## An Introduction To Programming With X10

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This is a draft of the Part I of the Guide. This draft focusses on core features of the X10 language and programming model. This Guide is a work-in-progress. We anticipate that a complete draft of Part I and a substantial part of Part II will be available in early first quarter 2011.

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This work is part of the X10 project (http://x10-lang.org).

## **Preface**

#### Who This Book Is For

X10 is an experimental language designed with modern programming language concepts and features to support "scale out" and "scale up" concurrency: larger and larger numbers of more and more powerful nodes that have to be used together effectively. This text introduces X10 to programmers who have some experience with an object-oriented language. To this end, we'll talk about Java and C++ from time to time, as a way to explain concepts that these languages share with X10. So, a background in Java or C++ will help in reading this book; failing that, familiarity with object-oriented "scripting" languages like JavaScript, Python, Ruby, or Smalltalk will also give much of the required background.

Those familiar with C, but not C++, may find this book useful, but some minimal knowledge of object-oriented programming really is a must—*e.g.* classes, objects, class methods versus instance methods, and so on, but nothing deep.

## The Scope Of The Book

Just as an MPI programmer can go a long way with only a half-dozen or so of the more than one hundred MPI functions, the new X10 programmer can get a lot of mileage from a carefully chosen subset of X10 constructs. Aiming for a gentle introduction, we stick to the most fundamental language constructs, that cover the most common programming tasks. The final chapter surveys what we don't cover here in detail.

Here is a quick outline of what we are going to do.

The first chapter samples a few simple X10 programs so you can get a feeling for the language. It introduces concurrency, both local and distributed. The chapter emphasizes the basic constructs, not performance, except to the extent that it comes up as a reason for choosing one construct over another.

The next several chapters flesh out the critical parts of the language: types, expressions, control flow, and so on.

#### **Trademarks**

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**Linux** registered trademark of Linus Torvalds.

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**Windows** a shorthand for one of a number of operating systems, Windows XP, Windows Vista, and Windows 7, that are registered trademarks of Microsoft Corporation.

### **Getting X10 Onto Your Machine**

We've put together some installation guides for various platforms that show in detail how to set up your workstation to develop X10 programs. The platforms supported are

Mac: http://dist.codehaus.org/x10/documentation/install/mac-cl-install.html

Linux: http://dist.codehaus.org/x10/documentation/install/linux-cl-install.html

Windows: http://dist.codehaus.org/x10/documentation/install/windows-cl-install.html

Once you have the environment set up, you will be able to run the programs in this guide.

For Windows users, we have also developed a batch installation of the Cygwin environment that X10 requires. This will make it easier to get started than getting what you need piecemeal off the Web. The installer can be downloaded from

http://dist.codehaus.org/x10/documentation/install/cygwin-install.zip

You can refer to the Windows installation guide above for more details about its use.

If you are an Eclipse user, the X10 Development Toolkit (X10DT) is a plugin that can be installed into Eclipse 3.5.x (Galileo) or Eclipse 3.6.x (Helios). If you are unfamiliar with Eclipse, it is a free Open Source IDE, and we recommend you take a look at its introductory Web page, http://www.eclipse.org/home/newcomers.php. If you have Eclipse installed, you can go to the Help menu and select "Install New Software". You will be asked to add an update site. The url to use for X10DT is:

http://dist.codehaus.org/x10/x10dt/2.1/updateSite/.

If you want to install both Eclipse and X10DT together from scratch, there is an all-inone installation that contains everything you need to start building X10 programs. It can be downloaded from the X10 web site: http://x10.codehaus.org/X10DT+2.1+Installation.

The X10 web site, http://x10.codehaus.org/, is a source for the latest news about X10. The X10 mailing lists are all hosted at http://x10.codehaus.org/For+Users. You can subscribe to any of them, but the ones most likely to be of interest are

- x10-announce: for (infrequent) announcements of X10 releases, and
- x10-users: for a general X10 user list.

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# Part I Basic X10

## 1 A Whirlwind Tour of X10

In this chapter, we'll look at a couple of quick examples that illustrate X10 in action. The next two chapters will then fill in a lot of the details.

#### **1.1** Hello!

Enough suspense! You knew it was coming, and here it is! 1

```
// Copyright 1977 Greeter's Anonymous. All rights reserved
/** classic first code example */
public class HelloWorld {
/** //
* writes "Hello, World" to the console
* @param args the command line arguments
// //
public static def main(args:Array[String](1)) {
x10.io.Console.OUT.println("Hello, World");
}
```

The working copy of this code is intro/HelloWorld.x10. Let's step through it, a line at a time:

line 1: // Copyright 1977 Greeter's Anonymous. All rights reserved Comments in X10 are the same as Java or C++: they either begin with "//" and go through the end of the line, or begin with "/\*" and end at the *first* "\*/" that follows. Because the first "\*/" ends the comment, "/\*...\*/" *comments do not nest*.

line 2: /\*\* classic first code example \*/
Comments in the style /\*\* ...\*/ that immediately preced a declaration are

<sup>&</sup>lt;sup>1</sup> See file HelloWorld.x10

called "X10Doc" comments. X10Doc is a set of conventions for publishing the APIs for whole directory trees of X10 source files. X10Doc follows that same format as Java's "JavaDoc", but instead of using the "javadoc" command, you use the "x10doc" command to process it. The final product is a nicely organized HTML site. All of our sample code uses X10Doc documentation, but we usually do not copy it into the displays in the text. The documentation for the X10 library http://dist.codehaus.org/x10/xdoc/ is all generated from X10Doc comments. <sup>2</sup>

#### line 3: class HelloWorld {...}

X10's classes serve essentially the same purpose as classes in other object oriented languages, Java and C++ in particular. There are some differences, of course, which we'll point out as they arise in the discussion.

A class normally will have the same name as the file in which is declared—*e.g.* HelloWorld is found in HelloWorld.x10. C++ programmers should realize that, unlike C++, X10 relies on file names to find class declarations. We'll go through the rules in detail in Chapter 2.

#### line 6 \* @param args the command line arguments

Inside X10Doc comments, lines that start with an asterisk ("\*") are copied into the HTML with the asterisk (and leading spaces) deleted. This line says that args is an argument for the method whose declaration follows, namely main().

#### line 8: public static def main(args: Array[String](1))

Program execution starts, as in Java and C++, with a method named main, which takes the command-line arguments as a collection of strings. But here we start to see some differences in syntax from Java and C++:

- The keyword def begins a method declaration. This makes it easy to tell what is a method and what isn't. (In contrast, in Java and C++, you have to look for clues sometimes small ones to tell the difference between a method and a field.)
- There is no return type specified here. In fact, you don't normally need to specify the return type for a method. This differs from both Java (you must supply it) and C++ (the default is int). The X10 compiler looks for the return statements in a method and normally can infer the return type.

If you do wish to specify it, then, unlike Java and C++, it follows the argument list. For example, "def doIt(t: T): U {...}" declares a method named doIt with one argument of type T and a return value of type U. Notice the ':' that preceeds the types in both places: it is the required syntax. The return type of main() is void, meaning that no value is returned.

So why might you specify the return type, if you don't have to? Basically, writing down the return type gives valuable documentation, particularly for longer methods where the return type is not immediately obvious. It

<sup>&</sup>lt;sup>2</sup>If you want to really get to know JavaDoc, its home page is at oracle.com, but for a quick executive summary sufficient for almost all purposes, see the Wikipedia entry.

1.1. HELLO! 5

also prevents some mistakes: if you expect to return a Boolean, but one of the seventeen **return** statements accidentally has an Int instead, X10's type inference will happily pick Any, which can be anything, as the return type of the method. If you specify the Boolean return type, X10 will flag the Int return as an error, which is probably what you want.

Occasionally, the compiler will force you to specify a return type to resolve type checking difficulties. For example, X10 might infer that method m is Boolean — but in a subclass, you want to have m return other kinds of things. So you would need to declare m to be Any, rather than the inferred Boolean, in the parent class.

- X10 has generic types, along the same general lines as Java and C++. X10 uses square brackets to hold the actual type, *e.g.* Array[String] for declaring an array of Strings.
- X10 arrays, like FORTRAN arrays, may be multi-dimensional. The "(1)" that follows Array[String] asserts that the array is one-dimensional, or in other words, is just like the usual Java or C++ array.
- The general syntax for assigning a type to an identifier is

#### identifier: type,

as in args:Array[String](1). White space before or after the ':' is ignored by the compiler. In fact, white space in X10 is treated as it is in Java and C++: normally ignored, except to the extent that it is needed to separate tokens or appears in string literal constants.

#### line 9: x10.io.Console.OUT.println("Hello, World");

Like Java, X10 groups classes into units called "packages". For example, the input-output classes in X10's standard library all belong to the package x10.io. The class Console is part of that package. Package names are used both as prefixes to provide unique names for classes *and to locate the classes*.

#### Console.IN, Console.OUT, and Console.ERR

are the standard input, output, and error streams. The method println prints a string, followed by an operating-system dependent line-ender: either a single newline character for Unix based systems, or a carriage return-newline pair. If you don't want the newline, use print instead.

We'll give some more details about packaging in chapter 2.

Here's the command for compiling HelloWorld for execution by a Java-based runtime ("%" is the command line prompt):

#### % x10c HelloWorld.x10

To run it, you use the x10 command:

% x10 HelloWorld Hello, World

If HelloWorld had required some command-line arguments, you could have added them at the end of the command line.

There is also a C++ runtime. To use it, you need to compile using x10c++ rather than x10c. The usual C compiler convention -o *filename* for naming the executable is used.

% x10c++ HelloWorld.x10 -o hello
% runx10 hello
Hello, World

You'll need the runx10: you cannot invoke hello directly, because some special setup is required to bootstrap the X10'c C++ runtime. We don't actually need anything special for hello, but X10 code that sets up the call to main() *is* generic and needs information supplied by runx10.

#### 1.2 Two CPUs Are Better Than One

The point of X10 is concurrent programming: giving you control over clusters of multiprocessors. We'll get started on this by parallelizing a simple piece of serial code that computes an approximation to the number  $\pi$ . Along the way, we'll introduce some more X10 syntax and write our first loops.

#### 1.2.1 $\pi$ via Monte Carlo

The unit circle is the set of points (x,y) in the plane that satisfy  $x^2+y^2\leq 1$ , and its area is  $\pi$ . We are going to explore a particularly simple method of estimating  $\pi$ . Figure 1.1 shows the one-quarter of the unit circle that lies in the unit square,  $0\leq x,y\leq 1$ . The unit square has area 1, and the shaded part inside the circle has area  $\pi/4$ . Now imagine picking points at random in the unit square. What fraction will also lie in the unit circle? If the points are really random, the answer ought to be the fraction of the square that lies inside the unit circle, namely:  $\pi/4$ .

One way to estimate  $\pi/4$ , then, is to pick a large number of points (x,y) in the unit square at random and see what fraction actually land in the unit circle. This sort of process is called a "Monte Carlo" algorithm.

If ever there were an easily parallelized type of algorithm, Monte Carlo is it: if we have 1,000 processors, we let each generate points independently, and at the end, we just have to merge the results. The only trick is to make sure that each of the 1,000 processors starts in a way genuinely random with respect to the others, so that they don't just duplicate each other's efforts.

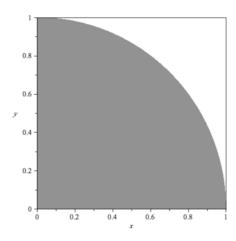


Figure 1.1: The intersection of the unit circle with the unit square

#### 1.2.2 Getting Started: A Serial Version

Let's look first at a serial version in Figure 1.1, because it introduces a number of X10 idioms that we'll need in the parallel version. You can find the source in MontePi1.x10.

**line 1:** Random is a class from the X10 standard library. Whenever you need a class that is not implemented in the file you are editing, the compiler needs to be told how to find it. There are two ways to do so, which are the same as in Java:

- 1. You can specify the *fully qualified name* of the class in an import statement, as line 1 does for Random. In the next chapter, we'll go into more detail about the naming conventions.
- 2. Or, you can omit the import statement, but if you do, you have to write out the fully qualified class name at each use. If we had left it out here, we would have had to rewrite line 5 as

val r = new x10.util.Random();

The standard X10 library provides some types which are so commonly used that the compiler is kind and does not force you to import them explicitly or write them out in detail at each use. Int, for example, is really x10.lang.Int, and Console in line 13 is really x10.io.Console.

**lines 3, 5, 8, 9, 12,** In line 3, we declare N to be a static val. The keyword "val" means that N names a value, 10000 in this case. One cannot assign a new value

<sup>&</sup>lt;sup>3</sup> See file MontePi1.x10

```
import x10.util.Random;
public class MontePi1 {
     static val N = 10000;
     public static def main(s: Array[String](1)) {
         val r = new Random();
         var inCircle:Double = 0.0;
         for (j in 1..N) {
            val x = r.nextDouble();
            val y= r.nextDouble();
            if (x*x +y*y <= 1.0) inCircle++;
         }
11
         val pi = 4*(inCircle/N);
12
         Console.OUT.println("Our estimate for pi is " + pi);
13
     }
15 }
```

Listing 1.1: Serial Monte Carlo Approximation of Pi

to N later on in the code: it is a constant, in the same way the const is used in C and final in Java.

The keyword "static" means that the value is associated with the class MontePi itself. The remaining vals, r, x, y and pi are not part of the class: they are just local variables of the method main().

The compiler will happily figure out the type of a val whose value appears in its declaration, as it does in each of the five declarations here. You can, if you wish, provide the type yourself. For example, we could have written line 5 as

```
val r:Random = new Random();
```

There's not much point in this case, though, to spelling things out. Adding ":Random" helps neither people nor the compiler read the code.

(B: I added a discussion of <:. This probably needs to get moved; it's too long for here. :B) Most of the time, you should not specify the type of a val whose initial value appears with its declaration. You can trust the compiler to come up with a correct type for your value, or to give you an error message.

You might want to give a type for the sake of someone reading the program – someone like yourself six months later, or even the compiler. You can give an exact type, and insist that all that the compiler can know about the variable is the type you give it, with the :Random syntax.

It's usually better to give *approximate* type information, where you tell *some* things about the variable – the things you expect people reading your code to care about, and the things you want the compiler to check. The compiler will

figure out the exact type, but it will check everything that you said you wanted. The syntax for this is to use "<:" instead of just ":", like this:

```
val r <: Random = new Random();</pre>
```

For Random, there aren't a lot of choices for what you could say for partial information. If you were giving another name to s, the one-dimensional array of Strings declared on line 4, you have more choices: <sup>4</sup>

```
public class ArgvPartialInfo {
public static def main(s: Array[String](1)) {

val a <: Object = s;

val b <: Array[String] = s;

val c <: Array[String]{rank != 3} = s;

}

</pre>
```

On line 3, we give very little information, just saying that a is an object. On 4, we say that b is an array of strings, but, unlike the declaration of s on line 2, we don't say that it's a *one-dimensional* array. On 5, just because we can, we say that it's an array but not a three-dimensional array: it could be one-dimensional or four-dimensional or eighty-dimensional.

The difference between ":" and "<:" in val declarations is that ":" erases information and "<:" doesn't. So, if you write

```
val b : Array[String] = s;
```

all that X10 will know about b is that it is an array of strings, of some unknown dimensionality. But if, as on line 4, you write

```
val b <: Array[String] = s;</pre>
```

X10 will remember that b, like s, is one-dimensional. This is important information later on if you want to subscript it with a single index, b(0). That's the right thing to do with a one-dimensional array, but wrong for an array whose dimensionality is unknown. (B: This new bit has gotten quite long, and should be put into a separate section:B)

You *do* need to provide the type when you don't want to initialize the val in the declaration itself. Typically this happens when the val depends on some choices that you can't neatly write in one line:

<sup>&</sup>lt;sup>4</sup> See file ArgvPartialInfo.x10

```
val howMany: Int;
if (aBoolean) {/* howMany gets set one way here */}
else {/* and gets set differently here */}
```

The if and else blocks are both free to do any calculation they need to in arriving at howMany's value, so long as they don't try to use howMany itself before it has been set. The rule is

Control cannot reach a use of a val without first reaching an assignment that sets the val's value.

In other words, there is no such thing as "default value" for a val. It must be set explicitly by you, and once set, cannot be changed.

The initializer for a val may be any legal X10 expression that can be evaluated at run-time—they need not be compile-time constants. Lines 8, 9, and 12 all show examples of initialization expressions.

**lines 6:** The keyword var introduces the declaration of a variable. A declaration like "var inCircle:Double = 0.0;" says that inCircle names some storage that holds a value of type Double whose initial value is 0.0, and this value may be updated as the code runs. In the lingo of the trade, one says that "inCircle references a Double".

Double values are double-precision IEEE floating points, exactly like Java's and C++'s double.

You do need to supply the type for a var even when an initial value is provided. The rationale is a bit involved, so we ask you just to take our word for it that for now, the compiler needs to be told the type of every var.

lines 7-11 Another new ingredient in the code is the "for" loop, lines 7 through 11. for (j in 1..N) { executes its body (lines 5-10) once with j bound to 1, then once with j bound to 2, and so on, ending (if nothing stops it sooner) with j bound to N.

1..N is an example of an IntRange, a value that describes a range of integers. They're useful for looping over, as we do here. They are also useful for declaring the subscripts of arrays, and a variety of other situations.

X10 has old-style for loops too, like C++ and Java's traditional for loops. We could have written this one:  $^5$ 

```
i for(var j:Int = 1; j <= N; j++) {
2    //...
3 }</pre>
```

<sup>&</sup>lt;sup>5</sup> See file OldStyleFor.x10

(j needs to be declared as a var, not a val, because we're changing it. j++ means "Add one to j," just as it does in C and Java.)

Each time through the loop, we call the random number generator r twice to get the coordinates of a point (lines 8, and 9). Happily, r.nextDouble returns a value between 0 and 1, so we can use it "as is." In line 10, we check whether the point lies in the unit circle, and if so, we increment inCircle by 1.

As it happens here, we don't need j in the loop, but we do want to emphasize that the scope of j's declaration is the loop and nothing but the loop, so when you leave the loop, j will be unavailable.

**line 12:** On exit from the loop at line 12, inCircle/N is the fraction of points in the circle, which is going to be a positive number less than 1, so we have to use a Double (or if we don't care about the precision, a Float) to capture the value. That's why we made inCircle a "Double" in line 6. When we do the division here, the compiler will arrange to convert N to a Double, too, and will use double precision floating point division.

Suppose we had said to ourselves, "incrementing a Double by 1 inside that loop has got to be more expensive than incrementing an Int. So let's declare inCircle to be an Int." That's fine, but when we get to line 12, we have to be careful to convert it to a Double. One way to do this is with as:

```
val pi = 4 * (inCircle as Double / N);
```

Time to try compiling and running the code. Here is our console log for the run:

```
% x10 MonteCarloPi
The value of pi is 3.1368
```

Not a brilliant guess at  $\pi$ , but we didn't really try all that many points. Your answer might vary: in fact, the answer will vary with each run because, whenever Random creates a new generator, it uses the current time to create a new starting point for computing its values. The first two digits, 3.1, though, should be stable. Good luck!

#### 1.2.3 We Can Do Better

There are some pretty primitive aspects to our first cut at  $\pi$ . In this section we'll introduce a few features of X10 that will help us spruce up the code a little bit.

To begin with, that "static val N = 10000;" in line 3 is really, truly rigid. We have access to a perfectly good set of command line arguments. Why not use the first, if supplied, to set the number of points to try? That would have let us try 1,000,000 points right away to see how much better we could do than 10,000. The code we need is simple enough:

6

```
public static def main(args: Array[String](1)) {
val N = args.size > 0 ? Int.parse(args(0)) : 10000;
```

Some comments:

**line 1:** The command line arguments come in as the array args. The declaration

```
args:Array[String](1)
```

should, as we have already mentioned, be read: "args is a value whose type is an array of strings indexed by a single integer." X10 arrays, unlike Java or C++ arrays, may be indexed by arbitrarily many integers, so you have to tell the compiler what sort of indexing you want. Don't be confused here: the "(1)" is not the size of the array: it is the *kind* of index you need (a single integer, in this case).

**line 2:** X10 uses the property size to get the number of elements in an array. Using "length", as Java does, would be misleading, because X10 arrays can be *n*-dimensional, not just 1-dimensional.

X10 uses ordinary parentheses, and not square brackets, to access array elements. Thus, since args is indexed by a single integer, args(k) is the entry in args indexed by the integer k. X10, like its relatives C++ and Java, normally starts array indexing from 0. However, the X10 programmer can specify other index domains. There is no reason to get fancy about args, however, so its first element is args(0). Arrays in X10 are a whole subject unto themselves that we will get to in Chapter 3.

We use Int's static method parse to convert the command line input from a String to an Int. Then the conditional operator, "?:" allows us to choose a value: it begins by testing its first operand, which must must evaluate to true or false, *i.e.* a Boolean. If it evaluates to true, the value of the expression is the second operand; otherwise the value is the third. Once again, X10 is consistent with Java and C++.

The next step in improving the code is a little more involved. We used a random number generator that the X10 library provided for us. Suppose, though, that for some reason we wanted to try another one. It would be nice if the generator were just another parameter to the computation, so we could play with a bunch of them if we wanted to. To get there, we are going pull the main loop out of main and put it in its own method, one parameter of which is the random number generator: <sup>7</sup>

<sup>&</sup>lt;sup>6</sup> See file MontePi2.x10

<sup>&</sup>lt;sup>7</sup> See file MontePi2.x10

```
public static def countPoints(n: Int, rand: ()=>Double) {
    var inCircle: Int = 0;
    for (j in 1..n) {
       val x = rand();
       val y = rand();
       if (x*x +y*y <= 1.0) inCircle++;
    }
    return inCircle;
}</pre>
```

The new ingredient here is the declaration of rand in the first line. As usual, its type follows a colon (":"), but what's there is not just a name, as usual, but a sort of "expression", ()=>Double, which is read: "a function that takes no arguments and returns a Double." There is no strictly analogous construct yet in Java, although one is planned, and the closest thing in C++ is the "function pointer" double (\*rand)().

Note, too, that we compute inCircle as an Int here. It's a little faster than using Double and besides, we know that the result is an integer, so it makes sense to declare it as such.

How do we create the function to pass in as rand? Here's one approach: in main(), put  $^8$ 

```
val r = new Random();
val rand = () => r.nextDouble();
val inCircle = countPoints(N, rand);
val pi = (4.0 * inCircle)/N;
```

line 1 says that rand is a function with no arguments whose body is the expression
r.nextDouble(), which is its return value. This is, as you would guess, just a
simple example of a much more general facility, and we'll see a lot of examples
later that will flesh out how to use it.

One important thing to understand is that the declaration of rand captures the runtime value of r. If we put this code in the body of a loop, then each time through the loop, rand would use the new value of r that is yielded by the constructor new Random().

The right-hand side of the declaration is often called a "closure" in the literature (because of the way variables from the surrounding context (like r here) are captured and kept until needed). You'll also see languages like X10 describing themselves as supporting "first-class functions", which is short for "functions as first-class data" and simply means they allow you to work with functions in exactly the same way you would with any other sort of data, like Ints or Strings: you can assign one, pass it as an argument, save it as an array element, *etc*.

<sup>&</sup>lt;sup>8</sup> See file MontePi2.x10

- **line 3** replaces the whole loop in lines 7 through 11 of our original with the call to our new method countPoints.
- **line 4** We use a factor of 4.0 here, rather than 4 as we did in our original. The type of 4.0 is Double, which forces the whole expression to be treated as a Double. (We could also have used as Double, as we did before.) We'll say more about this sort of automatic conversion in section 3.1.

The cleaned-up version of this code is MontePi2.x10.

There are one or two things we could do to pretty it up even more, but enough for now. It is time to look at how to parallelize it.

#### 1.2.4 Enter The Second Processor

We are going to present several parallel versions of our code. We'll begin with a version that assumes shared memory: multiple threads running on a single machine. Most PCs these days have dual-processor CPUs, so a factor of 2 speedup is available right out of the box, *if* your code can effectively use both processors.

For our  $\pi$  calculator, the changes are simple: we just have to be able to say "start n activities going, each with its own independent random number generator, and when each has done it's share of the work, sum the hits from all n and divide by the total number of points tried."

X10 avoids the term "thread", because it (together with "process") has a variety of meanings in different contexts. Instead, X10 uses the term *activity* to mean a sequential thread of control. We'll be more loose here and use whichever term seems more natural (to us!) at the moment, but you should be aware of X10's convention when reading other literature.

Figure 1.2 shows the relevant part of our new, parallel main. We'll go through it line by line.

9

- **lines 1-4:** If command-line arguments are supplied, we read the number of points to try, N, from the first, and the number of activities to use, nAct, from the second. Otherwise we just use 100,000 points and 4 activitiess. The number of points each thread will try is nPerThread. Since we are now using multiple activities, we've switched to Long integers—64 bits—instead of ordinary Ints.
- line 5: The right-hand side is the X10 idiom for constructing an array that is indexed by a single integer running from 1 to nActs. The entries in the array are initialized to OL, the Long way to say zero, which is the default value for a Long that doesn't have its value specified some other way. You might wonder what declaring inCircle here to be a "val" implies: what is it that cannot be changed

<sup>&</sup>lt;sup>9</sup> See file MontePiAsync.x10

```
val N = args.size > 0 ? Long.parse(args(0)) : 100000L;
val nAct : Int = args.size > 1 ? Int.parse(args(1)) : 4;
4 val nPerAct = N/nAct;
 val inCircle = new Array[Long](1..nAct);
 finish for(k in 1..nAct) {
    val r = new Random(k*k + k + 1);
    val rand = () => r.nextDouble();
    val kval = k;
    async inCircle(kval) = countPoints(nPerAct, rand);
11
12 }
var totalInCircle: Long = 0;
15 for(k in 1..nAct) {
    totalInCircle += inCircle(k);
    Console.OUT.println("ic("+k+") = "+inCircle(k));
17
18 }
 val pi = (4.0*totalInCircle)/(nPerAct*nAct);
```

Listing 1.2: Shared-memory parallel code for computing  $\pi$ 

because inCircle is a val? The answer is that inCircle's value is always going to be the array it is initialized by the right-hand side of this line, but during the program's run, the individual elements of that array may be assigned to as needed.

- **line 1:** The number 100000L is the Long version of 100000. You can also use a suffix of S for Short, and Y for Byte<sup>10</sup>, and any of these with a U for the unsigned version.
- line 7: There is nothing unusual about the "for" loop part of this line. The interesting part is the "finish". The whole point of this loop is to spawn some number of independent activities, each computing how many hits out of a possible nPerAct land in the circle. We can't do any further processing until we are sure that all of these activities have run to completion. That is what "finish" guarantees: control will not reach the statement after that guarded by a finish until all of the activities spawned in the finish's statement have completed. So when we get to line 11, we can be sure that every entry in inCircle has been correctly set.

finish is followed by a statement, like **for** in this example. It can be any statement, though. If you want to start two activities and wait for them to finish, you can write:

 $<sup>^{10}\</sup>mathrm{B}$  is a hexadecimal digit, so X10 couldn't also use it as a byte marker.

finish {
 async do\_this();
 async do\_that();

line 8: The constructor Random(), if called with no arguments, uses the number of milliseconds from some fixed time as the "seed" to begin generating its random numbers. Alas, less than a millisecond may elapse between the creation of two or more of our activitiess, and when that happens, we get the same sequences in several activities, not the independent sequences we need. So we've spiced things up by using a simple polynomial in the loop counter k to generate a unique seed for each activity. Why not just k? Why k\*k + k +1? Just to spread the starting points out a little more in the hope that our activitys really get independent sequences.

**lines 10 and 11:** This is X10's idiom for spawning an activity at the current processor. The async statement is readied for execution in its own activity, and control may then be returned to the originating activity whenever the X10 runtime's activity manager wishes: it could be immediately, or it could be after the new activity has been allowed some time. The important point—particularly if we are running on a multi-processor—is that we do not have to wait for the new activity to complete before returning control to the original activity. The effect of the for loop is, therefore, to get nActs activities up and running *concurrently*.

async, like finish, can be applied to any statement. If you want to start a multi-statement activity, use a { block }:

12

```
1 async {
2    do_this();
3    do_that();
4 }
```

Why do we introduce kval in line 10? Using kval, which is a constant, in the async, rather than k, which is a variable, ensures that that activity is using the value of k in force when the async is spawned. Were we to use k inside the async, and it happened that the execution of the async got sufficiently delayed, the async could see a value of k greater than that at time of the async's creation, and then it would set the wrong array entry in line 11.

<sup>11</sup> See file FinishTwo.x10

<sup>&</sup>lt;sup>12</sup> See file MultiLineAsyncExample.x10

Line 11 ends by calling countPoints, as in our serial code. We've allowed its first argument, the number of points to try, to be a Long. 64-bit integers are probably overkill here, but with so many activities at our. command, we can afford to think big.

line 15: When we get to line, we can compute the total number of hits in the circle out of the N points we generated, because we can be sure that every entry in inCircle has been correctly set.

line 20: Once the loop in lines 15-18 has computed the total number of hits in the circle, we have to normalize the result to get the final answer. N is the total number of points we wanted to try, and each activity actually got N/nAct == nPerAct points to try. If nAct doesn't divide N evenly, though, we only wind up using nPerAct\*nAct points, which explains the denominator here. Using a Double, 4.0, as the first term in the right hand side forces the compiler to generate code for converting the two Longs to Doubles before the arithmetic is performed.

Here's our console log for running the code from a Unix-style terminal window. The Unix time command executes the program and then produces three time estimates: total CPU usage ("real"), wall-clock elapsed time ("user"), and system overhead ("sys"). This timing is for a 3GHz dual-processor laptop.

```
% time x10 MontePiAsync 10000000 1
The value of pi is 3.1402504

real 0m2.426s
user 0m3.368s
sys 0m0.165s
% time x10 MontePiAsync 100000000 2
The value of pi is 3.1405268

real 0m1.370s
user 0m1.893s
sys 0m0.109s
```

Not bad: an overall factor of 3.368/1.893 = 1.78 speed-up for the observed time-to-completion out of a best possible of 2.

You can find the whole program in MontePiAsync.x10.

#### 1.3 A Thousand CPUs Are Better Than Two

#### 1.3.1 Distributing Work

To get heavy-duty concurrency, we have to distribute work across many processors, which usually means we have to scale out to more than one (shared-memory) machine.

To this end, X10 provides a type, Place, that is best thought of as an address space in which activities may run. The physical reality is that different Places may refer to the same physical processor and may share physical memory, but from the programmer's point of view:

- The running program has a single address space.
- The distinct Places partition that address space: no two Places have any storage in common.
- But, since there is a single global address space, an activity at one Place may refer directly to storage at another.

Place. MAX\_PLACES is the number of Places available to a program. It is fixed at program start-up and cannot be altered thereafter.

Each Place has an integer id: if p is a Place, then p.id is its id. An activity can find out at which Place it is executing by evaluating the expression here. The keyword here is reserved for this purpose alone. The id of the current activity's Place is here.id. The Place whose id is "i" can be got by evaluating Place.place(i). 13

The X10 runtime begins a program's execution by creating a single activity, the "root" activity, that calls the program's main(). The root activity's home Place is called Place.FIRST\_PLACE, and by convention, it is the Place whose id is 0.

So the question is: how does information at one Place get to another? One simple way is to use an "at(p)" statement: if the identifier p names a Place, and if computeAn-Int() is a method that computes an Int, then

```
val anInt = at(p) computeAnInt();
```

#### means: (B: s/thread/activity/ in the following :B)

Pause the thread for this activity. Go to the Place p, and resume the activity by calling computeAnInt() in a thread at p. Send the result back here to the original Place, restart the thread there for the activity by assigning the value to anInt. The activity then continues at the original Place.

<sup>&</sup>lt;sup>13</sup> If you've programmed using the MPI library, Place.MAX\_PLACES is analogous to what you get by calling MPI\_Comm\_size and the id is analogous to what MPI\_Comm\_rank gives you. The UPC equivalents are THREADS and MYTHREAD.

If you like to think about implementation, you can think of the single X10 activity being built out of two threads: the thread for the requesting activity and the thread for the remote activity. From the point of view of the X10 programmer, though, exactly one activity is going on here, because the requesting thread is blocked while the remote thread does its thing, so no matter how many "hardware threads" we use to carry out this computation, it is strictly serial: it is one activity. This is one reason why X10 uses the term "activity" for a serial computation, rather than "thread."

If you do not want to wait around for the value to be computed and assigned, things are not so simple. You might think, for instance, that something like the obvious "async val anInt = at(p) computeAnInt();" might work, but it doesn't. Just as with variables declared in for loops, the declaration of anInt in an async's body means that it is not available outside of it. This is consistent with Java and C++ (and just about every other language): a declaration within a statement's body is visible only in that body.

The secret is to separate the assignment from the declaration:

```
val anInt: Int;
finish {
    /* some code not using anInt can go here */
    async { anInt = at(p) computeAnInt(); }
    /* maybe more code not using anInt here, too! */
}
/* at last: anInt can be used here! */
```

As the comments in this code suggest, the async has to be inside a finish block, and anInt cannot be used until control leaves the block.

Passing a "var" into an async's block is also possible, but (of course) risky because of the possibility of race conditions, an example of which we'll discuss in the next section. If you change val to var in line 1, the code works as before, but be aware that the finish must be present in the same scope as the var that is used in the async. That is, you cannot just spawn an activity into which you pass a variable unless that activity has a visible bound on its lifetime. For example, the code

```
def syncIt() {
    var anInt: Int;
    async anInt = 3; // compiler won't accept this
}
```

will cause the compiler to complain:

```
Local variable "anInt" cannot be captured in an async if
there is no enclosing finish in the same scoping-level
as "anInt"; consider changing "anInt" from var to val.
```

What the compiler wants is a finish surrounding the async within the scope of the declaration of anInt. To be precise, what will *not* work is:

```
def syncIt() {
    finish {
      var anInt: Int; // anInt is local to the finish block
      async anInt = 3;
    }
    use(anInt); // no! anInt is not defined here!
}
```

What *will* work is inserting the finish so that anInt is alive and visible when the finish completes:

```
def syncIt() {
   var anInt: Int;
   finish {
     async anInt = 3;
   }
   use(anInt);
}
```

Now that we know how to move data around and in and out of asyncs, we are ready to rework our code.

#### 1.3.2 A First Try At Multi-Place Code

Let's get started with our multi-processor code with some high-level pseudo-code. We are going to go all out and not only use several Places, but at each Place, we'll use several activities. Here we go:

main: Read the command line to get the number of places to use. For each Place, call the function countAtP to get that one Place's contribution, and add up all of them to get the final answer.

countAtP: Add up the counts from several threads at one Place.

countPoints: Called once per thread. It is the same as its namesake in MontePi2 and MontePiAsync. This is where we actually call the random number generator to get the points to test.

In thinking about this code, keep in mind that a really high-performance computer can provide literally thousands of Places, but, for this sort of CPU-intensive activity, at any given Place, it is likely to be worthwhile running at most a dozen or so threads,

probably fewer. That said, we might ask ourselves whether it makes sense to use different strategies for accumulating our results in countAtP, which we expect to have very few contributors, versus main, which may have thousands.

When we only have two or three integers to add together, it might make sense to use a single var count:Long to accumulate the total count, rather than using an array (as we did in the main for MontePiAsync). Here's a first cut:

```
public static def countAtP(pId:Int, threads:Int, n:Long) {
    var count: Long = 0L; // 0L == Long integer literal 0
    finish for (var j: Int = 1; j<= threads; j++) {
     val jj = j;
     async {
        val r = new Random(jj*Place.MAX_PLACES + pId));
        val rand = () => r.nextDouble();
        count += countPoints(n,rand); // trouble, as we'll see
    }
}
return count;
}
```

Sadly, this code has a very nasty bug, which is due to the variable count being shared by the whole set of threads. The trouble is in line 8, where count's value is updated. Broken down, line 8 involves the following steps:

**Step 1:** Load the value of count into the CPU.

Step 2: Call countPoints.

**Step 3:** Add the return value from the call to the loaded value of count.

**Step 4:** Copy the sum from the CPU into count.

If you are a veteran of the parallel programming wars, you will recognize this as a classic opportunity for a *race* condition. For the newcomers, here is a scenario that shows what can go wrong:

We begin at line 2 with count's value is initially set to 0. Suppose that the value of threads coming in is 2. The async in line 5 will then get called twice, so we'll have two threads, call them T1 and T2, executing the code in lines 6-8 in parallel. Figure 1.2 is a graphic view of one possible time-line for the two threads.

Suppose that T1 begins executing first. When it gets to line 8, and does the first step: it loads the value of count, which is still 0. But now comes trouble: suppose that just after this step completes, the operating system's thread manager suspends T1 for some reason.

If a few nanoseconds later, the thread manager lets T2 start rather than restarting T1, which, after all, is only fair, since T1 already got at least

some CPU time. When T2 gets to line 8, it, too, loads count into the CPU. But, because T1 never completed updating count, T2 finds the same value, 0, stored there that T1 did. So when T2 gets to step 3 in its execution of line 8, it adds the return value to 0, and stores the result back into count, so count now is whatever T2 computed.

At some point T1 will be restarted, and because it will start executing exactly where it was suspended, it will be at step 2, the call to countPoints. T1 will *not* repeat the first step, loading count. Threads also restart exactly where they where suspended. So T1 add its contribution to what it thinks the value of count is—namely, 0. The result is that T1 winds up overwriting T2's value in count with its own, not adding the two, which is what we wanted.

Both activities having now completed, T2's contribution has been lost.

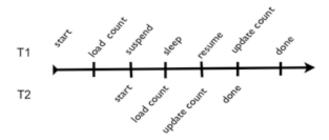


Figure 1.2: One possible timeline for T1 and T2

#### Disaster!

You can see why this is called a race. It is a particularly insidious sort of bug, because sometimes you get the right answers, and sometimes you don't. After all, the operating system did not *have* to suspend T1 at a bad moment. It just happened to. The event that led the thread manager to suspend T1 may have come from an external event having nothing to do with MontePi at all. Maybe it was an I/O event of some kind that simply had to take precedence over T1—so, too bad: T1 loses. That's life!

The cure is simple enough: we just need to replace line 8 with some code that ensures that once one activity starts executing that code, no other activity can begin that code until the first one finishes.

8 atomic count += countPoints(n, rand));

Guarding an X10 statement this way, with the keyword atomic, does just what we want: we are guaranteed that once an activity enters the statement, no other activity may enter it until the original activity completes it.

In our original scenario, this means that once T1 starts executing line 8, T2 will be blocked from entering line 8 while T1 is still active there, even if for some reason the operating system suspends T1 for a while. T2 will be suspended when *it* reaches line 8, at least until T1 finishes there. This slows things down, but you get the right answer.

Even though our new line 8 is correct, it really is not a good solution. The problem is that virtually all the time spent executing the statement is in the expensive call to countPoints. countPoints does not depend on any resources shared by the two threads, so there is no problem about the two executing the call to countPoints concurrently. The only shared resource is count, which doesn't appear in countPoints at all. So what we really need to do is to split the line into two:

```
s val countForJ = countPoints(n, rand);
s atomic count += countForJ;
```

Because only one activity at a time can execute an atomic statement, clearly the smart thing is to keep it as small as possible.

Races can occur whenever multiple activities share a resource. In our example, they shared a piece of storage, count, but they could equally well, for example, share an output stream. Suppose three activities call Console.OUT.println at the same time. What happens? Answer: it depends! Or perhaps better: "We're off to the races!" Sometimes each line will print as desired, sometimes the two lines will be interleaved, and sometimes all three will be. Try the following code, for example, on your machine a bunch of times:

```
public class HelloAsync {
   public static def main(argv:Rail[String]!) {
      async Console.OUT.println("Hello, World");
      async Console.OUT.println("Hola, Mundo");
      async Console.OUT.println("Bonjour, Monde");
   }
}
```

Here's our console log for our own first shot at running it:

```
% x10 HelloAsync
BHelonlo,j oWuorr,l dM
onde
Hola, Mundo
```

"Hola, Mundo", got delivered intact, but "Hello, World" and "Bonjour, Monde" got pretty well interleaved. Who knows what might have happened on another run! Even

more amusing: why was the *second* of the three asyncs the one that wasn't interrupted? The first, maybe—the last, not too surprising—but, the *second*? Moral: where there are races, the outcomes really are unpredictable.

Bad as this behavior is, it is all that X10 can reasonably guarantee, because enforcing atomicity—on calls to Console.OUT, for example—is not free, and knowing exactly when and where it is required is something that really only the programmer can know. So X10's atomic statement makes it easy for the programmer to enforce atomicity, and that's really the best thing.

Putting all this discussion to use, we get a first cut at MontePiCluster in Figure 1.4. 14 15

You've now seen almost all of the principal ingredients for writing multi-threaded X10 code: async to spawn activities, finish to know when a set of activities is complete, at to shift the action to some other processor, and atomic to maintain the integrity of access to shared data. We'll fill out the picture in Chapter 4. But first we want to take some time to look at how object oriented programming looks in X10.

Exercise: You might want to try the following slight variation on the Monte-Carlo code. Write a method that uses one or more Places to sum the values of a function f:(d:Double)=>Double over a sequence of n Doubles d, d+delta, ... d+n\*delta:

$$\sum_{k=0}^{n-1} f(d+k\delta) \tag{1.1}$$

For debugging sake, try some simple f's to begin, like (d:Double) => 1.0. Then you can go hog-wild using your own functions (e.g. (d:Double) => d\*d), or the functions in x10.Math, like sin, log, and sqrt.

The amusing questions are: what part of the sum is a given activity responsible for, and how do we combine the partial results? Combining the results is essentially the same as what we've done for MontePiCluster here. Splitting up the sum, though, requires some thought. Here's one approach:

If there are  $p_{all}$  Places in all, and there are a activities in parallel at each Place, there will be  $ap_{all}=a_{all}$  activities in all. Since there are n values to be summed, each activity should handle roughly  $n/a_{all}$  additions—"roughly" because  $a_{all}$  might not divide n evenly. One solution is to let the j-th activity (j=0,1,...,a-1) at the Place whose id is p take care of the values  $d+k\delta$ , where k runs over  $(pa+j)+ha_{all}$  for  $h=0,1,\ldots$ 

We'll come back to this sort of loop in gory detail when we dive deeper into X10 arrays.

<sup>&</sup>lt;sup>14</sup> See file MontePiCluster.x10

<sup>15</sup> See file MontePiCluster.x10

```
import x10.util.Random;
2 /**
   * A parallel version of the Monte Carlo estimate for pi that uses
   * several Places and several threads at each place.
6 public class MontePiCluster {
     /**
      * At the current Place, spawn some threads, each of which
      * generates n random points and return the total number
      * (combining all of the threads results) that fell inside
      * the circle.
      * @param pId: this process's id: used to create the seed for the
      * random number generator.
      * @param threads: how many threads to use at this Place
      * @param n: how many points for each thread to generate
      * @return the total for all the threads of the number of points
      * that landed inside the circle.
      */
     public static def countAtP(pId: Int, threads: Int, n: Long) {
         var count: Long = 0;
         finish for (j in 1..threads) {
21
            val jj = j;
            async {
                val r = new Random(jj*Place.MAX_PLACES + pId);
                val rand = () => r.nextDouble();
                val jCount = countPoints(n, rand);
                atomic count += jCount;
            }
         }
         return count;
30
     }
32
      * Generate n points at random in the unit square, and return
      * the number that fell within the unit circle.
      * @param n the number of points to generate
      * @param rand the function generating the random numbers
      * @return the number of points that landed in the circle
      */
     public static def countPoints(n:Long, rand:()=>Double) {
         var inCircle: Long = 0;
40
         for (j in 1..n) {
41
            val x = rand();
            val y = rand();
            if (x*x +y*y <= 1.0) inCircle++;
         return inCircle;
     }
```

Listing 1.3: Multi-Place, Multi-Activity Monte Carlo Approximation of  $\pi$ 

```
/**
     * There are three optional command line arguments: args(0) is the
     * number of points to generate, and args(1) is the number of
     * parallel activities to use, and args(2) is the number of
     * threads to use at each Place.
    public static def main(args: Array[String](1)) {
       val N = args.size > 0 ? Long.parse(args(0)) : 1000000L;
       val places = args.size > 1 ? Int.parse(args(1)) : Place.MAX_PLACES;
       val tPerP = args.size > 2 ? Int.parse(args(2)) : 4;
10
       val nPerT = N/(places * tPerP);
11
       val inCircle = new Array[Long](1..places);
12
       finish for(k in 1..places) {
13
          val kk = k;
          val pk = Place.place(k-1);
          async inCircle(kk) = at(pk) countAtP(kk, tPerP, nPerT);
17
       var totalInCircle: Long = 0;
       for(k in 1..places) {
          totalInCircle += inCircle(k);
21
       val pi = (4.0*totalInCircle)/(nPerT * tPerP * places);
       Console.OUT.println("Our estimate for pi is " + pi);
23
24
25 }
```

Listing 1.4: Multi-Place, Multi-Activity Monte Carlo Approximation of  $\pi$ , continued

# 2 A First Look At X10's Types

X10's type system is not quite the same as any other object-oriented language's, but it is not really all that different, either. We'll look at some of the main features here. We don't assume that you are familiar with any particular language already, but we do assume that you have had some experience with at least one object-oriented language. We'll make references to Java and C++, since they are so widely taught as a first language, but only to help compare X10 with them.

#### 2.1 What's In An . x10 Source File?

An .x10 source file comes in three parts:

- first, an optional "package" statement
- then zero or more "import" statements
- and finally, one or more type declarations, at most one of which is declared to be public.

Let's look at each of these parts in detail.

**Optional package name:** The first line in the file, other than blank lines and comments, can be a "package" statement. The syntax is

#### package packageName;

The package name, by convention, is a "."-separated series of lower-case identifiers, *e.g.* com.ibm.math. The identifiers can only consist of letters, underscores ("\_"), and numbers. It must begin with a letter.

You don't need to have a package spec, and our examples will generally not bother with them, although we give some examples later for those unfamiliar with what is at stake. When no package declaration appears, the declaration in the file is said to be "in the default package".

Packages were introduced to allow large projects to organize their classes. They provide firewalls behind which to hide classes that are needed but should not be generally visible, and they provide a systematic scheme for avoiding name clashes. Before we explain all this, we need the next ingredient in the file, import statements.

**Optional Import Statements** After the package statement, if there is one, come the import statements:

```
import fullPackageName.properName;
or
  import fullPackageName.*;
```

The full package name is, as we've said, a sequence of "." separated lower-case names. The final part, "properName", should *always* be a capitalized name: for example, "import x10.util.Random".

The import statement tells the compiler where to find whatever it is you are importing. "x10.util.Random", for example, means that in the file system where Random.x10 was created, there will be a directory named x10 with a subdirectory util that contains the source file Random.x10. Which brings up an important point: how does the compiler know where to look for these directories? What you have supplied is a *relative path* for the class's .x10 file. Someone has to provide the absolute path that serves as the relative path's starting point. The command-line option -classpath does this job:

If no class path is specified, the compiler will look in the current directory and in the X10 standard library. If it needs to look elsewhere, you need to say so by using

```
-classpath path1;path2;...
```

in your x10c or x10c++ commenad line. The value of the option uses the same system-dependent syntax that you use for the PATH environment variable. We have shown the Unix style here.

We don't want to belabor the point here: X10 is consistent with Java in its use of class paths, and you can find a thorough discussion of that in Wikipedia's article on class paths or any introduction to Java.

The second form of the import statement—the one with an asterisk, rather than a class name, as its final part—instructs the compiler to import every class in the package. "import x10.util.\*." gets you every last class in the x10.util package. When to use the catch-all and when it is better to do individual imports is a matter of taste.

You don't *have* to import x10.util.Random to use it in your code, but if you don't, you need to refer to everywhere you use it as x10.util.Random—you can't just write Random.

<sup>&</sup>lt;sup>1</sup>This should explain why, even though a package spec is a proxy for a file system path, dots and not slashes are used to punctuate it: first, the dot is file-system independent, and second, it is consistent with the notation for selecting a field within an object.

Another situation where you might have to use the fully qualified name is the rare, but not unknown, case of having two classes with the same proper name. Suppose, for instance, we needed to use both X10's class Random and another class named Random that was supplied by some other library. How do we tell the compiler which one to use for any given call? Use package names in the code at every reference:

```
val ours = new x10.util.Random();
val theirs = new com.foo.bar.Random();
```

When we said the packages help avoid name clashes, it is this sort of example that we had in mind.

One or more type declarations: After the optional package and import statements come one or more of three possible types of "type" declarations: either classes, interfaces or structs. We assume you're familiar with classes, and we'll get to the other two in sections 2.3 and 2.4. The overwhelming majority of the time, exactly one appears, but there is no hard and fast rule. One rule does apply: there can be at most one type in the file that is declared public, and the name of that type must be the same as the file's name, minus the ".x10" file type. Public classes are usable by anyone, anywhere. A type that is declared without that keyword, e.g. just "class X {...}", is accessible only for use by classes in exactly the same package. This is one of the protection features packages provide.

If you want to put several type declarations in one file, make sure that the first has the same name as the source file. Be kind, though: remember that when someone who is reading some X10 code sees a reference to a class C and wants to look at the source for that class, they really expect to find C in C.x10 in the appropriate directory, whether it is private to a package or not.

**That's it:** Yes, nothing more, other than comments, appears in an X10 source file.

## 2.2 What's In A Class?

The best way to see what's in a class is an example that says it all. Ship in Figure 2.1, on page 30, is it. We work our way through the code line-by-line. First, some terminology:

A **field** of a class holds a piece of data.

A **method** is a piece of code (a function)

The **members** of a class are the fields, methods and other types defined within it.

```
public class Ship {
     public static DEFAULT_SIZE = 500;
     public val name: String;
     private var passengers: Array[String](1);
     private var onBoard: Int = 0;
     public def this(name: String) {
        this(name, DEFAULT_SIZE);
     public def this(name:String, initialCapacity:Int) {
10
        this.name = name;
        passengers = new Array[String](1..initialCapacity);
12
     }
13
     static def resize(size:Int, na:Ship) { /*...*/ }
15
     public def addPassenger(name: String) { /*...*/ }
17
     def throwOverboard(name: String, why: String) { /*...*/ }
     protected def showPassengers() { /*...*/ }
20
     public static def main(args: Array[String](1)) { /*...*/ }
21
     static class FareClasses { /*...*/ }
23
     protected class Galley {
         private val platesPerPerson = 4;
25
         public def platesRequiredToday() {
26
           return Ship.this.onBoard * this.platesPerPerson;
27
         def this(){}
29
     private var galley : Galley = null ;
31
     public def galley() = this.galley;
32
     public def makeGalley() {
        this.galley = this.new Galley();
     }
35
36
    }
```

Figure 2.1: A class with lots of stuff.

**line 2:** public static DEFAULT\_SIZE = 500; A "static" field of a class is a constant associated with the class. Its lifetime is the same as that of the class: it is available once the class is available (*i.e.* once the class is "loaded") and stays available until the application terminates. We could have said "static val DEFAULT\_SIZE", but the "val" is implied. The initial value need not be a literal constant, as it is here—it can even be a run-time calculation, but the expression should not involve any non-static methods or data.

There are no variable static members. This may seem a bit harsh to Java and C++ programmers, but there is a good reason. X10, unlike these languages, has been intended from the start to make full use of a multi-processor system. By restricting the data associated with a class to that which is put in place at the time the class is loaded, the X10 runtime is free to copy the loaded class from Place to Place as needed, with every Place always seeing a consistent view of the class.

#### **line 3:** a public val instance member.

An instance field that is a val names a constant that must be computed either as part of its declaration or by the constructor. The whole point of an *instance* val is that it is a *per instance* constant, not a *per class* one, so the constructor would normally use information from its arguments—the values that make a particular instance what it is—to compute the field's value.

We've shown this instance member as public. As with classes, we could use nothing here, just "val name:String". The member could then only be used by classes in exactly the same package (which normally would mean *from the same directory*).

There are two other access keywords available: protected and private. A *protected* member is usable by any class in the same package, and by any class that *extends* the class in which the member is declared. See section 2.2.1, page 33. A *private member* is just that: usable only in the class it is declared. Thus, from most widely available to least:

public, protected, no spec at all, private

#### **lines 4-5:** var instance members.

Here are two instance fields that are variables. Both are private for good reason: onBoard is the number of valid entries in the passengers array, and the last thing we want to do is to allow the general public complete, direct access to either the array or its vital statistics. onBoard will be updated every time we add a passenger, or throw one overboard. passengers will be reset when we are at capacity and want to add another passenger. We have guaranteed that code responsible is part of *this* class.

**lines 7-13:** Constructors. We show a couple of constructors here. We chose to make both public, but we need not have done so: like any other method, we can restrict the availability of a constructor. We could have made one private and one protected if that is really what we needed, unusual though that would be.

Notice the way that the first constructor invokes the second via this. *It is important that this call be the first executable line in the constructor's body.* 

#### line 15: static def resize

Static methods are "class methods", in the sense that they are properties of the class, not properties of an instance of the class. Static methods have access to all of the static fields and methods of the class, but only an instance of the class may refer to instance fields and methods.

This method has the default access: no access keyword (public, protected, or private) is specified. Default access means that only things in the exact same package have access. Now we didn't specify a package for Ship, so it is in the default package. If DieselShip is another class and is also in the default package, it can invoke resize. The syntax it would have to use is Ship.resize(...). Inside Ship itself, you don't need the "Ship." part. resize(...) by itself will do. The moral: static methods really belong to the class in which they are defined.

#### lines 17-19: instance method declarations with varying access

Here we have three instance methods with varying degrees of protection. We'll allow anyone to add a passenger, because if the boat threatens to sink under the weight, we can always say no. We're a little more careful about who can throw a passenger overboard: this is pretty drastic, and different kinds of ships may have different policies. Finally, respecting its customers' privacy, Ship reserves to its package and its subclasses the right to prescribe how instances show who is on board.

#### line 21: main again

You've seen main enough times that all we feel we have to say here is that you don't need one except in a class that will begin a program's execution.

**line 23:** A static class field is a value associated with the class, like any other, except that it names a class. Within the body of Ship, you write "new FareClasses()" in order to construct an instance. From a class *not* declared within Ship, you would need to write "new Ship.FareClasses()". In this example, we opted to give the class the default accessibility: same package can use, others not. We could have chosen any of the other three.

#### line 24: A class that is an instance member.

**Fine Point:** Galley is an example of what is often called an "inner class". If you are not familiar with the notion, we'll give a brief discussion of it here. To be honest, though, it is something you are not likely to need very often, at least as an X10 programmer, so you might wish to skip over this.

An instance of an inner class, like Galley, depends on an instance of its outer class, here Ship, for its very existence. It doesn't make sense to have a galley without a boat, since a galley is defined as "a kitchen in a boat". So, on line 34,

<sup>&</sup>lt;sup>3</sup>Or an airplane or a camper, as is known to pedants, pilots, vacationers, and other such people of uncertain character

when we construct a Galley, we have to give it a Ship to build the galley in. That's why we write the constructor as this.new Galley() rather than simply new Galley().<sup>4</sup>

Every instance of Galley is associated, then, with an instance of Ship. The Galley can refer to its ship, and to the instance members of its ship. Line 27 shows how this is done. The expression "Ship. this" is X10's syntax for this Galley's Ship. The total number of plates the Galley needs is computed by multiplying the Galley's instance field platesPerPerson by the field onboard of its ship.

The tight linkage to ships (or whatever the required container is) aside, inner classes are classes like any other.

In our discussion of inheritance hierarchies (see "extra credit:" on page 35), we'll say some more about how instances of inner classes can be used. For very subtle reasons, we can't initialize galley when the Ship is being constructed. We'll explain this more in §??.

We wish we could tell you that this is all there is to a class declaration. It isn't, but it is more than enough to get you going.

#### 2.2.1 Inheritance And More

X10 supports simple (single) inheritance: all X10 classes constitute a tree, in which the root is the class x10.lang.Object, and a class Parent is the parent of a class Child when Child's declaration says that it extends Parent:

```
class Child extends Parent { ... }

For example:

public class DieselShip extends Ship {
    public val fuelTankCapacity: Double;
    public var gallonsRemaining: Double;

public def this(name:String, maxPsgrs:Int, tankSize:Double) {
    super(name, maxPsgrs);
    fuelTankCapacity = gallonsRemaining = tankSize;
    }
    public def throwOverboard(name: String, why: String) {
        super.throwOverboard(name, why);
        /* more stuff here */
    }
}
```

<sup>&</sup>lt;sup>4</sup>Honestly, **new** Galley() would work too; X10 will use **this** automatically, just like it does for method calls

<sup>&</sup>lt;sup>5</sup> See file DieselShip.x10

```
/* ... more methods can go here */
```

A DieselShip is a Ship whose method of propulsion we know. It is in that sense that DieselShip is an extension of Ship: it is a Ship and more. "DieselShip *is a direct subclass of* Ship" is another common way of saying the same thing, and we'll use both.

More generally, a class S is a *subclass* of another class C if there is a chain of classes S,  $S_1, ..., S_n$ , C each of which is a *direct* subclass of the next.

In declarations in which no extends clause appears, like those for the classes MontePi or HelloWorld, the class extends x10.lang.Object by default.

Because it extends Ship, an instance of DieselShip will have instance fields name, passengers, and onBoard, and methods resize, addPassenger, and so on. They are said to be *inherited from* Ship. The call to super in line 6 invokes the superclass Ship's constructor to make sure that the instance of that class on which the DieselShip instance is built has these fields correctly initialized.

The call to super must be the first executable statement in the constructor, just as shown here. If your code does not call super explicitly like this, the compiler will insert a call to super()—notice: the call is made with no arguments—so in this situation the compiler must be able to find a constructor for the super-class with no arguments. The good news is that if you provide no constructor at all in your class declaration, the compiler will create this default constructor (needs no arguments, does nothing) for you.

Notice that DieselShip provides its own version of the method throwOverboard, so rather than inheriting that method, it *overrides it*. The expression super(name, why) in the body of the override invokes the parent's version of throwOverboard.

Be careful! While every instance of DieselShip inherits the private members of Ship, only the methods already in Ship have access to them. Thus, DieselShip can affect the array passengers only by invoking methods like addPassenger that it inherits from Ship and has permission to call.

Subclasses are free to override any of the methods they inherit. The only limitation is that the subclass cannot make a method more narrowly available (*e.g.* protected rather than public) than it is in its parent.

If a method m expects an argument that is an instance of a class Ship, then it is okay to call m with an instance of DieselShip. Suppose, for example, we have a class CruiseLine whose instances have fleets of ships that sail the world with lots of passengers. A CruiseLine instance might want to add a Ship to its fleet, so it has a method

```
public def addShip(ship: Ship) { ... }
```

Because a DieselShip is a Ship, we can call addShip with a DieselShip as the argument.

A similar rule holds for return values. If a method is supposed to return a Ship, it can return one that just happens also to be a DieselShip.

**Fine Point:** This gets us to the point where we can give an example of how an instance of an inner class might find itself being used outside the class in which it was declared. Subclassing gives us a way to make an otherwise invisible class visible:

- Suppose there is a public class Kitchen, and suppose that in our declaration of Ship we had written "protected class Galley extends Kitchen { ... }".
- Let's add to Ship a public method getGalley() that returns the private field galley.
- If a class has a method whose signature is "isWellEquipped(k: Kitchen)", and if anArk is a Ship, then the call

```
isWellEquipped(anArk.getGalley())
```

is perfectly okay, because a Galley, wherever it came from, is a Kitchen. In effect, galley has "leaked out" to stand on its own in whatever class the method is WellEquipped is declared.

Bottom line: you need to be a Ship to construct a Galley, but not necessarily just to use one.

# 2.2.2 Concrete classes versus wishful thinking

Our declaration of Ship is an example of wishful thinking, because if you are the least bit realistic about it, a ship without any means of propulsion isn't going to get you very far. On the other hand, there are a large number of very different propulsion systems: we've got DieselShip already, and SteamArk, SailArk, and RowedArk are also good possibilities.

So, Ship, lacking, as it does, any means of getting anywhere, is, in a very clear sense, not a *concrete* class: you will never see an instance that is not actually an instance of some subclass. Folks just don't build boats that go nowhere, excepting of course for certain tourist-trap seafood restaurants and the occasional glorified liferaft.

But that is not to say that Ship can't capture a lot of concrete information—passenger lists, fare schedules, itinerary, and so on—that all ships share, no matter their means of

propulsion. That makes Ship a good example of what is called an *abstract* class: a class that one does not intend to instantiate, but which is the ultimate parent of a set of classes that one does.

To convert Ship to being an abstract class, one just adds the keyword "abstract" to its declaration. We'll call this version AbstractShip.

6

```
abstract public class AbstractShip {
public static DEFAULT_SIZE = 500;
public val name: String;
// and all the other code as from Ship.x10
```

An abstract class is permitted to declare method signatures that it itself does not implement, but that any of its subclasses that are not themselves abstract *must* implement. Here is an example. Notice that there is no function body: there is just a trailing semicolon. <sup>7</sup>

```
public abstract def costPerPassengerMile(): Double;
```

It is clearly unreasonable to talk about cost per mile without knowing how your ship is propelled, and it is equally reasonable to demand that if you want to really function as a ship, you must provide this information.

A concrete class like DieselShip will have to implement costPerPassengerMile. In DieselShip, its declaration will look like:

```
8
```

```
public def costPerPassengerMile(): Double = 0.018;
```

The keyword abstract does *not* appear, because this version of costPerPassengerMile is not abstract. The function body appears instead.

# 2.3 Interfaces: More Abstract Than Abstract

While Ship may not be as complete as a real ark should be, it can implement a lot of important functionality that all its progeny can use directly: a passenger list is a passenger list, no matter what else is different! There are situations though, where all that one wants to specify is functionality that has no common implementation, but that a variety of classes will implement, each in its own way. This sort of specification is called an *interface*.

<sup>&</sup>lt;sup>6</sup> See file AbstractShip.x10

<sup>&</sup>lt;sup>7</sup> See file AbstractShip.x10

<sup>&</sup>lt;sup>8</sup> See file DieselShip2.x10

Those of you who are coming from a background in C++ will want to work carefully through our discussion of interfaces, because they constitute the closest approximation to multiple inheritance that X10 provides.

A nice example of an interface is Arithmetic: 9 10

```
public interface Arithmetic[T] {
    def add(t: T): T;
    def subtract(t: T): T;
    def multiply(t: T): T;
    def divide(t: T): T;
    public static VERSION = "1.1";
}
```

In detail:

**line 1:** Like a class, a top-most interface is either public or accessible only in the package of which it is a part. An interface declared within the body of a class is *always* static (it is never per-instance and cannot refer to instance members or methods in the surrounding context), but it can have any access limit: public, protected, default, or private.

The "[T]" following Arithmetic says that the interface is parameterized by a type T. We've seen this before, in the argument args for main(), whose type is Array[String]. The lingo of the trade is that Arithmetic is a *generic interface*, and Array is a *generic class*. There is nothing sacred about a generic class or interface being parameterized by one type: one can use as many as needed: "class X[T1, T2, T3,...] {...}".

**lines 2-6:** An interface may only declare abstract methods, so (unlike the story with abstract classes), you don't need the keyword abstract. What line 2 says is that

```
if a class A implements the interface Arithmetic[B], if a is an A, and b is a B, then the expression a.add(b) produces a result that is a B.
```

In real life, we might have two classes Monomial and Polynomial to implement polynomials with Double coefficients. It would be natural, then, for Monomial to implement Arithmetic[Polynomial]: when you add a monomial to a polynomial, and the result is a polynomial.

When you add two or multiply Polynomials, you get another Polynomial. So, we want Polynomial to implement Arithmetic[Polynomial]. This isn't a weird recursive thing, though it's got the imposing-sounding name of "F-bounded quantification" (which most programmers should remember purely for the sake of

<sup>&</sup>lt;sup>9</sup>For extra slickness, we could have programmed it so that the operations use the usual symbols: a\*b instead of a.multiply(b). See §?? for how to do this.

<sup>&</sup>lt;sup>10</sup> See file Arithmetic.x10

sounding erudite at job interviews). It just says that polynomials can perform arithmetic with polynomials and the results will be polynomials – which is just what you'd expect.

X10 has a few standard interfaces that are often used in this F-bounded way. For example, there's Comparable[T]. A class implementing Comparable[T] can be compared to a value of type T. Most of the time, you want to compare things of the *same* type. So, classes tend to implement Comparable[themselves].

Another important point is that the methods declared by an interface are always public. So even though the keyword public does not appear here, it is implied. We'll say more about this when we discuss how you use interfaces.

**line 6:** Interfaces may also declare static constants. That's the only kind of constant they can declare – val means **public static** val in an interface, just the way that def means **public** def.

In fact, some interfaces do nothing but declare constants: 11

```
public interface MathConst {
    val PI = 3.1415926535897932384626433;
    val E = 2.7182818284590452353602874;
    val GAMMA = 0.57721566490153286060651;
    val SOFA = 2.219531668871;
    val DOUBLE_DIGITS = 15.95;
    val DOUBLE_E_MAX = 307.95;
    }
}
```

Some of these, like PI and E, are useful mathematical constants that will be the same for all X10 programs. Others, like <code>DOUBLE\_DIGITS</code> and <code>DOUBLE\_E\_MAX</code>, might change from computer to computer: these are the right values for IEEE double-precision numbers, but they would be different on a machine that used quadruple precision for the <code>Double</code> type.

Another common use is to give names to the legal values for the arguments of one or more of the methods. Here are some names for some standard colors: <sup>12</sup>

```
interface Color {
val RED = 0xFF0000;
val BLUE = 0x0000FF;
val PEACOCK = 0x33A1C9;
}
```

We can refer to these as Color.RED and so on:

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<sup>11</sup> See file MathConst.x10

<sup>&</sup>lt;sup>12</sup> See file Color.x10

<sup>&</sup>lt;sup>13</sup> See file Discoloration.x10

```
class Discoloration {
   static def example() {
      Console.OUT.println("RED = " + Color.RED);
   }
}
```

If we're inside of a class that implements Color, we can leave off the Color. and refer to Color.RED as just plain RED:

14

```
public class Colorizer implements Color {
    static def example() {
        Console.OUT.println("Red = " + RED);
    }
}
```

A class implementing Color has to use Color's value for RED. Would you want someone to implement the interface with its own value for RED? That would ruin code reuse.

Finally, one interface can extend another. They can do so better than classes can, even: one interface can extend several other interfaces, but a class can only extend a single other class.

15

```
interface Colored {
   def color(): Int;
}
interface Shape{}
interface Shaped {
   def shape():Shape;
}
interface ColorForm extends Colored, Shaped {}
```

One could say "ColorForm is a sub-interface of Colored and Shaped", though some people think that "ColorForm *refines* Colored and Shaped" sounds better.

<sup>&</sup>lt;sup>14</sup> See file Colorizer.x10

<sup>&</sup>lt;sup>15</sup> See file ExtendingInterfaces.x10

# 2.3.1 How interfaces get used

The syntax

```
class Frac implements Arithmetic[Frac] { ... }
```

asserts that the class Frac implements all of the methods declared in the Arithmetic[Frac] interface—with exactly the argument types and return types shown there. In plain English, this is saying that you can add, subtract, multiple and divide one Frac by another and get an Frac result.

While Frac is one candidate for the class that appears as the T in the Arithmetic[T], we'd probably also like to add Fracs to other kinds of numbers — at least Doubles, and if we were doing a full-strength version we'd have Longs, Ints, and perhaps other types as well.

At first blush, this is a daunting declaration: think how many methods must be implemented, especially for the library version! But the truth is that none of these methods is all that involved, so it is just a matter of some (necessary!) drudgery. Here the end more than justifies the means.

The implementation is straightforward. The constructor for Fracs always puts fractions in lowest terms, so that new Frac(10,20) is the same as new Frac(1,2):

```
public def this(var n:Int, var d:Int) {
   if (d < 0) { n = -n; d = -d; }
   if (d == 0) throw new Exception("Division by zero!");
   var gcd : Int = gcd(n,d);
   this.n = n / gcd;</pre>
```

17

<sup>16</sup> See file Frac.x10

<sup>&</sup>lt;sup>17</sup> See file Frac.x10

```
6 this.d = d / gcd;
7 }
```

Fraction arithmetic uses the familiar rules. Since the constructor reduces everything to lowest terms, we don't need to do any extra work to do so:

18

```
public def add(f:Frac) = new Frac(n * f.d + f.n * d, d * f.d);
public def negate() = new Frac(-n, d);
public def subtract(f:Frac) = this.add(f.negate());
public def multiply(f:Frac) = new Frac(n * f.n, d * f.d);
public def divide(f:Frac) = new Frac(n * f.d, d * f.n);
```

The Frac-to-Double versions are easily implemented using a method to convert a fraction to a Double:

19

```
public def asDouble():Double = (n as Double) /(d as Double);
public def add(dbl: Double) = this.asDouble() + dbl;
public def subtract(dbl: Double) = this.asDouble() - dbl;
public def multiply(dbl: Double) = this.asDouble() * dbl;
public def divide( dbl: Double) = this.asDouble() / dbl;
```

Notice that in this class, the method add is explicitly declared to be public. While public is all there is in an interface declaration, it is *not* all there is in a class declaration, so you must be specific here. The compiler will complain if you do not.

Now suppose that somewhere there is a class that has a method "doIt(x: Arithmetic[Frac])". Since Frac implements Arithmetic[Frac], we can use a Frac as the argument to doIt, *e.g.*:

20

```
doIt(new Frac(1,3));
```

We end this section with some "side bars" that somehow didn't quite fit in the flow of the rest of this section, but are worth a moment's thought.

<sup>&</sup>lt;sup>18</sup> See file Frac.x10

<sup>&</sup>lt;sup>19</sup> See file Frac.x10

<sup>&</sup>lt;sup>20</sup> See file Frac.x10

# Anything you can do...

The 1946 Broadway musical *Annie Get Your Gun* has a wonderful song that begins "Anything you can do, I can do better. I can do anything better than you." X10 has an operator that says the same thing much more briefly: if T and U are two types, then the expression T<: U is a boolean that, if true, means that a value of type T may be used anywhere a value of type U may be, or in other words, that T is either U itself or a *subtype* of U.

(B: This isn't a good explanation of <:, and I think that the explanation goes elsewhere and should be used a lot more. :B) One use of the "operator" <: is in val declarations. Saying "val t <: T" declares t's type to be a subtype of T. That way, if t is initialized in one of several arms of an if statement, differing types may appear in the various assignments, so long as all are subtypes of T. Also users of t should only count on getting a T.

For example, if we have an interface like Caloried, which tells how many calories something has: <sup>21</sup>

```
interface Caloried {
   def calories():Int;
}
```

We can write a class which manages a list of ingredients, and can count their calories. Note that this wouldn't even compile without the constraint on T. It is only that constraint that gives us permission to call the calories() method in line 7. <sup>22</sup>

A class, like Flour, can implement Caloried in the ordinary way: 23

```
class Flour implements Caloried {
  private val name: String, cal:Int;
  public def this(name:String, cal:Int)
```

<sup>&</sup>lt;sup>21</sup> See file NeedsNutrients.x10

<sup>&</sup>lt;sup>22</sup> See file NeedsNutrients.x10

<sup>&</sup>lt;sup>23</sup> See file NeedsNutrients.x10

```
{ this.name = name; this.cal = cal; }
public def calories() = this.cal;
}
```

Then, we can use Flour in a Recipe:

24

```
static def example(): void = {
val flours <: Recipe[Flour] = new Recipe[Flour]();
flours.add(new Flour("1 cup bread flour", 495));
flours.add(new Flour("1 cup whole-wheat", 520));
flours.add(new Flour("1 cup rye", 360));
assert flours.totalCals() == 1375;
}</pre>
```

(This is different from writing a class which simply manages a list of Caloried values. The difference doesn't matter much in this example, but it would matter if the constraint were T <: Arithmetic[T] - in which case we couldn't even talk about a List[Arithmetic], since X10 insists on knowing what type of thing the arithmetic is being done on.)

#### Why interface methods are public:

Suppose a class A implements an interface I, and suppose that B is a subclass of A. Because an instance of B might appear anywhere an instance of A is expected, we see that B *must also implement* I. This is one reason why the methods in an interface must be declared public.

There is another reason that is more pragmatic than principled. The point of an interface is to tell the outside world what some object is capable of doing. A private method, on the other hand, is just a *hidden* means to accomplish a class's goals. Why should anyone care whether a class implements a method that can only be called from within that class?

An interface itself may declared with any access limit that makes sense in the context: if the interface is being declared inside a class (and it definitely can be), then protected, default and even private access make sense. For example, a private interface might describe the type of an argument of one or more of the class's private methods, and there might be several static or inner classes declared inside the class that implement that interface: not a common situation, but not unreasonable.

<sup>&</sup>lt;sup>24</sup> See file NeedsNutrients.x10

#### A matter of convention:

There is a sort of convention that interface names should begin with "I", Suppose for example, that we had used INumber, instead of Arithmetic, for our interface name. We could then have declared a class named Number that implements it. A matter of taste of course, but not an unreasonable convention, particularly in situations where there is a "standard" or "canonical" implementation of the interface. A variation on this theme is to append "Impl" to the name of an interface to create the name of a concrete class that implements it: *e.g.* interface XmlParser is implemented by XmlParserImpl. You will see both conventions widely used.

#### For C++ programmers:

We said that interfaces provide a sort of "multiple inheritance." What we meant is that a class may declare that it implements any number of interfaces. That is certainly reasonable: all you are saying is that the class provides several named sets of methods. It is, of course, a weaker notion than multiple inheritance, because, when a class implements an interface, no instance members or methods are actually inherited: all of the code is in the class, or is in one of its ancestors up the inheritance chain.

# 2.4 Giving Up Inheritance To Get Efficiency

#### 2.4.1 The Problem

Supporting inheritance does not come without costs when a program runs. Consider the class DblDbl, a model of innocence:

25

```
public class DblDbl {
   public val x: Double;
   public val y: Double;

public def this(a: Double, b: Double) {
    this.x = a; this.y = b;
   }

public def normSq() = x*x + y*y;
}
```

Let "public def doIt(p:DblDbl) {...}" be a method declared in some other class, and suppose that in the body of doIt we find the call p.normSq(). What does this call really cost? The problem is that the value passed to doIt for the argument p might really be a reference to an instance of some proper subclass of DblDbl. We

<sup>&</sup>lt;sup>25</sup> See file DblDbl\_Class.x10

only know the precise class of p once we start executing the body of doIt. Because p's class can have its own implementation of normSq, making sure we are calling the correct normSq means looking up its address in p's class's descriptor *each time the call is executed*.

The moral is that an instance obj of a class that may have subclasses must carry with it a reference to the actual class of the instance obj. Applying this to instances of DblDbl, we see that in addition to the 16 bytes required to store the two instance fields, we have to keep at least 4 bytes for the reference that allows us to find its class. If there are only a couple of hundred DblDbl instances around, and if we only call normSq a couple of thousand times in any given run of the code, who cares? But what if we had an array a million DblDbls and were traversing it repeatedly calling methods that some subclass might override?

Think about it. The million DblDbls have 16 megabytes of data that we're really interested in, but are costing us at least another 4 megabytes or more for type information. A million calls to normSq, moreover, require a million method lookups that we'd really love to avoid. Now, if there really are subclasses of DblDbl, and they really need their own implementation of normSq, then fine: we'll gladly pay the cost in time and space, because we are getting something in return. But if not?

These considerations are one reason why a performance-oriented language avoids using the normal class hierarchy for primitive types like 32-bit integers: no language that expects high performance can allow a 4-byte integer to consume 8 bytes or more and to be accessed indirectly.

There are two different approaches one can take, as a language designer, to solving this problem. One is to keep the primitive value types to a handful close to the physical reality of the CPU and treat them specially in the language itself. This is what Java chose to do. The other approach is to keep the implementations of the primitive types as close to that of classes as possible, which is what X10's designers did.

The question X10 had to face is: how much of what classes give us can we keep without incurring any substantial overhead? There are two sets of issues here: serial issues like "don't waste space", and "don't waste time looking stuff up", and distribution issues like "don't create the possibility of inconsistencies between what one processor thinks the value of the "thing" is and what another thinks, so that communication between the processors is required to maintain storage integrity".

#### 2.4.2 The Solution

To begin with, we have already seen that we need instances whose types are exactly what they are declared to be: no inheritance. To achieve the storage integrity, all of the instance fields must be vals, so that an instance once constructed is immutable and hence can be copied freely whereever its value is useful. X10 calls these things "structs", to distinguish them from classes. <sup>26</sup> X10 made one other critical decision:

<sup>26</sup> They're not C++-style structs. They're X10-style structs, which are pretty different from C++-style structs.

when an instance of a struct appears as a field or is assigned to an identifier, it is the data in the instance fields that is stored, and not a reference to that data. For primitives like Int, this is a critical efficiency consideration: what's stored for an Int its value, not to the address of its value, so you don't have "follow a pointer" to get to the value.

Struct declarations are essentially the same as class declarations. For example, we can change the class DblDbl to be a struct just by replacing the keyword "class" with "struct" in its declaration:<sup>27</sup>

28

```
public struct DblDbl {
   public val x: Double;
   public val y: Double;
   public def this(a: Double, b: Double) {
     this.x = a; this.y = b;
   }
   public def normSq() = x*x + y*y;
   }
}
```

#### A few comments:

**line 1:** The accessibility rules for a struct are the same as for a class. We chose "public" for the example, but default, protected and private access may be assigned as appropriate.

Structs may be generic—that is, they may, like Array, have type parameters—although this one does not.

**lines 2 and 3:** As we noted earlier, the instance members of a struct are always vals. For that reason, you don't need to say "val" as we did here. It is okay if you do. If you are not sure whether in the long run you are going to want this type to be a struct or a class, throwing in the val makes it easier to go back and forth.

We've only shown instance members in this example, but structs can have static member fields as well. Indeed, one can also define classes, interfaces, and structs in the body of a struct, just as one can in a class.

**line 4:** Unlike their C namesakes, X10 structs can declare methods, both instance methods and static methods. We've shown a public method here, but both private and default access can be used. Structs *never* have subclasses, so "protected," which just broadens the default access by allowing access to subclasses, is useless for structs.

<sup>&</sup>lt;sup>27</sup>You can't always turn a class to a struct this easily – or at all. For one difference, structs don't support inheritance, so, if your class uses inheritance, you've got some recoding to do.

<sup>&</sup>lt;sup>28</sup> See file DblDbl\_Struct.x10

The keyword new is *not* required when creating a new instance of a struct—in contrast to the case for a class. Here is an example that assigns a DblDbl value: <sup>29</sup>

```
var p: DblDbl = DblDbl(1.2, 3.4);
```

The "new" is gone from the right-hand side. If you put the "new" in, though, the compiler will happily accept it.

# 2.4.3 Equality, Classes, and Structs

One important difference between struct and class implementation is the treatment of "==". If s1 and s2 are two instances of the same struct, then "s1 == s2" is true exactly when when their instance fields have identical values. If, for example, s1 and s2 are DblDbls, then s1==s2 is equivalent to (s1.x==s2.x) & (s1.y==s2.y). Remember that for instances of a class, "==" tests for references to same instance, not equality of values. But: there are *no* references to instances of a struct, just the instance data itself. Since the data is all there is, that's all you operate on—with "==" or anything else.

Here's an example: Class and Struct are identical except that Class is a class and Struct is a struct. Two Classes with the same data are not == to each other, but two Structs with the same data are ==.  $^{30}$ 

```
public class ClassVsStruct {
    static class Class(a:Int) {}
    static struct Struct(a:Int) {}

public static def main(argv:Array[String](1)) {
    val c <: Class = new Class(1);

val d <: Class = new Class(1);

val s <: Struct = Struct(1);

val t <: Struct = Struct(1);

assert c != d;
assert s == t;
}
</pre>
```

## 2.4.4 Fine Points

We close this section with some fine points about structs that are interesting and certainly part of the expert's toolkit, but are not critical for a first reading.

<sup>&</sup>lt;sup>29</sup> See file DblDbl\_Struct.x10

<sup>30</sup> See file ClassVsStruct.x10

Fine Point: what all structs and classes share. Both structs and classes can implement an interface, and there is one interface, called x10.lang. Any that is the minimal interface that all structs and classes must implement. As you would guess, it is not particularly rich:

```
public interface Any {
   def toString():String;
   def typeName():String;
   def equals(that:Any):Boolean;
   def hashCode():Int;
}
```

The first three are the ones that most programmers care about. The purpose of the fourth method, the hash code, is to give a compact way of identifying an object.

The method toString returns a representation of an instance as a String. Because toString is always available, conversion to String is possible in any context where a String is known by the compiler to be required.

The method typeName returns the precise name of the type as it is understood by the X10 compiler. It is most useful as a learning aid and debugging tool. If ever you are in doubt about what the compiler thinks the type of some object "e" is, the call

```
Console.OUT.println(e.typeName());
will tell you. Try a few, for instance: 3.typeName().
```

The method equals tests whether its invoker and its argument "have the same value." It should be thought of as a user-overridable version of the comparison operator "==". Therefore, a.equals(b) is the same as a==b by default. Remember, though, that, as we just remarked above, "==" has a different meaning for class instances than for struct instances.

Many classes override equals. A familiar example is the String class: if a and b are Strings, then a.equals(b) is true when the contents of their underlying byte arrays are the same.

hashCode is related to equals. If two values are equals, then their hashCodes ought to be equal, too. Some standard data structures rely on this fact, especially HashMaps — the hash codes that the HashMaps use are hashCode values.

If you aren't careful about this, you can write a program that looks like it puts a value in a HashMap, but it can't be found once it's there. (B: Give this example, and describe the right way to do it:B)

**Fine Point: structs really are values.** The rules for what can be part of a struct are designed so that the compiler can determine the size of an instance from the declaration. The reason is storage efficiency: once the size is known, no more space need be allocated than is needed to store the value in memory, and at runtime, we don't have to carry around size information for every instance.

One consequence of the storage rules is that you cannot define the member fields of a struct in terms of the same struct or collections of that struct. If, for instance we try to declare a struct DblDblListItem

```
public struct DblDblListItem {
   public p: DblDbl; // the point
   public next: DblDblListItem; // !!! no: illegal
}
```

so that we can form lists of DblDbls, the compiler will complain. To understand why, ask yourself, "How big is a DblDblListItem?" Suppose that it were n bytes.

A DblDbl itself is (say) 16 bytes. So adding the sizes of p and next, we get a total of n+16 bytes. But that has to be the same as the size of the whole DblDblListItem in which these are the instance fields. That whole value is only n bytes, because it's a DblDblListItem and that's how big a DblDblListItem is. So, n+16=n. Something is wrong with this.

The point is that we really wanted next to be a reference to the next item: an address, and not the item itself—and if DblDblListItem were a class rather than a struct, that is exactly what next would be: a reference. C++ programmers would use a pointer in this sort of situation, a physical address: DblDblListItem \*next. Its size, like the size of any object reference, is some small number of bytes that is independent of what its target is. But in X10, there is no such thing as reference to a struct, nor can you take its "address." A struct is a value. Period!

The short answer, then, to "How *does* one design an X10 struct that is a linked list?" is that *one doesn't*. Linked lists need pointers, and structs don't have pointers. Linked lists are done using objects in X10, not structs.

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# 2.4.5 Performance of Structs

Since X10's primitive types, Int and Double and so forth, are largely defined by standard mechanisms of the language, you can define your own types that are nearly as efficient ... sometimes. At the very least, you can avoid the biggest inefficiencies of objects by using structs. But structs have a few slow spots of their own, and if you're not careful, you can lose everything you gained.

Consider the assignment:

```
var x: T;
var y: T;
```

<sup>&</sup>lt;sup>31</sup> We wrote out some code for you to show that if you are really desperate, you *can* do it, but be warned, this is very, very bad X10. Do not use this as a model for *anything* The use of the Any interface there is particularly ill-advised. See list/DblDblList.x10.

```
x = y;
```

When T is a class, the assignment copies a reference that is y's current value, to x. This takes a fixed amount of time, independent of how big the class T is.

When T is a struct, however, what gets copied is the entire current value of y, not a pointer to the value. To the extent that the size of the value is greater than the size of a reference, copying the value is more expensive than the copying the reference. Our DblDbl is a good example: its size is 16 bytes, and a reference could use as few as 4 bytes.

The same thing comes up when passing parameters:

```
public def doIt(t: T) { ... }
var x: T;
doIt(x);
```

When T is a class, the value passed to doIt is the reference. If T is a struct, the whole value of x has to be copied.

To summarize: the benefits of structs are:

- no cost for memory allocation,
- no cost for garbage collection,
- faster method invocation, and
- better storage utilization for large aggregates.

and the costs are:

- assignments and argument passing may be more expensive, and
- you lose the benefits of the class hierarchy for organizing common functionality.

The situation is further complicated by the tricks code optimization can use to minimize the assignment costs, so even knowing that there might be a problem, it may be difficult to tease out the cost, if any, short of running the code to see. In addition, when you are working with classes, you can avoid some of the cost of method invocation by declaring a class to be "final"—e.g. "public final class DblDblListItem". Final classes cannot be subclassed, which implies that their methods cannot be overridden, and this, in turn, allows the compiler to reduce the cost of method invocation.

# 3 A Potpourri of Types

We have already mentioned a few of the basic types that X10 provides in its standard library. We will look at some more of them here. Our discussion will be far from complete, but it will at least get you started and aware of where to look as you need more. A complete API for the library can be found in http://dist.codehaus.org/x10/xdoc/.

The library is very much a work in progress. For that reason, some of this chapter may become out-of-date reasonably quickly, mostly, if not entirely, because X10's resources will rapidly become richer. We will try to keep this guide in step as best we can.

# 3.1 The Numbers Game

# 3.1.1 The Players

X10 provides the usual sorts of basic numeric types:

Byte, Short, Int, Long: 8-bit, 16-bit, 32-bit, and 64-bit signed integers.

**UByte, UShort, UInt, ULong:** 8-bit, 16-bit, 32-bit, and 64-bit *unsigned* integers.

Float, Double: single and double precision IEEE floating point.

It also supplies a double-precision Complex type that Fortran and C++ programmers have come to expect. All of these types are in the package x10.lang.

In terms of what these types mean and how they get used, X10 is consistent with what other languages do, so we won't go into a lot of detail here. In particular, implicit conversions will be performed as needed. For the signed numeric types, the conversions are between a type and any type to its right:

```
Byte < Short < Int < Long < Float < Double</pre>
```

And similarly, for unsigned types:

```
UByte < UShort < UInt < ULong < Float < Double
```

Let us be a little more clear about our vocabulary here:

Coercions: Suppose we declare a variable "i" to be an Int: var i: Int; The expression "i as Long" is X10's syntax for saying "convert i to be a Long." In one sense, this conversion involves no substantive change to i's value as an integer. On the other hand, when we realize i as Long in memory, or in CPU register, the full 8 byte representation of a long integer will be used. This sort of conversion—in form, but not in substance—is usually called a *coercion*.

Casts: Another sort of conversion is that from Int to Byte. The value of the expression "i as Byte" is the result of masking out the leading 24 bits of i and regarding what is left as a Byte, meaning an integer in the range from -128 to 127. If, for example, i is 4095, which in hex is 0xfff, then masking out the leading bits leaves us with 0xff, so 4095 as Byte == -1 as Byte.

A conversion like that from Int to Byte, or more dramatically, from Long to Double that may involve more than a change to the physical representation of the value is called a *cast*.

The example of "4095 as Byte == -1 as Byte" shows that casting can be dangerous. Here, at least, there is nothing subtle going on, so if that wasn't the programmer's real intention, there is some chance that the problem can be spotted. One may not always be so lucky. For example, casting Int to Float can lose precision for the same reason that Int to Byte can, because Float only has 23 bits (plus a sign bit) to hold the value. It uses the remaining 8 bits in its 32 bit word for the exponent. So casting 23456789 as Float yields 2.3456788E7. Note carefully the last digit. If that last digit had some significance, you are in trouble—and the problem is going to be *very* hard to see.

Thus, some of the implicit conversions above, like Int to Long are really coercions, while others, like Long to Float, are really casts. Where a cast is what is at stake, X10 usually forces you to be explicit: "Int i = someValue(); Byte b = i as Byte;". The explicit cast "as Byte" says you are prepared for the consequences of the conversion.

# 3.1.2 Signed versus unsigned

This section is largely an aside for Java programmers, because the distinction between "signed" and "unsigned" integers does not exist in Java and so may not be familiar. What is at stake?

From the dawn of computer history, CPUs have supported two kinds of arithmetic instructions: one set in which one of the bits that make up the integer's value is used to hold its sign, and a second set in which all integer values are treated as non-negative. Having the extra bit as part of the value doubles the size of the largest positive integer

you can express–something that was pretty important when the normal machine "word" was 16 bits wide. Today, with 64 bit words becoming the norm, the extra bit is not all that big a deal:  $2^{63} - 1$  is plenty big—how often will you really need  $2^{64} - 1$ ?

When the Java standard was first developed, the designers felt that, in their experience, exposing both types, as languages like C had done, had been a source of more errors than the additional power warranted. Thus, unsigned values never made it into Java. Well, they made it back into X10. There *are* some arguments for having it, aside from doubling the largest value, not the least:

When you declare something to be "unsigned", you are telling the reader of your code something: negative values are neither expected nor welcome, as, for instance, if you are tracking the number of elements in a list. It is nice to have code that really says what you really mean.

Another argument is that if you want to use a numeric type to represent one of the 8-bit ISO character types—e.g. ISO-8859-1, which handles European languages nearly completely—then UByte is a better match than Byte. Certainly, everyone *thinks* of the mapping from numbers to characters as being a map from the integers  $0, \ldots, 255$  to characters. Also with UByte, you can test for control characters with one inequality: they are precisely those whose value as a UByte is less than 0x20. Similar considerations apply to multi-byte encodings of characters.

# 3.2 String Theory

X10 support for character-based applications is limited at the moment, but with enough implemented to provide a base for applications that are not fundamentally about manipulating character data. Internationalization, in particular, has only very limited support, and regular expressions have not yet been implemented. What has been implemented will, with a few exceptions, not surprise anyone coming from C++ or Java. The same can be said for file input and output: the implementation is limited, get richer, and not surprising.

The basic types for character work are x10.lang.Char, x10.lang.String, and x10.util.StringBuilder. Since StringBuilder is in the package x10.util, it must be imported explicitly; the other two need not be.

#### **3.2.1** Char

An instance is a 16-bit Unicode character. <sup>1</sup> Like Byte and Int, Char is a struct, but it is *not* a numeric type: there is *no* implicit conversion to any numeric type. The only explicit conversion to a numeric type is the method ord that returns an Int:

<sup>&</sup>lt;sup>1</sup>Strictly speaking, this is true, but in practice, support for multi-byte character sets in both Char and String is very limited. For the moment, you would be best advised to stay with 7-bit ASCII or 8-bit ISO character sets.

```
'a'.ord() == 0x61
```

will evaluate to true. You can cast integer values to characters

```
i: Int = ...;
c: Char = i as Char;
```

does what you expect: produces the Char whose "ord" is i.

Programmers used to avoiding calls to isdigit by writing '0' <=c && c <= '9' will find that the compiler complains that you cannot compare integers and characters. (This will probably be fixed in an upcoming release of X10). For now, when you are writing X10, call isDigit, isLowerCase, isSpaceChar, and so on. All of these utilities are identical in function with their counterparts that have been around since the birth of C.

A 16-bit Unicode character can be written as an escape sequence \unnnn, in which each of the n's is a hex digit. As usual, the following escape sequences may be used:

```
\b == \u0008: backspace BS
\t == \u0009: horizontal tab HT
\n == \u000a: linefeed LF
\f == \u000c: form feed FF
\r == \u000d: carriage return CR
\" == \u0022: double quote "
\' == \u0027: single quote '
\== \u005c: backslash
```

## **3.2.2** String

A String is an *immutable* array of Chars. Immutability means that, for example, once you declare

```
s: String = "hi";
```

you can, if you wish, access the first character in s as s(0), but you *cannot* reassign via "s(0) = 0;".

String literals are delimited by "double quotes," as in "begin, end". The same escapes apply within String literals as for Char literals.

Any object, be it from a class or struct, is converted to a String by calling its method toString(), and there is always a default implemention that is provided and does something sensible. You are free, of course, to provide your own implementation in the classes and structs you create. For example:

2

<sup>&</sup>lt;sup>2</sup> See file Cast.x10

```
public class Cast {
    public static def main(args: Array[String](1)): Void {
        Console.OUT.println("I am a "+ new Cast());
}
public def toString() { return "Cast of Thousands"; }
}
```

In line 3, the effect of the "+" operation is to concatenate the two Strings. When the code is run, the console output is:

```
%x10 Cast
I am a Cast of Thousands
```

There are some basic methods already implemented for Strings, and over time more will be added. There are four methods for extracting substrings:

```
substring(from:Int): The argument from is the index of the first char-
acter to keep. For example, , if we have val s = "misguided",
then s.substring(3) will be "guided".
```

- substring(from:Int, to:Int): The first argument is again the starting index, and the second, to, is the index 1 greater than where you
  wish to stop. Thus if val s = "misguided" as before, then s.substring(3,
   length(s)-1) will be guide"—and not (as one might think) "guided".
- trim() Remove leading and trailing white-space: " abc ".trim() is "abc"). In fact, it trims all ASCII control characters as well. <sup>3</sup>
- split(s: String) If you need to break up a comma-separated list, or something like that, use the split() method:

```
val s = "a,b, c, d";
val parts = s.split(",");
```

yields an array of four substrings: "a", "b", "c" and "d". The argument for split in the C++ runtime is currently a String, but in the Java runtime, it is a regular expression. Eventually, both will accept a regular expression, which will make it easier to clean up the white-space trailing the commas at the same time you get rid of the commas.

<sup>&</sup>lt;sup>3</sup> To be absolutely precise:

If an empty string, or one whose the first and last characters are represented by characters whose codes greater than 0x20, which is the ASCII code for the space character, then to the same string is returned.

If the string has no character with code greater than 0x20, then an empty string is returned. Otherwise, if s is the string, if start is the index of the first character in s whose code is greater than 0x20, and if end is the index of the last character in s whose code is greater than 0x20, then the returned string is s.substring(start, end+1).

There are also four approaches to comparing Strings. Let s and t be two Strings:

**Equality as objects:** s == t evaluates to true if s and t name the same String object. String is a class, not a struct, *so what* s *names is a reference to a* String, not a literal string value.

s != t is true when s and t do *not* name the same String object.

**Equality as values:** The expression s.equals(t) evaluates to true if the current values of s and t are the same—in other words, if the two underlying byte arrays are byte-for-byte the same. If you don't care about case, use s.equalsIgnoreCase(t) instead.

**Infix comparison operators:** The operators <, <=, >=, and > compare two String values using lexicographic (dictionary) order. For example, "good"<"goof" will evaluate to true, since d comes before f.

**Comparison methods:** The method compareTo(s:String):Int returns a signed integer that is *negative* if s < t, 0 if s.equals(t), and *positive* if s > t. The method compareToIgnoreCase(s:String):Int can be used for comparisons that are *not* case-sensitive. The notion of "order" is the same as for the infix operators.

Searching Strings can be done with the methods

- s.indexOf(what,where, s.lastIndexOf(what, where): returns the
   indices of the first and last occurrence of the String or Char "what"
   in s, if it is present, -1 otherwise. The second argument, where, is
   optional. It is an integer and specifies the index of position in s at
   which to begin the search.
- s.startsWith(what), s.endsWith(what): returns true or false, true
  meaning that the String "what" appears at the beginning or end of
  s.

For those with fond memories of C's printf or Java's format, X10 gives you

```
format(fmt:String, args:Array[Any]):String
```

whose first argument is a format string like those of its C and Java ancestors, and whose second argument is the array of whatever is to be formatted.<sup>4</sup> For example, executing

```
s = String.format("%s %d %s %10.2f", ["int",32,"dbl",3.2]);
```

leaves s with the value "int 32 dbl 3.20".

Support for the formatting options is limited at the moment, but, as in our example, most simple cases work as they have done since printf was introduced some forty years ago.

<sup>&</sup>lt;sup>4</sup>The type Any, as we discussed in section 2.4.4, page 48, is the interface all values have in common.

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```
public static def main(args: Array[String](1)) {
    val inputPath = args(0);
    val outputPath = args(1);

    val I = new File(inputPath);

    val 0 = new File(outputPath);

    val P = 0.printer();

    for (line in I.lines()) {
        P.print(line);
    }

    P.flush();
}
```

Listing 3.1: A simple line-reading file copier

# 3.2.3 StringBuilder

One often has to build up a string whose length is not known in advance and which isn't short enough that it makes sense to just build it up as a concatenation a+b+c+... That is the job of x10.util.StringBuilder. We remind you that you have to import this class, like all others in the x10.util package. For practical purposes, a StringBuilder has two methods: add(a: Any) and result(). The method add uses its argument's toString method to compute the String to append, and result simply returns the String you have been accumulating. Here's an example of how one uses a StringBuilder: <sup>5</sup>

```
val sb = new StringBuilder();
sb.add(2);
sb.add(" and ");
sb.add(3.0);
sb.add(" is "+5.0);
val s = sb.result();
assert s.equals("2 and 3.0 is 5.0");
```

# 3.3 Files

Let's start simply, with the program in figure 3.1.  $^6$ 

The fun begins with line 4.

**lines 4 and 5:** The basic drill for reading and writing files is to get hold of a File object, and use it to get a stream either inbound or outbound. Executing "I = new

<sup>&</sup>lt;sup>5</sup> See file StringBuilding.x10

<sup>&</sup>lt;sup>6</sup> See file FileIO.x10

File(inputPath)" converts a file-system path into an object that represents the corresponding file, which may or may not yet exist. This is more in the spirit of Java than C++: this step is bypassed in a lot of C++ applications and only the streams are visible.

The reason for having a separate File class is to be able to perform file-level operations. For example, the call I.exists() returns true when the file constructed in line 7 actually exists, and I.isDirectory() returns true when that file not only exists, but is a directory. Like the String class, File is a work in progress. You will eventually also be able to do operations like making a directory and renaming a file.

Most of the time, you will wind up using an instance of File as an argument to a constructor that builds an input or output stream with some desirable properties like buffering, or handling line enders appropriately in text files.

**line 6:** The call **0.printer()** returns an output stream that is an instance of the class Printer. This is the class to which the familiar Console streams OUT and ERR belong, and it is an example of a stream that helps you write line ends in an operating system-independent way. You have already seen the println method in action already. The print method, which we use here, is the same as println, but does not append the line ender. <sup>7</sup>

When the Printer P is created, the file to which P refers is opened for writing and is created if need be. If the file exists already, its contents are discarded.

line 7 to 9: There's no mystery, we hope, about what the loop does: iterate over the lines in I, copying each to 0 via the stream P. While it is clear that I.lines() represents the sequence of lines in I, it is probably not obvious how it does. The answer is that it implements the interface x10.lang.Iterator. An Iterator is always associated with some underlying collection—in our example, the sequence the lines in I. If the collection contains elements of type T, the type is Iterator[T], and it has two methods:

next(): T returns the next element, as yet unseen, from the collection.
hasNext(): Boolean returns true if a call to next() at this point will succeed.

Written in terms of Iterator's methods, our for loop looks like

```
for(lines = I.lines(); lines.hasNext(); ) {
  P.print(lines.next());
}
```

<sup>&</sup>lt;sup>7</sup> Printer also has a printf method that is X10's take on the familiar C function, but where the data to be formatted is most efficiently passed as an Array, although if you have 6 or fewer items to format, you can just string them out, C-style, as extra arguments.

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Although there is some chance that, for some Iterators, a smart implementation might generate better code for the for...in loop than for the loop written out explicitly in terms of the iterator, one should view the for...in loop as a concise, readable way of writing the loop, not as an optimization.

**line 10:** We call flush() to make sure that, in case the Printer has been buffering our output, all of it gets sent *now*. Do not count on the output stream being flushed when the program terminates—even when it terminates normally. Better safe than sorry here.

If you compile FileIO.x10 and then execute

```
%x10 FileIO FileIO.x10 out.put
```

in the same directory as FileIO.x10, you should find that out.put is a copy of FileIO.x10.

This example is fine for text files. Our next examples are two classes that show how to read and write a binary file.

Let's look at the writer first, because we need it to generate input for the reader. Our goal is to create a file whose contents is a sequence of Doubles. We don't want the String literals, we really want to store the numeric values as they appear in memory. We'll read the Strings in from the keyboard (the "standard input stream"): <sup>8</sup>

```
val I = new File(args(0));
val W = new FileWriter(I);
while(true) {
   val line = Console.IN.readLine().trim();
   if (line.length() == 0) break;
   val dbl = Double.parse(line);
   W.writeDouble(dbl);
}
W.close();
}
```

We've skipped the imports here—by now, you know what's needed there, and the working file is available in files/WriteDBL.x10.

**line 2:** We use the class x10.io.FileWriter to stream the output. It has methods like writeInt, writeFloat, and so on, that allow you to write binary data easily out to a file. In this example, we are going to use writeDouble.

Just as when one creates a new Printer, when one creates a new FileWriter, either a new file will be created, or if a file of that name already exists, all of its contents will be discarded. In either event, you start with an empty file.

<sup>&</sup>lt;sup>8</sup> See file WriteDBL.x10

**line 3:** The keyword while introduces a loop. The loop's syntax is while (boolean-Expression) statement. The expression is called the loop's test, and the statement is the loop's body. When execution reaches the test, it is evaluated, and if the value is true, the body is executed. This sequence—check the test, execute the body—is continued until the test fails: i.e. evaluates to false. Execution then passes to the code that immediately follows the body.

In our example, the test is *always* true, so the loop is terminated from within its body, by the break statement on line 5.

- **lines 4 to 5:** We read a line of ordinary text from the standard input stream and trim any white-space characters from the beginning and end of the line. If the line is now empty, we break out of the loop. The statement "break;" causes execution to continue at the first statement following the loop's body.
- **lines 6 to 7:** The input line is converted to a Double, and that value is written, bit-forbit, to the output file.
- **line 9:** We explicitly close the stream here. This should guarantee that any output that has been buffered, but not yet actually written out, does get written: in other words, it should guarantee that flush() is called before the file's info is discarded. You can, of course, call flush explicitly at any point where you want make sure your output to that point is made persistent. This is particularly worth remembering in the early stages of debugging, where normal completion may not occur.

We compiled our WriteDBL.x10 and ran it to create a file for the reader to read:

```
% x10 WriteDBL dbl.out
1.25
...
%
```

If you look at the bytes in db1.out, the first 8 look like 0x3ff400000000000. Since 1.25 = 5/4 in hex is 0x1.4, this is about what we'd expect: some exponent stuff followed by 0x14.

Now it's the reader's turn.

9

```
public static def main(args: Array[String](1)) {
   val inputPath = args(0);
   val I = new File(inputPath);
   val R = new FileReader(I);
   while(true) {
       Console.OUT.println(R.readDouble());
   }
}
```

<sup>&</sup>lt;sup>9</sup> See file ReadDBL.x10

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8 }

You can see that it is almost line-for-line the same as the writer—no surprise, really. The one thing that is different is figuring out how to terminate the while loop in lines 5-7. The problem is that FileReader does not offer a method for testing when we are at the end of a stream. You might think, therefore, that readDouble might return a nonsense value on end-of-stream, so we could test for that. It does not. To see what's going on, look at the console log we got by running the code shown with input from the file dbl.out that we just created:

```
%x10 ReadDBL dbl.out
1.2
2.3
3.4
5.678
x10.io.EOFException
    at x10.io.InputStreamReader.read(InputStreamReader.java:73)
    at x10.io.Marshal$LongMarshal.read(Marshal.java:877)
    at x10.io.Marshal$DoubleMarshal.read(Marshal.java:1057)
    at x10.io.Reader.readDouble(Reader.java:164)
    at ReadDBL.main(ReadDBL.java:107)
    ...
```

We read the file and got just the output we would have expected, and the first attempt to read beyond the data we provided caused an "end-of-file exception". Which brings us to our next topic: exceptions.

# 3.4 Exceptions

#### 3.4.1 The Basics

An exception in programming, as in life, is literally an event out of the ordinary. Being out of the ordinary, exceptions are a rarity. If they happened frequently, they would be part of the rule, and not an exception. In programming, an exception is a way of performing an *abnormal return* from a method call:

An abnormal return is one where the called routine cannot sensibly return a value to its caller (or simply return to its caller if no return value is at stake) because some situation, usually something terribly wrong, has arisen that the caller may not have enough context to handle. Often, that context is only available to a method that is many steps earlier in the call chain. The problem is to get control efficiently to where it can be exercised effectively, without unduly penalizing the "normal", exception-free paths through the code.

Instead of each method in the call chain having to look at the problem and then having to admit that it doesn't know what to do about it, we force a method that thinks it *can* handle it to say so *before* the problem occurs, not *after*. The construct is called a try statement. If you're not familiar with it, no problem: we'll describe it in detail in a moment. The important thing for now is that not every method need take responsibility for every sort of problem that might happen while it, or something it calls, is executing.

When running FileIO.main for example, the attempts to read and write the files *could* fail, but how often does that really happen? Almost never. If our main really wanted to deal with the error, it could put the code inside a try statement that says it is willing to deal this exception—we'll show the more cautious code in a moment.

The lingo of the trade is that exceptions are either "thrown" or "raised." X10 belongs to the "throw" camp. The methods that want to handle an exception are said to "catch" it. To say that an X10 method "throws an exception" means that execution reaches an X10 throw statement, which looks like:

```
throw new ExceptionSubclass(explanation)
```

For example, if a file open failed because an incorrect path was provided, you might see

```
throw new x10.io.FileNotFoundException("Bad path '"+path+"'");
```

What happens when this statement is executed is that an instance of the class being thrown, x10.io.FileNotFoundException in our example, is constructed. The class whose name appears in the throw must be a subclass of x10.lang.Exception<sup>10</sup>. The newly created instance has a field that holds the argument in the constructor we called "explanation" above. The catcher can retrieve it by calling the method getMessage(). The X10 run-time manager will also provide the instance with the call chain at the point of the "throw", which can be retrieved (as an array of Strings, one for each method in the call chain) by calling the method getStackTrace(). The X10 run-time manager will then look back up that call chain until a method is found that says that it wants to handle any exception whose type is a subclass of the type named in the throw. Control is returned to that method at an appropriate point—we'll see where in our next example.

Let's rewrite our ReadDbl.main so that it handles all its I/O problems gracefully: 11

```
public static def main(args: Array[String](1)) {
   val inputPath = args(0);
   val I = new File(inputPath);
```

<sup>&</sup>lt;sup>10</sup>Strictly speaking, you only need a subclass of the parent class of Exception, which is called "Throwable". As a general rule, application code should use or subclass Exception for problems that application code can reasonably be expected to handle. Throwable should be reserved for X10 and for situations that you don't expect application code to handle.

<sup>&</sup>lt;sup>11</sup> See file ReadDBL2.x10

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```
var r: FileReader = null;
    try {
       r = new FileReader(I);
       while(true) {
          Console.OUT.println(r.readDouble());
    } catch(eof: x10.io.EOFException) {
          Console.OUT.println("Done!");
11
    } catch(ioe: x10.io.IOException) {
12
      Console.ERR.println(ioe);
    } finally {
      if (r != null) r.close();
15
16
17 }
```

Here's the line-by-line:

- line 4: Our reader r is now a var, not a val, because we are going to use it when we reach line 15, and we cannot be sure that the assignment in line 6 will succeed. Remember, a mistyped input file path will cause the constructor to throw an exception—an x10.io.FileNotFoundException in fact, which happens to be a subclass of x10.io.IOException. So the assignment in line 6 may be aborted.
- **line 5:** This is the beginning of the try statement, the "try block". The brace following the keyword try is required. The catch blocks in lines 10 and 12 describe the types of exceptions that this try statement promises to handle. For example, if the assignment in line 6 does *not* complete normally, the exception thrown will be an x10.io.IOException, which will cause control to go to line 11, the body of the catch block that promises to handle x10.io.IOExceptions.
- **lines 7-9:** The while loop is unchanged. It goes its merry way until the end of file exception is thrown, but now, since there is a catcher at line 10, control will go to line 11 when the exception is thrown.
- line 10: The try block is ended by the closing brace, "}". What follows is a catch block, which, in English, says: "if an exception was thrown in the try block that is an x10.io.EOFException, or is an instance of a subclass of x10.io.EOFException, then return control to my body, namely, the statement bracketed by my braces. Set the identifier eof to the object that was thrown." At end-of-file, we don't care about the eof's message or the call-chain traceback, so we simply ignore eof. We're just done!

All that remains, once the println completes, is to be polite and close up the FileReader r. Because there is a "finally block" at line 14, control goes to its body, and the close is done there. Control then falls through to whatever

statement (if any) comes next. If there had been no finally block, then once the body of the catch block had been executed, control would have passed to the first statement following the *last* catch block.

**line 12:** Here we have a second catch block, one that is intended to catch errors. When you have several catch blocks, they behave like "if...else if ...else if ..." sequences: the first one that can do the job is the one that the run-time picks: later blocks are ignored. *This is very important:* 

If we had put the IOException block *first*, then, because EOFException is a subclass of IOException, the IOException block would get control on end-of-file as well as whenever an error occurred. This is definitely not what we want, and this can be a pernicious error, because it is not always obvious what classes are subclasses of what other classes. This is why, if we had reversed the order of our catch blocks, the compiler would have signalled it as an error: "Unreachable catch block for EOFException. It is already handled by the catch block for IOException". Try compiling files/ReadDBL3.x10 to see this in action.

- line 13: The argument to println is just the exception ioe, which may seem surprising: why not ioe.getMessage()? The answer is that since ioe is not a String, but is in a context here where a String is expected, the compiler replaces ioe with ioe.toString(). For all exceptions, the method toString() simply calls getMessage(), so println(ioe) winds up being the same thing as println(ioe.getMessage()). (B: This digression seems a bit misplaced and easy to lose. Could we make it larger and more clear? give it its own section somewhere? :B)
- **line 14:** The statement following the keyword finally will be executed whether or not the try block terminates without throwing an exception. The finally block will be executed even if an exception was thrown that is *not* one we have a catcher for in this try statement. One of the catch blocks may be executed before the entering the finally, if there is a catcher for the error that occurred, but no matter what, control will reach the finally before leaving the method.

That is why we had to be careful to provide an initial value for r: if the constructor in line 6 aborted, control would have gone to the second catch block, and when it completed, control would wind up in the "finally" at line 14. Because we were careful to initialize r in line 6, we are on safe ground accessing its value here, no matter how we got here. Actually, the compiler would have complained if r had *not* been set along some path that starts at its declaration and reaches its use in line 15. It is an error in X10 for code to read an identifier's value before the identifier has been initialized.

A try statement may have a finally but no catch blocks: no matter what exception occurs, the finally's statement will get executed. The reason is exactly the sort of thing we saw in this example: if you have acquired some resource and need to release

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it, putting the release in a finally guarantees that it happens. A try statement need have no finally block. In that case, when the body of the try block completes, control goes to the first statement that follows the last of its catch blocks.

#### 3.5 Functions

#### 3.5.1 Function Types

We have already seen examples of how one can create functions in X10 and pass them as arguments. Indeed, functions are values like any other from the point of view of assigning them, passing them around as arguments, and so on. As a "type", though, they form a distinct unit, being neither class, struct, nor interface.

The basic syntax for function *types*—as opposed to the syntax for specific method or closure definitions—is:

```
(arg1Type, arg2Type, ...) => returnType
```

For example, we could declare

```
var doSum: (Array[Double](1)) => Double;
```

The value of doSum, when it is assigned, will be a function that takes a single argument, of type a singly-indexed array of Doubles, and returns a value of type Double. When it is helpful for people reading the declaration to have a name for the argument, you can supply one:

```
var doSum: (a: Array[Double](1)) => Double;
```

Here it is not very useful, but there obviously are a lot of situations where the variable name and argument types by themselves do not reveal your intent.

The argument list for a function type may be empty, as it was for our random number generator in the Monte Carlo calculation in 1.2.3.

Getting back to doSum, we know that, being a var, it can be set (and reset!) whenever we wish. It would be polite, however, to assign an initial value in the declaration that, if nothing like what we eventually want, at least would betray an attempt to use doSum before it was properly set. Because Double is a struct we cannot set it to null. For Doubles the closest thing to null is the value "not a number!", Double.NaN—not 0.0, which is often a reasonable value after the fact, as well as initially.

or to let it throw an exception:

These two examples show the two patterns for creating function "values". One is exemplified by the right-hand side of the first assignment, in which the arrow "=>" is followed by an expression that is the return value of the function. The other possibility is to write out the function body in the usual statement form, bracketed by braces, which is what we did to throw the exception. When you use this format for the function body and want to return a value, you need to use a return statement to do so, just as you would in a method definition.

Notice that in *both* of these assignments, a semicolon follows the body of the function. In the first case, it looks natural enough, but in the second, where the semicolon follows the closing brace, you may feel that it looks a little strange. Remember, though, that what we have here is an assignment (to a var of its initial value), not a method definition, and assignments expect to be terminated with semicolons.

The bottom line is that the syntax for function literals is the same as that for function types, except that for a literal, the body follows the arrow rather than (as for types) the return type. You need not specify the return type for a function literal explicitly any more than you need do so for a method definition, unless (as in our second assignment here) the literal does not return the type of value you really want.

#### 3.5.2 A Few Words On Arguments

We are going to give a few examples here that lay out X10's rules for what happens when you pass an argument to method and then assign a value to it. While this discussion should present few surprises, particularly to Java programmers, we suggest you bear with us and read on, as the parallels are not exact.

Consider, to begin with, the following attempt to pass some Int arguments into a method. <sup>12</sup>

```
public class TryArgs {
   public static def tryargs(val a: Int, b: Int, var c: Int) {
        a = b = 1;
        c = 1;
   }
}
```

 $<sup>^{12}</sup>$  See file TryArgs.x10

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You'll find this code in primitives/TryArgs.x10 When you compile it, you will get a pair of error messages:

The complaint about attempting to reset "a" after you declared it to be a val is what you would expect: you cannot assign a value to an already initialized val. <sup>13</sup> Why the same complaint should hold for "b" is less obvious, but now you know: *unless you explicitly say that an argument is a* var, *it is going to be a* val. Thus, of the three arguments, only c may be reset in the method body.

The next thing to try is modifying a var argument in the body of the method, as in: 14

```
public class TryArgs2 {
   public static def tryargs(var c: Int) {
        c = 1;
        Console.OUT.print("In tryargs, c is "+c);
   }
   public static def main(args: Array[String](1)): Void {
       var ta2: Int = 0;
        tryargs(ta2);
        Console.OUT.println(". In main, ta2 is "+ta2);
   }
}
```

 $<sup>^{13}</sup>$  The term "final" comes from Java's way of describing read-only data fields.

<sup>&</sup>lt;sup>14</sup> See file TryArgs2.x10

## 4 Dealing With Concurrency

In our discussion of the Monte-Carlo computation of  $\pi$ , we introduced four of the five main constructs that X10 uses to describe concurrency:

- at to do something at a different place;
- async to start a parallel computation, or in X10 terms, to spawn an activity;
- finish to wait for a bunch of concurrent computations to be done;
- atomic to keep things from happening at times when they shouldn't—or if you
  prefer, to keep access to shared resources orderly.

The missing construct is when, which is related to atomic, in that it is used to have one activity wait for another one to do something. We'll give some examples in a moment—see section 4.3.3.

There are a few other X10 constructs, and some useful concurrent data structures, but if you know the five, the rest are easy. For example, the AtomicInteger class (section 4.3.2) gives you a way to work with an integer variable atomically at lower cost than the generic atomic statement would incur. Bottom line: what remains to be learned has more do with efficiency and grace than much fundamentally new.

## 4.1 Here, There, and Everywhere

Every bit of running X10 has a *place* where it is running. We've already seen places in use in Section 1.3.1. In most other parallel programming languages, each place has a unique integer id, and that is how you work with it in the running program. X10 also provides a unique id, but as part of a larger object whose type is x10.lang.Place. Places are thus objects, so you can talk about them and compute with them as you would with any other object.

Why not just an integer? One reason is that X10 assumes that different places might be running on different kinds of processors. Some places may be implemented on a subset of the cores in a graphics processing unit (a "GPU"), others might be more conventional CPU's, and still others on special purpose chips perhaps associated with

sensors of some kind. How nice, then, if you can ask a place whether it is a GPU by evaluating "place.isCUDA()"—CUDA is one of several architectures for GPUs, and isCUDA, as it happens, really is a public method for Places.

The concept of Place has, therefore, been carefully kept abstract: an X10 program's places partition its address space. That's all they do. Places can correspond to processors, but they don't have to. If you are implementing X10 on a multiprocessor, you would probably set it up to have one Place per CPU. That would give your users precise control over where their data and computation goes.

But you needn't stop there. In principle, you could also implement a "uniprocessor mode" for the multiprocessor. You could then have a runtime flag that turns the mode on, with the effect that the multiprocessor and its memory are treated as a single place and the operating system's thread manager distributes the work among the available processors. From the point of view of the X10 language, this is a perfectly reasonable thing to do.

You can also go the other direction and arrange to have many Places per processor, whether or not the physical processor is a multiprocessor. For example, you might want to test your supercomputer code on a laptop by putting eight or sixteen Places on its single core. This may not run very fast, but it will run—or at least hobble—and will give you a chance to find some bugs.

#### **Getting Work Done At Other Places**

The set of Places available to a program is fixed when the program starts. <sup>1</sup>

The constant Places . ALL\_PLACES tells you how many places there are. The following program displays it on the console: <sup>2</sup>

(B: WE NEED MORE HERE ABOUT THE COMMAND LINE AND ENVIRONMENT. Run this with a couple different sets of parameters, showing the parameters on a couple of settings and how you control the number of places. Evidently multi-place is broken on Macs just now, alas. :B)

<sup>&</sup>lt;sup>1</sup> X10 isn't built for dealing with changes in the available hardware in mid-application. It can't handle what amounts to plugging new computers in in mid-run. While there *are* applications for which plugging new computers in mid-run makes sense, handling a dynamic set of Places across all applications would slow down those computations that do not require that flexibility, and it is these more limited application's performance that is X10's primary concern.

<sup>&</sup>lt;sup>2</sup> See file PlaceCounter.x10

If an activity needs to get a computation S done at another place p, it executes the statement at(p) S. The initiating activity's thread that is requesting the at is suspended, all necessary data from the initiating place is copied to p, and then S is executed at p. For example, the for loop here displays "Hello...' from every available place exactly once: <sup>3</sup>

```
public class HelloFromEveryPlace {
  public static def main(argv:Array[String](1)) {
    for(p in Place.places()) {
        at(p) {
            Console.OUT.println("Hello from " + here);
            assert here == p;
        }
    }
}
```

**line 3** Here we loop over a collection. We've already seen looping over a range like 1...N. In fact, X10 allows loops over anything that looks like a collection of things – we'll see the details in Section ??.

Place.places() implements the interface Sequence, which is the interface that C and Java arrays present: a fixed size and indexed by a single integer. The entries in the Sequence are all the Places available to the program, indexed by their ids. The for...in loop i iterates over all the entries of the Sequence in order. In this example, that means each Place should be visited in order by id.

**line 4** The body of the loop is this single at(p) statement. Let's look carefully at what happens as the loop is executed.

Startup: When execution reaches the for loop, the action is at a Place that we'll call pMain.<sup>4</sup>

The top of the loop: p gets assigned the next entry in the Sequence Place.places(), unless none remain, in which case we exit the loop.

*The transfer:* The thread executing the for is suspended. A new thread is spawned at p.

*The body:* The thread at p executes the body of the loop.

The bottom of the loop: Once the thread executing the body completes its work, it dies, and the thread for the for loop is resumed at pMain. The effect is to go back to the top of the loop.

<sup>&</sup>lt;sup>3</sup> See file HelloFromEveryPlace.x10

<sup>&</sup>lt;sup>4</sup> Normally, main is called because the class is being invoked to do its thing from the command line: "x10 HelloFromEveryPlace". In that case, pMain by convention is the Place with id==0. But for our purposes right now, it does not matter at what Place main is executing.

The important thing to understand here is that *there is no concurrency*. Even though every Place participates, and even though there is one more thread than there are Places, *there is still only one thread active at a time*. From the point of view of the X10 language, what we are looking at here is a single activity: it happens to involve a lot of Places and threads, but still: it is a single, serial set of operations.

- **line 5** The identifier here is reserved by X10. It always refers to the Place where the computation is happening at the moment the occurrence of here is accessed. On line 5, that is the Place p to which the at on line 4 sent us.
- line 6 Just for the sake of the example, we check that p really is the same as here. This is an assumption that we expect always to be true, and would be very upset if it turned out to be false. The assert statement is designed for just that purpose. (In this case, the rules of the language guarantee that the two really are the same. We wouldn't check on it with an assert in most real programs unless we suspected that the compiler was broken.)

assert E checks that an expression E whose value is a boolean (that is, whose value is either true or false) is true, and, if it's not, it reports the error to the standard error stream and *aborts the activity that failed the assertion*. Thus, assert both documents and enforces your assumptions in a nice, compact way. Another virtue of using assert over a plain old if is that there is a compiler switch "-noassert" that turns off all the assert statements in your code.

By the way, something subtle has happened here that is easy to overlook. In order to compare p with here, the thread at p has to know the value of the variable p: the value of p got sent from one place, pMain, to another, p. We say that the at statement "captured the variable p". We'll learn much more about what at statements have to capture shortly.

In HelloFromEveryPlace, at(p) is, in effect, being used as a command: "go to p and execute the statement that follows." It can also be used just to compute an expression. Again, there is no concurrency: "x = at(p) e" suspends the thread computing x, computes the expression e at p, copies the value back to x's Place, and resumes x's thread, which stores the value into x. We saw this in action in our multi-place version of the Monte Carlo computation of  $\pi$ . Here's another example: <sup>5</sup>

```
public class AtExpr {
    public static def main(argv:Array[String](1)) {
       val pMain = here;
      val pNext = pMain.next();
      val nextNext = at(pNext) here.next();
      Console.OUT.println("The next next is "+nextNext.id);
    }
}
```

<sup>&</sup>lt;sup>5</sup> See file AtExpr.x10

Line 3: main starts at somewhere that we'll call pMain again.

line 4 Whenever p is a Place, p.next() is the next one: the one whose id is 1 more
than p's. If p is the last Place, then p.next() is the Place with id 0: next()
wraps around.

Line 5 At pNext we ask what the next Place is and assign that value, back at pMain, to the variable nextNext.

## 4.2 Concurrency: Walking and Chewing Gum

For those too young to remember, the gibe "He wasn't smart enough to walk and chew gum at the same time" was famously (and very unfairly) aimed at President Gerald Ford. You don't want people saying that about your code. One of the main reasons for one processor sending a computation—particularly a big one—off to another processor is that while the second processor handles the computation, the first processor can continue working on other things.

Now, at gets you to other processors, but, as we have been emphasizing, by itself, at does not lead to a parallel, concurrent thread. For example, if the two calls to bigComputation in

```
val big1 = at(Place.places(1)) bigComputation(100,200);
val big2 = at(Place.places(2)) bigComputation(200,100);
```

are independent of one another, there is no reason not to do them concurrently. But the code, as we have written it above, will wait for the assignment to big1 to complete before initiating the second, because the first at will suspend its own thread until its remote thread finishes.

Getting Places 1 and 2 do most of the work using this straight-line code yields no speedup *per se*, and it won't do so no matter what bigComputation is actually doing. The two constructs that we need to get the two Places working simultaneously are:

async S: to start a new activity that runs in parallel with its originator.

The new activity executes the statement S and then dies.

finish S: to execute the statement S and on reaching the end of S, suspends its own activity until every activity spawned by executing S has completed.

If you come from the C world, this is an extension of the familiar "fork/join" duo, except that finish allows you to wait for a whole set of activities, whereas wait is a per-thread operation. Here's how X10's two work together to make our example hum:

<sup>&</sup>lt;sup>6</sup> See file WalkAndChew.x10

```
_{1} val n = 3;
val big1: GlobalRef[Cell[String]] = GlobalRef[Cell[String]](new Cell[String]("!"));
yal big2: GlobalRef[Cell[String]] = GlobalRef[Cell[String]](new Cell[String]("2"));
4 val pMain = here;
5 finish {
    async at(Place.place(0)) {
       val bc1 = bigComputation(n,n);
       at(big1.home) big1()() = bc1;
    async at(Place.place(0)) {
10
       val bc2 = bigComputation(n,n);
11
       at(big2.home) big2()() = bc2;
12
13
14 }
assert big1()().equals(big2()());
```

#### (B: Picture! :B) (B: Explain that funky big2()()! :B)

- **Line 4:** We capture the Place we are coming from, so we can let the Places that are doing the dirty work know where to send their results.
- Line 5: This finish guards two asyncs. When control reaches here, the two asyncs will get executed. Control will then reach the end of the finish's block at line 14. The finish's activity will be stopped until the two asyncs have both finished. Only then will line 15 be reached.
- **Lines 6 and 10:** Each async creates an activity that runs in parallel with the finish. That activity computes its value at the remote Place and then "goes home" to slam the result into memory there.
- Lines 8 and 12: Here we see the "at"s capturing bc1 at Place 1 and bc2 at Place 2. and assigning their values back home, which is wherever the original activity was executing.
- **Line 15:** When we get here, we *know* that both big1 and big2 have been set to their new values, so the comparison is safe.

If you want to play with this code, we have provided the serial version in Walk-ThenChew.x10, and the parallel version in WalkAndChew.x10

You might also want to experiment by changing line 10 with "at(Place.places(2)) async", in which the new activity is spawned at the *remote* Place, rather than at the current one.

Finally, a more realistic example of this sort of program is a shared-memory (single Place) version of the *Quicksort* algorithm, a working version of which you can find in QSortInts.x10.: <sup>7</sup>

<sup>&</sup>lt;sup>7</sup> See file QSortInts.x10

```
public class QSortInts {
     /**
      * top-level call: sorts the input array in place using the
      * quicksort algorithm.
      * @param data the array of Ints to be sorted.
     public static def sort(data: Array[Int](1)) {
       val r = data.region;
       val first = r.min(0), last = r.max(0);
        sort(data, first, last);
10
11
     public static def sort(data:Array[Int](1),
12
              left:Int, right:Int) {
13
       var i: Int = left, j: Int = right;
14
        val pivot = data(left + (right-left)/2);
15
        while (i <= j) {
          while (data(i) < pivot) i++;</pre>
17
          while (data(j) > pivot) j--;
          if (i <= j) {
             val tmp = data(i);
20
             data(i++) = data(j);
21
             data(j--) = tmp;
          }
23
        }
        finish \{ // \text{ when you are here } i > j
25
          if (left < j) async sort(data, left, j);</pre>
          if (i < right) async sort(data, i, right);</pre>
27
        }
28
     }
```

Line 10 The algorithm is recursive: the call sort(data,left,right) sorts the slice
 of the array between the indices left and right. We assume that left <=
 right.</pre>

**Line 15** This is a naive choice of "pivot" point: we hope that the element in the middle of the slice is close to the median for the slice. This loop reorganizes the slice into two subarrays: (1) those elements less than the pivot, and (2) those greater.

**Fine Point:** If you're wondering why we wrote left + (right-left)/2 rather than the more natural (left+right)/2, the answer is *integer overflow*: the intermediate sum left+right may overflow, but if left and right are nonnegative Ints and left <= right, then the difference right-left is not going to overflow, and since left + (right-left)/2 <= right, our computation of the middle index is safe.

**Lines 16-24** This loop partitions the input slice of data into two parts, and reorganizes data so that the left part consists of the entries that should precede the pivot, and the right part consists of those that should follow it. This is straightforward serial code.

Lines 25-28 We can use two independent activities to sort the left- and right-hand portions of the slice. The finish guarantees that when control reaches the end of the method, the whole slice specified by its arguments has been sorted.

**Exercise:** If you stare at this code for a while, you'll probably realize several things. First, we don't really need *two* asyncs: we only need an extra async when we have to sort *two* subarrays. If there's only one (which can happen if by some evil chance, the pivot turns out to be the largest or smallest element in the slice), there's no gain to spawning a new acitivity: the current activity can handle it. Also, we really don't have to put the "finish" in the recursive call, *if we make that method* private, *so no one will mistakenly call it directly.* The finish gets moved into the public, top-level sort method, because we don't really care in what order the spawned activities finish: all we care is that *all* of them finish. This brings up an important point: it does not matter how, when, or where the activities inside a finish get spawned—all must be complete before the finish will allow its own activity to resume. So the activities spawned by the recursive calls are all monitored by the one finish at the top level when you use it to guard the call to sort in line 10.

If you want to see our version of this improved code, you can find it in QSortInts2.x10. In QSortInts3.x10, we push it one step farther with a less naive choice of pivot.

**Fine Point:** Finally, a different question, why just sort Ints? Why not sort data of any type whatever, so long as we know how to compare two things of that type using the binary operators "<" and ">"? To do that, we need syntax that allows us to declare the operators "<" and ">"?, and x10.util.Ordered[T] that is exactly what we need. It requires its implementors to provide four "methods":

```
operator this < (that: T): Boolean;
operator this > (that: T): Boolean;
operator this <= (that: T): Boolean;
operator this >= (that: T): Boolean;
```

These declarations are just like the abstract method declarations we've seen in interfaces before, except that the keyword phrase "operator this" is used rather than "def". Of course, there is nothing special about comparison operators. You can also implement any of the arithmetic and bitwise operators for any class or struct you create.

If a class or struct T implements Ordered[T], and if t1 and t2 are two instances of T, then these declarations say we can execute t1 < t2 to determine whether t1 is less than t2, and of course the same for the other three operators..

<sup>&</sup>lt;sup>8</sup> For examples, take a look at x10.lang.Arithmetic.x10, where all of the numeric operators are declared, and x10.lang.Bitwise.x10, where you'll find the shifts, and's, and or's.

How do you declare concrete versions of these operators in your own classes and structs so that they can implement this interface? It's as easy as you would think: add the keyword "public" and provide the body of code that implements the operator:

```
public operator this < (that: T): Boolean {
    // your implementation comparing "this" to "that"
    // goes here
}</pre>
```

The only difference, once again, from a method declaration is that operator this replaces def.

There is one final problem: how does one say "my class T implements Ordered[T]" when you go to use T in a declaration? The operator "<:" that we introduced on page 42 does the trick:

```
public class QSort[T]{ T <: Ordered[T] } { ... }</pre>
```

You can find our version of the code with these modifications in chapter-concurrency/QSort.x10.

It is a good exercise to run this code on some big examples in order to understand the cost for writing generic code versus type-specific code. We provided some minimal wrappers for Int and String as examples to get you started using our generic code. Strings are an interesting variant, because if they have an average length much greater than 8 or 10, then—unlike the story for Int—significantly more effort may be required to do the comparisons than the overhead of the array operations and async management. This should reduce the relative penalty for going generic (which should be independent of the item size and comparison cost). We also have provided a "native" Quicksort for strings, QSortStrings.x10, that you can use to compare with the generic.

#### 4.2.1 More About Asyncs

When control reaches async S, a new activity in parallel with the executing activity is spawned to execute S. The two activities are both running in the same Place and have access to the same variables, but otherwise they are independent of one another, except for such synchronization as the originating activity imposes—for example, executing the async within a finish.

The best way to think about multiple activities happening concurrently at a single Place is to imagine there is one master activity, "the scheduler", that runs with special privileges. The scheduler alone gets to decide, at each point in time, which activity is going to run. It will generally limit the time the activity can be run before it interrupts the activity on behalf of the other waiting activities. It may also choose to interrupt an activity part-way into its time slot, even in mid-statement, if it perceives a need, like an

I/O operation that must be serviced promptly. Finally, an activity can ask the scheduler to suspend it until some event occurs: "As soon as the value of the variable x is greater than 12, let me resume, please."

Having the scheduler around is a little like having a partner helping you by writing some of the code. Sequential code that you write all by yourself can be pretty bad, hard enough to get right. Concurrent code can be much, much worse: it has all the problems of sequential code plus a few really bad ones of its own because your co-author, the scheduler, neither knows nor cares about your intentions.

We are going to look carefully at three problems that you don't find in sequential code:

- 1. Data races: As we saw when we went to implement the multi-cluster Monte-Carlo computation of pi (page  $\ref{pi}$ ), the scheduler can create situations where several activities sharing a resource (like a storage location or an I/O stream) can run into trouble because they failed to coordinate their actions. We'll expand on that example in a moment.
- **2. Deadlock:** Deadlock happens when some family of activities can make no progress because each one has asked the scheduler to suspend it because it is waiting for one of the other activities to update some variable. We'll see an example of how this might happen in §4.3.4.
- 3. Error handling: Errors occur in serial code, too, of course. But parallelism adds a level of complexity. Suppose an activity A spawns an activity B to do some job—store a row in a relational database, perhaps. A goes on doing whatever it has to do while B does its thing. Suppose that A doesn't need any *result* returned by B, it just needs B to do what it was asked to do. What happens if B fails? How does A find out? When? It may or may not be okay for A to continue when B fails. Somehow we've got to get help from the scheduler, who is responsible for the overall management of our activities. We'll discuss all this in Section ??

None of these problems is X10's fault. In fact, X10 has features that make writing parallel code safer and more readable than many high-performance languages. (There are languages that give even safer concurrency, but they pay for it by having programs run substantially more slowly.) Our aim is that by the time you finish this book, you will be comfortable using X10 to write serious concurrent code that's visibly—and in many cases, even *provably*—safe.

#### 4.2.2 Finish Really Means "Finish Everything Everywhere"

The finish S construct executes the statement S and then waits until *all* activities started by S are finished. We've seen examples that show it waiting for the activities started by asyncs appearing explicitly in S, but you should be aware that it also waits for any activities spawned by those asyncs, and even activities spawned by methods called while executing S. If you worked through all of the Quicksort examples, you will have seen this at work. Here is a much simpler program, with a more direct example:

9

```
var a:Boolean = false, b:Boolean = false, c:Boolean = false;

def nestAsyncs() {
   finish {
        async {
            async { b = true; }
        }
        async { c = true; }
        }
        async { c = true; }
        async { c = true; }
}
assert a && b && c;
}
```

When nestAsyncs() is invoked, four activities get started: one at line 4, which in turn starts the activities at lines 5. and 6, and finally the original activity spawns another at line 8. The finish beginning at line 3 and ending at line 11 waits for all four of these to finish before control proceeds to line 10. So, the assert there is always going to be succeed.

We can rewrite the program so that some of the activities are spawned by a different method. Even this does not fool finish, which still waits for all of the activities to finish. <sup>10</sup>

```
var a:Boolean = false, b:Boolean = false, c:Boolean = false;
def spawnAsyncs() {
    async {a = true;}
    async {b = true;}
}
def nestAsyncs() {
    finish {
        async spawnAsyncs();
        async { c = true; }
    }
    assert a && b && c;
}
```

The activity started in line 8 calls spawnAsyncs, which spawns two asyncs. At the point where we reach the end of the finish at line 10, as many as 4 asyncs may be alive. The main activity is blocked until all 4 finish.

<sup>&</sup>lt;sup>9</sup> See file NestAsyncs.x10

<sup>&</sup>lt;sup>10</sup> See file IndirectAsyncs.x10

#### **4.2.3** When To Use finish

Most asyncs should have a finish controlling them. The usual idiom is:

```
finish {
    /* start some async computations here */
}
/* use the results here */
```

If you don't have a finish around the asyncs, then you have no way of dependably knowing when all of its results are available. Sometimes this may be exactly what you want—some examples:

- Perhaps you have just finished some big part of the computation and are spawning off an activity to write the answer to a file. If the current activity doesn't need the file, there's no need to wait for the child activity to finish writing.
- Perhaps at some point there are several ways to get the result you need. You might want to fire off one async per way. For example, "compute from scratch", "look it up in the database", and "search the Web". Here all you want is one answer, so all you care about is when the *first* computation in the set succeeds. You will probably want to have some way to kill the others then, rather than letting them run and waste time and resources. We'll look at this sort of pattern in section ??.
- Perhaps the activity is some kind of background chore, like listening for incoming network traffic and servicing it. It doesn't particularly have a final answer.
   On the contrary, it's supposed to run quietly doing its thing forever. Waiting for it to finish would be ridiculous. It's not supposed to.
- Perhaps the newly spawned activity will run for a long time, but the main activity, although it *does* need the result, has to proceed with some other work: you care about the answer, you just don't want to be idle while you wait for it. Some other communication mechanism is needed for the child activity to tell the main activity when its result is available. We'll worry about this pattern in section ??.

While none of these is particularly outré, none of them is terribly common in X10 programming, either. Most activities should have a finish.

**Basic Question:** Is there a point in the currently executing program that you *might* need to be sure a set of asyncs has completed?

If the answer is "Yes!", you obviously need put the asyncs inside a finish. If you're not sure the answer to that question is "No!", err on the side of caution: you probably want the finish. Remember that the scheduler will eventually cause you problems if it possibly can.

**A Less Obvious Question:** Can one or more of the asyncs have terminated only because some unrecoverable error cause them to be aborted, not because they actually completed the task they were set to do?

From the point of view of the finish, done is done: it does not ask *how* an async terminated, it just wants to know that it *has* terminated. Is there hope that if you knew why the abnormal termination (an "*abend*") occurred, could you recover? Or the flip side: if an abend occurred, dare you continue? We'll discuss ways to handle these questions in section 4.4. For the moment we just wanted to make sure you were aware of what finish does *not* do for you.

#### 4.3 Data Races

Let's quickly review that story with a very simple example: (**B: The following needs to be checked when X10 is buildable :B)** 11

```
public class AsyncInc {
    public static def main(args: Array[String]) {
        var n : Int = 0;
        finish for (var m: Int = 0; m<2; m++) {
            async for(var k: Int = 0; k<10000; k++) n++;
        }
        Console.OUT.println("n is "+n);
    }
}</pre>
```

This code appears to be incrementing the variable n 20,000 times. But does it? We ran it several times on a 2-core laptop, and the console display for the third run was "n is 17573", and not "n is 20000". We then ran it a few more times and pretty consistently we got an answer near 17,500. So we somehow lost roughly 2,500 out of 20,000 increments, or one in every eight.

**Fine Point:** The reason for having the big for loop in the async is that we want the thread it creates to do enough work that there is a reasonable, if still small, probability that it *will* get interrupted.

As we described in parallelizing our Monte Carlo code ( $\S1.3.2$ ), the cause for our troubles is that while n+=1 may look like "one operation", it is not: it is not *atomic*. The X10 compiler is going to treat n+=1 as a 3 step process: (1) fetch the current value of n, (2) add 1 to to get the new value, and (3) store the new value back in n.

The problem is clear: if there really are three steps, then the scheduler is free to interrupt either activity after any one of them. The way the outer loop is written here, there will be two activities A and B running at once. Some small percentage of the time, the

<sup>&</sup>lt;sup>11</sup> See file AsyncInc.x10

scheduler will interrupt one of them—let's say it is A—before it completes step 3. Suppose that B then gets to run and happens to start by loading the current value of n, which we'll call nStart. It is the same value that A was about to update, because A never finished storing its update. Next suppose that the scheduler allows B to run 1,000 iterations of the inner loop, and then suspends it to give some other activity a chance to run. At this point, n's value is nStart + 1000. If A gets to run next, it will pick up where it left off, namely, by storing into n what it, A, thinks the updated value should be now: nStart+1. So instead of n being nStart + 1001, n is now nStart + 1. 1000 increments have been lost. Forever.

Because we put everything in a big for loop, we created 20,000 chances for the scheduler to interrupt at a bad spot. Sure enough, by the third time we ran the program, it did: perhaps it did only once, but once was enough. That is the true horror of this sort of bug: failures happen, but they happen only rarely and are therefore easily overlooked. If we'd made the async's for loop a lot smaller, say 2,500 as the limit rather than 10,000, we might have gone dozens or even hundreds of executions before seeing any failures at all.

If your activities have any bugs in them of this sort, you should expect that the scheduler will eventually find and reveal them. If you are lucky, it will *not* happen during an important demo. If you are unlucky, your program will pass all the tests and demos just fine, and the error will happen when many peoples' lives are depending on the automobile, airplane, or medical equipment your program is controlling.

So: it is best to imagine that the scheduler is a wicked, conscience-free gnome out to ruin everything if you let it, and then make very sure that you never let it.

We are, unfortunately, not just being facetious here. Concurrent and distributed programming is notoriously tricky, precisely because it is so hard to know what is going to happen next. The structured patterns that X10 provides for dealing with concurrency and the discipline these patterns enforce are based on several decades of lessons too often learned the hard way.

#### **4.3.1 Curing Races: Atomic Power**

There are two basic constructs in X10 that allow activities to coordinate their access to shared data:

atomic S: S is an X10 statement. Once one activity begins to execute S, no other activity may enter that code until the first activity finishes. In other words, at any one moment in time, at most one activity can be running S.

when (expr) S: Here expr is a boolean expression and S is a statement. When an activity's execution reaches the when, the expression expr is evaluated, and if it is false, the activity is suspended. Otherwise, the statement S is executed. The scheduler resumes a blocked when to execute S only after expr, by virtue of some other activity's work, has become true. The whole construct when (expr) S is guaranteed to be executed atomically—as if you had written atomic when (expr) S.

Let's be precise about what we are guaranteeing, because what is at stake is the behavior not just of one atomic block, but the mutual behavior of a set of activities executing a set of atomic and when blocks:

An X10 program in which all accesses (both reads and writes) of shared data appear in atomic or when blocks is guaranteed to use that data atomically, and *no races involving those accesses can occur*.

Atomic sections at the same Place are mutually exclusive. That is, if one activity A at a Place p is executing an atomic or a when block, then no other activity B at p can also be executing an atomic or a when block concurrently. If such a B does attempt to execute an atomic or a when block before A finishes the active block, B will be suspended until A finishes its block.

If some accesses to shared data are not protected by atomic or by when, there are no guarantees: you are on your own, and whatever happens to you happens to you.

We'll look at a bunch of examples of these constructs in action in a moment. First, though, we want to say a few more words about their costs and correct use.

The first and most important thing is that the guarantees do not come for free. The statement S in these two constructs cannot be arbitrary. To begin with, S cannot spawn another activity, nor can it use statements like finish and when that might block an activity. Finally, S cannot use at. There are rationales for all these restrictions. For example, allowing something like a when in S might cause S to deadlock the program, because it can get blocked at the when, and our guarantees say that *no other atomic construct can execute at* S's Place *until* S *completes*. Please take our word that the others have similar rationales.

We need to say a little something about cost. The point of these constructs to serialize access to shared data in a predictable way. The choice was to serialize the atomic constructs themselves: at most one runs at a given time at a given Place. This flies in the face of our goal to exploit parallelism as much as we can. It can be very expensive for programs in which multiple activities need frequent access to volatile shared data. One activity executing an atomic block can stop 100 other activities cold who want to use other atomic blocks, even if these other blocks share no data at all with the active block.

A classic example where one has to be careful is when one activity is pushing small jobs onto a queue for some set of other activities to handle. If the jobs are many but small, the queue maintenance is at the heart of the matter and has to be handled carefully to minimize the overhead due to the serialization.

#### **4.3.2** Efficient Atomic Expressions

X10 provides some less expensive ways to get some of the same functionality in some common situations. Our failing for loop in §4.3.1 above is an example. We can use

<sup>&</sup>lt;sup>12</sup> You may nest an atomic block in S, but it is not clear when that might be desirable.

atomic to cure this race, as we did in Figure  $\ref{figure}$  in §1.3.2, page  $\ref{fig:page}$ , for a similar problem with our Monte Carlo code:  $\ref{fig:page}$ 

```
public class AtomicInc {
    public static def main(args: Array[String]) {
        var n : Int = 0;
        finish for (var m: Int = 0; m<2; m++) {
            async for(var k: Int = 0; k<10000; k++) atomic n++;
        }
        Console.OUT.println("n is "+n);
    }
}</pre>
```

Forcing the n+=1 to be executed atomically guarantees that the sum is driven home to n every time the expression is evaluated, and guarantees that no value of n can be loaded by one thread while the other is processing it.

Several activities accessing a shared variable is such a common event that X10 provides a family of classes in the package x10.utils.concurrent that make executing the update atomically more efficient than using an atomic block. For example, the class x10.util.concurrent.AtomicInteger can be used for our asynchronous increments:  $^{15}$ 

```
val n = new AtomicInteger(0);
finish for (var m: Int = 0; m<2; m++) {
    async for(var k: Int = 0; k<10000; k++) n.getAndAdd(1);
}
Console.OUT.println("n is "+n.get());</pre>
```

**Line 1:** n is now a *reference* to an AtomicInteger rather than in Int. The initial value of n is 0. There are several methods for updating that value, all guaranteed to be atomic:

n.set(k:Int) sets the value to k.

n.getAndAdd(k:Int) returns the current value of n, but before doing so adds k to the current value and stores the result as the new value of n.

n.addAndGet(k:Int) adds k to the current value of n, stores the result as the new value of n, and returns the result.

<sup>&</sup>lt;sup>13</sup> See file AtomicInc.x10

<sup>&</sup>lt;sup>14</sup> The APIs are modeled on an analogous set of classes that are in the Java standard distribution in the package java.util.concurrent. You may find the documentation there useful.

<sup>&</sup>lt;sup>15</sup> See file AtomicIntInc.x10

n.compareAndSet(expected:Int, newValue:Int) compares the current value of n with expected, and if the two are equal, sets n's value to newValue. The return value is a Boolean: true if the expected and actual values were the same, false otherwise.

If you just need the current value—no update—you use n.get().

Line 3: Since addAndGet returns the updated value of n, the call n.addAndGet(1) is precisely the equivalent of "atomic n+=1".

The timings on a dual core laptop showed the atomic version running nearly three times as slowly as the AtomicInteger version for the C++ runtime. Your experience may not be as dramatic, (and we'll show an example in a moment where a well-placed Atomic sometimes wins), but it pays to at least try AtomicInteger when you can.

The other classes in x10.util.concurrent are AtomicBoolean, AtomicDouble, AtomicFloat, AtomicLong, and AtomicReference. These classes have methods similar to those for AtomicInteger, with minor variations to accommodate the different types.

**Exercise** Our first example of an atomic update was back in our discussion of the Monte-Carlo computation of pi. Our final code, shown in Figure ??, uses an atomic update in the method countAtP to protect the integrity of the final count:  $^{16}$ 

```
public static def countAtP(pId: Int, threads: Int, n: Long) {
    var count: Long = 0;
    finish for (j in 1..threads) {
        val jj = j;
        async {
            val r = new Random(jj*Place.MAX_PLACES + pId);
            val rand = () => r.nextDouble();
            val jCount = countPoints(n, rand);
            atomic count += jCount;
        }
    }
    return count;
}
```

Try replacing count with an AtomicLong here. You can use either addAndGet or getAndAdd in line 9 to update count, because the return value is ignored here:

async inCircle.addAndGet(countPoints(nPerThread, rand));

17

<sup>&</sup>lt;sup>16</sup> See file MontePiCluster.x10

<sup>&</sup>lt;sup>17</sup> See file MontePiAsync2.x10

There might not be much of an effect on the running time, but why not try it and see? We're not being coy here in not telling you what you wiil see. That will be very much dependent on hardware, operating system, and implementation parameters for your system.

To understand better the trade-offs of various ways to use atomic and the atomic helper classes, we are going to look at a number of ways to implement a histogram for an Int-valued data set. Recall that a histogram tracks the number of occurrences of each value in a data set. Here is a bar graph for the histogram of a collection of 9 integer values  $\{1,2,2,1,3,1,3,3,4\}$ .

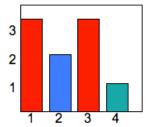


Figure 4.1: A Histogram For The Values  $\{1, 2, 2, 1, 3, 1, 3, 3, 4\}$ 

The main loop for computing a histogram serially is very simple:

```
for (i in firstIn..lastIn) result(input(i))++;
```

The result array has one entry per possible input value, and initially all of these entries are 0. The for loop contains a new idiom: it is read: "for all integers i in the range firstIn to lastIn (including these end points) do...". In this case, what we want to do is for each entry input(i) in the input array, to increment the count in result(input(i)) by 1. When the loop terminates, result(k) will be the number of times k appeared as input(i) for some i.

Fine Point: The syntax "for(i in firstIn..lastIn)" is identical in meaning to the older style "for(var i:Int=firstIn; i<=lastIn; i++)". So which to use? The main advantage of the new syntax is that i appears once instead of three times, which makes for a more readable loop for most people, and is a definite plus if you don't like to type. But seriously, if the natural name for the loop variable would be cancerCellCount, writing it once in all its glory is much better than collapsing it to cccnt to avoid a clumsy, overlong for loop in the older style.

Back to histograms! Assume that we are working at a single Place, but we can take advantage of multiple activities. An obvious approach for parallelizing this loop would be to partition the input array into disjoint slices, one slice per activity, and then combine the partial results in a shared result array. If there are N activities and the input array is indexed from 0, then:

```
val sliceSize = input.size/N;
finish {
  for(i in 0 .. (N-1)) {
    val sliceStart = i*sliceSize;
    val sliceEnd = i==N-1 ? input.size-1 : sliceStart+sliceSize;
    async {
      val partial = new Array[Int](result.region, 0);
      for (i in sliceStart..sliceEnd) partial(this.input(i))++;
      this.foldIntoResult(partial);
    }
  }
}
```

Computing the histogram for each slice is done serially. The fun begins with folding the partial result into the final result, which we're going to realize as an instance field of "this". The field this.result is accessed concurrently by all of the asyncs, so we have an obvious data race to handle. There is more than one way to do so, and we have provided several in the classes for this chapter with "Histogram" in their name. The top-level class is Histogram.x10. It has a main that exercises all of the other classes:

Make the whole folding operation atomic: What might seem a drastic approach to the problem is to let "atomic" guard the whole operation of folding in the partial result:

atomic for (i in partial) this.result(i) += partial(i); The effect is to serialize the folding of the partial results into N sequential operations whose cost is proportional to the result.size. See AtomicFoldHistogram.

Make each update of this.result atomic: At the other extreme, we could guard each addition:

```
for (i in partial) atomic this.result(i) += partial(i);
This is done in AtomicHistogram.
```

Use AtomicIntegers for this.result: The folding loop becomes

```
for (i in partial) this.result(i).addAndGet(partial(i));
See AtomicIntHistogram.
```

**Don't partition the input's indices, partition its range:** Another approach to the whole problem is to partition the range of values, rather than the input data set. You avoid synchronization problems that way: each async reads the whole input array, but just updates its own slice of the results array. You can find this in RangeSplitHistogram.

Why so many variations? There are two parameters that determine the problem size: the range of input values and the number of input values. We've set up the command line arguments for Histogram's main to allow you to compare the performance of these various approaches. Our experience is that varying these parameters allowed us

to make each approach a winner at least once. We invite you to try it out yourself. Even the serial code performs best in some surprising in our case, because the operating system is allowing it to use both cores of our dual-core chip at one time effectively, even though this code is written serially. Bottom line: what to guard and how to guard it is not a one-size fits all decision insofar as the effect on performance is concerned. Be prepared to experiment!

#### 4.3.3 when: Conditional Atomic Blocks

Next, let's implement a class that we'll call AtomicCell. Think of it as a *very* small buffer. It can hold one value, which, for simplicity, we'll make an Int. Since it only holds one Int, an AtomicCell can be either *empty* or *full*. You can put a value into it, if it's empty; otherwise, you have to wait until someone else empties it. You can take a value out of it, if it's full; otherwise, you have to wait until someone else fills it. As we said: a very small buffer.

Those clauses "wait until someone else empties it" and "wait until someone else fills it" are best coded using "when".

```
1 class AtomicCell {
2
     var full : Boolean;
3
      var contents : Int;
4
      def this(init:Int) {full = true; contents = init;}
5
      def fill(newVal:Int) {
6
        when(!full) {
7
          full = true; contents = newVal;
8
        }
9
      }
10
      def empty(): Int {
        when(full) { full = false; return contents; }
11
12
      }
```

Lines 8-12: When fill is called, the first thing that happens is that the expression ! full is evaluated atomically. If the result is true, execution immediately continues to the assignments on line 10. The whole process, test and assignments, is carried out as one atomic statement. No other activity is able to act at this Place from the moment the evaluation of the test expression ! full begins until the two assignments are both complete.

Of course, it might happen that on entry !full is false, in which case the executing activity will be suspended. It is probably best, once again, to think of there being a scheduler who puts !full on a "watch list" that it checks from time to time. When the scheduler discovers that it has become true, it *immediately and atomically* evaluates the assignments. There is no gap between the scheduler determines that !full is true and when it evaluates the assignments.

**Lines 13-15:** empty is the mirror image of fill: the test is whether full is true, and if so the cell is emptied and what had been its contents are returned.

You can exercise this code with:

```
public static def main(Array[String](1)){
   val c = new AtomicCell[Int](0);
   async for([i] in 1 .. 10) c.fill(10*i);
   async for([j] in 1..10) {
      Console.OUT.println("value "+j" is " + c.empty());
   }
}
```

The full source is in AtomicCell.x10.

There are two activities, one of which puts ten numbers into the cell, and the other takes the first nine of them out again, leaving the cell full:

The cell c starts out full, with 0 as its contents.

On lines 3 and 4, two activities are spawned. The scheduler will, as likely as not, give the first one (on line 3) the first shot at running. Since a full cell can't be added to, this activity will be blocked at the when in fill().

At that point, the scheduler may allow the root activity to run to completion, or, on checking that state of c.full, allow the second async a chance to run. If it happens to favor the main activity, then almost instantaneously that activity, which, having spawned the two asyncs already, now has nothing else to do and will terminate. The scheduler is left with only one activity it can run: the second async, the emptier. One way or another the emptier gets to run.

It immediately retrieves c's contents and sets c.full to false. It is then either interrupted by the scheduler or tries to empty c again, which fails: there's nothing there, so it is suspended.

But now the first async can proceed again... From this time on, once the root activity has terminated, the scheduler has only two activities to worry about, and at any given moment, only one of them is not blocked. So the two alternately run to completion.

There is an important point here: notice that when the root activity—the one executing main()—died, the other two activities remained alive. This is critical: the root activity for an X10 program always runs inside a finish that is executed by the X10 runtime. From the X10 scheduler's point of view, therefore, the root activity is nothing special: it is just an async like any other. The asyncs spawned by the root are all monitored by that finish, which returns control to the runtime only when those activities have all terminated. This is exactly the same as the way that a finish in your code returns

to control to an activity when the activities spawned inside the finish's body have all terminated

There are two guarantees that make when(expr) S statements work:

**Timeliness:** If the expression expr becomes true and stays true for long enough, eventually S will get executed.

**Correctness:** Noticing expr is true and evaluating S are done atomically.

If we didn't have both of these, AtomicCell wouldn't work. The correctness guarantee says that if the scheduler every gets around to seeing whether a blocked when should be executed, it does the job of checking and executing it correctly (in this case, meaning atomically). But this guarantee is only worth something if the runtime guarantees that the scheduler is *fair*, that it will check the blocked when in a timely manner.

If you think about these guarantees for a moment, you will realize that to exploit them well requires some discipline on your part. There are two governing principles, the first of which is:

If the statement S in when(expr) S does something (like remove some data from a buffer) that *might* affect the value of expr, make sure that all changes to whatever variables affect expr are also done as part of S.

Furthermore, any changes to the variables affecting expr that occur elsewhere in the program should be done so that the effect on expr is atomic and consistent with its semantics as the test in this and any other when blocks in which it is the test.

This is sometimes described as "assuring that the test for a when is *stable*".

Here's the second principle, which is actually a variation on the first:

Never forget that if you are going to allow an activity to be blocked trying to enter a when, you had better have at least one other activity around that eventually can free the blocked activity.

Here is an extreme example of violating the first principle with an unstable test. First we write a function that computes a Boolean:

```
private var flag: Boolean = true;
private def alternate() {
   val oldFlag = flag; flag = !flag; return oldFlag;
}
```

Evaluating alternate() alternates between true and false. And for the very unstable when:

```
when(alternate()) {
  pleaseDoSomethingAboutThis();
}
```

Suppose there are two activities with such whens in them. No implementation of the X10 scheduler could hope to guarantee that both of these two activities both get their chance to run. You can imagine a sequence like

- 1. First activity is checked and sees the value to be true, so it gets to run. The next call to alternate will return false.
- 2. Next, the second activity is checked, and because this call to alternate returns false, it continues to be blocked. Of course, its call to alternate flipped the flag again.
- 3. The first activity arrives at the when again. Good news: its call to alternate() is going to return true.
- 4. ... back to step 2 ...

In short, unless the first activity terminates or gets interrupted for some other reason, the second activity might never be run, no matter how fair the scheduler tries to be. Notice that there is no activity around to help our indefinitely blocked second activity.

In addition to be careful about what you test, there are also some good practices for engineering the body of a when (and the bodies of atomic blocks more generally).

atomic blocks are expensive. When X10 executes an atomic block at a Place p, no other atomic block can execute at p at the same time. That can seriously cut down the concurrency in your program—as you might expect from a device that's intended to limit concurrency.

when blocks are even more expensive. The test expression will be evaluated atomically, repeatedly, whenever X10's scheduler thinks it's a good idea. This can take lots of time, especially if the expression takes time to evaluate. And, since it's atomic, all other potentially active atomic and when code will be idled while p is evaluated, regardless of what the whens' tests might yield.

X10 does provide some lower level primitives: locks, latches and monitors are available in the x10.1ang package. We won't say anything more about these tools here: they have been around for decades now, and most texts on concurrency in operating systems explain them in detail, since that is where the constructs were first introduced. Here are some rules of thumb about how to use atomic and when:

- Do as little work as possible (but—need we say?—no less) in an atomic or when block.
- atomic is cheaper than when, and generally using classes like AtomicInteger is cheaper than either.

- Don't be afraid, though, to use atomic and when, especially in early versions of a program. The more efficient mechanisms for concurrency control, like locks, exact their own price in readability and robustness, so wait until you are sure you have a problem before you switch to them.
- The more activities there are at a Place, the more expensive atomic and when tend to get at that Place.
- The issue isn't atomic and when by themselves—they don't take all that much time to do. The issue is the loss of concurrency when you have several activities with many atomic and when blocks trying to run concurrently at the same Place.

atomic and when are excellent tools for getting your concurrent program started. They're easy to use, and they're very general. If your program works fine with them, you win. If it's too slow, switching to other concurrency-control devices is possible.

#### 4.3.4 Putting It All Together: Implementing Queues

An example that expands on our original AtomicCell provides an excellent chance to see the considerations that can arise in deciding whether to use atomic or when—or neither. Instead of a single cell, we assume that we are given a buffer holding some number of items. For the moment, we'll assume that the buffer, once allocated, cannot be enlarged. The way we want to use the buffer is as a "queue". Items arrive at one end and are removed from the other. In other words, "first in, first out."

The usual drill is to add at the end and remove from the beginning of the buffer. Here is some serial code to do the job as simply as possible:

```
public def addLast(t: T) { // add instance of T to queue end
   if (next < buffer.size) {
      buffer(next++) = t;
   }
   else { /* you've got a problem: out of space */ }
}
public def removeFirst(): T { // remove and return first item
   if (next > first) {
      return buffer(first++);
   }
   else { /* you've got a problem: nothing left to remove */}
}
```

We're assuming here that we have a *very*, *very* big array as the buffer, and that, at any moment, the queue occupies the slice in that array from first to next-1, so it has size next-first. We'll worry about the two "problems" in due course.

The naive solution to parallelizing this code is simply to make the body of each method a single atomic statement. Our take on the naive solution is NaiveQueue.x10. The

effect is to serialize the accesses to the buffer. On the surface, it might appear that one could do better, because adding an item does not involve first. The problem is that the two operations share two variables: next and buffer. To see why that causes us grief, let's try to improve addLast by getting rid of the atomic and using an AtomicInteger, rather than an Int for next:

```
public def addLast(t: T) { // add instance of T to queue end
buffer(next.getAndAdd(1)) = t;
}
```

From the *adder*'s point of view, each addition is guaranteed to get a new value for last, and size will be incremented exactly once for each addition, so all is as it should be. Even if an adder is interrupted before executing line 3, it does not matter, in the sense that we don't lose any updates, we may just be slow in acquiring them. As for error handling, if you try to add an item to a full buffer, the X10 runtime will see the out-of-range array index and abort the call—see §3.4 for an explanation of what happens then. This is crude, but effective, since you expect overflow to happen rarely, if at all.

This all may look good from an adder's point of view, but a remover is not apt to be so happy.

Suppose that an adder gets interrupted before its store of t into buffer completes in line 2, but after the call to getAndAdd. Let nt be the index where t is eventually to be stored in the buffer array.

Now, along comes a remover. It will see next.get() > first, thanks to the completed call to getAndAdd. But, if it has the bad luck that first == nt now (which it easily could: this could be the first addition to the queue, followed by the first removal), the remover will return buffer(nt), even though t has not yet been stored there. The caller will therefore get whatever garbage value we used to initialize buffer's entries.

This suggests a way to get the remover back into the game: block on getting garbage until the store of the real thing has completed:

```
public def removeFirst():T { // remove and return first item
    val firstNow = first.getAndAdd(1); // reserve our slot
    when(buffer(firstNow) != GARBAGE) {
        return buffer(firstNow);
    }
}
```

This is fine, so long as you *know* that eventually enough elements will be added to unblock the removing activity. As for GARBAGE, if T is a class, null will usually fill the bill. For structs, you have to make the call.

We are not quite done yet. Using when has created a pit into which we can fall if we are not careful. Suppose that we have two activities A and B. Suppose that both of them add and remove items from the queue. Consider the following scenario:

A needs an item off the queue, so calls removeFirst. The queue is empty, so it is suspended at the when until the queue end reaches the slot it is trying to read.

There is still hope for A, because B is still active. But suppose we have some bad luck and B also needs an item off the queue before it has any items to put on it. B calls removeFirst and now both activities are blocked: a classic deadlock.

This is not an unrealistic situation: suppose that A and B are servers that have incoming streams of job requests. So long as each can handle the incoming jobs, no queuing is done. But if either server gets too busy, it pushes jobs it can't handle off onto the queue. Later, if one becomes idle, it can go to the queue to ask for work to. Two producers, both also consumers.

Bottom line: we violated one of our two governing principles: we've allowed ourselves to create a when that, potentially, can block *all* of the activities that affect the variables it tests.

Here the cure is simple: no producer can consume from the queue it is feeding.

There is more to be gained by looking at this problem carefully. In the code samples for this chapter, we'ver provided several different implementations and a driver to exercise them.

## 4.4 Asynchronous Error Recovery

We've been pretty blasé so far about what happens when things go wrong in one of our activities and we need to bail out: to throw an exception. We covered the basics of exceptions in serial code in §3.4. The time has come to face up to handling exceptions in distributed code.

Distributed computing and multi-threading add a level of complexity to the problem of dealing with exceptions. On the one hand, there is a natural parent/child relationship between an activity and those activities that it spawns. On the other hand, the parent activity normally continues in parallel with its children, and such a parent cannot serve to catch any exceptions thrown by its children. Let's look at an example:

Suppose that our program requires data from a number of sources: data-bases, perhaps, or the Web, or even just plain files. The list of inputs is in an array sources: Array[String](1). The entries might by URLs, SQL queries, or file paths, or even a mixture of all three. Let's make life easy and assume that the data from each of these sources can be captured as an instance of the class Stuff. The key loop, guarded against trouble, could look like

```
try {
```

When an exception is thrown in an activity that is not handled by one of members of that activity's call chain, X10 terminates the activity. The question is: what happens then? There may be more than one activity with the same parent alive. Several of them might throw exceptions, and if so, the problems almost certainly will occur at different times. One of two bad things might happen, both of which the X10's runtime, as we shall see, uses the finish construct to avoid:

**Ignorance is bliss:** The runtime simply terminates the failed asyncs and stuff(n), for those n's, winds up with whatever garbage in it that was there before the attempt to read the source. The exceptions are effectively ignored, their information lost.

A race to the bottom: Once the async is terminated, the exception is immediately fed back to its parent, the spawning activity, which does...something. But what, exactly? If the parent had continued alongside the asyncs, as it would if it is programmed as shown above, who knows where control would be in the parent when the exception was thrown? Even assuming that we did somehow manage to handle the first exception, what do we do if a second or third exception is thrown? Just ignore them? Interrupt the parent again? It looks like a classic race situation: what happens depends on who gets where when.

How does finish help? Let's stick a finish in front of the for and see what happens:

Once control enters the for loop, all of the asyncs will be spawned and control will reach the end of the finish that starts at line 2 and ends at line 7. At that point, this activity will request the X10 run-time's process scheduler to block it until all the asyncs are done. If they all completed normally, no problem: the scheduler will restart

the activity at the statement following the catch clause at line 9. In other words, execution leaves the try in exactly the same way it does for *serial* code that has to catch exceptions.

Suppose, though, that one (or more!) of the asyncs is terminated because an exception was thrown, but not caught inside the async. As part of cleaning up after now dead async, the X10 scheduler will save that exception information somewhere. Later, when the last of the for loop's asyncs is done, the scheduler will see the saved exceptions, and instead of returning control normally, it will execute code that appears to the activity being resumed to be an exception thrown inside the finish. This exception contains all the information the main activity needs to see what went wrong in its asyncs. The try statement in line 1 that surrounds the finish will see the exception, just as it would see any exception thrown in serial code that it surrounds. The try statement will thus give control to the catch block on line 9, which says that it is willing to handle *any* sort of Exception.

For a working example, take a look at TwoFiles.x10. You'll see that what the scheduler throws is an instance of x10.lang.MultipleExceptions. It has as instance data an array containing all of the exceptions that the process scheduler has saved. That is how the catch can figure out what actually happened, but not necessarily to whom it happened: which async failed may have to be encoded in its exception's message.

Suppose an activity blows up, but it's immediate parent is not blocked inside a finish. How does X10 handle that? Because the parent is in no position to deal with the problem, the parent also has to be aborted. The upshot is that the scheduler tries the activity's grandparent, great-grandparent, and so on, until it finds an activity B that is blocked in a finish. The scheduler will give B the opportunity to cope by waking it up (so rudely!) with a thrown exception, as we described above. Of course, B may not have a try statement that catches this sort of exception, in which case, B will also be terminated, and the scheduler will continue to work back through B's ancestors, until some activity does handle the exception. In the worst case, the root activity will be reached that started the application by kicking off its main(). X10's startup regime guarantees that that activity is always blocked in a finish, and that it is willing to field all exceptions. So, as the saying goes, the buck is guaranteed to stop there.

This is a good point to reiterate that the activities spawned by an activity A can outlive A, with the exception of the root activity that executes main(). At any moment in time, the activity running main() is thus the ultimate ancestor of all of the program's live activities. It is helpful in this regard to keep in mind the distinction between local termination and global termination of an activity. An activity is terminates locally when that activity has finished executing the body of the async that gave it birth. It terminates globally when it has terminated locally, and in addition, all of the activities that it has spawned have also terminated globally.

A good way, therefore, to think about the activities for a progam that are alive at any given moment is that they form a tree, rooted at the main() activity, with two sorts of nodes:

"live" nodes: nodes that are still executing, and

"zombie" nodes: nodes that have terminated locally (*i.e.* have finished their execution), but that still have descendants which are "live".

When a leaf node activity terminates, it is removed from the tree, and when a zombie node no longer has any immediate children, it is removed from the tree.

You may have been saying to yourself that there is another (better?) solution to dealing with exceptions that we forgot: never write an async that doesn't catch its own exceptions. Then we know that all asyncs terminate "normally." In simple situations, like the one in our example, you may be able to operate that way. It just means adding error information as an instance field in Stuff, so that when you go to use some Stuff, you will know that that Stuff is okay. You might well have to add this sort of information for other reasons anyway, in which case the added work is minimal. If, at some point in the code, you are going to want to check whether any of the asyncs failed, though, you still are going to need a finish somewhere inside of which all of these asyncs are being spawned—how else might you know that the store into stuff(n) has completed? So it is not clear that you've saved yourself much by moving the information about whatever problems there are out of the Exception and into the application data. One could even argue that—particularly in large, complex programs—putting the error information in the data may make it easier to overlook errors that need to be handled in a timely way.

That's enough for the moment about concurrency *per se*. We'll look next in more detail at distributing computations—the at statement revisited. Then we'll put everthing together in a chapter on local and distributed arrays.

# 5 There and Back Again: Computing with at

We've seen how an activity may shift execution to another Place temporarily by executing an "at" statement or expression. Such a shift is potentially expensive, because it requires, at a minimum,

a message to the remote Place to kick off the execution there;

as part of that kick-off, copying to the remote Place all data used in the operation;

a message from the remote Place back home when the remote operation is complete; and

if a value was being computed, it must be copied back.

The moral is that at must be used with care to minimize these costs, particularly any unnecessary copying of data. That is one of the two things this chapter is about. The other is the question of how to pass to a remote Place a reference to some object so that the remote Place's computation can modify the object.

## **5.1** Hidden Treasure: Unexpected Copies

The first thing you need to do is to be aware of what *actually* gets copied, as opposed to what you see named explicitly in the body of the at. Of course, there are simple situations where what you see is what you get:

```
val a = 123;
val b = 456;
val c = at(someOtherPlace) a + b;
```

No mysteries here: a and b are Ints, 4 bytes apiece, and those 8 bytes get copied. Things get a little nastier if you have arrays:

```
val bigArray = new Array[Int](HUGE_INT);
// code here to initialize bigArray...
for(p in Place.places()) at (p) doYourSlice(bigArray);
```

Here every Place gets to work with some slice of bigArray. But what gets copied? All of bigArray to each Place: 4 bytes per Int times HUGE\_INT. Here's a simple example you can run to see that we're telling you the truth: 1

```
public class ArrayCopy {
   public static def main(args: Array[String](1)) {
     val a = [1,2,3];
     Console.OUT.println("initial a is "+a);
     at(here.next()) {
        Console.OUT.println("in at, a is "+a);
        a(1) = 4;
        Console.OUT.println("after assignment in at, a is "+a);
    }
    Console.OUT.println("back home, a is "+a);
}
Console.OUT.println("back home, a is "+a);
}
```

<sup>&</sup>lt;sup>1</sup> See file ArrayCopy.x10

# 6 Fancy Types

X10's types are a lot more powerful than we've seen so far. They can do a lot for you that types in Java and C++ can't do, if you want.

Now, most of what types do for you is to prevent mistakes. Here's a mistake:

```
var total : Int = 0;
total += "3";
```

total is an integer. You can't add a String value into it. This is a bit tricky, because you can add a String *to* it: 0+"3" evaluates to the string "03". In some languages, you *can* add a string into an integer if the string contains a number, so adding "3" into total would behave just like adding 3 into total.

A good type system will catch this mistake as early as possible, and tell you about it in as useful a way as possible. For X10, this means that it'll catch the mistake when you try to compile your program – or even sooner, if you're using the programming environment X10DT – and it'll print out a message telling you that the code doesn't fit together right.

The other thing that well-designed types do is try not to bother you too much. This is a bit tricky. The ultimate purpose of types *is* to bother you – to get in your face when you're about to goof up. At the very least, you have to do some work in order to get any value of them. You have to write down some types in your program, enough to tell X10 what you mean, so it can catch when you don't do what you meant. But X10 often lets you leave types off, so they're not *too* much in your face.

# **6.1** Constrained Types

Here's a perfectly innocuous bit of code that looks just fine: 1

```
val a : Array[Int] = [1,2,3];
Console.OUT.println("a(1)=" + a(1));
```

<sup>&</sup>lt;sup>1</sup> See file ArrayRef.x10

But when you go to compile it, you get a warning message:

Generated a dynamic check for the method call.

(Or, if you are using STATIC\_CHECKS, it won't compile at all.)

What's wrong here is that Array[Int] covers arrays of many different ranks – one-dimensional ones like [1,2,3], two-dimensional, ten-dimensional, or whatever you like. When a was declared to have type Array[Int], we told X10 to forget what rank a had.<sup>2</sup>

So, when X10 goes to use a, it doesn't know how many subscripts it takes. Giving it one subscript, in a(1), might be right, or it might be wrong. X10 doesn't know. So, with dynamic checks on, it sticks in a test to find out.

And, since X10 doesn't know, it will do the same thing for a(1,2). That will fail at runtime, since a is one-dimensional.

It would be nice if X10 could handle this sensibly – if X10 could know that a was one-dimensional, that one subscript was right, and two were wrong.

Well, it can do that — that, and a whole lot more. The mechanism it uses is called *constrained types*. Constrained types track things that the compiler knows about your data, like the ranks of arrays, or that particular variables aren't null.

#### **Tracking Nulls**

3

One common problem in programs (in most object-oriented languages) is that some parts of code assume that some objects aren't null, but other parts of code don't know about this assumption and set them to null. This can cause NullPointerExceptions and considerable premature gray hair. For example,

```
val x : Person = lookUp("Kim Geep");
Console.OUT.println("Kim's phone number is " + x.phoneNumber());
```

If Kim isn't in the database, x.phoneNumber() will throw an exception.

If you want to protect yourself against this in X10, you can. Declare x with a type that says "x is a Person, but not null". Using this type obligates you to convince X10 that whatever value you give x is not null. Three good ways to convince X10 are: (1) use a constructor call, since constructors never return null. (2) use a method declared to return a non-null value, though you will have to convince X10 that method is defined

<sup>&</sup>lt;sup>2</sup>This is why you should use val a <: Array[Int]... whenever you can - <: in a val doesn't lose track of this kind of thing.

<sup>&</sup>lt;sup>3</sup> See file NeedsNullCheck.x10

properly. (3) Check the value at runtime, with a type-cast ("as") operation, and make sure it's not null there.<sup>4</sup>

For our sample program, we choose a version of database lookup that is guaranteed never to return null. If lookUpOrCreate(name) doesn't find name in the database, it creates a new record for that name. This approach doesn't make sense for all applications<sup>5</sup>. (In many cases, if some crucial datum really is missing, there's no way to figure it out, and the best thing that can be done is to report the error and ask a human for help. Throwing a NullPointerException is a greatly inferior choice.)

The code that uses non-null Persons is very much like the code that used possibly-null ones: <sup>6</sup>

```
val x : Person{self!=null} = lookUpOrCreate("Kim Geep");
Console.OUT.println("Kim's phone number is " + x.phoneNumber());
```

The code of lookUpOrCreate keeps track of the fact that its values are never null. The database db is a hash-table of non-nullPersons. lookUpOrCreate itself returns a non-null Person. The constructor call at line 6 always produces a non-null value, and X10 knows that, so it works out fine too. <sup>7</sup>

```
static val db = new HashMap[String, Person{self!=null}]();
static def lookUpOrCreate(name:String) : Person{self!=null} = {
   if( db.containsKey(name)) {
      return db.getOrThrow(name) ;
   }
   val p = new Person();
   db.put(name, p);
   return p;
}
```

#### 6.1.1 self, this, and all that

Now, it's time to learn what that self!=null means. You already know != and null, but self is new.

When we're using a type, we can think of it as a test, asking if some thing under consideration is a member of the type or not. So, for the type Int, imagine asking if true is a member (no), or 18 is (yes). With types that come from classes and such, like

<sup>&</sup>lt;sup>4</sup>Method 3, checking values at runtime, is pretty familiar from defensive programming in Java or C++. X10's types, if used this way, have the advantage that you can't *forget* to do the check – if you forget, your program won't compile.

<sup>&</sup>lt;sup>5</sup>Not even for this one – how do we really know the phone number of a newly-created Person?

<sup>&</sup>lt;sup>6</sup> See file HasNullCheck.x10

<sup>&</sup>lt;sup>7</sup> See file HasNullCheck.x10

Int, we don't need to have a name for the thing under consideration. When we write a constraint, we do need a name for it – and that name is a special X10 keyword, self.

So, we can write some other constraints. Like this one, which says that the variable three is an Int which is equal to 3.  $^8$ 

```
val three : Int\{self==3\} = 3;
```

Of course, if you try to set it to something that isn't three, it won't work. This doesn't compile:  $^9$ 

```
val four : Int{self==3} = 4;
```

Not-equals constraints are sometimes useful for excluding a few problem values. For example, if you're defining a reciprocal function, you might want to constrain the input to avoid dividing by zero: 10

```
static def recip(n:Int{self!=0}) = 1.0/n;
```

This isn't a terrible idea, though it does require you to prove to X10 that numbers are non-zero when you take their reciprocals. Here are a few ways that you can do it:  $^{11}$ 

```
static def useRecip(m:Int, n:Int{self!=0}) {
val a = recip(n);
val three : Int{self==3} = 3;
val b = recip(three);
val c = recip(m as Int{self!=0});
}
```

- **Line 2:** Use an argument which has been declared to be the right type. That's an ordinary way to call methods anyhow. Here, the right type is Int{self!=0}. Since n has that type, it's fine.
- **Line 4:** Use an argument which has a *more specific* type. You're used to doing this too, when you have a method that takes a Person as an argument and you give it a subclass, like Dentist.

Here we're doing the same thing, in a slightly different way. The type of three is Int{self==3}. That's a subtype of Int{self!=0}, because every number that is

<sup>&</sup>lt;sup>8</sup> See file ConstraintExamples.x10

<sup>&</sup>lt;sup>9</sup> See file ConstraintExamples.x10

<sup>&</sup>lt;sup>10</sup> See file ConstraintExamples.x10

<sup>&</sup>lt;sup>11</sup> See file ConstraintExamples.x10

three *isn't* zero. The official word for this is *subtyping by strengthening*. self==3 is logically *stronger* than self!=0 — also pronounced "self==3 implies self!=0". A constrained type with a stronger constraint is always a subtype of a type with the same base and a weaker one.

(As usual, we didn't have to write the type on line 3. We just wrote it to make the example clearer.)

Line 5: Use a *cast*. At some point, you're going to have a value like m, which you don't know in advance is not zero, and you're going to have to tell X10 that it's not zero. The way you say "it's not zero", here, is to use a type cast m as Int{self!=0}. This is just the same as any other time you have a value of one type and need it to be another type.

Of course, m might be zero. If m is zero, this cast fails - m as Int{self!=0} first makes sure that m is an integer (which it can do at compile-time), and then that it's not zero (which has to be done at runtime). If m is zero, this throws an exception, the same as any other attempt to cast a value to some type it isn't.

This isn't much of an improvement over getting a division-by-zero error. It might even be worse: the division by zero error is obviously about division by zero, but the cast error is a bit further removed from the problem.

The improvement comes earlier, when you try to write this:

$$val c = recip(m);$$

This is wrong, because m could be zero. X10 will give you a warning or an error here (depending on the STATIC\_CHECKS compiler flag – see  $\S$ ??).

At this point, you have been alerted to the problem. It's your responsibility as an intelligent programmer to figure out the right thing and do it. Testing  $\tt m$  and printing a comprehensible error message if it's zero, while untraditional in some circles, would not be out of the question.

#### self in nested types

If you have a constrained type that looks like  $T\{c\}$ , where T is some type and c some constraint, then self inside c means "the value of type T that we're wondering whether it might be a member of  $T\{c\}$  or not". So, in  $Int\{self!=0\}$ , self is the Int that we're saying had better not be zero.

That's a simple rule. But it has some implications that might be brainhurty. If you have a complicated type, there might be two selfs inside it that mean different things – and are even of different types.

For example, Array[U]{self!=null} means "A non-null array of u's". The array itself isn't null. The u's inside of it might be null, depending on what u is. a:Array[String]{ self!=null} makes a be a non-null array of strings, but a might have a null inside of it.

Similarly, String{self!=null} is the type of strings that aren't null.

So, we can use String{self!=null} for U. Array[String{self!=null}]{self!=null} is a non-null array of non-null strings. The first self refers to the String that isn't null. The second one refers to the Array that isn't null.

It's easy to write an utterly incomprehensible type expression using this. If you find yourself tempted to do so, look at the type declaration ( $\S6.2$ ). A couple of definitions and you can write this:  $^{12}$ 

```
var a : ArrayNotNull[StringNotNull];
```

which is much easier to read.

#### 6.1.2 val variables instead of self

Another way of making constrained types in val declarations easier to read is to use the name of the variable being declared instead of self. So, you can write <sup>13</sup>

```
val n : Int{n != 0} = 3;
```

instead of 14

```
val n : Int{self != 0} = 3;
```

This isn't quite a circular definition. It's saying that n is an Int and n!=0.

There are a few restrictions on this.

- $\bullet$  It only works on vals. vars can never appear in constraints, as we see in  $\S 6.1.4.$
- It only works for the variable being declared. For example, you can declare that the whole array of strings is non-nul1:

```
val a : Array[String]{a!=null};
```

which you might prefer to using self to say the same thing.

```
val a : Array[String]{self!=null};
```

<sup>&</sup>lt;sup>12</sup> See file ConstraintExamples.x10

<sup>&</sup>lt;sup>13</sup> See file ConstraintExamples.x10

<sup>&</sup>lt;sup>14</sup> See file ConstraintExamples.x10

But the entries in the array don't have names, so, to make them be non-null, you have to write

```
val b : Array[String{self!=null}];
```

If you want both the array and each entry to be non-null (which you often do), you can write:

```
val c : Array[String{self!=null}]{a!=null};
```

which at least uses self for only one thing, unlike the equivalent

```
val c : Array[String{self!=null}]{self!=null};
```

### 6.1.3 Guards

Guards are constraint-like expressions that control what can be done with a class or method. We've seen them in §2.3.1.

A guard on a class can be specific about the generic parameters. For example, if we've got an interface Flavored:  $^{15}$ 

```
interface Flavored {
  def flavor():Int;
}
```

We can demand that a generic type variable implement Flavored: 16

```
class Tasting[X] {X <: Flavored} {
def taste(x:X) = x.flavor();
}
</pre>
```

The clause  $\{X <: Flavored\}$  is a guard.

A method can have a guard too. For example, consider a method to find the biggest element in an array of Ints. What's it supposed to do if the array is empty and has no biggest element? One way to deal with the problem is to forbid it entirely, and to require that the array not be empty. It could be done with a guard, like this: <sup>17</sup>

<sup>&</sup>lt;sup>15</sup> See file ConstraintExamples.x10

<sup>&</sup>lt;sup>16</sup> See file ConstraintExamples.x10

<sup>&</sup>lt;sup>17</sup> See file ConstraintExamples.x10

```
def max(a:Array[Int]){a.size != 0} {
```

The guard {a.size!=0} insists that the array not be empty. It could just as well be phrased as a constrained type: <sup>18</sup>

```
def min(a:Array[Int]{a.size!=0}) {
```

Use whichever way you like more.

# **6.1.4** Legal Constraints

It would be very nice if you could write any sort of Boolean expression in your constraints. In fact, it would be way, way *too* nice. You could write constraints which no computer program could understand.

**Fine Point:** Basic theory of computability says that there is no computer program that (1) always halts, and (2) can accept any nullary Boolean function f as input, and tell whether f()==true. (Remember that f() might run forever, but the tester would have to halt in finite time, so it can't just run f() and see what happens. It has to look at the code of f, and any computability theory textbook will explain why that cannot work.)

So, if we allowed expressions liike f() in constraints, X10 would have some trouble. Does this compile?

```
val a : Int{f()} = 1;
```

Well, if f()==true, it does compile, and otherwise it doesn't. So the X10 compiler would have to solve that unsolvable problem in order to do basic type checking.

So, to keep type-checking deciable — and fast — only a scant handful of things are allowed in constraints.

#### 6.1.5 Constraints can be...

**Equalities:** As we've seen, we can have equalities in constraints, like Int{self==3}.

**Non-Equalities:** As we've seen, we can say that two things have to be different, like String{self!=**null**}. (We can't use other kinds of inequalities, like Int{self > 0}.)

**Type Equalities and Non-Equalities:** We can require that two types either be the asme (T==U) or be different (T!=U), too. These aren't used very often.

<sup>&</sup>lt;sup>18</sup> See file ConstraintExamples.x10

**Subtyping:** We can require that one type  $\tau$  be a subtype of another type  $\upsilon$ . This *is* useful, especially for the guard of a class. We've seen it used already, back in §2.3.1 and §6.1.3.

**Property Method Invocations:** "Property methods" (§??) are very limited sorts of methods – they can say just the sorts of things that belong in constraints.

**Conjuctions:** You can put several constraints together, but only with &&. See §6.1.5 for details.

**Zero Test:** You can test to see if a type has a default value, with T haszero. See §?? for some examples.

true and false: A true constraint is always true; a false constraint never is.

#### **Expressions Allowed In Constraints**

When you compare expressions for equality, or invoke property methods, you re restricted to only a few sorts of expressions. You can write n==0, but not n==m+1.

Constants: 3 and "fish" and null and so on.

vals: You can use val variables. Not vars, which change too much for X10's constraints to understand.

this: If the constraint is at a point in the program where this makes sense, like in a method, you can use this in a constraint.

here: The same goes for here.

self: If you are constraining a *type*, you can use self. If you are writing a guard, there's no self to be found.

**Properties of self:** If p is a property field of self, then you can write self.p in a constraint. Just p alone can mean self.p, if that is the only choice.

val fields of anything else: You can extract val fields of anything else.

**Property Method Invocations:** You can use a property method in a constraint expression, if the property method's actual expansion works there too.

### Having your cake and eating it too

If you want n to be neither zero nor one, you can write it in one of three ways.

- val n: Int{ n!=0, n!=1 }, using a comma to separate multiple constraints. Commas don't mean "and" anywhere but constraints.
- val n: Int{ n!=0 && n!=1 }, using the regular && operator to mean "and".

• val n: Int $\{ n!=0 \} \{ n!=1 \}$ , putting one constraint on top of another.

We usually use commas, but it doesn't matter.

(You can't say "or" or "not" in constraints at all. x||y and |x| are perfectly good anywhere else, but they're not allowed in constraints.)

#### **Unrelated Constraints**

There's no requirement that the constraint involves self, or that a constraint on a variable v has anything to do with v. <sup>19</sup>

```
val a = 1;
val b = 2;
val v : Int{a!=b} = 3;
//ERROR: val d : Int{a==b} = 4;
```

The constraint on line 3 says that v is an integer such that the vals a and b are different. Well, looking at the code, a and b are indeed different. So the constraint a!=b is always true, no matter what Int value v has. In other words, v can be any Int value.

On the other claw, if the constraint isn't true, then no value works. You can't even use the type  $Int{a==b}$  on line 4 — or the type  $Int{false}$  anywhere. No matter what value you assign to d, it won't make a==b, and won't make false be true.

These unrelated constraints are a way of enforcing that certain facts are true at *compile time*. assert statements, like

```
assert a != b;
```

can check that a and b are different at *runtime*. But if you need to know that they're different at *compile time*, you'll need to put the fact into a constraint.

(Why might you need to know that? Some other constraint might use it. If you have a method recipDiff(x,y)=1.0/(x-y), and you're calling recipDiff(a,b), you might need to persuade X10 that a!=b.)

### **6.1.6** Using Properties

The *properties* of a class or struct are the values that X10 keeps the closest track of at compile time. They can be used in constraints on a class. Let's do a concrete example: points and triangles in plane geometry. We'll call the points Pt to keep them from getting confused with x10.array.Point. Here it is: <sup>20</sup>

<sup>&</sup>lt;sup>19</sup> See file ConstraintExamples.x10

<sup>&</sup>lt;sup>20</sup> See file Geometry.x10

```
struct Pt(x:Double, y:Double) {
   public operator this - (that:Pt) = Pt(this.x-that.x, this.y-that.y);
   public def len() = Math.sqrt(x*x-y*y);
   }
}
```

- line 1: x and y are properties of Pt. (B: Do we discuss this elsewhere? :B) Making them properties like this, and not giving any other constructor, means that X10 will automatically give us the obvious constructor Pt(xx,yy).
- **line 2:** This line defines a binary operator of subtraction on Pts. We're treating them as two-dimensional vectors. This isn't essential, but it does make using them very slick.
- **line 3:** This is a perfectly ordinary method, giving the length of a vector.

The code for triangles will use a constraint to ensure that all triangles are non-degenerate — that they all have three distinct points. <sup>21</sup>

```
struct Triangle(p:Pt, q:Pt, r:Pt) {p != q, p != r, q != r} {
   public def area() {
      val a = (p-q).len();
      val b = (q-r).len();
      val c = (r-p).len();
      val s = (a + b + c)/2;
      val area = Math.sqrt(s * (s-a) * (s-b) * (s-c) );
      return area;
   }
}
```

Triangles have three Pts, which we're phrasing as properties. The Triangle class has a guard on line 1, saying that p, q, and r are all different. (Obviously this approach is going to get pretty troublesome by the time we get to heptagons, where we'd have to write 7 variables and 28 non-equalities, and won't work at all if we're trying to use arbitrary n-gons. But it's a very slick approach when it does work.)

**Fine Point:** We rather sneakily made Pt a struct rather than a class. This matters because == means different things for structs and objects. For structs, p==q is true if p.x==q.x and p.y==q.y. For classes, p==q is true if p and q are the same object. If Pt had been an object, we could have a Triangle with three corners each made by a call to new Pt(0,0) - three Pts in the same place, but different objects.

<sup>&</sup>lt;sup>21</sup> See file Geometry.x10

#### **6.1.7** Nulls and Constraints

Sometimes you want to forbid null values in your object types. You can do this with the constraint {self!= null}.

If you don't have that constraint on an object type, though,  $\operatorname{null}$  is allowed. And that goes even if you do have other constraints - any other constraints. The point of this is that it's useful to have  $\operatorname{null}$  as a default value for object types, so you get to have it unless you specifically say you don't.

So, the following program is fine: <sup>22</sup>

```
class Trunk(length:int){}
class Elephant(trunk:Trunk){}
def example() {
  val dumbo: Elephant{self.trunk.length == 3} = null;
  assert dumbo == null;
  val jumbo: Elephant{self.trunk.length ==11} = null;
}
```

This makes for some surprises about constraints. At least, they're surprising if you are under the mistaken impression that =='s in constraints mean the same thing they mean everywhere else — they don't! The difference is that, in a constraint, a.f==b and a.f!=b both mean true if a==null. Outside of a constraint, it means "null pointer exception", neither true nor false.

One way you could get confused, if you don't keep this special meaning in mind, is that dumbo: Elephant{self.trunk.length == 3} does **not** mean that dumbo.trunk.length is three! It means, "**If** dumbo!=**null** and dumbo.trunk!=**null**, **then** dumbo.trunk.length is three." Or, to phrase it another way, "If dumbo.trunk.length means anything at all, what it means is three."

Another way to get confused is to notice that that dumbo and jumbo have types that look like they couldn't possibly have any values in common. One is for short-trunked elephants, the other for long-trunked ones, and there's no way that the same elephant's trunk could be both three and eleven feet long.

Well, of course there's no such elephant. null isn't an Elephant. It's a non-value that can be used in place of an Elephant. And null.trunk.length isn't three, or eleven, or any other number – it's an error.

All you need to remember about this is three things:

- null is allowed in constrained object types, unless you specifically say it's not.
- Constraints on fields get fudged so that they're true for null, and don't throw null
  pointer exceptions.

<sup>&</sup>lt;sup>22</sup> See file ConstraintsAndNulls.x10

• You need to check for nulls when you use constrained object types – the same way you need to when you use ordinary object types. (Unless you constrained them to never be null.)

### 6.1.8 Constraints and Subtyping

Constrained types are types. So, sometimes, they are subtypes and supertypes of other types.

As always, T <: U if every element of T is also an element of U. That's all you need to remember to figure out subtypes for constrained types.

Is  $Int{self==3}$  <: Int? Well, if n is an  $Int{self==3}$ , then n is an Int which is equal to three. (null isn't an Int, so we don't need to worry about that special case.) An Int which is equal to three is an Int: being three doesn't stop it from being one. So, yes,  $Int{self==3}$  <: Int.

How about Int{self!=3} <: Int? The elements of Int{self!=3} are all the Ints except for three – which means they're all Ints. So, yes, Int{self!=3} <: Int.

In fact,  $Int\{c\}$  <: Int for *any* constraint c. The elements of  $Int\{c\}$  are Ints which satisfy c, so they're Ints, so they're elements of Int.

Some other examples:

- Int{self!=1, self!=2} <: Int{self!=1}.
- Int{self==3} <: Int{self!=4}. Three is one of the numbers which isn't four.
- Int{self==3} is *not* a subtype of Long{self!=3} nor of Long for that matter. Ints and Longs are different kinds of numbers. Sure, Ints represent the same numbers as some Longs but they're not the same X10 values!
- Adding more constraints makes a subtype.  $T\{c,d\} <: T\{c\}$ . In fact, if T <: U, then  $T\{c\} <: U$  too.

#### 6.1.9 STATIC\_CHECKS

X10 can seem a bit fussy about type checking at times. For example, when you subscript an Array[Int], X10 will complain if it doesn't know that the number of subscripts is right. That's a perfectly sensible thing for a compiler to complain about — you'd be pretty unhappy if your program quietly did something insane because an array was subscripted wrong. Still, X10's complaints can get annoying, especially if you know you're right.<sup>23</sup>

So, code like this is vulnerable: <sup>24</sup>

<sup>&</sup>lt;sup>23</sup>How often are you actually right when you know you're right? How often do you *stay* right when someone else who doesn't understand comes in two years later and changes the program around?

<sup>&</sup>lt;sup>24</sup> See file ArrayInc1.x10

```
def fiddle(a:Array[Int]) {
    a(2) += 1;
}
```

The array a might have one subscript (and the code will work right – if 2 is a legitimate subscript for it, anyhow), or it might have several (and the code will be wrong).

You've got a choice of what to do. The -STATIC\_CHECKS compiler flag controls them - so you get to decide how you want each file compiled.

What X10 and good software engineering practice want you to do is to explain that a has to be a one-dimensional array, like this: <sup>25</sup>

```
def fiddle_A(a:Array[Int]{a.rank==1}) {
    a(2) += 1;
}
```

This is a bit wordy, so you can abbreviate it like this. See  $\S$ ?? for how to arrange this kind of abbreviation in general. <sup>26</sup>

```
def fiddle_B(a:Array[Int](1)) {
    a(2) += 1;
}
```

If you want to be sure you're following good practices, use the <code>-STATIC\_CHECKS</code> compiler flag. It will mark the original <code>fiddle</code> method as a compiler error.

The error message looks like this:

```
fancy-types/ArrayInc1.x10:4: Cannot assign expression to array element of given type.
    Expression: 1
    Type: x10.lang.Int{self==1}
    Array element: a(2)
    Type: x10.lang.Int
    Cause: Call invalid; calling environment does not entail the method guard.
    arg types:[x10.lang.Int{self==2}]
    query residue: {a.rank==1}
```

The Cause: line is your best clue. It says that the ambient information (the "calling environment") isn't enough to tell ("entail") that the guard on the method call a(2) is true. (Array subscripting *is* a method call; see §?? for why.)

<sup>&</sup>lt;sup>25</sup> See file ArrayInc1.x10

<sup>&</sup>lt;sup>26</sup> See file ArrayInc1.x10

The arg types: line says what X10 knows about the arguments. The argument 2 is a thing of type Int{self==2}. Hopefully this does not come as a surprise. This information isn't directly helpful, but it will keep you oriented.

The query residue line explains what X10 needs to know that it doesn't know. In this case, it needs a.rank==1.

So, somewhere around there, you need to add the constraint that a.rank==1.<sup>27</sup> This will lead you to write fiddle\_A or fiddle\_B.

#### **Dynamic Checks**

But if you don't want to do that, for some reason, you can still write the original fiddle.

By default, -STATIC\_CHECKS=false. X10 will create *dynamic checks* whenever it realizes that some constraints are missing but satisfiable.<sup>28</sup> It will cast things to the types they need to be. In this case, a needs to be rank-1. So the code behaves like this: <sup>29</sup>

There are two upsides:

- 1. This compiles, and, if fiddle is always called with a one-dimensional array, it always runs right.
- 2. It's quicker to write if you don't bother with the details of the types. This can be important if you're tossing together a program in a hurry, especially one that will only be used once or twice.

There are two downsides:

- 1. You're paying the performance cost for the dynamic checks. Every time fiddle is called, X10 has to make sure at runtime that a is the right size. It wouldn't have to do this if the check were done at compile time.
- 2. If, say, some other programmer doesn't read the requirements on fiddle carefully, and stupidly passes a fourteen-dimensional array to it, it will fail at runtime with an error message, like this:

 $<sup>^{27}</sup>$ Or at least, you need to add a constraint strong enough to prove it. You could add *more* information.

<sup>&</sup>lt;sup>28</sup>"satisfiable" means that it's *possible* that the constraints are right. If X10 knows that constraints are unsatisfiable – that they couldn't possibly be right – X10 will mercilessly refuse to compile your program. But if the constraints *might* be right, you have more leeway.

<sup>&</sup>lt;sup>29</sup> See file ArrayInc1.x10

```
x10.lang.FailedDynamicCheckException: x10.array.Array[x10.lang.Int]{self.rank==1}
at ArrayInc1.$closure_apply820_0_$x10$lang$Int_$(ArrayInc1.java:239)
at ArrayInc1.fiddle_dynamic_0_$_x10$lang$Int_$(ArrayInc1.java:37)
at ArrayInc1.main(ArrayInc1.java:94)
at ArrayInc1$$Main.runtimeCallback(ArrayInc1.java:82)
at x10.runtime.impl.java.Runtime$$Closure$Main.$apply(Runtime.java:118)
at x10.lang.Runtime$$Closure$141.$apply(Runtime.java:3676)
at x10.lang.Activity.run(Activity.java:410)
at x10.lang.Runtime$Worker.loop$0(Runtime.java:1767)
at x10.lang.Runtime$Worker.$apply(Runtime.java:1714)
at x10.lang.Runtime$Pool.$apply(Runtime.java:2068)
at x10.lang.Runtime.start(Runtime.java:2519)
at x10.runtime.impl.java.Runtime.$apply(Runtime.java:162)
at x10.runtime.impl.java.Thread.run(Thread.java:64)
```

Sometimes, this sort of error message is a bit hard to figure out. If you look around in your program, you won't find the dynamic check that X10 claims is failing. There's a good reason – X10 generated the dynamic check itself, so it's not actually visible in your code.

These disadvantages are serious enough so that X10 won't just silently insert those dynamic checks. It will *noisily* insert them. It prints compile-time warnings, like this:

```
x10c: 3 dynamically checked calls or field accesses,
run with -VERBOSE_CHECKS for more details.
```

And, if you do so, you see messages like:

% fancy-types/ArrayInc1.x10:4: Generated a dynamic check for the method call.

So you can be as aware of just what X10 is doing on your behalf as you want to be.

### **6.1.10** Incompleteness

X10 knows a lot when it works with constraints, but there are a few things it doesn't know. If you run into one of these, you'll get a situation where (in a perfect world) your program ought to type-check correctly, but (in X10's approximation of perfect) it doesn't. These limitations won't make your program run wrong – they won't let it run at all.

The constraints are pretty obscure. You probably won't run into them, and, if you do, there's generally something simple to do about it.

The first limitation is that X10 doesn't understand very much about any particular type. For example, there are only two Boolean values, **true** and **false**. X10 doesn't know that. So, if you write a constraint like {a!=b, b!=c, a!=c}, where a, b, and c are Booleans, *you* 

know that the constraint is false. There aren't three different Booleans, so two of a, b, and c have to be the same. X10's constraint solver, unaware of this point about Booleans, can't tell that this constraint is false. If, somehow, you arrange for this to be a problem for you, use **false** instead of {a!=b, b!=c, a!=c}.

The second limitation is that X10's constraint solver doesn't know that  $\{x.a==1, x.a==2\}$  (or  $\{x.a==1, x.a!=1\}$ ) implies x==nul1. If you ever have written something like one of the first two forms, write x==nul1 instead. It's much easier to understand, for you and for X10.

### **6.1.11** Why Generics Lose Constraints At Runtime

(B: do this :B)

# **6.2** Type Declarations

X10 types can carry a great deal of information around with them, like the non-null array of non-null strings we saw in §6.1.1. This means that X10 types can get ridiculously long – a type carrying a lot of information has to write a lot of information down.

Long type expressions are hard to write, and hard to read. This isn't just a matter of convenience – though your convenience *does* matter. It's hard to get a program right if the types it uses take several lines to write down. Here's a scrap of a program which manipulates one-dimensional non-null arrays of two-dimensional non-null arrays of non-null strings. We don't even know who to format that type readably: <sup>30</sup>

```
static def first(a : Array[Array[
String{self!=null}]{rank==2,self!=null}]
{self!=null,rank==1})
String{self!=null} = a(0)(0,0);
```

So, X10 allows you to abbreviate types, with the type statement. A local val declaration lets you write down a long *computation* and give its value a name. A type declaration does the same thing, only with a type rather than a value. Here's one way to write the same code with one typedef: <sup>31</sup>

```
public static type Firstable = Array[Array[
    String{self!=null}]{rank==2,self!=null}]
{ self!=null,rank==1};
static def first(a : Firstable) = a(0)(0,0);
```

<sup>30</sup> See file TypeDefless.x10

<sup>&</sup>lt;sup>31</sup> See file TypeDef1.x10

That helps a little. The method call is down to something short, which is good. If there were a dozen other methods in the program working with Firstables, they all would be down to something short. And if you were trying to read this program, you could tell at a glance that all the Firstables were the same type, which wouldn't have been at all clear if the types were written out in full.

But we can make matters clearer. type definitions can have generic arguments and value parameters, much the same way that methods can. So we can do this: <sup>32</sup>

```
static def first(a : Firstable) : StringNN = a(0)(0,0);

static type Nonnull[T]{T <: Object} = T{self!=null};

static type StringNN = Nonnull[String];

static type ArrayNN[T](n:Int) = Array[T]{self!=null, rank==n};

static type ArrayNN1[T] = ArrayNN[T](1);

static type ArrayNN2[T] = ArrayNN[T](2);

static type Firstable = ArrayNN1[ArrayNN2[StringNN]];</pre>
```

- **line 3:** Nonnull[T] is a variant of T, restricted to be not null. The [T] part is a generic parameter, just like on a class or method. The {T <: Object} is a guard, just like on a method. Only objects can possibly be null, so we should only be applying Nonnull to object that is, to types T which are subtypes of Object.
- **line 4:** This defines StringNN as a non-null string. This is probably a useful type for this program, which seems to want to work a lot with non-null strings. We used it on line 1, as the return type of first, as well as in the definition of Firstable on line 8.
- **line 5:** ArrayNN[T](n) is an n-dimensional, non-null array of T's. The [T] is a generic parameter, as usual. The (n:Int) is an *integer* parameter. On the right-hand side, we use n in the constraint on the rank of the array.
- **line 6:** Using ArrayNN[T](n), we'll define the kinds of array we actually use. We could have skipped this step, writing ArrayNN[ArrayNN[StringNN](2)](1) for line 8. Providing the extra abstraction seemed a bit nicer, albeit wordier. It doesn't take too many nested brackets and parentheses to make it hard to tell which (2) goes with which ArrayNN.
- **line 8:** The definition of Firstable, using the constructs we've introduced, is about as clear as it could be.

While Nonnull isn't part of the stardard X10 library, there is a standard type for Array:

```
public type Array[T](r:Int) = Array[T]{self.rank==r};
```

<sup>&</sup>lt;sup>32</sup> See file TypeDeffed.x10

So, Array[Int](3) is a three-dimensional array of Ints, and so on. Some related types do the same thing:

```
public type Point(r: Int) = Point{self.rank==r};
```

For example, here's a method which swaps two elements of an array. It works for arrays of any size and element type — but the Points telling which elements to swap have to have the same dimension as the array. <sup>33</sup>

```
static def swap[T](a:Array[T], p:Point(a.rank), q:Point(a.rank)) {
    val tmp = a(p);
    a(p) = a(q);
    a(q) = tmp;
}
```

**True Confession:** If you're working with a complicated structure like the Firstable in this section, you might be better off encapsulating it as a class or a struct, and defining a clear interface for it, rather than keeping it as one devastating type expression. Even breaking the type into a series of clean abstractions with type definitions is often an inferior choice. Elaborate types are likely to need to be changed as the program evolves, and all the code using them is going to have to change as well. A class or struct can encapsulate the changeable bits inside a stable and readable inteface.

# **6.3** Type Inference

As part of its ongoing program to make types less obnoxious to use, X10 lets you skip writing types in some common places, and does its best to figure out what you meant.

### **6.3.1** Type Inference in val

We've used type inference in vals in nearly every program. X10 can figure out the type of any expression. So, when you want to have a typical val statement<sup>34</sup> that evaluates an expression and gives it a name, you don't have to explain what type you want. X10 will use the type of the expression.

The type of 1 is  $Int{self==1}$ . So, the statement

```
val x = 1;
```

<sup>&</sup>lt;sup>33</sup> See file ArraySwap.x10

<sup>&</sup>lt;sup>34</sup>The atypical val is one with delayed initialization; see §??(**B: write this section :B**).

is the same as

```
val x : Int{self==1} = 1;
```

It is *not* the same as

```
val x : Int = 1;
```

which leaves out the constraint on the type.

Generally you'll want to keep all the constraints on types. If we try to write this:

```
// This doesn't work

val a : Array[Int] = [1,2,3];
a(0) = 0;
```

we'll get a compiler warning or error message. The initial value [1,2,3] is a one-dimensional array. But the type Array[Int] has lost track of the dimensionality. So, the attempt to subscript it with one index, a(0), is a problem.

We could be more precise with the type, like this: 35

```
val a : Array[Int](1) = [1,2,3];
a(0) = 0;
```

This actually loses some other information about the array that X10's type keeps track of – that it is rectangular, and indices start at 0. In most cases it's a bad idea to specify exact types with val.

It's often a kindness for people and compilers reading your program to give *some* type information. Explaining that you wanted a to be some kind of Array[Int] can be quite helpful. It can catch some errors – if we had somehow typed "[1,2,3]", X10 would say that we've got a string rather than any sort of Array[Int].

So X10 allows you to give *partial* type information in a val declaration: <sup>36</sup>

```
val a <: Array[Int] = [1,2,3];
a(0) = 0;</pre>
```

X10 will make sure that whatever you have told it is right. In 1, it will confirm that a is indeed an Array[Int]. But, unlike the previous example with:, it won't forget everything else it knows. It will keep the full inferred type for a.

If you want to specify types for vals, it's usually best to use <: rather than :.

<sup>&</sup>lt;sup>35</sup> See file InferredSubscript.x10

<sup>&</sup>lt;sup>36</sup> See file InferredSubscript.x10

# 6.3.2 Type Inference in def

You can specify the type of a method if you want, like this: <sup>37</sup>

```
def specify():Int = 1;
```

But you usually don't bother, like this: 38

```
def infer() = 2;
```

# **6.3.3** Type Inference in Arrays and Conditionals

We've mostly seen array and conditional expressions like [1,2,3] and x>y? 0:1, where the results have similar types. (Even in these cases, the results don't have the *same* types.) But X10 allows arrays with mixed-up element types, like this:  $^{39}$ 

```
val a = [1, true, "yes"];
```

In fact, there's nothing unusual for X10 going on here. Every type in X10, including the Int, Boolean, and String represented here, is a subtype of Any. This array simply has type Array[Any].

In fact, there is *nothing* special going on here. Whenever X10 tries to infer one type out of several, it computes a type that's broad enough to cover each of the types. This happens in several places:

- Array expressions, like [1,2,3] and [1, true, "yes";
- Conditional expressions, like x>y?0:1
- Multiple returns from a method, like

```
def choice(x:Int) {
  if (x>0) return 1; else return "hello?";
}
```

<sup>&</sup>lt;sup>37</sup> See file InferredMethod.x10

<sup>&</sup>lt;sup>38</sup> See file InferredMethod.x10

<sup>&</sup>lt;sup>39</sup> See file InferredCUB.x10

<sup>&</sup>lt;sup>40</sup>Or, more precisely, Array[Any] with some constraints.

In all these situations, X10 finds a type, called the *computed upper bound*, which is general enough to include all of the types in question, and, hopefully, not too much more general. If it can't do any better than picking Any, that's what it will do – and Any is always a possibility. But, if all the elements are integers, it'll use Int or some constrained version of it.

For ["string", ["array"]], X10 infers that the type Array[Object]. Both "string" and ["array"] are Objects. X10 could actually find a more precise type — both of them are Object{self!=null}s. X10 picks the simpler type, hoping that it is more likely to be what was intended.

Similarly, for [1,2,3], X10 picks Int. There are more accurate choices available. All three numbers are Int{self!=4}, for example. They're also Int{self!=4,self!=5}, and so on. In fact, there is no *best* choice in the X10 type system<sup>41</sup> X10's choice of Int is about as sensible as anything could be.

# **6.3.4** Type Inference in Calls to Generic Methods

X10 can infer type parameters, too. This can save a lot of typing and clutter. Start with a Pair class, like this:  $^{42}$ 

```
static struct Pair[X,Y]{
public val x:X;
public val y:Y;
public def this(x:X, y:Y) {
this.x = x; this.y = y;
}
static def useIntStr(p:Pair[Int, String]){}
```

It can get tiresome to write the types whenever we make a new Pair: 43

```
val p = Pair[Int, String](2, "long");
useIntStr(p);
```

X10 can perfectly well figure out the types by itself, like this: 44

```
static def useItOK(i:Int, s:String) {
val p = Pair(i+1, s + "!");
useIntStr(p);
```

 $<sup>^{41}</sup>$ Unless one is willing to write all  $2^{32}-3$  values that these three numbers are not, which will probably crash the compiler.

<sup>&</sup>lt;sup>42</sup> See file GenericInference.x10

<sup>&</sup>lt;sup>43</sup> See file GenericInference.x10

<sup>44</sup> See file GenericInference.x10

4 }

In this example, the generic types x and Y were the types of the arguments, and X10 could just use the argument types. The situation doesn't need to be that simple. The actual type could be buried several levels down; X10 will happily excavate to find it. In the following example, the rip method has to strip off the Array type and break apart the => type to find out what x and Y ought to be:  $^{45}$ 

```
static def rip[X,Y](a: Array[(Y)=>X]) = "deep";
static def useRip(i:Int){

type myX = Byte;
type myY = Long;
val a : Array[(myY)=>myX] = null;
val r = rip(a);
}
```

This kind of type inference works pretty well for methods. It doesn't work nearly as well for structs and classes. It's quite easy to trip up and give Pair arguments whose types aren't just Int and String, like this: 46

```
static def useItFail(){
val p = Pair(2, "short");
// ERROR -- useIntStr(p);
}
```

2's type is Int{self==2}, and similarly for "short", so p gets type Pair[Int{self==2}, String{self=="short"}]. This can't be passed to useIntStr. As usual when this happens, you need to use explicit types: <sup>47</sup>

```
static def useItFail(){
val p = Pair[Int, String](2, "short");
useIntStr(p);
}
```

#### **6.3.5** When Inference Fails

X10's type inference is not perfect. Once in a while, it will infer the wrong type for something. When it does, there's a simple solution: write down the correct type.

<sup>45</sup> See file GenericInference.x10

<sup>&</sup>lt;sup>46</sup> See file GenericInference.x10

<sup>&</sup>lt;sup>47</sup> See file GenericInference.x10

The most common case where type inference is allowed and does the wrong thing is defining a method to override. You might be tempted to write this:

```
class Super {
  def superstitious() = true;
}
class Sub extends Super {
  def superstitious() = false;
}
```

Super's definition of superstitious gives it the best type it can. That's the type of the expression false, which is Boolean{self==false}. Sub's definition of superstitious doesn't match this type, since true isn't a member of Boolean{self==false}.

So you need to write it like this, with :Boolean in line 2: 48

```
class Super {
def superstitious() : Boolean = true;
}
class Sub extends Super {
def superstitious() = false;
}
```

Another occasional failure of inference is array expressions, like [1]. The type of 1 is Int{self==1}, so the type of [1] is approximately Array[Int{self==1}](1) — a one-dimensional array of 1's. Which makes this program fail to compile:

```
static def use(a:Array[Int](1)) = a(0);
public static def main(argv:Array[String](1)) {
  use([1]);
}
```

(See §6.4.1 for an explanation of why this must not work.)

Fortunately, the type of [1,2] is Array[Int](1), instead of something crazy – but correct! – like Array[Int{self!=43}](1). 49

Still, if you really want just [1], or [1,1,1], or some such thing, to be an array of Ints rather than an array of ones, you can put an explicit type cast on one of the 1's, to make X10 forget that it is anything but just some Int.  $^{50}$ 

<sup>&</sup>lt;sup>48</sup> See file InferredMethod.x10

<sup>&</sup>lt;sup>49</sup>This type is even more accurate than the correct type. If you know that it's an array of Ints that aren't 43, you know it's an array of Ints. Of course, they aren't 781 either, nor are they 3313. This process can go on for far too long. X10 stops with the simpler Int.

<sup>&</sup>lt;sup>50</sup> See file InferredArray.x10

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```
static def use(a:Array[Int](1)) = a(0);
public static def main(argv:Array[String](1)) {
  use([1 as Int, 1, 1, 1]);
}
```

Notice that we only needed to cast *one* element of the array to the type we wanted.

### 6.4 Generics

(B: do this! :B)

### **6.4.1** Nonvariance

What's the relationship between Array[Int] and Array[Any]? Or, more broadly, if T <: U, what's the relationship between Array[T] and Array[U]?

At first glance, it might seem that Array[Int] should be a subtype of Array[Any]. After all, if you have an array of integers, you've certainly got an array of anythings.

Unfortunately, that doesn't work. Here's why.

First of all, the basic property of an Array[Int] is that anything stored in it had better be an Int, and anything we get out of it had better be an Int. If we ever store anything but an Int in it, something is seriously wrong.

Suppose that Array[Int] were a subtype of Array[Any]. Then the following code would typecheck:

```
// This will not compile!
val ai : Array[Int](1) = [1, 2, 3];
val aa : Array[Any](1) = ai as Array[Any](1);
aa(1) = "I am not a number";
assert aa(1) == ai(1);
```

ai is an array of integers. aa is the same object as ai, except that it's got the type Array[Any] — which is legitimate in the hypothetical alternate world where Array[Int]<:Array[Any]. It's perfectly fine to set aa(1) to a string — aa, being Array[Any], can hold anything at all. But, since aa is the same as ai, we've set ai(1) to a string, which is not allowed for an Array[Int].

So, we can't have Array[Int] <: Array[Any].

How about the other way around. Could we have Array[Any] <: Array[Int]?

That doesn't work either, for a similar reason. If Array[Any] were a subtype of Array[Int]

```
// This will not compile!
val aa : Array[Any](1) = [true, "fish"];
val ai : Array[Int](1) = aa as Array[Int](1);
val i : Int = ai(0);
```

aa is an array of anythings, in this case containing a Boolean and a string. In this hypothetical alternate world, every Array[Any] is an Array[Int] too, so we can coerce aa to ai. Again, the two are the same array, just under different names. But then, when we go to get an element out of ai, we get a Boolean value out, which is repugnant to the nature of an Array[Int].

So, there's no subtyping in either direction between Array[Int] and Array[Any]. The same sort of examples show that, even if S <: T, there should never be any subtyping between Array[S] and Array[T]. The technical term for this is that Array is *nonvariant*.

In fact, the same problem happens for nearly all generic types. So, in X10, there's *never* any subtyping relationship between Fiddle[A] and Fiddle[B], for *any* generic type Fiddle and *any* pair of different classes A and B.

### **6.5** Default Values

Some X10 types have *default values*. The default value for an Int is zero; the default value for an unconstrained object type is **null**.

The default value of a constrained type is the default value of the unconstrained type if that satisfies the constraint; the constrained type doesn't have a default otherwise. For example, the default value of Int{self!= 3} is zero, just like Int. Int{self!= 0} doesn't have a default value, since the default value of Int, zero, is constrained away.

Int{self==4} also doesn't have a default value. The default value for any constrained Int type is zero if that works, and 0!=4, so it doesn't have one. You might want its default value to be 4, since that's the only value that an Int{self==4} could have. But no, the only choice for a default value of any sort of Int is zero.

Default values show up once in a while. Not as often as you might think – local variables need to be initialized before you can use them, so X10 never uses their default values. val fields of objects and struct also need to get initialized before they are used. But var fields of objects don't necessarily need to get initialized before they are used, and, if they aren't initialized, they get the default value of their type.

51

```
class Fault {
public var d : Int;
static def example() {
val f = new Fault();
}
```

<sup>51</sup> See file Default.x10

```
5    assert f.d == 0;
6    }
7 }
```

You can test to see if a type T has a default value, by the expression Thaszero. This is especially useful with generic types – you don't need X10 to tell you that Int has a zero value and Int{self!=0} doesn't. It is also especially useful in constraints. Here's a program which makes an n-element one-dimensional array of T's, with all the elements having their default value: <sup>52</sup>

The constraint {T haszero} makes sure that we only try to use this method on T's which have a zero value. (In fact, one of the constructors for arrays in x10/array/Array.x10 does just this:<sup>53</sup>

```
public final class Array[T] {
    // [lots of stuff omitted]
    public def this(size:int) {T haszero}
```

Default values are defined, or undefined, like this:

- The fundamental numeric types (Int, UInt, Long, ULong, Short, UShort, Byte, UByte, Float, Double) all have default value 0.
- Boolean has default value false.
- Char has default value '\0', the character numbered zero (and not the character '0', which is number 48).
- If every field of a struct type T has a default value, then T has a default value. If any field of T has no default value, then T does not.
- A function type has a default value of null.
- A class type has a default value of null.
- The constrained type T{c} has the same default value as T if that default value satisfies c. If the default value of T doesn't satisfy c, then T{c} has no default value.

<sup>52</sup> See file ZeroArray.x10

<sup>&</sup>lt;sup>53</sup>The actual constructor has an @Inline annotation too, saying that it ought to be done without a method call for a bit of extra efficiency on this common operation.

Here's a struct with a default value. The library class Zero gives you a method to get the default value of any type T that has one, alarmingly called Zero.get[T](). Here's how to use it: <sup>54</sup>

```
public struct Zerish {
   val cypher : Int;
   val nihil : String;
   public def this(c:Int, n:String) {
      this.cypher=c; this.nihil = n;
   }
   public static def main(argv:Array[String](1)) {
      val z = Zero.get[Zerish]();
      assert z.cypher==0 && z.nihil==null;
   }
}
```

# 6.5.1 How Default Values Can Make Your Brain Explode

Sometimes, a field of an object can get its default value, even though your code emphatically says that it gets some other value. (Fortunately, this only happens with transient fields. Regular non-transient fields are safe.)

The way this happens is, suppose you have a class with a **transient** field. Remember, from §??, that transient fields don't get copied when values are copied from place to place – instead they get set to their default values. (Transient fields need to have types that have default values.)

So here's a program with some transient fields and copying: 55

```
public class TwoTrue {
   transient val a : Boolean = true;
   transient val b : Boolean;
   val c : Boolean = true;
   val d : Boolean;
   public def this() { b = true; d = true; }

public static def main(argv:Array[String](1)) {
   val tt = new TwoTrue();
   assert tt.a && tt.b && tt.c && tt.d;
   at(here) {
   assert tt.a == false && tt.b == false && tt.c && tt.d;
}
```

<sup>&</sup>lt;sup>54</sup> See file Zerish.x10

<sup>&</sup>lt;sup>55</sup> See file TwoTrue.x10

```
15 }
16 }
```

- **lines 2, 4:** Since a and c have initializers of **true**, it looks as if tt.a and tt.c ought to be **true** for every TwoTrue value.
- **lines 3, 5, 6:** Since there's only one constructor, and it makes the fields b and d both be **true**, it looks as if tt.b and tt.d ought to be **true for** every \xcdTwoTrue' value too.
- **line 9-10:** Here we make a TwoTrue value tt, and check that its a, b, c, and d fields are all true. They are.
- **line 11:** Now, we copy it with an at statement. (Remember that at copies *everything* mentioned in its body, even if you're just going from here to here, like this one.)
- **line 13:** And now we look at the copy, which is also called tt because that's how at names the things it copies. The normal fields c and d are **true**. The transient fields are **false** they have been set to their default values.

So, despite our best efforts to make a and b always be true, those transient fields can get set to false when an object is copied.

The right way to think about this is, **transient** is a keyword which means "sometimes this field gets initialized to its default value, even if some other bits of the program seem to say it shouldn't."

The wrong way to think about this is that an initializer setting a field to **true** is always going to make that field be **true**. Sure, it always makes the field be **true** if the initializer runs. But initializers don't run when values are copied. The original value tells what the fields should be - or, for transient fields, the field is just set to the default value.

# 6.5.2 How To Use This Oddity

For the most part, you shouldn't take advantage of this behavior of transient fields. The real point of transient fields is to hold a chunk of information that shouldn't get copied — often a big data structure that won't be needed everywhere that the object is needed. So, if you've got a Car object, and a Washer object that has a pointer back to the Car that uses it, you might make that back pointer be transient, to avoid copying the whole Car whenever you need to talk about just the Washer.

But, you *can* use transient fields to detect which objects are originals and which are copies. Give them a field like original on line 2. The original's field is initialized to **true**. Since the field is transient, if the object is ever copied, the copy's original field is set to **false** – the copy isn't an original. <sup>56</sup>

<sup>&</sup>lt;sup>56</sup> See file CopyDetection.x10

```
public struct CopyDetection {
   public transient original : Boolean = true;
   public static def main(argv:Array[String](1)) {
      val x = new CopyDetection();
      assert x.original;
      at (here) {
            assert ! x.original;
      }
      val y = x;
      assert y.original;
}
```

This only detects copying by at statements. Other kinds of copying, like the assignment on line 10 (remember, assignment of structs copies all the fields – *including* transient ones) isn't marked this way.

# 6.6 Common Ancestors of Types

(B: do this! :B)

# 6.7 When Types Don't Work

(B: do this! :B)