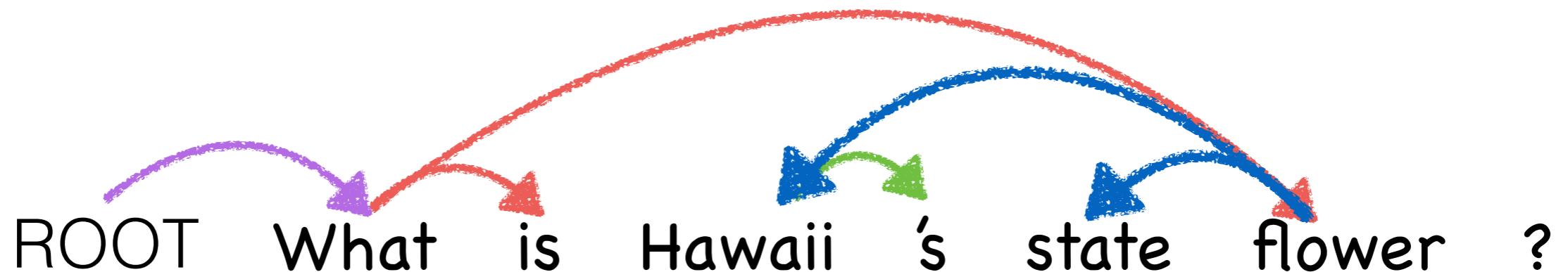


# Dependency-based Convolutional Neural Networks for Sentence Embedding



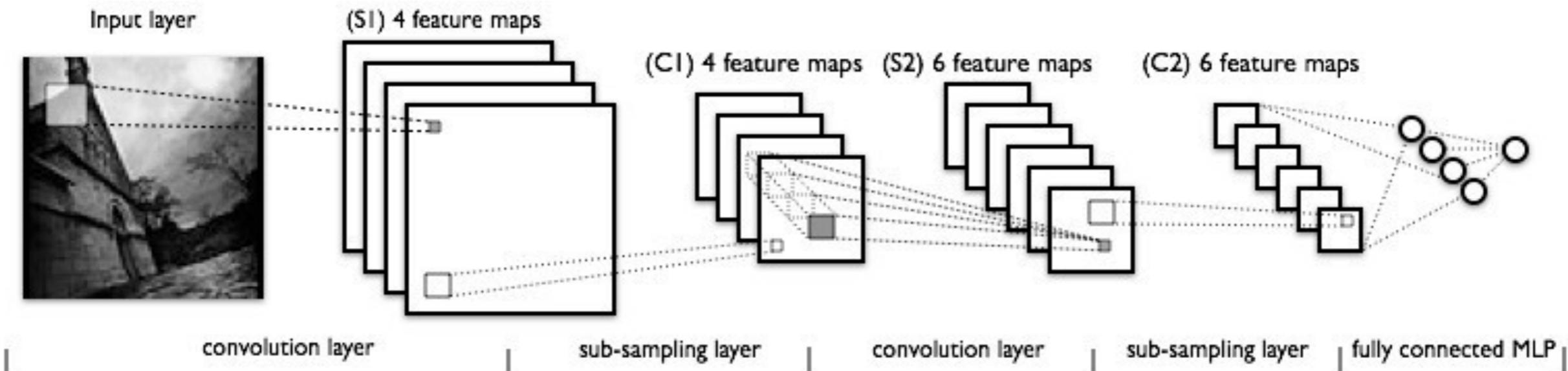
Mingbo Ma Liang Huang Bing Xiang Bowen Zhou  
CUNY IBM T. J. Watson



ACL 2015  
Beijing



# Convolutional Neural Network for NLP



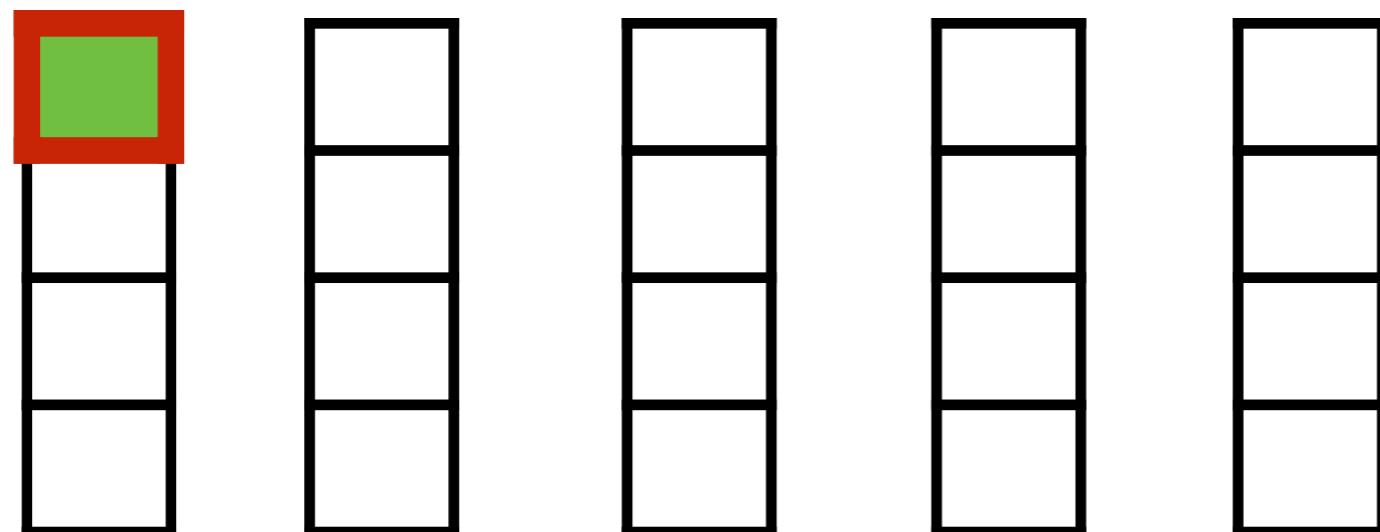
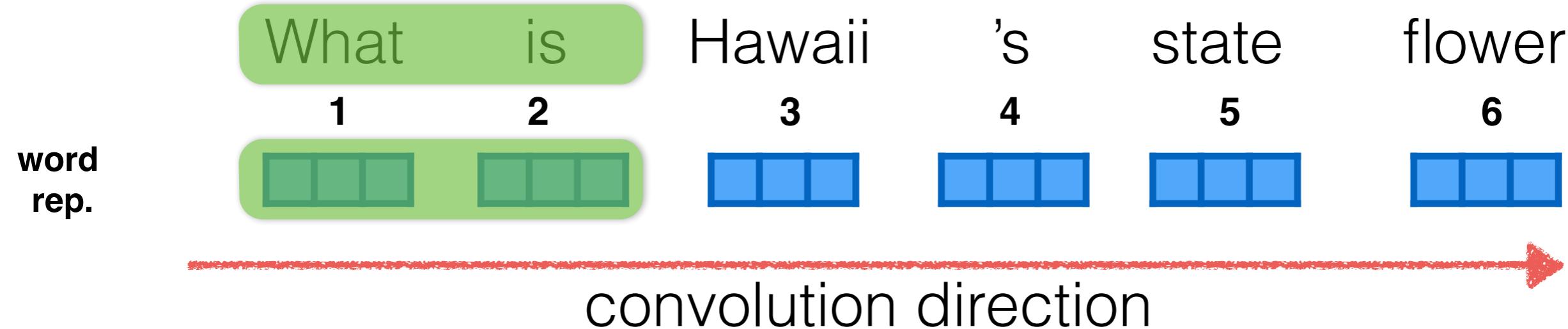
Kalchbrenner et al. (2014) and Kim (2014) apply CNNs to sentence modeling

- alleviates data sparsity by word embedding
- sequential order (sentence) instead of spatial order (image)

**Should use more linguistic and structural information!**

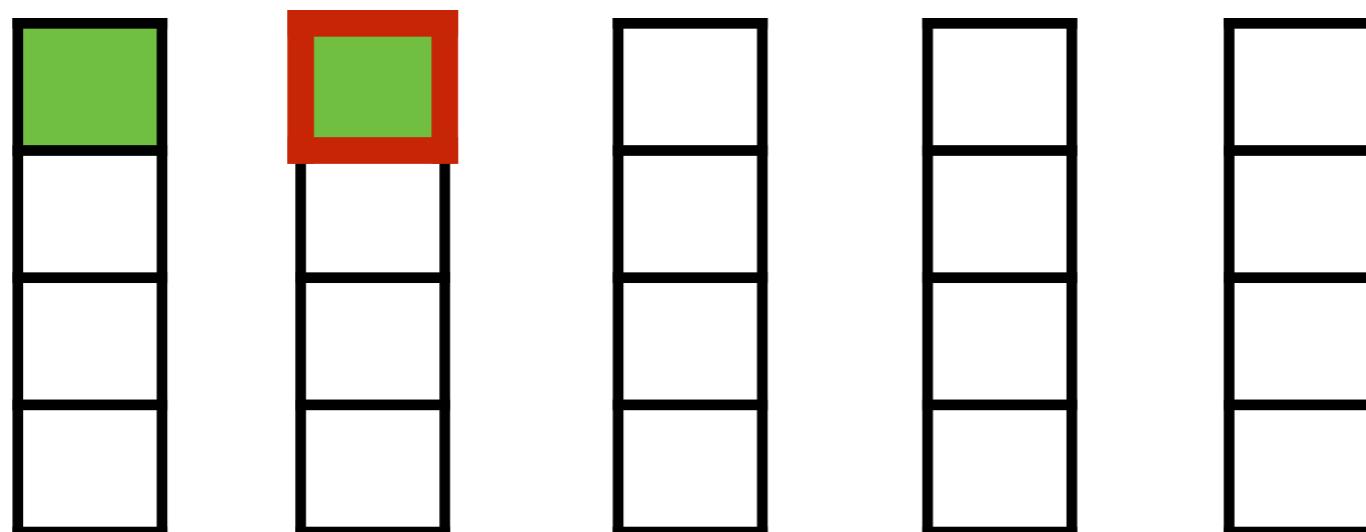
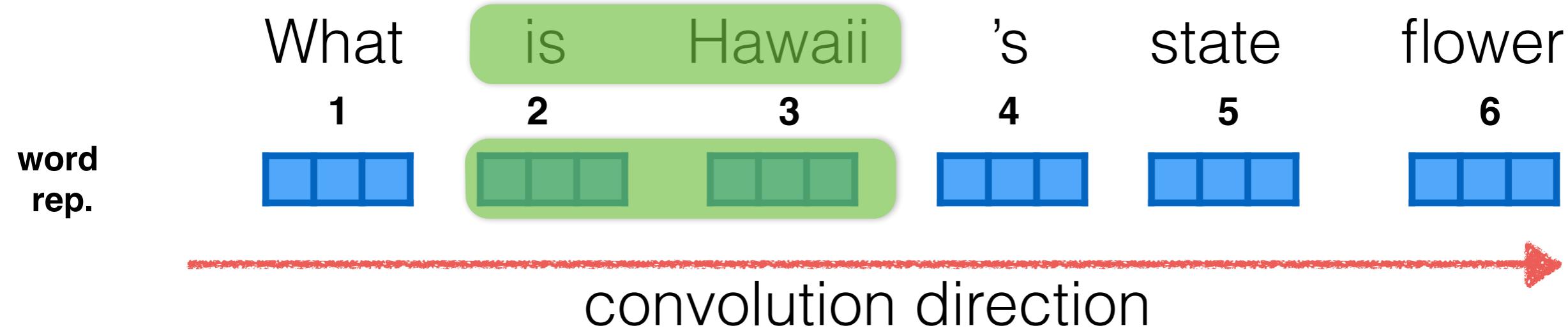
# Sequential Convolution

## Sequential convolution



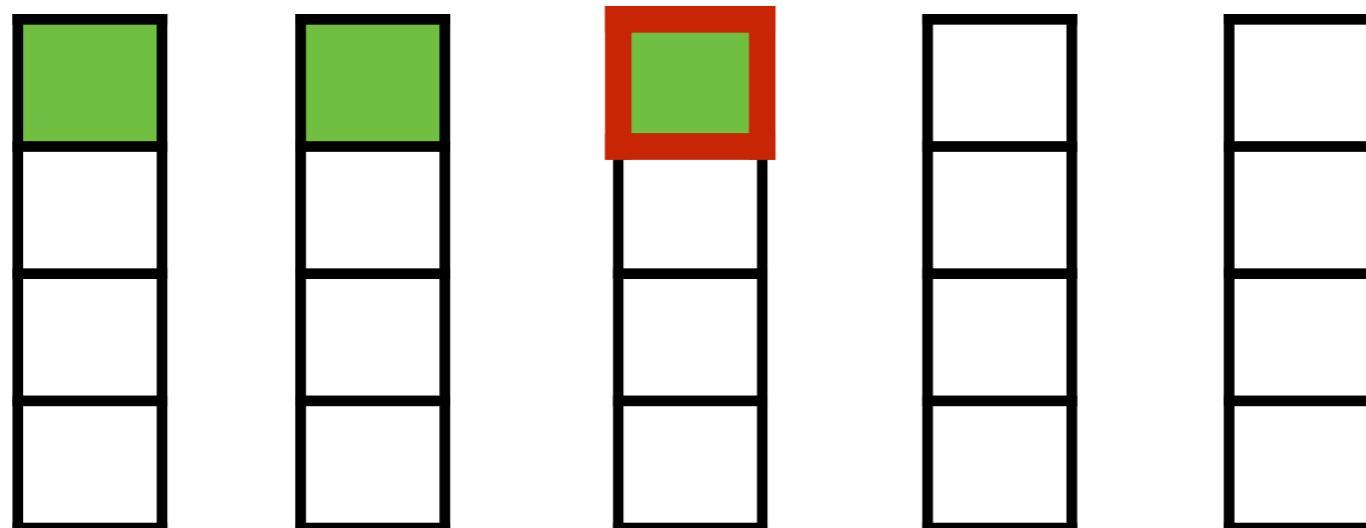
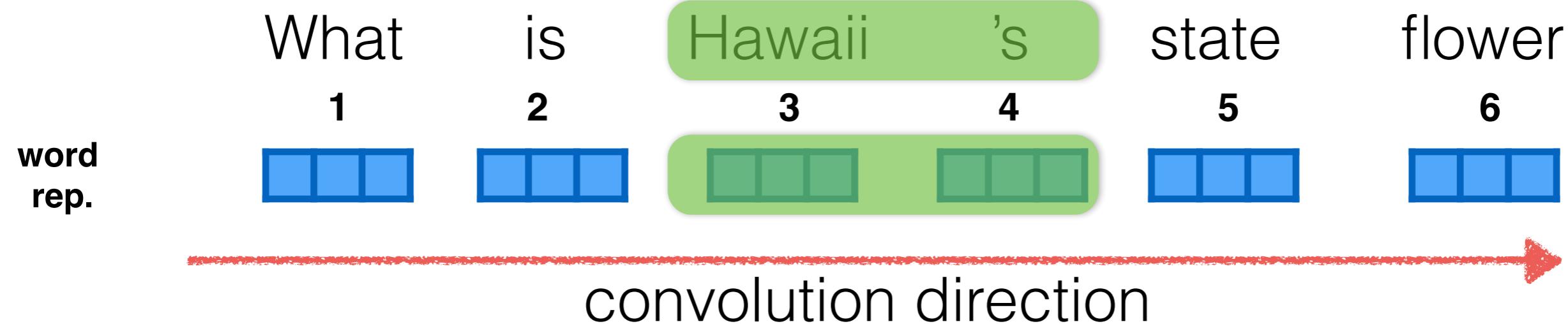
# Sequential Convolution

## Sequential convolution



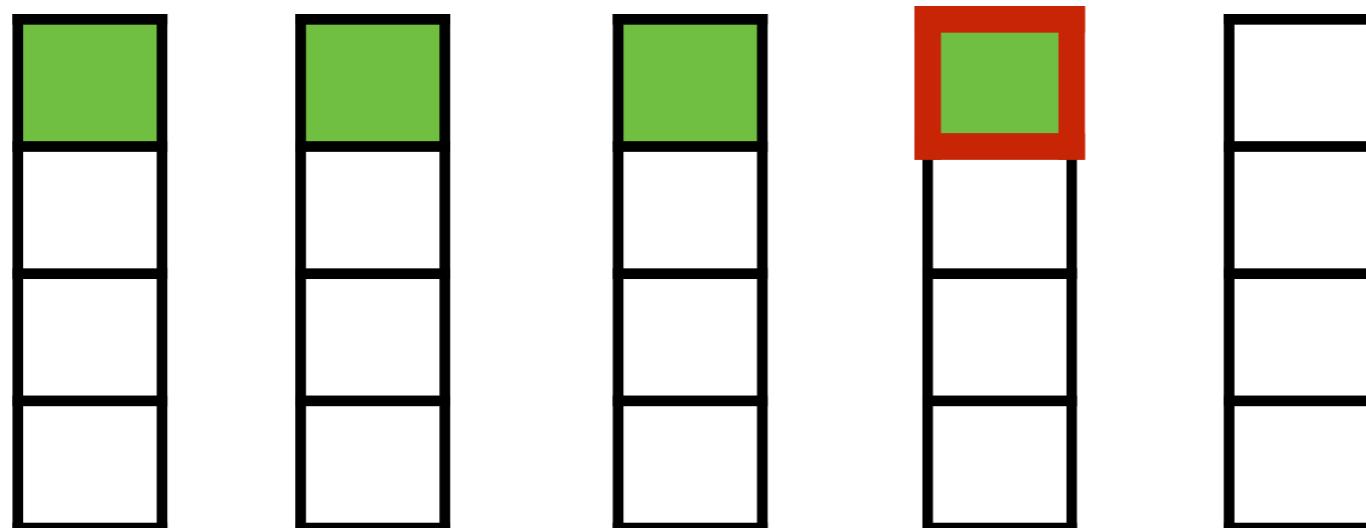
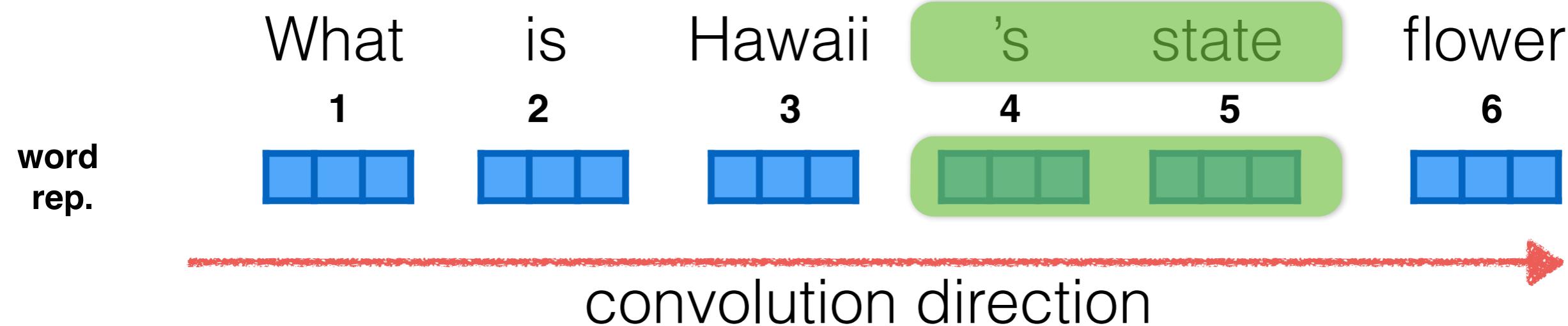
# Sequential Convolution

## Sequential convolution



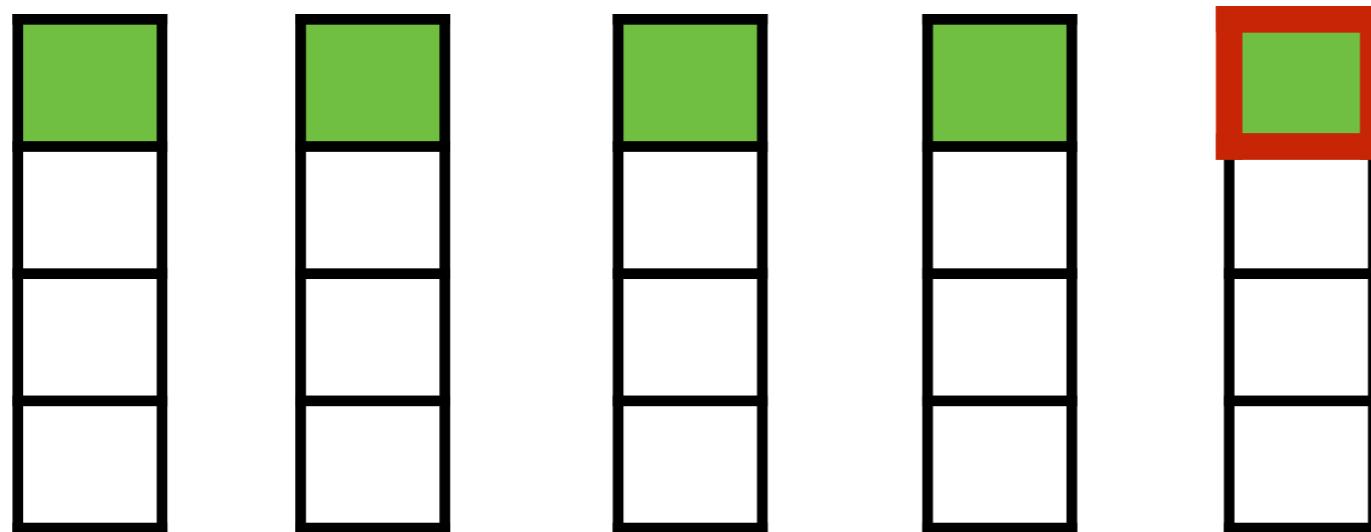
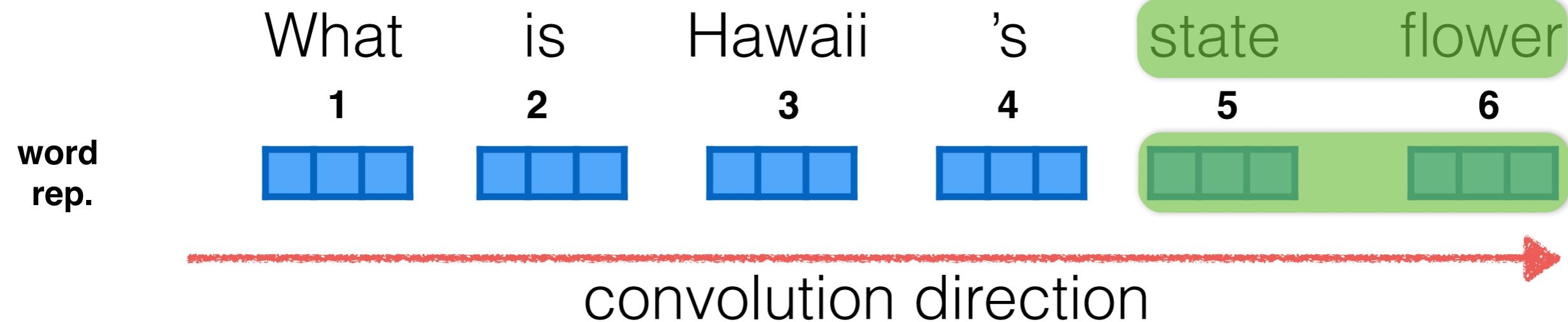
# Sequential Convolution

## Sequential convolution



# Sequential Convolution

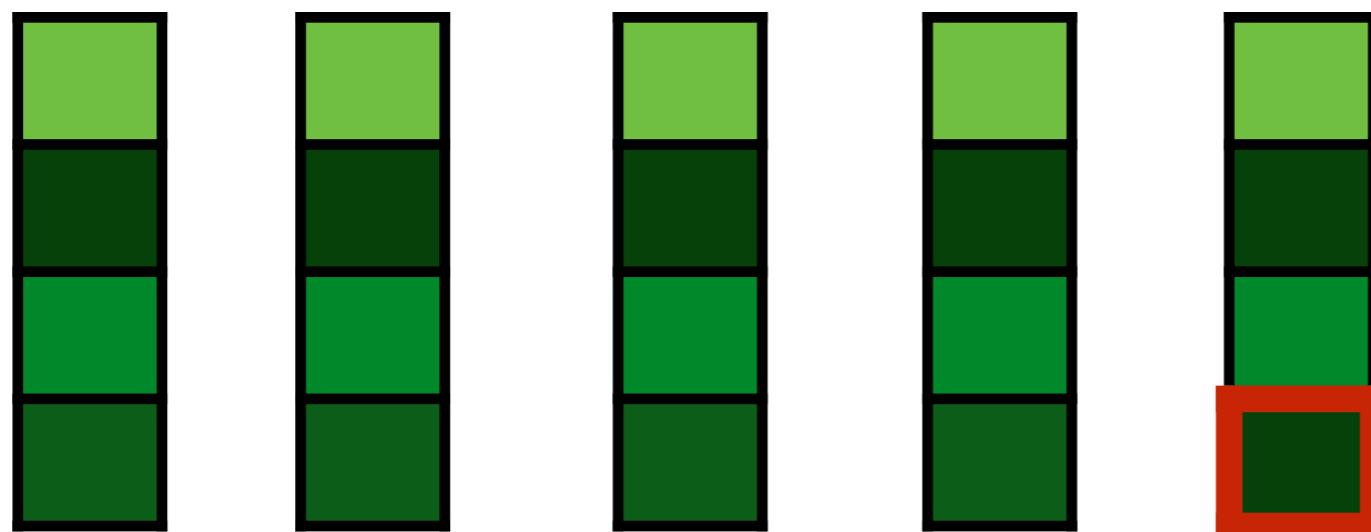
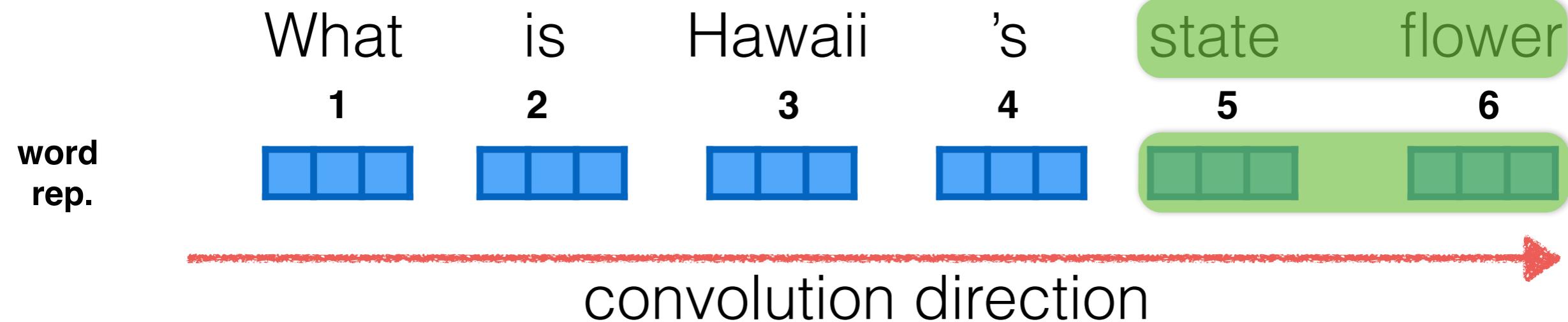
## Sequential convolution



**Try different convolution filters  
and repeat the same process**

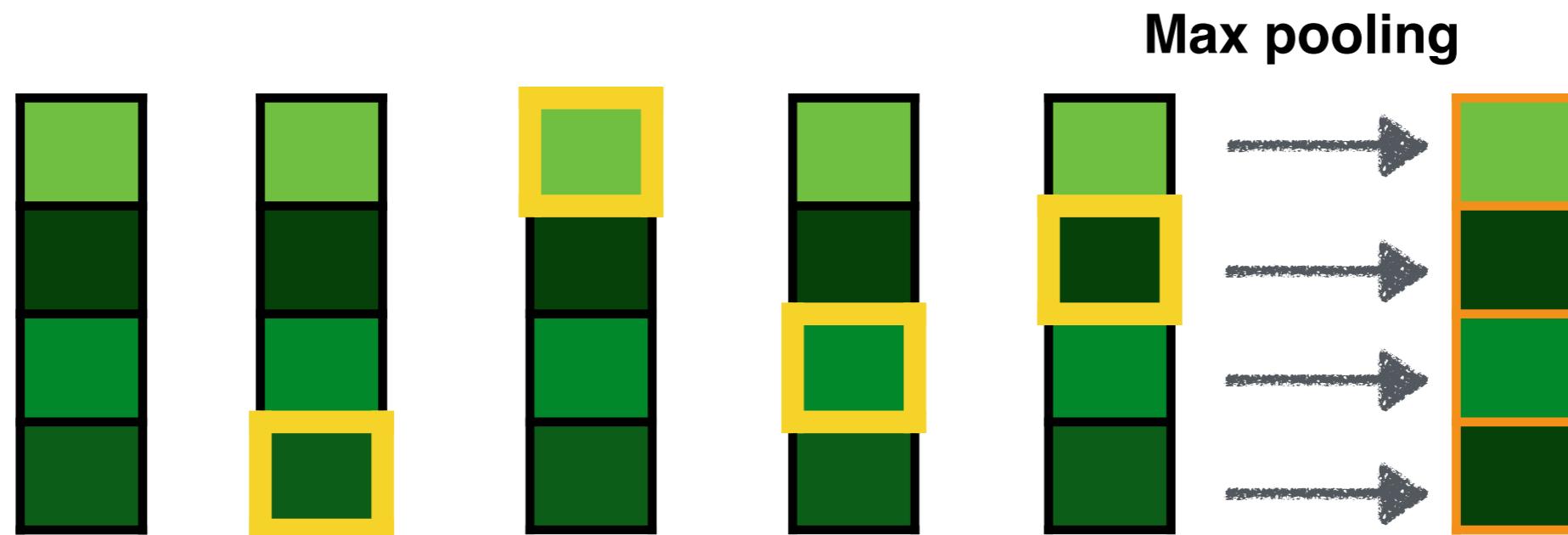
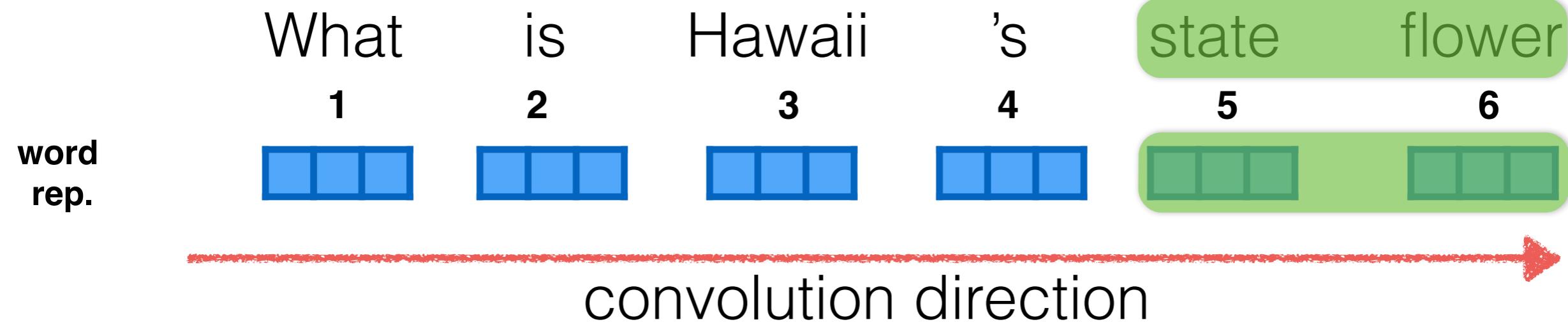
# Sequential Convolution

## Sequential convolution



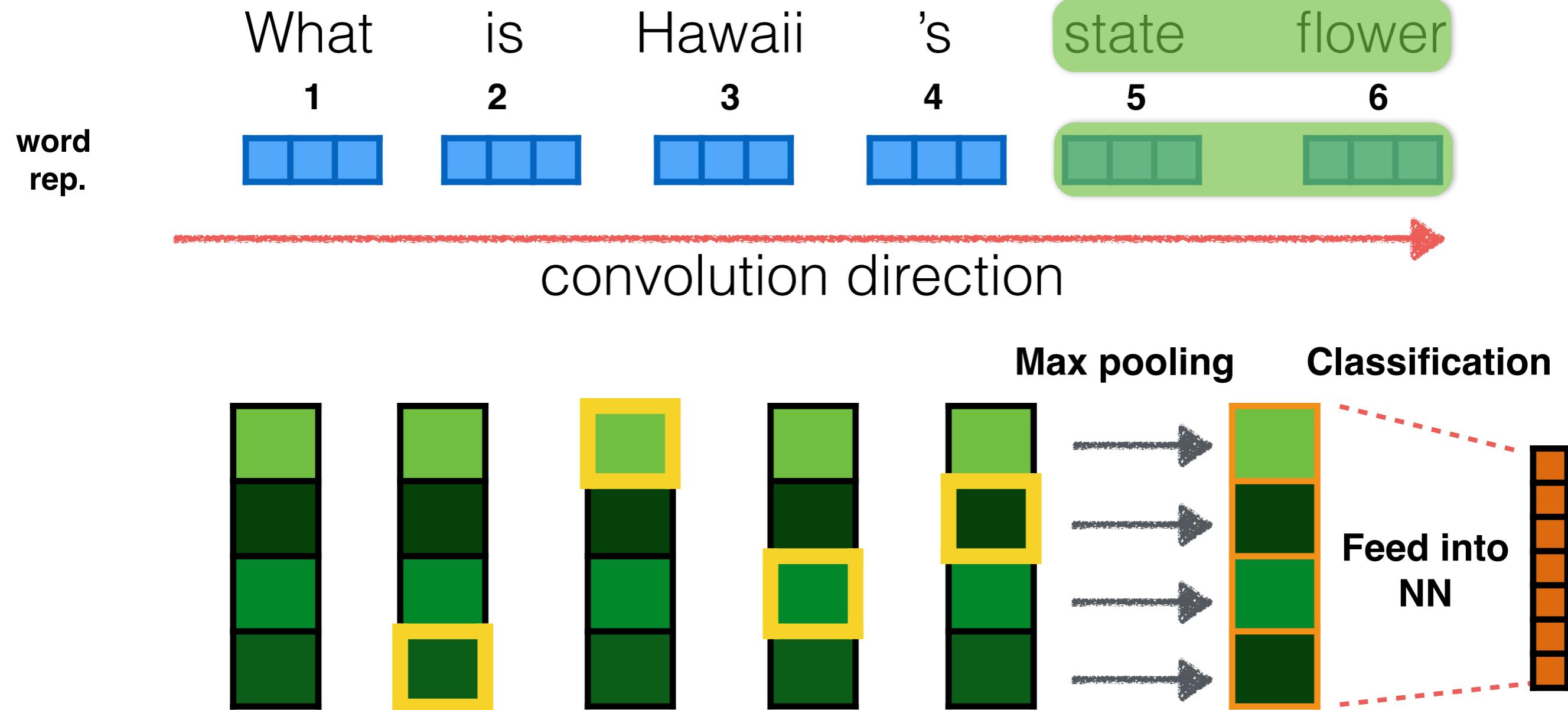
# Sequential Convolution

## Sequential convolution



# Sequential Convolution

## Sequential convolution



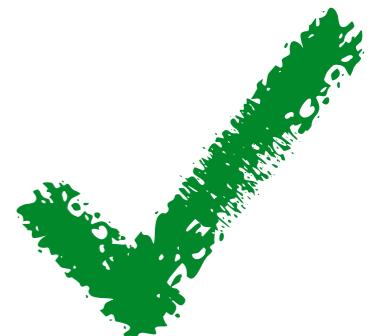
# Example: Question Type Classification (TREC)

**Sequential Convolution: Location**



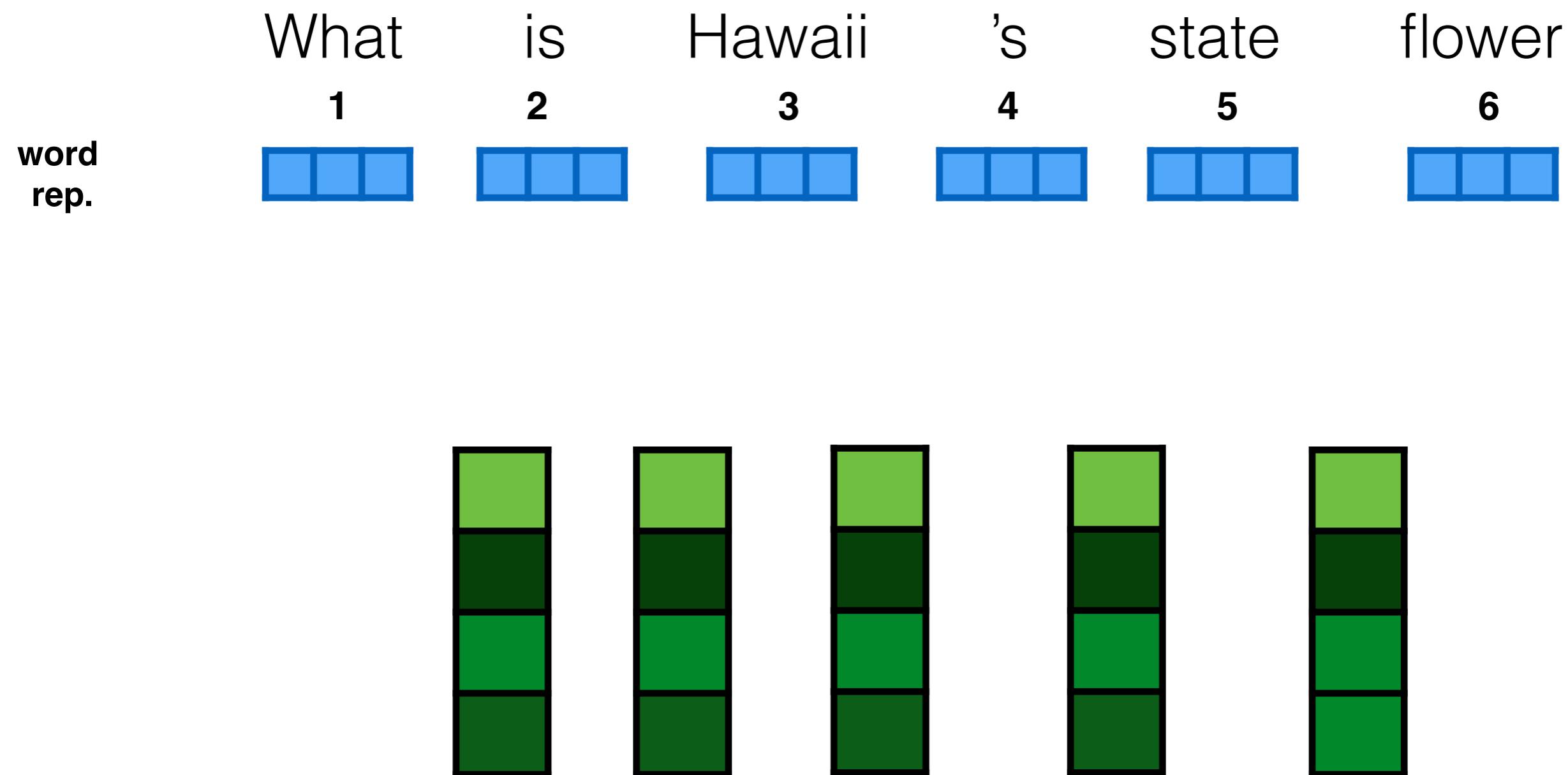
What is Hawaii 's state flower ?

**Gold standard: Entity**



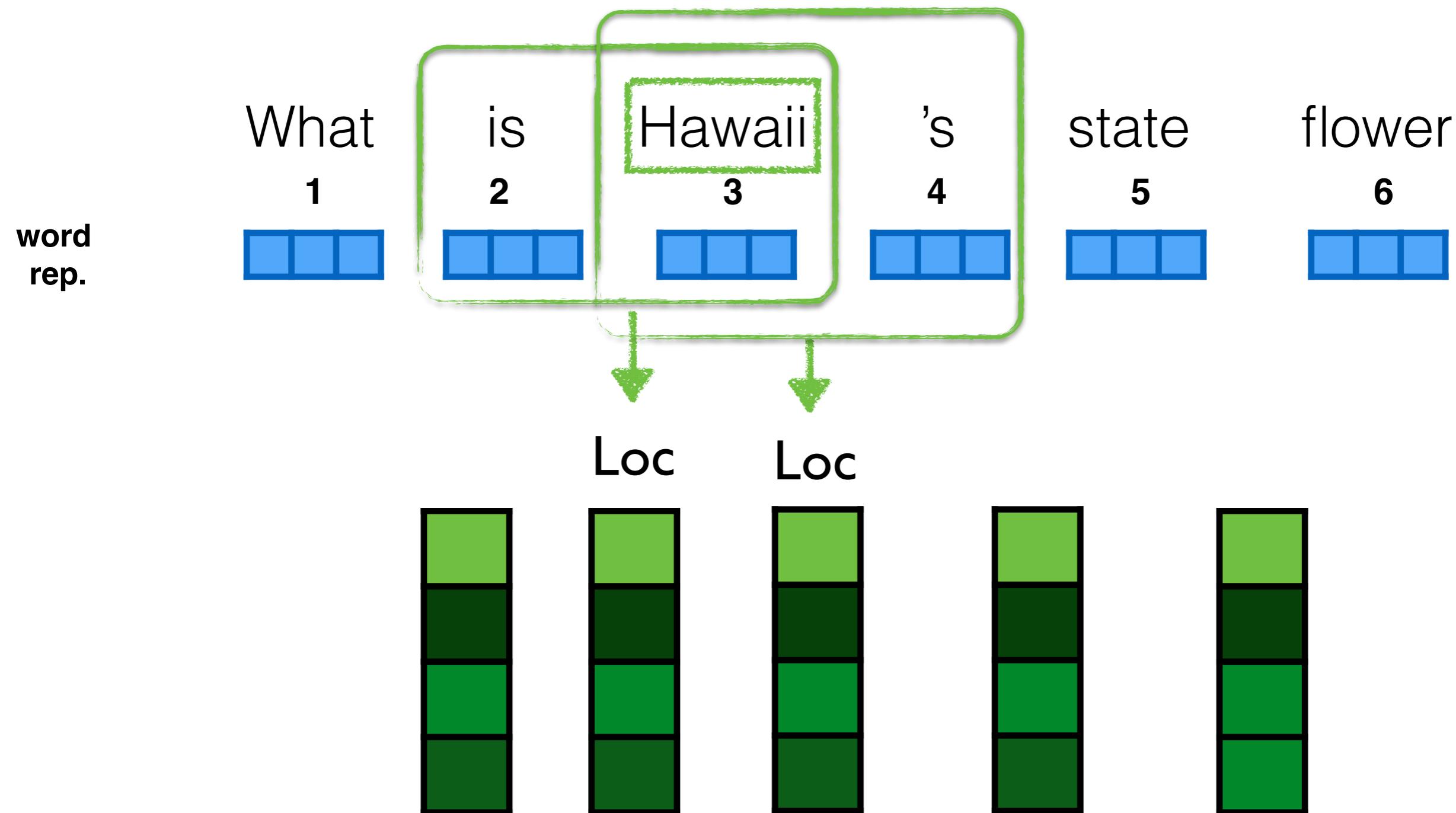
# Sequential Convolution

## Sequential convolution



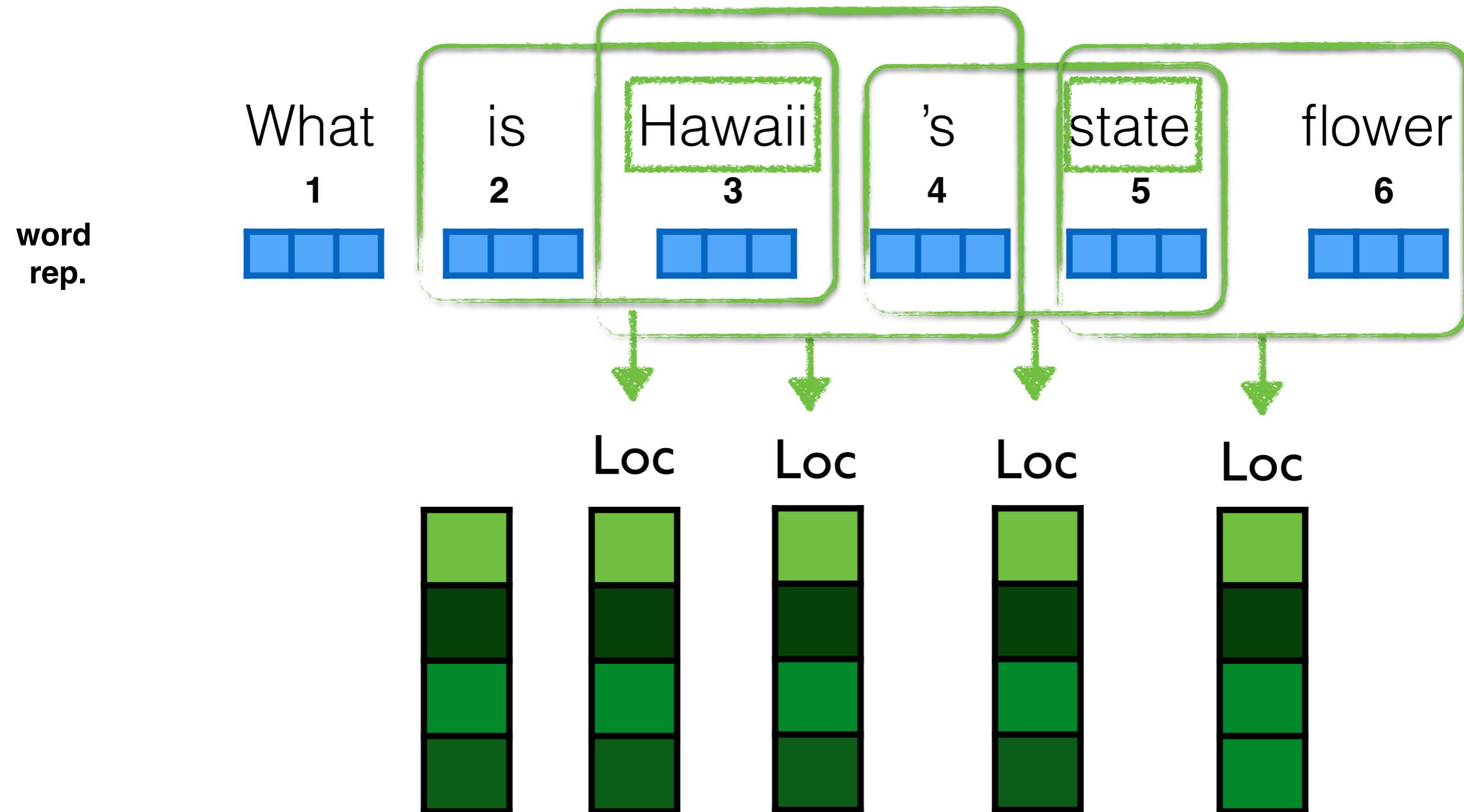
# Sequential Convolution

## Sequential convolution



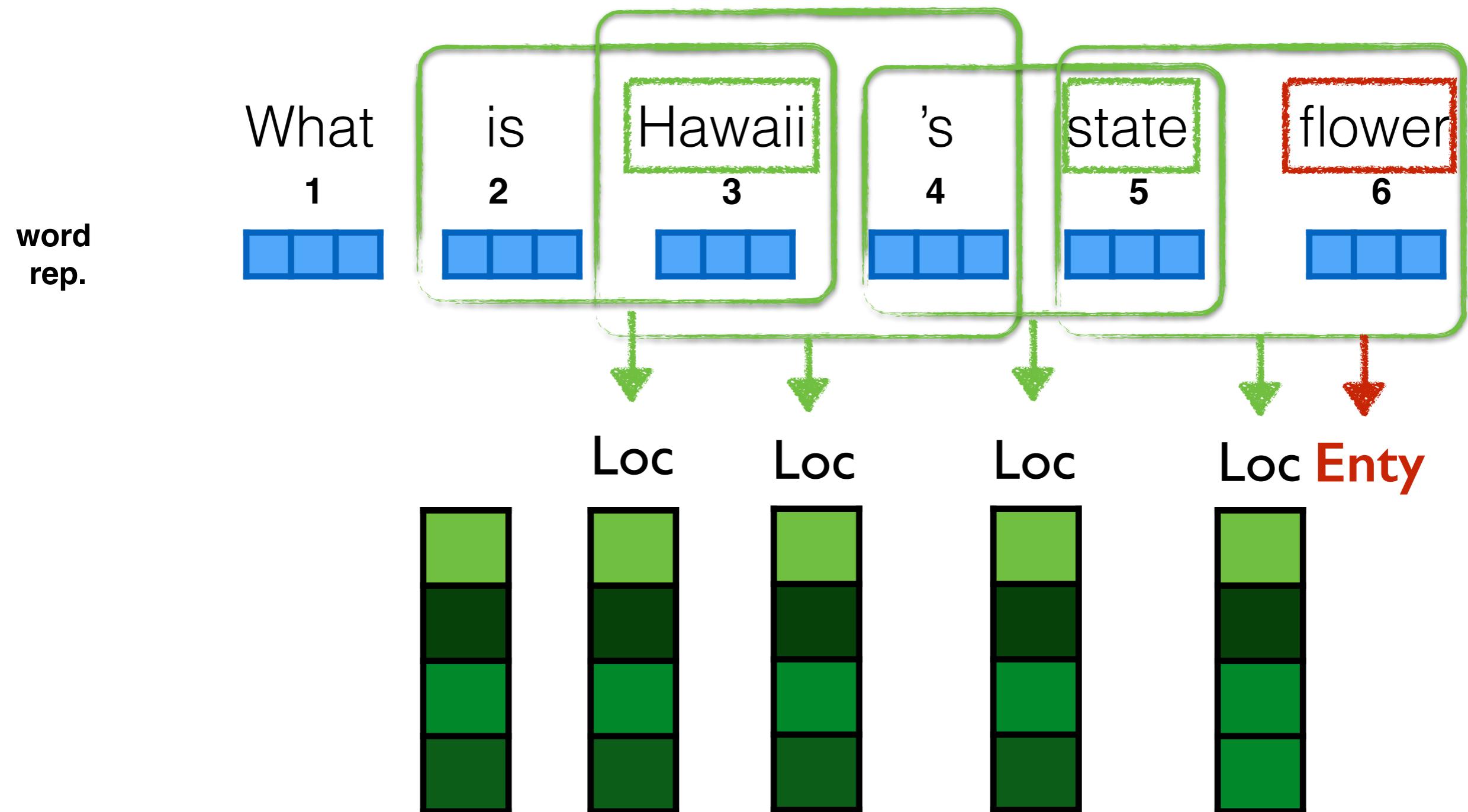
# Sequential Convolution

## Sequential convolution



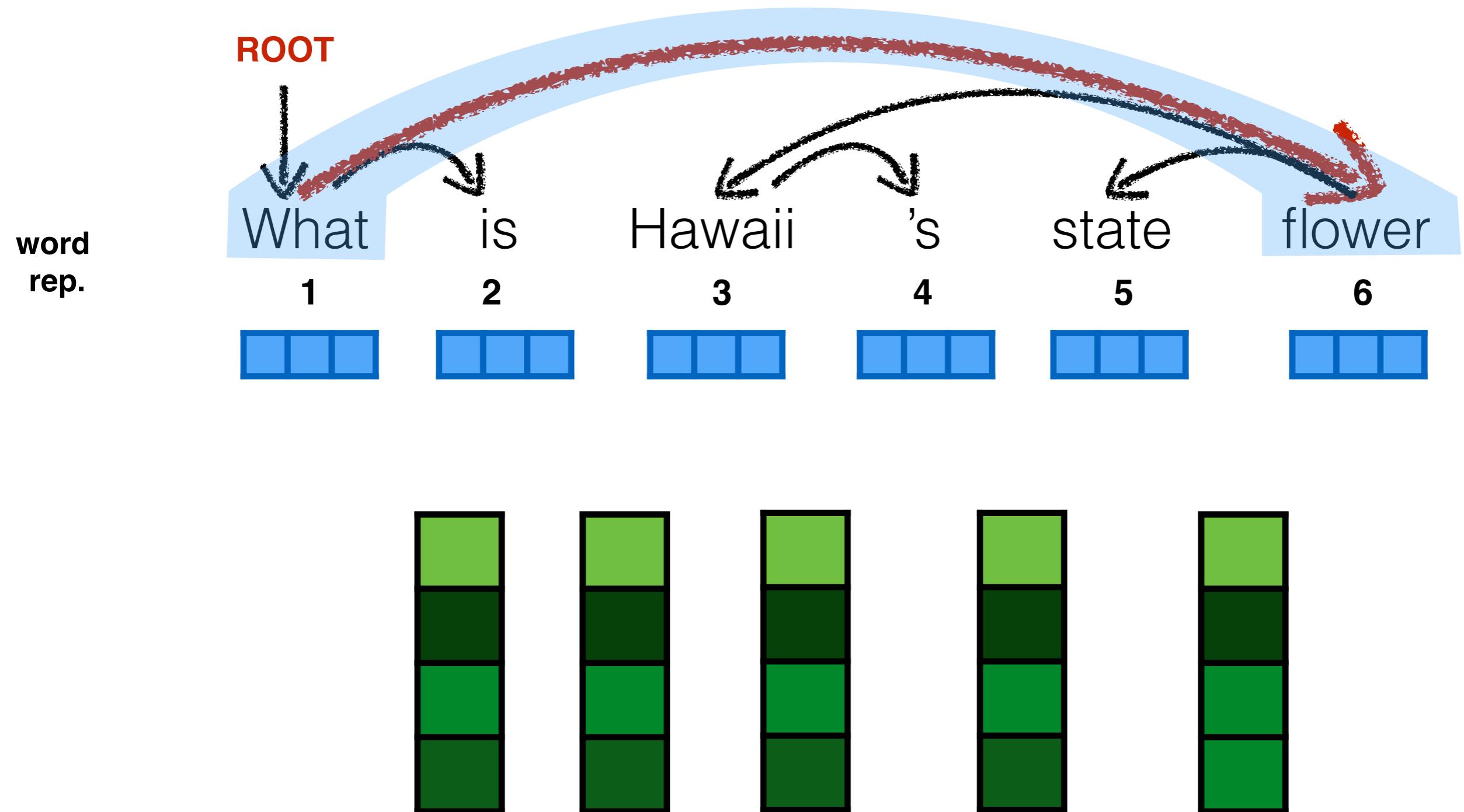
# Sequential Convolution

## Sequential convolution



# Convolution on Tree

## Sequential convolution



# Sequential Convolution

Sequential convolution:

- Traditional convolution operates in surface order
- Cons: No structural information is captured

No long distance relationships

# Dependency-based Convolution

## Sequential convolution:

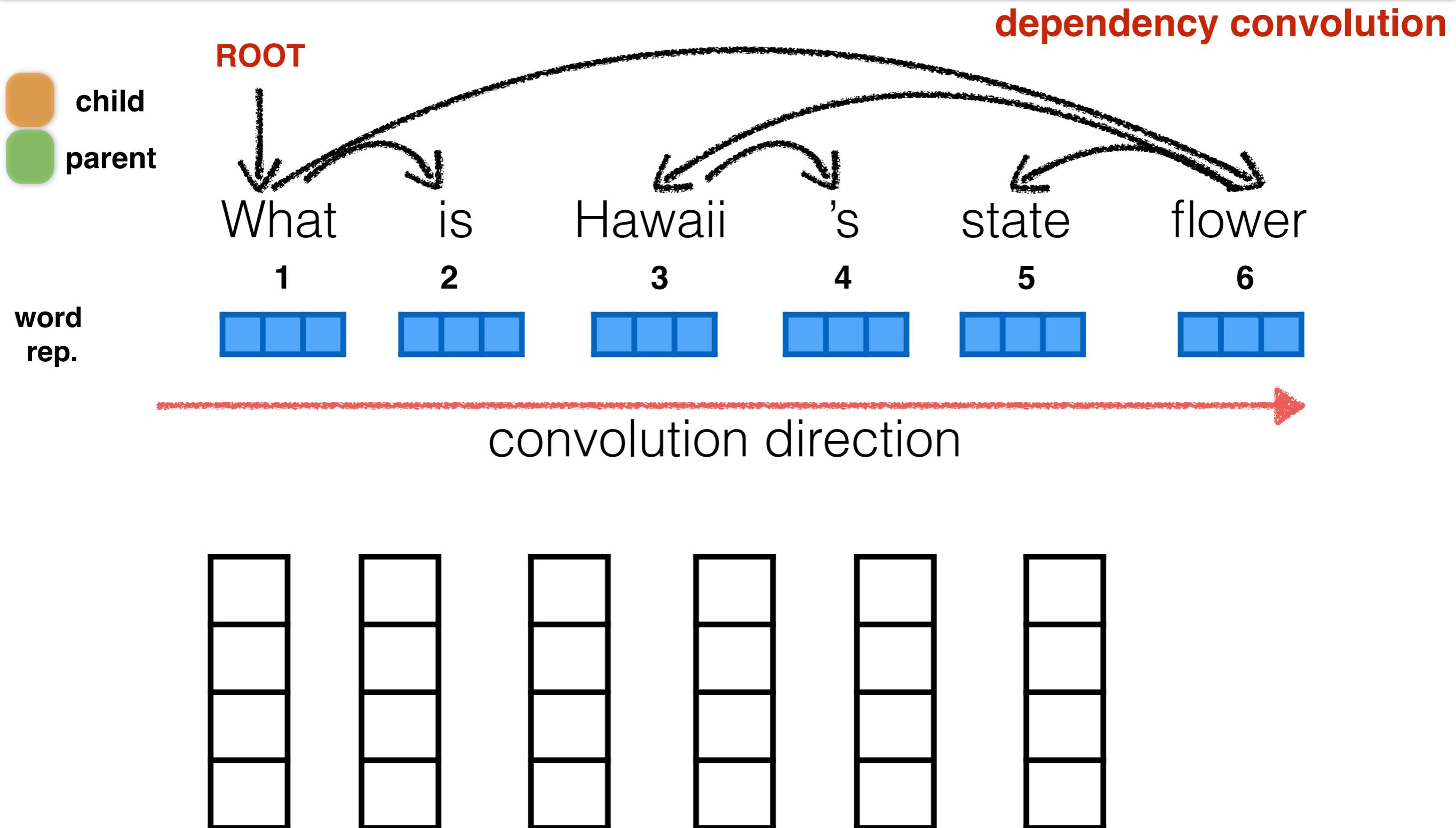
- Traditional convolution operates in surface order
- Cons: No structural information is captured

No long distance relationships

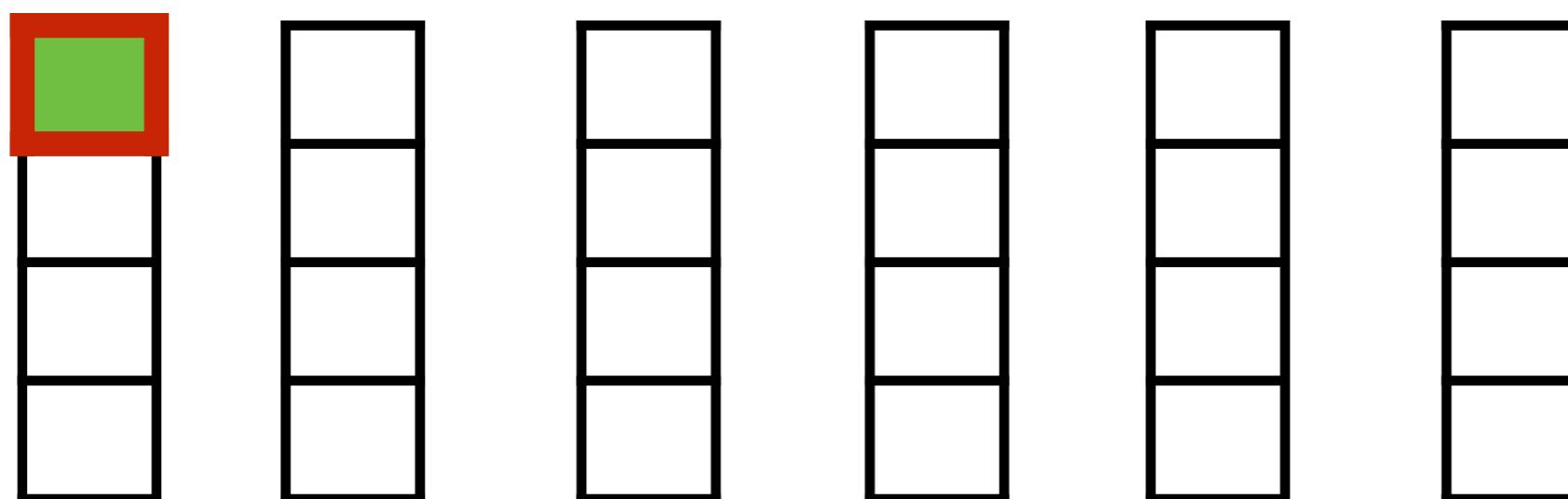
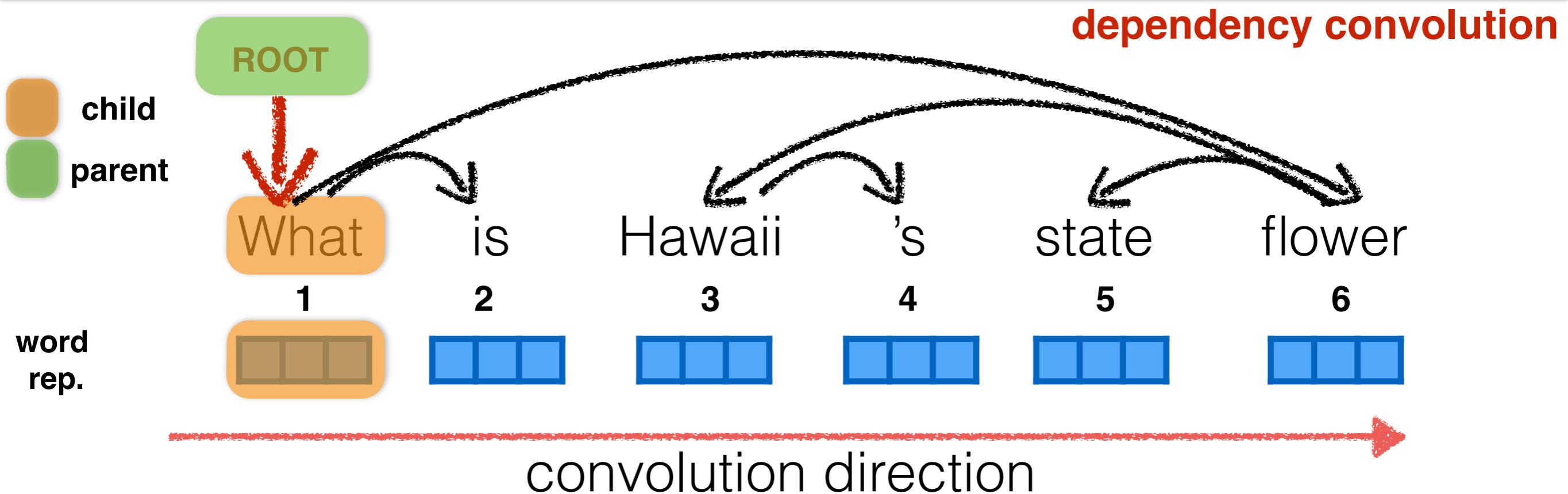
## Structural Convolution:

- operates the convolution filters on dependency tree
- more “**important**” words are convolved more often
- long distance relationships is naturally obtained

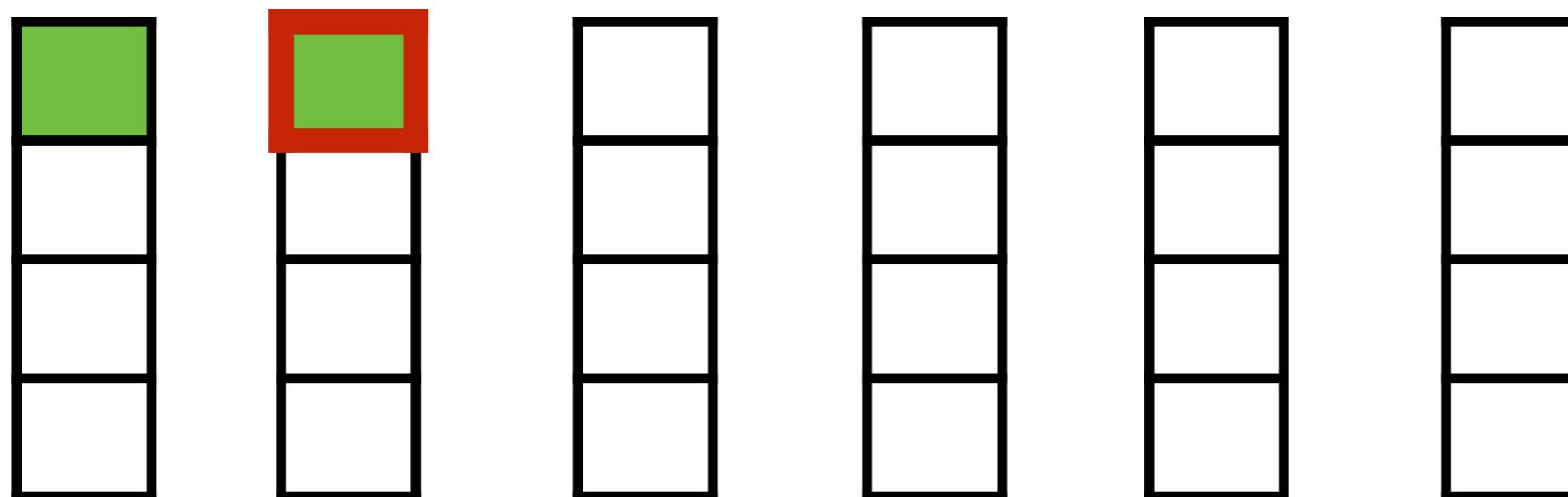
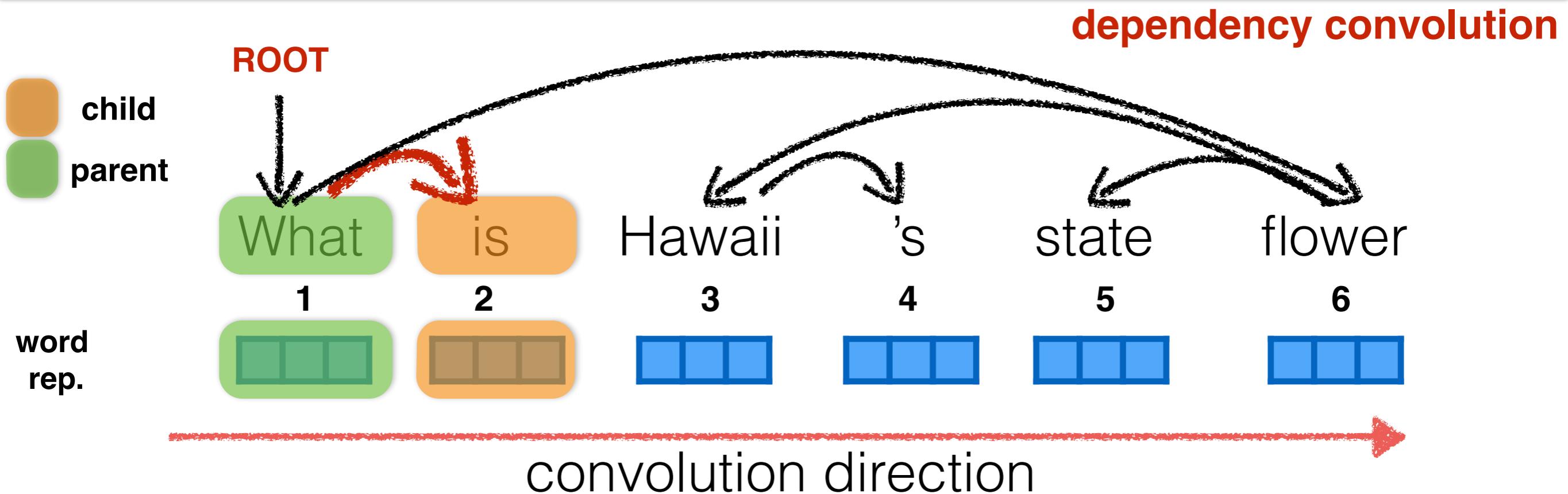
# Convolution on Tree



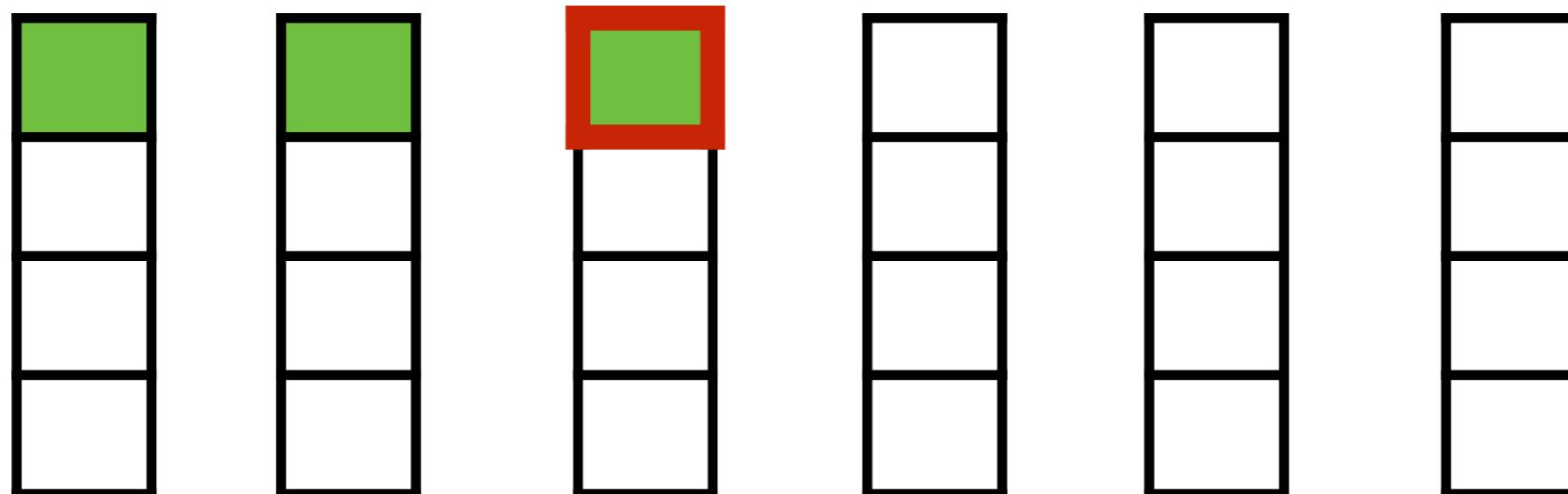
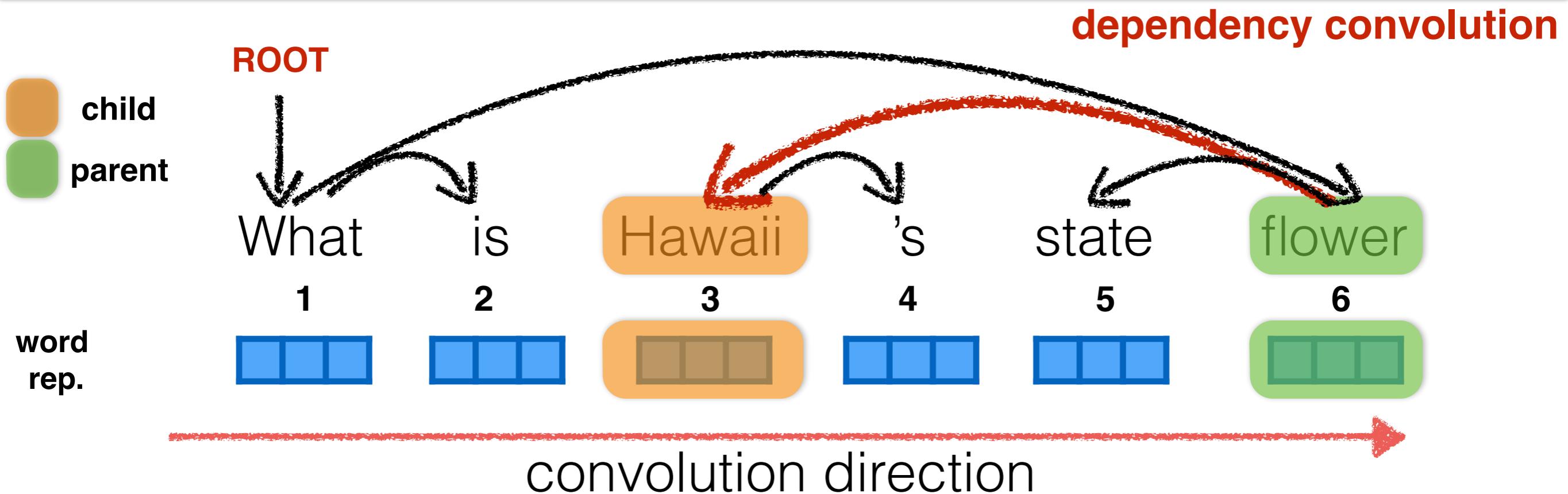
# Convolution on Tree



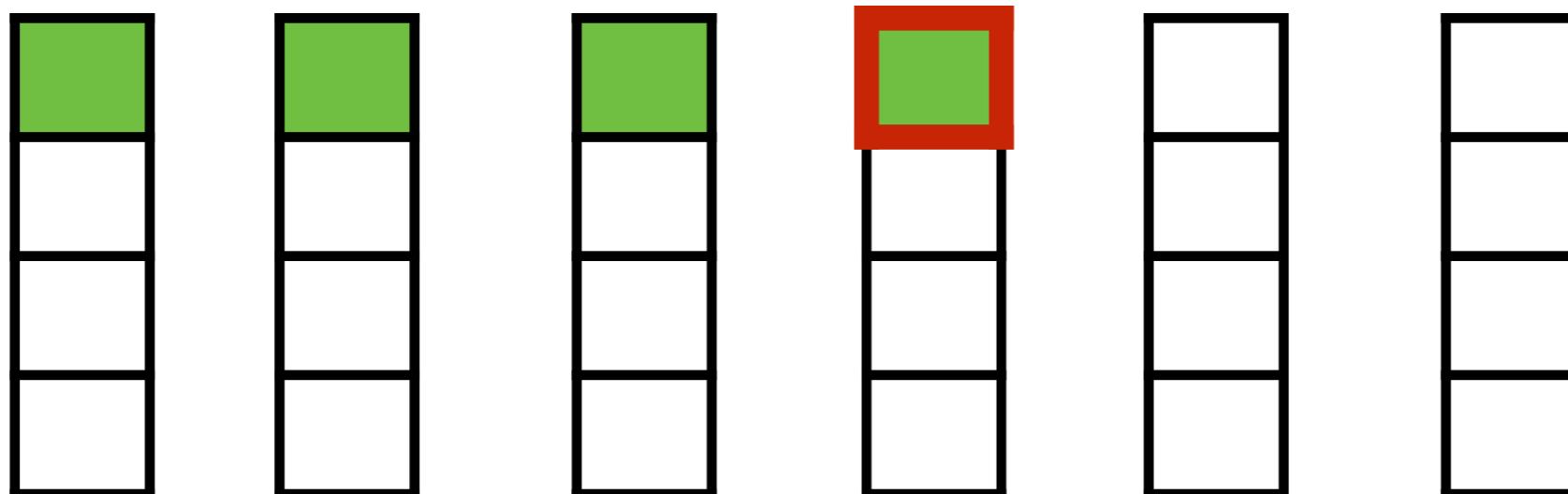
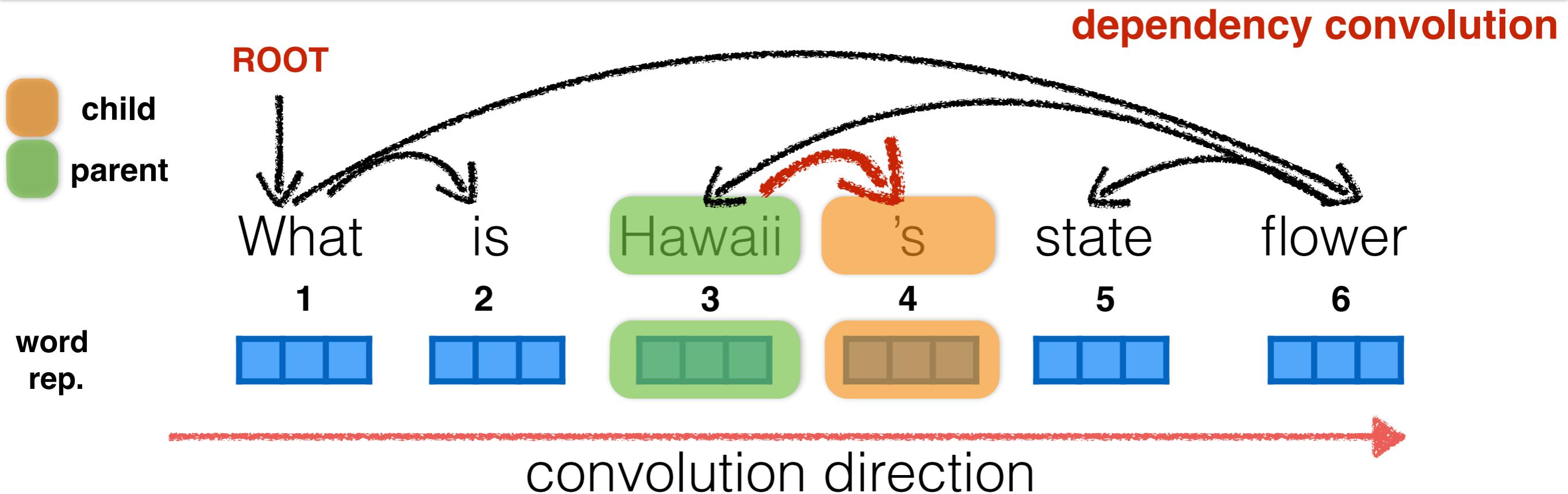
# Convolution on Tree



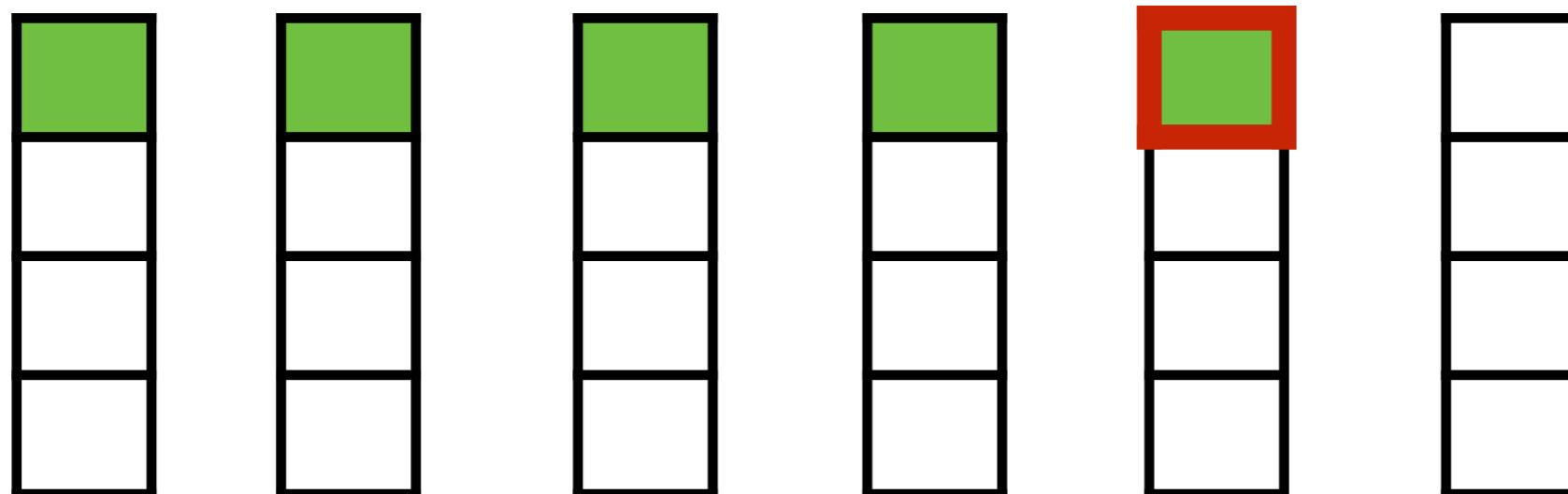
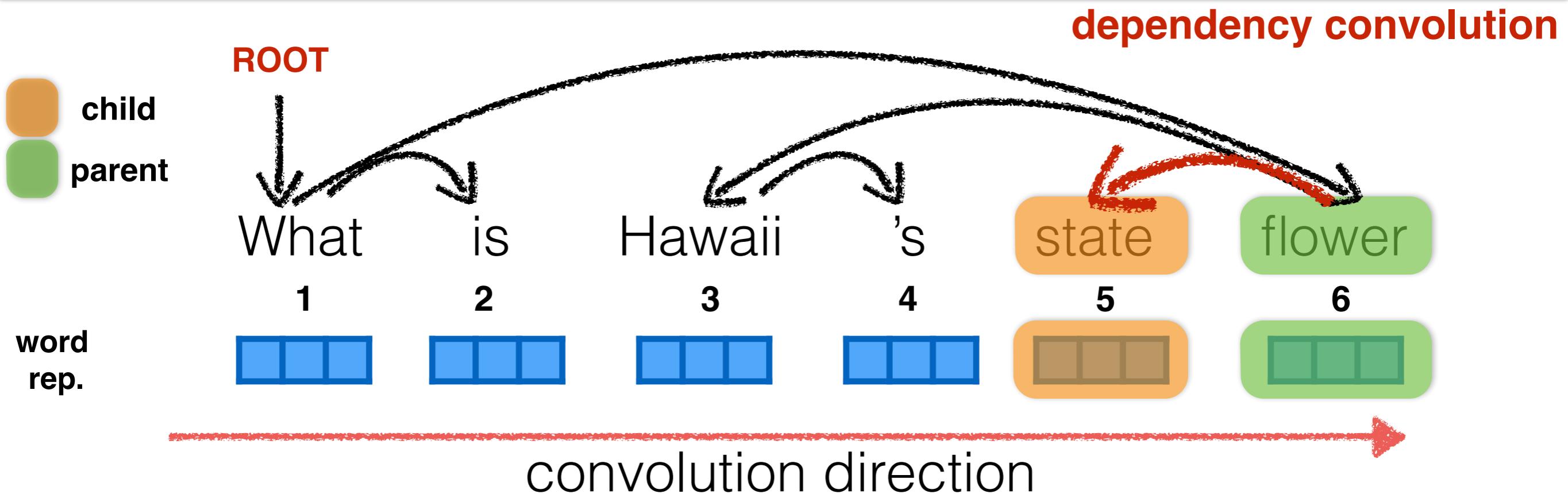
# Convolution on Tree



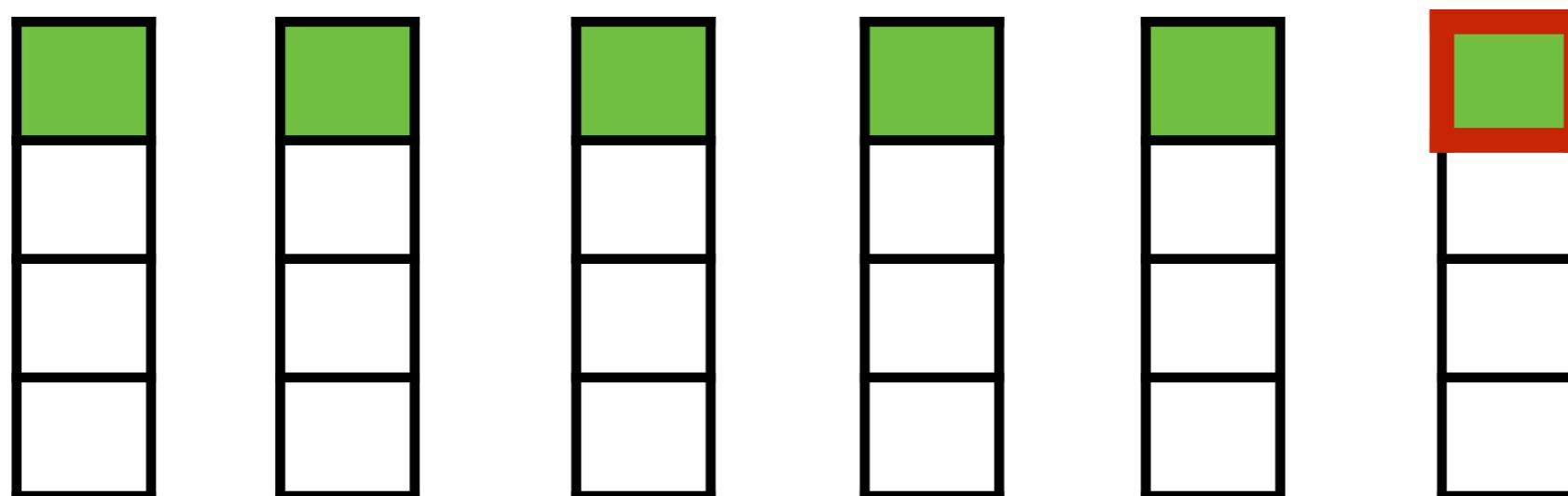
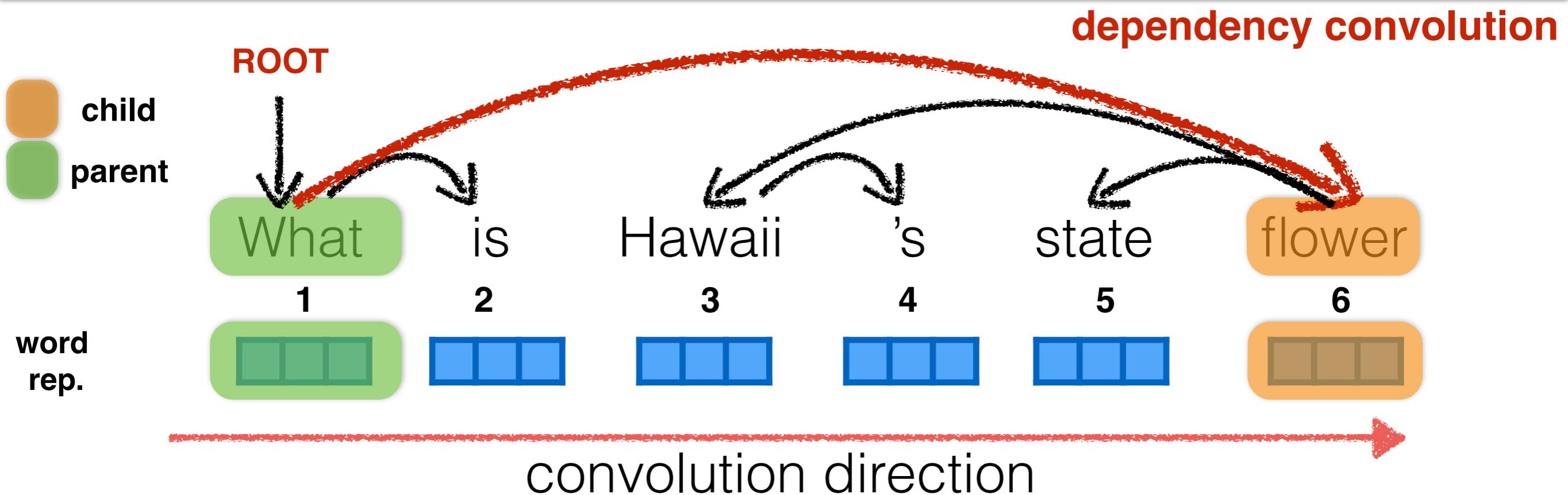
# Convolution on Tree



# Convolution on Tree

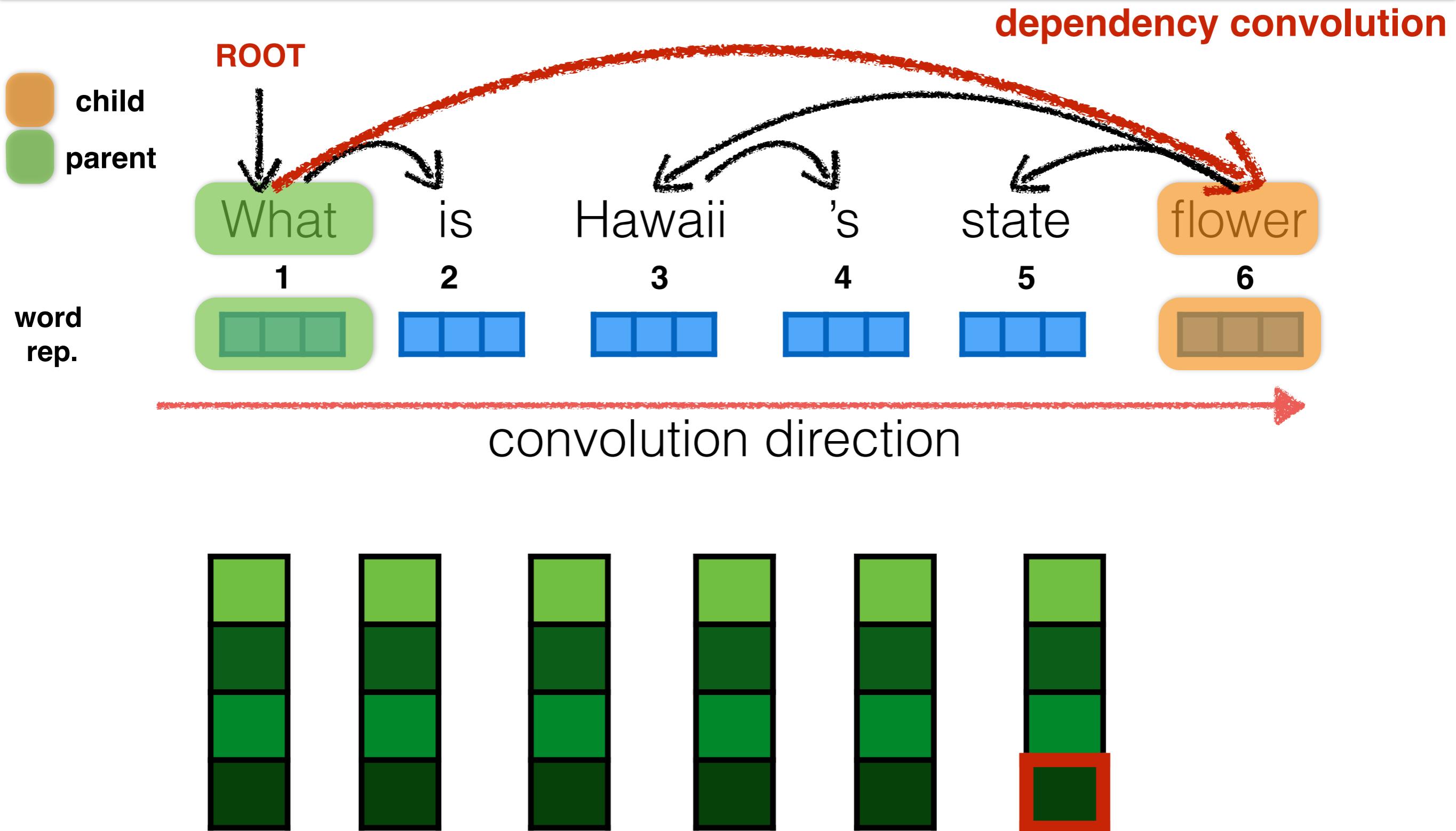


# Convolution on Tree

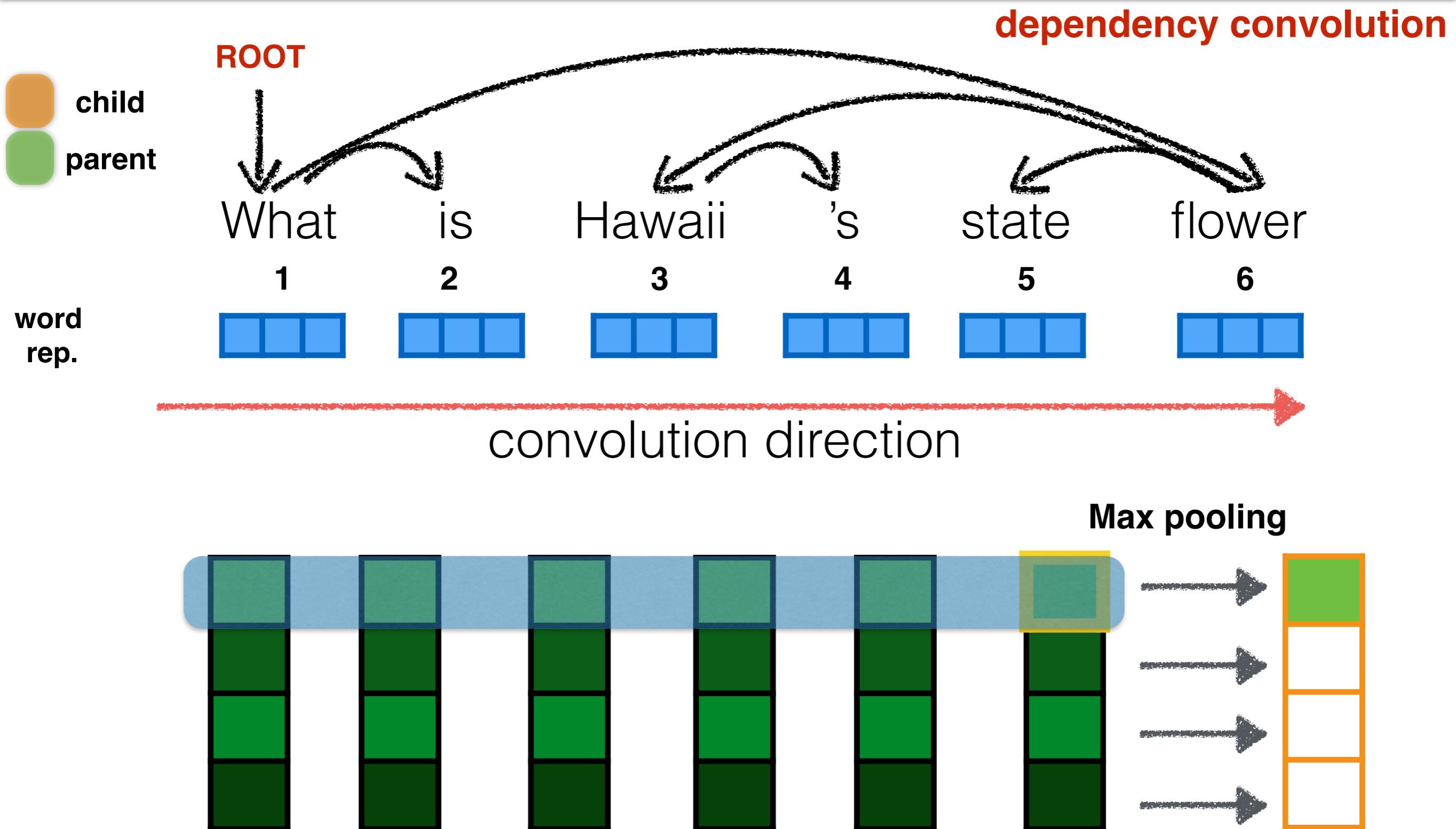


Try different **Bigram** convolution filters  
and repeat the same process

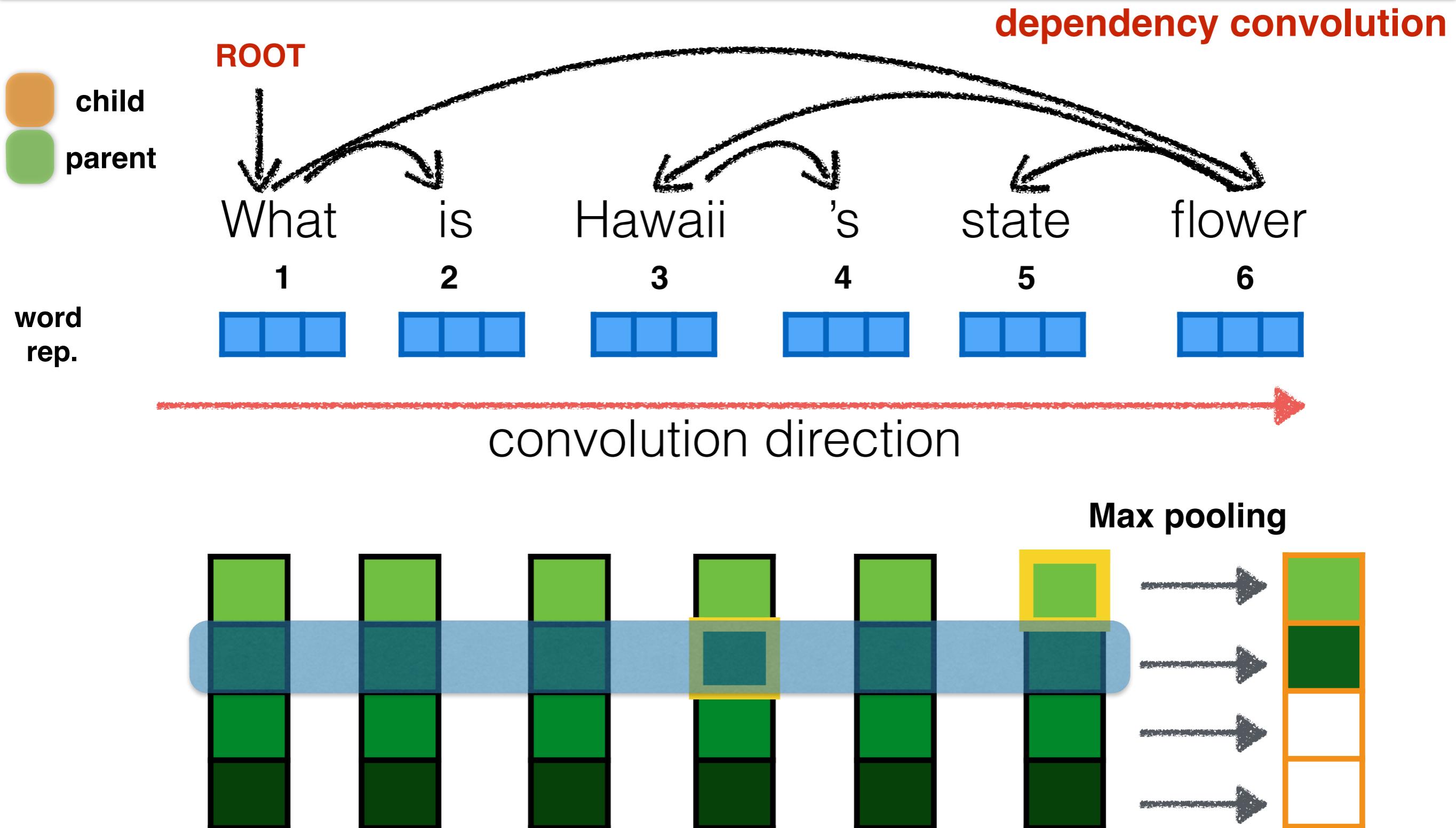
# Convolution on Tree



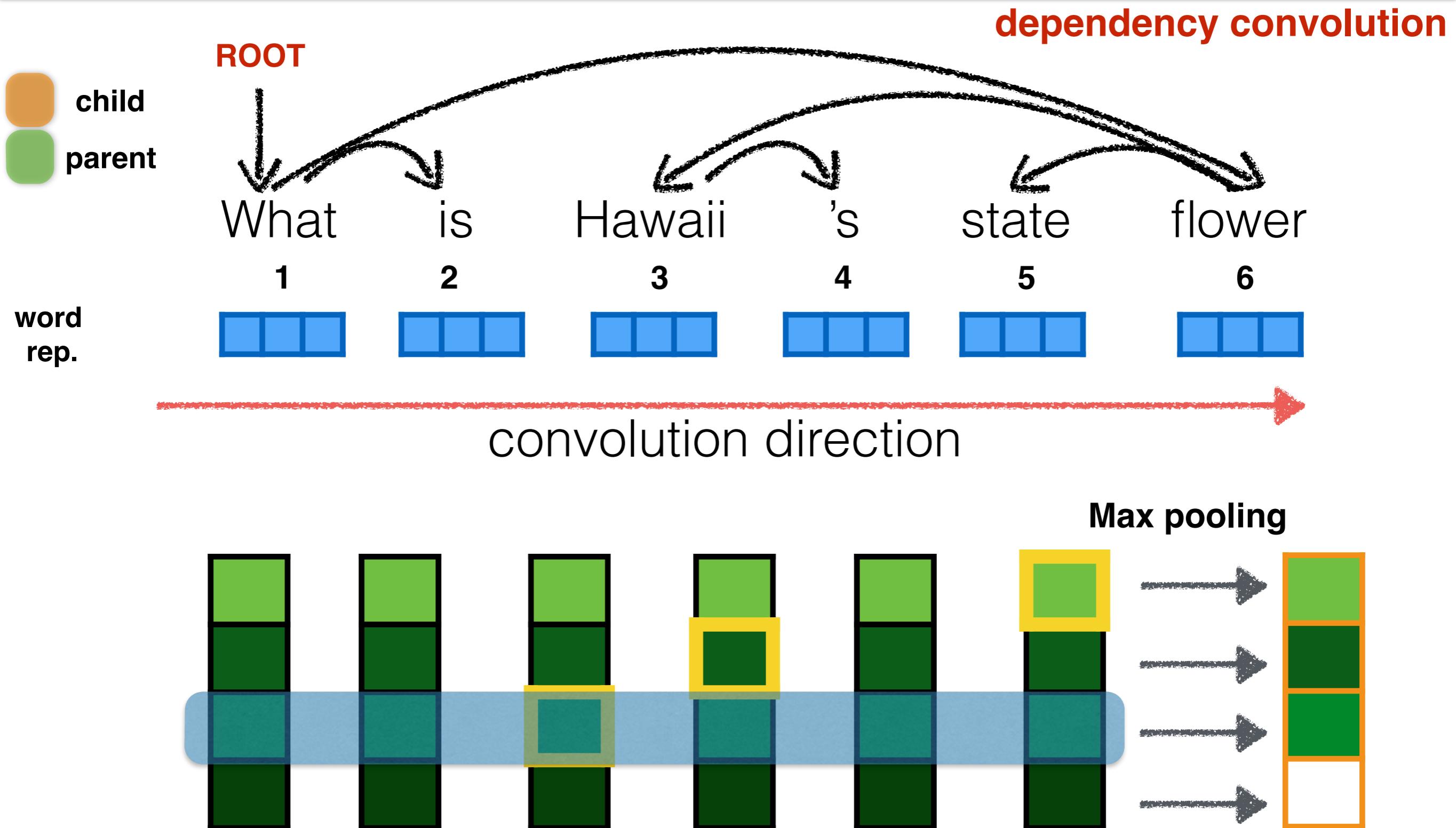
# Convolution on Tree



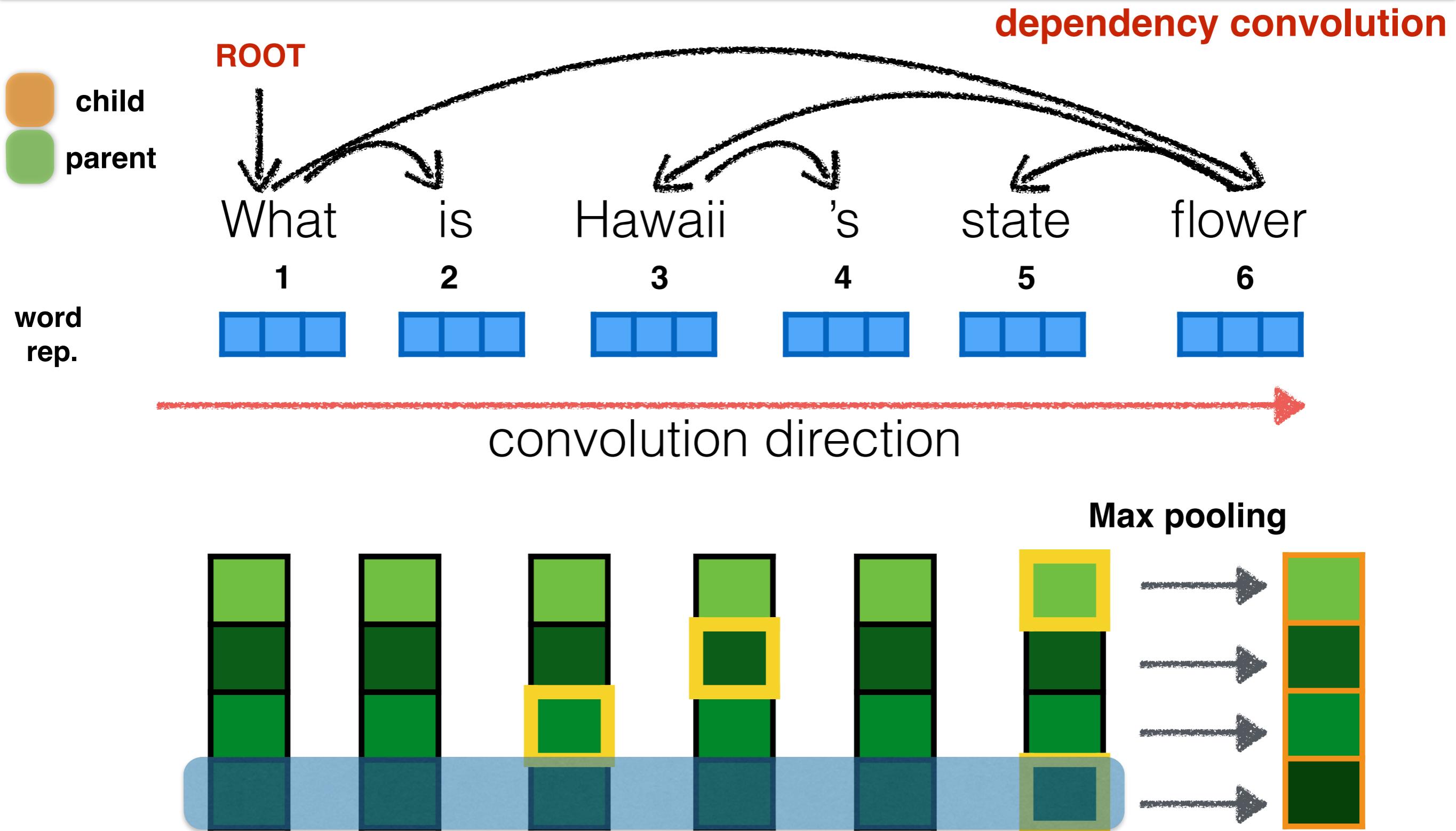
# Convolution on Tree



# Convolution on Tree

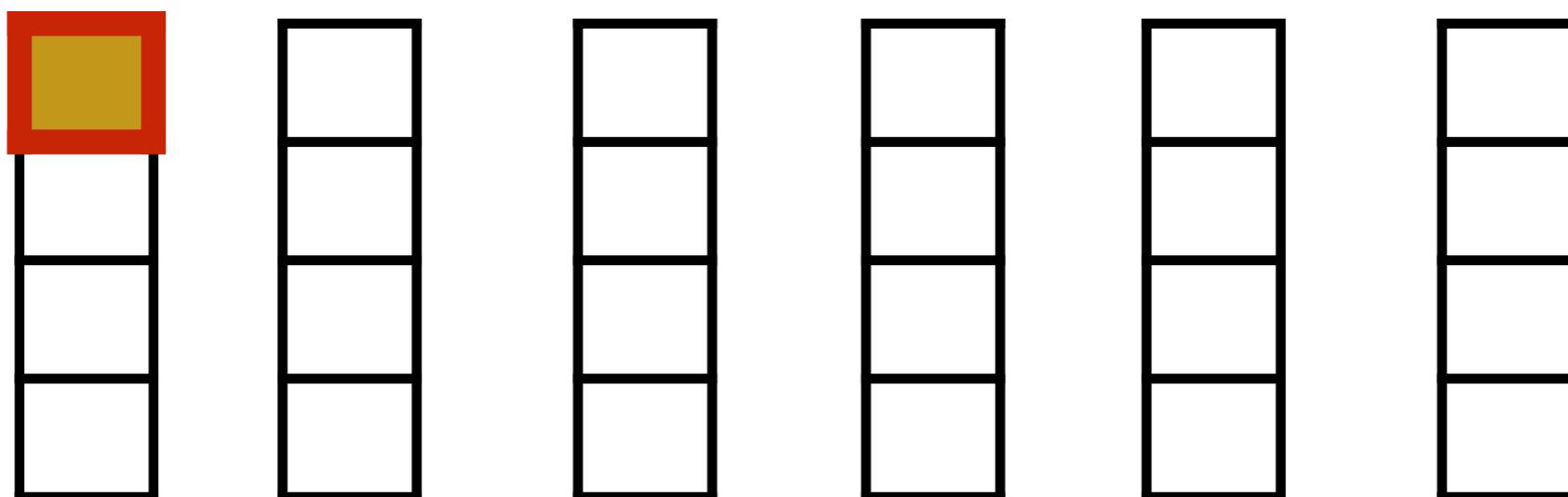
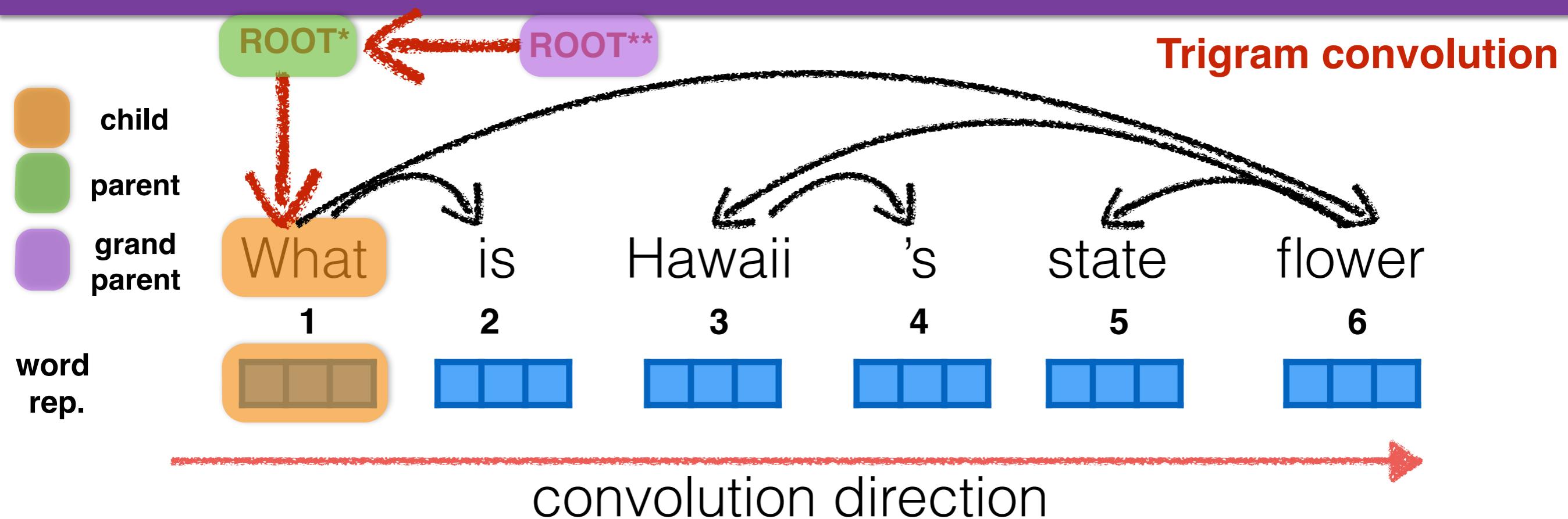


# Convolution on Tree

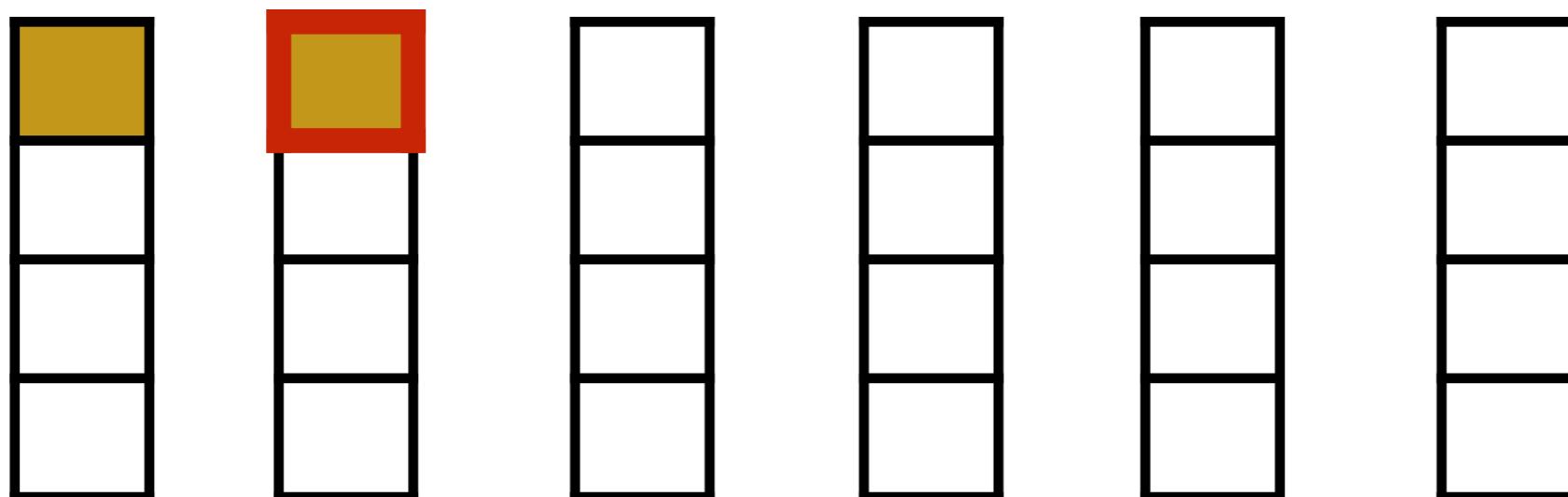
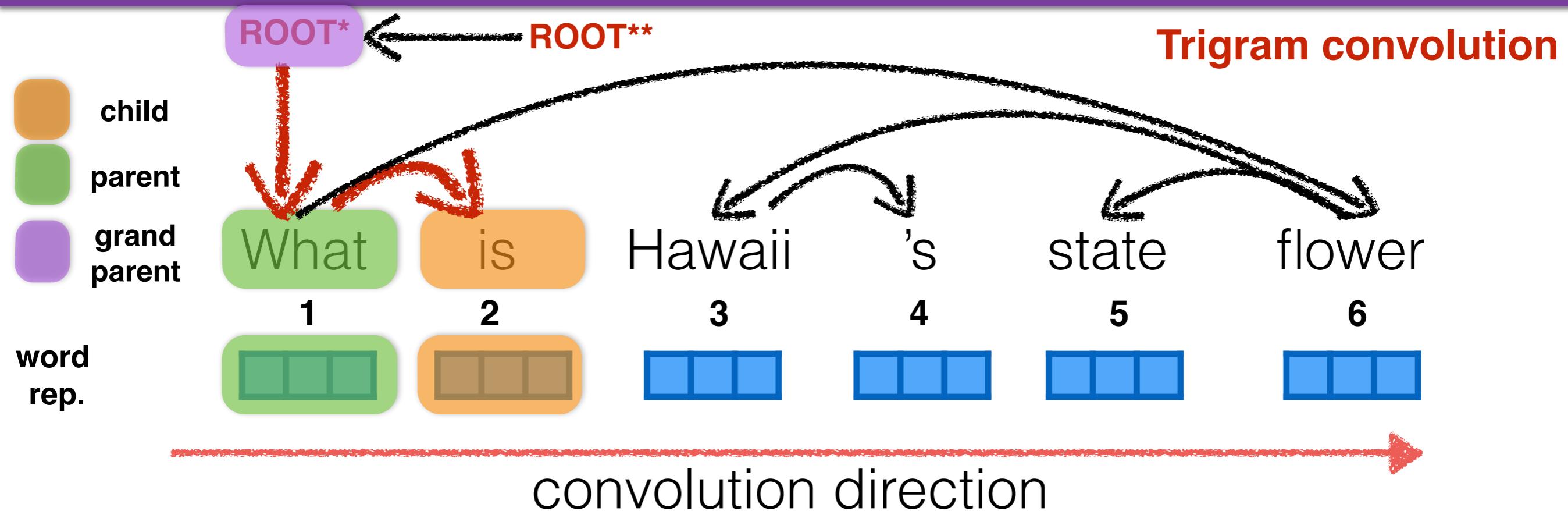


# Trigram Convolution on Trees

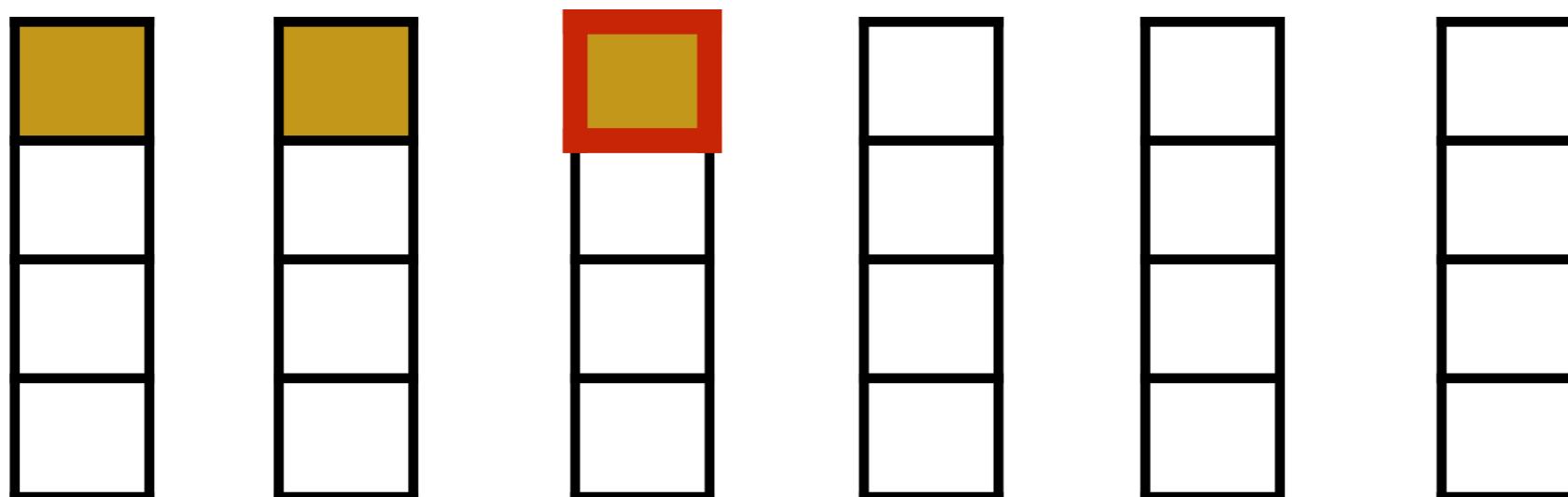
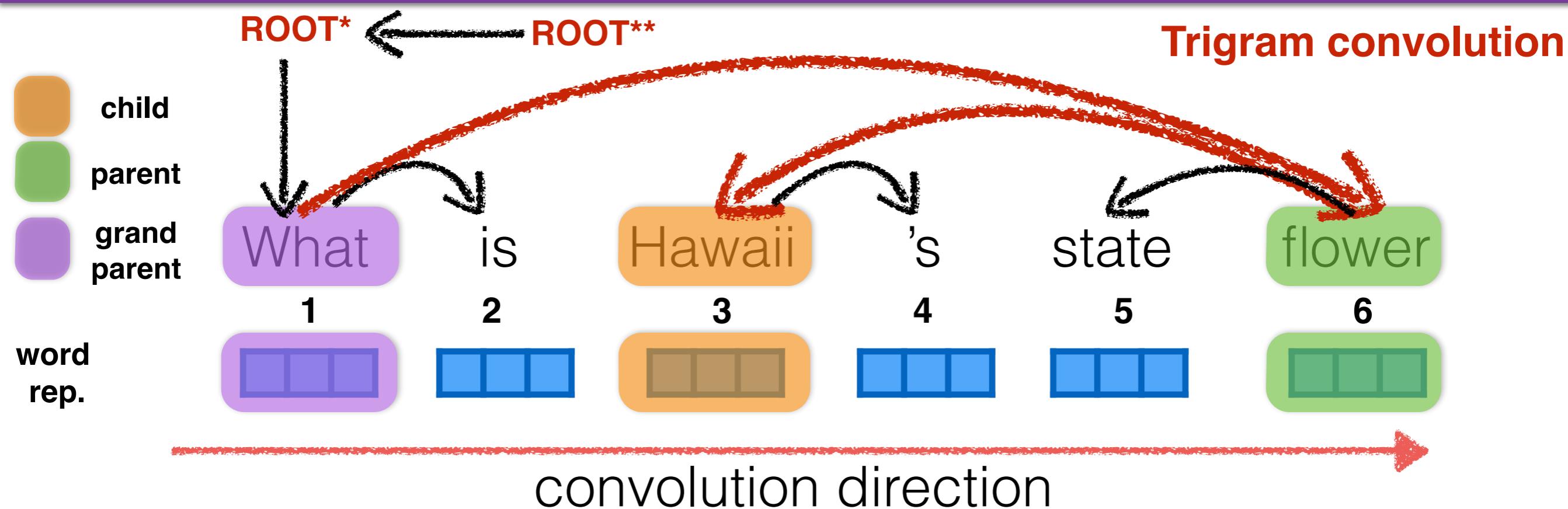
# Convolution on Tree



# Convolution on Tree

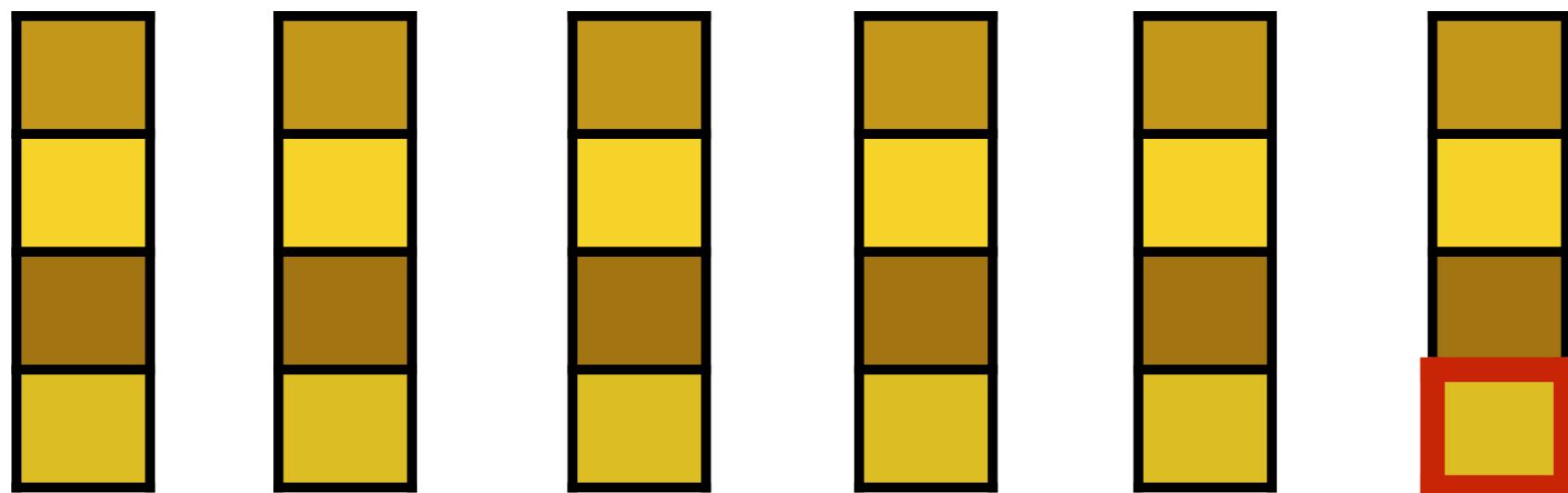
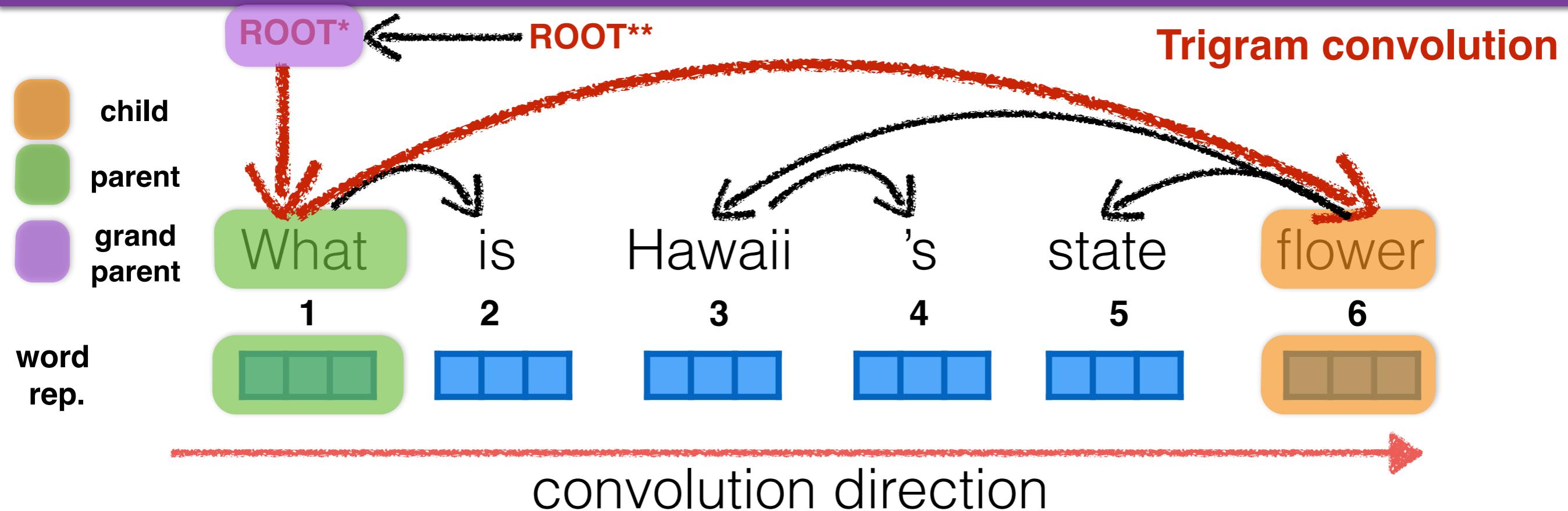


# Convolution on Tree



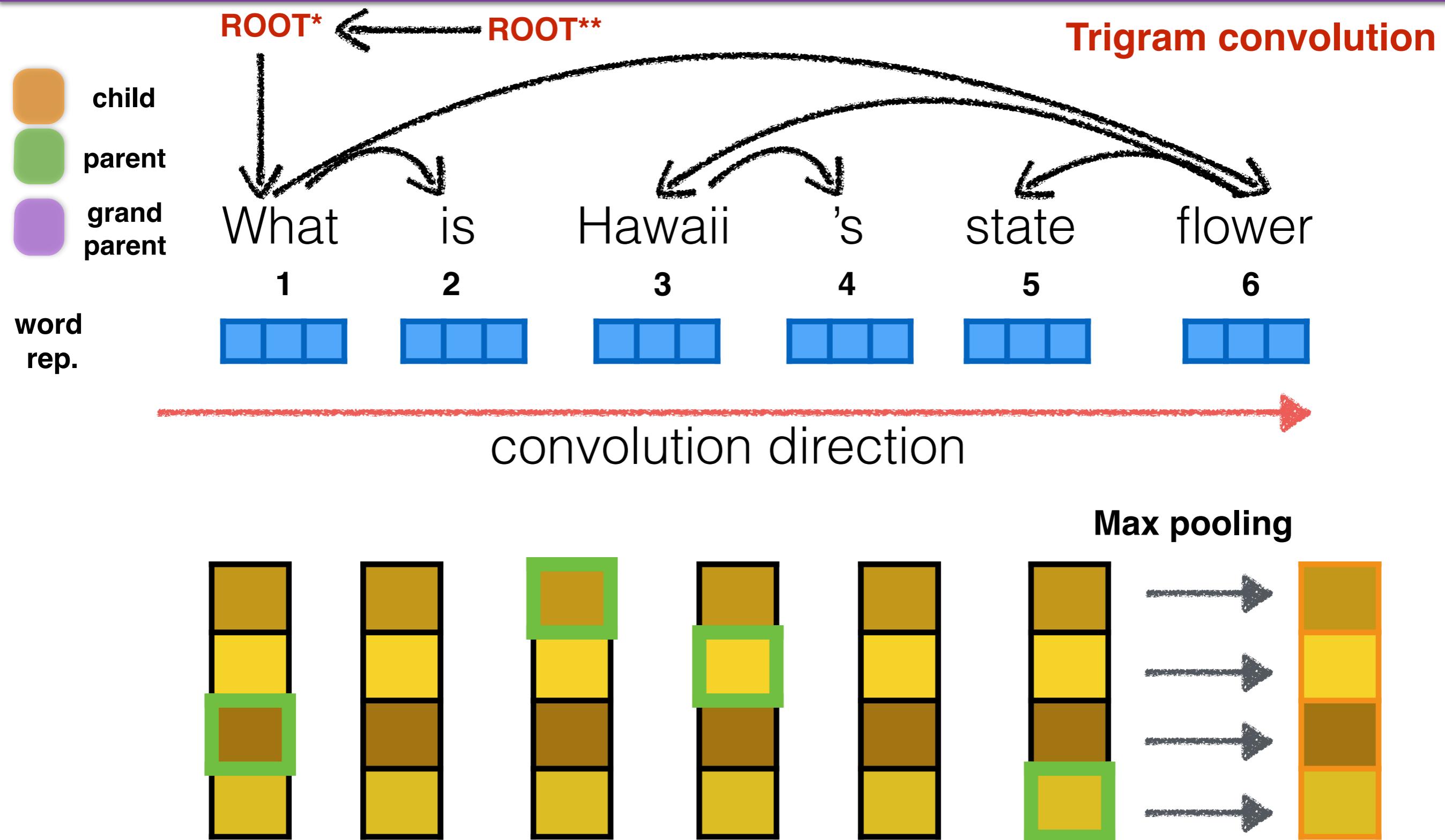
**follow the same steps as before...**

# Convolution on Tree

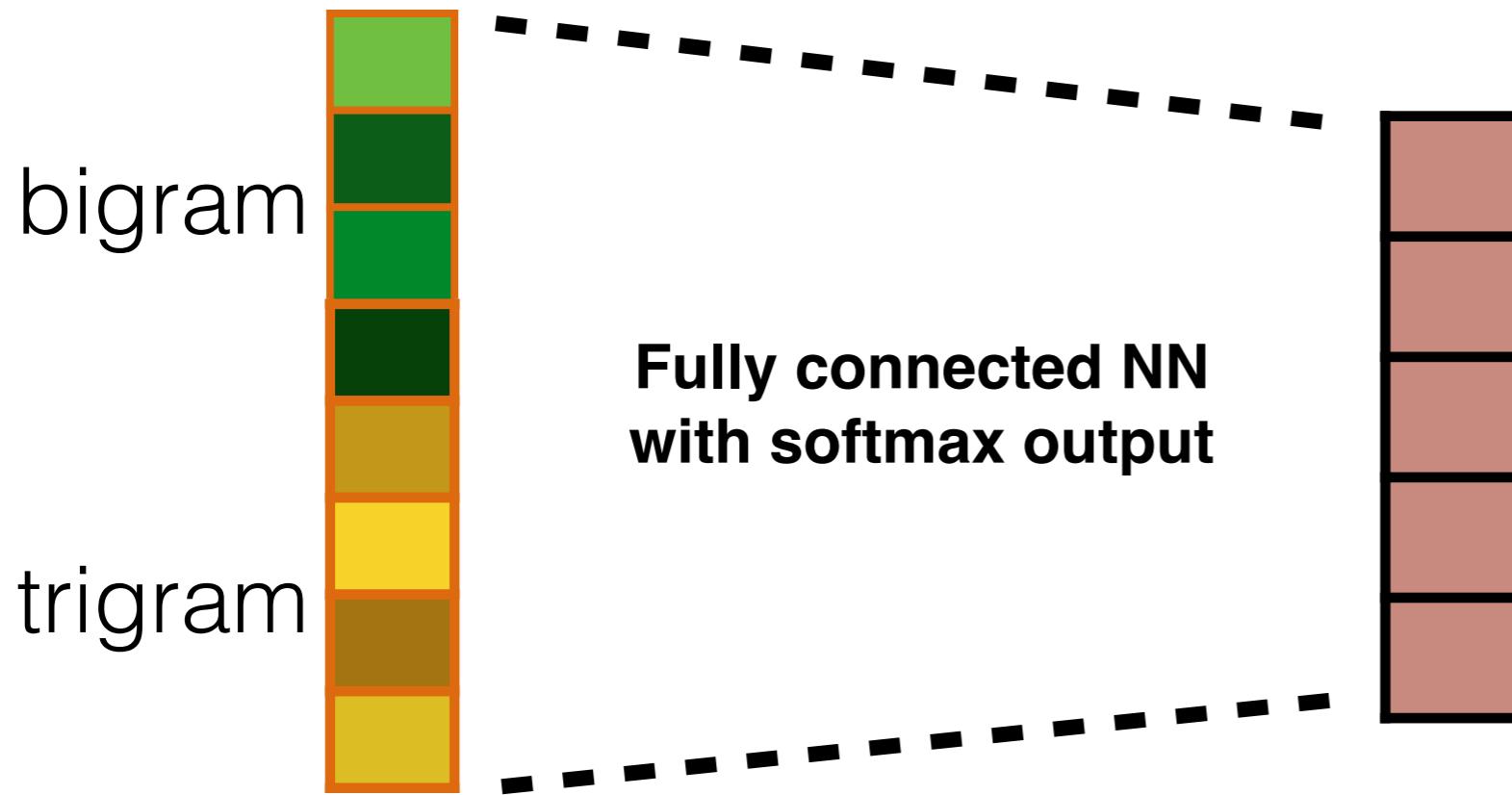
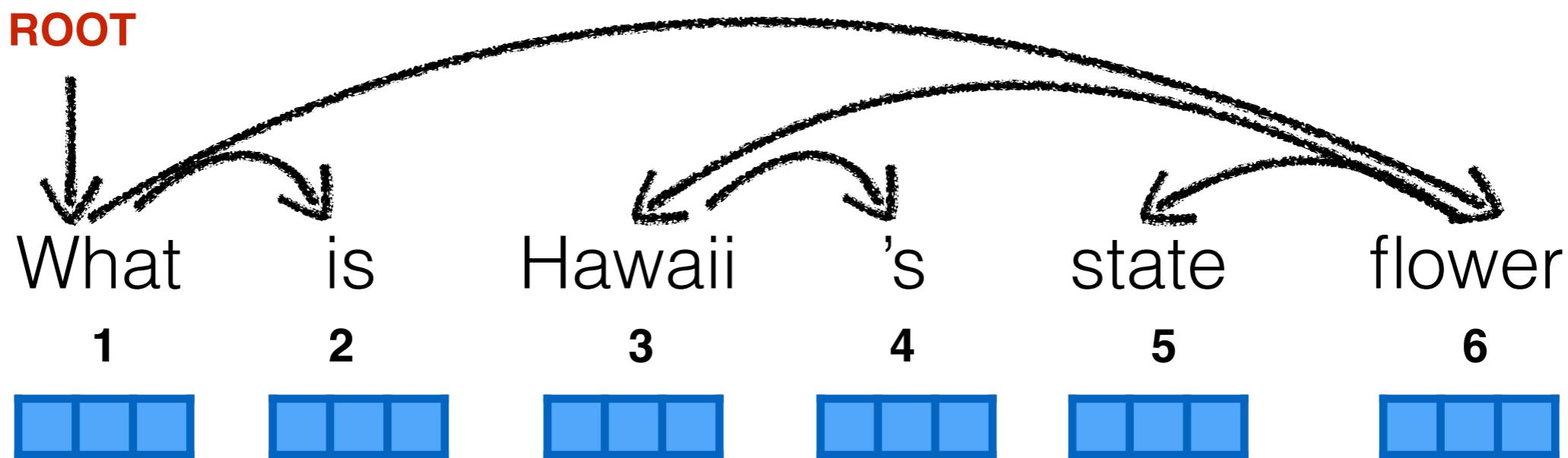


more important words are convolved more often!

# Convolution on Tree



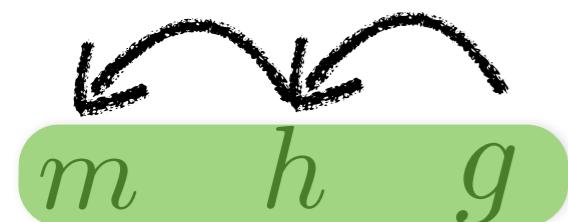
# Convolution on Tree



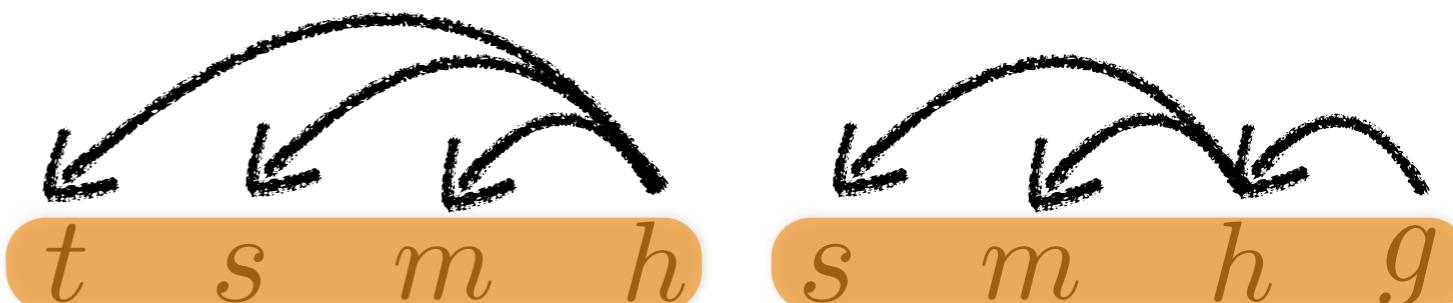
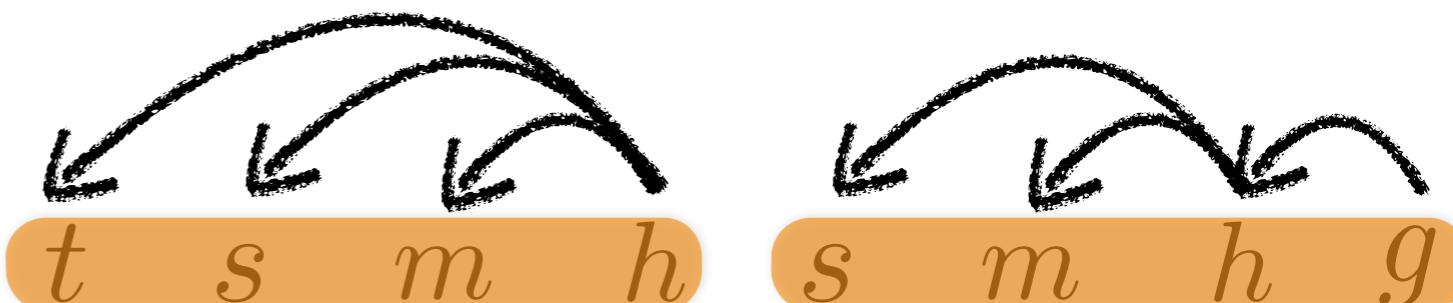
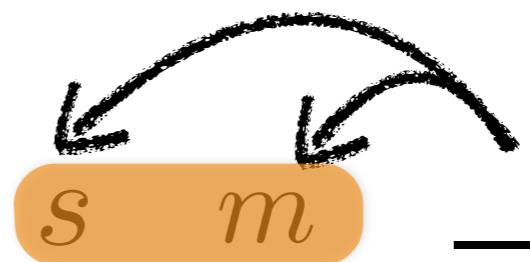
# Convolution on Siblings

Besides convolution on ancestor path, we also can capture conjunction information from siblings

ancestor path



siblings



# Experiments

## Tasks:

- ◆ Sentimental analysis
- ◆ Question classification

## Datasets:

Tasks	Dataset	# Classes	Size	Testset
Sentimental Analysis	MR	2	10662	10-CV
	SST1	5	11855	2210
Question Classification	TREC	6	5952	500
	TREC-2	50	5952	500

# Sentimental Analysis Data Examples

Sentimental analysis from Rotten Tomatoes (MR & SST-I)

**straightforward statements:**

simplistic, silly and tedious

Negative

**subtle statements:**

the film tunes into a grief that could lead a  
man across centuries

Positive

**sentences with adversative:**

not for everyone, but for those with whom it  
will connect, it's a nice departure from  
standard moviegoing fare

Positive

# Sentimental Analysis Experiments Results

Category	Model	MR	SST-1
This work	<b>ancestor</b>	80.4	47.7
	<b>ancestor+sibling</b>	81.7	48.3
	<b>ancestor+sibling+sequential</b>	<b>81.9</b>	49.5
CNNs	<b>CNNs-non-static (Kim '14) – baseline</b>	81.5	48.0
	<b>CNNs-multichannel (Kim '14)</b>	81.1	47.4
	<b>Deep CNNs (Kalchbrenner+ '14)</b>	-	48.5
Recursive NNs	<b>Recursive Autoencoder (Socher+ '11)</b>	77.7	43.2
	<b>Recursive Neural Tensor (Socher+ '13)</b>	-	45.7
	<b>Deep Recursive NNs (Irsoy+ '14)</b>	-	<b>49.8</b>
Recurrent NNs	<b>LSTM on tree (Zhu+ '15)</b>	<b>81.9</b>	48.0
Other	<b>Paragraph-VeC (Le+ '14)</b>	-	48.7

# Question Classification Examples

Sentence	<i>Top-level</i> (TREC)	<i>Fine-grained</i> (TREC-2)
How did serfdom develop in and then leave Russia?	DESC	manner
What is Hawaii 's state flower ?	ENTY	plant
What sprawling U.S. state boasts the most airports ?	LOC	state
When was Algeria colonized ?	NUM	date
What person 's head is on a dime ?	HUM	ind
What does the technical term ISDN mean ?	ABBR	exp

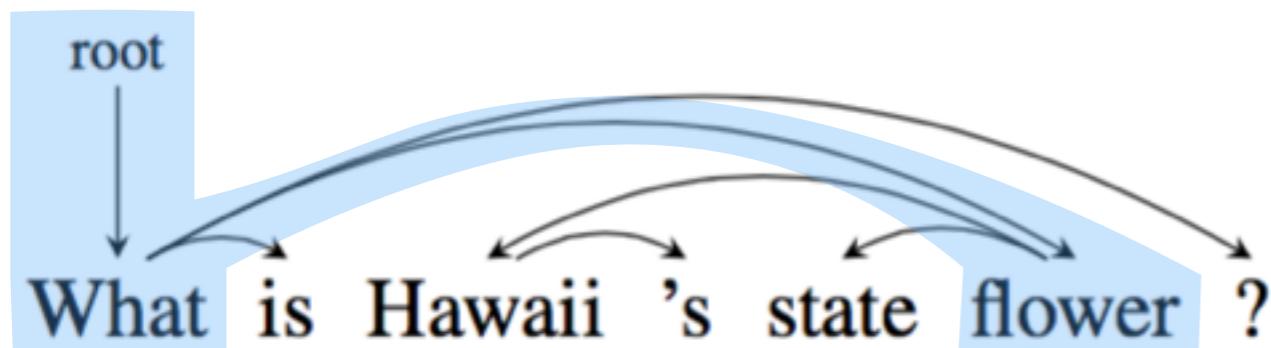
# Question Classification Experiments Results

Category	Model	TREC	TREC2
This work	<b>ancestor</b>	95.4	88.4
	<b>ancestor+sibling</b>	<b>95.6</b>	89.0
	<b>ancestor+sibling+sequential</b>	95.4	88.8
CNNs	<b>CNNs-non-static (Kim '14) – baseline</b>	93.6	86.4
	<b>CNNs-multichannel (Kim '14)</b>	92.2	86.0
	<b>Deep CNNs (Kalchbrenner+ '14)</b>	93.0	-
Hand-coded	<b>SVMs (Silva+ '11)*</b>	95.0	<b>90.8</b>

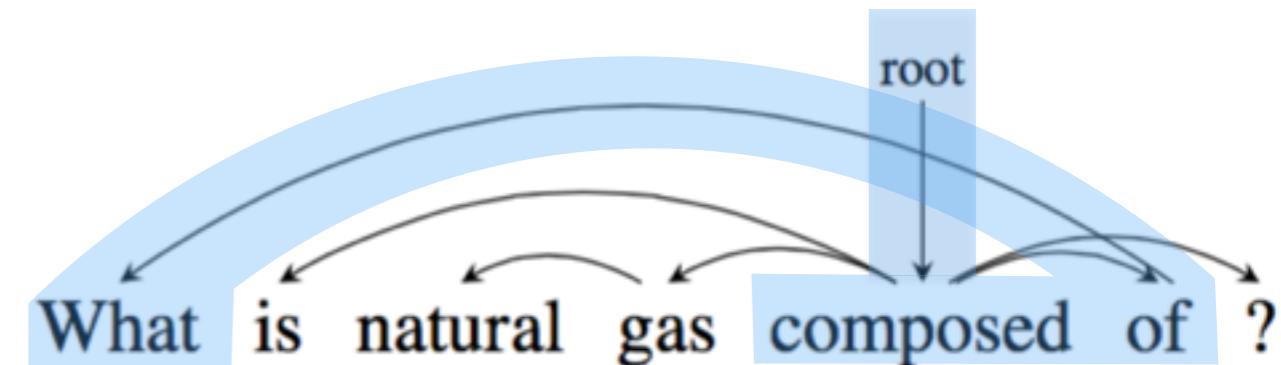
we achieved the highest published accuracy on TREC.

# Error Analysis :-)

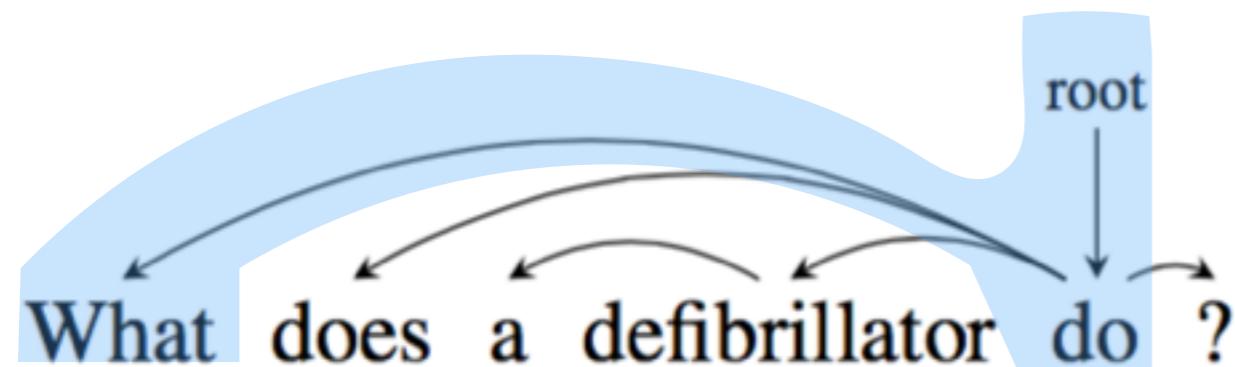
Cases which we do better than Baseline:



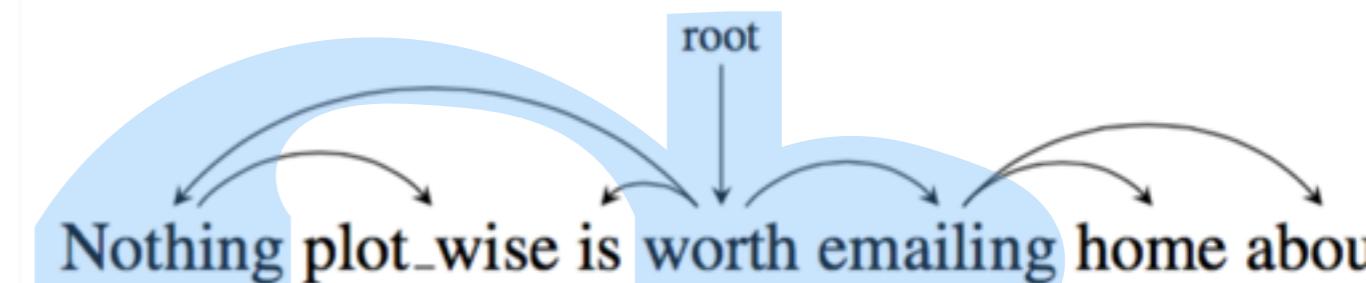
Gold/Ours: Enty    Baseline: Loc



Gold/Ours: Enty    Baseline: Desc



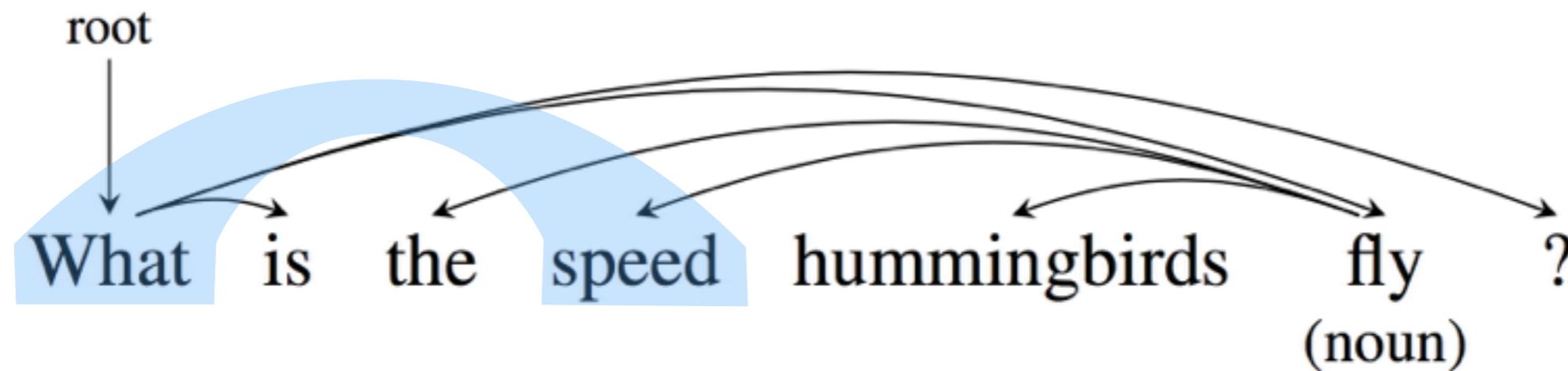
Gold/Ours: Desc    Baseline: Enty



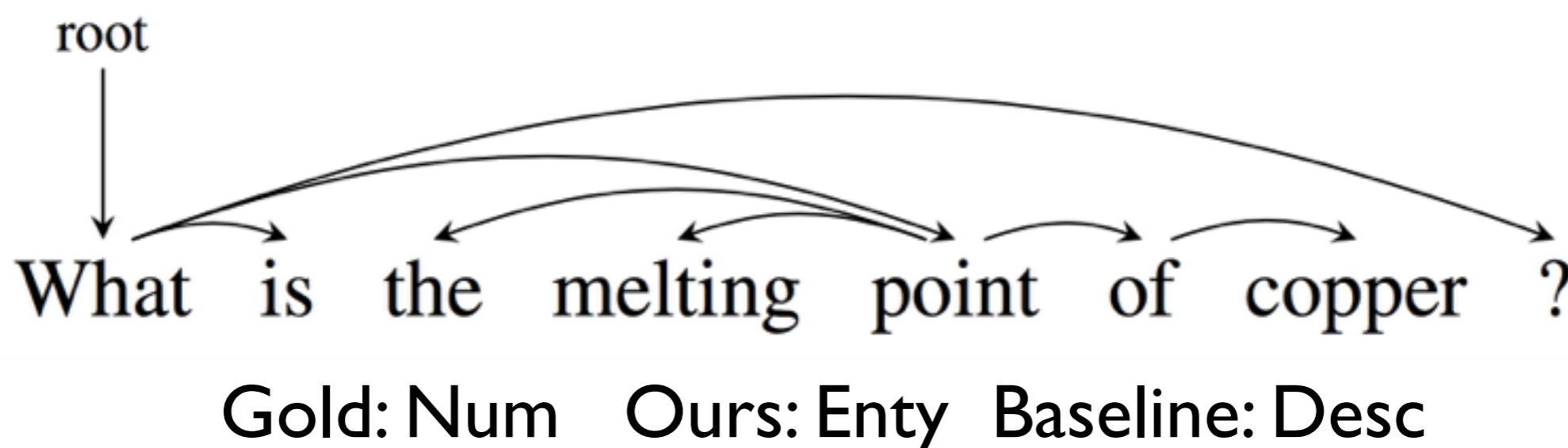
Gold/Ours: Mild Neg    Baseline: Mild Pos

# Error Analysis :-)

Cases which we make mistakes:



Cases which we and baseline make mistakes:



# Conclusions

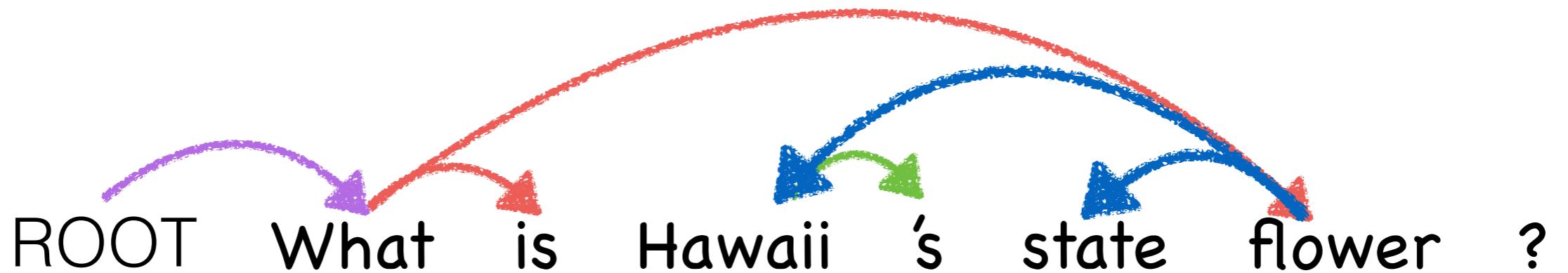
Pros:

- ◆ Dependency-based convolution captures long-distance information.
- ◆ It outperforms sequential CNN in all four datasets.
  - ◆ highest published accuracy on TREC.

Cons:

- ◆ Our model's accuracy depends on parser quality.

**Deep Learning can and should be combined with linguistic intuitions.**



**Thank you !**