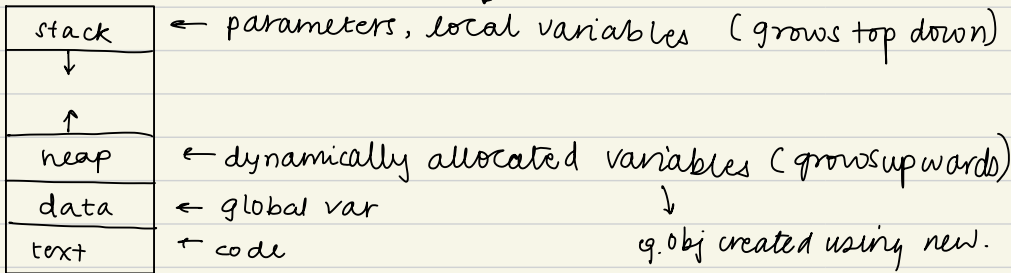


Process Concept

- process = job \Rightarrow program in execution
(don't confuse with program which is a static concept)
- batch OS \rightarrow jobs
- time sharing OS \rightarrow user programs & commands
- processes must progress in a sequential fashion.
 \hookrightarrow dynamic processes

process in memory

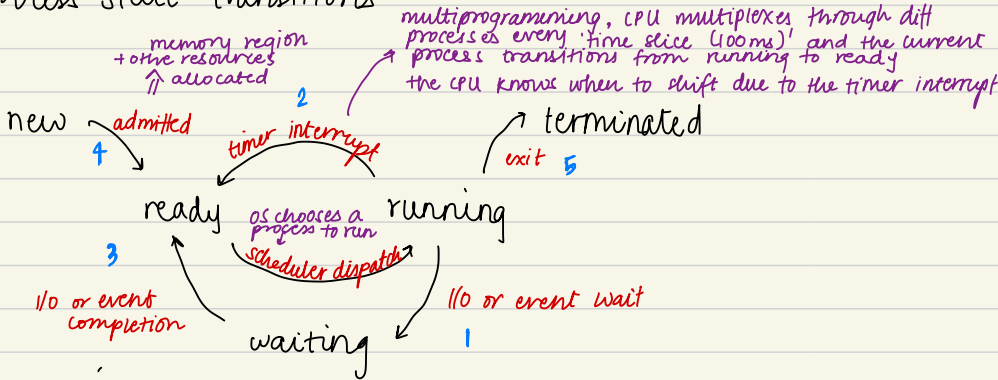
e.g. normal & recursive calls.



process state

1. new \leftarrow creation
2. running \leftarrow execution of instructions
3. waiting \leftarrow process is waiting for I/O or events
4. ready \leftarrow ready to run, waiting for CPU
5. terminated \leftarrow done.

process state transitions



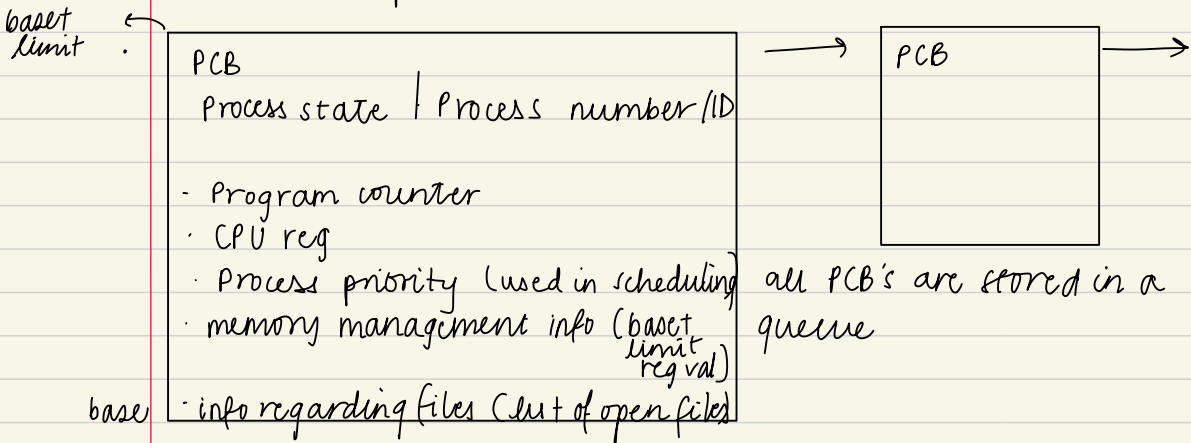
Process Control Block (PCB)



a data structure to maintain the state of the process



supports OS fⁿ of switching the process in the CPU acc to timer interrupts or I/O events wait.



PCB's are stored in main memory and have hardware protection enabled

- PCBs are stored in kernel space, but processes are in the user space.
- \therefore every change to the PCB occurs in kernel mode

Process Scheduling

① Context Switch

- time-sharing, every 100 ms, timer interrupt + process change
- the OS comes into play in the kernel mode to update the PCBs of the switching jobs
 - ↳ "saving context" + "load context"
- the context switch time is overhead \Rightarrow system does no useful work while switching b/w processes

② Process scheduling Queues

- job queue : a queue of all processes with the same state
 - eg. ready queue \swarrow by device
 - device queue (waiting on I/O)

all stored in memory in kernel space

- processes migrate b/w queues as state changes
- running process is stored as the first element in the queue

③ diff types of schedulers (all OS controlled)

- infrequent initially controls degree of multiprog.
 - long-term scheduler (or job scheduler): selects processes from disks and loads them into main memory for execution
 - basically "creates" the project / "admit"s the project
- invoked frequently
 - short-term scheduler (or CPU scheduler): selects from among the processes that are ready to execute + allocates the CPU to one of them
 - chooses those that transition from 'ready' to 'running'
- takes over long-term scheduler for multiprog.
 - medium-term scheduler:
 - when system load is heavy, swaps out partially executed process from memory to hard disk
 - when system load is light, such processes are swapped back to main memory from disk
 - responsible for adjusting the degree of multiprogramming
 - VM allows this

multiple processes, single processor.

degree of multiprogramming is the number of processes in the main memory that can be supported by a multi-programmed system

creation

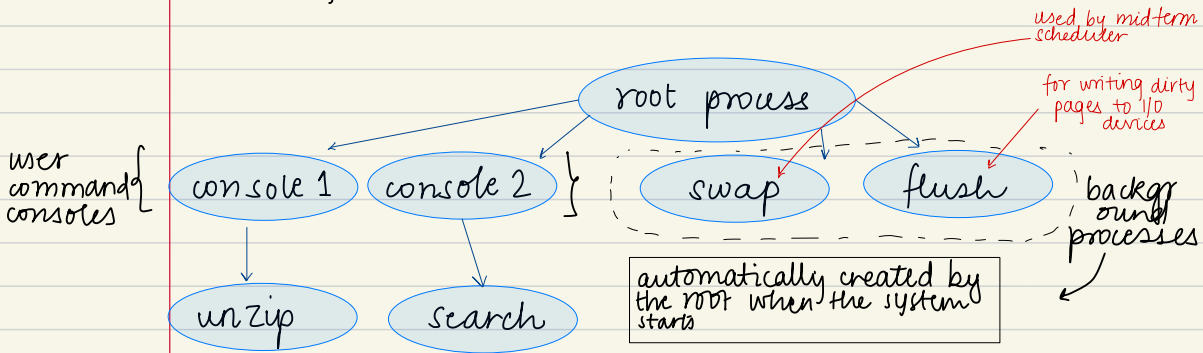
execution

termination

operation on Processes

① Process Creation

- parent process creates children processes and so on, resulting in a tree of processes (called a fork)



- the creation occurs through system calls

② Process Execution

- 2 orders:
 - parent & child execute concurrently & independently
 - parent waits until children terminate
 - calls system call 'wait()' (join() in nachos) and the parent process state becomes waiting
 - state will change to ready when the child process finishes execution

③ Process Termination



- **exit** : process executes last statement and asks the OS to delete it
 - child may output return data to its parent
 - process resources are de-allocated by the OS
- **abort** : parent may terminate execution of children processes anytime where
 - child has exceeded allocated resources
 - task assigned to child is no longer required
 - parent is exiting
 - ↓
 - OS kills child process if parent process terminate, this is called cascaded termination

Interprocess Communication

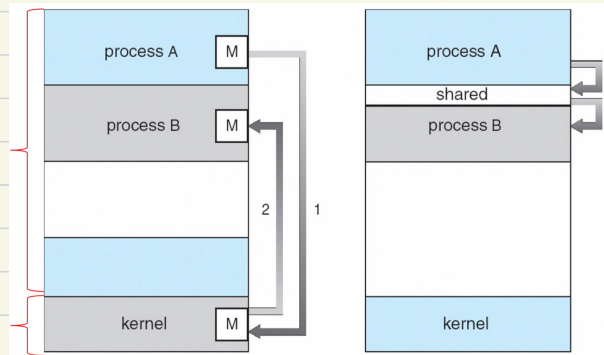
↪ about processes that work together

- **independent process** : cannot affect or be affected by the execution of other processes
- **cooperating process** : can affect / be affected by the execution of other processes
 - communicates to share data
 - 2 models of Inter-Process Communication (IPC)
 - message passing
 - shared memory

Inter-Process Communication

M : mailbox

- message is placed in the mailbox in the kernel space
- process B takes the message from the kernel to itself



- updates data in memory buffer
- reads data from memory buffer
- communication through read/write operations
- address of shared memory space must be known to both processes
∴ it is in the user space

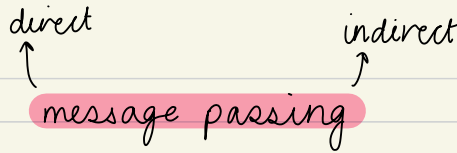
message passing

- small data.

shared memory

- only 1 system call to ext. shared space.
- large data

does direct messaging also require mailbox? ^{yes}



- processes communicate and synchronize their actions without resorting to shared variables
- 2 operations (system calls) are required
 - send() → message size is fixed or variable
 - receive()
- for communication:
 - est. a communication link between them
 - exchange messages via send/receive

- Direct : processes must name each other explicitly :
 - send(P, message) → send to P
 - receive(Q, message) → receive from Q

- Indirect : uses mailbox, no name necessary
 - obj ↓ where messages are placed or removed
 - identified by ID
 - can be implemented as a queue

same as mailbox?

yes

- buffer is used to store messages
 - ↳ unbounded - buffer (no practical limit)
 - ↳ bounded - buffer (fixed size)

or is it data??? nope

- process sending messages does so until mailbox is full, ^{then waits}
- process receiving messages does so until mailbox is empty,

Threads

same as processes, just nachOS calls it threads

Overview

thread: basic unit of CPU, light-weight process

→ thread id

→ program counter

→ register set

→ stack space

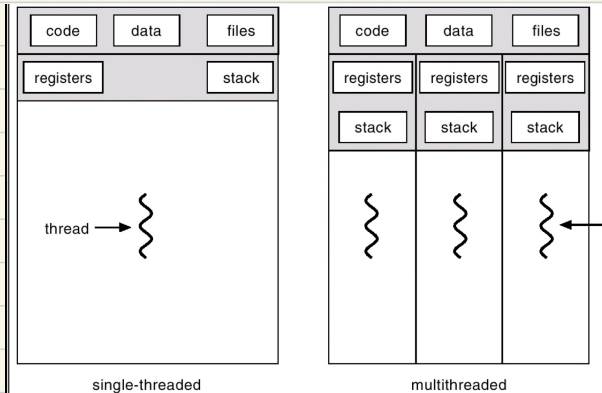
↙ called so because resources for code, data, files need not be allocated again

thread shares w/ peer threads in the same process

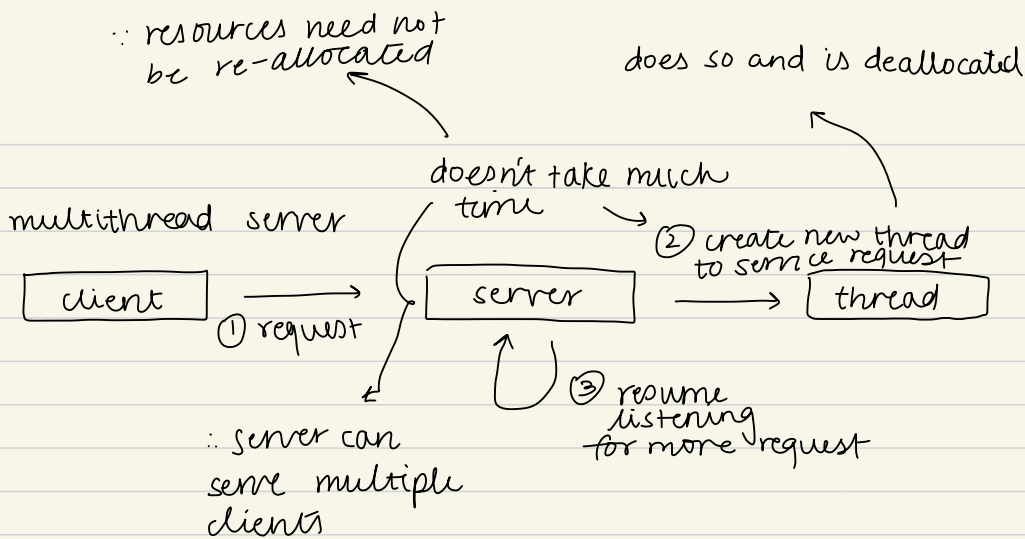
→ code & data sections

→ OS resources (open files)

traditional process \equiv executing prog w/ a single thread of control



if a particular thread is awaiting input / is blocked, the other threads can run
thread
↓
higher throughput



Thread Implementation Models

we want unlimited thread creation possible by the user but the OS Kernel can only support a limited number of threads due to resource constraints

↘ solution

- user threads (logical), in user space
- kernel threads (physical), in kernel space
 - slower to make + manage
 - resources are eventually allocated
 - can be executed on diff processors
- OS maps user threads to kernel threads
 - ↳ many to one : disad : unable to run in parallel, if a thread is stuck (system call/I/O), the rest are too
 - ↳ one to one : more concurrency, but too many
 - ↳ many to many : disad : not easy to decide mapping