# Lecture 12: Recurrent Neural Networks

Reminder: A3 was due on Monday 10/14

## Reminder: Midterm

- Monday, October 21
- Location: Chrysler 220 (NOT HERE!)
- Format:
  - True / False, Multiple choice, short answer
  - Emphasize concepts you don't need to memorize AlexNet!
  - Closed-book
  - You can bring 1 page "cheat sheet" of handwritten notes (standard 8.5" x 11" paper)
- Alternate exam times: Fill out this form: <a href="https://forms.gle/uiMpHdg9752p27bd7">https://forms.gle/uiMpHdg9752p27bd7</a>
  - Conflict with EECS 551
  - SSD accommodations
  - Conference travel for Michigan

## Recall: PyTorch vs TensorFlow

#### **PyTorch**

- My personal favorite
- Clean, imperative API
- Easy dynamic graphs for debugging
- JIT allows static graphs for production
- Cannot use TPUs
- Not easy to deploy on mobile

#### **TensorFlow 1.0**

- Static graphs by default
- Can be confusing to debug
- API a bit messy

#### **TensorFlow 2.0**

- Dynamic by default
- Standardized on Keras API
- Just came out, no consensus yet

## Recall: PyTorch vs TensorFlow

#### PyTorch 1.3 (Released 10/10/2019)

- My personal favorite
- Clean, imperative API
- Easy dynamic graphs for debugging
- JIT allows static graphs for production
- TPU support with pytorch/xla!
- (Experimental) mobile support on Android and iOS!

#### **TensorFlow 1.0**

- Static graphs by default
- Can be confusing to debug
- API a bit messy

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- Standardized on Keras API
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## Last Time: Training Neural Networks

#### 1. One time setup

Activation functions, data preprocessing, weight initialization, regularization

### 2. Training dynamics

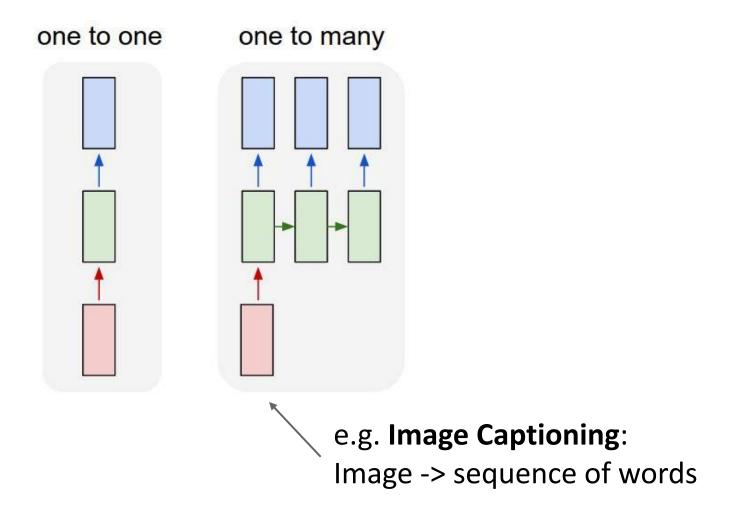
Learning rate schedules; hyperparameter optimization

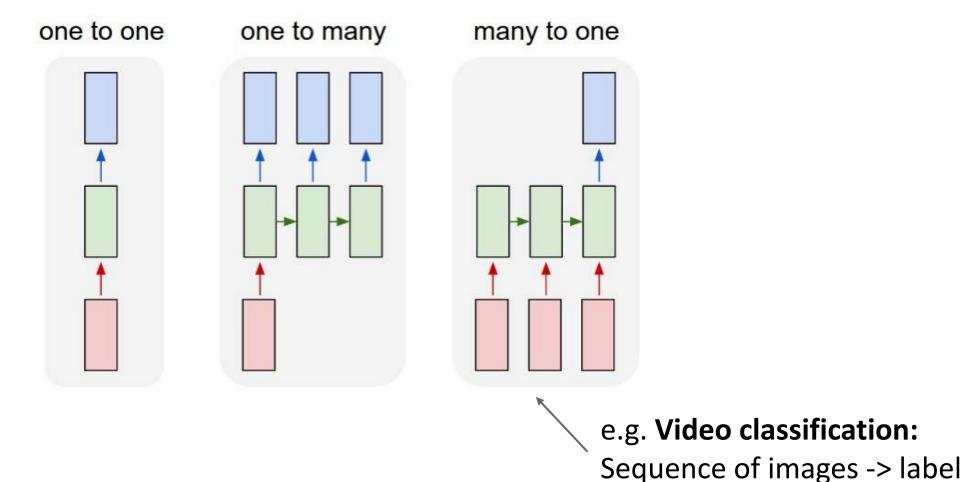
### 3. After training

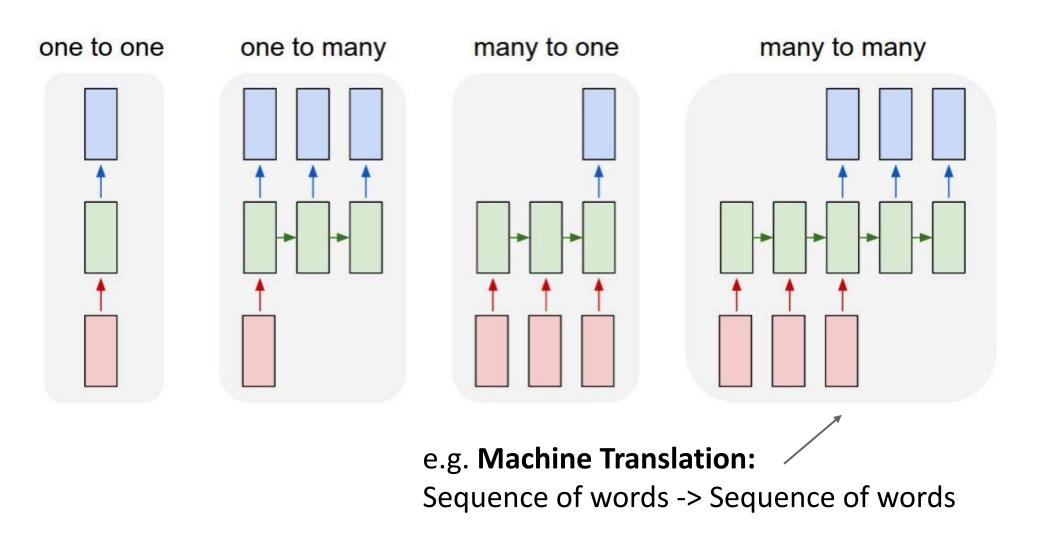
Model ensembles, transfer learning, large-batch training

## So far: "Feedforward" Neural Networks

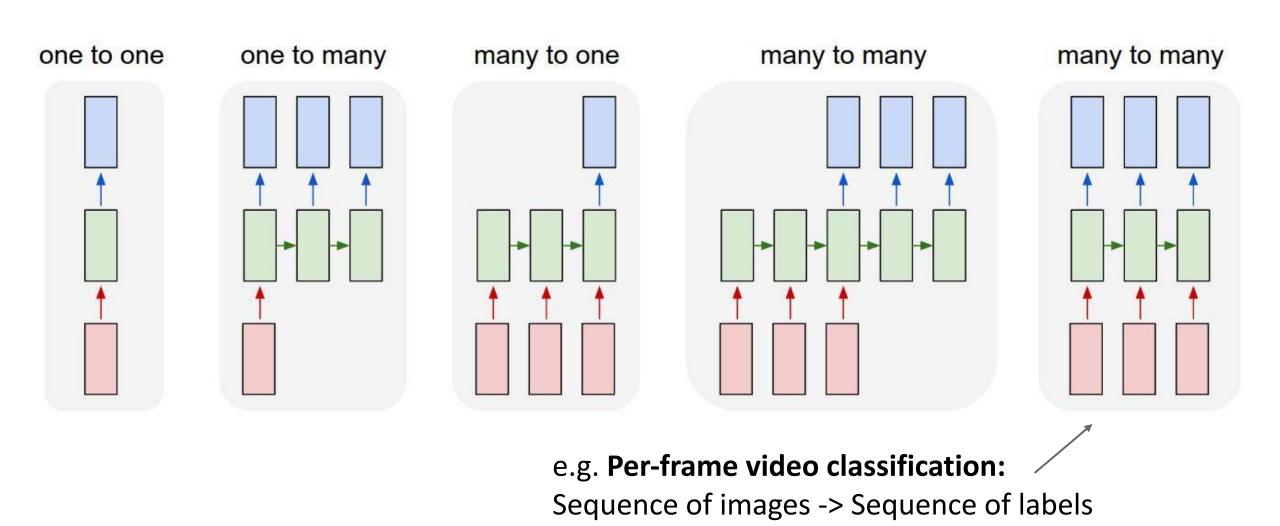
one to one e.g. Image classification Image -> Label







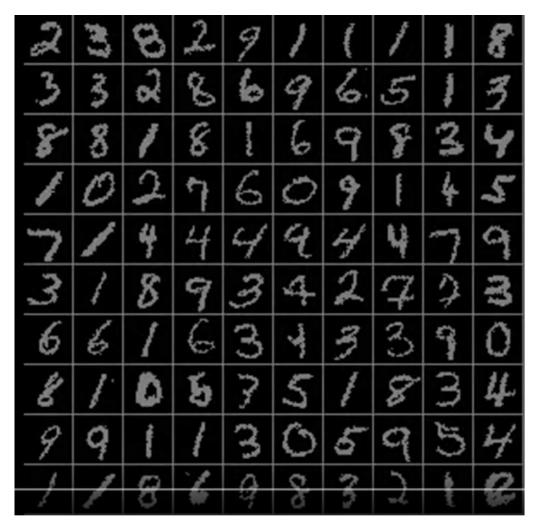
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# Sequential Processing of Non-Sequential Data

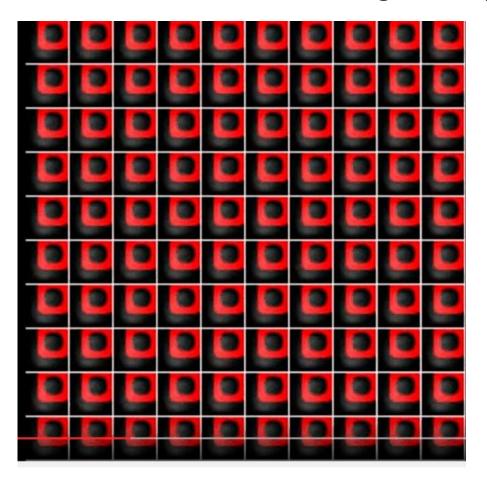
Classify images by taking a series of "glimpses"

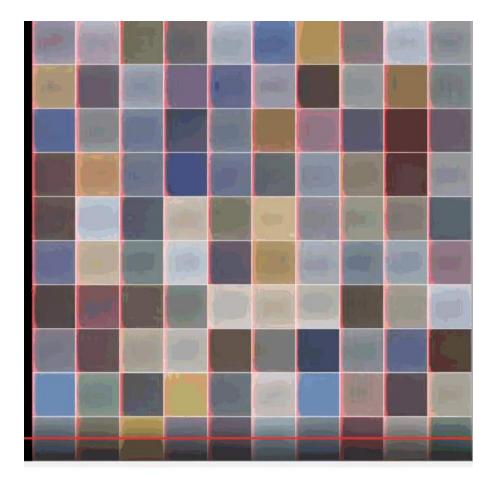


Ba, Mnih, and Kavukcuoglu, "Multiple Object Recognition with Visual Attention", ICLR 2015. Gregor et al, "DRAW: A Recurrent Neural Network For Image Generation", ICML 2015

## Sequential Processing of Non-Sequential Data

Generate images one piece at a time!

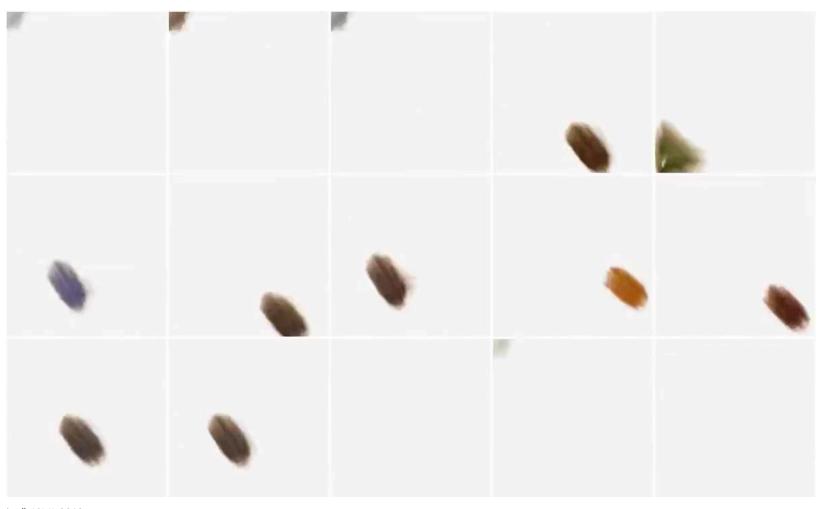




Gregor et al, "DRAW: A Recurrent Neural Network For Image Generation", ICML 2015

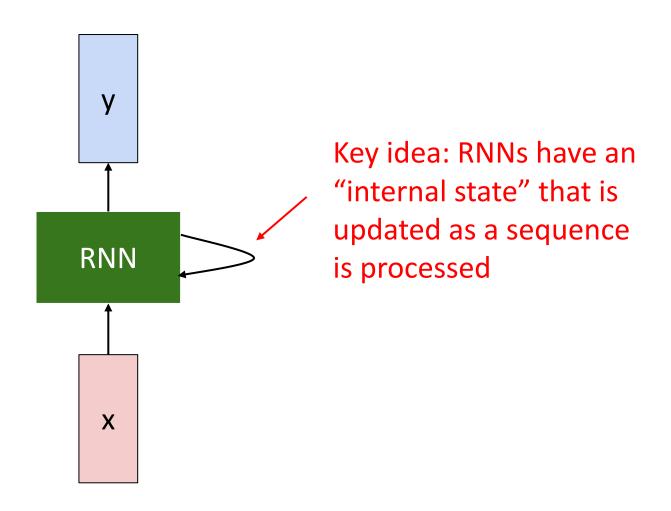
## Sequential Processing of Non-Sequential Data

Integrate with oil paint simulator – at each timestep output a new stroke

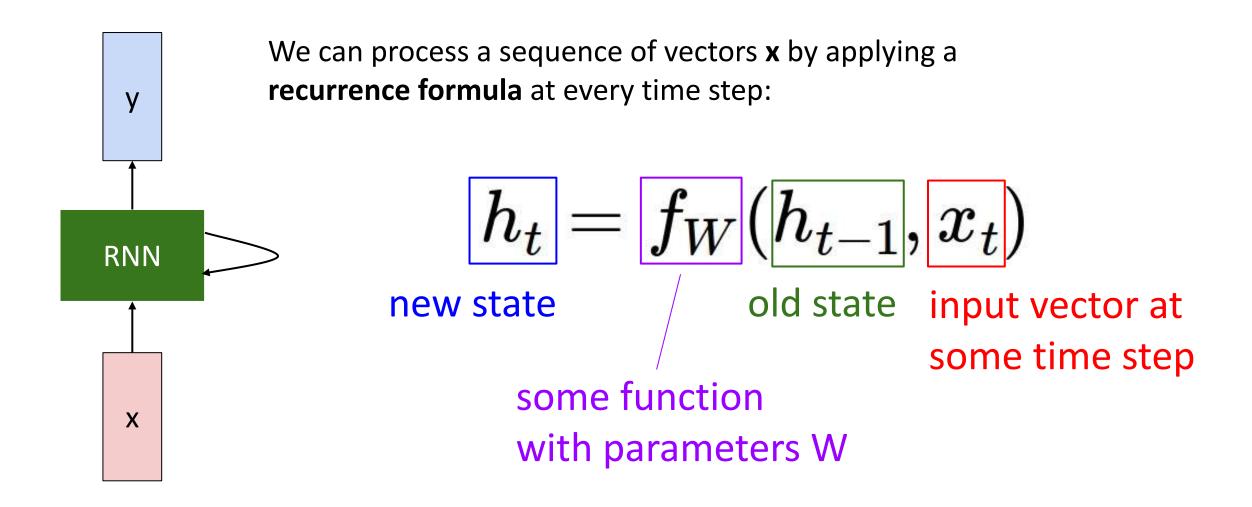


Ganin et al, "Synthesizing Programs for Images using Reinforced Adversarial Learning", ICML 2018 <a href="https://twitter.com/yaroslav\_ganin/status/1180120687131926528">https://twitter.com/yaroslav\_ganin/status/1180120687131926528</a> Reproduced with permission

## Recurrent Neural Networks



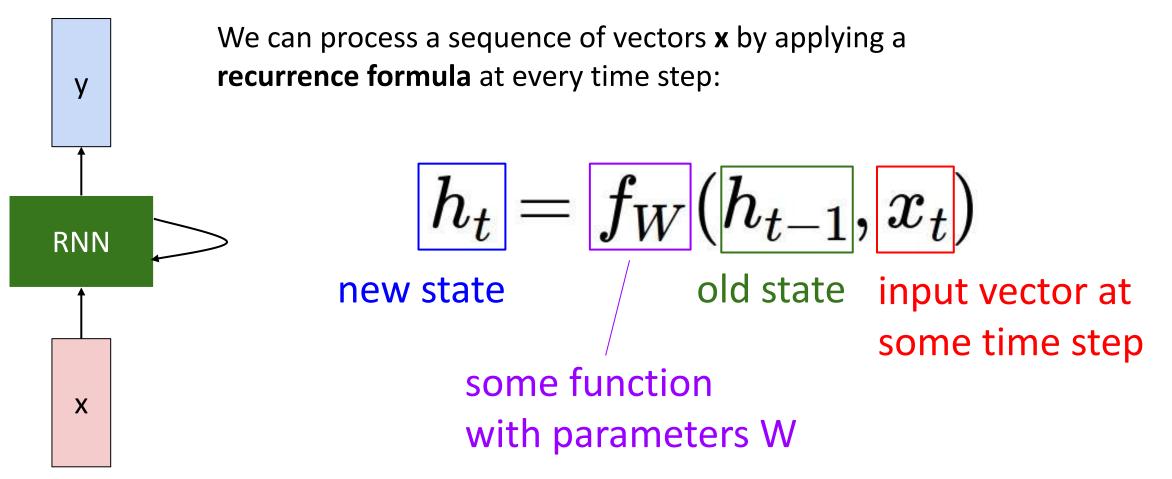
## Recurrent Neural Networks



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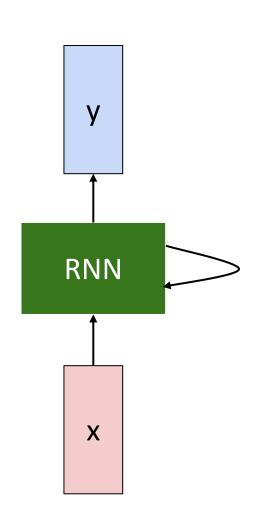
## Recurrent Neural Networks

Notice: the same function and the same set of parameters are used at every time step.



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## (Vanilla) Recurrent Neural Networks



The state consists of a single "hidden" vector h:

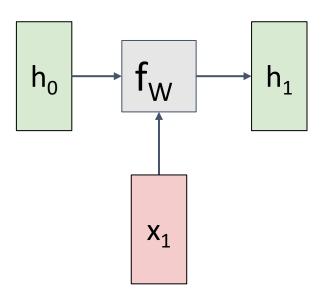
$$h_t = f_W(h_{t-1}, x_t)$$
  $\downarrow$  (also bias term) $h_t = anh(W_{hh}h_{t-1} + W_{xh}x_t)$   $y_t = W_{hy}h_t$ 

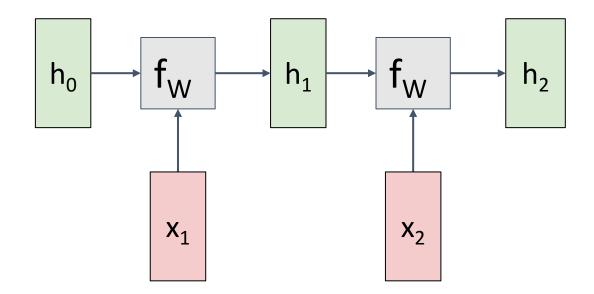
Sometimes called a "Vanilla RNN" or an "Elman RNN" after Prof. Jeffrey Elman

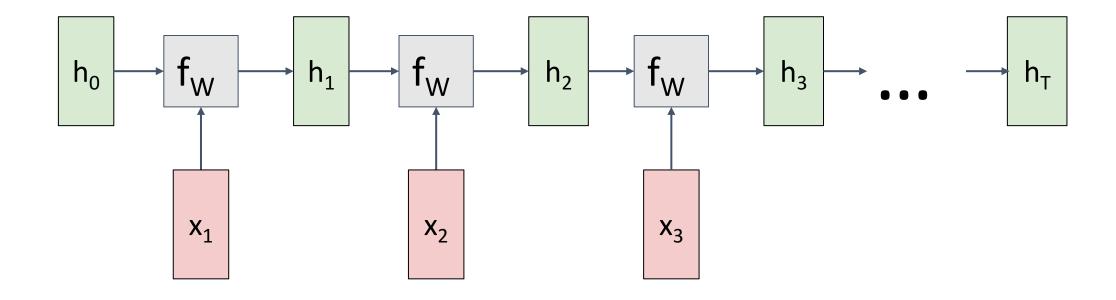
Initial hidden state Either set to all 0, Or learn it

h<sub>0</sub>

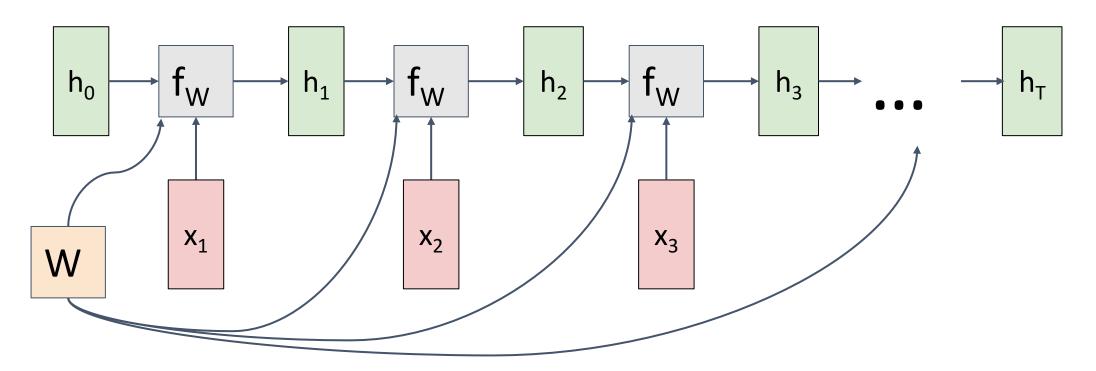
 $\mathsf{x}_1$ 



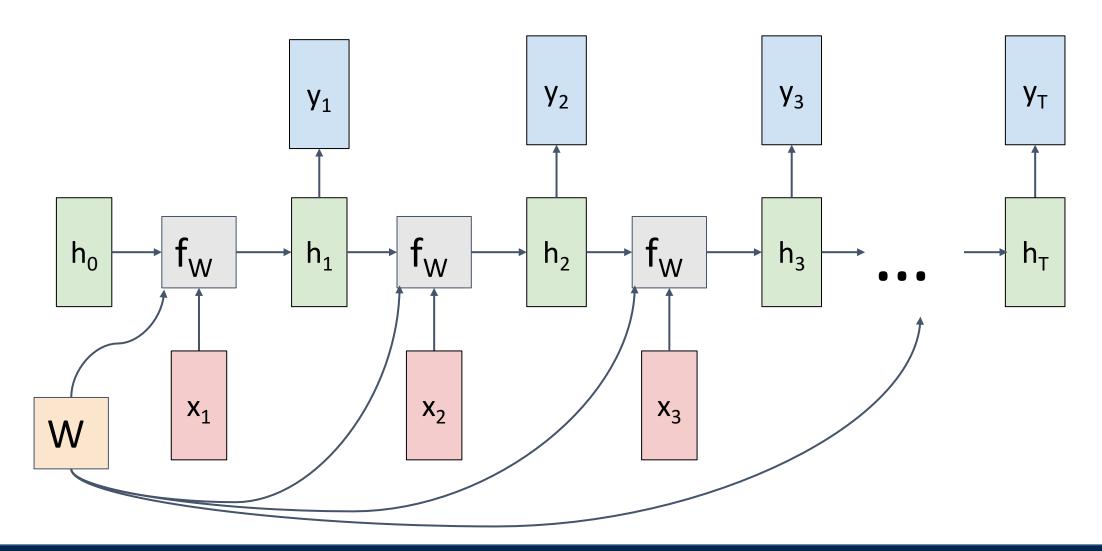




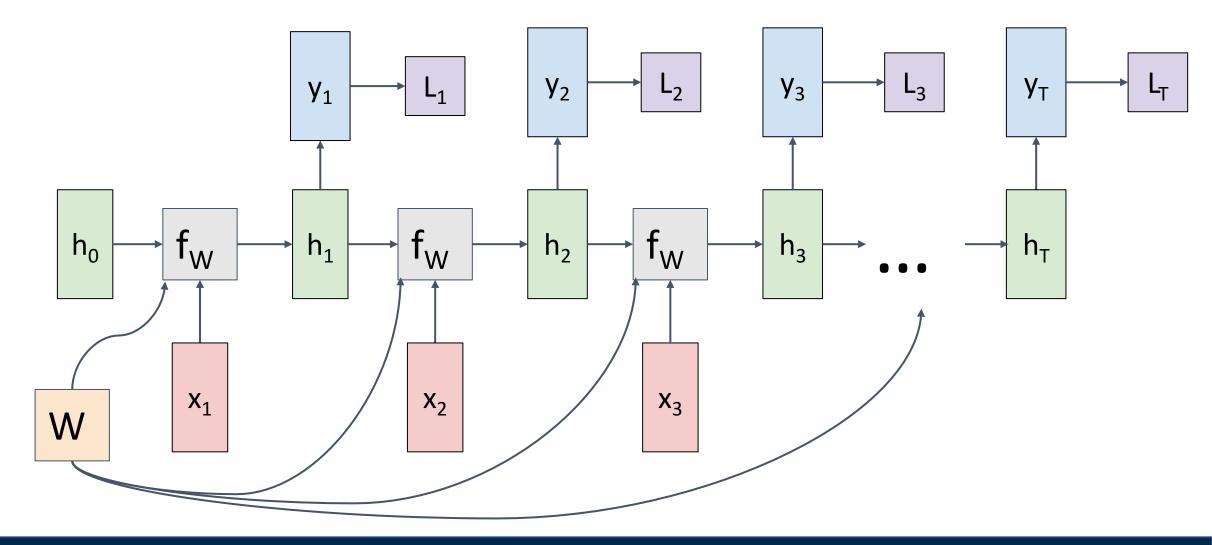
Re-use the same weight matrix at every time-step

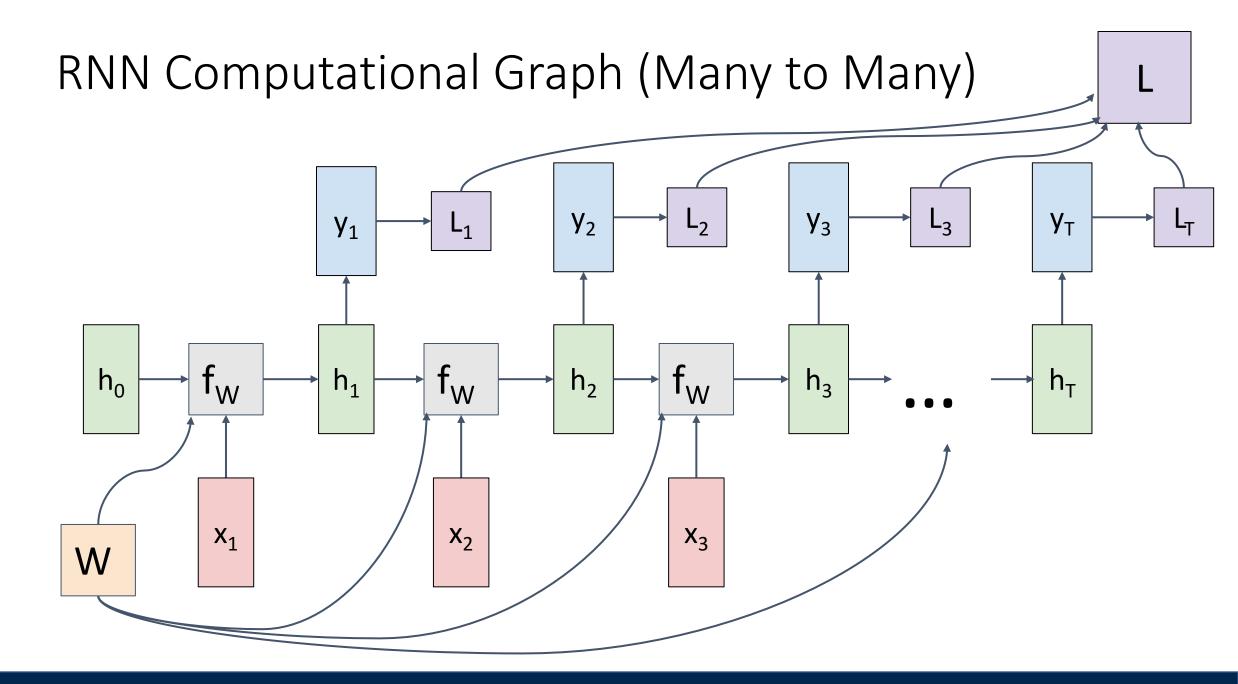


# RNN Computational Graph (Many to Many)

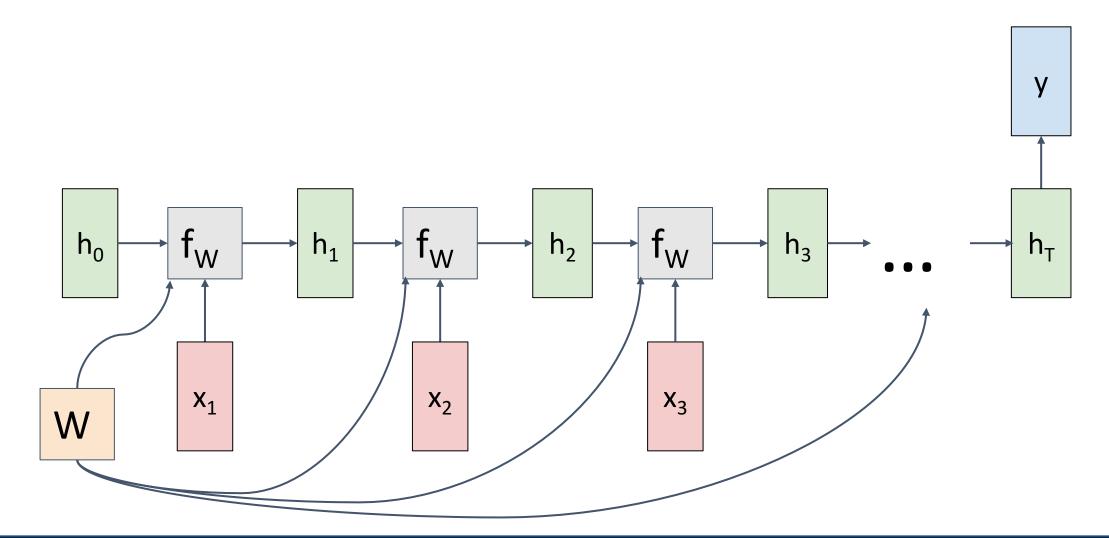


# RNN Computational Graph (Many to Many)

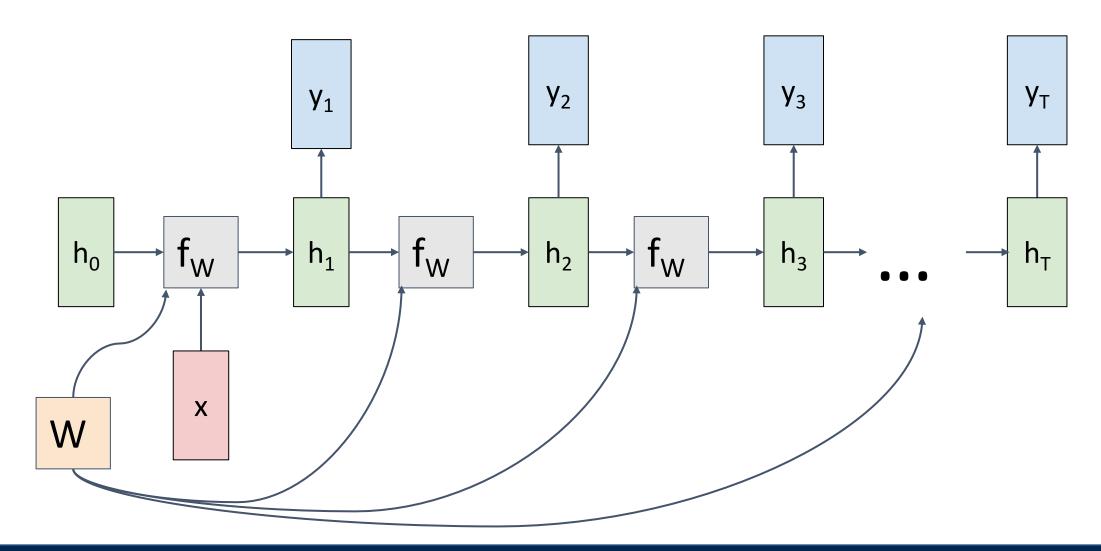




## RNN Computational Graph (Many to One)

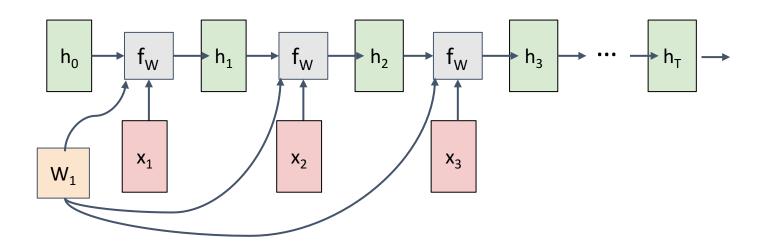


## RNN Computational Graph (One to Many)



# Sequence to Sequence (seq2seq) (Many to one) + (One to many)

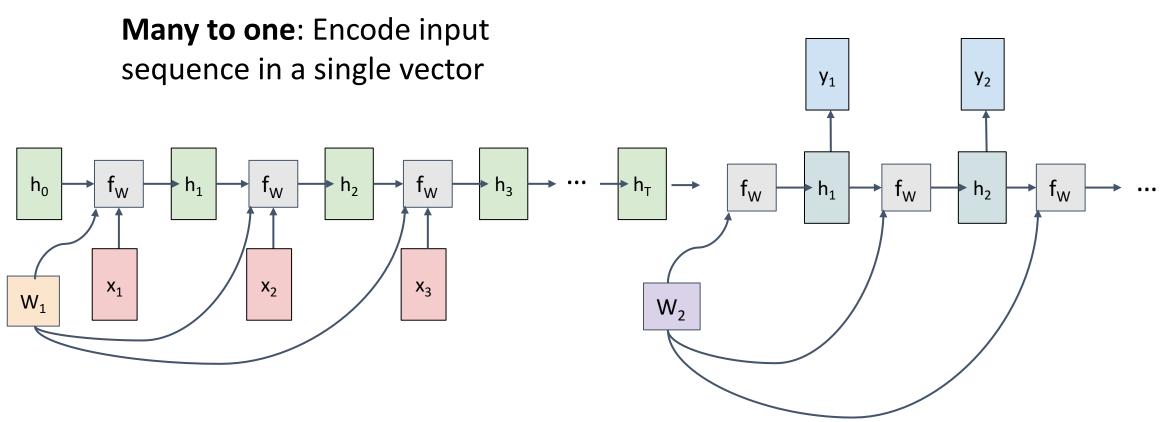
Many to one: Encode input sequence in a single vector



Sutskever et al, "Sequence to Sequence Learning with Neural Networks", NIPS 2014

# Sequence to Sequence (seq2seq) (Many to one) + (One to many)

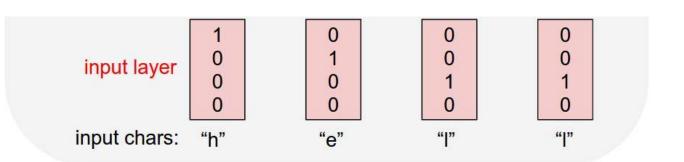
One to many: Produce output sequence from single input vector



Sutskever et al, "Sequence to Sequence Learning with Neural Networks", NIPS 2014

Given characters 1, 2, ..., t, model predicts character t

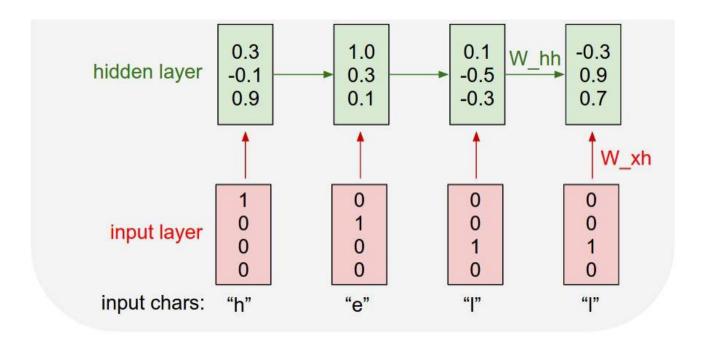
Training sequence: "hello"



Given characters 1, 2, ..., t, model predicts character t

$$h_t = anh(W_{hh}h_{t-1} + W_{xh}x_t)$$

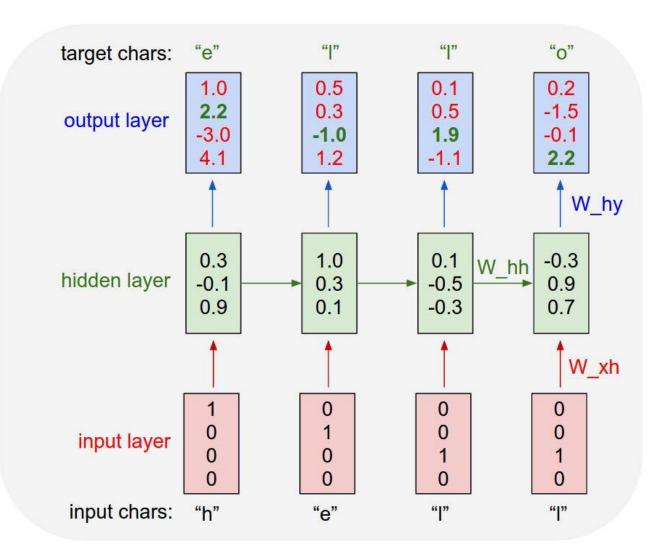
Training sequence: "hello"



Given characters 1, 2, ..., t, model predicts character t

$$h_t = anh(W_{hh}h_{t-1} + W_{xh}x_t)$$

Training sequence: "hello"

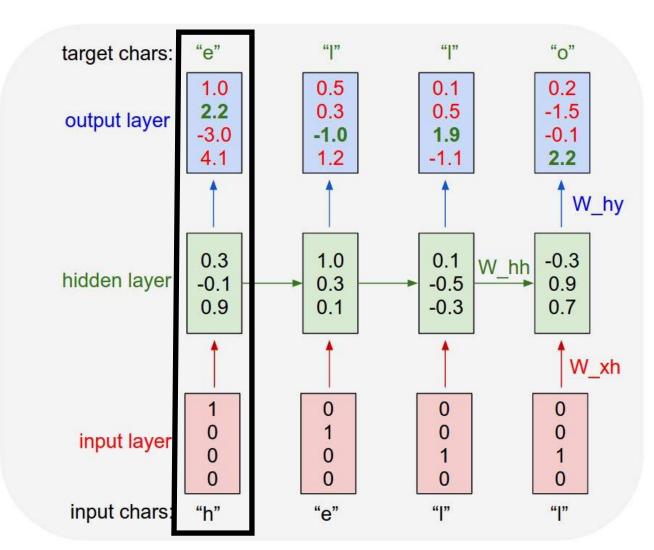


Given "h", predict "e"

Given characters 1, 2, ..., t, model predicts character t

$$h_t = anh(W_{hh}h_{t-1} + W_{xh}x_t)$$

Training sequence: "hello"

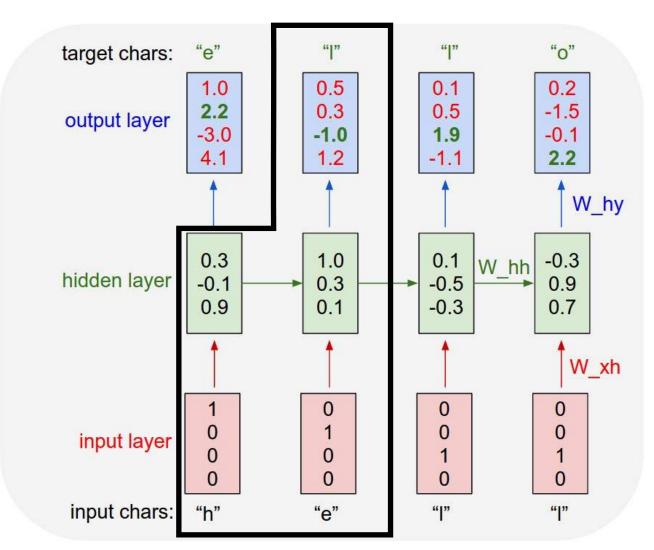


Given "he", predict "l"

Given characters 1, 2, ..., t, model predicts character t

$$h_t = anh(W_{hh}h_{t-1} + W_{xh}x_t)$$

Training sequence: "hello"

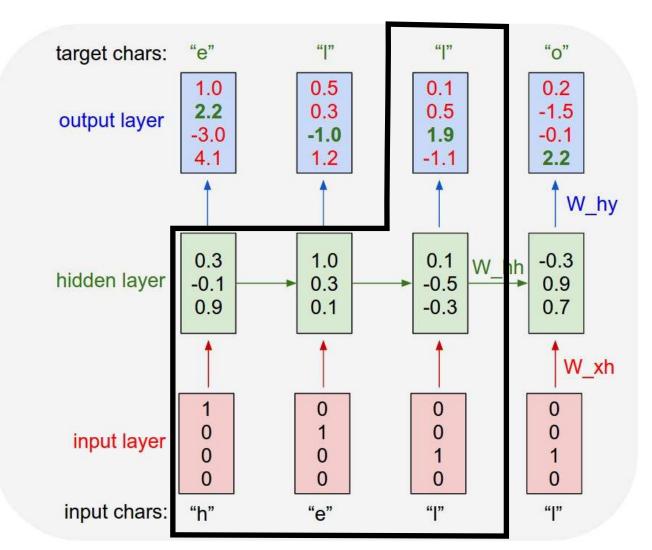


Given "hel", predict "l"

Given characters 1, 2, ..., t, model predicts character t

$$h_t = anh(W_{hh}h_{t-1} + W_{xh}x_t)$$

Training sequence: "hello"



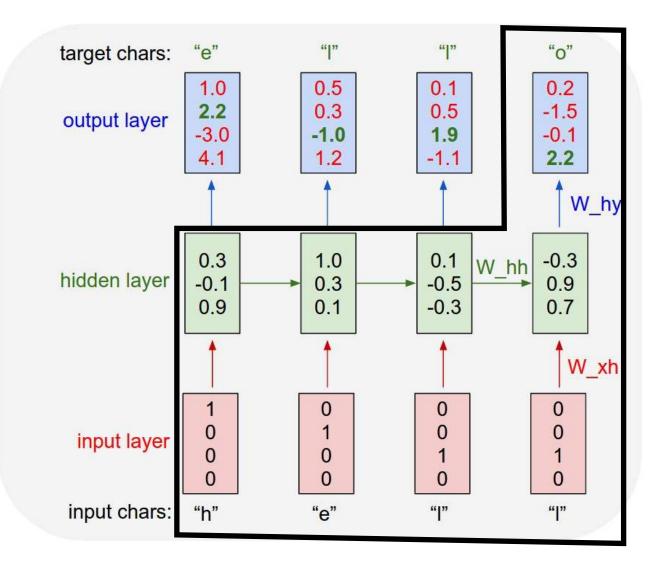
### Given "hell", predict "o"

Given characters 1, 2, ..., t, model predicts character t

$$h_t = anh(W_{hh}h_{t-1} + W_{xh}x_t)$$

Training sequence: "hello"

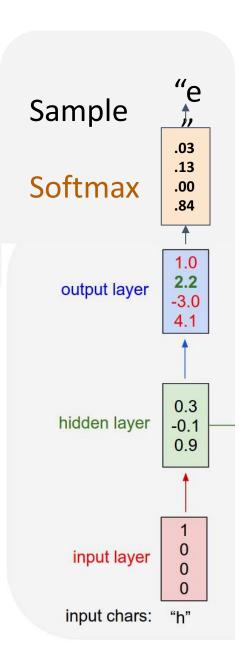
Vocabulary: [h, e, l, o]



At test-time, **generate** new text: sample characters one at a time, feed back to model

Training sequence: "hello"

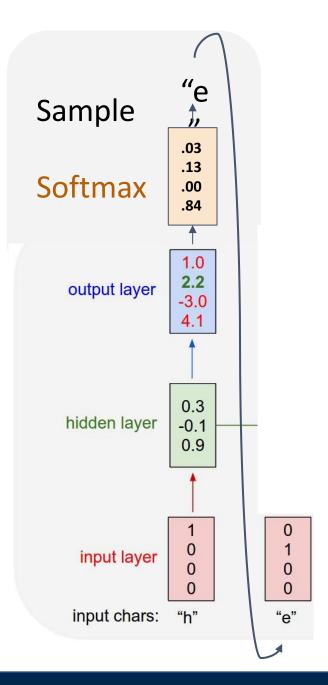
Vocabulary: [h, e, l, o]



At test-time, **generate** new text: sample characters one at a time, feed back to model

Training sequence: "hello"

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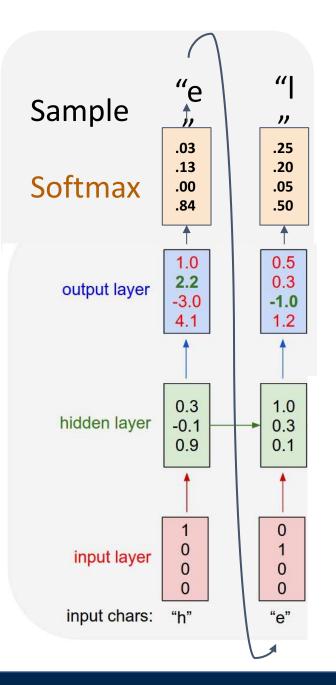


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At test-time, **generate** new text: sample characters one at a time, feed back to model

Training sequence: "hello"

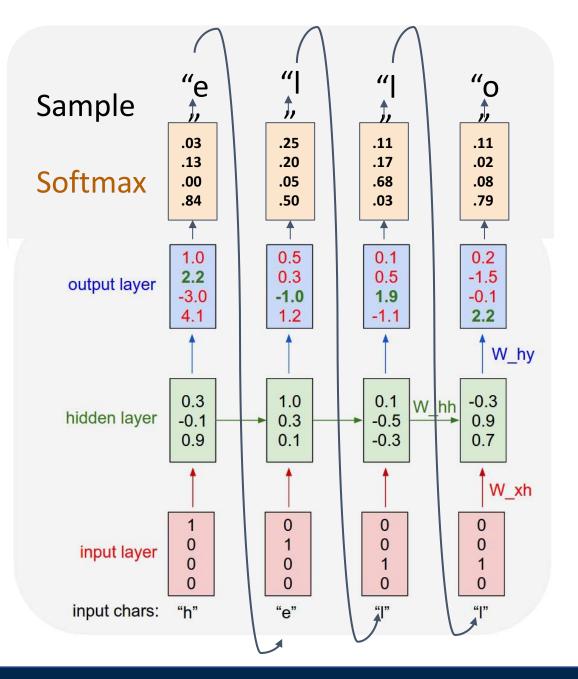
Vocabulary: [h, e, l, o]



At test-time, **generate** new text: sample characters one at a time, feed back to model

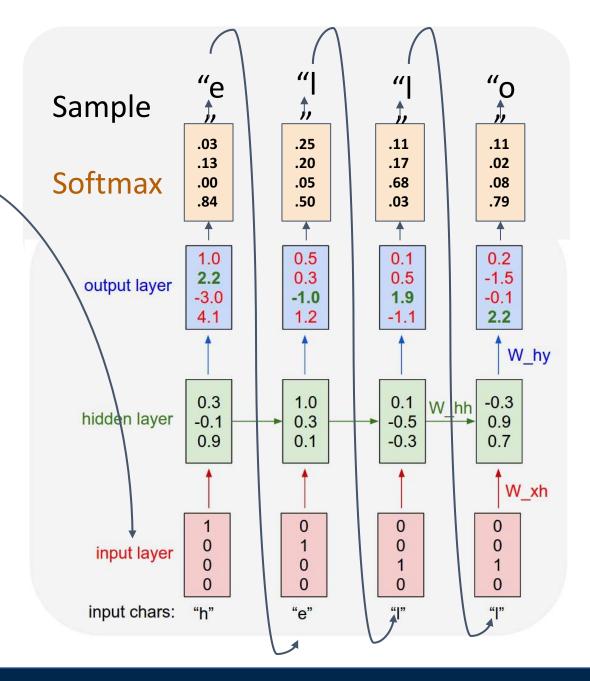
Training sequence: "hello"

Vocabulary: [h, e, l, o]



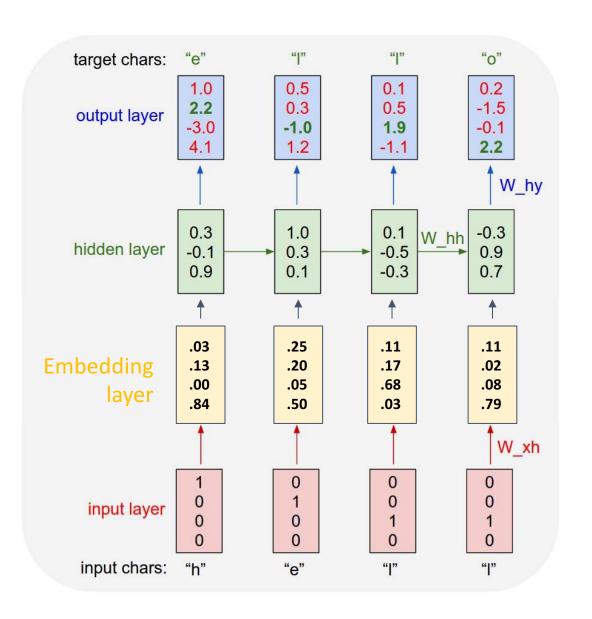
So far: encode inputs as **one-hot-vector** 

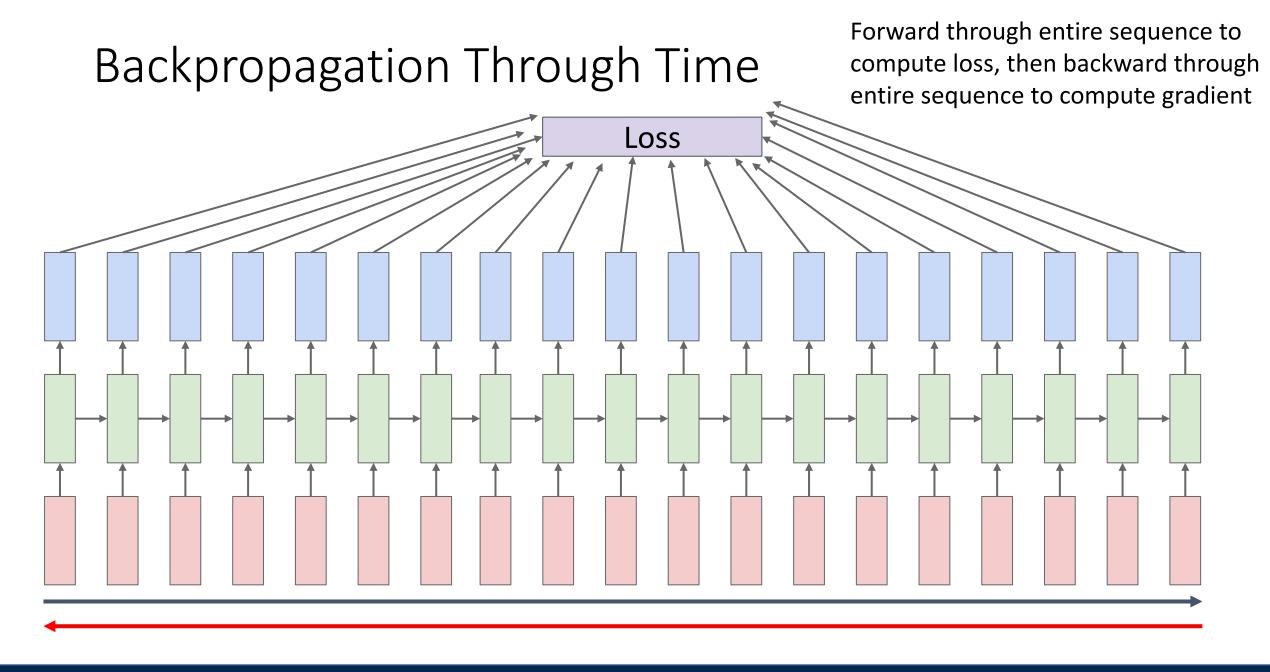
Matrix multiply with a one-hot vector just extracts a column from the weight matrix. Often extract this into a separate embedding layer



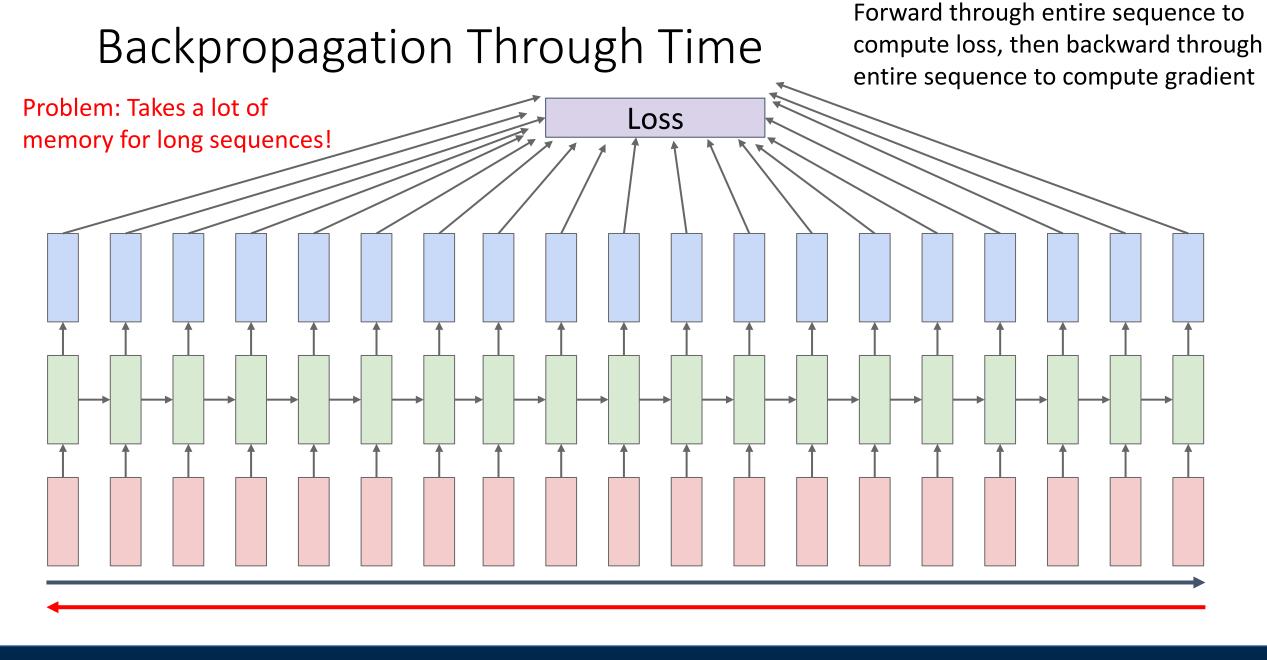
So far: encode inputs as **one-hot-vector** 

Matrix multiply with a one-hot vector just extracts a column from the weight matrix. Often extract this into a separate **embedding** layer



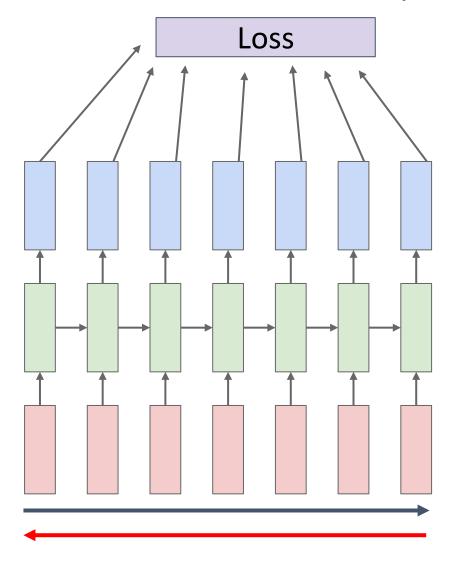


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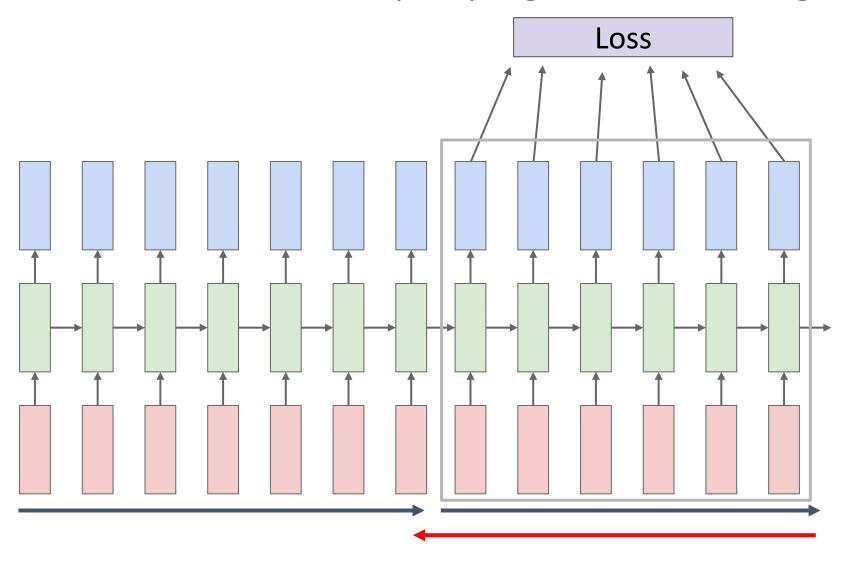
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### Truncated Backpropagation Through Time



Run forward and backward through chunks of the sequence instead of whole sequence

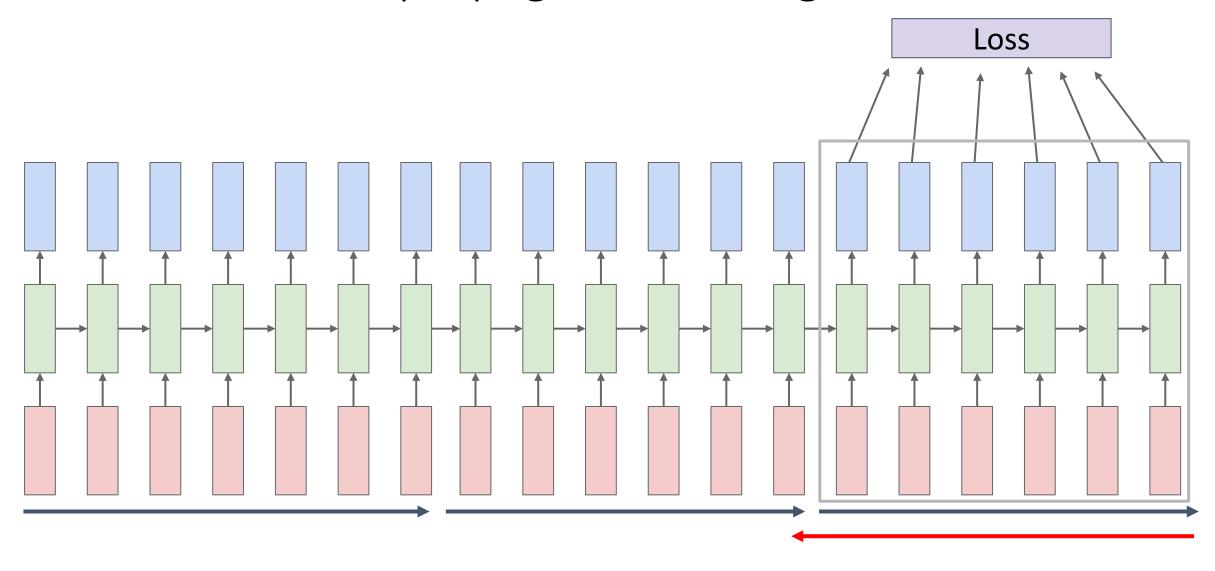
### Truncated Backpropagation Through Time



Carry hidden states forward in time forever, but only backpropagate for some smaller number of steps

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# Truncated Backpropagation Through Time



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### min-char-rnn.py: 112 lines of Python

```
Minimal character-level Vanilla RNN model. Written by Andrej Karpathy (@karpathy)
 5 import numpy as np
 a data = open('input.txt', 'r').read() # should be simple plain text file
data size, vocab size = len(data), len(chars)
print 'data has %d characters, %d unique.' % (data_size, vocab_size)
char to ix = { ch:i for i.ch in enumerate(chars) }
ix_to_char = { i:ch for i,ch in enumerate(chars) }
16 hidden_size = 100 # size of hidden layer of neurons
17 seq_length = 25 # number of steps to unroll the RNN for
18 learning_rate = 1e-1
on # model parameters
21 Wxh = np.random.randn(hidden_size, vocab_size)*0.01 # input to hidden
22 Whh = np.random.randn(hidden_size, hidden_size)*0.01 # hidden to hidden
23 Why = np.random.randn(vocab_size, hidden_size)*0.01 # hidden to output
24 bh = np.zeros((hidden_size, 1)) # hidden bias
by = np.zeros((vocab_size, 1)) # output bias
27 def lossFun(inputs, targets, hprev):
inputs, targets are both list of integers.
30 horev is Hx1 array of initial hidden state
      returns the loss, gradients on model parameters, and last hidden state
33 xs, hs, ys, ps = {}, {}, {}, {}
34 hs[-1] = np.copy(hprev)
36 # forward pass
37 for t in xrange(len(inputs)):
      xs[t] = np.zeros((vocab_size,1)) # encode in 1-of-k representation
       hs[t] = np.tanh(np.dot(Wxh, xs[t]) + np.dot(Whh, hs[t-1]) + bh) # hidden state
       ys[t] = np.dot(Why, hs[t]) + by # unnormalized log probabilities for next chars
       ps[t] = np.exp(ys[t]) / np.sum(np.exp(ys[t])) # probabilities for next chars
       loss += -np.log(ps[t][targets[t],0]) # softmax (cross-entropy loss)
44 # backward pass: compute gradients going backwards
dWxh, dWhh, dWhy = np.zeros_like(Wxh), np.zeros_like(Whh), np.zeros_like(Why)
46 dbh, dby = np.zeros_like(bh), np.zeros_like(by)
      dhnext = np.zeros_like(hs[0])
48 for t in reversed(xrange(len(inputs))):
       dy = np.copy(ps[t])
       dy[targets[t]] -= 1 # backprop into y
       dWhy += np.dot(dy, hs[t].T)
53 dh = np.dot(Why.T, dy) + dhnext # backprop into h
      dhraw = (1 - hs[t] * hs[t]) * dh # backprop through tanh nonlinearity
dwxh += np.dot(dhraw, xs[t],T)
57 dWhh += np.dot(dhraw, hs[t-1].T)
       dhnext = np.dot(Whh.T. dhraw)
      for dparam in [dWxh, dWhh, dWhy, dbh, dby]:
      np.clip(dparam. -5, 5, out=dparam) # clip to mitigate exploding gradients
61 return loss, dwxh, dwhh, dwhy, dbh, dby, hs[len(inputs)-1]
```

```
63 def sample(h, seed_ix, n):
 65 sample a sequence of integers from the model
       h is memory state, seed_ix is seed letter for first time step
 88 x = np.zeros((vocab_size, 1))
69 x[seed_ix] = 1
71 for t in xrange(n):
       h = np.tanh(np.dot(Wxh, x) + np.dot(Whh, h) + bh)
       y = np.dot(Why, h) + by
        p = np.exp(y) / np.sum(np.exp(y))
        ix = np.random.choice(range(vocab_size), p=p.ravel())
        x = np.zeros((vocab_size, 1))
        x[ix] = 1
        ixes.append(ix)
       return ixes
82 mWxh, mWhh, mWhy = np.zeros_like(Wxh), np.zeros_like(Whh), np.zeros_like(Why)
mbh, mby = np.zeros_like(bh), np.zeros_like(by) # memory variables for Adagrad
 84 smooth_loss = -np.log(1.0/vocab_size)*seq_length # loss at iteration 0
 # prepare inputs (we're sweeping from left to right in steps seq_length long)
       if p+seq length+1 >= len(data) or n == 0:
        hprev = np.zeros((hidden_size,1)) # reset RNN memory
        p = 0 # go from start of data
       inputs = [char_to_ix[ch] for ch in data[p:p+seq_length]]
       targets = [char_to_ix[ch] for ch in data[p+1:p+seq_length+1]]
      # sample from the model now and then
        sample_ix = sample(hprev, inputs[0], 200)
        txt = ''.join(ix_to_char[ix] for ix in sample_ix)
        print '----\n %s \n----' % (txt, )
       # forward seq_length characters through the net and fetch gradient
       loss, dWxh, dWhh, dWhy, dbh, dby, hprev = lossFun(inputs, targets, hprev)
181 smooth_loss = smooth_loss * 0.999 + loss * 0.001
      if n % 100 == 0: print 'iter %d, loss: %f' % (n, smooth_loss) # print progress
194 # perform parameter update with Adagrad
105 for param, dparam, mem in zip([Wxh, Whh, Why, bh, by],
                                  [dWxh, dWhh, dWhy, dbh, dby],
                                   [mWxh, mWhh, mWhy, mbh, mby]):
        mem += dparam * dparam
        param += -learning_rate * dparam / np.sqrt(mem + 1e-8) # adagrad update
p += seq_length # move data pointer
112 n += 1 # iteration counter
```

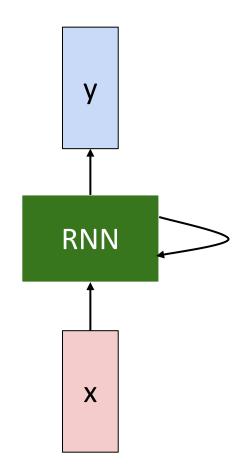
(https://gist.github.com/karpathy/d4dee566867f8291f086)

### THE SONNETS

### by William Shakespeare

From fairest creatures we desire increase,
That thereby beauty's rose might never die,
But as the riper should by time decease,
His tender heir might bear his memory:
But thou, contracted to thine own bright eyes,
Feed'st thy light's flame with self-substantial fuel,
Making a famine where abundance lies,
Thyself thy foe, to thy sweet self too cruel:
Thou that art now the world's fresh ornament,
And only herald to the gaudy spring,
Within thine own bud buriest thy content,
And tender churl mak'st waste in niggarding:
Pity the world, or else this glutton be,
To eat the world's due, by the grave and thee.

When forty winters shall besiege thy brow,
And dig deep trenches in thy beauty's field,
Thy youth's proud livery so gazed on now,
Will be a tatter'd weed of small worth held:
Then being asked, where all thy beauty lies,
Where all the treasure of thy lusty days;
To say, within thine own deep sunken eyes,
Were an all-eating shame, and thriftless praise.
How much more praise deserv'd thy beauty's use,
If thou couldst answer 'This fair child of mine
Shall sum my count, and make my old excuse,'
Proving his beauty by succession thine!
This were to be new made when thou art old,
And see thy blood warm when thou feel'st it cold.



tyntd-iafhatawiaoihrdemot lytdws e ,tfti, astai f ogoh eoase rrranbyne 'nhthnee e plia tklrgd t o idoe ns,smtt h ne etie h,hregtrs nigtike,aoaenns lng

tyntd-iafhatawiaoihrdemot lytdws e ,tfti, astai f ogoh eoase rrranbyne 'nhthnee e plia tklrgd t o idoe ns,smtt h ne etie h,hregtrs nigtike,aoaenns lng

### train more

"Tmont thithey" fomesscerliund
Keushey. Thom here
sheulke, anmerenith ol sivh I lalterthend Bleipile shuwy fil on aseterlome
coaniogennc Phe lism thond hon at. MeiDimorotion in ther thize."

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### train more

Aftair fall unsuch that the hall for Prince Velzonski's that me of her hearly, and behs to so arwage fiving were to it beloge, pavu say falling misfort how, and Gogition is so overelical and ofter.

tyntd-iafhatawiaoihrdemot lytdws e ,tfti, astai f ogoh eoase rrranbyne 'nhthnee e plia tklrgd t o idoe ns,smtt h ne etie h,hregtrs nigtike,aoaenns lng

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### train more

"Why do what that day," replied Natasha, and wishing to himself the fact the princess, Princess Mary was easier, fed in had oftened him. Pierre aking his soul came to the packs and drove up his father-in-law women.

#### PANDARUS:

Alas, I think he shall be come approached and the day When little srain would be attain'd into being never fed, And who is but a chain and subjects of his death, I should not sleep.

#### Second Senator:

They are away this miseries, produced upon my soul, Breaking and strongly should be buried, when I perish The earth and thoughts of many states.

#### DUKE VINCENTIO:

Well, your wit is in the care of side and that.

#### Second Lord:

They would be ruled after this chamber, and my fair nues begun out of the fact, to be conveyed, Whose noble souls I'll have the heart of the wars.

#### Clown:

Come, sir, I will make did behold your worship.

#### VIOLA:

I'll drink it.

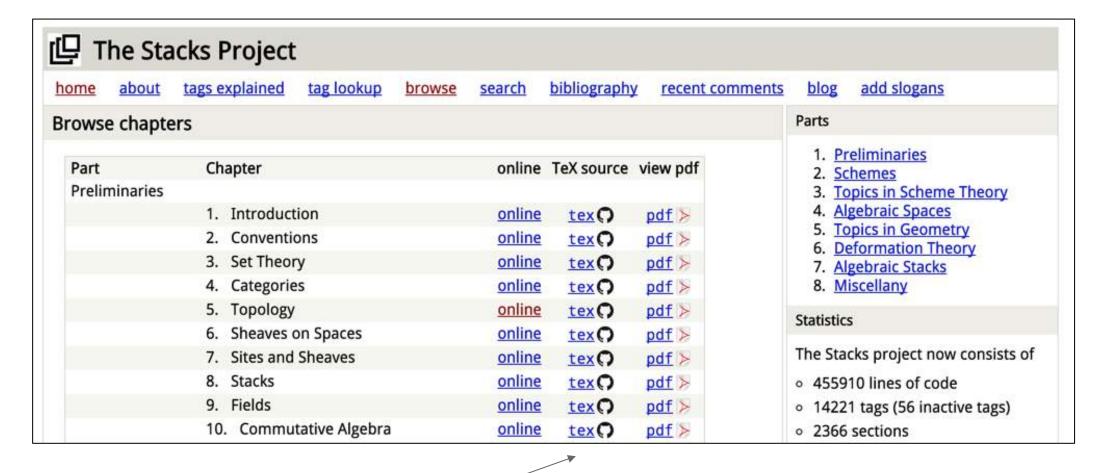
#### VIOLA:

Why, Salisbury must find his flesh and thought
That which I am not aps, not a man and in fire,
To show the reining of the raven and the wars
To grace my hand reproach within, and not a fair are hand,
That Caesar and my goodly father's world;
When I was heaven of presence and our fleets,
We spare with hours, but cut thy council I am great,
Murdered and by thy master's ready there
My power to give thee but so much as hell:
Some service in the noble bondman here,
Would show him to her wine.

#### KING LEAR:

O, if you were a feeble sight, the courtesy of your law, Your sight and several breath, will wear the gods With his heads, and my hands are wonder'd at the deeds, So drop upon your lordship's head, and your opinion Shall be against your honour.

### The Stacks Project: Open-Source Algebraic Geometry Textbook



Latex source

http://stacks.math.columbia.edu/

The stacks project is licensed under the <u>GNU Free Documentation License</u>

For  $\bigoplus_{n=1,\ldots,m}$  where  $\mathcal{L}_{m_{\bullet}} = 0$ , hence we can find a closed subset  $\mathcal{H}$  in  $\mathcal{H}$  and any sets  $\mathcal{F}$  on X, U is a closed immersion of S, then  $U \to T$  is a separated algebraic space.

*Proof.* Proof of (1). It also start we get

$$S = \operatorname{Spec}(R) = U \times_X U \times_X U$$

and the comparisoly in the fibre product covering we have to prove the lemma generated by  $\coprod Z \times_U U \to V$ . Consider the maps M along the set of points  $Sch_{fppf}$  and  $U \to U$  is the fibre category of S in U in Section, ?? and the fact that any U affine, see Morphisms, Lemma ??. Hence we obtain a scheme S and any open subset  $W \subset U$  in Sh(G) such that  $Spec(R') \to S$  is smooth or an

$$U = \bigcup U_i \times_{S_i} U_i$$

which has a nonzero morphism we may assume that  $f_i$  is of finite presentation over S. We claim that  $\mathcal{O}_{X,x}$  is a scheme where  $x, x', s'' \in S'$  such that  $\mathcal{O}_{X,x'} \to \mathcal{O}'_{X',x'}$  is separated. By Algebra, Lemma ?? we can define a map of complexes  $\mathrm{GL}_{S'}(x'/S'')$  and we win.

To prove study we see that  $\mathcal{F}|_U$  is a covering of  $\mathcal{X}'$ , and  $\mathcal{T}_i$  is an object of  $\mathcal{F}_{X/S}$  for i > 0 and  $\mathcal{F}_p$  exists and let  $\mathcal{F}_i$  be a presheaf of  $\mathcal{O}_X$ -modules on  $\mathcal{C}$  as a  $\mathcal{F}$ -module. In particular  $\mathcal{F} = U/\mathcal{F}$  we have to show that

$$\widetilde{M}^{\bullet} = \mathcal{I}^{\bullet} \otimes_{\operatorname{Spec}(k)} \mathcal{O}_{S,s} - i_X^{-1} \mathcal{F})$$

is a unique morphism of algebraic stacks. Note that

$$Arrows = (Sch/S)_{fppf}^{opp}, (Sch/S)_{fppf}$$

and

$$V = \Gamma(S, \mathcal{O}) \longmapsto (U, \operatorname{Spec}(A))$$

is an open subset of X. Thus U is affine. This is a continuous map of X is the inverse, the groupoid scheme S.

*Proof.* See discussion of sheaves of sets.

The result for prove any open covering follows from the less of Example ??. It may replace S by  $X_{spaces,\acute{e}tale}$  which gives an open subspace of X and T equal to  $S_{Zar}$ , see Descent, Lemma ??. Namely, by Lemma ?? we see that R is geometrically regular over S.

**Lemma 0.1.** Assume (3) and (3) by the construction in the description.

Suppose  $X = \lim |X|$  (by the formal open covering X and a single map  $\underline{Proj}_X(A) = \operatorname{Spec}(B)$  over U compatible with the complex

$$Set(A) = \Gamma(X, \mathcal{O}_{X, \mathcal{O}_X}).$$

When in this case of to show that  $Q \to C_{Z/X}$  is stable under the following result in the second conditions of (1), and (3). This finishes the proof. By Definition ?? (without element is when the closed subschemes are catenary. If T is surjective we may assume that T is connected with residue fields of S. Moreover there exists a closed subspace  $Z \subset X$  of X where U in X' is proper (some defining as a closed subset of the uniqueness it suffices to check the fact that the following theorem

(1) f is locally of finite type. Since  $S = \operatorname{Spec}(R)$  and  $Y = \operatorname{Spec}(R)$ .

*Proof.* This is form all sheaves of sheaves on X. But given a scheme U and a surjective étale morphism  $U \to X$ . Let  $U \cap U = \coprod_{i=1,\dots,n} U_i$  be the scheme X over S at the schemes  $X_i \to X$  and  $U = \lim_i X_i$ .

The following lemma surjective restrocomposes of this implies that  $\mathcal{F}_{x_0} = \mathcal{F}_{x_0} = \mathcal{F}_{\mathcal{X},\dots,0}$ .

**Lemma 0.2.** Let X be a locally Noetherian scheme over S,  $E = \mathcal{F}_{X/S}$ . Set  $\mathcal{I} = \mathcal{J}_1 \subset \mathcal{I}'_n$ . Since  $\mathcal{I}^n \subset \mathcal{I}^n$  are nonzero over  $i_0 \leq \mathfrak{p}$  is a subset of  $\mathcal{J}_{n,0} \circ \overline{A}_2$  works.

**Lemma 0.3.** In Situation ??. Hence we may assume q' = 0.

*Proof.* We will use the property we see that  $\mathfrak p$  is the mext functor  $(\ref{eq:proof.})$ . On the other hand, by Lemma  $\ref{eq:proof.}$  we see that

$$D(\mathcal{O}_{X'}) = \mathcal{O}_X(D)$$

where K is an F-algebra where  $\delta_{n+1}$  is a scheme over S.

Proof. Omitted.

Lemma 0.1. Let C be a set of the construction.

Let C be a gerber covering. Let F be a quasi-coherent sheaves of O-modules. We have to show that

$$\mathcal{O}_{\mathcal{O}_X} = \mathcal{O}_X(\mathcal{L})$$

.

*Proof.* This is an algebraic space with the composition of sheaves  $\mathcal{F}$  on  $X_{\acute{e}tale}$  we have

$$\mathcal{O}_X(\mathcal{F}) = \{morph_1 \times_{\mathcal{O}_X} (\mathcal{G}, \mathcal{F})\}$$

where G defines an isomorphism  $F \to F$  of O-modules.

**Lemma 0.2.** This is an integer Z is injective.

Proof. See Spaces, Lemma ??.

**Lemma 0.3.** Let S be a scheme. Let X be a scheme and X is an affine open covering. Let  $U \subset X$  be a canonical and locally of finite type. Let X be a scheme. Let X be a scheme which is equal to the formal complex.

The following to the construction of the lemma follows.

Let X be a scheme. Let X be a scheme covering. Let

$$b: X \to Y' \to Y \to Y \to Y' \times_X Y \to X$$
.

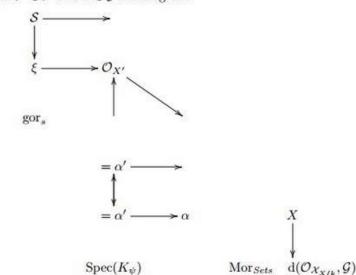
be a morphism of algebraic spaces over S and Y.

*Proof.* Let X be a nonzero scheme of X. Let X be an algebraic space. Let  $\mathcal{F}$  be a quasi-coherent sheaf of  $\mathcal{O}_X$ -modules. The following are equivalent

- (1)  $\mathcal{F}$  is an algebraic space over S.
- (2) If X is an affine open covering.

Consider a common structure on X and X the functor  $\mathcal{O}_X(U)$  which is locally of finite type.

This since  $\mathcal{F} \in \mathcal{F}$  and  $x \in \mathcal{G}$  the diagram



is a limit. Then G is a finite type and assume S is a flat and F and G is a finite type  $f_*$ . This is of finite type diagrams, and

- the composition of G is a regular sequence,
- O<sub>X'</sub> is a sheaf of rings.

*Proof.* We have see that  $X = \operatorname{Spec}(R)$  and  $\mathcal{F}$  is a finite type representable by algebraic space. The property  $\mathcal{F}$  is a finite morphism of algebraic stacks. Then the cohomology of X is an open neighbourhood of U.

*Proof.* This is clear that G is a finite presentation, see Lemmas ??.

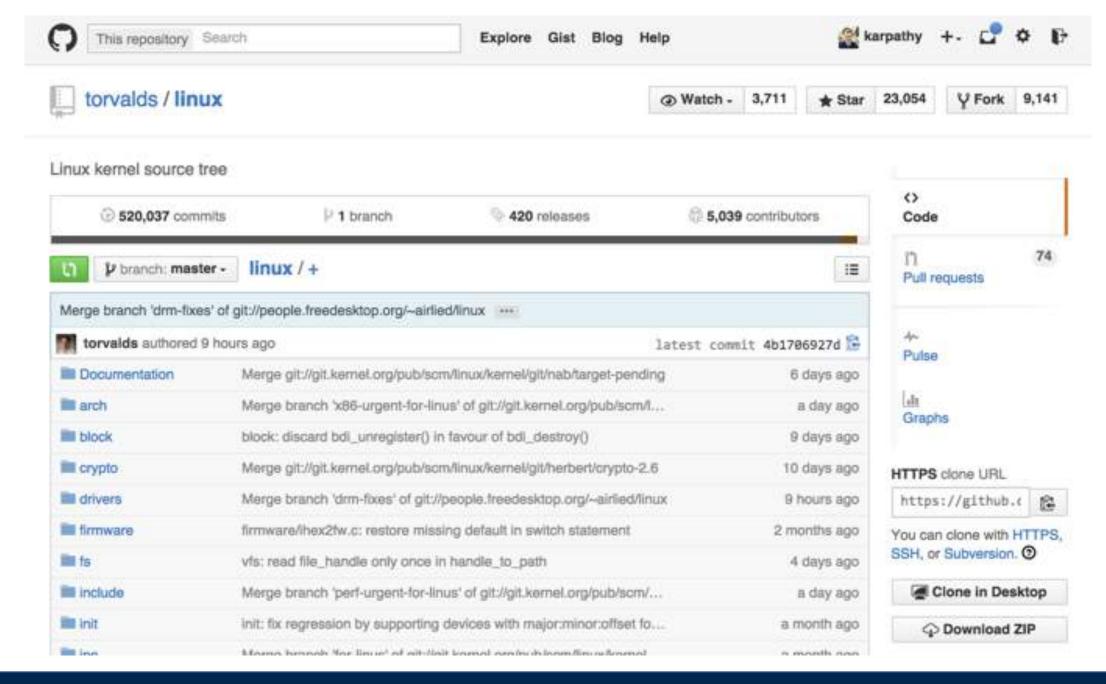
A reduced above we conclude that U is an open covering of C. The functor F is a "field

$$\mathcal{O}_{X,x} \longrightarrow \mathcal{F}_{\overline{x}} -1(\mathcal{O}_{X_{\ell tale}}) \longrightarrow \mathcal{O}_{X_{\ell}}^{-1}\mathcal{O}_{X_{\lambda}}(\mathcal{O}_{X_{\eta}}^{\overline{v}})$$

is an isomorphism of covering of  $\mathcal{O}_{X_i}$ . If  $\mathcal{F}$  is the unique element of  $\mathcal{F}$  such that X is an isomorphism.

The property  $\mathcal{F}$  is a disjoint union of Proposition ?? and we can filtered set of presentations of a scheme  $\mathcal{O}_X$ -algebra with  $\mathcal{F}$  are opens of finite type over S. If  $\mathcal{F}$  is a scheme theoretic image points.

If  $\mathcal{F}$  is a finite direct sum  $\mathcal{O}_{X_{\lambda}}$  is a closed immersion, see Lemma ??. This is a sequence of  $\mathcal{F}$  is a similar morphism.

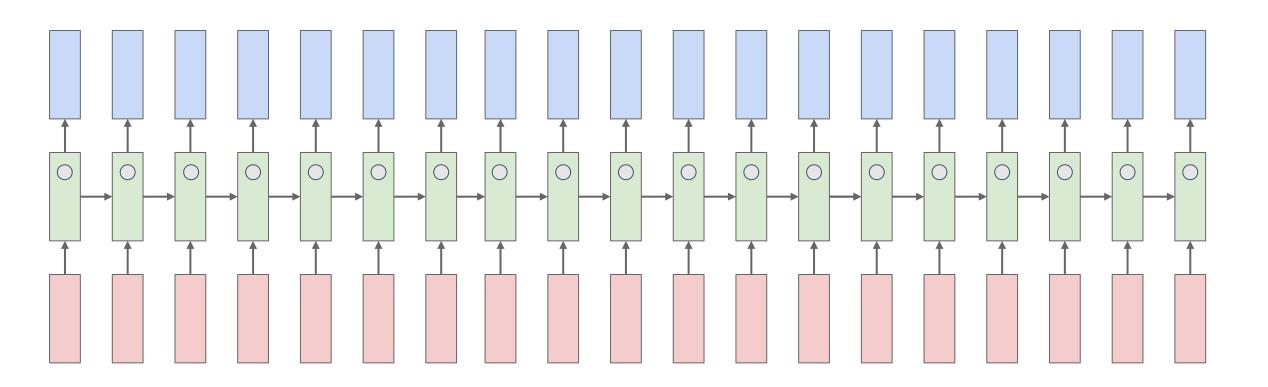


```
static void do command(struct seq file *m, void *v)
  int column = 32 << (cmd[2] & 0x80);
  if (state)
    cmd = (int)(int state ^ (in 8(&ch->ch flags) & Cmd) ? 2 : 1);
  else
    seq = 1;
  for (i = 0; i < 16; i++) {
    if (k & (1 << 1))
      pipe = (in use & UMXTHREAD UNCCA) +
        ((count & 0x0000000ffffffff8) & 0x000000f) << 8;
    if (count == 0)
      sub(pid, ppc md.kexec handle, 0x20000000);
    pipe set bytes(i, 0);
  /* Free our user pages pointer to place camera if all dash */
  subsystem info = &of changes[PAGE SIZE];
  rek controls(offset, idx, &soffset);
  /* Now we want to deliberately put it to device */
  control check polarity(&context, val, 0);
  for (i = 0; i < COUNTER; i++)
    seq puts(s, "policy ");
```

# Generated C code

```
Copyright (c) 2006-2010, Intel Mobile Communications. All rights reserved.
    This program is free software; you can redistribute it and/or modify it
 * under the terms of the GNU General Public License version 2 as published by
 * the Free Software Foundation.
         This program is distributed in the hope that it will be useful,
 * but WITHOUT ANY WARRANTY; without even the implied warranty of
     MERCHANTABILITY OF FITNESS FOR A PARTICULAR PURPOSE. See the
   GNU General Public License for more details.
    You should have received a copy of the GNU General Public License
      along with this program; if not, write to the Free Software Foundation,
   Inc., 675 Mass Ave, Cambridge, MA 02139, USA.
 #/
#include ux/kexec.h>
#include ux/errno.h>
#include ux/io.h>
#include ux/platform device.h>
#include nux/multi.h>
#include ux/ckevent.h>
#include <asm/io.h>
#include <asm/prom.h>
#include <asm/e820.h>
#include <asm/system info.h>
#include <asm/setew.h>
#include <asm/pgproto.h>
```

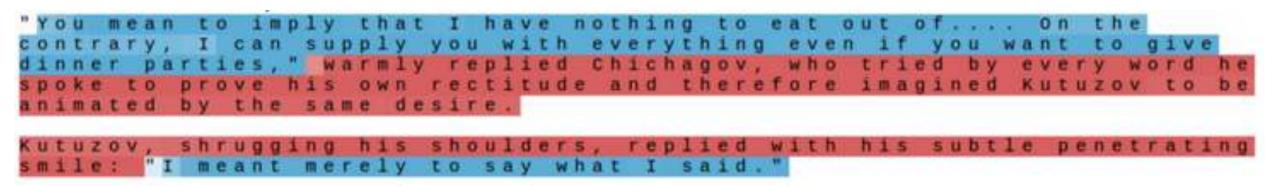
```
#include <asm/io.h>
#include <asm/prom.h>
#include <asm/e820.h>
#include <asm/system info.h>
#include <asm/setew.h>
#include <asm/pgproto.h>
#define REG PG vesa slot addr pack
#define PFM NOCOMP AFSR(0, load)
#define STACK_DDR(type) (func)
#define SWAP ALLOCATE(nr) (e)
#define emulate sigs() arch get unaligned child()
#define access_rw(TST) asm volatile("movd %%esp, %0, %3" : : "r" (0)); \
 if ( type & DO READ)
static void stat PC SEC read mostly offsetof(struct seg argsqueue, \
         pC>[1]);
static void
os prefix(unsigned long sys)
#ifdef CONFIG PREEMPT
 PUT PARAM RAID(2, sel) = get state state();
 set_pid_sum((unsigned long)state, current_state_str(),
          (unsigned long)-1->lr full; low;
```



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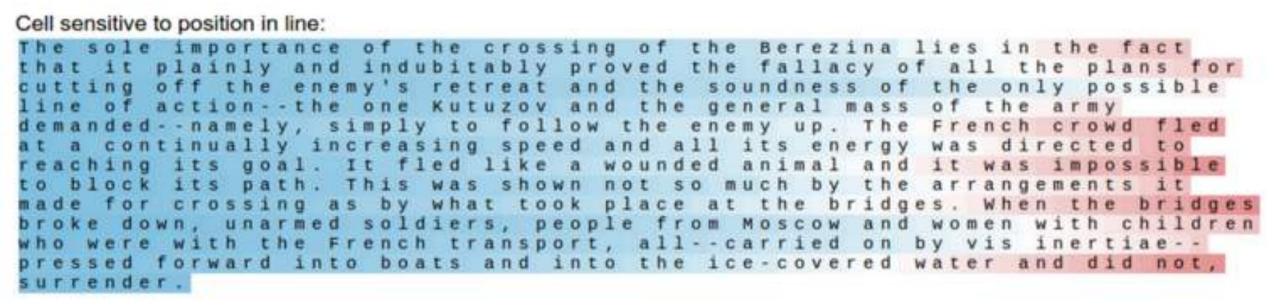
```
/* Unpack a filter field's string representation from user-space
   buffer. */
char 'audit_unpack_string(void 'bufp, size_t 'remain, size_t len)
{
   char 'str;
   if (! bufp || (len == 0) || (len > 'remain))
    return ERR_PTR(-EINVAL);
/* Of the currently implemented string fields, PATH_MAX
    defines the longest valid length.
   //
```

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### quote detection cell

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### line length tracking cell

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```
static int __dequeue_signal(struct sigpending *pending, sigset_t *mask,
    siginfo_t *info)
{
    int sig = next_signal(pending, mask);
    if (sig) {
        if (current->notifier) {
            if (sigismember(current->notifier_mask, sig)) {
                if (l(current->notifier)(current->notifier_data)) {
                 clear_thread_flag(TIF_SIGPENDING);
                 return 0;
            }
        }
        collect_signal(sig, pending, info);
}
return sig;
}
```

if statement cell

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```
Cell that turns on inside comments and quotes:
                                quote/comment cell
```

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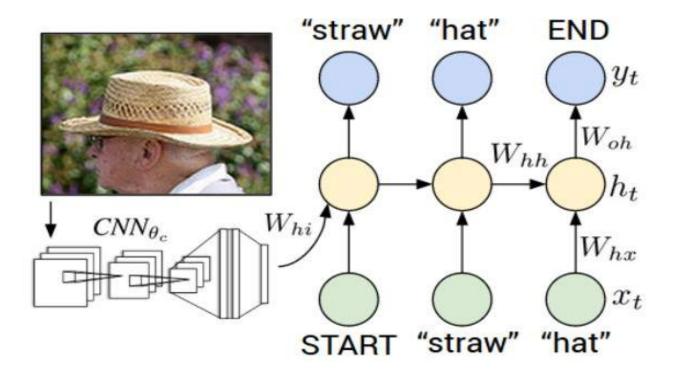
```
#ifdef CONFIG_AUDITSYSCALL
static inline int audit_match_class_bits(int class, u32 *mask)

int i;
if (classes[class]) {
  for (i = 0; i < AUDIT_BITMASK_SIZE; i++)
    if (mask[i] & classes[class][i])
    return 0;
}
return 1;
}</pre>
```

code depth cell

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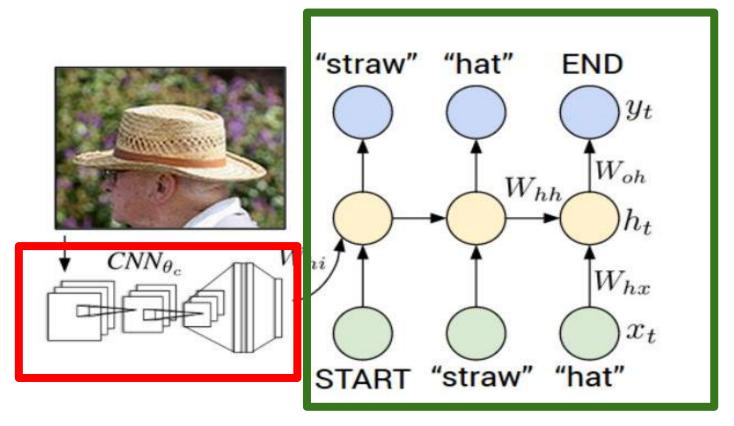
# Example: Image Captioning



Mao et al, "Explain Images with Multimodal Recurrent Neural Networks", NeurIPS 2014 Deep Learning and Representation Workshop Karpathy and Fei-Fei, "Deep Visual-Semantic Alignments for Generating Image Descriptions", CVPR 2015 Vinyals et al, "Show and Tell: A Neural Image Caption Generator", CVPR 2015 Donahue et al, "Long-term Recurrent Convolutional Networks for Visual Recognition and Description", CVPR 2015 Chen and Zitnick, "Learning a Recurrent Visual Representation for Image Caption Generation", CVPR 2015

Figure from Karpathy et a, "Deep Visual-Semantic Alignments for Generating Image Descriptions", CVPR 2015

### Example: Image Captioning



Recurrent Neural Network

### **Convolutional Neural Network**

Figure from Karpathy et a, "Deep Visual-Semantic Alignments for Generating Image Descriptions", CVPR 2015

**Transfer learning**: Take CNN trained on ImageNet, chop off last layer

conv-256

maxpool

conv-512

conv-512

maxpool

conv-512

conv-512

maxpool

FC-4096 FC-4096

FC-1000

image

conv-64

conv-64

maxpool

conv-128

conv-128

maxpool

conv-256

conv-256

maxpool

conv-512

conv-512

maxpool

conv-512

conv-512

maxpool

FC-4096

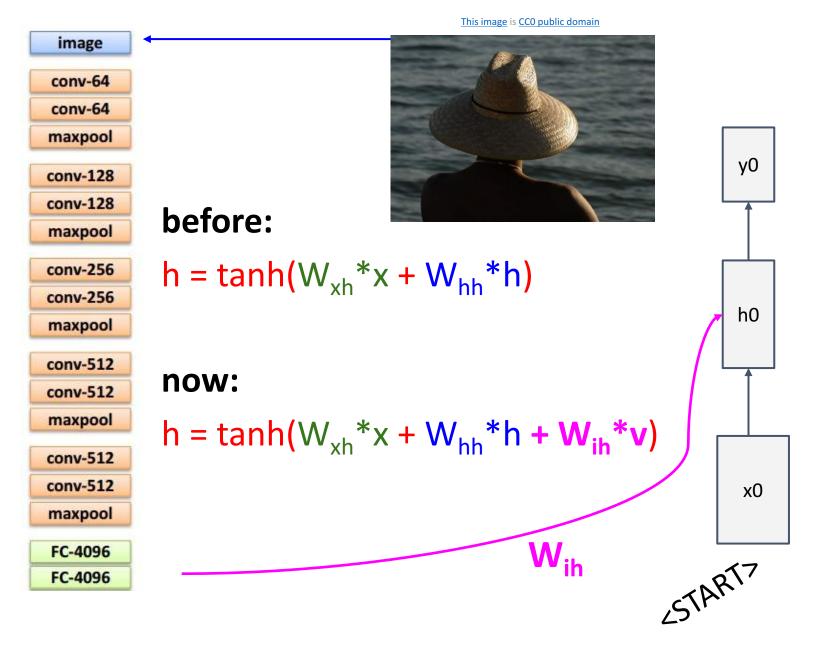
FC-4096

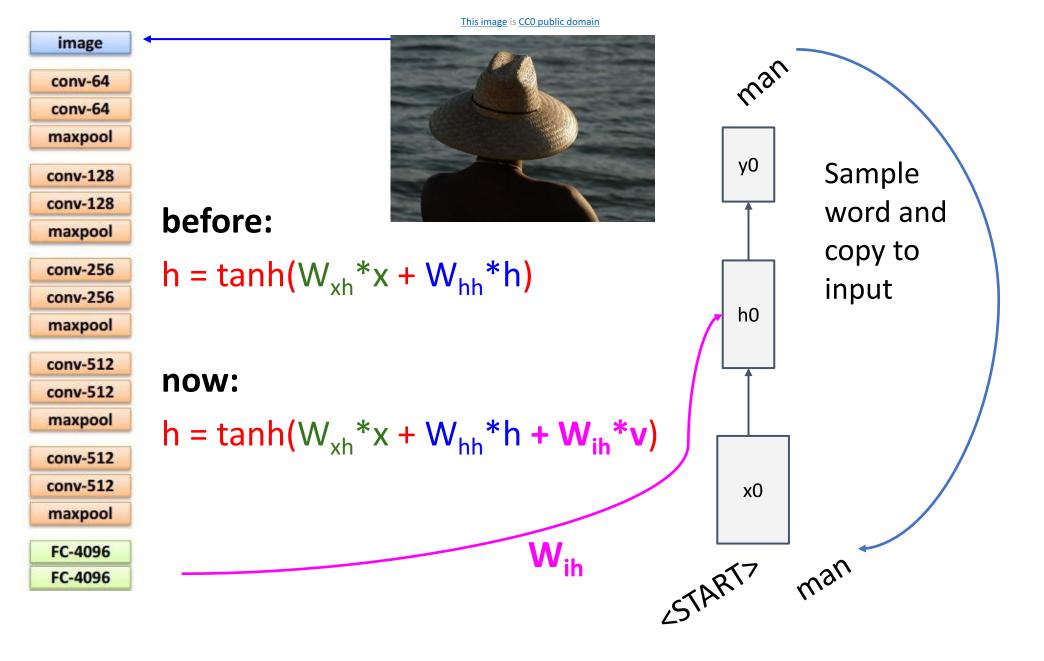


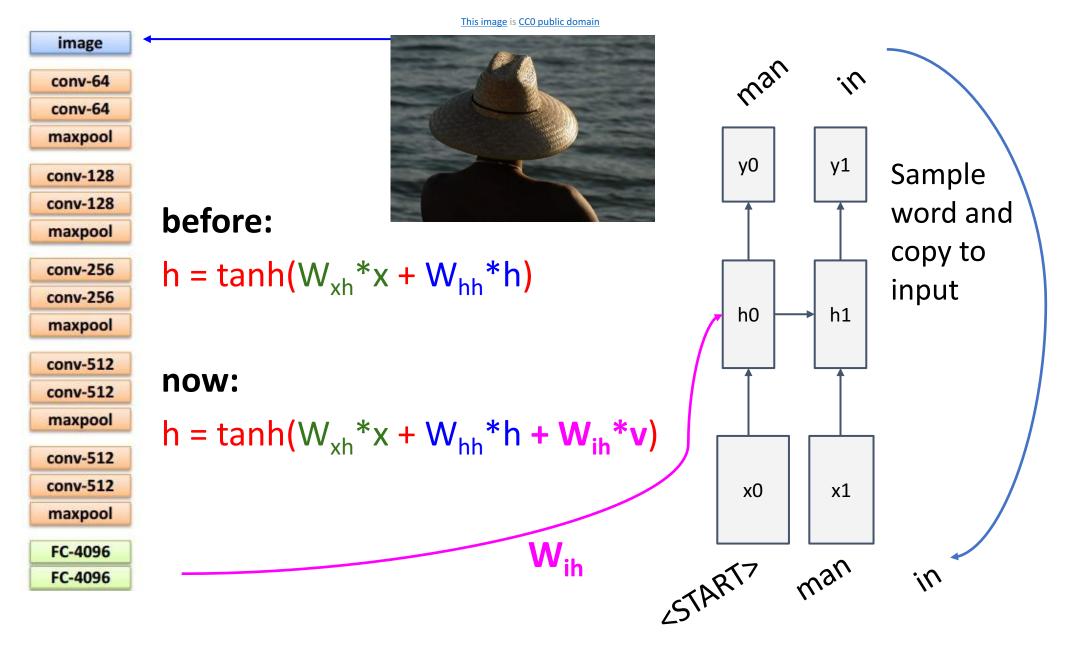
x0

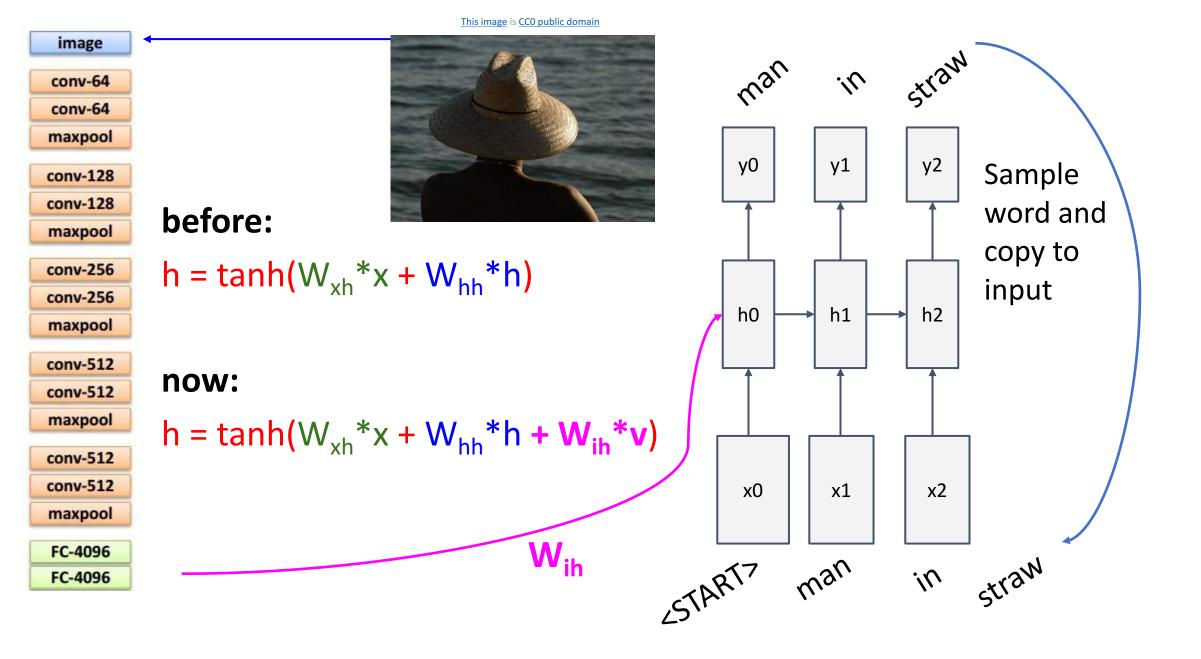
2START7

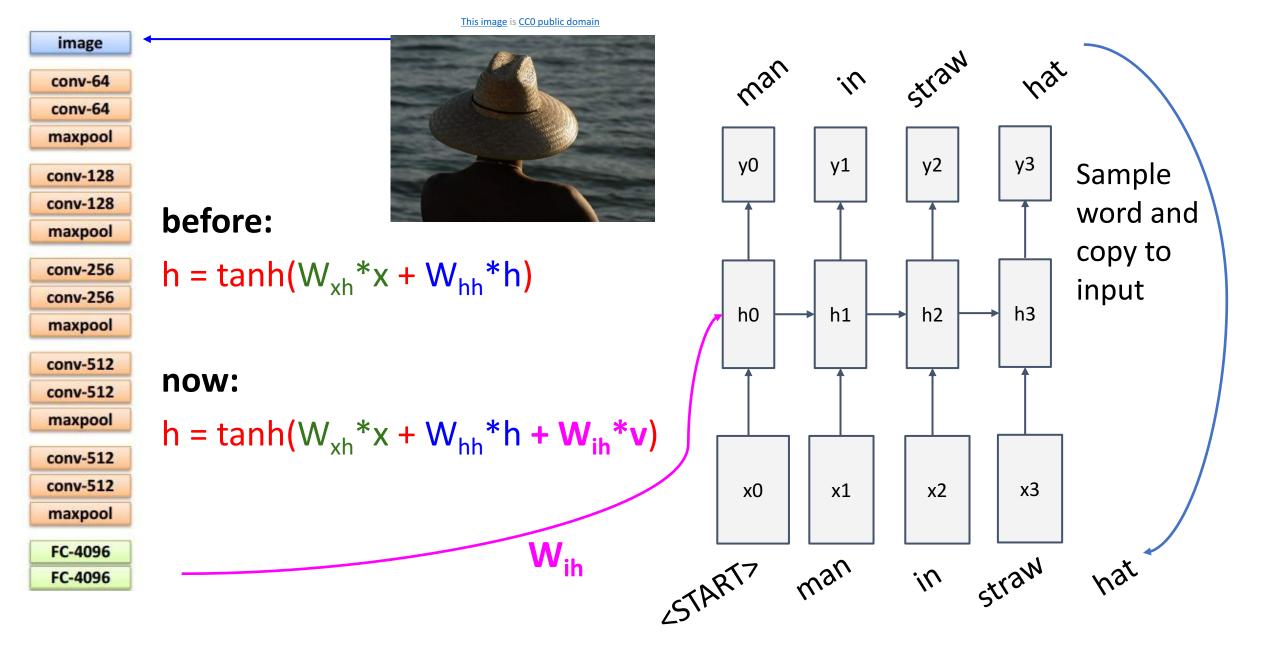
Justin Johnson Lecture 12 - 73 October 16, 2019

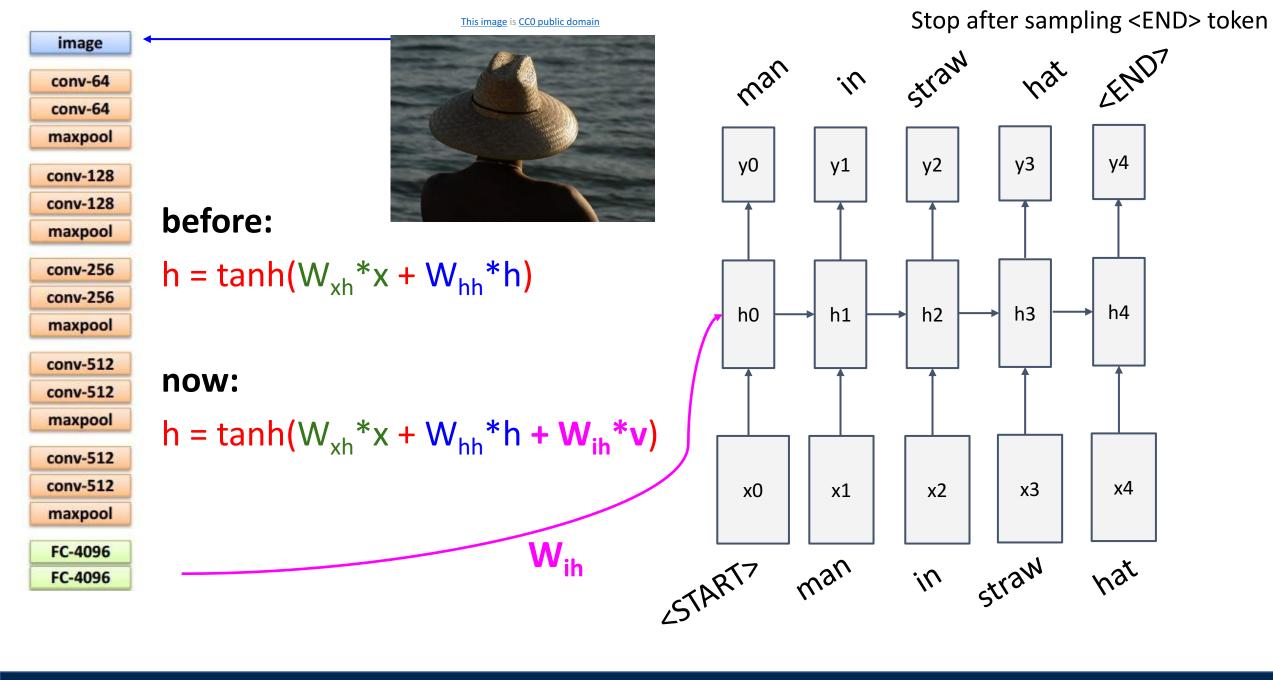












## Image Captioning: Example Results



A cat sitting on a suitcase on the floor



A cat is sitting on a tree branch



A dog is running in the grass with a frisbee



A white teddy bear sitting in the grass



Two people walking on the beach with surfboards



A tennis player in action on the court



Two giraffes standing in a grassy field



A man riding a dirt bike on a dirt track

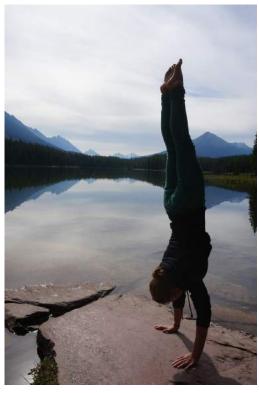
## Image Captioning: Failure Cases



A woman is holding a cat in her hand



A person holding a computer mouse on a desk



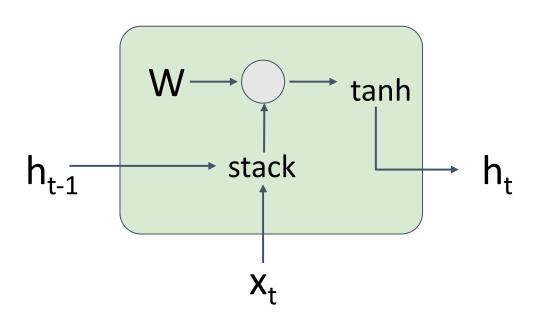
A woman standing on a beach holding a surfboard



A bird is perched on a tree branch



A man in a baseball uniform throwing a ball



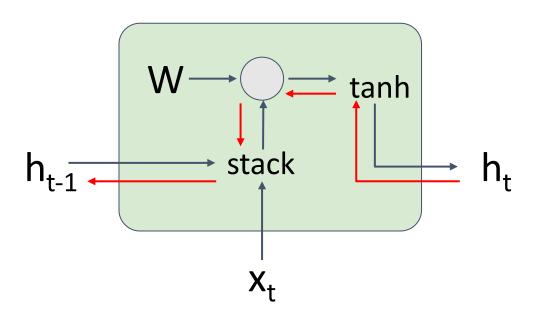
$$h_{t} = \tanh(W_{hh}h_{t-1} + W_{xh}x_{t})$$

$$= \tanh\left(\left(W_{hh} \quad W_{hx}\right) \begin{pmatrix} h_{t-1} \\ x_{t} \end{pmatrix}\right)$$

$$= \tanh\left(W\begin{pmatrix} h_{t-1} \\ x_{t} \end{pmatrix}\right)$$

Bengio et al, "Learning long-term dependencies with gradient descent is difficult", IEEE Transactions on Neural Networks, 1994 Pascanu et al, "On the difficulty of training recurrent neural networks", ICML 2013

Backpropagation from  $h_t$  to  $h_{t-1}$  multiplies by W (actually  $W_{hh}^T$ )

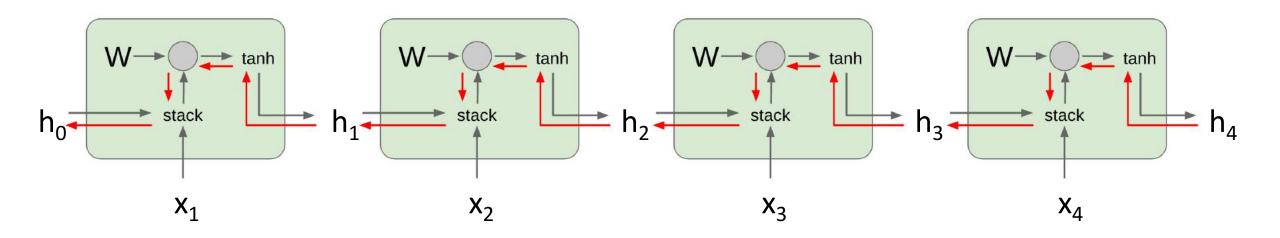


$$h_{t} = \tanh(W_{hh}h_{t-1} + W_{xh}x_{t})$$

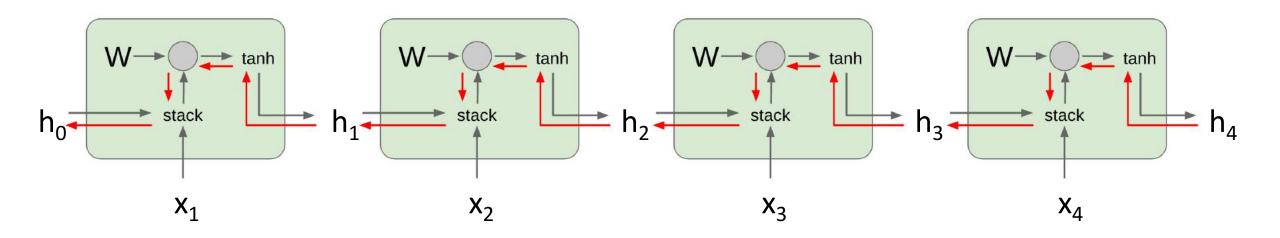
$$= \tanh\left(\left(W_{hh} \quad W_{hx}\right) \begin{pmatrix} h_{t-1} \\ x_{t} \end{pmatrix}\right)$$

$$= \tanh\left(W\begin{pmatrix} h_{t-1} \\ x_{t} \end{pmatrix}\right)$$

Bengio et al, "Learning long-term dependencies with gradient descent is difficult", IEEE Transactions on Neural Networks, 1994 Pascanu et al, "On the difficulty of training recurrent neural networks", ICML 2013



Computing gradient of h<sub>0</sub> involves many factors of W (and repeated tanh)



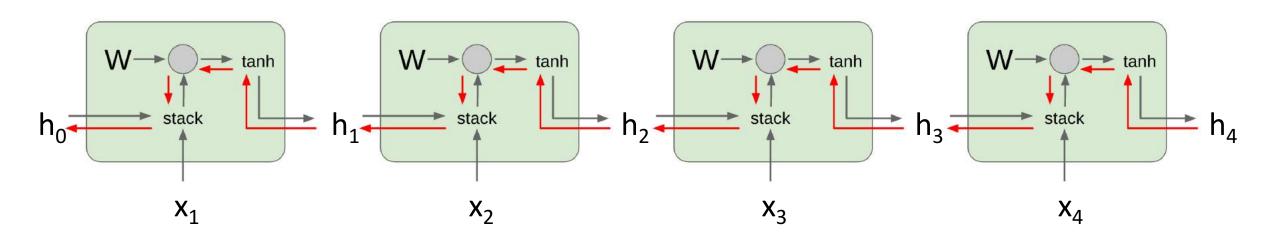
Computing gradient of h<sub>0</sub> involves many factors of W (and repeated tanh)

Largest singular value > 1:

**Exploding gradients** 

Largest singular value < 1:

**Vanishing gradients** 



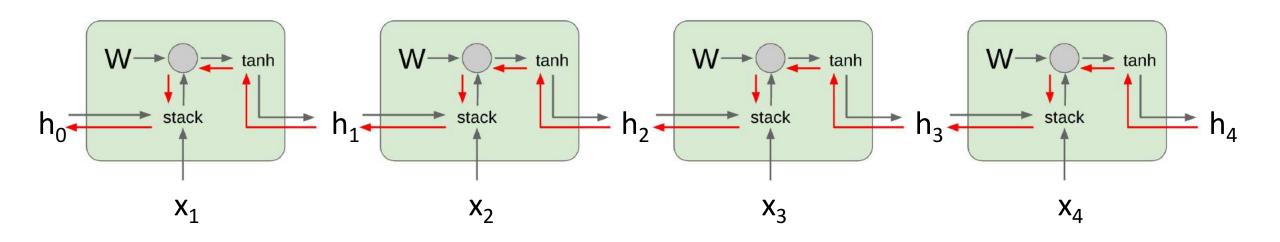
Computing gradient of h<sub>0</sub> involves many factors of W (and repeated tanh)

Largest singular value > 1: **Exploding gradients** 

Largest singular value < 1: Vanishing gradients

**Gradient clipping**: Scale gradient if its norm is too big

```
grad_norm = np.sum(grad * grad)
if grad_norm > threshold:
    grad *= (threshold / grad_norm)
```



Computing gradient of h<sub>0</sub> involves many factors of W (and repeated tanh)

Largest singular value > 1:

**Exploding gradients** 

Justin Johnson Lecture 12 - 87 October 16, 2019

## Vanilla RNN

### Vanilla RNN

$$h_t = \tanh\left(W\begin{pmatrix} h_{t-1} \\ x_t \end{pmatrix}\right)$$

### Vanilla RNN

$$h_t = \tanh\left(W\begin{pmatrix} h_{t-1} \\ x_t \end{pmatrix}\right)$$

### **LSTM**

$$\begin{pmatrix} i \\ f \\ o \\ g \end{pmatrix} = \begin{pmatrix} \sigma \\ \sigma \\ \tau \\ \tanh \end{pmatrix} W \begin{pmatrix} h_{t-1} \\ x_t \end{pmatrix}$$

$$c_t = f \odot c_{t-1} + i \odot g$$

$$h_t = o \odot \tanh(c_t)$$

#### Vanilla RNN

### **LSTM**

$$h_t = \tanh\left(W\begin{pmatrix} h_{t-1} \\ x_t \end{pmatrix}\right)$$

Two vectors at each timestep:

Cell state Hidden state

$$\begin{pmatrix} f \\ o \\ g \end{pmatrix} = \begin{pmatrix} o \\ \sigma \\ \tanh \end{pmatrix} W \begin{pmatrix} h_{t-1} \\ x_t \end{pmatrix}$$

$$c_t = f \odot c_{t-1} + i \odot g$$

$$h_t = o \odot \tanh(c_t)$$

### Vanilla RNN

$$h_t = \tanh\left(W\begin{pmatrix} h_{t-1} \\ x_t \end{pmatrix}\right)$$

Compute four **gates** at each timestep

### **LSTM**

$$\begin{pmatrix} i \\ f \\ o \\ g \end{pmatrix} = \begin{pmatrix} \sigma \\ \sigma \\ tanh \end{pmatrix} W \begin{pmatrix} h_{t-1} \\ x_t \end{pmatrix}$$

$$c_t = f \odot c_{t-1} + i \odot g$$

$$h_t = o \odot \tanh(c_t)$$

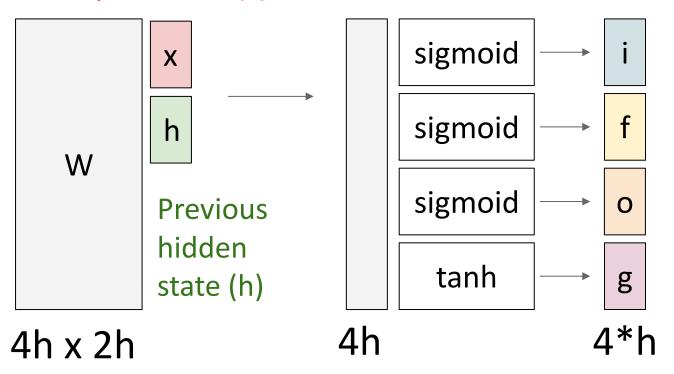
i: Input gate, whether to write to cell

f: Forget gate, Whether to erase cell

o: Output gate, How much to reveal cell

g: Gate gate (?), How much to write to cell

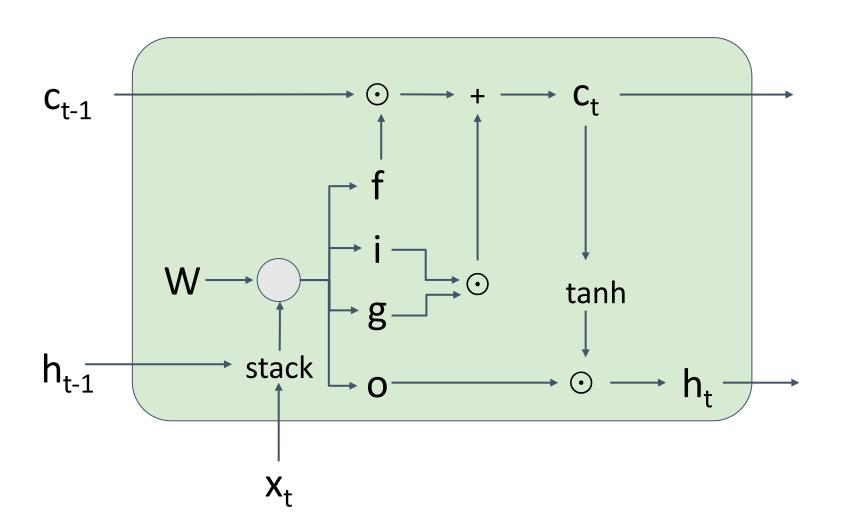




$$\begin{pmatrix} i \\ f \\ o \\ g \end{pmatrix} = \begin{pmatrix} \sigma \\ \sigma \\ \sigma \\ \tanh \end{pmatrix} W \begin{pmatrix} h_{t-1} \\ x_t \end{pmatrix}$$

$$c_t = f \odot c_{t-1} + i \odot g$$

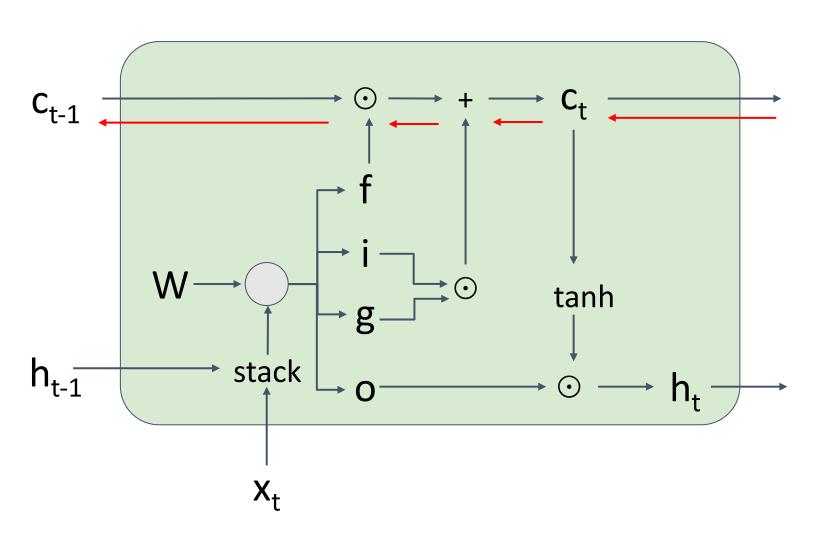
$$h_t = o \odot \tanh(c_t)$$



$$\begin{pmatrix} i \\ f \\ o \\ g \end{pmatrix} = \begin{pmatrix} \sigma \\ \sigma \\ \sigma \\ \tanh \end{pmatrix} W \begin{pmatrix} h_{t-1} \\ x_t \end{pmatrix}$$

$$c_t = f \odot c_{t-1} + i \odot g$$

$$h_t = o \odot \tanh(c_t)$$



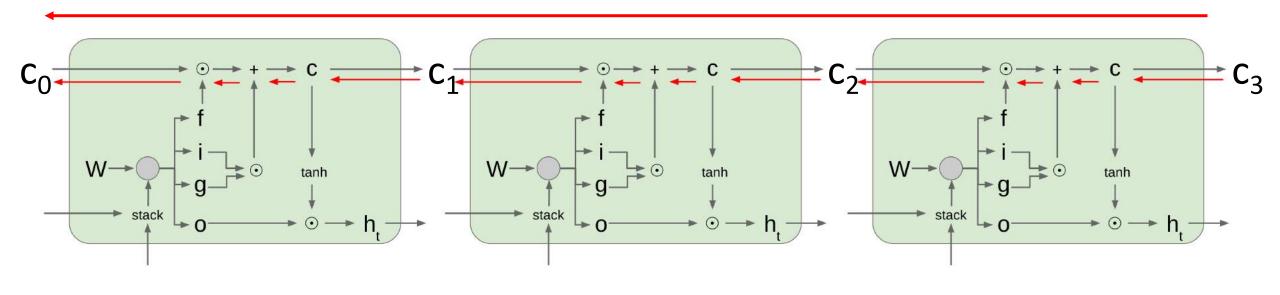
Backpropagation from  $c_t$  to  $c_{t-1}$  only elementwise multiplication by f, no matrix multiply by W

$$\begin{pmatrix} i \\ f \\ o \\ g \end{pmatrix} = \begin{pmatrix} \sigma \\ \sigma \\ \sigma \\ \tanh \end{pmatrix} W \begin{pmatrix} h_{t-1} \\ x_t \end{pmatrix}$$

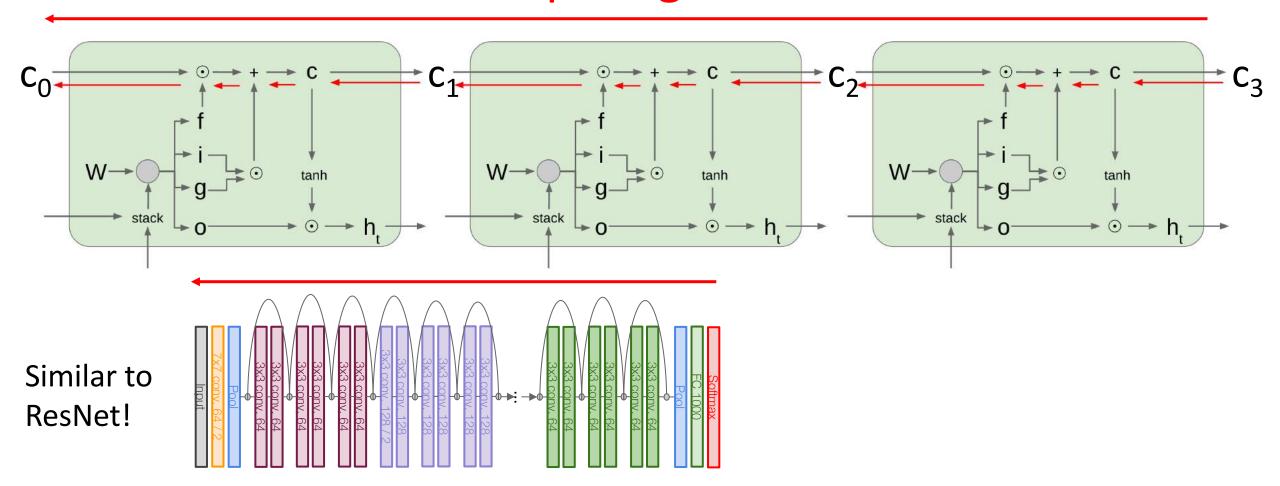
$$c_t = f \odot c_{t-1} + i \odot g$$

$$h_t = o \odot \tanh(c_t)$$

## Uninterrupted gradient flow!

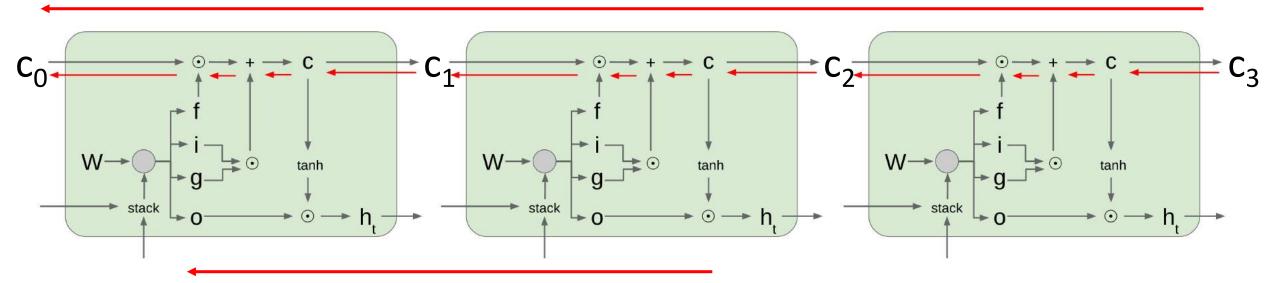


# Uninterrupted gradient flow!

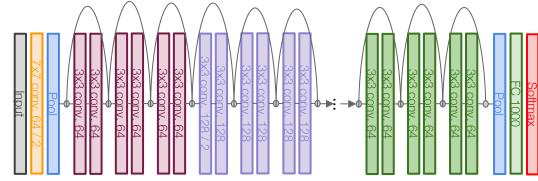


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# Uninterrupted gradient flow!



Similar to ResNet!



### In between: Highway Networks

$$g = T(x, W_T)$$
  

$$y = g \odot H(x, W_H) + (1 - g) \odot x$$

Srivastava et al, "Highway Networks", ICML DL Workshop 2015

# Single-Layer RNNs

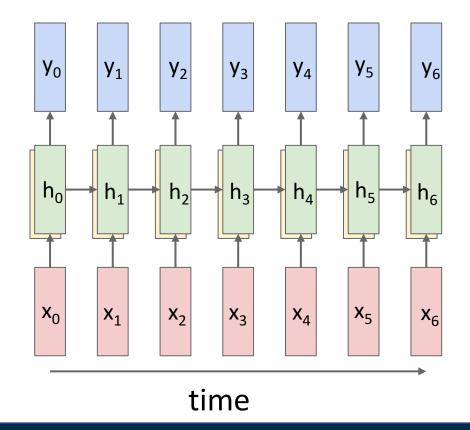
$$h_t^l = \tanh W^l \begin{pmatrix} h_t^{l-1} \\ h_{t-1}^l \end{pmatrix}$$

$$h \in \mathbb{R}^n \quad W^l \quad [n \times 2n]$$

### LSTM:

$$W^l [4n \times 2n]$$

$$egin{aligned} egin{aligned} i \ f \ o \ g \end{aligned} &= egin{pmatrix} \mathrm{sigm} \ \mathrm{sigm} \ \mathrm{sigm} \ \mathrm{sigm} \ \mathrm{tanh} \end{pmatrix} W^l egin{pmatrix} h_t^{l-1} \ h_{t-1}^l \end{aligned}$$
  $c_t^l = f \odot c_{t-1}^l + i \odot g$   $h_t^l = o \odot \mathrm{tanh}(c_t^l)$ 



# Mutilayer RNNs

$$h_t^l = \tanh W^l \begin{pmatrix} h_t^{l-1} \\ h_{t-1}^l \end{pmatrix}$$

$$h \in \mathbb{R}^n \qquad W^l \quad [n \times 2n]$$

### LSTM:

$$W^l [4n \times 2n]$$

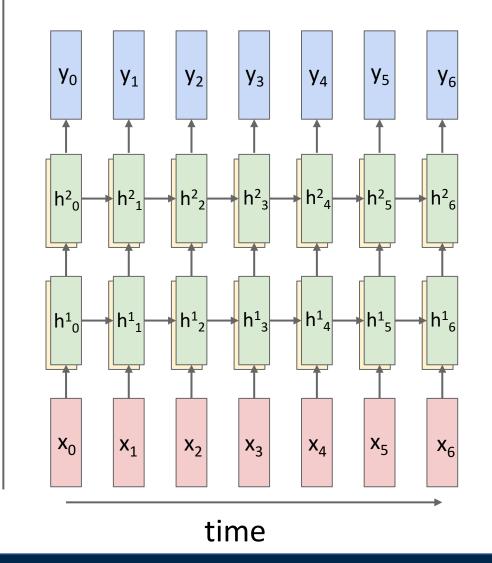
$$\begin{pmatrix} i \\ f \\ o \\ g \end{pmatrix} = \begin{pmatrix} \operatorname{sigm} \\ \operatorname{sigm} \\ \operatorname{sigm} \\ \tanh \end{pmatrix} W^l \begin{pmatrix} h_t^{l-1} \\ h_{t-1}^{l} \end{pmatrix}$$

$$c_t^l = f \odot c_{t-1}^l + i \odot g$$

$$h_t^l = o \odot \tanh(c_t^l)$$

depth

**Two-layer RNN**: Pass hidden states from one RNN as inputs to another RNN



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# Mutilayer RNNs

$$h_t^l = \tanh W^l \begin{pmatrix} h_t^{l-1} \\ h_{t-1}^l \end{pmatrix}$$

$$h \in \mathbb{R}^n \qquad W^l \quad [n \times 2n]$$

### LSTM:

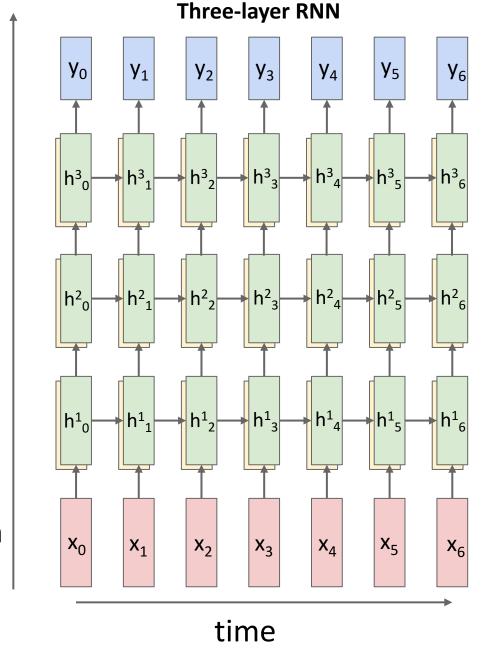
$$W^l \ [4n \times 2n]$$

$$\begin{pmatrix} i \\ f \\ o \\ g \end{pmatrix} = \begin{pmatrix} \operatorname{sigm} \\ \operatorname{sigm} \\ \operatorname{sigm} \\ \tanh \end{pmatrix} W^l \begin{pmatrix} h_t^{l-1} \\ h_{t-1}^{l} \end{pmatrix}$$

$$c_t^l = f \odot c_{t-1}^l + i \odot g$$

$$h_t^l = o \odot \tanh(c_t^l)$$

depth



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## Other RNN Variants

#### **Gated Recurrent Unit (GRU)**

Cho et al "Learning phrase representations using RNN encoder-decoder for statistical machine translation", 2014

$$r_{t} = \sigma(W_{xr}x_{t} + W_{hr}h_{t-1} + b_{r})$$

$$z_{t} = \sigma(W_{xz}x_{t} + W_{hz}h_{t-1} + b_{z})$$

$$\tilde{h}_{t} = \tanh(W_{xh}x_{t} + W_{hh}(r_{t} \odot h_{t-1}) + b_{h})$$

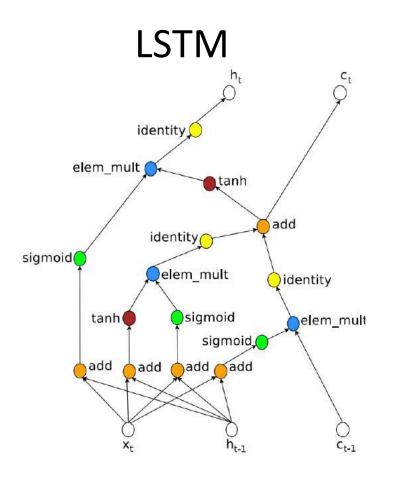
$$h_{t} = z_{t} \odot h_{t-1} + (1 - z_{t}) \odot \tilde{h}_{t}$$

### 10,000 architectures with evolutionary search:

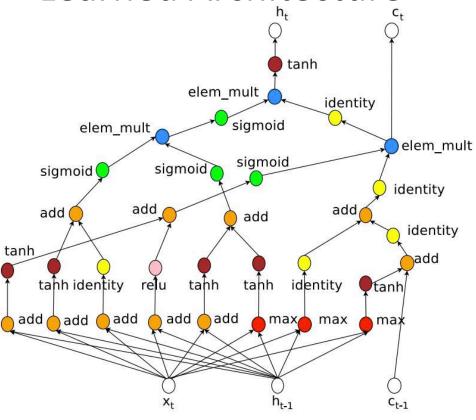
Jozefowicz et al, "An empirical exploration of recurrent network architectures", ICML 2015

```
MUT1:
       z = \operatorname{sigm}(W_{xz}x_t + b_z)
       r = sigm(W_{xr}x_t + W_{hr}h_t + b_r)
  h_{t+1} = \tanh(W_{hh}(r \odot h_t) + \tanh(x_t) + b_h) \odot z
           + h<sub>t</sub> ⊙ (1 − z)
MUT2:
        z = \operatorname{sigm}(W_{xz}x_t + W_{hz}h_t + b_z)
        r = \operatorname{sigm}(x_t + W_{hr}h_t + b_r)
   h_{t+1} = \tanh(W_{hh}(r \odot h_t) + W_{xh}x_t + b_h) \odot z
             + h<sub>t</sub> ⊙ (1 - z)
MUT3:
         z = \operatorname{sigm}(W_{xz}x_t + W_{hz} \tanh(h_t) + b_z)
        r = \operatorname{sigm}(W_{xr}x_t + W_{hr}h_t + b_r)
    h_{t+1} = \tanh(W_{hh}(r \odot h_t) + W_{xh}x_t + b_h) \odot z
             + h<sub>t</sub> ⊙ (1 − z)
```

## RNN Architectures: Neural Architecture Search



### Learned Architecture



Zoph and Le, "Neural Architecture Search with Reinforcement Learning", ICLR 2017

## Summary

- RNNs allow a lot of flexibility in architecture design
- Vanilla RNNs are simple but don't work very well
- Common to use LSTM or GRU: additive interactions improve gradient flow
- Backward flow of gradients in RNN can explode or vanish.
  - Exploding is controlled with gradient clipping.
  - Vanishing is controlled with additive interactions (LSTM)
- Better/simpler architectures are a hot topic of current research
- Better understanding (both theoretical and empirical) is needed.

# Next Time: Midterm!