## Additional PCA analysis

April 20, 2020

## 1 PCA of amplitude spectrum of LFP

One way to attempt to describe the differences in LFP when comparing different animals, or different regions of the BG, is using PCA.

For this purpose, a very large set of LFP was used. Specifically, a large set of channels from STR and GP were collected. These were split into smaller epochs. Using DFT, the amplitude spectrum for some frequencies of these epochs were produced. PCA was then applied to this large set of amplitude spectrum epochs.

This method produces a model to describe a lower-dimensional representation of a very large amount of possible LFP "states" in the patients. This enables a potentially more effective way of comparing different types of LFP.

## 1.1 Implementation

Several software functions were required to construct the model. These will be described in greater detail as they are used, for readability purposes.

```
[1]: cd ../utilities/
```

/home/gustav/Documents/DD142X/code/utilities

```
[2]: from features import ffv
from matlab_util import str_lfp, gp_lfp, has_str, has_gp
from plotting import rasterize, simultaneous_raster_withbounds

# For functionality of numpy, matplotlib, and sklearn, refer to their

→ respective documentation.

import numpy as np # https://numpy.org/
import matplotlib.pyplot as plt # https://matplotlib.org/
from sklearn.decomposition import PCA # https://scikit-learn.org/stable/index.

→ html
```

```
[3]: cd ../_data/matlabData
```

/home/gustav/Documents/DD142X/code/\_data/matlabData

```
[4]: files = !ls print(files)
```

```
['NPR040.c12.mat', 'NPR040.d12.mat', 'NPR052d.05.mat', 'NPR052e.10.mat', 'NPR064.b08.mat', 'NPR064.c09.mat', 'NPR065c.10.mat', 'NPR065e.03.mat', 'NPR-073.d04.mat', 'NPR-073.d08.mat', 'NPR-075.b11.mat', 'NPR-075.b13.mat', 'NPR-075.c013.mat', 'NPR-075.c08.mat', 'NPR-075.d07.mat', 'NPR-076.b05.mat', 'NPR-076.b09.mat', 'NPR-076.c09.mat', 'NPR-076.d07.mat', 'pjx289c.01.mat', 'pjx289e08.mat']
```

Epoch size was chosen to a somewhat arbitrary, but easily modifiable length. Sampling frequency for the data used in this project is 16 kHz.

The functions str\_lfp and gp\_lfp return all channel data from STR and GP channels of a file from the dataset used in this project as a two-dimensional numpy array, respectively. The ep parameter trims values from the tails of the channel data to ensure that the channels are resizable into the appropriate epoch size. The has\_str and has\_gp functions are to ensure the existence of such data in a file (the code fails to execute otherwise). Here, the data is then reshaped into epochs and collected into the complete str\_epochs and gp\_epochs arrays, respectively.

The print statements show the time represented by a certain epoch size and sampling frequency, and the total amount of epochs collected.

```
[5]: # Epoch size
     ep = 2 ** 12
     # Sampling frequency
     Fs = 16000
     print("Epoch length = " + str(1000 * ep / Fs) + "ms")
     str_epochs = np.concatenate([
         str_lfp(filename, ep).reshape((-1, ep))
         for filename in files
         if has_str(filename)
     ])
     gp epochs = np.concatenate([
         gp_lfp(filename, ep).reshape((-1, ep))
         for filename in files
         if has_gp(filename)
     ])
     print(str_epochs.shape)
     print(gp_epochs.shape)
```

```
Epoch length = 256.0ms (65575, 4096) (118153, 4096)
```

The next step is finding the amplitude spectrum of the epochs. This is the purpose of the ffv function. ffv is shorthand for "Fourier Feature Vector", a name of our choosing.

The amount\_steps variable is only for memory concerns. Attempting to use ffv on the entirety of our epoch set resulted in memory allocation issues. An attentive reader might notice that the use of this variable results in a few samples being lost. Due to the large amount of epochs available (>100 000), and the low amount of epochs lost due to integer rounding (<20), this was concidered inconsequential.

The lo and hi variables specify the bounds of the amplitude spectrum returned by ffv. With lo = 5 and hi = 45, no part of the amplitude spectrum for frequencies >5 Hz or <45 Hz will be returned. These bounds were chosen somewhat arbitrarily to extend slightly beyond the beta-range frequencies.

The fft\_n variable can be used to increase *fidelity*. In simple terms, a larger value for fft\_n causes ffv to return an amplitude spectrum with amplitude samples for a greater amount of frequencies within specified bounds.

One thing to note is that ffv returns not only the amplitude spectrum for each row in the input, but also a list of frequencies. Specifically, if spectrum, frequencies = ffv(...), and frequencies[idx] = f then spectrum[row, idx] is the amplitude for the frequency f for any epoch with index row in the input.

```
[6]: amount_steps = 10
     lo = 10
     hi = 45
     fft_n = 2 ** 13
     incr_str = int(str_epochs.shape[0] / amount_steps)
     incr_gp = int( gp_epochs.shape[0] / amount_steps)
     str ffv = [ffv(
         str epochs[idx * incr str : (idx + 1) * incr str],
         epoch_size = ep,
         lo = lo,
         hi = hi,
         fft_n = fft_n
     ) for idx in range(0, amount_steps)]
     gp_ffv = [ffv(
         gp_epochs[idx * incr_gp : (idx + 1) * incr_gp],
         epoch_size = ep,
         lo = lo,
         hi = hi,
         fft_n = fft_n
     ) for idx in range(0, amount_steps)]
     frqs = str_ffv[0][1]
     print(frqs)
```

```
[11.71875 13.671875 15.625 17.578125 19.53125 21.484375 23.4375 25.390625 27.34375 29.296875 31.25 33.203125 35.15625 37.109375 39.0625 41.015625 42.96875 44.921875]
```

With the index-to-frequency mappings saved in frqs, str\_ffv and gp\_ffv epoch data is collected into a usable format.

```
[7]: n_features = len(frqs)
str_ffv = np.array([part for part, _ in str_ffv]).reshape((-1, n_features))
gp_ffv = np.array([part for part, _ in gp_ffv]).reshape((-1, n_features))
all_ffv = np.concatenate((str_ffv, gp_ffv), axis = 0)
print(str_ffv.shape)
print(gp_ffv.shape)
print(all_ffv.shape)
(65570, 18)
```

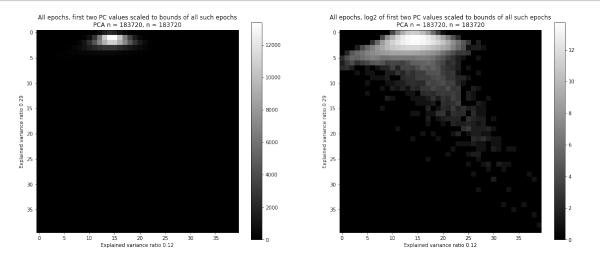
(65570, 18) (118150, 18) (183720, 18)

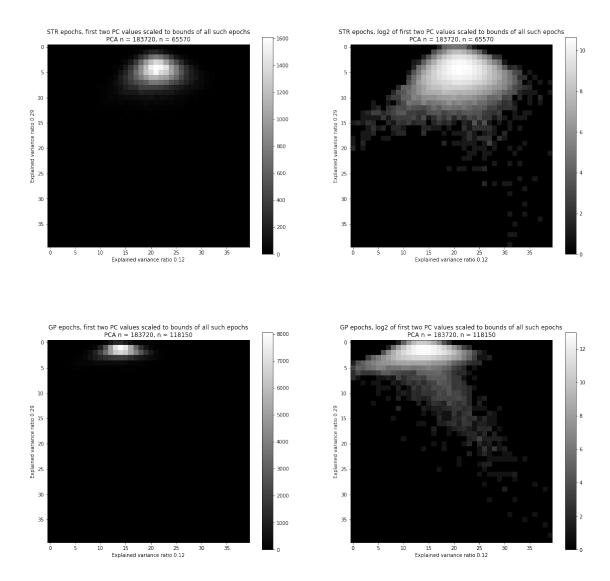
PCA is applied to all\_ffv, and representations of str\_ffv, gp\_ffv, and all\_ffv in terms of produced PC's are created. Some information regarding the effectiveness of the model is also printed.

```
Component 0 explains
                        29.08% variance.
                                                Sum = 0.29081261697887884
Component 1 explains
                        12.04% variance.
                                                Sum = 0.4112589046271417
Component 2 explains
                        10.2%
                                variance.
                                                Sum = 0.5132418021435623
Component 3 explains
                        7.98%
                               variance.
                                                Sum = 0.5930238506857056
Component 4 explains
                        7.08%
                                                Sum = 0.6638342386869471
                                variance.
Component 5 explains
                        6.53%
                               variance.
                                                Sum = 0.7291167733607984
Component 6 explains
                        4.74%
                               variance.
                                                Sum = 0.7764670914087252
Component 7 explains
                        4.1%
                                                Sum = 0.8174802608875701
                                variance.
Component 8 explains
                        3.28%
                                variance.
                                                Sum = 0.8502603135662823
Component 9 explains
                        2.93%
                                                Sum = 0.8795557250130781
                                variance.
```

The first two components explain >50% of variance. It's interesting to visualize the epochs under this transform. The rasterize function takes a two-dimensional numpy array, and selects the first two elements of each row for visualization. The rasterize function does not show actual value bounds for the data being shown, the bounds are relative within the dataset. It should be used with care.

```
[9]: raster_all = rasterize(pcs_all)
      raster_str = rasterize(pcs_str)
      raster_gp = rasterize(pcs_gp)
      x1var, x2var = pca_model.explained_variance_ratio_[0:2]
[10]: for raster, title_type, n_epochs in zip(
          [raster_all, raster_str, raster_gp],
          ["All epochs", "STR epochs", "GP epochs"],
          [all_ffv.shape[0], str_ffv.shape[0], gp_ffv.shape[0]]
      ):
          plt.figure(figsize = (20, 8))
          plt.subplot(1, 2, 1)
          plt.imshow(raster, cmap = 'gray')
          plt.title(title_type + ", first two PC values scaled to bounds of all such_
       \rightarrowepochs\n" + \
                    "PCA n = " + str(all_ffv.shape[0]) + ", n = " + str(n_epochs))
          plt.xlabel("Explained variance ratio " + str(round(x2var, 2)))
          plt.ylabel("Explained variance ratio " + str(round(x1var, 2)))
          plt.colorbar()
          plt.subplot(1, 2, 2)
          plt.imshow(np.log2(raster + 1), cmap = 'gray')
          plt.title(title_type + ", log2 of first two PC values scaled to bounds of_
       \rightarrowall such epochs\n" + \
                    "PCA n = " + str(all_ffv.shape[0]) + ", n = " + str(n_epochs))
          plt.xlabel("Explained variance ratio " + str(round(x2var, 2)))
          plt.ylabel("Explained variance ratio " + str(round(x1var, 2)))
          plt.colorbar()
          plt.show()
```





It is also possible to visualize STR and GP in the same image. Here a visualization where STR is shown in red and GP in blue is produced. In this example, the bounds are respected in the sense that their range is close to that of the original data.

```
[24]: pcs_str_gp_raster, pcs_str_gp_raster_log2 =

⇒simultaneous_raster_withbounds(pcs_str, pcs_gp, True)

for raster in [pcs_str_gp_raster, pcs_str_gp_raster_log2]:

raster[:,:,0] /= np.max(raster[:,:,0])

raster[:,:,2] /= np.max(raster[:,:,2])

plt.figure(figsize = (20, 5))

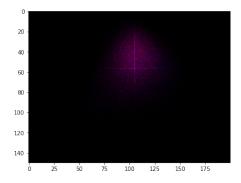
plt.subplot(1, 2, 1)

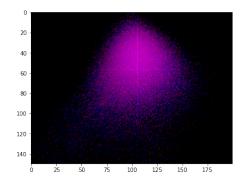
plt.imshow(pcs_str_gp_raster)
```

```
plt.subplot(1, 2, 2)
plt.imshow(pcs_str_gp_raster_log2)
plt.show()

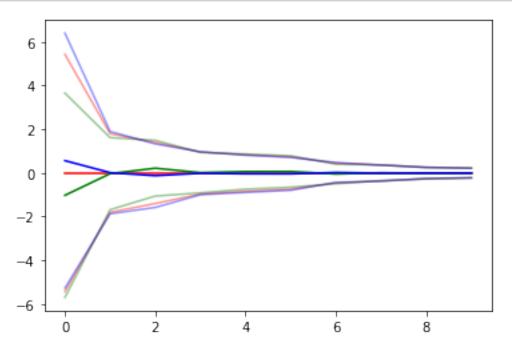
plt.figure(figsize = (20, 5))
plt.subplot(1, 2, 1)
plt.imshow(pcs_str_gp_raster[0 : 150, 0 : 200])
plt.subplot(1, 2, 2)
plt.imshow(pcs_str_gp_raster_log2[0 : 150, 0 : 200])
plt.show()
```







```
mad_ *= pca_model.explained_variance_ratio_
plt.plot(mean_, c)
plt.plot(mean_ + mad_, c, alpha = 0.4)
plt.plot(mean_ - mad_, c, alpha = 0.4)
plt.show()
```



```
[26]: for animal, c in zip(
          ["NPR064.c09.mat", "NPR-075.b11.mat", "NPR065c.10.mat"],
          ['r', 'g', 'b']
      ):
          data = np.concatenate((
              str_lfp(animal, epoch_size = ep), gp_lfp(animal, epoch_size = ep)
          ), axis = 0)
          ffvs, _ = ffv(
              data,
              epoch_size=ep,
              lo = lo,
              hi = hi,
              fft_n = fft_n
          pcs = pca_model.transform(ffvs.copy()).mean(axis = 0)
          mean_ = pcs.mean(axis = 0)
          mad_ = np.abs(pcs - mean_).mean(axis = 0)
          mean_ *= pca_model.explained_variance_ratio_
          mad_ *= pca_model.explained_variance_ratio_
          plt.plot(mean_, c)
```

```
plt.plot(mean_ + mad_, c, alpha = 0.4)
plt.plot(mean_ - mad_, c, alpha = 0.4)
plt.show()
```

