

Algo & N

Analysis: Priori (Absolute analysis) depends on compiler lang & CPU. uses asymptotic notations
 Posteriori (relative analysis) depends on DATE

1. To find Time complexity, focus on loops and recursive function calls.

2. $+,- \sim \gamma_a$

$\times / \div \sim \log n$

$$\begin{array}{l} i=3 \\ i=2^{10} \\ i=n \end{array} \quad \begin{array}{l} 3^{10} = n \\ + \\ \dots \\ i>3 \\ i=2^{10} \\ n^{10} = 3 \end{array}$$

power + log log n

3. In Recursive program, for TC focus on else part.

4. Finding TC means, TC of best algo in worst case.

5. In GIP, if $r < 1$, $S_n = O(1)$ (decreasing GIP)

6. If can't compare f_i^n directly, compare its closest upper bound. Ex. To compare $n!$, compare n^n .

7. $O \vdash f(n) \leq c \cdot g(n) \quad \forall n, n \geq n_0$

$\Omega \vdash f(n) \geq c \cdot g(n) \quad \text{for some } c > 0, n_0 > 0$

O and Ω both possible, then Θ also possible.

8. $1/n < 1 < \log(\log n) < \log n < (\log n)^2 < \sqrt{n} < n < n \log n < n^2 < n^n$

is polynomial
not log function

9. $2^n < n! < n^n$

10. $m! = O(n^n)$; $\log m! = O(n \log n)$

11. Depth of stack = no of stack units used = level of tree

12. Recurrence Relation Solving:
 Substitution method, Recursive tree method,
 master theorem.

13. Master Theorem: $T(n) = aT(n/b) + f(n)$

$f(n)$ not allowed
 $\exists: T(n) = 2^n T(n/2) + n^n$
 is not applicable

$a \geq 1, b > 1$
 Constant

$$* a^{\log_b n} = n^{\log_b a} \quad * \log_b a = \frac{\log a}{\log b}$$

* $a > b$ then $\log_a > \log_b$

NAME: _____
DATE: _____

(i) compare $f(n) \propto n^{\log_b 1}$, bigger (polynomial times)
one is TC.

or bigger

(ii) If equal, $TC = O(n^{\log_b 1} + (\log n)^k)$, $k \geq 0$
or $\frac{\text{top}}{\text{right}} < \frac{\text{bottom}}$ → make right equal then add $(\log n)$.
NOTE: $f(n) = n$; $n^{\log_b a} = n \log_b n \rightarrow$ master theorem is not applicable here as
right is \log times bigger.

14. Solving RR using master theorem containing root.

(i) Assume $n = 2^k$ $T(n) = cT(\sqrt{n}) + f(n)$

(ii) Assume $T(2^k) = S(k)$

(iii) Replace $S(k)$ by $T(2^k)$

(iv) Replace k by $\log_2 n$

NOTE:- If option does not contain 0, Ω or Θ , we have to give exact result. (check by substituting value).

* Things to Remember & $\log_2 2 \geq 1$

$$(i) a^{\log_b n} = n^{\log_b a}$$

$$(ii) \frac{1}{1} + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n} = \log_2(n)$$

NOTE:- While computing TC and SC we don't consider decreasing functions.

(iii) In $\log_b a$, on increasing base 'b' value decreases. On increasing a value increases

* a^n & b^n are asymptotically not equal if $a \neq b$ $\Leftrightarrow 2^n, (5)^n$ etc

(iv) Logs with different base are asymptotically equal. i.e. $(\log_2 n) = O(\log_5 n)$

$$* e^x = 1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots$$

* $c \approx 2.7$

* sometimes compare f^* by substituting some big values.

(v) To compare 2 f^* by taking \log , first eliminate common thing. (Try to avoid \log).

(vi) If O & Ω both not possible, f^* are not comparable.

15. To convert AP-GP series into GP, multiply series by σ value of GP then subtract.

16. $n^3 = O(n^3)$ Tightest upper bound
 $= \Omega(n^3)$ Tightest lower bound

17. If $f(n) = O(g(n))$ then $2^{f(n)} = O(2^{g(n)})$ False
it fails if we take $f(n) = 2n$, $g(n) = n$

18. $f(n) = O(f(n/2))$ False (Ex: $f(n) = 2^n$)

19. $f(n)$, $(f(n))^2$ are not comparable
 O fails for decreasing f^* & Ω fails for inc. f^* .

20. $\log^* n$ is no. of times \log applied on n to make it 1.
Ex: $n = 2^{2^2}$ $\log^* n = 3$

21. * means "how many times" to make 1.
 $f(n) = n/2 \Rightarrow f^*(n) = \log_2 n$
 $f(n) = n+2 \Rightarrow f^*(n) = \lceil \frac{n}{2} \rceil$

22. Compare $\log f(n)$ by substituting $\log n$.
Ex: $(\log(\log n))! < \log(n!) < (\log n)! < (\log n)^{\log n}$
Let $\log n = k \Rightarrow n = 2^k$
 $(\log k)! \quad \text{put } n=2^k \text{ to compare} \quad n^k = 2^k k^k \quad k!$

* $T(n) = T(n-1) + \sqrt{n}$
 $T(n) = 1 + \sqrt{2} + \dots + \sqrt{n} = \sum_{n=1}^n \sqrt{n} = \int_0^n \sqrt{x} dx = \left[\frac{x^{3/2}}{3/2} \right]_0^n = \frac{2}{3} n^{3/2} = O(n^{3/2})$

Extra

1) Sum of GP = $\frac{a(r^n - 1)}{(r-1)}$ $r > 1$; $\frac{a(1-r^n)}{(1-r)}$ $r < 1$

$$T_n = a r^{n-1}$$

2) $1+2+3+\dots+n = \frac{n(n+1)}{2}$

$$1^2+2^2+\dots+n^2 = \frac{n(n+1)(2n+1)}{6}$$

$$1^3+2^3+\dots+n^3 = \left[\frac{n(n+1)}{2} \right]^2$$

3) $2^2+4^2+6^2+\dots+(2n)^2 = 2^2 [1^2+2^2+3^2+\dots+n^2]$

* If $f(n)$ are $n^{1+\sin n}$, $n^{1+\cos n}$
 compare power by drawing graph.

4) ${}^n C_k + {}^n C_{k+1} = {}^{n+1} C_{k+1}$

NOTE: $T(n) = n^2 + n + T(n-1)$

Asymptotically take it as

$$T(n) = n^2 + T(n-1)$$

O. TC of $B(i) = 1/i$ \leftarrow its decreasing function
 its max value is 1 so take $O(1)$
 for $(i=1, i \leq n; i++)$ $\leftarrow O(n)$
 $\& B(i); f \leftarrow O(1) \rightarrow O(n)$
 $\therefore TC = O(n)$

* If not mentioned in ques TC of BC or WC,
 find for both & choose option satisfying
 both.

* $n^2 = O(1.00001^n)$

NOTE: If $f(n) > g(n) \forall n$ then $g(n) = o(f(n))$ False

This is absolute comparison, can't use asymptotic for it.

Ex: $f(n) = 2n+1$, $g(n) = 2n$ & $f(n) > g(n) \forall n$
 but $g(n) \neq o(f(n))$

DAC

1. Finding max and min
2. Power of an element
3. Binary search
4. Merge sort
5. Quick sort
6. Selection procedure (K^{th} smallest)
7. Strassen matrix multiplication
8. Counting inversions
9. Finding max contiguous subarray sum.

→ Finding Max & Min :-

1) without DAC

Take max, min 1st ele & simply traverse the array.

(i) $TC = O(n)$ (EC)

(ii) $SC = O(1)$

(iii) NO of comparison = $I(n-1)$ BC
 $= 1.5(n-1)$ AC
 $= 2(n-1)$ WC

2) with DAC

(i) RR: $T(n) = 2T(n/2) + C$

(ii) $TC = O(n)$ (EC)

(iii) $SC = O(\log n)$

(iv) NO of comparison = $1.5n - 2$ Every case

↳ RL: $C(n) = \begin{cases} 2C(n/2) + 2 \\ 1 \quad \text{if } n=2 \\ 0 \quad \text{if } n=1 \end{cases}$

* If in ques algo is not mentioned, go with DAC.

* Function call in recursive program is Preorder
 & Fun execution is Postorder.

* Which is good? ← DAC Comparison

Best case comparison Without DAC $(n-1)$

Avg " " With DAC $1.5(n-2)$

Worst " " With DAC $1.5n-2$

3) If we divide array in 3 equal parts

(i) RR: $T(n) = 3T(n/3) + C$

(ii) $TC = O(n)$

(iii) Stack space = $O(\log_3 n)$

(iv) RR for comparison: $C(n) = 3C(n/3) + 4$

2) Power of an element :- (a^n)

1. without DAC

$$TC = O(n)$$

$$\text{if } n = 2^k ; \quad TC = O(\log n)$$

DAC + iteration (i)

$$\begin{aligned} P &= P \times P \\ 3^8 &= 3 \times 3 \\ 3 \times 3 &= 9 \\ 9 \times 9 &= 81 \\ 81 \times 81 &= 6561 \end{aligned}$$

2. with DAC

$$(i) RR :- T(n) = T(n/2) + C$$

$$(ii) TC = O(\log n)$$

$$(iii) \text{stack space} = O(\log n)$$

$$(iv) RR \text{ for no of multiplication} + P(n) = P(n/2) + 1$$

$$(v) \text{No of multiplications} = O(\log n)$$

Every case

► Linear Search

$$\begin{aligned} (i) TC &= O(n) \quad WC \\ &\quad AC \quad \Rightarrow \quad TC = O(n) = \Omega(1) \end{aligned}$$

3) Binary Search & (sorted array)

1. With DAC

$$(i) RR :- T(n) = T(n/2) + C$$

$$(ii) TC = O(\log n) \quad WC, AC \quad \Rightarrow \quad O(\log n) = \Omega(1)$$

$$(iii) SC = O(\log n) \quad WC, AC \quad \Rightarrow \quad SC = O(\log n)$$

* tail recursion

2. Without DAC (non recursive)

$$(i) TC = O(\log n) \quad WC = O(1) \quad BC$$

$$(ii) SC = O(1) \quad EC$$

* Avg no. of comparison = $\log n$

* For small value of n calculate manually
 $n=10$ 1, 2, 3, 4, 5, 6, 7, 8, 9, 10

$$\begin{aligned} \text{Avg no. of Comp} &= \\ \frac{1+1+2+3+4+5+6+7+8+9+10}{10} &= 4.8 \end{aligned}$$

* If in ques SC is asked and algo is not given, consider best one i.e. non recursive one.

4. Merge Sort :- (outplace & stable)

➤ Merge Algo :- (sorted subarrays)

(i) Min no. of comparison (BC) = $\min(m, n)$

(ii) Max no. of comparison (WC) = $(m+n-1)$

(iii) NO. of moves (in BC & WC) = $(m+n)$

(iv) TC = $O(m+n)$ (for outplace algo) common algo

(v) TC = $O(mn)$ (for inplace algo)

↳ compare, swap, sort 2nd subarray.

➤ Merge Sort :-

(i) RR :- $T(n) = 2T(n/2) + n$

(ii) TC = $O(n \log n)$ (Every case)

(iii) SC = Stack space + Merge algo $\Rightarrow \log n + n = O(n)$

* Sorting algo are of two types :-

(i) Inplace & Quick sort (takes $\leq \log n$ extra space)

(ii) Outplace :- Merge sort (takes $> \log n$ extra space)
count, Radix sort

* If sorted array is given, thinking of Binary search and Merge algo.

* A list of n strings each of length n is to be sorted in lexicographic order using merge sort. TC $\Rightarrow O(n^2 \log n)$

Date: / /

➤ Fibonacci series :-

(i) RR $\vdash T(n) = T(n-1) + T(n-2) + C$

(ii) TC $= O(2^n) = \Omega(2^{n/2})$

(iii) Stack space $= O(n)$

➤ Fibonacci Merge Sort :-

Division done as Fibonacci no.

(TC $= O(n f(n))$) \forall Every call

$$\begin{aligned} n &= 8 \\ f(6) &= 8 \\ f(6) & \\ f(5) & \\ f(5) & \\ f(4) & \\ f(4) & \\ f(3) & \\ f(3) & \end{aligned}$$

➤ we may use modified Merge algo to find AUB and ANB (A, B are sorted arrays)
TC $= O(m+n)$ EC.

➤ Stable \vdash Merge, bubble, insertion sort, Radix sort
Not stable \vdash Quick, selection, heap sort

* Stable sorting algo \vdash the order of repetitive elements in o/p and o/p same

➤ Straight 2-way Merge Sort \vdash combining 2-2 groups
 \Rightarrow TC $= O(n \log n)$

Straight 3-way Merge Sort \vdash Combining 3-3 grp

- NOTE** \vdash
- i) Bigger size array \rightarrow Merge sort
 - ii) Smaller size array \rightarrow Insertion sort
 - iii) less no of swap \rightarrow Selection sort
 - iv) Random array / Unsorted array \rightarrow Quick sort
 - v) Range given \rightarrow COUNT sort

- * If we convert tail recursion into non recursive program, stack space is saved.
- * changing recursive program into non recursive \rightarrow TC does not change

5. Quick Sort: (Best sorting algo)

(i) It is **inplace** and **not stable**.

➤ Partition algo: returns index of pivot element

(i) Normally **1st element** is taken as pivot.

If pivot is selected randomly, it's called randomized quick sort.

(ii) $TC = O(n)$ EC. $SC = O(1)$

➤ Quick Sort:

starting index
p pivot index
m last index
q

(i) RR: $T(n) = n + T(m-p) + T(q-m)$

in BC & AC
balanced partitioning

$$T(n) = 2T(n/2) + n$$

$$TC = O(n \log n)$$

$$STACK = O(n \log n)$$

in WC
unbalanced partitioning

$$T(n) = T(n-1) + n$$

$$TC = O(n^2)$$

$$STACK = O(n)$$

(ii) Quick sort is not tail recursion in BC & AC
but it's tail recursion in WC.

➤ Optimized Quick Sort: consider it in exam.

(i) After partitioning, handling smaller part by recursion and bigger part by loop

(ii) stack space: $O(1)$ BC
 $O(n \log n)$ WC, AC

unbalanced partition
balanced partition

(iii) $TC = O(n \log n)$ BC $O(n^2)$ WC

(iv) RR in general: $T(n) = n + T(\alpha n) + T((1-\alpha)n)$
 $0 \leq \alpha \leq 1$

(smaller ele) pivot (larger ele)
(in any order)

pivot ele is always
min or max always
if array is sorted or almost sorted

(V) Quick sort will give worst case if partitioning is unbalanced i.e. constant no. of element on one side and remaining on other side.

* In randomized quick sort, worst case (in sorted array everytime selecting smallest or largest ele) is rare, so performance better.

* n^{th} smallest ele as pivot \rightarrow will go to n^{th} position from starting
 n^{th} largest ele as pivot \rightarrow will go to n^{th} position from last.
median element \rightarrow $n/2^{\text{th}}$ smallest/largest ele will go to $n/2^{\text{th}}$ position.

* Using Median of 5 algo, median of unsorted array can be found in $O(n)$ time

* If selecting median as pivot
 $T(n) = n + n + T(n/2) + T(n/2)$
 $= n + 2T(n/2)$
 $= O(n \log n)$ (EC)

stack = $O(\log n)$

* finding median of sorted array = $O(1)$
" " unsorted array = $O(n)$

6. \rightarrow counting no. of inversions : same as merge sort

(1) Inversion: if $i < j$ and $a[i] > a[j]$

(2) BRUTE FORCE APPROACH & $TC = O(n^2)$ linear search for each element

Q  If subarray a has '100' inversions with subarray b, then also inversions between a & b will be 100.

* Expected no. of inversions in a randomly chosen permutation of $1 \dots n$ = ${}^n C_2 * 0.5 = \frac{n(n-1)}{4}$

: we have ${}^n C_2$ pairs & probability of each pair being inverted is $\frac{1}{2}$

(3) Using DAC: modify merge algo in merge sort.

(i) Small prob: 1 ele $\rightarrow 0$ inversion

(ii) No. of inversion of a node = sum of no. of inv. of children + no. of inv. b/w children.

(iii) While merging if smaller ele comes from right side, add no. of ele in left side to total no. of inversions.

(iv) RR. :- $T(n) = 2T(\frac{n}{2}) + n \Rightarrow TC = O(n \log n)$

(v) Stack space = $O(\log n)$

(vi) SC = $n + \log n$ ~~array to merge~~ $\Rightarrow O(n)$

7. \Rightarrow Selection procedure: (Partition algo + Binary search)

(1) To find K^{th} smallest element in an array.

> Approach 1: SORT then select. $TC = O(n \log n)$

> Approach 2: K passes of selection sort. $TC = O(kn)$

> Using DAC:

(i) Algo: $m = \text{partition}$ after partition m^{th} smaller ele is at index m .

if $K < m$ go left

if $K > m$ go right

(ii) RR: $T(n) = \begin{cases} O(1) & \text{if } n=1 \\ n + T(m-p) & \text{else} \\ O(T(q-m)) \end{cases}$

P
Starting index
 m
pivot index
 q
last index

* Best algo of selection procedure; select median as pivot
 $T(n) = n + n + T(n/2) = O(n)$

Date: / /

BC, AC
Balanced
partition

$$T(n) = n + T(n/2)$$

$$TC = O(n)$$

WC
Unbalanced
partition
(sorted or
almost sorted
array)

$$T(n) = n + T(n-1)$$

$$TC = O(n^2)$$

(iii)	$TC = O(n)$	BC in 1st position k^{th} min found
	$O(n)$	AC
	$O(n^2)$	WC

$$SC = O(1)$$

$$O(\log n)$$

$$O(n)$$

* In randomized selection procedure, pivot ele is selected randomly (less chance of WC).

8. \Rightarrow Strassen's matrix multiplication :-

(i) Matrix addition: $C_{n \times n} = A_{n \times n} + B_{n \times n}$
 $TC = O(n^2)$

(2) Multiplication without DAC:

(i) $C_{m \times n} = A_{m \times p} * B_{p \times n}$
columns in A = # rows in B

(ii) $C_{ij} = (i^{th} \text{ row of } A) * (j^{th} \text{ column of } B)$

(iii) For 1 ele of C, p multiplications needed.
For mn elements, (mnp) multipl.

(iv) $TC = O(mnp) = O(n^3)$ (if $m=n=p$)

(3) using DAC:

(i) Divide order of A & B by 2 and solve.

If order not divisible by 2, add row/column of 0.

(ii) $T(n) = 8 * \text{matrix multi of order } n/2 + 4 * \text{matrix addition of order } n/2$

$$T(n) = 8T(n/2) + 4 * (n/2)^2$$

RR: $T(n) = \begin{cases} 8T(n/2) + n^2 & \text{if } n > 1 \\ O(1) & \text{if } n = 1 \end{cases}$ TC = $O(n^3)$

(4) ACC" to Strassen's, $T(n) = 7T(n/2) + 18(n/2)^2$

$$T(n) = 7T(n/2) + n^2$$

TC = $O(n^{\log_2 7}) = O(n^{2.81})$

g. \Rightarrow Maximum contiguous subarray sum:

- (1) ALGO:
- Split from middle
 - Recursively calculate max from left & right part.
 - calculate max across left & right part $\Rightarrow O(n)$
 - Return max of three

(2) RR:- $T(n) = 2T(n/2) + n$

(3) $TC = O(n \log n)$ EC
 $SC = O(\log n)$ EC

* Best algo: Kadane's algo:

- Initialize: max-so-far = INT-MIN; max-ending-here = 0
- Loop for each element of the array
 - max-ending-here += arr[i]
 - if (max-so-far < max-ending-here)
 max-so-far = max-ending-here
 - if (max-ending-here < 0) max-ending-here = 0
- Return max-so-far

TC = $O(n)$

SC = $O(1)$

NOTE: By default, sorting means ascending order
Heap means maxheap as it gives asc order.

Date: / /

Sorting Techniques

1. Selection Sort:

- It needs $(n-1)$ iteration (passes)
- Total comparison = $1 + 2 + \dots + (n-1) = O(n^2)$
- Total swaps = $(n-1)$ = $O(n)$ Each pass, 1 swap
- $TC = O(n^2)$ comparison + $O(n)$ swap = $O(n^2)$
- NOT stable and in-place.

$$TC = O(n + \text{no. of inversions})$$

If at most n inversions $\Rightarrow TC = O(n)$

If exactly 1 " $\Rightarrow O(n)$ WC $O(1)$ BC $\frac{n^2}{2}$ inv

exactly $n(n-1)/2$ inv i.e. array in des order $TC = O(n)$ to sort in asc order

2. Insertion Sort:

- Stable and in-place
- Best case: array is in increasing order
- Worst case: array is in decreasing order.
- Best case: NO. of comparisons = $(n-1)$
NO. of swaps = 0
- $TC = O(n)$
- Worst case: NO. of comparisons = NO. of swaps
 $= \frac{n(n-1)}{2} = O(n^2)$

$$TC = O(n^2)$$

Half array + Half array
Best case + Worst case

$$TC = \frac{1}{2} * O(1) + \frac{n}{2} * O(n) = O(n^2)$$

NOTE: For best case of sorting, remember insertion sort.

- NO. of inversions in the array = NO. of swaps in insertion sort.
- If array having n elements, have at most n inversions, TC of insertion sort = $O(n)$

EX: 10, 20, 30, 40, 50, 5 (almost sorted)
For 2 elements are unsorted

NOTE: Array representation is better for almost complete BT; for remaining trees, LL is better.

* For heap consider array representation. If type of tree is not mentioned then consider LL representation.

- If we do Binary search instead of linear search in worst case,

$$\# \text{comparisons} = O(n \log n)$$

$$\# \text{swaps} = O(n^2)$$

$$TC \text{ remains same} = O(n^2)$$

3. Bubble Sort:

- Stable and inplace
- It needs $(n-1)$ iterations.
- Total comparisons = $1+2+\dots+(n-1) = \frac{(n-1)n}{2} = O(n^2)$
- Total swaps = $O(n^2)$ BC (array in asc order)
 $O(n^2)$ WC, AC
- TC = $O(n^2)$ FC
↳ becoz of comparisons
- SC = $O(1)$

consider ir
exam

- * Modified Bubble Sort: count #swaps in each iteration. If #swaps = 0 then stop.

$$TC = O(n) \text{ BC. (in 1 or 2 pass array is sorted)} \\ O(n^2) \text{ WC, AC}$$

4. Heap Sort:

- Heap is a almost complete BT (height balanced)
- Maxheap tree will give ascending order, (Default)
Minheap tree will give descending order.
- Inplace and not stable.
- Building heap from array, $TC = O(n)$ Build heap method
- Algo:
 - construct Min/Max heap using build heap method
 - Perform n' deletion

$$TC = O(n) + O(n \log n) = O(n \log n) \\ = O(n)$$

WC, AC
BC when all
else same

If TC is less than $O(n \log n)$ then it's wrong algo.

→ Best Time complexity it can have is $O(n \log n)$ Dated

Composition based sorting: selection, insertion, bubble, merge, quick, heap.

NOT composition based sorting: count, radix sort

↳ Linear time sorting.

5. \Rightarrow Counting Sort: traverse array & put ele in buckets $\rightarrow O(n)$
traverse buckets & print. $\rightarrow O(\max(n, k)) = k$

- I/p: Given 'n' ele in range $[1 - K]$
- Stable and Outplace
- TC = $O(n+k)$

6. \Rightarrow Radix Sort:

- I/p: Given 'n' ele in range $[1 - n^t]$

• Algo: Perform counting sort on each digit starting from LSB.

• Stable and Outplace

• TC = $O(dn)$ $= O(\log_k n^t) = O(tn)$

if we take base k = n then

Here k is base ie constant

7. \Rightarrow Wave Sort:

- $\underline{\text{arr}[0]} \geq \text{arr}[1] \leq \text{arr}[2] \geq \text{arr}[3] \leq \text{arr}[4] \geq \text{arr}[5]$

• Algo: $\text{for } (i=0 ; i < n ; i+=2)$

{ if ($i > 0$ & $\text{arr}[i] < \text{arr}[i-1]$)
 $\text{swap}(\text{arr}[i], \text{arr}[i-1])$ }

if ($i < n-1$ & $\text{arr}[i] < \text{arr}[i+1]$)
 $\text{swap}(\text{arr}[i], \text{arr}[i+1])$

• TC = $O(n)$

$$\begin{aligned} \text{TC} &= \text{initial comparisons} + \text{swaps} \\ &= 2n - 1 + (n-1)/2 + (n-1)/2 = 2n - 1 + n - 1 + n - 1 = 3n - 3 \end{aligned}$$

Greedy Technic

1. Knapsack problem
2. Job sequencing with deadline
3. Huffman coding
4. Optimal merge pattern
5. Minimum cost spanning tree
6. single source shortest path

(1) Mostly, given n ips we have to find the subset which satisfies condition & optimizes our goal.

2) Solution space: set of all possible solutions.
Feasible solⁿ: set of solⁿ that satisfies our condition
Optimal solⁿ: solⁿ which optimizes our goal.
→ need not be unique.

1. \Rightarrow Fractional knapsack prblm:

(i) Prblm: Given 'n' objects with their weight 'w' and price 'P', a knapsack of capacity 'C'. Find max profit.

(ii) Algo:

- Traverse array to find rate (P/w) of each item
- Sort array of P/w in descending order (mergesort)
- Traverse sorted array of P/w to take items in knapsack as per its capacity, till C becomes 0

(iii) $TC = O(n) + O(n \log n) + O(n) = O(n \log n)$ EC

(iv) If we use quick sort, TC in WC = $O(n^2)$
in BC, AC = $O(n \log n)$

2. \Rightarrow Job sequencing with deadline:

(i) Prblm: Given 'n' jobs each having 1 unit runtime, their profit & deadline. We have to schedule jobs to CPU in order to maximize profit.

(ii) Running time (1 unit) \leq Deadline $\leq n$

(iii) #jobs we can execute = $\min(D_{\text{Deadline}})$

(iv) Algo:

- Find max of deadline. Make an array "SOL" of size = max
- In the array 'SOL', start from last and do linear search for each index to find optimal job for that index.

NOTE: In array 'SOL', slot i can have jobs with deadline $\geq i$, choose optimal one.

$$\text{finding max}$$

$$TC = O(n) + n * O(n) \rightarrow O(n^2) \text{ WC EC}$$

(V) Better Approach: consider it for ques.

- first sort profit in descending order
then follow above procedure.

$$\text{sorting} \quad \text{finding max}$$

$$TC = O(n \log n) + O(n) + n * O(n) = O(n^2) \text{ WC}$$

$$n * O(1) = O(n \log n) \text{ AC}$$

3. \Rightarrow Huffman encoding: Optimal prefix notation/
Data compression technique

(i) Prblm: Given a message composed of 'n' distinct character with frequency of each character. Encode characters to minimize message size.

(ii) Chars having high frequency are represented in less no. of bits. *After giving example, dot.*

(iii) Algo:

- Build minheap of frequency of char.
- Delete twice to get 2 minimum.
- Do

- Add new node t in minheap.
- Give left edge label 0 & right edge 1.

1st min (n_1)

2nd min (n_2)

- if all char occur with freq $< \frac{1}{3}$, then its guaranteed to be no codeword of length 1.
- if some codeword occur with freq $> \frac{2}{3}$, its guaranteed to be a codeword of length 1.
 - with n symbols, max codeword lens could be $(n-1)$

v) char with high freq are at top of tree, char with low freq are at bottom of tree

Build heap each time 1 ele deleted
 ↗ at last 1 ele left so
 ↗ repeated char enter

2 deletion
 +1 insertion

$$vi) TC = O(n) + (n-1) O(3\log n) = O(n \log n)$$

vii) 1 char huffman code can't be prefix of other char.

By default

viii) Huffman 2-way encoded tree: taking 2 min as children

Huffman 3-way encoded tree: take 3 min as children.

↳ label Left:0, Mid:1, Right:2

ix) By default, order of labeling edge is

Left \rightarrow 0, Right \rightarrow 1

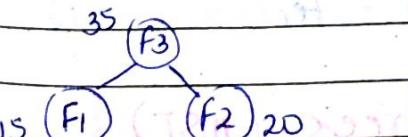
If ans does not match try, Left \rightarrow 1, Right \rightarrow 0

x) Decode an encoded message via tree.

x) If there's not much difference in freq, we will get almost balanced tree.

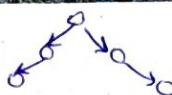
4. \Rightarrow Optimal merge pattern:

i) Problem: Given 'n' files with no. of records, we have to find sequence to merge them to have minimum no. of moves.

ii)  NO. of moves = $15 + 20 = 35$ $(m+n)$
 $(m+n-1)$
 Max no. of comparison = $(15+20-1) = 34$
 Min no. of comparison = $15 \min(m,n)$

iii) Merging 2-2 files \rightarrow 2 way merging (By default)
 3-3 \rightarrow 3 way merging

* MST of directed graph: From source all vertices should be reachable



Date: 11-1-17

(iv) Algo: same as Huffman encoding.

Difference is just that, after choosing 2 min, order does not matter which one to keep left & right.

(v) Min no. of moves = sum of internal nodes

(vi) $TC = O(n) + (n-1)O(3\log n) = O(n \log n)$

Basics of graph:

(i) $E \leq \frac{V(V-1)}{2} \Rightarrow E = O(V^2) \Rightarrow \log E = O(\log V)$

good for almost complete graph

(ii) Adjacency matrix representation:

- Space complexity = $O(V^2)$
- To check adjacency of 2 vertex $\Rightarrow TC = O(1)$
- To find degree of a vertex $\Rightarrow TC = O(V)$ son arrow
- To find total edges $\Rightarrow TC = O(V^2)$

(iii) Adjacency list representation: (By default)

- Space complexity = $O(V+2E) = O(V+E)$
- To check adjacency of 2 vertex $\Rightarrow TC = O(1)$ OL(V) WC? BC? = O(1)
- To find degree of a vertex $\Rightarrow TC = O(1)$ OL(V) WC BC
- To find no. of edges $\Rightarrow TC = O(V+2E)$

(iv) In a V-vertex simple graph, min no. of edges required to make it connected = $(V-1)$

5 \Rightarrow Minimum cost spanning trees (MST):

(1) Spanning tree: Subgraph connecting all vertices with minimum no. of edges $(V-1)$.

(2) If a graph with $(V-1)$ edges is connected \rightarrow has no cycle (^{is} tree).
disconnected \rightarrow has cycle.

- NOTE: In MST, the path b/w any 2 vertices is the shortest path. **False**
- NOTE: If edge e has max weight of some cycle **True** then every MST excludes e. (edge weight is distinct)
- If e has min weight of some cycle, then every MST includes it. **False**
- (3) NO. of spanning trees in complete graph (K_n) = n^{n-2}

- (4) There are 2 greedy algo to construct MST :
- Prims (vertex by vertex approach)
 - KRUSKAL's (edge by edge approach)
 - 1st & 2nd min edge must be part of MST at least One of 3rd & 4th min must be part of MST

► Kruskal algo :

(i) Algo :

- construct minheap of weight of edges.
- Select min edge, add to MST if no cycle.
- Repeat till all vertices are covered i.e. $(V-1)$ edges are included in MST.

(ii) For checking cycle, we use disjoint set data structure.

Algo :

- initially all vertex are leader of there own.
- * If we are considering edge $a-b$ and leader of a & b r different then no cycle. Grp ab & make any one leader.
- * If both has same leader then it forms cycle.
- * check for leader using 'find' operation and merge two grp using 'union' operation.
- * If we simply do union $\Rightarrow TC = O(V)$
- * If we implement disjoint set DS via Union by rank, find & union takes $\Rightarrow TC = O(\log V)$
- * If we implement via Union by rank + path compression, find & union takes $\Rightarrow TC = \underline{O(1)}$ By default consider it.

- (iii) TC :
- | | Build heap | delete from heap | check cycle |
|-------------------------|-------------|--------------------|---|
| • BC : | E | $(V-1) \log E$ | $(V-1) O(1)$ |
| | | | $= O(E + V \log E)$ |
| | | | $= O(E + V \log V)$ |
| • WC : | E | $E \log E$ | $E O(1)$ |
| | | | $= O(E \log E) = O(E \log V)$ |
| | | | $= O(V^2 \log V)$ |
| • For array : (Default) | $E \log E$ | $E O(1)$ | $E \log E$ |
| | <u>sort</u> | <u>check cycle</u> | <u>weight is in range</u>
<u>apply radix sort</u>
$TC = O(E)$ |

(5) Kruskal algo may generate disconnected graph in b/w but Prims always generate connected.

More than 1 MST \rightarrow guaranteed edge weight repeated

(6) If edge weights are not distinct, more than 1 MST may be possible.

All edge weight distinct \rightarrow unique MST.

► Prims Algo:

(i) Algo:

- Initialize min = ∞ , parent = NULL for all vertices.
- STA Make minheap of 'min' of vertices.
- Start with any vertex & make its min = 0.
- Select minimum & update min and parent of its adjacent vertices if min can be reduced.

* decrease key takes $O(\log n)$ time in heap. BC O(1)

(ii) TC (graph represented by Adj list & using minheap)

$$TC = V + V \log V + 2E + 2E \log V = O[(V+E) \log V]$$

Build heap deletion from heap checking adjacent decrease key

\because in connected graph $E \geq (V-1)$

$$TC = O(E \log V)$$

* If any vertex has min = ∞ , parent = NULL till last, means graph is disconnected.

NOTE: By default consider adj list representation of graph.

(iii) TC (graph represented by adj matrix & using Minheap)

$$TC = V + V \log V + V^2 + 2E \log V = O(V^2 + E \log V)$$

(iv) TC (using adj list & normal array)

$$TC = V^2 + 2E + 2E * O(1) = O(V^2)$$

(v) TC (using adj matrix & array)

$$TC = V^2 + V^2 + 2E * O(1) = O(V^2)$$

NOTE: In undirected graph, $\Sigma \text{degree} = 2E$
" directed " $\Sigma \text{degree} = E$

NOTE: If all edge weights are distinct, & every edge weight is increased by the same value then MST does not change, shortest path b/w any pair of vertex \min is initially sorted.

(vi) TC (using adj. list & sorted array)

$$TC = V * O(1) + 2E + 2E * O(V) = O(VE)$$

(vii) TC (using adj. matrix & sorted array)

$$TC = V * O(1) + V^2 + 2E * O(M) = O(VE)$$

(viii) TC (using adj. list & sorted doubly LL)

$$TC = V * O(1) + 2E + 2E * O(V) = O(VE)$$

6. Single source shortest path

(1) Problem: we have to find \min_{cost} path from given source vertex to every other vertex

(2) There are two algs:

- Dijkstra \rightarrow vertex by vertex (same as prim)
- Bellman Ford \rightarrow Edge by edge

(3) min of vertex 'X' represents min cost path from source to X

> Dijkstra:

(i) Algo is same as prim

when we select min 'X', its adjacent 'Y' will be updated if $Y.\text{min} > (X.\text{min} + XY)$

Build heap from heap adjacent scores decrease key

$$(ii) TC = V + V \cdot \log V + 2E + 2E \log V = O[(V+E) \log V]$$

If graph is connected $E \geq (V-1) = O(E \log V)$

(iii) It works good with +ve edge weight, it may fail with -ve edge weight.

(iv) B/w two vertices more than one shortest path may be possible, no matter edges are distinct or repeated.

► Bellman Ford:

(i) Algo:

- Initialize $\min = \infty$, $\text{parent} = \text{NULL}$ of all vertices (source vertex $\min = 0$).
- Give edge no. to all edges (in any order).
- Go to all edges sequentially and do decrease key if possible \leftarrow Do it $(V-1)$ times because max path length possible is $(V-1)$

(ii) Here we're using array (not min heap).

\leftarrow decrease key in array

$$(iii) TC = V * E * O(1) = O(VE)$$

(iv) It handles -ve edge weight perfectly.

(v) Perform last step once more V^{th} time. If value of vertex B, C changes by -8 from previous step then there is -ve edge weight cycle having sum -8 & B, C are either part of it or dependent on it.
 \hookrightarrow Do \min of B & C $= -\infty$

(vi) It can find -ve edge weight cycle if its reachable.

(7) Which one is better?

- +ve edge weight graph \rightarrow Dijkstra
- -ve edge weight graph \rightarrow Bellman Ford
- Unweighted or equally weighted graph \rightarrow BFS
 \hookrightarrow weight = 1 \hookrightarrow $TC = O(VE)$

1. Fibonacci series
2. 0/1 knapsack
3. Sum of subsets
4. Matrix chain multiplication
5. Longest common subsequence
6. Travelling salesperson
7. All pair shortest path
(Floyd Warshall)
8. Optimal cost binary search tree
9. Length of longest increasing sequence

Dynamic Programming

→ Fibonacci series:

$$1) f(n) = \begin{cases} n & \text{if } n \leq 1 \\ f(n-1) + f(n-2) & \text{else} \end{cases}$$

► Recursive program:

$$i) TC = O(2^n) = \Omega(2^{n/2}) ; SC = \text{stack} = O(n)$$

$$ii) T(n) = \underbrace{T(n-1) + T(n-2)}_{\text{no. of sub-call}} + C \rightarrow 1 \text{ fu-cost}$$

iii) To calculate $f(n)$, no. of f^n call made = 2^n

iv) " " $f(n)$ " - distinct f^n call = $(n+1)$

2) $f(n)$ once calculated, store it for further use,
its DP. + we will use array to have random access

Size of array = NO. of distinct f^n calls

► Dynamic Programming:

$$i) TC = \underbrace{(n+1) * O(1)}_{\substack{\text{no. of} \\ \text{distinct } f^n \text{ calls}}} = O(n) \quad \text{each } f^n \text{ cost}$$

$$ii) SC = \underbrace{n + (n+1)}_{\substack{\text{stack} \\ \text{array}}} = O(n)$$

iii) can't write recurrence relation here. [for conditional recursion]

2. → Longest Common Subsequence (LCS)

1) NO. of subsequence possible of a string of length $n = 2^n$
Ex: "abc" : $\emptyset, a, b, c, ab, ac, bc, abc$

2) Prblm: Given 2 arrays X & Y of length m & n , we have to give length of LCS.
 $LCS(m, n)$

NOTE: Recursive fⁿ calling is Preorder
fⁿ execution is Postorder

Ex. Sol: A L G O R I T H M
Sol: G L O B A L S Y
cancel letters that are not common
then find LCS directly.
LCS = 4 Date: / /

(3) Brute force approach: LCS(m, n)

if $m=0$ or $n=0$ return 0
if $x[m] == y[n]$ return $1 + \text{LCS}(m-1, n-1)$
if $x[m] \neq y[n]$ return $\max[\text{LCS}(m-1, n), \text{LCS}(m, n-1)]$

(i) In best case (X, Y are same) only if part executed, we get unary tree of height $\min(m, n)$.

(ii) In worst case, (no ele in common) else part executed, we get binary tree of height $(m+n)$ $\hookrightarrow \text{Total fⁿ call} = 2^{m+n}$

(iii) TC in WC: $T(m, n) = T(m-1, n) + T(m, n-1) + C$

$TC = 2^{m+n}$, Stack = SC = $O(m+n)$

TC in BC: $T(m, n) = T(m-1, n-1) + C$

$TC = O(\min(m, n))$, SC = $O(\min(m, n))$

(4) NO. of distinct fⁿ calls in $f(m, n) = (m+1)(n+1)$
 $= mn$

Each fⁿ cost is constant.

(5) with DP:

(i) $TC = \frac{\text{no. of distinct fⁿ calls}}{f^{\text{call}}} * \text{cost of fⁿ call} = O(mn)$ WC

(ii) Make a 2D array of size $(m+1) * (n+1)$

(iii) $SC = O(mn)$ EC

(6) Non recursive approach

• Fill the table from bottom to top

• $TC = O(mn)$, $SC = O(mn)$

3. \Rightarrow 0/1 Knapsack:

(1) Prblm: Given 'n' items with weight & profit, a knapsack with capacity 'm'. Find max profit.

(2) Brute force approach: $f(m, n)$

if $m=0$ or $n=0$ return 0

or $\text{weight}[n] > m$ return $f(m, n-1)$

else return $\max[f(m - \text{weight}[n], n-1) + \text{profit}[n], f(m, n-1)]$

(i) TC = $O(2^n)$ WC
 $O(n)$ BC

Stack = $O(n)$ WC, BC

(3) No. of distinct f^n calls in $f(m, n) = (m+1)(n+1) = mn$
Make a 2D array of size $(m+1)(n+1)$.

(4) With DP :

i) $TC = mn * O(1) = O(mn) \approx O(2^n)$ because of less repetitive f^n call

ii) SC = $n + mn$ = $O(mn)$

5) With DP also TC can't be reduced from 2^n , so its NP complete problem.

4. \Rightarrow Sum of subsets : (similar to 0/1 knapsack)

(1) Prblm : Given a set having 'n' +ve integers & an integer 'm'. Find any subset whose sum is 'm'.

(2) No. of possible subsets of a set having n elts = 2^n

(3) Algo without DP: $f(m, n)$

if $m=0$ return \emptyset

if $n=0$ error

if $\text{set}[n] > m$ return $f(m, n-1)$

else

{ return $f(m - \text{set}[n], n-1) \cup \text{set}[n]$

take set[n]
don't take

return $f(m, n-1)$

TC = $O(2^n)$ WC

$O(1)$ BC. set[n] = m

SC = $O(n)$

(4) With DP:

TC = $O(mn)$ WC

SC = $n + mn = O(mn)$

(5) Becoz of less repetition, algo with DP has TC $O(mn) \approx O(2^n)$, Thus, it's NP complete problem.

→ Matrix chain Multiplication: (optimal parenthesis prob)

(1) $A_{m \times n} \times B_{n \times p} = C_{m \times p}$ for 1st of C, n multiplication required

TOTAL no of multiplication required = mnp

(2) Matrix multiplication is associative but not commutative.

(3) Problem: we need to find best way to multiply n matrices in order to have minimum multiplication

$$ABC = (AB)C = A(BC)$$

(4) $n \leftarrow (ABCD)$ → Here finding min of (n-1) children takes $O(n)$ time
 $n \leftarrow (A)(BCD)$ ie 1 f" cost is $O(n)$
 $n \leftarrow (B)(ACD)$
 $n \leftarrow (B)(C(D))$
 $n \leftarrow (A)(B)(C(D))$
 $n \leftarrow (A)(B)(C)(D)$
 $n \leftarrow (A)(B(C))$
 $n \leftarrow (A)(B(C))$

It is a n-ary tree (max n children) with n level.

TC (without DP) = $n + n^2 + \dots + n^n = O(n^n)$

Stack = $O(n)$

(5) $f(1, n) \rightarrow M_1 \times M_2 \times \dots \times M_n$
↑ will grow ↑ n will decrease
to n to 1

NO. of distinct f" calls = n^2

Each f" cost is $O(n)$

NOTE: For ordering 4 matrices we require 3 bracket ().
 No. of possible ordering for n matrices is C_{n-1} ← catalan no.

$$\frac{2kC_k}{k+1}$$

$$(5) f(1,4) = \min \left\{ \begin{array}{l} f(1,1) + f(2,4) + \text{combining time.} \\ f(1,2) + f(3,4) + \dots \\ f(1,3) + f(4,4) + \dots \end{array} \right\}$$

$$(7) TC (\text{with DP}) = O(n^2) * O(n) = O(n^3)$$

$$\text{Stack} = O(n)$$

$$SC = \underset{\text{stack}}{n} + \underset{\text{array}}{n^2} = O(n^2)$$

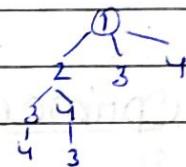
(8) In DP, we need 2D array of size $n \times n$

⇒ Travelling salesman prblm:

1) Prblm: Given n interconnected cities, salesman has to start from any city & return back to same city covering all with minimum distance.

starting city, \uparrow set of remaining city

$$(2) f(i, s) = \min_{k \in S} \{ i \cdot k + f(k, s - \{ k \}) \}$$



Similarly we need to check with all starting point

$$(3) TC (\text{Brute force approach}) = O(n!)$$

check all $n!$ permutations to find min.

4) It is a n-ary tree with n levels. 1 f^n cost

$$= O(n). (\text{finding min of } n \text{ children})$$

$$\text{No. of distinct } f^n \text{ calls in } f(i, s) = n * 2^{n-1}$$

$$(5) TC = \frac{\text{No. of distinct } f^n \text{ calls}}{\text{cost}} = n * 2^{n-1} * n = O(n^2 2^n)$$

6) It is a NP hard problem.

All pair shortest path (Floyd Warshall algo)

- (1) Prblm: Matrix A^0 gives distance b/w vertices. Our task is to find min distance b/w each pair of vertices.
- (2) Considering vertex 1 as intermediate vertex, build A^1 . (diagonal ele, 1st row, 1st column ele will not change)
 $A^1[2,3] = \min\{A^0[2,3], A^0[2,1] + A^0[1,3]\}$
similarly consider all vertex 2,3,4 as intermediate.
(Let there be 4 vertex). A^4 gives final result
- (3) $A^K[i,j] = \min\{A^{K-1}[i,j], A^{K-1}[i,K] + A^{K-1}[K,j]\}$
- (4) $TC = n * n^2 = O(n^3)$

8. \Rightarrow Optimal binary search tree: (Weighted BST)
It is a BST that minimizes expected search cost.
(no of comparisons required to search a key).

Ex: Keys = {10, 12} freq = {34, 50}

