



# Image Processing & Vision

## Lecture 10: Motion Estimation

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# Topics

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- Motion Estimation
  - Optical Flow
  - Lucas-Kanade method
  - Horn-Shunck method

**\*Note:** Many of these slides in this course were adapted from Computer Vision at CMU (16-385) and UBC (CPSC425)

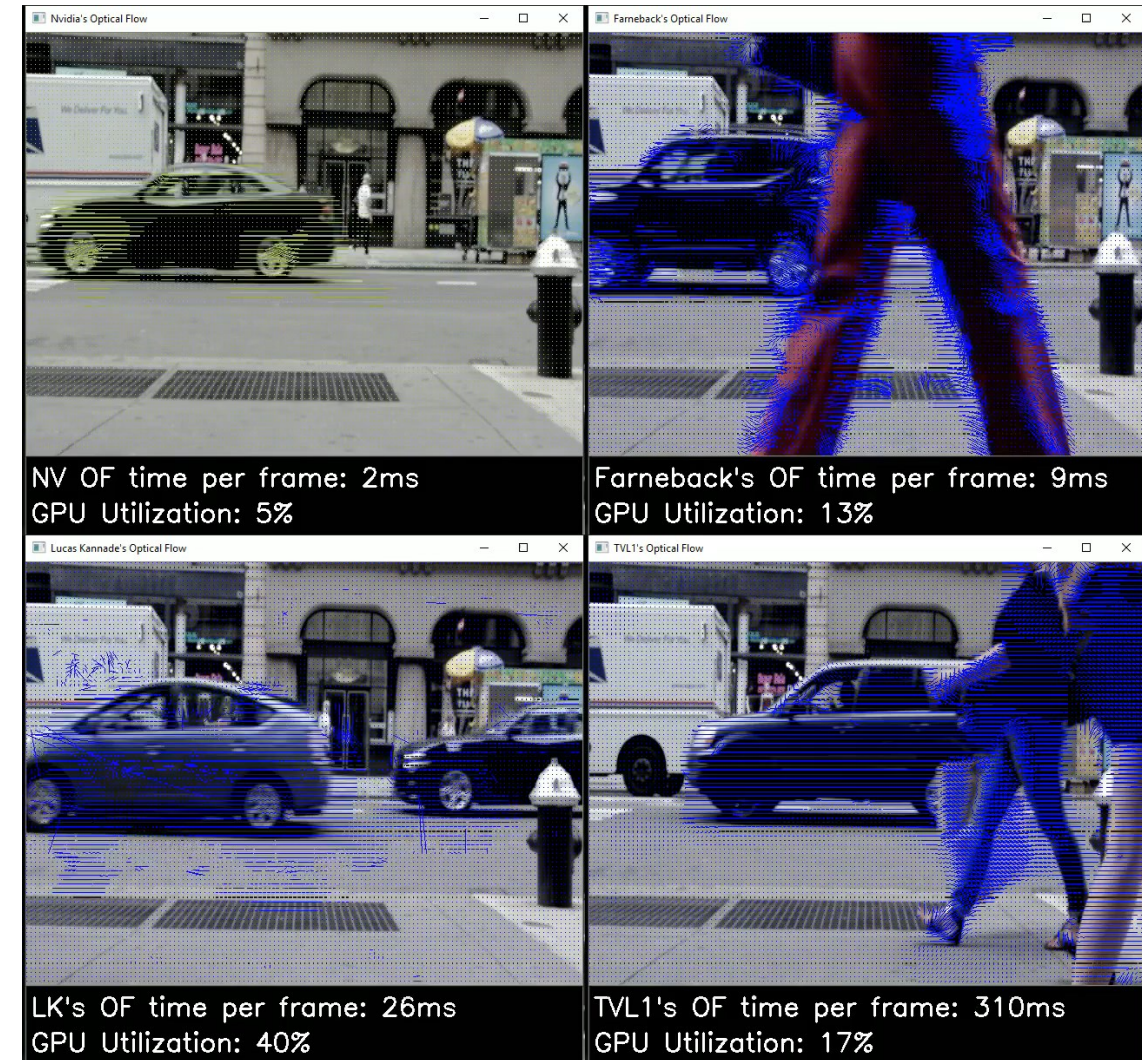
# Topics

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- Motion Estimation
  - Optical Flow
  - Lucas-Kanade method
  - Horn-Shunck method

# Motion Estimation

- **Problem:**
  - Determine how objects (and/or the camera itself) move in the 3D world
- **Key Idea:**
  - Images acquired as a function of time provide additional constraint
  - Formulate motion analysis as finding point correspondences over time



# Optical Flow

- **Optical flow** is the apparent motion of brightness patterns in the image
- **Applications**
  - Image and video stabilization in digital cameras, camcorders
  - Motion-compensated video compression
  - Motion segmentation
  - Image registration
  - Action recognition



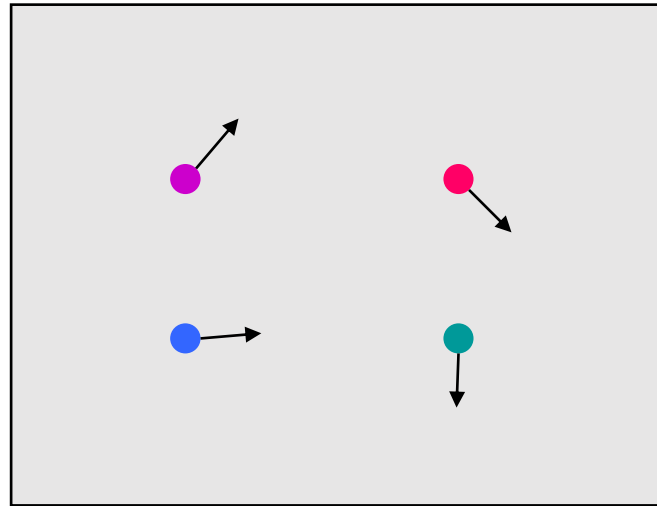
Optical flow (motion vector)



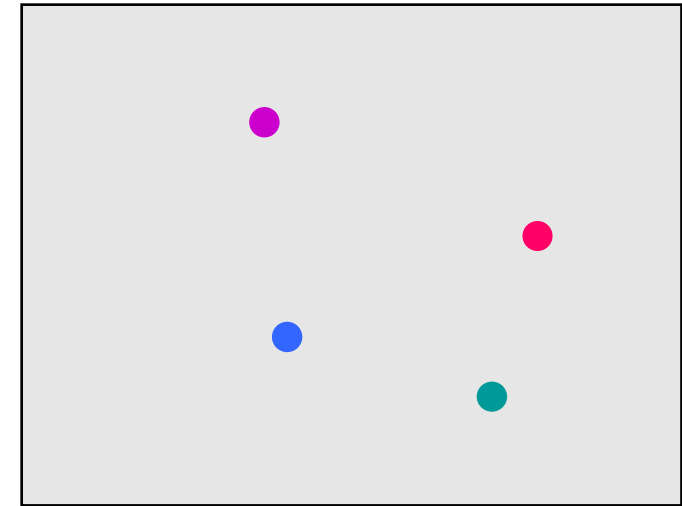
Optical flow visualization

# Optical Flow: Problem Definition

- **Problem Definition**
  - Given two consecutive image frames, estimate the motion of each pixel
- **Assumptions:**
  - Brightness constancy
  - Small motion



$I(x, y, t)$



$I(x, y, t')$

# Optical Flow: Key Assumptions

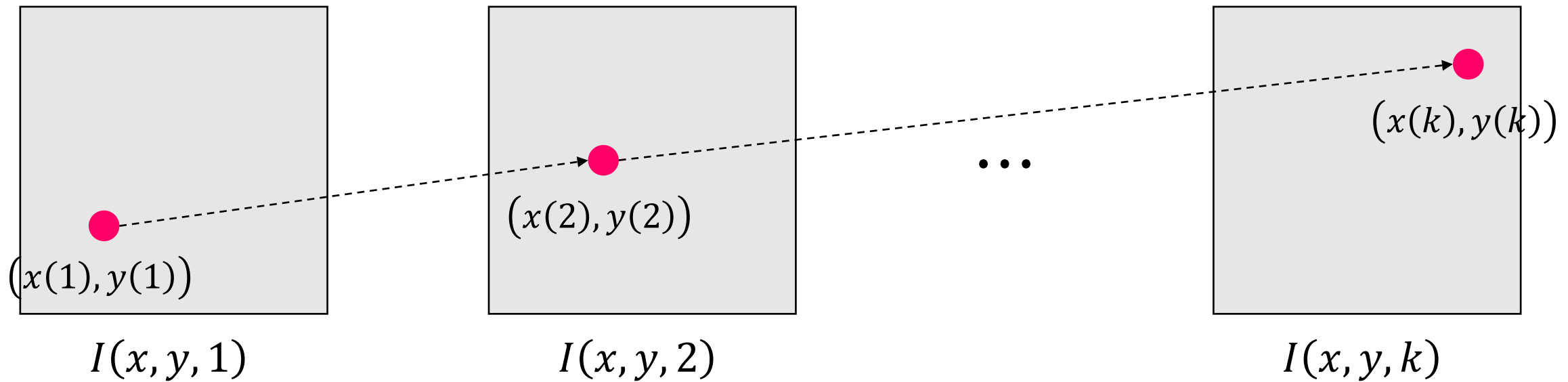
- **Brightness Constancy**
  - Brightness constancy for intensity images
  - It allows for pixel to pixel comparison (not image feature comparison)
- **Small Motion**
  - Pixels only move a little bit
  - It can be formulated as a linearization of the brightness constancy constraint
- **Approach:** Look for nearby pixels with the same color



# Key Assumptions: ① Brightness Constancy

- Scene point moves through image sequence
- Brightness of the pixel point remains the same (constant,  $C$ )

$$I(x(t), y(t), t) = C \quad \text{where } t = 1, \dots, k$$

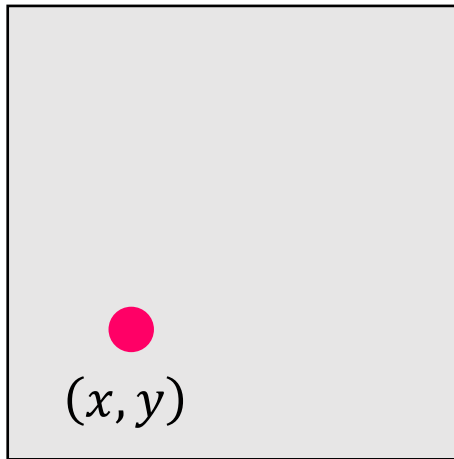




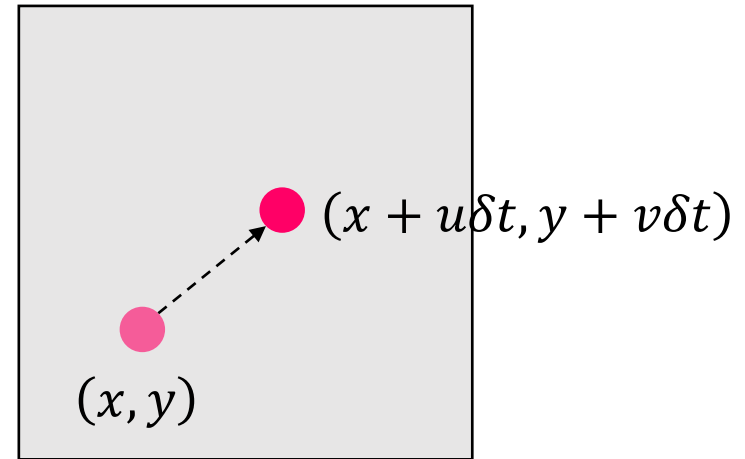
# Key Assumptions: ② Small Motion

- Optical flow (velocities):  $(u, v)$
- Displacement:  $(\delta x, \delta y) = (u\delta t, v\delta t)$

$$I(x + u\delta t, y + v\delta t, t + \delta t) = I(x, y, t)$$



$I(x, y, t)$



$I(x, y, t + \delta t)$

- For small space-time step, brightness of a point is the same

# Optical Flow: Constraint Equation

- **Optical Flow Constraint Equation:**

$$\frac{dI(x, y, t)}{dt} = \frac{\partial I}{\partial x} \frac{dx}{dt} + \frac{\partial I}{\partial y} \frac{dy}{dt} + \frac{\partial I}{\partial t} = 0$$

- If the time step is really small, we can linearize the intensity function (and motion is really-small ... think less than a pixel)

# Optical Flow: Constraint Equation

- Multivariable Taylor Series Expansion (1<sup>st</sup> order approximation)

$$f(x, y) \approx f(a, b) + f_x(a, b)(x - a) + f_y(a, b)(y - b)$$

- **Derivation of Optical Flow Constraint:**

$$I(x, y, t) + \frac{\partial I}{\partial x} \delta x + \frac{\partial I}{\partial y} \delta y + \frac{\partial I}{\partial t} \delta t = I(x, y, t) \quad : \text{Assuming small motion}$$

$$\frac{\partial I}{\partial x} \delta x + \frac{\partial I}{\partial y} \delta y + \frac{\partial I}{\partial t} \delta t = 0 \quad \begin{array}{l} : \text{Divide by } \delta t \\ \text{Take limit } \delta t \rightarrow 0 \end{array}$$

$$\frac{\partial I}{\partial x} \frac{dx}{dt} + \frac{\partial I}{\partial y} \frac{dy}{dt} + \frac{\partial I}{\partial t} = 0 \quad : \text{Brightness Constancy Equation}$$

# Optical Flow: Constraint Equation

- Brightness Constancy Equation

$$\frac{\partial I}{\partial x} \frac{dx}{dt} + \frac{\partial I}{\partial y} \frac{dy}{dt} + \frac{\partial I}{\partial t} = 0 \quad \xrightarrow{\text{Shorthand notation}} \quad I_x u + I_y v + I_t = 0$$

- Image gradients:  $I_x$  and  $I_y$
- Flow velocities:  $u$  and  $v$
- Temporal gradient:  $I_t$

# Computing Optical Flow Equation

- Brightness Constancy Equation

$$I_x u + I_y v + I_t = 0$$

- Image gradients:  $I_x$  and  $I_y$  → Sobel filter, Canny edge detection, *etc.*
- Flow velocities:  $u$  and  $v$
- Temporal gradient:  $I_t$  → Frame difference

# Example: Image Gradients & Temporal Gradient

$I(x, y, t)$

				$x$
1	1	1	1	1
1	1	1	1	1
1	10	10	10	10
1	10	10	10	10
1	10	10	10	10
1	10	10	10	10
$y$				

$I(x, y, t + 1)$

				$x$
1	1	1	1	1
1	1	1	1	1
1	1	1	1	1
1	1	10	10	10
1	1	10	10	10
1	1	10	10	10
$y$				

$I_x = \frac{\partial I}{\partial x}$

				$x$
-	0	0	0	-
-	0	0	0	-
-	9	0	0	-
-	9	0	0	-
-	9	0	0	-
-	9	0	0	-
$y$				

$I_y = \frac{\partial I}{\partial y}$

				$x$
-	-	-	-	-
0	0	0	0	0
0	9	9	9	9
0	0	0	0	0
0	0	0	0	0
0	0	0	0	0
$y$				

$I_t = \frac{\partial I}{\partial t}$

				$x$
0	0	0	0	0
0	0	0	0	0
0	-9	-9	-9	-9
0	-9	0	0	0
0	-9	0	0	0
0	-9	0	0	0
$y$				

# Computing Optical Flow Equation

- Brightness Constancy Equation

$$I_x u + I_y v + I_t = 0$$

- Image gradients:  $I_x$  and  $I_y$  → Sobel filter, Canny edge detection, *etc.*
- Flow velocities:  $u$  and  $v$  → *Unknown*
- Temporal gradient:  $I_t$  → Frame difference



# Topics

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- Motion Estimation
  - Optical Flow
  - Lucas-Kanade method
  - Horn-Shunck method

# Lucas-Kanade Method

- **Observations:**

- The 2D motion  $(u, v)$  at a given point  $(x, y)$  has two degrees of freedom
- The partial derivatives,  $I_x$ ,  $I_y$ , and  $I_t$ , provide one constraint
- The 2D motion  $(u, v)$  cannot be determined locally from  $I_x$ ,  $I_y$ , and  $I_t$  alone

- **Idea:**

- Obtain additional local constraint by computing the partial derivatives  $I_x$ ,  $I_y$ , and  $I_t$  in a window centered at the given  $(x, y)$ 
  - **Constant Flow:** Nearby pixels are likely have the same optical flow

# Lucas-Kanade Method

- Considering all  $n$  points in the window

$$I_{x_1}u + I_{y_1}v = -I_{t_1}$$

$$I_{x_2}u + I_{y_2}v = -I_{t_2}$$

$$\vdots$$

$$I_{x_n}u + I_{y_n}v = -I_{t_n}$$

- It can be written as the matrix equation form,  $\mathbf{Ax} = \mathbf{b}$ :

$$\mathbf{A} = \begin{bmatrix} I_{x_1} & I_{y_1} \\ I_{x_2} & I_{y_2} \\ \vdots & \vdots \\ I_{x_n} & I_{y_n} \end{bmatrix} \quad \mathbf{x} = \begin{bmatrix} u \\ v \end{bmatrix} \quad \mathbf{b} = - \begin{bmatrix} I_{t_1} \\ I_{t_2} \\ \vdots \\ I_{t_n} \end{bmatrix}$$

# Lucas-Kanade Method

- Standard least squares solution:

$$\hat{\mathbf{x}} = (\mathbf{A}^T \mathbf{A})^{-1} \mathbf{A}^T \mathbf{b}$$

- Again we provided that  $u$  and  $v$  are the same in all equations and provided that the rank of  $\mathbf{A}^T \mathbf{A}$  is 2 (so that the required inverse exists)

$$\mathbf{A}^T \mathbf{A} = \begin{bmatrix} I_{x_1} & I_{x_2} & \cdots & I_{x_n} \\ I_{y_1} & I_{y_2} & \cdots & I_{y_n} \end{bmatrix} \begin{bmatrix} I_{x_1} & I_{y_1} \\ I_{x_2} & I_{y_2} \\ \vdots & \vdots \\ I_{x_n} & I_{y_n} \end{bmatrix} = \begin{bmatrix} \sum_{\mathbf{p} \in W} I_x^2 & \sum_{\mathbf{p} \in W} I_x I_y \\ \sum_{\mathbf{p} \in W} I_x I_y & \sum_{\mathbf{p} \in W} I_y^2 \end{bmatrix}$$

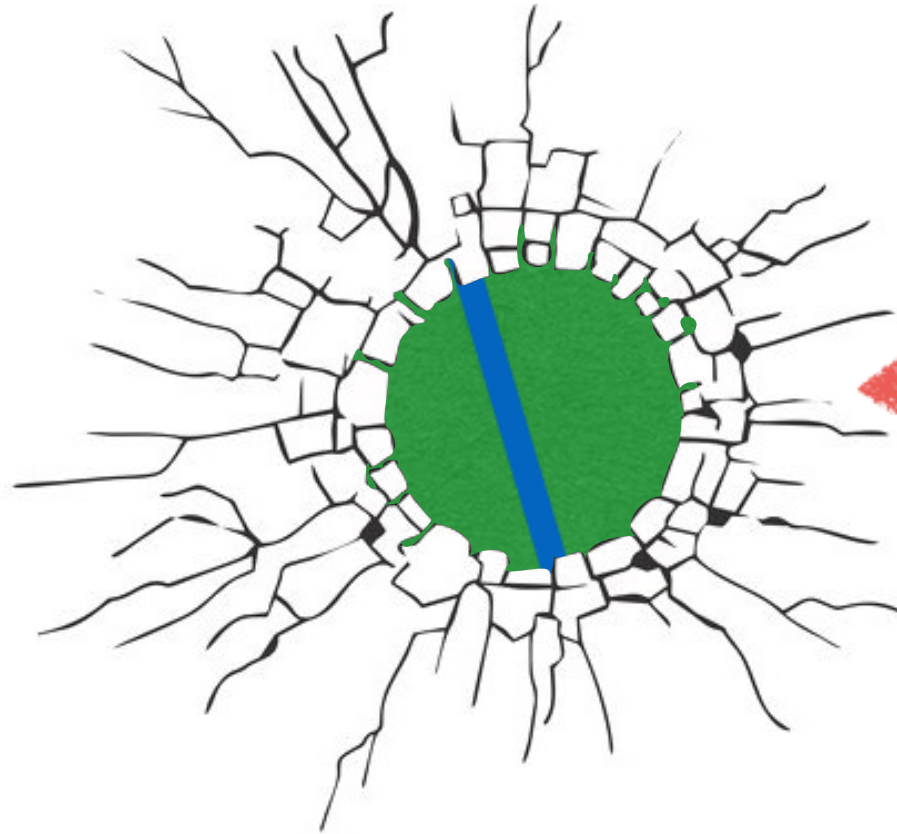
— which is identical to the covariance matrix  $\mathbf{C}$  in Harris corner detection

# Summary: Lucas-Kanade

- A dense method to compute motion  $(u, v)$  at every location in an image
- **Key Assumptions:**
  - Motion is slow enough and smooth enough that differential methods apply (i.e., that the partial derivatives  $I_x$ ,  $I_y$ , and  $I_t$  are well-defined)
  - The optical flow constraint equation holds
  - A window size  $W$  is chosen so that motion  $(u, v)$  is constant in the window
  - A window size  $W$  is chosen so that the rank of  $\mathbf{A}^T \mathbf{A}$  is 2 for the window

# Aperture Problem

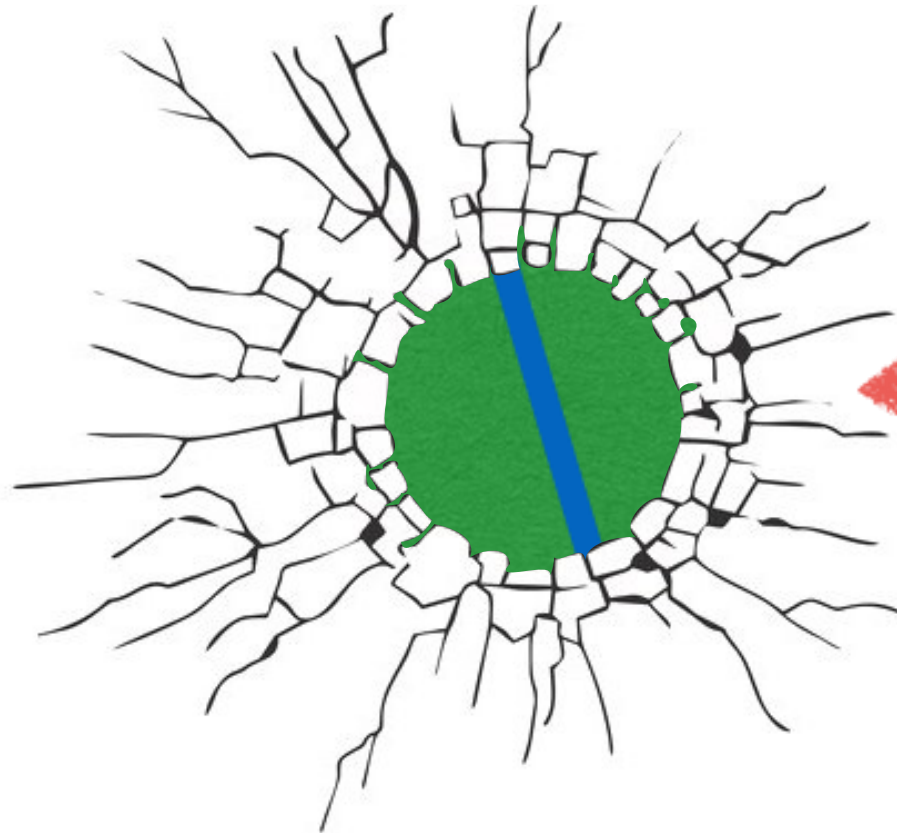
- In which direction is the line moving?



Small visible  
image patch

# Aperture Problem

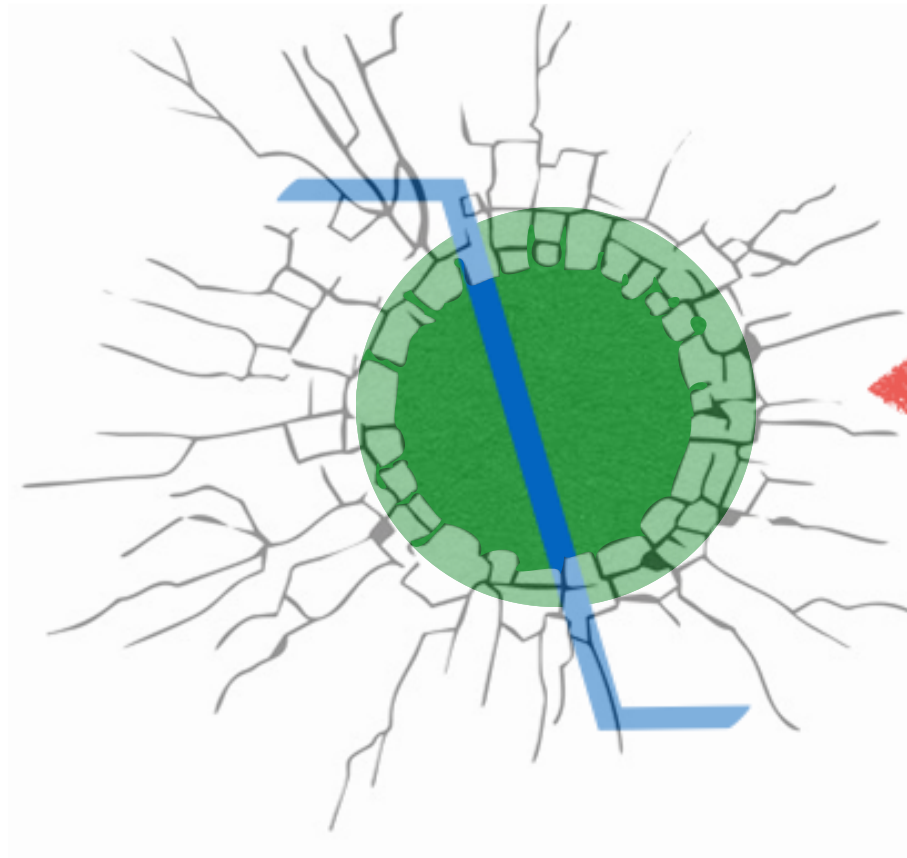
- In which direction is the line moving?



Small visible  
image patch

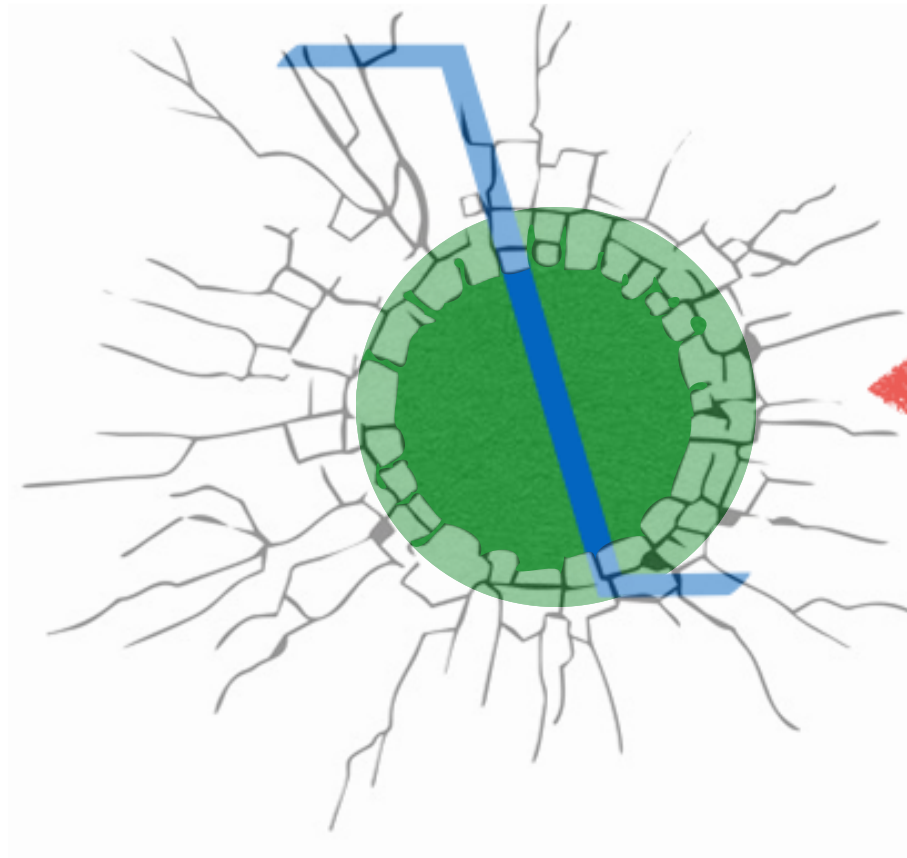


# Aperture Problem



Small visible  
image patch

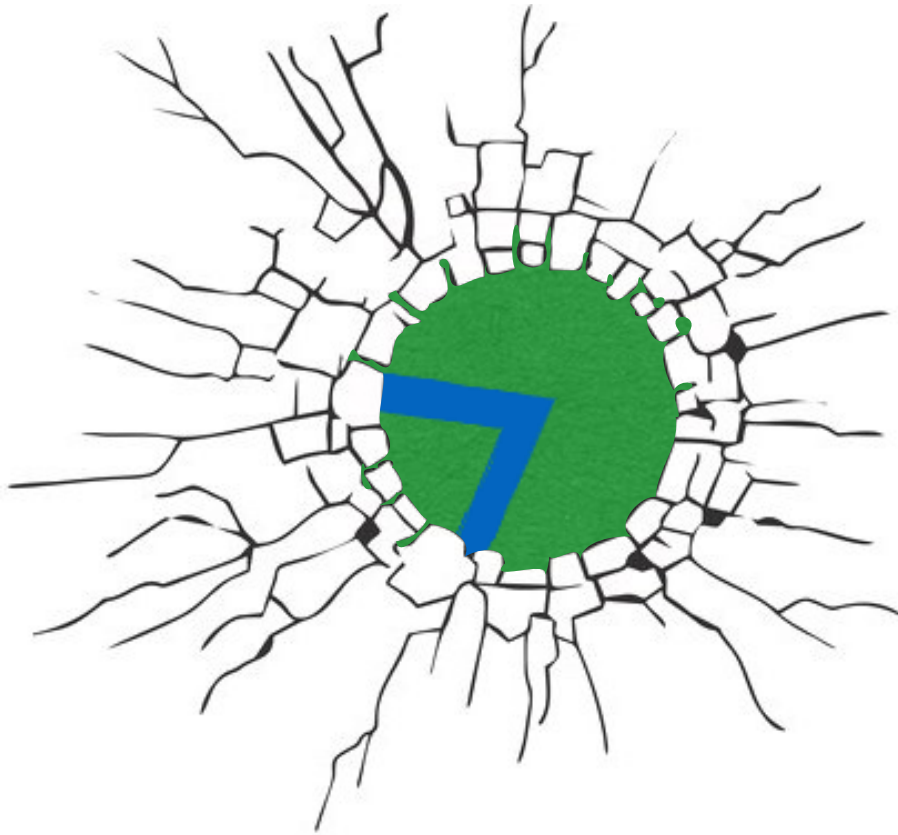
# Aperture Problem



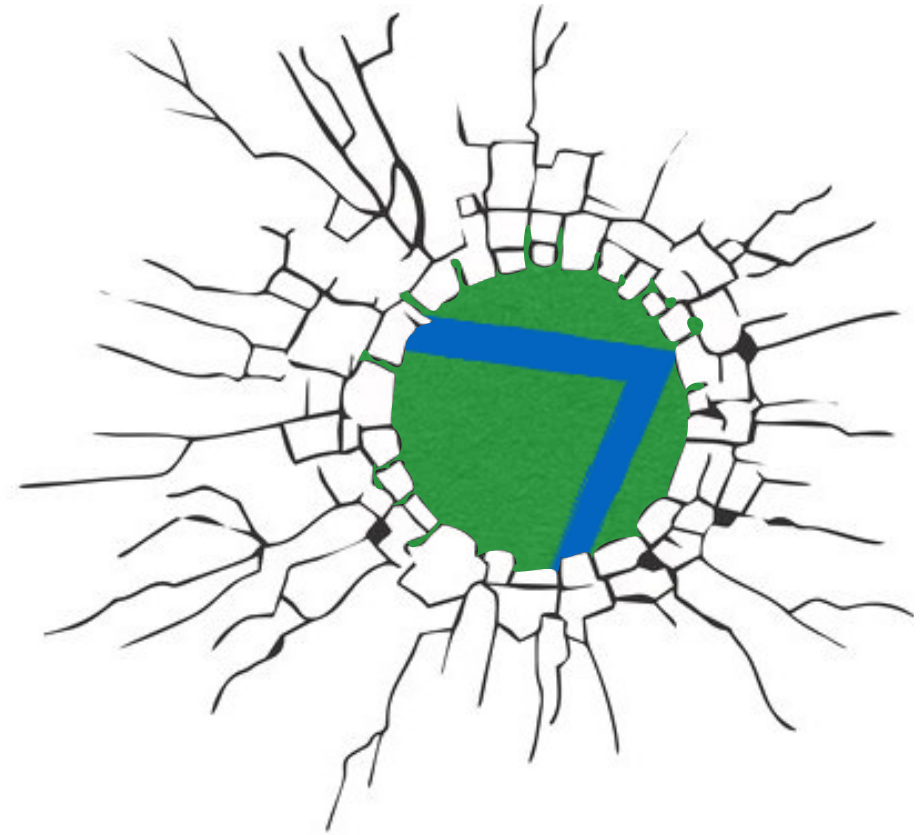
Small visible  
image patch

# Solution: Aperture Problem

- Patches with different gradients to avoid aperture problem



$t$



$t + 1$

# Topics

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  - Horn-Shunck method

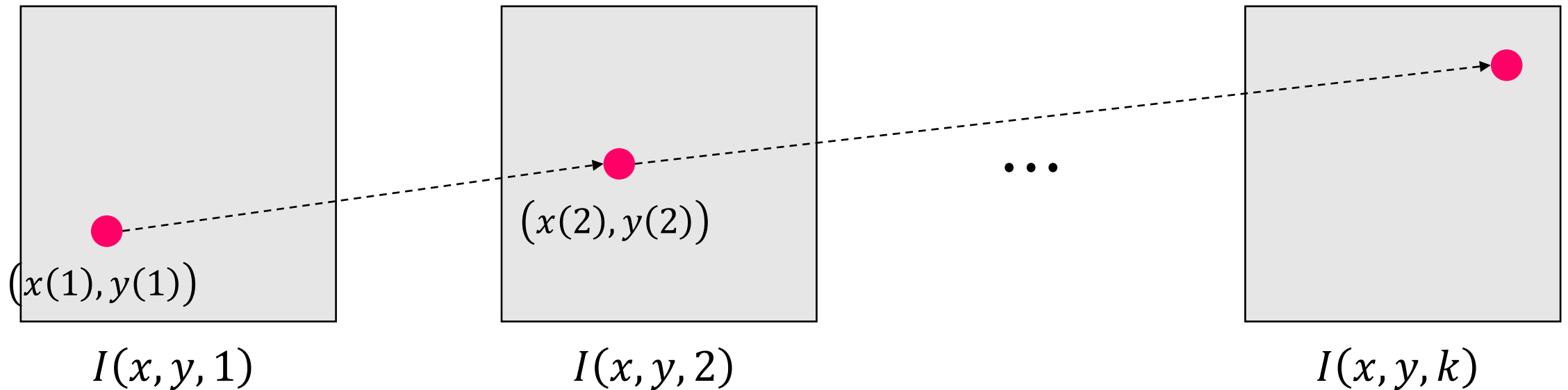
# Horn-Schunck

- Most object in the world are rigid or deform elastically moving together coherently
  - **Smoothness Flow**: optical flow fields to be smooth
- **Horn-Schunck Idea:**
  - Enforce both *brightness constancy* and *smooth flow field* to compute optical flow

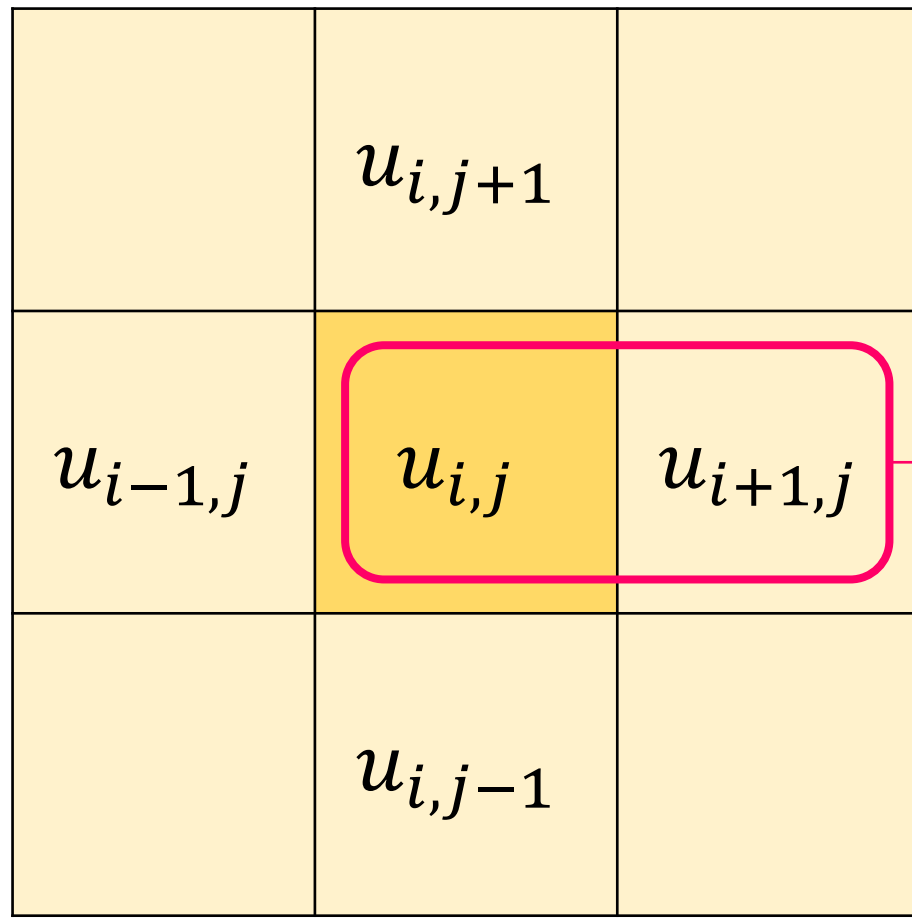
# Horn-Schunck: Brightness Constancy

- Brightness of the pixel point remains the same:  $I_x u + I_y v + I_t = 0$
- For every pixel  $(i, j)$ ,

$$\min_{u,v} [I_x(i,j)u_{i,j} + I_y(i,j)v_{i,j} + I_t(i,j)]^2$$

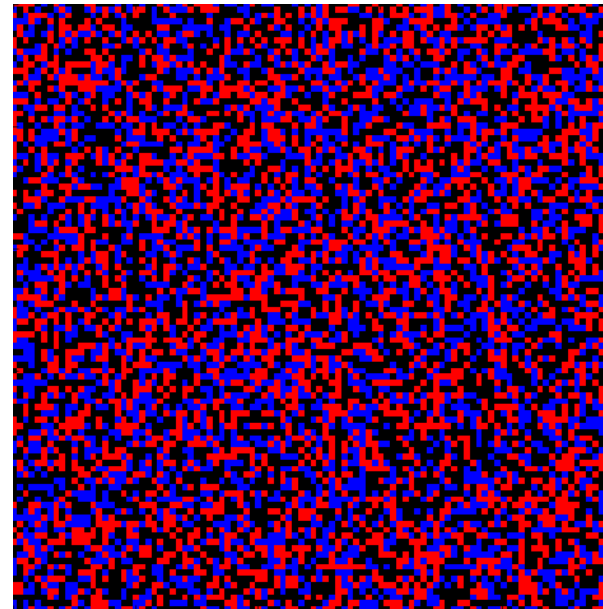


# Horn-Schunck: Smooth Flow Field



Optical flow in  $x$ -direction ( $u$ -component)

$$\min_u [u_{i,j} - u_{i+1,j}]^2$$



Large differences  
between neighboring flows



Small differences  
between neighboring flows



# Horn-Schunck

- Horn-Schunck Optical Flow:

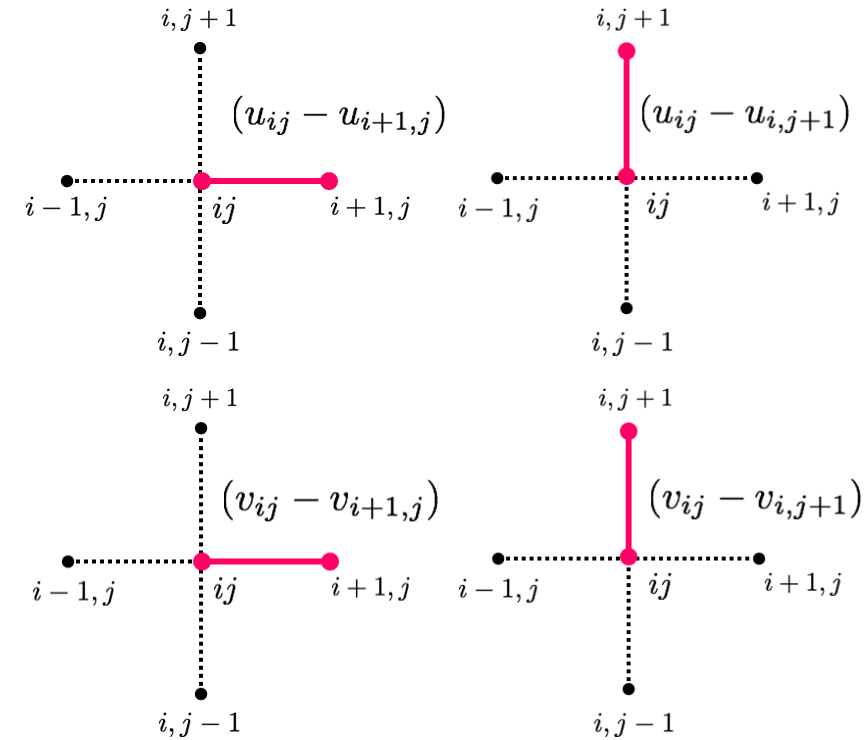
$$\min_{u,v} \sum_{i,j} E_s(i,j) + \lambda E_b(i,j)$$

- Brightness Constancy:

$$E_b(i,j) = [I_x(i,j)u_{i,j} + I_y(i,j)v_{i,j} + I_t(i,j)]^2$$

- Smooth Flow Field:

$$E_s(i,j) = \frac{1}{4} \left[ (u_{i,j} - u_{i+1,j})^2 + (u_{i,j} - u_{i,j+1})^2 + (v_{i,j} - v_{i+1,j})^2 + (v_{i,j} - v_{i,j+1})^2 \right]$$



Smooth flow field

# Horn-Schunck

- **Algorithm:**

- ① Precompute image gradients,  $I_x$  and  $I_y$
- ② Precompute temporal gradients,  $I_t$
- ③ Initialize optical flow field  $\mathbf{u} = 0$  and  $\mathbf{v} = 0$
- ④ Compute the optical flow field updates for each pixel until the loss function will be converged

$$\hat{u}_{k,l} = \bar{u}_{k,l} - \frac{I_x \bar{u}_{k,l} + I_y \bar{v}_{k,l} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_x$$

$$\hat{v}_{k,l} = \bar{v}_{k,l} - \frac{I_x \bar{u}_{k,l} + I_y \bar{v}_{k,l} + I_t}{\lambda^{-1} + I_x^2 + I_y^2} I_y$$

# Summary: Optical Flow

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## Lucas-Kanade

## Horn-Schunk

Brightness Constancy  
Small Motion

Constant flow

Smooth Flow Field

Local method (Sparse)

Global method (Dense)