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## File System Structure

- resource abstractions typically associated with secondary storage
- long existing, shareable, organised hierarchically
- file system :

logical File System

File Organisation Module

Basic File System

I/O Control

## File Attributes

- ① Name
- ② Type
- ③ Location
- ④ Size
- ⑤ Protection
- ⑥ Time, Date
- ⑦ User Identification



meta data of a file

↑  
Stored in directory structure maintained on disk

↑  
special type of file

↑  
non volatile  
memory

## File Types

- file has a contiguous address space which can store many different types of info

File Type	Usual extension	Function
Executable	exe, com, bin or none	ready-to-run machine-language program
Object	obj, o	compiled, machine language, not linked
Source code	c, p, pas, 177, asm, a	source code in various languages
Batch	bat, sh	commands to the command interpreter
Text	txt, doc	textual data documents
Word processor	wp, tex, rrf, etc.	various word-processor formats
Library	lib, a	libraries of routines
Print or view	ps, dvi, gif	ASCII or binary file
Archive	arc, zip, tar	related files grouped into one file, sometimes compressed.

extension also communicates the type of operations one can perform + applications that support it  
→ sequence of bytes

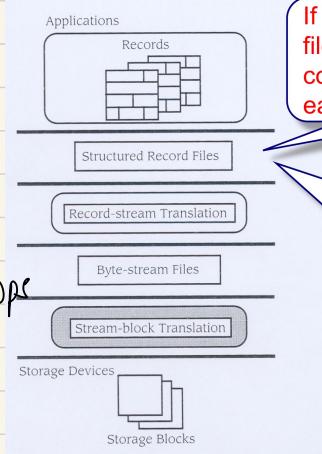
## File Structure

- logical structuring of records as determined by the way they are accessed by application programs
- file is a set of records
  - can be stored in a certain way to facilitate file access
- storing a file physically on a secondary storage device needs
  - ① record stream translation : map logical file struct to contiguous logical address space → sequence of bytes
  - ② stream-block translation : divide the byte sequence into blocks
- retrieving file is the same but in opposite order
- each structure needs its own translation code in the OS ∴ many struct is cumbersome

↑  
∴ the translation part is left to the application programs

- OS assumes all files are sequences of bytes and translation is left to app

↑  
UNIX, early windows



## File Access Methods

- Sequential Access
- Direct Access : referenced using byte number  
but still need to load entire block into the memory

## File Operations

Commands	Explanation
Create	allocate disk space; create directory entry with file attributes.
Delete	delete the corresponding directory entry; deallocate disk space.
Open	search the directory structure for file entry; move the content to memory (put in the <i>open file table</i> ).  <i>kernel data structure</i> →  Information Associated with an open file: <ul style="list-style-type: none"><li>- Current Position Pointer</li><li>- File Open Count → how many open</li><li>- Disk Location</li></ul>
Close if O delete from OFT	move the content of directory entry in memory to directory structure on disk. ← file open count --;
Write	search <i>open file table</i> to find the location of file; write data to the position pointed by <i>Current Position Pointer</i> .
Read	search <i>open file table</i> to find the location of file; read data from the position pointed by <i>Current Position Pointer</i> .

→ Step 1 → space allocate  
→ Step 2 → new entry in directory

→ Step 1 → search directory, delete  
→ Step 2 → release all

→ before read/write  
→ basically moving file info from directory (hard disk) to main memory.  
→ file info comes to memory and is easier to access

## Directory structure

- multiple entries → one per file
  - directory and files all reside on disk

2 ways of storing

  - each entry has the name and other metadata
  - each entry has name + pointer to de that stores metadata.
    - used by UNIX
    - file control block

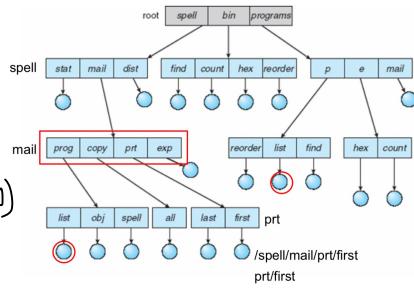
- ① Implemented using linear list
    - simple
    - time-consuming to search

- ② Hash Table

  - list w/ hash table to facilitate file look-up
  - decreases search time
  - collisions may occur

- ③ Tree

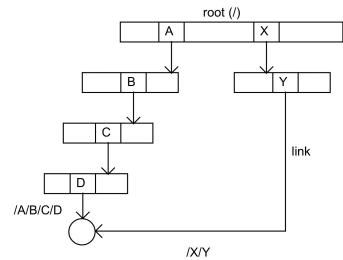
  - file is identified by path name
  - also relative path based on working directory
  - can have same name but diff path (under diff directories)
  - easy search, easy group
  - allows for file sharing using links : tree → acyclic graph



#### ④ Acyclic Graph directory

- links allow sharing

only 1 physical file  
2 ways of creating links



##### ⓐ Symbolic link

- creates a redirect, directory file entry contains path to actual file.
- so the path is used to access the file
- time-consuming

##### ⓑ Hard link

- duplicate
- new directory entry which contains all the info stored in the original entry
- faster
- ∴ duplicate → any time file attributes are modified the link entry must also be modified.

##### Problems w/ sharing

- traversing file system may result in visiting shared files more than once : *sln* → ignore link while traversing
- deleting shared file may leave link dangling

*sln*: search for all links and remove

→ leave them, delete when used  
preserve file, delete only when all are deleted.

don't  
always  
work!

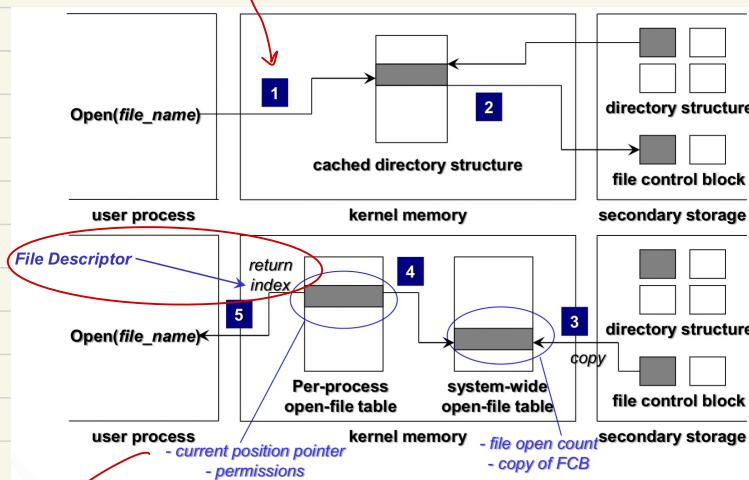
## Directory Operations

Commands	Explanation
Create	create a directory. In UNIX, two entries "." and ".." are automatically added when a directory is created. "." refers to the <i>current directory</i> ; and ".." refers to its <i>parent</i> .
Delete	delete a directory. Only empty directory can be deleted (directory containing only "." and ".." is considered empty).
List	list all files (directories) and their contents of the directory entry in a directory
Search	search directory structure to find the entry for a particular file.
Traverse	access every directory and every file within a directory structure.

## In-Memory File System Data Structure

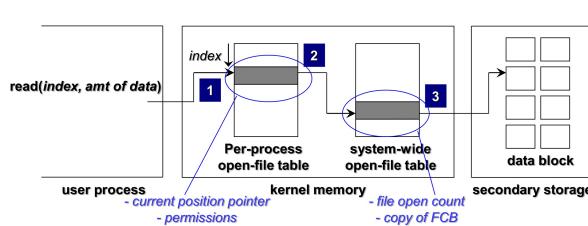
- `open()` → to avoid constant search of directory given name + cached directory structure = OS can find FCB on hard disk
- if multiple processes want to open the same file simultaneously → it's a problem
  - ↓  
soln : 2 levels of open files

*kernel instruction*



where file is currently being accessed.

- ① open (file) system call
- ② cache directory entry
- ③ access FCB
- ④ copy FCB to system-wide open-file table
- ⑤ per-process open-file table contains current position pointer + permissions
- ⑥ index of entry in ppof is returned to user and application program.



+ file allocation method

- ① index gives current position pointer
- ② CPP + amt of data indicates which block of file to access
- ③ using FCB from SWOT the exact location of blocks on hard disk is found.

## File Protection

3 protection

✓ bits

3 actions : read, write, execute

R W X

3 groups : owner access 7 : 1 1 1  
group access 5 : 1 0 1  
public access 1 : 0 0 1

File type	User access	Group access	Public access	Links	Userid	Size	Date	Time	File name
↓	↓	↓	↓	↓	↓	↓	↓	↓	↓
-	r w x	r - x	-- x	1	smith	58	Mar 3	3:04	game1

## Directory Protection

access directory means execute it.

read permission → list the directory

write permission → create or delete files from a directory

File type	User access	Group access	Public access	Links	Userid	Size	Date	Time	File name
↓	↓	↓	↓	↓	↓	↓	↓	↓	↓
d	r w x	r - x	---	0	smith	5	Feb 2	12:01	games

## Allocation Methods

### ① Contiguous Allocation

- each file occupies a set of contiguous blocks on the disk
- from 'b' to 'b + n - 1'     $n = \text{blocks in file}$
- supports random access

cause if you know to go to  $i^{\text{th}}$  block in file : ' $b+i$ ' is the place to be

#### PROBLEMS

- waste of space (as files are created and deleted, hard disk becomes fragmented)
- find big enough hole : first fit, best fit  $\leftarrow$  external fragmentation
- size of file is limited by holes below the file

- x-
- address mapping : block size :  $k$   
 $LA : Q \times k + R$   $\leftarrow$  offset  
block to be acc  $\rightarrow Q +$  starting address

$$\frac{LA}{k} = Q + R$$

$\uparrow$  quotient      remainder

eg :  $LA = 2333$   
 $k = 512$   
starting = 19

$$LA = Q \times 512 + R = 2333$$

$\uparrow$  4       $\uparrow$  185

$$PA = 285^{\text{th}} \text{ byte of } 23^{\text{rd}} \text{ block}$$

$\uparrow$   
4 + 19

## ② Linked Allocation

- each file is a linked list of blocks that could be scattered anywhere on the disk
- no issue of external fragmentation
- no random access ← inefficient. first
- some waste of space ← pointer takes up 4 bytes.
- no constraint on size

$LA = Q \times (\text{size} - 4) + R$

↑                              ↑  
block no                      offset

byte at : block  $Q$   
byte  $R+4$

## ③ Indexed Allocation

- every file has an index block which contains all pointers to the allocated blocks arranged in logical block order
- directory entry has index block number
- big file  $\Rightarrow$  multiple index blocks
- supports random access
- dynamic storage w/o external fragmentation
- excess space for index block
- overhead of updating + mapping index block

logical to physical

file max size : 128 k bytes

block size : 512 bytes

∴ 2 blocks for index table (4 bytes for pointer)

$$2^{\frac{17}{9}} \rightarrow 2^8 \text{ blocks}$$

$$\left\lceil \frac{2^{10}}{2^9} \right\rceil = 2 \rightarrow 2^{10} \text{ bytes}$$

index table size

if LA = 2333

Q = 4

R = 285

PA = 285<sup>th</sup> byte of 4<sup>th</sup> block

directory : filename + inode number

• UNIX IMPLEMENTATION : inode (index node) ↓ → fixed location in disk

a) file attr. bytes

b) 12 ptrs to direct blocks

c) 3 ptrs to indirect blocks

· single → index blk → blocks

· double → index → index → blocks

· triple → index → index → lists → blocks

/usr/ast/mbox  
root

2<sup>12</sup> block

what file size will it support?

ptr : 4 bytes = 2<sup>2</sup> bytes

block : 4k bytes = 2<sup>12</sup> bytes

direct : 4k × 12 = 48k bytes

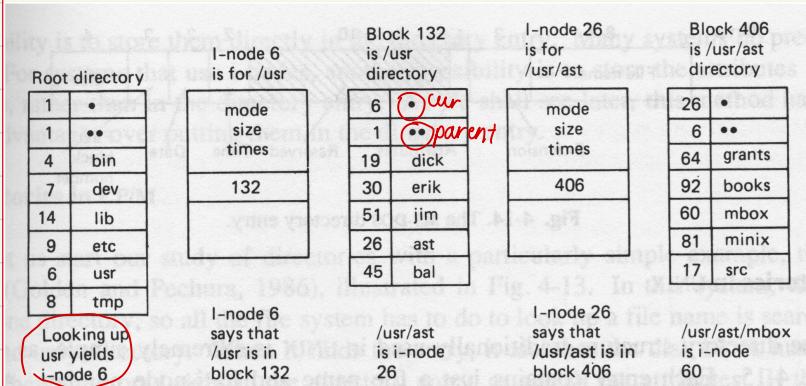
direct + single indirect = 48k + 2<sup>22</sup> bytes

double indirect = 2<sup>10</sup> × 2<sup>22</sup> = 2<sup>32</sup>

triple indirect = 2<sup>10</sup> × 2<sup>10</sup> × 2<sup>10</sup> × 2<sup>12</sup> = 2<sup>42</sup>

$$\frac{2^{12}}{2^2} = 2^{10} \text{ entries}$$
$$2^{10} \times 2^{12} = 2^{22}$$

# file look-up in UNIX

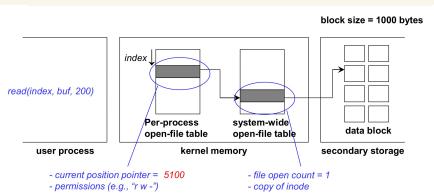


look up /usr/ast/mbox

- ① currently only root is in the cache
  - ② find i-node 6, bring to mem
  - ③ pts to block 132, bring it to mem
  - ④ find ast : i-node 26, bring it to mem
  - ⑤ pts to block 406, bring to mem
  - ⑥ find mbox : i-node 60, bring it to mem
- 5 to open mbox

4 disk I/O to  
find mbox

inode LA  $\rightarrow$  PA



· read 200 bytes from 5100  
 · logic block :  $\frac{5100}{1000} = 5$

· same as

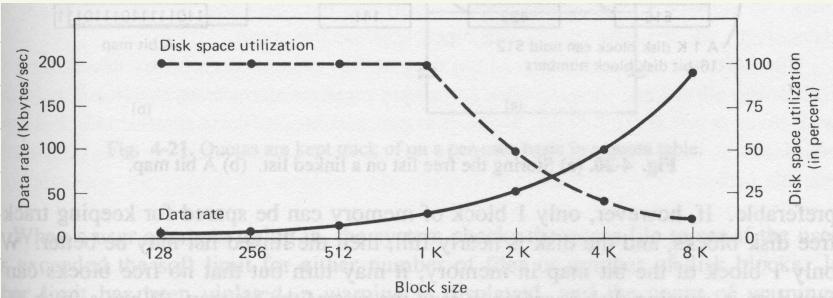
## Disk Space Management

determining block size

block size affects both data rate and disk space utilisation

- a) big block size :
  - file fits into fewer blocks
  - fast to find & transfer blocks
  - space can be wasted if file doesn't occupy the entire last block (int-frag)
- b) small block size :
  - file may consist of many blocks
  - slows data rate

? time space trade off issue.



how to keep track of the empty blocks

① Bit map / vector

- each block is represented by 1 bit (0: free, 1: allocated)
- bit map size =  $\frac{\text{disk size}}{\text{block size}} \rightarrow \text{no of blocks}$   
 $\uparrow$   
 $\frac{\text{block size} \times 8}{\text{bits to byte}}$

Kernel updates it.

Kept in disk, bring to memory.

## ② Linked list

- kernel maintains a list of all free blocks in
  - so keeps track of only the first counter order.
  - no extra space
  - but not efficient
- )
- for contiguous memory allocation  
to find large enough hole  
kernel must traverse linked list
- deleting file will need the block to be inserted in the right place which will also need traversal.