# Writeup for third project of CMSC 420: "Data Structures" Section 0201, Fall 2018

#### Theme: Hash Tables

On-time deadline: Friday, 11-02, 11:59pm (midnight)
Late deadline (30% penalty): Sunday, 11-04, 11:59pm (midnight)

#### 1 Overview

In this project, you will have to implement an abstraction over a *phonebook*; A collection of pairs of type  $< Full\_Name, Phone\_Number >$ . Your phonebook will support **both** name-based search **and** phone-based search. See figure 1 for a pictorial view of the project.

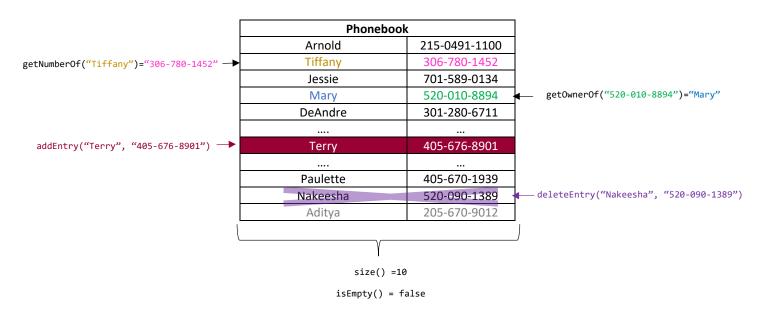


Figure 1: A high-level view of how your phonebook is supposed to work.

To make both types of searches efficient, your phonebook will internally maintain a pair of hash tables from Strings: One will have the person's name as a key and the phone number as a value, and the other one will have the phone number as a value and the name as a key. In your simple phonebook, entry uniqueness is guaranteed: Every person has exactly one phone number, and every phone number is associated with exactly one person.

The hash tables maintained by the phonebook will be one of three types:

- One that uses Separate Chaining as a collision resolution technique (class SeparateChainingHashTable).
- One that uses *Linear Probing* as a collision resolution technique (class LinearProbingHashTable).
- One that uses *Quadratic Probing* as a collision resolution technique (class QuadraticProbingHashTable).

The central class of the project is PhoneBook. What is interesting about PhoneBook is that it has been implemented for you! However, the methods of PhoneBook depend on methods of the interface HashTable, which is extended by the three classes mentioned in the list above. What you will need to do is complete the implementation of these three classes so that their methods can support the methods of PhoneBook. The Release Tests only test methods of PhoneBook!

The various methods of PhoneBook will have to run in amortized constant time (except for size() and isEmpty(), which should run in constant time). Therefore, all of the instances of HashTable that you implement need to offer amortized constant insertions, searches and deletions. We will be checking your source code after submission to make sure you are not implementing the methods inefficiently (e.g linear complexity, logarithmic complexity, or even worse)!

### 2 Getting Started

You should first pull the starter code from our GitHub repo. After that, you should study the JavaDocs and source code of PhoneBook to understand how your methods can be used (and, therefore, tested!). The functions you have to implement are under the package hashes.

We include a package called utils with three classes: PrimeGenerator, KVPair and KVPairList. This are helpful utilities which you will quite possibly end up using in your project and we encourage you to read their documentation. We include some unit test libraries so that you can get ideas for implementing your own HashTable and PhoneBook tests.

You should fill in the public methods of SeparateChainingHashTable, LinearProbingHashTable and QuadraticProbingHashTable. You will notice that the private data fields that your public methods will operate on have been given to you. You should **NOT** edit these private fields, since they provide the basic infrastructure necessary for your various operate to attain the efficiency required by this project!

#### 3 Quadratic Probing

In lecture we saw that Linear Probing is susceptible to the "clustering" phenomenon, where various different collision chains end up "crowding" next to each other and even "overlapping". This causes several collisions for even wildly different hash codes when compared to the ones that started the chains that have crowded each other. We also saw that to "tune" Linear Probing such that its "jump" is changed from 1 to some other number, e.g 2 or 3, does **not** solve the clustering problem: instead, the clusters become "discontinuous".

This begs the question: what if, instead of having a static offset to Linear Probing, we were to increase the "step" that the algorithm takes every time it encounters a collision? One studied solution that implements this idea is quadratic probing (QP). To explain how QP works, we will first mathematically formalize how Linear Probing (LP) works.

Suppose that our hash function is h(k), where k is some input key. Assuming that a table employs LP, then the following **memory allocation function**  $m_{lp}(k,i)$ , where  $i \geq 1$ , denotes the  $i^{th}$  hash table cell probed to find an empty cell in the table, returns the *actual cell index* of the  $i^{th}$  probe:

$$m_{lp}(k,i) = (h(k) + (i-1)) \mod M$$

This means that LP will probe the following memory addresses in the original hash table:

$$h(k) \mod M, (h(k) + 1) \mod M, (h(k) + 2) \mod M, (h(k) + 3) \mod M, \dots$$

which fits intuition. For example, in the LPHT shown in Figure 2, if we wanted to insert the key 22, we would have the sequential memory allocations:  $m_{lp}(22,1) = h(22) + (1-1) \mod 11 = 22 \mod 11 = 0$ ,  $m_{lp}(22,2) = \cdots = 1$  and  $m_{lp}(22,3) = 3$ . On the other hand, if we wanted to insert the key 9, we would only need the single allocation  $m_{lp}(9,1) = 9$ , since cell 9 is empty. Of course, we could also compute  $m_{lp}(9,2) = 10$  or  $m_{lp}(9,3) = 0$ , but there is no reason to, since  $m_{lp}$  gave us an empty address in the first probe.

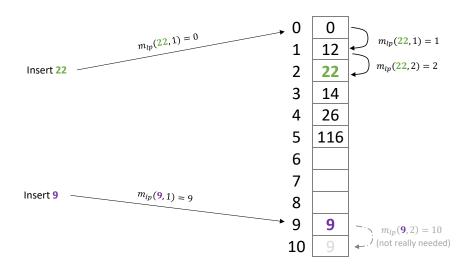


Figure 2: Examples of various memory allocations for two integer keys to be inserted into an LPHT.

QP, in its simplest form (which is the one you will implement in this project), employs the following memory allocation function  $m_{qp}$ :

$$m_{qp}(k,i) = (h(k) + (i-1)^2 \mod M$$

which will lead into the following memory addresses being probed:

$$\underbrace{h(k) \bmod M, (h(k) + 1^2)) \bmod M}_{\textit{First two addresses probed same as in LP}}, (h(k) + 2^2) \bmod M, (h(k) + 3^2) \bmod M, \dots$$

Note that the offset is **always** computed from the address that h(k) probed. For example, if the table of Figure 2 were a QPHT instead of an LPHT, we would have the memory allocations shown in Figure 3.

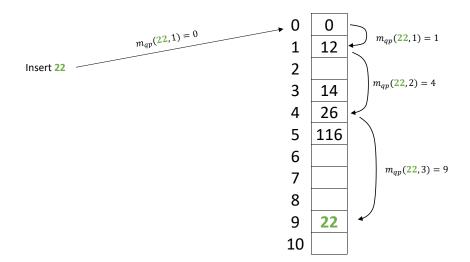


Figure 3: Examples of the memory addresses probed by  $m_{qp}(22,i)$  for i=1,2,3. Notice how the first two addresses probed are **exactly the same** as those probed by  $m_{lp}(\cdot)$ ; this is natural, since  $0^2=0$  and  $1^2=1$ . For practice, go ahead and insert 22 **after** you have inserted 9!

## 4 FAQs

- Q: Why is it that SeparateChainingHashTable has two methods (enlarge(), shrink() ) which are not part of the interface HashTable?
- A: Because enlarging or reducing the number of entries in a a hash table implemented with Separate Chaining as its collision resolution strategy is a process that never has to happen automatically in order for its operations to work (particularly, insertions). Enlarging the hash table can lead to better efficiency of insertions, while reducing its size can lead to better storage tradeoffs after numerous deletions have happened. This means that changing the Separately Chained hash table's capacity is an issue that should be left with the caller to decide. Maybe the caller decides to enlarge when the capacity is at 70%; if so, the caller must explicitly make the call to enlarge() (similarly for shrink(). On the other hand, an openly addressed hash table will need to internally mutate the table in order to not just allow for better performance and storage trade-offs but, in the case of insertions, to even allow for the operation to complete!.
- Q: Why did you implement your own Linked List over KVPair instances (KVPairList) instead of just instantiating the private data field table of SeparateChainingHashTable with a java.util.LinkedList <KVPair>? Surely that is easier to do instead of writing your own list for the project and then testing it!
- **A:** Because *creating a raw array over* **generic** *types* in Java is a pain. As you can see in figure 4, in Java it is **not** possible to create a raw array over generics.

```
package demos;
import java.util.LinkedList;

public class UnsafeDowncastings {
    private LinkedList<String>[] arrayOfLists;

public UnsafeDowncastings(int sz)[
    if(sz < 1)
        throw new RuntimeException("Bad size value: " + sz + ".");
    arrayOfLists = new LinkedList<String>[sz];

}

Generic array creation

public void insertIntoList(String element, int pos){
    if(pos < 0 || pos >= arrayOfLists.length )
        throw new RuntimeException("Bad position value: " + pos + ".");
    arrayOfLists[pos].add(element);
}

public static void main(String[] args) {
    UnsafeDowncastings obj = new UnsafeDowncastings( 5Z 5);
    obj.insertIntoList( element) "Jason", pos 3);
}
}
```

Figure 4: Creating an array of generic types leads to a **compile-time** error.

Instead, one would need to declare a raw array over Objects and then hope that the downcastings involved will not be unsafe. Unfortunately, java.util.LinkedList is a type that implements the interface Iterable (and so are all java.util.Lists), an interface that is **not** implemented by java.lang.Object. This in turn means that the downcasting of any Object reference to a LinkedList reference is **inherently unsafe**, since the line of code that is making the downcasting might request access to the iterator() method available to a LinkedList instance, but **unavailable** to an Object instance. This is further demonstrated by Figure 5:

Figure 5: An example of an unchecked and unsafe downcast. This small snippet of code has been included for you under the package demos on our Git repo, for your experimentation. The code, as shown, throws an instance of java.lang.ClassCastException

An alternative would be to drop the raw array and use a java.util.ArrayList, but this causes another problem: the fact that the way that ArrayLists are internally resized is implementation-dependent and completely transparent to the user. This means that you might have your hash table internally resizing to a way other than those that we have discussed in class, and this can affect the quality of your hashing. For example, if we use an ArrayList which doubles itself whenever its capacity is at 70%, this would re-insert the elements in the resized ArrayList in their current order instead of using our hash function!

In order to allow for a project where it is **your** responsibility to maintain the size of the hash table **appropriately**, for all given hash tables, we need to stick to raw arrays, and this means getting entirely **rid** of generics.

### 5 Submission / Grading

Projects in this class are different from your typical 131/2 projects in that **we do not maintain an Eclipse**- accessed CVS repository for you or us. This means that you can no longer use the Eclipse Course
Management Plugin to submit your project on the submit server. This turns out to be a good thing, since it
frees you up from the need to use Eclipse if you don't want to.

To submit your project, run the script src/Archiver.java as a Java application from your IDE (tested with Eclipse and IntelliJ). This will create a .zip file of your entire project directory at the same directory level of your entire project directory, without including the hidden git directory .git that can sometimes be very large and cause problems with uploads on submit.cs. For example, if your project directory is under /home/users/me/mycode/project1/, this script will create the .zip archive /home/users/me/mycode/project1.zip, which will contain src, doc, and any other directories that you may have, but will not contain the directory .git. After you have done this, upload the archive on the submit server as seen on figure 6.

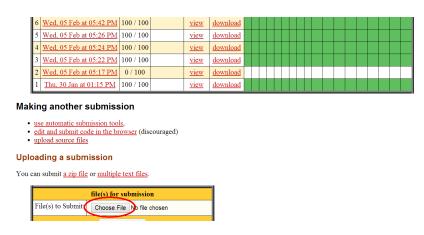


Figure 6: Uploading your project on the submit server.

All tests are release tests, and you can submit up to 5 times every 24 hours. We urge you to unit-test your code thoroughly before submitting: treat every token like a bar of gold that is not to be wasted! We will not share the source code of the unit tests with you, not even after the deadline for the project!

We maintain your **highest-scoring submission** for grading purposes. Finally, for the late deadline, we take 30% off your maximum possible score. This means that, if you submit late, passing all the unit tests will give you 70% of the total grade.

Finally, we should remind you that for the past few years the Software Similarity Detection System MoSS has been incorporated into the CS department's submit server. For n student submissions, it is **ridiculously easy** (literally a single click) for us to run MoSS against all  $\binom{n}{2}$  pairs of submissions. MoSS is tuned towards higher than 50% Recall, which means that plagiarized submissions **will** be caught. We would much rather be spending time teaching you data structures and assisting you with your queries than going back and forth with the Honor Council; **help us help you!**