# Run-time Evaluation of Architectures:
# A Case Study of Diversification in IoT

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## Abstract

Run-time properties of modern software system environments, such as Internet of Things (IoT), are a challenge for existing software architecture evaluation methods. Such systems are largely data-driven, characterized by their dynamism, unpredictability in operation, hyper-connectivity, and scale. Properties, such as performance, delayed delivery, and scalability, are acknowledged to pose great risk and are difficult to evaluate at design-time. Run-time evaluation could potentially be used to complement design-time evaluation, enabling significant deviations from the expected performance values to be captured. However, there are no systematic software architecture evaluation methods that intertwine and interleave design-time and run-time evaluation. This paper addresses this gap by proposing a novel run-time architecture evaluation method suited for systems that exhibit uncertainty and dynamism in their operation. Our method uses machine learning and cost-benefit analysis at run-time to continuously profile the architecture decisions made, to assess their added value. We demonstrate the applicability and effectiveness of this approach in the context of an IoT system architecture, where some architecture design decisions were diversified to meet Quality of Service (QoS) requirements. Our approach

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provides run-time assessment for these decisions which can inform deployment, refinement, and/or phasing-out decisions.

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**Nomenclature**

$d_{ka}$: Architecture decision for capability $k$ implemented using component $a$

$dao_i$: $i$ diversified architecture option

$DAO$: a set of diversified architecture options

5  $q_{dao_i}(t)$: Quality of a *dao* varying over time

$q'_{dao_i}(t)$: Normalized Quality of a *dao* varying over time

$w_q$: Weight of quality $q$

$B_{dao_i}(t)$: Benefit of a *dao* varying over time

$\mu_{dao_i}(t)$: Exponential Benefit of a *dao* varying over time

10  $c_{dao_i}(t)$: Cost of a *dao* varying over time

$c^\nu_{dao_i}(t)$: Costs of each variety $\nu$ per *dao* (e.g. deployment cost, leasing cost, *etc*)

$\sigma^2_{dao_i}(t)$: Exponential Variance of a *dao* varying over time

$\sigma_{dao_i}(t)$: Exponential Standard Deviation of a *dao* varying over time

15  $\theta$: Relative Importance of the past

$\alpha$: Confidence level

$L_\lambda(dao_i, t)$: Loss Function shows how (un)desirable a *dao* is

$L'_\lambda(dao_i, t)$: Marginal loss of non-dominated *dao* varying over time

$\lambda$: A pre-defined parameter that controls the relative importance between $c_{dao_i}(t)$

20  and $\mu_{dao_i}(t)$

$dao_{curr}$: Current *dao*

## 1. Introduction

Architectures of complex, scalable real-time systems are dynamic in nature and exhibit numerous uncertainties in their operation [1, 2]. This dynamism

25  limits the effectiveness of design-time architecture evaluation approaches. In

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particular, Internet of Things (IoT) environments [3, 2] are fundamentally different from classical ones for which most architecture evaluation methods, such as Cost-Benefit Analysis Trade-off Method (CBAM) [4], Architecture Analysis Trade-off Method (ATAM) [5], Architecture-level modifiability analysis (ALMA) [6], were advanced. These systems are data-driven, characterized by their scale, hyper-connectivity, dynamism, and uncertainty in operation (with a constant stream of new devices, new services, and fluctuations in QoS provisions) [7]. Furthermore, IoT has constrained devices, which are mobile, variable in processing and computational power, and in some cases with limited network connectivity [1, 2]. In this context, the dynamic nature of IoT requires us to combine design-time evaluation with run-time when evaluating architecture design decisions. This is because design-time evaluation relies greatly on human experts, who can partially predict the fitness and the extent to which an architecture can cope with operational uncertainties, unanticipated usage scenarios, and emergent behaviors. Run-time evaluation of architectures can complement design-time evaluation methods to provide more informed assessment of design options and capture deviations from the design-time evaluation for technical and value potentials.

Intertwining and interleaving run-time evaluation with design-time has the potential to change ad hoc and "trial and error" practices for architecting complex, scalable, and dynamic software systems. Consider, for example the case where architects embed *design diversity* [8] into their solutions in an attempt to meet quality requirements under uncertainty and to mitigate risks and Service Level Agreement violations. Diversification [8] encompasses design decisions and architecture tactics that can be used to adapt the system to unforeseen changes [9]. For a given concern, architecture diversity "spices" the architecture with a variety of design decisions and strategies (e.g. the choice of data collection and processing strategies and tools), which can better cope with uncertainties at run-time. Diversified design decisions, whether planned or accidental [10], can be expensive; their behavior and value can be best evaluated at run-time. However, there are no systematic software architecture evaluation methods that

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intertwine design-time and run-time evaluation.

This paper addresses this gap by proposing a *novel run-time architecture evaluation method* suited for systems that exhibit uncertainty and dynamism in their operation. Our method uses machine learning and cost-benefit analysis at run-time to continuously profile architecture decisions for their added value, identify significant deviations from previously expected benefits, and automatically determine which architecture options have the optimal cost-benefit trade-off. As such, it can inform deployment, refinement and/or phasing out architectural decisions.

By run-time evaluation, we envision several potential scenarios of use to capture the dynamic behavior of the selected design decisions in relation to the quality attributes in question:

- Simulation can be a useful alternative to experimentation with real environments: IoT environments are often large scale: an architecture can embed large numbers of heterogeneous and diverse things, sensors and devices supporting some design decisions. As it would be prohibitively expensive to configure the architecture and to test these decisions exhaustively before deployment, the use of simulation can be useful for assisting the architect in what-if analysis prior to deployment, stress-testing the architecture with inputs that can go beyond the ones encountered in normal operation, abstract the analysis, and demonstrate the potential for scaling it.

- This approach can also work if run-time data of a given configuration is available. The architect will need to instrument the system with mechanisms for monitoring, logging, and profiling quality attributes of interest and design decisions supporting these attributes. This can be particularly useful for cases where the system is already deployed and further refinements are envisioned. Learning from the log of operation for example can be useful for profiling and analyzing the likely technical and value potentials of these decisions at run-time, whether diversified or not.

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- A third scenario can be also possible, where the approach can be integrated in continuous development paradigms, such as DevOps, where run-time information from the operation side can provide feedback for development.

Each of the mentioned scenarios would require separate treatment and reporting to show how the approach can be applied. The scope of this paper is concerned with the case of using simulation to support the run-time analysis. Nevertheless, many of the observations can be applicable for the other cases. In particular, we adopt the *iFogSim* [11] tool. *iFogSim* builds on Cloudsim [12]; it provides the architect with the freedom of hierarchically composing fog devices, clouds, and data streams to simulate the technical and value potentials of selected decisions using normal usage and stress tests in relation to quality attributes of interest. Our method makes the following contributions to the research literature:

- a run-time method for tracking the benefits of architecture design decisions using machine learning;

- a method inspired by multi-objective optimization to evaluate the cost-benefit trade-offs of architectural decisions at run-time.

Architecture diversification is a common practice, when architecting software for systems at scale, dynamism, and uncertainty in their operations. Our method investigates this phenomenon and formulates the problem of architecture diversity from run-time and economics-driven perspectives.

The remainder of this paper is structured as follows: Section 2 illustrates the necessary background to understand the approach. Section 3 then discusses the research questions which the approach aims to answer. Section 4 presents the proposed approach. Section 5 explains our case study, a motivating example in the context of IoT; it uses iFogSim tool. Section 6 reports on evaluation of the research questions , section 7 presents further analysis of proposed approach. Section 8 . Section 9 provides discussion of the work and the threats to validity. Section 10 presents the related work. Section 11 concludes the work.

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**2. Background**

In this section, we will provide the background necessary to understand the run-time evaluation approach.

*2.1. Reinforcement Learning*

Reinforcement learning is an agent-oriented approach that learns using ob-
served rewards, by mapping situations to actions as an attempt to maximize a scalar reward signal [13]. Generally, it is a sequential decision-making process, where in every step, the agent chooses an action and the reward is computed. It aims at optimizing the rewards to get the best possible outcome. Further, there is no supervisor, hence the reward signal is the only metric for the determination of the right action to take. Moreover, reinforcement learning is a trial and error learning process, where agents estimate the value of actions with acceptable reward from the experiences of its environment. The major challenge of this type of learning is the trade-off between exploration and exploitation [13]. The former indicates the examination of non-optimal action, which may provide better reward in the future. The latter denotes implementing the optimal known decision to maximize the reward. For further elaboration, the exploitation process may result in missing an optimal decision, which may yield better cumulative future reward rather than the present best one. Whereas the cost of exploration may exceed its benefits in some cases. .

*2.2. Time-Decayed Function*

In [14], exponential smoothing function was used as a time-decayed function to handle the challenges of online class imbalance learning. The proffered function is similar to reinforcement learning, which tracks the rewards resulting from actions via the occurrence probability (percentage) of examples belong-
ing to a particular class. It is different from the traditional way of considering all observed examples equally, instead they are updated incrementally by using a time decay (forgetting) factor to emphasize the current status of data and weaken the effect of old data. In particular, the adoption of time-decay function

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is advantageous, due to the following [15, 16]: (i) weakens the effect of old data; (ii) very east to compute; and (iii) minimum data is necessary. In this context, our approach leverages a time-decayed function to provide the architect with flexibility of tuning the relative importance of past versus recent observations, when valuing the benefit of diversified architecture options (further information on how time-decay function is used in our approach is illustrated in Section 4.3).

## 2.3. Change Detection Approaches

Generally, in machine learning, the notion of concept drift denotes a change in the underlying distribution of the data, which the approach is learning over time [17]. Examples of application include making inferences based on financial data, energy demand and climate data analysis, web usage or sensor network monitoring, and so forth [18]. Non-stationary and uncertain environments are challenged by their rapid changes (i.e. drifts). Therefore, change detection approaches are necessary to discover these concept drifts and hence perform the corresponding actions [19, 18]. In [18], the change detection approaches are classified into the following families: Hypothesis Tests (HT), Change-Point Methods (CPM), Sequential Hypothesis Tests (SHT), and Change Detection Tests (CDT). The latter approaches determine variations in the underlying data through statistical methods (e.g. sample mean, variance, *etc*), but they differ on how data is processed [18].

HT and CPM operate on fixed-length observations, whereas SHT and CDT sequentially investigate the incoming observations. Therefore, HT and CPM are not suitable for applications operating in an online manner, due to the high complexity with respect to analyzing all observations at once. Though SHT is partially suitable for online observations, it has the following drawback: SHT examines the incoming observations until it has enough statistical confidence for decision-making (i.e. a "drift" or "no drift" is detected), but after the decision is made it stops processing the observations. This is a common pitfall in this type of sequential analysis, since the main objective is to continually collect information to ensure true change detection. The CDT attempted to overcome

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the prior limitations, since they are continuously tracking the observations (i.e.
fully sequential manner), which in turn provide reduced computational complexity. To this end, our approach adopts the change detection test proposed by [17], but for a different purpose than that of [17].

In [17], the authors were interested in a supervised learning approach able to make accurate predictions in non-stationary environments. In this case, their approach first attempts to make a prediction, and then gains access to the true outcome from a supervisor. The average classification error of the predictions is then computed and monitored over time to detect changes in the probability distribution of the data. Changes are detected based on confidence intervals of the classification error average, as depicted in Figure 1. In particular, if the classification error is within the boundaries of the confidence interval (i.e. the upper and lower limits of interval) then we are confident that there is no change, otherwise a change is detected. The upper and lower limits of interval are adjusted based on the level of confidence required (further explanation is found in Section 4.4). Our approach, on the other hand, can be understood in the framework of reinforcement learning, where there is no supervisor. We use the CDT to detect changes in the benefit of software architectures over time, rather than changes in the classification error as done in [17].

### 2.4. Multi-Objective Optimization

When evaluating software architectures, some of the evaluation approaches appeal to multi-objective optimization (MOP) [20]. MOP is not trivial as the process involves optimizing multiple conflicting objectives. For this purpose, multi-objective evolutionary algorithms have been widely used, such as Non-dominated Sorting Genetic Algorithm-II (NSGA-II) [21]. NSGA-II relies on the concept of non-dominance to decide which solutions are better. A non-dominated solution is a solution that is similar or better on all objectives, and strictly better in at least one objective [22]. Non-dominated solutions can thus be seen as better solutions than dominated ones. NSGA-II thus searches for the set of solutions that are non-dominated by any other solution, which can
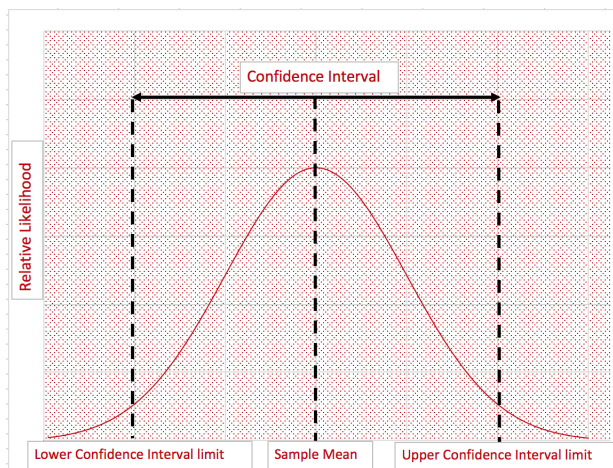
Figure 1: A representation for the confidence interval statistical technique used by [17] as the change detection test.

be referred to as Pareto front. Figure 2 shows an illustrative example of Pareto front, where both the first and second objectives are to be maximized. NSGA-II then relies on humans to decide which of the solutions from the Pareto front to adopt for a given problem. However, this choice may not be easy.

Some MOP scenarios use a *knee point* strategy on their Pareto fronts (Figure 2) to help choosing a solution. A knee point is "almost always the most preferred solution, since it requires an unfavourably large sacrifice in one objective to gain a small amount in the other objective" [23]. In particular, as in Figure 2, moving in any direction out of dotted box may generate a small improvement in one objective, but with a large deterioration in other objective. Therefore, the knee point strategy promises to find the most balanced decision. Our approach adopted a knee point strategy inspired by NSGA-II for MOP. Further details on how this strategy is used in our approach are given in Section 4.5.

## 3. Research Questions

Architecture diversification [8, 9] is commonly adopted for architecting dependable software through embedding more than one solution to realize a con-
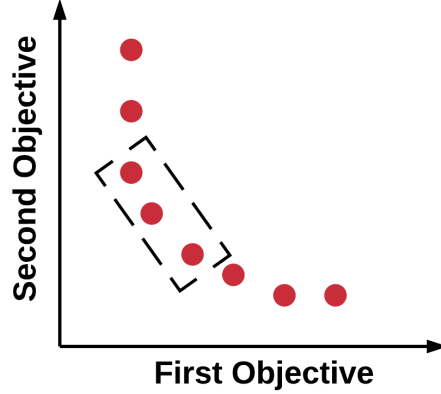
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Figure 2: An illustrative example of Pareto front and application of the knee point strategy. In the example, the knee points inside the dotted box have better chances to win (i.e. provide a more balanced trade-off between the two objectives).

<sup>220</sup> cern of interest. Our method investigates this phenomenon and formulates the problem of architecture diversity from run-time and economics-driven perspectives. In this context, we name each diversified architecture option *dao*, which is composed of several architecture decisions. Consider, for example a streaming application, where the architect could design different diversified architecture <sup>225</sup> options to deal with uncertainties. In this application, a *dao* could comprise different fixed sensors (i.e. things) to collect streaming data and then process data to the cloud. Another *dao* could gather data instead from mobile sensors. We provide further description of *dao* in Section 4.1 and 5. Deciding on which diversified architecture options to implement is not straightforward <sup>230</sup> due to the uncertainties related to the dynamicity and the unbounded scalability of the things in composition. Our approach thus leverages design-time and run-time knowledge to embrace uncertainties. Based on design-time knowledge, the architects can decide on the options to be implemented. We use CBAM and options theory [24] to evaluate different architecture options potentials at <sup>235</sup> design-time [25], where the architecture options providing high option value are

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considered for diversification of the IoT Case study (Section 5). However, as the environment is dynamic, value potentials can fluctuate at run-time. This leads to the following questions, which our paper aims to answer:

**RQ1** *How to evaluate the benefit of each* dao *over time?* A key re-
240 quirement for determining the added value of a *dao* is through tracking its benefit over time based on its quality attributes ($Q$). In non-dynamic environments, tracking the benefit based on a simple average of its value at each time $t$ could be sufficient. However, in dynamic environments, simple average may take a long time to reflect changes [14] in benefit. A
245 method to enable tracking the current benefit over time is necessary.

**RQ2** *How can run-time evaluation determine changes in* dao*'s value over time and inform subsequent decisions?* To properly support decision-making, a run-time architecture evaluation approach should be able to identify when changes in the benefit of a *dao* are truly significant.
250 A decision to change the software architecture based on an insignificant change in benefit would lead to an unstable system. Moreover, when a significant change is detected, run-time evaluation should be able to identify which *dao* provides a better trade-off between benefit and cost. Therefore, a method to detect both significant changes and balanced trade-
255 offs is desired.

To answer these questions, we propose a run-time evaluation approach inspired by self-adaptive systems. The approach is able to profile situations where options can be more effective and provide continuous updates on their value potentials. Specifically, to answer **RQ1**, our approach adopts an *exponential*
260 *time-decay function* inspired by reinforcement learning [14]. This function enables us to track the current benefit of a *dao* by weakening the effect of old data (i.e. emphasize on the recent versus past observations). To answer **RQ2**, our proposed approach adopts *change detection tests* to check whether the benefit of the *dao* currently being used is getting significantly worse [17]. If it is signif-
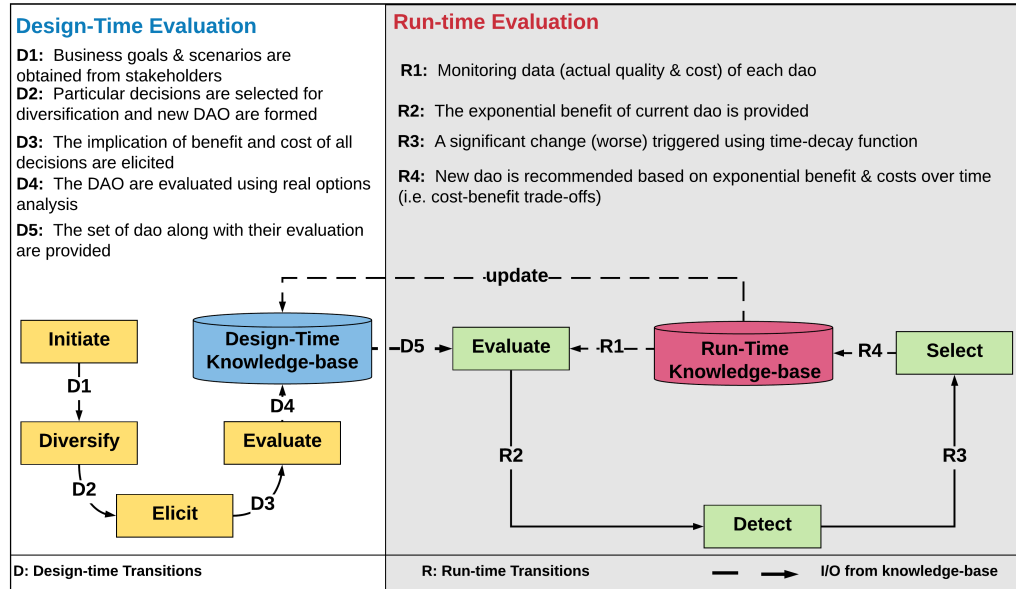265 icantly worse, a method inspired by the multi-objective optimization literature

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Figure 3: Steps of the approach, where the design-time evaluation [25] forms the initial design decisions and run-time evaluation complements it.

[26, 21] is adopted to identify the *dao* with the optimal trade-off between cost and benefit. Based on the profiling of options over time, it is also possible to determine which ones are not fit for the purpose, and hence could be phased out.

The steps of the approach are summarized in Figure 3. Here, the design-time evaluation is the one proposed in [25], which uses options theory (i.e. an economics-driven approach) [27] to evaluate the diversified architectural options . The run-time evaluation is our proposed approach, which is discussed in Section 4.3, 4.4, and 4.5.

## 4. Proposed Approach

This section explains our proposed approach. Sections 4.3 explains how our approach addresses RQ1. Sections 4.4 and 4.5 explain how our approach addresses RQ2.
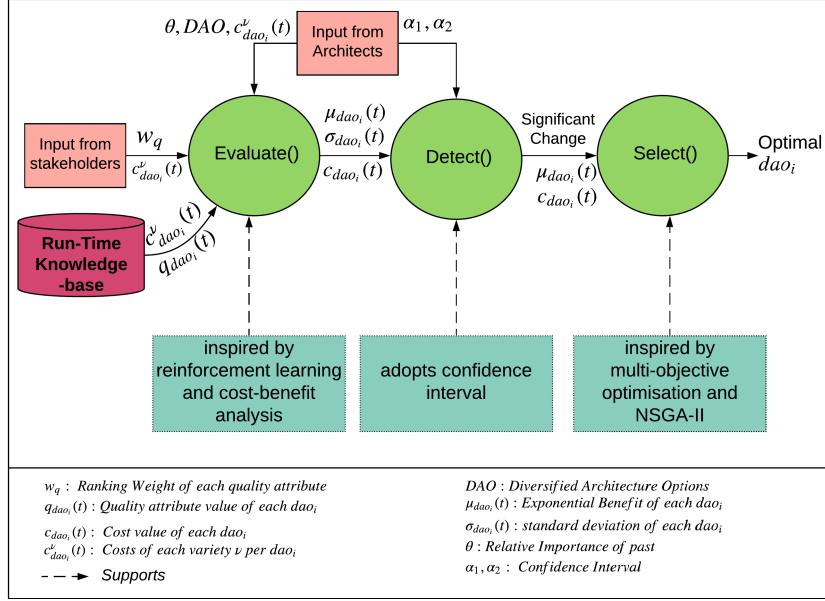
Figure 4: An overview of the operational procedures of run-time evaluation approach.

## 4.1. Diversified Architecture Options (DAO)

Design diversity [8, 28, 29, 9, 25] is used to design for dependability under uncertainty: the greater the uncertainty, the more diversity the architects may need to apply to improve performance. We denote a software architecture which embeds diversity as diversified architecture option $dao$ and the set of $dao$ as $DAO$. A $dao$ implements a set of diversified decisions to meet some quality goals and trade-offs. Consider a set of architecture decisions $D$, where a decision $d_{ka} \in D$; $k$ denotes a particular capability, including connectivity, data collection, data management, $etc$; and $a$ indicates the software architecture component and connection that implements this capability $k$. For example, in an IoT system, architecture decisions for the capability of data collection $d_1$ could be performed either through fixed $d_{11}$, mobile $d_{12}$, or fixed+mobile sensors $d_{13}$. Another example is data processing could be performed either in cloud $d_{21}$, or fog+cloud $d_{22}$. Therefore, $dao_i$ could collect data from fixed and mobile sensors ($d_{13}$) and processes it in cloud-fog ($d_{23}$). Other examples of $DAO$ are depicted

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in Section 5 through Table 2. In the IoT system case, the diversity in each *dao* can refer to using fixed and/or mobile fog devices for data collection capability; using different cloud providers and heterogeneous fog devices for data processing capability.

### 4.2. The Proposed Approach In The Context Reinforcement Learning

However, from the context of software architecture evaluation, we need to do the following: (1) perform reinforcement learning in an online way where bad actions chosen during, e.g., early stages of the learning process, can have serious inappropriate consequences to the architecture evaluation; (2) consider multiple goals, rather than a single one; Therefore, we cannot adopt existing reinforcement learning approaches out of the box.

To deal with (1), we adopt simulators and/or monitor the diversified architecture options in parallel. We also then employ a pre-defined rule to decide which action to take (i.e. which *dao* to suggest) based on the modelled rewards. This rule designed to minimize the chances of making poor architecture recommendations. To handle (2), we get inspiration from the MOP literature [26]. In our case, the suggestion of which *dao* to adopt is performed in an innovative way, based on non-dominated solutions (a key concept adopted by many MOP algorithms), knee point strategy, and the marginal loss (Section 4.5).

In a nut shell, our approach performs *optimization guided by machine learning strategies (i.e. strategies derived from reinforcement learning)*, where a decay function is adopted that continually learns and updates the aggregated benefit of monitored quality values forming the exponential benefit. It then selects the balanced (knee) diversified architecture options when needed based on the learned exponential benefits.

### 4.3. Evaluating The Diversified Architecture Options

The goal of the evaluation is to *determine what are the diversified architecture options, the quality attributes of interest and how they will react over time.* This step is divided into further sub-steps as explained below and summarized in Algorithm 1.

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1. **Identifying the diversified architecture options and quality at-**
   **tributes of interest:** This step is inspired by the formulation of CBAM
   [4, 30], except for the fact that the benefit and cost of options vary over
   time. It also explicitly considers that diversified architecture options are
   composed of architecture decisions, which are defined by the architects
   (Figure 4). In particular, the following must be specified:

   - The set $K$ of capabilities selected for diversification. Examples of ca-
     pabilities are data collection, connectivity, processing, routing topol-
     ogy, and data management.

   - The set $D$ of architecture decisions. An architecture decision $d_{ka} \in D$
     specifies an architecture component $a$ to implement a given capability
     $k \in K$.

   - Set of diversified architecture options $DAO$, where $|DAO|$ represents
     the number of $DAO$ and each $dao_i \in DAO$ is composed of a set of
     architecture decisions.

   - The set $Q$ of qualities of interest. Response time and energy con-
     sumption are examples of quality attributes of interest.

   - Each $d_{ka}$ has a cost and quality which may vary over time. The cost
     of each architecture decision is $c_{ka}(t)$ and quality is $q_{ka}(t)$, where
     $ka$ identifies a decision $d_{ka} \in D$, $c$ is a measure of cost, $q \in Q$
     is a measure of quality and $t$ is a time stamp. In particular, each
     architecture decision $d_{ka}$ will be associated to one measure of cost
     and $|Q|$ measures of quality at each time stamp.

2. **Assessing the weight of each quality of interest**: A ranking weight
   $(w_q)$ must be chosen by the stakeholders to reflect the relative importance
   of each quality attribute to the run-time benefit of the system as depicted
   in Figure 4, which should satisfy equation 1:

$$\sum w_q = 1; \forall q : w_q \geqslant 0 \tag{1}$$

3. **Quantifying the benefit of $DAO$ over time:** The benefit $B_{dao_i}(t)$ of $dao_i$ at timestamp $t$ is a measure of the contribution of each quality attribute to value creation, i.e., added value. In other words, each $B_{dao_i}(t)$ is a function of $q_{dao_i}(t)$, $\forall q \in Q$, whereas each $q_{dao_i}(t)$ is a composite of the quality $q_{ka}(t)$ of each of its component architecture decisions $d_{ka} \in dao_i$. To compute $q_{dao_i}(t)$, the qualities of the architecture decisions need to be aggregated based on how they are connected to each other (line 2). Table 1 depicts some aggregate functions for quality of service (QoS).

We monitor the benefits of $dao$ as a whole. The modeling for $dao$ qualities follows linear aggregation and is consistent with online QoS modeling approaches that have been widely adopted in the service computing community (e.g., [31, 32]). Though the use of linear aggregation for qualities is the widely adopted practice in service community, it is acknowledged to be limited when capturing dependencies of decisions affecting qualities. Additional online aggregation functions, for capturing dependencies of decisions affecting qualities, is a non-trivial problem; its solution will constitute a significant contribution to both the software architecture and services community, which is worth separate reporting due to the complexity of its treatment.

Each $q_{dao_i}(t)$ has one constraint, which follows one of the following possible formats: $q_{dao_i}(t) \leqslant q^{max}$, $q_{dao_i}(t) \geqslant q^{min}$, $q^{min} \leqslant q_{dao_i}(t) \leqslant q^{max}$.

To place all quality attributes in the same scale (line 3-8), equation 2 is for scaling of negative quality values (i.e. the lower the better, e.g. response time), whereas equation 3 could be used for scaling positive quality values (i.e. the higher the better, e.g. throughput). $q'_{dao_i}(t)$ denotes the normalized value of a given $q$ of a particular $dao_i$ at time $t$.

$$q'_{dao_i}(t) = \begin{cases} \frac{q^{max} - q_{dao_i}(t)}{q^{max} - q^{min}} & if\, q^{max} - q^{min} \neq 0 \\ 1 & if\, q^{max} - q^{min} = 0 \end{cases} \tag{2}$$

$$q'_{dao_i}(t) = \begin{cases} \frac{q_{dao_i}(t) - q^{min}}{q^{max} - q^{min}} & if \, q^{max} - q^{min} \neq 0 \\ 1 & if \, q^{max} - q^{min} = 0 \end{cases} \quad (3)$$

Therefore, the benefit of a diversified architecture option can be computed using equation 4, if none of its quality attributes violates any constraint (line 11). If a *dao* at time $t$, violates any constraint (line 9), its benefit is set to zero (line 10).

$$B_{dao_i}(t) = \sum_{q \in Q} w_q * q'_{dao_i}(t) \quad (4)$$

This step uses the run-time knowledge (i.e. observed QoS) to compute the benefit of each *dao*, which is then used as input to step 5 (Figure 4).

4. **Quantifying the cost of** $DAO$**:** CBAM [30] extends ATAM (Architecture Trade-off Analysis method) [5] with explicit focus on the costs and benefits of the architecture decisions in meeting scenarios related to quality attributes. Our consideration for the cost is situation dependent. As an example, the cost can relate to one or more dimensions of interest. This can include the cost of configuration, deployment, testing, leasing, execution etc. These costs can be estimated using parametric models, back-of-the-envelope estimation, reliant on experts (i.e. architects and other stakeholders) and their judgment, analogy, *etc*, as well as run-time knowledge (i.e. monitoring tools). Unlike CBAM, our approach considers the switching costs between options, which could include the configuration, license cost, *etc*. This is in addition to the operating costs, such as costs of deploying and maintaining the *dao*. The cost associated with an architecture option at time $t$ is denoted by $c_{dao_i}(t)$ (line 12), which is computed using equation 5 (Figure 4).

$$c_{dao_i}(t) = \sum c^{\nu}_{dao_i}(t) \quad (5)$$

where $\nu$: considers variety of costs (e.g. deployment cost, leasing cost, *etc*). Further, the approach receives monetary values for cost, which could then be normalized in the same way as negative quality values in equation 2.

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5. **Determine the exponential benefit of $DAO$ over time:** The benefit of a given option is monitored using a time-decay function inspired by reinforcement learning [14]. This function enables the architect to continuously learn the current benefit of a given *dao* over time. At a given timestep $t$, the exponential benefit $\mu_{dao_i}(t)$ of a *dao* is the time-decayed average of its benefit, incrementally computed based on all timesteps up to $t$ (line 13), as seen in equation 6. This average allows us to tune the importance given to the present and past observations of the benefit and to learn more about the behavior of $DAO$ over time.

   How much emphasis is given to the present/past is controlled by a predefined parameter $\theta$. In particular, the relative importance of the present is denoted by $1 - \theta$, whereas of the past by $\theta$, where $0 \leq \theta < 1$. The $\theta$ parameter affects the system's stability. More specifically, a high $\theta$ (e.g., larger than 0.95) corresponds to a greater emphasis on the historical observations of benefit (i.e. present), leading to more robustness to noise, making the quantification of benefit more stable, but slower at adapting to changes. Conversely, smaller values give more emphasis to the more recent observations of benefit and swifter adaptation to changes. However, too low values can lead to unstable quantification of benefit (due to higher sensitivity to noise). To this regard, the architect has the freedom to adjust the $\theta$ parameter, with respect to the required system stability (Figure 4).

$$\mu_{dao_i}(t) = \theta\mu_{dao_i}(t - 1) + (1 - \theta)B_{dao_i}(t) \qquad (6)$$

6. **Determine the variance and standard deviation of $dao$ over time:** The time-decayed variance $\sigma^2_{dao_i}(t)$ of the benefit of this option is computed using equation 7 (line 14). After that, the standard deviation $\sigma_{dao_i}(t)$ is computed as the square root of variance as depicted in equation 8 (line 15).

$$\sigma^2_{dao_i}(t) = \theta\sigma^2_{dao_i}(t - 1) + (1 - \theta) * (B_{dao_i}(t) - \mu_{dao_i}(t))^2 \qquad (7)$$

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$$\sigma_{dao_i}(t) = \sqrt{\sigma^2_{dao_i}(t)} \tag{8}$$

Table 1: Aggregate Functions. The $Max$, $Min$ and $\sum$ operations are over all architecture decisions that are connected to each other in the specified way (parallel or sequence).

| QoS Attribute | Parallel | Sequence |
|---|---|---|
| Response Time | $Max(q_{ka})$ | $\sum q_{ka}$ |
| Energy Consumption | $\sum q_{ka}$ | $\sum q_{ka}$ |
| Cost | $\sum c_{ka}$ | $\sum c_{ka}$ |

### 4.4. Detecting Significant Changes Over Time

At every timestep $t$, the detect module triggers an alert if there is a significant change for the worse on the benefit $B_{dao_i}(t)$. If such a detrimental change is detected, then it may be necessary to switch from the current $dao$ to another better $dao$ for the current circumstances of the software system. The steps for detecting significant detrimental changes are shown in Algorithm 2 and are explained below.

To detect changes, we use the confidence interval of the maximum exponential benefit seen so far and its corresponding exponential standard deviation, as shown in equation 9. In particular, two variables computed based on the evaluate phase are used: $\mu^{max}_{dao_i}$ is the maximum exponential benefit seen so far, and $\sigma^{max}_{dao_i}$ is its corresponding standard deviation. Whenever a new reading arrives at time $t$, those values are updated if $\mu_{dao_i}(t) > \mu^{max}_{dao_i}$ (line 2-4). The parameter $\alpha$ is a parameter that affects the confidence level [17]. In particular, confidence levels 95% and 99% correspond to $\alpha = 1.96$ and $2.58$, respectively.

$$[\mu^{max}_{dao_i} - \alpha\sigma^{max}_{dao_i}, \mu^{max}_{dao_i} + \alpha\sigma^{max}_{dao_i}] \tag{9}$$

If the current exponential benefit $\mu_{dao_i}(t)$ is outside the left boundary of the confidence interval (line 5), a significantly detrimental change is detected (line 6). This leads to the insight that the current $dao's$ benefit is getting worse and may need to be replaced.

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**ALGORITHM 1:** Evaluate()

---

**Input:** diversified architecture options $DAO$, number of $DAO$ ($|DAO|$),

quality $q_{dao_i}(t)$, number of quality attributes $|Q|$, weight of each

quality attribute $w_q$, relative importance of the past $\theta$

**Output:** exponential benefit $\mu_{dao_i}(t)$, standard deviation $\sigma_{dao_i}(t)$, cost

$c_{dao_i}(t)$

---

{Initialization:} $q = 1 : |Q|$

**1 for** $i = 1$ to $|DAO|$ **do**

**2**     Compute quality $q_{dao_i}(t)$ based on Table 1

    {For negative quality attribute (i.e. the lower the better, e.g.

    Response Time):}

**3**     **if** $q_{dao_i}(t)$ is a negative quality & $q^{max} - q^{min} \neq 0$ **then**

**4**       $q'_{dao_i}(t) = \frac{q^{max} - q_{dao_i}(t)}{q^{max} - q^{min}}$

    {For positive quality attribute (i.e. the higher the better, e.g.

    Throughput):}

**5**     **else if** $q_{dao_i}(t)$ is a positive quality & $q^{max} - q^{min} \neq 0$ **then**

**6**       $q'_{dao_i}(t) = \frac{q_{dao_i}(t) - q^{min}}{q^{max} - q^{min}}$

**7**     **else**

**8**       $q'_{dao_i}(t) = 1$

    {Check constraints' violation:}

**9**     **if** any $q'_{dao_i}(t)$ violates constraints **then**

**10**       $B_{dao_i}(t) = 0$

    **else**

**11**       Compute benefit $B_{dao_i}(t) = \sum\limits_{q \in Q} w_q * q'_{dao_i}(t)$

    **end**

**12**     Quantify cost $c_{dao_i}(t) = \sum c^{\nu}_{dao_i}(t)$

**13**     Compute exponential benefit

    $\mu_{dao_i}(t) = \theta\mu_{dao_i}(t-1) + (1-\theta)B_{dao_i}(t)$

**14**     Determine variance

    $\sigma^2_{dao_i}(t) = \theta\sigma^2_{dao_i}(t-1) + (1-\theta) * (B_{dao_i}(t) - \mu_{dao_i}(t))^2$

**15**     Determine standard deviation $\sigma_{dao_i}(t) = \sqrt{\sigma^2_{dao_i}(t)}$

**end**

---

Replacements may affect the system's stability. The parameter $\alpha$ enables us to tune the sensitivity of the approach to changes, and therefore how stable/unstable it will be over time. For instance, consider that the architect has chosen the confidence level of 95%. Then, if the current exponential benefit is outside the left boundary of the 95% confidence interval ($\mu_{dao_i}(t) - \sigma_{dao_i}(t) \leq \mu_{dao_i}^{max} - 1.96 * \sigma_{dao_i}^{max}$), a significantly detrimental change is informed to the software architects. However, if a 99% confidence interval been chosen, a significantly detrimental change would only be informed when $\mu_{dao_i}(t) - \sigma_{dao_i}(t) \leq \mu_{dao_i}^{max} - 2.58 * \sigma_{dao_i}^{max}$. In this context, the detect step uses $\mu_{dao_i}(t)$ and $\sigma_{dao_i}(t)$ along with $\alpha$ parameters (set by the architect) to detect changes, as shown in Figure 4.

When a significantly detrimental change is detected, $\mu_{dao_i}^{max}$ and $\sigma_{dao_i}^{max}$ are reset and recomputed from scratch using the values of the exponential benefit accumulated since a warning was triggered (line 7). A warning is triggered based on a more relaxed confidence interval (line 8-9). For example, if using 99% as the confidence interval to detect significant detrimental changes, a confidence level of 95% could be used to issue a warning. Otherwise, no change/warning is detected (line 10).

To summarize, a change detection method is necessary to check whether the current $dao$ is getting worse based on its accumulated exponential benefit $\mu_{dao_i}(t)$. In this context, the change detection test used could be adjusted to detect only significant changes and hence improves the stability of the system (i.e. avoid alerting the software architects of insignificant triggers).

*4.5. Selecting The Architecture Option With The Optimal Trade-offs*

If a significant detrimental change is detected in the $dao$ currently being used ($dao_{curr}$), this means that it may be beneficial to replace this $dao$ by another one from $DAO$ elicited in step 1 of Section 4.3. In such a situation, it is desirable to know which $dao$ among $DAO$ has recently been providing the optimal trade-off between cost and benefit (Figure 4). Such a $dao$ is assumed to be the best one to use now and hence the best one to switch to.

**ALGORITHM 2:** Detect()

---

**Input:** confidence intervals $(\alpha_1, \alpha_2)$, exponential benefit $\mu_{dao_i}(t)$,

standard deviation $\sigma_{dao_i}(t)$

**Output:** change/warning/no change is detected

---

**1** $\mu_{dao_i}^{max} = \mu_{dao_i}(0)$, $\sigma_{dao_i}^{max} = \sigma_{dao_i}(0)$

{Update the maximum exponential benefit and its corresponding

exponential standard deviation}

**2 if** $\mu_{dao_i}(t) > \mu_{dao_i}^{max}$ **then**

**3** $\quad$ $\mu_{dao_i}^{max} = \mu_{dao_i}(t)$

**4** $\quad$ $\sigma_{dao_i}^{max} = \sigma_{dao_i}(t)$

**end**

{Check if a change is detected}

**5 if** $\mu_{dao_i}(t) - \sigma_{dao_i}(t) \leq \mu_{dao_i}^{max} - \alpha_2 * \sigma_{dao_i}^{max}$ **then**

**6** $\quad$ a change is confirmed

**7** $\quad$ reset $\mu_{dao_i}^{max}$ and $\sigma_{dao_i}^{max}$

{Check if a warning is triggered}

**8 else if** $\mu_{dao_i}(t) - \sigma_{dao_i}(t) \leq \mu_{dao_i}^{max} - \alpha_1 * \sigma_{dao_i}^{max}$ **then**

**9** $\quad$ a warning is triggered

**else**

**10** $\quad$ no change/warning is detected

---

To determine the *dao* with the optimal trade-offs, we were inspired by the literature on MOP, NSGA-II, and a knee selection method [26, 21]. Our problem has two objectives: maximize benefit (Equation 6) and minimize cost (Equation 5). It is therefore a multi-objective problem with two objectives. As such, we calculate the *expected* marginal loss in order to identify the *dao* with the likely most balanced trade-off between benefit and cost. The process of determining the *dao* with the optimal trade-offs between cost and benefit at time $t$ is divided into three sub-processes as explained below and summarized in Algorithm 3.

1. **Determine the Non-Dominated DAO:** This includes determining which diversified architecture options are non-dominated by any other *dao* [26, 21] (line 2-9). A given $dao_i$ dominates $dao_j$ iff:($c_{dao_i}(t) \leq c_{dao_j}(t)$ and $\mu_{dao_i}(t) \geq \mu_{dao_j}(t)$) and ($c_{dao_i}(t) < c_{dao_j}(t)$ or $\mu_{dao_i}(t) > \mu_{dao_j}(t)$). According to the definition above, non-dominated architecture options (i.e. knee point solutions) can be considered as better than dominated ones.

2. **Calculate the marginal loss of the Non-Dominated DAO over time:** The marginal loss is used for the purpose of computing the *expected* marginal loss (Step 3). In particular, we use a loss function that aggregates cost and benefit into a single value as follows (line 12):

$$L_\lambda(dao_i, t) = \lambda c_{dao_i}(t) - (1 - \lambda)\mu_{dao_i}(t) \tag{10}$$

where $\lambda \in [0, 1]$ is a pre-defined parameter that controls the relative importance between cost and exponential benefit. The loss describes how (un)desirable a certain *dao* is.

---

**ALGORITHM 3:** Select()

---

**Input:** change detected in $dao_{curr}$, a pre-defined parameter $\lambda$, number of $\lambda$ ($|\lambda|$), number
of $DAO$ ($|DAO|$), exponential benefit $\mu_{dao_i}(t)$, cost $c_{dao_i}(t)$

**Output:** optimal $dao_i$

---

{A change is detected in $dao_{curr}$, then do:}

{Initialization:}

**1** $\lambda : \{0.1, 0.2, \cdots, 0.9\}, |\lambda| = 9$

{Determine the Non-Dominated $DAO$:}

**2 for** $i = 1$ to $|DAO|$ **do**

**3**     $dominant = 0$

**4**     **for** $j = 1$ to $|DAO|$ **do**

**5**        **if** $(c_{dao_i}(t) \leq c_{dao_j}(t) \& \mu_{dao_i}(t) \geq \mu_{dao_j}(t)) \& (c_{dao_i}(t) < c_{dao_j}(t) | \mu_{dao_i}(t) > \mu_{dao_j}(t))$

         **then**

**6**           $dao_i$ dominates $dao_j$

**7**           $dominant = 1$

         **end**

       **end**

**8**     **if** $dominant = 0$ **then**

**9**        Add $dao_i$ to list of non-dominant $DAO$

       **end**

    **end**

{Calculate marginal loss for the non-dominant $DAO$:}

**10 for** $i = 1$ to Length (list of non-dominant $|DAO|$) **do**

**11**     **foreach** $\lambda \in \{0.1, 0.2, \cdots, 0.9\}$ **do**

**12**        $L_\lambda(dao_i, t) = \lambda c_{dao_i}(t) - (1 - \lambda)\mu_{dao_i}(t)$

**13**        **if**   $i = argmin_i L_\lambda(dao_{i'}, t)$ **then**

**14**           $L'_\lambda(dao_i, t) = min_{(i \neq ii)} L_\lambda(dao_{ii}, t) - L_\lambda(dao_i, t)$

         **else**

**15**           $L'_\lambda(dao_i, t) = 0$

         **end**

       **end**

    **end**

{Determine the expected marginal loss for the non-dominant $DAO$:}

**16 for** $i=1$ to Length (list of non-dominant $|DAO|$) **do**

**17**     $approxM[L'_\lambda(dao_i, t)] = \dfrac{\sum\limits_{\lambda \in \{0.1, 0.2, \cdots, 0.9\}} L'_\lambda(dao_i, t)}{|\lambda|}$

    **end**

**18** Return optimal $dao_i = dao_i$ with $max(approxM[L'_\lambda(dao_i, t)])$

---

The marginal loss $L'_\lambda(dao_i, t)$ represents how much worse the loss would be if $dao_i$ was not available and we had to use the one with the second optimal trade-off, given a certain $\lambda$ (line 13-15). It can be calculated based on the following equation [26]:

$$L'_\lambda(dao_i, t) = \begin{cases} min_{(i \neq ii)} L_\lambda(dao_{ii}, t) - L_\lambda(dao_i, t) \\ \qquad : if \quad i = argmin_i L_\lambda(dao_{i'}, t) \\ 0 \quad : otherwise \end{cases} \qquad (11)$$

where $argmin$ function is used to check if $dao_i$ has the minimum loss. If this is true, then we will iterate over all $DAO$ to find the minimum difference between losses of a particular $dao_{ii}$ and $dao_i$. This is set as the marginal loss. If $dao_i$ is not the one with the minimum loss, we set $L'_\lambda(dao_i, t)$ to 0.

3. **Determine the expected marginal loss of Diversified Architecture Options over time:** The $dao$ with the optimal trade-off between cost and benefit at time $t$ is the $dao$ with the maximum expected marginal loss at time $t$. This option can be suggested to the software architect as the optimal $dao$ to be adopted at time $t$. This $dao$ may or may not be the same as current option $dao_{curr}$.

As in [26], we use an approximation of the expected marginal loss rather than the true marginal loss. The expected marginal loss has been proposed and used in the MOP literature [26] to facilitate the choice of which solution from the Pareto front to adopt in practice. In contrast, a single-objective problem would use a single fixed value for $\lambda$. However, we need to compute the marginal loss with a sample of different $\lambda$ values. In our work, we used equally spaced values $\lambda$: $\{0.1, 0.2, \cdots, 0.9\}$, where $|\lambda| = 9$ is the number of $\lambda$ values used. The expected marginal loss $(approxM[L'_\lambda(dao_i, t)])$ can be approximated by taking the average of the marginal losses computed using different sampled values for $\lambda$ [26] (line 16-17), as shown in equation 12. The optimal $dao_i$ is the one with

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maximum expected marginal loss (line 18).

$$approxM[L'_\lambda(dao_i, t)] = \frac{\sum\limits_{\lambda \in \{0.1, 0.2, \cdots, 0.9\}} L'_\lambda(dao_i, t)}{|\lambda|} \qquad (12)$$

As explained above, to determine the *dao* with the optimal trade-off between cost and benefit at time $t$, we need to know the exponential benefit and standard deviation of the $DAO$ at this timestep, including the $DAO$ that are not currently in-use by the software system. This information can be obtained in a number of different ways:

- If a given $dao_i$ uses the same $D$ as the current $dao_{curr}$, but connects them in a different way, the exponential benefit and standard deviation can be tracked over time even if $dao_i$ is not currently being used. This is because the qualities of interest of the $D$ are being monitored via $dao_{curr}$ and just need to be aggregated using a different function to compute $dao_i$'s exponential benefit and standard deviation.

- A given $dao_i \neq dao_{curr}$ can be activated for use in parallel with $dao_{curr}$ at pre-defined time intervals $T'$ to track their qualities of interest. In this case, $dao_j$'s exponential benefit and standard deviation are updated at every $T' > 1$ units of time, saving the overhead of having to use more than one *dao* at every timestep. The accuracy of $dao_j$'s exponential benefit and standard deviation will depend on how large $T'$ is.

- A simulation based on what-if test scenarios can be used to estimate the exponential benefit and standard deviation of $dao_i \neq dao_{curr}$. Simulators are typically used during the architecture prototyping, analysis, and refinement stages to evaluate the response and sensitivity of the architecture for these tests. In this case, $dao_i$'s exponential benefit and standard deviation could be updated frequently, possibly at every unit of time. However, their accuracy depends on the simulator. Due to the exponential time-decay factor used for calculating exponential benefit, inaccuracies on exponential benefit of architecture options not in-use at time $t$ can be

quickly found if and when we switch from a *dao* to another. If the newly
adopted one is found to have significantly poorer benefit than previously
estimated, the change detection mechanism will detect this and trigger
the procedure to determine whether we should switch to another one.
For instance, if some of the IoT devices forming the selected *dao* become
unavailable after selecting it due to the highly dynamic application en-
vironment, making it not possible to execute the selected *dao*, this will
lead to a decrease in exponential benefit and hence cause a change to an
alternative architecture.

In summary, our proposed approach monitors the extent to which the con-
cerned architecture design decisions satisfy the quality attributes over time; it
provides feedback on their performance against the said qualities. The feed-
back is used to adapt the architecture as seen fit. In particular, the feedback
determines the benefit of diversified architecture options and hence can aid the
architect in demonstrating the situations where the *dao* would work and the
others which is not suitable for that context. As an example, if a particular
*dao* does not perform well in any of the provided scenarios (e.g. when the en-
ergy consumption is a priority) over a prolonged period of time, this is a strong
indicator of a wrong choice of the design decisions and choices. The approach
incorporates human expertise in the decision of whether or not to change or
keep this architecture as an option, as the modelling of diversified architecture
options is the fundamental domain knowledge from the engineers, as for every
software system.

## 5. IoT Case Study Design

In this section, we introduce the IoT case, how diversity can be embedded
in the architecture, and how the data is collected through iFogSim.

### 5.1. Introducing the IoT case

The basic concept of IoT is the interaction between a group of devices—
"things"—such as sensors and actuators, over the Internet. Key challenges for

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IoT ([3, 1, 7, 2]) include:

- *heterogeneity*, having different types of things, such as static sensing (e.g. fixed sensors), mobile crowd-sensing (e.g. cellular-based, vehicle-based, and bike-based sensors), virtual (e.g. web services), and social sensing (e.g. share data across social networks like facebook);

- *high dynamism* due to the presence of mobile things and uncertainty of their resource demand and QoS provisions over time (i.e. service level objectives), varying energy consumption per thing and their varied availability;

- *scale*, where their ubiquitous, light, and mobile nature has led to the presence of, in some cases, millions of things.

To address these challenges, prior approaches have provided some solutions for IoT, such as [1], [33], [7], and [11]. We draw on an IoT application, documented in [11], to demonstrate the applicability and effectiveness of the approach. Nevertheless, our approach has the potential to be applied to other systems exhibiting high dynamism and uncertainty in their operations. Our IoT application extends Gupta et al. [11]'s application – an urban traffic monitoring system, named *iTransport*. Gupta et al. [11] used a video surveillance application to demonstrate the usefulness of their proposed cloud/fog simulator tool *iFogSim*. However, in their case study, the context of run-time architecture evaluation and adaptability under time-varying environment have not been considered, even though iFogSim is capable of simulating the dynamics and uncertainty of cloud/fog environments. This has motivated us to extend their case study. In a nutshell, iFogSim is a cloud/fog simulation environment; it can aid developers to simulate the impact of their application on qualities of interest. It forms the basis in our work to mimic the dynamics and uncertainty of cloud/fog environments, and their impact on qualities of interest in our case study. Further explanation related to our use of iFogSim, which differs from that of Gupta et al., can be found in Section 5.3. In addition, Gupta et al. [11] assume the

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presence of one application architecture (i.e. configuration). We have designed the multiple configurations with respect to the common architecture decisions of a video surveillance application.

The *iTransport* application provides online (for emergencies) and offline (for long-term prediction) analytics. It uses smart cameras, which are either fixed (attached to street lights and buildings) or mobile (attached to vehicles and bikes) to capture the traffic for accident avoidance and traffic management. The application has 6 modules as seen in Figure 5: camera, motion detector, object detector, object tracker, accident storage, and emergency control. Smart cameras transmit raw video streams to the motion detector module, which then forwards the video in which motion was detected to the object detector module. The object detector module analyzes the objects and detects any abnormal actions (i.e. car accidents). If it observes an accident, the emergency control searches for a nearby ambulance for notification. The data is then sent to the accident storage cloud to profile the accidents with respect to areas. The application automatically provides either "online analytic" functionality or "offline analytic" functionality, every 10 minutes based on user requirements. For instance, if "online analytic" functionality is invoked, then minimized response time and network usage are necessary, whereas if "offline analytic" is called, then the energy consumption is the main goal for optimization.
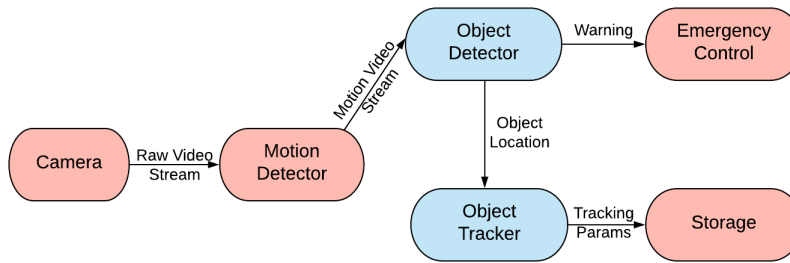


Figure 5: The flow diagram of *iTransport* application [34].

*5.2. Diversified Architecture Options In The Context Of IoT Case*

When architecting the *iTransport* application, the architects must address uncertainties due to heterogeneity of the things; the dynamicity of the things' behaviors and the dynamism of their composition. Design diversity can be employed to handle these uncertainties: the greater the uncertainty, the more diversity is applied [8] in an attempt to improve performance and availability [29, 9, 25]. We contend that diversification means embedding in flexibility. Since there is a variety of ways to diversify, each diversified architecture can be treated as an option, which we denote by *dao* [25]. A software architecture encompasses a set of architecture decisions $D$, where a decision $d_{ka} \in D$. A *dao* implements a set of diversified decisions to meet some quality goals and trade-offs. $d_k$ denotes a particular capability, including connectivity, data collection, data management, *etc.* $d_{ka}$ indicates the software architecture components/connections that implement this capability. For example, the architects decided to diversify the *data collection* capability ($d_1$), where video could be captured using fixed cameras ($d_{11}$), mobile cameras ($d_{12}$), or both ($d_{13}$). Another diversification decision is concerned with the *connectivity and processing* capability ($d_2$), where the things can connect, track, and process the captured video on the cloud ($d_{21}$) or both cloud and fog ($d_{22}$). So $dao_1$ comprises $d_{11}$ and $d_{21}$, whereas $dao_2$ consists of $d_{12}$ and $d_{22}$ and so forth. Table 2 depicts selected options, with decisions designed for cloud, fog, mobile, or fixed.

In *iTransport*, there are several design trade-offs concerning the critical QoS attributes (e.g., response time, energy consumption, network usage, *etc*) and cost, subject to constraints such as the pre-defined coverage and availability of the things. In the context of iTransport, we consider deployment cost (the expenses related to the infrastructure deployment in cloud/fog environment), execution cost (the computational costs of running the processing tasks on cloud/fog devices), and networking costs (related to the bandwidth requirements and associated expenses. For instance, data uploading cost from end devices/sensors and inter-nodal data sharing cost) [35]. Further, the switching costs in *iTransport* embrace the migration costs to/from the cloud/fog, thing's

Table 2: Possible Diversified Architecture Options for *iTransport* application. The diversity in each *dao* can refer to using fixed and/or mobile fog devices for data collection capability; using different cloud providers and heterogeneous fog devices for data processing capability.

| Decision $d_k$ / Option $dao_i$ | Data Collection Capability ($d_1$) | Data Processing Capability ($d_2$) |
|---|---|---|
| 1 | Fixed ($d_{11}$) | Cloud ($d_{21}$) |
| 2 | Mobile ($d_{12}$) | Cloud ($d_{21}$) |
| 3 | Fixed and Mobile ($d_{13}$) | Cloud ($d_{21}$) |
| 4 | Fixed ($d_{11}$) | Fog and Cloud ($d_{22}$) |
| 5 | Mobile ($d_{12}$) | Fog and Cloud ($d_{22}$) |
| 6 | Fixed and Mobile ($d_{13}$) | Fog and Cloud ($d_{22}$) |

connectivity and other costs (if any). Nevertheless, the approach is flexible enough to include other costs. The design trade-offs can inform the diversification design decisions and the deployment of *dao*. Addressing the following scenarios require us to consider trade-offs when deciding on *dao*:

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- $dao_1$ uses fixed camera sensors to provide more stable and better response time to fulfill the pre-defined coverage. However, achieving the coverage for the scale of city highways using fixed camera may incur much higher cost and static coverage. In contrast, the use of mobile crowdsensing (using smart vehicles) [7], as in $dao_2$, could be an alternative solution due to its

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low cost. But the mobile crowdsensing in $dao_2$ may be unstable in terms of response time and it can consume much more power at this scale due to the simultaneous transmission, processing, and remote execution of the images on the cloud. Further, availability in $dao_2$ is much more restricted than that of $dao_1$.

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- When comparing with the case where cloud is used as the sole computation paradigm (in $dao_1$ to $dao_3$), the partial use of fog (in $dao_4$ to $dao_6$) could provide faster response time (e.g., for scenarios such as emergency notification and online analytics) and lower network usage, due to the of-

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floading of computational load on the near by fog devices. But it may incur more energy consumption, given the large number of required fog things and the additional overhead that may be required to synchronize and store the processed information on the cloud (if any). In addition, the fog option needs to fulfill the constraints on the proximity of the thing to the fog and the availability of the fog.

There are some scenarios where design-time decisions may fail to select the "right" options due to the run-time uncertainties and dynamics caused by various environmental factors, which emergently affects the benefit of the options. In particular, it is possible that, at run-time, their benefit deviates more than the expected value at design-time, for example:

- The design-time evaluation suggests $dao_6$ to be continuously deployed when response time and network usage are the stakeholders' concerns, due to its high expected benefit. Conversly, at run-time (i.e. output of simulator), the hyper-connectivity of mobile things and the high network latency affect its actual benefit in terms of response time and network usage, which was significantly lower than expected.

- The architect has decided to implement $dao_1$ in cases where response time and network usage is not a concern, aiming to improve the energy consumption in fog devices. However, the actual overall benefit (e.g. response time, network usage and energy consumption) was much worse than expected during run-time. This is because the sensors are still performing some processing to transmit the data to the cloud (i.e. there is high power load on the fog devices).

- The architect has prioritized the response time concern over the network usage and energy consumption. S/he selected $dao_2$ for deployment due to the use of mobile things, which may have lower impact on network congestion as compared with fixed ones. On the contrary, the architect has discovered that the actual benefit of the selected *dao* performed much

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worse than expected, due to the presence of very large number of mobile things (i.e equivalent to the deployment of lower fixed number of sensors), which resulted in high network usage. Therefore, $dao_2$ was almost violating the quality constraints in most of the cases.

The prior scenarios motivate the need for the run-time evaluation to capture conditions that may not be discovered at design-time. The approach can accumulate run-time information (i) discovering patterns related to the availability of mobile nodes and their connection to benefit improvement/degradation and value; (ii) the added value of switching to a diversified option and when that value will be realized, become optimal or cease to exist, considering various costs and load. The dynamism of the above cases make it difficult for designers to solely evaluate the architecture based on design-time knowledge. Run-time knowledge can be particularly useful to suggest refinements for the diversified architecture options; informing when a *dao* should (not) be invoked; phasing out a *dao* or suggesting a replacement, *etc.*

*5.3. Experimental Data Collection*

Our experiments focus on architecting the sensing-actuating functionality of *iTransport* to show how run-time evaluation can complement design-time evaluation. At design-time, the architect has followed the procedure of Section 5.2 to preliminary decide on the $DAO$ (and their composing $D$) for implementing this functionality. The experiments were executed on Intel Core i7 processor machine with 16GB of RAM . The data synthesis process is performed using iFogSim [11], whereas Matlab is exploited for data analysis. To simulate the qualities of interest of each architecture decision over time, we adopt the *iFogSim* [11] tool. This tool builds on Cloudsim [12]; it provides the architect with the freedom of hierarchically composing the fog devices, clouds, and data streams. In iFogSim, we have hierarchically composed the application as shown in Figure 5. The candidate $DAO$ used in this study are shown in Table 2. In particular, each *dao* is composed of different types of data collection (type of sensors) and

connectivity (computation locations) as architecture decisions, meaning that the processing performed by each *dao* is executed differently. Connectivity was simulated by either executing the *object detector* and *tracker* modules (shown in Figure 5) in the cloud and/or fog. For data collection, two gateways were used, where each is connected to an average of 50 smart cameras (Figure 7): fixed, mobile, as well as fixed and mobile, having a total of 100 fog devices. The fog devices and cloud are configured based on [36, 37, 11].

The goal is to continually optimize the following two conflicting requirements:

- **Benefit:** It needs to be maximized and is based on three quality attributes of interest:

  1. *Response Time: iTransport* is time-critical application, so it should respond as early as possible. In *iTransport*, the response time (RT) of an application is the application's end to end delay (in milliseconds *ms*) and measured using iFogSim.

  2. *Network Usage:* High network usage would cause network congestion, so it should be as low as possible. The network usage (NU in mega bytes *MB*) is also measured using iFogSim.

  3. *Energy Consumption:* IoT will lead to unlimited energy consumption if not controlled [38]. Therefore, energy consumption needs to be minimized. In this context, when designing IoT architectures, architects have to consider energy consumption as a major concern [39]. In *iTransport*, the energy consumption (EC in mega joules *MJ*) is the total energy consumption by all the devices in the application, which is also determined through iFogSim.

- **Cost:** It needs to be minimized. It is a composite of operating cost, and switching cost that is added once we switch. In the context of *iTransport*, the average cost encompasses a thing's connectivity (includes switching), execution in the cloud and/or fog (i.e. leasing cost of processing services), and other costs mentioned in Section 5.2. The mean overall costs have been collected from iFogSim.
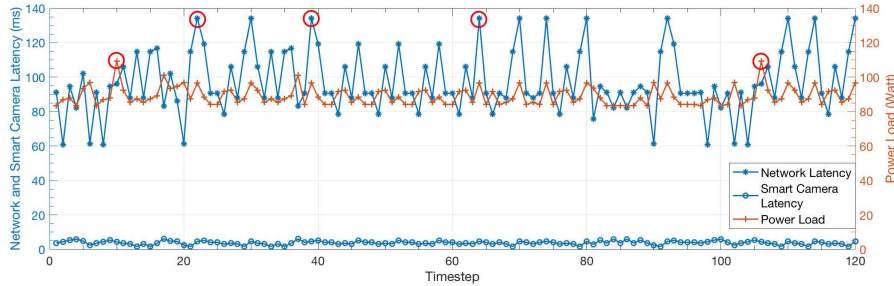
34

Figure 6: Sample of changing environmental conditions facing *iTransport* (i.e. input for one of the *DAO*). *The red circles denote some examples of accidental spikes.*

The iFogSim tool takes as input: the network latency, power load, smart camera's latency (i.e. fog devices), number of cameras, number of gateways, tuple configurations, cloud and fog devices configurations. The sources of un-

725 certainty in *iTransport* come from the varying network delays due to network congestion. There are other factors, which as well impact the environmental conditions, such as uncertainty in QoS of cloud service providers (e.g. Amazon, Google Cloud, *etc*) and software-defined capabilities of fog service providers in terms of their processing power, as well as the hyperconnectivity of the nodes.

730 In this context, we have generated data corresponding to each *dao* including typical as well as worst case scenarios. For instance, we varied the power load as 80-110 watt with a fluctuation of 5-10%, following the typical power load values from [37]. The latency was varied in the range of low to high, i.e. 1-6ms with a fluctuation of 20-30% on the smart camera. We also varied the network

735 latency with an average of $100ms$ and fluctuation of 20-25%, as exemplified in Figure 6. The choice of this fluctuation was based on [40], as it normally provides acceptable throughput across various networking protocols, but also causes accidental spikes that represent worst case scenarios.

We also simulated changes by using diverse smart cameras' configuration [37,

740 11], as depicted in Table 3. Based on that, it outputs the energy consumption of devices, application's response time, and network usage. This setting was intentionally designed as a worst case that goes beyond a stable setting. Further,

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the iFogSim takes the pricing configurations for IoT devices (i.e. fog devices) and cloud to generate the mean costs of each *dao* (i.e. application architecture). All the pricing configurations are used with respect to AWS IoT services [41]. We have run the simulations for each *dao* for 120 timesteps.
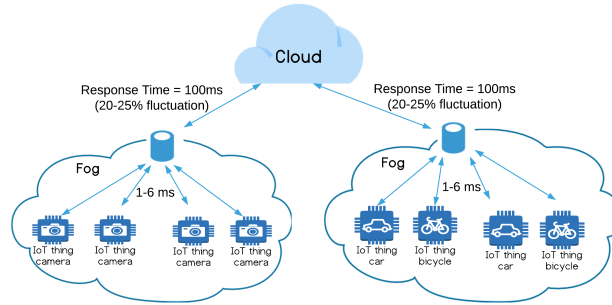


Figure 7: The Initial Experiment Configuration for iFogsim.

## 6. Experimental Evaluation

In the next series of experiments, we aim to show the usefulness of the approach by evaluating how well it addresses the research questions introduced in Section 3.

As aforementioned, the system that uses the *dao* evaluated by our approach as having the optimal trade-offs is called *Informed-Selection System*. We compare our approach against the following baseline selection systems:

1. **Static-Selection System:** This is a typical type of system used in practice [42, 25], where the expert implements a single *dao* based on its assessed value at design-time. For our work, the value is determined using the Binomial option pricing model [43, 44, 25], which estimates the future benefits and costs of options based on a binomial decision tree.

2. **Predefined-Selection System:** This is inspired by [45, 46], where the architect will choose the *dao* that is likely to perform the best for a given context. This selection is typically based on experience, backed up by

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Table 3: Simulation Parameters for *iTransport*

| Parameter | Value |
| --- | --- |
| Device Configurations: | |
| Cloud Datacenter | 3GHz CPU, 40GB RAM, $0.1-0.3/day |
| Wifi and ISP Gateways | 3GHz CPU, 4GB RAM, $0.0053-0.0056 /day |
| Smart Camera | [1.6, 1.867, and 2.113] GHz CPU, |
| | 4GB RAM,$0.0053-0.0056 /day |
| Number of Smart Cameras/ | 80-120 cameras/ |
| Network Configurations (Average Latency): | |
| From ISP Gateway to Cloud Datacenter | 80-120 ms |
| From Wifi Gateway to ISP Gateway | 1-6 ms |
| From Smart Camera to Wifi Gateway | 1-6 ms |
| Tuple Configurations (Message size): | CPU Length (MIPS), Network Length (Bytes) |
| Raw Video Stream: | 1000, 20000 |
| Motion Video Stream: | 2000, 2000 |
| Object Location: | 500, 2000 |
| Warning: | 1000, 100 |
| Tracking parameters | 28,100 |
| Average QoS: | |
| Energy Consumption of devices | 80-120MJ |
| Applications Response time | 300-4000 ms |
| Network Usage | 500 KBytes- 2 MBytes |
| Evaluation Settings: | |
| $\theta$ | $\{0.7, 0.9, 0.99\}$ |
| $\alpha_1, \alpha_2$ | $\{80, 92\%\}, \{90, 95\%\}, \{99, 99.9\%\}$ |
| {Normal;Strict} Quality Constraint | $\{[400\text{ms}, 130\text{MJ}, 2\text{MB}];[350\text{ms}, 130\text{MJ}, 500\text{KB}]\}$ |
| Normalized {Operating;Switching} Cost | $\{0.3 - 0.5; 0.2 - 0.4\}$ |
| Weights for {normal; strict} Quality constraints | $\{[0.4, 0.3, 0.3]; [0.4, 0.2, 0.4]\}$ |

back-of-the-envelope calculations for the cost, benefits, and technical potential. However, the selection may fail to predict potential fluctuations in value, quality potentials, and costs. As an example, our case used $dao_6$ during week days (because of peak hours) and $dao_3$ during weekends (because of less demand).

3. **Random-Selection System:** Our design for the baseline system follows the argument of [2, 47] but for the context of services. When a significant change is detected, it selects a $dao$ randomly independent of its QoS over time. This is because the $DAO$ are deemed to be functionally equivalent but deployed in different environments and geographical location (i.e., distributed Fogs/clouds). All the results related to the Random-selection approach are based on the average of 30 runs (the choice of 30 runs is recommended by [48]).

*6.1.*

### *RQ1: How to evaluate the benefit of each dao over time?*

**Motivation:** This experiment aims to show the usefulness of run-time evaluation over design-time evaluation. More specifically, it conveys that the run-time evaluation visualizes scenarios and dynamics, which can hardly be captured at design-time. It is also important to confirm the design-time choices. For that, we compare our approach against the design-time architecture evaluation approach proposed in [25]. The latter uses *options theory* [27] to evaluate and justify the employment of architecturally diversified decisions and their augmentation to long-term value creation under uncertainty. The architect has the freedom to estimate the increases and decreases in the value potentials for the candidate architecture options over time, backed up by their experience.

**Experimental setup:** The design-time architecture evaluation approach uses experts' (e.g. architects and other stakeholders) assumptions on the likely utilities of a $dao$ over a pre-defined period of time [25]. In our experiments, the pre-defined period of time corresponded to 120 timesteps. The expert's opinion is depicted by a utility tree that is provided at design-time, without making

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use of any run-time information. The utility tree created for the design-time approach used in the experiments is shown in Figure A.18a and A.18b. The design-time approach [25] then uses this utility tree to compute the likely benefit of a *dao* over the pre-defined period time based on binomial real option analysis. This benefit is the one depicted in Figures 8a and 8c. Therefore, even though this is a design-time evaluation approach, it provides information on the expected run-time benefit of the $DAO$, being a meaningful design-time approach to compare against.

We investigate how the design-time architecture evaluation approach and our proposed run-time approach evaluate two $DAO$: the *dao* with the best benefit ($dao_6$) over time and the *dao* with the worst benefit ($dao_1$). Figure 8a depicts the *dao* with best benefit (i.e. $dao_6$) over time computed using the design-time approach [25], whereas Figure 8b shows the exponential benefit quantified using our run-time architecture evaluation approach. Further, the benefit of $dao_1$ (i.e. worst) is shown in Figure 8c, whereas its exponential benefit is plotted in Figure 8d. Note that we have normalized the design-time benefit to ensure fair comparison with the run-time benefit, as the design-time evaluation approach provides a monetary value for the benefit of $DAO$. We assume that the cost of both options is constant over time, whereas the benefit is varying over time.

**Analysis:** As we can see, the design-time approach was conservative and estimated that $dao_6$ had initially low benefit and then improved over time. Our run-time approach, on the other hand, is able to show to the software architect that $dao_6$ has high benefit from the beginning. Figure 8c shows the benefit value of $dao_1$ over time computed using the design-time approach [25]. In this scenario, the design-time approach incorrectly estimated that the value of $dao_1$ was going to increase over time. Our approach was able to discern at run-time that this was not really the case, as shown in Figure 8d. Even though the exponential benefit ascended from 0.3 to 0.7 until timestep 25, this was followed by a deterioration to an average of 0.4 (Figure 8d).
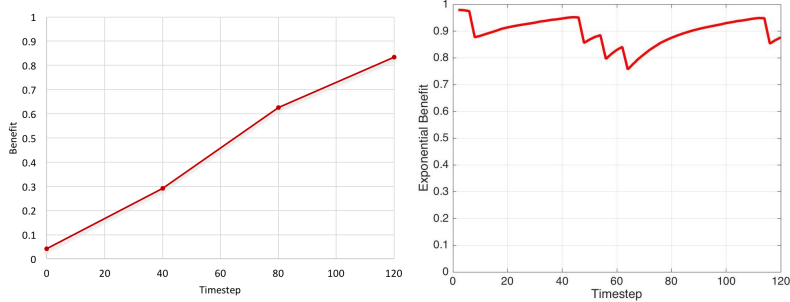
(a) Benefit for $dao_6$ (design-time)

(b) Exponential Benefit for $dao_6$ (run-time)
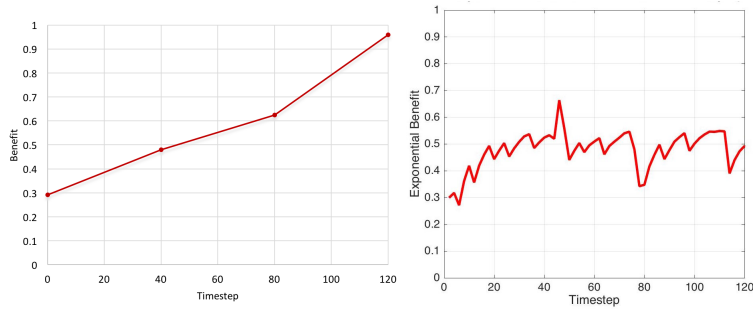


(c) Benefit for for $dao_1$ (design-time)

(d) Exponential Benefit for $dao_1$ (run-time)

Figure 8: The results of assessing design-time and run-time evaluation.

### RQ2: How can run-time evaluation determine changes in dao's value over time and inform subsequent decisions?

**Motivation:** This experiment aids the architect to evaluate the $DAO$ and suggest the ones with most balanced cost-benefit trade-offs using the informed-selection approach as compared with other approaches introduced earlier in Section 6. For that, we consider that when our approach detects a significant detrimental change, the software architect decides to implement the *dao* that our approach recommends as the one with the optimal trade-off between cost and benefit, based on *strict* quality constraints. This experiment will also aid the architect in refining the selection of design-time $DAO$ by checking their

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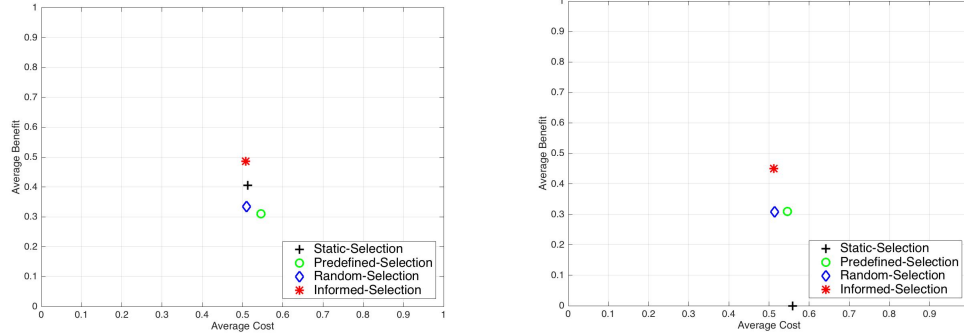
(a) Average Benefit and Cost for the best case scenario across 120 timesteps.

(b) Average Benefit and Cost for the worst case scenario across 120 timesteps.

Figure 9: The evaluation of the four approaches' decision-making process under strict quality constraints.

cost-benefit at run-time. It can also indicate which *DAO* were not performing well, and hence require phasing-out. Back to IoT context, the use of fog computing and cloud computing in mobile crowd sensing applications is highly affected by the QoS requirements. Consider a scenario where the stakeholders

835 require the *iTransport* application to quickly track the accident in city center, especially in rush hours. In this context, low response time and network usage is higher concern rather than energy consumption. Therefore, the ranking score (i.e. weights) for response time and network usage qualities are higher than energy consumption. Also the use of fog-cloud computing is advisable over cloud

840 computing. So object detector and tracker modules will be executed in the fog. We are uncertain about the network latency (due to dynamic traffic and variable load) and mobility of devices (nodes join/leave the network). This will cause instability, which may require a switch to another architecture option.

**Experimental setup:** The environmental conditions that keep changing at

845 run-time are network latency, camera latency and power load. These potentially affect the aggregated benefit, which is composed of application response time, energy consumption in devices and cloud, and network usage. Therefore,

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we might not get a linear effect from input to output – this is due to the use of aggregated exponential benefit, where the change detection and selection is based on it. Next, we will demonstrate strict quality constraint scenario to show whether the changes detected by the approach are aligned with the input environmental conditions. Consider the case where the architect can adjust the quality weights to reflect priorities from stakeholders. For example, when the application response time and network usage become a priority, energy consumption priorities can be downgraded. In our case study, we assume a $w_{RT}$ of 0.4 for response time, $w_{EC}$ of 0.2 for energy consumption, and $w_{NU}$ for network usage of 0.4. We also consider that the architect has constrained the application to handle the request in less than *350 ms* and network usage does not exceed *500KB*; whereas, the energy consumption should not exceed *130 MJ*. Historical performance and experts' judgment can inform the adjustment of the prior constraints [49, 11]. In this experiment, the focus is on the application's response time and network usage concerns. Nevertheless, the same experiment could be applied on other stakeholders' concerns, such as the ones discussed in Section 5.2. Figure 9 shows the average benefit and cost of the system as a whole across 120 timesteps, i.e., calculated based on the *DAO* currently being used for two scenarios: *best case* and *worst case*. We have also plotted the environmental changing conditions (the power load, network latency, and camera latency) for best case scenario, in Figure 10a and 10b, along with their impact on response time, network usage, and energy consumption.

**Analysis (best case scenario):** The design-time evaluation approach suggests the systems to start operation using the *dao* that are believed to provide the most balanced cost-benefit trade-off at run-time ($dao_6$). Different changes were observed after 5 timesteps (Figure 9a). The mobility of devices has caused a decrease in the overall benefit, due to highly changing response time causing a violation in response time constraint. The random-selection approach selects a random *dao*, which is not always the best. In addition, throughout the experiment, this approach suffered from too many switches (9 switches), causing instability and lowering the benefit to about 0.35. The pre-defined selection

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performs a bit worse than the random one; this is because the constraint was too strict for options recommended by that approach, causing various violations. The static-selection is the second best one; this is because the selection is geared towards selecting *dao* with better potentials for the selected scenarios. This strategy considers design-time knowledge, which can be challenged or confirmed by future runs of the system. The informed-selection approach provides the most appealing results compared with other approaches: this is because the informed-selection approach can continually recommend the *dao* with the most balanced cost-benefit trade-offs. For example, we observed that when the first change was triggered, the informed-selection figured out that the initially selected *dao* was still the optimal choice to keep. Yet, after 22 timesteps when another change was detected, $dao_4$ was recommended due to its large improvements for response time. However, this requires cost of leasing these services and maintaining their devices (switching cost).

By mapping the environmental conditions to the evaluation of $DAO$ based on the informed-selection approach (Figure 10a and 10b), the approach has detected significant deviations which are consistent with input environmental conditions. In particular, the change could be triggered either from high fluctuation and/or a deterioration in one/all of QoS. For instance, from timestep 1 to 5, we see an increase in the values of application response time and network usage, caused by a (smaller) increase in network and camera latency (Figure 10a). These result in a decrease in the exponential benefit, which is considered as significant when reaching timestep 5 (Figure 10c).

Since the approach is still building knowledge about the current *dao* and having highly dynamic changing conditions during the initial observation period, this caused a change detection at almost every consecutive 5 timesteps until timestep 27 (Figure 10). However, these only led to switches when another better *dao* was available (at timestep 22). This led to improvements in the exponential benefit of the proposed informed-selection approach over the following timesteps (Figure 10c).

Further, at $t = 46$ (Figure 10a), an increase in network camera latency has

caused a noticeable rise in application's response time and network usage, which accordingly resulted in the detection of a significant change in environmental conditions. However, the approach found that the current *dao* still provided the most balanced cost-benefit trade-off and hence the approach kept using this *dao* for the next 15 timesteps (though other change detections were triggered). After that, at $t = 61$, the approach detected a significant change (i.e. a high power load, network and camera latency) and has then selected another *dao* which provided the most balanced cost-benefit trade-off. After $t = 61$, the exponential benefit was just oscillating resulting in no significant changes (i.e. no changes were detected), as reflected by the exponential benefit (Figure 10c).

**Analysis (worst case scenario):** The design-time evaluation approach suggests the systems to start operation using the *dao* that turns out to provide the worst balanced cost-benefit trade-off at run-time (i.e. $dao_2$). In this respect, a replacement is advocated by our approach. Figure 9b shows that the most balanced trade-off between benefit and cost is achieved by the informed-selection approach, followed by the random- and predefined-selection approaches. Here, informed-selection achieved much higher benefit than other approaches. Since the response time constraint is violated from the beginning, the static-selection approach experiences a zero benefit. In this context, high application response time is not recommended, because this is a safety-critical application. From this experiment, $dao_1$ has never been recommended by the approach because of its low response time and high energy consumption, which may inform the architect to phase-out. For the best and worst case scenarios, the architect can use the run-time evaluation approach to visualize the cost-benefit trade-offs of the suggested $DAO$ over time (Figure 9) for informed-selection approach against other approaches. It can also show how the adoption of optimal $DAO$ provided an improved benefit over time (i.e. added values).
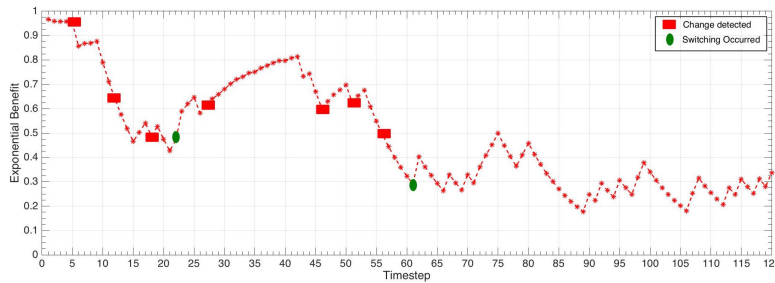
*6.2.*

**Motivation:** This experiment will answer the following: *How scalable is the proposed approach to larger numbers of dao?*. The scalability of the proposed
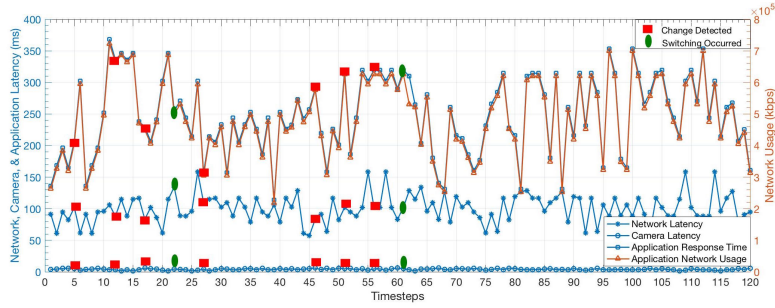
(a) The impact of network and camera latency on application's response time and network usage.
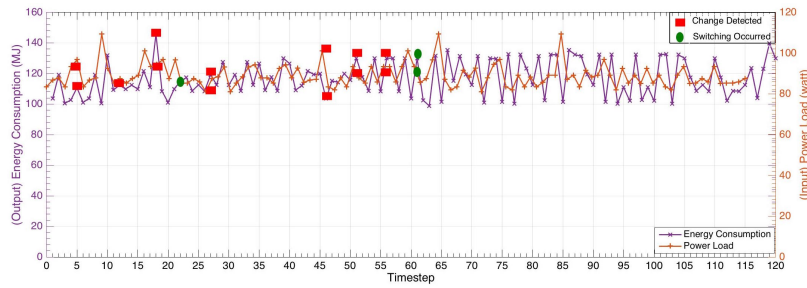


(b) The impact of power load on application's energy consumption.



(c) Exponential Benefit of informed-selection with change detection and switches.

Figure 10: An illustration of the input environmental conditions for the 120 timesteps including network latency, smart camera's latency, power load on the devices, change detection, and switching occurrence, as well as the output exponential benefit of informed-selection approach for strict quality constraints and prioritized ranking score for the best case scenario as an example. *The red squares represent change detections that did not lead to switching DAO, and green circles represent change detections followed by dao switching.*
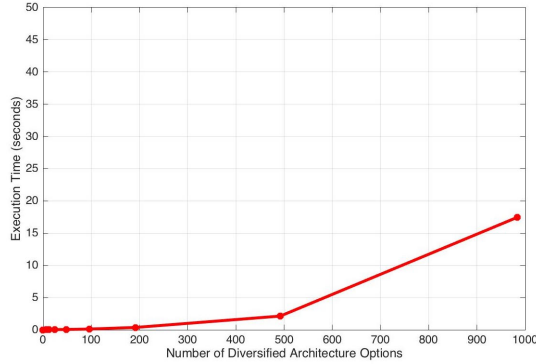
45

Figure 11: The execution time for large number of $DAO$ for every timestep.

approach (informed-selection) is an important indicator to show to what extent our run-time evaluation can be applied in practice. There is a trade-off between the candidate options and the execution time of the algorithm.

**Experimental setup:** In this experiment, the mean execution of the informed-selection algorithm is computed over 120 timesteps for varying number of $dao$, as illustrated in Figure 11.

**Analysis:** The informed-selection approach performs very well until reaching 500 $DAO$, it takes less than 3 seconds. This is applicable for safety-critical IoT applications, which in case a change is detected, the approach will be able to search for the optimal solution in few seconds. However, after 500 architecture options, the execution time starts to increase reaching 17 seconds for 1000 options. Therefore, for an application, which requires more than 1000 options, our approach will take further time for decision-making.

## 7. Further Analysis Of The Proposed Approach

This set of the experiments aims at evaluating the robustness and scalability of the proposed approach. It also aims at providing a better understanding of the influence of the stability parameters $(\theta, \alpha)$ on the proposed approach. This better understanding can guide software architects in the decision of which values to use for these parameters.

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## 7.1. Impact Of Frequency Of Monitoring

**Motivation:** The proposed approach depends on the possibility of either monitoring the $DAO$ that are not currently in-use, or using a simulator to get an idea of their likely behavior. If we opt for monitoring the $DAO$ that are not currently being used, this will lead to an overhead in terms of time taken for the informed-selection approach to do the analysis. Therefore, one may opt for not monitoring them very often. Practically, its hard to monitor the architectural options (e.g. virtual sensors, physical sensors) every timestep, due to their availability. There is a trade-off between the execution time and % error, which we aim to measure in this experiment. To this regard, this could guide the architect on deciding the monitoring intervals. In this context, this experiment aims to provide answers for the following question: *What is the impact of the frequency of monitoring on the accuracy of the approach?*.

**Experimental setup:** For that, we use an error metric *% Relative Error* to measure the error in decision-making between monitoring every T' intervals and every timestep. In this context, we will measure the error *for each dao separately* and *mean exponential benefit for the whole process.* For the former, the $\%RelativeError = \left| \frac{Actual\mu_{dao_i}(t) - Monitored\mu_{dao_i}(t)}{Actual\mu_{dao_i}(t)} \right| * 100$. The actual exponential benefit is the one monitored every timestep till T', where $T' = \{5, 10, 20, 30, 40, 50, 60, 70, 80\}$. The monitored exponential benefit is the one monitored every T' intervals. For example, if T'=5, then from t=1 to 4, the exponential benefit will be the same and then at t=5, it is updated. Figure 12 depicts the % relative error versus T' intervals for each *dao*. As for the *mean exponential benefit for the whole process*, the $\%RelativeError = \left| \frac{ActualMean\mu - MonitoredMean\mu}{ActualMean\mu} \right| * 100$. The actual mean exponential benefit is the average benefit if monitoring occurs at every timestep, so it is constant for all. The monitored mean exponential benefit is the average benefit if monitoring occurs at every T' intervals. We consider the error in the whole process to evaluate how much worse the mean benefit (based on informed-selection approach) would be if monitoring happened every T' intervals rather than every timestep. This includes the monitoring, change detection, and decision-making processes.

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We have developed two scenarios: *best case* (Case 1 and 3), where the optimal *dao* is initially chosen; *worst case* (Case 2 and 4), where the worst *dao* is initially selected. This is illustrated in Figure 13, where each point in the plot has 3 coordinates (X: execution time; Y: monitoring interval; Z: % Error)

**Analysis (for each *dao*):** In Figure 12, the relative error for $dao_2$ and $dao_3$ ranges from 0-30%. This is due to the low fluctuation in these options. This in turn lowers their impact on the accuracy. However, $dao_1$ and $dao_5$ violated the constraint at $t = 1$, which resulted in an error of about 70%. This is because $dao_1$ and $dao_5$ had good exponential benefit after the first timestep (i.e. not violating constraint), but the monitoring was based on the first timestep only.

**Analysis (for whole process):** Case 3 presents the smallest error (Figure 13c), which was about 0.27%. The error was small because the initially selected *dao* was optimal and fluctuating less than the other $DAO$. As a result, the informed-selection approach managed to keep using it for different monitoring intervals (i.e. no switching). In this context, the architect could use higher monitoring intervals to save execution time. This is due to the decrease in execution time from 0.45 seconds (T' = 5) to 0.22 seconds (T'= 50).

Further, Case 1 experienced 10% increase in error (constant for all intervals), as shown in Figure 13a. This rise is due to the fact that when monitoring happened at every timestep, three switches were recommended by our approach. However, when the monitoring interval increased, the approach had quite outdated information about the current *dao*. Therefore, it did not advocate any switches, which resulted in slight degradation in benefit. To this regard, if time is the concern, the architect could choose higher monitoring intervals to benefit from lower overhead in terms of time and cost.

On the contrary, the selection of the worst *dao* in cases 2 and 4 caused a significant rise of 65% in relative error (Figure 13b, 13d), because the approach had outdated knowledge about the initial *dao*. To exemplify in case 2 at $T' = 50$, the approach updates the current benefit with respect to the first timestep. Therefore, for the first 50 timesteps the benefit was very low and a switch was recommended after $T' = 50$. On the other hand, if monitoring occurred at
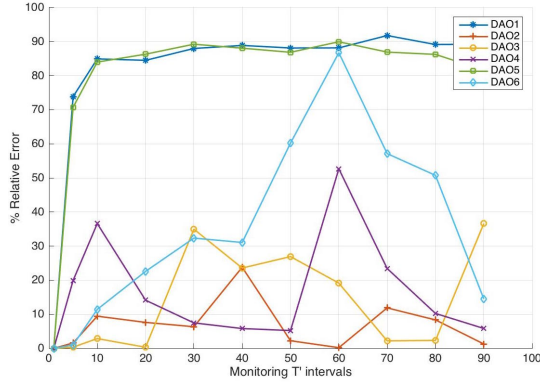
48

Figure 12: The % Relative Error of monitoring every T' intervals for each *dao*.

every timestep, then the approach will advocate switching after 5 timesteps to an optimal *dao*. This explains the rise in the relative error to 40%. For the latter scenarios, it is recommended for the architect to use lower monitoring intervals with higher overhead, rather than experiencing a reduction in benefit. To this end, our approach could aid the architect in tuning the monitoring interval with respect to execution time overhead and relative error.

*7.2. Robustness To Noise*

**Motivation:** An alternative to monitoring each *dao* at regular $T'$ intervals would be to use a simulator to monitor the likely benefit of the $DAO$ that are not currently in-use. However, simulators are likely to produce noisy quality indicators, which differ from the actual qualities that these $DAO$ would have if they were currently being used. In order to evaluate the impact of the noise potentially produced by simulators, we investigate how the proposed approach reacts to different levels of noise i.e. *To what extent the informed-selection system can deal with noise data as compared with other systems?*.

**Experimental setup:** In this experiment, we have generated *Gaussian Noise* [50] on the QoS data. A given quality attribute $q'_{dao_i}(t)$ is replaced by a value drawn from a Gaussian distribution $\mathcal{N}(q'_{dao_i}(t), s)$, where $q'_{dao_i}(t)$ is the mean and $s = \{0.05(low), 0.1(mid), 0.5(high)\}$ is the standard deviation. The
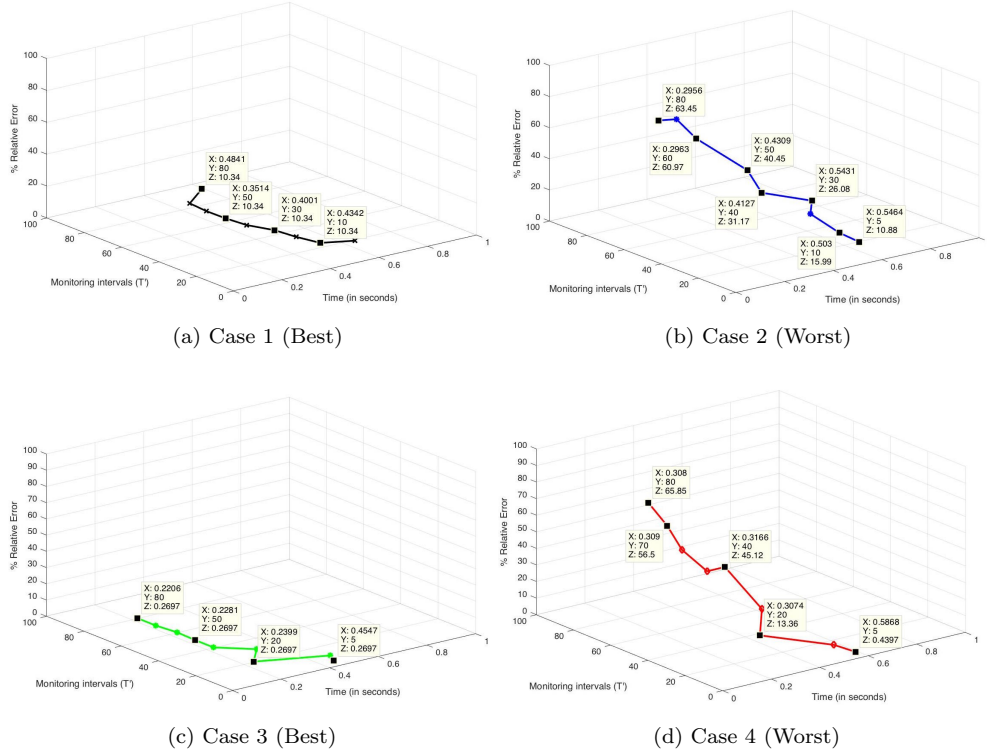
49

(a) Case 1 (Best)

(b) Case 2 (Worst)

(c) Case 3 (Best)

(d) Case 4 (Worst)

Figure 13: The % relative error and execution time of monitoring every T' intervals for four scenarios.

1040 smaller/larger $s$ represent the cases where there is less/more noise. This reflects the cases in which simulators are more/less reliable. It enables us to check how robust the approach is to wrong measurements provided by a simulator. In particular, we expect the proposed approach to be affected by such erroneous quality information, but to quickly react and recover from it if a poor switch 1045 occurs. This is because, once the switch occurs, the true benefit of the *dao* can be determined. If this benefit is worse than expected, a change will be detected, leading to a switch to another potentially better *dao*. The results are based on the average of 30 runs, due to the randomness of noise (the choice of 30 runs is recommended by [48]). In this experiment, we have compared the proposed 1050 approach against the state-of-the-art and baseline approaches introduced in Sec-

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tion 6. For this experiment, the design-time evaluation approach suggests the systems to start operation using the *dao* that are believed to provide the worst cost-benefit trade-off at run-time ($dao_2$). This choice is advocated to show how our approach can deal with different noise levels, in even worst case scenarios.

Figure 14a, 14b, and 14c show the exponential benefit of four approaches for the selected $DAO$ across 120 timesteps. Whereas, Figure 14d, 14e, and 14f depict the mean exponential benefit and cost of four approaches for the selected $DAO$ across 120 timesteps.

**Analysis:** As clearly illustrated in Figure 14, the informed-selection approach has managed to select optimal options, although by introducing higher noise levels, the choice could have become much worse. Followed by the random-selection, which benefited from the change detection test. However, it selected $DAO$, which were not always the best. The static-selection and predefined-selection approaches are based on design-time knowledge, thus they are not affected by noise. However, the static-selection produced zero benefit across 120 timesteps, due constraints' violation. The predefined-selection was highly fluctuating because of constraints' violation in some timesteps. Therefore, the informed-selection approach produced the best results overall (Figure 14d, 14e, and 14f). Further, the informed-selection has quickly recovered from wrong choices due to noise. For instance in Figure 14c, $dao_2$ was initially selected for deployment (in one of the runs), which turned out to be the worst *dao*. After 5 timesteps, the approach detected deterioration in exponential benefit, and hence recommended $dao_4$ to switch to. Ten timesteps later, another change is triggered and the informed-selection chose $dao_5$ to replace the current *dao*. We have found that $dao_5$ was not the optimal one and $dao_6$ seemed to be better (i.e. this choice was affected by noise). Though, the informed-selection approach has quickly recovered from the poor switch and suggested $dao_6$ after 5 timesteps. To this extent, our approach managed to self-repair from incorrect choices (due to varying noise levels).
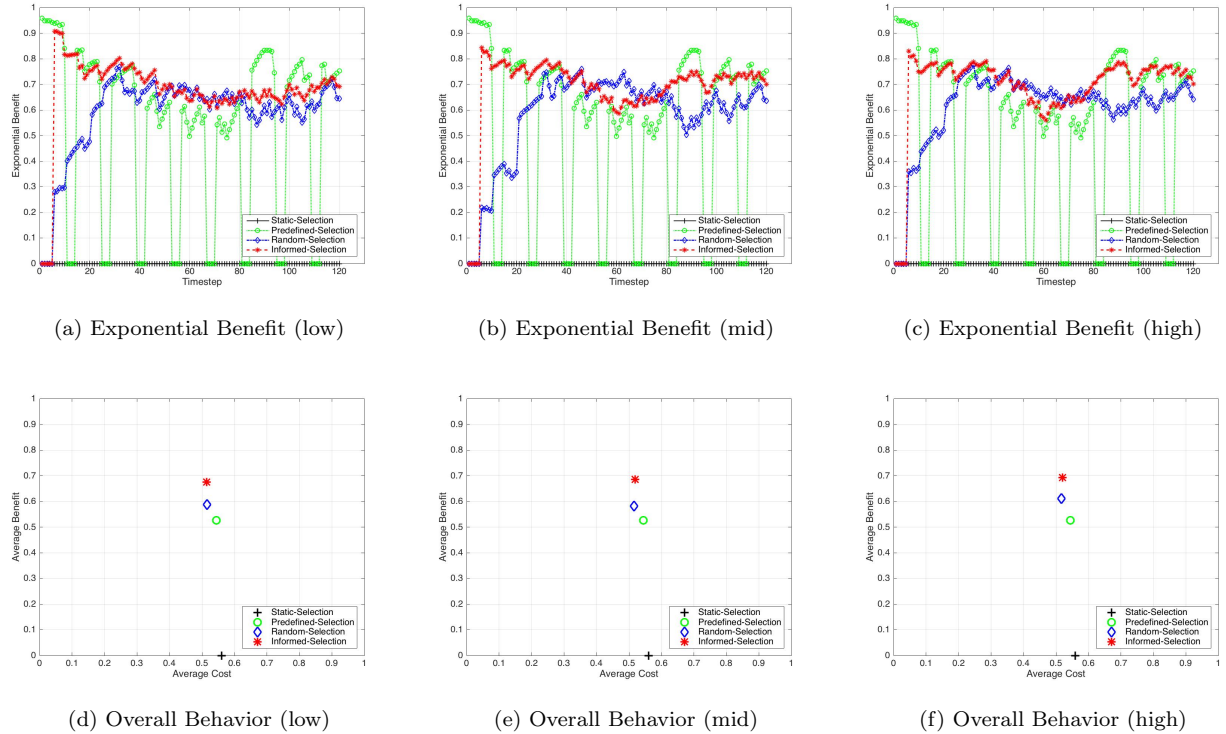
(a) Exponential Benefit (low)  (b) Exponential Benefit (mid)  (c) Exponential Benefit (high)

(d) Overall Behavior (low)  (e) Overall Behavior (mid)  (f) Overall Behavior (high)

Figure 14: The behavior of all the approaches after the application of varying noise levels on the data.

## 7.3. Impact Of The Parameter $\theta$ On The System's Stability

**Motivation:** A low $\theta$ value corresponds to a greater emphasis on the most recent observations of benefit and swifter adaptation to changes in the benefit. However, very low values can lead to unstable quantification of benefit, causing too much switching over time. A high value (e.g., larger than 0.95) places more importance to the past observations of benefit, leading to more stability, but potentially failing to track changes in benefit. This experiment will answer the following: *What is the impact of the parameter $\theta$ on the system's stability?.* In this context, this experiment is performed to indicate the most applicable value for the relative importance under typical environment settings.

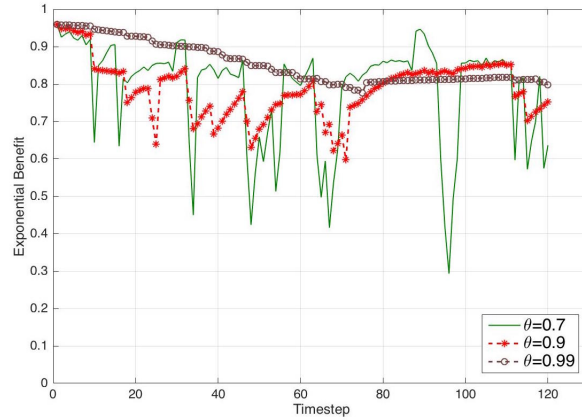**Experimental setup:** This experiment considers the values of $\theta \in \{0.7, 0.9, 0.99\}$.

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Figure 15: Exponential Benefit of the informed-selection approach using different values for the stability parameter $\theta$.

In this experiment, we started with $dao_6$, which is advocated by the architect to be the optimal $dao$ for the normal quality constraints as depicted in Table 3. If a change is detected, the approach recommends another $dao$ to be implemented. In this context, the exponential benefit of the selected $DAO$ by the approach over 120 timesteps is computed and plotted in Figure 15.

**Analysis:** As seen in Figure 15, $\theta = 0.7$ leads to highly fluctuating benefit over time, which may cause the system to recommend a lot of switches throughout time, as compared with $\theta = 0.9$ (the moderate fluctuation) and $\theta = 0.99$ (too stable, which may be not be realistic). For instance, $\theta = \{0.7, 0.9, 0.99\}$ has recommended the following number of switches $\{13, 4, 1\}$, respectively. Therefore, based on this experiment, we recommend for the architect to evaluate the four approaches for the next experiments, with respect to $\theta = 0.9$, as it indicates the most realistic forgetting factor.

*7.4. Impact Of The Parameter $\alpha$ On The System's Stability*

**Motivation:** The confidence interval is an interval with two boundaries ($\alpha_1$ and $\alpha_2$), where the architect is not confident about the exponential benefit values outside this interval. In other words, if the current exponential benefit $\mu_{dao_i}(t)$ is outside the left boundary of the confidence interval, this is an indicator that

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the current option is getting worse and requires replacement. For instance, If
a system is highly sensitive to changes, then we can enlarge the $\alpha$ values used
($\alpha \geq 95\%$), whereas for the opposite case ($\alpha \leq 92\%$) is more applicable and
so forth. Based on that, the architect can learn what $\alpha$ values to use and for
which scenarios. In order to adjust the $\alpha$ values, there is a trade-off between the
number of switches and overall benefit (i.e. stability versus improved benefit),
which will be measured in this experiment. To this end, the experiment aims at
answering the following: *What is the impact of the parameter $\alpha$ on the system's
stability?*.

**Experimental setup:** We have developed two cases for analysis: *case 1* where
the best *dao* changed over time, which caused several switches; *case 2:* the
best *dao* performed well over time, resulting in no switches. This experiment
considers the values of $\alpha_1, \alpha_2 \in \{80, 92\%\}; \{90, 95\%\}; \{99, 99.9\%\}$. Figure 16
shows the impact of varying $\alpha$ values on the approach's stability, whereas Table 4
summarizes the corresponding number of change detection and switches. Figure
16a, 16d show the exponential benefit of $DAO$ recommended by the informed-
selection approach, whereas Figure 16b, 16e depict their corresponding cost.
The mean exponential benefit and cost of 120 timesteps for varying $\alpha$ values are
depicted in Figure 16c, 16f to show the overall behavior of four approaches.

**Analysis (case 1):** The use of low confidence interval $\{80,92\%\}$ caused higher
change detection than other confidence intervals. This is due to the detection
of unnecessary changes. So in a case where the $DAO$ are highly changing, this
caused higher number of switches (7), as depicted in Table 4 and Figure 16a. A
high confidence interval $\{99,99.9\%\}$ can lead to neglecting significant changes,
because the system is confident enough about the data. There is a trade-off
between the number of switches and improvement in benefit. For case 1, the
informed-selection recommended 3 additional switches (when $\alpha = \{80, 92\%\}$
over $\alpha = \{99, 99.9\%\}$), with 5% increase in exponential benefit and 0.5% increase
in cost over $\{99,99.9\%\}$. Although $\{95,99\%\}$ and $\{99,99.9\%\}$ advocated the
same number of switches, yet $\{95,99\%\}$ produced a 3.6% increase in exponential
benefit and 1% increase in cost over $\{99,99.9\%\}$. This is because $\{99,99.9\%\}$

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Table 4: The number of change detection and switching for informed-selection approach for varying $\alpha$ values.

| Parameter/Case | 1 | 2 |
|---|---|---|
| # of switches($\alpha_1 = 80\%, \alpha_2 = 92\%$) | 7 | 0 |
| # of detection ($\alpha_1 = 80\%, \alpha_2 = 92\%$) | 13 | 9 |
| # of switches($\alpha_1 = 95\%, \alpha_2 = 99\%$) | 4 | 0 |
| # of detection ($\alpha_1 = 95\%, \alpha_2 = 99\%$) | 10 | 0 |
| # of switches($\alpha_1 = 99\%, \alpha_2 = 99.9\%$ ) | 4 | 0 |
| # of detection ($\alpha_1 = 99\%, \alpha_2 = 99.9\%$ ) | 8 | 0 |

1140 neglected significant changes, as stated before.

**Analysis (case 2):** Though for {80,92%} the informed-selection detected 9 changes (Table 4), yet it managed to continue with the optimal option without any recommendation for switching. This is because it has found that there is no other *dao* better than the current one. Therefore, the informed-selection 1145 approach has a safety mechanism, which recommends a switch only if there is another better *dao*, otherwise it will keep using the same *dao*. Further, no change was detected for {95-99%} and {99-99.9%}, this explains why all the confidence intervals produced the same overall behavior as seen in Figure 16f. Besides, the low confidence interval also caused higher overhead in terms of searching for 1150 another optimal option (informed-selection). In all cases, the informed-selection approach managed to recommend the optimal *dao* in terms of balancing between cost and benefit over time. To this regard, a confidence interval of {95-99%} is more applicable in most of the cases, because it detects significant changes and neglect unnecessary ones. We recommend the architect to use {95-99%} in the 1155 evaluation of the four approaches for the next experiments.

**8.**

**Motivation:** Design diversification has the potential to mitigate risks and improve the dependability in design in situation exhibiting uncertainty in opera-
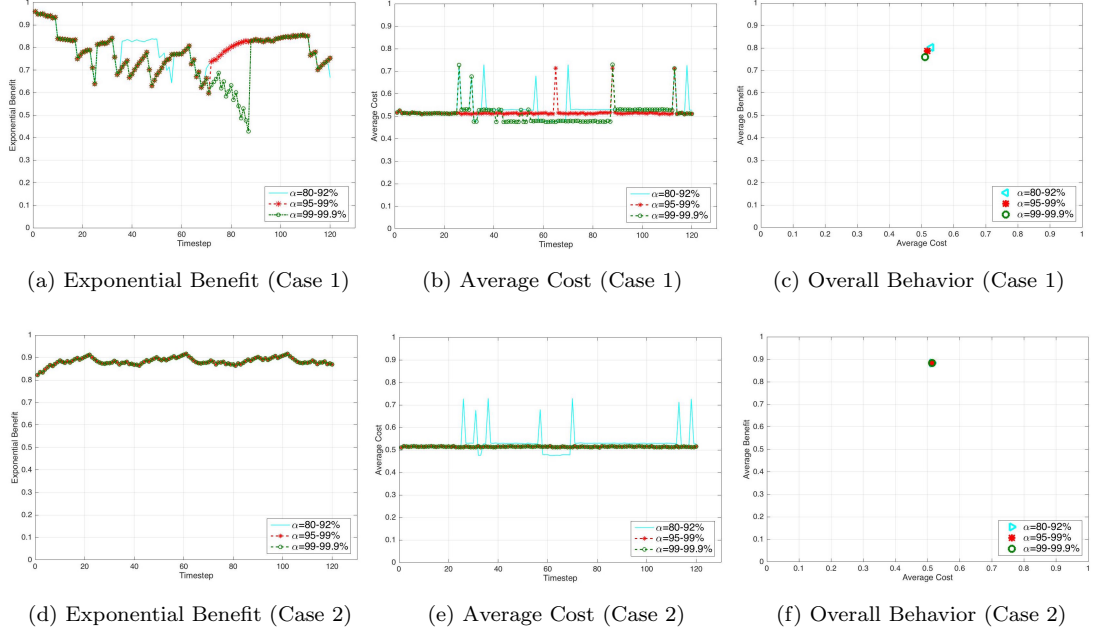
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(a) Exponential Benefit (Case 1)   (b) Average Cost (Case 1)   (c) Overall Behavior (Case 1)

(d) Exponential Benefit (Case 2)   (e) Average Cost (Case 2)   (f) Overall Behavior (Case 2)

Figure 16: The impact of varying $\alpha$ values on the informed-selection approach.

tion and usage [29, 9]. Design diversity has raised the potential awareness to

apply diversification in the decision-making process, which in turn may cause a noticeable improvement in the way we design dependable and evolvable software. However, do diversification continuously deliver value over time? In this experiment, we aim to evaluate the added value of diversification to the decision-making process.

**Experimental setup:** In this experiment, we are trying to show whether the inclusion of new $DAO$ will benefit the decision-making. To demonstrate that, we have tested our approach with respect to two cases: (1) Add new six $DAO$ where the approach benefits from them and a noticeable improvement in the overall behavior occurred (Case 1); (2) Add new six $DAO$ where the approach does not benefit from them (Case 2). We used the original six $DAO$ recommended by the approach (Table 2) and created new six $DAO$ adhering to the same topology of original six $DAO$, but with different QoS fluctuations to generate Cases 1 and

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2.

For Case 1, we have randomly generated their QoS over time using the mean
of original $DAO$ and fluctuation of $5\% - 25\%$ for response time, $0\% - 15\%$ for
energy consumption, and $20\% - 29\%$ for network usage. As for Case 2, the
QoS fluctuation is $30\% - 40\%$ for response time, $25 - 40\%$ energy consumption,
and $30\% - 40\%$ for network usage. These volatility values were chosen with
respect to [51, 52]. In Case 1, the low fluctuation has resulted in additional
$DAO$ with improved aggregated benefit, whereas the newly created $DAO$ in
Case 2 has generated $DAO$ with highly fluctuating benefit (i.e. seems good at
the beginning but then turns out to be worse after being selected).

**Analysis:** In *Case 1*, we have added 6 $DAO$, which seemed to add value
to the decision-making process. The overall average benefit and cost for the
informed-selection approach was better than the other approaches (Figure 17g,
17h), with noticeable rise in exponential benefit over time (Figure 17a, 17b),
and slight decrease in cost (Figure 17d, 17e). This is because the informed-
selection approach has benefited from the inclusion of new $DAO$, by providing
more stable behavior in terms of benefit. For instance, after 10 timesteps the
approach has detected a significant change in current option (i.e. $dao_6$). It
has then selected $dao_{12}$ instead, which seemed to provide more stable benefit
(Figure 17b) with almost similar cost (Figure 17e) to the one chosen in default
case (i.e. 6 $DAO$ instead of 12 $DAO$).

In *Case 2*, the additional 6 $DAO$ were highly fluctuating over time, which ex-
plains the slight degradation in benefit (Figure 17c) as compared to the original
6 $DAO$ only (Figure 17a). However, the addition of new options has introduced
further instability to the random-selection approach by selecting the worse $DAO$
(4 more switches than the original case), as depicted in Table 5. For example,
after 5 timesteps, the approach has detected a significant deviation in current
option (i.e. $dao_6$). After that, it chose $dao_{11}$, which provided the most balanced
trade-off between benefit and cost. The exponential benefit of $dao_{11}$ was in-
creasing, which explains why the approach did not detect any change. However,
this has caused the application to not benefit that much from diversification.

Table 5: The evaluation of embedding diversification to the architecture with respect to the number of change detection and switches.

| Parameter/Approach | Static -selection | Predefined -selection | Random -selection | Informed -selection |
|---|---|---|---|---|
| # of switches (6 $DAO$) | 0 | 8 | 8 | 4 |
| # of detection (6 $DAO$) | 0 | 0 | 8 | 10 |
| # of switches (12 $DAO$) [Case 1] | 0 | 8 | 10 | 3 |
| # of detection (12 $DAO$)[Case 1] | 0 | 0 | 10 | 4 |
| # of switches (12 $DAO$) [Case 2] | 0 | 8 | 12 | 4 |
| # of detection (12 $DAO$)[Case 2] | 0 | 0 | 12 | 5 |

At $t = 71$, the approach detected a change and till $t = 120$, it has chosen similar $DAO$ to the default case.

To this regard, the proposed approach successfully showed that diversification was helpful in Case 1, and was not helpful in Case 2. This concludes that diversification will not always add value and run-time evaluation could aid in assessing the worthiness of this exercise.

## 9. Discussion And Threats To Validity

In this section, we will discuss the usefulness and applicability of proposed approach with respect to experiments introduced in Section 6-7 and threats to validity.

### 9.1. Discussion

Our approach allows reasoning about value added under uncertainty, facilitated by the use of reinforcement learning to profile the fitness values of options rather than the traditional (static) predictions of design-time decisions. The exponential decay factor provides architects with a visual demonstration of the benefit of options over time and aids them in complementing design-time decisions (Figure 8). Our approach also alerts architects of significant detrimental

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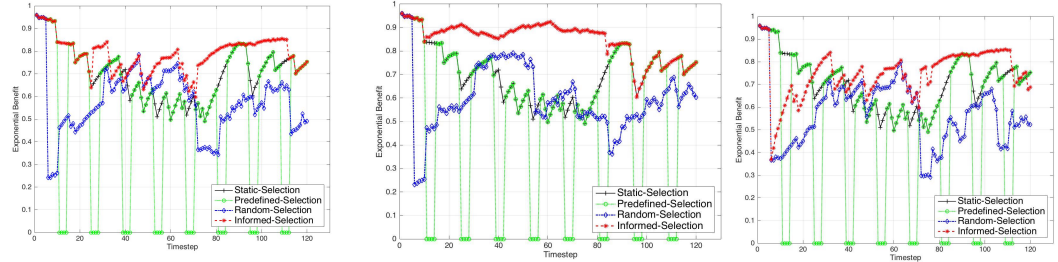
(a) Exponential Benefit (Default Case)    (b) Exponential Benefit (Case 1)    (c) Exponential Benefit (Case 2)
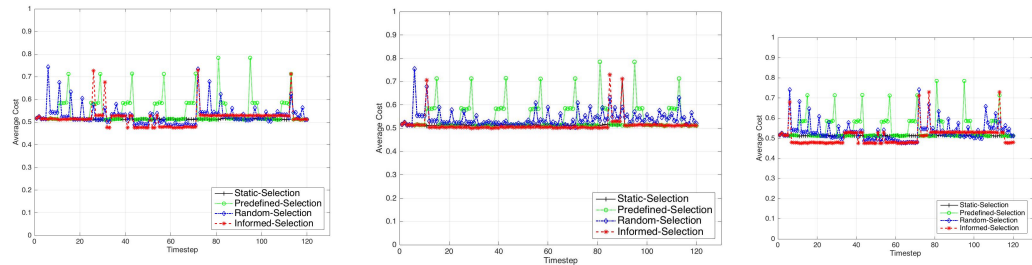
(d) Average Cost (Default Case)    (e) Average Cost (Case 1)    (f) Average Cost (Case 2)
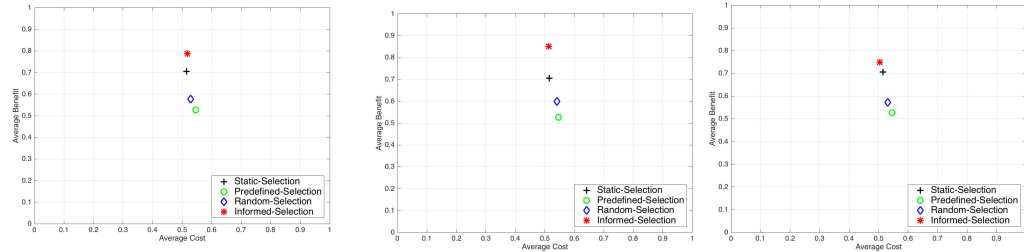
(g) Overall Behavior (Default Case)    (h) Overall Behavior (Case 1)    (i) Overall Behavior (Case 2)

Figure 17: The evaluation of embedding diversification to the architecture on the decision-making (positive impact (Case 1) and negative impact (Case 2)) as compared to the default case (6 original *DAO* in Table 2).

changes in the benefit of the option being employed, and highlights which of the candidate options provides the optimal cost-benefit trade-off when changes occur for normal and strict constraints (Figure 9). This could assist architects in the process of eliminating options with poor balance between benefits and costs over time. For instance, in the best and worst case scenarios in the exper-

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iment addressing **RQ2**, we found that one out of six options could potentially be eliminated because it was always worse than the others (i.e. the cloud-based option).

There is a trade-off between monitoring the architecture options at every ₁₂₃₀ timestep and every T' intervals; our approach was able to show this trade-off to the architect (Figure 12, 13). Our approach is also robust when dealing with noise (Figure 14) and scalable to be applied in practical settings (Figure 11). Further, the exponential decay factor that we use weights recent values more heavily, which yields more practical results (Figure 15). The approach ₁₂₃₅ uses the confidence interval to automatically tune the sensitivity of the approach to changes, which improves the system's stability (Figure 16). In sum, our approach allows the architect to determine the added value of embedding diversification in the architecture (Figure 17).

Architecture design decisions could be *static* or *dynamic* in nature. Several ₁₂₄₀ structural design decisions are static in nature; this implies that these decisions can be expensive to change and cannot be altered very frequently at run-time. Henceforth, the architect should evaluate them cautiously at design-time. Example of these decisions include network-related decisions such as the physical connectivity between devices (e.g. how data bits are moving in/out of the IoT ₁₂₄₅ device), logical connectivity (e.g. what protocols the software uses to transport these bits, such as MQTT), and also the network topology. These decisions are affected by the expected incoming data volumes, cost, memory requirements, *etc.* Therefore, they are quite difficult to change.

However, there are other decisions, which are dynamic in nature and could be ₁₂₅₀ customized at run-time (e.g., predefined decisions that could be tailored to fit the run-time context; strategies and tactics to address behavioural requirements). For instance, different deployment strategies, such as the use of cloud, fog-cloud, *etc*, are an example of a decision that can be best evaluated dynamically. When deemed to be necessary, diversification was also employed to provide ₁₂₅₅ "malleability" to alter the structure through inclusion of limited number of tactics that can better meet the behavioural requirements. In this context, our

work is particularly interested in investigating and evaluating dynamic design decisions.

We have found that the pricing in most of IoT service providers (e.g. amazon) is based on the following [41]: (1) Fixed charge to reserve the required resources for a particular time; (2) Pay-per-use, where the consumer pays for CPU per hour or per GB/TB of data; and (3) Auction-based, where a consumer could book resources if s/he pays the highest price for these resources. For these types of pricing methodologies, a noticeable reduction in the price volatility of resources has begun from December 2017 [53]. In this context, when the price volatility is so low, the use of exponential decay factor for cost is not necessary. Therefore, we focused on providing a continuous measure for the benefit of each *dao* (i.e. exponential benefit). We plan to investigate cases where price volatility might be higher (using exponential decay for the cost) as future work.

Further, architects of self-adaptive systems can use our method to systematically evaluate the adaptive design decisions, justify their inclusion, and model their potential behavior at run-time and before the system is deployed in the next release cycle. It can also value and profile the overall behavior and cost-benefit of these decisions over time as the software evolves, which could aid in determining which options could be best deployed at run-time. Cloud-based architectures, which are essentially based on service-oriented architectures, can use our approach to justify the choice of abstract architecture model and its possible concrete instantiations over different releases. Inputs from the evaluation can help architects in refining the abstract model; adjust, limit or rethink modes for dynamic composition of concrete ones prior to future deployments.

This approach is also generic so it could be integrated with any of existing tools for better informed evaluation. For instance, an application built using AWS IoT and Greengrass suites [41] could benefit from our approach in the context of evaluating the benefit of each option at run-time using the CloudWatch monitoring tool. It could aid the architect on deciding and planning which *dao* to currently use. The actual execution of the chosen *dao* is outside the scope of our approach. Instead, our contribution is in providing a framework for

systematic support, informing the design and evaluation of IoT architectures.

The current approach accumulates the run-time knowledge of the benefit
of the architecture decisions. It then assumes that the best *dao* to switch to
is the one which has recently been obtaining the most balanced cost-benefit.
This is effective in some contexts, e.g., when the deployed architecture option
is not violating the QoS constraints that much, or when its performance level
is not significantly changing over time. However, in other contexts, this type
of learning may suggest wrong decisions and recommend unnecessary switches
due to the lack of knowledge about the future benefit of candidate architecture
decisions. Taking into account the future potential of diversified architecture
option may provide a more informative evaluation. This calls for extending
the following run-time approach with additional machine learning algorithms
to anticipate the benefit of architecture decisions over time. The validity of
these algorithms and effectiveness of the decision-making process are subject
for future work.

*9.2. Threats To Validity*

**Threats to Internal Validity** are concerned with the impact of evaluation
parameters on the proposed approach. For that, we have analyzed our approach
with varying input parameters (e.g. the relative importance of present/past,
the confidence interval, *etc*) to ensure acceptable accuracy and stability. On the
contrary, the values of these parameters might vary depending on some char-
acteristics (e.g., the environmental conditions and more complex dependencies
between architecture decisions), which could be investigated for future research.
Further, one of threats to internal validity that the real environment may differ
from the simulated data due to uncertainties at run-time. Therefore, we have
tested the sensitivity of approach to noise, to check how well our proposed ap-
proach can handle this issue. We have also demonstrated the applicability of
our approach to monitor the environment at every T' intervals if the real-time
evaluation was expensive and the architects did not wish to use simulation.

**Threats to External Validity** are linked to the run-time data synthesis

and analysis used in the experiments. In particular, the notion of run-time evaluation is meant to contextualize dynamic and behavioural evaluation; such evaluation needs to be conducted by monitoring a running system; analyzing historic data from a running system or using a simulated environment that mimic the behaviour of the running system to perform stress and what-if analysis for the performance of the architecture design decisions under changing environment and/or extreme scenarios. The results of such evaluation are time-dependent in non-stationary environments as it is the norm for systems such as IoT. Evaluation that is based on simulation can still be considered as design-time if the evaluation is performed at design-time and before deployment. However, we also see potentials for the same simulated approach to work in parallel with the running system, with symbiotic feedback between the simulator and the running system to perform anticipatory evaluation of key design decisions and their possible variants based on the run-time context, which may be difficult without the aid of simulation. Additionally, there will always be a trade-off between using the simulators and physical IoT devices in experimentation and data generation. This is due to the high cost of the actual deployment of IoT devices as compared to simulators. However, some companies, such as Amazon, IBM, and Intel, are motivating the need for having IoT simulation instrumenting what-if test scenarios, typically used during the architecture analysis and refinement stages to evaluate the response and sensitivity of the architecture to these tests. To generalize, our approach can steer the evaluation process using simulated data; partially simulated data and/or using monitored run-time data. The simulation can be used to simulate what-if an option would be deployed. This is particularly useful if the architect would like to solicit an early assessment on the option, where the cost of deployment would be expensive and the outcome can not be verified with high confidence. Simulation can be also used to simulate the performance of some design decisions under worst and stress scenarios. Henceforth, simulation can be cost-effective strategy to first assess the performance and then adapt, if the option deems to be sensible. Further, transfer learning methodology [54] has been recently adopted in [55], where the

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QoS measurements are taken from a simulator and only a few samples are taken from the real system leading to much lower cost and faster learning. In this context, the approach could learn from both simulated and run-time data, which is subject for future work.

A major challenge in mobile crowdsensing is the resource constraint of the mobile devices. This can constrain the processing which can be done on the devices forming the *dao* in our approach. On the other hand, mobile devices that are not constrained in resources can have a high energy consumption to be able to perform on-device computations. High energy consumption is therefore another challenge to our approach. However, the new mobile devices are designed to handle processing tasks with the acceptable energy consumption.

The IoT environment is highly dynamic and characterized by hyper-connectivity, due to high refresh rates and continuous upgrades. More specifically, it is expected that nodes can leave and join; nodes can be subject to upgrades and replacements; some nodes could be replaced by inferior ones; some new nodes can share common characteristics with its predecessors, offering enhancements for some qualities. Though it would be difficult for the approach to predict all possible types of IoT devices and versioning that can be encountered in real settings, our approach assumes the evaluation of reference architectures for this setting, where commonalities and variabilities are analyzed as part of the diversification procedure for incepting and evaluating the diversified architecture options.

## 10. Related Work

One definition of software architecture is "the set of principal design decisions made about the system" [42]. Architecture evaluation is a milestone in the decision-making process. Evaluation is intended to assess the extent to which the architecture design decisions meet the quality requirements and their trade-offs. The evaluation can aid in early identification and mitigation of design risks; the exercise is intended to save integration, testing and evolution costs

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[56]. A common issue in architecture evaluation is the presence of uncertainty. In architecture evaluation and decision-making, uncertainty is the lack of complete knowledge about the outcomes of deploying the architecture options [57]. For instance, the architects may be uncertain about the effect of a proposed architecture on benefit (e.g. performance, availability, etc) and cost. Uncertainty may also arise due to unpredictable situations in dynamic applications, such as IoT. For instance, sensor aging effects, varying internet connectivity and mobility of sensors, fluctuations in QoS and so forth [3, 58, 59, 2]. To this extent, we need an overview of current architecture evaluation approaches and how do they manage uncertainty and other related aspects.

We first highlight on the methods which addressed architecture evaluation from the design-time perspective. The CBAM [4] is a static method for the economic modeling of architecture decisions, which builds on the ATAM [5]. It determines the costs and benefits of different architecture candidates. There are other design-time methods, which use probabilistic distributions (e.g. [60, 46]), mathematical models (e.g. [61, 62]), and options theory (e.g. [44, 25]) to select the optimal architecture at early stages of architecting under uncertainty. More specifically, they rely on expert judgment in the evaluation. Most of the classical design-time approaches do not support adaptivity and automation, whereas our approach takes inspiration from self-adaptive systems to support that. We acknowledge that these approaches are fundamental for constructing the "suitable" architecture. However, the increase in uncertainty and dynamicity in environments such as IoT calls for a systematic method to complement design-time evaluations.

The software engineering and architecture state-of-art and -practice have witnessed increased reliance on solutions that embrace uncertainty in operations through engineering self-adaptivity [63] and autonomic management [64]. In particular, some approaches adopt utility functions while others leverage machine learning approaches to improve the decision-making. We examine some representative approaches in light of our approach. Utility functions have been used at run-time for self-adaptive and self-managed systems (e.g.

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[65, 66, 67, 68, 69, 70, 71]). For example, Heaven et al. [66] reported on an approach tailored for self-managed software systems. The approach provides the following features: high-level task planning, architectural configuration and reconfiguration, and component-based control. The approach uses weighted utility functions to represent the quality attributes and determine the total utility of configurations by taking into account reliability and performance concerns. Esfani et al. [70] proposed an approach that elicits from stakeholders their belief towards uncertainty with respect to quality attributes, such as network bandwidth. In particular, the stakeholders provide an estimate for the range of uncertainty with respect to the expected level of input variation. The approach also quantifies the uncertainty through profiling by comparing the actual values with estimates from stakeholders and hence provide probability distributions for the variation in data collection. After that the overall uncertainty is computed using fuzzy math. Ghezzi et al. [69]'s method is one of the few methods which complement design-time with run-time analysis. At design-time, the approach integrates goal-refinement methodologies with Discrete Time Markov Chain to determine all possible execution paths to the goal. At run-time, it exploits utility functions to measure the overall utility of paths, which are based on assumptions. For example, the utility for a 5ms response time is 1 and so forth. After that the hill climbing algorithm is used to search for the optimal goal. Cooray et al. [67] proposed a proactive model-driven approach, which continuously updates the reliability predictions in response to environmental changes. The approach has proved its efficiency in adapting the system before it experiences a significant performance drop. However, the approach does not encounter cost and suffers from scalability issues. Generally, the major problem of the prior approaches is [72]: (i) the high reliance on stakeholders for utility estimations, which is also subject to their experience; (ii) the utility functions are hard to be defined; (iii) there is complexity and uncertainty in the quantification of utility values.

Machine Learning approaches in the context of self-adaptive architectures [63] have been explored in [73, 74, 75, 76, 77, 78, 68] which encounter the obser-

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<sub>1440</sub> vations of the system properties over time. In the context of using reinforcement learning techniques, Tesauro et al. [74, 75] integrated queuing policies with reinforcement learning, forming a hybrid approach to enhance the dynamic resource-allocation decision-making process in data centers. The approach suffers from scalability and performance overheads. A reinforcement online learning planning technique was used by Kim et al. [76] to improve robot's practices with respect to changes in the environment, by dynamically discovering the appropriate adaptation plans. However, it does not continuously evaluate the cost-effectiveness of architecture decisions over time. These approaches [74, 76] along with [73, 77] tend to be domain-specific. FUSION [68] is another learning-driven approach that adopts machine learning algorithm named Model Trees Learning (MTL) to tune the adaptation logic towards unpredictable triggers, rather than using static analytical models. It also uses utility functions to determine the benefit of models in question. The major pro of FUSION is its ability to learn over time and improve the adaptation actions due to the promising learning accuracy. However, FUSION has the following limitations: (i) it is specifically tailored to feature modelling; and (ii) it only detects goal violation, i.e. constraints, but does not have the ability to check if current architecture option is getting worse. Recently, further improvement on MOP for self-adaptive systems has been made in FEMOSAA [79], where the knee point strategy is adopted to select the optimal architecture option rather than using a weighted aggregation as most of the self-adaptive systems do. Though our work also leverages knee point strategy as FEMOSAA, our work adopts exponential decay functions to trigger adaptation only when there are significant changes, whereas FEMOSAA always adapts at every timestep (i.e. it suffers from instability).

<sub>1465</sub> To this extent, there is no systematic architecture evaluation method for intertwining the design-time with run-time. In this context, our proposed approach can complement some of the prior approaches and aid the architect in assessing the architecture design decisions under uncertainty through the following: (i) evaluating, complementing, and refining design-time decisions with run-time ones; (ii) tuning the relative importance of present/past of data for

knowledge accumulation about an architecture which can aid in learning the behavior of architecture decisions over time; (iii) efficiently assessing the value of architecture decisions at different monitoring intervals; (iv) allowing the architect to tune the sensitivity of the approach to changes, and therefore how stable/unstable it will be over time.

## 11. Conclusion

In this paper, we proposed a novel run-time architecture evaluation method suited for systems that exhibit uncertainty and dynamism in their operation, such as IoT. This method provides continuous assessment of design-time decisions. It can inform deployment, refinement and/or phasing out decisions. Specifically, we used strategies derived from machine learning and cost-benefit analysis at run-time to continuously profile and evaluate the architecture decisions for their added value. We demonstrated the use and significance of our approach by applying it to the case of designing diversification in an urban traffic monitoring IoT application to cater for the uncertainty in meeting quality requirements. Moreover, we evaluated our run-time approach with respect to baseline design-time approaches. Based on our experimental evaluation, our method could assist architects in evaluating design-time decisions at run-time, which could improve their decision-making process.

This paper has studied a representative web-operated IoT; nevertheless, the application of the method to other dynamic and variant-intensive systems can benefit from the approach. In our future work, we plan to explore how various learning techniques can be "orchestrated" at run-time to better support the evaluation. In particular, we aim to forecast the future potentials of architecture options and demonstrate its impact on the state of run-time architecture evaluation. The change detection method, being used in this paper, has been chosen because it is one of the most widely used methods in the concept drift detection literature. Future work could further investigate other change detection methods (i.e. the tests introduced in Section 2.3). Another potential direction

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<sup>1500</sup> is the further use of simulator along with the running system. This could show the extra insights the approach can provide in a run-time context. More specifically, we aim to demonstrate the usefulness of transfer learning methodology on the run-time architecture evaluation approach. In this paper, we focused on the QoS fluctuation in terms of benefit (e.g. response time and energy consump-<sup>1505</sup> tion). However, the exploration of the impact of high price volatility (i.e. using exponential decay for the cost) on the decision-making would be investigated as a future work. Finally, we also propose to extend the modeling of quality aggregation functions to consider dependencies between architecture decisions as future work.
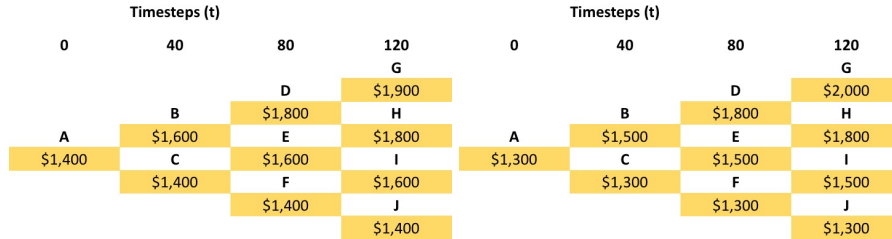
## Acknowledgments

## Appendix A. Utility Trees

In this appendix, we illustrate the generated utility trees used in experiment <sup>1515</sup> related to RQ1.

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**Timesteps (t)**

(a) left table:

| 0 | 40 | 80 | 120 |
|---|---|---|---|
| | | | G |
| | | D | $1,900 |
| | B | $1,800 | H |
| A | $1,600 | E | $1,800 |
| $1,400 | C | $1,600 | I |
| | $1,400 | F | $1,600 |
| | | $1,400 | J |
| | | | $1,400 |

(b) right table:

| 0 | 40 | 80 | 120 |
|---|---|---|---|
| | | | G |
| | | D | $2,000 |
| | B | $1,800 | H |
| A | $1,500 | E | $1,800 |
| $1,300 | C | $1,500 | I |
| | $1,300 | F | $1,500 |
| | | $1,300 | J |
| | | | $1,300 |

(a) Utility Tree for Response Time of $dao_6$.   (b) Utility Tree for Network Usage of $dao_6$.

Figure A.18: Utility Tree for $dao_6$ (Best Case Scenario). The root node corresponds to the utility of $dao_6$ at the first timestep and the final node corresponds to the utility of the $120^{th}$ timestep, in monetary terms. Each node to the top/bottom right corresponds to a likely utility in case the quality of the *dao* improves/deteriorates after 40 timesteps. The probabilities of the utility going up or down over time are calculated based on expert's assumptions, and so are the utility values.

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