

MASARYK UNIVERSITY  
FACULTY OF INFORMATICS



# Algorithmic Analysis of Code Breaking Games

MASTER'S THESIS

Miroslav Klimoš

Brno, 2014



## Declaration

I declare that this thesis is my own work and has not been submitted in any form for another degree or diploma at any university or other institution of tertiary education. Information derived from the published or unpublished work of others has been acknowledged in the text and a list of references is given.

**Advisor:** prof. RNDr. Antonín Kučera, Ph.D.



## Keywords

code braking games,  
deductive games,  
strategy synthesis,  
greedy strategy,  
SAT solving,  
model counting,  
mastermind,  
counterfeit coin



# Abstract





# Contents

<b>1</b>	<b>Introduction</b>	<b>3</b>
<b>2</b>	<b>Studied Games and Known Results</b>	<b>5</b>
2.1	<i>The Counterfeit Coin</i>	5
2.2	<i>Mastermind</i>	8
2.3	<i>Other Problems</i>	11
<b>3</b>	<b>Formal model</b>	<b>15</b>
3.1	<i>Notation and Terminology</i>	15
3.2	<i>Formal definition</i>	15
3.3	<i>Strategies</i>	20
3.4	<i>Symmetries in Code Braking Games</i>	24
3.5	<i>Symmetry Breaking</i>	25
<b>4</b>	<b>COBRA tool</b>	<b>27</b>
4.1	<i>Input language</i>	27
4.2	<i>Basic usage</i>	28
4.3	<i>Modes of operation</i>	28
4.4	<i>SAT solving</i>	29
4.5	<i>Symmetry breaking</i>	29
4.6	<i>Extensibility</i>	29
4.7	<i>Implementation details</i>	30
<b>5</b>	<b>Experimental Results</b>	<b>33</b>
<b>6</b>	<b>Conclusions</b>	<b>35</b>



# 1 Introduction

Code breaking games (also known as Deductive games or Searching games) are games for two players, in which the first, usually referred to as *the codemaker*, chooses a secret code from a given set, and the second, referred to as *the codebreaker*, strives to reveal the code by a series of experiments that give him partial information about the code.

The famous board game of Mastermind is a prominent example. ...

Another example is the Counterfeit coin problem, the problem of determining a counterfeit coin among authentic ones using just a balance scale. Here, the codemaker is not a real player. The balance scale takes his function and evaluates the experiments – weighings – performed by the codebreaker.

Numerous other examples can be found among various board games and logic puzzles, some of which are presented in [Chapter 2](#).

Although Mastermind and the Counterfeit coin problem have been subjected to heavy research, few have been written about Code Breaking Games in general. Some authors suggested general methods (and applied them one of the games), some vaguely stated that their approach can be applied to other games of this kind but, to the best of our knowledge, no one has tried to formalize and give some general results for these games in general.

Here comes this thesis to fill the gap. We develop a formalism ...



## 2 Studied Games and Known Results

We introduce a few examples of code-breaking games in this chapter. The Counterfeit Coin problem and Mastermind game are quite well known, the other examples are based on various board games or less known logic puzzles. We briefly summarize related research for each game, discuss its variations and applications and give a list of references.

Our goal in this work is neither to answer the research questions nor to study possible generalizations. We aim to create a general formalism and a computer language which could be used to describe arbitrary code-breaking game, if possible. This chapter provides an overview of what we had in mind when we designed the framework and the language described in the rest of the thesis.

### 2.1 The Counterfeit Coin

The problem of finding a counterfeit coin among regular coins in the fewest number of weighings on a pair of scales balance is a folklore of recreational mathematics.

In all problems of this kind, you can use the scales only to weigh the coins. You put some coins on the left pan, the same number of coins on the right pan and get one of the 3 possible outcomes. Either both the sides weigh the same (denoted “=”) or the left side is lighter (“<”), or the right side is lighter (“>”). The standard, easiest version can be formulated as follows.



Figure 2.1: Balance scales (illustrative image)<sup>1</sup>.

**Problem 1 (The nine coin problem).** *You are given  $n \geq 3$  (typically 9) coins, all except one have the same weight. The counterfeit coin is known to be lighter. Determine the coin in the minimal number of weighings.*

This problem is very easy as one can use *ternary search* algorithm. In short, we divide the coins into thirds, put one third against another on scales. If both sides weigh the same, the counterfeit coin must be in the last third, otherwise it must be in the lighter third. In this way, the size of the search space reduces by a factor of 3 in each step, which is clearly optimal.

In 1940s, a more complicated version was introduced by Grossman[1].

**Problem 2 (The Twelve Coin Problem).** *You are given  $n \geq 3$  (typically 12) coins, exactly one of which is counterfeit, but it is not known if it is heavier or*

---

1. Image adopted from <http://pixabay.com/en/justice-silhouette-scales-law-147214>, under CC0 1.0 License.

lighter. Determine the unique coin and its weight relative to others in the minimal number of weighings.

The optimal solution for  $n = 12$  requires 3 weighings and one of the optimal strategies is shown in Figure 2.2 as a decision tree.

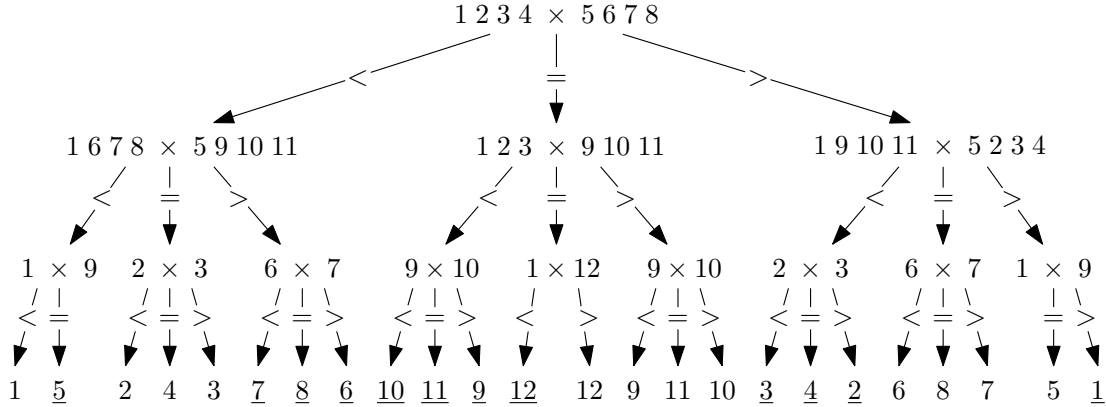


Figure 2.2: Decision tree for The Twelve Coin Problem.

Leaf  $x$  means that the coin number  $x$  is lighter,  $\underline{x}$  means that the coin number  $x$  is heavier.

### Known results

The research usually focuses on bounds on the maximal value of  $n$  for which the problem can be solved in  $w$  weighings, for a given  $w$ . Thus a solution of a problem is usually formulated as a theorem like the following one.

**Theorem 3 (Dyson, [2]).** *There exists a scheme that determines the counterfeit coin and its type in Problem 2 with  $w$  weighings, if and only if*

$$3 \leq n \leq \frac{3^w - 3}{2}.$$

*Proof.* We show the main part of the original Dyson's proof[2] here because of its elegant combinatorial idea. We show a scheme for  $n = \frac{1}{2}(3^w - 3)$ .

Let us number the coins from 1 to  $n$ . To a coin number  $i$ , we assign two labels from  $\{0, 1, 2\}^w$  – those corresponding the numbers  $i$  and  $3^w - 1 - i$  in ternary form. Notice that all possible labels are used exactly once, except for  $0^w, 1^w$  and  $2^w$ , which were not assigned to any coin. The labeling has the property that you can get one label of a coin from the other by substituting 0 by 2 and 2 by 0.

A label is called “clockwise” if the first change of digit in it is the change from 0 to 1, from 1 to 2, or from 2 to 0. Otherwise, it is called “anticlockwise”. Thanks

to the property we mentioned, one of the labels of a coin is always clockwise and the other is anticlockwise.

Let  $C(i, d)$  be a set of coins such that  $i$ -th symbol in its clockwise label is  $d$ . Since a permutation changing 0 to 1, 1 to 2 and 2 to 0 transfers coins from  $C(i, 0)$  to  $C(i, 1)$ , from  $C(i, 1)$  to  $C(i, 2)$  and from  $C(i, 2)$  to  $C(i, 0)$ , all the sets  $C(i, d)$  contain exactly  $n/3$  coins. Now, let  $i$ -th experiment be the weighing of the coins  $C(i, 0)$  against  $C(i, 2)$ . It remains to show that the experiments uniquely determine the counterfeit coin. Let  $a_i$  be 0, 1, or 2 if the result of  $i$ -th experiment is left side is lighter, both are the same, or right side is lighter, respectively.

If the counterfeit code is overweight, the  $i$ -th symbol of its clockwise label must be  $a_i$ . On the other hand, if it is underweight, the  $i$ -th symbol of its anticlockwise label must be  $a_i$ . The solution of the problem is therefore the coin with the label  $a_1 a_2 \dots a_w$  and is heavier than others if and only if this label is clockwise. **Figure 2.3** shows an example of the construction for  $n = 12 = \frac{1}{2}(3^3 - 3)$ , clockwise labels printed in bold.

coin	label 1	label 2	
1	<b>001</b>	221	Experiments:
2	002	<b>220</b>	
3	<b>010</b>	212	
4	<b>011</b>	211	1) 1, 3, 4, 5 $\times$ 2, 6, 7, 8
5	<b>012</b>	210	2) 1, 6, 7, 8 $\times$ 2, 9, 10, 11
6	020	<b>202</b>	3) 2, 3, 8, 11 $\times$ 5, 6, 9, 12
7	021	<b>201</b>	Solution:
8	022	<b>200</b>	
9	100	<b>122</b>	
10	101	<b>121</b>	the coin labelled $a_1 a_2 a_3$ , where $a_i$ is the outcome of $i$ -th experiment.
11	102	<b>120</b>	
12	110	<b>112</b>	

Figure 2.3: Demonstration of the ternary label construction for  $n = 12$ .

The case  $n < \frac{1}{2}(3^w - 3)$  can be done similarly with some modifications to the labeling. However, the scheme makes use of a genuine coin that was discovered in the first weighing and, therefore, the following experiments depend on the outcome of the first. Finally, the proof that the coin cannot be detected for  $n > \frac{1}{2}(3^w - 3)$  can be done using information theory. ■

## Generalizations and related research

Naturally, the problem was generalized in various ways and studied by many authors. In “Coin-Weighing Problems”[3], Guy and Nowakowski gave a great overview of the research in the area until 1990s with an extensive list of references. We list the most interesting variations and generalizations below.

**Weight of counterfeit coin.** Either it is known whether the counterfeit coin is lighter or heavier, or it is not. The first one allows for more generalizations due to its simpler nature but both problems have been heavily researched.

**Number of counterfeit coins.** In the most common case, there is exactly one counterfeit coin, which allows for natural generalizations. First, a variation of [Problem 1](#) with 2 or 3 counterfeit coins was studied[\[4\]\[5\]](#), then with  $m$  counterfeit coins in general[\[6\]](#). Some authors studied the problem for unknown number of counterfeit coins [\[7\]](#), or for *at most*  $m$  counterfeit coins[\[8\]](#).

**Additional regular coin(s).** In some cases, it may help if you are given an additional coin (or more coins), which is guaranteed not to be counterfeit. For example, for  $n = 13$  in [Problem 2](#), you need 4 weighings. However, if you are given this one extra coin, you can determine the solve in just 3 weighings[\[2\]](#).

**Non-adaptive strategies.** In this popular variation of the problem you have to announce all experiments in advance and then just collect the result. In other words, later weighings must not depend on the outcomes of the earlier weighings. Notice that the scheme constructed in the proof of [Theorem 3](#) for  $n = \frac{1}{2}(3^w - w)$  is indeed non-adaptive. However, the original proof uses an adaptive scheme for a smaller  $n$ . This was later fixed, showing that there always exists an optimal scheme for [Problem 2](#) which is non-adaptive[\[9\]](#).

**Unreliable balance.** This generalization introduces the possibility that one (or more) answers may be erroneous. The problem of errors/lies in general deductive games is well studied, see [\[10\]](#). It was applied on the counterfeit coin problem ([Problem 1](#) variant) in [\[11\]](#) with at most one erroneous outcome or in [\[12\]](#) with two.

**Multi-arm balance.** In this variation, your balance has  $k$  arms. You put the same number of coins on every arm and you get either the information that all weigh the same or which arm is lighter or heavier than others[\[13\]](#).

**Parallel weighing.** In this generalization, you have 2 (or  $k$ , in general) balance scales, you can weigh different coins on the two scales simultaneously and it counts as one experiment only[\[14\]](#). The motivation here is that weighing takes significant time, you have more scales and strive to minimize the time the whole process takes.

## 2.2 Mastermind

*Mastermind* is a classical code-breaking board game for 2 players, invented by Mordecai Meirowitz in 1970. One player has the role of a *codemaker* and the other



of a *codebreaker*. First, the codemaker chooses a secret code of  $n$  colored pegs. Then a codebreaker tries to reveal the code by making guesses. The codemaker evaluates the guesses using black and white markers. Black markers correspond to positions at which the code and the guess matches, a white marker means that some color appears both in the code and in the guess, but at different positions. The markers in the answer are not ordered, so the codebreaker does not know, which marker correspond to which peg in the guess. Codebreaker's aim is to find out the code with minimal number of guesses.

More formally, let  $C$  be a set of colors of size  $c$ . Define a distance  $d : C^n \times C^n \rightarrow \mathbb{N}_0 \times \mathbb{N}_0$  of two color sequences by  $d(u, v) = (b, w)$ , where

$$b = |\{i \in \mathbb{N} \mid u[i] = v[i]\}|$$

$$w = \sum_{j \in C} \min(|\{i \mid u[i] = j\}|, |\{i \mid v[i] = j\}|) - b.$$

If the codemaker's secret code is  $h$  and the codebreaker's guess is  $g$ , the guess should be evaluated with  $b$  black pegs and  $w$  white pegs, where  $(b, w) = d(h, g)$ . Therefore, if the codebreaker have guessed  $g_1, g_2, \dots, g_k$  and the results were  $(b_1, w_1), \dots, (b_k, w_k)$ , the search space is reduced to codes

$$\{u \in C^n \mid \forall i \leq k. d(u, g_i) = (b_i, w_i)\}.$$

Another way of looking at the guess evaluation is using *maximal matching* of the pegs in the code  $h$  and the guess  $g$ . A matching is a set of pair-wise non-adjacent edges between pegs in the code (represented by  $(0, i)$  for  $1 \leq i \leq n$ ) and pegs in the guess (represented by  $(1, i)$  for  $1 \leq i \leq n$ ). Let  $M$  be a maximal matching such that

1. an edge connects only pegs of the same color, i.e. if  $((0, i), (1, i)) \in M$ , then  $h[i] = g[i]$ , and
2. if  $h[i] = g[i]$  then  $((0, i), (1, i)) \in M$ .

Maximal means that no edge can be added without breaking one of the conditions. The edges in  $M$  correspond to the markers in the response, a marker being black if and only if the corresponding edge connects  $(0, i)$  with  $(1, i)$  for some  $i$ .

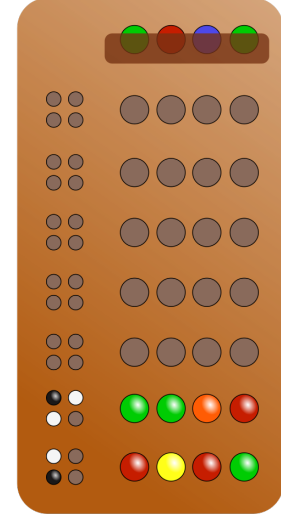


Figure 2.4: Mastermind game (illustrative image)<sup>2</sup>.

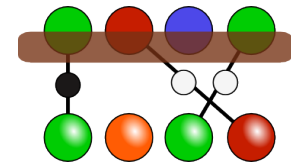


Figure 2.5: Guess evaluation by maximal matching.

2. Image adopted from [http://commons.wikimedia.org/wiki/File:Mastermind\\_beispiel.svg](http://commons.wikimedia.org/wiki/File:Mastermind_beispiel.svg), by Thomas Steiner under GFDL.

## Known results and related research

Much research has been done on this game, authors focusing on *exact values*, *asymptotics* (e.g. [15]), or computer generated strategies. One of the fundamental theoretical results is that *Mastermind satisfiability problem*, asking whether there exists at least one valid solution, given a set of guesses and their scores, is NP-complete[16].

When focusing on strategy synthesis, the goal is either to minimize *the maximal number of guesses* or *the expected number of guesses*, given that the code is selected from the set of possible codes with uniform distribution. These two problems are quite different and strategies performing well in one case may perform poorly in the other.

Knuth[17] proposes a strategy that chooses a guess that minimizes the maximal number of remaining possibilities over all possible responses by the codemaker. This strategy requires at most 5 guesses in the standard  $n = 4$ ,  $c = 6$  variant, which can be shown optimal. In the average case, the strategy makes 4.48 guesses.

Other authors proposed other *one-step look-ahead* strategies. Irving[18] suggested minimizing the expected number of remaining possibilities, Neuwirth[19] maximized the entropy of the number of possibilities in the next round. Much later, Kooi[20] came up with a simple strategy that maximizes the number of possible responses by the codemaker, which is computationally easier and performs better than the previous two.

Strategy	First guess	Expected-case	Worst-case
Maximal num.	AABB	4.476	5
Expected num.	AABC	4.395	6
Entropy	ABCD	4.416	6
Most parts	AABC	4.373	6
Exp-case optimal	AABC	4.340	6

Table 2.1: Comparison of one-step look-ahead strategies. Data from [21] and [20].

Using a backtracking algorithm, Koyama and Lai [22] found the optimal strategy for the expected case, which requires 4.34 guesses on average. The comparison of the described strategies is shown in [Table 2.1](#).

Apart from *one-step look-ahead* policies, which are, in general, computationally intensive and do not scale well for bigger  $n$  or  $c$ , other approaches were suggested. Many authors tried to apply genetics algorithms (see [23] for an exhaustive overview and references therein), other analyzed various heuristic methods (e.g. [24]).

## Variations and applications

**Bulls and Cows** is an old game with a principle very similar to Mastermind. The only difference is that it uses digits instead of colors and does not allow repetitions. Slovesnov wrote an exhaustive analysis of the problem, see [25].

**Static mastermind** is a variation of the game in which all guesses must be made at one go. The codebreaker prepares a set of guesses, then the codemakers evaluates all of them as usual and the codebreaker must determine the code from the outcomes. This variation was introduced by Chvátal[15] and partially solved (for  $n \leq 4$ ) by Goddard [26], proving that for 4 pegs and  $k$  colors, the optimal strategy uses  $k - 1$  guesses. Note that this corresponds to so-called *non-adaptive* strategies for the Counterfeit Coin problem.

**String matching**, also called *Mastermind with black-markers only* is a variation without white markers, i.e. you make guesses and the only information you get is the number of positions at which your guess is correct. This problem was already studied by Erdős [27], who gave some asymptotic results about the worst-case number of guesses. Later, this problem found an application in genetics with a need of methods to select a subset of genotyped individuals for phenotyping [28][29].

**Extended Mastermind** was introduced by Focardi and Luccio, who showed that it is strictly related to cracking bank PINs for accessing ATMs by so-called *decimalization attacks*[30]. In this variation, a guess is not just a sequence of colors, but a sequence of sets of colors. For example, if we have six colors  $\{A, B, C, D, E, F\}$  and the code is *AECA*, you can make a guess  $\{A\}, \{C, D, E\}, \{A, B\}, \{F\}$ , which will be awarded two black markers (for the first two positions) and one white marker (for *A* guessed at position 3).

## 2.3 Other Problems

### Black Box

Black Box is a code-breaking board game in which one player creates a puzzle by placing four marbles on a  $8 \times 8$  grid. The other player's goal is to discover their positions by the use of "rays". The codebreaker chooses a side of the grid and an exact row/column, in which the ray enters the grid (thus having 32 choices). For each ray, the codemaker announces the position, where the ray emerged from the grid, or says "hit", if the ray directly hit a marble[31].

The marbles interact with rays in three ways:

**Hit.** If a ray fired into the grid directly strikes a marble, the result is "hit" and the ray does not emerge from the box.

**Deflection.** If a ray does not directly strike a marble, but it should pass to one side of a marble, the ray is “deflected” and changes its direction by 90 degrees.

**Reflection.** If a ray should enter a cell with marbles on both sides, then it is “reflected” and returns back the same way it came. The same happens if a marble is at the edge of the grid and a ray is fired from a position next to it (so that it should be deflected even before entering the box according to the second rule).

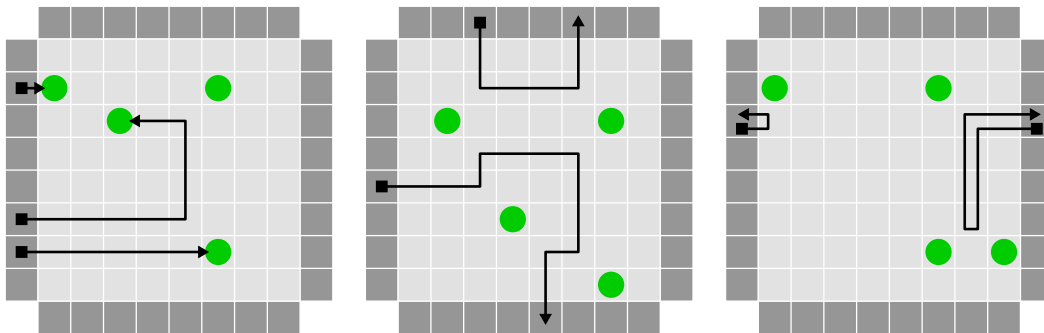


Figure 2.6: Illustration of the rules of Black Box game<sup>3</sup>.

A few examples are shown in Figure 2.6. The first image shows cases in which the ray hits the marble, the second shows rays deflected multiple times, emerging from the box in a different place, and the third demonstrates the two cases in which reflection happens.

Note that if the game is played with 5 or more marbles, they can be placed in the grid so that their position can not be uniquely determined. Figure 2.7 shows an example of such problematic configuration.

Although Black Box is an interesting example of a code breaking game, there are configurations for which the codebreaker has to fire a ray from all positions to discover the marbles (and, for 5 or more marbles, it may be even impossible), which makes the game uninteresting from a research point of view.

However, the game has become a popular puzzle for children and its principle was used in other board games such as *Laser maze*[32].

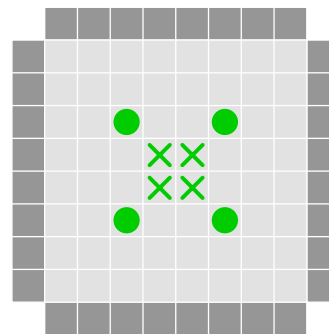


Figure 2.7: An example of ambiguous configuration<sup>3</sup>.

3. Images adopted from [http://en.wikipedia.org/wiki/Black\\_Box\\_\(game\)](http://en.wikipedia.org/wiki/Black_Box_(game)) under GFDL 1.2. with minor modifications.

### Code 777

During the board game named *Code 777*, players sit in a circle, each drawing three cards in the beginning. Players must not look at their own cards but they put them in a rack in front of them so that all other players can see them. Each card has one of seven colors and contains a number from one to seven. The goal of the game is to determine which cards you have, using questions like “Do you see more yellow sevens or blue fives?”, which the others answer[33].

We can reformulate this as a code-breaking game, in which a player receives some cards, each having several attributes, each of which can have multiple values. A player's goal is to determine his cards using questions like “Do I have more [A] or [B]”, where [A] and [B] are conditions on any subset of attributes. For example, if the attributes are number, color, and shape, one can ask “Do I have more triangles or green twos?”.

### Bags of Gold

Imagine you have 10 bags of gold coins and you know that all coins in one bag are the same. You were tipped off that some of the bags may contain counterfeit coins, which weigh 9 grams instead of 10 but are indistinguishable otherwise. You have a digital scale that can show you exact weight of a set of coins. How to find out which bags contain counterfeit coin in the minimal number of weighings? Suppose there is a sufficient number of coins in each bag.

In the old version of this riddle, the scale has unlimited capacity and there is only one bag of counterfeit coins. In that case, the secret can be determined in only one experiment. You take one coin from the first bag, two coins from the second and so on up to 10 coins from the last. You put all those 55 coins on the scale and, if they are all good, they weigh 550 grams. If the weight is by  $x$  grams lower, you know that there are  $x$  counterfeit coins in your set and, therefore, it is the  $x$ -th bag.

The game gets more interesting if the capacity of the scale is limited, or if we have more bags and the number of coins in them is limited. A special case, in which each bag contains only one coin is studied in [27], and is shown to be similar to the string matching problem (Mastermind with black-markers only). Otherwise, the game lives only in a form of a logic puzzle and, to the best of our knowledge, no general results have been made.



## 3 Formal model

### 3.1 Notation and Terminology

Let  $\text{Form}_X$  be the set of all propositional formulas over the set of variables  $X$ ;  $\text{Val}_X$  be the set of all valuations (boolean interpretation) of variables  $X$ . Formulas  $\varphi_0, \varphi_1 \in \text{Form}_X$  are (semantically) equivalent, written  $\varphi_0 \equiv \varphi_1$ , if  $v(\varphi_0) = v(\varphi_1)$  for all  $v \in \text{Val}_X$ . We say that  $v$  is a *model* of  $\varphi$  or that  $v$  *satisfies*  $\varphi$  if  $v(\varphi) = 1$ .

For a formula  $\varphi \in \text{Form}_X$ , let  $\#_X \varphi = |\{v \in \text{Val}_X \mid v(\varphi) = 1\}|$  be the number of models of  $\varphi$  (valuations satisfying  $\varphi$ ). We often omit the index  $X$  if it is clear from the context.

The set of all permutations of a set  $X$  (bijections  $X \rightarrow X$ ) is denoted by  $\text{Perm}_X$  and  $\text{id}_X$  is the identity permutation.

### 3.2 Formal definition

In this section, we formally define Code Breaking Games within the framework of propositional logic, where we represent the secret code as a valuation of propositional variables. The game is represented as a *set of variables*, *initial restriction* (a formula that is guaranteed to be satisfied), and a set of *possible experiments*. A finite set of possible *outcomes* is associated with each experiment. Outcome is a propositional formula that represents the partial information, which the codebreaker can gain from the experiment.

The number of experiments is typically very large (such as 36894 for the Counterfeit-coin Problem ??) but most of them have same structure and yield similar outcomes. Therefore we opt for a compact representation of an experiment as a pair (type of experiment, parametrization), where parametrization is a string over a defined alphabet. This whole idea is formalized below.

**Definition 4 (Code Breaking Game).** A *Code Breaking Game* is a septuple  $\mathcal{G} = (X, \varphi_0, T, \Sigma, E, F, \Phi)$ , where

- $X$  is a finite set of propositional variables,
- $\varphi_0 \in \text{Form}_X$  is a satisfiable propositional formula,
- $T$  is a finite set of types of experiments,
- $\Sigma$  is a finite alphabet,
- $E \subseteq T \times \Sigma^*$  is an *experiment* relation, and
- $F$  is a finite collection of functions of type  $\Sigma \rightarrow X$ ,
- $\Phi : T \rightarrow 2^{\text{PForm}_{X, F, \Sigma}}$  is an *outcome function* such that  $\Phi(t)$  is finite for any  $t \in T$ . Definition of  $\text{PForm}$  follows (Definition 5).

**Definition 5 (Parametrized formula).** A set of *parametrized formulas*  $\mathbf{PForm}_{X,F,\Sigma}$  is a set of all strings  $\psi$  generated by the following grammar:

$$\psi ::= x \mid f(\$n) \mid \psi \circ \psi \mid \neg\psi,$$

where  $x \in X$ ,  $f \in F$ ,  $n \in \mathbb{N}$ , and  $\circ \in \{\wedge, \vee, \Rightarrow\}$ . By  $\psi(p)$  we denote application of a parametrization  $p \in \Sigma^*$  on a formula  $\psi$ , which is defined recursively on the structure of  $\psi$  in the following way:

$$\begin{aligned} (x)(p) &= x, \\ (f(\$n))(p) &= f(p[n]), \\ (\psi_1 \circ \psi_2)(p) &= \psi_1(p) \circ \psi_2(p), \\ (\neg\psi)(p) &= \neg(\psi(p)). \end{aligned}$$

We use the special symbol  $\$$  in  $f(\$n)$  so that  $n$  cannot be mistaken for the argument of  $f$ , which is  $n$ -th symbol of the parametrization. Note that if  $f(\$n)$  appears in  $\psi$  and  $|p| < n$ , then  $\psi(p)$  is undefined.

For the sake of simplicity, let us denote the set of possible outcomes for an experiment  $e = (t, p) \in E$  by  $\Phi(e) = \{\psi(p) \mid \psi \in \Phi(t)\}$ .

The compact representation with parametrized formulas does not restrict the class of games that can fit this definition. If no two experiments can be united under the same type, every experiment can have its own type and allow only one possible parametrization.

**Definition 6 (Solving process).** An *evaluated experiment* is a pair  $(e, \varphi)$  such that  $\varphi \in \Phi(e)$ . Let us denote the set of evaluated experiments by  $\Omega$ . A *solving process* is a finite or infinite sequence of evaluated experiments.

For simplicity, we omit the brackets around the pairs and write

$$\lambda = e_1, \varphi_1, e_2, \varphi_2, \dots$$

Let

- $|\lambda|$  denote the length of the sequence,
- $\lambda(k) = e_k$  denote the  $k$ -th experiment,
- $\lambda[k] = \varphi_k$  denote the  $k$ -th outcome,
- $\lambda[1..k] = e_1, \varphi_1, \dots, e_k, \varphi_k$  denote the prefix of length  $k$ , and



- $\lambda\langle k \rangle = \varphi_0 \wedge \varphi_1 \wedge \dots \wedge \varphi_k$  denote the accrued knowledge after the first  $k$  experiments (including the initial restriction  $\varphi_0$ ). For finite  $\lambda$ , let  $\lambda\langle \rangle = \lambda\langle |\lambda| \rangle$  be the overall accrued knowledge.

We denote by  $\mathbf{Val}^* = \{v \in \mathbf{Val}_X \mid v(\varphi_0) = 1\}$  the set of valuations that satisfy  $\varphi_0$  and by  $\mathbf{Form}^* = \{\lambda\langle \rangle \mid \lambda \in \Omega^*\}$  the set of *reachable formulas*.

Let us now describe the course of the game in the defined terms. First, the codemaker choose a valuation  $v$  from  $\mathbf{Val}^*$ . Second, the codebreaker chooses a type  $t \in T$  and a parametrization  $p \in \Sigma^*$  such that  $(t, p) \in E$ . Third, the codemaker gives the codebreaker a formula  $\varphi \in \Phi((t, p))$ , which is satisfied by the valuation  $v$ . Then the evaluated experiment  $((t, p), \varphi)$  is appended to the (initially empty) solving process  $\lambda$  and they continue with the second step. The game continues until  $\#\lambda\langle \rangle = 1$ , which corresponds to the situation in which the codebreaker can uniquely determine the code.

So that the codemaker can always fulfill the third step, there must be a formula  $\varphi \in \Phi(e)$  satisfied by any valuation. Although it might make sense to allow multiple satisfied formulas, we restrict ourselves to games where the outcome is uniquely defined for given valuation.

**Definition 7 (Well-formed game).** A code-breaking game is *well-formed* if for all  $e \in E$ ,

$$\forall v \in \mathbf{Val}^*. \exists \text{ exactly one } \varphi \in \Phi(e) . v(\varphi) = 1$$

As the semantics of non-well-formed games is unclear, we focus only on well-formed games and, by default, we suppose a game to be well-formed if not stated otherwise.

**Example 8 (Fake-coin problem).** Fake-coin problem with  $n$  coins, one of which is fake, can be formalized as a code breaking game  $\mathcal{F}_n = (X, \varphi_0, T, \Sigma, E, F, \Phi)$ .

- $X = \{x_1, x_2, \dots, x_n, y\}$ ,  
 $\varphi_0 = \mathbf{Exactly}_1 \{x_1, \dots, x_n\}$ .  
 Intuitively, variable  $x_i$  tells weather the coin  $i$  is fake. Variable  $y$  tells weather it is lighter or heavier. Formula  $\varphi_0$  says that exactly one coin is fake.
- $T = \{w_2, w_4, \dots, w_n\}$ ,  
 $\Sigma = \{1, 2, \dots, n\}$ ,  
 $E = \bigcup_{1 \leq m \leq n/2} \{(w_{2m}, p) \mid p \in \{1, \dots, n\}^{2m}, \forall x \in X. \#_x(p) \leq 1\}$ .  
 There are  $n/2$  types of experiment – according to the number of coins we put on the weights. The alphabet contains natural numbers up to  $n$  and possible parametrizations for  $w_{2m}$  are strings of length  $2m$  with no repetitions.
- $F = \{f_x\}$ , where  $f_x(i) = x_i$  for  $1 \leq i \leq n$ ,  
 $\Phi(w_m) =$

$$\begin{aligned} & \{((f_x(\$1) \vee \dots \vee f_x(\$m)) \wedge \neg y) \vee ((f_x(\$m+1) \vee \dots \vee f_x(\$2m)) \wedge y), \\ & ((f_x(\$1) \vee \dots \vee f_x(\$m)) \wedge y) \vee ((f_x(\$m+1) \vee \dots \vee f_x(\$2m)) \wedge \neg y), \\ & \neg(f_x(\$1) \vee \dots \vee f_x(\$2m))\}. \end{aligned}$$

There are 3 possible outcomes of every experiment. First, the right side is heavier. This happens if the fake coin is lighter and it appears in the first half of the parametrization, or if it is heavier and it appears in the second half. Second, analogously, the left side is heavier. Third, the weights are balanced if the fake coin do not participate in the experiment.

**Example 9 (Fake-coin problem, alternative).** For demonstration purposes, here is another formalization of the same problem.  $\mathcal{F}'_n = (X, \varphi_0, T, \Sigma, E, F, \Phi)$ .

- $X = \{x_1, x_2, \dots, x_n, y_1, y_2, \dots, y_n\}$ ,  
 $\varphi_0 = \text{Exactly}_1 \{x_1, \dots, x_n, y_1, \dots, y_n\}$ .  
 Variable  $x_i$  tells that the coin  $i$  is lighter, variable  $y_i$  tells that the coin  $i$  is heavier. Formule  $\varphi_0$  says that exactly one coin is different.
- $T, \Sigma, E$  is defined as in [Example 8](#).
- $F = \{f_x, f_y\}$ , where  $f_x(i) = x_i$  and  $f_y(i) = y_i$  for  $1 \leq i \leq n$ ,  
 $\Phi(w_m) = \{((f_x(\$1) \vee \dots \vee f_x(\$m)) \vee (f_y(\$m+1) \vee \dots \vee f_y(\$2m)),$   
 $(f_y(\$1) \vee \dots \vee f_y(\$m)) \vee (f_x(\$m+1) \vee \dots \vee f_x(\$2m)),$   
 $\neg(f_x(\$1) \vee \dots \vee f_x(\$2m) \vee f_y(\$1) \vee \dots \vee f_y(\$2m))\}.$

**Example 10 (Mastermind).** Mastermind puzzle with  $n$  pegs and  $m$  colors can be formalized as a code breaking game  $\mathcal{M}_{n,m} = (X, \varphi_0, T, \Sigma, E, F, \Phi)$ .

- $X = \{x_{i,j} \mid 1 \leq i \leq n, 1 \leq j \leq m\}$ ,  
 $\varphi_0 = \bigwedge \{\text{Exactly}_1 \{x_{i,j} \mid 1 \leq j \leq m\} \mid 1 \leq i \leq n\}$ .  
 Variable  $x_{i,j}$  tells whether there is the color  $j$  at position  $i$ . Formula  $\varphi_0$  says that there is exactly one color at each position.
- $T = \{g\}$ ,  
 $\Sigma = C$ ,  
 $E = \{(g, p) \mid p \in \Sigma^n\}$ .  
 There is only one type of experiment, parametrization of which is any sequence of colors of length  $n$ .
- $F = \{f_1, \dots, f_n\}$ , where  $f_i(c) = x_{i,c}$  for  $1 \leq i \leq n$ ,  
 $\Phi(g) = \{\text{Outcome}(b, w) \mid 0 \leq b \leq n, 0 \leq w \leq n, b + w \leq n\}$ , where Outcome function is computed by the algorithm described below.

As described in the introduction of the Mastermind problem, the outcome corresponds to some maximal matching between the pegs in the code and in the guess. The idea here is to generate all matchings corresponding to a given outcome,

generate a formula that expresses validity of the matching for a given experiment and put them into a big disjunction.

The computation of Outcome  $(b, w)$  works as follows. First, we generate all the matchings. Let  $P = \{1, 2, \dots, n\}$  be the set of positions.

- Select  $B \subseteq P$  such that  $|B| = b$ . These are the positions at which the color in the code and in the guess matches and they correspond to the black markers.
- Select  $W \subseteq P \times P$  such that  $|W| = w$ ,  $p_1(W) \cap B = \emptyset$ , and  $p_2(W) \cap B = \emptyset$ , where  $p_1, p_2$  are the projections. These correspond to the white markers –  $(i, j) \in W$  means that the color at position  $i$  in the guess is at position  $j$  in the code.

Next, for each combination  $(B, W)$ , we generate a conjunction in the following way:

- For  $i \in B$ , we add  $f_i(\$i)$ .
- For  $(i, j) \in W$ , we add  $\neg f_i(\$i) \wedge f_j(\$i)$ .
- For  $(i, j) \in (P \setminus B \setminus p_1(W)) \times (P \setminus B \setminus p_2(W))$ , we add  $\neg f_j(\$i)$ . This guarantees that the matching is maximal.

The result is a disjunction of all these clauses, which effectively enumerates all the cases. For example, for  $n = 4$  the result of Outcome(1, 1) starts with

$$\begin{aligned} &(\neg f_0(\$0) \wedge \neg f_1(\$1) \wedge \neg f_1(\$2) \wedge \neg f_2(\$1) \wedge \neg f_2(\$2) \wedge f_3(\$3)) \vee (\neg f_0(\$0) \wedge \neg f_0(\$1) \wedge \neg f_0(\$2) \wedge \neg f_1(\$0) \wedge \neg f_1(\$1) \wedge \\ &\neg f_2(\$1) \wedge \neg f_2(\$2) \wedge f_3(\$3)) \vee (\neg f_0(\$0) \wedge \neg f_0(\$1) \wedge \neg f_0(\$2) \wedge \neg f_1(\$1) \wedge \neg f_1(\$2) \wedge \neg f_2(\$0) \wedge \neg f_2(\$2) \wedge f_3(\$3)) \vee \\ &(\neg f_0(\$0) \wedge \neg f_0(\$2) \wedge \neg f_1(\$0) \wedge \neg f_1(\$1) \wedge \neg f_2(\$0) \wedge \neg f_2(\$1) \wedge \neg f_2(\$2) \wedge f_3(\$3)) \vee (\neg f_0(\$0) \wedge \neg f_0(\$1) \wedge \neg f_1(\$1) \wedge \\ &\neg f_1(\$2) \wedge \neg f_2(\$0) \wedge \neg f_2(\$1) \wedge \neg f_2(\$2) \wedge f_3(\$3)) \vee (\neg f_0(\$0) \wedge \neg f_0(\$1) \wedge \neg f_1(\$0) \wedge \neg f_1(\$1) \wedge \neg f_2(\$2) \wedge f_3(\$3)) \vee \\ &(\neg f_0(\$0) \wedge \neg f_0(\$1) \wedge \neg f_1(\$0) \wedge \neg f_1(\$1) \wedge \neg f_1(\$2) \wedge \neg f_2(\$0) \wedge \neg f_2(\$2) \wedge f_3(\$3)) \vee (\neg f_0(\$0) \wedge \neg f_0(\$2) \wedge \neg f_1(\$1) \wedge \\ &\neg f_2(\$0) \wedge \neg f_2(\$2) \wedge f_3(\$3)) \vee (\neg f_0(\$0) \wedge \neg f_0(\$2) \wedge \neg f_1(\$0) \wedge \neg f_1(\$1) \wedge \neg f_1(\$2) \wedge \neg f_2(\$1) \wedge \neg f_2(\$2) \wedge f_3(\$3)) \vee \dots, \end{aligned}$$

and contains 24 clauses at the top level with 144 literals in total.

**Example 11 (Mastermind (alternative)).** For completeness, we show another way to formalize the Mastermind problem, which does not need algorithmic generation of the formulas. Let  $\mathcal{M}'_{n,m} = (X, \varphi_0, T, \Sigma, E, F, \Phi)$ .

- $X$  and  $\varphi_0$  is defined as in [Example 10](#).
- $T = \{g_{k_1, \dots, k_m} \mid k_i \in \{1, \dots, n\}, \sum_i k_i = n\}$ ,  
 $\Sigma = C$ ,  
 $E = \{(g_{k_1, \dots, k_m}, p) \mid p \in \Sigma^n, |\{i \mid p[i] = j\}| = k_j\}$ .

The type  $g_{k_1, \dots, k_m}$  covers all the guesses in which the number of  $j$ -colored pegs is  $k_j$ . Therefore, two guesses for which we use the same pegs (pegs are just shuffled) are of the same type, but if we change a peg for one with different color, it is other type of experiment.

- $F = \{f_1, \dots, f_n\}$ , where  $f_i(c) = x_{i,c}$  for  $1 \leq i \leq n$ ,

$$\Phi(g_{k_1, \dots, k_n}) = \left\{ \begin{array}{l} \text{Exactly}_b \{f_i(\$i) \mid 1 \leq i \leq n\} \wedge \\ \text{Exactly}_t \bigcup \{ \{ \text{AtLeast}_l(x_{1,j}, \dots, x_{n,j}) \mid 1 \leq l \leq k_j \} \mid 1 \leq j \leq m \} \\ \mid 0 \leq b \leq t, 0 \leq t \leq n \}. \end{array} \right. \quad (1)$$

$$\text{Exactly}_t \bigcup \{ \{ \text{AtLeast}_l(x_{1,j}, \dots, x_{n,j}) \mid 1 \leq l \leq k_j \} \mid 1 \leq j \leq m \} \quad (2)$$

$$\mid 0 \leq b \leq t, 0 \leq t \leq n \}.$$

Part (1) of the formula captures the number of the black markers. Part (2) captures the total number of markers. Indeed, we get  $k$  markers for color  $j$  if and only if  $k < k_j$  and there are at least  $k$  pegs of color  $j$  in the code, i.e. all the formulas  $\text{AtLeast}_i(x_{1,j}, \dots, x_{n,j})$  are satisfied for  $i \leq k$ . Note that since the number of pegs of each color is fixed by the type and we do not care about the exact positions, this part of the formula is not parametrized.

We do not provide the formal definition of other Code breaking Games presented in Chapter 2. However, a computer language for game specification that is based on this formalism is introduced in Chapter 4, and definition of all the games in this language can be found in ??.

### 3.3 Strategies

**Definition 12 (Strategy).** A *strategy* is a function  $\sigma : \Omega^* \rightarrow E$ , determining the next experiment for a given finite solving process.

A strategy  $\sigma$  together with a valuation  $v \in \text{Val}^*$  induce an infinite solving process

$$\lambda_v^\sigma = e_1, \varphi_1, e_2, \varphi_2, \dots,$$

where  $e_{i+1} = \sigma(e_1, \varphi_1, \dots, e_i, \varphi_i)$  and  $\varphi_{i+1} \in \Phi(e_{i+1})$  is such that  $v(\varphi_{i+1}) = 1$ , for all  $i \in \mathbb{N}$ . Note that thanks to the well-formed property, there is always exactly one such  $\varphi_{i+1}$ .

We define *length* of a strategy  $\sigma$  on a valuation  $v$ , denoted  $|\sigma|_v$ , as the smallest  $k \in \mathbb{N}_0$  such that  $\lambda_v^\sigma \langle k \rangle$  uniquely determines the code, i.e.

$$|\sigma|_v = \min \{k \in \mathbb{N}_0 \mid \# \lambda_v^\sigma \langle k \rangle = 1\}$$

The *worst-case number of experiments*  $\Lambda^\sigma$  of a strategy  $\sigma$  is the maximal length of the strategy on a valuation  $v$ , over all models  $v$  of  $\varphi_0$ , i.e.

$$\Lambda^\sigma = \max_{v \in \text{Val}^*} |\sigma|_v.$$

We say that a strategy  $\sigma$  *solves the game* if  $\Lambda^\sigma$  is finite. The game is *soluble* if there exists a strategy that solves the game.

The *average-case number of experiments*  $\Lambda_{\text{exp}}^\sigma$  of a strategy  $\sigma$  is the expected number of experiments if the code is selected from models of  $\varphi_0$  with uniform distribution, i.e.

$$\Lambda_{\text{exp}}^\sigma = \frac{\sum_{v \in \text{Val}^*} |\sigma|_v}{\#\varphi_0}.$$

**Definition 13 (Optimal strategy).** A strategy  $\sigma$  is *worst-case optimal* if  $\Lambda^\sigma \leq \Lambda^{\sigma'}$  for any strategy  $\sigma'$ . A strategy  $\sigma$  is *average-case optimal* if  $\Lambda_{\text{exp}}^\sigma \leq \Lambda_{\text{exp}}^{\sigma'}$  for any strategy  $\sigma'$ .

**Lemma 14.** Let  $b = \max_{t \in T} |\Phi(t)|$  be the maximal number of possible outcomes of an experiment. Then for every strategy  $\sigma$ ,

$$\Lambda^\sigma \geq \lceil \log_b(\#\varphi_0) \rceil.$$

*Proof.* Let us fix a strategy  $\sigma$  and  $k = \Lambda^\sigma$ . For an unknown model  $v$  of  $\varphi_0$ ,  $\lambda_v^\sigma(k)$  can take up to  $b^k$  different values. By pidgeon-hole principle, if  $\#\varphi_0 > b^k$ , there must be a valuation  $v$  such that  $\#\lambda_v^\sigma(k) > 1$ . This would be a contradiction with  $k = \Lambda^\sigma$  and, therefore,  $\#\varphi_0 \leq b^k$ , which is equivalent with the statement of the lemma. ■

**Lemma 15.** Let  $\sigma$  be a strategy and let  $v_1, v_2 \in \text{Val}^*$ . If  $v_1$  is a model of  $\lambda_{v_2}^\sigma(k)$ , then  $\lambda_{v_1}^\sigma[1..k] = \lambda_{v_2}^\sigma[1..k]$ .

*Proof.* Let  $\lambda_1 = \lambda_{v_1}^\sigma$ ,  $\lambda_2 = \lambda_{v_2}^\sigma$  and consider the first place where  $\lambda_1$  and  $\lambda_2$  differs. It cannot be an experiment  $\lambda_1(i) \neq \lambda_2(i)$  as they are both values of the same strategy on the same process:  $\lambda_1(i) = \sigma(\lambda_1[1..i-1]) = \sigma(\lambda_2[1..i-1]) = \lambda_2(i)$ . Suppose it is an outcome of the  $i$ -th experiment,  $\lambda_1[i] \neq \lambda_2[i]$  and  $i \leq k$ . Since  $v_1$  satisfies  $\lambda_2(k)$  and  $i \leq k$ , it satisfies  $\lambda_2[i]$  as well. However,  $v_1$  always satisfies  $\lambda_1[i]$  and both  $\lambda_1[i]$  and  $\lambda_2[i]$  are from the set  $\Phi(\lambda_1(i)) = \Phi(\lambda_2(i))$ . Since there is exactly one satisfied experiment for each valuation in the set,  $\lambda_1[i]$  and  $\lambda_2[i]$  must be the same. Contradiction. ■

**Example 16.** TODO: Příklad jednoduché hry, strategie, odhadu pomocí lematu, optimální strategie.

### Non-adaptive strategies

**Definition 17 (Non-adaptive strategy).** A strategy  $\sigma$  is *non-adaptive* if it decides the next experiment based on the length of the solving process only, i.e. whenever  $\lambda_1$  and  $\lambda_2$  are processes such that  $|\lambda_1| = |\lambda_2|$ , then  $\sigma(\lambda_1) = \sigma(\lambda_2)$ . Non-adaptive strategies can be seen as functions  $\tau : \mathbb{N}_0 \rightarrow E$ . Then  $\sigma(\lambda) = \tau(|\lambda|)$ .

Non-adaptive strategies corresponds to the well studied problems of static mastermind and non-adaptive strategies for the counterfeit coin problem ?? ?? . We mention them here just to show the possibility of formulating these problems in our framework but we do not study them any further.

### Memory-less strategies

**Definition 18 (Memory-less strategy).** A strategy  $\sigma$  is *memory-less* if it decides the next experiment based on the accumulated knowledge only, i.e. whenever  $\lambda_1$  and  $\lambda_2$  are processes such that if  $\lambda_1 \langle \rangle \equiv \lambda_2 \langle \rangle$  then  $\sigma(\lambda_1) = \sigma(\lambda_2)$ . Memory-less strategies can be considered as functions  $\tau : \mathbf{Form}^* \rightarrow E$  such that  $\varphi_1 \equiv \varphi_2 \Rightarrow \tau(\varphi_1) = \tau(\varphi_2)$ . Then  $\sigma(\lambda) = \tau(\lambda \langle \rangle)$ .

**Lemma 19.** Let  $\sigma$  be a memory-less strategy and  $v \in \mathbf{Val}^*$ . If there exists  $k \in \mathbb{N}$  such that  $\#\lambda_v^\sigma \langle k \rangle = \#\lambda_v^\sigma \langle k+1 \rangle$ , then  $\#\lambda_v^\sigma \langle k \rangle = \#\lambda_v^\sigma \langle k+l \rangle$  for any  $l \in \mathbb{N}$ .

*Proof.* For the sake of simplicity, let  $\alpha^k = \lambda_v^\sigma \langle k \rangle$ . There is a formula  $\varphi \in \Phi(\alpha^k)$ , such that  $\alpha^{k+1} \equiv \alpha^k \wedge \varphi$ . Therefore, if  $\alpha^{k+1}$  is satisfied by valuation  $v$ , so must be  $\alpha^k$ . Since  $\#\alpha^k = \#\alpha^{k+1}$ , the sets of valuations satisfying  $\alpha^k$  and  $\alpha^{k+1}$  are exactly the same and the formulas are thus equivalent. This implies  $\sigma(\alpha^k) = \sigma(\alpha^{k+1})$  and  $\alpha^{k+2} \equiv \alpha^{k+1} \wedge \varphi \equiv \alpha^{k+1}$ .

By induction,  $\sigma(\alpha^{k+l}) = \sigma(\alpha^k)$  and  $\alpha^{k+l} \equiv \alpha^k$  for any  $l \in \mathbb{N}$ . ■

**Lemma 20.** Let  $\sigma$  be a strategy. Then there exists a memory-less strategy  $\tau$  such that  $|\sigma|_v \geq |\tau|_v$  for all  $v \in \mathbf{Val}^*$ .

*Proof.* Let us choose any total order  $\varphi_1, \varphi_2, \dots$  of  $\mathbf{Form}^*$  such that if  $\varphi_i$  implies  $\varphi_j$ , then  $i \leq j$ . We build a sequence of strategies  $\sigma_0, \sigma_1, \sigma_2, \dots$  inductively in the following way. Let  $\sigma_0 = \sigma$ .

- If there is no  $v \in \mathbf{Val}^*, k \in \mathbb{N}_0$  such that  $\lambda_v^{\sigma_{i-1}} \langle k \rangle \equiv \varphi_i$ , select any  $e \in E$  and define  $\sigma_i$  by

$$\sigma_i(\lambda) = \begin{cases} \sigma_{i-1}(\lambda) & \text{if } \lambda \langle \rangle \not\equiv \varphi_i, \\ e & \text{if } \lambda \langle \rangle \equiv \varphi_i. \end{cases}$$

Clearly, all induced solving processes for  $\sigma_i$  and  $\sigma_{i-1}$  are the same and  $|\sigma_i|_v = |\sigma_{i-1}|_v$ .

- If there exists  $v \in \mathbf{Val}^*$ ,  $k \in \mathbb{N}_0$  such that  $\lambda_v^{\sigma_{i-1}} \langle k \rangle \equiv \varphi_i$ , choose the largest  $l$  such that  $\lambda_v^{\sigma_{i-1}} \langle l \rangle \equiv \varphi_i$  and define

$$\sigma_i(\lambda) = \begin{cases} \sigma_{i-1}(\lambda) & \text{if } \lambda \langle \rangle \not\equiv \varphi_i, \\ \lambda_v^{\sigma_{i-1}}(l) & \text{if } \lambda \langle \rangle \equiv \varphi_i. \end{cases}$$

First we prove that this definition is correct. Let  $v_1, v_2, k_1, k_2$  be such that  $\lambda_{v_1}^{\sigma_{i-1}} \langle k_1 \rangle \equiv \varphi_i \equiv \lambda_{v_2}^{\sigma_{i-1}} \langle k_2 \rangle$ . Take  $l_1, l_2$  as the largest numbers such that  $\lambda_{v_1}^{\sigma_{i-1}} \langle l_1 \rangle \equiv \varphi_i \equiv \lambda_{v_2}^{\sigma_{i-1}} \langle l_2 \rangle$ . Since  $v_1$  satisfies  $\lambda_{v_2}^{\sigma_{i-1}} \langle l_2 \rangle \equiv \varphi_i$ , then  $\lambda_{v_2}^{\sigma_{i-1}} [1..l_2] = \lambda_{v_1}^{\sigma_{i-1}} [1..l_2]$  by [Lemma 15](#). The same holds for  $l_1$  which means that  $l_1 = l_2$  and  $\lambda_{v_1}^{\sigma_{i-1}}(l_1) = \lambda_{v_1}^{\sigma_{i-1}}(l_2)$ , which proves that the definition of  $\sigma_i$  is independent of the exact choices of  $v$  and  $k$ .

Now  $|\sigma_i|_v = |\sigma_{i-1}|_v - (l - k)$ , where  $k$  and  $l$  is the smallest and the largest number such that  $\lambda_v^{\sigma_{i-1}} \langle k \rangle \equiv \varphi_i$  and  $\lambda_v^{\sigma_{i-1}} \langle l \rangle \equiv \varphi_i$ , respectively, because  $\lambda_v^{\sigma_{i-1}}(l) = \lambda_v^{\sigma_i}(k)$  and due to the ordering, the rest of the process is independent of the beginning.

The last strategy of the sequence is clearly memory-less and satisfies the condition in the lemma. ■

**Definition 21 (Greedy strategy).** Let  $f : \mathbf{Form}_X \rightarrow \mathbb{Z}$ . A memory-less strategy  $\sigma$  is  $f$ -greedy if for every  $\varphi \in \mathbf{Form}_X$  and  $e' \in E$ ,

$$\max_{\substack{\psi \in \Phi(\sigma(\varphi)) \\ SAT(\varphi \wedge \psi)}} f(\varphi \wedge \psi) \leq \max_{\substack{\psi \in \Phi(e) \\ SAT(\varphi \wedge \psi)}} f(\varphi \wedge \psi).$$

In words, a greedy strategy minimizes the value of  $f$  on the formula in the next step. We say  $\sigma$  is greedy if it is  $\#_X$ -greedy.

**Lemma 22.** Let  $b = \max_{t \in T} |\Phi(t)|$  be the maximal number of possible outcomes of an experiment. If for any  $\varphi \in \mathbf{Form}^*$ ,

$$\exists e. \max_{\psi \in \Phi(e)} \#(\varphi \wedge \psi) = \left\lceil \frac{\#\varphi}{b} \right\rceil,$$

then a greedy strategy  $\sigma$  is optimal and

$$\Lambda^\sigma = \lceil \log_b(\#\varphi_0) \rceil.$$

*Proof.* TODO: Napsat důkaz.

**Example 23.** Greedy strategies are optimal in the fake-coin game  $\mathcal{F}_n$ .

TODO: Napsat důkaz.

### 3.4 Symmetries in Code Braking Games

**Definition 24 (Symmetric experiment).** For an experiment  $e = (t, p)$  and a permutation  $\pi \in \text{Perm}_X$ , a  $\pi$ -symmetric experiment  $e^\pi = (t, p') \in E$  is an experiment of the same type such that  $\{\varphi^\pi \in \Phi(e)\} = \{\varphi \in \Phi(e^\pi)\}$ . Clearly, no such experiment may exists.

**Definition 25 (Symmetry group).** We define a *symmetry group*  $\Pi$  as the maximal subset of  $\text{Perm}_X$  such that for every  $\pi \in \Pi$  and for every experiment  $e \in E$ , there exists a  $\pi$ -symmetric experiment  $e^\pi$ .

**Definition 26 (Consistent strategy).** A memory-less strategy  $\sigma$  is *consistent* if and only if for every  $\varphi \in \text{Form}_X$  and every  $\pi \in \Pi$ , there exists  $\rho \in \Pi$  such that  $\varphi^\pi \equiv \varphi^\rho$  and  $\sigma(\varphi^\rho) = \sigma(\varphi)^\rho$ .

**Lemma 27.** *Let  $\sigma$  be a memory-less strategy. There exists a consistent memory-less strategy  $\tau$  such that  $|\sigma|_v \geq |\tau|_v$  for all  $v \in \text{Val}_X$  satisfying  $\varphi_0$ .*

*Proof.*

**Definition 28 (Experiment equivalence).** An experiment  $e_1 \in E$  is equivalent to  $e_2 \in E$  with respect to  $\varphi$ , written  $e_1 \cong_\varphi e_2$ , if and only if there exists a permutation  $\pi \in \Pi$  such that  $\{\varphi \wedge \psi \mid \psi \in \Phi(e_1)\} \equiv \{(\varphi \wedge \psi)^\pi \mid \psi \in \Phi(e_2)\}$ .

**Theorem 29.** *Let  $\sigma, \tau$  be two consistent memory-less strategies, such that  $\sigma(\varphi) \cong_\varphi \tau(\varphi)$  for any  $\varphi \in \text{Form}_X$ . There is a bijection  $f : \text{Val}_X \rightarrow \text{Val}_X$  such that  $|\sigma|_v = |\tau|_{f(v)}$ .*

*Proof.*

**Corollary 30.** *Let  $\sigma_1, \sigma_2$  be two consistent memory-less strategies, such that  $\sigma_1(\varphi) \cong_\varphi \sigma_2(\varphi)$  for any  $\varphi \in \text{Form}_X$ . Then  $\Lambda^{\sigma_1} = \Lambda^{\sigma_2}$  and  $\Lambda_{exp}^{\sigma_1} = \Lambda_{exp}^{\sigma_2}$ .*



## **3.5 Symmetry Breaking**

**Phase 1 - Interchangeable symbols**

**Phase 2 - Canonical Form of parametrization**

**Phase 3 - Canonical Form of formula graph**

**Comparison**



## 4 COBRA tool

The main part of our work was development a general tool for analysis of code breaking games. We named the tool COBRA, the COde-BReaking game Analyzer. Currently, it can read a game specification given in a special language, which we describe first. Then it can perform various tasks with the game, which are described in detail afterwards as *modes of operation*. In the end of this chapter, we describe the external tools and libraries COBRA uses, what we need them for, and some implementation details. **TODO: .. odůvodnit volby které jsme udělali (...)**

The source code of the tool, together with detailed documentation and specification of the games described in **Chapter 2** can be found as an **TODO: electronic attachment** to this thesis.

The tool was developed under GitHub<sup>1</sup>, so another way of obtaining the code is by cloning the git repository at <https://github.com/myreg/cobra>. This website also serves as a homepage of the project, and contains all related documents.

COBRA is available under *BSD 3-Clause License*<sup>2</sup>, text of which is a part of the source codes.

### 4.1 Input language

**TODO: First we describe the low-level language that is the input of our COBRA tool.**

---

1. <http://www.github.com>

2. <http://opensource.org/licenses/BSD-3-Clause>

## Low-level language

```
<code> ::= <line> | <code> <line>
<line> ::= VARIABLE ident | VARIABLES <ident-list> |
          RESTRICTION <formula> | ALPHABET <string-list> |
          MAPPING ident <ident-list> | EXPERIMENT string int |
          PARAMS-DISTINCT <int-list> | PARAMS-SORTED <int-list> |
          OUTCOME string <formula>
<formula> ::= ident | ( <formula> ) | ! <formula> |
             <formula> AND <formula> | <formula> OR <formula> |
             AND( <formula-list> ) | OR( <formula-list> ) |
             <formula> → <formula> | <formula> ← <formula> |
             <formula> ↔ <formula> | ident ( $ int ) |
             ATLEAST- int ( <formula-list> ) |
             ATMOST- int ( <formula-list> ) |
             EXACTLY- int ( <formula-list> )
<ident-list> ::= ident | <ident-list> , ident
<int-list> ::= int | <int-list> , int
<formula-list> ::= <formula> | <formula-list> , <formula>
<string-list> ::= string | <string-list> , string
```

## Python preprocessing

TODO: Lepsi generovat, based on python with extra function calls. TODO: example: MM

## 4.2 Basic usage

TODO: Cobra vs cobra backend. Flagy, specifikace strategií - rozšířitelnost. Volba backendu, time overview.

## 4.3 Modes of operation

### Overview mode

Prints masic statistics about the game and performs the well-formed check.  
TODO: How?

### Simulation mode

TODO: Interactive vs one-step look ahead strategy.

### Strategy analysis mode

Min-num.

Min-exp.

Entropy.

Fixed.

Most-parts.

### Optimal strategy mode

TODO: Bude?

## 4.4 SAT solving

TODO: incremental blah blah blah

### Transformation to CNF

TODO: tseitin TODO: tseitin expansion of Exactly et al.

## 4.5 Symmetry breaking

TODO: Bliss, vs saucy vs nauty

## 4.6 Extensibility

COBRA was designed as a universal tool that should be easy to extend with upcoming ideas, especially adding new strategies for analysis and new backend solvers.

If you want to analyze a new strategy, all you need to do is to implement a new function in `strategy.h/.cpp` file and add a corresponding entry to the **TODO: ...** table in the same file. The function would take a list of sensible experiments in the next step as a parameter and it only needs to return the index of the selected one.

If your strategy only maximizes or minimizes some metrics on the experiments, you can use a template provided.

For exact details, see the documentation in the file. **TODO: Example?**

If you want to try another SAT solver, or alter the algorithm for model counting, you can implement your own solver class that inherits from Solver and implements all the necessary methods. **TODO: Tohle je docela důležitý, chtělo by to pořádně říct, co se musí udělat.**

## 4.7 Implementation details

### Programming Language and Style

Since the problem we are trying to solve is very computationally demanding, we had to choose a high-performing programming language. The external tools we use, especially SAT solvers, are typically written in C/C++, so C++ was a natural choice for our tool. Cobra is written in the latest standard of ISO C++, namely C++11, which contains significant changes both in the language and in the standard libraries and, in our opinion, improves readability compared to previous versions.

We wanted the style of our code to be consistent and to usage of the language in the best manner possible according to industrial practice. From the wide range of style guides available online we chose *Google C++ Style Guide*[**google-style** ] and made the code compliant with all its rules except for a few exception. The only significant one of those are lambda functions, which are forbidden by the style guide due to various reasons, but we think they are more beneficial than harmful in this project.

### Compiler Requirements

The usage of a modern standard requires a modern compiler, which supports all the C++11 features we use. We recommend using standard **gcc**; you need version 4.8 or higher. For **clang**, you need version 3.2 or higher.

The tool is platform independent. We tested compilation and functionality on all three major operating systems, on Linux (Ubuntu 12.04), Mac OS X (10.9) and Windows (8.1).

### Unit testing

Unit testing has become a common part of software development process in the recent years. Correctness was a top priority during the development and unit tests

are a perfect way to capture potential programmer's error as soon as possible and avoid regression.

There is a lot of unit tests framework for C++. We focused on simplicity, minimal amount of work needed to add new tests and good assertion support, and opted for *Google Test*<sup>3</sup>.

All available tests are compiled and executed if you run `make test` in the root folder. This should serve as a basic sanity test and we highly recommend doing this in case anyone needs to change something in the code.

---

3. <https://code.google.com/p/googletest/>





## 5 Experimental Results



## 6 Conclusions



# Bibliography

## Counterfeit Coin

- [1] Howard D Grossman. “The twelve-coin problem”. In: *Scripta Mathematica* 11 (1945), pp. 360–363.
- [2] Freeman J Dyson. “1931. The Problem of the Pennies”. In: *The Mathematical Gazette* (1946), pp. 231–234.
- [3] Richard K Guy and Richard J Nowakowski. “Coin-weighing problems”. In: *American Mathematical Monthly* (1995), pp. 164–167.
- [4] Ratko Tošić. “Two counterfeit coins”. In: *Discrete Mathematics* 46.3 (1983), pp. 295–298.
- [5] Anping Li. “Three counterfeit coins problem”. In: *Journal of Combinatorial Theory, Series A* 66.1 (1994), pp. 93–101.
- [6] László Pyber. “How to find many counterfeit coins?” In: *Graphs and Combinatorics* 2.1 (1986), pp. 173–177.
- [7] Xiao-Dong Hu, PD Chen, and Frank K. Hwang. “A new competitive algorithm for the counterfeit coin problem”. In: *Information Processing Letters* 51.4 (1994), pp. 213–218.
- [8] Martin Aigner and Anping Li. “Searching for counterfeit coins”. In: *Graphs and Combinatorics* 13.1 (1997), pp. 9–20.
- [9] Axel Born, Cor AJ Hurkens, and Gerhard J Woeginger. “How to detect a counterfeit coin: Adaptive versus non-adaptive solutions”. In: *Information processing letters* 86.3 (2003), pp. 137–141.
- [11] A Pelc. “Detecting a counterfeit coin with unreliable weighings”. In: *Ars Combinatoria* 27 (1989), pp. 181–192.
- [12] Wen-An Liu, Qi-Min Zhang, and Zan-Kan Nie. “Searching for a counterfeit coin with two unreliable weighings”. In: *Discrete applied mathematics* 150.1 (2005), pp. 160–181.
- [13] Annalisa De Bonis, Luisa Gargano, and Ugo Vaccaro. “Optimal detection of a counterfeit coin with multi-arms balances”. In: *Discrete applied mathematics* 61.2 (1995), pp. 121–131.
- [14] Tanya Khovanova. “Parallel Weighings”. In: *arXiv preprint arXiv:1310.7268* (2013).

## Mastermind

- [15] Vasek Chvátal. “Mastermind”. In: *Combinatorica* 3.3-4 (1983), pp. 325–329.
- [16] Jeff Stuckman and Guo-Qiang Zhang. “Mastermind is NP-complete”. In: *arXiv preprint cs/0512049* (2005).
- [17] Donald E Knuth. “The computer as master mind”. In: *Journal of Recreational Mathematics* 9.1 (1976), pp. 1–6.
- [18] Robert W Irving. “Towards an optimum Mastermind strategy”. In: *Journal of Recreational Mathematics* 11.2 (1978), pp. 81–87.
- [19] E Neuwirth. “Some strategies for Mastermind”. In: *Zeitschrift für Operations Research* 26.1 (1982), B257–B278.
- [20] Barteld P Kooi. “Yet Another Mastermind Strategy.” In: *ICGA Journal* 28.1 (2005), pp. 13–20.
- [21] Geoffroy Ville. “An Optimal Mastermind (4, 7) Strategy and More Results in the Expected Case”. In: *arXiv preprint arXiv:1305.1010* (2013).
- [22] Kenji Koyama and Tony W Lai. “An optimal Mastermind strategy”. In: *Journal of Recreational Mathematics* 25.4 (1993), pp. 251–256.
- [23] Lotte Berghman, Dries Goossens, and Roel Leus. “Efficient solutions for Mastermind using genetic algorithms”. In: *Computers & operations research* 36.6 (2009), pp. 1880–1885.
- [24] Alexandre Temporel and Tim Kovacs. “A heuristic hill climbing algorithm for Mastermind”. In: *UKCI’03: Proceedings of the 2003 UK Workshop on Computational Intelligence, Bristol, United Kingdom*. 2003, pp. 189–196.
- [26] Wayne Goddard. “Static mastermind”. In: *Journal of Combinatorial Mathematics and Combinatorial Computing* 47 (2003), pp. 225–236.
- [28] Michael T Goodrich. “On the algorithmic complexity of the Mastermind game with black-peg results”. In: *arXiv preprint arXiv:0904.4911* (2009).
- [29] Julien Gagneur, Markus C Elze, and Achim Tresch. “Selective phenotyping, entropy reduction, and the mastermind game”. In: *BMC bioinformatics* 12.1 (2011), p. 406.
- [30] Riccardo Focardi and Flaminia L Luccio. “Guessing bank pins by winning a mastermind game”. In: *Theory of Computing Systems* 50.1 (2012), pp. 52–71.

## Other

- [10] Andrzej Pelc. “Searching games with errors—fifty years of coping with liars”. In: *Theoretical Computer Science* 270.1 (2002), pp. 71–109.

- [25] Alexey Slovesnov. *Search of optimal algorithms for bulls and cows game*. 2013. URL: <http://slovesnov.users.sourceforge.net/bullscows/bullscows.pdf> (visited on 04/20/2014).
- [27] Paul Erdős and Alfréd Rényi. *On two problems of information theory*. 1963. URL: [http://193.224.79.10/~p\\_erdos/1963-12.pdf](http://193.224.79.10/~p_erdos/1963-12.pdf) (visited on 04/20/2014).
- [31] Wikipedia. *Black Box (game)* — Wikipedia, The Free Encyclopedia. 2014. URL: [http://en.wikipedia.org/wiki/Black\\_Box\\_\(game\)](http://en.wikipedia.org/wiki/Black_Box_(game)) (visited on 04/20/2014).
- [32] Jonathan H. Liu. *Laser Maze: A Delightful Puzzle Game*. 2013. URL: <http://geekdad.com/2013/06/laser-maze> (visited on 04/20/2014).
- [33] Board game geek. *Code 777 (1985)*. URL: <http://boardgamegeek.com/boardgame/443/code-777> (visited on 04/20/2014).