

1. Recall that a 5-coloring of a graph G is a function that assigns each vertex of G a “color” from the set $\{0, 1, 2, 3, 4\}$, such that for any edge uv , vertices u and v are assigned different “colors”. A 5-coloring is **careful** if the colors assigned to adjacent vertices are not only distinct, but differ by more than 1 (mod 5). Prove that deciding whether a given graph has a careful 5-coloring is NP-hard.

Solution: We prove that careful 5-coloring is NP-hard by reduction from the standard 5COLOR problem.

Given a graph G , we construct a new graph H by replacing each edge in G with a path of length three. I claim that H has a careful 5-coloring if and only if G has a (not necessarily careful) 5-coloring.

⇐ Suppose G has a 5-coloring. Consider a single edge uv in G , and suppose $color(u) = a$ and $color(v) = b$. We color the path from u to v in H as follows:

- If $b = (a + 1) \bmod 5$, use colors $(a, (a + 2) \bmod 5, (a - 1) \bmod 5, b)$.
- If $b = (a - 1) \bmod 5$, use colors $(a, (a - 2) \bmod 5, (a + 1) \bmod 5, b)$.
- Otherwise, use colors (a, b, a, b) .

In particular, every vertex in G retains its color in H . The resulting 5-coloring of H is careful.

⇒ On the other hand, suppose H has a careful 5-coloring. Consider a path (u, x, y, v) in H corresponding to an arbitrary edge uv in G . There are exactly eight careful colorings of this path with $color(u) = 0$, namely: $(0, 2, 0, 2)$, $(0, 2, 0, 3)$, $(0, 2, 4, 1)$, $(0, 2, 4, 2)$, $(0, 3, 0, 3)$, $(0, 3, 0, 2)$, $(0, 3, 1, 3)$, $(0, 3, 1, 4)$. It follows immediately that $color(u) \neq color(v)$. Thus, if we color each vertex of G with its color in H , we obtain a valid 5-coloring of G .

Given G , we can clearly construct H in polynomial time. ■

2. Prove that the following problem is NP-hard: Given an undirected graph G , find *any* integer $k > 374$ such that G has a proper coloring with k colors but G does not have a proper coloring with $k - 374$ colors.

Solution: Let G' be the union of 374 copies of G , with additional edges between *every* vertex of each copy and *every* vertex in *every* other copy. Given G , we can easily build G' in polynomial time by brute force. Let $\chi(G)$ and $\chi(G')$ denote the minimum number of colors in any proper coloring of G , and define $\chi(G')$ similarly.

\Rightarrow Fix any coloring of G with $\chi(G)$ colors. We can obtain a proper coloring of G' with $374 \cdot \chi(G)$ colors, by using a distinct set of $\chi(G)$ colors in each copy of G . Thus, $\chi(G') \leq 374 \cdot \chi(G)$.

\Leftarrow Now fix any coloring of G' with $\chi(G')$ colors. Each copy of G in G' must use its own distinct set of colors, so at least one copy of G uses at most $\lfloor \chi(G')/374 \rfloor$ colors. Thus, $\chi(G) \leq \lfloor \chi(G')/374 \rfloor$.

These two observations immediately imply that $\chi(G') = 374 \cdot \chi(G)$. It follows that if k is an integer such that $k - 374 < \chi(G') \leq k$, then $\chi(G) = \chi(G')/374 = \lceil k/374 \rceil$. Thus, if we could compute such an integer k in polynomial time, we could compute $\chi(G)$ in polynomial time. But computing $\chi(G)$ is NP-hard! ■

3. A **bicoloring** of an undirected graph assigns each vertex a set of *two* colors. There are two types of bicoloring: In a *weak* bicoloring, the endpoints of each edge must use *different* sets of colors; however, these two sets may share one color. In a *strong* bicoloring, the endpoints of each edge must use *distinct* sets of colors; that is, they must use four colors altogether. Every strong bicoloring is also a weak bicoloring.
- (a) Prove that finding the minimum number of colors in a weak bicoloring of a given graph is NP-hard.

Solution: It suffices to prove that deciding whether a graph has a weak bicoloring with three colors is NP-hard, using the following trivial reduction from the standard 3COLOR problem.

Let G be an arbitrary undirected graph. I claim that G has a proper 3-coloring if and only if G has a weak bicoloring with 3 colors.

- Suppose G has a proper coloring using the colors red, green, and blue. We can obtain a weak bicoloring of G using only the colors cyan, magenta, and yellow by recoloring each red vertex with {magenta, yellow}, recoloring each blue vertex with {magenta, cyan}, and recoloring each green vertex with {yellow, cyan}.
- Suppose G has a weak bicoloring using the colors cyan, magenta, yellow. Then we can obtain a proper 3-coloring of G by defining red = {magenta, yellow}, defining blue = {magenta, cyan}, and defining green = {yellow, cyan}.

More generally, for any integer k and any graph G , every weak k -bicoloring of G is also a proper $\binom{k}{2}$ -coloring of G , and vice versa. ■

- (b) Prove that finding the minimum number of colors in a strong bicoloring of a given graph is NP-hard.

Solution: It suffices to prove that deciding whether a graph has a strong bicoloring with five colors is NP-hard, using the following reduction from the standard 3COLOR problem.

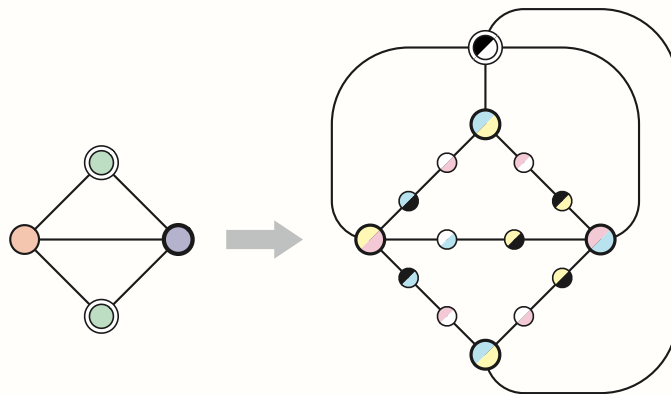
Let G be an arbitrary undirected graph. We build a new graph from G as follows:

- Add a new vertex z and edges zv to every vertex v of G .
- Subdivide every edge of G into a path of length 3. (But don't subdivide the new edges incident to z .)

I claim that G has a proper 3-coloring if and only if H has a strong bicoloring with five colors.

\Rightarrow Suppose G has a proper coloring with colors red, green, and blue. We obtain a strong bicoloring of H with colors cyan, magenta, yellow, white, and black as follows:

- Color vertex z {white, black}.
- Recolor the vertices of G by defining red = {magenta, yellow} and green = {cyan, yellow} and blue = {magenta, cyan}
- Color the new vertices on each red-green edge {cyan, black} and {magenta, white}, the new vertices on each red-blue edge {cyan, white} and {yellow, black}, and the new vertices on each blue-green edge {yellow, black} and {magenta, white}.



\Leftarrow On the other hand, suppose H has a strong bicoloring with colors cyan, magenta, yellow, white, and black. Without loss of generality, vertex z is colored {white, black}, and therefore each vertex of G is colored either {magenta, yellow} or {cyan, yellow} or {magenta, cyan}.

Consider an arbitrary edge uv of G . Suppose for the sake of argument that u and v are assigned the same pair of colors, without loss of generality {magenta, yellow}. Then the intermediate vertices on the corresponding path in H only use the colors cyan, white, and black. But this is impossible, because two adjacent vertices of H must use four distinct colors. Thus, u and v must be assigned distinct (but not disjoint!) pairs of colors.

We conclude that defining $\text{red} = \{\text{magenta}, \text{yellow}\}$ and $\text{blue} = \{\text{magenta}, \text{cyan}\}$ and $\text{green} = \{\text{yellow}, \text{cyan}\}$ gives us a proper 3-coloring of G .

We can easily construct H from G in polynomial time by brute force. ■

Five is the smallest number of colors for which strong bicoloring is NP-hard. A graph has a strong bicoloring with four colors if and only if it is bipartite, and a strong bicoloring with two or three colors if and only if it has no edges.