

# Computing Minimal Unsatisfiable Subsets of Constraints

Author: Shahriar Robbani  
Supervision: Erika Ábrahám

Theory of Hybrid Systems - Informatik 2 - RWTH-Aachen

Seminar Winter-16/17

# Outline

- 1 **Fundamentals**
- 2 **Algorithms for computation all MUSs**

## Fundamentals

- **Propositional Logic Formula:** A well-formed propositional logic has following grammar:

$$\varphi ::= a \mid (\neg\varphi) \mid (\varphi \wedge \varphi)$$

- **Literals:** A literal is a positive or negative instance of Boolean variable. For example,  $x$  or  $\neg x$ .
- **Clause:** It is a disjunction of literals. For example,  $C = (a \vee \neg b \vee c)$ .
- **Conjunctive Normal Form (CNF):** A CNF formula  $\varphi$  is defined as follows:

$$\varphi = \bigwedge_{i=1 \dots n} C_i$$

- **Clause-Selector Variable:** A clause-selector variable,  $w_i$  is defined as:

$$C'_i = (\neg w_i \vee C_i)$$

## Minimal Unsatisfiable Subsets and Minimal Correction Subset

### Minimal Unsatisfiable Subset (MUSs):

$(x)$	$(\neg x)$	$(\neg x \vee y)$	$(\neg x \vee \neg y)$
$\square$	$\square$		
$\square$		$\square$	

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

# Minimal Unsatisfiable Subsets and Minimal Correction Subset

## Minimal Unsatisfiable Subset (MUSs):

$(x)$	$(\neg x)$	$(\neg x \vee y)$	$(\neg x \vee \neg y)$
<input type="checkbox"/>	<input type="checkbox"/>		
<input type="checkbox"/>		<input type="checkbox"/>	

## Minimal Unsatisfiable Subset (MUSs):

$(x)$	$(\neg x)$	$(\neg x \vee y)$	$(\neg x \vee \neg y)$
✓			
	✓	✓	
	✓		✓

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

## MUS \ MCS Duality

- **Hitting Sets:**

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, \dots\}$$

## MUS \ MCS Duality

- **Hitting Sets:**

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, \dots\}$$

## MUS \ MCS Duality

- **Hitting Sets:**

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a, b), (b, c, d)\}$$

$$H = \{(a, b), (b, c), b, \dots\}$$



## MUS \ MCS Duality

- **Hitting Sets:**

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, \dots\}$$

## MUS \ MCS Duality

- **Hitting Sets:**

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, \dots\}$$

$$\text{MinH} = \{(a,c), (a,d), b\}$$

## MUS \ MCS Duality

- **Hitting Sets:**

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, \dots\}$$

$$\text{MinH} = \{(a,c), (a,d), b\}$$

## MUS \ MCS Duality

- **Hitting Sets:**

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, \dots\}$$

$$\text{MinH} = \{(a,c), (a,d), b\}$$

## MUS \ MCS Duality

- **Hitting Sets:**

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, \dots\}$$

$$\text{MinH} = \{(a,c), (a,d), b\}$$

# MUS \ MCS Duality

- Hitting Sets:**

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, \dots\}$$

$$\text{MinH} = \{(a,c), (a,d), b\}$$

- The set of MUSs of a formula  $\varphi$  is equal to the set of minimal hitting sets of the set of MCSs.

$$MCS_1 = \{C_1\}$$

$$MCS_2 = \{C_2, C_3\}$$

$$MCS_3 = \{C_2, C_4\}$$

$$MUS_1 = \{C_1, C_2\}$$

$$MUS_2 = \{C_1, C_3, C_4\}$$

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

# MUS \ MCS Duality

## • Hitting Sets:

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, \dots\}$$

$$\text{MinH} = \{(a,c), (a,d), b\}$$

- The set of MUSs of a formula  $\varphi$  is equal to the set of minimal hitting sets of the set of MCSs.

$$MCS_1 = \{C_1\}$$

$$MCS_2 = \{C_2, C_3\}$$

$$MCS_3 = \{C_2, C_4\}$$

$$MUS_1 = \{C_1, C_2\}$$

$$MUS_2 = \{C_1, C_3, C_4\}$$

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

# MUS \ MCS Duality

## • Hitting Sets:

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, \dots\}$$

$$\text{MinH} = \{(a,c), (a,d), b\}$$

- The set of MUSs of a formula  $\varphi$  is equal to the set of minimal hitting sets of the set of MCSs.

$$MCS_1 = \{C_1\}$$

$$MCS_2 = \{C_2, C_3\}$$

$$MCS_3 = \{C_2, C_4\}$$

$$MUS_1 = \{C_1, C_2\}$$

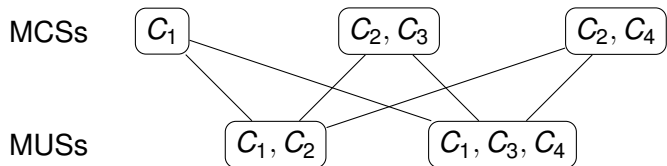
$$MUS_2 = \{C_1, C_3, C_4\}$$

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$



## MUS \ MCS Duality (cnt...)

- Additionally, each MCS is an minimal hitting set of the set of MUSs.
- So, minimal hitting sets of MUSs and MCSs provide a transformation from one collection to the other. This is the duality of MUS and MCS.



$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

## Approach

- 1 Computing all MCSs
- 2 Computing Hitting Sets of MCSs

## Algorithm: Computing all MCSs

- Augment CNF with clause selector variables

$$\varphi = (x) \wedge (\neg x) \wedge (\neg x \vee y) \wedge (\neg x \vee \neg y)$$

$\Downarrow$

$$\varphi' = (\neg w_1 \vee x) \wedge (\neg w_2 \vee \neg x) \wedge (\neg w_3 \vee \neg x \vee y) \wedge (\neg w_4 \vee \neg x \vee \neg y)$$

## Algorithm: Computing all MCSs

- Augment CNF with clause selector variables

$$\varphi = (x) \wedge (\neg x) \wedge (\neg x \vee y) \wedge (\neg x \vee \neg y)$$

$\Downarrow$

$$\varphi' = (\neg w_1 \vee x) \wedge (\neg w_2 \vee \neg x) \wedge (\neg w_3 \vee \neg x \vee y) \wedge (\neg w_4 \vee \neg x \vee \neg y)$$

- Find a solution to the augmented formula with the fewest  $w$ -variables assigned **false**

$$\varphi' = (\neg \text{false} \vee x) \wedge (\neg w_2 \vee \neg x) \wedge (\neg w_3 \vee \neg x \vee y) \wedge (\neg w_4 \vee \neg x \vee \neg y)$$

## Algorithm: Computing all MCSs

- Augment CNF with clause selector variables

$$\varphi = (x) \wedge (\neg x) \wedge (\neg x \vee y) \wedge (\neg x \vee \neg y)$$

$$\Downarrow$$

$$\varphi' = (\neg w_1 \vee x) \wedge (\neg w_2 \vee \neg x) \wedge (\neg w_3 \vee \neg x \vee y) \wedge (\neg w_4 \vee \neg x \vee \neg y)$$

- Find a solution to the augmented formula with the fewest  $w$ -variables assigned **false**

$$\varphi' = (\neg \text{false} \vee x) \wedge (\neg w_2 \vee \neg x) \wedge (\neg w_3 \vee \neg x \vee y) \wedge (\neg w_4 \vee \neg x \vee \neg y)$$

- Add blocking clauses to block old solutions

$$\varphi' = \varphi' \wedge w_1$$

## Algorithm: Computing all MCSs

- Augment CNF with clause selector variables

$$\varphi = (x) \wedge (\neg x) \wedge (\neg x \vee y) \wedge (\neg x \vee \neg y)$$

$$\Downarrow$$

$$\varphi' = (\neg w_1 \vee x) \wedge (\neg w_2 \vee \neg x) \wedge (\neg w_3 \vee \neg x \vee y) \wedge (\neg w_4 \vee \neg x \vee \neg y)$$

- Find a solution to the augmented formula with the fewest  $w$ -variables assigned **false**

$$\varphi' = (\neg \text{false} \vee x) \wedge (\neg w_2 \vee \neg x) \wedge (\neg w_3 \vee \neg x \vee y) \wedge (\neg w_4 \vee \neg x \vee \neg y)$$

- Add blocking clauses to block old solutions

$$\varphi' = \varphi' \wedge w_1$$

- Find MCSs incrementally until all are found.

## Example: Computing all MCSs

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

### Clauses

- 1  $x$
- 2  $\neg x$
- 3  $\neg x \vee y$
- 4  $\neg x \vee \neg y$

## Example: Computing all MCSs

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

### Clauses

- 1  $\neg w_1 \vee x$
- 2  $\neg w_2 \neg x$
- 3  $\neg w_3 \neg x \vee y$
- 4  $\neg w_4 \neg x \vee \neg y$

- 1 Add clause-selector variables.



## Example: Computing all MCSs

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

### Clauses

- 1  $\neg w_1 \vee x$
- 2  $\neg w_2 \neg x$
- 3  $\neg w_3 \neg x \vee y$
- 4  $\neg w_4 \neg x \vee \neg y$

- 1 Add clause-selector variables.
- 2 Add AtMost constraint.

$AtMost(\{w_1, w_2, w_3, w_4\}, 1)$

## Example: Computing all MCSs

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

### Clauses

- 1  $\neg w_1 \vee x$
- 2  $\neg w_2 \neg x$
- 3  $\neg w_3 \neg x \vee y$
- 4  $\neg w_4 \neg x \vee \neg y$
- 5  $w_1$

- 1 Add clause-selector variables.
- 2 Add AtMost constraint.
- 3 First solution :  $w_1$  is **false**. Add blocking clause and a MCS.

### MCSs

- 1  $\{x\}$

$AtMost(\{w_1, w_2, w_3, w_4\}, 1)$

## Example: Computing all MCSs

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

### Clauses

- 1  $\neg w_1 \vee x$
- 2  $\neg w_2 \neg x$
- 3  $\neg w_3 \neg x \vee y$
- 4  $\neg w_4 \neg x \vee \neg y$
- 5  $w_1$

- 1 Add clause-selector variables.
- 2 Add AtMost constraint.
- 3 First solution :  $w_1$  is **false**. Add blocking clause and a MCS.
- 4 No further solutions, increment AtMost.

### MCSs

- 1  $\{x\}$

$$\text{AtMost}(\{w_1, w_2, w_3, w_4\}, 2)$$

## Example: Computing all MCSs

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

### Clauses

- 1  $\neg w_1 \vee x$
- 2  $\neg w_2 \neg x$
- 3  $\neg w_3 \neg x \vee y$
- 4  $\neg w_4 \neg x \vee \neg y$
- 5  $w_1$
- 6  $w_2 \vee w_3$

- 1 Add clause-selector variables.
- 2 Add AtMost constraint.
- 3 First solution :  $w_1$  is **false**. Add blocking clause and a MCS.
- 4 No further solutions, increment AtMost.
- 5 Second solution :  $w_2$  and  $w_3$  are **false**. Add blocking clause and another MCSs.

### MCSs

- 1  $\{x\}$
- 2  $\{\neg x, \neg x \vee y\}$

$$AtMost(\{w_1, w_2, w_3, w_4\}, 2)$$

## Example: Computing all MCSs

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

### Clauses

- 1  $\neg w_1 \vee x$
- 2  $\neg w_2 \neg x$
- 3  $\neg w_3 \neg x \vee y$
- 4  $\neg w_4 \neg x \vee \neg y$
- 5  $w_1$
- 6  $w_2 \vee w_3$
- 7  $w_2 \vee w_4$

- 1 Add clause-selector variables.
- 2 Add AtMost constraint.
- 3 First solution :  $w_1$  is **false**. Add blocking clause and a MCS.
- 4 No further solutions, increment AtMost.
- 5 Second solution :  $w_2$  and  $w_3$  are **false**. Add blocking clause and another MCSs.
- 6 Third solution :  $w_2$  and  $w_4$  are **false**. Add blocking clause and another MCSs.

### MCSs

- 1  $\{x\}$
- 2  $\{\neg x, \neg x \vee y\}$
- 3  $\{\neg x, \neg x \vee \neg y\}$

$$\text{AtMost}(\{w_1, w_2, w_3, w_4\}, 2)$$

## Example: Computing all MCSs

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

### Clauses

- 1  $\neg w_1 \vee x$
- 2  $\neg w_2 \neg x$
- 3  $\neg w_3 \neg x \vee y$
- 4  $\neg w_4 \neg x \vee \neg y$
- 5  $w_1$
- 6  $w_2 \vee w_3$
- 7  $w_2 \vee w_4$

- 1 Add clause-selector variables.
- 2 Add AtMost constraint.
- 3 First solution : w1 is **false**. Add blocking clause and a MCS.
- 4 No further solutions, increment AtMost.
- 5 Second solution : w2 and w3 are **false**. Add blocking clause and another MCSs.
- 6 Third solution : w2 and w4 are **false**. Add blocking clause and another MCSs.
- 7 No further solutions, even without AtMost constraint.

### MCSs

- 1  $\{x\}$
- 2  $\{\neg x, \neg x \vee y\}$
- 3  $\{\neg x, \neg x \vee \neg y\}$

## Algorithm: Computing Hitting Sets of MCSs (For a Branch)

$$MCS_1 = \{x\}$$

$$MCS_2 = \{\neg x, \neg x \vee y\}$$

$$MCS_3 = \{\neg x, \neg x \vee \neg y\}$$

- Select a clause to add to the growing set of MUS:

$$selClause = \neg x, MUS = \neg x$$

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

## Algorithm: Computing Hitting Sets of MCSs (For a Branch)

$$MCS_1 = \{x\}$$

$$MCS_2 = \{\neg x, \neg x \vee y\}$$

$$MCS_3 = \{\neg x, \neg x \vee \neg y\}$$

- Select a clause to add to the growing set of MUS:  
*selClause* =  $\neg x$ , *MUS* =  $\neg x$
- Select a MCS in which *selClause* appears :  
*selMCS* =  $MCS_2$ , *newMCSs* =  $MCSs$

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$



## Algorithm: Computing Hitting Sets of MCSs (For a Branch)

$$MCS_1 = \{x\}$$

$$MCS_2 = \{\neg x, \textcolor{red}{\neg x \vee y}\}$$

$$MCS_3 = \{\neg x, \neg x \vee \neg y\}$$

- Select a clause to add to the growing set of MUS:  
 $selClause = \neg x$ ,  $MUS = \neg x$
- Select a MCS in which  $selClause$  appears :  
 $selMCS = MCS_2$ ,  $newMCSs = MCSs$
- Remove any other clauses of  $selMCS$  from each set of MCSs

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

## Algorithm: Computing Hitting Sets of MCSs (For a Branch)

$$MCS_1 = \{x\}$$

~~$$MCS_2 = \{\neg x\}$$~~

~~$$MCS_3 = \{\neg x, \neg x \vee \neg y\}$$~~

- Select a clause to add to the growing set of MUS:  
*selClause* =  $\neg x$ , *MUS* =  $\neg x$
- Select a MCS in which *selClause* appears :  
*selMCS* =  $MCS_2$ , *newMCSs* = *MCSs*
- Remove any other clauses of *selMCS* from each set of MCSs
- Remove MCSs from *newMCSs* in which *selClause* contains.

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

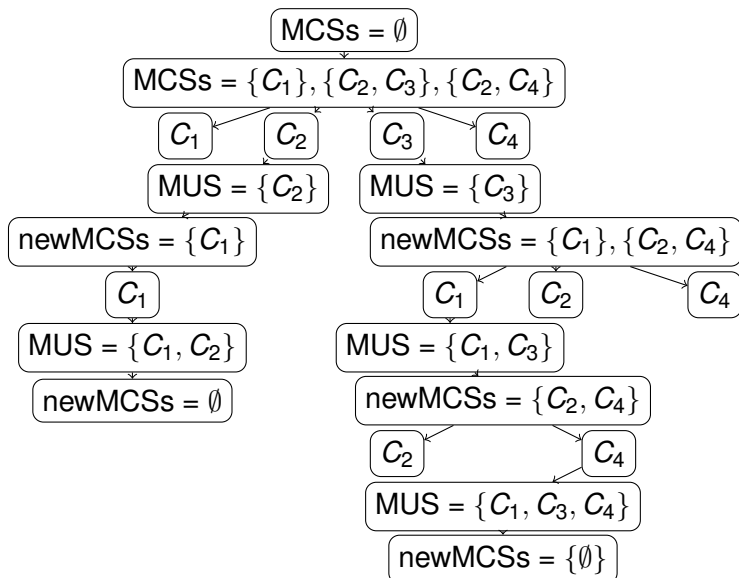
## Algorithm: Computing Hitting Sets of MCSs (For a Branch)

$$MCS_1 = \{x\}$$

- Select a clause to add to the growing set of MUS:  
 $selClause = \neg x$ ,  $MUS = \neg x$
- Select a MCS in which  $selClause$  appears :  
 $selMCS = MCS_2$ ,  $newMCSs = MCSs$
- Remove any other clauses of  $selMCS$  from each set of MCSs
- Remove MCSs from  $newMCSs$  in which  $selClause$  contains.
- Iterate until  $newMCSs = \emptyset$ , empty  $newMCSs$  is found by generating a MUS  $\{x, \neg x\}$

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

## Example: Computing Hitting Sets of MCSs



Thank You!