^{1/p}} \cdot \frac{\eta_j}{(\sum_{k=1}^{\infty} |\eta_k|^q)^{1/q}} \right| &\leq 1 \quad (\text{Substitute normalizations}) \\ \sum_{j=1}^{\infty} |\xi_j \eta_j| &\leq \left( \sum_{k=1}^{\infty} |\xi_k|^p \right)^{1/p} \left( \sum_{k=1}^{\infty} |\eta_k|^q \right)^{1/q}. \end{aligned}$$

This now gives us **Hölder's inequality**.

We now need to prove **Minkowski's inequality**, which is the triangle inequality for  $l^p$ . We start by using  $\omega_j = \xi_j + \eta_j$ . Then, what we can do is use the triangle inequality to get

$$\begin{aligned} |\omega_j| &\leq |\xi_j + \eta_j| \\ |\omega_j|^p &\leq |\xi_j + \eta_j| |\omega_j|^{p-1} \\ |\omega_j|^p &\leq (|\xi_j| + |\eta_j|) |\omega_j|^{p-1} \quad (\text{Triangle inequality}) \\ \sum |\omega_j|^p &\leq \sum |\xi_j| |\omega_j|^{p-1} + \sum |\eta_j| |\omega_j|^{p-1} \quad (\text{Applying sum}) \end{aligned}$$

Now we can apply Hölder's inequality to each of the sums on the right-hand side, where we have conjugate exponents  $p$  and  $q$ , which gives us:

$$\begin{aligned} \sum |\omega_j|^p &\leq \left( \sum |\xi_j|^p \right)^{1/p} \left( \sum |\omega_j|^{(p-1)q} \right)^{1/q} + \left( \sum |\eta_j|^p \right)^{1/p} \left( \sum |\omega_j|^{(p-1)q} \right)^{1/q} \\ &\leq \left( \sum |\xi_j|^p \right)^{1/p} \left( \sum |\omega_j|^p \right)^{1/q} + \left( \sum |\eta_j|^p \right)^{1/p} \left( \sum |\omega_j|^p \right)^{1/q} \quad (\text{Since } (p-1)q = p) \\ &\leq \left[ \left( \sum |\xi_j|^p \right)^{1/p} + \left( \sum |\eta_j|^p \right)^{1/p} \right] \left( \sum |\omega_j|^p \right)^{1/q} \end{aligned}$$

Finally, dividing both sides by  $(\sum |\omega_j|^p)^{1/q}$ :

$$\left( \sum |\omega_j|^p \right)^{1-1/q} \leq \left( \sum |\xi_j|^p \right)^{1/p} + \left( \sum |\eta_j|^p \right)^{1/p}$$

Since  $1 - \frac{1}{q} = \frac{1}{p}$  (from  $\frac{1}{p} + \frac{1}{q} = 1$ ), we have

$$\left(\sum |\xi_j + \eta_j|^p\right)^{1/p} \leq \left(\sum |\xi_j|^p\right)^{1/p} + \left(\sum |\eta_j|^p\right)^{1/p} \quad (1.11)$$

which is **Minkowski's inequality**.

Finally, we need to show the triangle inequality for the metric.

We take  $x = (\xi_j), y = (\eta_j), z = (\zeta_j)$ , and we have

$$\begin{aligned} d(x, z) &= \left(\sum |\xi_j - \zeta_j|^p\right)^{1/p} \\ &= \left(\sum |\xi_j - \eta_j + \eta_j - \zeta_j|^p\right)^{1/p} \\ &\leq \left(\sum |\xi_j - \eta_j|^p\right)^{1/p} + \left(\sum |\eta_j - \zeta_j|^p\right)^{1/p} \quad (\text{Minkowski's inequality}) \\ &= d(x, y) + d(y, z). \end{aligned}$$

This completes the proof that  $l^p$  is a metric space.

### Exercise 1.2.1

if we have  $\mu_j$  such that  $\sum \mu_j$  converges instead of  $1/2^j$ , then we just need to show

$$d(x, y) = \sum_{j=1}^{\infty} \mu_j \frac{|\xi_j - \eta_j|}{1 + |\xi_j - \eta_j|}$$

is a metric. The properties 1-3 are easy, we just need to show convergence. But this is easy since  $\frac{|\xi_j - \eta_j|}{1 + |\xi_j - \eta_j|} \leq 1$ , so we have

$$d(x, y) \leq \sum_{j=1}^{\infty} \mu_j,$$

which converges by assumption.

### Exercise 1.2.2

Suppose we have  $\alpha, \beta > 0$ . Then from (1.7), we have

$$\alpha\beta \leq \frac{\alpha^p}{p} + \frac{\beta^q}{q}.$$

If we plug in  $p = q = 2$ , we have

$$\begin{aligned} \alpha\beta &\leq \frac{\alpha^2}{2} + \frac{\beta^2}{2} \\ \frac{\alpha\beta}{2} &\leq \frac{\alpha^2 + \beta^2}{4} \\ \alpha\beta &\leq \frac{\alpha^2 + 2\alpha\beta + \beta^2}{4} \\ \sqrt{\alpha\beta} &\leq \frac{\alpha + \beta}{2}. \end{aligned}$$

### Exercise 1.2.3

Let's start with the Cauchy-Schwarz inequality for sums:

$$\sum_{j=1}^n |\xi_j \eta_j| \leq \sqrt{\sum_{k=1}^n |\xi_k|^2} \sqrt{\sum_{m=1}^n |\eta_m|^2}$$



Now, choose

$$\eta_j = \begin{cases} 1 & j = 1, 2, \dots, n \\ 0 & \text{otherwise} \end{cases} \quad (1.12)$$

Then, if we square both sides of the inequality, we get

$$\left( \sum_{j=1}^n |\xi_j| \right)^2 \leq n \sum_{k=1}^n |\xi_k|^2.$$

#### Exercise 1.2.4

Consider the sequence  $\xi_n = \frac{1}{\log(n+1)}$ .

**Converges to 0:** Since  $\log(n+1) \rightarrow \infty$  as  $n \rightarrow \infty$ , we have  $\xi_n \rightarrow 0$ .

**Not in any  $l^p$ :** For any  $p \geq 1$ , we show that

$$\sum_{n=1}^{\infty} |\xi_n|^p = \sum_{n=1}^{\infty} \frac{1}{(\log(n+1))^p} = \infty.$$

Since  $\log(n+1)$  grows slower than any positive power of  $n$ , for large  $n$  we have

$$\frac{1}{(\log(n+1))^p} > \frac{1}{n}$$

and the harmonic series  $\sum 1/n$  diverges. By comparison,  $\sum \frac{1}{(\log(n+1))^p}$  diverges for all  $p \geq 1$ .

Therefore  $(\xi_n) = \left( \frac{1}{\log(n+1)} \right)$  converges to 0 but is not in any  $l^p$  space.

#### Exercise 1.2.5

Consider the sequence  $\xi_n = \frac{1}{n}$ .

**In  $l^p$  for  $p > 1$ :**

$$\sum_{n=1}^{\infty} |\xi_n|^p = \sum_{n=1}^{\infty} \frac{1}{n^p} < \infty$$

since the  $p$ -series converges for  $p > 1$ .

**Not in  $l^1$ :**

$$\sum_{n=1}^{\infty} |\xi_n| = \sum_{n=1}^{\infty} \frac{1}{n} = \infty$$

since the harmonic series diverges.

#### Exercise 1.2.6

AFSOC  $A \subset B$  and  $\delta(A) > \delta(B)$ .

Then,  $\exists x, y \in A$  such that  $d(x, y) > d(x', y')$  for all  $x', y' \in B$ . But this is a contradiction, since  $A \subset B$ , so all  $x, y \in A$  are also in  $B$ . Therefore, we must have  $\delta(A) \leq \delta(B)$ .

#### Exercise 1.2.7

If  $\delta(A) = 0$ , then  $\forall x, y \in A$ , we have  $d(x, y) = 0$ . By property 2 of metric spaces, this implies  $x = y$ , so  $A$  contains a single point.

Suppose  $A$  contains a single point, then  $\forall x, y \in A$ , we have  $x = y$ , so  $d(x, y) = 0$ . Thus,  $\delta(A) = 0$ .

#### Exercise 1.2.8

To explain  $D$  in plain English, it is the minimum distance of two points coming from two sets  $A, B$ . Now, the question is, does  $D$  define a metric on the power set of  $X$ ?

We see that, property 2 is violated, because as long as  $A$  and  $B$  share a common point, we have  $D(A, B) = 0$ , but  $A$  and  $B$  could be different sets.

**Exercise 1.2.9**

The  $\emptyset$  in the text looks like  $\phi$  (phi). Anyways, if  $A \cap B \neq \emptyset$ , then  $\exists x \in A \cap B$ . This means that  $\exists x \in A, x \in B$ , so  $d(x, x) = 0$ , so  $D(A, B) = 0$ .

Now the converse, if  $D(A, B) = 0$ , then  $\inf\{d(x, y) : x \in A, y \in B\} = 0$ . Suppose  $A \cap B = \emptyset$ , then  $d(x, y) > 0$ . But we can choose  $\epsilon = d(x, y)/2$ , which leads to a contradiction. Thus, we must have  $A \cap B \neq \emptyset$ .

**Exercise 1.2.10**

For any  $x, y \in X$ ,  $b \in B$ , we have from the triangle inequality that

$$d(x, b) \leq d(x, y) + d(y, b)$$

Taking the infimum over  $b \in B$  on both sides:

$$\inf_{b \in B} d(x, b) \leq \inf_{b \in B} [d(x, y) + d(y, b)]$$

Since  $d(x, y)$  does not depend on  $b$ , we can pull it out of the infimum:

$$D(x, B) \leq d(x, y) + \inf_{b \in B} d(y, b) = d(x, y) + D(y, B)$$

Therefore  $D(x, B) - D(y, B) \leq d(x, y)$ .

Now, applying the same argument but starting with  $d(y, b) \leq d(y, x) + d(x, b)$ :

$$D(y, B) \leq d(y, x) + D(x, B) = d(x, y) + D(x, B)$$

Therefore  $D(y, B) - D(x, B) \leq d(x, y)$ .

Combining both inequalities:

$$|D(x, B) - D(y, B)| \leq d(x, y)$$

**Exercise 1.2.11**

We want to show that

$$\tilde{d}(x, y) = \frac{d(x, y)}{1 + d(x, y)} \tag{1.13}$$

is still a metric.

Let's walk through the four properties:

1. Since  $d(x, y) \geq 0$ , we have  $\tilde{d}(x, y) \geq 0$ . It is real valued because  $d$  is, and it's finite because  $d$  is finite, and  $\tilde{d}(x, y)$  is bounded by  $(0, 1)$ .
2. If  $\tilde{d}(x, y) = 0$ , then  $d(x, y) = 0$ , which implies  $x = y$ . Conversely, if  $x = y$ , then  $d(x, y) = 0$ , so  $\tilde{d}(x, y) = 0$ .
3.  $\tilde{d}(x, y) = \frac{d(x, y)}{1 + d(x, y)} = \frac{d(y, x)}{1 + d(y, x)} = \tilde{d}(y, x)$ .
4. For the triangle inequality, we can show

$$\begin{aligned} \tilde{d}(x, z) &= \frac{d(x, z)}{1 + d(x, z)} \\ &\leq \frac{d(x, y) + d(y, z)}{1 + d(x, y) + d(y, z)} && \text{(By triangle inequality)} \\ &\leq \frac{d(x, y)}{1 + d(x, y) + d(y, z)} + \frac{d(y, z)}{1 + d(x, y) + d(y, z)} \\ &\leq \frac{d(x, y)}{1 + d(x, y)} + \frac{d(y, z)}{1 + d(y, z)} \\ &\text{(Since } d(x, y), d(y, z) \geq 0, \text{ this makes the denom smaller, thus the fraction bigger)} \\ &\leq \tilde{d}(x, y) + \tilde{d}(y, z) \end{aligned}$$

**Exercise 1.2.12**

Since  $A, B$  are bounded sets, we know  $\exists p_A, p_B$  such that  $\forall x \in A, y \in B, \exists M_A, M_B \in \mathbb{R}$  such that

$$d(x, p_A) \leq M_A, \quad d(y, p_B) \leq M_B.$$

Let's choose  $p_A$  to be our anchor point. Then, for any  $p \in A \cup B$ , we have two cases

1.  $p \in A$ , then  $d(p, p_A) \leq M_A$  by definition.
2.  $p \in B$ , then we can do:

$$\begin{aligned} d(p, p_A) &\leq d(p, p_B) + d(p_B, p_A) && \text{(Triangle inequality)} \\ &\leq M_B + d(p_B, p_A) && \text{(Since } p \in B) \\ &\leq M_B + K \end{aligned}$$

where  $K = d(p_B, p_A)$  is a constant, and this is true because  $d$  is a metric and is finite and real-valued, and  $p_B, p_A \in X$  (the overall metric space).

Therefore, we can bound  $A \cup B$  by  $\max(M_A, M_B + K)$ , so  $A \cup B$  is bounded.

**Exercise 1.2.13**

We have the metric for the  $X = X_1 \times X_2$  space defined as

$$d(x, y) = d_1(x_1, y_1) + d_2(x_2, y_2).$$

We want to show that this is a metric.

1. Real,  $\geq 0$ , finite, follows from  $d_1, d_2$  are both real-valued and non-negative and finite.
2.  $d(x, y) = 0$  implies  $d_1(x_1, y_1) + d_2(x_2, y_2) = 0$ . Since both  $d_1, d_2 \geq 0$ , we must have  $d_1(x_1, y_1) = 0$  and  $d_2(x_2, y_2) = 0$ , which implies  $x_1 = y_1$  and  $x_2 = y_2$ , so  $x = y$ . Conversely, if  $x = y$ , then  $d(x, y) = d_1(x_1, y_1) + d_2(x_2, y_2) = 0$ .
3. Symmetry follows from the symmetry of  $d_1, d_2$ :

$$\begin{aligned} d(x, y) &= d_1(x_1, y_1) + d_2(x_2, y_2) \\ &= d_1(y_1, x_1) + d_2(y_2, x_2) \\ &= d(y, x). \end{aligned}$$

4. Triangle inequality:

$$\begin{aligned} d(x, z) &= d_1(x_1, z_1) + d_2(x_2, z_2) \\ &\leq d_1(x_1, y_1) + d_1(y_1, z_1) + d_2(x_2, y_2) + d_2(y_2, z_2) && \text{(Triangle inequality for } d_1, d_2) \\ &= d(x, y) + d(y, z). \end{aligned}$$

**Exercise 1.2.14**

We want to show the same as the previous exercise, except for the metric defined as

$$d(x, y) = \sqrt{d_1(x_1, y_1)^2 + d_2(x_2, y_2)^2}.$$

The first three properties are straightforward from the properties of  $d_1, d_2$ . For the triangle inequality, we can use Minkowski's inequality:

$$\begin{aligned} d(x, z) &= \sqrt{d_1(x_1, z_1)^2 + d_2(x_2, z_2)^2} \\ &\leq \sqrt{(d_1(x_1, y_1) + d_1(y_1, z_1))^2 + (d_2(x_2, y_2) + d_2(y_2, z_2))^2} && \text{(Triangle inequality for } d_1, d_2) \\ &\leq \sqrt{d_1(x_1, y_1)^2 + d_2(x_2, y_2)^2} + \sqrt{d_1(y_1, z_1)^2 + d_2(y_2, z_2)^2} && \text{(Minkowski's inequality)} \\ &= d(x, y) + d(y, z). \end{aligned}$$

**Exercise 1.2.15**

We want to show the metric space defined by

$$d(x, y) = \max(d_1(x_1, y_1), d_2(x_2, y_2))$$

is a metric. The first three properties are straightforward from the properties of  $d_1, d_2$ . For the triangle inequality, we have

$$\begin{aligned} d(x, z) &= \max(d_1(x_1, z_1), d_2(x_2, z_2)) \\ &\leq \max(d_1(x_1, y_1) + d_1(y_1, z_1), d_2(x_2, y_2) + d_2(y_2, z_2)) && \text{(Triangle inequality for } d_1, d_2) \\ &\leq \max(d_1(x_1, y_1), d_2(x_2, y_2)) + \max(d_1(y_1, z_1), d_2(y_2, z_2)) && \text{(Properties of max)} \\ &= d(x, y) + d(y, z). \end{aligned}$$

## 1.3

**1.4**

## 1.5

**1.6**



## Chapter 2

# Normed Spaces. Banach Spaces

### 2.1

**2.2**

**2.3**

**2.4**

**2.5**

**2.6**

**2.7**

**2.8**



**2.9**

**2.10**

**Part II**

**Banach Spaces**



## Chapter 3

# Inner Product Spaces. Hilbert Spaces

### 3.1

**3.2**

**3.3**

**3.4**



**3.5**

**3.6**

**3.7**

**3.8**

## 3.9

**3.10**

## Chapter 4

# Fundamental Theorems for Normed and Banach Spaces

### 4.1

**4.2**



**4.3**

**4.4**

**4.5**

**4.6**

**4.7**

**4.8**

**4.9**

**4.10**



## 4.11

**4.12**

## 4.13



## Chapter 5

# Further Applications: Banach Fixed Point Theorem

### 5.1

**5.2**

**5.3**

**5.4**



**Part III**

**Hilbert Spaces**



## Chapter 6

# Further Applications: Approximation Theory

### 6.1

**6.2**

**6.3**

**6.4**

## Chapter 7

# Spectral Theory of Linear Operators in Normed Spaces

### 7.1

**7.2**



**7.3**

**7.4**

**7.5**

**7.6**

## Chapter 8

# Compact Linear Operators on Normed Spaces and Their Spectrum

### 8.1

## 8.2

**8.3**

## 8.4



**8.5**

## 8.6

## Part IV

# Operators on Hilbert Spaces



## Chapter 9

# Spectral Theory of Bounded Self-Adjoint Linear Operators

### 9.1

**9.2**

## 9.3

**9.4**



## 9.5

**9.6**

**9.7**

**9.8**

## 9.9

**9.10**

## 9.11





## Chapter 10

# Unbounded Linear Operators in Hilbert Space

### 10.1

**10.2**

## 10.3

**10.4**

## 10.5

**10.6**

## Chapter 11

# Unbounded Linear Operators in Quantum Mechanics

### 11.1

**11.2**



## 11.3

**11.4**

## 11.5

**11.6**

# Appendix A

## Extras

### A.1 Important Definitions

**Definition 2 (Metric Space)** A **metric space** is a pair  $(X, d)$  where  $X$  is a set, and  $d$  is a *metric on  $X$*  (or *distance function on  $X$* ), that is, a function defined on  $X \times X$  such that for all  $x, y, z \in X$  we have:

1.  $d$  is real-valued, finite and nonnegative
2.  $d(x, y) = 0$  if and only if  $x = y$
3.  $d(x, y) = d(y, x)$  (Symmetry)
4.  $d(x, y) \leq d(x, z) + d(z, y)$  (Triangle inequality)

### A.2 Important Inequalities

**Theorem 1 (Triangle Inequality)** For any metric space  $(X, d)$  and points  $x, y, z \in X$ :

$$d(x, z) \leq d(x, y) + d(y, z)$$

For real or complex numbers:

$$|a + b| \leq |a| + |b|$$

**Theorem 2 (Hölder's Inequality)** Let  $p, q > 1$  be conjugate exponents. For sequences  $(\xi_j), (\eta_j) \in \ell^p$  and  $\ell^q$  respectively:

$$\sum_{j=1}^{\infty} |\xi_j \eta_j| \leq \left( \sum_{j=1}^{\infty} |\xi_j|^p \right)^{1/p} \left( \sum_{j=1}^{\infty} |\eta_j|^q \right)^{1/q}$$

For functions  $f \in L^p$  and  $g \in L^q$ :

$$\int |fg| \leq \|f\|_p \|g\|_q$$

**Theorem 3 (Minkowski's Inequality)** Let  $p \geq 1$ . For sequences  $(\xi_j), (\eta_j) \in \ell^p$ :

$$\left( \sum_{j=1}^{\infty} |\xi_j + \eta_j|^p \right)^{1/p} \leq \left( \sum_{j=1}^{\infty} |\xi_j|^p \right)^{1/p} + \left( \sum_{j=1}^{\infty} |\eta_j|^p \right)^{1/p}$$

For functions  $f, g \in L^p$ :

$$\|f + g\|_p \leq \|f\|_p + \|g\|_p$$

This is the triangle inequality for  $\ell^p$  and  $L^p$  norms.