

NRA-LS at the SMT Competition 2023

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1 Introduction

Satisfiability modulo theory (SMT) solving for quantifier-free formulas in non-linear real arithmetic (QF_NRA) is important in many applications. State-of-the-art SMT solvers have made great progress to solve this problem. However, the time and memory usage of them on some hard instances may be unacceptable, especially when high-order polynomials appear in the formula. NRA-LS is an SMT solver for QF_NRA theory, which can improve the performance on some high-order satisfiable instances through a local search (LS) algorithm. NRA-LS wraps cvc5-1.0.5¹ as the back-end solver.

2 Architecture of NRA-LS

The framework of NRA-LS is shown in Algorithm 1. At the beginning, the maximum order of polynomials in the formula is computed, and those formulas will be handled specially if they contain high-order polynomials, which means the order is larger than 10 in the implementation.

Initial model generation. NRA-LS tries to assign values to the variables, evaluates the level to which the assertions are satisfied, and adjusts the values. Then the top- k assignments are output as initial models. However, these ‘models’ cannot satisfy all the assertions in most cases, so NRA-LS makes fewer variables fixed and tests the satisfiability of a set of sub-formulas.

^{*} The first two authors contributed equally to this work.

¹ <https://github.com/cvc5/cvc5>.

Algorithm 1 Framework of NRA-LS

Input: an SMT(QF_NRA) formula ϕ **Output:** sat/unsat/unknown

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1: if  $\phi$  contains high-order polynomial then
2:    $S_1, S_2, \dots, S_k \leftarrow \text{generate\_init\_model}(\phi)$ ;
3:   for  $i$  from 1 to  $k$  do
4:     while  $|S_i| \neq 0$  do
5:        $S_i \leftarrow \text{generate\_partial\_assignment}(\phi, S_i)$ ;
6:        $res \leftarrow \text{run\_back\_end\_solver}(\phi \wedge S_i)$ ;
7:       if  $res = \text{sat}$  then
8:         return  $res$ ;
9:       else if  $res = \text{unsat}$  then
10:        continue;
11:      else
12:        break;
13:      end if
14:    end while
15:  end for
16: end if
17: return  $\text{run\_back\_end\_solver}(\phi)$ ;

```

Sub-formulas Testing. Given an initial model, NRA-LS calls back-end solver to test if the model is valid by appending additional assertions to the original formula. If **unsat** is returned, NRA-LS will reduce the number of fixed variables, and test the new sub-formula iteratively until getting **sat** or the time limit is exceeded. If **sat** is returned, the original formula is also satisfiable.

Time slots assignment. NRA-LS assigns the time slots into three parts. Suppose the time limit to solve a single formula is T . First, it takes $5\%T$ to run back-end solver on the original formula, which aims to exclude easy benchmarks. Next, the time limit for each attempt that tests a sub-formula is set to $2.5\%T$. Finally, if the result cannot be determined, the rest of the time is assigned to run back-end solver on the original formula.

3 What's New in 2023

In this year, we have focused on strengthening the algorithm for generating the initial models and improving the construction strategy of sub-formulas. We are still striving to add more detailed descriptions, which would be available soon.

4 Project Website

For more information and resources of NRA-LS, please refer to our website:

<https://github.com/minghao-liu/NRA-LS>.