

## 1 Image preprocessing in our article

COVIDNet operates on authentic CXR images containing artifacts like additional metadata from medical equipment. Therefore the main motivation for preprocessing data is removing those artifacts and performing augmentation in order to account for a variety of technics behind creating CXR images. However preprocessing used by authors of COVIDNet is limited only to a handful of basic methods listed in the paper:

(...) the chest CXR images were cropped (top 8% of the image) prior to training in order to mitigate commonly-found embedded textual information in the CXR images. Furthermore, to train the tested deep neural network architectures, data augmentation was leveraged with the following augmentation types: translation ( $\pm 10\%$  in x and y directions), rotation ( $\pm 10^\circ$ ), horizontal flip, zoom ( $\pm 15\%$ ), and intensity shift ( $\pm 10\%$ )

The whole process is performed using file data.py, available at Github repository. Significant code chunks presented contain instructions for cropping and resizing images using arbitrary values for omission of the aforementioned artifacts and performing basic random transformations like zooming and rotating. This phase of preprocessing and augmentation is performed 'just-in-time' during the model training and testing.

```
def crop_top(img, percent=0.15):
    offset = int(img.shape[0] * percent)
    return img[offset:]

def central_crop(img):
    size = min(img.shape[0], img.shape[1])
    offset_h = int((img.shape[0] - size) / 2)
    offset_w = int((img.shape[1] - size) / 2)
    return img[offset_h:offset_h + size, offset_w:offset_w + size]

def process_image_file(filepath, top_percent, size):
    img = cv2.imread(filepath)
    print(filepath)
    print(type(img))
    img = crop_top(img, percent=top_percent)
    img = central_crop(img)
    img = cv2.resize(img, (size, size))
    return img
```

Figure 1: Chunk from data.py - crop functions

```

def random_ratio_resize(img, prob=0.3, delta=0.1):
    if np.random.rand() >= prob:
        return img
    ratio = img.shape[0] / img.shape[1]
    ratio = np.random.uniform(max(ratio - delta, 0.01), ratio + delta)

    if ratio * img.shape[1] <= img.shape[1]:
        size = (int(img.shape[1] * ratio), img.shape[1])
    else:
        size = (img.shape[0], int(img.shape[0] / ratio))

    dh = img.shape[0] - size[1]
    top, bot = dh // 2, dh - dh // 2
    dw = img.shape[1] - size[0]
    left, right = dw // 2, dw - dw // 2

    if size[0] > 480 or size[1] > 480:
        print(img.shape, size, ratio)

    img = cv2.resize(img, size)
    img = cv2.copyMakeBorder(img, top, bot, left, right, cv2.BORDER_CONSTANT,
                           (0, 0, 0))

    if img.shape[0] != 480 or img.shape[1] != 480:
        raise ValueError(img.shape, size)
    return img

```

Figure 2: Chunk from data.py - random ratio changes

Additional initial preprocessing is performed earlier during combining multiple repositories into the one basic dataset. There images from specific datasets are being converted into the gray scale.

No further advanced preprocessing is performed.

```

if patient[0] in test_patients:
    if patient[3] == 'sirm':
        image = cv2.imread(os.path.join(ds_imgpath[patient[3]], patient[1]))
        gray = cv2.cvtColor(image, cv2.COLOR_BGR2GRAY)
        patient[1] = patient[1].replace(' ', '')
        cv2.imwrite(os.path.join(savepath, 'test', patient[1]), gray)

```

Figure 3: Example of code chunk converting imported image to the gray scale

## 2 Image preprocessing modifications in our project

We are facing problems in working with architecture of our neural network. Due to lack of code of the architecture and lack of skills in working with tensorflow and networks we were not able to provide second homework yet and it has influenced the quality of this task which is part of 3rd homework. We are hoping to get some extra time to finish homework 2 and present more advanced results of modifications of data augmentation. If it is possible we will try to solve this issues during the spring break.

However, we will partially present our efforts and we will still work for improvement.

We used two main approaches:

1. Fourier transformation
2. subtracting mean pixel value

Both approaches during our initial assessment proved to be highly ineffective in comparison with plane images used by COVIDNet authors. Especially Fourier transform, considering authors didn't provide detailed architecture, but pretrained models.

### 2.1 Fourier

The following chunk of code has been added to data preprocessing part.

We have tried to convert the image into frequency space and then apply the filter. Many tries to feed the network with image in this form caused errors. Only after bringing image back to the original space we did manage to start training - but the results are not what we expected.

```

x = cv2.cvtColor(x, cv2.COLOR_BGR2GRAY)

rows, cols = x.shape[0], x.shape[1]
crow, ccol = rows // 2, cols // 2

dft = cv2.dft(np.float32(x), flags=cv2.DFT_COMPLEX_OUTPUT)
dft_shift = np.fft.fftshift(dft)
#magnitude_spectrum = 20 * np.log(cv2.magnitude(dft_shift[:, :, 0], dft_shift[:, :, 1]))

# create a mask first, center square is 1, remaining all zeros
mask = np.zeros((rows, cols, 2), np.uint8)
mask[crow - 230:crow + 230, ccol - 230:ccol + 230] = 1
# apply mask and inverse DFT
fshift = dft_shift * mask
f_ishift = np.fft.ifftshift(fshift)
img_back = cv2.idft(f_ishift)
img_back = cv2.magnitude(img_back[:, :, 0], img_back[:, :, 1])

x = img_back

x = cv2.cvtColor(x, cv2.COLOR_GRAY2BGR)

```

Figure 4: Fourier transform code

Not applying the mask at all did not help  
 We suspect some lack of understanding in doing Fourier Transform what caused this poor results, however, we weren't able to spot the mistake yet - more intuitive approaches to feed images have caused errors with number of channels and we couldn't fix it yet.

```
■ Anaconda Prompt (Anaconda3)
2021-04-01 01:52:55.964129: W tensorflow/core/common_runtime/bfc_allocator.cc:248] Allocator (GPU_0_RC4) ran out of memory, but may mean that there could be performance gains if more memory were available.
2689/2689 [=====] - 2451s 907ms/step
Epoch: 0001 Minibatch loss= 0.125120908
[[100.  0.  0.]
 [100.  0.  0.]
 [ 76.  0.  24.]]
Sens Normal: 1.000, Pneumonia: 0.000, COVID-19: 0.240
PPV Normal: 0.362, Pneumonia 0.000, COVID-19: 1.000
Saving checkpoint at epoch 1
2689/2689 [=====] - 4851s 881ms/step
Epoch: 0002 Minibatch loss= 1.511022925
[[ 0.  0. 100.]
 [ 0.  0. 100.]
 [ 0.  0. 100.]]
Sens Normal: 0.000, Pneumonia: 0.000, COVID-19: 1.000
PPV Normal: 0.000, Pneumonia 0.000, COVID-19: 0.333
Saving checkpoint at epoch 2
2689/2689 [=====] - 7117s 833ms/step
Epoch: 0003 Minibatch loss= 1.523642182
[[ 0.  0. 100.]
 [ 0.  0. 100.]
 [ 0.  0. 100.]]
Sens Normal: 0.000, Pneumonia: 0.000, COVID-19: 1.000
PPV Normal: 0.000, Pneumonia 0.000, COVID-19: 0.333
Saving checkpoint at epoch 3
2689/2689 [=====] - 9378s 831ms/step
Epoch: 0004 Minibatch loss= 1.584045887
[[ 0.  0. 100.]
 [ 0.  0. 100.]
 [ 0.  0. 100.]]
Sens Normal: 0.000, Pneumonia: 0.000, COVID-19: 1.000
PPV Normal: 0.000, Pneumonia 0.000, COVID-19: 0.333
Saving checkpoint at epoch 4
2689/2689 [=====] - 11631s 828ms/step
Epoch: 0005 Minibatch loss= 1.514658332
[[ 0.  0. 100.]
 [ 0.  0. 100.]
 [ 0.  0. 100.]]
Sens Normal: 0.000, Pneumonia: 0.000, COVID-19: 1.000
PPV Normal: 0.000, Pneumonia 0.000, COVID-19: 0.333
WARNING:tensorflow:From C:\Users\jakub\anaconda3\lib\site-packages\tensorflow\python\training\sa
oved in a future version.
Instructions for updating:
Use standard file APIs to delete files with this prefix.
Saving checkpoint at epoch 5
2689/2689 [=====] - 13885s 829ms/step
Epoch: 0006 Minibatch loss= 1.547444344
[[ 0.  0. 100.]
 [ 0.  0. 100.]]
```

Figure 5: Fourier transform code

```
■ Anaconda Prompt (Anaconda3)
[ 0.  0. 100.]]
Sens Normal: 0.000, Pneumonia: 0.000, COVID-19: 1.000
PPV Normal: 0.000, Pneumonia 0.000, COVID-19: 0.333
WARNING:tensorflow:From C:\Users\jakub\anaconda3\lib\site-packages\tensorflow\python\training\sa
oved in a future version.
Instructions for updating:
Use standard file APIs to delete files with this prefix.
Saving checkpoint at epoch 5
2689/2689 [=====] - 13885s 829ms/step
Epoch: 0006 Minibatch loss= 1.547444344
[[ 0.  0. 100.]]
[ 0.  0. 100.]
[ 0.  0. 100.]]
Sens Normal: 0.000, Pneumonia: 0.000, COVID-19: 1.000
PPV Normal: 0.000, Pneumonia 0.000, COVID-19: 0.333
Saving checkpoint at epoch 6
2689/2689 [=====] - 16142s 829ms/step
Epoch: 0007 Minibatch loss= 1.529215693
[[ 0.  0. 100.]]
[ 0.  0. 100.]
[ 0.  0. 100.]]
Sens Normal: 0.000, Pneumonia: 0.000, COVID-19: 1.000
PPV Normal: 0.000, Pneumonia 0.000, COVID-19: 0.333
Saving checkpoint at epoch 7
2689/2689 [=====] - 18410s 834ms/step
Epoch: 0008 Minibatch loss= 1.599366903
[[ 0.  0. 100.]]
[ 0.  0. 100.]
[ 0.  0. 100.]]
Sens Normal: 0.000, Pneumonia: 0.000, COVID-19: 1.000
PPV Normal: 0.000, Pneumonia 0.000, COVID-19: 0.333
Saving checkpoint at epoch 8
2689/2689 [=====] - 20679s 834ms/step
Epoch: 0009 Minibatch loss= 1.551999927
[[ 0.  0. 100.]]
[ 0.  0. 100.]
[ 0.  0. 100.]]
Sens Normal: 0.000, Pneumonia: 0.000, COVID-19: 1.000
PPV Normal: 0.000, Pneumonia 0.000, COVID-19: 0.333
Saving checkpoint at epoch 9
2689/2689 [=====] - 22948s 834ms/step
Epoch: 0010 Minibatch loss= 1.546929002
[[ 0.  0. 100.]]
[ 0.  0. 100.]
[ 0.  0. 100.]]
Sens Normal: 0.000, Pneumonia: 0.000, COVID-19: 1.000
PPV Normal: 0.000, Pneumonia 0.000, COVID-19: 0.333
Saving checkpoint at epoch 10
Optimization Finished!
```

Figure 6: Fourier transform code

```

a failure, but may mean that there could be performance gains if more memory were available.
207/207 [=====] - 190s 856ms/step
Epoch: 0001 Minibatch loss= 1.592434883
[[63. 37. 0.]
 [ 4. 96. 0.]
 [ 7. 55. 38.]]
Sens Normal: 0.630, Pneumonia: 0.960, COVID-19: 0.380
PPV Normal: 0.851, Pneumonia 0.511, COVID-19: 1.000
Saving checkpoint at epoch 1
207/207 [=====] - 397s 856ms/step
Epoch: 0002 Minibatch loss= 1.551911116
[[100. 0. 0.]
 [100. 0. 0.]
 [100. 0. 0.]]
Sens Normal: 1.000, Pneumonia: 0.000, COVID-19: 0.000
PPV Normal: 0.333, Pneumonia 0.000, COVID-19: 0.000
Saving checkpoint at epoch 2
88/207 [=====>.....] - ETA: 1:41

```

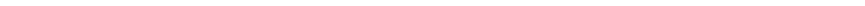


Figure 7: Fourier transform code

### 3 Methods of image preproscessing for objects detection

Methods may differ depending on input image type and feature extraction, however, let's take a brief look on the most common ones.

- smoothing images

Smoothing (also called blurring) is done for different reason. It becomes really useful when it comes to image denoising. Images may contain some incorrect information, even though the cameras are getting better and better. Aim of denosinig is to properly identificate the noise and remove it in a way that does not damage the image structure of contained information. OpenCV package offers various filters, that we may apply depending on our needs - normalized box filter, Gaussian filter, median filter, biltaleral filter

- contrast enhancement

Grayscale image may be too bright or too dark, thus a method called histogram equalization may be applied. This method is about stretching out the intensity range. Look at the example from openCV, where image with pixels clustered around certain intensity is being processed.

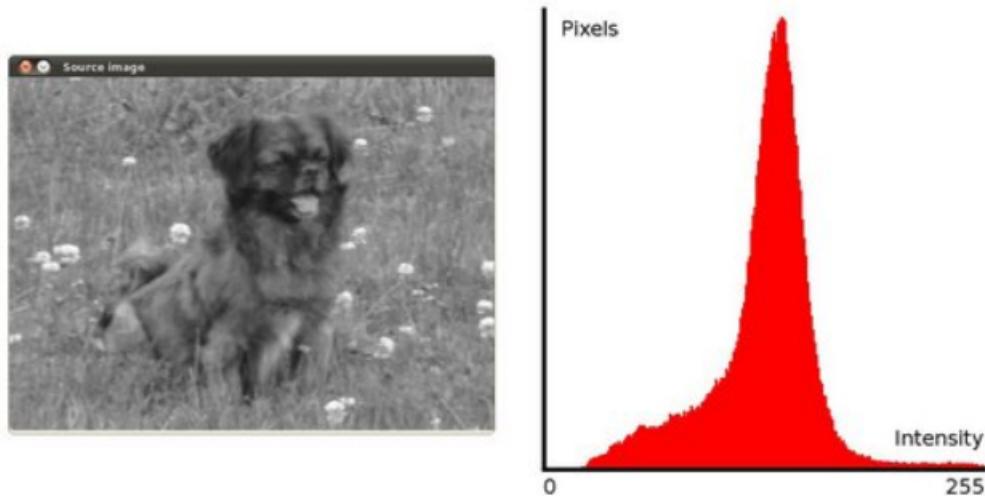


Figure 8: Histogram equalization - 1

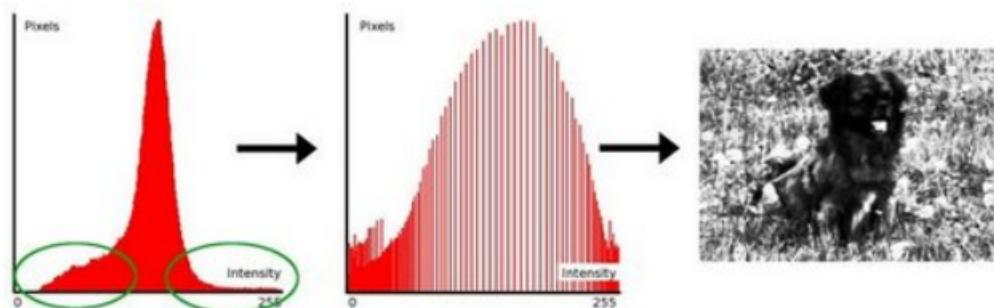


Figure 9: Histogram equalization - 2

- restoration

This method is used for reconstruction of destroyed or missing parts of an image.

- Circular Hough Transform

Aim of this method is to find circular patterns within an image. "CHT is used to transform a set of feature points in the image space into a set of accumulated votes in a parameter space. Then, for each feature point, votes are accumulated in an accumulator array for all parameter combinations. The array elements that contain the highest number of votes indicate the presence of the shape. Rizwan, Mohamed, et al. "Object detection using circular Hough transform." (2005).

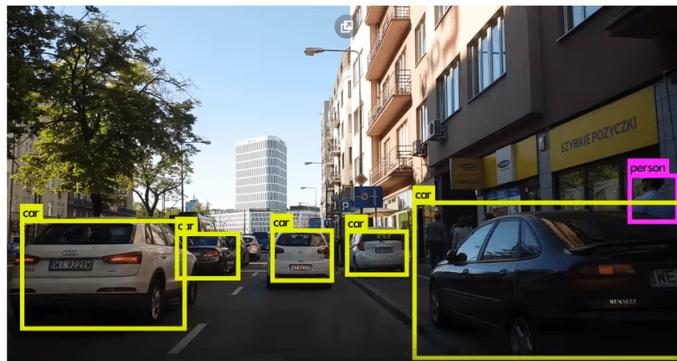
- morphological operations

It is a set of operations used to process images based on shapes. It is commonly used for

removing noise, isolation of individual elements and joining disparate elements in an image, finding intensity bumps or holes in image. The most basic operations are Erosion and Dilation.

- YOLO algorithm

This is popular object detection algorithm. However, the data images must be preprocessed in order to work properly. Firstly, image examples need to be resized to the same shape. This process is natural to be done since algorithm uses so called anchor boxes of a fixed shape. Then we use those anchor boxes to look for the objects. This leads us to another important step which is trying to keep the object from the same class in the relatively same size. Also choosing the right size of anchor box is the parameter than we have to learn.



- Segmentation algorithm(R-CNN)

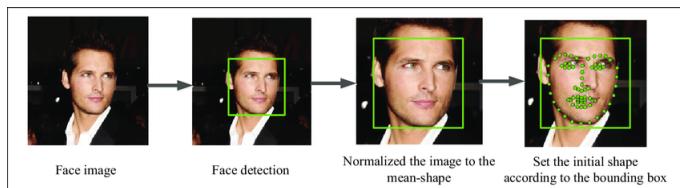
This is a better version of detection algorithm where you just move your box hoping to find a class object. The method proposed by Russ Girshick, Jeff Donahue, Trevor Darrell, and Jitendra Malik chose one those boxes that have potential to have class object in it. This is done by segmentation photo on regions.

- Face verification and recognition (One shot learning algorithm) Oftentimes we have to face the situation where we do not have big amount of data. However we would like to verify someone for example by his ID photo. Nowadays we can find such a solution on airport. The idea by processing is that the algorithm has to find our face in the photo and then cut it from the picture so that the neural network only gets relevant information to its input.

## Region proposal: R-CNN



[Girshik et. al, 2013, Rich feature hierarchies for accurate object detection and semantic segmentation] Andrew Ng



## 4 Methods used in increasing the resolution

### INTERPOLATION METHODS

- Nearest neighbour

Firstly new pixels are created. Number of new pixels depends on the size of enlargement. Then colours have to be assigned. In this method colour of the nearest pixel in original photo is examined and then assigned to new location. This method is simple and fast, however, have many downfalls. It produces a checkerboard effect.

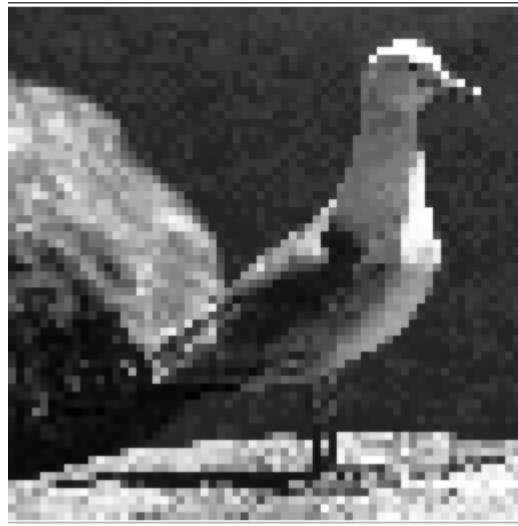


Figure 10: Checkerboard effect

- Bilinear Interpolation

Destination colour is computed from the top, left, right and bottom pixel of its original position. Then readings of colours are added and divided by 4

- Bicubic Interpolation

This method uses information from an original pixel and sixteen of the surrounding pixels. This method is more sophisticated than 2 mentioned before. It uses information from larger number of pixels and computations are made in other way - with usage of Bicubic calculation.

Probably this method is most commonly used.

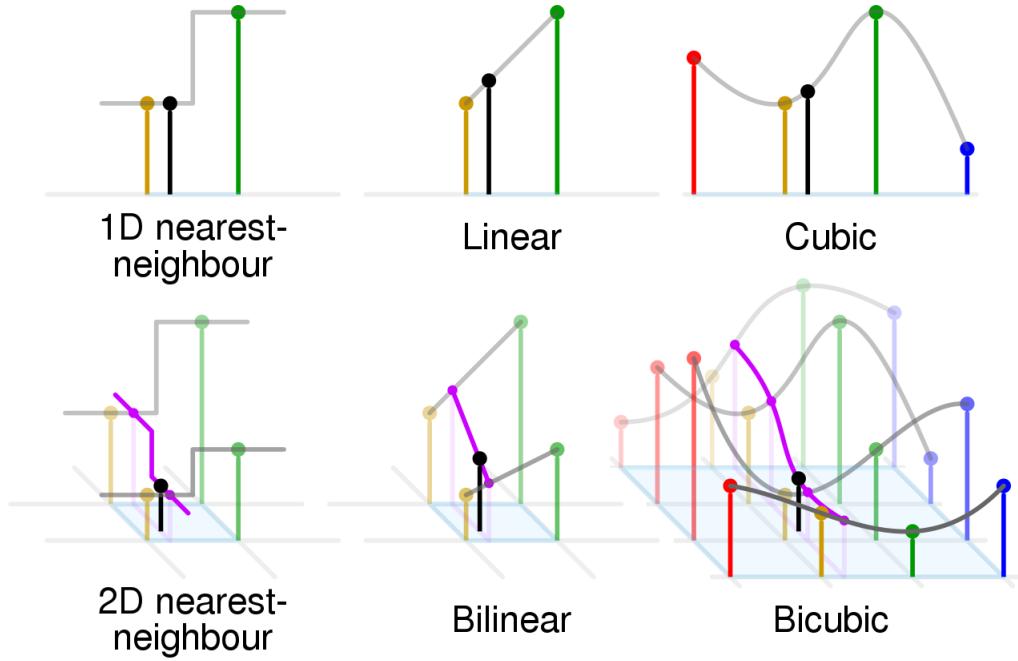


Figure 11: Comparison of Bicubic interpolation with some 1- and 2-dimensional interpolations.

#### Summary:

Nearest Neighbor - gives jaggies or stair-case effect.

Bilinear - quite fast but worse than Bicubic.

Bicubic - slowest but produces best estimation of new pixel values.

Those methods which are based on interpolation result in blurred image. Artifacts are especially present in areas with sharp lines and distinct color changes.

#### PURPOSE OF INCREASING RESOLUTION

1. it can provide better detailed zooming and magnification of details in an image.
2. conversion of low-resolution digital images and videos to a higher resolution.
3. Resolution increasing and decreasing can be used in sending images over a network, instead of compression.

Color shifting. Both this is an augmentation process and increasing resolution. Sometimes the colors in the picture are very weak or dark. This procedure helps for example to brighten the photo or balance the color resolution.