LIMIT THEOREMS FOR HIGHER RANK ACTIONS ON HEISENBERG NILMANIFOLDS

3 MINSUNG KIM*

2

ABSTRACT. The main result of this paper is a construction of finitely additive measures for higher rank abelian actions on Heisenberg nilmanifolds. Under a full measure set of Diophantine condition for the generators of the action, we construct $Bufetov\ functional$ on rectangles on 2g+1 dimensional Heisenberg manifold. We prove the deviation of Birkhoff integral of higher rank actions described by asymptotics of Bufetov functionals for a sufficiently smooth function. As a corollary, a distribution of normalized Birkhoff integrals to have a variance 1 converges to a non-degenerate compactly supported measure on the real line.

Contents

5	1. Introduction	2
6	1.1. Introduction	2
7	1.2. Definitions and statement of results	$\frac{2}{3}$
8	Main results	5
9	2. Analysis on Heisenberg manifolds	7
10	2.1. Sobolev space	7
11	2.2. Invariant currents	8
12	2.3. Diophantine condition	9
13	3. Constructions of the functionals	11
14	3.1. Remainder estimates	11
15	3.2. Constructions of the functionals	15
16	3.3. Proof of Theorem 1.3	17
17	3.4. Proof of Theorem 1.6	19
18	4. Limit distributions	20
19	4.1. Limiting distributions	21
20	4.2. Proof of Theorem 1.7	23
21	5. L^2 -lower bounds	24
22	5.1. Structure of return map	24
23	5.2. Higher cohomology for \mathbb{Z}^d -action of skew-shifts	25
24	5.3. Changes of coordinates	26
25	5.4. L^2 -lower bound of functional	27
26	6. Analyticity of functionals	30
27	6.1. Analyticity	30
28	6.2. Extensions of domain	33

Date: November 24, 2021.

 $^{2010\} Mathematics\ Subject\ Classification.\ 37A17,\ 37A20,\ 37A44,\ 60F05.$

Key words and phrases. Higher rank abelian actions, Limit theorem, Heisenberg groups.

L	7. Measure estimation for bounded-type	34
2	Acknowledgement	36
2	References	36

1. Introduction

1.1. Introduction. The asymptotic behavior and limiting distribution of ergodic averages of translation flow were studied by Alexander Bufetov in the series of his works [Buf14a, Buf10, Buf14b]. He constructed finitely-additive Hölder measures and cocycles over translation flows that are known as Bufetov functionals. Such functionals are constructed to derive the deviation of ergodic integrals of translation flows by providing a new proof of the celebrated work of G. Forni [For02]. Bufetov noticed a duality between his finitely-additive Hölder measures and Forni's invariant distributions, which played a crucial role in his work of cohomological equations. Following these observations, the duality between such functionals and the space of invariant distributions was constructed analogously for other parabolic flows and higher rank actions (e.g. [Buf13, BS13, BF14, FK20b, For20a]). Bufetov also proved the probabilistic results for normalized ergodic integrals as a part of his main theorem. Furthermore, it turned out that his construction of functional is also closely related to limit shapes of ergodic sums for interval exchange transformation [MMY10, MUY20].

In this paper, our main results are on effective equidistributions for higher rank abelian actions and limit theorems on Heisenberg nilmanifolds. We firstly introduce the construction of the Bufetov functional for higher rank abelian actions. The main argument is based on the renormalizations and induction argument from the work of Cosentino and Flaminio [CF15]. However, in our case, we extended their constructions to the rectangular domain and imposed more flexibilities on each directions of shape. We assume that the functional exists for a full measure set of frame α under a necessary Diophantine condition obtained from recurrent conditions (see §2.3.2). Likewise, we also constructed the duality between functionals and invariant currents in [CF15], enabling the deviation formula. As a corollary, we prove an existence to the limit distributions of (normalized) ergodic integrals for higher rank actions. More specifically, as a random variable, normalized ergodic integrals converge in distribution to a non-degenerate, compactly supported measure on the real line.

As a minor application, it is not surprising that the related result for exponential sums appeared from connections between the Heisenberg group and theta series in several contexts. For Heisenberg flows, as a first return map on a transverse torus is obtained as a skew-translation, its limit theorem of theta sums was studied by J. Griffin J. Marklof and Cellarosi-Marklof [GM14,CM16]. It is recently generalized by Forni and Kanigowski [FK20b]. Likewise, we obtain limit theorem for theta series on Siegel half spaces by generalizing results [GG04,Mar99] (see [Tol78,MM07,MNN07] for a general introduction).

Furthermore, L^2 -lower bound of Bufetov functional on a transverse torus and its analyticity on the higher dimensional rectangular domain follows. This part contributes that our functional does not vanish and extends to the complex domain in the weighted space of fast-decaying coefficient of functionals. From these results,

polynomial type of lower bounds for the analytic function is obtained on certain sublevel sets. Such polynomial estimates on the measure are derived by real analyticity of a functional along the leaves of a foliation transverse to the actions based on results of [Bru99]. This tool was already devised by Forni and Kanigowski [FK20b] to study the bound of correlations for time-changes of nilflows. Unfortunately, it turned out that we can not obtain similar results because the time-changes of higher rank abelian actions on Heisenberg nilmanifolds are all trivial. It follows from the triviality of the first cohomology group (see [CF15, Theorem 3.16]) thus, they are conjugated to the linear action and never mixing.

As a rank 1-action, mixing properties for time changes of Heisenberg nilflows were firstly studied in [AFU11]. Then, it was extended in the dense set of non-trivial time changes for any uniquely ergodic nilflows on general nilmanifolds [Rav18, AFRU21]. As a special case, on time-changes of Heisenberg flows, multiple mixing [FK20a] was proved by Ratner property. In \mathbb{Z}^k -actions, mixing of shapes for automorphisms on nilmanifold is proved in [GS14, GS15].

10

11

12

13

14

15

16

17

19

21

Comprehensive studies of spatial and temporal limit theorems for horocycle flows have been carried out in the work of D. Dolgopyat and O. Sarig [DS17]. Recent work of Ravotti [Rav21] proved spatial and temporal limit theorems for horocycle flows in alternative ways by using Ratner's argument. His work did not rely on the methods from invariant distributions of solving the cohomological equations. However, it is still unknown if temporal (or weaker) limit theorems for Heisenberg flows can be proved, and it will be a possible subject of further works.

1.2. **Definitions and statement of results.** We review definitions about Heisenberg manifold and its moduli space.

1.2.1. Heisenberg manifold. Let $g \geq 1$ and H^g be the standard 2g+1 dimensional Heisenberg group and set $\Gamma := \mathbb{Z}^g \times \mathbb{Z}^g \times \frac{1}{2}\mathbb{Z}$ a discrete and co-compact subgroup of H^g . We shall call it standard lattice of H^g and the quotient $M := \mathsf{H}^g/\Gamma$ will be called Heisenberg manifold. Lie algebra $\mathfrak{h}^g = Lie(\mathsf{H}^g)$ is equipped with a basis $(X_1, \dots, X_q, Y_1, \dots Y_q, Z)$ satisfying canonical commutation relations

(1)
$$[X_i, Y_j] = \delta_{ij} Z$$
, where $\delta_{ij} = 1$ if $i = j$, and $\delta_{ij} = 0$ otherwise.

For $1 \leq d \leq g$, let P^d be a subgroup of H^g where its Lie algebra $\mathfrak{p} := \mathfrak{p}_d = Lie(\mathsf{P}^d)$ is generated by $\{X_1, \cdots, X_d\}$. For any $\alpha \in Sp_{2g}(\mathbb{R})$, set $(X_i^\alpha, Y_i^\alpha, Z) = \alpha^{-1}(X_i, Y_i, Z)$ for $1 \leq i \leq d$. We define a parametrization of the subgroup $\mathsf{P}^{d,\alpha} = \alpha^{-1}(\mathsf{P}^d)$ according to

$$\mathsf{P}_x^{d,\alpha} := \exp(x_1 X_1^{\alpha} + \dots + x_d X_d^{\alpha}), \quad x = (x_1, \dots, x_d) \in \mathbb{R}^d.$$

By central extension of \mathbb{R}^{2g} by \mathbb{R} , we have an exact sequence

$$0 \to Z(\mathsf{H}^g) \to \mathsf{H}^g \to \mathbb{R}^{2g} \to 0.$$

The natural projection $pr: M \to \mathsf{H}^g/(\mathsf{\Gamma} Z(\mathsf{H}^g))$ maps M onto a 2g-dimensional torus $\mathbb{T}^{2g}:=\mathbb{R}^{2g}/\mathbb{Z}^{2g}$.

1.2.2. Moduli space. The group of automorphisms of H^g that are trivial on the center is denoted by $Aut_0(\mathsf{H}^g) = Sp_{2g}(\mathbb{R}) \ltimes \mathbb{R}^{2g}$. Since dynamical properties of actions are invariant under inner automorphism, we restrict our interest to $Sp_{2g}(\mathbb{R})$. We regard $Sp_{2g}(\mathbb{R})$ as the deformation space of the standard Heisenberg manifold M and call the quotient $\mathfrak{M}_g = Sp_{2g}(\mathbb{R})/Sp_{2g}(\mathbb{Z})$ the moduli space of (standard) Heisenberg manifold.

Siegel modular variety is a double coset space $\Sigma_g = K_g \backslash Sp_{2g}(\mathbb{R})/Sp_{2g}(\mathbb{Z})$ where K_g is a maximal compact subgroup $Sp_{2g}(\mathbb{R}) \cap SO_{2g}(\mathbb{R})$ of $Sp_{2g}(\mathbb{R})$. For $\alpha \in Sp_{2g}(\mathbb{R})$, we denote $[\alpha] := \alpha Sp_{2g}(\mathbb{Z})$ by its projection on the moduli space \mathfrak{M}_g and write $[[\alpha]] := K_g \alpha Sp_{2g}(\mathbb{Z})$ the projection of α to the Siegel modular variety Σ_g .

Double coset $K_g \backslash Sp_{2g}(\mathbb{R})/1_{2g}$ is identified to the Siegel upper half space $\mathfrak{H}_g := \{Z \in Sym_g(\mathbb{C}) \mid \Im(Z) > 0\}$. Siegel upper half space of genus g is complex manifold of symmetric complex $g \times g$ matrices Z = X + iY with positive-definite symmetric imaginary part $\Im(Z) = Y$ and arbitrary (symmetric) real part X. Denote by $\Sigma_g \approx Sp_{2g}(\mathbb{Z})\backslash\mathfrak{H}_g$.

1.2.3. Representation. We write the Hilbert sum decomposition of

(2)
$$L^2(M) = \bigoplus_{n \in \mathbb{Z}} H_n$$

into closed H^g-invariant subspaces. Set $f = \sum_{n \in \mathbb{Z}} f_n \in L^2(M)$ and $f_n \in H_n$ where

$$H_n = \{ f \in L^2(M) \mid \exp(tZ)f = \exp(2\pi \iota nKt)f \}$$

for some fixed K > 0. The center $Z(\mathsf{H}^g)$ has spectrum $2\pi \mathbb{Z} \setminus \{0\}$ and the space $L^2(M)$ splits as Hilbert sum of H^g -module H_n , which is equivalent to irreducible representation π .

By Stone-Von Neumann theorem, the unitary irreducible representation π of the Heisenberg group of non-zero central parameter K>0 is unitarily equivalent to the Schrödinger representation π . By differentiating the Schrödinger representation, we obtain a representation of the Lie algebra \mathfrak{h}^g on Schwartz space $\mathscr{S}(\mathbb{R}^g) \subset L^2(\mathbb{R}^g)$ (as a C^{∞} -vector). This is called *infinitesimal derived representation* $d\pi_*$ with parameter $n \in \mathbb{Z}$, and for each $k = 1, 2, \dots, g$,

$$d\pi_*(X_k) = \frac{\partial}{\partial x_k}, \quad d\pi_*(Y_k) = 2\pi \iota n K x_k, \quad d\pi_*(Z) = 2\pi \iota n K$$

acts on $L^2(\mathbb{R}^g) \simeq L^2(H_n)$.

Given a basis (V_i) of the Lie algebra, we set a Laplacian $\Delta = -\sum_i V_i^2$ and define L^2 -Sobolev norm $\|f\|_s^2 = \langle f, (1+\Delta)^s f \rangle$ where $\langle \cdot, \cdot \rangle$ is an ordinary inner product. For s>0, the Sobolev space $W^s(M)$ is defined by a completion of $C^\infty(M)$ equipped with the norm $\|\cdot\|_s$. The Sobolev space $W^s(M) = \bigoplus_{n \in \mathbb{Z}} W^s(H_n)$ decomposes to closed H^g -invariant subspaces $W^s(H_n) = W^s(M) \cap H_n$.

1.2.4. Renormalization flow. Denote diagonal matrix $\delta_i = diag(d_1, \dots, d_g)$ with $d_i = 1, d_k = 0$ if $k \neq i$. Then, for each $1 \leq i \leq g$, we denote $\hat{\delta}_i = \begin{bmatrix} \delta_i & 0 \\ 0 & -\delta_i \end{bmatrix} \in \mathfrak{sp}_{2g} = Lie(Sp_{2g}(\mathbb{R})).$

Any such $\hat{\delta}_i$ generate a one-parameter subgroup of automorphism (renormalization flow) $r_i^t := e^{t\hat{\delta}_i}$. We denote (rank d) renormalization actions $r_{\mathbf{t}} := r_{i_1}^{t_1} \cdots r_{i_d}^{t_d}$ for $\mathbf{t} = (t_1, \cdots, t_d)$ and $1 \le i_1, \cdots, i_d \le g$. We also write the corresponding automorphism

(3)
$$\exp(\mathbf{t}\hat{\delta}(d)): (x, y, z) \mapsto (e^{\mathbf{t}\hat{\delta}}x, e^{-\mathbf{t}\hat{\delta}}y, z)$$

of the Heisenberg group (see $\S 2.2.3$ for its use).

- 1 Main results. One of the main objects in this paper is to construct finitely-
- additive measures defined on the space of rectangles on Heisenberg manifold M.
- 3 We state our results beginning with an overview of Bufetov functional.
- **Definition 1.1.** For $(m, \mathbf{T}) \in M \times \mathbb{R}^d_+$, denote the standard rectangle for action P ,

(4)
$$\Gamma_{\mathbf{T}}^{X}(m) = \{ \mathsf{P}_{\boldsymbol{t}}^{d,\alpha}(m) \mid \boldsymbol{t} \in U(\mathbf{T}) = [0, \mathbf{T}^{(1)}] \times \dots \times [0, \mathbf{T}^{(d)}] \}.$$

- Let $Q_y^{d,Y} := \exp(y_1 Y_1 + \dots + y_d Y_d), \ y = (y_1, \dots, y_d) \in \mathbb{R}^d$ be an action generated
- by elements Y_i of standard basis. Let $\phi_z^Z := \exp(zZ), z \in \mathbb{R}$ be a flow generated
- 7 by the central element Z.
- **Definition 1.2.** Let \mathfrak{R} be the collection of the generalized rectangles in M. For
- 9 any $1 \leq j \leq d \leq g$ and $\boldsymbol{t} = (t_1, \dots, t_d)$, we set

$$(5) \quad \mathfrak{R}:=\bigcup_{1\leq i\leq d}\bigcup_{(y,z)\in\mathbb{R}^{j}\times\mathbb{R}}\bigcup_{(m,\mathbf{T})\in M\times\mathbb{R}_{+}^{d}}\{(\phi_{t_{i}z}^{Z})\circ\mathsf{Q}_{y}^{j,Y}\circ\mathsf{P}_{\boldsymbol{t}}^{d,\alpha}(m)\mid\boldsymbol{t}\in U(\mathbf{T})\}.$$

- **Theorem 1.3.** For any irreducible representation H, there exists a measure $\hat{\beta}_H(\Gamma) \in \mathbb{C}$ for every standard d-rectangle Γ such that the following holds:
 - (1) (Additive property) For any decomposition of disjoint rectangles $\Gamma = \bigcup_{i=1}^{n} \Gamma_i$ or whose intersections have zero measure,

$$\hat{\beta}_H(\alpha, \Gamma) = \sum_{i=1}^n \hat{\beta}_H(\alpha, \Gamma_i).$$

(2) (Scaling property) For $\mathbf{t} \in \mathbb{R}^d$,

$$\hat{\beta}_H(r_t(\alpha), \Gamma) = e^{-(t_1 + \dots + t_d)/2} \hat{\beta}_H(\alpha, \Gamma).$$

(3) (Invariance property) For any action $Q_{\tau}^{j,Y}$ generated by Y_i 's for $\tau \in \mathbb{R}^j_+$ and $1 \leq j \leq d$,

$$\hat{\beta}_H(\alpha, (\mathsf{Q}_{\tau}^{j,Y})_*\Gamma) = \hat{\beta}_H(\alpha, \Gamma).$$

(4) (Bounded property) For any rectangle $\Gamma \in \mathfrak{R}$, there exists a constant $C(\Gamma) > 0$ such that for $\hat{X} = \hat{X}_1 \wedge \cdots \wedge \hat{X}_d$,

$$|\hat{\beta}_H(\alpha,\Gamma)| \le C(\Gamma) (\int_{\Gamma} |\hat{X}|)^{d/2}.$$

Corollary 1.4. The functional $\hat{\beta}_H$ defined on standard rectangle Γ_T^X extends to the class \Re .

For arbitrary rectangle $U_{\mathbf{T}} = [0, \mathbf{T}^{(1)}] \times \cdots \times [0, \mathbf{T}^{(d)}]$, pick $\mathbf{T}'^{(i)} \in [0, \mathbf{T}^{(i)}]$ for each i to decompose $U_{\mathbf{T}}$ into 2^d sub-rectangles. We write $\mathbf{P}(\mathbf{T}')$ collection of 2^d vertices $v = (v^{(1)}, v^{(2)}, \cdots, v^{(d)})$ where $v^{(i)} \in \{0, \mathbf{T}'^{(i)}\}$. Let $U_{\mathbf{T},v}$ be a rectangle whose sides $I_v = (I^{(1)}, I^{(2)}, \cdots, I^{(d)}) \in \mathbb{R}^d_+$ where

$$I^{(l)} = \begin{cases} T^{(l)} - T'^{(l)} & \text{if} \quad v^{(l)} = T'^{(l)} \\ T'^{(l)} & \text{if} \quad v^{(l)} = 0. \end{cases}$$

- Then, we have $U_{\mathbf{T}} = \bigcup_{v \in \mathbf{P}(\mathbf{T}')} U_{\mathbf{T},v}$.
- 15 Corollary 1.5. Let us denote

(6)
$$\beta_H(\alpha, m, \mathbf{T}) := \hat{\beta}_H(\alpha, \Gamma_{\mathbf{T}}^X(m)).$$

The function β_H satisfies the following properties:

(1) (Cocycle property) For all $(m, T_1, T_2) \in M \times \mathbb{R}^d \times \mathbb{R}^d$,

$$\beta_H(\alpha, m, T_1 + T_2) = \sum_{v \in \mathbf{P}(T_1)} \beta_H(\alpha, \mathsf{P}_v^{d,\alpha}(m), I_v).$$

(2) (Scaling property) For all $m \in M$ and $\mathbf{t} = (t_1, \dots, t_d)$,

$$\beta_H(r_t(\alpha), m, T) = e^{-(t_1 + \dots + t_d)/2} \beta_H(\alpha, m, T).$$

(3) (Bounded property) Let us denote the largest length of side $T_{max} = \max_i \mathbf{T}^{(i)}$. Then there exists a constant $C_H > 0$ such that

$$\beta_H(\alpha, m, T) \le C_H T_{max}^{d/2}.$$

(4) (Orthogonality) For all $\mathbf{T} \in \mathbb{R}^d$, $\beta_H(\alpha, \cdot, \mathbf{T})$ belongs to the irreducible component, i.e.

$$\beta_H(\alpha,\cdot,\mathbf{T})\in H\subset L^2(M).$$

By representation theory introduced (2), for any $f = \sum_H f_H \in W^s(M)$, define a Bufetov cocycle associated to f as a sum

(7)
$$\beta^{f}(\alpha, m, T) = \sum_{H} D_{\alpha}^{H}(f) \beta_{H}(\alpha, m, T).$$

Notation. Given a Jordan region U and a point $m \in M$, set $\mathscr{P}_U^{d,\alpha}m$ the Birkhoff integrals (currents) associated to the action $\mathsf{P}_x^{d,\alpha}$ given by

$$\left\langle \mathscr{P}_{U}^{d,\alpha}m,\omega_{f}\right\rangle :=\int_{U}f(\mathsf{P}_{x}^{d,\alpha}m)dx_{1}\cdots dx_{d}$$

- 3 for any degree \mathfrak{p} -form $\omega_f = f \hat{X}_1^{\alpha} \wedge \cdots \wedge \hat{X}_d^{\alpha}$ with smooth function with zero averages
- $f \in C_0^{\infty}(M).$
- 5 **Theorem 1.6.** For all s > d(d+11)/4+g+1, there exists a constant $C_s > 0$ such
- that for almost all frequency α and for all $f \in W^s(M)$ and for all $(m, T) \in M \times \mathbb{R}^d$,
- 7 we have

(8)
$$\left|\left\langle \mathscr{P}_{U(\mathbf{T})}^{d,\alpha}m,\omega_{f}\right\rangle - \beta^{f}(\alpha,m,\mathbf{T})\right| \leq C_{s}\left\|\omega_{f}\right\|_{s}.$$

for $U(T) = [0, T^{(1)}] \times \cdots \times [0, T^{(d)}]$ and $\omega_f = f\omega^{d,\alpha} \in \Lambda^d \mathfrak{p} \otimes W^s(M)$.

Let the family of random variable

$$E_{T_n}(f) := \frac{1}{vol(U(T_n))^{1/2}} \left\langle \mathscr{P}_{U(T_n)}^{d,\alpha}(m), \omega_f \right\rangle$$

- where $U(T_n)$ is a sequence of rectangles. The point $m \in M$ is distributed ac-
- 10 cordingly to the probability measure vol on M. Our goal is to understand the
- asymptotic behavior of the probability distributions of $E_{T_n}(f)$.
- Theorem 1.7 (Theorem 4.6). For every closed form $\omega_f \in \Lambda^d \mathfrak{p} \otimes W^s(M)$ with
- 13 $s > s_{d,g} = d(d+11)/4 + g + 1/2$, which is not a coboundary, the limit distribution
- of the family of random variables $E_{T_n}(f)$ exists, and for almost all frequency α , it
- 15 has compact support on the real line.
- We finish the section by giving some remarks on the new adaptation of transfer operator techniques from hyperbolic dynamics. The method stems from the analysis
- of the transfer operator, firstly treated by P. Gieuletti and Liverani [GL19]. They
- set up a non-linear flows on the torus and proved asymptotics of ergodic averages

with expansions of invariant distributions and eigenvalues of transfer operators called *Ruelle resonances* (see also [AB18, FGL19, For20b, B19] for applications in parabolic flows).

A recent work of L. Simonelli and O. Butterley [BS20] rephrased the work of Flaminio and Forni [FF06] by reproving the deviation of ergodic averages for Heisenberg nilflows. Although their work is restricted to the periodic type of α in the moduli space \mathfrak{M} (the flow is only renormalized by partially hyperbolic diffeomorphism, or α is periodic) their methods showed indirect similarities with [FK20b] and our current work. However, it is still not known if it is possible to extend their approach to full measure set of α , requiring construction of renormalization cocycle, so called *Transfer cocycle*. It is also not well-studied how to replace the use of Anisotropic norm, not relying on the result of Faure-Tsujii [FT15].

Open problem. Our work leaves open questions.

Problem 1. Can we prove measure estimation of β^f in §7 for the full measure set of α ?

Problem 2. Can we construct Bufetov functionals on higher step nilmanifolds?

Problem 3. Can we prove the deviation of ergodic averages for higher rank abelian actions by adopting Gieuletti-Liverani approach?

Since moduli space is trivial and there does not exist renormalization flows, we could not obtain the results in the same method. However, we still hope other methods in handling non-renormalizable flows are possibly applied (cf. [FF14, FFT16, Kim21]).

Outline of the paper. In section 2, we give basic definitions on Higher rank actions, moduli spaces and Sobolev spaces. In section 3, we state main theorem and prove constructions of Bufetov functionals with main properties. In section 4, we prove asymptotic formula of Birkhoff integrals and its limit theorems. In section 5, we prove L^2 -lower bound of the Bufetov functionals. In Section 6, analyticity of functional and extensions of domain are provided. In section 7, there exist measure estimates of functionals on the sets where values of functionals are small. This result only holds when frame α is of bounded type.

2. Analysis on Heisenberg Manifolds

In this section, we review definitions of Sobolev space, currents, representation and renormalization flows on moduli space.

2.1. Sobolev space.

14

16

17

18

19

20 21

22

23

24 25

26

27

31

32

2.1.1. Sobolev bundles. Given a basis (V_i) of the Lie algebra \mathfrak{h}^g , we write a new basis $((\alpha^{-1})_*V_i)$ for $\alpha \in Aut_0(\mathsf{H}^g)$. Similarly, denote by Laplacian $\Delta_\alpha = -\sum_i (\alpha^{-1})_*V_i^2$ with respect to the new basis. For any $s \in \mathbb{R}$ and any C^∞ -function $f \in L^2(M)$, Sobolev norm is defined by

$$||f||_{\alpha,s} = \langle f, (1+\Delta_{\alpha})^s f \rangle^{1/2}.$$

¹For instance, it is plausible to view their construction of functionals obtained by spectral projection as a functional $\hat{\beta}_H$ on each sub-representation H in our setting (see [BS20, §4]).

²Furthermore, it is unknown how to apply recurrent conditions instead of relying on the methods in Sobolev constant techniques.

- Let $W^s_{\alpha}(M)$ be a completion of $C^{\infty}(M)$ with the norm above. The dual space of
- $W^s_{\alpha}(M)$ is denoted by $W^{-s}_{\alpha}(M)$ and it is isomorphic to $W^s_{\alpha}(M)$. Extending it to
- the exterior algebra, define the Sobolev spaces $\Lambda^d \mathfrak{p} \otimes W^s_{\alpha}(M)$ of cochains of degree
- d and we use the same notations for the norm.
 - 2.1.2. Sobolev bundle. The group $Sp_{2g}(\mathbb{Z})$ acts on the right on the trivial bundles

$$Sp_{2q}(\mathbb{R}) \times W^s(M) \to Sp_{2q}(\mathbb{R})$$

where

$$(\alpha, \varphi) \mapsto (\alpha, \varphi)\gamma = (\alpha\gamma, \gamma^*\varphi), \quad \gamma \in Sp_{2q}(\mathbb{Z}).$$

We obtain the quotient flat bundle of Sobolev spaces over the moduli space:

$$(Sp_{2g}(\mathbb{R}) \times W^s(M))/Sp_{2g}(\mathbb{Z}) \to \mathfrak{M}_g = Sp_{2g}(\mathbb{R})/Sp_{2g}(\mathbb{Z})$$

and the fiber over $[\alpha] \in \mathfrak{M}_q$ is locally identified with the space $W^s_{\alpha}(M)$.

By invariance of $Sp_{2g}(\mathbb{Z})$ action, the class of (α, φ) is denoted by $[\alpha, \varphi]$ and $Sp_{2g}(\mathbb{Z})$ -invariant Sobolev norm is written by

$$||f||_{\alpha,s} := ||[\alpha,f]||_s$$
.

We denote the bundle of \mathfrak{p} -forms of degree j of Sobolev order s by $A^j(\mathfrak{p},\mathfrak{M}^s)$. The space of continuous linear functional on $A^j(\mathfrak{p},\mathfrak{M}^s)$ will be called the *space* of currents of dimension j and denoted by $A_j(\mathfrak{p},\mathfrak{M}^{-s})$. There is a flat bundle of (currents) distribution $A_j(\mathfrak{p},\mathfrak{M}^{-s})$ whose fiber over $[\alpha]$ is locally identified with the space $W_{\alpha}^{-s}(M)$. Similarly, we write the norm for form ω and currents \mathscr{D} by

$$\|\omega\|_{\alpha,s} := \|[\alpha,\omega]\|_s, \quad \|\mathcal{D}\|_{\alpha,s} := \|[\alpha,\mathcal{D}]\|_s.$$

- 6 2.1.3. Best Sobolev constant. The Sobolev embedding theorem implies that for any
- $\alpha \in Sp_{2q}(\mathbb{R})$ and s > q + 1/2, there exists a constant $B_s(\alpha)$ such that for any
- $f \in W^s_{\alpha}(M),$

(9)
$$B_s(\alpha) := \sup_{f \in W_{\alpha}^s(M) \setminus \{0\}} \frac{\|f\|_{\infty}}{\|f\|_{\alpha,s}}.$$

- 9 By Lemma 4.4 in [CF15], the best Sobolev constant B_s is a $Sp_{2g}(\mathbb{Z})$ -modular func-
- tion on \mathfrak{H}_g . Thus, we shall write $B_s([[\alpha]])$ or $B_s([\alpha])$ for $B_s(\alpha)$. By the Sobolev
- embedding theorem, we have a bound for the Birkhoff integral current.

Lemma 2.1. [CF15, Lemma 5.5] For any Jordan region $U \subset \mathbb{R}^d$ with Lebesgue measure |U|, for any s > g + 1/2 and all $m \in M$,

$$\left\| [\alpha, \mathscr{P}_{U}^{d,\alpha}m] \right\|_{-s} \leq B_{s}([[\alpha]])|U|.$$

2.2. Invariant currents.

2.2.1. Identification. We denote $I_d(\mathfrak{p}, W^{-s}(M))$ the space of P-invariant currents of Sobolev order s. By formal identities $\langle D, X_i^{\alpha}(f) \rangle = 0$ for all test function f and $i \in [1, d]$. The boundary operators

$$\partial: A_j(\mathfrak{p}, W^{-s}(M)) \to A_{j-1}(\mathfrak{p}, W^{-s}(M))$$

- are adjoint of the differentials d such that $\langle \partial D, \omega \rangle = \langle D, d\omega \rangle$. A current D is called
- 14 closed if $\partial D = 0$. For s > 0, we denote $D \in Z_d(\mathfrak{p}, W^{-s}(M))$ the space of closed
- currents of dimension d and Sobolev order s.

- Proposition 2.2. [CF15, Proposition 3.13] For any s > d/2, with $d = \dim P$, we have $I_d(\mathfrak{p}, \mathscr{S}(\mathbb{R}^g)) \subset W^{-d/2-\epsilon}(\mathbb{R}^g)$ for all $\epsilon > 0$. Additionally,
- $I_d(\mathfrak{p}, \mathscr{S}(\mathbb{R}^g))$ is one dimensional space if dim P = g,
- $I_d(\mathfrak{p}, \mathscr{S}(\mathbb{R}^g))$ is an infinite-dimensional space if dim P < g,
- $I_d(\mathfrak{p}, \mathscr{S}(\mathbb{R}^g)) = Z_d(\mathfrak{p}, W^{-s}(M))$ for any $1 \le d \le g$.
 - 2.2.2. Basic currents. The current B_{α} is called basic if for all $j \in \{i_1, \dots, i_d\}$,

$$\iota_{X_i} B_{\alpha} = L_{X_i} B_{\alpha} = 0.$$

- 6 For an irreducible representation H, there exists a basic current B^H_{α} associated to
- 7 invariant distribution D_{α}^{H} . It is defined by $B_{\alpha}^{H} = D_{\alpha}^{H} \eta_{X}$ and this formula implies
- 8 that for every d-form ξ ,

(10)
$$B_{\alpha}^{H}(\xi) = D_{\alpha}^{H} \left(\frac{\eta_{X} \wedge \xi}{\omega_{vol}} \right)$$

- where $\eta_X := \iota_{X_{i_1}} \cdots \iota_{X_{i_d}} \omega_{vol}$ and ω_{vol} is an invariant volume form.
- We recall that the space of Sobolev currents $A_j(\mathfrak{p}, W^{-s}(M))$ of dimension j with order s is identified with $\Lambda^j \mathfrak{p} \otimes W^{-s}(M)$. It follows that for all s > d/2, by Sobolev
- embedding theorem, $B_{\alpha}^{H} \in A_{j}(\mathfrak{p}, W_{\alpha}^{-s}(M))$ if and only if $D_{\alpha}^{H} \in W_{\alpha}^{-s}(M)$ for all s > d/2.
 - **Remark.** The formula (10) yields a duality between the space of basic (closed) currents and invariant distributions (see also [For02, §6.1] and [BF14, §2.1]). For any d-dimensional \mathfrak{p} -form $\omega_f = fdX_1 \wedge \cdots \wedge dX_d$ and $f \in C^{\infty}(M)$, we will identify currents \mathcal{D} with distribution D by writing

$$\langle D, f \rangle = \langle \mathcal{D}, \omega_f \rangle.$$

2.2.3. Renormalization. Let s > d/2. Recall the definition of renormalization flow (3) in §1.2.4. For $\omega \in \Lambda^d \mathfrak{p} \otimes W^s(\mathbb{R}^g)$, $\mathcal{D} \in Z_d(\mathfrak{p}, W^{-s}(M))$, and $t \in \mathbb{R}$

$$r_i^t[\alpha, \omega] = [r_i^t \alpha, \omega], \quad r_i^t[\alpha, \mathcal{D}] = [r_i^t \alpha, \mathcal{D}].$$

By Proposition 5.2 in [CF15], the sub-bundle $Z_d(\mathfrak{p}, W^{-s}(M))$ is invariant under the renormalization flows r_i^t . Furthermore, we have

$$\|r_1^{t_1} \dots r_d^{t_d}[\alpha, \mathcal{D}]\|_{-s} = e^{-(t_1 + \dots + t_d)/2} \|[\alpha, \mathcal{D}]\|_{-s}$$

Let $U_{\mathbf{t}}: L^2(\mathbb{R}^d) \to L^2(\mathbb{R}^d)$ be unitary operator for $\mathbf{t} = (t_1, \cdots, t_d)$,

(11)
$$U_{\mathbf{t}}f(x) = e^{-(t_1 + \dots + t_d)/2} f(e^{t_1}x_1, \dots e^{t_d}x_d).$$

Then, for any invariant distribution D_{α}^{H} ,

$$D_{r_t(\alpha)}^H = e^{(t_1 + \dots + t_d)/2} D_{\alpha}^H.$$

By reparametrization of \mathbb{R}^d -action $r_t = r_1^{t_1} \dots r_d^{t_d}$,

(12)
$$\mathsf{P}_{x}^{d,(r_{1}^{t_{1}}\dots r_{d}^{t_{d}}\alpha)} = \mathsf{P}_{(e^{-t_{1}}x_{1},\dots,e^{-t_{d}}x_{d})}^{d,\alpha}.$$

Then the Birkhoff integral current also satisfies the following identity

(13)
$$\mathscr{P}_{U}^{d,(r_{1}^{t_{1}}...r_{d}^{t_{d}}\alpha)}m = e^{(t_{1}+\cdots+t_{d})/2}\mathscr{P}_{(e^{-t_{1}},...,e^{-t_{d}})U}^{d,\alpha}m.$$

19 2.3. Diophantine condition.

1 2.3.1. Height function.

Definition 2.3 (Height function). The *height* of a point $Z \in \mathfrak{H}_g$ in Siegel upper half space is the positive number

$$hgt(Z) := det\Im(Z).$$

The maximal height function $Hgt: \Sigma_g \to \mathbb{R}^+$ is the maximal height of a $Sp_{2g}(\mathbb{Z})$ orbit of Z. That is, for the class of $[Z] \in \Sigma_g$,

$$Hgt([Z]) := \max_{\gamma \in Sp_{2g}(\mathbb{Z})} hgt(\gamma(Z)).$$

- By Proposition 4.8 of [CF15], there exists a universal constant C(s) > 0 such
- 3 that the best Sobolev constant satisfies the estimate

(14)
$$B_s([[\alpha]]) \le C(s) \cdot (Hgt[[\alpha]])^{1/4}.$$

- We rephrase Lemma 4.9 in [CF15] regarding the bound of renormalized height.
- 5 Lemma 2.4. For any $[\alpha] \in \mathfrak{M}_g$ and any $t \in \mathbb{R}^d_+$,

(15)
$$Hgt([[\exp(-t\hat{\delta}(d))\alpha]]) \le (\det(e^{t\hat{\delta}}))^2 Hgt([[\alpha]]).$$

- 6 2.3.2. Diophantine condition.
- 7 **Definition 2.5.** An automorphism $\alpha \in Sp_{2g}(\mathbb{R})$ or a point $[\alpha] \in \mathfrak{M}_g$ is $\hat{\delta}(d)$ -
- 8 Diophantine of type σ if there exists a $\sigma > 0$ and a constant C > 0 such that

$$(16) \quad Hgt([[\exp(-t\hat{\delta}(d))\alpha]]) \leq CHgt([[\exp(-t\hat{\delta}(d))]])^{(1-\sigma)}Hgt([[\alpha]]), \ \forall t \in \mathbb{R}^d_+.$$

- 9 This states that $\alpha \in Sp_{2g}(\mathbb{R})$ satisfies a $\hat{\delta}(d)$ -Diophantine if the height of the projec-
- tion of $\exp(-t\hat{\delta}(d))\alpha$ in the Siegel modular variety Σ_q is bounded by $e^{2(t_1+\cdots+t_d)(1-\sigma)}$.
- 11 Furthermore,
- $[\alpha] \in \mathfrak{M}_q$ satisfies a $\delta(d)$ -Roth condition if for any $\epsilon > 0$ there exists a constant
- 13 C > 0 such that

$$(17) \qquad Hgt([[\exp(-t\hat{\delta}(d))\alpha]]) \leq CHgt([[\exp(-t\hat{\delta}(d))]])^{\epsilon}Hgt([[\alpha]]), \ \forall t \in \mathbb{R}^{d}_{+}.$$

- That is, $\hat{\delta}(d)$ -Diophantine of type $0 < \sigma < 1$.
- $[\alpha]$ is of bounded type if there exists a constant C > 0 such that

(18)
$$Hgt([[\exp(-t\hat{\delta}(d))]]) \leq C, \ \forall t \in \mathbb{R}^d_+.$$

Definition 2.6. Let $X = G/\Lambda$ be a homogeneous space equipped with the probability Haar measure μ . A function $\phi: X \to \mathbb{R}$ is said k-DL (distance like) for some exponent k > 0 if it is uniformly continuous and if there exist constants $C_1, C_2 > 0$ such that

$$C_1 e^{-kz} \le \mu(\{x \in X \mid \phi(x) \ge z\}) \le C_2 e^{-kz}, \quad \forall z \in \mathbb{R}.$$

- By the work of Kleinblock and Margulis, a multi-parameter generalization of Khinchin-Sullivan logarithm law for geodesic excursion [Sul82] holds.
 - **Theorem 2.7.** [KM99, Theorem 1.9] Let G be a connected semisimple Lie group without compact factors, μ its normalized Haar measure, $\Lambda \subset G$ an irreducible lattice, \mathfrak{a} a Cartan subalgebra of the Lie algebra of G. Let \mathfrak{d}_+ be a non-empty open cone in a d-dimensional subalgebra \mathfrak{d} of \mathfrak{a} $(1 \leq d \leq rank_{\mathbb{R}}(G))$. If $\phi: G/\Lambda \to \mathbb{R}$ is a k-DL function for some k > 0, then for μ -almost all $x \in G/\Lambda$ one has

$$\lim_{\mathbf{z} \in \mathfrak{d}_{+}, \mathbf{z} \to \infty} \frac{\phi(\exp(\mathbf{z})x)}{\log \|\mathbf{z}\|} = \frac{d}{k}$$

By Lemma 4.7 of [CF15], the logarithm of Height function is DL-function with exponent $k = \frac{g+1}{2}$ on the Siegel variety Σ_g (and induces on $\mathfrak{M}_g = Sp_{2g}(\mathbb{R})/Sp_{2g}(\mathbb{Z})$).

- 3 Hence, we obtain the following proposition.
- **Proposition 2.8.** Under the assumption $X = \mathfrak{M}_g$ of Theorem 2.7, for s > g + 1/2, there exists a full measure set $\Omega_g(\hat{\delta})$ and for all $[\alpha] \in \Omega_g(\hat{\delta}) \subset \mathfrak{M}_g$

(19)
$$\limsup_{\boldsymbol{t} \in \mathbb{R}^d_+, \, \boldsymbol{t} \to \infty} \frac{\log Hgt([[\exp(-\boldsymbol{t}\hat{\delta}(d))\alpha]])}{\log \|\boldsymbol{t}\|} \le \frac{2d}{g+1}.$$

- 6 Any such $[\alpha]$ satisfies a $\hat{\delta}(d)$ -Roth condition (17).
- For any L > 0 and $1 \le d \le g$, let DC(L) denote the set of $[\alpha] \in \mathfrak{M}_g$ such that

(20)
$$\int_0^\infty \cdots \int_0^\infty e^{-(t_1 + \cdots + t_d)/2} \operatorname{Hgt}([[r_{-\mathbf{t}}\alpha]])^{1/4} dt_1 \cdots dt_d \le L.$$

- Let DC denote the union of the sets DC(L) over all L > 0. It follows immediately that the set $DC \subset \mathfrak{M}_g$ has full Haar volume.
- Remark. In the work of Cosentino-Flaminio [CF15], Diophantine condition is restricted to one-parameter subgroup $\exp(-t\hat{\delta})$ for non-negative (g-dimensional) diagonal directions. This is based on the easy part of theorem in Kleinblock and Margulis [KM99, Theorem 1.7]. Our condition is based on d-dimensional actions for renormalizations. This is key ingredient to construct functionals on rectan-
- 15 gles. We keep the notation $\exp(-t\hat{\delta}(d))$ for consistency and to show dependence on
- 16 dimensions for renormalization actions.

17

19

20

21

3. Constructions of the functionals

In this section, we construct Bufetov functionals for higher rank actions on the standard rectanglular domain. Firstly, we prove the bound of Birkhoff integrals on rectangles. It consists of similar proofs for Theorem 5.10 in [CF15], but this type of estimate is used to control remainder estimate (Lemma 3.4) to define functionals under Diophantine condition (Lemma 3.5). Furthermore, we will verify the properties of functionals $\hat{\beta}_H$ and cocycles β_H stated in Theorem 1.3.

3.1. Remainder estimates. For any exponent s > d/2, Hilbert bundle induces an orthogonal decomposition

$$A_d(\mathfrak{p},\mathfrak{M}^{-s}) = Z_d(\mathfrak{p},\mathfrak{M}^{-s}) \oplus R_d(\mathfrak{p},\mathfrak{M}^{-s})$$

where $R_d(\mathfrak{p},\mathfrak{M}^{-s})=Z_d(\mathfrak{p},\mathfrak{M}^{-s})^{\perp}$. Denote by \mathcal{I}^{-s} and \mathcal{R}^{-s} the corresponding orthogonal projection operator and by $\mathcal{I}_{\alpha}^{-s}$ and $\mathcal{R}_{\alpha}^{-s}$ the restrictions to the fiber over $[\alpha] \in \mathfrak{M}$ for $\alpha \in Sp_{2g}(\mathbb{R})$. In particular, for the current (Birkhoff integrals) $\mathscr{D} = \mathscr{P}_U^{d,\alpha}m$, we call $\mathcal{I}_{\alpha}^{-s}(\mathscr{D}) = \mathcal{I}^{-s}[\alpha,\mathscr{D}]$ boundary term and $\mathcal{R}_{\alpha}^{-s}(\mathscr{D}) = \mathcal{R}^{-s}[\alpha,\mathscr{D}]$ remainder term respectively. Consider the orthogonal projection

(21)
$$\mathscr{D} = \mathcal{I}_{r_{-t}[\alpha]}^{-s}(\mathscr{D}) + \mathcal{R}_{r_{-t}[\alpha]}^{-s}(\mathscr{D}).$$

We firstly recall the following estimate of boundary term.

Lemma 3.1. [CF15, Lemma 5.7] Let s > d/2 + 2. There exists a constant C = C(s) > 0 such that for all $t_i \ge 0$ for $1 \le i \le d$, we have

$$\begin{split} & \left\| \mathcal{I}^{-s}[\alpha, \mathcal{D}] \right\|_{-s} \leq e^{-(t_1 + \dots + t_d)/2} \left\| \mathcal{I}^{-s}[r_1^{-t_1} \cdots r_d^{-t_d} \alpha, \mathcal{D}] \right\|_{-s} \\ & + C_1 |t_1 + \dots + t_d| \int_0^1 e^{-u(t_1 + \dots + t_d)/2} \left\| \mathcal{R}^{-s}[r_1^{-ut_1} \cdots r_d^{-ut_d} \alpha, \mathcal{D}] \right\|_{-(s-2)} du. \end{split}$$

By Stokes' theorem, we have the following remainder estimate.

Lemma 3.2. [CF15, Lemma 5.6] For any non-negative s' < s - (d+1)/2 and Jordan region $U \subset \mathbb{R}^d$, there exists C = C(d, g, s, s') > 0 such that

$$\left\| \mathcal{R}^{-s}[\alpha, (\mathscr{P}_U^{d,\alpha}m)] \right\|_{-s} \le C \left\| [\alpha, \partial (\mathscr{P}_U^{d,\alpha}m)] \right\|_{-s'}.$$

- 2 Quantitative bound of Birkhoff integrals on the square domain was obtained
- 3 in [CF15], but we need to extend the result to the rectangular shapes for analyticity
- of functionals in the section §6.
- From now on, assume that $s_{d,q} := d(d+11)/4 + g + 1/2$.

Theorem 3.3. For $s > s_{d,g}$, there exists a constant C(s,d) > 0 such that the following holds. For any $t_i > 0$, $m \in M$ and $U_d(t) = [0, e^{t_1}] \times \cdots \times [0, e^{t_d}]$, we have (22)

$$\| [\alpha, (\mathscr{P}_{U_d(t)}^{d,\alpha}m)] \|_{-s} \le C \sum_{k=0}^{d} \sum_{1 \le i_1 < \dots < i_k \le d} \int_0^{t_{i_k}} \dots \int_0^{t_{i_1}} \exp(\frac{1}{2} \sum_{l=1}^{d} t_l - \frac{1}{2} \sum_{l=1}^{k} u_{i_l})$$

$$\times Hgt([[\prod_{1 \le j < d} r_j^{-t_j} \prod_{l=1}^{k} r_{i_l}^{u_{i_l}} \alpha]])^{1/4} du_{i_1} \dots du_{i_k}.$$

- 6 Proof. We proceed by induction. For d=1, it follows from the Theorem 5.8
- 7 in [CF15]. We assume that the result holds for d-1. Decompose the current as a
- 8 sum of boundary and remainder term as in (21).

Step 1. We estimate the boundary term. By Lemma 3.1, renormalize terms with $r^u = r_1^u \cdots r_d^u$. Then, we have

$$\begin{split} \left\| \mathcal{I}^{-s}[\alpha, (\mathscr{P}_{U_{d}(t)}^{d,\alpha}m)] \right\|_{-s} &\leq e^{-(t_{1}+\dots+t_{d})/2} \left\| \mathcal{I}^{-s}[r_{1}^{-t_{1}} \cdots r_{d}^{-t_{d}}\alpha, (\mathscr{P}_{U_{d}(t)}^{d,\alpha}m)] \right\|_{-s} \\ (23) &+ C_{1}(s) \int_{0}^{t_{1}+\dots+t_{d}} e^{-ud/2} \left\| \mathcal{R}^{-s}[r^{-u}\alpha, (\mathscr{P}_{U_{d}(t)}^{d,\alpha}m)] \right\|_{-(s-2)} du \\ &:= (I) + (II). \end{split}$$

By renormalization (13) and Lemma 2.1 for unit volume,

$$\begin{split} \left\| \mathcal{I}^{-s}[r_1^{-t_1} \cdots r_d^{-t_d} \alpha, (\mathscr{P}_{U_d(t)}^{d,\alpha} m)] \right\|_{-s} &= e^{t_1 + \dots + t_d} \left\| \mathcal{I}^{-s}[r_1^{-t_1} \cdots r_d^{-t_d} \alpha, (\mathscr{P}_{U_d(0)}^{d, r_1^{-t_1} \cdots r_d^{-t_d} \alpha} m)] \right\|_{-s} \\ &\leq C_2 e^{t_1 + \dots + t_d} Hgt([[r_1^{-t_1} \cdots r_d^{-t_d} \alpha]])^{1/4}. \end{split}$$

Hence

$$I \le C_2 e^{(t_1 + \dots t_d)/2} Hgt([[r_1^{-t_1} \dots r_d^{-t_d} \alpha]])^{1/4},$$

where the sum corresponds to the first term (k = 0) in the statement.

Step 2. To estimate (II),

(24)
$$\left\| \mathcal{R}^{-s}[r^{-u}\alpha, (\mathscr{P}_{U_d(t)}^{d,\alpha}m)] \right\|_{-(s-2)} = \left\| e^{ud}\mathcal{R}^{-s}[r^{-u}\alpha, (\mathscr{P}_{U_d(t-u)}^{d,r^{-u}\alpha}m)] \right\|_{-(s-2)}$$

$$\leq C_3(s,s')e^{ud} \left\| [r^{-u}\alpha, \partial (\mathscr{P}_{U_d(t-u)}^{d,r^{-u}\alpha}m)] \right\|_{-s'}.$$

The boundary $\partial(\mathsf{P}_{U_d}^{d,r^{-t}\alpha})$ is the sum of 2d currents of dimension d-1. These currents are Birkhoff sums of d face subgroups obtained from $\mathsf{P}_{U_d}^{d,r^{-t}\alpha}$ by omitting one of the base vector fields X_i . It is reduced to (d-1) dimensional shape obtained from $U_d(t-u) := [0, e^{t_1-u}] \times \cdots \times [0, e^{t_d-u}]$. For each $1 \le j \le d$, there are Birkhoff sums along d-1 dimensional cubes. By induction hypothesis, we add all the d-1 dimensional cubes by adding all the terms along j:

(25)

$$\exp(\frac{1}{2}\sum_{\substack{l=1\\l\neq j}}^{d}(t_l-u)-\frac{1}{2}\sum_{l=1}^{k}u_{i_l})Hgt([\prod_{\substack{1\leq l\leq d\\l\neq j}}r_l^{-(t_l-u)}\prod_{l=1}^{k}r_{i_l}^{u_{i_l}}(r^{-u}\alpha)]])^{1/4}du_{i_1}\cdots du_{i_k}.$$

Combining (23) and (24), we obtain the estimate for (II).

$$(II) \leq C_5(s', d-1) \sum_{j=1}^d \sum_{k=0}^{d-1} \sum_{1 \leq i_1 < \dots < i_k \leq d} \int_0^{t_1 + \dots + t_d} \int_0^{t_{i_k - u}} \dots \int_0^{t_{i_1 - u}} du_{i_1} \dots du_{i_k} du$$

$$\times \exp(\frac{1}{2} \sum_{\substack{l=1 \ l \neq i}}^d t_l - \frac{1}{2} u - \frac{1}{2} \sum_{l=1}^k u_{i_l}) Hgt([[\prod_{1 \leq l \leq d} r_l^{-t_l} \prod_{l=1}^k r_{i_l}^{u_{i_l}} (r_j^{-u + t_j} \alpha)]])^{1/4}.$$

Applying the change of variable $u_j = t_j - u$, we obtain

$$(II) \leq C_{6}(s', d-1) \sum_{j=1}^{d} \sum_{k=1}^{d-1} \sum_{1 \leq i_{1} < \dots < i_{k} \leq d} \times \left(\int_{-(t_{1} + \dots + t_{d}) + t_{j}}^{t_{j}} \int_{0}^{t_{i_{k} - u}} \dots \int_{0}^{t_{i_{1} - u}} du_{i_{1}} \dots du_{i_{k}} du_{j} \right. \\ \times \exp\left(\frac{1}{2}(t_{1} + \dots + t_{d}) - \frac{1}{2}u_{j} - \frac{1}{2} \sum_{l=1}^{k} u_{i_{l}}\right) Hgt\left(\left[\prod_{1 \leq l \leq d} r_{l}^{-t_{l}} \prod_{l=1}^{k} r_{i_{l}}^{u_{i_{l}}}(r_{j}^{-u_{j}}\alpha)\right]\right)^{1/4}\right).$$

Simplifying multi-summation above, (with $-(t_1 + \cdots t_d) + t_j \leq 0$)

$$(II) \leq C_7(s',d) \sum_{k=1}^d \sum_{1 \leq i_1 < \dots < i_k \leq d} \int_0^{t_{i_k}} \dots \int_0^{t_{i_1}} du_{i_1} \dots du_{i_k}$$

$$\times \exp(\frac{1}{2}(t_1 + \dots t_d) - \frac{1}{2} \sum_{l=1}^k u_{i_l}) Hgt([[\prod_{1 \leq l \leq d} r_l^{-t_l} \prod_{l=1}^k r_{i_l}^{u_{i_l}} \alpha]])^{1/4}.$$

Step 3. (Remainder estimate). The remainder term is obtained from Lemma 3.2 (Stokes' theorem). Following step 2, estimate of remainder reduces to that of d-1 form. Combining with the step 1, we have the following (26)

$$\left\|\mathcal{R}^{-s}[\alpha,\partial(\mathscr{P}_{U_d}^{d,\alpha}m)]\right\|_{-s} \leq C(s)\sum_{i=1}^{d-1}\left\|\mathcal{I}^{-s}[\alpha,(\mathscr{P}_{U_i}^{i,\alpha}m)]\right\|_{-s} + \left\|\mathcal{R}^{-s}[\alpha,(\mathscr{P}_{U_1}^{1,\alpha}m)]\right\|_{-s}$$

where U_i is *i*-dimensional rectangle. Sum of the boundary terms are absorbed in the bound of (I) + (II). For 1-dimensional remainder with interval Γ_T , the boundary is a 0-dimensional current. Then,

$$\langle \partial(\mathscr{P}_{[0,T]}^{1,\alpha}m), f \rangle = f(\mathsf{P}_T^{1,\alpha}m) - f(m).$$

Hence, by Sobolev embedding theorem and by definition of Sobolev constant (9) and (14),

$$\left\| \mathcal{R}^{-s}[\alpha, \partial(\mathscr{P}_{[0,T]}^{1,\alpha}m)] \right\|_{-s} \le 2B_s([[\alpha]]) \le C(s)Hgt([[\alpha]])^{1/4}.$$

Then, by inequality (15)

$$C(s)Hgt([[\alpha]])^{1/4} = C(s)Hgt([[r_{t}r_{-t}\alpha]])^{1/4}$$

$$\leq C(s)e^{(t_{1}+\cdots+t_{d})/2}Hgt([[r_{1}^{-t_{1}}\cdots r_{d}^{-t_{d}}\alpha]])^{1/4}.$$

- 4 This implies that remainder term produces one more term like the bound of (I).
- 5 Therefore, the Theorem follows from combining all the terms (I), (II), and remain-
- $_{6}$ der.
- Remark. It is also possible to renormalize a rectangle $U_d(t)$ by several squares and sum up all of these sub-dimensional $(\dim < d)$ squares. However, it may also
- 9 involve computational difficulty and such approach of summing up squares may
- 10 provide a rough upper bound. To obtain necessary bound for the Lemma 3.4, we
- 1 rather simply generalized the methods of Theorem 5.10 in [CF15].
- 12 Let us set

(27)
$$\mathcal{K}_{\alpha,\mathbf{t},s}(\Gamma) := \left\| \mathcal{R}^{-s}[r_{-\mathbf{t}}(\alpha), (\mathscr{P}_{U_{\Gamma}}^{d,r_{-\mathbf{t}}(\alpha)}m)] \right\|_{-(s+1)}$$

Now we prove the remainder estimate that will be used in (34).

Lemma 3.4. Let $s > s_{d,g}$. There exists a constant $C(s,\Gamma) > 0$ such that for any rectangle $U_{\Gamma} = [0, e^{\Gamma_1}] \times \cdots \times [0, e^{\Gamma_d}]$,

$$\mathcal{K}_{\alpha,t,s}(\Gamma) \leq C(s,\Gamma)Hgt([[r_{-t}(\alpha)]])^{1/4}.$$

Proof. By Lemma 3.2, we begin to find the bound of d-1 (renormalized) currents. By Theorem 3.3, we obtain the remainder estimate

$$\mathcal{K}_{\alpha,t}(\Gamma) \leq C \sum_{k=0}^{d-1} \sum_{1 \leq i_1 < \dots < i_k \leq d-1} \int_0^{\Gamma_{i_k}} \dots \int_0^{\Gamma_{i_1}} \exp(\frac{1}{2} \sum_{l=1}^{d-1} \Gamma_l - \frac{1}{2} \sum_{l=1}^k u_{i_l}) \times Hgt(\left[\left[\prod_{1 \leq j < d-1} r_j^{-\Gamma_j} \prod_{l=1}^k r_{i_l}^{u_{i_l}}(r_{-t}(\alpha))\right]\right])^{1/4} du_{i_1} \dots du_{i_k}.$$

It follows from (15) that for $0 \le k \le d-1$,

$$Hgt([[\prod_{1 \leq j \leq d-1} r_j^{-\Gamma_j} \prod_{l=1}^k r_{i_l}^{u_{i_l}}(r_{-\boldsymbol{t}}(\alpha))]])^{1/4} \leq e^{\frac{1}{2}(\sum_{l=1}^k u_{i_l} - \sum_{l=1}^d \Gamma_l)} Hgt([[r_{-\boldsymbol{t}}(\alpha)]])^{1/4}.$$

Then, we obtain

(28)

$$\begin{split} \left\| \mathcal{R}^{-s}[r_{-\boldsymbol{t}}(\alpha), (\mathscr{P}_{U_{\Gamma}}^{d,r_{-\boldsymbol{t}}\alpha}m)] \right\|_{-s} &\leq C(s) \Big(\sum_{k=0}^{d-1} \sum_{1 \leq i_{1} < \dots < i_{k} \leq d-1} \prod_{l=1}^{k} \Gamma_{i_{l}} \Big) Hgt([[r_{-\boldsymbol{t}}(\alpha)]])^{1/4} \\ &\leq C(s, \Gamma) Hgt([[r_{-\boldsymbol{t}}(\alpha)]])^{1/4}. \end{split}$$

- Therefore, we obtain the conclusion.
 - 3.2. Constructions of the functionals. For fixed $\alpha \in Aut_0(\mathbb{H}^g)$, let $\Pi_H^{-s}: A_d(\mathfrak{p}, W_\alpha^{-s}(M)) \to A_d(\mathfrak{p}, W_\alpha^{-s}(H))$ denote the orthogonal projection on a single irreducible unitary representation H. We further decompose projection operators with basic current $B_\alpha^{-s,H}$ and its remainder $R_\alpha^{-s,H}$ given by

$$\Pi_H^{-s} = \mathscr{B}_{\alpha}^{-s}(\Gamma)B_{\alpha}^{-s,H} + R_{\alpha}^{-s,H}$$

- where $\mathscr{B}_{H,\alpha}^{-s}:A_d(\mathfrak{p},W_{\alpha}^{-s}(M))\to\mathbb{C}$ denote the orthogonal component map in the
- 3 direction of basic current, supported on a single irreducible unitary representation.
- The Bufetov functionals on a standard rectangle Γ are defined for all $[\alpha] \in DC$
- 5 as follows.

Lemma 3.5. Let $[\alpha] \in DC(L)$. For $s > s_{d,g}$, the limit

$$\hat{\beta}_{H}(\alpha,\Gamma) = \lim_{t \to -\infty} e^{-(t_1 + \dots + t_d)/2} \mathcal{B}_{H,r,t(\alpha)}^{-s}(\Gamma)$$

- exists and it defines a finitely-additive measure on the set of standard rectangles.
- Moreover, there exists a constant $C(s,\Gamma)>0$ such that the following estimate holds:

(29)
$$|\Pi_{H,\alpha}^{-s}(\Gamma) - \hat{\beta}_H(\alpha, \Gamma)B_{\alpha}^H|_{\alpha,-s} \le C(s, \Gamma)(1+L).$$

Proof. For simplicity, we omit dependence of H. For every $\mathbf{t} \in \mathbb{R}^d$, we have the following orthogonal splitting:

$$\Pi_{H,\alpha}^{-s}(\Gamma) = \mathscr{B}_{\alpha,\mathbf{t}}^{-s}(\Gamma)B_{\alpha,\mathbf{t}} + R_{\alpha,\mathbf{t}},$$

where

$$\mathscr{B}^{-s}_{\alpha,\mathbf{t}}:=\mathscr{B}^{-s}_{H,r_{-\mathbf{t}}(\alpha)},\ B_{\alpha,\mathbf{t}}:=B^{-s,H}_{r_{-\mathbf{t}}(\alpha)},\ R_{\alpha,\mathbf{t}}:=R^{-s,H}_{r_{-\mathbf{t}}(\alpha)}$$

For any $\mathbf{h} \in \mathbb{R}^d$, we have

$$\mathscr{B}_{\alpha,\mathbf{t}+\mathbf{h}}^{-s}(\Gamma)B_{\alpha,\mathbf{t}+\mathbf{h}} + R_{\alpha,\mathbf{t}+\mathbf{h}} = \mathscr{B}_{\alpha,\mathbf{t}}^{-s}(\Gamma)B_{\alpha,\mathbf{t}} + R_{\alpha,\mathbf{t}}.$$

By reparametrization (12), we have $B_{\mathbf{t}+\mathbf{h}} = e^{-(h_1 + \cdots + h_d)/2} B_{\mathbf{t}}$ and

(30)
$$\mathscr{B}_{\alpha,\mathbf{t}+\mathbf{h}}^{-s}(\Gamma) = e^{(h_1 + \dots + h_d)/2} \mathscr{B}_{\alpha,\mathbf{t}}^{-s}(\Gamma) + \mathscr{B}_{\alpha,\mathbf{t}+\mathbf{h}}^{-s}(R_{\alpha,\mathbf{t}})$$

and it follows that

$$\mathscr{B}^{-s}_{\alpha,\mathbf{t}+\mathbf{h}}(\Gamma) = e^{h_1/2} \mathscr{B}^{-s}_{\alpha,t_1,t_2+h_2,\cdots,t_d+h_d}(\Gamma) + \mathscr{B}^{-s}_{\alpha,\mathbf{t}+\mathbf{h}}(R_{\alpha,\mathbf{t}}).$$

By differentiating at $h_1 = 0$,

$$\frac{d}{dt_1} \mathcal{B}_{\alpha, t_1, t_2 + h_2, \cdots, t_d + h_d}^{-s}(\Gamma) = \frac{1}{2} \mathcal{B}_{\alpha, t_1, t_2 + h_2, \cdots, t_d + h_d}^{-s}(\Gamma) + \left[\frac{d}{dh_1} \mathcal{B}_{\alpha, \mathbf{t} + \mathbf{h}}^{-s}(R_{\alpha, \mathbf{t}}) \right]_{h_1 = 0}.$$

Therefore, we solve the following first order ODE

$$\frac{d}{dt_1} \mathcal{B}_{\alpha, t_1, t_2 + h_2, \cdots, t_d + h_d}^{-s}(\Gamma) = \frac{1}{2} \mathcal{B}_{\alpha, t_1, t_2 + h_2, \cdots, t_d + h_d}^{-s}(\Gamma) + \mathcal{K}_{\alpha, t}^{(1)}(\Gamma)$$

where

$$\mathcal{K}_{\alpha,\mathbf{t},s}^{(1)}(\Gamma) := \left[\frac{d}{dh_1} \mathscr{B}_{\alpha,\mathbf{t}+\mathbf{h}}^{-s}(R_{\alpha,\mathbf{t}})\right]_{h_1=0}.$$

Then, the solution of the differential equation is

$$\begin{split} \mathscr{B}^{-s}_{\alpha,t_1,t_2+h_2,\cdots,t_d+h_d}(\Gamma) &= e^{t_1/2} \left(\mathscr{B}^{-s}_{\alpha,0,t_2+h_2,\cdots,t_d+h_d}(\Gamma) + \int_0^{t_1} e^{-\tau_1/2} \mathcal{K}^{(1)}_{\alpha,\tau,s}(\Gamma) d\tau_1 \right) \\ &= e^{t_1/2} \mathscr{B}^{-s}_{\alpha,0,t_2+h_2,\cdots,t_d+h_d}(\Gamma) + \int_0^{t_1} e^{(t_1-\tau_1)/2} \mathcal{K}^{(1)}_{\alpha,\tau,s}(\Gamma) d\tau_1. \end{split}$$

Note by reparametrization

$$e^{t_1/2} \mathscr{B}^{-s}_{\alpha,0,t_2+h_2,\cdots,t_d+h_d}(\Gamma) = e^{h_2/2} \mathscr{B}^{-s}_{\alpha,t_1,t_2,t_3+h_3,\cdots,t_d+h_d}(\Gamma)$$

- and it is possible to differentiate the previous equation with respect to h_2 again.
- 2 Then

$$(32) \quad \frac{d}{dt_2} \mathscr{B}^{-s}_{\alpha,t_1,t_2,\cdots,t_d+h_d}(\Gamma) = \frac{1}{2} \mathscr{B}^{-s}_{\alpha,t_1,t_2,t_3+h_3,\cdots,t_d+h_d} + \int_0^{t_1} e^{-\tau_1/2} \mathcal{K}^{(2)}_{\alpha,\tau,s}(\Gamma) d\tau_1$$

where $\mathcal{K}_{\alpha,\tau,s}^{(2)}(\Gamma) = \frac{d}{dh_2} \mathcal{K}_{\alpha,\tau,s}^{(1)}(\Gamma)$.

Then, the solution of equation (32) is

$$\begin{split} &\mathcal{B}_{\alpha,t_{1},t_{2},\cdots,t_{d}+h_{d}}^{-s}(\Gamma) \\ &= e^{t_{2}/2} \Big(\mathcal{B}_{\alpha,t_{1},0,t_{3}+h_{3},\cdots,t_{d}+h_{d}}^{-s} + \int_{0}^{t_{2}} e^{-\tau_{2}/2} \int_{0}^{t_{1}} e^{(t_{1}-\tau_{1})/2} \mathcal{K}_{\alpha,\tau,s}^{(2)}(\Gamma) d\tau_{1} d\tau_{2} \Big) \\ &= e^{h_{3}/2} \mathcal{B}_{\alpha,t_{1},t_{2},t_{3},\cdots,t_{d}+h_{d}}^{-s} + \int_{0}^{t_{2}} e^{(t_{2}-\tau_{2})/2} \int_{0}^{t_{1}} e^{(t_{1}-\tau_{1})/2} \mathcal{K}_{\alpha,\tau,s}^{(2)}(\Gamma) d\tau_{1} d\tau_{2}. \end{split}$$

- Inductively, we solve the first order ODE repeatedly and obtain the following
- 5 solution

$$(33) \ \mathscr{B}_{\alpha,t}^{-s}(\Gamma) = e^{(t_1 + \dots + t_d)/2} \Big(\mathscr{B}_{\alpha,0}^{-s} + \int_0^{t_d} \dots \int_0^{t_1} e^{-(\tau_1 + \dots \tau_d)/2} \mathcal{K}_{\alpha,\tau,s}^{(d)}(\Gamma) d\tau_1 \dots d\tau_d \Big)$$

where

$$\mathcal{K}_{\alpha,\mathbf{t},s}^{(d)}(\Gamma) = \left[\frac{d}{dh_d} \cdots \frac{d}{dh_1} \mathcal{B}_{\alpha,\mathbf{t}+\mathbf{h}}^{-s}(R_{\alpha,\mathbf{t}})\right]_{h_d \cdots h_1 = 0}.$$

Let $\langle \cdot, \cdot \rangle_{\alpha,t}$ denote the inner product in the space of Hilbert current $A_d(\mathfrak{p}, W^{-s}_{r_{-t}(\alpha)}(H))$. By the intertwining formula (11),

$$\begin{split} \mathscr{B}_{\alpha,t+h}^{-s}(R_{\alpha,t}) &= \langle R_{\alpha,t}, \frac{B_{\alpha,t+h}}{|B_{\alpha,t+h}|_{t+h}^2} \rangle_{\alpha,t+h} \\ &= \langle R_{\alpha,t} \circ U_{-h}, \frac{B_{\alpha,t+h} \circ U_{-h}}{|B_{\alpha,t+h}|_{t+h}^2} \rangle_{\alpha,t} \\ &= \langle R_{\alpha,t} \circ U_{-h}, \frac{B_{\alpha,t}}{|B_{\alpha,t}|_{t}^2} \rangle_{\alpha,t} = \mathscr{B}_{\alpha,t}^{-s}(R_{\alpha,t} \circ U_{-h}). \end{split}$$

In the sense of distributions,

$$\frac{d}{dh_d} \cdots \frac{d}{dh_1} (R_{\alpha, \mathbf{t}} \circ U_{-\mathbf{h}}) = -R_{\alpha, \mathbf{t}} \circ (\frac{d}{2} + \sum_{i=1}^d X_i(t)) \circ U_{-\mathbf{h}}$$
$$= \left[(\sum_{i=1}^d X_i(t) - \frac{d}{2}) R_{\alpha, \mathbf{t}} \right] \circ U_{-\mathbf{h}}.$$

Then we compute

$$\left[\frac{d}{dh_d}\cdots\frac{d}{dh_1}(\mathscr{B}_{\alpha,t+h}^{-s}(R_{\alpha,t}))\right]_{h=0} = -\mathscr{B}_{\alpha,t}^{-s}(\left(\sum_{i=1}^d X_i(t) - \frac{d}{2}\right)R_{\alpha,t}).$$

Recall by (27) that $\mathcal{K}_{\alpha,\mathbf{t},s}(\Gamma) = |R_{\alpha,\mathbf{t}}|_{r_{-\mathbf{t}}(\alpha),-(s+1)}$. By Proposition 2.8 and Lemma 3.4, for any $\epsilon > 0$

$$(34) \quad |\mathscr{B}_{\alpha,\boldsymbol{t}}^{-s}((\sum_{i=1}^{d} X_{i}(t) - \frac{d}{2})R_{\alpha,\boldsymbol{t}})| \leq \mathcal{K}_{\alpha,\boldsymbol{t},s}(\Gamma)$$

$$\leq C(s,\Gamma)Hgt([[r_{-\boldsymbol{t}}(\alpha)]])^{1/4} \leq C(s,\Gamma)(t_{1}\cdots t_{d})^{\frac{d}{2(g+1)}+\epsilon}.$$

Therefore, the solution of equation (33) exists under Diophantine condition (20) and the following holds:

$$\lim_{t_1,\dots,t_d\to\infty} e^{-(t_1+\dots+t_d)/2} \mathscr{B}_{\alpha,t}^{-s}(\Gamma) = \hat{\beta}_H(\alpha,\Gamma).$$

Moreover, the complex number

$$\hat{\beta}_H(\alpha, \Gamma) = \mathscr{B}_{\alpha,0}^{-s} + \int_0^\infty \cdots \int_0^\infty e^{-(\tau_1 + \dots + \tau_d)/2} \mathcal{K}_{\alpha,\tau,s}(\Gamma) d\tau_1 \cdots d\tau_d$$

depends continuously on $\alpha \in DC(L)$. Since we have

$$\Pi_{H,\alpha}^{-s}(\Gamma) - \hat{\beta}(\alpha,\Gamma)B_{\alpha}^{H} = R_{0} - \left(\int_{0}^{\infty} \cdots \int_{0}^{\infty} e^{-(\tau_{1} + \cdots + \tau_{d})/2} \mathcal{K}_{\alpha,\tau,s}(\Gamma) d\tau_{1} \cdots d\tau_{d}\right) B_{\alpha}^{H},$$

by Diophantine condition again,

$$|\Pi_{H,\alpha}^{-s}(\Gamma) - \hat{\beta}_H(\alpha,\Gamma)B_{\alpha}^H|_{\alpha,-s} \le C(s,\Gamma)(1+L).$$

3.3. **Proof of Theorem 1.3.** The proof of Theorem 1.3 follows immediately from

the refinement to the constructions of Bufetov functionals (see also [BF14, §2.5] for

4 horocycle flows).

Notation. The action of flow $\{r_t\}_{t\in\mathbb{R}}$ on a current \mathcal{C} is defined by pull-back as

6 follows:

$$(r_t^*\mathcal{C})(\omega) = \mathcal{C}(r_{-t}^*\omega),$$
 for any smooth form ω .

Lemma 3.6 (Invariance). Let $1 \leq d \leq g$. The functional $\hat{\beta}_H$ defined on d-standard rectangle $\Gamma = \Gamma_T^X$ is invariant under the action of $(Q_y^{j,Y})$ for any $y \in \mathbb{R}_+^j$ and $1 \leq j \leq d$. That is,

$$\hat{\beta}_H(\alpha, (\mathbf{Q}_u^{j,Y})_*\Gamma) = \hat{\beta}_H(\alpha, \Gamma).$$

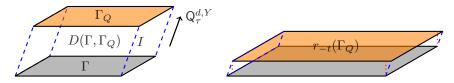


FIGURE 1. Illustration of the standard d-rectangles Γ , Γ_Q , d+1 dimensional current $D(\Gamma, \Gamma_Q)$ and supports of $r_{-t}(\Gamma)$ and $r_{-t}(\Gamma_Q)$.

1 Proof. We will prove the functional $\hat{\beta}_H$ exists with respect to rectangle $(Q_y^{j,Y})_*\Gamma$ 2 and prove its invariance under the action $Q_y^{j,Y}$. It suffices to verify the invariance 3 property under the rank 1 action $Q_{\tau}^{1,Y}$ for $\tau \in \mathbb{R}$ since we can apply the statement

4 for d-rank actions repeatedly.

Given a standard d-dimensional rectangle Γ , set $\Gamma_{\mathsf{Q}} := (\mathsf{Q}_{\tau}^{1,Y})_*\Gamma$. Let $D(\Gamma, \Gamma_{\mathsf{Q}})_*\Gamma$ be the (d+1) dimensional space spanned by trajectories of the action of $\mathsf{Q}_{\tau}^{1,Y}$

7 projecting Γ onto $\Gamma_{\mathbf{Q}}$. Then $D(\Gamma, \Gamma_{\mathbf{Q}})$ is a union of all orbits I of action $\mathbf{Q}_{\tau}^{1,Y}$ such

that the boundary of I, d-dimensional faces, is contained in $\Gamma \cup \Gamma_{\mathbb{Q}}$, and interior of I is disjoint from $\Gamma \cup \Gamma_{\mathbb{Q}}$. $D(\Gamma, \Gamma_{\mathbb{Q}})$ is defined by integration and it is a (d+1)-current.

For convenience, let us denote renormalization flow by $r_t := r_i^t$ for some *i*-th coordinates. Then, $r_{-t}(\Gamma)$ and $r_{-t}(\Gamma_{\mathbb{Q}})$ are respectively the support of the currents $r_t^*\Gamma$ and $r_t^*\Gamma_{\mathbb{Q}}$. Thus, we have the following identity

$$r_t^* D(\Gamma, \Gamma_{\mathsf{Q}}) = D(r_{-t}(\Gamma), r_{-t}(\Gamma_{\mathsf{Q}})).$$

Since the current $\partial D(\Gamma, \Gamma_{\mathsf{Q}}) - (\Gamma - \Gamma_{\mathsf{Q}})$ is composed of orbits for the action $\mathsf{Q}_{\tau}^{1,Y}$, it follows that

(35)
$$\partial[r_t^*D(\Gamma, \Gamma_{\Omega})] - (r_t^*\Gamma - r_t^*\Gamma_{\Omega}) = r_t^*[\partial D(\Gamma, \Gamma_{\Omega}) - (\Gamma - \Gamma_{\Omega})] \to 0.$$

Now, we turn to prove the volume of $D(r_{-t}(\Gamma), r_{-t}(\Gamma_{\mathsf{Q}}))$ is uniformly bounded for all t>0. For any $p\in\Gamma$, set $\tau(p)$ be length of the arc lying in $D_Q:=D(\Gamma,\Gamma_{\mathsf{Q}})$, and set $\tau_\Gamma:=\sup\{\tau(p)\mid p\in\Gamma\}<\infty$. We write

$$vol_{d+1}(D_Q) = \int_{\Gamma} \tau dvol_d.$$

12 Since $vol_d(r_{-t}(\Gamma)) \le e^t vol_d(\Gamma)$,

$$(36) \quad vol_{d+1}(r_{-t}(D_Q)) = \int_{r_{-t}(\Gamma)} \tau dvol_d \le \tau_{\Gamma} e^{-t} vol_d(r_{-t}(\Gamma)) \le \tau_{\Gamma} vol_d(\Gamma) < \infty.$$

Note that d-dimensional current $(Q_{\tau}^{1,Y})_*\Gamma - \Gamma$ is equal to the boundary of the (d+1) dimensional current D_Q . By arguments in remainder estimate (or Sobolev embedding theorem),

$$(37) |(\mathbf{Q}_{\tau}^{1,Y})_*\Gamma - \Gamma|_{r^{-t}\alpha, -s} \le C_s \tau B_s([[r^{-t}\alpha]]) \le C_s \tau \operatorname{Hgt}[[r^{-t}\alpha]]^{1/4}$$

6 is finite for all t > 0.

Then, by (35), (36) and existence of Bufetov functional $\hat{\beta}_H(\alpha, \Gamma)$, the last inequality holds:

$$|\mathscr{B}_{\alpha,t}^{-s}((\mathsf{Q}_{\tau}^{1,Y})_*\Gamma) - \mathscr{B}_{\alpha,t}^{-s}(\Gamma)|_{\alpha,-s} < \infty.$$

Therefore, by the definition of Bufetov functional in the Lemma 3.5, $\hat{\beta}_H(\alpha, (\mathbf{Q}_{\tau}^{1,Y})_*\Gamma)$ exists and $\hat{\beta}_H(\alpha, \Gamma)$ is invariant under the action of $\mathbf{Q}_{\tau}^{1,Y}$.

- 1 Proof of Theorem 1.3. Additive property. It follows from the linearity of projections
- 2 and limit.
- Scaling property. It is immediate from the definition.

Bounded property. By the scaling property, for $r_t = r_1^t \cdots r_d^t$ with t > 0,

$$\hat{\beta}_H(\alpha, \Gamma) = e^{dt/2} \hat{\beta}_H(r_t(\alpha), \Gamma).$$

Choose $t = \log(\int_{\Gamma} |\hat{X}|)$ and $\hat{X} = \hat{X}_1 \wedge \cdots \wedge \hat{X}_d$, then Bufetov functional on the rectangle Γ is bounded:

$$|\hat{\beta}_H(\alpha,\Gamma)| \le C(\Gamma) (\int_{\Gamma} |\hat{X}|)^{d/2}.$$

- 4 Invariance property. Now it follows directly from the Lemma 3.6.
- 5 Notation. We write for $T = (T^{(i)}) \in \mathbb{R}^d$ and $t = (t_1, \dots, t_d) \in \mathbb{R}^d$,

(38)
$$vol(U(\mathbf{T})) := \prod_{i=1}^{d} T^{(i)}, \quad vol(U(\mathbf{t})) = \prod_{i=1}^{d} t_i.$$

- Now we extend the properties of functional $\hat{\beta}_H$ to cocycle β_H .
- ⁷ Proof of Corollary 1.5. Cocycle property of β_H follows from the additive property
- s of $\hat{\beta}_H$. Scaling and bounded properties are immediate. Denote $tT := (t_1 T^{(1)}, \dots, t_d T^{(d)}) \in$
- 9 \mathbb{R}^d_+ , and we obtain

(39)
$$\beta_H(\alpha, m, tT) = vol(U(T))^{1/2} \beta_H(r_{\log T}(\alpha), m, t).$$

By Lemma 3.5 and scaling property, we have

$$D_{\alpha}^{H}(f)\beta_{H}(\alpha, m, \mathbf{t}) = \lim_{|U(T)| \to \infty} \frac{1}{vol(U(T))^{1/2}} \beta_{H}(r_{-\log \mathbf{t}}(\alpha), m, \mathbf{t}T)$$
$$= \lim_{|U(T)| \to \infty} \frac{1}{vol(U(T))^{1/2}} \left\langle \mathscr{P}_{U(\mathbf{t}T)}^{d, r_{-\log \mathbf{t}}(\alpha)} m, \omega_{f_{H}} \right\rangle.$$

- 10 It follows that $\beta_H(\alpha,\cdot,t)\in H$ as a point-wise limit of Birkhoff integrals. This
- implies Orthogonal property.
- For $\gamma \in Sp_{2g}(\mathbb{Z})$, we have

$$\beta_H(\gamma\alpha, \gamma(m), T) = \beta_H(\alpha, m, T).$$

- It means that the function $\beta_H(\cdot, m, T)$ is well-defined on the moduli space \mathfrak{M}_q .
 - 3.4. **Proof of Theorem 1.6.** We define the excursion function

$$E_{\mathfrak{M}}(\alpha, \mathbf{T}) := \int_{0}^{\log T^{(d)}} \cdots \int_{0}^{\log T^{(1)}} e^{-(t_{1} + \dots + t_{d})/2} \operatorname{Hgt}([[r_{\mathbf{t} - \log \mathbf{T}}(\alpha)]])^{1/4} dt_{1} \cdots dt_{d}$$

$$= \prod_{i=1}^{d} (T^{(i)})^{1/2} \int_{0}^{\log T^{(d)}} \cdots \int_{0}^{\log T^{(1)}} e^{(t_{1} + \dots + t_{d})/2} \operatorname{Hgt}([[r_{\mathbf{t}}(\alpha)]])^{1/4} dt_{1} \cdots dt_{d}.$$

Lemma 3.7 (Bound of cocycle). For any Diophantine $[\alpha] \in DC(L)$ and for any $f \in W^s(M)$ for $s > s_{d,g} + 1/2$, the Bufetov cocycle β^f is defined by a uniformly convergent series:

$$|\beta^f(\alpha, m, tT)| \le C_s \left(L + vol(U(T))^{1/2} (1 + vol(U(t)) + E_{\mathfrak{M}}(\alpha, T)) \right) ||f||_{\alpha, s}.$$

11

Proof. Recall that by (7),

$$\beta^f(\alpha, m, T) = \sum_H D_{\alpha}^H(f) \beta_H(\alpha, m, T).$$

- It follows from Lemma 3.5 that there exists a constant C > 0 such that whenever
- $[\alpha] \in DC(L)$, then

(40)
$$|\beta_H(\alpha, m, \mathbf{t})| \le C(1 + L + vol(U(\mathbf{t}))), \quad (m, \mathbf{t}) \in M \times \mathbb{R}^d_+.$$

By Diophantine condition (20), whenever $[\alpha] \in DC(L)$ then $[r_{\log \mathbf{T}}(\alpha)] \in DC(L_{\mathbf{T}})$

$$L_{\mathbf{T}} \leq Lvol(U(\mathbf{T}))^{-1/2} + E_{\mathfrak{M}}(\alpha, \mathbf{T}).$$

Thus by (40), we obtain for all $(m, \mathbf{t}) \in M \times \mathbb{R}^d$

$$|\beta_H(r_{\log \mathbf{T}}(\alpha), m, \mathbf{t})| \le C(1 + L_{\mathbf{T}} + vol(U(\mathbf{t}))).$$

By the scaling property (39), it follows that for all $s>s_{d,g}$ and q>1/2

$$\begin{aligned} |\beta^f(\alpha, m, tT)| &\leq C_s vol(U(T))^{1/2} (1 + L + vol(U(t))) \sum_{n \in \mathbb{Z}} \|f_n\|_{\alpha, s} \\ &\leq C_s vol(U(T))^{1/2} (1 + L_T + vol(U(t))) \left(\sum_{n \in \mathbb{Z}} (1 + n^2)^q\right)^{-1/2} \\ &\times (\sum_{n \in \mathbb{Z}} \left\| (1 - Z^2)^{q/2} f_n \right\|_{\alpha, s}^2)^{1/2}. \end{aligned}$$

Therefore, for all $s' = s_{d,g} + q$, there exists a constant $C_{s'} > 0$ such that

$$|\beta^f(\alpha, m, \mathbf{tT})| \le C_{s'} vol(U(T))^{1/2} (1 + L_T + vol(U(t))) ||f||_{\alpha, s'}$$

- By Lemma 3.5, 3.7 and identification of the norm for the form ω_f , asymptotic formula on each irreducible component provides the following corollary.
- Corollary 3.8. For all $s > s_{d,g} + 1/2$, there exists a constant $C_s > 0$ such that for all $[\alpha] \in DC(L)$, for all $f \in W^s_{\alpha}(M)$ and for all $(m, \mathbf{T}) \in M \times \mathbb{R}^d$, we have

(41)
$$\left| \left\langle \mathscr{P}_{U(T)}^{d,\alpha}m, \omega_f \right\rangle - \beta^f(\alpha, m, T) \right| \leq C_s(1+L) \left\| \omega_f \right\|_{\alpha, s}$$

- 8 for $U(T) = [0, T^{(1)}] \times \cdots \times [0, T^{(d)}]$ and $\omega_f = f\omega^{d,\alpha} \in \Lambda^d \mathfrak{p} \otimes W^s_{\alpha}(M)$.
- *Proof of Theorem 1.6.* The theorem follows from Corollary 3.8 for $\alpha \in \bigcup_{L>0} DC(L)$. 10

4. Limit distributions

In this section, we prove Theorem 1.7, limit distribution of Birkhoff sums of higher rank actions on rectangles.

- 1 4.1. Limiting distributions.
- 2 **Lemma 4.1.** There exists a continuous modular function $\theta_H: Aut_0(\mathsf{H}^g) \to H \subset$ 3 $L^2(M)$ such that for any $\omega_f = f\omega^{d,\alpha} \in \Lambda^d \mathfrak{p} \otimes W^s_\alpha(H)$ with s > d/2,

(42)
$$\lim_{|U(T)| \to \infty} \left\| \frac{1}{vol(U(T))^{1/2}} \left\langle \mathscr{P}_{U(T)}^{d,\alpha}(\cdot), \omega_f \right\rangle - \theta_H(r_{\log T}(\alpha)) D_{\alpha}^H(f) \right\|_{L^2(M)} = 0.$$

The family $\{\theta_H(\alpha) \mid \alpha \in Aut_0(\mathsf{H}^g)\}\$ has a constant norm in $L^2(M)$.

Proof. By the Fourier transform, the space of smooth vectors and Sobolev space $W^s(H)$ is represented as the Schwartz space $\mathscr{S}^s(\mathbb{R}^d) \subset L^2(\mathbb{R}^d)$ such that

$$\int_{\mathbb{R}^d} |(1 + \sum_{i=1}^d (\frac{\partial^2}{\partial u_i^2} + u_i^2))^{s/2} \hat{f}(u)|^2 du < \infty.$$

Let $t, u \in \mathbb{R}^d$. Then we claim for any $f \in \mathscr{S}^s(\mathbb{R}^d)$, there exists a function $\theta(\alpha)(\cdot) \in L^2(\mathbb{R}^d)$ such that

$$\lim_{|U(T)| \to \infty} \left\| \frac{1}{vol(U(T))^{1/2}} \int_{U(T)} f(\boldsymbol{u} + \boldsymbol{t}) d\boldsymbol{t} - \theta_H(r_{\log T}(\alpha))(\boldsymbol{u}) Leb(f) \right\|_{L^2(\mathbb{R}^d, d\boldsymbol{u})} = 0.$$

This is equivalent to the statement (42). By the standard Fourier transform on \mathbb{R}^d , equivalently

$$\lim_{|U(T)|\to\infty} \left\| \frac{1}{vol(U(T))^{1/2}} \int_{U(T)} e^{i\boldsymbol{t}\cdot\hat{\boldsymbol{u}}} \hat{f}(\hat{\boldsymbol{u}}) dt - \hat{\theta}_H(r_{\log T}(\alpha))(\hat{\boldsymbol{u}}) \hat{f}(0) \right\|_{L^2(\mathbb{R}^d, d\hat{\boldsymbol{u}})} = 0.$$

For $\chi \in L^2(\mathbb{R}^d, d\hat{\boldsymbol{u}})$ and $\hat{\boldsymbol{u}} = (\hat{u}_i)_{1 \leq i \leq d}$, we denote

$$\chi_j(\hat{\boldsymbol{u}}) = \frac{e^{i\hat{u}_j} - 1}{i\hat{u}_j}, \ \chi(\hat{\boldsymbol{u}}) = \prod_{j=1}^d \chi_j(\hat{\boldsymbol{u}}).$$

Let $\hat{\theta}(\alpha)(\hat{\boldsymbol{u}}) := \chi(\hat{\boldsymbol{u}})$ for all $\hat{\boldsymbol{u}} \in \mathbb{R}^d$. Now we will compute $\theta(r_{\log T}(\alpha))$. By intertwining formula (11) for $\mathbf{T} \in \mathbb{R}^d$,

$$U_{\mathbf{T}}(f)(\hat{\boldsymbol{u}}) = \prod_{i=1}^{d} (T^{(i)})^{1/2} f(T\hat{\boldsymbol{u}}), \text{ for } T\hat{\boldsymbol{u}} = (T^{(1)}\hat{u}_1, \cdots, T^{(d)}\hat{u}_d).$$

Then, for all $\alpha \in Aut_0(\mathsf{H}^g)$,

$$\hat{\theta}(r_{\log \mathbf{T}}(\alpha))(\hat{\mathbf{u}}) = U_{\mathbf{T}}(\chi)(\hat{\mathbf{u}}) = vol(U(\mathbf{T}))^{1/2}\chi(\mathbf{T}\hat{\mathbf{u}}).$$

The function $\theta(\alpha)$ is defined by inverse Fourier transform of $\theta(\alpha)$ and

$$\|\theta_H(\alpha)\|_H = \|\theta(\alpha)\|_{L^2(\mathbb{R}^d)} = \|\hat{\theta}(\alpha)\|_{L^2(\mathbb{R}^d)} = \|\chi(\hat{\boldsymbol{u}})\|_{L^2(\mathbb{R}^d, d\hat{\boldsymbol{u}})} = C > 0.$$

By integration.

(43)
$$\int_0^{T^{(d)}} \cdots \int_0^{T^{(1)}} e^{i\boldsymbol{t}\cdot\hat{\boldsymbol{u}}} \hat{f}(\hat{\boldsymbol{u}}) dt = vol(U(\boldsymbol{T}))\chi(\boldsymbol{T}\hat{\boldsymbol{u}}) \hat{f}(\hat{\boldsymbol{u}})$$
$$= vol(U(\boldsymbol{T}))\chi(\boldsymbol{T}\hat{\boldsymbol{u}})(\hat{f}(\hat{\boldsymbol{u}}) - \hat{f}(0)) + vol(U(\boldsymbol{T}))^{1/2} \hat{\theta}(r_{\log \boldsymbol{T}}(\alpha))(\hat{\boldsymbol{u}}) \hat{f}(0).$$

Then the claim reduces to the following:

$$\limsup_{|U(\boldsymbol{T})| \to \infty} \left\| vol(U(\boldsymbol{T}))^{1/2} \chi(\boldsymbol{T} \hat{\boldsymbol{u}}) (\hat{f}(\hat{\boldsymbol{u}}) - \hat{f}(0)) \right\|_{L^2(\mathbb{R}^d)} = 0.$$

If $f \in \mathscr{S}^s(\mathbb{R}^g)$ with s > d/2, function $\hat{f} \in C^0(\mathbb{R}^d)$ and bounded. Thus, by Dominated convergence theorem and change of variables,

$$\left\|vol(U(\boldsymbol{T}))^{1/2}\chi(\boldsymbol{T}\hat{\boldsymbol{u}})(\hat{f}(\hat{\boldsymbol{u}})-\hat{f}(0))\right\|_{L^2(\mathbb{R}^d,d\hat{\boldsymbol{u}})} = \left\|\chi(\nu)(\hat{f}(\frac{\boldsymbol{\nu}}{\boldsymbol{T}})-\hat{f}(0))\right\|_{L^2(\mathbb{R}^d,d\boldsymbol{\nu})} \to 0.$$

Corollary 4.2. For any s > d/2, $\alpha \in Aut_0(\mathsf{H}^g)$ and $f \in W^s_\alpha(H)$, there exists a constant C > 0 such that

$$\lim_{|U(T)| \to \infty} \frac{1}{vol(U(T))^{1/2}} \left\| \left\langle \mathscr{P}_{U(T)}^{d,\alpha} m, \omega_f \right\rangle \right\|_{L^2(M)} = C|D_{\alpha}^H(f)|.$$

- From Corollary 4.2, we derive the following limit result for the L^2 -norm of Bufe-
- 3 tov functionals.

Corollary 4.3. For every irreducible component H and $[\alpha] \in DC$, there exists C > 0 such that

$$\lim_{|U(T)|\to\infty} \frac{1}{vol(U(T))^{1/2}} \|\beta_H(\alpha,\cdot,T)\|_{L^2(M)} = C.$$

Proof. By the normalization of invariant distribution in Sobolev space, for any $\alpha \in Aut_0(\mathsf{H}^g)$, there exists a function $f_\alpha^H \in W_\alpha^s(H)$ such that $D_\alpha(f_\alpha^H) = \|f_\alpha^H\|_s = 1$. For all $[\alpha] \in DC(L)$, by asymptotic formula (41) for $f = f_H$,

$$\left|\left\langle \mathscr{P}_{U(T)}^{d,\alpha}m,\omega_{f}\right\rangle -\beta^{f}(\alpha,m,T)\right|\leq C_{s}(1+L).$$

- Therefore, L^2 -estimate follows from Corollary 4.2.
- A relation between the Bufetov functional and the modular function θ_H is es-
- 6 tablished below.

Corollary 4.4. For every irreducible component $H \subset L^2(M)$, the following holds. For any L > 0 and any r_t -invariant probability measure μ supported on $DC(L) \subset \mathfrak{M}_q$,

$$\beta_H(\alpha,\cdot,1) = \theta_H(\alpha)(\cdot), \quad \text{for } \mu\text{-almost all } [\alpha] \in \mathfrak{M}_q.$$

- ⁷ Proof. By Theorem 1.6 and Lemma 4.1, there exists a constant C > 0 such that
- 8 for all $[\alpha] \in supp(\mu) \subset DC(L)$ and $\mathbf{T} \in \mathbb{R}^d_+$, we have

(44)
$$\lim_{|U(T)| \to \infty} \|\beta_H(r_{\log T}(\alpha), \cdot, 1) - \theta_H(r_{\log T}(\alpha))\|_{L^2(M)} \le \frac{C_{\mu}}{vol(U(T))^{1/2}}.$$

- 9 By Luzin's theorem, for any $\delta > 0$ there exists a compact subset $E(\delta) \subset \mathfrak{M}$ such that
- we have the measure bound $\mu(\mathfrak{M}\backslash E(\delta)) < \delta$ and the function $\beta_H(\alpha,\cdot,1) \in L^2(M)$
- depends continuously on $[\alpha] \in E(\delta)$. By Poincaré recurrence, there is a full measure
- set $F \subset \mathfrak{M}$ for \mathbb{R}^d -action. Denote by a full measure set $E'(\delta) = E(\delta) \cap F \subset E(\delta)$.

For every $\alpha_0 \in E'(\delta)$, there is diverging sequence (t_n) such that $\{r_{t_n}(\alpha_0)\} \subset E(\delta)$ and $\lim_{n\to\infty} r_{t_n}(\alpha_0) = \alpha_0$. By continuity of θ_H and β_H at α_0 , we have

(45)
$$\|\beta_H(\alpha_0,\cdot,1) - \theta_H(\alpha_0)\|_{L^2(M)}$$

= $\lim_{n \to \infty} \|\beta_H(r_{\boldsymbol{t}_n}(\alpha_0),\cdot,1) - \theta_H(r_{\boldsymbol{t}_n}(\alpha_0))\|_{L^2(M)} = 0.$

- Thus, we have $\beta_H(\alpha,\cdot,1)=\theta_H(\alpha)\in L^2(M)$ for all $\alpha\in E'(\delta)$. It follows that the
- 2 set where the equality (45) fails has a measure less than any $\delta > 0$, thus the identity
- s holds for μ-almost all $\alpha \in Aut_0(\mathsf{H}^g)$.
- For all $\alpha \in Aut_0(\mathsf{H}^g)$, smooth function $f \in W^s(M)$ for $s > s_{d,g} + 1/2$ decompose
- as an infinite sum, and the functional θ^f is defined by a convergent series

(46)
$$\theta^f(\alpha) := \sum_H D_\alpha^H(f)\theta_H(\alpha).$$

- 6 Hence, the modular function $\theta^f: Aut_0(\mathsf{H}^g) \to L^2(M)$ is continuous.
- 7 The following result is an extension of Lemma 4.1 to an asymptotic formula.

Lemma 4.5. For all $\alpha \in Aut_0(H^g)$, $f \in W^s(M)$ and $s > s_{d,g} + 1/2$,

$$\lim_{n\to\infty} \left\| \frac{1}{vol(U(T_n))^{1/2}} \left\langle \mathscr{P}_{U(T_n)}^{d,\alpha} m, \omega_f \right\rangle - \theta^f(r_{\log T_n}(\alpha)) \right\|_{L^2(M)} = 0.$$

- 8 4.2. **Proof of Theorem 1.7.** We summarize our results on limit distributions for
- 9 higher rank actions.

Theorem 4.6. Let (T_n) be any sequence such that

$$\lim_{n \to \infty} r_{\log T_n}[\alpha] = \alpha_{\infty} \in \mathfrak{M}_g.$$

For every closed form $\omega_f \in \Lambda^d \mathfrak{p} \otimes W^s(M)$ with $s > s_{d,g} + 1/2$, which is not a coboundary, the limit distribution of the family of random variables

$$E_{T_n}(f) := \frac{1}{vol(U(T_n))^{1/2}} \left\langle \mathscr{P}_{U(T_n)}^{d,\alpha}(\cdot), \omega_f \right\rangle$$

- 10 exists and is equal to the distribution of the function $\theta^f(\alpha_\infty) = \beta(\alpha,\cdot,1) \in L^2(M)$.
- 11 If $\alpha_{\infty} \in DC$, then $\theta^f(\alpha_{\infty})$ is a bounded function on M, and the limit distribution
- 12 has compact support.
- Proof of Theorem 1.7. Since $\alpha_{\infty} \in \mathfrak{M}_q$, the existence of limit follows from the
- Lemma 4.5.

A relation with Birkhoff integrals and theta sum was introduced in [CF15, §5.3], and as an application, we derive the limit theorem of theta sums.

Corollary 4.7. Let $\mathcal{Q}[x] = x^{\top} \mathcal{Q}x$ be the quadratic forms defined by $g \times g$ real matrix \mathcal{Q} , where $\alpha = \begin{pmatrix} I & 0 \\ \mathcal{Q} & I \end{pmatrix} \in Sp_{2g}(\mathbb{R})$ and $\ell(x) = \ell^{\top}x$ is the linear form defined by $\ell \in \mathbb{R}^g$. Then the theta sum

$$\Theta(\mathcal{Q}, \ell; N) = N^{-g/2} \sum_{n \in \mathbb{Z}^g \cap [0, N]} \exp(2\pi \iota (\mathcal{Q}[n] + \ell(n)))$$

has a limit distribution and it has compact support.

5. L^2 -LOWER BOUNDS

- In this section we prove L^2 lower bounds of ergodic integrals on transverse torus.
 - 5.1. Structure of return map. Given the standard frame (X_i, Y_i, Z) , (g + 1)-dimensional torus is denoted by

$$\mathbb{T}_{\Gamma}^{g+1} := \{ \Gamma \exp(\sum_{i=1}^g y_i Y_i + zZ) \mid (y_i, z) \in \mathbb{R} \times \mathbb{R} \}.$$

- It is convenient to work with the polarized Heisenberg group. Set $\mathsf{H}^g_{pol} \approx \mathbb{R}^g \times$
- 4 $\mathbb{R}^g \times \mathbb{R}$ equipped with the group law $(x, y, z) \cdot (x', y', z') = (x + x', y + y', z + z' + yx')$.
- 5 **Definition 5.1.** Reduced standard Heisenberg group H_{red}^g is defined by quotient
- 6 $\mathsf{H}^g_{pol}/(\{0\} \times \{0\} \times \frac{1}{2}\mathbb{Z}) \approx \mathbb{R}^g \times \mathbb{R}^g \times \mathbb{R}/\frac{1}{2}\mathbb{Z}$. Reduced standard latice $\mathsf{\Gamma}^g_{red}$ is $\mathbb{Z}^g \times \mathbb{Z}^g \times \mathbb{Z}$
- $\{0\}$ and the quotient H_{red}^g/Γ_{red}^g is isomorphic to the standard Heisenberg manifold
- $8 \text{ H}^g/\Gamma.$

Now, we consider a return map of $\mathsf{P}^{d,\alpha}$ on $\mathbb{T}^{g+1}_{\mathsf{\Gamma}}$. For $x=(x_1,\cdots,x_g)\in\mathbb{R}^g$, we write in the coordinate of H^g_{red}

$$\exp(x_1X_1^{\alpha} + \dots + x_gX_q^{\alpha}) = (x_{\alpha}, x_{\beta}, w \cdot x), \text{ for some } x_{\alpha}, x_{\beta}, \text{ and } w \in \mathbb{R}^d.$$

Then by group law,

$$\exp(x_1X_1^{\alpha} + \dots + x_qX_q^{\alpha}) \cdot (0, y, z) = (x_{\alpha}, y + x_{\beta}, z + w \cdot x)$$

- and given $(n, m, 0) \in \Gamma_{red}^g$,
 - $(47) \ \exp(x_1 X_1^{\alpha} + \dots + x_g X_g^{\alpha}) \cdot (0, y, z) \cdot (n, m, 0) = \exp(x_1' X_1^{\alpha} + \dots + x_g' X_g^{\alpha}) \cdot (0, y', z')$
- 10 if and only if

$$x'_{\alpha} = x_{\alpha} + n, y' = y + (x_{\beta} - x'_{\beta}) + m \text{ and } z' = z + (w - w') \cdot x + n^{\top} (y + x_{\beta}).$$

Assume $\langle X_i^{\alpha}, X_j \rangle \neq 0$ for all i, j, and we write the first return time for $\mathsf{P}^{d,\alpha}$ action

$$t_{Ret} = (t_{Ret,1}, \cdots, t_{Ret,d}) \in \mathbb{R}^d$$

- on transverse torus $\mathbb{T}_{\Gamma}^{g+1}$. We denote the domain for return time $U(t_{Ret})=$
- $[0,t_{Ret,1}] \times \cdots \times [0,t_{Ret,d}]$. Return map of action $\mathsf{P}^{d,\alpha}$ on $\mathbb{T}^{g+1}_\mathsf{\Gamma}$ has a form of
- 13 skew-shift

(48)
$$A_{\rho,\tau}(y,z) = (y+\rho, z+v\cdot y+\tau) \text{ on } \mathbb{R}^g/\mathbb{Z}^g \times \mathbb{R}/K^{-1}\mathbb{Z}.$$

- for some non-zero vectors $\rho, v \in \mathbb{R}^g$ and $\tau \in \mathbb{R}$.
- Furthermore, $A_{\rho,\tau} = A_{d,\rho,\tau} \circ \cdots \circ A_{1,\rho,\tau}$ decomposes with commuting linear
- 16 skew-shifts

(49)
$$A_{i,\rho,\tau}(y,z) = (y + \rho_i, z + v_i \cdot y + \tau_i) \text{ on } \mathbb{R}^g / \mathbb{Z}^g \times \mathbb{R} / K^{-1} \mathbb{Z}$$

for some $\rho_i, v_i \in \mathbb{R}^g$ and $v_i \in \mathbb{R}^g$. For each $j \neq k$, it is easily verified that

$$A_{j,\rho,\tau} \circ A_{k,\rho,\tau} = A_{k,\rho,\tau} \circ A_{j,\rho,\tau}.$$

Given pair $(\mathbf{m}, n) \in \mathbb{Z}_{K|n|}^g \times \mathbb{Z}$, let $H_{(\mathbf{m}, n)}$ denote the corresponding factor and $C^{\infty}(H_{(\mathbf{m}, n)})$ be a subspace of smooth functions on $H_{(\mathbf{m}, n)}$. Denote $\{e_{\mathbf{m}, n} \mid (\mathbf{m}, n) \in \mathbb{Z}_{[n]}^g \times \mathbb{Z}\}$ the basis of characters on $\mathbb{T}_{\Gamma}^{g+1}$ and for all $(y, z) \in \mathbb{T}^g \times \mathbb{T}$,

$$e_{\mathbf{m},n}(y,z) := \exp[2\pi\iota(\mathbf{m}\cdot y + nKz)].$$

For each $A_{i,\rho,\sigma}$, we set $v_i = (v_{i1}, \dots, v_{ig}) \in \mathbb{Z}^g_{K|n|}$. Then the orbit can be identified with the following dual orbit

$$\mathcal{O}_{A_i}(\boldsymbol{m}, n) = \{ (\boldsymbol{m} + (nj_i)\boldsymbol{v_i}, n), \ j_i \in \mathbb{Z} \}$$

= \{ (m_1 + (nv_{i1})j_i, \cdots, m_q + (nv_{iq})j_i, n), \ j_i \in \mathbb{Z} \}.

If n=0, the orbit $[(\mathbf{m},0)] \subset \mathbb{Z}^g \times \mathbb{Z}$ of $(\mathbf{m},0)$ is reduced to a single element. If $n \neq 0$, then the dual orbit $[(\mathbf{m},n)] \subset \mathbb{Z}^{g+1}$ of (\mathbf{m},n) for higher rank actions is described as follows:

$$\mathcal{O}_A(\boldsymbol{m},n) = \{(m_k + n \sum_{i=1}^d (v_{ik}j_i), n)_{1 \le k \le d} : j = (j_1, \dots, j_d) \in \mathbb{Z}^d\}.$$

It follows that every A-orbit for rank \mathbb{R}^d -action (or A_i -orbit) can be labeled uniquely by a pair $(\mathbf{m}, n) \in \mathbb{Z}^g_{|n|} \times \mathbb{Z} \setminus \{0\}$ with $\mathbf{m} = (m_1, \dots, m_g)$. Thus, the subspace of functions with non-zero central characters splits as a direct sum of components $H_{(\mathbf{m},n)}$

$$L^2(\mathbb{T}^{g+1}_{\mathsf{\Gamma}}) = \bigoplus_{\omega \in \mathcal{O}_A} H_{\omega}, \text{ where } H_{\omega} = \bigoplus_{(\mathbf{m}, n) \in \omega} \mathbb{C}e_{(\mathbf{m}, n)}.$$

- 1 5.2. Higher cohomology for \mathbb{Z}^d -action of skew-shifts. In this subsection, we
- will find a relations with the return map for \mathbb{Z}^d action of $\mathsf{P}^{d,\alpha}$ on the torus $\mathbb{T}^{g+1}_{\mathsf{\Gamma}}$
- and obstructions to cohomological equation $\omega = d\Omega$.
- We will restrict our interest to the following cocycle equation

(50)
$$\varphi(x,t) = \mathfrak{D}\Phi(x,t), \quad x \in \mathbb{T}^g, \ t \in \mathbb{Z}^d$$

where d-cocycle $\varphi: \mathbb{T}^{g+1}_{\Gamma} \times \mathbb{Z}^d \to \mathbb{R}$, (d-1) cochain $\Phi: \mathbb{T}^{g+1}_{\Gamma} \to \mathbb{R}^d$, $\Phi = (\Phi_1, \dots, \Phi_d)$, and \mathfrak{D} is coboundary operator

$$\mathfrak{D}\Phi = \sum_{i=1}^{d} (-1)^{i+1} \Delta_i \Phi_i$$

- where $\Delta_i \Phi_i = \Phi_i \circ A_{i,\rho,\tau} \Phi_i$.
- In the work of Katok [KK95, §2], he proved the existence of solutions for cocycle
- 7 equations by studying the dual equations $\hat{\varphi} = \mathfrak{D}\hat{\Phi}$ in the space of Fourier coeffi-
- s cients (dual orbit). We apply this result to our A-orbit and verify the invariant
- distributions (or currents) explicitly.
- Proposition 5.2. [KK95, Proposition 2.2] A cocycle $\hat{\varphi}$ satisfies cocycle equation 11 (50) if and only if $\sum_{j \in \mathbb{Z}^d} \hat{\varphi}_{(\mathbf{m},n)} \circ A^j = 0$.
- For fixed $(\mathbf{m}, n) \in \mathbb{Z}^g \times \mathbb{Z}$, we denote an obstruction of cohomological equation
- restricted to the A-orbit of (\mathbf{m}, n) by $\mathcal{D}_{\mathbf{m}, n}(\varphi) = \sum_{j \in \mathbb{Z}^d} \hat{\varphi}_{(\mathbf{m}, n)} \circ A^j$. Since A^j is
- composition of commuting toral automorphisms, we obtain the following generalized
- formula (see [AFU11, §5] and [Ka03, §11] for rank 1 map on \mathbb{T}^2).
- Lemma 5.3. There exists a distributional obstruction to the existence of a smooth solution $\varphi \in C^{\infty}(H_{(\mathbf{m},n)})$ of the cohomological equation (50).

A generator of the space of invariant distribution $\mathcal{D}_{m,n}$ has form of

$$\mathcal{D}_{\mathbf{m},n}(e_{a,b}) := \begin{cases} e^{-2\pi\iota \sum_{i=1}^{d} [(\mathbf{m} \cdot \rho_i + nK\tau_i)j_i + nK\tau_i\binom{j_i}{2}]} & if (a,b) = (m_k + K\sum_{i=1}^{d} (v_{ik}j_i), n)_{1 \le k \le g} \\ 0 & otherwise. \end{cases}$$

Proof. From previous observation, there exists an obstruction

(51)
$$\mathcal{D}_{\mathbf{m},n}(\varphi) = \sum_{j \in \mathbb{Z}^d} \int_{\mathbb{T}_{\Gamma}^{g+1}} \varphi(x,y) \overline{e_{\mathbf{m},n} \circ A_{\rho,\tau}^j} dx dy.$$

By direct computation, for fixed $j = (j_1, \dots j_d)$,

$$e_{\mathbf{m},n} \circ A_{\rho,\tau}^j(y,z) = \prod_{i=1}^d \left(e^{2\pi\iota[(\mathbf{m}\cdot\rho_i + nK\tau_i)j_i + nK\tau_i\binom{j_i}{2}]} \right) \left(e^{2\pi\iota(\mathbf{m}\cdot y + K(z + n\sum_{k=1}^d (v_{ik}j_i)y_k))} \right).$$

- Then, we choose $\hat{\varphi} = e_{a,b}$ for $(a,b) = (m_k + K \sum_{i=1}^d (v_{ik}j_i), n)_{1 \le k \le q}$ in the non-
- trivial orbit $(n \neq 0)$.

(52)
$$\mathcal{D}_{\mathbf{m},n}(e_{a,b}) = e^{-2\pi\iota \sum_{i=1}^{d} [(\mathbf{m} \cdot \rho_i + nK\tau_i)j_i + nK\tau_i {j_i \choose 2}]}.$$

5.3. Changes of coordinates. For any frame $(X_i^{\alpha}, Y_i^{\alpha}, Z)_{i=1}^g$, denote transverse cylinder for any $m \in M$,

$$\mathscr{C}_{\alpha,m} := \{ m \exp(\sum_{i=1}^g y_i' Y_i^{\alpha} + z' Z) \mid (y', z') \in U(t_{Ret}^{-1}) \times \mathbb{T} \}.$$

Let $\Phi_{\alpha,m}: \mathbb{T}^{g+1}_{\Gamma} \to \mathscr{C}_{\alpha,m}$ denote the maps: for any $\xi \in \mathbb{T}^{g+1}_{\Gamma}$, let $\xi' \in \mathscr{C}_{\alpha,m}$ denote first intersection of the orbit $\{\mathsf{P}_t^{d,\alpha}(\xi)\mid t\in\mathbb{R}_+^d\}$ with transverse cylinder $\mathscr{C}_{\alpha,m}$. Then, there exists a first return time to the cylinder $t(\xi) = (t_1(\xi), \dots, t_d(\xi)) \in \mathbb{R}^d_+$ such that

$$\xi' = \Phi_{\alpha,m}(\xi) = \mathsf{P}^{d,\alpha}_{t(\xi)}(\xi), \ \ \forall \xi \in \mathbb{T}^{g+1}_{\mathsf{\Gamma}}.$$

Let (y,z) and (y',z') denote the coordinates on $\mathbb{T}^{g+1}_{\Gamma}$ and $\mathscr{C}_{\alpha,m}$ given by the exponential map respectively,

$$(y,z) \to \xi_{y,z} := \Gamma \exp(\sum_{i=1}^g y_i Y_i + zZ), \quad (y',z') \to m \exp(\sum_{i=1}^g y_i' Y_i^{\alpha} + z'Z).$$

- Recall that if $\alpha \in Sp_{2g}(\mathbb{R})$, then for $1 \leq i, j \leq g$ there exist matrices A =
- $(a_{ij}), B = (b_{ij}), C = (c_{ij}), \bar{D} = (d_{ij})$ such that

$$\alpha := \left[\begin{array}{cc} A & B \\ C & D \end{array} \right] \in Sp_{2g}(\mathbb{R}),$$

satisfying $A^tD - C^tB = I_{2g}$, $C^tA = A^tC$, $D^tB = B^tD$, and $\det(A) \neq 0$. Set

$$X_i^{\alpha} = \sum_{j=1}^g (a_{ij}X_j + b_{ij}Y_j) + w_i Z$$
 and $Y_i^{\alpha} = \sum_{j=1}^g (c_{ij}X_j + d_{ij}Y_j) + v_i Z$.

- Let $x = \Gamma \exp(\sum_{i=1}^d y_{x,i} Y_i + z_x Z) \exp(\sum_{i=1}^d t_{x,i} X_i)$, for some $(y_x, z_x) \in \mathbb{T}^d \times \mathbb{R}/K\mathbb{Z}$ and $t_x = (t_{x,i}) \in [0,1)^d$. Then, the map $\Phi_{\alpha,x} : \mathbb{T}_{\Gamma}^{g+1} \to \mathscr{C}_{\alpha,m}$ is defined by
- $\Phi_{\alpha,x}(y,z) = (y',z')$ where

$$\begin{bmatrix} y_1' \\ y_2' \\ \vdots \\ y_g' \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} & \cdots & a_{1g} \\ a_{21} & a_{22} & \cdots & a_{2g} \\ \vdots & \vdots & \vdots & \vdots \\ a_{g1} & a_{g2} & \cdots & a_{gg} \end{bmatrix} \begin{bmatrix} y_1 - y_{x,1} \\ y_2 - y_{x,2} \\ \vdots \\ y_g - y_{x,g} \end{bmatrix} + \begin{bmatrix} b_{11} & \cdots & b_{1g} \\ b_{21} & \cdots & b_{2g} \\ \vdots & \vdots & \vdots \\ b_{g1} & \cdots & b_{gg} \end{bmatrix} \begin{bmatrix} t_{x,1} \\ t_{x,2} \\ \vdots \\ t_{x,g} \end{bmatrix},$$

and $z' = z + P(\alpha, x, y)$ for some degree 4 polynomial P.

Therefore, the map $\Phi_{\alpha,x}$ is invertible with

$$\Phi_{\alpha,x}^*(dy_1' \wedge \cdots dy_g' \wedge dz') = \frac{1}{\det(A)} dy_1 \wedge \cdots dy_g \wedge dz.$$

Since $A^tD - C^tB = I_{2g}$, by direct computation we obtain return time, we have

$$\begin{bmatrix} t_1(\xi) \\ t_2(\xi) \\ \vdots \\ t_g(\xi) \end{bmatrix} = \begin{bmatrix} d_{11} & \cdots & d_{1g} \\ d_{21} & \cdots & d_{2g} \\ \vdots & \vdots & \vdots \\ d_{d1} & \cdots & d_{gg} \end{bmatrix} \begin{bmatrix} t_{x,1} \\ t_{x,2} \\ \vdots \\ t_{x,d} \end{bmatrix} + \begin{bmatrix} c_{11} & c_{12} & \cdots & c_{1g} \\ c_{21} & c_{22} & \cdots & c_{2g} \\ \vdots & \vdots & \vdots & \vdots \\ c_{g1} & c_{g2} & \cdots & c_{gg} \end{bmatrix} \begin{bmatrix} y_1 - y_{x,1} \\ y_2 - y_{x,2} \\ \vdots \\ y_g - y_{x,g} \end{bmatrix}.$$

Then.

$$||t(\xi)|| \le \max_{i} |t_i(\xi)|^g \le \max_{i} |\sum_{i=1}^g d_{ij} t_{x,i} + c_{ij} (y_i - y_{x,i})|^g \le \max_{i} ||Y_i^{\alpha}||^g.$$

- 5.4. L²-lower bound of functional. We will prove bounds for the square mean
- of integrals along leaves of foliations of the torus $\mathbb{T}^{g+1}_{\Gamma}$.

Lemma 5.4. There exists a constant C > 0 such that for all $\alpha = (X_i^{\alpha}, Y_i^{\alpha}, Z)$, and for every irreducible component $H := H_n$ of central parameter $n \neq 0$, there exists a function f_H such that

$$|f_H|_{L^{\infty}(H)} \le Cvol(U(t_{Ret}))^{-1}|\mathcal{D}_{\alpha}^H(f_H)|,$$

$$|f_H|_{\alpha,s} \le Cvol(U(t_{Ret}))^{-1}|\mathcal{D}_{\alpha}^H(f_H)|(1 + \frac{\Sigma(t_{Ret})}{vol(U(t_{Ret}))}||Y||)^s(1 + n^2)^{s/2}$$

- 5 where $||Y|| := \max_{1 \le i \le g} ||Y_i^{\alpha}||$ and $\Sigma(t_{Ret}) = \sum_{i=1}^g t_{Ret,i}$.
- 7 On rectangular domain U(T), for all $m \in \mathbb{T}^{g+1}_{\Gamma}$ and $T^{(i)} \in \mathbb{Z}_{t_{Ret,i}}$

(55)
$$\left\| \left\langle \mathscr{P}_{U(\boldsymbol{T})}^{d,\alpha}(\mathsf{Q}_{y}^{g,Y}m), \omega_{H} \right\rangle \right\|_{L^{2}(\mathbb{T}^{g},dy)} = |\mathcal{D}_{\alpha}^{H}(f_{H})| \left(\frac{vol(U(\boldsymbol{T}))}{vol(U(t_{Ret}))} \right)^{1/2}.$$

In addition, whenever $H \perp H' \subset L^2(M)$ the functions

$$\left\langle \mathscr{P}^{d,\alpha}_{U(\boldsymbol{T})}(\mathsf{Q}^{g,Y}_y m), \omega_H \right\rangle \quad and \quad \left\langle \mathscr{P}^{d,\alpha}_{U(\boldsymbol{T})}(\mathsf{Q}^{g,Y}_y m), \omega_{H'} \right\rangle$$

- 8 are orthogonal in $L^2(\mathbb{T}^g, dy)$.
- 9 *Proof.* The operator $I_{\alpha}: L^{2}(M) \to L^{2}(\mathbb{T}^{g+1}_{\Gamma})$ is defined by

$$(56) f \to I_{\alpha}(f) := \int_{U(t_{Bat})} f \circ \mathsf{P}_{s}^{d,\alpha}(\cdot) ds.$$

Then operator I_{α} is surjective linear map of $L^{2}(M)$ onto $L^{2}(\mathbb{T}_{\Gamma}^{g+1})$ with a right inverse R_{α}^{χ} defined as follows. Let $\chi \in C_{0}^{\infty}(0,1)^{g}$ be any function of jointly integrable with integral 1. For any $F \in L^{2}(\mathbb{T}_{\Gamma}^{g+1})$, let $R_{\alpha}^{\chi}(F) \in L^{2}(M)$ be a function defined by

$$R^{\chi}_{\alpha}(F)(\mathsf{P}^{d,\alpha}_v(x)) = \frac{1}{vol(U(t_{Ret}))}\chi(\frac{v}{t_{Ret}})F(x), \ (x,v) \in \mathbb{T}^{g+1}_{\Gamma} \times U(t_{Ret}).$$

Then, it follows that there exists a constant $C_{\chi} > 0$ such that

(57)
$$|R_{\alpha}^{\chi}(F)|_{\alpha,s} \leq C_{\chi} vol(U(t_{Ret}))^{-1} \left(1 + \sum_{i=1}^{g} t_{Ret,i}^{-1} \|Y_{i}^{\alpha}\|\right)^{s} \|F\|_{W^{s}(\mathbb{T}_{\Gamma}^{g+1})} \\ \leq C_{\chi} vol(U(t_{Ret}))^{-1} \left(1 + \frac{\Sigma(t_{Ret})}{vol(U(t_{Ret}))} \|Y\|\right)^{s} \|F\|_{W^{s}(\mathbb{T}_{\Gamma}^{g+1})}.$$

As explained in §5.1, the space $L^2(\mathbb{T}^{g+1}_{\Gamma})$ decompose as a direct sum of irreducible subspaces invariant under the action of each $A_{j,\rho,\sigma}$. It follows that the subspace of functions with non-zero central character can be split as direct sum of components $H_{(\mathbf{m},n)}$ with $(\mathbf{m},n) \in \mathbb{Z}_{|n|}^g \times \mathbb{Z} \setminus \{0\}$ with $\mathbf{m} = (m_1, \cdots, m_g)$. For a function $F \in \mathbb{Z}_{|n|}$ $H_{(\mathbf{m},n)}$, it is characterized by Fourier expansion

$$F = \sum_{j \in \mathbb{Z}^d} F_j e_{A^j(\boldsymbol{m},n)} = \sum_{j \in \mathbb{Z}^d} F_j e_{(m_k + K \sum_{i=1}^d (v_{ik} j_i), n)}.$$

Then, we can choose $f_H := R_{\alpha}^{\chi}(e_{\mathbf{m},n}) \in C^{\infty}(H)$ such that

(58)
$$|D_{\alpha}(f_{\alpha}^{H})| = |D_{\mathbf{m},n}(e_{\mathbf{m},n})| = 1,$$

(59)
$$\int_{U(t_{Ret})} f_H \circ \mathsf{P}^{d,\alpha}_t(y,z) dt = e_{\mathbf{m},n}(y,z), \text{ for } (y,z) \in \mathbb{T}^{g+1}_{\Gamma}.$$

Therefore, it follows from (57) that

$$|f_H|_{L^{\infty}(H)} \leq C_{\gamma} vol(U(t_{Ret}))^{-1}$$

$$|f_H|_{\alpha,s} \le Cvol(U(t_{Ret}))^{-1}|\mathcal{D}_{\alpha}^H(f_H)|\Big(1 + \frac{\Sigma(t_{Ret})}{vol(U(t_{Ret}))}||Y||\Big)^s(1 + n^2)^{s/2}.$$

Moreover, since $\{e_{\mathbf{m},n} \circ A^j_{\rho,\tau}\}_{j\in\mathbb{Z}^d} \subset L^2(\mathbb{T}^g_{\Gamma},dy)$ is orthonormal, we verify

$$\left\| \left\langle \mathscr{P}_{U(\boldsymbol{T})}^{d,\alpha}(\mathsf{Q}_{y}^{g,Y}x), \omega_{H} \right\rangle \right\|_{L^{2}(\mathbb{T}^{g},dy)} = \left\| \sum_{j_{d}=0}^{\left[\frac{T(1)}{t_{Ret,d}}\right]-1} \cdots \sum_{j_{1}=0}^{\left[\frac{T(1)}{t_{Ret,1}}\right]-1} e_{\mathbf{m},n} \circ A_{\rho,\tau}^{j} \right\|_{L^{2}(\mathbb{T}^{g},dy)}$$

$$= \left(\frac{vol(U(\boldsymbol{T}))}{vol(U(t_{Ret}))} \right)^{1/2}.$$

Recall that

(60)
$$L^{2}(M) = \bigoplus_{n \in \mathbb{Z}} H_{n} := \bigoplus_{n \in \mathbb{Z}} \bigoplus_{i=1}^{\mu(n)} H_{i,n}$$

- where $H_n = \bigoplus_{i=1}^{\mu(n)} H_{i,n}$ is irreducible representation with central parameter n and $\mu(n)$ is countable by Howe-Richardson multiplicity formula.

For any infinite dimensional vector $\mathbf{c} := (c_{i,n}) \in \ell^2$, let $\beta_{\mathbf{c}}$ denote Bufetov functional

$$\beta_{\mathbf{c}} = \sum_{n \in \mathbb{Z}} \sum_{i=1}^{\mu(n)} c_{i,n} \beta^{i,n}.$$

By orthogonal property and from Corollary 4.3, the function $\beta_{\mathbf{c}}(\alpha, \cdot, \mathbf{T}) \in L^2(M)$ for all $(\alpha, \mathbf{T}) \in Aut_0(\mathsf{H}^g) \times \mathbb{R}^d_+$. Furthermore,

$$\|\beta_{\mathbf{c}}(\alpha, \cdot, \mathbf{T})\|_{L^{2}(M)}^{2} = \sum_{n \in \mathbb{Z}} \sum_{i=1}^{\mu(n)} |c_{i,n}|^{2} \|\beta^{i,n}(\alpha, \cdot, \mathbf{T})\|_{L^{2}(H_{i,n})}^{2} \le C^{2} |\mathbf{c}|_{\ell^{2}}^{2} vol(U(\mathbf{T})).$$

For any $\mathbf{c} := (c_{i,n})$, let $|\mathbf{c}|_s$ denote the norm defined as

(61)
$$|\mathbf{c}|_s^2 = \sum_{n \in \mathbb{Z} \setminus \{0\}} \sum_{i=1}^{\mu(n)} (1 + K^2 n^2)^s |c_{i,n}|^2.$$

Lemma 5.5. For any $s > s_{d,g} + 1/2$, there exists a constant $C_s > 0$ such that for all $\alpha \in DC(L)$, for all $\mathbf{c} \in \ell^2$, for all $z \in \mathbb{T}$ and all T > 0,

$$\left| \|\beta_{\boldsymbol{c}}(\alpha, \Phi_{\alpha,x}(\xi_{y,z}), \boldsymbol{T})\|_{L^{2}(\mathbb{T}^{g}, dy)} - \left(\frac{vol(U(\boldsymbol{T}))}{vol(U(t_{Ret}))} \right)^{1/2} |\boldsymbol{c}|_{0} \right|$$

$$\leq C_{s}(vol(U(t_{Ret})) + vol(U(t_{Ret}))^{-1})(1+L)(1 + \frac{\Sigma(t_{Ret})}{vol(U(t_{Ret}))} \|Y\|)^{s} |\boldsymbol{c}|_{s}.$$

Proof. By Lemma 5.3, there exists a function $f_{i,n} \in C^{\infty}(H_{i,n})$ with $|\mathcal{D}^{i,n}(f_{i,n})| = 1$. Let $f_{\mathbf{c}} = \sum_{n \in \mathbb{Z}} \sum_{i=1}^{\mu(n)} c_{i,n} f_{i,n} \in C^{\infty}(M)$, summing up all the functions on irreducibles. Then by the estimates in the Lemma 5.4 and (61),

$$(62) |f_{\mathbf{c}}|_{L^{\infty}(M)} \le C|\mathbf{c}|_{\ell^{1}}.$$

(63)
$$|f_{\mathbf{c}}|_{\alpha,s} \le Cvol(U(t_{Ret}))^{-1} (1 + \frac{\Sigma(t_{Ret})}{vol(U(t_{Ret}))} ||Y||)^{s} |\mathbf{c}|_{s}.$$

By orthogonality,

$$\left\|\left\langle \mathscr{P}_{U(\boldsymbol{T})}^{d,\alpha} \circ \mathsf{Q}_{y}^{g,Y}, \omega_{\mathbf{c}} \right\rangle \right\|_{L^{2}(\mathbb{T}^{g},dy)} = \left(\frac{vol(U(\boldsymbol{T}))}{vol(U(t_{Ret}))}\right)^{1/2} |\mathbf{c}|_{0}.$$

By the estimate in Lemma 5.4 for each $f_{i,n}$, for every $z \in \mathbb{T}$ and all $\mathbf{T} \in \mathbb{R}^d_+$, we have

$$\left\| \left\langle \mathscr{P}_{U(T)}^{d,\alpha}(\Phi_{\alpha,x}(\xi_{y,z})), \omega_{\mathbf{c}} \right\rangle - \left\langle \mathscr{P}_{U(T)}^{d,\alpha}(\xi_{y,z}), \omega_{\mathbf{c}} \right\rangle \right\|_{L^{2}(\mathbb{T}^{g}, dy)} \leq 2|f_{\mathbf{c}}|_{L^{\infty}(M)} \|Y\|.$$

Let $U(\mathbf{T}_{Ret}) = [0, \mathbf{T}_{Ret,1}] \times \cdots \times [0, \mathbf{T}_{Ret,g}]$ where $\mathbf{T}_{Ret,i} := t_{Ret,i}([T^{(i)}/t_{Ret,i}] + 1)$. Then,

$$\left\|\left\langle \mathscr{P}_{U(\mathbf{T})}^{d,\alpha}(\xi_{y,z}),\omega_{\mathbf{c}}\right\rangle - \left\langle \mathscr{P}_{U(\mathbf{T}_{Ret})}^{d,\alpha}(\xi_{y,z}),\omega_{\mathbf{c}}\right\rangle\right\|_{L^{2}(\mathbb{T}^{g},dy)} \leq vol(U(t_{Ret}))|f_{\mathbf{c}}|_{L^{\infty}(M)}.$$

Therefore, there exists a constant C' > 0 such that

$$\left\| \left\langle \mathscr{P}_{U(\boldsymbol{T})}^{d,\alpha}(\Phi_{\alpha,x}(\xi_{y,z})), \omega_{\mathbf{c}} \right\rangle \right\|_{L^{2}(\mathbb{T}^{g},dy)} - \left(\frac{vol(U(\boldsymbol{T}))}{vol(U(t_{Ret}))} \right)^{1/2} |\mathbf{c}|_{0} \right| \\ \leq C' vol(U(t_{Ret}))|\mathbf{c}|_{\ell^{1}}.$$

For all $s > s_{d,g} + 1/2$, by asymptotic property of Theorem 1.6, for some constant $C_s > 0$,

$$\left| \left\langle \mathscr{P}_{U(T)}^{d,\alpha} m, \omega \right\rangle - \beta_H(\alpha, m, T) \mathcal{D}_{\alpha}^H(f_H) \right| \leq C_s(1+L) \|f\|_{\alpha,s}.$$

Applying $\beta_{\mathbf{c}} = \beta^{f_{\mathbf{c}}}$ and combining bounds on the function $f_{\mathbf{c}}$ with (62),

$$\begin{aligned} & \left| \| \beta_{\mathbf{c}}(\alpha, \Phi_{\alpha, x}(\xi_{y, z}), \mathbf{T}) \|_{L^{2}(\mathbb{T}^{g}, dy)} - \left(\frac{vol(U(\mathbf{T}))}{vol(U(t_{Ret}))} \right)^{1/2} |\mathbf{c}|_{0} \right| \\ & \leq C' vol(U(t_{Ret})) |\mathbf{c}|_{\ell^{1}} + C_{s} vol(U(t_{Ret}))^{-1} (1 + L) |f_{\mathbf{c}}|_{\alpha, s} \\ & \leq C'_{s} (vol(U(t_{Ret})) + vol(U(t_{Ret}))^{-1}) (1 + L) \left(1 + \frac{\Sigma(t_{Ret})}{vol(U(t_{Ret}))} \|Y\| \right)^{s} |\mathbf{c}|_{s}. \end{aligned}$$

Therefore, we derive the estimates in the statement.

6. Analyticity of functionals

- In this section we will prove that for all $\alpha \in DC$, the Bufetov functionals on any square are real analytic.
- 6.1. Analyticity.
- **Definition 6.1.** For every $t \in \mathbb{R}$, $1 \le i \le d$, and $m \in M$, the stretched (in direction
- of Z) rectangle is denoted by

(64)
$$[\Gamma_{\mathbf{T}}]_{i,t}^{Z}(m) := \{ (\phi_{ts_i}^{Z}) \circ \mathsf{P}_{\mathbf{s}}^{d,\alpha}(m) \mid \mathbf{s} \in U(\mathbf{T}) \}.$$

- For $\mathbf{s}=(s_1,\cdots,s_d)\in\mathbb{R}^d$, let us denote by the standard rectangle $\Gamma_{\mathbf{T}}(\mathbf{s}):=$
- $(\gamma_1(s_1), \dots, \gamma_d(s_d))$ for $\gamma_i(s_i) = \exp(s_i X_i)$. Similarly, we also write the stretched
- rectangle

(65)
$$[\Gamma_{\mathbf{T}}]_{i,t}^{Z}(\mathbf{s}) := (\gamma_1(s_1), \cdots, \gamma_{i,t}^{Z}(s_i), \cdots, \gamma_d(s_d))$$

where $\gamma_{i,t}^{Z}(s_i) := \phi_{ts_i}^{Z}(\gamma_i(s_i))$ is a stretched curve.

Definition 6.2. The restricted rectangle $\Gamma_{T,i,s}$ of the standard rectangle $\Gamma_{\mathbf{T}}$ is defined as a restriction

$$\Gamma_{T,i,s}(\mathbf{s}) := \Gamma_{\mathbf{T}}|_{U_{T,i,s}}(\mathbf{s}),$$

$$\Gamma_{T,i,s}(\mathbf{s}) := \Gamma_{\mathbf{T}}|_{U_{T,i,s}}(\mathbf{s}),$$
where $U_{T,i,s} = [0, T^{(1)}] \times \cdots \times \underbrace{[0, s]}_{i-\text{th}} \cdots \times [0, T^{(d)}]$ for some $0 < s \le T^{(i)}$.

- Recall the orthogonal property on a irreducible component H (central parameter
- $n \in \mathbb{Z} \setminus \{0\}$). For any $(m, \mathbf{T}) \in M \times \mathbb{R}^d_+$ and $t \in \mathbb{R}$,

(66)
$$\beta_H(\alpha, \phi_t^Z(m), \mathbf{T}) = e^{2\pi \iota K n t} \beta_H(\alpha, m, \mathbf{T}).$$

- 15 We obtain the following lemma for stretched rectangle by applying orthogonal property.
 - **Lemma 6.3.** For fixed elements (X_i, Y_i, Z) satisfying commutation relation (1), the following formula for rank 1 action holds:

$$\hat{\beta}_{H}(\alpha, [\Gamma_{\mathbf{T}}]_{i,t}^{Z}) = e^{2\pi \iota t n K T^{(i)}} \hat{\beta}_{H}(\alpha, \Gamma_{\mathbf{T}}) - 2\pi \iota n K t \int_{0}^{T^{(i)}} e^{2\pi \iota n K t s_{i}} \hat{\beta}_{H}(\alpha, \Gamma_{T,i,s}) ds_{i}.$$

Proof. Let $\alpha = (X_i, Y_i, Z)$ and ω be d-form supported on a single irreducible representation H. We obtain following the formula for stretches of curve $\gamma_{i,t}^Z$ (see [FK20b, §4 and Lemma 9.1]),

$$\frac{d\gamma_{i,t}^Z}{ds_i} = D\phi_{ts_i}^Z(\frac{d\gamma_i}{ds_i}) + tZ \circ \gamma_{i,t}^Z.$$

It follows that pairing is given by

$$\langle [\Gamma_{\mathbf{T}}]_{i,t}^{Z}, \omega \rangle = \int_{U(\mathbf{T})} \omega \left(\frac{d\gamma_{1}}{ds_{1}}(s_{1}), \cdots, \frac{d\gamma_{i,t}^{Z}}{ds_{i}}(s_{i}), \cdots, \frac{d\gamma_{d}}{ds_{d}}(s_{d}) \right) d\mathbf{s}$$

$$= \int_{U(\mathbf{T})} e^{2\pi \iota n K t s_{i}} \left[\omega \left(\frac{d\gamma_{1}}{ds_{1}}(s_{1}), \cdots, \frac{d\gamma_{d}}{ds_{d}}(s_{d}) \right) \right] + \iota_{Z} \omega \circ [\Gamma_{\mathbf{T}}]_{i,t}^{Z}(\mathbf{s}) d\mathbf{s}$$

Denote d-1 dimensional triangle $U_{d-1}(T)$ with $U(T) = U_{d-1}(T) \times [0, T^{(i)}]$. Integration by parts for a fixed *i*-th integral gives

$$\int_{U(T)} e^{2\pi \iota n K t s_i} \left[\omega \left(\frac{d\gamma_1}{ds_1}(s_1), \cdots, \frac{d\gamma_d}{ds_d}(s_d) \right) \right] d\mathbf{s}$$

$$= e^{2\pi \iota n K t T^{(i)}} \int_{U(T)} \left[\omega \left(\frac{d\gamma_1}{ds_1}(s_1), \cdots, \frac{d\gamma_d}{ds_d}(s_d) \right) \right] d\mathbf{s}$$

$$- 2\pi \iota n K t \int_0^{T^{(i)}} e^{2\pi \iota n K t s_i} \int_{U_{d-1}(T)} \left(\int_0^{s_i} \left[\omega \left(\frac{d\gamma_1}{ds_1}(s_1), \cdots, \frac{d\gamma_i}{ds_i}(r), \cdots, \frac{d\gamma_d}{ds_d}(s_d) \right) \right] d\mathbf{s}.$$

Then, we have the following formula

$$\langle [\Gamma_{\mathbf{T}}]_{i,t}^{Z}, \omega \rangle = e^{2\pi \iota n K t T^{(i)}} \langle [\Gamma_{\mathbf{T}}], \omega \rangle - 2\pi \iota n K t \int_{0}^{T^{(i)}} e^{2\pi \iota n K t s_{i}} \langle \Gamma_{T,i,s}, \omega \rangle ds_{i} + \int_{U(\mathbf{T})} (\iota_{Z} \omega \circ [\Gamma_{\mathbf{T}}]_{i,t}^{Z})(\mathbf{s}) d\mathbf{s}.$$

Since the action of $\mathsf{P}^{d,X}_{\mathbf{t}}$ for $\mathbf{t} \in \mathbb{R}^d$ is identity on the center Z,

$$\lim_{t_1,\dots,t_d\to\infty} e^{-(t_1+\dots t_d)/2} \int_{U(T)} (\iota_Z(\mathsf{P}^{d,X}_{\mathbf{t}})^* \omega \circ [\Gamma_{\mathbf{T}}]_{i,t}^Z)(\mathbf{s}) d\mathbf{s} = 0.$$

- Thus, in particular concerning d=1, it follows by the definition of Bufetov func-
- 2 tional (Lemma 3.5), the statement holds.

Here we define a restricted vector $\mathbf{T}_{i,s}$ of $\mathbf{T} = (T^{(1)}, \dots, T^{(d)}) \in \mathbb{R}^d$. For fixed $i \in [1, d]$, pick $s_i \in [0, T^{(i)}]$ such that $\mathbf{T}_{i,s} \in \mathbb{R}^d$ is a vector with its coordinates

$$T_{i,s}^{(j)} = \begin{cases} T^{(j)} & \text{if} \quad j \neq i \\ s_i & \text{if} \quad j = i. \end{cases}$$

- 3 Similarly, $\mathbf{T}_{i_1,\dots,i_k,s}$ is a vector whose i_1,\dots,i_k -th coordinates are replaced by
- 4 S_{i_1}, \cdots, S_{i_k}

Lemma 6.4. For $m \in M$ and $y_i \in \mathbb{R}$, the following property holds:

$$\beta_H(\alpha, \phi_{y_i}^{Y_i}(m), \mathbf{T}) =$$

$$e^{-2\pi \iota y_i nKT^{(i)}} \beta_H(\alpha, m, \mathbf{T}) + 2\pi \iota nKy_i \int_0^{T^{(i)}} e^{-2\pi \iota y_i nKs_i} \beta_H(\alpha, m, \mathbf{T}_{i,s}) ds_i.$$

Proof. By definition (4), (65) and commutation relation (1), it follows that

$$\phi_{u_i}^{Y_i}(\Gamma_{\mathbf{T}}^X(m)) = [\Gamma_{\mathbf{T}}^X(\phi_{u_i}^{Y_i}(m))]_{i.t.}^Z$$

By the invariance property of Bufetov functional and Lemma 6.3,

$$\beta_H(\alpha, m, \mathbf{T}) = \hat{\beta}_H(\alpha, \phi_{u_i}^{Y_i}(\Gamma_{\mathbf{T}}^X(m)))$$

$$= e^{2\pi \iota y_{i}nKT^{(i)}} \hat{\beta}_{H}(\alpha, \Gamma_{\mathbf{T}}^{X}(\phi_{y_{i}}^{Y_{i}}(m)) - 2\pi \iota nKy_{i} \int_{0}^{T^{(i)}} e^{2\pi \iota nKy_{i}s_{i}} \hat{\beta}_{H}(\alpha, \Gamma_{T,i,s}^{X}(\phi_{s_{i}}^{Y_{i}}(m)) ds_{i}$$

$$= e^{2\pi \iota y_{i}nKT^{(i)}} \beta_{H}(\alpha, \phi_{y_{i}}^{Y_{i}}(m), \mathbf{T}) - 2\pi \iota nKy_{i} \int_{0}^{T^{(i)}} e^{2\pi \iota nKy_{i}s_{i}} \beta_{H}(\alpha, \phi_{s_{i}}^{Y_{i}}(m), \mathbf{T}_{i,s}) ds_{i}.$$

- 1 Then statement follows immediately.
- We extend previous Lemma 6.4 to higher rank actions by induction argument.

Lemma 6.5 (Rank-d action). For $m \in M$ and $y = (y_1, \dots, y_d) \in \mathbb{R}^d$, the following identity for the cocycle β_H holds:

$$\beta_{H}(\alpha, \mathbf{Q}_{y}^{d,Y}(m), \mathbf{T}) = e^{-2\pi\iota \sum_{j=1}^{d} y_{j}nKT^{(j)}} \beta_{H}(\alpha, m, \mathbf{T})$$

$$+ \sum_{k=1}^{d} \sum_{1 \leq i_{1} < \dots < i_{k} \leq d} \prod_{j=1}^{k} (2\pi\iota nKy_{i_{j}}) e^{-2\pi\iota nK(\sum_{l \notin \{i_{1}, \dots, i_{k}\}} y_{l}T^{(l)})}$$

$$\times \int_{0}^{T^{(i_{1})}} \dots \int_{0}^{T^{(i_{k})}} e^{-2\pi\iota nK(y_{i_{1}}s_{i_{1}} + \dots + y_{i_{k}}s_{i_{k}})} \beta_{H}(\alpha, m, \mathbf{T}_{i_{1}, \dots, i_{k}, s}) ds_{i_{k}} \dots ds_{i_{1}}.$$

Proof. We verified that the statement works for d=1 in Lemma 6.4. Assume that (67) holds for rank d-1 action $\mathbb{Q}_{y'}^{d-1,Y}$ by induction hypothesis. For convenience, we write

$$\mathsf{Q}_y^{d,Y}(m) = \phi_{y_d}^{Y_d} \circ \mathsf{Q}_{y'}^{d-1,Y}(m) \text{ for } y' \in \mathbb{R}^{d-1} \text{ and } y = (y',y_d) \in \mathbb{R}^d.$$

By applying Lemma 6.4,

$$\beta_{H}(\alpha, \mathsf{Q}_{y}^{d,Y}(m), \mathbf{T}) = e^{-2\pi \iota y_{d} nKT^{(d)}} \beta_{H}(\alpha, \mathsf{Q}_{y'}^{d-1,Y}(m), \mathbf{T})$$

$$+ 2\pi \iota nK y_{d} \int_{0}^{T^{(d)}} e^{-2\pi \iota y_{d} nK s_{d}} \beta_{H}(\alpha, \mathsf{Q}_{y'}^{d-1,Y}(m), \mathbf{T}_{d,s}) ds_{d}$$

$$:= I + II.$$

Firstly, by induction hypothesis,

$$I = e^{-2\pi \iota y_d nKT^{(d)}} \left(e^{-2\pi \iota \sum_{j=1}^{d-1} y_j nKT^{(j)}} \beta_H(\alpha, m, \mathbf{T}) \right)$$

$$+ \sum_{k=1}^{d-1} \sum_{1 \le i_1 < \dots < i_k \le d-1} \prod_{j=1}^{k} (2\pi \iota nK y_{i_j}) e^{-2\pi \iota nK(\sum_{l \notin \{i_1, \dots, i_k\}} y_l T^{(l)} + y_d T^{(d)})}$$

$$\times \int_0^{T^{(i_1)}} \dots \int_0^{T^{(i_k)}} e^{-2\pi \iota nK(y_{i_1} s_{i_1} + \dots + y_{i_k} s_{i_k})} \beta_H(\alpha, m, \mathbf{T}_{i_1, \dots, i_k, s}) ds_{i_k} \dots ds_{i_1}$$

$$= e^{-2\pi \iota \sum_{j=1}^{d} y_j nKT^{(j)}} \beta_H(\alpha, m, \mathbf{T}) + III.$$

- 3 Then term III contains 0 to d-1th iterated integrals on the restricted rectangles
- 4 (from 0 to d-1-th) containing $e^{-2\pi i n K y_d T^{(d)}}$ outside of iterated integrals.
- 5 For the second part, we apply induction hypothesis again for restricted rectangle
- $\mathbf{T}_{d,s}$. Then,

$$\begin{split} II &= 2\pi \iota nKy_{d} \int_{0}^{T^{(d)}} e^{-2\pi \iota y_{d}nKs_{d}} \left[e^{-2\pi \iota \sum_{j=1}^{d-1} y_{j}nKT^{(j)}} \beta_{H}(\alpha, m, \mathbf{T}_{d,s}) \right] ds_{d} \\ &+ \sum_{k=1}^{d-1} \sum_{1 \leq i_{1} < \dots < i_{k} \leq d-1} (2\pi \iota nKy_{d}) \prod_{j=1}^{k} (2\pi \iota nKy_{i_{j}}) e^{-2\pi \iota nK(\sum_{l \notin \{i_{1}, \dots, i_{k}\}} y_{l}T^{(l)})} \\ &\times \int_{0}^{T^{(d)}} \left(\int_{0}^{T^{(i_{1})}} \dots \int_{0}^{T^{(i_{k})}} ds_{i_{k}} \dots ds_{i_{1}} \right) ds_{d} \\ &\times e^{-2\pi \iota nK(y_{i_{1}}s_{i_{1}} + \dots + y_{i_{k}}s_{i_{k}} + y_{d}s_{d})} \beta_{H}(\alpha, m, \mathbf{T}_{i_{1}, \dots, i_{k}, d, s}). \end{split}$$

- The term II consist of 1 to d-th iterated integrals on the (from 1 to d-th) restricted
- ² rectangles containing $e^{-2\pi \iota n K y_d s_d}$ inside of iterated integrals. Thus, by rearranging
- $_3$ terms II and III, we obtain all the terms in the expression (67).
- 4 6.2. Extensions of domain. In this subsection, we extend the domain of Bufetov
- functional defined on standard rectangle $\Gamma_{\mathbf{T}}^{X}$ to the class \mathfrak{R} (see Definition 1.1). We
- 6 also extend the functional to holomorphic function on a complex domain.
- 7 Proof of Corollary 1.4. Firstly, we can extend our functional to class $(Q_n^{d,Y})_*\Gamma_T^X$ for
- 8 any $y \in \mathbb{R}^d$ by invariance property (Lemma 3.6). Similarly, by Lemma 6.3, Bufetov
- 9 functional defined on the standard rectangles extends to the class of generalized
- rectangle $(\phi_{t_iz}^Z) \circ \mathsf{P}_{\boldsymbol{t}}^{d,\alpha}(m)$. Since the flow generated by Z commutes with other
- actions P and Q, for any standard rectangle $\Gamma = \Gamma(m)$ with a fixed point $m \in M$,
- we have $(\phi_z^Z)_*\Gamma(m) = \Gamma(\phi_z^Z(m))$ for any $z \in \mathbb{R}$. Therefore, by combining with the
- invariance under the action Q from Lemma 3.6, the domain of Bufetov functional
- invariance under the action Q from Lemma 5.0, the domain of Buletov functional extends to the class \Re .

For any R > 0, the analytic norm defined for all $\mathbf{c} \in \ell^2$ as

$$\|\mathbf{c}\|_{\omega,R} = \sum_{n\neq 0} \sum_{i=1}^{\mu(n)} e^{nR} |c_{i,n}|.$$

Let Ω_R denote the subspace of $\mathbf{c} \in \ell^2$ such that $\|c\|_{\omega_R}$ is finite.

Lemma 6.6. For $c \in \Omega_R$ and $T \in \mathbb{R}^d_+$, the function

$$\beta_{\boldsymbol{c}}(\alpha, \mathsf{Q}_{\boldsymbol{y}}^{d,Y} \circ \phi_{\boldsymbol{z}}^{Z}(m), \boldsymbol{T}), \ (\boldsymbol{y}, \boldsymbol{z}) \in \mathbb{R}^{d} \times \mathbb{T}$$

6 extends to a holomorphic function in the domain

(69)
$$D_{R,T} := \{(y,z) \in \mathbb{C}^d \times \mathbb{C}/\mathbb{Z} \mid \sum_{i=1}^d |Im(y_i)|T^{(i)} + |Im(z)| < \frac{R}{2\pi K}\}.$$

The following bound holds: for any R' < R there exists a constant C > 0 such that, for all $(y, z) \in D_{R',T}$ we have

$$|\beta_{c}(\alpha, Q_{y}^{d,Y} \circ \phi_{z}^{Z}(m), T)|$$

$$\leq C_{R,R'} ||c||_{\omega,R} (L + vol(U(T))^{1/2} (1 + E_{M}(a,T)) (1 + K \sum_{i=1}^{d} |Im(y_{i})|T^{(i)}).$$

Proof. By Lemma 6.5 and (66),

$$\begin{split} &\beta_{\mathbf{c}}(\alpha, \mathsf{Q}_{y}^{d,Y} \circ \phi_{z}^{Z}(m), \mathbf{T}) = e^{(z - 2\pi\iota \sum_{j=1}^{d} y_{j} nKT^{(j)})} \beta_{H}(\alpha, m, \mathbf{T}) \\ &+ \sum_{k=1}^{d} \sum_{1 \leq i_{1} < \dots < i_{k} \leq d} \prod_{j=1}^{k} (2\pi\iota nKy_{i_{j}}) e^{-2\pi\iota nK(\sum_{l \notin \{i_{1}, \dots, i_{k}\}} y_{l}T^{(l)})} \\ &\times e^{2\pi\iota nKz} \int_{0}^{T^{(i_{1})}} \dots \int_{0}^{T^{(i_{k})}} e^{-2\pi\iota nK(y_{i_{1}}s_{i_{1}} + \dots + y_{i_{k}}s_{i_{k}})} \beta_{H}(\alpha, m, \mathbf{T}_{i_{1}, \dots, i_{k}, s}) ds_{i_{k}} \dots ds_{i_{1}}. \end{split}$$

As a consequence, by Lemma 3.7 for each variable $(y_i, z) \in \mathbb{C} \times \mathbb{C}/\mathbb{Z}$, Then for the rank d-action, by induction, for $(y, z) \in \mathbb{C}^d \times \mathbb{C}/\mathbb{Z}$ we have

$$|\beta_{\mathbf{c}}(\alpha, \mathsf{Q}_{y}^{d,Y} \circ \phi_{z}^{Z}(x), \mathbf{T})|$$

$$\leq (L + vol(U(T))^{1/2} (1 + E_M(a, T)) \left(C_1 \sum_{n \neq 0} \sum_{i=1}^{\mu(n)} e^{nR} |c_{i,n}| e^{2\pi |Im(z - \sum_{i=1}^{d} T^{(i)} y_i)| nK} \right)$$

$$+ \sum_{k=1}^{d} C_{k} \left(\sum_{1 \leq i_{1} < \dots < i_{k} \leq d} \prod_{j=1}^{k} (|Im(y_{i_{j}})| T^{(i_{j})}) \right) \times \sum_{n \neq 0} \sum_{i=1}^{\mu(n)} n|c_{i,n}| e^{2\pi(|Im(z)| + \sum_{j=1}^{k} T^{(i_{j})}|Im(y_{i_{j}})|)nK}) \right).$$

- 1 Therefore, the functional $\beta_{\mathbf{c}}(\alpha, \mathbf{Q}_{y}^{d,Y} \circ \phi_{z}^{Z}(m), \mathbf{T})$ is bounded by a series of holo-
- 2 morphic functions on $\mathbb{C}^d \times \mathbb{C}/\mathbb{Z}$ and it converges uniformly on compact subsets of
- domain $D_{R,T}$. Thus it is holomorphic on the set.

7. Measure estimation for bounded-type

- In this section, we prove a measure estimation of Bufetov functional with bounded-
- 6 type α . This result is a generalization of §11 in [FK20b].
- Let \mathcal{O}_r denote the space of holomorphic functions on the ball $B_{\mathbb{C}}(0,r)\subset\mathbb{C}^n$.

Theorem 7.1. [Bru99, Theorem 1.9] For any $f \in \mathcal{O}_r$, there is a constant $d := d_f(r) > 0$ such that for any convex set $D \subset B_{\mathbb{R}}(0,1) := B_{\mathbb{C}}(0,1) \cap \mathbb{R}^n$, for any measurable subset $U \subset D$

$$\sup_{D} |f| \le \left(\frac{4nLeb(D)}{Leb(U)}\right)^d \sup_{U} |f|.$$

- We say that a holomorphic function f defined in a disk is p-valent if it assumes
- no value more than p-times there. We also say that f is θ -valent if it is a constant.

Definition 7.2. [Bru99, Def 1.6] Let \mathcal{L}_t denote the set of one-dimensional complex affine spaces $L \subset \mathbb{C}^n$ such that $L \cap B_{\mathbb{C}}(0,t) \neq \emptyset$. For $f \in \mathcal{O}_r$, the number

$$\nu_f(t) := \sup_{L \in \mathcal{L}_t} \{ \text{valency of } f \mid L \cap B_{\mathbb{C}}(0,t) \neq \emptyset \}$$

- is called the valency of f in $B_{\mathbb{C}}(0,t)$.
- By Proposition 1.7 of [Bru99], for any $f \in \mathcal{O}_r$ with finite valency $\nu_f(t)$ for any $t \in [1, r)$, there is a constant c := c(r) > 0 such that

(70)
$$d_f(r) \le c\nu_f(\frac{1+r}{2}).$$

- 1 Lemma 7.3. [FK20b, Lemma 10.3] Let R > r > 1. For any normal family
- $\mathcal{F}\subset\mathcal{O}_R,$ assume that no functions in $\overline{\mathcal{F}}=\emptyset$ are constant along a one-dimensional
- 3 complex line. Then we have

$$\sup_{f\in\mathcal{F}}\nu_f(r)<\infty.$$

Lemma 7.4. Let L > 0 and $\mathcal{B} \subset DC(L)$ be a bounded subset. Given R > 0, for all $\mathbf{c} \in \Omega_R$ and all $\mathbf{T}^{(i)} > 0$, denote $\mathcal{F}(\mathbf{c}, \mathbf{T})$ by the family of real analytic functions of the variable $y \in [0, 1)^d$ and

$$\mathcal{F}(\boldsymbol{c},\boldsymbol{T}) := \{ \beta_{\boldsymbol{c}}(\alpha, \Phi_{\alpha,x}(\xi_{y,z}), \boldsymbol{T}) \mid (\alpha, x, z) \in \mathscr{B} \times M \times \mathbb{T} \}.$$

- 4 Then there exist $T_{\mathscr{B}} := (T_{\mathscr{B}}^{(i)})$ and $\rho_{\mathscr{B}} > 0$, such that for every (R, T) with $R/T^{(i)} \geq 0$
- 5 $\rho_{\mathscr{B}}, \ \pmb{T}^{(i)} \geq \pmb{T}^{(i)}_{\mathscr{B}} \ and for all \ \pmb{c} \in \Omega_R \backslash \{0\}, \ we \ have$

(71)
$$\sup_{f \in \mathcal{F}(c,T)} \nu_f < \infty$$

Proof. Since $\mathscr{B} \subset \mathfrak{M}$ is bounded, for each time $t_i \in \mathbb{R}$ and $1 \leq i \leq g$,

$$0 < t_{i,\mathscr{B}}^{min} = \min_{i} \inf_{\alpha \in \mathscr{B}} t_{Ret,i,\alpha} \leq \max_{i} \sup_{\alpha \in \mathscr{B}} t_{Ret,i,\alpha} = t_{\mathscr{B}}^{max} < \infty.$$

For any $\alpha \in \mathcal{B}$ and $x \in M$, the map $\Phi_{\alpha,x} : [0,1)^d \times \mathbb{T} \to \prod_{i=1}^d [0,t_{\alpha,i}) \times \mathbb{T}$ in (53) extends to a complex analytic diffeomorphism $\hat{\Phi}_{\alpha,x} : \mathbb{C}^d \times \mathbb{C}/\mathbb{Z} \to \mathbb{C}^d \times \mathbb{C}/\mathbb{Z}$. By Lemma 6.6, it follows that for fixed $z \in \mathbb{T}$, real analytic function $\beta_{\mathbf{c}}(\alpha,\Phi_{\alpha,x}(\xi_{y,z}),T)$ extends to a holomorphic function defined on a region

$$H_{\alpha,m,R,t} := \{ y \in \mathbb{C}^d \mid \sum_{i=1}^d |Im(y_i)| \le h_{\alpha,m,R,t} \}.$$

By boundedness of the set $\mathscr{B} \subset \mathfrak{M}$, it follows that

$$\inf_{(\alpha, r) \in \mathscr{B} \times M} h_{\alpha, m, R, t} := h_{R, T} > 0.$$

- 6 We remark that the function $h_{\alpha,m,R,t}$ and its lower bound $h_{R,T}$ can be obtained
- ₇ from the formula (53) for the polynomial $\Phi_{\alpha,x}$ and the definition of the domain
- $B_{R,T}$ in formula (69).
- For every r > 1, there exists $\rho_{\mathscr{B}} > 1$ such that for every R and \mathbf{T} with $R/\mathbf{T}^{(i)} > 1$
- 10 $\rho_{\mathscr{B}}$,

(72)
$$\beta_{\mathbf{c}}(\alpha, \Phi_{\alpha,x}(\xi_{y,z}), \mathbf{T}) \in \mathcal{O}_r$$

11 as a function of $y \in \mathbb{T}^d$.

By Lemma 6.6, the family $\mathcal{F}(c, \mathbf{T})$ is uniformly bounded and normal. By Lemma 5.5 for the non-zero L^2 -lower bound of functionals, for sufficiently large pair \mathbf{T} , no sequence from $\mathcal{F}(c, \mathbf{T})$ can converge to a constant. Therefore, by Lemma 7.3 for the family $\mathcal{F} = \mathcal{F}(c, \mathbf{T})$, the main statement follows.

We derive measure estimates of Bufetov functionals on the rectangular domain.

Lemma 7.5. Let $\alpha \in DC$ such that the forward orbit of \mathbb{R}^d -action $\{r_t[\alpha]\}_{t \in \mathbb{R}^d_+}$ is contained in a compact set of \mathfrak{M}_g . There exist $R, C, \delta > 0$ and $T_0 \in \mathbb{R}^d_+$ such that, for every $\mathbf{c} \in \Omega_R \setminus \{0\}$, $T \geq T_0$ and for every $\epsilon > 0$, we have

$$vol(\{m \in M \mid |\beta_{c}(\alpha, m, T)| \le \epsilon vol(U(T))^{1/2}\}) \le C\epsilon^{\delta}.$$

Proof. Since $\alpha \in DC$ and the orbit $\{r_{\mathbf{t}}[\alpha]\}_{\mathbf{t} \in \mathbb{R}_+}$ is contained in a compact set, there exists L > 0 such that $r_{\mathbf{t}}[\alpha] \in DC(L)$ for all $\mathbf{t} \in \mathbb{R}_+^d$. Then, we choose $\mathbf{T}_0 \in \mathbb{R}^d$ and R > 0 from the conclusion of Lemma 7.4. By the scaling property,

$$\beta_{\mathbf{c}}(\alpha,m,\boldsymbol{T}) = \left(\frac{vol(U(\boldsymbol{T}))}{vol(U(\boldsymbol{T_0}))}\right)^{1/2} \beta_{\mathbf{c}}(g_{\log(\boldsymbol{T}/\boldsymbol{T_0})}[\alpha],m,\boldsymbol{T_0}).$$

By Fubini's theorem, it suffices to estimate

$$Leb(\{y \in [0,1]^d \mid |\beta_{\mathbf{c}}(\alpha, \Phi_{\alpha,x}(\xi_{y,z}), T_0)| \leq \epsilon\}).$$

Let $\delta^{-1} := c(r) \sup_{f \in \mathcal{F}(\mathbf{c}, \mathbf{T}_0)} \nu_f(\frac{1+r}{2}) < \infty$ as in (70) and (71). By Lemma 5.5, we have

$$\inf_{(\alpha,x,z)\in \mathscr{B}\times M\times \mathbb{T}} \sup_{y\in [0,1]^d} |\beta_{\mathbf{c}}(\alpha,\Phi_{\alpha,x}(\xi_{y,z}), T_0)| > 0$$

so that the functional is not trivial. By Theorem 7.1 for the unit ball $D = B_{\mathbb{R}}(0,1)$ and setting

$$U = \{ y \in [0,1]^d \mid |\beta_{\mathbf{c}}(\alpha, \Phi_{\alpha,x}(\xi_{y,z}), T_0)| \le \epsilon \},$$

by the bound in (70) for $d_f(r)$, there exists a constant C > 0 such that for all $\epsilon > 0$ and $(\alpha, x, z) \in \mathcal{B} \times M \times \mathbb{T}$,

$$Leb(\{y \in [0,1]^d \mid |\beta_{\mathbf{c}}(\alpha, \Phi_{\alpha,x}(\xi_{y,z}), T_0)| \le \epsilon\}) \le C\epsilon^{\delta}.$$

Then the statement follows from the Fubini theorem.

Corollary 7.6. Let α be as in the previous Lemma 7.5. There exist $R, C, \delta > 0$ and $T_0 \in \mathbb{R}^d_+$ such that, for every $\mathbf{c} \in \Omega_R \setminus \{0\}$, $T \geq T_0$ and for every $\epsilon > 0$, we have

$$vol\left(\left\{m \in M \mid |\langle \mathscr{P}_{U(\boldsymbol{T})}^{d,\alpha}m, \omega_{\boldsymbol{c}}\rangle| \leq \epsilon vol(U(\boldsymbol{T}))^{1/2}\right\}\right) \leq C\epsilon^{\delta}.$$

- 2 Acknowledgement. The author deeply appreciates Giovanni Forni for valuable
- 3 suggestions to improve the draft. He acknowledges Rodrigo Treviño and Corinna
- 4 Ulcigrai for giving several comments. He is also grateful to Oliver Butterley,
- 5 Krzysztof Fraczek, Osama Khail, and Lucia D. Simonelli for fruitful discussions.
- 6 This work was initiated when the author visited the Institut de Mathematiques
- 7 de Jussieu-Paris Rive Gauche in Paris, France. He acknowledges invitation and
- 8 hospitality during the visit. Lastly, the author is thankful to the referee for helpful
- 9 comments and suggestions for improvement in the presentation of this work. This
- research was partially supported by the NSF grant DMS 1600687 and by the Cen-
- 11 tre of Excellence "Dynamics, mathematical analysis and artificial intelligence" at
- 12 Nicolaus Copernicus University in Toruń.

13

16 17

18

19

References

[AB18] Alexander Adam and Viviane Baladi. Horocycle averages on closed manifolds and transfer operators. arXiv preprint arXiv:1809.04062 (2018).

[AFRU21] Artur Avila, Giovanni Forni, Davide Ravotti, and Corinna Ulcigrai, Mixing for smooth time-changes of general nilflows, Advances in Mathematics 385 (2021), 107759.

[AFU11] Artur Avila, Giovanni Forni, and Corinna Ulcigrai, Mixing for the time-changes of heisenberg nilflows, Journal of Differential Geometry 89 (2011), no. 3, 369–410.

20 [B19] Viviane Baladi. There are no deviations for the ergodic averages of Giulietti-Liverani horo-21 cycle flows on the two-torus. Ergodic Theory and Dynamical Systems (2019): 1-14.

[BF14] Alexander Bufetov and Giovanni Forni, Limit theorems for horocycle flows, Ann. Sci. Éc.
 Norm. Supér. (4) 47 (2014), no. 5, 851–903. MR 3294619

[Bru99] Alexander Brudnyi, On local behavior of analytic functions, Journal of Functional Analysis 2 (1999), no. 169, 481–493.

- [Buf10] Alexander I Bufetov, Hölder cocycles and ergodic integrals for translation flows on flat
 surfaces, Electronic Research Announcements 17 (2010), 34–42.
- 3 [Buf13] _____, Limit theorems for suspension flows over Vershik automorphisms. Russian Math-4 ematical Surveys **68.5** (2013): 789.
- [Buf14a] ______, Finitely-additive measures on the asymptotic foliations of a markov compactum,
 Moscow Mathematical Journal 14 (2014), no. 2, 205–224.
- [Buf14b] _______, Limit theorems for translation flows, Ann. of Math. (2) 179 (2014), no. 2,
 431-499. MR 3152940
- [BS13] Alexander I Bufetov and Boris Solomyak. Limit theorems for self-similar tilings. Communications in Mathematical Physics 319.3 (2013): 761-789.
- [BS20] Oliver Butterley and Lucia D Simonelli, Parabolic flows renormalized by partially hyper-bolic maps, Bollettino dell'Unione Matematica Italiana (2020), 1–20.
- [CF15] Salvatore Cosentino and Livio Flaminio, Equidistribution for higher-rank Abelian actions
 on Heisenberg nilmanifolds, J. Mod. Dyn. 9 (2015), 305–353. MR 3426826
- [CM16] Francesco Cellarosi and Jens Marklof, Quadratic weyl sums, automorphic functions and
 invariance principles, Proceedings of the London Mathematical Society 113 (2016), no. 6,
 775–828.
- [DS17] Dmitry Dolgopyat and Omri Sarig. Temporal distributional limit theorems for dynamical
 systems. Journal of Statistical Physics 166.3-4 (2017): 680-713.
- [FT15] Frédéric Faure and Masato Tsujii. Prequantum transfer operator for symplectic Anosov
 diffeomorphism. Asterisque 375 (2015).
- [FGL19] Frédéric Faure, Sébastien Gouëzel, and Erwan Lanneau, Ruelle spectrum of linear pseudo-anosov maps, Journal de l'École polytechnique—Mathématiques 6 (2019), 811–877.
- [FF06] ______, Equidistribution of nilflows and applications to theta sums, Ergodic Theory Dynam. Systems **26** (2006), no. 2, 409–433. MR 2218767
- 26 [FF14] _____, On effective equidistribution for higher step nilflows, arXiv preprint arXiv:1407.3640 (2014).
- [FFT16] Livio Flaminio, Giovanni Forni, and James Tanis, Effective equidistribution of twisted
 horocycle flows and horocycle maps, Geom. Funct. Anal. 26 (2016), no. 5, 1359–1448.
 MR 3568034
- [For02] Giovanni Forni, Deviation of ergodic averages for area-preserving flows on surfaces of higher genus, Annals of Mathematics 155 (2002), no. 1, 1–103.
- [For 20a] _____, On the equidistribution of unstable curves for pseudo-anosov diffeomorphisms of compact surfaces, arXiv preprint arXiv:2007.03144 (2020).
- For 20b] ______, Ruelle resonances from cohomological equations, preprint arXiv:2007.03116 (2020).
- FK20a] Giovanni Forni and Adam Kanigowski, Multiple mixing and disjointness for time changes of bounded-type heisenberg nilflows, Journal de l'École polytechnique—Mathématiques 7 (2020), 63-91.
 - [FK20b] _____, Time-changes of heisenberg nilflows, Asterisque 416 (2020), 253–299.

40

- [GG04] Friedrich Götze and Mikhail Gordin, Limiting distributions of theta series on siegel half-spaces, St. Petersburg Mathematical Journal 15 (2004), no. 1, 81–102.
- 43 [GM14] Jory Griffin and Jens Marklof, Limit theorems for skew translations., Journal of Modern Dynamics 8 (2014), no. 2.
- [GS14] Alexander Gorodnik and Ralf Spatzier, Exponential mixing of nilmanifold automorphisms,
 Journal d'Analyse Mathématique 123 (2014), no. 1, 355–396.
- 47 [GS15] _____, Mixing properties of commuting nilmanifold automorphisms, Acta Mathematica 215 (2015), no. 1, 127–159.
- [Ka03] Katok, Anatolij Borisovič. Combinatorial constructions in ergodic theory and dynamics.
 No. 30. American Mathematical Soc., 2003.
- [Kim21] Minsung Kim, Effective equidistribution for generalized higher step nilflows, Ergodic
 Theory and Dynamical Systems (2021), 1-60.
- [KK95] Anatole Katok and Svetlana Katok, Higher cohomology for abelian groups of toral auto morphisms, Ergodic Theory and Dynamical Systems 15 (1995), no. 3, 569–592.
- [KM99] D. Y. Kleinbock and G. A. Margulis, Logarithm laws for flows on homogeneous spaces,
 Invent. Math. 138 (1999), no. 3, 451–494. MR 1719827
- [Mar99] Jens Marklof, Limit theorems for theta sums, Duke mathematical journal 97 (1999),
 no. 1, 127–154.

38 MINSUNG KIM

- 1 [MM07] David Mumford and C Musili, Tata lectures on theta. i (modern birkhäuser classics), 2 Birkhäuser Boston Incorporated, 2007.
- [MMY10] Stefano Marmi, Pierre Moussa, and J-C. Yoccoz. Affine interval exchange maps with a wandering interval. Proceedings of the London Mathematical Society 100.3 (2010): 639-669.
- [MNN07] David Mumford, Madhav Nori, and Peter Norman, Tata lectures on theta iii, vol. 43,
 Springer, 2007.
- [MUY20] Stefano Marmi, Corinna Ulcigrai, and Jean-Christophe Yoccoz. On Roth type conditions, duality and central Birkhoff sums for iem. Asterisque 416 (2020): 65-132.
- [GL19] Paolo Giulietti and Carlangelo Liverani, Parabolic dynamics and anisotropic Banach
 spaces, Journal of the European Mathematical Society (2019).
- 11 [Rav18] Davide Ravotti, Mixing for suspension flows over skew-translations and time-changes of 12 quasi-abelian filiform nilflows, Ergodic Theory and Dynamical Systems (2018), 1–30.
- [Rav21] D. Ravotti. Asymptotics and limit theorems for horocycle ergodic integrals a la Ratner.
 arXiv preprint arXiv:2107.02090 (2021).
- [Sul82] Dennis Sullivan, Disjoint spheres, approximation by imaginary quadratic numbers, and
 the logarithm law for geodesics, Acta Math. 149 (1982), no. 3-4, 215-237. MR 688349
- [Tol78] R Tolimieri, Heisenberg manifolds and theta functions, Transactions of the American
 Mathematical Society 239 (1978), 293–319.
 - DEPARTMENT OF MATHEMATICS, UNIVERSITY OF MARYLAND, COLLEGE PARK, MD 20742, USA
- Current address: Faculty of Mathematics and Computer Science, Nicolaus Coper-Nicus University, Chopina 12/18, 87-100 Toruń, Poland
- 22 Email address: mkim16@mat.umk.pl