

“And where a mathematical reasoning can be had, it is as great a folly to make use of any other, as to grope for a thing in the dark, when you have a candle standing by you” – John Arbuthnot, *On The Laws of Chance*

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Notes on notation:

$\in$  Element of  
 $\subseteq$  Subset of  
 $\subset$  Proper subset of  
 $\supseteq$  Superset of  
 $\supset$  Proper superset of  
 $\emptyset$  Empty set  
 $\cup$  Set union  
 $\cap$  Set intersection  
 $\times$  Cartesian product  
 $2^A$  Powerset of set  $A$   
 $||$  Set cardinality  
 $\{ \}$  Set  
 $\langle \rangle$  Ordered tuple  
 $()$  Generator  
 $[]$  Class generated by a generator  
 $(A - B)$  “set difference” between  $A$  and  $B$  (or “relative complement of  $A$  with respect to  $B$ ”). IOW, “the set of elements in  $A$  but not in  $B$ ” See:  
[https://en.wikipedia.org/wiki/Complement\\_\(set\\_theory\)](https://en.wikipedia.org/wiki/Complement_(set_theory))

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**Definition 3.1:** A *diagnostic problem*  $P$  is a 4-tuple  $\langle D, M, C, M^+ \rangle$  where  $D = \{d_1, d_2, \dots, d_n\}$  is a finite, non-empty set of objects, called disorders,  $M = \{m_1, m_2, \dots, m_n\}$  is a finite, non-empty set of objects called manifestations, and  $C \subseteq D \times M$  is a relation with  $domain(C) = D$  and  $range(C) = M$ , called causation, and  $M^+ \subseteq M$  is a distinguished subset of  $M$  which is said to be **present**.

**Definition 3.2:** For any element  $d_i \in D$  and  $m_j \in M$  in a diagnostic problem  $\langle D, M, C, M^+ \rangle$ ,  $effects(d_i) = \{m_j \mid \langle d_i, m_j \rangle \in C\}$ , the set of objects directly caused by  $d_i$ , and  $causes(m_j) = \{d_i \mid \langle d_i, m_j \rangle \in C\}$ , the set of objects which can directly cause  $m_j$

**Definition 3.3:** For any  $D_I \subseteq D$  and  $M_J \subseteq M$  in a diagnostic problem  $\langle D, M, C, M^+ \rangle$ ,  $effects(D_I) = \bigcup_{d_i \in D_I} effects(d_i)$ , and  $causes(M_J) = \bigcup_{m_j \in M_J} causes(m_j)$

Thus, for example, the effects of a set of disorders are just the union (“sum”) of effects of individual disorders in the set.

**Definition of COVER**

**Definition 3.4:** The set  $D_I \subseteq D$  is said to be a *cover* of  $M_J \subseteq M$  if  $M_J \subseteq effects(D_I)$

In other words,  $M_J$  is *covered* by  $D_I$  if every manifestation in  $M_J$  is causally associated with some member(s) of  $D_I$ . Or, to put it another way, the set of disorders  $D_I$  is sufficient to explain every manifestation in  $M_J$ .

**Definition 3.5:** A set  $E \subseteq D$  is said to be an *explanation* of  $M^+$  for a problem  $P = \langle D, M, C, M^+ \rangle$  if  $E$  covers  $M^+$  and  $E$  satisfies a given parsimony condition.

### Definition of *IRREDUNDANT*

**Definition 3.6:**

1. A cover,  $D_I$  of  $M_J$  is said to be *minimum* if its cardinality is smallest among all covers of  $M_J$ .
2. A cover,  $D_I$  of  $M_J$  is said to be *irredundant* if none of its proper subsets is also a cover of  $M_J$ . It is said to be *redundant* otherwise.
3. A cover,  $D_I$  of  $M^+$  is said to be *relevant* if it is a subset of  $causes(M^+)$ ; it is *irrelevant* otherwise.

In other words, a cover is irredundant if you can't take away any of its members and have it remain a cover. There may be covers with smaller cardinality though, because they may be made up of disorders that - individually - explain more manifestations.

Similarly, a cover is relevant if all of its members are a cause of at least one of the manifestations. If a cover contains any disorders that explain none of the manifestations that are present, then it is called *irrelevant*.

**Definition 3.7:** The *solution* to a diagnostic problem  $P = \langle D, M, C, M^+ \rangle$  designated  $Sol(P)$  is the set of all explanations of  $M^+$ .

**Lemma 3.1:** Let  $P = \langle D, M, C, M^+ \rangle$  be the causal network for a diagnostic problem and  $d_i \in D, m_j \in M, D_I, D_K \subseteq D$ , and  $M_J \subseteq M$ , then:

- (a)  $effects(d_i) \neq \theta, causes(m_j) \neq \theta$
- (b)  $d_i \in causes(effects(d_i)), m_j \in effects(causes(m_j))$
- (c)  $D_I \subseteq causes(effects(D_I)), M_J \subseteq effects(causes(M_J))$
- (d)  $M = effects(D), D = causes(M)$
- (e)  $d_i \in causes(m_j)$  iff  $m_j \in effects(d_i)$
- (f)  $effects(D_I) - effects(D_K) \subseteq effects(D_I - D_K)$

**Lemma 3.2:** If  $P = \langle D, M, C, M^+ \rangle$  is the causal network for a diagnostic problem with  $D_I \subseteq D$  and  $M_J \subseteq M$ , then  $D_I \cap causes(M_J) = \theta$  iff  $M_J \cap$

$$effects(D_I) = \theta.$$

**Lemma 3.3:** If  $D_K$  is a cover of  $M_J$  in a diagnostic problem, then there exists a  $D_I \subseteq D_K$  which is an irredundant cover of  $M_J$ .

**Theorem 3.4:** (Explanation Existence Theorem) There exists at least one explanation for  $M^+$  for any diagnostic problem  $P = \langle D, M, C, M^+ \rangle$ . Follows from Lemma 3.1 (d) and Lemma 3.3

**Lemma 3.5:** A cover  $D_I$  of  $M_J$  is irredundant iff for every  $d_i \in D_I$  there exists some  $m_j \in M_J$  which is uniquely covered by  $d_i$ , i.e.,  $m_j \in effects(d_i)$  but  $m_j \notin effects(D_I - \{d_i\})$

**Lemma 3.6:** If  $D_I$  is an irredundant cover of  $M_J$  then  $|D_I| \leq |M_J|$ . More specifically, if  $E$  is an explanation of  $M^+$  for a diagnostic problem, then  $|E| \leq |M^+|$ .

**Lemma 3.7:**  $E = \theta$  is the only explanation for  $M^+ = \theta$ .

**Theorem 3.8:** (Competing Disorders Theorem) Let  $E$  be an explanation for  $M^+$ , and let  $M^+ \cap effects(d_1) \subseteq M^+ \cap effects(d_2)$  for some  $d_1, d_2 \in D$ . Then,

1.  $d_1$  and  $d_2$  are not both in  $E$ ; and
2. if  $d_1 \in E$ , then there is another explanation  $E'$  for  $M^+$  containing  $d_2$  but not  $d_1$ , of equal or smaller cardinality.

**Lemma 3.9:** Let  $2^D$  be the power set of  $D$ , and let  $S_{mc}$ ,  $S_{ic}$ ,  $S_{rc}$ , and  $S_c$  be sets of all minimum covers, all irredundant covers, all redundant covers, and all covers of  $M^+$  respectively, for a diagnostic problem. Then  $\theta \subseteq S_{mc} \subseteq S_{ic} \subseteq S_{rc} \subseteq S_c \subseteq 2^D$ .

**Lemma 3.10:** (Subsumption Property) - For a diagnostic problem  $P$ , let  $S_{ic}$  be the set of all irredundant covers of  $M^+$ . Then  $S_{ic}$  is the smallest set of covers such that for any  $D_K \subseteq D$  covering  $M^+$ , there is a  $D_I$  in that set of covers with  $D_I \subseteq D_K$ .

**Definition 3.8:** Let  $g_1, g_2, \dots, g_n$  be non-empty, pairwise-disjoint subsets of  $D$ . Then  $G_I = \{g_1, g_2, \dots, g_n\}$  is a *generator*. The class generated by  $G_I$ , designated as  $[G_I]$  is defined to be  $[G_I] = \{\{d_1, d_2, \dots, d_n\} \mid d_i \in g_i, 1 \leq i \leq n\}$ .

Note: what does “pairwise disjoint” mean? See: [https://en.wikipedia.org/wiki/Disjoint\\_sets](https://en.wikipedia.org/wiki/Disjoint_sets)

In mathematics, two sets are said to be disjoint sets if they have no element in common. Equivalently, disjoint sets are sets whose intersection is the empty set. For example, 1, 2, 3 and 4, 5, 6 are disjoint sets, while 1, 2, 3 and 3, 4, 5 are not.

This definition of disjoint sets can be extended to any family of sets. A family of sets is pairwise disjoint or mutually disjoint if every two different sets in the

family are disjoint. For example, the collection of sets  $1, 2, 3, \dots$  is pairwise disjoint.

Note: What do we mean by “class”? See: [https://en.wikipedia.org/wiki/Class\\_\(set\\_theory\)](https://en.wikipedia.org/wiki/Class_(set_theory))

In set theory and its applications throughout mathematics, a class is a collection of sets (or sometimes other mathematical objects) that can be unambiguously defined by a property that all its members share. The precise definition of "class" depends on foundational context.

Examples:

The collection of all algebraic objects of a given type will usually be a proper class. Examples include the class of all groups, the class of all vector spaces, and many others.

The surreal numbers are a proper class of objects that have the properties of a field.

Within set theory, many collections of sets turn out to be proper classes. Examples include the class of all sets, the class of all ordinal numbers, and the class of all cardinal numbers.

Note: Definition of “Generator” in mathematics:

[https://en.wikipedia.org/wiki/Generator\\_\(mathematics\)](https://en.wikipedia.org/wiki/Generator_(mathematics))

Regarding the pairwise disjoint subsets that go into a generator: The members of any one such set are *competing possibilities* where one such possibility, combined with one possibility each from the other sets, makes up one discrete hypothesis. To put it another way, within each generator-member-set, (or competing-possibility-set) the members compete to explain some portion of the present manifestations in  $M^+$ .

**Definition 3.9:**  $G = \{G_1, G_2, \dots, G_N\}$  is a *generator-set* if each  $G_I \in G$  is a generator and  $[G_I] \cap [G_J] = \emptyset$  for  $I \neq J$ . The class generated by  $G$  is  $[G] = \bigcup_{I=1}^N [G_I]$ .

## DIVISION

Using the disorders evoked by a newly discovered manifestation, a division operation selects from existing hypotheses those which cover both the old manifestations AND the new manifestation.

**Definition 3.10:** Let  $G_I = (g_1, g_2, \dots, g_n)$  be a generator and let  $H_1 \subseteq D$  where  $H_1 \neq \emptyset$ .

Then  $Q = \{Q_k \mid Q_k \text{ is a generator}\}$  is a division of  $G_I$  by  $H_1$  if for all  $k$ ,

$1 < k < n$ ,  $Q_k = (q_{k1}, q_{k2}, \dots, q_{kn})$  where

$$q_{kj} = \begin{cases} g_j - H_1, & \text{if } j < k, \\ g_j \cap H_1, & \text{if } j = k, \\ g_j, & \text{if } j > k \end{cases}$$

Informally, all generators resulting from a division can be considered to be calculated as follows:

For any given order of  $g_j$ 's in  $G_I$ , the first generator in the division of  $G_I$  by  $H_1$  is the same as  $G_I$  except  $g_1$  is replaced by  $g_1 \cap H_1$ . In the second generator,  $g_1$  is replaced by  $g_1 - H_1$ ,  $g_2$  is replaced by  $g_2 \cap H_1$ , other  $g_j$ 's are not changed, and so on. In the  $K^{th}$  generator, all  $g_j$ 's prior to  $g_k$  are replaced by  $g_j - H_1$ ,  $g_k$  is replaced by  $g_k \cap H_1$ , and all  $g_j$ 's after  $g_k$  are unchanged.

It is clear from the foregoing definition that for any generator  $Q_k$  resulting from division of  $G_I$  by  $H_1$ , one set it contains - namely  $q_{kk}$ , is a subset of  $H_1$ . The set difference operations for  $j < k$  are to make sure that the classes for different generators resulting from the division are disjoint and thus to ensure that  $Q$  is a generator-set.

**Definition 3.11:** Let  $G$  be a generator-set and  $H_1 \subseteq D$  where  $H_1 \neq \emptyset$ . A division of  $G$  by  $H_1$  is  $div(G, H_1) = \bigcup_{G_I \in G} div(G_I, H_1)$

**Lemma 3.11:** Let  $G_I$  be a generator,  $G$  be a generator-set, and  $H_1 \subseteq D$  where  $H_1 \neq \emptyset$ . Then:

- (a)  $div(G_I, H_1)$  is a generator-set with  $[div(G_I, H_1)] = \{E \in [G_I] \mid E \cap H_1 \neq \emptyset\}$ ; and
- (b)  $div(G, H_1)$  is a generator-set with  $[div(G, H_1)] = \{E \in [G] \mid E \cap H_1 \neq \emptyset\}$

**Definition 3.12:** Let  $G_I = (g_1, g_2, \dots, g_n)$  be a generator,  $G$  a generator-set, and  $H_1 \subseteq D$  where  $H_1 \neq \emptyset$ . Then the *residual of division* of  $G_I$  by  $H_1$  is

$$res(G_I, H_1) = \begin{cases} \{(g_1 - H_1, \dots, g_n - H_1)\}, & \text{if } g_i - H_1 \neq \emptyset \text{ for all } i, 1 \leq i \leq n \\ \emptyset, & \text{otherwise} \end{cases}$$

and the *residual of division* of  $G$  by  $H_1$  is

$$res(G, H_1) = \bigcup_{G_I \in G} res(G_I, H_1)$$

**Lemma 3.12:** For  $G_I, G$ , and  $H_1$ , as defined in Definition 3.12,

- (a)  $res(G_I, H_1)$  is a generator set with  $[res(G_I, H_1)] = \{E \in [G_I] \mid E \cap H_1 = \emptyset\}$ ; and
- (b)  $res(G, H_1)$  is a generator set with  $[res(G, H_1)] = \{E \in [G] \mid E \cap H_1 = \emptyset\}$

**Definition 3.13:** Let  $G$  and  $Q$  be generator-sets,  $G_I \in G$  and  $Q_J \in Q$  be generators, and  $q_j \in Q_J$ . Then a division of  $G_I$  by  $Q_J$  is

$$\text{div}(G_I, Q_J) = \begin{cases} \{G_I\}, & \text{if } Q_J = \theta \\ \text{div}(\text{div}(G_I, q_j), Q_J - (q_j)), & \text{otherwise} \end{cases}$$

A division of  $G$  by  $Q_J$  is  $\text{div}(G, Q_J) = \bigcup_{G_I \in G} \text{div}(G_I, Q_J)$

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**Lemma 3.13:** Let  $G$ ,  $G_I$ ,  $Q_J$  and  $q_j$  be as defined in Definition 3.13. Then:

- (a)  $\text{div}(G_I, Q_J)$  is a generator-set with  $[\text{div}(G_I, Q_J)] = \{E \in [G_I] \mid \text{there exists } E' \in [Q_J] \text{ where } E' \subseteq E\}$
- (b)  $\text{div}(G, Q_J)$  is a generator set with  $[\text{div}(G, Q_J)] = \{E \in [G] \mid \text{there exists } E' \in [Q_J] \text{ where } E' \subseteq E\}$

**Definition 3.14:** Let  $G$  and  $Q$  be generator-sets,  $G_I \in G$  and  $Q_J \in Q$  be generators,  $q_j \in Q_J$ . Then a *residual of division* of  $G_I$  by  $Q_J$  is

$$\text{res}(G_I, Q_J) = \begin{cases} \theta & \text{if } Q_J = \theta \\ \text{res}(G_I, q_j) \cup \text{res}(\text{div}(G_I, q_j), Q_J - (q_j)), & \text{otherwise} \end{cases}$$

A *residual of division* of  $G$  by  $Q_J$  is

$$\text{res}(G, Q_J) = \bigcup_{G_I \in G} \text{res}(G_I, Q_J)$$

And a *residual of division* of  $G$  by  $Q$  is

$$\text{res}(G, Q) = \begin{cases} G, & \text{if } Q = \theta, \\ \text{res}(\text{res}(G, Q_J), Q - \{Q_J\}), & \text{otherwise} \end{cases}$$

**Lemma 3.14:** Let  $G$ ,  $Q$ ,  $G_I$ ,  $Q_J$ , and  $q_j$  be as defined in definition 3.14. Then:

- (a)  $\text{res}(G_I, Q_J)$  is a generator-set with  $[\text{res}(G_I, Q_J)] = \{E \in [G_I] \mid \text{there does not exist } E' \in [Q_J] \text{ where } E' \subseteq E\}$
- (b)  $\text{res}(G, Q_J)$  is a generator-set with  $[\text{res}(G, Q_J)] = \{E \in [G] \mid \text{there does not exist } E' \in [Q_J] \text{ where } E' \subseteq E\}$
- (c)  $\text{res}(G, Q)$  is a generator-set with:  $[\text{res}(G, Q)] = \{E \in [G] : \text{there does not exist } E' \text{ where } E' \subseteq E\}$

**Definition 3.15:** Let  $G_I = (g_1, g_2, \dots, g_n)$  be a generator,  $G$  a generator-set, and  $H_1 \subseteq D$  where  $H_1 \neq \emptyset$ . Then the *augmented residual* of division of  $G_I$  by  $H_1$  is

$$augres(G_I, H_1) = \begin{cases} \{(g_1 - H_1, \dots, g_n - H_1, A)\} & \text{if } g_i - H_1 \neq \emptyset, 1 \leq i \leq n, A \neq \emptyset \\ \emptyset, & \text{otherwise} \end{cases}$$

where  $A = H_1 - \bigcup_{i=1}^n g_i$

The augmented residual of division of  $G$  by  $H_1$  is

$$augres(G, H_1) = \bigcup_{G_I \in G} augres(G_I, H_1)$$

**Lemma 3.15:** Let  $G_I$ ,  $G$ , and  $H_1$  be as defined in Definition 3.15. Then  $augres(G_I, H_1)$  and  $augres(G, H_1)$  are generator-sets.

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Algorithm BIPARTITE

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**Lemma 3.16:**

**Lemma 3.17:**

**Lemma 3.18:**

**Theorem 3.19:**

**Definition 3.16:**

**Lemma 3.20:**

**Definition 3.17:**

**Lemma 3.21:**

**Definition 3.18:**

**Lemma 3.22:**

**Theorem 3.23:**

**Definition 3.19:**

**Definition 3.20:**

**Lemma 3.24:**

TBD

**Theorem 3.25:**