Testing the Benefits of Public Deliberation

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Abstract. Public deliberation grows increasingly prevalent, yet remains costly in terms of money and time. Accordingly, some suggest supplanting talk-based practices with individual, "deliberation within." Yet we have little evidence either way on the additional benefits of public deliberation over its individual variant. We evaluate the benefits of public deliberation with a field experiment. With the cooperation of two sitting U.S. Senators, we recruited several hundred of their constituents to deliberate on immigration reform. Participants were randomly assigned to either deliberate publicly in an online discussion, to deliberate individually, or to an information-only control. Across several measures, public deliberation yielded more benefits than individual deliberation. We find, moreover, little evidence to ground worries that differences in education, race, conflict avoidance, gender, or gender composition of deliberating groups will render public talk less valuable than individual deliberation.

Introduction

Deliberative democratic practices are increasingly prevalent. In addition to being the subject of a vibrant research program (Gastil 2018; Dryzek et al. 2019), deliberation is beginning to have real impact. Governments rely on deliberative bodies to help craft and vet policy (Carson, Gastil, Hartz-Karp, and Lubensky 2013; Mccombs and Reynolds 1999; Warren and Pearse 2008), and deliberative practices deepen the link between lawmakers and constituents (Neblo, Esterling, and Lazer 2018). Participation in deliberative forums yields many salutary effects for participants, including knowledge gains (Esterling, Neblo, and Lazer 2011), increased participation (Gastil, Deess, and Weiser 2002; Minozzi, Neblo, Esterling, and Lazer 2015), invigorated engagement (Delli Carpini, Cook, and Jacobs 2004), reduction in adverse emotional responses (Baek, Wojcieszak, and Delli Carpini 2012), and improvements in trust in government and political efficacy (Gastil, Pierre Deess, Weiser, and Simmons 2010). Notwithstanding important problems and inequities that plague deliberation (Mutz, 2006; Karpowitz and Mendelberg 2014; Sanders 1997; Ugarriza and Nussio 2016), the upside potential for deliberative democracy remains bright (Neblo 2015).

But deliberation is expensive. In-person deliberative events can require participants to travel, arrange child care, and miss work (Fishkin 2011). Such costs have fallen thanks to Internet-based technologies (Papacharissi 2002). Online deliberation not only shrinks costs, it also expands horizons, both geographically (Stromer-Galley 2003) and socially (Wojcieszak and Mutz 2009). But even with online deliberative forums, sessions can be lengthy, participants must still agree on a time to meet and actually follow through, and someone must coordinate these schedules. Consequently, participation can be costly, both to plan and incentivize, requiring cash payments, collaboration with political officials, or both.

Accordingly, scholars have suggested ways to subsidize or supplant deliberative forums. Although deliberation seems quintessentially talk-based, interpersonal, and public, it also requires reflective, intrapersonal, and private action, most importantly weighing reasons. Goodin (2000), who notes this logical necessity, goes on to argue that individual deliberation should supplement public deliberation. As with public deliberation, the costs of informing one's individual deliberations have declined in recent years. The Internet provides a wealth of policy evidence and analysis for the great majority of people in established democracies; almost 90% of the U.S. has broadband Internet access (Anderson, et al. 2018).

Public deliberation also involves downsides that individual deliberation can avoid. Equal participation and mutual respect are the pivotal requirements necessary to achieve the benefits of talk-based practices, yet it is not clear that real-world instances of public deliberation come close to meeting these standards (Sanders 1997). Indeed, there are well known impediments. Psychological differences, such as an aversion to conflict (Ulbig and Funk, 1999; Mutz, 2006), mean that some individuals may be less inclined to participate, and thus not to share equally in the benefits. Differences in education can limit discursive capacity (Ugarizza and Nussio 2016), deepening disparities. And those who wish to contribute—especially women and nonwhite participants—may be made reticent or even prevented from doing so in some contexts (Sanders 1997; Karpowitz and Mendelberg 2014; but see also Hickerson and Gastil 2008).

It is therefore reasonable to ask what the benefits of specifically public deliberation might be (Goold et al. 2012). Yet we have little empirical evidence on the benefits of public deliberation over its individual variant. Goodin (2000, 2003) and Mercier and Landemore (2012) offer strong, though essentially theoretical arguments for the necessity of public deliberation. Previous research has established that public deliberation stimulates internal deliberative change (Esterling, Neblo,

and Lazer 2011; Goodin and Niemeyer 2003). But existing studies measure the effects of public deliberation with comparison either to pretest surveys, uninformed control subjects, or, at best, participants who were provided information, but not actively pushed toward authentic, individual deliberation.

We assay the benefits of public deliberation with a field experiment conducted from August to October 2017. We worked with the Congressional Management Foundation to recruit two sitting U.S. Senators to participate in a study focused on immigration reform.[1] The Senators did not interact directly with their constituents in the deliberative events. Instead, we provided the Senators a report analyzing the results of the sessions, and we made the participants aware in advance we would communicate their responses in this way.

Participants were residents of one of the states represented by the Senators and assigned to one of three conditions. The first two stimulated public and individual deliberation, respectively. The first condition involved participation in an online group discussion with other residents of their state, structured around an issue guide and short videos that discuss policy options and elucidate tradeoffs. The second walked participants through a questionnaire that simulated the online discussion, encouraging individual deliberation by requiring subjects to watch the same videos from the discussions and confront the same tradeoffs. To independently measure the benefits of both public and individual deliberation, some participants were assigned to a control group. Members of the control group were provided access to the same information and the same videos but could skip this part of the experiment if they wished. All participants responded to surveys after completing these tasks to measure the diffuse and subjective benefits that can attend

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[1] The participating lawmakers were Sen. Mike Crapo (R-ID) and Sen. Thom Tillis (R-NC).

participating in effective deliberation, such as their satisfaction with the experience, knowledge gains, affective reactions, and civic attitudes. We then used multilevel models to provide overall estimates of the benefits of public deliberation.

Our experiment and analysis contribute methodologically in the use of state-of-the-art causal inference techniques and multilevel models to average over dozens of survey questions properly accounting for multiple comparison problems. Substantively, moreover, the paper contributes to our understanding of the political psychology of attitude change, in addition to the theory and practice of deliberation. Ultimately, we found that encouraging individual deliberation did offer clear benefits over merely providing access to information. Yet public deliberation produced an overall effect almost double that of individual deliberation, even though our sessions were online rather than face-to-face. Further, we found no evidence that important limits sometimes observed in face-to-face public deliberation—such as disparities in the experience that arise from conflict avoidance or less education, or social dynamics that can arise based on race and gender—reduced these benefits. If these limits were intrinsic to deliberation as a method of communication, the limits should be apparent irrespective of whether the interaction is online or face-to-face. We conclude that the benefits of public deliberation persist across many lines of difference.

The Goals and Effects of Individual Deliberation

Among the many conceptions of democratic legitimacy, deliberative democracy (DD) stands out as one of the most ambitious. Advocates argue that, in contrast to majoritarian theories of democracy, DD allows for good and bad policy solutions rather than merely more and less powerful or numerous actors (Elster 1986). And unlike some theories of democracy, DD sets out

standards that its proponents claim reliably produce—and, according to some, are even constitutive of (Habermas 1996; Neblo 2015)—authentically better solutions, rather than vesting authority in a privileged subset of political actors (Brennan 2017) or depending on the statistical properties of crowds (Page 2007; Surowiecki 2004).

Yet these qualities come at a cost. Facially deliberative practices require citizens to gather together to talk, and in so doing search, challenge, tolerate, respect, reflect, revise, and so on. Such practices may seem prohibitively expensive, not only because of limited human cognitive faculties (Taber and Lodge 2006), but in literal terms of time and effort, especially given the size constraints of deliberation relative to the scale of mass democracy (Goodin 2000, 2003).

Despite (and perhaps because of) these costs, deliberation is thought to provide a wide variety of benefits for individual participants, including awareness and tolerance of the "other side" (Mutz 2006), gains in issue-specific knowledge (Esterling, Neblo, and Lazer 2011), awareness of competing rationales (Price, Cappella, and Nir 2002), and revision of policy attitudes (Minozzi, Neblo, Esterling, and Lazer 2015). More generally, deliberating deepens civic engagement (Delli Carpini, Cook, and Jacobs 2004), giving rise to positive affective experiences (Baek, Wojcieszak, and Delli Carpini 2012), and increased trust in government and efficacy (Gastil, Pierre Deess, Weiser, and Simmons 2010).

Even though these deliberative effects have been well established, it is far from clear which part of the process drives the change or is even the ultimate source of its normative appeal. From a theoretical perspective, Goodin (2000) argues that the conversational aspect of deliberation is catalytic rather than constitutive. That is, for Goodin, the act of talking with others stimulates cognitive processes that are themselves the proper goal of deliberation, and, as such, talk is merely a useful way to achieve the ensuing benefits. On this account, we should shift our attention from

"making people 'conversationally present' [to] making them 'imaginatively present'" (83). If we accept this view that the good in deliberation is inherently individual rather than public, the goods from public deliberation are instrumental.

Alternative accounts highlight the explicit role that talk plays, while acknowledging the benefit of individual deliberation. Mercier and Landemore (2012) and Landemore (2012) argue that, under conditions of diversity, deliberation fosters better collective decision making, not in spite of cognitive limits such as motivated reasoning, but rather because individuals are evolutionarily disposed to make competing arguments (Chambers 2018). Rather than falling prey to "the law of group polarization" (Sunstein 2002), this argumentative theory of reasoning holds that reasons can be generated through biased processes, yet still conduce toward better outcomes, provided that some aggregative mechanism like majority rule is employed. In stronger terms, Neblo (2015) draws on Brandom (1998) and Habermas (1996) to advance an inferentialist theory, according to which the conversational process does not just give rise to better choices, but is actually constitutive of them. But both positions also leave room for individual deliberation, recognizing that the goods provided by deliberation are not limited to behaviors exhibited in conversation.

The Differential Effects of Public Deliberation

Regardless of whether one accepts Goodin's argument that the goods derived from public deliberation are only instrumental, the relationship between public and individual deliberation is intricate. In a careful case study of a citizens' jury, Goodin and Niemeyer (2003) identify the pre-deliberation period as the moment of greatest attitude change. In their study of deliberative town halls, Esterling, Neblo, and Lazer (2011) also identified the preparatory period as the most

important component of knowledge gains. Both these studies, however, rely on comparisons of pre-test and post-test outcomes rather than direct comparison of public and individual deliberation. Further, Goodin and Niemeyer (2003) lack a control group, and Esterling, Neblo, and Lazer (2011) compare public deliberation to mere information provision, rather than encouragement of individual deliberation.

Yet public deliberation may be better at achieving these benefits than individual deliberation. First, as Mercier and Landemore (2012) explain, the process of arguing stimulates cognitive processes that might otherwise lie dormant. In response to challenges, a participant must generate a response, either responding to the challenge or revising her previous position. Through spreading activation (Collins and Loftus 1975), these conversations may yield a broader set of concepts, reasons, and considerations than one can generate individually. The social aspect of public deliberation differentiates it from individual reflection as well, and social settings play an important role in preference formation (Klar 2014). At a more basic level, it can be challenging to motivate interest and engagement in the absence of interpersonal communication (Nystrand and Gamoran 1991). Thus, our overarching hypothesis is that, while both public and individual deliberation plausibly give rise to a host of benefits, public deliberation should be more effective than individual deliberation.

There are also reasons to believe that inducements to deliberate may have differential effects based on characteristics of the participants. Individuals vary in both dispositions and identities that can affect their experiences of deliberation. We are particularly interested in the possibility that public deliberation may be less valuable than individual deliberation for certain individuals or in certain situations. While some of these concerns regarding disparities have been

primarily observed in face-to-face deliberation; it is nonetheless important for us to investigate whether these disparities reproduce in an online setting.

First, more conflict avoidant individuals may experience public deliberation in a less positive way. The conflict avoidant are less likely to engage in political discussion generally (Ulbig and Funk 1999), and the consequences of their exposure to disagreement are more likely to include disengagement (Mutz 2006). Consequently, as conflict avoidance increases, people may not be able to share the benefits of public deliberation. The benefit of individual deliberation, in contrast, should not vary for the conflict avoidant.

Beyond psychological traits, differences in identity may play a role in conditioning the experience of public deliberation. Sanders (1997) suggests that (public) deliberation may not be particularly valuable for members of relatively disempowered groups—including women, non-white, and non-college educated participants. These disparities may arise via multiple mechanisms, both directly through bare expression of power and indirectly via introjection and self-censoring.

The evidence for this difference critique of public deliberation is nuanced. On the one hand, Karpowitz and Mendelberg (2014) find that women do experience deliberation differently from men, but that this experience is dependent on the gender mix of the deliberating groups. Similarly, Ugarriza and Nussio (2016) report that the quality of discourse depends on the education levels of the deliberators. And, all else equal, membership in the majority enhances the influence a deliberator can have (Myers 2017), which might lead members of any minority group to prefer the individual variety. On the other hand, Hickerson and Gastil (2008) find only trace evidence of disparities in the benefits of jury participation. None of these studies, however, focus specifically

on the additional benefits of public deliberation. Therefore, we take it as an open empirical question whether inequities in participation offset some of the benefits of public deliberation.

Hypotheses about the Benefits of Deliberation

Given the known costs associated with public deliberation, we investigate the benefits that result from the interpersonal experience, benefits that go beyond mere exposure to information or even individual reflection on new information. While the benefits we examine are not generally financial, we posit that they *do* enter into respondents' utility function when considering whether participation is worthwhile, and *should* count toward our notion of social utility.

Deliberation requires the participants' attention and engagement. As a result of this engagement, we hypothesize that participants will find the experience to be more helpful and informative, and regard the overall practice to be both useful to lawmakers and important for democracy. To the extent that public deliberation engages participants more successfully than individual deliberation, satisfaction should also be higher.

> ***Attitudes toward Experience Hypothesis.*** Participants will be more satisfied with the experience of public deliberation than individual deliberation, and more satisfied with both these experiences than mere exposure to information.

Deliberative processes also should lead at least some participants to change their views, which in turn might lead them to assess the time spent as meaningful and worthwhile (thus there is some potential overlap between the two concepts). As they weigh reasons and encounter different opinions, individuals who deliberate should sometimes be persuaded (Minozzi, Neblo, Esterling, and Lazer 2015). At its best, the "unforced force of the better argument" (Habermas 1984) should prevail, meaning that these changes will be for the better. These changes might include perceived knowledge gains, declines in confusion, profession of respect, and testament to actual change in

attitudes. These subjective changes are important on their own. To the extent that extreme expressive partisanship (Huddy, Mason, and Aarøe 2015) is symptomatic of problems for a democracy, the open expression of change is consistent with democratic health. Again, these changes are more likely in public deliberation than its individual variant, both because of deepened engagement and according to the argumentative theory of reasoning. And both varieties should dominate changes resulting from mere exposure to information.

> ***Perceptions of Changed Minds Hypothesis.*** Participants will report changing their minds after deliberating, and the changes should be greater for public deliberation than individual deliberation.

For many, deliberation typically entails learning facts that may be integrated into a coherent basis for their policy attitudes. As such, participants in deliberation should know more about the issue at hand than their non-deliberating counterparts, a knowledge gain that in turn has presumptive benefit to respondents and public discourse. If active learning is more easily achieved in public deliberation than in individual deliberation, gains should be correspondingly greater.

> ***Knowledge Gain Hypothesis.*** Participants will know more about the issue under deliberation than others who do not deliberate, and the gain should be larger for public deliberation than individual deliberation.

Beyond learning facts, deliberation may elicit positive or negative affective responses from participants as a result of thinking about challenging political problems. Anger is a powerful emotion in political action and often moves in distinct ways relative to the surveillance systems developed in theories of affective intelligence (Albertson and Gadarian 2015). Deliberation may reveal that seemingly intractable problems are actually solvable, and that more common ground exists among heterogeneous citizens than might otherwise have been apparent (Wojcieszak and Mutz 2009), thus reducing negative emotions including anger (Feldman et al. 2004). This effect should be particularly acute in public deliberation, which, at its best, yields experiential evidence

of both difference and tolerance (Mutz 2006), and may thereby activate individuals' "disposition" systems (e.g., feelings of hope, pride, and enthusiasm, see Marcus, Neuman, and MacKuen 2000). Similarly, discussion of an issue may trigger attention to attendant uncertainty, and in so doing activate the "surveillance" system associated with anxiety. Neblo (2020) explicitly links these three clustered phenomena to high quality deliberation, and so, to the extent that public deliberation is higher quality than individual deliberation, the emotional effects of public deliberation should be larger.

> ***Affective Hypotheses.*** Deliberation should increase enthusiasm, reduce anger, and increase anxiety; and these effects should be larger for public deliberation than individual deliberation.

Beyond the issue at hand, deliberation may kindle renewed feeling of civic engagement, trust, and efficacy, although there is mixed evidence on this question in the literature (Myers and Mendelberg 2013). For efficacy, the empirical evidence suggests larger effects for external than for internal efficacy (Esterling, Neblo, and Lazer 2011; Hertzum, Andersen, Andersen, and Hansen 2002; Morrell 2005; Nabatchi 2010; Pierce, Neeley, and Budziak 2008), although Gastil and Dillard (1999) found evidence of increase internal efficacy. Elsewhere, Gastil, Pierre Deess, Weiser, and Simmons (2010) found that participation in jury service, an institutionally important species of deliberation, improved citizens' trust in government and political leaders. There is little evidence, however, about the *relative* roles of public and individual deliberation on these questions. But to the extent that improvements in engagement due to public deliberation are substantial, it is reasonable to expect corresponding differences in effects.

> ***Trust and Efficacy Hypotheses.*** Deliberative participants will have increased trust in government, and internal and external efficacy, and these effects will be larger for public than for individual deliberation.

11

Experimental Design and Methods

We recruited participants through Qualtrics and randomly assigned them to one of three conditions. Our aim was to hold participants' access to information constant while varying the locus of their subsequent mode of engagement with that information. In total, we analyze evidence from 402 experimental participants, which is similar in size to that from other experimental studies of deliberation. For example, in their landmark study of gender and deliberation, Karpowitz and Mendelberg (2014) report on 470 experimental participants (e.g., p. 109).

Members of the **Public Deliberation** group participated in an online, small-group discussion about immigration reform, conducted through the "Common Ground for Action" (CGA) platform. During the course of their deliberation, members of this treatment group were exposed to information about current immigration policy. The loosely scripted sessions were moderated and walked participants through three approaches to immigration reform: a path to citizenship, focus on border security, and employment regulation. Participants first ranked six policies, two associated with each approach. For each approach, they were prompted to consider pros and cons, and then engage with other discussion group members via a text-based chat. Participants then registered via a graphical interface whether they now favored the policy, and whether they could accept the associated drawbacks. Sessions lasted forty-five minutes to an hour, after which participants were directed to a post-survey. In all, we fielded 39 discussion groups, ranging from two to nine in size, for a total of 198 public deliberators who completed surveys.[2]

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[2] Due to vagaries of scheduling, eight additional participants attended solo sessions that only included the moderator, for a group size of 1. We omit these observations from our analysis. Including them does not change the results because there are so few of these observations. Further,

The first of the other two treatment groups provides the main rival to the **Public Deliberation** group. Members of the **Individual Deliberation** group participated in a replica of each stage of the CGA platform. Members of this group were given access to the same background information and, in our key manipulation, were walked through a survey-based simulacrum of the CGA platform in a manner that frustrated satisficing (e.g., by requiring a certain amount of time before advancing screens, etc.), but without access to small group discussion. This treatment condition was conducted solely through an Internet-based survey.

Finally, members of the **Control** group were also given access to all the information, and prompted to answer the same questions, as the other groups. However, these participants could also easily skip the readings and videos and move onto the subsequent questionnaire. This opens the opportunity for satisficing behavior on the part of the respondent. An alternative way to view the manipulation is that the **Control** group was merely given the opportunity to take the treatment, while the **Individual Deliberation** group was "required" to.[3] By constructing the experiment in this way, we effectively simulate ambient conditions, in which a wealth of policy relevant information is easily available over the Internet. Incentives for accessing, processing and reflecting

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we identified three individuals who participated in two sessions; we dropped the second observation for these individuals. Finally, 32 individuals who participated in discussion groups did not click through to complete the survey, and therefore do not appear in our analysis.

[3] Of course, we did not literally *force* or require participants to do anything, and they could drop out of the study, as per our IRB protocol.

on that information are the key causal forces of interest. We recruited 102 participants each for the **Individual Deliberation** and **Control** groups.[4]

Our hypotheses focus on nine outcomes. We administered a survey immediately after the session, including 41 questions that are nested in the nine categories that we take as outcomes.[5] As we explain below, we use these repeated measures of the nine substantive outcomes in a multilevel model. The model nests questions within the question-categories that are of substantive interest to us, and estimates the treatment effects as (semi-pooled) random coefficients across the categories to improve efficiency of the estimates while guarding against multiple comparisons problems (Gelman, Hill and Yajima 2012). We scaled all survey item responses to lie between 0 and 1.

First, to measure participants' *Attitudes toward the Session*, we asked six questions about their experience. These measures capture general satisfaction with the online session: whether participants think it was worthwhile enough to participate in the activities again, whether their member of Congress should pay attention to the resulting outcomes from the session, future interest in participation, and more general beliefs about deliberation. Since we focus these questions on the session the questions are interpretable for respondents in each of the three

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[4] As always, our sample size is only sufficient to yield reliable estimates of our outcomes provided that they are of a minimum detectable effect (MDE) size. In Appendix E (p. A17), we calculate MDEs that would yield 80% power for each of our outcomes and treatments. In general, these MDEs are on the order of 3% to 10%, depending on the outcome and treatment, and they are larger for the Individual condition than the Public condition, given the difference in sample size.

[5] See Appendix A (pp. A2-A3) for question wording for all outcomes and descriptive statistics for conditioning covariates.

experimental conditions. Second, we measure *Perceptions of Changed Minds*, broadly understood, with eight items. Here, we seek to measure whether participants perceived themselves to learn, gain clarity and reduce confusion, develop respect for the opposition, and change policy positions and rationales. The subjective perception of changing one's mind suggests that the respondent found the session constructive and worthwhile, and that they gained novel information. Third, we measured objective *Knowledge* about the issue under discussion. To do so, we focused on facts that were included in both the PDF and videos that were available to all participants. From these materials, we identified six quiz questions, which we coded as correct (1) or incorrect (0); "Don't knows" were coded as incorrect.[6] Fourth, respondents were asked about emotions they felt when thinking about the issue of immigration, including *Enthusiasm* (enthusiastic, hopeful, proud), *Surveillance* (anxious, worried, afraid) and *Anger* (anger, bitterness, contempt, hatred), the last of which we reverse-coded to measure *Reduced Anger*. Finally, we measured *Trust* in government, leaders, and officeholders with three items; and *Internal Efficacy* and *External Efficacy* with four items each.[7]

In addition to these outcomes, we measured several covariates: *Party* (seven point); *Ideology* (seven point); *Political Interest*; *Previous Political Activity* (sum of talking politics with

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[6] Results are similar if we instead drop "don't know" responses (see Appendix D, pp. A15-6).

[7] To gauge whether our items cohered into their presumed scales, we estimated α for all nine outcomes. The α values range from 0.7 to 0.9, with the exception of the *Knowledge* scale which has $\alpha = 0.4$. While that level is very low, the scale includes a set of relevant factual questions that we intentionally varied in difficulty, and hence we continue to group those items together. See Appendix F (p. A18) for details.

peers, talking politics online, contributing to campaigns, and volunteering for campaigns); *Need for Cognition* (sum of two five-point items); *Conflict Avoidance* (first latent dimension from five items); *Age* (in years); and indicators for *Female*; *Asian*, *Black*, *Latino*, and *White* (excluded categories are *Male* and *Other*); *Urban*, *Suburban*, and *Rural* (excluded category is *Small Town*); *College Graduate* and *Some College* (excluded category is *High School Only*); *Full-time Employment*; and *Family Immigration History* (first generation, second, and third; excluded category is "other").

Attrition, Covariate Balance, and Weighting

Because the three conditions in our experiment involved differences in scheduling and the costs of actual attendance, there are potentially important differences between groups of respondents. Given the large number of covariates and comparisons between conditions, we expected some imbalance to occur by chance. More importantly, participants in the *Control* and *Individual* conditions both completed a survey with duration 10-20 minutes, and either survey could be taken at any time within a pre-specified window. In contrast, the *Public* condition required participants to both have time available during which to attend a scheduled online event, and to show up at the appointed time. As a result, even if randomization yielded balanced groups at the assignment stage, selective attrition may have yielded different comparison groups.

We therefore examined balance between conditions by comparing the distributions of covariates for each of three pairs of treatment conditions, and we do find imbalances on some covariates for some comparisons. To quantify balance, we compare standardized differences in covariates between pairs of conditions. We focus on standardized differences at the 95% and 80% levels; i.e., with magnitude greater than 1.96 and 1.28, respectively. In each panel of Figure 1, the

16

Covariate Balance Before and After Weighting
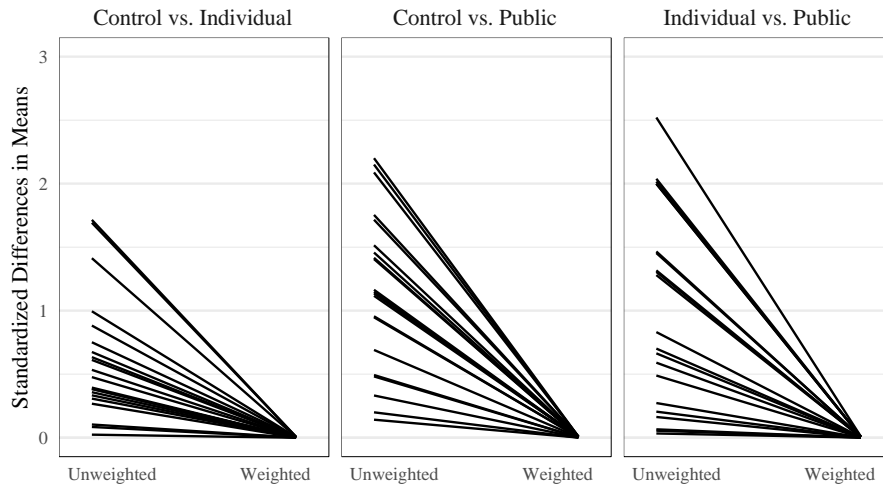
Figure 1. The figure displays covariate balance tests for each covariate and pair of treatment conditions. The lighter gray band depicts the 95% quantile, and the darker one shows 80%. Each line represents the effect of weighting on the standardized difference in covariates for each pair of conditions. In general, weighting reduces imbalance to acceptable levels.

left end of each line indicates the magnitude of the standardized difference for a covariate. Between the *Control* and *Individual* conditions, where we expected imbalance only by chance, we see little imbalance: none at the 95% level, and only three cases at the 80% level. As expected, imbalance is worse when comparisons include the *Public* condition. In a few cases, standardized differences exceed the 95% level.

To cope with imbalance, we used covariate balancing generalized propensity scores (Fong, Hazlett, and Imai 2018; for applications, see Davis and Morse 2018 and Charnysh 2019). This method identifies weights that minimize imbalance between groups; the weights are then used in regressions. We used the nonparametric, generalized version of the method because we have three treatment groups, and require balancing across all pairs of conditions. To evaluate, we reprise our balance tests, recalculating standardized differences after weighting. In all cases, the weights

reduce imbalance substantially (see Figure 1). In fact, after weighting, the largest standardized difference has magnitude of 0.01.[8]

Estimation and Statistical Inference

Given these weights, we estimated weighted linear mixed effects models. The structure of our data lends itself to this multilevel modeling approach. The rectangular dataset has 402 respondents (198 in the *Public* deliberation condition, 102 in *Individual* deliberation, and 102 in control) and 41 survey items that we use to measure our nine outcomes of interest. To estimate average treatment effects, we fit the multilevel model

$$y_i \sim N(\alpha_i + \beta_i \, Individual_i + \gamma_i \, Public_i, \, \sigma^2)$$
$$\alpha_i = \alpha_0 + \alpha_{outcome[i]} + \alpha_{question[i]} + \alpha_{respondent[i]}$$
$$\beta_i = \beta_0 + \beta_{outcome[i]} + \beta_{question[i]}$$
$$\gamma_i = \gamma_0 + \gamma_{outcome[i]} + \gamma_{question[i]},$$

where *i* is an observation, y_i is a response, and *Individual*$_i$ and *Public*$_i$ are dichotomous indicators of treatment. The intercepts, α_i, combine random intercepts at the levels of the category (*Attitudes toward the Session*, *Perceptions of Changed Mind*, *Knowledge*, etc.), individual question, and respondent.[9] We model the treatment effects, β_i and γ_i, using random coefficients. The multilevel model simultaneously estimates the full set of treatment effects by modeling the treatment effects as random coefficients, which shrinks the point estimates toward the grand mean, and estimates

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[8] After weighting, we can reject all null hypotheses of differences in covariate between treatments and the control condition (Hartman and Hidalgo 2018).

[9] We also estimated a model including deliberation group-level random intercepts (with each of the respondents in the public condition nested in their CGA groups, and the two control conditions treated as separate groups). Results are similar; see the Appendix D (pp. A13-4).

the standard errors of the treatment effect coefficients given the information in the full model and data. As Gelman, Hill, and Yajima (2012) note, the multilevel model addresses the problem of multiple comparisons by making the point estimates more conservative, and so obviates the need to make post-analysis corrections such as Bonferroni.

In particular, we are interested in the effects of *Individual* and *Public* deliberation at the outcome level. Therefore, we estimate these treatment effects as the sums of the overall coefficients and the outcome-level coefficients. That is, we focus estimates of $\beta_0 + \beta_{outcome}$ and $\gamma_0 + \gamma_{outcome}$ for each of our nine outcomes.

We estimated these models using the lmer function in the lme4 package in R (Bates, Mächler, Bolker, and Walker 2015) For statistical inference, we used the nonparametric block bootstrap. As with most surveys, there is sporadic missing data. For covariates, we imputed using multiple imputation via Amelia II (Honaker, King, and Blackwell 2011); no outcome variables were imputed. Appendix B reports all descriptive statistics.[10] Throughout our presentation, we focus on graphical depictions of results in the text and relegate tables to Appendix C.

Results

The main effects of both types of deliberation assess the impact of each deliberation condition relative to control across the full set of items that we use to measure the benefits of deliberation.

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[10] Specifically, we (1) block resampled over respondents within treatment groups and deliberative groups, (2) imputed missing values for covariates, creating 10 complete datasets for each resample, (3) estimated CBPS weights for each complete dataset, (4) fit the model for each dataset, and (5) averaged coefficients over imputations. We did so 1000 times to yield distributions of quantities of interest. All 95% intervals are two-tailed and refer to the bootstrap distributions.

Both are positive and significantly different from zero. In the case of *Individual* deliberation, the average treatment effect (β_0) was about 3.3 percentage points (bootstrapped 95% interval = [1.6%, 5.1%]). The overall effect of *Public* deliberation (γ_0) was almost double, at 5.8% [4.3%, 7.4%]. The difference-in-differences between the two was statistically significant (bootstrapped p = 0.006).[11] While these magnitudes may seem modest in an absolute sense, we note that we are comparing deliberation to a baseline of strong information provision, similar to an intensive online tutorial, rather than to the naturalistic baseline of no or low information.

We found varying levels of support for our hypotheses. Estimates of average treatment effects are shown in Figure 2, produced by adding the main coefficient (β_0) to that for each category ($\beta_{outcome}$).[12]

First, we find evidence supporting the ***Attitudes toward Experience Hypothesis***. *Public* deliberation caused a 10 percentage point rise in *Attitudes toward the Session* relative to *Control*, as seen in the top row of Figure 2. In contrast, the effect of *Individual* deliberation on *Attitudes toward the Session* is smaller, at 4%. Both effects are significantly different from zero, but participants were more satisfied with *Public* deliberation; the difference-in-differences is significant ($p < 0.001$). In terms of satisfaction, the benefits of public deliberation are substantial.

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[11] For all differences-in-differences tests, the bootstrapped *p*-values we report are the fraction of the 1000 replicates in which the estimated effect for the *Individual* treatment was larger than that for the *Public* treatment.

[12] We also estimated separate scales for each of our nine outcomes using the first principal component of each, and then fit nine separate linear models, one per outcome. Results are similar; see Appendix F for details (pp. A18-9).

The Benefits of Public Deliberation
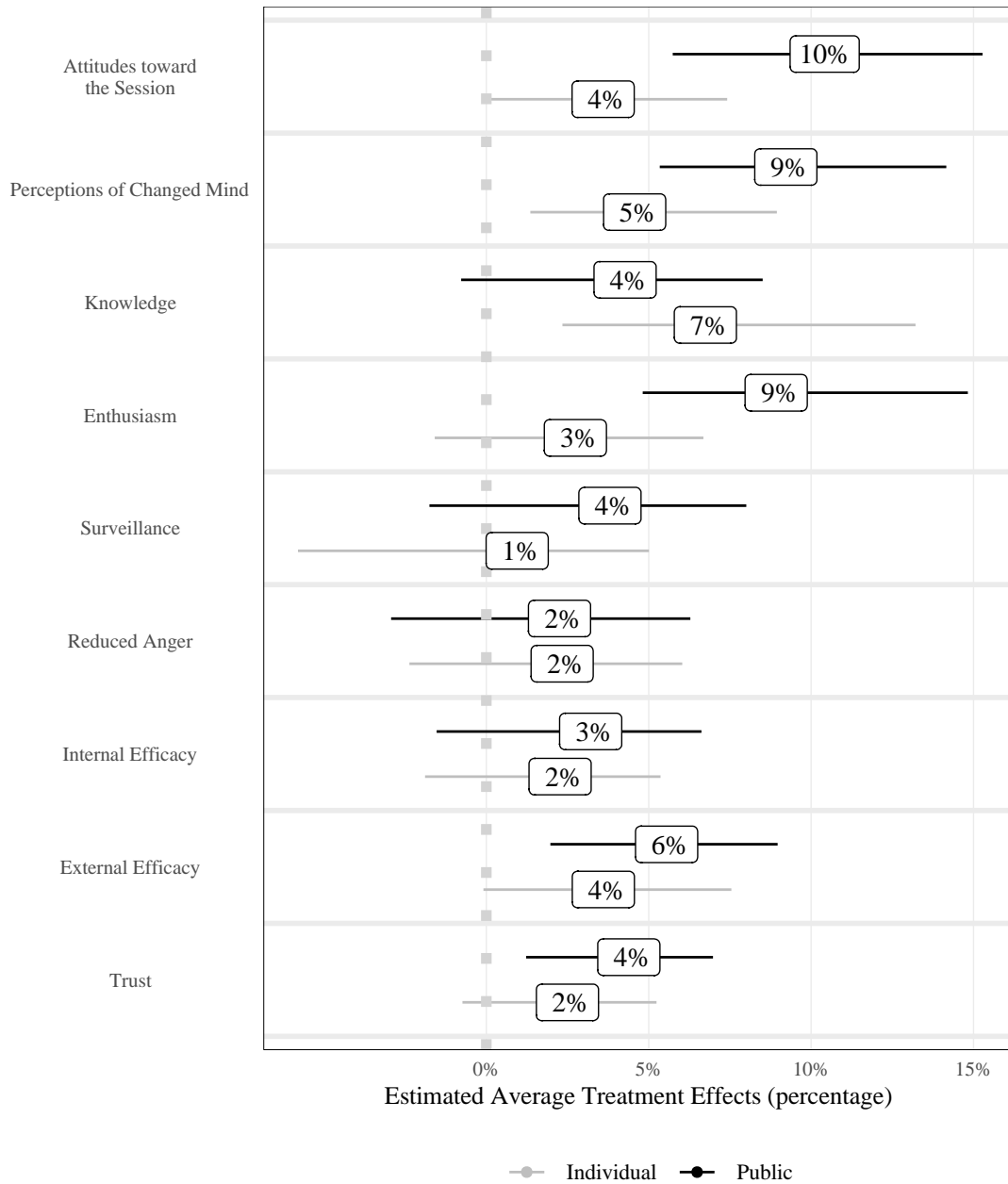
Figure 2. The figure reports average treatment effects in terms of percentages of the outcome's range, for each of the nine outcomes. All effects are estimates of the difference between the relevant treatment group and the information-only control group. Estimates are based on multilevel models with covariate balancing weights, and depicted with 95% intervals. Tabular versions of regression results appear in Appendix C (p. A8).

The evidence for the ***Perceptions of Changed Mind Hypothesis*** suggests that subjects perceived larger changes in themselves after *Public* deliberation. The 9% increase in *Perceptions of Changed Mind* caused by *Public* deliberation is larger than the 5% rise caused by *Individual* Deliberation. The difference-in-differences is significant ($p = 0.020$). Thus, participants both more satisfied with public deliberation, and more likely to believe they had changed their minds.

Intriguingly, the difference between the deliberative experiences was inverted for the ***Knowledge Hypothesis***. In terms of correct answers on our factual quiz, *Individual* deliberation was responsible for a 7% increase, while *Public* deliberation caused only a 4% increase. The difference between effects was insignificant ($p = 0.4$). Thus, while individual deliberation does seem to rival (and perhaps exceed) public deliberation in terms of raw knowledge, that effect is either too small or imprecisely estimated to infer a robust difference. In any case, the subjective experience of having learned was corroborated by the absolute increase in both conditions.

In testing our ***Affective Hypotheses***, we see that *Public* deliberation increased *Enthusiasm* on the immigration issue, causing a 9% increase. The effect of *Individual* deliberation was smaller, at 3%. The difference between the two is significant ($p = 0.002$). We observe smaller differences between effects in *Surveillance* emotions ($p = 0.3$). Neither type of deliberation successfully *Reduced Anger* on the issue. These two null findings deserve further inquiry to assess whether they are the result of different sub-groups—perhaps based on *ex ante* activation—moving in opposite directions and washing each other out. The *Surveillance* emotions are also ambiguous. One might be anxious over uncertainty or because of risk—i.e., one may not know how to evaluate an object, or may worry that a negatively evaluated possibility will obtain. Increased engagement can relieve anxiety from uncertainty yet increase anxiety from risk (Bryner, Devine, and Neblo 2010).

Comparison of Effect Sizes
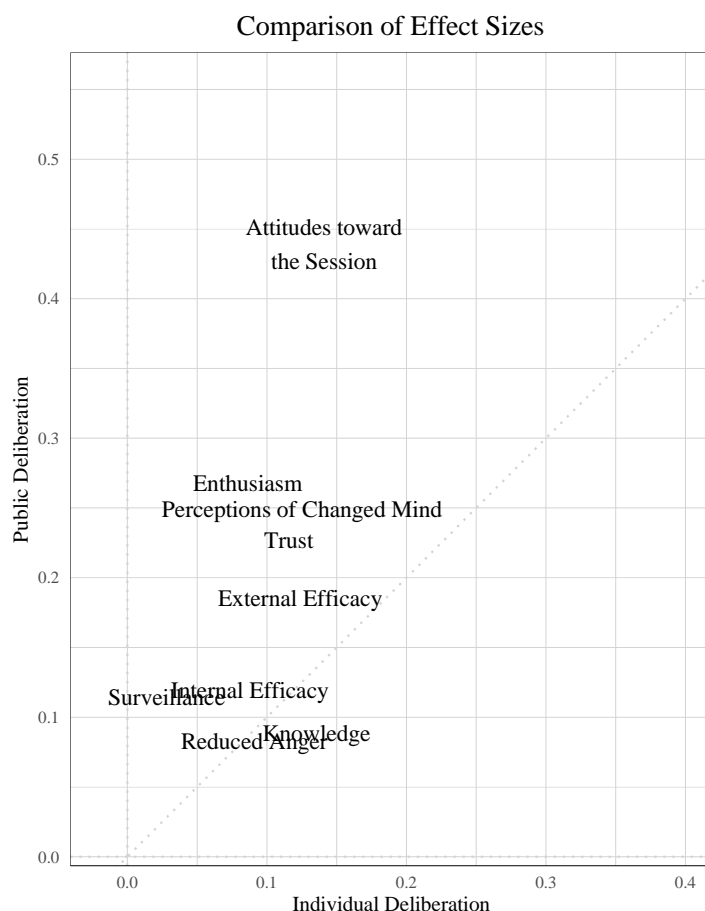
Figure 3. The figure plots Cohen's *d* for each category and treatment. Effect sizes have been separated slightly for clarity.

Finally, we test the ***Trust and Efficacy Hypotheses***. In keeping with previous studies, we find that *Public* deliberation increased *External Efficacy*, though the differences between conditions are both substantively weak and insignificant for both *Internal* and *External* varieties ($p = 0.6$ and 0.4, respectively). Similarly, although it appears that *Public* deliberation moved the needle on *Trust*, the difference between conditions was again insignificant ($p = 0.3$).

Figure 3 summarizes our findings graphically with a depiction of the effect sizes of *Individual* and *Public* deliberation on each of our nine categories. All categories that appear above the 45° line indicate that the benefit of *Public* deliberation exceed that of its *Individual* counterpart.

Overall, the benefits of *Public* deliberation are clearest for subjective perceptions of the experience, and for gains in *Enthusiasm*. In general, the effect sizes are relatively small.

**Potential Disparities in the Benefits of Public Deliberation**

We have established that the overall benefits of public deliberation outstrip the benefits from individual reflection at least with respect to some aspects of the experience. But public deliberation faces important limitations that might lead these benefits to be concentrated among only a few participants. For example, the conflict inherent in political discussion might cause the conflict avoidant to benefit less from public deliberation than the conflict acceptant. Similarly, people of color, those who lack a college degree, and women might not benefit from public deliberation as much as white, college-educated men. In each case, the benefit of individual deliberation may eclipse that of public discussion.

To probe for the conditional effects that would accompany such disparities, we fit separate multilevel models including multiplicative interactions and constitutive terms.[13] We note that our sample size is likely to be too small to precisely estimate interaction effects—unless they are very large. And so, our point in this analysis is to gauge the extent to which the benefits of public deliberation are clearly distributed inequitably, rather than to offer well powered tests of conditional hypotheses.

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[13] We do not include random slopes for interactions at the outcome level because these more complicated models failed to converge. We therefore focus our discussion on overall conditional effects. We continue to include random slopes for treatment conditions at those levels.

The Benefits of Public Deliberation Persist across Difference
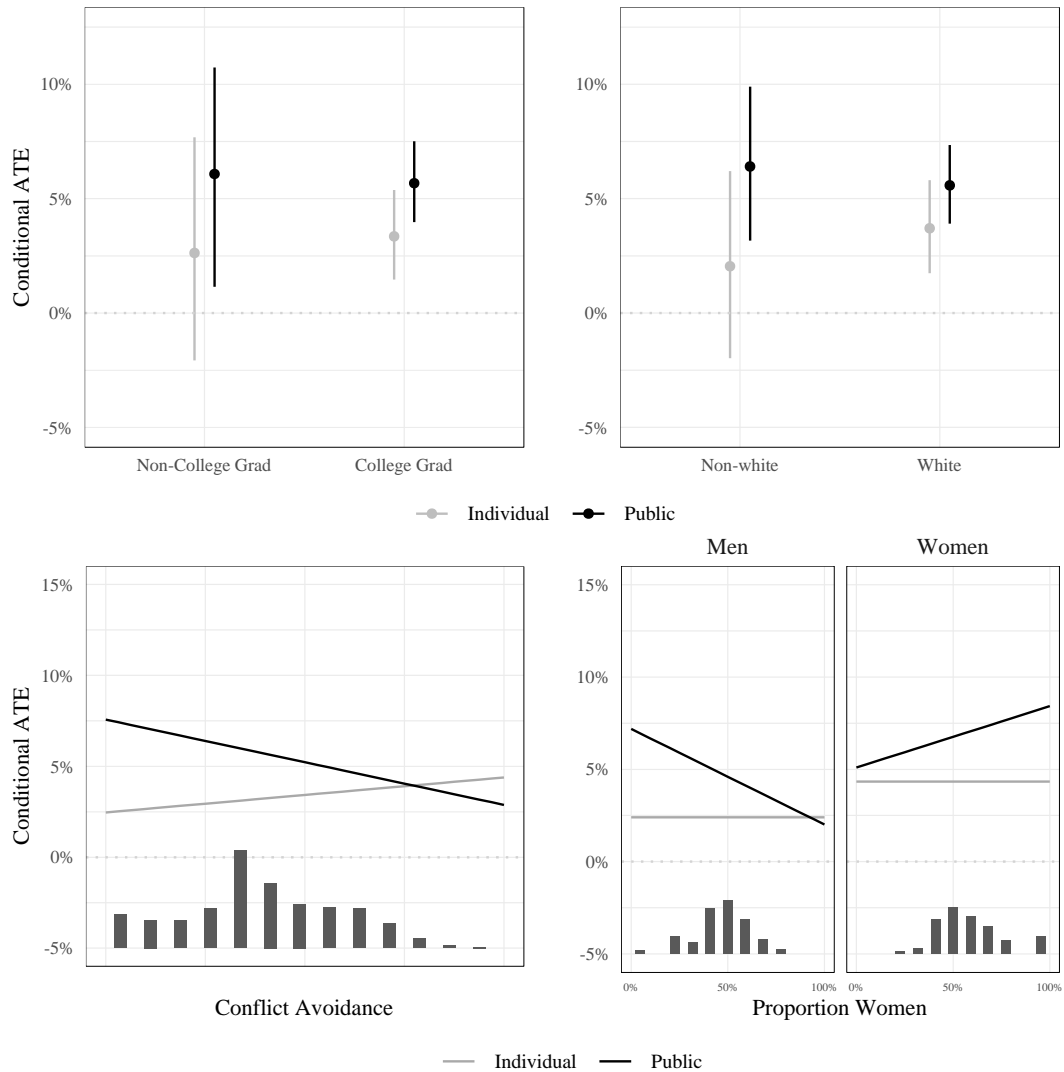
Figure 4. The figure reports conditional average treatment effects as percentages of the outcome's range. Estimates are based on linear mixed effects regression with covariate balance weighting, and depicted with 95% confidence intervals. The bottom two panels include histograms of the distributions of the conditioning variables. Tabular versions of regression results appear in Appendix C (pp. A9-A12).

First, we examine whether conflict avoidant individuals benefit less from public than individual deliberation (Ulbig and Funk 1999; Mutz 2006). We see little evidence of conditionality based on conflict avoidance (see lower left panel of Figure 4). Overall, the estimated effect of *Public* deliberation eclipsed that of *Individual* deliberation for all but the most conflict avoidant, of whom there were very few in our sample. The coefficients on the interaction of *Conflict*

Avoidance with *Public* is -3% [-8%, 2%], while that with *Individual* is 1% [-5%, 7%]. More substantively, in the figure, the 95% intervals of the two effects clearly overlap throughout the range of the conditioning variable. More substantively, the overall difference between the effects of *Public* and *Individual* deliberation was 3% [1%, 5%] for participants with *Conflict Avoidance* at the lowest observed tercile, and 2% [0%, 3%] for those at the highest one—positive in both cases. We conclude that, at least in this case, both those who seek and avoid conflict shared in the benefits of public deliberation. Put another way, we do not see clear, unambiguous evidence that the conflict acceptant reaped the vast share of the benefits from public deliberation.

Beyond differences in psychological traits, the less privileged may also not share equally in the benefits of public deliberation. In particular, people of color and those without college educations may not benefit, either because the more privileged actively dominate discussions, or because the less privileged introject such power disparities and consequently participate less fully. About 81% of the sample held a college degree, and 73% were white, allowing us to test for these possibilities.[14]

The top two panels of Figure 4 reveal little evidence of difference in benefits by either college education or race/ethnicity. In fact, the point estimates for the effects of *Public* deliberation are actually very slightly larger for non-college graduates and for people of color. Specifically, the coefficient on the interaction of *Non-College Graduate* and *Public* is 0% [-5%, 5%], and that with

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[14] The proportions of college graduates are virtually identical across conditions, while the distribution of white participants is more varied (67% in *Public*, 75% in *Individual*, 78% in *Control*). See Table A3 in Appendix B (p. A6) for details on deliberative-group level mean covariates.

Individual is -1% [-6%, 5%]. Similarly, the coefficient on the interaction of *Non-white* and *Public* is 1% [-3%, 5%], and that with *Individual* is -2% [-6%, 3%]. In more substantive terms, the overall difference between *Public* and *Individual* treatments was 3% [-1%, 7%] for non-college graduates, and 2% [1%, 4%] for college graduates. Similarly, that difference was 4% [1%, 7%] for non-white participants, and 2% [0%, 4%] for white participants. We conclude that the benefits of deliberation were shared across differences in education and race/ethnicity—or at least that any disparities are not large enough for us to detect.

Finally, we consider conditional effects by participants' gender and the gender mix of the deliberating groups. Karpowitz and Mendelberg (2014, KM) suggest that many potentially beneficial aspects of the deliberative setting depend on the style and performance of individual participants, which in turn depend at least in part on whether women are in the minority. Further, they argue that the costs are relatively larger for women participants than for men. It is therefore plausible that *Public* deliberation could even be counterproductive relative to *Individual* deliberation, especially for women and when they are in the minority. Therefore, we focus our analysis on comparisons of the relative benefits of *Public* deliberation in groups dominated by men, and those dominated by women, for participants of both genders.

Overall, 47% of our participants identified as women, although women were slightly overrepresented in the *Public* deliberation condition (51%) as compared to the *Individual* deliberation (43%) and *Control* (43%) conditions. The *Proportion of Women* in our 39 discussion groups was consequently slightly elevated, with a mean of 52% and a standard deviation of 19%, ranging from groups of all men to all women. The Pearson correlation between group size and *Proportion of Women* was very small, at -0.02. To analyze these effects, we fit a multilevel model

including three-way interactions of participant gender, treatment indicators, and *Proportion of Women*, replicating KM's analysis.

Importantly, our deliberations differ from KM in two ways that might have reduced differences by gender. First, our discussion groups occurred online rather than in-person. To the extent that nonverbal cues are necessary to stimulate differences in gender (versus introjection), online forums might attenuate gender biases. Second, KM's forums involved incentivized collective choice and a varying decision rule, finding that women are disadvantaged in majority rule settings, but not with a unanimity rule. KM suggest that the pivotal mechanism for the difference in biases by decision rule is that majority rule encourages participants to engage in contestation, while unanimity rule fosters consensus-building. Common Ground for Action forums, in contrast, use a supermajoritarian preference aggregation for informational purposes, without incentivized collective choice.[15]

While both of these differences might limit gender differences, there is also good reason to expect that such biases might persist. First, almost all forums included participants with a mix of gender identities. Participants used their first names, which often reveal an individual's gender, and, to the extent that gendered behavior is mediated through language and especially contestation, the fact that forums were online may by irrelevant. Indeed, if limitations from such things as conflict aversion and disparities were intrinsic to deliberation, as critics fear, then these limitations should persist across different modes for deliberation. Second, although Common Ground for Action forums did not include incentivized collective choice or use majority rule, the forums are

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[15] The forums use an 80% rule to identify which policy solutions are "in the common ground," and thus should be strongly considered for adoption.

designed to foster contestation. Participants are encouraged to talk about points on which they disagree, and to offer arguments that might persuade others. So it is plausible that we will observe conditional effects of *Public* deliberation depending on both gender and gender mix.

The bottom right panel of Figure 4 displays overall treatment effects for both men and women, and for varying proportions of women in the deliberating groups. The effect of *Individual* deliberation is a flat line for each gender, as it does not depend on the composition of the group. Across the board, we see that the effect of *Public* deliberation dominates that of *Individual* deliberation. For example, when discussion groups are composed of equal proportions of men and women, the difference-in-differences between treatment effects is nearly identical for men, 2% [0%, 4%], and women, 2% [0%, 5%]. We conclude that, at least in balanced groups, there are no gender differences in the benefits of public deliberation.

We also see suggestive evidence that is consistent with KM: both women and men seem to derive somewhat larger benefits from discussion in groups in which their gender is the more numerous. Consider two hypothetical groups of five, one with one woman, and the other with one man. According to our model, the difference between the effects of *Public* and *Individual* deliberation in the group with one woman is 1% [-2%, 5%] for the lone woman, yet it is 4% [0%, 7%] for the men. Comparatively, in the group with one man, that difference is 3% [0%, 6%] for the woman, and 1% [-4%, 5%] for the lone man. Thus, our findings are consistent with the direction of KM's findings.

Conclusion

We reported on head-to-head comparisons of public and individual deliberation, testing a wide range of hypotheses and probing for conditional effects based on dispositions and identities. While

29

on some accounts the normative appeal of deliberation is inherently individual, our findings suggest that the benefits from public deliberation can be substantial. Both in overall terms, as well as attitudes toward the session, subjective perceptions of change and reflection, and enthusiasm about the issue, the effects of public deliberation supersede those of individual reflection. The only case in which individual deliberation rivals its public counterpart was in knowledge gains, and that difference is small and imprecisely measured. Unlike previous studies that merely provide subjects with information, subjects assigned to our individual deliberation group were effectively encouraged to engage in such reflection. So, while depth of processing across the public and individual groups may have contributed to our findings, we are confident that the differences we identified are due not only to inducement, but also to genuine differences in experience as a result of conversation.

The conditional effects we report also destabilize the notion that the disparities associated with face-to-face public deliberation are reproduced in this online setting. We found no systematic evidence that the conflict avoidant gained more from individual than public deliberation. While we found suggestive evidence of differences in deliberation effects depending on gender mix in discussion groups, we found no circumstances in which the benefit of individual deliberation eclipsed that of public deliberation for differences in education or race/ethnicity; and further, the disparities were symmetric across genders. At the least, the upshot of our research is to place a relatively low ceiling on the magnitude of inequality in the benefits of public deliberation.

Based on our experiment, we conclude that the benefits of public deliberation is clearest and largest for participants' subjective experiences: their attitudes toward their experiences and their perceptions of their minds having changed. But effects are relatively small overall, as our depiction reveals. From this, we conclude that, on the one hand, public deliberation may be most

valuable insofar as it incentivizes individuals to actually think about difficult policy questions. But, once the deliberation has actually occurred, we see only sporadic gains—in enthusiasm, which may be an outlier, given our sample size. That effect sizes are small does not necessarily diminish the benefit of deliberation full stop, but it does mean that once the expenses of public deliberation are taken into account, it remains plausible that its costs outweigh its benefits.

Importantly, future study should focus on better identifying conditional effects with larger samples and more precise instrumentation. While our sample size was not unreasonably small— we report on 402 participants—we nevertheless found only imprecise estimates of several treatment effects. That could be because deliberation, either public or individual, simply does not offer such benefits. Or, it could be because the sample sizes we use did not offer sufficient statistical power to reliably estimate such effect sizes. Simultaneously, the significant estimates we report may actually be overestimates, or errors of magnitude (Gelman and Carlin 2014). In particular, future study should focus on the effects of public deliberation on the emotional subsystems, as we found effects only for the enthusiasm and not for anger or anxiety. All told, our study offers a guide for future empirical studies of deliberation, which should seek samples substantially larger than what we report on here.

Although public deliberation remains expensive—for both participants and planners— those costs appear to produce substantial benefits. If we had found little evidence of differences in the effects of public and individual deliberation, that would have called into question the deep investments that institutions and governments have made in the practice. Instead, our findings suggest that, public deliberation may well be worth that cost.

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