

1. For each of the following languages over the alphabet  $\{0, 1\}$ , give a regular expression that describes that language, and *briefly* argue why your regular expression is correct.

- (a) All strings except  $010$ .

**Solution (brute force):**

$$\begin{aligned} &\epsilon + 0 + 1 + 00 + 01 + 10 + 11 \\ &+ 000 + 001 + 011 + 100 + 101 + 110 + 111 \\ &+ (0 + 1)(0 + 1)(0 + 1)(0 + 1)(0 + 1)^* \end{aligned}$$

The first line matches all strings of length that are shorter than  $010$ ; the second line matches all strings of length 3 except  $010$ ; the last line matches all strings that are longer than  $010$ . ■

**Solution (prefix case analysis):**

$$(\epsilon + 0 + 01) + (010(0 + 1)(0 + 1)^*) + (1 + 00 + 011)(0 + 1)^*$$

The first subexpression matches all proper prefixes of  $010$ ; the second subexpression matches all strings for which  $010$  is a proper prefix; the last subexpression matches all strings that have a prefix that is not a prefix of  $010$ . ■

- (b) All strings that contain the substring  $010$ .

**Solution:**  $(0 + 1)^* 010 (0 + 1)^*$  — Anything, then  $010$ , then anything. ■

- (c) All strings that contain the subsequence  $010$ .

**Solution:**  $(0 + 1)^* 0 (0 + 1)^* 1 (0 + 1)^* 0 (0 + 1)^*$

Any string can appear before, after, or inside the subsequence  $010$ . ■

- (d) All strings that do not contain the substring  $010$ .

**Solution:**  $1^*(0111^*)^*(1 + \epsilon)$  or  $1^*(0 + 11 + 111)^*1^*$

Every run of  $1$ s, except possibly at the start and end of the string, has length at least 2. (A *run* of  $1$ s is a maximal nonempty substring consisting entirely of  $1$ s.) Every run of length at least 2 is the concatenation of substrings of length 2 ( $11$ ) and 3 ( $111$ ). ■

- (e) All strings that do not contain the subsequence  $010$ .

**Solution:**  $1^*0^*1^*$  — Every string in this language has at most one run of  $0$ s. ■

**Rubric:** 2 points each =  $\frac{1}{2}$  for syntactically correct regular expression +  $\frac{1}{2}$  for correctness +  $\frac{1}{2}$  for explanation. (This is the standard regular expression rubric scaled to 2 points.) As always, omitting the explanation entirely is a Deadly Sin! These are not the only correct solutions.

2. Let  $L$  be the set of all strings in  $\{0, 1\}^*$  that contain *at least two* occurrences of the substring  $010$ .

- (a) Give a regular expression for  $L$ , and briefly argue why your expression is correct.

**Solution:**  $(0 + 1)^* 010 (0 + 1)^* 010 (0 + 1)^* + (0 + 1)^* 01010 (0 + 1)^*$

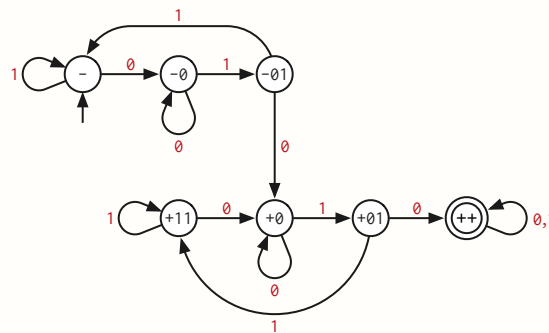
The first term describes all strings that contain at least two *disjoint* occurrences of the substring  $010$ . The second term describes all strings that contain the substring  $01010$ , and therefore contain at least two *overlapping* occurrences of the substring  $010$ .

(Neither subexpression attempts to match the *first* two or *last* two occurrences of  $010$ , and the first subexpression does not attempt to match *adjacent* occurrences of the substring  $010$ .) ■

**Rubric:** 5 points: standard regular expression rubric (scaled). This is not the only correct solution.

- (b) Describe a DFA over the alphabet  $\Sigma = \{0, 1\}$  that accepts the language  $L$ .

**Solution:**



The seven states of the DFA have the following meanings:

- $-$ : We have not seen the substring  $010$ , and we have not just read a non-empty prefix of  $010$ . This is the start state.
- $-0$ : We have not seen the substring  $010$ , and we just read  $0$ .
- $-01$ : We have not seen the substring  $010$ , and we just read  $0$  followed by  $1$ .
- $+11$ : We have seen the substring  $010$  exactly once, and we just read  $1$  followed by  $1$ .
- $+0$ : We have seen the substring  $010$  exactly once, and we just read  $0$ .
- $+01$ : We have seen the substring  $010$  exactly once, and we just read  $0$  followed by  $1$ .
- $++$ : We have seen the substring  $010$  at least twice. This is the only accepting state.

**Rubric:** 5 points: standard DFA rubric (scaled). This is not the only correct solution.

3. Let  $L$  denote the set of all strings  $w \in \{0, 1\}^*$  that satisfy *at most two* of the following conditions:

- The substring  $01$  appears in  $w$  an odd number of times.
- $\#(1, w)$  is divisible by 3.
- The binary value of  $w$  is *not* a multiple of 7.

**Formally** describe a DFA with input alphabet  $\Sigma = \{0, 1\}$  that accepts the language  $L$ , by explicitly describing the states  $Q$ , the start state  $s$ , the accepting states  $A$ , and the transition function  $\delta$ .

**Solution (formal description):**

$$Q = \{0, 1\} \times \{0, 1\} \times \{0, 1, 2\} \times \{0, 1, 2, 3, 4, 5, 6\}$$

$$s = (1, 0, 0, 0)$$

$$A = \{(a, b, c, d) \mid b = 0 \text{ or } c \neq 0 \text{ or } d = 0\}$$

$$\delta((0, b, c, d), 0) = (0, b, c, (2d) \bmod 7)$$

$$\delta((0, b, c, d), 1) = (1, (b+1) \bmod 2, (c+1) \bmod 3, (2d+1) \bmod 7)$$

$$\delta((1, b, c, d), 0) = (0, b, c, (2d) \bmod 7)$$

$$\delta((1, b, c, d), 1) = (1, b, (c+1) \bmod 3, (2d+1) \bmod 7)$$

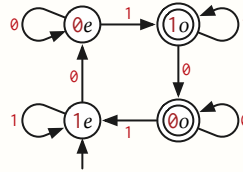
The state  $(a, b, c, d)$  indicates the following:

- $a$  is the last symbol read by the DFA, or  $1$  if the DFA hasn't read anything yet.
- $b$  is the number of times the DFA has read the substring  $01$ , modulo 2.
- $c$  is the number of times the DFA has read the symbol  $1$ , modulo 3.
- $d$  is the binary value of the string read so far, modulo 7.

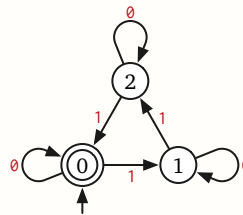
■

**Solution (product construction):** Our DFA  $M$  is the product of three smaller DFAs:

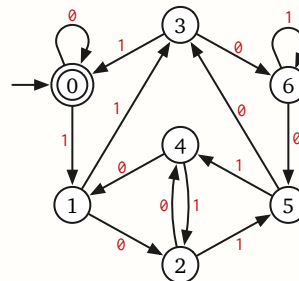
- The first DFA  $A$  accepts all strings in which the substring  $01$  appears an odd number of times. Each state records the last symbol read (of  $1$  if nothing has been read yet, and whether the DFA has read an even or odd number of  $01$ s.



- The second DFA  $B$  accepts all strings where the number of  $1$ s is divisible by 3. Each state records the number of  $1$ s (mod 3) read so far).



- The third DFA  $C$  accepts all strings whose binary value is divisible by 3. Each state records the binary value (mod 7) of the string read so far).



Each state of the product DFA  $M$  is a triple  $(a, b, c)$ , where  $a$  is a state of  $A$ ,  $b$  is a state of  $B$ , and  $c$  is a state of  $C$ . For example, the start state of  $M$  is  $(1e, 0, 0)$ .

Finally, a state  $(a, b, c)$  of  $M$  is accepting if and only if  $a \in \{0e, 1e\}$  (the number of  $01$ s is even) or  $b \neq 0$  (the number of  $1$ s is not divisible by 3) or  $c = 0$  (the binary value is divisible by 7). ■

**Rubric:** 10 points: standard DFA rubric. These are not the only correct solutions.