# **Evaluation**

**SLP 4.7** 

https://web.stanford.edu/~jurafsky/slp3/4.pdf

**NLP 4.4** 

https://github.com/jacobeisenstein/gt-nlp-class/blob/master/notes/eisenstein-nlp-notes.pdf



# **Development Test Sets**

Training set

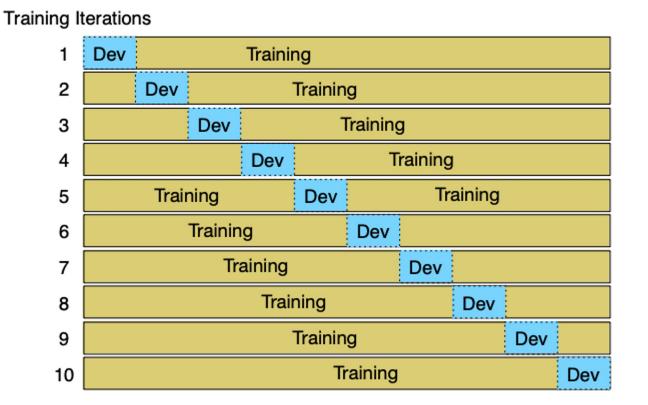
**Development Test Set** 

- Dev test set for parameter tuning
- Unseen test set
  - avoid overfitting ('tuning to the test set')
  - more conservative estimate of performance
- Cross-validation over multiple splits
  - Handle sampling errors from different datasets





### N-fold -cross validation



Testing

Test Set



### Metrics: Precision and Recall

- Accuracy
  - Class imbalance problem → balanced test set
- Precision and recall for each class

Contingency Table

| gold standard labels |  |   |  |  |
|----------------------|--|---|--|--|
|                      | gold positive  | gold negative   |  |  |
| system<br>positive   | true positive  | false positive  | $\mathbf{precision} = \frac{tp}{tp + fp}$  |  |
| system<br>negative   | false negative   | true negative   |  |  |
|                      | $\mathbf{recall} = \frac{\mathbf{tp}}{\mathbf{tp+fn}}$ |   | $accuracy = \frac{tp+tn}{tp+fp+tn+fn}$   |  |
|                      | positive<br>system<br>negative                         | gold positive  system positive  system negative  false negative | gold positive gold negative  system positive system  folia positive false positive |  |

### Metrics: F-score

A combined measure that assesses the P/R tradeoff is F measure (weighted harmonic mean):

$$F = \frac{(\beta^2 + 1)PR}{\beta^2 P + R}$$

 $F_2$  weights recall higher while  $F_{0.5}$  weights precision higher

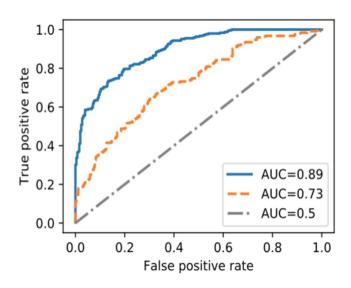
Usually use

$$F_1 = \frac{2PR}{P+R}$$

### Metrics: AuC

- ROC (receiver operating characteristic) curve
- AuC(area under the curve): the probability that a randomly- selected positive example will be assigned a higher score by the classifier than a randomly-selected negative example

For AuC, refer to <a href="https://developers.google.com/machine-learning/crash-course/classification/roc-and-auc">https://developers.google.com/machine-learning/crash-course/classification/roc-and-auc</a>



### Metrics for multinomial classification

- Macroaveraging computes the performance for each class, and then average over classes
- Microaveraging collects the decisions for all classes into a single contingency table, and then compute precision and recall from that table
- Microaveraged score is dominated by score on common classes



# Example: email categorization decision

Classify email as one of urgent, normal, spam

|                         | urgent    | normal    | spam      |   |
|-------------------------|-----------|-----------|-----------|---|
| urgent                  | 8         | 10        | 1         | $\mathbf{precisionu} = \frac{8}{8+10+1}$      |
| system<br>output normal | 5         | 60        | 50        | $\mathbf{precision}_{n} = \frac{60}{5+60+50}$ |
| spam                    | 3         | 30        | 200       | <b>precision</b> s= $\frac{200}{3+30+200}$    |
|                         | recallu = | recalln = | recalls = |   |
|                         | 8         | 60        | 200       |   |
|                         | 8+5+3     | 10+60+30  | 1+50+200  |   |



# Micro vs. Macro averaging

### Class 1: Urgent

#### true true urgent not system urgent system 340 not

### Class 2: Normal

true

|                  | uuc    | uuc |
|------------------|--------|-----|
|                  | normal | not |
| system<br>normal | 60     | 55  |
| system<br>not    | 40     | 212 |

true

$$precision = \frac{60}{60 + 55} = .5$$

### Class 3: Spam

|                | true | true |  |
|----------------|------|------|--|
|                | spam | not  |  |
| system<br>spam | 200  | 33   |  |
| system<br>not  | 51   | 83   |  |

precision = 
$$\frac{200}{200+33}$$
 = .86

### **Pooled**

|               | true | true |  |
|---------------|------|------|--|
|               | yes  | no   |  |
| system<br>yes | 268  | 99   |  |
| system<br>no  | 99   | 635  |  |

precision = 
$$\frac{8}{8+11}$$
 = .42 precision =  $\frac{60}{60+55}$  = .52 precision =  $\frac{200}{200+33}$  = .86 microaverage precision =  $\frac{268}{268+99}$  = .73

$$\frac{\text{macroaverage}}{\text{precision}} = \frac{.42 + .52 + .86}{3} = .60$$



# Confusion Matrix for Error analysis

- Classic Reuters-21578 Data Set: 21,578 docs (each 90 types, 200 tokens)
- 9603 training, 3299 test articles
- 118 categories
  - an article can be in more than one category
  - learn 118 binary category distinctions
- Average document has 1.24 classes
- Only about 10 out of 118 categories are large

Common categories (#train, #test)

- Earn (2877, 1087)
- Acquisitions (1650, 179)
- Money-fx (538, 179)
- Grain (433, 149)
- Crude (389, 189)

- •Trade (369,119)
- Interest (347, 131)
- Ship (197, 89)
- Wheat (212, 71)
- Corn (182, 56)

# Reuters Text Categorization data

<REUTERS TOPICS="YES" LEWISSPLIT="TRAIN" CGISPLIT="TRAINING-SET" OLDID="12981" NEWID="798">

<DATE> 2-MAR-1987 16:51:43.42</DATE>

<TOPICS><D>livestock</D><D>hog</D></TOPICS>

<TITLE>AMERICAN PORK CONGRESS KICKS OFF TOMORROW</TITLE>

<DATELINE> CHICAGO, March 2 - </DATELINE><BODY>The American Pork Congress kicks off tomorrow, March 3, in Indianapolis with 160 of the nations pork producers from 44 member states determining industry positions on a number of issues, according to the National Pork Producers Council, NPPC.

Delegates to the three day Congress will be considering 26 resolutions concerning various issues, including the future direction of farm policy and the tax law as it applies to the agriculture sector. The delegates will also debate whether to endorse concepts of a national PRV (pseudorabies virus) control and eradication program, the NPPC said.

A large trade show, in conjunction with the congress, will feature the latest in technology in all areas of the industry, the NPPC added. Reuter &#3:</BODY></TEXT></REUTERS>

### Confusion matrix

- For each pair of classes  $\langle c_1, c_2 \rangle$  how many documents from  $c_1$  were incorrectly assigned to  $c_2$ ?
  - o c<sub>3,2</sub>: 90 wheat documents incorrectly assigned to poultry

| Docs in test set | Assigned<br>UK | Assigned poultry | Assigned wheat | Assigned coffee | Assigned interest | Assigned trade |
|------------------|----------------|------------------|----------------|-----------------|-------------------|----------------|
| True UK          | 95             | 1                | 13             | 0               | 1                 | 0              |
| True poultry     | 0              | 1                | 0              | 0               | 0                 | 0              |
| True wheat       | 10             | 90               | 0              | 1               | 0                 | 0              |
| True coffee      | 0              | 0                | 0              | 34              | 3                 | 7              |
| True interest    | -              | 1                | 2              | 13              | 26                | 5              |
| True trade       | 0              | 0                | 2              | 14              | 5                 | 10             |

# Summary

- Classifiers are trained using distinct training, dev, and test sets, including the use of cross-validation in the training set
- Classifiers are evaluated based on precision, recall, and F-score
- Macro vs. micro averaging for multinomial classification
- Confusion matrix to seek performance improvement