

# Subject Name Solutions

4331603 – Summer 2024

Semester 1 Study Material

*Detailed Solutions and Explanations*

## Question 1(a) [3 marks]

Define Following Terms: 1. Data 2. Information 3. Metadata

### Solution

Table 1: Data vs Information vs Metadata

Term	Definition	Example
<b>Data</b>	Raw facts and figures without context	"25", "John", "Mumbai"
<b>Information</b>	Processed data with meaning and context	"John is 25 years old and lives in Mumbai"
<b>Metadata</b>	Data about data describing structure and properties	"Age field: Integer, Max length: 3"

- **Data:** Basic building blocks of information systems
- **Information:** Result of data processing for decision making
- **Metadata:** Essential for database design and management

### Mnemonic

"DIM - Data gives Information using Metadata"

## Question 1(b) [4 marks]

Compare File System vs Database System

### Solution

Table 2: File System vs Database System Comparison

Aspect	File System	Database System
<b>Data Storage</b>	Separate files for each application	Centralized storage
<b>Data Redundancy</b>	High redundancy	Minimal redundancy
<b>Data Consistency</b>	Poor consistency	High consistency
<b>Data Security</b>	Limited security	Advanced security features
<b>Concurrent Access</b>	Limited support	Full concurrent support
<b>Data Independence</b>	No independence	Physical and logical independence

- **File System:** Simple but with data duplication issues
- **Database System:** Complex but efficient data management
- **Main Advantage:** DBMS eliminates data redundancy and inconsistency

### Mnemonic

"DBMS = Data Better Managed Systematically"

Question 1(c) [7 marks]

Draw and Explain Network Data Model

Solution

Diagram:

```
graph TD
    O1[Owner 1] --> S1[Set Type 1]
    S1 --> M1[Member1]
    S1 --> M2[Member2]
    S1 --> M3[Member3]
    M1 --> S2[Set Type 2]
    M2 --> S2
    M2 --> S3[Set Type 3]
    M3 --> S3
    M3 --> S4[Set Type 4]
    S2 --> M4[Member4]
    S2 --> M5[Member5]
    S3 --> M5
    S4 --> M6[Member6]
```

Table 3: Network Model Components

Component	Description	Example
<b>Record Type</b>	Entity representation	Employee, Department
<b>Set Type</b>	Relationship between records	Works-In, Manages
<b>Owner</b>	Parent record in relationship	Department (owner)
<b>Member</b>	Child record in relationship	Employee (member)

- **Owner Record:** Controls the set and can have multiple members
- **Member Record:** Belongs to one or more sets
- **Set Occurrence:** Instance of set type linking owner to members
- **Navigation:** Uses pointers for record access

Mnemonic

“Network = Nodes with Multiple Connections”

Question 1(c) OR [7 marks]

What is Schema? Explain different types of Schema with example

Solution

**Definition:** Schema is the logical structure or blueprint of a database that defines how data is organized.

**Diagram:**

Mermaid Diagram (Code)

```
graph LR
    A[External Schema] --> B[Conceptual Schema]
    B --> C[Internal Schema]
    A --> D[View 1]
    A --> E[View 2]
    B --> F[Logical Structure]
    C --> G[Physical Storage]
```

Table 4: Types of Schema



Table 6: Weak vs Strong Entity

Aspect	Strong Entity	Weak Entity
<b>Primary Key</b>	Has its own primary key	No primary key
<b>Existence</b>	Independent existence	Depends on strong entity
<b>Representation</b>	Single rectangle	Double rectangle
<b>Example</b>	Employee	Dependent of Employee

- **Partial Key:** Attribute that partially identifies weak entity
- **Identifying Relationship:** Connects weak entity to strong entity
- **Total Participation:** Weak entity must participate in relationship

### Mnemonic

“Weak entities are DEPENDent”

## Question 2(c) [7 marks]

Draw ER Diagram for University Management System

### Solution

#### Diagram:

```
erDiagram
    STUDENT \{
        int student\_id PK
        string name
        string email
        date birth\_date
        string address
    \}

    COURSE \{
        int course\_id PK
        string course\_name
        int credits
        string department
    \}

    TEACHER \{
        int teacher\_id PK
        string name
        string department
        string qualification
    \}

    ENROLLMENT \{
        int enrollment\_id PK
        date enrollment\_date
        char grade
    \}

    STUDENT ||--o\{ ENROLLMENT : enrolls
    COURSE ||--o\{ ENROLLMENT : has
    TEACHER ||--o\{ COURSE : teaches
```

Table 7: Entity Relationships

Relationship	Cardinality	Description
<b>Student ENROLLS Course</b>	M:N	Many students can enroll in many courses
<b>Teacher TEACHES Course</b>	1:N	One teacher teaches multiple courses
<b>Course HAS Enrollment</b>	1:N	One course has multiple enrollments

- **Primary Entities:** Student, Course, Teacher
- **Associative Entity:** Enrollment (resolves M:N relationship)
- **Key Attributes:** All entities have unique identifier

#### Mnemonic

“University = Students Take Courses from Teachers”

### Question 2(a) OR [3 marks]

Define Following Terms: 1. Primary Key 2. Foreign Key 3. Candidate Key

#### Solution

Table 8: Database Keys

Key Type	Definition	Example
<b>Primary Key</b>	Unique identifier for each record	Student_ID in Student table
<b>Foreign Key</b>	References primary key of another table	Student_ID in Enrollment table
<b>Candidate Key</b>	Potential primary key attribute	Email, Phone in Student table

- **Primary Key:** Cannot be NULL and must be unique
- **Foreign Key:** Maintains referential integrity
- **Candidate Key:** Alternative unique identifiers

#### Mnemonic

“PFC - Primary Foreign Candidate”

### Question 2(b) OR [4 marks]

Write a Short note on Generalization and Specialization

#### Solution

**Generalization:** Process of extracting common attributes from multiple entities to create a general entity.

**Specialization:** Process of defining subclasses of an entity based on distinguishing characteristics.

**Diagram:**

#### Mermaid Diagram (Code)

```
{Shaded}
{Highlighting}[]
graph TD
    A[Person] --{-}{ B[Student]]
    A --{-}{ C[Teacher]]
```

```

A {-}{-}{ } D[Staff]}
B {-}{-}{ } E[Undergraduate]}
B {-}{-}{ } F[Graduate]}
{Highlighting}
{Shaded}

```

Table 9: Generalization vs Specialization

Aspect	Generalization	Specialization
<b>Direction</b>	Bottom-up approach	Top-down approach
<b>Purpose</b>	Remove redundancy	Add specific attributes
<b>Result</b>	Superclass creation	Subclass creation

- **ISA Relationship:** “Is-A” relationship between superclass and subclass
- **Inheritance:** Subclasses inherit attributes from superclass

#### Mnemonic

“General goes UP, Special goes DOWN”

### Question 2(c) OR [7 marks]

Explain different Relational Algebra operation with example

#### Solution

Table 10: Relational Algebra Operations

Operation	Symbol	Description	Example
<b>Select</b>		Selects rows based on condition	(age>20)(Student)
<b>Project</b>		Selects specific columns	(name,age)(Student)
<b>Union</b>	$\cup$	Combines two relations	$R \cup S$
<b>Intersection</b>	$\cap$	Common tuples from relations	$R \cap S$
<b>Difference</b>	-	Tuples in R but not in S	$R - S$
<b>Join</b>		Combines related tuples	Student Enrollment

#### Example Relations:

Student: (ID=1, Name=John, Age=20) Course: (CID=101, CName=DBMS, Credits=3)

- **Selection:** (Age>18)(Student) returns students above 18
- **Projection:** (Name)(Student) returns only names
- **Join:** Student Enrollment combines student and enrollment data

#### Mnemonic

“SPUDIJ - Select Project Union Difference Intersection Join”

### Question 3(a) [3 marks]

List out Numeric Functions in SQL. Explain any Two

#### Solution

Table 11: SQL Numeric Functions

Function	Purpose	Example
<b>ABS()</b>	Absolute value	ABS(-15) = 15
<b>CEIL()</b>	Smallest integer $\geq value$	CEIL(4.3) = 5
<b>FLOOR()</b>	Largest integer $\leq value$	FLOOR(4.7) = 4
<b>ROUND()</b>	Round to specified places	ROUND(15.76, 1) = 15.8
<b>SQRT()</b>	Square root	SQRT(16) = 4
<b>POWER()</b>	Raise to power	POWER(2, 3) = 8

#### Detailed Examples:

- **ABS(number)**: Returns absolute value, removing negative sign
- **ROUND(number, decimal\_places)**: Rounds number to specified decimal places

#### Mnemonic

“Math functions make Numbers Nice”

### Question 3(b) [4 marks]

Describe Having and Order by Clause with example

#### Solution

**HAVING Clause**: Used with GROUP BY to filter groups based on aggregate conditions.

**ORDER BY Clause**: Used to sort result set in ascending or descending order.

Table 12: HAVING vs WHERE

Aspect	WHERE	HAVING
<b>Usage</b>	Filters individual rows	Filters grouped results
<b>With Aggregates</b>	Cannot use	Can use aggregate functions
<b>Position</b>	Before GROUP BY	After GROUP BY

#### Example:

```
SELECT department, COUNT(*) as emp\_count
FROM employees
WHERE salary > 30000
GROUP BY department
HAVING COUNT(*) > 5
ORDER BY emp\_count DESC;
```

- **WHERE**: Filters employees with salary > 30000
- **HAVING**: Shows only departments with more than 5 employees
- **ORDER BY**: Sorts by employee count in descending order

#### Mnemonic

“WHERE filters rows, HAVING filters groups, ORDER BY sorts results”

### Question 3(c) [7 marks]

Perform the following Query on the table student having the fields Student\_ID, Stu\_Name, Stu\_Subject\_ID, Stu\_Marks, Stu\_Age in SQL

## Solution

### 1. Create student table:

```
CREATE TABLE student (  
    Stu\_ID INT PRIMARY KEY,  
    Stu\_Name VARCHAR(50),  
    Stu\_Subject\_ID INT,  
    Stu\_Marks INT,  
    Stu\_Age INT  
);
```

### 2. Insert record in student table:

```
INSERT INTO student VALUES  
(1, {John}, 101, 85, 22),  
(2, {Mary}, 102, 90, 21);
```

### 3. Find minimum and maximum marks:

```
SELECT MIN(Stu\_Marks) as Min\_Marks,  
       MAX(Stu\_Marks) as Max\_Marks  
FROM student;
```

### 4. Students with marks > 82 and age = 22:

```
SELECT * FROM student  
WHERE Stu\_Marks > 82 AND Stu\_Age = 22;
```

### 5. Students whose name begins with 'm':

```
SELECT * FROM student  
WHERE Stu\_Name LIKE {m%};
```

### 6. Find average marks:

```
SELECT AVG(Stu\_Marks) as Average\_Marks  
FROM student;
```

### 7. Add Stu\\_address column:

```
ALTER TABLE student  
ADD Stu\_address VARCHAR(100);
```

## Mnemonic

“CRUD + Analytics = Complete Database Operations”

## Question 3(a) OR [3 marks]

Describe different date function in SQL with example

## Solution

Table 13: SQL Date Functions

Function	Purpose	Example
<b>SYSDATE</b>	Current system date	SYSDATE returns '2024-06-12'
<b>ADD_MONTHS()</b>	Add months to date	ADD_MONTHS('2024-01-15', 3)
<b>MONTHS_BETWEEN()</b>	Months between dates	MONTHS_BETWEEN('2024-06-12', '2024-01-12')



<b>LAST_DAY()</b>	Last day of month	LAST_DAY('2024-02-15') = '2024-02-29'
<b>NEXT_DAY()</b>	Next occurrence of day	NEXT_DAY('2024-06-12', 'FRIDAY')

**Examples:**

- **SYSDATE:** Returns current system date and time
- **ADD\_MONTHS:** Useful for calculating future dates like loan due dates

**Mnemonic**

“Date functions help with Time Management”

### Question 3(b) OR [4 marks]

List out Constraints in SQL. Explain any two with example

**Solution**

Table 14: SQL Constraints

Constraint	Purpose	Example
<b>PRIMARY KEY</b>	Unique identifier	Student_ID INT PRIMARY KEY
<b>FOREIGN KEY</b>	References another table	REFERENCES Student(Student_ID)
<b>NOT NULL</b>	Prevents null values	Name VARCHAR(50) NOT NULL
<b>UNIQUE</b>	Ensures uniqueness	Email VARCHAR(100) UNIQUE
<b>CHECK</b>	Validates data	Age INT CHECK (Age >= 18)
<b>DEFAULT</b>	Default value	Status VARCHAR(10) DEFAULT 'Active'

**Detailed Examples:**

**PRIMARY KEY Constraint:**

```
CREATE TABLE Student (
    Student_ID INT PRIMARY KEY,
    Name VARCHAR(50)
);
```

**CHECK Constraint:**

```
CREATE TABLE Employee (
    Emp_ID INT,
    Salary INT CHECK (Salary > 0)
);
```

- **PRIMARY KEY:** Ensures each record has unique identifier
- **CHECK:** Validates business rules during data entry

**Mnemonic**

“Constraints Control Data Quality”

### Question 3(c) OR [7 marks]

Explain different types of joins with example in SQL

#### Solution

Table 15: Types of SQL Joins

Join Type	Description	Syntax
<b>INNER JOIN</b>	Returns matching records from both tables	Table1 INNER JOIN Table2 ON condition
<b>LEFT JOIN</b>	All records from left table + matching from right	Table1 LEFT JOIN Table2 ON condition
<b>RIGHT JOIN</b>	All records from right table + matching from left	Table1 RIGHT JOIN Table2 ON condition
<b>FULL OUTER JOIN</b>	All records from both tables	Table1 FULL OUTER JOIN Table2 ON condition

**Example Tables:** Students: (ID=1, Name=John), (ID=2, Name=Mary) Enrollments: (StudentID=1, Course=DBMS), (StudentID=3, Course=Java)

**INNER JOIN Example:**

```
SELECT s.Name, e.Course
FROM Students s
INNER JOIN Enrollments e ON s.ID = e.StudentID;
```

*Result: Only John with DBMS course*

**LEFT JOIN Example:**

```
SELECT s.Name, e.Course
FROM Students s
LEFT JOIN Enrollments e ON s.ID = e.StudentID;
```

*Result: John-DBMS, Mary-NULL*

#### Mnemonic

“JOIN connects Related Tables”

### Question 4(a) [3 marks]

Give an example of Grant and Revoke command in SQL

#### Solution

**GRANT Command:** Provides specific privileges to users on database objects.

**REVOKE Command:** Removes previously granted privileges from users.

Table 16: Common Privileges

Privilege	Description	Example
<b>SELECT</b>	Read data	GRANT SELECT ON Student TO user1
<b>INSERT</b>	Add new records	GRANT INSERT ON Student TO user1
<b>UPDATE</b>	Modify existing records	GRANT UPDATE ON Student TO user1
<b>DELETE</b>	Remove records	GRANT DELETE ON Student TO user1

**ALL**

All privileges

GRANT ALL ON Student  
TO user1

**Examples:**

```
{--{-} Grant SELECT privilege}  
GRANT SELECT ON Student TO john;
```

```
{--{-} Revoke INSERT privilege }  
REVOKE INSERT ON Student FROM john;
```

- **WITH GRANT OPTION:** Allows user to grant privileges to others
- **CASCADE:** Revokes privileges from all users who received them

**Mnemonic**

“GRANT gives rights, REVOKE removes rights”

## Question 4(b) [4 marks]

Write a short note on SQL Views

**Solution**

**Definition:** A view is a virtual table based on the result of an SQL statement containing rows and columns like a real table.

Table 17: View Characteristics

Aspect	Description	Example
<b>Virtual Table</b>	Does not store data physically	CREATE VIEW student_view AS...
<b>Security</b>	Hides sensitive columns	Hide salary column from employees
<b>Simplification</b>	Simplifies complex queries	Join multiple tables in single view
<b>Data Independence</b>	Changes in base tables don't affect users	Modify table structure without affecting applications

**Example:**

```
CREATE VIEW active\_students AS  
SELECT Student\_ID, Name, Age  
FROM Student  
WHERE Status = {Active};
```

```
{--{-} Using the view}  
SELECT * FROM active\_students;
```

**Advantages:**

- **Security:** Restrict access to sensitive data
- **Simplicity:** Hide complex joins from end users
- **Consistency:** Standardized data access

**Mnemonic**

“Views are Virtual Windows to Data”

### Question 4(c) [7 marks]

What is Normalization? Explain 2NF with example

#### Solution

**Normalization:** Process of organizing database to reduce redundancy and improve data integrity by dividing large tables into smaller related tables.

**2NF (Second Normal Form):**

- Must be in 1NF
- Remove partial functional dependencies
- Non-key attributes must depend on entire primary key

**Example - Unnormalized Table:**

Student_ID	Course_ID	Student_Name	Course_Name	Instructor
101	C1	John	DBMS	Dr. Smith
101	C2	John	Java	Dr. Jones
102	C1	Mary	DBMS	Dr. Smith

**Problems:**

- Student\_Name depends only on Student\_ID (partial dependency)
- Course\_Name and Instructor depend only on Course\_ID

**After 2NF:**

**Student Table:**

Student_ID	Student_Name
101	John
102	Mary

**Course Table:**

Course_ID	Course_Name	Instructor
C1	DBMS	Dr. Smith
C2	Java	Dr. Jones

**Enrollment Table:**

Student_ID	Course_ID
101	C1
101	C2
102	C1

**Benefits:**

- **Eliminates Redundancy:** Student names not repeated
- **Reduces Storage:** Less duplicate data
- **Improves Consistency:** Update student name in one place

#### Mnemonic

“2NF = No Partial Dependencies”

### Question 4(a) OR [3 marks]

Give an example of Group By Clause in SQL

## Solution

**GROUP BY Clause:** Groups rows with same values in specified columns and allows aggregate functions on each group.

Table 18: GROUP BY Usage

Purpose	Function	Example
<b>Counting</b>	COUNT()	Count students per department
<b>Summing</b>	SUM()	Total salary per department
<b>Averaging</b>	AVG()	Average marks per course
<b>Finding Min/Max</b>	MIN()/MAX()	Highest salary per department

### Example:

```
SELECT Department, COUNT(*) as Total\_Students, AVG(Marks) as Avg\_Marks
FROM Student
GROUP BY Department;
```

### Result:

Department	Total_Students	Avg_Marks
IT	25	78.5
CS	30	82.1

- **Groups:** Creates separate groups for each department
- **Aggregates:** Calculates count and average for each group

## Mnemonic

“GROUP BY creates Summary Reports”

## Question 4(b) OR [4 marks]

Describe Set Operators in SQL with example

## Solution

**Set Operators:** Combine results from two or more SELECT statements.

Table 19: SQL Set Operators

Operator	Description	Requirement	Example
<b>UNION</b>	Combines results, removes duplicates	Same column structure	SELECT name FROM students UNION SELECT name FROM teachers
<b>UNION ALL</b>	Combines results, keeps duplicates	Same column structure	SELECT name FROM students UNION ALL SELECT name FROM alumni
<b>INTERSECT</b>	Returns common records	Same column structure	SELECT course FROM current_courses INTERSECT SELECT course FROM popular_courses

## MINUS

Records in first query but not second

Same column structure

```
SELECT
student_id FROM
enrolled MINUS
SELECT
student_id FROM
graduated
```

### Example:

{--{-} Students who are also teachers}

```
SELECT name FROM students
INTERSECT
SELECT name FROM teachers;
```

{--{-} All people in university}

```
SELECT name, {Student} as type FROM students
UNION
SELECT name, {Teacher} as type FROM teachers;
```

### Rules:

- **Column Count:** Must be same in all queries
- **Data Types:** Corresponding columns must have compatible types
- **Order:** ORDER BY can only be used at the end

## Mnemonic

“Set operators Unite, Intersect, and Subtract data”

## Question 4(c) OR [7 marks]

Justify the importance of Normalization. Explain 1NF with example

### Solution

#### Importance of Normalization:

Table 20: Benefits of Normalization

Benefit	Description	Impact
<b>Eliminates Redundancy</b>	Reduces duplicate data storage	Saves storage space
<b>Prevents Anomalies</b>	Avoids insertion, deletion, update problems	Maintains data consistency
<b>Improves Integrity</b>	Ensures data accuracy	Reliable information system
<b>Flexible Design</b>	Easy to modify and extend	Adaptable to business changes

### 1NF (First Normal Form):

- Eliminate duplicate columns from same table
- Create separate tables for related data
- Each cell contains single value (atomic values)

#### Example - Unnormalized Table:

Student_ID	Name	Subjects
101	John	Math, Science, English
102	Mary	Science, History

#### Problems:

- Subjects column contains multiple values
- Difficult to query specific subjects
- Update anomalies when adding/removing subjects

#### After 1NF:

##### Student Table:

Student_ID	Name
101	John
102	Mary

##### Student\_Subject Table:

Student_ID	Subject
101	Math
101	Science
101	English
102	Science
102	History

#### Benefits:

- **Atomic Values:** Each cell contains single value
- **Flexible Queries:** Easy to find students studying specific subjects
- **Easy Updates:** Add/remove subjects without affecting other data

### Mnemonic

“1NF = One value per cell, No repeating groups”

### Question 5(a) [3 marks]

#### Explain Serializability in Transaction Management

##### Solution

**Serializability:** Property that ensures concurrent execution of transactions produces same result as some serial execution of those transactions.

Table 21: Types of Serializability

Type	Description	Method
<b>Conflict Serializability</b>	Based on conflicting operations	Precedence graph
<b>View Serializability</b>	Based on read-write patterns	View equivalence

**Example:** Transaction T1: R(A), W(A), R(B), W(B) Transaction T2: R(A), W(A), R(B), W(B)

**Serial Schedule:**  $T1 \rightarrow T2$  or  $T2 \rightarrow T1$  **Concurrent Schedule:** *Interleaved operations*

- **Conflict Operations:** Operations on same data item where at least one is write
- **Serializable Schedule:** Equivalent to some serial schedule
- **Non-serializable:** May lead to inconsistent database state

#### Mnemonic

“Serializability ensures Transaction Consistency”

### Question 5(b) [4 marks]

Describe Partial Functional Dependency with example

#### Solution

**Partial Functional Dependency:** When a non-key attribute is functionally dependent on only part of a composite primary key.

Table 22: Functional Dependency Types

Type	Definition	Example
<b>Full Dependency</b>	Depends on entire primary key	(Student_ID, Course_ID) $\rightarrow$ Grade
<b>Partial Dependency</b>	Depends on part of primary key	(Student_ID, Course_ID) $\rightarrow$ Student_Name

**Example: Enrollment Table:** Primary Key: (Student\_ID, Course\_ID)

Student_ID	Course_ID	Student_Name	Course_Name	Grade
101	C1	John	DBMS	A
101	C2	John	Java	B

**Partial Dependencies:**

- Student\_ID  $\rightarrow$  Student\_Name (Student\_Name depends only on Student\_ID)
- Course\_ID  $\rightarrow$  Course\_Name (Course\_Name depends only on Course\_ID)

**Problems:**

- **Update Anomaly:** Changing student name requires multiple updates
- **Insertion Anomaly:** Cannot add student without enrolling in course
- **Deletion Anomaly:** Deleting enrollment may lose student information

**Solution:** Normalize to 2NF by removing partial dependencies

#### Mnemonic

“Partial dependency = Part of key determines attribute”

### Question 5(c) [7 marks]

Write a Short note on Locking Mechanism with example in Transaction Management

#### Solution

**Locking Mechanism:** Concurrency control technique that prevents simultaneous access to data items during transaction execution.

Table 23: Types of Locks



Lock Type	Description	Usage
<b>Shared Lock (S)</b>	Multiple transactions can read	Read operations
<b>Exclusive Lock (X)</b>	Only one transaction can access	Write operations
<b>Intention Lock</b>	Indicates intent to lock at lower level	Hierarchical locking

#### Two-Phase Locking (2PL) Protocol:

1. **Growing Phase:** Acquire locks, cannot release any lock
2. **Shrinking Phase:** Release locks, cannot acquire new locks

#### Example:

Transaction T1: Read(A), Write(A), Read(B), Write(B)

Transaction T2: Read(A), Write(A), Read(C), Write(C)

T1: S-lock(A), Read(A), X-lock(A), Write(A), S-lock(B), Read(B), X-lock(B), Write(B), Unlock(A), Unlock(B)

T2: Wait for A, S-lock(A), Read(A), X-lock(A), Write(A), S-lock(C), Read(C), X-lock(C), Write(C), Unlock(C)

#### Lock Compatibility Matrix:

Current/Requested	S	X
S		
X		

#### Problems:

- **Deadlock:** Two transactions waiting for each other's locks
- **Starvation:** Transaction waits indefinitely for lock

#### Solutions:

- **Deadlock Detection:** Use wait-for graph
- **Deadlock Prevention:** Timestamp-based protocols

#### Mnemonic

"Locking prevents Concurrent Conflicts"

### Question 5(a) OR [3 marks]

#### Explain Deadlock in Transaction Management

##### Solution

**Deadlock:** Situation where two or more transactions are waiting indefinitely for each other to release locks, creating a circular wait condition.

Table 24: Deadlock Components

Component	Description	Example
<b>Mutual Exclusion</b>	Resources cannot be shared	Exclusive locks
<b>Hold and Wait</b>	Process holds resources while waiting	T1 holds A, waits for B
<b>No Preemption</b>	Resources cannot be forcibly taken	Locks cannot be revoked
<b>Circular Wait</b>	Circular chain of waiting processes	T121

**Example:**

Transaction T1: Lock(A), Lock(B)

Transaction T2: Lock(B), Lock(A)

Time 1: T1 gets Lock(A)

Time 2: T2 gets Lock(B)

Time 3: T1 waits for Lock(B) - held by T2

Time 4: T2 waits for Lock(A) - held by T1

Result: DEADLOCK!

**Detection:** Use wait-for graph to identify cycles **Prevention:** Use timestamp ordering or wound-wait protocols

**Mnemonic**

“Deadlock = Circular Waiting for Resources”

**Question 5(b) OR [4 marks]**

Describe Full Functional Dependency with example

**Solution**

**Full Functional Dependency:** A non-key attribute is functionally dependent on the entire primary key (not just part of it).

Table 25: Dependency Comparison

Type	Definition	Example
<b>Full Dependency</b>	Depends on complete primary key	(Student_ID, Course_ID) → <i>Grade</i>
<b>Partial Dependency</b>	Depends on part of primary key	(Student_ID, Course_ID) → <i>Student_Name</i>

**Example: Enrollment Table:** Primary Key: (Student\_ID, Course\_ID)

Student_ID	Course_ID	Grade	Hours
101	C1	A	4
101	C2	B	3
102	C1	B	4

**Full Functional Dependencies:**

- (Student\_ID, Course\_ID) → *Grade*
- (Student\_ID, Course\_ID) → *Hours*

**Explanation:**

- **Grade** depends on both Student\_ID AND Course\_ID (specific student in specific course)
- **Hours** also depends on both (student's hours in specific course)
- Cannot determine Grade from Student\_ID alone
- Cannot determine Grade from Course\_ID alone

**Benefits:**

- **No Update Anomalies:** Changes affect only relevant records
- **Proper Normalization:** Supports 2NF requirements
- **Data Integrity:** Ensures accurate relationships

**Mnemonic**

“Full dependency needs Complete Key”

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### Question 5(c) OR [7 marks]

Explain ACID Properties of Transaction with example

#### Solution

**ACID Properties:** Four fundamental properties that guarantee database transaction reliability.

Table 26: ACID Properties

Property	Description	Example
<b>Atomicity</b>	All or nothing execution	Bank transfer: both debit and credit must happen
<b>Consistency</b>	Database remains in valid state	Account balance cannot be negative
<b>Isolation</b>	Transactions don't interfere	Concurrent transactions appear sequential
<b>Durability</b>	Committed changes are permanent	Data survives system crashes

### Detailed Examples:

#### Atomicity Example:

```
BEGIN TRANSACTION;  
UPDATE Account SET Balance = Balance {-} 1000 WHERE AccNo = {A001};  
UPDATE Account SET Balance = Balance + 1000 WHERE AccNo = {A002};  
COMMIT;
```

*If either update fails, entire transaction is rolled back*

#### Consistency Example:

```
{-{-} Before: A001 = 5000, A002 = 3000, Total = 8000}  
{-{-} Transfer 1000 from A001 to A002}  
{-{-} After: A001 = 4000, A002 = 4000, Total = 8000}  
{-{-} Total money in system remains constant}
```

#### Isolation Example:

T1: Read(A=100),

A=A+50, Write(A=150)

T2: Read(A=100),

A=A\*2, Write(A=200)

Serial Result:

A=300 or

A=250

Isolated execution must produce one of these results

#### Durability Example:

After COMMIT is executed, even if system crashes,  
the transferred amount remains in destination account

#### Implementation:

- **Atomicity:** Using transaction logs and rollback
- **Consistency:** Using constraints and triggers
- **Isolation:** Using locking mechanisms
- **Durability:** Using write-ahead logging

### Mnemonic

“ACID keeps Transactions Reliable”