DFAs

Greg Kobele

November 19, 2018

```
A DFA is a 5-tuple \langle Q, \Sigma, q_0, F, \delta \rangle consisting of
```

- 1. a set Q of states
- 2. a set Σ of symbols
- 3. a start state q_0
- 4. a set F of final states
- 5. and a (partial) function δ mapping state-symbol pairs to states

We can simply write this directly in Haskell.

```
type DFA state symb =
  ([state],[symb],state,[state],(state,symb) -> state)
```

Note that this is a definition of a *type synonym*; we have taken an already existing type, and given it a new name. Note further that we use *lists* in the Haskell definition to implement *sets*.

1 Some machines

Now that we have a *type* for (deterministic) finite state machines, we can define objects which have that type. We begin with a machine which rejects all words over the alphabet $\Sigma = \{a, b, c\}$ containing a b; in linguistic terms, it is a *constraint* against b.

```
notB :: DFA Int Char
notB = ([0,1],['a','b','c'],0,[0],deltaB)
where
   deltaB (0,'b') = 1
   deltaB (0,_) = 0
   deltaB (1,_) = 1
```

Our next machine recognizes strings over the alphabet $\Sigma = \{0,1\}$ containing both an *even* number of the letter θ and an *odd* number of the letter 1. However, instead of an alphabet of $\Sigma = \{0,1\}$, we will use Bool, with False standing for 0 and True for 1. That is, we will *represent* the input [1,0,0] as the list [True,False,False].

```
evenFoddT :: DFA String Bool
evenFoddT = (["ee","eo","oe","oo"],[False,True],"ee",["eo"],deltaE0)
where
    delta1 ("ee",False) = "oe"
    delta1 ("eo",False) = "oo"
    delta1 ("oo",False) = "ee"
    delta1 ("oo",False) = "eo"
    delta1 ("ee",True) = "eo"
    delta1 ("eo",True) = "ee"
    delta1 ("oo",True) = "oo"
    delta1 ("oo",True) = "oo"
    delta1 ("oo",True) = "oo"
```

2 Recognition

Recognition requires an implementation of the following mathematical condition:

```
A recognizes w iff \delta^*(q_0, w) \in F
```

This can be rendered almost verbatim in Haskell.

```
a@(qs,sigma,q0,fs,delta) 'recognizes' input =
  deltaStar (q0,input) 'elem' fs
  where
    deltaStar (q,[]) = q
    deltaStar (q,(b:bs)) = deltaStar ((delta (q,b)),bs)
```

In the above code, we used an as-pattern in the first argument of recognize. An as-pattern has the following syntax: var @ pattern, where var is a variable name, and pattern is any pattern. This allows us to give a name (var) to the entire argument, and at the same time break it down into subparts using pattern matching. In the code above, the name for the argument a was just used to enhance readability. In addition, the function deltaStar was defined locally to the function recognize in a where clause. This means that deltaStar is visible only inside of recognize, and is not defined in the global name space.

Somewhat unsurprisingly, the action of deltaStar is a common one, when dealing with lists: given a starting value (here q), walk through a list element by element, each time updating the current value somehow (here delta). This transforms a list of the form a:b:c:d:[] is to a sequence of function applications f (f (f i a) b) c) d where f is the $update\ rule$ and i the $initial\ value$. Going through a list one element at a time, updating the results, is called folding through a list, and depending on whether the initial value is combined first with the initial (leftmost) or final (rightmost) element. The behaviour of deltaStar is a $left\ fold$, and is implemented in Haskell as fold1. We can thus define deltaStar (internally to recognizes) using fold1 in the following way.

deltaStar (q,input) = foldl (curry delta) q input

This definition is complicated by the fact that delta is defined as taking both its arguments simultaneously (as a pair), instead of taking them one after the other. The function curry turns a function that wants its next two arguments simultaneously into one that expects them one after the other. Using the inverse of curry, called uncurry, we can simplify the definition of deltaStar somewhat.

deltaStar = uncurry \$ foldl (curry delta)

Note that delta needs to be curried, because foldl wants a function that takes arguments one after the other, and then the expression foldl (curry delta) is uncurried because deltaStar wants the next two arguments of this expression to be given simultaneously. The operator (\$) is simply an explicit representation of function application (so f a is the same thing as f \$ a), however, it has a very low precedence, which means roughly that Haskell tries to interpret the entirety of what comes to its right as the argument, and the entirety of what comes to its left as the function. This can allow us to save on parentheses, as the sequence of function applications f (g a) can be given as f \$ g a.

3 Revisiting partiality

We have been using an *implicit* representation of partiality; *delta* was just left undefined whenever it was, well, undefined! This can cause problems (run-time errors) in our programs, making their behaviour unpredictable. Here we reimplement machines so as to make the partiality of the transition function explicitly represented. We use for this a Maybe data type.

```
type DFA state symb =
  ([state],[symb],state,[state],(state,symb) -> Maybe state)
```

The type of DFA now says explicitly that the transition function will only maybe return a next state. This forces us to be more careful in functions that use DFAs, but guarantees that they will not crash our programs!

```
recognizes :: Eq q => DFA q s -> [s] -> Bool
a@(qs,sigma,q0,fs,delta) 'recognizes' input =
  case deltaStar (q0,input) of
  Nothing -> False
  Just q -> q 'elem' fs
  where
   deltaStar (q,[]) = Just q
  deltaStar (q,(b:bs)) =
   do
        q' <- delta (q,b)
        deltaStar (q',bs)</pre>
```

To deal with the possibility that delta returns nothing, deltaStar has been rewritten using do-notation. Inside a do-block, we extract the contents of a Maybe value, if any, by writing q' <- delta (q,b). If delta (q,b) is Just x, this extracts the state x and binds it to q'. If delta (q,b) is Nothing, the entire do-block returns Nothing. Now that deltaStar only maybe returns a state, we must deal with this possibility in the code. The code is written with an explicit case analysis (using the keywords case ... of).

We can redefine the previous machine accepting words with an even number of the letter θ , and an odd number of 1 (represented as truth values). We take advantage of the regularities in the transitions, and encode the states as pairs of boolean values, where a state (b,c) says whether we have seen an odd number of θ (if b == True), and whether we have seen an odd number of θ (c == True).

```
evenFoddT :: DFA (Bool, Bool) Bool
evenFoddT = (qE0, [False, True], (False, False), [(False, True)], deltaE0)
where
    qE0 = [(b,c) | b <- [False, True], c <- [False, True]]
    deltaE0 ((b,c), False) = Just (not b, c)
    deltaE0 ((b,c), True) = Just (b, not c)</pre>
```

¹The *do*-block is syntactic sugar (i.e. a pretty syntactic abbreviation) for the code: delta $(q,b) \gg q' \rightarrow deltaStar (q',bs)$, at which the entire thing might better be written in terms of foldl: deltaStar = uncurry \$ foldl (\accu i -> accu >= flip (curry delta) i).

4 Testing

We would like to be sure that our code does what we think it should (i.e., that I did not make a mistake while typing). One way to do this is to have a test suite! We can create a list of examples, and check whether our code performs correctly on these examples.

```
test1 = []
test2 = [True]
test3 = [False,True]
test4 = [False,False,True,False]
test5 = [False,False,False,True,False,False,True]
Haskell reports the following results:
*Main> evenFoddT 'recognizes' test1
False
*Main> evenFoddT 'recognizes' test2
True
*Main> evenFoddT 'recognizes' test3
False
*Main> evenFoddT 'recognizes' test4
True
*Main> evenFoddT 'recognizes' test5
False
```

Developing a good test suite is hard work! We need to make sure we pick good examples, which are diverse enough to instantiate all the difficulties of the problem. Of course, each program we attempt to write may have different bugs, and it is not clear that a static test suite will find all of them.

Another, 'fairer' way of testing our code is to generate random examples. We can leverage the functionality of the Test.QuickCheck module for this. First we create a property (a function from objects of interest to True or False).

```
prop_soundE0 :: [Bool] -> Property
prop_soundE0 s =
  acceptedE0 s ==> (evenFalse s && oddTrue s)
  where
    acceptedE0 = recognizes evenFoddT
    evenFalse = even . length . filter (not . id)
  oddTrue = odd . length . filter id
```

This property is True of a string accepted by evenFoddT if the string has an even number of the letter False and an odd number of True. We can define a related property of a string, which is had whenever a string with an even number of False and an odd number of True is accepted by evenFoddT

```
prop_completeE0 :: [Bool] -> Property
prop_completeE0 s =
  (evenFalse s && oddTrue s) ==> acceptedE0 s
  where
    acceptedE0 = recognizes evenFoddT
    evenFalse = even . length . filter (not . id)
    oddTrue = odd . length . filter id
```

Then we can pass these properties to the quickCheck function, to see whether 100 randomly generated inputs satisfy it.

```
*Main> quickCheck prop_soundE0
+++ OK, passed 100 tests.
*Main> quickCheck prop_completeE0
+++ OK, passed 100 tests.
```