Lecture 13: Attention

Assignment 4

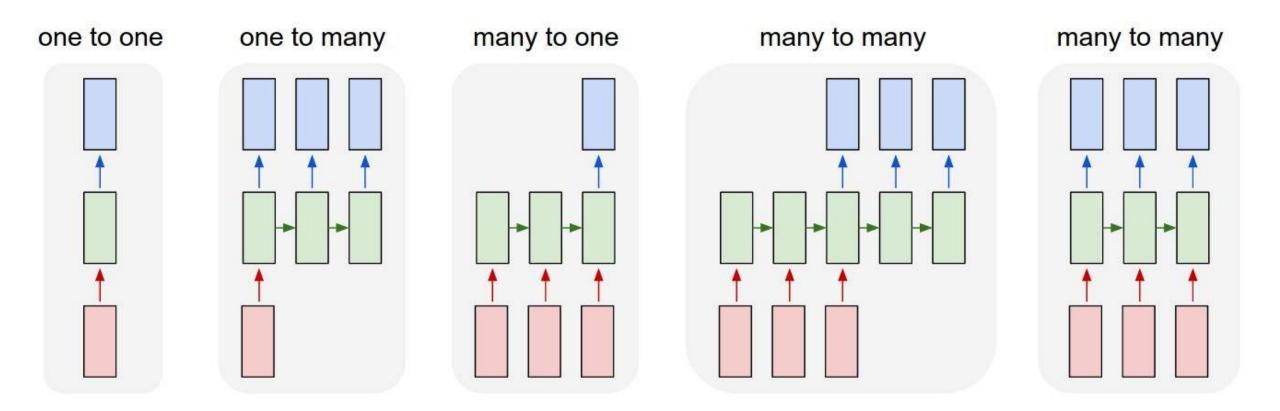
A4 will be released today or tomorrow

Due 2 weeks from the time it is released

Will cover:

- PyTorch autograd
- Residual networks
- Recurrent neural networks
- Attention
- Feature visualization
- Style transfer
- Adversarial examples

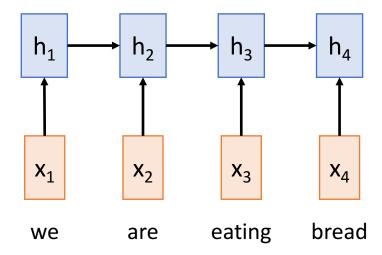
Last Time: Recurrent Neural Networks



Input: Sequence $x_1, ... x_T$

Output: Sequence $y_1, ..., y_{T'}$

Encoder: $h_t = f_W(x_t, h_{t-1})$

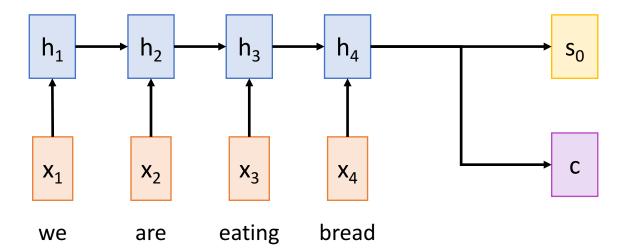


Input: Sequence $x_1, ... x_T$

Output: Sequence $y_1, ..., y_{T'}$

Encoder: $h_t = f_W(x_t, h_{t-1})$

From final hidden state predict: Initial decoder state s_0 Context vector c (often $c=h_T$)



Input: Sequence $x_1, ... x_T$

Output: Sequence $y_1, ..., y_{T'}$

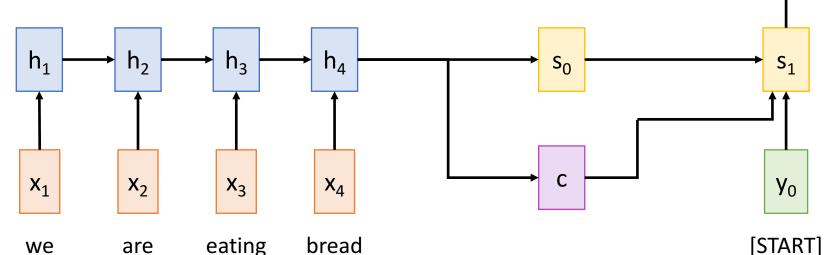
Decoder: $s_t = g_U(y_{t-1}, h_{t-1}, c)$

estamos

y₁

Encoder: $h_t = f_W(x_t, h_{t-1})$

From final hidden state predict: Initial decoder state s_0 Context vector c (often $c=h_T$)



Input: Sequence $x_1, ... x_T$

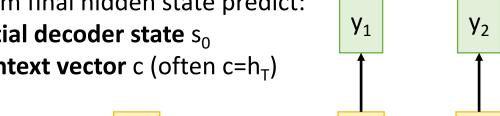
Output: Sequence $y_1, ..., y_{T'}$

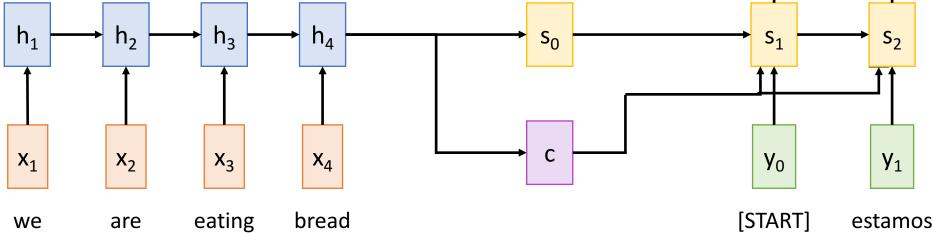
Decoder: $s_t = g_{11}(y_{t-1}, h_{t-1}, c)$

comiendo estamos

Encoder: $h_t = f_W(x_t, h_{t-1})$

From final hidden state predict: **Initial decoder state** s₀ **Context vector** c (often $c=h_T$)





Input: Sequence $x_1, ... x_T$

Output: Sequence $y_1, ..., y_{T'}$

Decoder: $s_t = g_U(y_{t-1}, h_{t-1}, c)$

comiendo [STOP] estamos pan From final hidden state predict: y_1 **y**₂ **y**₃ **y**₄ **Initial decoder state** s₀ **Encoder:** $h_t = f_W(x_t, h_{t-1})$ **Context vector** c (often $c=h_T$) h_4 h_1 h_2 h_3 S_2 S_3 S_0 X_2 X_1 X_3 X_4 **y**₁ y₀ **y**₂ **y**₃ eating bread [START] comiendo estamos we are pan

Input: Sequence $x_1, ... x_T$

Output: Sequence $y_1, ..., y_{T'}$

Decoder: $s_t = g_U(y_{t-1}, h_{t-1}, c)$

comiendo [STOP] estamos pan From final hidden state predict: y_1 **y**₂ **y**₃ **y**₄ **Initial decoder state** s₀ Encoder: $h_t = f_W(x_t, h_{t-1})$ **Context vector** c (often $c=h_T$) h_4 h_1 h_2 h_3 S_2 S_3 S_0 X_2 X_1 X_3 X_4 **y**₁ y₀ **y**₂ **y**₃ **Problem: Input sequence** [START] eating bread comiendo estamos we are pan bottlenecked through fixed-

Sutskever et al, "Sequence to sequence learning with neural networks", NeurIPS 2014

sized vector. What if T=1000?

Input: Sequence $x_1, ... x_T$

Output: Sequence $y_1, ..., y_{T'}$

Decoder: $s_t = g_U(y_{t-1}, h_{t-1}, c)$

Idea: use new context vector

at each step of decoder!

comiendo [STOP] estamos pan From final hidden state predict: **y**₁ **y**₂ **y**₃ **y**₄ **Initial decoder state** s₀ Encoder: $h_t = f_W(x_t, h_{t-1})$ **Context vector** c (often $c=h_T$) h_4 h_1 h_2 h_3 S_4 S_2 S_3 S_0 X_1 X_2 X_3 X_4 **y**₁ y₀ **y**₂ **y**₃ **Problem: Input sequence** [START] eating bread estamos comiendo we are pan

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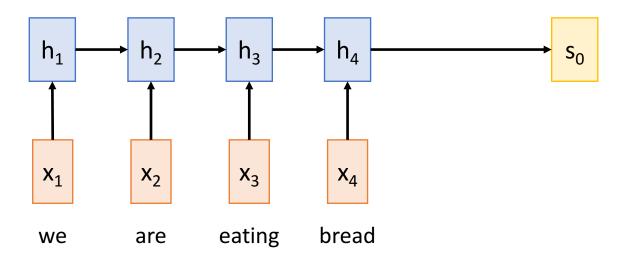
sized vector. What if T=1000?

Input: Sequence $x_1, ... x_T$

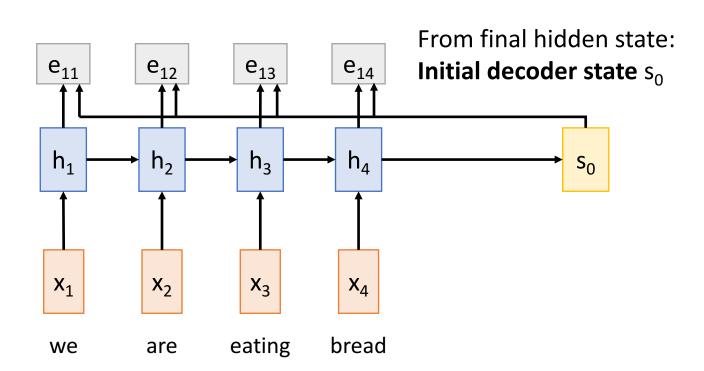
Output: Sequence $y_1, ..., y_{T'}$

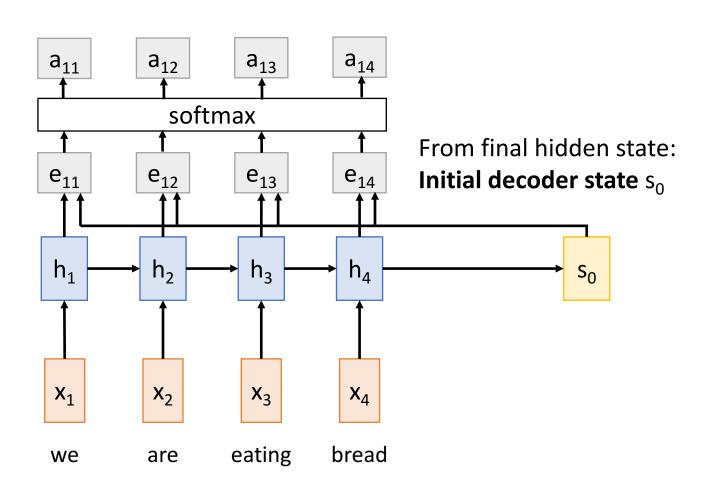
Encoder: $h_t = f_W(x_t, h_{t-1})$

From final hidden state: **Initial decoder state** s₀



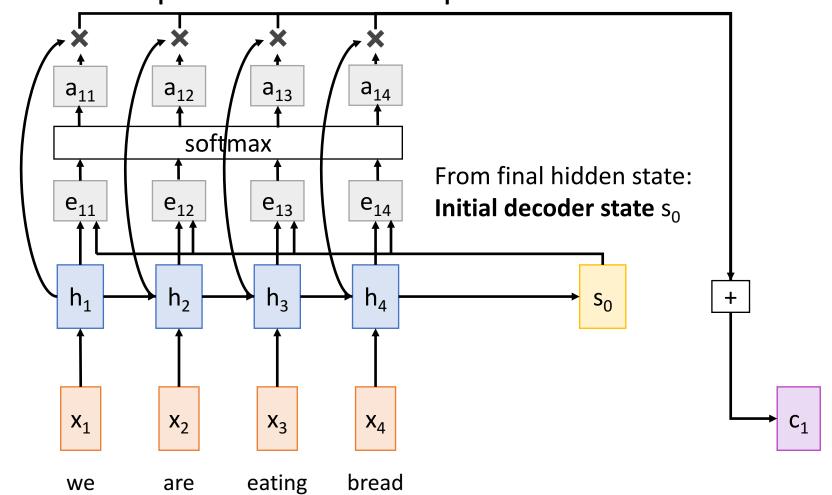
Compute (scalar) **alignment scores** $e_{t,i} = f_{att}(s_{t-1}, h_i)$ (f_{att} is an MLP)





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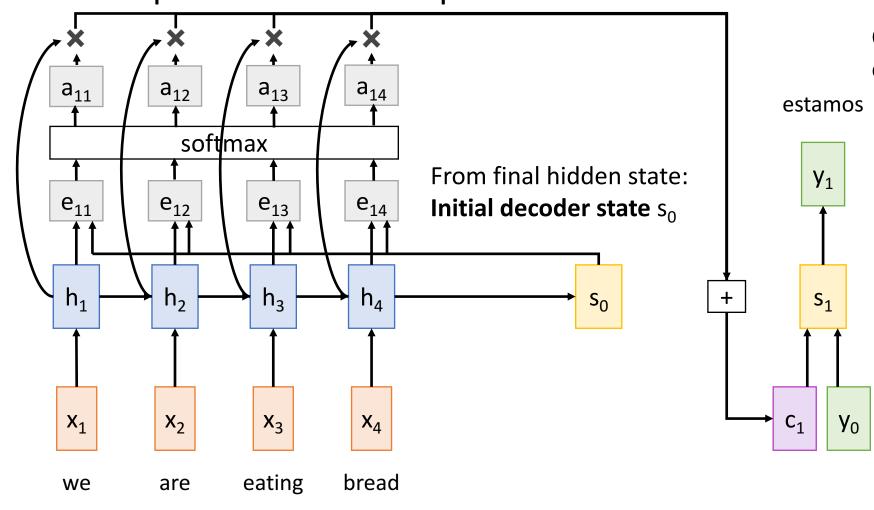
Normalize alignment scores to get **attention weights** $0 < a_{t,i} < 1$ $\sum_{i} a_{t,i} = 0$



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Compute context vector as linear combination of hidden states $c_t = \sum_i a_{t,i} h_i$



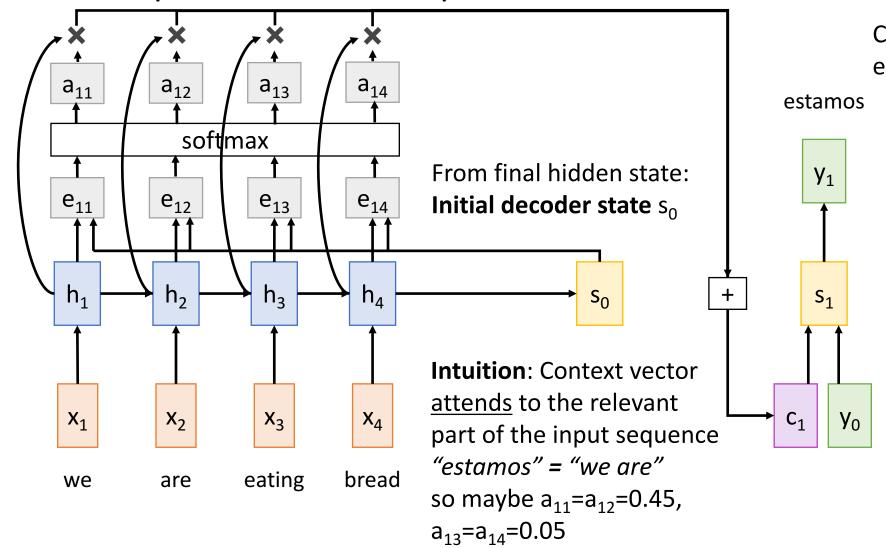
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Use context vector in decoder: $s_t = g_U(y_{t-1}, h_{t-1}, c_t)$

This is all differentiable! Do not supervise attention weights – backprop through everything



Bahdanau et al, "Neural machine translation by jointly learning to align and translate", ICLR 2015

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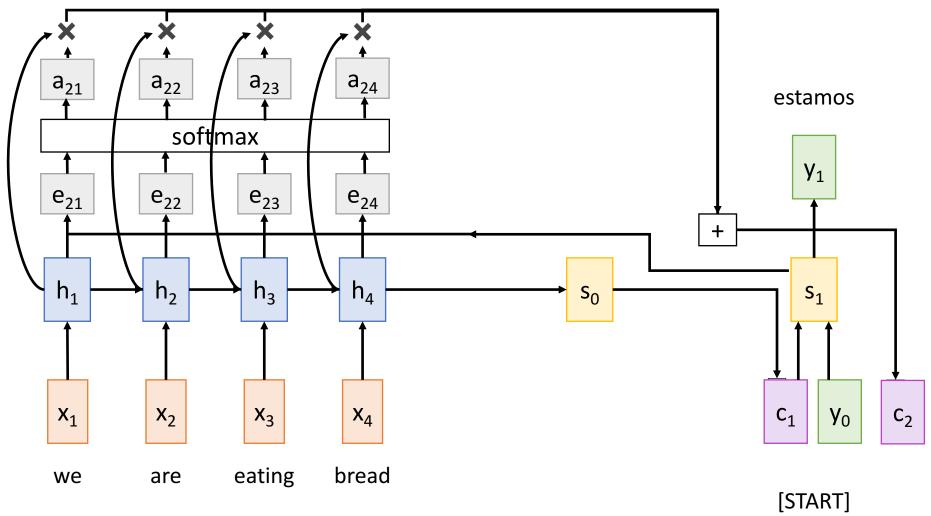
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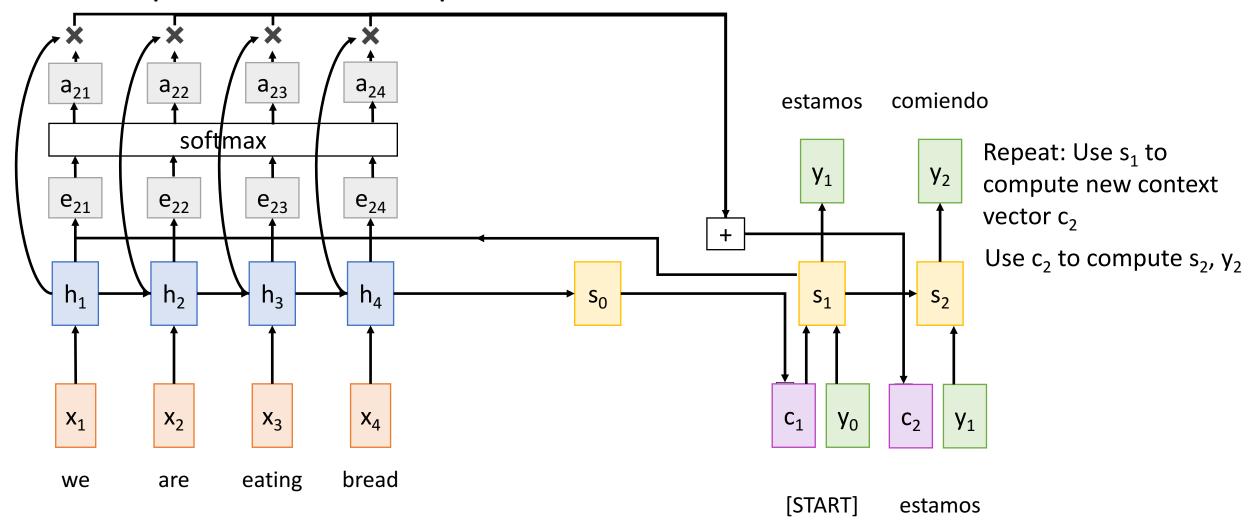
Compute context vector as linear combination of hidden states $c_t = \sum_i a_{t,i} h_i$

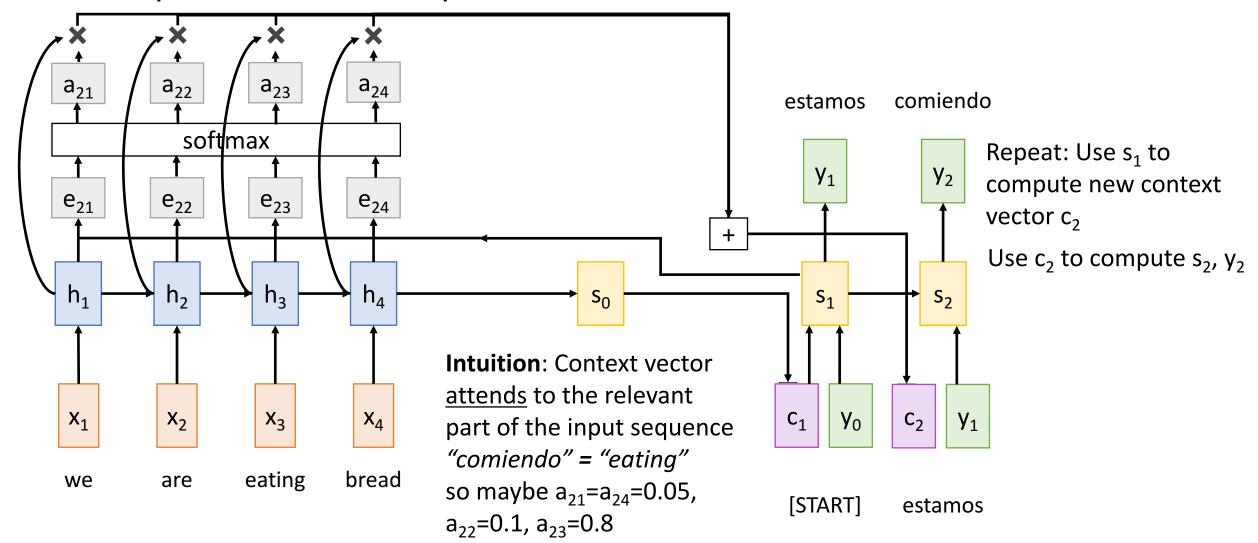
Use context vector in decoder: $s_t = g_U(y_{t-1}, h_{t-1}, c_t)$

This is all differentiable! Do not supervise attention weights – backprop through everything

Repeat: Use s_1 to compute new context vector c_2



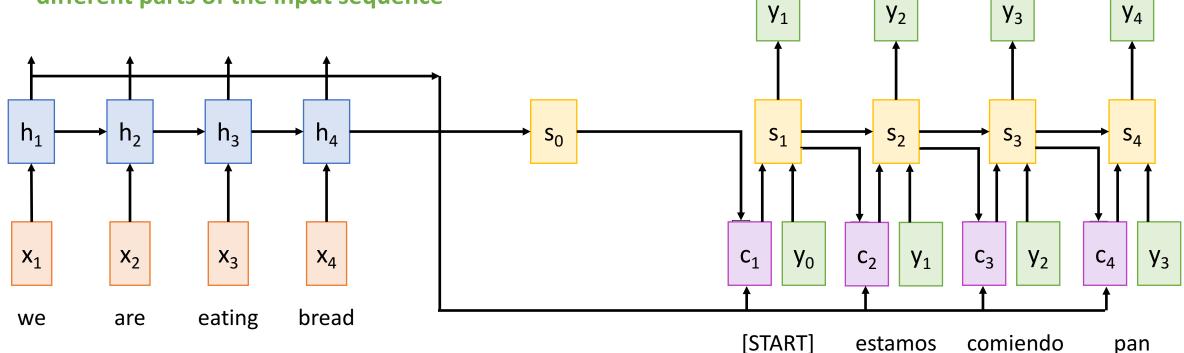




Use a different context vector in each timestep of decoder

Input sequence not bottlenecked through single vector

At each timestep of decoder, context vector "looks at" different parts of the input sequence



comiendo

pan

estamos

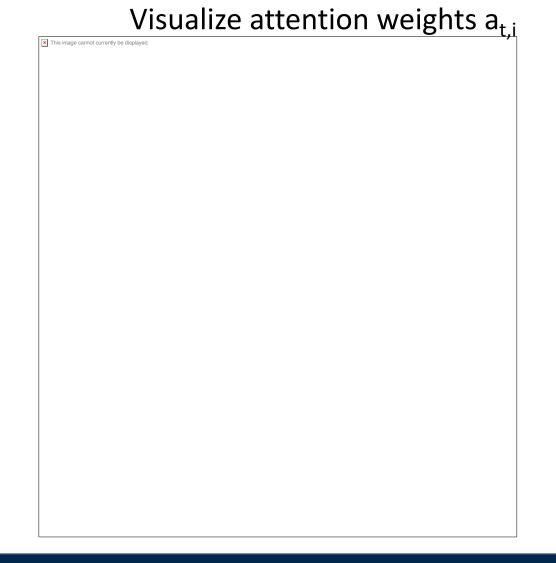
[STOP]

Example: English to French

translation

Input: "The agreement on the European Economic Area was signed in August 1992."

Output: "L'accord sur la zone économique européenne a été signé en août 1992."

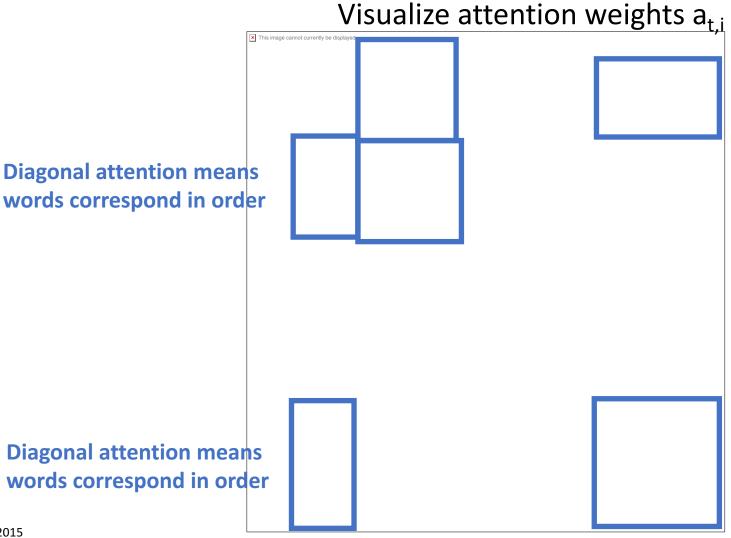


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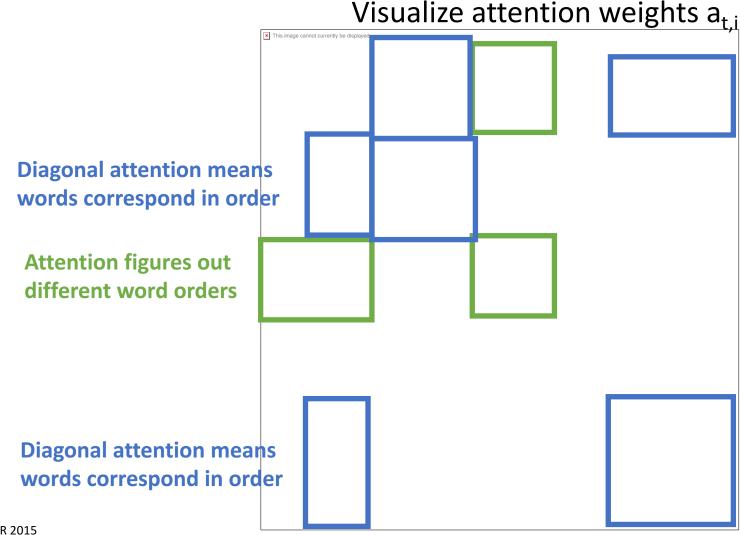


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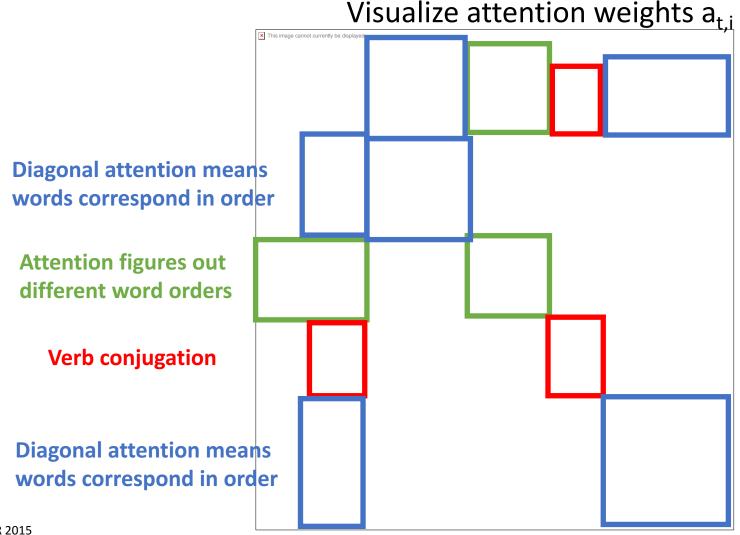


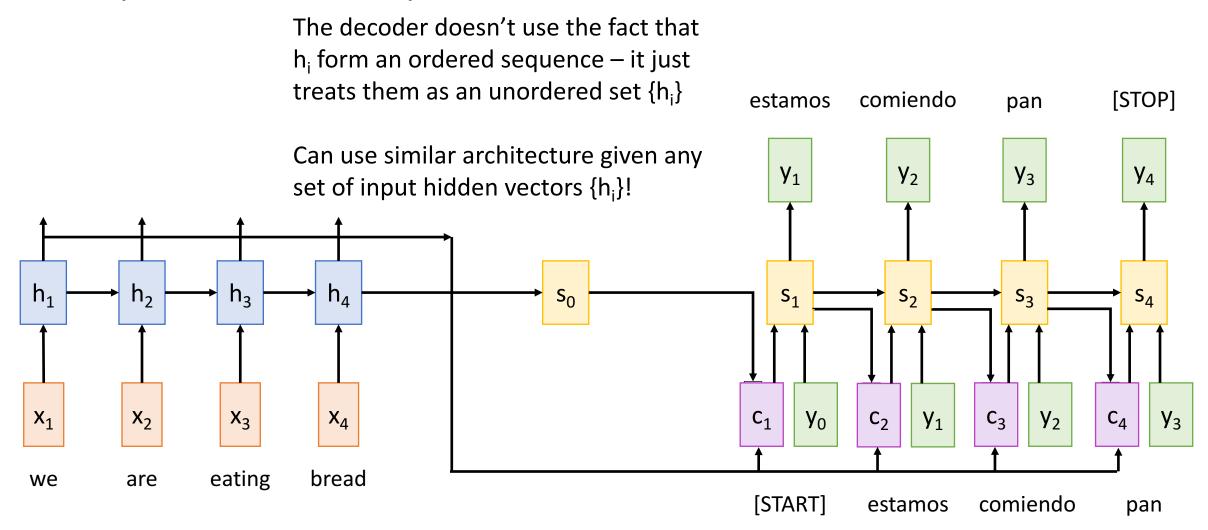
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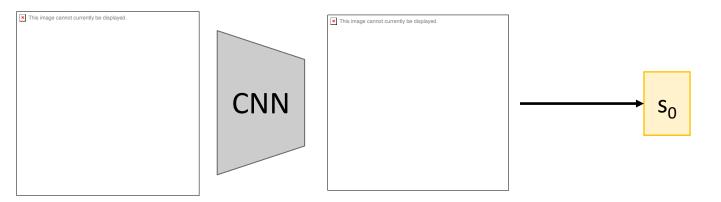
translation

Input: "The agreement on the European Economic Area was signed in August 1992."

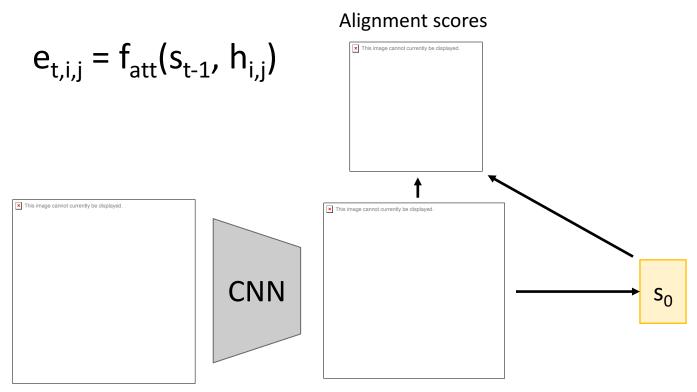
Output: "L'accord sur la zone économique européenne a été signé en août 1992."



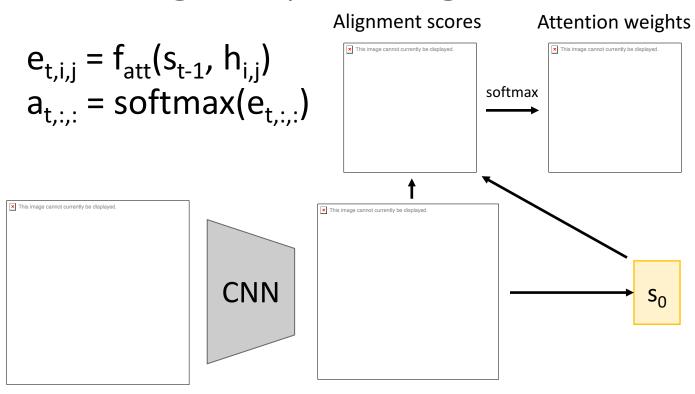




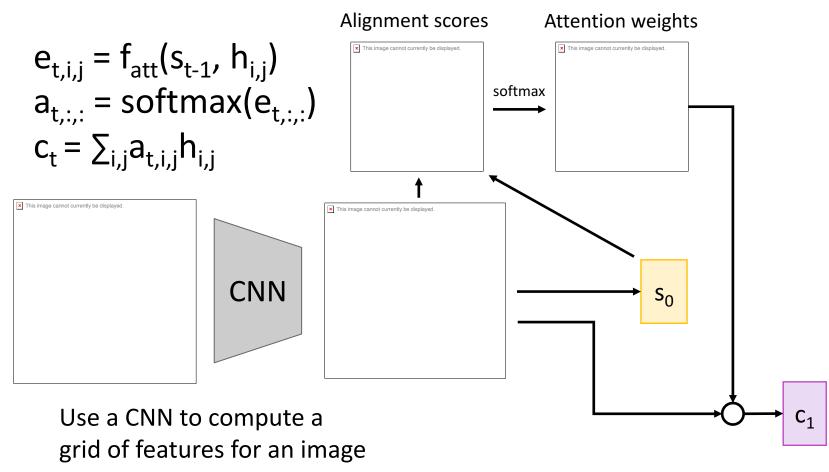
Use a CNN to compute a grid of features for an image

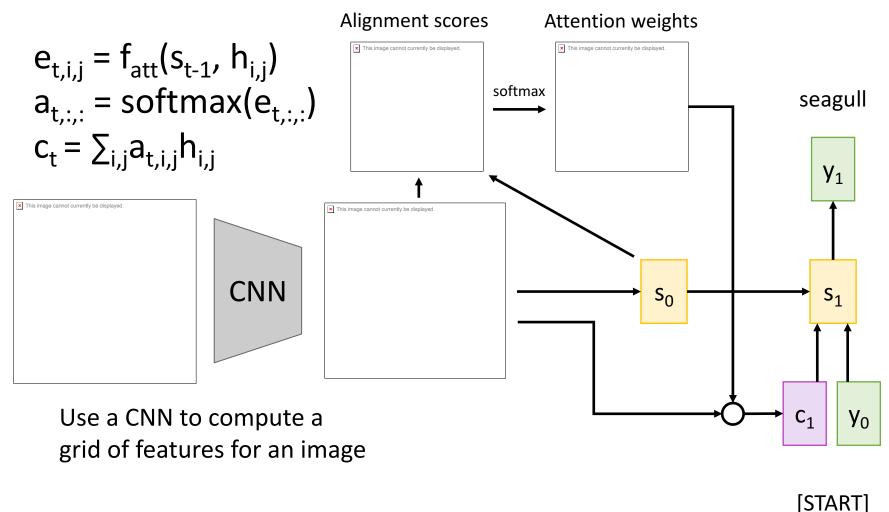


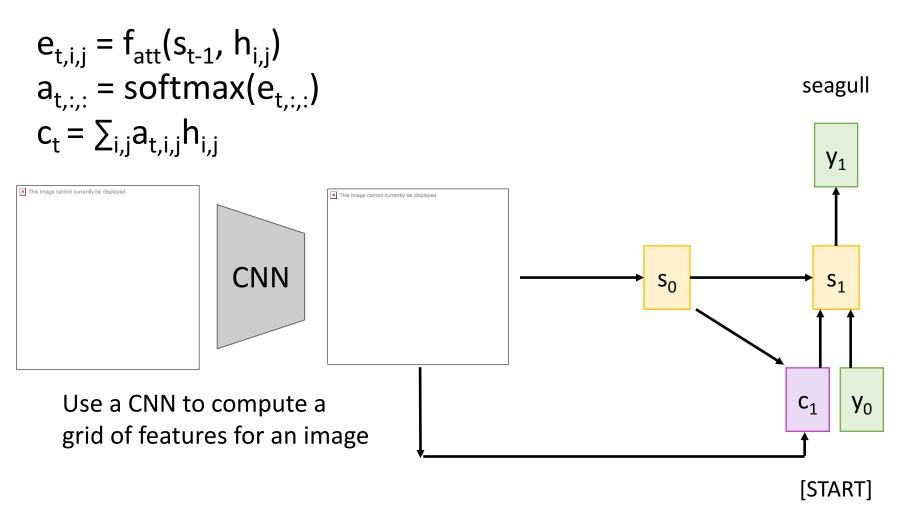
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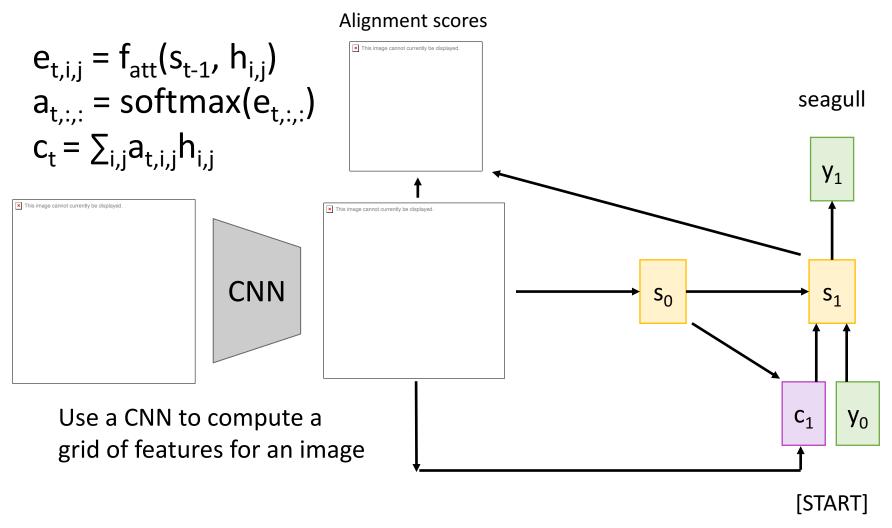


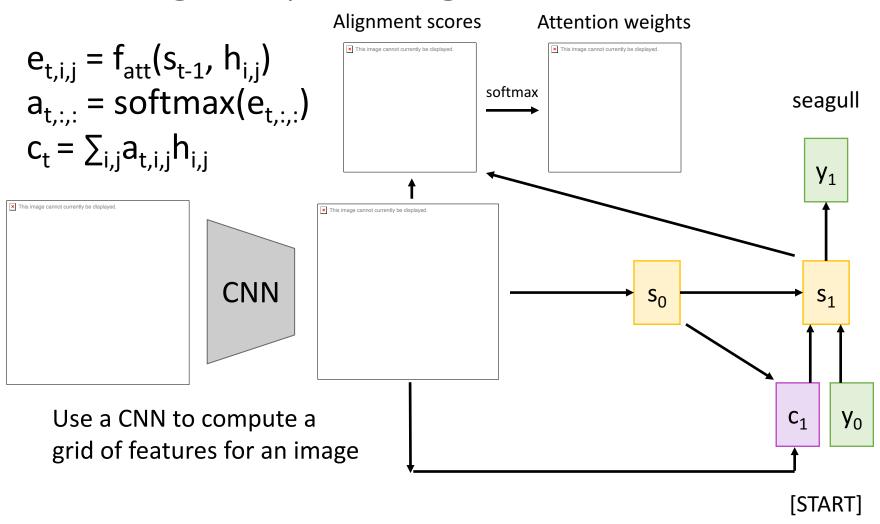
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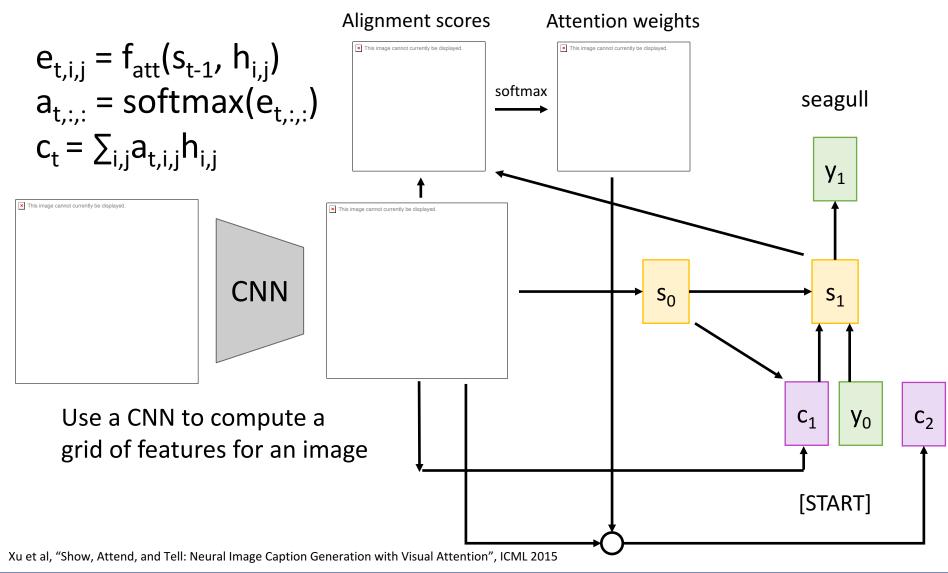


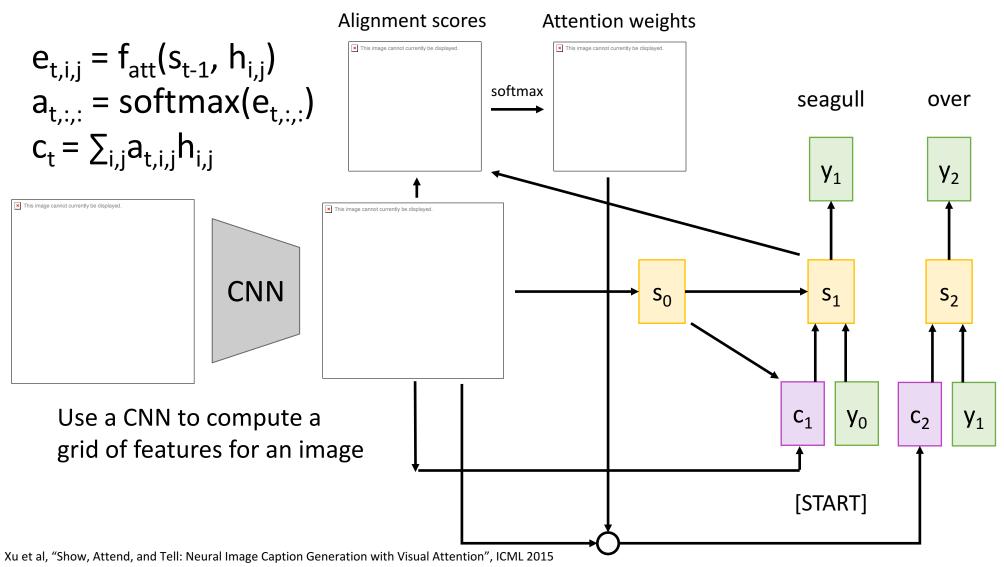


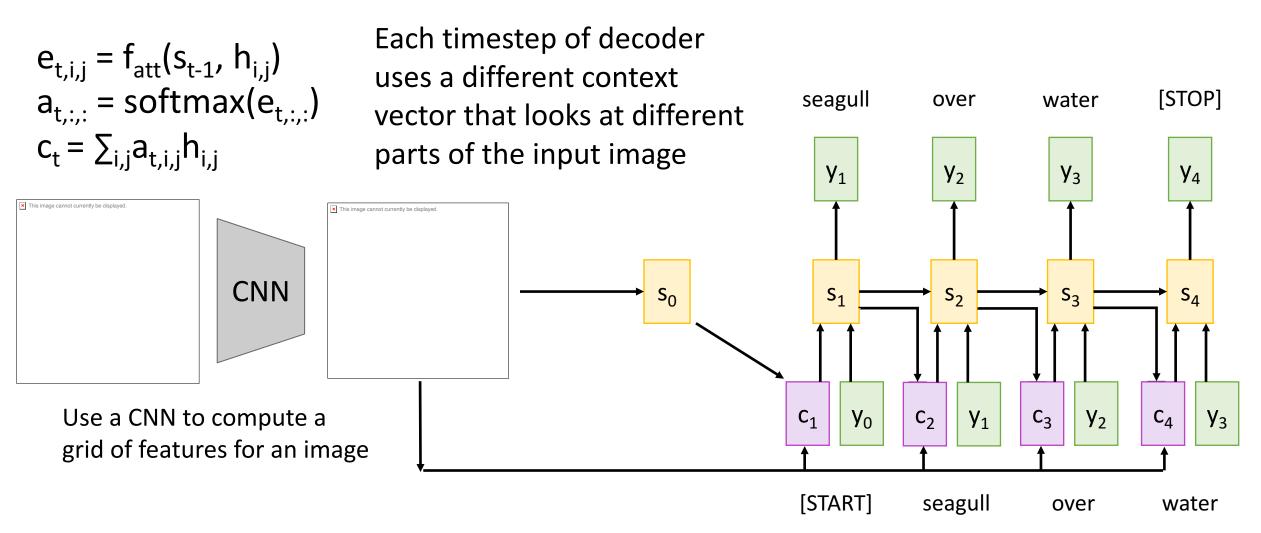












h _{1,1}	h _{1,2}	h _{1,3}
h _{2,1}	h _{2,2}	h _{2,3}
h _{3,1}	h _{3,2}	h _{3,3}

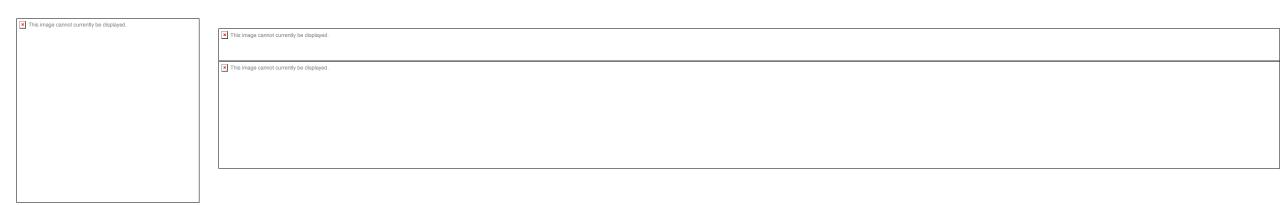
e _{1,1,1}	e _{1,1,2}	e _{1,1,3}
e _{1,2,1}	e _{1,2,2}	e _{1,2,3}
e _{1,3,1}	e _{1,3,2}	e _{1,3,3}

a _{1,1,1}	a _{1,1,2}	a _{1,1,3}
a _{1,2,1}	a _{1,2,2}	a _{1,2,3}
a _{1,3,1}	a _{1,3,2}	a _{1,3,3}

e _{2,1,1}	e _{2,1,2}	e _{2,1,3}
e _{2,2,1}	e _{2,2,2}	e _{2,2,3}
e _{2,3,1}	e _{2,3,2}	e _{2,3,3}

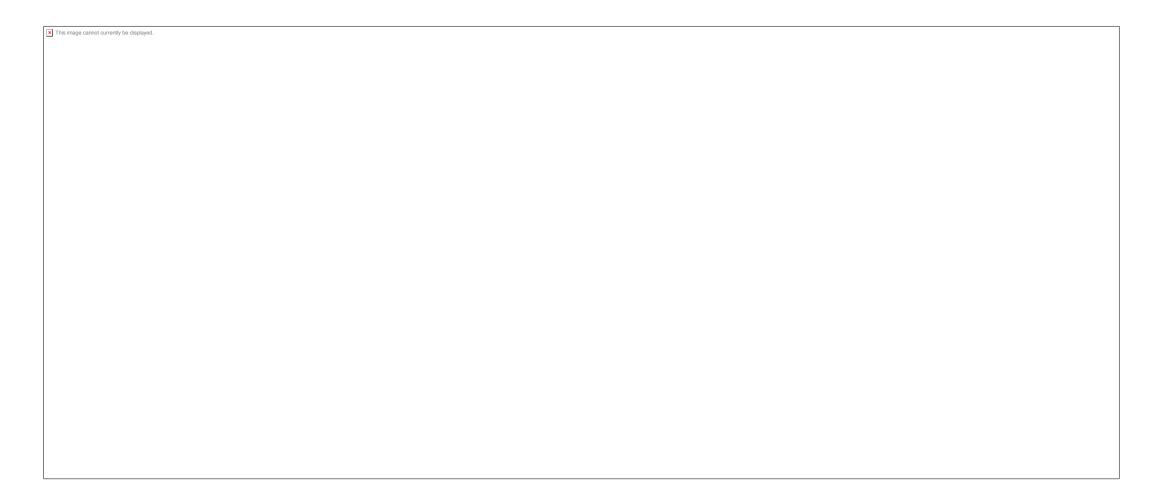
a _{2,1,1}	a _{2,1,2}	a _{2,1,3}
a _{2,2,1}	a _{2,2,2}	a _{2,2,3}
a _{2,3,1}	a _{2,3,2}	a _{2,3,3}

Image Captioning with RNNs and Attention



Xu et al, "Show, Attend, and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015

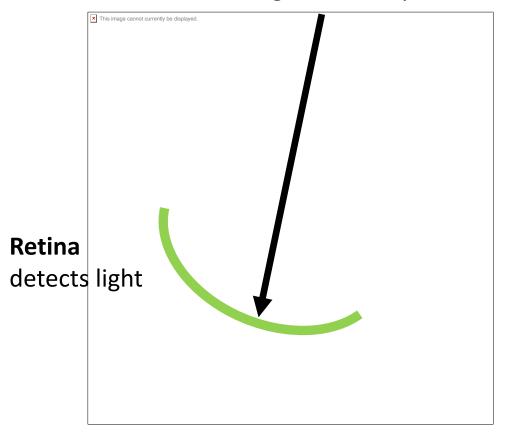
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Human Vision: Fovea

Light enters eye

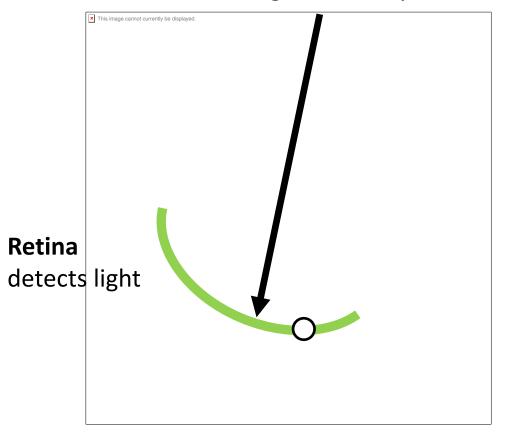


Eye image is licensed under CC A-SA 3.0 Unported (added black arrow and green arc)

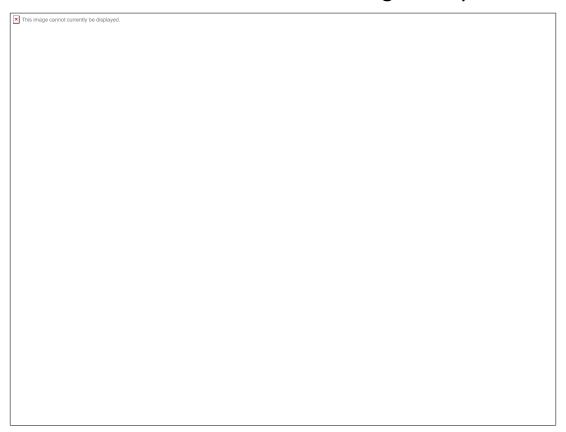
Acuity graph is licensed under CC A-SA 3.0 Unported

Human Vision: Fovea

Light enters eye



The **fovea** is a tiny region of the retina that can see with high acuity

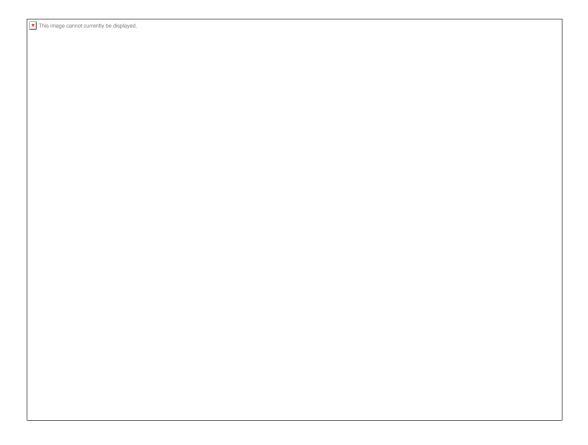


Eye image is licensed under CC A-SA 3.0 Unported (added black arrow, green arc, and white circle)

Acuity graph is licensed under CC A-SA 3.0 Unported (No changes made)

Human Vision: Saccades

Human eyes are constantly moving so we don't notice



The **fovea** is a tiny region of the retina that can see with high acuity

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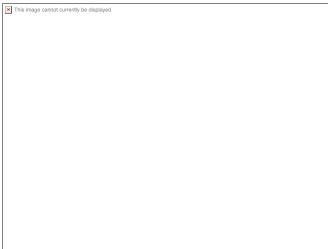
<u>Saccade video</u> is licensed under <u>CC A-SA 4.0 International</u> (no changes made)

Acuity graph is licensed under CC A-SA 3.0 Unported (No changes made)

Image Captioning with RNNs and Attention

	1
▼ This image cannot currently be displayed.	▼ This image cannot currently be displayed.
	This image cannot currently be displayed.

Attention weights at each timestep kind of like saccades of human eye



Xu et al, "Show, Attend, and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015

Saccade video is licensed under CC A-SA 4.0 International (no changes made)

X, Attend, and Y

"Show, attend, and tell" (Xu et al, ICML 2015)
Look at image, attend to image regions, produce question

"Ask, attend, and answer" (Xu and Saenko, ECCV 2016)

"Show, ask, attend, and answer" (Kazemi and Elqursh, 2017)

Read text of question, attend to image regions, produce answer

"Listen, attend, and spell" (Chan et al, ICASSP 2016)
Process raw audio, attend to audio regions while producing text

"Listen, attend, and walk" (Mei et al, AAAI 2016)
Process text, attend to text regions, output navigation commands

"Show, attend, and interact" (Qureshi et al, ICRA 2017)
Process image, attend to image regions, output robot control commands

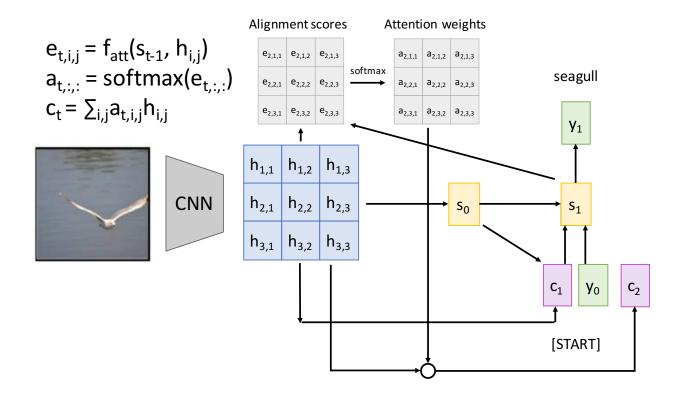
"Show, attend, and read" (Li et al, AAAI 2019)
Process image, attend to image regions, output text

Inputs:

Query vector: \mathbf{q} (Shape: D_0)

Input vectors: X (Shape: $N_X \times D_X$)

Similarity function: f_{att}



Computation:

Similarities: e (Shape: N_X) $e_i = f_{att}(\mathbf{q}, \mathbf{X}_i)$

Attention weights: a = softmax(e) (Shape: N_x)

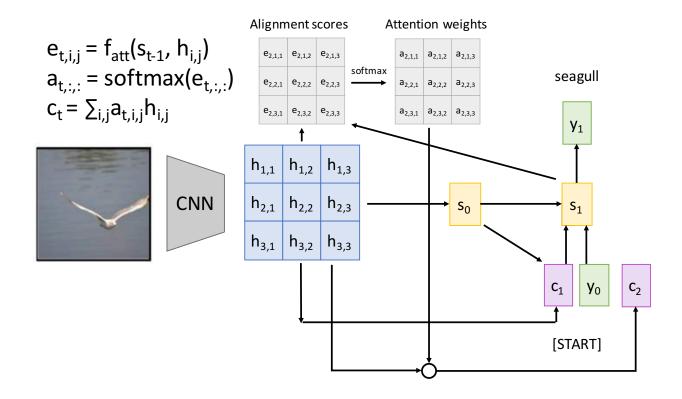
Output vector: $y = \sum_i a_i X_i$ (Shape: D_X)

Inputs:

Query vector: \mathbf{q} (Shape: D_0)

Input vectors: X (Shape: N_X x D_Q)

Similarity function: dot product



Computation:

Similarities: e (Shape: N_X) $e_i = \mathbf{q} \cdot \mathbf{X_i}$

Attention weights: a = softmax(e) (Shape: N_x)

Output vector: $y = \sum_{i} a_i X_i$ (Shape: D_X)

Changes:

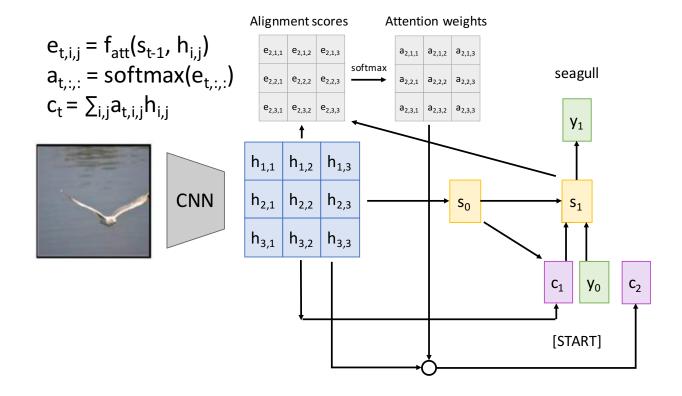
Use dot product for similarity

Inputs:

Query vector: \mathbf{q} (Shape: D_0)

Input vectors: X (Shape: $N_X \times D_Q$)

Similarity function: scaled dot product



Computation:

Similarities: e (Shape: N_X) $e_i = \mathbf{q} \cdot \mathbf{X}_i / \operatorname{sqrt}(D_Q)$

Attention weights: a = softmax(e) (Shape: N_X)

Output vector: $y = \sum_i a_i X_i$ (Shape: D_X)

Changes:

Use scaled dot product for similarity

Inputs:

Query vector: \mathbf{q} (Shape: D_Q)

Input vectors: X (Shape: $N_X \times D_Q$)

Similarity function: scaled dot product

Large similarities will cause softmax to saturate and give vanishing gradients

Recall $a \cdot b = |a||b| \cos(angle)$

Suppose that a and b are constant vectors of

dimension D

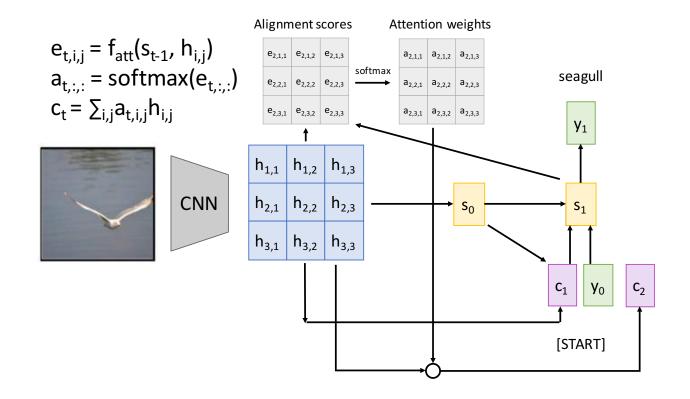
Then $|a| = (\sum_i a^2)^{1/2} = a \operatorname{sqrt}(D)$

Computation:

Similarities: e (Shape: N_X) $e_i = \mathbf{q} \cdot \mathbf{X}_i / \operatorname{sqrt}(D_Q)$

Attention weights: a = softmax(e) (Shape: N_X)

Output vector: $y = \sum_i a_i X_i$ (Shape: D_X)

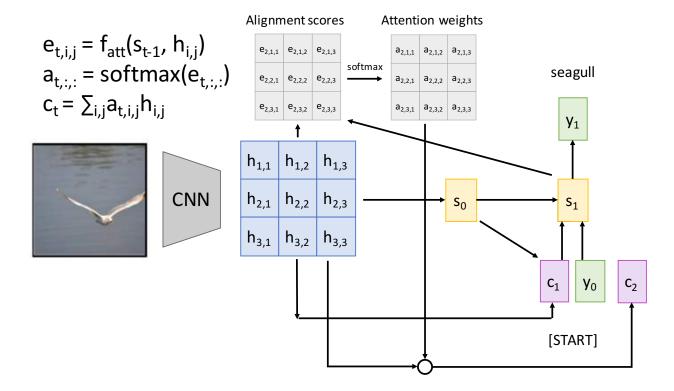


Changes:

Use scaled dot product for similarity

Inputs:

Query vectors: Q (Shape: $N_Q \times D_Q$)
Input vectors: X (Shape: $N_X \times D_Q$)



Computation:

Similarities: $E = \mathbf{QX^T}$ (Shape: $N_Q \times N_X$) $E_{i,j} = \mathbf{Q_i} \cdot \mathbf{X_j} / \operatorname{sqrt}(D_Q)$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)

Output vectors: Y = AX (Shape: $N_Q \times D_X$) $Y_i = \sum_j A_{i,j} X_j$

Changes:

- Use dot product for similarity
- Multiple query vectors

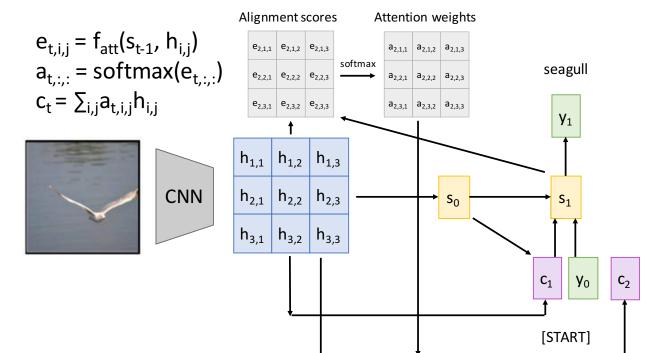
Inputs:

Query vectors: Q (Shape: $N_Q \times D_Q$)

Input vectors: \overline{X} (Shape: $N_X \times D_X$)

Key matrix: W_K (Shape: $D_X \times D_Q$)

Value matrix: W_V (Shape: $D_X \times D_V$)



Computation:

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{Q}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)

Output vectors: Y = AV (Shape: $N_Q \times D_V$) $Y_i = \sum_j A_{i,j} V_j$

Changes:

- Use dot product for similarity
- Multiple query vectors
- Separate key and value

Inputs:

Query vectors: Q (Shape: $N_Q \times D_Q$) Input vectors: X (Shape: $N_X \times D_X$) Key matrix: W_K (Shape: $D_X \times D_Q$)

Value matrix: W_V (Shape: $D_X \times D_V$)

Computation:

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{Q}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)

Output vectors: Y = AV (Shape: $N_Q \times D_V$) $Y_i = \sum_j A_{i,j} V_j$

 X_1

 X_2

 X_3

 Q_1

 Q_2

 Q_3

 Q_4

Inputs:

Query vectors: Q (Shape: $N_Q \times D_Q$)
Input vectors: X (Shape: $N_X \times D_X$)

Key matrix: W_K (Shape: $D_X \times D_Q$) **Value matrix**: W_V (Shape: $D_X \times D_V$)

Computation:

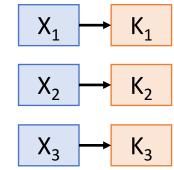
Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{Q}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)

Output vectors: Y = AV (Shape: $N_Q \times D_V$) $Y_i = \sum_j A_{i,j} V_j$



 Q_1

 Q_2

 Q_3

 Q_4

Inputs:

Query vectors: Q (Shape: $N_Q \times D_Q$) Input vectors: X (Shape: $N_X \times D_X$)

Key matrix: W_K (Shape: $D_X \times D_Q$) **Value matrix**: W_V (Shape: $D_X \times D_V$)

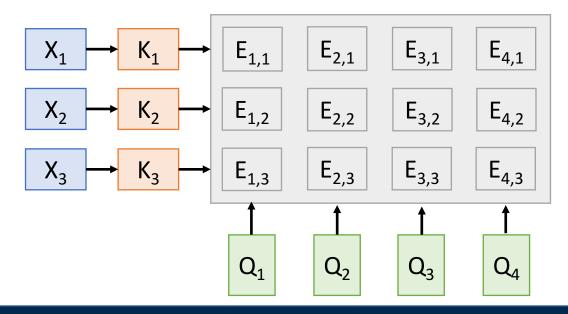
Computation:

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{Q}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



Inputs:

Query vectors: Q (Shape: $N_Q \times D_Q$)
Input vectors: X (Shape: $N_X \times D_X$)

Key matrix: W_K (Shape: $D_X \times D_Q$)

Value matrix: W_V (Shape: $D_X \times D_V$)

Computation:

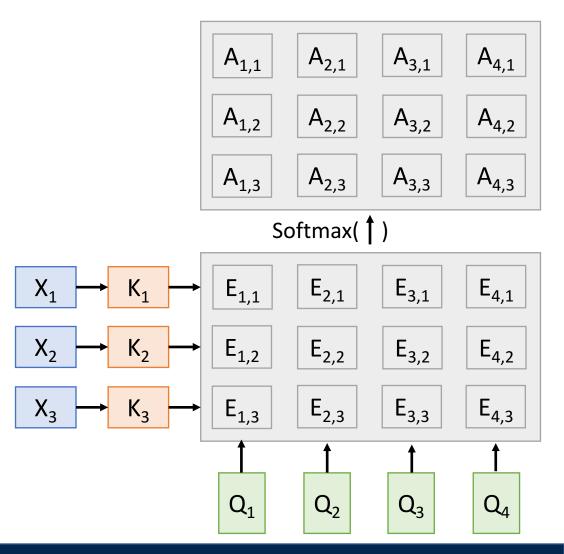
Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{Q}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)

Output vectors: Y = AV (Shape: $N_Q \times D_V$) $Y_i = \sum_j A_{i,j} V_j$



October 23, 2019

Inputs:

Query vectors: Q (Shape: $N_Q \times D_Q$) Input vectors: X (Shape: $N_X \times D_X$)

Key matrix: W_K (Shape: $D_X \times D_Q$) **Value matrix**: W_V (Shape: $D_X \times D_V$)

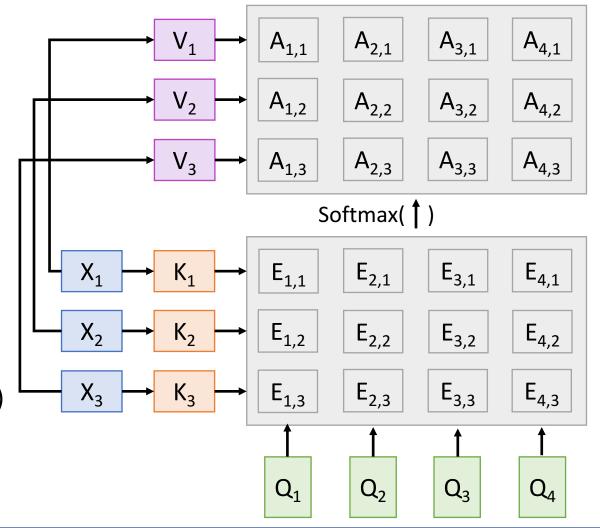
Computation:

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{Q}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



Inputs:

Query vectors: Q (Shape: $N_Q \times D_Q$)

Input vectors: X (Shape: $N_X \times D_X$)

Key matrix: W_K (Shape: $D_X \times D_Q$)

Value matrix: W_V (Shape: $D_X \times D_V$)

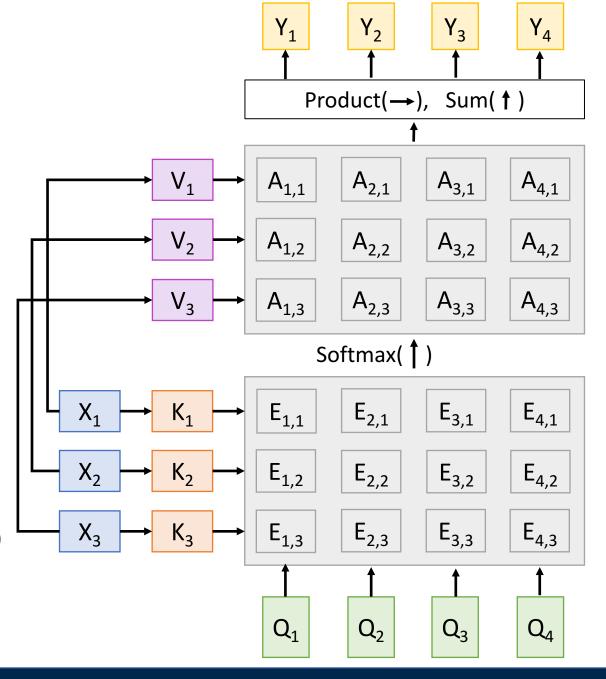
Computation:

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{Q}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



e _{1,1,1}	e _{1,1,2}	e _{1,1,3}
e _{1,2,1}	e _{1,2,2}	e _{1,2,3}
e _{1,3,1}	e _{1,3,2}	e _{1,3,3}

a _{1,1,1}	a _{1,1,2}	a _{1,1,3}
a _{1,2,1}	a _{1,2,2}	a _{1,2,3}
a _{1,3,1}	a _{1,3,2}	a _{1,3,3}

E _{1,1}	E _{2,1}	E _{3,1}	E _{4,1}
E _{1,2}	E _{2,2}	E _{3,2}	E _{4,2}
E _{1,3}	E _{2,3}	E _{3,3}	E _{4,3}

A _{1,1}	A _{2,1}	A _{3,1}	A _{4,1}
A _{1,2}	A _{2,2}	A _{3,2}	A _{4,2}
A _{1,3}	A _{2,3}	A _{3,3}	A _{4,3}

One query per input vector

Inputs:

```
Input vectors: X (Shape: N_X \times D_X)
Key matrix: W_K (Shape: D_X \times D_Q)
Value matrix: W_V (Shape: D_X \times D_V)
Query matrix: W_Q (Shape: D_X \times D_Q)
```

Computation:

Query vectors: Q = XW_O

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$) Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{X}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)

Output vectors: Y = AV (Shape: $N_X \times D_V$) $Y_i = \sum_j A_{i,j} V_j$

 X_1 X_2 X_3

One query per input vector

Inputs:

```
Input vectors: X (Shape: N_X \times D_X)
Key matrix: W_K (Shape: D_X \times D_Q)
Value matrix: W_V (Shape: D_X \times D_V)
Query matrix: W_O (Shape: D_X \times D_O)
```

Computation:

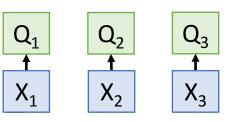
```
Query vectors: Q = XW<sub>0</sub>
```

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{X}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



One query per input vector

Inputs:

Input vectors: X (Shape: $N_X \times D_X$) Key matrix: W_K (Shape: $D_X \times D_Q$) Value matrix: W_V (Shape: $D_X \times D_V$) Query matrix: W_O (Shape: $D_X \times D_O$)

Computation:

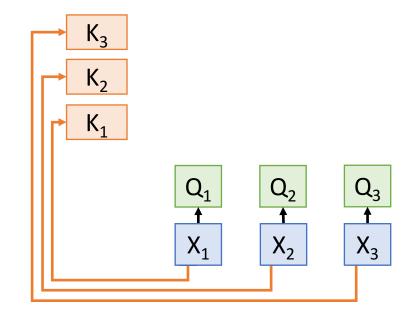
Query vectors: Q = XW₀

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{X}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



One query per input vector

Inputs:

Input vectors: X (Shape: $N_X \times D_X$) Key matrix: W_K (Shape: $D_X \times D_Q$) Value matrix: W_V (Shape: $D_X \times D_V$) Query matrix: W_O (Shape: $D_X \times D_O$)

Computation:

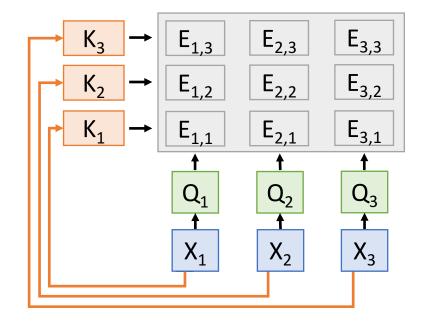
Query vectors: $Q = XW_Q$

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{X}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



One query per input vector

Inputs:

Input vectors: X (Shape: $N_X \times D_X$) Key matrix: W_K (Shape: $D_X \times D_Q$) Value matrix: W_V (Shape: $D_X \times D_V$) Query matrix: W_O (Shape: $D_X \times D_O$)

Computation:

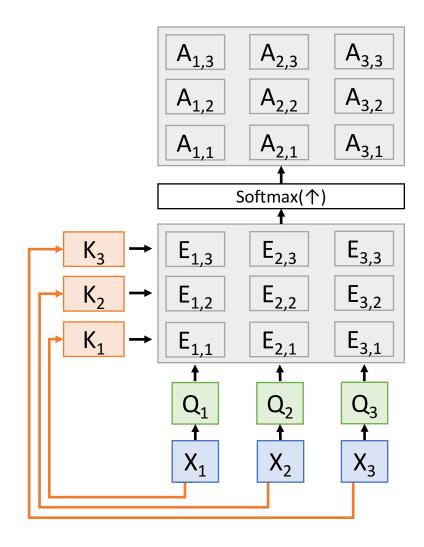
Query vectors: Q = XW₀

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_X \times N_X$) $E_{i,j} = \mathbf{Q}_i \cdot \mathbf{K}_j / \operatorname{sqrt}(D_Q)$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



One query per input vector

Inputs:

Input vectors: X (Shape: $N_X \times D_X$) Key matrix: W_K (Shape: $D_X \times D_Q$) Value matrix: W_V (Shape: $D_X \times D_V$) Query matrix: W_O (Shape: $D_X \times D_O$)

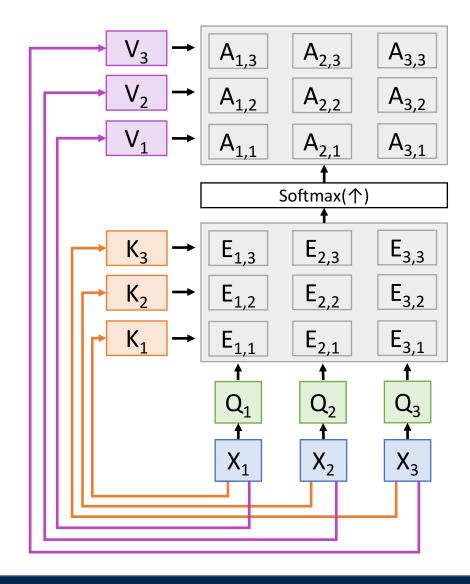
Computation:

Query vectors: Q = XW₀

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$) Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{X}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



One query per input vector

Inputs:

Input vectors: X (Shape: $N_X \times D_X$) Key matrix: W_K (Shape: $D_X \times D_Q$) Value matrix: W_V (Shape: $D_X \times D_V$) Query matrix: W_O (Shape: $D_X \times D_O$)

Computation:

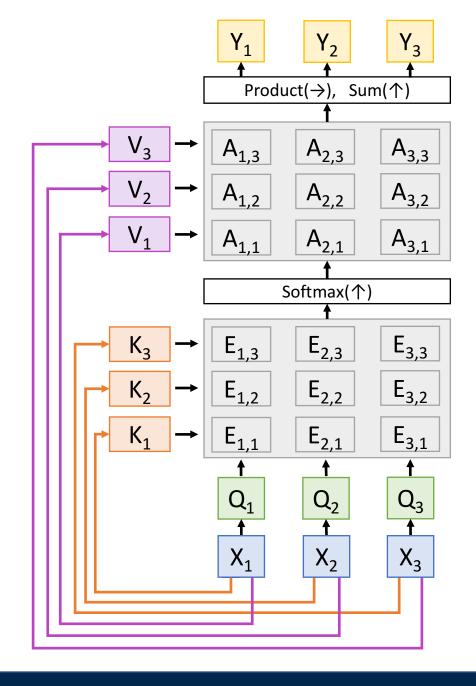
Query vectors: $Q = XW_Q$

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{X}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



Consider **permuting** the input vectors:

Inputs:

Input vectors: X (Shape: $N_X \times D_X$) Key matrix: W_K (Shape: $D_X \times D_Q$) Value matrix: W_V (Shape: $D_X \times D_V$) Query matrix: W_O (Shape: $D_X \times D_O$)

Computation:

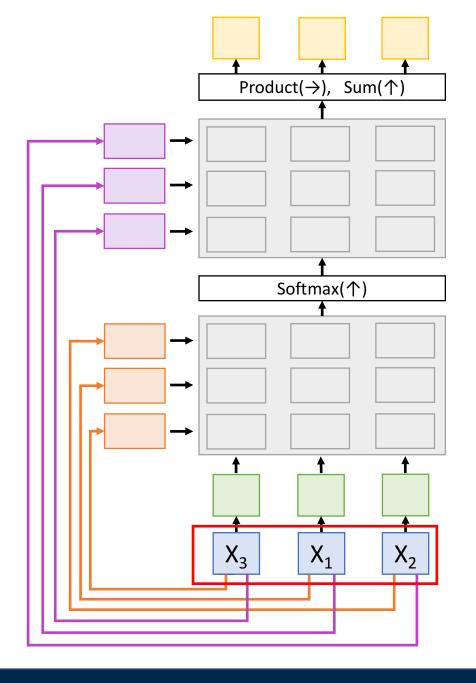
Query vectors: $Q = XW_Q$

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{X}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



Consider **permuting** the input vectors:

Inputs:

Input vectors: X (Shape: $N_X \times D_X$)

Key matrix: W_K (Shape: $D_X \times D_Q$)

Value matrix: W_V (Shape: $D_X \times D_V$)

Query matrix: W_Q (Shape: $D_X \times D_Q$)

Queries and Keys will be the same, but permuted

Computation:

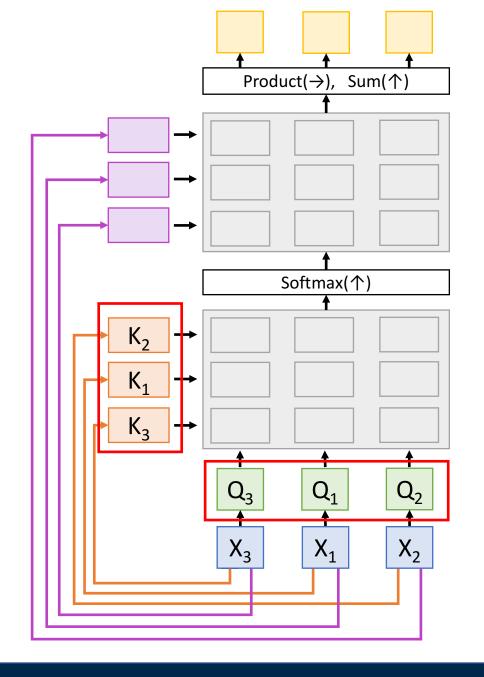
Query vectors: $Q = XW_Q$

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{X}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



Consider **permuting** the input vectors:

Inputs:

Input vectors: X (Shape: $N_X \times D_X$)

Key matrix: W_K (Shape: $D_X \times D_Q$)

Value matrix: W_V (Shape: $D_X \times D_V$)

Query matrix: W_Q (Shape: $D_X \times D_Q$)

Similarities will be the same, but permuted

Computation:

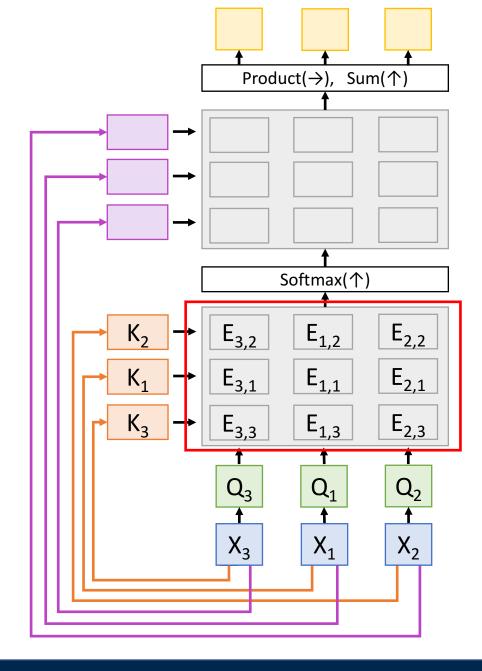
Query vectors: $Q = XW_Q$

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{X}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



Consider **permuting** the input vectors:

Inputs:

Input vectors: X (Shape: $N_X \times D_X$)

Key matrix: W_K (Shape: $D_X \times D_Q$)

Value matrix: W_V (Shape: $D_X \times D_V$)

Query matrix: W_Q (Shape: $D_X \times D_Q$)

Attention weights will be the same, but permuted

Computation:

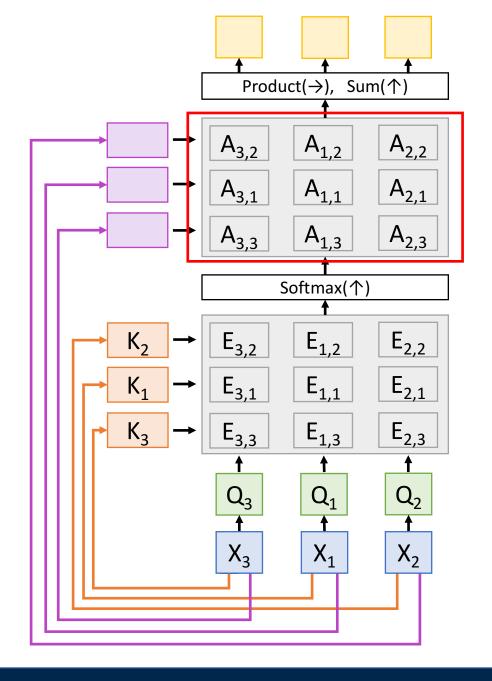
Query vectors: $Q = XW_0$

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{X}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



Consider **permuting** the input vectors:

Inputs:

Input vectors: X (Shape: $N_X \times D_X$)

Key matrix: W_K (Shape: $D_X \times D_Q$)

Value matrix: W_V (Shape: $D_X \times D_V$)

Query matrix: W_Q (Shape: $D_X \times D_Q$)

Values will be the same, but permuted

Computation:

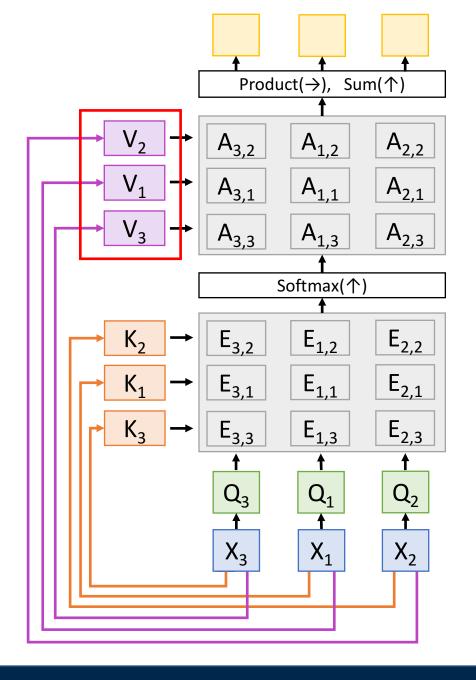
Query vectors: $Q = XW_0$

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{X}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



Consider **permuting** the input vectors:

Inputs:

Input vectors: X (Shape: $N_X \times D_X$)

Key matrix: W_K (Shape: $D_X \times D_Q$)

Value matrix: W_V (Shape: $D_X \times D_V$)

Query matrix: W_Q (Shape: $D_X \times D_Q$)

Outputs will be the same, but permuted

Computation:

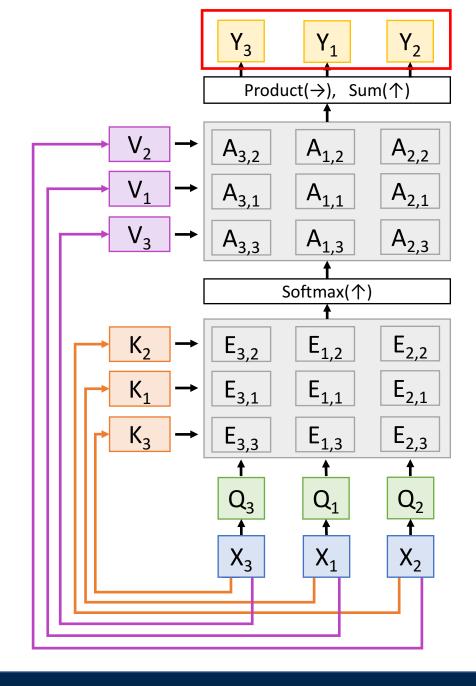
Query vectors: $Q = XW_0$

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{X}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



Inputs:

Input vectors: X (Shape: $N_X \times D_X$) Key matrix: W_K (Shape: $D_X \times D_Q$) Value matrix: W_V (Shape: $D_X \times D_V$) Query matrix: W_O (Shape: $D_X \times D_O$)

Computation:

Query vectors: $Q = XW_Q$

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_X \times N_X$) $E_{i,j} = \mathbf{Q}_i \cdot \mathbf{K}_j / \operatorname{sqrt}(D_Q)$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)

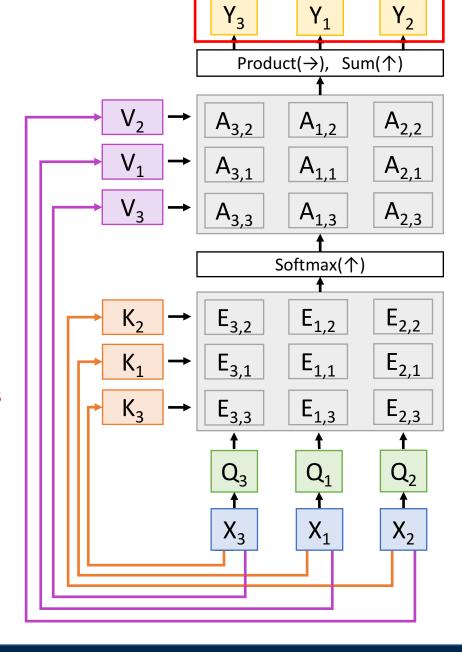
Output vectors: Y = AV (Shape: $N_X \times D_V$) $Y_i = \sum_j A_{i,j} V_j$

Consider **permuting** the input vectors:

Outputs will be the same, but permuted

Self-attention layer is **Permutation Equivariant** f(s(x)) = s(f(x))

Self-Attention layer works on **sets** of vectors



Self attention doesn't "know" the order of the vectors it is processing!

Inputs:

Input vectors: X (Shape: $N_X \times D_X$) Key matrix: W_K (Shape: $D_X \times D_Q$) Value matrix: W_V (Shape: $D_X \times D_V$) Query matrix: W_O (Shape: $D_X \times D_O$)

Computation:

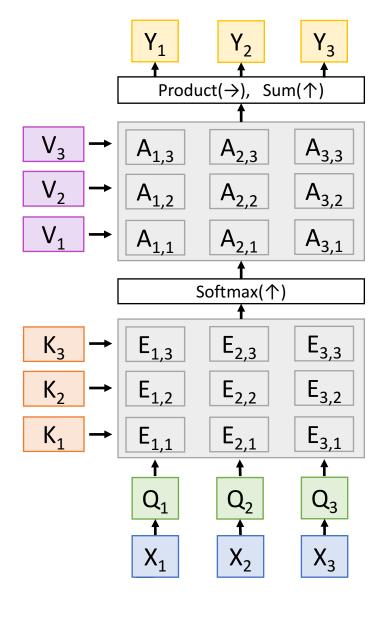
Query vectors: $Q = XW_Q$

Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_X \times N_X$) $E_{i,j} = \mathbf{Q}_i \cdot \mathbf{K}_j / \operatorname{sqrt}(D_Q)$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)



Self-Attention Layer

Inputs:

Input vectors: X (Shape: $N_X \times D_X$) Key matrix: W_K (Shape: $D_X \times D_Q$) Value matrix: W_V (Shape: $D_X \times D_V$) Query matrix: W_O (Shape: $D_X \times D_O$)

Computation:

Query vectors: $Q = XW_Q$

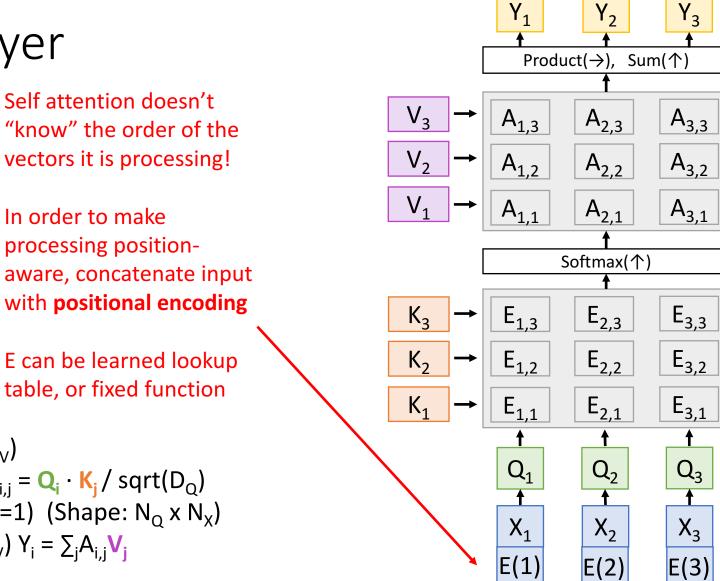
Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_X \times N_X$) $E_{i,j} = \mathbf{Q}_i \cdot \mathbf{K}_j / \operatorname{sqrt}(D_Q)$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)

Output vectors: Y = AV (Shape: $N_X \times D_V$) $Y_i = \sum_j A_{i,j} V_j$



Masked Self-Attention Layer

Don't let vectors "look ahead" in the sequence

Inputs:

Input vectors: X (Shape: $N_X \times D_X$) Key matrix: W_K (Shape: $D_X \times D_Q$) Value matrix: W_V (Shape: $D_X \times D_V$) Query matrix: W_O (Shape: $D_X \times D_O$)

Computation:

Query vectors: Q = XW₀

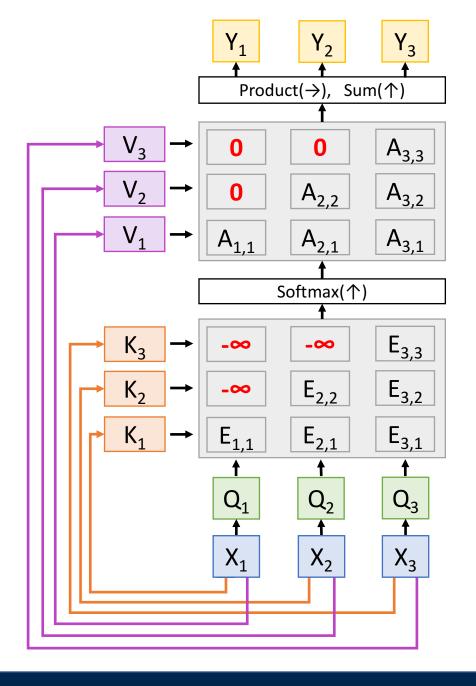
Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{X}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)

Output vectors: Y = AV (Shape: $N_X \times D_V$) $Y_i = \sum_j A_{i,j} V_j$



Masked Self-Attention Layer

Don't let vectors "look ahead" in the sequence Used for language modeling (predict next word)

Inputs:

Input vectors: X (Shape: $N_X \times D_X$) Key matrix: W_K (Shape: $D_X \times D_Q$)

Value matrix: W_V (Shape: $D_X \times D_V$)

Query matrix: W_Q (Shape: $D_X \times D_Q$)

Computation:

Query vectors: $Q = XW_Q$

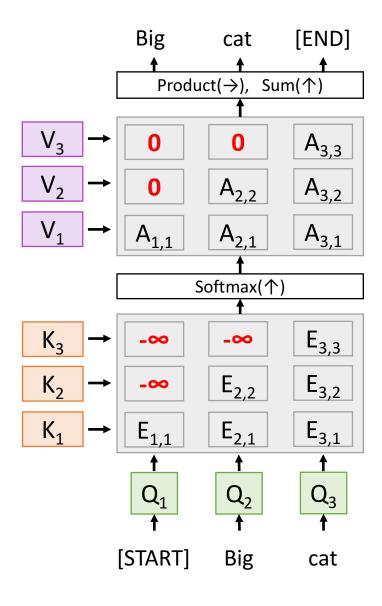
Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

Similarities: $E = \mathbf{Q}\mathbf{K}^{\mathsf{T}}$ (Shape: $N_{\mathsf{X}} \times N_{\mathsf{X}}$) $E_{\mathsf{i},\mathsf{j}} = \mathbf{Q}_{\mathsf{i}} \cdot \mathbf{K}_{\mathsf{j}} / \operatorname{sqrt}(D_{\mathsf{Q}})$

Attention weights: A = softmax(E, dim=1) (Shape: $N_Q \times N_X$)

Output vectors: Y = AV (Shape: $N_X \times D_V$) $Y_i = \sum_j A_{i,j} V_j$



Multihead Self-Attention Layer

Use H independent "Attention Heads" in parallel

Inputs:

Input vectors: X (Shape: $N_X \times D_X$) Key matrix: W_K (Shape: $D_X \times D_Q$) Value matrix: W_V (Shape: $D_X \times D_V$) Query matrix: W_O (Shape: $D_X \times D_O$)

Computation:

Query vectors: Q = XW_o

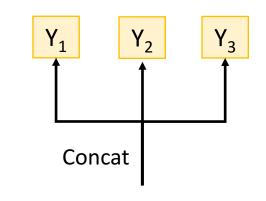
Key vectors: $K = XW_K$ (Shape: $N_X \times D_Q$)

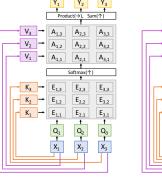
Value Vectors: $V = XW_V$ (Shape: $N_X \times D_V$)

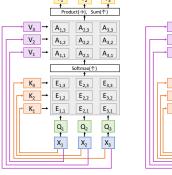
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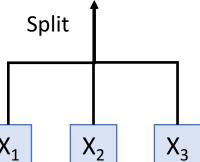
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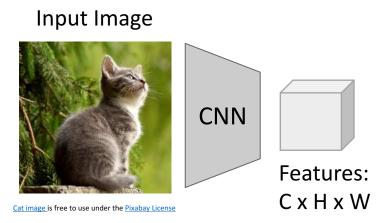


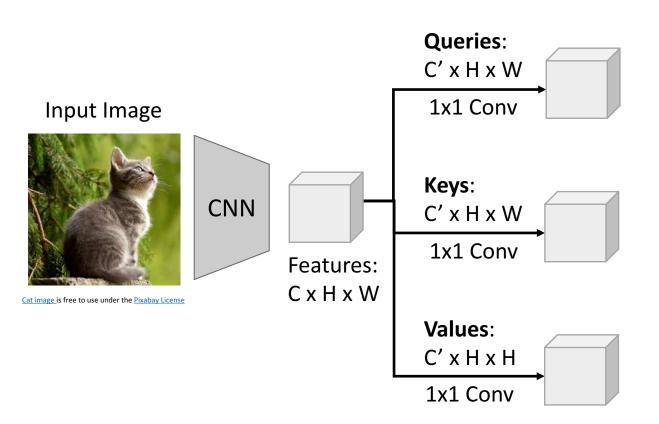


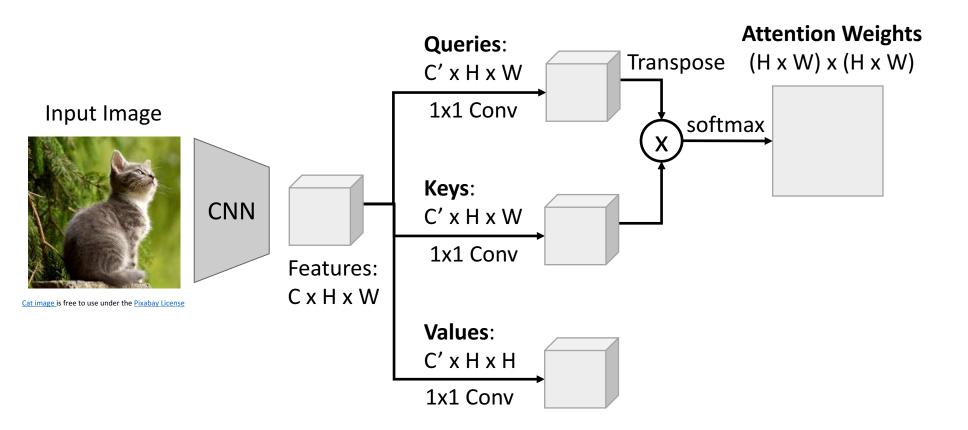
Hyperparameters:

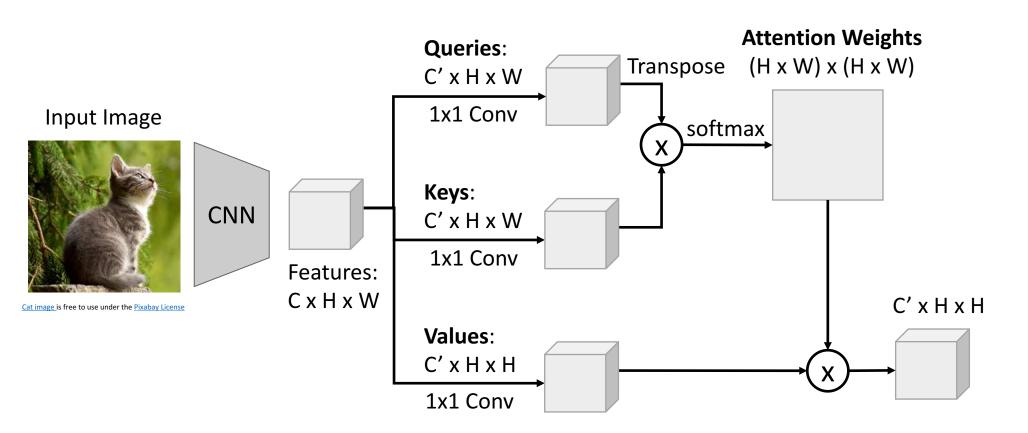
Query dimension D_O

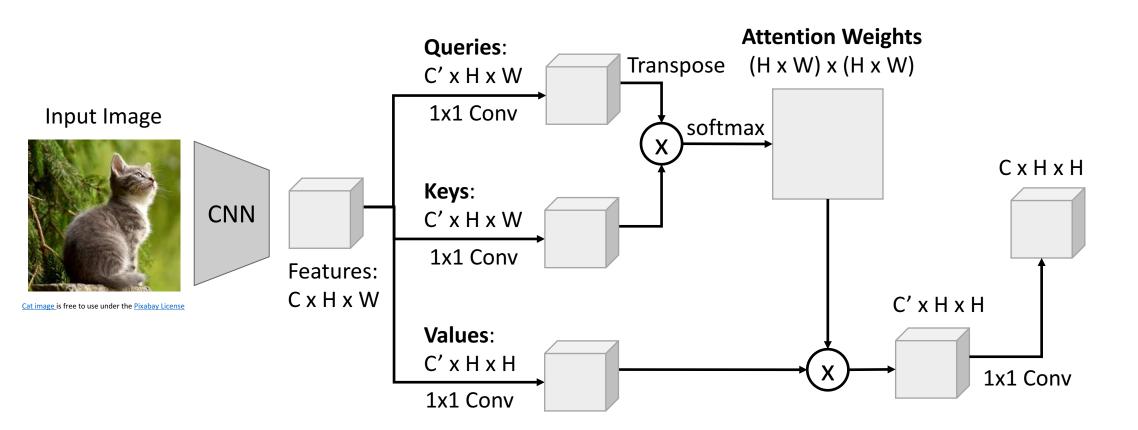
Number of heads H

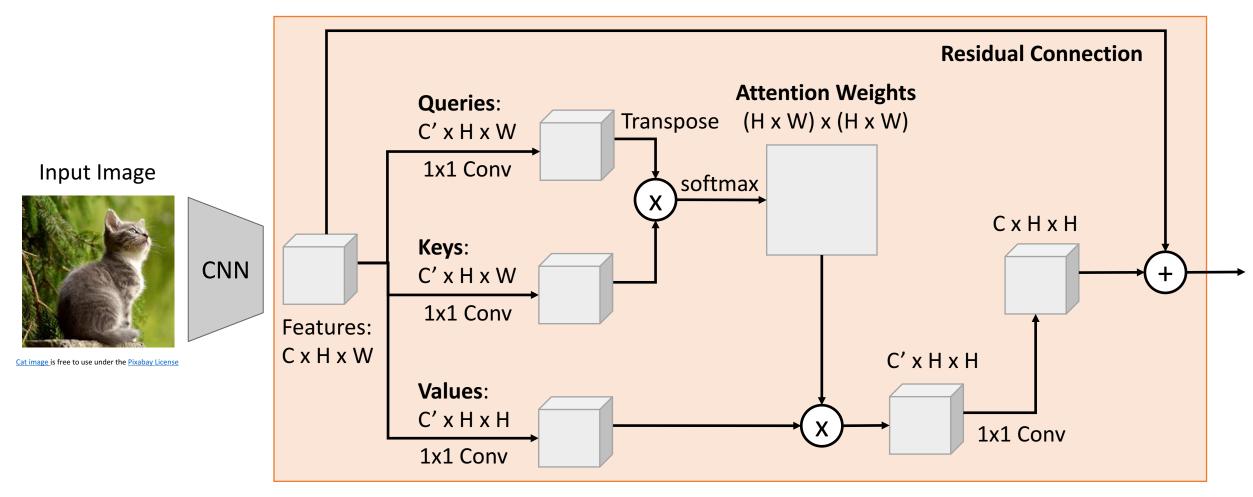






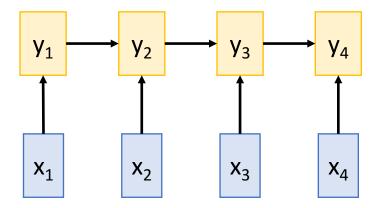






Self-Attention Module

Recurrent Neural Network

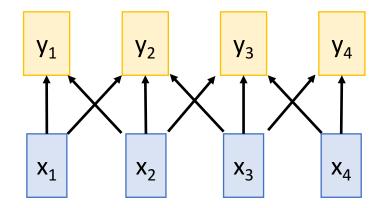


Works on **Ordered Sequences**

- (+) Good at long sequences: After one RNN layer, h_T "sees" the whole sequence
- (-) Not parallelizable: need to compute hidden states sequentially

Recurrent Neural Network

1D Convolution



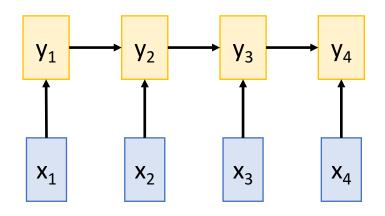
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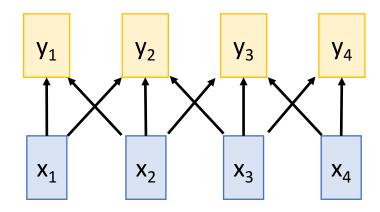
Works on Multidimensional Grids

- (-) Bad at long sequences: Need to stack many conv layers for outputs to "see" the whole sequence
- (+) Highly parallel: Each output can be computed in parallel

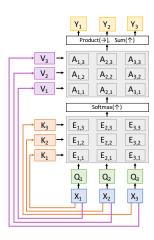
Recurrent Neural Network



1D Convolution



Self-Attention



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- (+) Highly parallel: Each output can be computed in parallel

Works on **Sets of Vectors**

- (-) Good at long sequences: after one self-attention layer, each output "sees" all inputs!
- (+) Highly parallel: Each output can be computed in parallel
- (-) Very memory intensive

Recurrent Neural Network

1D Convolution

Self-Attention

Attention is all you need

Vaswani et al, NeurIPS 2017

Works on **Ordered Sequences**

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Justin Johnson Lecture 13 - 86 October 23, 2019

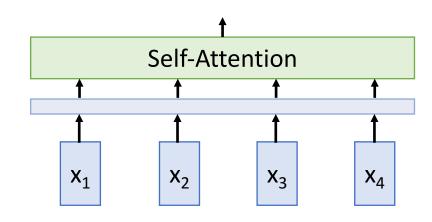
 X_1

X₂

X₃

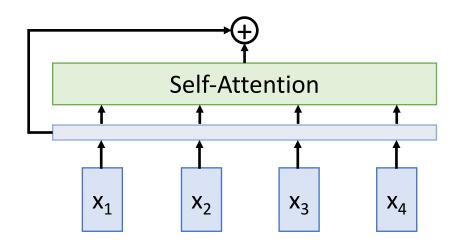
 X_4

All vectors interact with each other



Residual connection

All vectors interact with each other



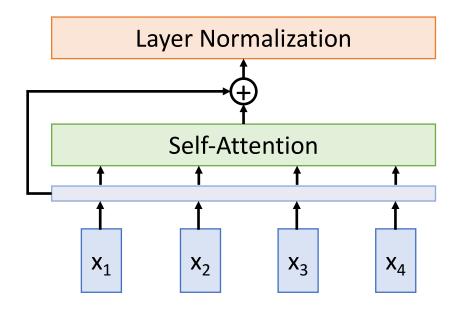
Recall Layer Normalization:

Given h_1 , ..., h_N (Shape: D) scale: γ (Shape: D) Shift: β (Shape: D) $\mu_i = (1/D)\sum_j h_{i,j}$ (scalar) $\sigma_i = (\sum_j (h_{i,j} - \mu_i)^2)^{1/2}$ (scalar) $z_i = (h_i - \mu_i) / \sigma_i$ $y_i = \gamma * z_i + \beta$

Ba et al, 2016

Residual connection

All vectors interact with each other



Recall **Layer Normalization**:

Given $h_1, ..., h_N$ (Shape: D)

scale: γ (Shape: D)

Shift: β (Shape: D)

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 (scalar)

$$\sigma_{i} = (\sum_{j} (h_{i,j} - \mu_{i})^{2})^{1/2}$$
 (scalar)

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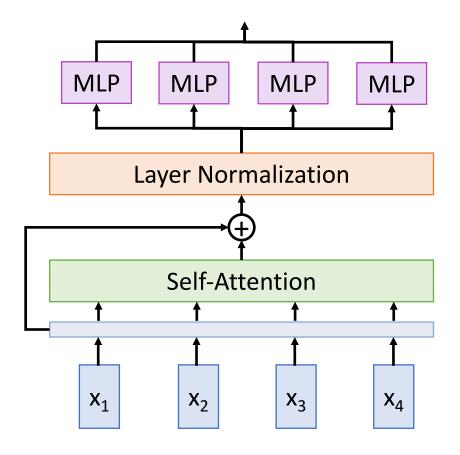
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Ba et al, 2016

MLP independently on each vector

Residual connection

All vectors interact with each other



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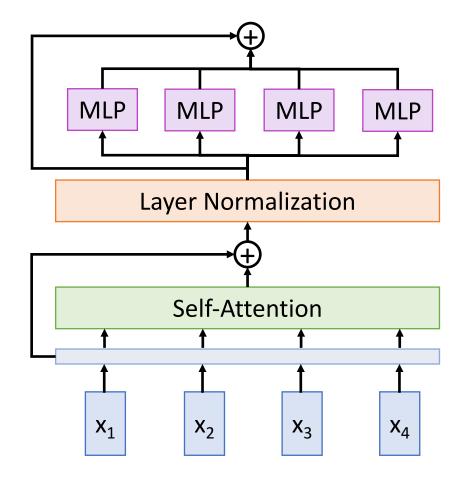
Ba et al, 2016

Residual connection

MLP independently on each vector

Residual connection

All vectors interact with each other



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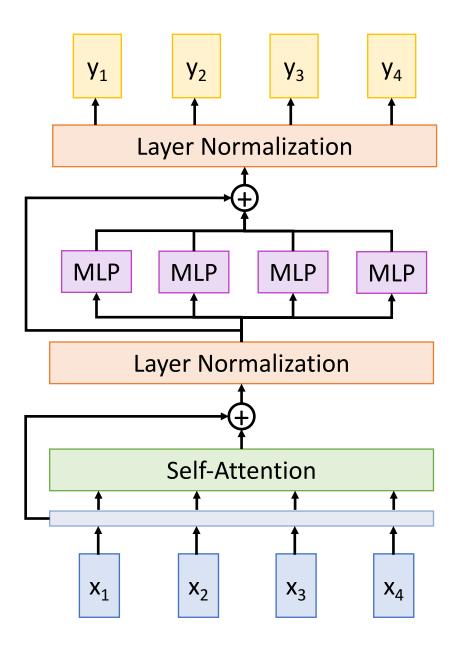
Ba et al, 2016

Residual connection

MLP independently on each vector

Residual connection

All vectors interact with each other



Transformer Block:

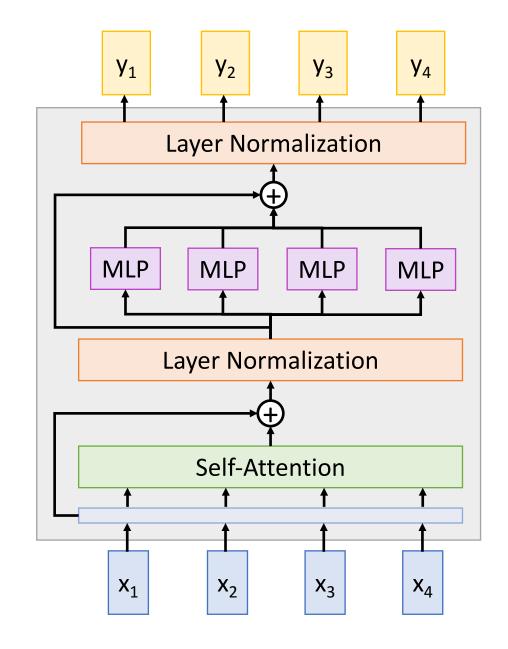
Input: Set of vectors x

Output: Set of vectors y

Self-attention is the only interaction between vectors!

Layer norm and MLP work independently per vector

Highly scalable, highly parallelizable



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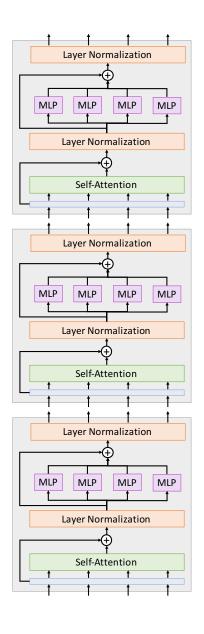
Highly scalable, highly parallelizable

A **Transformer** is a sequence of transformer blocks

Vaswani et al:

Encoder: 6 blocks, D=512, 6 heads

Decoder: 6 blocks, D=512, 6 heads



The Transformer: Transfer Learning

"ImageNet Moment for Natural Language Processing"

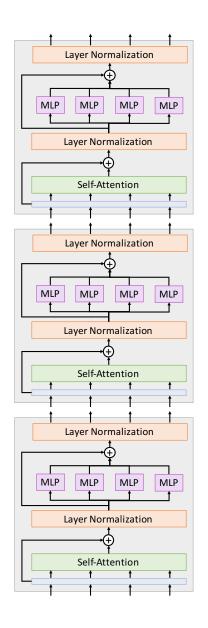
Pretraining:

Download a lot of text from the internet

Train a giant Transformer model for language modeling

Finetuning:

Fine-tune the Transformer on your own NLP task



Devlin et al, "BERT: Pre-training of Deep Bidirectional Transformers for Language Understanding", EMNLP 2018

Model	Layers	Width	Heads	Params	Data	Training
Transformer-Base	12	512	8	65M		8x P100 (12 hours)
Transformer-Large	12	1024	16	213M		8x P100 (3.5 days)

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Yang et al, XLNet: Generalized Autoregressive Pretraining for Language Understanding", 2019 Liu et al, "RoBERTa: A Robustly Optimized BERT Pretraining Approach", 2019

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Radford et al, "Language models are unsupervised multitask learners", 2019

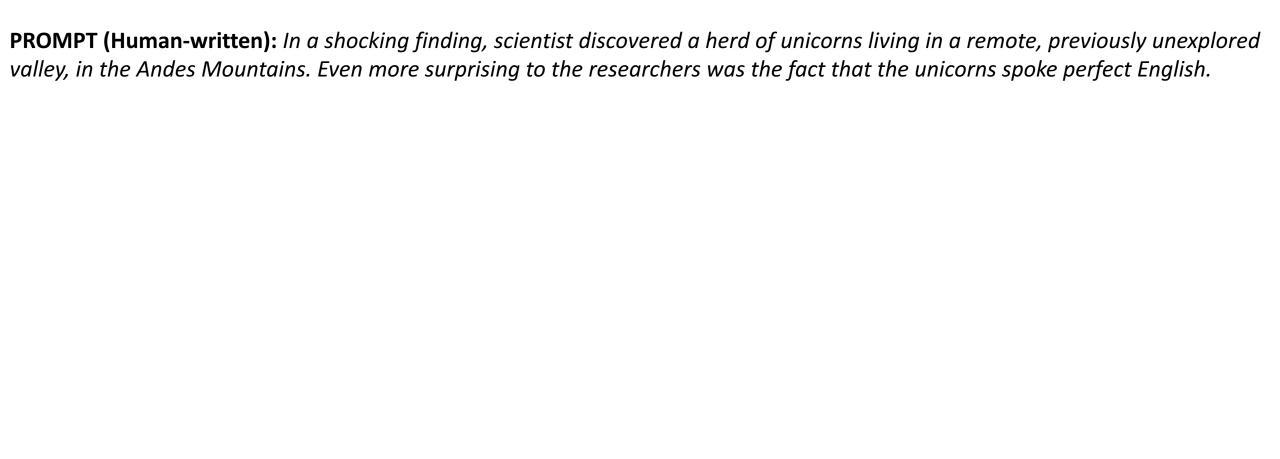
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Shoeybi et al, "Megatron-LM: Training Multi-Billion Parameter Language Models using Model Parallelism", 2019

~\$430,000 on Amazon AWS!

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OpenAI, "Better Language Models and their Implications", 2019, https://openai.com/blog/better-language-models/

PROMPT (Human-written): In a shocking finding, scientist discovered a herd of unicorns living in a remote, previously unexplored valley, in the Andes Mountains. Even more surprising to the researchers was the fact that the unicorns spoke perfect English.

COMPLETION (Transformer-written): The scientist named the population, after their distinctive horn, Ovid's Unicorn. These four-horned, silver-white unicorns were previously unknown to science.

- Now, after almost two centuries, the mystery of what sparked this odd phenomenon is finally solved.
- Dr. Jorge Pérez, an evolutionary biologist from the University of La Paz, and several companions, were exploring the Andes Mountains when they found a small valley, with no other animals or humans. Pérez noticed that the valley had what appeared to be a natural fountain, surrounded by two peaks of rock and silver snow.
- Pérez and the others then ventured further into the valley. "By the time we reached the top of one peak, the water looked blue, with some crystals on top," said Pérez.
- Pérez and his friends were astonished to see the unicorn herd. These creatures could be seen from the air without having to move too much to see them they were so close they could touch their horns.
- While examining these bizarre creatures the scientists discovered that the creatures also spoke some fairly regular English. Pérez stated, "We can see, for example, that they have a common 'language,' something like a dialect or dialectic."
- Dr. Pérez believes that the unicorns may have originated in Argentina, where the animals were believed to be descendants of a lost race of people who lived there before the arrival of humans in those parts of South America.
- While their origins are still unclear, some believe that perhaps the creatures were created when a human and a unicorn met each other in a time before human civilization. According to Pérez, "In South America, such incidents seem to be quite common." However, Pérez also pointed out that it is likely that the only way of knowing for sure if unicorns are indeed the descendants of a
- lost alien race is through DNA. "But they seem to be able to communicate in English quite well, which I believe is a sign of evolution, or at least a change in social organization," said the scientist.

OpenAI, "Better Language Models and their Implications", 2019, https://openai.com/blog/better-language-models/

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Try it yourself: https://talktotransformer.com

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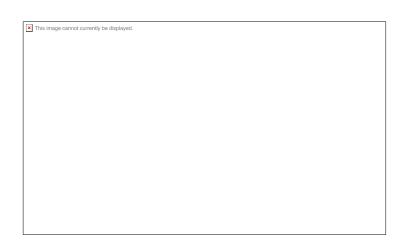
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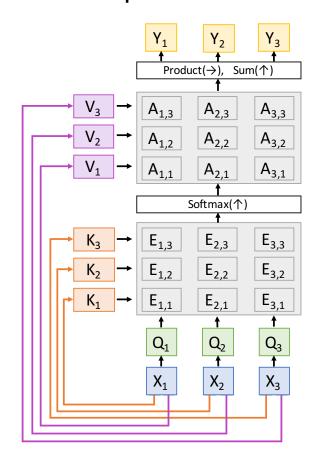
Justin Johnson Lecture 13 - 105 October 23, 2019

Summary

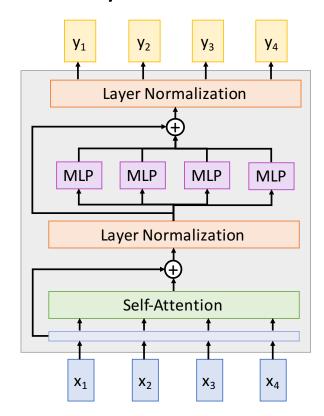
Adding **Attention** to RNN models lets them look at different parts of the input at each timestep



Generalized **Self-Attention** is new, powerful neural network primitive



Transformers are a new neural network model that only uses attention

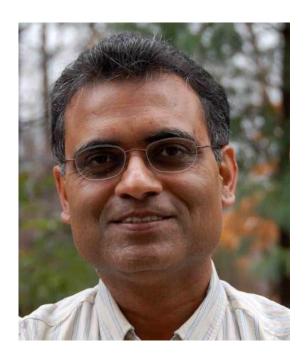


Xu et al, "Show, Attend, and Tell: Neural Image Caption Generation with Visual Attention", ICML 2015

Next Week: Guest Lectures



Monday 10/28
Luowei Zhou
Vision and Language



Wednesday 10/30
Prof. Atul Prakash
Adversarial Machine Learning