

# Lecture 8: CNN Architectures

Reminder: A2 due today!

Due at 11:59pm

Remember to [run the validation script](#)!

# Soon: Assignment 3!

Modular API for backpropagation

Fully-connected networks

Dropout

Update rules: SGD+Momentum, RMSprop, Adam

Convolutional networks

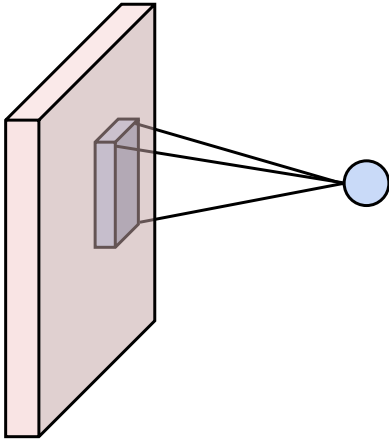
Batch normalization

Will be released today or tomorrow

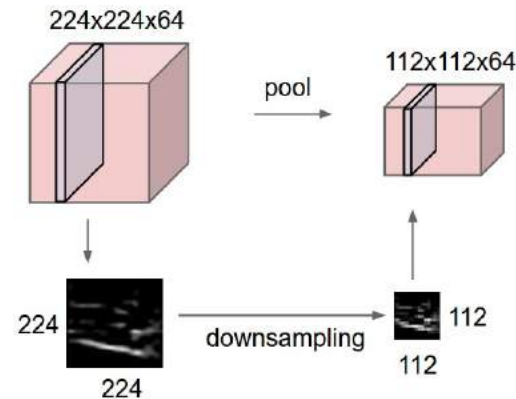
Will be due two weeks from the day it is released

# Last Time: Components of Convolutional Networks

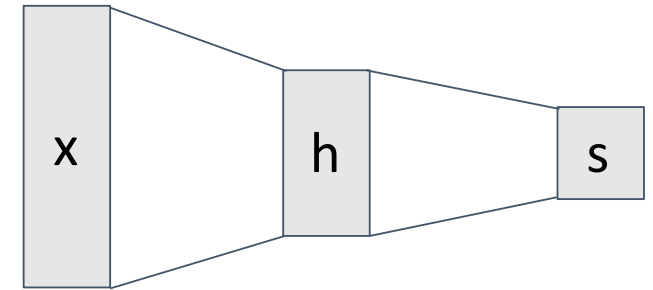
## Convolution Layers



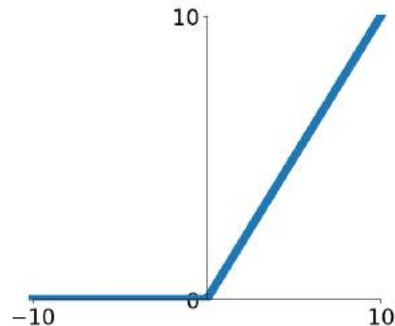
## Pooling Layers



## Fully-Connected Layers



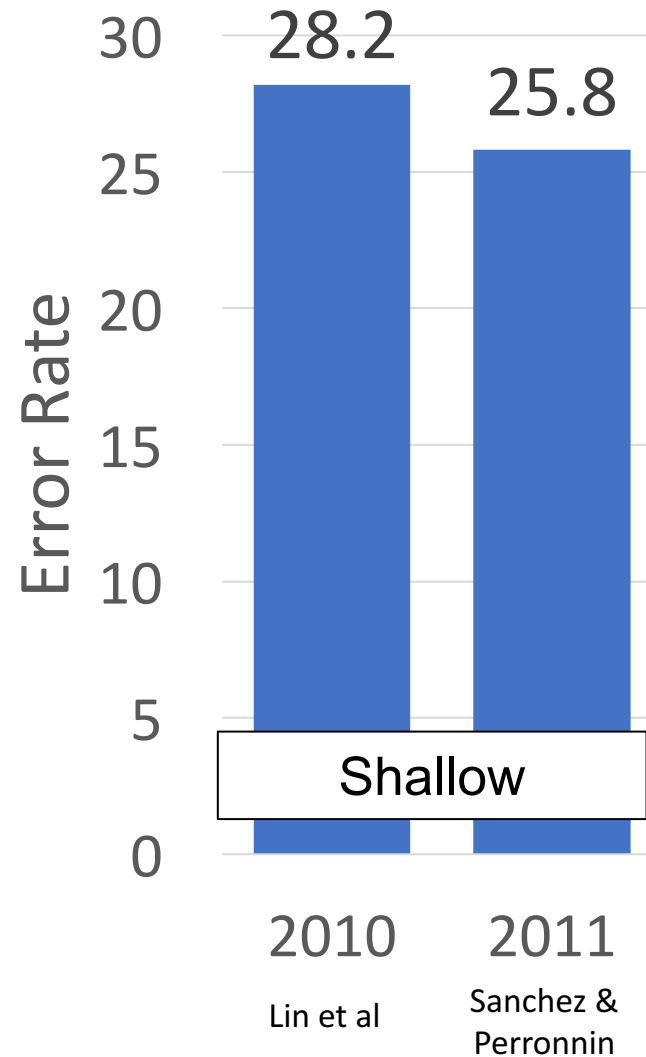
## Activation Function



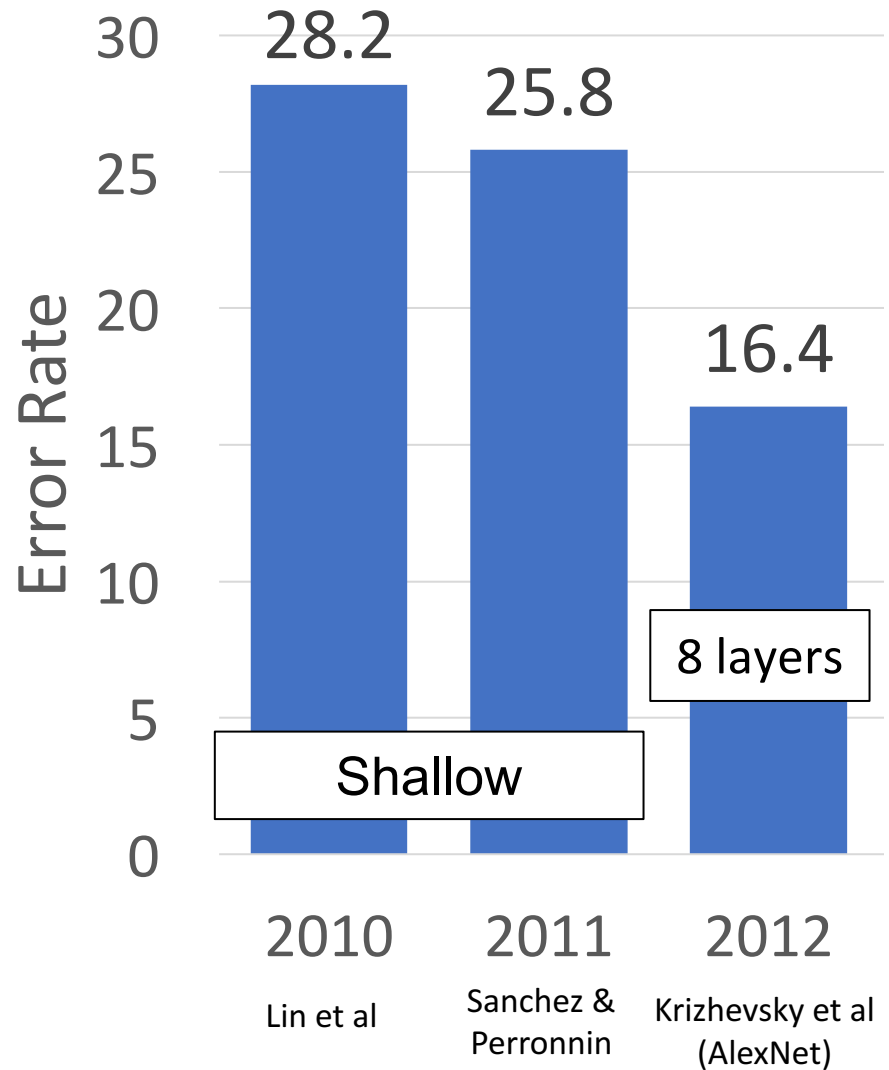
## Normalization

$$\hat{x}_{i,j} = \frac{x_{i,j} - \mu_j}{\sqrt{\sigma_j^2 + \varepsilon}}$$

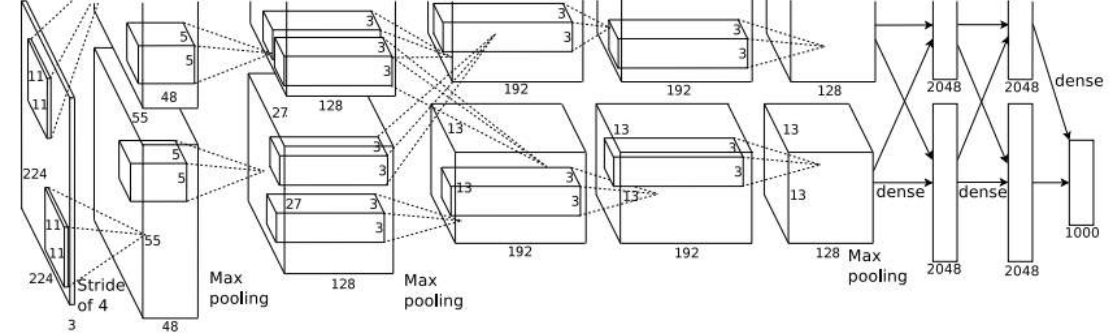
# ImageNet Classification Challenge



# ImageNet Classification Challenge



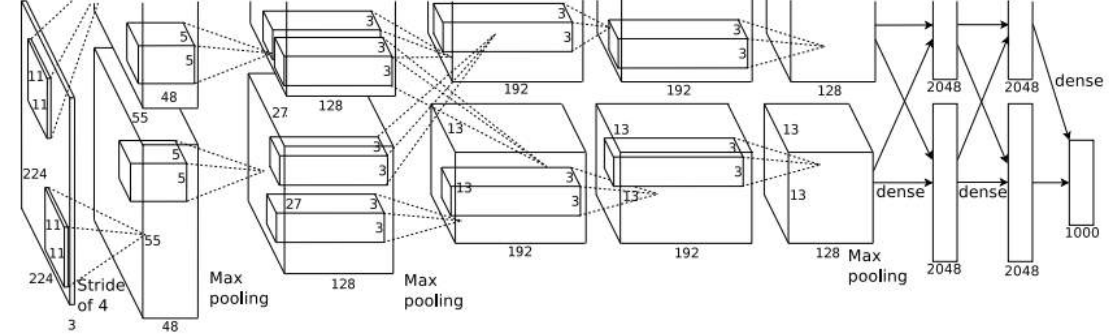
# AlexNet



227 x 227 inputs  
5 Convolutional layers  
Max pooling  
3 fully-connected layers  
ReLU nonlinearities

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# AlexNet



227 x 227 inputs  
5 Convolutional layers  
Max pooling  
3 fully-connected layers  
ReLU nonlinearities

Used “Local response normalization”;  
Not used anymore

Trained on two GTX 580 GPUs – only  
3GB of memory each! Model split  
over two GPUs

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# AlexNet

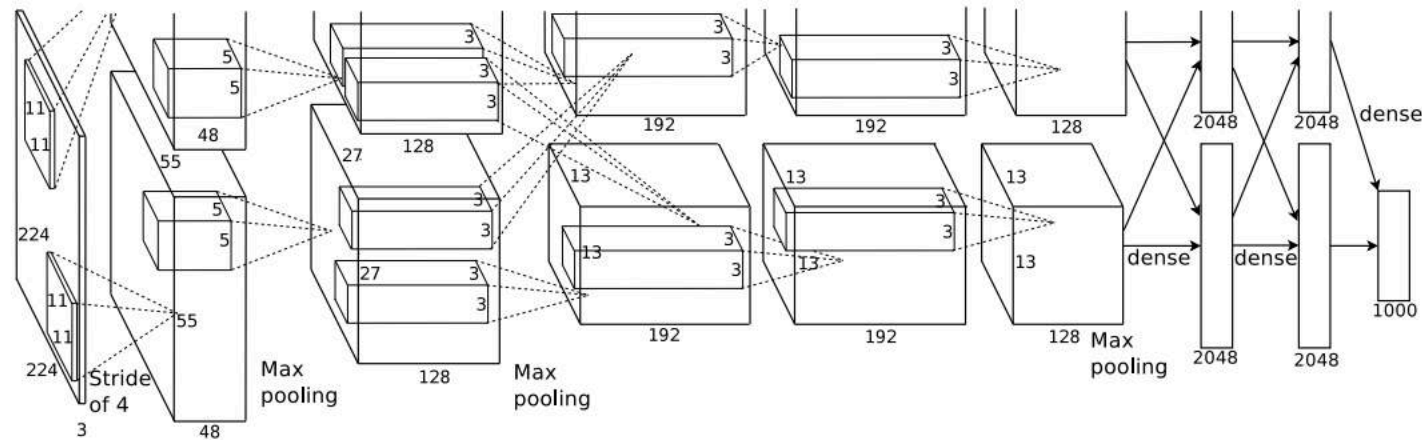
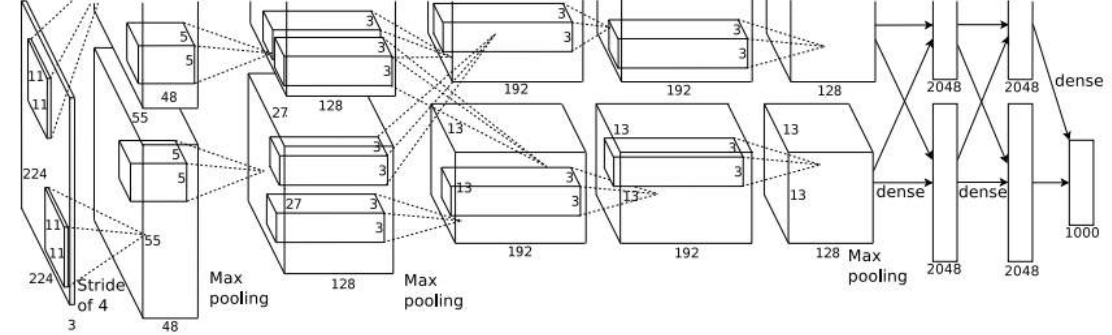


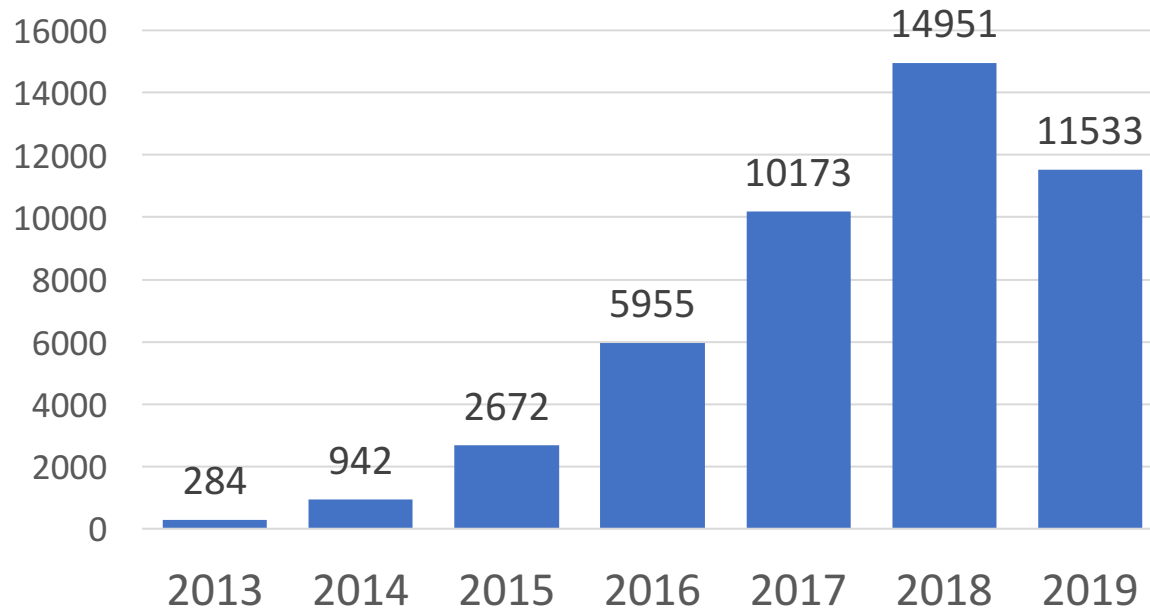
Figure 2: An illustration of the architecture of our CNN, explicitly showing the delineation of responsibilities between the two GPUs. One GPU runs the layer-parts at the top of the figure while the other runs the layer-parts at the bottom. The GPUs communicate only at certain layers. The network's input is 150,528-dimensional, and the number of neurons in the network's remaining layers is given by 253,440–186,624–64,896–64,896–43,264–4096–4096–1000.

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# AlexNet



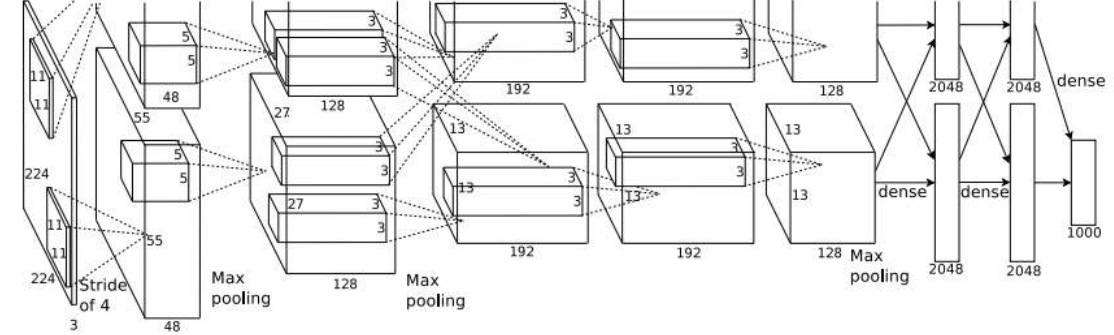
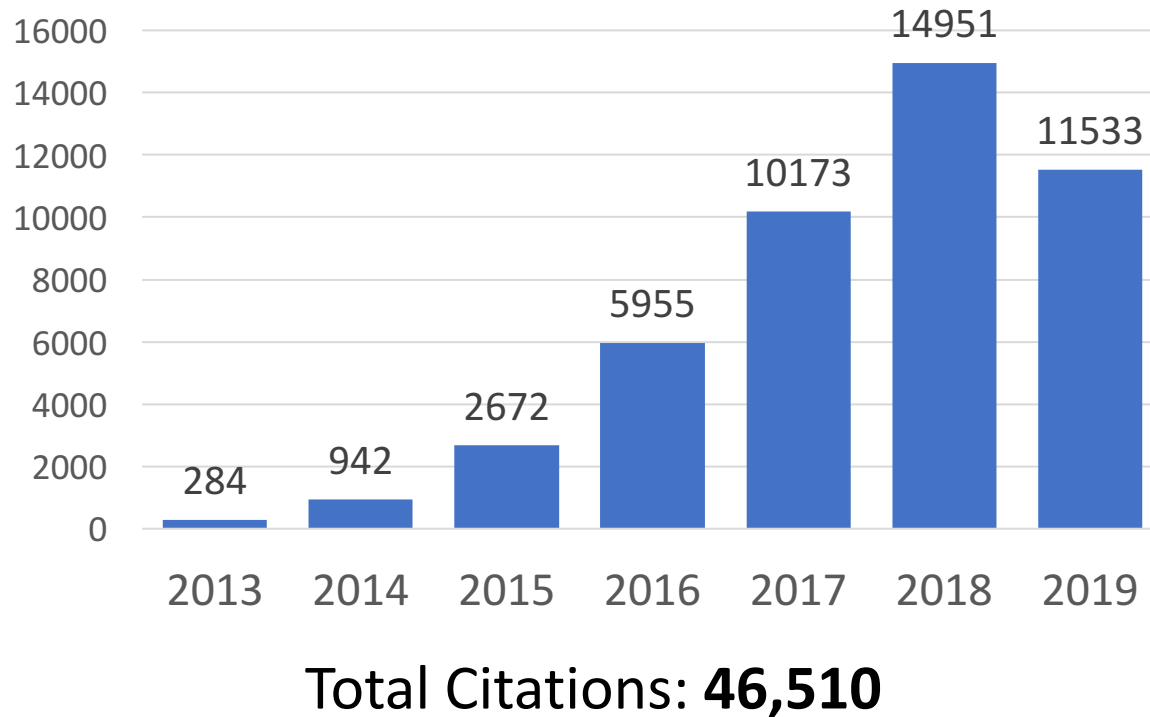
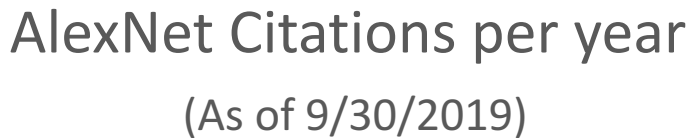
AlexNet Citations per year  
(As of 9/30/2019)



Total Citations: **46,510**

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# AlexNet



## Citation Counts

Darwin, "On the origin of species", 1859: **50,007**

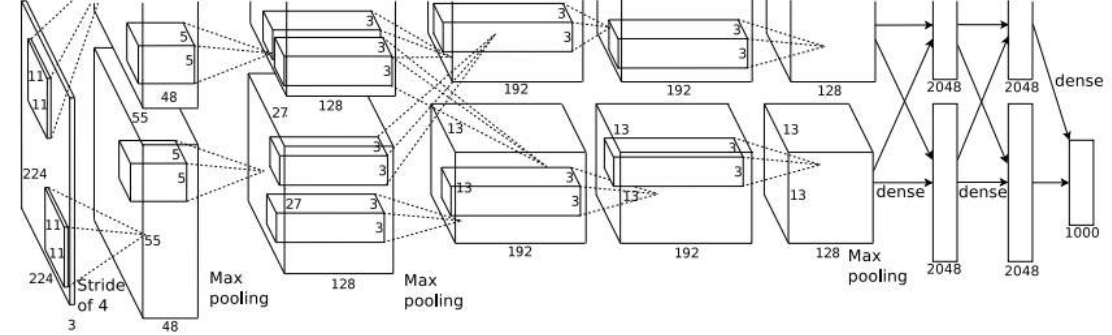
Shannon, "A mathematical theory of communication", 1948: **69,351**

Watson and Crick, "Molecular Structure of Nucleic Acids", 1953: **13,111**

ATLAS Collaboration, "Observation of a new particle in the search for the Standard Model Higgs boson with the ATLAS detector at the LHC", 2012:  
**14,424**

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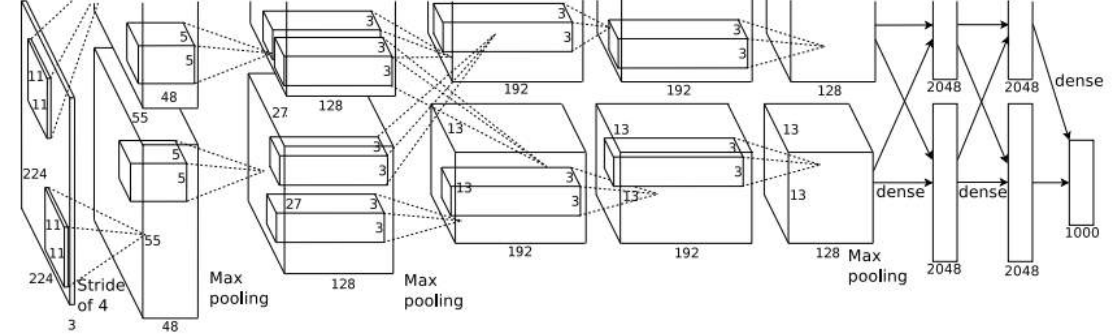
# AlexNet



Layer	Input size		Layer				Output size	
	C	H / W	filters	kernel	stride	pad	C	H / W
conv1	3	227	64	11	4	2	?	

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# AlexNet

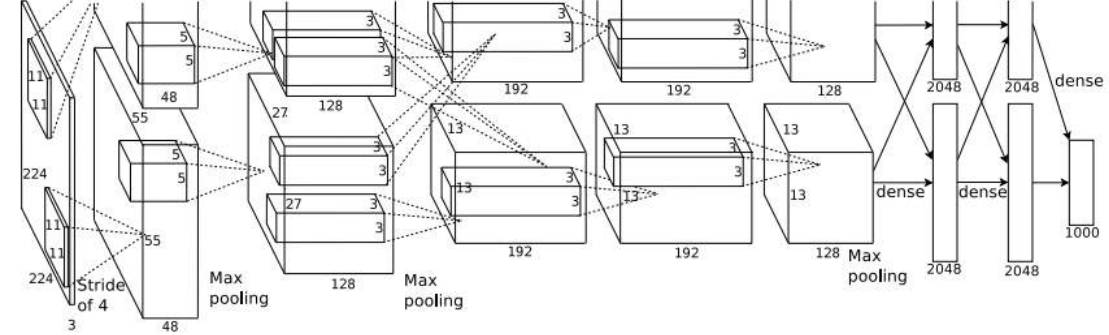


	Input size		Layer				Output size	
Layer	C	H / W	filters	kernel	stride	pad	C	H / W
conv1	3	227	64	11	4	2	64	?

Recall: Output channels = number of filters

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# AlexNet

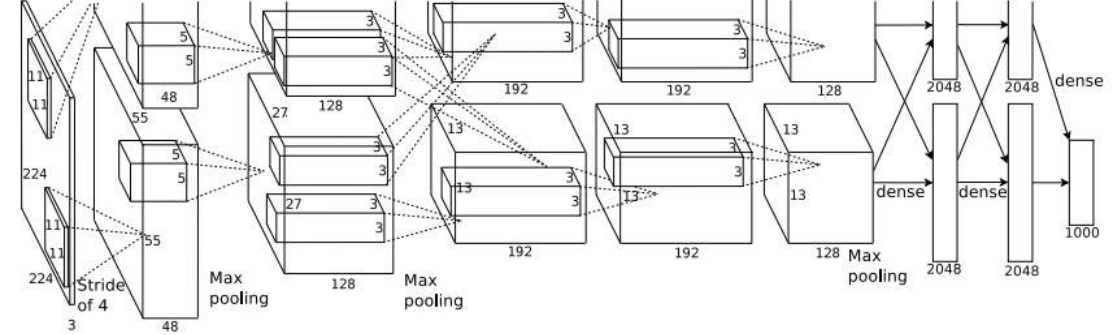


	Input size		Layer				Output size	
Layer	C	H / W	filters	kernel	stride	pad	C	H / W
conv1	3	227	64	11	4	2	64	56

$$\begin{aligned}
 \text{Recall: } W' &= (W - K + 2P) / S + 1 \\
 &= 227 - 11 + 2 \cdot 2) / 4 + 1 \\
 &= 220 / 4 + 1 = 56
 \end{aligned}$$

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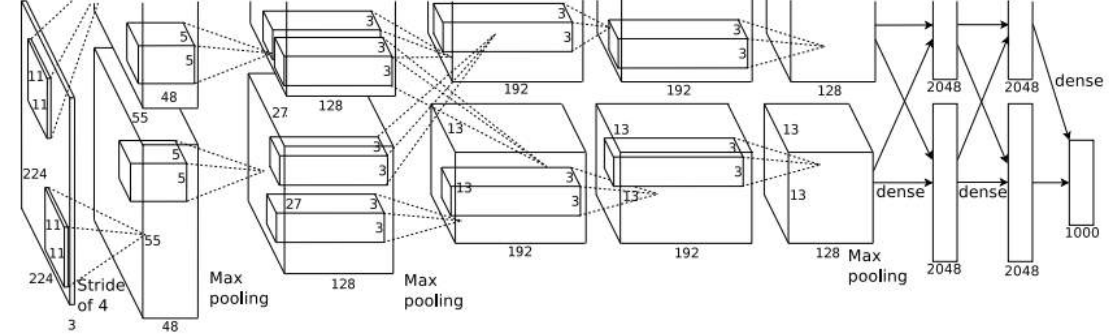
# AlexNet



	Input size		Layer				Output size		
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)
conv1	3	227	64	11	4	2	64	56	?

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# AlexNet



	Input size		Layer				Output size		
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)
conv1	3	227	64	11	4	2	64	56	784

$$\begin{aligned}\text{Number of output elements} &= C * H' * W' \\ &= 64 * 56 * 56 = 200,704\end{aligned}$$

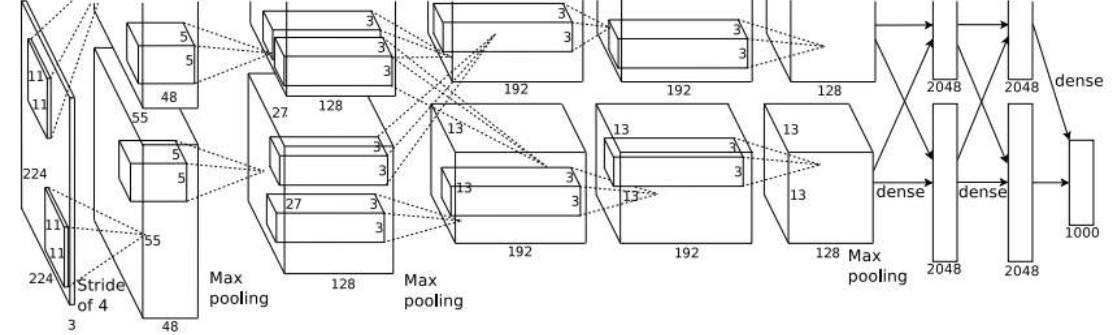
$$\text{Bytes per element} = 4 \text{ (for 32-bit floating point)}$$

$$\begin{aligned}\text{KB} &= (\text{number of elements}) * (\text{bytes per elem}) / 1024 \\ &= 200704 * 4 / 1024 \\ &= \mathbf{784}\end{aligned}$$

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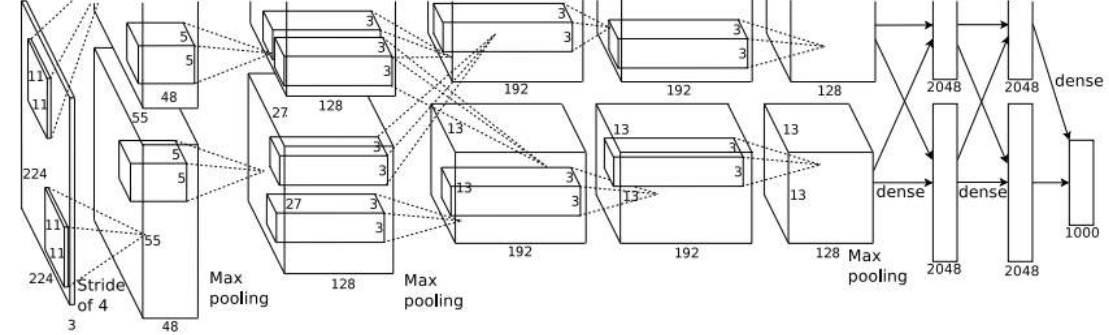
# AlexNet



	Input size		Layer				Output size			
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)
conv1	3	227	64	11	4	2	64	56	784	?

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# AlexNet



	Input size		Layer				Output size			
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)
conv1	3	227	64	11	4	2	64	56	784	23

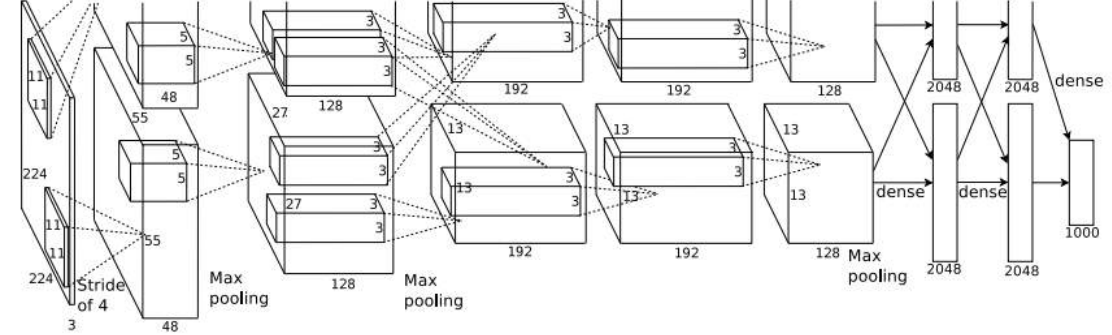
$$\begin{aligned}\text{Weight shape} &= C_{\text{out}} \times C_{\text{in}} \times K \times K \\ &= 64 \times 3 \times 11 \times 11\end{aligned}$$

$$\text{Bias shape} = C_{\text{out}} = 64$$

$$\begin{aligned}\text{Number of weights} &= 64 \times 3 \times 11 \times 11 + 64 \\ &= \mathbf{23,296}\end{aligned}$$

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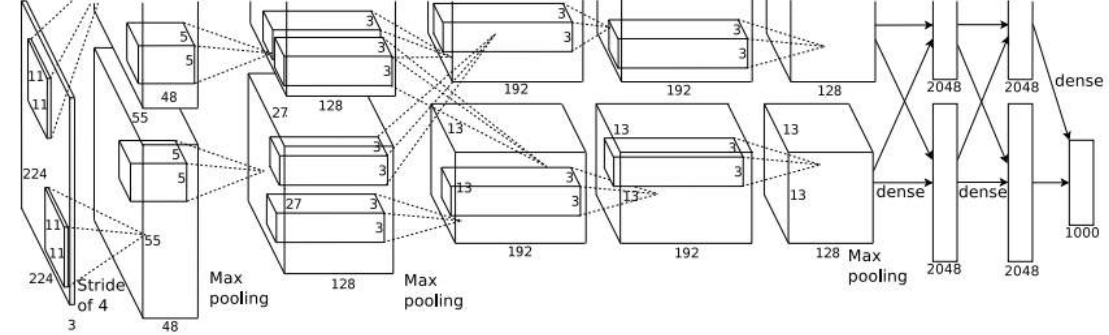
# AlexNet



	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	?

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# AlexNet

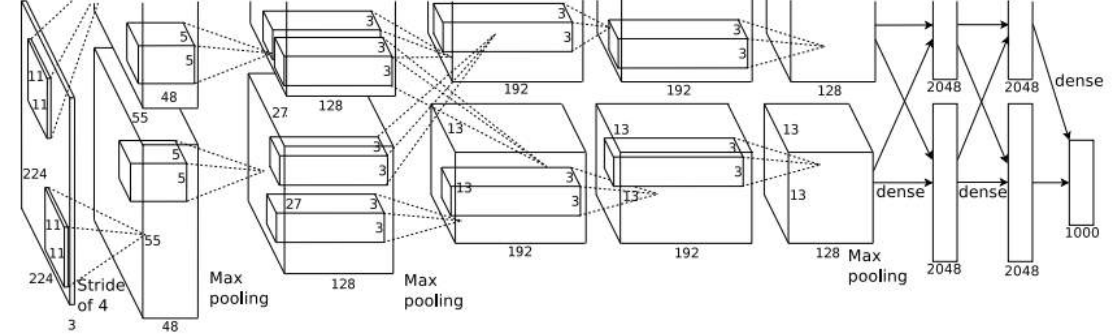


	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73

Number of floating point operations (multiply+add)  
 = (number of output elements) \* (ops per output elem)  
 =  $(C_{out} \times H' \times W') * (C_{in} \times K \times K)$   
 =  $(64 * 56 * 56) * (3 * 11 * 11)$   
 =  $200,704 * 363$   
 = **72,855,552**

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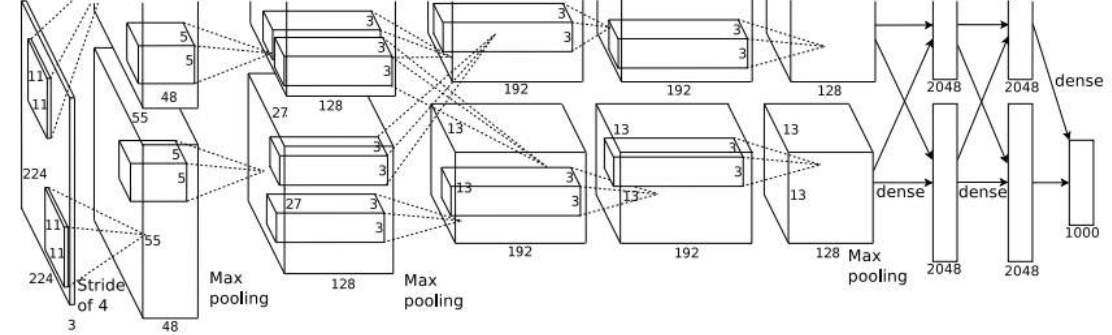
# AlexNet



Layer	Input size		Layer				Output size				
	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	?				

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# AlexNet



Layer	Input size		Layer				Output size				
	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27			

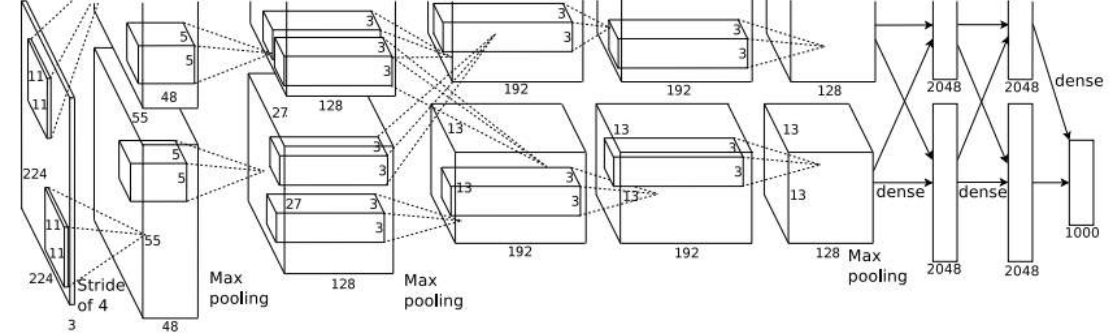
For pooling layer:

#output channels = #input channels = 64

$$\begin{aligned}
 W' &= \text{floor}((W - K) / S + 1) \\
 &= \text{floor}(53 / 2 + 1) = \text{floor}(27.5) = \mathbf{27}
 \end{aligned}$$

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# AlexNet



Layer	Input size		Layer				Output size				
	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	?	

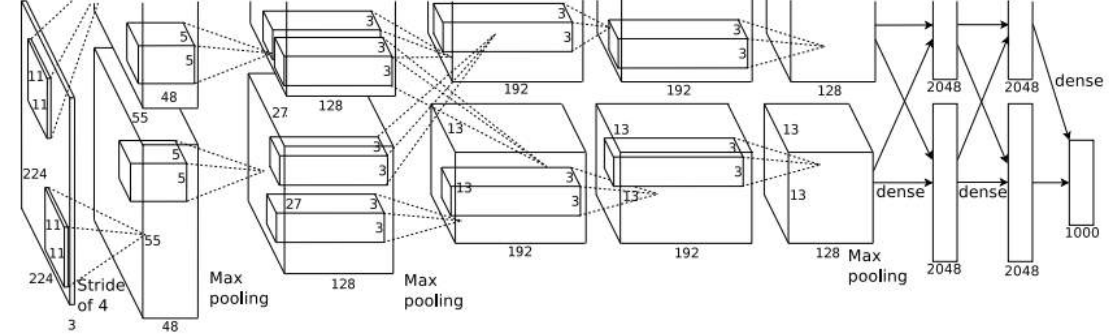
#output elems =  $C_{out} \times H' \times W'$

Bytes per elem = 4

$$\begin{aligned}
 \text{KB} &= C_{out} * H' * W' * 4 / 1024 \\
 &= 64 * 27 * 27 * 4 / 1024 \\
 &= \mathbf{182.25}
 \end{aligned}$$

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# AlexNet



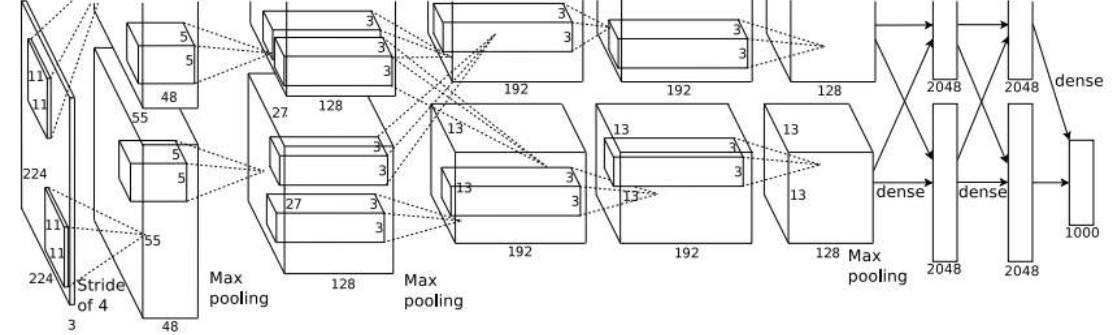
	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	?

Pooling layers have no learnable parameters!

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# AlexNet



	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0

Floating-point ops for pooling layer

= (number of output positions) \* (flops per output position)

=  $(C_{\text{out}} * H' * W') * (K * K)$

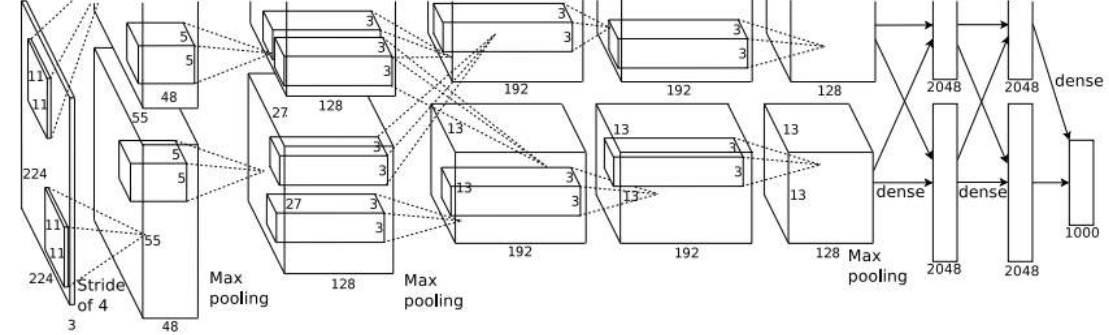
=  $(64 * 27 * 27) * (3 * 3)$

= 419,904

= **0.4 MFLOP**

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# AlexNet

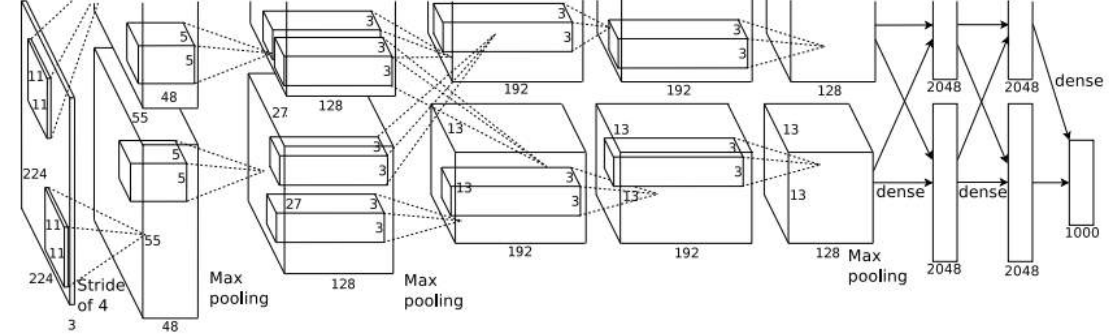


Layer	Input size		Layer				Output size		memory (KB)	params (k)	flop (M)
	C	H / W	filters	kernel	stride	pad	C	H / W			
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0
conv2	64	27	192	5	1	2	192	27	547	307	224
pool2	192	27		3	2	0	192	13	127	0	0
conv3	192	13	384	3	1	1	384	13	254	664	112
conv4	384	13	256	3	1	1	256	13	169	885	145
conv5	256	13	256	3	1	1	256	13	169	590	100
pool5	256	13		3	2	0	256	6	36	0	0
flatten	256	6					9216		36	0	0

$$\begin{aligned}
 \text{Flatten output size} &= C_{\text{in}} \times H \times W \\
 &= 256 * 6 * 6 \\
 &= \mathbf{9216}
 \end{aligned}$$

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# AlexNet

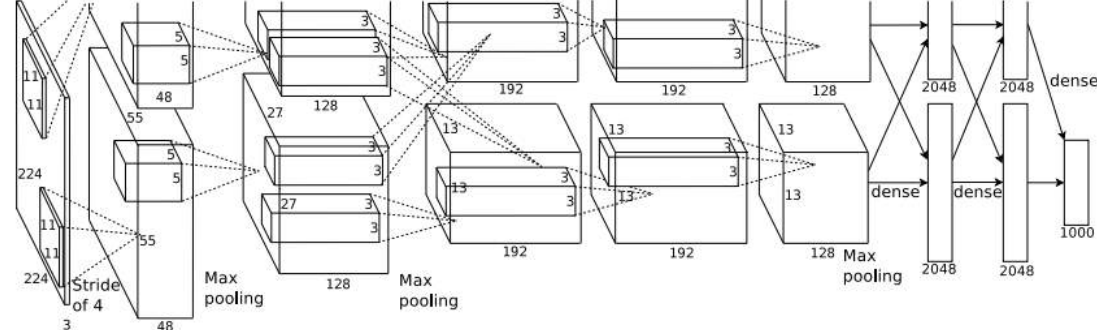


	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0
conv2	64	27	192	5	1	2	192	27	547	307	224
pool2	192	27		3	2	0	192	13	127	0	0
conv3	192	13	384	3	1	1	384	13	254	664	112
conv4	384	13	256	3	1	1	256	13	169	885	145
conv5	256	13	256	3	1	1	256	13	169	590	100
pool5	256	13		3	2	0	256	6	36	0	0
flatten	256	6					9216		36	0	0
fc6	9216		4096				4096		16	37,749	38

$$\begin{aligned}
 \text{FC params} &= C_{\text{in}} * C_{\text{out}} + C_{\text{out}} \\
 &= 9216 * 4096 + 4096 \\
 &= 37,725,832
 \end{aligned}$$

$$\begin{aligned}
 \text{FC flops} &= C_{\text{in}} * C_{\text{out}} \\
 &= 9216 * 4096 \\
 &= 37,748,736
 \end{aligned}$$

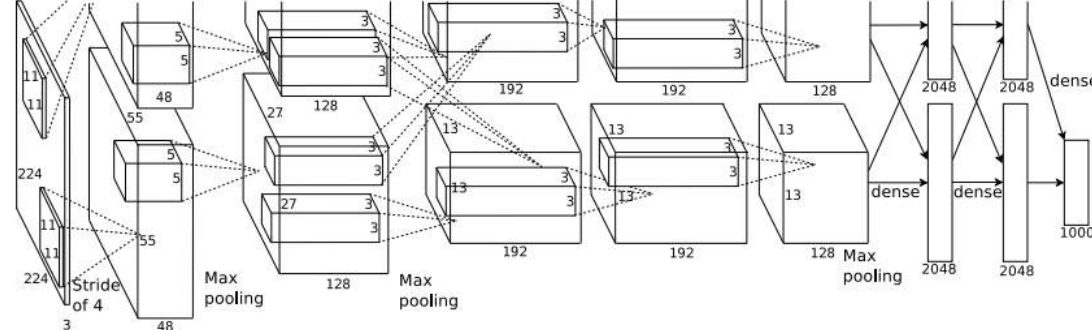
# AlexNet



	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0
conv2	64	27	192	5	1	2	192	27	547	307	224
pool2	192	27		3	2	0	192	13	127	0	0
conv3	192	13	384	3	1	1	384	13	254	664	112
conv4	384	13	256	3	1	1	256	13	169	885	145
conv5	256	13	256	3	1	1	256	13	169	590	100
pool5	256	13		3	2	0	256	6	36	0	0
flatten	256	6					9216		36	0	0
fc6	9216		4096				4096		16	37,749	38
fc7	4096		4096				4096		16	16,777	17
fc8	4096		1000				1000		4	4,096	4

# AlexNet

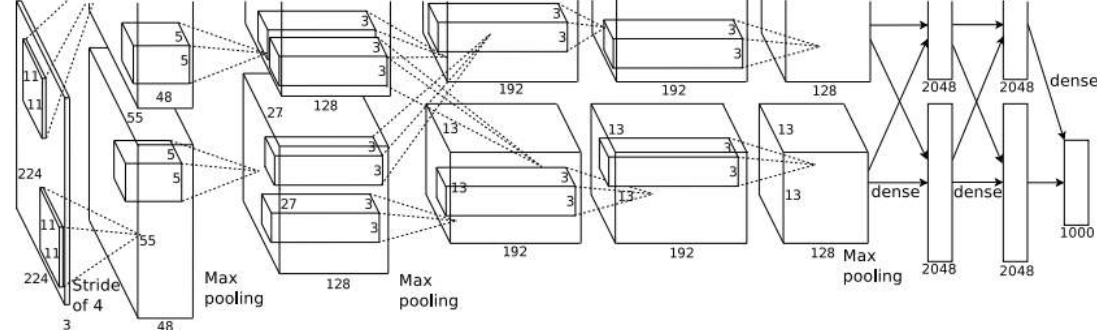
How to choose this?  
Trial and error =(



Layer	Input size		Layer				Output size		memory (KB)	params (k)	flop (M)
	C	H / W	filters	kernel	stride	pad	C	H / W			
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0
conv2	64	27	192	5	1	2	192	27	547	307	224
pool2	192	27		3	2	0	192	13	127	0	0
conv3	192	13	384	3	1	1	384	13	254	664	112
conv4	384	13	256	3	1	1	256	13	169	885	145
conv5	256	13	256	3	1	1	256	13	169	590	100
pool5	256	13		3	2	0	256	6	36	0	0
flatten	256	6					9216		36	0	0
fc6	9216		4096				4096		16	37,749	38
fc7	4096		4096				4096		16	16,777	17
fc8	4096		1000				1000		4	4,096	4

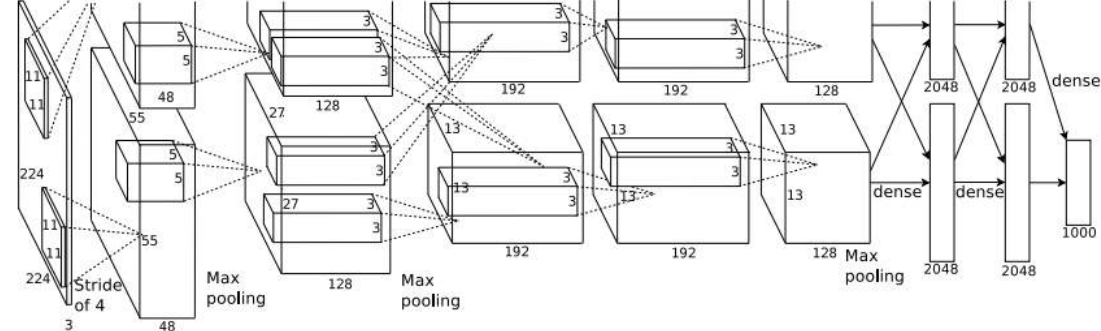
# AlexNet

Interesting trends here!



	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H / W	memory (KB)	params (k)	flop (M)
conv1	3	227	64	11	4	2	64	56	784	23	73
pool1	64	56		3	2	0	64	27	182	0	0
conv2	64	27	192	5	1	2	192	27	547	307	224
pool2	192	27		3	2	0	192	13	127	0	0
conv3	192	13	384	3	1	1	384	13	254	664	112
conv4	384	13	256	3	1	1	256	13	169	885	145
conv5	256	13	256	3	1	1	256	13	169	590	100
pool5	256	13		3	2	0	256	6	36	0	0
flatten	256	6					9216		36	0	0
fc6	9216		4096				4096		16	37,749	38
fc7	4096		4096				4096		16	16,777	17
fc8	4096		1000				1000		4	4,096	4

# AlexNet

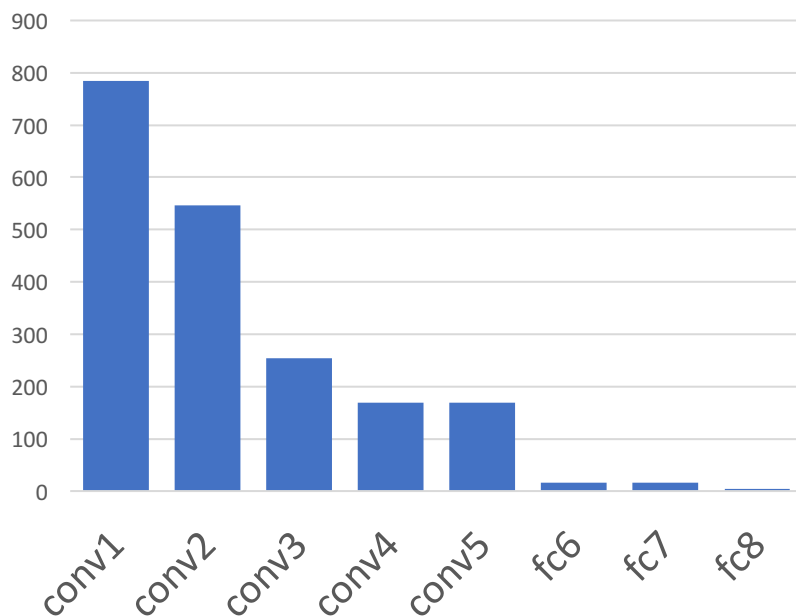


Most of the **memory usage** is in the early convolution layers

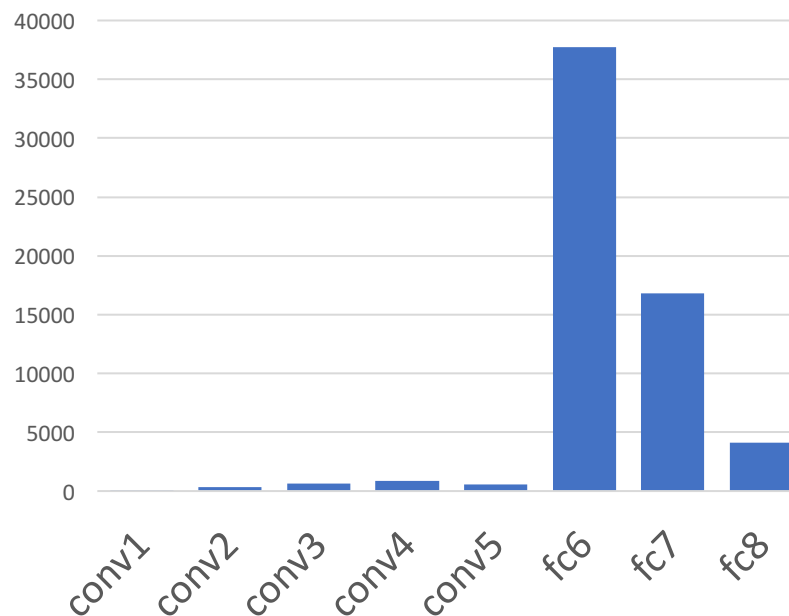
Nearly all **parameters** are in the fully-connected layers

Most **floating-point ops** occur in the convolution layers

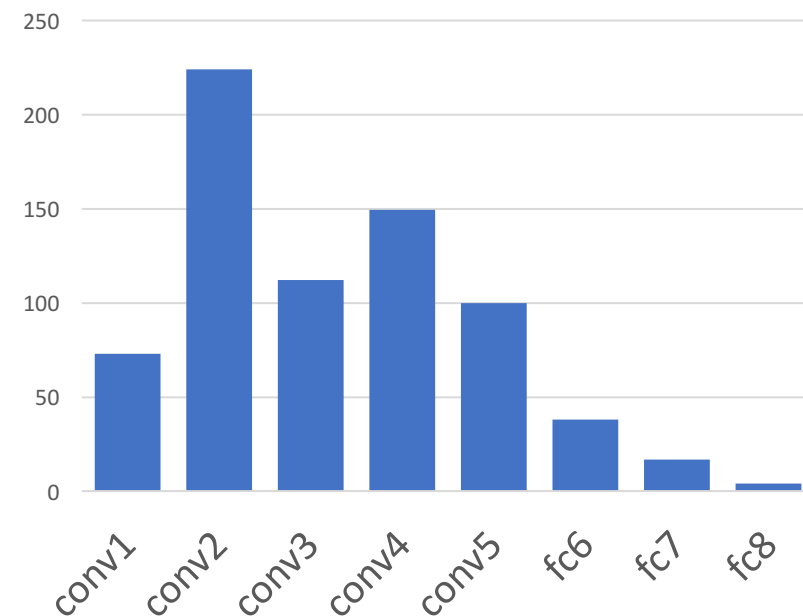
Memory (KB)



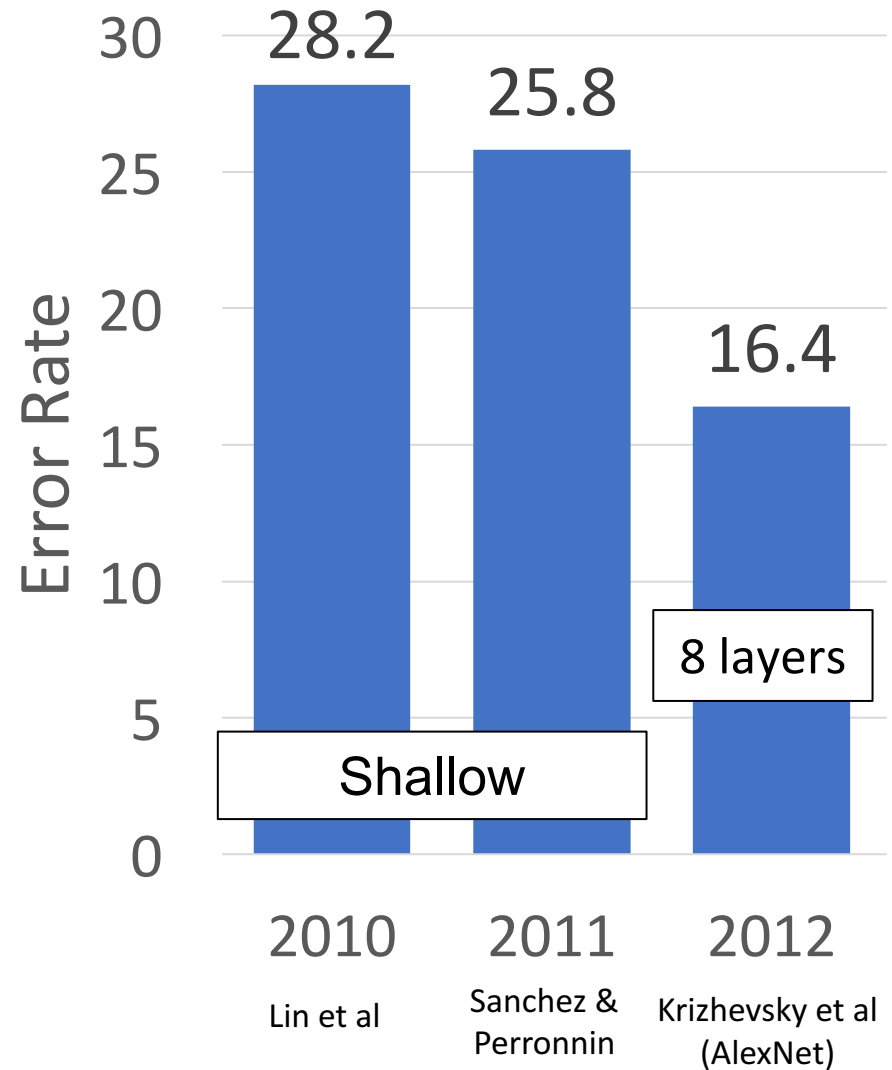
Params (K)



MFLOP

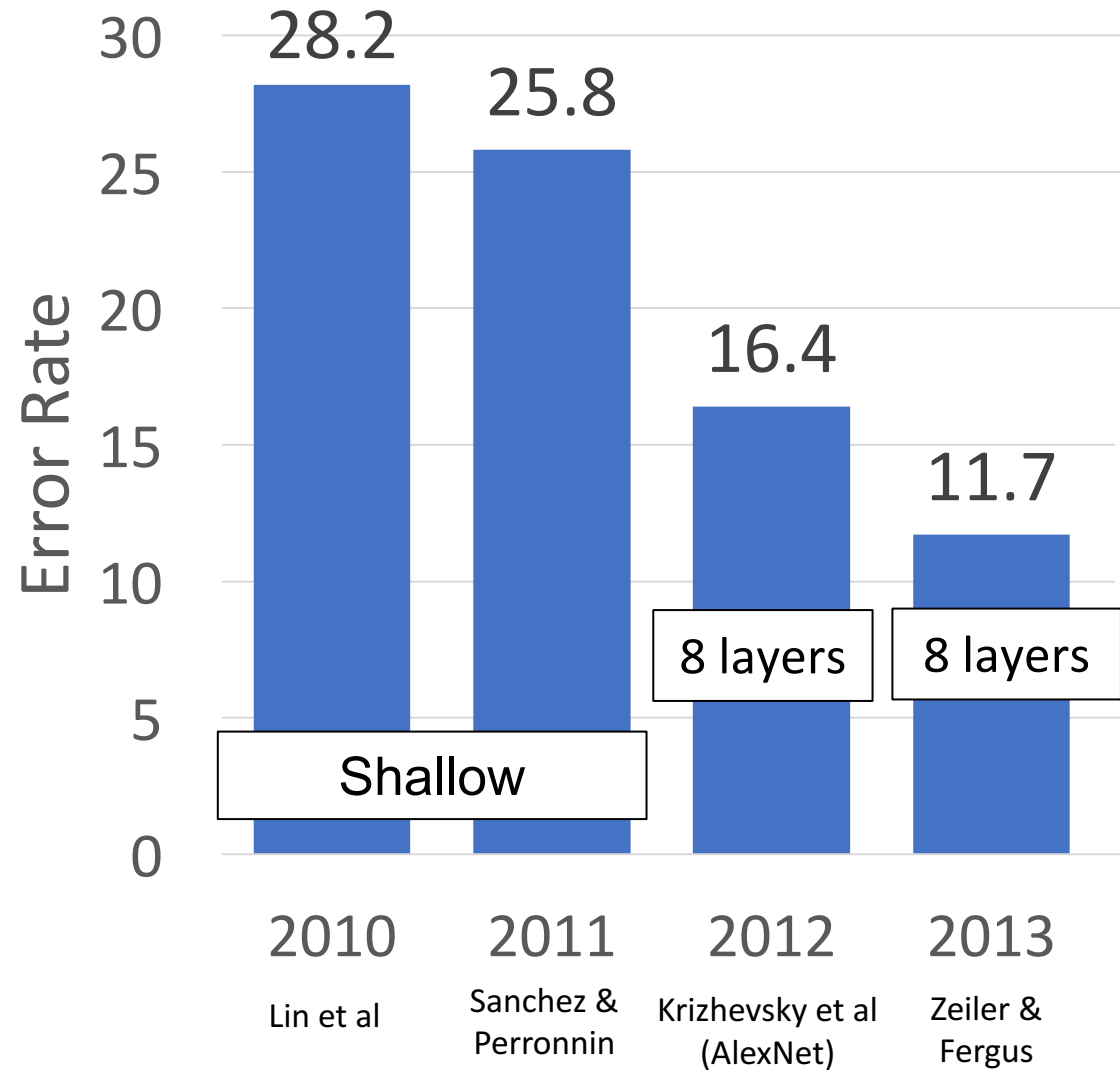


# ImageNet Classification Challenge



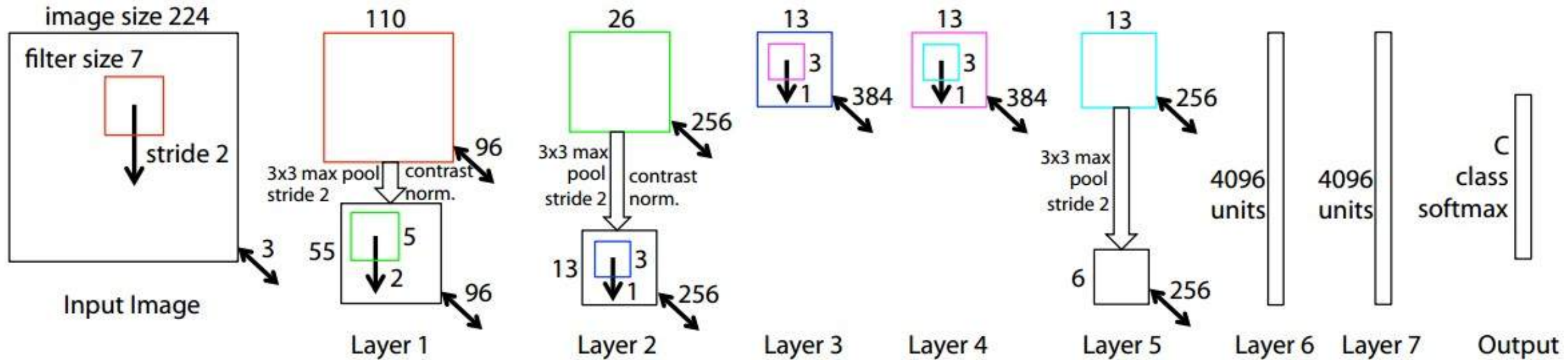


# ImageNet Classification Challenge



# ZFNet: A Bigger AlexNet

ImageNet top 5 error: 16.4% -> 11.7%



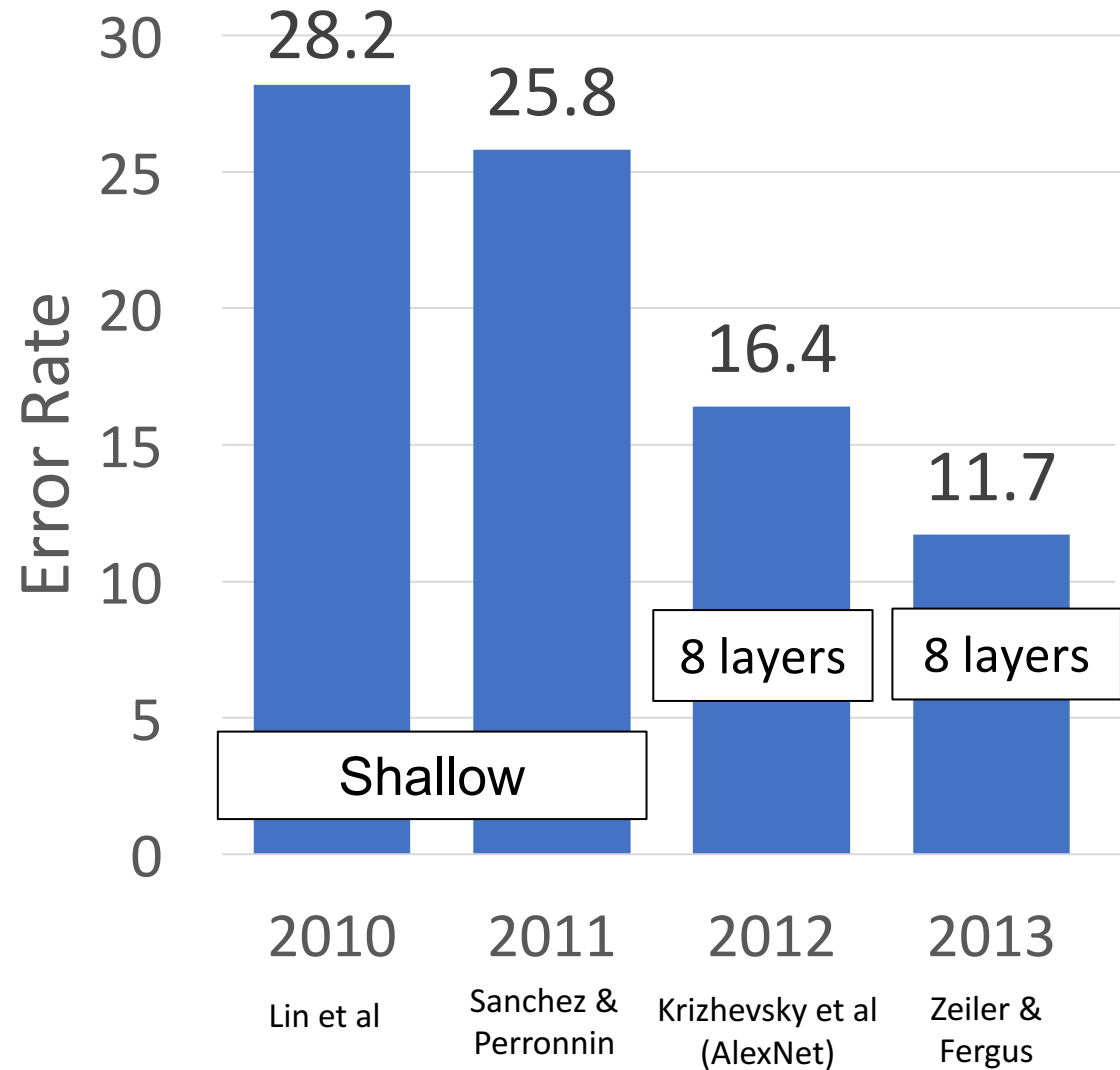
AlexNet but:

CONV1: change from (11x11 stride 4) to (7x7 stride 2)

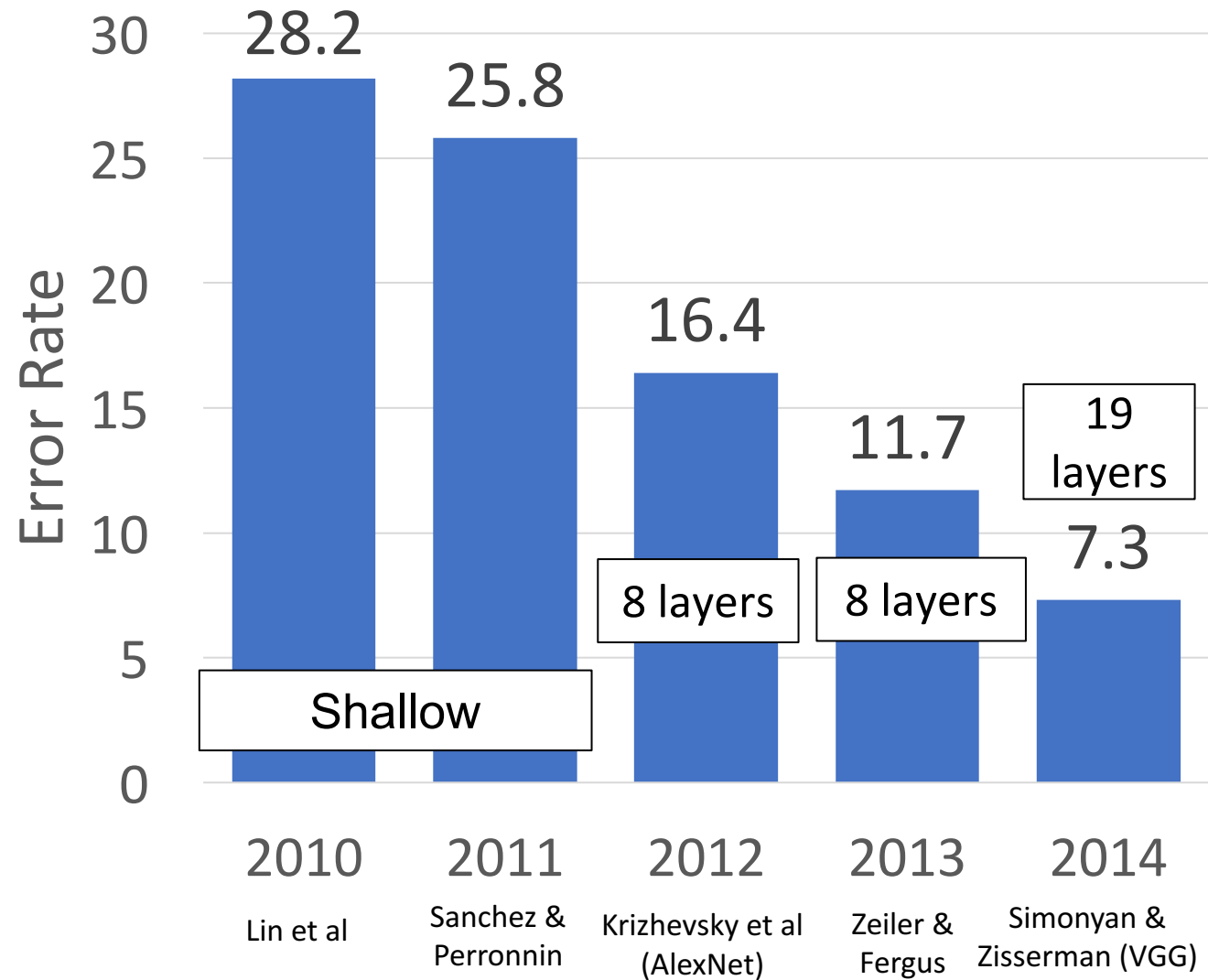
CONV3,4,5: instead of 384, 384, 256 filters use 512, 1024, 512

More trial and error =(

# ImageNet Classification Challenge



# ImageNet Classification Challenge



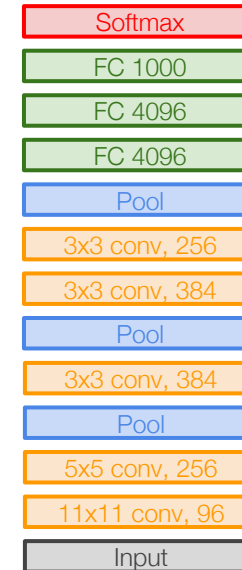
# VGG: Deeper Networks, Regular Design

## VGG Design rules:

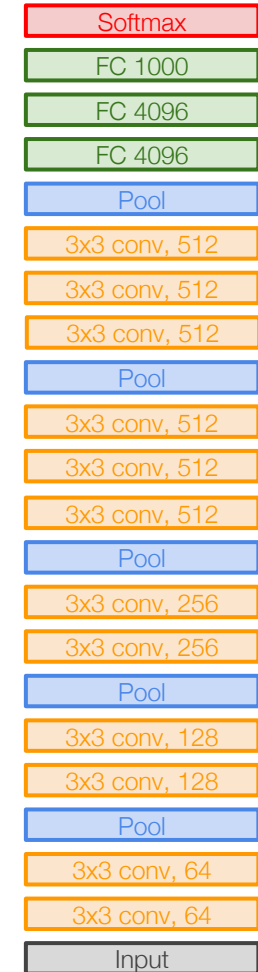
All conv are 3x3 stride 1 pad 1

All max pool are 2x2 stride 2

After pool, double #channels



AlexNet



VGG16



VGG19

Simonyan and Zissermann, "Very Deep Convolutional Networks for Large-Scale Image Recognition", ICLR 2015

# VGG: Deeper Networks, Regular Design

## VGG Design rules:

All conv are 3x3 stride 1 pad 1

All max pool are 2x2 stride 2

After pool, double #channels

Network has 5 convolutional **stages**:

Stage 1: conv-conv-pool

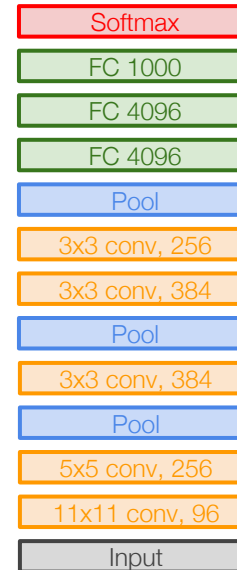
Stage 2: conv-conv-pool

Stage 3: conv-conv-pool

Stage 4: conv-conv-conv-[conv]-pool

Stage 5: conv-conv-conv-[conv]-pool

(VGG-19 has 4 conv in stages 4 and 5)



AlexNet



VGG16



VGG19

# VGG: Deeper Networks, Regular Design

## VGG Design rules:

**All conv are 3x3 stride 1 pad 1**

All max pool are 2x2 stride 2

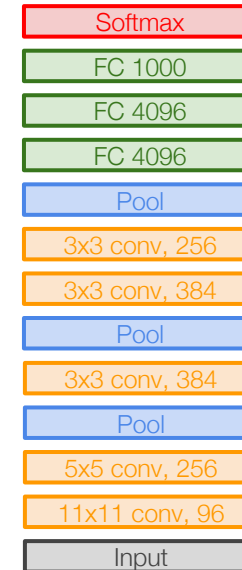
After pool, double #channels

## Option 1:

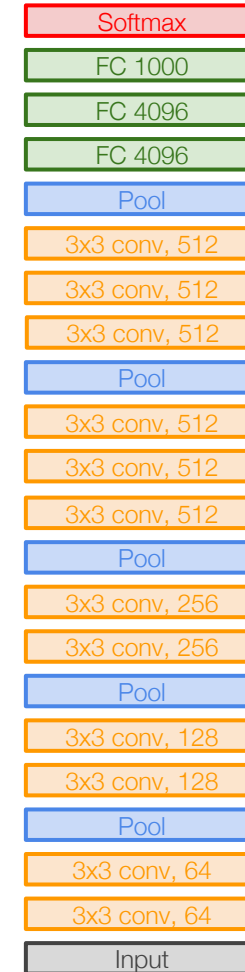
Conv(5x5, C → C)

Params:  $25C^2$

FLOPs:  $25C^2HW$



AlexNet



VGG16



VGG19

# VGG: Deeper Networks, Regular Design

## VGG Design rules:

**All conv are 3x3 stride 1 pad 1**

All max pool are 2x2 stride 2

After pool, double #channels

### Option 1:

Conv(5x5, C → C)

Params:  $25C^2$

FLOPs:  $25C^2HW$

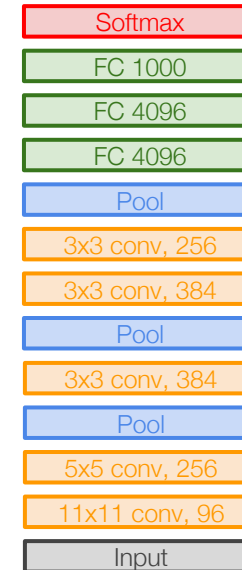
### Option 2:

Conv(3x3, C → C)

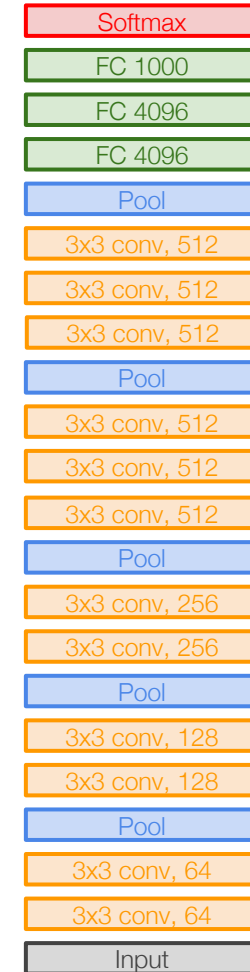
Conv(3x3, C → C)

Params:  $18C^2$

FLOPs:  $18C^2HW$



AlexNet



VGG16



VGG19



# VGG: Deeper Networks, Regular Design

## VGG Design rules:

**All conv are 3x3 stride 1 pad 1**

**All max pool are 2x2 stride 2**

**After pool, double #channels**

Two 3x3 conv has same receptive field as a single 5x5 conv, but has fewer parameters and takes less computation!

### Option 1:

Conv(5x5, C → C)

Params:  $25C^2$

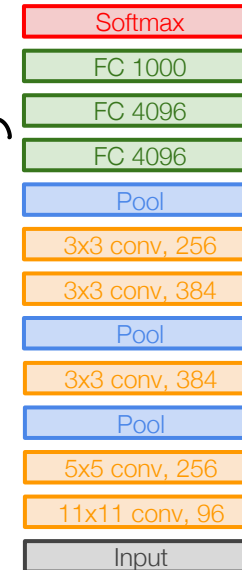
FLOPs:  $25C^2HW$

### Option 2:

Conv(3x3, C → C) *also more non-linearity*  
Conv(3x3, C → C) *ReLU*

Params:  $18C^2$

FLOPs:  $18C^2HW$



AlexNet



VGG16



VGG19

# VGG: Deeper Networks, Regular Design

## VGG Design rules:

All conv are 3x3 stride 1 pad 1

**All max pool are 2x2 stride 2**

**After pool, double #channels**

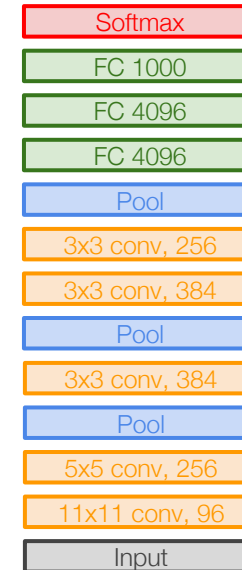
Input:  $C \times 2H \times 2W$

Layer: Conv(3x3,  $C \rightarrow C$ )

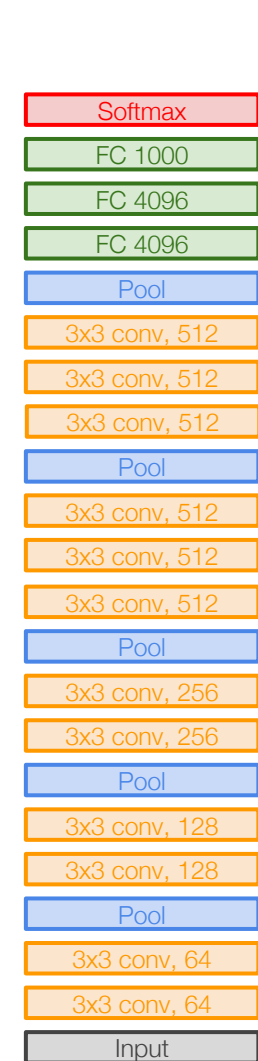
Memory:  $4HWC$

Params:  $9C^2$

FLOPs:  $4HWC^2$



AlexNet



VGG16



VGG19

# VGG: Deeper Networks, Regular Design

## VGG Design rules:

All conv are 3x3 stride 1 pad 1

**All max pool are 2x2 stride 2**

**After pool, double #channels**

Input:  $C \times 2H \times 2W$

Layer: Conv(3x3,  $C \rightarrow C$ )

Memory:  $4HWC$

Params:  $9C^2$

FLOPs:  $4HWC^2$

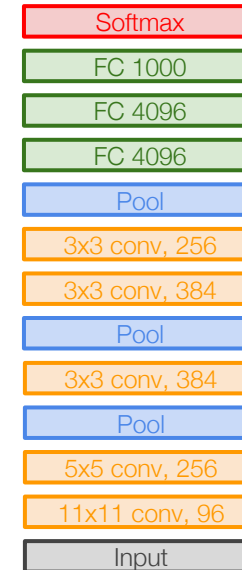
Input:  $2C \times H \times W$

Conv(3x3,  $2C \rightarrow 2C$ )

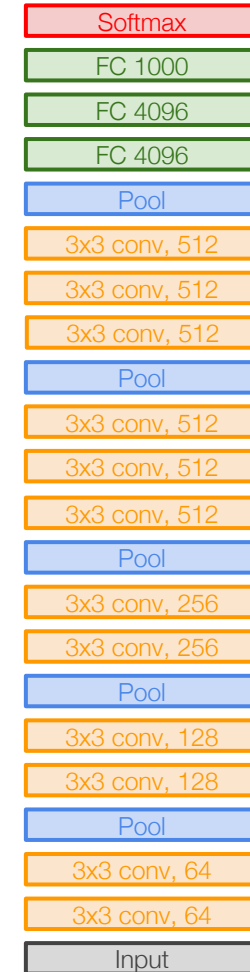
Memory:  $2HWC$

Params:  $36C^2$

FLOPs:  $4HWC^2$



AlexNet



VGG16



VGG19

# VGG: Deeper Networks, Regular Design

## VGG Design rules:

All conv are 3x3 stride 1 pad 1

**All max pool are 2x2 stride 2**

**After pool, double #channels**

Conv layers at each spatial resolution take the same amount of computation!

Input:  $C \times 2H \times 2W$

Layer: Conv(3x3,  $C \rightarrow C$ )

Memory:  $4HWC$

Params:  $9C^2$

FLOPs:  $4HWC^2$

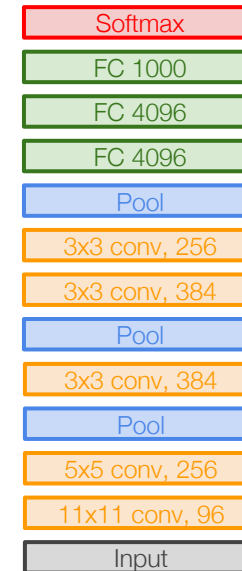
Input:  $2C \times H \times W$

Conv(3x3,  $2C \rightarrow 2C$ )

Memory:  $2HWC$

Params:  $36C^2$

FLOPs:  $4HWC^2$



AlexNet



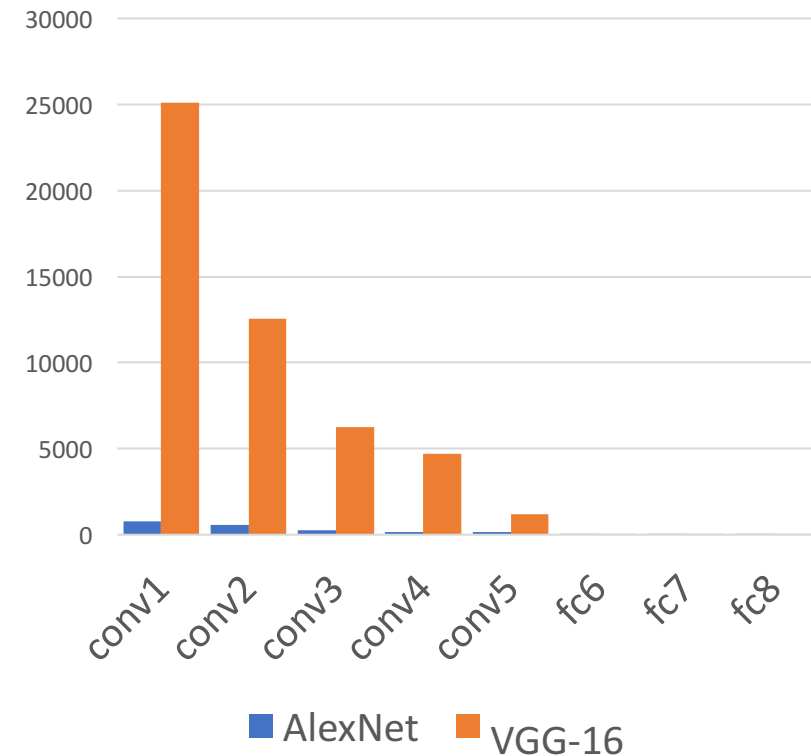
VGG16



VGG19

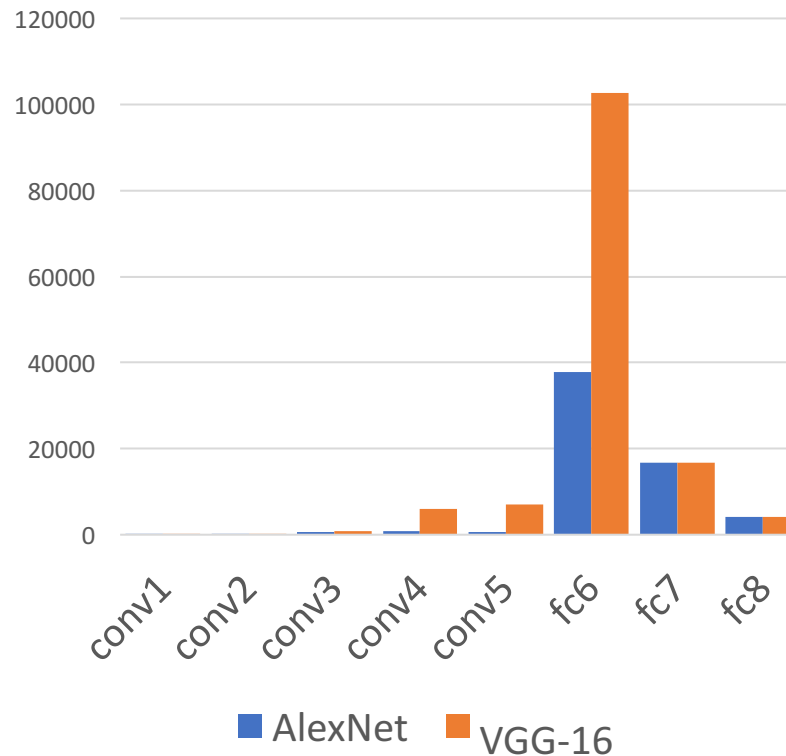
# AlexNet vs VGG-16: Much bigger network!

AlexNet vs VGG-16  
(Memory, KB)



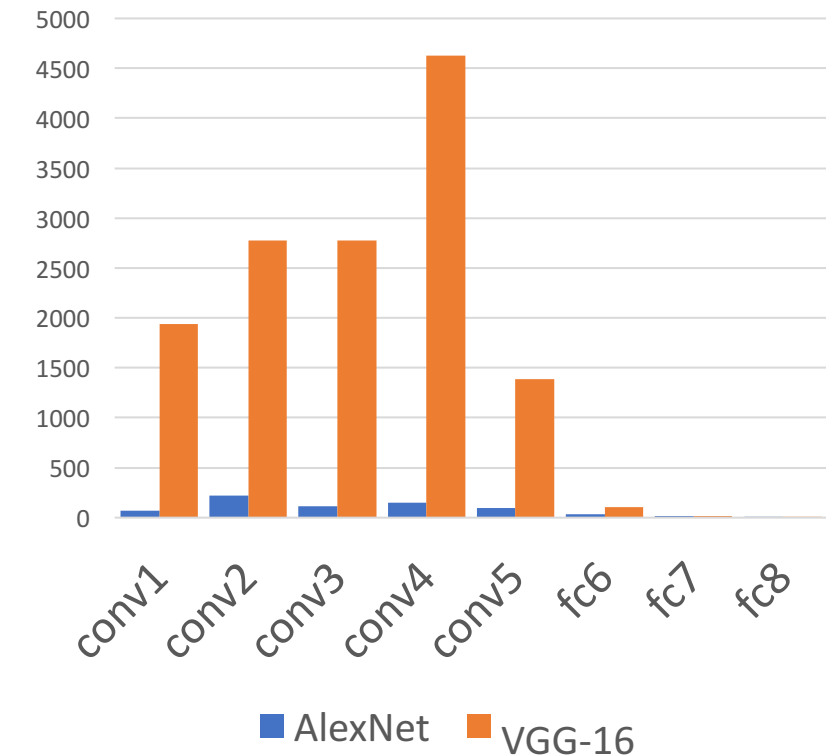
AlexNet total: 1.9 MB  
VGG-16 total: 48.6 MB (25x)

AlexNet vs VGG-16  
(Params, M)



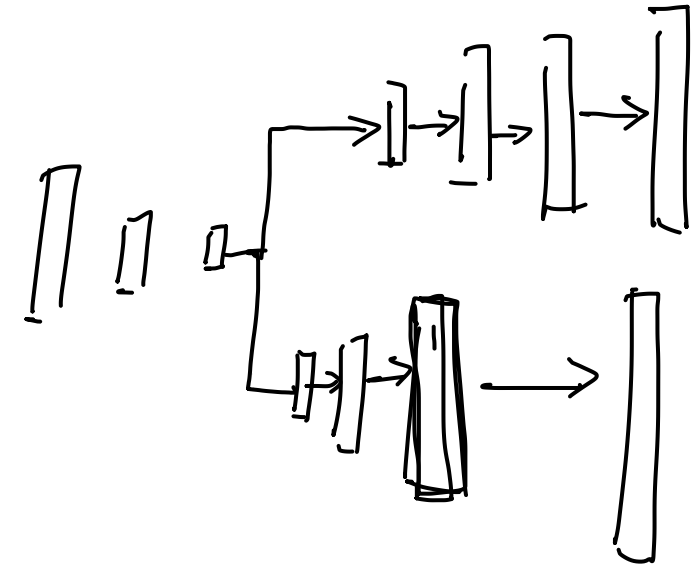
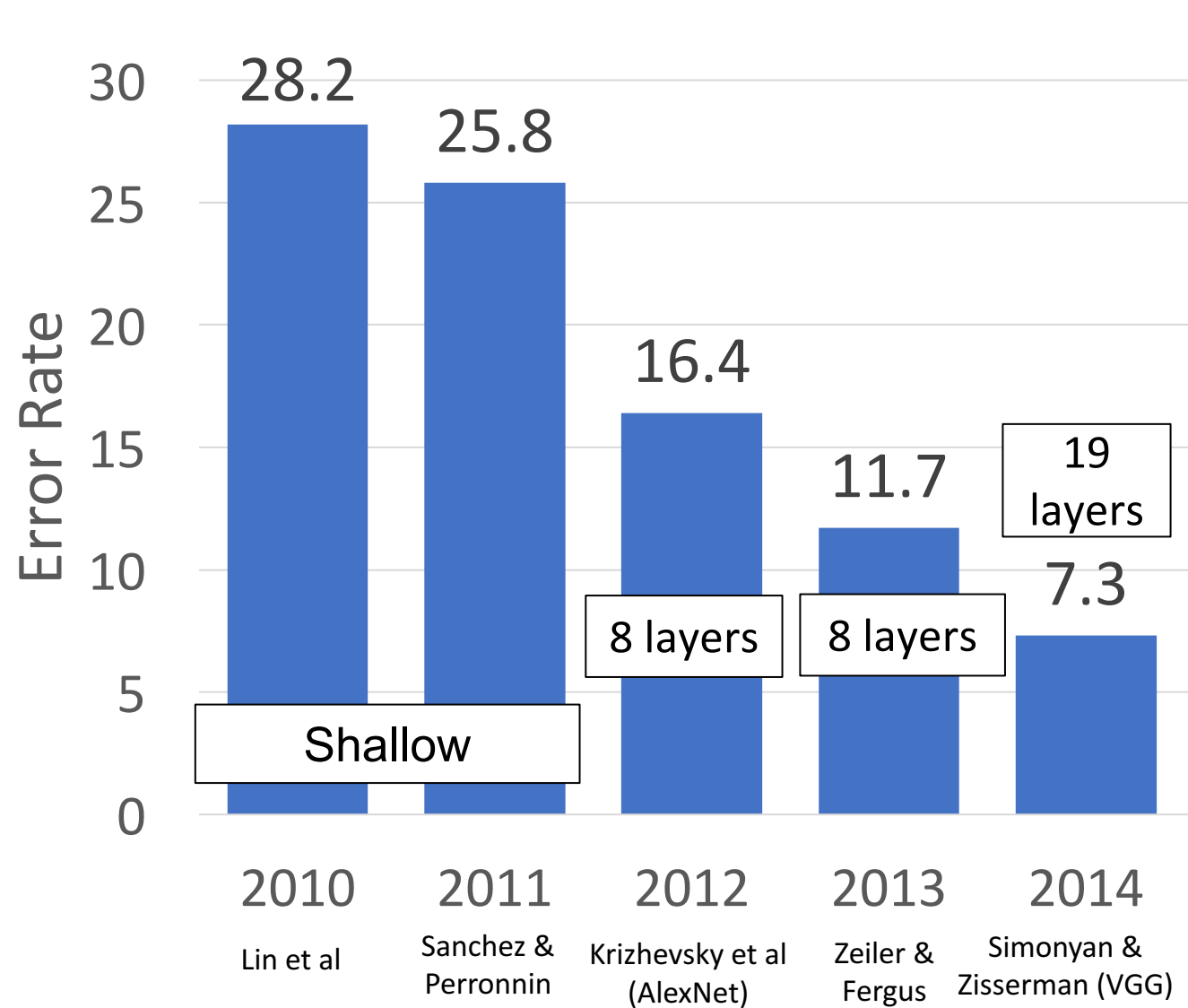
AlexNet total: 61M  
VGG-16 total: 138M (2.3x)

AlexNet vs VGG-16  
(MFLOPs)

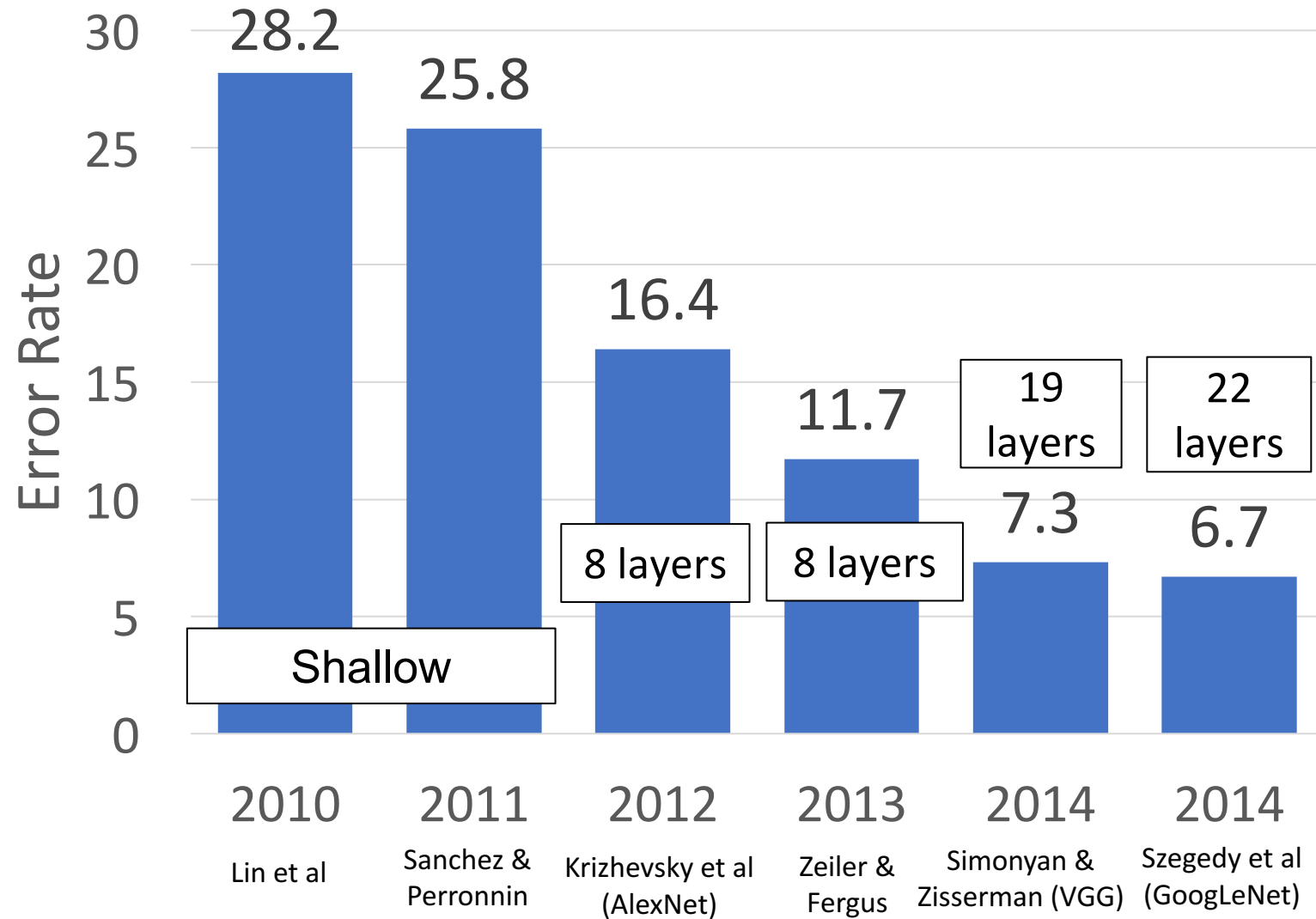


AlexNet total: 0.7 GFLOP  
VGG-16 total: 13.6 GFLOP (19.4x)

# ImageNet Classification Challenge

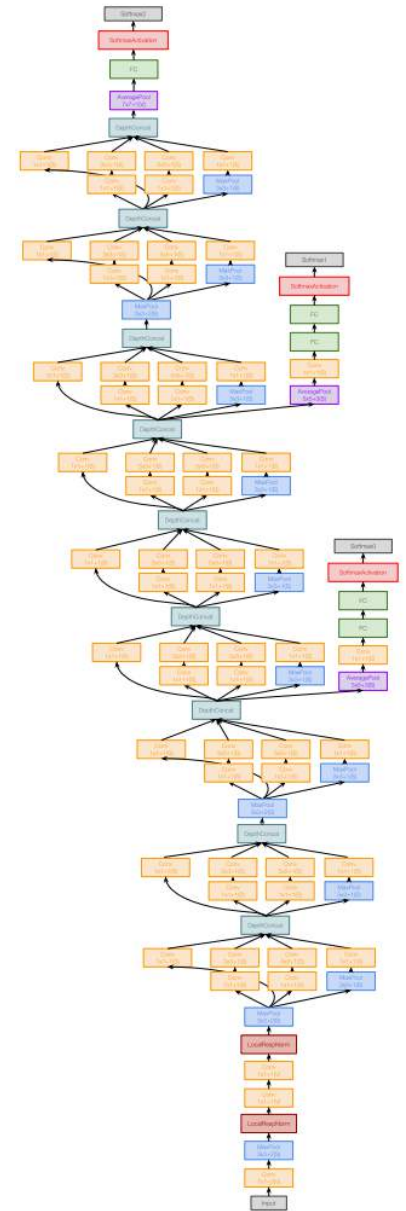


# ImageNet Classification Challenge



# GoogLeNet: Focus on Efficiency

Many innovations for efficiency: reduce parameter count, memory usage, and computation



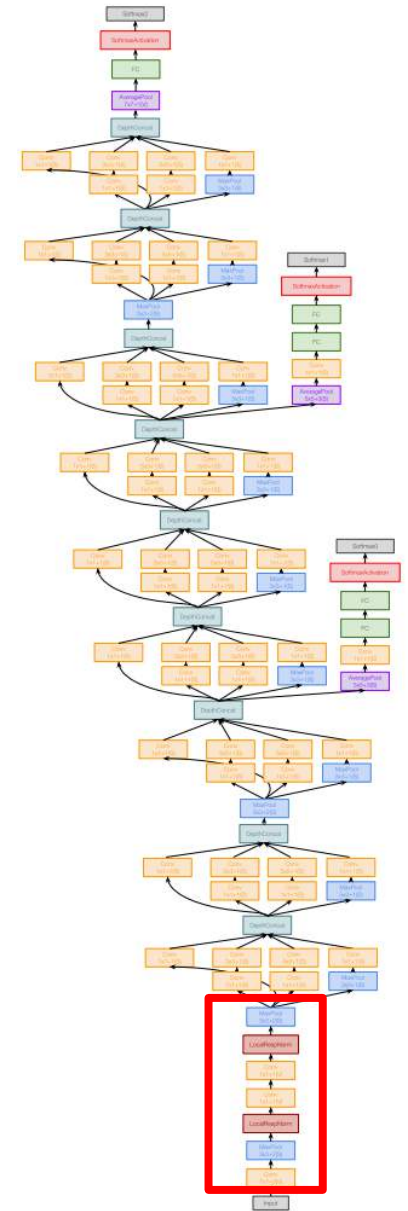
Szegedy et al, "Going deeper with convolutions", CVPR 2015



# GoogLeNet: Aggressive Stem

**Stem network** at the start aggressively downsamples input  
(Recall in VGG-16: Most of the compute was at the start)

very quickly



Szegedy et al, "Going deeper with convolutions", CVPR 2015

# GoogLeNet: Aggressive Stem

**Stem network** at the start aggressively downsamples input  
(Recall in VGG-16: Most of the compute was at the start)

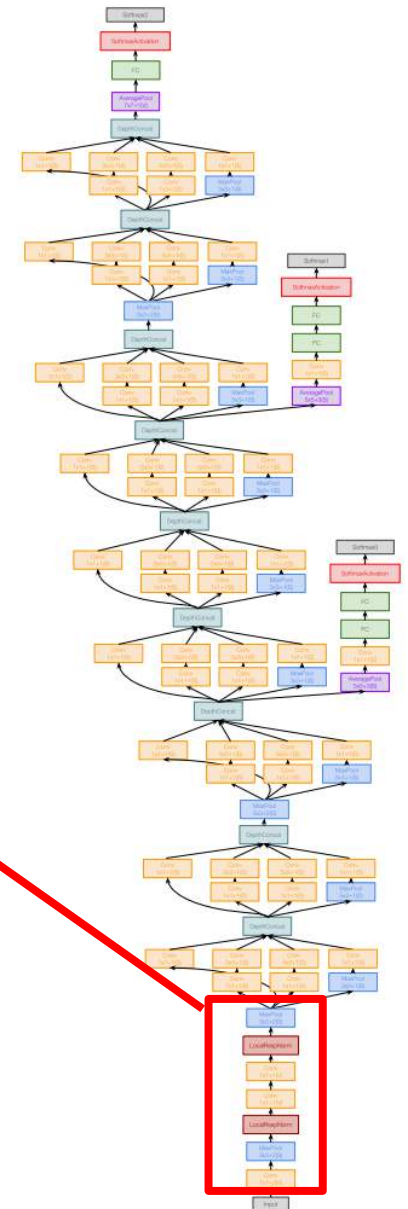
	Input size		Layer				Output size				
Layer	C	H / W	filters	kernel	stride	pad	C	H/W	memory (KB)	params (K)	flop (M)
conv	3	224	64	7	2	3	64	112	3136	9	118
max-pool	64	112		3	2	1	64	56	784	0	2
conv	64	56	64	1	1	0	64	56	784	4	13
conv	64	56	192	3	1	1	192	56	2352	111	347
max-pool	192	56		3	2	1	192	28	588	0	1

Total from 224 to 28 spatial resolution:

Memory: 7.5 MB

Params: 124K

MFLOP: 418



Szegedy et al, "Going deeper with convolutions", CVPR 2015

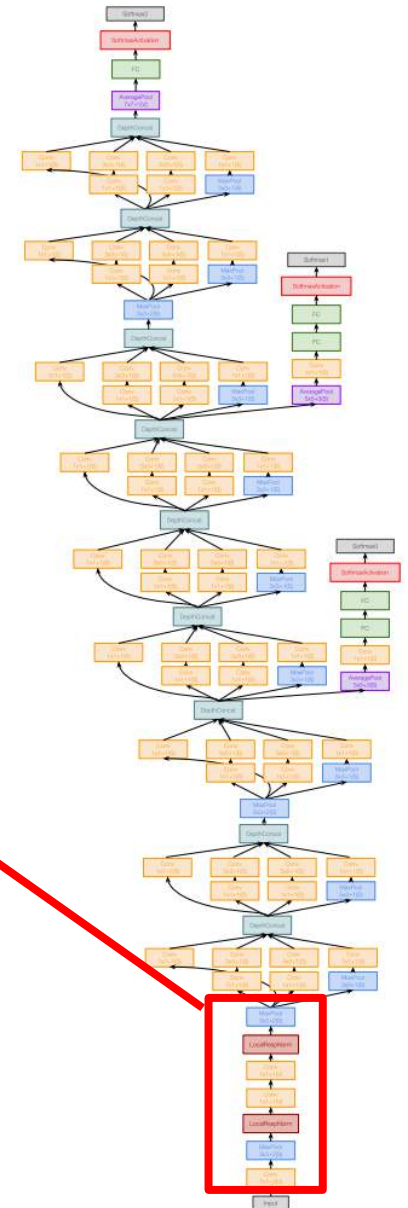
# GoogLeNet: Aggressive Stem

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	Input size		Layer				Output size				
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conv	3	224	64	7	2	3	64	112	3136	9	118
max-pool	64	112		3	2	1	64	56	784	0	2
conv	64	56	64	1	1	0	64	56	784	4	13
conv	64	56	192	3	1	1	192	56	2352	111	347
max-pool	192	56		3	2	1	192	28	588	0	1

Total from 224 to 28 spatial resolution:  
Memory: 7.5 MB  
Params: 124K  
MFLOP: 418

Compare VGG-16:  
Memory: 42.9 MB (5.7x)  
Params: 1.1M (8.9x)  
MFLOP: 7485 (17.8x)

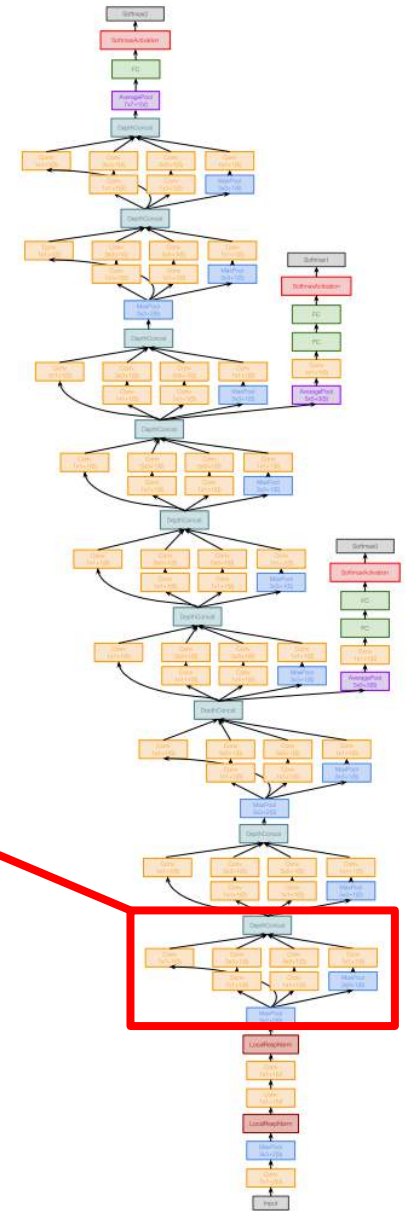
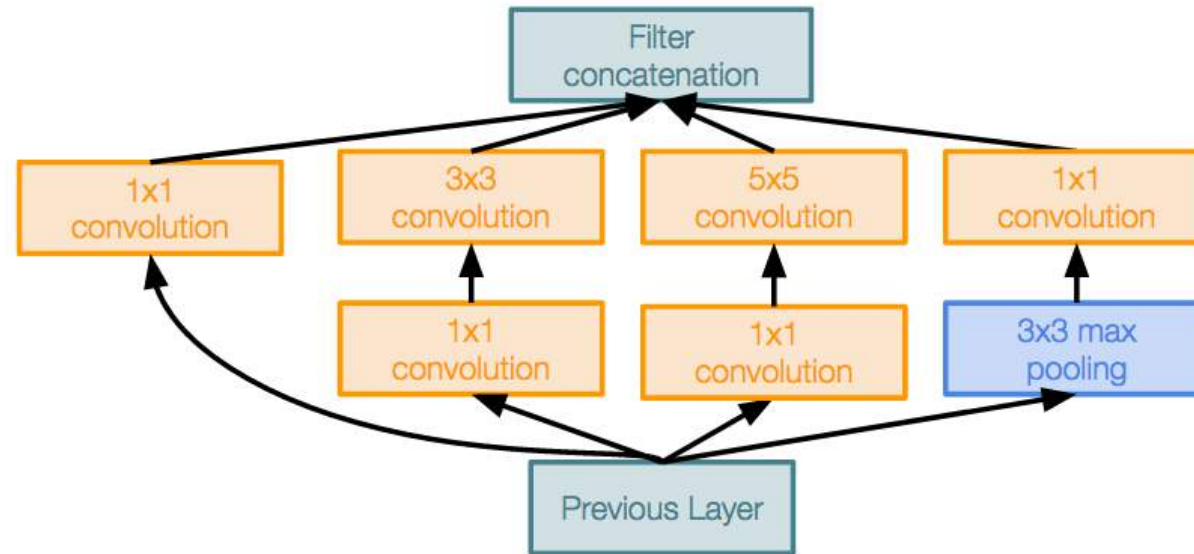


# GoogLeNet: Inception Module

## Inception module

Local unit with  
parallel branches

Local structure repeated  
many times throughout the  
network



Szegedy et al, "Going deeper with convolutions", CVPR 2015

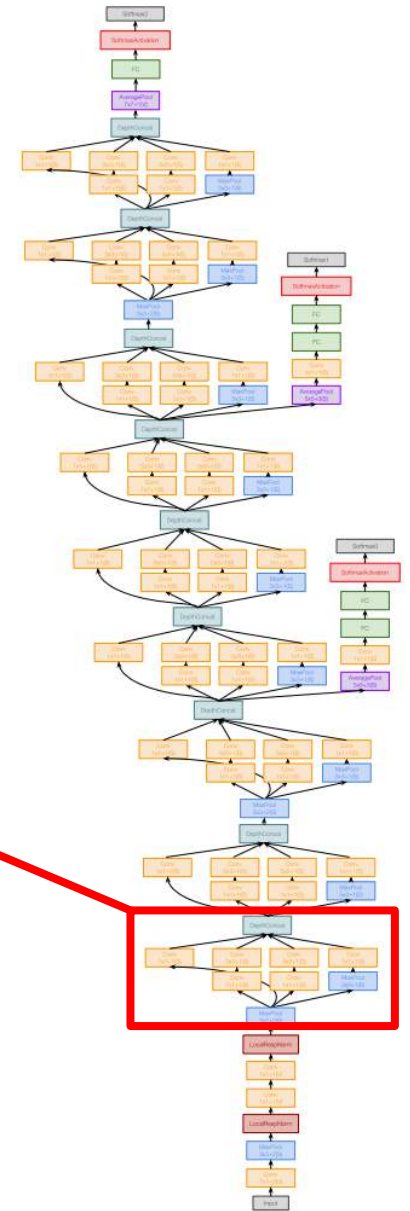
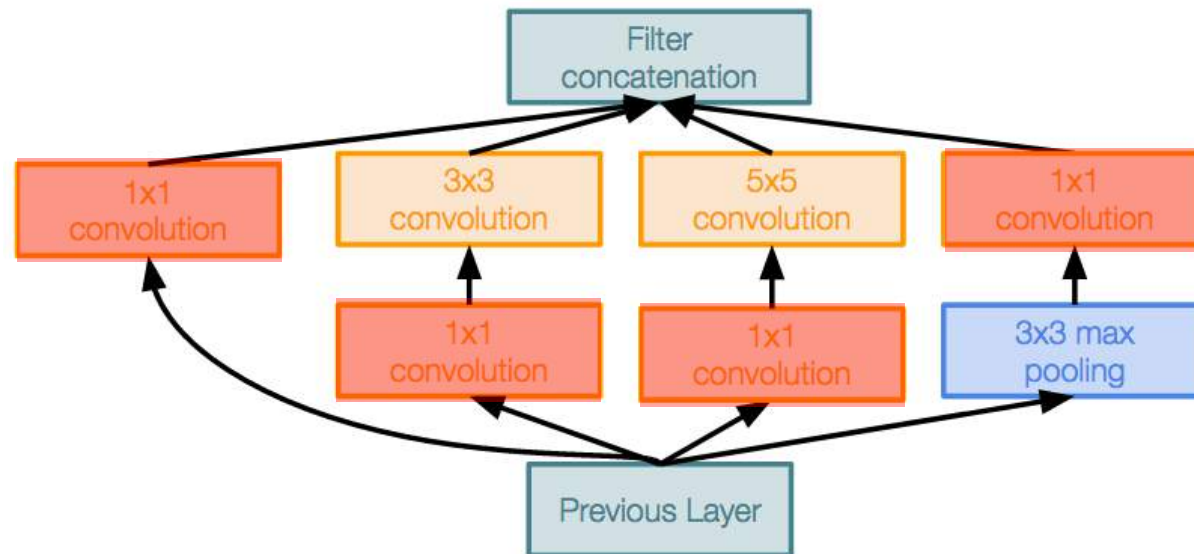
# GoogLeNet: Inception Module

## Inception module

Local unit with  
parallel branches

Local structure repeated  
many times throughout the  
network

Uses 1x1 “Bottleneck”  
layers to reduce channel  
dimension before  
expensive conv (we will  
revisit this with ResNet!)



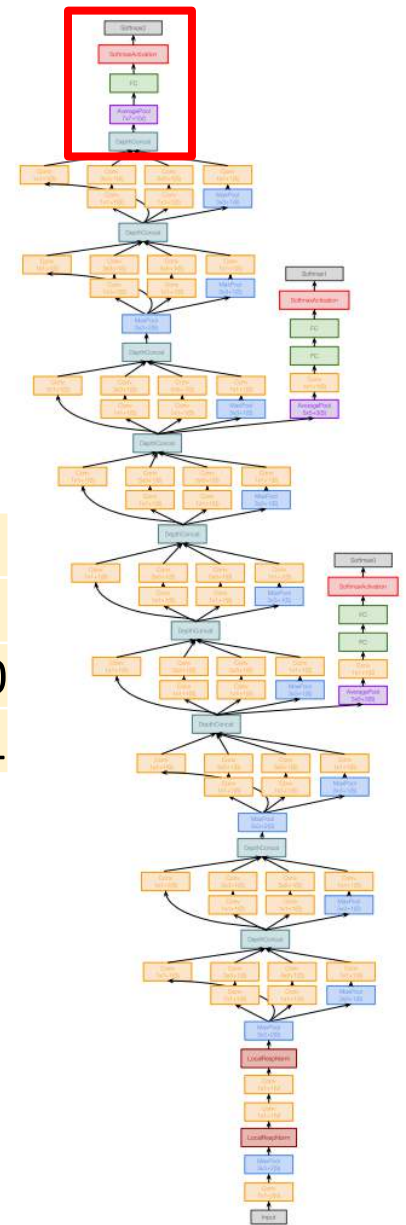
Szegedy et al, “Going deeper with convolutions”, CVPR 2015



# GoogLeNet: Global Average Pooling

No large FC layers at the end! Instead uses **global average pooling** to collapse spatial dimensions, and one linear layer to produce class scores (Recall VGG-16: Most parameters were in the FC layers!)

Layer	Input size		Layer				Output size		memory (KB)	params (k)	flop (M)
	C	H/W	filters	kernel	stride	pad	C	H/W			
avg-pool	1024	7		7	1	0	1024	1	4	0	0
fc	1024		1000				1000		0	1025	1



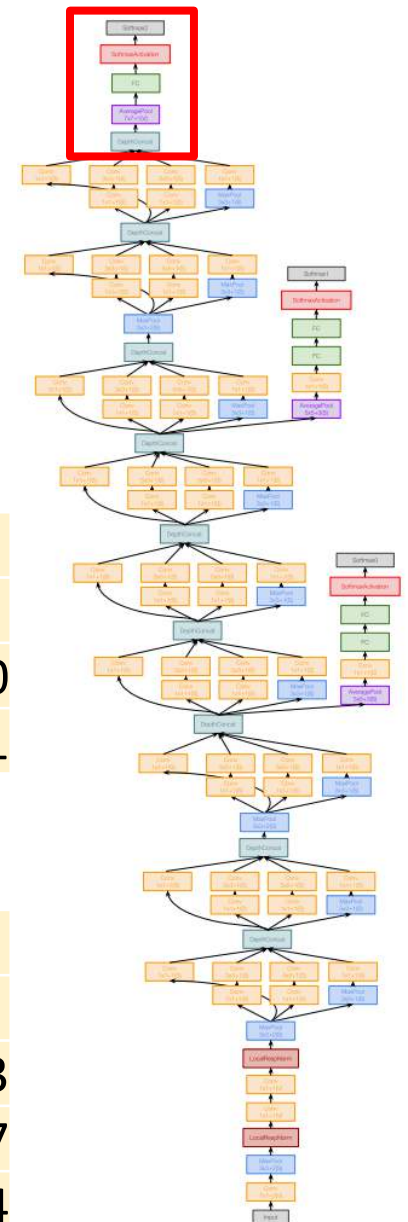
# GoogLeNet: Global Average Pooling

No large FC layers at the end! Instead uses “global average pooling” to collapse spatial dimensions, and one linear layer to produce class scores (Recall VGG-16: Most parameters were in the FC layers!)

	Input size		Layer				Output size				
Layer	C	H/W	filters	kernel	stride	pad	C	H/W	memory (KB)	params (k)	flop (M)
avg-pool	1024	7		7	1	0	1024	1	4	0	0
fc	1024		1000				1000		0	1025	1

Compare with VGG-16:

Layer	C	H/W	filters	kernel	stride	pad	C	H/W	memory (KB)	params (K)	flop (M)
flatten	512	7					25088		98		
fc6	25088			4096			4096		16	102760	103
fc7	4096			4096			4096		16	16777	17
fc8	4096			1000			1000		4	4096	4

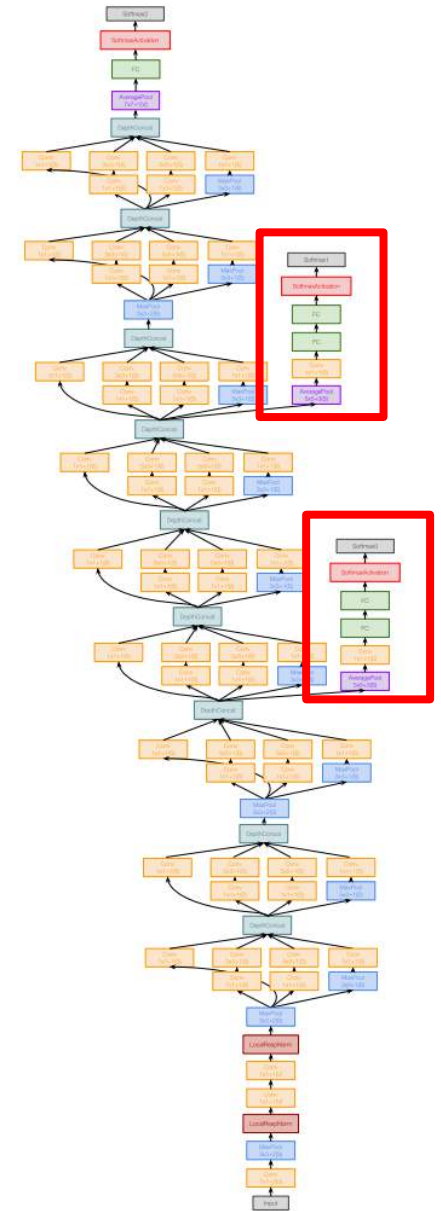


# GoogLeNet: Auxiliary Classifiers

Training using loss at the end of the network didn't work well:  
Network is too deep, gradients don't propagate cleanly

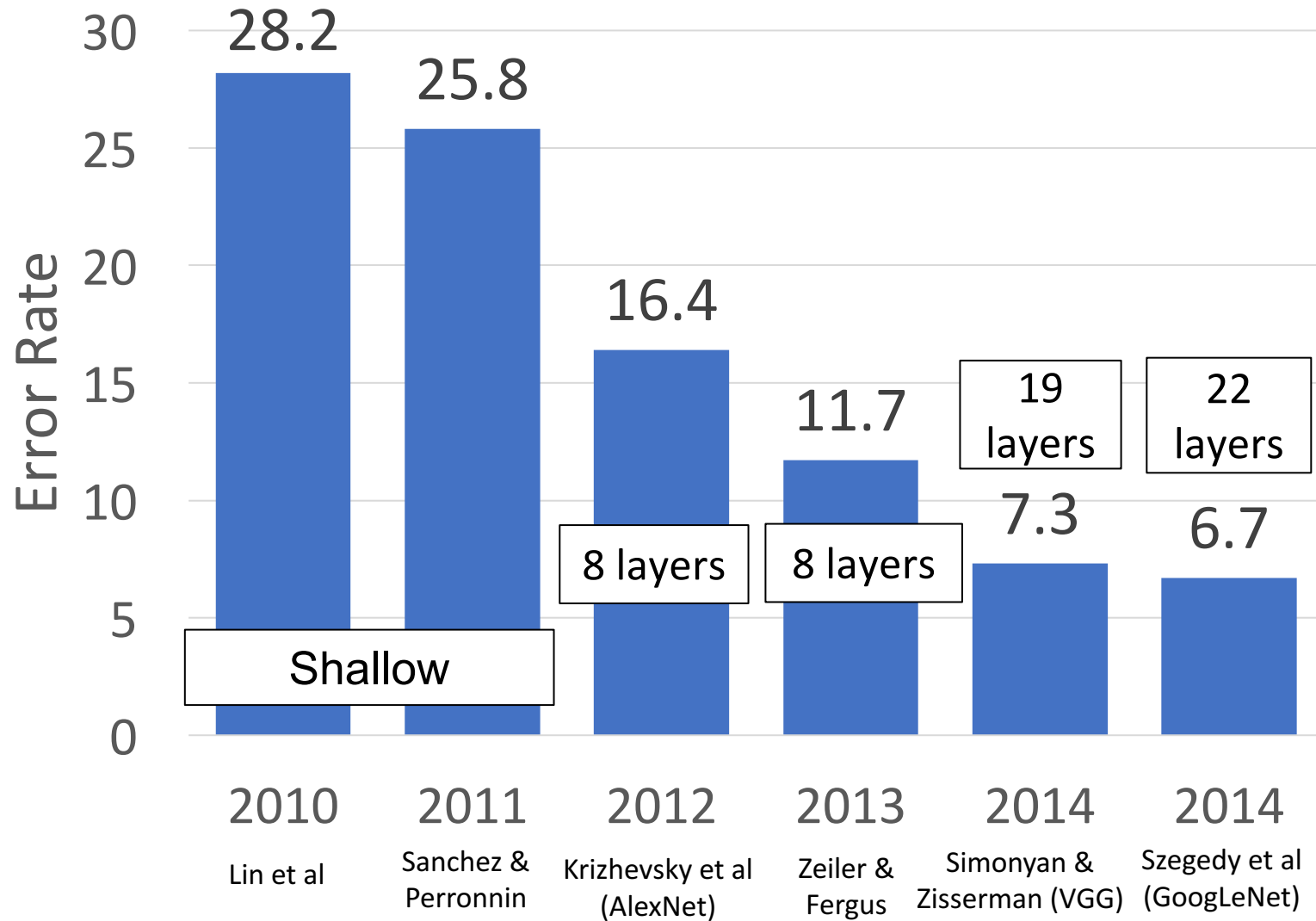
As a hack, attach “auxiliary classifiers” at several intermediate points in the network that also try to classify the image and receive loss

GoogLeNet was before batch normalization! With BatchNorm no longer need to use this trick

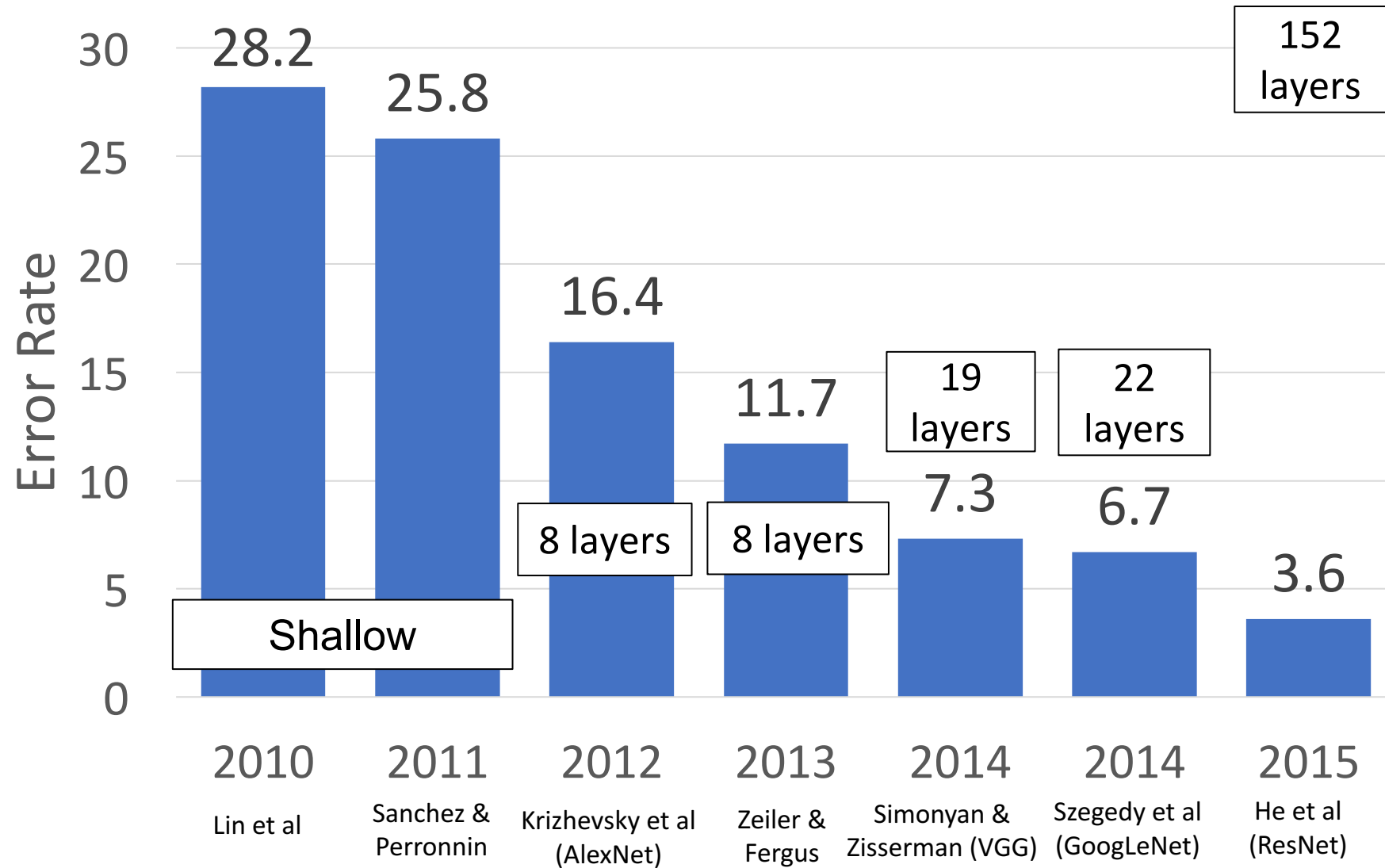




# ImageNet Classification Challenge



# ImageNet Classification Challenge



# Residual Networks

Once we have Batch Normalization, we can train networks with 10+ layers.

What happens as we go deeper?

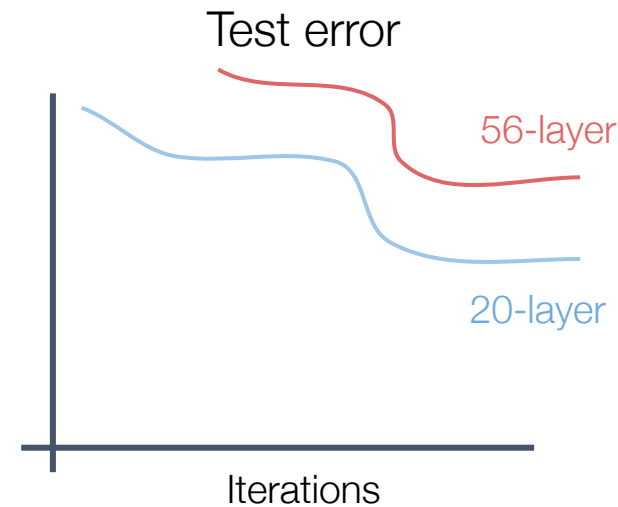
He et al, "Deep Residual Learning for Image Recognition", CVPR 2016

# Residual Networks

Once we have Batch Normalization, we can train networks with 10+ layers.  
What happens as we go deeper?

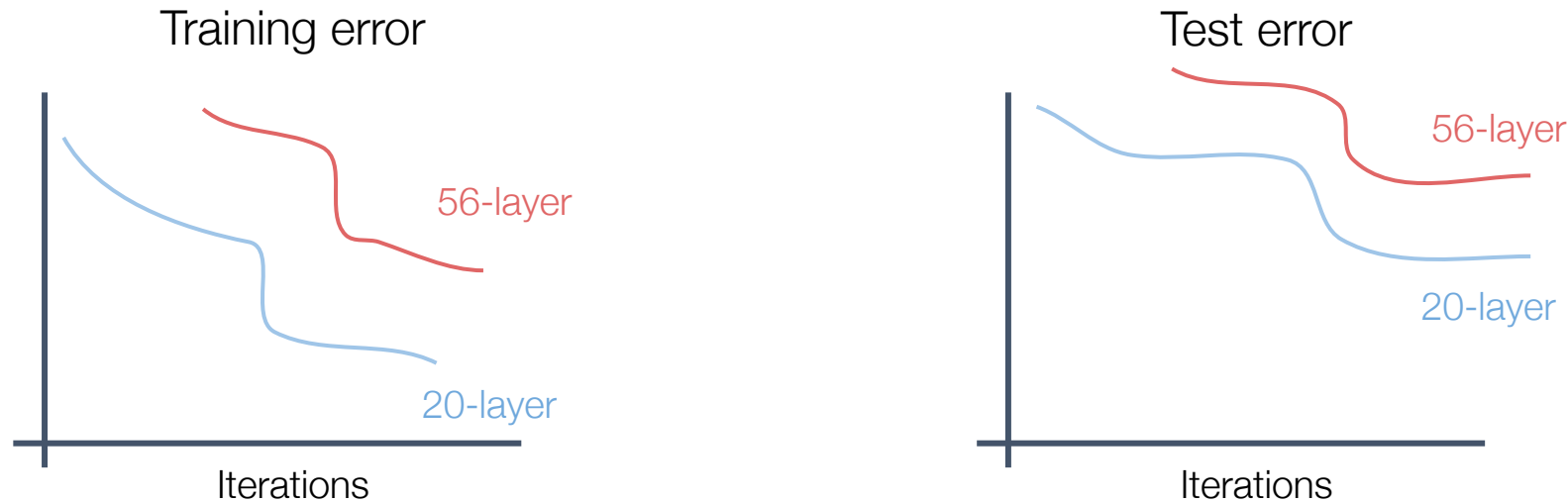
Deeper model does worse than shallow model!

Initial guess: Deep model is **overfitting** since it is much bigger than the other model



# Residual Networks

Once we have Batch Normalization, we can train networks with 10+ layers. What happens as we go deeper?



In fact the deep model seems to be **underfitting** since it also performs worse than the shallow model on the training set! It is actually **underfitting**

# Residual Networks

A deeper model can emulate a shallower model: copy layers from shallower model, set extra layers to identity

Thus deeper models should do at least as good as shallow models

**Hypothesis:** This is an optimization problem. Deeper models are harder to optimize, and in particular don't learn identity functions to emulate shallow models

# Residual Networks

A deeper model can emulate a shallower model: copy layers from shallower model, set extra layers to identity

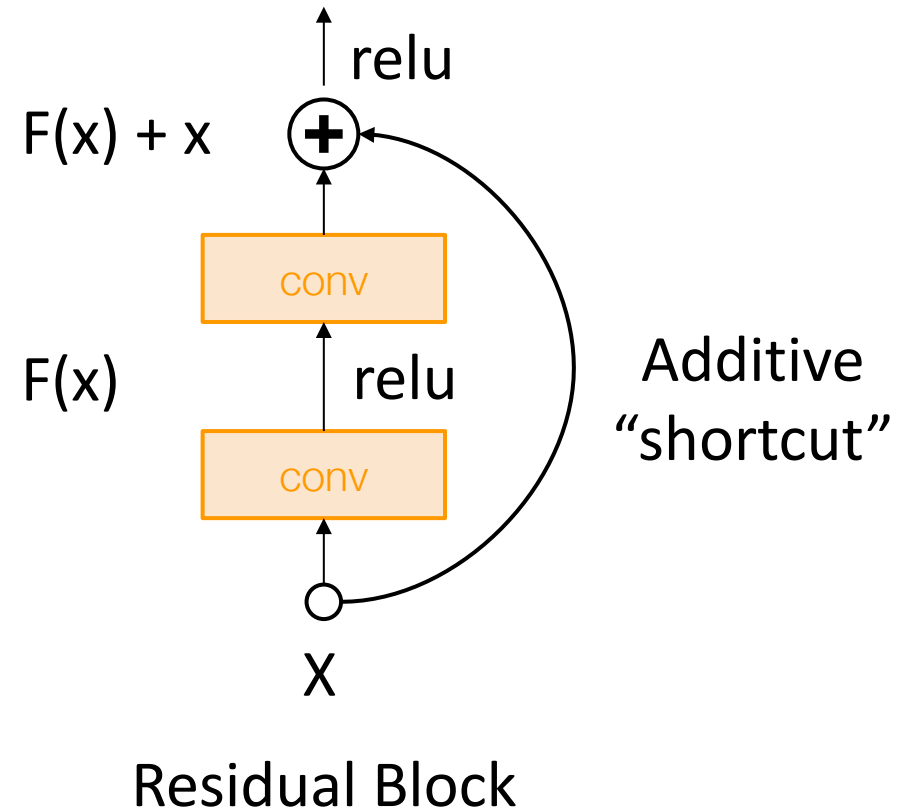
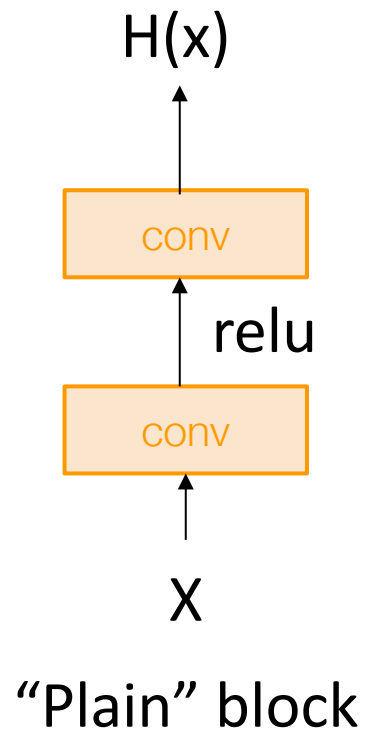
Thus deeper models should do at least as good as shallow models

**Hypothesis:** This is an optimization problem. Deeper models are harder to optimize, and in particular don't learn identity functions to emulate shallow models

**Solution:** Change the network so learning identity functions with extra layers is easy!

# Residual Networks

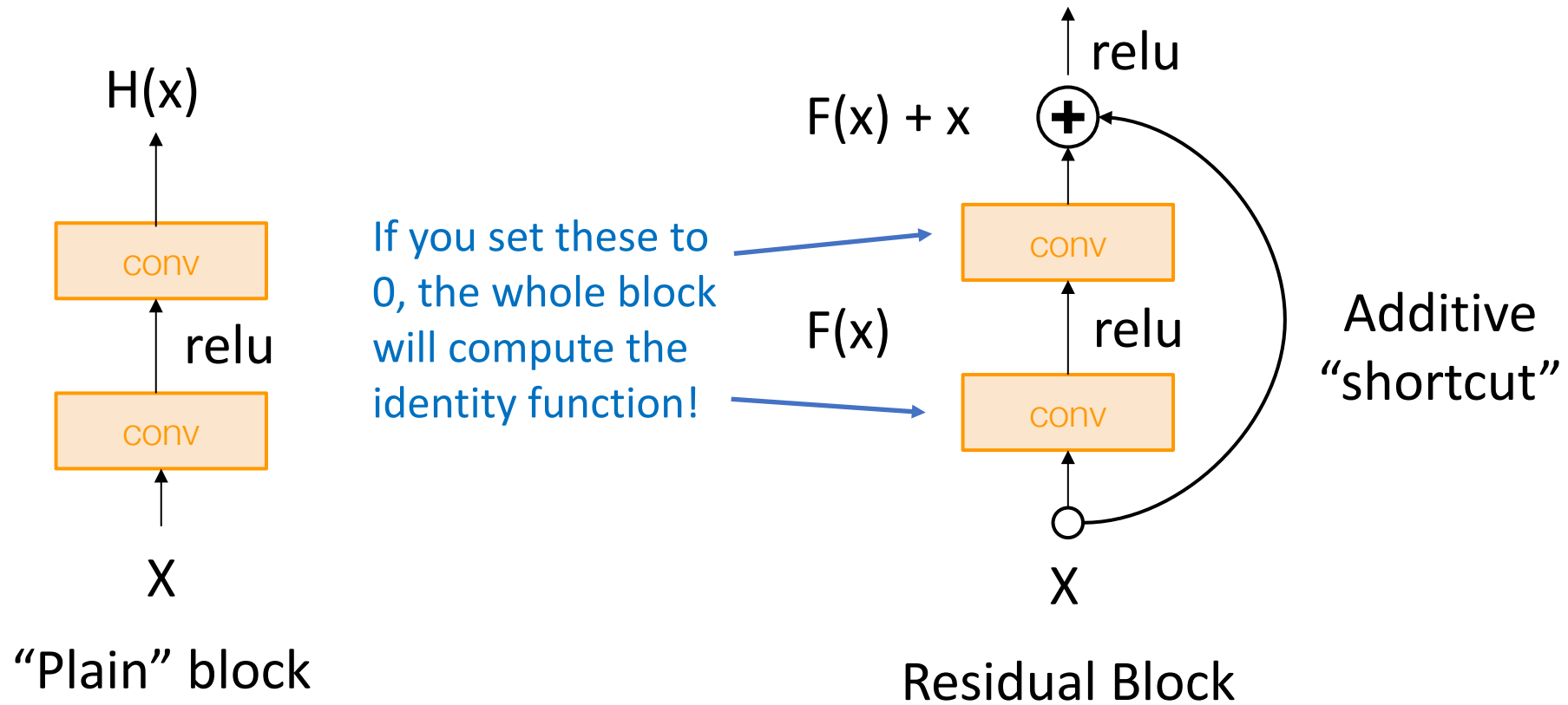
**Solution:** Change the network so learning identity functions with extra layers is easy!





# Residual Networks

**Solution:** Change the network so learning identity functions with extra layers is easy!



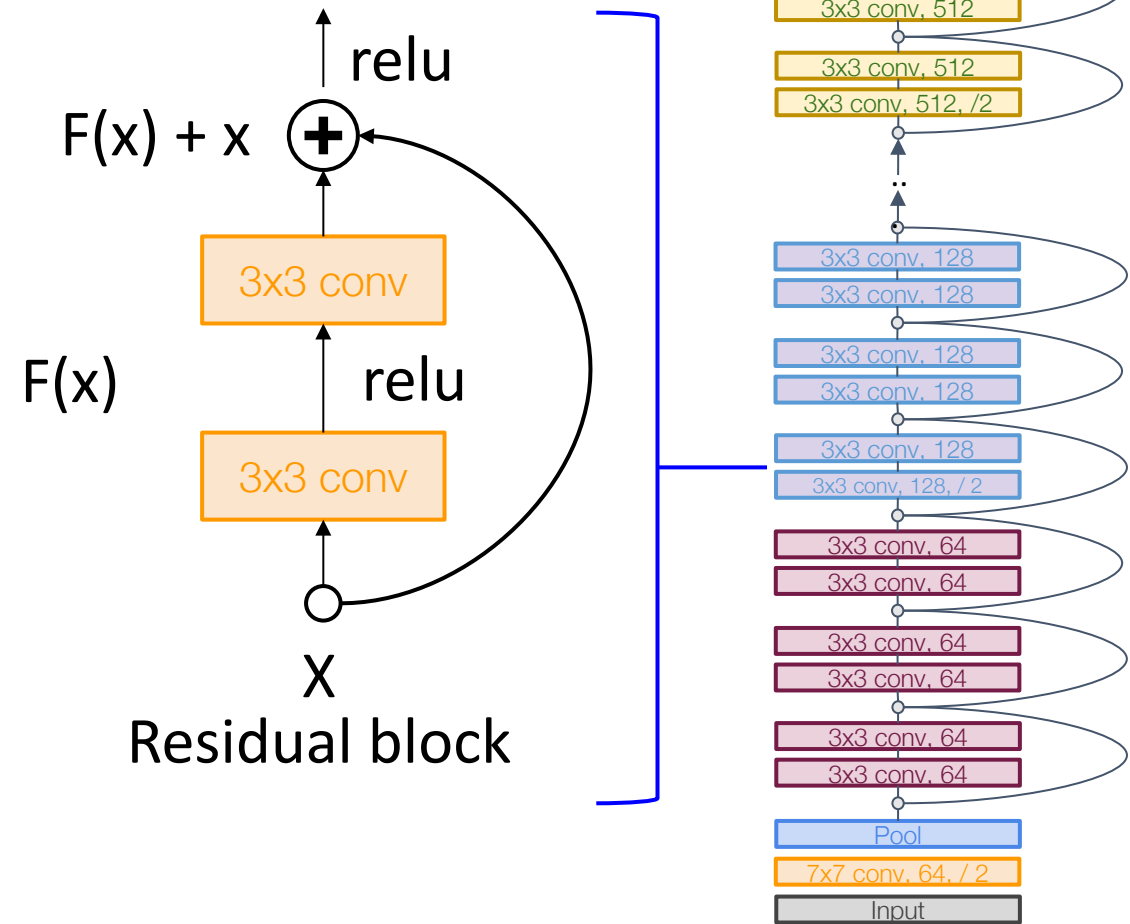
He et al, "Deep Residual Learning for Image Recognition", CVPR 2016

# Residual Networks

A residual network is a stack of many residual blocks

Regular design, like VGG: each residual block has two 3x3 conv

Network is divided into **stages**: the first block of each stage halves the resolution (with stride-2 conv) and doubles the number of channels

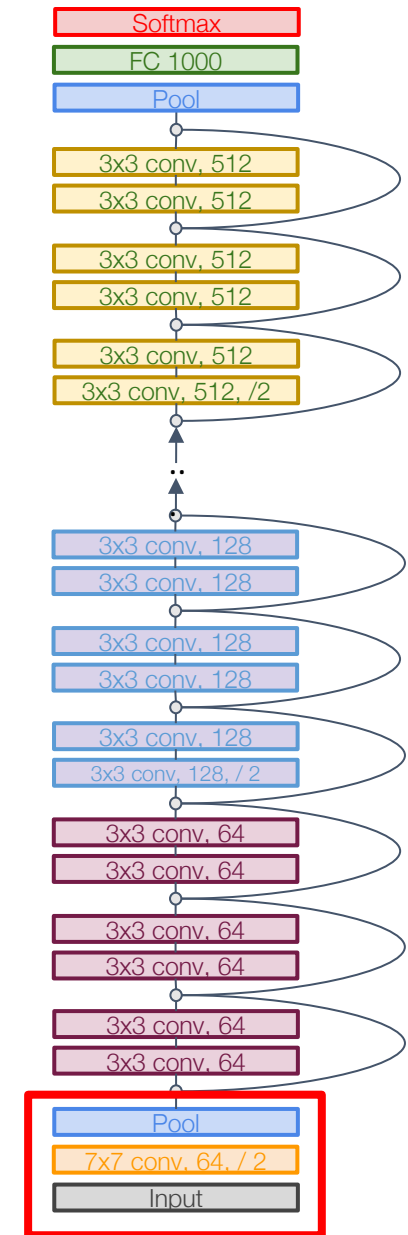


He et al, "Deep Residual Learning for Image Recognition", CVPR 2016

# Residual Networks

Uses the same aggressive **stem** as GoogleNet to downsample the input 4x before applying residual blocks:

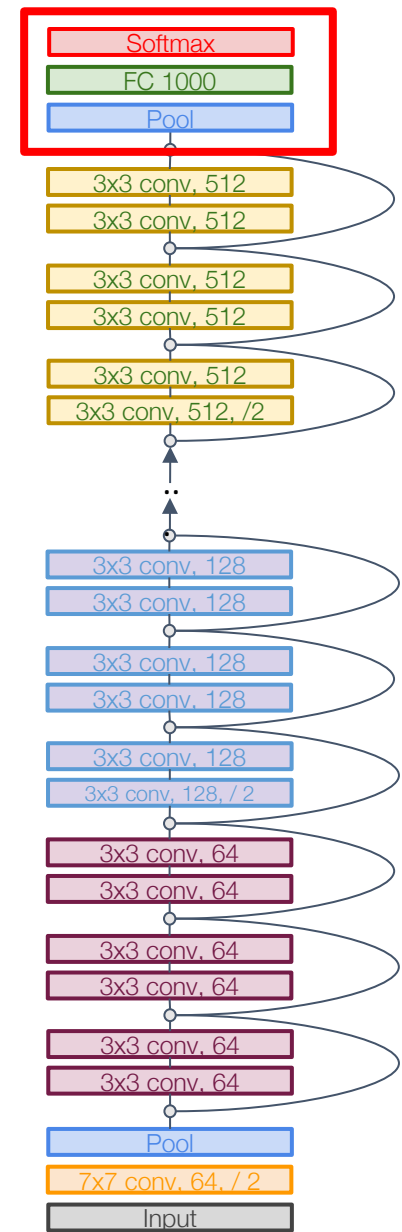
	Input size		Layer				Output size				
Layer	C	H/W	filters	kernel	stride	pad	C	H/W	memory (KB)	params (k)	flop (M)
conv	3	224	64	7	2	3	64	112	3136	9	118
max-pool	64	112		3	2	1	64	56	784	0	2



He et al, "Deep Residual Learning for Image Recognition", CVPR 2016

# Residual Networks

Like GoogLeNet, no big fully-connected-layers: instead use **global average pooling** and a single linear layer at the end



He et al, "Deep Residual Learning for Image Recognition", CVPR 2016

# Residual Networks

## ResNet-18:

Stem: 1 conv layer

Stage 1 (C=64): 2 res. block = 4 conv

Stage 2 (C=128): 2 res. block = 4 conv

### Stage 3 (C=256): 2 res. block = 4 conv

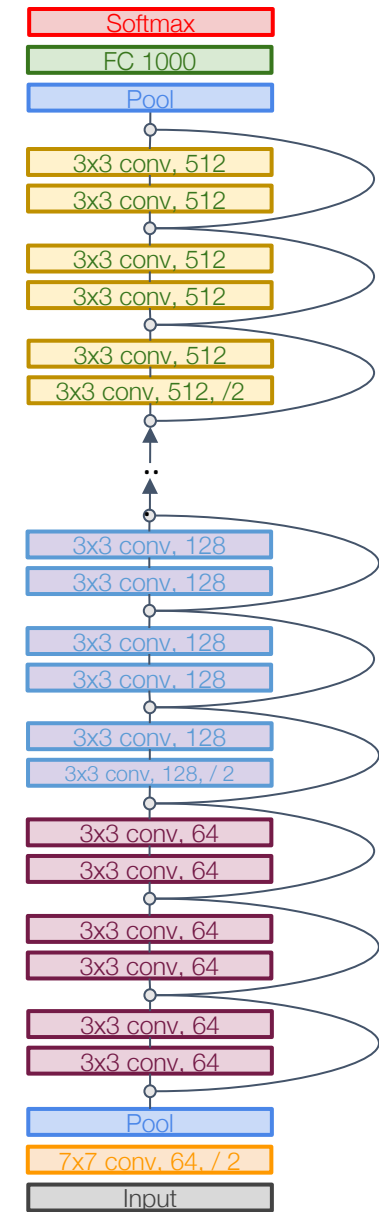
## Stage 4 (C=512): 2 res. block = 4 conv

# Linear

ImageNet top-5 error: 10.92

GFLOP: 1.8

He et al, "Deep Residual Learning for Image Recognition", CVPR 2016  
Error rates are 224x224 single-crop testing, reported by [torchvision](#)



# Residual Networks

## ResNet-18:

Stem: 1 conv layer

Stage 1 (C=64): 2 res. block = 4 conv

Stage 2 (C=128): 2 res. block = 4 conv

Stage 3 (C=256): 2 res. block = 4 conv

Stage 4 (C=512): 2 res. block = 4 conv

Linear

ImageNet top-5 error: 10.92

GFLOP: 1.8

## ResNet-34:

Stem: 1 conv layer

Stage 1: 3 res. block = 6 conv

Stage 2: 4 res. block = 8 conv

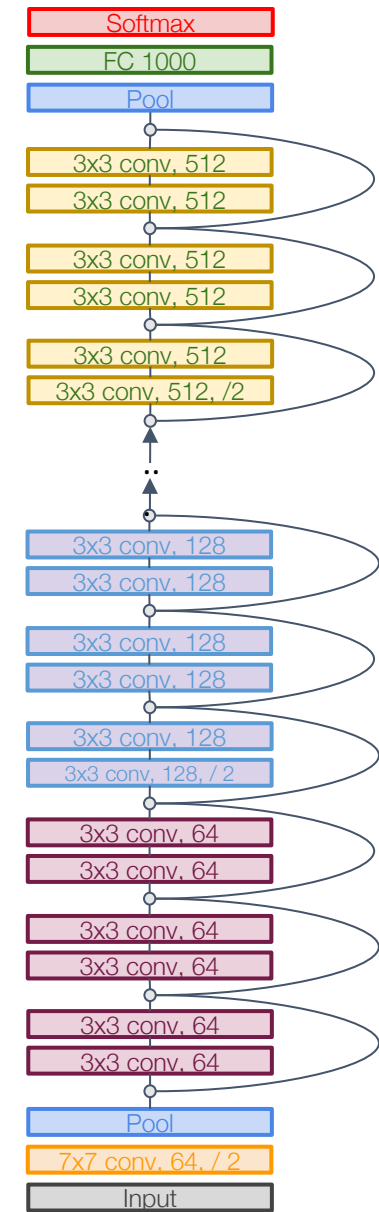
Stage 3: 6 res. block = 12 conv

Stage 4: 3 res. block = 6 conv

Linear

ImageNet top-5 error: 8.58

GFLOP: 3.6



He et al, "Deep Residual Learning for Image Recognition", CVPR 2016  
Error rates are 224x224 single-crop testing, reported by [torchvision](https://pytorch.org/torchvision/)

# Residual Networks

## ResNet-18:

Stem: 1 conv layer

Stage 1 (C=64): 2 res. block = 4 conv

Stage 2 (C=128): 2 res. block = 4 conv

### Stage 3 (C=256): 2 res. block = 4 conv

Stage 4 (C=512): 2 res. block = 4 conv

# Linear

ImageNet top-5 error: 10.92

GFLOP: 1.8

## ResNet-34:

Stem: 1 conv layer

Stage 1: 3 res. block = 6 conv

Stage 2: 4 res. block = 8 conv

Stage 3: 6 res. block = 12 conv

Stage 4: 3 res. block = 6 conv

# Linear

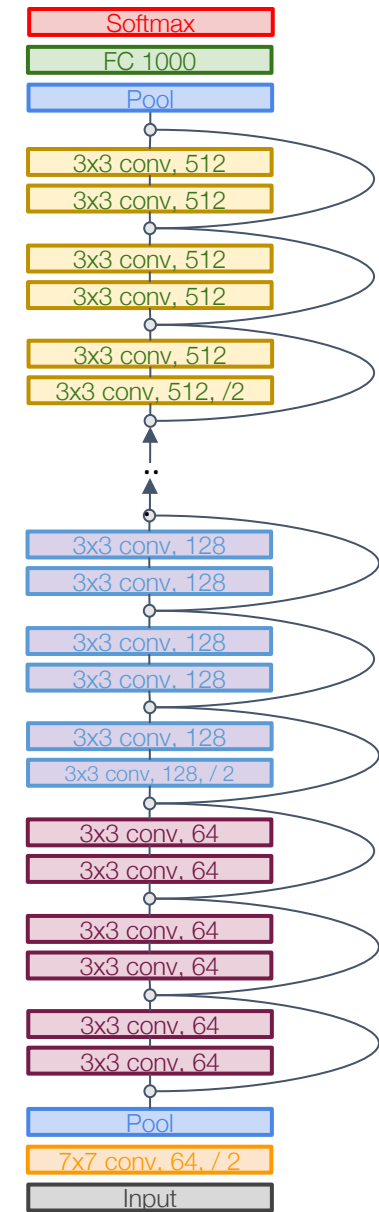
ImageNet top-5 error: 8.58

**GFLOP: 3.6**

## VGG-16:

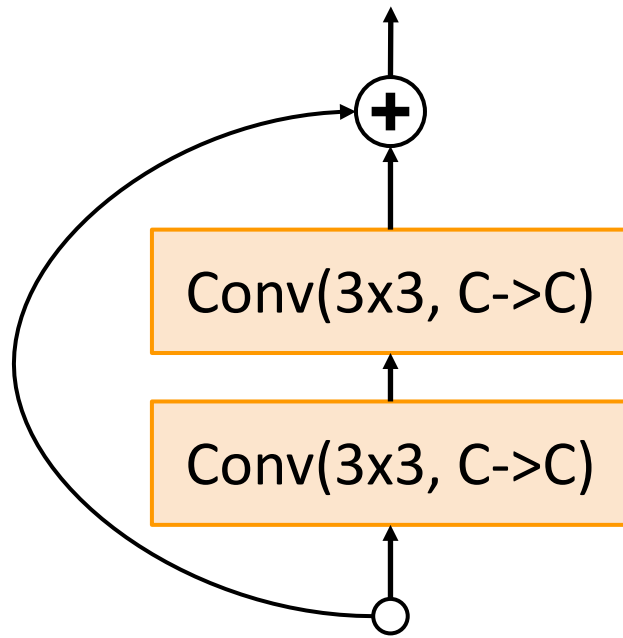
ImageNet top-5 error: 9.62

GFLOP: 13.6



He et al, "Deep Residual Learning for Image Recognition", CVPR 2016  
Error rates are 224x224 single-crop testing, reported by [torchvision](#)

# Residual Networks: Basic Block

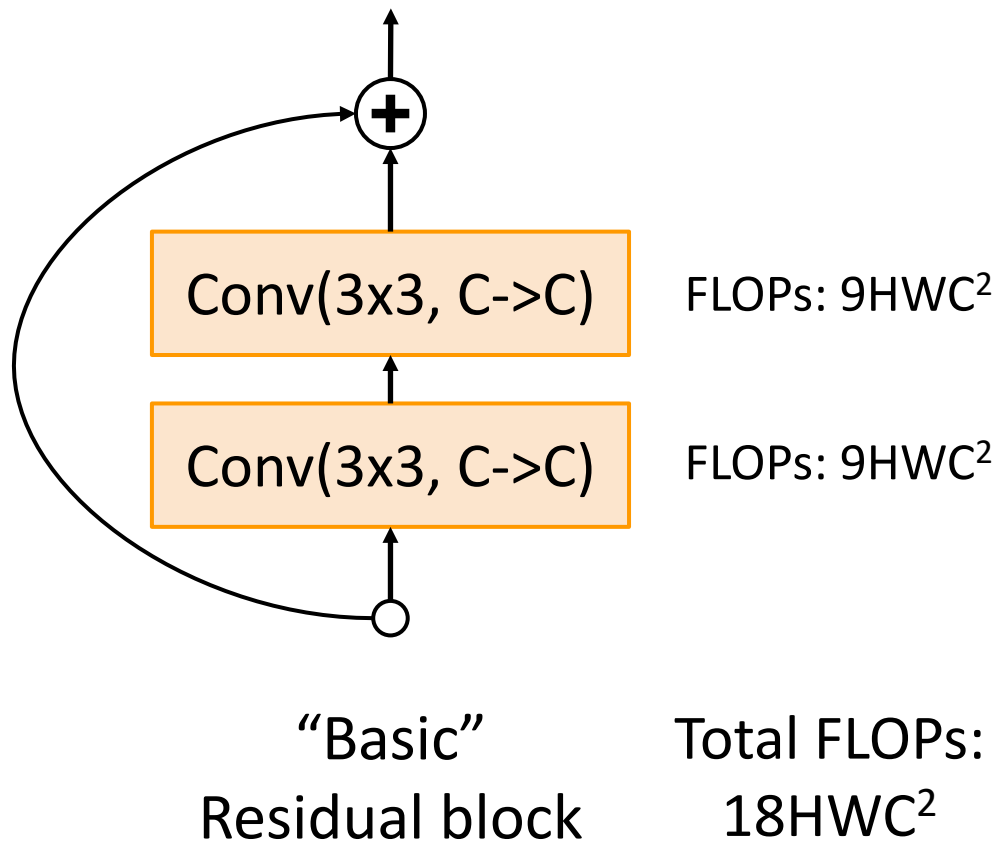


“Basic”  
Residual block

He et al, “Deep Residual Learning for Image Recognition”, CVPR 2016

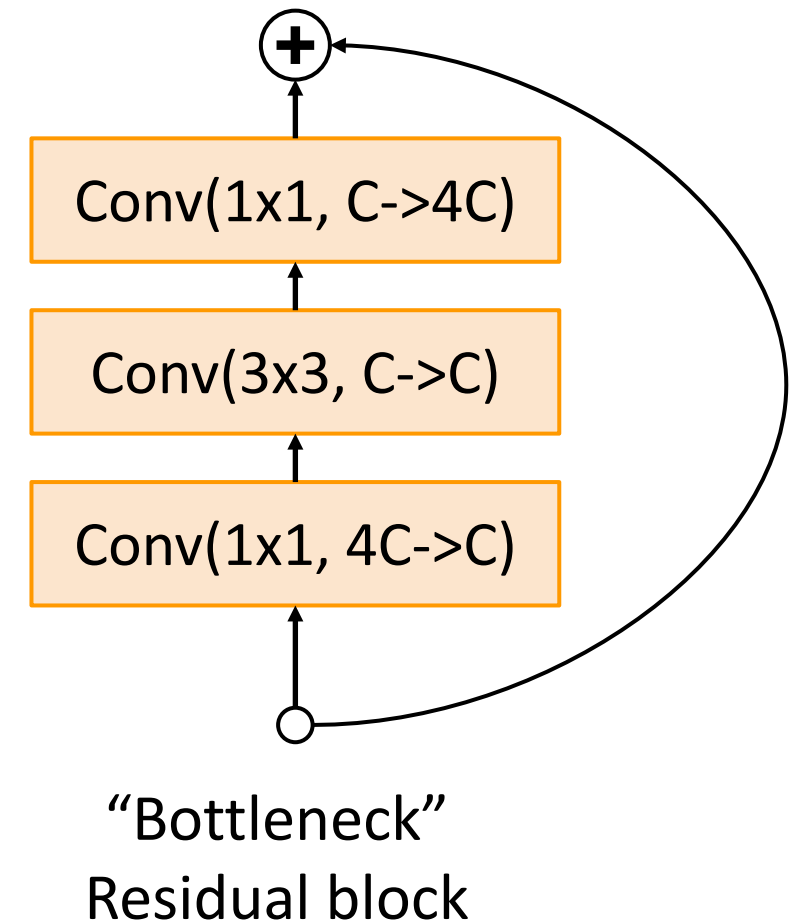
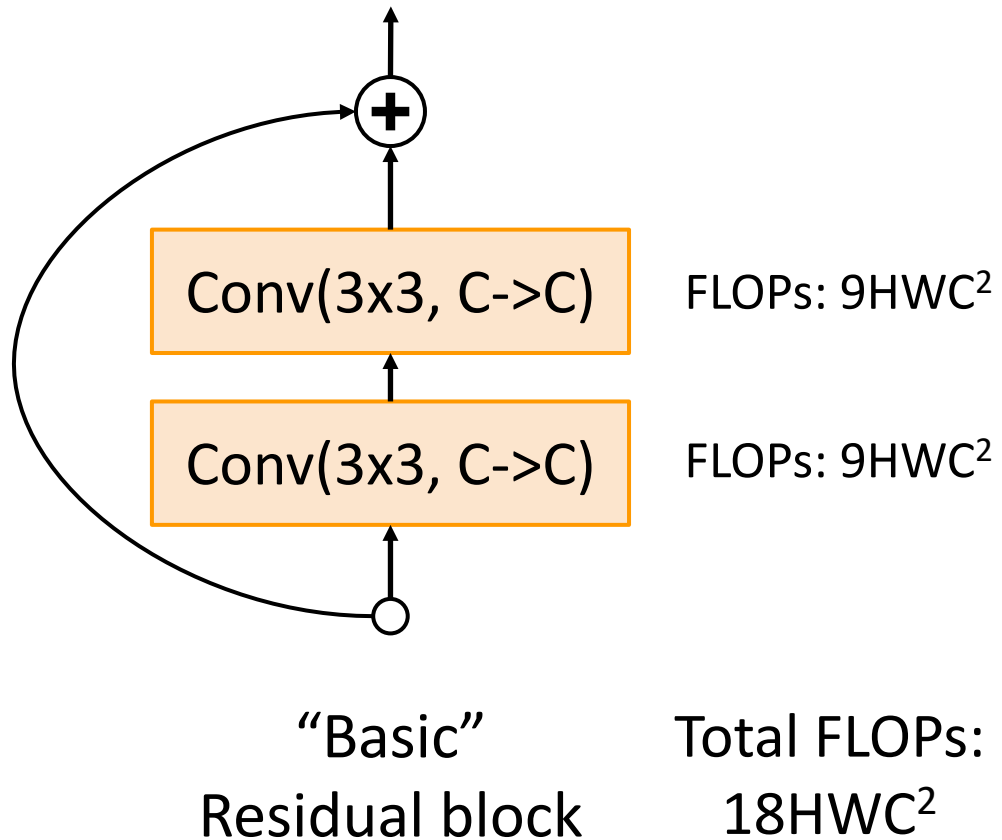


# Residual Networks: Basic Block



He et al, "Deep Residual Learning for Image Recognition", CVPR 2016

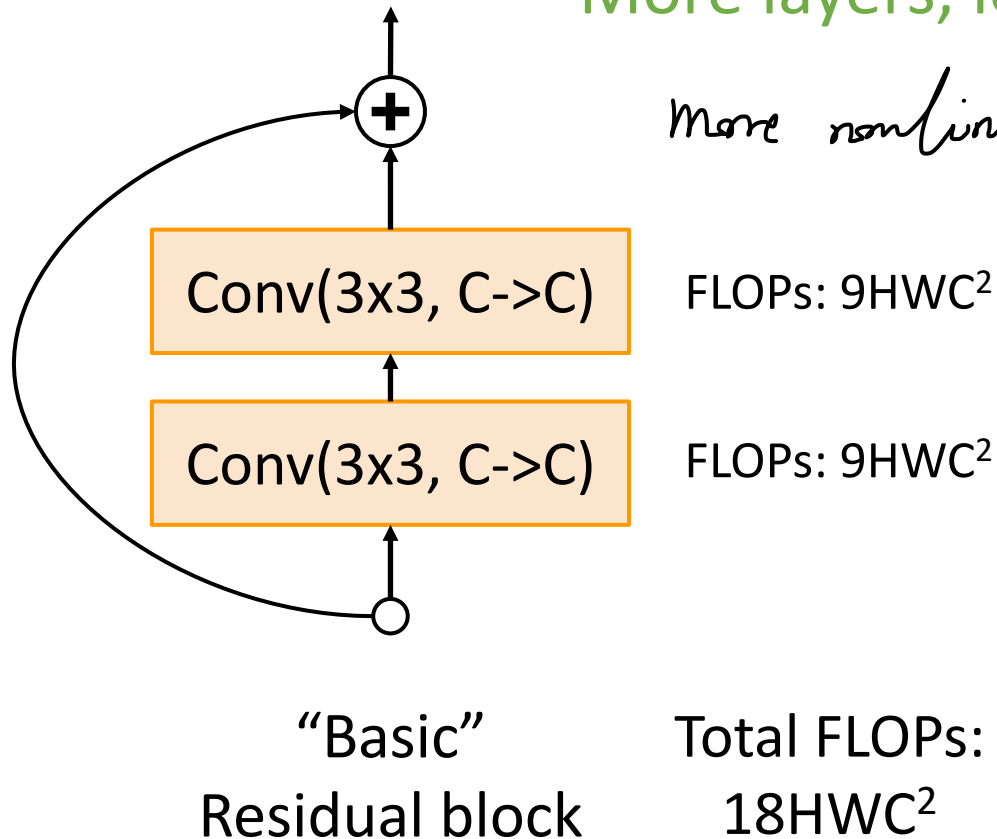
# Residual Networks: Bottleneck Block



He et al, “Deep Residual Learning for Image Recognition”, CVPR 2016

# Residual Networks: Bottleneck Block

More layers, less computational cost!

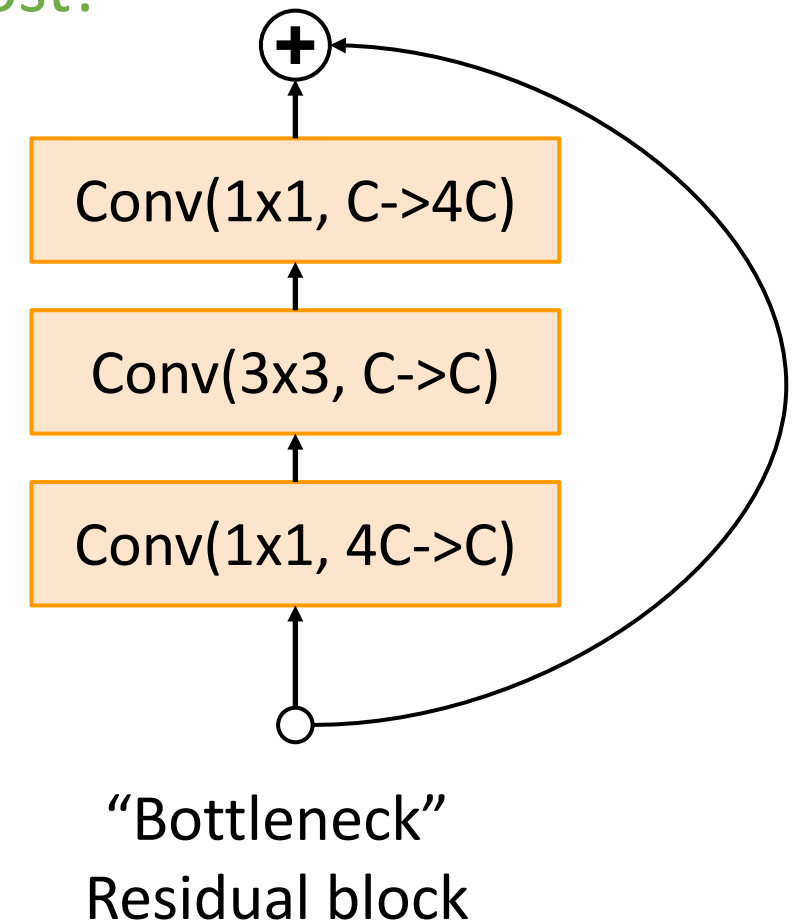


FLOPs:  $4HWC^2$

FLOPs:  $9HWC^2$

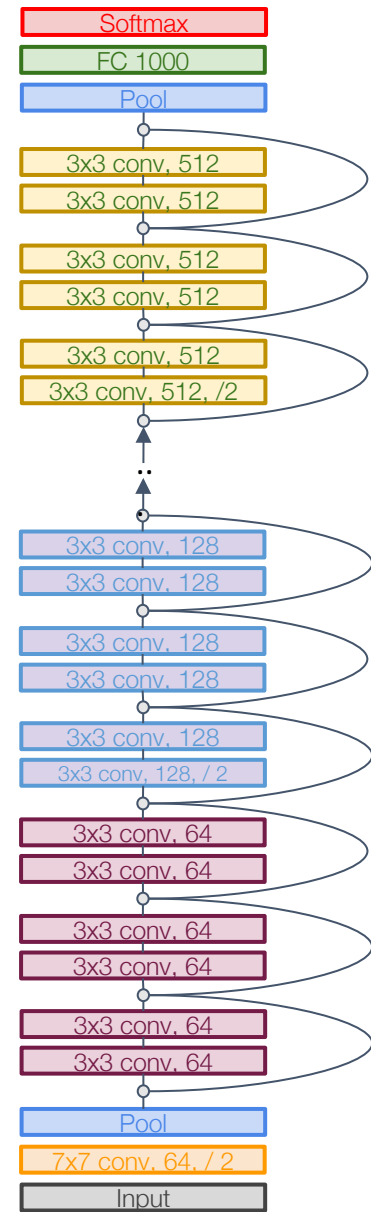
FLOPs:  $4HWC^2$

Total FLOPs:  
 $17HWC^2$



# Residual Networks

			Stage 1		Stage 2		Stage 3		Stage 4				
	Block type	Stem layers	Blocks	Layers	Blocks	Layers	Blocks	Layers	Blocks	Layers	FC layers	GFLOP	ImageNet top-5 error
ResNet-18	Basic	1	2	4	2	4	2	4	2	4	1	1.8	10.92
ResNet-34	Basic	1	3	6	4	8	6	12	3	6	1	3.6	8.58

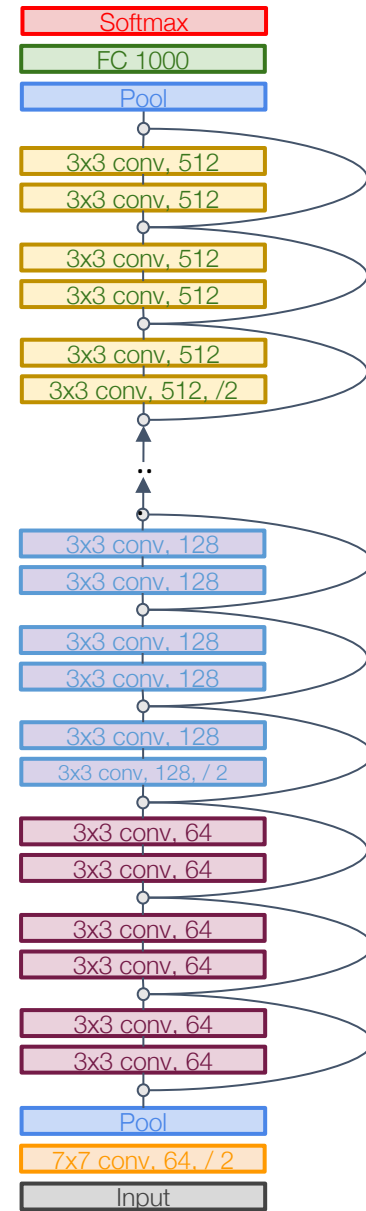


He et al, "Deep Residual Learning for Image Recognition", CVPR 2016  
 Error rates are 224x224 single-crop testing, reported by [torchvision](https://pytorch.org/torchvision/)

# Residual Networks

ResNet-50 is the same as ResNet-34, but replaces Basic blocks with Bottleneck Blocks. This is a great baseline architecture for many tasks even today!

			Stage 1		Stage 2		Stage 3		Stage 4				
	Block type	Stem layers	Blocks	Layers	Blocks	Layers	Blocks	Layers	Blocks	Layers	FC layers	GFLOP	ImageNet top-5 error
ResNet-18	Basic	1	2	4	2	4	2	4	2	4	1	1.8	10.92
ResNet-34	Basic	1	3	6	4	8	6	12	3	6	1	3.6	8.58
ResNet-50	Bottle	1	3	9	4	12	6	18	3	9	1	3.8	7.13

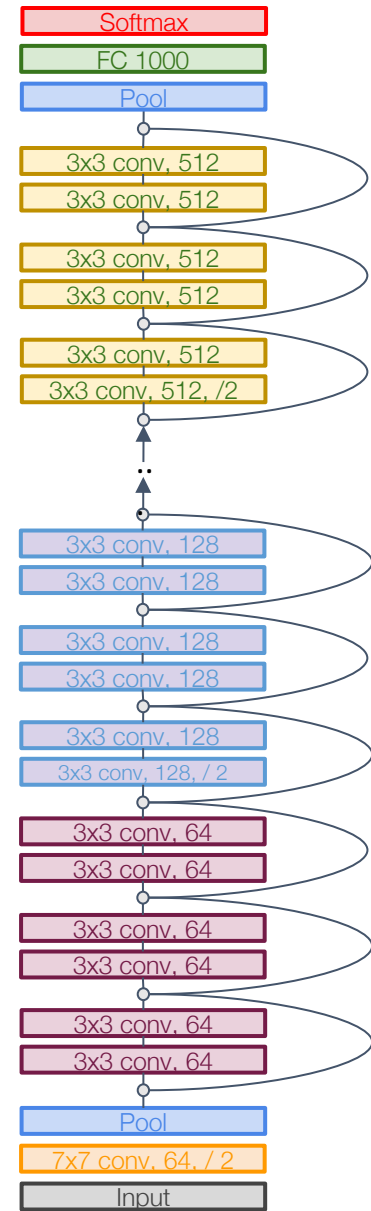


He et al, "Deep Residual Learning for Image Recognition", CVPR 2016  
Error rates are 224x224 single-crop testing, reported by [torchvision](#)

# Residual Networks

Deeper ResNet-101 and ResNet-152 models are more accurate, but also more computationally heavy

			Stage 1		Stage 2		Stage 3		Stage 4				
	Block type	Stem layers	Blocks	Layers	Blocks	Layers	Blocks	Layers	Blocks	Layers	FC layers	GFLOP	ImageNet top-5 error
ResNet-18	Basic	1	2	4	2	4	2	4	2	4	1	1.8	10.92
ResNet-34	Basic	1	3	6	4	8	6	12	3	6	1	3.6	8.58
ResNet-50	Bottle	1	3	9	4	12	6	18	3	9	1	3.8	7.13
ResNet-101	Bottle	1	3	9	4	12	23	69	3	9	1	7.6	6.44
ResNet-152	Bottle	1	3	9	8	24	36	108	3	9	1	11.3	5.94



He et al, "Deep Residual Learning for Image Recognition", CVPR 2016  
 Error rates are 224x224 single-crop testing, reported by [torchvision](https://pytorch.org/torchvision/)

# Residual Networks

- Able to train very deep networks
- Deeper networks do better than shallow networks (as expected)
- Swept 1st place in all ILSVRC and COCO 2015 competitions
- Still widely used today!

## MSRA @ ILSVRC & COCO 2015 Competitions

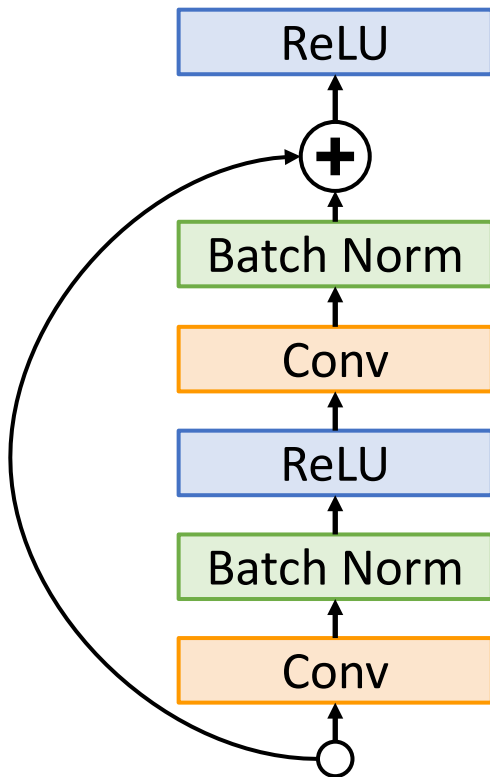
- **1st places in all five main tracks**

- ImageNet Classification: *"Ultra-deep"* (quote Yann) **152-layer** nets
- ImageNet Detection: **16%** better than 2nd
- ImageNet Localization: **27%** better than 2nd
- COCO Detection: **11%** better than 2nd
- COCO Segmentation: **12%** better than 2nd

He et al, "Deep Residual Learning for Image Recognition", CVPR 2016

# Improving Residual Networks: Block Design

Original ResNet block



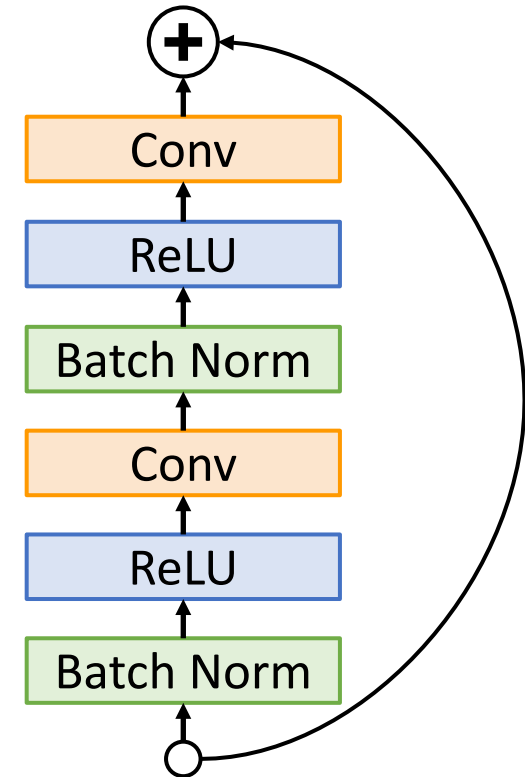
Note ReLU **after** residual:

Cannot actually learn identity function since outputs are nonnegative!

Note ReLU **inside** residual:

Can learn true identity function by setting Conv weights to zero!

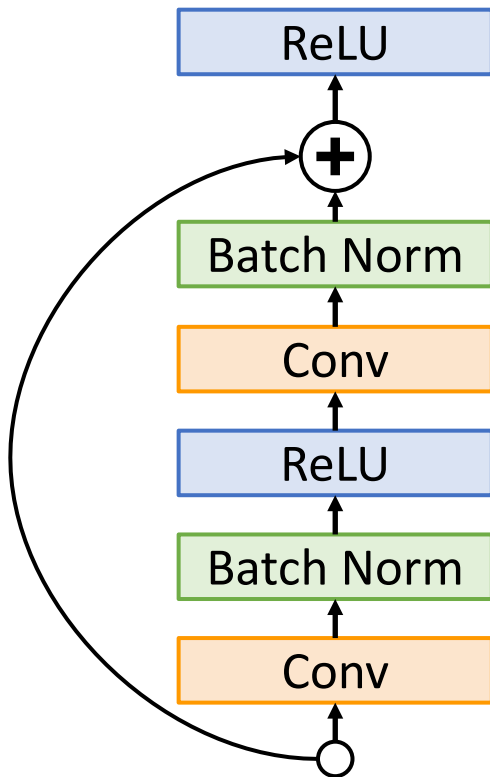
“Pre-Activation” ResNet Block





# Improving Residual Networks: Block Design

Original ResNet block



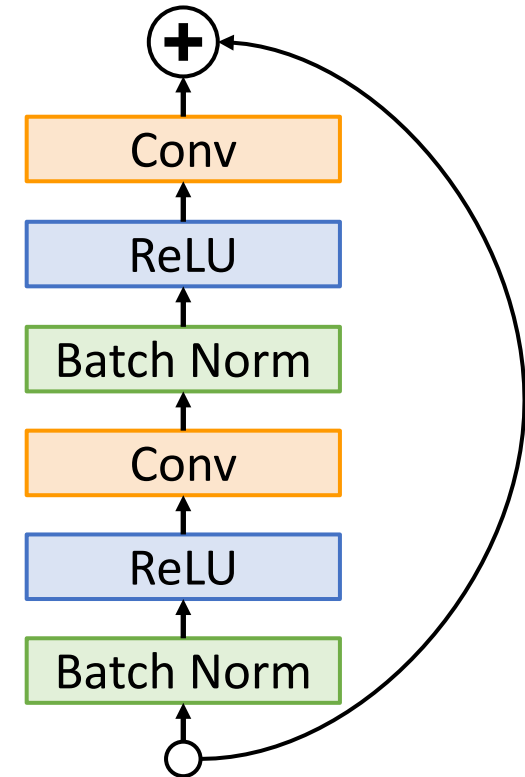
Slight improvement in accuracy  
(ImageNet top-1 error)

ResNet-152: 21.3 vs **21.1**

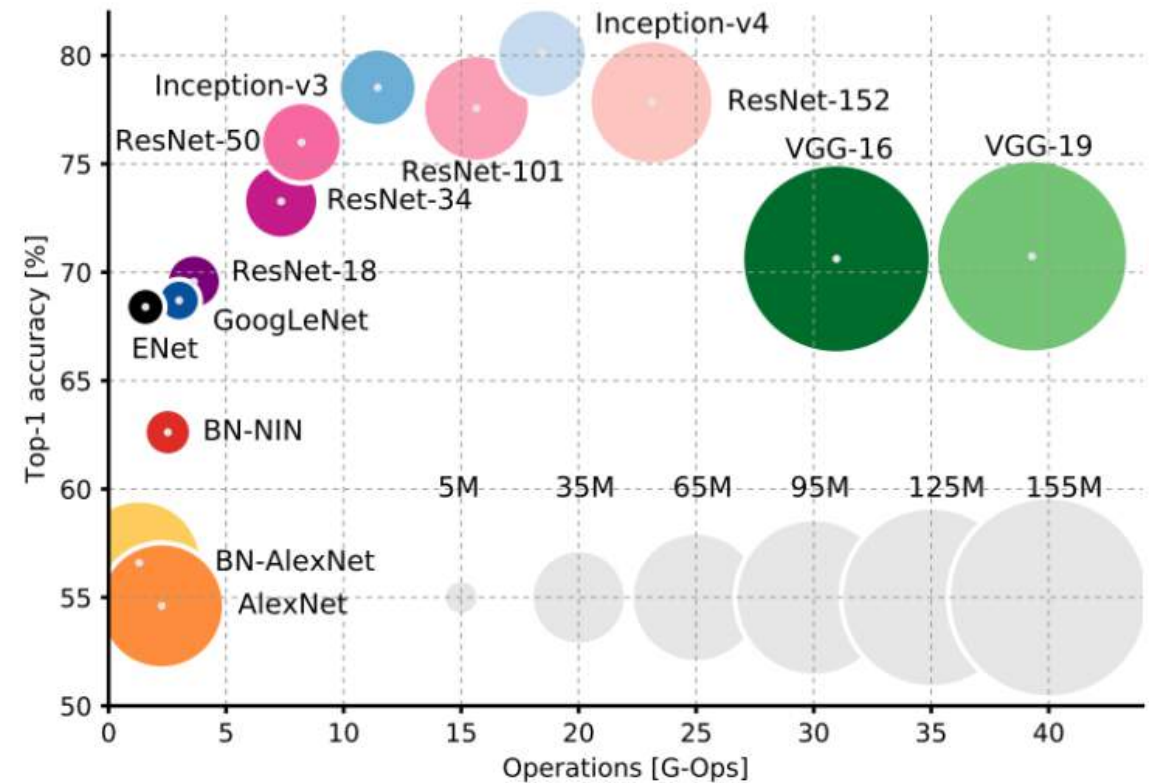
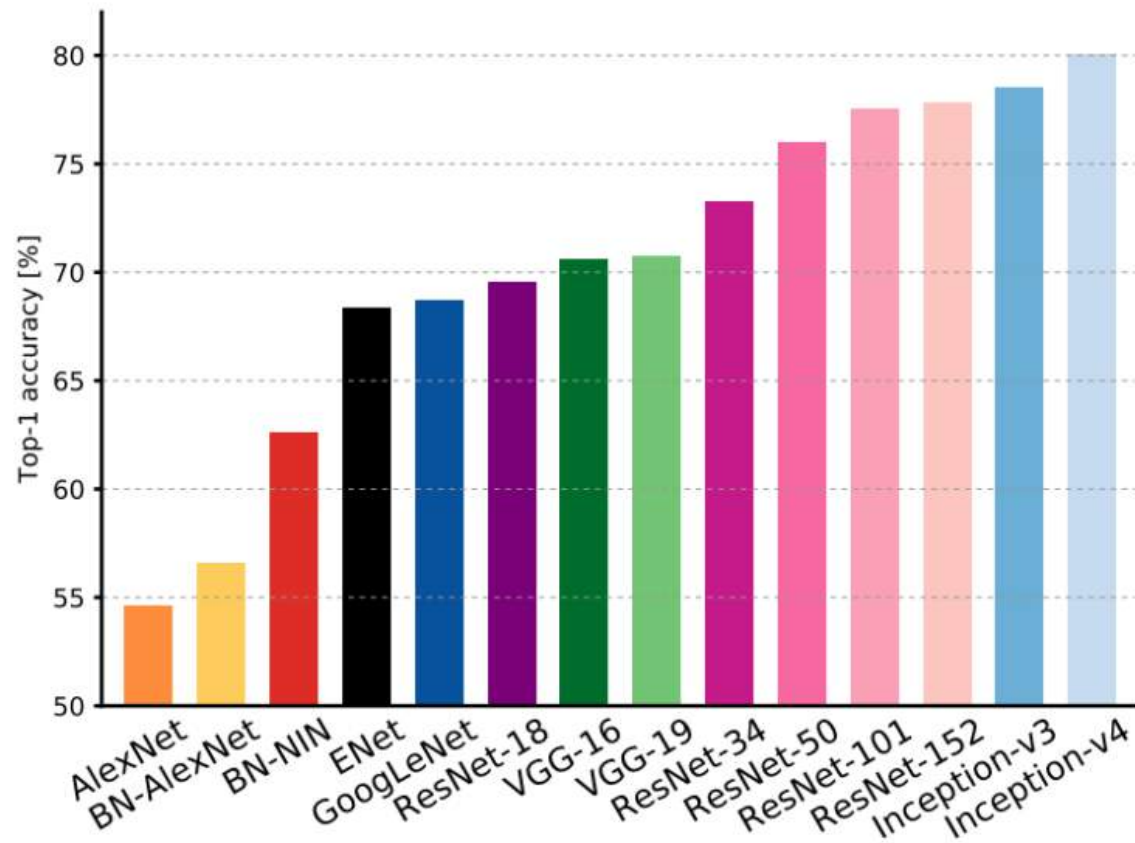
ResNet-200: 21.8 vs **20.7**

Not actually used that much in  
practice

“Pre-Activation” ResNet Block



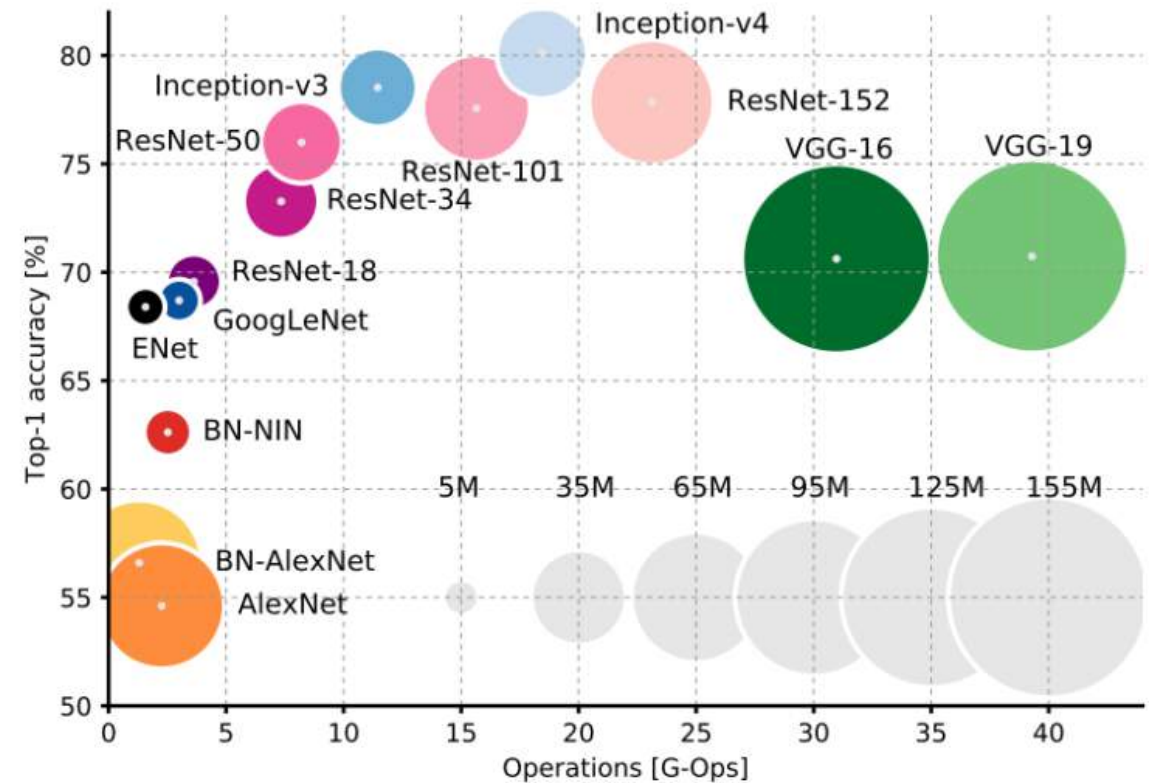
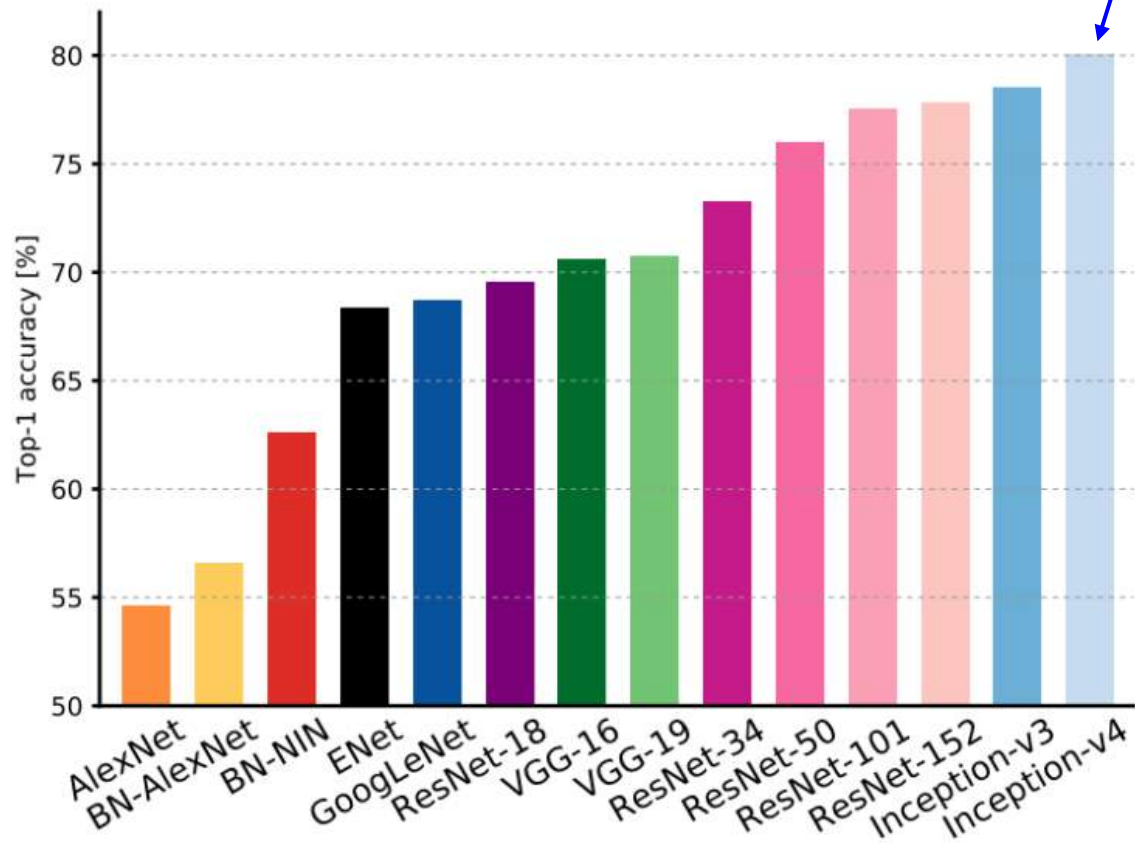
# Comparing Complexity



Canziani et al, "An analysis of deep neural network models for practical applications", 2017

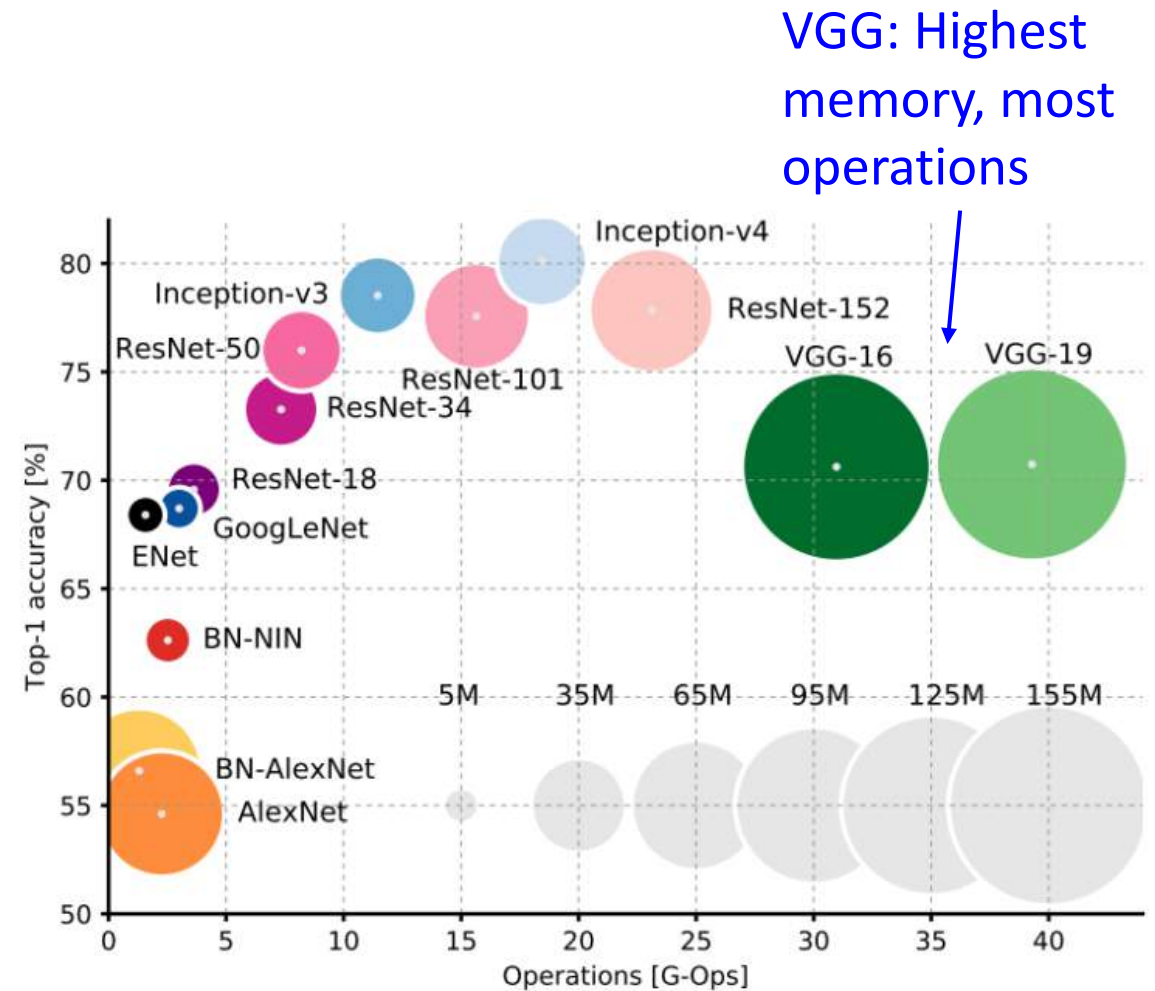
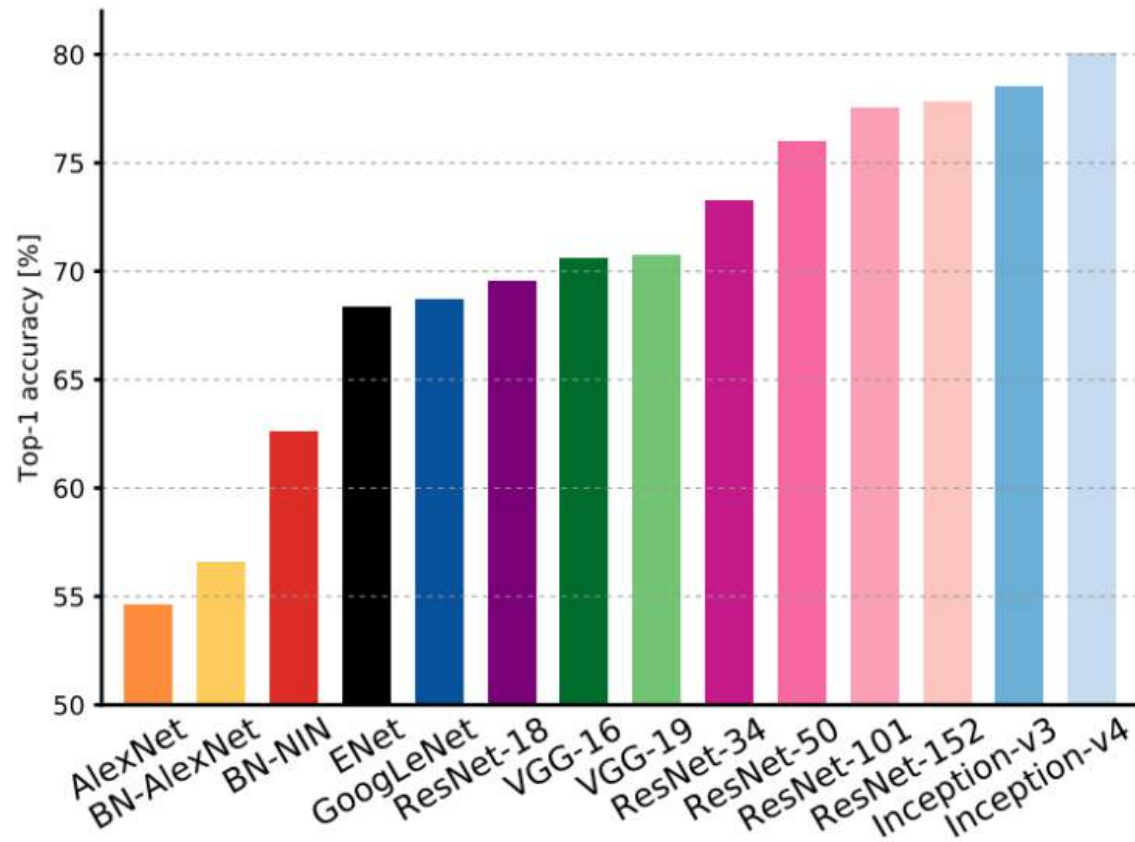
# Comparing Complexity

Inception-v4: Resnet + Inception!



Canziani et al, "An analysis of deep neural network models for practical applications", 2017

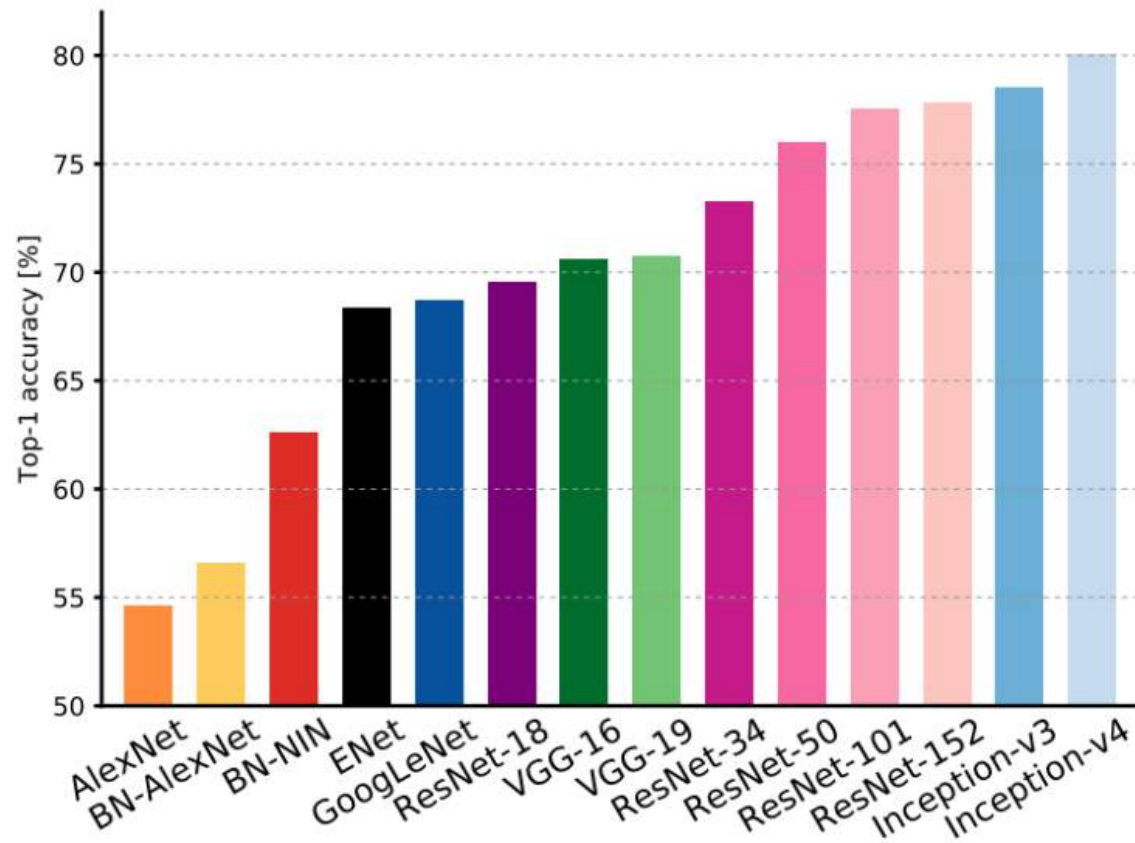
# Comparing Complexity



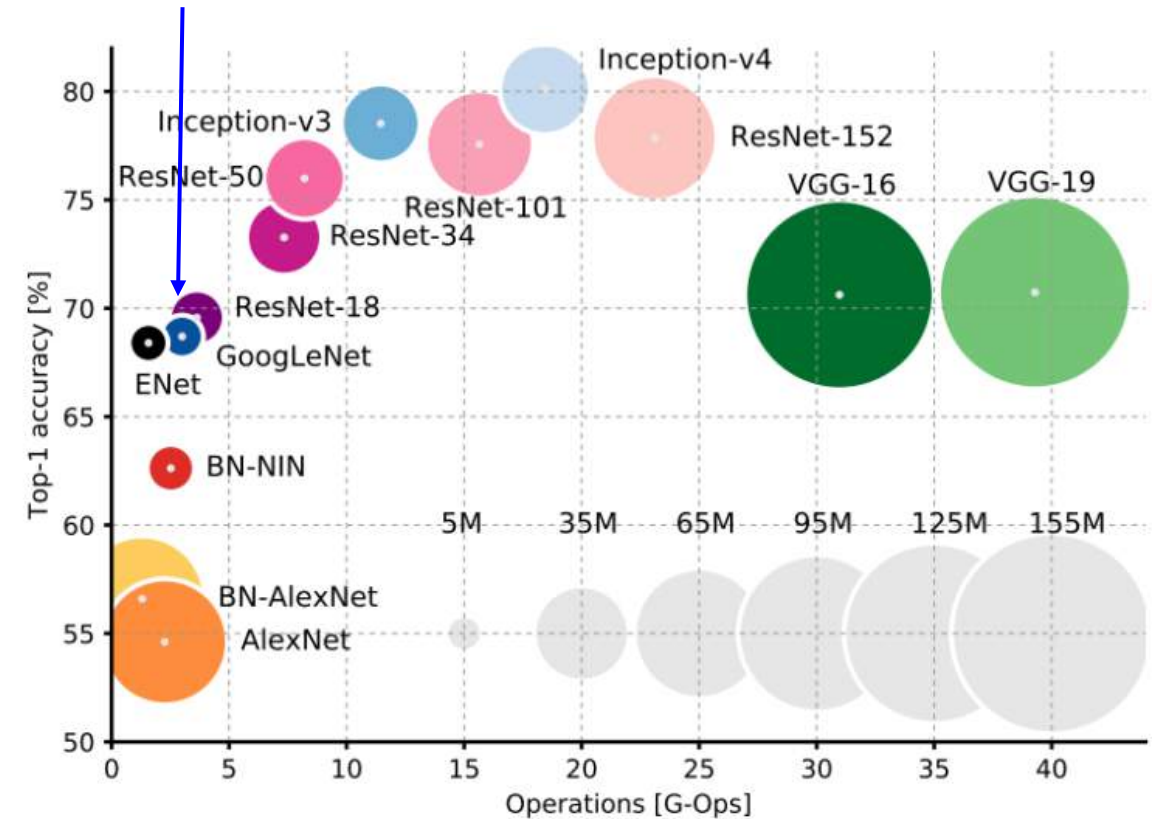
VGG: Highest  
memory, most  
operations

Canziani et al, "An analysis of deep neural network models for practical applications", 2017

# Comparing Complexity



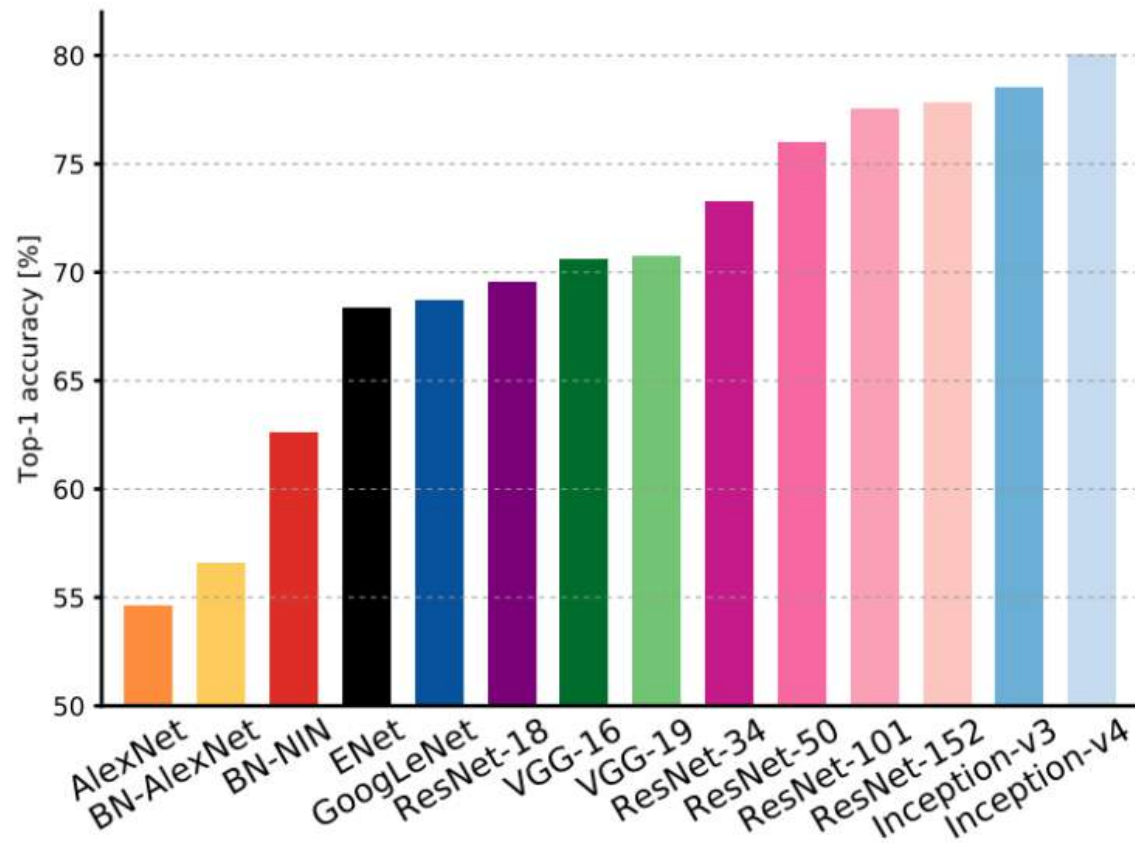
GoogLeNet:  
Very efficient!



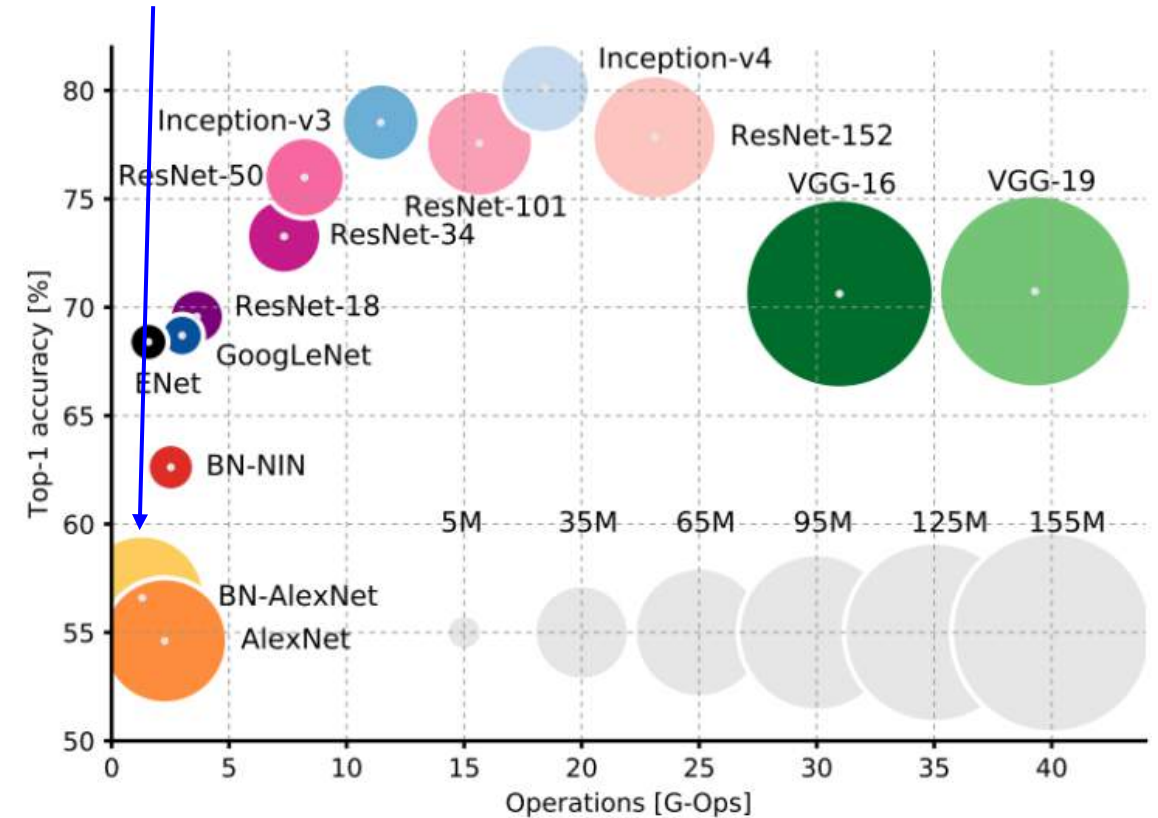
Canziani et al, "An analysis of deep neural network models for practical applications", 2017



# Comparing Complexity

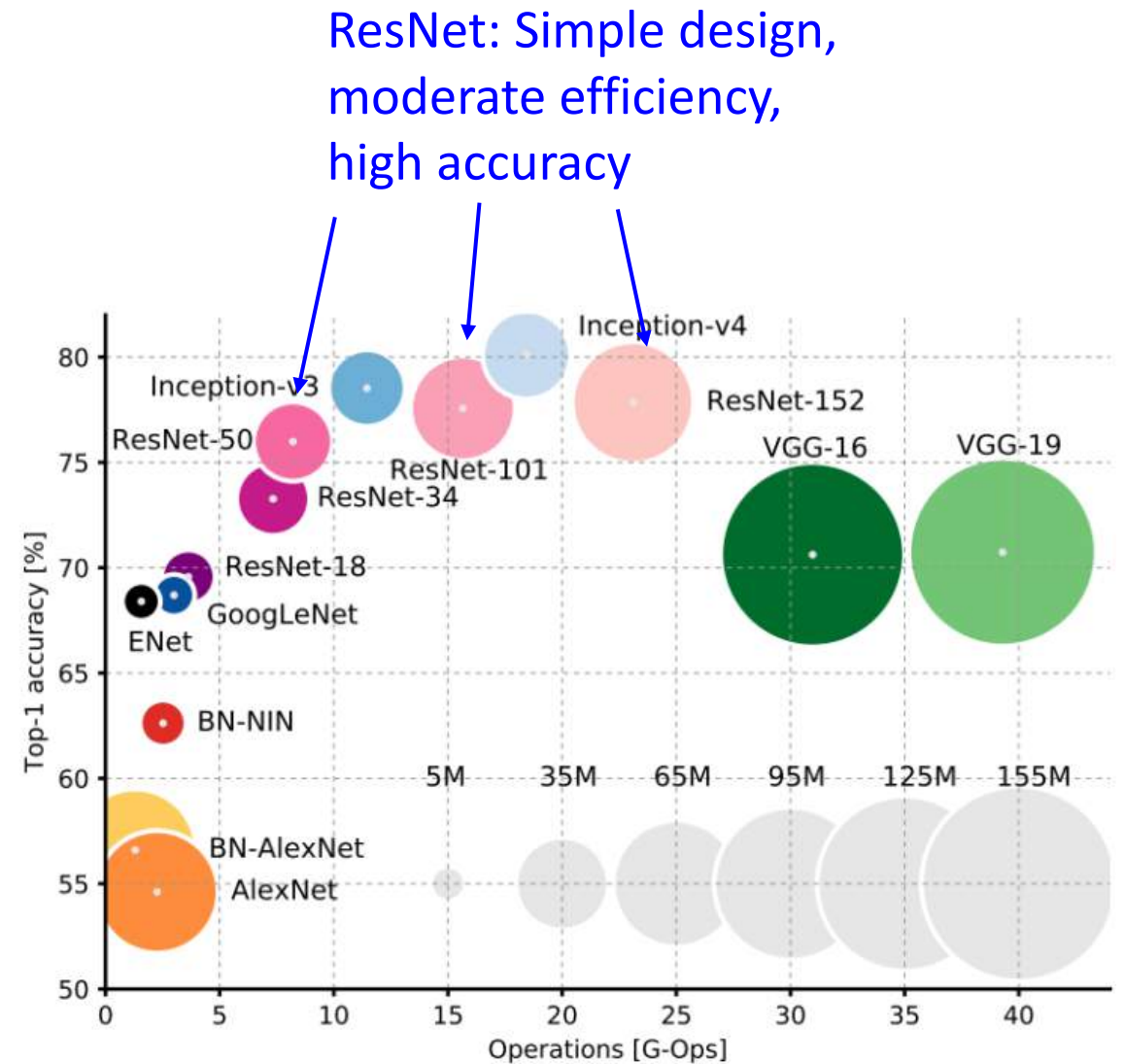
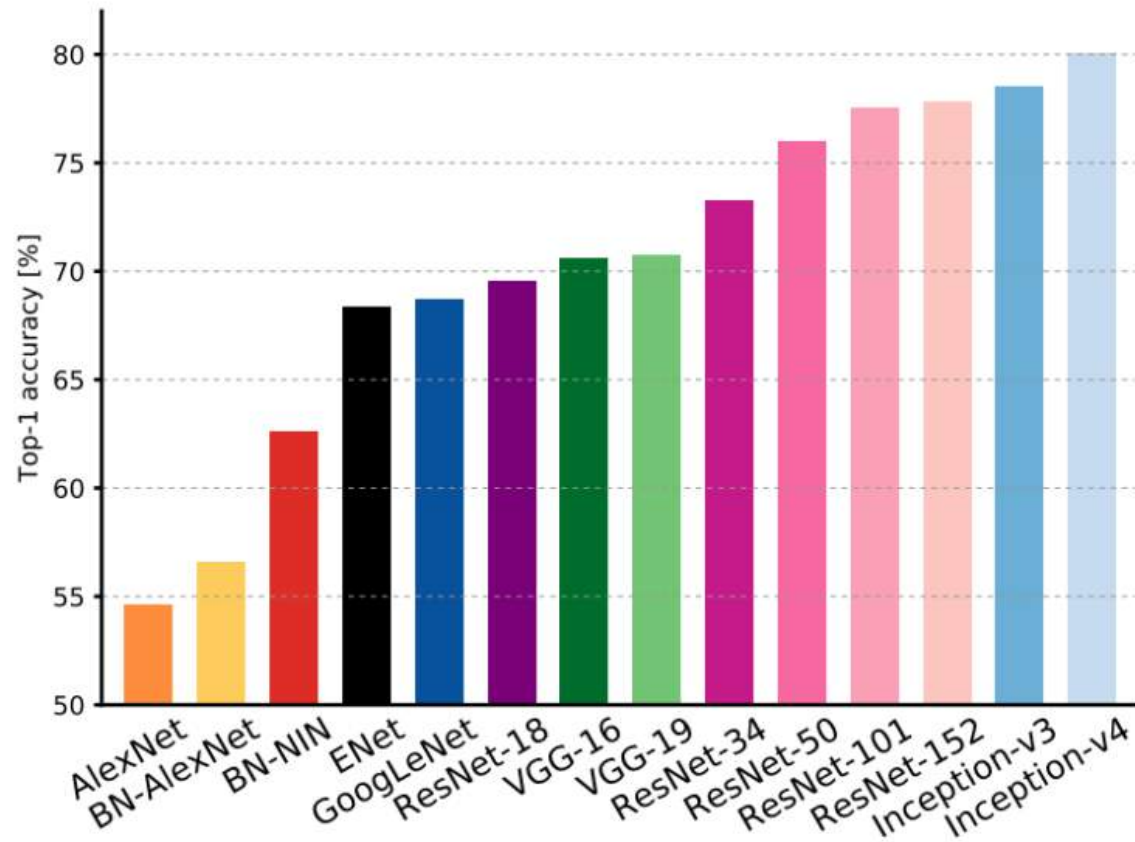


AlexNet: Low compute, lots of parameters



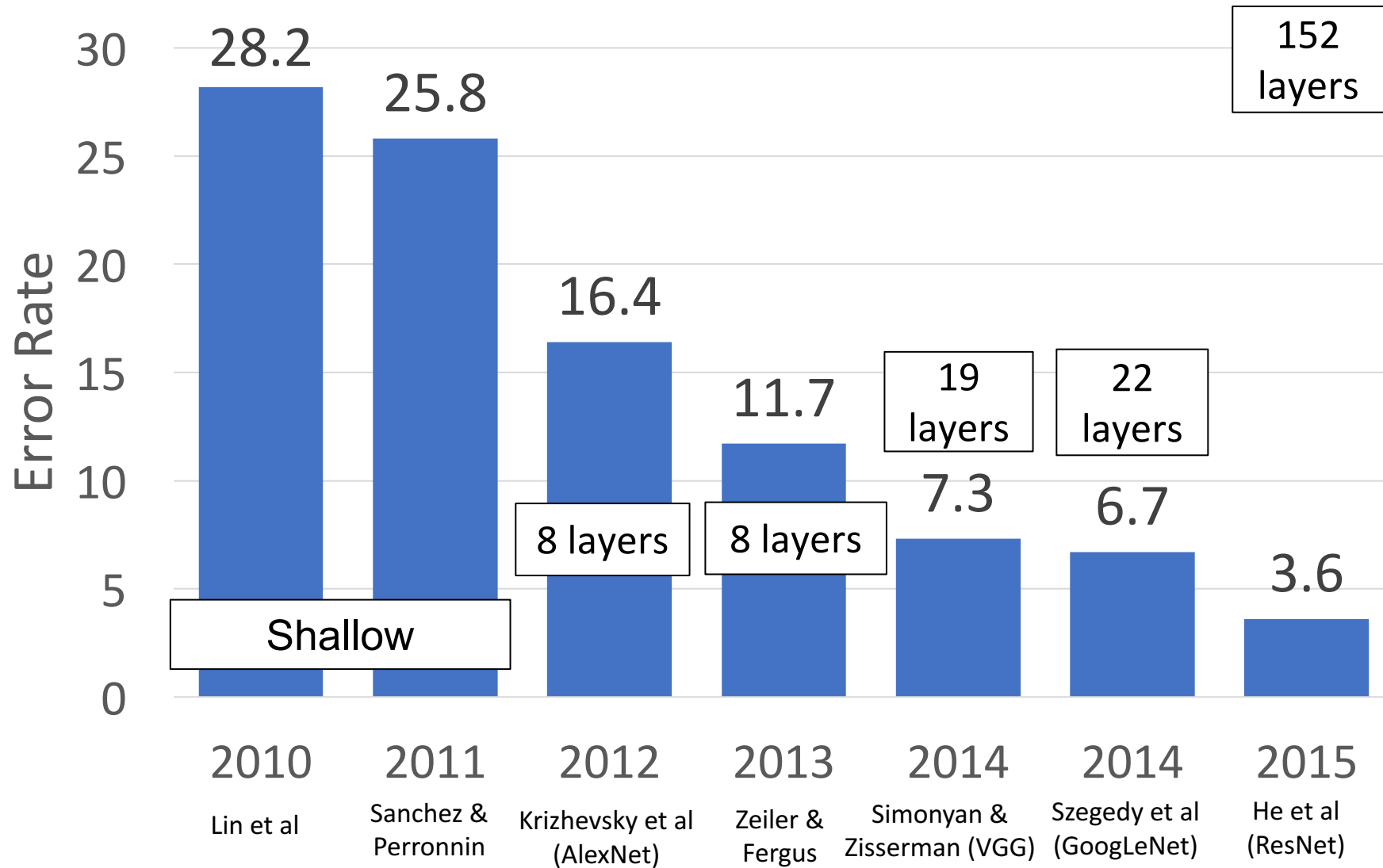
Canziani et al, "An analysis of deep neural network models for practical applications", 2017

# Comparing Complexity



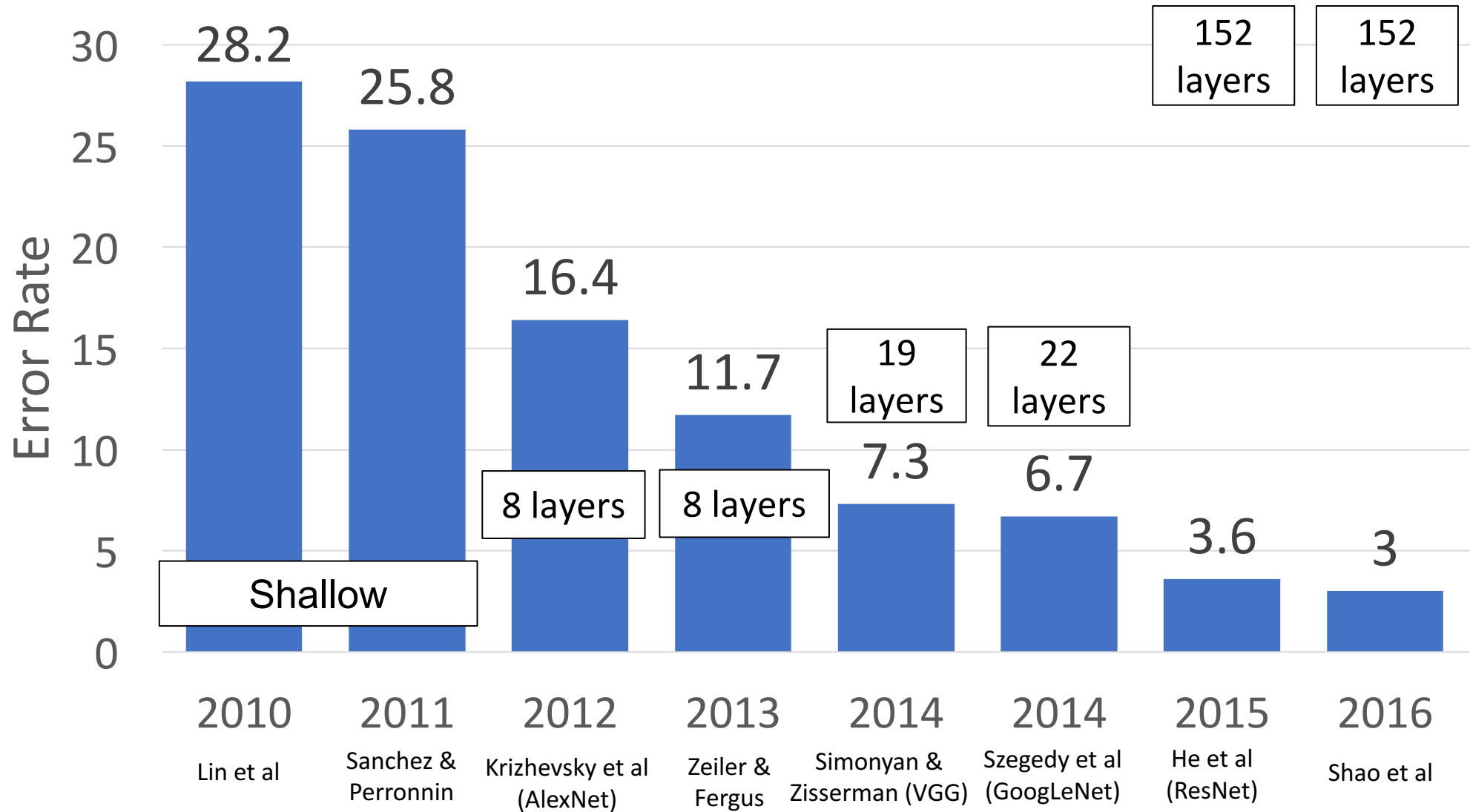
Canziani et al, "An analysis of deep neural network models for practical applications", 2017

# ImageNet Classification Challenge





# ImageNet Classification Challenge

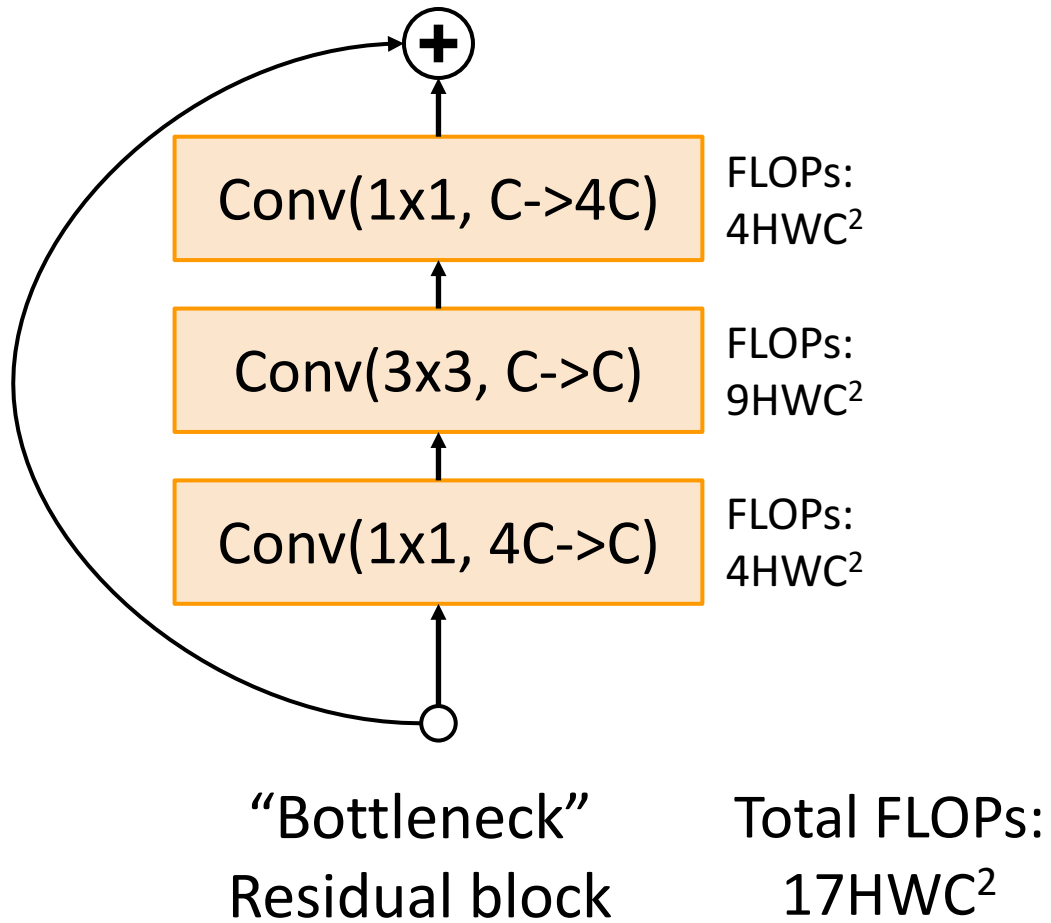


# ImageNet 2016 winner: Model Ensembles

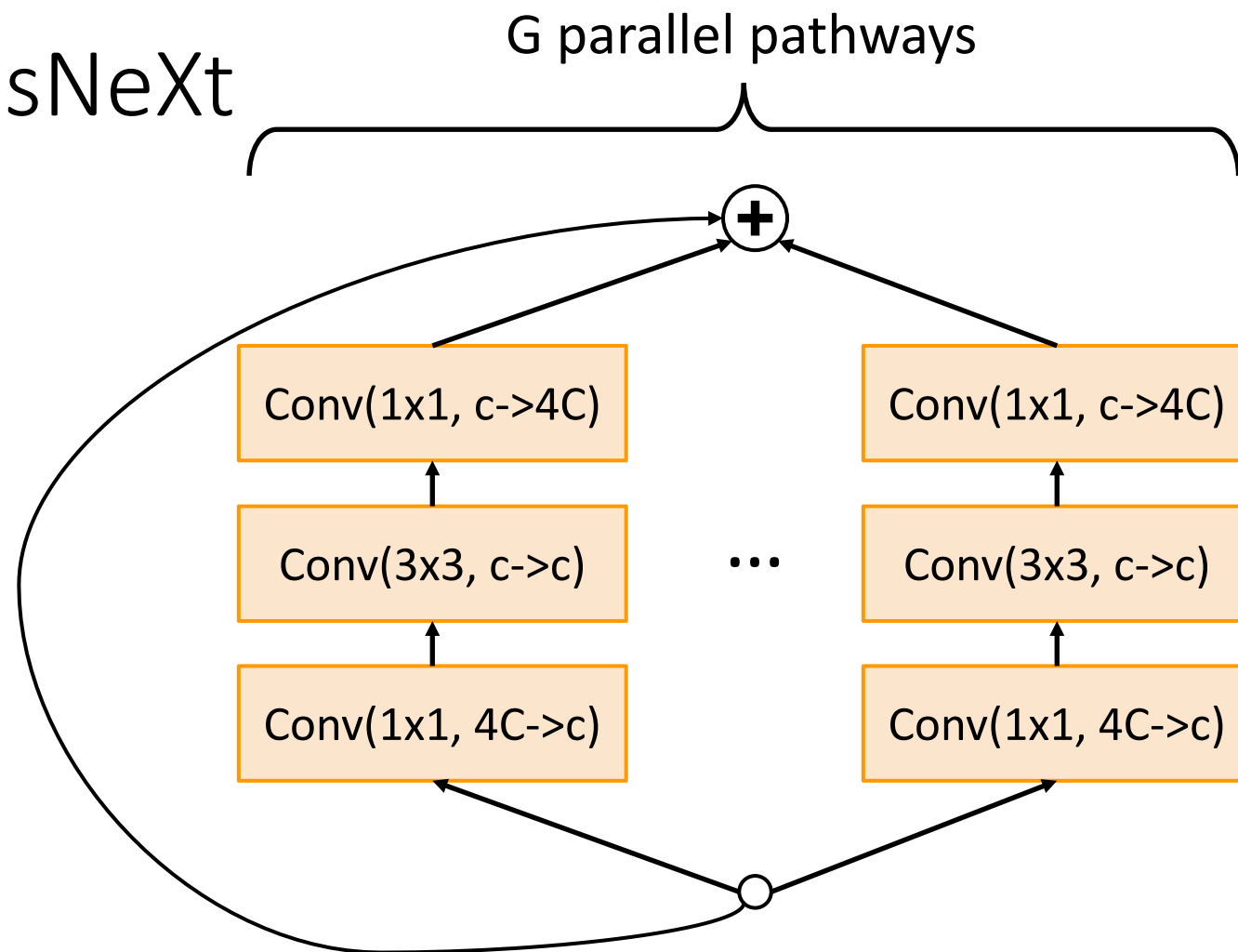
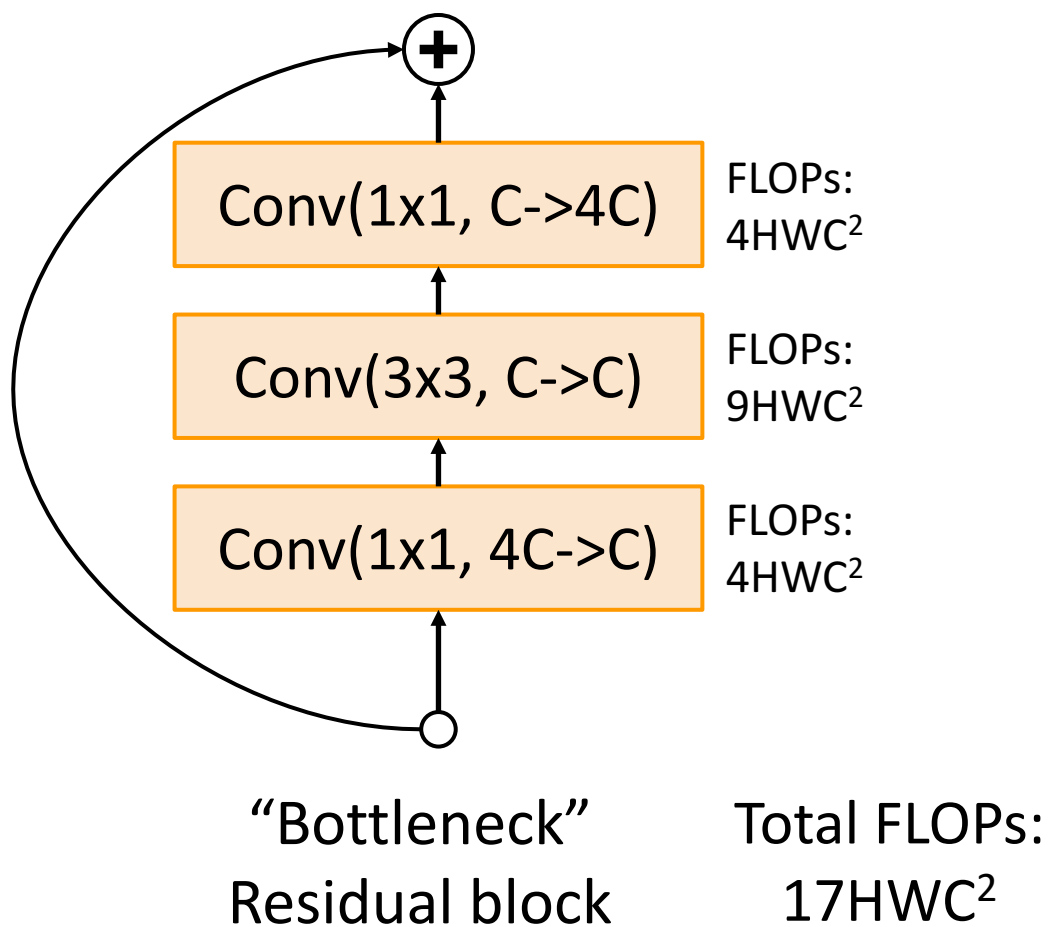
Multi-scale ensemble of Inception, Inception-Resnet, Resnet, Wide Resnet models

	Inception-v3	Inception-v4	Inception-Resnet-v2	Resnet-200	Wrn-68-3	Fusion (Val.)	Fusion (Test)
Err. (%)	4.20	4.01	3.52	4.26	4.65	2.92 (-0.6)	2.99

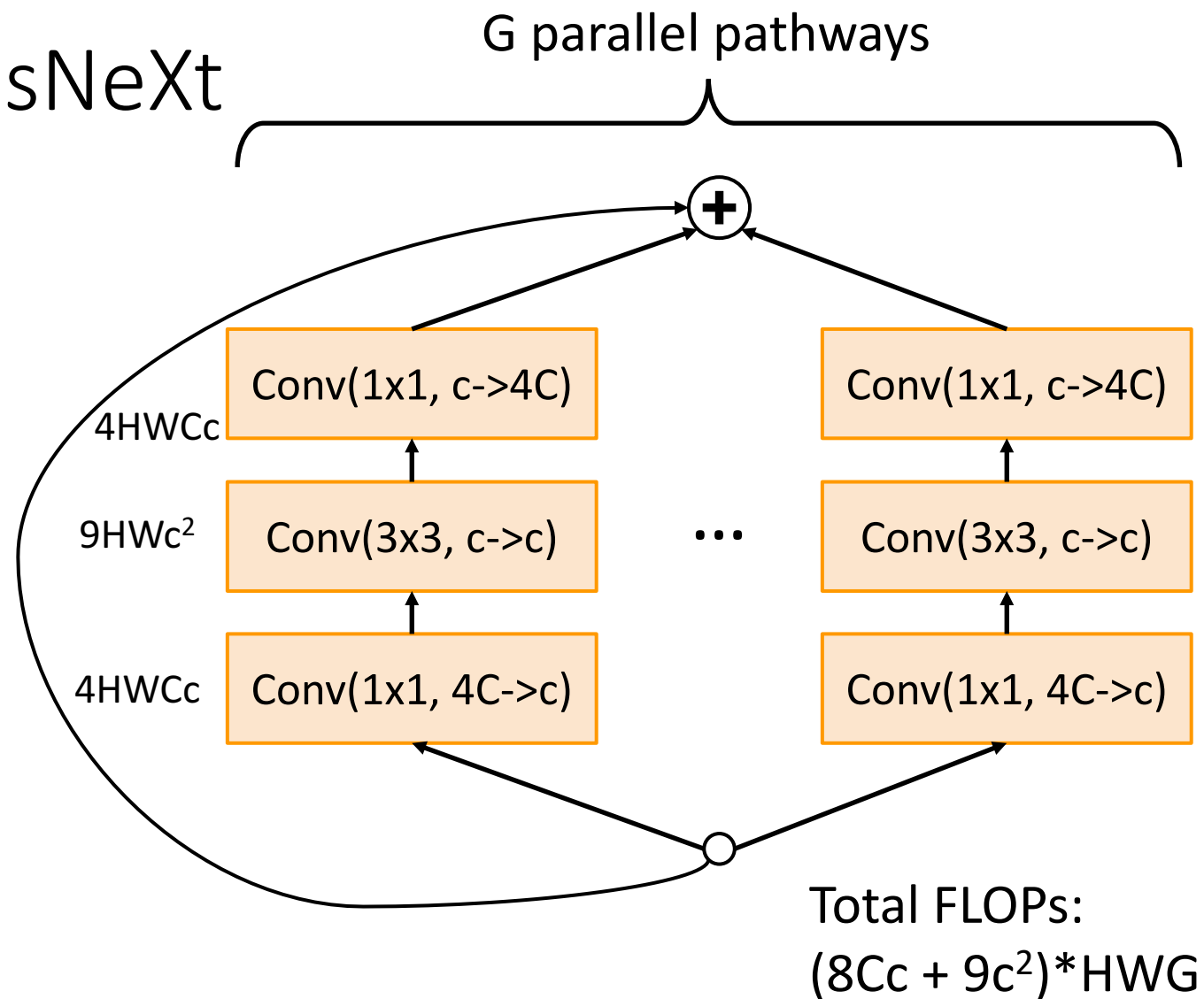
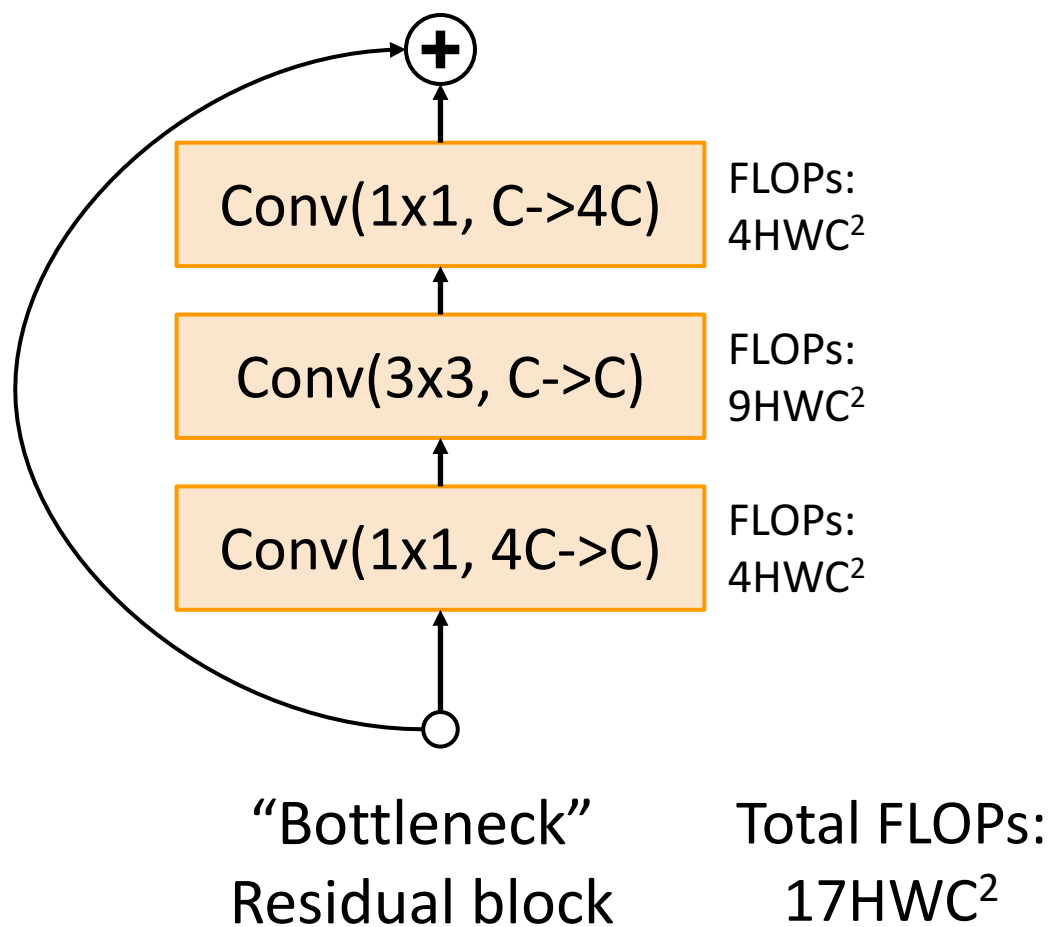
# Improving ResNets



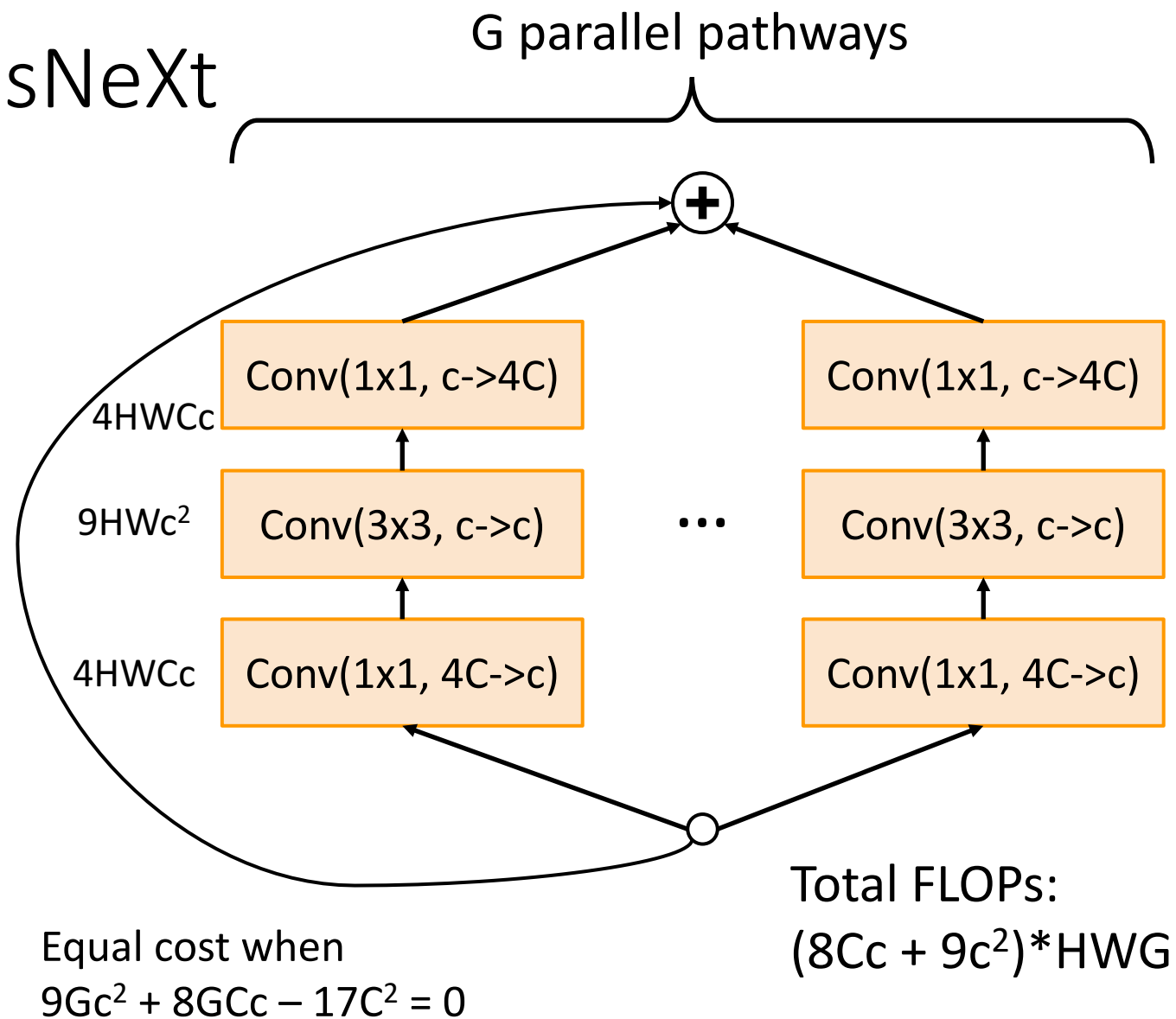
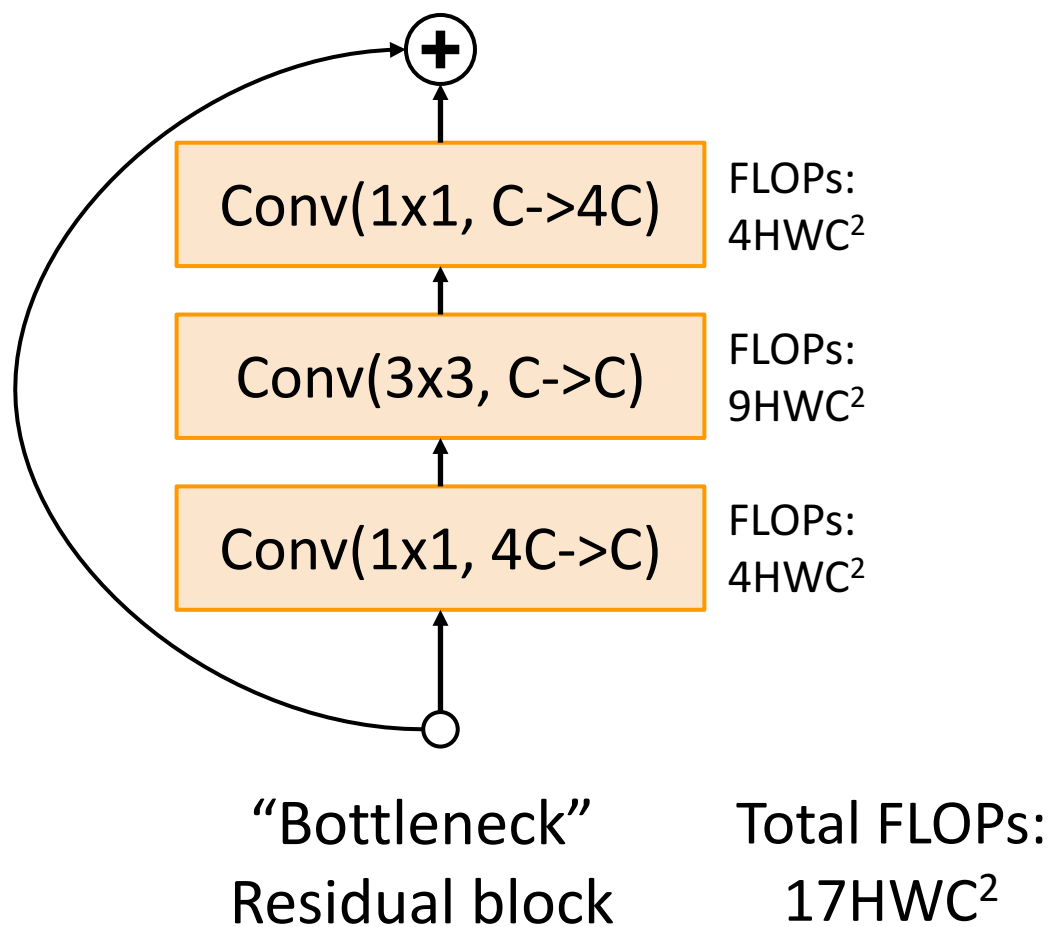
# Improving ResNets: ResNeXt



# Improving ResNets: ResNeXt



# Improving ResNets: ResNeXt



# Grouped Convolution

Convolution with groups=1:

Normal convolution

Input:  $C_{in} \times H \times W$

Weight:  $C_{out} \times C_{in} \times K \times K$

Output:  $C_{out} \times H' \times W'$

FLOPs:  $C_{out} C_{in} K^2 HW$

All convolutional kernels touch  
all  $C_{in}$  channels of the input

# Grouped Convolution

Convolution with groups=1:

Normal convolution

Input:  $C_{in} \times H \times W$

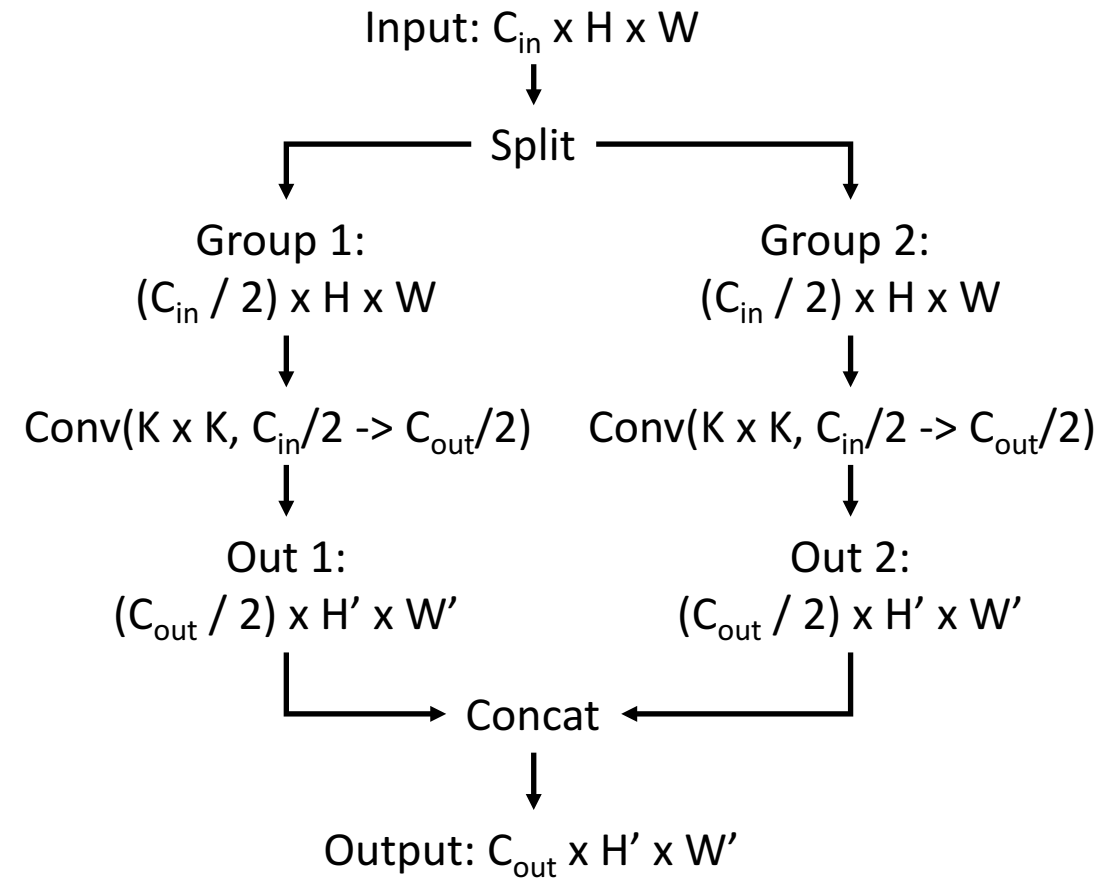
Weight:  $C_{out} \times C_{in} \times K \times K$

Output:  $C_{out} \times H' \times W'$

FLOPs:  $C_{out} C_{in} K^2 H W$

All convolutional kernels touch  
all  $C_{in}$  channels of the input

Convolution with groups=2:  
Two parallel convolution layers that  
work on half the channels





# Grouped Convolution

Convolution with groups=1:

Normal convolution

Input:  $C_{in} \times H \times W$

Weight:  $C_{out} \times C_{in} \times K \times K$

Output:  $C_{out} \times H' \times W'$

FLOPs:  $C_{out} C_{in} K^2 H W$

All convolutional kernels touch  
all  $C_{in}$  channels of the input

Convolution with groups=G:

G parallel conv layers; each “sees”  
 $C_{in}/G$  input channels and produces  
 $C_{out}/G$  output channels

Input:  $C_{in} \times H \times W$

Split to  $G \times [(C_{in} / G) \times H \times W]$

Weight:  $G \times (C_{out} / G) \times (C_{in} \times G) \times K \times K$

G parallel convolutions

Output:  $G \times [(C_{out} / G) \times H' \times W']$

Concat to  $C_{out} \times H' \times W'$

FLOPs:  $C_{out} C_{in} K^2 H W / G$

# Grouped Convolution

## Convolution with groups=1:

Normal convolution

Input:  $C_{in} \times H \times W$

Weight:  $C_{out} \times C_{in} \times K \times K$

Output:  $C_{out} \times H' \times W'$

FLOPs:  $C_{out} C_{in} K^2 H W$

All convolutional kernels touch  
all  $C_{in}$  channels of the input

## **Depthwise Convolution**

Special case:  $G=C_{in}$ ,  $C_{out} = nC_{in}$

Each input channel is convolved  
with  $n$  different  $K \times K$  filters to  
produce  $n$  output channels

## Convolution with groups=G:

$G$  parallel conv layers; each “sees”  
 $C_{in}/G$  input channels and produces  
 $C_{out}/G$  output channels

Input:  $C_{in} \times H \times W$

Split to  $G \times [(C_{in} / G) \times H \times W]$

Weight:  $G \times (C_{out} / G) \times (C_{in} \times G) \times K \times K$

$G$  parallel convolutions

Output:  $G \times [(C_{out} / G) \times H' \times W']$

Concat to  $C_{out} \times H' \times W'$

FLOPs:  $C_{out} C_{in} K^2 H W / G$

# Grouped Convolution in PyTorch

PyTorch convolution gives an option for groups!

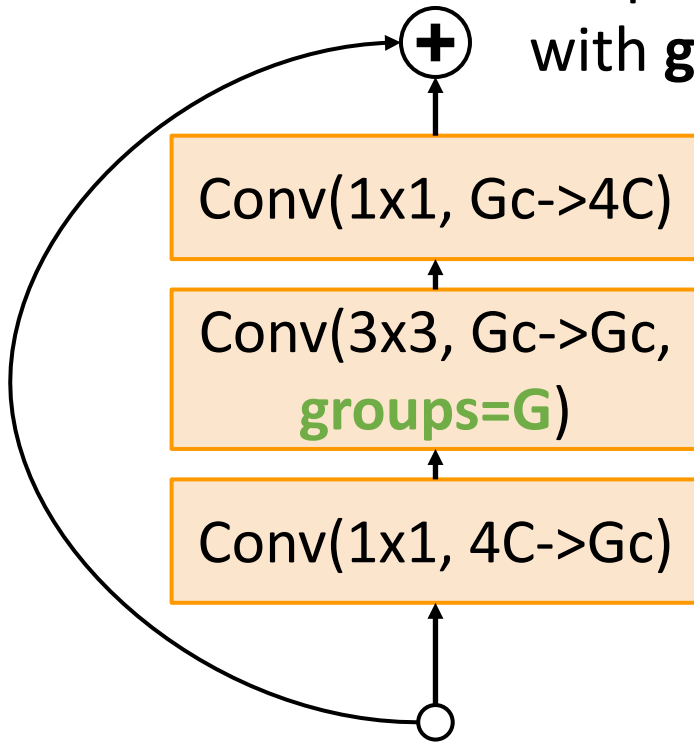
## Conv2d

```
CLASS torch.nn.Conv2d(in_channels, out_channels, kernel_size,  
stride=1, padding=0, dilation=1, groups=1, bias=True,  
padding_mode='zeros')
```

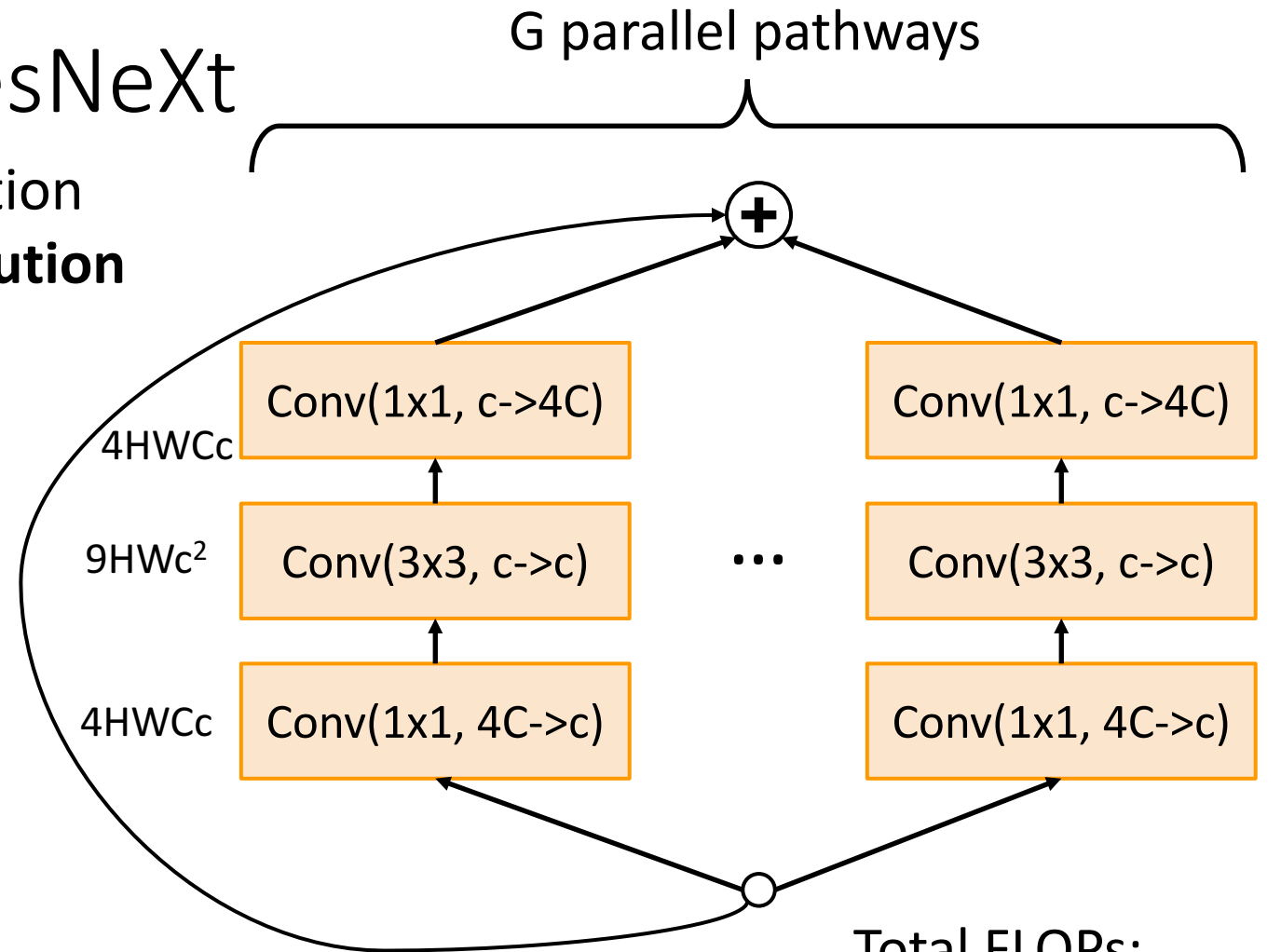
[\[SOURCE\]](#)

# Improving ResNets: ResNeXt

Equivalent formulation  
with **grouped convolution**



ResNeXt block:  
Grouped convolution



Equal cost when  
 $9Gc^2 + 8GCc - 17C^2 = 0$

Example:  $C=64, G=4, c=24$ ;  $C=64, G=32, c=4$

Total FLOPs:  
 $(8Cc + 9c^2) * HWG$

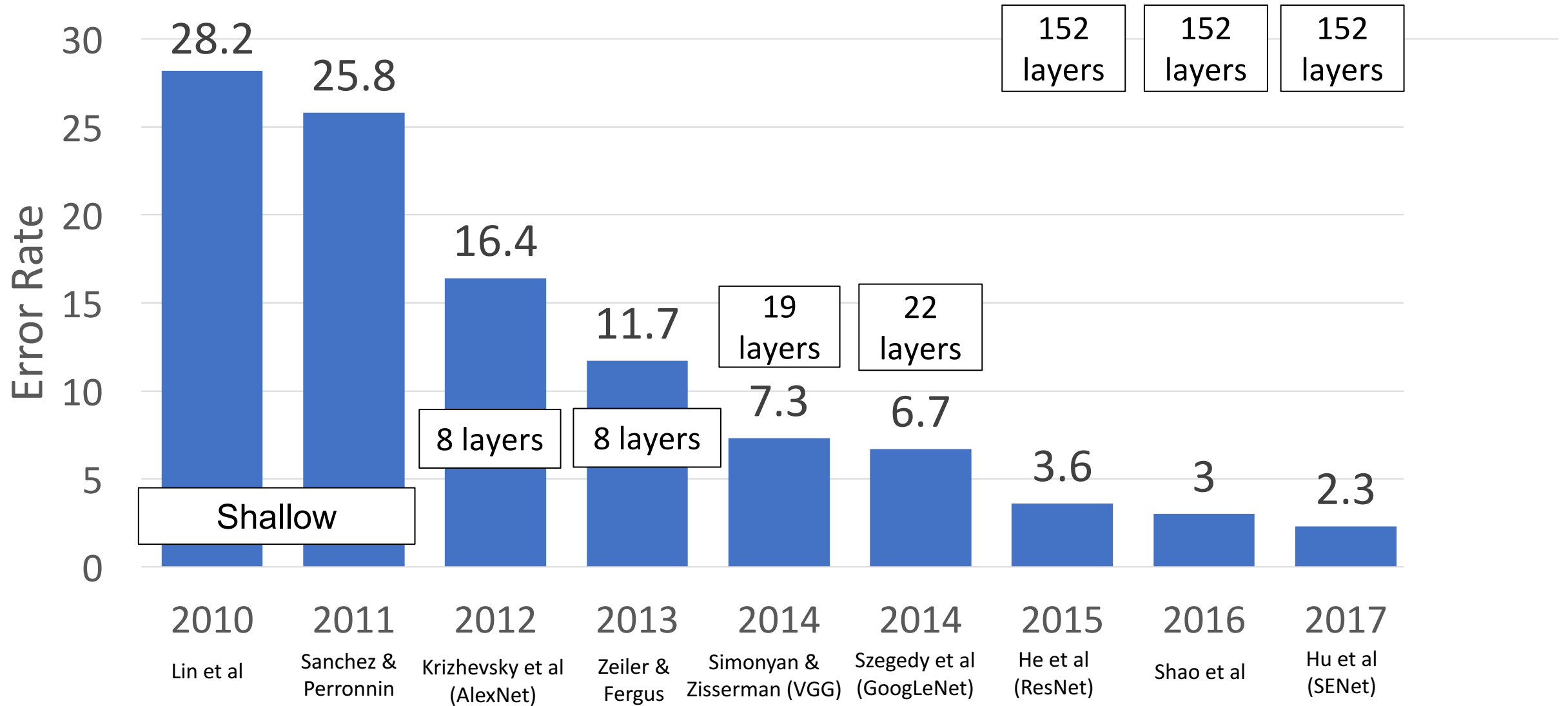
# ResNeXt: Maintain computation by adding groups!

Model	Groups	Group width	Top-1 Error
ResNet-50	1	64	23.9
ResNeXt-50	2	40	23
ResNeXt-50	4	24	22.6
ResNeXt-50	8	14	22.3
ResNeXt-50	32	4	22.2

Model	Groups	Group width	Top-1 Error
ResNet-101	1	64	22.0
ResNeXt-101	2	40	21.7
ResNeXt-101	4	24	21.4
ResNeXt-101	8	14	21.3
ResNeXt-101	32	4	21.2

Adding groups improves performance **with same computational complexity!**

# ImageNet Classification Challenge

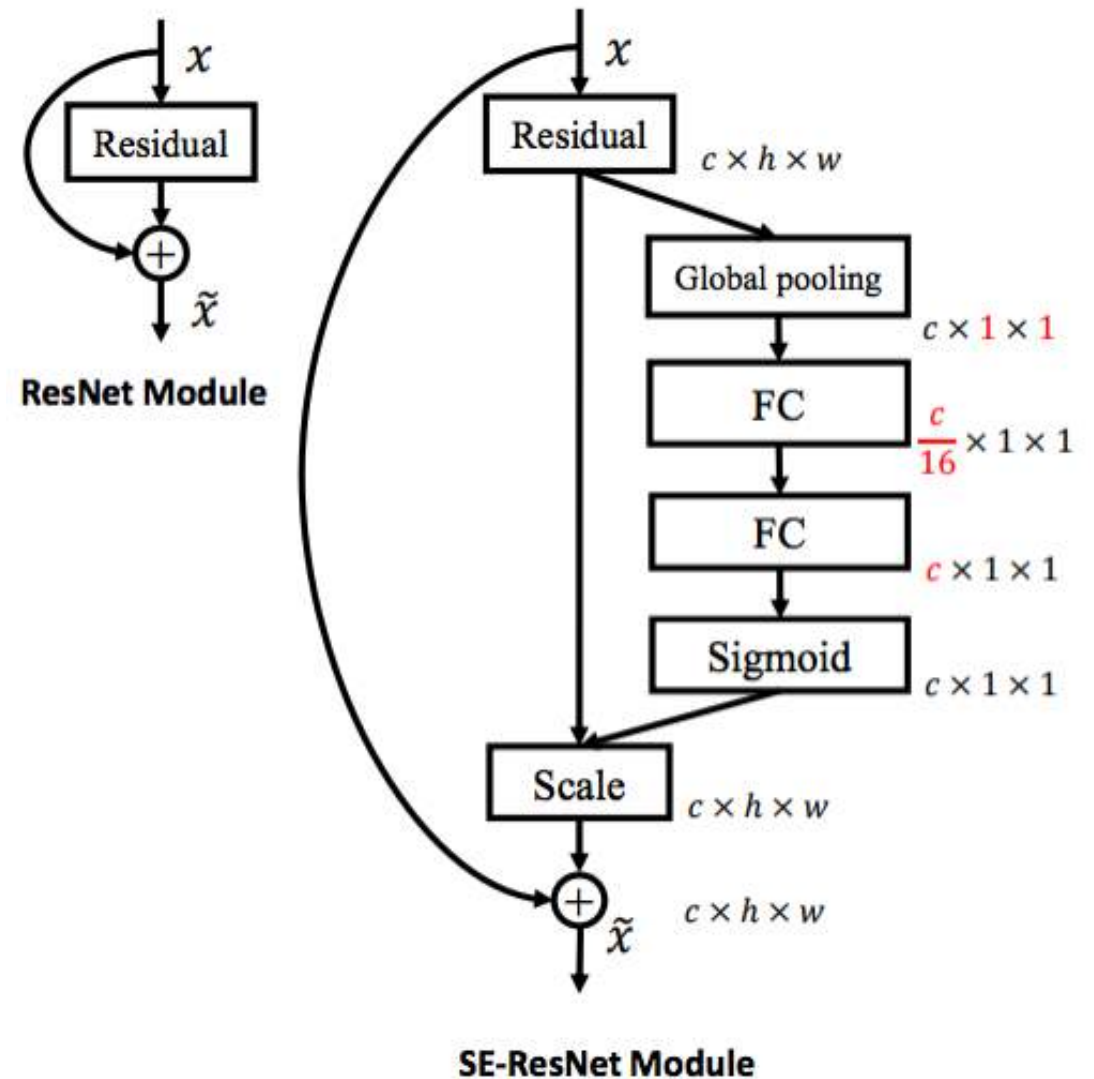


# Squeeze-and-Excitation Networks

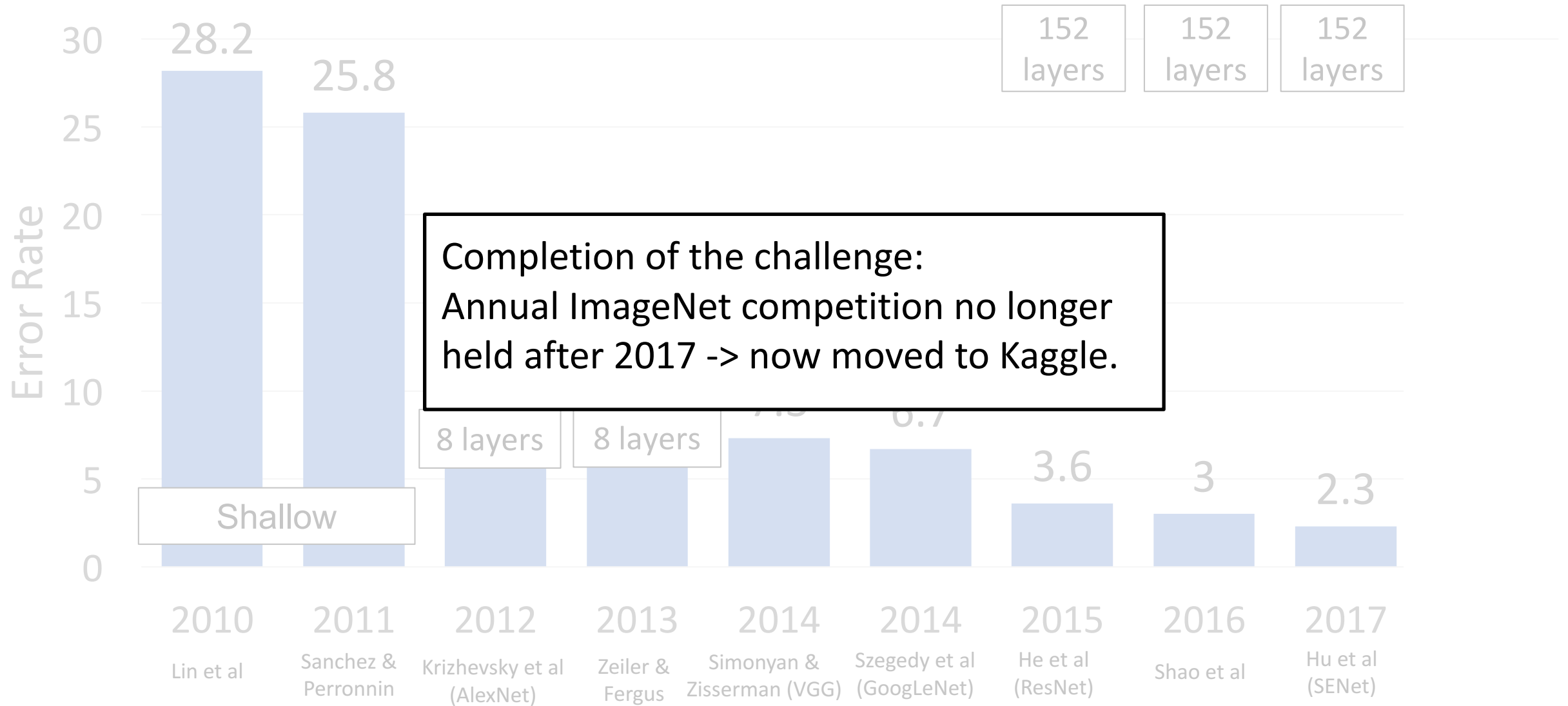
Adds a "Squeeze-and-excite" branch to each residual block that performs global pooling, full-connected layers, and multiplies back onto feature map

Adds **global context** to each residual block!

Won ILSVRC 2017 with ResNeXt-152-SE



# ImageNet Classification Challenge

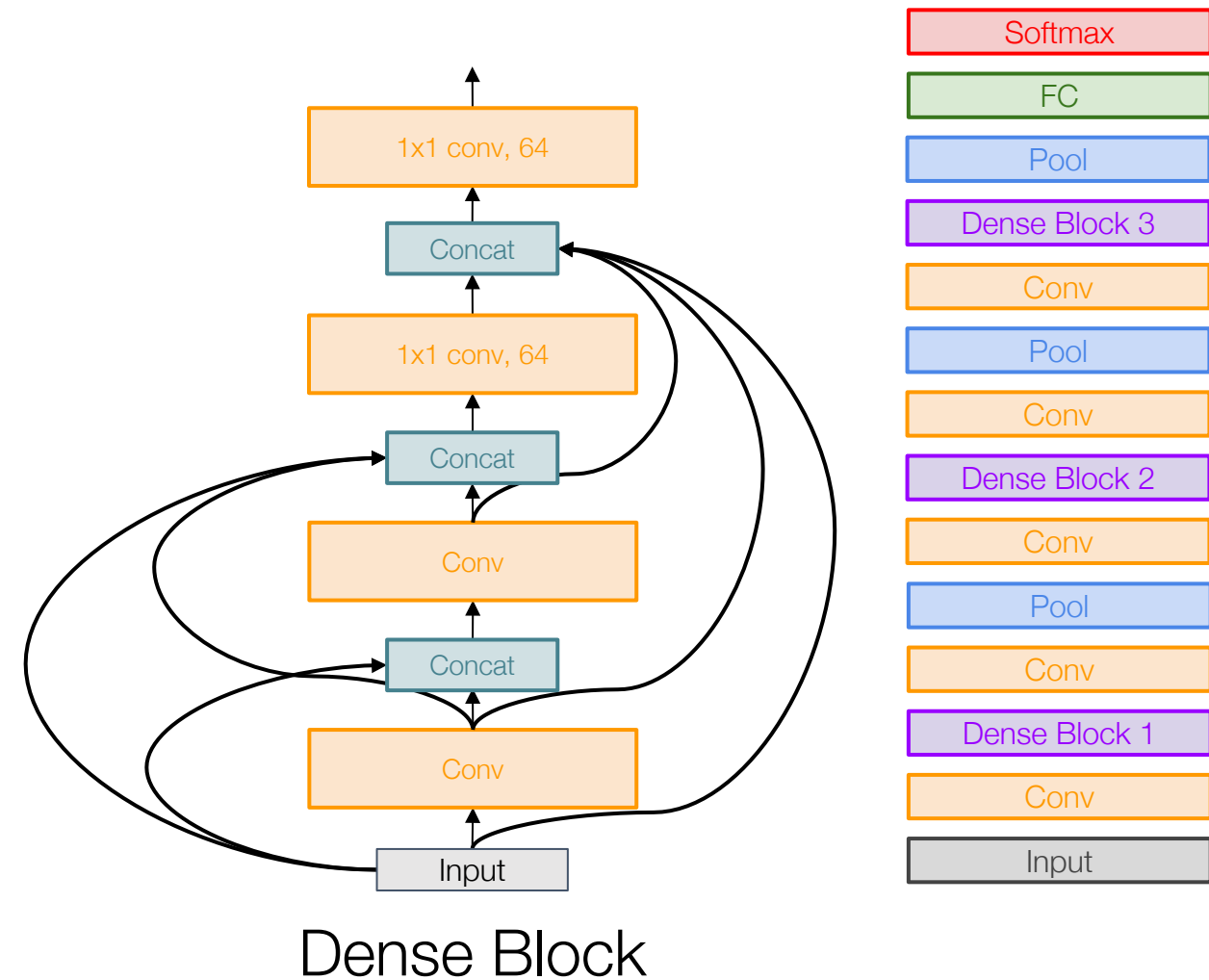




# Densely Connected Neural Networks

Dense blocks where each layer is connected to every other layer in feedforward fashion

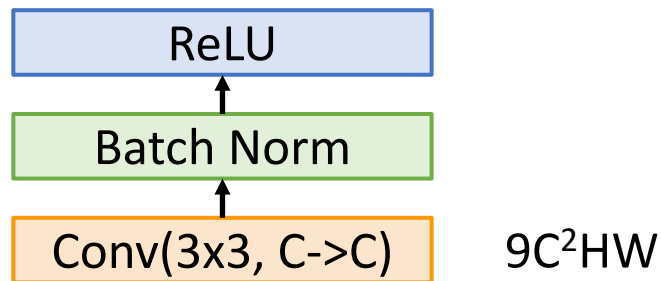
Alleviates vanishing gradient, strengthens feature propagation, encourages feature reuse



# MobileNets: Tiny Networks (For Mobile Devices)

## Standard Convolution Block

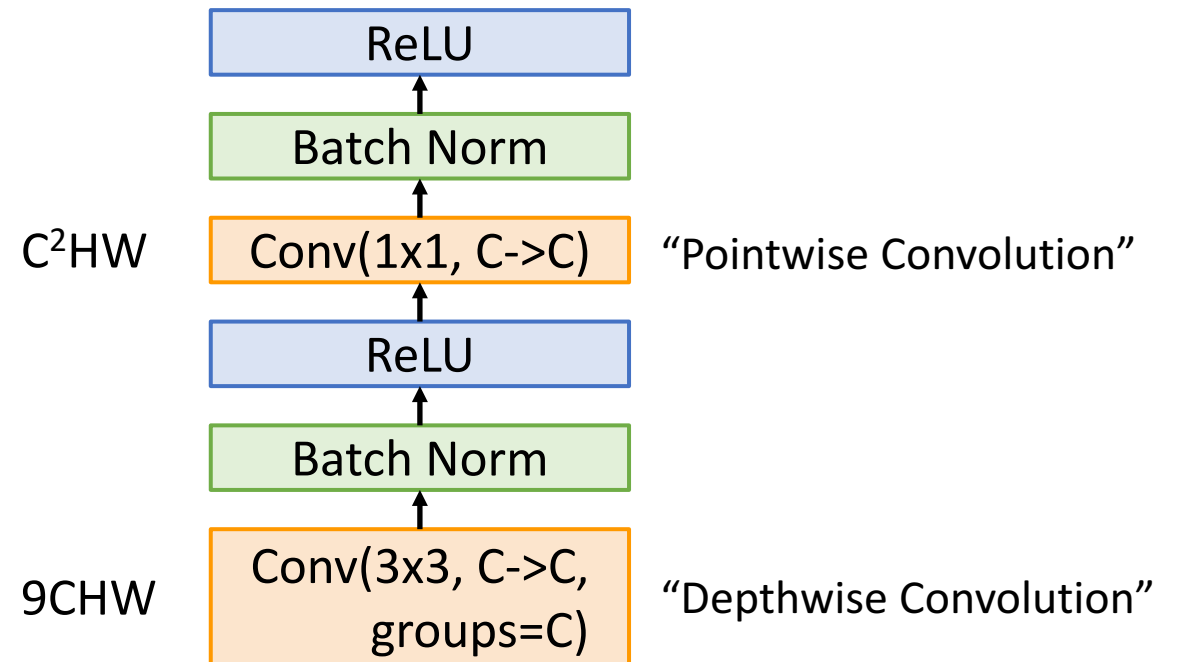
Total cost:  $9C^2HW$



$$\begin{aligned}\text{Speedup} &= 9C^2 / (9C + C^2) \\ &= 9C / (9 + C) \\ &\Rightarrow 9 \text{ (as } C \rightarrow \infty)\end{aligned}$$

## Depthwise Separable Convolution

Total cost:  $(9C + C^2)HW$



# MobileNets: Tiny Networks (For Mobile Devices)

Also related:

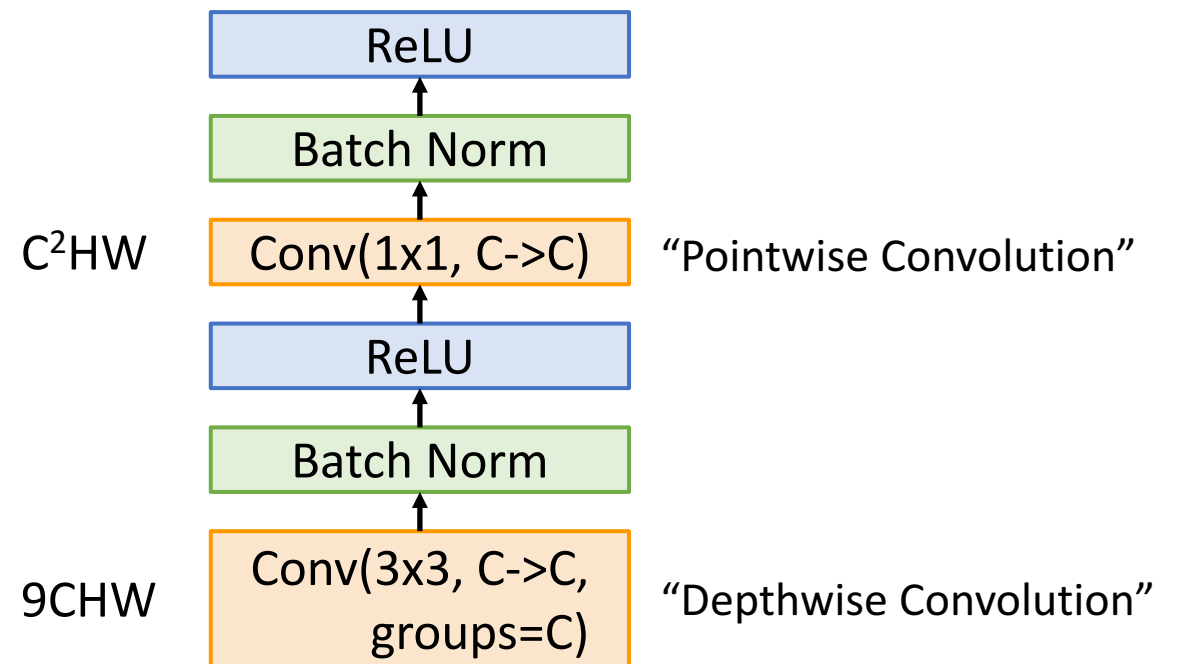
ShuffleNet: Zhang et al, CVPR 2018

MobileNetV2: Sandler et al, CVPR 2018

ShuffleNetV2: Ma et al, ECCV 2018

## Depthwise Separable Convolution

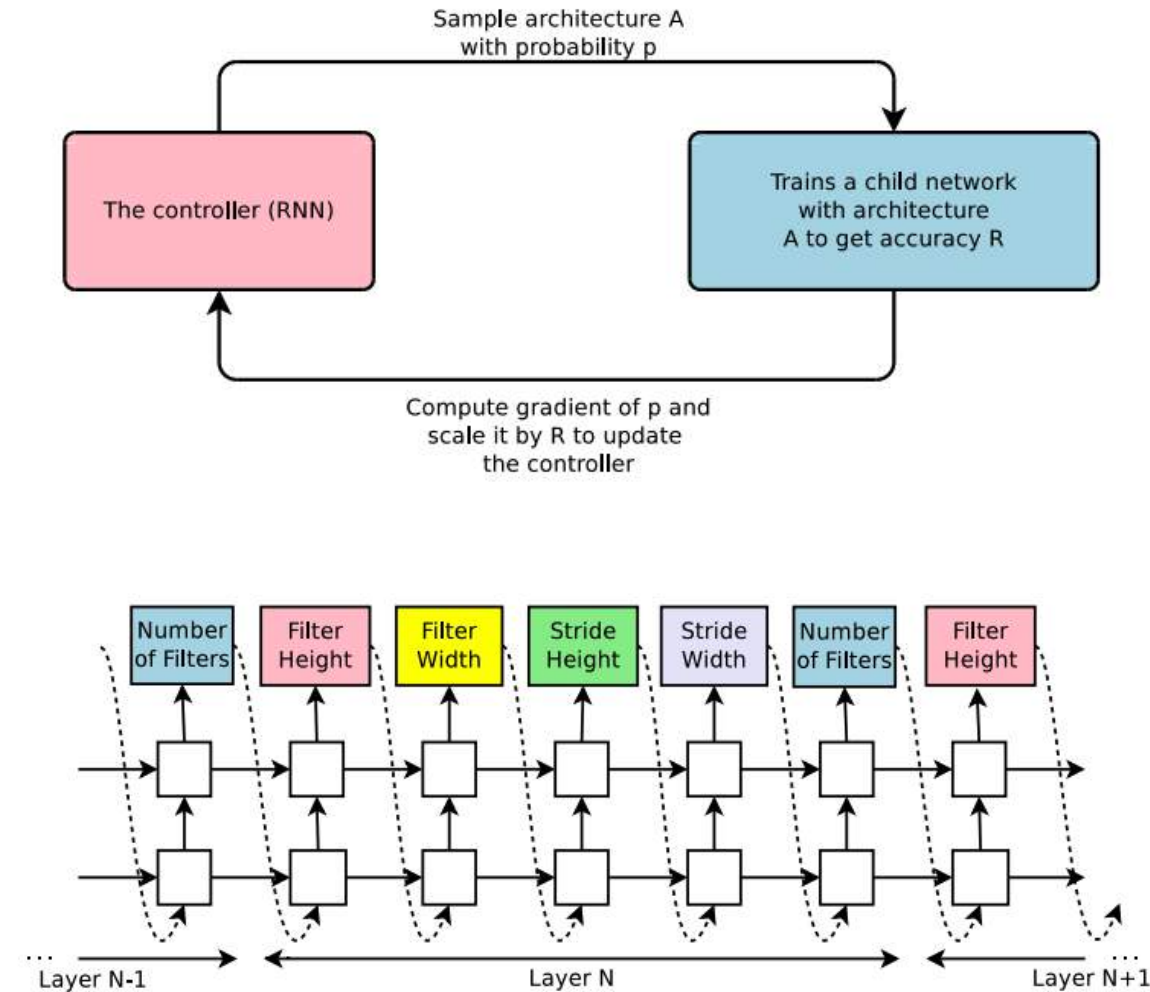
Total cost:  $(9C + C^2)HW$



# Neural Architecture Search

Designing neural network architectures is hard – let's automate it!

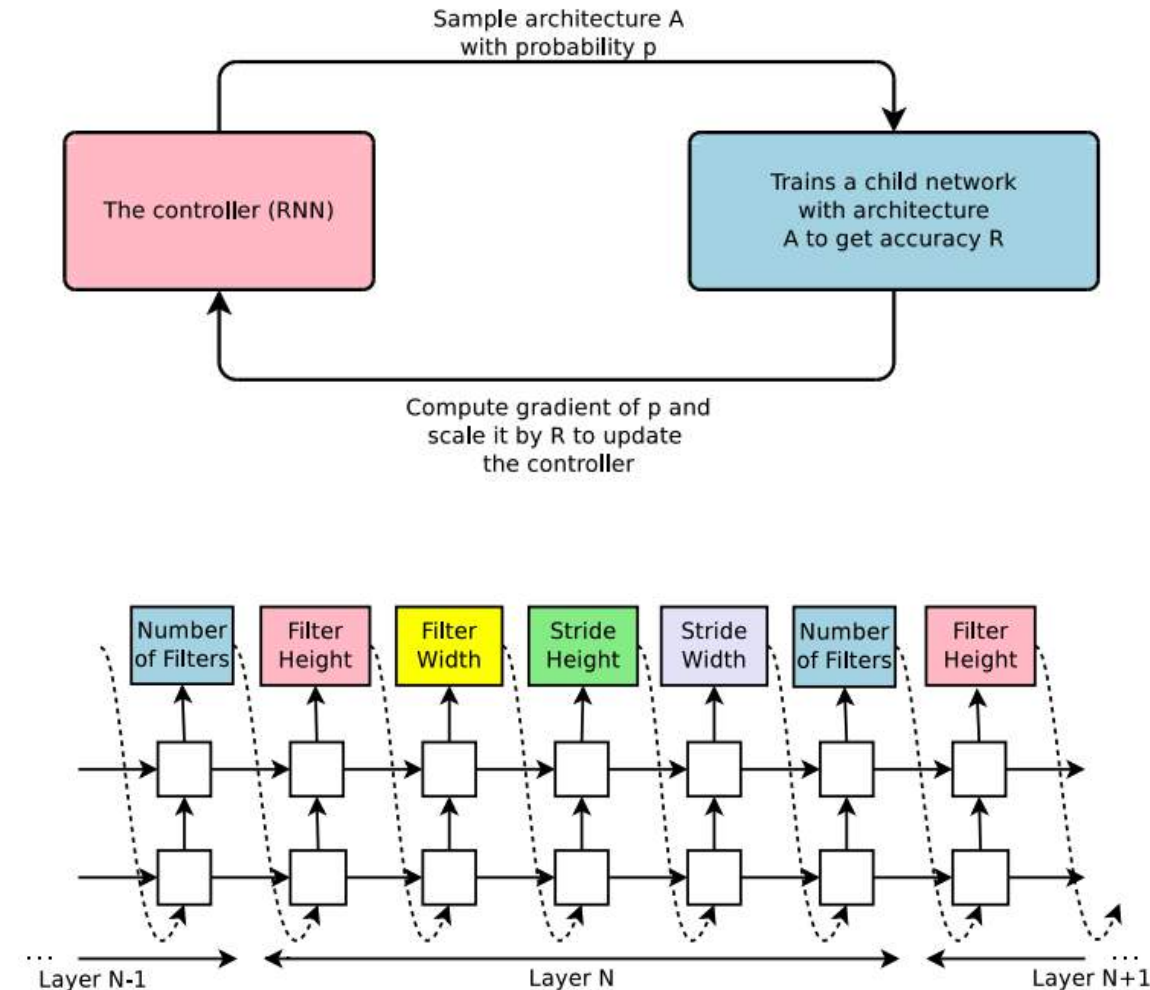
- One network (**controller**) outputs network architectures
- Sample **child networks** from controller and train them
- After training a batch of child networks, make a gradient step on controller network (Using **policy gradient**)
- Over time, controller learns to output good architectures!



# Neural Architecture Search

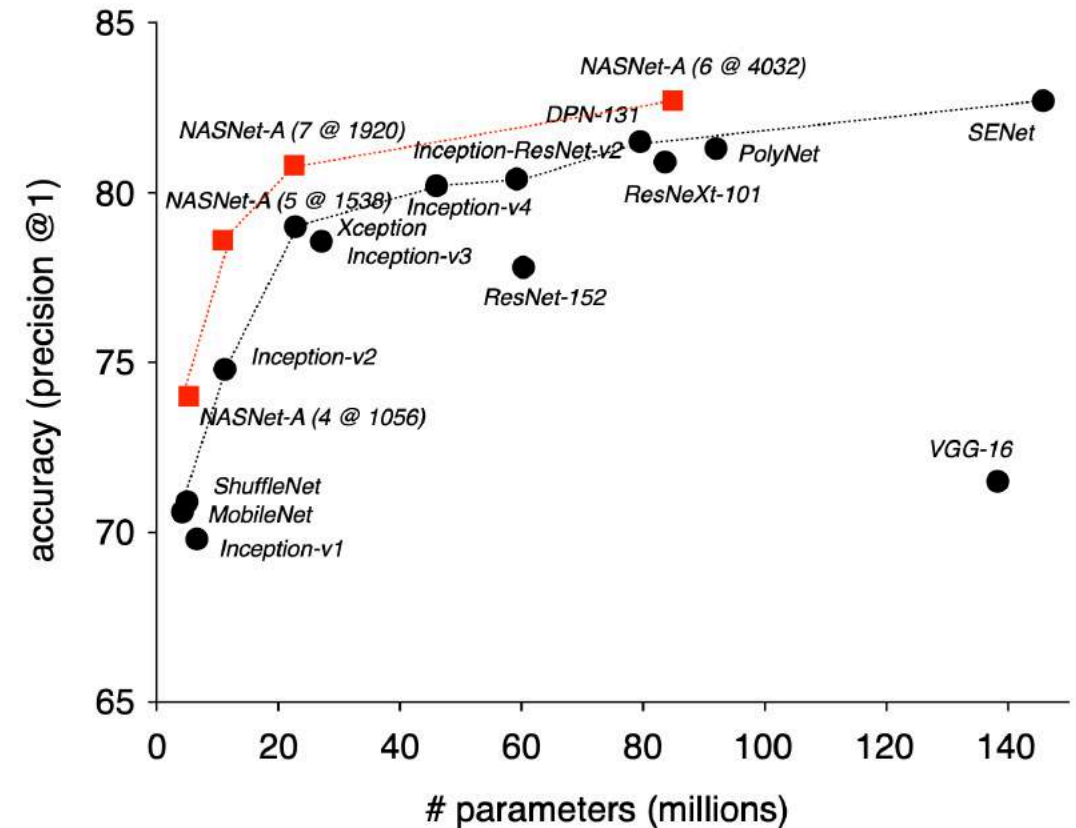
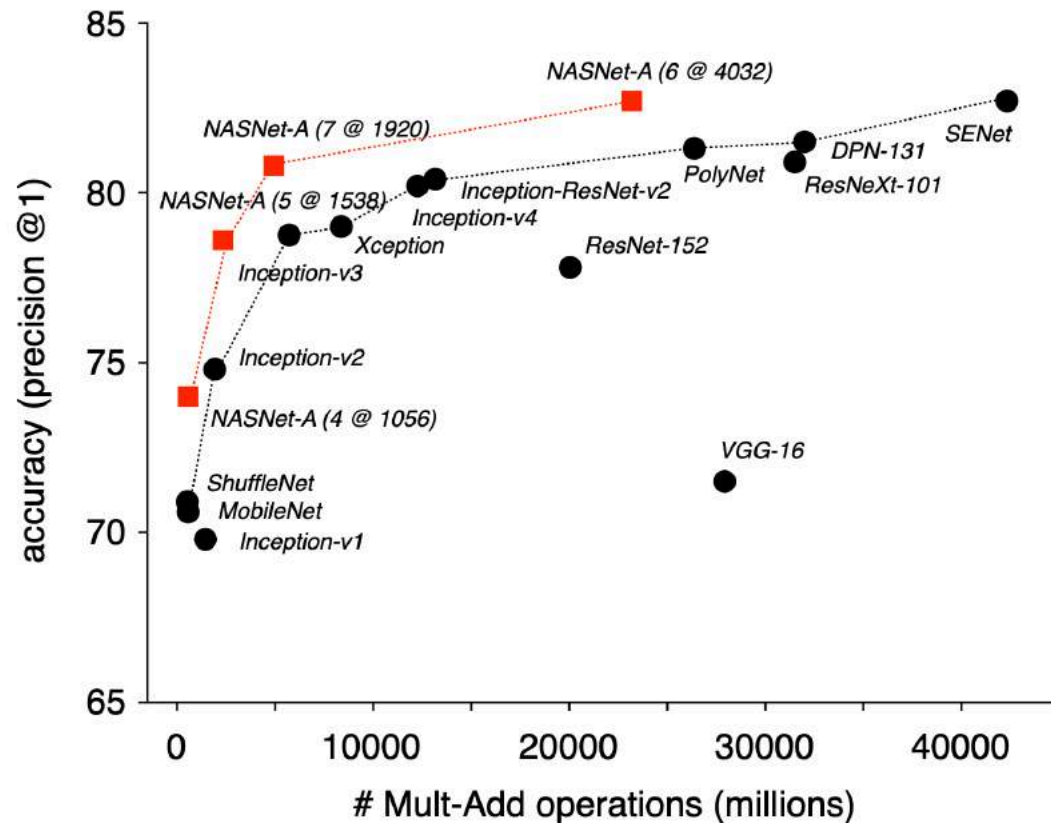
Designing neural network architectures is hard – let's automate it!

- One network (**controller**) outputs network architectures
- Sample **child networks** from controller and train them
- After training a batch of child networks, make a gradient step on controller network (Using **policy gradient**)
- Over time, controller learns to output good architectures!
- **VERY EXPENSIVE!! Each gradient step on controller requires training a batch of child models!**
- **Original paper trained on 800 GPUs for 28 days!**
- **Followup work has focused on efficient search**



# Neural Architecture Search

Neural architecture search can be used to find efficient CNN architectures!



# CNN Architectures Summary

Early work (AlexNet -> ZFNet -> VGG) shows that **bigger networks work better**

GoogLeNet one of the first to focus on **efficiency** (aggressive stem, 1x1 bottleneck convolutions, global avg pool instead of FC layers)

ResNet showed us how to train extremely deep networks – limited only by GPU memory! Started to show diminishing returns as networks got bigger

After ResNet: **Efficient networks** became central: how can we improve the accuracy without increasing the complexity?

Lots of **tiny networks** aimed at mobile devices: MobileNet, ShuffleNet, etc

**Neural Architecture Search** promises to automate architecture design

# Which Architecture should I use?

**Don't be a hero.** For most problems you should use an off-the-shelf architecture; don't try to design your own!

If you just care about accuracy, **ResNet-50** or **ResNet-101** are great choices

If you want an efficient network (real-time, run on mobile, etc) try **MobileNets** and **ShuffleNets**



# Next Time: Deep Learning Hardware and Software