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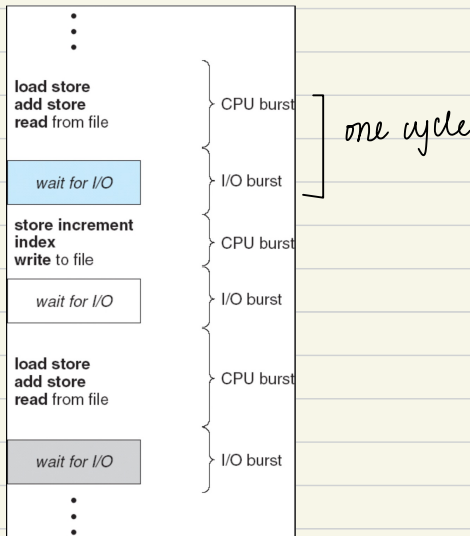
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## Basic Concepts

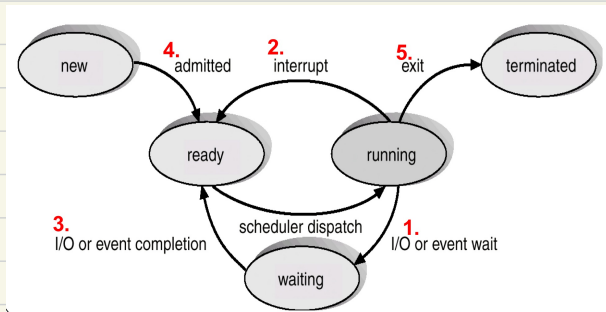
- CPU-I/O Bursts : process execution alternates b/w CPU and I/O ops.
- CPU burst  $\Rightarrow$  duration of 1 CPU exe. cycle
- I/O burst  $\Rightarrow$  duration of 1 I/O operation (wait time)
- CPU scheduling objective : keep the CPU busy as much as possible.

focussing on concepts of short-term (ready queue) scheduler for uni and multiprocessor CPUs



## CPU Scheduler (Short Term)

- goal · select process (1/t) in the ready queue and allocate it to the CPU (1 process/core)
- the scheduler runs whenever the process changes state



- ready  $\rightarrow$  running is called scheduler dispatch  
always made by the CPU-scheduler
- the CPU scheduler has to execute when a process is in the exit or event / I/O wait, else the CPU will idle

## Types of CPU scheduler

1. Nonpreemptive      once a process has been assigned to the CPU, it keeps it until it voluntarily releases the CPU either by
  - a) terminating
  - b) I/O or event wait



scheduling is nonpreemptive if it happens only for exit or I/O/event wait (and occasionally admission when core is idle)

2. Preemptive : CPU can be taken away from a running process at any time



can be for 1, 5 and 4 but ALSO for 2, 3, 4 or at any other time instant

## Scheduling Objectives

SYSTEM-WIDE

1. Max CPU utilization → measured by 
$$\frac{\text{total exe time on each core}}{\text{total time} \times \text{no of cores}}$$
2. Max throughput → number of processes completing exe/time or  
no. of exit transitions per unit time

PROCESS-SPECIFIC

1. Min. Turnaround Time : amount of time taken to execute a process  
(state) (named time)  $\rightarrow$  from "admitted" to "exit"  
running (CPU burst)  
+ waiting (I/O burst) average over all processes is  
+ ready (waiting time) denoted as average turnaround  
turnaround time time
2. Min waiting time : amount of time a process has been waiting in ready state  
NOT THE TIME IN THE WAIT STATE
3. Min response time : time from a request submission (admitted) to response produced (assumed to coincide with start of execution)

assume a single CPU burst, single CPU core per process.  
focus on average waiting time

1. FCFS

2. SJF

3. Priority  
Based  
Scheduling

4. Round  
Robin

5. Multilevel  
Queue  
Scheduling

## Scheduling Algorithms : Uniprocessor System

### 1. First come First Serve Scheduling

as the name suggests .

can be implemented using a FIFO queue

eg .

Process	CPU Burst Length
$P_1$	24
$P_2$	3
$P_3$	3



→ all arrive at 0 , in the order  $P_1, P_2, P_3$

waiting time :  $P_1 \rightarrow 0$

$P_2 \rightarrow 24$

$P_3 \rightarrow 27$

$$\text{avg} = (0 + 24 + 27) / 3 = 17$$

since the process keeps the CPU until termination / wait  
this is non-preemptive.

if they arrive in the order  $P_2, P_3, P_1$  :

waiting time  $P_1 \rightarrow 6$

$P_2 \rightarrow 0$

$P_3 \rightarrow 3$

$$\text{avg} = (6 + 3 + 0) / 3 = 3$$

clearly , average waiting time is affected by arrival order

nonpreemptive : processes have to voluntarily  
release the CPU once allocated

convoy effect : short processes suffer long waiting  
times if they arrive after a long  
process

PROPERTIES

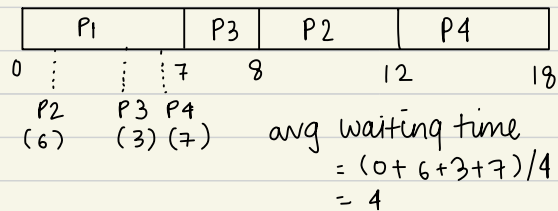
## 2. Shortest Job First (SJF) Scheduling

- prioritizing processes based on their CPU burst lengths
  - shorter burst  $\Rightarrow$  higher priority.
  - handles convoy effect of FCFS
- 1. Nonpreemptive : once the core is given to a process, it stays until the CPU burst is completed
- 2. Preemptive / Shortest Remaining time first : if a newly created process has CPU burst length less than the remaining CPU burst of a currently running process, then preemption will occur and it will get switched out.

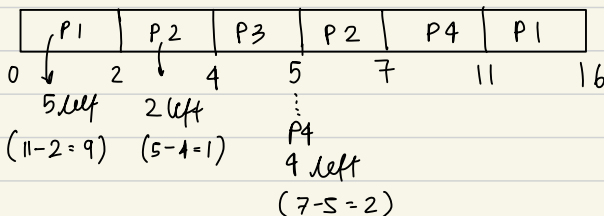
SJF / SRTF is optimal for optimizing avg waiting time

Process	Arrival Time	Burst Time
$P_1$	0.0	7
$P_2$	2.0	4
$P_3$	4.0	1
$P_4$	5.0	4

non-prem.



pre-emp.



### 3. Priority-based Scheduling

- a priority number (integer) is associated with each process
  - ↳ smallest number  $\Rightarrow$  greatest priority
- FCFS & SJF are forms of this
  - ↓  
arrival time
  - ↓  
CPU burst length
- Problem of starvation : lower priority may never execute
  - ↓  
solution : Aging
  - ↓  
as time progresses, system gradually increases priority



#### 4. Round Robin (RR) Scheduling

- a "fair scheduling algorithm"
- all processes get the CPU for  $q$  time units; pre-empted after and placed in the ready queue timer interrupt-  
↙
- $q$  = quantum time (normally 10-100 milliseconds)
- for  $n$  processes in the ready queue  $\Rightarrow$  waiting time is not more than  $(n-1)q$
- large  $q$  (greater than CPU burst)  $\rightarrow$  becomes FCFS
- small  $q$  (many context switches, high overhead)
- higher avg waiting/turnaround time than SJF, but better response time.
- no well def. relation b/w avg turnaround time & quantum size although ofc: avg turnaround time is fixed once quantum size is greater than the max CPU burst length

## 5. Multilevel Queue Scheduling

diff processes have different requirements and hence need different scheduling



so the ready queue is partitioned into separate queues and each queue has its own scheduling algorithms.

- foreground processes : keystrokes , I/O interaction need to be interactive → fast response time so RR is pref.
- background processes : batch processing, virus scanning need not be interactive → FCFS.
- each queue has its own scheduling and the queues themselves need to be scheduled to.

fixed priority

- serve all foreground queues first , then after serving all processes , consider the background queue
- starvation for lower priority queue

time slice based

- each queue gets a fixed time quantum on the CPU (80ms fore, 20ms back.)

## 1. Partitioned scheduling

## 2. Global Scheduling

### Scheduling Algorithms: Multiprocessor System

ASSUME multi-core CPU, each process can execute on only one CPU-core at any time instant.

#### 1. Partitioned scheduling (Asymmetric multiprocessing AMP)

- Processes are partitioned apriori (based on theoretical deduction) among the CPU cores (at process creation time)
  - each process is mapped to one core
  - separate uniprocessor CPU scheduling for each core
- Several queues, specific to cores.

#### advantages

- core-specific scheduling strategy is feasible (FCFS, STF, RR, multilevel)
- easy and simple extension of uniprocessor CPU scheduling to multiprocessor CPU scheduling

#### disadvantages

- mapping is tough, the load must ideally be balanced else cores could be idle while others are overloaded
- kind of like knapsack problem
- heuristic such as best-fit can be used to assign the process to the CPU

## 2. Global Scheduling (Symmetric Multiprocessing)

- one or more ready queues for the whole system (no more matching)
- when any core becomes idle, CPU scheduler runs and allocates the next process to execute
- process selection based on strategy applied globally or symmetrically across all cores (FCFS, SJF, RR)
- the same process could execute on different cores at different time
- pre-empted.

### disadvantages

- implementation complexity is high compared to partitioned scheduling
  - ↳ the cores need to be synced, scheduler must use 2 clock.
  - ↳ selection of next process may involve going through several processes in the ready queue
- switching cores means loss of access to prev cores data caches, so data needs to migrate which is time consuming
- overhead is high

