

A formal semantics for Ivory with proofs

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1 Introduction

This is a document produced automatically by the Isabelle theorem prover from the theory development available at

<https://github.com/GaloisInc/ivory/tree/master/ivory-formal-model>.

This document is provided primarily for those who do not wish to install Isabelle. We apologize for any illegibility caused by the document's automated generation.

2 General preliminaries

```

class infinite =
  fixes fresh :: 'a set  $\Rightarrow$  'a
  assumes fresh-not-in: finite S  $\implies$  fresh S  $\notin$  S

instantiation nat :: {infinite}
begin

definition
  nat-fresh-def: fresh S = (if S = {} then 0 else Suc (Max S))

instance
proof
  fix S :: nat set
  assume finite S
  show fresh S  $\notin$  S
  proof
    assume fresh S  $\in$  S
    with (finite S) have Suc (Max S)  $\leq$  Max S
    unfolding nat-fresh-def
    by (auto dest!: Max-ge split: split-if-asm)

  thus False by simp

```

qed
 qed
 end

definition

submap-st :: ('var \Rightarrow 'c option) \Rightarrow ('var \Rightarrow 'fun option) \Rightarrow ('fun \Rightarrow 'c \Rightarrow bool)
 \Rightarrow bool

where

submap-st m1 m2 P $\equiv \forall x \in \text{dom } m1. x \in \text{dom } m2 \wedge P (\text{the } (m2 \ x)) (\text{the } (m1 \ x))$

fun

inits :: 'a list \Rightarrow 'a list list

where

inits [] = []
 | *inits* (x # xs) = [] # map (op # x) (*inits* xs)

lemma *submap-st-empty* [simp]:

submap-st Map.empty m P
unfolding *submap-st-def* **by** simp

lemma *submap-stE* [elim?]:

assumes major: *submap-st* m n P
and mx: m x = Some v
and rl: $\bigwedge v'. \llbracket n \ x = \text{Some } v'; P \ v' \ v \rrbracket \Longrightarrow R$
shows R
using major mx rl
unfolding *submap-st-def* **by** fastforce

lemma *submap-st-update*:

assumes st: *submap-st* m m' P
and pvs: P v' v
shows *submap-st* (m(x \mapsto v)) (m'(x \mapsto v')) P
using st pvs **unfolding** *submap-st-def* **by** auto

lemma *submap-st-weaken*:

assumes st: *submap-st* m m' P
and rl: $\bigwedge x \ v \ v'. \llbracket m \ x = \text{Some } v; m' \ x = \text{Some } v'; P \ v' \ v \rrbracket \Longrightarrow P' \ v' \ v$
shows *submap-st* m m' P'
using st rl
unfolding *submap-st-def*
by (auto dest: bspec)

lemma *submap-st-dom*:

assumes st: *submap-st* m m' P
shows dom m \subseteq dom m'
using st **unfolding** *submap-st-def* **by** auto

```

lemma submap-stI:
  fixes as and k
  assumes dom:  $\text{dom } M' \subseteq \text{dom } M$ 
  and rl:  $\bigwedge x v v'. \llbracket M' x = \text{Some } v'; M x = \text{Some } v \rrbracket \implies P v v'$ 
  shows submap-st M' M P
  using dom unfolding submap-st-def
  by (auto dest! set-mp elim! rl)

lemma map-leD:
  assumes mle:  $M \subseteq_m M'$ 
  and mx:  $M x = \text{Some } y$ 
  shows  $M' x = \text{Some } y$ 
  using mle mx unfolding map-le-def by (auto simp: dom-def)

lemma map-le-map-upd-right:
  assumes map-le:  $M \subseteq_m M'$ 
  and notin:  $x \notin \text{dom } M'$ 
  shows  $M \subseteq_m M'(x \mapsto y)$ 
  using map-le notin unfolding map-le-def
  by (auto simp: dom-def)

lemma ran-map-upd-subset:
   $\text{ran } (M(x \mapsto v)) \subseteq \text{ran } M \cup \{v\}$ 
  unfolding ran-def by auto

lemma ran-map-upds:
   $\text{ran } [as \mapsto] bs \subseteq \text{set } bs$ 
  unfolding ran-def map-upds-def
  by (clarsimp dest! map-of-SomeD simp: set-zip)

lemma option-map-map-upds:
   $\text{Option.map } f \circ [as \mapsto] bs = [as \mapsto] \text{map } f bs$ 
  unfolding map-upds-def
  by (simp add: map-of-map [symmetric] rev-map [symmetric] zip-map2)

lemma map-of-apply:
   $\llbracket k \in \text{set } (\text{map fst } xs); P (\text{Some } (\text{hd } (\text{map snd } (\text{filter } (\lambda x. \text{fst } x = k) xs)))) \rrbracket$ 
   $\implies P (\text{map-of } xs k)$ 
  by (induct xs) (auto split: split-if-asm)

lemma hd-filter-conv-nth:
  assumes non-empty:  $\text{filter } P xs \neq []$ 
  shows  $R (\text{hd } (\text{filter } P xs)) = (\exists i < \text{length } xs. R (xs ! i) \wedge P (xs ! i) \wedge (\forall j < i. \neg P (xs ! j)))$  (is ?LHS xs = ?RHS xs)
  using non-empty
  proof (induction xs arbitrary:)
    case Nil thus ?case by simp
  next
    case (Cons y ys)

```

```

show ?case
proof (cases P y)
  case True
  thus ?thesis by fastforce
next
  case False
  hence ?LHS (y # ys) = ?LHS ys by simp
  also have ... = ?RHS ys
    using False Cons.prem by (auto intro!: Cons.IH)
  also have ... = ?RHS (y # ys)
  proof
    assume ?RHS ys
    then obtain i where i < length ys ∧ R (ys ! i) ∧ P (ys ! i) ∧ (∀ j < i. ¬ P
      (ys ! j)) ..
    thus ?RHS (y # ys) using False
      by - (rule exI [where x = Suc i], clarsimp simp: less-Suc-eq-0-disj)
  next
    assume ?RHS (y # ys)
    with False obtain i where i < length ys ∧ R (ys ! i) ∧ P (ys ! i) ∧ (∀ j < i.
      ¬ P (ys ! j))
    by (fastforce simp: nth.simps gr0-conv-Suc split: nat.splits)
    thus ?RHS ys ..
  qed
  finally show ?thesis .
qed
qed
qed

lemma map-of-apply-nth:
  assumes key-in-list: k ∈ set (map fst xs)
  and rl: ⋀ i. ⌊ i < length xs; fst (xs ! i) = k; (∀ j < i. fst (xs ! j) ≠ k) ⌋ ⇒
  P (Some (snd (xs ! i)))
  shows P (map-of xs k)
  using key-in-list
proof (rule map-of-apply)
  let ?i = LEAST i. fst (xs ! i) = k

  from key-in-list obtain i where
    ivs: i < length xs ∧ fst (xs ! i) = k
  by (clarsimp simp: in-set-conv-nth split-def)

  hence [x ← xs . fst x = k] ≠ []
    by (fastforce simp add: filter-empty-conv)

  moreover have ?i < length xs using ivs
    by (auto elim!: order-le-less-trans [rotated] intro!: Least-le)
  moreover from ⟨fst (xs ! i) = k⟩ have fst (xs ! ?i) = k by (rule LeastI)
  moreover have ∀ j < ?i. fst (xs ! j) ≠ k by (clarsimp dest!: not-less-Least)

  from ⟨[x ← xs . fst x = k] ≠ []⟩ have hd [x ← xs . fst x = k] = (xs ! ?i)

```

by (rule iffD2 [OF hd-filter-conv-nth])
 (rule exI [where $x = ?i$], intro conjI, simp-all, fact+)

moreover have P (Some (snd (xs ! ?i))) by (rule rl, fact+)

ultimately show P (Some (hd (map snd [x ← xs . fst x = k])))
 by (simp add: hd-map)

qed

lemma map-of-apply-nth-LEAST [consumes 1, case-names LEAST]:
 fixes xs and k
 defines $l-i \equiv \text{LEAST } i. \text{fst } (xs ! i) = k$
 assumes key-in-list: $k \in \text{set } (\text{map fst } xs)$
 and rl: $\llbracket l-i < \text{length } xs; \text{fst } (xs ! l-i) = k \rrbracket \implies P$ (Some (snd (xs ! l-i)))
 shows P (map-of xs k)
 using key-in-list

proof (rule map-of-apply)
 from key-in-list obtain i where
 ivs: $i < \text{length } xs$ and $\text{fst } (xs ! i) = k$
 by (clarsimp simp: in-set-conv-nth split-def)

hence $[x \leftarrow xs . \text{fst } x = k] \neq []$
 by (fastforce simp add: filter-empty-conv)

moreover have $l-i < \text{length } xs$ using ivs unfolding l-i-def
 by (auto simp add: l-i-def intro: order-le-less-trans [rotated] intro!: Least-le)

moreover have $\text{fst } (xs ! i) = k$ by fact

hence $\text{fst } (xs ! l-i) = k$
 unfolding l-i-def by (rule LeastI)

moreover from $\langle l-i < \text{length } xs \rangle$
 have $\forall j < l-i. \text{fst } (xs ! j) \neq k$
 unfolding l-i-def
 by (clarsimp dest!: not-less-Least)

from $\langle [x \leftarrow xs . \text{fst } x = k] \neq [] \rangle$ have $\text{hd } [x \leftarrow xs . \text{fst } x = k] = (xs ! l-i)$
 by (rule iffD2 [OF hd-filter-conv-nth])
 (rule exI [where $x = l-i$], intro conjI, simp-all, fact+)

moreover have P (Some (snd (xs ! l-i))) by (rule rl) fact+

ultimately show P (Some (hd (map snd [x ← xs . fst x = k])))
 by (simp add: hd-map)

qed

lemma map-of-apply-nth-LEAST' [consumes 1, case-names LEAST]:
 fixes as and k
 defines $l-i \equiv \text{LEAST } i. \text{as ! } i = k$
 assumes key-in-list: $k \in \text{set } as$
 and lens: $\text{length } as = \text{length } bs$
 and rl: $\llbracket l-i < \text{length } as; \text{as ! } l-i = k \rrbracket \implies P$ (Some (bs ! l-i))
 shows P (map-of (zip as bs) k)

proof (*rule map-of-apply-nth*)
from *key-in-list lens* **show** $k \in \text{set } (\text{map fst } (\text{zip as bs}))$ **by** *clarsimp*
next
fix i
assume $\text{ilt}: i < \text{length } (\text{zip as bs})$ **and** $\text{fst } (\text{zip as bs } ! i) = k$
 $\forall j < i. \text{fst } (\text{zip as bs } ! j) \neq k$
hence $\text{as-v}: \text{as } ! i = k \forall j < i. \text{as } ! j \neq k$
by *auto*
hence $\text{l-i-v}: \text{l-i} = i$ **unfolding** *l-i-def*
by (*auto intro!: Least-equality simp: linorder-not-less [symmetric]*)
hence $P (\text{Some } (\text{bs } ! \text{l-i}))$ **using** *ilt lens as-v*
by (*intro rl, simp-all*)
thus $P (\text{Some } (\text{snd } (\text{zip as bs } ! i)))$ **using** *lens ilt l-i-v* **by** *simp*
qed

lemma *option-bind-Some-iff*:
 $(\text{Option.bind } m f = \text{Some } v) = (\exists v'. m = \text{Some } v' \wedge f v' = \text{Some } v)$
by (*cases m*) *simp-all*

lemma *list-all2-weaken* [*consumes 1, case-names P*]:
assumes $\text{lall}: \text{list-all2 } P \text{ xs ys}$
and $\text{rl}: \bigwedge i. \llbracket i < \text{length xs}; \text{length ys} = \text{length xs}; P (\text{xs } ! i) (\text{ys } ! i) \rrbracket \implies Q$
 $(\text{xs } ! i) (\text{ys } ! i)$
shows $\text{list-all2 } Q \text{ xs ys}$
using lall **unfolding** *list-all2-conv-all-nth*
by (*auto intro: rl*)

lemma *submap-st-list-all2I*:
fixes as **and** k
assumes $\text{lens}: \text{length as} = \text{length bs} \text{ length as} = \text{length cs}$
and $\text{lall}: \text{list-all2 } P \text{ cs bs}$
shows $\text{submap-st } [\text{as } [\mapsto] \text{ bs}] [\text{as } [\mapsto] \text{ cs}] P$
unfolding *submap-st-def*
proof (*intro ballI conjI*)
fix x
assume $\text{xin}: x \in \text{dom } [\text{as } [\mapsto] \text{ bs}]$
thus $x \in \text{dom } [\text{as } [\mapsto] \text{ cs}]$ **using** lens **by** *simp*

let $?i = \text{LEAST } i. \text{rev as } ! i = x$
from lall **have** $\text{list-all2 } P (\text{rev cs}) (\text{rev bs})$ **by** *simp*
hence $?i < \text{length } (\text{rev cs}) \implies P (\text{rev cs } ! ?i) (\text{rev bs } ! ?i)$
by (*rule list-all2-nthD*)
thus $P (\text{the } ([\text{as } [\mapsto] \text{ cs}] x)) (\text{the } ([\text{as } [\mapsto] \text{ bs}] x))$ **using** lens xin
by (*clarsimp simp: map-upds-def dom-map-of-zip zip-rev [symmetric]*) (*fastforce*
intro: map-of-apply-nth-LEAST')
qed

lemma *list-all2-ballE1*:

assumes *asms*: *list-all2 P as bs a ∈ set as*
and *rl*: $\bigwedge b. \llbracket b \in \text{set } bs; P \ a \ b \rrbracket \implies R$
shows *R*
using *asms rl*
by (*fastforce simp: list-all2-conv-all-nth in-set-conv-nth*)

theory *Heaps*
imports *Lib*
begin

type-synonym *ridx* = *nat*

type-synonym *roff* = *nat*

definition

lookup-heap :: $((a \Rightarrow b \text{ option}) \text{ list}) \Rightarrow \text{ridx} \Rightarrow a \Rightarrow b \text{ option}$

where

lookup-heap H region = (if *region* < *length H* then (*H* ! *region*) else *Map.empty*)

definition

update-heap :: $((a \Rightarrow b \text{ option}) \text{ list}) \Rightarrow \text{ridx} \Rightarrow a \Rightarrow b \Rightarrow (a \Rightarrow b \text{ option}) \text{ list option}$

where

update-heap H region = (if *region* < *length H* then ($\lambda \text{off } v. \text{Some } (\text{take } \text{region } H \ @ \ [(H \ ! \ \text{region})(\text{off} \mapsto v)] \ @ \ \text{drop } (\text{region} + 1) \ H)$) else ($\lambda - . \text{None}$))

definition

push-heap :: $((a \Rightarrow b \text{ option}) \text{ list}) \Rightarrow ((a \Rightarrow b \text{ option}) \text{ list})$

where

push-heap H = *H* @ [*Map.empty*]

definition

pop-heap :: $((a \Rightarrow b \text{ option}) \text{ list}) \Rightarrow ((a \Rightarrow b \text{ option}) \text{ list})$

where

pop-heap H = *butlast H*

definition

fresh-in-heap :: $((a :: \text{infinite} \Rightarrow b \text{ option}) \text{ list}) \Rightarrow \text{ridx} \Rightarrow a$

where

fresh-in-heap H n = (*fresh* (*dom* (*H* ! *n*)))

definition

subheap :: $(a \Rightarrow b \text{ option}) \text{ list} \Rightarrow (a \Rightarrow b \text{ option}) \text{ list} \Rightarrow \text{bool}$

where

subheap = *list-all2 map-le*

lemma *pop-push-heap*: *pop-heap (push-heap H) = H*
by (*simp add: pop-heap-def push-heap-def*)

lemma *lookup-heap-Some-iff*:
(lookup-heap H region off = Some v) = (region < length H ∧ (H ! region) off = Some v)
unfolding *lookup-heap-def* **by** *simp*

lemma *lookup-heap-empty* [*simp*]:
lookup-heap [] n = (λ-. None) **unfolding** *lookup-heap-def* **by** *simp*

lemma *lookup-heap-length-append* [*simp*]:
lookup-heap (H @ [R]) (length H) = R
unfolding *lookup-heap-def* **by** *simp*

lemma *update-heap-empty* [*simp*]:
update-heap [] n = (λ-. None) **unfolding** *update-heap-def* **by** *simp*

lemma *update-heap-idem*:
shows *(update-heap H region off v = Some H) = (lookup-heap H region off = Some v)*
apply (*simp add: lookup-heap-Some-iff*)
apply (*clarsimp simp add: update-heap-def list-eq-iff-nth-eq*)
apply *rule*
apply (*clarsimp simp add: min-absorb1 min-absorb2 Suc-pred*)
apply (*drule spec, drule (1) mp*)
apply (*clarsimp simp: nth-append fun-upd-idem-iff*)
apply (*clarsimp simp add: min-absorb1 min-absorb2*)
apply *rule*
apply (*rule Suc-pred*)
apply *arith*
apply (*auto simp: le-imp-diff-is-add nth-append nth.simps min-absorb1 min-absorb2 not-less add-ac split: nat.splits*)
done

lemma *update-heap-into-lookup-heap*:
assumes *upd: update-heap H region off v = Some H'*
shows *lookup-heap H' region' off' = (if region' = region ∧ off' = off then Some v else lookup-heap H region' off')*
using *upd* **unfolding** *update-heap-def*
by (*auto simp: lookup-heap-def min-absorb2 min-absorb1 not-less nth-append nth.simps Suc-pred le-imp-diff-is-add add-ac split: split-if-asm nat.splits*)

lemma *lookup-heap-into-update-heap-same*:

assumes *lup*: lookup-heap *H* region *off* = Some *v*
obtains *H'* **where** update-heap *H* region *off* *v'* = Some *H'*
using *lup* **unfolding** update-heap-def
by (auto simp: lookup-heap-def min-absorb2 min-absorb1 not-less nth-append
nth.simps
Suc-pred le-imp-diff-is-add add-ac
split: split-if-asm nat.splits)

lemma update-heap-Some-iff:
(update-heap *H* region *off* *v* = Some *H'*)
= (region < length *H* ∧ *H'* = take region *H* @ [(*H* ! region)(*off* ↦ *v*)] @ drop
(region + 1) *H*)
unfolding update-heap-def **by** auto

lemma update-heap-mono:
assumes *upd*: update-heap *H* region *off* *v* = Some *H'*
shows update-heap (*H* @ *G*) region *off* *v* = Some (*H'* @ *G*)
using *upd* **unfolding** update-heap-def
by (auto simp: nth-append split: split-if-asm)

lemma update-heap-shrink:
assumes *upd*: update-heap (*H* @ *G*) region *off* *v* = Some (*H'* @ *G*)
and region: region < length *H*
shows update-heap *H* region *off* *v* = Some *H'*
using *upd* region **unfolding** update-heap-def
by (clarsimp simp: not-less nth-append split: split-if-asm)

lemma update-heap-length:
update-heap *H* *n* *x* *v* = Some *H'* ⇒ length *H'* = length *H*
by (auto simp: update-heap-def split: split-if-asm)

lemma length-pop-heap [simp]:
H ≠ [] ⇒ length (pop-heap *H*) = length *H* - 1
unfolding pop-heap-def **by** simp

lemma length-push-heap [simp]:
length (push-heap *H*) = Suc (length *H*)
unfolding push-heap-def **by** simp

lemma length-pop-heap-le:
length (pop-heap *H*) ≤ length *H*
unfolding pop-heap-def **by** simp

lemma fresh-in-heap-fresh:
assumes *finite*: finite (dom (lookup-heap *H* *n*))
shows fresh-in-heap *H* *n* ∉ dom (lookup-heap *H* *n*)
proof
let ?*R* = lookup-heap *H* *n*
assume fresh-in-heap *H* *n* ∈ dom ?*R*

hence $\text{fresh } (\text{dom } ?R) \in \text{dom } ?R$
 by (auto split: split-if-asm simp: fresh-in-heap-def lookup-heap-def)
 moreover from finite have $\text{fresh } (\text{dom } ?R) \notin \text{dom } ?R$ by (rule fresh-not-in)
 ultimately show False by simp
 qed

lemma *subheap-singleton*:
 $\text{subheap } [R] \ H = (\exists R'. H = [R'] \wedge R \subseteq_m R')$
unfolding *subheap-def*
by (cases H) auto

lemma *subheap-lengthD*:
 $\text{subheap } H \ H' \implies \text{length } H = \text{length } H'$ **unfolding** *subheap-def* **by** (rule list-all2-lengthD)

lemma *subheap-lookup-heapD*:
 assumes *sh*: $\text{subheap } H \ H'$
 and $\text{lup: lookup-heap } H \ \text{region } \text{off} = \text{Some } v$
 shows $\text{lookup-heap } H' \ \text{region } \text{off} = \text{Some } v$
 using *sh lup* **unfolding** *subheap-def*
by (auto simp: lookup-heap-Some-iff list-all2-conv-all-nth intro: map-leD)

lemma *subheap-mono-left*:
 assumes *sh*: $\text{subheap } H \ H'$
 shows $\text{subheap } (G @ H) (G @ H')$
 using *sh* **unfolding** *subheap-def*
by (auto simp: list-all2-conv-all-nth nth-append)

lemma *subheap-mono-right*:
 assumes *sh*: $\text{subheap } H \ H'$
 shows $\text{subheap } (H @ G) (H' @ G)$
 using *sh* **unfolding** *subheap-def*
by (auto simp: list-all2-conv-all-nth nth-append)

lemma *subheap-refl*:
 shows $\text{subheap } H \ H$
unfolding *subheap-def*
by (auto simp: list-all2-conv-all-nth)

lemma *subheap-trans* [*trans*]:
 assumes *sh*: $\text{subheap } H \ H'$
 and $\text{sh': subheap } H' \ H''$
 shows $\text{subheap } H \ H''$
 using *sh sh'* **unfolding** *subheap-def*
by (auto simp: list-all2-conv-all-nth nth-append elim!: map-le-trans dest!: spec)

lemma *subheap-take-drop*:
 assumes *xd*: $x \notin \text{dom } (\text{lookup-heap } H \ n)$
 and $\text{mn: } m = \text{Suc } n$

```

and      nv: n < length H
shows subheap H (take n H @ [ (H ! n)(x ↦ y) ] @ drop m H) (is subheap H
?H')
using mn nv xd unfolding subheap-def
by (auto intro!: nth-equalityI simp: lookup-heap-Some-iff list-all2-conv-all-nth
le-imp-diff-is-add nth-append nth.simps min-absorb1 min-absorb2 not-less add-ac
map-le-def split: nat.splits)

end

```

3 Syntax

3.1 Types

```
datatype prim = BoolT | NatT | UnitT
```

```
datatype area = Stored prim
```

```
datatype 'r wtype = Prim prim | RefT 'r area
```

abbreviation

NAT :: '*r wtype*

where

NAT ≡ *Prim NatT*

abbreviation

BOOL :: '*r wtype*

where

BOOL ≡ *Prim BoolT*

abbreviation

UNIT :: '*r wtype*

where

UNIT ≡ *Prim UnitT*

```
datatype 'r funtype = FunT 'r wtype 'r wtype list
```

3.2 Expressions and statements

```
datatype binop = add | sub | mult
```

```
datatype cmpop = lt | eq
```

```

datatype 'var expr =
  Var 'var
  | Nat nat
  | Bool bool
  | Unit
  | BinCmp cmpop 'var expr 'var expr
  | BinOp binop 'var expr 'var expr

```

```

datatype 'var impureexp =
  Pure 'var expr
  | NewRef 'var expr
  | ReadRef 'var expr
  | WriteRef 'var expr 'var expr

```

```

datatype ('var, 'fun) stmt =
  Skip
  | Return 'var expr
  | Bind 'var 'var impureexp ('var, 'fun) stmt
  | If 'var expr ('var, 'fun) stmt ('var, 'fun) stmt
  | For 'var 'var expr 'var expr 'var expr ('var, 'fun) stmt
  | Seq ('var, 'fun) stmt ('var, 'fun) stmt (infixr ;; 90)
  | Call 'var 'fun 'var expr list ('var, 'fun) stmt

```

```

fun
  is-terminal :: ('var, 'fun) stmt ⇒ bool
where
  is-terminal (Return e) = True
  | is-terminal -      = False

```

```

lemmas is-terminalE [consumes 1, case-names Return Skip]
  = is-terminal.elims(2)

```

3.3 Values

```

datatype prim-value = NatV nat | BoolV bool | UnitV
datatype wvalue = PrimV prim-value | RefV ridx roff
datatype hvalue = StoredV prim-value

```

```

datatype ('var, 'fun) func = Func 'var list ('var, 'fun) stmt

```

```

type-synonym ('var, 'fun) funs = 'fun ⇒ ('var, 'fun) func option

```

```

type-synonym region = roff ⇒ hvalue option

```

type-synonym *heap* = *region list*

type-synonym *'var store* = *'var* \Rightarrow *wvalue option*

datatype *'var frame-class* = *ReturnFrame 'var* | *SeqFrame*

fun

isReturnFrame :: *'var frame-class* \Rightarrow *bool*

where

isReturnFrame (*ReturnFrame* -) = *True*

| *isReturnFrame SeqFrame* = *False*

type-synonym (*'var*, *'fun*) *stack-frame* = *'var store* \times (*'var*, *'fun*) *stmt* \times *'var frame-class*

type-synonym (*'var*, *'fun*) *stack* = (*'var*, *'fun*) *stack-frame list*

record (*'var*, *'fun*) *state* =

store :: *'var store*

heap :: *heap*

stack :: (*'var*, *'fun*) *stack*

4 Semantics

4.1 Expressions

fun

cmpopV :: *cmpop* \Rightarrow *nat* \Rightarrow *nat* \Rightarrow *bool*

where

cmpopV lt *e*₁ *e*₂ = (*e*₁ < *e*₂)

| *cmpopV eq* *e*₁ *e*₂ = (*e*₁ = *e*₂)

fun

binopV :: *binop* \Rightarrow *nat* \Rightarrow *nat* \Rightarrow *nat*

where

binopV add *e*₁ *e*₂ = (*e*₁ + *e*₂)

| *binopV sub* *e*₁ *e*₂ = (*e*₁ - *e*₂)

| *binopV mult* *e*₁ *e*₂ = (*e*₁ * *e*₂)

fun

$$\begin{aligned}
& | - \Rightarrow \text{None}) \\
| \text{ExpWriteRef: ImpureExpV } G \ H \ (\text{WriteRef } e_1 \ e_2) = & (\text{case } (\text{ExpV } G \ e_1, \text{ExpV } G \ e_2) \text{ of} \\
& (\text{Some } (\text{RefV region off}), \text{Some } ww) \\
\Rightarrow \text{Option.bind } (wvalue\text{-to}\text{-hvalue } ww) & \\
& (\lambda v. \\
\text{Option.bind } (\text{update}\text{-heap } H \ \text{region off } v) & \\
& (\lambda H'. \\
\text{Some } (H', \text{PrimV UnitV})) & \\
| - & \Rightarrow \text{None})
\end{aligned}$$

abbreviation

impure-expv-some :: 'var store \Rightarrow heap \Rightarrow 'var impureexp \Rightarrow heap \Rightarrow wvalue \Rightarrow bool (- \models -, - \Downarrow -, - [49, 49, 49, 49, 49] 50)

where

$G \models H, e \Downarrow H', v \equiv \text{ImpureExpV } G \ H \ e = \text{Some } (H', v)$

4.2 Statement evaluation

datatype ('var, 'fun) StepResult = Normal ('var, 'fun) state \times ('var, 'fun) stmt
| Finished wvalue

inductive

Step :: ('var, 'fun) funs \Rightarrow ('var, 'fun) state \times ('var, 'fun) stmt \Rightarrow ('var, 'fun)
StepResult \Rightarrow bool (- \models - \triangleright - [49, 49, 49] 50)

where

StepBind: $\llbracket \text{store } S \models \text{heap } S, e \Downarrow H', v \rrbracket \Longrightarrow F \models (S, \text{Bind } x \ e \ s) \triangleright \text{Normal}$
(S($\llbracket \text{store } S \rrbracket (x \mapsto v)$), heap := H'), s)

| StepIf: $\llbracket \text{store } S \models e \Downarrow \text{PrimV } (\text{BoolV } b) \rrbracket \Longrightarrow F \models (S, \text{If } e \ s_1 \ s_2) \triangleright$
Normal (S, if b then s_1 else s_2)

| StepFor: $\llbracket \text{store } S \models e_I \downarrow v \rrbracket \Longrightarrow F \models (S, \text{For } x \ e_I \ e_B \ e_S \ s) \triangleright \text{Normal}$
(S($\llbracket \text{store } S \rrbracket (x \mapsto v)$), If $e_B \ (s \ ; \ ; \text{For } x \ e_S \ e_B \ e_S \ s) \text{ Skip}$)

| StepSeq: $F \models (S, s_1 \ ; \ ; \ s_2) \triangleright \text{Normal } (S \ \llbracket \text{stack } := (\text{store } S, s_2, \text{SeqFrame})$
stack S $\rrbracket, s_1)$

| StepSkip: $\text{stack } S = (st', \text{cont}, \text{SeqFrame}) \# \text{stack}' \Longrightarrow F \models (S, \text{Skip}) \triangleright$
Normal (S ($\llbracket \text{store } := st', \text{stack } := \text{stack}' \rrbracket, \text{cont}$))

| StepReturnSeq: $\text{stack } S = (st', \text{cont}, \text{SeqFrame}) \# \text{stack}' \Longrightarrow F \models (S, \text{Return } e)$
 $\triangleright \text{Normal } (S \ \llbracket \text{stack } := \text{stack}' \rrbracket, \text{Return } e)$

| StepReturnFun: $\llbracket \text{stack } S = (\text{store}', \text{cont}, \text{ReturnFrame } x) \# \text{stack}'; \text{store } S \models e$
 $\downarrow v \rrbracket$

$\Longrightarrow F \models (S, \text{Return } e) \triangleright \text{Normal } (\llbracket \text{store } = \text{store}'(x \mapsto v), \text{heap}$
 $= \text{pop}\text{-heap } (\text{heap } S), \text{stack } = \text{stack}' \rrbracket, \text{cont})$

| StepCall: $\llbracket F \models \text{Some } (\text{Func } as \ \text{body}); \text{length } as = \text{length } es; \text{pre}\text{-vs} = \text{map}$
(ExpV (store S)) es; $\forall v \in \text{set pre}\text{-vs}. v \neq \text{None} \rrbracket$

$\Longrightarrow F \models (S, \text{Call } x \ f \ es \ s) \triangleright \text{Normal } (\llbracket \text{store } = [as \ [\mapsto] \ \text{map the}$
 $\text{pre}\text{-vs}], \text{heap } = \text{push}\text{-heap } (\text{heap } S), \text{stack } = (\text{store } S, s, \text{ReturnFrame } x) \# (\text{stack}$

$S) \Downarrow, \text{body})$
 $| \text{StepReturnFin}: \llbracket \text{stack } S = []; \text{store } S \models e \downarrow v \rrbracket \implies F \models (S, \text{Return } e) \triangleright \text{Finished } v$

inductive-cases $\text{StepSkipE}: F \models (S, \text{Skip}) \triangleright \text{Normal } (S', s')$
inductive-cases $\text{StepReturnE} \text{ [consumes 1, case-names SeqFrame ReturnFrame Finish]}: F \models (S, \text{Return } e) \triangleright R$

inductive-cases $\text{StepCallE}: F \models (S, \text{Call } x \text{ args body } s) \triangleright R$
inductive-cases $\text{StepSeqE}: F \models (S, s_1 ;; s_2) \triangleright R$

inductive

$\text{StepN} :: ('var, 'fun) \text{funs} \Rightarrow \text{nat} \Rightarrow ('var, 'fun) \text{state} \times ('var, 'fun) \text{stmt} \Rightarrow ('var, 'fun) \text{StepResult} \Rightarrow \text{bool}$
 $(-, - \models - \triangleright^* - [49, 49, 49, 49] 50)$

where

$\text{Step1}: F, 0 \models S \triangleright^* \text{Normal } S$
 $| \text{StepN}: \llbracket F, n \models S \triangleright^* \text{Normal } S'; F \models S' \triangleright S'' \rrbracket \implies F, \text{Suc } n \models S \triangleright^* S''$

lemma StepN-add-head :

assumes $s1: F \models S \triangleright \text{Normal } S'$

and $sn: F, n \models S' \triangleright^* S''$

shows $F, \text{Suc } n \models S \triangleright^* S''$

using $sn \ s1$

by $\text{induction (auto intro: StepN.intros)}$

5 Well formed programs

5.1 Preliminaries

type-synonym $\text{regionT} = \text{roff} \Rightarrow \text{area option}$

type-synonym $\text{heapT} = \text{regionT list}$

type-synonym $(\text{'fun}, \text{'r}) \text{funsT} = \text{'fun} \Rightarrow \text{'r funtype option}$

type-synonym $(\text{'var}, \text{'r}) \text{storeT} = \text{'var} \Rightarrow \text{'r wtype option}$

type-synonym $\text{'r region-env} = \text{'r} \Rightarrow \text{ridx option}$

5.2 Region type variable substitutions

type-synonym $'r \text{ tsubst} = 'r \Rightarrow 'r$

fun

$\text{tsubst} :: 'r \text{ tsubst} \Rightarrow 'r \text{ wtype} \Rightarrow 'r \text{ wtype}$

where

$\text{tsubst } \vartheta \text{ (RefT } \varrho \text{ } \tau) = \text{RefT } (\vartheta \varrho) \tau$
 $| \text{tsubst } \vartheta \tau = \tau$

fun

$\text{tfrees} :: 'r \text{ wtype} \Rightarrow 'r \text{ set}$

where

$\text{tfrees } (\text{RefT } \varrho \text{ } \tau) = \{\varrho\}$
 $| \text{tfrees } \tau = \{\}$

definition

$\text{tfrees-set} :: 'r \text{ wtype set} \Rightarrow 'r \text{ set}$

where

$\text{tfrees-set } ts = \bigcup (\text{tfrees } ' ts)$

5.3 Expressions

inductive

$\text{WfE} :: ('var, 'r) \text{ storeT} \Rightarrow 'var \text{ expr} \Rightarrow 'r \text{ wtype} \Rightarrow \text{bool } (- \vdash - : - [49, 49, 49] 50)$

where

$\text{wfVar}: \quad \Gamma v = \text{Some } \tau \Longrightarrow \Gamma \vdash \text{Var } v : \tau$
 $| \text{wfNat}: \quad \Gamma \vdash \text{Nat } n : \text{NAT}$
 $| \text{wfBool}: \quad \Gamma \vdash \text{Bool } b : \text{BOOL}$
 $| \text{wfUnit}: \quad \Gamma \vdash \text{Unit} : \text{UNIT}$
 $| \text{wfBinCmp}: \quad \llbracket \Gamma \vdash e_1 : \text{NAT}; \Gamma \vdash e_2 : \text{NAT} \rrbracket \Longrightarrow \Gamma \vdash \text{BinCmp } \text{bop } e_1 e_2 : \text{BOOL}$
 $| \text{wfBinOp}: \quad \llbracket \Gamma \vdash e_1 : \text{NAT}; \Gamma \vdash e_2 : \text{NAT} \rrbracket \Longrightarrow \Gamma \vdash \text{BinOp } \text{bop } e_1 e_2 : \text{NAT}$

5.4 Impure expressions

inductive

$\text{WfImpureExpr} :: ('var, 'r) \text{ storeT} \Rightarrow 'r \Rightarrow 'var \text{ impureexp} \Rightarrow 'r \text{ wtype} \Rightarrow \text{bool } (-, - \vdash I - : - [49, 49, 49] 50)$

where

$\text{wfPure}: \quad \llbracket \Gamma \vdash e : \tau \rrbracket \Longrightarrow \Gamma, \varrho \vdash I \text{ Pure } e : \tau$
 $| \text{wfNewRef}: \quad \llbracket \Gamma \vdash e : \text{Prim } \tau \rrbracket \Longrightarrow \Gamma, \varrho \vdash I \text{ NewRef } e : \text{RefT } \varrho \text{ (Stored } \tau)$
 $| \text{wfReadRef}: \quad \llbracket \Gamma \vdash e : \text{RefT } \gamma \text{ (Stored } \tau) \rrbracket \Longrightarrow \Gamma, \varrho \vdash I \text{ ReadRef } e : \text{Prim } \tau$
 $| \text{wfWriteRef}: \quad \llbracket \Gamma \vdash e_2 : \text{Prim } \tau; \Gamma \vdash e_1 : \text{RefT } \gamma \text{ (Stored } \tau) \rrbracket \Longrightarrow \Gamma, \varrho \vdash I \text{ WriteRef } e_1 e_2 : \text{UNIT}$

5.5 Statements

inductive

$WfStmt :: ('var, 'r) storeT \Rightarrow ('fun, 'r) funsT \Rightarrow 'r \Rightarrow ('var, 'fun) stmt \Rightarrow 'r$
 $wtype \Rightarrow bool \Rightarrow bool \ (-, -, - \vdash - : -, - [49, 49, 49, 49] 50)$

where

$wfSkip: \Gamma, \Psi, \varrho \vdash Skip : \tau, False$
 $| wfReturn: \Gamma \vdash e : \tau \Longrightarrow \Gamma, \Psi, \varrho \vdash Return\ e : \tau, b$
 $| wfBind: \llbracket \Gamma, \varrho \vdash I\ e : \tau'; \Gamma(v \mapsto \tau'), \Psi, \varrho \vdash s : \tau, b \rrbracket \Longrightarrow \Gamma, \Psi, \varrho \vdash Bind\ v\ e\ s : \tau, b$
 $| wfIf: \llbracket \Gamma \vdash e : BOOL; \Gamma, \Psi, \varrho \vdash s_1 : \tau, b ; \Gamma, \Psi, \varrho \vdash s_2 : \tau, b \rrbracket \Longrightarrow \Gamma, \Psi, \varrho \vdash If\ e\ s_1\ s_2 : \tau, b$
 $| wfWhile: \llbracket \Gamma \vdash e_I : \tau'; \Gamma(v \mapsto \tau') \vdash e_B : BOOL; \Gamma(v \mapsto \tau') \vdash e_S : \tau'; \Gamma(v \mapsto \tau'), \Psi, \varrho \vdash s : \tau, b \rrbracket \Longrightarrow \Gamma, \Psi, \varrho \vdash For\ v\ e_I\ e_B\ e_S\ s : \tau, False$
 $| wfSeq: \llbracket \Gamma, \Psi, \varrho \vdash s_1 : \tau, False; \Gamma, \Psi, \varrho \vdash s_2 : \tau, b \rrbracket \Longrightarrow \Gamma, \Psi, \varrho \vdash s_1 ;; s_2 : \tau, b$
 $| wfCall: \llbracket \Psi\ f = Some\ (FunT\ \sigma\ ts);$
 $\quad list_all2\ (\lambda e\ \tau. \Gamma \vdash e : tsubst\ \vartheta\ \tau)\ es\ ts;$
 $\quad \Gamma(x \mapsto tsubst\ \vartheta\ \sigma), \Psi, \varrho \vdash s : \tau, b \rrbracket \Longrightarrow \Gamma, \Psi, \varrho \vdash (Call\ x\ f\ es\ s) : \tau, b$

inductive-cases $wfReturnE: \Gamma, \Psi, \varrho \vdash Return\ e : \tau, b$

5.6 Functions

inductive

$WfFunc :: ('fun, 'r) funsT \Rightarrow ('var, 'fun) func \Rightarrow 'r\ funtype \Rightarrow bool$

where

$wfFunc: \llbracket length\ args = length\ ts;$
 $\quad \varrho \notin tfrees_set\ (set\ ts); [args\ [\mapsto]\ ts], \Psi, \varrho \vdash s : \tau, True;$
 $\quad tfrees\ \tau \subseteq tfrees_set\ (set\ ts) \rrbracket (*\ no\ polymorphic\ return\ *)$
 $\Longrightarrow WfFunc\ \Psi\ (Func\ args\ s)\ (FunT\ \tau\ ts)$

6 Well-formed values and programs

inductive

$WfPrimValue :: prim_value \Rightarrow prim \Rightarrow bool$

where

$wfNatV: WfPrimValue\ (NatV\ n)\ NatT$
 $| wfBoolV: WfPrimValue\ (BoolV\ b)\ BoolT$
 $| wfUnitV: WfPrimValue\ UnitV\ UnitT$

inductive-cases $WfPNatVE: WfPrimValue\ v\ NatT$

inductive-cases $WfPBoolVE: WfPrimValue\ v\ BoolT$

inductive

$WfWValue :: 'r\ region_env \Rightarrow heapT \Rightarrow wvalue \Rightarrow 'r\ wtype \Rightarrow bool$

where

$wfPrimV: WfPrimValue\ v\ \tau \Longrightarrow WfWValue\ \Delta\ \Theta\ (PrimV\ v)\ (Prim\ \tau)$
 $| wfRefV: \llbracket \Delta\ \varrho = Some\ region; lookup_heap\ \Theta\ region\ off = Some\ \tau \rrbracket \Longrightarrow$

$WfWValue \Delta \Theta (RefV \text{ region off}) (RefT \varrho \tau)$

inductive-cases $WfNatVE: WfWValue \Delta \Theta v NAT$

inductive-cases $WfBoolVE: WfWValue \Delta \Theta v BOOL$

inductive-cases $WfRefVE: WfWValue \Delta \Theta v (RefT \varrho \tau)$

inductive

$WfHValue :: hvalue \Rightarrow area \Rightarrow bool$

where

$wfStoredV: WfPrimValue v \tau \Longrightarrow WfHValue (StoredV v) (Stored \tau)$

inductive

$WfHeap :: heap \Rightarrow heapT \Rightarrow bool$

where

$wfHeapNil: WfHeap [] []$

$| wfHeapCons: [WfHeap H \Theta; submap-st \Sigma R WfHValue; finite (dom R)] \Longrightarrow WfHeap (H @ [R]) (\Theta @ [\Sigma])$

inductive

$WfFuns :: ('var, 'fun) funs \Rightarrow ('fun, 'r) funsT \Rightarrow bool$

where

$WfFuns: submap-st \Psi F (WfFunc \Psi) \Longrightarrow WfFuns F \Psi$

inductive

$WfStore :: 'r \text{ region-env} \Rightarrow heapT \Rightarrow 'var \text{ store} \Rightarrow ('var, 'r) \text{ storeT} \Rightarrow bool$

where

$WfStore: submap-st \Gamma G (WfWValue \Delta \Theta) \Longrightarrow WfStore \Delta \Theta G \Gamma$

inductive

$WfFrees :: 'r \text{ region-env} \Rightarrow ('var, 'r) \text{ storeT} \Rightarrow 'r \Rightarrow nat \Rightarrow bool$

where

$WfFrees: [\Delta \varrho = Some n; \forall k \in ran \Delta. k \leq n; tfrees-set (ran \Gamma) \subseteq dom \Delta; finite (dom \Delta)] \Longrightarrow WfFrees \Delta \Gamma \varrho n$

inductive-cases $WfFreesE [elim?]: WfFrees \Delta \Gamma \varrho n$

inductive

$WfStack :: ('fun, 'r) funsT \Rightarrow 'r \text{ region-env} \Rightarrow heapT \Rightarrow ('var, 'fun) \text{ stack} \Rightarrow 'r \text{ wtype} \Rightarrow bool \Rightarrow 'r \Rightarrow bool$

where

$wfStackNil: WfStack \Psi \Delta [\Sigma] [] NAT True \varrho$

$| wfStackFun: [WfStack \Psi \Delta' \Theta st \tau' b' \gamma; WfStore \Delta' \Theta store' \Gamma; \Gamma(x \mapsto \tau), \Psi, \gamma \vdash cont : \tau', b';$

$WfFrees \Delta' (\Gamma(x \mapsto \tau)) \gamma (length \Theta - 1); \Delta' \subseteq_m \Delta]$

$\Longrightarrow WfStack \Psi \Delta (\Theta @ [\Sigma]) ((store', cont, ReturnFrame x) \# st) \tau$

$True \varrho$

$| wfStackSeq: [WfStack \Psi \Delta \Theta st \tau b' \varrho; WfStore \Delta \Theta store' \Gamma; \Gamma, \Psi, \varrho \vdash cont : \tau, b'; tfrees-set (ran \Gamma) \subseteq dom \Delta]$

$\implies \text{WfStack } \Psi \Delta \Theta ((\text{store}', \text{cont}, \text{SeqFrame}) \# \text{st}) \tau b \varrho$

inductive-cases *wfStackFalseE*: $\text{WfStack } \Psi \Delta \Theta \text{st} \tau \text{False} \varrho$

inductive-cases *wfStackConsE*: $\text{WfStack } \Psi \Delta \Theta (s \# \text{st}) \tau b \varrho$

inductive-cases *wfStackSeqE*: $\text{WfStack } \Psi \Delta \Theta ((\text{st}, s, \text{SeqFrame}) \# \text{st}') \tau b \varrho$

declare *One-nat-def Un-insert-right* [*simp del*]

inductive-cases *wfStackFunE*: $\text{WfStack } \Psi \Delta \Theta ((\text{st}, s, \text{ReturnFrame } x) \# \text{st}') \tau b \varrho$

declare *One-nat-def Un-insert-right* [*simp*]

inductive
 $\text{WfState} :: ('var, 'fun) \text{state} \Rightarrow ('var, 'r) \text{storeT} \Rightarrow ('fun, 'r) \text{funst} \Rightarrow 'r \text{wtype}$
 $\Rightarrow \text{bool} \Rightarrow 'r \Rightarrow \text{bool}$

where
 $\text{WfState}: \llbracket \text{WfStore } \Delta \Theta (\text{store } S) \Gamma; \text{WfHeap } (\text{heap } S) \Theta; \text{WfStack } \Psi \Delta \Theta (\text{stack } S) \tau b \varrho; \text{WfFrees } \Delta \Gamma \varrho (\text{length } \Theta - 1) \rrbracket$
 $\implies \text{WfState } S \Gamma \Psi \tau b \varrho$

declare *One-nat-def* [*simp del*]

inductive-cases *wfStateE* [*elim*]: $\text{WfState } S \Gamma \Psi \tau b \varrho$

declare *One-nat-def* [*simp*]

inductive
 $\text{WfProgram} :: ('fun, 'r) \text{funst} \Rightarrow ('var, 'fun) \text{state} \Rightarrow ('var, 'fun) \text{stmt} \Rightarrow \text{bool}$

where
 $\text{wfProgramI}: \llbracket \text{WfState } S \Gamma \Psi \tau b \varrho; \Gamma, \Psi, \varrho \vdash s : \tau, b \rrbracket \implies \text{WfProgram } \Psi S s$

7 Type system properties

7.1 General properties

lemma *WfHeap-length*:

assumes *wfh*: $\text{WfHeap } H \Theta$
shows $\text{length } H = \text{length } \Theta$
using *wfh*
by *induct auto*

lemma *WfHeap-dom*:

assumes *wfh*: $\text{WfHeap } H \Theta$
and *nv*: $n < \text{length } H$
shows $\text{dom } (\Theta ! n) \subseteq \text{dom } (H ! n)$
using *wfh nv WfHeap-length [OF wfh]*
by *induct (auto simp: nth-append not-less dest!: submap-st-dom)*

```

lemma WfHeap-dom':
  assumes wfh: WfHeap H  $\Theta$ 
  shows dom (lookup-heap  $\Theta$  n)  $\subseteq$  dom (lookup-heap H n)
  using wfh WfHeap-length [OF wfh]
  by induct (auto simp: nth-append not-less lookup-heap-def dest!: submap-st-dom
split: split-if-asm)

lemma WfStack-heap-length:
  assumes wfst: WfStack  $\Psi \Delta \Theta$  st  $\tau$  b  $\varrho$ 
  shows length  $\Theta = \text{Suc } (\text{length } (\text{filter } (\lambda(-, -, f). \text{isReturnFrame } f) \text{ st}))$ 
  using wfst
  by induct auto

lemma WfStack-heap-not-empty:
  assumes wfst: WfStack  $\Psi \Delta \Theta$  st  $\tau$  b  $\varrho$ 
  and wfh: WfHeap H  $\Theta$ 
  shows  $H \neq []$ 
  using wfst wfh
  by (auto dest!: WfStack-heap-length WfHeap-length)

lemma WfFrees-domD:
  assumes wfe: WfFrees  $\Delta \Gamma \varrho$  n
  shows  $\varrho \in \text{dom } \Delta$ 
  using wfe
  by (rule WfFreesE, auto)

lemma WfStore-lift-weak:
  assumes wfst: WfStore  $\Delta \Theta$  st  $\Gamma$ 
  and rl:  $\bigwedge v \tau. \text{WfWValue } \Delta \Theta v \tau \implies \text{WfWValue } \Delta' \Theta' v \tau$ 
  shows WfStore  $\Delta' \Theta'$  st  $\Gamma$ 
  using wfst
  apply (clarsimp elim!: WfStore.cases intro!: WfStore.intros)
  apply (erule submap-st-weaken)
  apply (erule rl)
  done

lemma WfHeap-inversionE:
  assumes wfh: WfHeap H  $\Theta$ 
  and lup: lookup-heap  $\Theta$  region off = Some  $\tau$ 
  obtains v where lookup-heap H region off = Some v and WfHValue v  $\tau$ 
  using wfh lup
proof (induction arbitrary: thesis)
  case wfHeapNil thus ?case by simp
next
  case (wfHeapCons H  $\Theta \Sigma R$ )

  note heap-len = WfHeap-length [OF wfHeapCons.hyps(1)]

```

```

show ?case
proof (cases region = length H)
  case True
  thus ?thesis using wfHeapCons.premys wfHeapCons.hyps heap-len
    by (auto simp add: lookup-heap-Some-iff nth-append elim!: submap-stE)
next
  case False
  with wfHeapCons.premys heap-len have lookup-heap  $\Theta$  region off = Some  $\tau$ 
    by (clarsimp simp: lookup-heap-Some-iff nth-append )

  then obtain v where lookup-heap H region off = Some v and
    WfHValue v  $\tau$  by (rule wfHeapCons.IH [rotated])

  show ?thesis
  proof (rule wfHeapCons.premys(1))
    from ⟨lookup-heap H region off = Some v⟩
    show lookup-heap (H @ [R]) region off = Some v
      by (simp add: lookup-heap-Some-iff nth-append)
    qed fact
  qed
qed

```

7.2 Returns tag weakening

```

lemma WfStmt-weaken-returns:
  assumes wfs:  $\Gamma, \Psi, \varrho \vdash s : \tau, b$ 
  and brl:  $b' \longrightarrow b$ 
  shows  $\Gamma, \Psi, \varrho \vdash s : \tau, b'$ 
  using wfs brl
  by (induct arbitrary: b') (auto intro: WfStmt.intros)

lemma WfStack-weaken-returns:
  assumes wfst: WfStack  $\Psi \Delta \Theta st \tau b' \varrho$ 
  and brl:  $b' \longrightarrow b$ 
  shows WfStack  $\Psi \Delta \Theta st \tau b \varrho$ 
  using wfst brl
  by induct (auto intro!: WfStack.intros elim: WfStack-weaken-returns)

```

7.3 Type substitution and free type variables

```

lemma tsubst-twice:
  tsubst  $\vartheta$  (tsubst  $\vartheta' \tau$ ) = tsubst ( $\vartheta \circ \vartheta'$ )  $\tau$ 
  by (induct  $\tau$ ) simp-all

lemma tfrees-tsubst:
  tfrees (tsubst  $\vartheta \tau$ ) =  $\vartheta$  ' tfrees  $\tau$ 
  by (cases  $\tau$ , simp-all)

lemma tfrees-set-tsubst:

```

$\text{tfrees-set } (\text{tsubst } \vartheta \text{ ' } S) = \vartheta \text{ ' } \text{tfrees-set } S$
unfolding tfrees-set-def
by ($\text{auto simp: tfrees-tsubst}$)

lemma tfrees-set-Un :
 $\text{tfrees-set } (S \cup S') = \text{tfrees-set } S \cup \text{tfrees-set } S'$
unfolding tfrees-set-def **by** simp

lemma $\text{tfrees-set-singleton}$ [simp]:
 $\text{tfrees-set } \{\tau\} = \text{tfrees } \tau$
unfolding tfrees-set-def **by** simp

lemma tsubst-cong :
 $\llbracket (\wedge x. x \in \text{tfrees } \tau \implies \vartheta x = \vartheta' x); \tau = \tau' \rrbracket \implies \text{tsubst } \vartheta \tau = \text{tsubst } \vartheta' \tau'$
by ($\text{induct } \tau$) auto

lemma $\text{tfrees-set-conv-bex}$:
 $(x \in \text{tfrees-set } S) = (\exists \tau \in S. x \in \text{tfrees } \tau)$
unfolding tfrees-set-def **by** auto

7.4 Type judgements and free variables

lemma Expr-tfrees :
assumes $\text{wf: } \Gamma \vdash e : \tau$
shows $\text{tfrees } \tau \subseteq \text{tfrees-set } (\text{ran } \Gamma)$
using wf
by $\text{induction (auto simp: tfrees-set-def ran-def)}$

lemma ImpureExpr-tfrees :
assumes $\text{wf: } \Gamma, \varrho \vdash I e : \tau$
shows $\text{tfrees } \tau \subseteq (\text{tfrees-set } (\text{ran } \Gamma) \cup \{\varrho\})$
using wf
by (induction) ($\text{auto dest: Expr-tfrees}$)

lemma $\text{tfrees-update-storeT}$:
assumes $\Gamma \vdash e : \tau$
shows $\text{tfrees-set } (\text{ran } (\Gamma(x \mapsto \tau))) \subseteq \text{tfrees-set } (\text{ran } \Gamma)$
proof –
from $\langle \Gamma \vdash e : \tau \rangle$ **have** $t\text{-sub: } \text{tfrees } \tau \subseteq \text{tfrees-set } (\text{ran } \Gamma)$ **by** (rule Expr-tfrees)
have $\text{tfrees-set } (\text{ran } (\Gamma(x \mapsto \tau))) \subseteq \text{tfrees-set } (\text{ran } \Gamma \cup \{\tau\})$
by ($\text{auto simp: tfrees-set-def dest!: set-mp [OF ran-map-upd-subset]}$)
also have $\dots = \text{tfrees-set } (\text{ran } \Gamma)$ **using** $t\text{-sub}$
by ($\text{auto simp: tfrees-set-def}$)
finally show $?thesis$.
qed

lemma $\text{tfrees-update-storeT'}$:


```

assumes  $\Gamma, \varrho \vdash I\ e : \tau$ 
shows  $\text{tfrees-set } (\text{ran } (\Gamma(x \mapsto \tau))) \subseteq \text{tfrees-set } (\text{ran } \Gamma) \cup \{\varrho\}$ 
proof –
  from  $\langle \Gamma, \varrho \vdash I\ e : \tau \rangle$  have  $t\text{-sub}: \text{tfrees } \tau \subseteq \text{tfrees-set } (\text{ran } \Gamma) \cup \{\varrho\}$  by (rule
  ImpureExpr-tfrees)

  have  $\text{tfrees-set } (\text{ran } (\Gamma(x \mapsto \tau))) \subseteq \text{tfrees-set } (\text{ran } \Gamma \cup \{\tau\})$ 
    by (auto simp: tfrees-set-def dest!: set-mp [OF ran-map-upd-subset])
  also have  $\dots \subseteq \text{tfrees-set } (\text{ran } \Gamma) \cup \{\varrho\}$  using  $t\text{-sub}$ 
    by (auto simp: tfrees-set-def)
  finally show ?thesis .
qed

```

```

lemma all-WfE-into-tfrees-set:
  assumes lall: list-all2  $(\lambda e\ \tau. \Gamma \vdash e : t\text{subst } \vartheta\ \tau)$  es ts
  shows  $\text{tfrees-set } (\text{set } (\text{map } (t\text{subst } \vartheta)\ ts)) \subseteq \text{tfrees-set } (\text{ran } \Gamma)$ 
proof –
  {
    fix  $i$ 
    assume  $i < \text{length } ts$ 
    with lall have  $\Gamma \vdash es\ !\ i : t\text{subst } \vartheta\ (ts\ !\ i)$ 
      by (rule list-all2-nthD2)
    hence  $\text{tfrees } (t\text{subst } \vartheta\ (ts\ !\ i)) \subseteq \text{tfrees-set } (\text{ran } \Gamma)$ 
      by (rule Expr-tfrees)
  } thus ?thesis unfolding tfrees-set-def
    by (fastforce simp: list-all2-conv-all-nth in-set-conv-nth)
qed

```

```

lemma tfrees-set-mono:
  assumes ss:  $S \subseteq S'$ 
  shows  $\text{tfrees-set } S \subseteq \text{tfrees-set } S'$ 
  using ss
  unfolding tfrees-set-def
  by auto

```

7.5 Type judgements and substitution

```

lemma WfExpr-tsubst:
  assumes wf:  $\Gamma \vdash e : \tau$ 
  shows  $(\text{Option.map } (t\text{subst } \vartheta) \circ \Gamma) \vdash e : t\text{subst } \vartheta\ \tau$ 
  using wf
  by induction (auto intro: WfE.intros)

```

```

lemma WfImpureExpr-tsubst:
  notes o-apply [simp del]
  assumes wf:  $\Gamma, \varrho \vdash I\ e : \tau$ 
  shows  $(\text{Option.map } (t\text{subst } \vartheta) \circ \Gamma), (\vartheta\ \varrho) \vdash I\ e : t\text{subst } \vartheta\ \tau$ 

```

```

using wf
by induction (auto intro!: WfImpureExpr.intros dest: WfExpr-tsubst)

lemmas WfExpr-tsubst-Prim = WfExpr-tsubst [where  $\tau = \text{Prim } \tau$ , simplified,
standard]

lemma WfStmt-tsubst:
  notes o-apply [simp del]
  assumes wfs:  $\Gamma, \Psi, \varrho \vdash e : \tau, b$ 
  shows  $(\text{Option.map } (tsubst \vartheta) \circ \Gamma), \Psi, \vartheta \varrho \vdash e : tsubst \vartheta \tau, b$ 
  using wfs
proof (induction )
  note [simp del] = option-map-o-map-upd fun-upd-apply
  case (wfBind  $\Gamma \varrho e \tau' v \Psi s \tau b$ )

  from  $\langle \Gamma, \varrho \vdash I e : \tau' \rangle$ 
  have t-sub: tfrees  $\tau' \subseteq \text{tfrees-set } (\text{ran } \Gamma) \cup \{\varrho\}$  by (rule ImpureExpr-tfrees)

  show ?case
  proof
    have  $\text{Option.map } (tsubst \vartheta) \circ \Gamma(v \mapsto \tau'), \Psi, \vartheta \varrho \vdash s : tsubst \vartheta \tau, b$ 
    by (rule wfBind.IH)
    thus  $(\text{Option.map } (tsubst \vartheta) \circ \Gamma)(v \mapsto tsubst \vartheta \tau'), \Psi, \vartheta \varrho \vdash s : tsubst \vartheta \tau, b$ 
    by (simp add: option-map-o-map-upd)

    from  $\langle \Gamma, \varrho \vdash I e : \tau' \rangle$ 
    show  $\text{Option.map } (tsubst \vartheta) \circ \Gamma, \vartheta \varrho \vdash I e : tsubst \vartheta \tau'$ 
    by (rule WfImpureExpr-tsubst)
  qed
next
  case (wfWhile  $\Gamma e_I \tau' v e_B e_S \Psi \varrho s \tau b$ )
  note [simp del] = option-map-o-map-upd fun-upd-apply

  show ?case using wfWhile.hyps
  proof (intro WfStmt.intros)
    let ? $\Gamma = (\text{Option.map } (tsubst \vartheta) \circ \Gamma)$ 

    from  $\langle \Gamma \vdash e_I : \tau' \rangle$ 
    have t-sub: tfrees  $\tau' \subseteq \text{tfrees-set } (\text{ran } \Gamma)$  by (rule Expr-tfrees)

    have  $\text{Option.map } (tsubst \vartheta) \circ \Gamma(v \mapsto \tau'), \Psi, \vartheta \varrho \vdash s : tsubst \vartheta \tau, b$ 
    by (rule wfWhile.IH)
    thus  $? \Gamma(v \mapsto tsubst \vartheta \tau'), \Psi, \vartheta \varrho \vdash s : tsubst \vartheta \tau, b$ 
    by (simp add: option-map-o-map-upd)
  qed (auto simp: option-map-o-map-upd [symmetric]
    intro: WfExpr-tsubst-Prim WfExpr-tsubst)
next
  case (wfCall  $\Psi f \sigma ts \Gamma \vartheta' es x \varrho s \tau b$ )

```

```

note [simp del] = option-map-o-map-upd fun-upd-apply

let ?both = ( $\vartheta \circ \vartheta'$ )

from  $\langle \Psi f = \text{Some } (\text{FunT } \sigma \text{ } ts) \rangle$ 
show ?case
proof
  from  $\langle \text{list-all2 } (\lambda e \tau. \Gamma \vdash e : \text{tsubst } \vartheta' \tau) \text{ es } ts \rangle$ 
  show  $\text{list-all2 } (\lambda e \tau. \text{Option.map } (\text{tsubst } \vartheta) \circ \Gamma \vdash e : \text{tsubst } ?\text{both } \tau) \text{ es } ts$ 
  proof
    fix  $e' \tau'$ 
    assume  $\Gamma \vdash e' : \text{tsubst } \vartheta' \tau'$ 
    thus  $\text{Option.map } (\text{tsubst } \vartheta) \circ \Gamma \vdash e' : \text{tsubst } ?\text{both } \tau'$ 
    by - (drule WfExpr-tsubst, simp add: tsubst-twice)
  qed
  have  $\text{Option.map } (\text{tsubst } \vartheta) \circ \Gamma(x \mapsto \text{tsubst } \vartheta' \sigma), \Psi, \vartheta \varrho \vdash s : \text{tsubst } \vartheta \tau, b$ 
  by (rule wfCall.IH)
  thus  $(\text{Option.map } (\text{tsubst } \vartheta) \circ \Gamma)(x \mapsto \text{tsubst } (\vartheta \circ \vartheta') \sigma), \Psi, \vartheta \varrho \vdash s : \text{tsubst } \vartheta \tau, b$ 
  by (simp add: option-map-o-map-upd tsubst-twice)
qed
qed (auto simp add: tsubst-twice
  intro: WfStmt.intros
  dest: WfExpr-tsubst WfImpureExpr-tsubst
  WfExpr-tsubst-Prim)

```

7.6 Type judgements and store (type) updates

lemma *WfStore-upd*:

```

assumes wfst: WfStore  $\Delta \Theta G \Gamma$ 
and wfuv: WfWValue  $\Delta \Theta v \tau$ 
shows WfStore  $\Delta \Theta (G(x \mapsto v)) (\Gamma(x \mapsto \tau))$ 
using wfst wfuv
by (auto elim!: WfStore.cases submap-st-update intro!: WfStore)

```

lemma *WfFrees-upd-storeT*:

```

assumes wffr: WfFrees  $\Delta \Gamma \varrho n$ 
and t-sub: tfrees  $\tau \subseteq \text{tfrees-set } (\text{ran } \Gamma) \cup \{\varrho\}$ 
shows WfFrees  $\Delta (\Gamma(x \mapsto \tau)) \varrho n$ 
using wffr

```

proof (rule WfFreesE, intro WfFrees)

```

assume  $\Delta \varrho = \text{Some } n$ 
 $\forall k \in \text{ran } \Delta. k \leq n$ 
 $\text{tfrees-set } (\text{ran } \Gamma) \subseteq \text{dom } \Delta \text{ finite } (\text{dom } \Delta)$ 

```

```

thus  $\Delta \varrho = \text{Some } n$  and  $\forall k \in \text{ran } \Delta. k \leq n$ 
and  $\text{finite } (\text{dom } \Delta)$  by simp-all

```

```

have  $\text{tfrees-set } (\text{ran } (\Gamma(x \mapsto \tau))) \subseteq \text{tfrees-set } (\text{ran } \Gamma \cup \{\tau\})$ 

```

```

    by (auto simp: tfrees-set-def dest!: set-mp [OF ran-map-upd-subset])
  also have ...  $\subseteq$  tfrees-set (ran  $\Gamma$ )  $\cup$   $\{\varrho\}$  using t-sub
    by (auto simp: tfrees-set-def)
  also have ...  $\subseteq$  (dom  $\Delta$ ) using
     $\langle$ tfrees-set (ran  $\Gamma$ )  $\subseteq$  dom  $\Delta$  $\rangle$   $\langle$  $\Delta$   $\varrho$  = Some  $n$  $\rangle$ 
    by (simp add: domI)
  finally show tfrees-set (ran ( $\Gamma(x \mapsto \tau)$ ))  $\subseteq$  dom  $\Delta$  .
qed

```

7.7 Type judgements and heap (type) updates

```

lemma WfWValue-region-extend:
  assumes wfwv: WfWValue  $\Delta$  ( $\Theta @ [\Sigma]$ )  $v$   $\tau'$ 
  and notin:  $x \notin$  dom  $\Sigma$ 
  shows WfWValue  $\Delta$  ( $\Theta @ [\Sigma(x \mapsto \tau)]$ )  $v$   $\tau'$ 
  using wfwv notin
  by cases (auto simp: lookup-heap-Some-iff nth-append not-less intro!: WfW-
Value.intros split: split-if-asm)

```

```

lemma WfWValue-heap-monotone:
  assumes wfwv: WfWValue  $\Delta$   $\Theta$   $v$   $\tau'$ 
  shows WfWValue  $\Delta$  ( $\Theta @ \Theta'$ )  $v$   $\tau'$ 
  using wfwv
  by cases (auto intro!: WfWValue.intros simp: lookup-heap-Some-iff nth-append)

```

```

lemma WfWValue-heap-mono:
  assumes wfst: WfWValue  $\Delta$   $\Theta$   $v$   $\tau$ 
  and sub: subheap  $\Theta$   $\Theta'$ 
  shows WfWValue  $\Delta$   $\Theta'$   $v$   $\tau$ 
  using wfst sub
proof induction
  case (wfRefV  $\Delta$   $\varrho$  region  $\Theta$  off  $\tau$ )
  show ?case
  proof
    from  $\langle$ subheap  $\Theta$   $\Theta'$  $\rangle$   $\langle$ lookup-heap  $\Theta$  region off = Some  $\tau$  $\rangle$ 
    show lookup-heap  $\Theta'$  region off = Some  $\tau$  by (rule subheap-lookup-heapD)
  qed fact
qed (auto intro: WfWValue.intros)

```

```

lemma WfStore-heap-mono:
  assumes wfst: WfStore  $\Delta$   $\Theta$   $G$   $\Gamma$ 
  and sub: subheap  $\Theta$   $\Theta'$ 
  shows WfStore  $\Delta$   $\Theta'$   $G$   $\Gamma$ 
proof (rule, rule submap-st-weaken)
  from wfst show submap-st  $\Gamma$   $G$  (WfWValue  $\Delta$   $\Theta$ ) by (auto elim: WfStore.cases)
next
  fix mv nv
  assume wfwv: WfWValue  $\Delta$   $\Theta$  mv nv
  thus WfWValue  $\Delta$   $\Theta'$  mv nv using sub

```

by (rule WfWValue-heap-mono)
qed

lemma WfStack-mono:

assumes wfst: WfStack $\Psi \Delta \Theta st \tau b \varrho$
and sub: subheap $\Theta \Theta'$
shows WfStack $\Psi \Delta \Theta' st \tau b \varrho$
using wfst sub
proof (induction arbitrary: Θ')
case wfstNil thus ?case by (clarsimp simp: subheap-singleton intro!: WfStack.intros)
next
case (wfStackFun $\Psi \Delta' \Theta st \tau' b' \gamma store' \Gamma x \tau cont \Delta \Sigma \varrho \Theta'$)

from $\langle subheap (\Theta @ [\Sigma]) \Theta' \rangle$ obtain Σ'
where $\Theta' = butlast \Theta' @ [\Sigma']$ and subheap $\Theta (butlast \Theta')$
unfolding subheap-def
by (clarsimp simp add: list-all2-append1 butlast-append list-all2-Cons1
cong: rev-conj-cong)

moreover have WfStack $\Psi \Delta (butlast \Theta' @ [\Sigma']) ((store', cont, ReturnFrame x) \# st) \tau True \varrho$

proof
from $\langle subheap \Theta (butlast \Theta') \rangle$ show WfStack $\Psi \Delta' (butlast \Theta') st \tau' b' \gamma$
by (rule wfStackFun.IH)

from $\langle WfStore \Delta' \Theta store' \Gamma \rangle \langle subheap \Theta (butlast \Theta') \rangle$
show WfStore $\Delta' (butlast \Theta') store' \Gamma$ by (rule WfStore-heap-mono)

from $\langle \Theta' = butlast \Theta' @ [\Sigma'] \rangle \langle WfFrees \Delta' (\Gamma(x \mapsto \tau)) \gamma (length \Theta - 1) \rangle$
 $\langle subheap \Theta (butlast \Theta') \rangle$
show WfFrees $\Delta' (\Gamma(x \mapsto \tau)) \gamma (length (butlast \Theta') - 1)$
by (clarsimp dest!: subheap-lengthD)

qed fact+
ultimately show ?case by simp

next
case (wfStackSeq $\Psi \Delta \Theta st \tau b' \varrho store' \Gamma cont b \Theta'$)

show ?case
proof
from $\langle subheap \Theta \Theta' \rangle$ show WfStack $\Psi \Delta \Theta' st \tau b' \varrho$ by (rule wfStackSeq.IH)
from $\langle WfStore \Delta \Theta store' \Gamma \rangle \langle subheap \Theta \Theta' \rangle$
show WfStore $\Delta \Theta' store' \Gamma$ by (rule WfStore-heap-mono)
qed fact+
qed

lemma WfWValue-push-heap:

assumes wfst: WfWValue $\Delta \Theta v \tau$
shows WfWValue $\Delta (push-heap \Theta) v \tau$

using *wfst*
by induction (*auto intro!*: *WfWValue.intros simp: lookup-heap-Some-iff push-heap-def nth-append*)

lemma *WfStore-push-heap*:
assumes *wfst*: *WfStore* Δ Θ *G* Γ
shows *WfStore* Δ (*push-heap* Θ) *G* Γ
proof (*rule, rule submap-st-weaken*)
from *wfst* **show** *submap-st* Γ *G* (*WfWValue* Δ Θ) **by** (*auto elim: WfStore.cases*)
next
fix *mv nv*
assume *wfwv*: *WfWValue* Δ Θ *mv nv*
thus *WfWValue* Δ (*push-heap* Θ) *mv nv*
by (*rule WfWValue-push-heap*)
qed

lemma *WfStore-upd-heapT*:
assumes *wfst*: *WfStore* Δ Θ *G* Γ
and *new-T*: *update-heap* Θ *n x* $\tau = \text{Some } \Theta'$
and *x-not-in*: $x \notin \text{dom} (\text{lookup-heap } \Theta \ n)$
shows *WfStore* Δ Θ' *G* Γ
proof (*rule, rule submap-st-weaken*)
from *wfst* **show** *submap-st* Γ *G* (*WfWValue* Δ Θ)
by (*auto elim: WfStore.cases*)
next
fix *mv nv*
assume *wfwv*: *WfWValue* Δ Θ *mv nv*

from *wfwv*
show *WfWValue* Δ Θ' *mv nv*
proof *cases*
case (*wfRefV* ϱ *region off* τ)

hence *lookup-heap* Θ' *region off* $= \text{Some } \tau$ **using** *x-not-in new-T*
by (*cases n = region*)
(fastforce simp: lookup-heap-Some-iff nth-append update-heap-def not-less min-absorb2
split: split-if-asm)+
thus *?thesis* **using** *wfRefV*
by (*auto simp add: lookup-heap-Some-iff intro!: WfWValue.intros*)
qed (*auto intro: WfWValue.intros*)
qed

lemma *WfHeap-upd*:
assumes *wfh*: *WfHeap* *H* Θ
and *wfwv*: *WfHValue* *v* τ
and *nv*: $n = \text{length } H - 1$
and *new-H*: *update-heap* *H n x v* $= \text{Some } H'$

```

and   new-T: update-heap  $\Theta$   $n$   $x$   $\tau$  = Some  $\Theta'$ 
and   notin:  $x \notin \text{dom } (\text{lookup-heap } H \ n)$ 
shows WfHeap  $H' \ \Theta'$ 
using wfh wfv notin WfHeap-dom' [where  $n = n$ , OF wfh] nv new-H new-T
proof induction
  case wfHeapNil thus ?case by simp
next
  case (wfHeapCons  $H \ \Theta \ \Sigma \ R$ )

  note heap-len = WfHeap-length [OF wfHeapCons.hyps(1)]

  have  $x \notin \text{dom } R$  using wfHeapCons.prem1 by (simp add: lookup-heap-def)
  hence  $x \notin \text{dom } \Sigma$  using wfHeapCons.prem1 heap-len by auto
  with  $\langle \text{WfHeap } H \ \Theta \rangle \langle \text{submap-st } \Sigma \ R \ \text{WfHValue} \rangle \langle \text{finite } (\text{dom } R) \rangle$ 
  show ?case using wfHeapCons.prem1 heap-len
    unfolding update-heap-def
    by (auto simp add: update-heap-def nth-append
      intro!: WfHeap.intros submap-st-update
      elim!: submap-st-weaken WfWValue-region-extend)
qed

lemma WfHeap-upd-same-type:
  assumes wfh: WfHeap  $H \ \Theta$ 
  and   wfv: WfHValue  $v \ \tau$ 
  and   new-H: update-heap  $H \ n \ x \ v$  = Some  $H'$ 
  and   lup: lookup-heap  $\Theta \ n \ x$  = Some  $\tau$ 
  shows WfHeap  $H' \ \Theta$ 
  using wfh wfv new-H lup
proof (induction arbitrary:  $H$ )
  case wfHeapNil thus ?case by simp
next
  case (wfHeapCons  $H \ \Theta \ \Sigma \ R \ H'$ )

  note heap-len = WfHeap-length [OF wfHeapCons.hyps(1)]

  show ?case
  proof (cases  $n = \text{length } \Theta$ )
    case True thus ?thesis using wfHeapCons.prem1 wfHeapCons.hyps heap-len
      by (auto simp add: update-heap-def nth-append submap-st-def
        intro!: WfHeap.intros)
  next
    case False
    with  $\langle \text{lookup-heap } (\Theta \ @ \ [\Sigma]) \ n \ x = \text{Some } \tau \rangle$  have  $n < \text{length } \Theta$ 
      by (simp add: lookup-heap-Some-iff)
    hence  $n < \text{length } H$  using heap-len by simp

    from  $\langle \text{update-heap } (H \ @ \ [R]) \ n \ x \ v = \text{Some } H' \rangle$ 
    have  $H' = (\text{butlast } H') \ @ \ [R]$ 
      unfolding update-heap-def using False heap-len

```

```

    by (auto simp: nth-append butlast-snoc not-less butlast-append
        split: split-if-asm)
  moreover have WfHeap (butlast H' @ [R]) (Θ @ [Σ])
  proof
    have WfHValue v τ by fact
    moreover
    from ⟨update-heap (H @ [R]) n x v = Some H'⟩ ⟨H' = (butlast H') @ [R]⟩
    have update-heap (H @ [R]) n x v = Some (butlast H' @ [R]) by simp
    hence update-heap H n x v = Some (butlast H') using ⟨n < length H⟩
      by (rule update-heap-shrink)
    moreover
    from ⟨lookup-heap (Θ @ [Σ]) n x = Some τ⟩ ⟨n < length Θ⟩
    have lookup-heap Θ n x = Some τ
      by (simp add: lookup-heap-Some-iff nth-append)

    ultimately show WfHeap (butlast H') Θ
      by (rule wfHeapCons.IH)
  qed fact+
  ultimately show ?thesis by simp
qed
qed

```

7.8 Region environment updates

```

lemma WfWValue-renv-mono:
  assumes wfwv: WfWValue Δ Θ v τ
  and sub: Δ ⊆m Δ'
  shows WfWValue Δ' Θ v τ
  using wfwv sub
  by induct (auto intro!: WfWValue.intros
      dest!: map-leD)

```

```

lemma WfStack-renv-mono:
  notes fun-upd-apply [simp del]
  assumes wfst: WfStack Ψ Δ Θ st τ b ρ
  and sub: Δ ⊆m Δ'
  shows WfStack Ψ Δ' Θ st τ b ρ
  using wfst sub
  proof induction
    case wfStackNil show ?case ..
  next
    case wfStackFun
    thus ?case by (auto intro!: WfStack.intros elim: map-le-trans)
  next
    case (wfStackSeq Ψ Δ Θ st τ b' ρ store' Γ cont b)

    show ?case
  proof

```



```

from ⟨WfStore Δ Θ store' Γ⟩ ⟨Δ ⊆m Δ'⟩
show WfStore Δ' Θ store' Γ
by (auto intro!: map-le-map-upd-right elim!: WfStore-lift-weak WfWValue-renv-mono
)
show WfStack Ψ Δ' Θ st τ b' ρ by (rule wfStackSeq.IH) fact

from ⟨tfrees-set (ran Γ) ⊆ dom Δ⟩
show tfrees-set (ran Γ) ⊆ dom Δ'
proof (rule order-trans)
  from ⟨Δ ⊆m Δ'⟩ show dom Δ ⊆ dom Δ' by (rule map-le-implies-dom-le)
qed
qed fact+
qed

```

```

theory EvalSafe
imports Semantics TypeSystemProps
begin

```

```

lemma Expr-safe:
  assumes wfe: Γ ⊢ e : τ
  and wfg: WfStore Δ Θ G Γ
  shows ∃ v. G ⊨ e ↓ v ∧ WfWValue Δ Θ v τ
  using wfe wfg
proof induct
  case (wfVar Γ x τ)
  have WfStore Δ Θ G Γ and Γ x = Some τ by fact+
  then obtain v where G x = Some v and WfWValue Δ Θ v τ
  by (auto elim!: submap-stE WfStore.cases)
  thus ?case by simp
next
  case (wfBinCmp Γ e1 e2 bop)
  thus ?case
  by (clarsimp elim!: WfNatVE WfPNatVE intro!: WfWValue.intros WfPrim-
Value.intros)
next
  case (wfBinOp Γ e1 e2 bop)
  thus ?case
  by (clarsimp elim!: WfNatVE WfPNatVE intro!: WfWValue.intros WfPrim-
Value.intros)
qed (auto intro: WfWValue.intros WfPrimValue.intros)

```

```

lemma Expr-safeE:
  assumes wfe: Γ ⊢ e : τ

```

```

and    wfg: WfStore  $\Delta \Theta$   $G \Gamma$ 
and    rl:  $\bigwedge v. \llbracket G \models e \downarrow v; WfWValue \Delta \Theta v \tau \rrbracket \implies R$ 
shows  $R$ 
using wfe wfg by (auto dest!: Expr-safe intro: rl)

lemma ImpureExpr-safeE:
  notes subheap-refl [intro]
  fixes  $\tau :: 'r \text{ wtype}$ 
  assumes wfe:  $\Gamma, \varrho \vdash I e : \tau$ 
  and    wfs: WfStore  $\Delta \Theta$   $st \Gamma$  WfHeap  $H \Theta$   $H \neq []$  WfFrees  $\Delta \Gamma \varrho$  (length  $\Theta - 1$ )
  obtains  $H' \Theta' v$  where  $st \models H, e \downarrow H', v$  WfHeap  $H' \Theta'$  WfWValue  $\Delta \Theta' v \tau$ 
  subheap  $\Theta \Theta'$ 
  using wfe wfs
proof (induction)
  case (wfPure  $\Gamma e \tau \varrho$ )
  note that = wfPure.premis(1)

  have  $\Gamma \vdash e : \tau$  by fact+
  then obtain  $v$  where  $st \models e \downarrow v$  WfWValue  $\Delta \Theta v \tau$  using  $\langle WfStore \Delta \Theta st \Gamma \rangle$ 
  by (auto elim!: Expr-safeE )

  thus ?case using  $\langle WfHeap H \Theta \rangle$ 
  by (auto intro!: that)
next
  case (wfNewRef  $\Gamma e \tau \varrho$ )
  note that = wfNewRef.premis(1)

  from  $\langle \Gamma \vdash e : Prim \tau \rangle \langle WfStore \Delta \Theta st \Gamma \rangle$  obtain  $v$  where  $st \models e \downarrow PrimV v$ 
  WfPrimValue  $v \tau$ 
  by (auto elim!: Expr-safeE WfWValue.cases)

  show ?case
  proof (rule that)
    let ?region = (length  $H - 1$ )
    let ?off = fresh-in-heap  $H$  ?region
    let ?H' = take ?region  $H @ [(H ! ?region)(?off \mapsto StoredV v)]$ 
    let ?Θ' = take ?region  $\Theta @ [(\Theta ! ?region)(?off \mapsto Stored \tau)]$ 

    from  $\langle WfHeap H \Theta \rangle$  have fin: finite (dom (lookup-heap  $H$  ?region))
    by (auto elim: WfHeap.cases)

    with  $\langle WfHeap H \Theta \rangle$ 
    have ?off  $\notin$  dom (lookup-heap  $\Theta$  ?region)
    by (rule contra-subsetD [OF WfHeap-dom' fresh-in-heap-fresh])

    from  $\langle st \models e \downarrow PrimV v \rangle \langle H \neq [] \rangle$ 

```

```

show  $st \models H, \text{NewRef } e \Downarrow ?H', \text{RefV } ?region \ ?off$ 
  by (clarsimp simp: Let-def update-heap-def)

from  $\langle \text{WfHeap } H \ \Theta \rangle$  have  $\text{length } \Theta = \text{length } H$  by (rule WfHeap-length
[symmetric])
with  $\langle H \neq [] \rangle$  have  $\text{update-heap } \Theta \ ?region \ ?off \ (\text{Stored } \tau) = \text{Some } ?\Theta'$ 
  by (auto simp add: update-heap-def diff-Suc-less)

from  $\langle \text{WfPrimValue } v \ \tau \rangle$  have  $\text{WfHValue } (\text{StoredV } v) \ (\text{Stored } \tau) ..$ 
with  $\langle \text{WfHeap } H \ \Theta \rangle$  show  $\text{WfHeap } ?H' \ ?\Theta'$ 
proof (rule WfHeap-upd [OF - - refl])
  from  $\langle H \neq [] \rangle$  show  $\text{update-heap } H \ ?region \ ?off \ (\text{StoredV } v) = \text{Some } ?H'$ 
    by (simp add: update-heap-def)
  from fin show  $?off \notin \text{dom } (\text{lookup-heap } H \ ?region)$ 
    by (rule fresh-in-heap-fresh)
qed fact

have notin:  $?off \notin \text{dom } (\text{lookup-heap } \Theta \ ?region)$  by fact
show  $\text{subheap } \Theta \ ?\Theta' \ \text{using } \langle \text{length } \Theta = \text{length } H \rangle \langle H \neq [] \rangle$ 
  using subheap-take-drop [OF notin refl] by simp

show  $\text{WfWValue } \Delta \ ?\Theta' \ (\text{RefV } ?region \ ?off) \ (\text{RefT } \varrho \ (\text{Stored } \tau))$ 
proof
  from  $\langle \text{length } \Theta = \text{length } H \rangle$ 
  show  $\text{lookup-heap } ?\Theta' \ ?region \ ?off = \text{Some } (\text{Stored } \tau)$ 
    by (auto simp: lookup-heap-Some-iff nth-append min-absorb2)

  from  $\langle \text{WfFrees } \Delta \ \Gamma \ \varrho \ (\text{length } \Theta - 1) \rangle \langle \text{length } \Theta = \text{length } H \rangle$ 
  show  $\Delta \ \varrho = \text{Some } ?region$  by (clarsimp elim!: WfFreesE)
qed
qed
next
case (wfReadRef  $\Gamma \ e \ \gamma \ \tau \ \varrho$ )
note that = wfReadRef.prems(1)

from  $\langle \Gamma \vdash e : \text{RefT } \gamma \ (\text{Stored } \tau) \rangle \langle \text{WfStore } \Delta \ \Theta \ st \ \Gamma \rangle$ 
obtain  $v$  where  $st \models e \Downarrow v \ \text{WfWValue } \Delta \ \Theta \ v \ (\text{RefT } \gamma \ (\text{Stored } \tau))$ 
  by (erule Expr-safeE)

moreover from  $\langle \text{WfWValue } \Delta \ \Theta \ v \ (\text{RefT } \gamma \ (\text{Stored } \tau)) \rangle$ 
obtain region off where  $v = \text{RefV } region \ off$ 
   $\text{lookup-heap } \Theta \ region \ off = \text{Some } (\text{Stored } \tau)$  by (rule WfRefVE)

from  $\langle \text{WfHeap } H \ \Theta \rangle \langle \text{lookup-heap } \Theta \ region \ off = \text{Some } (\text{Stored } \tau) \rangle$ 
obtain  $v'$  where  $\text{lookup-heap } H \ region \ off = \text{Some } (\text{StoredV } v')$  and  $\text{WfPrimValue } v' \ \tau$ 
  by (auto elim!: WfHeap-inversionE WfHValue.cases)

show ?case

```

```

proof (rule that)
  from  $\langle st \models e \downarrow v \rangle \langle v = \text{RefV region off} \rangle \langle \text{lookup-heap } H \text{ region off} = \text{Some} \text{ (StoredV } v') \rangle$ 
  show  $st \models H, \text{ReadRef } e \Downarrow H, \text{PrimV } v' \text{ by simp}$ 

  from  $\langle \text{WfPrimValue } v' \tau \rangle$  show  $\text{WfWValue } \Delta \Theta (\text{PrimV } v') (\text{Prim } \tau) \dots$ 
qed fact+
next
case  $(\text{wfWriteRef } \Gamma \ e_2 \ \tau \ e_1 \ \gamma \ \varrho)$ 
note  $\text{that} = \text{wfWriteRef.premis}(1)$ 

from  $\langle \Gamma \vdash e_1 : \text{RefT } \gamma \text{ (Stored } \tau) \rangle \langle \text{WfStore } \Delta \Theta \ st \ \Gamma \rangle$ 
obtain  $v$  where  $st \models e_1 \downarrow v \text{ WfWValue } \Delta \Theta \ v \text{ (RefT } \gamma \text{ (Stored } \tau))$ 
by  $(\text{erule Expr-safeE})$ 

from  $\langle \text{WfWValue } \Delta \Theta \ v \text{ (RefT } \gamma \text{ (Stored } \tau)) \rangle$ 
obtain  $\text{region off}$  where  $v = \text{RefV region off}$ 
lookup-heap  $\Theta \text{ region off} = \text{Some (Stored } \tau) \text{ by (rule WfRefVE)}$ 

from  $\langle \Gamma \vdash e_2 : \text{Prim } \tau \rangle \langle \text{WfStore } \Delta \Theta \ st \ \Gamma \rangle$ 
obtain  $v'$  where  $st \models e_2 \downarrow \text{PrimV } v' \text{ WfPrimValue } v' \ \tau$ 
by  $(\text{auto elim! : Expr-safeE WfWValue.cases})$ 

from  $\langle \text{WfHeap } H \ \Theta \rangle \langle \text{lookup-heap } \Theta \text{ region off} = \text{Some (Stored } \tau) \rangle$ 
obtain  $h_v$  where  $\text{lookup-heap } H \text{ region off} = \text{Some (StoredV } h_v)$ 
by  $(\text{auto elim! : WfHeap-inversionE WfHValue.cases})$ 
then obtain  $H'$  where  $\text{update-heap } H \text{ region off (StoredV } v') = \text{Some } H'$ 
by  $(\text{rule lookup-heap-into-update-heap-same})$ 

show ?case
proof (rule that)
  from  $\langle st \models e_1 \downarrow v \rangle \langle v = \text{RefV region off} \rangle \langle st \models e_2 \downarrow \text{PrimV } v' \rangle$ 
   $\langle \text{update-heap } H \text{ region off (StoredV } v') = \text{Some } H' \rangle$ 
  show  $st \models H, \text{WriteRef } e_1 \ e_2 \Downarrow H', \text{PrimV UnitV}$ 
by  $\text{clarsimp}$ 

  show  $\text{WfWValue } \Delta \Theta (\text{PrimV UnitV}) (\text{Prim UnitT}) \text{ by (intro WfWValue.intros WfPrimValue.intros)}$ 

  from  $\langle \text{WfHeap } H \ \Theta \rangle \langle \text{WfPrimValue } v' \ \tau \rangle$ 
   $\langle \text{update-heap } H \text{ region off (StoredV } v') = \text{Some } H' \rangle$ 
   $\langle \text{lookup-heap } \Theta \text{ region off} = \text{Some (Stored } \tau) \rangle$ 
show  $\text{WfHeap } H' \ \Theta$ 
by  $(\text{rule WfHeap-upd-same-type [OF - wfStoredV]})$ 
qed rule
qed

```

lemma *ImpureExpr-safe-stateE*:
notes *subheap-refl* [intro]
assumes *wfe*: $\Gamma, \varrho \vdash I\ e : \tau$
and *wfs*: $WfState\ S\ \Gamma\ \Psi\ \tau'\ b\ \varrho$
obtains $H'\ \Theta' \Delta\ v$ **where** $store\ S \models heap\ S, e \Downarrow H', v\ WfHeap\ H'\ \Theta'$
 $WfStore\ \Delta\ \Theta' (store\ S)\ \Gamma\ WfWValue\ \Delta\ \Theta' v\ \tau\ WfStack\ \Psi\ \Delta\ \Theta' (stack\ S)\ \tau'\ b$
 ϱ
 $WfFrees\ \Delta\ \Gamma\ \varrho\ (length\ \Theta' - 1)$
proof –
from *wfs* **obtain** $\Theta\ \Delta$ **where**
 $WfStore\ \Delta\ \Theta (store\ S)\ \Gamma$
 $WfHeap\ (heap\ S)\ \Theta$
 $WfStack\ \Psi\ \Delta\ \Theta (stack\ S)\ \tau'\ b\ \varrho$
 $WfFrees\ \Delta\ \Gamma\ \varrho\ (length\ \Theta - 1)$
..
moreover
from $\langle WfStack\ \Psi\ \Delta\ \Theta (stack\ S)\ \tau'\ b\ \varrho \rangle \langle WfHeap\ (heap\ S)\ \Theta \rangle$ **have** $heap\ S \neq []$
by (rule *WfStack-heap-not-empty*)

ultimately obtain $H'\ \Theta' v$ **where** $store\ S \models heap\ S, e \Downarrow H', v\ WfHeap\ H'\ \Theta'$
 $WfWValue\ \Delta\ \Theta' v\ \tau\ subheap\ \Theta\ \Theta'$
using *wfe*
by (auto elim!: *ImpureExpr-safeE dest: WfHeap-length*)

from $\langle subheap\ \Theta\ \Theta' \rangle$ **have** $length\ \Theta = length\ \Theta'$ **by** (rule *subheap-lengthD*)

show ?thesis
proof (rule *that*)
show $WfStore\ \Delta\ \Theta' (store\ S)\ \Gamma$ **by** (rule *WfStore-heap-mono*) *fact+*
show $WfStack\ \Psi\ \Delta\ \Theta' (stack\ S)\ \tau'\ b\ \varrho$ **by** (rule *WfStack-mono*) *fact+*

from $\langle length\ \Theta = length\ \Theta' \rangle$ **show** $WfFrees\ \Delta\ \Gamma\ \varrho\ (length\ \Theta' - 1)$
by (rule *subst*) *fact*
qed *fact+*
qed
end

8 Progress

lemma *Progress*:
assumes *wff*: $WfFuns\ F\ \Psi$
and *wfst*: $WfState\ S\ \Gamma\ \Psi\ \tau\ b\ \varrho$
and *wfs*: $\Gamma, \Psi, \varrho \vdash s : \tau, b$
shows $\exists R. F \models (S, s) \triangleright R$
using *wfs wfst wff*
proof (induct arbitrary: *S*)

```

case (wfSkip  $\Gamma \Psi \varrho \tau S$ )
from  $\langle WfState\ S\ \Gamma\ \Psi\ \tau\ False\ \varrho \rangle$ 
obtain  $\Delta\ \Theta$  where WfStack  $\Psi\ \Delta\ \Theta\ (stack\ S)\ \tau\ False\ \varrho ..$ 
then obtain store' cont st where stack  $S = (store',\ cont,\ SeqFrame)\ \# st$ 
by (rule wfStackFalseE)
show ?case by (rule exI StepSkip) + fact
next
case (wfReturn  $\Gamma\ e\ \tau\ \Psi\ \varrho\ b\ S$ )
from  $\langle \Gamma \vdash e : \tau \rangle \langle WfState\ S\ \Gamma\ \Psi\ \tau\ b\ \varrho \rangle$ 
obtain v where e-to-v: store  $S \models e \Downarrow v$ 
by (auto elim: Expr-safeE elim!: WfState.cases)

show ?case
proof (cases stack  $S = []$ )
case True thus ?thesis using e-to-v by (auto intro: Step.intros)
next
case False
then obtain st cont fclass stack'
where stackS: stack  $S = (st,\ cont,\ fclass)\ \# stack'$ 
by (fastforce simp: neq-Nil-conv)

thus ?thesis using e-to-v
by (cases fclass) (auto intro: Step.intros)
qed
next
case (wfBind  $\Gamma\ \varrho\ e\ \tau'\ v\ \Psi\ s\ \tau\ b\ S$ )

from  $\langle \Gamma, \varrho \vdash I\ e : \tau' \rangle \langle WfState\ S\ \Gamma\ \Psi\ \tau\ b\ \varrho \rangle$ 
obtain  $H'\ \Theta'\ \Delta\ v'$  where eval: store  $S \models heap\ S,\ e \Downarrow H',\ v'$ 
and wfh': WfHeap  $H'\ \Theta'$ 
and wfs': WfStore  $\Delta\ \Theta'\ (store\ S)\ \Gamma$ 
and wfwv': WfWValue  $\Delta\ \Theta'\ v'\ \tau'$ 
by (auto elim!: ImpureExpr-safe-stateE)

from wfs' wfwv' have wfs-upd: WfStore  $\Delta\ \Theta'\ (store\ S(x \mapsto v'))\ (\Gamma(x \mapsto \tau'))$ 
by (rule WfStore-upd)

with eval show ?case
by (auto intro: exI Step.intros)
next
case (wfIf  $\Gamma\ e\ \Psi\ \varrho\ s_1\ \tau\ b\ s_2\ S$ )
from  $\langle \Gamma \vdash e : BOOL \rangle \langle WfState\ S\ \Gamma\ \Psi\ \tau\ b\ \varrho \rangle$ 
obtain bv where e-to-v: store  $S \models e \Downarrow PrimV\ (BoolV\ bv)$ 
by (auto elim!: Expr-safeE WfPBoolVE WfBoolVE elim!: WfState.cases)

thus ?case
by (auto intro: exI Step.intros)
next
case (wfWhile  $\Gamma\ e_I\ \tau'\ v\ e_B\ e_S\ \Psi\ \varrho\ s\ \tau\ b\ S$ )

```

```

from  $\langle \Gamma \vdash e_I : \tau \rangle \langle \text{WfState } S \ \Gamma \ \Psi \ \tau \ \text{False } \varrho \rangle$ 
obtain  $v$  where  $e\text{-to-}v$ :  $\text{store } S \models e_I \downarrow v$ 
by ( $\text{auto elim: Expr-safeE elim!: WfState.cases}$ )

thus  $?case$  by ( $\text{auto intro: exI Step.intros}$ )
next
  case ( $\text{wfSeq } \Gamma \ \Psi \ \varrho \ s_1 \ \tau \ s_2 \ b \ S$ )
  show  $?case$  by  $\text{rule rule}$ 
next
  case ( $\text{wfCall } \Psi \ f \ \sigma \ ts \ \Gamma \ \vartheta \ es \ x \ \varrho \ s \ \tau \ b \ S$ )

from  $\langle \Psi \ f = \text{Some } (\text{FunT } \sigma \ ts) \rangle \langle \text{WfFuns } F \ \Psi \rangle$ 
obtain  $fbody$  where  $F \ f = \text{Some } fbody \ \text{WfFunc } \Psi \ fbody \ (\text{FunT } \sigma \ ts)$ 
by ( $\text{auto elim!: WfFuns.cases submap-stE}$ )
then obtain  $as \ body$  where
   $things$ :  $F \ f = \text{Some } (\text{Func } as \ body)$ 
   $length \ as = length \ ts$ 
by ( $\text{cases } fbody, \text{auto elim!: WfFunc.cases}$ )

show  $?case$ 
proof ( $\text{rule exI, rule StepCall [OF - - refl]}$ )
  show  $F \ f = \text{Some } (\text{Func } as \ body)$  by  $\text{fact}$ 
next
  have  $\text{list-all2 } (\lambda e \ \tau. \Gamma \vdash e : \text{tsubst } \vartheta \ \tau) \ es \ ts$  by  $\text{fact}$ 
  hence  $length \ es = length \ ts$  ..
  with  $things$  show  $length \ as = length \ es$  by  $\text{simp}$ 
next
  show  $\forall v \in \text{set } (\text{map } (\text{ExpV } (\text{store } S)) \ es). \ v \neq \text{None}$ 
  proof
    fix  $v$ 
    assume  $v \in \text{set } (\text{map } (\text{ExpV } (\text{store } S)) \ es)$ 
    then obtain  $e$  where  $ein$ :  $e \in \text{set } es$  and  $ev$ :  $\text{ExpV } (\text{store } S) \ e = v$ 
    by  $\text{clarsimp}$ 

    have  $\text{list-all2 } (\lambda e \ \tau. \Gamma \vdash e : \text{tsubst } \vartheta \ \tau) \ es \ ts$  by  $\text{fact}$ 
    then obtain  $t$  where  $\Gamma \vdash e : \text{tsubst } \vartheta \ t$  using  $ein$ 
    by ( $\text{rule list-all2-balle1}$ )

    moreover have  $\text{WfState } S \ \Gamma \ \Psi \ \tau \ b \ \varrho$  by  $\text{fact}$ 
    ultimately show  $v \neq \text{None}$  using  $ev$ 
    by ( $\text{auto elim: Expr-safeE elim!: WfState.cases}$ )
  qed
qed
qed

```

9 Preservation

lemma *ImpureExp-length*:

assumes *eval*: $st \models H, e \Downarrow H', v$
shows $\text{length } H' = \text{length } H$
using *eval*
by (*induction rule: ImpureExpV.induct*)
(auto simp add: Let-def option-bind-Some-iff update-heap-length split: option.splits wvalue.splits)

lemma *WfValue-return*:

assumes *wfwv*: $\text{WfWValue } \Delta \Theta v \tau$
and *frees*: $\text{WfFrees } \Delta \Gamma \varrho (\text{length } \Theta - 1) \text{ WfFrees } \Delta' (\Gamma'(x \mapsto \tau)) \gamma (\text{length } (\text{butlast } \Theta) - 1) \Delta' \subseteq_m \Delta$
and *len*: $\text{length } \Theta > 1$
shows $\text{WfWValue } \Delta' (\text{butlast } \Theta) v \tau$
using *wfwv frees len*
proof *induction*
case *wfPrimV* **show** *?case* **by** *rule fact*
next
case (*wfRefV* $\Delta \varrho' \text{ region } \Theta \text{ off } \tau$)

show *?case*

proof

have $\varrho' \in \text{tfrees-set } (\text{ran } (\Gamma'(x \mapsto \text{RefT } \varrho' \tau)))$
unfolding *tfrees-set-def ran-def* **by** *auto*
also from $\langle \text{WfFrees } \Delta' (\Gamma'(x \mapsto \text{RefT } \varrho' \tau)) \gamma (\text{length } (\text{butlast } \Theta) - 1) \rangle$
have $\dots \subseteq \text{dom } \Delta'$ **by** (*auto elim!: WfFreesE*)
finally show $\Delta' \varrho' = \text{Some region}$
using $\langle \Delta' \subseteq_m \Delta \rangle \langle \Delta \varrho' = \text{Some region} \rangle$
by (*auto dest: map-leD*)

from $\langle \text{WfFrees } \Delta' (\Gamma'(x \mapsto \text{RefT } \varrho' \tau)) \gamma (\text{length } (\text{butlast } \Theta) - 1) \rangle$
have $\forall k \in \text{ran } \Delta'. k \leq \text{length } (\text{butlast } \Theta) - 1 \dots$

with $\langle \Delta' \varrho' = \text{Some region} \rangle \langle \text{length } \Theta > 1 \rangle$

have $\text{region} < \text{length } (\text{butlast } \Theta)$

by (*auto simp: ran-def dest!: set-mp*)

thus $\text{lookup-heap } (\text{butlast } \Theta) \text{ region off} = \text{Some } \tau$

using $\langle \text{lookup-heap } \Theta \text{ region off} = \text{Some } \tau \rangle$

by (*simp add: lookup-heap-Some-iff nth-butlast*)

qed

qed

lemma *Preservation*:

fixes $\tau :: 'r :: \{\text{infinite}\} \text{ wtype}$

assumes $wff: WfFuns\ F\ \Psi$
and $wfst: WfState\ S\ \Gamma\ \Psi\ \tau\ b\ \varrho$
and $wfs: \Gamma, \Psi, \varrho \vdash s : \tau, b$
and $step: F \models (S, s) \triangleright Normal\ (S', s')$
shows $\exists \Gamma' \tau' b' \gamma. WfState\ S' \Gamma' \Psi\ \tau' b' \gamma \wedge \Gamma', \Psi, \gamma \vdash s' : \tau', b'$
using $wfs\ step\ wfst\ wff$
proof (*induction arbitrary: S s'*)
case ($wfSkip\ \Gamma\ \Psi\ \varrho\ \tau\ S\ s'$)

from $\langle F \models (S, Skip) \triangleright Normal\ (S', s') \rangle$
obtain $store'\ stack'$ **where**
 $Sv': S' = S \parallel store := store', stack := stack' \parallel$
and $stackv: stack\ S = (store', s', SeqFrame) \# stack'$
by (*rule StepSkipE*)

with $\langle WfState\ S\ \Gamma\ \Psi\ \tau\ False\ \varrho \rangle$
obtain $\Theta\ \Delta\ \Gamma'\ b'$ **where**
 $WfHeap\ (heap\ S)\ \Theta$
 $WfStack\ \Psi\ \Delta\ \Theta\ stack'\ \tau\ b'\ \varrho$
 $WfStore\ \Delta\ \Theta\ store'\ \Gamma'$
 $tfrees\text{-}set\ (ran\ \Gamma') \subseteq dom\ \Delta$
 $WfFrees\ \Delta\ \Gamma\ \varrho\ (length\ \Theta - 1)$
 $\Gamma', \Psi, \varrho \vdash s' : \tau, b'$
by (*auto elim!: WfStateE WfStackSeqE*)
moreover from $\langle tfrees\text{-}set\ (ran\ \Gamma') \subseteq dom\ \Delta \rangle \langle WfFrees\ \Delta\ \Gamma\ \varrho\ (length\ \Theta - 1) \rangle$
have $WfFrees\ \Delta\ \Gamma'\ \varrho\ (length\ \Theta - 1)$
by (*auto elim!: WfFreesE intro!: WfFrees*)

ultimately show $?case$ **using** Sv'
by (*auto intro: WfState intro!: exI*)

next
case ($wfReturn\ \Gamma\ e\ \tau\ \Psi\ \varrho\ b\ S\ s'$)

from $\langle F \models (S, Return\ e) \triangleright Normal\ (S', s') \rangle$
show $?case$
proof (*cases rule: StepReturnE*)
case ($SeqFrame\ st\ cont\ stack'$)
with $wfReturn$ **show** $?thesis$
by (*clarsimp elim!: WfStateE WfStackSeqE*)
 $(auto\ intro: WfState\ intro!: WfStmt.intros\ exI)$

next
case ($ReturnFrame\ store'\ cont\ x\ stack'\ v$)

hence $Sv': S' = \parallel store = store'(x \mapsto v), heap = pop\text{-}heap\ (heap\ S), stack = stack' \parallel$
and $sv': s' = cont$ **by** *simp-all*

from $\langle WfState\ S\ \Gamma\ \Psi\ \tau\ b\ \varrho \rangle$
obtain $\Theta\ \Delta$ **where** $WfStore\ \Delta\ \Theta\ (store\ S)\ \Gamma$

```

WfHeap (heap S) Θ
WfStack Ψ Δ Θ (stack S) τ b ρ
WfFrees Δ Γ ρ (length Θ - 1)
..

from ⟨WfStack Ψ Δ Θ (stack S) τ b ρ⟩ (stack S = (store', cont, ReturnFrame
x) # stack')
obtain τ' b' Δ' Γ' γ where WfStack Ψ Δ' (butlast Θ) stack' τ' b' γ
WfStore Δ' (butlast Θ) store' Γ' Γ'(x ↦ τ), Ψ, γ ⊢ cont : τ', b'
WfFrees Δ' (Γ'(x ↦ τ)) γ (length (butlast Θ) - 1) Δ' ⊆m Δ
by (auto elim!: WfStackFunE)

show ?thesis
proof (intro exI conjI)
show WfState S' (Γ'(x ↦ τ)) Ψ τ' b' γ unfolding Sv'
proof (rule, simp-all del: One-nat-def)
from ⟨WfStore Δ' (butlast Θ) store' Γ'⟩
show WfStore Δ' (butlast Θ) (store'(x ↦ v)) (Γ'(x ↦ τ))
proof (rule WfStore-upd)
from ⟨WfStore Δ Θ (store S) Γ⟩ (store S ⊨ e ↓ v) (Γ ⊢ e : τ)
have WfWValue Δ Θ v τ by (auto elim: Expr-safeE)

thus WfWValue Δ' (butlast Θ) v τ
proof (rule WfValue-return)
from ⟨WfStack Ψ Δ Θ (stack S) τ b ρ⟩
⟨stack S = (store', cont, ReturnFrame x) # stack'⟩
show 1 < length Θ
by (auto dest: WfStack-heap-length)
qed fact+
qed

from ⟨WfStack Ψ Δ Θ (stack S) τ b ρ⟩ ⟨WfHeap (heap S) Θ⟩
have heap S ≠ [] by (rule WfStack-heap-not-empty)
with ⟨WfHeap (heap S) Θ⟩ show WfHeap (pop-heap (heap S)) (butlast Θ)
by (auto simp: pop-heap-def elim: WfHeap.cases)
qed fact+

from Γ'(x ↦ τ), Ψ, γ ⊢ cont : τ', b' sv' show Γ'(x ↦ τ), Ψ, γ ⊢ s' : τ', b'
by simp
qed
next
case Finish
thus ?thesis using wfReturn by simp
qed
next
case (wfBind Γ ρ e τ' x Ψ s τ b S s')

from ⟨F ⊨ (S, Bind x e s) ▷ Normal (S', s')⟩
obtain H' v' where

```

$eval: store\ S \models heap\ S, e \Downarrow H', v'$
and $Sv': S' = S(\text{store} := store\ S(x \mapsto v'), heap := H')$
and $ss': s' = s$
by *cases*

show *?case*
proof (*intro exI conjI*)
from $\langle s' = s \rangle$ **show** $\Gamma(x \mapsto \tau'), \Psi, \varrho \vdash s' : \tau, b$
by (*rule ssubst*) *fact*

from $\langle \Gamma, \varrho \vdash I\ e : \tau' \rangle \langle WfState\ S\ \Gamma\ \Psi\ \tau\ b\ \varrho \rangle$
obtain $H''\ \Theta'\ \Delta\ v''$ **where** $eval': store\ S \models heap\ S, e \Downarrow H'', v''$
and $wfh': WfHeap\ H''\ \Theta'$
and $wfs': WfStore\ \Delta\ \Theta'\ (store\ S)\ \Gamma$
and $wfst': WfStack\ \Psi\ \Delta\ \Theta'\ (stack\ S)\ \tau\ b\ \varrho$
and $wfwv': WfWValue\ \Delta\ \Theta'\ v''\ \tau'$
and $WfFrees\ \Delta\ \Gamma\ \varrho\ (length\ \Theta' - 1)$
by (*fastforce elim!: ImpureExpr-safe-stateE*)

moreover from $\langle WfState\ S\ \Gamma\ \Psi\ \tau\ b\ \varrho \rangle$
have $heap\ S \neq []$
by (*rule WfStateE*) (*erule (1) WfStack-heap-not-empty*)

moreover
from $\langle WfFrees\ \Delta\ \Gamma\ \varrho\ (length\ \Theta' - 1) \rangle$
have $WfFrees\ \Delta\ (\Gamma(x \mapsto \tau'))\ \varrho\ (length\ \Theta' - 1)$
proof (*rule WfFrees-upd-storeT*)
from $\langle \Gamma, \varrho \vdash I\ e : \tau' \rangle$
show $tfrees\ \tau' \subseteq tfrees\text{-}set\ (ran\ \Gamma) \cup \{\varrho\}$
by (*rule ImpureExpr-tfrees*)
qed

ultimately show $WfState\ S' (\Gamma(x \mapsto \tau'))\ \Psi\ \tau\ b\ \varrho$
using $wfst'\ Sv'\ eval\ eval'$
by (*auto intro!: WfStore-upd WfState dest!: ImpureExp-length*)
qed

next
case ($wfIf\ \Gamma\ e\ \Psi\ \varrho\ s_1\ \tau\ b\ s_2\ S\ s'$)

from $\langle F \models (S, stmt.If\ e\ s_1\ s_2) \triangleright Normal\ (S', s') \rangle$
obtain bl **where**
 $store\ S \models e \Downarrow PrimV\ (BoolV\ bl)$
and $ss: S' = S\ s' = (if\ bl\ then\ s_1\ else\ s_2)$
by *cases*

show *?case*
proof (*intro exI conjI*)
from $wfIf.hyps\ ss$ **show** $\Gamma, \Psi, \varrho \vdash s' : \tau, b$
by *simp*
from $\langle S' = S \rangle$ **show** $WfState\ S'\ \Gamma\ \Psi\ \tau\ b\ \varrho$ **by** (*rule ssubst*) *fact*

```

qed
next
case (wfWhile  $\Gamma$   $e_I$   $\tau'$   $x$   $e_B$   $e_S$   $\Psi$   $\varrho$   $s$   $\tau$   $b$   $S$   $s'$ )

from  $\langle F \models (S, \text{For } x \ e_I \ e_B \ e_S \ s) \triangleright \text{Normal } (S', s') \rangle$ 
obtain  $v$  where
  eval:  $\text{store } S \models e_I \downarrow v$ 
  and  $ss: S' = S(\text{store} := \text{store } S(x \mapsto v))$ 
   $s' = \text{stmt.If } e_B \ (s ;; \text{For } x \ e_S \ e_B \ e_S \ s) \ \text{Skip}$ 
  by cases

from  $\langle \text{WfState } S \ \Gamma \ \Psi \ \tau \ \text{False } \varrho \rangle$ 
obtain  $\Theta \ \Delta$  where  $wfs: \text{WfStore } \Delta \ \Theta \ (\text{store } S) \ \Gamma$ 
   $\text{WfHeap } (\text{heap } S) \ \Theta$ 
   $\text{WfStack } \Psi \ \Delta \ \Theta \ (\text{stack } S) \ \tau \ \text{False } \varrho$ 
   $\text{WfFrees } \Delta \ \Gamma \ \varrho \ (\text{length } \Theta - 1)$ 
  ..

show ?case
proof (intro conjI exI)
  show  $\Gamma(x \mapsto \tau'), \Psi, \varrho \vdash s' : \tau, \text{False}$  using  $ss \ \text{wfWhile.hyps}$ 
  by (auto intro!: WfStmt.intros elim: WfStmt-weaken-returns)

  show  $\text{WfState } S' \ (\Gamma(x \mapsto \tau')) \ \Psi \ \tau \ \text{False } \varrho$ 
  proof
    from  $\langle \Gamma \vdash e_I : \tau' \rangle$  have  $\text{tfrees } \tau' \subseteq \text{tfrees-set } (\text{ran } \Gamma)$ 
    by (rule Expr-tfrees)

    hence  $\text{tfrees } \tau' \subseteq \text{tfrees-set } (\text{ran } \Gamma) \cup \{\varrho\}$  by auto
    with  $\langle \text{WfFrees } \Delta \ \Gamma \ \varrho \ (\text{length } \Theta - 1) \rangle$ 
    show  $\text{WfFrees } \Delta \ (\Gamma(x \mapsto \tau')) \ \varrho \ (\text{length } \Theta - 1)$ 
    by (rule WfFrees-upd-storeT)

    from  $wfs \ ss$  show  $\text{WfHeap } (\text{heap } S') \ \Theta$ 
    and  $\text{WfStack } \Psi \ \Delta \ \Theta \ (\text{stack } S') \ \tau \ \text{False } \varrho$  by simp-all

    from  $\langle \text{WfStore } \Delta \ \Theta \ (\text{store } S) \ \Gamma \rangle \langle \Gamma \vdash e_I : \tau' \ ss \ \text{eval} \rangle$ 
    show  $\text{WfStore } \Delta \ \Theta \ (\text{store } S') \ (\Gamma(x \mapsto \tau'))$ 
    by (auto intro!: WfStore-upd elim!: Expr-safeE)
  qed
qed
next
case (wfSeq  $\Gamma$   $\Psi$   $\varrho$   $s_1$   $\tau$   $s_2$   $b$   $S$   $s'$ )

with  $\langle F \models (S, s_1 ;; s_2) \triangleright \text{Normal } (S', s') \rangle$ 
have  $Sv': S' = S(\text{stack} := (\text{store } S, s_2, \text{SeqFrame}) \# \text{stack } S)$ 
and  $ss: s' = s_1$ 
by (auto elim: StepSeqE)

```

```

show ?case
proof (intro exI conjI)
  from  $\langle \text{WfState } S \ \Gamma \ \Psi \ \tau \ b \ \varrho \rangle \langle \Gamma, \Psi, \varrho \vdash s_2 : \tau, b \rangle$ 
  show  $\text{WfState } S' \ \Gamma \ \Psi \ \tau \ \text{False } \varrho$  using  $Sv'$ 
    by (auto elim!: WfStateE WfStackFunE elim: WfFreesE intro!: WfState
WfStack.intros elim: WfStmnt-weaken-returns)

  show  $\Gamma, \Psi, \varrho \vdash s' : \tau, \text{False}$  using  $ss$  by (rule ssubst) fact
qed
next
case (wfCall  $\Psi \ f \ \sigma \ ts \ \Gamma \ \vartheta \ es \ x \ \varrho \ s \ \tau \ b \ S \ s'$ )

from  $\langle F \models (S, \text{Call } x \ f \ es \ s) \triangleright \text{Normal } (S', s') \rangle$ 
obtain  $args \ body$  where
   $Sv' : S' =$ 
     $([store = [args \mapsto] \ map \ (the \circ \text{ExpV } (store \ S)) \ es],$ 
     $heap = \text{push-heap } (heap \ S),$ 
     $stack = (store \ S, s, \text{ReturnFrame } x) \# stack \ S)$ 
    and  $Ff : F \ f = \text{Some } (\text{Func } args \ body)$ 
    and  $largs1 : \text{length } args = \text{length } es$ 
    and  $all\text{-eval} : \forall e \in \text{set } es. \exists y. store \ S \models e \downarrow y$ 
    and  $ss : s' = body$ 
    by (rule StepCallE) auto

from  $\langle \text{WfState } S \ \Gamma \ \Psi \ \tau \ b \ \varrho \rangle Sv' \ ss$ 
obtain  $\Theta \ \Delta$  where
   $wfs : \text{WfStore } \Delta \ \Theta \ (store \ S) \ \Gamma$ 
   $\text{WfHeap } (heap \ S) \ \Theta$ 
   $\text{WfStack } \Psi \ \Delta \ \Theta \ (stack \ S) \ \tau \ b \ \varrho$ 
   $\text{WfFrees } \Delta \ \Gamma \ \varrho \ (\text{length } \Theta - 1)$ 
  by (auto elim!: WfStateE)

from  $\langle \text{WfFuns } F \ \Psi \rangle \langle F \ f = \text{Some } (\text{Func } args \ body) \rangle \langle \Psi \ f = \text{Some } (\text{FunT } \sigma \ ts) \rangle$ 
obtain  $\gamma$  where  $largs2 : \text{length } args = \text{length } ts$ 
  and  $\gamma \in - \text{tfrees-set } (\text{set } ts)$ 
   $[args \mapsto] \ ts, \Psi, \gamma \vdash body : \sigma, \text{True}$ 
   $\text{tfrees } \sigma \subseteq \text{tfrees-set } (\text{set } ts)$ 
  by (auto elim!: WfFuns.cases WfFunc.cases submap-stE)

show ?case
proof (intro exI conjI)
  let  $? \gamma = \text{fresh } (\text{dom } \Delta)$ 

  def  $\pi\text{-def} : \pi \equiv \lambda \varrho. \text{if } \varrho = \gamma \text{ then } ? \gamma \text{ else if } \varrho \in \text{tfrees-set } (\text{set } ts) \text{ then } \vartheta \ \varrho$ 
  else  $\varrho$ 
  let  $? \Gamma = [args \mapsto] \ map \ (tsubst \ \pi) \ ts$ 

  let  $?vs = \text{map } (the \circ \text{ExpV } (store \ S)) \ es$ 

```

```

let ?G = [args [↦] ?vs]
let ?Δ = (Δ(?γ ↦ length Θ))

from ⟨WfFrees Δ Γ ρ (length Θ - 1)⟩ have finite (dom Δ)..
hence ?γ ∉ dom Δ
  by (rule fresh-not-in)

have pi-gamma: π γ = ?γ unfolding pi-def by simp

from ⟨list-all2 (λe τ. Γ ⊢ e : tsubst ϑ τ) es ts⟩
have list-all2 (λe τ. Γ ⊢ e : tsubst π τ) es ts
proof (cases rule: list-all2-weaken)
  case (P i)
  thus Γ ⊢ (es ! i) : tsubst π (ts ! i) using ⟨γ ∈ - tfrees-set (set ts)⟩
    unfolding pi-def
    apply -
    apply (clarsimp simp: all-set-conv-all-nth tfrees-set-conv-bex in-set-conv-nth
split: split-if-asm split-if)
    apply (subst tsubst-cong [where ϑ' = ϑ])
    apply (auto simp: tfrees-set-conv-bex cong: tsubst-cong)
    done
qed

from ⟨[args [↦] ts], Ψ, γ ⊢ body : σ, True⟩
have ?Γ, Ψ, π γ ⊢ s' : tsubst π σ, True using ss
  by (simp add: option-map-map-upds [symmetric]) (erule WfStmt-tsubst)
thus ?Γ, Ψ, ?γ ⊢ s' : tsubst π σ, True using pi-gamma by simp

have delta-subm: Δ ⊆m ?Δ using ⟨?γ ∉ dom Δ⟩
  unfolding map-le-def by simp

show WfState S' ?Γ Ψ (tsubst π σ) True ?γ
  unfolding Sv'
proof (rule, simp-all)
  have WfStore ?Δ Θ ?G ?Γ
  proof (rule, rule submap-st-list-all2I)

    show list-all2 (WfWValue ?Δ Θ) ?vs (map (tsubst π) ts)
    proof (rule list-all2-all-nthI)
      show length ?vs = length (map (tsubst π) ts) using largs1 largs2 by simp
    next
      fix n
      assume n < length (map (the ∘ ExpV (store S)) es)
      hence lts: n < length es n < length ts using largs1 largs2 by auto

      from ⟨list-all2 (λe τ. Γ ⊢ e : tsubst π τ) es ts⟩ ⟨n < length es⟩
      have Γ ⊢ es ! n : tsubst π (ts ! n) by (rule list-all2-nthD)
      with ⟨WfStore Δ Θ (store S) Γ⟩ obtain v where store S ⊨ es ! n ↓ v
      WfWValue Δ Θ v (tsubst π (ts ! n))

```

```

    by (auto elim!: Expr-safeE )
  thus WfWValue ? $\Delta$   $\Theta$  (?vs ! n) (map (tsubst  $\pi$ ) ts ! n)
    using lts delta-subm
    apply (simp add: nth-map )
    apply (erule (1) WfWValue-renv-mono)
  done
qed

show length args = length (map (tsubst  $\pi$ ) ts) using largs2 by simp
show length args = length ?vs using largs1 by simp
qed
thus WfStore ? $\Delta$  (push-heap  $\Theta$ ) ?G ? $\Gamma$  by (rule WfStore-push-heap)

from  $\langle \text{WfHeap } (\text{heap } S) \Theta \rangle$ 
show WfHeap (push-heap (heap S)) (push-heap  $\Theta$ )
  unfolding push-heap-def
  by (rule wfHeapCons) simp-all

have ts-delta:  $\pi \text{ ' } \text{tfrees-set } (\text{set } ts) \subseteq \text{dom } \Delta$ 
proof -
  from  $\langle \text{list-all2 } (\lambda e \tau. \Gamma \vdash e : \text{tsubst } \pi \tau) \text{ es } ts \rangle$ 
  have  $\text{tfrees-set } (\text{set } (\text{map } (\text{tsubst } \pi) ts)) \subseteq \text{tfrees-set } (\text{ran } \Gamma)$ 
    by (rule all-WfE-into-tfrees-set)
  moreover
  from  $\langle \text{WfFrees } \Delta \Gamma \varrho (\text{length } \Theta - 1) \rangle$  have  $\text{tfrees-set } (\text{ran } \Gamma) \subseteq \text{dom } \Delta ..$ 
  ultimately show ?thesis
    by (simp add: tfrees-set-tsubst)
qed

show WfStack  $\Psi$  ? $\Delta$  (push-heap  $\Theta$ ) ((store S, s, ReturnFrame x) # stack S)
(tsubst  $\pi$   $\sigma$ ) True ? $\gamma$ 
  unfolding push-heap-def
proof (rule wfStackFun)
  from  $\langle \text{WfFrees } \Delta \Gamma \varrho (\text{length } \Theta - 1) \rangle$ 
  show WfFrees  $\Delta$  ( $\Gamma(x \mapsto \text{tsubst } \pi \sigma)$ )  $\varrho$  (length  $\Theta - 1$ )
  proof (rule WfFrees-upd-storeT)
    from  $\langle \text{tfrees } \sigma \subseteq \text{tfrees-set } (\text{set } ts) \rangle$ 
    have  $\text{tfrees } (\text{tsubst } \pi \sigma) \subseteq \pi \text{ ' } \text{tfrees-set } (\text{set } ts)$  by (auto simp: tfrees-tsubst)
    also have  $\dots \subseteq \text{tfrees-set } (\text{ran } \Gamma)$ 
      using  $\langle \text{list-all2 } (\lambda e \tau. \Gamma \vdash e : \text{tsubst } \pi \tau) \text{ es } ts \rangle$ 
      by (auto dest!: all-WfE-into-tfrees-set simp add: tfrees-set-tsubst)
    finally show  $\text{tfrees } (\text{tsubst } \pi \sigma) \subseteq \text{tfrees-set } (\text{ran } \Gamma) \cup \{\varrho\}$ 
      by auto
  qed
qed

from  $\langle \Gamma(x \mapsto \text{tsubst } \vartheta \sigma), \Psi, \varrho \vdash s : \tau, b \rangle$ 
 $\langle \text{tfrees } \sigma \subseteq \text{tfrees-set } (\text{set } ts) \rangle$ 
 $\langle \gamma \in - \text{tfrees-set } (\text{set } ts) \rangle$ 
show  $\Gamma(x \mapsto \text{tsubst } \pi \sigma), \Psi, \varrho \vdash s : \tau, b$ 

```

```

    unfolding pi-def
    by (subst tsubst-cong [where  $\vartheta' = \vartheta$ ]) (auto simp: tfrees-set-conv-beq)
qed fact+

show WfFrees ? $\Delta$  ? $\Gamma$  ? $\gamma$  (length (push-heap  $\Theta$ ) - Suc 0)
proof
  show tfrees-set (ran ? $\Gamma$ )  $\subseteq$  dom ? $\Delta$  using ts-delta
  apply simp
  apply (rule order-trans)
  apply (rule tfrees-set-mono)
  apply (rule ran-map-upds)
  apply (auto simp add: tfrees-set-tsubst)
  done

  show ? $\Delta$  ? $\gamma$  = Some (length (push-heap  $\Theta$ ) - Suc 0) by simp

  from ⟨finite (dom  $\Delta$ )⟩ show finite (dom ? $\Delta$ ) by simp

  from ⟨WfFrees  $\Delta$   $\Gamma$   $\varrho$  (length  $\Theta$  - 1)⟩ have  $\forall k \in \text{ran } \Delta. k \leq \text{length } \Theta - 1$  ..
  thus  $\forall k \in \text{ran } ?\Delta. k \leq \text{length (push-heap } \Theta) - \text{Suc } 0$  using ⟨? $\gamma \notin \text{dom } \Delta$ ⟩
  by (auto simp: domIff)
qed
qed
qed
qed

```

10 Soundness

lemma Soundness:

```

fixes  $\Psi :: ('fun, 'r :: \{infinite\}) \text{ funsT}$ 
assumes wfp: WfProgram  $\Psi$   $S$   $s$ 
and wff: WfFuns  $F$   $\Psi$ 
shows  $(\exists m \text{ nv}. m \leq n \wedge F, m \models (S, s) \triangleright^* \text{Finished (PrimV (NatV nv))})$ 
 $\vee (\exists S' s'. F, n \models (S, s) \triangleright^* \text{Normal (S', s')} \wedge \text{WfProgram } \Psi \text{ S' s'})$ 
using wfp
proof (induction n arbitrary:  $S$   $s$ )
  case 0

  show ?case
  proof (intro disjI2 exI conjI)
    show  $F, 0 \models (S, s) \triangleright^* \text{Normal (S, s)}$  ..
  qed fact
next
  case (Suc n)

```



```

from  $\langle \text{WfProgram } \Psi \ S \ s \rangle$  obtain  $\Gamma \ b \ \tau \ \varrho$  where
   $\text{WfState } S \ \Gamma \ \Psi \ \tau \ b \ \varrho$  and  $\Gamma, \Psi, \varrho \vdash s : \tau, b$ 
by (auto elim!: WfProgram.cases)

with  $\langle \text{WfFuns } F \ \Psi \rangle$  obtain  $R$  where  $F \models (S, s) \triangleright R$ 
by (auto dest!: Progress)

show ?case
proof (cases R)
  case (Finished v)
    with  $\langle F \models (S, s) \triangleright R \rangle$  have  $F \models (S, s) \triangleright \text{Finished } v$  by simp
    then obtain  $e$  where  $s = \text{Return } e$   $\text{stack } S = []$   $\text{store } S \models e \downarrow v$ 
    by cases simp-all

from  $\langle s = \text{Return } e \rangle \langle \Gamma, \Psi, \varrho \vdash s : \tau, b \rangle$  have  $\Gamma \vdash e : \tau$ 
by (auto elim: WfStmnt.cases)

from  $\langle \text{WfState } S \ \Gamma \ \Psi \ \tau \ b \ \varrho \rangle \langle \text{stack } S = [] \rangle$ 
obtain  $\Theta \ \Delta$  where  $\text{WfStore } \Delta \ \Theta \ (\text{store } S) \ \Gamma$ 
and  $\tau = \text{NAT}$  and  $b = \text{True}$ 
by (auto elim: WfStack.cases elim!: WfStateE)

from  $\langle \Gamma \vdash e : \tau \rangle \langle \text{WfStore } \Delta \ \Theta \ (\text{store } S) \ \Gamma \rangle \langle \text{store } S \models e \downarrow v \rangle \langle \tau = \text{NAT} \rangle$ 
obtain  $nv$  where  $v = \text{PrimV } (\text{NatV } nv)$ 
by (auto elim!: Expr-safeE WfNatVE WfPNatVE)
with  $\langle F \models (S, s) \triangleright \text{Finished } v \rangle$  have  $F \models (S, s) \triangleright \text{Finished } (\text{PrimV } (\text{NatV } nv))$ 
by simp

show ?thesis
proof (intro exI disjI1 conjI)
  show  $\text{Suc } 0 \leq \text{Suc } n$ 
  by simp

from  $\langle F \models (S, s) \triangleright \text{Finished } (\text{PrimV } (\text{NatV } nv)) \rangle$ 
show  $F, \text{Suc } 0 \models (S, s) \triangleright^* \text{Finished } (\text{PrimV } (\text{NatV } nv))$ 
by (auto intro: StepN.intros)
qed
next
case (Normal Ss)
with  $\langle F \models (S, s) \triangleright R \rangle$  obtain  $S' \ s'$  where  $F \models (S, s) \triangleright \text{Normal } (S', s')$  by
  (cases Ss, auto)

with  $\langle \text{WfFuns } F \ \Psi \rangle \langle \text{WfState } S \ \Gamma \ \Psi \ \tau \ b \ \varrho \rangle \langle \Gamma, \Psi, \varrho \vdash s : \tau, b \rangle$ 
have  $\text{WfProgram } \Psi \ S' \ s'$ 
by (auto dest!: Preservation intro: WfProgram.intros)

hence  $(\exists m \ nv. m \leq n \wedge F, m \models (S', s') \triangleright^* \text{Finished } (\text{PrimV } (\text{NatV } nv))) \vee$ 

```

```

  (∃ S'' s''. F, n ⊨ (S', s') ▷* Normal (S'', s'') ∧ WfProgram Ψ S'' s'')
  by (rule Suc.IH)

thus ?thesis
proof (elim disjE conjE exE)
  fix m nv
  assume m ≤ n F, m ⊨ (S', s') ▷* Finished (PrimV (NatV nv))

  show ?thesis
  proof (intro exI disjI1 conjI)
    from ⟨m ≤ n⟩ show Suc m ≤ Suc n ..

    from ⟨F ⊨ (S, s) ▷ Normal (S', s')⟩
      ⟨F, m ⊨ (S', s') ▷* Finished (PrimV (NatV nv))⟩
    show F, Suc m ⊨ (S, s) ▷* Finished (PrimV (NatV nv))
      by (rule StepN-add-head)
  qed
next
fix S'' s''
assume F, n ⊨ (S', s') ▷* Normal (S'', s'') WfProgram Ψ S'' s''
show ?thesis
proof (intro exI disjI2 conjI)
  from ⟨F ⊨ (S, s) ▷ Normal (S', s')⟩
    ⟨F, n ⊨ (S', s') ▷* Normal (S'', s'')⟩
  show F, Suc n ⊨ (S, s) ▷* Normal (S'', s'')
    by (rule StepN-add-head)
  qed fact
qed
qed
qed
qed

lemma Initial-program:
  fixes Ψ :: ('fun, 'r :: {infinite}) funsT
  defines S ≡ (| store = Map.empty, heap = [Map.empty], stack = [] |)
  defines (γ::'r) ≡ fresh {}
  assumes wff: WfFuns F Ψ
  and main: Ψ main = Some (FunT NAT [])
  shows WfProgram Ψ S (Call x main [] (Return (Var x)))
proof
  from main
  show Map.empty, Ψ, γ ⊢ Call x main [] (Return (Var x)) : NAT, True
    by (auto intro!: WfStmt.intros WfE.intros)

  show WfState S Map.empty Ψ NAT True γ
  proof
    let ?Δ = [γ ↦ 0]
    let ?Θ = [Map.empty]

    show WfStore ?Δ ?Θ (store S) Map.empty

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    by rule simp

have WfHeap ([] @ [Map.empty]) ([] @ [Map.empty])
  apply (rule WfHeap.intros)
  apply rule
  apply simp-all
  done
thus WfHeap (heap S) ?Θ unfolding S-def by simp

show WfStack Ψ ?Δ ?Θ (stack S) NAT True γ
  unfolding S-def by simp (rule wfStackNil)

show WfFrees ?Δ Map.empty γ (length [Map.empty] - 1)
  by rule (simp-all add: tfrees-set-def)
qed
qed

lemma Initial-program-result:
  fixes Ψ :: ('fun, 'r :: {infinite}) funsT
  and main :: 'fun and x :: 'var
  defines S ≡ (| store = Map.empty, heap = [Map.empty], stack = [] |)
  defines s ≡ Call x main [] (Return (Var x))
  assumes wff: WfFuns F Ψ
  and main: Ψ main = Some (FunT NAT [])
  obtains (Terminates) n nv where F, n ⊨ (S, s) ▷* Finished (PrimV (NatV nv))
    | (Diverges) ∀ n. (∃ S' s'. F, n ⊨ (S, s) ▷* Normal (S', s') ∧ WfProgram Ψ S' s')
proof -
  from wff main have WfProgram Ψ S s
    unfolding S-def s-def
    by (rule Initial-program)

have ∀ n. (∃ n nv. F, n ⊨ (S, s) ▷* Finished (PrimV (NatV nv)))
    ∨ (∃ S' s'. F, n ⊨ (S, s) ▷* Normal (S', s') ∧ WfProgram Ψ S' s')
  (is ∀ n. ?FINISHES n ∨ ?DIVERGES n)
proof
  fix n

  from ⟨WfProgram Ψ S s⟩ wff
  have (∃ m nv. m ≤ n ∧ F, m ⊨ (S, s) ▷* Finished (PrimV (NatV nv)))
    ∨ (∃ S' s'. F, m ⊨ (S, s) ▷* Normal (S', s') ∧ WfProgram Ψ S' s')
  by (rule Soundness)

thus ?FINISHES n ∨ ?DIVERGES n
proof (elim exE disjE conjE)
  fix m nv
  assume m ≤ n F, m ⊨ (S, s) ▷* Finished (PrimV (NatV nv))
  hence ?FINISHES n by auto

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      thus ?thesis ..
    next
      fix S' s'
      assume  $F, n \models (S, s) \triangleright^* \text{Normal } (S', s') \text{ WfProgram } \Psi \text{ } S' s'$ 
      hence ?DIVERGES n by auto
      thus ?thesis ..
    qed
  qed
  thus ?thesis
    by (auto intro: Terminates Diverges)
qed

```