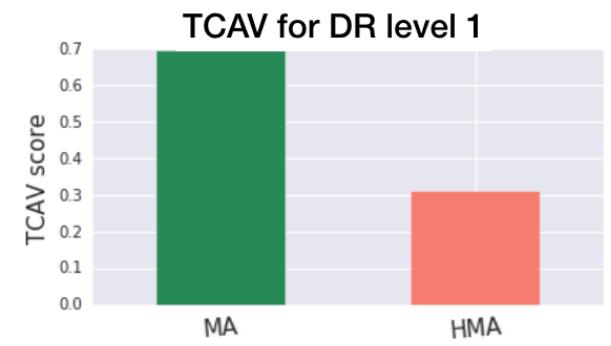




Interpretability beyond feature attribution: Testing with Concept Activation Vectors TCAV

Been Kim

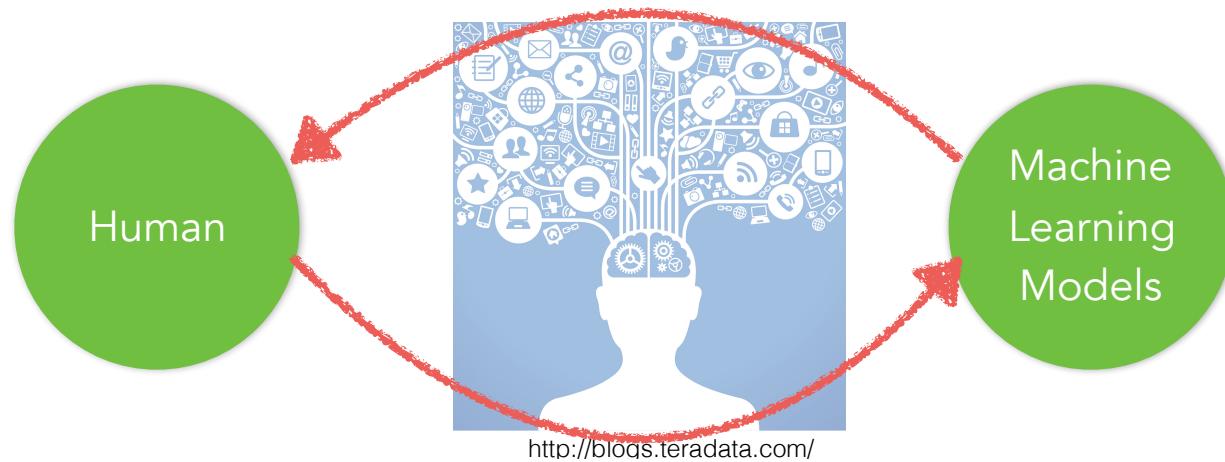
Work with Martin Wattenberg, Justin Gilmer, Carrie Cai, James Wexler,
Fernanda Viegas, Rory Sayres @ Brain



Research agenda:
interpretability

To use machine learning **responsibly**
we need to ensure that

1. our **values** are aligned
2. our **knowledge** is reflected



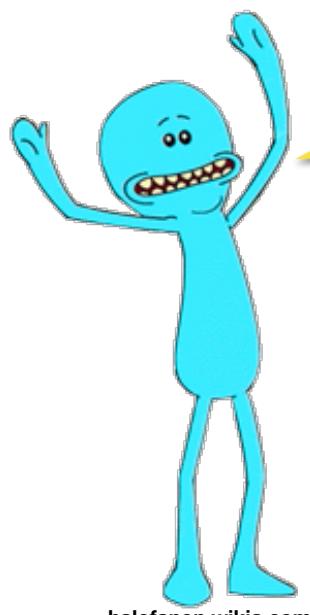
Problem:

Post-training explanation



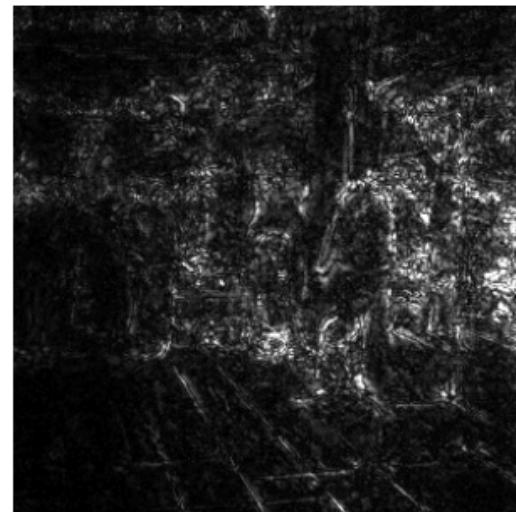
A trained
machine learning model
(e.g., neural network) → $p(z)$
cash-machine-ness

Why was this a
cash machine?



halofanon.wikia.com

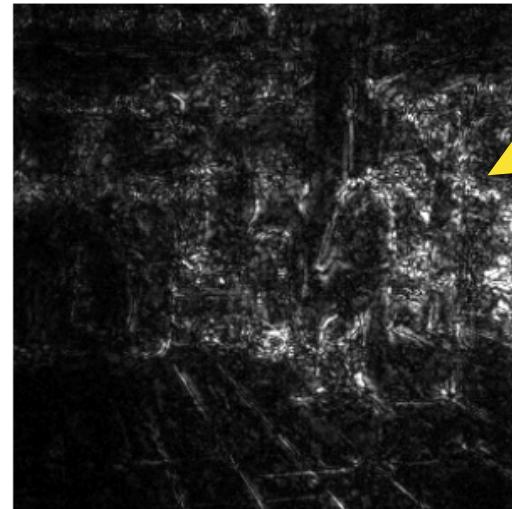
Caaaan do! we've got saliency maps to measure importance of each pixel!



a logit $\rightarrow \frac{\partial p(z)}{\partial x_{i,j}}$
pixel i,j $\rightarrow \frac{\partial p(z)}{\partial x_{i,j}}$

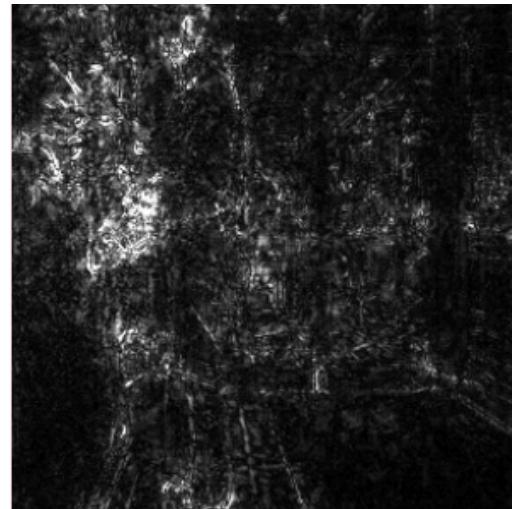
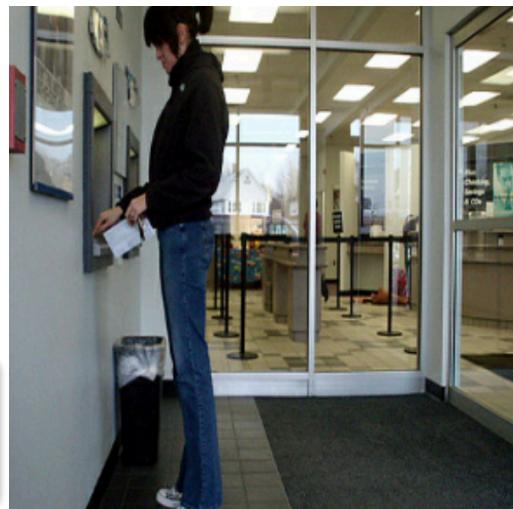
One of the most popular interpretability methods for images:

Saliency maps

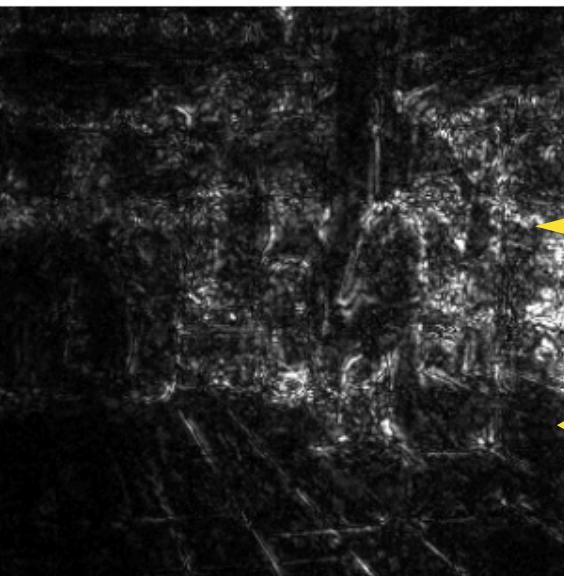


$$\begin{aligned} \text{a logit} &\rightarrow \frac{\partial p(z)}{\partial z} \\ \text{pixel } i,j &\rightarrow \frac{\partial p(z)}{\partial x_{i,j}} \end{aligned}$$

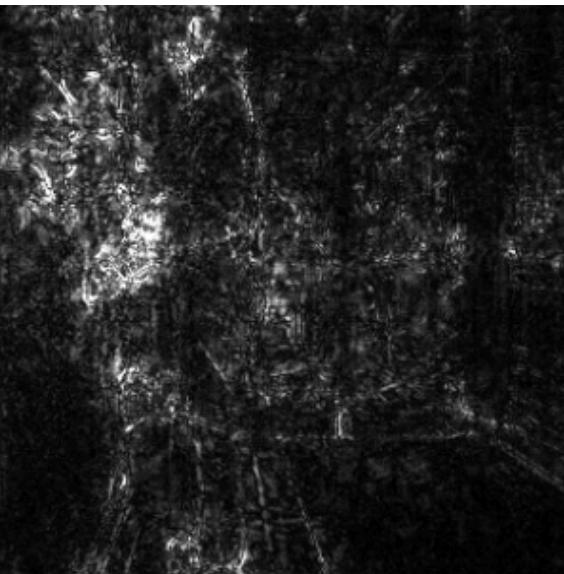
Why correct?
Why incorrect?



What we really want to ask...

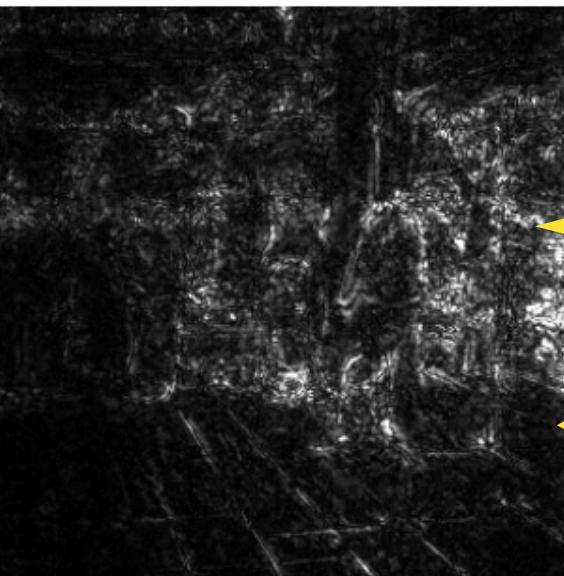


Were there more pixels on the cash machine than on the person?

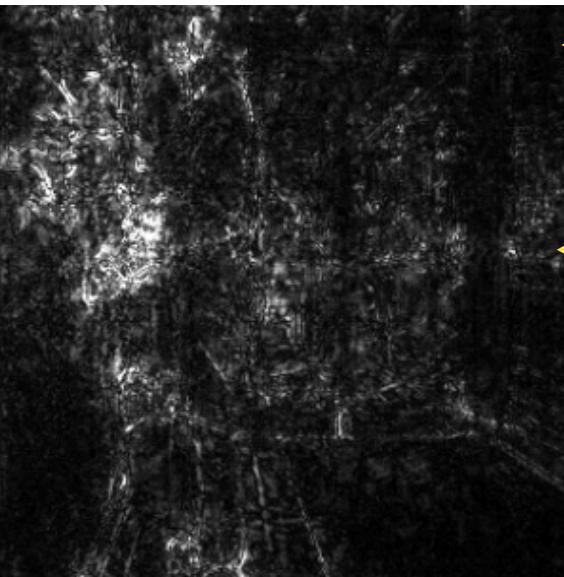


Did the 'human' concept matter?
Did the 'glasses' or 'paper' matter?

What we really want to ask...



Were there more pixels on the cash machine than on the person?

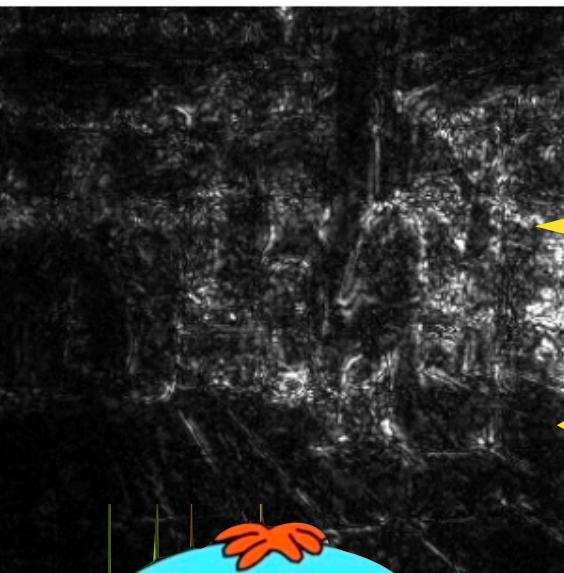


Did the 'human' concept matter?
Did the 'glasses' or 'paper' matter?

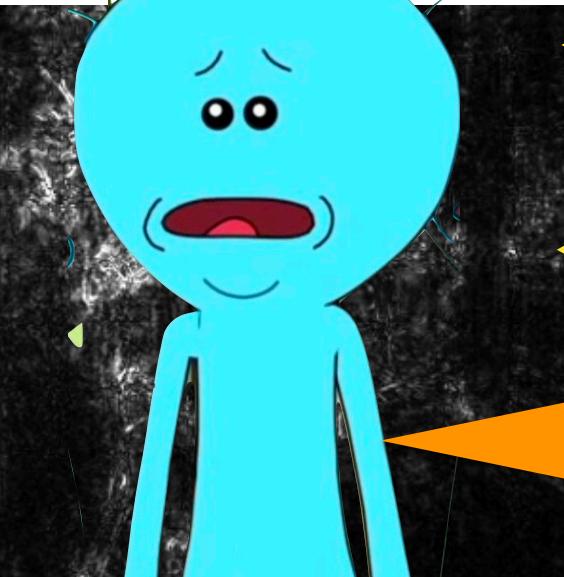
Which concept mattered more?

Is this true for all other cash machine predictions?

What we really want to ask...



Were there more pixels on the cash machine than on the person?



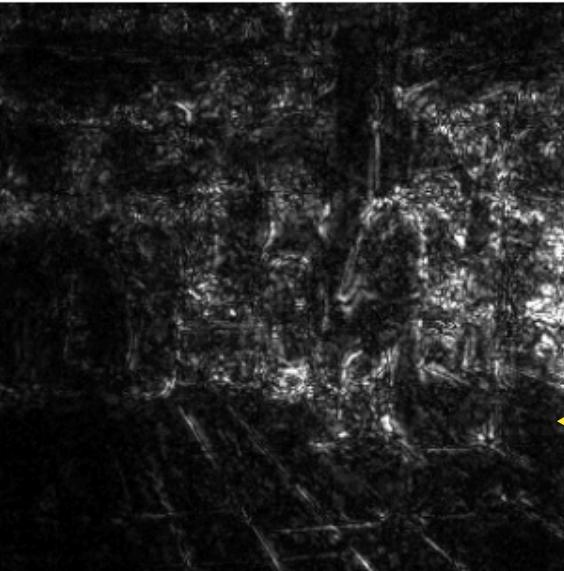
Did the 'human' concept matter?
Did the 'glasses' or 'paper' matter?

Which concept mattered more?

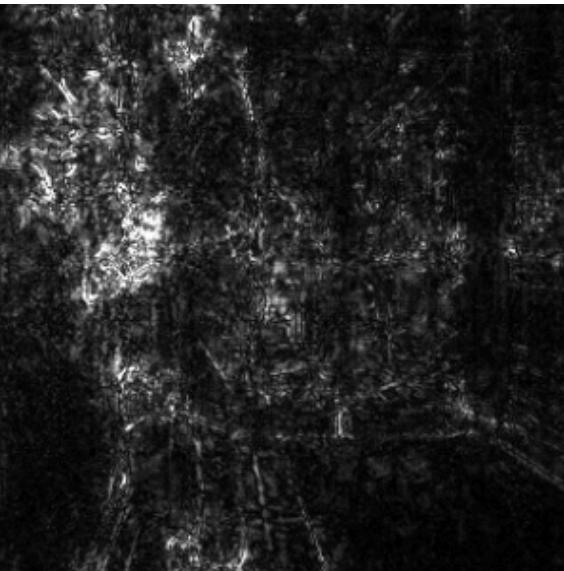
Is this true for all other cash machine predictions?

Oh no! I can't express these concepts as pixels!!
They weren't my input features either!

What we really want to ask...



Were there more pixels on the cash machine than on the person?



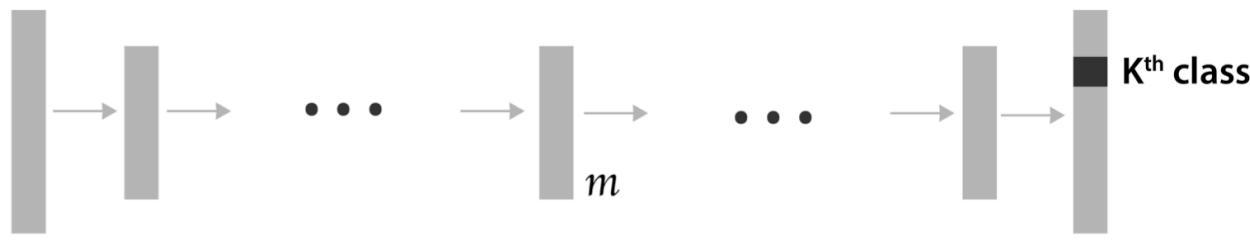
Did the 'human' concept matter?
Did the 'glasses' or 'paper' matter?

Which concept mattered more?

Is this true for all other cash machine predictions?

Wouldn't it be great if we can **quantitatively** measure how important any of these **user-chosen concepts** are?

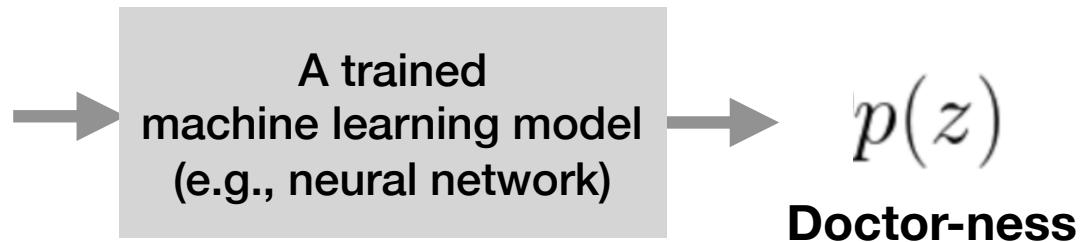
Goal of TCAV: Testing with Concept Activation Vectors



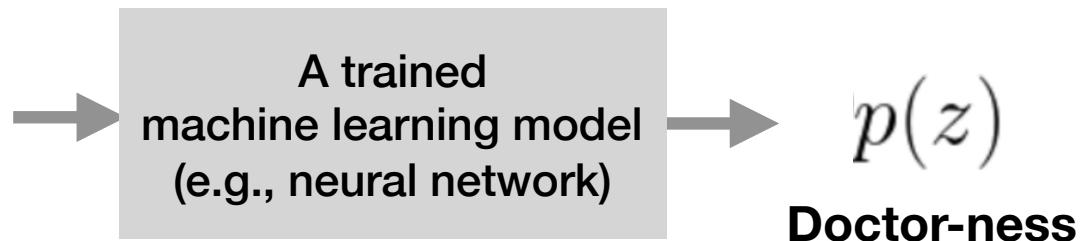
Quantitative explanation: how much a **concept** (e.g., gender, race) was important for a **prediction** in a trained model.

...even if the **concept** was not part of the training.

Goal of TCAV: Testing with Concept Activation Vectors



Goal of TCAV: Testing with Concept Activation Vectors



Was gender concept important
to this doctor image classifier?

Goal of TCAV:

Testing with Concept Activation Vectors



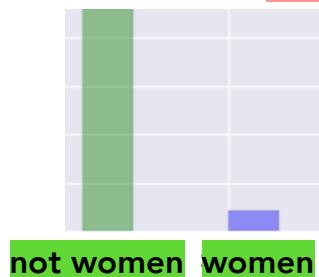
A trained
machine learning model
(e.g., neural network)

$$p(z)$$

Doctor-ness



TCAV score for **Doctor**



Was gender concept important
to this doctor image classifier?

Goal of TCAV: Testing with Concept Activation Vectors

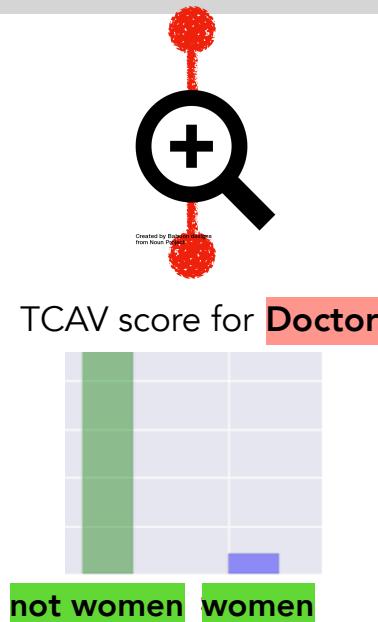


A trained
machine learning model
(e.g., neural network)

$$p(z)$$

Doctor-ness

Was gender concept important
to this doctor image classifier?



TCAV provides
quantitative importance of
a concept **if and only if** your
network learned about it.

Goal of TCAV:

Testing with Concept Activation Vectors



A trained
machine learning model
(e.g., neural network)



$$p(z)$$

zebra-ness



TCAV score for **Zebra**

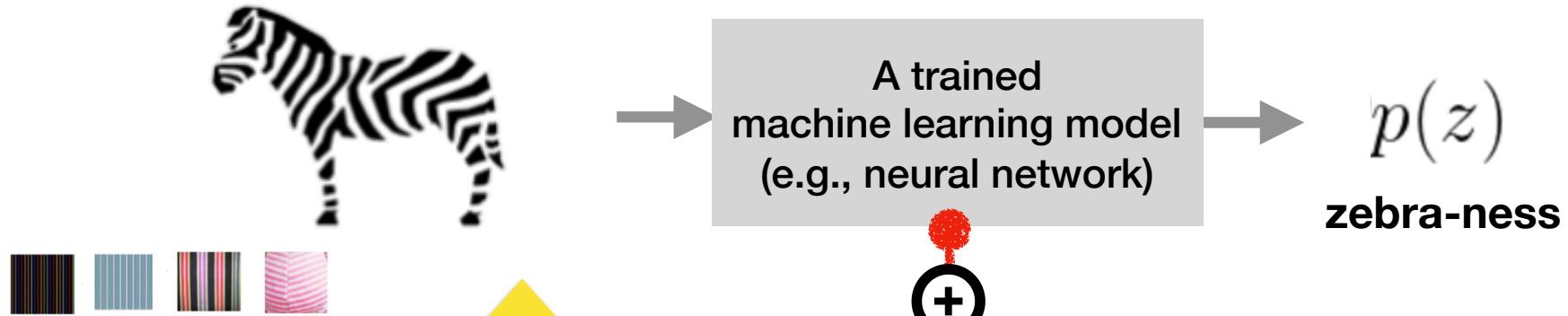


Was **striped** concept important
to this **zebra** image classifier?

TCAV provides
quantitative importance of
a concept **if and only if** your
network learned about it.

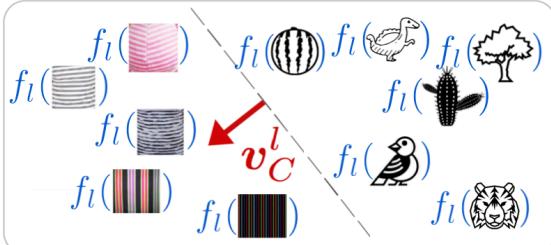
TCAV:

Testing with Concept Activation Vectors



Was striped concept important to this zebra image classifier?

1. Learning CAVs

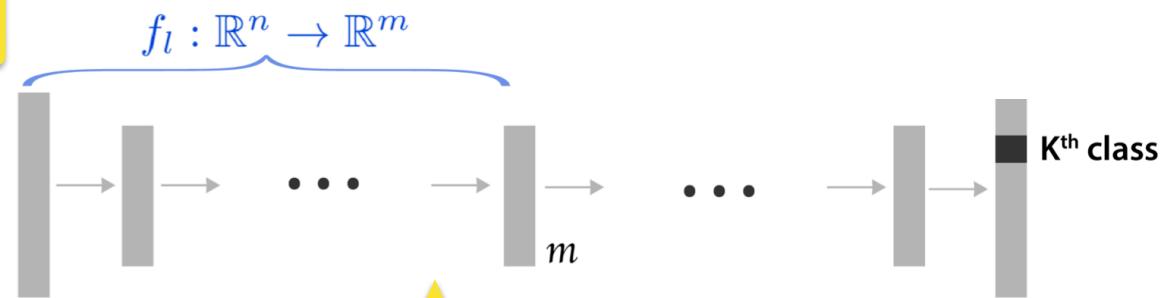


1. How to define concepts?

Defining concept activation vector (CAV)

Inputs:

a



A trained network under investigation
and
Internal tensors

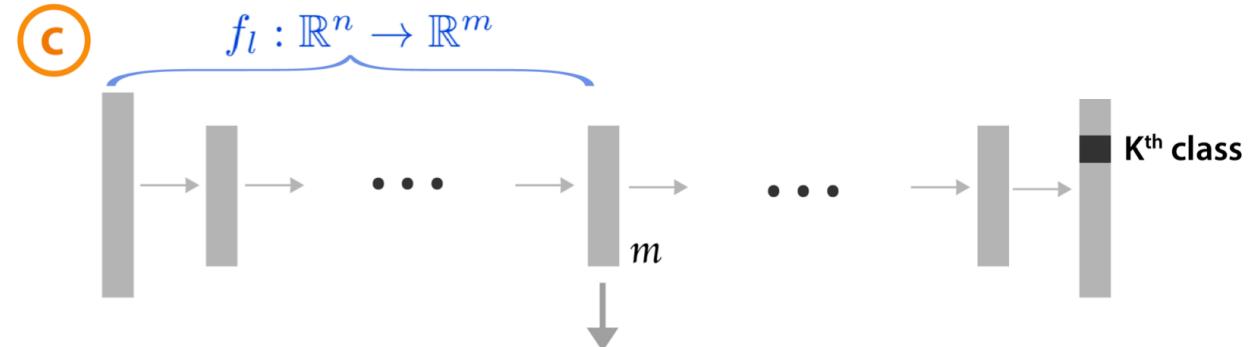
Defining concept activation vector (CAV)

Inputs:

a



c

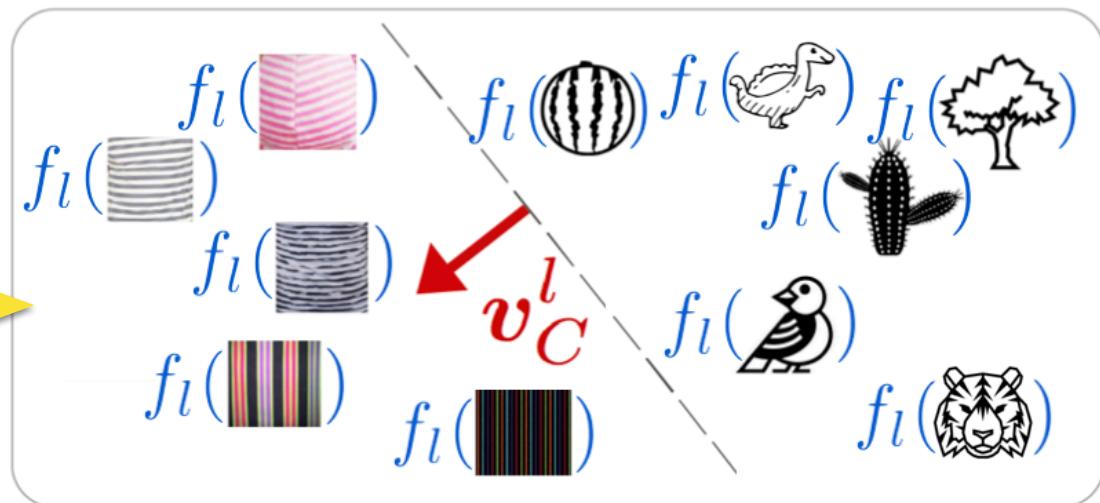


d

Train a linear classifier to separate activations.

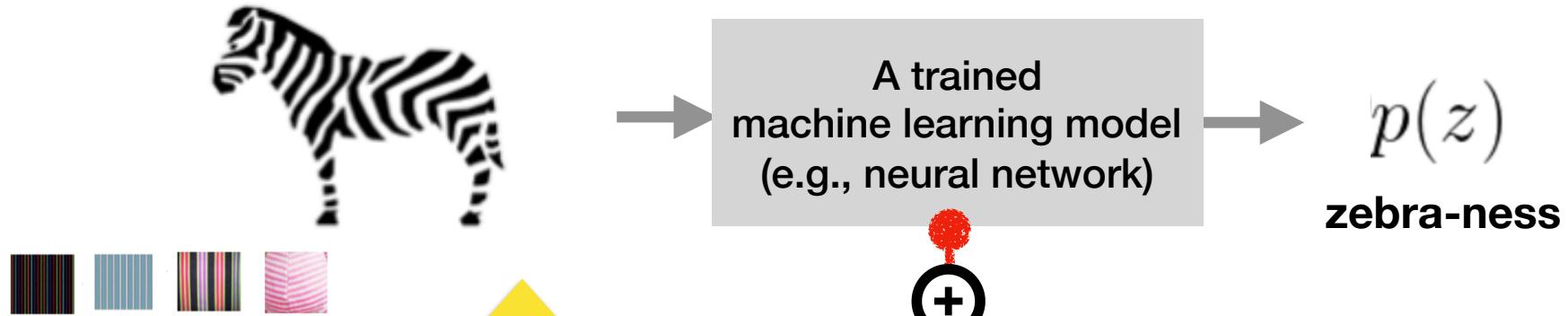
CAV (v_C^l) is the vector **orthogonal** to the decision boundary.

[Smilkov '17, Bolukbasi '16, Schmidt '15]

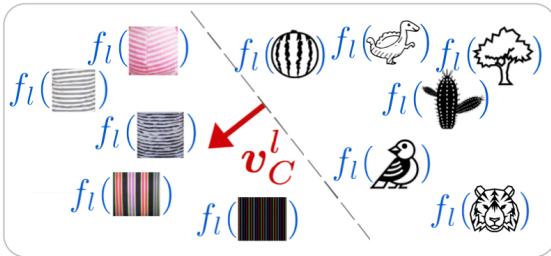


TCAV:

Testing with Concept Activation Vectors



1. Learning CAVs



2. Getting TCAV score

$$S_{C,k,l}(\text{zebra}) \quad S_{C,k,l}(\text{zebra stripes}) \quad S_{C,k,l}(\text{zebra stripes})$$

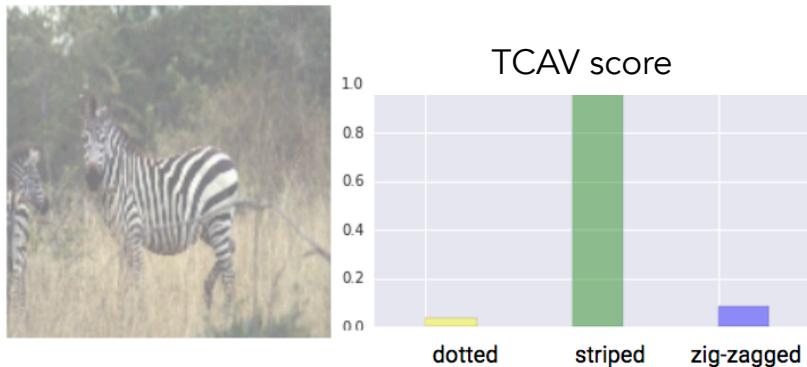
$\rightarrow \text{TCAV}_{Q_{C,k,l}}$

2. How are the CAVs useful to get explanations?

TCAV core idea:

Derivative with CAV to get prediction sensitivity

TCAV



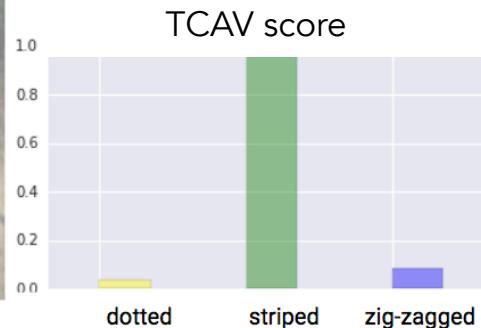
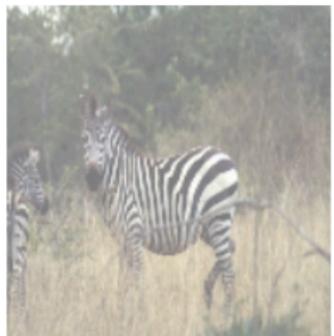
$$\begin{aligned}\text{zebra-ness} &\rightarrow \frac{\partial p(z)}{\partial \mathbf{v}_C^l} = S_{C,k,l}(\mathbf{x}) \\ \text{striped CAV} &\rightarrow \frac{\partial}{\partial \mathbf{v}_C^l} =\end{aligned}$$

Directional derivative with CAV

TCAV core idea:

Derivative with CAV to get prediction sensitivity

TCAV



$$\begin{aligned} S_{C,k,l}(\text{zebra}) \\ S_{C,k,l}(\text{dotted}) \\ S_{C,k,l}(\text{striped}) \\ S_{C,k,l}(\text{zig-zagged}) \end{aligned} \quad \left. \right\}$$

zebra-ness $\rightarrow \frac{\partial p(z)}{\partial \mathbf{v}_C^l} = S_{C,k,l}(\mathbf{x})$

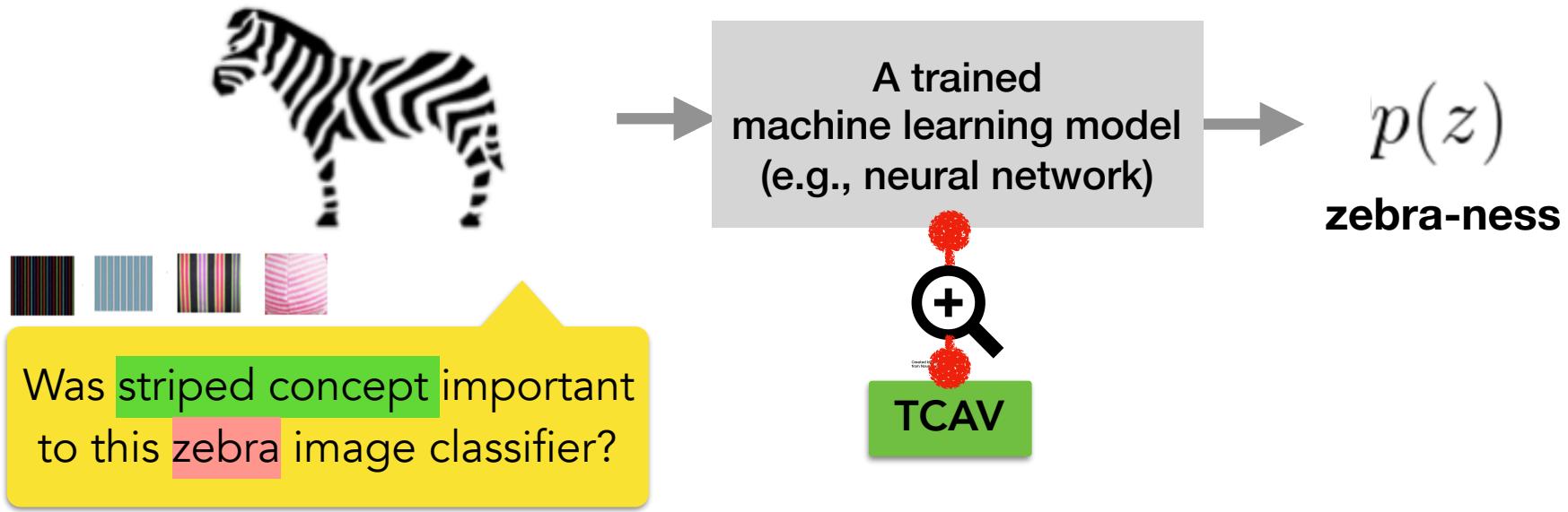
striped CAV $\rightarrow \frac{\partial \mathbf{v}_C^l}{\partial \mathbf{v}_C^l} = S_{C,k,l}(\mathbf{x})$

$$\text{TCAV}_{Q_{C,k,l}} = \frac{|\{\mathbf{x} \in X_k : S_{C,k,l}(\mathbf{x}) > 0\}|}{|X_k|}$$

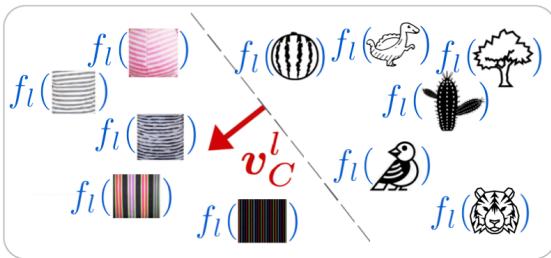
Directional derivative with CAV

TCAV:

Testing with Concept Activation Vectors



1. Learning CAVs

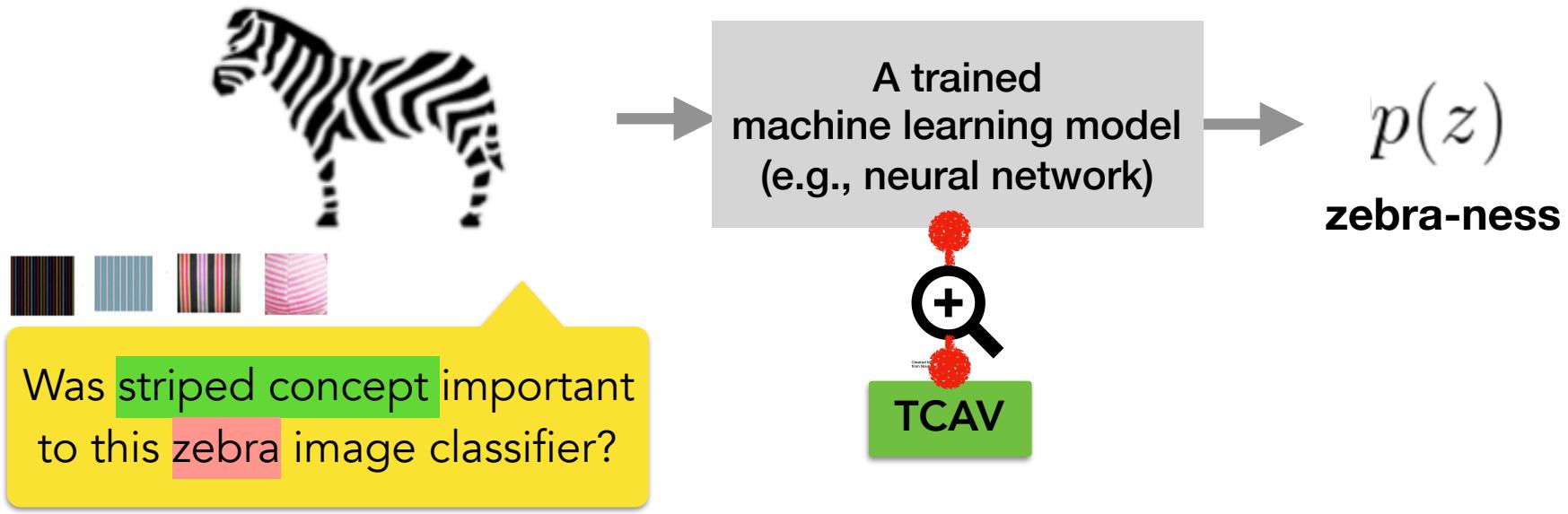


2. Getting TCAV score

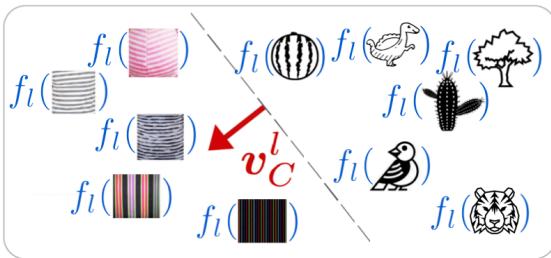
$$S_{C,k,l}(\text{zebra stripes})$$
$$S_{C,k,l}(\text{zebra stripes}) \quad \left. \right\} \rightarrow \text{TCAV}_{Q_{C,k,l}}$$
$$S_{C,k,l}(\text{zebra stripes})$$

TCAV:

Testing with Concept Activation Vectors



1. Learning CAVs



2. Getting TCAV score

$$S_{C,k,l}(\text{zebra}) \quad S_{C,k,l}(\text{zebra}) \quad \left. \right\} \rightarrow \text{TCAV}_{Q_{C,k,l}} : S_{C,k,l}(\text{zebra})$$

3. CAV validation

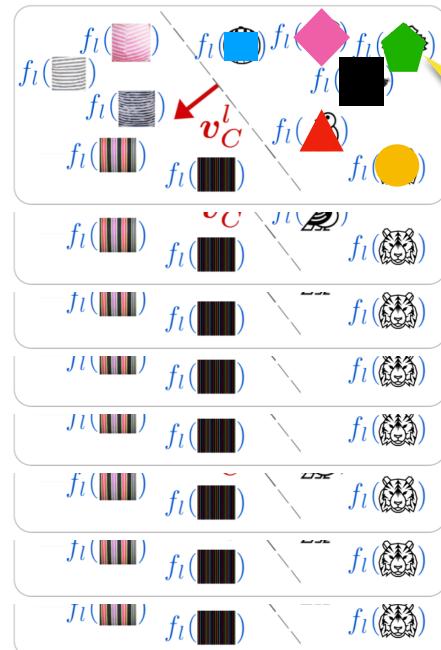
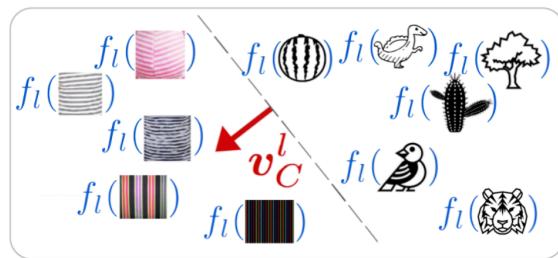
Qualitative
Quantitative

Quantitative validation:

Guarding against spurious CAV

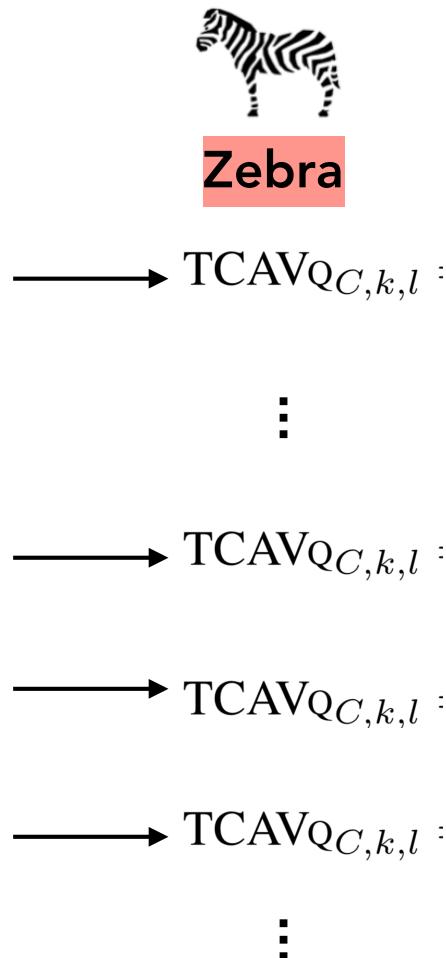
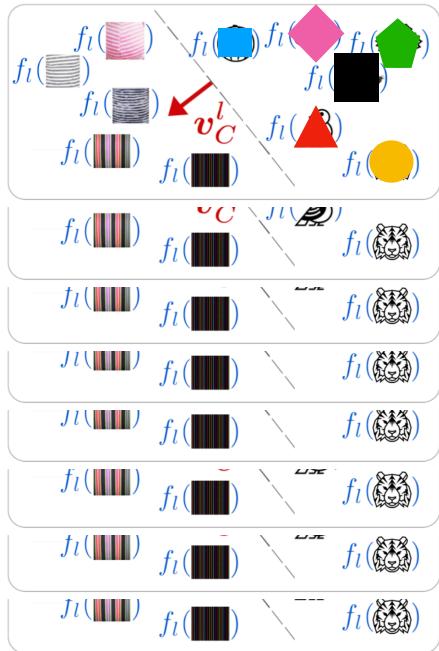
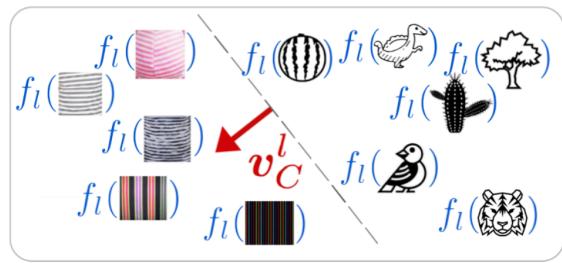
Did my CAVs returned high sensitivity by chance?

Quantitative validation: Guarding against spurious CAV

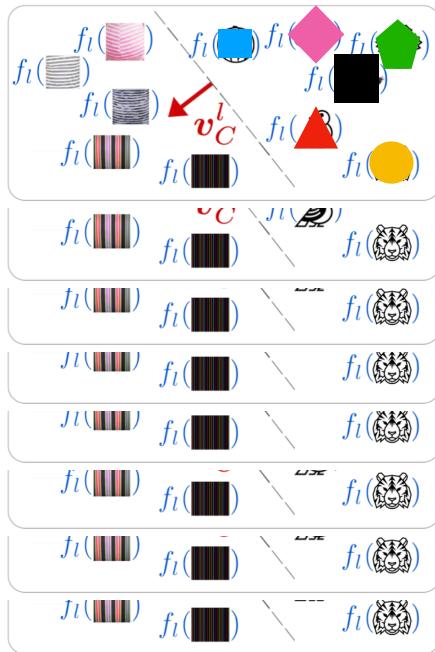
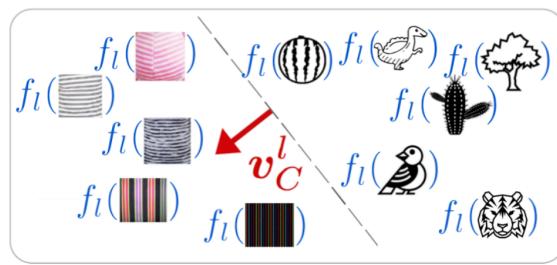


Learn many stripes CAVs
using different sets of
random images

Quantitative validation: Guarding against spurious CAV



Quantitative validation: Guarding against spurious CAV




Zebra

→ TCAV $_{Q_C,k,l}$:

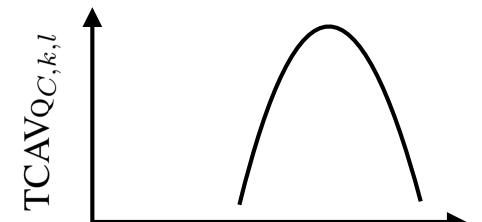
⋮

→ TCAV $_{Q_C,k,l}$:

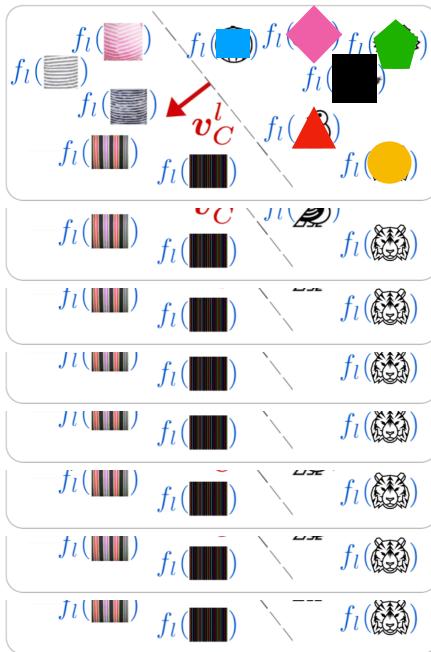
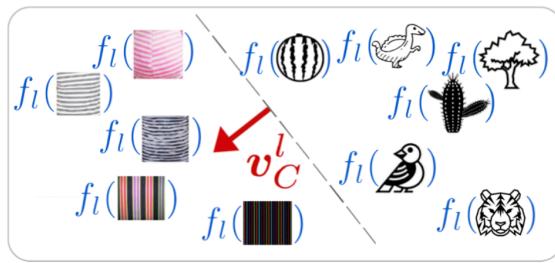
→ TCAV $_{Q_C,k,l}$:

→ TCAV $_{Q_C,k,l}$:

⋮



Quantitative validation: Guarding against spurious CAV



→ $\text{TCAV}_{Q_{C,k,l}} :$

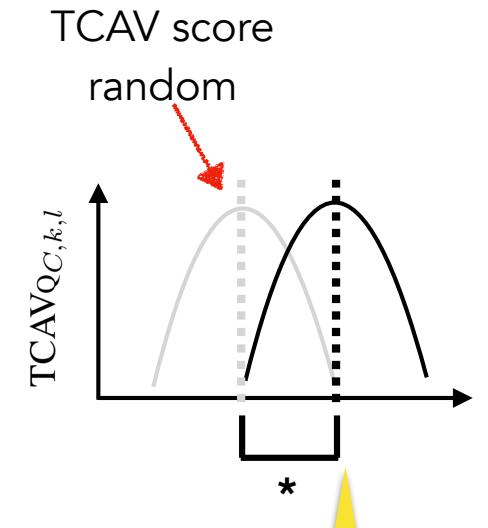
Zebra

⋮

→ $\text{TCAV}_{Q_{C,k,l}} :$

Zebra

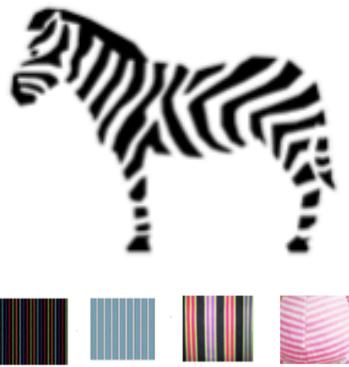
⋮



Check the distribution of $\text{TCAV}_{Q_{C,k,l}}$ is statistically different from random using t-test

Recap TCAV:

Testing with Concept Activation Vectors

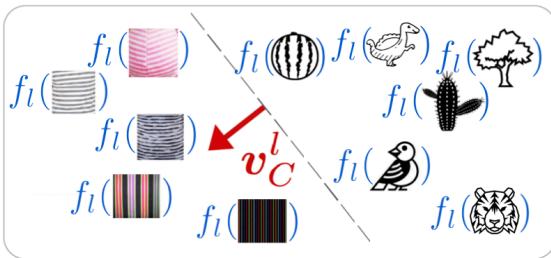


TCAV provides **quantitative importance** of a concept **if and only if** your network learned about it.

Even if your training data wasn't tagged with the **concept**

Even if your input feature did not include the **concept**

1. Learning CAVs



2. Getting TCAV score

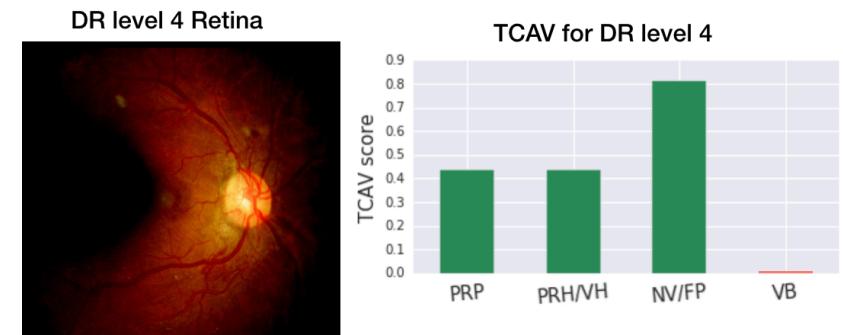
$$S_{C,k,l}(\text{zebra})$$
$$S_{C,k,l}(\text{zebra}) \quad \left. \right\} \rightarrow \text{TCAV}_{Q_{C,k,l}}$$
$$S_{C,k,l}(\text{zebra})$$

3. CAV validation

Qualitative
Quantitative

Results

1. Sanity check experiment
2. Biases in Inception V3 and GoogleNet
3. Domain expert confirmation from Diabetic Retinopathy

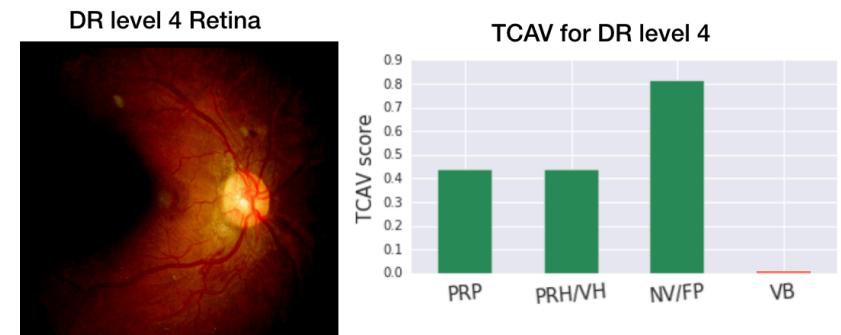


Results

1. Sanity check experiment



2. Biases from Inception V3 and GoogleNet
3. Domain expert confirmation from Diabetic Retinopathy



Sanity check experiment

If we know the ground truth
(important concepts),
will TCAV match?

Sanity check experiment setup

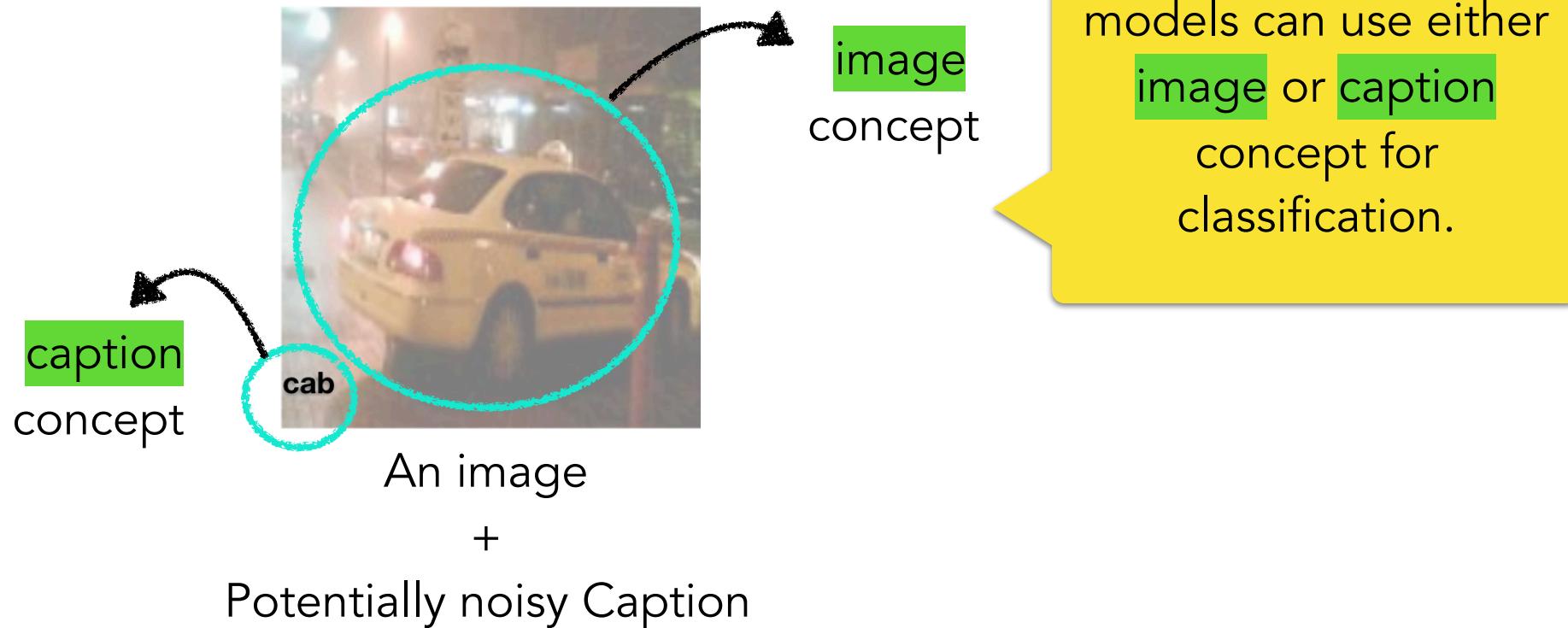


An image

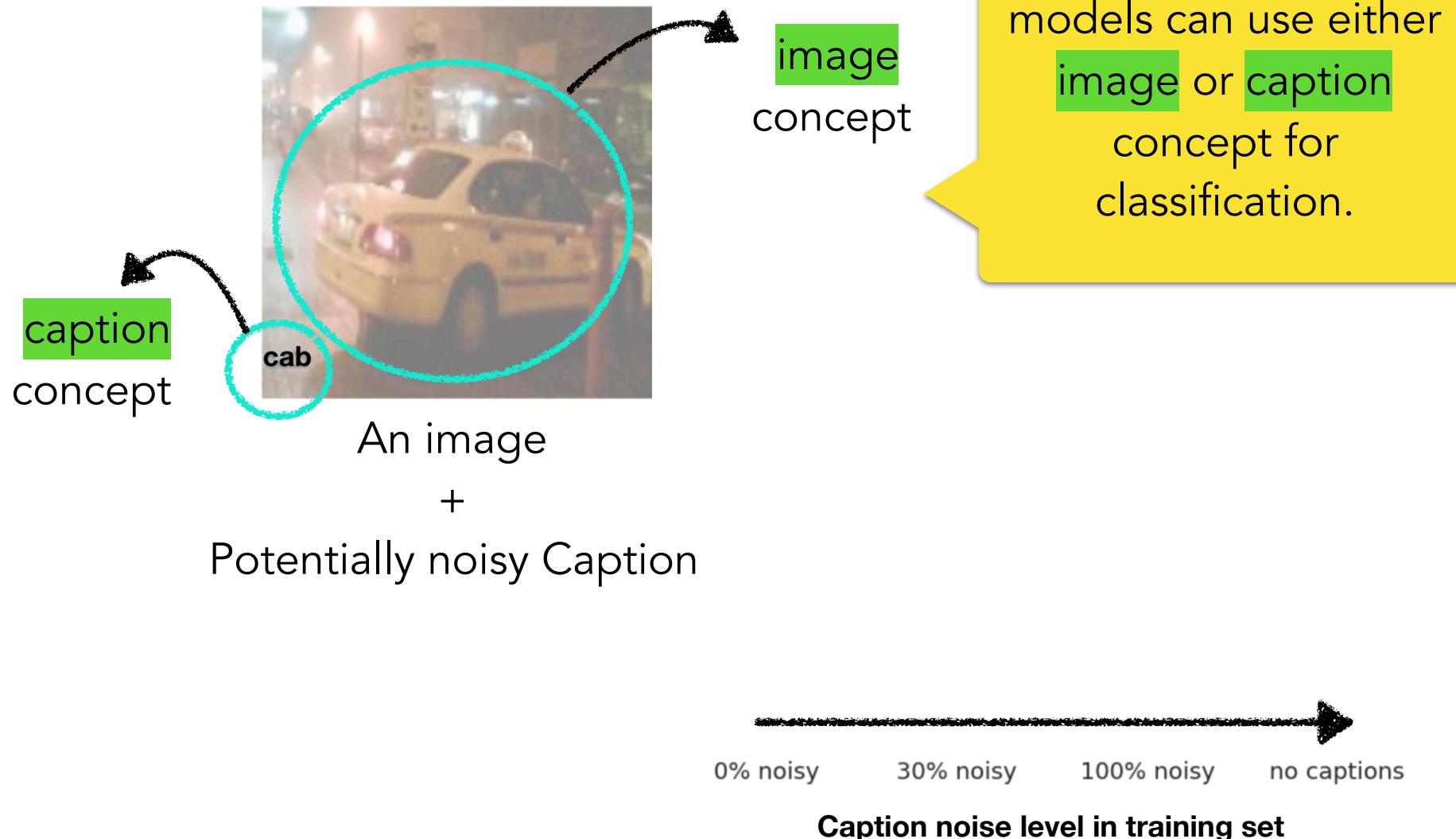
+

Potentially noisy Caption

Sanity check experiment setup



Sanity check experiment setup



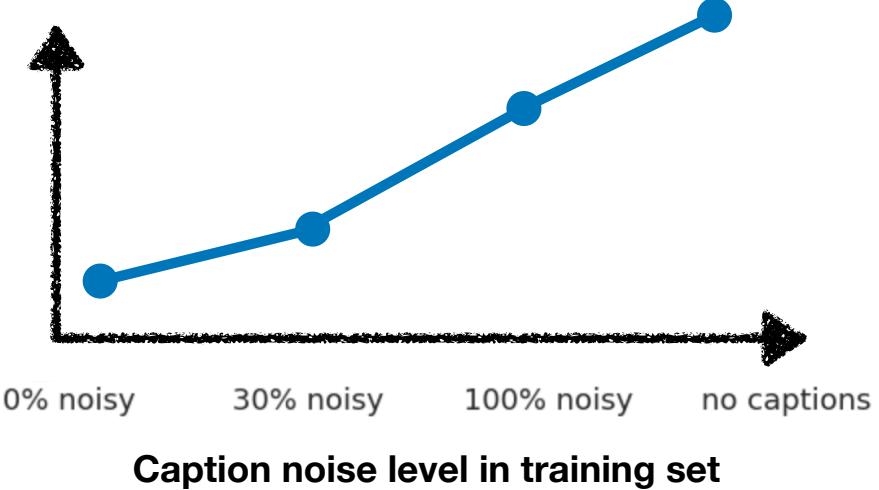
Sanity check experiment setup



models can use either
image or caption
concept for
classification.

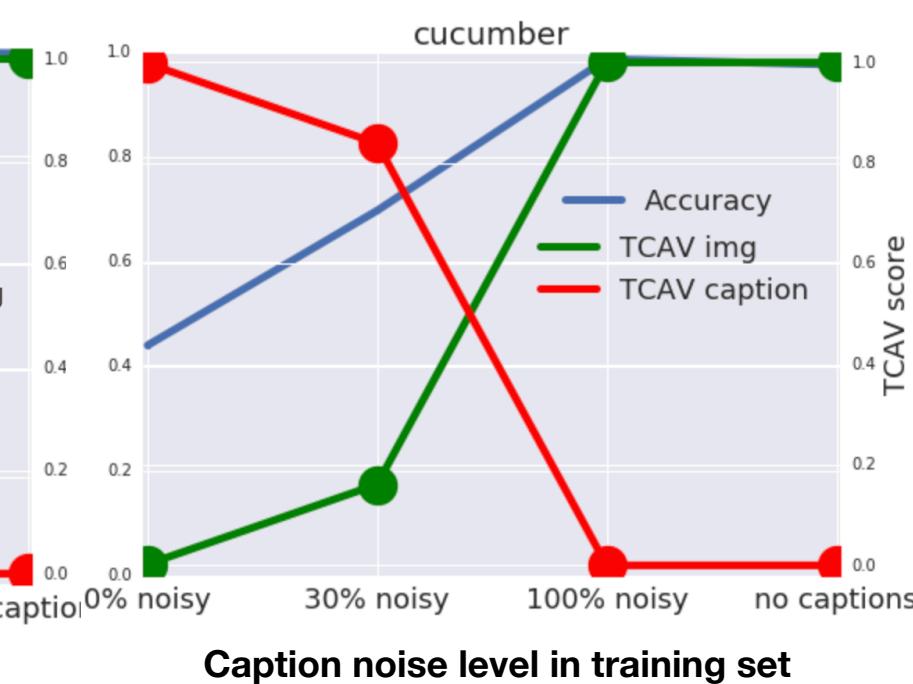
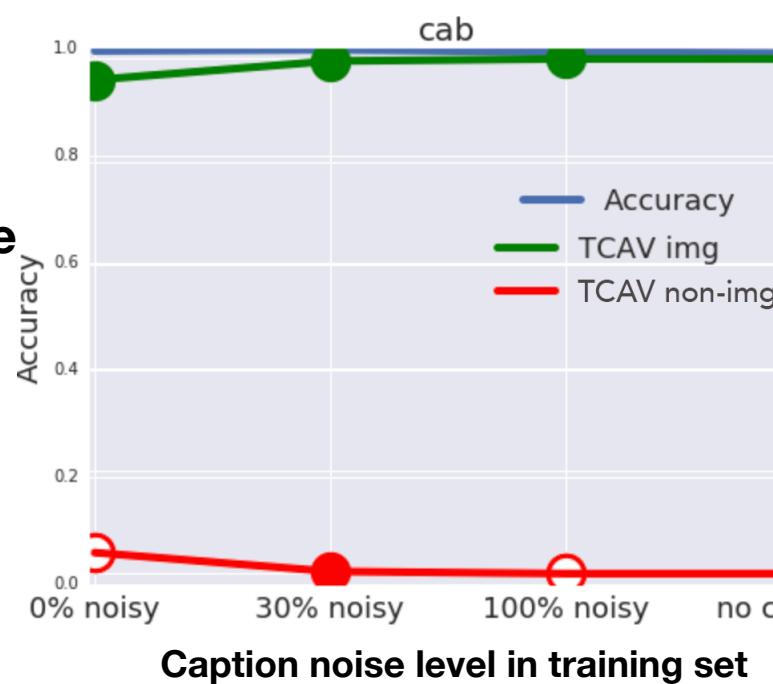


**Test accuracy
with
no caption image**
=
**Importance of
image concept**



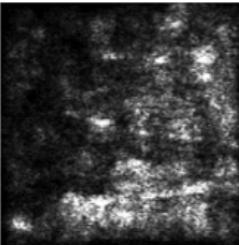
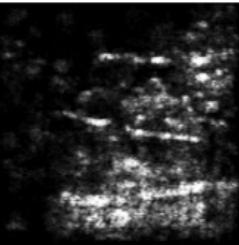
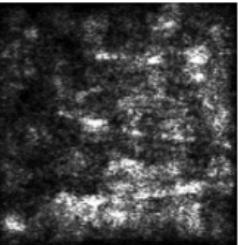
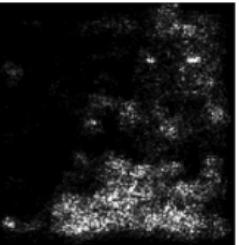
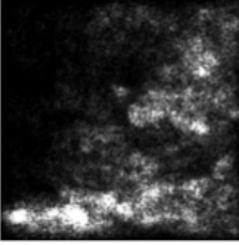
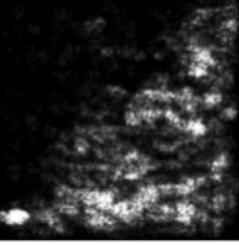
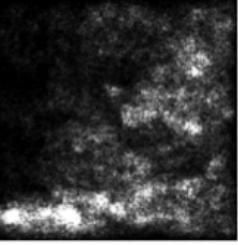
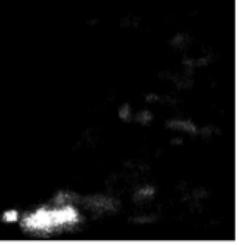
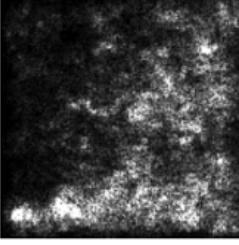
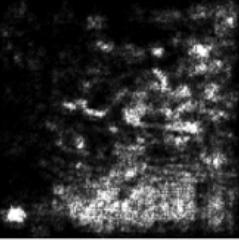
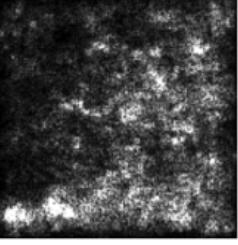
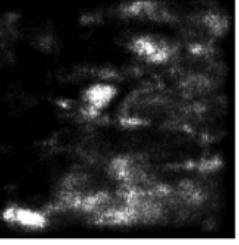
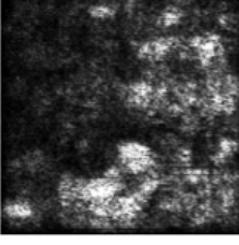
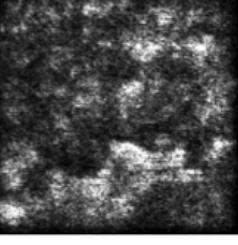
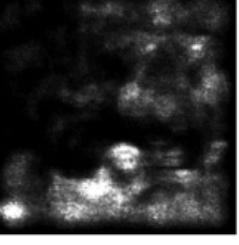
Sanity check experiment

**Test accuracy
with
no caption image**



Cool, cool.
Can saliency maps do this too?

Can saliency maps communicate the same information?

Ground truth	Model trained on	Image with caption	Vanilla gradient	Guided backprop	Integrated gradient	Smoothgrad
Image concept	Images without captions (no captions)	 Cab				
Image concept	Images with captions (0% noise)	 Cab				
Image concept	Images with captions (30% noise)	 Cab				
Image concept	Images with captions (100% noise)	 Cab				

Human subject experiment:

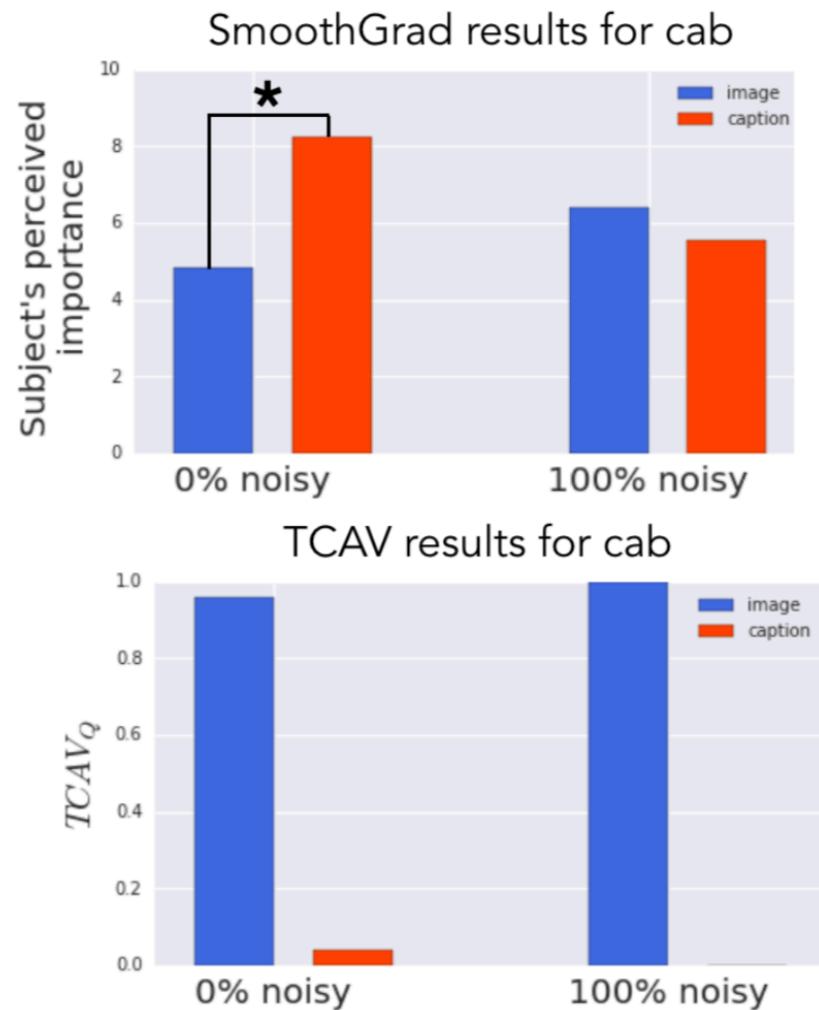
Can saliency maps communicate the same information?



- 50 turkers are
- asked to judge importance of **image** vs. **caption** given saliency maps.
- asked to indicate their confidence
- shown 3 classes (cab, zebra, cucumber) x 2 saliency maps for one model

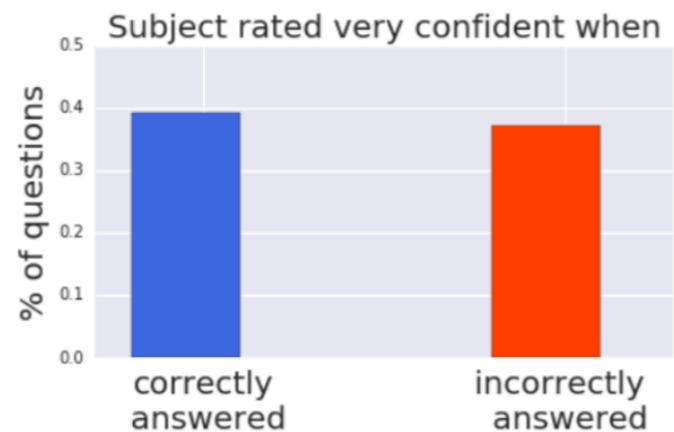
Human subject experiment: Can saliency maps communicate the same information?

- Random chance: 50%
- Human performance with saliency map: 52%
- Humans can't agree: more than 50% no significant consensus



Human subject experiment: Can saliency maps communicate the same information?

- Random chance: 50%
- Human performance with saliency map: 52%
- Humans can't agree: more than 50% no significant consensus
- Humans are **very** confident even when they are wrong.



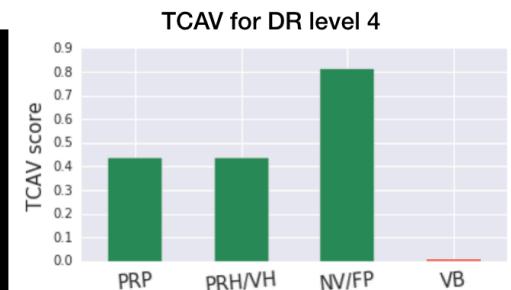
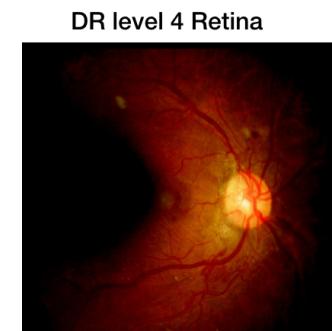
Results

1. Sanity check experiment

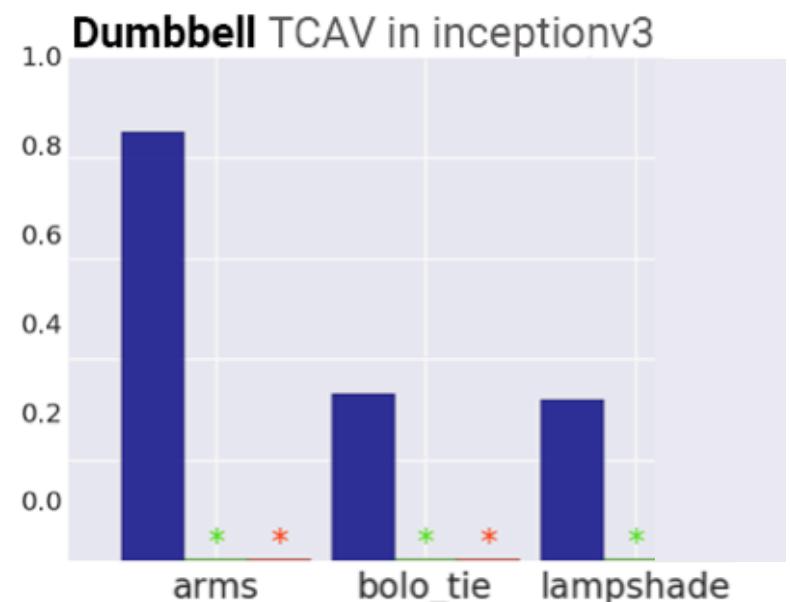
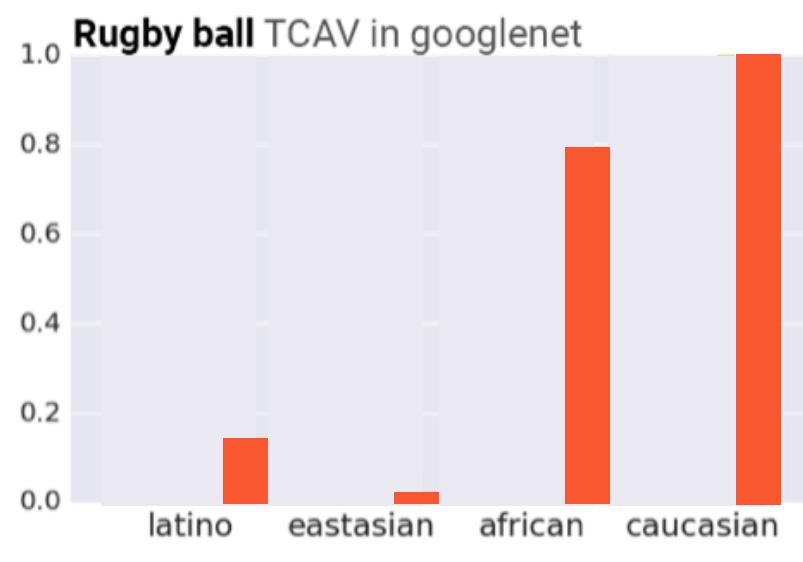
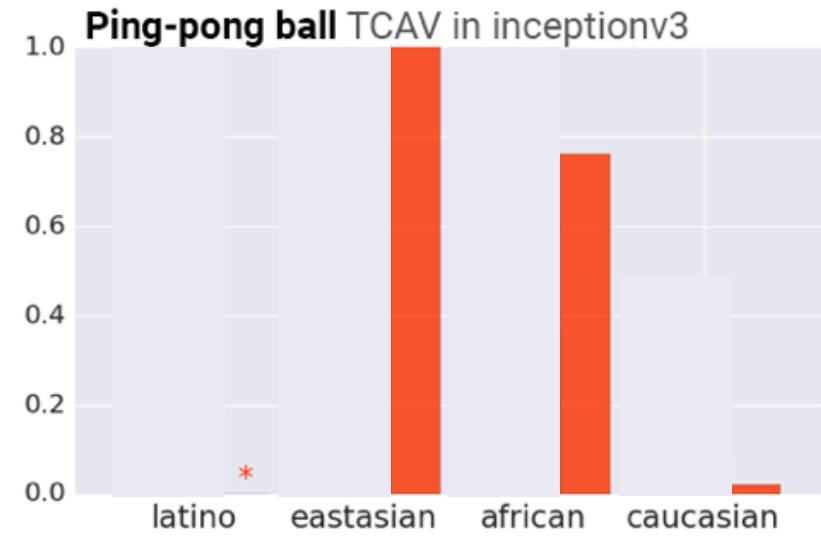
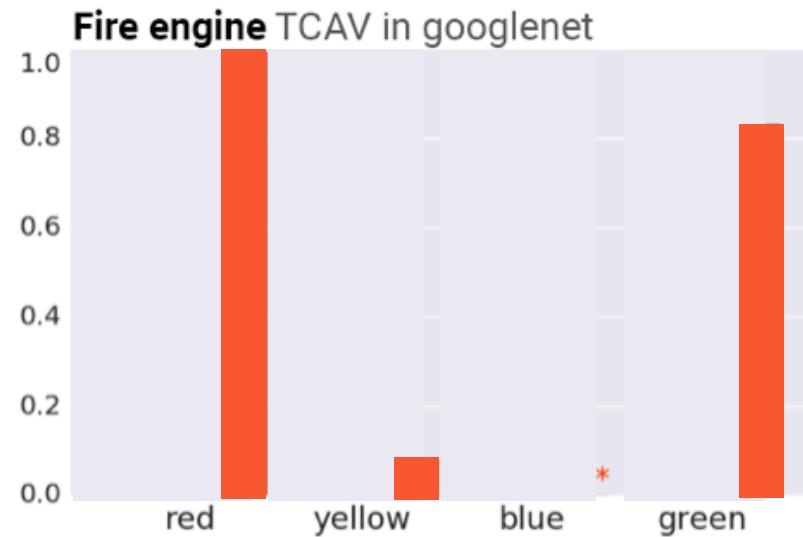


2. Biases from Inception V3 and GoogleNet

3. Domain expert confirmation from Diabetic Retinopathy

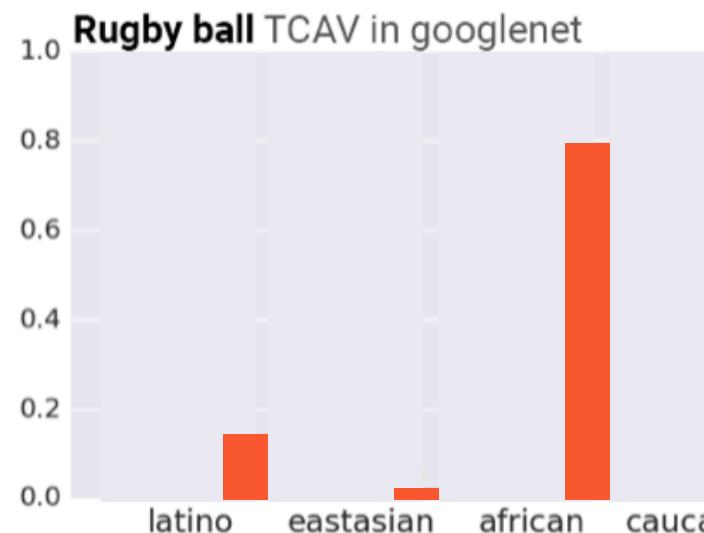
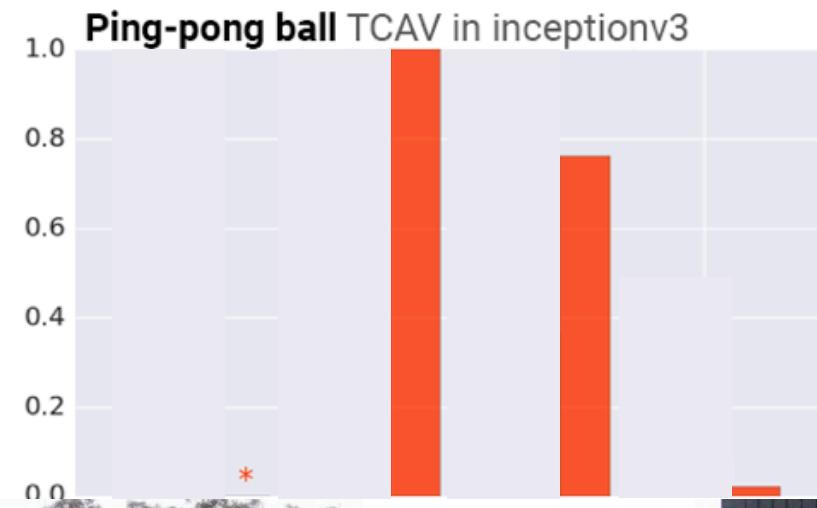
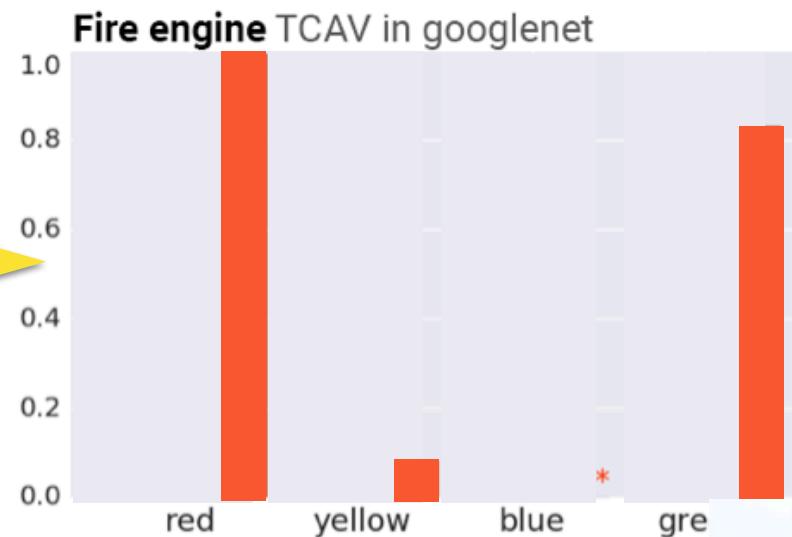


TCAV in Two widely used image prediction models



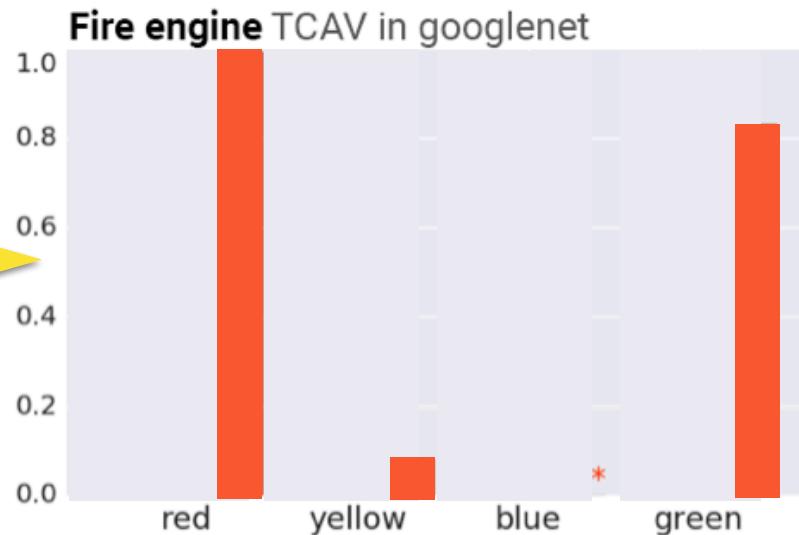
TCAV in Two widely used image prediction models

Geographical
bias?

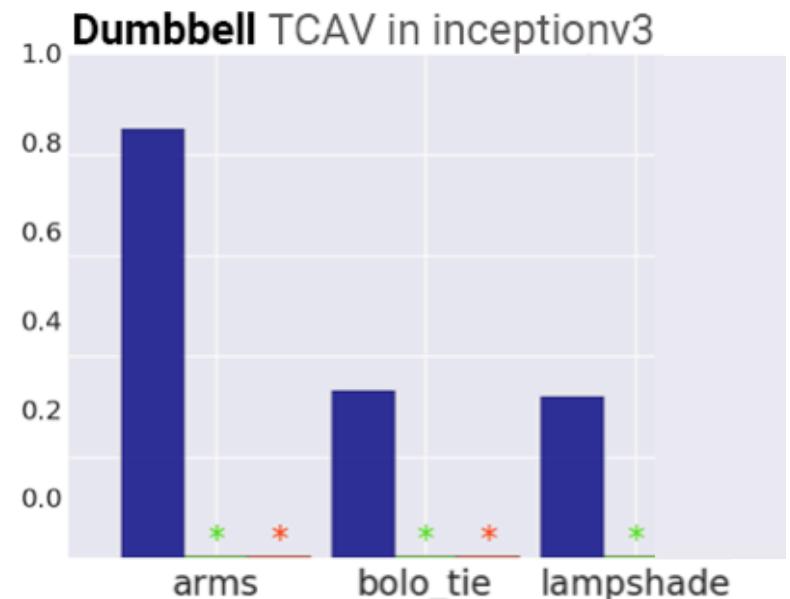
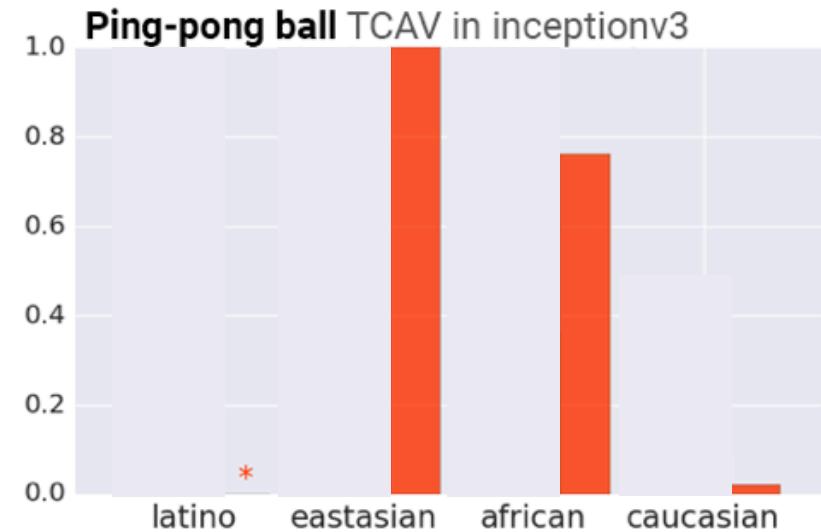
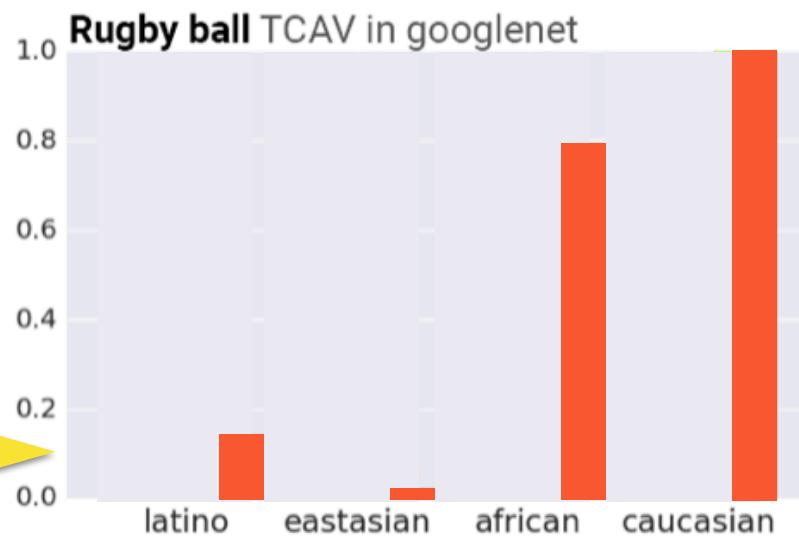


TCAV in Two widely used image prediction models

Geographical bias?

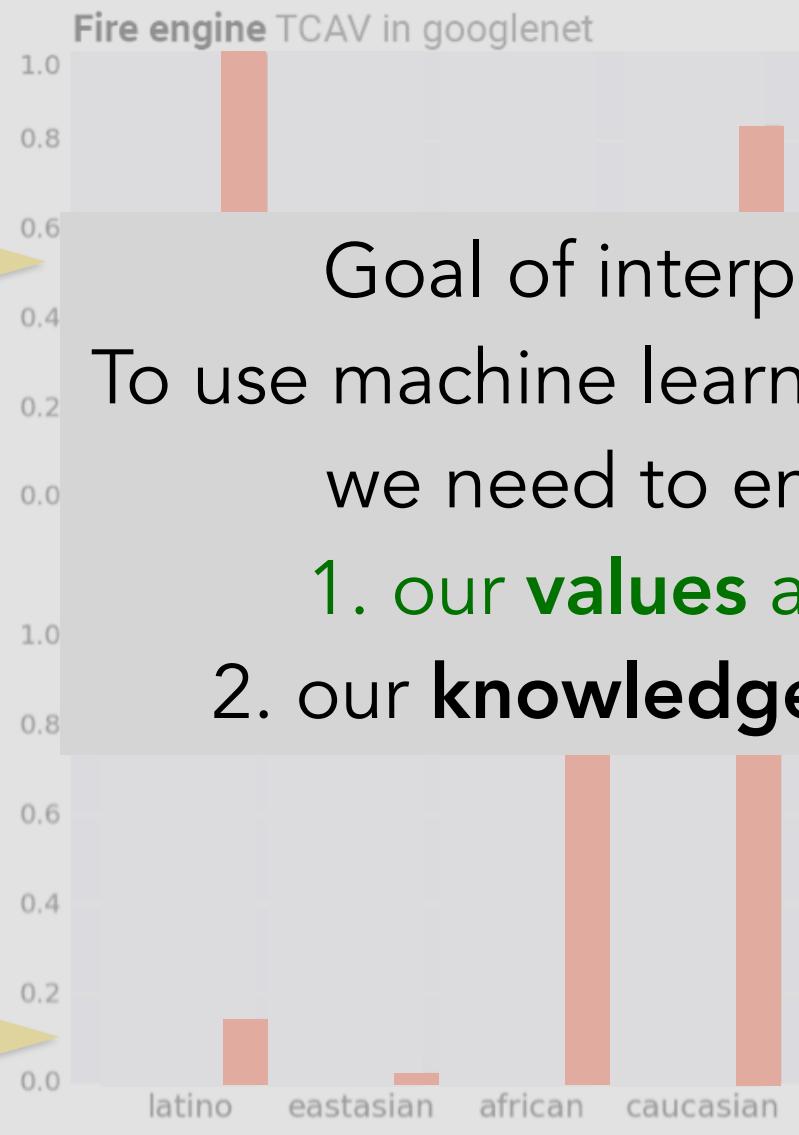


Quantitative confirmation to previously qualitative findings [Stock & Cisse, 2017]



TCAV in Two widely used image prediction models

Geographical bias?



Quantitative confirmation to previously qualitative findings [Stock & Cisse, 2017]

Goal of interpretability:
To use machine learning **responsibly**
we need to ensure that

1. our **values** are aligned
2. our **knowledge** is reflected

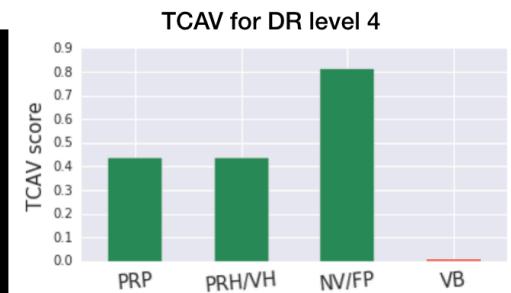
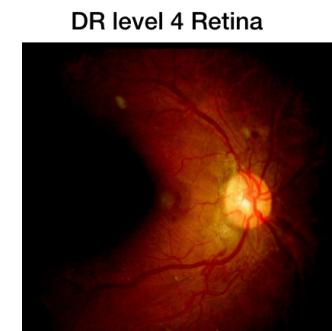
Results

1. Sanity check experiment



2. Biases Inception V3 and GoogleNet

3. Domain expert confirmation from Diabetic Retinopathy



Diabetic Retinopathy

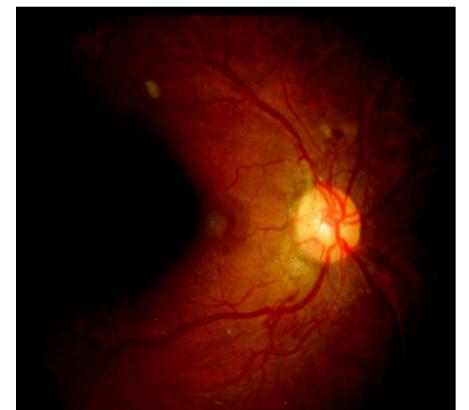
- Treatable but sight-threatening conditions
- Have model to with accurate prediction of DR (85%)
[Krause et al., 2017]

Concepts the **ML model** uses

Vs

Diagnostic Concepts **human** doctors use

DR level 4 Retina

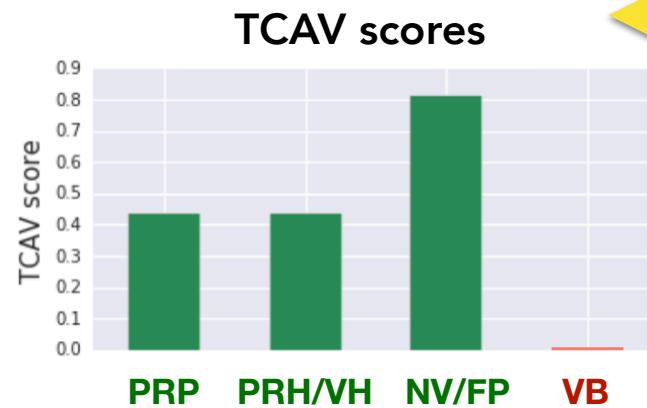


TCAV for Diabetic Retinopathy

Prediction class Prediction accuracy

DR level 4 High

Example



TCAV shows the model is **consistent** with doctor's knowledge when model is **accurate**

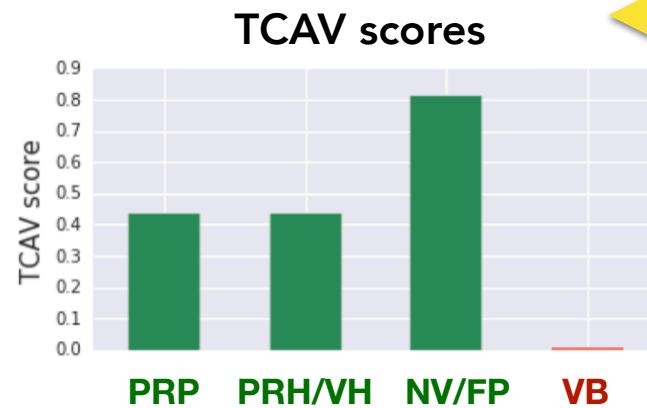
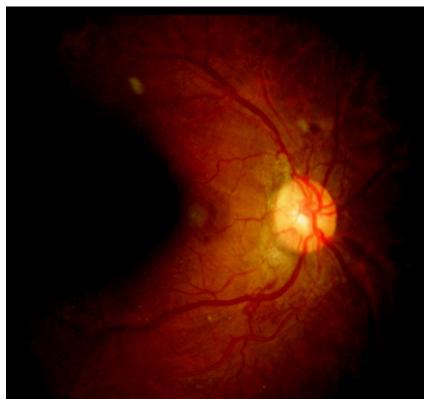
Green: domain expert's label on concepts belong to the level
Red: domain expert's label on concepts does not belong to the level

TCAV for Diabetic Retinopathy

Prediction class Prediction accuracy

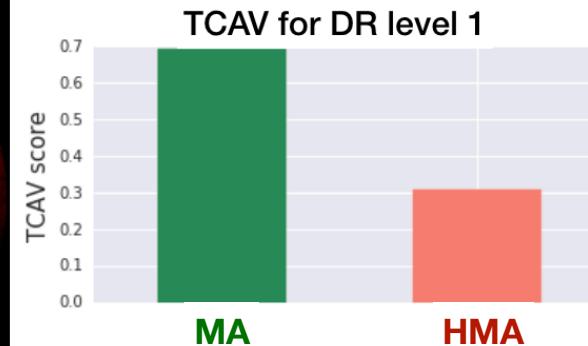
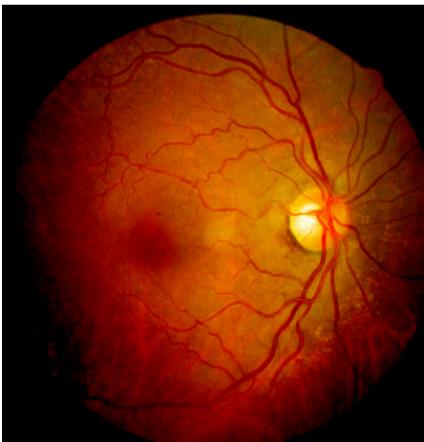
DR level 4 High

Example



TCAV shows the model is **consistent** with doctor's knowledge when model is **accurate**

DR level 1 Med



TCAV shows the model is **inconsistent** with doctor's knowledge for classes when model is less accurate

Green: domain expert's label on concepts belong to the level
Red: domain expert's label on concepts does not belong to the level

TCAV for Diabetic Retinopathy

Prediction class Prediction accuracy

DR level 4

Hi

DR level 1

Low

Example



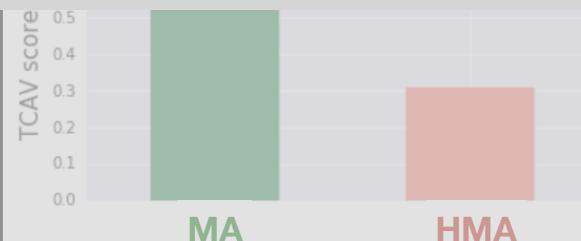
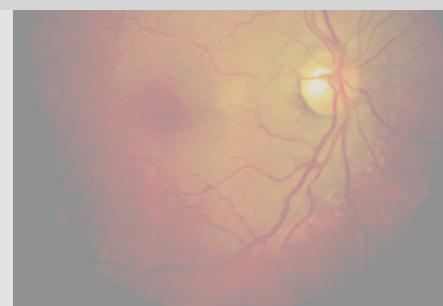
Level 1 was often confused to level 2.

HMA distribution on predicted DR

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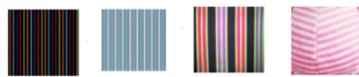


el 4

TCAV shows the
model is **inconsistent**
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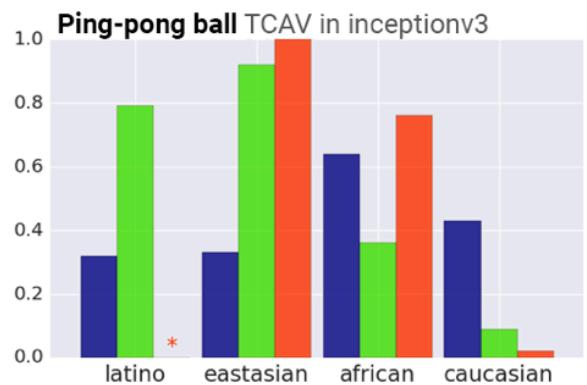
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Summary: Testing with Concept Activation Vectors

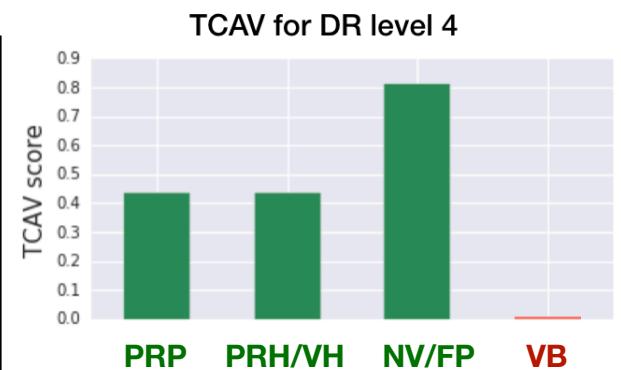
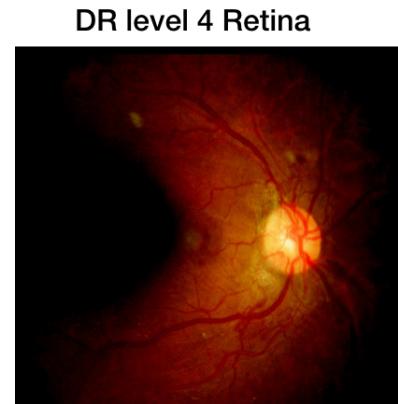


stripes concept (score: 0.9)
was important to **zebra** class
for this trained network. 

TCAV provides
quantitative importance of
a concept **if and only if** your
network learned about it.



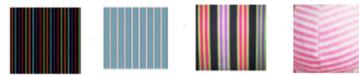
Our values



Our knowledge

Questions?

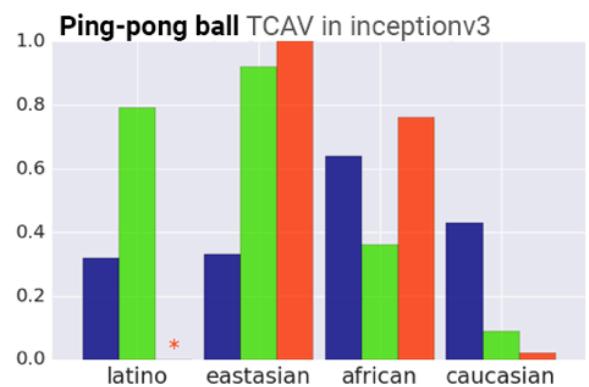
code: github.com/tensorflow/tcav



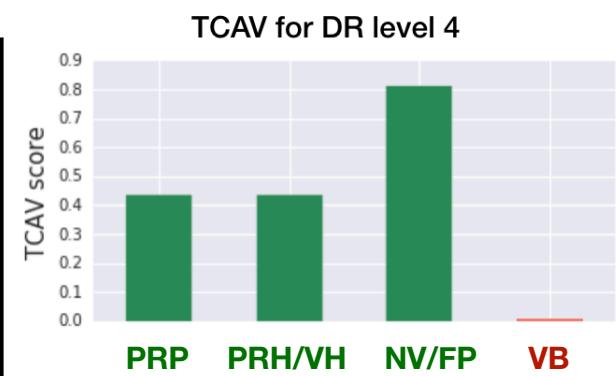
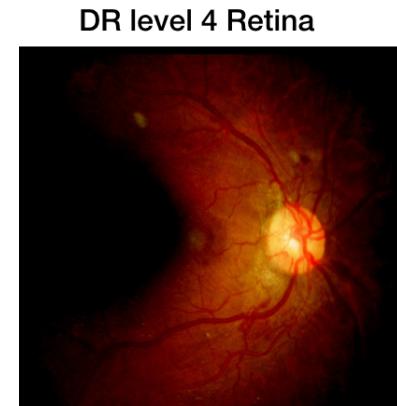
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Our knowledge