

CS350 Homework 2

Russell Miller

January 26, 2011

1. Prove that $\sum_{i=0}^n r^i = \frac{r^{n+1} - 1}{r - 1}$, where r is a constant.

Assume the given statement, for n .

Rewriting in ... form. $r^0 + r^1 + \dots + r^n = \frac{r^{n+1} - 1}{r - 1}$

Going to prove for $n+1$.

$$r^0 + r^1 + \dots + r^n + r^{n+1} = \frac{r^{n+2} - 1}{r - 1}$$

The given statement can be substituted here, resulting in:

$$\frac{r^{n+1} - 1}{r - 1} + r^{n+1} = \frac{r^{n+2} - 1}{r - 1}$$

Then multiply both sides by $(r - 1)$.

$$\frac{\cancel{(r-1)}(r^{n+1})}{\cancel{(r-1)}} - \frac{\cancel{(r-1)}}{\cancel{(r-1)}} + (r - 1)(r^{n+1}) = \frac{\cancel{(r-1)}(r^{n+2})}{\cancel{(r-1)}} - \frac{\cancel{(r-1)}}{\cancel{(r-1)}}$$

Resulting in:

$$r^{n+1} - 1 + (r - 1)(r^{n+1}) = r^{n+2} - 1$$

Which is the same as:

$$\cancel{(r^{n+1})} + r^{n+2} - \cancel{(r^{n+1})} = r^{n+2}$$

Thus,

$$r^{n+2} = r^{n+2}$$

This proves the case for n inductively.

2. Use mathematical induction to show that when n is an exact power of 2, the solution of the recurrence:

$$T(n) = \begin{cases} 2 & \text{if } n = 2, \\ 2T(n/2) + n & \text{if } n = 2^k, \text{ for } k > 1 \end{cases}$$

is $T(n) = n \lg n$.

Suppose $n = 2^p$, where $p > 1$

$$T(n) = T(2^p)$$

Which by the recurrence,

$$T(n) = 2T(2^{p-1}) + 2^p$$

And substituting $T(n)$ for itself,

$$T(n) = 4T(2^{p-2}) + 2(2^{p-1}) + 2^p$$

And this continues until the leading coefficient is 2^p .

The rest of the terms are just 2^p . Note that $2(2^{p-1})$ is just like 2^{p-1+1} .

There are a total of p terms. So this can be rewritten as:

$$T(n) = p2^p$$

Since $n = 2^p$, $p = \lg n$.

Thus $T(n) = \lg n 2^{\lg n}$.

Or just $T(n) = n \lg n$.

3. Write a recurrence for the running time of a recursive insertion sort.

$$T(n) = \begin{cases} \Theta(1) & \text{if } n \leq 1 \\ T(n-1) + \Theta(n) & \text{if } n > 1 \end{cases}$$

4. Write pseudocode for binary search of the structure: $A = \langle a_1, a_2, \dots, a_n \rangle$. $v = A[i]$ or NIL .

```

BINARYSEARCH(A,lo,hi,value)
1  $x \leftarrow lo + (hi - lo)/2$ 
2 if  $lo = hi$  and  $value \neq A[x]$ 
3   return False
4 if  $value = A[x]$ 
5   return True
6 else if  $value < A[x]$ 
7   return BINARYSEARCH(A,lo,x-1,value)
8 else
9   return BINARYSEARCH(A,x+1,hi,value)

```

In step 1, the value x is calculated to be half of the current array values. The recursive calls to BINARYSEARCH use x to only search half of the array. This means that $T(n) = \Theta(1)$ (for each pass through the function doing the comparisons) $+T(n/2)$ (for the recursive calls that are searching only half of the elements). Much like the proof for Question 2, this will result in a logarithmic total, but will not be $T(n) = n \lg n$ because the comparisons are not $\Theta(n)$.

Because of the $\Theta(1)$ comparisons, rather, $T(n) = \lg n$.

5. Describe a $\Theta(n \lg n)$ -time algorithm that, given a set S of n integers and another integer x , determines whether or not there exist two elements in S whose sum is exactly x .

```

SUM(S,start,size,value)
1 if  $size - 1 = start$ 
2   return False
3 fi
4 for  $i \leftarrow start + 1$  to  $n$ 
5   if  $S[start] + S[i] = value$ 
6     return True
7 fi
8 return SUM(S,start+1,size,value)

```

6. Use the master method to show that the solution to the binary-search recurrence

$T(n) = T(n/2) + \Theta(1)$ is $T(n) = \Theta(\lg n)$.

To set up the master method, $a = 1$, $b = 2$, and $f(n) = \Theta(1)$.

$$n^{\log_b a} = n^{\log_2 1} = n^0 = 1$$

Since $f(n) = \Theta(1)$, which is $\Theta(n^0) = \Theta(1)$,

$$T(n) = \Theta(n^{\log_b a} \lg n) = \Theta(\lg n).$$

7. Can the master method be applied to the recurrence $T(n) = 4T(n/2) + n^2 \lg n$? Why or why not? Give an asymptotic upper bound for this recurrence.

No.

Here $a = 4$, $b = 2$, and $f(n) = n^2 \lg n$.

$$n^{\log_b a} = n^{\log_2 4} = n^2$$

$f(n) = n^2 \lg n$ is asymptotically larger than $n^{\log_b a} = n^2$, but it is not *polynomially* larger. The ratio $\frac{f(n)}{n^{\log_b a}} = \frac{n^2 \lg n}{n^2} = \lg n$ is asymptotically less than n^ϵ for any positive constant ϵ . So the recurrence falls between case 2 and 3.

Guessing that $T(n) = O(n^3)$

Going to prove that $T(n) \leq cn^3$, where $c > 0$.

Assuming this holds for $T(n/2)$, thus $T(n/2) \leq c\frac{n^3}{2}$.

Substituting into the recurrence,

$$T(n) \leq 4(c\frac{n^3}{2}) + n^2 \lg n$$

$$\leq (c/2)n^3 + n^2 \lg n$$

$$\leq (c/2)n^3, \text{ when } c \geq 2.$$

Going to show that this holds for the boundary conditions.

Assuming a boundary condition $T(1) = 1$ for $n = 1$,

$$T(n) \leq (c/2)n^3 \text{ yields } T(1) \leq (c/2)(1^3), \text{ or } T(1) \leq c/2.$$

This holds for $c \geq 2$, which satisfies the above hypothesis that $T(n) = O(n^3)$.

8. Give asymptotic upper and lower bounds for $T(n)$ in each of the following recurrences. Assume that $T(n)$ is a constant for $n \leq 2$. Make your bounds as tight as possible, and justify your answers.

a. $T(n) = 2T(n/2) + n^3$

Using the master theorem, $a = 2$, $b = 2$, $f(n) = n^3$.

$$n^{\log_b a} = n^{\log_2 2} = n^1.$$

$$f(n) = \Omega(n^{\log_2 2 + \epsilon}), \text{ where } \epsilon = 2.$$

This means case 3 applies.

$$af(n/b) \leq cf(n)$$

$$(1/4)n^3 \leq cn^3, \text{ and let } c = 1/4.$$

$$\text{So } T(n) = \Theta(n^3).$$

b. $T(n) = T(9n/10) + n$

Using the master theorem, $a = 1$, $b = 10/9$, $f(n) = n$.

$$n^{\log_b a} = n^{\log_{10/9} 1} = n^0.$$

$$f(n) = \Omega(n^{\log_{10/9} 1 + \epsilon}), \text{ where } \epsilon = 1.$$

This means case 3 applies.

$$af(n/b) \leq cf(n)$$

$$9n/10 \leq cn, \text{ and let } c = 9/10.$$

$$\text{So } T(n) = \Theta(n).$$

c. $T(n) = 16T(n/4) + n^2$

Using the master theorem, $a = 16$, $b = 4$, $f(n) = n^2$.

$$n^{\log_b a} = n^{\log_4 16} = n^2.$$

$$f(n) = \Theta(n^{\log_4 16}) = \Theta(n^2)$$

This means case 2 applies.

$$\text{So } T(n) = \Theta(n^2 \lg n).$$

d. $T(n) = 7T(n/3) + n^2$

Using the master theorem, $a = 7$, $b = 3$, $f(n) = n^2$.

$$n^{\log_b a} = n^{\log_3 7} = n^{1.77}.$$

$$f(n) = \Omega(n^{\log_3 7 + \epsilon}), \text{ where } \epsilon \approx .33.$$

This means case 3 applies.

$$af(n/b) \leq cf(n)$$

$$(7/9)n^2 \leq cn^2, \text{ and let } c = 7/9.$$

$$\text{So } T(n) = \Theta(n^2).$$

e. $T(n) = 7T(n/2) + n^2$

Using the master theorem, $a = 7$, $b = 2$, $f(n) = n^2$.

$$n^{\log_b a} = n^{\log_2 7} = n^{2.81}.$$

$$f(n) = O(n^{\log_2 7 - \epsilon}), \text{ where } \epsilon \approx .81.$$

This means case 1 applies.

$$\text{So } T(n) = \Theta(n^{\log_2 7}).$$

f. $T(n) = 2T(n/4) + n^{1/2}$

Using the master theorem, $a = 2$, $b = 4$, $f(n) = n^{1/2}$.

$$n^{\log_b a} = n^{\log_4 2} = n^{1/2}.$$

$$f(n) = \Theta(n^{\log_b a}) = \Theta(n^{1/2}).$$

This means case 2 applies.

$$\text{So } T(n) = \Theta(n^{1/2} \lg n).$$

g. $T(n) = T(n-1) + n$

This is a sum from 1 to n .

The formula for that kind of sum is $\frac{n^2 + n}{2}$.

$$\text{Thus, } T(n) = \Theta(n^2).$$

h. $T(n) = T(\text{sqrt}(n)) + 1$

Let $m = \lg n$.

$$2^m = 2^{\lg n} = n$$

Substitute 2^m for n in $T(n)$:

$$T(2^m) = T(2^{m/2}) + 1$$

New function:

$$S(m) = S(m/2) + 1$$

Use the master method, $a = 1$, $b = 2$, $f(m) = 1$.

$$m^{\log_b a} = m^0 = 1$$

$$f(m) = \Theta(m^{\log_2 1}) = \Theta(1)$$

This fits case 2, thus $S(m) = \Theta(\lg m)$.

Substituting $\lg n$ back in for m ,

$$T(n) = \Theta(\lg(\lg n)).$$

i. $T(n) = 3T(n/2) + n \lg n$

Using the master method, $a = 3$, $b = 2$, $f(n) = n \lg n$.

$$n^{\log_b a} = n^{\log_2 3} \approx n^{1.585}$$

This fits case 1, thus $f(n) = O(n^{\log_2 3 - \epsilon})$, where $\epsilon \approx .085$.

Since $n^{\log_b a}$ is not polynomially larger than $f(n)$, going to prove the above statement.

$$n \lg n \leq cn^{1.5} \text{ Divide by } n.$$

$$\lg n \leq c\sqrt{n}$$

Since $\lg n$ grows slower than \sqrt{n} , the above always holds.

$$\text{Thus } T(n) = \Theta(n^{\log_2 3}).$$

j. $T(n) = T(n-1) + 1$

This is a sum of 1, n times.

This is exactly the same as $T(n) = n$, which is $\Theta(n)$.