

1 Determine the saturation of the current flow

1.1 Algorithm

In short, the algorithm firstly generates the residual graph G_f of the current flow f on the network G . Then it checks if there's a $s - t$ path in G_f . If there's no such path, f is the max flow. Otherwise, f is not the max flow. Here are details of the algorithm.

1. Compute the residual network

The idea is the same as constructing a residual network from the lecture slides.

1.1. copy the node set of G to G_f

1.2. for each edge $e := (u, v)$ of G

- if $f(e) < c(e)$, copy (u, v) to G_f with a residual capacity of $c(e) - f(e)$
- if $f(e) > 0$, add a new edge (v, u) to G_f with a residual capacity of $f(e)$

2. Attempt to find a simple $s - t$ path in G_f (augmenting path)

Concretely, the algorithm uses a breadth first search for G_f starting from s . The BFS is terminated if t is visited. As BFS is a common algorithm, the details are omitted here. The BFS is terminated after all the nodes reachable from s have been visited.

- If t is in the list of visited nodes, there's a simple $s - t$ path in G_f , in other words, f is not the max flow.
- Otherwise, there's no such path, therefore, f is the max flow.

1.2 Correctness Analysis

• Generate the residual network

Copying nodes is trivially feasible as the network G is given. Creating forward/backward edges in G_f is also feasible as *"the network you're given is connected, that the capacities are all positive integers, and that the flow you're given is feasible and integral."*

• The equivalence of no $s - t$ path in G_f and optimality(max flow)

The correctness of using a BFS to find a simple path is omitted, again, as BFS is a well known algorithm. In addition, you can refer to week 6 slides about proving *"No Augmenting Path in $G_f \Rightarrow f$ is Max Flow"*, in other words, *"If f is an $s - t$ flow such that there is no $s - t$ path in the residual graph G_f , then f has the maximum value of any flow in G ".*

1.3 Time Complexity Analysis

1. Construction of the residual network costs $O(m) + O(n) \in O(m + n)$ because

- 1.1. copying n nodes: $O(n)$
- 1.2. In the worst cases, each edge in G turns into two edges in G_f . Therefore, $2m \in O(m)$ in total for the worst scenario
- 2. retrieve the augmenting path: $O(m + n) + O(n) \in O(m + n)$
 - 2.1. BFS whole G_f : $O(m + n)$ because "the flow's residual graph in adjacency list form".
 - 2.2. Membership of t in the visited list: $O(n)$ if the visited list is implemented as a linked list.

In total, $2O(m + n) \in \boxed{O(m+n)}$

2 Improve distributing network

2.1 Existence

2.1.1 expandable edge

No.

Statement: There exists a network that has no expandable pipes.

$$\exists G(V, E) : \forall e \in E : e \text{ is not expandable}$$

Proof: by giving a concrete instance:

$G(V, E)$ where $V = \{s, m, t\}$, $E = \{(s, m), (m, t)\}$, $c((s, m)) = 1$, $c((m, t)) = 1$.
where c is the capacity mapping $E \rightarrow \mathbb{Z}^+$.

It is trivial to show that all e in E of G are not expandable as

$$\max \text{ flow} = \max(v(f)) = 1$$

No matter if either $c((s, m)) = 2$ or $c((m, t)) = 2$, $\max(v(f))$ is still 1.

2.1.2 limiting edge

Yes.

Statement: Every network has at least one limiting pipe.

$$\forall G : \exists e \in E : e \text{ is limiting}$$

Proof: By the integrality theorem from the lecture and that "the capacities are all positive integers", we know that every such network has a flow max.

$$\forall G : \exists \max(v(f)) := v(f_{\max})$$

Further more, by the Ford-Fulkerson theorem, we know that

$$v(f_{\max}) = \text{cap}(A, B)$$

where (A, B) is the min-cut partition of V with $s \in A$ and $t \in B$. Explicitly,

$$\text{cap}(A, B) = \sum_{e=(u,v) \in E, u \in A, v \in B} c(e)$$

The theorem also implies that $v(f_{\max}) > 0$ since suppose that $v(f_{\max}) = 0$ then $\text{cap}(A, B) = \sum_{e=(u,v) \in E, u \in A, v \in B} c(e) = 0$. It either leads to

$$\forall e \in \{(u, v) \in E \mid u \in A, v \in B\} \neq \emptyset : c(e) = 0$$

which contradicts to the assumption "the capacities are all positive integers" or even

$$\{(u, v) \in E \mid u \in A, v \in B\} = \emptyset$$

which in consequence makes $t \in A$ violating the assumption that $t \in B$.

Now, we have proved that there exists a min-cut (A, B) where there is at least one pipe going from A to B . We name this pipe as e_i .

Suppose we decrease $c(e_i)$ by 1 to be $c'(e_i)$. As a consequence, $\text{cap}'(A, B)$ is decreased by 1. Again by the min-cut max-flow theorem, we have $v(f'_{\max}) = v(f_{\max}) - 1$. Thus, e_i is a *limiting* pipe. We have proved the existence of a limiting pipe (an instance e_i) in any network of those given by the assignment.

2.2 all expandable pipes

2.2.1 Algorithm

The main idea is to find all cut edges of a min-cut partition firstly and then check whether the partition is the only min-cut partition of the network. If the min-cut (A, B) where $s \in A, t \in B$ is unique, all *expandable* edges are exactly those outgoing edges from A . Otherwise, there's no *expandable* edges at all. Here is the detailed implementation.

1. Compute all cut edges of a min-cut partition
 - 1.1. Use a BFS in the residual graph G_f starting from s . Put all visited nodes in a set A .
 - 1.2. Put the unvisited nodes in set B .
 - 1.3. Iterate through all edges $e := (u, v) \in E$ of $G(V, E)$. If u is in A and v is in B , put e in a set C .
2. Check if the computed partition is unique
 - 2.1. Choose the first edge e_{cut} from C .
 - 2.2. Modify the residual graph G_f by adding a forward edge with a residual capacity of 1. ($c_f(e_{\text{cut}}) = 1$)
 - 2.3. Use BFS to find a $s - t$ path in the modified residual graph G'_f
3. List *expandable* edges
 - If there's a $s - t$ path found in G'_f , return the set C
 - Otherwise, return an empty set.

2.2.2 Correctness Analysis

1. Compute all cut edges of a min-cut partition

By the max-flow min-cut theorem, it is guaranteed that there's no $s - t$ path in G_f if f is a max flow. Therefore, there must exist a min cut partition (A, B) where $s \in A, t \in B$. Further more, all nodes in A are reachable by s while those in B are not. If a node in A is not reachable, it is impossible that the node has been visited during the BFS. The logic holds for nodes in B similarly. The set C is exactly the set of all cut edges(outgoing edges from A) for the partition (A, B) because of the definition of a directed graph. In summary, we have proved that there's such a partition and we've found all cut edges of it.

2. **Claim 1:** The set returned is a set of all *expandable* edges.

To prove the claim, let us prove the following claims firstly.

- **Claim 2:** If there are more than 1 min-cut partitions, there's no *expandable* edges.

Proof: In other words, we are given that there exist at least two different min-cut partitions (A, B) and (A', B') . Again by the min-cut max-flow theorem, $CAP(A, B) = CAP(A', B') = v(f_{max})$. Suppose there is an *expandable* edge e , by the definition, if $c(e)$ is increased by 1, max flow value is increased by 1 as a result. That is, $v(f'_{max}) = v(f_{max}) + 1$. As another consequence, both $CAP(A, B)$ and $CAP(A', B')$ are increased by 1 otherwise there's an inequivalence of min-cut and max-flow. Therefore, the capacity of an outgoing edge in (A, B) has to increase by 1, so does that in (A', B') . This contradicts the assumption that we have increased the capacity of only one edge in the network.

- **Claim 3:** If there is exactly 1 min-cut partition, all cut edges of this partition are *expandable* edges while the other edges in the network are not.

Proof: Given that (A, B) is the unique min-cut partition,

$$\forall (A', B') \neq (A, B) : CAP(A', B') > CAP(A, B) = \text{max flow value}$$

- the cut edges of the unique partition

Let's say $e_{\text{to increase}}$ is such a cut edge.

$$\begin{aligned} c'(e_{\text{to increase}}) &= c(e_{\text{to increase}}) + 1 \\ \Rightarrow CAP'(A, B) &= \sum_{e \text{ is an outgoing edge of } (A, B)} c'(e) \\ &= c(e_{\text{to increase}}) + 1 + \sum_{\substack{e \text{ is an outgoing edge of } (A, B) \\ \text{other than } e_{\text{to increase}}}} c(e) \quad (1) \\ &= CAP(A, B) + 1 \leq CAP'(A', B') = CAP(A', B') \end{aligned}$$

Thus, the new max flow value is

$$\min(CAP'(A, B), \{CAP'(A', B')\}) = CAP'(A, B) = CAP(A, B) + 1$$

We have proved that any such a cut edge is *expandable*.

- the other edges

The other edges are not *expandable* trivially as $\text{CAP}(A, B)$ remains the same so the max flow value is the same.

Now, we have covered all situations of the number of the min-cut partitions (0 is impossible as we have showed the existence in the previous section). The final piece to complete the overall proof is that

- **Claim 4:** The existence of the $s - t$ path in algorithm step 2.3 is equivalent to the uniqueness of the min-cut partition.

Proof: Step 2.1 is viable since there must be at least one outgoing edge for the min cut partition (A, B) , where $v(f_{\max}) = \text{CAP}(A, B)$. Otherwise, G is not connected. Before step 2.2, there is no forward edges in G_f from A to B otherwise (A, B) is not a min-cut partition. Let's notate the chosen edge e_{cut} in step 2.1 as (u, v) where $u \in A$ and $v \in B$. After step 2.2, we know that there's a $s - v$ path in G'_f since there's a forward edge. Therefore, (A, B) is no longer a min-cut partition. In step 2.3, if there is not found a $s - t$ path in G'_f , we know that there is at least one min-cut partition (A', B') of which $\text{CAP}(A', B') = \text{CAP}(A, B)$ and this partition is not (A, B) . By finding another min-cut partition instance, we have shown that (A, B) is not unique. If there's an augmenting path in G'_f ,

$$\min(\{\text{CAP}(A', B')\}, \text{CAP}'(A, B)) = v(f'_{\max}) > v(f_{\max}) = \text{CAP}(A, B)$$

Therefore, $\forall (A', B') \neq (A, B) : \text{CAP}(A', B') > \text{CAP}(A, B)$. In other words, (A, B) is the only min-cut partition.

Combining **Claim 2-4**, we have shown that **Claim 1** is valid.

2.2.3 Time Complexity Analysis

$$|V| = n, |E| = m$$

1. Finding all cutedges of a min-cut partition uses

$$O(n) + O(n + m) + O(m) \in O(n + m)$$

since

- 1.1. set A and B can be implemented as an array M of boolean values indicating being visited or not. The array is of size n and each index is mapped to a node v in G . This costs $O(n)$
- 1.2. BFS costs $O(n + m)$.
- 1.3. m iterations of checking membership by referring to the value of the target index in array M (a memory reference costs $O(1)$). $O(m)$ for the iterations.
2. 2.1 and 2.2 use constant time. BFS costs $O(n + m)$. Thus checking the uniqueness costs $O(n + m)$ in total.
3. returning *expandable* edges uses a constant time.

The algorithm uses $O(n + m) + O(n + m) + O(1) \in O(n + m)$. Moreover, since the graphs are connected, $m > n - 1$. Therefore, $O(n) \in O(m)$. We can simplify the bound $O(n + m)$ as $\boxed{O(m)}$

2.3 max flow value k feasibility

2.3.1 Algorithm

The algorithm finds the $s - t$ path P with the fewest number of edges in G . Calculate the total capacity of the network. Set the capacities of all edges to be 1. Attempt to redistribute the remaining capacities evenly to edges on P (There could be some spare capacity $c_{\text{remainder}}$). Calculate the max flow value of the redistributed network and compare it with k . Here are the details.

1. Find the $s - t$ path P with the fewest number of edges using a BFS in G .
2. Redistribute capacities
 - 2.1. sum all edge capacities in G and store it to C .
 - 2.2. copy the topology of the network and set all edge capacities to be 1.
 - 2.3. add capacities of edges along P by $\text{floor}(\frac{C-m}{|P|})$, where $\text{floor}()$ is a floor function and $|P|$ is the number of edges along P .
3. Calculate the max flow value of the redistributed network $v(f_{\text{redistributed}})$. It is simply the sum of the number of the outgoing edges of the min-cut (A, B) and the number added in step 2.3.

$$v(f_{\text{redistributed}}) = \sum_{e=(u,v) \in E, u \in A, v \in B} 1 + \text{floor}\left(\frac{C-m}{|P|}\right)$$

4. Compare it with k
If $v(f_{\text{redistributed}}) \geq k$, return true. Otherwise, return false.

2.3.2 Correctness Analysis

- BFS always returns a path with fewest number of edges because BFS visits all nearest nodes before visiting nodes adjacent to the nearest nodes.

- For step 2-4, we use an induction to illustrate.

We denote the sum of capacities of the edges in a network G as c_{total} .

Base Case: Suppose that we are given a network G with edge capacities of 1 and there exists at least one $s - t$ path in G . In addition, we are given a max flow f_0 and its residual graph G_f . It is trivial that there's at least a $s - t$ path along which each edge has a flow of value 1. To increase G 's max flow value by 1, all edge flow values have to be increased by 1 on one $s - t$ path P so that conservation of flow is not violated. To fulfill the capacity restriction, the capacities of the edges along P have to be 2. It is straightforward that a longer P leads to a larger c_{total} . Therefore, we choose the P of the fewest number of edges. Now the edges along P have capacities and flows of 2. The rest edges remain the same. The new capacity sum $c'_{\text{total}} = \sum_{e \text{ along } P} (1) + c_{\text{total}}$ is optimal.

Step Case: Now we are given a network G with a max flow f and a path P . $\forall e \text{ along } P : c(e) = f(e) = i > 1 \in \mathbb{Z}^+$ and $\forall e \text{ not along } P : c(e) = 1, f(e) = 0 \text{ or } 1$. In addition, any $s - t$ path p along which flows have the same value has

more or equal edges than P . Again we can choose a p of the fewest possible number of edges. In this case, P satisfies such a requirement. The capacity distribution remains the same except that $\forall e \text{ along } P : c'(e) = f'(e) = i + 1$. The new total capacity $c'_{\text{total}} = \sum_{e \text{ along } P} p(1) + c_{\text{total}}$ is optimal while $v(f') = v(f) + 1$.

It is obvious that the redistributed network in the base case is one of the G s given in this case; Specially, $\forall e \text{ along } P : c(e) = f(e) = 2$. Therefore, the network, of which the capacities of edges along P are modified by such a way to increase the max flow value by 1, has an optimally small c_{total} .

Besides, we have induced such a relation

$$\Delta(c_{\text{total}}) = \Delta(v(f)) \times |P|$$

Suppose that $\sum_{e \in E} c(e) := C$ we then have

$$C - m - c_{\text{remainder}} \geq |P| \times (v(f_{\text{redistributed}}) - v(f_0))$$

Because C is a constant(max total capacity to redistribute), the topology of the network remains the same(m , $c_{\text{remainder}}$ and $|P|$ are constant) and capacities are positive(f_0 is the base case.), $v(f_{\text{redistributed}})$ is the largest value we can have. Now we only need to check the correctness of calculating $v(f_{\text{redistributed}})$.

- The topology is the same so that we can choose the same partition (A, B) from the network of the original distribution(before redistribution). During each iteration of increasing 1 max flow value, the capacity mapping remains the same except that the capacity values of the edges along the chosen path with fewest number of edges P are increased by 1. In other words, $CAP(A, B)$ is only affected by the edge e which is along P and is one of the outgoing edges of (A, B) . $e = \{e = (u, v) | u \in A, v \in B\} \cap \{e | e \text{ along } P\}$. So

$$v(f_{\text{redistributed}}) = CAP(A, B)_{\text{redistributed}} = CAP(A, B)_{\text{all capacities of } 1} + \text{floor}\left(\frac{C - m}{|P|}\right)$$

where

$$CAP(A, B)_{\text{all capacities of } 1} = \sum_{e=(u,v) \in E, u \in A, v \in B} 1$$

2.3.3 Time Complexity Analysis

1. BFS uses $O(m + n)$
2. $O(m) + O(m + n) + O(m) + O(m)O(1) \in O(m + n)$
 - 2.1. $O(m)$ to get capacities and sum them.
 - 2.2. $O(m + n)$ to duplicate the network topology. $O(m)$ to initialize edge capacities.
 - 2.3. $O(m)$ if P contains all edges. The calculation is of $O(1)$.
3. compute the outgoing edges of the original min-cut costs $O(n + m)$, which has been shown in section 2.2.3. Arithmetic calculation is of $O(1)$

4. value comparison uses $O(1)$

In total, the algorithm uses $O(n + m)$. Similar to the previous problem, we can simplify the bound to $O(m)$.