# Computing Minimal Unsatisfiable Subsets of Constraints

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Theory of Hybrid Systems - Informatik 2 - RWTH-Aachen

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#### **Outline**

- Preliminaries
- 2 Minimal Unsatisfiable Subsets and Minimal Correction Subset
- **3** MUS \ MCS Duality
- 4 Algorithms for compution all MUSs

#### **Preliminaries**

 Propositional Logic Formula: A well-formed propositional logic has following grammar:

$$\varphi := \mathbf{a} \mid (\neg \varphi) \mid (\varphi \wedge \varphi)$$

- Literals: A literal is a positive or negative instance of Boolean variable. For example, x or ¬x.
- Clause: It is a disjunction of literals. For example,  $C = (a \lor \neg b \lor c)$ .
- Conjunctive Normal Form (CNF): A CNF formula  $\varphi$  is defined as follows:

$$\varphi = \bigwedge_{i=1...n} C_i$$

Clause-Selector Variable: A clause-selector variable, w<sub>i</sub> is defined as:

$$C'_i = (\neg w_i \lor C_i)$$

#### Minimal Unsatisfiable Subsets and Minimal Correction Subset

#### Minimal Unsatisfiable Subset (MUSs):

(x)	(¬ <i>x</i> )	$(\neg x \lor y)$	$(\neg x \lor \neg y)$

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

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$\checkmark$			
	✓	✓	
	✓		✓

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

$$D = \{a, b, c, d\}$$
  

$$\Omega = \{(a,b), (b,c,d)\}$$
  

$$H = \{(a,b), (b,c), b, ...\}$$

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, ...\}$$

D = {a, b, c, d}  

$$\Omega = \{(a,b),(b,c,d)\}$$
  
H = {(a,b), (b,c), b, ...}

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, ...\}$$

$$\begin{split} D &= \{a, b, c, d\} \\ \Omega &= \{(a,b), (b,c,d)\} \\ H &= \{(a,b), (b,c), b, \ldots\} \\ MinH &= \{(a,c), (a,d), b\} \end{split}$$

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, ...\}$$

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#### • Hitting Sets:

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, ...\}$$

$$MinH = \{(a,c), (a,d), b\}$$

• The set of MUSs of a formula  $\varphi$  is equal to the set of minimal hitting sets of the set of MCSs.

$$egin{aligned} MCS_1 &= \{C_1\} \ MCS_2 &= \{C_2,C_3\} \ MCS_3 &= \{C_2,C_4\} \ MUS_1 &= \{C_1,C_2\} \ MUS_2 &= \{C_1,C_3,C_4\} \ &arphi &= \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_4} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4} \end{aligned}$$

• Hitting Sets:

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, ...\}$$

$$MinH = \{(a,c), (a,d), b\}$$

• The set of MUSs of a formula  $\varphi$  is equal to the set of minimal hitting sets of the set of MCSs.

$$\begin{aligned}
MCS_1 &= \{ \begin{matrix} C_1 \\ MCS_2 &= \{ \begin{matrix} C_2 \\ C_3 \end{matrix} \} \\
MCS_3 &= \{ \begin{matrix} C_2 \\ C_4 \end{matrix} \} \\
MUS_1 &= \{ \begin{matrix} C_1 \\ C_2 \end{matrix} \} \\
MUS_2 &= \{ \begin{matrix} C_1 \\ C_3 \end{matrix} , \begin{matrix} C_4 \end{matrix} \}
\end{aligned}$$

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \lor y)}_{C_3} \wedge \underbrace{(\neg x \lor \neg y)}_{C_4}$$

#### • Hitting Sets:

$$D = \{a, b, c, d\}$$

$$\Omega = \{(a,b), (b,c,d)\}$$

$$H = \{(a,b), (b,c), b, ...\}$$

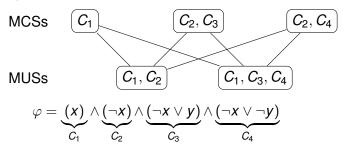
$$MinH = \{(a,c), (a,d), b\}$$

• The set of MUSs of a formula  $\varphi$  is equal to the set of minimal hitting sets of the set of MCSs.

$$\begin{aligned}
MCS_1 &= \{C_1\} \\
MCS_2 &= \{C_2, C_3\} \\
MCS_3 &= \{C_2, C_4\} \\
MUS_1 &= \{C_1, C_2\} \\
MUS_2 &= \{C_1, C_3, C_4\} \\
\varphi &= \underbrace{(X)}_{C_1} \wedge \underbrace{(\neg X)}_{C_2} \wedge \underbrace{(\neg X \vee y)}_{C_4} \wedge \underbrace{(\neg X \vee \neg y)}_{C_4}
\end{aligned}$$

#### MUS \ MCS Duality (cnt...)

- Additionally, each MCS is an minimal hitting set of the set of MUSs.
- So, minimal hitting sets of MUSs and MCSs provide a transformation from one collection to the other. This is the duality of MUS and MCS.



 $\label{thm:compution} \textit{Preliminaries} \quad \textit{Minimal Unsatisfiable Subsets and Minimal Correction Subset} \quad \textit{MUS} \setminus \textit{MCS Duality} \quad \textit{Algorithms for compution all MUS} \\$ 

# **Approach**

- Computing all MCSs
- 2 Computing Hitting Sets of MCSs

Augment CNF with clause selector variables

$$\varphi = (x) \land (\neg x) \land (\neg x \lor y) \land (\neg x \lor \neg y)$$

$$\Downarrow$$

$$\varphi' = (\neg w_1 \lor x) \land (\neg w_2 \lor \neg x) \land (\neg w_3 \lor \neg x \lor y) \land (\neg w_4 \lor \neg x \lor \neg y)$$

Augment CNF with clause selector variables

$$\varphi = (x) \land (\neg x) \land (\neg x \lor y) \land (\neg x \lor \neg y)$$

$$\downarrow$$

$$\varphi' = (\neg w_1 \lor x) \land (\neg w_2 \lor \neg x) \land (\neg w_3 \lor \neg x \lor y) \land (\neg w_4 \lor \neg x \lor \neg y)$$

 Find a solution to the augmented formula with the fewest w-variables assigned false

$$\varphi' = (\neg \mathsf{false} \lor x) \land (\neg w_2 \lor \neg x) \land (\neg w_3 \lor \neg x \lor y) \land (\neg w_4 \lor \neg x \lor \neg y)$$

Augment CNF with clause selector variables

$$\psi$$

$$\varphi' = (\neg \mathbf{w}_1 \lor \mathbf{x}) \land (\neg \mathbf{w}_2 \lor \neg \mathbf{x}) \land (\neg \mathbf{w}_3 \lor \neg \mathbf{x} \lor \mathbf{y}) \land (\neg \mathbf{w}_4 \lor \neg \mathbf{x} \lor \neg \mathbf{y})$$

 Find a solution to the augmented formula with the fewest w-variables assigned false

$$\varphi' = (\neg \mathsf{false} \lor x) \land (\neg w_2 \lor \neg x) \land (\neg w_3 \lor \neg x \lor y) \land (\neg w_4 \lor \neg x \lor \neg y)$$

 $\varphi = (x) \wedge (\neg x) \wedge (\neg x \vee y) \wedge (\neg x \vee \neg y)$ 

Add blocking clauses to block old solutions

$$\varphi' = \varphi' \wedge \mathbf{W}_1$$

Augment CNF with clause selector variables

$$\psi$$

$$\varphi' = (\neg \mathbf{w}_1 \lor \mathbf{x}) \land (\neg \mathbf{w}_2 \lor \neg \mathbf{x}) \land (\neg \mathbf{w}_3 \lor \neg \mathbf{x} \lor \mathbf{y}) \land (\neg \mathbf{w}_4 \lor \neg \mathbf{x} \lor \neg \mathbf{y})$$

 Find a solution to the augmented formula with the fewest w-variables assigned false

$$\varphi' = (\neg \mathsf{false} \lor x) \land (\neg w_2 \lor \neg x) \land (\neg w_3 \lor \neg x \lor y) \land (\neg w_4 \lor \neg x \lor \neg y)$$

 $\varphi = (x) \wedge (\neg x) \wedge (\neg x \vee y) \wedge (\neg x \vee \neg y)$ 

Add blocking clauses to block old solutions

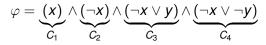
$$\varphi' = \varphi' \wedge \mathbf{W}_1$$

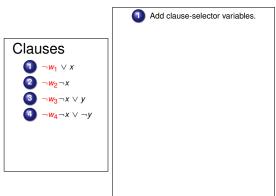
• Find MCSs incrementally until all are found.

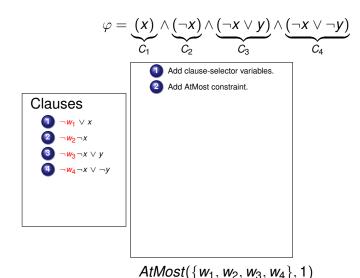
$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

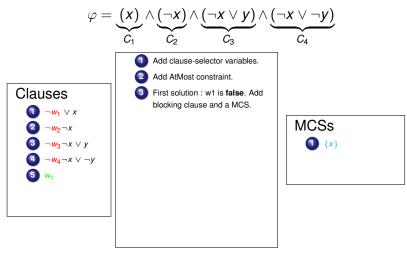
#### Clauses











 $AtMost(\{w_1, w_2, w_3, w_4\}, 1)$ 

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

1 Add clause-selector variables.
2 Add AtMost constraint.
3 First solution: w1 is **false**. Add blocking clause and a MCS.
4 No further solutions, increment AtMost.

MCSS

$$w_1 = \underbrace{(x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

 $AtMost(\{w_1, w_2, w_3, w_4\}, 2)$ 

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

- Clauses
  - $\bigcirc \neg w_1 \lor x$

  - $\sqrt{4} \neg w_4 \neg x \lor \neg y$

  - $\bigcirc$   $W_2 \lor W_3$

- Add clause-selector variables.
  - Add AtMost constraint.
  - First solution : w1 is false. Add blocking clause and a MCS.
  - No further solutions, increment AtMost.
  - Second solution: w2 and w3 are false. Add blocking clause and another MCSs.

# **MCSs**

 $AtMost(\{w_1, w_2, w_3, w_4\}, 2)$ 

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

Add clause-selector variables.

- Clauses
  - $\bigcirc w_1 \lor x$

  - $\bigcirc \neg w_3 \neg x \lor y$
  - $\sqrt{4} \neg w_4 \neg x \lor \neg y$
  - 5 w<sub>1</sub>
  - 6  $w_2 \lor w_3$ 7  $w_2 \lor w_4$

- Add AtMost constraint.
- First solution : w1 is **false**. Add blocking clause and a MCS.
- No further solutions, increment AtMost.
- Second solution: w2 and w3 are false. Add blocking clause and another MCSs.
- Third solution: w2 and w4 are false. Add blocking clause and another MCSs.

# MCSs

- 0

 $AtMost(\{w_1, w_2, w_3, w_4\}, 2)$ 

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

#### Clauses

- $\bigcirc w_1 \lor x$
- $\sqrt{w_2} \sqrt{x}$
- 3 ¬w3¬x ∨ y
- 4  $\neg w_4 \neg x \lor \neg y$
- 5 w<sub>1</sub>
- $\bigcirc$   $w_2 \vee w_3$
- $\sqrt{w_2} \vee w_4$

- Add clause-selector variables.
- Add AtMost constraint.
- First solution: w1 is false. Add blocking clause and a MCS.
- No further solutions, increment
   AtMost.
- Second solution: w2 and w3 are false. Add blocking clause and another MCSs.
- Third solution: w2 and w4 are false. Add blocking clause and another MCSs.
- No further solutions, even without AtMost constraint.

#### MCSs

- (v)
- 3 1-v -v \/ -v1

$$MCS_1 = \{x\}$$

$$MCS_2 = \{\neg x, \neg x \lor y\}$$

$$MCS_3 = \{\neg x, \neg x \lor \neg y\}$$

• Select a clause to add to the growing set of MUS:  $selClause = \neg x$ ,  $MUS = \neg x$ 

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

$$MCS_1 = \{x\}$$
  
 $MCS_2 = \{\neg x, \neg x \lor y\}$   
 $MCS_3 = \{\neg x, \neg x \lor \neg y\}$ 

- Select a clause to add to the growing set of MUS:  $selClause = \neg x$ ,  $MUS = \neg x$
- Select a MCS in which selClause appears : selMCS = MCS<sub>2</sub>, newMCSs = MCSs

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

$$MCS_1 = \{x\}$$
  
 $MCS_2 = \{\neg x, \neg x \lor y\}$   
 $MCS_3 = \{\neg x, \neg x \lor \neg y\}$ 

- Select a clause to add to the growing set of MUS:  $selClause = \neg x$ ,  $MUS = \neg x$
- Select a MCS in which selClause appears : selMCS = MCS<sub>2</sub>, newMCSs = MCSs
- Remove any other clauses of selMCS from each set of MCSs

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

$$MCS_1 = \{x\}$$
  
 $MCS_2 = \{\neg x\}$   
 $MCS_3 = \{\neg x, \neg x \lor \neg y\}$ 

- Select a clause to add to the growing set of MUS:  $selClause = \neg x$ ,  $MUS = \neg x$
- Select a MCS in which selClause appears : selMCS = MCS<sub>2</sub>, newMCSs = MCSs
- Remove any other clauses of selMCS from each set of MCSs
- Remove MCSs from newMCSs in which selClause contains.

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

$$MCS_1 = \{x\}$$

- Select a clause to add to the growing set of MUS:  $selClause = \neg x$ ,  $MUS = \neg x$
- Select a MCS in which selClause appears : selMCS = MCS<sub>2</sub>, newMCSs = MCSs
- Remove any other clauses of selMCS from each set of MCSs
- Remove MCSs from newMCSs in which selClause contains.
- Iterate until  $newMCSs = \emptyset$ , empty newMCSs is found by generating a MUS  $\{x, \neg x\}$

$$\varphi = \underbrace{(x)}_{C_1} \wedge \underbrace{(\neg x)}_{C_2} \wedge \underbrace{(\neg x \vee y)}_{C_3} \wedge \underbrace{(\neg x \vee \neg y)}_{C_4}$$

# **Example: Computing Hitting Sets of MCSs**

$$\begin{array}{c|c} & & \\ &$$

# Thank You!:)