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## Searching

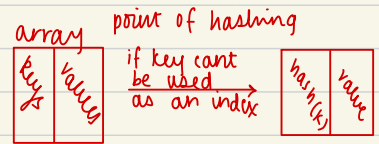
- sequential : worst case :  $\Theta(n)$
- binary : worst case :  $\Theta(\log_2 n)$

to improve to  $\Theta(1)$ , we sacrifice space

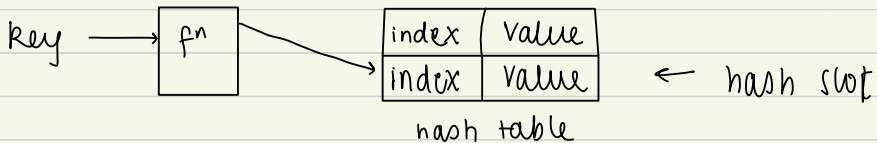
Hash Tables : efficient look up, insertion and deletion of key-value pairs

- hashing : process of using a hash f<sup>n</sup> to map data of arbitrary size to fixed-size values or keys

- hash function
- collision & its resolution
- deleting a key
- resizing hash table



- hash fn :  $\{ \text{all possible keys} \} \rightarrow \{ 0, 1, 2, \dots, h-1 \}$



- Key 1  $\rightarrow$  fn  $\rightarrow$  index | value
  - Key 2  $\rightarrow$  fn  $\rightarrow$  index | value
- collision

- if  $n$  records are stored in a table with  $h$  slots  
load factor is  $\alpha = \frac{n}{h}$

$\alpha \uparrow$ , time complexity  $\uparrow$

range from 0.6  $\rightarrow$  0.75

resize as  
 $\alpha \rightarrow 1$  (up)  
 $\alpha \rightarrow \alpha \max/4$  (down)

$f$  · key space  $\rightarrow$  hash code  
↑  
hash functions

- must map all possible values within the range of the hash table uniquely
- mapping should achieve an even distribution of the keys
- easy & fast, minimise collision

1. modulo

$$H(K) = K \bmod h$$

← table size

if key is :

decimal  $\rightarrow h \neq$  power of 10

binary pattern  $\rightarrow h \neq$  power of 2

"real" data  $\rightarrow h =$  prime number, not close to a power of 2

2. folding (all bits contribute to the result)

- partition the key into several parts and combine the parts in a convenient way
- shift folding : divide the key into a few parts and add them up.

"abcdef"  $\rightarrow$  599 (add each ascii code)


3. Mid-square (example of folding)  
Key is squared and the middle part is used.
4. multiplicative congruential method  
- pseudo-random number generator

$$a = 8 \left\lfloor \frac{h}{23} \right\rfloor + 5$$

$$H(k) = (a \times k) \bmod h$$

redone next page  $\longrightarrow$  ways of hashing

- direct address table
- closed address hashing
- open address hashing
  - linear probing
  - quad. probing
  - double hashing

linked list for multiple  
  
 entries at index } collision  
 soln

- closed worst case : all in same list :  $\Theta(n)$

avg case  $\frac{n}{h} \rightsquigarrow \Theta(\alpha)$

## Direct Address Table

- every key in the universe is mapped to a finite number of hash slots

↑

key domain

clearly, collisions will occur

↓ soln

closed/open address hashing

## Closed Address Hashing or Chained Hashing

- maintains original hashed address
- records hashed to the same slot are linked into a list
- address is closed (fixed). each key has a corresponding fixed address

$n$  records :  $\alpha = \frac{n}{h}$  load factor

↑

$h$  ← no of slots

number of elements per list on an avg

## analysis

worst case behavior : all elements in 1 slot

↓ unsuccessful :  $n$  searches

↓ successful :  $\frac{1}{n} \sum_{i=1}^n i = \frac{n+1}{2}$

sequential search

prob. of finding 1 item

sum of time taken to find each item

- average case : each item has equal prob of being hashed into any of the  $h$  slots.

unsuccessful :  $\frac{n}{h}$  key comparisons per list

$\therefore$  a no of comparisons on an avg

successful : because the key is inserted at the end, we have to imagine its not there yet.

( $i^{\text{th}}$  being inserted): avg length of all lists:  $\frac{(i-1)}{h}$

to find newest one :  $1 + \left(\frac{i-1}{h}\right)$  comparisons

avg over  $n$  items

$$\begin{aligned} \frac{1}{n} \sum_{i=1}^n 1 + \frac{(i-1)}{h} &= \frac{1}{n} \sum_{i=1}^n (1) + \frac{1}{nh} \sum_{i=1}^n (i-1) \\ &= 1 + \frac{1}{nh} \sum_{i=0}^{n-1} i \\ &= 1 + \frac{n-1}{2h} = \frac{n}{2h} + \frac{1-1}{2h} \\ &= \frac{\alpha}{2} + \frac{1-1}{2h} \end{aligned}$$

$h$  is constant

•  $\Theta(1 + \alpha)$  comparisons

if  $n \propto h \rightarrow n = O(h)$ ,  $\frac{n}{h} = \frac{O(h)}{h} = O(1)$   
 (proportional)

$$\lim_{\alpha \rightarrow \infty} \frac{\frac{\alpha}{2} + 1 - \frac{1}{2h}}{1 + \alpha} = \frac{\frac{\alpha}{2} + 1 - c}{1 + \alpha} = \frac{1/2}{1} = \frac{1}{2} + \text{constant} \therefore \text{correct.}$$

- deleting
- insert in first deleted slot always
- check if key occurs after also
- if not then go back and add it there

## Open Address Hashing

- all elements in the hash table
- $\alpha = n/h \leq 1$  ..  $\uparrow$
- when collision: probe
  1. linear probing
  2. Quadratic probing
  3. Double Hashing

### 1. Linear Probing

- if filled, go to next

$H(k, i) = (k + i) \bmod h$  (if hashing  $f^n$  is  $k \bmod h$ )  
 for  $i = 1, 2, \dots, h-1$  until an empty slot is found.

- problem: primary clustering

#### Algorithm 3 Searching a Key

```

1: function SEARCH(k)
2:   code  $\leftarrow$  hash(k)
3:   loc  $\leftarrow$  code
4:   ans  $\leftarrow$   $\emptyset$ 
5:   while  $H[loc] \neq \emptyset$  do
6:     if  $H[loc].key == k$  then
7:       ans  $\leftarrow$   $H[loc]$ 
8:       break
9:     else
10:      loc  $\leftarrow$  probe(loc)
11:      if loc == code then
12:        break
  
```

time complexity

success  $\cdot \frac{1}{2} \left( 1 + \frac{1}{1-\alpha} \right)$

unsuccessful  $\cdot \frac{1}{2} \left( 1 + \left( \frac{1}{1-\alpha} \right)^2 \right)$

don't memorise

## 2. Quadratic Probing

- $H(K, i) = (k + c_1 i + c_2 i^2) \bmod h$
- $c_1, c_2, h$  must be selected carefully to ensure all slots are probed
- $h = 2^n \rightarrow c_1 = c_2 = 1/2$
- avoids primary clustering, results in secondary clustering

(watch animation in notes)

↘ if 2 keys have the same initial probe position, their probe sequences will be the same.  
⇒ later keys will take a longer time

- time complexity

don't  
memo  
rise

$$\cdot \text{success} : 1 - \ln(1 - \alpha) - \frac{\alpha}{2}$$

$$\cdot \text{unsuccess} : \frac{1}{1 - \alpha} - \alpha - \ln(1 - \alpha)$$



### 3. Double Hashing

- more random method
- $H(k, i) = (k + iD(k)) \bmod h$ 
  - $\hookrightarrow D(k)$  is another hash  $f^n$  and  $i \in [0, h-1]$
- $h$  should be prime · impossible for any number to divide it evenly  $\therefore$  probe sequence will check out every slot.

- Time complexity

don't  
memory

$$\left\{ \begin{array}{l} \text{success} : \frac{1}{\alpha} \ln \frac{1}{1-\alpha} \\ \text{unsuccess} : \frac{1}{1-\alpha} \end{array} \right.$$

note: all complexities are  $f^n$ s of  $\frac{1}{1-\alpha}$

## TIME COMPLEXITY OF BINARY SEARCH

$$\Theta(\log_2(n))$$

in a binary tree w/ height  $h$  and size  $n$

$$2^h - 1 < n \leq 2^{h+1} - 1$$

$$2^h \leq n < 2^{h+1}$$

$$h \leq \log_2 n < h+1$$

no of edges

$$\therefore \text{minimal height} = \lfloor \log_2 n \rfloor$$

worst case:  $\leftarrow$  search till end and still not find.

```

Binary Search
1 BTreeNode* findBSTNode(BTreeNode *cur, char c){
2 {
3     if (cur == NULL) {
4         printf("Not Found\n");
5         return cur;
6     }
7     if (c == cur->item) {
8         printf("Found\n");
9         return cur;
10    }
11    if (c < cur->item) {
12        return findBSTNode(cur->left, c);
13    }
14    else {
15        return findBSTNode(cur->right, c);
16    }
17 }
    
```

Listing 1: Recursive Version

$$T(n) = T\left(\frac{n-1}{2}\right) + c$$

$\vdots$

$$= T\left(\frac{n-2^k+1}{2^k}\right) + kc$$

$$= c + \lceil \log_2 n \rceil c$$

$$= c(\lceil \log_2 n \rceil + 1)$$

$$0 < \frac{n-2^k+1}{2^k} \leq 1$$

$$0 < \frac{n+1}{2^k} - 1 \leq 1$$

$$1 < \frac{n+1}{2^k} \leq 2$$

$$2^k < n+1 \leq 2^{k+1}$$

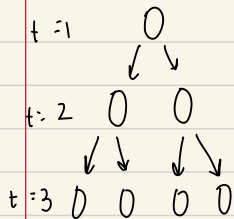
$$k < \log_2(n+1) \leq k+1$$

$$\lceil \log_2(n+1) \rceil = k+1$$

$$\lceil \log_2 n \rceil = k$$

average time for successful search

why??



assume:  $n = 2^k - 1$  (complete tree) ↑  
 $2^{t-1}$  position require  $t$  comparisons

$$A = \frac{1}{n} \sum_{t=1}^k t 2^{t-1}$$

$$= \frac{(k-1)2^k + 1}{n} \dots = \log_2(n+1) - 1 + \log_2 \frac{(n+1)}{n}$$

$$n^2 + \frac{n^2}{4} + \frac{n^4}{16} + \dots$$

$$\frac{n^2}{4} + \left(\frac{n^2}{4}\right)^1 + \left(\frac{n^2}{4^2}\right)^2 + \dots$$

$$\frac{n(n+1)}{2}$$

tower of hanoi :  $T(n) = 2T(n-1) + 1$