


Greedy Algorithms

in optimization problems the algorithm needs to make a series of choices whose overall effect is to maximise the total benefit or minimise the total cost of some system

greedy always makes the choice that looks best at that moment
↓
making a locally optimal choice, hoping it leads to a globally optimal solution

not expensive to compute each individual size

but once the choice is made, it cannot be undone

Dijkstra's Algorithm

does guarantee optimality in finding the shortest path

Shortest Path Problem :

The problem of finding the shortest path from one vertex in a graph G to another vertex.

"Shortest" can have different meanings : least edges, least weight etc

$$G = (V, E)$$

↑
vertex
set

↑
edge
set

↘ directional or nondirectional = bidirectional

Dijkstra's works for weighted, directed graphs
weights must be non-negative

↓ $S_{\text{initial}} = \{\text{source node}\}$ Overview

- 2 sets of vertices
- S : set of vertices whose shortest path from source node has been determined. they form the tree
 - $V - S$: the remaining vertices
- ← empty or not is the flag for termination
(but what if the vertex can't be reached from the source?)
- Dijkstra is incremental

- d : array, size $|V|$, stores estimate length of shortest path
- p_i : array, size $|V|$, stores predecessors for each vertex

worst case: fully connected.

Basic Steps

1. initialise d and p_i
 ∞ \rightarrow null
2. set S to empty (or source node)
3. while there are still vertices in $V-S$
 - move u , the vertex with the shortest path estimate from source, to S from $V-S$
 - for all vertices in $V-S$ connected to u , update shortest distance to the source
 - update only if $d[v] > d[v']$
 \uparrow \downarrow
orig. in d new value from u

Pseudo Code of Dijkstra's

Dijkstra - shortestPath (Graph G , Node source) {

for each vertex v {

$d[v] = \infty$;

$pi[v] = \text{null pointer}$;

$S[v] = 0$; // $S[v] = 1$ if $v \in S$

}

$d[\text{source}] = 0$,

$\{v-s\} \rightarrow$ put all vertices in a priority queue Q . Sorted by $d[v]$ in increasing order.

while not Empty(Q) {

$u = \text{ExtractCheapest}(Q)$;

$S[u] = 1$

for each v adjacent to u

if ($S[v] \neq 1$ and $d[v] > d[u] + w[u, v]$) {

remove v from Q ;

$d[v] = d[u] + w[u, v]$;

$pi[v] = u$;

insert v into Q accordingly

}

}

}

guarantees
minimisation

Time complexity

- worst case : $O(|V|^2)$
↓
fully connected graph

Proof of correctness

Property of Shortest Path.

lemma 1 In a weighted graph G , Suppose that a shortest path from $x \rightarrow z$ consists of a path P from $x \rightarrow y$ followed by a path Q from $y \rightarrow z$, then P is the shortest path from $x \rightarrow y$ and Q is the shortest path from $y \rightarrow z$

↓
guarantees use of pi to find shortest path from all nodes to other nodes

proof by contradiction

WILL

Theorem D1 \rightarrow proves Dijkstra is optimal

\therefore the algo is iterative, proof by induction

let $G = (V, E, \overset{\text{weights}}{W})$. Let S be a subset of V and s be a member of S .
Assume $d[y]$ is the shortest distance in G from s to y , for each y in S . Let z be the next vertex chosen to go into S .

If edge (y, z) is chosen to minimise $d[y] + w(y, z)$ over all edges with one vertex in S and one vertex in $V - S$,

then the path consisting of the shortest path from s to y followed by the edge (y, z) is the shortest path from s to z .

Proof of D1

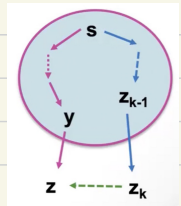
$P : s \rightarrow y$ (shortest) + edge (y, z)

$W(P) =$ distance along P

$P' =$ any shortest path different from P , ie. $P' = s, z_1, \dots, z_k, \dots, z$

\rightarrow first vertex in P' that's not in set S

prove pink (P) always $>$ blue (P')
by contradiction.



BE

$$w(p) = d[y] + w(y, z)$$

$$w(p') = d[z_{k-1}] + w(z_{k-1}, z_k) + \text{distance from } z_k \text{ to } z$$

always

$$d[z_{k-1}] + w(z_{k-1}, z_k) \geq d[y] + w(y, z)$$

TESTED

theorem D2 \rightarrow Given a directed weighted graph G with non-negative weights and a source vertex s , Dijkstra's algorithm computes the shortest distance from s to each vertex of G that is reachable from s

proof by
induction:

- ① first is shortest
- ② assume v_1, \dots, v_k all shortest
- ③ for v_k

if all are shortest, then min d to v_k will also be shortest.

not unique



Minimum Spanning Tree

- Subgraph of Graph $G = (V, E) = G' = (V', E') :$
 - $V' \subseteq V$
 - $E' \subseteq E$
 - $E' \subseteq V' \times V'$
 - all the edges that join $v \in V'$
- Spanning tree : a connected, acyclic subgraph containing all vertices of a graph
(any connections, just no loops) $V' = V, E' \subset E$
- Minimum Spanning Tree : a minimum-weight spanning tree is a weighted graph

Prim's Algorithm

- works on undirected graph
- builds upon a single partial minimum spanning tree, at each step adding an edge connecting the vertex nearest to but not already in the current partial minimum spanning tree

Steps

T : chosen

P : $V - T \cap$ adjacent to $v \in T$

1. vertex is chosen, put in T
 2. initialise P
 3. every iteration: $u \in P$ will be connected to T , deleted from P , v adjacent to u , not in P will be added to P
 4. when all vertexes are connected to T , P will be empty we are done.
 5. Vertex to be added will be chosen by the greedy method, among all vertices in P connected to T , we choose one with minimum cost.
- will still need d, s, p_i, p_q
 - minimize edges across.

Pseudocode.

$E \lg V$

PrimMST(G, s, n)

{

 initialise all vertex as unseen

 Reclassify s as tree vertex \leftarrow pick a random

 Reclassify all adj v of s as fringe

 while (fringe isn't empty)

 {

 Select edge uv with minimum weight between tree and fringe

 Reclassify v as tree, add edge uv to tree

 Reclassify unseen adj v of v to fringe, update seen if necessary. \leftarrow updateFringe

 }

}

check
→

updateFringe(pq, G, v) {

$\forall w$ adj to v {

 if ($s[w] \neq 1$) \leftarrow not in tree

 weight = weight of edge of w

 if ($d[w] == \infty$) {

$d[w] = \text{weight}$

$p_i[w] = v$

 insert($pq, w, d[w]$) \leftarrow weight

 } else if ($d[w] > \text{weight}$) {

$d[w] = \text{weight}$

$p_i[w] = v$

 decreaseKey(pq, w, weight);

 } }

MST Property

- this is a sufficient + necessary condition to say that a tree is an mst

let T be a spanning tree of G , $G = (V, E, w)$ a connected weighted graph. Suppose for every edge $(u, v) \in E$ and not in T , if (u, v) is added to T , it creates a cycle such that (u, v) is a maximum weight edge on that cycle. Then T has the minimum spanning tree property.

MST \rightarrow most efficient way to visit all nodes
Shortest \rightarrow — " ————— a particular node from another.

try with a tree diagram

Dijk v/s Prim \rightarrow visit all nodes in one tour.

\hookrightarrow goal is to find shortest path from source to one node at a time

$E \log E$
↑

Kruskal's Algorithm

- computes mst
- only considers edges of increasing order
- add next edge to tree T unless it creates cycle
- uses greedy strategy
- sort edges in increasing order
- select smallest one and add
- if cycle is there, remove, skip
- stop when all nodes

- Proof of correctness
by contradiction

suppose tree produced by Kruskal is not MST
there is some edge $u-v$ ~~not in T~~ which creates a cycle, in
which some other edge $x-y$ has weight $w(x-y) > w(u-v)$

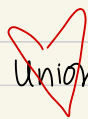
As $w(x-y) > w(u-v)$, edge $x-y$ must be processed
after $u-v$ in Kruskal's

At the time $u-v$ is processed, it should be in T
because it doesn't form a cycle

this contradicts that $u-v$ is not in T

Kruskal
is
dynamic

checking for cycle. → Union Find.



Dynamic Equivalence Relations

- a binary f^n is an equivalence relation if it is
 - reflexive ← helps satisfy MST
 - transitive
 - symmetric ← undirected graph

here, connection is a
equivalence relation

- any pair of nodes on MST is equivalent
- dynamic equivalence \Rightarrow equivalence relation will change with a number of operations
- given N objects in S : def 3 operations

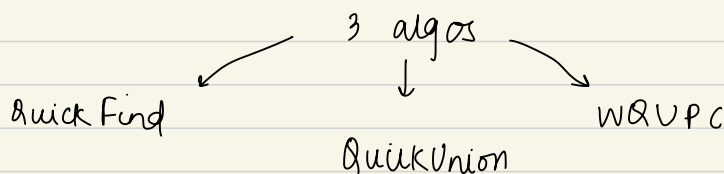
- ① initialise the object
- ② connect 2 objects : add them into relation R
- ③ check for path connecting them

Union-Find

- $\text{find}(p)$: which component does p belong to
- $\text{connected}(p, q)$: are they connected
- $\text{union}(p, q)$: connect them



these 3 methods implement dynamic equivalence relation



Quick Find → fastest

- Integer array $\text{id}[]$ of length N

$\text{id}[p]$ is the id of the component p belongs to.
similar to root.

$\text{find}(p) \rightarrow$ what's the id

$\text{connected}(p, q) \rightarrow$ same id?

$\text{union}(p, q) \rightarrow$ change all those whose $\text{id} = q$ to p

T_{comp} :	Find	Union	Find	connected
	$O(N)$	$O(N)$	$O(1)$	$O(1)$

too much

QuickUnion

↳ union

- integer array $id[]$ of length N
- root of p is $id[id[... id[]]]$

$find(p)$: root? $\rightarrow id[id[...]]$

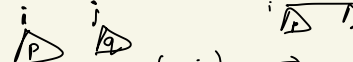
$connected(p, q)$: same root?
 $find(p) == find(q)$

union : id of p 's root = id root of q

time comp lexity	init	union	find	connected
	$O(N)$	$O(N)$	$O(N)$	$O(N)$

worst case : linked list \therefore find worst case : $O(N)$

union : we have to use find. $\therefore O(N)$


 $sz[i] = 4$
 $sz[j] = 6$
 $id[i] < j \Rightarrow sz[j] = 10$

Weighted Quick Union

- modify quickunion to avoid tall trees
- keep track of size of each tree (number of objects)
- balance by linking root of smaller tree to root of larger tree.
- need id of root of p
- array ds $sz[i]$ to count of of obj in tree rooted at i
- $find()$ \rightarrow same as quickUnion : $id[id[id[i]]]$
- $connected()$ \rightarrow same as quickUnion
- $union(p, q) \rightarrow$

make smaller tree lower
 update array $sz[]$

$i = find(p)$ $j = find(q)$
 if ($i = j$) return
 if ($sz[i] < sz[j]$)
 $id[i] = j$; $sz[j] += sz[i]$

time complexity.

- running time depends on depth of node

else
 $id[j] = i$; $sz[i] += sz[j]$

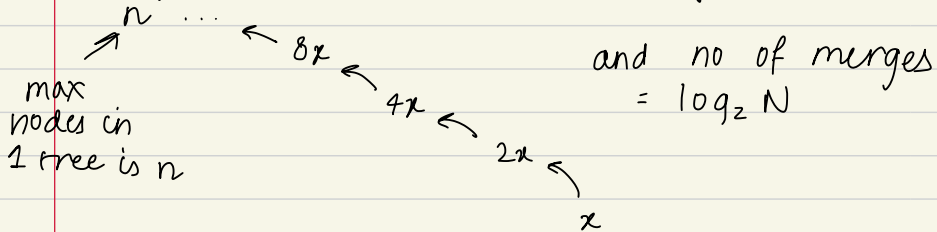


- max depth ever : $\log_2 N$
- depth inc by 1 at every merge of tree with 'p' to another tree
- size of the whole tree is least double of size (T_1) : $size(T_2) > size(T_1)$
- size of tree can double at most $\log_2 N$ times

no of nodes

\rightarrow proof next page

every merge \Rightarrow at least doubling



and since depth increases by 1 for every merge
max depth $= \log_2 N$

time comp	init	find	connected	union
	$O(N)$	$O(\log_2 N)$	$O(\log_2 N)$	$O(\log_2 N)$

IMPROVE !!

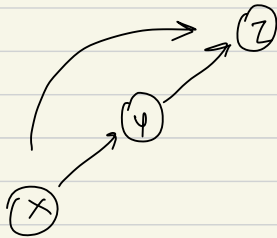
Weighted QuickUnion w/ Path Compression

- when performing find, compresses the path
- id of the whole component is the same at the end
- right after computing the root of p , set the id of each node on the path to the root

↑

① 2 pass implementation
→ add second loop to find() to set the id[] of each examined node to the root

② 1 pass variant
→ make every other node point to its grandparent



id x y z
y z z

$x \neq y$

$\text{id}[x] = \text{id}[\text{id}[x]] = z$

$x = z \quad (i = \text{id}[x])$

time complexity	init	find	connected	union
	$O(N)$	$\log^* N$	$\log^* N$	$\log^* N$

M times, N nodes

ALL TOGETHER NOW

WCTC worst case time complexity	Quickfind	Quick Union	Weighted Quick Union	w/Path comp
	MN	MN	$N + M \log N$	$N + M \log N$

M = no of union - find operations

for 10^{11} unions w/ 10^{11} obj \rightarrow QuickFind : 3000 yrs
WQUFC : 6 seconds

$E \log E$

↖ Back to Kruskal's

- ① arrange edges in inc weight
- ② start with the beginning
- ③ for each edge → find
connected union ✓
if false ↘

use id to keep track

```
public class KruskalMST
{
    private Queue<Edge> mst = new Queue<Edge>();

    public KruskalMST(EdgeWeightedGraph G)
    {
        MinPQ<Edge> pq = new MinPQ<Edge>(G.edges());  $O(|E|)$ 
        UF uf = new UF(G.V());  $O(|V|)$ 
        while (!pq.isEmpty() && mst.size() < G.V()-1)
        {
            Edge e = pq.delMin();  $O(|E| \log |E|)$ 
            int v = e.either(), w = e.other(v);  $O(|E| \log^* |V|)$ 
            if (!uf.connected(v, w))
            {
                uf.union(v, w);  $O(|V| \log^* |V|)$ 
                mst.enqueue(e);  $O(|V|)$ 
            }
        }
    }

    public Iterable<Edge> edges()
    { return mst; }
}
```

Overall: $O(|E| \log |E|)$

Annotations:

- build priority queue (or sort) → $O(|E|)$
- greedily add edges to MST → $O(|E| \log |E|)$
- edge $v-w$ does not create cycle → $O(|E| \log^* |V|)$
- merge sets → $O(|V| \log^* |V|)$
- add edge to MST → $O(|V|)$

Handwritten notes in image:

- no edges $O(|V|)$
- min edges $O(|V|)$