

# Analysis of algorithms (Outcome (1))

Q: how good a given algorithm is?

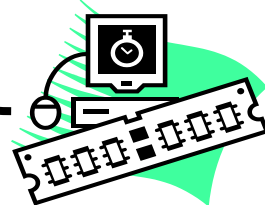
Recall

Two major concerns



Time

- Not measure actual time (why?)
- Can be used to predict the actual running time and for comparisons of algorithms



Space

- Also not measure actual memory used (why?)
- memory/harddisk space used by data structures and working space used by the algorithms

Other issues (not our concern):  
e.g. is it difficult to implement?

Remarks:

- (1) We concern time & space when the data volume is huge (why?)
- (2) The actual running time/space used still important => second stage of comparison/evaluation



If we do not implement the algorithm, how we can measure the "running time" of it?

Answer:

We count # of steps (or operations) to be executed.

How?

- What are primitive operations?
- How to count, different inputs may require different # of operations?
- How to represent this "running time"?

## Issue (1): What to count?

### Issue (1a): What are primitive operations?

**Primitive operations**  $\approx$  the basic operations provided by a programming language (e.g.  $+$ ,  $-$ ,  $\times$ ,  $\div$ , relational operators:  $>$ ,  $<$ ,  $\geq$ ,  $\leq$ , logical operators: and, or, not).

Remark: Exact definition not important, we will see why later.

Issue (1b): Is it fair to compare  $\times$  operation with  $+$  operation, they may take different time to complete?

Answer: YES

Consider 3 different program fragments for computing  $n^2$ .

Assuming that it takes:

$c_1$  units to perform  $\times$ ;  $c_2$  units for  $+$ ;  $c_3$  units for increment ( $++$ ) [ $c_1 > c_2 > c_3$ ]

Let  $c_1 = 200$ ;  $c_2 = 10$ ;  $c_3 = 1$

(1)				
Sum = $n \times n$ ;	1 “ $\times$ ” operation	$c_1$	200	

(2)				
Sum = 0;				
For I = 1 to n	n “+” operations	$c_2 \times n$	10n	
Sum = Sum + n;				

(3)				
Sum = 0;				
For I = 1 to n	$n^2$ “++” increment operations	$c_3 \times n^2$	$n^2$	
For J = 1 to n				
Sum ++;				

(1) 200

(2)  $10n$

(3)  $n^2$

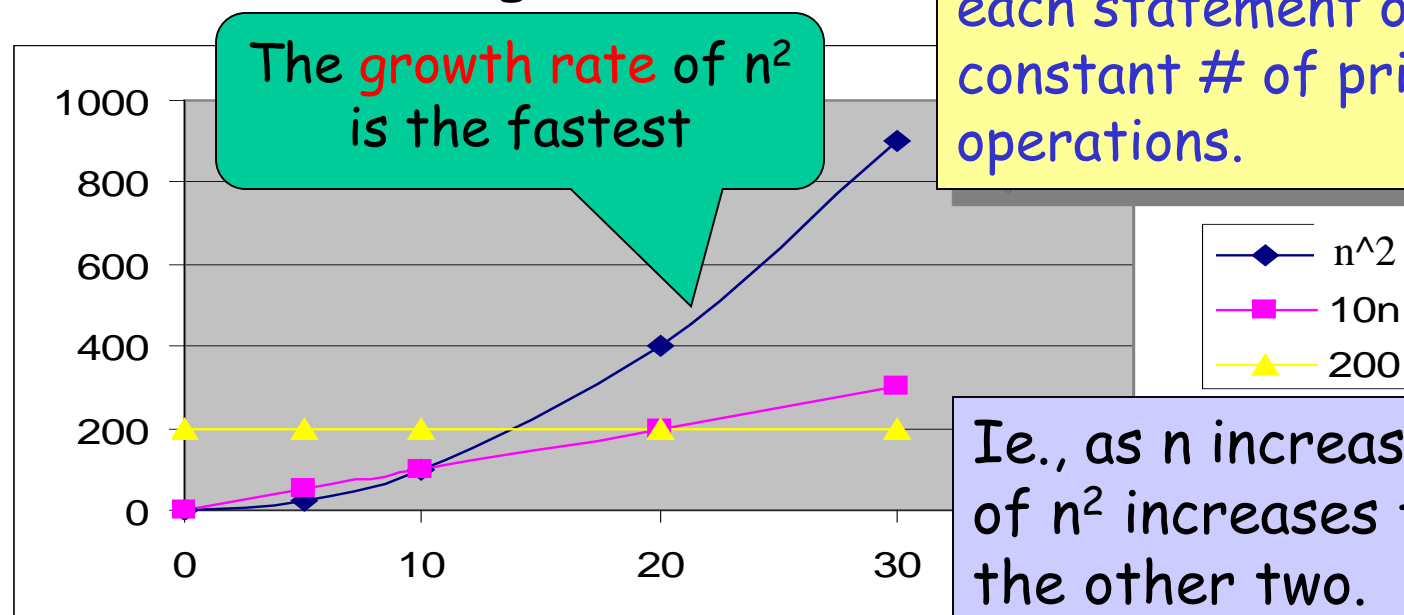
n	Fastest algorithm
1 – 10	(3) $[n^2]$
10 – 20	(2) $[10n]$
$\geq 20$	(1) $[200]$

The constant (the coefficient) does not really matter **when  $n$  is large.**

Implication: We do not need to classify primitive operations into different types (such as  $\times$ ,  $+$ ,  $/$  etc.).

=> We count **# of statements** if each statement only contains a constant **# of primitive operations.**

When the **input size is large**, (1) is the best algorithm



I.e., as  $n$  increases, the value of  $n^2$  increases faster than the other two.

(1)  $2^n$  operations    (2)  $10000000000n$  operations

1GHz computer: execute  $\sim 10^9$  operations per second

If the input size  $n$  is 64,

then  $10000000000n = 640000000000$

the computer can finish the algorithm in 64sec

but then  $2^n = 18,446,744,073,709,551,615$

the computer will run  $\sim 18,446,744,073$  sec ( $\sim 600$  years)

In fact, for some small values of  $n$ ,  $2^n < 10000000000n$

But, the growth rate of  $2^n$  is much  
much faster than  $10000000000n$



Note

So, for theoretical analysis, we are interested in the **growth rate** and do not care about the constant.

However, this constant does affect the practical performance of the program. We still need to care about this in some practical cases!

## Issue (2): Best case, worst case, and average case

An algorithm can solve a problem for many different inputs; for some input, it may run faster, for some input, it has its worst case performance.

Consider a simple searching problem. Given an integer  $x$  and an array  $A[1..5]$  of integers, check if  $x$  is in the array or not.

```
find(A, x) {  
    I = 1;  
    while (x != A[I])  
        if (I == 5) return "not found";  
        else I++;  
    return ("found");  
}
```

$A = [10, 45, 3, 25, 50]$

Best => 1) If  $x = 10$ ;  
2) If  $x = 50$ ;  
3) If  $x = 3$ ;  
Worst => 4) If  $x = 60$ ;

Usually we consider the **worst case** when analyzing an algorithm because we want to get an "upper bound" (or a guarantee) on the performance.

Note: sometimes, we also consider **average case** analysis

Let  $J$  be a possible input to algorithm  $A$ .

Let  $f_A(J)$  be the running time of  $A$  with input  $J$

Best case refers to the case that  $f_A(J)$  is smallest over all possible  $J$

Worst case refers to the case that  $f_A(J)$  is largest over all possible  $J$ .

Average Case

Let  $\text{prob}(J)$  be the probability that  $J$  will occur.

Then, average case refers to the value of  $\sum \text{prob}(J) \times f_A(J)$



```

find(A, x) {
    I = 1;
    while (x != A[I])
        if (I == 5) return "not found";
        else I++;
    return ("found");
}

```

$A = [10, 45, 3, 25, 50]$

Refer to the previous example, let  $f_A(10) = 3$ ;  $f_A(45) = 6$ ;  $f_A(3) = 9$ ;  $f_A(25) = 12$ ;  $f_A(50) = 15$ ;  $f_A(I) = 16$  for other  $I$  and the probability that  $x = 10, 20, 3, 25$ , or  $50$  is  $1/10$ ; the probability that  $x =$  other values is  $1/2$ , then the average case of running time is:

$$1/10(3 + 6 + 9 + 12 + 15) + 1/2(16) = 12.5$$

Finding average case is not easy as the probability distribution of input is usually unknown and is harder to calculate.

Remark: This measure is a function of **n**, the input (data) size!

```
find(A, x) {  
    I = 1;  
    while (x != A[I])  
        if (I == n) return “not found”;  
        else I++;  
    return (“found”);  
}
```

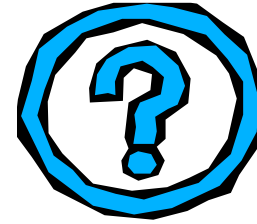
Worst case:

$\sim (3 \times n + 1)$  operations

## Issue 1(c): Do we need to count every statement?

Algorithm 1:  $n^3$  statements

Algorithm 2:  $100n^2 + 100n$  statements



Which one is faster **if  $n$  is large**

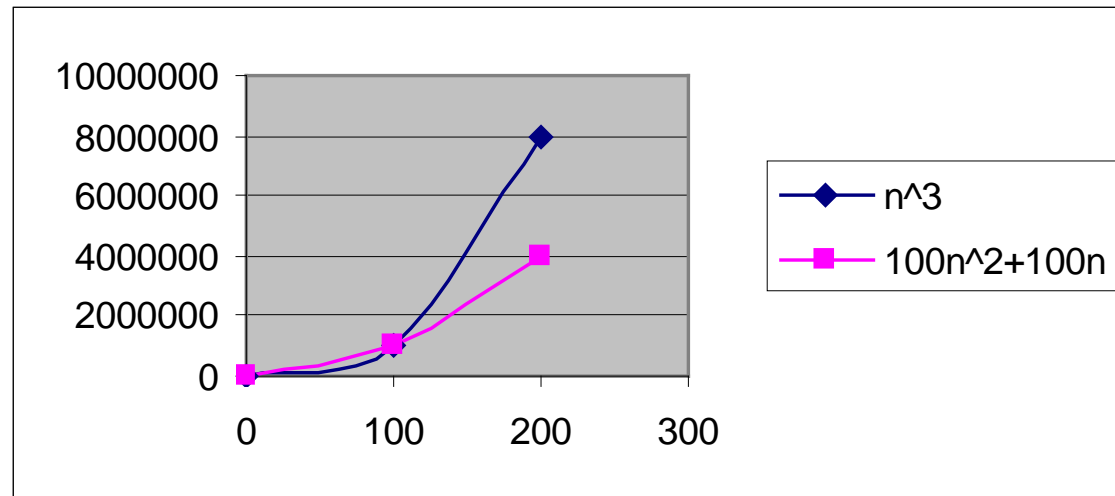
(1)  $n^3$  (2)  $100n^2 + 100n$

n	Fastest algorithm
Small	(1)
Large	(2)

dominating part

### Theoretical analysis:

- Drop "low-order" term
- Ignore leading constants
- Interested in "growth rate of total number of operations as a function of input size".



## Another example

	<u>Cost</u>	<u># of executions</u>	
for I = 1 to n	c1	n+1	} $2n^3 + 3n^2 + 2n + 1$ The dominating term
for j = 1 to n	c2	(n+1)n	
A[j] += 10;	c3	$n^2$	
for k = 1 to n	c4	$(n+1)n^2$	
B[k] += 5;	c5	$n^3$	

$$\begin{aligned} & c1(n+1) + c2(n+1)n + c3(n^2) + c4(n+1)n^2 + c5n^3 \\ &= (c4+c5)n^3 + (c2+c3+c4)n^2 + c2n + c1 \end{aligned}$$

So, we can just focus on the statement  
where the # of executions is the most  
to get the dominating term.

## A short summary

### How to measure an algorithm's running time?

- Count the total no. of primitive operations that the algorithm executes in (1) worst case; (2) average case; (3) best case. (usually we are interested in worst case analysis).
- The result is a function in terms of  $n$ , the input problem size.
- What we care (From the theoretical analysis point of view): When  $n$  increases, whether the total number of operations to be executed increases dramatically - **Growth rate of the function**.
- It implies that:
  - No need to distinguish different types of primitive operations (just count # of statements)
  - We only need to compare the dominating term of the function: (1) constants/coefficients not important; (2) lower order terms not important.



How to capture this concept mathematically?

## Review

```
for i = 1 to n
  for j = 1 to n
    A[j] += 10;
  for k = 1 to n
    B[k] += 5;
```

Dominating statement  
(# of execution:  $n^2$ )

Dominating statement  
(# of execution:  $nm$ ; don't  
care # of operations)

```
for i = 1 to n
  for j = 1 to m
    A[j] = A[j] * A[i-1] + 10 - A[i*j]/5;
  for k = 1 to n
    B[k] += 5;
```

```
if n is odd // assume n is non-negative
```

```
  n = 0;
```

```
else
```

```
  while (n  $\neq$  0)
```

```
    n = n div 2
```

Dominating statement (**worst case**)  
(# of execution:  $??$ )  $\approx \log_2 n$

//get the quotient of n divided by 2

How to capture this concept mathematically?

## Preliminaries

### 1) Functions $f(n)$

- Our scope: running time of algorithms, number of operations etc.
- Domain:  $n = 1, 2, 3, \dots$
- Only consider +ve functions, i.e.,  $f(n) > 0$ .

### 2) Effects of multiplying $f(n)$ by a constant $c$

- Example:  $f(n) = n$ ; show the graph for  $f(n) = 2n$ ;  $f(n) = \frac{1}{2}n$ .  
What you notice?

No matter what  $n$  you choose,  $f(n) = n$  is always in between  $f(n) = 2n$  and  $f(n) = \frac{1}{2}n$ .

- Try again with  $f(n) = cn$  where  $c$  is a constant and  $f(n) = n^2$ , we can try  $c = 2, 10, \dots$ , what you notice?

## Preliminaries

### 3) Set

- Let  $A = \{\text{all functions with coefficient of } n^2 = 4\}$ .
  - e.g.  $4n^2 + \log n$  (**Yes**);  $8n^3 + 2n^2 + 4$  (**no**);  $10n^6 + 5$  (**no**).
- Notations:  $\exists$  (there exists);  $\forall$  (for all).
  - e.g. Let  $B = \{\text{all functions } f(n) \text{ s.t. } \exists \text{ a constant } n_0 \geq 1, f(n) > 100 \forall n \geq n_0\}$ .
    - e.g.  $f(n) = n$  (**yes**);  $f(n) = n/2$  (**yes**);  $f(n) = 1/n$  (**no**) (proof?)



# Asymptotic Notation

e.g.  $10000n^2$ ,  $n^2 + 10000n$ ,  $0.5n^2 + 1000000n$

If you understand our discussion so far, you will agree that they have the same growth rate.

So, we have to develop a mathematical definition so that these functions should be treated as "the same".

Ignore the effect of Lower order terms and coefficients

## Short review:

- (i) Represent running time of an algorithm using a function of  $n$ , where  $n$  is the input size based on worst (or average) input case.
- (ii) Only care about the dominating term (with the fastest growth rate) of the function.

```
m = 2    // assume n is a +ve integer //  
while (m * m) <= n  
    if n is divisible by m, stop.  
    else m = m+1  
Report “n is a prime number”
```

Running time =  $\sim \sqrt{n}$

## (iii) Asymptotic notation: Big-Theta ( $\Theta$ )

Same growth rate or not?

(a)  $0.000000001n^3$  **vs**  $10000n^3$

(b)  $1000000n^2$  **vs**  $0.000001n^3$

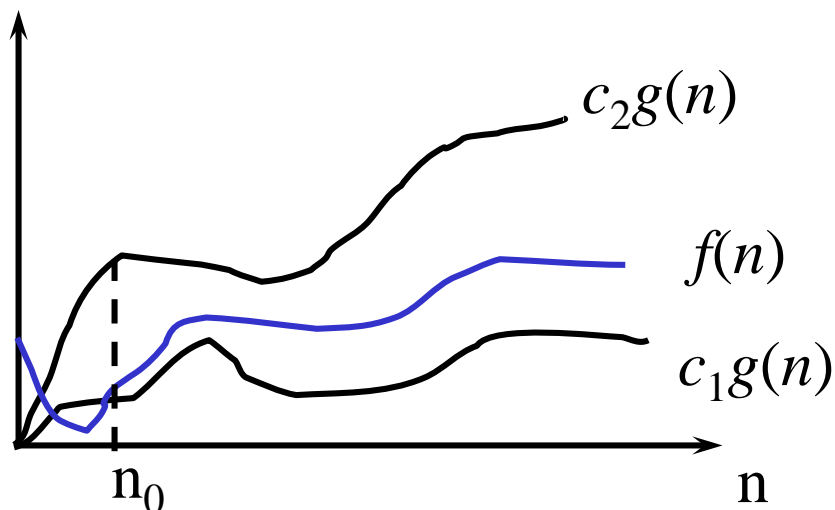
(c)  $n^3$  **vs**  $n^3 + 9999999999n^2$

Q: Still remember how to capture this concept formally?

Idea:

$f(n)$  and  $g(n)$  have the same growth rate if we can find two constants  $c_1, c_2 > 0$  such that:

Intuitive meaning



Recall: in our domain (algorithm analysis), we can assume that the functions are all positive.

Small  $n$ :  
Don't care!

$$f(n) \in \Theta(g(n))$$

$\Theta(g(n))$  is defined as a set of functions with same growth rate as  $g(n)$

One can also write  $g(n) \in \Theta(f(n))$ ;

# Asymptotic running time of an algorithm

## Big Theta ( $\Theta$ notation)

Roughly speaking, for any given function  $g(n)$ , we denote the set of all functions that have the same growth rate as  $g(n)$  by  $\Theta(g(n))$ .

e.g.  $n^2 + 10000n \in \Theta(n^2)$ ;  $10000n^2 \in \Theta(n^2)$  etc.

## Formal definition

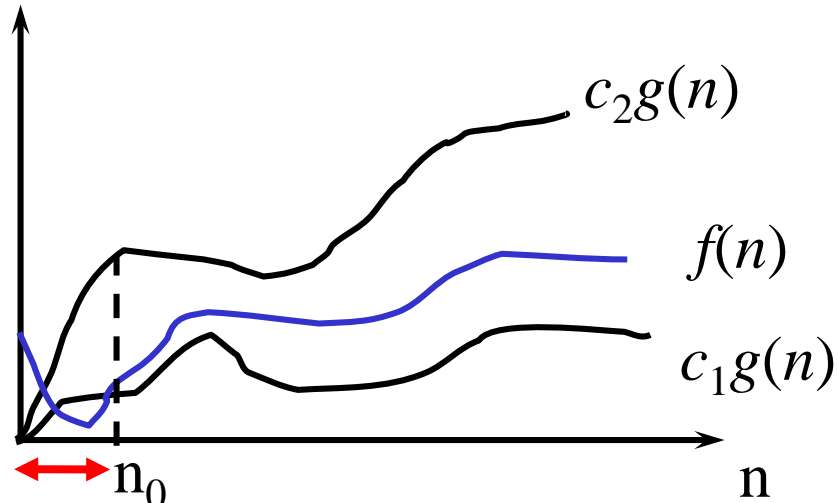
$\Theta(g(n)) = \{ f(n) : \exists \text{ positive constants } c_1, c_2, n_0$   
such that  $0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n), \forall n \geq n_0 \}$

Domain of  $n$ : natural numbers

( $\exists$ : there exists;  $\forall$ : for all)

In English: a function  $f(n)$  belongs to the set  $\Theta(g(n))$  if there exist positive constants  $c_1$  and  $c_2$  such that it can be "sandwiched" between  $c_1 g(n)$  and  $c_2 g(n)$ , for sufficiently large  $n$ .

## Intuitive meaning



Small n:  
Don't care!

$$f(n) \in \Theta(g(n))$$

Recall: in our domain (algorithm analysis), we can assume that the functions are all positive.

If  $f(n) \in \Theta(g(n))$ , we say that  $g(n)$  is an asymptotically tight bound for  $f(n)$  or  $f(n)$  behaves asymptotically as  $g(n)$ .

$\sim f(n)$  and  $g(n)$  have the same growth rate

Example:

Show that  $100n^2 + 20n + 5 \in \Theta(n^2)$ .

Can we find constants  $c_1$ ,  $c_2$ , and  $n_0$  s.t. whenever  $n \geq n_0$ , we have:

$$c_1 n^2 \leq 100n^2 + 20n + 5 \leq c_2 n^2$$

$$c_1 \leq 100 + 20/n + 5/n^2 \leq c_2$$

Yes, let  $c_1 = 100$ ;  $c_2 = 125$ ,  $n_0 = 1$

we can always have “ $c_1 \leq 100 + 20/n + 5/n^2 \leq c_2$ ” when  $n \geq n_0$

So,  $100n^2 + 20n + 5 \in \Theta(n^2)$

### Example:

Show that  $n^3 \notin \Theta(n^2)$ .

Assume that there exist positive constants  $c_1, c_2, n_0$  such that  $c_1 n^2 \leq n^3 \leq c_2 n^2$  whenever  $n \geq n_0$ .

$$\Rightarrow c_1 \leq n \leq c_2 \text{ whenever } n \geq n_0$$

Contradictions.

[Can you point out the part that has the contradictions?]

Classroom exercises:

- (1) Show that  $n^2 \in \Theta(100n^2 + 20n + 5)$ .
- (2)  $n^2 \notin \Theta(n^3)$ .
- (3)  $n^3 \notin \Theta(n^4)$ .

## Abuse of equality

In practice:

If  $f(n) \in \Theta(g(n))$ , we usually write it as  $f(n) = \Theta(g(n))$

We use  $\Theta(g(n))$  informally to mean "some function that is in the set  $\Theta(g(n))$ "

e.g.  $20n^4 + 3n = \Theta(n^4)$

**Note:**  $\Theta(1)$  refers to a constant or a constant function

e.g.  $1,000,000 = \Theta(1)$ ?     $0.00000001n = \Theta(1)$ ?



# Big Theta ( $\Theta$ notation)



## Big O ( $O$ notation)

Sometimes, we just want to bound the growth rate of a given function  $f(n)$ , saying that the growth rate of  $f(n)$  is at most the same as that of  $g(n)$ .

$$f(n) \in O(g(n)); f(n) = O(g(n))$$

Asymptotic **upper** bound

### Format definition

$$O(g(n)) = \{f(n) : \exists c > 0, n_0 > 0$$

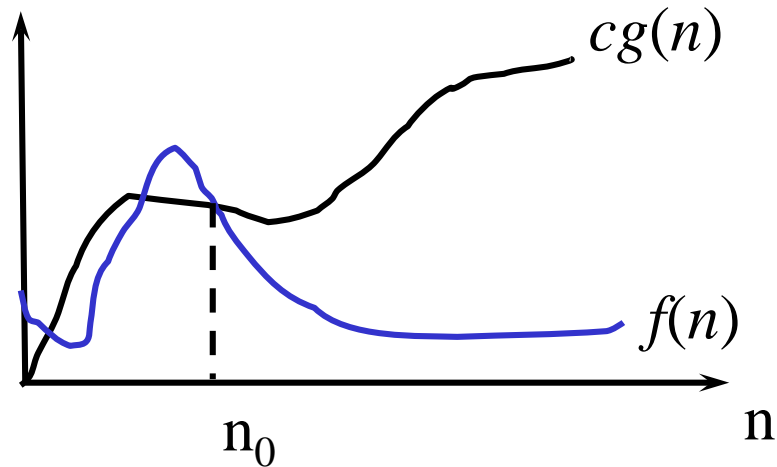
such that  $\forall n \geq n_0, 0 \leq f(n) \leq cg(n)\}$

Only required to be upper bounded (within a constant factor)

$$\Theta(g(n)) = \{f(n) : \exists \text{ positive constants } c_1, c_2, n_0$$

such that  $0 \leq c_1g(n) \leq f(n) \leq c_2g(n), \forall n \geq n_0\}$

Intuitive  
meaning



$$f(n) = O(g(n))$$

Examples:

$$6n^3 + 10n^2 + 4 = O(n^2) \quad \text{X}$$

$$6n^3 + 10n^2 + 4 = O(n^3) \quad \checkmark$$

$$6n^3 + 10n^2 + 4 = O(n^4) \quad \checkmark$$

$$6n^3 + 10n^2 + 4 = \Theta(n^3) \quad \checkmark$$

$$6n^3 + 10n^2 + 4 = \Theta(n^2) \quad \text{X}$$

$$6n^3 + 10n^2 + 4 = \Theta(n^4) \quad \text{X}$$

Big Theta ( $\Theta$  notation) 

Big O ( $O$  notation) 

Big Omega ( $\Omega$  notation)

Asymptotic tight bound

Asymptotic upper bound

Asymptotic **lower** bound

$$\Omega(g(n)) = \{f(n) : \exists c > 0, n_0 > 0$$

such that  $\forall n \geq n_0, 0 \leq cg(n) \leq f(n)\}$




$$\Theta(g(n)) = \{f(n) : \exists \text{ positive constants } c_1, c_2, n_0$$

such that  $0 \leq c_1 g(n) \leq f(n) \leq c_2 g(n), \forall n \geq n_0\}$

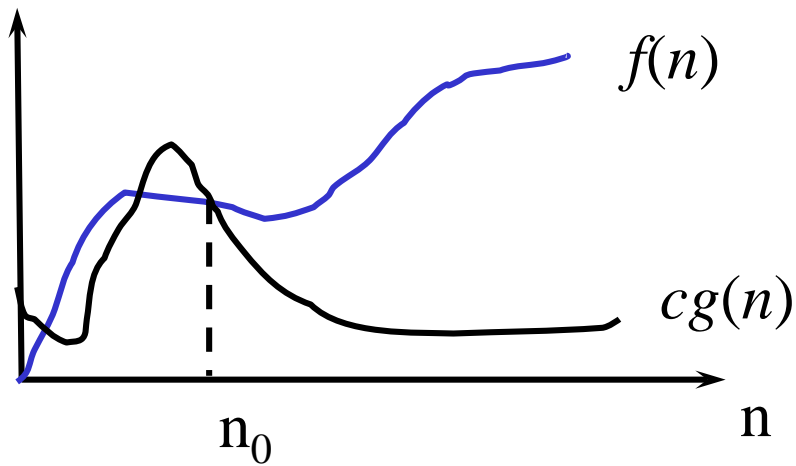
$$O(g(n)) = \{f(n) : \exists c > 0, n_0 > 0$$

such that  $\forall n \geq n_0, 0 \leq f(n) \leq cg(n)\}$

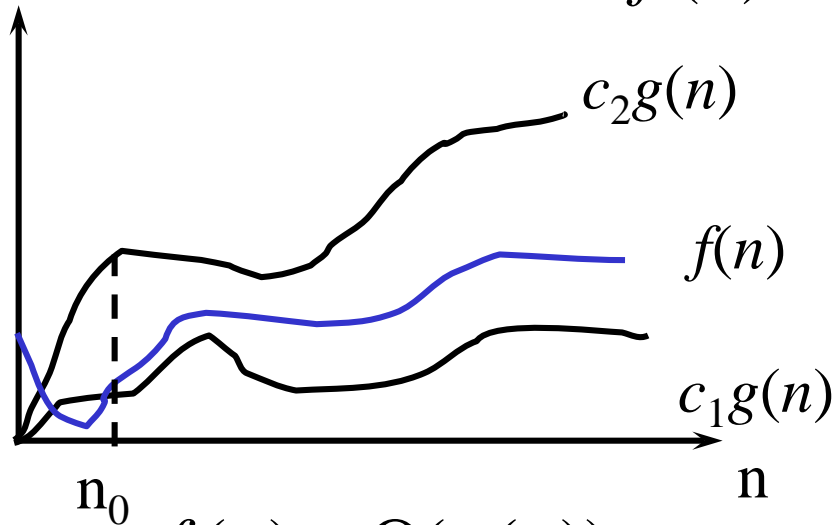
Examples:

  $5n^3 + 8n^2 + 4 = \Omega(n^3)$ ;   $5n^3 + 8n^2 + 4 = \Omega(n^2)$ ;   $5n^3 + 8n^2 + 4 = \Omega(n^4)$

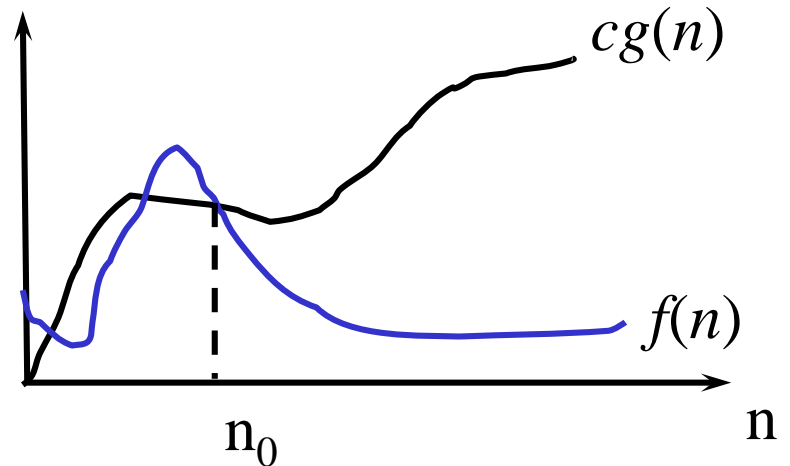
Intuitive  
meaning



$$f(n) = \Omega(g(n))$$



$$f(n) = \Theta(g(n))$$

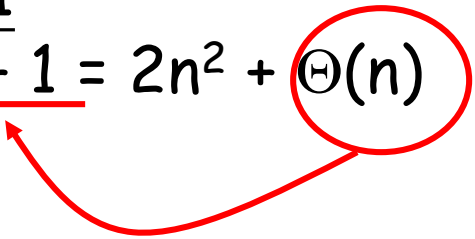


$$f(n) = O(g(n))$$

We have  $f(n) = \Theta(g(n))$  iff  $f(n) = \Omega(g(n))$  and  $f(n) = O(g(n))$

# Asymptotic notation in equations (and inequalities)

## Example 1

$$2n^2 + \underline{3n + 1} = 2n^2 + \Theta(n)$$


$\Theta(n)$ : represents a function  $f(n) \in \Theta(n)$

No interested in the exact details of the lower order terms.

## Example 2

$$2n^2 + \Theta(n) = \Theta(n^2)$$

For any possible  $f(n) \in \Theta(n)$ , we can show that  $2n^2 + f(n) = \Theta(n^2)$

Intuitively, it is simple as for any function in  $\Theta(n)$ , it will be of lower order than  $2n^2$ .

## Example 3

$$O(n) + O(n^2) = O(n^2)$$

For any possible  $f(n) \in O(n)$ ,  $g(n) \in O(n^2)$ , we can show that  $f(n) + g(n) \in O(n^2)$

Q:  $O(1) + O(1) = O(1)$ ?

Some

Simple rules:

$$f(n) = O(f(n))$$

$$O(f(n)) + O(g(n)) = O(f(n) + g(n))$$

$$O(f(n)) O(g(n)) = O(f(n)g(n))$$

$$n^m = O(n^p) \quad m \leq p$$

$$c = O(1) \quad c \text{ is a constant}$$

Can you prove these rules?

Now, you are ready to apply this notation to analyze the running time of an algorithm.

## Reminder:

When we measure the running time of an algorithm,

1) We count the no. of primitive operations (statements) to be executed in the algorithm (usually consider the worst case);

2) This count will be a function in terms of  $n$ , the input data size;

And finally we will use the asymptotic notation to represent this function

## Usage (examples):

The algorithm runs in  $O(n^2)$  time.

The **time complexity** of this algorithm is  $O(n^3)$ .

The running time of the algorithm is  $O(n)$ .

$O(n)$ : read as "order  $n$ ".

## Some simple rules for calculating the time complexity of an algorithm:

## Sequential statements:

S1;  
S2;

Complexity(S1) + Complexity(S2)

Example:

$I = I + 1;$                        $O(1) + O(1) = O(1)$   
 $J = J * 3;$

## Loops:

e.g. while (cond) do            [Complexity(cond) + Complexity(S)] ×  
                 S;                         no. of iterations

Example:

```
While (N > 0)           N(O(1) + O(1)) = O(N)
    N--;
```



### If-else statements:

If (cond) S1

Else S2

Example:

If ( $N \leq 0$ )

    I ++;

Else

    for j= 1 to N

        k++;

### Function Call:

F1(...);

Example:

For j=1 to N { f1();}

void f1(){

    for I = 1 to N

        sum++;

}

Let  $\text{Complexity}(S1) + \text{Complexity}(\text{cond}) = O(f(n));$

$\text{Complexity}(S2) + \text{Complexity}(\text{cond}) = O(g(n)),$

$\text{Complexity} = O(g(n))$  if  $f(n) = O(g(n))$

Otherwise  $\text{Complexity} = O(f(n))$

If-path:

$O(1) + O(1) = O(1)$

Else-path:

$O(1) + N \times (O(1) + O(1)) = O(N)$

So, complexity =  $O(N)$

Analyze complexity of F1 and then  
follow other rules

Complexity of f1 =  $O(N);$

Overall complexity =  $O(N^2)$

## Some more examples

```
for I = 1 to n  
  for J = 1 to n  
    sum += 10;
```

$O(n^2)$

Yes, but the analysis (bound) is not tight

```
for I = 1 to n  
  sum += 10;
```

$O(n)$

1) Can we say that this code segment runs in  $O(n^2)$  time?

2) Can we say that this code segment runs in  $\Theta(n^2)$  time?

No.

3) Can we say that this code segment runs in  $\Theta(n)$  time?

Yes.

## Some typical complexity (growth rate)

Increasing order of  
growth rate

$O(1)$ , constant time

$O(\log n)$ , logarithmic complexity

$O(n)$ , linear time

$O(n \log n)$

$O(n^2)$ , quadratic time

$O(n^3)$ , cubic time

$O(n^c)$   $c$ : const, polynomial time

$O(2^n)$ , exponential time

"Fast" solution: a problem has a fast solution if we have an algorithm to solve it and runs in polynomial time in the **worst case**

In practice, we hope to have  $O(n^3)$  algorithms!

Many others: e.g.  $O(n^{0.5})$ ,  $O(n/\log n)$  etc.

Hard problem: there are many problems which people are still not able to come up with a fast solution. Existing algorithms for solving these problems run in exponential time.

## Some ideas of actual running time

Input size	$n$	$n \log_2 n$	$n^2$	$n^3$	$1.5^n$	$2^n$	$n!$
10	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	4 sec
30	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	18 min	$10^{25}$ years
50	< 1 sec	< 1 sec	< 1 sec	< 1 sec	11 min	36 years	very long
100	< 1 sec	< 1 sec	< 1 sec	1 sec	12,892 years	$10^{17}$ years	very long
1,000	< 1 sec	< 1 sec	1 sec	18 min	very long	very long	very long
10,000	< 1 sec	< 1 sec	2 min	12 days	very long	very long	very long
100,000	< 1 sec	2 sec	3 hours	32 years	very long	very long	very long
1,000,000	1 sec	20 sec	12 days	31,710 years	very long	very long	very long

Remarks:

- (1) very long = over  $10^{25}$  years.
- (2) It won't help even if CPU is 1000 times faster

More examples (Give, the worst case running times of the following code segment using the "big O" notation)

1) for i = 1 to 100,000,000,000 do

    A[i] = A[i] + 10;  $O(1)$

2) for i = 1 to n do

    for j = 1 to i do  $1 + 2 + 3 + \dots + n = n(n+1)/2 = O(n^2)$

        A[j] = A[j] + 10;

    for k = 1 to n do

        B[k] = B[k] + 5;  $O(n)$

Overall time complexity =  $O(n^2)$

Reminder: it is correct to say that it runs in  $O(n^3)$ .

3) for i = 1 to n do

    for j = 1 to i do

        A[j] = A[j] + 10;  $O(n^2 + m)$

    for k = 1 to m do

        B[k] = B[k] + 5;

```
4) for j = 2 to n do      // n is the size of the array A[1..n] of integers
    temp = A[j]
    i = j - 1
    while (i > 0) and (A[i] > temp) do {
        A[i+1] = A[i]
        i = i - 1 }
    A[i+1] = temp
```

- a) What does this algorithm do?
  - b) What is the worst case time complexity?
  - c) What is the best case time complexity?
- a) It sorts the entries in the array  $A$  in increasing order.

### Proof of correctness

**Loop invariant:** At the start of each iteration of for-loop, elements in  $A[1..j-1]$  is always in sorted order.

[How to show that it is correct? p.18-20 of MIT, not needed in the exam]

```

4) for j = 2 to n do
    temp = A[j]
    i = j - 1
    while (i > 0) and (A[i] > temp) do
        A[i+1] = A[i]
        i = i - 1
    A[i+1] = temp

```

$n - 1$

$n - 1$

} Can you think of a worst case input for this while loop?

$n - 1$

- a) What does this algorithm do? e.g.  $n, n-1, \dots, 3, 2, 1$
- b) What is the worst case time complexity?  $1 + 2 + \dots + n-1$
- c) What is the best case time complexity?

b) The worst case time complexity is  $O(n^2)$

Q: Does it imply that the running time is always  $O(n^2)$  for all possible input?

Q: Can we say that the algorithm runs in  $\Theta(n^2)$  time **in the worst case**? **Yes.**

Q: Does it imply that the algorithm runs in  $\Theta(n^2)$  time **for all cases**? **No.**

Can you give a counter example? e.g. 1, 2, 3, ..., n-1, n

c) For the best case, the algorithm runs in  $\Theta(n)$ .

Q: Can we say that the algorithm runs in  $\Omega(n)$  time **for the best case**? **Yes.**

Q: Does it imply that the algorithm runs in  $\Omega(n)$  time **for all cases**? **Yes.**

Remark: This measurement is for theoretical analysis.

e.g. Algorithm A that executes  $n^2$  statements may run faster than Algorithm B that executes  $10000000000n$  statements.



Big Theta ( $\Theta$  notation) " $=$ "      Little o ( $o$  notation) " $<$ "  
Big O ( $O$  notation) " $\leq$ "      Little  $\omega$  ( $\omega$  notation) " $>$ "  
Big Omega ( $\Omega$  notation) " $\geq$ "

$o$  notation

We use  $o$  notation to denote an upper bound that is **not** asymptotically tight (c.f. Big O)

$$o(g(n)) = \{ f(n) \text{ for any } c > 0, \exists n_0 > 0 \\ \text{such that } \forall n \geq n_0, 0 \leq f(n) < cg(n) \}$$

e.g.  $2n = o(n^2)$ , but  $2n^2 \neq o(n^2)$  [Do you know how to prove it?]

To show  $2n = o(n^2)$ :

For any  $c > 0$ , take  $n_0 = \lceil 2/c \rceil + 1$ .

Then for all  $n \geq n_0$ , consider  $n^2$ .  $n^2 = n \times n > 2n/c$   
 $\Rightarrow 2n < cn^2$ .

So,  $2n = o(n^2)$ ,

To show  $f(n) \neq o(g(n))$ , you need to find a constant  $c > 0$ ,  
for any  $n_0$ ,  $\exists n \geq n_0$  such that  $f(n) \geq cg(n)$ .

On the other hand, to show that  $2n^2 \neq o(n^2)$

Let  $c = 1$ .

$2n^2 > n^2$  for all  $n$ .

So,  $2n^2 \neq o(n^2)$

## $\omega$ notation

We use  $\omega$  notation to denote an lower bound that is **not** asymptotically tight (c.f. Big Omega)

$$\omega(g(n)) = \{f(n) : \text{for any } c > 0, \exists n_0 > 0 \\ \text{such that } \forall n \geq n_0, 0 \leq cg(n) < f(n)\}$$

e.g.  $2n^2 = \omega(n)$ , but  $2n \neq \omega(n)$  [Do you know how to prove it?]

# Alternative definitions

## Recall: Limit

$\lim_{n \rightarrow \infty} f(n)$       When  $n$  tends to be very large, what is the value  $f(n)$  tends to?

e.g.  $\lim_{n \rightarrow \infty} (n^2 + 5) = \infty;$

$$\lim_{n \rightarrow \infty} \left( \frac{2n^2 + 5}{n^2} \right) = 2;$$

$$\lim_{n \rightarrow \infty} \left( \frac{1000n + 20\sqrt{n}}{n^2} \right) = 0;$$

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)}$$

When  $n$  tends to be very large, what is the ratio of the values of  $f(n)$  and  $g(n)$  tends to?

e.g.  $f(n) = \frac{4n+3}{n+2}, g(n) = \frac{3n+8}{n}; \quad \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \frac{4}{3}$

Q: if  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$ , what do you think about the growth rates of  $f(n)$  and  $g(n)$ ?  $f(n) = \omega(g(n))$

Q: if  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$ , what do you think about the growth rates of  $f(n)$  and  $g(n)$ ?  $f(n) = o(g(n))$

Q: if  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$ , what do you think about the growth rates of  $f(n)$  and  $g(n)$  where  $c$  is a constant?  $f(n) = \Theta(g(n))$

## Section 3.2 of MIT book

$$\lim_{n \rightarrow \infty} \frac{n^b}{a^n} = 0 \quad \text{where } a \text{ and } b \text{ are real positive constants and } a > 1. \Rightarrow n^b = o(a^n)$$

$$\text{e.g. } \lim_{n \rightarrow \infty} \frac{n^{10000}}{1.01^n} = 0$$

$$\lim_{n \rightarrow \infty} \frac{\log n}{n^b} = 0 \quad \text{where } b \text{ is a real positive constant.}$$

$$\text{e.g. } \lim_{n \rightarrow \infty} \frac{\log n}{n^{0.5}} = 0; \quad \lim_{n \rightarrow \infty} \frac{\log n}{n^{0.001}} = 0 \quad \text{In fact, } \lim_{n \rightarrow \infty} \frac{\log^k n}{n^b} = 0$$

for any  $k$ .

$$\lim_{n \rightarrow \infty} \frac{c}{\log n} = 0; \quad \lim_{n \rightarrow \infty} \frac{c}{\log \log n} = 0; \quad \lim_{n \rightarrow \infty} \frac{c}{\log \log \log n} = 0$$

where  $c$  is any constant.

Q: Is it true that  $(1.66666)^n = \Theta(2^n)$ ?

No,  $(1.66666)^n$  has a slower growth rate.

Q: Is it true that  $d^{1.0000000001n} = \Theta(d^n)$  where  $d$  is a constant  $> 1$ ?

No,  $d^n$  has a slower growth rate.

Q: Is it true that  $2^{n+1} = \Theta(2^n)$ ?

Yes.

Q: Is it true that  $2^{n^2+1} = \Theta(2^{n^2})$ ?

Yes.

Q: Is it true that  $2^{n^2+n} = \Theta(2^{n^2})$ ?

No, the left hand side has a faster growth rate.

Q:  $\frac{n}{\log \log n} = o(n).$

Yes,  $n$  has a faster growth rate.

Q:  $n \log \log n = o(n^2).$

Yes,  $n^2$  has a faster growth rate.



## Some more about log (high school)

$$\log_a n = \frac{\log_b n}{\log_b a} \quad \text{for any constants } a, b > 0.$$

$$\log n^k = k \log n$$

$$a^{\log b} = b^{\log a} \quad \text{Do you know how to prove it?}$$

### Exercises:

$$\log_3 n = \omega(\log_{10000} n)?$$

$$2^{\log n} = \Theta(10n)?$$

$$8^{\log n} = \Theta(200n)?$$