

NP-Completeness (part 2 of 3)

Outline

1. Introduction
2. P and NP
3. NP-complete (NPC): formal definition
4. How to prove a problem is NPC
5. How to solve a NPC problem: approximate algorithms

II. P and NP

- ▶ An algorithm is said to be *polynomial bounded* if its worst-case complexity $T(n)$ is bounded by a polynomial function of the input size n :

$$T(n) = O(n^k).$$

Examples: Algorithms for LCS, Shortest path, MST, Euler tour, Circuit value.


- ▶ **P** is the class of decision problems that can be *solved* in polynomial time, i.e., they are polynomial bounded

II. P and NP

- ▶ **NP** is the class of decision problems that are *verifiable* in polynomial time.¹

i.e., if we were given a “certificate” (= a solution), then we could *verify* that whether the certificate is correct in polynomial time.

Examples: Certificates for Circuit-SAT, Hamiltonian cycle, graph coloring.

¹The name “NP” stands for “Nondeterministic Polynomial time” 

II. P and NP

- ▶ $P \subseteq NP$, since if a problem is in P then we can solve it in polynomial time without even being given a certificate.
- ▶ Open question²: Does $P \subset NP$ or $P = NP$?

²<http://www.claymath.org/millennium-problems>

II. P and NP

- ▶ The size of the input can change the classification of P or NP.

- ▶ Examples:

- ▶ Prime-testing problem:

$$O(n) \xrightarrow{n=10^m} O(10^m)$$

- ▶ Knapsack problem

$$O(nW) \xrightarrow{W=10^m} O(n \cdot 10^m)$$

- ▶ Knowing the effect on complexity of the size of the input is important.
- ▶ Unfortunately, even with strong restrictions on the inputs, many NPC problems are still NPC.

Example: 3-Conjunctive Normal Form (3-CNF) SAT problem

III. NP-complete

- ▶ **NP-complete (NPC)** is the term used to describe decision problems that are the hardest ones in **NP** in the following sense

If there were a polynomial-bounded algorithm for an NPC problem, then there would be a polynomial-bounded time for each problem in NP.

III. NP-complete

Formal definition:

► A decision problem A is **NP-complete (NPC)** if

- (1) $A \in \text{NP}$ and
- (2) every other problems B in NP is *polynomially reducible* to A , denoted as

$$B \leq_T A$$

If a problem satisfies the property (2), but not necessarily the property (1), we say the problem is **NP-hard**.

III. NP-complete

Polynomial reduction $B \leq_T A$

- ▶ Let A and B be two decision problems, B is **polynomially reducible** to A , if there is a poly-time computable transformation T such that

$$\text{Yes-instance of } A \quad \overset{\text{iff}}{\Longleftrightarrow} \quad \text{Yes-instance of } B$$

III. NP-complete

- ▶ Cook's theorem (1971): Circuit-SAT is NPC.

First result demonstrating that a specific problem is NPC.

- ▶ Known NPC problems:

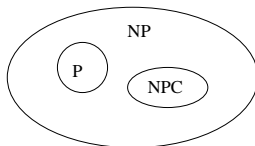
- ▶ Graph coloring
- ▶ Hamiltonian cycle
- ▶ TSP
- ▶ Knapsack
- ▶ Subset sum
- ▶
- ▶

Subset sum decision problem: Given a positive integer c , and the set $S = \{s_1, s_2, \dots, s_n\}$ of positive integers s_i for $i = 1, 2, \dots, n$. Assume that $\sum_{i=1}^n s_i \geq c$. Is there a $J \subseteq \{1, 2, \dots, n\}$ such that $\sum_{i \in J} s_i = c$.

III. NP-complete

P, NP and NPC:

- ▶ How most theoretical computer scientists **view** the relationships among P, NP and NPC:
 - ▶ Both P and NPC are wholly contained within NP
 - ▶ $P \cap NPC = \emptyset$



II–III recap

1. P and NP
2. NP-complete: formal definition
3. Polynomial reduction