

Organic Photovoltaic Cells Based on Continuously Graded Donor–Acceptor Heterojunctions

Richa Pandey and Russell J. Holmes, *Member, IEEE*

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Abstract—We demonstrate enhanced organic photovoltaic cell (OPV) efficiency through the use of continuously graded donor–acceptor (D–A) heterojunctions. Device performance is a strong function of both D–A grading and overall composition ratio. The use of a tunable gradient permits an increase in the D–A interface area for high-exciton diffusion efficiency relative to a planar heterojunction, while also improving the charge collection efficiency relative to a uniform mixture. Using the archetypical D–A pair of copper phthalocyanine and C₆₀, a power conversion efficiency of $\eta_P = (2.1 \pm 0.1)\%$ is realized under 100 mW/cm² simulated AM1.5G solar illumination for a graded heterojunction. This represents an improvement in η_P of $\sim 60\%$ relative to a planar heterojunction OPV and $\sim 20\%$ compared to a uniformly mixed heterojunction OPV.

Index Terms—Excitons, molecular electronics, organic compounds, photovoltaic cells, semiconductor heterojunctions.

I. INTRODUCTION

SINCE the first demonstration of an efficient organic photovoltaic cell (OPV) by Tang [1], refinements in both device design and materials selection have led to significant improvements in performance [2]–[4]. In particular, previous work has emphasized the importance of film morphology in realizing high-power conversion efficiency (η_P) [3]–[5]. In this paper, OPV morphology is engineered through the use of continuously graded film compositions. The highly tunable gradient permits fine control over device performance, enabling improvements in efficiency.

In an organic semiconductor, photon absorption leads to the formation of tightly bound, mobile excitons. Excitons diffuse to a donor–acceptor (D–A) heterojunction and are dissociated if the interface energy-level offset exceeds the exciton binding energy [6], [7]. Following dissociation, charge carriers are collected at their respective electrodes [8]. The fraction of photo-generated excitons that reach the D–A interface is referred to as the exciton diffusion efficiency. Similarly, the charge collection

efficiency is defined as the fraction of dissociated charge carriers that reach the electrodes. In a planar heterojunction OPV, device performance is limited by a low-absorption efficiency since the active layer thickness is comparable to the exciton diffusion length (L_D), which is much smaller than the optical absorption length [6]. The competition between the requirements for efficient optical absorption and exciton diffusion is known as the “exciton bottleneck.”

Multiple approaches have been developed to overcome the exciton bottleneck and realize efficient photoconversion in OPVs. The use of long-lived phosphorescent materials has enabled larger L_D than is typically obtained in fluorescent organic materials [9]–[13]. Of particular note is the use of C₆₀ as an acceptor, permitting thicker active layers and enhanced device performance [9], [10]. To date, the most significant improvements in device performance have come from advances in the control of film composition and morphology. Architectures including mixed [14]–[16], hybrid planar-mixed [17], [18], nanocrystalline [4], [19], and bulk [2], [10], [20], [21] heterojunctions have attempted to overcome the short L_D by increasing the D–A interface area thereby improving the exciton diffusion efficiency.

The use of a uniformly mixed D–A heterojunction is attractive as it permits efficient exciton diffusion by extending the dissociating interface throughout the film [14]–[16]. Unfortunately, the charge carrier mobility is typically reduced in these structures, especially in mixtures of small molecules, potentially leading to a lower charge collection efficiency [22], [23]. A promising alternative to the use of uniform mixtures are graded D–A heterojunctions (GHJs). Previous work on GHJs in OPVs has focused on the use of multistep structures that comprise thin, uniformly mixed layers of varying D–A composition to simulate a gradient [14], [24], [25]. In these initial investigations, there is no discussion of GHJ performance as a function of the D–A composition ratio or active layer thickness. In addition, no evidence is presented that confirms an enhancement in the charge collection efficiency relative to a uniformly mixed architecture. In order to conclusively demonstrate the merits of the GHJ architecture, this study utilizes a continuous, D–A concentration gradient throughout the active layer. The GHJ has a larger D–A interface area than a planar heterojunction, and for an optimized composition profile, maintains a high-charge collection efficiency relative to a uniform mixture. Consequently, this architecture allows for significant optimization and tuning of the exciton diffusion and charge collection efficiencies through modifications of the composition profile.

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The authors are with the Department of Chemical Engineering and Materials Science, University of Minnesota, Minneapolis, MN 55455 USA (e-mail: rholmes@umn.edu).

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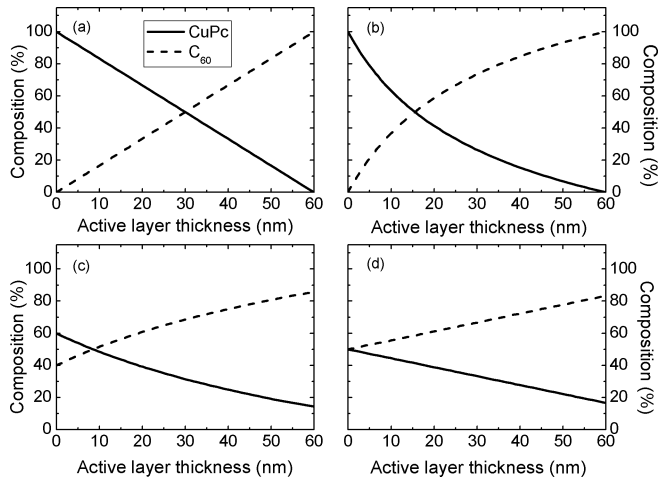


Fig. 1. Composition profile in (a) a ZEP (1:1) CuPc:C₆₀ gradient, (b) a ZEP (1:2) CuPc:C₆₀ gradient, (c) a NZEP gradient, and (d) a C₆₀-favorable gradient. The zero of thickness is referenced at the anode–organic interface.

II. EXPERIMENT

In this work, OPVs were fabricated on indium-tin-oxide-coated glass substrates with a sheet resistance of 15 Ω/\square and a thickness of 150 nm. Substrates were degreased with detergent and solvents and then cleaned by exposure to a UV-ozone ambient. Organic layers were deposited by high-vacuum thermal sublimation at a pressure of 8×10^{-7} Torr. OPVs containing GHJs were constructed using copper phthalocyanine (CuPc) and C₆₀ as the donor and acceptor materials, respectively. For this study, CuPc and C₆₀ were obtained from Acros Organics ($\sim 95\%$ purity) and MER Corporation ($\sim 99\%$), respectively. All materials were used as received without further purification.

In order to construct films with graded composition profiles, donor and acceptor deposition rates were ramped linearly with time and monitored using two quartz crystal monitors. The concentration gradients of interest are shown in Fig. 1. The gradients of Fig. 1(a) and (b) are henceforth, referred to as “zero endpoint” (ZEP) gradients. In Fig. 1(a), the ratio of CuPc to C₆₀ is 1:1 (by wt.), while in Fig. 1(b) the D–A ratio is 1:2. The ZEP (1:1) gradient in Fig. 1(a) was constructed by varying the growth rates (R) of CuPc and C₆₀ according to $R_{\text{CuPc}} = 0.2 \text{ nm/s} \rightarrow 0 \text{ nm/s}$ and $R_{\text{C60}} = 0 \text{ nm/s} \rightarrow 0.2 \text{ nm/s}$, respectively. The gradient of Fig. 1(b) was constructed by varying the growth rates according to $R_{\text{CuPc}} = 0.1 \text{ nm/s} \rightarrow 0 \text{ nm/s}$ and $R_{\text{C60}} = 0 \text{ nm/s} \rightarrow 0.2 \text{ nm/s}$, respectively. The non-zero endpoint (NZEP) gradient of Fig. 1(c) was constructed by varying the growth rates according to $R_{\text{CuPc}} = 0.075 \text{ nm/s} \rightarrow 0.025 \text{ nm/s}$ and $R_{\text{C60}} = 0.05 \text{ nm/s} \rightarrow 0.15 \text{ nm/s}$, respectively. The NZEP gradient has a 1:2 ratio of CuPc to C₆₀. A modified version of the NZEP is the “C₆₀-favorable” gradient, as shown in Fig. 1(d), which maintains a composition of ≥ 50 wt.% C₆₀ throughout the GHJ. The C₆₀-favorable gradient was constructed by varying the growth rates according to $R_{\text{CuPc}} = 0.1 \text{ nm/s} \rightarrow 0.033 \text{ nm/s}$ and $R_{\text{C60}} = 0.1 \text{ nm/s} \rightarrow 0.167 \text{ nm/s}$, respectively. For the aforementioned gradients, the composition endpoints are kept fixed when varying the active layer thickness. This permits a constant injection barrier to be maintained at the

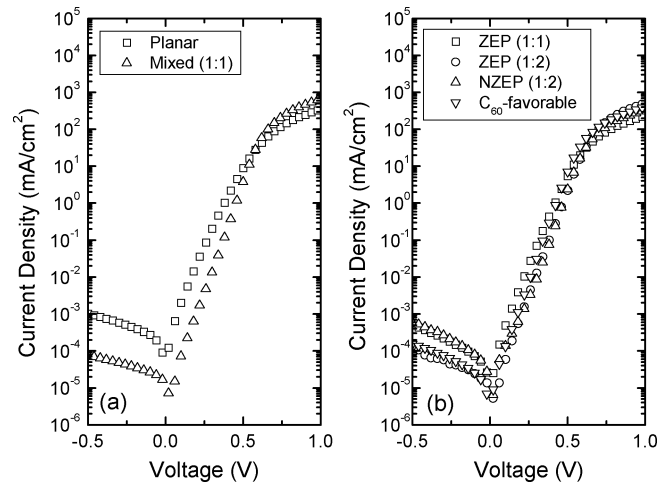


Fig. 2. Dark current–voltage characteristics for a variety of optimized CuPc:C₆₀ OPV architectures. (a) Planar and uniformly mixed (1:1) heterojunction OPVs. (b) GHJ OPVs with active layers consisting of either a ZEP (1:1), ZEP (1:2), NZEP (1:2), or C₆₀-favorable gradient. The active layer thickness for each device is shown in Table I.

electrode–organic interfaces. Given the use of a dry, evaporative deposition process, negligible molecular diffusion is expected to occur in these films [20]. A 10-nm-thick layer of bathocuproine (BCP) was grown on top of the active layers in all of the OPV architectures to serve as an exciton-blocking layer [9], [22], [26]. The organic multilayer is capped with an Al cathode, which is deposited through a shadow mask defining devices 1 mm in diameter. Planar heterojunction OPVs used as control devices consisted of a 20-nm-thick layer of CuPc and a 40-nm-thick layer of C₆₀.

Photovoltaic characterization was carried out in air ambient at 25 °C immediately after growth under simulated AM1.5G solar irradiance (150 W Oriel solar simulator). Current–voltage characteristics were measured as a function of optical illumination using an Agilent Technologies 4155C semiconductor parameter analyzer. A Newport 818P-010–12 high-power detector was used to measure the incident optical power during each testing session. The external quantum efficiency (η_{EQE}) was measured using monochromatic light chopped at a frequency of 200 Hz. Photocurrent measurements were made at each wavelength, using a lock-in amplifier. The incident optical power was measured as a function of wavelength, using a Newport 818-UV photodetector.

III. RESULTS

Fig. 2 compares the dark current–voltage characteristics for planar, uniformly mixed, and GHJ OPVs. Figs. 3 and 4 show operating parameters for devices with ZEP (1:1), ZEP (1:2), and NZEP GHJs as a function of active layer thickness under simulated AM1.5G solar illumination at 100 mW/cm². The short-circuit current density (J_{SC}) increases with thickness for all three gradients [Fig. 3(a)]. The J_{SC} values are lower for the ZEP architectures relative to the NZEP architecture. The open-circuit voltage (V_{OC}) does not depend strongly on GHJ type

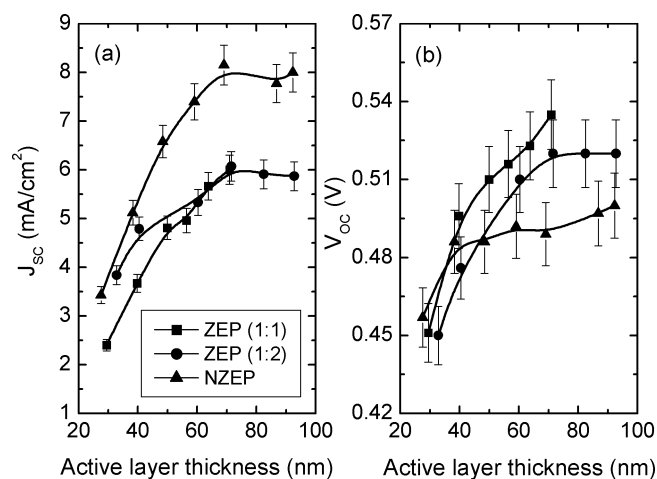


Fig. 3. (a) Short-circuit current density (J_{sc}), and (b) open-circuit voltage (V_{oc}) for devices containing ZEP (1:1) CuPc:C₆₀, ZEP (1:2) CuPc:C₆₀, and NZEP graded composition profiles under illumination at 100 mW/cm² as a function of active layer thickness.

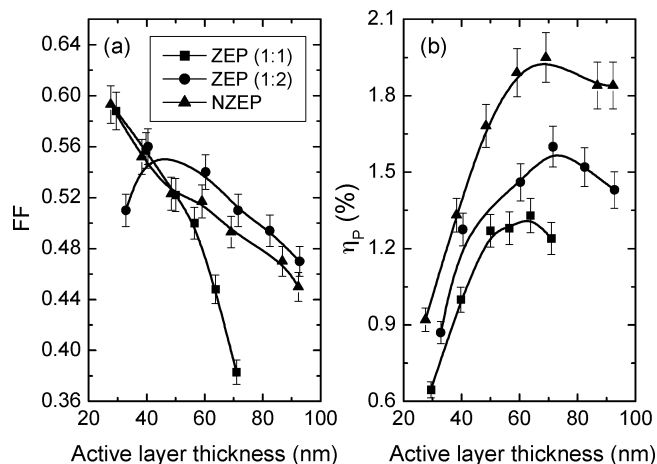


Fig. 4. (a) Fill factor (FF) and (b) power conversion efficiency (η_p) for devices containing ZEP (1:1) CuPc:C₆₀, ZEP (1:2) CuPc:C₆₀, and NZEP graded composition profiles under illumination at 100 mW/cm² as a function of active layer thickness.

[Fig. 3(b)], and in general increases with active layer thickness. The fill factor (FF) for all three GHJs decreases with increasing thickness [Fig. 4(a)]. The FF increases significantly upon changing the CuPc:C₆₀ ratio from 1:1 to 1:2. This high FF is also observed for the NZEP gradient, which is characterized by a 1:2 ratio of CuPc to C₆₀. Together these parameters result in a η_p of $(2.0 \pm 0.1)\%$ for the NZEP graded architecture at an active layer thickness of 69 nm [Fig. 4(b)].

The spectral response of GHJ OPVs was compared to that of conventional planar and uniformly mixed device architectures. The relative absorption efficiency for each OPV was estimated by measuring normal incidence device transmissivity and reflectivity (R) at an angle of 15° from normal. Since transmissivity through the opaque metal cathode is $\sim 10^{-4}$, the relative absorption efficiency can be approximated as $100-R$ (%). It is important to note that while useful for comparisons of the rela-

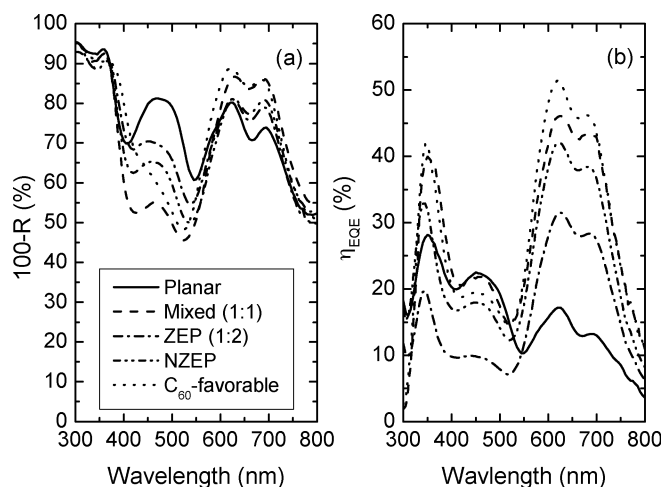


Fig. 5. (a) Relative absorption efficiency (100%-Reflectivity) and (b) external quantum efficiency (η_{EQE}) spectra for a planar heterojunction OPV, a uniformly mixed heterojunction OPV containing 1:1 CuPc:C₆₀ (73 nm), and GHJ OPVs with active layers consisting of either a ZEP (1:2) CuPc:C₆₀ gradient (72 nm), a NZEP gradient (69 nm), or a C₆₀-favorable gradient (71 nm).

tive absorption efficiency, this technique is not suitable for the calculation of the absolute absorption efficiency as it also includes absorption in the anode and cathode layers. Fig. 5 shows the relative absorption and η_{EQE} spectra for planar, mixed (1:1), and a variety of GHJ OPVs. The active layer thicknesses for the mixed (1:1), ZEP (1:2), NZEP, and C₆₀-favorable GHJ OPVs are 73, 72, 69, and 71 nm, respectively. In all cases, the photore-sponse occurring at wavelengths $\lambda \geq 525$ nm originates from absorption in CuPc [Fig. 5(a)]. The device response observed at shorter wavelengths corresponds mainly to absorption in C₆₀. The use of graded and mixed heterojunctions drastically improves the η_{EQE} of CuPc relative to a planar device [Fig. 5(b)]. Both the ZEP (1:2) and NZEP architectures show a much lower C₆₀ response than the uniformly mixed heterojunction. In order to further improve the C₆₀ response, a C₆₀-favorable gradient was constructed [Fig. 1(d)]. This gradient is characterized by a C₆₀ composition of ≥ 50 wt.% throughout the active layer. An optimum active layer thickness of 71 nm was experimentally determined for this gradient. An increase in η_{EQE} is realized for the C₆₀-favorable gradient relative to both the NZEP gradient and a uniformly mixed device.

Figs. 6 and 7 show OPV parameters for devices containing either a C₆₀-favorable gradient or a uniform mixture with 1:1 or 1:2 CuPc:C₆₀ as a function of active layer thickness under simulated AM1.5G solar illumination at 100 mW/cm². At an optimum layer thickness of 71 nm, the highest J_{sc} is realized with the C₆₀-favorable gradient while the J_{sc} values for mixed 1:2 devices were lowest [Fig. 6(a)]. The V_{oc} increases with thickness, as noted previously [Fig. 6(b)]. While the FF for OPVs containing a mixed (1:1) heterojunction decreases steeply with increasing active layer thickness, the FFs for the mixed (1:2) and C₆₀-favorable GHJs show a much weaker dependence on active layer thickness [Fig. 7(a)]. These parameters lead to an η_p of $(2.1 \pm 0.1)\%$ for the C₆₀-favorable gradient at a thickness

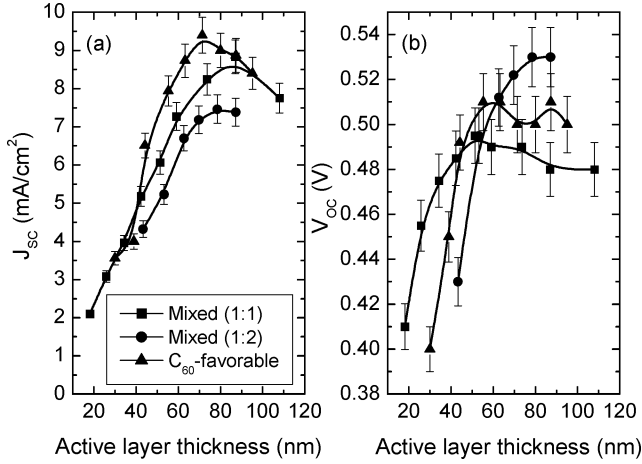


Fig. 6. (a) Short-circuit current density (J_{sc}) and (b) open-circuit voltage (V_{oc}) for devices containing a mixed heterojunction with either 1:1 or 1:2 CuPc:C₆₀, or a C₆₀-favorable GHJ under illumination at 100 mW/cm² as a function of active layer thickness.

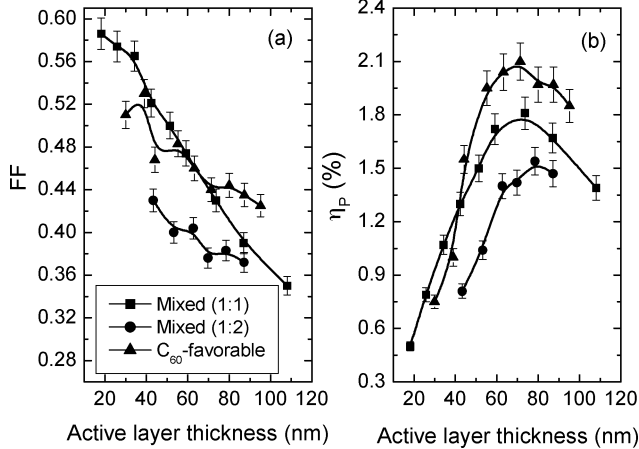


Fig. 7. (a) Fill factor (FF) and (b) power conversion efficiency (η_p) for devices containing a mixed heterojunction with either 1:1 or 1:2 CuPc:C₆₀, or a C₆₀-favorable GHJ under illumination at 100 mW/cm² as a function of active layer thickness.

of 71 nm and $(1.8 \pm 0.1)\%$ for the mixed (1:1) heterojunction at a thickness of 73 nm [Fig. 7(b)].

Figs. 8 and 9 compare the performance of a C₆₀-favorable GHJ OPV with that of optimized planar and mixed (1:1) heterojunction OPVs. The peak responsivity for the C₆₀-favorable gradient is (117 ± 6) mA/W at low-illumination intensity and decreases to (95 ± 5) mA/W at 100 mW/cm² [Fig. 8(a)]. The V_{oc} increases linearly with the logarithm of illumination intensity for all three devices [Fig. 8(b)]. Overall, a peak η_p of $(2.5 \pm 0.1)\%$ is obtained for the C₆₀-favorable architecture at 13.5 mW/cm², falling to $(2.1 \pm 0.1)\%$ at 100 mW/cm², compared to $(1.8 \pm 0.1)\%$ for a uniformly mixed device, and $(1.4 \pm 0.1)\%$ for a planar device [Fig. 9(b)]. The operating parameters obtained for planar and uniformly mixed OPVs are consistent with those published elsewhere for comparable active materials and device architectures [4], [14], [19], [27], [28].

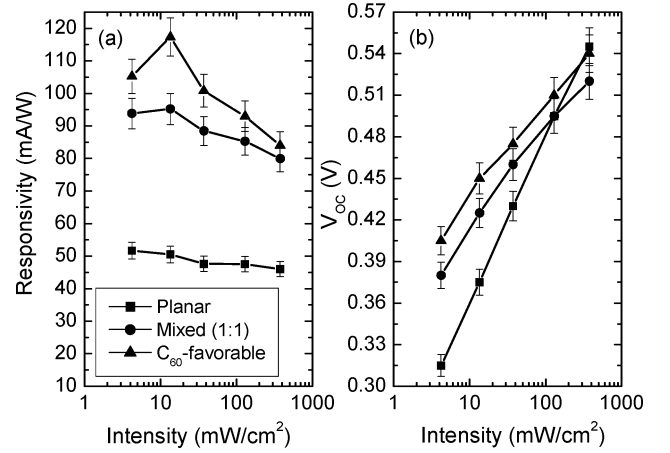


Fig. 8. (a) Responsivity and (b) open-circuit voltage (V_{oc}) for CuPc:C₆₀ OPVs with planar (20 nm CuPc/40 nm C₆₀), mixed (1:1) (73 nm), or C₆₀-favorable graded (71 nm) architectures as a function of illumination intensity.

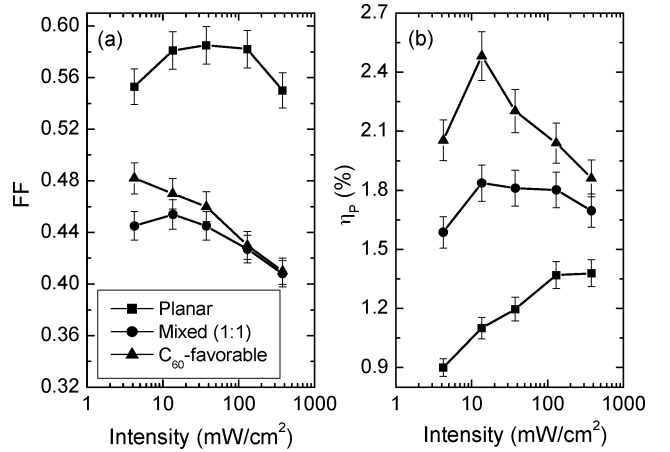


Fig. 9. (a) Fill factor (FF) and (b) power conversion efficiency (η_p) for CuPc:C₆₀ OPVs with planar (20 nm CuPc/40 nm C₆₀), mixed (1:1) (73 nm), or C₆₀-favorable graded (71 nm) architectures as a function of illumination intensity.

IV. DISCUSSION

A. Dark Current Characteristics

The dark current–voltage characteristics for GHJ OPVs were compared to those of conventional planar and mixed architectures (see Fig. 2). For this comparison, the active layer of the mixture was 73 nm thick with a 1:1 ratio of CuPc to C₆₀. The dark current in an OPV is often modeled using the Shockley equation [29]–[34].

$$J = J_s \left\{ \exp \left(\frac{e(V - JR_s)}{nkT} \right) - 1 \right\} \quad (1)$$

where J is the current density, J_s is the reverse-bias saturation current density, e is the electron charge, R_s is the series resistance, n is the ideality factor, k is the Boltzmann constant, and T is the temperature. The dark current–voltage characteristics were fit, using (1), and the fit parameters are shown in Table I.

TABLE I
DARK CURRENT CHARACTERISTICS FOR VARIOUS DEVICE ARCHITECTURES

Structure	Thickness (nm)	n	J_s (A/cm ²)	R_s (Ω cm ²)
Planar (20 nm CuPc/40 nm C ₆₀)	60	1.95	5.0×10^{-7}	1.02
Mixed (1:1 CuPc:C ₆₀)	73	1.40	4.0×10^{-9}	0.48
Zero Endpoint Graded (1:1)	29	1.87	1.9×10^{-7}	0.44
	50	1.70	3.0×10^{-8}	0.76
	71	1.67	6.0×10^{-9}	1.52
Zero Endpoint Graded (1:2)	60	1.47	4.8×10^{-9}	0.57
Non-zero Endpoint Graded (1:2)	69	1.51	6.8×10^{-9}	0.93
C ₆₀ -Favorable Graded (1:2)	71	1.41	8.8×10^{-9}	0.88

The ideality factor is reduced from (1.95 ± 0.02) for a planar heterojunction to (1.40 ± 0.02) for a mixed heterojunction OPV. For a ZEP (1:1) GHJ OPV, the ideality factor decreases with increasing thickness, with a value of (1.67 ± 0.01) realized at an optimum active layer thickness of 71 nm. These values indicate that the dark current in the planar architecture is dominated by electron-hole recombination. In the more disordered graded and mixed heterojunction OPVs, the reduced ideality factor suggests an additional contribution to the dark current from charge carrier diffusion [35]. Lower J_s values are obtained for mixed and GHJ OPVs compared to a planar heterojunction OPV due to a reduction in the charge carrier mobilities as a result of molecular intermixing [17], [23], [36]. The J_s value is also observed to decrease from $(1.9 \pm 0.4) \times 10^{-7}$ A/cm² to $(6.0 \pm 1.3) \times 10^{-9}$ A/cm² as the thickness increases from 29 to 71 nm, suggesting that the charge collection efficiency could be reduced for thick active layers. Similar trends in J_s are also observed for the other graded and mixed heterojunction OPVs studied in this study. Comparable R_s values are obtained for the various device architectures, suggesting that for these thicknesses, R_s may be determined by the anode-organic interface [37].

B. Impact of Gradient on Performance

Figs. 3 and 4 show a strong correlation between GHJ OPV performance and active layer thickness. For the ZEP (1:1), ZEP (1:2), and NZEP GHJs, the J_{SC} increases with thickness as a result of increasing optical absorption [Fig. 3(a)]. The low J_{SC} values observed for the ZEP architectures result from a low-exciton diffusion efficiency at the edges of the GHJ. The exciton diffusion efficiency is improved by adjusting the ZEP gradient to the NZEP architecture, increasing the D-A interface area near the anode/GHJ and GHJ/BCP interfaces. The improvement in exciton diffusion efficiency for the NZEP gradient results in an increase in J_{SC} relative to the ZEP GHJs. The V_{OC} increases with thickness as a result of increased photocurrent and reduced dark current with increasing active layer thickness [see Fig. 3(b)] [38]. The FF for all three GHJs decreases with increasing thickness [see Fig. 4(a)], reflecting a reduction in the charge collection efficiency, which is further supported by the observed reduction in J_s . The increase in the GHJ FF upon changing the CuPc:C₆₀ ratio from 1:1 to 1:2 indicates that the charge collection is initially limited by electron transport [39]. This observation is further supported by the increase in FF ob-

served for the NZEP gradient, which contains 1:2 CuPc:C₆₀. The large J_{SC} and FF obtained for the NZEP GHJ lead to the highest η_P being observed for this gradient [see Fig. 4(b)].

Fig. 5 compares the spectral response of planar, mixed (1:1), and a variety of GHJ OPVs. The use of graded and mixed heterojunctions leads to a significant enhancement in the response from CuPc relative to a planar device due to the short L_D in this material [6]. The lower η_{EQE} observed for C₆₀ in the ZEP (1:2) and NZEP GHJs compared to a mixed heterojunction device suggests that the product of the exciton diffusion and charge collection efficiencies for C₆₀ is smaller in these unoptimized gradients than in the mixture. As mentioned previously, charge collection in the GHJ is limited by electron transport, and further improvements in device performance were realized by addressing this specific deficiency. Previous work examining the carrier mobility in uniform mixtures of CuPc and C₆₀ has shown that the electron mobility in C₆₀ decreases if it comprises less than 50 wt.% of the mixture [40]. As such, the NZEP gradient was modified to ensure that the C₆₀ component of the GHJ remains ≥ 50 wt.% throughout the film. An increase in η_{EQE} is realized using the C₆₀-favorable gradient, which relative to the NZEP gradient has optimized exciton diffusion and charge collection efficiencies for both active materials. Compared to a uniformly mixed OPV, the C₆₀-favorable gradient shows a $\sim 25\%$ increase in the CuPc response at $\lambda \sim 620$ nm. Since these structures have comparable absorption efficiencies for CuPc [see Fig. 5(a)], this improvement implies that the C₆₀-favorable architecture has a larger internal quantum efficiency than the uniformly mixed OPV. Given the high-diffusion efficiency characteristic of a mixture, this result also indicates that the charge collection efficiency is larger in the C₆₀-favorable gradient than in a uniform mixture.

Figs. 6 and 7 compare the performance of a C₆₀-favorable gradient with mixed (1:1) and mixed (1:2) OPVs as a function of active layer thickness. The C₆₀-favorable gradient shows the largest J_{SC} implying that this gradient has optimized exciton diffusion and charge collection efficiencies relative to both uniformly mixed architectures [see Fig. 6(a)]. The large FF observed for the C₆₀-favorable gradient relative to the mixed (1:2) heterojunction confirms that the FF is impacted favorably by the graded composition of the active layer [see Fig. 7(a)]. In addition, the large FF observed at high thickness for the C₆₀-favorable gradient relative to the mixed (1:1) heterojunction suggests that the charge transport is significantly improved in GHJ OPVs with thick active layers. Consequently, the highest

η_P is observed for the C_{60} -favorable gradient, with the improvement at small thicknesses originating from an increase in J_{SC} , and the improvement at large thicknesses coming from an increased FF.

Figs. 8 and 9 compare the performance of a C_{60} -favorable GHJ OPV to that of optimized planar and mixed heterojunctions as a function of illumination intensity. The responsivity for all three device architectures decreases with illumination intensity due to a reduction in the charge collection efficiency [see Fig. 8(a)]. The slope of V_{OC} versus illumination intensity varies depending on the device architecture, reflecting a difference in the ideality factor [see Fig. 8(b)] [36]. The disordered graded and mixed heterojunctions have lower FFs relative to the planar heterojunction due to a reduction in the charge collection efficiency [see Fig. 9(a)]. However, the reduction in FF is more than offset by an increase in responsivity for the C_{60} -favorable gradient, resulting in the highest η_P being observed for this architecture [see Fig. 9(b)].

V. CONCLUSION

In summary, we have demonstrated that continuously graded D–A heterojunctions offer an attractive approach to engineering morphology in small molecule OPVs. All of the GHJ OPVs studied in this study show a higher η_P at optimum active layer thickness than a planar heterojunction OPV. The NZEP and C_{60} -favorable GHJs also have higher η_P than the optimized mixed heterojunctions investigated. For thick active layers, the FF for these GHJs is $\sim 20\%$ larger than that of a uniformly mixed (1:1) heterojunction, suggesting improved charge transport in the GHJs. This study shows conclusively that the large D–A interface area in a GHJ increases the exciton diffusion efficiency relative to a planar heterojunction, while also improving charge transport relative to a uniformly mixed OPV. Ultimately, the true potential of this approach to OPV design is the ability to tune the exciton diffusion and charge collection efficiencies based on the choice of D–A concentration gradient in order to maximize overall efficiency.

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Richa Pandey received the B.Tech. degree in chemical engineering from the Indian Institute of Technology, Kanpur, India, in 2007. She is currently working toward the Ph.D. degree in chemical engineering at the University of Minnesota, Minneapolis.

During Summer 2006, she completed an internship with Reliance Industries Ltd., India, where she was engaged in research on modeling reactors. Her current research interests include the design, fabrication, and characterization of organic photovoltaic cells.

Russell J. Holmes (M'05) received the B.Sc. (Honors) degree in physics from the University of Manitoba, Winnipeg, MB, Canada, in 2000, and the M.A. and Ph.D. degrees in electrical engineering from Princeton University, Princeton, NJ, in 2002 and 2006, respectively.

Since 2006, he has been with the faculty of the Department of Chemical Engineering and Materials Science at the University of Minnesota, Minneapolis. His current research interests include fundamental optoelectronic properties of organic and hybrid organic–inorganic materials and their application in light-emitting devices, photovoltaic cells, and lasers.