# Reduction of Messages Unnecessarily Ordered in Scalable Group Communication

Isamu Tsuneizumi
Seikei University
tsuneizumi.isamu873@gmail.com

Ailixier Aikebaier Seikei University alisher.akber@computer.org Tomoya Enokido Risho University eno@ris.ac.jp

Makoto Takizawa Seikei University makoto.takizawa@computer.org

#### **Abstract**

In distributed peer-to-peer (P2P) applications, a group of multiple peer processes (peers) are required to cooperate with each other. Messages sent by peers have to be causally delivered. In this paper, we discuss a scalable group communication protocol for a group of multiple peers in P2P overlay networks. Due to the message overhead O(n) for the number n of peers, the vector clock cannot be used to causally deliver messages is a scalable group. On the other hand, the linear clock implies the message length O(1), but some pair of messages are unnecessarily ordered. Recently, more accurate physical clocks can be used with the GPS time server and network time protocol (NTP). In this paper, we consider a group where every member peer can use a physical clock which is synchronized with the time server in the network time protocol (NTP). Even if each physical clock is synchronized with a time server, every physical clock does not show the same accurate time. The accuracy of the physical clock depends on distance to the time server, traffic in a network and operating system. In this paper, we reduce the number of messages unnecessarily ordered by taking advantage of the linear time and physical time.

#### 1. Introduction

Information systems based on the Peer-to-Peer (P2P) models [13] are now used and developed in various types of application. Here, a group of multiple peer processes (peers) are cooperating to achieve some objectives in a distributed network because a P2P system is in nature fully distributed without centralized coordinator. A group of multiple peers are cooperating by exchanging messages with each other in P2P overlay networks. Here, messages are required to be delivered to peers in some order like causally precedent order [11] and total order [1]. In this paper, we

discuss a fully distributed group communication protocol for a scalable P2P group. The vector clock is widely used to causally deliver messages in group comment protocols [1, 4]. Only and all the messages to be causally ordered can be ordered in the vector clock. However, the vector clock [6] cannot be used in P2P networks due to the message overhead O(n) for the number n of peers in scalable groups. In addition, it is not easy to change the membership of the group in every peer.

We assume a group is composed of multiple peers with GPS time servers. Physical clock of each peer is synchronized with a GPS time server [3] by using the network time protocol (NTP) [7]. Each peer is assumed to have a physical clock whose minimum accuracy is bounded to be some value because the peer is synchronized with the time server in a high-speed local network. The accuracy of each physical clock used by a peer depends on the distance and traffic between the peer and the time server. Hence, each physical clock of a peer is less accurate than the time server due to the delay time and traffic in the network.

In this paper, we consider a homogeneous broadcast group, where delay time between every pair of peers is the same and the accuracy of every physical clock is the same. In addition, each peer sends a message to every other peer in a group. Messages can be delivered to peers in the causal order with the linear clock [5]. However, some messages ordered with the linear clock might not be required to be causally ordered. In the physical clock, messages can be delivered to peers with not only the causal order but also the total order. More number of messages which are not required to be causally ordered are ordered in the physical clock. Thus, some message are unnecessarily ordered in the linear clock and physical clock. We discuss how messages can be reduced to be unnecessarily ordered by taking advantage of the physical and linear types of clocks depending on the accuracy of physical clock and the delay time



among peers.

In section 2, we present a system model. In section 3, we present the precedent relation of messages. In section 4, we discuss logical clocks and physical clocks. In section 4, we discuss how to order messages in a homogeneous group. In section 5, we discuss the data transmission procedure in group protocol.

# 2 System Model

A group G is composed of multiple peers  $p_1, \cdots, p_n$  which are interconnected in underlying communication networks. We assume the underlying networks are synchronous. The maximum delay time  $\delta_{ij}$  between every pair of peers  $p_i$  and  $p_j$  is bounded to be some value. The networks are in addition reliable and high-speed ones. Even if the networks themselves are reliable, a peer might lose messages due to buffer overrun.

In this paper, we consider a fully distributed group G of multiple peers. Here, there is no centralized coordinator. Each peer directly communicates with other peers. A group is so scalable that a huge number of peers are included. In this paper, we assume there is some mechanism to broadcast a message to every member peer in a group by using the P2P overlay networks. In addition, each peer is autonomous and makes a decision on the delivery order of messages and the membership by itself.

In this paper, we assume a group G to include one or more than one GPS time server. Each peer  $p_i$  is assumed to be able to communicate with a GPS time server in a high-speed communication network e.g. a GPS time server in a some local area network as  $p_i$ . Each peer  $p_i$  is equipped with a physical clock  $c_i$ . A physical clock  $c_i$  of each peer  $p_i$  is synchronized with the GPS clock [3] of the time server in the network time protocol (NTP) [7]. We assume each pair of GPS clocks in a group G show the same accurate time. In a group, while every physical clock is synchronized with the GPS clock in NTP, each physical clock does not show the same accurate time depending on the delay time and traffic of the network with the time server.

Let  $PT_i$  show physical time shown by the physical clock  $c_i$  of a peer  $p_i$ .  $C(PT_i)$  shows the accurate time when the physical clock  $c_i$  of a peer  $p_i$  shows time  $PT_i$ . The difference the physical clock  $c_i$  of between the physical time and accurate time  $|C(PT_i) - PT_i|$  gives the accuracy of the physical clock of a peer  $p_i$ . The accuracy of the physical clock  $c_i$  of each peer  $p_i$  is assumed to be bounded to be some constant value  $\lambda_i$ . We assume the following condition holds for every peer  $p_i$ :

$$|C(PT_i) - PT_i| \le \lambda_i$$
 for every physical time  $PT$  (1)

For example, let us consider a system where every computer is connected in a Gigabit Ethernet LAN and a physi-

cal clock of every computer is synchronized in NTP. Here,  $\lambda_i$  is 1 to 10 [msec] according to our experiment [12].  $\lambda_i$  is about 100 to 500 [msec] in a system where computers are interconnected in a wide area network (WAN). The longer and more complicated the network is, the less accurate the physical clock. In fact,  $\lambda_i$  move depends on a type of operating system, which a peer  $p_i$  is performed. Unit types of operating systems shows more accurate time than Windows [12]. In the physical clock condition (1),  $\lambda_i$  depends on distance and traffic between a peer  $p_i$  and a time server. Since each peer  $p_i$  is synchronized with a time server in a high-speed local network,  $\lambda_i$  is considered to be the order of millisecond.

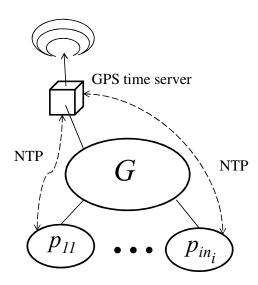


Figure 1. Group

There are two types of groups with respect to which peer a message is sent in a group. Each peer sends a message to every peer in a broadcast group. A message which a peer receives is also received by every other peers. On the other hand, in a selective broadcast group, a peer may send a message to a subset of the peers. If a pair of peers  $p_i$  and  $p_j$ send messages  $m_i$  and  $m_j$ , respectively, only common destinations of  $m_i$  and  $m_j$  receive both the messages  $m_i$  and  $m_i$ . In this paper, we consider a broadcast group. We assume there is some mechanism where each peer can broadcast a message to every peer in a group. There are father types of groups, homogeneous and heterogeneous groups. In a homogeneous group, the accuracy  $\lambda_i$  of physical clock  $c_i$  of every peer  $p_i$  is the same,  $\lambda_i = \lambda$  and the delay time  $\delta_{ij} = \delta$ . Typically, computers of the same type are interconnected in a high-speed local area network. In heterogeneous group,  $\lambda_i \neq \lambda$  for peers  $p_i, p_j, p_k$ , and  $p_l$ . The example is a group where various types of computer are interconnected in various type of networks. In this paper, we consider a broadcast and homogeneous type of a scalable group.

## 3 Precedent Relations of Messages

In a group G of peers  $p_1, \cdots, p_n$   $(n \geq 1)$ , messages sent by peers have to be delivered to destination peers in the causally precedent order [5,4]. Let  $s_i[m]$  and  $r_i[m]$  denote sending and receipt events that a peer  $p_i$  sends and receives a message m, respectively, in the group G. Lamport [5] defines the famous happen-before relation  $e_1 \to e_2$  between a pair of events  $e_1$  and  $e_2$   $(e_1 \ happens \ before \ e_2)$  in a distributed system.  $e_1 \Rightarrow e_2$  shows that  $e_1$  physically happens before  $e_2$ . For a pair of messages  $m_1$  and  $m_2$ , two types of precedent relations among the messages  $m_1$  and  $m_2$  are defined in terms of the happens-before relations  $\to$  and  $\Rightarrow$  as follows:

- 1.  $m_1$  causally precedes  $m_2$   $(m_1 \rightarrow m_2)$  if and only if (iff)  $s_i[m_1] \rightarrow s_i[m_2]$  [5] [Figure 2].
- 2.  $m_1$  temporally precedes  $m_2$   $(m_1 \Rightarrow m_2)$  iff  $s_i[m_1] \Rightarrow s_i[m_2]$  [Figure 3].

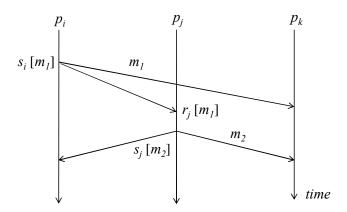


Figure 2. Causality ( $m_1 o m_2$ ).

From the definitions, a message  $m_1$  temporally precedes a message  $m_2$  ( $m_1 \Rightarrow m_2$ ) if  $m_1$  causally precedes  $m_2$  ( $m_1 \rightarrow m_2$ ). However, even if  $m_1 \Rightarrow m_2$ ,  $m_1 \rightarrow m_2$  does not necessarily hold. A pair of messages  $m_1$  and  $m_2$  are referred to as causally concurrent ( $m_1 \mid m_2$ ) iff neither  $m_1 \rightarrow m_2$  nor  $m_2 \rightarrow m_1$ . Causally concurrent messages  $m_1$  and  $m_2$  are independent of one another. Each peer can receive  $m_1$  and  $m_2$  in any order. A pair of messages  $m_1$  and  $m_2$  are referred to as temporally concurrent ( $m_1 \parallel m_2$ ) iff neither  $m_1 \Rightarrow m_2$  nor  $m_2 \Rightarrow m_1$ .  $m_1 \parallel m_2$  means that a pair of messages  $m_1$  and  $m_2$  are sent at the same time. Here,  $m_1 \mid m_2$  if  $m_1 \parallel m_2$ .

For example, there is a group of three peers  $p_1$ ,  $p_2$ , and  $p_3$ . Suppose the peer  $p_1$  sends a question message  $Q_1$  and

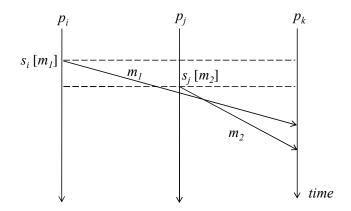


Figure 3. Temporal precedence ( $m_1 \Rightarrow m_2$ ).

 $p_2$  sends an answer message  $A_1$  of the question  $Q_1$  in a teleconference application. Here,  $Q_1$  causally precedes  $A_1$  ( $Q_1 \rightarrow A_1$ ) since the answer  $A_1$  is meaningless without the question  $Q_1$ . Every peer  $p_k$  is required to deliver the question message  $Q_1$  and then the answer message  $A_1$ . On the other hand, a pair of the peers  $p_1$  and  $p_2$  send question messages  $Q_1$  and  $Q_2$ , respectively. If  $Q_1$  is sent before  $Q_2$ ,  $Q_1$  temporally precedes  $Q_2$  ( $Q_1 \Rightarrow Q_2$ ). Then, the peer  $p_3$  sends an answer message  $A_1$  of  $Q_1$  and then  $A_2$  of  $Q_2$ .

# 4 Types of Clocks

#### 4.1 Logical Clocks

In order to deliver messages in the causally precedent order, synchronization mechanisms based on types of logical clocks; linear clock [5] and vector clock [8, 6] are used in traditional group communication protocols [11, 10]. In the linear clock, each peer  $p_i$  has a variable  $LT_i$  showing the linear time of its linear clock. Each time a peer  $p_i$  sends a message m, the message m carries the linear time m.LTshown by the linear clock  $LT_i$ . Then, the peer  $p_i$  sends the message m and  $LT_i$  is incremented by one. On receipt of a message m, the peer  $p_i$  changes the variable  $LT_i$  with the maximum value of  $LT_i$  and  $m.LT_i$ , i.e.  $LT_i = max (LT_i, T_i)$ m.LT). Here, if the message  $m_1$  causally precedes the message  $m_2$  ( $m_1 \rightarrow m_2$ ),  $m_1.LT < m_2.LT$ . Since messages which are causally concurrent are ordered, some messages may be unnecessarily delayed to be delivered. However,  $m_1 \rightarrow m_2$  may not hold even if  $m_1.LT < m_2.LT$ . In the linear clock,  $m_1$  is unnecessarily ordered to precede  $m_2$  $(m_1 \prec m_2)$  if  $m_1.LT < m_2.LT$ . The message size is O(1)independent of the number of peers.

In the vector clock [8, 6], each peer  $p_i$  has a vector  $\langle VT_1, \dots, VT_n \rangle$  of logical time for a group of peers  $p_1, \dots, p_n$  (n > 1). Suppose a peer  $p_i$  would like to send a message m.

First, the vector  $VT=\langle VT_1,\cdots,VT_n\rangle$  is carried by the message  $m,m.VT=\langle VT_1,\cdots,VT_n\rangle$ . Then, the peer  $p_i$  sends the message m and the ith element  $VT_i$  of the vector dots VT is incremented by one. On receipt of a message m,  $VT_j=max(VT_j,m.VT_j)$  for  $j=1,\cdots,n$   $(j\neq i)$  in the peer  $p_i$ . A message  $m_1$  causally precedes another message  $m_2$   $(m_1\to m_2)$  if and only if (iff)  $m_1.VT < m_2.VT$ . Only and all the messages to be causally ordered are ordered in the vector clock. However, the message size is O(n) for the number n of peers since each message m carries the vector m.VT of n elements. The vector clock cannot be adopted in scalable group communication protocols due to the communication overhead O(n). In addition, it is not easy to change the vector in change of the membership of the group.

In the group communication protocols [4, 5, 7], the underlying networks are assumed to be reliable. In fact, a peer may lose messages due to buffer overrun even if the network is reliable and high-speed one. In the paper [11], every message can be causally delivered by taking usage of sequence numbers of messages even if some messages are lost in a network.

## 4.2 Physical clocks

A physical clock of each peer can now show more accurate time by obtaining time from a time server with GPS clock [3] by using the network time protocol (NTP) [7]. Each peer  $p_i$  has a variable  $PT_i$  showing the current time of the physical clock  $c_i$ . The physical clock  $c_i$  is synchronized with the time server in the group G. A peer  $p_i$  attaches a message m with the physical time m.PT. Let m.PT show the physical time  $PT_i$  of a source peer  $p_i$  when  $p_i$  sends a message m. Then the peer  $p_i$  sends the message m in the group G. Here, the message length is O(1) as the linear clock.

Let  $C_i(PT_i)$  show the accurate time when the physical clock of a peer  $p_i$  shows the physical time  $PT_i$ . As assumed in the preceding subsection,  $|PT_i - C(PT_i)| \leq \lambda_i$  for every time  $PT_i$  in every peer  $p_i$ . For every pair of peers  $p_i$  and  $p_j$ ,  $|C_i(PT) - C_j(PT)|$  show time difference between the physical clocks of  $p_i$  and  $p_j$  when  $PT_i = PT$  and  $PT_j = PT$ . Hence,  $|C_i(PT) - C_j(PT)| \leq \lambda_i + \lambda_j$  for every physical time PT to be shown by a pair of peers  $p_i$  and  $p_j$ .

It takes time for a peer to transmit a message to another peer. Suppose a peer  $p_i$  sends a message m at physical time  $PT_i$  and another peer  $p_j$  receives the message m at physical time  $PT_j$  as shown in Figure 4. Here,  $|C_i(PT_i) - C_j(PT_j)|$  shows the delay time between a pair of peers  $p_i$  and  $p_j$ . In this paper, we assume the network is synchronous [2], i.e. the maximum delay time between a pair of peers  $p_i$  and  $p_j$  is bounded to be a constant  $\delta_{ij}$ . Here, the following property holds for physical time  $PT_i$  and  $PT_j$  when a peer  $p_i$  sends

the message m and another peer  $p_j$  receives the message m, respectively, clock accuracy  $\lambda_i$  and  $\lambda_j$ , and delay time  $\delta_{ij}$ :

$$-(\lambda_i + \lambda_j) + \delta_{ij} \le PT_j - PT_i \le (\lambda_i + \lambda_j) + \delta_{ij}$$
. (2)

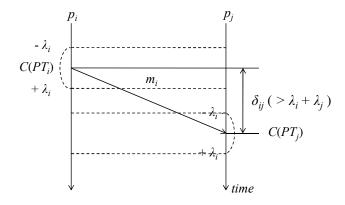


Figure 4. Accuracy and delay time.

Next, suppose a peer  $p_i$  sends a message  $m_i$  and another peer  $p_j$  sends a message  $m_j$ . First, if  $m_j.PT - m_i.PT > \lambda_i + \lambda_j$ , it is sure that a message  $m_i$  is sent by a peer  $p_i$  before another message  $m_j$  by a peer  $p_j$ , i.e.  $m_i$  temporally precedes  $m_j$  ( $m_i \Rightarrow m_j$ ). If  $m_j.PT - m_i.PT > \lambda_i + \lambda_j + \delta_{ij}$ , it is sure that the peer  $p_j$  sends the message  $m_j$  after receiving the message  $m_i$ , i.e.  $m_i$  causally precedes  $m_j$  ( $m_i \rightarrow m_j$ ). Thus, the following properties hold:

If 
$$m_j.PT - m_i.PT > \lambda_i + \lambda_j$$
,  
 $s_i[m_i] \Rightarrow s_j[m_j]$ . (3)

If 
$$m_j.PT - m_i.PT > \lambda_i + \lambda_j + \delta_{ij}$$
,  
 $s_j[m_i] \rightarrow s_j[m_j]$ . (4)

Suppose a peer  $p_i$  sends a messages  $m_i$  at physical time  $PT_i$  and another peer  $p_j$  receives the message  $m_i$  at physical time  $PT_j$ . Suppose the peer  $p_j$  sends a message  $m_j$ . Here,  $-(\lambda_i + \lambda_j) + \delta_{ij} \leq PT_j - m_i.PT \leq \lambda_i + \lambda_j + \delta_{ij}$  holds from the property (2). Hence, if  $m_j.PT - m_i.PT > \lambda_i + \lambda_j + \delta_{ij}$ , it is sure  $m_j.PT > PT_j$ . This means the peer  $p_j$  sends the message  $m_j$  after receiving the message  $m_i$  from the peer  $p_i$ , i.e.  $m_i$  causally precedes  $m_j$  ( $m_i \rightarrow m_j$ ).

Let e.PT show the physical time of a peer where an event e occurs. Here, we define the temporally precedent relation  $\Rightarrow$  among a pair of events  $e_i$  and  $e_j$  occurring at peers  $p_i$  and  $p_j$ , respectively:

[**Definition**] An event  $e_i$  temporally precedes an event  $e_j$   $(e_i \Rightarrow e_j)$  iff  $e_j.PT - e_i.PT > \lambda_i + \lambda_j$ .

A pair of events  $e_i$  and  $e_j$  are temporally concurrent  $(e_i \parallel e_j)$  if neither  $e_i \Rightarrow e_j$  nor  $e_j \Rightarrow e_i$ , i.e.  $|e_j.PT - e_i.PT| \leq \lambda_i + \lambda_j$ . Hence,  $s_i[m_i] \Rightarrow s_j[m_j]$  iff  $m_j.PT - m_i.PT > \lambda_i + \lambda_j$ . A pair of sending events  $s_i[m_i]$  and  $s_j[m_j]$  concurrently occur  $(s_i[m_i] \parallel s_j[m_j])$ , i.e. a pair of peers  $m_i$  and  $m_j$  are sent at the same time iff  $|m_j.PT - m_i.PT| \leq \lambda_i + \lambda_j$ .

Suppose another peer  $p_k$  receives a pair of messages  $m_i$  and  $m_j$  sent by peers  $p_i$  and  $p_j$ , respectively. The peer  $p_k$  has to decide on the precedence of the messages  $m_i$  and  $m_j$  by using the physical time  $m_i.PT$  and  $m_j.PT$  carried in the messages  $m_i$  and  $m_j$ , respectively. Following the properties, the temporally precedent relation  $m_i \Rightarrow m_j$  and the temporally concurrent relation  $m_i \parallel m_j$  on a pair of messages  $m_i$  and  $m_j$  satisfy the following properties:

$$m_i \Rightarrow m_i \text{ if } m_i.PT - m_i.PT > \lambda_i + \lambda_i.$$
 (5)

$$m_i \parallel m_i \text{ if } |m_i.PT - m_i.PT| \leq \lambda_i + \lambda_i.$$
 (6)

Suppose a peer  $p_j$  sends a message  $m_j$  after receiving a message  $m_i$  from another peer  $p_i$ , i.e.  $m_i$  causally precedes  $m_j$  ( $m_i \rightarrow m_j$ ). Here,  $m_j.LT > m_i.LT$  since  $m_i \rightarrow m_j$  in the linear clock. However, even if  $\mid m_j.PT - m_i.PT \mid < \lambda_i + \lambda_j + \delta_{ij}, m_j.PT > m_i.PT$  may not hold. The physical time PT if  $m_j$  might be larger than  $m_i$  although  $m_i \rightarrow m_j$ . Next, suppose a peer  $p_j$  sends a message  $m_j$  after receiving a message  $m_i$  from a peer  $p_i$ . Here, the maximum delay time between a pair of the peers  $p_i$  and  $p_j$  is  $\delta_{ij}$ . The, following property holds from the property (2):

A peer 
$$p_j$$
 sends a message  $m_j$  after receiving a message  $m_i$  from a peer  $p_i$  if  $|m_j.PT - m_i.PT|$   
 $> \lambda_i + \lambda_j + \delta_{ij}$ . (7)

We would like to discuss how the physical clock can be used to causally order messages with respect to the delay time  $\delta_{ij}$  and the clock accuracy  $\lambda_i$  and  $\lambda_j$ . First, suppose  $\lambda_i + \lambda_i < \delta_{ij}$ . That is, the delay time between a pair of peers is longer and the accuracy of a physical clock is better. For example, peers are interconnected in a wide area network. As presented in the condition (1),  $m_j.PT - \lambda_j \le$  $C(m_j.PT) \le m_j.PT + \lambda_j$ . If  $C(m_j.PT) > C(m_i.PT)$ +  $\delta_{ij}$ , it is sure the peer  $p_i$  sends the message  $m_i$  after receiving the message  $m_i$  from the peer  $p_i$ . Hence,  $m_i.PT$  $+ \lambda_j \ge C(m_j.PT) > C(m_i.PT) + \delta_{ij} \ge m_i.PT - \lambda_i + \delta_{ij} \ge m_i.PT - \delta_$  $\delta_{ij}$ .  $m_j.PT > m_i.PT - (\lambda_i + \lambda_j) + \delta_{ij}$ . If  $\delta_{ij} \ge \lambda_i + \delta_{ij}$  $\lambda_j, m_j.PT > m_i.PT - (\lambda_i + \lambda_j) + \delta_{ij} \geq m_i.PT$ . Thus, if  $\delta_{ij} \geq \lambda_i + \lambda_j$  and  $m_i$  causally precedes  $m_j$   $(m_i \rightarrow m_j)$ ,  $m_i.PT - m_i.PT > 0$ . Hence, by checking the physical time  $m_i.PT$  and  $m_j.PT$  of every pair of messages  $m_i$  and  $m_j$ , we can decide on which message  $m_i$  or  $m_j$  causally precedes another message.

[Theorem] If  $\delta_{ij} \geq \lambda_i + \lambda_j$  and  $m_j.PT - m_i.PT > \lambda_i + \lambda_j + \delta_{ij}$ , a message  $m_i$  causally precedes a message  $m_j$   $(m_i \rightarrow m_j)$ .

Next, suppose  $\delta_{ij} < \lambda_i + \lambda_j$ . The condition  $\delta_{ij} < \lambda_i + \lambda_j$  implies that the network is a high-speed network and each physical clock is not accurate. Here,  $m_j.PT < m_i.PT$  may hold even if  $m_i \to m_j$ . Hence, only by using the physical clock, we cannot decide on the causality of every pair of messages. The messages can be causally ordered in the linear clock. That is, if  $m_j.LT > m_i.LT$ ,  $m_i$  is ordered prior to  $m_j$   $(m_i \mapsto m_j)$ .

## 5 Homogeneous Broadcast Groups

There are types of groups with respect to the delay time and clock accuracy. In this paper, we consider a homogeneous broadcast group  $G = \{p_1, \cdots, p_n\}$   $(n \ge 1)$  where  $\delta_{ij} = \delta$  and  $\lambda_i = \lambda$  for every pair of peers  $p_i$  and  $p_j$ . In the homogeneous group G, the delay time  $\delta_{ij}$  between a pair of peers  $p_i$  and  $p_j$  is the same  $\delta$  and the accuracy  $\lambda_i$  of every physical clock of a peer  $p_i$  is also the same  $\lambda$ . Each message m carries two types of time, physical time m.PT and linear time m.LT which show the physical time and linear time of the source peer, respectively.

Let us consider a pair of messages  $m_i$  and  $m_j$  sent by peers  $p_i$  and  $p_j$ , respectively. Suppose another peer  $p_k$  receives the messages  $m_i$  and  $m_j$ . First, suppose  $|m_i.PT - m_j.PT| < 2\lambda$ . That is, we cannot decide which message  $m_i$  or  $m_j$  is sent before the other message by comparing the physical time  $m_i.PT$  and  $m_j.PT$ , i.e.  $m_i$  and  $m_j$  are temporally concurrent  $(m_i \parallel m_j)$ .

First, suppose the maximum delay time  $\delta$  between a pair of peers is smaller than  $2\lambda$ ,  $\delta < 2\lambda$  as shown in Figure 5. Hence, a peer  $p_i$  sends a message  $m_i$  at physical time  $PT_i$  and another peer  $p_j$  receives the message  $m_i$  at  $PT_j$ . Here,  $PT_i + \lambda > PT_j - \lambda$  may hold.

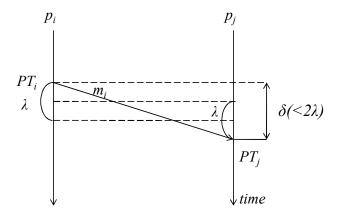


Figure 5. Delay time.

As discussed in the preceding section, we cannot decide on which causally precedent relation  $m_i \to m_j$  or  $m_j \to m_i$  holds if  $\delta < 2\lambda$  only by using the physical time  $m_i.PT$  and  $m_j.PT$ . We need an additional mechanism, i.e. linear clock to order messages. If  $m_i.LT < m_j.LT$ , the message  $m_i$  is ordered to precede the message  $m_j$   $(m_i \prec m_j)$ . However,  $m_i \to m_j$  may not hold. Thus,  $m_i$  can be ordered to precede  $m_j$   $(m_i \prec m_j)$  by using the linear time even if  $(m_i \nrightarrow m_j)$ . If  $\delta < 2\lambda$ , messages have to be ordered by using the physical clock and the linear clock.

Next, suppose  $\delta \geq 2\lambda$ . As shown in a Figure 6, a peer  $p_i$  sends a message  $m_i$  at physical time  $PT_i$  and another peer  $p_j$  receives the message  $m_i$  at physical time  $PT_j$ . Here,  $PT_i + \lambda < PT_j - \lambda$ . Hence, we can decide on whether or not the messages  $m_i$  and  $m_j$  are causally ordered by using the physical time  $m_i.PT$  and  $m_j.PT$ . As presented in the property (7), if  $m_i$  causally precedes  $m_j$   $(m_i \to m_j)$ ,  $m_j.PT - m_i.PT > \delta + 2\lambda$ . That is, if  $m_j.LT > m_i.LT$ ,  $m_j.PT - m_i.PT > \delta + 2\lambda$ . Hence, if  $m_j.PT - m_i.PT > \delta + 2\lambda$ , the message  $m_i$  causally precede  $m_j$   $(m_i \to m_j)$  and  $m_i$  can be ordered to precede  $m_j$   $(m_i \to m_j)$ . This means, messages can be causally ordered by using only the physical time if  $\delta \geq 2\lambda$ .

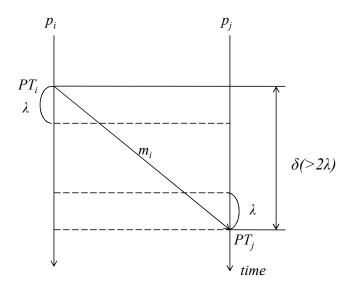


Figure 6.  $\delta > 2\lambda$ .

Next, suppose  $|m_i.PT - m_j.PT| > 2\lambda$ . Here, if  $m_j.PT > m_i.PT$ , it is sure a message  $m_i$  temporally precedes another message  $m_j$  ( $m_i \Rightarrow m_j$ ). As discussed here, messages are causally ordered by using the physical / linear clock depending on whether or not  $\delta > 2\lambda$  holds.

In summary, messages are temporally ordered by using the following rules:

#### [Temporally ordring rules]

- 1. If  $|m_i.PT m_j.PT| \le 2\lambda$ , a pair of messages  $m_i$  and  $m_j$  are temporally concurrent  $(m_i \parallel m_j)$ .
- 2. If  $m_j.PT m_i.PT > 2\lambda$ , a message  $m_i$  temporally precedes a message  $m_i$  ( $m_i \Rightarrow m_i$ ).

We discuss how to order a pair of messages  $m_i$  and  $m_j$  by using the physical time and linear time. Suppose a peer  $p_k$  receives a pair of messages  $m_i$  and  $m_j$  from peers  $p_i$  and  $p_j$ , respectively. The messages are ordered in the precedent relation  $\mapsto$  by the following rules. Here, a pair of messages  $m_1$  and  $m_2$  are ordered as " $m_1$  precedes  $m_2$ " ( $m_1 \mapsto m_2$ ), ( $m_2 \mapsto m_1$ ), or " $m_1$  and  $m_2$  are concurrent" ( $m_1 \leftrightarrow m_2$ ).

[Rules for ordering messages  $m_i$  and  $m_j$ ]

- 1.  $|m_i.PT m_j.PT| \le 2\lambda + \delta$ :
  - (a)  $\delta \leq 2\lambda$ :

    if  $m_i.LT < m_j.LT$ ,  $m_i$  precedes  $m_j$  ( $m_i \mapsto m_j$ )

    else if  $m_j.LT < m_i.LT$ ,  $m_j \mapsto m_i$ else if  $|m_i.PT m_j.PT| \leq 2\lambda$ ,  $m_i \leftrightarrow m_j$ else neither  $m_i \leftrightarrow m_j$ .
  - $\begin{array}{l} \text{(b)} \quad \delta > 2\lambda : \\ & \text{if } \mid m_i.PT m_j.PT \mid \leq 2\lambda, m_i \leftrightarrow m_j \\ & \text{else } / * \mid m_i.PT m_j.PT \mid \geq 2\lambda \; * / \\ & \text{else if } m_i.PT < m_j.PT, \{ \\ & \text{if } m_i.LT < m_j.LT, m_i \mapsto m_j \\ & \text{else } \quad m_i \leftrightarrow m_j \; \} \\ & \text{else } / * m_i.PT > m_j.PT \; * / \; \{ \\ & m_j \Rightarrow m_i \\ & \text{if } m_i.LT > m_j.LT, m_j \mapsto m_i \\ & \text{else } \quad m_i \leftrightarrow m_j. \\ \; \} \end{array}$
- 2.  $|m_i.PT m_j.PT| > 2\lambda + \delta;$ if  $m_i.PT < m_j.PT, m_i \mapsto m_j (m_i \to m_j)$ else  $m_i \mapsto m_i (m_i \to m_i).$

In the rule  $2, m_i \mapsto m_j$  means  $m_i \to m_j$ . Figure 7 shows pairs of messages ordered in the precedent relations  $\Rightarrow$ ,  $\mapsto$ ,  $\to$  and linear clock LT. For a pair of messages  $m_i$  and  $m_j$ ,  $m_i \to m_j$  if  $m_i \mapsto m_j$ .  $m_i \mapsto m_j$  if  $m_i \Rightarrow m_j$ . In the linear clock, a pair of message  $m_i$  and  $m_j$  are ordered as  $m_i \prec m_j$  if  $m_i.LT < m_j.LT$ . However, even if  $m_i.LT < m_j.LT$ ,  $m_i \to m_j$  may not hold. In our protocol,  $m_i \mapsto m_j$  if  $m_i.PT < m_j.PT$  and  $|m_i.PT - m_j.PT| > 2\lambda + \delta$ . Here, we can reduce the number of messages to be ordered.

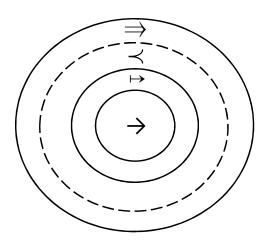


Figure 7. Relation among precedent relations

## 6 Data Transmission Procedure

We present a data transmission procedure of a homogeneous broadcast group  $G = \{p_1, \dots, p_n\}$ . First, we assume the underlying network to be synchronous [2]. A peer  $p_i$  broadcasts a message m to every peer by using a primitive function  $\mathbf{send}(m)$  in the underlying P2P overlay network. A peer receives a message m by using a primitive function  $m = \mathbf{rec}()$ .

Each message m carries the following fields:

```
m.src = source peer which sends a message m. m.seq = sequence number of the message m. m.PT = physical time. m.LT = linear time. m.data = data.
```

Each peer  $p_i$  manipulates variables  $LT_i$ ,  $PT_i$ ,  $SEQ_i$ , and  $REQ_j$   $(j=1,\cdots,n)$  to send and receive messages.  $PT_i$  shows the current physical time of the peer  $p_i$ .  $LT_i$  indicates the linear time of  $p_i$ . Initially,  $LT_i=0$ .  $SEQ_i$  shows the sequence number of a message which the peer  $p_i$  has most recently sent in the network. Initially,  $SEQ_i=0$ .  $REQ_j$  indicates the sequence number of a message which a peer  $p_i$  expects to receive next from  $p_j$ , initially  $REQ_j=0$   $(j=1,\cdots,n)$ .

First, a peer  $p_i$  sends a message m of data DATA by the following procedure:

#### [Transmission]

```
\begin{split} m.src &= p_i;\\ m.LT &= LT_i;\\ m.PT &= PT_i;\\ m.data &= DATA;\\ m.seq &= SEQ_i;\\ \mathbf{send}(m);\\ SEQ_i &= SEQ_i + 1; \end{split}
```

```
LT_i = LT_i + 1;
```

Next, a peer  $p_i$  receives a message m from another peer  $p_j$  as follows:

```
[Receipt]
```

```
m = \mathbf{rec} \; (); /* \; m \; \mathrm{comes} \; \mathrm{from} \; p_j \; , \mathrm{i.e.} \; m.src = p_j \; */ \; \mathrm{if} \; REQ_j < m.seq, \\ \{ \\ /* \; \mathrm{there} \; \mathrm{is} \; \mathrm{a} \; \mathrm{lost} \; \mathrm{message} \; m' \; \mathrm{such} \; \mathrm{that} \\ REQ_j \leq m'.seq < m.seq \; */ \\ \mathrm{recovery} \; (p_j, REQ_j, m.seq - 1); /* \; \mathrm{lost} \; \mathrm{messages} \; \mathrm{are} \; \mathrm{retransmitted} \; */ \\ \} \\ \mathrm{else} \; \mathrm{if} \; REQ_j > m.seq, \\ \{ \\ /* \; m \; \mathrm{is} \; \mathrm{already} \; \mathrm{received} \; */ \; \mathrm{neglect} \; m; \\ \} \\ \mathrm{else} \; /* \; REQ_j = m.seq \; */ \\ \{ \\ LT_i = \max(LT_i, m.LT); \\ \mathrm{enque}(m, RBF); \; /* \; \mathrm{buffer} \; m \; \mathrm{to} \; RBF \; */ \\ REQ_j = REQ_j + 1; \\ \}
```

If  $REQ_j < m.seq$ , a peer  $p_i$  finds  $p_i$  has not received a message m' from a peer  $p_j$  where  $m'.seq \geq REQ_j$  and < m.seq. If a peer  $p_i$  detects to lose the message m',  $p_i$  requests the source peer  $p_j$  to retransmit m'. In the function  $\mathbf{recovery}(p_j, REQ_j, m.seq - 1)$ , the source peer  $p_j$  retransmits the peer  $p_i$  every message m' where  $REQ_j \leq m'.seq < m.seq - 1$ , i.e. every message which  $p_i$  has lost.

Messages received are enqueued into the receipt buffer RBF. Here, the messages in the buffer RBF are ordered by using the ordering rules discussed in the preceding section. Here, a function  $m = \mathbf{top}(RBF)$  shows that a message mis the top of the buffer RBF. A function enque(m, RBF)means that a message m is stored in the buffer RBF and ordered in the ordering rule as presented in the preceding section. A function  $m = \mathbf{dequeue}(RBF)$  shows that the top message top(RBF) is dequeued from the buffer RBF. The top message m in the buffer RBF may not be delivered to the peer  $p_i$ . If the message m satisfies the following delivery condition  $\mathbf{DelCond}(m)$ , the peer  $p_i$  can deliver the message m. Otherwise, the top message m is kept waited in the buffer RBF. Here, a variable  $DSEQ_i$  shows the sequence number of a message from a peer  $p_j$  which the peer  $p_i$  has most recently delivered  $(j = 1, \dots, n)$ .

```
 \begin{aligned} & \{ \\ & /^* \ m \ \text{comes from} \ p_j, \ \text{i.e.} \ p_j = m.src \ ^* / \\ & \text{if} \ DSEQ_j = m.seq - 1, \\ & \text{if there is a message} \ m_k \ \text{in} \ RBF \ \text{such that} \\ & DSEQ_k = m_k.seq - 1 \ \text{for every peer} \ p_k, \\ & \text{return} \ (T); \end{aligned}
```

```
\mathbf{return}(F);
```

This condition means that every message preceding the message m is delivered. Hence, the message m which is the top of the buffer RBF can be delivered. Otherwise, after delivering the message m, some message preceding the message m might be received.

A peer  $p_i$  delivers messages in the buffer RBF by the following **Deliver** procedure:

```
[Deliver] m = \mathbf{top}(RBF); if \mathbf{DelCond}(m), /* m can be delivered */ { m = \mathbf{deque}(RBF); \mathbf{deliver}(m); /* m is delivered */ DSEQ_i = m.seq + 1; /* p_i = m.src */
```

## 7 Concluding Remarks

In this paper, we discussed a homogeneous broadcast group of multiple peers where the delay time between every pair of peers is the same and the clock accuracy of every peer is the same. Here, each message is sent to every peer in the group. Since the linear clock implies the message length O(1), we can adopt the linear clock to a scalable group. However, some messages are unnecessarily ordered. In order to reduce the number of messages to be unnecessarily ordered, we take advantage of the physical clock in addition to the linear clock. We presented the data transmission procedure of the group communication protocol.

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