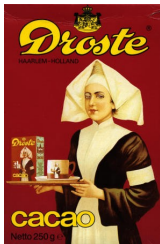


# Recursion

## CS284



# Structure of this week's classes

What is Recursion?

More Examples

Lists

Problem Solving with Recursion

# Recursion (in Programming)

- ▶ The self-referring condition of some **datatypes** whereby a **data element** can be decomposed into “smaller” ones of a “similar” nature
- ▶ The self-referring condition of some **algorithms** whereby a **programming problem** can be decomposed into “smaller” ones of a “similar” nature

# Recursive Datatypes

The self-referring condition of some **datatypes** whereby an element can be decomposed into “smaller” ones of a “similar” nature

- ▶ Natural Numbers  $N$ :
  - ▶  $0 \in N$
  - ▶  $1 + n \in N$  if  $n \in N$
- ▶ Lists over set  $A$ :  $List_A$ 
  - ▶  $[] \in List_A$
  - ▶  $a :: l \in List_A$  if  $a \in A$  and  $l \in List_A$
- ▶ Trees: We'll study them later

# Recursive Programs

The self-referring condition of some **algorithms** whereby a problem can be decomposed into “smaller” ones of a “similar” nature

- ▶ Computing the size of a list  $l$ 
  - ▶ If it is empty, return 0
  - ▶ If not, compute the size of  $l$  without the head element and add 1
- ▶ Computing the factorial of a number  $n$ 
  - ▶ If it is zero, return 1
  - ▶ If not, compute the factorial of  $n - 1$  and multiply by  $n$

Lets take a closer look at the second example

# Factorial – Mathematically

$$0! \stackrel{\text{def}}{=} 1$$

$$n! \stackrel{\text{def}}{=} n * !(n - 1), \quad n > 0$$

- ▶ The first clause is the **base** case
- ▶ The second clause is the **recursive** case

$$\begin{aligned} 5! &= 5 * 4! \\ &= 5 * 4 * 3! \\ &= 5 * 4 * 3 * 2! \\ &= 5 * 4 * 3 * 2 * 1! \\ &= 5 * 4 * 3 * 2 * 1 * 0! \\ &= 5 * 4 * 3 * 2 * 1 * 1 \\ &= 120 \end{aligned}$$

# Factorial – Java

```
public static int factorial(int n) {  
    if (n == 0)  
        return 1;  
    else  
        return n * factorial(n - 1);  
}
```

- ▶ Consider `factorial(4)`
- ▶ We follow its execution by tracing each recursive call

# Stacks and Calls

```
public static int factorial(int n) {  
    if (n == 0)  
        return 1;  
    else  
        return n * factorial(n - 1);  
}
```

► On the board: `factorial(4)`



# Infinite Recursion and Stack Overflow

```
public static int factorial(int n) {  
    if (n == 0)  
        return 1;  
    else  
        return n * factorial(n-1);  
}
```

- ▶ What happens if we execute `factorial(-2)`?

# Infinite Recursion and Stack Overflow

```
public static int factorial(int n) {  
    if (n == 0)  
        return 1;  
    else  
        return n * factorial(n-1);  
}
```

- ▶ What happens if we execute `factorial(-2)`?
- ▶ Exception in thread "main" java.lang.StackOverflowError

# Some Questions

What's wrong with this program?

```
public static int factorial(int n) {  
    if (n == 0)  
        return 0;  
    else  
        return n * factorial(n-1);  
}
```

What about this one?

```
public static int factorial(int n) {  
    if (n == 0)  
        return 1;  
    else  
        return n * factorial(n+1);  
}
```

# Tail Recursion

- ▶ Only one recursive call
- ▶ It is the last instruction performed

```
public static int factorialAux(int n, int a) {  
    if (n == 0)  
        return a;  
    else  
        return factorial(n-1, n*a);  
}  
  
public static int factorial(int n) {  
    return factorialAux(n,1);  
}
```

## Computing Factorial Iteratively (i.e. without recursion)

```
public static int factorial_it(int n) {  
    int r = 1;  
    for (int i=1; i<n+1; i++) {  
        r = r * i;  
    }  
    return r;  
}
```

The above code can be obtained automatically from the tail recursive version:

```
public static int factorialAux(int n, int a) {  
    if (n == 0)  
        return a;  
    else  
        return factorial(n-1, n*a);  
}  
  
public static int factorial(int n) {  
    return factorialAux(n,1);  
}
```

# Iteration vs Recursion

- ▶ Recursive methods often have slower execution times relative to their iterative counterparts
  - ▶ Modern optimizing compilers make this difference often imperceptible
- ▶ The overhead for loop repetition is smaller than the overhead for a method call and return
- ▶ If it is easier to conceptualize an algorithm using recursion, then you should code it as a recursive method
- ▶ The reduction in efficiency does not outweigh the advantage of readable code that is easy to debug

What is Recursion?

More Examples

Lists

Problem Solving with Recursion

# Fibonacci - In Maths

The Fibonacci numbers are a sequence defined as follows

$$fib(0) \stackrel{def}{=} 1$$

$$fib(1) \stackrel{def}{=} 1$$

$$fib(n) \stackrel{def}{=} fib(n-1) + fib(n-2), n > 1$$

1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, ...



# Fibonacci - Implemented as a Recursive Program

```
public static int fibonacci(int n)
{
    if (n<=1)
        return 1;
    else
        return fibonacci(n-1) + fibonacci(n-2);
}
```

## Efficiency of `fibonacci`

What is the complexity of `fibonacci(n)`?

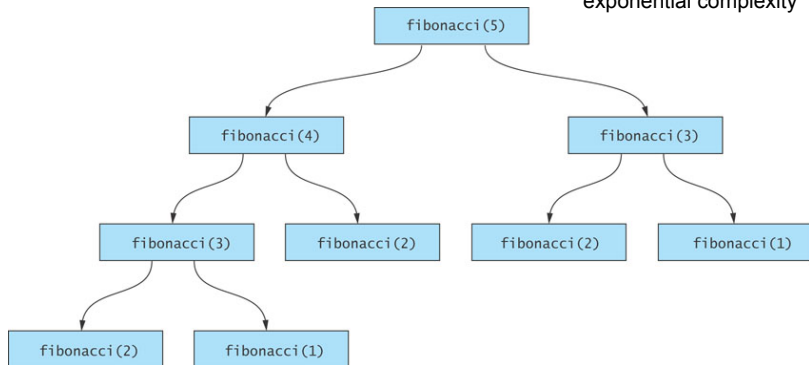
- ▶ Let's draw a picture of the trace of execution of `fibonacci(5)`

# Efficiency of `fibonacci`

What is the complexity of `fibonacci(n)`?

- Let's draw a picture of the trace of execution of `fibonacci(5)`

Inefficient:  
exponential complexity



- How can we do better?

# Efficient fibonacci

```
private static int ffib(int prevFibo, int currentFibo, int n)
{
    if (n==0)
        return currentFibo;
    else
        return ffib(currentFibo, prevFibo+currentFibo, n-1);
}

public static int ffibonacciStart(int n) {
    return ffib(0, 1, n);
}
```

What is the complexity of `ffibonacciStart(n)`?

- ▶ Let's draw a picture of the trace of execution of `ffibonacciStart(5)`

# Efficient fibonacci

- ▶ Method fibo is an example of **tail recursion** or last-line recursion
- ▶ When recursive call is the last line of the method, arguments and local variables do not need to be saved in the activation frame
- ▶ They can be easily implemented using iteration

What is Recursion?

More Examples

Lists

Problem Solving with Recursion

# Lists

- ▶ Lists are examples of recursive data structures, as already mentioned
  - ▶ A list is either empty or a head node followed by the rest of the list (its tail)
- ▶ Let's see some examples of methods for Linked Lists implemented using recursion
- ▶ We'll define a class `LinkedListRec<E>`

LinkedListRec<E>

```
public class LinkedListRec<E> {  
    private Node<E> head;  
  
    // inner class Node<E> here  
    // (from lecture on linked lists)  
  
    private int size(Node<E> head) {  
        if (head == null) {  
            return 0;  
        } else {  
            return 1 + size(head.next);  
        }  
    }  
}  
  
public int size() {  
    return size(head);  
}
```



```
private String toString(Node<E> head) {  
    if (head == null) {  
        return "";  
    } else {  
        return head.data + "\n" + toString(head.next);  
    }  
}  
  
@Override  
public String toString() {  
    return toString(head);  
}
```

```
private void replace(Node<E> head, E oldObj, E newObj) {  
    if (head != null) {  
        if (oldObj.equals(head.data)) {  
            head.data = newObj;  
        }  
        replace(head.next, oldObj, newObj);  
    }  
}  
  
public void replace(E oldObj, E newObj) {  
    replace(head, oldObj, newObj);  
}
```

```
private void add(Node<E> head, E data) {  
    // If the list has just one element, add to it.  
    if (head.next == null) {  
        head.next = new Node<E>(data);  
    } else {  
        add(head.next, data); // Add to rest of list.  
    }  
}  
  
public void add(E data) {  
    if (head == null) {  
        head = new Node<E>(data); // List has 1 node.  
    } else {  
        add(head, data);  
    }  
}
```

```
private boolean remove(Node<E> head, Node<E> pred, E outData) {
    if (head == null) // Base case -- empty list.
    { return false; }
    else if (head.data.equals(outData)) { // 2nd base case.
        pred.next = head.next; // Remove head.
        return true;
    } else {
        return remove(head.next, head, outData);
    }
}

public boolean remove(E outData) {
    if (head == null) {
        return false;
    } else if (head.data.equals(outData)) {
        head = head.next;
        return true;
    } else {
        return remove(head.next, head, outData);
    }
}
```

# Recursive Search in an Ordered List

- ▶ Searching an array can be accomplished using recursion
- ▶ Simplest way to search is a linear search
  - ▶ Examine one element at a time starting with the first element and ending with the last
  - ▶ On average,  $(n + 1)/2$  elements are examined to find the target in a linear search. If the target is not in the list,  $n$  elements are examined
- ▶ A linear search is  $\mathcal{O}(n)$

# Recursive Search in an Ordered List

- ▶ Base cases for recursive search:
  - ▶ Empty array, target can not be found; result is -1
  - ▶ First element of the array being searched = target; result is the subscript of first element
- ▶ The recursive step searches the rest of the array, excluding the first element

# Algorithm for Recursive Linear Array Search

- ▶ if the array is empty the result is  $-1$
- ▶ else if the first element matches the target the result is the subscript of the first element
- ▶ else search the array excluding the first element and return the result

# Algorithm for Recursive Linear Array Search

```
private static int linearSearch(E[] items, E target, int posFirst) {  
    if (posFirst == items.length) {  
        return -1;  
    } else if (target.equals(items[posFirst])) {  
        return posFirst;  
    } else {  
        return linearSearch(items, target, posFirst+1);  
    }  
}  
  
public static int linearSearch(E[] items, E target) {  
    return linearSearch(items, target, 0);  
}
```



# Design of a Binary Search Algorithm

- ▶ A binary search can be performed only on an array that has been **sorted**
- ▶ Rather than looking at the first element, a binary search compares the **middle** element for a match with the target
- ▶ A binary search excludes the half of the array within which the target cannot lie
- ▶ Base cases?

# Design of a Binary Search Algorithm

- ▶ A binary search can be performed only on an array that has been **sorted**
- ▶ Rather than looking at the first element, a binary search compares the **middle** element for a match with the target
- ▶ A binary search excludes the half of the array within which the target cannot lie
- ▶ Base cases?
  - ▶ The array is empty
  - ▶ Element being examined matches the target

# Design of a Binary Search Algorithm

- ▶ if the array is **empty**
  - ▶ return -1 as the search result
- ▶ else if the middle element matches the target
  - ▶ return the subscript of the middle element as the result
- ▶ else if the target is **less** than the middle element
  - ▶ recursively search the array elements **before** the middle element and return the result
- ▶ else
  - ▶ recursively search the array elements **after** the middle element and return the result

## Binary Search in an Ordered List – An Example

- ▶ Target: Dustin

Caryn	Debbie	Dustin	Elliot	Jacquie	Jonathan	Rich
0	1	2	3	4	5	6

- ▶ Initial boundaries of “subarray” to search:
  - ▶ The “interval” [first=0,last=6]
  - ▶ That is, the entire array

# Efficiency of Binary Search

- ▶ At each recursive call we eliminate half the array elements from consideration, making a binary search  $\mathcal{O}(\log n)$
- ▶ An array of 16 would search arrays of length 16, 8, 4, 2, and 1; 5 probes in the worst case
  - ▶  $16 = 2^4$
  - ▶  $5 = \log_2 16 + 1$
- ▶ A doubled array size would only require 6 probes in the worst case
  - ▶  $32 = 2^5$
  - ▶  $6 = \log_2 32 + 1$
- ▶ An array with 32,768 elements requires only 16 probes!  
( $\log_2 32768 = 15$ )

# Implementation of a Binary Search Algorithm

- ▶ Classes that implement the `Comparable` interface must define a `compareTo` method
- ▶ Method `obj1.compareTo(obj2)` returns an integer with the following values
  - ▶ negative: `obj1 < obj2`
  - ▶ zero: `obj1 == obj2`
  - ▶ positive: `obj1 > obj2`
- ▶ Implementing the `Comparable` interface is an efficient way to compare objects during a search

# Implementation of a Binary Search Algorithm

```
private static int binSearch(E[] items, Comparable<E> target, int first, int last) {
    if (first > last) {
        return -1; // Base case for unsuccessful search.
    } else {
        int middle = (first+last)/2; // Next probe index
        int compResult = target.compareTo(items[middle]);
        if (compResult == 0) {
            return middle; // Base case for succ. search
        } else if (compResult < 0) {
            return binSearch(items, target, first, middle-1);
        } else {
            return binSearch(items, target, middle+1, last);
        }
    }
}

public static int binSearch(E[] items, Comparable<E> target) {
    return binSearch(items, target, 0, items.length - 1);
}
```

What is Recursion?

More Examples

Lists

Problem Solving with Recursion



# Towers of Hanoi

- ▶ Move the three disks to a different peg, maintaining their order (largest disk on bottom, smallest on top, etc.)
- ▶ Only the top disk on a peg can be moved to another peg
- ▶ A larger disk cannot be placed on top of a smaller disk

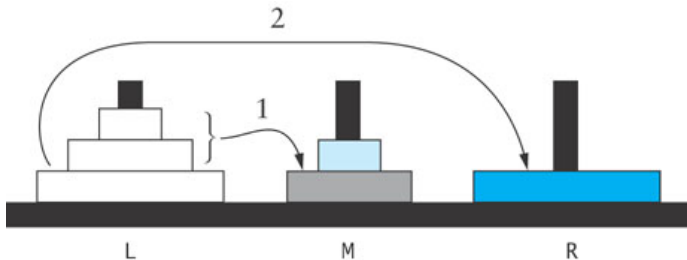
# Towers of Hanoi

- ▶ Problem input:
  - ▶ Number of disks
  - ▶ Starting peg
  - ▶ Destination peg
  - ▶ Temporary peg
- ▶ Problem output:
- ▶ List of moves

# Algorithm for Towers of Hanoi

Solution to Three-Disk Problem: Move Three Disks from Peg L to Peg R

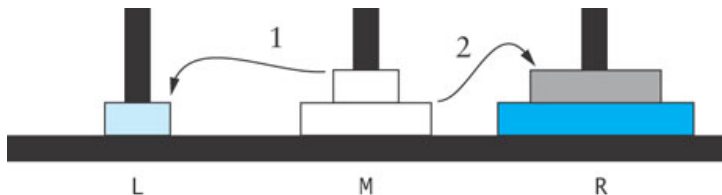
1. Move the top two disks from peg L to peg M.
2. Move the bottom disk from peg L to peg R.
3. Move the top two disks from peg M to peg R.



# Algorithm for Towers of Hanoi

Solution to Two-Disk Problem: Move Top Two Disks from Peg M to Peg R

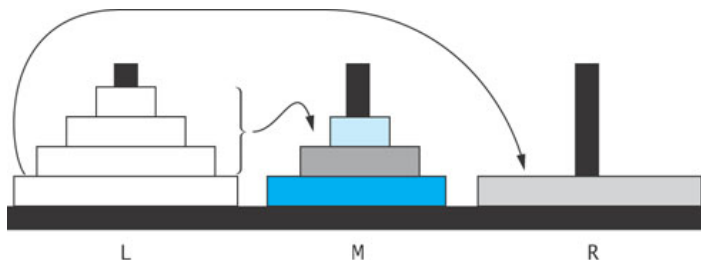
1. Move the top disk from peg M to peg L.
2. Move the bottom disk from peg M to peg R.
3. Move the top disk from peg L to peg R.



# Algorithm for Towers of Hanoi

Solution to Four-Disk Problem: Move Four Disks from Peg L to Peg R

1. Move the top three disks from peg L to peg M.
2. Move the bottom disk from peg L to peg R.
3. Move the top three disks from peg M to peg R.



# Recursive Algorithm for Towers of Hanoi – $n$ -Disk Problem

Move  $n$  Disks from the Starting Peg to the Destination Peg

- ▶ if  $n$  is 1
  1. move disk 1 (the smallest disk) from the starting peg to the destination peg
- ▶ else
  1. move the top  $n - 1$  disks from the starting peg to the temporary peg (neither starting nor destination peg)
  2. move disk  $n$  (the disk at the bottom) from the starting peg to the destination peg
  3. move the top  $n - 1$  disks from the temporary peg to the destination peg

# Java Code

```
public class TowersOfHanoi {  
    public static String showMoves(int n, char startPeg, char destPeg,  
        tempPeg) {  
  
        if (n==1) { // Base case  
            return "Move disk 1 from peg " + startPeg  
                + " to peg " + destPeg + "\n";  
        } else { // Recursive case  
            return showMoves(n-1,startPeg,tempPeg, destPeg)  
                + "Move peg " + n + " from peg " + startPeg  
                + " to peg " + destPeg + "\n "  
                + showMoves(n-1, tempPeg, destPeg, startPeg);  
        }  
    }  
}
```

## 4 disks, (S)ource, (D)estination, (T)emporary

```
Move disk 1 from peg S to peg T
Move peg 2 from peg S to peg D
  Move disk 1 from peg T to peg D
Move peg 3 from peg S to peg T
  Move disk 1 from peg D to peg S
Move peg 2 from peg D to peg T
  Move disk 1 from peg S to peg T
Move peg 4 from peg S to peg D
  Move disk 1 from peg T to peg D
Move peg 2 from peg T to peg S
  Move disk 1 from peg D to peg S
Move peg 3 from peg T to peg D
  Move disk 1 from peg S to peg T
Move peg 2 from peg S to peg D
  Move disk 1 from peg T to peg D
```



# Counting Cells in a Blob

- ▶ Consider how we might process an image that is presented as a two-dimensional array of color values
- ▶ Information in the image may come from
  - ▶ an X-ray
  - ▶ an MRI
  - ▶ satellite imagery
  - ▶ etc.
- ▶ The goal is to determine the size of any area in the image that is considered abnormal because of its color values

# Counting Cells in a Blob

- ▶ Given a two-dimensional grid of cells, each cell contains
  - ▶ either a normal background color (say white) or
  - ▶ a second color (say red), which indicates the presence of an abnormality
- ▶ A blob is a collection of contiguous abnormal cells
- ▶ A user will enter the  $x$ ,  $y$  coordinates of a cell in the blob, and the program will determine the count of all cells in that blob

# Problem Inputs and Outputs

- ▶ Problem Inputs
  - ▶ the two-dimensional grid of cells
  - ▶ the coordinates of a cell in a blob
- ▶ Problem Outputs
  - ▶ the count of cells in the blob

## Algorithm for `countCells(x, y)`

- ▶ if the cell at  $(x, y)$  is outside the grid
  - ▶ the result is 0
- ▶ else if the color of the cell at  $(x, y)$  is not the abnormal color
  - ▶ the result is 0
- ▶ else
  - ▶ set the color of the cell at  $(x, y)$  to a temporary color
  - ▶ the result is 1 plus the number of cells in each piece of the blob that includes a nearest neighbor

# Implementation

```
public class Blob implements GridColors {  
  
    /** The grid */  
    private TwoDimGrid grid;  
  
    /** Constructors */  
    public Blob(TwoDimGrid grid) {  
        this.grid = grid;  
    }  
}
```

- ▶ `GridColors` is an interface that simply assigns constants to colors
- ▶ We'll see it in the next slide

# The `GridColors` Interface

```
import java.awt.Color;

/**
 * An interface for colors
 * @author Koffman and Wolfgang
 */
public interface GridColors {

    Color PATH = Color.green;
    Color BACKGROUND = Color.white;
    Color NON_BACKGROUND = Color.red;
    Color ABNORMAL = NON_BACKGROUND;
    Color TEMPORARY = Color.black;
}
```

- ▶ The `PATH` constant is not used in this example; it is for the maze

# Implementation

```
public int countCells(int x, int y) {
    int result;

    if (x < 0 || x >= grid.getNCols()
        || y < 0 || y >= grid.getNRows()) {
        return 0;
    } else if (!grid.getColor(x, y).equals(ABNORMAL)) {
        return 0;
    } else {
        grid.recolor(x, y, TEMPORARY);
        return 1
            + countCells(x - 1, y + 1) + countCells(x, y + 1)
            + countCells(x + 1, y + 1) + countCells(x - 1, y)
            + countCells(x + 1, y) + countCells(x - 1, y - 1)
            + countCells(x, y - 1) + countCells(x + 1, y - 1);
    }
}
```