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| Technical Specification | |
| 3rd Generation Partnership Project;  Technical Specification Group Core Network and Terminals;  UICC-terminal interaction;  non-removable Universal Subscriber Identity Module (nrUSIM) application behavioural test specification;  (Release 17) | |
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Contents

Foreword 22

Introduction 23

1 Scope 24

2 References 24

3 Definitions of terms, symbols and abbreviations 26

3.1 Terms 26

3.2 Symbols 26

3.3 Abbreviations 27

3.4 Coding Conventions 27

3.5 Generic procedures for 5G‑NR, E‑UTRAN and NB‑IoT 27

3.6 Table of optional features 27

3.7 Applicability 29

3.7.1 Applicability to user equipment 29

3.7.2 Supported additional explicit verification methods 29

3.7.3 Applicability of the individual tests 30

3.8 Applicability table 31

4 Test environment 37

4.1.1 General test environment 37

4.1.2 Example - test environment for implicit testing 38

4.1.3 Example - test environment for contents verification 38

4.1.4 Example - test environment for seamless testing 39

4.1.5 Example – test environment for test toolkit events based testing 39

4.1.6 Example – test environment for UEs with ETSI eSSP/iSSP 40

4.2 Requirements to the EUT and the test environment 40

4.2.1 General Requirements 40

4.2.2 Requirements to the UE (EUT) – supported interfaces 41

4.2.3 Supported RATs 41

4.2.4 Initial and final procedure steps 41

4.3 Random value generation 41

4.4 Suitability assessment 42

4.4.1 Suitability assessment in present test procedures 42

4.4.2 Suitability assessment in test descriptions 42

4.4.3 Suitability assessment in the test protocol 42

4.4.4 Justification of additional measures 42

4.5 Definition of default values 43

4.5.1 Introduction 43

4.5.1.1 Installation, provisioning or modification methods for EFs and DFs 43

4.5.1.2 TS.48 Version and usage 43

4.5.2 Definition of the Default UICC 43

4.5.3 Definition of FDN UICC 44

4.5.4 Definition of E-UTRAN/EPC UICC 45

4.5.5 Definition of E-UTRAN/EPC ISIM-UICC 46

4.5.6 Definition of ACSGL/OCSGL E-UTRAN/EPC UICC 46

4.5.7 Definition of Non-Access Stratum Configuration UICC 52

4.5.8 Definition of Non-Access Stratum Configuration of E-UTRAN/EPC UICC 53

4.5.9 Definition of 5G-NR UICC 53

4.5.10 Definition of 5G-NR UICC – non-IMSI SUPI Type 55

4.5.11 Definition of 5G-NR UICC – support of Rel-16 features 57

4.5.12 Definition of 5G-NR UICC – support of Rel-17 features 60

4.5.13 Definition 5G-NR UICC – CAG support 60

4.6 Definition of default values for EFs commonly used with random value generation 61

4.6.1 EFIMSI with 3-digit MNC and random value 61

4.6.2 EFIMSI "short", with 3-digit MNC and random value 61

4.6.3 EFIMSI "short", with 2-digit MNC and random value 61

4.6.4 EFIMSI "long", with 3-digit MNC and random value 61

4.6.5 EFIMSI for SUCI calculation tests 62

4.6.6 EFIMSI for "change IMSI" tests 62

4.6.7 EFRouting\_Indicator with 2-digit MNC and random value 62

4.6.8 EFLOCI with "short" random TMSI value 62

4.6.9 EFLOCI with "long" random TMSI value 63

4.6.10 EFEPSLOCI 63

4.6.11 EFFDN 63

4.6.12 EFECC 64

5 Subscription related tests 65

5.1 IMSI/TMSI handling 65

5.1.1 UE identification by short IMSI 65

5.1.2 UE identification by short IMSI using a 2-digit MNC 65

5.1.3 UE identification by "short" TMSI 65

5.1.4 UE identification by "long" TMSI 65

5.1.5 UE identification by long IMSI, TMSI updating and key set identifier assignment 65

5.1.6 UE identification by short IMSI when accessing E-UTRAN/EPC 65

5.1.6.1 Definition and applicability 65

5.1.6.2 Conformance requirement 65

5.1.6.3 Test purpose 66

5.1.6.4 Method of test 66

5.1.6.4.1 Initial conditions 66

5.1.6.4.2 Procedure 66

5.1.6.4.2.1 Test procedure A 66

5.1.6.4.2.2 Test procedure B 67

5.1.6.5 Acceptance criteria 67

5.1.6.5.1 Acceptance criteria for Test Procedure A 67

5.1.6.5.2 Acceptance criteria for Test Procedure B 67

5.1.6.4.3 Common acceptance criteria 67

5.1.7 UE identification by short IMSI using a 2-digit MNC when accessing E-UTRAN/EPC 67

5.1.7.1 Definition and applicability 67

5.1.7.2 Conformance requirement 67

5.1.7.3 Test purpose 68

5.1.7.4 Method of test 68

5.1.7.4.1 Initial conditions 68

5.1.7.4.2 Procedure 68

5.1.7.4.2.1 Test procedure A 68

5.1.7.4.2.2 Test procedure B 69

5.1.7.4 Acceptance criteria 69

5.1.8 UE identification after changed IMSI with service "EMM Information" not available 69

5.1.8.1 Definition and applicability 69

5.1.8.2 Conformance requirement 69

5.1.8.3 Test purpose 70

5.1.8.4 Method of test 70

5.1.8.4.1 Initial conditions 70

5.1.8.4.2 Procedure 70

5.1.8.4.2.1 Test procedure A 70

5.1.8.4.2.2 Test procedure B 71

5.1.8.5 Acceptance criteria 71

5.1.9 UE identification by GUTI when using USIM with service "EMM Information" not available 72

5.1.10 UE identification by GUTI when using USIM with service "EMM Information" available 74

5.1.10.2 Conformance requirement 74

5.1.10.3 Test purpose 74

5.1.10.4 Method of test 74

5.1.10.4.1 Initial conditions 74

5.1.10.4.2 Procedure 75

5.1.10.4.2.1 Test procedure A 75

5.1.10.4.2.2 Test procedure B 75

5.1.10.4.2.3 Common final Test Procedure 75

5.1.10.5 Acceptance criteria 76

5.2 Access Control handling 76

5.2.1 Access Control information handling 76

5.2.2 Access Control information handling for E-UTRAN/EPC 76

5.2.2.1 Definition and applicability 76

5.2.2.2 Conformance requirement 76

5.2.2.3 Test purpose 77

5.2.2.4 Method of test 77

5.2.2.4.1 Initial conditions 77

5.2.2.4.2 Coding details 77

5.2.2.4.3 Procedure 78

5.2.2.5 Acceptance criteria 78

5.2.3 Access Control information handling for NB-IoT 78

5.2.3.1 Definition and applicability 78

5.2.3.2 Conformance requirement 78

5.2.3.3 Test purpose 78

5.2.3.4 Method of test 79

5.2.3.4.1 Initial conditions 79

5.2.3.4.2 Coding details 79

5.2.3.4.3 Procedure 79

5.2.3.5 Acceptance criteria 79

5.3 Handling subscription identifier privacy for 5G 79

5.3.1 SUCI calculation by ME using null scheme 79

5.3.1.1 Definition and applicability 79

5.3.1.2 Conformance requirement 80

5.3.1.3 Test purpose 80

5.3.1.4 Method of test 80

5.3.1.4.1 Initial conditions 80

5.3.1.4.2 Procedure 82

5.3.1.5 Acceptance criteria 82

5.3.2 SUCI calculation by ME using Profile B 82

5.3.2.1 Definition and applicability 82

5.3.2.2 Conformance requirement 82

5.3.2.3 Test purpose 83

5.3.2.4 Method of test 83

5.3.2.4.1 Initial conditions 83

5.3.2.4.2 Procedure 84

5.3.2.5 Acceptance criteria 84

5.3.3 UE identification by SUCI during initial registration – SUCI calculation by USIM using profile B 84

5.3.3.1 Definition and applicability 84

5.3.3.2 Conformance requirement 84

5.3.3.3 Test purpose 85

5.3.3.4 Method of test 85

5.3.3.4.1 Initial conditions 85

5.3.3.4.2 Procedure 86

5.3.3.5 Acceptance criteria 87

5.3.4 UE identification by SUCI in response to IDENTITY REQUEST message 87

5.3.4.1 Definition and applicability 87

5.3.4.2 Conformance requirement 87

5.3.4.3 Test purpose 88

5.3.4.4 Method of test 88

5.3.4.4.1 Initial conditions 88

5.3.4.4.2 Procedure 89

5.3.4.5 Acceptance criteria 89

5.3.5 UE identification by SUCI in response to IDENTITY REQUEST message with T3519 timer expiry 90

5.3.5.1 Definition and applicability 90

5.3.5.2 Conformance requirement 90

5.3.5.3 Test purpose 91

5.3.5.4 Method of test 91

5.3.5.4.1 Initial conditions 91

5.3.5.4.2 Procedure 92

5.3.5.5 Acceptance criteria 92

5.3.6 UE identification by SUCI in response to IDENTITY REQUEST message and AUTHENTICATION REJECT 93

5.3.6.1 Definition and applicability 93

5.3.6.2 Conformance requirement 93

5.3.6.3 Test purpose 94

5.3.6.4 Method of test 94

5.3.6.4.1 Initial conditions 94

5.3.6.4.2 Procedure 95

5.3.6.5 Acceptance criteria 95

5.3.7 SUCI calculation by the ME using null scheme – missing parameters for subscription identifier privacy support by the USIM 95

5.3.7.1 Definition and applicability 95

5.3.7.2 Conformance requirement 96

5.3.7.3 Test purpose 96

5.3.7.4 Method of test 96

5.3.7.4.1 Initial conditions 96

5.3.7.4.2 Procedure 97

5.3.7.5 Acceptance criteria 97

5.3.8 UE identification by 5G-GUTI – Last Registered TAI stored on USIM 97

5.3.8.1 Definition and applicability 97

5.3.8.2 Conformance requirement 97

5.3.8.3 Test purpose 98

5.3.8.4 Method of test 98

5.3.8.4.1 Initial conditions 98

5.3.8.4.2 Procedure 98

5.3.8.5 Acceptance criteria 99

5.3.9 UE identification by 5G-GUTI – Last Registered TAI stored by ME 99

5.3.9.1 Definition and applicability 99

5.3.9.2 Conformance requirement 99

5.3.9.3 Test purpose 100

5.3.9.4 Method of test 100

5.3.9.4.1 Initial conditions 100

5.3.9.4.2 Procedure 100

5.3.9.5 Acceptance criteria 101

5.3.10 UE identification after SUPI is changed 101

5.3.10.1 Definition and applicability 101

5.3.10.2 Conformance requirement 101

5.3.10.3 Test purpose 102

5.3.10.4 Method of test 102

5.3.10.4.1 Initial conditions 102

5.3.10.4.2 Procedure 102

5.3.10.5 Acceptance criteria 102

5.3.11 SUCI calculation by ME using Profile A 103

5.3.11.1 Definition and applicability 103

5.3.11.2 Conformance requirement 103

5.3.11.3 Test purpose 103

5.3.11.4 Method of test 103

5.3.11.4.1 Initial conditions 103

5.3.11.4.2 Procedure 104

5.3.11.5 Acceptance criteria 104

5.3.12 UE identification by SUCI during initial registration – SUCI calculation by USIM using profile A 105

5.3.12.1 Definition and applicability 105

5.3.12.2 Conformance requirement 105

5.3.12.3 Test purpose 105

5.3.12.4 Method of test 105

5.3.12.4.1 Initial conditions 105

5.3.12.4.2 Procedure 106

5.3.12.5 Acceptance criteria 107

5.3.13 SUCI calculation by ME using null scheme– no Protection Scheme Identifier provisioned in the USIM 107

5.3.13.1 Definition and applicability 107

5.3.13.2 Conformance requirement 107

5.3.13.3 Test purpose 107

5.3.13.4 Method of test 108

5.3.13.4.1 Initial conditions 108

5.3.13.4.2 Procedure 108

5.3.13.5 Acceptance criteria 108

5.3.14 SUCI calculation by ME using null scheme – no Home Network Public Key for supported protection scheme provisioned in the USIM 109

5.3.14.1 Definition and applicability 109

5.3.14.2 Conformance requirement 109

5.3.14.3 Test purpose 109

5.3.14.4 Method of test 109

5.3.14.4.1 Initial conditions 109

5.3.14.4.2 Procedure 110

5.3.14.5 Acceptance criteria 110

5.3.15 SUCI calculation by ME using null scheme with the E-UTRAN/EPC UICC 110

5.3.15.1 Definition and applicability 110

5.3.15.2 Conformance requirement 110

5.3.15.3 Test purpose 111

5.3.15.4 Method of test 111

5.3.15.4.1 Initial conditions 111

5.3.15.4.2 Procedure 111

5.3.15.5 Acceptance criteria 111

5.3.16 SUCI calculation by ME using the lower priority protection scheme when the higher priority protection scheme is not supported by the ME 112

5.3.16.1 Definition and applicability 112

5.3.16.2 Conformance requirement 112

5.3.16.3 Test purpose 112

5.3.16.4 Method of test 113

5.3.16.4.1 Initial conditions 113

5.3.16.4.2 Procedure 114

5.3.16.5 Acceptance criteria 114

5.3.17 SUCI calculation by ME using Profile B with compressed Home Network Public Key 115

5.3.17.1 Definition and applicability 115

5.3.17.2 Conformance requirement 115

5.3.17.3 Test purpose 115

5.3.17.4 Method of test 115

5.3.17.4.1 Initial conditions 115

5.3.17.4.2 Procedure 117

5.3.17.5 Acceptance criteria 117

5.4 Unified Access Control information handling for 5G-NR 117

5.4.1 Unified Access Control – Access identity 0, no access identities indicated by USIM 117

5.4.1.1 Definition and applicability 117

5.4.1.2 Conformance requirement 118

5.4.1.3 Test purpose 118

5.4.1.4 Method of test 118

5.4.1.4.1 Initial conditions 118

5.4.1.4.2 Test sequence settings 119

5.4.1.4.3 Procedure 119

5.4.1.5 Acceptance criteria 120

5.4.1A Unified Access Control – Access identity 0, no access identities indicated by USIM, Access Category 8 120

5.4.1A.1 Definition and applicability 120

5.4.1A.2 Conformance requirement 120

5.4.1A.3 Test purpose 121

5.4.1A.4 Method of test 121

5.4.1A.4.1 Initial conditions 121

5.4.1A.4.2 Test sequence settings 122

5.4.1A.4.3 Procedure 122

5.4.1A.5 Acceptance criteria 123

5.4.2 Unified Access Control – Access Identity 1 – MPS indicated by USIM 123

5.4.2.1 Definition and applicability 123

5.4.2.2 Conformance requirement 123

5.4.2.3 Test purpose 124

5.4.2.4 Method of test 124

5.4.2.4.1 Initial conditions 124

5.4.2.4.2 Test sequence settings 125

5.4.2.4.3 Procedure 125

5.4.2.5 Acceptance criteria 126

5.4.2A Unified Access Control – Access Identity 1 – MPS indicated by USIM, Access Category 8 126

5.4.2A.1 Definition and applicability 126

5.4.2A.2 Conformance requirement 127

5.4.2A.3 Test purpose 127

5.4.2A.4 Method of test 128

5.4.2A.4.1 Initial conditions 128

5.4.2A.4.2 Test sequence settings 129

5.4.2A.4.3 Procedure 129

5.4.2A.5 Acceptance criteria 129

5.4.3 Unified Access Control – Access Identity 1 – no MPS indication by USIM and SUPI not changed 130

5.4.3.1 Definition and applicability 130

5.4.3.2 Conformance requirement 130

5.4.3.3 Test purpose 131

5.4.3.4 Method of test 131

5.4.3.4.1 Initial conditions 131

5.4.3.4.2 Test sequence settings 132

5.4.3.4.3 Procedure 133

5.4.3.5 Acceptance criteria 133

5.4.4 Unified Access Control – Access Identity 1 – no MPS indication by USIM and SUPI is changed 134

5.4.4.1 Definition and applicability 134

5.4.4.2 Conformance requirement 134

5.4.4.3 Test purpose 135

5.4.4.4 Method of test 135

5.4.4.4.1 Initial conditions 135

5.4.4.4.2 Test sequence settings 136

5.4.4.4.3 Procedure 136

5.4.4.5 Acceptance criteria 137

5.4.5 Unified Access Control – Access Identity 2 – MCS indicated by USIM 138

5.4.5.1 Definition and applicability 138

5.4.5.2 Conformance requirement 138

5.4.5.3 Test purpose 138

5.4.5.4 Method of test 139

5.4.5.4.1 Initial conditions 139

5.4.5.4.2 Test sequence settings 139

5.4.5.4.3 Procedure 140

5.4.5.5 Acceptance criteria 141

5.4.5A Unified Access Control – Access Identity 2 – MCS indicated by USIM 141

5.4.5A.1 Definition and applicability 141

5.4.5A.2 Conformance requirement 141

5.4.5A.3 Test purpose 142

5.4.5A.4 Method of test 142

5.4.5A.4.1 Initial conditions 142

5.4.5A.4.2 Test sequence settings 143

5.4.5A.4.2 Procedure 143

5.4.5A.5 Acceptance criteria 144

5.4.6 Unified Access Control – Access Identity 2 – no MCS indication by USIM and SUPI is not changed 144

5.4.6.1 Definition and applicability 144

5.4.6.2 Conformance requirement 145

5.4.6.3 Test purpose 145

5.4.6.4 Method of test 146

5.4.6.4.1 Initial conditions 146

5.4.6.4.2 Test sequence settings 147

5.4.6.4.3 Procedure 147

5.4.6.4.3 Acceptance criteria 148

5.4.7 Unified Access Control – Access Identity 2 – no MCS indication by USIM and SUPI is changed 148

5.4.7.1 Definition and applicability 148

5.4.7.2 Conformance requirement 149

5.4.7.3 Test purpose 149

5.4.7.4 Method of test 150

5.4.7.4.1 Initial conditions 150

5.4.7.4.2 Test sequence settings 151

5.4.7.4.3 Procedure 151

5.4.7.5 Acceptance criteria 152

5.4.8 Unified Access Control – Access Identities 11 and 15 indicated by USIM 152

5.4.8.1 Definition and applicability 152

5.4.8.2 Conformance requirement 152

5.4.8.3 Test purpose 153

5.4.8.4 Method of test 153

5.4.8.4.1 Initial conditions 153

5.4.8.4.2 Test sequence settings 154

5.4.8.4.3 Procedure 155

5.4.8.5 Acceptance criteria 155

5.4.8A Unified Access Control – Access Identities 11 and 15 indicated by USIM, Access Category 8 155

5.4.8A.1 Definition and applicability 155

5.4.8A.2 Conformance requirement 156

5.4.8A.3 Test purpose 156

5.4.8A.4 Method of test 157

5.4.8A.4.1 Initial conditions 157

5.4.8A.4.2 Test sequence settings 158

5.4.8A.4.3 Procedure 158

5.4.8A.5 Acceptance criteria 159

5.4.9 Unified Access Control – Access Identities 12, 13 and 14 indicated by USIM 159

5.4.9.1 Definition and applicability 159

5.4.9.2 Conformance requirement 159

5.4.9.3 Test purpose 160

5.4.9.4 Method of test 160

5.4.9.4.1 Initial conditions 160

5.4.9.4.2 Tables related to the test case 160

5.4.9.4.3 Procedure 162

5.4.9.5 Acceptance criteria 162

5.4.9A Unified Access Control – Access Identities 12, 13 and 14 indicated by USIM, Access Category 8 162

5.4.9A.1 Definition and applicability 162

5.4.9A.2 Conformance requirement 163

5.4.9A.3 Test purpose 163

5.4.9A.4 Method of test 164

5.4.9A.4.1 Initial conditions 164

5.4.9A.4.2 Tables related to the test case 164

5.4.9A.4.3 Procedure 165

5.4.9A.5 Acceptance criteria 166

5.4.10 Unified Access Control – Operator-Defined Access Category 166

5.4.10.1 Definition and applicability 166

5.4.10.2 Conformance requirement 166

5.4.10.3 Test purpose 168

5.4.10.4 Method of test 168

5.4.10.4.1 Initial conditions 168

5.4.10.4.2 Tables related to the test case 169

5.4.10.4.3 Procedure 170

5.4.10.5 Acceptance criteria 171

5.4.11 Unified Access Control – Operator-Defined Access Categories, no change in SUPI 171

5.4.11.1 Definition and applicability 171

5.4.11.2 Conformance requirement 172

5.4.11.3 Test purpose 173

5.4.11.4 Method of test 173

5.4.11.4.1 Initial conditions 173

5.4.11.4.2 Tables related to the test case 175

5.4.11.4.3 Procedure 175

5.4.11.5 Acceptance criteria 176

5.4.12 Unified Access Control – Operator-Defined Access Categories, SUPI change 177

5.4.12.1 Definition and applicability 177

5.4.12.2 Conformance requirement 177

5.4.12.3 Test purpose 178

5.4.12.4 Method of test 179

5.4.12.4.1 Initial conditions 179

5.4.12.4.2 Tables related to the test case 180

5.4.12.4.3 Procedure 181

5.4.12.5 Acceptance criteria 182

5.5 Handling of operator controlled features 182

5.5.1 Display of registered 5G PLMN name from USIM 182

5.5.1.1 Definition and applicability 182

5.5.1.2 Conformance requirement 182

5.5.1.3 Test purpose 182

5.5.1.4 Method of tests 183

5.5.1.4.1 Initial conditions 183

5.5.1.4.2 Procedure 185

5.5.1.4.3 Acceptance criteria 186

5.5.2 Display of registered 5G PLMN name from ME 186

5.5.2.1 Definition and applicability 186

5.5.2.2 Conformance requirement 186

5.5.2.3 Test purpose 186

5.5.2.4 Method of tests 186

5.5.2.4.1 Initial conditions 186

5.5.2.4.2 Procedure 188

5.5.1.4.3 Acceptance criteria 189

5.6.1.1 Definition and applicability 189

5.6.1.2 Conformance requirement 190

5.6.1.3 Test purpose 190

5.6.1.4 Method of test 190

5.6.1.4.1 Initial conditions 190

5.6.1.4.2 Procedure 192

5.6.1.5 Acceptance criteria 192

5.6.2.1 Definition and applicability 192

5.6.2.2 Conformance requirement 193

5.6.2.3 Test purpose 193

5.6.2.4 Method of test 194

5.6.2.4.1 Initial conditions 194

5.6.2.4.2 Procedure 195

5.6.2.5 Acceptance criteria 195

5.6.3.1 Definition and applicability 196

5.6.3.2 Conformance requirement 196

5.6.3.3 Test purpose 197

5.6.3.4 Method of test 197

5.6.3.4.1 Initial conditions 197

5.6.3.4.2 Procedure 198

5.6.3.5 Acceptance criteria 198

5.6.4 UE identification after SUPI is changed 199

5.6.4.1 Definition and applicability 199

5.6.4.2 Conformance requirement 199

5.6.4.3 Test purpose 200

5.6.4.4 Method of test 200

5.6.4.4.1 Initial conditions 200

5.6.4.4.2 Procedure 200

5.6.4.5 Acceptance criteria 201

5.6.5 UE identification by SUCI during initial registration – SUCI calculation by ME using profile A 202

5.6.5.1 Definition and applicability 202

5.6.5.2 Conformance requirement 202

5.6.5.3 Test purpose 202

5.6.5.4 Method of test 203

5.6.5.4.1 Initial conditions 203

5.6.5.4.2 Procedure 204

5.6.5.5 Acceptance criteria 204

5.6.6 UE identification by SUCI during initial registration – SUCI calculation by ME using profile A 205

5.6.6.1 Definition and applicability 205

5.6.6.2 Conformance requirement 205

5.6.6.3 Test purpose 205

5.6.6.4 Method of test 206

5.6.6.4.1 Initial conditions 206

5.6.6.4.2 Procedure 206

5.6.5.5 Acceptance criteria 207

6 Security related Tests 207

6.1 PIN handling 207

6.1.1 Entry of PIN 207

6.1.1.1 Definition and applicability 207

6.1.1.2 Conformance requirement 207

6.1.1.3 Test purpose 208

6.1.1.4 Method of test 208

6.1.1.4.1 Initial conditions 208

6.1.1.4.2 Procedure 208

6.1.1.5 Acceptance criteria 208

6.1.2 Change of PIN 208

6.1.2.1 Definition and applicability 208

6.1.2.2 Conformance requirement 208

6.1.2.3 Test purpose 209

6.1.2.4 Method of test 209

6.1.2.4.1 Initial conditions 209

6.1.2.4.2 Procedure 209

6.1.2.5 Acceptance criteria 209

6.1.3 Unblock PIN 210

6.1.3.1 Definition and applicability 210

6.1.3.2 Conformance requirement 210

6.1.3.3 Test purpose 210

6.1.3.4 Method of test 210

6.1.3.4.1 Initial conditions 210

6.1.3.4.2 Procedure 210

6.1.3.4.2 Procedure 210

6.1.3.5 Acceptance criteria 211

6.1.4 Entry of PIN2 212

6.1.4.1 Definition and applicability 212

6.1.4.2 Conformance requirement 212

6.1.4.3 Test purpose 212

6.1.4.4 Method of test 212

6.1.4.4.1 Initial conditions 212

6.1.4.4.2 Procedure 213

6.1.4.5 Acceptance criteria 213

6.1.5 Change of PIN2 213

6.1.5.1 Definition and applicability 213

6.1.5.2 Conformance requirement 213

6.1.5.3 Test purpose 213

6.1.5.4 Method of test 214

6.1.5.4.1 Initial conditions 214

6.1.5.4.2 Procedure 214

6.1.5.5 Acceptance criteria 214

6.1.6 Unblock PIN2 215

6.1.6.1 Definition and applicability 215

6.1.6.2 Conformance requirement 215

6.1.6.3 Test purpose 215

6.1.6.4 Method of test 215

6.1.6.4.1 Initial conditions 215

6.1.6.4.2 Procedure 215

6.1.6.5 Acceptance criteria 217

6.1.7 Replacement of PIN 217

6.1.7.1 Definition and applicability 217

6.1.7.2 Conformance requirement 217

6.1.7.3 Test purpose 217

6.1.7.4 Method of test 218

6.1.7.4.1 Initial conditions 218

6.1.7.4.2 Procedure 218

6.1.7.5 Acceptance criteria 218

6.1.8 Change of Universal PIN 219

6.1.8.1 Definition and applicability 219

6.1.8.2 Conformance requirement 219

6.1.8.3 Test purpose 219

6.1.8.4 Method of test 219

6.1.8.4.1 Initial conditions 219

6.1.8.4.2 Procedure 219

6.1.8.5 Acceptance criteria 220

6.1.9 Unblock Universal PIN 220

6.1.9.1 Definition and applicability 220

6.1.9.2 Conformance requirement 220

6.1.9.3 Test purpose 220

6.1.9.4 Method of test 221

6.1.9.4.1 Initial conditions 221

6.1.9.4.2 Procedure 221

6.1.9.5 Acceptance criteria 221

6.1.10 Entry of PIN on multi-verification capable UICCs 222

6.1.10.1 Definition and applicability 222

6.1.10.2 Conformance requirement 222

6.1.10.4 Method of test 222

6.1.10.4.1 Initial conditions 222

6.1.10.4.2 Procedure 223

6.1.10.5 Acceptance criteria 223

6.1.11 Change of PIN on multi-verification capable UICCs 223

6.1.11.1 Definition and applicability 223

6.1.11.2 Conformance requirement 223

6.1.11.3 Test purpose 224

6.1.11.4 Method of test 224

6.1.11.4.1 Initial conditions 224

6.1.11.4.2 Procedure 225

6.1.11.5 Acceptance criteria 225

6.1.12 Unblock PIN on multi-verification capable UICCs 226

6.1.12.1 Definition and applicability 226

6.1.12.2 Conformance requirement 226

6.1.12.3 Test purpose 226

6.1.12.4 Method of test 226

6.1.12.4.1 Initial conditions 226

6.1.12.4.2 Procedure 227

6.1.12.5 Acceptance criteria 228

6.1.13 Entry of PIN2 on multi-verification capable UICCs 229

6.1.13.1 Definition and applicability 229

6.1.13.2 Conformance requirement 229

6.1.13.3 Test purpose 229

6.1.13.4 Method of test 229

6.1.13.4.1 Initial conditions 229

6.1.13.4.2 Procedure 230

6.1.13.5 Acceptance criteria 230

6.1.14 Change of PIN2 on multi-verification capable UICCs 231

6.1.14.1 Definition and applicability 231

6.1.14.2 Conformance requirement 231

6.1.14.3 Test purpose 231

6.1.14.4 Method of test 231

6.1.14.4.1 Initial conditions 231

6.1.14.4.2 Procedure 232

6.1.14.5 Acceptance criteria 233

6.1.15 Unblock PIN2 on multi-verification capable UICCs 233

6.1.15.1 Definition and applicability 233

6.1.15.2 Conformance requirement 233

6.1.15.3 Test purpose 233

6.1.15.4 Method of test 233

6.1.15.4.1 Initial conditions 233

6.1.15.4.2 Procedure 234

6.1.15.5 Acceptance criteria 236

6.1.16 Replacement of PIN with key reference "07" 236

6.1.16.1 Definition and applicability 236

6.1.16.2 Conformance requirement 236

6.1.16.3 Test purpose 236

6.1.16.4 Method of test 237

6.1.16.4.1 Initial conditions 237

6.1.16.4.2 Procedure 238

6.1.16.5 Acceptance criteria 238

6.2 Fixed Dialling Numbers (FDN) handling 239

6.2.1 ME and USIM with FDN enabled, EFADN readable and updateable 239

6.2.2 ME and USIM with FDN disabled 239

6.2.3 Enabling, disabling and updating of FDN 239

6.2.4 ME and USIM with FDN enabled, EFADN readable and updateable (Rel-4 and onwards) 239

6.3 Void 239

6.4 Advice of charge (AoC) handling 239

6.4.1 AoC not supported by USIM 239

6.4.2 Maximum frequency of ACM updating 239

6.4.3 Call terminated when ACM greater than ACMmax 239

6.4.4 Response codes of increase command of ACM 239

7 PLMN related tests 240

7.1 FPLMN handling 240

7.1.1 Adding FPLMN to the Forbidden PLMN list 240

7.1.2 UE updating forbidden PLMNs 240

7.1.3 UE deleting forbidden PLMNs 240

7.1.4 Adding FPLMN to the forbidden PLMN list when accessing E‑UTRAN 240

7.1.4.1 Definition and applicability 240

7.1.4.2 Conformance requirement 240

7.1.4.3 Test purpose 241

7.1.4.4 Method of test 241

7.1.4.4.1 Initial conditions 241

7.1.4.4.2 Procedure 241

7.1.4.4 Acceptance criteria 242

7.1.5 UE updating forbidden PLMNs when accessing E-UTRAN 243

7.1.5.1 Definition and applicability 243

7.1.5.2 Conformance requirement 243

7.1.5.3 Test purpose 244

7.1.5.4 Method of test 244

7.1.5.4.1 Initial conditions 244

7.1.5.4.2 Procedure 244

7.1.5.5 Acceptance criteria 244

7.1.6 UE deleting forbidden PLMNs when accessing E-UTRAN 245

7.1.6.1 Definition and applicability 245

7.1.6.2 Conformance requirement 245

7.1.6.3 Test purpose 245

7.1.6.4 Method of test 245

7.1.6.4.1 Initial conditions 245

7.1.6.4.2 Procedure 246

7.1.6.5 Acceptance criteria 246

7.1.7 Updating the Forbidden PLMN list after receiving non-integrity protected reject message – UTRAN 247

7.1.8 Updating the Forbidden PLMN list after receiving non-integrity protected reject message – E‑UTRAN 247

7.1.8.1 Definition and applicability 247

7.1.8.2 Conformance requirement 247

7.1.8.3 Test purpose 247

7.1.8.4 Method of test 248

7.1.8.4.1 Initial conditions 248

7.1.8.4.2 Procedure 248

7.1.8.5 Acceptance criteria 248

7.2 User controlled PLMN selector handling 249

7.2.1 UE updating the User controlled PLMN selector list 249

7.2.1.1 Definition and applicability 249

7.2.1.2 Conformance requirement 249

7.2.1.3 Test purpose 249

7.2.1.4 Method of test 249

7.2.1.4.1 Initial conditions 249

7.2.1.4.2 Procedure 250

7.2.1.5 Acceptance criteria 250

7.2.2 UE recognizing the priority order of the User controlled PLMN selector list with the same access Technology 251

7.2.3 UE recognizing the priority order of the User controlled PLMN selector list using an ACT Preference 251

7.2.4 Void 251

7.2.5 UE updating the User controlled PLMN selector list for E-UTRAN 251

7.2.5.1 Definition and applicability 251

7.2.5.2 Conformance requirement 251

7.2.5.3 Test purpose 251

7.2.5.4 Method of test 251

7.2.5.4.1 Initial conditions 251

7.2.5.4.2 Procedure 251

7.2.5.5 Acceptance criteria 252

7.2.6 UE recognizing the priority order of the User controlled PLMN selector list using an ACT preference - UTRAN/E-UTRAN 252

7.2.7 UE recognizing the priority order of the User controlled PLMN selector list using an ACT preference - GSM/E-UTRAN 252

7.2.8 UE recognising the priority order of the User controlled PLMN selector list with the same access technology – E-UTRAN in NB-S1 mode 253

7.2.8.1 Definition and applicability 253

7.2.8.2 Conformance requirement 253

7.2.8.3 Test purpose 253

7.2.8.4 Method of test 253

7.2.8.4.1 Initial conditions 253

7.2.8.4.2 Procedure 254

7.2.8.5 Acceptance criteria 254

7.2.9 UE recognising the priority order of the User controlled PLMN selector list using the ACT preference – E‑UTRAN in WB-S1/E‑UTRAN in NB-S1 255

7.2.9.1 Definition and applicability 255

7.2.9.2 Conformance requirement 255

7.2.9.3 Test purpose 255

7.2.9.4 Method of test 255

7.2.9.4.1 Initial conditions 255

7.2.9.4.2 Procedure 256

7.2.9.5 Acceptance criteria 257

7.3 Operator controlled PLMN selector handling 257

7.3.1 UE recognizing the priority order of the Operator controlled PLMN selector list 257

7.3.2 UE recognizing the priority order of the User controlled PLMN selector over the Operator controlled PLMN selector list 257

7.3.3 UE recognizing the priority order of the Operator controlled PLMN selector list when accessing E‑UTRAN 257

7.3.3.1 Definition and applicability 257

7.3.3.2 Conformance requirement 258

7.3.3.3 Test purpose 258

7.3.3.4 Method of test 258

7.3.3.4.1 Initial conditions 258

7.3.3.4.2 Procedure 259

7.3.3.5 Acceptance criteria 259

7.3.4 UE recognizing the priority order of the User controlled PLMN selector over the Operator controlled PLMN selector list – E‑UTRAN 260

7.3.4.1 Definition and applicability 260

7.3.4.2 Conformance requirement 260

7.3.4.3 Test purpose 260

7.3.4.4 Method of test 260

7.3.4.4.1 Initial conditions 260

7.3.4.4.2 Procedure 261

7.3.4.5 Acceptance criteria 262

7.3.5 UE recognising the priority order of the Operator controlled PLMN selector list when accessing E‑UTRAN in NB-S1 mode 262

7.3.5.1 Definition and applicability 262

7.3.5.2 Conformance requirement 262

7.3.5.3 Test purpose 263

7.3.5.4 Method of test 263

7.3.5.4.1 Initial conditions 263

7.3.5.4.2 Procedure 264

7.3.5.5 Acceptance criteria 264

7.3.6 UE recognising the priority order of the User controlled PLMN selector over the Operator controlled PLMN selector list – E-UTRAN in NB-S1 mode 265

7.3.6.1 Definition and applicability 265

7.3.6.2 Conformance requirement 265

7.3.6.3 Test purpose 265

7.3.6.4 Method of test 265

7.3.6.4.1 Initial conditions 265

7.3.6.4.2 Procedure 267

7.3.6.5 Acceptance criteria 268

7.3.7 UE recognising the priority order of the Operator controlled PLMN selector list using the ACT preference – E‑UTRAN in NB-S1/ E‑UTRAN in WB-S1 mode 268

7.3.7.1 Definition and applicability 268

7.3.7.2 Conformance requirement 268

7.3.7.3 Test purpose 268

7.3.7.4 Method of test 269

7.3.7.4.1 Initial conditions 269

7.3.7.4.2 Procedure 270

7.3.7.5 Acceptance criteria 270

7.3.8 UE recognising the priority order of the Operator controlled PLMN selector list using the ACT preference – E‑UTRAN in NB‑S1 mode/ GSM 270

7.3.9 UE recognising the priority order of the Operator controlled PLMN selector list using the ACT preference – E‑UTRAN in WB‑S1 mode/GSM 271

7.4 Higher priority PLMN search handling 271

7.4.1 UE recognizing the search period of the Higher priority PLMN 271

7.4.2 GSM/UMTS dual mode UEs recognizing the search period of the Higher priority PLMN 271

7.4.3 UE recognizing the search period of the Higher priority PLMN – E‑UTRAN 271

7.4.3.1 Definition and applicability 271

7.3.7.2 Conformance requirement 271

7.4.3.3 Test purpose 271

7.4.3.4 Method of test 271

7.4.3.4.1 Initial conditions 271

7.4.3.4.2 Procedure 272

7.4.3.5 Acceptance criteria 273

7.4.4 E-UTRAN/EPC capable UEs recognizing the search period of the Higher priority PLMN – GSM/E-UTRAN 273

7.4.5 E‑UTRAN/EPC capable UEs recognizing the search period of the Higher priority PLMN – UTRAN/E‑UTRAN 273

7.5 Void 274

8 Subscription independent tests 274

8.1 Phone book procedures 274

8.1.1 Recognition of a previously changed phonebook 274

8.1.2 Update of the Phonebook Synchronization Counter (PSC) 274

8.1.2.1 Definition and applicability 274

8.1.2.2 Conformance requirement 274

8.1.2.3 Test purpose 274

8.1.2.4 Method of test 274

8.1.2.4.1 Initial conditions 274

8.1.2.4.2 Procedure 275

8.1.2.5 Acceptance criteria 276

8.1.3 Handling of BCD number/ SSC content extension 276

8.1.3.1 Definition and applicability 276

8.1.3.2 Conformance requirement 276

8.1.3.3 Test purpose 276

8.1.3.4 Method of test 277

8.1.3.4.1 Initial conditions 277

8.1.3.4.2 Procedure 280

8.1.3.5 Acceptance criteria 281

8.1.4 Phonebook selection 282

8.1.4.1 Definition and applicability 282

8.1.4.2 Conformance requirement 282

8.1.4.3 Test purpose 282

8.1.4.4 Method of test 282

8.1.4.4.1 Initial conditions 282

8.1.4.4.2 Procedure 285

8.1.4.5 Acceptance criteria 286

8.1.5 Local Phonebook handling 287

8.1.5.1 Definition and applicability 287

8.1.5.2 Conformance requirement 287

8.1.5.3 Test purpose 287

8.1.5.4 Method of test 287

8.1.5.4.1 Initial conditions 287

8.1.5.4.2 Procedure 288

8.1.5.5 Acceptance criteria 290

8.2 Short message handling report 290

8.2.1 Correct storage of a SM on the USIM 290

8.2.2 Correct reading of a SM on the USIM 290

8.2.3 SM memory capacity exceeded handling 290

8.2.4A Correct storage of an SM on the UICC 291

8.2.4B Correct storage of an SM on the UICC 291

8.2.5 Correct reading of a SM on the USIM if USIM and ISIM are present 291

8.2.6 Correct reading of a SM on the ISIM if USIM and ISIM are present 291

8.2.7 Correct storage of an SM on the UICC 291

8.3 MMS related tests 291

8.3.0 General condition 291

8.3.1 UE recognizing the priority order of MMS Issuer Connectivity Parameters 291

8.3.2 UE recognizing the priority order of MMS User Connectivity Parameters 291

8.3.3 UE recognizing the priority order of MMS Issuer Connectivity Parameters over the MMS User Connectivity Parameters 291

8.3.4 Usage of MMS notification 291

8.4 UICC presence detection 291

8.5 UICC presence detection when connected to E‑UTRAN/EPC 292

9 USIM service handling 292

9.1 Access Point Name Control List handling 292

9.1.1 Access Point Name Control List handling for UEs supporting ACL 292

9.1.2 Network provided APN handling for UEs supporting ACL 292

9.1.3 Access Point Name Control List handling for UEs not supporting ACL 292

9.1.4 Access Point Name Control List handling for UEs supporting ACL connected to E-UTRAN/EPC 292

9.1.4.1 Definition and applicability 292

9.1.4.2 Conformance requirement 292

9.1.4.3 Test purpose 292

9.1.4.4 Method of test 293

9.1.4.4.1 Initial conditions 293

9.1.4.4.2 Procedure 293

9.1.4.5 Acceptance criteria 294

9.2 Service Dialling Numbers handling 294

9.2.1 Service Dialling Numbers handling 294

10 CSG list handling 295

10.1 CSG list handling for E-UTRA 295

10.1.1 Automatic CSG selection in E-UTRA with CSG list on USIM, success 295

10.1.1.1 Definition and applicability 295

10.1.1.2 Conformance requirement 295

10.1.1.3 Test purpose 295

10.1.1.4 Method of test 295

10.1.1.4.1 Initial conditions 295

10.1.1.4.2 Procedure 296

10.1.1.5 Acceptance criteria 296

10.1.2.1 Definition and applicability 297

10.1.2.2 Conformance requirement 297

10.1.2.3 Test purpose 297

10.1.2.4 Method of test 297

10.1.2.4.1 Initial conditions 297

10.1.2.4.2 Procedure 298

10.1.2.5 Acceptance criteria 298

10.1.3 Manual CSG selection in E-UTRA with CSG list on USIM, success 299

10.1.3.1 Definition and applicability 299

10.1.3.2 Conformance requirement 299

10.1.3.3 Test purpose 299

10.1.3.4 Method of test 299

10.1.3.4.1 Initial conditions 299

10.1.3.4.2 Procedure 300

10.1.3.5 Acceptance criteria 300

10.1.4 Manual CSG selection in E-UTRA with CSG list on USIM, rejected 302

10.1.4.1 Definition and applicability 302

10.1.4.2 Conformance requirement 302

10.1.4.3 Test purpose 302

10.1.4.4 Method of test 302

10.1.4.4.1 Initial conditions 302

10.1.4.4.2 Procedure 303

10.1.4.5 Acceptance criteria 303

10.1.5 CSG selection in E-UTRA with no CSG list on USIM, no IMSI change 303

10.1.5.1 Definition and applicability 303

10.1.5.2 Conformance requirement 304

10.1.5.3 Test purpose 304

10.1.5.4 Method of test 304

10.1.5.4.1 Initial conditions 304

10.1.5.4.2 Procedure 305

10.1.5.5 Acceptance criteria 306

10.1.6 CSG selection in E-UTRA with no CSG list on USIM, with IMSI change 306

10.1.6.1 Definition and applicability 306

10.1.6.2 Conformance requirement 306

10.1.6.3 Test purpose 307

10.1.6.4 Method of test 307

10.1.6.4.1 Initial conditions 307

10.1.6.4.2 Procedure 308

10.1.6.5 Acceptance criteria 308

10.1.7 Manual CSG selection without display restrictions in E-UTRA with ACSG list and OCSG list on USIM 308

10.1.7.1 Definition and applicability 308

10.1.7.2 Conformance requirement 309

10.1.7.3 Test purpose 309

10.1.7.4 Method of test 309

10.1.7.4.1 Initial conditions 309

10.1.7.4.2 Procedure 310

10.1.7.5 Acceptance criteria 310

10.1.8 Manual CSG selection with display restrictions in E-UTRA with ACSG list and OCSG list on USIM 311

10.1.8.1 Definition and applicability 311

10.1.8.2 Conformance requirement 312

10.1.8.3 Test purpose 312

10.1.8.4 Method of test 312

10.1.8.4.1 Initial conditions 312

10.1.8.4.2 Procedure 313

10.1.8.5 Acceptance criteria 314

10.2 CSG list handling for UTRA 315

10.2.1 Manual CSG selection without display restrictions in UTRA with ACSG list and OCSG list on USIM 315

10.2.2 Manual CSG selection with display restrictions in UTRA with ACSG list and OCSG list on USIM 315

10.2.3 Manual CSG selection in UTRA with CSG list on USIM, success 315

11 NAS security context parameter handling 316

11.1 NAS security context parameter handling when service "EMM Information" is available 316

11.1.1 Definition and applicability 316

11.1.2 Conformance requirement 316

11.1.3 Test purpose 316

11.1.4 Method of test 316

11.1.4.1 Initial conditions 316

11.1.4.2 Procedure 317

11.1.4.5 Acceptance criteria 317

11.2 NAS security context parameter handling when service "EMM Information" is not available, no IMSI change 318

11.2.1 Definition and applicability 318

11.2.2 Conformance requirement 318

11.2.3 Test purpose 319

11.2.4 Method of test 319

11.2.4.1 Initial conditions 319

11.2.4.2 Procedure 319

11.2.5 Acceptance criteria 320

11.3 NAS security context parameter handling when service "EMM Information" is not available, IMSI Changed 320

11.3.1 Definition and applicability 320

11.3.2 Conformance requirement 320

11.3.3 Test purpose 321

11.3.4 Method of test 321

11.3.4.1 Initial conditions 321

11.3.4.2 Procedure 321

11.3.5 Acceptance criteria 322

11.4 EPS NAS Security Context Storage 322

11.4.1 Definition and applicability 322

11.4.2 Conformance requirement 322

11.4.3 Test purpose 323

11.4.4 Method of test 323

11.4.4.1 Initial conditions 323

11.4.4.2 Procedure 323

11.4.5 Acceptance criteria 324

12 Non Access Stratum (NAS) Configuration parameter handling 324

12.1 EFNASCONFIG - NAS signalling priority handling 324

12.2 EFNASCONFIG - NMO I Network Mode of Operation I handling 324

12.3 EFNASCONFIG - Attach with IMSI handling 324

12.4 EFNASCONFIG - Verifying Minimum Periodic Search Timer 324

12.5 EFNASCONFIG - Extended access barring handling 325

12.6 EFNASCONFIG - Verifying Timer T3245 Behaviour 325

12.7 EFNASCONFIG - Override NAS signalling low priority 325

12.8 EFNASCONFIG - Override Extended access barring 325

12.9 EFNASCONFIG - Fast First Higher Priority PLMN Search 325

12.10 EFNASCONFIG - E-UTRA Disabling Allowed for EMM cause #15 325

12.11 EFNASCONFIG - SM\_RetryWaitTime 325

12.12 EFNASCONFIG - SM\_RetryAtRATChange 325

13 UICC interface during PSM 325

13.1 UICC interface in PSM handling for E-UTRAN – No UICC deactivation in PSM 325

13.1.1 Definition and applicability 325

13.1.2 Conformance requirement 326

13.1.3 Test purpose 326

13.1.4 Method of test 326

13.1.4.1 Initial conditions 326

13.1.4.2 Procedure 326

13.1.5 Acceptance criteria 327

13.2 UICC interface in PSM handling for E-UTRAN – PSM not accepted by E-USS/NB-SS 327

13.2.1 Definition and applicability 327

13.2.2 Conformance requirement 327

13.2.3 Test purpose 327

13.2.4 Method of test 327

13.2.4.1 Initial conditions 327

13.2.4.2 Procedure 328

13.2.5 Acceptance criteria 328

13.3 UICC interface in PSM handling for E-UTRAN – UICC deactivation in PSM 328

13.4 UICC interface in PSM for E-UTRAN – SUSPEND UICC 329

13.4.1 Definition and applicability 329

13.4.2 Conformance requirement 329

13.4.3 Test purpose 329

13.4.4 Method of test 329

13.4.4.1 Initial conditions 329

13.4.4.2 Procedure 330

13.4.5 Acceptance criteria 330

14 UICC interface during eDRX 330

14.1 UICC interface during eDRX for E-UTRAN – eDRX is not supported by the UICC 330

14.1.1 Definition and applicability 330

14.1.2 Conformance requirement 331

14.1.3 Test purpose 331

14.1.4 Method of test 331

14.1.4.1 Initial conditions 331

14.1.4.2 Procedure 331

14.1.5 Acceptance criteria 332

14.2 UICC interface during eDRX for E‑UTRAN – eDRX is not accepted by E‑USS/NB‑SS 332

14.2.1 Definition and applicability 332

14.2.2 Conformance requirement 332

14.2.3 Test purpose 333

14.2.4 Method of test 333

14.2.4.1 Initial conditions 333

14.2.4.2 Procedure 333

14.2.5 Acceptance criteria 334

14.3 UICC interface during eDRX for E‑UTRAN – UICC deactivation during eDRX 334

14.4 UICC interface during eDRX for E‑UTRAN– SUSPEND UICC 334

15 Authentication procedure and NAS security context handling for 5G 334

15.1 Authentication procedure for EAP-AKA' 334

15.1.1 Authentication procedure for EAP-AKA' - Authentication is successful 334

15.1.1.1 Definition and applicability 334

15.1.1.2 Conformance requirement 335

15.1.1.3 Test purpose 335

15.1.1.4 Method of test 336

15.1.1.4.1 Initial conditions 336

15.1.1.4.2 Procedure 336

15.1.1.5 Acceptance criteria 337

15.1.1.5 Acceptance criteria 338

15.1.1A Authentication procedure for EAP-AKA' - Authentication is successful 338

15.1A.1.1 Definition and applicability 338

15.1A.1.2 Conformance requirement 338

15.1A.1.3 Test purpose 339

15.1A.1.4 Method of test 339

15.1A.1.4.1 Initial conditions 339

15.1A.1.4.2 Procedure 339

15.1A.1.5 Acceptance criteria 340

15.1.2 Authentication procedure for EAP-AKA' – Authentication is successful - GSM UICC 341

15.1.2.1 Definition and applicability 341

15.1.2.2 Conformance requirement 341

15.1.2.3 Test purpose 342

15.1.2.4 Method of test 342

15.1.2.4.1 Initial conditions 342

15.1.2.4.2 Procedure 342

15.1.2.5 Acceptance criteria 343

15.1.3 Authentication procedure for EAP-AKA' – AUTN fails on the USIM 343

15.1.3.1 Definition and applicability 343

15.1.3.2 Conformance requirement 344

15.1.3.3 Test purpose 344

15.1.3.4 Method of test 344

15.1.3.4.1 Initial conditions 344

15.1.3.4.2 Procedure 344

15.1.3.5 Acceptance criteria 345

15.1.4 Authentication procedure for EAP-AKA' - after SUPI is changed 345

15.1.4.1 Definition and applicability 345

15.1.4.2 Conformance requirement 346

15.1.4.3 Test purpose 346

15.1.4.4 Method of test 346

15.1.4.4.2 Procedure 346

15.1.4.5 Acceptance criteria 348

15.2 Authentication procedure for 5G AKA 348

15.2.1 Authentication procedure for 5G AKA - Authentication is successful 348

15.2.1.4 Method of test 349

15.2.1.4.1 Initial conditions 349

15.2.1.5 Acceptance criteria 350

15.2A.1 Authentication procedure for 5G AKA - Authentication is successful 351

15.2A.1.4 Method of test 352

15.2A.1.4.1 Initial conditions 352

15.2A.1.5 Acceptance criteria 353

15.2.2 Authentication procedure for 5G AKA – Authentication is successful - GSM UICC 354

15.2.2.1 Definition and applicability 354

15.2.2.2 Conformance requirement 354

15.2.2.3 Test purpose 355

15.2.2.4 Method of test 355

15.2.2.4.1 Initial conditions 355

15.2.2.4.2 Procedure 355

15.2.2.5 Acceptance criteria 356

15.2A.2 Authentication procedure for 5G AKA - Authentication is successful 356

15.2A.2.1 Definition and applicability 356

15.2A.2.2 Conformance requirement 357

15.2A.2.3 Test purpose 357

15.2A.2.4 Method of test 357

15.2A.2.4.1 Initial conditions 357

15.2A.2.4.2 Procedure 357

15.2A.2.5 Acceptance criteria 358

15.2.3 Authentication procedure 5G AKA – AUTN fails on the USIM 358

15.2.3.1 Definition and applicability 358

15.2.3.2 Conformance requirement 359

15.2.3.3 Test purpose 359

15.2.3.4 Method of test 359

15.2.3.4.1 Initial conditions 359

15.2.3.4.2 Procedure 359

15.2.3.5 Acceptance criteria 360

15.2.4 Authentication procedure for 5G AKA - after SUPI is changed 361

15.2.4.1 Definition and applicability 361

15.2.4.2 Conformance requirement 361

15.2.4.3 Test purpose 361

15.2.4.4 Method of test 361

15.2.4.4.1 Initial conditions 361

15.2.4.4.2 Procedure 362

15.2.4.5 Acceptance criteria 363

15.2.5.2 Conformance requirement 364

15.2.5.4 Method of test 365

15.2.5.4.1 Initial conditions 365

15.2.5.4.2 Procedure 365

15.2.5.5 Acceptance criteria 366

16 UE Route Selection Policy (URSP) procedure 369

16.1 Pre-configured URSP rules 369

16.1.1 Support for URSP by USIM 369

16.1.1.1 Definition and applicability 369

16.1.1.2 Conformance requirement 369

16.1.1.3 Test purpose 369

16.1.1.4 Method of test 370

16.1.1.4.1 Initial conditions 370

16.1.1.4.2 Procedure 371

16.1.1.5 Acceptance criteria 371

16.1.2 Support for URSP by ME 372

16.1.2.1 Definition and applicability 372

16.1.2.2 Conformance requirement 372

16.1.2.3 Test purpose 372

16.1.2.4 Method of test 372

16.1.2.4.1 Initial conditions 372

16.1.2.4.2 Procedure 373

16.1.2.5 Acceptance criteria 373

16.1.3 Support of Signalled URSP 374

16.1.3.1 Definition and applicability 374

16.1.3.2 Conformance requirement 374

16.1.3.3 Test purpose 374

16.1.3.4 Method of test 374

16.1.3.4.1 Initial conditions 374

16.1.3.4.2 Procedure 375

16.1.3.5 Acceptance criteria 376

17 CAG list handling 376

17.1 CAG list handling for 5G 376

17.1.1 Automatic CAG selection with preconfigured CAG list on USIM 376

17.1.1.1 Definition and applicability 376

17.1.1.2 Conformance requirement 377

17.1.1.3 Test purpose 377

17.1.1.4 Method of tests 377

17.1.1.4.1 Initial conditions 377

17.1.1.4.2 Procedure 377

17.1.1.5 Acceptance criteria 378

Annex X (informative): Change history 379

# Foreword

This Technical Specification| has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

x the first digit:

1 presented to TSG for information;

2 presented to TSG for approval;

3 or greater indicates TSG approved document under change control.

y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

z the third digit is incremented when editorial only changes have been incorporated in the document.

In the present document, modal verbs have the following meanings:

**shall** indicates a mandatory requirement to do something

**shall not** indicates an interdiction (prohibition) to do something

The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.

The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.

**should** indicates a recommendation to do something

**should not** indicates a recommendation not to do something

**may** indicates permission to do something

**need not** indicates permission not to do something

The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.

**can** indicates that something is possible

**cannot** indicates that something is impossible

The constructions "can" and "cannot" are not substitutes for "may" and "need not".

**will** indicates that something is certain or expected to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document

**will not** indicates that something is certain or expected not to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document

**might** indicates a likelihood that something will happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

**might not** indicates a likelihood that something will not happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

In addition:

**is** (or any other verb in the indicative mood) indicates a statement of fact

**is not** (or any other negative verb in the indicative mood) indicates a statement of fact

The constructions "is" and "is not" do not indicate requirements.

# Introduction

The present document defines application behavioural tests for a UE with a non-removable UICC/USIM where no access to the physical UICC-Terminal interface can be granted when interacting with a 3GPP network.

The aim of the present document is to ensure the correct behaviour of a UE interfacing with a 3GPP network whilst it is proved that card specific data and functionality is used wherever indicated, similar to application tests defined in TS 31.121 [2] but without direct access to the UICC-Terminal interface.

The present document does not define any aspects related to the administrative management phase of the UICC. Any internal technical realisation of either the UICC or the ME is only specified where these are important for the verification of specific behaviour. Application specific details for applications residing on an UICC are specified in the respective application specific documents.

# 1 Scope

The present document provides application behavioural tests for a UE to interoperability with dedicated 3GPP network operations. Where there shall be a description for each compliant implementation including the:

- the test case specific setting of the USIM;

- the applicability of each test case;

- the test configurations;

- the conformance requirement(s) and reference(s) to the related core specifications;

- the test purposes; and

- a brief description of the test procedure and the specific acceptance criteria.

The present document is applicable for MEs supporting a non-removable UICC only. MEs that allow access to the physical card interface as defined in ETSI TS 102 221 [8] or ETSI TS 102 671 [29] shall be tested in accordance to TS 31.121 [2].

This document shall provide alternative verification and testing approaches for test cases initially defined in TS 31.121 [2]. If no ETSI or 3GPP defined alternative method to verify an existing requirement is available or if the result generated by the proposed verification method is less reliable, this has to be clearly stated in the test description.

Due to possible limitations in profile handling and updating it is not intended to use the test case defined within this document with 2G only MEs or MEs implemented in accordance to Rel-12 or earlier.

A 3GPP ME may support functionality that is not required by 3GPP, but the requirements to do so are outside of the scope of 3GPP. The present document does not contain tests for features that are out of scope of 3GPP.

In the present document, unless explicitly stated otherwise, for Rel-13 onwards the term E-UTRAN implicitly refers to E-UTRAN in WB-S1 mode. E-UTRAN in NB-S1 mode is always explicitly referred to as NB-IoT.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1]3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2]3GPP TS 31.121: "UICC-terminal interface; Universal Subscriber Identity Module (USIM) application test specification".

[3]3GPP TS 38.508-1: "5GS; User Equipment (UE) conformance specification; Part 1: Common test environment".

[4]3GPP TS 36.508: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Packet Core (EPC); Common test environments for User Equipment (UE) conformance testing".

[5]3GPP TS 34.108: "Common test environments for User Equipment (UE) conformance testing".

[6]3GPP TS 34.229-1: "Internet Protocol (IP) multimedia call control protocol based on Session Initiation Protocol (SIP) and Session Description Protocol (SDP); User Equipment (UE) conformance specification; Part 1: Protocol conformance specification".

[7]ISO/IEC 9646‑7: "Information technology - Open Systems Interconnection - Conformance testing methodology and framework - Part 7: Implementation Conformance Statements".

[8]ETSI TS 102 221: "UICC-Terminal interface; Physical and logical characteristics".

[9]GSMA TS.48: "Generic eUICC Test Profile for Device Testing".

[10]ETSI TS 103 666-1: "Smart Secure Platform (SSP); Part 1: General characteristics".

[11]ETSI TS 103 666-2: "Smart Secure Platform (SSP); Part 2: Integrated SSP (iSSP) characteristics".

[12]ETSI TS 103 666-3: "Smart Secure Platform (SSP); Part 3: Embedded SSP (eSSP) Type 1 characteristics".

[13]802.11-2016: "IEEE Standard for Information technology—Telecommunications and information exchange between systems Local and metropolitan area networks—Specific requirements - Part 11: Wireless LAN Medium Access Control (MAC) and Physical Layer (PHY) Specifications".

[14]ETSI TS 102 225: "Secured packet structure for UICC based applications".

[15]ETSI TS 102 226: "Remote APDU structure for UICC based applications".

[16]3GPP TS 27.007: "AT command set for User Equipment (UE) ".

[17]3GPP TS 31.130: "(U)SIM Application Programming Interface (API); (U)SIM API for Java™Card".

[18]3GPP TS 22.011: "Service accessibility".

[19]3GPP TS 31.102: "Characteristics of the Universal Subscriber Identity Module (USIM) application".

[20]3GPP TS 21.111: "USIM and IC card requirements".

[21]3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3".

[22]Trusted Connectivity Alliance: "eUICC Profile Package: Interoperable Format Technical Specification".

[23]ETSI TS 102 241: "UICC Application Programming Interface (UICC API) for Java Card™".

[24]3GPP TS 33.501: "Security architecture and procedures for 5G system".

[25]3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".

[26]3GPP TS 31.111: "Universal Subscriber Identity Module (USIM) Application Toolkit (USAT)"

[27]ETSI TS 102 223: "Card Application Toolkit (CAT)"

[28]ETSI TS 102 221: "UICC-Terminal interface; Physical and logical characteristics"

[29]ETSI TS 102 671: "Machine to Machine UICC; Physical and logical characteristics"

[30]GSMA SGP.22: "RSP Technical specification"

[31]3GPP TS 24.008: "Mobile radio interface Layer 3 specification; Core network protocols; Stage 3"

[32]3GPP TS 25.331: "Radio Resource Control (RRC); Protocol specification"

[33]3GPP TS 31.101: "UICC-terminal interface; Physical and logical characteristics"

[34]3GPP TS 23.003: "Numbering, addressing and identification"

[35]3GPP TS 31.117: "Universal Subscriber Identity Module Application Toolkit (USAT) application behavioural test specification"

[36]3GPP TS 22.261: "Service requirements for the 5G system; Stage 1"

[37]3GPP TS 38.331: "NR Radio Resource Control (RRC) protocol specification"

[38]3GPP TS 24.526: "User Equipment (UE) policies for 5G System (5GS); Stage 3"

[39]3GPP TS 23.501: "System architecture for the 5G System (5GS); Stage 2"

[40]3GPP TS 23.503: "Policy and charging control framework for the 5G System (5GS); Stage 2"

[41]3GPP TS 23.122: "Non-Access-Stratum functions related to Mobile Station (MS) in idle mode"

[42]3GPP TS 23.401: "General Packet Radio Service (GPRS) enhancements for Evolved Universal Terrestrial Radio Access Network (E-UTRAN)"

[43]3GPP TS 34.124-1: "User Equipment (UE) conformance specification; Part 1: Protocol conformance specification"

[44]3GPP TS 22.030: "Man-Machine Interface (MMI) of the User Equipment (UE)"

[45]3GPP TS 22.101: "Smart cards; ETSI numbering system for telecommunication application providers"

[46]3GPP TS 23.086: "Advice of Charge (AoC) supplementary services; Stage 2"

[47]3GPP TS 24.086: "Advice of Charge (AoC) supplementary services; Stage 3"

[48]3GPP TS 22.024: "Description of Charge Advice Information (CAI)"

[49]3GPP TS 24.080: "Mobile radio interface layer 3 supplementary services specification; Formats and coding"

[50]3GPP TS 33.401: "3GPP System Architecture Evolution (SAE); Security architecture"

[51]RFC 5480: "Elliptic Curve Cryptography Subject Public Key Information"

[52]3GPP TS 22.220: "Universal Mobile Telecommunications System (UMTS); Service requirements for Home Node B (HNB) and Home eNode B (HeNB)"

[53]3GPP TS 33.102: "3G security; Security architecture"

[54]RFC 4187: "Extensible Authentication Protocol Method for 3rd Generation Authentication and Key Agreement (EAP-AKA) "

[55]3GPP TS 23.060: "General Packet Radio Service (GPRS); Service description; Stage 2"

[56]3GPP TS 23.316: "Wireless and wireline convergence access support for the 5G System (5GS)"

# 3 Definitions of terms, symbols and abbreviations

## 3.1 Terms

For the purposes of the present document, the terms given in TR 21.905 [1], TS 31.121 [2] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**nrUSIM:** non-removable Universal Subscriber Identity Module, i.e. a USIM application or equivalent functionality embedded or integrated into a ME.

**TT:** Test Tool: collective term for requirements fulfilling but not clearly specified test environment

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

bx Bit x of byte (leftmost bit is MSB)

Bn Byte No. n

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], TS 31.121 [2] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

CR Conformance Requirement

EUT Equipment Under Test

SA Suitability Assessment

TT Test Tool

## 3.4 Coding Conventions

For the purposes of the present document, the following coding conventions apply:

All lengths are presented in bytes, unless otherwise stated. Each byte B is represented by eight bits b8 to b1, where b8 is the most significant bit (MSB) and b1 is the least significant bit (LSB). In each representation, the leftmost bit is the MSB.

In the UICC, all bytes specified as RFU shall be set to '00' and all bits specifies as RFU shall be set to '0'. If the GSM and/or USIM application exists on a UICC or is built on a generic telecommunications card, then other values may apply for the non- GSM or non-USIM applications. The values will be defined in the appropriate specifications for such cards and applications. These bytes and bits shall not be interpreted by a Terminal in 3GPP session.

The coding of all data objects in the present document is according to ETSI TS 102 221 [8]. All data objects are BER-TLV except if otherwise defined.

## 3.5 Generic procedures for 5G‑NR, E‑UTRAN and NB‑IoT

If a test case contains the statement "This test applies to UEs accessing 5G-NR", the procedures defined in TS 38.508‑1 [3] shall be the basis for all performed procedures during the test. The procedures in TS 38.508‑1 [3] clause 4.5 describe the default behaviour of a conformant UE regarding the specified protocols to be used for 5G-NR and the required procedures from the NAS.

If a test case contains the statement "This test applies to UEs accessing NB", the procedures defined in TS 36.508 [4] shall be the basis for all performed procedures during the test. The procedures in TS 36.508 [4] clause 8.1.5 describe the default behaviour of a conformant UE regarding the specified protocols to be used for NB-IoT and the required procedures from the NAS.

If a test case contains the statement "This test applies to UEs accessing E-UTRAN", the procedures defined in TS 36.508 [4] shall be the basis for all performed procedures during the test. The procedures in TS 36.508 [4], clause 4.5 describe the default behaviour of a conformant UE regarding the specified protocols to be used for E-UTRAN and the GH

## 3.6 Table of optional features

Support of several features is optional or release dependent for the user equipment. However, if a UE states conformance with a specific 3GPP release, it is mandatory for the UE to support all mandatory functions of that release, as stated in table A.1.

The supplier of the implementation shall state the support of possible options in table A.1.

Table A.1: Options

| **Item** | **Option** | **Status** | **Support** | **Mnemonic** |
| --- | --- | --- | --- | --- |
| 1 | Support of CS | O |  | O\_CS |
| 2 | Support of a feature requiring PIN2 entry (such as e.g. AoC or FDN) | O |  | O\_PIN2\_ENTRY\_FEAT |
| 3 | Support of UTRAN access | N/A |  | O\_UTRAN |
| 4 | Support of GERAN access | N/A |  | O\_GERAN |
| 5 | Support of Fixed Dialling Numbers | O |  | O\_FDN |
| 6 | Support of Advice of Charge Charging | O |  | O\_AoCC |
| 7 | Support of Higher Priority PLMN selector with Access Technology service | O |  | O\_HPLMNwACT |
| 8 | Support of local phonebook | O |  | O\_LOCAL\_PB |
| 9 | Support of global phonebook | C001 |  | O\_GLOBAL\_PB |
| 10 | Support of storing received Class 2 Short Messages in the USIM | O |  | O\_STORE\_CLASS2\_SMS |
| 11 | Support of MMS | O |  | O\_MMS |
| 12 | Support of usage of MMS related data stored on the USIM | C002 |  | O\_MMS\_USIM\_DATA |
| 13 | Supported of unselected user MMS connectivity parameters | O |  | O\_NO\_USER\_MMS\_CONF\_SELEC |
| 14 | Support of MMS notification storage on the USIM | O |  | O\_MMS\_NOTIF\_STORAGE |
| 15 | Support of ACL | O |  | O\_ACL |
| 16 | Support of SDN | O |  | O\_SDN |
| 17 | Support of numerical entry of PLMN codes in EF PLMNwACT | O |  | O\_EFPLMNwACT\_NUM\_ENTRY |
| 18 | ME does support speech call | O |  | O\_SPEECH\_CALL |
| 19 | ME support PIN MMI strings | O |  | O\_PIN\_MMI\_STRING |
| 20 | ME does support eFDD | O |  | O\_eFDD |
| 21 | ME does support eTDD | O |  | O\_eTDD |
| 22 | ME does support CSG list handling (for E-UTRA) | O |  | O\_CSG\_LIST |
| 23 | ME supports SM-over-IP-receiver | O |  | O\_SM-OVER-IP\_RECEIVER |
| 24 | ME supports reading SMS' stored in EFSMS on the USIM if USIM and ISIM are present | O |  | O\_READ\_USIM-EF\_SMS\_IF\_USIM+ISIM |
| 25 | ME supports reading SMS' stored in EF SMS on the ISIM if USIM and ISIM are present | O |  | O\_READ\_ISIM-EF\_SMS\_IF\_USIM+ISIM |
| 26 | ME can store more than 1000 text messages | O |  | O\_LARGE\_SMS\_STORAGE |
| 27 | Support for multiple PDN connections | O |  | O\_MULTIPLE\_PDN |
| 28 | ME does support CSG (for UTRA) | O |  | O\_CSG |
| 29 | Support of manual CSG selection | O |  | O\_MANUAL\_CSG\_SELECTION |
| 30 | Support of PS | O |  | O\_PS |
| 31 | ME does support display | O |  | O\_DISPLAY |
| 32 | ME does support keypad | O |  | O\_KEYPAD |
| 33 | ME supports E-UTRA Disabling Allowed for EMM cause #15 | O |  | O\_DISABLE\_EUTRA\_EMM\_CAUSE#15 |
| 34 | ME supports Override NAS Signalling Low Priority | O |  | O\_OVERRIDE\_NAS\_SLP |
| 35 | ME supports T3245 timer | O |  | O\_T3245 |
| 36 | ME supports Override Extended Access Barring | O |  | O\_OVERRIDE\_EAB |
| 37 | ME does support NB-IoT | O |  | O\_NB-IoT |
| 38 | ME maintains a list of PLMN-specific attempt counters | O |  | O\_PLMN\_ATTEMPT\_COUNTER |
| 39 | ME does support deactivation of the UICC in PSM. | O |  | O\_PSM\_DEAC\_UICC |
| 40 | ME does support deactivation of the UICC during extended DRX | O |  | O\_eDRX\_DEAC\_UICC |
| 41 | ME does support the UICC suspension mechanism in PSM. | O |  | O\_PSM\_SUSPEND\_UICC |
| 42 | ME does support the UICC suspension mechanism during extended DRX | O |  | O\_eDRX\_SUSPEND\_UICC |
| 43 | Support of 5G Core Network | O |  | O\_5G\_CN |
| 44 | Support of 5G New Radio access | O |  | O\_5G\_NR |
| 45 | Support of URSP by USIM | O |  | O\_URSP\_BY\_USIM |
| 46 | ME supports SUPI as Network Access Identifier (NSI, GLI or GCI) | O |  | O\_SUPI\_NAI |
| 47 | Support of E-UTRAN access | O |  | O\_E-UTRAN |
| 48 | Support of RSP(SGP.22) | O |  | O\_RSP22 |
| 49 | Support of AT+CSIM | O |  | O\_AT+CSIM |
| 50 | ME supports non-removable UICC only (see NOTE 1) | M |  | O\_NON-REMOVABLE\_UICC\_ONLY |
| 51 | Support of UICC and USIM API for Java Card (see NOTE 2) | O |  | O\_JAVA\_CARD\_API |
| 52 | Support of USAT functionality (see NOTE 3) | O |  | O\_USAT |
| 53 | Support of CAG | O |  | pc\_CAG |
| 54 | Support of multiple registrations by USIM | O |  | O\_multregs\_by\_USIM |

|  |  |
| --- | --- |
| C001 | If ((A.1/18 is supported) AND (A.1/31 is supported) AND (A.1/32 is supported)) THEN M, ELSE O |
| C002 | If (A.1/11 is NOT supported) THEN N/A, ELSE M |
| NOTE 1: | 'ME supports non-removable UICC only' means that access to the physical card interface as defined in ETSI TS 102 221 is not available |
| NOTE 2: | The UE shall claim to support the Java Card API if test relevant functions as defined in Annex A, clause A.2 are supported. |
| NOTE 3: | The support of the USAT functionalities as expected here requires the support of the UICC API defined in ETSI TS 102 241 [23] and the USIM API defined in TS 31.130 [17] |

## 3.7 Applicability

### 3.7.1 Applicability to user equipment

The applicability to user equipment supporting the non-removable USIM is specified in table B.1.

Test cases where no verification of APDUs or transferred data, timing, or checks on file (DF/EF) content is required, may refer to tests defined in TS 31.121 [2]. Regardless of references to complete tests, test purposes, conformance requirements or test methods from TS 31.121 [2] the applicability of the individual test cases is defined within the present document.

Tests where the implicit verification of conformance requirements is not considered sufficient on its own require additional (explicit) verification methods. The support of additional verification methods by the EUT has to be declared in accordance to table A.2 (see clause 3.7.2). Test sequence specific declarations of methods required to be supported are listed in the Applicability table – Table B.1.

### 3.7.2 Supported additional explicit verification methods

The support of additional verification methods is optional for an nrUICC operated device (EUT). As the implicit verification of test results is not sufficient in some test cases the support of an explicit testing option and the provisioning of an interface for file contents verification improves test coverage. The UE manufacturer shall declare the support of possible testable options listed in Table A.2. This declaration is used for the suitability assessment of the conformance requirement (CR) per test case.

Table A.2: Test Options Declaration

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Item** | **Option** | **Status** | **Support** | **Mnemonic** |
| 1 | Support of Toolkit Test Events (see note) | O |  | O\_Toolkit\_Test\_Events |
| 2 | Support of seamless test APDU logging via Baseband (see note) | O |  | O\_Seamless\_APDU\_Logging |
| 3 | Interface for file contents verification | O |  | O\_File\_Contents\_Verification |
| NOTE: The support of the SSP Test Tool Interface (ETSI TS 103 834) is handled like the support of seamless test APDU logging via Baseband or for Test Toolkit Event based testing. Specific information may be added to test cases where needed. | | | | |

For details on these options see clauses 4.1.3, to 4.1.6 of the present document.

### 3.7.3 Applicability of the individual tests

Table B.1 lists the optional, conditional or mandatory features for which the supplier of the implementation states the support. As pre-condition the supplier of the implementation shall state the support of possible options in table A.1.

The "Release XY ME" columns shows the status of the entries as follows:

The following notations, defined in ISO/IEC 9646‑7 [7], are used for the status column:

M mandatory – the capability is required to be supported.

O optional – the capability may be supported or not.

N/A not applicable – in the given context, it is impossible to use the capability.

X prohibited (excluded) – there is a requirement not to use this capability in the given context.

O.i qualified optional – for mutually exclusive or selectable options from a set. "i" is an integer which identifies a unique group of related optional items and the logic of their selection which is defined immediately following the table.

Ci conditional – the requirement on the capability ("M", "O", "X" or "N/A") depends on the support of other optional or conditional items. "i" is an integer identifying an unique conditional status expression which is defined immediately following the table. For nested conditional expressions, the syntax "IF … THEN (IF … THEN … ELSE…) ELSE …" shall be used to avoid ambiguities.

The "Additional test case execution recommendation" column shows the status of the entries as follows:

A applicable - the test is applicable according to the corresponding entry in the "Rxx ME" column

R redundant – the test has to be considered as redundant when the corresponding E-UTRAN/EPC related test of the present document has been validated and successfully executed. In that case the requirement may be verified by means of the E-UTRAN/EPC functionality only.

AERi Additional test case Execution Recommendation – with respect to the above listed definitions of ("A") and ("R") the test is applicable ("A") or redundant ("R") depending on the support of other optional or conditional items. "i" is an integer identifying a unique conditional status expression which is defined immediately following the table. For nested conditional expressions, the syntax "IF ... THEN (IF ... THEN ... ELSE...) ELSE ..." shall be used to avoid ambiguities.

**References to items**

For each possible item answer (answer in the support column) there exists a unique reference, used, for example, in the conditional expressions. It is defined as the table identifier, followed by a solidus character "/", followed by the item number in the table. If there is more than one support column in a table, the columns shall be discriminated by letters (a, b, etc.), respectively.

EXAMPLE: A.1/4 is the reference to the answer of item 4 in table A.1.

## 3.8 Applicability table

|  |
| --- |
| Table B.1: Applicability of tests |

| **Test#** | **Title** | **from Rel** | **to Rel (see note)** | **Applicability** | **Network Dependency** | **Test Option** | **Support** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| 5 | Subscription related tests |  |  |  |  |  |  |
| 5.1 | IMSI / TMSI handling |  |  |  |  |  |  |
| 5.1.6 | UE identification by short IMSI when accessing E‑UTRAN/EPC | Rel-13 |  | C001 | E-USS OR NB-SS | E.04 |  |
| 5.1.7 | UE identification by short IMSI using 2 digit MNC when accessing E-UTRAN/EPC | Rel-13 |  | C001 | E-USS OR NB-SS | I.01 |  |
| 5.1.8 | UE identification after changed IMSI with service "EMM Information" not available | Rel-13 |  | C001 | E-USS OR NB-SS | I.01 |  |
| 5.1.9 | UE identification by GUTI when using USIM with service "EMM Information" not available | Rel-13 |  | C001 | E-USS OR NB-SS | I.01 |  |
| 5.1.10 | UE identification by GUTI when using USIM with service "EMM Information" available | Rel-13 |  | C001 | E-USS OR NB-SS | E.04 |  |
| 5.2 | Access Control handling |  |  |  |  |  |  |
| 5.2.2 | Access Control information handling for E-UTRAN/EPC | Rel-13 |  | C003 | E-USS | E.04 |  |
| 5.2.3 | Access Control information handling for NB-IoT | Rel-13 |  | C002 | NB-SS | E.04 |  |
| 5.3 | Handling subscription identifier privacy for 5G |  |  |  |  |  |  |
| 5.3.1 | SUCI calculation by ME using null scheme | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.3.2 | SUCI calculation by ME using Profile B | Rel-15 |  | C004 | NG-SS | I.01 |  |
| 5.3.3 | UE identification by SUCI during initial registration – SUCI calculation by USIM using profile B | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.3.4 | UE identification by SUCI in response to IDENTITY REQUEST message | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.3.5 | UE identification by SUCI in response to IDENTITY REQUEST message with T3519 timer expiry | Rel-15 |  | C004 | NG-SS | I.01 |  |
| 5.3.6 | UE identification by SUCI in response to IDENTITY REQUEST message and AUTHENTICATION REJECT | Rel-15 |  | C004 | NG-SS | I.01 |  |
| 5.3.7 | SUCI calculation by the ME using null scheme – missing parameters for subscription identifier privacy support by the USIM | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.3.8 | UE identification by 5G-GUTI – Last Registered TAI stored on USIM | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.3.9 | UE identification by 5G-GUTI – Last Registered TAI stored by ME | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.3.10 | UE identification after SUPI is changed | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.3.11 | SUCI calculation by ME using Profile A | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.3.12 | UE identification by SUCI during initial registration – SUCI calculation by USIM using profile A | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.3.13 | SUCI calculation by ME using null scheme – no Protection Scheme Identifier provisioned in the USIM | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.3.14 | SUCI calculation by ME using null scheme – no Home Network Public Key for supported protection scheme provisioned in the USIM | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.3.15 | SUCI calculation by ME using null scheme with the E-UTRAN/EPC UICC | Rel-15 |  | C004 | NG-SS | I.01 |  |
| 5.3.16 | SUCI calculation by ME using the lower priority protection scheme when the higher priority protection scheme is not supported by the ME | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.3.17 | SUCI calculation by ME using Profile B with compressed Home Network Public Key | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.4 | Unified Access Control information handling for 5G-NR |  |  |  |  |  |  |
| 5.4.1 | Unified Access Control – Access identity 0, no access identities indicated by USIM | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.4.1A | Unified Access Control – Access identity 0, no access identities indicated by USIM, Access Category 8 | Rel-15 |  | C005 | NG-SS | E.04 |  |
| 5.4.2 | Unified Access Control – Access Identity 1 – MPS indicated by USIM | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.4.2A | Unified Access Control – Access Identity 1 – MPS indicated by USIM, Access Category 8 | Rel-15 |  | C005 | NG-SS | E.04 |  |
| 5.4.3 | Unified Access Control Access Identity 1 – no MPS indication by USIM and SUPI not changed | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.4.4 | Unified Access Control Access Identity 1 – no MPS indication by USIM and SUPI is changed | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.4.5 | Unified Access Control – Access Identity 2 – MCS indicated by USIM | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.4.5A | Unified Access Control – Access Identity 2 – MCS indicated by USIM | Rel-15 |  | C005 | NG-SS | E.04 |  |
| 5.4.6 | Unified Access Control – Access Identity 2 – no MCS indication by USIM and SUPI is not changed | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.4.7 | Unified Access Control – Access Identity 2 – no MCS indication by USIM and SUPI is changed | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.4.8 | Unified Access Control – Access Identities 11 and 15 indicated by USIM | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.4.8A | Unified Access Control – Access Identities 11 and 15 indicated by USIM, Access Category 8 | Rel-15 |  | C005 | NG-SS | E.04 |  |
| 5.4.9 | Unified Access Control – Access Identities 12, 13 and 14 indicated by USIM | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.4.9A | Unified Access Control – Access Identities 12, 13 and 14 indicated by USIM, Access Category 8 | Rel-15 |  | C005 | NG-SS | E.04 |  |
| 5.4.10 | Unified Access Control – Operator-Defined Access Category | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.4.11 | Unified Access Control – Operator-Defined Access Categories, no change in SUPI | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.4.12 | Unified Access Control – Operator-Defined Access Categories, SUPI change | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 5.5 | Handling of operator controlled features |  |  |  |  |  |  |
| 5.5.1 | Display of registered 5G PLMN name from USIM | Rel-15 |  | C006 | NG-SS | I.01 |  |
| 5.5.2 | Display of registered 5G PLMN name from ME | Rel-15 |  | C006 | NG-SS | I.01 |  |
| 5.6 | Handling subscription identifier privacy for 5G - SUPI type in NAI format |  |  |  |  |  |  |
| 5.6.1 | SUCI calculation by ME using null scheme | Rel-16 |  | C007 | NG-SS | E.07 |  |
| 5.6.2 | UE identification by SUCI during initial registration – SUCI calculation by USIM using profile A | Rel-16 |  | C007 | NG-SS | E.04 |  |
| 5.6.3 | UE identification by SUCI during initial registration – SUCI calculation by USIM using profile B | Rel-16 |  | C007 | NG-SS | E.04 |  |
| 5.6.4 | UE identification after SUPI is changed | Rel-16 |  | C007 | NG-SS | E.04 |  |
| 5.6.5 | UE identification by SUCI during initial registration – SUCI calculation by ME using profile A | Rel-16 |  | C007 | NG-SS | E.04 |  |
| 5.6.6 | UE identification by SUCI during initial registration – SUCI calculation by USIM using profile B | Rel-16 |  | C007 | NG-SS | E.04 |  |
| 6 | Security related Tests |  |  |  |  |  |  |
| 6.1 | PIN handling |  |  |  |  |  |  |
| 6.1.1 | Entry of PIN | Rel-13 |  | M |  | E.04 |  |
| 6.1.2 | Change of PIN | Rel-13 |  | M |  | E.04 |  |
| 6.1.3 | Unblock PIN | Rel-13 |  | C008 |  | E.04 |  |
| 6.1.4 | Entry of PIN2 | Rel-13 |  | C009 |  | E.04 |  |
| 6.1.5 | Change of PIN2 | Rel-13 |  | C009 |  | E.04 |  |
| 6.1.6 | Unblock PIN2 | Rel-13 |  | C010 |  | E.04 |  |
| 6.1.7 | Replacement of PIN | Rel-13 |  | O |  | E.04 |  |
| 6.1.8 | Change of Universal PIN | Rel-13 |  | O |  | E.04 |  |
| 6.1.9 | Unblock Universal PIN | Rel-13 |  | O |  | E.04 |  |
| 6.1.10 | Entry of PIN on multi-verification capable UICCs | Rel-13 |  | O |  | E.04 |  |
| 6.1.11 | Change of PIN on multi-verification capable UICCs | Rel-13 |  | O |  | E.04 |  |
| 6.1.12 | Unblock PIN on multi-verification capable UICCs | Rel-13 |  | O |  | E.04 |  |
| 6.1.13 | Entry of PIN2 on multi-verification capable UICCs | Rel-13 |  | O |  | E.04 |  |
| 6.1.14 | Change of PIN2 on multi-verification capable UICCs | Rel-13 |  | O |  | E.04 |  |
| 6.1.15 | Unblock PIN2 on multi-verification capable UICCs | Rel-13 |  | O |  | E.04 |  |
| 6.1.16 | Replacement of PIN with key reference "07" | Rel-13 |  | O |  | E.04 |  |
| 7 | PLMN related tests |  |  |  |  |  |  |
| 7.1 | FPLMN handling |  |  |  |  |  |  |
| 7.1.4 | Adding FPLMN to the forbidden PLMN list when accessing E-UTRAN | Rel-13 |  | C001 | E-USS OR NB-SS | E.04 |  |
| 7.1.5 | UE updating forbidden PLMNs when accessing E-UTRAN | Rel-13 |  | C001 | E-USS OR NB-SS | E.04 |  |
| 7.1.6 | UE deleting forbidden PLMNs when accessing E-UTRAN | Rel-13 |  | C001 | E-USS OR NB-SS | E.04 |  |
| 7.1.8 | Updating the Forbidden PLMN list after receiving non-integrity protected reject message – E-UTRAN | Rel-13 |  | C001 | E-USS OR NB-SS | E.04 |  |
| 7.2 | User controlled PLMN selector handling |  |  |  |  |  |  |
| 7.2.1 | UE updating the User controlled PLMN selector list | Rel-13 |  | C011 |  | E.07 |  |
| 7.2.5 | UE updating the User controlled PLMN selector list for E-UTRAN | Rel-13 |  | C011 AND C012 |  | E.07 |  |
| 7.2.8 | UE recognising the priority order of the User controlled PLMN selector list with the same access technology – E-UTRAN in NB-S1 mode | Rel-14 |  | C011 AND C002 | NB-SS | E.03 |  |
| 7.2.9 | UE recognising the priority order of the User controlled PLMN selector list using the ACT preference – E-UTRAN in WB-S1/E-UTRAN in NB-S1 | Rel-14 |  | C011 AND C012 AND C002 | E\_USS AND NB-SS | E.03 |  |
| 7.3 | Operator controlled PLMN selector handling |  |  |  |  |  |  |
| 7.3.3 | UE recognising the priority order of the Operator controlled PLMN selector list when accessing E-UTRAN | Rel-13 |  | C001 | E-USS OR NB-SS | E.03 |  |
| 7.3.4 | UE recognising the priority order of the User controlled PLMN selector over the Operator controlled PLMN selector list – E-UTRAN | Rel-13 |  | C001 | E-USS OR NB-SS | E.03 |  |
| 7.3.5 | UE recognising the priority order of the Operator controlled PLMN selector list when accessing E-UTRAN in NB-S1 mode | Rel-14 |  | C002 | NB-SS | E.03 |  |
| 7.3.6 | UE recognising the priority order of the User controlled PLMN selector over the Operator controlled PLMN selector list – E-UTRAN in NB-S1 mode | Rel-14 |  | C011 AND C002 | NB-SS | E.03 |  |
| 7.3.7 | UE recognising the priority order of the Operator controlled PLMN selector list using the ACT preference - E-UTRAN in NB-S1/ E-UTRAN in WB-S1 mode | Rel-14 |  | C012 AND C002 | E-USS AND NB-SS | E.03 |  |
| 7.4 | Higher priority PLMN search handling |  |  |  |  |  |  |
| 7.4.3 | UE recognising the search period of the Higher priority PLMN – E-UTRAN | Rel-13 |  | C001 | E-USS OR NB-SS | E.03 |  |
| 8 | Subscription independent tests |  |  |  |  |  |  |
| 8.1 | Phone book procedures |  |  |  |  |  |  |
| 8.1.2 | Update of the Phonebook Synchronisation Counter (PSC) | Rel-13 |  | C012 |  | E.07 |  |
| 8.1.3 | Handling of BCD number/ SSC content extension | Rel-13 |  | C013 |  | E.07 |  |
| 8.1.4 | Phonebook selection | Rel-13 |  | C014 |  | E.07 |  |
| 8.1.5 | Local Phonebook handling | Rel-13 |  | C012 |  | E.07 |  |
| 8.2 | Short message handling report |  |  |  |  |  |  |
| 8.2.4B | Correct storage of an SM on the UICC | Rel-13 |  | C015 | E-USS | FFS |  |
| 8.2.5 | Correct reading of a SM on the USIM if USIM and ISIM are present | Rel-14 |  | C016 |  | FFS |  |
| 8.2.6 | Correct reading of a SM on the ISIM if USIM and ISIM are present | Rel-15 |  | C019 |  | FFS |  |
| 8.2.7 | Correct storage of an SM on the UICC | Rel-13 |  | C002 | NB-SS | FFS |  |
| 9 | USIM service handling |  |  |  |  |  |  |
| 9.1 | Access Point Name Control List handling |  |  |  |  |  |  |
| 9.1.4 | Access Point Name Control List handling for terminals supporting ACL connected to E-UTRAN/EPC | Rel-13 |  | C020 | E-USS | I.01 (E.03) |  |
| 10 | CSG list handling |  |  |  |  |  |  |
| 10.1 | CSG list handling for E-UTRA |  |  |  |  |  |  |
| 10.1.1 | Automatic CSG selection in E-UTRA with CSG list on USIM, success | Rel-13 |  | C021 | E-USS | E.04 |  |
| 10.1.2 | Automatic CSG selection in E-UTRA with CSG list on USIM, removal of CSG ID from the USIM | Rel-13 |  | C021 | E-USS | E.06 |  |
| 10.1.3 | Manual CSG selection in E-UTRA with CSG list on USIM, success | Rel-13 |  | C022 | E-USS | E.06 |  |
| 10.1.4 | Manual CSG selection in E-UTRA with CSG list on USIM, rejected | Rel-13 |  | C022 | E-USS | E.06 |  |
| 10.1.5 | CSG selection in E-UTRA with no CSG list on USIM, no IMSI change | Rel-13 |  | C022 | E-USS | I.01 |  |
| 10.1.6 | CSG selection in E-UTRA with no CSG list on USIM, with IMSI change | Rel-13 |  | C022 | E-USS | I.01 |  |
| 10.1.7 | Manual CSG selection without display restrictions in E-UTRA with ACSG list and OCSG list on USIM | Rel-13 |  | C022 | E-USS | E.04 |  |
| 10.1.8 | Manual CSG selection with display restrictions in E-UTRA with ACSG list and OCSG list on USIM | Rel-13 |  | C022 | E-USS | E.04 |  |
| 10.2 | CSG list handling for UTRA |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| 11 | NAS security context parameter handling |  |  |  |  |  |  |
| 11.1 | NAS security context parameter handling when service "EMM Information" is available | Rel-13 |  | C001 | E-USS OR NB-SS | E.04 |  |
| 11.2 | NAS security context parameter handling when service "EMM Information" is not available, no IMSI change | Rel-13 |  | C001 | E-USS OR NB-SS | E.04 |  |
| 11.3 | NAS security context parameter handling when service "EMM Information" is not available, IMSI changed | Rel-13 |  | C001 | E-USS OR NB-SS | E.04 |  |
| 11.4 | EPS NAS Security Context Storage | Rel-13 |  | C001 | E-USS OR NB-SS | E.04 |  |
| 12 | Non Access Stratum (NAS) Configuration parameter handling |  |  |  |  |  |  |
|  |  |  |  |  |  |  |  |
| 13 | UICC interface during PSM |  |  |  |  |  |  |
| 13.1 | UICC interface in PSM handling for E-UTRAN – No UICC deactivation in PSM | Rel-13 | Rel-13 | C023 | E-USS OR NB-SS | see note 1 |  |
|  |  | Rel-14 |  |  |  |  |  |
| 13.2 | UICC interface in PSM handling for E-UTRAN – PSM not accepted by E-USS/NB-SS | Rel-13 | Rel-13 | C023 | E-USS OR NB-SS | see note 1 |  |
|  |  | Rel-14 |  |  |  |  |  |
| 13.4 | UICC interface in PSM for E-UTRAN – SUSPEND UICC | Rel-14 |  | C023 | E-USS OR NB-SS | see note 1 |  |
| 14 | UICC interface during eDRX |  |  |  |  |  |  |
| 14.1 | UICC interface during eDRX for E-UTRAN – eDRX is not supported by the UICC | Rel-13 | Rel-13 | C024 | E-USS OR NB-SS | see note 1 |  |
|  |  | Rel-14 |  |  |  |  |  |
| 14.2 | UICC interface during eDRX for E-UTRAN – eDRX is not accepted by E-USS/NB-SS | Rel-13 | Rel-13 | C024 | E-USS OR NB-SS | see note 1 |  |
|  |  | Rel-14 |  |  |  |  |  |
| 14.3 | UICC interface during eDRX for E-UTRAN – UICC deactivation during eDRX | Rel-13 | Rel-13 | C024 | E-USS OR NB-SS | FFS |  |
|  |  | Rel-14 |  |  |  |  |  |
| 14.4 | UICC interface during eDRX for E-UTRAN– SUSPEND UICC | Rel-14 |  | C025 | E-USS OR NB-SS | FFS |  |
| 15 | Authentication procedure and NAS security context handling for 5G |  |  |  |  |  |  |
| 15.1 | Authentication procedure for EAP-AKA' |  |  |  |  |  |  |
| 15.1.1 | Authentication procedure for EAP-AKA' - Authentication is successful | Rel-15 | Rel-15 | C004 | NG-SS | E.07 |  |
| 15.1A.1 | Authentication procedure for EAP-AKA' - Authentication is successful | Rel-16 |  | C004 | NG-SS | E.04 (E.03) |  |
| 15.1.2 | Authentication procedure for EAP-AKA' – Authentication is successful - GSM UICC | Rel-15 |  | C004 | NG-SS | I.01 |  |
| 15.1.3 | Authentication procedure for EAP-AKA' – AUTN fails on the USIM | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 15.1.4 | Authentication procedure for EAP-AKA' - after SUPI is changed | Rel-15 |  | C004 | NG-SS | I.01 |  |
| 15.2 | Authentication procedure for 5G AKA |  |  |  |  |  |  |
| 15.2.1 | Authentication procedure for 5G AKA - Authentication is successful | Rel-15 | Rel-15 | C004 | NG-SS | E.04 (E.03) |  |
| 15.2A.1 | Authentication procedure for 5G AKA - Authentication is successful | Rel-16 |  | C004 | NG-SS | E.04 (E.03) |  |
| 15.2.2 | Authentication procedure for 5G AKA – Authentication is successful - GSM UICC | Rel-15 |  | C004 | NG-SS | I.01 (E.04) |  |
| 15.2A.2 | Authentication procedure for 5G AKA – Authentication is successful - GSM UICC | Rel-16 | Rel-15 | C004 | NG-SS | I.01 |  |
| 15.2.3 | Authentication procedure 5G AKA – AUTN fails on the USIM | Rel-15 |  | C004 | NG-SS | E.04 |  |
| 15.2.4 | Authentication procedure for 5G AKA - after SUPI is changed | Rel-15 |  | C004 | NG-SS | I.01 |  |
| 15.2.5 | Authentication procedure for registrations 3GPP access 5G AKA - Authentication is successful in different PLMNs | Rel-16 |  | C028 | NG-SS | E.04 |  |
| 16 | UE Route Selection Policy (URSP) procedure |  |  |  |  |  |  |
| 16.1 | Pre-configured URSP rules |  |  |  |  |  |  |
| 16.1.1 | Support for URSP by USIM | Rel-16 |  | C026 | NG-SS | E.04 (E.03) |  |
| 16.1.2 | Support for URSP by ME | Rel-16 |  | C026 | NG-SS | E.04 (E.03) |  |
| 16.1.3 | Support of Signalled URSP | Rel-16 |  | C026 | NG-SS | E.04 (E.03) |  |
| 17 | CAG list handling |  |  |  |  |  |  |
| 17.1 | CAG list handling for 5G |  |  |  |  |  |  |
| 17.1.1 | Automatic CAG selection with preconfigured CAG list on USIM | Rel-17 |  | C027 | NG-SS | I.01 |  |
| NOTE: Blank entries indicate the latest valid release at the time of publication of this specification | | | | | | | |
| NOTE 1: Available methods are probably not sufficient. E.g. verification of electrical behaviour is needed | | | | | | | |

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| Table B.2: Applicability conditions |

| **Condition#** | **Info** | **Mnemonic** |
| --- | --- | --- |
| C001 | IF A.1/20 OR A.1/21 OR A.1/37 THEN M ELSE N/A | -- pc\_eFDD OR pc\_eTDD OR pc\_NB |
| C002 | IF A.1/37 THEN M ELSE N/A | -- pc\_NB |
| C003 | IF (A.1/20 OR A.1/21) AND A.1/27 THEN M ELSE N/A | -- (pc\_eFDD OR pc\_eTDD) AND pc\_Multiple\_PDN |
| C004 | IF A.1/43 AND A.1/44 THEN M ELSE N/A | -- pc\_5GC AND pc\_NR |
| C005 | IF A.1/43 AND A.1/44 AND A.1/47 THEN M ELSE N/A | -- pc\_5GC AND pc\_NR AND pc\_inactiveState |
| C006 | IF A.1/43 AND A.1/44 AND A.1/31 THEN M ELSE N/A | -- pc\_5GC AND pc\_NR AND O\_Display |
| C007 | IF A.1/43 AND A.1/44 AND A.1/46 THEN M ELSE N/A | -- pc\_5GC AND pc\_NR AND O\_SUPI\_NAI |
| C008 | IF A.1/19 THEN "Expected Sequence A" M ELSE "Expected Sequence B" M | -- O\_PIN\_MMI\_Strings |
| C009 | IF A.1/2 THEN M ELSE N/A | -- O\_PIN2\_ENTRY\_FEAT |
| C010 | IF A1/2 AND A.1/19 THEN "Expected Sequence A" M | -- (O\_PIN2\_ENTRY\_FEAT AND O\_PIN\_MMI\_Strings) |
| C011 | IF A.1/17 THEN M ELSE N/A | -- O\_EFPLMNwACT\_numerical entry |
| C012 | IF A.1/8 THEN M ELSE N/A | -- O\_Local\_PB |
| C013 | IF A.1/9 THEN M ELSE N/A | -- O\_Global\_PB |
| C014 | IF A.1/8 AND A.1/9 THEN M ELSE N/A | -- O\_Local\_PB AND O\_Global\_PB |
| C015 | IF (A.1/10 AND A.1/23 AND (A.1/20 OR A.1/21)) THEN M ELSE N/A | -- O\_Store\_Received\_SMS AND pc\_SM-over-IP receiver AND (pc\_eFDD OR pc\_eTDD) |
| C016 | IF A.1/24 THEN M ELSE N/A | -- pc\_USIM\_EF\_SMS\_reading\_support\_if\_USIM\_ISIM both present |
| C017 | IF A.1/11 AND A.1/13 THEN M ELSE N/A | -- O\_MMS AND O\_NO\_USER\_MMS\_CONF\_SELEC |
| C018 | IF A.1/11 AND A.1/14 THEN M ELSE N/A | -- O\_MMS AND O\_MMS\_NOTIF\_STORAGE |
| C019 | IF A.1/25 THEN M ELSE N/A | -- pc\_ISIM\_EF\_SMS\_reading\_support\_if\_USIM\_ISIM both present |
| C020 | IF A.1/15 AND (A.1/20 OR A.1/21) THEN M ELSE N/A | -- O\_ACL AND (pc\_eFDD OR pc\_eTDD) |
| C021 | IF (A.1/20 OR A.1/21) AND A.1/22 THEN M ELSE N/A | -- (pc\_eFDD OR pc\_eTDD) AND pc\_Allowed\_CSG\_list |
| C022 | IF (A.1/20 OR A.1/21) AND A.1/22 AND A.1/29 THEN M ELSE N/A | -- (pc\_eFDD OR pc\_eTDD) AND pc\_Allowed\_CSG\_list AND pc\_manual\_CSG\_selection |
| C023 | IF (A.1/20 OR A.1/21 OR A.1/37) AND A.1/39 THEN M ELSE N/A | -- (pc\_eFDD OR pc\_eTDD OR pc\_NB) AND O\_PSM\_DEAC\_UICC |
| C024 | IF (A.1/20 OR A.1/21 OR A.1/37) AND A.1/40 THEN M ELSE N/A | -- (pc\_eFDD OR pc\_eTDD OR pc\_NB) AND O\_eDRX\_DEAC\_UICC |
| C025 | IF (A.1/20 OR A.1/21 OR A.1/37) AND A.1/42 THEN M ELSE N/A | -- (pc\_eFDD OR pc\_eTDD OR pc\_NB) AND O\_eDRX\_SUSPEND\_UICC |
| C026 | IF A.1/43 AND A.1/44 AND A.1/45 THEN M ELSE N/A | -- pc\_5GC AND pc\_NR AND O\_URSP\_by\_USIM |
| C027 | IF A.1/43 AND A.1/44 AND A.1/49 THEN M ELSE N/A | -- pc\_5GC AND pc\_NR AND pc\_CAG |
| C028 | IF A.1/43 AND A.1/44 AND A.1/xx THEN M ELSE N/A | -- pc\_5GC AND pc\_NR AND O\_multregs\_by\_USIM |

|  |  |  |  |
| --- | --- | --- | --- |
| Table B.3: Test option | | | |
| **Option#** | **Meaning** | **Info** |
| I.01 | Implicit verification | Only used if no explicit verification is needed within the test sequence |
| E.01 | Explicit verification, method A.2/1 only |  |
| E.02 | Explicit verification, method A.2/2 only |  |
| E.03 | Explicit verification, method A.2/3 only |  |
| E.04 | Explicit verification, method A.2/1 or A.2/2 |  |
| E.05 | Explicit verification, method A.2/1 or A.2/3 |  |
| E.06 | Explicit verification, method A.2/2 or A.2/3 |  |
| E.07 | Explicit verification, method A.2/1 or A.2/2 or A2.3 |  |

# 4 Test environment

4.1 Test environment description

### 4.1.1 General test environment

Without having the UICC-Terminal interface accessible a direct verification of APDU/data timing and contents is not possible. Thus, alternative implementations and methods will be used to provide sufficient confidence in the result obtained. The present document will not specify an authoritative test environment. The following figure shows a test environment that allows the verification of test results for UEs with an integrated and not removable UICC/USIM (nrUSIM).

Without having the UICC-Terminal interface accessible, a direct verification of APDU or data contents is not possible. The present document shall provide a test environment and test methods that allow the verification of test results for UEs with an integrated and not removable UICC/USIM (nrUSIM).



Figure 4.1: General test environment

Figure 4.1 gives an overview on how a test case shall be executed.

Based on the identified test purpose and the related conformance requirements an appropriate test sequence is defined. The test itself can be split into three phases:

* In the preparation phase the initial set-up for the test case is performed. Test specific data is transferred to the nrUSIM. E.g. by provisioning a test specific profile.
* In the execution phase the test procedure is performed. It has to be ensured that all steps defined in the test procedure are executed and that they are executed in order. The data generated during this execution is stored in the TT and/or test EFs in the file system within the nrUICC.
* In the verification phase the data, procedures and processes identified and stored during execution are checked against given conformance requirements. A final verification of specific EF/DF contents might be required. The exchange of required data has to be ensured, even though the required data transfer is not necessarily listed in the test procedure.

### 4.1.2 Example - test environment for implicit testing

Implicit testing within the scope of the present document describes an end-to-end test method where the UICC/USIM file system of the DUT is prepared to contain specific data. During test execution the data transferred from the DUT to the TT is checked and compared to the expected result or value respectively.

Example:

- During preparation phase specific content for the EFIMSI is updated in the nrUSIM;

- A registration to the network shall be executed using this value;

- The TT compares the initial value sent with the value returned during registration.

To ensure that no pre-configured value is used, the values to be verified in such a test procedure shall be generated as described in clause 4.3 of the present document.

NOTE: A test toolkit applet can be used for testing USAT specific test cases in TS 31.117 [35].



Figure 4.2: Test environment for implicit testing

### 4.1.3 Example - test environment for contents verification

Contents verification within the scope of the present document describes a procedure that allows the TT to compare contents of EFs/DFs available on the EUT with expected values defined in the present document.

Example:

- During preparation phase specific content for the EFFPLMN is updated in the nrUSIM;

- During execution of the test the FPLMN value is modified;

- As the FPLMN value stored in EFFPLMN is not transferred to the TT during test execution;

- The TT performs a read procedure on EFFPLMN at test case end (not necessarily part of the test procedure);

- The TT compares the value read with the expected value stored in the TT.

The contents verification method described here is not applicable when a verification of EF/DF contents needs to be performed at a time other than the preparation or the verification phase.

NOTE: It is not expected that a reading procedure on EF contents can be performed whilst the test procedure is executed.

The test environment needed to perform contents verification is identical to the test environment shown for implicit testing.

### 4.1.4 Example - test environment for seamless testing

Seamless testing within the scope of the present document relies on monitoring of data transfer between ME and nrUSIM traced by a software interface between nrUSIM and baseband implemented by the ME vendor.

The logged communication can be transferred to the TT and will be used to determine if conformance requirements are met.

NOTE: A test toolkit applet can be used for testing USAT specific test cases in TS 31.117 [35].



Figure 4.3: Test environment for seamless testing

### 4.1.5 Example – test environment for test toolkit events based testing

Test toolkit events based testing within the scope of the present document is applicable for UEs supporting the required USIM application toolkit functionality. A toolkit applet is installed onto the nrUSIM, capable of handling test events internal to the card runtime environment and the applets to monitor APDUs received at the nrUICC.

NOTE: The same test toolkit applet can be used for testing USAT specific test cases in TS 31.117 [35].

The logged events can be stored in a test EF during the test execution phase and transferred to the TT during the verification phase to determine if conformance requirements are met.



Figure 4.4: Test environment for test toolkit events based testing

Please see the required "Test configuration state" in Annex N and the "Examples of test configuration usage" in Annex O of ETSI TS 102 221 [8]

### 4.1.6 Example – test environment for UEs with ETSI eSSP/iSSP

For testing UEs with an USIM functionality provided by an eSSP/iSSP as defined in ETSI TS 103 666‑x specifications a test tool interface as defined in ETSI TS 103 834 has to be supported. For the usage within this specification the SSP test tool interface is handled like the test environment for seamless or for test toolkit event based testing. Specific execution requirements or setups may be added to this specification when required. Adaption needed to operate tests on UEs with an eSSP/iSSP, like e.g., an APDU gate are out of scope of the present document.



Figure 4.5: Test environment for UEs with ETSI eSSP/iSSP

## 4.2 Requirements to the EUT and the test environment

### 4.2.1 General Requirements

All tests defined in the subsequent clauses apply to UEs operating an nrUSIM, what implies that the UICC‑Terminal interface cannot be exposed to a TT. Depending on the test method used, an explicit verification of APDUs or data sent via the UICC‑Terminal interface might not be possible. If explicit verification of APDUs, data or file contents is needed to verify a conformance requirement the implementation and applicability has to be declared (see also clause 3.7.1).

The tests are not applicable for UEs providing a UICC‑Terminal interface in accordance to interface form factors defined in ETSI TS 102 221 [28] or ETSI TS 102 671 [29].

The following sequence of tests confirms:

a) the usage of the test specific UICC/USIM data;

b) the correct interpretation of data read from the USIM (Universal Subscriber Identification Module) by the ME;

c) the correct writing of data to the USIM by the ME;

d) the initiation of appropriate procedures by the ME;

e) the correct execution of functions

All tests apply to the USIM application on the UICC or an equivalent application implemented in accordance to the ETSI SSP specifications ETSI TS 103 666-1 [10], ETSI TS 103 666-2 [11] and ETSI TS 103 666-3 [12]

### 4.2.2 Requirements to the UE (EUT) – supported interfaces

The EUT has to support interfaces and administration methods to allow the TT or the User to set the initial conditions defined for the test cases. Where the EUT may be solely the UE or the UE connected to an interface device.

NOTE: The connection of the UE and an interface device is set up similar to what is described in the Companion Device scenario in GSMA SGP.22 [30], but not limited to remote SIM provisioning functionality.

**Suggested interfaces:**

• Wi-Fi (IEEE 802.11-2016 [13])

• USB (USB-IF, USB 2.0 or higher)

The UE has to support at least one of the following data transfer methods:

• RFM and OTA via ETSI TS 102 225 [14] and ETSI TS 102 226 [15]

• AT commands as defined in TS 27.007 [16]

• JavaTMCard as defined in TS 31.130 [17]

Even though the availability of at least one of the suggested interfaces and one of the suggested data transfer method is recommended, interfaces and methods for UICC/USIM preparation for testing purposes are to be provided by the UE vendor and are out of scope of the present document.

### 4.2.3 Supported RATs

UEs tested in accordance to the present document shall support any 3GPP defined RATs. Test cases defined in the present document may contain RAT or RAN specific conformance requirements and methods of test. The applicability of the individual test cases can be determined by using Table B.1.

Tests that would require 2G network access (GERAN) are out of scope of the present document.

### 4.2.4 Initial and final procedure steps

Initial and final procedure steps are out of scope of the current specification to not explicitly exclude methods that might be used to get a UE set up at test case start or to have it 'cleaned up' at the end of a test (if required).

The testing person has to ensure that the UE has installed test specific the UICC and USIM data in accordance to the definitions of the particular test(see note). The UE has to be accessible and is brought into a specific reception mode if required by the test case. It has to be ensured that interfaces that are used to verify file data or a specific functionality do not interfere or block the operation of the test procedure as defined within this specification.

For verification purposes an interaction with the UE is allowed even after ending the defined test procedure. If such interaction takes place it has to be reported to the TT. A verification of conformance requirements that is based on data or information that is generated during this post-procedure interaction has to be identifiable as a post process, but can be used for the result generation.

NOTE: For all EFs, DFs and ADFs building the UICC and USIM where no data is explicitly defined in the test or by reference, an appropriate test value can be used (e.g.: values from GSMA TS.48 [9]).

## 4.3 Random value generation

To ensure that the EUT provides EF values read from the UICC/USIM, identified EFs are to be used with random EF content.

If the test definition requires random test values to be used, the EF content is generated on test case initialization. An EF containing random values always is specified in the initial condition clause of the test case. At least the EF coding is showing one or more placeholders (characters x to z, highlighted in red) where a generated random value has to be inserted. To avoid ambiguities a codomain (*D*) of possible values is defined for each random character.

**Example:**

**EFIMSI (**International Mobile Subscriber Identity)

Logically:

24681357x

Coding:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** |
| Hex | 05 | 29 | 64 | 18 | 53 | x7 | FF | FF | FF |

*D*: x ∈ {0x0; …; 0x9, 0xF}

**Explanation:**

The random IMSI can become any logical value from 246813570 to 246813579 or 24681357 in case of x = 0xF.

NOTE: the verification method using the generated random value is described in Annex A.4

EF content randomization (e.g. last digit) is limited to the elementary files EFIMSI, EFLOCI (files), EFRouting\_Indicator and Home Network Public Key identifier in the EFSUCI\_Cal\_Info and it is done for a limited number of network-dependent test cases only.

## 4.4 Suitability assessment

### 4.4.1 Suitability assessment in present test procedures

For test procedures defined within the present document the step table holds a separate column for the "suitability assessment", abbreviated as "SA". It will show the identifier of any conformance requirement (CR) where gaining suitable verification requires the usage of an optional test method. Conformance requirements that can be verified by "implicit" methods, e.g. the usage of a specific value is sufficiently verified if the test proceeds correctly with the next step or if the expected value can be seen on the air interface, will not be listed in the SA column.

### 4.4.2 Suitability assessment in test descriptions

For each CR listed in the SA column of a test procedure available in the present document additional information about the SA shall be provided with the description of the CR verification.

Tests purposes, conformance requirements and/or procedures defined in TS 31.121 [2] may be referenced. Modifications needed to adopt a test from TS 31.121 [2] to use the random value generation for the implicit testing will not be explicitly mentioned. If it becomes necessary to add suitable test methods from Table A.2 to a particular CR this shall be done by adding the related information to the related CR in the Acceptance criteria clauses available in the present document.

### 4.4.3 Suitability assessment in the test protocol

Whenever a test is executed using additional methods to generate a result the method and the gained result shall be noted in the test protocol. If none of the indicated optional test methods is supported, it shall be clearly noted that the no verification took place. A test step to be verified by an explicit method that could not be verified has to be marked as "Inconclusive". In case any test step is marked as "Inconclusive", the overall test case verdict shall be set to "Inconclusive".

### 4.4.4 Justification of additional measures

Even if implicit verification is recognized as a suitable and appropriate verification method, additional methods to explicitly verify file contents and/or the file handling shall be used if supported. The verification result and the used verification method shall be noted in the test report. In case this additional measure leads to a deviating result, the result of the explicit check defined to this test case applies.

Conformance requirements that can be verified with such an additional measure are marked with an ‘O’ in the SA column. Possible optional verification methods are noted in the acceptance criteria for each test case if applicable.

## 4.5 Definition of default values

### 4.5.1 Introduction

#### 4.5.1.1 Installation, provisioning or modification methods for EFs and DFs

Installation, provisioning or modification methods for EFs and DFs defined in the UICCs/USIMs used for testing or in the test cases are out of scope of the present document. Respective methods have to be provided by the UE vendor.

#### 4.5.1.2 TS.48 Version and usage

Unless stated differently in the test description or in the specific UICC definition TS.48 eSIM GTP v5.0 is used

The usage of file values defined in GSMA TS.48 [9] does not imply that remote SIM provisioning as defined in GSMA or profiles as defined by the TCA have to be supported by the nrUSIM.

### 4.5.2 Definition of the Default UICC

The Default UICC for the present document is build from TS.48 eSIM GTP referenced in clause 4.5.1.2 with the following exceptions:

**EFAD** (Administrative Data)

Logically:

Mode of operation: normal operation

Additional information: ciphering indicator feature disabled

Length of MNC in the IMSI: 3 digit

Coding:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** |
| Hex | 00 | 00 | 00 | 03 |

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°1: |  | Local Phone Book | available |
| Service n°2: |  | Fixed Dialling Numbers (FDN) | available |
| Service n°6: |  | Barred Dialling Numbers (BDN) | available |
| Service n°17: |  | Group Identifier Level 1 | not available |
| Service n°18: |  | Group Identifier Level 2 | not available |
| Service n°20: |  | User controlled PLMN selector with Access Technology | available |
| Service n°27: |  | GSM Access | available |
| Service n°33: |  | shall be set to '1' | available |
| Service n°34: |  | Enabled Services Table | available |
| Service n°85: |  | EPS Mobility Management Information | available |
| Service n°86: |  | Allowed CSG Lists and corresponding indications | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | 0000 0xxx |

### 4.5.3 Definition of FDN UICC

The values of the FDN UICC are identical to the values of the Default UICC defined in clause 4.5.2 of the present document with the following exceptions:

**EFEST** (Enable Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°1: |  | Fixed Dialling Numbers (FDN) | activated |
| Service n°2: |  | Barred Dialling Numbers (BDN | deactivated |
| Service n°3: |  | APN Control List (ACL) | deactivated |

Coding:

|  |  |
| --- | --- |
| **Byte:** | **B1** |
| Binary | xxxx x001 |

**EFFDN** (Fixed Dialling Numbers)

Logically:

Record 1:

Length of alpha identifier: 6 characters;

Alpha identifier: "FDN111";

Length of BCD number: "06";

TON and NPI: Telephony and International;

Dialled number: +1357924680;

CCI2: None;

Ext2: None.

Coding for record 1:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 46 | 44 | 4E | 31 | 31 | 31 | 06 | 91 | 31 | 75 | 29 | 64 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** |
|  | 08 | FF | FF | FF | FF | FF | FF | FF |

Record 2:

Length of alpha identifier: 6 characters;

Alpha identifier: "FDN222";

Length of BCD number: "04";

TON and NPI: Telephony and International;

Dialled number: 24680;

CCI2: None;

Ext2: None.

Coding for record 2:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 46 | 44 | 4E | 32 | 32 | 32 | 04 | 81 | 42 | 86 | F0 | FF |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** |
|  | FF | FF | FF | FF | FF | FF | FF | FF |

Record 3:

Length of alpha identifier: 6 characters;

Alpha identifier: "FDN333";

Length of BCD number: "0B";

TON and NPI: Telephony and International;

Dialled number: +12345678901234567890;

CCI2: None;

Ext2: None.

Coding for record 3:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 46 | 44 | 4E | 33 | 33 | 33 | 0B | 91 | 21 | 43 | 65 | 87 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** |
|  | 09 | 21 | 43 | 65 | 87 | 09 | FF | FF |

**EFECC** (Emergency Call Codes)

Logically:

Emergency call code: "122";

Emergency call code alpha identifier: "TEST";

Emergency call Service Category: Mountain Rescue.

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | 21 | F2 | FF | 54 | 45 | 53 | 54 | 10 |

EF/DF definitions provided in the Initial condition clause of a test case/test sequence take precedence over values defined as default.

### 4.5.4 Definition of E-UTRAN/EPC UICC

The values of the E-UTRAN/EPC UICC are identical to the values of the Default UICC defined in clause 4.5.2 of the present document with the following exceptions:

**EFUST** (USIM Service Table)

Logically:

Allow services up to Service n°120

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | xxxx xxxx | xxxx xxxx | xxxx xxxx | xxxx xxxx |

**EFEPSLOCI** (EPS Location Information)

Logically:

GUTI: 24608100010266431122

Last visited registered TAI: 246/081/0001

EPS update status: not updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex: | 0B | F6 | 42 | 16 | 80 | 00 | 01 | 02 | 66 | 43 | 11 | 22 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** |
|  | 42 | 16 | 80 | 00 | 01 |

**EFPLMNwACT** (User Controlled PLMN Selector with Access Technology) is configured as defined in TS 31.121 [2] clause 4.8.3

**EFOPLMNwACT** (Operator Controlled PLMN Selector with Access Technology) is configured as defined in TS 31.121 [2] clause 4.8.4

**EFACSGL** (Allowed CSG Lists) is configured as defined in TS 31.121 [2] clause 4.8.5

**EFCSGT** (CSG Type) is configured as defined in TS 31.121 [2] clause 4.8.6

**EFHNBN** (Home (e)NodeB Name) is configured as defined in TS 31.121 [2] clause 4.8.7

**EFEPSNSC** (EPS NAS Security Context) is configured as defined in TS 31.121 [2] clause 4.8.8

**EFNASCONFIG** (Non Access Stratum Configuration) is configured as defined in TS 31.121 [2] clause 4.8.9

EF/DF definitions provided in the Initial condition clause of a test case/test sequence take precedence over values defined as default.

### 4.5.5 Definition of E-UTRAN/EPC ISIM-UICC

The E-UTRAN/EPC ISIM-UICC shall contain a USIM as defined in clause 4.5.4 of the present document and an ISIM for IMS access as defined in TS 31.121 [2], clause 4.5.4.

EF/DF definitions provided in the Initial condition clause of a test case/test sequence take precedence over values defined as default.

### 4.5.6 Definition of ACSGL/OCSGL E-UTRAN/EPC UICC

The values of the ACSGL/OCSGL E-UTRAN/EPC UICC are identical to the values of the Default UICC defined in clause 4.5.2 of the present document with the following exceptions:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°90: |  | Operator CSG Lists and corresponding indications | available |
| Service n°92: |  | Support of CSG Display Control | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | 0000 1x1x |

**EFAD** (Administrative Data)

Logically:

Mode of operation: normal operation

Additional information: ciphering indicator feature disabled

all available CSGs can be displayed without any restriction (b2)

Length of MNC in the IMSI: 3 digit

Coding:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** |
| Hex | 00 | 00 | 00 | 03 |

**EFOCSGL** (Operator CSG Lists)

For testing 2 CSG lists are defined and stored together in record one.

Logically:

1st CSG list

PLMN: 246 081 (MCC MNC)

1st CSG list 1st CSG Type indication 01

1st CSG list 1st CSG HNB Name indication 01

1st CSG list 1st CSG CSG ID: 01 (27bit)

1st CSG list 2nd CSG Type indication 05

1st CSG list 2nd CSG HNB Name indication 05

1st CSG list 2nd CSG CSG ID: 05 (27bit)

CSG display indicator: All available CSG IDs can be displayed during a manual CSGselection 00

2nd CSG list

PLMN: 244 081 (MCC MNC)

2nd CSG list 1st CSG Type indication 07

2nd CSG list 1st CSG HNB Name indication 07

2nd CSG list 1st CSG CSG ID: 07 (27bit)

CSG display indicator: All available CSG Ids can be displayed during a manual CSGselection 00

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | A0 | 18 | 80 | 03 | 42 | 16 | 80 | 81 | 06 | 01 | 01 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 00 | 00 | 3F | 81 | 06 | 05 | 05 | 00 | 00 | 00 | BF | 82 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** | **B33** | **B34** | **B35** | **B36** |
|  | 01 | 00 | A0 | 10 | 80 | 03 | 42 | 14 | 80 | 81 | 06 | 07 |
|  | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** | **B44** |
|  | 07 | 00 | 00 | 00 | FF | 82 | 01 | 00 |

**EFOCSGT** (Operator CSG Type)

Record 1:

Logically: OMode ONE

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 13 | 80 | 00 | 4F | 00 | 4D | 00 | 6F | 00 | 64 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 65 | 00 | 20 | 00 | 4F | 00 | 4E | 00 | 45 | FF | FF | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 2:

Logically: OMode TWO

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 13 | 80 | 00 | 4F | 00 | 4D | 00 | 6F | 00 | 64 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 65 | 00 | 20 | 00 | 54 | 00 | 57 | 00 | 4F | FF | FF | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 3:

Logically: OMode THREE

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 17 | 80 | 00 | 4F | 00 | 4D | 00 | 6F | 00 | 64 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 65 | 00 | 20 | 00 | 54 | 00 | 48 | 00 | 52 | 00 | 45 | 00 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | 45 | FF | FF | FF | FF | FF |

Record 4:

Logically: OMode FOUR

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 15 | 80 | 00 | 4F | 00 | 4D | 00 | 6F | 00 | 64 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 65 | 00 | 20 | 00 | 46 | 00 | 4F | 00 | 55 | 00 | 52 | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 5:

Logically: Group FIVE

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 15 | 80 | 00 | 47 | 00 | 72 | 00 | 6F | 00 | 75 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 70 | 00 | 20 | 00 | 46 | 00 | 49 | 00 | 56 | 00 | 45 | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 6:

Logically: Group SIX

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 13 | 80 | 00 | 47 | 00 | 72 | 00 | 6F | 00 | 75 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 70 | 00 | 20 | 00 | 53 | 00 | 49 | 00 | 58 | FF | FF | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 7:

Logically: Group SEVEN

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 17 | 80 | 00 | 47 | 00 | 72 | 00 | 6F | 00 | 75 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 70 | 00 | 20 | 00 | 53 | 00 | 45 | 00 | 56 | 00 | 45 | 00 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | 4E | FF | FF | FF | FF | FF |

Record 8:

Logically: Group EIGHT

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 17 | 80 | 00 | 47 | 00 | 72 | 00 | 6F | 00 | 75 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 70 | 00 | 20 | 00 | 45 | 00 | 49 | 00 | 47 | 00 | 48 | 00 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | 54 | FF | FF | FF | FF | FF |

All other records are empty.

**EFOCSGT** (Operator CSG Type)

Record 1:

Logically: OMode ONE

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 13 | 80 | 00 | 4F | 00 | 4D | 00 | 6F | 00 | 64 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 65 | 00 | 20 | 00 | 4F | 00 | 4E | 00 | 45 | FF | FF | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 2:

Logically: OMode TWO

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 13 | 80 | 00 | 4F | 00 | 4D | 00 | 6F | 00 | 64 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 65 | 00 | 20 | 00 | 54 | 00 | 57 | 00 | 4F | FF | FF | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 3:

Logically: OMode THREE

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 17 | 80 | 00 | 4F | 00 | 4D | 00 | 6F | 00 | 64 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 65 | 00 | 20 | 00 | 54 | 00 | 48 | 00 | 52 | 00 | 45 | 00 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | 45 | FF | FF | FF | FF | FF |

Record 4:

Logically: OMode FOUR

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 15 | 80 | 00 | 4F | 00 | 4D | 00 | 6F | 00 | 64 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 65 | 00 | 20 | 00 | 46 | 00 | 4F | 00 | 55 | 00 | 52 | 00 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 5:

Logically: Group FIVE

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 15 | 80 | 00 | 47 | 00 | 72 | 00 | 6F | 00 | 75 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 70 | 00 | 20 | 00 | 46 | 00 | 49 | 00 | 56 | 00 | 45 | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 6:

Logically: Group SIX

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 13 | 80 | 00 | 47 | 00 | 72 | 00 | 6F | 00 | 75 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 70 | 00 | 20 | 00 | 53 | 00 | 49 | 00 | 58 | FF | FF | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 7:

Logically: Group SEVEN

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 17 | 80 | 00 | 47 | 00 | 72 | 00 | 6F | 00 | 75 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 70 | 00 | 20 | 00 | 53 | 00 | 45 | 00 | 56 | 00 | 45 | 00 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | 4E | FF | FF | FF | FF | FF |

Record 8:

Logically: Group EIGHT

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 89 | 17 | 80 | 00 | 47 | 00 | 72 | 00 | 6F | 00 | 75 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 70 | 00 | 20 | 00 | 45 | 00 | 49 | 00 | 47 | 00 | 48 | 00 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | 54 | FF | FF | FF | FF | FF |

**EFOHNBN** (Operator Home (e)NodeB Name)

Record 1:

Logically: Home ONE

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 80 | 11 | 80 | 00 | 48 | 00 | 6F | 00 | 6D | 00 | 65 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 20 | 00 | 4F | 00 | 4E | 00 | 45 | FF | FF | FF | FF | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 2:

Logically: Home TWO

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 80 | 11 | 80 | 00 | 48 | 00 | 6F | 00 | 6D | 00 | 65 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 20 | 00 | 54 | 00 | 57 | 00 | 4F | FF | FF | FF | FF | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 3:

Logically: Home THREE

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 80 | 15 | 80 | 00 | 48 | 00 | 6F | 00 | 6D | 00 | 65 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 20 | 00 | 54 | 00 | 48 | 00 | 52 | 00 | 45 | 00 | 45 | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 4:

Logically: Home FOUR

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 80 | 13 | 80 | 00 | 48 | 00 | 6F | 00 | 6D | 00 | 65 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 20 | 00 | 46 | 00 | 4F | 00 | 55 | 00 | 52 | FF | FF | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 5:

Logically: Home FIVE

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 80 | 13 | 80 | 00 | 48 | 00 | 6F | 00 | 6D | 00 | 65 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 20 | 00 | 46 | 00 | 49 | 00 | 56 | 00 | 45 | FF | FF | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 6:

Logically: Home SIX

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 80 | 11 | 80 | 00 | 48 | 00 | 6F | 00 | 6D | 00 | 65 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 20 | 00 | 53 | 00 | 49 | 00 | 58 | FF | FF | FF | FF | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 7:

Logically: Home SEVEN

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 80 | 15 | 80 | 00 | 48 | 00 | 6F | 00 | 6D | 00 | 65 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 20 | 00 | 53 | 00 | 45 | 00 | 56 | 00 | 45 | 00 | 4E | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

Record 8:

Logically: Home EIGHT

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B01** | **B02** | **B03** | **B04** | **B05** | **B06** | **B07** | **B08** | **B09** | **B10** | **B11** | **B12** |
| Hex | 80 | 15 | 80 | 00 | 48 | 00 | 6F | 00 | 6D | 00 | 65 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 20 | 00 | 45 | 00 | 49 | 00 | 47 | 00 | 48 | 00 | 54 | FF |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** |
|  | FF | FF | FF | FF | FF | FF |

EF/DF definitions provided in the Initial condition clause of a test case/test sequence take precedence over values defined as default.

### 4.5.7 Definition of Non-Access Stratum Configuration UICC

In general, the values of the Non-Access Stratum Configuration UICC are identical to the values of the Default UICC. Non-Access Stratum Configuration UICC specific configurations, as defined in TS 31.121 [2], clause 4.7 apply.

EF/DF definitions provided in the Initial condition clause of a test case/test sequence take precedence over values defined as default.

### 4.5.8 Definition of Non-Access Stratum Configuration of E-UTRAN/EPC UICC

In general, the values of the Non-Access Stratum Configuration of E-UTRAN/EPC UICC are identical to the values of the Default UICC. Non-Access Stratum Configuration of E-UTRAN/EPC UICC specific configurations, as defined in TS 31.121 [2], clause 4.8 apply.

EF/DF definitions provided in the Initial condition clause of a test case/test sequence take precedence over values defined as default.

### 4.5.9 Definition of 5G-NR UICC

The values of the 5G-NR UICC are identical to the values of the Default UICC defined in clause 4.5.2 of the present document with the following exceptions:

**EFUST** (USIM Service Table)

Logically:

Allow services up to Service n°131

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°122: |  | 5GS Mobility Management Information | available |
| Service n°123: |  | 5G Security Parameters | available |
| Service n°124: |  | Subscription identifier privacy support | available |
| Service n°125: |  | SUCI calculation by the USIM | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx0 111x | 0000 0xxx |  |  |

**EF5GS3GPPLOCI** (5GS 3GPP location information)

Logically:

5G-GUTI: FF FF FF FF FF FF FF FF FF FF

TAI: 246 081 000000

5GS update status: 5U2 NOT UPDATED

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | FF | FF | FF | FF | FF | FF | FF | FF |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | FF | FF | FF | FF | FF | 42 | 16 | 80 |
|  | **B17** | **B18** | **B19** | **B20** |
|  | 00 | 00 | 00 | 01 |

**EFSUCI\_Calc\_Info** (Subscription Concealed Identifier Calculation Information EF)

Logically:

Protection Scheme Identifier List data object

Protection Scheme Identifier 1 – ECIES scheme profile B

Key Index 1: 1

Protection Scheme Identifier 2 – ECIES scheme profile A

Key Index 2: 2

Protection Scheme Identifier 3 – null-scheme

Key Index 3: 0

Home Network Public Key List data object

Home Network Public Key 1 Identifier: 27

Home Network Public Key 1:

- 04 72 DA 71 97 62 34 CE 83 3A 69 07 42 58 67 B8 2E 07 4D 44 EF 90 7D FB 4B 3E 21 C1 C2 25 6E BC D1 5A 7D ED 52 FC BB 09 7A 4E D2 50 E0 36 C7 B9 C8 C7 00 4C 4E ED C4 F0 68 CD 7B F8 D3 F9 00 E3 B4

Home Network Public Key 2 Identifier: 30

Home Network Public Key 2:

- 5A 8D 38 86 48 20 19 7C 33 94 B9 26 13 B2 0B 91 63 3C BD 89 71 19 27 3B F8 E4 A6 F4 EE C0 A6 50

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | A0 | 06 | 02 | 01 | 01 | 02 | 00 | 00 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
| A1 | 6B | 80 | 01 | 1B | 81 | 41 | 04 |
| **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
| 72 | DA | 71 | 97 | 62 | 34 | CE | 83 |
| **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
| 3A | 69 | 07 | 42 | 58 | 67 | B8 | 2E |
| **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** |
| 07 | 4D | 44 | EF | 90 | 7D | FB | 4B |
| **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** |
| 3E | 21 | C1 | C2 | 25 | 6E | BC | D1 |
| **B49** | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** |
| 5A | 7D | ED | 52 | FC | BB | 09 | 7A |
| **B57** | **B58** | **B59** | **B60** | **B61** | **B62** | **B63** | **B64** |
| 4E | D2 | 50 | E0 | 36 | C7 | B9 | C8 |
| **B65** | **B66** | **B67** | **B68** | **B69** | **B70** | **B71** | **B72** |
| C7 | 00 | 4C | 4E | ED | C4 | F0 | 68 |
| **B73** | **B74** | **B75** | **B76** | **B77** | **B78** | **B79** | **B80** |
| CD | 7B | F8 | D3 | F9 | 00 | E3 | B4 |
| **B81** | **B82** | **B83** | **B84** | **B85** | **B86** | **B87** | **B88** |
| 80 | 01 | 1E | 81 | 20 | 5A | 8D | 38 |
| **B89** | **B90** | **B91** | **B92** | **B93** | **B94** | **B95** | **B96** |
| 86 | 48 | 20 | 19 | 7C | 33 | 94 | B9 |
| **B97** | **B98** | **B99** | **B100** | **B101** | **B102** | **B103** | **B104** |
| 26 | 13 | B2 | 0B | 91 | 63 | 3C | BD |
| **B105** | **B106** | **B107** | **B108** | **B109** | **B110** | **B111** | **B112** |
| 89 | 71 | 19 | 27 | 3B | F8 | E4 | A6 |
| **B113** | **B114** | **B115** | **B116** | **B117** |
| F4 | EE | C0 | A6 | 50 |

**EFRouting\_Indicator** (Routing Indicator EF)

Logically:

Routing Indicator: 17

Coding:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** |
| Hex | 71 | FF | 00 | 00 |

**EF5GS3GPPNSC** (5GS 3GPP Access NAS Security Context)

This file shall be available.

Logically:

Key Set Identifier KSIASME: '07' (no key available)

KAMF: 32 byte key, any value

Uplink NAS count: '00'

Downlink NAS count: '01'

Identifiers of selected NAS integrity  
and encryption algorithms: '01'

Identifiers of selected EPS NAS  
integrity and encryption algorithms  
for use after mobility to EPS: '01'

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **…** | **B39** |
| Hex | A0 | 37 | 80 | 01 | 07 | 81 | 20 | xx | … | xx |
|  | **B40** | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** | **B49** |
|  | 82 | 04 | 00 | 00 | 00 | 00 | 83 | 04 | 00 | 00 |
|  | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** | **B57** |
|  | 00 | 01 | 84 | 01 | 01 | 85 | 01 | 01 |

**EF5GSN3GPPNSC** (5GS non-3GPP Access NAS Security Context)

This file shall be available.

Logically:

Key Set Identifier KSIASME: '07' (no key available)

KAMF: 32 byte key, any value

Uplink NAS count: '00'

Downlink NAS count: '01'

Identifiers of selected NAS integrity  
and encryption algorithms: '01'

Identifiers of selected EPS NAS  
integrity and encryption algorithms  
for use after mobility to EPS: '01'

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **…** | **B39** |
| Hex | A0 | 37 | 80 | 01 | 07 | 81 | 20 | xx | … | xx |
|  | **B40** | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** | **B49** |
|  | 82 | 04 | 00 | 00 | 00 | 00 | 83 | 04 | 00 | 00 |
|  | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** | **B57** |
|  | 00 | 01 | 84 | 01 | 01 | 85 | 01 | 01 |

EF/DF definitions provided in the Initial condition clause of a test case/test sequence take precedence over values defined as default.

### 4.5.10 Definition of 5G-NR UICC – non-IMSI SUPI Type

The values of the 5G-NR UICC – non-IMSI SUPI Type are identical to the values of the 5G-NR UICC defined in clause 4.5.9 of the present document with the following exceptions:

**EFAD** (Administrative Data)

Logically:

Mode of operation: normal operation

Additional information: ciphering indicator feature disabled

Length of MNC in the IMSI: 0

Coding:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** |
| Hex | 00 | 00 | 00 | 00 |

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°130: |  | Support for SUPI of type NSI or GLI or GCI | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx0 111x | 0000 0x1x |  |  |

**EFIMSI** (IMSI)

This file shall **not** be available.

**EFSUPI\_NAI**(SUPI as Network Access Identifier)

This file shall be available.

Logically: 00-00-5E-00-53-00@5gc.mnc012.mcc345.3gppnetwork.org

SUPI Type: GCI

Username: 00-00-5E-00-53-00

Realm: 5gc.mnc012.mcc345.3gppnetwork.org

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | 82 | 33 | 30 | 30 | 2D | 30 | 30 | 2D |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
| 35 | 45 | 2D | 30 | 30 | 2D | 35 | 33 |
| **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
| 2D | 30 | 30 | 40 | 35 | 67 | 63 | 2E |
| **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
| 6D | 6E | 63 | 30 | 31 | 32 | 2E | 6D |
| **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** |
| 63 | 63 | 33 | 34 | 35 | 2E | 33 | 67 |
| **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** |
| 70 | 70 | 6E | 65 | 74 | 77 | 6F | 72 |
| **B49** | **B50** | **B51** | **B52** | **B53** |
| 6B | 2E | 6F | 72 | 67 |

**EFSUCI\_Calc\_Info**(Subscription Concealed Identifier Calculation Information EF)

Logically:

Protection Scheme Identifier List data object

Protection Scheme Identifier 1 – ECIES scheme profile B

Key Index 1: 1

Protection Scheme Identifier 2 – ECIES scheme profile A

Key Index 2: 2

Protection Scheme Identifier 3 – null-scheme

Key Index 3: 0

Home Network Public Key List data object

Home Network Public Key 1 Identifier: 27

Home Network Public Key 1:

- 04 72 DA 71 97 62 34 CE 83 3A 69 07 42 58 67 B8 2E 07 4D 44 EF 90 7D FB 4B 3E 21 C1 C2 25 6E BC D1 5A 7D ED 52 FC BB 09 7A 4E D2 50 E0 36 C7 B9 C8 C7 00 4C 4E ED C4 F0 68 CD 7B F8 D3 F9 00 E3 B4

Home Network Public Key 2 Identifier: 30

Home Network Public Key 2:

- 5A 8D 38 86 48 20 19 7C 33 94 B9 26 13 B2 0B 91 63 3C BD 89 71 19 27 3B F8 E4 A6 F4 EE C0 A6 50

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | A0 | 06 | 02 | 01 | 01 | 02 | 00 | 00 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
| A1 | 6B | 80 | 01 | 1B | 81 | 41 | 04 |
| **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
| 72 | DA | 71 | 97 | 62 | 34 | CE | 83 |
| **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
| 3A | 69 | 07 | 42 | 58 | 67 | B8 | 2E |
| **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** |
| 07 | 4D | 44 | EF | 90 | 7D | FB | 4B |
| **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** |
| 3E | 21 | C1 | C2 | 25 | 6E | BC | D1 |
| **B49** | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** |
| 5A | 7D | ED | 52 | FC | BB | 09 | 7A |
| **B57** | **B58** | **B59** | **B60** | **B61** | **B62** | **B63** | **B64** |
| 4E | D2 | 50 | E0 | 36 | C7 | B9 | C8 |
| **B65** | **B66** | **B67** | **B68** | **B69** | **B70** | **B71** | **B72** |
| C7 | 00 | 4C | 4E | ED | C4 | F0 | 68 |
| **B73** | **B74** | **B75** | **B76** | **B77** | **B78** | **B79** | **B80** |
| CD | 7B | F8 | D3 | F9 | 00 | E3 | B4 |
| **B81** | **B82** | **B83** | **B84** | **B85** | **B86** | **B87** | **B88** |
| 80 | 01 | 1E | 81 | 20 | 5A | 8D | 38 |
| **B89** | **B90** | **B91** | **B92** | **B93** | **B94** | **B95** | **B96** |
| 86 | 48 | 20 | 19 | 7C | 33 | 94 | B9 |
| **B97** | **B98** | **B99** | **B100** | **B101** | **B102** | **B103** | **B104** |
| 26 | 13 | B2 | 0B | 91 | 63 | 3C | BD |
| **B105** | **B106** | **B107** | **B108** | **B109** | **B110** | **B111** | **B112** |
| 89 | 71 | 19 | 27 | 3B | F8 | E4 | A6 |
| **B113** | **B114** | **B115** | **B116** | **B117** |
| F4 | EE | C0 | A6 | 50 |

EF/DF definitions provided in the Initial condition clause of a test case/test sequence take precedence over values defined as default.

### 4.5.11 Definition of 5G-NR UICC – support of Rel-16 features

The values of the 5G-NR UICC – support of Rel-16 features are identical to the values of the 5G-NR UICC defined in clause 4.5.9 of the present document with the following exceptions:

**EFUST** (USIM Service Table)

Logically:

Allow services up to Service n°136

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°133: |  | 5G Security Parameters extended | available |
| Service n°136 |  | Support for multiple records of NAS security context storage for multiple registration | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx0 111x | 1xx1 xxx1 |  |  |

**EF5GS3GPPNSC** (5GS 3GPP Access NAS Security Context)

This file shall be available and shall contain two records (see 3GPP TS 31.102 [4]).

Logically:

First record:

Key Set Identifier KSIASME: '07' (no key available)

KAMF: 32 byte key, any value

Uplink NAS count: '00'

Downlink NAS count: '01'

Identifiers of selected NAS integrity  
and encryption algorithms: '01'

Identifiers of selected EPS NAS  
integrity and encryption algorithms  
for use after mobility to EPS: '01'

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **…** | **B39** |
| Hex | A0 | 37 | 80 | 01 | 07 | 81 | 20 | xx | … | xx |
|  | **B40** | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** | **B49** |
|  | 82 | 04 | 00 | 00 | 00 | 00 | 83 | 04 | 00 | 00 |
|  | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** | **B57** |
|  | 00 | 01 | 84 | 01 | 01 | 85 | 01 | 01 |

Second record

Key Set Identifier KSIASME: '07' (no key available)

KAMF: 32 byte key, any value

Uplink NAS count: '00'

Downlink NAS count: '01'

Identifiers of selected NAS integrity  
and encryption algorithms: '01'

Identifiers of selected EPS NAS  
integrity and encryption algorithms  
for use after mobility to EPS: '01'

PLMN: '000000'

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **…** | **B39** |
| Hex | A0 | 3C | 80 | 01 | 07 | 81 | 20 | xx | … | xx |
|  | **B40** | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** | **B49** |
|  | 82 | 04 | 00 | 00 | 00 | 00 | 83 | 04 | 00 | 00 |
|  | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** | **B57** | **B58** | **B59** |
|  | 00 | 01 | 84 | 01 | 01 | 85 | 01 | 01 | 86 | 03 |
|  | **B60** | **B61** | **B62** |
|  | 00 | 00 | 00 |

**EF5GSN3GPPNSC** (5GS non-3GPP Access NAS Security Context)

This file shall be available and shall contain two records (see 3GPP TS 31.102 [4]).

Logically:

First record:

Key Set Identifier KSIASME: '07' (no key available)

KAMF: 32 byte key, any value

Uplink NAS count: '00'

Downlink NAS count: '01'

Identifiers of selected NAS integrity  
and encryption algorithms: '01'

Identifiers of selected EPS NAS  
integrity and encryption algorithms  
for use after mobility to EPS: '01'

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **…** | **B39** |
| Hex | A0 | 37 | 80 | 01 | 07 | 81 | 20 | xx | … | xx |
|  | **B40** | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** | **B49** |
|  | 82 | 04 | 00 | 00 | 00 | 00 | 83 | 04 | 00 | 00 |
|  | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** | **B57** |
|  | 00 | 01 | 84 | 01 | 01 | 85 | 01 | 01 |

Second record

Key Set Identifier KSIASME: '07' (no key available)

KAMF: 32 byte key, any value

Uplink NAS count: '00'

Downlink NAS count: '01'

Identifiers of selected NAS integrity  
and encryption algorithms: '01'

Identifiers of selected EPS NAS  
integrity and encryption algorithms  
for use after mobility to EPS: '01'

PLMN: '000000'

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **…** | **B39** |
| Hex | A0 | 3C | 80 | 01 | 07 | 81 | 20 | xx | … | xx |
|  | **B40** | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** | **B49** |
|  | 82 | 04 | 00 | 00 | 00 | 00 | 83 | 04 | 00 | 00 |
|  | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** | **B57** | **B58** | **B59** |
|  | 00 | 01 | 84 | 01 | 01 | 85 | 01 | 01 | 86 | 03 |
|  | **B60** | **B61** | **B62** |
|  | 00 | 00 | 00 |

EF/DF definitions provided in the Initial condition clause of a test case/test sequence take precedence over values defined as default.

### 4.5.12 Definition of 5G-NR UICC – support of Rel-17 features

The values of the 5G-NR UICC – support of Rel-17 features are identical to the values of the 5G-NR UICC – support of Rel-16 features defined in clause 4.5.11 of the present document with the following exceptions:

**EFUST** (USIM Service Table)

Logically:

Allow services up to Service n°145

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** | **B18** | **B19** |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx0 111x | xxx1 xxx1 | xxxx xxxx | 0000 000x |

### 4.5.13 Definition 5G-NR UICC – CAG support

In general, the values of the 5G-NR UICC – CAG support are identical to the values of the of 5G-NR UICC as defined in clause 4.5.12 in addition the following exceptions apply:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°137: |  | Preconfigured CAG information list | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** | **B18** | **B19** |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx0 111x | xxxx xxxx | xxxx xxx1 | 0000 000x |

**EFCAG** (Pre-configured CAG information list EF)

Logically:

PLMN: 244 083 (MCC MNC)

CAG only: 1

Range indication: 1

CAG-ID range: 00 00 00 01 – 00 00 00 07

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** |
| Hex | 00 | 0D | 0C | 42 | 34 | 80 | 03 | 00 | 00 | 00 |
|  | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** |
|  | 01 | 00 | 00 | 00 | 07 |

EF/DF definitions provided in the Initial condition clause of a test case/test sequence take precedence over values defined as default.

## 4.6 Definition of default values for EFs commonly used with random value generation

### 4.6.1 EFIMSI with 3-digit MNC and random value

**EFIMSI** (International Mobile Subscriber Identity)

Logically:

2460813579135x

Coding:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 | B9 |
| Hex | 08 | 21 | 64 | 80 | 31 | 75 | 19 | 53 | Fx |

*D*: x ∈ {0x0; …; 0x9, 0xF}

If the test/sequence is executed using an explicit test option as identified in Table A.2/1 or A.2/2, providing log data for all UICC-Terminal communication, x may be set to x=7.

### 4.6.2 EFIMSI "short", with 3-digit MNC and random value

**EFIMSI** (International Mobile Subscriber Identity)

Logically:

24608135x

Coding:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 | B9 |
| Hex | 05 | 21 | 64 | 80 | 31 | x5 | FF | FF | FF |

*D*: x ∈ {0x0; …; 0x9, 0xF}

If the test/sequence is executed using an explicit test option as identified in Table A.2/1 or A.2/2, providing log data for all UICC-Terminal communication, x may be set to x=7.

### 4.6.3 EFIMSI "short", with 2-digit MNC and random value

**EFIMSI** (International Mobile Subscriber Identity)

Logically:

24681357x

Coding:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 | B9 |
| Hex | 05 | 29 | 64 | 18 | 53 | x7 | FF | FF | FF |

*D*: x ∈ {0x0; …; 0x9, 0xF}

If the test/sequence is executed using an explicit test option as identified in Table A.2/1 or A.2/2, providing log data for all UICC-Terminal communication, x may be set to x=9.

### 4.6.4 EFIMSI "long", with 3-digit MNC and random value

**EFIMSI** (International Mobile Subscriber Identity)

Logically:

24608111111111x

Coding:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 | B9 |
| Hex | 08 | 29 | 64 | 80 | 11 | 11 | 11 | 11 | x1 |

*D*: x ∈ {0x0; …; 0x9, 0xF}

If the test/sequence is executed using an explicit test option as identified in Table A.2/1 or A.2/2, providing log data for all UICC-Terminal communication, x may be set to x=1.

### 4.6.5 EFIMSI for SUCI calculation tests

**EFIMSI** (International Mobile Subscriber Identity)

Logically:

24608135793579x

Coding:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 | B9 |
| Hex | 08 | 29 | 64 | 80 | 31 | 75 | 39 | 75 | x9 |

*D*: x ∈ {0x0; …; 0x9, 0xF}

If the test/sequence is executed using an explicit test option as identified in Table A.2/1 or A.2/2, providing log data for all UICC-Terminal communication, x may be set to x=3.

### 4.6.6 EFIMSI for "change IMSI" tests

**EFIMSI** (International Mobile Subscriber Identity)

Logically:

24608122223333x

Coding:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 | B9 |
| Hex | 08 | 29 | 64 | 80 | 21 | 22 | 32 | 33 | X3 |

*D*: x ∈ {0x0; …; 0x9, 0xF}

If the test/sequence is executed using an explicit test option as identified in Table A.2/1 or A.2/2, providing log data for all UICC-Terminal communication, x may be set to x=3.

### 4.6.7 EFRouting\_Indicator with 2-digit MNC and random value

**EFRouting\_Indicator** (Routing Indicator EF)

Logically:

1y

Coding:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 |
| Hex | y1 | FF | FF | FF |

*D*: y ∈ {0x0; …; 0x9, 0xF}

If the test/sequence is executed using an explicit test option as identified in Table A.2/1 or A.2/2, providing log data for all UICC-Terminal communication, y may be set to y=7.

### 4.6.8 EFLOCI with "short" random TMSI value

**EFLOCI** (Location Information)

Logically:

LAI-MCC: 246

LAI-MNC: 081

LAI-LAC: 0001

TMSI: 0000214x

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 | B9 | B10 | B11 |
| Hex | 00 | 00 | 21 | 4x | 42 | 16 | 80 | 00 | 01 | FF | 00 |

*D*: x ∈ {0x0; …; 0x9}

If the test/sequence is executed using an explicit test option as identified in Table A.2/1 or A.2/2, providing log data for all UICC-Terminal communication, x may be set to x=3.

### 4.6.9 EFLOCI with "long" random TMSI value

**EFLOCI** (Location Information)

Logically:

LAI-MCC: 246

LAI-MNC: 081

LAI-LAC: 0001

TMSI: 214x0000

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 | B9 | B10 | B11 |
| Hex | 21 | 4x | 00 | 00 | 42 | 16 | 80 | 00 | 01 | FF | 00 |

D: x ∈ {0x0; …; 0x9}

If the test/sequence is executed using an explicit test option as identified in Table A.2/1 or A.2/2, providing log data for all UICC-Terminal communication, x may be set to x=3.

### 4.6.10 EFEPSLOCI

**EFEPSLOCI** (EPS Location Information)

Logically:

GUTI: 24608100010266uvwxyz

Last visited registered TAI: 246/081/0001

EPS update status: not updated

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 0B | F6 | 42 | 16 | 80 | 00 | 01 | 02 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | 66 | vu | xw | zy | 42 | 16 | 80 | 00 |
|  | **B17** | **B18** |
|  | 01 | 01 |

D: u, v, w, x, y, z ∈ {0x0; …; 0x9}

If the test/sequence is executed using an explicit test option as identified in Table A.2/1 or A.2/2, providing log data for all UICC-Terminal communication, the variables may be set to fixed values: u=3, v=4, w=5, x=6, y=7, z=8

### 4.6.11 EFFDN

**EFFDN** (Fixed Dialling Numbers)

Logically:

Record 1:

Length of alpha identifier: 6 characters;

Alpha identifier: "FDN111";

Length of BCD number: "06";

TON and NPI: Telephony and International;

Dialled number: +135792468x;

CCI2: None;

Ext2: None.

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 46 | 44 | 4E | 31 | 31 | 31 | 06 | 91 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | 31 | 75 | 29 | 64 | x8 | FF | FF | FF |
|  | **B17** | **B18** | **B19** | **B20** |
|  | FF | FF | FF | FF |

D: x ∈ {0x0; …; 0x9}

Record 2:

Length of alpha identifier: 6 characters;

Alpha identifier: "FDN222";

Length of BCD number: "04";

TON and NPI: Telephony and International;

Dialled number: 2468x;

CCI2: None;

Ext2: None.

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 46 | 44 | 4E | 32 | 32 | 32 | 04 | 81 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | 42 | Fy | FF | FF | FF | FF | FF | FF |
|  | **B17** | **B18** | **B19** | **B20** |
|  | FF | FF | FF | FF |

D: y ∈ {0x0; …; 0x9}

Record 3:

Length of alpha identifier: 6 characters;

Alpha identifier: "FDN333";

Length of BCD number: "0B";

TON and NPI: Telephony and International;

Dialled number: +1234567890123456789z;

CCI2: None;

Ext2: None.

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 46 | 44 | 4E | 33 | 33 | 33 | 0B | 91 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | 21 | 43 | 65 | 87 | 09 | 21 | 43 | 65 |
|  | **B17** | **B18** | **B19** | **B20** |
|  | 87 | z9 | FF | FF |

D: z ∈ {0x0; …; 0x9}

If the test/sequence is executed using an explicit test option as identified in Table A.2/1 or A.2/2, providing log data for all UICC-Terminal communication, the variables may be set to fixed values: x, y, z = 0

### 4.6.12 EFECC

**EFECC**  (Emergency Call Codes)

Logically:

Emergency call code: "12x";

Emergency call code alpha identifier: "TEST";

Emergency call Service Category: Mountain Rescue.

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 21 | Fx | FF | 54 | 45 | 53 | 54 | 10 |

D: x ∈ {0x0; …; 0x9}

If the test/sequence is executed using an explicit test option as identified in Table A.2/1 or A.2/2, providing log data for all UICC-Terminal communication, x may be set to x=2

# 5 Subscription related tests

## 5.1 IMSI/TMSI handling

### 5.1.1 UE identification by short IMSI

GERAN/UTRAN test - not applicable

### 5.1.2 UE identification by short IMSI using a 2-digit MNC

GERAN/UTRAN test - not applicable

### 5.1.3 UE identification by "short" TMSI

GERAN/UTRAN test - not applicable

### 5.1.4 UE identification by "long" TMSI

GERAN/UTRAN test - not applicable

### 5.1.5 UE identification by long IMSI, TMSI updating and key set identifier assignment

GERAN/UTRAN test - not applicable

### 5.1.6 UE identification by short IMSI when accessing E-UTRAN/EPC

#### 5.1.6.1 Definition and applicability

PAGING for EPS services using IMSI is an abnormal procedure used for error recovery in the network. The IMSI is used for unique identification of the UE by an E-UTRAN/EPC if there is no GUTI available. The IMSI is stored in the USIM and read during the UICC-Terminal initialisation procedure.

#### 5.1.6.2 Conformance requirement

CR 1 Only after reception of a PAGING message containing the IMSI stored in the USIM the UE shall send the RRC CONNECTION REQUEST message.

Reference:

- TS 31.102 [19], clauses 5.1.1 and 5.2.2;

- TS 24.301 [21], clause 5.6.2.2.2 and 5.6.2.4.

CR 2 For NB-IoT, the PAGING message shall include a CN domain indicator set to "PS". If the paging message includes a UE PAGING IDENTITY set to the UE’s IMSI, the PAGING procedure is performed according to clause 5.6.2.2.2 of [21].

Reference:

- TS 31.102 [19], clauses 5.1.1 and 5.2.2;

- TS 24.301 [21], clause 5.6.2.2.2 and 5.6.2.4.

CR 3 The ME correctly performs the READ BINARY command on EFIMSI.

Reference:

- TS 31 101 [33], clause 11.1.3;

- ETSI TS 102 221 [8], clause 11.1.3 and 14.1.1.

#### 5.1.6.3 Test purpose

The purpose of this test is to verify that:

1) the ME uses the IMSI stored in the USIM when attaching to the network;

2) the ME can handle an IMSI of less than the maximum length.

3) To verify that the READ EFIMSI command is performed correctly by the ME.

4) To verify that the UE does not respond to a paging message containing an IMSI not stored in the USIM.

#### 5.1.6.4 Method of test

##### 5.1.6.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with EFIMSI as defined in clause 4.6.2.

For Test Procedure A the TT (E-USS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001

- Access control: unrestricted

For Test Procedure B the TT (NB-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001

- Access control: unrestricted

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.1.6.4.2 Procedure

###### 5.1.6.4.2.1 Test procedure A

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE > TT | Send ATTACH REQUEST |  |  |  |
| 2 | TT > UE | Send PAGING with IMSI 24608122222 | The UE does not send an RCC CONNECTION REQUEST |  |  |
| 3 | UE | READ EFIMSI |  | CR 3 | A.2/1 OR A.2/2 |
| 4 | TT > UE | Send PAGING with the IMSI stored in the USIM |  |  |  |
| 5 | UE > TT | Send RRC CONNECTION REQUEST | The TT responds with a RRC CONNECTION SETUP | CR 1 |  |
| 6 | UE > TT | Send RRC CONNECTION SETUP COMPLETE | The UE performs the EPS attach procedure |  |  |
| 7 | TT > UE | Send RRC CONNECTION RELEASE |  |  |  |

###### 5.1.6.4.2.2 Test procedure B

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE > TT | Send ATTACH REQUEST |  |  |  |
| 2 | TT > UE | Send PAGING-NB with IMSI 24608122222 | The UE does not send an RCC CONNECTION REQUEST-NB |  |  |
| 3 | UE | READ EFIMSI |  | CR 3 | A.2/1 OR A.2/2 |
| 4 | TT > UE | Send PAGING-NB with the IMSI stored in the USIM |  |  |  |
| 5 | UE > TT | Send RRC CONNECTION REQUEST‑NB | The TT responds with a RRC CONNECTION SETUP-NB | CR 2 |  |
| 6 | UE > TT | Send RRC CONNECTION SETUP COMPLETE‑NB | The UE performs the EPS attach procedure |  |  |
| 7 | TT > UE | Send RRC CONNECTION RELEASE‑NB |  |  |  |

#### 5.1.6.5 Acceptance criteria

##### 5.1.6.5.1 Acceptance criteria for Test Procedure A

CR 1 is verified by analysing the IMSI value transferred by the UE in the RRC CONNECTION REQUEST. The conformance requirement CR 1 is met if the IMSI value stored on the USIM matches the IMSI value provided to the TT (USS).

##### 5.1.6.5.2 Acceptance criteria for Test Procedure B

CR 2 is verified by analysing the IMSI value transferred by the UE in the RRC CONNECTION REQUEST-NB. The conformance requirement CR 2 is met if the IMSI value stored on the USIM matches the IMSI value provided to the TT (NB-SS).

##### 5.1.6.4.3 Common acceptance criteria

CR 3 is explicitly verified in step 3) by analysing the READ command used to read the contents of EFIMSI (A.2/1 or A.2/2). CR 3 is met if the READ command is executed as defined in [28], clause 14.1.1.

### 5.1.7 UE identification by short IMSI using a 2-digit MNC when accessing E-UTRAN/EPC

#### 5.1.7.1 Definition and applicability

In some networks the IMSI identifying the E-UTRAN/EPC can consist of a 2-digit MNC. PAGING for EPS services using IMSI is an abnormal procedure used for error recovery in the network. The IMSI is used for unique identification of the UE by an E-UTRAN/EPC if there is no GUTI available. The IMSI is stored in the USIM and read during the UICC-Terminal initialisation procedure.

#### 5.1.7.2 Conformance requirement

CR 1 Only after reception of a PAGING message containing the IMSI stored in the USIM the UE shall send the RRC CONNECTION REQUEST message.

Reference:

- TS 31.102 [19], clause 5.1.1 and 5.2.2;

- ETSI TS 102 221 [28], clause 14.1.1;

- TS 24.301 [21], clause 5.6.2.2.2 and 5.6.2.4.

CR 2 The ME correctly handles the an IMSI that contains a 2-digit MNC.

Reference:

- TS 23 003 [34], clause 2.2;

#### 5.1.7.3 Test purpose

The purpose of this test is to verify that:

1) the ME uses the IMSI stored in the USIM when attaching to the network;

2) the ME is capable of handling an IMSI with a 2-digit MNC;

3) the EFIMSI is correctly read by the ME;

4) the ME does not respond to a PAGING message containing an IMSI not stored in the USIM.

#### 5.1.7.4 Method of test

##### 5.1.7.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with EFIMSI as defined in clause 4.6.3, and the following exception:

**EFAD** (Administrative Data)

Logically:

UE operation mode: normal operation

Additional information: ciphering indicator feature disabled

MNC: 2 digits

Coding:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 |
| Hex | 00 | 00 | 00 | 02 |

For Test Procedure A the TT (E-USS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/81/0001

- Access control: unrestricted

For Test Procedure B the TT (NB-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/81/0001

- Access control: unrestricted

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.1.7.4.2 Procedure

###### 5.1.7.4.2.1 Test procedure A

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE > TT | Send ATTACH REQUEST |  |  |  |
| 2 | TT > UE | Send PAGING with IMSI 24608122222 | The UE does not send an RCC CONNECTION REQUEST |  |  |
| 3 | UE | READ EFIMSI |  |  |  |
| 4 | TT > UE | Send PAGING with the IMSI stored in the USIM |  |  |  |
| 5 | UE > TT | Send RRC CONNECTION REQUEST | The TT responds with a RRC CONNECTION SETUP | CR 1 CR 2 |  |
| 6 | UE > TT | Send RRC CONNECTION SETUP COMPLETE | The UE performs the EPS attach procedure |  |  |
| 7 | TT > UE | Send RRC CONNECTION RELEASE |  |  |  |

###### 5.1.7.4.2.2 Test procedure B

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE > TT | Send ATTACH REQUEST |  |  |  |
| 2 | TT > UE | Send PAGING-NB with IMSI 24608122222 | The UE does not send an RRCConnectionRequest-NB |  |  |
| 3 | UE | READ EFIMSI |  |  |  |
| 4 | TT > UE | Send PAGING-NB with the IMSI stored in the USIM |  |  |  |
| 5 | UE > TT | Send RRC CONNECTION REQUEST‑NB | The TT responds with a RRC CONNECTION SETUP‑NB | CR 1 CR 2 |  |
| 6 | UE > TT | Send RRC CONNECTION SETUP COMPLETE‑NB | The UE performs the EPS attach procedure |  |  |
| 7 | TT > UE | Send RRC CONNECTION RELEASE-NB |  |  |  |

#### 5.1.7.4 Acceptance criteria

For Procedure A, CR 1 is verified by analysing the RRC CONNECTION REQUEST. The conformance requirement CR 1 is met if the IMSI value stored on the USIM matches the IMSI value provided to the TT (E-USS)

For Procedure B, CR 1 is verified by analysing the RRC CONNECTION REQUEST-NB. The conformance requirement CR 1 is met if the IMSI value stored on the USIM matches the IMSI value provided to the TT (NB-SS).

CR 2 can be implicitly verified by assuring that the RRC CONNECTION REQUEST/RRC CONNECTION REQUEST-NB sent from the UE contains the IMSI value stored on the USIM.

NOTE: An explicit verification of the READ command is done in test 5.1.6 and another verification is not required. Nevertheless, it is optional to explicitly verify the READ EFIMSI command in step 2) using any of the test options A.2/1 or A.2/2.

### 5.1.8 UE identification after changed IMSI with service "EMM Information" not available

#### 5.1.8.1 Definition and applicability

The attach procedure is used to attach for packet services in EPS. With a successful attach procedure, a context is established for the UE in the MME, and a default bearer is established between the UE and the PDN GW, thus enabling always-on IP connectivity to the UE. The network may also initiate the activation of dedicated bearers as part of the attach procedure. For NB-IoT UEs the establishment of the PDN connection is optional.

#### 5.1.8.2 Conformance requirement

CR 1 The following EMM parameters shall be stored on the USIM if the corresponding file is present:

- GUTI;

- last visited registered TAI;

- EPS update status.

Reference:

- TS 31.102 [19], clause 5.1.1 and 5.2.2;

- TS 24.301 [21], clause 5.5.1.2.1, 5.5.1.2.2, 5.5.1.2.4 and Annex C.

CR 2 The UE includes the IMSI stored in the USIM during the attach procedure.

#### 5.1.8.3 Test purpose

The purpose of this test is to verify that:

1. the UE deletes existing EMM parameters from the UE's non-volatile memory in case a different IMSI is activated;
2. the UE includes the IMSI stored in the USIM during the attach procedure.

#### 5.1.8.4 Method of test

##### 5.1.8.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exception:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°85: |  | EPS Mobility Management Information | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** |
|  | xxxx xxxx | xxxx xxxx | xx10 xxxx | 0000 0xxx |

The TT (E-USS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001

- Access control: unrestricted

The TT (NB-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001

- Access control: unrestricted

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.1.8.4.2 Procedure

###### 5.1.8.4.2.1 Test procedure A

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE > TT | Send RRC CONNECTION REQUEST | The TT responds with a RRC CONNECTION SETUP |  |  |
| 2 | UE > TT | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 3 | UE > TT | Send ATTACH REQUEST | The TT responds with an ATTACH ACCEPT including the following values:  - GUTI: "24608100010266345678"  - TAI (MCC/MNC/TAC): 246/081/0001 |  |  |
| 4 | UE > TT | Send ATTACH COMPLETE | The TT responds with a RRC CONNECTION RELEASE |  |  |
| 5 | USER/TT | Power off/deactivate the UE |  |  |  |
| 6 | USER/TT | Reconfigure UICC in the UE | The values of the Default UICC as defined in clause 4.5.2 of the present document are used with EFIMSI as defined in clause 4.6.6 |  |  |
| 7 | USER/TT | Run activation of the UE |  |  |  |
| 8 | UE > TT | Send RRC CONNECTION REQUEST | The TT responds with a RRC CONNECTION SETUP |  |  |
| 9 | UE > TT | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 10 | UE > TT | Send ATTACH REQUEST | The UE sends an ATTACH REQUEST including the IMSI as generated in accordance to the definition in clause 4.6.6 but neither a GUTI nor the TAI | CR 1 CR 2 |  |

###### 5.1.8.4.2.2 Test procedure B

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE > TT | Send RRC CONNECTION REQUEST‑NB | The TT responds with a RRC CONNECTION SETUP‑NB |  |  |
| 2 | UE > TT | Send RRC CONNECTION SETUP COMPLETE‑NB |  |  |  |
| 3 | UE > TT | Send ATTACH REQUEST | The TT responds with an ATTACH ACCEPT including the following values:  - GUTI: "24608100010266345678"  - TAI (MCC/MNC/TAC): 246/081/0001 |  |  |
| 4 | UE > TT | Send ATTACH COMPLETE | The TT responds with a RRC CONNECTION RELEASE‑NB |  |  |
| 5 | USER/TT | Power off/deactivate the UE |  |  |  |
| 6 | USER/TT | Reconfigure UICC in the UE | The values of the Default UICC as defined in clause 4.5.2 of the present document are used with EFIMSI as defined in clause 4.6.6 |  |  |
| 7 | USER/TT | Run activation of the UE |  |  |  |
| 8 | UE > TT | Send RRC CONNECTION REQUEST‑NB | The TT responds with a RRC CONNECTION SETUP COMPLETE‑NB |  |  |
| 9 | UE > TT | Send RRC CONNECTION SETUP COMPLETE‑NB |  |  |  |
| 10 | UE > TT | Send ATTACH REQUEST | The UE sends an ATTACH REQUEST including the IMSI as generated in accordance to the definition in clause 4.5.84.6.6 but neither a GUTI nor the TAI | CR 1 CR 2 |  |

#### 5.1.8.5 Acceptance criteria

CR 1 and CR 2 can be implicitly verified by assuring that the ATTACH REQUEST sent from the UE in step 10) contains the IMSI value provided during reconfiguration (step 6)).

NOTE: As service n°85 is not available, no EMM parameters shall be stored on the USIM. Further verification of CR 1 is not needed.

### 5.1.9 UE identification by GUTI when using USIM with service "EMM Information" not available

5.1.9.1 Definition and applicability

The attach procedure is used to attach for packet services in EPS. With a successful attach procedure, a context is established for the UE in the MME, and a default bearer is established between the UE and the PDN GW, thus enabling always-on IP connectivity to the UE. The network may also initiate the activation of dedicated bearers as part of the attach procedure. For NB-IoT UEs the establishment of the PDN connection is optional.

5.1.9.2 Conformance requirement

CR 1 The following EMM parameters shall be stored on the USIM if the corresponding file is present:

- GUTI;

- last visited registered TAI;

- EPS update status

NOTE: If the corresponding file is not present on the USIM, these EMM parameters except allowed CSG list are stored in a non-volatile memory in the ME together with the IMSI from the USIM. These EMM parameters can only be used if the IMSI from the USIM matches the IMSI stored in the non-volatile memory; else the UE shall delete the EMM parameters.

Reference:

- TS 31.102 [19], clause 5.1.1 and 5.2.2;

- TS 24.301 [21], clause 5.5.1.2.1, 5.5.1.2.2, 5.5.1.2.4 and Annex C.

CR 2 The UE uses the GUTI and the TAI from the UE's non-volatile memory during the attach procedure if the IMSI stored in the UE's non-volatile memory and the IMSI stored in the USIM are identical.

5.1.9.3 Test purpose

The purpose of this test is to verify that:

1) the UE stores the GUTI and the TAI in the UE's non-volatile memory;

2) the UE includes the IMSI stored in the USIM during the attach procedure.

5.1.9.4 Method of test

5.1.9.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exception:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°85: |  | EPS Mobility Management Information | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** |
|  | xxxx xxxx | xxxx xxxx | xx10 xxxx | 0000 0xxx |

The TT (E-USS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001

- Access control: unrestricted

The TT (NB-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001

- Access control: unrestricted

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

5.1.9.4.2 Procedure

5.1.9.4.2.1 Test procedure A

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Information** | **REQ** | **SA** |
| 1 | UE > TT | Send RRC CONNECTION REQUEST | The TT responds with a RRC CONNECTION SETUP |  |  |
| 2 | UE > TT | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 3 | UE > TT | Send ATTACH REQUEST | The UE sends an ATTACH ACCEPT including the following values:  - GUTI: "24608100010266345678"  - TAI (MCC/MNC/TAC): 246/081/0001 |  |  |
| 4 | UE > TT | Send ATTACH COMPLETE | The TT responds with a RRC CONNECTION RELEASE |  |  |
| 5 | USER/TT | Power off/deactivate the UE, then run activation of the UE |  |  |  |
| 6 | UE > TT | Send RRC CONNECTION REQUEST | The TT responds with a RRC CONNECTION SETUP |  |  |
| 7 | UE > TT | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 8 | UE > TT | Send ATTACH REQUEST | The sent ATTACH ACCEPT includes the following values:  - GUTI: "24608100010266345678"  - TAI (MCC/MNC/TAC): 246/081/0001 | CR 1 CR 2 |  |

5.1.9.4.2.2 Test procedure B

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Information** | **REQ** | **SA** |
| 1 | UE > TT | Send RRC CONNECTION REQUEST‑NB | The TT responds with a RRC CONNECTION SETUP‑NB |  |  |
| 2 | UE > TT | Send RRC CONNECTION SETUP COMPLETE‑NB |  |  |  |
| 3 | UE > TT | Send ATTACH REQUEST | The TT sends an ATTACH ACCEPT including the following values:  - GUTI: "24608100010266345678"  - TAI (MCC/MNC/TAC): 246/081/0001 |  |  |
| 4 | UE > TT | Send ATTACH COMPLETE |  |  |  |
| 5 | TT > UE | Send RRC CONNECTION RELEASE‑NB |  |  |  |
| 6 | USER/TT | Power off/deactivate the UE, then run activation of the UE |  |  |  |
| 7 | UE > TT | Send RRC CONNECTION REQUEST-NB | The TT responds with a RRC CONNECTION SETUP‑NB |  |  |
| 8 | UE > TT | Send RRC CONNECTION SETUP COMPLETE‑NB |  |  |  |
| 9 | UE > TT | Send ATTACH REQUEST | The sent ATTACH ACCEPT includes the following values:  - GUTI: "24608100010266345678"  - TAI (MCC/MNC/TAC): 246/081/0001 | CR 1 CR 2 |  |

5.1.9.5 Acceptance criteria

CR 1 and CR 2 can be implicitly verified by assuring that the ATTACH REQUEST sent from the UE in step 10) contains the GUTI and TAI values provided during the first attach procedure.

NOTE: As service n°85 is not available, no EMM parameters shall be stored on the USIM. Further verification of CR 1 is not needed.

### 5.1.10 UE identification by GUTI when using USIM with service "EMM Information" available

5.1.10.1 Definition and applicability

The attach procedure is used to attach for packet services in EPS. With a successful attach procedure, a context is established for the UE in the MME, and a default bearer is established between the UE and the PDN GW, thus enabling always-on IP connectivity to the UE. The network may also initiate the activation of dedicated bearers as part of the attach procedure. For NB-IoT UEs the establishment of the PDN connection is optional.

#### 5.1.10.2 Conformance requirement

CR 1 The following EMM parameters shall be stored on the USIM if the corresponding file is present:

- GUTI;

- last visited registered TAI;

- EPS update status

NOTE: The presence and format of corresponding files on the USIM is specified in TS 31.102 [19].

Reference:

- TS 31.102 [19], clause 5.1.1 and 5.2.2;

- TS 24.301 [21], clause 5.5.1.2.1, 5.5.1.2.2, 5.5.1.2.4 and Annex C.

CR 2 The UE uses the GUTI and the TAI stored in EFEPSLOCI on the USIM during the attach procedure.

#### 5.1.10.3 Test purpose

The purpose of this test is to verify that:

1) the UE stores the GUTI and the TAI in in EFEPSLOCI if service n°85 is available in EFUST;

2) the UE uses GUTI and the TAI values stored in EFEPSLOCI during the attach procedure.

#### 5.1.10.4 Method of test

##### 5.1.10.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with EFEPSLOCI as defined in clause 4.6.10 and the following exception:

**EFEPSNSC** (EPS NAS Security Context)

Logically:

Key Set Identifier KSIASME: '07' (no key available)

ASME Key (KSIASME): 32 byte key, any value

Uplink NAS count: '00'

Downlink NAS count: '01'

Identifiers of selected NAS integrity  
 and encryption algorithm: '01'

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **…** | **B39** | **B40** |
| Hex | A0 | 34 | 80 | 01 | 07 | 81 | 20 | xx | … | xx | 82 |
|  | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** | **B49** | **B50** | **B51** |
|  | 04 | 00 | 00 | 00 | 00 | 83 | 04 | 00 | 00 | 00 | 01 |
|  | **B52** | **B53** | **B54** |
|  | 84 | 01 | 01 |

The TT (E-USS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0002

- Access control: unrestricted

The TT (NB-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0002

- Access control: unrestricted

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.1.10.4.2 Procedure

###### 5.1.10.4.2.1 Test procedure A

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Information** | **REQ** | **SA** |
| 1 | UE > TT | Send RRC CONNECTION REQUEST | The TT responds with a RRC CONNECTION SETUP |  |  |
| 2 | UE > TT | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 3 | UE > TT | Send ATTACH REQUEST | Receiving the ATTACH REQUEST including the values available from EFEPSLOCI as defined in clause 4.6.10, the TT sends an ATTACH ACCEPT including the following values:  - GUTI: "24608100010266436587"  - TAI (MCC/MNC/TAC): 246/081/0002 | CR 2 |  |
| 4 | UE > TT | Send ATTACH COMPLETE | The TT responds with a RRC CONNECTION RELEASE |  |  |

###### 5.1.10.4.2.2 Test procedure B

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Information** | **REQ** | **SA** |
| 1 | UE > TT | Send RRC CONNECTION REQUEST-NB | The TT responds with a RRC CONNECTION SETUP‑NB |  |  |
| 2 | UE > TT | Send RRC CONNECTION SETUP COMPLETE\_NB |  |  |  |
| 3 | UE > TT | Send ATTACH REQUEST | Receiving the ATTACH REQUEST including the values available from EFEPSLOCI as defined in clause 4.6.10, the TT sends an ATTACH ACCEPT including the following values:  - GUTI: "24608100010266436587"  - TAI (MCC/MNC/TAC): 246/081/0002 | CR 2 |  |
| 4 | UE > TT | Send ATTACH COMPLETE | The TT responds with a RRC CONNECTION RELEASE‑NB |  |  |

###### 5.1.10.4.2.3 Common final Test Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Information** | **REQ** | **SA** |
| 5 OR 5+x | UE | READ EFEPSLOCI | The TT is enabled to verify the contents of EFEPSLOCI either while executing step 4) or in an additional action that might be executed after ending the test | CR 1 | A.2/1 OR A.2/2 OR A.2/3 |

#### 5.1.10.5 Acceptance criteria

CR 2 can be implicitly verified by assuring that the ATTACH REQUEST sent from the UE in step 3) contains the GUTI and TAI values provided from the EFEPSLOCI stored on the USIM during configuration.

CR 1 shall be explicitly verified using any of the methods identified in clause 3.7.2 of the present document. CR 1 is met if EFEPSLOCI contains the following data:

**EFEPSLOCI** (EPS Location Information)

Logically:

GUTI: 24608100010266436587

Last visited registered TAI: 246/081/0002

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 0B | F6 | 42 | 16 | 80 | 00 | 01 | 02 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | 66 | 34 | 56 | 78 | 42 | 16 | 80 | 00 |
|  | **B17** | **B18** |
|  | 02 | 00 |

## 5.2 Access Control handling

### 5.2.1 Access Control information handling

GERAN/UTRAN test - not applicable

### 5.2.2 Access Control information handling for E-UTRAN/EPC

#### 5.2.2.1 Definition and applicability

Access Control allows restriction of EPS bearer context activation access attempts. All user equipment (UE) are assigned to one out of ten randomly allocated classes, and optionally (for priority uses) also to one or more special categories.

An Access Class of the special categories is only valid in the HPLMN or HPLMN country. Otherwise, the randomly allocated class is used.

The classes are programmed on the USIM. The network controls which classes at any time may be barred.

Emergency call handling is FFS.

#### 5.2.2.2 Conformance requirement

CR 1 The ME shall read the access control value as part of the USIM-Terminal initialisation procedure and subsequently adopt this value.

Reference:

- TS 31.102 [19], clause 5.1.1.

CR 2 If the UE is a member of at least one access class which corresponds to the permitted classes as signalled over the air interface, and the access class is applicable in the serving network, access attempts are allowed. Otherwise access attempts are not allowed.

Reference:

- TS 22.011 [6], clauses 4.3 and 4.4,

- TS 24.301 [26], clause 5.5.1.2.6,

NOTE: All options are shown in figure 5-2 of [2] and are referenced to the tests.

#### 5.2.2.3 Test purpose

The purpose of this test is to verify that:

1) the ME reads the access control value as part of the USIM-Terminal initialisation procedure, and subsequently adopts this value;

2) the UE controls its network access in accordance with its access control class and the conditions imposed by the serving network.

The tests verify ME performance for the following:

Tests (a) and (b): UE with access class 0 to 9,

Tests (c): UE with access class 11 and 15 not in HPLMN, and  
 UE with access class 12,13 and 14 not in HPLMN country;

Test (d) and (e): UE with access class 11 and 15 not in HPLMN, and  
 UE with access class 12,13 and 14 not in HPLMN country;

Test (g) and (h): UE with access class 11 and 15 in HPLMN, and  
 UE with access class 12,13 and 14 in HPLMN country.

Each of the above are tested against all relevant combinations of access control bits signalled by the network, as shown in table 5-2 of TS 31.121 [2].

#### 5.2.2.4 Method of test

##### 5.2.2.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the exceptions given in TS 31.121 [2] clauses 5.2.2.4.1 and 5.2.2.4.2.

The TT (E-USS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5-2 of [2], TAC="0001".

- Access control: see table 5-2 of [2].

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with an IMSI and access control values as given in table 5-2 of [2] and runs an initial activation.

NOTE: Depending on the initial value of the EFEPSLOCI, the UE may perform a location update. This shall be accepted by the E-USS.

##### 5.2.2.4.2 Coding details

The coding details defined in TS 31.121 [2] clause 5.2.2.4.2 apply.

##### 5.2.2.4.3 Procedure

Execute the test procedure as defined in TS 31.121 [2] clause 5.2.2.4.3.

#### 5.2.2.5 Acceptance criteria

CR 1 is implicitly verified after step a) if the ME has adopted the access control parameters as requested.

CR 2 is verified after step b) if the ME accesses the network, or makes no access attempt, in accordance with the Test Result entries given in table 5-2 of [2].

NOTE 1: barred = yes, in these sub-sequences, the UE shall not establish a connection  
barred = no, the UE shall establish a connection.

NOTE 2: For conformance testing, to limit testing, in test (a), (b) and (c) it is only necessary that one of the access classes is tested. This access class may be chosen randomly.

### 5.2.3 Access Control information handling for NB-IoT

#### 5.2.3.1 Definition and applicability

Access Control allows restriction RRC connection establishment attempts. All user equipment (UE) are assigned to one out of ten randomly allocated classes, and optionally (for priority uses) also to one or more special categories.

An Access Class of the special categories is only valid in the HPLMN or HPLMN country. Otherwise, the randomly allocated class is used.

The classes are programmed on the USIM. The network controls which classes at any time may be barred.

#### 5.2.3.2 Conformance requirement

CR 1 The ME shall read the access control value as part of the USIM-Terminal initialisation procedure and subsequently adopt this value.

Reference:

- TS 31.102 [19], clause 5.1.1.

CR 2 If the UE is a member of at least one access class which corresponds to the permitted classes as signalled over the air interface, and the access class is applicable in the serving network, access attempts are allowed. Otherwise access attempts are not allowed.

Reference:

- TS 22.011 [6], clauses 4.3 and 4.4,

- TS 24.301 [26], clause 5.5.1.2.6,

#### 5.2.3.3 Test purpose

The purpose of this test is to verify that:

1) the ME reads the access control value as part of the USIM-Terminal initialisation procedure, and subsequently adopts this value;

2) the UE controls its network access in accordance with its access control class and the conditions imposed by the serving network.

The tests verify ME performance for the following:

Tests (a) and (b): UE with access class 0 to 9,

Tests (c): UE with access class 11 and 15 not in HPLMN, and  
 UE with access class 12,13 and 14 not in HPLMN country;

Test (d) and (e): UE with access class 11 and 15 not in HPLMN, and  
 UE with access class 12,13 and 14 not in HPLMN country.

Each of the above are tested against all relevant combinations of access control bits signalled by the network, as shown in table 5-3 of TS 31.121 [2].

#### 5.2.3.4 Method of test

##### 5.2.3.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the exceptions given in TS 31.121 [2] clauses 5.2.3.4.1 and 5.2.3.4.2.

The TT (E-USS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5-3 of [2], TAC="0001".

- Access control: see table 5-3 of [2].

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with an IMSI and access control values as given in table 5-3 of [2] and runs an initial activation.

NOTE: Depending on the initial value of the EFEPSLOCI, the UE may perform a location update. This shall be accepted by the TT (NB-SS).

##### 5.2.3.4.2 Coding details

The coding details defined in TS 31.121 [2] clause 5.2.3.4.2 apply.

##### 5.2.3.4.3 Procedure

Execute the test procedure as defined in TS 31.121 [2] clause 5.2.3.4.3.

#### 5.2.3.5 Acceptance criteria

CR 1 is implicitly verified after step a) if the ME has adopted the access control parameters as requested.

CR 2 is verified after step a) if the ME accesses the network, or makes no access attempt, in accordance with the Test Result entries given in table 5-3 of [2].

NOTE 1: barred = yes, in these sub-sequences, the UE shall not establish a connection  
barred = no, the UE shall establish a connection.

NOTE 2: For conformance testing, to limit testing, in test (a), (b) and (c) it is only necessary that one of the access classes is tested. This access class may be chosen randomly.

## 5.3 Handling subscription identifier privacy for 5G

### 5.3.1 SUCI calculation by ME using null scheme

#### 5.3.1.1 Definition and applicability

If the operator's decision is that ME shall calculate the SUCI, the home network operator shall provision a list of the Protection Scheme Identifiers in the USIM that the operator allows. The list of Protection Scheme Identifiers in the USIM may contain one or more Protection Scheme Identifiers in the order of their priority. The ME shall read the SUCI calculation information from the USIM, including the SUPI, the Home Network Public Key, the Home Network Public Key Identifier, and the list of Protection Scheme Identifiers. The ME shall select the protection scheme from its supported schemes that has the highest priority in the list obtained from the USIM.

The ME shall calculate the SUCI using the null-scheme if the highest priority of the protection schemes listed in the USIM is the null-scheme.

#### 5.3.1.2 Conformance requirement

CR 1 The SUCI calculation procedure shall be performed by the ME if Service n°124 is available and Service n°125 is not available in EFUST.

Reference:

- TS 31.102 [19], clauses 4.4.11.8 and 4.4.11.11

CR 2 The ME shall read the SUCI calculation information from the USIM, including the SUPI, the Home Network Public Key, the Home Network, Public Key Identifier, and the list of Protection Scheme Identifiers.

Reference:

- TS 31.102 [19], clauses 4.4.11.8 and 4.4.11.11 and clauses 5.3.47 and 5.3.51.

CR 3 The ME shall calculate the SUCI including the SUCI calculation information procedure and the routing indicator procedure, using the null-scheme if highest priority of the protection schemes listed in the USIM is the null-scheme.

Reference:

- TS 31.102 [19], clauses 5.3.47 and 5.3.51;

- TS 33.501 [24], clause Annex C.

CR 4 The UE shall successfully authenticate and perform an initial registration on the network.

Reference:

- TS 24.501 [25], clause 5.5.1.2.4.

#### 5.3.1.3 Test purpose

The purpose of this test is to verify that:

1) the ME correctly reads EFSUCI\_Calc\_Info, EFRouting\_indicator and EFIMSI from the USIM,

2) the SUCI calculation procedure is performed by the ME if Service n°124 is available and Service n°125 is not available in EFUST,

3) the ME selects the protection scheme that has the highest priority from the list obtained by the USIM,

4) the ME is calculating the SUCI using the null-scheme\*,

5) the UE successfully registers to the network.

NOTE: In the used configuration the null-scheme is the protection scheme with the highest priority listed in the USIM.

#### 5.3.1.4 Method of test

##### 5.3.1.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5, EFRouting\_Indicator as defined in clause 4.6.7, and the following exception:

**EFSUCI\_Calc\_Info** (Subscription Concealed Identifier Calculation Information EF)

Logically:

Protection Scheme Identifier List data object:

Protection Scheme Identifier 1 – null-scheme

Key Index 1: 0

Protection Scheme Identifier 2 – ECIES scheme profile B

Key Index 2: 1

Protection Scheme Identifier 3 – ECIES scheme profile A

Key Index 3: 2

Home Network Public Key List data object:

Home Network Public Key 1 Identifier:27

Home Network Public Key 1:

04 72 DA 71 97 62 34 CE 83 3A 69 07 42 58 67 B8 2E 07 4D 44 EF 90 7D FB 4B 3E 21 C1 C2 25 6E

BC D1 5A 7D ED 52 FC BB 09 7A 4E D2 50 E0 36 C7 B9 C8 C7 00 4C 4E ED C4 F0 68 CD 7B F8 D3

F9 00 E3 B4

Home Network Public Key 2 Identifier: 30

Home Network Public Key 2:

5A 8D 38 86 48 20 19 7C 33 94 B9 26 13 B2 0B 91 63 3C BD 89 71 19 27 3B F8 E4 A6 F4 EE C0 A6

50

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | A0 | 06 | 00 | 00 | 02 | 01 | 01 | 02 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | A1 | 6B | 80 | 01 | 1B | 81 | 41 | 04 |
|  | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 72 | DA | 71 | 97 | 62 | 34 | CE | 83 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
|  | 3A | 69 | 07 | 42 | 58 | 67 | B8 | 2E |
|  | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** |
|  | 07 | 4D | 44 | EF | 90 | 7D | FB | 4B |
|  | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** |
|  | 3E | 21 | C1 | C2 | 25 | 6E | BC | D1 |
|  | **B49** | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** |
|  | 5A | 7D | ED | 52 | FC | BB | 09 | 7A |
|  | **B57** | **B58** | **B59** | **B60** | **B61** | **B62** | **B63** | **B64** |
|  | 4E | D2 | 50 | E0 | 36 | C7 | B9 | C8 |
|  | **B65** | **B66** | **B67** | **B68** | **B69** | **B70** | **B71** | **B72** |
|  | C7 | 00 | 4C | 4E | ED | C4 | F0 | 68 |
|  | **B73** | **B74** | **B75** | **B76** | **B77** | **B78** | **B79** | **B80** |
|  | CD | 7B | F8 | D3 | F9 | 00 | E3 | B4 |
|  | **B81** | **B82** | **B83** | **B84** | **B85** | **B86** | **B87** | **B88** |
|  | 80 | 01 | 1E | 81 | 20 | 5A | 8D | 38 |
|  | **B89** | **B90** | **B91** | **B92** | **B93** | **B94** | **B95** | **B96** |
|  | 86 | 48 | 20 | 19 | 7C | 33 | 94 | B9 |
|  | **B97** | **B98** | **B99** | **B100** | **B101** | **B102** | **B103** | **B104** |
|  | 26 | 13 | B2 | 0B | 91 | 63 | 3C | BD |
|  | **B105** | **B106** | **B107** | **B108** | **B109** | **B110** | **B111** | **B112** |
|  | 89 | 71 | 19 | 27 | 3B | F8 | E4 | A6 |
|  | **B113** | **B114** | **B115** | **B116** | **B117** |
|  | F4 | EE | C0 | A6 | 50 |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.1.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | READ EFUST | (Evaluation of service settings) | CR 1 |  |
| 2 | UE | READ EFIMSI, EFSUCI\_Calc\_Info, EFRouting\_indicator |  | CR 2 | A.2/1 OR A.2/2 |
| 3 | ME | Perform SUCI calculation | The ME performs a SUCI calculation using null scheme | CR 3 |  |
| 4 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" |  |  |
| 5 | TT > UE | Send REGISTRATION ACCEPT | The TT sends a REGISTRATION ACCEPT with 5G‑GUTI |  |  |
| 6 | UE > TT | Send REGISTRATION COMPLETE |  | CR 4 |  |

#### 5.3.1.5 Acceptance criteria

CR 1 and CR 3 are implicitly verified in step 4). The conformance requirements are met if the 5GS mobile identity IE in the REGISTRATION REQUEST performed in step 4) includes the following values:

- SUPI format: 0

- Home Network Identifier: 246/081

- Routing Indicator: 1y

- Protection Scheme Identifier: 00

- Home Network Public Key Identifier: 0

- Scheme output: 35793579x

CR 2 can be verified by a method explicitly verifying the correct execution of the READ commands on the listed EFs (A.2/1 or A.2/2). CR 2 is met if the READ procedure on EFSUCI\_Calc\_Info, EFRouting\_indicator and EFIMSI has been executed as defined in [28], clause 14.1.1.

CR 4 is met if the UE sends REGISTRATION COMPLETE message to the TT (NG-SS) in step 6).

NOTE: It is optional to explicitly verify CR 1 in step 1) using any of the test options A.2/1 or A.2/2, checking the service options set in EFUST.

### 5.3.2 SUCI calculation by ME using Profile B

#### 5.3.2.1 Definition and applicability

If the operator's decision is that ME shall calculate the SUCI, the home network operator shall provision a list of the Protection Scheme Identifiers that the operator allows in the USIM. The list of Protection Scheme Identifiers in the USIM may contain one or more Protection Scheme Identifiers in order of their priority. The ME shall read the SUCI calculation information from the USIM, including the SUPI, the Home Network Public Key, the Home Network Public Key Identifier, and the list of Protection Scheme Identifiers. The ME shall select the protection scheme from its supported schemes that has the highest priority in the list obtained from the USIM.

#### 5.3.2.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the ME if Service n°124 is available and Service n°125 is not available in EFUST

Reference:

- TS 31.102 [19], clause 4.4.11.8

CR 2 As part of the SUCI calculation performed by the ME, the ME performs the reading procedure with EFSUCI\_Calc\_Info.

Reference:

- TS 31.102 [19], clause 4.4.11.8 and 5.3.47

CR 3 The ME shall calculate the SUCI using the ECIES scheme profile B if highest priority of the protection schemes listed in the USIM is the ECIES scheme profile B.

Reference:

- TS 31.102 [19], clause 4.4.11.8

- TS 33.501 [24], Annex C, clause C.3 and C.4

- TS 24.501 [25], clause 9.11.3

CR 4 The UE shall successfully authenticate and perform an initial registration on the network.

Reference:

- TS 24.501 [25], clause 5.5.1.2.4.

#### 5.3.2.3 Test purpose

The purpose of this test is to verify that:

1. the ME reads the SUCI calculation information from the USIM, including the SUPI, the Home Network Public Key, the Home Network Public Key Identifier, and the list of Protection Scheme Identifiers,

2) the ME selects the protection scheme from its supported schemes that has the highest priority in the list obtained from the USIM (i.e. ECIES scheme profile B and the home network public key),

3) the UE successfully registers to the network.

NOTE: In the used configuration profile B is the protection scheme with the highest priority listed in the USIM.

#### 5.3.2.4 Method of test

##### 5.3.2.4.1 Initial conditions

The values of the default 5G-NR UICC defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5, EFRouting\_Indicator as defined in clause 4.6.7.

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001.

- Access control: unrestricted.

The TT (NG-SS) shall be configured with Home Network Private Key as following:

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | F1 | AB | 10 | 74 | 47 | 7E | BC | C7 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | 5F | 54 | EA | 1C | 5F | C3 | 68 | B1 |
|  | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 61 | 67 | 30 | 15 | 5E | 00 | 41 | AC |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
|  | 44 | 7D | 63 | 01 | 97 | 5F | EC | DA |

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.2.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | READ EFUST | (Evaluation of service settings) | CR 1 |  |
| 2 | UE | READ EFSUCI\_Calc\_Info |  | CR 2 |  |
| 3 | ME | Perform SUCI calculation | The ME performs a SUCI calculation using Profile B | CR 3 |  |
| 4 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" |  |  |
| 5 | TT > UE | Send REGISTRATION ACCEPT | The TT sends a REGISTRATION ACCEPT with a new 5G-GUTI |  |  |
| 6 | UE > TT | Send REGISTRATION COMPLETE |  | CR 4 |  |

#### 5.3.2.5 Acceptance criteria

Actions required to fulfil CR 1, CR 2 and CR 3 are executed by the ME. The implicitly verification of these requirements is done in step 4). The conformance requirements are met if the 5GS mobile identity IE in the REGISTRATION REQUEST performed in step 4) includes the following values:

- SUPI format: 0

- Home Network Identifier: 246/081

- Routing Indicator: 1y

- Protection Scheme Identifier: 02

- Home Network Public Key Identifier: 27

- Scheme output: ECC ephemeral public key, encryption of 35793579x and  
 MAC tag value

CR 4 is met if the UE sends REGISTRATION COMPLETE message to the TT (NG-SS) in step 6).

NOTE: It is optional to explicitly verify CR 1 and CR 2 using any of the test options A.2/1 or A.2/2, checking the READ commands on EFSUCI\_Calc\_Info (CR 2) and evaluating the service options settings in EFUST (CR 1).

### 5.3.3 UE identification by SUCI during initial registration – SUCI calculation by USIM using profile B

#### 5.3.3.1 Definition and applicability

If the operator's decision, indicated by the USIM, is that the USIM shall calculate the SUCI, then the USIM shall not give the ME any parameter for the calculation of the SUCI including the Home Network Public Key Identifier, the Home Network Public Key, and the Protection Scheme Identifier. If the ME determines that the calculation of the SUCI, indicated by the USIM, shall be performed by the USIM, the ME shall delete any previously received or locally cached parameters for the calculation of the SUCI including the Routing Indicator, the Home Network Public Key Identifier, the Home Network Public Key and the Protection Scheme Identifier.

#### 5.3.3.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the USIM if services n°124 and n°125 are available in EFUST.

Reference:

- TS 31.102 [19], clause 4.4.11.8

CR 2 The ME shall use the GET IDENTITY command in SUCI context to retrieve the SUCI calculated by the USIM.

Reference:

- TS 31.102 [19], clause 5.3.48

CR 3 This GET IDENTITY command shall be as per 7.5.2 in TS 31.102 [19].

Reference:

- TS 31.102 [19], clause 4.4.11.8

- TS 33.501 [24], Annex C, clause C.3 and C.4

- TS 24.501 [25], clause 9.11.3

CR 4 The UE shall successfully authenticate and perform an initial registration on the network.

Reference:

- TS 24.501 [25], clause 5.5.1.2.4.

#### 5.3.3.3 Test purpose

The purpose of this test is to verify that:

1. the GET IDENTITY command is performed correctly by the ME;

2) the ME includes the SUCI received from the 5G-NR UICC within GET IDENTITY response in the 5GS mobile identity IE

#### 5.3.3.4 Method of test

##### 5.3.3.4.1 Initial conditions

The values of the default 5G-NR UICC defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°125: |  | SUCI calculation by the USIM | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** |  |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx1 111x |  |  |  |

5G-NR UICC is configured with:

Protection Scheme Identifier: ECIES scheme profile B

Key Index: 1

Home Network Public Key Identifier: 27

Home Network Public Key:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | 04 | 72 | DA | 71 | 97 | 62 | 34 | CE |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
| 83 | 3A | 69 | 07 | 42 | 58 | 67 | B8 |
| **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
| 2E | 07 | 4D | 44 | EF | 90 | 7D | FB |
| **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
| 4B | 3E | 21 | C1 | C2 | 25 | 6E | BC |
| **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** |
| D1 | 5A | 7D | ED | 52 | FC | BB | 09 |
| **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** |
| 7A | 4E | D2 | 50 | E0 | 36 | C7 | B9 |
| **B49** | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** |
| C8 | C7 | 00 | 4C | 4E | ED | C4 | F0 |
| **B57** | **B58** | **B59** | **B60** | **B61** | **B62** | **B63** | **B64** |
| 68 | CD | 7B | F8 | D3 | F9 | 00 | E3 |
| **B65** |
| B4 |

**EFSUCI\_Calc\_Info**: Not available to the ME

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001.

- Access control: unrestricted.

The TT (NG-SS) shall be configured with Home Network Private Key for profile B:

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | F1 | AB | 10 | 74 | 47 | 7E | BC | C7 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | 5F | 54 | EA | 1C | 5F | C3 | 68 | B1 |
|  | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 61 | 67 | 30 | 15 | 5E | 00 | 41 | AC |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
|  | 44 | 7D | 63 | 01 | 97 | 5F | EC | DA |

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.3.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | READ EFUST | (Evaluation of service settings) |  |  |
| 2 | USIM | Perform SUCI calculation | The USIM performs a SUCI calculation using Profile B | CR 1 |  |
| 3 | ME > USIM | Send GET IDENTITY | The USIM returns the calculated SUCI. The GET IDENTITY command is sent with Identity Context in P2 as SUCI (0x01) | CR 2 CR3 | A.2/1 OR A.2/2 |
| 4 | UE > TT | Send REGISTRATION REQUEST | The UE sends aREGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" |  |  |
| 5 | TT > UE | Send REGISTRATION ACCEPT | The TT sends aREGISTRATION ACCEPT with a new 5G-GUTI |  |  |
| 6 | UE > TT | Send REGISTRATION COMPLETE |  | CR 4 |  |

#### 5.3.3.5 Acceptance criteria

CR 1 is implicitly verified. The requirement is met if the expected SUCI is included in the REGISTRATION REQUEST in step 4). The SUCI in the 5GS mobile identity IE. The REGISTRATION REQUEST shall include the following values:

- SUPI format: 0

- Home Network Identifier: 246/081

- Routing Indicator: 1y

- Protection Scheme Identifier: 02

- Home Network Public Key Identifier: 27

- Scheme output: ECC ephemeral public key, encryption of 35793579x and  
 MAC tag value

CR 2 and CR 3 can be verified by a method explicitly verifying the correct execution of the GET IDENTITY command using the expected values (A.2/1 or A.2/2).

CR 4 is met if the UE sends REGISTRATION COMPLETE message to the NG-SS in step 6).

### 5.3.4 UE identification by SUCI in response to IDENTITY REQUEST message

#### 5.3.4.1 Definition and applicability

The identification procedure is specified to request a particular UE to provide specific identification parameters, e.g. the SUCI or the IMEI. The SUCI is a privacy preserving identifier containing the concealed SUPI and IMEI is a format of PEI.

The network initiates the identification procedure by sending an IDENTITY REQUEST message to the UE and starting timer T3570. The IDENTITY REQUEST message specifies the requested identification parameters in the Identity type information element and the UE shall be ready to respond to an IDENTITY REQUEST message at any time whilst in 5GMM‑CONNECTED mode.

#### 5.3.4.2 Conformance requirement

CR 1 A UE shall be ready to respond to an IDENTITY REQUESTmessage at any time whilst in 5GMM‑CONNECTED mode.

CR 2 Upon receipt of the IDENTITY REQUESTmessage, if the Identity type IE in the IDENTITY REQUESTmessage is set to "SUCI", the UE shall:

- if timer T3519 is not running, generate a fresh SUCI as specified in TS 33.501 [41], send an IDENTITY RESPONSEmessage with the SUCI, start timer T3519 and store the value of the SUCI sent in the IDENTITY RESPONSE message; and

- if timer T3519 is running, send an IDENTITY RESPONSE message with the stored SUCI.

CR 3 If the REGISTRATION ACCEPT message contained a 5G-GUTI, the UE shall return a REGISTRATION COMPLETE message to the AMF to acknowledge the received 5G-GUTI, stop timer T3519 if running, and delete any stored SUCI.

Reference:

- TS 31.102 [19], clauses 5.3.47 and 5.3.51;

- TS 33.501 [24], clause Annex C.

CR 4 The ME shall correctly read EFSUCI\_Calc\_Info, EFRouting\_indicator and EFIMSI from the USIM.

Reference:

- TS 31.102 [19], clause 4.4.11.8 and 5.3.47

- TS 24.501 [25], clause 5.5.1.2.4.

#### 5.3.4.3 Test purpose

The purpose of this test is to verify that:

1. the ME correctly performs the READ commands for EFSUCI\_Calc\_Info, EFRouting\_indicator and EFIMSI from the USIM,
2. the UE will perform SUCI calculation procedure correctly;
3. upon reception of the IDENTITY REQUEST message with Identity type IE set to "SUCI", the UE will:

- if timer T3519 is not running, generate a fresh SUCI, send an IDENTITY RESPONSE message with the SUCI, start timer T3519 and store the value of the SUCI sent in the IDENTITY RESPONSE message; and

- if timer T3519 is running, send an IDENTITY RESPONSE message with the stored SUCI;

1. upon reception of the REGISTRATION ACCEPT message containing a 5G-GUTI UE deletes the stored SUCI and stops timer T3519 if running.

#### 5.3.4.4 Method of test

##### 5.3.4.4.1 Initial conditions

The values of the default 5G-NR UICC defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5, EFRouting\_Indicator as defined in clause 4.6.7 and the following exception:

**EF5GS3GPPLOCI** (5GS 3GPP Location Information)

Logically:

5G-GUTI: 244 083 00010266436587

TAI (MCC/MNC/TAC): 244/083/000001

5GS update status: 5U2 NOT UPDATED

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | 00 | 0B | F2 | 42 | 34 | 80 | 00 | 01 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | 02 | 66 | 43 | 65 | 87 | 42 | 34 | 80 |
|  | **B17** | **B18** | **B19** | **B20** |
|  | 00 | 00 | 01 | 01 |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Cell B:

- TAI (MCC/MNC/TAC): 244/084/000001

- Access control: unrestricted.

The Cell A on the TT (NG-SS) is activated and transmits on the BCCH.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.4.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | READ EFIMSI, EFSUCI\_Calc\_Info, EFRouting\_indicator |  | CR 4 | A.2/1 OR A.2/2 |
| 2 | UE > TT | Send REGISTRATION REQUEST | The UE sends aREGISTRATION REQUEST to Cell A with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "5G‑GUTI" and starts the timer T3519 |  |  |
| 3 | TT > UE | Send IDENTITY REQUEST | The TT sends anIDENTITY REQUESTindicating that the Identity type information element is "SUCI" and starts the timer T3570 |  |  |
| 4 | UE > TT | Send IDENTITY RESPONSE | The UE sends an IDENTITY RESPONSEmessage with a fresh generated SUCI, starts the timer T3519 and stores the new SUCI | CR 1 CR 2 |  |
| 5 | TT > UE | Send IDENTITY REQUEST | The TT ignores theIDENTITY RESPONSEsent by the UE and re-sends the IDENTITY REQUESTindicating that the Identity type information element is "SUCI" before the expiry of T3519 |  |  |
| 6 | UE > TT | Send IDENTITY RESPONSE | The UE sends an IDENTITY RESPONSE message with the stored SUCI | CR 1 CR 2 |  |
| 7 | TT > UE | Send REGISTRATION ACCEPT | The TT sends aREGISTRATION ACCEPTmessage with a 5G‑GUTI and stops timer T3570 (if running) |  |  |
| 8 | UE > TT | Send REGISTRATION COMPLETE | The UE stops the timers T3510 (if running) and T3519 and deletes the stored SUCI | CR 3 |  |
| 9 | TT | Deactivate Cell A on BCCH then activate Cell B on BCCH |  |  |  |
| 10 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST to Cell B with 5GS registration type IE as "mobility registration updating" or as "initial registration" and 5GS mobile identity information element type "5G‑GUTI" and starts timer T3510 |  |  |
| 11 | TT > UE | Send IDENTITY REQUEST | The TT sends an IDENTITY REQUESTindicating that the Identity type information element is "SUCI" and starts timer T3570 |  |  |
| 12 | UE > TT | Send IDENTITY RESPONSE | The UE sends an IDENTITY RESPONSE message with a fresh generated SUCI, starts timer T3519 and stores the new SUCI | CR 1 CR 2 |  |
| 13 | TT > UE | Send REGISTRATION ACCEPT | The TT sends aREGISTRATION ACCEPTmessage with a 5G‑GUTI and stops the timer T3570 (if running) |  |  |
| 14 | UE > TT | Send REGISTRATION COMPLETE | The UE sends a REGISTRATION COMPLETE message, stops the timers T3510 (if running) and T3519 and deletes the stored SUCI | CR 3 |  |

#### 5.3.4.5 Acceptance criteria

CR 1 and CR 2 are implicitly verified in step 4), step 6) and step 12). The conformance requirements are met if the UE sends an IDENTITY RESPONSE message with:

* a fresh generated SUCI if timer T3519 is not running;
* the stored SUCI if timer T3519 is running.

CR 3 is implicitly verified in step 8) and step 14). The conformance requirement is met if the UE sent a REGISTRATION COMPLETE message after receiving the 5G-GUTI.

CR 4 can be verified in step 1) by a method explicitly verifying the correct execution of the READ commands on the listed EFs (A.2/1 or A.2/2). CR 4 is met if the READ procedure on EFSUCI\_Calc\_Info, EFRouting\_indicator and EFIMSI has been executed as defined in [28], clause 14.1.1.

### 5.3.5 UE identification by SUCI in response to IDENTITY REQUEST message with T3519 timer expiry

#### 5.3.5.1 Definition and applicability

The identification procedure is specified to request a particular UE to provide specific identification parameters, e.g. the SUCI or the IMEI. The SUCI is a privacy preserving identifier containing the concealed SUPI and IMEI is a format of PEI.

The network initiates the identification procedure by sending an IDENTITY REQUEST message to the UE and starting timer T3570. The IDENTITY REQUEST message specifies the requested identification parameters in the Identity type information element and the UE shall be ready to respond to an IDENTITY REQUEST message at any time whilst in 5GMM‑CONNECTED mode.

#### 5.3.5.2 Conformance requirement

CR 1 A UE shall be ready to respond to an IDENTITY REQUESTmessage at any time whilst in 5GMM‑CONNECTED mode.

CR 2 Upon receipt of the IDENTITY REQUEST message, if the Identity type IE in the IDENTITY REQUEST message is set to "SUCI", the UE shall:

- if timer T3519 is not running, generate a fresh SUCI as specified in TS 33.501 [41], send an IDENTITY RESPONSE message with the SUCI, start timer T3519 and store the value of the SUCI sent in the IDENTITY RESPONSE message; and

- if timer T3519 is running, send an IDENTITY RESPONSE message with the stored SUCI.

CR 3 If the REGISTRATION ACCEPT message contained a 5G-GUTI, the UE shall return a REGISTRATION COMPLETE message to the AMF to acknowledge the received 5G-GUTI, stop timer T3519 if running, and delete any stored SUCI.

Reference:

- TS 31.102 [19], clauses 5.3.47 and 5.3.51;

- TS 33.501 [24], clause Annex C.

CR 4 On expiry of timer T3519 (60s) the UE shall delete the stored SUCI

Reference:

- TS 24.501 [25], Table 10.2.1.

CR 5 During initial registration the UE handles the 5GS mobile identity IE in the following order as defined in TS 24.501 [25] clause 5.5.1.2.2:

a) a valid 5G-GUTI assigned by the same PLMN;

b) a valid 5G-GUTI assigned by an equivalent PLMN;

c) a valid 5G-GUTI assigned by any other PLMN;

d) a SUCI is available in the UE.

#### 5.3.5.3 Test purpose

The purpose of this test is to verify that:

1. the ME correctly performs the READ commands for EFSUCI\_Calc\_Info, EFRouting\_indicator and EFIMSI from the USIM,
2. upon reception of the IDENTITY REQUEST message with Identity type IE set to "SUCI", the UE will:

- if timer T3519 is not running, generate a fresh SUCI, send an IDENTITY RESPONSE message with the SUCI, start timer T3519 and store the value of the SUCI sent in the IDENTITY RESPONSE message; and

- if timer T3519 is running, send an IDENTITY RESPONSE message with the stored SUCI,

1. upon expiry of T3519 UE deletes the stored SUCI,
2. the UE handles the 5GS mobile identity IE in the correct order during initial registration and use 5G-GUTI as identity when it has both, a valid 5G-GUTI and the SUCI.

#### 5.3.5.4 Method of test

##### 5.3.5.4.1 Initial conditions

The values of the default 5G-NR UICC defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5, EFRouting\_Indicator as defined in clause 4.6.7 and the following exception:

**EF5GS3GPPLOCI** (5GS 3GPP Location Information)

Logically:

5G-GUTI: 244 083 00010266436587

TAI (MCC/MNC/TAC): 244/083/000001

5GS update status: 5U2 NOT UPDATED

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | 00 | 0B | F2 | 42 | 34 | 80 | 00 | 01 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | 02 | 66 | 43 | 65 | 87 | 42 | 34 | 80 |
|  | **B17** | **B18** | **B19** | **B20** |
|  | 00 | 00 | 01 | 01 |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 244/083/000001

- CellIdentity: "000000001"

- Access control: unrestricted.

Cell B :

- TAI (MCC/MNC/TAC): 244/084/000001

- CellIdentity: "000000002"

- Access control: unrestricted.

The Cell A on the TT (NG-SS) is activated and transmits on the BCCH.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.5.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Information** | **REQ** | **SA** |
| 1 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST to Cell A with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "5G‑GUTI" and starts timer T3510 | CR 5 |  |
| 2 | TT > UE | Send IDENTITY REQUEST | The TT sends an IDENTITY REQUEST message indicating that the Identity type information element is "SUCI" | CR 1 CR 2 |  |
| 3 | UE > TT | Send IDENTITY RESPONSE | The UE sends an IDENTITY RESPONSE message with a fresh generated SUCI, starts the timer T3519 and stores the new SUCI |  |  |
| 4 | TT | Deactivate Cell A on BCCH and then activate Cell B on BCCH | Execute before the expiry of timer T3519 and the UE stops timer T3510 |  |  |
| 5 | UE > TT | Send REGISTRATION REQUEST | While timer T3519 is running the UE sends a REGISTRATION REQUEST to Cell B with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "5G‑GUTI" and starts timer T3510 | CR 5 |  |
| 6 | TT > UE | Send IDENTITY REQUEST | The TT sends an IDENTITY REQUEST indicating that the Identity type information element is "SUCI" |  |  |
| 7 | UE > TT | Send IDENTITY RESPONSE | The UE sends an IDENTITY RESPONSE message with the stored SUCI |  |  |
| 8 | TT | Deactivate Cell B on BCCH and thenactivate Cell A on BCCH | Execute after 70 sec (after timer T3519 expires) and the UE stops timer T3510 |  |  |
| 9 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST to Cell A with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "5G‑GUTI" and starts timer T3510 | CR 5 |  |
| 10 | TT > UE | Send IDENTITY REQUEST | The TT sends an IDENTITY REQUEST indicating that the Identity type information element is "SUCI" |  |  |
| 11 | UE > TT | Send IDENTITY RESPONSE | The UE sends an IDENTITY RESPONSE message with the freshly generated SUCI, starts timer T3519 and stores the new SUCI | CR 1 CR 2 |  |
| 12 | TT > UE | Send REGISTRATION ACCEPT | The TT sends a REGISTRATION ACCEPT message with a 5G‑GUTI |  |  |
| 13 | UE > TT | Send REGISTRATION COMPLETE | The UE sends the REGISTRATION COMPLETE message, stops timers T3510 and T3519 (if running) and deletes the stored SUCI | CR 3 CR 4 |  |

#### 5.3.5.5 Acceptance criteria

CR 1 and CR 2 are implicitly verified in step 2) and step 11). The conformance requirements are met if the UE sends an IDENTITY RESPONSE message with:

* a fresh generated SUCI if timer T3519 is not running;
* the stored SUCI if timer T3519 is running.

CR 3 is implicitly verified in step 13). The conformance requirement is met if the UE sent a REGISTRATION COMPLETE message after receiving the 5G-GUTI.

CR 4 is verified in step 13). The conformance requirement is met if no SUCI is stored on the UE, i.e., no two successive GET IDENTITY commands in steps 3), 7) and 11) are identical.

CR 5 is implicitly verified in step 1), step 5) and step 9). The conformance requirement is met if the expected 5GS mobile identity IE is sent in the REGISTRATION REQUEST during initial registration.

### 5.3.6 UE identification by SUCI in response to IDENTITY REQUEST message and AUTHENTICATION REJECT

#### 5.3.6.1 Definition and applicability

The identification procedure is specified to request a particular UE to provide specific identification parameters, e.g. the SUCI or the IMEI. The SUCI is a privacy preserving identifier containing the concealed SUPI and IMEI is a format of PEI.

The network initiates the identification procedure by sending an IDENTITY REQUEST message to the UE and starting timer T3570. The IDENTITY REQUEST message specifies the requested identification parameters in the Identity type information element and the UE shall be ready to respond to an IDENTITY REQUEST message at any time whilst in 5GMM‑CONNECTED mode.

#### 5.3.6.2 Conformance requirement

CR 1 A UE shall be ready to respond to an IDENTITY REQUEST message at any time whilst in 5GMM-CONNECTED mode.

Reference:

- TS 24.501 [25], clause 5.4.3.3

- TS 31.102 [19], clauses 4.4.11.8, 4.4.11.11, 5.3.47 and 5.3.51

CR 2 Upon receipt of the IDENTITY REQUEST message, if the Identity type IE in the IDENTITY REQUEST message is set to "SUCI", the UE shall:

* if timer T3519 is not running, generate a fresh SUCI as specified in TS 33.501 [24], send an IDENTITY RESPONSE message with the SUCI, start timer T3519 and store the value of the SUCI sent in the IDENTITY RESPONSE message;

and

* if timer T3519 is running, send an IDENTITY RESPONSE message with the stored SUCI. The ME shall use the GET IDENTITY command in SUCI context to retrieve the SUCI calculated by the USIM.

Reference:

- TS 24.501 [25], clause 5.4.3.3

CR 3 If the REGISTRATION ACCEPT message contained a 5G-GUTI, the UE shall return a REGISTRATION COMPLETE message to the AMF to acknowledge the received 5G-GUTI, stop timer T3519 if running, and delete any stored SUCI

Reference:

- TS 24.501 [25], clause 5.5.1.2.4

CR 4 If the AUTHENTICATION REJECT message is received by the UE, the UE shall abort any 5GMM signalling procedure, stop any of the timers T3510, T3516, T3517, T3519 or T3521 (if they were running), delete stored SUCI and enter state 5GMM-DEREGISTERED.

Reference:

- TS 24.501 [25], clause 8.2.5

#### 5.3.6.3 Test purpose

The purpose of this test is to verify that:

1. the READ EFSUCI\_Calc\_Info, EFRouting\_Indicator and EFIMSI commands are performed correctly by the ME;
2. the ME will perform SUCI calculation procedure correctly;
3. upon reception of the IDENTITY REQUEST message with Identity type IE set to "SUCI", the UE will:

* if timer T3519 is not running, generate a fresh SUCI as specified in TS 33.501 [24], send an IDENTITY RESPONSE message with the SUCI, start timer T3519 and store the value of the SUCI sent in the IDENTITY RESPONSE message;

and

* if timer T3519 is running, send an IDENTITY RESPONSE message with the stored SUCI;

1. upon receiving the AUTHENTICATION REJECT the UE deletes the stored SUCI.

#### 5.3.6.4 Method of test

##### 5.3.6.4.1 Initial conditions

The values of the default 5G-NR UICC defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EF5GS3GPPLOCI** (5GS 3GPP Location Information)

Logically:

5G-GUTI: 244 083 00010266436587

TAI (MCC/MNC/TAC): 244/083/000001

5GS update status: 5U2 NOT UPDATED

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | 00 | 0B | F2 | 42 | 34 | 80 | 00 | 01 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | 02 | 66 | 43 | 65 | 87 | 42 | 34 | 80 |
|  | **B17** | **B18** | **B19** | **B20** |
|  | 00 | 00 | 01 | 01 |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Cell B:

- TAI (MCC/MNC/TAC): 244/084/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.6.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | TT | Bring up Cell A |  |  |  |
| 2 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST to Cell A with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "5G‑GUTI" and starts the timer T3519 |  |  |
| 3 | TT > UE | Send IDENTITY REQUEST | TheIDENTITY REQUEST is indicating that the Identity type information element is "SUCI" |  |  |
| 4 | UE > TT | Send IDENTITY RESPONSE | The UE sends anIDENTITY RESPONSE containing the fresh generated SUCI and starts the timer T3519 | CR 1 CR 2 CR 3 |  |
| 5 | TT > UE | Send AUTHENTICATION REQUEST |  |  |  |
| 6 | UE > TT | Send AUTHENTICATION RESPONSE |  |  |  |
| 7 | TT > UE | Send AUTHENTICATION REJECT |  |  |  |
| 8 | UE | Stop timer T3510 Stop timer T3519 Delete stored SUCI Abort any 5GMM signalling procedure | Enter state 5GMM-DEREGISTERED | CR 4 |  |
| 9 | TT | Bring down Cell A Bring up Cell B |  |  |  |
| 10 | USER/TT | Power off/deactivate the UE, then run activation of the UE |  |  |  |
| 11 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST to Cell B with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" containing the fresh generated SUCI, starts the timers T3510 and T3519 and delete the stored SUCI |  |  |
| 12 | TT > UE | Send REGISTRATION ACCEPT | TheREGISTRATION ACCEPT message contains a 5G-GUTI |  |  |
| 13 | UE > TT | SendREGISTRATION COMPLETE | The UE i.a. acknowledges the received 5G-GUTI, stops the timers T3510 and T3519 if running and delete the stored SUCI |  |  |
| NOTE: a verification of timer states as indicated in steps 2), 4), 11) and 13) is not required. An explicit verification may be possible on MEs providing the related information. | | | | | |

#### 5.3.6.5 Acceptance criteria

CR 1, CR 2 and CR 3 are implicitly verified if the UE returns an IDENTITY RESPONSE containing the expected SUCI in step 4).

CR 4 can be verified on the TT. The requirement is met if no signalling is received from the UE during step 8).

### 5.3.7 SUCI calculation by the ME using null scheme – missing parameters for subscription identifier privacy support by the USIM

#### 5.3.7.1 Definition and applicability

If the operator's decision is that ME shall calculate the SUCI, the home network operator shall provision a list of the Protection Scheme Identifiers that the operator allows in the USIM. The list of Protection Scheme Identifiers in the USIM may contain one or more Protection Scheme Identifiers in order of their priority. The ME shall read the SUCI calculation information from the USIM, including the SUPI, the Home Network Public Key, the Home Network Public Key Identifier, and the list of Protection Scheme Identifiers. The ME shall select the protection scheme from its supported schemes that has the highest priority in the list obtained from the USIM.

The ME shall calculate the SUCI using the null-scheme if one or more parameters (i.e. Home Network Public Key, Protection Scheme Identifier) required for the calculation of the SUCI are not provisioned in the USIM.

#### 5.3.7.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the ME if Service n°124 is available and Service n°125 is "not available" in EFUST

Reference:

- TS 31.102 [19], clause 5.3.47

CR 2 As part of the SUCI calculation performed by the ME, the ME shall perform reading procedure on EFSUCI\_Calc\_Info and EFRouting\_Indicator.

Reference:

- TS 31.102 [19], clauses 4.4.11.8, 4.4.11.11, 5.3.47 and 5.3.51

CR 3 The ME shall calculate the SUCI using the null-scheme if no Protection Scheme Identifier is provisioned in the USIM or if there is no Home Network Public Key configured in the USIM for the highest priority protection scheme configured in the USIM that the ME supports

Reference:

- TS 33.501 [24], Annex C

#### 5.3.7.3 Test purpose

The purpose of this test is to verify that:

1. the READ EFSUCI\_Calc\_Info, EFRouting\_Indicator and EFIMSI commands are performed correctly by the ME;

2) the ME correctly performs the SUCI calculation procedure using null-scheme.

#### 5.3.7.4 Method of test

##### 5.3.7.4.1 Initial conditions

The values of the default 5G-NR UICC defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

The USIM does not have the Home Network Public Key configured for the highest priority protection scheme configured in the USIM that the ME supports.

**EFSUCI\_Calc\_Info** (Subscription Concealed Identifier Calculation Information EF)

Logically:

null

Coding:

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** |
| Hex | A0 | 02 | 01 | 01 | A1 | 00 |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001,

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.7.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | READ EFIMSI, EFUST, EFSUCI\_Calc\_Info, EFRouting\_indicator | (Evaluation of service settings) | CR 1 | A.2/1 OR A.2/2 |
| 2 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST to Cell A with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" | CR 2 |  |
| 3 | TT > UE | Send REGISTRATION ACCEPT | TheREGISTRATION ACCEPT message contains a 5G-GUTI |  |  |
| 4 | UE > TT | SendREGISTRATION COMPLETE | i.a. acknowledge the received 5G-GUTI |  |  |

#### 5.3.7.5 Acceptance criteria

CR 1 can be verified by a method explicitly verifying the correct execution of the READ commands on the listed EFs (A.2/1 or A.2/2).

CR 2 is met if the 5GS mobile identity IE in the REGISTRATION REQUEST contains the SUCI as coded below:

SUPI format: 0

Home Network Identifier: 246/081

Routing indicator: 17

Protection scheme id: 00

Home network public key Id: 0

Scheme output: 357935793

### 5.3.8 UE identification by 5G-GUTI – Last Registered TAI stored on USIM

#### 5.3.8.1 Definition and applicability

A globally unique temporary user identity for 5GS-based services, the 5G globally unique temporary identity (5G‑GUTI), is used for identification within the signalling procedures. A UE supporting N1 mode includes a valid 5G‑GUTI, if any is available, in the REGISTRATION REQUEST and DEREGISTRATION REQUEST messages.

#### 5.3.8.2 Conformance requirement

CR 1 The following 5GMM parameters shall be stored on the USIM if the corresponding file is present:

- 5G-Globally Unique Temporary Identifier (5G-GUTI);

- Last visited registered Tracking Area Identity in 5GS (TAI);

- 5GS update status.

Reference:

- TS 31.102 [19], clauses 4.4.11.2

CR 2 If the corresponding file is not present on the USIM, these 5GMM parameters are stored in a non-volatile memory in the ME together with the SUPI from the USIM. These 5GMM parameters can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory; else the UE shall delete the 5GMM parameters.

Reference:

- TS 24.501 [25], clauses 5.3.3, 5.5.1.2 and Annex C.

#### 5.3.8.3 Test purpose

The purpose of this test is to verify that:

1. the READ EF5GS3GPPLOCI and EFIMSI commands are performed correctly by the ME;
2. the ME correctly uses the 5G-GUTI in the REGISTRATION REQUEST*.*

#### 5.3.8.4 Method of test

##### 5.3.8.4.1 Initial conditions

The values of the default 5G-NR UICC defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EF5GS3GPPLOCI**

Logically:

5G-GUTI: 244 083 00010266436587

TAI (MCC/MNC/TAC): 244/083/000001

5GS update status: 5U2 NOT UPDATED

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | 00 | 0B | F2 | 42 | 34 | 80 | 00 | 01 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | 02 | 66 | 43 | 65 | 87 | 42 | 34 | 80 |
|  | **B17** | **B18** | **B19** | **B20** |
|  | 00 | 00 | 01 | 01 |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000002

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.8.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | Bring up the NG-SS |  |  |  |
| 2 | UE | READ EFIMSI, EF5GS3GPPLOCI |  | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST to the NG-SS with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "5G‑GUTI" |  |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | TheREGISTRATION ACCEPT message contains:  - 5G-GUTI: "24408300010266555555"  - 5GS TAI list with TAI with:  - TAI: 244/083/000002 |  |  |
| 5 | UE > TT | SendREGISTRATION COMPLETE | The UE i.a. acknowledges the received 5G-GUTI, sends the REGISTRATION COMPLETE message and stops timers T3510 (if running) |  |  |
| 6 | USER/TT | Power off/deactivate the UE, then run activation of the UE | The UE updates the USIM with valid NAS security context |  |  |
| 7 | UE | READ EFIMSI, EF5GS3GPPLOCI |  | CR 1 | A.2/1 OR A.2/2 |
| 8 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST to the NG-SS with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "5G‑GUTI" and starts the timer T3510 | CR 2 |  |
| 9 | TT > UE | Send REGISTRATION ACCEPT | TheREGISTRATION ACCEPT message contains a 5G-GUTI.  The UE i.a. acknowledge the received 5G-GUTI |  |  |
| NOTE: a verification of timer states as indicated in steps 5) and 8) is not required. An explicit verification may be possible on MEs providing the related information. | | | | | |

#### 5.3.8.5 Acceptance criteria

CR 1 can be verified by a method explicitly verifying the correct execution of the READ commands in steps 2) and 7) on the listed EFs (A.2/1 or A.2/2).

CR 2 is implicitly verified if the REGISTRATION REQUEST in step 7) contains the new 5G‑GUTI and the last visited TAI:

SUPI format: 244 083 00010266555555

TAI (MCC/MNC/TAC): 244/083/000002

### 5.3.9 UE identification by 5G-GUTI – Last Registered TAI stored by ME

#### 5.3.9.1 Definition and applicability

A globally unique temporary user identity for 5GS-based services, the 5G globally unique temporary identity (5G‑GUTI), is used for identification within the signalling procedures. A UE supporting N1 mode includes a valid 5G‑GUTI, if any is available, in the REGISTRATION REQUEST and DEREGISTRATION REQUEST messages.

#### 5.3.9.2 Conformance requirement

CR 1 The following 5GMM parameters shall be stored on the USIM if the corresponding file is present:

- 5G-Globally Unique Temporary Identifier (5G-GUTI);

- Last visited registered Tracking Area Identity in 5GS (TAI);

- 5GS update status.

Reference:

- TS 31.102 [19], clauses 4.4.11.2

CR 2 If the corresponding file is not present on the USIM, these 5GMM parameters are stored in a non-volatile memory in the ME together with the SUPI from the USIM. These 5GMM parameters can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory; else the UE shall delete the 5GMM parameters.

Reference:

- TS 24.501 [25], clauses 5.3.3, 5.5.1.2 and Annex C.

#### 5.3.9.3 Test purpose

The purpose of this test is to verify that:

1. the READ EFIMSI commands are performed correctly by the ME;
2. the ME correctly uses the 5G-GUTI in the REGISTRATION REQUEST;
3. the ME stores the new 5G-GUTI in its non-volatile memory if the corresponding file is not present in the USIM.

#### 5.3.9.4 Method of test

##### 5.3.9.4.1 Initial conditions

The values of the default 5G-NR UICC defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5.

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Cell B:

- TAI (MCC/MNC/TAC): 244/084/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.9.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | Bring up the Cell A |  |  |  |
| 2 | UE | READ EFIMSI |  | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST to the NG-SS with 5GS registration type IE as "initial registration" |  |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | TheREGISTRATION ACCEPT message contains:  - 5G-GUTI: "24408300010266436587"  - 5GS TAI list with TAI with:  - TAI: 244/083/000002 |  |  |
| 5 | UE > TT | SendREGISTRATION COMPLETE |  |  |  |
| 6 | USER/TT | Power off/deactivate the UE |  |  |  |
| 7 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST to the NG-SS with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "5G‑GUTI" and starts the timer T3510 | CR 2 |  |
| 8 | TT > UE | Send REGISTRATION ACCEPT | TheREGISTRATION ACCEPT message contains:  - 5G-GUTI: "24408300010266434444"  - 5GS TAI list with TAI with:  - TAI: 244/083/000001 |  |  |
| 9 | UE > TT | SendREGISTRATION COMPLETE | The UE sends a REGISTRATION COMPLETE and stops the timer T3510 |  |  |
| 10 | TT | Bring down Cell A Bring up Cell B |  |  |  |
| 11 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST to the NG-SS with 5GS registration type IE as "mobility registration updating" or as "initial registration" and 5GS mobile identity information element type "5G‑GUTI" and starts the timer T3510 | CR 2 |  |
| NOTE: a verification of timer states as indicated in steps 7), 9) and 11) is not required. An explicit verification may be possible on MEs providing the related information. | | | | | |

#### 5.3.9.5 Acceptance criteria

CR 1 can be verified by a method explicitly verifying the correct execution of the READ commands in step 2) on the listed EFs (A.2/1 or A.2/2).

CR 2 is implicitly verified if the REGISTRATION REQUEST in step 7) contains the following parameters:

5G-GUTI: 244 083 00010266436587

Last visited registered TAI: 244/083/000002

and in step 11):

5G-GUTI: 244 083 00010266434444

Last visited registered TAI: 244/083/000001

### 5.3.10 UE identification after SUPI is changed

#### 5.3.10.1 Definition and applicability

A globally unique temporary user identity for 5GS-based services, the 5G globally unique temporary identity (5G‑GUTI), is used for identification within the signalling procedures. A UE supporting N1 mode includes a valid 5G‑GUTI, if any is available, in the REGISTRATION REQUEST and DEREGISTRATION REQUEST messages.

#### 5.3.10.2 Conformance requirement

CR 1 The following 5GMM parameters shall be stored on the USIM if the corresponding file is present:

- 5G-Globally Unique Temporary Identifier (5G-GUTI);

- Last visited registered Tracking Area Identity in 5GS (TAI);

- 5GS update status;

- 5G NAS security context parameters from a full native 5G NAS security context.

NOTE: The presence and format of corresponding files on the USIM is specified in 3GPP TS 31.102 [4].

Reference:

- TS 31.102 [19], clauses 4.4.11.2

CR 2 If the corresponding file is not present on the USIM, these 5GMM parameters are stored in a non-volatile memory in the ME together with the SUPI from the USIM. These 5GMM parameters can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory; else the UE shall delete the 5GMM parameters.

Reference:

- TS 24.501 [25], clauses 5.3.3, 5.5.1.2 and Annex C.

CR 3 The ME correctly performs the READ BINARY command on EFIMSI.

References:

- TS 31 101 [33], clause 11.1.3;

- ETSI TS 102 221 [8], clause 11.1.3 and 14.1.1.

#### 5.3.10.3 Test purpose

The purpose of this test is to verify that:

1. the READ EFIMSI commands are performed correctly by the ME;
2. the ME deletes the 5GMM parameters from non-volatile memory in case SUPI is changed.

#### 5.3.10.4 Method of test

##### 5.3.10.4.1 Initial conditions

The values of the E‑UTRAN UICC defined in clause 4.5.3 of the present document are used with EFIMSI as defined in clause 4.6.5.

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.10.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | READ EFIMSI |  | CR 3 | A.2/1 OR A.2/2 |
| 2 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST to the NG-SS with 5GS registration type IE as "initial registration" |  |  |
| 3 | TT > UE | Send REGISTRATION ACCEPT | TheREGISTRATION ACCEPT message contains:  - 5G-GUTI: "24408300010266436587"  - 5GS TAI list with TAI with:  - TAI: 244/083/000001 |  |  |
| 4 | UE > TT | SendREGISTRATION COMPLETE |  |  |  |
| 5 | USER/TT | Power off/deactivate the UE |  |  |  |
| 6 | UE | Change UICC configuration | The IMSI is set to: "246081685533963" |  |  |
| 7 | USER/TT | Run activation of the UE |  |  |  |
| 8 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST to the NG-SS | CR 1 CR 2 |  |

#### 5.3.10.5 Acceptance criteria

CR 1 and CR 2 are implicitly verified in the REGISTRATION REQUESTs in step 8). The used E‑UTRAN UICC shall not be capable to store any 5G related information. Therefore, none of the information provided in step 3) shall be available second REGISTRATION REQUEST.

### 5.3.11 SUCI calculation by ME using Profile A

#### 5.3.11.1 Definition and applicability

If the operator's decision is that the ME shall calculate the SUCI, the Home Network Operator shall provision a list of the Protection Scheme Identifiers that the operator allows in the USIM. The list of Protection Scheme Identifiers in the USIM may contain one or more Protection Scheme Identifiers in order of their priority. The ME shall read the SUCI calculation information from the USIM, including the SUPI, the Home Network Public Key, the Home Network Public Key Identifier, and the list of Protection Scheme Identifiers. The ME shall select the protection scheme from its supported schemes that has the highest priority in the list obtained from the USIM.

#### 5.3.11.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the ME if Service n°124 is available and Service n°125 is not available in EFUST

Reference:

- TS 31.102 [19], clause 5.3.47

CR 2 As part of the SUCI calculation performed by the ME, the ME performs the reading procedure with EFSUCI\_Calc\_Info.

Reference:

- TS 31.102 [19], clauses 4.4.11.8 and 5.3.47.

CR 3 The ME shall calculate the SUCI using the ECIES scheme profile A if highest priority of the protection schemes listed in the USIM is the ECIES scheme profile A.

References:

- TS 31 101 [33], clause 11.1.3;

- ETSI TS 102 221 [8], clause 11.1.3 and 14.1.1.

#### 5.3.11.3 Test purpose

The purpose of this test is to verify that:

1. the READ EFRouting\_Indicator, EFSUCI\_Calc\_Info and EFIMSI commands are performed correctly by the ME;
2. To verify that the ME performs SUCI calculation procedure using the profile with the highest priority (i.e. ECIES scheme profile A and the Home Network Public Key).

#### 5.3.11.4 Method of test

##### 5.3.11.4.1 Initial conditions

The values of the default 5G-NR UICC defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFSUCI\_Calc\_Info** (Subscription Concealed Identifier Calculation Information EF)

Logically:

Protection Scheme Identifier List data object:

Protection Scheme Identifier 1 – ECIES scheme profile A

Key Index 1: 1

Protection Scheme Identifier 2 – ECIES scheme profile B

Key Index 2: 2

Protection Scheme Identifier 3 – null-scheme

Key Index 3: 0

Home Network Public Key List data object

Home Network Public Key 1 Identifier: 30

Home Network Public Key 1:

- 5A 8D 38 86 48 20 19 7C 33 94 B9 26 13 B2 0B 91 63 3C BD 89 71 19 27 3B F8 E4 A6 F4 EE C0 A6 50

Home Network Public Key 2 Identifier: 27

Home Network Public Key 2:

- 04 72 DA 71 97 62 34 CE 83 3A 69 07 42 58 67 B8 2E 07 4D 44 EF 90 7D FB 4B 3E 21 C1 C2 25 6E BC D1 5A 7D ED 52 FC BB 09 7A 4E D2 50 E0 36 C7 B9 C8 C7 00 4C 4E ED C4 F0 68 CD 7B F8 D3 F9 00 E3 B4

The NG-SS shall be configured with Home Network Private Key as following (for Profile A):

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Coding:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | C5 | 3C | 22 | 20 | 8B | 61 | 86 | 0B |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
| 06 | C6 | 2E | 54 | 06 | A7 | B3 | 30 |
| **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
| C2 | B5 | 77 | AA | 55 | 58 | 98 | 15 |
| **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
| 10 | D1 | 28 | 24 | 7D | 38 | BD | 1D |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.11.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | READ EFIMSI, EFUST, EFRouting\_Indicator and EFSUCI\_Calc\_Info | (Evaluation of service settings) | CR 2 | A.2/1 OR A.2/2 |
| 2 | ME | Perform SUCI calculation | The ME performs a SUCI calculation using Profile A | (CR 3) |  |
| 3 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST to the NG-SS with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" | CR 1 |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT |  |  |  |
| 5 | UE > TT | SendREGISTRATION COMPLETE |  |  |  |

#### 5.3.11.5 Acceptance criteria

CR 1 and CR 3 are implicitly verified in the REGISTRATION REQUEST in step 3). The requirements are met if the REGISTRATION REQUEST sent to the NG-SS contains:

SUPI format: 0

Home Network Identifier: 246/081

Routing indicator: 17

Protection scheme id: 01

Home network public key Id: 30

Scheme output: ECC ephemeral public key, encryption of 357935793 and MAC tag value

CR 2 can be verified by a method explicitly verifying the correct execution of the READ commands in step 1) on the listed EFs (A.2/1 or A.2/2).

### 5.3.12 UE identification by SUCI during initial registration – SUCI calculation by USIM using profile A

#### 5.3.12.1 Definition and applicability

If the operator's decision, indicated by the USIM, is that the USIM shall calculate the SUCI, then the USIM shall not give the ME any parameter for the calculation of the SUCI including the Home Network Public Key Identifier, the Home Network Public Key, and the Protection Scheme Identifier. If the ME determines that the calculation of the SUCI, indicated by the USIM, shall be performed by the USIM, the ME shall delete any previously received or locally cached parameters for the calculation of the SUCI including the Routing Indicator, the Home Network Public Key Identifier, the Home Network Public Key and the Protection Scheme Identifier.

#### 5.3.12.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the USIM if Services n°124 and n°125 are available in EFUST.

Reference:

- TS 31.102 [19], clause 5.3.48;

- TS 33.501 [24], clause Annex C.

CR 2 The ME shall use the GET IDENTITY command in SUCI context to retrieve the SUCI calculated by the USIM.

Reference:

- TS 31.102 [19], clause 5.3.48 and 7.5;

- TS 24.501 [42], clause 5.5.1.2.2.

CR 3 This GET IDENTITY command shall be as per 7.5.2 in TS 31.102

Reference:

- TS 31.102 [4], clause 7.5.

#### 5.3.12.3 Test purpose

The purpose of this test is to verify that:

1) the GET IDENTITY command is performed correctly by the ME.

2) the UE includes the SUCI received from the 5G-NR UICC within GET IDENTITY response in the 5GS mobile identity IE.

#### 5.3.12.4 Method of test

##### 5.3.12.4.1 Initial conditions

The values of the default 5G-NR UICC defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 with the following exceptions:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°125: |  | SUCI calculation by the USIM | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** |  |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx1 111x |  |  |  |

5G-NR UICC is configured with:

Protection Scheme Identifier: ECIES scheme profile A

Key Index: 1

Home Network Public Key Identifier: 30

Home Network Public Key:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | 5A | 8D | 38 | 86 | 48 | 20 | 19 | 7C |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
| 33 | 94 | B9 | 26 | 13 | B2 | 0B | 91 |
| **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
| 63 | 3C | BD | 89 | 71 | 19 | 27 | 3B |
| **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
| F8 | E4 | A6 | F4 | EE | C0 | A6 | 50 |

**EFSUCI\_Calc\_Info** (Subscription Concealed Identifier Calculation Information EF): Not available to the ME

The NG-SS shall be configured with Home Network Private Key for profile A:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Coding:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | C5 | 3C | 22 | 20 | 8B | 61 | 86 | 0B |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
| 06 | C6 | 2E | 54 | 06 | A7 | B3 | 30 |
| **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
| C2 | B5 | 77 | AA | 55 | 58 | 98 | 15 |
| **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
| 10 | D1 | 28 | 24 | 7D | 38 | BD | 1D |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.12.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | READ EFUST | (Evaluation of service settings) |  |  |
| 2 | USIM | Perform SUCI calculation | The USIM performs a SUCI calculation using Profile B | (CR 1) |  |
| 3 | ME > USIM | Send GET IDENTITY | The GET IDENTITY command is sent with Identity Context in P2 as SUCI (0x01).  The USIM returns the calculated SUCI | (CR 1) CR 2 CR3 | A.2/1 OR A.2/2 |
| 4 | UE > TT | Send REGISTRATION REQUEST | The UE sends aREGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" | CR 1 (CR 2) (CR 3) |  |
| 5 | TT > UE | Send REGISTRATION ACCEPT | The TT sends aREGISTRATION ACCEPT with a 5G-GUTI |  |  |
| 6 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |

#### 5.3.12.5 Acceptance criteria

CR 1 is implicitly verified if the REGISTRATION REQUEST in step 4). The requirements are met if the REGISTRATION REQUEST sent to the NG-SS contains:

SUPI format: 0

Home Network Identifier: 246/081

Routing indicator: 17

Protection scheme id: 01

Home network public key Id: 30

Scheme output: ECC ephemeral public key, encryption of 357935793 and MAC tag value

CR 2 and CR  3 are explicitly verified in step 3). The requirements are met if the GET IDENTITY command in step 3) is performed correctly.

An implicit verification of CR 2 and CR  3 can be done in step 4). The requirements are met if the REGISTRATION REQUEST sent to the NG-SS contains what is defined to fulfil CR 1.

### 5.3.13 SUCI calculation by ME using null scheme– no Protection Scheme Identifier provisioned in the USIM

#### 5.3.13.1 Definition and applicability

If the operator's decision is that ME shall calculate the SUCI, and the home network operator has not provisioned any Protection Scheme Identifier definition in the list of Protection Scheme Identifiers in the USIM, the ME shall calculate the SUCI using the null-scheme.

#### 5.3.13.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the ME if Service n°124 is available and Service n°125 is not available in EFUST

Reference:

- TS 31.102 [19], clause 5.3.47

CR 2 As part of the SUCI calculation performed by the ME, the ME performs the reading procedure with EFRouting\_Indicator

Reference:

- TS 31.102 [19], clauses 4.4.11.11 and 5.3.51.

CR 3 The ME shall calculate the SUCI using the null-scheme if no Protection Scheme Identifier is provisioned in the USIM.

References:

- TS 33.501 [24], clause 6.12.2 and Annex C

#### 5.3.13.3 Test purpose

The purpose of this test is to verify that:

1) the READ EFSUCI\_Calc\_Info, EFRouting\_Indicator and EFIMSI commands are performed correctly by the ME.

2) the ME performs the SUCI calculation procedure using null-scheme.

#### 5.3.13.4 Method of test

##### 5.3.13.4.1 Initial conditions

The values of the default 5G-NR UICC defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 with the following exceptions:

**EFSUCI\_Calc\_Info** (Subscription Concealed Identifier Calculation Information EF)

Logically:

null

Coding:

|  |  |  |
| --- | --- | --- |
| Byte: | B1 | B2 |
| Hex | A0 | 00 |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.13.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | READ EFUST, EFRouting\_Indicator and EFSUCI\_Calc\_Info | (Evaluation of data and service settings) | CR 1 (CR 2) | A.2/1 OR A.2/2 |
| 2 | ME | Perform SUCI calculation | The ME performs a SUCI calculation using the null scheme | (CR 2) |  |
| 3 | UE > TT | Send REGISTRATION REQUEST | The UE sends aREGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" | (CR 1) CR 2 CR 3 |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | The TT sends aREGISTRATION ACCEPT with a 5G-GUTI |  |  |
| 5 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |

#### 5.3.13.5 Acceptance criteria

CR 1 can be verified by a method explicitly verifying the correct execution of the READ commands in step 1) on the listed EFs (A.2/1 or A.2/2). CR 2 may optionally be verified by the used explicit method too.

CR 2 and CR 3 (and CR 1) are implicitly verified in the REGISTRATION REQUEST in step 3). The requirements are met if the REGISTRATION REQUEST sent to the NG-SS contains:

SUPI format: 0

Home Network Identifier: 246/081

Routing indicator: 17

Protection scheme id: 00

Home network public key Id: 0

Scheme output: 357935793

### 5.3.14 SUCI calculation by ME using null scheme – no Home Network Public Key for supported protection scheme provisioned in the USIM

#### 5.3.14.1 Definition and applicability

If the operator's decision is that ME shall calculate the SUCI, and the home network operator has not provisioned the Home Network Public Key for the protection scheme configured in the USIM that the ME supports, the ME shall calculate the SUCI using the null-scheme.

#### 5.3.14.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the ME if Service n°124 is available and Service n°125 is not available in EFUST.

Reference:

- TS 31.102 [19], clause 5.3.47

CR 2 As part of the SUCI calculation performed by the ME, the ME performs the reading procedure with EFSUCI\_Calc\_Info and EFRouting\_Indicator

Reference:

- TS 31.102 [19], clauses 4.4.11.8, 4.4.11.11, 5.3.47 and 5.3.51.

CR 3 The ME shall calculate the SUCI using the null scheme if no Home Network Public Key configured in the USIM for the protection scheme configured in the USIM that the ME supports.

References:

- TS 33.501 [24], clause 6.12.2 and Annex C

- TS 24.501 [25], clause 5.5.1.2.4.

#### 5.3.14.3 Test purpose

The purpose of this test is to verify that:

1) the READ EFSUCI\_Calc\_Info, EFRouting\_Indicator and EFIMSI commands are performed correctly by the ME.

2) the ME performs the SUCI calculation procedure using null-scheme.

#### 5.3.14.4 Method of test

##### 5.3.14.4.1 Initial conditions

The values of the default 5G-NR UICC defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 with the following exceptions:

**EFSUCI\_Calc\_Info** (Subscription Concealed Identifier Calculation Information EF)

Logically:

Protection Scheme Identifier List data object

Protection Scheme Identifier 1 – ECIES scheme profile B

Key Index 1: 0

Coding:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Byte: | B1 | B2 | B3 | B4 |
| Hex | A0 | 02 | 02 | 00 |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.14.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | READ EFUST, EFRouting\_Indicator and EFSUCI\_Calc\_Info | (Evaluation of data and service settings) | CR 1 (CR 2) | A.2/1 OR A.2/2 |
| 2 | ME | Perform SUCI calculation | The ME performs a SUCI calculation using the null scheme | (CR 2) |  |
| 3 | UE > TT | Send REGISTRATION REQUEST | The UE sends aREGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" | (CR 1) CR 2 CR 3 |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | The TT sends aREGISTRATION ACCEPT with a 5G-GUTI |  |  |
| 5 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |

#### 5.3.14.5 Acceptance criteria

CR 1 can be verified by a method explicitly verifying the correct execution of the READ commands in step 1) on the listed EFs (A.2/1 or A.2/2). CR 2 may optionally be verified by the used explicit method too.

CR 2 and CR 3 (and CR 1) are implicitly verified in the REGISTRATION REQUEST in step 3). The requirements are met if the REGISTRATION REQUEST sent to the NG-SS contains:

SUPI format: 0

Home Network Identifier: 246/081

Routing indicator: 17

Protection scheme id: 00

Home network public key Id: 0

Scheme output: 357935793

### 5.3.15 SUCI calculation by ME using null scheme with the E-UTRAN/EPC UICC

#### 5.3.15.1 Definition and applicability

If the operator's decision is that ME shall calculate the SUCI, the home network operator shall provision the Protection Scheme and public key in the USIM that the operator allows. But if the SUCI calculation indication is not present, the calculation is in the ME. If the Home Network Public Key or the priority list are not provisioned in the USIM, the ME shall calculate the SUCI using the null-scheme. The Routing Indicator shall be stored in the USIM. If the Routing Indicator is not present in the USIM, the ME shall set it to a default value 0.

#### 5.3.15.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the ME if the SUCI calculation indication is not present in the USIM.

Reference:

- TS 33.501 [24], clause 5.2.5, 6.12.2, Annex C.

CR 2 The ME shall calculate the SUCI using the null-scheme if E-UTRAN/EPC UICC is installed into the ME.

- TS 33.501 [24], clause 6.12.2 and Annex C;

- TS 24.501 [25], clause 5.5.1.2.4 and 9.11.3.4.

#### 5.3.15.3 Test purpose

The purpose of this test is to verify that the ME performs the SUCI calculation procedure using null-scheme.

#### 5.3.15.4 Method of test

##### 5.3.15.4.1 Initial conditions

The values of the E‑UTRAN UICC defined in clause 4.5.4 of the present document are used with the following exceptions:

**EFIMSI (**International Mobile Subscriber Identity)

Logically:

246081357935793

Coding:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** |
| Hex | 08 | 29 | 64 | 80 | 31 | 75 | 39 | 75 | 39 |

If activated, the TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation. The TT (NG-SS) activates Cell A.

##### 5.3.15.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | READ EFUST | (Evaluation of service settings) |  |  |
| 2 | UE > TT | Send REGISTRATION REQUEST | The UE sends aREGISTRATION REQUEST to the NG-SS |  |  |
| 3 | TT > UE | Send REGISTRATION REJECT | The TT sends aREGISTRATION REJECT with cause: *Roaming not allowed in this tracking area* |  |  |
| 4 | UE | Deactivate and then re-activate the UE |  |  |  |
| 5 | UE | READ EFUST, EFIMSI | (Evaluation of data and service settings) |  |  |
| 6 | ME | Perform SUCI calculation | The ME performs a SUCI calculation using the null scheme | (CR 1) (CR 2) |  |
| 7 | UE > TT | Send REGISTRATION REQUEST | The UE sends aREGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" | CR 1 CR 2 |  |
| 8 | TT > UE | Send REGISTRATION ACCEPT | The TT sends aREGISTRATION ACCEPT with a 5G-GUTI |  |  |
| 9 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |

#### 5.3.15.5 Acceptance criteria

CR 1 and CR 2 are implicitly verified in the REGISTRATION REQUEST in step 7). The requirements are met if the REGISTRATION REQUEST sent to the NG-SS contains:

SUPI format: 0

Home Network Identifier: 246/081

Routing indicator: 0

Protection scheme id: 00

Home network public key Id: 0

Scheme output: 357935793

### 5.3.16 SUCI calculation by ME using the lower priority protection scheme when the higher priority protection scheme is not supported by the ME

#### 5.3.16.1 Definition and applicability

If the operator's decision is that the ME shall calculate the SUCI, the Home Network Operator shall provision a list of the Protection Scheme Identifiers that the operator allows in the USIM. The list of Protection Scheme Identifiers in the USIM may contain one or more Protection Scheme Identifiers in order of their priority. The ME shall read the SUCI calculation information from the USIM, including the SUPI, the Home Network Public Key, the Home Network Public Key Identifier, and the list of Protection Scheme Identifiers. The ME shall select the protection scheme from its supported schemes that has the highest priority in the list obtained from the USIM. If the higher priority protection scheme is not supported by the ME, the ME should use the lower priority protection scheme to calculate the SUCI.

#### 5.3.16.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the ME if Service n°124 is available and Service n°125 is not available in EFUST.

Reference:

- TS 31.102 [19], clause 5.3.47 and 5.3.51.

CR 2 As part of the SUCI calculation performed by the ME, the ME performs the reading procedure with EFSUCI\_Calc\_Info.

Reference:

- TS 31.102 [19], clauses 4.4.11.8 and 5.3.47.

CR 3 The ME shall select the protection scheme from its supported schemes that has the highest priority in the list are obtained from the USIM. If the higher priority protection scheme is not supported by the ME, the ME should use the lower priority protection scheme to calculate the SUCI.

References:

- TS 31.102 [19], clauses 4.4.11.8;

- TS 33.501 [24], clause 6.12.2 and Annex C

- TS 24.501 [25], clause 5.5.1.2.4.

#### 5.3.16.3 Test purpose

The purpose of this test is to verify that:

1. the READ EFRouting\_Indicator, EFSUCI\_Calc\_Info and EFIMSI commands are performed correctly by the ME.
2. if the higher priority protection scheme is not supported by the ME, the ME should use the lower priority protection scheme to calculate the SUCI.

#### 5.3.16.4 Method of test

##### 5.3.16.4.1 Initial conditions

The values of the default 5G-NR UICC defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 with the following exceptions:

**EFSUCI\_Calc\_Info** (Subscription Concealed Identifier Calculation Information EF)

Logically:

Protection Scheme Identifier List data object

Protection Scheme Identifier 1 – any value of the reserved range (i.e 0x3 - 0xB) that is not standardized

Key Index 1: 1

Protection Scheme Identifier 2 – ECIES scheme profile A

Key Index 1: 2

Protection Scheme Identifier 3 – null-scheme

Key Index 3: 0

Home Network Public Key List data object

Home Network Public Key 1 Identifier: 16

Home Network Public Key 1:

* 2E 85 DA EC 6A C9 B5 2B 5D 2D 58 02 33 29 57 75 49 44 5A 39 3D 2A 68 E6 12 14 27 34 95 AD BE 65

Home Network Public Key 2 Identifier: 30

Home Network Public Key 2:

* 5A 8D 38 86 48 20 19 7C 33 94 B9 26 13 B2 0B 91 63 3C BD 89 71 19 27 3B F8 E4 A6 F4 EE C0 A6 50

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte: | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | A0 | 02 | 02 | 00 | 01 | 02 | 00 | 00 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | A1 | 4A | 80 | 01 | 10 | 81 | 20 | 2E |
|  | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 85 | DA | EC | 6A | C9 | B5 | 2B | 5D |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
|  | 2D | 58 | 02 | 33 | 29 | 57 | 75 | 49 |
|  | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** |
|  | 44 | 5A | 39 | 3D | 2A | 68 | E6 | 12 |
|  | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** |
|  | 14 | 27 | 34 | 95 | AD | BE | 65 | 80 |
|  | **B49** | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** |
|  | 01 | 1E | 81 | 20 | 5A | 8D | 38 | 86 |
|  | **B57** | **B58** | **B59** | **B60** | **B61** | **B62** | **B63** | **B64** |
|  | 48 | 20 | 19 | 7C | 33 | 94 | B9 | 26 |
|  | **B65** | **B66** | **B67** | **B68** | **B69** | **B70** | **B71** | **B72** |
|  | 13 | B2 | 0B | 91 | 63 | 3C | BD | 89 |
|  | **B73** | **B74** | **B75** | **B76** | **B77** | **B78** | **B79** | **B80** |
|  | 71 | 19 | 27 | 3B | F8 | E4 | A6 | F4 |
|  | **B81** | **B82** | **B83** | **B84** |
|  | EE | C0 | A6 | 50 |

NOTE: Any value of the reserved range (i.e 0x3 - 0xB) that is not standardized (e.g. 0xB).

The NG-SS shall be configured with Home Network Private Key as following (for Profile A):

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Coding:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | C5 | 3C | 22 | 20 | 8B | 61 | 86 | 0B |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
| 06 | C6 | 2E | 54 | 06 | A7 | B3 | 30 |
| **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
| C2 | B5 | 77 | AA | 55 | 58 | 98 | 15 |
| **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
| 10 | D1 | 28 | 24 | 7D | 38 | BD | 1D |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.16.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | READ EFIMSI, EFUST, EFRouting\_Indicator and EFSUCI\_Calc\_Info | (Evaluation of data and service settings) | CR 2 | A.2/1 OR A.2/2 |
| 2 | ME | Perform SUCI calculation | The ME performs a SUCI calculation | (CR 1) (CR 3) |  |
| 3 | UE > TT | Send REGISTRATION REQUEST | The UE sends aREGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" | CR 1 CR 3 |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | The TT sends aREGISTRATION ACCEPT with a 5G-GUTI |  |  |
| 5 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |

#### 5.3.16.5 Acceptance criteria

CR 1 can be verified by a method explicitly verifying the correct execution of the READ commands in step 1) on the listed EFs (A.2/1 or A.2/2).

CR 1 and CR 3 are implicitly verified in the REGISTRATION REQUEST in step 3). The requirements are met if the REGISTRATION REQUEST sent to the NG-SS contains:

SUPI format: 0

Home Network Identifier: 246/081

Routing indicator: 17

Protection scheme id: 01

Home network public key Id: 30

Scheme output: ECC ephemeral public key, encryption of 357935793 and MAC tag value

### 5.3.17 SUCI calculation by ME using Profile B with compressed Home Network Public Key

#### 5.3.17.1 Definition and applicability

If the operator's decision is that ME shall calculate the SUCI, the home network operator shall provision a list of the Protection Scheme Identifiers that the operator allows in the USIM. The list of Protection Scheme Identifiers in the USIM may contain one or more Protection Scheme Identifiers in order of their priority. The ME shall read the SUCI calculation information from the USIM, including the SUPI, the Home Network Public Key, the Home Network Public Key Identifier, and the list of Protection Scheme Identifiers. The ME shall select the protection scheme from its supported schemes that has the highest priority in the list obtained from the USIM.

#### 5.3.17.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the ME if Service n°124 is available and Service n°125 is not available in EFUST.

Reference:

- TS 31.102 [19], clause 5.3.47 and 5.3.51.

CR 2 As part of the SUCI calculation performed by the ME, the ME performs the reading procedure with EFSUCI\_Calc\_Info.

Reference:

- TS 31.102 [19], clauses 4.4.11.8 and 5.3.47.

CR 3 The ME shall calculate the SUCI using the highest priority supported protection scheme and the home network public key stored on the USIM.

References:

- TS 31.102 [19], clauses 4.4.11.8;

- TS 33.501 [24], clause 6.12.2 and Annex C

- TS 24.501 [25], clause 5.5.1.2.4.

CR 4 The ME shall be capable to calculate the SUCI using Profile B with the ECC public key provided in compressed format.

References:

- TS 33.501 [24], Annex C.3.4.2 and Annex C.4.4

- RFC 5480 [51], clause 2.2.

#### 5.3.17.3 Test purpose

The purpose of this test is to verify that:

1. the READ EFRouting\_Indicator, EFSUCI\_Calc\_Info and EFIMSI commands are performed correctly by the ME.
2. the ME performs the SUCI calculation procedure using the profile with the highest priority (i.e. ECIES scheme profile B and the home network public key)

#### 5.3.17.4 Method of test

##### 5.3.17.4.1 Initial conditions

The values of the default 5G-NR UICC defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 with the following exceptions:

**EFSUCI\_Calc\_Info** (Subscription Concealed Identifier Calculation Information EF)

Logically:

Protection Scheme Identifier List data object

Protection Scheme Identifier 1 – ECIES scheme profile B

Key Index 1: 1

Protection Scheme Identifier 2 – ECIES scheme profile A

Key Index 1: 2

Protection Scheme Identifier 3 – null-scheme

Key Index 3: 0

Home Network Public Key List data object

Home Network Public Key 1 Identifier: 27

Home Network Public Key 1 (see note):

- 02 72 DA 71 97 62 34 CE 83 3A 69 07 42 58 67 B8 2E 07 4D 44 EF 90 7D FB 4B 3E 21 C1 C2 25 6E BC D1

Home Network Public Key 2 Identifier: 30

Home Network Public Key 2:

* 5A 8D 38 86 48 20 19 7C 33 94 B9 26 13 B2 0B 91 63 3C BD 89 71 19 27 3B F8 E4 A6 F4 EE C0 A6 50

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte: | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | A0 | 02 | 02 | 00 | 01 | 02 | 00 | 00 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | A1 | 4B | 80 | 01 | 1B | 81 | 21 | 02 |
|  | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 72 | DA | 71 | 97 | 62 | 34 | CE | 83 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
|  | 3A | 69 | 07 | 42 | 58 | 67 | B8 | 2E |
|  | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** |
|  | 07 | 4D | 44 | EF | 90 | 7D | FB | 4B |
|  | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** |
|  | 3E | 21 | C1 | C2 | 25 | 6E | BC | D1 |
|  | **B49** | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** |
|  | 80 | 01 | 1E | 81 | 20 | 5A | 8D | 38 |
|  | **B57** | **B58** | **B59** | **B60** | **B61** | **B62** | **B63** | **B64** |
|  | 86 | 48 | 20 | 19 | 7C | 33 | 94 | B9 |
|  | **B65** | **B66** | **B67** | **B68** | **B69** | **B70** | **B71** | **B72** |
|  | 26 | 13 | B2 | 0B | 91 | 63 | 3C | BD |
|  | **B73** | **B74** | **B75** | **B76** | **B77** | **B78** | **B79** | **B80** |
|  | 89 | 71 | 19 | 27 | 3B | F8 | E4 | A6 |
|  | **B81** | **B82** | **B83** | **B84** | **B85** |
|  | F4 | EE | C0 | A6 | 50 |

NOTE: EFSUCI\_Calc\_Info contains the compressed form of the ECC public key for Profile B.

The NG-SS shall be configured with Home Network Private Key as following:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Coding:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | F1 | AB | 10 | 74 | 47 | 7E | BC | C7 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
| F5 | 54 | EA | 1C | 5F | C3 | 68 | B1 |
| **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
| 61 | 67 | 30 | 15 | 5E | 00 | 41 | AC |
| **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
| 44 | 7D | 63 | 01 | 97 | 5F | EC | DA |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.3.17.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | READ EFIMSI, EFUST, EFRouting\_Indicator and EFSUCI\_Calc\_Info | (Evaluation of data and service settings) | CR 2 | A.2/1 OR A.2/2 |
| 2 | ME | Perform SUCI calculation | The ME performs a SUCI calculation | (CR 1) (CR 3) (CR 4) |  |
| 3 | UE > TT | Send REGISTRATION REQUEST | The UE sends aREGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" | CR 1 CR 3 CR 4 |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | The TT sends aREGISTRATION ACCEPT with a 5G-GUTI |  |  |
| 5 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |

#### 5.3.17.5 Acceptance criteria

CR 1 can be verified by a method explicitly verifying the correct execution of the READ commands in step 1) on the listed EFs (A.2/1 or A.2/2).

CR 1 and CR 3 are implicitly verified in the REGISTRATION REQUEST in step 3). The requirements are met if the REGISTRATION REQUEST sent to the NG-SS contains:

SUPI format: 0

Home Network Identifier: 246/081

Routing indicator: 17

Protection scheme id: 02

Home network public key Id: 27

Scheme output: ECC ephemeral public key, encryption of 357935793 and MAC tag value

## 5.4 Unified Access Control information handling for 5G-NR

### 5.4.1 Unified Access Control – Access identity 0, no access identities indicated by USIM

#### 5.4.1.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information (i.e. a list of barring parameters associated with an Access Identity and an Access Category) in SIB1.

If no Access Identities are configured in EFUAC\_AIC and in EFACC, Access Identity 0 is applicable. The UE shall read EFUAC\_AIC and EFACC as part of USIM Initialization procedure.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

#### 5.4.1.2 Conformance requirement

CR 1 The ME shall read the access control value as part of the USIM-ME initialization procedure, and subsequently adopt this value.

Reference:

- TS 31.102 [19], clause 5.1.1.2.

CR 2 Access Identities are configured at the UE as listed in TS 22.261 [36] Table 6.22.2.2-1. Access Categories are defined by the combination of conditions related to UE and the type of access attempt as listed in TS 22.261 [36] Table 6.22.2.3-1. One or more Access Identities and only one Access Category are selected and tested for an access attempt.

Reference:

- TS 22.261 [36], clause 6.22.2.

CR 3 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac‑BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac‑BarringInfoSetList.

Reference:

- TS 38.331 [37], clause 5.3.14.

#### 5.4.1.3 Test purpose

The purpose of this test is to verify that:

1) the ME reads the access control value from EFUAC-AIC and EFACC as part of the USIM-ME initialisation procedure, and subsequently adopts this value.

2) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category.

#### 5.4.1.4 Method of test

##### 5.4.1.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identities configured in EFUAC\_AIC and no Access Classes configured in EFACC as shown in table 5.4.1-1.

**EFUST** (USIM Service Table)

Logically:

Settings from clause 4.5.9 (5G-NR UICC) of the present document apply with the following changes:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°124 |  | Subscription identifier privacy support | not available |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxx1 xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** |  |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 011x |  |  |  |

The NG-SS is configured to transmit the following parameters on Cell A and B:

Cell A:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.1-1, TAC="000001".

- CellIdentity: "000000001"

For the table 5.4.1-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

If present in the *REGISTRATION ACCEPT*, the 5GS network feature support IE indicates Access identities 1 and 2 are not valid.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

##### 5.4.1.4.2 Test sequence settings

Table 5.4.1-1

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TC Seq# | Access Category | USIM | | SIB1 on Cell A | | REGISTRATION ACCEPT (5GS network feature support IE) | | Result | |
| EFUAC\_AIC | EFACC  (Byte 1  b8-b4) | uac-BarringInfo | PLMN-Identity  (MCC/MNC) | MPS indicator Bit | MCS indicator Bit | Registration successful? | MO Data call successful? |
| 1.1 | 7 | 0x00 00 00 00 | 00000 | Not Present | 246 / 081 | 0 | 0 | Yes | Yes |
| 1.2 | 3 | 0x00 00 00 00 | 00000 | UAC\_BarringInfo\_Common (3,0x0000000'B) | 246 / 081 | 0 | 0 | No | N/A |
| 1.3 | 3 | 0x00 00 00 00 | 00000 | UAC\_BarringInfo\_PerPLMN (3,0x0000000'B) | 246 / 081 | 0 | 0 | No | N/A |
| 1.4 | 3 | 0x00 00 00 00 | 00000 | UAC\_BarringInfo\_PerPLMN (3,0x1000000'B) | 246 / 081 | 0 | 0 | No | N/A |
| 1.5 | 7 | 0x00 00 00 00 | 00000 | UAC\_BarringInfo\_Common (7,0x0000000'B) | 246 / 081 | 0 | 0 | Yes | No |
| 1.6 | 7 | 0x00 00 00 00 | 00000 | UAC\_BarringInfo\_PerPLMN (7,0x0000000'B) | 246 / 081 | 0 | 0 | Yes | No |
| 1.7 | 3 | 0x00 00 00 00 | 00000 | UAC\_BarringInfo\_Common2 (7,0x1000000'B, 3,0x0000000'B) | 244 / 081 | 0 | 0 | No | N/A |

##### 5.4.1.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.1-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Information** | **REQ** | **SA** |
| 1 | TT | SIB1 of Cell A is transmitted as defined in the initial conditions for tests from table 5.4.1-1 | Barring info is set as in table 5.4.1-1  See Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table |  |  |
| 2 | UE | Power UE on | The UE performs a SIM initialisation. (includes reading of EFUAC-AIC and EFACC) | CR 1 | A.2/1 OR A.2/2 |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If cell access is not allowed: | | | | | |
| 3 | UE > TT | REGISTRATION REQUESTin not sent | See column ‘Registration successful?’ for the result | CR 2 CR 3 |  |
| 4 | User | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If cell access is allowed:** | | | | | |
| 3 | UE > TT | Send REGISTRATION REQUEST | See column ‘Registration successful?’ for the result | CR 2 CR 3 |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | For simplicity other signalling is not shown |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If MO Data call is not allowed:** | | | | | |
| 5 | UE | Attempt to set up MO Data call | To set up the MO Data call the MMI or EMMI is used | CR 2 CR 3 |  |
| 6 | User | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If MO Data call is allowed: | | | | | |
| 5 | UE > TT | Set up MO Data call  (*PDU SessionEstablishment*) | To set up the MO Data call the MMI or EMMI is used | CR 2 CR 3 |  |
| 6 | User | End test sequence |  |  |  |

#### 5.4.1.5 Acceptance criteria

For the scenarios in table 5.4.1-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM‑ME initialisation procedure.

CR 2 and CR 3 are verified at:

* steps 3) and 5) by analysing if the UE shall make a successful or unsuccessful Registration to the network in accordance with the result indicated in the table and
* steps 4) and 5) by analysing if the UE shall make a successful or unsuccessful MO Data call in accordance with the result indicated in the table if the step is applicable.

### 5.4.1A Unified Access Control – Access identity 0, no access identities indicated by USIM, Access Category 8

#### 5.4.1A.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information (i.e. a list of barring parameters associated with an Access Identity and an Access Category) in SIB1.

If no Access Identities are configured in EFUAC\_AIC and in EFACC, Access Identity 0 is applicable. The UE shall read EFUAC\_AIC and EFACC as part of USIM Initialization procedure.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

If RRC state is RRC\_INACTIVE and the resumption of the RRC connection is triggered due to an RNA Update, RRC layer shall select Access Category as '8' and perform unified access control procedure in case there is no ongoing emergency service.

#### 5.4.1A.2 Conformance requirement

CR 1 The ME shall read the access control value as part of the USIM-ME initialization procedure, and subsequently adopt this value.

Reference:

- TS 31.102 [19], clause 5.1.1.2.

CR 2 Access Identities are configured at the UE as listed in TS 22.261 [36] Table 6.22.2.2-1. Access Categories are defined by the combination of conditions related to UE and the type of access attempt as listed in TS 22.261 [36] Table 6.22.2.3-1. One or more Access Identities and only one Access Category are selected and tested for an access attempt.

Reference:

- TS 22.261 [36], clause 6.22.2.

CR 3 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac‑BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac‑BarringInfoSetList.

Reference:

- TS 38.331 [37], clause 5.3.14.

CR 4 If the resumption of the RRC connection is triggered due to an RNA update and there is no ongoing emergency service RRC shall select '8' as the Access Category and perform the unified access control procedure.

Reference:38.331 [37], clause 5.3.13.2.

#### 5.4.1A.3 Test purpose

The purpose of this test is to verify that:

1) the ME reads the access control value from EFUAC-AIC and EFACC as part of the USIM-ME initialisation procedure, and subsequently adopts this value.

2) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category.

3) the UE performs unified access control procedure if RNA Update procedure is triggered.

#### 5.4.1A.4 Method of test

##### 5.4.1A.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identities configured in EFUAC\_AIC and no Access Classes configured in EFACC as shown in table 5.4.1A‑1.

**EFUST** (USIM Service Table)

Logically:

Settings from clause 4.5.9 (5G-NR UICC) of the present document apply with the following changes:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°124 |  | Subscription identifier privacy support | not available |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxx1 xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** |  |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 011x |  |  |  |

The TT (NG-SS) is configured to transmit the following parameters on Cell A and B:

Cell A:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.1A-1, TAC="000001".

- CellIdentity: "000000001"

For the table 5.4.1A-1:

No uac-BarringInfo in SIB1.

If present in the REGISTRATION ACCEPT, the 5GS network feature support IE indicates Access identities 1 and 2 are not valid.

Cell B:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.1A-1, TAC="000001".

- CellIdentity: "000000002"

For the table 5.4.1A-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

If present in the REGISTRATION ACCEPT, the 5GS network feature support IE indicates Access identities 1 and 2 are not valid.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

##### 5.4.1A.4.2 Test sequence settings

Table 5.4.1A-1

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TC Seq# | Access Category | USIM | | SIB1 on Cell A | | REGISTRATION ACCEPT (5GS network feature support IE) | | Result |
| EFUAC\_AIC | EFACC  (Byte 1  b8-b4) | uac-BarringInfo | PLMN-Identity  (MCC/MNC) | MPS indicator Bit | MCS indicator Bit | RRCResumeRequest with resumeCause set to rna-Update successful? |
| 2.1 | 8 | 0x00 00 00 00 | 00000 | Not Present | 246 / 081 | 0 | 0 | Yes |
| 2.2 | 8 | 0x00 00 00 00 | 00000 | UAC\_BarringInfo\_Common (8,0x0000000'B) | 246 / 081 | 0 | 0 | No |
| 2.3 | 8 | 0x00 00 00 00 | 00000 | UAC\_BarringInfo\_PerPLMN (8,0x0000000'B) | 246 / 081 | 0 | 0 | No |
| 2.4 | 8 | 0x00 00 00 00 | 00000 | UAC\_BarringInfo\_Common2 (7,0x1000000'B, 8,0x0000000'B) | 244 / 081 | 0 | 0 | No |

##### 5.4.1A.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.1A-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Information** | **REQ** | **SA** |
| 1 | TT | SIB1 of Cell A is transmitted as defined in the initial conditions for tests from table 5.4.1A-1 | No barring info is provided to Cell A |  |  |
| 2 | UE | Power UE on | The UE performs a SIM initialisation  (includes reading of EFUAC-AIC and EFACC) | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | Send REGISTRATION REQUEST |  |  |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | The registration is successful |  |  |
| 5 | UE > TT | Set up MO Data call (*PDU SessionEstablishment*) | To set up the MO Data call the MMI or EMMI is used.  The MO Data call is set up successfully |  |  |
| 6 | TT > UE | Send RRC RELEASE with *suspendConfig* in *criticalExtensions* (with the choice *rrcRelease*) | ran-NotificationAreaInfo in suspendConfig contains the cellList with cellIdentity of Cell A:  cellList {  plmn-Identity {mcc, mnc},  -- see table 5.4.1A-1 for  MCC/MNC  ran-AreaCells 000000001’B  } |  |  |
| 7 | TT | Deactivate Cell A and activate Cell B |  |  |  |
| 8 | TT | SIB1 of Cell B is transmitted as defined in table 5.4.1A-1 | Barring info is as in the table.  See Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table |  |  |
| 9 | UE > TT | Initiate RRC RESUME REQUEST procedure with *resumeCause* set to *rna-Update*. | See the column ‘RRC RESUME REQUEST with *resumeCause* set to *rna-Update* successful?’ for the result | CR 2 CR 3 CR 4 |  |

#### 5.4.1A.5 Acceptance criteria

For the scenarios in table 5.4.1A-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-Terminal initialisation procedure.

CR 2, CR 3, and CR 4 are verified at steps 9) and 10) by analysing if the UE shall make a successful or unsuccessful RRC Resumption for RNA Update in accordance with the result indicated in the table.

### 5.4.2 Unified Access Control – Access Identity 1 – MPS indicated by USIM

#### 5.4.2.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information (i.e. a list of barring parameters associated with an Access Identity and an Access Category) in SIB1.

The EFUAC-AIC in the USIM contains the configuration information pertaining to access identity 1 allocated for high priority services MPS.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

#### 5.4.2.2 Conformance requirement

CR 1 The ME shall read the access control value as part of the USIM-ME initialization procedure, and subsequently adopt this value.

Reference:

- TS 31.102 [19], clause 5.1.1.2.

CR 2 Access Identities are configured at the UE as listed in TS 22.261 [36] Table 6.22.2.2-1. Access Categories are defined by the combination of conditions related to UE and the type of access attempt as listed in TS 22.261 [36] Table 6.22.2.3-1. One or more Access Identities and only one Access Category are selected and tested for an access attempt.

Reference:

- TS 22.261 [36], clause 6.22.2

CR 3 Access Identity 1 is valid if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

Reference:

- TS 24.501 [25], clause 4.5.2

CR 4 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac‑BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac‑BarringInfoSetList.

Reference:

- TS 38.331 [37], clauses 5.3.14

#### 5.4.2.3 Test purpose

The purpose of this test is to verify that:

1) the ME reads the access control value from EFUAC-AIC and EFACC as part of the USIM-ME initialisation procedure, and subsequently adopts this value.

2) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category.

3) the UE determines whether or not a particular access attempt is allowed based on uac-BarringInfo broadcast in SIB1 and if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

#### 5.4.2.4 Method of test

##### 5.4.2.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identities configured in EFUAC\_AIC and no Access Classes configured in EFACC as also shown in table 5.4.2-1.

**EFUST** (USIM Service Table)

Logically:

Settings from clause 4.5.9 (5G-NR UICC) of the present document apply with the following changes:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°124 |  | Subscription identifier privacy support | not available |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxx1 xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** |  |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 011x |  |  |  |

The TT (NG-SS) is configured to transmit the following parameters on Cell A and B:

Cell A:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.2-1, TAC="000001".

- CellIdentity: "000000001"

For the table 5.4.2-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

##### 5.4.2.4.2 Test sequence settings

Table 5.4.2-1

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TC Seq# | Access Category | USIM | | SIB1 on Cell A | | REGISTRATION ACCEPT (5GS network feature support IE) | | Result | |
| EFUAC\_AIC | EFACC  (Byte 1  b8-b4) | uac-BarringInfo | PLMN-Identity  (MCC/MNC) | MPS indicator Bit | MCS indicator Bit | Registration successful? | MO Data call successful? |
| 1.1 | 7 | 0x01 00 00 00 | 00000 | Not Present | 246 / 081 | 0 | 0 | Yes | Yes |
| 1.2 | 3 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (3,0x1000000'B) | 246 / 081 | 0 | 0 | No | NA |
| 1.3 | 3 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_PerPLMN (3,0x1000000'B) | 246 / 081 | 0 | 0 | No | NA |
| 1.4 | 3 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (3,0x1000000'B) | 246 / 082 | 0 | 0 | No | NA |
| 1.5 | 3 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (3,0x0100000'B) | 246 / 081 | 0 | 0 | Yes | Yes |
| 1.6 | 3 | 0x03 00 00 00 | 00000 | UAC\_BarringInfo\_Common (3,0x0100000'B) | 246 / 081 | 0 | 0 | Yes | Yes |
| 1.7 | 7 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (7,0x0000000'B) | 246 / 081 | 0 | 0 | Yes | Yes |
| 1.8 | 3 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (3,0x0000000'B) | 244 / 081 | 0 | 0 | No | NA |
| 1.9 | 7 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (7,0x1000000'B) | 246 / 081 | 0 | 0 | Yes | No |
| 1.10 | 7 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_PerPLMN (7,0x1000000'B) | 246 / 081 | 0 | 0 | Yes | No |
| 1.11 | 7 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (7,0x1000000'B) | 246 / 082 | 0 | 0 | Yes | No |
| 1.12 | 7 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (7,0x0000000'B) | 244 / 081 | 0 | 0 | Yes | No |
| 1.13 | 7 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (7,0x01000000'B) | 244 / 081 | 1 | 0 | Yes | Yes |
| 1.14 | 7 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common (7,0x01000000'B) | 244 / 081 | 1 | 0 | Yes | Yes |
| 1.15 | 7 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common2 (3,0x0100000'B, 7,0x1000000'B) | 246 / 081 | 0 | 0 | Yes | No |
| 1.16 | 3 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common2 (7,0x1000000'B, 3,0x0000000'B) | 244 / 081 | 0 | 0 | No | NA |
| 2.5 | 8 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common2 (7,0x1000000'B, 8,0x0000000'B) | 244 / 081 | 0 | 0 | No | |
| 2.6 | 8 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (8,0x0000000'B) | 246 / 081 | 0 | 0 | Yes | |

##### 5.4.2.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.2-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Information** | **REQ** | **SA** |
| 1 | TT | SIB1 of Cell A is transmitted as defined in the initial conditions for tests from table 5.4.2-1 | Barring info is set as in table 5.4.2-1  See Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table |  |  |
| 2 | UE | Power UE on | The UE performs a SIM initialisation. (includes reading of EFUAC-AIC and EFACC) | CR 1 | A.2/1 OR A.2/2 |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If cell access is not allowed:** | | | | | |
| 3 | UE > TT | REGISTRATION REQUEST is not sent | See column ‘Registration successful?’ for the result | CR 2 CR 3 CR 4 |  |
| 4 | User | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If cell access is allowed:** | | | | | |
| 3 | UE > TT | Send REGISTRATION REQUEST | See column ‘Registration successful?’ for the result | CR 2 CR 3 CR 4 |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | For simplicity other signalling is not shown |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If MO Data call is not allowed:** | | | | | |
| 5 | UE | Attempt to set up MO Data call | To set up the MO Data call the MMI or EMMI is used | CR 2 CR 3 CR 4 |  |
| 6 | User | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If MO Data call is allowed:** | | | | | |
| 5 | UE > TT | Set up MO Data call  *(PDU SessionEstablishment)* | To set up the MO Data call the MMI or EMMI is used | CR 2 CR 3 CR 4 |  |
| 6 | User | End test sequence |  |  |  |

#### 5.4.2.5 Acceptance criteria

For the scenarios in table 5.4.2-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-ME initialisation procedure.

CR 2, CR 3, and CR 4 are verified at:

* step 3) by analysing if the UE shall make a successful or unsuccessful registration to the network in accordance with the result indicated in the table and
* step 5) by analysing if the UE shall make a successful or unsuccessful MO Data call in accordance with the result indicated in the table if the step is applicable.

### 5.4.2A Unified Access Control – Access Identity 1 – MPS indicated by USIM, Access Category 8

#### 5.4.2A.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information (i.e. a list of barring parameters associated with an Access Identity and an Access Category) in SIB1.

The EFUAC-AIC in the USIM contains the configuration information pertaining to access identity 1 allocated for high priority services MPS.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

If RRC state is RRC\_INACTIVE and the resumption of the RRC connection is triggered due to an RNA Update RRC layer shall select Access Category as '8' and perform unified access control procedure in case there is no ongoing emergency service.

#### 5.4.2A.2 Conformance requirement

CR 1 The ME shall read the access control value as part of the USIM-ME initialization procedure, and subsequently adopt this value.

Reference:

- TS 31.102 [19], clause 5.1.1.2.

CR 2 Access Identities are configured at the UE as listed in TS 22.261 [36] Table 6.22.2.2-1. Access Categories are defined by the combination of conditions related to UE and the type of access attempt as listed in TS 22.261 [36] Table 6.22.2.3-1. One or more Access Identities and only one Access Category are selected and tested for an access attempt.

Reference:

- TS 22.261 [36], clause 6.22.2

CR 3 Access Identity 1 is valid if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

Reference:

- TS 24.501 [25], clause 4.5.2

CR 4 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac‑BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac‑BarringInfoSetList.

Reference:

- TS 38.331 [37], clauses 5.3.14

CR 5 If the resumption of the RRC connection is triggered due to an RNA update and there is no ongoing emergency service RRC shall select '8' as the Access Category and perform the unified access control procedure.

Reference:

- TS 38.331 [37], clauses 5.3.13.2

#### 5.4.2A.3 Test purpose

The purpose of this test is to verify that:

1) the ME reads the access control value from EFUAC-AIC and EFACC as part of the USIM-ME initialisation procedure, and subsequently adopts this value.

2) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category.

3) the UE determines whether or not a particular access attempt is allowed based on uac-BarringInfo broadcast in SIB1 and if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

4) the UE performs unified access control procedure if RNA Update procedure is triggered.

#### 5.4.2A.4 Method of test

##### 5.4.2A.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identities configured in EFUAC\_AIC and no Access Classes configured in EFACC as also shown in table 5.4.2A-2.

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°124 |  | Subscription identifier privacy support | not available |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxx1 xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** |  |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 011x |  |  |  |

The TT (NG-SS) is configured to transmit the following parameters on Cell A and B:

Cell A:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.2A-1, TAC="000001".

- CellIdentity: "000000001"

For the table 5.4.2A-1:

No uac-BarringInfo in SIB1.

Cell B:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.2A-1, TAC="000001".

- CellIdentity: "000000002"

For the table 5.4.2A-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

##### 5.4.2A.4.2 Test sequence settings

Table 5.4.2A-1

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TC Seq# | Access Category | USIM | | SIB1 on Cell B | | REGISTRATION ACCEPT (5GS network feature support IE) | | Result |
| EFUAC\_AIC | EFACC  (Byte 1  b8-b4) | uac-BarringInfo | PLMN-Identity  (MCC/MNC) | MPS indicator Bit | MCS indicator Bit | RRCResumeRequest with resumeCause set to rna-Update successful? |
| 2.1 | 8 | 0x01 00 00 00 | 00000 | Not Present | 246 / 081 | 0 | 0 | Yes |
| 2.2 | 8 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (8,0x1000000'B) | 246 / 081 | 0 | 0 | No |
| 2.3 | 8 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_PerPLMN (8,0x0000000'B) | 246 / 081 | 0 | 0 | Yes |
| 2.4 | 8 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (8,0x1000000'B) | 244 / 081 | 1 | 0 | No |
| 2.5 | 8 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common2 (7,0x1000000'B, 8,0x0000000'B) | 244 / 081 | 0 | 0 | No |
| 2.6 | 8 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (8,0x0000000'B) | 246 / 081 | 0 | 0 | Yes |

##### 5.4.2A.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.2A-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | TT | SIB1 of Cell A is transmitted as defined in the initial conditions and in table 5.4.2A-1 | No barring info is provided to Cell A |  |  |
| 2 | UE | Power UE on | The UE performs a SIM initialisation | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | Send REGISTRATION REQUEST |  |  |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | The registration is successful |  |  |
| 5 | UE > TT | Set up MO Data call (PDU SessionEstablishment) | To set up the MO Data call the MMI or EMMI is used.  The MO Data call is set up successfully |  |  |
| 6 | TT > UE | Send RRC RELEASE with *suspendConfig* in *criticalExtensions* (with the choice *rrcRelease*) | ran-NotificationAreaInfo in suspendConfig contains the cellList with cellIdentity of Cell A:  cellList {  plmn-Identity {mcc, mnc},  -- see table 5.4.2A-1 for  MCC/MNC  ran-AreaCells 000000001’B  } |  |  |
| 7 | TT | Deactivate Cell A and activate Cell B |  |  |  |
| 8 | TT | SIB1 of Cell B is transmitted as defined in table 5.4.2A-1 | Barring info is as in the table.  See Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table |  |  |
| 9 | UE > TT | Initiate RRC RESUME REQUEST procedure with *resumeCause* set to *rna-Update*. | See the column ‘RRC RESUME REQUEST with *resumeCause* set to *rna-Update* successful?’ for the result | CR 2 CR 3 CR 4 CR 5 |  |

#### 5.4.2A.5 Acceptance criteria

For the scenarios in table 5.4. 2A-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-Terminal initialisation procedure.

CR 2, CR 3, CR 4, and CR 5 are verified at step 9) by analysing if the UE shall make a successful or unsuccessful RRC Resumption for RNA Update in accordance with the result indicated in the table.

### 5.4.3 Unified Access Control – Access Identity 1 – no MPS indication by USIM and SUPI not changed

#### 5.4.3.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information (i.e. a list of barring parameters associated with an Access Identity and an Access Category) in SIB1.

When the UE is in the country of its HPLMN or in an EHPLMN (if the EHPLMN list is present), and the USIM file EFUAC\_AIC does not indicate the UE is configured for access identity 1, the UE uses the MPS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message to determine if access identity 1 is valid.

The UE shall not consider access identity 1 to be valid when the UE is not in the country of its HPLMN prior to receiving the MPS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message being set to "Access identity 1 valid in RPLMN or equivalent PLMN".

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

#### 5.4.3.2 Conformance requirement

CR 1 The USIM file EFUAC\_AIC does not indicate the UE is configured for access identity 1, the UE uses the MPS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message to determine if access identity 1 is valid. shall read the access control value as part of the USIM-ME initialization procedure, and subsequently adopt this value.

Reference:

- TS 24.501 [25], clause 4.5.2.

CR 2 The UE shall not consider access identity 1 to be valid when the UE is not in the country of its HPLMN prior to receiving the MPS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message being set to "Access identity 1 valid in RPLMN or equivalent PLMN".

Reference:

- TS 24.501 [25], clause 4.5.2.

CR 3 The MPS indicator is stored together with a PLMN identity of the PLMN that provided it, and is valid in that RPLMN or equivalent PLMN. The MPS indicator can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the MPS indicator.

Reference:

- TS 24.501 [25], Annex C.

CR 4 Access Identity 1 is valid if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

Reference:

- TS 24.501 [25], clause 4.5.2

CR 5 Upon receiving a REGISTRATION ACCEPT message with the MPS indicator bit set to "Access identity 1 valid", the UE shall act as a UE with access identity 1 configured for MPS in all NG-RAN of the registered PLMN and its equivalent PLMNs. The MPS indicator bit in the 5GS network feature support IE provided in the REGISTRATION ACCEPT message is valid until the UE receives a REGISTRATION ACCEPT message with the MPS indicator bit set to "Access identity 1 not valid" or until the UE selects a non-equivalent PLMN. Access identity 1 is only applicable while the UE is in N1 mode.

Reference:

- TS 24.501 [25], clause 5.5.1.3.4

CR 6 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac‑BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac‑BarringInfoSetList.

Reference:

- TS38.331 [37], clauses 5.3.14

#### 5.4.3.3 Test purpose

The purpose of this test is to verify that:

1) if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country and the USIM file EFUAC\_AIC does not indicate the UE is configured for access identity 1 and the MPS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message is received, UE considers access identity as 1 for access barring;

2) the MPS indicator is stored together with a PLMN identity of the PLMN that provided it, and the MPS indicator can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME;

3) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category;

4) the UE determines whether or not a particular access attempt is allowed based on uac‑BarringInfo broadcast in SIB1 and if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country;

5) a UE operated with a USIM where the file EFUAC\_AIC does not indicate access identity 1, after a change of the REGISTRATION ACCEPT message with the MPS indicator bit set to "Access identity 1 not valid", or when the UE has selected a non-equivalent PLMN, the UE is acting as if it is configured for access identity 0.

#### 5.4.3.4 Method of test

##### 5.4.3.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identity is configured in EFUAC\_AIC and no Access Classes are configured in EFACC as given in table 5.4.3-1.

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°124 |  | Subscription identifier privacy support | not available |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** |  |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 011x |  |  |  |

The TT (NG-SS) is configured to transmit the following parameters on Cell A and B:

Cell A:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/000001.

- CellIdentity: "000000001"

For Table 5.4.3-1:

After turning on the cell for the 2nd time, uac‑BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

Cell B:

Transmits on the BCCH, with the following network parameters:

* TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.3-1, TAC: "000002".
* CellIdentity: "000000002"

For Table 5.4.3-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

##### 5.4.3.4.2 Test sequence settings

Table 5.4.3-1

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| TC Seq# | Access Category | **USIM** | | **REGISTRATION ACCEPT (5GS network feature support IE)**  **on Cell A at 1st power up of the ME** | | **SIB1 of Cell A or B**  **after the 2nd power up of the ME** | | | **Result** | |
| **EFUAC\_AIC** | **EFACC**  **(Byte 1**  **b8-b4)** | **MPS indicator Bit** | **MCS indicator Bit** | **Cell A or B** | **uac-BarringInfo** | **PLMN-Identity**  **(MCC/MNC)** | **Registration successful?** | **MO Data call successful?** |
| 1.1 | 3 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell A | Not Present | 246 / 081 | Yes | Yes |
| 1.2 | 3 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell A | UAC\_BarringInfo\_Common (3,0x1000000'B) | 246 / 081 | No | N/A |
| 1.3 | 7 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell A | UAC\_BarringInfo\_Common (7,0x0100000'B) | 246 / 081 | Yes | No |
| 1.4 | 3 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell A | UAC\_BarringInfo\_Common (3,0x0100000'B) | 246 / 081 | Yes | Yes |
| 1.5 | 3 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell B | UAC\_BarringInfo\_PerPLMN (3,0x0100000'B) | 246 / 082 | No | N/A |
| 1.6 | 3 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell B | UAC\_BarringInfo\_Common (3,0x0000000'B) | 244 / 081 | No | N/A |
| 1.7 | 7 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell B | UAC\_BarringInfo\_Common (7,0x0100000'B) | 244 / 081 | Yes | No |
| 1.8 | 7 | 0x00 00 00 00 | 00000 | 1 | 1 | Cell B | UAC\_BarringInfo\_Common (7,0x0100000'B) | 244 / 081 | Yes | No |

##### 5.4.3.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.3-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Information** | **REQ** | **SA** |
| 1 | TT | SIB1 of Cell A is transmitted without uac‑BarringInfo in SIB1. |  |  |  |
| 2 | UE | Power UE on | The UE performs a SIM initialisation. (includes reading of EFUAC-AIC and EFACC) | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | REGISTRATION REQUEST is sent |  |  |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | The MPS indicator bit set to "Access identity 1 valid".  For simplicity other signalling is not shown |  |  |
| 5 | UE | Power UE off |  |  |  |
| 6 | TT | Deactivates Cell A |  |  |  |
| 7 | TT | Activates Cell A or B with SIB1 as specified in the table 5.4.3-1 | See the column 'SIB1 of Cell A or B after the 2nd power up of the ME' for the SIB 1 and the column 'Cell A or B' to find the cell to be activated for this test step. |  |  |
| 8 | UE | Power UE on | The UE performs a SIM initialisation again. |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If cell access is not allowed: | | | | | |
| 9 | UE > TT | REGISTRATION REQUESTin not sent | See column 'Registration successful?' for the result | CR 2 through CR 6 |  |
| 10 | User | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If cell access is allowed:** | | | | | |
| 9 | UE > TT | Send REGISTRATION REQUEST | See column ' Registration successful?' for the result | CR 2 through CR 6 |  |
| 10 | TT > UE | Send REGISTRATION ACCEPT | For simplicity other signalling is not shown |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If MO Data call is not allowed:** | | | | | |
| 11 | UE | Attempt to set up MO Data call | To set up the MO Data call the MMI or EMMI is used | CR 2 through CR 6 |  |
| 12 | User | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If MO Data call is allowed: | | | | | |
| 11 | UE > TT | Set up MO Data call  (*PDU SessionEstablishment*) | To set up the MO Data call the MMI or EMMI is used | CR 2 through CR 6 |  |
| 12 | User | End test sequence |  |  |  |

#### 5.4.3.5 Acceptance criteria

For the scenarios in table 5.4.3-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-Terminal initialisation procedure.

CR 2, CR 3, CR 4, CR 5, and CR 6 are verified

* at steps 9) and 10) by analysing if the UE shall make a successful or unsuccessful Registration to the network in accordance with the result indicated in the table and
* at steps 11) and 12) by analysing if the UE shall make a successful or unsuccessful MO Data call in accordance with the result indicated in the table if the step is applicable.

### 5.4.4 Unified Access Control – Access Identity 1 – no MPS indication by USIM and SUPI is changed

#### 5.4.4.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information (i.e. a list of barring parameters associated with an Access Identity and an Access Category) in SIB1.

When the UE is in the country of its HPLMN or in an EHPLMN (if the EHPLMN list is present), and the USIM file EFUAC\_AIC does not indicate the UE is configured for access identity 1, the UE uses the MPS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message to determine if access identity 1 is valid.

The MPS indicator is stored together with a PLMN identity of the PLMN that provided it and is valid in that RPLMN or equivalent PLMN. The MPS indicator can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the MPS indicator.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

#### 5.4.4.2 Conformance requirement

CR 1 When the UE is in the country of its HPLMN, and the USIM file EFUAC\_AIC does not indicate the UE is configured for access identity 1, the UE uses the MPS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message to determine if access identity 1 is valid.

Reference:

- TS 24.501 [25], clause 4.5.2.

CR 2 The UE shall not consider access identity 1 to be valid when the UE is not in the country of its HPLMN prior to receiving the MPS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message being set to "Access identity 1 valid" in RPLMN or equivalent PLMN.

Reference:

- TS 24.501 [25], clause 4.5.2.

CR 3 Upon receiving a REGISTRATION ACCEPT message with the MPS indicator bit set to "Access identity 1 valid" in RPLMN or equivalent PLMN, the UE shall act as a UE with access identity 1 configured for MPS in all NG-RAN of the registered PLMN and its equivalent PLMNs. The MPS indicator bit in the 5GS network feature support IE provided in the REGISTRATION ACCEPT message is valid until the UE receives a REGISTRATION ACCEPT message with the MPS indicator bit set to "Access identity 1 not valid in RPLMN or equivalent PLMN" or until the UE selects a non-equivalent PLMN. Access identity 1 is only applicable while the UE is in N1 mode.

Reference:

- TS 24.501 [25], clause 5.5.1.2.4.

CR 4 The MPS indicator is stored together with a PLMN identity of the PLMN that provided it, and is valid in that RPLMN or equivalent PLMN. The MPS indicator can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the MPS indicator.

Reference:

- TS 24.501 [25], Annex C.

CR 5 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac BarringInfoSetList.

Reference:

- TS 38.331 [37], clause 5.3.14

#### 5.4.4.3 Test purpose

The purpose of this test is to verify that:

1) if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country and the USIM file EFUAC\_AIC does not indicate the UE is configured for access identity 1 and the MPS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message is received, UE considers access identity as 1 for access barring;

2) the MPS indicator bit in the 5GS network feature support IE provided in the REGISTRATION ACCEPT message is valid until the UE receives a REGISTRATION ACCEPT message with the MPS indicator bit set to "Access identity 1 not valid" or until the UE selects a non-equivalent PLMN;

3) the MPS indicator is stored together with a PLMN identity of the PLMN that provided it, and the MPS indicator can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME;

4) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category;

5) a UE operated with a USIM where the file EFUAC\_AIC does not indicate access identity 1, after a change of the REGISTRATION ACCEPT message with the MPS indicator bit set to "Access identity 1 not valid", or when the UE has selected a non-equivalent PLMN, the UE is acting as if it is configured for access identity 0.

#### 5.4.4.4 Method of test

##### 5.4.4.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identity is configured in EFUAC\_AIC and no Access Classes are configured in EFACC as given in table 5.4.4-1.

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°124 |  | Subscription identifier privacy support | not available |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** |  |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 011x |  |  |  |

The defined UICC/USIM data is installed on the UE.

The TT (NG-SS) is configured to transmit the following parameters on Cell A and B:

Cell A:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/000001.

- CellIdentity: "000000001"

For Table 5.4.4-1:

After turning on the cell for the 2nd time, uac‑BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

Cell B:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.4-1, TAC="000002".

- CellIdentity: "000000002"

For Table 5.4.4-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

##### 5.4.4.4.2 Test sequence settings

Table 5.4.4-1

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TC Seq#** | **Access Category** | **USIM** | | **REGISTRATION ACCEPT (5GS network feature support IE)**  **on Cell A at 1st power up of the ME** | | **SIB1 of Cell A or B**  **after the 2nd power up of the ME** | | | **Result** | |
| **EFUAC\_AIC** | **EFACC**  **(Byte 1**  **b8-b4)** | **MPS indicator Bit** | **MCS indicator Bit** | **Cell A or B** | **uac-BarringInfo** | **PLMN-Identity**  **(MCC/MNC)** | **Registration successful?** | **MO Data call successful?** |
| 1.1 | 3 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell A | Not Present | 246 / 081 | Yes | Yes |
| 1.2 | 3 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell A | UAC\_BarringInfo\_Common (3,0x0100000'B) | 246 / 081 | No | NA |
| 1.3 | 7 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell A | UAC\_BarringInfo\_Common (7,0x0100000'B) | 246 / 081 | Yes | No |
| 1.4 | 3 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell A | UAC\_BarringInfo\_Common (3,0x0000000'B) | 246 / 081 | No | NA |
| 1.5 | 3 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell B | UAC\_BarringInfo\_Common (3,0x0100000'B) | 246 / 082 | No | NA |
| 1.6 | 3 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell B | UAC\_BarringInfo\_PerPLMN (3,0x01000001'B) | 244 / 081 | No | NA |
| 1.7 | 7 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell B | UAC\_BarringInfo\_Common( 7,0x0000000'B) | 244 / 081 | Yes | No |

##### 5.4.4.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.3-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Information** | **REQ** | **SA** |
| 1 | TT | SIB1 of Cell A is transmitted without uac‑BarringInfo in SIB1. |  |  |  |
| 2 | UE | Power UE on | The UE performs a SIM initialisation. (includes reading of EFUAC-AIC and EFACC) | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | REGISTRATION REQUESTis sent |  |  |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | The MPS indicator bit set to "Access identity 1 valid".  For simplicity other signalling is not shown |  |  |
| 5 | UE | Power UE on |  |  |  |
| 6 | TT | Deactivates Cell A |  |  |  |
| 7 | TT | Activates Cell A or B with SIB1 as specified in the table 5.4.3-1 | See the column 'SIB1 of Cell A or B after the 2nd power up of the ME' for the SIB 1 and the column ' Cell A or B' to find the cell to be activated for this test step. |  |  |
| 8 | UE | Power UE on | Power on with a different USIM (e.g.: IMSI set to 24681685533963)  The UE performs a SIM initialisation again. |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If cell access is not allowed: | | | | | |
| 9 | UE > TT | REGISTRATION REQUESTin not sent | See column ' Registration successful?' for the result | CR 2 through CR 5 |  |
| 10 | User | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If cell access is allowed:** | | | | | |
| 9 | UE > TT | Send REGISTRATION REQUEST | See column ' Registration successful?' for the result | CR 2 through CR 5 |  |
| 10 | TT > UE | Send REGISTRATION ACCEPT | For simplicity other signalling is not shown |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If MO Data call is not allowed:** | | | | | |
| 11 | UE | Attempt to set up MO Data call | To set up the MO Data call the MMI or EMMI is used | CR 2 through CR 5 |  |
| 12 | User | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If MO Data call is allowed: | | | | | |
| 11 | UE > TT | Set up MO Data call  (*PDU SessionEstablishment*) | To set up the MO Data call the MMI or EMMI is used | CR 2 through CR 5 |  |
| 12 | User | End test sequence |  |  |  |

#### 5.4.4.5 Acceptance criteria

For the scenarios in table 5.4.4-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-Terminal initialisation procedure.

CR 2, CR 3, CR 4, and CR 5 are verified:

* at steps 9) and 10) by analysing if the UE shall make a successful or unsuccessful Registration to the network in accordance with the result indicated in the table and
* at steps 11) and 12) by analysing if the UE shall make a successful or unsuccessful MO Data call in accordance with the result indicated in the table if the step is applicable.

### 5.4.5 Unified Access Control – Access Identity 2 – MCS indicated by USIM

#### 5.4.5.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information (i.e. a list of barring parameters associated with an Access Identity and an Access Category) in SIB1.

The EFUAC-AIC in the USIM contains the configuration information pertaining to access identity 2 allocated for high priority services MCS.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

#### 5.4.5.2 Conformance requirement

CR 1 The UE shall read the access control value as part of the USIM-Terminal initialization procedure, and subsequently adopt this value.

Reference:

- TS 31.102 [19], clause 5.1.1.2.

CR 2 Access Identities are configured at the UE as listed in TS 22.261 [36] Table 6.22.2.2-1. Access Categories are defined by the combination of conditions related to UE and the type of access attempt as listed in TS 22.261 [36] Table 6.22.2.3-1. One or more Access Identities and only one Access Category are selected and tested for an access attempt.

Reference:

- TS 22.261 [36], clause 6.22.2

CR 3 Access Identity 2 is valid if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

Reference:

- TS 24.501 [25], clause 4.5.2

CR 4 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac-BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac-BarringInfoSetList.

Reference:

- TS 38.331 [37], clauses 5.3.14

#### 5.4.5.3 Test purpose

The purpose of this test is to verify that:

1) the ME reads the access control value from EFUAC-AIC and EFACC as part of the USIM-Terminal initialisation procedure, and subsequently adopts this value

2) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category;

3) the UE determines whether or not a particular access attempt is allowed based on uac-BarringInfo broadcast in SIB1 and if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

#### 5.4.5.4 Method of test

##### 5.4.5.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identity is configured in EFUAC\_AIC and no Access Classes are configured in EFACC as given in table 5.4.5-1.

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°124 |  | Subscription identifier privacy support | not available |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** |  |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 011x |  |  |  |

The defined UICC/USIM data is installed on the UE.

The TT (NG-SS) is configured to transmit the following parameters on Cell A:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see tables 5.4.5-x, TAC="000001".

- CellIdentity: "000000001"

For Table 5.4.5-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

##### 5.4.5.4.2 Test sequence settings

Table 5.4.5-1

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TC Seq#** | **Access Category** | **USIM** | | **SIB1** | | **REGISTRATION ACCEPT (5GS network feature support IE)** | | **Result** | |
| **EFUAC\_AIC** | **EFACC**  **(Byte 1**  **b8-b4)** | **uac-BarringInfo** | **PLMN-Identity**  **(MCC/MNC)** | **MPS indicator Bit** | **MCS indicator Bit** | **Registration successful?** | **MO Data call successful?** |
| 1.1 | 7 | 0x02 00 00 00 | 00000 | Not Present | 246 / 081 | 0 | 0 | Yes | Yes |
| 1.2 | 3 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common (3,0x0100000'B) | 246 / 081 | 0 | 0 | No | NA |
| 1.3 | 3 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_PerPLMN (3,0x0100000'B) | 246 / 081 | 0 | 0 | No | NA |
| 1.4 | 3 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common (3,0x0100000'B) | 246 / 082 | 0 | 0 | No | NA |
| 1.5 | 3 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common (3,0x1000000'B) | 246 / 081 | 0 | 0 | Yes | Yes |
| 1.6 | 3 | 0x03 00 00 00 | 00000 | UAC\_BarringInfo\_Common (3,0x1000000'B) | 246 / 081 | 0 | 0 | Yes | Yes |
| 1.7 | 7 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common (7,0x0000000'B) | 246 / 081 | 0 | 0 | Yes | Yes |
| 1.8 | 3 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common (3,0x0000000'B) | 244 / 081 | 0 | 0 | No | NA |
| 1.9 | 7 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common (7,0x0100000'B) | 246 / 081 | 0 | 0 | Yes | No |
| 1.10 | 7 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_PerPLMN (7,0x0100000'B) | 246 / 081 | 0 | 0 | Yes | No |
| 1.11 | 7 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common (7,0x0100000'B) | 246 / 082 | 0 | 0 | Yes | No |
| 1.12 | 7 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common (7,0x0000000'B) | 244 / 081 | 0 | 0 | Yes | No |
| 1.13 | 7 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common (7,0x10000000'B) | 244 / 081 | 0 | 1 | Yes | Yes |
| 1.14 | 7 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (7,0x10000000'B) | 244 / 081 | 0 | 1 | Yes | Yes |
| 1.15 | 7 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common2 (3,0x1000000'B, 7,0x0100000'B) | 246 / 081 | 0 | 0 | Yes | No |
| 1.16 | 3 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common2 (7,0x0100000'B, 3,0x0000000'B) | 244 / 081 | 0 | 0 | No | NA |

##### 5.4.5.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.5-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Information** | **REQ** | **SA** |
| 1 | TT | SIB1 of Cell A is transmitted as defined in the initial conditions for tests from table 5.4.5-1 | Barring info is set as in table 5.4.5-1  See Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table |  |  |
| 2 | UE | Power UE on | The UE performs a SIM initialisation. (includes reading of EFUAC-AIC and EFACC) | CR 1 | A.2/1 OR A.2/2 |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If cell access is not allowed:** | | | | | |
| 3 | UE > TT | REGISTRATION REQUEST is not sent | See column 'Registration successful?' for the result | CR 2 CR 3 CR 4 |  |
| 4 | User | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If cell access is allowed:** | | | | | |
| 3 | UE > TT | Send REGISTRATION REQUEST | See column 'Registration successful?' for the result | CR 2 CR 3 CR 4 |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | For simplicity other signalling is not shown |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If MO Data call is not allowed:** | | | | | |
| 5 | UE | Attempt to set up MO Data call | To set up the MO Data call the MMI or EMMI is used | CR 2 CR 3 CR 4 |  |
| 6 | User | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If MO Data call is allowed:** | | | | | |
| 5 | UE > TT | Set up MO Data call  (*PDU SessionEstablishment*) | To set up the MO Data call the MMI or EMMI is used | CR 2 CR 3 CR 4 |  |
| 6 | User | End test sequence |  |  |  |

#### 5.4.5.5 Acceptance criteria

For the scenarios in table 5.4.5-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-ME initialisation procedure.

CR 2, CR 3, and CR 4 are verified

* step 3) by analysing if the UE shall make a successful or unsuccessful registration to the network in accordance with the result indicated in the table and
* step 5) by analysing if the UE shall make a successful or unsuccessful MO Data call in accordance with the result indicated in the table if the step is applicable.

### 5.4.5A Unified Access Control – Access Identity 2 – MCS indicated by USIM

#### 5.4.5A.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information (i.e. a list of barring parameters associated with an Access Identity and an Access Category) in SIB1.

The EFUAC-AIC in the USIM contains the configuration information pertaining to access identity 2 allocated for high priority services MCS.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

If RRC state is RRC\_INACTIVE and the resumption of the RRC connection is triggered due to an RNA Update RRC layer shall select Access Category as 8 and perform unified access control procedure in case there is no ongoing emergency service.

#### 5.4.5A.2 Conformance requirement

CR 1 The ME shall read the access control value as part of the USIM-Terminal initialization procedure, and subsequently adopt this value.

Reference:

- TS 31.102 [19], clause 5.1.1.2.

CR 2 Access Identities are configured at the UE as listed in TS 22.261 [36] Table 6.22.2.2-1. Access Categories are defined by the combination of conditions related to UE and the type of access attempt as listed in TS 22.261 [36] Table 6.22.2.3-1. One or more Access Identities and only one Access Category are selected and tested for an access attempt.

Reference:

- TS 22.261 [36], clause 6.22.2

CR 3 Access Identity 2 is valid if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

Reference:

- TS 24.501 [25], clause 4.5.2

CR 4 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac-BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac-BarringInfoSetList.

Reference:

- TS 38.331 [37], clauses 5.3.14

CR 5 If the resumption of the RRC connection is triggered due to an RNA update and there is no ongoing emergency service RRC shall select '8' as the Access Category and perform the unified access control procedure.

Reference:

- TS 38.331 [37], clauses 5.3.13.2

#### 5.4.5A.3 Test purpose

The purpose of this test is to verify that:

1) the ME reads the access control value from EFUAC-AIC and EFACC as part of the USIM-Terminal initialisation procedure, and subsequently adopts this value;

2) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category;

3) the UE determines whether or not a particular access attempt is allowed based on uac-BarringInfo broadcast in SIB1 and if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country;

4) the UE performs unified access control procedure if RNA Update procedure is triggered.

#### 5.4.5A.4 Method of test

##### 5.4.5A.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identities configured in EFUAC\_AIC and no Access Classes configured in EFACC as also shown in table 5.4.5A-1.

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°124 |  | Subscription identifier privacy support | not available |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** |  |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 011x |  |  |  |

The defined UICC/USIM data is installed on the UE.

The TT (NG-SS) is configured to transmit the following parameters on Cell A and B:

Cell A:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.5A-1, TAC="000001".

- CellIdentity: "000000001"

For Table 5.4.5A-1:

No uac-BarringInfo in SIB1.

Cell B:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.5A-1, TAC="000001".

- CellIdentity: "000000002"

For Table 5.4.5A-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

##### 5.4.5A.4.2 Test sequence settings

Table 5.4.5A-1

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TC Seq#** | **Access Category** | **USIM** | | **SIB1** | | **REGISTRATION ACCEPT (5GS network feature support IE)** | | **Result** |
|  |  | **EFUAC\_AIC** | **EFACC**  **(Byte 1,**  **b8-b4)** | **uac-BarringInfo** | **PLMN-Identity**  **(MCC/MNC)** | **MPS indicator Bit** | **MCS indicator Bit** | **RRCResume Request -rna-Update successful?** |
| 2.1 | 8 | 0x02 00 00 00 | 00000 | Not Present | 246 / 081 | 0 | 0 | Yes |
| 2.2 | 8 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common (8,0x0100000'B) | 246 / 081 | 0 | 0 | No |
| 2.3 | 8 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_PerPLMN (8,0x0000000'B) | 246 / 081 | 0 | 0 | Yes |
| 2.4 | 8 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common (8,0x0100000'B) | 244 / 081 | 0 | 1 | No |
| 2.5 | 8 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common2 (7,0x0100000'B, 8,0x0000000'B) | 244 / 081 | 0 | 0 | No |

##### 5.4.5A.4.2 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.5A-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | TT | SIB1 of Cell A is transmitted as defined in the initial conditions and in table 5.4.5A-1 | No barring info is provided to Cell A |  |  |
| 2 | UE | Power UE on | The UE performs a SIM initialisation | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | Send REGISTRATION REQUEST |  |  |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | The registration is successful |  |  |
| 5 | UE > TT | Set up MO Data call (*PDU SessionEstablishment*) | To set up the MO Data call the MMI or EMMI is used.  The MO Data call is set up successfully |  |  |
| 6 | TT > UE | Send RRC RELEASE with *suspendConfig* in *criticalExtensions* (with the choice *rrcRelease*) | ran-NotificationAreaInfo in suspendConfig contains the cellList with cellIdentity of Cell A:  cellList {  plmn-Identity {mcc, mnc},  -- see table 5.4.5A-1 for  MCC/MNC  ran-AreaCells 000000001’B  } |  |  |
| 7 | TT | Deactivate Cell A and activate Cell B |  |  |  |
| 8 | TT | SIB1 of Cell B is transmitted as defined in table 5.4.5A-1 | Barring info is as in the table.  See Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table |  |  |
| 9 | UE > TT | Initiate RRC RESUME REQUEST procedure with *resumeCause* set to *rna‑Update*. | See the column ‘RRC RESUME REQUEST with *resumeCause* set to *rna-Update* successful?’ for the result | CR 2 CR 3 CR 4 CR 5 |  |

#### 5.4.5A.5 Acceptance criteria

For the scenarios in table 5.4.5A-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-Terminal initialisation procedure.

CR 2, CR 3, CR 4, and CR 5 are verified at step 9) by analysing if the UE shall make a successful or unsuccessful RRC Resumption for RNA Update in accordance with the result indicated in the table.

### 5.4.6 Unified Access Control – Access Identity 2 – no MCS indication by USIM and SUPI is not changed

#### 5.4.6.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information (i.e. a list of barring parameters associated with an Access Identity and an Access Category) in SIB1.

When the UE is in the country of its HPLMN or in an EHPLMN (if the EHPLMN list is present), and the USIM file EFUAC\_AIC does not indicate the UE is configured for access identity 2, the UE uses the MCS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message to determine if access identity 2 is valid.

The UE shall not consider access identity 2 to be valid when the UE is not in the country of its HPLMN prior to receiving the MCS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message being set to "Access identity 2 valid in RPLMN or equivalent PLMN".

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

#### 5.4.6.2 Conformance requirement

CR 1 The USIM file EFUAC\_AIC does not indicate the UE is configured for access identity 2, the UE uses the MCS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message to determine if access identity 2 is valid.

Reference:

- TS 24.501 [25], clause 4.5.2.

CR 2 The UE shall not consider access identity 2 to be valid when the UE is not in the country of its HPLMN prior to receiving the MCS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message being set to "Access identity 2 valid in RPLMN or equivalent PLMN".

Reference:

- TS 24.501 [25], clause 4.5.2.

CR 3 The MCS indicator is stored together with a PLMN identity of the PLMN that provided it, and is valid in that RPLMN or equivalent PLMN. The MCS indicator can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the MCS indicator.

Reference:

- TS 24.501 [25], Annex C.

CR 4 Access Identity 2 is valid if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

Reference:

- TS 24.501 [25], clause 4.5.2

CR 5 Upon receiving a REGISTRATION ACCEPT message with the MCS indicator bit set to "Access identity 2 valid", the UE shall act as a UE with access identity 2 configured for MCS in all NG-RAN of the registered PLMN and its equivalent PLMNs. The MCS indicator bit in the 5GS network feature support IE provided in the REGISTRATION ACCEPT message is valid until the UE receives a REGISTRATION ACCEPT message with the MCS indicator bit set to "Access identity 2 not valid" or until the UE selects a non-equivalent PLMN. Access identity 2 is only applicable while the UE is in N1 mode.

Reference:

- TS 24.501 [25], clause 5.5.1.3.4

CR 6 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac‑BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac‑BarringInfoSetList.

Reference:

- TS 38.331 [37], clauses 5.3.14

#### 5.4.6.3 Test purpose

The purpose of this test is to verify that:

1) if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country and the USIM file EFUAC\_AIC does not indicate the UE is configured for access identity 2 and the MCS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message is received, UE considers access identity as 2 for access barring;

2) the MCS indicator is stored together with a PLMN identity of the PLMN that provided it, and the MCS indicator can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME;

3) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category;

4) the UE determines whether or not a particular access attempt is allowed based on uac‑BarringInfo broadcast in SIB1 and if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country;

5) a UE operated with a USIM where the file EFUAC\_AIC does not indicate access identity 2, after a change of the REGISTRATION ACCEPT message with the MCS indicator bit set to "Access identity 2 not valid", or when the UE has selected a non-equivalent PLMN, the UE is acting as if it is configured for access identity 0.

#### 5.4.6.4 Method of test

##### 5.4.6.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identities configured in EFUAC\_AIC and no Access Classes configured in EFACC as also shown in table 5.4.6-1.

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°124 |  | Subscription identifier privacy support | not available |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** |  |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 011x |  |  |  |

The defined UICC/USIM data is installed on the UE.

The TT (NG-SS) is configured to transmit the following parameters on Cell A and B:

Cell A:

Transmits on the BCCH, with the following network parameters:

- MCC, MNC: 246/081

- TAC: = "000001".

- CellIdentity: "000000001"

For Table 5.4.6-1:

After turning on the cell for the 2nd time, uac‑BarringInfo in SIB1 should be set as in the table:

Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

Cell B:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.6-1, TAC="000002".

- CellIdentity: "000000002"

For Table 5.4.6-1:

uac-BarringInfo in SIB1 should be set as in the table:

Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

##### 5.4.6.4.2 Test sequence settings

Table 5.4.6-1

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TC Seq#** | **Access Category** | **USIM** | | **REGISTRATION ACCEPT (5GS network feature support IE)**  **on Cell A at 1st power up of the ME** | | **SIB1 of Cell A or B**  **after the 2nd power up of the ME** | | | **Result** | |
| **EFUAC\_AIC** | **EFACC**  **(Byte 1**  **b8-b4)** | **MPS indicator Bit** | **MCS indicator Bit** | **Cell A or B** | **uac-BarringInfo** | **PLMN-Identity**  **(MCC/MNC)** | **Registration successful?** | **MO Data call successful?** |
| 1.1 | 3 | 0x00 00 00 00 | 00000 | 1 | 0 | Cell A | Not Present | 246 / 081 | Yes | Yes |
| 1.2 | 3 | 0x00 00 00 00 | 00000 | 0 | 1 | Cell A | UAC\_BarringInfo\_Common (3,0x0100000'B) | 246 / 081 | No | NA |
| 1.3 | 7 | 0x00 00 00 00 | 00000 | 0 | 1 | Cell A | UAC\_BarringInfo\_Common (7,0x1000000'B) | 246 / 081 | Yes | No |
| 1.4 | 3 | 0x00 00 00 00 | 00000 | 0 | 1 | Cell A | UAC\_BarringInfo\_Common (3,0x1000000'B) | 246 / 081 | Yes | Yes |
| 1.5 | 3 | 0x00 00 00 00 | 00000 | 0 | 1 | Cell B | UAC\_BarringInfo\_Common (3,0x1000000'B) | 246 / 082 | No | NA |
| 1.6 | 3 | 0x00 00 00 00 | 00000 | 0 | 1 | Cell B | UAC\_BarringInfo\_PerPLMN (3,0x1000000'B) | 244 / 081 | No | NA |
| 1.7 | 7 | 0x00 00 00 00 | 00000 | 0 | 1 | Cell B | UAC\_BarringInfo\_Common (7,0x0000000'B) | 244 / 081 | Yes | No |

##### 5.4.6.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.6-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Information** | **REQ** | **SA** |
| 1 | TT | SIB1 of Cell A is transmitted without uac‑BarringInfo in SIB1. |  |  |  |
| 2 | UE | Power UE on | The UE performs a SIM initialisation. (includes reading of EFUAC-AIC and EFACC) | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | REGISTRATION REQUEST is sent |  |  |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | The MPS indicator bit set to "Access identity 1 valid".  For simplicity other signalling is not shown |  |  |
| 5 | UE | Power UE off |  |  |  |
| 6 | TT | Deactivate Cell A |  |  |  |
| 7 | TT | Activate Cell A or B with SIB1 as specified in the table 5.4.6-1 | See the column 'SIB1 of Cell A or B  after the 2nd power up of the ME' for the SIB 1 and the column 'Cell A or B' to find the cell to be activated for this test step. |  |  |
| 8 | UE | Power UE on | The UE performs a SIM initialisation again. |  |  |
|  | | | | | |
| If cell access is not allowed: | | | | | |
| 9 | UE > TT | REGISTRATION REQUEST in not sent | See column 'Registration successful?' for the result | CR 2 through CR 6 |  |
| 10 | User | End test sequence |  |  |  |
|  | | | | | |
| **If cell access is allowed:** | | | | | |
| 9 | UE > TT | Send REGISTRATION REQUEST | See column 'Registration successful?' for the result | CR 2 through CR 6 |  |
| 10 | TT > UE | Send REGISTRATION ACCEPT | For simplicity other signalling is not shown |  |  |
|  | | | | | |
| **If MO Data call is not allowed:** | | | | | |
| 11 | UE | Attempt to set up MO Data call | To set up the MO Data call the MMI or EMMI is used | CR 2 through CR 6 |  |
| 12 | User | End test sequence |  |  |  |
|  | | | | | |
| If MO Data call is allowed: | | | | | |
| 11 | UE > TT | Set up MO Data call  (PDU SessionEstablishment) | To set up the MO Data call the MMI or EMMI is used | CR 2 through CR 6 |  |
| 12 | User | End test sequence |  |  |  |

##### 5.4.6.4.3 Acceptance criteria

For the scenarios in table 5.4.6-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-Terminal initialisation procedure.

CR 2, CR 3, CR 4, CR 5, and CR 6 are verified at:

* steps 9) and 10) by analysing if the UE shall make a successful or unsuccessful Registration to the network in accordance with the result indicated in the table and
* steps 11) and 12) by analysing if the UE shall make a successful or unsuccessful MO Data call in accordance with the result indicated in the table if the step is applicable.

### 5.4.7 Unified Access Control – Access Identity 2 – no MCS indication by USIM and SUPI is changed

#### 5.4.7.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information (i.e. a list of barring parameters associated with an Access Identity and an Access Category) in SIB1.

When the USIM file EFUAC\_AIC does not indicate the UE is configured for access identity 2, the UE uses the MCS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message to determine if access identity 2 is valid.

The MCS indicator is stored together with a PLMN identity of the PLMN that provided it, and is valid in that RPLMN or equivalent PLMN. The MCS indicator can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the MCS indicator.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

#### 5.4.7.2 Conformance requirement

CR 1 When the UE is in the country of its HPLMN, and the USIM file EFUAC\_AIC does not indicate the UE is configured for access identity 2, the UE uses the MCS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message to determine if access identity 2 is valid.

Reference:

- TS 24.501 [25], clause 4.5.2.

CR 2 The UE shall not consider access identity 2 to be valid when the UE is not in the country of its HPLMN prior to receiving the MCS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message being set to "Access identity 2 valid in RPLMN or equivalent PLMN".

Reference:

- TS 24.501 [25], clause 4.5.2.

CR 3 The UE shall consider access identity 2 to be valid when the network informs the UE that the use of access identity 2 is valid in the RPLMN or equivalent PLMN by setting the MCS indicator bit of the 5GS network feature support IE to "Access identity 2 valid", in the REGISTRATION ACCEPT message, the UE shall act as a UE with access identity 2 configured for MCS in all NG-RAN of the registered PLMN and its equivalent PLMNs, until the UE receives a REGISTRATION ACCEPT message with the MCS indicator bit set to "Access identity 2 not valid" or until the UE selects a non-equivalent PLMN. Access identity 2 is only applicable while the UE is in N1 mode.

Reference:

- TS 24.501 [25], clause 5.5.1.2.4.

CR 4 The MCS indicator is stored together with a PLMN identity of the PLMN that provided it, and is valid in that RPLMN or equivalent PLMN. The MCS indicator can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the MCS indicator.

Reference:

- TS 24.501 [25], Annex C.

CR 5 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac‑BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac‑BarringInfoSetList.

Reference:

- TS 38.331 [37], clauses 5.3.14

#### 5.4.7.3 Test purpose

The purpose of this test is to verify that:

1) if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country and the USIM file EFUAC\_AIC does not indicate the UE is configured for access identity 2 and the MCS indicator bit of the 5GS network feature support IE in the REGISTRATION ACCEPT message is received, UE considers access identity as 2 for access barring.

2) the MCS indicator bit in the 5GS network feature support IE provided in the REGISTRATION ACCEPT message is valid until the UE receives a REGISTRATION ACCEPT message with the MCS indicator bit set to "Access identity 2 not valid" or until the UE selects a non-equivalent PLMN.

3) the MCS indicator is stored together with a PLMN identity of the PLMN that provided it, and the MCS indicator can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME.

4) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category.

5) a UE operated with a USIM where the file EFUAC\_AIC does not indicate access identity 2, after a change of the REGISTRATION ACCEPT message with the MCS indicator bit set to "Access identity 2 not valid", or when the UE has selected a non-equivalent PLMN, the UE is acting as if it is configured for access identity 0.

#### 5.4.7.4 Method of test

##### 5.4.7.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identities configured in EFUAC\_AIC and no Access Classes configured in EFACC as also shown in table 5.4.7-1.

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°124 |  | Subscription identifier privacy support | not available |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** |  |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 011x |  |  |  |

The defined UICC/USIM data is installed on the UE.

The TT (NG-SS) is configured to transmit the following parameters on Cell A and B:

Cell A:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/000001

- CellIdentity: "000000001"

For Table 5.4.7-1:

After turning on the cell for the 2nd time, uac‑BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

Cell B:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.7-1, TAC="000002".

- CellIdentity: "000000002"

For Table 5.4.7-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

##### 5.4.7.4.2 Test sequence settings

Table 5.4.7-1

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TC Seq#** | **Access Category** | **USIM** | | **REGISTRATION ACCEPT (5GS network feature support IE)**  **on Cell A at 1st power up of the ME** | | **SIB1 of Cell A or B**  **after the 2nd power up of the ME** | | | **Result** | |
| **EFUAC\_AIC** | **EFACC**  **(Byte 1**  **b8-b4)** | **MPS indicator Bit** | **MCS indicator Bit** | **Cell A or B** | **uac-BarringInfo** | **PLMN-Identity**  **(MCC/MNC)** | **Registration successful?** | **MO Data call successful?** |
| 1.1 | 3 | 0x00 00 00 00 | 00000 | 0 | 1 | Cell A | Not Present | 246 / 081 | Yes | Yes |
| 1.2 | 3 | 0x00 00 00 00 | 00000 | 0 | 1 | Cell A | UAC\_BarringInfo\_Common (3,0x1000000'B) | 246 / 081 | No | NA |
| 1.3 | 7 | 0x00 00 00 00 | 00000 | 0 | 1 | Cell A | UAC\_BarringInfo\_Common (7,0x1000000'B) | 246 / 081 | Yes | No |
| 1.4 | 3 | 0x00 00 00 00 | 00000 | 0 | 1 | Cell A | UAC\_BarringInfo\_Common (3,0x0000000'B) | 246 / 081 | No | NA |
| 1.5 | 3 | 0x00 00 00 00 | 00000 | 0 | 1 | Cell B | UAC\_BarringInfo\_Common (3,0x1000000'B) | 246 / 082 | No | NA |
| 1.6 | 3 | 0x00 00 00 00 | 00000 | 0 | 1 | Cell B | UAC\_BarringInfo\_PerPLMN (3,0x1000000'B) | 244 / 081 | No | NA |
| 1.7 | 7 | 0x00 00 00 00 | 00000 | 0 | 1 | Cell B | UAC\_BarringInfo\_Common (7,0x0000000'B) | 244 / 081 | Yes | No |

##### 5.4.7.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.7-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Information** | **REQ** | **SA** |
| 1 | TT | SIB1 of Cell A is transmitted without uac‑BarringInfo in SIB1. |  |  |  |
| 2 | UE | Power UE on | The UE performs a SIM initialisation. (includes reading of EFUAC-AIC and EFACC) | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | REGISTRATION REQUEST is sent |  |  |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | The MPS indicator bit set to "Access identity 2 valid".  For simplicity other signalling is not shown |  |  |
| 5 | UE | Power UE on |  |  |  |
| 6 | TT | Deactivates Cell A |  |  |  |
| 7 | TT | Activates Cell A or B with SIB1 as specified in the table 5.4.7-1 | See the column 'SIB1 of Cell A or B after the 2nd power up of the ME' for the SIB 1 and the column 'Cell A or B' to find the cell to be activated for this test step. |  |  |
| 8 | UE | Power UE on | Power on with a different USIM (e.g.: IMSI set to 24681685533963)  The UE performs a SIM initialisation again. |  |  |
|  | | | | | |
| If cell access is not allowed: | | | | | |
| 9 | UE > TT | REGISTRATION REQUEST in not sent | See column 'Registration successful?' for the result | CR 2 through CR 5 |  |
| 10 | User | End test sequence |  |  |  |
|  | | | | | |
| **If cell access is allowed:** | | | | | |
| 9 | UE > TT | Send REGISTRATION REQUEST | See column 'Registration successful?' for the result | CR 2 through CR 5 |  |
| 10 | TT > UE | Send REGISTRATION ACCEPT | For simplicity other signalling is not shown |  |  |
|  | | | | | |
| **If MO Data call is not allowed:** | | | | | |
| 11 | UE | Attempt to set up MO Data call | To set up the MO Data call the MMI or EMMI is used | CR 2 through CR 5 |  |
| 12 | User | End test sequence |  |  |  |
|  | | | | | |
| If MO Data call is allowed: | | | | | |
| 11 | UE > TT | Set up MO Data call  (*PDU SessionEstablishment*) | To set up the MO Data call the MMI or EMMI is used | CR 2 through CR 5 |  |
| 12 | User | End test sequence |  |  |  |

#### 5.4.7.5 Acceptance criteria

For the scenarios in table 5.4.7-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-Terminal initialisation procedure.

CR 2, CR 3, CR 4, and CR 5 are verified at:

* steps 9) and 10) by analysing if the UE shall make a successful or unsuccessful Registration to the network in accordance with the result indicated in the table and
* steps 11) and 12) by analysing if the UE shall make a successful or unsuccessful MO Data call in accordance with the result indicated in the table if the step is applicable.

### 5.4.8 Unified Access Control – Access Identities 11 and 15 indicated by USIM

#### 5.4.8.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information (i.e. a list of barring parameters associated with an Access Identity and an Access Category) in SIB1.

The EFACC in the USIM contains the configuration information pertaining to access identities 11 and 15.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

#### 5.4.8.2 Conformance requirement

CR 1 The ME shall read the access control value as part of the USIM-Terminal initialization procedure, and subsequently adopt this value.

Reference:

- 3GPP TS 31.102 [19], clause 5.1.1.2.

CR 2 Access Identities are configured at the UE as listed in 3GPP TS 22.261 [43] Table 6.22.2.2-1. Access Categories are defined by the combination of conditions related to UE and the type of access attempt as listed in 3GPP TS 22.261 [43] Table 6.22.2.3-1. One or more Access Identities and only one Access Category are selected and tested for an access attempt.

Reference:

- 3GPP TS 22.261 [36], clause 6.22.2.

CR 3 Access Identity 11 and 15 are valid in HPLMN (if the EHPLMN list is not present or is empty) or EHPLMN (if the EHPLMN list is present).

Reference:

- 3GPP TS 24.501 [25], clause 4.5.2.

CR 4 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac-BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac-BarringInfoSetList.

Reference:

- 3GPP TS 38.331 [37], clauses 5.3.14.

#### 5.4.8.3 Test purpose

The purpose of this test is to verify that:

1) the ME reads the access control value from EFUAC-AIC and EFACC as part of the USIM-Terminal initialisation procedure, and subsequently adopts this value.

2) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category.

3) the UE determines whether or not a particular access attempt is allowed based on uac-BarringInfo broadcast in SIB1 and if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

#### 5.4.8.4 Method of test

##### 5.4.8.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identities configured in EFUAC\_AIC and no Access Classes configured in EFACC as also shown in table 5.4.8-1.

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 11xx | 0000 0xxx |  |  |

The defined UICC/USIM data is installed on the UE.

The TT (NG-SS) is configured to transmit the following parameters on Cell A:

Cell A:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see tables 5.4.8-x, TAC="000001".

- CellIdentity: "000000001"

For Table 5.4.8-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

##### 5.4.8.4.2 Test sequence settings

Table 5.4.8-1

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TC Seq#** | **Access Category** | **USIM** | | | **SIB1** | | **Result** | |
| **EFUAC\_AIC** | **EFACC**  **(Byte 1**  **b8-b4)** | **Access Identies** | **uac-BarringInfo** | **PLMN-Identity**  **(MCC/MNC)** | **Registration successful?** | **MO Data call successful?** |
| 1.1 | 7 | 0x00 00 00 00 | 00001 | 11 | Not Present | 246 / 081 | Yes | Yes |
| 1.2 | 3 | 0x00 00 00 00 | 00001 | 11 | UAC\_BarringInfo\_Common (3,0x0010000'B) | 246 / 081 | No | NA |
| 1.3 | 3 | 0x00 00 00 00 | 00001 | 11 | UAC\_BarringInfo\_PerPLMN (3,0x0010000'B) | 246 / 081 | No | NA |
| 1.4 | 3 | 0x00 00 00 00 | 00001 | 11 | UAC\_BarringInfo\_Common (3,0x0000000'B) | 246 / 082 | No | NA |
| 1.5 | 3 | 0x00 00 00 00 | 00001 | 11 | UAC\_BarringInfo\_Common (3,0x0001000'B) | 246 / 081 | Yes | Yes |
| 1.6 | 3 | 0x00 00 00 00 | 10001 | 11, 15 | UAC\_BarringInfo\_Common (3,0x0000001'B) | 246 / 081 | Yes | Yes |
| 1.7 | 7 | 0x00 00 00 00 | 00001 | 11 | UAC\_BarringInfo\_Common (7,0x0010000'B) | 246 / 081 | Yes | No |
| 1.8 | 7 | 0x00 00 00 00 | 00001 | 11 | UAC\_BarringInfo\_Common (7,0x0000000'B) | 246 / 082 | Yes | No |
| 1.9 | 7 | 0x00 00 00 00 | 00001 | 11 | UAC\_BarringInfo\_Common (7,0x0000000'B) | 244 / 081 | Yes | No |
| 1.10 | 7 | 0x00 00 00 00 | 00001 | 11 | UAC\_BarringInfo\_Common2 (3,0x0001000'B, 7,0x0010000'B) | 246 / 081 | Yes | No |
| 1.11 | 3 | 0x00 00 00 00 | 10000 | 15 | UAC\_BarringInfo\_Common (3,0x0000001'B) | 246 / 081 | No | NA |
| 1.12 | 3 | 0x00 00 00 00 | 10000 | 15 | UAC\_BarringInfo\_PerPLMN (3,0x0000001'B) | 246 / 081 | No | NA |
| 1.13 | 3 | 0x00 00 00 00 | 10000 | 15 | UAC\_BarringInfo\_Common (3,0x0000000'B) | 246 / 082 | No | NA |
| 1.14 | 3 | 0x00 00 00 00 | 10000 | 15 | UAC\_BarringInfo\_Common (3,0x0010000'B) | 246 / 081 | Yes | Yes |
| 1.15 | 7 | 0x00 00 00 00 | 10000 | 15 | UAC\_BarringInfo\_Common (7,0x0000001'B) | 246 / 081 | Yes | No |
| 1.16 | 7 | 0x00 00 00 00 | 10000 | 15 | UAC\_BarringInfo\_Common (7,0x0000000'B) | 246 / 082 | Yes | No |
| 1.17 | 7 | 0x00 00 00 00 | 10000 | 15 | UAC\_BarringInfo\_Common (7,0x0000000'B) | 244 / 081 | Yes | No |
| 1.18 | 7 | 0x00 00 00 00 | 10000 | 15 | UAC\_BarringInfo\_Common2 (3,0x0001000'B, 7,0x0000001'B) | 246 / 081 | Yes | No |

##### 5.4.8.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.8-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Comment** | **REQ** | **SA** |
| 1 | TT | SIB1 of Cell A is transmitted as defined in the initial conditions for tests from table 5.4.8-1 | Barring info is set as in table 5.4.8-1  See Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table |  |  |
| 2 | UE | Power UE on | The UE performs a SIM initialisation. (includes reading of EFUAC-AIC and EFACC) | CR 1 | A.2/1 OR A.2/2 |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If cell access is not allowed:** | | | | | |
| 3 | UE > TT | REGISTRATION REQUEST is not sent | See column ‘Registration successful?’ for the result | CR 2 CR 3 CR 4 |  |
| 4 | Tester | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If cell access is allowed:** | | | | | |
| 3 | UE > TT | Send REGISTRATION REQUEST | See column ‘Registration successful?’ for the result | CR 2 CR 3 CR 4 |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | For simplicity other signalling is not shown |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If MO Data call is not allowed:** | | | | | |
| 5 | UE | Attempt to set up MO Data call | To set up the MO Data call the MMI or EMMI is used | CR 2 CR 3 CR 4 |  |
| 6 | Tester | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If MO Data call is allowed:** | | | | | |
| 5 | UE > TT | Set up MO Data call  (*PDU SessionEstablishment*) | To set up the MO Data call the MMI or EMMI is used | CR 2 CR 3 CR 4 |  |
| 6 | Tester | End test sequence |  |  |  |

#### 5.4.8.5 Acceptance criteria

For the scenarios in table 5.4.8-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-ME initialisation procedure.

CR 2, CR 3, and CR 4 are verified

* at step 3) by analysing if the UE shall make a successful or unsuccessful registration to the network in accordance with the result indicated in the table and
* at step 5) by analysing if the UE shall make a successful or unsuccessful MO Data call in accordance with the result indicated in the table if the step is applicable.

### 5.4.8A Unified Access Control – Access Identities 11 and 15 indicated by USIM, Access Category 8

#### 5.4.8A.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information (i.e. a list of barring parameters associated with an Access Identity and an Access Category) in SIB1.

The EFACC in the USIM contains the configuration information pertaining to access identities 11 and 15.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

If RRC state is RRC\_INACTIVE and the resumption of the RRC connection is triggered due to an RNA Update RRC layer shall select Access Category as 8 and perform unified access control procedure in case there is no ongoing emergency service.

#### 5.4.8A.2 Conformance requirement

CR 1 The ME shall read the access control value as part of the USIM-Terminal initialization procedure, and subsequently adopt this value.

Reference:

- 3GPP TS 31.102 [19], clause 5.1.1.2.

CR 2 Access Identities are configured at the UE as listed in 3GPP TS 22.261 [36] Table 6.22.2.2-1. Access Categories are defined by the combination of conditions related to UE and the type of access attempt as listed in 3GPP TS 22.261 [36] Table 6.22.2.3-1. One or more Access Identities and only one Access Category are selected and tested for an access attempt.

Reference:

- 3GPP TS 22.261 [36], clause 6.22.2.

CR 3 Access Identity 11 and 15 are valid in HPLMN (if the EHPLMN list is not present or is empty) or EHPLMN (if the EHPLMN list is present).

Reference:

- 3GPP TS 24.501 [25], clause 4.5.2.

CR 4 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac-BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac-BarringInfoSetList.

Reference:

- 3GPP TS 38.331 [37], clauses 5.3.14.

CR 5 If the resumption of the RRC connection is triggered due to an RNA update and there is no ongoing emergency service RRC shall select '8' as the Access Category and perform the unified access control procedure.

Reference:

- 3GPP TS 38.331 [37], clauses 5.3.13.2.

#### 5.4.8A.3 Test purpose

The purpose of this test is to verify that:

1) the ME reads the access control value from EFUAC-AIC and EFACC as part of the USIM-Terminal initialisation procedure, and subsequently adopts this value.

2) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category.

3) the UE determines whether or not a particular access attempt is allowed based on uac-BarringInfo broadcast in SIB1 and if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

4) the UE performs unified access control procedure if RNA Update procedure is triggered.

#### 5.4.8A.4 Method of test

##### 5.4.8A.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identities configured in EFUAC\_AIC and no Access Classes configured in EFACC as also shown in table 5.4.8A-1.

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 11xx | 0000 0xxx |  |  |

The defined UICC/USIM data is installed on the UE.

The TT (NG-SS) is configured to transmit the following parameters on Cell A and B:

Cell A:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.8A-1, TAC="000001".

- CellIdentity: "000000001"

For Table 5.4.8A-1:

No uac-BarringInfo in SIB1.

Cell B:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.8A-1, TAC="000001".

- CellIdentity: "000000002"

For Table 5.4.8A-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

##### 5.4.8A.4.2 Test sequence settings

Table 5.4.8A-1

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **TC Seq#** | **Access Category** | **USIM** | | | **SIB1** | | **Result** |
| **EFUAC\_AIC** | **EFACC**  **(Byte 1,**  **b8-b4)** | **Access identity** | **uac-BarringInfo** | **PLMN-Identity**  **(MCC/MNC)** | **RRCResumeRequest with resumeCause set to rna-Update successful?** |
| 2.1 | 8 | 0x00 00 00 00 | 00001 | 11 | Not Present | 246 / 081 | Yes |
| 2.2 | 8 | 0x00 00 00 00 | 00001 | 11 | UAC\_BarringInfo\_Common (8,0x0010000'B) | 246 / 081 | No |
| 2.3 | 8 | 0x00 00 00 00 | 00001 | 11 | UAC\_BarringInfo\_PerPLMN (8,0x0000000'B) | 246 / 081 | Yes |
| 2.4 | 8 | 0x00 00 00 00 | 00001 | 11 | UAC\_BarringInfo\_Common (8,0x0000000'B) | 246 / 082 | No |
| 2.5 | 8 | 0x00 00 00 00 | 00001 | 11 | UAC\_BarringInfo\_Common2 (7,0x0000001'B, 8,0x0000000'B) | 244 / 081 | No |
| 2.6 | 8 | 0x00 00 00 00 | 10000 | 15 | UAC\_BarringInfo\_Common (8,0x0000001'B) | 246 / 081 | No |
| 2.7 | 8 | 0x00 00 00 00 | 10000 | 15 | UAC\_BarringInfo\_PerPLMN (8,0x0000000'B) | 246 / 081 | Yes |
| 2.8 | 8 | 0x00 00 00 00 | 10000 | 15 | UAC\_BarringInfo\_Common (8,0x0000000'B) | 246 / 082 | No |
| 2.9 | 8 | 0x00 00 00 00 | 10000 | 15 | UAC\_BarringInfo\_Common2 (7,0x0000001'B, 8,0x0000000'B) | 244 / 081 | No |

##### 5.4.8A.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.8A-1:

| **Step** | **Direction** | **Action** | **Comment** | **REQ** | **SA** |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | SIB1 of Cell A is transmitted as defined in the initial conditions and in table 5.4.8A-1 | No barring info is provided to Cell A |  |  |
| 2 | UE | Power UE on | The UE performs a SIM initialisation | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | Send RegistrationRequest |  |  |  |
| 4 | TT > UE | Send RegistrationAccept | The registration is successful |  |  |
| 5 | UE > TT | Set up MO Data call (PDU SessionEstablishment) | To set up the MO Data call the MMI or EMMI is used.  The MO Data call is set up successfully |  |  |
| 6 | TT > UE | Send RRC RELEASE with suspendConfig in criticalExtensions (with the choice rrcRelease) | ran-NotificationAreaInfo in suspendConfig contains the cellList with cellIdentity of Cell A:  cellList {  plmn-Identity {mcc, mnc},  -- see table 5.4.8A-1 for  MCC/MNC  ran-AreaCells 000000001’B  } |  |  |
| 7 | TT | Deactivate Cell A and activate Cell B |  |  |  |
| 8 | TT | SIB1 of Cell B is transmitted as defined in table 5.4.8A-1 | Barring info is as in the table.  See Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table |  |  |
| 9 | UE > TT | Initiate RRC RESUME REQUEST procedure with resumeCause set to rna-Update. | See the column ‘*RRCResumeRequest* with *resumeCause* set to *rna-Update* successful?’ for the result | CR 2 CR 3 CR 4 CR 5 |  |

#### 5.4.8A.5 Acceptance criteria

For the scenarios in table 5.4.8A-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-Terminal initialisation procedure.

CR 2, CR 3, CR 4, and CR 5 are verified

- at step 9) by analysing if the UE shall make a successful or unsuccessful RRC Resumption for RNA Update in accordance with the result indicated in the table.

### 5.4.9 Unified Access Control – Access Identities 12, 13 and 14 indicated by USIM

#### 5.4.9.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information (i.e. a list of barring parameters associated with an Access Identity and an Access Category) in SIB1.

The EFACC in the USIM contains the configuration information pertaining to access identities 12, 13 and 14.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

#### 5.4.9.2 Conformance requirement

CR 1 The ME shall read the access control value as part of the USIM-Terminal initialization procedure, and subsequently adopt this value.

Reference:

- 3GPP TS 31.102 [19], clause 5.1.1.2.

CR 2 Access Identities are configured at the UE as listed in 3GPP TS 22.261 [43] Table 6.22.2.2-1. Access Categories are defined by the combination of conditions related to UE and the type of access attempt as listed in 3GPP TS 22.261 [43] Table 6.22.2.3-1. One or more Access Identities and only one Access Category are selected and tested for an access attempt.

Reference:

- 3GPP TS 22.261 [43], clause 6.22.2.

CR 3 Access Identities 12, 13 and 14 are valid in HPLMN and visited PLMNs of home country only.

Reference:

- 3GPP TS 24.501 [42], clause 4.5.2.

CR 4 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac-BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac-BarringInfoSetList.

Reference:

- 3GPP TS 38.331 [44], clauses 5.3.14.

#### 5.4.9.3 Test purpose

The purpose of this test is to verify that:

1) the ME reads the access control value from EFUAC-AIC and EFACC as part of the USIM-Terminal initialisation procedure, and subsequently adopts this value.

2) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category.

3) the UE determines whether or not a particular access attempt is allowed based on uac-BarringInfo broadcast in SIB1 and if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

#### 5.4.9.4 Method of test

##### 5.4.9.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identities configured in EFUAC\_AIC and no Access Classes configured in EFACC as also shown in table 5.4.9-1.

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 11xx | 0000 0xxx |  |  |

The defined UICC/USIM data is installed on the UE.

The NG-SS is configured to transmit the following parameters on Cell A:

Cell A:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.9-1, TAC="000001".

- CellIdentity : "000000001"

For Table 5.4.9-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

##### 5.4.9.4.2 Tables related to the test case

Table 5.4.9-1

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TC Seq#** | **Access Category** | **USIM** | | | **SIB1** | | **Result** | |
| **EFUAC\_AIC** | **EFACC**  **(Byte 1**  **b8-b4)** | **Access Identity** | **uac-BarringInfo** | **PLMN-Identity**  **(MCC/MNC)** | **Registration successful?** | **MO Data call successful?** |
| 1.1 | 7 | 0x00 00 00 00 | 00010 | 12 | Not Present | 246 / 081 | Yes | Yes |
| 1.2 | 3 | 0x00 00 00 00 | 00010 | 12 | UAC\_BarringInfo\_Common (3,0x0001000'B) | 246 / 081 | No | NA |
| 1.3 | 3 | 0x00 00 00 00 | 00010 | 12 | UAC\_BarringInfo\_PerPLMN (3,0x0001000'B) | 246 / 081 | No | NA |
| 1.4 | 3 | 0x00 00 00 00 | 00010 | 12 | UAC\_BarringInfo\_Common (3,0x0000000'B) | 246 / 082 | Yes | Yes |
| 1.5 | 3 | 0x00 00 00 00 | 00010 | 12 | UAC\_BarringInfo\_Common (3,0x0001000'B) | 246 / 082 | No | NA |
| 1.6 | 3 | 0x00 00 00 00 | 00010 | 12 | UAC\_BarringInfo\_Common (3,0x0000000'B) | 244 / 081 | No | NA |
| 1.7 | 3 | 0x00 00 00 00 | 00010 | 12 | UAC\_BarringInfo\_Common (3,0x0000100'B) | 246 / 081 | Yes | Yes |
| 1.8 | 3 | 0x00 00 00 00 | 01110 | 12,13,14 | UAC\_BarringInfo\_Common (3,0x0000110'B) | 246 / 081 | Yes | Yes |
| 1.9 | 7 | 0x00 00 00 00 | 00010 | 12 | UAC\_BarringInfo\_Common (7,0x0001000'B) | 246 / 081 | Yes | No |
| 1.10 | 7 | 0x00 00 00 00 | 00010 | 12 | UAC\_BarringInfo\_Common (7,0x0000000'B) | 246 / 082 | Yes | Yes |
| 1.11 | 7 | 0x00 00 00 00 | 00010 | 12 | UAC\_BarringInfo\_Common (7,0x0000000'B) | 244 / 081 | Yes | No |
| 1.12 | 7 | 0x00 00 00 00 | 00010 | 12 | UAC\_BarringInfo\_Common2 (3,0x0000100'B, 7,0x0001000'B) | 246 / 081 | Yes | No |
| 1.13 | 3 | 0x00 00 00 00 | 00100 | 13 | UAC\_BarringInfo\_Common (3,0x0000100'B) | 246 / 081 | No | NA |
| 1.14 | 3 | 0x00 00 00 00 | 00100 | 13 | UAC\_BarringInfo\_PerPLMN (3,0x0000100'B) | 246 / 081 | No | NA |
| 1.15 | 3 | 0x00 00 00 00 | 00100 | 13 | UAC\_BarringInfo\_Common (3,0x0000000'B) | 246 / 082 | Yes | Yes |
| 1.16 | 3 | 0x00 00 00 00 | 00100 | 13 | UAC\_BarringInfo\_Common (3,0x0000100'B) | 246 / 082 | No | NA |
| 1.17 | 3 | 0x00 00 00 00 | 00100 | 13 | UAC\_BarringInfo\_Common (3,0x0000010'B) | 246 / 082 | Yes | Yes |
| 1.18 | 7 | 0x00 00 00 00 | 00100 | 13 | UAC\_BarringInfo\_Common (7,0x0000100'B) | 246 / 081 | Yes | No |
| 1.19 | 7 | 0x00 00 00 00 | 00100 | 13 | UAC\_BarringInfo\_Common (7,0x0000000'B) | 244 / 081 | Yes | No |
| 1.20 | 7 | 0x00 00 00 00 | 00100 | 13 | UAC\_BarringInfo\_Common2 (3,0x0000010'B, 7,0x0000100'B) | 246 / 081 | Yes | No |
| 1.21 | 3 | 0x00 00 00 00 | 01000 | 14 | UAC\_BarringInfo\_Common (3,0x0000010'B) | 246 / 081 | No | NA |
| 1.22 | 3 | 0x00 00 00 00 | 01000 | 14 | UAC\_BarringInfo\_PerPLMN (3,0x0000010'B) | 246 / 081 | No | NA |
| 1.23 | 3 | 0x00 00 00 00 | 01000 | 14 | UAC\_BarringInfo\_Common (3,0x0000000'B) | 246 / 082 | Yes | Yes |
| 1.24 | 3 | 0x00 00 00 00 | 01000 | 14 | UAC\_BarringInfo\_Common (3,0x0000010'B) | 246 / 082 | No | NA |
| 1.25 | 3 | 0x00 00 00 00 | 01000 | 14 | UAC\_BarringInfo\_Common (3,0x0001100'B) | 246 / 082 | Yes | Yes |
| 1.26 | 7 | 0x00 00 00 00 | 01000 | 14 | UAC\_BarringInfo\_Common (7,0x0000010'B) | 246 / 081 | Yes | No |
| 1.27 | 7 | 0x00 00 00 00 | 01000 | 14 | UAC\_BarringInfo\_Common (7,0x0000000'B) | 244 / 081 | Yes | No |
| 1.28 | 7 | 0x00 00 00 00 | 01000 | 14 | UAC\_BarringInfo\_Common2 (3,0x0000100'B, 7,0x0000010'B) | 246 / 081 | Yes | No |

##### 5.4.9.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.9-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Step** | **Direction** | **Action** | **Comment** | **REQ** | **SA** |
| 1 | TT | SIB1 of Cell A is transmitted as defined in the initial conditions for tests from table 5.4.9-1 | Barring info is set as in table 5.4.9-1  See Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table |  |  |
| 2 | UE | Power UE on | The UE performs a SIM initialisation. (includes reading of EFUAC-AIC and EFACC) | CR 1 | A.2/1 OR A.2/2 |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If cell access is not allowed:** | | | | | |
| 3 | UE > TT | REGISTRATION REQUEST is not sent | See column ‘Registration successful?’ for the result | CR 2 CR 3 CR 4 |  |
| 4 | Tester | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If cell access is allowed:** | | | | | |
| 3 | UE > TT | Send REGISTRATION REQUEST | See column ‘Registration successful?’ for the result | CR 2 CR 3 CR 4 |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | For simplicity other signalling is not shown |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If MO Data call is not allowed:** | | | | | |
| 5 | UE | Attempt to set up MO Data call | To set up the MO Data call the MMI or EMMI is used | CR 2 CR 3 CR 4 |  |
| 6 | Tester | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **If MO Data call is allowed:** | | | | | |
| 5 | UE > TT | Set up MO Data call  (*PDU SessionEstablishment*) | To set up the MO Data call the MMI or EMMI is used | CR 2 CR 3 CR 4 |  |
| 6 | Tester | End test sequence |  |  |  |

#### 5.4.9.5 Acceptance criteria

For the scenarios in table 5.4.9-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-ME initialisation procedure.

CR 2, CR 3, and CR 4 are verified

* at step 3) by analysing if the UE shall make a successful or unsuccessful registration to the network in accordance with the result indicated in the table and
* at step 5) by analysing if the UE shall make a successful or unsuccessful MO Data call in accordance with the result indicated in the table if the step is applicable.

### 5.4.9A Unified Access Control – Access Identities 12, 13 and 14 indicated by USIM, Access Category 8

#### 5.4.9A.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information (i.e. a list of barring parameters associated with an Access Identity and an Access Category) in SIB1.

The EFACC in the USIM contains the configuration information pertaining to access identities 12, 13 and 14.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

If RRC state is RRC\_INACTIVE and the resumption of the RRC connection is triggered due to an RNA Update RRC layer shall select Access Category as 8 and perform unified access control procedure in case there is no ongoing emergency service.

#### 5.4.9A.2 Conformance requirement

CR 1 The ME shall read the access control value as part of the USIM-Terminal initialization procedure, and subsequently adopt this value.

Reference:

- 3GPP TS 31.102 [19], clause 5.1.1.2.

CR 2 Access Identities are configured at the UE as listed in 3GPP TS 22.261 [43] Table 6.22.2.2-1. Access Categories are defined by the combination of conditions related to UE and the type of access attempt as listed in 3GPP TS 22.261 [43] Table 6.22.2.3-1. One or more Access Identities and only one Access Category are selected and tested for an access attempt.

Reference:

- 3GPP TS 22.261 [43], clause 6.22.2.

CR 3 Access Identities 12, 13 and 14 are valid in HPLMN and visited PLMNs of home country only.

Reference:

- 3GPP TS 24.501 [42], clause 4.5.2.

CR 4 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac-BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac-BarringInfoSetList.

Reference:

- 3GPP TS 38.331 [44], clauses 5.3.14.

CR 5 If the resumption of the RRC connection is triggered due to an RNA update and there is no ongoing emergency service RRC shall select '8' as the Access Category and perform the unified access control procedure.

Reference:

- 3GPP TS 38.331 [44], clauses 5.3.13.2.

#### 5.4.9A.3 Test purpose

The purpose of this test is to verify that:

1) the ME reads the access control value from EFUAC-AIC and EFACC as part of the USIM-Terminal initialisation procedure, and subsequently adopts this value.

2) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category.

3) the UE determines whether or not a particular access attempt is allowed based on uac-BarringInfo broadcast in SIB1 and if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

4) the UE performs unified access control procedure if RNA Update procedure is triggered.

#### 5.4.9A.4 Method of test

##### 5.4.9A.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identities configured in EFUAC\_AIC and no Access Classes configured in EFACC as also shown in table 5.4.9-1.

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 11xx | 0000 0xxx |  |  |

The defined UICC/USIM data is installed on the UE.

The TT (NG-SS) is configured to transmit the following parameters on Cell A and B:

Cell A:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.9A-1, TAC="000001".

- CellIdentity: "000000001"

For Table 5.4.9A-1:

No uac-BarringInfo in SIB1.

Cell B:

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see table 5.4.9A-1, TAC="000001".

- CellIdentity: "000000002"

For Table 5.4.9A-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

##### 5.4.9A.4.2 Tables related to the test case

Table 5.4.9A-1

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **TC Seq#** | **Access Category** | **USIM** | | | **SIB1** | | **Result** |
| **EFUAC\_AIC** | **EFACC**  **(Byte 1**  **b8-b4)** | **Access Identity** | **uac-BarringInfo** | **PLMN-Identity**  **(MCC/MNC)** | **RRCResumeRequest with resumeCause set to**  **rna-Update successful?** |
| 2.1 | 8 | 0x00 00 00 00 | 00010 | 12 | Not Present | 246 / 081 | Yes |
| 2.2 | 8 | 0x00 00 00 00 | 00010 | 12 | UAC\_BarringInfo\_Common( 8,0x0001000'B) | 246 / 081 | No |
| 2.3 | 8 | 0x00 00 00 00 | 00010 | 12 | UAC\_BarringInfo\_PerPLMN( 8,0x0000000'B) | 246 / 081 | Yes |
| 2.4 | 8 | 0x00 00 00 00 | 00010 | 12 | UAC\_BarringInfo\_Common( 8,0x0010000'B) | 246 / 082 | Yes |
| 2.5 | 8 | 0x00 00 00 00 | 00010 | 12 | UAC\_BarringInfo\_Common2 ( 7,0x0010000'B, 8,0x0000000'B) | 244 / 081 | No |
| 2.6 | 8 | 0x00 00 00 00 | 00100 | 13 | UAC\_BarringInfo\_Common( 8,0x0000100'B) | 246 / 081 | No |
| 2.7 | 8 | 0x00 00 00 00 | 00100 | 13 | UAC\_BarringInfo\_PerPLMN( 8,0x0000000'B) | 246 / 081 | Yes |
| 2.8 | 8 | 0x00 00 00 00 | 00100 | 13 | UAC\_BarringInfo\_Common( 8,0x0000100'B) | 246 / 082 | No |
| 2.9 | 8 | 0x00 00 00 00 | 00100 | 13 | UAC\_BarringInfo\_Common2 ( 7,0x0001000'B, 8,0x0000000'B) | 244 / 081 | No |
| 2.10 | 8 | 0x00 00 00 00 | 01000 | 14 | UAC\_BarringInfo\_Common( 8,0x0000010'B) | 246 / 081 | No |
| 2.11 | 8 | 0x00 00 00 00 | 01000 | 14 | UAC\_BarringInfo\_PerPLMN( 8,0x0000000'B) | 246 / 081 | Yes |
| 2.12 | 8 | 0x00 00 00 00 | 01000 | 14 | UAC\_BarringInfo\_Common( 8,0x0000010'B) | 246 / 082 | No |
| 2.13 | 8 | 0x00 00 00 00 | 01000 | 14 | UAC\_BarringInfo\_Common2 ( 7,0x0000100'B, 8,0x0000000'B) | 244 / 081 | No |

##### 5.4.9A.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.9A-1:

| Step | Direction | Action | Comment | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | SIB1 of Cell A is transmitted as defined in the initial conditions and in table 5.4.9A-1 | No barring info is provided to Cell A |  |  |
| 2 | UE | Power UE on | The UE performs a SIM initialisation | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | Send REGISTRATION REQUEST |  |  |  |
| 4 | TT > UE | Send REGISTRATIONACCEPT | The registration is successful |  |  |
| 5 | UE > TT | Set up MO Data call (*PDU SessionEstablishment*) | To set up the MO Data call the MMI or EMMI is used.  The MO Data call is set up successfully |  |  |
| 6 | TT > UE | Send RRC RELEASE with *suspendConfig* in *criticalExtensions* (with the choice *rrcRelease*) | ran-NotificationAreaInfo in suspendConfig contains the cellList with cellIdentity of Cell A:  cellList {  plmn-Identity {mcc, mnc},  -- see table 5.4.9A-1 for  MCC/MNC  ran-AreaCells 000000001’B  } |  |  |
| 7 | TT | Deactivate Cell A and activate Cell B |  |  |  |
| 8 | TT | SIB1 of Cell B is transmitted as defined in table 5.4.9A-1 | Barring info is as in the table.  See Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table |  |  |
| 9 | UE > TT | Initiate RRC RESUME REQUEST procedure with *resumeCause* set to *rna-Update*. | See the column ‘*RRCResumeRequest* with *resumeCause* set to *rna-Update* successful?’ for the result | CR 2 CR 3 CR 4 CR 5 |  |

#### 5.4.9A.5 Acceptance criteria

For the scenarios in table 5.4.9A-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-Terminal initialisation procedure.

CR 2, CR 3, CR 4, and CR 5 are verified

- at step 9) by analysing if the UE shall make a successful or unsuccessful RRC Resumption for RNA Update in accordance with the result indicated in the table.

### 5.4.10 Unified Access Control – Operator-Defined Access Category

#### 5.4.10.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information a list of barring parameters associated with an Access Identity and an Access Category in SIB1. Access category numbers in the 32-63 range are used for operator-defined Access Categories.

The EFUAC\_AIC in the USIM contains the configuration information pertaining to access identities allocated for high priority services that can be used by the subscriber.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

When the NAS detects an access event, the NAS shall perform the mapping of the kind of request to one or more access identities and one access category and lower layers will perform access barring checks for that request based on the determined access identities and access category.

Operator-defined access category definitions can be signaled to the UE using NAS signaling. Each operator-defined access category definition consists of the parameters a precedence value, an operator-defined access category number, one or more access category criteria type and optionally, a standardized access category.

When the UE needs to initiate an access attempt that triggers access barring check, the UE shall determine one or more access identities from the set of standardized access identities, and one access category from the set of standardized access categories and operator-defined access categories, to be associated with that access attempt.

In order to determine the access category applicable for the access attempt, the NAS shall check the Access category mapping rules, and use the access category of the lowest rule number for which there is a match for barring check. In the case of operator-defined access categories, the UE shall select the access category from the operator-defined access category definition with the lowest precedence value.

#### 5.4.10.2 Conformance requirement

CR 1 The ME shall read the access control value as part of the USIM initialization procedure, and subsequently adopt this value.

Reference:

- 3GPP TS 31.102 [19], clause 5.1.1.2.

CR 2 Access Identities are configured at the UE as listed in Table 6.22.2.2-1. Access Categories are defined by the combination of conditions related to UE and the type of access attempt as listed in Table 6.22.2.3-1.

Reference:

- 3GPP TS 22.261 [43], clause 6.22.2

CR 3 Operator may provide one or more PLMN-specific Operator-defined access category definitions to the UE using NAS signalling (Operator-defined access category definitions information element), and the UE handles the Operator-defined access category definitions stored for the Registered PLMN, as specified in TS 24.501.

References:

- 3GPP TS 23.501 [49], clause 5.2.5

- 3GPP TS 24.501 [25] clause 9.11.3.38

CR 4 When the UE needs to initiate an access attempt in one of the events listed in clause 4.5.1, the UE shall determine one or more access identities from the set of standardized access identities, and one access category from the set of standardized access categories and operator-defined access categories, to be associated with that access attempt.

Reference:

- 3GPP TS 24.501 [25], clause 4.5.2

CR 5 An access attempt matches the criteria of an operator-defined access category definition, if the access attempt matches all access category criteria types included in the criteria with any of the associated access criteria type values.

Reference:

- 3GPP TS 24.501 [25], clause 4.5.3

CR 6 In order to determine the access category applicable for the access attempt, the NAS shall check the rules in table 4.5.2.2, and use the access category for which there is a match for barring check. If the access attempt matches more than one rule, the access category of the lowest rule number shall be selected. If the access attempt matches more than one operator-defined access category definition, the UE shall select the access category from the operator-defined access category definition with the lowest precedence value (see clause 4.5.3).

Reference:

- 3GPP TS 24.501 [25], clause 4.5.2

CR 7 If the UE receives Operator-defined access category definitions IE in the REGISTRATION ACCEPT message and the Operator-defined access category definitions IE contains one or more operator-defined access category definitions, the UE shall delete any operator-defined access category definitions stored for the RPLMN and shall store the received operator-defined access category definitions for the RPLMN. If the UE receives the Operator-defined access category definitions IE in the REGISTRATION ACCEPT message and the Operator-defined access category definitions IE contains no operator-defined access category definitions, the UE shall delete any operator-defined access category definitions stored for the RPLMN. If the REGISTRATION ACCEPT message does not contain the Operator-defined access category definitions IE, the UE shall not delete the operator-defined access category definitions stored for the RPLMN.

Reference:

- 3GPP TS 24.501 [25], clause 5.5.1.2.4

CR 8 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac-BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac-BarringInfoSetList.

Reference:

- 3GPP TS 38.331 [37], clauses 5.3.14

#### 5.4.10.3 Test purpose

The purpose of this test is to verify that:

1) the ME reads the access control value from EFUAC\_AIC and EFACC as part of the USIM initialisation procedure, and subsequently adopts this value.

2) if the UE uses operator-defined access categories received within Operator-defined access category definitions information element in the REGISTRATION ACCEPT.

3) the UE checks the access category applicable for the access attempt, as per the Access category mapping rules and chooses the matching lowest rule.

4) the UE checks operator-defined access category parameters precedence value, operator-defined access category number and access category criteria type associated with the Operator-defined Access Category when determining the Access Category for the access attempt.

5) the UE stops using the operator-defined access category definitions stored for the previously selected PLMN.

6) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category.

7) the UE determines whether or not a particular access attempt is allowed based on uac-BarringInfo broadcast in SIB1 and if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

#### 5.4.10.4 Method of test

##### 5.4.10.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC** and **EFACC**

No Access Identities configured in EFUAC\_AIC and no Access Classes configured in EFACC as also shown in table 5.4.10-1.

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 11xx | 0000 0xxx |  |  |

ME shall be configured with following URSP rules:

Rule Precedence = 1

Traffic Descriptor:

DNN=TestGp.rs1

Route Selection Descriptor:

Precedence = 1

Network Slice Selection, S-NSSAI: ′01 01 01 01′ (ST: MBB, SD: ′010101′)

SSC Mode Selection: SSC Mode 1

Access Type preference: 3GPP access

Rule Precedence = 2

Traffic Descriptor:

DNN = TestGp.rs2

Route Selection Descriptor:

Precedence = 1

Network Slice Selection, S-NSSAI: ′01 01 01 02′ (ST: MBB, SD: ′010102′)

SSC Mode Selection: SSC Mode 1

Rule Precedence = 3

Traffic Descriptor:

DNN = TestGp.rs3

Route Selection Descriptor:

Precedence = 1

Network Slice Selection, S-NSSAI: ′01 01 01 02′ (ST: MBB, SD: ′010102′)

SSC Mode Selection: SSC Mode 1

The TT (NG-SS) is configured to transmit the following parameters:

Cell A:

Transmits on the BCCH, with the following network parameters:

- MCC, MNC: 246/081

- TAC: "000001".

- CellIdentity: "000000001"

For Table 5.4.10-1:

After turning on the cell for the 2nd time, uac‑BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table.

REGISTRATION ACCEPT IEIs.

- Operator-Defined Access Category Definitions IEI is configured as defined in Table 5.4.10-1

- Refer to Annex A tables A4-A5 for Methods ODAC\_definitions1() and ODAC\_definitions2().

- For HPLMN Cells: Allowed S-NSSAI IEI is configured to include S-NSSAIs ′01 01 01 01′, ′01 01 01 02′ and ′01 01 01 03′.

For VPLMN Cells:

- Allowed S-NSSAI IEI is configured to include S-NSSAIs ('01 01 01 01’, '01 01 01 01’), ('01 01 01 02’, '01 01 01 02’), and ('01 01 01 03’, '01 01 01 03’).

##### 5.4.10.4.2 Tables related to the test case

Table 5.4.10-1

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TC Seq#** | **Access Category for**  **Call 1&2** | **USIM** | | **SIB1 of Cell A** | | **REGISTRATION ACCEPT** | **Result** | |
| **EFUAC\_AIC** | **EFACC (Byte 1 b8-b4)** | **uac-BarringInfo** | **PLMN-Identity (MCC/MNC)** | **(Operator-defined access category definitions IE)** | **MO Data call 1 successful?** | **MO Data call 2 successful?** |
| 1.1 | 32,7 | 0x00 00 00 00 | 00000 | Not Present | 246 / 081 | ODAC\_definitions1(0) | Yes | Yes |
| 1.2 | 32,7 | 0x00 00 00 00 | 00000 | UAC\_BarringInfo\_Common (32,0x0000000'B) | 246 / 081 | ODAC\_definitions1(0) | No | Yes |
| 1.3 | 50,7 | 0x00 00 00 00 | 00000 | UAC\_BarringInfo\_Common (50,0x0100000'B) | 246 / 081 | ODAC\_definitions1(18) | No | Yes |
| 1.4 | 63,7 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common (7,0x1000000'B) | 246 / 082 | ODAC\_definitions1(31) | Yes | No |
| 1.5 | 63,7 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common (63,0x0100000'B) | 246 / 081 | ODAC\_definitions1(31) | No | Yes |
| 1.6 | 48,7 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common2 (7,0x1000000'B, 48,0x1000000'B) | 246 / 082 | ODAC\_definitions1(16) | No | No |
| 1.7 | 48,7 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common (48,0x1000000'B) | 246 / 082 | ODAC\_definitions1(16) | Yes | Yes |
| 1.8 | 50,7 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_PerPLMN (50,0x1100000'B) | 244 / 081 | ODAC\_definitions1(18) | No | Yes |
| 1.9 | 50,7 | 0x00 00 00 00 | 00001 | UAC\_BarringInfo\_Common (50,0x0001000'B) | 244 / 081 | ODAC\_definitions1(18) | No | Yes |
| 1.10 | 33,7 | 0x02 00 00 00 | 00000 | UAC\_BarringInfo\_Common2 (33,0x0100000'B, 7,0x1000000'B) | 246 / 082 | ODAC\_definitions1(1) | No | Yes |
| 1.11 | 34,7 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common2 (7,0x1000000'B, 34,0x0100000'B) | 246 / 082 | ODAC\_definitions1(2) | Yes | No |
| 1.12 | 7,34 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common2 (32,0x1000000'B, 34,0x0100000'B) | 246 / 081 | ODAC\_definitions2(2,0) | Yes | Yes |
| 1.13 | 7,32 | 0x00 00 00 00 | 01000 | UAC\_BarringInfo\_Common2 (32,0x0000000'B, 34,0x0000010'B) | 246 / 082 | ODAC\_definitions2(0,2) | Yes | Yes |
| 1.14 | 7,48 | 0x00 00 00 00 | 00100 | UAC\_BarringInfo\_Common2 (48,0x0100000'B, 7,0x0000100'B) | 246 / 082 | ODAC\_definitions2(16,31) | No | Yes |
| 1.15 | 7,32 | 0x01 00 00 00 | 00000 | UAC\_BarringInfo\_Common2 (32,0x1000000'B, 34,0x0100000'B) | 246 / 081 | ODAC\_definitions2(2,0) | Yes | No |
| 1.16 | 7,34 | 0x00 00 00 00 | 01000 | UAC\_BarringInfo\_Common2 (32,0x0000000'B, 34,0x0000010'B) | 246 / 082 | ODAC\_definitions2(0,2) | Yes | No |
| 1.17 | 7,63 | 0x00 00 00 00 | 00100 | UAC\_BarringInfo\_Common2 (48,0x0100000'B, 7,0x0000100'B) | 246 / 082 | ODAC\_definitions2(16,31) | No | Yes |

##### 5.4.10.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.10-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | TT | SIB1 of Cell A is transmitted as defined in the initial conditions for tests from table 5.4.10-1 | Barring info is set as in table 5.4.10-1  See Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table |  |  |
| 2 | USER | Power UE on | The UE performs a SIM initialisation. (includes reading of EFUAC-AIC and EFACC) | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | Send REGISTRATION REQUEST |  |  |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | REGISTRATION ACCEPT with the Operator-Defined Access Category Definitions IEI as defined in the table 5.4.10-1.  For simplicity other signalling is not shown |  |  |

| If 1st MO Data call is not allowed: | | | | | |
| --- | --- | --- | --- | --- | --- |
| 5 | UE > TT | Attempt to set up 1st MO Data call | Attempt to set up the MO Data call the MMI or EMMI is used | CR 2 to CR 8 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If 1st MO Data call is allowed: | | | | | |
| 5 | UE > TT | Set up MO Data call  (*PDU SessionEstablishment*) | To set up the MO Data call the MMI or EMMI is used | CR 2 to CR 8 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| For sequences 1.1 – 1.14: | | | | | |
| If 2nd MO Data call is not allowed: | | | | | |
| 6 | UE > TT | Attempt to set up 2nd MO Data call | Attempt to set up the MO Data call to DNN TestGp.rs2/S-NSSAI '01 01 01 02' using the MMI or EMMI. | CR 2 to CR 8 |  |
| 7 | USER | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| For sequences 1.1 – 1.14: | | | | | |
| If 2nd MO Data call is allowed: | | | | | |
| 6 | UE > TT | Set up MO Data call  (*PDU SessionEstablishment*) | To set up the MO Data call to DNN TestGp.rs2/S-NSSAI '01 01 01 02' using the MMI or EMMI. | CR 2 to CR 8 |  |
| 7 | USER | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| For sequences 1.15 – 1.17: | | | | | |
| If 2nd MO Data call is not allowed: | | | | | |
| 6 | UE > TT | Attempt to set up 2nd MO Data call | Attempt to set up the MO Data call to DNN TestGp.rs3/S-NSSAI '01 01 01 02' using the MMI or EMMI. | CR 2 to CR 8 |  |
| 7 | USER | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| For sequences 1.15 – 1.17: | | | | | |
| If 2nd MO Data call is allowed: | | | | | |
| 6 | UE > TT | Set up MO Data call  (*PDU SessionEstablishment*) | To set up the MO Data call to DNN TestGp.rs3/S-NSSAI '01 01 01 02' using the MMI or EMMI. | CR 2 to CR 8 |  |
| 7 | USER | End test sequence |  |  |  |

#### 5.4.10.5 Acceptance criteria

For the scenarios in table 5.4.10-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-Terminal initialisation procedure.

CR 2, through CR 8 are verified

* at steps 5) by analysing if the UE shall make a successful or unsuccessful 1st MO Data call in accordance with the result indicated in the table if the step is applicable and
* at steps 6) and 7) by analysing if the UE shall make a successful or unsuccessful 2nd MO Data call in accordance with the result indicated in the table if the step is applicable.

### 5.4.11 Unified Access Control – Operator-Defined Access Categories, no change in SUPI

#### 5.4.11.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information a list of barring parameters associated with an Access Identity and an Access Category in SIB1. Access category numbers in the 32-63 range are used for operator-defined Access Categories.

The EFUAC\_AIC in the USIM contains the configuration information pertaining to access identities allocated for high priority services that can be used by the subscriber.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

Operator-defined access category definitions can be signalled to the UE using NAS signalling. Each operator-defined access category definition consists of the parameters: a precedence value, an operator-defined access category number, one or more access category criteria type and optionally, a standardized access category.

Operator-defined access category definitions are stored together with a PLMN identity of the PLMN that provided them, and is valid in that PLMN or equivalent PLMN. The operator-defined access category definitions can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the operator-defined access category definitions.

In order to determine the access category applicable for the access attempt, the NAS shall check the Access category mapping rules, and use the access category of the lowest rule number for which there is a match for barring check. In the case of operator-defined access categories, the UE shall select the access category from the operator-defined access category definition with the lowest precedence value.

#### 5.4.11.2 Conformance requirement

CR 1 The ME shall read the access control value as part of the USIM initialization procedure, and subsequently adopt this value.

Reference:

- 3GPP TS 31.102 [19], clause 5.1.1.2.

CR 2 Access Identities are configured at the UE as listed in 3GPP TS 22.261 [43] Table 6.22.2.2-1. Access Categories are defined by the combination of conditions related to UE and the type of access attempt as listed in 3GPP TS 22.261 [36] Table 6.22.2.3-1.

Reference:

- 3GPP TS 22.261 [36], clause 6.22.2

CR 3 Operator may provide one or more PLMN-specific Operator-defined access category definitions to the UE using NAS signalling (Operator-defined access category definitions information element), and the UE handles the Operator-defined access category definitions stored for the Registered PLMN, as specified in 3GPP TS 24.501.

References:

- 3GPP TS 23.501 [39], clause 5.2.5

- 3GPP TS 24.501 [25] clause 9.11.3.38

CR 4 Operator-defined access category definitions are stored together with a PLMN identity of the PLMN that provided them, and is valid in that PLMN or equivalent PLMN. The operator-defined access category definitions can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the operator-defined access category definitions.

Reference:

- 3GPP TS 24.501 [25], Annex C

CR 5 In order to determine the access category applicable for the access attempt, the NAS shall check the rules in 3GPP TS 24.501 [42] Table 4.5.2.2, and use the access category for which there is a match for barring check. If the access attempt matches more than one rule, the access category of the lowest rule number shall be selected. If the access attempt matches more than one operator-defined access category definition, the UE shall select the access category from the operator-defined access category definition with the lowest precedence value (see 3GPP TS 24.501 [42] clause 4.5.3).

Reference:

- 3GPP TS 24.501 [25], clause 4.5.2

CR 6 If the UE receives Operator-defined access category definitions IE in the REGISTRATION ACCEPT message and the Operator-defined access category definitions IE contains one or more operator-defined access category definitions, the UE shall delete any operator-defined access category definitions stored for the RPLMN and shall store the received operator-defined access category definitions for the RPLMN. If the UE receives the Operator-defined access category definitions IE in the REGISTRATION ACCEPT message and the Operator-defined access category definitions IE contains no operator-defined access category definitions, the UE shall delete any operator-defined access category definitions stored for the RPLMN. If the REGISTRATION ACCEPT message does not contain the Operator-defined access category definitions IE, the UE shall not delete the operator-defined access category definitions stored for the RPLMN.

Reference:

- 3GPP TS 24.501 [25], clause 5.5.1.2.4

CR 7 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac-BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac-BarringInfoSetList.

Reference:

- 3GPP TS 38.331 [37], clauses 5.3.14

#### 5.4.11.3 Test purpose

The purpose of this test is to verify that:

1) the ME reads the access control value from EFUAC\_AIC and EFACC as part of the USIM-Terminal initialisation procedure, and subsequently adopts this value.

2) if UE uses operator-defined access categories received within Operator-defined access category definitions information element in the REGISTRATION ACCEPT.

3) the UE checks the access category applicable for the access attempt, as per the Access category mapping rules and chooses the matching lowest rule.

4) the UE checks operator-defined access category parameters precedence value, operator-defined access category number and access category criteria type associated with the Operator-defined Access Category when determining the Access Category for the access attempt.

5) the Operator-defined access category definitions are stored together with a PLMN identity of the PLMN that provided them, and is valid in that PLMN or equivalent PLMN.

6) the Operator-defined access category definitions can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the operator-defined access category definitions.

7) the UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category.

8) the UE determines whether or not a particular access attempt is allowed based on uac-BarringInfo broadcast in SIB1 and if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

#### 5.4.11.4 Method of test

##### 5.4.11.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUAC\_AIC and EFACC**

Access Identity is configured in EFUAC\_AIC and no Access Classes are configured in EFACC as given in Table 5.4.11-1.

**EFUST (USIM Service Table)**

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 11xx | 0000 0xxx |  |  |

ME shall be configured with following URSP rules:

Rule Precedence =1

Traffic Descriptor:

DNN=TestGp.rs1

Route Selection Descriptor:

Precedence=1

Network Slice Selection, S-NSSAI: '01 01 01 01’ (ST: MBB, SD: '010101’)

SSC Mode Selection: SSC Mode 1

Access Type preference: 3GPP access

Rule Precedence = 2

Traffic Descriptor:

DNN=TestGp.rs2

Route Selection Descriptor:

Precedence =1

Network Slice Selection, S-NSSAI: 01 01 01 02 (ST: MBB, SD: 010102)

SSC Mode Selection: SSC Mode 1

The TT (NG-SS) is configured to transmit the following parameters on Cell A and B:

Cell A:

Transmits on the BCCH, with the following network parameters:

- MCC, MNC: 246/081

- TAC: "000001"

- CellIdentity: "000000001"

For Table 5.4.11-1:

uac‑BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the tables A1-A3.

Cell B:

NOTE: This cell is not required for the sequences in Table 5.4.11-1.

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see Table 5.4.11-1, TAC="000002".

- CellIdentity: "000000002"

For Table 5.4.11-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the tables A1-A3.

REGISTRATION ACCEPT IEIs.

- Operator-Defined Access Category Definitions IEI is configured as defined in Table 5.4.11-1.

- Refer to Annex A tables A4-A5 for Methods ODAC\_definitions1() and ODAC\_definitions2()

For HPLMN Cells:

- Allowed S-NSSAI IEI is configured to include S-NSSAIs '01 01 01 01’, '01 01 01 02’and '01 01 01 03’.

For VPLMN Cells:

- Allowed S-NSSAI IEI is configured to include S-NSSAIs ('01 01 01 01’, '01 01 01 01’), ('01 01 01 02’, '01 01 01 02’), and ('01 01 01 03’, '01 01 01 03’).

##### 5.4.11.4.2 Tables related to the test case

Table 5.4.11-1

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TC Seq#** | **Access** | **USIM** | | **Cell 2** | | **SIB 1: uac-BarringInfo (for all the Cells)** | **Operator-defined access category definitions IEI in** | **Result** | |
| **EFUAC\_AIC** | **EFACC (Byte 1 b8-b4)** | **Cell Id of** | **PLMN-Identity (MCC/MNC)** | **1st REGISTRATION ACCEPT, 2nd REGISTRATION ACCEPT** | **MO Data call 1**  **successfu?** | **MO Data call 2**  **successful?** |
| 1.1 | 7,33 | 0x00 00 00 00 | 00000 | Cell A | 246 / 081 | Not Present | ODAC\_definitions1(0), ODAC\_definitions2(1,16) | Yes | Yes |
| 1.2 | 7,33 | 0x00 00 00 00 | 00000 | Cell A | 246 / 081 | UAC\_BarringInfo\_Common (33,0x0000000'B) | ODAC\_definitions1(0), ODAC\_definitions2(1,16) | Yes | No |
| 1.3 | 34,7 | 0x01 00 00 00 | 00000 | Cell A | 246 / 081 | UAC\_BarringInfo\_Common2 (34,0x1000000'B, 50,0x1000000'B) | ODAC\_definitions2(18,1), ODAC\_definitions1(2) | No | Yes |
| 1.4 | 7,63 | 0x01 00 00 00 | 00000 | Cell B | 244 / 081 | UAC\_BarringInfo\_Common2 (63,0x0000000'B, 7,0x0100000'B) | ODAC\_definitions1(3), ODAC\_definitions2(31,18) | No | No |
| 1.5 | 63,7 | 0x02 00 00 00 | 00000 | Cell A | 246 / 081 | UAC\_BarringInfo\_Common (63,0x0100000'B) | ODAC\_definitions1(31), ODAC - Not present | No | Yes |
| 1.6 | 7,7 | 0x00 00 00 00 | 10000 | Cell B | 246 / 082 | UAC\_BarringInfo\_Common (48,0x0010000'B) | ODAC\_definitions1(16), ODAC - Not present | Yes | Yes |
| 1.7 | 7,7 | 0x00 00 00 00 | 00100 | Cell B | 246 / 082 | UAC\_BarringInfo\_Common2 (32,0x0000100'B, 34,0x0000100'B) | ODAC\_definitions2(0,2), ODAC - Empty | Yes | Yes |

##### 5.4.11.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.11-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | TT | SIB1 of Cell A is transmitted as defined in the initial conditions for tests from table 5.4.11-1 | Barring info in SIB1 is set as in table 5.4.11-1  See Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table |  |  |
| 2 | USER | Power UE on | The UE performs a SIM initialisation. (includes reading of EFUAC-AIC and EFACC) | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | Send REGISTRATION REQUEST |  |  |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | 1st REGISTRATION ACCEPT with the Operator-Defined Access Category Definitions IEI as defined in the table 5.4.11-1.  For simplicity other signalling is not shown |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If 2nd Cell is Cell A as in table 5.4.11-1: | | | | | |
| 5 | USER | Disable the UICC profile |  |  |  |
| 6 | USER | Enable the UICC profile |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If 2nd Cell is Cell B as in table 5.4.11-1: | | | | | |
| 5 | USER | Disable the UICC profile | Deactivate Cell A |  |  |
| 6 | USER | Enable the UICC profile | Activate Cell B |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Common test steps | | | | | |
| 7 | UE > TT | Send REGISTRATION REQUEST | Send to Cell A or B as in the Table 5.4.11-1 |  |  |
| 8 | USER | Send REGISTRATION ACCEPT | 2nd REGISTRATION ACCEPT with the Operator-Defined Access Category Definitions IEI as defined in the table 5.4.11-1.  For simplicity other signalling is not shown |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If 1st MO Data call is not allowed: | | | | | |
| 9 | UE > TT | Attempt to set up 1st MO Data call | Attempt to set up the MO Data call to DNN TestGp.rs1/S-NSSAI '01 01 01 01' using the MMI or EMMI. | CR 2 to CR 7 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If 1st MO Data call is allowed: | | | | | |
| 9 | UE > TT | Set up MO Data call  (PDU SESSION ESTABLISHMENT) | To set up the MO Data call to DNN TestGp.rs1/S-NSSAI '01 01 01 01' using the MMI or EMMI. | CR 2 to CR 7 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If 2nd MO Data call is not allowed: | | | | | |
| 10 | UE > TT | Attempt to set up 2nd MO Data call | Attempt to set up the MO Data call to DNN TestGp.rs2/S-NSSAI '01 01 01 02' using the MMI or EMMI | CR 2 to CR 7 |  |
| 11 | USER | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If 2nd MO Data call is allowed: | | | | | |
| 10 | UE > TT | Set up MO Data call  (PDU SESSION ESTABLISHMENT) | To set up the MO Data call to DNN TestGp.rs2/S-NSSAI '01 01 01 02' using the MMI or EMMI. | CR 2 to CR 7 |  |
| 11 | USER | End test sequence |  |  |  |

#### 5.4.11.5 Acceptance criteria

For the scenarios in table 5.4.11-1:

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-Terminal initialisation procedure.

CR 2, through CR 7 are verified

* at steps 9) by analysing if the UE shall make a successful or not successful 1st MO Data call in accordance with the result indicated in the table if the step is applicable and
* at steps 10) by analysing if the UE shall make a successful or not successful 2nd MO Data call in accordance with the result indicated in the table if the step is applicable.

### 5.4.12 Unified Access Control – Operator-Defined Access Categories, SUPI change

#### 5.4.12.1 Definition and applicability

The purpose of Unified Access Control procedure is to perform access barring check for a 5GS access attempt associated with a given Access Category and one or more Access Identities upon request from upper layers or the RRC layer.

The 5G network shall be able to broadcast barring control information a list of barring parameters associated with an Access Identity and an Access Category in SIB1. Access category numbers in the 32-63 range are used for operator-defined Access Categories.

The EFUAC\_AIC in the USIM contains the configuration information pertaining to access identities allocated for high priority services that can be used by the subscriber.

The UE shall be able to determine whether or not a particular new access attempt is allowed based on barring parameters that the UE receives from the broadcast barring control information and the configuration in the USIM.

Operator-defined access category definitions can be signaled to the UE using NAS signaling. Each operator-defined access category definition consists of the parameters a precedence value, an operator-defined access category number, one or more access category criteria type and optionally, a standardized access category.

Operator-defined access category definitions are stored together with a PLMN identity of the PLMN that provided them, and is valid in that PLMN or equivalent PLMN. The operator-defined access category definitions can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the operator-defined access category definitions.

In order to determine the access category applicable for the access attempt, the NAS shall check the Access category mapping rules, and use the access category of the lowest rule number for which there is a match for barring check. In the case of operator-defined access categories, the UE shall select the access category from the operator-defined access category definition with the lowest precedence value.

#### 5.4.12.2 Conformance requirement

CR 1 The ME shall read the access control value as part of the USIM initialization procedure, and subsequently adopt this value.

Reference:

- 3GPP TS 31.102 [19], clause 5.1.1.2.

CR 2 Access Identities are configured at the UE as listed in 3GPP TS 22.261 [43] Table 6.22.2.2-1. Access Categories are defined by the combination of conditions related to UE and the type of access attempt as listed in 3GPP TS 22.261 [43] Table 6.22.2.3-1.

Reference:

- 3GPP TS 22.261 [36], clause 6.22.2

CR 3 Operator may provide one or more PLMN-specific Operator-defined access category definitions to the UE using NAS signalling (Operator-defined access category definitions information element), and the UE handles the Operator-defined access category definitions stored for the Registered PLMN, as specified in 3GPP TS 24.501.

References:

- 3GPP TS 23.501 [39], clause 5.2.5

- 3GPP TS 24.501 [25] clause 9.11.3.38

CR 4 Operator-defined access category definitions are stored together with a PLMN identity of the PLMN that provided them, and is valid in that PLMN or equivalent PLMN. The operator-defined access category definitions can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the operator-defined access category definitions.

Reference:

- 3GPP TS 24.501 [25], Annex C

CR 5 In order to determine the access category applicable for the access attempt, the NAS shall check the rules in 3GPP TS 24.501 [42] Table 4.5.2.2, and use the access category for which there is a match for barring check. If the access attempt matches more than one rule, the access category of the lowest rule number shall be selected. If the access attempt matches more than one operator-defined access category definition, the UE shall select the access category from the operator-defined access category definition with the lowest precedence value (see 3GPP TS 24.501 [42] clause 4.5.3).

Reference:

- 3GPP TS 24.501 [25], clause 4.5.2

CR 6 If the UE receives Operator-defined access category definitions IE in the REGISTRATION ACCEPT message and the Operator-defined access category definitions IE contains one or more operator-defined access category definitions, the UE shall delete any operator-defined access category definitions stored for the RPLMN and shall store the received operator-defined access category definitions for the RPLMN. If the UE receives the Operator-defined access category definitions IE in the REGISTRATION ACCEPT message and the Operator-defined access category definitions IE contains no operator-defined access category definitions, the UE shall delete any operator-defined access category definitions stored for the RPLMN. If the REGISTRATION ACCEPT message does not contain the Operator-defined access category definitions IE, the UE shall not delete the operator-defined access category definitions stored for the RPLMN.

Reference:

- 3GPP TS 24.501 [25], clause 5.5.1.2.4

CR 7 The UE shall be able to determine whether or not a particular new access attempt is allowed based on uac-BarringInfo broadcast in SIB1. Access Control check shall be performed as per the information received in uac-BarringInfoSetList.

Reference:

- 3GPP TS 38.331 [37], clauses 5.3.14

#### 5.4.12.3 Test purpose

The purpose of this test is to verify that:

1) To verify that the ME reads the access control value from EFUAC\_AIC and EFACC as part of the USIM-Terminal initialisation procedure, and subsequently adopts this value.

2) To verify if UE uses operator-defined access categories received within Operator-defined access category definitions information element in the REGISTRATION ACCEPT.

3) To verify the UE checks the access category applicable for the access attempt, as per the Access category mapping rules and chooses the matching lowest rule.

4) To verify the UE checks operator-defined access category parameters precedence value, operator-defined access category number and access category criteria type associated with the Operator-defined Access Category when determining the Access Category for the access attempt.

5) To verify the Operator-defined access category definitions are stored together with a PLMN identity of the PLMN that provided them, and is valid in that PLMN or equivalent PLMN.

6) To verify the Operator-defined access category definitions can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME, else the UE shall delete the operator-defined access category definitions.

7) To verify UE maps the kind of request to one or more access identities and one access category and lower layers performs access barring checks for that request based on the determined access identities and access category.

8) To verify the UE determines whether or not a particular access attempt is allowed based on uac-BarringInfo broadcast in SIB1 and if the RPLMN is the HPLMN, EHPLMN or visited PLMN of the home country.

#### 5.4.12.4 Method of test

##### 5.4.12.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with the following exceptions:

**EFIMSI** (International Mobile Subscriber Identity) for UICC 2

Logically:

246081357935799

Coding:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 | B9 |
| Hex | 08 | 29 | 64 | 80 | 31 | 75 | 39 | 75 | 99 |

**EFUAC\_AIC** and **EFACC**

Access Identity is configured in EFUAC\_AIC and no Access Classes are configured in EFACC as given in Table 5.4.12-1.

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°126 |  | UAC Access Identities support | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xx10 11xx | 0000 0xxx |  |  |

ME shall be configured with following URSP rules.

Rule Precedence =1

Traffic Descriptor:

DNN=TestGp.rs1

Route Selection Descriptor:

Precedence=1

Network Slice Selection, S-NSSAI: '01 01 01 01’ (ST: MBB, SD: '010101’)

SSC Mode Selection: SSC Mode 1

Access Type preference: 3GPP access

Rule Precedence = 2

Traffic Descriptor:

DNN=TestGp.rs2

Route Selection Descriptor:

Precedence =1

Network Slice Selection, S-NSSAI: '01 01 01 02’ (ST: MBB, SD: '010102’)

SSC Mode Selection: SSC Mode 1

The TT (NG-SS) is configured to transmit the following parameters on Cell A and B:

Cell A:

Transmits on the BCCH, with the following network parameters:

- MCC, MNC: 246/081

- TAC: "000001"

- CellIdentity: "000000001"

For Table 5.4.12-1:

uac‑BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the tables A1-A3.

Cell B:

This cell is required for some sequences as in the Table 5.4.12-1.

Transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): MCC, MNC: see Table 5.4.12-1, TAC="000002".

- CellIdentity: "000000002"

For Table 5.4.12-1:

uac-BarringInfo in SIB1 should be set as in the table:

- Refer to Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the tables A1-A3.

REGISTRATION ACCEPT IEIs.

- Operator-Defined Access Category Definitions IEI is configured as defined in Table 5.4.12-1.

- Refer to Annex A tables A4-A5 for Methods ODAC\_definitions1() and ODAC\_definitions2().

For HPLMN Cells:

- Allowed S-NSSAI IEI is configured to include S-NSSAIs '01 01 01 01’, '01 01 01 02’and '01 01 01 03’.

For VPLMN Cells:

- Allowed S-NSSAI IEI is configured to include S-NSSAIs ('01 01 01 01’, '01 01 01 01’), ('01 01 01 02’, '01 01 01 02’), and ('01 01 01 03’, '01 01 01 03’).

##### 5.4.12.4.2 Tables related to the test case

**Table 5.4.12-1**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **TC Seq#** | **Access Category for Call 1 & 2** | **USIM** | | **Cell 2** | | **SIB 1: uac-BarringInfo (for all the Cells)** | **Operator-defined access category definitions IEI in** | **Result** | |
| **EFUAC\_AIC** | **EFACC (Byte 1 b8-b4)** | **Cell Id of** | **PLMN-Identity (MCC/MNC)** | **1st REGISTRATION ACCEPT, 2nd REGISTRATION ACCEPT** | **MO Data call 1**  **successfu?** | **MO Data call 2**  **successful?** |
| 1.1 | 7,33 | 0x00 00 00 00 | 00000 | Cell A | 246 / 081 | Not Present | ODAC\_definitions1(0), ODAC\_definitions2(1,16) | Yes | Yes |
| 1.2 | 7,33 | 0x00 00 00 00 | 00000 | Cell A | 246 / 081 | UAC\_BarringInfo\_Common (33,0x0000000'B) | ODAC\_definitions1(0), ODAC\_definitions2(1,16) | Yes | No |
| 1.3 | 34,7 | 0x01 00 00 00 | 00000 | Cell A | 246 / 081 | UAC\_BarringInfo\_Common2 (34,0x1000000'B, 50,0x1000000'B) | ODAC\_definitions2(18,1), ODAC\_definitions1(2) | No | Yes |
| 1.4 | 7,63 | 0x01 00 00 00 | 00000 | Cell B | 244 / 081 | UAC\_BarringInfo\_Common2 (63,0x0000000'B, 7,0x0100000'B) | ODAC\_definitions1(3), ODAC\_definitions2(31,18) | No | No |
| 1.5 | 7,7 | 0x02 00 00 00 | 00000 | Cell A | 246 / 081 | UAC\_BarringInfo\_Common (63,0x0100000'B) | ODAC\_definitions1(31), ODAC - Not present | Yes | Yes |
| 1.6 | 7,7 | 0x00 00 00 00 | 10000 | Cell B | 246 / 082 | UAC\_BarringInfo\_Common (48,0x0010000'B) | ODAC\_definitions1(16), ODAC - Not present | Yes | Yes |
| 1.7 | 7,7 | 0x00 00 00 00 | 00100 | Cell B | 246 / 082 | UAC\_BarringInfo\_Common2 (32,0x0000100'B, 34,0x0000100'B) | ODAC\_definitions2(0,2), ODAC - Empty | Yes | Yes |
| 1.8 | 7,7 | 0x00 00 00 00 | 00100 | Cell B | 246 / 082 | UAC\_BarringInfo\_Common2 (50,0x0000100'B, 33,0x0000100'B) | ODAC\_definitions2(18,1), ODAC - Not present | Yes | Yes |

##### 5.4.12.4.3 Procedure

Procedure/steps to be repeated for all sequences listed in table 5.4.12-1:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | TT | SIB1 of Cell A is transmitted as defined in the initial conditions for tests from table 5.4.12-1 | Barring info in SIB1 is set as in table 5.4.12-1  See Annex A for the Methods UAC\_BarringInfo\_xxxxxx() in the table |  |  |
| 2 | USER | Power UE on | The UE performs a SIM initialisation. (includes reading of EFUAC-AIC and EFACC) | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | Send REGISTRATION REQUEST |  |  |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | 1st REGISTRATION ACCEPT with the Operator-Defined Access Category Definitions IEI as defined in the table 5.4.12-1.  For simplicity other signalling is not shown |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If 2nd Cell is Cell A as in table 5.4.12-1: | | | | | |
| 5 | USER | Disable the UICC profile |  |  |  |
| 6 | USER | Enable the UICC profile |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If 2nd Cell is Cell B as in table 5.4.12-1: | | | | | |
| 5 | USER | Disable the UICC profile | Deactivate Cell A |  |  |
| 6 | USER | Enable the UICC profile | Activate Cell B |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Common test steps | | | | | |
| 7 | UE > TT | Send REGISTRATION REQUEST | Send to Cell A or B as in the Table 5.4.12-1 |  |  |
| 8 | USER | Send REGISTRATION ACCEPT | 2nd REGISTRATION ACCEPT with the Operator-Defined Access Category Definitions IEI as defined in the table 5.4.12-1.  For simplicity other signalling is not shown |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If 1st MO Data call is not allowed: | | | | | |
| 9 | UE > TT | Attempt to set up 1st MO Data call | Attempt to set up the MO Data call to DNN TestGp.rs1/S-NSSAI '01 01 01 01' using the MMI or EMMI. | CR 2 to CR 7 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If 1st MO Data call is allowed: | | | | | |
| 9 | UE > TT | Set up MO Data call  (PDU SESSION ESTABLISHMENT) | To set up the MO Data call to DNN TestGp.rs1/S-NSSAI '01 01 01 01' using the MMI or EMMI. | CR 2 to CR 7 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If 2nd MO Data call is not allowed: | | | | | |
| 10 | UE > TT | Attempt to set up 2nd MO Data call | Attempt to set up the MO Data call to DNN TestGp.rs2/S-NSSAI '01 01 01 02' using the MMI or EMMI | CR 2 to CR 7 |  |
| 11 | USER | End test sequence |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| If 2nd MO Data call is allowed: | | | | | |
| 10 | UE > TT | Set up MO Data call  (PDU SESSION ESTABLISHMENT) | To set up the MO Data call to DNN TestGp.rs2/S-NSSAI '01 01 01 02' using the MMI or EMMI. | CR 2 to CR 7 |  |
| 11 | USER | End test sequence |  |  |  |

#### 5.4.12.5 Acceptance criteria

For the Table 5.4.12-1

CR 1 is explicitly verified at step 2) by analysing the file READ commands for EFUAC-AIC and EFACC during the USIM-Terminal initialisation procedure.

CR 2, through CR 7 are verified

* at steps 9) by analysing if the UE shall make a successful or not successful 1st MO Data call in accordance with the result indicated in the table if the step is applicable and
* at steps 10) by analysing if the UE shall make a successful or not successful 2nd MO Data call in accordance with the result indicated in the table if the step is applicable.

## 5.5 Handling of operator controlled features

### 5.5.1 Display of registered 5G PLMN name from USIM

#### 5.5.1.1 Definition and applicability

If the operator’s decision, as indicated by the USIM, is that the ME shall use EFOPL5G in association with EFPNN or EFPNNI to display the Operator 5G PLMN name from USIM, then the ME shall be able to associate the prioritised list of Tracking Area Identity (TAI) identities for NG-RAN in EFOPL5G with the operator name contained in EFPNN. This prioritized list takes precedence over any network name stored within the ME’s internal list and any network name received when registered to the PLMN, as defined by TS 24.501 [25].

#### 5.5.1.2 Conformance requirement

CR 1 EFOPL5G association with the EFPNN shall be performed by the USIM if service n°129 is available in EFUST.

CR 2 The ME shall display the correct Operator network name per 4.4.11.9 in TS 31.102 [19].

Reference:

- TS 31.102 [19], clauses 4.4.11.9.

- TS 24.008 [31], clause 10.5.3.5a

#### 5.5.1.3 Test purpose

The purpose of this test is to verify that the ME displays the 5G Operator PLMN name correctly for the following cases:

1) Entire range of TAC for a specific PLMN is configured in EFOPL5G;

2) Specific range of TAC for a specific PLMN is configured in EFOPL5G;

3) Specific TAC for a specific PLMN is configured in EFOPL5G.

#### 5.5.1.4 Method of tests

##### 5.5.1.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with the following exceptions:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°42: |  | Operator controlled PLMN selector with Access Technology | available |
| Service n°45: |  | PLMN Network Name | available |
| Service n°129: |  | 5GS Operator PLMN List | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxx1 xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx0 11xx | xxxx xxx1 |  |  |

**EFOPLMNwACT (**Operator controlled PLMN selector with Access Technology)

Logically:

1st PLMN: 244 010 (MCC MNC), 1st ACT: NG-RAN

2nd PLMN: 244 020 (MCC MNC), 2nd ACT: NG-RAN

3rd PLMN: 244 030 (MCC MNC), 3rd ACT: NG-RAN

4th PLMN: 244 040 (MCC MNC), 4th ACT: NG-RAN

5th PLMN: 244 050 (MCC MNC), 5th ACT: NG-RAN

6th PLMN: 244 060 (MCC MNC), 6th ACT: NG-RAN

7th PLMN: 244 070 (MCC MNC), 7th ACT: NG-RAN

8th PLMN: 244 080 (MCC MNC), 8th ACT: NG-RAN

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | 42 | 04 | 10 | 08 | 00 | 42 | 04 | 20 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | 08 | 00 | 42 | 04 | 30 | 08 | 00 | 42 |
|  | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 04 | 40 | 08 | 00 | 42 | 04 | 50 | 08 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
|  | 00 | 42 | 04 | 60 | 08 | 00 | 42 | 04 |
|  | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** |
|  | 70 | 08 | 00 | 42 | 04 | 80 | 08 | 00 |

**EFOPL5G**

Record 1:

Logically:

MCC: 244,

MNC: 010,

TAC: Entire range,

PNN Record Identifier: 01

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** |
| Hex | 42 | 04 | 10 | 00 | 00 | 00 | FF | FF | FE | 01 |

Record 2:

Logically:

MCC: 244,

MNC: 020,

TAC: 000003 - 000006,

PNN Record Identifier: 02

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** |
| Hex | 42 | 04 | 20 | 00 | 00 | 03 | 00 | 00 | 06 | 02 |

Record 3:

Logically:

MCC: 244,

MNC: 030,

TAC: 000003,

PNN Record Identifier: 02

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** |
| Hex | 42 | 04 | 30 | 00 | 00 | 03 | 00 | 00 | 03 | 02 |

**EFPNN**

Record 1:

Logically:

Long name: PLMN 5G

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** |
| Hex | 43 | 08 | 87 | 50 | 66 | D3 | 09 | AA | 1D | 01 |
|  | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** |
|  | FF | FF | FF | FF | FF | FF | FF | FF | FF | FF |

Record 2:

Logically:

Long name: ABCD

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** |
| Hex | 43 | 05 | 84 | 41 | E1 | 90 | 08 | FF | FF | FF |
|  | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** |
|  | FF | FF | FF | FF | FF | FF | FF | FF | FF | FF |

Record 3:

Logically:

Long name: CCCDDD

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** |
| Hex | 43 | 07 | 86 | C3 | E1 | 90 | 48 | 24 | 02 | FF |
|  | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** |
|  | FF | FF | FF | FF | FF | FF | FF | FF | FF | FF |

The NG-SS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/010/000001

- Access control: unrestricted

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.5.1.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE > TT | Send REGISTRATION REQUEST | In the registration type IE indicates:  - "initial registration"  - 5GS mobile identity information  element type "SUCI" |  |  |
| 2 | TT > UE | Send REGISTRATION ACCEPT | The REGISTRATION ACCEPT is sent with a 5G-GUTI |  |  |
| 3 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |
| 4 | User | Wait 30 seconds | "PLMN 5G" is shown as Operator 5G PLMN name | CR 1 CR 2 |  |
| 5 | USER/TT | Power off/deactivate the UE |  |  |  |
| 6 | TT | Stop RF output on the BCCH |  |  |  |
| 7 | TT | Resume the RF output on the BCCH with:  - TAI (MCC/MNC/TAC): 244/020/000004  - Access control: unrestricted |  |  |  |
| 8 | UE | Runs activation |  |  |  |
| 9 | UE > TT | Send REGISTRATION REQUEST | In the registration type IE indicates:  - "initial registration"  - 5GS mobile identity information  element type "5G-GUTI" |  |  |
| 10 | TT > UE | Send REGISTRATION ACCEPT | The REGISTRATION ACCEPT is sent with a 5G-GUTI |  |  |
| 11 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |
| 12 | User | Wait 30 seconds | "ABCD" is shown as Operator 5G PLMN name | CR 1 CR 2 |  |
| 13 | USER/TT | Power off/deactivate the UE |  |  |  |
| 14 | TT | Stop RF output on the BCCH |  |  |  |
| 15 | TT | Resume the RF output on the BCCH with:  - TAI (MCC/MNC/TAC): 244/030/000003  - Access control: unrestricted |  |  |  |
| 16 | USER/TT | Run activation of the UE |  |  |  |
| 17 | UE > TT | Send REGISTRATION REQUEST | In the registration type IE indicates:  - "initial registration"  - 5GS mobile identity information  element type "5G-GUTI" |  |  |
| 18 | TT > UE | Send REGISTRATION ACCEPT | The REGISTRATION ACCEPT is sent with a 5G-GUTI |  |  |
| 19 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |
| 20 | User | Wait 30 seconds | "ABCD" is shown as Operator 5G PLMN name | CR 1 CR 2 |  |
| 21 | USER/TT | Power off/deactivate the UE, then run activation of the UE |  |  |  |

##### 5.5.1.4.3 Acceptance criteria

CR 1 can be implicitly verified. The conformance requirement is met if the expected Operator 5G PLMN name is shown in step 4), step 12) and step 17).

CR 2 shall be verified by checking the UE output for the Operator 5G PLMN name (e.g. on a display). The conformance requirement is met if the appropriate Operator 5G PLMN name is shown:

- "PLMN 5G" in step 4);

- "ABCD" in step 12);

- "ABCD" in step 17).

### 5.5.2 Display of registered 5G PLMN name from ME

#### 5.5.2.1 Definition and applicability

If the operator’s decision, as indicated by the USIM, is that the ME shall use EFOPL5G in association with EFPNN or EFPNNI to display the Operator 5G PLMN name from ME or other sources, then the displayed network name will be either from the one stored within the ME’s internal list or any network name received when registered to the PLMN, as defined by TS 24.501 [25].

#### 5.5.2.2 Conformance requirement

CR 1 EFOPL5G association with the EFPNN shall be performed by the USIM if service n°129 is available in EFUST.

CR 2 The ME shall display the correct Operator network name per 4.4.11.9 in TS 31.102 [19].

Reference:

- TS 31.102 [19], clauses 4.4.11.9.

- TS 24.008 [31], clause 10.5.3.5a

#### 5.5.2.3 Test purpose

The purpose of this test is to verify that the ME displays the 5G Operator PLMN name correctly for the following cases:

1) ME registers to a TAI outside the range referenced in EFOPL5G;

2) ME registers to a TAI configured in EFOPL5G and PNN record identified is set as 00.

#### 5.5.2.4 Method of tests

##### 5.5.2.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with the following exceptions:

**EFUST**

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°42: |  | Operator controlled PLMN selector with Access Technology | available |
| Service n°45: |  | PLMN Network Name | available |
| Service n°129: |  | 5GS Operator PLMN List | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxx1 xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx0 11xx | xxxx xxx1 |  |  |

**EFOPLMNwACT** (Operator controlled PLMN selector with Access Technology)

Logically:

1st PLMN: 244 010 (MCC MNC), 1st ACT: NG-RAN

2nd PLMN: 244 020 (MCC MNC), 2nd ACT: NG-RAN

3rd PLMN: 244 030 (MCC MNC), 3rd ACT: NG-RAN

4th PLMN: 244 040 (MCC MNC), 4th ACT: NG-RAN

5th PLMN: 244 050 (MCC MNC), 5th ACT: NG-RAN

6th PLMN: 244 060 (MCC MNC), 6th ACT: NG-RAN

7th PLMN: 244 070 (MCC MNC), 7th ACT: NG-RAN

8th PLMN: 244 080 (MCC MNC), 8th ACT: NG-RAN

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | 42 | 04 | 10 | 08 | 00 | 42 | 04 | 20 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | 08 | 00 | 42 | 04 | 30 | 08 | 00 | 42 |
|  | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 04 | 40 | 08 | 00 | 42 | 04 | 50 | 08 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
|  | 00 | 42 | 04 | 60 | 08 | 00 | 42 | 04 |
|  | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** |
|  | 70 | 08 | 00 | 42 | 04 | 80 | 08 | 00 |

**EFOPL5G** (5GS Operator PLMN List)

Record 1:

Logically:

MCC: 244,

MNC: 010,

TAC: Entire range,

PNN Record Identifier: 01

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** |
| Hex | 42 | 04 | 10 | 00 | 00 | 00 | FF | FF | FE | 01 |

Record 2:

Logically:

MCC: 244,

MNC: 020,

TAC: 000003 - 000006,

PNN Record Identifier: 02

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** |
| Hex | 42 | 04 | 20 | 00 | 00 | 03 | 00 | 00 | 06 | 02 |

Record 3:

Logically:

MCC: 244,

MNC: 030,

TAC: 000005 - 000009,

PNN Record Identifier: 00

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** |
| Hex | 42 | 04 | 30 | 00 | 00 | 05 | 00 | 00 | 09 | 00 |

**EFPNN** (PLMN Network Name)

Record 1:

Logically:

Long name: PLMN 5G

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** |
| Hex | 43 | 08 | 87 | 50 | 66 | D3 | 09 | AA | 1D | 01 |
|  | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** |
|  | FF | FF | FF | FF | FF | FF | FF | FF | FF | FF |

Record 2:

Logically:

Long name: ABCD

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** |
| Hex | 43 | 05 | 84 | 41 | E1 | 90 | 08 | FF | FF | FF |
|  | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** |
|  | FF | FF | FF | FF | FF | FF | FF | FF | FF | FF |

Record 3:

Logically:

Long name: CCCDDD

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** |
| Hex | 43 | 07 | 86 | C3 | E1 | 90 | 48 | 24 | 02 | FF |
|  | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** |
|  | FF | FF | FF | FF | FF | FF | FF | FF | FF | FF |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/020/000007

- Access control: unrestricted

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.5.2.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE > TT | Send REGISTRATION REQUEST | In the registration type IE indicates:  - "initial registration"  - 5GS mobile identity information  element type "SUCI" |  |  |
| 2 | TT > UE | Send REGISTRATION ACCEPT | The REGISTRATION ACCEP*T* is sent with a 5G-GUTI |  |  |
| 3 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |
| 4 | USER | Wait 30 seconds | The ME shows:  - MCC: "244"  - MNC: "020" | CR 1 CR 2 |  |
| 5 | USER/TT | Power off/deactivate the UE |  |  |  |
| 6 | TT | Stop RF output on the BCCH |  |  |  |
| 7 | TT | Resume the RF output on the BCCH with:  - TAI (MCC/MNC/TAC): 244/030/000006  - Access control: unrestricted |  |  |  |
| 8 | USER/TT | Run activation of the UE |  |  |  |
| 9 | UE > TT | Send REGISTRATION REQUEST | In the registration type IE indicates:  - "initial registration"  - 5GS mobile identity information  element type "5G-GUTI" |  |  |
| 10 | TT > UE | Send REGISTRATION ACCEPT | The REGISTRATION ACCEPT is sent with a 5G-GUTI |  |  |
| 11 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |
| 12 | USER | Wait 30 seconds | The ME shows:  - MCC: "244"  - MNC: "030" | CR 1 CR 2 |  |
| 13 | USER/TT | Power off/deactivate the UE |  |  |  |

##### 5.5.1.4.3 Acceptance criteria

CR 1 can be implicitly verified. The conformance requirement is met if the expected or a pre-configured Operator 5G PLMN name is shown in step 4) and step 12).

CR 2 shall be verified by checking the UE output for the Operator 5G PLMN name (e.g. on a display). The conformance requirement is met if the appropriate Operator 5G PLMN name is shown:

- MCC: "244", MNC: "020", but not: "PLMN 5G", "ABCD" or "CCCDDD" in step 4);

- MCC: "244", MNC: "030", but not: "PLMN 5G", "ABCD" or "CCCDDD" in step 12);

NOTE: MCC/MNC combinations are displayed as formatted by the ME manufacturer. MCC/MNC combinations with correct values are valid, independent from the formatting (e.g. with or without a separator). Different from the MCC/MNC combinations anything else configured by ME as Operator 5G PLMN name may be displayed.

5.6 Handling subscription identifier privacy for 5G - SUPI type in NAI format

5.6.1 SUCI calculation by ME using null scheme

#### 5.6.1.1 Definition and applicability

If the operator's decision is that ME shall calculate the SUCI, the home network operator shall provision a list of the Protection Scheme Identifiers in the USIM that the operator allows. The list of Protection Scheme Identifiers in the USIM may contain one or more Protection Scheme Identifiers in the order of their priority. The ME shall read the SUCI calculation information from the USIM, including the SUPI, the Home Network Public Key, the Home Network Public Key Identifier, and the list of Protection Scheme Identifiers. The ME shall select the protection scheme from its supported schemes that has the highest priority in the list obtained from the USIM.

The ME shall calculate the SUCI using the null-scheme if the highest priority of the protection schemes listed in the USIM is the null-scheme.

#### 5.6.1.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the ME if Service n°124 is available and Service n°125 is not available in EFUST

Reference:

- TS 31.102 [19], clause 5.3.47.

CR 2 SUPI is available in EFSUPI\_NAI if Service n°130 is available in EFUST

Reference:

- TS 31.102 [19], clauses 4.4.11.10 and 5.3.33.

CR 3 A subscriber identifier is in the form of a SUPI in NAI format

References:

- TS 31.102 [19], clause 4.4.11.10.

CR 4 The SUPI may contain:

- a NSI, used for private networks as defined in TS 22.261 [36] or

- a GLI and an operator identifier of the 5GC operator, used for supporting FN-BRGs, as further described in TS 23.316 [56] or

- a GCI and an operator identifier of the 5GC operator, used for supporting FN-CRGs and 5G-CRG, as further described in TS 23.316 [56].

References:

- TS 22.261 [36], clause 8.5;

- TS 23.316 [56], clause 4.7.3, 4.7.4, 4.7.8 and 4.7.9.

CR 5 As part of the SUCI calculation performed by the ME, the ME performs the reading procedure for EFSUCI\_Calc\_Info.

Reference:

- TS 31.102 [19], clauses 4.4.11.8 and 5.3.47.

CR 6 The ME shall calculate the SUCI using the null-scheme if highest priority of the protection schemes listed in the USIM is the null-scheme.

Reference:

- TS 31.102 [19], clauses 5.3.47 and 5.3.51;

- TS 33.501 [24], clause Annex C.

#### 5.6.1.3 Test purpose

The purpose of this test is to verify that:

1) the READ EFSUCI\_Calc\_Info, EFRouting\_Indicator and EFSUPI\_NAI commands are performed correctly by the ME.

2) the ME performs the SUCI calculation procedure using null-scheme.

#### 5.6.1.4 Method of test

##### 5.6.1.4.1 Initial conditions

The values of the 5G-NR UICC – non-IMSI SUPI Type as defined in clause 4.5.10 of the present document are used with the following exception:

**EFSUCI\_Calc\_Info** (Subscription Concealed Identifier Calculation Information EF)

Logically:

Protection Scheme Identifier List data object:

Protection Scheme Identifier 1 – null-scheme

Key Index 1: 0

Protection Scheme Identifier 2 – ECIES scheme profile B

Key Index 2: 1

Protection Scheme Identifier 3 – ECIES scheme profile A

Key Index 3: 2

Home Network Public Key List data object:

Home Network Public Key 1 Identifier:27

Home Network Public Key 1:

04 72 DA 71 97 62 34 CE 83 3A 69 07 42 58 67 B8 2E 07 4D 44 EF 90 7D FB 4B 3E 21 C1 C2 25 6E

BC D1 5A 7D ED 52 FC BB 09 7A 4E D2 50 E0 36 C7 B9 C8 C7 00 4C 4E ED C4 F0 68 CD 7B F8 D3

F9 00 E3 B4

Home Network Public Key 2 Identifier: 30

Home Network Public Key 2:

5A 8D 38 86 48 20 19 7C 33 94 B9 26 13 B2 0B 91 63 3C BD 89 71 19 27 3B F8 E4 A6 F4 EE C0 A6

50

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | A0 | 06 | 00 | 00 | 02 | 01 | 01 | 02 |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** | **B16** |
|  | A1 | 6B | 80 | 01 | 1B | 81 | 41 | 04 |
|  | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 72 | DA | 71 | 97 | 62 | 34 | CE | 83 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
|  | 3A | 69 | 07 | 42 | 58 | 67 | B8 | 2E |
|  | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** |
|  | 07 | 4D | 44 | EF | 90 | 7D | FB | 4B |
|  | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** |
|  | 3E | 21 | C1 | C2 | 25 | 6E | BC | D1 |
|  | **B49** | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** |
|  | 5A | 7D | ED | 52 | FC | BB | 09 | 7A |
|  | **B57** | **B58** | **B59** | **B60** | **B61** | **B62** | **B63** | **B64** |
|  | 4E | D2 | 50 | E0 | 36 | C7 | B9 | C8 |
|  | **B65** | **B66** | **B67** | **B68** | **B69** | **B70** | **B71** | **B72** |
|  | C7 | 00 | 4C | 4E | ED | C4 | F0 | 68 |
|  | **B73** | **B74** | **B75** | **B76** | **B77** | **B78** | **B79** | **B80** |
|  | CD | 7B | F8 | D3 | F9 | 00 | E3 | B4 |
|  | **B81** | **B82** | **B83** | **B84** | **B85** | **B86** | **B87** | **B88** |
|  | 80 | 01 | 1E | 81 | 20 | 5A | 8D | 38 |
|  | **B89** | **B90** | **B91** | **B92** | **B93** | **B94** | **B95** | **B96** |
|  | 86 | 48 | 20 | 19 | 7C | 33 | 94 | B9 |
|  | **B97** | **B98** | **B99** | **B100** | **B101** | **B102** | **B103** | **B104** |
|  | 26 | 13 | B2 | 0B | 91 | 63 | 3C | BD |
|  | **B105** | **B106** | **B107** | **B108** | **B109** | **B110** | **B111** | **B112** |
|  | 89 | 71 | 19 | 27 | 3B | F8 | E4 | A6 |
|  | **B113** | **B114** | **B115** | **B116** | **B117** |
|  | F4 | EE | C0 | A6 | 50 |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.6.1.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | READ EFUST | (Evaluation of service settings) | (CR 1) (CR 2) |  |
| 2 | UE | READ EFSUPI\_NAI, EFSUCI\_Calc\_Info, EFRouting\_indicator |  | CR 2 (CR 3) CR 4 CR 5 | A.2/1 OR A.2/2 |
| 3 | ME | Perform SUCI calculation | The ME performs a SUCI calculation using null scheme | (CR 1)(CR 6) |  |
| 4 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" | CR 1 CR 3 CR 6 |  |
| 5 | TT > UE | Send REGISTRATION ACCEPT | The TT sends a REGISTRATION ACCEPT with 5G‑GUTI |  |  |
| 6 | UE > TT | Send REGISTRATION COMPLETE |  | CR 4 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Optional step if CR 2, CR 4 and CR 5 verification cannot be performed at step 2) | | | | | |
| 7 | UE | READ EFSUPI\_NAI, EFSUCI\_Calc\_Info, EFRouting\_indicator |  | CR 2 CR 3 CR 5 | A.2/3 |

#### 5.6.1.5 Acceptance criteria

CR 1, CR 3 and CR 6 are implicitly verified in step 4). The conformance requirements are met if the 5GS mobile identity IE in the REGISTRATION REQUEST performed in step 4) includes the following values:

- SUPI format: 2

- NAI format for the SUCI: type3.rid17.schid0.userid00-00-5E-00-53-00@5gc.mnc012.mcc345.3gppnetwork.org

- SUPI Type: 3

- Home Network Identifier: 5gc.mnc012.mcc345.3gppnetwork.org

- Routing indicator: 17

- Protection scheme ID: 00

- Home network public key ID: 0

- Scheme output: 00-00-5E-00-53-00

CR 2, CR 4 and CR 5 can be verified by a method explicitly verifying the correct execution of the READ commands on the listed EFs. The CRs are met if the READ procedure on EFSUCI\_Calc\_Info, EFRouting\_indicator and EFSUPI\_NAI has been executed as defined in [28], clause 14.1.1. This either is done with methods A.2/1 or A.2/2 in step 2) or in the optional step 7) with method A.2/3.

CR 4 is implicitly verified in step 6). The requirement is met if the registration can be completed successfully.

5.6.2 UE identification by SUCI during initial registration – SUCI calculation by USIM using profile A

#### 5.6.2.1 Definition and applicability

If the operator's decision, indicated by the USIM, is that the USIM shall calculate the SUCI, then the USIM shall not give to the ME any parameter for the calculation of the SUCI including the Home Network Public Key Identifier, the Home Network Public Key, and the Protection Scheme Identifier. If the ME determines that the calculation of the SUCI, indicated by the USIM, shall be performed by the USIM, the ME shall delete any previously received or locally cached parameters for the calculation of the SUCI including the Routing Indicator, the Home Network Public Key Identifier, the Home Network Public Key and the Protection Scheme Identifier.

#### 5.6.2.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the USIM if Services n°124 and n°125 are available in EFUST

Reference:

- TS 31.102 [19], clause 5.3.47.

CR 2 SUPI is available in EFSUPI\_NAI if Service n°130 is available in EFUST

Reference:

- TS 31.102 [19], clauses 4.4.11.10 and 5.3.33.

CR 3 A subscriber identifier is in the form of a SUPI in NAI format

References:

- TS 31.102 [19], clause 4.4.11.10.

CR 4 The SUPI may contain:

- a NSI, used for private networks as defined in TS 22.261 [36] or

- a GLI and an operator identifier of the 5GC operator, used for supporting FN-BRGs, as further described in TS 23.316 [56] or

- a GCI and an operator identifier of the 5GC operator, used for supporting FN-CRGs and 5G-CRG, as further described in TS 23.316 [56].

References:

- TS 22.261 [36], clause 8.5;

- TS 23.316 [56], clause 4.7.3, 4.7.4, 4.7.8 and 4.7.9.

CR 5 The ME shall use the GET IDENTITY command in SUCI context to retrieve the SUCI calculated by the USIM.

Reference:

- TS 31.102 [19], clause 5.3.48.

CR 6 This GET IDENTITY command shall be as per 7.5.2 in 3GPP TS 31.102 [19].

Reference:

- TS 31.102 [19], clause 7.5.2.

CR 7 The USIM shall calculate the SUCI using the ECIES scheme profile A.

Reference:

- TS 31.102 [19], clauses 5.3.48;

- TS 33.501 [24], clause Annex C.

#### 5.6.2.3 Test purpose

The purpose of this test is to verify that:

1) that the GET IDENTITY command is performed correctly by the ME.

2) the ME includes the SUCI received from the USIM within the GET IDENTITY response in the 5GS mobile identity IE.

#### 5.6.2.4 Method of test

##### 5.6.2.4.1 Initial conditions

The values of the 5G-NR UICC – non-IMSI SUPI Type as defined in clause 4.5.10 of the present document are used with the following exception:

**EFUST** (USIM Service Table)

Services defined in Releases higher than Rel-16 might be available.

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°125: |  | SUCI calculation by the USIM | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx1 111x | xxxx xxxx |  |  |

**EFSUPI\_NAI** (SUPI as Network Access Identifier)

Logically: verylongusername1@3gpp.com

SUPI Type: NSI

Username: verylongusername1

Realm: 3gpp.com

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 80 | 1A | 76 | 65 | 72 | 79 | 6C | 6F | 6E | 67 | 75 | 73 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 65 | 72 | 6E | 61 | 6D | 65 | 31 | 40 | 33 | 67 | 70 | 70 |
|  | **B25** | **B26** | **B27** | **B28** |
|  | 2E | 63 | 6F | 6D |

5G-NR UICC is configured with:

Protection Scheme Identifier: ECIES scheme profile A

Key Index: 1

Home Network Public Key Identifier: 30

Home Network Public Key:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 5A | 8D | 38 | 86 | 48 | 20 | 19 | 7C | 33 | 94 | B9 | 26 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 13 | B2 | 0B | 91 | 63 | 3C | BD | 89 | 71 | 19 | 27 | 3B |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
|  | F8 | E4 | A6 | F4 | EE | C0 | A6 | 50 |

EFSUCI\_Calc\_Info (Subscription Concealed Identifier Calculation Information EF): Not available to the ME.

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

The NG-SS shall be configured with Home Network Private Key for profile A:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | C5 | 3C | 22 | 20 | 8B | 61 | 86 | 0B | 06 | C6 | 2E | 54 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 06 | A7 | B3 | 30 | C2 | B5 | 77 | AA | 55 | 58 | 98 | 15 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
|  | 10 | D1 | 28 | 24 | 7D | 38 | BD | 1D |

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.6.2.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | READ EFUST, EFSUPI\_NAI | (Evaluation of service settings) | (CR 1) (CR 2) (CR 3) |  |
| 2 | ME > TT | Send GET IDENTITY | The ME sends a GET IDENTITY command with Identity Context in P2 as SUCI (0x01) to the 5G-NR UICC | CR 5 CR 6 | A.2/1 OR A.2/2 |
| 2a | USIM | Perform SUCI calculation | The USIM shall calculate the SUCI using the ECIES scheme profile A | (CR 7) |  |
| 3 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" | CR 1 CR 2 CR 3 CR 7 |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | The TT sends a REGISTRATION ACCEPT with 5G‑GUTI |  |  |
| 5 | UE > TT | Send REGISTRATION COMPLETE |  | CR 4 |  |

#### 5.6.2.5 Acceptance criteria

CR 1, CR 2, CR 3 and CR 7 are implicitly verified in step 3). The conformance requirements are met if the 5GS mobile identity IE in the REGISTRATION REQUEST performed in step 3) includes the following values:

- SUPI format: 1

- NAI format for the SUCI: type1.rid17.schid1.hnkey30.ecckey<ECC ephemeral public key>.cip<encryption of "verylongusername1">.mac<MAC tag value>@3gpp.com

- SUPI Type: 1

- Home Network Identifier: 3gpp.com

- Routing indicator: 17

- Protection scheme ID: 01

- Home network public key ID: 30

- Scheme output: ECC ephemeral public key, encryption of "verylongusername1" and MAC tag value

CR 5 and CR 6 can be verified by a method explicitly verifying the correct execution and contents of the GET IDENTITY command (A.2/1 or A.2/2).

CR 4 is met if the UE sends REGISTRATION COMPLETE message to the TT (NG-SS) in step 5).

CR 1, CR 2, CR 3 may optionally be verified in step 1) using explicit verification (A.2/1, A.2/2).

CR 7 may optionally be verified in step 2a) using explicit verification (A.2/1, A.2/2).

Example with test data from 3GPP TS 33.501 [43] Annex C:

type1.rid17.schid1.hnkey30.ecckey977D8B2FDAA7B64AA700D04227D5B440630EA4EC50F9082273A26BB678C92222.cip8E358A1582ADB15322C10E515141D2039A.mac12E1D7783A97F1AC@3gpp.com

5.6.3 UE identification by SUCI during initial registration – SUCI calculation by USIM using profile B

#### 5.6.3.1 Definition and applicability

If the operator's decision, indicated by the USIM, is that the USIM shall calculate the SUCI, then the USIM shall not give to the ME any parameter for the calculation of the SUCI including the Home Network Public Key Identifier, the Home Network Public Key, and the Protection Scheme Identifier. If the ME determines that the calculation of the SUCI, indicated by the USIM, shall be performed by the USIM, the ME shall delete any previously received or locally cached parameters for the calculation of the SUCI including the Routing Indicator, the Home Network Public Key Identifier, the Home Network Public Key and the Protection Scheme Identifier.

#### 5.6.3.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the USIM if Services n°124 and n°125 are available in EFUST

Reference:

- TS 31.102 [19], clause 5.3.47.

CR 2 SUPI is available in EFSUPI\_NAI if Service n°130 is available in EFUST

Reference:

- TS 31.102 [19], clauses 4.4.11.10 and 5.3.33.

CR 3 A subscriber identifier is in the form of a SUPI in NAI format

References:

- TS 31.102 [19], clause 4.4.11.10.

CR 4 The SUPI may contain:

- a NSI, used for private networks as defined in TS 22.261 [36] or

- a GLI and an operator identifier of the 5GC operator, used for supporting FN-BRGs, as further described in TS 23.316 [56] or

- a GCI and an operator identifier of the 5GC operator, used for supporting FN-CRGs and 5G-CRG, as further described in TS 23.316 [56].

References:

- TS 22.261 [36], clause 8.5;

- TS 23.316 [56], clause 4.7.3, 4.7.4, 4.7.8 and 4.7.9.

CR 5 The ME shall use the GET IDENTITY command in SUCI context to retrieve the SUCI calculated by the USIM.

Reference:

- TS 31.102 [19], clause 5.3.48.

CR 6 This GET IDENTITY command shall be as per 7.5.2 in 3GPP TS 31.102 [19].

Reference:

- TS 31.102 [19], clause 7.5.2.

CR 7 The USIM shall calculate the SUCI using the ECIES scheme profile B.

Reference:

- TS 31.102 [19], clauses 5.3.48;

- TS 33.501 [24], clause Annex C.

#### 5.6.3.3 Test purpose

The purpose of this test is to verify that:

1) that the GET IDENTITY command is performed correctly by the ME.

2) the ME includes the SUCI received from the USIM within the GET IDENTITY response in the 5GS mobile identity IE.

#### 5.6.3.4 Method of test

##### 5.6.3.4.1 Initial conditions

The values of the 5G-NR UICC – non-IMSI SUPI Type as defined in clause 4.5.10 of the present document are used with the following exception:

**EFUST** (USIM Service Table)

Services defined in Releases higher than Rel-16 might be available.

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°125: |  | SUCI calculation by the USIM | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx1 111x | xxxx xxxx |  |  |

**EFSUPI\_NAI** (SUPI as Network Access Identifier)

Logically: verylongusername1@3gpp.com

SUPI Type: NSI

Username: verylongusername1

Realm: 3gpp.com

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 80 | 1A | 76 | 65 | 72 | 79 | 6C | 6F | 6E | 67 | 75 | 73 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 65 | 72 | 6E | 61 | 6D | 65 | 31 | 40 | 33 | 67 | 70 | 70 |
|  | **B25** | **B26** | **B27** | **B28** |
|  | 2E | 63 | 6F | 6D |

5G-NR UICC is configured with:

Protection Scheme Identifier: ECIES scheme profile B

Key Index: 1

Home Network Public Key Identifier: 27

Home Network Public Key:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 04 | 72 | DA | 71 | 97 | 62 | 34 | CE | 83 | 3A | 69 | 07 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 42 | 58 | 67 | B8 | 2E | 07 | 4D | 44 | EF | 90 | 7D | FB |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** | **B33** | **B34** | **B35** | **B36** |
|  | 4B | 3E | 21 | C1 | C2 | 25 | 6E | BC | D1 | 5A | 7D | ED |
|  | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** |
|  | 52 | FC | BB | 09 | 7A | 4E | D2 | 50 | E0 | 36 | C7 | B9 |
|  | **B49** | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** | **B57** | **B58** | **B59** | **B60** |
|  | C8 | C7 | 00 | 4C | 4E | ED | C4 | F0 | 68 | CD | 7B | F8 |
|  | **B61** | **B62** | **B63** | **B64** | **B65** |
|  | D3 | F9 | 00 | E3 | B4 |

EFSUCI\_Calc\_Info (Subscription Concealed Identifier Calculation Information EF): Not available to the ME.

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

The NG-SS shall be configured with Home Network Private Key for profile B:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | F1 | AB | 10 | 74 | 47 | 7E | BC | C7 | F5 | 54 | EA | 1C |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 5F | C3 | 68 | B1 | 61 | 67 | 30 | 15 | 5E | 00 | 41 | AC |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
|  | 44 | 7D | 63 | 01 | 97 | 5F | EC | DA |

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.6.3.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | READ EFUST, EFSUPI\_NAI | (Evaluation of service settings) | (CR 1) (CR 2) (CR 3) |  |
| 2 | ME > TT | Send GET IDENTITY | The ME sends a GET IDENTITY command with Identity Context in P2 as SUCI (0x01) to the 5G-NR UICC | CR 5 CR 6 | A.2/1 OR A.2/2 |
| 2a | USIM | Perform SUCI calculation | The USIM shall calculate the SUCI using the ECIES scheme profile B | (CR 7) |  |
| 3 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" | CR 1 CR 2 CR 3 CR 7 |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | The TT sends a REGISTRATION ACCEPT with 5G‑GUTI |  |  |
| 5 | UE > TT | Send REGISTRATION COMPLETE |  | CR 4 |  |

#### 5.6.3.5 Acceptance criteria

CR 1, CR 2, CR 3 and CR 7 are implicitly verified in step 3). The conformance requirements are met if the 5GS mobile identity IE in the REGISTRATION REQUEST performed in step 3) includes the following values:

- SUPI format: 1

- NAI format for the SUCI: type1.rid17.schid2.hnkey27.ecckey<ECC ephemeral public key>.cip<encryption of "verylongusername1">.mac<MAC tag value>@3gpp.com

- SUPI Type: 1

- Home Network Identifier: 3gpp.com

- Routing indicator: 17

- Protection scheme ID: 02

- Home network public key ID: 27

- Scheme output: ECC ephemeral public key, encryption of "verylongusername1" and MAC tag value

CR 5 and CR 6 can be verified by a method explicitly verifying the correct execution and contents of the GET IDENTITY command (A.2/1 or A.2/2).

CR 4 is met if the UE sends REGISTRATION COMPLETE message to the TT (NG-SS) in step 5).

CR 1, CR 2, CR 3 may optionally be verified in step 1) using explicit verification (A.2/1, A.2/2).

CR 7 may optionally be verified in step 2a) using explicit verification (A.2/1, A.2/2).

Example with test data from 3GPP TS 33.501 [43] Annex C:

type1.rid17.schid2.hnkey27.ecckey03759BB22C563D9F4A6B3C1419E543FC2F39D6823F02A9D71162B39399218B244B.cipBE22D8B9F856A52ED381CD7EAF4CF2D525.mac3CDDC61A0A7882EB@3gpp.com

### 5.6.4 UE identification after SUPI is changed

#### 5.6.4.1 Definition and applicability

A globally unique temporary user identity for 5GS-based services, the 5G globally unique temporary identity (5G-GUTI), is used for identification within the signalling procedures. A UE supporting N1 mode includes a valid 5G-GUTI, if any is available, in the REGISTRATION REQUEST and DEREGISTRATION REQUEST messages.

#### 5.6.4.2 Conformance requirement

CR 1 The following 5GMM parameters shall be stored on the USIM if the corresponding file is present:

- 5G-Globally Unique Temporary Identifier (5G-GUTI);

- Last visited registered Tracking Area Identity in 5GS (TAI);

- 5GS update status;

- 5G NAS security context parameters from a full native 5G NAS security context.

NOTE: The presence and format of corresponding files on the USIM is specified in 3GPP TS 31.102 [4].

Reference:

- TS 31.102 [19], clauses 4.4.11.2

CR 2 If the corresponding file is not present on the USIM, these 5GMM parameters are stored in a non-volatile memory in the ME together with the SUPI from the USIM (EFSUPI\_NAI). These 5GMM parameters can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory; else the UE shall delete the 5GMM parameters.

Reference:

- TS 24.501 [25], clauses 5.3.3, 5.5.1.2 and Annex C.

CR 3 The ME correctly performs the READ BINARY command on EFSUPI\_NAI.

References:

- TS 31 101 [33], clause 11.1.3;

- ETSI TS 102 221 [8], clause 11.1.3 and 14.1.1.

CR 4 The ME shall use the GET IDENTITY command to retrieve the SUCI calculated by the USIM.

Reference:

- TS 31.102 [19], clause 5.3.48.

#### 5.6.4.3 Test purpose

The purpose of this test is to verify that:

1. the READ EFSUPI\_NAI command is performed correctly by the ME.
2. the ME deletes the 5GMM parameters from non-volatile memory in case SUPI is changed.
3. the GET IDENTITY command is performed correctly by the ME.
4. the ME includes the SUCI received from the USIM within GET IDENTITY response in the 5GS mobile identity IE.

#### 5.6.4.4 Method of test

##### 5.6.4.4.1 Initial conditions

The values of the 5G-NR UICC – non-IMSI SUPI Type as defined in clause 4.5.10 of the present document are used with the following exception:

**EFUST** (USIM Service Table)

Services defined in Releases higher than Rel-16 might be available.

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°125: |  | SUCI calculation by the USIM | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx1 111x | xxxx xxxx |  |  |

5G-NR UICC is configured with:

Protection Scheme Identifier: null-scheme

Key Index: 0

EFSUCI\_Calc\_Info (Subscription Concealed Identifier Calculation Information EF): Not available to the ME.

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.6.4.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | READ EFUST, EFSUPI\_NAI | Evaluation of service settings.  Verification of the READ command on EFSUPI\_NAI | (CR 1) (CR 2) CR 3 | A.2/1 OR A.2/2 |
| 2 | ME > TT | Send GET IDENTITY | The ME sends a GET IDENTITY command with Identity Context in P2 as SUCI (0x01) to the 5G-NR UICC | CR 4 | A.2/1 OR A.2/2 |
| 3 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST with 5GS registration type IE as "initial registration" |  |  |
| 4 | TT > UE | Send REGISTRATION ACCEPT | The REGISTRATION ACCEPT sent by the TT contains:  - 5G-GUTI: "24408300010266436587"  - 5GS TAI list with TAI with:  - TAI: 244/083/000001 | CR 1 CR 2 |  |
| 5 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |
| 6 | USER/TT | Power off/deactivate the UE, then run activation of the UE | Before power on the SUPI value is set to: 00-00-5E-00-53-00@5gc.mnc012.mcc345.3gppnetwork.org to 00-00-5E-00-53-01@5gc.mnc012.mcc345.3gppnetwork.org |  |  |
| 7 | UE | READ EFSUPI\_NAI | Verification of the READ command on EFSUPI\_NAI | CR 3 | A.2/1 OR A.2/2 |
| 8 | ME > TT | Send GET IDENTITY | The ME sends a GET IDENTITY command with Identity Context in P2 as SUCI (0x01) to the 5G-NR UICC | CR 4 | A.2/1 OR A.2/2 |
| 9 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" with the new SUCI corresponding to the new SUPI value | CR 1 CR 2 |  |

#### 5.6.4.5 Acceptance criteria

CR 1 and CR 2 are implicitly verified in step 5). The conformance requirements are met if the REGISTRATION ACCEPT performed in step 4) contains:

- 5G GUTI: 24408300010266436587

- TAI: 244/083/000001

and the REGISTRATION REQUEST performed in step 10) includes the new SUCI:

- SUPI format: 2

- NAI format for the SUCI: type3.rid17.schid0.userid00-00-5E-00-53-01@5gc.mnc012.mcc345.3gppnetwork.org

- SUPI Type: 3

- Home Network Identifier: 5gc.mnc012.mcc345.3gppnetwork.org

- Routing indicator: 17

- Protection scheme ID: 00

- Home network public key ID: 0

- Scheme output: 00-00-5E-00-53-01

CR 3 can be explicitly verified. The requirement is met if the READ command on EFSUPI\_NAI is correctly performed in step 1) and step 7) (A.2/1 or A.2/2).

CR 4 can be verified by a method explicitly verifying the correct execution and contents of the GET IDENTITY command in step 2) and step 8) (A.2/1 or A.2/2).

CR 1 and CR 2 may optionally be verified in step 1) using explicit verification (A.2/1, A.2/2).

### 5.6.5 UE identification by SUCI during initial registration – SUCI calculation by ME using profile A

#### 5.6.5.1 Definition and applicability

If the operator's decision is that the ME shall calculate the SUCI, the Home Network Operator shall provision a list of the Protection Scheme Identifiers that the operator allows in the USIM. The list of Protection Scheme Identifiers in the USIM may contain one or more Protection Scheme Identifiers in order of their priority. The ME shall read the SUCI calculation information from the USIM, including the SUPI, the Home Network Public Key, the Home Network Public Key Identifier, and the list of Protection Scheme Identifiers. The ME shall select the protection scheme from its supported schemes that has the highest priority in the list obtained from the USIM.

#### 5.6.5.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the ME if Service n°124 is available and Service n°125 is not available in EFUST

Reference:

- TS 31.102 [19], clause 5.3.47.

CR 2 SUPI is available in EFSUPI\_NAI if Service n°130 is available in EFUST

Reference:

- TS 31.102 [19], clauses 4.4.11.10, 7.5.2 and Annex N.

CR 3 A subscriber identifier is in the form of a SUPI in NAI format

References:

- TS 31.102 [19], clause 4.4.11.10.

CR 4 The SUPI may contain:

- a NSI, used for private networks as defined in TS 22.261 [36] or

- a GLI and an operator identifier of the 5GC operator, used for supporting FN-BRGs, as further described in TS 23.316 [56] or

- a GCI and an operator identifier of the 5GC operator, used for supporting FN-CRGs and 5G-CRG, as further described in TS 23.316 [56].

References:

- TS 22.261 [36], clause 8.5;

- TS 23.316 [56], clause 4.7.3, 4.7.4, 4.7.8 and 4.7.9.

CR 5 As part of the SUCI calculation performed by the ME, the ME performs the reading procedure for EFSUCI\_Calc\_Info.

Reference:

- TS 31.102 [19], clause 4.11.8 and 5.3.47.

CR 6 The ME shall calculate the SUCI using the ECIES scheme profile A if highest priority of the protection schemes listed in the USIM is the ECIES scheme profile A.

Reference:

- TS 31.102 [19], clauses 5.3.47;

- TS 33.501 [24], clause Annex C.

#### 5.6.5.3 Test purpose

The purpose of this test is to verify that:

1) that the READ EFSUCI\_Calc\_Info, EFRouting\_Indicator and EFSUPI\_NAI commands are performed correctly by the ME;

2) the ME performs SUCI calculation procedure using the profile with the highest priority (i.e. ECIES scheme profile A and the Home Network Public Key).

#### 5.6.5.4 Method of test

##### 5.6.5.4.1 Initial conditions

The values of the 5G-NR UICC – non-IMSI SUPI Type as defined in clause 4.5.10 of the present document are used with the following exception:

**EFSUCI\_Calc\_Info** (Subscription Concealed Identifier Calculation Information EF)

Logically:

Protection Scheme Identifier List data object:

Protection Scheme Identifier 1 – ECIES scheme profile A

Key Index 1: 1

Protection Scheme Identifier 2 – ECIES scheme profile B

Key Index 2: 2

Protection Scheme Identifier 3 – null-scheme

Key Index 3: 0

Home Network Public Key List data object

Home Network Public Key 1 Identifier: 30

Home Network Public Key 1:

- 5A 8D 38 86 48 20 19 7C 33 94 B9 26 13 B2 0B 91 63 3C BD 89 71 19 27 3B F8 E4 A6 F4 EE C0 A6 50

Home Network Public Key 2 Identifier: 27

Home Network Public Key 2:

- 04 72 DA 71 97 62 34 CE 83 3A 69 07 42 58 67 B8 2E 07 4D 44 EF 90 7D FB 4B 3E 21 C1 C2 25 6E BC D1 5A 7D ED 52 FC BB 09 7A 4E D2 50 E0 36 C7 B9 C8 C7 00 4C 4E ED C4 F0 68 CD 7B F8 D3 F9 00 E3 B4

**EFSUPI\_NAI** (SUPI as Network Access Identifier)

Logically: verylongusername1@3gpp.com

SUPI Type: NSI

Username: verylongusername1

Realm: 3gpp.com

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 80 | 1A | 76 | 65 | 72 | 79 | 6C | 6F | 6E | 67 | 75 | 73 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 65 | 72 | 6E | 61 | 6D | 65 | 31 | 40 | 33 | 67 | 70 | 70 |
|  | **B25** | **B26** | **B27** | **B28** |
|  | 2E | 63 | 6F | 6D |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

The NG-SS shall be configured with Home Network Private Key for profile A:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | C5 | 3C | 22 | 20 | 8B | 61 | 86 | 0B | 06 | C6 | 2E | 54 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 06 | A7 | B3 | 30 | C2 | B5 | 77 | AA | 55 | 58 | 98 | 15 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
|  | 10 | D1 | 28 | 24 | 7D | 38 | BD | 1D |

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.6.5.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | READ EFUST, EFSUPI\_NAI, EFRouting\_Indicator and EFSUCI\_Calc\_Info | (Evaluation of service settings)  Verification of the READ command and the EF contents | (CR 1) (CR 2) CR 5 | A.2/1 OR A.2/2 |
| 1a | ME | Perform SUCI calculation | The ME shall calculate the SUCI using the ECIES scheme profile A | (CR 6) |  |
| 2 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" | CR 1 CR 2 CR 3 CR 4 CR 6 |  |
| 3 | TT > UE | Send REGISTRATION ACCEPT | The TT sends a REGISTRATION ACCEPT with 5G‑GUTI |  |  |
| 4 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |

#### 5.6.5.5 Acceptance criteria

CR 1, CR 2, CR 3, CR 4 and CR 6 are implicitly verified in step 2). The conformance requirements are met if the 5GS mobile identity IE in the REGISTRATION REQUEST performed in step 2) includes the following values:

- SUPI format: 1

- NAI format for the SUCI: type1.rid17.schid1.hnkey30.ecckey<ECC ephemeral public key>.cip<encryption of "verylongusername1">.mac<MAC tag value>@3gpp.com

- SUPI Type: 1

- Home Network Identifier: 3gpp.com

- Routing indicator: 17

- Protection scheme ID: 01

- Home network public key ID: 30

- Scheme output: ECC ephemeral public key, encryption of "verylongusername1" and MAC tag value

CR 1 and CR 2 may be explicitly verified in step 1) if the READ commands on EFUST and EFSUPI\_NAI are analysed using either of the methods A.2/1 or A.2/2. CRs for explicit contents verification of EFRouting\_Indicator and EFSUCI\_Calc\_Info are not defined.

CR 5 is explicitly verified in step 1). The requirement is met if EFSUCI\_Calc\_Info is read (A.2/1 or A.2/2).

CR 6 may optionally be explicitly verified in step 1a) by any method tracing the ME behaviour.

Example with test data from 3GPP TS 33.501 [43] Annex C:

type1.rid17.schid1.hnkey30.ecckey977D8B2FDAA7B64AA700D04227D5B440630EA4EC50F9082273A26BB678C92222.cip8E358A1582ADB15322C10E515141D2039A.mac12E1D7783A97F1AC@3gpp.com

### 5.6.6 UE identification by SUCI during initial registration – SUCI calculation by ME using profile A

#### 5.6.6.1 Definition and applicability

If the operator's decision is that the ME shall calculate the SUCI, the Home Network Operator shall provision a list of the Protection Scheme Identifiers that the operator allows in the USIM. The list of Protection Scheme Identifiers in the USIM may contain one or more Protection Scheme Identifiers in order of their priority. The ME shall read the SUCI calculation information from the USIM, including the SUPI, the Home Network Public Key, the Home Network Public Key Identifier, and the list of Protection Scheme Identifiers. The ME shall select the protection scheme from its supported schemes that has the highest priority in the list obtained from the USIM.

#### 5.6.6.2 Conformance requirement

CR 1 SUCI calculation procedure shall be performed by the ME if Service n°124 is available and Service n°125 is not available in EFUST

Reference:

- TS 31.102 [19], clause 5.3.47.

CR 2 SUPI is available in EFSUPI\_NAI if Service n°130 is available in EFUST

Reference:

- TS 31.102 [19], clauses 4.4.11.10, 7.5.2 and Annex N.

CR 3 A subscriber identifier is in the form of a SUPI in NAI format

References:

- TS 31.102 [19], clause 4.4.11.10.

CR 4 The SUPI may contain:

- a NSI, used for private networks as defined in TS 22.261 [36] or

- a GLI and an operator identifier of the 5GC operator, used for supporting FN-BRGs, as further described in TS 23.316 [56] or

- a GCI and an operator identifier of the 5GC operator, used for supporting FN-CRGs and 5G-CRG, as further described in TS 23.316 [56].

References:

- TS 22.261 [36], clause 8.5;

- TS 23.316 [56], clause 4.7.3, 4.7.4, 4.7.8 and 4.7.9.

CR 5 As part of the SUCI calculation performed by the ME, the ME performs the reading procedure for EFSUCI\_Calc\_Info.

Reference:

- TS 31.102 [19], clause 4.11.8 and 5.3.47.

CR 6 The ME shall calculate the SUCI using the ECIES scheme profile B if highest priority of the protection schemes listed in the USIM is the ECIES scheme profile B.

Reference:

- TS 31.102 [19], clauses 5.3.47;

- TS 33.501 [24], clause Annex C.

#### 5.6.6.3 Test purpose

The purpose of this test is to verify that:

1) that the READ EFSUCI\_Calc\_Info, EFRouting\_Indicator and EFSUPI\_NAI commands are performed correctly by the ME;

2) the ME performs SUCI calculation procedure using the profile with the highest priority (i.e. ECIES scheme profile B and the Home Network Public Key).

#### 5.6.6.4 Method of test

##### 5.6.6.4.1 Initial conditions

The values of the 5G-NR UICC – non-IMSI SUPI Type as defined in clause 4.5.10 of the present document are used with the following exception:

**EFSUPI\_NAI** (SUPI as Network Access Identifier)

Logically: verylongusername1@3gpp.com

SUPI Type: NSI

Username: verylongusername1

Realm: 3gpp.com

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 80 | 1A | 76 | 65 | 72 | 79 | 6C | 6F | 6E | 67 | 75 | 73 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 65 | 72 | 6E | 61 | 6D | 65 | 31 | 40 | 33 | 67 | 70 | 70 |
|  | **B25** | **B26** | **B27** | **B28** |
|  | 2E | 63 | 6F | 6D |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

The NG-SS shall be configured with Home Network Private Key for profile B:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | F1 | AB | 10 | 74 | 47 | 7E | BC | C7 | F5 | 54 | EA | 1C |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 5F | C3 | 68 | B1 | 61 | 67 | 30 | 15 | 5E | 00 | 41 | AC |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** |
|  | 44 | 7D | 63 | 01 | 97 | 5F | EC | DA |

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 5.6.6.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | READ EFUST, EFSUPI\_NAI, EFRouting\_Indicator and EFSUCI\_Calc\_Info | (Evaluation of service settings)  Verification of the READ command and the EF contents | (CR 1) (CR 2) CR 5 | A.2/1 OR A.2/2 |
| 1a | ME | Perform SUCI calculation | The ME shall calculate the SUCI using the ECIES scheme profile B | (CR 6) |  |
| 2 | UE > TT | Send REGISTRATION REQUEST | The UE sends a REGISTRATION REQUEST with 5GS registration type IE as "initial registration" and 5GS mobile identity information element type "SUCI" | CR 1 CR 2 CR 3 CR 4 CR 6 |  |
| 3 | TT > UE | Send REGISTRATION ACCEPT | The TT sends a REGISTRATION ACCEPT with 5G‑GUTI |  |  |
| 4 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |

#### 5.6.5.5 Acceptance criteria

CR 1, CR 2, CR 3, CR 4 and CR 6 are implicitly verified in step 2). The conformance requirements are met if the 5GS mobile identity IE in the REGISTRATION REQUEST performed in step 2) includes the following values:

- SUPI format: 1

- NAI format for the SUCI: type1.rid17.schid2.hnkey27.ecckey<ECC ephemeral public key>.cip<encryption of "verylongusername1">.mac<MAC tag value>@3gpp.com

- SUPI Type: 1

- Home Network Identifier: 3gpp.com

- Routing indicator: 17

- Protection scheme ID: 02

- Home network public key ID: 27

- Scheme output: ECC ephemeral public key, encryption of "verylongusername1" and MAC tag value

CR 1 and CR 2 may be explicitly verified in step 1) if the READ commands on EFUST and EFSUPI\_NAI are analysed using either of the methods A.2/1 or A.2/2. CRs for explicit contents verification of EFRouting\_Indicator and EFSUCI\_Calc\_Info are not defined.

CR 5 is explicitly verified in step 1). The requirement is met if EFSUCI\_Calc\_Info is read (A.2/1 or A.2/2).

CR 6 may optionally be explicitly verified in step 1a) by any method tracing the ME behaviour.

Example with test data from 3GPP TS 33.501 [43] Annex C:

type1.rid17.schid2.hnkey27.ecckey03759BB22C563D9F4A6B3C1419E543FC2F39D6823F02A9D71162B39399218B244B.cipBE22D8B9F856A52ED381CD7EAF4CF2D525.mac3CDDC61A0A7882EB@3gpp.com

# 6 Security related Tests

## 6.1 PIN handling

### 6.1.1 Entry of PIN

#### 6.1.1.1 Definition and applicability

The PIN is a number used to authenticate the user to the UICC for security. Entry of the correct PIN allows PIN-protected data to be accessed over the UICC-Terminal interface.

#### 6.1.1.2 Conformance requirement

CR 1 Following insertion of the UICC and powering on the UE, the ME shall check the state of the PIN. If the PIN is enabled, the UE asks the user for PIN verification

CR 2 The VERIFY PIN function verifies the PIN presented by the ME to the UICC.

CR 3 Indication of a successful pin verification is presented to user.

Reference:

- ETSI TS 102 221 [5], clauses 9 and 11.1.9;

- TS 31.102 [19], clause 6;

- TS 22.030 [44], clause 6.6.1.

#### 6.1.1.3 Test purpose

The purpose of this test is to verify that:

1) the PIN verification procedure is performed by the UE correctly,

2) the basic public MMI string is supported.

#### 6.1.1.4 Method of test

##### 6.1.1.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled.

##### 6.1.1.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | Run an initial activation |  |  |  |
| 2 | UE > USER | Ask for the PIN to be entered | The ME indicates to the User that a PIN needs to be entered | CR 1 |  |
| 3 | USER > UE | Enter the sequence "0000#" | The UE initiates the VERIFY PIN command on the UICC and gets a confirmation from the UICC. | CR 2 | A.2/1 OR A.2/2 |
| 4 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 3 |  |

#### 6.1.1.5 Acceptance criteria

CR 1 is met if the ME asks the user for the PIN verification.

CR 2 can be explicitly verified at step 3) via supported options A.2/1 OR A.2/2, ensuring that the VERIFY PIN command is correctly sent via the ME to the UICC, with parameter P2 = "01".

CR 3 is verified on the ME. CR 3 is met if an indication is given to the user showing that this procedure was executed successfully, e.g. by displaying "OK".

### 6.1.2 Change of PIN

#### 6.1.2.1 Definition and applicability

The PIN may be changed by the user, by entering the old and new PIN. The length of the PIN is between 4 and 8 digits.

#### 6.1.2.2 Conformance requirement

CR1 The ME shall support the change of PIN procedure as defined in ETSI TS 102 221 [5], clause 11.1.10.

CR2 Indication of a successful pin change is presented to the user, and only the new pin shall be accepted for further PIN verification.

Reference:

- ETSI TS 102 221 [8], clauses 9 and 11.1.10;

- TS 31.102 [19], clause 6;

- TS 22.030 [44], clause 6.6.2.

#### 6.1.2.3 Test purpose

The purpose of this test is to verify that:

1) the PIN substitution procedure is performed correctly by the UE,

2) the basic public MMI string is supported,

3) the old PIN shall no longer be accepted,

4) the new PIN shall be accepted.

#### 6.1.2.4 Method of test

##### 6.1.2.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled.

##### 6.1.2.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | Run an initial activation |  |  |  |
| 2 | USER > UE | Enter the sequence "0000#" when the UE is in the "PIN check" mode |  |  |  |
| 3 | USER > UE | After USIM initialisation is completed, enter "\*\*04\*0000\*01234567\*01234567#" or initiate an equivalent MMI dependent procedure to change the PIN from '0000' to '01234567' | The UE initiates the CHANGE PIN command on the UICC and gets a confirmation from the UICC. | CR 1 | A.2/1 OR A.2/2 |
| 4 | UE > USER | An indication is given to the user showing whether this procedure was successful |  | CR 2 |  |
| 5 | UE | Deactivate and re-activate |  |  |  |
| 6 | USER > UE | Enter the sequence "0000#" when the UE is in the "PIN check" mode | The UE verifies the entered PIN command on the UICC | CR 1 | A.2/1 OR A.2/2 |
| 7 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be unsuccessful | CR 2 |  |
| 8 | UE | Deactivate and re-activate |  |  |  |
| 9 | USER > UE | Enter the sequence "1234567#" when the UE is in the "PIN check" mode | The UE verifies the entered PIN command on the UICC and gets a confirmation from the UICC | CR 1 | A.2/1 OR A.2/2 |
| 10 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |

#### 6.1.2.5 Acceptance criteria

CR 1 is explicitly verified at:

* step 3) by analysing the CHANGE PIN command;
* step 6) and step 9) by analysing the VERIFY PIN command;

sent via the ME to the UICC, with parameter P2 = "01" (via any supported option A.2/1 OR A.2/2).

CR 2 is verified at:

- step 4) on the ME by ensuring that an indication of a successful execution of the command and the acceptance of the new PIN is given;

- step 7) on the ME by ensuring that an indication of an unsuccessful execution of the command is given;

- step 10) on the ME by ensuring that an indication of a successful execution of the command is given.

### 6.1.3 Unblock PIN

#### 6.1.3.1 Definition and applicability

After three consecutive wrong entries of the PIN, the PIN shall become blocked. The Unblock PIN command is used to unblock the PIN. This function may be performed whether or not the PIN is blocked.

#### 6.1.3.2 Conformance requirement

CR 1 The UE shall support the Unblock PIN command, as defined in ETSI TS 102 221 [8], clause 11.1.13.

CR 2 Indication of a successful and unsuccessful unblock PIN is presented to user

CR 3 Only the new pin shall be accepted for further PIN verification.

CR 4 Indication of a successful and unsuccessful pin verification is presented to the user and only the new pin shall be accepted for further PIN verification.

Reference:

- ETSI TS 102 221 [8], clause 11.1.13;

- TS 31.102 [19], clause 6;

- TS 22.030 [44], clause 6.6.3.

#### 6.1.3.3 Test purpose

The purpose of this test is to verify that:

1) the PIN unblocking procedure is performed correctly,

2) the basic public MMI string is supported,

#### 6.1.3.4 Method of test

##### 6.1.3.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled.

##### 6.1.3.4.2 Procedure

##### 6.1.3.4.2 Procedure

Sequence A:

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run an initial activation |  |  |  |
| 2 | USER > UE | Enter the correct PIN | (If test 6.1.2 has been executed before this probably is "01234567#") |  |  |
| 3 | USER > UE | After USIM initialisation is completed, enter "\*\*05\*11111111\*1234\*1234#" | The UE initiates the UNBLOCK PIN command on the UICC and gets a confirmation from the UICC | CR 1 | A.2/1 OR A2/2 |
| 4 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |
| 5 | UE | Deactivate and re-activate |  |  |  |
| 6 | USER > UE | When the UE is in the "PIN check" mode, enter the new PIN: "1234#" | The UE verifies the entered PIN command on the UICC and gets a confirmation from the UICC | CR 3 | A.2/1 OR A2/2 |
| 7 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 4 |  |
| 8 | UE | Deactivate and re-activate the UE |  |  |  |
| 9 | USER > UE | When the UE is in the "PIN check" mode, enter a wrong PIN three times | The UE verifies the entered PIN command on the UICC and gets a confirmation from the UICC | CR 3 | A.2/1 OR A2/2 |
| 10 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be unsuccessful | CR 4 |  |
| 11 | USER > UE | Enter "\*\*05\*11111111\*2468\*2468#" | The UE verifies the entered UNBLOCK PIN command on the UICC and gets a confirmation from the UICC | CR 1 | A.2/1 OR A2/2 |
| 12 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |
| 13 | UE | Deactivate and re-activate the UE |  |  |  |
| 14 | USER > UE | When the UE is in the "PIN check" mode, enter the new PIN "2468#" | The UE verifies the entered PIN command on the UICC and gets a confirmation from the UICC | CR 3 | A.2/1 OR A2/2 |
| 15 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 4 |  |

Sequence B:

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run an initial activation |  |  |  |
| 2 | USER > UE | Enter the wrong PIN three times |  |  |  |
| 3 | USER > UE | The user shall initiate an MMI dependent procedure to unblock the PIN with unblock code '11111111' and a new PIN '2468' ("\*\*05\*11111111\*1234\*1234#") | The UE initiates the UNBLOCK PIN command on the UICC and gets a confirmation from the UICC | CR 1 | A.2/1 OR A2/2 |
| 4 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |
| 5 | UE | Deactivate and re-activate |  |  |  |
| 6 | USER > UE | When the UE is in the "PIN check" mode, enter the new PIN: "2468#" | The UE verifies the entered PIN command on the UICC and gets a confirmation from the UICC | CR 3 | A.2/1 OR A2/2 |
| 7 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 4 |  |

#### 6.1.3.5 Acceptance criteria

Sequence A:

CR 1 is explicitly verified at step 3) and step 11) by analysing the UNBLOCK PIN command sent via the ME to the UICC, with parameter P2 = "01" (via any supported options A.2/1 OR A.2/2).

CR 2 is verified at step 4) and step 12) on the UE by ensuring UE shall give an indication following a successful execution of the command and new PIN is accepted.

CR 3 is explicitly verified at step 6), step 9) and step 14) by analysing the status word for both successful and unsuccessful PIN entry.

CR 4 is verified at step 7) and step 15) on the UE by ensuring UE shall give an indication of a successful execution of the command and PIN is accepted, at step 10) on UE by ensuring UE shall give an indication that execution of the command failed.

Sequence B:

CR 1 is explicitly verified at step 3) by analysing the UNBLOCK PIN command sent via the ME to the UICC, with parameter P2 = "01" (via any supported options A.2/1 OR A.2/2) after UE indicates that PIN has been blocked in step 2).

CR 2 is verified at step 4) on the ME by ensuring UE shall give an indication following a successful execution of the command and new PIN is accepted.

CR 3 is explicitly verified at step 6) by analysing the status word for successful PIN entry.

CR 4 is verified at step 7) the requirement is met if the UE gets an indication that the PIN is accepted after the successful entry of the correct PIN value.

### 6.1.4 Entry of PIN2

#### 6.1.4.1 Definition and applicability

The PIN2 is a number used to authenticate the user to the UICC for security. Entry of the correct PIN2 allows PIN2‑protected data to be accessed over the UICC-Terminal interface.

#### 6.1.4.2 Conformance requirement

CR 1 Before allowing the access to PIN2 protected data, the ME shall ask the user for PIN2 verification. Only after presenting the PIN2, the user shall get access to these data.

CR 2 The VERIFY PIN function verifies the PIN2 presented by the ME to the UICC.

CR 3 Indication of a successful PIN2 verification is presented to the user.

Reference:

- ETSI TS 102 221 [8], clauses 9 and 11.1.9;

- TS 31.102 [19], clause 6;

- TS 22.030 [44], clause 6.6.1.

#### 6.1.4.3 Test purpose

The purpose of this test is to verify that:

1) the PIN2 verification procedure is performed by the UE correctly.

2) the basic public MMI string is supported.

#### 6.1.4.4 Method of test

##### 6.1.4.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

##### 6.1.4.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | Run an initial activation |  |  |  |
| 2 | USER > UE | When the UE is in the "PIN check" mode, correct PIN shall be entered |  |  |  |
| 3 | USER > UE | The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN) |  |  |  |
| 4 | USER > UE | Enter the sequence "9999#" in "PIN2 check" mode | When the UE is in the "PIN2 check" mode, the sequence "9999#" shall be entered and the ME is verifying the command | CR 1 | A.2/1 OR A2/2 |
| 5 | UE > USER | An indication is given to the User showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |

#### 6.1.4.5 Acceptance criteria

CR 1 is explicitly verified at step 4) via supported options A.2/1 OR A.2/2, ensuring that the VERIFY PIN command is correctly sent via the ME to the UICC, with parameter P2 = "81".

CR 2 is verified on the ME at step 5). CR 2 is met if an indication is given to the user showing that this procedure was executed successfully, e.g. by displaying "OK".

### 6.1.5 Change of PIN2

#### 6.1.5.1 Definition and applicability

The PIN2 may be changed by the user, by entering the old and new PIN2. The length of the PIN2 is between 4 and 8 digits.

#### 6.1.5.2 Conformance requirement

CR 1 The ME shall support the change of PIN2 procedure as defined in ETSI TS 102 221 [5], clause 11.1.10.

CR 2 Indication of a successful pin change is presented to user, and only the new pin shall be accepted for further PIN verification.

Reference:

- ETSI TS 102 221 [8], clauses 9 and 11.1.10;

- TS 31.102 [19], clause 6;

- TS 22.030 [44], clause 6.6.2.

#### 6.1.5.3 Test purpose

The purpose of this test is to verify that:

1) the PIN2 substitution procedure is performed correctly by the UE.

2) the basic public MMI string is supported.

3) the old PIN shall no longer be accepted.

4) the new PIN shall be accepted.

#### 6.1.5.4 Method of test

##### 6.1.5.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled and runs an initial activation with the correct PIN entered.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

##### 6.1.5.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | USER > UE | After USIM initialisation enter "\*\*042\*9999\*12345678\*12345678#" or initiate an equivalent MMI dependent procedure to change the PIN2 from '9999' to '12345678' | The UE initiates the CHANGE PIN command for PIN 2 on the UICC and gets a confirmation from the UICC. | CR 1 | A.2/1 OR A.2/2 |
| 2 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |
| 3 | UE | Deactivate and re-activate the UE |  |  |  |
| 4 | USER > UE | Enter the correct PIN |  |  |  |
| 5 | USER > UE | Access a PIN2 protected data field (e.g. perform an UPDATE FDN) |  |  |  |
| 6 | USER > UE | When the UE is in the "PIN2 check" mode, enter "9999#" | An incorrect PIN2 "9999#" is entered and cannot be verified | CR 1 | A.2/1 OR A2/2 |
| 7 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be unsuccessful | CR 2 |  |
| 8 | UE | Deactivate and re-activate the UE |  |  |  |
| 9 | USER > UE | Enter the correct PIN |  |  |  |
| 10 | USER > UE | Access a PIN2 protected data field (e.g. perform an UPDATE FDN) |  |  |  |
| 11 | USER > UE | When the UE is in the "PIN2 check" mode, enter "12345678#" | The correct PIN2 is entered and shall be verified | CR 1 | A.2/1 OR A2/2 |
| 12 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |

#### 6.1.5.5 Acceptance criteria

CR 1 is explicitly verified at:

- step 1) by analysing the CHANGE PIN command;

- step 6) and step 11) by analysing the VERIFY PIN sent via the ME to the UICC, with parameter P2 = "81"  
 (via any supported options A.2/1 OR A.2/2).

CR 2 is verified (i) at:

- step 2). CR 2 is met if an indication is given to the user showing that this procedure was executed successfully,  
 and new PIN is accepted, e.g. by displaying "OK";

- step 7). CR 2 is met if an indication is given to the user showing that the command failed;

- step 12). CR 2 is met if an indication is given to the user showing that the command was executed successfully.

### 6.1.6 Unblock PIN2

#### 6.1.6.1 Definition and applicability

After three consecutive wrong entries of the PIN2, the PIN2 shall become blocked. The Unblock PIN2 command is used to unblock the PIN2. This function may be performed whether or not the PIN2 is blocked.

#### 6.1.6.2 Conformance requirement

CR 1 The UE shall support the Unblock PIN2 command, as defined in ETSI TS 102 221 [5], clause 11.1.13.

CR 2 Indication of a successful unblock PIN2 is presented to user

CR 3 Only the new PIN2 shall be accepted for further PIN2 verification.

CR 4 Indication of a successful and unsuccessful PIN2 verification is presented to user and only the new PIN2 shall be accepted for further PIN2 verification.

Reference:

- ETSI TS 102 221 [8], clauses 9 and 11.1.13;

- TS 31.102 [19], clause 6;

- TS 22.030 [44], clause 6.6.3.

#### 6.1.6.3 Test purpose

The purpose of this test is to verify that:

1) the PIN2 unblocking procedure is performed correctly.

2) the basic public MMI string is supported.

#### 6.1.6.4 Method of test

##### 6.1.6.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN/PIN2 enabled.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used.

##### 6.1.6.4.2 Procedure

Sequence A:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | USER > UE | Run an initial activation of the UE and enter the correct PIN |  |  |  |
| 2 | USER > UE | After USIM initialisation enter "\*\*052\*22222222\*1234\*1234#" | The UE sends the UNBLOCK PIN command with parameter P2 = "81" and gets a confirmation from the UICC | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |
| 4 | UE | Deactivate and re-activate the UE |  |  |  |
| 5 | USER > UE | Access a PIN2 protected data field (e.g. UPDATE FDN) |  |  |  |
| 6 | USER > UE | Enter the new PIN2: "1234#" | The correct new PIN2 is entered The UE verifies the entered PIN2 command on the UICC and gets a confirmation from the UICC | CR 3 | A.2/1 OR A.2/2 |
| 7 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 CR 3 CR 4 |  |
| 8 | UE | Deactivate and re-activate the UE |  |  |  |
| 9 | USER | The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN) |  |  |  |
| 10 | USER > UE | Enter a wrong PIN2 three times. | The UE verifies the entered PIN command on the UICC and gets a confirmation from the UICC | CR 3 | A.2/1 OR A.2/2 |
| 11 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be unsuccessful | CR 4 |  |
| 12 | USER > UE | Enter "\*\*052\*22222222\*3579\*3579#". | The UE sends the UNBLOCK PIN command with parameter P2 = "81" to the UICC and gets a confirmation from the UICC | CR 1 | A.2/1 OR A.2/2 |
| 13 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |
| 14 | UE | The UE is powered off and on and the correct PIN is entered |  |  |  |
| 15 | USER > UE | The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN) |  |  |  |
| 16 | USER > UE | Enter the new PIN2: "3579#" | The correct new PIN2 is entered The UE verifies the entered PIN2 command on the UICC and gets a confirmation from the UICC | CR 3 | A.2/1 OR A.2/2 |
| 17 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 4 |  |

Sequence B:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | Run initial activation of the UE and enter the correct PIN |  |  |  |
| 2 | USER > UE | Access a PIN2 protected data field (e.g. UPDATE FDN) |  |  |  |
| 3 | USER > UE | Enter a wrong PIN2 three times. | The UE verifies the entered PIN command on the UICC and gets a confirmation from the UICC |  |  |
| 4 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be unsuccessful | CR 4 |  |
| 5 | USER > UE | The user shall initiate an MMI dependent procedure to unblock the PIN2 with unblock code '22222222' and a new PIN '3579' | The UE sends the UNBLOCK PIN command with correct parameters to the UICC and gets a confirmation from the UICC | CR 1 | A.2/1 OR A.2/2 |
| ~~6~~ | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |
| 7 | UE | The UE is powered off and on and the correct PIN is entered. |  |  |  |
| 8 | USER | The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN) |  |  |  |
| 9 | USER > UE | Enter the new PIN2: "3579#" | The UE sends the VERIFY PIN command with correct parameters to the UICC and gets a confirmation from the UICC | CR 3 | A.2/1 OR A.2/2 |
| 10 | UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 4 |  |

#### 6.1.6.5 Acceptance criteria

Sequence A:

CR 1 is explicitly verified at step 2) and step 12) by analysing the UNBLOCK PIN command sent via ME to the UICC, with parameter P2 = "81" (via any supported options A.2/1 OR A.2/2).

CR 2 is verified at step 3), step 7) and step 15) on the UE by ensuring UE shall give an indication of a successful execution of the command and new PIN2 is accepted.

CR 3 is implicitly verified at step 7) if the successful execution is indicated.

CR 3 can be explicitly verified in step 6), step 10) and step 16) for both successful and unsuccessful PIN2 entry.

CR 4 is verified at step 7) and step 17) on the UE by ensuring UE shall give an indication of a successful execution of the command and PIN2 is accepted, at step 11) on UE by ensuring UE shall give an indication that execution of the command failed.

Sequence B:

CR 1 is explicitly verified at step 5) by analysing the UNBLOCK PIN command sent via ME to the UICC, with parameter P2 = "81" (via any supported options A.2/1 OR A.2/2) after UE indicates that PIN has been blocked in step 4).

CR 2 is verified at step 6) on the UE by ensuring UE shall give an indication following a successful execution of the command and new PIN2 is accepted.

CR 3 is explicitly verified at step 8) for a successful PIN2 entry.

CR 4 is verified at step 4) on the UE by ensuring UE shall give an indication following an unsuccessful execution and at step 10) providing an information following a successful execution of the command that PIN2 is accepted.

### 6.1.7 Replacement of PIN

#### 6.1.7.1 Definition and applicability

The Universal PIN may be used to replace a PIN used to authenticate the user to the UICC for security. In this case entry of the correct Universal PIN allows PIN-protected data to be accessed over the UICC-Terminal interface. ETSI TS 102 221 [8] defines the range of "01" to "08" as key reference of the PIN on a multi-verification capable UICC.

#### 6.1.7.2 Conformance requirement

CR 1 The UE shall support the usage of the Universal PIN as replacement PIN and the replacement procedure as defined in ETSI TS 102 221 [8], clause 11.1.11.

CR 2 The UE shall support the procedure to disable the replacement defined in ETSI TS 102 221 [5], clause 11.1.12.

CR 3 Only the new pin shall be accepted for further PIN verification.

CR 4 Indication of a successful and unsuccessful pin verification is presented to the user and only the new pin shall be accepted for further PIN verification

Reference:

- ETSI TS 102 221 [8], clauses 9, 11.1.11 and 11.1.12;

- TS 31.102 [19], clause 6.

#### 6.1.7.3 Test purpose

The purpose of this test is to verify that:

1) the PIN replacement is supported by the UE correctly.

2) the PIN replacement procedure is performed by the UE correctly.

3) the procedure to disable the PIN replacement is performed by the UE correctly.

#### 6.1.7.4 Method of test

##### 6.1.7.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled.

##### 6.1.7.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | USER > UE | When the UE is in the "PIN check" mode, the sequence "2468#" shall be entered. |  |  |  |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful |  |  |
| 3 | USER > UE | The user shall initiate an MMI dependent procedure to replace the PIN by the Universal PIN. |  |  |  |
| UE > UICC | DISABLE PIN | This is verifiable only if A2/x is supported. | CR 1 |  |
| USIM > UE | Check Status word |
| 4 | UE > USER | The UE is powered off and on. |  |  |  |
| 5 | USER > UE | When the UE is in the "PIN check" mode, the sequence "2468#" shall be entered. |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A2/x is supported. | CR 3 | A.2/1 OR A2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be unsuccessful | CR 4 |  |
| 6 |  | The correct Universal PIN is entered. |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A2/x is supported. | CR 3 | A.2/1 OR A2/2 |
| USIM > UE | Check Status word |
| UE | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 4 |  |
| 7 |  | Initiate an MMI dependent procedure to disable the replacement of the PIN by the Universal PIN |  |  |  |
| UE > UICC | ENABLE PIN | This is verifiable only if A2/x is supported. | CR 2 | A.2/1 OR A2/2 |
| USIM > UE | Check Status word |
| 8 | UE > USER | The UE is powered off and on. |  |  |  |
| 9 | USER > UE | When the UE is in the "PIN check" mode, the sequence "2839#" shall be entered. |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A2/x is supported. | CR 3 | A.2/1 OR A2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be unsuccessful | CR 4 |  |
| 10 | USER > UE | When the UE is in the "PIN check" mode correct PIN is entered. |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A2/x is supported. | CR 3 | A.2/1 OR A2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 4 |  |

#### 6.1.7.5 Acceptance criteria

CR 1 is explicitly verified at step 3 by analysing the DISABLE PIN command sent via the ME to the UICC, with parameter P1 = "91" and P2 = "01" (via any supported options A.2/1 OR A2/2).

CR 2 is explicitly verified at step 7) by analysing the ENABLE PIN command sent via the ME to the UICC, with parameter P2 = "01" (via any supported options A.2/1 OR A2/2)

CR 3 is explicitly verified at (i) step 5) by analysing the status word indicating that the PIN has not been accepted for the VERIFY PIN command sent by the ME to the UICC, with parameter P2 = "11", (ii) step 6) by analysing the status word indicating that the PIN has been accepted for the VERIFY PIN command sent by the ME to the UICC, with parameter P2 = "11", (iii) step 9) by analysing the status word indicating that the PIN has not been accepted for the VERIFY PIN command sent by the ME to the UICC, with parameter P2 = "01", (ii) step 10) by analysing the status word indicating that the PIN has been accepted for the VERIFY PIN command sent by the terminal to the UICC, with parameter P2 = "01".

CR 4 is verified (i) at step 5) and 9) on the UE by ensuring UE shall give an indication of an unsuccessful execution of the command and PIN is not accepted, (ii) at step 6) and 10) on the UE by ensuring UE shall give an indication of a successful execution of the command and PIN is accepted.

### 6.1.8 Change of Universal PIN

#### 6.1.8.1 Definition and applicability

The Universal PIN may be changed by the user, by entering the old and new Universal PIN. The length of the Universal PIN is between 4 and 8 digits.

#### 6.1.8.2 Conformance requirement

CR 1 The UE shall support the change of PIN procedure as defined in ETSI TS 102 221 [8], clause 11.1.10.

CR 2 Only the new pin shall be accepted for further PIN verification.

CR 3 Indication of a successful and unsuccessful pin verification is presented to the user and only the new pin shall be accepted for further PIN verification

Reference:

- ETSI TS 102 221 [8], clauses 9 and 11.1.10;

- TS 31.102 [19], clause 6.

#### 6.1.8.3 Test purpose

The purpose of this test is to verify that the PIN substitution procedure is performed correctly by the ME.

#### 6.1.8.4 Method of test

##### 6.1.8.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exception:

- The Universal PIN is used as a replacement of the PIN.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled and runs an initial activation with the correct Universal PIN entered.

##### 6.1.8.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | USER > UE | Initiate an MMI dependent procedure to change the Universal PIN to "01234567". | The UE sends the CHANGE PIN command with parameter P2 = "81" |  |  |
| UE > UICC | CHANGE PIN | This is verifiable only if A.2/x is supported. | CR 1 | A.2/1 OR A2/2 |
| USIM> UE | Check Status word |
| 2 | UE | The UE is powered off and on. |  |  |  |
| 3 | USER > UE | When the UE is in the "PIN check" mode, enter the new PIN: "2839#" |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 2 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE | An indication is given to the user showing whether this procedure was successful | This procedure shall be unsuccessful | CR 3 |  |
| 4 | UE | The UE is powered off and on. |  |  |  |
| 5 | USER > UE | When the UE is in the "PIN check" mode, enter the new PIN: "01234567#" |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 2 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 3 |  |

#### 6.1.8.5 Acceptance criteria

CR 1 is explicitly verified at step 1) by analysing both the CHANGE PIN command sent via the ME to the UICC, with parameter P2 = "11" (via any supported options A.2/1 OR A.2/2) and the corresponding status word indicating that the new (Universal) PIN is accepted.

CR 2 is explicitly verified at (i) step 3) by analysing the status word indicating that the entered (Universal) PIN has not been accepted for the VERIFY PIN command sent by the ME to the UICC, and at (ii) step 5) by analysing the status word indicating that the entered (Universal) PIN has been accepted for the VERIFY PIN command sent by the ME to the UICC.

CR 3 is verified at (i) step 3) on the UE by ensuring UE shall give an indication following an unsuccessful execution of the command and at (ii) step 5) on the UE by ensuring UE shall give an indication following a successful execution of the command.

### 6.1.9 Unblock Universal PIN

#### 6.1.9.1 Definition and applicability

After three consecutive wrong entries of the PIN, the PIN shall become blocked. The Unblock PIN command is used to unblock the PIN. This function may be performed whether or not the PIN is blocked.

#### 6.1.9.2 Conformance requirement

CR 1 The UE shall support the Unblock PIN command, as defined in ETSI TS 102 221 [8], clause 11.1.13.

CR 2 Only the new pin shall be accepted for further PIN verification.

CR 3 Indication of a successful and unsuccessful pin verification is presented to the user and only the new pin shall be accepted for further PIN verification

Reference:

- ETSI TS 102 221 [8], clause 11.1.13;

- TS 31.102 [19], clause 6.

#### 6.1.9.3 Test purpose

To verify that the PIN unblocking procedure is performed correctly.

#### 6.1.9.4 Method of test

##### 6.1.9.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled.

##### 6.1.9.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run initial activation of the UE and enter the correct PIN |  |  |  |
| 2 | USER > UE | The user shall initiate an MMI dependent procedure to unblock the Universal PIN and set the new Universal PIN value to "1234" |  |  |  |
| UE > UICC | UNBLOCK PIN | This is verifiable only if A.2/x is supported. | CR 1 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| 3 | UE | The UE is powered off and on. |  |  |  |
| 4 | USER > UE | Enter the new PIN: "1234#" |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 2 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 3 |  |
| 5 | UE | The UE is powered off and on. |  |  |  |
| 6 | USER > UE | Enter a wrong PIN three times. |  |  |  |
| UE > UICC | VERIFY PIN | This is repeated 3 times, and is verifiable only if A.2/x is supported. | CR 2 | A.2/1 OR A.2/2 |
| USIM> UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be unsuccessful | CR 3 |  |
| 7 | USER > UE | The user shall initiate an MMI dependent procedure to unblock the Universal PIN and set the new Universal PIN value to "2839". |  |  |  |
| UE > UICC | UNBLOCK PIN | This is verifiable only if A2/x is supported. | CR 1 | A.2/1 OR A.2/2 |
| USIM> UE | Check Status word |
| 8 | UE | The UE is powered off and on. |  |  |  |
| 9 | USER > UE | Enter the new PIN: "2839#" |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 2 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 3 |  |

#### 6.1.9.5 Acceptance criteria

CR 1 is explicitly verified at step 2) and step 7) by analysing both the UNBLOCK PIN command sent via the ME to the UICC, with parameter P2 = "11" (via any supported options A.2/1 OR A.2/2) and the corresponding status word indicating that the new (Universal) PIN is accepted.

CR 2 is explicitly verified at (i) step 4) and step 9) by analysing the status word indicating that the entered (Universal) PIN has been accepted for the VERIFY PIN command sent by the ME to the UICC, and at (ii) step 6 by analysing each status word(s) indicating that the entered (Universal) PIN has not been accepted for 3 consecutive VERIFY PIN command sent by the ME to the UICC and after the third entry the (Universal) PIN has been blocked.

CR 3 is verified (i) at step 4) on UE by either ensuring UE shall give an indication of a successful execution of the command and new (Universal) PIN is accepted, (ii) at step 6 on UE by ensuring UE shall give an indication that execution of the command failed and (Universal) PIN is blocked, (iii) at step 9) on UE by ensuring UE shall give an indication of a successful execution of the command and new (Universal) PIN is accepted.

### 6.1.10 Entry of PIN on multi-verification capable UICCs

#### 6.1.10.1 Definition and applicability

The PIN is a number used to authenticate the user to the UICC for security. Entry of the correct PIN allows PIN-protected data to be accessed over the UICC-Terminal interface. ETSI TS 102 221 [5] defines the range of "01" to "08" as key reference of the PIN on a multi-verification capable UICC.

#### 6.1.10.2 Conformance requirement

CR 1 Following insertion of the UICC and powering on the UE, the ME shall check the state of the PIN. If the PIN is enabled, the UE asks the user for PIN verification.

CR 2 The VERIFY PIN function verifies the PIN presented by the ME to the UICC.

CR 3 Indication of a successful PIN verification is presented to user.

Reference:

- ETSI TS 102 221 [8], clauses 9 and 11.1.9;

- TS 31.102 [19], clause 6;

- TS 22.030 [44], clause 6.6.1.

6.1.10.3 Test purpose

The purpose of this test is to verify that:

1) the PIN verification procedure is performed by the UE correctly.

2) the basic public MMI string is supported.

3) the UE supports key references in the range of "01" to "08" as PIN.

#### 6.1.10.4 Method of test

##### 6.1.10.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exceptions:

The UICC shall be configured to use "07" as the key reference of the PIN and "87" as key reference of the PIN2 with the following values:

**PIN**

Key reference: 07

Logically:

8642

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 38 | 36 | 34 | 32 | FF | FF | FF | FF |

**Unblock PIN**

Key reference: 07

Logically:

64534231

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 36 | 34 | 35 | 33 | 34 | 32 | 33 | 31 |

**PIN2**

Key reference: 87

Logically:

9753

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 39 | 37 | 35 | 33 | FF | FF | FF | FF |

**Unblock PIN2**

Key reference: 87

Logically:

57687980

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 35 | 37 | 36 | 38 | 37 | 39 | 38 | 30 |

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled.

##### 6.1.10.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | USER > UE | When the UE is in the "PIN check" mode, the sequence "8642#" shall be entered |  | CR 1 |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 2 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 3 |  |

#### 6.1.10.5 Acceptance criteria

CR 1 is met if the UE asks the user for the PIN verification.

CR 2 can be explicitly verified at step 2) via supported options A.2/1 OR A.2/2, ensuring that the VERIFY PIN command is correctly sent via the ME to the UICC, with parameter P2 = "07".

CR 3 is verified on the UE. CR 3 is met if an indication is given to the user showing that this procedure was executed successfully, e.g. by displaying: "OK".

### 6.1.11 Change of PIN on multi-verification capable UICCs

#### 6.1.11.1 Definition and applicability

The PIN may be changed by the user, by entering the old and new PIN. The length of the PIN is between 4 and 8 digits. ETSI TS 102 221 [8] defines the range of "01" to "08" as key reference of the PIN on a multi-verification capable UICC.

#### 6.1.11.2 Conformance requirement

CR 1 The UE shall support the change of PIN procedure as defined in ETSI TS 102 221 [5], clause 11.1.10.

CR 2 Indication of a successful pin change is presented to user, and only the new pin shall be accepted for further PIN verification.

Reference:

- ETSI TS 102 221 [8], clauses 9 and 11.1.10;

- TS 31.102 [19], clause 6;

- TS 22.030 [44], clause 6.6.2.

#### 6.1.11.3 Test purpose

The purpose of this test is to verify that:

1) the PIN substitution procedure is performed correctly by the UE.

2) the basic public MMI string is supported.

3) the UE supports key references in the range of "01" to "08" as PIN.

#### 6.1.11.4 Method of test

##### 6.1.11.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exceptions:

The UICC shall be configured to use "07" as the reference of the PIN and "87" as reference of the PIN2 with the following values:

**PIN**

Key reference: 07

Logically:

8642

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 38 | 36 | 34 | 32 | FF | FF | FF | FF |

**Unblock PIN**

Key reference: 07

Logically:

64534231

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 36 | 34 | 35 | 33 | 34 | 32 | 33 | 31 |

**PIN2**

Key reference: 87

Logically:

9753

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 39 | 37 | 35 | 33 | FF | FF | FF | FF |

**Unblock PIN2**

Key reference: 87

Logically:

57687980

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 35 | 37 | 36 | 38 | 37 | 39 | 38 | 30 |

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled and runs an initial activation with the correct PIN entered.

##### 6.1.11.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | USER > UE | After USIM initialisation is completed, enter "\*\*04\*8642\*01234567\*01234567#" or initiate an equivalent MMI dependent procedure to change the PIN from '8642' to '01234567' |  |  |  |
| UE > UICC | CHANGE PIN | This is verifiable only if A2/x is supported. | CR 1 | A.2/1 OR A.2/2 |
| UICC > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure is successful | CR 2 |  |
| 2 | UE | The UE is powered off and on. |  |  |  |
| 3 | USER > UE | When the UE is in the "PIN check" mode, the sequence "8642#" shall be entered. |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A2/x is supported. | CR 1 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure is unsuccessful | CR 2 |  |
| 4 | UE | The UE is powered off and on. |  |  |  |
| 5 | USER > UE | When the UE is in the "PIN check" mode, the sequence "01234567#" shall be entered. |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A2/x is supported. | CR 1 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure is successful | CR 2 |  |

#### 6.1.11.5 Acceptance criteria

CR 1 is explicitly verified (i) at step 1) by analysing the CHANGE PIN command (ii) at step 3) and step 5) by analysing the VERIFY PIN sent via the ME to the UICC, with parameter P2 = "07" (via any supported options A.2/1 OR A2/2).

CR 2 is verified (i) at step 1) on UE by either ensuring UE shall give an indication of a successful execution of the command and new PIN is accepted, (ii) at step 3) on UE by ensuring UE shall give an indication that execution of the command failed, (iii) at step 5) on UE by ensuring UE shall give an indication of a successful execution of the command.

### 6.1.12 Unblock PIN on multi-verification capable UICCs

#### 6.1.12.1 Definition and applicability

After three consecutive wrong entries of the PIN, the PIN shall become blocked. The Unblock PIN command is used to unblock the PIN. This function may be performed whether or not the PIN is blocked. ETSI TS 102 221 [8] defines the range of "01" to "08" as key reference of the PIN on a multi-verification capable UICC.

#### 6.1.12.2 Conformance requirement

CR 1 The UE shall support the Unblock PIN command, as defined in ETSI TS 102 221 [8], clause 11.1.13.

CR 2 Indication of a successful and unsuccessful unblock PIN is presented to user.

CR 3 Only the new pin shall be accepted for further PIN verification.

CR 4 Indication of a successful and unsuccessful pin verification is presented to the user and only the new pin shall be accepted for further PIN verification.

Reference:

- ETSI TS 102 221 [8], clauses 9 and 11.1.13;

- TS 31.102 [19], clause 6;

- TS 22.030 [44], clause 6.6.3.

#### 6.1.12.3 Test purpose

The purpose of this test is to verify that:

1) the PIN unblocking procedure is performed correctly.

2) the basic public MMI string is supported.

3) the ME supports key references in the range of "01" to "08" as PIN.

#### 6.1.12.4 Method of test

##### 6.1.12.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exceptions:

The UICC shall be configured to use "07" as the reference of the PIN and "87" as reference of the PIN2 with the following values:

**PIN**

Key reference: 07

Logically: 8642

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 38 | 36 | 34 | 32 | FF | FF | FF | FF |

**Unblock PIN**

Key reference: 07

Logically: 64534231

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 36 | 34 | 35 | 33 | 34 | 32 | 33 | 31 |

**PIN2**

Key reference: 87

Logically: 9753

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 39 | 37 | 35 | 33 | FF | FF | FF | FF |

**Unblock PIN2**

Key reference: 87

Logically: 57687980

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 35 | 37 | 36 | 38 | 37 | 39 | 38 | 30 |

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled.

##### 6.1.12.4.2 Procedure

Sequence A:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | The ME is powered on and the correct PIN is entered. |  |  |  |
| 2 | USER > UE | After USIM initialisation is completed, enter "\*\*05\*64534231\*1234\*1234#" |  |  |  |
| UE > UICC | UNBLOCK PIN | This is verifiable only if A.2/x is supported. | CR 1 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |
| 3 | UE | The ME is powered off and on. |  |  |  |
| 4 | USER > UE | When the UE is in the "PIN check" mode, enter the new PIN: "1234#" |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 3 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 4 |  |
| 5 | UE | The ME is powered off and on. |  |  |  |
| 6 | USER > UE | Enter a wrong PIN three times. |  |  |  |
| UE > UICC | VERIFY PIN | This is repeated 3x, and is verifiable only if A.2/x is supported. | CR 3 | A.2/1 OR A2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be unsuccessful | CR 4 |  |
| 7 | USER > UE | Enter "\*\*05\*64534231\*8642\*8642#". |  |  |  |
| UE > UICC | UNBLOCK PIN | This is verifiable only if A.2/x is supported. | CR 1 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |
| 8 | USER > UE | When the UE is in the "PIN check" mode, enter the new PIN: "8642#" |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR3 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR4 |  |

Sequence B:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | The ME is powered on. |  |  |  |
| 2 | USER > UE | Enter a wrong PIN three times. |  |  |  |
| 3 | USER > UE | The user shall initiate a MMI dependent procedure to unblock the PIN with unblock code '64534231' and a new PIN '8642' ("\*\*05\*64534231\*8642\*8642#") . |  |  |  |
| UE > UICC | UNBLOCK PIN | This is verifiable only if A.2/x is supported. | CR 1 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |
| 4 | UE | The ME is powered off and on. |  |  |  |
| 5 | USER > UE | When the UE is in the "PIN check" mode, enter the new PIN: "8642#" |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 3 | A.2/1 OR A2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 4 |  |

#### 6.1.12.5 Acceptance criteria

Sequence A:

CR 1 is explicitly verified at step 2) and step 7) by analysing the UNBLOCK PIN command sent via the ME to the UICC, with parameter P2 = "07" (via any supported options A.2/1 OR A.2/2).

CR 2 is verified at step 2) and step 7) on the UE by ensuring UE shall give an indication following a successful execution of the command and new PIN is accepted.

CR 3 is explicitly verified at step 4), step 6) and step 8) by analysing the status word for both successful and unsuccessful PIN entry.

CR 4 is verified at (i) step 4) and step 8) on the UE by ensuring UE shall give an indication of a successful execution of the command and PIN is accepted, (ii) at step) on ME by ensuring UE shall give an indication that execution of the command failed.

Sequence B:

CR 1 is explicitly verified at step 3) by analysing the UNBLOCK PIN command sent via the ME to the UICC, with parameter P2 = "07" (via any supported options A.2/1 OR A.2/2) after UE indicates that PIN has been blocked in step 2).

CR 2 is verified at step 3) on the UE by ensuring UE shall give an indication following a successful execution of the command and new PIN is accepted.

CR 3 is explicitly verified at step 5) by analysing the Status word for successful PIN entry.

CR 4 is verified at step 5) on the UE by ensuring UE shall give an indication following a successful execution of the command when a PIN is accepted.

### 6.1.13 Entry of PIN2 on multi-verification capable UICCs

#### 6.1.13.1 Definition and applicability

The PIN2 is a number used to authenticate the user to the UICC for security. Entry of the correct PIN2 allows PIN2‑protected data to be accessed over the UICC-Terminal interface. ETSI TS 102 221 [8] defines the range of "81" to "88" as key reference of the PIN2 on a multi-verification capable UICC.

#### 6.1.13.2 Conformance requirement

CR 1 Before allowing the access to PIN2 protected data, the UE shall ask the user for PIN2 verification. Only after presenting the PIN2, the user shall get access to these data.

CR 2 The VERIFY PIN function verifies the PIN2 presented by the ME to the UICC.

CR 3 Indication of a successful pin2 verification is presented to the user.

Reference:

- ETSI TS 102 221 [8], clause 9 and clause 11.1.9;

- TS 31.102 [19], clause 6;

- TS 22.030 [44], clause 6.6.1.

#### 6.1.13.3 Test purpose

The purpose of this test is to verify that:

1) the PIN2 verification procedure is performed by the ME correctly;

2) the basic public MMI string is supported;

3) the ME supports key references in the range of "81" to "88" as PIN2.

#### 6.1.13.4 Method of test

##### 6.1.13.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exceptions:

The UICC shall be configured to use "07" as the reference of the PIN and "87" as reference of the PIN2 with the following values:

**PIN**

Key reference: 07

Logically: 8642

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 38 | 36 | 34 | 32 | FF | FF | FF | FF |

**Unblock PIN**

Key reference: 07

Logically: 64534231

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 36 | 34 | 35 | 33 | 34 | 32 | 33 | 31 |

**PIN2**

Key reference: 87

Logically: 9753

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 39 | 37 | 35 | 33 | FF | FF | FF | FF |

**Unblock PIN2**

Key reference: 87

Logically:

57687980

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 35 | 37 | 36 | 38 | 37 | 39 | 38 | 30 |

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used. In this case the exceptions mentioned above shall apply.

##### 6.1.13.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | USER > UE | When the UE is in the "PIN check" mode, correct PIN shall be entered. |  |  |  |
| 3 |  | The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN) |  |  |  |
| 4 |  | When the UE is in the "PIN2 check" mode, the sequence "9753#" shall be entered. |  |  |  |
| UE | VERIFY PIN | This is verifiable only if A.2/x is supported | CR 1 | A.2/1 OR A.2/2 |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |

#### 6.1.13.5 Acceptance criteria

CR  1 is explicitly verified at step 4 via supported options A.2/1 OR A2/2, ensuring that the VERIFY PIN command is correctly sent via the ME to the UICC, with parameter P2 = "87".

CR 2 is verified on the UE. CR 2 is met if an indication is given to the user showing that this procedure was executed successfully, e.g. by displaying "OK".

### 6.1.14 Change of PIN2 on multi-verification capable UICCs

#### 6.1.14.1 Definition and applicability

The PIN2 may be changed by the user, by entering the old and new PIN2. The length of the PIN2 is between 4 and 8 digits. ETSI TS 102 221 [5] defines the range of "81" to "88" as key reference of the PIN2 on a multi-verification capable UICC.

#### 6.1.14.2 Conformance requirement

CR 1 The UE shall support the change of PIN2 procedure as defined in ETSI TS 102 221 [5], clause 11.1.10.

CR 2 Indication of a successful PIN2 change is presented to user, and only the new pin2 shall be accepted for further PIN verification.

Reference:

- ETSI TS 102 221 [8], clauses 9 and 11.1.10;

- TS 31.102 [19], clause 6;

- TS 22.030 [44], clause 6.6.2.

#### 6.1.14.3 Test purpose

The purpose of this test is to verify that:

1) the PIN2 substitution procedure is performed correctly by the UE.

2) the basic public MMI string is supported.

3) the UE supports key references in the range of "81" to "88" as PIN2.

#### 6.1.14.4 Method of test

##### 6.1.14.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exceptions:

The UICC shall be configured to use "07" as the reference of the PIN and "87" as reference of the PIN2 with the following values:

**PIN**

Key reference: 07

Logically:

8642

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 38 | 36 | 34 | 32 | FF | FF | FF | FF |

**Unblock PIN**

Key reference: 07

Logically:

64534231

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 36 | 34 | 35 | 33 | 34 | 32 | 33 | 31 |

**PIN2**

Key reference: 87

Logically:

9753

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 39 | 37 | 35 | 33 | FF | FF | FF | FF |

**Unblock PIN2**

Key reference: 87

Logically:

57687980

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 35 | 37 | 36 | 38 | 37 | 39 | 38 | 30 |

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled and runs an initial activation with the correct PIN entered.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used. In this case the above-mentioned exceptions shall apply.

##### 6.1.14.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | USER > UE | After USIM initialisation is completed, enter "\*\*042\*9753\*12345678\*12345678#" or initiate an equivalent MMI dependent procedure to change the PIN2 from '9753' to '12345678' |  |  |  |
| UE > UICC | CHANGE PIN | This is verifiable only if A.2/x is supported. | CR 1 | A.2/1 OR A.2/2 |
| USIM> UE | Check Status word |
| UE | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |
| 2 | UE | UE is powered off and on and the correct PIN is entered. |  |  |  |
| 3 |  | The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN) |  |  |  |
| 4 | USER > UE | When the UE is in the "PIN2 check" mode, incorrect sequence "9753#" shall be entered. |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 1 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE | An indication is given to the user showing whether this procedure was successful | This procedure shall be unsuccessful | CR 2 |  |
| 5 | UE | The UE is powered off and on. |  |  |  |
| 6 |  | The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN) |  |  |  |
| 7 | USER > UE | When the UE is in the "PIN check" mode, the sequence "12345678#" shall be entered. |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 1 | A.2/1 OR A2/2 |
| USIM> UE | Check Status word |
| UE | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |

#### 6.1.14.5 Acceptance criteria

CR 1 is explicitly verified (i) at step 1 by analysing the CHANGE PIN command sent from ME to UICC with the parameter P2 set to "87" (ii) at step 4 and step 7 by analysing the VERIFY PIN sent via the ME to the UICC (via any supported options A.2/1 OR A2/2).

CR 2 is verified (i) at step 1 on UE by ensuring UE shall give an indication of a successful execution of the command and new PIN is accepted, (ii) at step 4 on UE by ensuring UE shall give an indication that execution of the command failed, (iii) at step 7 on UE by ensuring UE shall give an indication of a successful execution of the command.

### 6.1.15 Unblock PIN2 on multi-verification capable UICCs

#### 6.1.15.1 Definition and applicability

After three consecutive wrong entries of the PIN2, the PIN2 shall become blocked. The Unblock PIN2 command is used to unblock the PIN2. This function may be performed whether or not the PIN2 is blocked. ETSI TS 102 221 [5] defines the range of "81" to "88" as key reference of the PIN2 on a multi-verification capable UICC.

#### 6.1.15.2 Conformance requirement

CR 1 The UE shall support the Unblock PIN2 command, as defined in ETSI TS 102 221 [5], clause 11.1.13.

CR 2 Indication of a successful unblock PIN2 is presented to user

CR 3 Only the new pin2 shall be accepted for further PIN2 verification.

CR 4 Indication of a successful and unsuccessful pin2 verification is presented to user and only the new pin2 shall be accepted for further PIN2 verification.

Reference:

- ETSI TS 102 221 [8], clauses 9 and 11.1.13;

- TS 31.102 [19], clause 6;

- TS 22.030 [44], clause 6.6.3.

#### 6.1.15.3 Test purpose

The purpose of this test is to verify that:

1) the PIN2 unblocking procedure is performed correctly.

2) the basic public MMI string is supported.

3) the UE supports key references in the range of "81" to "88" as PIN2.

#### 6.1.15.4 Method of test

##### 6.1.15.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exceptions:

The UICC shall be configured to use "07" as the reference of the PIN and "87" as reference of the PIN2 with the following values:

**PIN**

Key reference: 07

Logically:

8642

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 38 | 36 | 34 | 32 | FF | FF | FF | FF |

**Unblock PIN**

Key reference: 07

Logically:

64534231

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 36 | 34 | 35 | 33 | 34 | 32 | 33 | 31 |

**PIN2**

Key reference: 87

Logically:

9753

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 39 | 37 | 35 | 33 | FF | FF | FF | FF |

**Unblock PIN2**

Key reference: 87

Logically:

57687980

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 35 | 37 | 36 | 38 | 37 | 39 | 38 | 30 |

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled.

NOTE: To perform the UPDATE FDN data (as described in the procedure below), the default FDN UICC may be used. In this case the above-mentioned exceptions shall apply.

##### 6.1.15.4.2 Procedure

Sequence A:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | Run initial activation of the UE and enter the correct PIN |  |  |  |
| 2 | USER > UE | After USIM initialisation is completed, enter "\*\*052\*57687980\*1234\*1234#" |  |  |  |
| UE > UICC | UNBLOCK PIN | This is verifiable only if A.2/x is supported. | CR 1 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |
| 3 | UE | The UE is powered off and on and the correct PIN is entered. |  |  |  |
| 4 |  | The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN) |  |  |  |
| 5 | USER > UE | Enter the new PIN2: "1234#" |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 3 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 4 |  |
| 6 | UE | The UE is powered off and on and the correct PIN is entered. |  |  |  |
| 7 |  | The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN) |  |  |  |
| 8 | USER > UE | Enter a wrong PIN2 three times. |  |  |  |
| UE > UICC | VERIFY PIN | This is repeated 3 times, and is verifiable only if A.2/x is supported. | CR 3 | A.2/1 OR A.2/2 |
| USIM> UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be unsuccessful | CR 4 |  |
| 9 | USER > UE | Enter "\*\*052\*57687980\*9753\*9753#". |  |  |  |
| UE > UICC | UNBLOCK PIN | This is verifiable only if A.2/x is supported. | CR 1 | A.2/1 OR A.2/2 |
| USIM> UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |
| 10 | UE | The UE is powered off and on and the correct PIN is entered. |  |  |  |
| 11 |  | The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN) |  |  |  |
| 12 | USER > UE | Enter the new PIN2: "9753#" |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 3 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 4 |  |

Sequence B:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | Run initial acvtivation of the UE and enter the correct PIN |  |  |  |
| 2 | USER > UE | Enter a wrong PIN2 three times. |  |  |  |
| 3 | USER > UE | The user shall initiate an MMI dependent procedure to unblock the PIN2 with unblock code '57687980' and a new PIN "9753" |  |  |  |
| UE > UICC | UNBLOCK PIN | This is verifiable only if A.2/x is supported. | CR 1 | A.2/1 OR A.2/2 |
| USIM> UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 2 |  |
| 4 | UE | The UE is powered off and on and the correct PIN is entered. |  |  |  |
| 5 |  | The access to a PIN2 protected data field shall be performed (e.g. UPDATE FDN) |  |  |  |
| 6 | USER > UE | Enter the new PIN2: "9753#" |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 3 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 4 |  |

#### 6.1.15.5 Acceptance criteria

Sequence A:

CR 1 is explicitly verified at step 2 and step 9 by analysing the UNBLOCK PIN command sent via ME to the UICC, with parameter P2 = "87" (via any supported options A.2/1 OR A2/2).

CR 2 is verified at step 2 and step 9 on the UE by ensuring UE shall give an indication of a successful execution of the command and new PIN2 is accepted.

CR 3 is explicitly verified at step 5, step 8 and step 12 by analysing the Status word for both successful and unsuccessful PIN2 entry.

CR 4 is verified at (i) step 5 and step 12 on the UE by ensuring UE shall give an indication of a successful execution of the command and PIN2 is accepted, (ii) at step 8 on UE by ensuring UE shall give an indication that execution of the command failed.

Sequence B:

CR 1 is explicitly verified at step 3 by analysing the UNBLOCK PIN command sent via ME to the UICC, with parameter P2 = "87" (via any supported options A.2/1 OR A2/2) after UE indicates that PIN has been blocked in step2.

CR 2 is verified at step 3 on the UE by ensuring UE shall give an indication following a successful execution of the command and new PIN2 is accepted.

CR 3 is explicitly verified at step 6 by analysing the Status word for successful PIN2 entry.

CR 4 is verified at step 6 on the UE by ensuring UE shall give an indication following a successful execution of the command that PIN2 is accepted.

### 6.1.16 Replacement of PIN with key reference "07"

#### 6.1.16.1 Definition and applicability

The Universal PIN may be used to replace a PIN used to authenticate the user to the UICC for security. In this case entry of the correct Universal PIN allows PIN-protected data to be accessed over the UICC-Terminal interface.

#### 6.1.16.2 Conformance requirement

CR 1 The UE shall support the usage of the Universal PIN as replacement PIN and the replacement procedure as defined in ETSI TS 102 221 [5], clause 11.1.11.

CR 2 The UE shall support the procedure to disable the replacement defined in ETSI TS 102 221 [5], clause 11.1.12.

CR 3 Only the new pin shall be accepted for further PIN verification.

CR 4 Indication of a successful and unsuccessful pin verification is presented to the user and only the new pin shall be accepted for further PIN verification.

Reference:

- ETSI TS 102 221 [8], clauses 9, 11.1.11 and 11.1.12;

- TS 31.102 [19], clause 6.

#### 6.1.16.3 Test purpose

The purpose of this test is to verify that:

1) the PIN replacement is supported by the UE correctly.

2) the PIN replacement procedure is performed by the UE correctly.

3) the procedure to disable the PIN replacement is performed by the UE correctly.

4) the UE supports key references in the range of "01" to "08" as PIN.

#### 6.1.16.4 Method of test

##### 6.1.16.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exceptions:

The UICC shall be configured to use "07" as the reference of the PIN and "87" as reference of the PIN2 with the following values:

**PIN**

Key reference: 07

Logically:

8642

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 38 | 36 | 34 | 32 | FF | FF | FF | FF |

**Unblock PIN**

Key reference: 07

Logically:

64534231

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 36 | 34 | 35 | 33 | 34 | 32 | 33 | 31 |

**PIN2**

Key reference: 87

Logically:

9753

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 39 | 37 | 35 | 33 | FF | FF | FF | FF |

**Unblock PIN2**

Key reference: 87

Logically:

57687980

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 |
| Hex | 35 | 37 | 36 | 38 | 37 | 39 | 38 | 30 |

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case with PIN enabled.

##### 6.1.16.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | USER > UE | When the UE is in the "PIN check" mode, the sequence "8642#" shall be entered. |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. |  | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful |  |  |
| 3 | USER > UE | The user shall initiate an MMI dependent procedure to replace the PIN by the Universal PIN. |  |  |  |
| UE > UICC | DISABLE PIN | This is verifiable only if A.2/x is supported. | CR 1 |  |
| USIM > UE | Check Status word |
| 4 | UE > USER | The UE is powered off and on. |  |  |  |
| 5 | USER > UE | When the UE is in the "PIN check" mode, the sequence "8642#" shall be entered. |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 3 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be unsuccessful | CR 4 |  |
| 6 |  | The correct Universal PIN is entered. |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 3 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 4 |  |
| 7 |  | Initiate an MMI dependent procedure to disable the replacement of the PIN by the Universal PIN |  |  |  |
| UE > UICC | ENABLE PIN | This is verifiable only if A.2/x is supported. | CR 2 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| 8 | UE > USER | The UE is powered off and on. |  |  |  |
| 9 | USER > UE | When the UE is in the "PIN check" mode, the sequence "2839#" shall be entered. |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 3 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be unsuccessful | CR 4 |  |
| 10 | USER > UE | When the UE is in the "PIN check" mode correct PIN is entered. |  |  |  |
| UE > UICC | VERIFY PIN | This is verifiable only if A.2/x is supported. | CR 3 | A.2/1 OR A.2/2 |
| USIM > UE | Check Status word |
| UE > USER | An indication is given to the user showing whether this procedure was successful | This procedure shall be successful | CR 4 |  |

#### 6.1.16.5 Acceptance criteria

CR 1 is explicitly verified at step 3 by analysing the DISABLE PIN command sent via the ME to the UICC, with parameter P1 = "91" and P2 = "07" (via any supported options A.2/1 OR A2/2).

CR 2 is explicitly verified at step 7 by analysing the ENABLE PIN command sent via the ME to the UICC, with parameter P2 = "07" (via any supported options A.2/1 OR A2/2)

CR 3 is explicitly verified at (i) step 5 by analysing the status word indicating that the PIN has not been accepted for the VERIFY PIN command sent by the ME to the UICC, with parameter P2 = "11", (ii) step 6 by analysing the status word indicating that the PIN has been accepted for the VERIFY PIN command sent by the ME to the UICC, with parameter P2 = "11", (iii) step 9 by analysing the status word indicating that the PIN has not been accepted for the VERIFY PIN command sent by the ME to the UICC, with parameter P2 = "07", (ii) step 10 by analysing the status word indicating that the PIN has been accepted for the VERIFY PIN command sent by the ME to the UICC, with parameter P2 = "07".

CR 4 is verified (i) at step 5 and 9 by ensuring that the UE gives an indication of an unsuccessful execution of the command and PIN is not accepted, (ii) at step 6 and 10 by ensuring that the UE gives an indication of a successful execution of the command and PIN is accepted.

## 6.2 Fixed Dialling Numbers (FDN) handling

### 6.2.1 ME and USIM with FDN enabled, EFADN readable and updateable

GERAN/UTRAN test - not applicable

### 6.2.2 ME and USIM with FDN disabled

GERAN/UTRAN test - not applicable

### 6.2.3 Enabling, disabling and updating of FDN

GERAN/UTRAN test - not applicable

### 6.2.4 ME and USIM with FDN enabled, EFADN readable and updateable (Rel-4 and onwards)

GERAN/UTRAN test - not applicable

## 6.3 Void

## 6.4 Advice of charge (AoC) handling

### 6.4.1 AoC not supported by USIM

GERAN/UTRAN test - not applicable

### 6.4.2 Maximum frequency of ACM updating

GERAN/UTRAN test - not applicable

### 6.4.3 Call terminated when ACM greater than ACMmax

GERAN/UTRAN test - not applicable

### 6.4.4 Response codes of increase command of ACM

GERAN/UTRAN test - not applicable

# 7 PLMN related tests

## 7.1 FPLMN handling

### 7.1.1 Adding FPLMN to the Forbidden PLMN list

GERAN/UTRAN test - not applicable

### 7.1.2 UE updating forbidden PLMNs

GERAN/UTRAN test - not applicable

### 7.1.3 UE deleting forbidden PLMNs

GERAN/UTRAN test - not applicable

### 7.1.4 Adding FPLMN to the forbidden PLMN list when accessing E‑UTRAN

#### 7.1.4.1 Definition and applicability

A list of forbidden PLMNs stored in the USIM and providing storage for at least 4 entries is managed by the UE. In automatic PLMN selection mode the UE controls registration attempts to appropriate networks with respect to this list of forbidden PLMNs. As a result of a registration reject with the cause "PLMN not allowed" the UE stores the PLMN which rejected the update request in the USIM.

#### 7.1.4.2 Conformance requirement

CR 1 In automatic PLMN selection mode the UE shall only attempt an ATTACH REQUEST during registration on E‑UTRAN/EPS if it receives a BCCH containing a PLMN (MCC, MNC) that is not indicated in the EFFPLMN in the USIM

Reference:

- TS 22.011 [18], clause 2.3;

- TS 31.102 [19], clauses 5.1.1 and 5.2.7.

CR 2 After receipt of an ATTACH REJECT message during registration on E-UTRAN/EPS with the EMM cause "PLMN not allowed" the ME shall update the EFFPLMN in the USIM.

Reference:

- TS 22.011 [18], clause 3.2.2 2;

- TS 31.102 [19], clauses 5.1.1 and 5.2.7

CR 3 After receipt of an ATTACH REJECT message during registration on E-UTRAN/EPS with the EMM cause "PLMN not allowed" the ME shall update the EFEPSLOCI in the USIM.

Reference:

- TS 24.301 [21], clause 5.5.1.2.5;

- TS 31.102 [19], clauses 5.1.2 and 4.2.9.1.

CR 4 After receipt of an ATTACH REJECT message during registration on E-UTRAN/EPS with the After registration on E‑UTRAN/EPS the USIM shall contain the correct GUTI and TAI received by the UE.

Reference:

- TS 31.102 [19], clauses 5.1.2 and 4.2.9.1;

- TS 21.111 [20], clause 10.1.

#### 7.1.4.3 Test purpose

The purpose of this test is to verify that:

1) in automatic PLMN selection mode the UE does not attempt to access PLMNs stored in EFFPLMN on the USIM;

2) the EFFPLMN is correctly updated by the ME after receipt of an ATTACH REJECT message with cause "PLMN not allowed" during registration;

3) the EFEPSLOCI has been correctly updated by the ME during registration.

#### 7.1.4.4 Method of test

##### 7.1.4.4.1 Initial conditions

The values of the FDN UICC as defined in clause 4.5.3 of the present document are used with EFIMSI as defined in clause 4.6.2.

The defined UICC/USIM configuration defined for this test case shall be used and made available on the UE.

Ensure that the automatic PLMN selection mode is set and that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation when executing the test procedure.

##### 7.1.4.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | TT | Activate the RF output on the BCCH with:  - TAI (MCC/MNC/TAC): 234/002/0001  - Access control: unrestricted | The UE does not send an ATTACH REQUEST message as the PLMN provided by the TT is listed in EFFPLMN | CR 1 |  |
| 2 | TT | Stop RF output on the BCCH | The UE starts a reselection procedure |  |  |
| 3 | TT | Resume the RF output on the BCCH with:  - TAI (MCC/MNC/TAC): 234/003/0001  - Access control: unrestricted | The UE does not send an ATTACH REQUEST message as the PLMN provided by the TT is it is listed in EFFPLMN | CR 1 |  |
| 4 | TT | Stop RF output on the BCCH | The UE starts a reselection procedure |  |  |
| 5 | TT | Resume the RF output on the BCCH with:  - TAI (MCC/MNC/TAC): 234/004/0001  - Access control: unrestricted | The UE does not send an ATTACH REQUEST message as the PLMN provided by the TT is it is listed in EFFPLMN | CR 1 |  |
| 6 | TT | Stop RF output on the BCCH | The UE starts a reselection procedure |  |  |
| 7 | TT | Resume the RF output on the BCCH with:  - TAI (MCC/MNC/TAC): 234/005/0001  - Access control: unrestricted | The UE does not send an ATTACH REQUEST message as the PLMN provided by the TT is it is listed in EFFPLMN | CR 1 |  |
| 8 | TT | Stop RF output on the BCCH | The UE starts a reselection procedure |  |  |
| 9 | TT | Resume the RF output on the BCCH with:  - TAI (MCC/MNC/TAC): 234/007/0001  - Access control: unrestricted | The UE tries to connect to the PLMN provided by the TT | CR 1 |  |
| 10 | UE > TT | Send RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | The TT responds with a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB |  |  |
| 11 | UE > TT | Send RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 12 | UE > TT | Send ATTACH REQUEST | The TT performs an authentication and starts NAS integrity protection |  |  |
| 13 | TT > UE | Send ATTACH REJECT message with cause 'PLMN not allowed' | The UE updates EFFPLMN  The UE updates EFEPSLOCI | CR 2 CR 3 | A.2/1 OR A.2/2 |
| 14 | TT > UE | Send RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB |  |  |  |
| 15 | TT | Stop RF output on the BCCH |  |  |  |
| 16 | UE | Disable signalling on RAN |  |  |  |
| 17 | TT | Read out EFEPSLOCI | EFEPSLOCI content can be verified by the TT | CR 3 | A.2/1 OR A.2/2 |
| 18 | UE | Enable signalling on RAN | The UE starts a reselection procedure |  |  |
| 19 | TT | Resume the RF output on the BCCH with:  - TAI (MCC/MNC/TAC): 234/008/0001  - Access control: unrestricted | The UE tries to connect to the PLMN provided by the TT | CR 1 |  |
| 20 | UE > TT | Send RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | The TT responds with a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB |  |  |
| 21 | UE > TT | Send RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 22 | UE > TT | Send ATTACH REQUEST | The TT performs an authentication and starts NAS integrity protection |  |  |
| 23 | TT > UE | Send ATTACH ACCEPT message with:  - TAI (MCC/MNC/TAC): 234/008/0001  - GUTI (as generated from TT) | The UE responds with an ATTACH COMPLETE message | CR 4 |  |
| UE | Update EFEPSLOCI |  | CR 3 | A.2/1 OR A.2/2 |
| 24 | TT > UE | Send a RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB |  |  |  |

#### 7.1.4.4 Acceptance criteria

CR 1 is met if the UE is not sending an ATTACH REQUEST in steps 1), 3), 5) and 7) but in step 9) and 19) as indicated by correctly interpreted EFFPLMN content. During test execution the TT shall provide status information related to the ATTACH REQUEST.

CR 2 shall be verified in step 13). Test environments not capable of evaluating the content of EFFPLMN while the common test procedure is executed, may verify the correct update of EFFPLMN by reading out the file at the end of the test execution. All entries generated during test execution shall be available and comply to the EF listings below, else the conformance requirement is not met.

CR 3 shall be verified in step 17). Test environments not capable of evaluating the content of EFEPSLOCI while the common test procedure is executed, may verify the correct update of EFEPSLOCI by reading out the file at the end of the test execution. All entries generated during test execution shall be available and comply to the EF listings below, else the conformance requirement is not met.

CR 4 is met if the GUTI derived from EFEPSLOCI matches the GUTI generated from the TT in step 13).

**EFEPSLOCI** (EPS Location Information)

Logically:

GUTI: as generated from TT in step 13)

Last visited registered TAI: 234/007/0001

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 0B | F6 | xx | xx | xx | xx | xx | xx | xx | xx | xx | xx |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 32 | 74 | 00 | 00 | 01 | 00 |

The EFs of the USIM read after finishing the common test procedure shall contain the following values:

**EFFPLMN** (Forbidden PLMNs)

Logically:

PLMN1: 234 002 (MCC MNC)

PLMN2: 234 003

PLMN3: 234 004

PLMN4: 234 005

PLMN5: 234 006

PLMN6: 234 007

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 32 | 24 | 00 | 32 | 34 | 00 | 32 | 44 | 00 | 32 | 54 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 32 | 64 | 00 | 32 | 74 | 00 |

**EFEPSLOCI** (EPS Location Information)

Logically:

GUTI: as generated from TT in step 13)

Last visited registered TAI: 234/008/0001

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 0B | F6 | xx | xx | xx | xx | xx | xx | xx | xx | xx | xx |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 32 | 84 | 00 | 00 | 01 | 00 |

### 7.1.5 UE updating forbidden PLMNs when accessing E-UTRAN

#### 7.1.5.1 Definition and applicability

A list of forbidden PLMNs stored in the USIM provides storage for at least 4 entries, and is managed by the UE. In automatic PLMN selection mode the UE controls registration attempts to appropriate networks with respect to this list of forbidden PLMNs. As a result of a registration reject with the cause "PLMN not allowed" the UE stores the PLMN which rejected the update request in the USIM.

#### 7.1.5.2 Conformance requirement

CR 1 After receipt of an ATTACH REJECT message during registration with the cause "PLMN not allowed" the ME shall update the EFFPLMN in the USIM.

Reference:

- TS 22.011 [18], clause 3.2.2 4;

- TS 31.102 [19], clauses 5.1.1 and 5.2.7

#### 7.1.5.3 Test purpose

The purpose of this test is to verify that the UE correctly updates the EFFPLMN, i.e. fill up existing gaps in the elementary file before overwriting any existing entries.

#### 7.1.5.4 Method of test

##### 7.1.5.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with EFIMSI as defined in clause 4.6.2.

The defined UICC/USIM configuration defined for this test case shall be used and made available on the UE.

Ensure that the automatic PLMN selection mode is set and that the UE has installed and is using the UICC/USIM configuration defined for this test case in TS 31.121 [2] and runs an initial activation when executing the test procedure.

##### 7.1.5.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE > TT | Send RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | The TT responds with a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB |  |  |
| 2 | UE > TT | Send RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 3 | UE > TT | Send ATTACH REQUEST | The TT performs an authentication and starts NAS integrity protection |  |  |
| 4 | TT > UE | Send ATTACH REJECT message with cause 'PLMN not allowed' | The UE updates EFFPLMN | CR 1 | A.2/1 OR A.2/2 |
| 5 | TT > UE | Send RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB |  |  |  |
| 6 | USER > UE | UE is soft powered down |  |  |  |

#### 7.1.5.5 Acceptance criteria

CR 1 shall be verified in step 4 after receiving ATTACH REJECT. Test environments not capable of evaluating the content of EFFPLMN while the common test procedure is executed, may verify the correct update of EFFPLMN by reading out the file at the end of the test execution. All entries generated during test execution shall be available and comply to the EF listings below, else the conformance requirement is not met.

**EFFPLMN** (Forbidden PLMNs)

Logically:

PLMN1: 234 001 (MCC MNC)

PLMN2: 234 002

PLMN3: 234 003

PLMN4: 234 004

PLMN5: 234 005

PLMN6: 234 006

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 32 | 14 | 00 | 32 | 24 | 00 | 32 | 34 | 00 | 32 | 44 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 32 | 54 | 00 | 32 | 64 | 00 |

Or

**EFFPLMN** (Forbidden PLMNs)

Logically:

PLMN1: 234 001 (MCC MNC)

PLMN2: 234 003

PLMN3: 234 004

PLMN4: 234 005

PLMN5: 234 006

PLMN6: 234 002

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 32 | 14 | 00 | 32 | 34 | 00 | 32 | 44 | 00 | 32 | 54 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 32 | 64 | 00 | 32 | 24 | 00 |

### 7.1.6 UE deleting forbidden PLMNs when accessing E-UTRAN

#### 7.1.6.1 Definition and applicability

In manual PLMN selection mode the UE allows registration attempts to all available PLMNs, including forbidden PLMNs (as indicated by the forbidden PLMN list on the USIM). As a result of a successful registration procedure onto a PLMN which is in the forbidden PLMN list, the forbidden PLMN list is automatically updated by the UE.

#### 7.1.6.2 Conformance requirement

CR 1 In manual PLMN selection mode the UE shall be able to perform a ATTACH attempt during registration to a PLMN which is in the forbidden PLMN list.

Reference:

- TS 22.011 [18], clause 3.2.2.2;

- TS 31.102 [19], clauses 5.1.1 and 5.2.7.

CR 2 After receipt of ATTACH ACCEPT message during registration the UE shall delete the forbidden PLMN from the forbidden PLMN list.

Reference:

- TS 22.011 [18], clause 3.2.2.4;

#### 7.1.6.3 Test purpose

The purpose of this test is to verify that:

1) the UE is able to perform an ATTACH REQUEST during registration on a forbidden PLMN in manual PLMN selection mode.

2) the UE after a successful registration attempt deletes the PLMN in the EFFPLMN on the USIM.

#### 7.1.6.4 Method of test

##### 7.1.6.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with EFIMSI as defined in clause 4.6.2.

The defined UICC/USIM configuration defined for this test case shall be used and made available on the UE.

The TT (E-USS or NB-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 234/005/0001.

- Access control: unrestricted.

Ensure that the manual PLMN selection mode is set and that the UE has installed and is using the UICC/USIM configuration defined for this test case in 31.121 [2] and runs an initial activation when executing the test procedure.

##### 7.1.6.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | USER > UE | PLMN with MCC/MNC 234/005 is manually selected. |  |  |  |
| 2 | UE > TT | RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | The TT responds with a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB |  |  |
| 3 | UE > TT | RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 4 | UE > TT | ATTACH REQUEST | The TT performs an authentication and starts NAS integrity protection | CR 1 |  |
| 5 | TT > UE | ATTACH ACCEPT message with:  - TAI (MCC/MNC/TAC):  234/005/ 0001  - GUTI (as generated from TT) | The UE responds with an ATTACH COMPLETE message |  |  |
| 6 | UE > USIM | UDATE EFFPLMN, EFEPSLOCI |  | CR 2 | A.2/1 OR A.2/2 |
| 7 | TT > UE | RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB |  |  |  |
| 8 | UE | The UE is soft powered down. |  |  |  |

#### 7.1.6.5 Acceptance criteria

CR 1 is met if the UE is sending ATTACH REQUEST in step 4) of Sequence A. TT shall provide status information.

CR 2 shall be explicitly verified in step 6). Test environments not capable of evaluating the content of EFFPLMN, EFEPSLOCI while the common test procedure is executed, may verify the correct update of EFFPLMN , EFEPSLOCI by reading out the file at the end of the test execution. All entries generated during test execution shall be available and comply to the EF listings below, else the conformance requirement is not met.

**EFFPLMN** (Forbidden PLMNs)

Logically:

PLMN1: empty

PLMN2: empty

PLMN3: empty

PLMN4: empty

PLMN5: empty

PLMN6: empty

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | FF | FF | FF | FF | FF | FF | FF | FF | FF | FF | FF | FF |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | FF | FF | FF | FF | FF | FF |

**EFEPSLOCI** (EPS Location Information)

Logically:

GUTI: as generated from TT in step 5)

Last visited registered TAI: 234/005/0001

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 0B | F6 | xx | xx | xx | xx | xx | xx | xx | xx | xx | xx |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 32 | 54 | 00 | 00 | 01 | 00 |

### 7.1.7 Updating the Forbidden PLMN list after receiving non-integrity protected reject message – UTRAN

UTRAN test - not applicable

### 7.1.8 Updating the Forbidden PLMN list after receiving non-integrity protected reject message – E‑UTRAN

#### 7.1.8.1 Definition and applicability

A list of forbidden PLMNs stored in the USIM and providing storage for at least 4 entries is managed by the UE. In automatic PLMN selection mode the UE controls registration attempts to appropriate networks with respect to this list of forbidden PLMNs. As a result of a registration reject with the cause "PLMN not allowed" the UE stores the PLMN which rejected the update request in the USIM.

#### 7.1.8.2 Conformance requirement

CR 1 After receipt of a not integrity-protected ATTACH REJECT message during registration with the cause "PLMN not allowed" the ME shall update the EFFPLMN in the USIM thereafter that VPLMN will not be accessed by the MS in automatic mode:

- if the MS is not configured to use timer T3245, and the MS maintains a list of PLMN-specific attempt counters and the value of the PLMN-specific attempt counter for that VPLMN is equal to the MS implementation specific maximum value or;

- if the MS is not configured to use timer T3245, and the MS is not maintaining a list of PLMN-specific attempt counters

Reference:

- TS 23.122 [41], clause 3.1.

- TS 22.011 [18], clause 3.2.2 2.3;

- TS 31.102 [19], clauses 5.1.1 and 5.2.7.

#### 7.1.8.3 Test purpose

The purpose of this test is to verify that:

1) in automatic PLMN selection mode and after receipt of ATTACH REJECT message with cause "PLMN not allowed" during registration that the UE correctly updates EFFPLMN, in the following cases:

- if the UE maintains a list of PLMN-specific attempt counters, and the value of the PLMN-specific attempt counter for that VPLMN is equal to the MS implementation specific maximum value;

- if the UE does not maintain a list of PLMN-specific attempt counters.

2) the UE correctly updates the EFFPLMN, i.e. fill up existing gaps in the elementary file before overwriting any existing entries.

#### 7.1.8.4 Method of test

##### 7.1.8.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with EFIMSI as defined in clause 4.6.2.

If option A.1/38 is supported by the UE set the implementation specific counter to small value to reduce the test execution time. Use the value set for th number of repetitions as indicated in step 7).

The defined UICC/USIM configuration defined for this test case shall be used and made available on the UE.

The TT (E-USS or NB-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 234/002/0001.

- Access control: unrestricted.

Ensure that the automatic PLMN selection mode is set and that the UE has installed and is using the UICC/USIM configuration defined for this test case in 31.121 [2] and runs an initial activation when executing the test procedure.

##### 7.1.8.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE > TT | Send RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | TT responds with RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB |  |  |
| 2 | UE > TT | Send RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 3 | UE > TT | ATTACH REQUEST |  |  |  |
| 4 | TT > UE | ATTACH REJECT (Cause: PLMN Not Allowed) | Not integrity protected |  |  |
| 5 | TT > UE | Send RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB |  |  |  |
| 6 | If the UE supports A.1/38, perform step 7) after the expiry of timer T3247, otherwise perform step 8). | | | | |
| 7 | Using the settings declared for the implementation specific counter, repeat step 1) – 6) until the PLMN-specific attempt counters has reached the maximum value for that VPLMN | | | | |
| 8 | UE > USIM | Update EFFPLMN |  | CR 1 | A.2/1 OR A.2/2 |
| 9 | UE | The UE is soft powered down. |  |  |  |

#### 7.1.8.5 Acceptance criteria

CR 1 shall be verified in step 8). Test environments not capable of evaluating the content of EFFPLMN while the common test procedure is executed, may verify the correct update of EFFPLMN by reading out the file at the end of the test execution. All entries generated during test execution shall be available and comply to the EF listings below, else the conformance requirement is not met.

**EFFPLMN** (Forbidden PLMNs)

Logically:

PLMN1: 234 001 (MCC MNC)

PLMN2: 234 002

PLMN3: 234 003

PLMN4: 234 004

PLMN5: 234 005

PLMN6: 234 006

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 32 | 14 | 00 | 32 | 24 | 00 | 32 | 34 | 00 | 32 | 44 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 32 | 54 | 00 | 32 | 64 | 00 |

Or

**EFFPLMN** (Forbidden PLMNs)

Logically:

PLMN1: 234 001 (MCC MNC)

PLMN2: 234 003

PLMN3: 234 004

PLMN4: 234 005

PLMN5: 234 006

PLMN6: 234 002

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 32 | 14 | 00 | 32 | 34 | 00 | 32 | 44 | 00 | 32 | 54 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 32 | 64 | 00 | 32 | 24 | 00 |

## 7.2 User controlled PLMN selector handling

### 7.2.1 UE updating the User controlled PLMN selector list

#### 7.2.1.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred UPLMNs on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EFPLMNwACT. Update and deletion of PLMNs may be performed by the subscriber.

#### 7.2.1.2 Conformance requirement

CR 1 The UE shall correctly replace the selected UPLMN in the User controlled PLMN selector list.

Reference:

- TS 31.102 [19], clause 5.3.6.

#### 7.2.1.3 Test purpose

The purpose of this test is to verify that the UE correctly updates the EFPLMNwACT.

#### 7.2.1.4 Method of test

##### 7.2.1.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used.

The defined UICC/USIM configuration defined for this test case shall be used and made available on the UE.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 7.2.1.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | USER > UE | Initiate an MMI dependent procedure to change the UPLMN | Change the second UPLMN in the User controlled PLMN selector list to MCC/MNC of 567/02, the ACT identifier shall set to UTRAN only. |  |  |
| 2 | UE > USIM | Update EFPLMNwACT |  | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE | The UE is soft powered down |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Optional step if CR 1 verification cannot be performed at step 2) | | | | | |
| 4 | UE | READ EFPLMNwACT PLMNwACT |  | CR 1 | A.2/3 |

#### 7.2.1.5 Acceptance criteria

After step b) the USIM shall contain the following values:

**EFPLMNwACT** (UPLMN Selector)

Logically:

1st PLMN: 244 081 (MCC MNC)

1st ACT: UTRAN

2nd PLMN: 567 02

2nd ACT UTRAN

3rd PLMN: 244 082

3rd ACT UTRAN

4th PLMN: 244 082

4th ACT GSM

5th PLMN: 244 003

5th ACT UTRAN

6th PLMN: 244 004

6th ACT UTRAN

7th PLMN: 244 005

7th ACT UTRAN

8th PLMN: 244 006

8th ACT UTRAN

9th PLMN: 244 007

9th ACT UTRAN

10th PLMN: 244 008

10th ACT UTRAN

11th PLMN: 244 009

11th ACT UTRAN

12th PLMN: 244 010

12th ACT UTRAN

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 42 | 14 | 80 | 80 | 00 | 65 | F7 | 20 | 80 | 00 | 42 | 24 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 80 | 80 | 00 | 32 | 24 | 00 | 00 | 80 | 42 | 34 | 00 | 80 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** | **B33** | **B34** | **B35** | **B36** |
|  | 00 | 42 | 44 | 00 | 80 | 00 | 42 | 54 | 00 | 80 | 00 | 42 |
|  | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** |
|  | 64 | 00 | 80 | 00 | 42 | 74 | 00 | 80 | 00 | 42 | 84 | 00 |
|  | **B49** | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** | **B57** | **B58** | **B59** | **B60** |
|  | 80 | 00 | 42 | 94 | 00 | 80 | 00 | 42 | 04 | 10 | 80 | 00 |

### 7.2.2 UE recognizing the priority order of the User controlled PLMN selector list with the same access Technology

GERAN/UTRAN test - not applicable

### 7.2.3 UE recognizing the priority order of the User controlled PLMN selector list using an ACT Preference

GERAN/UTRAN test - not applicable

### 7.2.4 Void

### 7.2.5 UE updating the User controlled PLMN selector list for E-UTRAN

#### 7.2.5.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred UPLMNs on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EFPLMNwACT. Update and deletion of PLMNs may be performed by the subscriber.

#### 7.2.5.2 Conformance requirement

CR 1 The UE shall correctly replace the selected UPLMN in the User controlled PLMN selector list.

Reference:

- TS 31.102 [19], clause 5.3.6 and 4.2.5.

#### 7.2.5.3 Test purpose

The purpose of this test is to verify that the UE correctly updates the EFPLMNwACT.

#### 7.2.5.4 Method of test

##### 7.2.5.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 7.2.5.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | USER > UE | Initiate an MMI dependent procedure to change the UPLMN | Change the second UPLMN in the User controlled PLMN selector list to MCC/MNC of 567/04, the ACT identifier shall set to E-UTRAN only. |  |  |
| 2 | UE > USIM | Update EFPLMNwACT |  | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE | The UE is soft powered down |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Optional step if CR 1 verification cannot be performed at step 2) | | | | | |
| 4 | UE | READ EFPLMNwACT PLMNwACT |  | CR 1 | A.2/3 |

#### 7.2.5.5 Acceptance criteria

After step b) the USIM shall contain the following values:

**EFPLMNwACT** (UPLMN Selector)

Logically:

1st PLMN: 244 081 (MCC MNC)

1st ACT: E-UTRAN

2nd PLMN: 567 04

2nd ACT E-UTRAN

3rd PLMN: 244 083

3rd ACT: E-UTRAN

4th PLMN: 244 082

4th ACT: GSM

5th PLMN: 244 003

5th ACT: E-UTRAN

6th PLMN: 244 004

6th ACT: UTRAN

7th PLMN: 244 005

7th ACT: UTRAN

8th PLMN: 244 081

8th ACT: UTRAN

9th PLMN: 244 007

9th ACT: UTRAN

10th PLMN: 244 008

10th ACT: E-UTRAN

11th PLMN: 244 009

11th ACT: UTRAN

12th PLMN: 244 010

12th ACT: E-UTRAN

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 42 | 14 | 80 | 40 | 00 | 65 | F7 | 40 | 40 | 00 | 42 | 34 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 80 | 40 | 00 | 42 | 24 | 80 | 00 | 80 | 42 | 34 | 00 | 40 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** | **B33** | **B34** | **B35** | **B36** |
|  | 00 | 42 | 44 | 00 | 80 | 00 | 42 | 54 | 00 | 80 | 00 | 42 |
|  | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** |
|  | 14 | 80 | 80 | 00 | 42 | 74 | 00 | 80 | 00 | 42 | 84 | 00 |
|  | **B49** | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** | **B57** | **B58** | **B59** | **B60** |
|  | 40 | 00 | 42 | 94 | 00 | 80 | 00 | 42 | 04 | 10 | 40 | 00 |

### 7.2.6 UE recognizing the priority order of the User controlled PLMN selector list using an ACT preference - UTRAN/E-UTRAN

Not applicable as UTRAN testing is involved.

### 7.2.7 UE recognizing the priority order of the User controlled PLMN selector list using an ACT preference - GSM/E-UTRAN

Not applicable as GERAN testing is involved.

### 7.2.8 UE recognising the priority order of the User controlled PLMN selector list with the same access technology – E-UTRAN in NB-S1 mode

#### 7.2.8.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred PLMNs of the User on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EFPLMNwACT. Update and deletion of User controlled PLMNs may be performed by the subscriber by the use of the PIN.

#### 7.2.8.2 Conformance requirement

CR 1 When registering onto a VPLMN the UE shall consider the priority of the ACT identifier in the preferred list on the USIM.

Reference:

- TS 22.011 [18], clause 3.2.2;

- TS 31.102 [19], clauses 4.2.5 and 5.1.1.2.

CR 2 The modified value of EFEPSLOCI shall be available on the USIM when ending the test case.

#### 7.2.8.3 Test purpose

The purpose of this test is to verify that ACT with the higher priority (defined by its position in EFPLMNwACT) takes precedence over the UPLMN with the lower priority when the UE performs a network selection. Hereby the new coding for E-UTRAN in NB-S1 mode has to be handled correctly by the UE.

#### 7.2.8.4 Method of test

##### 7.2.8.4.1 Initial conditions

The values of the E-UTRAN/EPC ISIM UICC as defined in clause 4.5.5 of the present document are used with the following exception:

**EFPLMNwACT** (User Controlled PLMN Selector with Access Technology)

Logically:

1st PLMN: 244 083 (MCC MNC)

1st ACT: E-UTRAN in NB-S1mode

2nd PLMN: 244 081

2nd ACT: E-UTRAN in NB-S1 mode

3rd PLMN: 244 083

3rd ACT: E-UTRAN

4th PLMN: 244 082

4th ACT: GSM

5th PLMN: 244 003

5th ACT: E-UTRAN

6th PLMN: 244 004

6th ACT: UTRAN

7th PLMN: 244 005

7th ACT: UTRAN

8th PLMN: 244 081

8th ACT: UTRAN

9th PLMN: 244 007

9th ACT: UTRAN

10th PLMN: 244 008

10th ACT: E-UTRAN

11th PLMN: 244 009

11th ACT: UTRAN

12th PLMN: 244 010

12th ACT: E-UTRAN

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 42 | 34 | 80 | 50 | 00 | 42 | 14 | 80 | 50 | 00 | 42 | 34 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 80 | 40 | 00 | 42 | 24 | 80 | 00 | 80 | 42 | 34 | 00 | 40 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** | **B33** | **B34** | **B35** | **B36** |
|  | 00 | 42 | 44 | 00 | 80 | 00 | 42 | 54 | 00 | 80 | 00 | 42 |
|  | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** |
|  | 14 | 80 | 80 | 00 | 42 | 74 | 00 | 80 | 00 | 42 | 84 | 00 |
|  | **B49** | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** | **B57** | **B58** | **B59** | **B60** |
|  | 40 | 00 | 42 | 94 | 00 | 80 | 00 | 42 | 04 | 10 | 40 | 00 |

The defined UICC/USIM configuration defined for this test case shall be used and made available on the UE. The UE is set to automatic PLMN selection mode.

The TT (NB-SS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 244/081/0001.

- Access control: unrestricted.

Cell B:

- TAI (MCC/MNC/TAC): 244/083/0001.

- Access control: unrestricted.

##### 7.2.8.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | UE > TT | Send RRC CONNECTION REQUEST‑NB | The RRC CONNECTION REQUEST‑NB sent to the BCCH transmitting MCC/MNC 244/083 gets a RRC CONNECTION SETUP-NB as response from the TT | CR 1 |  |
| 3 | UE > TT | Send RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 4 | UE > TT | Send ATTACH REQUEST | During registration the TT performs an authentication and starts integrity by using the security procedure. |  |  |
| 5 | TT > UE | Send ATTACH ACCEPT | The ATTACH ACCPT is sent with:  - TAI (MCC/MNC/TAC): 244/083/ 0001  - GUTI: "24408300010266436587" | (CR 1) |  |
| 6 | UE > TT | Send ATTACH COMPLETE | The TT sends RRC CONNECTION RELEASE‑NB |  |  |
| 7 | USER > UE | UE is soft powered down |  |  |  |
| 8 | TT | Verify the contents of EFEPSLOCI | Reading EFEPSLOCI may also be performed before step 7) | CR 2 | A.2/3 |

#### 7.2.8.5 Acceptance criteria

CR 1 is verified in step 2). The requirement is met if in step 2) the UE sends a RRC CONNECTION REQUEST‑NB to the BCCH transmitting MCC/MNC 244/083 to the NB-SS

If the request is sent correctly can also be verified in the ATTACH ACCEPT send by the NB-SS if it is containing:

- TAI (MCC/MNC/TAC): 244/083/ 0001

- GUTI: "24408300010266436587"

CR 2 is verified by reading the contents of EFEPSLOCI in step 8). CR 2 is met if the file contains the following data:

**EFEPSLOCI** (EPS Information)

Logically:

GUTI: 24408300010266436587

Last visited registered TAI: 244/083/0001

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 0B | F6 | 42 | 34 | 80 | 00 | 01 | 02 | 66 | 43 | 65 | 87 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 42 | 34 | 80 | 00 | 01 | 00 |

### 7.2.9 UE recognising the priority order of the User controlled PLMN selector list using the ACT preference – E‑UTRAN in WB-S1/E‑UTRAN in NB-S1

#### 7.2.9.1 Definition and applicability

The User controlled PLMN selector list gives in priority order the preferred PLMNs of the User on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EFPLMNwACT. Update and deletion of User controlled PLMNs may be performed by the subscriber by the use of the PIN.

#### 7.2.9.2 Conformance requirement

CR 1 When registering onto a VPLMN the UE shall consider the priority of the ACT identifier in the preferred list on the USIM.

Reference:

- TS 22.011 [18], clause 3.2.2;

- TS 31.102 [19], clauses 4.2.5 and 5.1.1.2.

CR 2 The modified value of EFEPSLOCI shall be available on the USIM when ending the test case.

#### 7.2.9.3 Test purpose

The purpose of this test is to verify that ACT with the higher priority (defined by its position in EFPLMNwACT) takes precedence over the UPLMN with the lower priority when the UE performs a network selection. Hereby the new coding for RAT E-UTRAN in WB-S1 mode and E-UTRAN in NB-S1 mode has to be handled correctly by the UE.

#### 7.2.9.4 Method of test

##### 7.2.9.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with the following exception:

**EFPLMNwACT** (User Controlled PLMN Selector with Access Technology)

Logically:

1st PLMN: 244 081 (MCC MNC)

1st ACT: E-UTRAN

2nd PLMN: 244 081

2nd ACT: GSM

3rd PLMN: 244 083

3rd ACT: E-UTRAN in NB-S1 mode

4th PLMN: 244 083

4th ACT: E-UTRAN in WB-S1 mode

5th PLMN: 244 003

5th ACT: E-UTRAN

6th PLMN: 244 004

6th ACT: UTRAN

7th PLMN: 244 005

7th ACT: UTRAN

8th PLMN: 244 081

8th ACT: UTRAN

9th PLMN: 244 007

9th ACT: UTRAN

10th PLMN: 244 008

10th ACT: E-UTRAN

11th PLMN: 244 009

11th ACT: UTRAN

12th PLMN: 244 010

12th ACT: E-UTRAN

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 42 | 14 | 80 | 40 | 00 | 42 | 14 | 80 | 00 | 80 | 42 | 34 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 80 | 50 | 00 | 42 | 34 | 80 | 60 | 00 | 42 | 34 | 00 | 40 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** | **B33** | **B34** | **B35** | **B36** |
|  | 00 | 42 | 44 | 00 | 80 | 00 | 42 | 54 | 00 | 80 | 00 | 42 |
|  | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** |
|  | 14 | 80 | 80 | 00 | 42 | 74 | 00 | 80 | 00 | 42 | 84 | 00 |
|  | **B49** | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** | **B57** | **B58** | **B59** | **B60** |
|  | 40 | 00 | 42 | 94 | 00 | 80 | 00 | 42 | 04 | 10 | 40 | 00 |

The defined UICC/USIM configuration defined for this test case shall be used and made available on the UE. The UE is set to automatic PLMN selection mode.

The TT (E-USS and NB-SS) transmits on the BCCH, with the following network parameters on both cells:

- TAI (MCC/MNC/TAC): 244/083/0001.

- Access control: unrestricted.

##### 7.2.9.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | UE > TT | Send RRC CONNECTION REQUEST‑NB | The RRC CONNECTION REQUEST‑NB sent to the BCCH transmitting MCC/MNC 244/083 gets a RRC CONNECTION SETUP-NB as response from the TT | CR 1 |  |
| 3 | UE > TT | Send RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 4 | UE > TT | Send ATTACH REQUEST | During registration the TT performs an authentication and starts integrity by using the security procedure. |  |  |
| 5 | TT > UE | Send ATTACH ACCEPT | The ATTACH ACCPT is sent with:  - TAI (MCC/MNC/TAC): 244/083/ 0001  - GUTI: "24408300010266436587" | (CR 1) |  |
| 6 | UE > TT | Send ATTACH COMPLETE | The TT sends RRC CONNECTION RELEASE‑NB |  |  |
| 7 | USER > UE | UE is soft powered down |  |  |  |
| 8 | TT | Verify the contents of EFEPSLOCI | Reading EFEPSLOCI may also be performed before step 7) | CR 2 | A.2/3 |

#### 7.2.9.5 Acceptance criteria

CR 1 is verified in step 2). The requirement is met if in step 2) the UE sends a RRC CONNECTION REQUEST‑NB to the BCCH transmitting MCC/MNC 244/083 to the NB-SS

If the request is sent correctly can also be verified in the ATTACH ACCEPT send by the NB-SS if it is containing:

- TAI (MCC/MNC/TAC): 244/083/ 0001

- GUTI: "24408300010266436587"

CR 2 is verified by reading the contents of EFEPSLOCI in step 8). CR 2 is met if the file contains the following data:

**EFEPSLOCI** (EPS Information)

Logically:

GUTI: 24408300010266436587

Last visited registered TAI: 244/083/0001

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 0B | F6 | 42 | 34 | 80 | 00 | 01 | 02 | 66 | 43 | 65 | 87 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 42 | 34 | 80 | 00 | 01 | 00 |

## 7.3 Operator controlled PLMN selector handling

### 7.3.1 UE recognizing the priority order of the Operator controlled PLMN selector list

GERAN/UTRAN test - not applicable

### 7.3.2 UE recognizing the priority order of the User controlled PLMN selector over the Operator controlled PLMN selector list

GERAN/UTRAN test - not applicable

### 7.3.3 UE recognizing the priority order of the Operator controlled PLMN selector list when accessing E‑UTRAN

#### 7.3.3.1 Definition and applicability

The Operator controlled PLMN selector list gives in priority order the preferred OPLMNs on which the UE shall register if no network of the User controlled PLMN selector list is available. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EFOPLMNwACT. Update and deletion of OPLMNs shall not be possible by the subscriber by the use of the PIN.

#### 7.3.3.2 Conformance requirement

CR 1 When registering onto a VPLMN the UE shall consider the priority of OPLMNs in the preferred list on the USIM.

Reference:

- TS 22.011 [18], clause 3.2.2;

- TS 31.102 [19], clause 4.2.53, 4.2.5 and 5.1.1.2.

CR 2 The modified value of EFEPSLOCI shall be available on the USIM when ending the test case.

#### 7.3.3.3 Test purpose

The purpose of this test is to verify that the OPLMN with the higher priority (defined by its position in EFOPLMNwACT) takes precedence over the OPLMN with the lower priority when the UE performs a network selection. Hereby the new coding for RAT E-UTRAN/NB-IoT has to be handled correctly by the UE.

#### 7.3.3.4 Method of test

##### 7.3.3.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with the following exception:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°42: |  | Operator controlled PLMN selector with Access Technology | available |
| Service n°86: |  | Allowed CSG Lists and corresponding indications | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** |
|  | xxxx xxxx | xxxx xxxx | xx01 xxxx | xxxx xxxx | xxxx xxxx | xxxx xxxx | xxxx xxxx |

The coding of EFUST shall conform with the capabilities of the USIM used.

**EFOPLMNwACT** (OPLMN Selector)

Logically:

1st PLMN: 254 012 (MCC MNC)

1st ACT E-UTRAN

2nd PLMN: 254 011

2nd ACT E-UTRAN

3rd PLMN: 254 002

3rd ACT: E-UTRAN

4th PLMN: 254 012

4th ACT: GSM

5th PLMN: 254 011

5th ACT: GSM

6th PLMN: 254 005

6th ACT: UTRAN

7th PLMN: 254 006

7th ACT: UTRAN

8th PLMN: 254 007

8th ACT: UTRAN

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 52 | 24 | 10 | 40 | 00 | 52 | 14 | 10 | 40 | 00 | 52 | 24 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 00 | 40 | 00 | 52 | 24 | 10 | 00 | 80 | 52 | 14 | 10 | 00 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** | **B33** | **B34** | **B35** | **B36** |
|  | 80 | 52 | 54 | 00 | 80 | 00 | 52 | 64 | 00 | 80 | 00 | 52 |
|  | **B37** | **B38** | **B39** | **B40** |
|  | 74 | 00 | 80 | 00 |

The defined UICC/USIM configuration defined for this test case shall be used and made available on the UE. The UE is set to automatic PLMN selection mode.

The TT (E-USS or NB-SS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 254/011/0001.

- Access control: unrestricted.

Cell B:

- TAI (MCC/MNC/TAC): 254/012/0001.

- Access control: unrestricted.

##### 7.3.3.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | UE > TT | Send RRC CONNECTION REQUEST / RRC CONNECTION REQUEST‑NB | The RRC CONNECTION REQUEST / RRC CONNECTION REQUEST‑NB sent to the BCCH transmitting MCC/MNC 254/012 gets a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB as response from the TT | CR 1 |  |
| 3 | UE > TT | Send RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 4 | UE > TT | Send ATTACH REQUEST | During registration the TT performs an authentication and starts integrity by using the security procedure. |  |  |
| 5 | TT > UE | Send ATTACH ACCEPT | The ATTACH ACCPT is sent with:  - TAI (MCC/MNC/TAC): 254/012/0001  - GUTI: "25401200010266436587" | (CR 1) |  |
| 6 | UE > TT | Send ATTACH COMPLETE | The TT sends RRC CONNECTION RELEASE / RRC CONNECTION RELEASE‑NB |  |  |
| 7 | USER > UE | UE is soft powered down |  |  |  |
| 8 | TT | Verify the contents of EFEPSLOCI | Reading EFEPSLOCI may also be performed before step 7) | CR 2 | A.2/3 |

#### 7.3.3.5 Acceptance criteria

CR 1 is verified in step 2). The requirement is met if in step 2) the UE sends a RRC CONNECTION REQUEST/  
RRC CONNECTION REQUEST‑NB to the BCCH transmitting MCC/MNC 254/012 to the E-USS/NB-SS

If the request is sent correctly can also be verified in the ATTACH ACCEPT send by the E-USS/NB-SS if it is containing:

- TAI (MCC/MNC/TAC): 254/012/0001

- GUTI: "25401200010266436587"

CR 2 is verified by reading the contents of EFEPSLOCI in step 8). CR 2 is met if the file contains the following data:

**EFEPSLOCI** (EPS Information)

Logically:

GUTI: 25401200010266436587

Last visited registered TAI: 254/012/0001

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 0B | F6 | 52 | 24 | 10 | 00 | 01 | 02 | 66 | 43 | 65 | 87 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 52 | 24 | 10 | 00 | 01 | 00 |

### 7.3.4 UE recognizing the priority order of the User controlled PLMN selector over the Operator controlled PLMN selector list – E‑UTRAN

#### 7.3.4.1 Definition and applicability

The User controlled PLMN selector list has a higher priority as the OPLMN selector list on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EFPLMNwACT.

#### 7.3.4.2 Conformance requirement

CR 1 When registering onto a VPLMN the UE shall consider the priority of UPLMNs first before the OPLMNs in the preferred list on the USIM.

Reference:

- TS 22.011 [18], clause 3.2.2;

- TS 31.102 [19], clause 4.2.53, 4.2.5 and 5.1.1.2.

CR 2 The modified value of EFEPSLOCI shall be available on the USIM when ending the test case.

#### 7.3.4.3 Test purpose

The purpose of this test is to verify that the User controlled PLMN with a lower priority (defined by its position in EFPLMNwACT) takes precedence over the OPLMN with a higher priority when the UE performs a network selection. Hereby the new coding for RAT E-UTRAN/NB-IoT has to be handled correctly by the UE.

#### 7.3.4.4 Method of test

##### 7.3.4.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with the following exception:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°1: |  | Local Phone Book | available |
| Service n°2: |  | Fixed Dialling Numbers (FDN) | available |
| Service n°6: |  | Barred Dialling Numbers (BDN) | available |
| Service n°17: |  | Group Identifier Level 1 | not available |
| Service n°18: |  | Group Identifier Level 2 | not available |
| Service n°20: |  | User controlled PLMN selector with Access Technology | available |
| Service n°27: |  | GSM Access | available |
| Service n°33: |  | shall be set to '1' | available |
| Service n°34: |  | Enabled Services Table | available |
| Service n°42: |  | Operator controlled PLMN selector with Access Technology | available |
| Service n°85: |  | EPS Mobility Management Information | available |
| Service n°86: |  | Allowed CSG Lists and corresponding indications | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** |
|  | xxxx xxxx | xxxx xxxx | xx01 xxxx | xxxx xxxx | xxxx xxxx | xxxx xxxx | xxxx xxxx |

The coding of EFUST shall conform with the capabilities of the USIM used.

The defined UICC/USIM configuration defined for this test case shall be used and made available on the UE. The UE is set to automatic PLMN selection mode.

The TT (E-USS or NB-SS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 254/011/0001.

- Access control: unrestricted.

Cell B:

- TAI (MCC/MNC/TAC): 244/010/0001.

- Access control: unrestricted.

##### 7.3.4.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | UE > TT | Send RRC CONNECTION REQUEST / RRC CONNECTION REQUEST‑NB | The RRC CONNECTION REQUEST / RRC CONNECTION REQUEST‑NB sent to the BCCH transmitting MCC/MNC 244/010 gets a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB as response from the TT | CR 1 |  |
| 3 | UE > TT | Send RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 4 | UE > TT | Send ATTACH REQUEST | During registration the TT performs an authentication and starts integrity by using the security procedure. |  |  |
| 5 | TT > UE | Send ATTACH ACCEPT | The ATTACH ACCPT is sent with:  - TAI (MCC/MNC/TAC): 244/010/0001  - GUTI: "24401000010266436587" | (CR 1) |  |
| 6 | UE > TT | Send ATTACH COMPLETE | The TT sends RRC CONNECTION RELEASE / RRC CONNECTION RELEASE‑NB |  |  |
| 7 | USER > UE | UE is soft powered down |  |  |  |
| 8 | TT | Verify the contents of EFEPSLOCI | Reading EFEPSLOCI may also be performed before step 7) | CR 2 | A.2/3 |

#### 7.3.4.5 Acceptance criteria

CR 1 is verified in step 2). The requirement is met if in step 2) the UE sends a RRC CONNECTION REQUEST/  
RRC CONNECTION REQUEST‑NB to the BCCH transmitting MCC/MNC 244/010 to the E-USS/NB-SS

If the request is sent correctly can also be verified in the ATTACH ACCEPT send by the E-USS/NB-SS if it is containing:

- TAI (MCC/MNC/TAC): 244/010/0001

- GUTI: "24401000010266436587"

CR 2 is verified by reading the contents of EFEPSLOCI in step 8). CR 2 is met if the file contains the following data:

**EFEPSLOCI** (EPS Information)

Logically:

GUTI: 24401000010266436587

Last visited registered TAI: 244/010/0001

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 0B | F6 | 42 | 04 | 10 | 00 | 01 | 02 | 66 | 43 | 65 | 87 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 42 | 04 | 10 | 00 | 01 | 00 |

### 7.3.5 UE recognising the priority order of the Operator controlled PLMN selector list when accessing E‑UTRAN in NB-S1 mode

#### 7.3.5.1 Definition and applicability

The Operator controlled PLMN selector list gives in priority order the preferred OPLMNs on which the UE shall register if no network of the User controlled PLMN selector list is available. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EFOPLMNwACT. Update and deletion of OPLMNs shall not be possible by the subscriber by the use of the PIN.

#### 7.3.5.2 Conformance requirement

CR 1 When registering onto a VPLMN the UE shall consider the priority of OPLMNs in the preferred list on the USIM.

Reference:

- TS 22.011 [18], clause 3.2.2;

- TS 31.102 [19], clause 4.2.53, 4.2.5 and 5.1.1.2.

CR 2 The modified value of EFEPSLOCI shall be available on the USIM when ending the test case.

#### 7.3.5.3 Test purpose

The purpose of this test is to verify that the OPLMN with the higher priority (defined by its position in EFOPLMNwACT) takes precedence over the OPLMN with the lower priority when the UE performs a network selection. Hereby the new coding for RAT E-UTRAN in NB-IoT mode has to be handled correctly by the UE.

#### 7.3.5.4 Method of test

##### 7.3.5.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with the following exception:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°42: |  | Operator controlled PLMN selector with Access Technology | available |
| Service n°86: |  | Allowed CSG Lists and corresponding indications | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** |
|  | xxxx xxxx | xxxx xxxx | xx01 xxxx | xxxx xxxx | xxxx xxxx | xxxx xxxx | xxxx xxxx |

The coding of EFUST shall conform with the capabilities of the USIM used.

**EFOPLMNwACT** (OPLMN Selector)

Logically:

1st PLMN: 254 012 (MCC MNC)

1st ACT E-UTRAN in NB-S1 mode

2nd PLMN: 254 011

2nd ACT E-UTRAN in NB-S1 mode

3rd PLMN: 254 002

3rd ACT: E-UTRAN

4th PLMN: 254 012

4th ACT: GSM

5th PLMN: 254 011

5th ACT: GSM

6th PLMN: 254 005

6th ACT: UTRAN

7th PLMN: 254 006

7th ACT: UTRAN

8th PLMN: 254 007

8th ACT: UTRAN

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 52 | 24 | 10 | 50 | 00 | 52 | 14 | 10 | 50 | 00 | 52 | 24 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 00 | 40 | 00 | 52 | 24 | 10 | 00 | 80 | 52 | 14 | 10 | 00 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** | **B33** | **B34** | **B35** | **B36** |
|  | 80 | 52 | 54 | 00 | 80 | 00 | 52 | 64 | 00 | 80 | 00 | 52 |
|  | **B37** | **B38** | **B39** | **B40** |
|  | 74 | 00 | 80 | 00 |

The defined UICC/USIM configuration defined for this test case shall be used and made available on the UE. The UE is set to automatic PLMN selection mode.

The TT (NB-SS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 254/011/0001.

- Access control: unrestricted.

Cell B:

- TAI (MCC/MNC/TAC): 254/012/0001.

- Access control: unrestricted.

##### 7.3.5.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run initial activation |  |  |  |
| 2 | UE > TT | Send RRC CONNECTION REQUEST‑NB | The RRC CONNECTION REQUEST‑NB sent to the BCCH transmitting MCC/MNC 254/012 gets a RRC CONNECTION SETUP-NB as response from the TT | CR 1 |  |
| 3 | UE > TT | Send RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 4 | UE > TT | Send ATTACH REQUEST | During registration the TT performs an authentication and starts integrity by using the security procedure. |  |  |
| 5 | TT > UE | Send ATTACH ACCEPT | The ATTACH ACCPT is sent with:  - TAI (MCC/MNC/TAC): 254/012/0001  - GUTI: "25401200010266436587" | (CR 1) |  |
| 6 | UE > TT | Send ATTACH COMPLETE | The TT sends RRC CONNECTION RELEASE‑NB |  |  |
| 7 | USER > UE | UE is soft powered down |  |  |  |
| 8 | TT | Verify the contents of EFEPSLOCI | Reading EFEPSLOCI may also be performed before step 7) | CR 2 | A.2/3 |

#### 7.3.5.5 Acceptance criteria

CR 1 is verified in step 2). The requirement is met if in step 2) the UE sends a RRC CONNECTION REQUEST‑NB to the BCCH transmitting MCC/MNC 254/012 to the TT (NB-SS).

If the request is sent correctly can also be verified in the ATTACH ACCEPT send by the NB-SS if it is containing:

- TAI (MCC/MNC/TAC): 254/012/0001

- GUTI: "25401200010266436587"

CR 2 is verified by reading the contents of EFEPSLOCI in step 8). CR 2 is met if the file contains the following data:

**EFEPSLOCI** (EPS Information)

Logically:

GUTI: 25401200010266436587

Last visited registered TAI: 254/012/0001

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 0B | F6 | 52 | 24 | 10 | 00 | 01 | 02 | 66 | 43 | 65 | 87 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 52 | 24 | 10 | 00 | 01 | 00 |

### 7.3.6 UE recognising the priority order of the User controlled PLMN selector over the Operator controlled PLMN selector list – E-UTRAN in NB-S1 mode

#### 7.3.6.1 Definition and applicability

The User controlled PLMN selector list has a higher priority as the OPLMN selector list on which the UE shall register. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EFPLMNwACT.

#### 7.3.6.2 Conformance requirement

CR 1 When registering onto a VPLMN the UE shall consider the priority of UPLMNs first before the OPLMNs in the preferred list on the USIM.

Reference:

- TS 22.011 [18], clause 3.2.2.2;

- TS 31.102 [19], clause 4.2.53, 4.2.5 and 5.1.1.2.

CR 2 The modified value of EFEPSLOCI shall be available on the USIM when ending the test case.

#### 7.3.6.3 Test purpose

The purpose of this test is to verify that the User controlled PLMN with a lower priority (defined by its position in EFPLMNwACT) takes precedence over the OPLMN with a higher priority when the UE performs a network selection. Hereby the new coding for RAT E-UTRAN in NB-IoT mode has to be handled correctly by the UE.

#### 7.3.6.4 Method of test

##### 7.3.6.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with the following exception:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°42: |  | Operator controlled PLMN selector with Access Technology | available |
| Service n°86: |  | Allowed CSG Lists and corresponding indications | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** |
|  | xxxx xxxx | xxxx xxxx | xx01 xxxx | xxxx xxxx | xxxx xxxx | xxxx xxxx | xxxx xxxx |

The coding of EFUST shall conform with the capabilities of the USIM used.

**EFPLMNwACT** (User Controlled PLMN Selector with Access Technology)

Logically:

1st PLMN: 244 081 (MCC MNC)

1st ACT: E-UTRAN

2nd PLMN: 244 081

2nd ACT: GSM

3rd PLMN: 244 083

3rd ACT: E-UTRAN

4th PLMN: 244 082

4th ACT: GSM

5th PLMN: 244 003

5th ACT: E-UTRAN

6th PLMN: 244 004

6th ACT: UTRAN

7th PLMN: 244 005

7th ACT: UTRAN

8th PLMN: 244 081

8th ACT: UTRAN

9th PLMN: 244 007

9th ACT: UTRAN

10th PLMN: 244 008

10th ACT: E-UTRAN

11th PLMN: 244 009

11th ACT: UTRAN

12th PLMN: 244 010

12th ACT: E-UTRAN in NB-S1 mode

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 42 | 14 | 80 | 40 | 00 | 42 | 14 | 80 | 00 | 80 | 42 | 34 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 80 | 40 | 00 | 42 | 24 | 80 | 00 | 80 | 42 | 34 | 00 | 40 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** | **B33** | **B34** | **B35** | **B36** |
|  | 00 | 42 | 44 | 00 | 80 | 00 | 42 | 54 | 00 | 80 | 00 | 42 |
|  | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** | **B44** | **B45** | **B46** | **B47** | **B48** |
|  | 14 | 80 | 80 | 00 | 42 | 74 | 00 | 80 | 00 | 42 | 84 | 00 |
|  | **B49** | **B50** | **B51** | **B52** | **B53** | **B54** | **B55** | **B56** | **B57** | **B58** | **B59** | **B60** |
|  | 40 | 00 | 42 | 94 | 00 | 80 | 00 | 42 | 04 | 10 | 50 | 00 |

**EFOPLMNwACT** (OPLMN Selector)

Logically:

1st PLMN: 254 001 (MCC MNC)

1st ACT E-UTRAN in NB-S1 mode

2nd PLMN: 254 001

2nd ACT GSM

3rd PLMN: 254 002

3rd ACT: E-UTRAN

4th PLMN: 254 003

4th ACT: E-UTRAN

5th PLMN: 254 004

5th ACT: UTRAN

6th PLMN: 254 005

6th ACT: UTRAN

7th PLMN: 254 006

7th ACT: UTRAN

8th PLMN: 254 007

8th ACT: UTRAN

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 52 | 14 | 00 | 50 | 00 | 52 | 14 | 00 | 00 | 80 | 52 | 24 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 00 | 40 | 00 | 52 | 34 | 00 | 40 | 00 | 52 | 44 | 00 | 80 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** | **B33** | **B34** | **B35** | **B36** |
|  | 00 | 52 | 54 | 00 | 80 | 00 | 52 | 64 | 00 | 80 | 00 | 52 |
|  | **B37** | **B38** | **B39** | **B40** |
|  | 74 | 00 | 80 | 00 |

The defined UICC/USIM configuration defined for this test case shall be used and made available on the UE. The UE is set to automatic PLMN selection mode.

The TT (NB-SS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 254/001/0001.

- Access control: unrestricted.

Cell B:

- TAI (MCC/MNC/TAC): 244/010/0001.

- Access control: unrestricted.

##### 7.3.6.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run initial activation |  |  |  |
| 2 | UE > TT | Send RRC CONNECTION REQUEST‑NB | The RRC CONNECTION REQUEST‑NB sent to the BCCH transmitting MCC/MNC 244/010 gets a RRC CONNECTION SETUP-NB as response from the TT | CR 1 |  |
| 3 | UE > TT | Send RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 4 | UE > TT | Send ATTACH REQUEST | During registration the TT performs an authentication and starts integrity by using the security procedure. |  |  |
| 5 | TT > UE | Send ATTACH ACCEPT | The ATTACH ACCPT is sent with:  - TAI (MCC/MNC/TAC): 244/010/0001  - GUTI: "24401000010266436587" | (CR 1) |  |
| 6 | UE > TT | Send ATTACH COMPLETE | The TT sends RRC CONNECTION RELEASE‑NB |  |  |
| 7 | USER > UE | UE is soft powered down |  |  |  |
| 8 | TT | Verify the contents of EFEPSLOCI | Reading EFEPSLOCI may also be performed before step 7) | CR 2 | A.2/3 |

#### 7.3.6.5 Acceptance criteria

CR 1 is verified in step 2). The requirement is met if in step 2) the UE sends a RRC CONNECTION REQUEST‑NB to the BCCH transmitting MCC/MNC 244/010 to the TT (NB-SS).

If the request is sent correctly can also be verified in the ATTACH ACCEPT send by the NB-SS if it is containing:

- TAI (MCC/MNC/TAC): 244/010/0001

- GUTI: "24401000010266436587"

CR 2 is verified by reading the contents of EFEPSLOCI in step 8). CR 2 is met if the file contains the following data:

**EFEPSLOCI** (EPS Information)

Logically:

GUTI: 24401000010266436587

Last visited registered TAI: 244/010/0001

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 0B | F6 | 42 | 04 | 10 | 00 | 01 | 02 | 66 | 43 | 65 | 87 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 42 | 04 | 10 | 00 | 01 | 00 |

### 7.3.7 UE recognising the priority order of the Operator controlled PLMN selector list using the ACT preference – E‑UTRAN in NB-S1/ E‑UTRAN in WB-S1 mode

#### 7.3.7.1 Definition and applicability

The Operator controlled PLMN selector list gives in priority order the preferred OPLMNs on which the UE shall register if no network of the User controlled PLMN selector list is available. The Radio Access Technology identifier defines the Radio network in which the UE shall register. The list is stored on the USIM in the EFOPLMNwACT. Update and deletion of OPLMNs shall not be possible by the subscriber by the use of the PIN.

#### 7.3.7.2 Conformance requirement

CR 1 When registering onto a VPLMN the UE shall consider the priority of of OPLMNs in the preferred list on the USIM.

Reference:

- TS 22.011 [18], clause 3.2.2;

- TS 31.102 [19], clause 4.2.53, 4.2.5 and 5.1.1.2.

CR 2 The modified value of EFEPSLOCI shall be available on the USIM when ending the test case.

#### 7.3.7.3 Test purpose

The purpose of this test is to verify that the OPLMN with the higher priority (defined by its position in EFOPLMNwACT) takes precedence over the OPLMN with the lower priority when the UE performs a network selection. Hereby the new coding for RAT E-UTRAN in NB-IoT mode and E-UTRAN in WB-S1 mode has to be handled correctly by the UE.

#### 7.3.7.4 Method of test

##### 7.3.7.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with the following exception:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°42: |  | Operator controlled PLMN selector with Access Technology | available |
| Service n°86: |  | Allowed CSG Lists and corresponding indications | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** |
|  | xxxx xxxx | xxxx xxxx | xx01 xxxx | xxxx xxxx | xxxx xxxx | xxxx xxxx | xxxx xxxx |

The coding of EFUST shall conform with the capabilities of the USIM used.

**EFOPLMNwACT** (OPLMN Selector)

Logically:

1st PLMN: 254 012 (MCC MNC)

1st ACT E-UTRAN in NB-S1 mode

2nd PLMN: 254 012

2nd ACT E-UTRAN in WB-S1 mode

3rd PLMN: 254 002

3rd ACT: E-UTRAN

4th PLMN: 254 012

4th ACT: GSM

5th PLMN: 254 011

5th ACT: GSM

6th PLMN: 254 005

6th ACT: UTRAN

7th PLMN: 254 006

7th ACT: UTRAN

8th PLMN: 254 007

8th ACT: UTRAN

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 52 | 24 | 10 | 50 | 00 | 52 | 24 | 10 | 60 | 00 | 52 | 24 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 00 | 40 | 00 | 52 | 24 | 10 | 00 | 80 | 52 | 14 | 10 | 00 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** | **B33** | **B34** | **B35** | **B36** |
|  | 80 | 52 | 54 | 00 | 80 | 00 | 52 | 64 | 00 | 80 | 00 | 52 |
|  | **B37** | **B38** | **B39** | **B40** |
|  | 74 | 00 | 80 | 00 |

The defined UICC/USIM configuration defined for this test case shall be used and made available on the UE. The UE is set to automatic PLMN selection mode.

The TT (NB-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 254/012/0001.

- Access control: unrestricted.

##### 7.3.7.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run initial activation |  |  |  |
| 2 | UE > TT | Send RRC CONNECTION REQUEST‑NB | The RRC CONNECTION REQUEST‑NB sent to the BCCH transmitting MCC/MNC 254/012 gets a RRC CONNECTION SETUP-NB as response from the TT | CR 1 |  |
| 3 | UE > TT | Send RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 4 | UE > TT | Send ATTACH REQUEST | During registration the TT performs an authentication and starts integrity by using the security procedure. |  |  |
| 5 | TT > UE | Send ATTACH ACCEPT | The ATTACH ACCPT is sent with:  - TAI (MCC/MNC/TAC): 254/012/0001  - GUTI: "25401200010266436587" | (CR 1) |  |
| 6 | UE > TT | Send ATTACH COMPLETE | The TT sends RRC CONNECTION RELEASE‑NB |  |  |
| 7 | USER > UE | UE is soft powered down |  |  |  |
| 8 | TT | Verify the contents of EFEPSLOCI | Reading EFEPSLOCI may also be performed before step 7) | CR 2 | A.2/3 |

#### 7.3.7.5 Acceptance criteria

CR 1 is verified in step 2). The requirement is met if in step 2) the UE sends a RRC CONNECTION REQUEST‑NB to the BCCH transmitting MCC/MNC 254/012 to the TT (NB-SS).

If the request is sent correctly can also be verified in the ATTACH ACCEPT send by the NB-SS if it is containing:

- TAI (MCC/MNC/TAC): 254/012/0001

- GUTI: "25401200010266436587"

CR 2 is verified by reading the contents of EFEPSLOCI in step 8). CR 2 is met if the file contains the following data:

**EFEPSLOCI** (EPS Information)

Logically:

GUTI: 25401200010266436587

Last visited registered TAI: 254/012/0001

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 0B | F6 | 52 | 24 | 10 | 00 | 01 | 02 | 66 | 43 | 65 | 87 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 52 | 24 | 10 | 00 | 01 | 00 |

### 7.3.8 UE recognising the priority order of the Operator controlled PLMN selector list using the ACT preference – E‑UTRAN in NB‑S1 mode/ GSM

Not applicable as GERAN testing is involved.

### 7.3.9 UE recognising the priority order of the Operator controlled PLMN selector list using the ACT preference – E‑UTRAN in WB‑S1 mode/GSM

Not applicable as GERAN testing is involved.

## 7.4 Higher priority PLMN search handling

### 7.4.1 UE recognizing the search period of the Higher priority PLMN

GERAN/UTRAN test - not applicable

### 7.4.2 GSM/UMTS dual mode UEs recognizing the search period of the Higher priority PLMN

GERAN/UTRAN test - not applicable

### 7.4.3 UE recognizing the search period of the Higher priority PLMN – E‑UTRAN

#### 7.4.3.1 Definition and applicability

The Higher priority PLMN list gives in priority order the Higher priority PLMN on which the UE shall register first. The Higher priority PLMN search period gives the time interval in which the UE shall search for a possible Higher priority PLMN registration.

#### 7.3.7.2 Conformance requirement

CR 1 After registered onto a VPLMN the UE shall consider the Higher priority PLMN search period timer and the priority order of the Higher priority PLMNs in the preferred lists on the USIM.

Reference:

- TS 22.011 [18], clause 3.2.2 and 3.2.2.5;

- TS 24.301 [21], clause 5.5.3.2

- TS 31.102 [19], clause 4.2.6.

CR 2 The modified value of EFEPSLOCI shall be available on the USIM when ending the test case.

#### 7.4.3.3 Test purpose

The purpose of this test is to verify that:

1. the Higher priority PLMN timer is read
2. the Higher priority PLMN takes precedence over the VPLMN in which the UE is currently registered in. Hereby the new coding for RAT E-UTRAN/NB-IoT has to be handled correctly by the UE.

#### 7.4.3.4 Method of test

##### 7.4.3.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with the following exception:

**EFHPPLMN** (Higher Priority PLMN Search period)

Logically:

Time interval between two searches: n minutes/n hours (2 hours)

Coding:

|  |  |
| --- | --- |
| **Byte** | **B1** |
| Hex | 01 |

NOTE; UEs only supporting any of the following or a combination of: NB-S1 mode or GERAN EC-GSM-IoT or Category M1 of E-UTRAN enhanced-MTC mode, T is 2 hours. Otherwise T is 6 minutes.

The defined UICC/USIM configuration defined for this test case shall be used and made available on the UE. The UE is set to automatic PLMN selection mode.

The TT (E-USS/NB-SS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 244/008/0001.

- Access control: unrestricted.

Cell B, after the registration of UE:

- TAI (MCC/MNC/TAC): 244/083/0001.

- Access control: unrestricted.

##### 7.4.3.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run initial activation |  |  |  |
| 2 | UE > TT | Send RRC CONNECTION REQUEST / RRC CONNECTION REQUEST‑NB | The RRC CONNECTION REQUEST / RRC CONNECTION REQUEST‑NB sent to the BCCH transmitting MCC/MNC 244/008 gets a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB as response from the TT |  |  |
| 3 | UE > TT | Send RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 4 | UE > TT | Send ATTACH REQUEST | During registration the TT performs an authentication and starts integrity by using the security procedure. |  |  |
| 5 | TT > UE | Send ATTACH ACCEPT | The ATTACH ACCPT is sent with:  - TAI (MCC/MNC/TAC): 244/008/0001  - GUTI: "24400800010266436587" |  |  |
| 6 | UE > TT | Send ATTACH COMPLETE | The TT sends RRC CONNECTION RELEASE / RRC CONNECTION RELEASE‑NB |  |  |
| 7 | TT | Start transmitting on Cell B | The E-USS/NB-SS starts to send on the second BCCH with the MCC/MNC 244/083.  An internal timer shall start to run. |  |  |
| 8 | UE > TT | Send RRC CONNECTION REQUEST / RRC CONNECTION REQUEST‑NB | The RRC CONNECTION REQUEST / RRC CONNECTION REQUEST‑NB sent to the BCCH transmitting MCC/MNC 244/083 gets a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB as response from the TT | CR 1 |  |
| 9 | UE > TT | Send RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 10 | UE > TT | Send TRACKING AREA UPDATE REQUEST | During registration the TT performs an authentication and starts integrity by using the security procedure. |  |  |
| 11 | TT > UE | Send TRACKING AREA UPDATE ACCEPT | The TRACKING AREA UPDATE ACCPT is sent with:  - TAI (MCC/MNC/TAC): 244/083/0001  - GUTI: "24408300010266436587" | (CR 1) |  |
| 12 | UE > TT | Send TRACKING AREA UPDATE COMPLETE | The TT sends RRC CONNECTION RELEASE / RRC CONNECTION RELEASE‑NB |  |  |
| 13 | USER > UE | UE is soft powered down |  |  |  |
| 14 | TT | Verify the contents of EFEPSLOCI | Reading EFEPSLOCI may also be performed before step 7) |  | A.2/3 |

#### 7.4.3.5 Acceptance criteria

CR 1 is verified in step 8). The requirement is met if in step 8) the UE sends a RRC CONNECTION REQUEST / RRC CONNECTION REQUEST‑NB to the BCCH transmitting MCC/MNC 244/083 to the TT (E-USS or NB-SS).

If the request is sent correctly can also be verified in the TRACKING AREA UPDATE ACCEPT send by the TT (E‑USS or NB-SS) if it is containing:

- TAI (MCC/MNC/TAC): 244/083/0001

- GUTI: "24408300010266436587"

CR 2 is verified by reading the contents of EFEPSLOCI in step 14). CR 2 is met if the file contains the following data:

**EFEPSLOCI** (EPS Information)

Logically:

GUTI: 24408300010266436587

Last visited registered TAI: 244/083/0001

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 0B | F6 | 42 | 34 | 80 | 00 | 01 | 02 | 66 | 43 | 65 | 87 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 42 | 34 | 80 | 00 | 01 | 00 |

### 7.4.4 E-UTRAN/EPC capable UEs recognizing the search period of the Higher priority PLMN – GSM/E-UTRAN

Not applicable as GERAN testing is involved.

### 7.4.5 E‑UTRAN/EPC capable UEs recognizing the search period of the Higher priority PLMN – UTRAN/E‑UTRAN

Not applicable as UTRAN testing is involved.

## 7.5 Void

# 8 Subscription independent tests

## 8.1 Phone book procedures

### 8.1.1 Recognition of a previously changed phonebook

GERAN test - not applicable

### 8.1.2 Update of the Phonebook Synchronization Counter (PSC)

#### 8.1.2.1 Definition and applicability

The phonebook synchronisation counter is used to unambiguously identify the status of the phonebook. Every time the phonebook is reset/deleted or the UID and/or the CC has run out of range, the PSC shall be regenerated.

The PSC is a part of the phonebook identifier.

#### 8.1.2.2 Conformance requirement

CR 1 Every time either the UID or the CC is incremented by the ME, the value of the contend of the appropriate EF shall be tested.

Reference:

- TS 31.102 [4], clause 4.4.2.12.2.

CR 2 If either UID or CC has reached "FF FF", the related EF shall be set to "00 01" and the PSC is incremented.

Reference:

- TS 31.102 [4], clause 4.4.2.12.2.

#### 8.1.2.3 Test purpose

The purpose of this test is to verify that:

1) the ME has recognised that the values of UID and CC has changed;

2) the ME resets the value of EFUID and EFCC;

3) the ME updates EFPSC.

#### 8.1.2.4 Method of test

##### 8.1.2.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exceptions:

**EFUID** (Unique Identifier)

Logically:

one record is set to "FF FF"

Coding:

|  |  |  |
| --- | --- | --- |
| **Byte** | **B1** | **B2** |
| Hex | FF | FF |

**EFPUID** (Previous Unique Identifier)

Logically:

record is set to "FF FF"

Coding:

|  |  |  |
| --- | --- | --- |
| **Byte** | **B1** | **B2** |
| Hex | FF | FF |

**EFCC** (Change Counter)

Logically:

record is set to "FF FF"

Coding:

|  |  |  |
| --- | --- | --- |
| **Byte** | **B1** | **B2** |
| Hex | FF | FF |

**EFPSC** (Phonebook Synchronisation Counter)

Logically:

record is set to "00 00 FF FF"

Coding:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** |
| Hex | 00 | 00 | FF | FF |

At least one phonebook entry shall be empty and available for creating a new entry (e.g. an appropriate ADN record).

The TT does not need to transmits on any BCCH.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 8.1.2.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | USER | Create a new phonebook entry | This may be done by storing a new telephone number in an empty ADN record |  |  |
| 2 | UE | Perform the regeneration of the UID records | It is assumed that the UE will indicate the time it needs to perform the regeneration by displaying a busy signal to the user. | (CR 1) |  |
| 3 | TT | READ EFUID, EFPSC and EFCC | Read and verify the content of the listed EFs | CR 1 CR 2 | A.2/1 OR A.2/2 OR A.2/3 |

#### 8.1.2.5 Acceptance criteria

CR 1 and CR 2 are explicitly verified in (or after) step 3) by any verification method listed in Table A.2. The requirements are met if:

1. the EFUID (Unique Identifier) has been regenerated with UID values starting with "00 01".

NOTE: The UID values may be stored in any order, but shall be unique. The entry in EFUID with value FF FF (the maximum value) shall have been replaced by an appropriate value which shall be distinguishable to the maximum value.

1. EFPUID contains a UID value (other than FFFF) that is present in EFUID.
2. EFCC contains the following value:

**EFCC** (Change Counter)

Logically:

record is set to "00 01"

Coding:

|  |  |  |
| --- | --- | --- |
| **Byte** | **B1** | **B2** |
| Hex | 00 | 01 |

1. EFPSC contains the following value:

**EFPSC** (Phonebook Synchronisation Counter)

Logically:

record is set to "00 01 00 00"

Coding:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** |
| Hex | 00 | 01 | 00 | 00 |

### 8.1.3 Handling of BCD number/ SSC content extension

#### 8.1.3.1 Definition and applicability

The length of BCD number/SSC contents in EFADN byte gives the number of bytes of the following two data items containing actual BCD number/SSC information. This means that the maximum value is 11, even when the actual ADN/SSC information length is greater than 11. When an ADN/SSC has extension, it is indicated by the extension1 identifier being unequal to 'FF'. The remainder is stored in the EFEXT1 with the remaining length of the additional data being coded in the appropriate additional record itself.

#### 8.1.3.2 Conformance requirement

CR 1 The ME shall support the BCD number/SSC extension for EFADN.

Reference:

- TS 31.102 [4], clauses 4.4.2.3 and 4.4.2.4.

#### 8.1.3.3 Test purpose

The purpose of this test is to verify that the ME is able to read and update BCD numbers/ SSC content with and without extension correctly in EFADN and EFEXT1.

#### 8.1.3.4 Method of test

##### 8.1.3.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exceptions:

Only the global phonebook is present, containing an **EFPBR** (Phonebook Reference File) were only EFADN and EFEXT1 are present.

**EFADN** (Abbreviated dialling numbers)

Logically:

10 records, each record non-empty and unique. Unless otherwise stated, the ADN records shall not use extended BCD numbers/SSC strings

Record 1:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact001";

Length of BCD number: 11;

TON and NPI: Telephony and International;

Dialled number: "00112233445566778899";

CCI: 'FF';

Ext1: '01'.

Coding for record 1:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 31 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 0B | 91 | 00 | 11 | 22 | 33 | 44 | 55 | 66 | 77 | 88 |
|  | **B44** | **B45** | **B46** |
|  | 99 | FF | 01 |

Record 2:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact002";

Length of BCD number: 11;

TON and NPI: Telephony and International;

Dialled number: "01234567890123456789";

CCI: 'FF';

Ext1: 'FF'.

Coding for record 2:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 32 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 0B | 91 | 10 | 32 | 54 | 76 | 98 | 10 | 32 | 54 | 76 |
|  | **B44** | **B45** | **B46** |
|  | 98 | FF | FF |

Record 3:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact003";

Length of BCD number: 11;

TON and NPI: Telephony and International;

Dialled number: "99887766554433221100";

CCI: 'FF';

Ext1: '02'.

Coding for record 3:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 33 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 0B | 91 | 99 | 88 | 77 | 66 | 55 | 44 | 33 | 22 | 11 |
|  | **B44** | **B45** | **B46** |
|  | 00 | FF | 02 |

Record 4:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact004";

Length of BCD number: 9;

TON and NPI: Telephony and International;

Dialled number: "1212121212121212";

CCI: 'FF';

Ext1: 'FF'.

Coding for record 4:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 34 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 09 | 91 | 21 | 21 | 21 | 21 | 21 | 21 | 21 | 21 | FF |
|  | **B44** | **B45** | **B46** |
|  | FF | FF | 02 |

Record 7:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact007";

Length of BCD number: 3;

TON and NPI: Telephony and International;

Dialled number: "678";

CCI: 'FF';

Ext1: 'FF'.

Coding for record 7:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 37 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 03 | 91 | 76 | F8 | FF | FF | FF | FF | FF | FF | FF |
|  | **B44** | **B45** | **B46** |
|  | FF | FF | FF |

**EFEXT1** (Extension 1)

Logically:

4 records

Record 1:

Record type: '02';

Extension data: "01234567890123456789";

Identifier: 'FF'.

Coding for record 1:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 02 | 0A | 10 | 32 | 54 | 76 | 98 | 10 | 32 | 54 | 76 | 98 |
|  | **B13** |
|  | FF |

Record 2:

Record type: '02';

Extension data: "11p12345";

Identifier: 'FF'.

Coding for record 2:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 02 | 0A | 99 | 88 | 77 | 66 | 55 | 44 | 33 | 22 | 11 | 00 |
|  | **B13** |
|  | 03 |

Record 3:

Record type: '02';

Extension data: "11p12345";

Identifier: 'FF'.

Coding for record 3:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 02 | 04 | 11 | 1C | 32 | 54 | FF | FF | FF | FF | FF | FF |
|  | **B13** |
|  | FF |

Record 4:

Record type: '00';

Extension data: empty;

Identifier: 'FF'.

Coding for record 4:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 00 | FF | FF | FF | FF | FF | FF | FF | FF | FF | FF | FF |
|  | **B13** |
|  | FF |

The TT does not need to transmits on any BCCH.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 8.1.3.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | USER | Select the global phonebook | The user shall use an MMI dependent procedure to select the global phonebook. |  |  |
| 2 | TT | READ EFPBR |  | CR 1 | A.2/1 OR A.2/2 |
| 3 | USER | Change BCD number of the entry "Contact002" from EFADN | The user shall change the BCD number of the entry "Contact002" to "22446622446622446600777888999". If the maximum number of BCD digits supported for the global phonebook update is less than in the requested input BCD number string, then the user shall enter the BCD number string as requested, but only up to the maximum number of BCD digits which are supported for updating. |  |  |
| 4 | TT | READ EFADN and EFEXT1 |  | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 5 | USER | Extend the BCD number of the entry "Contact007" from EFADN | The user shall extend the BCD number of the entry "Contact007" to "01234567890123456789777888999". If the maximum number of BCD digits supported for the global phonebook update is less than in the requested input BCD number string, then the user shall enter the BCD number string as requested, but only up to the maximum number of BCD digits which are supported for updating. |  |  |
| 6 | TT | READ EFADN and EFEXT1 |  | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 7 | USER | Delete the entry "Contact001" from EFADN |  |  |  |
| 8 | TT | READ EFADN and EFEXT1 |  | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 9 | USER | Change BCD number of the entry "Contact002" from EFADN | The user shall change the BCD number of the entry "Contact002" to "22446622446600". |  |  |
| 10 | TT | READ EFADN and EFEXT1 |  | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 11 | USER | Create a new entry in EFADN | The user shall create the new phonebook entry "NewContact" with the BCD number "1234567890123456789012345678901234567890123456789012". If the maximum number of BCD digits supported for the global phonebook update is less than in the requested input BCD number string, then the user shall enter the BCD number string as requested, but only up to the maximum number of BCD digits which are supported for updating. |  |  |
| 12 | TT | READ EFADN and EFEXT1 |  | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 13 | USER | Delete the entry "Contact003" from EFADN |  |  |  |
| 14 | TT | READ EFADN and EFEXT1 |  | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |

#### 8.1.3.5 Acceptance criteria

CR 1 is explicitly verified in step 2) by using the methods A.2/1 or A.2/2 CR 1 the requirement is met if the ME has selected the global phonebook and has read EFPBR.

CR 1 is explicitly verified in (or after, when using method A.2/3) steps 4), 6), 8), 10), 12) and 14), by any verification method listed in Table A.2. The requirement is met if:

in step 4), the global phonebook contains a record with "22446622446622446600" as BCD number and "04" as extension record identifier. EFEXT1 shall contain a record with "Additional data" as record type, the BCD number extension "777888999" and "FF" as identifier to indicate the end of the chain.

NOTE: If the maximum number of BCD digits supported for global phonebook updating is less than in the requested input BCD number, then EFADN and EFEXT1 shall contain the BCD number as entered on the MMI.has selected selected the global phonebook and shall have read EFPBR in the global phonebook.

in step 6), the ME acted to prevent storage of the extended BCD number, e.g. by giving an indication to the user or not allowing to enter the extended number. EFEXT1 has not been updated and the extension record identifier of the entry "Contact007" remains as "FF".

in step 8), the records of EFADN and EFEXT1 for the phonebook entry "Contact001" are empty, i.e. the EFADN record shall be "FF… FF" and the EFEXT1 record shall be "00FF… FF."

in step 10), the record of EFEXT1 which was used to store the BCD number extension "777888999" is empty and the record of EFADN used for storing the entry with the alpha identifier "Contact002" contains the BCD number "22446622446600" and the extension record identifier "FF".

in step 12), a record of EFADN contains "NewContact" as alpha identifier, "12345678901234567890" as BCD number and uses an extension record identifier unequal to "FF".

NOTE: The EFEXT1 record which was indicated in the EFADN record used in this case shall contain "Additional data" as record type, "12345678901234567890" as BCD number and an extension record identifier unequal to "FF", while the EFEXT1 record used to continue the chain inside EFEXT1 shall contain "Additional data" as record type, "123456789012" as BCD number and "FF" as extension record identifier.  
If the maximum number of BCD digits supported for global phonebook updating is less than the requested input BCD number, then EFADN and EFEXT1 shall contain the BCD number as entered on the MMI.

in step 14), the EFADN record, which was used to store the data for "Contact003" and the related records of EFEXT1 are empty.

If A.2/3 is the only method used, the reading of EFPBR in step 2) can be handled as implicitly verified if all the following phonebook actions can be executed successfully.

### 8.1.4 Phonebook selection

#### 8.1.4.1 Definition and applicability

The UICC may contain a global phonebook, or application specific phonebooks, or both in parallel. When both phonebook types co-exist, they are independent and no data is shared. In this case, it shall be possible for the user to select which phonebook the user would like to access.

#### 8.1.4.2 Conformance requirement

CR 1 The ME shall support the global and the application specific phonebooks.

Reference:

- TS 31.102 [4], clauses 4.4.2.

#### 8.1.4.3 Test purpose

The purpose of this test is to verify that:

1) the ME offers a possibility to select which phonebook the user would like to use. If both, the global and the local phonebook, co-exist;

2) the data contained in the local phonebook can be read and updated correctly;

3) the data contained in the global phonebook can be read and updated correctly.

#### 8.1.4.4 Method of test

##### 8.1.4.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exceptions:

The local and the global phonebook are both present.

The local phonebook shall contain an:

**EFPBR** (Phonebook Reference File) were only EFADN and EFEXT1 are present:

**EFADN** (Abbreviated dialling numbers)

Logically:

10 records, each record non-empty and unique.

Record 4:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact004";

Length of BCD number: 3;

TON and NPI: Telephony and International;

Dialled number: "004";

CCI: 'FF';

Ext1: 'FF'.

Coding for record 4:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 34 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 03 | 91 | 00 | F4 | FF | FF | FF | FF | FF | FF | FF |
|  | **B44** | **B45** | **B46** |
|  | FF | FF | FF |

Record 5:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact005";

Length of BCD number: 3;

TON and NPI: Telephony and International;

Dialled number: "1234";

CCI: 'FF';

Ext1: None.

Coding for record 5:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 35 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 03 | 91 | 21 | 43 | FF | FF | FF | FF | FF | FF | FF |
|  | **B44** | **B45** | **B46** |
|  | FF | FF | FF |

The global phonebook shall contain an:

**EFPBR** (Phonebook Reference File) were only EFADN is present:

**EFADN** (Abbreviated dialling numbers)

Logically:

8 records, record 3 and record 6 are empty, each record non-empty is unique.

Record 1:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact001";

Length of BCD number: 3;

TON and NPI: Telephony and International;

Dialled number: "001";

CCI: 'FF';

Ext1: 'FF'.

Coding for record 1:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 31 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 03 | 91 | 00 | F1 | FF | FF | FF | FF | FF | FF | FF |
|  | **B44** | **B45** | **B46** |
|  | FF | FF | FF |

Record 2:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact002";

Length of BCD number: 3;

TON and NPI: Telephony and International;

Dialled number: "002";

CCI: 'FF';

Ext1: 'FF'.

Coding for record 2:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 32 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 03 | 91 | 00 | F2 | FF | FF | FF | FF | FF | FF | FF |
|  | **B44** | **B45** | **B46** |
|  | FF | FF | FF |

Record 4:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact004";

Length of BCD number: 3;

TON and NPI: Telephony and International;

Dialled number: "0041";

CCI: 'FF';

Ext1: 'FF'.

Coding for record 4:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 34 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 03 | 91 | 00 | 14 | FF | FF | FF | FF | FF | FF | FF |
|  | **B44** | **B45** | **B46** |
|  | FF | FF | FF |

Record 5:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact005";

Length of BCD number: 3;

TON and NPI: Telephony and International;

Dialled number: "1234";

CCI: 'FF';

Ext1: 'FF'.

Coding for record 5:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 35 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 03 | 91 | 21 | 43 | FF | FF | FF | FF | FF | FF | FF |
|  | **B44** | **B45** | **B46** |
|  | FF | FF | FF |

Record 7:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact007";

Length of BCD number: 3;

TON and NPI: Telephony and International;

Dialled number: "007";

CCI: 'FF';

Ext1: 'FF'.

Coding for record 7:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 37 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 03 | 91 | 00 | F7 | FF | FF | FF | FF | FF | FF | FF |
|  | **B44** | **B45** | **B46** |
|  | FF | FF | FF |

Record 8:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact008";

Length of BCD number: 3;

TON and NPI: Telephony and International;

Dialled number: "008";

CCI: 'FF';

Ext1: 'FF'.

Coding for record 7:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 38 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 03 | 91 | 00 | F8 | FF | FF | FF | FF | FF | FF | FF |
|  | **B44** | **B45** | **B46** |
|  | FF | FF | FF |

The TT does not need to transmits on any BCCH.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and runs an initial activation.

##### 8.1.4.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | ME | READ EFUST | The ME shall have read the status of the local phonebook in EFUST | CR 1 | A.2/1 OR A.2/2 |
| 2 | USER | Select the global phonebook | The user shall use an MMI dependent procedure to select the global phonebook |  |  |
| 3 | TT | READ EFPBR | The ME shall have read EFPBR of the global phonebook. | CR 1 | A.2/1 OR A.2/2 |
| 4 | USER | Read "Contact005" | The global phonebook record with the alpha identifier "Contact005" and the dialling number "+1234" shall be presented to the user | CR 1 |  |
| 5 | USER | Change "Contact005" | The dialling number of the global phonebook record with the alpha identifier "Contact005" shall be set to "+1122330" |  |  |
| 6 | TT | READ EFADN | The ME shall have given an indication to the user that the phonebook update has been performed successfully | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 7 | USER | Add an entry "Contact006" | The new entry with alpha identifier "Contact006" and "+9876543210" as associated dialling number shall be added to the global phonebook |  |  |
| 8 | TT | READ EFADN |  | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 9 | USER | Select the local phonebook | The user shall use an MMI dependent procedure to select the local phonebook |  |  |
| 10 | TT | READ EFPBR | The ME shall have read EFPBR of the local phonebook. | CR 1 | A.2/1 OR A.2/2 |
| 11 | USER | Read "Contact005" | The local phonebook record with the alpha identifier "Contact005" and the dialling number "+1234" shall be presented to the user | CR 1 |  |
| 12 | USER | Change BCD number of the entry "Contact005" from EFADN | The user shall change the BCD number of the entry "Contact005" to "+11223345". |  |  |
| 13 | TT | READ EFADN | The ME shall have given an indication to the user that the phonebook update has been performed successfully | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 14 | USER | Create a new entry in EFADN | The user shall try to add a new entry with the values "Contact007" as alpha identifier and "+007" as associated dialling number to the local phonebook |  |  |
| 15 | TT | READ EFADN | The ME shall have given an indication to the user that the phonebook update cannot be performed | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 16 | USER | Delete the entry "Contact004" from EFADN |  |  |  |
| 17 | TT | READ EFADN | The ME shall have given an indication to the user that the deletion in the phonebook has been performed successfully | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 18 | USER | Create a new entry in EFADN | The user shall try to add a new entry with the values "Contact007" as alpha identifier and "+007" as associated dialling number to the local phonebook |  |  |
| 19 | TT | READ EFADN |  | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 20 | USER | Select the global phonebook | The user shall use an MMI dependent procedure to select the global phonebook |  |  |
| 21 | TT | READ EFPBR | The ME shall have read EFPBR of the global phonebook. | CR 1 | A.2/1 OR A.2/2 |
| 22 | USER | Delete the entry "Contact007" from EFADN |  |  |  |
| 23 | TT | READ EFADN | The ME shall have given an indication to the user that the deletion in the phonebook has been performed successfully | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |

#### 8.1.4.5 Acceptance criteria

In step 4), step 11) and step 15) CR 1 is verified via the MMI. The requirement is met if:

in steps 4) and 11), the correct phonebook entry is presented to the user;

in step 15) an appropriate error message is presented to the user.

CR 1 is explicitly verified in (or after, when using method A.2/3) steps 6), 8), 13), 17), 19) and 23), by any verification method listed in Table A.2. The requirement is met if:

in step 6), the user gets the indication that global phonebook update has been performed successfully, and if the global phonebook contains an ADN record with the alpha identifier "Contact005" and a dialling number set to "+1122330".

in step 8), the global phonebook contains an ADN record with the alpha identifier "Contact006" and a dialling number set to "+9876543210".

in step 13), the user gets the indication that the update of the local phonebook has been performed successfully, and if the local phonebook contains an ADN record with the alpha identifier "Contact005" and a dialling number set to "+11223345".

in step 17), the user gets the indication that the deletion from the local phonebook has been performed successfully, and if the record which was used to store the entry "Contact004" is empty.

in step 19), the local phonebook contains an ADN record with the alpha identifier "Contact007" and a dialling number set to "+007".

in step 23), the user gets the indication that the deletion from the global phonebook has been performed successfully, and if the record which was used to store the entry "Contact007" is empty.

If A.2/3 is the only method used, the reading of EFUST in step 1) and of EFPBR in steps 3), 10) and 21) can be handled as implicitly verified if all the following phonebook actions can be executed successfully.

### 8.1.5 Local Phonebook handling

#### 8.1.5.1 Definition and applicability

The UICC may contain a global phonebook, or application specific phonebooks, or both in parallel.

#### 8.1.5.2 Conformance requirement

CR 1 The ME shall support the the local phonebook.

Reference:

- TS 31.102 [4], clauses 4.4.2.

#### 8.1.5.3 Test purpose

The purpose of this test is to verify that:

1) the ME supports the local phonebook without existence of the global phonebook;

2) the data contained in the local phonebook can be read and updated correctly;

#### 8.1.5.4 Method of test

##### 8.1.5.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exceptions:

Only the local phonebook is present, containing an **EFPBR** (Phonebook Reference File) were only EFADN and EFEXT1 are present.

**EFADN** (Abbreviated dialling numbers)

Logically:

10 records, each record non-empty and unique.

Record 4:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact004";

Length of BCD number: 3;

TON and NPI: Telephony and International;

Dialled number: "004";

CCI: 'FF';

Ext1: 'FF'.

Coding for record 4:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 34 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 03 | 91 | 00 | F4 | FF | FF | FF | FF | FF | FF | FF |
|  | **B44** | **B45** | **B46** |
|  | FF | FF | FF |

Record 5:

Length of alpha identifier: 32 characters;

Alpha identifier: "Contact005";

Length of BCD number: 3;

TON and NPI: Telephony and International;

Dialled number: "1234";

CCI: 'FF';

Ext1: None.

Coding for record 5:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **…** |
| Hex | 43 | 6F | 6E | 74 | 61 | 63 | 74 | 30 | 30 | 35 | FF | … |
|  | **B32** | **B33** | **B34** | **B35** | **B36** | **B37** | **B38** | **B39** | **B40** | **B41** | **B42** | **B43** |
|  | FF | 03 | 91 | 21 | 43 | FF | FF | FF | FF | FF | FF | FF |
|  | **B44** | **B45** | **B46** |
|  | FF | FF | FF |

The TT does not need to transmits on any BCCH.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and run an initial activation before step 1).

##### 8.1.5.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | USER | Select the global phonebook | The user shall use an MMI dependent procedure to select the global phonebook. |  |  |
| 2 | TT | READ EFPBR |  | CR 1 | A.2/1 OR A.2/2 |
| 3 | USER | Change BCD number of the entry "Contact002" from EFADN | The user shall change the BCD number of the entry "Contact002" to "22446622446622446600777888999". If the maximum number of BCD digits supported for the global phonebook update is less than in the requested input BCD number string, then the user shall enter the BCD number string as requested, but only up to the maximum number of BCD digits which are supported for updating. |  |  |
| 4 | TT | READ EFADN and EFEXT1 |  | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 5 | USER | Extend the BCD number of the entry "Contact007" from EFADN | The user shall extend the BCD number of the entry "Contact007" to "01234567890123456789777888999". If the maximum number of BCD digits supported for the global phonebook update is less than in the requested input BCD number string, then the user shall enter the BCD number string as requested, but only up to the maximum number of BCD digits which are supported for updating. |  |  |
| 6 | TT | READ EFADN and EFEXT1 |  | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 7 | USER | Delete the entry "Contact001" from EFADN |  |  |  |
| 8 | TT | READ EFADN and EFEXT1 |  | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 9 | USER | Change BCD number of the entry "Contact002" from EFADN | The user shall change the BCD number of the entry "Contact002" to "22446622446600". |  |  |
| 10 | TT | READ EFADN and EFEXT1 |  | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 11 | USER | Create a new entry in EFADN | The user shall create the new phonebook entry "NewContact" with the BCD number "1234567890123456789012345678901234567890123456789012". If the maximum number of BCD digits supported for the global phonebook update is less than in the requested input BCD number string, then the user shall enter the BCD number string as requested, but only up to the maximum number of BCD digits which are supported for updating. |  |  |
| 12 | TT | READ EFADN and EFEXT1 |  | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |
| 13 | USER | Delete the entry "Contact003" from EFADN |  |  |  |
| 14 | TT | READ EFADN and EFEXT1 |  | CR 1 | A.2/1 OR A.2/2 (OR A.2/3) |

#### 8.1.5.5 Acceptance criteria

CR 1 is explicitly verified in step 2) by using the methods A.2/1 or A.2/2 CR 1 the requirement is met if the ME has selected the global phonebook and has read EFPBR.

CR 1 is explicitly verified in (or after, when using method A.2/3) steps 4), 6), 8), 10), 12) and 14), by any verification method listed in Table A.2. The requirement is met if:

in step 4), the global phonebook contains a record with "22446622446622446600" as BCD number and "04" as extension record identifier. EFEXT1 shall contain a record with "Additional data" as record type, the BCD number extension "777888999" and "FF" as identifier to indicate the end of the chain.

NOTE: If the maximum number of BCD digits supported for global phonebook updating is less than in the requested input BCD number, then EFADN and EFEXT1 shall contain the BCD number as entered on the MMI.has selected selected the global phonebook and shall have read EFPBR in the global phonebook.

in step 6), the ME acted to prevent storage of the extended BCD number, e.g. by giving an indication to the user or not allowing to enter the extended number. EFEXT1 has not been updated and the extension record identifier of the entry "Contact007" remains as "FF".

in step 8), the records of EFADN and EFEXT1 for the phonebook entry "Contact001" are empty, i.e. the EFADN record shall be "FF… FF" and the EFEXT1 record shall be "00FF… FF."

in step 10), the record of EFEXT1 which was used to store the BCD number extension "777888999" is empty and the record of EFADN used for storing the entry with the alpha identifier "Contact002" contains the BCD number "22446622446600" and the extension record identifier "FF".

in step 12), a record of EFADN contains "NewContact" as alpha identifier, "12345678901234567890" as BCD number and uses an extension record identifier unequal to "FF".

NOTE: The EFEXT1 record which was indicated in the EFADN record used in this case shall contain "Additional data" as record type, "12345678901234567890" as BCD number and an extension record identifier unequal to "FF", while the EFEXT1 record used to continue the chain inside EFEXT1 shall contain "Additional data" as record type, "123456789012" as BCD number and "FF" as extension record identifier.  
If the maximum number of BCD digits supported for global phonebook updating is less than the requested input BCD number, then EFADN and EFEXT1 shall contain the BCD number as entered on the MMI.

in step 14), the EFADN record, which was used to store the data for "Contact003" and the related records of EFEXT1 are empty.

If A.2/3 is the only method used, the reading of EFPBR in step 2) can be handled as implicitly verified if all the following phonebook actions can be executed successfully.

## 8.2 Short message handling report

### 8.2.1 Correct storage of a SM on the USIM

GERAN/UTRAN test - not applicable

### 8.2.2 Correct reading of a SM on the USIM

GERAN/UTRAN test - not applicable

### 8.2.3 SM memory capacity exceeded handling

GERAN/UTRAN test - not applicable

### 8.2.4A Correct storage of an SM on the UICC

GERAN/UTRAN test - not applicable

### 8.2.4B Correct storage of an SM on the UICC

FFS

### 8.2.5 Correct reading of a SM on the USIM if USIM and ISIM are present

FFS

### 8.2.6 Correct reading of a SM on the ISIM if USIM and ISIM are present

FFS

### 8.2.7 Correct storage of an SM on the UICC

FFS

## 8.3 MMS related tests

### 8.3.0 General condition

Currently MMS related test cases defined in TS 31.121 [2] are not used for device certification by any of the certification organisations. As long as there is no demand the tests from this clause will not be defined and set to FFS.

### 8.3.1 UE recognizing the priority order of MMS Issuer Connectivity Parameters

FFS

### 8.3.2 UE recognizing the priority order of MMS User Connectivity Parameters

FFS

### 8.3.3 UE recognizing the priority order of MMS Issuer Connectivity Parameters over the MMS User Connectivity Parameters

FFS

### 8.3.4 Usage of MMS notification

FFS

## 8.4 UICC presence detection

Not applicable for UEs with nrUSIMs.

## 8.5 UICC presence detection when connected to E‑UTRAN/EPC

Not applicable for UEs with nrUSIMs.

# 9 USIM service handling

## 9.1 Access Point Name Control List handling

### 9.1.1 Access Point Name Control List handling for UEs supporting ACL

GERAN/UTRAN test - not applicable

### 9.1.2 Network provided APN handling for UEs supporting ACL

GERAN/UTRAN test - not applicable

9.1.3 Access Point Name Control List handling for UEs not supporting ACL

GERAN/UTRAN test - not applicable

### 9.1.4 Access Point Name Control List handling for UEs supporting ACL connected to E-UTRAN/EPC

#### 9.1.4.1 Definition and applicability

This EFACL contains the list of allowed APNs (Access Point Names). If the APN Control List service is enabled and the ME is to provide an APN as part of attach for PDN connectivity, then the ME shall verify that the APN value is present in the EFACL and if it is not the ME shall not proceed with the attach procedure. If the APN Control List service is enabled and the ME does not indend to provide an APN as part of the attach for PDN connectivity and use a network provided APN, the ME shall not check if "network provided APN" is contained within EFACL.

There is 1:1 mapping between one PDP context and one EPS Bearer.

Some MEs might not support the enablement or the disablement of the APN Control List service or the modification of the APN Control List. In these cases, the test sequence below provides separate branches.

#### 9.1.4.2 Conformance requirement

CR 1 The terminal shall support the APN Control List service as defined in TS 31.102 [19], clauses 5.1.1.2 and 5.3.14.

Reference:

- TS 31.102 [19], clauses 4.2.8, 4.2.48, 5.1.1.2 and 5.3.14;

- TS 23.060 [55], clause 9.2, 9.2.1A.

#### 9.1.4.3 Test purpose

The purpose of this test is to verify that:

1) the ME consideres the status of the APN Control List service as indicated in EFUST and EFEST.

2) The ME checks that the entire APN of any EPS Bearer is listed in EFACL before requesting this EPS Bearer activation from the network if the ACL service is enabled.

3) the ME does not request the corresponding EPS Bearer activation from the network if the ACL service is enabled and the APN is not present in EFACL.

4) the ME does not check that the network provided APN is present in EFACL during the initial attach procedure.

#### 9.1.4.4 Method of test

##### 9.1.4.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with the following exceptions:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°86: |  | Allowed CSG Lists and corresponding indications | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx x111 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** | **B13** | **B14** | **B15** |
|  | xxxx xxxx | xxxx xxxx | xx01 xxxx | xxxx xxxx | xxxx xxxx | xxxx xxxx | xxxx xxxx |

**EFACL** (Access Point Control List)

Logically:

Number of available bytes: 64

Number of APNs: 3

1st APN: test.test

2nd APN: 3gpp.test

3rd APN: 2gpp.test

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 03 | DD | 0A | 04 | 74 | 65 | 73 | 74 | 04 | 74 | 65 | 73 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 74 | DD | 0A | 04 | 33 | 67 | 70 | 70 | 04 | 74 | 65 | 73 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** | **B33** | **B34** | **B35** | **B36** |
|  | 74 | DD | 0A | 04 | 32 | 67 | 70 | 70 | 04 | 74 | 65 | 73 |
|  | **B37** | **B38** | **…** | **B64** |
|  | 74 | FF | … | FF |

The ME is configured to use the network provided APN for the initial attach procedure.

The TT (E-USS) transmits on the BCCH, with valid network parameters

##### 9.1.4.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run initial activation |  |  |  |
| 2 | UE > TT | Attach to E‑UTRAN | The ME shall have read the status of the ACL service in EFUST and EFEST.  The ME shall be in updated idle mode on the E-USS. |  |  |
| 3 | USER > UE | Request PDN connectivity to "1gpp.test" | The ME shall not request PDN connectivity. | CR 1 |  |
| 4 | USER > UE | Request PDN connectivity to "3gpp.test" | PDN connectivity shall be activated. | CR 1 |  |
| 5 | USER > UE | Deactivate the PDN connectivity to "3gpp.test" | PDN connectivity shall be deactivated. | CR 1 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Steps to be executed if the user interface supports disableing the APN Control List service | | | | | |
| 6 | USER > UE | Disable the APN Control List service | When prompted to enter PIN2, the user shall present the correct PIN2 value (most probably 9999#).  The APN Control List service shall have been set to disabled in EFEST. | CR 1 | A.2/3 |
| 7 | USER > UE | Request PDN connectivity to "1gpp.test" | PDN connectivity shall be activated. | CR 1 |  |
| 8 | USER > UE | Deactivate the PDN connectivity to "1gpp.test" | PDN connectivity shall be deactivated. | CR 1 |  |
| 9 | UE | The UE is powered off and then on |  |  |  |
| 10 | USER > UE | Enable the APN Control List service | When prompted to enter PIN2, the user shall present the correct PIN2 value (most probably 9999#).  The APN Control List service shall have been set to enabled in EFEST. | CR 1 | A.2/3 |
| 11 | USER > UE | Request PDN connectivity to "1ppp.test" | The ME shall not request PDN connectivity. | CR 1 |  |
| 12 | UE | The UE is powered off and then on |  |  |  |
| 13 | USER > UE | Add the APN "1ppp.net" to the APN Control List | When prompted to enter PIN2, the user shall present the correct PIN2 value (most probably 9999#).  APN "1ppp.net" shall have been added to the APN Control List in EFACL**.** | CR 1 | A.2/3 |
| 14 | USER > UE | Request PDN connectivity to "1ppp.test" | PDN connectivity shall be activated. | CR 1 |  |
| 15 | USER > UE | Deactivate the PDN connectivity | PDN connectivity shall be deactivated. | CR 1 |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Common test steps for all UEs | | | | | |
| 16 | USER > UE | UE is soft powered down |  |  |  |

#### 9.1.4.5 Acceptance criteria

For devices not supporting a user interface supports to disable the APN Control List service CR 1 is implicitly verified at steps 3), 4) amd 5). The requirement is met if the UE is not requesting PDN connectivity in step 3), activating PDN connectivity in step 4) and deactivating PDN connectivity in step 5).

For devices supporting a user interface supports to disable the APN Control List service further aspects of CR 1 are verified in steps 6), 7), 8), 10), 11), 13), 14) and 15).

The requirements are met if the implicit verification in steps 7), 8), 11), 14) and 15) returns the expected results.

Additional verification is possible by exploring the contents of EFEST via method A.2/3 in steps 6), 10) and 13).

## 9.2 Service Dialling Numbers handling

### 9.2.1 Service Dialling Numbers handling

GERAN/UTRAN test - not applicable

# 10 CSG list handling

## 10.1 CSG list handling for E-UTRA

### 10.1.1 Automatic CSG selection in E-UTRA with CSG list on USIM, success

#### 10.1.1.1 Definition and applicability

A Closed Subscriber Group identifies subscribers of an operator who are permitted to access one or more cells of the PLMN but which have restricted access (CSG cells). A CSG cell is part of the PLMN, broadcasting a CSG indication that is set to TRUE and a specific CSG identity. A CSG cell is accessible by the members of the closed subscriber group for that CSG identity. For a CSG cell, the UE shall check the broadcast CSG ID against the Allowed CSG list provided by NAS to check whether a CSG cell is suitable for the UE.

#### 10.1.1.2 Conformance requirement

CR 1 The ME shall read the allowed CSG IDs from EFACSGL in order to perform HNB selection procedures. The lists in EFACSGL shall take precedence over the list stored in the ME non-volatile memory. If the MS supports CSG and if the list is available in the USIM, it is provisioned with a list of allowed CSG identities and associated PLMN identities from the USIM.

Reference:

- TS 31.102 [19], clauses 4.4.6.2 and 5.8.1;

- TS 23.122 [41], clause 3.1A.

CR 2 When a UE in idle mode detects the presence of a permissible CSG cell (a CSG cell whose CSG identity is in the UE's permitted CSG list), the UE shall select the CSG cell.

Reference:

- TS 22.011 [18], clause 8.2.2.1.

#### 10.1.1.3 Test purpose

The purpose of this test is to verify that the ME only selects a CSG cell if the CSG IDs of the cell is found in the allowed CSG IDs from EFACSGL

#### 10.1.1.4 Method of test

##### 10.1.1.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with EFIMSI as defined in clause 4.6.2.

The TT (E-USS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

- csg-Indication: TRUE

- csg-Identity: 05

Cell B:

- TAI (MCC/MNC/TAC): 246/081/0002.

- Access control: unrestricted.

- csg-Indication: TRUE

- csg-Identity: 04

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and set the UEto automatic PLMN selection mode.

##### 10.1.1.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Comment | REQ | SA |
| 1 | UE | Run initial activation |  |  |  |
| 2 | UE | READ EFACSGL |  | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE | The UE shall not attempt an Attach procedure, this is checked for 2 mins. |  | CR 2 |  |
| 4 | TT | TT stops all RF output for the first cell. | |  |  |
| 5 | TT | The network parameters in BCCH of Cell A is reconfigured to indicate the following:  - csg-Identity: 03  TT resumes RF output on the BCCH. |  |  |  |
| 6 | UE > TT | Send RRC CONNECTION REQUEST on first Cell (TAI 246/081/0001) | The TT responds with RRC CONNECTION SETUP |  |  |
| 7 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  | CR 2 |  |
| 8 | UE > TT | Send ATTACH REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 9 | TT > UE | Send ATTACH ACCEPT with:  - TAI (MCC/MNC/TAC):  246/081/ 0001  - GUTI: "24608100010266436587" | UE sends ATTACH COMPLETE, and further TT sends RRC CONNECTION RELEASE |  |  |
| 10 | TT > UE | UE is soft powered down. |  |  |  |

#### 10.1.1.5 Acceptance criteria

CR 1 shall be explicitly verified in step 2) by ensuring that UE has successfully read EFACSGL

CR 2 shall be verified by ensuring that:

1. UE has not attempted registration in step 3),
2. UE has successfully registered to the permissible CSG cell that was pre-configured in EFACSGL at step 9). This may also be explicitly verified at step 9) by ensuring EFEPSLOCI contains the following data using any of the methods identified in clause 3.7.2 of the present document.

**EFEPSLOCI** (EPS Location Information)

Logically:

GUTI: 24608100010266436587

Last visited registered TAI: 246/081/0001

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 | B5 | B6 | B7 | B8 | B9 | B10 | B11 | B12 |
| Hex | 0B | F6 | 42 | 16 | 80 | 00 | 01 | 02 | 66 | 34 | 56 | 78 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 42 | 16 | 80 | 00 | 01 | 00 |

10.1.2 Automatic CSG selection in E-UTRA with CSG list on USIM, removal of CSG ID from the USIM

#### 10.1.2.1 Definition and applicability

A Closed Subscriber Group identifies subscribers of an operator who are permitted to access one or more cells of the PLMN but which have restricted access (CSG cells). A CSG cell is part of the PLMN, broadcasting a CSG indication that is set to TRUE and a specific CSG identity. A CSG cell is accessible by the members of the closed subscriber group for that CSG identity. For a CSG cell, the UE shall check the broadcast CSG ID against the Allowed CSG list provided by NAS to check whether a CSG cell is suitable for the UE.

#### 10.1.2.2 Conformance requirement

CR 1 If the UE receives ATTACH REJECT with cause #25 (Not authorized for this CSG) with integrity protection, the UE shall remove the CSG ID of the cell where the UE has sent the ATTACH REQUEST message from the Allowed CSG list.

Reference:

- TS 22.011 [18], clause 8.2.2.1;

- TS 31.102 [19], clauses 4.4.6.2 and 5.8.1;

- TS 23.122 [41], clause 3.1A.

- TS 24.301 [21], clause 5.5.1.2.5

#### 10.1.2.3 Test purpose

The purpose of this test is to verify that the ME removes the CSG ID from the Allowed CSG list in EFACSGL in case this CSG ID belongs to the cell where the ME has sent the ATTACH REQUEST message which was rejected with cause #25 by the E-USS.

#### 10.1.2.4 Method of test

##### 10.1.2.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with EFIMSI as defined in clause 4.6.2.

The TT (E-USS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

- csg-Indication: TRUE

- csg-Identity: 03

Cell B:

- TAI (MCC/MNC/TAC): 246/081/0002.

- Access control: unrestricted.

- csg-Indication: TRUE

- csg-Identity: 04

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and set the UE to automatic PLMN selection mode.

##### 10.1.2.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Comment | REQ | SA |
| 1 | UE | Run initial activation |  |  |  |
| 2 | UE > TT | Send RRC CONNECTION REQUEST on Cell A (TAI 246/081/0001) | The TT responds with RRC CONNECTION SETUP |  |  |
| 3 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 4 | UE > TT | Send ATTACH REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 5 | TT > UE | Send ATTACH REJECT with cause #25 (Not authorized for this CSG) with integrity protection. | TT sends RRC CONNECTION RELEASE |  |  |
| 6 | UE > USIM | UPDATE EFACSGL | This may be alternatively verified at step 8). | CR 1 | A.2/2 |
| 7 | TT > UE | UE is soft powered down. |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Optional step if CR 1 verification cannot be performed at step 6) | | | | | |
| 8 | UE | READ EFACSGL, EFEPSLOC |  | CR 1 | A.2/3 |

#### 10.1.2.5 Acceptance criteria

CR 1 shall be explicitly verified either in step 6) by analysing the UPDATE command used to update EFACSGL (A.2/1 or A.2/2) or at step 8) by reading the EFACSGL, EFEPSLOCI and verifying that is contains the following:

**EFEPSLOCI** (EPS Information)

Logically:

GUTI: not checked

Last visited registered TAI: 246/081/0001

EPS update status: ROAMING NOT ALLOWED

Coding;

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | xx | xx | xx | xx | xx | xx | xx | xx | xx | xx | xx | xx |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 42 | 16 | 80 | xx | xx | 02 |

**EFACSGL** (Allowed CSG Lists)

Logically:

1st CSG list

PLMN: 246 081 (MCC MNC)

1st CSG list 1st CSG Type indication 02

1st CSG list 1st CSG HNB Name indication 02

1st CSG list 1st CSG CSG ID: 02 (27bit)

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | A0 | 0D | 80 | 03 | 42 | 16 | 80 | 81 | 06 | 02 | 02 | 00 |
|  | **B13** | **B14** | **B15** |
|  | 00 | 00 | 5F |

2nd CSG list

PLMN: 244 081 (MCC MNC)

2nd CSG list 1st CSG Type indication 08

2nd CSG list 1st CSG HNB Name indication 08

2nd CSG list 1st CSG CSG ID: 08 (27bit)

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | A0 | 0D | 80 | 03 | 42 | 14 | 80 | 81 | 06 | 08 | 08 | 00 |
|  | **B13** | **B14** | **B15** |
|  | 00 | 01 | 1F |

NOTE: the 1st and 2nd CSG list may be stored together or separately in any record in arbitrary order.

### 10.1.3 Manual CSG selection in E-UTRA with CSG list on USIM, success

#### 10.1.3.1 Definition and applicability

A Closed Subscriber Group identifies subscribers of an operator who are permitted to access one or more cells of the PLMN but which have restricted access (CSG cells). A CSG cell is part of the PLMN, broadcasting a CSG indication that is set to TRUE and a specific CSG identity. A CSG cell is accessible by the members of the closed subscriber group for that CSG identity. For a CSG cell, the UE shall check the broadcast CSG ID against the Allowed CSG list provided by NAS to check whether a CSG cell is suitable for the UE.

A UE supporting CSG selection selects CSG cell either automatically based on the list of allowed CSG identities or manually based on user selection of CSG on indication of list of available CSGs.

#### 10.1.3.2 Conformance requirement

CR 1 In manual CSG selection mode, the ME indicates to the user the list of available CSGs in the currently selected PLMN. The list of CSGs presented to the user is not restricted by the allowed CSG list.  
If the MS supports CSG, it is provisioned with a list of allowed CSG identities and associated PLMN identities from the USIM if the list is available in the USIM.

Reference:

- TS 31.102 [19], clauses 4.4.6.2 and 5.8.1;

- TS 23.122 [41], clause 3.1A.

CR 2 If the UE supporting CSG selection has attempted manual CSG selection, the UE, when receiving the TRACKING AREA UPDATE ACCEPT message, shall check if the CSG ID of the cell where the UE has sent the TRACKING AREA UPDATE REQUEST message is contained in the Allowed CSG list. If not, the UE shall add that CSG ID to the allowed CSG list.

Reference:

- TS 24.301 [21], clause 5.5.3.2.4

#### 10.1.3.3 Test purpose

The purpose of this test is to verify that the ME adds the CSG ID to the Allowed CSG list in EFACSGL in case this CSG ID belongs to the cell where the ME has sent the TRACKING AREA UPDATE REQUEST message which was accepted by the E-USS.

#### 10.1.3.4 Method of test

##### 10.1.3.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with EFIMSI as defined in clause 4.6.2.

The TT (E-USS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

- csg-Indication: FALSE

- csg-Identity: not present

Cell B:

- TAI (MCC/MNC/TAC): 246/081/0002.

- Access control: unrestricted.

- csg-Indication: TRUE

- csg-Identity: 04

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and set the UEto automatic PLMN selection mode.

##### 10.1.3.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Comment | REQ | SA |
| 1 | UE | Run initial activation |  |  |  |
| 2 | UE > TT | Send RRC CONNECTION REQUEST on Cell A (TAI 246/081/0001) | The TT responds with RRC CONNECTION SETUP |  |  |
| 3 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 4 | UE > TT | Send ATTACH REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 5 | TT > UE | Send ATTACH ACCEPT with:  - TAI (MCC/MNC/TAC):  246/081/ 0001  - GUTI: "24608100010266345678" | UE sends ATTACH COMPLETE, and further TT sends RRC CONNECTION RELEASE |  |  |
| 6 | TT > UE | Use the MMI of the UE to perform manual CSG selection. | The UE shall indicate the availability of a cell with csg-Identity 04 for PLMN 246/081. The user shall select this cell by using the MMI. | CR 1 |  |
| 7 | UE > TT | Send RRC CONNECTION REQUEST on Cell A (TAI 246/081/0002) | The TT responds with RRC CONNECTION SETUP |  |  |
| 8 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 9 | UE > TT | Send TRACKING AREA UPDATE REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 10 | TT > UE | Send TRACKING AREA UPDATE ACCEPT with:  - TAI (MCC/MNC/TAC):  246/081/ 0002  - GUTI: "24608100010266436599" | UE sends TRACKING AREA UPDATE COMPLETE, and further TT sends RRC CONNECTION RELEASE |  |  |
| 11 | UE > USIM | UPDATE EFACSGL | This may be alternatively verified at step 13). | CR 2 | A.2/2 |
| 12 | TT > UE | UE is soft powered down. |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Optional step if CR 2 verification cannot be performed at step 11) | | | | | |
| 13 | UE | READ EFACSGL |  | CR 2 | A.2/3 |

#### 10.1.3.5 Acceptance criteria

CR 1 is verified at step 6) by ensuring that during the manual CSG selection, the UE shall provide the information for a cell with csg-Identity 04 for PLMN 246/081 to the user.

CR 2 is verified explicitly at step 11) by ensuring that UE has successfully updated EFACSGL with CSG ID: 04 or at step 8) or step 13) by analysing the EFACSGL and verifying that is contains the following CSG lists:

**EFEPSLOCI** (EPS Information)

Logically:

GUTI: 24608100010266436599

Last visited registered TAI: 246/081/0002

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 0B | F6 | 42 | 16 | 80 | 00 | 01 | 02 | 66 | 43 | 65 | 99 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 42 | 16 | 80 | 00 | 02 | 00 |

**EFACSGL** (Allowed CSG Lists)

Logically:

1st CSG list

PLMN: 246 081 (MCC MNC)

1st CSG list 1st CSG Type indication 02

1st CSG list 1st CSG HNB Name indication 02

1st CSG list 1st CSG CSG ID: 02 (27bit)

1st CSG list 2nd CSG Type indication 03

1st CSG list 2nd CSG HNB Name indication 03

1st CSG list 2nd CSG CSG ID: 03 (27bit)

1st CSG list 3rd CSG Type indication 'xx' (not checked)

1st CSG list 3rd CSG HNB Name indication 'xx' (not checked)

1st CSG list 3rd CSG CSG ID: 04 (27bit)

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Coding: | A0 | 1D | 80 | 03 | 42 | 16 | 80 | 81 | 06 | 02 | 02 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 00 | 00 | 5F | 81 | 06 | 03 | 03 | 00 | 00 | 00 | 7F | 81 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** |
|  | 06 | xx | xx | 00 | 00 | 00 | 9F |

2nd CSG list

PLMN: 244 081 (MCC MNC)

2nd CSG list 1st CSG Type indication 08

2nd CSG list 1st CSG HNB Name indication 08

2nd CSG list 1st CSG CSG ID: 08 (27bit)

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Coding: | A0 | 0D | 80 | 03 | 42 | 14 | 80 | 81 | 06 | 08 | 08 | 00 |
|  | **B13** | **B14** | **B15** |
|  | 00 | 01 | 1F |

NOTE: The 1st and 2nd CSG list may be stored together or separately in any record in arbitrary order.  
The CSG entries within each of the CSG lists are not sorted and may occur in arbitrary order.

### 10.1.4 Manual CSG selection in E-UTRA with CSG list on USIM, rejected

#### 10.1.4.1 Definition and applicability

A Closed Subscriber Group identifies subscribers of an operator who are permitted to access one or more cells of the PLMN but which have restricted access (CSG cells). A CSG cell is part of the PLMN, broadcasting a CSG indication that is set to TRUE and a specific CSG identity. A CSG cell is accessible by the members of the closed subscriber group for that CSG identity. For a CSG cell, the UE shall check the broadcast CSG ID against the Allowed CSG list provided by NAS to check whether a CSG cell is suitable for the UE.

A UE supporting CSG selection selects CSG cell either automatically based on the list of allowed CSG identities or manually based on user selection of CSG on indication of list of available CSGs.

#### 10.1.4.2 Conformance requirement

CR 1 If the UE supporting CSG selection has attempted manual CSG selection, the UE, when receiving the TRACKING AREA UPDATE REJECT message with cause #25 (Not authorized for this CSG) with integrity protection, shall not add the CSG ID of the cell where the UE has sent the TRACKING AREA UPDATE REQUEST message if the CSG ID is contained in the Allowed CSG list.

Reference:

- TS 31.102 [4], clauses 4.4.6.2 and 5.8.1;

- TS 23.122 [31], clause 3.1A.

- TS 24.301 [26], clause 5.5.3.2.5

#### 10.1.4.3 Test purpose

The purpose of this test is to verify that the ME does not add the CSG ID to the Allowed CSG list in EFACSGL in case this CSG ID belongs to the cell where the ME has sent the TRACKING AREA UPDATE REQUEST message which was rejected by the E-USS.

#### 10.1.4.4 Method of test

##### 10.1.4.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with EFIMSI as defined in clause 4.6.2.

The TT (E-USS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

- csg-Indication: FALSE

- csg-Identity: not present

Cell B:

- TAI (MCC/MNC/TAC): 246/081/0002.

- Access control: unrestricted.

- csg-Indication: TRUE

- csg-Identity: 04

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and set the UEto automatic PLMN selection mode.

##### 10.1.4.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Comment | REQ | SA |
| 1 | UE | Run initial activation |  |  |  |
| 2 | UE > TT | Send RRC CONNECTION REQUEST on Cell A (TAI 246/081/0001) | The TT responds with RRC CONNECTION SETUP |  |  |
| 3 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 4 | UE > TT | Send ATTACH REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 5 | TT > UE | Send ATTACH ACCEPT with:  - TAI (MCC/MNC/TAC):  246/081/ 0001  - GUTI: "24608100010266345678" | UE sends ATTACH COMPLETE, and further TT sends RRC CONNECTION RELEASE |  |  |
| 6 | TT > UE | Use the MMI of the UE to perform manual CSG selection | The UE shall indicate the availability of a cell with csg-Identity 04 for PLMN 246/081. The user shall select this cell by using the MMI. |  |  |
| 7 | UE > TT | Send RRC CONNECTION REQUEST on Cell A (TAI 246/081/0002) | The TT responds with RRC CONNECTION SETUP |  |  |
| 8 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 9 | UE > TT | Send TRACKING AREA UPDATE REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 10 | TT > UE | Send TRACKING AREA UPDATE REJECT with cause #25 (Not authorized for this CSG) with integrity protection. | TT sends RRC CONNECTION RELEASE |  |  |
| 11 | UE > USIM | Update EFACSGL | This step shall not occur. | CR 1 | A.2/2 |
| 12 | TT > UE | UE is soft powered down. |  |  |  |
| 13 | UE | Read EFACSGL |  | CR 1 | A.2/3 |

#### 10.1.4.5 Acceptance criteria

CR 1 shall be explicitly verified either at step 11) by ensuring that UE shall not update EFACSGL (A.2/1 or A.2/2) or alternatively at step 13) by analysing the EFACSGL, EFEPSLOCI and verifying that values shall be as follows:

**EFEPSLOCI** (EPS Information)

Logically:

GUTI: not checked

Last visited registered TAI: 246/081/0001

EPS update status: ROAMING NOT ALLOWED

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | xx | xx | xx | xx | xx | xx | xx | xx | xx | xx | xx | xx |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 42 | 16 | 80 | 00 | 01 | 02 |

**EFACSGL** (Allowed CSG Lists)

Content not changed, shall be the same as defined in clause 4.5.4 of the present document.

### 10.1.5 CSG selection in E-UTRA with no CSG list on USIM, no IMSI change

#### 10.1.5.1 Definition and applicability

A Closed Subscriber Group identifies subscribers of an operator who are permitted to access one or more cells of the PLMN but which have restricted access (CSG cells). A CSG cell is part of the PLMN, broadcasting a CSG indication that is set to TRUE and a specific CSG identity. A CSG cell is accessible by the members of the closed subscriber group for that CSG identity. For a CSG cell, the UE shall check the broadcast CSG ID against the Allowed CSG list provided by NAS to check whether a CSG cell is suitable for the UE.

A UE supporting CSG selection selects CSG cell either automatically based on the list of allowed CSG identities or manually based on user selection of CSG on indication of list of available CSGs.

#### 10.1.5.2 Conformance requirement

CR 1 If the corresponding file is not present on the USIM, then the UE that supports CSG selection stores the allowed CSG list in a non-volatile memory in the ME together with the IMSI from the USIM. These EMM parameters can only be used if the IMSI from the USIM matches the IMSI stored in the non-volatile memory; else the UE shall delete the EMM parameters.

Reference:

- TS 31.102 [19], clauses 4.4.6.2 and 5.8.1;

- TS 24.301 [21], clause 5.5.3.2.4 and Annex C.

CR 2 To verify that the ME still has this CSG ID stored in the Allowed CSG list (in the non-volatile memory) available together with the IMSI after powered down and up in case the IMSI of the USIM has not changed.

Reference:

- TS 31.102 [19], clauses 4.4.6.2 and 5.8.1;

- TS 24.301 [21], clause 5.5.3.2.4 and Annex C.

CR 3 To verify that the ME removes the CSG ID from the Allowed CSG list inside the ME’s (non-volatile memory) in case this CSG ID belongs to the cell where the ME has sent the ATTACH REQUEST message which was rejected with cause #25 by the E-USS.

Reference:

- TS 23.122 [41], clause 3.1A.

#### 10.1.5.3 Test purpose

The purpose of this test is to verify that:

1. the ME adds the CSG ID to the Allowed CSG list in a non-volatile memory in the ME together with the IMSI from the USIM in case this CSG ID belongs to the cell where the ME has sent the TRACKING AREA UPDATE REQUEST message which was accepted by the E-USS as the corresponding file is not present on the simulated USIM.
2. the ME still has this CSG ID stored in the Allowed CSG list available together with the IMSI after powered down and up in case the IMSI of the USIM has not changed.
3. the ME removes the CSG ID from the Allowed CSG list inside the UE in case this CSG ID belongs to the cell where the ME has sent the ATTACH REQUEST message which was rejected with cause #25 by the E­USS.

#### 10.1.5.4 Method of test

##### 10.1.5.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with EFIMSI as defined in clause 4.6.2 and the following exceptions:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°86: |  | Allowed CSG Lists and corresponding indications | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** |
|  | xxxx xxxx | xxxx xxxx | xx01 xxxx | 0000 0xxx |

The ME shall neither have stored csg-ID = 04 nor csg-ID=06 in the allowed CSG list in a non-volatile memory.

The TT (E-USS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

- csg-Indication: FALSE

- csg-Identity: not present

Cell B:

- TAI (MCC/MNC/TAC): 246/081/0002.

- Access control: unrestricted.

- csg-Indication: TRUE

- csg-Identity: 04

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and set the UEto automatic PLMN selection mode.

##### 10.1.5.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Comment | REQ | SA |
| 1 | UE | Run initial activation |  |  |  |
| 2 | UE > TT | Send RRC CONNECTION REQUEST on Cell A (TAI 246/081/0001) | The TT responds with RRC CONNECTION SETUP |  |  |
| 3 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 4 | UE > TT | Send ATTACH REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 5 | TT > UE | Send ATTACH ACCEPT with:  - TAI (MCC/MNC/TAC):  246/081/ 0001  - GUTI: "24608100010266345678" | UE sends ATTACH COMPLETE, and further TT sends RRC CONNECTION RELEASE |  |  |
| 6 | TT > UE | Use the MMI of the UE to perform manual CSG selection | The UE shall indicate the availability of a cell with csg-Identity 04 for PLMN 246/081. The user shall select this cell by using the MMI. |  |  |
| 7 | UE > TT | Send RRC CONNECTION REQUEST on csg cell (Cell B) (TAI 246/081/0002) | The TT responds with RRC CONNECTION SETUP |  |  |
| 8 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 9 | UE > TT | Send TRACKING AREA UPDATE REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 10 | TT > UE | Send TRACKING AREA UPDATE ACCEPT with the following parameters with integrity protection:  - TAI (MCC/MNC/TAC): 246/081/0002  - GUTI: "24608100010266345699" | UE sends TRACKING AREA UPDATE COMPLETE, and further TT sends RRC CONNECTION RELEASE. |  |  |
| 11 | UE > USIM | UE is powered off and performs DETACH procedure | |  |  |
| 12 | TT | The network parameters in BCCH of Cell A (246/081/0001) is reconfigured to indicate the following:  - csg-Indication: TRUE  - csg-Identity: 06  TT resumes RF output on the BCCH. |  |  |  |
| 13 | UE > USIM | UE is powered on | (with same default E-UTRAN UICC as defined in initial conditions) |  |  |
| 14 | UE > TT | Send RRC CONNECTION REQUEST on csg cell (Cell B) (TAI 246/081/0002) | The TT responds with RRC CONNECTION SETUP | CR 1CR 2 |  |
| 15 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 16 | UE > TT | Send ATTACH REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 17 | TT > UE | Send ATTACH REJECT with cause #25 (Not authorized for this CSG) with integrity protection. | TT sends RRC CONNECTION RELEASE |  |  |
| 18 | UE > USIM | UE is soft powered down |  |  |  |
| 19 | UE > USIM | UE is powered on (with same default E‑UTRAN UICC as defined in initial conditions) |  |  |  |
| 20 | USER > UE | After 2 min, UE is powered down |  | CR 3 |  |

#### 10.1.5.5 Acceptance criteria

CR 1 and CR 2 are verified at step 14) by ensuring that UE shall send TRACKING AREA UPDATE REQUEST and complete the registration to the csg cell (TAI 246/081/0002 with csg identity: 02) successfully.

CR 3 is verified at step 20) by ensuring that the UE shall not attempt to register to the CSG cell (CSG ID: 04) where it previously (at step 17) received an ATTACH REJECT with cause #25.

### 10.1.6 CSG selection in E-UTRA with no CSG list on USIM, with IMSI change

#### 10.1.6.1 Definition and applicability

A Closed Subscriber Group identifies subscribers of an operator who are permitted to access one or more cells of the PLMN but which have restricted access (CSG cells). A CSG cell is part of the PLMN, broadcasting a CSG indication that is set to TRUE and a specific CSG identity. A CSG cell is accessible by the members of the closed subscriber group for that CSG identity. For a CSG cell, the UE shall check the broadcast CSG ID against the Allowed CSG list provided by NAS to check whether a CSG cell is suitable for the UE.

A UE supporting CSG selection selects CSG cell either automatically based on the list of allowed CSG identities or manually based on user selection of CSG on indication of list of available CSGs.

#### 10.1.6.2 Conformance requirement

CR 1 In manual CSG selection mode, the ME indicates to the user the list of available CSGs in the currently selected PLMN. The list of CSGs presented to the user is not restricted by the allowed CSG list.  
If the MS supports CSG, it is provisioned with a list of allowed CSG identities and associated PLMN identities from the USIM if the list is available in the USIM. If the UE supporting CSG selection has attempted manual CSG selection, the UE, when receiving the TRACKING AREA UPDATE ACCEPT message, shall check if the CSG ID of the cell where the UE has sent the TRACKING AREA UPDATE REQUEST message is contained in the Allowed CSG list. If not, the UE shall add that CSG ID to the Allowed CSG list.

Reference:

- TS 31.102 [19], clauses 4.4.6.2 and 5.8.1;

- TS 23.122 [41], clause 3.1A.

- TS 24.301 [21], clause 5.5.3.2.4 and Annex C.

CR 2 If the corresponding file is not present on the USIM, these EMM parameters except allowed CSG list are stored in a non-volatile memory in the ME together with the IMSI from the USIM. The allowed CSG list is stored in a non-volatile memory in the ME if the UE supports CSG selection. These EMM parameters can only be used if the IMSI from the USIM matches the IMSI stored in the non-volatile memory; else the UE shall delete the EMM parameters.

Reference:

- TS 23.122 [41], clause 3.1A.

- TS 24.301 [21], clause 5.5.3.2.4 and Annex C.

#### 10.1.6.3 Test purpose

The purpose of this test is to verify that:

1. the ME adds the CSG ID to the Allowed CSG list in a non-volatile memory in the ME together with the IMSI from the USIM in case this CSG ID belongs to the cell where the ME has sent the TRACKING AREA UPDATE REQUEST message which was accepted by the E-USS as the corresponding file is not present on the configured USIM.
2. the ME removes this CSG ID stored in the Allowed CSG list available together with the IMSI after powered down and up in case the IMSI of the USIM has changed.

#### 10.1.6.4 Method of test

##### 10.1.6.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with EFIMSI as defined in clause 4.6.2 and the following exceptions:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°86: |  | Allowed CSG Lists and corresponding indications | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** |
|  | xxxx xxxx | xxxx xxxx | xx01 xxxx | 0000 0xxx |

The ME shall neither have stored csg-ID = 04 nor csg-ID=06 in the allowed CSG list in a non-volatile memory.

The TT (E-USS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

- csg-Indication: FALSE

- csg-Identity: not present

Cell B:

- TAI (MCC/MNC/TAC): 246/081/0002.

- Access control: unrestricted.

- csg-Indication: TRUE

- csg-Identity: 04

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and set the UEto automatic PLMN selection mode.

##### 10.1.6.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Comment | REQ | SA |
| 1 | UE | Run initial activation |  |  |  |
| 2 | UE > TT | Send RRC CONNECTION REQUEST on the Cell A (TAI 246/081/0001) | The TT responds with RRC CONNECTION SETUP |  |  |
| 3 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 4 | UE > TT | Send ATTACH REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 5 | TT > UE | Send ATTACH ACCEPT with:  - TAI (MCC/MNC/TAC):  246/081/ 0001  - GUTI: "24608100010266345678" | UE sends ATTACH COMPLETE, and further TT sends RRC CONNECTION RELEASE |  |  |
| 6 | TT > UE | Use the MMI of the UE to perform manual CSG selection | The UE shall indicate the availability of a cell with csg-Identity 04 for PLMN 246/081. The user shall select this cell by using the MMI. | CR 1 |  |
| 7 | UE > TT | Send RRC CONNECTION REQUEST on csg cell (Cell B) (TAI 246/081/0002) | The TT responds with RRC CONNECTION SETUP |  |  |
| 8 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 9 | UE > TT | Send TRACKING AREA UPDATE REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 10 | TT > UE | Send TRACKING AREA UPDATE ACCEPT with the following parameters with integrity protection:  - TAI (MCC/MNC/TAC): 246/081/0002  - GUTI: "24608100010266345699" | UE sends TRACKING AREA UPDATE COMPLETE, and further TT sends RRC CONNECTION RELEASE. |  |  |
| 11 | UE > USIM | UE is powered off and performs DETACH procedure |  |  |  |
| 12 | UE > USIM | UE is powered on (with default UICC, with the following exception. The IMSI is set to "246081222233333".) |  |  |  |
| 13 | TT | The network parameters in BCCH of Cell A (246/081/0001) is reconfigured to indicate the following:  - csg-Indication: TRUE  - csg-Identity: 06  TT resumes RF output on the BCCH. |  |  |  |
| 14 | TT | Check if UE tries to register to the cell. | UE shall not try to register to Cell A. | CR 2 |  |
| 15 | USER > UE | After 2 min, UE is soft powered down |  |  |  |

#### 10.1.6.5 Acceptance criteria

CR 1 is verified at step 6) by ensuring that MMI of the UE shall indicate to the user the list of available CSGs in the currently selected PLMN, and user is able to select the CSG cell (CSG ID: 04)

CR 2 is verified at step 20) by ensuring that the UE shall not attempt to register to the CSG cell (CSG ID: 06).

### 10.1.7 Manual CSG selection without display restrictions in E-UTRA with ACSG list and OCSG list on USIM

#### 10.1.7.1 Definition and applicability

A Closed Subscriber Group identifies subscribers of an operator who are permitted to access one or more cells of the PLMN but which have restricted access (CSG cells). A CSG cell is part of the PLMN, broadcasting a CSG indication that is set to TRUE and a specific CSG identity. A CSG cell is accessible by the members of the closed subscriber group for that CSG identity. For a CSG cell, the UE shall check the broadcast CSG ID against the Allowed CSG list provided by NAS to check whether a CSG cell is suitable for the UE.

A UE supporting CSG selection selects a CSG cell either automatically based on the list of allowed CSG identities or manually based on user selection of CSG on indication of list of available CSGs.

*Editor's note: it is still being investigated whether the testing of Automatic CSG selection should be performed using a separate test case or an enhanced version of the Manual CSG selection test case.*

#### 10.1.7.2 Conformance requirement

CR 1 The ME shall read the allowed CSG IDs from EFACSGL and EFOCSGL in order to perform HNB selection procedures. The lists in EFACSGL and EFOCSGL shall take precedence over the list stored in the ME non-volatile memory.  
If the MS supports CSG, it is provisioned with a list of allowed CSG identities and associated PLMN identities from the USIM if the list is available in the USIM.

Reference:

- TS 31.102 [19], clauses 4.2.18, 4.4.6.2, 4.4.6.5 and 5.8.1;

- TS 24.301 [21], clause 5.5.3.2.4

- TS 22.220 [52], clause 5.3.2 and 5.5.4

CR 2 If the UE supporting CSG selection has attempted manual CSG selection, the UE, when receiving the TRACKING AREA UPDATE ACCEPT message, shall check if the CSG ID of the cell where the UE has sent the TRACKING AREA UPDATE REQUEST message is contained in the Allowed CSG list. If not, the UE shall add that CSG ID to the Allowed CSG list EFACSGL.

Reference:

- TS 24.301 [21], clause 5.5.3.2.4

CR 3 By default, the UE shall display all available CSGs for any PLMN, unless the UE has been configured by the HPLMN, for a specific PLMN, to display only CSGs in the Operator CSG List that are available.

Reference:

- TS 22.220 [52], clause 5.5.4

#### 10.1.7.3 Test purpose

The purpose of this test is to verify that:

1. the ME adds the CSG ID to the Allowed CSG list in EFACSGL in case this CSG ID belongs to the cell where the ME has sent the TRACKING AREA UPDATE REQUEST message which was accepted by the E-USS.
2. during the manual CSG selection all available CSG ID shall be displayed without restrictions.

#### 10.1.7.4 Method of test

##### 10.1.7.4.1 Initial conditions

The values of the ACSGL/OCSGL UICC as defined in clause 4.5.6 of the present document are used with EFIMSI as defined in clause 4.6.2.

The TT (E-USS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

- csg-Indication: FALSE

- csg-Identity: not present

Cell B:

- TAI (MCC/MNC/TAC): 246/081/0002.

- Access control: unrestricted.

- csg-Indication: TRUE

- csg-Identity: 04

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and set the UEto automatic PLMN selection mode.

##### 10.1.7.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Comment | REQ | SA |
| 1 | UE | Run initial activation |  |  |  |
| 2 | UE > USIM | READ EFACSGL, EFOCSGL |  | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | Send RRC CONNECTION REQUEST on Cell A (TAI 246/081/0001) | The TT responds with RRC CONNECTION SETUP |  |  |
| 4 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 5 | UE > TT | Send ATTACH REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 6 | TT > UE | Send ATTACH ACCEPT with:  - TAI (MCC/MNC/TAC):  246/081/ 0001  - GUTI: "24608100010266345678" | UE sends ATTACH COMPLETE, and further TT sends RRC CONNECTION RELEASE |  |  |
| 7 | TT > UE | Use the MMI of the UE to perform manual CSG selection. | The UE shall indicate the availability of a cell with csg-Identity 04 for PLMN 246/081 (Cell B). The user shall select this cell by using the MMI. | CR 3 |  |
| 8 | UE > TT | Send RRC CONNECTION REQUEST on csg cell (Cell B) (TAI 246/081/0002) | The TT responds with RRC CONNECTION SETUP |  |  |
| 9 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 10 | UE > TT | Send TRACKING AREA UPDATE REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 11 | TT > UE | Send TRACKING AREA UPDATE ACCEPT with the following parameters with integrity protection:  - TAI (MCC/MNC/TAC): 246/081/0002  - GUTI: "24608100010266345699" | UE sends TRACKING AREA UPDATE COMPLETE, and further TT sends RRC CONNECTION RELEASE. |  |  |
| 12 | UE > USIM | Update EFACSGL | In case the verification of the contents of EFACSGL is not done during the UPDATE command step 14) needs to be executed. | CR 2 | A.2/1 OR A.2/2 |
| 13 | UE > USIM | UE is soft powered down. |  |  |  |
| 14 | User > UE | READ EFEPSLOCI, EFACSGL, EFOCSGL |  |  | A.2/3 |

#### 10.1.7.5 Acceptance criteria

CR 1 shall be explicitly verified in step 2) by ensuring that UE has successfully read EFACSGL and EFOCSGL,

CR 3 is verified at step 7) by ensuring that MMI of the UE shall indicate to the user the list of available CSGs in the currently selected PLMN, and user is able to select the CSG cell (CSG ID: 04)

CR 2 shall be explicitly verified either in step 12) by analysing the UPDATE command used to update EFACSGL (A.2/1 or A.2/2) or at step 14) by reading the EFEPSLOCI, EFACSGL, EFOCSGL and verifying that is contains the following:

**EFEPSLOCI** (EPS Information)

Logically:

GUTI: 24608100010266436599

Last visited registered TAI: 246/081/0002

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 0B | F6 | 42 | 16 | 80 | 00 | 01 | 02 | 66 | 43 | 65 | 99 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 42 | 16 | 80 | 00 | 02 | 00 |

**EFACSGL** (Allowed CSG Lists)

Logically:

1st CSG list

PLMN: 246 081 (MCC MNC)

1st CSG list 1st CSG Type indication 02

1st CSG list 1st CSG HNB Name indication 02

1st CSG list 1st CSG CSG ID: 02 (27bit)

1st CSG list 2nd CSG Type indication 03

1st CSG list 2nd CSG HNB Name indication 03

1st CSG list 2nd CSG CSG ID: 03 (27bit)

1st CSG list 3rd CSG Type indication 'xx' (not checked)

1st CSG list 3rd CSG HNB Name indication 'xx' (not checked)

1st CSG list 3rd CSG CSG ID: 04 (27bit)

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | A0 | 1D | 80 | 03 | 42 | 16 | 80 | 81 | 06 | 02 | 02 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 00 | 00 | 5F | 81 | 06 | 03 | 03 | 00 | 00 | 00 | 7F | 81 |
|  | **B25** | **B26** | **B27** | **B28** | **B30** | **B31** | **B32** |
|  | 06 | xx | xx | 00 | 00 | 00 | 9F |

2nd CSG list

PLMN: 244 081 (MCC MNC)

2nd CSG list 1st CSG Type indication 08

2nd CSG list 1st CSG HNB Name indication 08

2nd CSG list 1st CSG CSG ID: 08 (27bit)

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | A0 | 0D | 80 | 03 | 42 | 14 | 80 | 81 | 06 | 08 | 08 | 00 |
|  | **B13** | **B14** | **B15** |
|  | 00 | 01 | 1F |

NOTE: The 1st and 2nd CSG list may be stored together or separately in any record in arbitrary order.

**EFOCSGL** (Operator CSG Lists)

Unchanged, values as defined in clause 4.5.6 of the present document.

### 10.1.8 Manual CSG selection with display restrictions in E-UTRA with ACSG list and OCSG list on USIM

#### 10.1.8.1 Definition and applicability

A Closed Subscriber Group identifies subscribers of an operator who are permitted to access one or more cells of the PLMN but which have restricted access (CSG cells). A CSG cell is part of the PLMN, broadcasting a CSG indication that is set to TRUE and a specific CSG identity. A CSG cell is accessible by the members of the closed subscriber group for that CSG identity. For a CSG cell, the UE shall check the broadcast CSG ID against the Allowed CSG list provided by NAS to check whether a CSG cell is suitable for the UE.

A UE supporting CSG selection selects a CSG cell either automatically based on the list of allowed CSG identities or manually based on user selection of CSG on indication of list of available CSGs.

#### 10.1.8.2 Conformance requirement

CR 1 The ME shall read the allowed CSG IDs from EFACSGL and EFOCSGL in order to perform HNB selection procedures. The lists in EFACSGL and EFOCSGL shall take precedence over the list stored in the ME non-volatile memory.  
If the MS supports CSG, it is provisioned with a list of allowed CSG identities and associated PLMN identities from the USIM if the list is available in the USIM.

Reference:

- TS 31.102 [19], clauses 4.2.18, 4.4.6.2, 4.4.6.5 and 5.8.1;

- TS 24.301 [21], clause 5.5.3.2.4

CR 2 If the UE supporting CSG selection has attempted manual CSG selection, the UE, when receiving the TRACKING AREA UPDATE ACCEPT message, shall check if the CSG ID of the cell where the UE has sent the TRACKING AREA UPDATE REQUEST message is contained in the Allowed CSG list. If not, the UE shall add that CSG ID to the Allowed CSG list EFACSGL.

Reference:

- TS 24.301 [21], clause 5.5.3.2.4

CR 3 By default, the UE shall display all available CSGs for any PLMN, unless the UE has been configured by the HPLMN, for a specific PLMN, to display only CSGs in the Operator CSG List that are available.

Reference:

- TS 22.220 [52], clause 5.3.2 and 5.5.4

#### 10.1.8.3 Test purpose

The purpose of this test is to verify that:

1. the ME adds the CSG ID to the Allowed CSG list in EFACSGL in case this CSG ID belongs to the cell where the ME has sent the TRACKING AREA UPDATE REQUEST message which was accepted by the TT (E-USS).
2. during the manual CSG selection all available CSG ID shall be displayed with restrictions.

#### 10.1.8.4 Method of test

##### 10.1.8.4.1 Initial conditions

The values of the ACSGL/OCSGL UICC as defined in clause 4.5.6 of the present document are used with EFIMSI as defined in clause 4.6.2 and the following exceptions:

**EFAD** (Administrative Data)

Logically:

UE operation mode: Normal operation + specific facilities

Additional information: Ciphering indicator feature disabled

MNC: 3 digit  
For every PLMN not included in EF\_OCSGL or any PLMN for which a CSG display indicator tag is not present, only the available CSGs found in the Operator CSG list shall be displayed (B3)

Coding:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Byte | B1 | B2 | B3 | B4 |
| Hex | 01 | 00 | 02 | 03 |

The TT (E-USS) transmits on the BCCH, with the following network parameters:

Cell A:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

- csg-Indication: FALSE

- csg-Identity: not present

Cell B:

- TAI (MCC/MNC/TAC): 246/080/0002.

- Access control: unrestricted.

- csg-Indication: TRUE

- csg-Identity: 04

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and set the UEto automatic PLMN selection mode.

##### 10.1.8.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Comment | REQ | SA |
| 1 | UE | Run initial activation |  |  |  |
| 2 | UE > USIM | READ EFACSGL, EFOCSGL |  | CR 1 | A.2/1 or A.2/2 |
| 3 | UE > TT | Send RRC CONNECTION REQUEST on Cell A (TAI 246/081/0001) | The TT responds with RRC CONNECTION SETUP |  |  |
| 4 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 5 | UE > TT | Send ATTACH REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 6 | TT > UE | Send ATTACH ACCEPT with:  - TAI (MCC/MNC/TAC):  246/081/ 0001  - GUTI: "24608100010266345678" | UE sends ATTACH COMPLETE, and further TT sends RRC CONNECTION RELEASE |  |  |
| 7 | TT > UE | Use the MMI of the UE to perform manual CSG selection. | The UE shall not indicate the availability of a cell with csg-Identity 04 for PLMN 246/080, this shall be verified for 2 minutes. | CR 3 |  |
| 8 | TT | The network stops all RF output for Cell A (TAI 246/081/0001) | The BCCH of Cell A is reconfigured to indicate the following:  - TAI (MCC/MNC/TAC): 246/081/0002  - csg-Indication: TRUE  - csg-Identity: 04  TT resumes RF output on the BCCH. |  |  |
| 9 | TT > UE | Use the MMI of the UE to perform manual CSG selection. | The UE shall indicate the availability of a cell with csg-Identity 04 for PLMN 246/081 (Cell B). The user shall select this cell by using the MMI. | CR 3 |  |
| 10 | UE > TT | Send RRC CONNECTION REQUEST on csg cell (Cell B) (TAI 246/081/0002) | The TT responds with RRC CONNECTION SETUP |  |  |
| 11 | TT > UE | Send RRC CONNECTION SETUP COMPLETE |  |  |  |
| 12 | UE > TT | Send TRACKING AREA UPDATE REQUEST | The TT initiates authentication, starts integrity by using the security procedure. |  |  |
| 13 | TT > UE | Send TRACKING AREA UPDATE ACCEPT with the following parameters with integrity protection:  - TAI (MCC/MNC/TAC):  246/081/ 0002  - GUTI: "24608100010266345699" | UE sends TRACKING AREA UPDATE COMPLETE, and further TT sends RRC CONNECTION RELEASE. |  |  |
| 14 | UE > USIM | UPDATE EFACSGL | In case the verification of the contents of EFACSGL is not done during the UPDATE command step 14) needs to be executed. | CR 2 | A.2/1 OR A.2/2 |
| 15 | UE > USIM | UE is soft powered down. |  |  |  |
| 16 | USER > UE | READ EFEPSLOCI, EFACSGL. EFOCSGL |  |  | A.2/3 |

#### 10.1.8.5 Acceptance criteria

CR 1 shall be explicitly verified in step 2) by ensuring that UE has successfully read EFACSGL and EFOCSGL,

CR 3 shall be verified:

1. At step 7) by ensuring that MMI of the UE shall not indicate to the user CSG cell (CSG ID: 04) for PLMN 246/080 and also
2. At step 9) by ensuring that MMI of the UE shall indicate to the user CSG cell (CSG ID: 04) for PLMN 246/081

CR 2 shall be explicitly verified either in step 14) by analysing the UPDATE command used to update EFACSGL (A.2/1 or A.2/2) or at step 16) by reading the EFEPSLOCI, EFACSGL, EFOCSGL and verifying that is contains the following.

**EFEPSLOCI** (EPS Information)

Logically:

GUTI: 24608100010266436599

Last visited registered TAI: 246/081/0002

EPS update status: updated

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 0B | F6 | 42 | 16 | 80 | 00 | 01 | 02 | 66 | 43 | 65 | 99 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** |
|  | 42 | 16 | 80 | 00 | 02 | 00 |

**EFACSGL** (Allowed CSG Lists)

Logically:

1st CSG list

PLMN: 246 081 (MCC MNC)

1st CSG list 1st CSG Type indication 02

1st CSG list 1st CSG HNB Name indication 02

1st CSG list 1st CSG CSG ID: 02 (27bit)

1st CSG list 2nd CSG Type indication 03

1st CSG list 2nd CSG HNB Name indication 03

1st CSG list 2nd CSG CSG ID: 03 (27bit)

1st CSG list 3rd CSG Type indication 'xx' (not checked)

1st CSG list 3rd CSG HNB Name indication 'xx' (not checked)

1st CSG list 3rd CSG CSG ID: 04 (27bit)

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | A0 | 1D | 80 | 03 | 42 | 16 | 80 | 81 | 06 | 02 | 02 | 00 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 00 | 00 | 5F | 81 | 06 | 03 | 03 | 00 | 00 | 00 | 7F | 81 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** |
|  | 06 | xx | xx | 00 | 00 | 00 | 9F |

2nd CSG list

PLMN: 244 081 (MCC MNC)

2nd CSG list 1st CSG Type indication 08

2nd CSG list 1st CSG HNB Name indication 08

2nd CSG list 1st CSG CSG ID: 08 (27bit)

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | A0 | 0D | 80 | 03 | 42 | 14 | 80 | 81 | 06 | 08 | 08 | 00 |
|  | **B13** | **B14** | **B15** |
|  | 00 | 01 | 1F |

NOTE: The 1st and 2nd CSG list may be stored together or separately in any record in arbitrary order.

**EFOCSGL** (Operator CSG Lists)

Unchanged values as defined in 4.6.3

## 10.2 CSG list handling for UTRA

### 10.2.1 Manual CSG selection without display restrictions in UTRA with ACSG list and OCSG list on USIM

UTRAN test - not applicable

### 10.2.2 Manual CSG selection with display restrictions in UTRA with ACSG list and OCSG list on USIM

UTRAN test - not applicable

### 10.2.3 Manual CSG selection in UTRA with CSG list on USIM, success

UTRAN test - not applicable

# 11 NAS security context parameter handling

## 11.1 NAS security context parameter handling when service "EMM Information" is available

### 11.1.1 Definition and applicability

The security parameters for authentication, integrity protection and ciphering are tied together in an EPS security context and identified by a key set identifier for E-UTRAN (eKSI). The relationship between the security parameters is defined in TS 33.401 [50].

The EPS security context parameters shall be stored on the USIM if the corresponding file is present. If the corresponding file is not present on the USIM, these EMM parameters except allowed CSG list are stored in a non-volatile memory in the ME together with the IMSI from the USIM.

The EFEPSNSC contains the EPS NAS Security context as defined in TS 33.401 [50]. This file shall contain only one record and shall be updated only when the requirements defined in TS 33.401 [50] are met.

### 11.1.2 Conformance requirement

CR 1 The EPS security context parameters shall be stored on the USIM and mark the native EPS security context on the USIM as valid if the corresponding file is present, and shall be updated only when the requirements defined in TS 33.401 [50] are met.

CR 2 The EPS NAS security context parameters shall be stored when the UE transitions to EMM-DEREGISTERED state from EMM-REGISTERED state, as defined in TS 33.401 [50].

Reference:

- TS 24.301 [21], clause 4.4.2.1 and Annex C;

- TS 31.102 [19], clause 4.2.92;

- TS 33.401 [50], clause 6.1.1, 7.2.5.1 and 7.2.5.2.1.

### 11.1.3 Test purpose

The purpose of this test is to verify that the ME generates the EPS security context identified by a key set identifier for E-UTRAN (eKSI) and stores all inside EFEPSNSC if this EF is available and when the requirements defined in TS 33.401 [50], clauses 7.2.5.1 and 7.2.5.2.1 are met.

### 11.1.4 Method of test

#### 11.1.4.1 Initial conditions

The values of the E-UTRAN/EPC-UICC as defined in clause 4.5.4 of the present document are used with EFIMSI as defined in clause 4.6.2.

The TT (E-USS OR NB-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

#### 11.1.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | USER | Run initial activation. |  |  |  |
| 2 | UE > USIM | Read EFUST, Read record 1 EFEPSNSC |  | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | TT responds with a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB |  |  |
| 4 | UE > TT | RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 5 | UE > TT | ATTACH REQUEST | eKSI: '07' | CR 1 |  |
| 6 | TT > UE | AUTHENTICATION REQUEST | For EPS authentication and AKA procedure TT uses eKSI: '00' |  |  |
| 7 | UE > TT | AUTHENTICATION RESPONSE |  |  |  |
| 8 | UE > TT | SecurityModeCommand | UE responds with a SECURITY MODE COMPLETE |  |  |
| 9 | TT > UE | ATTACH ACCEPT  - TAI (MCC/MNC/TAC):  246/081/ 0001  - GUTI "24608100010266345678" |  |  |  |
| 10 | UE > TT | ATTACH COMPLETE |  |  |  |
| 11 | TT > UE | Send a RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB |  |  |  |
| 12 | UE > USIM | READ RECORD 1 of EFEPSNSC |  | CR 2 | A.2/1 OR A.2/2 |
| 13 | UE > TT | The UE is soft powered down, and initiates DETACH procedure. |  |  |  |
| 14 | UE > USIM | UPDATE EFEPSNSC |  | CR 1CR 2 | A.2/1 OR A.2/2 OR A.2/3 |

#### 11.1.4.5 Acceptance criteria

CR1 is explicitly verified at step 2) before UE attempts registration by ensuring that UE shall read EFUST and EFEPSNSC, and also at Step 14) after detach is performed by ensuring that UE shall update the EFEPSNSC.

At step 2), EFEPSNSC shall contain:

**EFEPSNSC** (EPS NAS Security Context)

Logically:

Key Set Identifier KSIASME: '07'

ASME Key (KSIASME): 32 byte key, value not checked

Uplink NAS count: any value

Downlink NAS count: any value

Identifiers of selected NAS any value

integrity and encryption algorithm

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | A0 | xx | 80 | 01 | 07 | 81 | xx | xx |
|  | **…** | **Bxx** |
|  | … | xx |

At step 14), EFEPSNSC shall contain:

**EFEPSNSC** (EPS NAS Security Context)

Logically:

Key Set Identifier KSIASME: '00'

ASME Key (KSIASME): 32 byte key, value not checked

Uplink NAS count: any value

Downlink NAS count: any value

Identifiers of selected NAS any value

integrity and encryption algorithm

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Hex | A0 | xx | 80 | 01 | 00 | 81 | xx | xx |
|  | **…** | **Bxx** |
|  | … | xx |

CR 1 is also verified at step 5) by analysing the ATTACH REQUEST andUE indicated that no key is available.

CR 2 is explicitly verified at step 12) and ensure that EFEPSNSC remains unchanged from initial value.

## 11.2 NAS security context parameter handling when service "EMM Information" is not available, no IMSI change

### 11.2.1 Definition and applicability

The security parameters for authentication, integrity protection and ciphering are tied together in an EPS security context and identified by a key set identifier for E-UTRAN (eKSI). The relationship between the security parameters is defined in TS 33.401 [50].

The EPS security context parameters shall be stored on the USIM if the corresponding file is present. If the corresponding file is not present on the USIM, these EMM parameters except allowed CSG list are stored in a non-volatile memory in the ME together with the IMSI from the USIM.

The EFEPSNSC contains the EPS NAS Security context as defined in TS 33.401 [50]. This file shall contain only one record.

### 11.2.2 Conformance requirement

CR 1 The EPS security context parameters shall be stored on the non-volatile memory in the ME together with the IMSI from the USIM if the corresponding file to store EPS security context parameters is not present on the USIM.

Reference:

- TS 24.301 [21], clause 4.4.2.1 and Annex C;

- TS 31.102 [19], clause 4.2.92;

- TS 33.401 [50], clause 6.1.1.

CR 2 These EMM parameters can only be used if the IMSI from the USIM matches the IMSI stored in the non-volatile memory; else the UE shall delete the EMM parameters.

Reference:

- TS 24.301 [21], clause 4.4.2.1 and Annex C;

- TS 33.401 [50], clause 6.1.1.

### 11.2.3 Test purpose

The purpose of this test is to verify that the ME generates the EPS security context identified by a key set identifier for E-UTRAN (eKSI) and stores all inside a non-volatile memory in the ME as EMM information is not available on the USIM. During the test the IMSI on the USIM remains unchanged.

### 11.2.4 Method of test

#### 11.2.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exception:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°85: |  | EPS Mobility Management Information | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** |
|  | xxxx xxxx | xxxx xxxx | xx10 xxxx | 0000 0xxx |

The TT (E-USS OR NB-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

#### 11.2.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | USER | Run initial activation. |  |  |  |
| 2 | UE > USIM | READ EFUST |  | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | TT responds with a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB |  |  |
| 4 | UE > TT | RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 5 | UE > TT | ATTACH REQUEST | eKSI: '07' |  |  |
| 6 | TT > UE | AUTHENTICATION REQUEST | For EPS authentication and AKA procedure TT uses eKSI: '00' |  |  |
| 7 | UE > TT | AUTHENTICATION RESPONSE |  |  |  |
| 8 | UE > TT | SecurityModeCommand | TT responds with a SECURITY MODE COMPLETE |  |  |
| 9 | TT > UE | ATTACH ACCEPT  - TAI (MCC/MNC/TAC):  246/081/ 0001  - GUTI: "24608100010266345678" |  |  |  |
| 10 | UE > TT | ATTACH COMPLETE |  |  |  |
| 11 | TT > UE | RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB |  |  |  |
| 12 | UE > TT | The UE is powered off, and initiates Detach procedure. |  |  |  |
| 13 | USER | Run initial activation |  |  |  |
| 14 | UE > TT | RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | TT responds with a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB |  |  |
| 15 | UE > TT | RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 16 | UE > TT | ATTACH REQUEST | eKSI: '00' | CR 2 |  |
| 17 | TT > UE | SecurityModeCommand (TT uses the last known KASME) | UE responds with a SECURITY MODE COMPLETE | CR 2 |  |
| 18 | TT > UE | ATTACH ACCEPT  - TAI (MCC/MNC/TAC):  246/081/0001  - GUTI: "24608100010266345619" |  |  |  |
| 19 | UE > TT | ATTACH COMPLETE |  |  |  |
| 20 | TT > UE | RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB |  |  |  |
| 21 | USER | The UE is powered down |  |  |  |

### 11.2.5 Acceptance criteria

CR1 is explicitly verified at step 2) by verifying that UE read EFUST

CR 2 can be verified at step 16) by ensuring that the UE has indicated in ATTACH REQUEST eKSI as '00' and NAS security mode procedure is successful at step 17).

## 11.3 NAS security context parameter handling when service "EMM Information" is not available, IMSI Changed

### 11.3.1 Definition and applicability

The security parameters for authentication, integrity protection and ciphering are tied together in an EPS security context and identified by a key set identifier for E-UTRAN (eKSI). The relationship between the security parameters is defined in TS 33.401 [50].

The EPS security context parameters shall be stored on the USIM if the corresponding file is present. If the corresponding file is not present on the USIM, these EMM parameters except allowed CSG list are stored in a non-volatile memory in the ME together with the IMSI from the USIM.

The EFEPSNSC contains the EPS NAS Security context as defined in TS 33.401 [50]. This file shall contain only one record.

### 11.3.2 Conformance requirement

CR 1 The EPS security context parameters shall be stored on the non-volatile memory in the ME together with the IMSI from the USIM if the corresponding file to store EPS security context parameters is not present on the USIM.

Reference:

- TS 24.301 [21], clause 4.4.2.1 and Annex C;

- TS 31.102 [19], clause 4.2.92;

- TS 33.401 [50], clause 6.1.1.

CR 2 These EMM parameters can only be used if the IMSI from the USIM matches the IMSI stored in the non-volatile memory; else the UE shall delete the EMM parameters.

Reference:

- TS 24.301 [21], clause 4.4.2.1 and Annex C;

- TS 33.401 [50], clause 6.1.1.

### 11.3.3 Test purpose

The purpose of this test is to verify that:

1) the ME generates the EPS security context identified by a key set identifier for E-UTRAN (eKSI) and stores all inside a non-volatile memory in the ME as EMM information is not available on the USIM.

2) the UE deletes existing EMM parameters from the ME's non-volatile memory in case a different IMSI is activated.

### 11.3.4 Method of test

#### 11.3.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.2 of the present document are used with the following exception:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°85: |  | EPS Mobility Management Information | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary | xx1x xx11 | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxxx xxxx | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **B12** |
|  | xxxx xxxx | xxxx xxxx | xx10 xxxx | 0000 0xxx |

The TT (E-USS OR NB-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

#### 11.3.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | USER | Run initial activation. |  |  |  |
| 2 | UE > USIM | READ EFUST |  | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | TT responds with a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB |  |  |
| 4 | UE > TT | RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 5 | UE > TT | ATTACH REQUEST | eKSI: '07' |  |  |
| 6 | TT > UE | AUTHENTICATION REQUEST | For EPS authentication and AKA procedure TT uses eKSI: '00' |  |  |
| 7 | UE > TT | AUTHENTICATION RESPONSE |  |  |  |
| 8 | UE > TT | SecurityModeCommand | TT responds with aSECURITY MODE COMPLETE |  |  |
| 9 | TT > UE | ATTACH ACCEPT  - TAI (MCC/MNC/TAC):  246/081/ 0001  - GUTI: "24608100010266345678" |  |  |  |
| 10 | UE > TT | ATTACH COMPLETE |  |  |  |
| 11 | TT > UE | RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB |  |  |  |
| 12 | UE > TT | The UE is powered off, and initiates DETACH procedure. |  |  |  |
| 13 | UE | Run initial activation with IMSI set to "246081222233333". |  |  |  |
| 14 | UE > TT | RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | TT responds with a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB |  |  |
| 15 | UE > TT | RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 16 | UE > TT | ATTACH REQUEST | eKSI: '07' | CR 2 |  |
| 17 | TT > UE | SecurityModeCommand(TT uses the last known KASME) | UE responds with aSECURITY MODE REJECT | CR 2 |  |
| 20 | TT > UE | RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB |  |  |  |
| 21 | USER | The UE is powered down |  |  |  |

### 11.3.5 Acceptance criteria

CR1 is explicitly verified at step 2) by verifying that UE read EFUST

CR 2 can be verified at step 16) by ensuring that the UE has indicated in ATTACH REQUEST eKSI as '07' and NAS security mode procedure is rejected by ME at step 17).

## 11.4 EPS NAS Security Context Storage

### 11.4.1 Definition and applicability

The security parameters for authentication, integrity protection and ciphering are tied together in an EPS security context and identified by a key set identifier for E-UTRAN (eKSI). The relationship between the security parameters is defined in 3GPP TS 33.401 [27].

The EPS security context parameters shall be stored on the USIM if the corresponding file is present. If the corresponding file is not present on the USIM, these EMM parameters except allowed CSG list are stored in a non-volatile memory in the ME together with the IMSI from the USIM.

The EFEPSNSC contains the EPS NAS Security context as defined in TS 33.401 [27]. This file shall contain only one record and shall be updated only when the requirements defined in TS 33.401 [27] are met.

### 11.4.2 Conformance requirement

CR 1 The EPS security context parameters shall be stored on the USIM and mark the native EPS security context on the USIM as valid if the corresponding file is present, and shall be updated only when the requirements defined in TS 33.401 [27] are met.

Reference:

- TS 24.301 [21], clause 4.4.2.1 and Annex C;

- TS 31.102 [19], clause 4.2.92 and 5.2.28;

- TS 33.401 [50], clause 6.1.1, 7.2.5.2, 7.2.6.1 and 7.2.6.3.

CR 2 The initial NAS message shall be integrity protected by the current EPS NAS security context if such exists. If no current EPS NAS security context exists the ME shall signal “no key available” in the initial NAS message, as defined in TS 33.401 [27].

Reference:

- TS 24.301 [21], clause 4.4.2.1 and Annex C;

- TS 31.102 [19], clause 4.2.92 and 5.2.28;

- TS 33.401 [50], clause 6.1.1, 7.2.5.2, 7.2.6.1 and 7.2.6.3.

CR 3 ME shall handle the EPS NAS security context in accordance with the rules and procedures as specified in TS 33.401 [27] during ECM state transition.

Reference:

- TS 33.401 [50], clause 6.1.1, 7.2.5.2, 7.2.6.1 and 7.2.6.3.

### 11.4.3 Test purpose

The purpose of this test is to verify that the update of EPS NAS security context shall be according to the rules and procedures specified in TS 33.401 [50], clauses 6.1.1, 7.2.5.2, 7.2.6.1 and 7.2.6.3.

### 11.4.4 Method of test

#### 11.4.4.1 Initial conditions

The values of the Default UICC as defined in clause 4.5.4 of the present document are used

The TT (E-USS OR NB-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

#### 11.4.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | USER | Run initial activation. |  |  |  |
| 2 | UE > USIM | READ EFUST and EFEPSNSC |  | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | TT responds with a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB |  |  |
| 4 | UE > TT | RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  |  |  |
| 5 | UE > TT | ATTACH REQUEST | eKSI: '07' | CR 2 |  |
| 6 | TT > UE | AUTHENTICATION REQUEST | For EPS authentication and AKA procedure TT uses eKSI: '00' | CR 3 | A.2/1 OR A.2/2 |
| 7 | UE > TT | AUTHENTICATION RESPONSE |  | CR 3 | A.2/1 OR A.2/2 |
| 8 | UE > TT | SecurityModeCommand | TT responds with a SECURITY MODE COPLETE | CR 3 | A.2/1 OR A.2/2 |
| 9 | TT > UE | ATTACH ACCEPT with:  - TAI (MCC/MNC/TAC):  246/081/ 0001  - GUTI: "24608100010266345678" |  | CR 3 | A.2/1 OR A.2/2 |
| 10 | UE > TT | ATTACH COMPLETE |  | CR 3 | A.2/1 OR A.2/2 |
| 11 | TT > UE | RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB |  | CR 3 | A.2/1 OR A.2/2 |
| 12 | UE > TT | PAGING / PAGING-NB using the S‑TMSI | CN domain indicator set to ''PS''. | CR 3 | A.2/1 OR A.2/2 |
| 14 | UE > TT | RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | TT responds with a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB | CR 3 | A.2/1 OR A.2/2 |
| 15 | UE > TT | RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  | CR 3 | (A.2/1 OR A.2/2) |
| 16 | UE > TT | EMM SERVICE REQUEST (for WB-S1)  CONTROL PLANE SERVICE REQUEST (for NB-IOT) | For WB-S1: TT Activates AS security by the E-USS and the Dedicated EPS bearer is established.  For NB-IOT: TT responds with SERVICE ACCEPT and default bearer is established. | CR 3 | A.2/1 OR A.2/2 |
| 17 |  | Wait 5 seconds |  |  |  |
| 18 | TT > UE | RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB |  | CR 3 | A.2/1 OR A.2/2 |

### 11.4.5 Acceptance criteria

CR 1 is explicitly verified at step 2) by verifying that UE reads EFUST and EFEPSNSC

CR 2 can be verified at step 5) by ensuring that the UE has indicated in ATTACH REQUEST eKSI as '07' and at step 18) by ensuring NAS security mode procedure is rejected by ME.

CR 3 is explicitly verified at steps 6) to 16) by verifying that UE shall not update EFEPSNSC. This can be alternatively verified by explicitly reading and analysing the value of EFEPSNSC at step 15).

# 12 Non Access Stratum (NAS) Configuration parameter handling

## 12.1 EFNASCONFIG - NAS signalling priority handling

The test case is covered in TS 34.123-1 [43] clauses 9.4.3.7, 9.4.5.5, 11.1.1.3, 11.1.1.4, 11.1.3.4, 11.2.2.3, 12.4.1.1e and 12.4.3.2a. See CP-170166, CR #0229 to TS 31.121 [2] for details

## 12.2 EFNASCONFIG - NMO I Network Mode of Operation I handling

The test case is covered in TS 34.123-1 [43]clause 12.2.2.3a. See CP-170166, CR #0230 to TS 31.121 [2] for details.

## 12.3 EFNASCONFIG - Attach with IMSI handling

The test case is covered in TS 34.123-1 [43] clause 12.2.1.1a. See CP-170166, CR #0224 to TS 31.121 [2] for details.

## 12.4 EFNASCONFIG - Verifying Minimum Periodic Search Timer

The test case is covered in TS 34.123-1 [43] clauses 9.4.5.4 and 9.4.5.4.7. See CP-170166, CR #0228 to TS 31.121 [2] for details.

## 12.5 EFNASCONFIG - Extended access barring handling

The test case is covered in TS 34.123-1 [43] clauses 8.1.1.20, 9.4.11, 12.2.1.16 and 12.4.1.9. See CP-170166, CR #0226 to TS 31.121 [2] for details.

## 12.6 EFNASCONFIG - Verifying Timer T3245 Behaviour

UTRAN test - not applicable

## 12.7 EFNASCONFIG - Override NAS signalling low priority

UTRAN test - not applicable

## 12.8 EFNASCONFIG - Override Extended access barring

UTRAN test - not applicable

## 12.9 EFNASCONFIG - Fast First Higher Priority PLMN Search

UTRAN test - not applicable

## 12.10 EFNASCONFIG - E-UTRA Disabling Allowed for EMM cause #15

Test using UTRAN – not applicable

## 12.11 EFNASCONFIG - SM\_RetryWaitTime

UTRAN test - not applicable

## 12.12 EFNASCONFIG - SM\_RetryAtRATChange

FFS

# 13 UICC interface during PSM

## 13.1 UICC interface in PSM handling for E-UTRAN – No UICC deactivation in PSM

### 13.1.1 Definition and applicability

PSM is intended for UEs that are expecting only infrequent mobile originating and terminating services and that can accept a corresponding latency in the mobile terminating communication. In order to reduce power consumption while in PSM, and only in case the PIN of the USIM is disabled, the ME may optionally deactivate the UICC after entering the PSM.

### 13.1.2 Conformance requirement

CR 1 In order to reduce power consumption while the ME is in PSM, and only in case the PIN of the USIM is disabled, the ME may optionally deactivate the UICC (as specified in clause 6A.1 of TS 31.101 [33]) after entering the PSM.

Reference:

- TS 31.102 [19], clause 5.1.10;

- TS 24.301 [21], clauses 5.3.5 and 5.3.11.

- TS 31.101 [33], clause 6A.1.

### 13.1.3 Test purpose

To verify that UE does not deactivate the UICC in case the PIN for the USIM is enabled and verified.

### 13.1.4 Method of test

#### 13.1.4.1 Initial conditions

The values of the E-UTRAN/EPC UICC as defined in clause 4.5.4 of the present document are used with the following exceptions:

The PIN of the USIM is enabled.

EFUMPC

Logically:

UICC maximum power consumption: 60 mA

Operator defined time out (T\_OP): 5 seconds

Additional information: UICC does not require increased idle current  
 UICC does not support the UICC suspension procedure

Byte 4 and byte 5: RFU

Coding:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** |
| Hex | 3C | 05 | 00 | 00 | 00 |

The UE is configured to use Power Saving Mode.

The UE is configured to use the timer T3324 set to T3324\_V.

The TT (E-USS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

The TT (NB-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

#### 13.1.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | USER > UE | Enter PIN when in PIN check mode | The PIN 0000 is used |  |  |
| 3 | UE > TT | Send RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | TT responds with RRC CONNECTION SETUP / RRC CONNECTION SETUP‑NB |  |  |
| 3 | UE > TT | The UE transmits an ATTACH REQUEST message including T3324 set to T3324\_V | The TT sends the ATTACH ACCEPT message contains T3324 set to T3324\_V and T3412 set to T3412\_V.  The ATTACH ACCEPT message shall not contain the eDRX parameters |  |  |
| 4 | UE > TT | The UE transmits the ATTACH COMPLETE during registration from the UE | The TT sends RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB. | CR 1 |  |
| 5 | UE | The UE is waiting for the T3412 timer expiration |  | CR 1 |  |
| 6 | UE | The UE sends TRACKING AREA UPDATE REQUEST | The TT sends TRACKING AREA UPDATE ACCEPT | CR 1 |  |
| 7 | UE | The UE is powered off. |  |  |  |

### 13.1.5 Acceptance criteria

CR 1 is met if the UE does not deactivate the UICC or send SUSPEND UICC command after step 4).

## 13.2 UICC interface in PSM handling for E-UTRAN – PSM not accepted by E-USS/NB-SS

### 13.2.1 Definition and applicability

PSM is intended for UEs that are expecting only infrequent mobile originating and terminating services and that can accept a corresponding latency in the mobile terminating communication. In order to reduce power consumption while in PSM, and only in case the PIN of the USIM is disabled, the ME may optionally deactivate the UICC after entering the PSM.

### 13.2.2 Conformance requirement

CR 1 In order to reduce power consumption while the ME is in PSM, and only in case the PIN of the USIM is disabled, the ME may optionally deactivate the UICC (as specified in clause 6A.1 of TS 31.101 [33]) after entering the PSM.

Reference:

- TS 31.102 [19], clause 5.1.10;

- TS 24.301 [21], clauses 5.3.5 and 5.3.11.

- TS 31.101 [33] in clause 6A.1.

### 13.2.3 Test purpose

The purpose of this test is to verify that the UE does not deactivate the UICC in case the network is not supporting/accepting PSM.

### 13.2.4 Method of test

#### 13.2.4.1 Initial conditions

The UE is configured to use Power Saving Mode.

The UE is configured to use the timer T3324 set to T3324\_V.

The E-USS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

The NB-SS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

The default E-UTRAN UICC is installed into the Terminal.

The PIN of the USIM is disabled.

#### 13.2.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | UE > TT | The UE requests RRC Connection | The TT responds with RCC Connection setup |  |  |
| 3 | UE > TT | The UE transmits an ATTACH REQUEST message including T3324 set to T3324\_V. |  |  |  |
| 4 | TT > UE | The TT sends the ATTACH ACCEPT message contains T3324 set to "deactivated". | The ATTACH ACCEPT message shall not contain the eDRX parameters | CR1 |  |
| 5 | UE > TT | The UE transmits the ATTACH COMPLETE during registration from the UE | The TT sends RRC CONNECTION RELEASE/RRC CONNECTION RELEASE-NB. | CR1 |  |
| 6 | TT | The TT is waiting for the T3324\_V time period to pass |  | CR1 |  |
| 7 | TT > UE | The TT transmits PAGING / PAGING-NB using the S-TMSI. |  | CR1 |  |
| 8 | UE > TT | Send RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | The TT responds with a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB | CR1 |  |
| 9 | UE > TT | Send RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  | CR1 |  |
| 10 | UE > TT | The UE sends SERVICE REQUEST | The TT sends SERVICE ACCEPT followed by RRC CONNECTION RELEASE/RRC CONNECTION RELEASE-NB | CR1 |  |
| 11 | UE | The UE is powered off. |  |  |  |

### 13.2.5 Acceptance criteria

CR 1 is met if the UE does not deactivate the UICC or send SUSPEND UICC command after step 4).

NOTE: The execution of the SUSPEND UICC command is no subject to be tested in this sequence.

## 13.3 UICC interface in PSM handling for E-UTRAN – UICC deactivation in PSM

A reliable verification of the activation/deactivation of the UICC in PSM can be done with a physical connection to supply contacts only. Unless a suitable and sufficient environment is introduced the definition of this test is FFS.

## 13.4 UICC interface in PSM for E-UTRAN – SUSPEND UICC

### 13.4.1 Definition and applicability

PSM is intended for UEs that are expecting only infrequent mobile originating and terminating services and that can accept a corresponding latency in the mobile terminating communication. If the UICC supports the UICC suspension mechanism (SUSPEND UICC command), the ME may suspend the UICC after entering the PSM. In this case, the ME shall successfully resume the UICC before it can leave the PSM.

### 13.4.2 Conformance requirement

CR 1 If the UICC supports the UICC suspension mechanism (SUSPEND UICC command), the ME may suspend the UICC after entering the PSM. In this case, the ME shall successfully resume the UICC before it can leave the PSM.

Reference:

- TS 31.102 [19], clause 5.1.10;

- TS 24.301 [21], clauses 5.3.5, 5.3.11 and 5.5.1.2.2.

- TS 31.101 [33], clause 11.1.22.

### 13.4.3 Test purpose

1) To verify that after reading EFUMPC and entering the PSM the ME sends a SUSPEND UICC command to the UICC in case the UICC indicates the support of the SUSPEND UICC command.

2) To verify that the ME resumes the UICC before it can leave the PSM.

### 13.4.4 Method of test

#### 13.4.4.1 Initial conditions

The UE is configured to use Power Saving Mode.

The UE is configured to use the timer T3324 set to T3324\_V.

The E-USS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

The NB-SS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

The default E-UTRAN UICC is used with the following exceptions:

EFUMPC (UICC Maximum Power Consumption)

Logically:

UICC maximum power consumption: 60 mA

Operator defined time out (T\_OP): 5 seconds

Additional information: UICC does not require increased idle current

UICC supports the UICC suspension procedure

Byte 4 and byte 5: RFU

Coding:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** |
| Hex | 3C | 05 | 00 | 00 | 00 |

The PIN of the USIM is enabled and verified.

#### 13.4.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | UE > TT | The UE requests RRC Connection | The TT responds with RCC Connection setup |  |  |
| 3 | UE > TT | The UE transmits an ATTACH REQUEST message including T3324 set to T3324\_V. |  |  |  |
| 4 | TT > UE | The TT sends the ATTACH ACCEPT message contains T3324 set to T3324\_V and T3412 set to T3412\_V. | The ATTACH ACCEPT message shall not contain the eDRX parameters | CR1 |  |
| 5 | UE > TT | The UE transmits the ATTACH COMPLETE during registration from the UE | The TT sends RRC CONNECTION RELEASE/RRC CONNECTION RELEASE-NB. | CR1 |  |
| 6 | ME > UICC | The ME sends *SUSPEND UICC* command to the UICC indicating "Minimum duration of the suspension proposed by the terminal" and the "Maximum duration of the suspension proposed by the terminal" | The UICC returns a maximum suspension duration in the range proposed by the ME, Resume token and SW 9000. |  |  |
| 7 | UE | The UE is waiting for the T3412 timer expiration |  | CR1 |  |
| 8 | UE | The UE sends TRACKING AREA UPDATE REQUEST | The TT sends TRACKING AREA UPDATE ACCEPT | CR1 |  |
| 9 | UE | The UE is powered off. |  |  |  |

NOTE: For testing purposes, and to not extend the test execution time the maximum suspension duration returned by the UICC shall be equal to the minimum duration of the suspension proposed by the terminal.

### 13.4.5 Acceptance criteria

CR 1 is met if the ME:

1. reads EFUMPC after step 1) to verify that the SUSPEND UICC command is supported.

Note: RFU – agreed method to verify contents of EFUMPC

2) deactivates the UICC after Step (6) as specified in 3GPP TS 31.101 [39].

3) leaves the PSM and resumes the UICC after Step (8).

# 14 UICC interface during eDRX

## 14.1 UICC interface during eDRX for E-UTRAN – eDRX is not supported by the UICC

### 14.1.1 Definition and applicability

In order to reduce power consumption when the UE uses extended idle mode DRX cycle, the UE may optionally deactivate the UICC during the extended idle mode DRX cycle.

### 14.1.2 Conformance requirement

CR 1 In case the UICC does not support the UICC suspension mechanism, the PIN of the USIM is disabled and deactivation of UICC is authorized in EFAD, the UE may optionally deactivate the UICC (as specified in clause 6A.1 of TS 31.101 [33]) during the extended idle mode DRX cycle.

Reference:

- TS 31.102 [19], clause 5.1.11;

- TS 24.301 [21], clauses 5.3.12.

- TS 23.401 [42], clause 5.13a.

- TS 31.101 [33], clause 6A.1.

### 14.1.3 Test purpose

The purpose of this test is to verify that the UE does not deactivate the UICC in case the ME is not authorized to modify the polling interval and/or disable the UICC interface during extended DRX cycle in EFAD in USIM.

### 14.1.4 Method of test

#### 14.1.4.1 Initial conditions

The UE is configured to request the use of eDRX (in the *ATTACH REQUEST* and *TrackingAreaUpdate* messages).

The E-USS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

The NB-SS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

The default E-UTRAN UICC is used with the following exceptions:

EFUMPC (UICC Maximum Power Consumption)

Logically:

UICC maximum power consumption: 60 mA

Operator defined time out (T\_OP): 5 seconds

Additional information: UICC does not require increased idle current

UICC does not support the UICC suspension procedure

Byte 4 and byte 5: RFU

Coding:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** |
| Hex | 3C | 05 | 00 | 00 | 00 |

The PIN of the USIM is enabled and verified.

#### 14.1.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | UE > TT | The UE requests RRC Connection | The TT responds with RCC Connection setup |  |  |
| 3 | UE > TT | The UE transmits an ATTACH REQUEST message including the eDRX parameters |  |  |  |
| 4 | TT > UE | The TT sends the ATTACH ACCEPT message containing the eDRX parameters. | If ATTACH REQUEST in step 3) above also contains T3324, the ATTACH ACCEPT message shall contain T3324 set to "deactivated". If ATTACH REQUEST in step 3) does not contain T3324, the ATTACH ACCEPT message shall not contain T3324. |  |  |
| 5 | UE > TT | The UE transmits the ATTACH COMPLETE during registration from the UE | The TT sends RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB. | CR1 |  |
| 6 | TT > UE | The TT transmits PAGING / PAGING-NB using the S-TMSI in a valid paging occasion within the PTW of the paging Hyperframes as per Idle eDRX. |  | CR1 |  |
| 7 | UE > TT | Send RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | The TT responds with a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB | CR1 |  |
| 8 | UE > TT | Send RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  | CR1 |  |
| 9 | UE > TT | The UE sends ServiceRequest | The TT sends ServiceAccept followed by RRC CONNECTION RELEASE / RRC CONNECTION RELEASE-NB | CR1 |  |
| 10 | UE | The UE is powered off. |  |  |  |

### 14.1.5 Acceptance criteria

CR 1 is met if the UE does not deactivate the UICC or send SUSPEND UICC command after step 5).

## 14.2 UICC interface during eDRX for E‑UTRAN – eDRX is not accepted by E‑USS/NB‑SS

### 14.2.1 Definition and applicability

In order to reduce power consumption when the UE uses extended idle mode DRX cycle, the UE may optionally deactivate the UICC during the extended idle mode DRX cycle.

### 14.2.2 Conformance requirement

CR 1 In case the UICC does not support the UICC suspension mechanism, the PIN of the USIM is disabled and deactivation of UICC is authorized in EFAD, the UE may optionally deactivate the UICC (as specified in clause 6A.1 of 3GPP TS 31.101 [33]) during the extended idle mode DRX cycle.

Reference:

- TS 31.102 [19], clause 5.1.11;

- TS 24.301 [21], clauses 5.3.12;

- TS 23.401 [42], clause 5.13a;

- TS 31.101 [33], clause 6A.1.

### 14.2.3 Test purpose

1) To verify that UE does not deactivate the UICC in case extended DRX cycle is not supported by the network

### 14.2.4 Method of test

#### 14.2.4.1 Initial conditions

The UE is configured to request the use of eDRX (in the ATTACH REQUEST and *TrackingAreaUpdate* messages).

The E-USS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

The NB-SS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 246/081/0001.

- Access control: unrestricted.

The default E-UTRAN UICC is used with the following exceptions:

EFAD (Administrative Data)

Logically: Normal operation + specific facilities;

Ciphering indicator feature disabled;

CSG Display Control: for every PLMN not included in EF\_OCSGL, or for which a CSG display indicator tag is not present, all available CSGs can be displayed without any restriction;

ProSe services for Public Safety: the ME is not authorized for ProSe services for Public Safety usage without contacting the ProSe Function;

Extended DRX cycle: the ME is authorized to modify the polling interval and/or disable the UICC interface during extended DRX cycle;

Length of MNC in the IMSI: 3.

Coding:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** |
| Hex | 01 | 00 | 08 | 03 |

The PIN of the USIM is disabled.

#### 14.2.4.2 Procedure

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Step | Direction | Action | Information | REQ | SA |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | UE > TT | The UE requests RRC Connection | The TT responds with RCC Connection setup |  |  |
| 3 | UE > TT | The UE transmits an ATTACH REQUEST message including the eDRX parameters |  |  |  |
| 4 | TT > UE | The TT sends the ATTACH ACCEPT message containing the eDRX parameters. | If ATTACH REQUEST in step 3) above also contains T3324, the ATTACH ACCEPT message shall contain T3324 set to "deactivated". If ATTACH REQUEST in step 3) does not contain T3324, the ATTACH ACCEPT message shall not contain T3324. |  |  |
| 5 | UE > TT | The UE transmits the ATTACH COMPLETE during registration from the UE | The TT sends RRC CONNECTION RELEASE/RRC CONNECTION RELEASE-NB. | CR1 |  |
| 6 | TT > UE | The TT transmits PAGING / PAGING-NB in a valid paging occasion as per normal DRX. |  | CR1 |  |
| 7 | UE > TT | Send RRC CONNECTION REQUEST / RRC CONNECTION REQUEST-NB | The TT responds with a RRC CONNECTION SETUP / RRC CONNECTION SETUP-NB | CR1 |  |
| 8 | UE > TT | Send RRC CONNECTION SETUP COMPLETE / RRC CONNECTION SETUP COMPLETE-NB |  | CR1 |  |
| 9 | UE > TT | The UE sends ServiceRequest | The TT sends ServiceAccept followed by RRC CONNECTION RELEASE/RRC CONNECTION RELEASE-NB | CR1 |  |
| 10 | UE | The UE is powered off. |  |  |  |

### 14.2.5 Acceptance criteria

CR 1 is met if the UE does not deactivate the UICC or send SUSPEND UICC command after step 5).

## 14.3 UICC interface during eDRX for E‑UTRAN – UICC deactivation during eDRX

FFS

## 14.4 UICC interface during eDRX for E‑UTRAN– SUSPEND UICC

FFS

# 15 Authentication procedure and NAS security context handling for 5G

## 15.1 Authentication procedure for EAP-AKA'

### 15.1.1 Authentication procedure for EAP-AKA' - Authentication is successful

#### 15.1.1.1 Definition and applicability

The purpose of the primary authentication and key agreement procedure is to enable mutual authentication between the UE and the network and to provide keying material that can be used between the UE and network in subsequent security procedures. The UE and the AMF shall support the EAP based primary authentication and key agreement procedure.

In order to initiate the EAP based primary authentication and key agreement procedure using EAP-AKA', the AUSF shall send an EAP message IE with EAP-request/AKA'-challenge message in the AUTHENTICATION REQUEST message.

The 5G NAS security context parameters from a full native 5G NAS security context shall be stored on the USIM if the corresponding file is present on the USIM as specified in TS 31.102 [19]. If the corresponding file is not present on the USIM, this 5GMM parameters is stored in a non-volatile memory in the ME together with the SUPI from the USIM.

The EF5GS3GPPNSC contains the 5GS 3GPP access NAS security context as defined in TS 24.501 [25], consisting of KAMF with the associated key set identifier, the UE security capabilities, and the uplink and downlink NAS COUNT values. This file shall contain one record.

The EF5GAUTHKEYS contains KAUSF and KSEAF that are generated on the ME using CK and IK as part of AKA procedures as described inTS 33.501 [24].

#### 15.1.1.2 Conformance requirement

CR 1 The UE shall support the EAP based primary authentication and key agreement procedure.

CR 2 The ME shall forward the RAND and AUTN received in EAP message IE with EAP-Request/AKA'-Challenge within the AUTHENTICATION REQUEST message to the USIM.

CR 3 The ME shall return the EAP message IE with EAP-response/AKA'-challenge in AUTHENTICATION RESPONSE message.

CR 4 As a result of successful authentication procedure and upon receipt of the EAP-Success message, the 5G NAS security context parameters shall be stored on the USIM if the corresponding file is present on the USIM when entering state 5GMM-DEREGISTERED.

CR 5 If service n°122 is available, the ME shall store KAMF with the associated key set identifier, the UE security capabilities, and the uplink and downlink NAS COUNT values in EF5GS3GPPNSC on the USIM.

CR 6 If service n°123 is available, the ME shall store the KAUSF and KSEAF in EF5GAUTHKEYS on the USIM.

CR 7 The UE can successfully register to the network.

Reference:

- TS 31.102 [19], clauses 4.4.11.3, 4.4.11.4 and 4.4.11.6;

- TS 33.501 [24], clause 6.1.3.1;

- TS 24.501 [25], clause 5.4.1.2 and Annex C.

#### 15.1.1.3 Test purpose

The purpose of this test is to verify that:

1) the primary authentication and key agreement procedure enables mutual authentication between the UE and the network and provides keying material that can be used between the UE and network in subsequent security procedures.

2) the UE and the AMF support the EAP based primary authentication and key agreement procedure.

3) the EAP based primary authentication and key agreement procedure using EAP-AKA' is performed if the AUSF has initiated an EAP message IE with EAP-request/AKA'-challenge message in the AUTHENTICATION REQUEST message.

4) if the corresponding file is present on the USIM the 5G NAS security context parameters from a full native 5G NAS security context are stored on the USIM

5) EF5GS3GPPNSC contains one record with the 5GS 3GPP access NAS security context as defined in TS 24.501 [42], consisting of KAMF with the associated key set identifier, the UE security capabilities, and the uplink and downlink NAS COUNT values.

6) EF5GAUTHKEYS contains KAUSF and KSEAF that are generated on the ME using CK and IK as part of AKA procedures as described in TS 33.501 [41].

7) the UE can successfully register to the network.

#### 15.1.1.4 Method of test

##### 15.1.1.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used.

The TT (NG-SS) is configured to transmit on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

##### 15.1.1.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | Activate NG-SS cell | The NG-SS cell on the TT is activated with SIB1 as defined in the initial conditions |  |  |
| 2 | UE | Run initial activation |  |  |  |
| 3 | UE <> TT | Establish RRC connection | UE camps on NG-SS cell and establishes RRC connection |  |  |
| 4 | UE > TT | Send REGISTRATION REQUEST |  |  |  |
| 5 | TT > UE | Send AUTHENTICATION REQUEST | The TT sends an AUTHENTICATION REQUEST message with EAP message IE with EAP request/AKA' challenge message with:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - EAP message:  - EAP-request/AKA'‑challenge  The NG-SS on the TT generates KAMF, KAUSF and KSEAF values, and initiates the EAP-AKA' authentication procedure |  |  |
| 6 | ME > USIM | Pass RAND and AUTN values to the USIM | The ME provides the EAP request/AKA' challenge data received in the AUTHENTICATION REQUEST to the USIM | CR 1 CR 2 | A.2/1 OR A.2/2 |
| 7 | UE > TT | Send AUTHENTICATION RESPONSE | The UE sends an AUTHENTICATION RESPONSE message with EAP message IE with EAP response/AKA' challenge message:  - Authentication response parameter: 4 – 16 octets RES value calculated  according to TS 24.501 [25]  The UE shall return RES equal to the XRES calculated at the NG-SS with the parameters provided/indicated in the AUTHENTICATION REQUEST at step 4 | CR 3 |  |
| 8 | TT > UE | Sends SECURITY MODE COMMANDmessage with EAP-success | The NG-SS sends a SECURITY MODE COMMANDmessage with EAP-success |  |  |
| 9 | UE > TT | Send SECURITY MODE COMPLETE | UE updates EF5GAUTHKEYS in parallel or after the NAS message | CR6 | A.2/1 OR A.2/2 |
| 10 | TT > UE | Send REGISTRATION ACCEPT | NG-SS sends a REGISTRATION ACCEPTmessage with:  - 5G-GUTI: 24408300010266436587  - TAI: 244/83/000001 |  |  |
| 11 | UE > TT | UE sends REGISTRATION COMPLETE |  | CR7 |  |
| 12 | UE | The UE is powered off and/or deactivated, performs the DEREGISTRATION procedure. | UE enters the 5GMM-DEREGISTEREDstate and updates EF5GS3GPPNSC | CR4 | A.2/1 OR A.2/2 |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Optional step if CR 4, CR 5 and CR 6 verification cannot be performed at step 9) and 12)** | | | | | |
| 13 | TT | READ EF5GS3GPPNSC and EF5GAUTHKEYS | The TT or the test operator shall perform whatever action is needed to get read access to EF5GS3GPPNSC and EF5GAUTHKEYS | CR 4 CR 5 CR 6 | A.2/3 |

#### 15.1.1.5 Acceptance criteria

CR 1 is verified if CR 2 is met, and the ME forwards the RAND and AUTN received in EAP-Request/AKA'-Challenge message to the USIM.

CR 3 requirements are met if the NG-SS receives an EAP message IE with EAP-response/AKA'-challenge in the AUTHENTICATION RESPONSE message sent in response to the AUTHENTICATION REQUEST in step 6).

CR 4 can be verified in step 12) or 13) after the state 5GMM-DEREGISTEREDis entered and if an appropriate method to monitor the APDU for EF update or read the EF content is provided by the UE manufacturer.

CR 5 can be verified in step 12) or 13) if an appropriate method to monitor the APDU for EF update or read the EF content is provided by the UE manufacturer.

CR 6 can be verified in step 9) or 13) if an appropriate method to monitor the APDU for EF update or read the EF content is provided by the UE manufacturer.

CR 7 is verified if the UE is capable to register to the NG-SS at step 11).

The EF contents to be verified at step 9), 12) and/or 13) for CR 5 and 6 are listed as below:

**EF5GAUTHKEYS** (5G authentication keys)

Logically:

KAUSF: 32 bytes, value not checked

KSEAF:32 bytes, value not checked

Coding:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **Bx** | **Bx+1** | **Bx+2** | **Bx+3** | **...** | **Bxx** |
| Hex | 80 | L1 | Xx | .. | 81 | L2 | xx | … | xx |

**EF5GS3GPPNSC** (5GS 3GPP Access NAS Security Context)

Logically:

5GS NAS Security Context:

ngKSI: 00

KAMF:32 bytes, value not checked

Uplink NAS count: any value

Downlink NAS count: any value

Identifiers of selected NAS integrity  
and encryption algorithms: any value

Identifiers of selected EPS NAS  
integrity and encryption algorithms  
for use after mobility to EPS: any value

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **Bx** |
| Hex | A0 | XX | 80 | 01 | 00 | 81 | xx | xx | … | xx |

#### 15.1.1.5 Acceptance criteria

CR 1 is verified if CR 2 is met, and the ME forwards the RAND and AUTN received in EAP-Request/AKA'-Challenge message to the USIM.

CR 3 requirements are met if the NG-SS receives an EAP message IE with EAP-response/AKA'-challenge in the AUTHENTICATION RESPONSE message sent in response to the AUTHENTICATION REQUEST in step 6).

CR 4 can be verified in step 12) after the state 5GMM-DEREGISTERED is entered and if an appropriate method to read the EF content is provided by the UE manufacturer.

CR 5 and CR 6 can be verified in step 13) if an appropriate method to read the EF content is provided by the UE manufacturer.

CR 7 is verified if the UE is capable to register to the NG-SS at step 11).

### 15.1.1A Authentication procedure for EAP-AKA' - Authentication is successful

#### 15.1A.1.1 Definition and applicability

The purpose of the primary authentication and key agreement procedure is to enable mutual authentication between the UE and the network and to provide keying material that can be used between the UE and network in subsequent security procedures. The UE and the AMF shall support the EAP based primary authentication and key agreement procedure.

In order to initiate the EAP based primary authentication and key agreement procedure using EAP-AKA', the AUSF shall send an EAP message IE with EAP-request/AKA'-challenge message in the AUTHENTICATION REQUEST message.

The 5G NAS security context parameters from a full native 5G NAS security context shall be stored on the USIM if the corresponding file is present on the USIM as specified in TS 31.102 [19]. If the corresponding file is not present on the USIM, this 5GMM parameters is stored in a non-volatile memory in the ME together with the SUPI from the USIM.

The EF5GS3GPPNSC contains the 5GS 3GPP access NAS security context as defined in TS 24.501 [25], consisting of KAMF with the associated key set identifier, the UE security capabilities, and the uplink and downlink NAS COUNT values. This file shall contain one record.

The EF5GSAUTHKEYS contains KAUSF and KSEAF that are generated on the ME using CK and IK as part of AKA procedures as described inTS 33.501 [24]. If service n°133 is "available" in EFUST, the EF5GAUTHKEYS also contains SOR counter and UE parameter update counter associated with the key KAUSF as described in 3GPP TS 33.501 [24] and 3GPP TS 31.102 [19].

#### 15.1A.1.2 Conformance requirement

CR 1 The UE shall support the EAP based primary authentication and key agreement procedure.

CR 2 The ME shall forward the RAND and AUTN received in EAP message IE with EAP-request/AKA'-challenge within the AUTHENTICATION REQUEST message to the USIM.

CR 3 The ME shall return the EAP message IE with EAP-response/AKA'-challenge in AUTHENTICATION RESPONSE message.

CR 4 As a result of successful authentication procedure and upon receipt of the EAP-Success message, the 5G NAS security context parameters shall be stored on the USIM if the corresponding file is present on the USIM when entering state 5GMM-DEREGISTERED.

CR 5 If service n°122 is available, the ME shall store KAMF with the associated key set identifier, the UE security capabilities, and the uplink and downlink NAS COUNT values in EF5GS3GPPNSC on the USIM.

CR 6 If service n°123 is available, and service n°133 is available the ME shall store the KAUSF, KSEAF, SOR counter and UE parameter update counter in the EF5GAUTHKEYS on the USIM.

CR 7 The UE can successfully register to the network.

Reference:

- TS 31.102 [19], clauses 4.4.11.3, 4.4.11.4 and 4.4.11.6;

- TS 33.501 [24], clause 6.1.3.1;

- TS 24.501 [25], clause 5.4.1.2 and Annex C.

#### 15.1A.1.3 Test purpose

The purpose of this test is to verify that:

1) the ME forwards the RAND and AUTN received in EAP-request/AKA'-challenge within EAP message IE to the USIM.

2) the ME returns the EAP message IE with EAP-response/AKA'-challenge in AUTHENTICATION RESPONSE message indicating the response calculated in the USIM (RES).

3) the ME stores 5G NAS security context parameters, consisting of KAMF with the associated key set identifier in EF5GS3GPPNSC on the USIM if service n°122 is "available".

4) the ME stores the KAUSF, KSEAF, SOR counter and UE parameter update in the EF5GAUTHKEYS on the USIM if services n°123 and n°133 are available.

5) the UE can successfully register to the network.

#### 15.1A.1.4 Method of test

##### 15.1A.1.4.1 Initial conditions

The values of the 5G-NR UICC – support of Rel-16 featurs as defined in clause 4.5.11 of the present document are used.

The TT (NG-SS) is configured to transmit on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

##### 15.1A.1.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | Activate NG-SS cell | The NG-SS cell on the TT is activated with SIB1 as defined in the initial conditions |  |  |
| 2 | UE | Run initial activation |  |  |  |
| 3 | UE <> TT | Establish RRC connection | UE camps on NG-SS cell and establishes RRC connection |  |  |
| 4 | UE > TT | Send REGISTRATION REQUEST |  |  |  |
| 5 | TT > UE | Send AUTHENTICATION REQUEST | The TT sends an AUTHENTICATION REQUEST message with EAP message IE with EAP request/AKA' challenge message with:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - EAP message:  - EAP-request/AKA'‑challenge  The NG-SS on the TT generates KAMF, KAUSF and KSEAF values, and initiates the EAP-AKA' authentication procedure |  |  |
| 6 | ME > USIM | Pass RAND and AUTN values to the USIM | The ME provides the EAP request/AKA' challenge data received in the AUTHENTICATION REQUEST to the USIM | CR 1 CR 2 | A.2/1 OR A.2/2 |
| 7 | UE > TT | Send AUTHENTICATION RESPONSE | The UE sends an AUTHENTICATION RESPONSE message with EAP message IE with EAP response/AKA' challenge message:  - Authentication response parameter:  message identity: ‘0101 0111’  4 – 16 octets RES value calculated  according to TS 24.501 [25]  The UE shall return RES equal to the XRES calculated at the NG-SS with the parameters provided/indicated in the AUTHENTICATION REQUEST at step 4 | CR 3 |  |
| 8 | TT > UE | Sends SECURITY MODE COMMANDmessage with EAP-success | The NG-SS sends a SECURITY MODE COMMANDmessage with EAP-success |  |  |
| 9 | UE > TT | Send SECURITY MODE COMPLETE | UE updates EF5GAUTHKEYS in parallel or after the NAS message | CR 6 | A.2/1 OR A.2/2 |
| 10 | TT > UE | Send REGISTRATION ACCEPT | NG-SS sends a REGISTRATION ACCEPTmessage with:  - 5G-GUTI: 24408300010266436587  - TAI: 244/83/000001 |  |  |
| 11 | UE > TT | UE sends REGISTRATION COMPLETE |  | CR 7 |  |
| 12 | UE | The UE is powered off and/or deactivated, performs the DEREGISTRATION procedure. | UE enters the 5GMM-DEREGISTEREDstate and updates EF5GS3GPPNSC | CR 4 | A.2/1 OR A.2/2 |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Optional step if CR 4, CR 5 and CR 6 verification cannot be performed at step 9) and 12)** | | | | | |
| 13 | TT | READ EF5GS3GPPNSC and EF5GAUTHKEYS | The TT or the test operator shall perform whatever action is needed to get read access to EF5GS3GPPNSC and EF5GAUTHKEYS | CR 4 CR 5 CR 6 | A.2/3 |

#### 15.1A.1.5 Acceptance criteria

CR 1 is verified if CR 2 is met, and the ME forwards the RAND and AUTN received in EAP-Request/AKA'-Challenge message to the USIM.

CR 3 requirements are met if the NG-SS receives an EAP message IE with EAP-response/AKA'-challenge in the AUTHENTICATION RESPONSE message sent in response to the AUTHENTICATION REQUEST in step 6).

CR 4 can be verified in step 12) or 13) after the state 5GMM-DEREGISTEREDis entered and if an appropriate method to monitor the APDU for EF update or read the EF content is provided by the UE manufacturer.

CR 5 can be verified in step 12) or 13) if an appropriate method to monitor the APDU for EF update or read the EF content is provided by the UE manufacturer.

CR 6 can be verified in step 9) or 13) if an appropriate method to monitor the APDU for EF update or read the EF content is provided by the UE manufacturer.

CR 7 is verified if the UE is capable to register to the NG-SS at step 11).

The EF contents to be verified at step 9), 12) and/or 13) for CR 5 and 6 are listed as below:

**EF5GAUTHKEYS** (5G authentication keys)

Logically:

KAUSF: 32 bytes, value not checked

KSEAF:32 bytes, value not checked

SOR counter: 2 bytes, value not checked

UE parameter update counter: 2 bytes, value not checked

Coding:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **Bx** | **Bx+1** | **Bx+2** | **Bx+3** | **...** | **By** |
| Hex | 80 | L1 | xx | .. | 81 | L2 | xx | … | xx |
|  | **By+1** | **By+2** | **By+3** | **Bz** | **Bz+1** | **Bz+2** | **Bz+3** | **..** | **Bxx** |
|  | 83 | L3 | xx | .. | 84 | L4 | xx | .. | xx |

**EF5GS3GPPNSC** (5GS 3GPP Access NAS Security Context)

Logically:

5GS NAS Security Context:

ngKSI: 00

KAMF:32 bytes, value not checked

Uplink NAS count: any value

Downlink NAS count: any value

Identifiers of selected NAS integrity  
and encryption algorithms: any value

Identifiers of selected EPS NAS  
integrity and encryption algorithms  
for use after mobility to EPS: any value

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **Bx** |
| Hex | A0 | xx | 80 | 01 | 00 | 81 | xx | xx | … | xx |

### 15.1.2 Authentication procedure for EAP-AKA' – Authentication is successful - GSM UICC

#### 15.1.2.1 Definition and applicability

The purpose of the primary authentication and key agreement procedure is to enable mutual authentication between the UE and the network and to provide keying material that can be used between the UE and network in subsequent security procedures. The UE and the AMF shall support the EAP based primary authentication and key agreement procedure.

The 5G NAS security context parameters from a full native 5G NAS security context shall be stored on the USIM if the corresponding file is present on the USIM as specified in TS 31.102 [19]. If the corresponding file is not present on the USIM, this 5GMM parameters is stored in a non-volatile memory in the ME together with the SUPI from the USIM.

During the authentication procedure if the USIM computes a Kc (i.e. GPRS Kc) from CK and IK using conversion function c3 as described in TS 33.102 [53], and sends it to the ME, then the ME shall ignore such GPRS Kc and not store the GPRS Kc on USIM or in ME.

#### 15.1.2.2 Conformance requirement

CR 1 The ME shall ignore the GPRS Kc and not store the GPRS Kc on USIM if the USIM computes a Kc (i.e. GPRS Kc) from CK and IK using conversion function c3 as described in TS 33.102 [53].

CR 2 The ME shall ignore the GPRS Kc and not store the GPRS Kc in ME if the USIM computes a Kc (i.e. GPRS Kc) from CK and IK using conversion function c3 as described in TS 33.102 [53].

CR 3 If Service n°122 and Service n°123 are not available on the USIM, the 5GS 3GPP access NAS security context parameters and the 5G authentication keys shall be stored in the non-volatile memory of the ME.

Reference:

- TS 33.501 [24], clause 6.1.3.1;

- TS 24.501 [25], clause 5.4.1.2 and Annex C.

#### 15.1.2.3 Test purpose

The purpose of this test is to verify that:

1. the ME will ignore the GPRS Kc and will not store the GPRS Kc on USIM or in ME when the USIM computes a Kc (i.e. GPRS Kc) from CK and IK.
2. the ME stores the 5GS 3GPP access NAS security context parameters and the 5G authentication keys inside the ME non-volatile memory when Service n°122 and Service n°123 are not available on the USIM.

#### 15.1.2.4 Method of test

##### 15.1.2.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used.

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001.

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

##### 15.1.2.4.2 Procedure

| Step | Direction | Action | Comment | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | NG-SS Cell is powered up | The NG-SS on the TT is activated |  |  |
|  | UE | Run initial activation |  |  |  |
| 2 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 3 | UE > TT | UE sends REGISTRATION REQUEST |  |  |  |
| 4 | TT > UE | NG-SS sends REGISTRATION REJECT (cause: #13 Roaming not allowed in this tracking area) and release RRC connection | UE shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI. |  |  |
| 5 | UE | The UE is powered off and then on again |  |  |  |
| 6 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 7 | UE > TT | UE sends REGISTRATION REQUEST message with:  - ngKSI:  - NAS key set identifier: '111' | UE shall indicate in the REGISTRATION REQUEST that no key is available for the NAS key set identifier |  |  |
| 8 | TT > UE | NG-SS sends AUTHENTICATION REQUEST with EAP message IE with EAP request/AKA' challenge message with:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - EAP message:  - EAP-request/AKA'‑challenge | The NG-SS on the TT generates KAMF, KAUSF and KSEAF values, and initiates the EAP-AKA' authentication procedure |  |  |
| 9 | UE | ME passes the RAND and AUTN values to the USIM | The ME provides the EAP request/AKA' challenge data received in the AUTHENTICATION REQUEST to the USIM; the USIM calculates the response parameter KC (i.e. GPRS Kc) from CK and IK using conversion function c3 |  |  |
| 10 | UE > TT | UE sends AUTHENTICATION RESPONSEmessage with EAP message IE with EAP response/AKA' challenge message:  - Authentication response parameter: 16 octets RES value calculated according to TS 24.501 [25] | ME does not store GPRS Kc on the USIM through step 10 to 15 | CR 1 |  |
| 11 | TT > UE | NG-SS sends SECURITY MODE COMMAND message with EAP-success |  |  |  |
| 12 | UE > TT | UE send SECURITY MODE COMPLETE |  |  |  |
| 13 | TT > UE | NG-SS sends REGISTRATION ACCEPT message with:  - 5G-GUTI: 24408300010266436587  - TAI: 42 34 80 00 00 01 |  |  |  |
| 14 | UE > TT | UE sends REGISTRATION COMPLETE |  |  |  |
| 15 | UE | The UE is powered off and/or deactivated, performs the DEREGISTRATION procedure |  |  |  |
| 16 | UE | Run initial activation and/or activated |  |  |  |
| 17 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 18 | UE > TT | UE sends REGISTRATION REQUEST message that is Security protected (indicated by Security header) with  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - 5GS mobile identity: 5G-GUTI type with value 24408300010266436587 |  | CR 1  CR 2  CR 3 |  |
| 19 | TT > UE | NG-SS sends REGISTRATION ACCEPT |  |  |  |
| 20 | UE > TT | UE sends REGISTRATION COMPLETE |  |  |  |

#### 15.1.2.5 Acceptance criteria

CR 1, CR 2 and CR 3 can be implicitly verified in step 18 when UE sends REGISTRATION REQUEST message that is Security protected and includes ngKSI and 5G-GUTI type 5GS mobile identity as specified in the test procedure.

Optionally, CR1 can be explicitly verified with methods A.2/1 or A.2/2 that ME does not store GPRS Kc on the USIM through steps 10 to 15.

### 15.1.3 Authentication procedure for EAP-AKA' – AUTN fails on the USIM

#### 15.1.3.1 Definition and applicability

The purpose of the primary authentication and key agreement procedure is to enable mutual authentication between the UE and the network and to provide keying material that can be used between the UE and network in subsequent security procedures. The UE and the AMF shall support the EAP based primary authentication and key agreement procedure.

At receipt of the RAND and AUTN, the USIM shall verify the freshness of the AV' by checking whether AUTN can be accepted as described in TS 33.102 [53]. If so, the USIM computes a response RES. The USIM shall return RES, CK, IK to the ME. The ME shall derive CK' and IK'. If the verification of the AUTN fails on the USIM, then the USIM and ME shall proceed as described in clause 6.1.3.3 in TS 33.501 [24].

When 5G AKA is used or when EAP-AKA’ is used, at the receipt of the RAND and AUTN, if the verification of the AUTN fails, then the USIM indicates to the ME the reason for failure and in the case of a synchronisation failure passes the AUTS parameter (see TS 33.102 [53]) to the ME.

If EAP-AKA’ is used: The ME shall send the EAP-Response/AKA-Synchronization-Failure include the AT\_AUTS attribute (RFC 4187 [54]).

#### 15.1.3.2 Conformance requirement

CR 1 The ME shall forward the RAND and AUTN received in the EAP message IE with EAP-request/AKA'-challenge in the AUTHENTICATION REQUEST message to the USIM.

CR 2 If the verification of the AUTN fails on the USIM, then ME shall send AUTHENTICATION FAILURE indicates EAP-Response/AKA-Synchronization-Failure and includes the AUTS from USIM.

CR 3 If the verification of the AUTN success, the UE shall send AUTHENTICATION RESPONSE message contains the response calculated in the USIM (RES)

Reference:

- TS 33.501 [24], clause 6.1.3.1 and 6.1.3.3;

- TS 24.501 [25], clauses 5.4.1.2.2.1.

- RFC 4187 [54], clause 9.6.

#### 15.1.3.3 Test purpose

1) To verify that the ME forwards the RAND and AUTN received in EAP-request/AKA'-challenge message to the USIM.

2) To verify that the ME returns the EAP-Response/AKA-Synchronization-Failure if the verification of AUTN failed on the USIM due to a synchronisation failure.

#### 15.1.3.4 Method of test

##### 15.1.3.4.1 Initial conditions

The NG-SS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001.

- Access control: unrestricted.

The default 5G-NR UICC is used and installed into the ME and the UE runs an initial activation.

##### 15.1.3.4.2 Procedure

| Step | Direction | Action | Comment | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | NG-SS Cell is powered up | The NG-SS on the TT is activated |  |  |
| 2 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 3 | UE > TT | UE sends REGISTRATION REQUEST |  |  |  |
| 4 | TT > UE | NG-SS sends AUTHENTICATION REQUEST with EAP message IE with EAP request/AKA' challenge message with:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - Authentication parameter AUTN: '1111 1111 1111 1111'  - EAP message:  - EAP-request/AKA'‑challenge | AUTHENTICATION REQUEST with the AMF field in the IE "Authentication parameter AUTN" set to "AMFRESYNCH" value to trigger SQN re-synchronisation procedure in the USIM (see TS 34.108 [5], 8.1.2.2) |  |  |
| 5 | UE | ME passes the RAND and AUTN values to the USIM | The ME provides the EAP request/AKA' challenge data received in the AUTHENTICATION REQUEST at step 4 to the USIM | CR1 | A.2/1 OR A.2/2 |
| 6 | UE | The UICC returns AUTS parameter to the ME indicating that the verification of AUTN failed due to a synchronisation failure |  |  |  |
| 7 | UE > TT | UE sends AUTHENTICATION FAILURE message with:  - 5GMM cause: '0001 0101'  - Authentication failure parameter: AUTS value calculated according to TS 34.108, 8.1.2.2 [5] | AUTHENTICATION RESPONSE message including the EAP-response/AKA'-synchronization-failure message, with AUTS from USIM | CR2 |  |
| 8 | TT > UE | NG-SS sends AUTHENTICATION REQUEST with EAP message IE with EAP request/AKA' challenge message with:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - EAP message:  - EAP-request/AKA'‑challenge |  |  |  |
| 9 | UE | ME passes the RAND and AUTN values to the USIM | The ME provides the EAP request/AKA' challenge data received in the AUTHENTICATION REQUEST at step 8 to the USIM | CR1 | A.2/1 OR A.2/2 |
| 10 | UE > TT | UE sends AUTHENTICATION RESPONSEmessage with EAP message IE with EAP response/AKA' challenge message:  - Authentication response parameter: 4 – 16 octets RES value calculated according to TS 24.501 [25] | The UE shall return RES equal to the XRES calculated at the NG-SS with the parameters provided/indicated in the AUTHENTICATION REQUEST at step 8 | CR3 |  |
| 11 | TT > UE | NG-SS sends SECURITY MODE COMMAND message with EAP-success |  |  |  |
| 12 | UE > TT | UE send SECURITY MODE COMPLETE |  |  |  |
| 13 | TT > UE | NG-SS sends REGISTRATION ACCEPT message with:  - 5G-GUTI: 24408300010266436587  - TAI: 42 34 80 00 00 01 |  |  |  |
| 14 | UE > TT | UE sends REGISTRATION COMPLETE |  |  |  |

#### 15.1.3.5 Acceptance criteria

CR 1 can be verified at step 5 and 9.

CR 2 can be verified at step 7 with AUTS value verified according to TS 34.108, 8.1.2.2.

CR 3 can be verified at step 10.

### 15.1.4 Authentication procedure for EAP-AKA' - after SUPI is changed

#### 15.1.4.1 Definition and applicability

The purpose of the primary authentication and key agreement procedure is to enable mutual authentication between the UE and the network and to provide keying material that can be used between the UE and network in subsequent security procedures. The UE and the AMF shall support the EAP based primary authentication and key agreement procedure.

The 5G NAS security context parameters from a full native 5G NAS security context shall be stored on the USIM if the corresponding file is present on the USIM as specified in TS 31.102 [19]. If the corresponding file is not present on the USIM, this 5GMM parameters is stored in a non-volatile memory in the ME together with the SUPI from the USIM. These 5GMM parameters can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory; else the UE shall delete the 5GMM parameters.

#### 15.1.4.2 Conformance requirement

CR 1 The UE shall support the EAP based primary authentication and key agreement procedure.

CR 2 The 5G NAS security context parameters shall be stored on the USIM or in a non-volatile memory in the ME together with the SUPI from the USIM.

CR 3 The UE shall delete the 5GMM parameters if the SUPI from the USIM does not match the SUPI stored in the non-volatile memory.

Reference:

- TS 33.501 [24], clause 6.1.3.1;

- TS 24.501 [25], clauses 5.4.1.2 and Annex C.

#### 15.1.4.3 Test purpose

1) To verify that the UE generates the 5G NAS security context parameters and stores them inside the non-volatile memory in the ME together with the SUPI from the USIM.

2) To verify that the UE rejects the SECURITY MODE COMMAND with the existing 5G NAS security context parameters if the SUPI is changed.

#### 15.1.4.4 Method of test

15.1.4.4.1 Initial conditions

The values of the 5G-NR UICC as defined in clause 4.5.9 of the present document are used with the following exception:

**EFUST** (USIM Service Table)

Services defined in Releases higher than Rel-15 might be available.

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°122: |  | 5GS Mobility Management Information | not available |
| Service n°123: |  | 5G Security Parameters | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxx1 xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx0 100x | xxxx xxxx |  |  |

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001.

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

##### 15.1.4.4.2 Procedure

| Step | Direction | Action | Comment | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | NG-SS Cell is powered up | The NG-SS on the TT is activated |  |  |
| UE | Run initial activation with IMSI set as 246081685533963 |  |  |  |
| 2 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 3 | UE > TT | UE sends REGISTRATION REQUEST |  |  |  |
| 4 | TT > UE | NG-SS sends REGISTRATION REJECT (cause: #13 Roaming not allowed in this tracking area) and release RRC connection | UE shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI. |  |  |
| 5 | UE | The UE is powered off |  |  |  |
|  | TT | NG-SS Cell is powered off |  |  |  |
| 6 | UE | Run an UE activation with default IMSI |  |  |  |
|  | TT | NG-SS Cell is powered on |  |  |  |
| 7 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 8 | UE > TT | UE sends REGISTRATION REQUEST message with:  - ngKSI:  - NAS key set identifier: '111'  - 5GS mobile identity: SUCI of the UE | UE shall indicate in the REGISTRATION REQUEST that no key is available for the NAS key set identifier |  |  |
| 9 | TT > UE | NG-SS sends AUTHENTICATION REQUEST with EAP message IE with EAP request/AKA' challenge message with:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - EAP message:  - EAP-request/AKA'‑challenge | The NG-SS on the TT generates KAMF, KAUSF and KSEAF values, and initiates the EAP-AKA' authentication procedure |  |  |
| 10 | UE > TT | UE sends AUTHENTICATION RESPONSEmessage with EAP message IE with EAP response/AKA' challenge message:  - Authentication response parameter: 16 octets RES value calculated according to TS 24.501 [25] | The UE shall return RES equal to the XRES calculated at the NG-SS with the parameters provided/indicated in the AUTHENTICATION REQUEST at step 9 |  |  |
| 11 | TT > UE | NG-SS sends SECURITY MODE COMMAND message with EAP-success |  |  |  |
| 12 | UE > TT | UE send SECURITY MODE COMPLETE |  |  |  |
| 13 | TT > UE | NG-SS sends REGISTRATION ACCEPT message with:  - 5G-GUTI: 24408300010266436587  - TAI: 42 34 80 00 00 01 |  |  |  |
| 14 | UE > TT | UE sends REGISTRATION COMPLETE |  | CR 1 |  |
| 15 | UE <> TT | The UE is powered off and, performs the DEREGISTRATION procedure |  |  |  |
| 16 | TT | NG-SS Cell is powered off |  |  |  |
| 17 | UE | Run initial activation, with IMSI set as 246081685533963 |  |  |  |
|  | TT | NG-SS Cell is powered on |  |  |  |
| 18 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 19 | UE > TT | UE sends REGISTRATION REQUEST message with:  - ngKSI:  - NAS key set identifier: '111'  - 5GS mobile identity: SUCI of the UE | UE shall indicate within the REGISTRATION REQUEST for the NAS key set identifier that no key is available, and use SUCI as 5GS mobile identity IE | CR 3 |  |
| 20 | TT > UE | NG-SS sends AUTHENTICATION REQUEST with EAP message IE with EAP request/AKA' challenge message with:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - EAP message:  - EAP-request/AKA'‑challenge |  |  |  |
| 21 | UE > TT | UE sends AUTHENTICATION RESPONSEmessage with EAP message IE with EAP response/AKA' challenge message:  - Authentication response parameter: 16 octets RES value calculated according to TS 24.501 [25] | The UE shall return RES equal to the XRES calculated at the NG-SS with the parameters provided/indicated in the AUTHENTICATION REQUEST at step 20 |  |  |
| 22 | TT > UE | NG-SS sends SECURITY MODE COMMAND with:  - ngKSI: The ngKSI of the partial native 5G security context assigned in the SECURITY MODE COMMAND message sent in step 11 |  |  |  |
| 23 | UE > TT | UE send SECURITY MODE REJECT with  - 5GMM cause: '0001 1000' | Cause #24 - Security mode rejected, unspecified | CR 2 |  |
| 24 | UE | The UE is powered off |  |  |  |

#### 15.1.4.5 Acceptance criteria

CR 1 can be verified at step 14.

CR 3 can be implicitly verified at step 19 where REGISTRATION REQUEST includes NAS key set identifier that no key is available and use SUCI as 5GS mobile identity IE.

CR 2 can be verified at step 24.

## 15.2 Authentication procedure for 5G AKA

### 15.2.1 Authentication procedure for 5G AKA - Authentication is successful

15.2.1.1 Definition and applicability

The purpose of the 5G AKA based primary authentication and key agreement procedure is to provide mutual authentication between the UE and the network and to agree on the keys KAUSF, KSEAF and KAMF. The UE and the AMF shall support the 5G AKA based primary authentication and key agreement procedure.

The 5G NAS security context parameters from a full native 5G NAS security context shall be stored on the USIM if the corresponding file is present on the USIM as specified in TS 31.102 [19]. If the corresponding file is not present on the USIM, this 5GMM parameters is stored in a non-volatile memory in the ME together with the SUPI from the USIM.

The EF5GS3GPPNSC contains the 5GS 3GPP access NAS security context as defined in TS 24.501 [25], consisting of KAMF with the associated key set identifier, the UE security capabilities, and the uplink and downlink NAS COUNT values. This file shall contain one record.

The EF5GAUTHKEYS contains KAUSF and KSEAF that are generated on the ME using CK and IK as part of AKA procedures as described in TS 33.501[24]

15.2.1.2 Conformance requirement

CR 1 The UE shall support the 5G AKA based primary authentication and key agreement procedure.

CR 2 The ME shall forward the RAND and AUTN received in AUTHENTICATION REQUESTmessage to the USIM.

CR 3 The ME shall compute RES\* from RES according to Annex A.4 TS 33.501[24] and return it in AUTHENTICATION RESPONSE message.

CR 4 If service n°122 is "available", when entering state 5GMM-DEREGISTERED, the ME shall store the KAMF with the associated key set identifier, the UE security capabilities, and the uplink and downlink NAS COUNT values in EF5GS3GPPNSC on the USIM.

CR 5 If service n°123 is "available", the ME shall store the KAUSF and KSEAF in EF5GAUTHKEYS on the USIM.

CR 6 The UE can successfully register to the network.

Reference:

- TS 31.102 [19], clauses 4.4.11.3, 4.4.11.4 and 4.4.11.6;

- TS 33.501 [24], clause 6.1.3.2;

- TS 24.501 [25], clause 5.4.1.3 and Annex C.

15.2.1.3 Test purpose

The purpose of this test is to verify that:1) the ME forwards the RAND and AUTN received in 5G authentication challenge data within AUTHENTICATION REQUEST message to the USIM.

2) the ME sends AUTHENTICATION RESPONSE message contains the calculated RES\* in response to AUTHENTICATION REQUEST message.

3) the ME stores 5G NAS security context parameters when entering state 5GMM-DEREGISTERED, consisting of KAMF with the associated key set identifier in EF5GS3GPPNSC on the USIM if service n°122 is "available".

4) the ME stores the KAUSF and KSEAF in EF5GAUTHKEYS on the USIM if service n°123 is "available".

#### 15.2.1.4 Method of test

##### 15.2.1.4.1 Initial conditions

The NG-SS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001.

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

15.2.1.4.2 Procedure

| Step | Direction | Action | Comment | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | NG-SS Cell is powered up with SIB1 as defined in the initial conditions | The NG-SS on the TT is activated |  |  |
| UE | Run initial activation |  |  |  |
| 2 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 3 | UE > TT | UE sends REGISTRATION REQUEST |  |  |  |
| 4 | TT > UE | NG-SS sends AUTHENTICATION REQUEST with 5G AKA-Challenge message:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - Authentication parameter RAND (5G authentication challenge): 128 bits value  - Authentication parameter AUTN (5G Authentication challenge). 128 bits value | The NG-SS on the TT generates KAMF, KAUSF and KSEAF values, and initiates the 5G AKA authentication procedure |  |  |
| 5 | UE | ME passes the RAND and AUTN values to the USIM | The ME provides the authentication challenge data received in the AUTHENTICATION REQUEST to the USIM | CR 1 CR 2 | A.2/1 OR A.2/2 |
| 6 | UE > TT | UE sends AUTHENTICATION RESPONSEmessage with:  - Authentication response parameter: 16 octets RES\* value calculated according to TS 24.501 [25] | The UE shall return RES\* equal to the XRES\* calculated at the NG-SS with the parameters provided/indicated in the AUTHENTICATION REQUEST | CR 3 |  |
| 7 | TT > UE | NG-SS sends SECURITY MODE COMMAND message including the ngKSI of the new 5G NAS security context (as provided in step 4) |  |  |  |
| 8 | UE > TT | UE send SECURITY MODE COMPLETE integrity protected and ciphered with the new 5G NAS security context identified by the ngKSI received in the SECURITY MODE COMMAND message in step 7 | UE updates EF5GAUTHKEYS in parallel or after the NAS message | CR 5 | A.2/1 OR A.2/2 OR A.2/3 |
| 9 | TT > UE | NG-SS sends REGISTRATION ACCEPT message with:  - 5G-GUTI: 24408300010266436587  - TAI: 42 34 80 00 00 01 |  |  |  |
| 10 | UE > TT | UE sends REGISTRATION COMPLETE |  | CR 6 |  |
| 11 | UE <> TT | The UE is powered off and/or deactivated, performs the DEREGISTRATION procedure | UE enters the 5GMM-DEREGISTEREDduring this step, and updates EF5GS3GPPNSC | CR 4 | A.2/1 OR A.2/2 OR A.2/3 |
| 12 | TT | Read EF5GS3GPPNSC and EF5GAUTHKEYS | Optional step if CR4 and CR5 verification cannot be performed at step 8 and 11:  The TT or the test operator perform whatever action is needed to get read access to EF5GS3GPPNSC and EF5GAUTHKEYS | CR 4CR 5 | A.2/3 |

#### 15.2.1.5 Acceptance criteria

CR 1 is verified if CR 2 is met, and the ME forwards the RAND and AUTN received in received in the AUTHENTICATION REQUEST to the USIM.

CR 3 requirements are met if the NG-SS receives RES\* in the AUTHENTICATION RESPONSE message sent in response to the AUTHENTICATION REQUESTin step 6.

CR 4 can be verified in step 11 or 12 if an appropriate method to monitor the APDU for EF update or read the EF content is provided by the UE manufacturer.

CR 5 can be verified in step 8) or 12) if an appropriate method to monitor the APDU for EF update or read the EF content is provided by the UE manufacturer.

CR 6 is verified if the UE is capable to register to the NG-SS at step 13).

The EF contents to be verified at step 8), 11) and/or 12) for CR 4 and CR 5 are listed as below:

**EF5GAUTHKEYS** (5G authentication keys)

Logically:

KAUSF: value not checked

KSEAF:value not checked

Coding:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **Bx** | **Bx+1** | **Bx+2** | **Bx+3** | **..** | **Bxx** |
| Hex | 80 | L1 | xx | .. | 81 | L2 | xx | .. | xx |

7) After step 11) the ME updates EF5GS3GPPNSC as shown below.

**EF5GS3GPPNSC** (5GS 3GPP Access NAS Security Context)

Logically:

5GS NAS Security Context:

ngKSI: 00

KAMF:value not checked

Uplink NAS count: any value

Downlink NAS count: any value

Identifiers of selected NAS integrity  
and encryption algorithms: any value

Identifiers of selected EPS NAS  
integrity and encryption algorithms  
for use after mobility to EPS: any value

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **Bx** |
| Hex | A0 | xx | 80 | 01 | 00 | 81 | xx | xx | … | xx |

### 15.2A.1 Authentication procedure for 5G AKA - Authentication is successful

15.2A.1.1 Definition and applicability

The purpose of the 5G AKA based primary authentication and key agreement procedure is to provide mutual authentication between the UE and the network and to agree on the keys KAUSF, KSEAF and KAMF. The UE and the AMF shall support the 5G AKA based primary authentication and key agreement procedure.

The 5G NAS security context parameters from a full native 5G NAS security context shall be stored on the USIM if the corresponding file is present on the USIM as specified in TS 31.102 [19]. If the corresponding file is not present on the USIM, this 5GMM parameters is stored in a non-volatile memory in the ME together with the SUPI from the USIM.

The EF5GS3GPPNSC contains the 5GS 3GPP access NAS security context as defined in TS 24.501 [25], consisting of KAMF with the associated key set identifier, the UE security capabilities, and the uplink and downlink NAS COUNT values. This file shall contain one record.

The EF5GAUTHKEYS contains KAUSF and KSEAF that are generated on the ME using CK and IK as part of AKA procedures as described in TS 33.501[24]

15.2A.1.2 Conformance requirement

CR 1 The UE shall support the 5G AKA based primary authentication and key agreement procedure.

CR 2 The ME shall forward the RAND and AUTN received in AUTHENTICATION REQUESTmessage to the USIM.

CR 3 The ME shall compute RES\* from RES according to Annex A.4 TS 33.501[24] and return it in AUTHENTICATION RESPONSE message.

CR 4 If service n°122 is "available", when entering state 5GMM-DEREGISTERED, the ME shall store the KAMF with the associated key set identifier, the UE security capabilities, and the uplink and downlink NAS COUNT values in EF5GS3GPPNSC on the USIM.

CR 5 If services n°123 and n°133 are available, the ME shall store the KAUSF, KSEAF, SOR counter and UE parameter update counter in EF5GAUTHKEYS on the USIM.

CR 6 The UE can successfully register to the network.

Reference:

- TS 31.102 [19], clauses 4.4.11.3, 4.4.11.4 and 4.4.11.6;

- TS 33.501 [24], clause 6.1.3.2;

- TS 24.501 [25], clause 5.4.1.3 and Annex C.

15.2A.1.3 Test purpose

The purpose of this test is to verify that:1) the ME forwards the RAND and AUTN received in 5G authentication challenge data within AUTHENTICATION REQUEST message to the USIM.

2) the ME sends AUTHENTICATION RESPONSE message contains the calculated RES\* in response to AUTHENTICATION REQUEST message.

3) the ME stores 5G NAS security context parameters when entering state 5GMM-DEREGISTERED, consisting of KAMF with the associated key set identifier in EF5GS3GPPNSC on the USIM if service n°122 is "available".

4) the ME stores the KAUSF, KSEAF, SOR counter and UE parameter update counter in EF5GAUTHKEYS on the USIM if services n°123 and n°133 are available.

#### 15.2A.1.4 Method of test

##### 15.2A.1.4.1 Initial conditions

The values of the 5G-NR UICC – support of Rel-16 featurs as defined in clause 4.5.11 of the present document are used.

The TT (NG-SS) is configured to transmit on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

15.2A.1.4.2 Procedure

| Step | Direction | Action | Comment | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | NG-SS Cell is powered up with SIB1 as defined in the initial conditions | The NG-SS on the TT is activated |  |  |
| 2 | UE | Run initial activation |  |  |  |
| 3 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 4 | UE > TT | UE sends REGISTRATION REQUEST |  |  |  |
| 5 | TT > UE | NG-SS sends AUTHENTICATION REQUEST with 5G AKA-Challenge message:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - Authentication parameter RAND (5G authentication challenge): 128 bits value  - Authentication parameter AUTN (5G Authentication challenge). 128 bits value | The NG-SS on the TT generates KAMF, KAUSF and KSEAF values, and initiates the 5G AKA authentication procedure |  |  |
| 6 | UE | ME passes the RAND and AUTN values to the USIM | The ME provides the authentication challenge data received in the AUTHENTICATION REQUEST to the USIM | CR 1 CR 2 | A.2/1 OR A.2/2 |
| 7 | UE > TT | UE sends AUTHENTICATION RESPONSEmessage with:  - Authentication response parameter: 16 octets RES\* value calculated according to TS 24.501 [25] | The UE shall return RES\* equal to the XRES\* calculated at the NG-SS with the parameters provided/indicated in the AUTHENTICATION REQUEST | CR 3 |  |
| 8 | TT > UE | NG-SS sends SECURITY MODE COMMAND message including the ngKSI of the new 5G NAS security context (as provided in step 4) |  |  |  |
| 9 | UE > TT | UE send SECURITY MODE COMPLETE integrity protected and ciphered with the new 5G NAS security context identified by the ngKSI received in the SECURITY MODE COMMAND message in step 7 | UE updates EF5GAUTHKEYS in parallel or after the NAS message | CR 5 | A.2/1 OR A.2/2 |
| 10 | TT > UE | NG-SS sends REGISTRATION ACCEPT message with:  - 5G-GUTI: 24408300010266436587  - TAI: 42 34 80 00 00 01 |  |  |  |
| 11 | UE > TT | UE sends REGISTRATION COMPLETE |  | CR 6 |  |
| 12 | UE <> TT | The UE is powered off and/or deactivated, performs the DEREGISTRATION procedure | UE enters the 5GMM-DEREGISTEREDduring this step, and updates EF5GS3GPPNSC | CR 4 | A.2/1 OR A.2/2 |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Optional step if CR 4, and CR 5 verification cannot be performed at step 9) and 12)** | | | | | |
| 13 | TT | READ EF5GS3GPPNSC and EF5GAUTHKEYS | The TT or the test operator shall perform whatever action is needed to get read access to EF5GS3GPPNSC and EF5GAUTHKEYS | CR 4 CR 5 | A.2/3 |

#### 15.2A.1.5 Acceptance criteria

CR 1 is verified if CR 2 is met, and the ME forwards the RAND and AUTN received in received in the AUTHENTICATION REQUEST to the USIM.

CR 3 requirements are met if the NG-SS receives RES\* in the AUTHENTICATION RESPONSE message sent in response to the AUTHENTICATION REQUESTin step 6).

CR 4 can be verified in step 12) or 13) if an appropriate method to monitor the APDU for EF update or read the EF content is provided by the UE manufacturer.

CR 5 can be verified in step 9) or 13) if an appropriate method to monitor the APDU for EF update or read the EF content is provided by the UE manufacturer.

CR 6 is verified if the UE is capable to register to the NG-SS at step 11).

The EF contents to be verified at step 9), 12) and/or 13) for CR 4 and CR 5 are listed as below:

**EF5GAUTHKEYS** (5G authentication keys)

Logically:

KAUSF: 32 bytes, value not checked

KSEAF:32 bytes, value not checked

SOR counter: 2 bytes, value not checked

UE parameter update counter: 2 bytes, value not checked

Coding:

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **Bx** | **Bx+1** | **Bx+2** | **Bx+3** | **...** | **By** |
| Hex | 80 | L1 | xx | .. | 81 | L2 | xx | … | xx |
|  | **By+1** | **By+2** | **By+3** | **Bz** | **Bz+1** | **Bz+2** | **Bz+3** | **..** | **Bxx** |
|  | 83 | L3 | Xx | .. | 84 | L4 | xx | .. | xx |

**EF5GS3GPPNSC** (5GS 3GPP Access NAS Security Context)

Logically:

5GS NAS Security Context:

ngKSI: 00

KAMF: 32 bytes, value not checked

Uplink NAS count: any value

Downlink NAS count: any value

Identifiers of selected NAS integrity  
and encryption algorithms: any value

Identifiers of selected EPS NAS  
integrity and encryption algorithms  
for use after mobility to EPS: any value

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **Bx** |
| Hex | A0 | xx | 80 | 01 | 00 | 81 | xx | xx | … | xx |

### 15.2.2 Authentication procedure for 5G AKA – Authentication is successful - GSM UICC

#### 15.2.2.1 Definition and applicability

The purpose of the 5G AKA based primary authentication and key agreement procedure is to provide mutual authentication between the UE and the network and to agree on the keys KAUSF, KSEAF and KAMF. The UE and the AMF shall support the 5G AKA based primary authentication and key agreement procedure.

The 5G NAS security context parameters from a full native 5G NAS security context shall be stored on the USIM if the corresponding file is present on the USIM as specified in TS 31.102 [19]. If the corresponding file is not present on the USIM, this 5GMM parameters is stored in a non-volatile memory in the ME together with the SUPI from the USIM.

During the authentication procedure if the USIM computes a Kc (i.e. GPRS Kc) from CK and IK using conversion function c3 as described in TS 33.102 [53], and sends it to the ME, then the ME shall ignore such GPRS Kc and not store the GPRS Kc on USIM or in ME.

#### 15.2.2.2 Conformance requirement

CR 1 The ME shall ignore the GPRS Kc and not store the GPRS Kc on USIM if the USIM computes a Kc (i.e. GPRS Kc) from CK and IK using conversion function c3 as described in TS 33.102 [53].

CR 2 The ME shall ignore the GPRS Kc and not store the GPRS Kc in ME if the USIM computes a Kc (i.e. GPRS Kc) from CK and IK using conversion function c3 as described in TS 33.102 [53].

CR 3 If Service n°122 and Service n°123 are not available on the USIM, the 5GS 3GPP access NAS security context parameters and the 5G authentication keys shall be stored in the non-volatile memory of the ME.

Reference:

- TS 33.501 [24], clause 6.1.3.1;

- TS 24.501 [25], clause 5.4.1.2 and Annex C.

#### 15.2.2.3 Test purpose

1) To verify that the ME will ignore the GPRS Kc and will not store the GPRS Kc on USIM or in ME when the USIM computes a Kc (i.e. GPRS Kc) from CK and IK.

2) To verify that the ME stores the 5GS 3GPP access NAS security context parameters and the 5G authentication keys inside the ME non-volatile memory when Service n°122 and Service n°123 are not available on the USIM.

#### 15.2.2.4 Method of test

##### 15.2.2.4.1 Initial conditions

The values of the default UICC as defined in clause 4.5.2 of the present document are used.

The NR-SS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001.

- Access control: unrestricted.

The default UICC is used and installed into the ME.

##### 15.2.2.4.2 Procedure

| Step | Direction | Action | Comment | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | NG-SS Cell is powered up | The NG-SS on the TT is activated |  |  |
| 2 | UE | Run initial activation |  |  |  |
| 3 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 4 | UE > TT | UE sends REGISTRATION REQUEST |  |  |  |
| 5 | TT > UE | NG-SS sends REGISTRATION REJECT (cause: #13 Roaming not allowed in this tracking area) and release RRC connection | UE shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI. |  |  |
| 6 | UE | The UE is powered off and then on again |  |  |  |
| 7 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 8 | UE > TT | UE sends REGISTRATION REQUEST message with:  - ngKSI:  - NAS key set identifier: '111' | UE shall indicate in the REGISTRATION REQUEST that no key is available for the NAS key set identifier |  |  |
| 9 | TT > UE | NG-SS sends AUTHENTICATION REQUEST with 5G AKA-Challenge message:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - Authentication parameter RAND (5G authentication challenge): 128 bits value  - Authentication parameter AUTN (5G Authentication challenge). 128 bits value | The NG-SS on the TT generates KAMF, KAUSF and KSEAF values, and initiates the 5G AKA authentication procedure |  |  |
| 10 | UE | ME passes the RAND and AUTN values to the USIM | The ME provides the 5G AKA challenge data received in the AUTHENTICATION REQUEST to the USIM; the USIM calculates the response parameter KC (i.e. GPRS Kc) from CK and IK using conversion function c3 |  |  |
| 11 | UE > TT | UE sends AUTHENTICATION RESPONSEmessage with:  - Authentication response parameter: 16 octets RES\* value calculated according to TS 24.501 [25] | ME does not store GPRS Kc on the USIM through step 10 to 15 | CR 1 | O |
| 12 | TT > UE | NG-SS sends SECURITY MODE COMMAND including the ngKSI of the new 5G NAS security context (as provided in step 8) |  |  |  |
| 13 | UE > TT | UE send SECURITY MODE COMPLETE |  |  |  |
| 14 | TT > UE | NG-SS sends REGISTRATION ACCEPT message with:  - 5G-GUTI: 24408300010266436587  - TAI: 42 34 80 00 00 01 |  |  |  |
| 15 | UE > TT | UE sends REGISTRATION COMPLETE |  |  |  |
| 16 | UE <> TT | The UE is powered off and/or deactivated, performs the DEREGISTRATION procedure |  |  |  |
| 17 | UE | Run initial activation and/or activated |  |  |  |
| 18 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 19 | UE > TT | UE sends REGISTRATION REQUEST message that is Security protected (indicated by Security header) with  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - 5GS mobile identity: 5G-GUTI type with value 24408300010266436587 |  | CR 1 CR 2 CR 3 |  |
| 20 | TT > UE | NG-SS sends REGISTRATION ACCEPT message with:  - 5G-GUTI: 24408300010266436587  - TAI: 42 34 80 00 00 01 |  |  |  |
| 21 | UE > TT | UE sends REGISTRATION COMPLETE |  |  |  |

#### 15.2.2.5 Acceptance criteria

CR 1, CR 2 and CR 3 can be implicitly verified in step 19) when UE sends REGISTRATION REQUEST message that is Security protected and includes ngKSI and 5G-GUTI type 5GS mobile identity as specified in the test procedure.

Optionally, CR 1 can be explicitly verified with methods A.2/1 or A.2/2 that ME does not store GPRS Kc on the USIM through steps 11) to 16).

### 15.2A.2 Authentication procedure for 5G AKA - Authentication is successful

#### 15.2A.2.1 Definition and applicability

The purpose of the 5G AKA based primary authentication and key agreement procedure is to provide mutual authentication between the UE and the network and to agree on the keys KAUSF, KSEAF and KAMF. The UE and the AMF shall support the 5G AKA based primary authentication and key agreement procedure.

The 5G NAS security context parameters from a full native 5G NAS security context shall be stored on the USIM if the corresponding file is present on the USIM as specified in TS 31.102 [19]. If the corresponding file is not present on the USIM, this 5GMM parameters is stored in a non-volatile memory in the ME together with the SUPI from the USIM.

During the authentication procedure if the USIM computes a Kc (i.e. GPRS Kc) from CK and IK using conversion function c3 as described in TS 33.102 [53], and sends it to the ME, then the ME shall ignore such GPRS Kc and not store the GPRS Kc on USIM or in ME.

#### 15.2A.2.2 Conformance requirement

CR 1 The ME shall ignore the GPRS Kc and not store the GPRS Kc on USIM if the USIM computes a Kc (i.e. GPRS Kc) from CK and IK using conversion function c3 as described in TS 33.102 [53].

CR 2 The ME shall ignore the GPRS Kc and not store the GPRS Kc in ME if the USIM computes a Kc (i.e. GPRS Kc) from CK and IK using conversion function c3 as described in TS 33.102 [53].

CR 3 If Service n°122 and Service n°123 are not available on the USIM, the 5GS 3GPP access NAS security context parameters and the 5G authentication keys shall be stored in the non-volatile memory of the ME.

Reference:

- TS 33.501 [24], clause 6.1.3.1;

- TS 24.501 [25], clause 5.4.1.2 and Annex C.

#### 15.2A.2.3 Test purpose

The purpose of this test is to verify that:

1) the ME will ignore the GPRS Kc and will not store the GPRS Kc on USIM or in ME when the USIM computes a Kc (i.e. GPRS Kc) from CK and IK.

2) the ME stores the 5GS 3GPP access NAS security context parameters and the 5G authentication keys inside the ME non-volatile memory when Services n°122, n°123 and n°133 are not available on the USIM.

#### 15.2A.2.4 Method of test

##### 15.2A.2.4.1 Initial conditions

The values of the default UICC as defined in clause 4.5.2 of the present document are used.

The NR-SS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001.

- Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

##### 15.2A.2.4.2 Procedure

| Step | Direction | Action | Comment | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | NG-SS Cell is powered up | The NG-SS on the TT is activated |  |  |
| 2 | UE | Run initial activation |  |  |  |
| 3 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 4 | UE > TT | UE sends REGISTRATION REQUEST |  |  |  |
| 5 | TT > UE | NG-SS sends REGISTRATION REJECT (cause: #13 Roaming not allowed in this tracking area) and release RRC connection | UE shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI. |  |  |
| 6 | UE | The UE is powered off and then on again |  |  |  |
| 7 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 8 | UE > TT | UE sends REGISTRATION REQUEST message with:  - ngKSI:  - NAS key set identifier: '111' | UE shall indicate in the REGISTRATION REQUEST that no key is available for the NAS key set identifier |  |  |
| 9 | TT > UE | NG-SS sends AUTHENTICATION REQUEST with 5G AKA-Challenge message:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - Authentication parameter RAND (5G authentication challenge): 128 bits value  - Authentication parameter AUTN (5G Authentication challenge). 128 bits value | The NG-SS on the TT generates KAMF, KAUSF and KSEAF values, and initiates the 5G AKA authentication procedure |  |  |
| 10 | UE | ME passes the RAND and AUTN values to the USIM | The ME provides the 5G AKA challenge data received in the AUTHENTICATION REQUEST to the USIM; the USIM calculates the response parameter KC (i.e. GPRS Kc) from CK and IK using conversion function c3 |  |  |
| 11 | UE > TT | UE sends AUTHENTICATION RESPONSEmessage with:  - Authentication response parameter: 16 octets RES\* value calculated according to TS 24.501 [25] | ME does not store GPRS Kc, SOR counter or UE parameter update counter on the USIM through step 11 to 16 | CR 1 |  |
| 12 | TT > UE | NG-SS sends SECURITY MODE COMMAND including the ngKSI of the new 5G NAS security context (as provided in step 8) |  |  |  |
| 13 | UE > TT | UE send SECURITY MODE COMPLETE |  |  |  |
| 14 | TT > UE | NG-SS sends REGISTRATION ACCEPT message with:  - 5G-GUTI: 24408300010266436587  - TAI: 42 34 80 00 00 01 |  |  |  |
| 15 | UE > TT | UE sends REGISTRATION COMPLETE |  |  |  |
| 16 | UE <> TT | The UE is powered off and/or deactivated, performs the DEREGISTRATION procedure |  |  |  |
| 17 | UE | Run initial activation and/or activated |  |  |  |
| 18 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 19 | UE > TT | UE sends REGISTRATION REQUEST message that is Security protected (indicated by Security header) with  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - 5GS mobile identity: 5G-GUTI type with value 24408300010266436587 |  | CR 1 CR 2 CR 3 |  |
| 20 | TT > UE | NG-SS sends REGISTRATION ACCEPT message with:  - 5G-GUTI: 24408300010266436587  - TAI: 42 34 80 00 00 01 |  |  |  |
| 21 | UE > TT | UE sends REGISTRATION COMPLETE |  |  |  |

#### 15.2A.2.5 Acceptance criteria

CR 1, CR 2 and CR 3 can be implicitly verified in step 19) when UE sends REGISTRATION REQUEST message that is security protected and includes ngKSI and 5G-GUTI type 5GS mobile identity as specified in the test procedure.

Optionally, CR 1 can be explicitly verified in steps 11) to 15) with methods A.2/1 or A.2/2 ensuring that the ME does not try to store GPRS Kc, SOR counter or UE parameter update counter on the USIM through steps 11) to 16).

### 15.2.3 Authentication procedure 5G AKA – AUTN fails on the USIM

#### 15.2.3.1 Definition and applicability

The purpose of the primary authentication and key agreement procedure is to enable mutual authentication between the UE and the network and to provide keying material that can be used between the UE and network in subsequent security procedures. The UE and the AMF shall support the EAP based primary authentication and key agreement procedure.

At receipt of the RAND and AUTN, the USIM shall verify the freshness of the AV' by checking whether AUTN can be accepted as described in TS 33.102 [53]. If so, the USIM computes a response RES. The USIM shall return RES, CK, IK to the ME. If the verification of the AUTN fails on the USIM, then the USIM and ME shall proceed as described in clause 6.1.3. 3 in 3GPP TS 33.501 [24].

When 5G AKA is used or when EAP-AKA’ is used, at the receipt of the RAND and AUTN, if the verification of the AUTN fails, then the USIM indicates to the ME the reason for failure and in the case of a synchronisation failure passes the AUTS parameter (see TS 33.102 [53]) to the ME.

#### 15.2.3.2 Conformance requirement

CR 1 The ME shall forward the RAND and AUTN received in 5G authentication challenge data in the AUTHENTICATION REQUEST message to the USIM.

CR 2 If the verification of the AUTN fails on the USIM, then ME shall send AUTHENTICATION FAILURE with 5GMM cause #21 "Synch failure” and includes the AUTS from USIM.

CR 3 If the verification of the AUTN success, the UE shall send AUTHENTICATION RESPONSE message contains the response calculated in the USIM (RES)

Reference:

- TS 33.501 [24], clause 6.1.3.2 and 6.1.3.3;

- TS 24.501 [25], clause 5.4.1.3.7

#### 15.2.3.3 Test purpose

1) To verify that the ME forwards the RAND and AUTN received in the 5G authentication challenge message to the USIM.

2) To verify that the ME returns the Synchronization-Failure in the AUTHENTICATION FAILUREmessage if the verification of AUTN failed on the USIM due to a synchronisation failure.

#### 15.2.3.4 Method of test

##### 15.2.3.4.1 Initial conditions

The NG-SS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001.

- Access control: unrestricted.

The default 5G-NR UICC is used and installed into the ME.

##### 15.2.3.4.2 Procedure

| Step | Direction | Action | Comment | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | NG-SS Cell is powered up | The NG-SS on the TT is activated |  |  |
| UE | Run initial activation |  |  |  |
| 2 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 3 | UE > TT | UE sends REGISTRATION REQUEST |  |  |  |
| 4 | TT > UE | NG-SS sends AUTHENTICATION REQUEST with 5G AKA challenge message with:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - Authentication parameter AUTN: '1111 1111 1111 1111'  - Authentication parameter RAND (5G authentication challenge): 128 bits value  - Authentication parameter AUTN (5G Authentication challenge). 128 bits value | AUTHENTICATION REQUEST with the AMF field in the IE "Authentication parameter AUTN" set to "AMFRESYNCH" value to trigger SQN re-synchronisation procedure in the USIM (see TS 34.108 [5], 8.1.2.2) |  |  |
| 5 | UE | ME passes the RAND and AUTN values to the USIM | The ME provides the 5G AKA challenge data received in the AUTHENTICATION REQUEST at step 4 to the USIM | CR1 | A.2/1 OR A.2/2 |
| 6 | UE | The UICC returns AUTS parameter to the ME indicating that the verification of AUTN failed due to a synchronisation failure |  |  |  |
| 7 | UE > TT | UE sends AUTHENTICATION FAILURE message with:  - 5GMM cause: '0001 0101'  - Authentication failure parameter: AUTS value calculated according to TS 34.108, 8.1.2.2 [5] | AUTHENTICATION RESPONSE message including 5GMM cause "Synch failure", with AUTS from USIM | CR2 |  |
| 8 | TT > UE | NG-SS sends AUTHENTICATION REQUEST with 5G AKA challenge message with:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - Authentication parameter RAND (5G authentication challenge): 128 bits value  - Authentication parameter AUTN (5G Authentication challenge). 128 bits value |  |  |  |
| 9 | UE | ME passes the RAND and AUTN values to the USIM | The ME provides the 5G AKA challenge data received in the AUTHENTICATION REQUEST at step 8 to the USIM | CR1 | A.2/1 OR A.2/2 |
| 10 | UE > TT | UE sends AUTHENTICATION RESPONSEmessage with:  - Authentication response parameter: 4 – 16 octets RES value calculated according to TS 24.501 [25] | The UE shall return RES\* equal to the XRES\* calculated at the NG-SS with the parameters provided/indicated in the AUTHENTICATION REQUEST at step 8 | CR3 |  |
| 11 | TT > UE | NG-SS sends SECURITY MODE COMMAND message including the ngKSI of the new 5G NAS security context (as provided in step 8) |  |  |  |
| 12 | UE > TT | UE send SECURITY MODE COMPLETE |  |  |  |
| 13 | TT > UE | NG-SS sends REGISTRATION ACCEPT message with:  - 5G-GUTI: 24408300010266436587  - TAI: 42 34 80 00 00 01 |  |  |  |
| 14 | UE > TT | UE sends REGISTRATION COMPLETE |  |  |  |

#### 15.2.3.5 Acceptance criteria

CR 1 can be verified at step 5) and 9).

CR 2 can be verified at step 7) with AUTS value verified according to TS 34.108 [5]), 8.1.2.2.

CR 3 can be verified at step 10).

### 15.2.4 Authentication procedure for 5G AKA - after SUPI is changed

#### 15.2.4.1 Definition and applicability

The purpose of the 5G AKA based primary authentication and key agreement procedure is to provide mutual authentication between the UE and the network and to agree on the keys KAUSF, KSEAF and KAMF. The UE and the AMF shall support the 5G AKA based primary authentication and key agreement procedure.

The 5G NAS security context parameters from a full native 5G NAS security context shall be stored on the USIM if the corresponding file is present on the USIM as specified in TS 31.102 [19]. If the corresponding file is not present on the USIM, this 5GMM parameters is stored in a non-volatile memory in the ME together with the SUPI from the USIM. These 5GMM parameters can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory; else the UE shall delete the 5GMM parameters.

#### 15.2.4.2 Conformance requirement

CR 1 The UE shall support the 5G AKA based primary authentication and key agreement procedure.

CR 2 The 5G NAS security context parameters shall be stored on the USIM or in a non-volatile memory in the ME together with the SUPI from the USIM.

CR 3 The UE shall delete the 5GMM parameters if the SUPI from the USIM does not match the SUPI stored in the non-volatile memory.

Reference:

- 3GPP TS 33.501 [24], clause 6.1.3.2;

- 3GPP TS 24.501 [25], clauses 5.4.1.3, Annex C.

#### 15.2.4.3 Test purpose

1) To verify that the UE generates the 5G NAS security context parameters and stores them inside the non-volatile memory in the ME together with the SUPI from the USIM.

2) To verify that the UE rejects the SECURITY MODE COMMAND with the existing 5G NAS security context parameters if the SUPI is changed.

#### 15.2.4.4 Method of test

##### 15.2.4.4.1 Initial conditions

The NG-SS transmits on the BCCH, with the following network parameters:

- TAI (MCC/MNC/TAC): 244/083/000001.

- Access control: unrestricted.

The 5G-NR UICC as defined in clause 4.5.9 is used with the following exceptions and installed into the ME.

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°122 |  | 5GS Mobility Management Information | not available |
| Service n°123: |  | 5G Security Parameters | not available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxx1 xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx0 100x | 0000 0xxx |  |  |

##### 15.2.4.4.2 Procedure

| Step | Direction | Action | Comment | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | NG-SS Cell is powered up | The NG-SS on the TT is activated |  |  |
| UE | Run initial activation with IMSI set as 246081685533963 |  |  |  |
| 2 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 3 | UE > TT | UE sends REGISTRATION REQUEST |  |  |  |
| 4 | TT > UE | NG-SS sends REGISTRATION REJECT (cause: #13 Roaming not allowed in this tracking area) and release RRC connection | UE shall delete 5G-GUTI, last visited registered TAI, TAI list and ngKSI. |  |  |
| 5 | UE | The UE is powered off |  |  |  |
|  | TT | NG-SS Cell is powered off |  |  |  |
| 6 | UE | Run initial activation, with default IMSI |  |  |  |
|  | TT | NG-SS Cell is powered on |  |  |  |
| 7 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 8 | UE > TT | UE sends REGISTRATION REQUEST message with:  - ngKSI:  - NAS key set identifier: '111'  - 5GS mobile identity: SUCI of the UE | UE shall indicate in the REGISTRATION REQUEST that no key is available for the NAS key set identifier |  |  |
| 9 | TT > UE | NG-SS sends AUTHENTICATION REQUEST with 5G AKA challenge message with:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - Authentication parameter RAND (5G authentication challenge): 128 bits value  - Authentication parameter AUTN (5G Authentication challenge). 128 bits value | The NG-SS on the TT generates KAMF, KAUSF and KSEAF values, and initiates 5G AKA authentication procedure |  |  |
| 10 | UE > TT | UE sends AUTHENTICATION RESPONSEmessage with:  - Authentication response parameter: 16 octets RES\* value calculated according to TS 24.501 [25] | The UE shall return RES\* equal to the XRES\* calculated at the NG-SS with the parameters provided/indicated in the AUTHENTICATION REQUEST at step 9 |  |  |
| 11 | TT > UE | NG-SS sends SECURITY MODE COMMAND message including the ngKSI of the new 5G NAS security context (as provided in step 9) |  |  |  |
| 12 | UE > TT | UE send SECURITY MODE COMPLETE |  |  |  |
| 13 | TT > UE | NG-SS sends REGISTRATION ACCEPT message with:  - 5G-GUTI: 24408300010266436587  - TAI: 42 34 80 00 00 01 |  |  |  |
| 14 | UE > TT | UE sends REGISTRATION COMPLETE |  | CR1 |  |
| 15 | UE <> TT | The UE is powered off and, performs the DEREGISTRATION procedure |  |  |  |
| 16 | TT | NG-SS Cell is powered off |  |  |  |
| 17 | UE | Run initial activation, with IMSI set as 246081685533963 |  |  |  |
|  | TT | NG-SS Cell is powered on |  |  |  |
| 18 | UE<>TT | UE camps on NG-SS Cell and establishes RRC connection |  |  |  |
| 19 | UE > TT | UE sends REGISTRATION REQUEST message with:  - ngKSI:  - NAS key set identifier: '111'  - 5GS mobile identity: SUCI of the UE | UE shall indicate within the REGISTRATION REQUEST for the NAS key set identifier that no key is available, and use SUCI as 5GS mobile identity IE | CR3 |  |
| 20 | TT > UE | NG-SS sends AUTHENTICATION REQUEST with 5G AKA challenge message with:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - Authentication parameter RAND (5G authentication challenge): 128 bits value  - Authentication parameter AUTN (5G Authentication challenge). 128 bits value |  |  |  |
| 21 | UE > TT | UE sends AUTHENTICATION RESPONSEmessage with:  - Authentication response parameter: 16 octets RES\* value calculated according to TS 24.501 [25] | The UE shall return RES\* equal to the XRES\* calculated at the NG-SS with the parameters provided/indicated in the AUTHENTICATION REQUEST at step 20 |  |  |
| 22 | TT > UE | NG-SS sends SECURITY MODE COMMAND with:  - ngKSI: The ngKSI of the partial native 5G security context assigned in the SECURITY MODE COMMAND message sent in step 11 |  |  |  |
| 23 | UE > TT | UE send SECURITY MODE REJECT with  - 5GMM cause: '0001 1000' | Cause #24 - Security mode rejected, unspecified | CR2 |  |
| 24 | UE | The UE is powered off |  |  |  |

#### 15.2.4.5 Acceptance criteria

CR 1 can be verified at step 14.

CR 3 can be implicitly verified at step 19 where REGISTRATION REQUEST includes NAS key set identifier that no key is available and use SUCI as 5GS mobile identity IE.

CR 2 can be verified at step 24.

15.2.5 Authentication procedure for registration on 3GPP accesses. successful 5G AKA - Authentication in different PLMNs

15.2.5.1 Definition and applicability

The purpose of the 5G AKA based primary authentication and key agreement procedure is to provide mutual authentication between the UE and the network and to agree on the keys KAUSF, KSEAF and KAMF. The UE and the AMF shall support the 5G AKA based primary authentication and key agreement procedure.

If the UE supports multiple records of NAS security context storage for multiple registration, the UE shall store the previously current 5G NAS security context in the second 5G security context of that access (see 3GPP TS 31.102 [19]) and store the new 5G security context in the first 5G security context, when the UE activates the new 5G security context for a different PLMN over that access but the previously current 5G NAS security context is associated with the 5G-GUTI of the other access.

If the previously current 5G NAS security context is not associated with the 5G-GUTI of the other access (e.g. UE uses only 3GPP access) the second record will not be used.

The EF5GS3GPPNSC contains the 5GS 3GPP access NAS security context as defined in 3GPP TS 24.501 [25], consisting of KAMF with the associated key set identifier, the UE security capabilities, and the uplink and downlink NAS COUNT values. This file shall contain two records if service n°136 is "available".

The EF5GAUTHKEYS contains KAUSF and KSEAF that are generated on the ME using CK and IK as part of AKA procedures as described in 3GPP TS 33.501 [24]. If service n°133 is "available" in EFUST, the EF5GAUTHKEYS also contains SOR counter and UE parameter update counter associated with the key KAUSF as described in 3GPP TS 33.501 [24] and 3GPP TS 31.102 [19].

#### 15.2.5.2 Conformance requirement

CR 1 The UE shall support the 5G AKA based primary authentication and key agreement procedure

Reference:

- 3GPP TS 24.501 [25], clauses 4.4.2, 5.4.1.1 and 5.4.1.3

CR 2 The ME shall forward the RAND and AUTN received in AUTHENTICATION REQUESTmessage to the USIM.

Reference:

- 3GPP TS 24.501 [25], clause 5.4.1.3.6

CR 3 The ME shall compute RES\* from RES according to TS 33.501 [24], Annex A.4 and return it in the AUTHENTICATION RESPONSE message.

Reference:

- 3GPP TS 33.501 [24], Annex A.4

CR 4 As a result of the first successful authentication procedure in a PLMN (PLMN 1 over 3GPP access), the 5G 3GPP access NAS security context parameters shall be stored on the USIM in the first record of the EF5GS3GPPNSC.

Reference:

- 3GPP TS 31.102 [19], clauses 4.4.11.4 and 5.2.32

CR 5 As a result of the second successful authentication procedure in another PLMN (PLMN 2 over 3GPP access), the 5G 3GPP access NAS security context parameters of PLMN 2 shall be stored on the USIM in the first record of the EF5GS3GPPNSC asthe previously current 5G NAS security context is not associated with the 5G-GUTI of the other access.

Reference:

- 3GPP TS 31.102 [19], clauses 4.4.11.4 and 5.2.32

CR 6 The 5G 3GPP access NAS security context parameters of PLMN 1 will be overwritten with the 5G 3GPP access NAS security context parameters of PLMN 2. The second record of the EF5GS3GPPNSC is not used.

Reference:

- 3GPP TS 31.102 [19], clauses 4.4.11.4 and 5.2.32

15.2.5.3 Test purpose

The purpose of this test is to verify that:

1) the ME forwards the RAND and AUTN received in 5G authentication challenge data from the first PLMN to the USIM within the *AUTHENTICATION REQUEST* message;

2) the ME sends *AUTHENTICATION RESPONSE* message contains the calculated RES\* in response to *AUTHENTICATION REQUEST* message;

3) the ME stores 5G 3GPP access NAS security context parameters when entering state 5GMM-DEREGISTERED, consisting of KAMF with the associated key set identifier in the first record of thr EF5GS3GPPNSC on the USIM;

4) the ME forwards the RAND and AUTN received in 5G authentication challenge data from the second PLMN to the USIM within the *AUTHENTICATION REQUEST* message;

5) the ME sends *AUTHENTICATION RESPONSE* message contains the calculated RES\* in response to *AUTHENTICATION REQUEST* message;

6) the ME stores 5G 3GPP access NAS security context parameters of a different PLMN when entering state 5GMM-DEREGISTERED, consisting of KAMF with the associated key set identifier in the first record of the EF5GS3GPPNSC on the USIM.

#### 15.2.5.4 Method of test

##### 15.2.5.4.1 Initial conditions

The 5G-NR UICC - support of Rel-16 features as defined in clause 4.5.11 of the present document is used.

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

Cell A:

TAI (MCC/MNC/TAC): 244/083/000001

CellIdentity: "000000001"

Access control: unrestricted.

Cell B :

TAI (MCC/MNC/TAC): 244/084/000001

CellIdentity: "000000001"

Access control: unrestricted.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

##### 15.2.5.4.2 Procedure

| Step | Direction | Action | Comment | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | Power up TT (NG-SS) Cell A is with SIB1 as defined in the initial conditions | The NG-SS on the TT is activated |  |  |
| 2 | UE | Run initial activation | The ME reads EFUST, EF5GS3GPPNSC and EF5GAUTHKEYS | CR 1 | A.2/1 OR A.2/2 |
| 3 | UE > TT | Send RRCSetupRequest message | TT (NG-SS) transmits an RRCSetup message to the UE |  |  |
| 4 | UE > TT | Send RRCSetupComplet*e* message |  |  |  |
| 5 | UE > TT | Send REGISTRATION REQUEST | Within the REGISTRATION REQUEST the UE indicates that no key is available. |  |  |
| 6 | TT > UE | Send AUTHENTICATION REQUEST with 5G AKA-Challenge message:  - ngKSI:  - NAS key set identifier: '000'  - TSC: '0'  - Authentication parameter RAND (5G authentication challenge): 128 bits value  - Authentication parameter AUTN (5G Authentication challenge). 128 bits value | TT (NG-SS) generates KAMF, KAUSF and KSEAF values, and initiates the 5G AKA authentication procedure | CR 1 |  |
| 7 | UE | Pass RAND and AUTN values to the USIM | The ME provides the authentication challenge data received in the AUTHENTICATION REQUEST to the USIM | CR 2 | A.2/1 OR A.2/2 |
| 8 | UE <> TT | Send AUTHENTICATION RESPONSEmessage with:  - Authentication response parameter:  16 octets RES\* value | The TT (NG-SS) sends a SECURITY MODE COMMAND message | CR 3 |  |
| 9 | UE > TT | Send SECURITY MODE COMPLETE message |  |  |  |
| 10 | TT > UE | Send REGISTRATION ACCEPT message with:  - 5G-GUTI: 24408300010266436587  - TAI: 42 34 80 00 00 01 |  |  |  |
| 11 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |
| 12 | UE > TT/USIM | The UE is powered off or deactivated, and performs the deregistration procedure | UE enters the 5GMM-DEREGISTEREDduring this step, and updates EF5GS3GPPNSC | CR 4 | A.2/1 OR A.2/2 |
| 13 | TT | Power down TT (NG-SS) Cell A Power up TT (NG-SS) Cell B with SIB1 as defined in the initial conditions |  |  |  |
| 14 | UE | Run activation or switch on the UE’s radio interface | The UE reads the first record of the 5G 3GPP NAS security context from the USIM |  |  |
| 15 | UE > TT | Send RRCSetupRequest message | TT (NG-SS) transmits an RRCSetup message to the UE |  |  |
| 16 | UE > TT | Send RRCSetupComplet*e* message |  |  |  |
| 17 | UE > TT | Send REGISTRATION REQUEST | TT (NG-SS) initiates the 5G AKA authentication procedure |  |  |
| 18 | TT > UE | Send AUTHENTICATION REQUEST with 5G AKA-Challenge message:  - ngKSI:  - NAS key set identifier: '001'  - TSC: '0'  - Authentication parameter RAND (5G authentication challenge): 128 bits value  - Authentication parameter AUTN (5G Authentication challenge). 128 bits value | The NG-SS on the TT generates KAMF, KAUSF and KSEAF values, and initiates the 5G AKA authentication procedure |  |  |
| 19 | UE | Pass RAND and AUTN values to the USIM | The ME provides the authentication challenge data received in the AUTHENTICATION REQUEST to the USIM | CR 5 | A.2/1 OR A.2/2 |
| 20 | UE <> TT | Send AUTHENTICATION RESPONSEmessage | The TT (NG-SS) sends a SECURITY MODE COMMAND message |  |  |
| 21 | UE > TT | Send SECURITY MODE COMPLETE message |  |  |  |
| 22 | TT > UE | Send REGISTRATION ACCEPT message with:  - 5G-GUTI: 24408300010266436587  - TAI: 42 34 80 00 00 01 |  |  |  |
| 23 | UE > TT | Send REGISTRATION COMPLETE message |  |  |  |
| 24 | UE > TT/USIM | Power off and/or deactivate the UE | UE enters the 5GMM-DEREGISTEREDduring this step, and updates EF5GS3GPPNSC | CR 6 | A.2/1 OR A.2/2 |

#### 15.2.5.5 Acceptance criteria

CR 1 can be explicitly verified by using methods A.2/1 or A.2/2 to check EFUST, EF5GS3GPPNSC and EF5GAUTHKEYS contents in step 2). With having sent the expected 5G AKA-Challenge message data within the AUTHENTICATION REQUEST in step 6) CR 1 is implicitly verified.

CR 2 can be implicitly verified in step 7) using methods A.2/1 or A.2/2. CR 2 is met if EF5GS3GPPNSC has the expected contents:

**EF5GS3GPPNSC** (5GS 3GPP Access NAS Security Context)

Logically:

5GS NAS Security Context:

ngKSI: 00

KAMF: 32 bytes, value not checked

Uplink NAS count: any value

Downlink NAS count: any value

Identifiers of selected NAS integrity  
and encryption algorithms: any value

Identifiers of selected EPS NAS  
integrity and encryption algorithms  
for use after mobility to EPS: any value

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **Bx** |
| Hex | A0 | xx | 80 | 01 | 00 | 81 | xx | xx | … | xx |

CR 3 is implicitly verified in step 8). The requirement is met if the ME sends a SECURITY MODE COMPLETE message in step 9).

CR 4 is explicitly verified in step 12) using methods A.2/1 or A.2/2. The requirement is met if EF5GS3GPPNSC has the expected contents:

**EF5GS3GPPNSC (5GS 3GPP Access NAS Security Context)**

Logically:

5GS NAS Security Context first record:

ngKSI: 000

KAMF:value not checked

Uplink NAS count: any value

Downlink NAS count: any value

Identifiers of selected NAS integrity  
and encryption algorithms: any value

Identifiers of selected EPS NAS  
integrity and encryption algorithms  
for use after mobility to EPS: any value

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **Bx** |
| Hex | A0 | xx | 80 | 01 | 00 | 81 | xx | xx | … | xx |

CR 5 can be explicitly verified in step 19) using methods A.2/1 or A.2/2. The requirement is met if EF5GAUTHKEYS has the expected contents:

**EF5GAUTHKEYS (5G authentication keys)**

Logically:

KAUSF: 32 bytes, value not checked

KSEAF for 3GPP access:32 bytes, value not checked

KSEAF for non-3GPP access:32 bytes, all values set to 'FF'

SOR counter: 2 bytes, value not checked

UE parameter update counter: 2 bytes, value not checked

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **..** | **B35** | **B36** | **B37** | **..** |
| Hex | 80 | 20 | xx | xx | 81 | 20 | xx | xx |
|  | **B69** | **B70** | **B71** | **..** | **B103** | **B104** | **B105** | **B106** |
|  | 82 | 20 | FF | FF | 83 | 02 | xx | xx |
|  | **B107** | **B108** | **B109** | **B110** |
|  | 84 | 02 | xx | xx |

CR 6 is explicitly verified if step 24) using methods A.2/1 or A.2/2. The requirement is met if EF5GS3GPPNSC has the expected contents:

**EF5GS3GPPNSC (5GS 3GPP Access NAS Security Context)**

Logically:

5GS NAS Security Context first record

ngKSI: 001

KAMF:value not checked

Uplink NAS count: any value

Downlink NAS count: any value

Identifiers of selected NAS integrity  
and encryption algorithms: any value

Identifiers of selected EPS NAS  
integrity and encryption algorithms  
for use after mobility to EPS: any value

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **Bx** |
| Hex | A0 | xx | 80 | 01 | 01 | 81 | xx | xx | … | xx |

5GS NAS Security Context second record:

ngKSI: 007 (no key available)

KAMF:value not checked

Uplink NAS count: any value

Downlink NAS count: any value

Identifiers of selected NAS integrity  
and encryption algorithms: any value

Identifiers of selected EPS NAS  
integrity and encryption algorithms  
for use after mobility to EPS: any value

PLMN: any value

Coding:

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **Bx** |
| Hex | A0 | xx | 80 | 01 | 07 | 81 | xx | xx | … | xx |

NOTE: Implicit verification using method A.2/3 is not possible for CR 2 and CR 4. Thus, A.2/3 is no valid verification method for this test.

# 16 UE Route Selection Policy (URSP) procedure

## 16.1 Pre-configured URSP rules

### 16.1.1 Support for URSP by USIM

#### 16.1.1.1 Definition and applicability

As specified in TS 24.526 [38], if the UE has no signalled URSP, and the UE has pre-configured URSPs configured in both the USIM and the ME, then the UE shall use the pre-configured URSP in the USIM.

As specified in TS 31.102 [19], the EFURSP in the USIM contains UE Route Selection Policies per PLMN and shall be considered by the ME if EFUST service n° 132 "Support for URSP by USIM" is available.

#### 16.1.1.2 Conformance requirement

CR 1 The UE shall support the URSP procedure;

Reference:

- TS 31.102 [19], clause 5.2.34

- TS 24.526 [38], clause 4.2.2.2

- TS 23.503 [40], clause 6.6.2.1

- TS 23.501 [39], clause 5.15.5.2 and 5.15.5.3

CR 2 The URSP rules shall be read from USIM if service n°132 is available;

Reference:

- TS 31.102 [19], clause 4.4.11.12 and 5.2.3.34

CR 3 The ME shall use URSP rules stored on the USIM rather than any pre-configured USRP rules stored in the ME if service n°132 is available.

Reference:

- TS 31.102 [19], clause 5.1.1 and 5.2.34

#### 16.1.1.3 Test purpose

The purpose of this test is to verify that:

1) To verify that the ME reads the URSP rules from USIM if service n°132 is available.

2) To verify that the ME uses the matching URSP rule from USIM to set the PDU session establishment parameters if service n°132 is available.

#### 16.1.1.4 Method of test

##### 16.1.1.4.1 Initial conditions

The values of the 5G-NR UICC – support of Rel-16 features as defined in clause 4.5.11 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°132: |  | Support for URSP by USIM | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxx1 xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx0 111x | 0xxx 1xxx |  |  |

**EFURSP** (UE Route Selection Policies)

Logically:

URSP rules for one PLMN only

- PLMN: 246 081

Rule Precedence = 0

Traffic descriptor:

- DNN = TestGp.rs

Route Selection Descriptor:

- Precedence = 0

- Network Slice Selection, S-NSSAI: '01 01 01 03' (ST: MBB, SD: 010103)

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 80 | 22 | 42 | 16 | 80 | 1E | 00 | 1C | 00 | 00 | 0C | 88 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 0A | 06 | 54 | 65 | 73 | 74 | 47 | 70 | 02 | 72 | 73 | 00 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** | **B33** | **B34** | **B35** | **B36** |
|  | 0B | 00 | 09 | 00 | 00 | 06 | 02 | 04 | 01 | 01 | 01 | 03 |

The ME is pre-configured with the following URSP rules:

USRP rules for one PLMN only

- PLMN: 246 081

Rule Precedence =1

Traffic descriptor:

- DNN=TestGp.rs

Route Selection Descriptor:

- Precedence=1

- Network Slice Selection, S-NSSAI: 01 01 01 02 (ST: MBB, SD: 010102)

- SSC Mode Selection: SSC Mode 1

- Access Type preference: 3GPP access

Rule Precedence = <lowest priority>

Traffic Descriptor: \*

Route Selection Descriptor:

- Precedence = 1

- Network Slice Selection, S-NSSAI: 01 01 01 01 (ST: MBB, SD: 010101)

- SSC Mode Selection: SSC Mode 1

- DNN Selection: internet

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- Mobile Country Code (MCC) = 246;

- Mobile Network Code (MNC) = 081;

- Tracking Area Code (TAC) = 000001;

- NG-SS Cell Id = 0001 (36 bits).

The Allowed S-NSSAI list is configured in NG-SS as '01 01 01 01', '01 01 01 02' and '01 01 01 03'.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

##### 16.1.1.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | UE > TT | Authenticate at the TT | The UE successful authenticates to PLMN 246 081.  The TT indicates Allowed S-NSSAI list as:  - '01 01 01 01'  - '01 01 01 02'  - '01 01 01 03'. | CR 1 |  |
| 3 | UE | READ EFUST and EFURSP | No URSP rules are provisioned by the PCF | CR 2  (CR 1) | A.2/1 OR A.2/2 |
| 3 | UE > TT | Initiate a connection to DNN=TestGp.rs |  |  |  |
| 4 | UE > TT | Send a PDU SESSION ESTABLISHMENT REQUEST | The UE sends the PDU SESSION ESTABLISHMENT REQUEST to the network via UL NAS TRANSPORT with:  - DNN: TestGp.rs  - S-NSSAI: '01 01 01 03' |  |  |
| 5 | TT > UE | Send PDU SESSION ESTABLISHMENT ACCEPT message |  | CR 3  (CR 1) |  |
| 6 | UE | UE is soft powered down |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Optional step if CR 2 verification cannot be performed at step 3) | | | | | |
| 7 | UE | READ EFUST and EFURSP |  | CR 2 | A.2/3 |

#### 16.1.1.5 Acceptance criteria

CR 1 is implicitly verified. The requirement is met if the test sequence can be executed as expected.

CR 2 is explicitly verified at step 3) by using the methods A.2/1 or A.2/2. Optional it can be verified in step 7) using method A.2/3.

CR 3 is implicitly verified if the PDU SESSION ESTABLISHMENT ACCEPT message is sent by the UE.

### 16.1.2 Support for URSP by ME

#### 16.1.2.1 Definition and applicability

If the UE has no signalled URSP and the UE has only pre-configured URSPs configured in the ME, then the UE shall use the pre-configured URSP in the ME.

The pre-configured URSP can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME.

#### 16.1.2.2 Conformance requirement

CR 1 The UE shall support the URSP procedure.

CR 2 The ME shall use the pre-configured URSP rules in the ME if there is no signalled URSP and UE has only pre-configured URSP in the ME.

CR 3 The URSP can only be used if the SUPI from the USIM matches the SUPI stored in the non-volatile memory of the ME.

Reference:

- 3GPP TS 24.526 [50], clause 4.2.2.2 and 5.2;

- 3GPP TS 23.503 [52], clause 6.6.2.

#### 16.1.2.3 Test purpose

The purpose of this test is to verify that the ME uses the matching URSP rule from ME to set the PDU session establishment parameters if there is no signalled URSP and there is no pre-configured URSP in the USIM.

#### 16.1.2.4 Method of test

##### 16.1.2.4.1 Initial conditions

The values of the 5G-NR UICC – support of Rel-16 features as defined in clause 4.5.11 of the present document are used with EFIMSI as defined in clause 4.6.5.

The ME is pre-configured with the following URSP rules:

USRP rules for one PLMN only

- PLMN: 244 083

Rule Precedence = 1

Traffic descriptor:

- DNN = TestGp.rs

Route Selection Descriptor:

- Precedence = 1

- Network Slice Selection, S-NSSAI: 01 01 01 02 (ST: MBB, SD: 010102)

- SSC Mode Selection: SSC Mode 1

- Access Type preference: 3GPP access

Rule Precedence = <lowest priority>

Traffic Descriptor: \*

Route Selection Descriptor:

- Precedence =1

- Network Slice Selection, S-NSSAI: 01 01 01 01 (ST: MBB, SD: 010101)

- SSC Mode Selection: SSC Mode 1

- DNN Selection: internet

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- Mobile Country Code (MCC) = 244;

- Mobile Network Code (MNC) = 083;

- Tracking Area Code (TAC) = 000001;

- NG-SS Cell Id = 0001 (36 bits).

The Allowed S-NSSAI list is configured in NG-SS to include S-NSSAIs ('01 01 01 01’, '01 01 01 01’), and ('01 01 01 02’, '01 01 01 02’).

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

##### 16.1.2.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | UE > TT | Authenticate at the TT | The UE successful authenticates to PLMN 244 083.  The TT indicates Allowed S-NSSAI list as:  - '01 01 01 01'  - '01 01 01 02'. | CR 1 |  |
| 3 | UE | READ EFUST | No URSP rules are provisioned by the PCF | CR 2  (CR 1) | A.2/1 OR A.2/2 |
| 3 | UE > TT | Initiate a connection to DNN=TestGp.rs |  |  |  |
| 4 | UE > TT | Send a PDU SESSION ESTABLISHMENT REQUEST | The UE sends the PDU SESSION ESTABLISHMENT REQUEST to the network via UL NAS TRANSPORT with:  - DNN: TestGp.rs  - S-NSSAI: '01 01 01 02' |  |  |
| 5 | TT > UE | Send PDU SESSION ESTABLISHMENT ACCEPT message |  | CR 3  (CR 1) |  |
| 6 | UE | UE is soft powered down |  |  |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Optional step if CR 2 verification cannot be performed at step 3) | | | | | |
| 7 | UE | READ EFUST |  | CR 2 | A.2/3 |

#### 16.1.2.5 Acceptance criteria

CR 1 is implicitly verified. The requirement is met if the test sequence can be executed as expected.

CR 2 is explicitly verified at step 3) by using the methods A.2/1 or A.2/2. Optional it can be verified in step 7) using method A.2/3.

CR 3 is implicitly verified if the PDU SESSION ESTABLISHMENT ACCEPT message is sent by the UE.

### 16.1.3 Support of Signalled URSP

#### 16.1.3.1 Definition and applicability

The HPLMN pre-configured URSP in the ME and the HPLMN signalled URSP shall be stored in a non-volatile memory in the ME together with the SUPI from the USIM.

If the UE has both pre-configured URSP(s) and signalled URSP, the UE shall only use the signalled URSP. For a UE not operating in SNPN access mode, if the UE has no signalled URSP, and the UE has pre-configured URSPs configured in both the USIM and the ME, then the UE shall use the pre-configured URSP in the USIM. The HPLMN pre-configured URSP in the ME shall be stored until a new URSP is configured by HPLMN or the USIM is removed.

#### 16.1.3.2 Conformance requirement

CR 1 The UE shall support the URSP procedure.

CR 2 If the UE has both pre-configured URSP(s) and signalled URSP, the UE shall only use the signalled URSP.

Reference:

- 3GPP TS 24.526 [50], clause 4.2.2.2 and 5.2;

- 3GPP TS 23.503 [52], clause 6.6.2.

#### 16.1.3.3 Test purpose

The purpose of this test is to verify that the ME uses signalled URSP when provided rather than pre-configured URSP in the USIM or the ME.

#### 16.1.3.4 Method of test

##### 16.1.3.4.1 Initial conditions

The values of the 5G-NR UICC – support of Rel-16 features as defined in clause 4.5.11 of the present document are used with EFIMSI as defined in clause 4.6.5 and the following exceptions:

**EFUST** (USIM Service Table)

Logically:

|  |  |  |  |
| --- | --- | --- | --- |
| Service n°132: |  | Support for URSP by USIM | available |

Coding:

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte:** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** |
| Binary: | xxxx xx1x | xxxx xxxx | xxxx 1x00 | xxxx x1xx | xxxx xx11 | xxx1 xx1x | xxxx xxxx | xxxx xxxx |
|  | **B9** | **B10** | **B11** | **…** | **B16** | **B17** |  |  |
|  | xxxx xxxx | xxxx xxxx | xx11 xxxx | ... | xxx0 111x | 0xxx 1xxx |  |  |

**EFURSP** (UE Route Selection Policies)

Logically:

URSP rules for one PLMN only

- PLMN: 246 081

Rule Precedence = 0

Traffic descriptor:

- DNN = TestGp.rs

Route Selection Descriptor:

- Precedence = 0

- Network Slice Selection, S-NSSAI: '01 01 01 02' (ST: MBB, SD: 010102)

Coding:

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Byte** | **B1** | **B2** | **B3** | **B4** | **B5** | **B6** | **B7** | **B8** | **B9** | **B10** | **B11** | **B12** |
| Hex | 80 | 22 | 42 | 16 | 80 | 1E | 00 | 1C | 00 | 00 | 0C | 88 |
|  | **B13** | **B14** | **B15** | **B16** | **B17** | **B18** | **B19** | **B20** | **B21** | **B22** | **B23** | **B24** |
|  | 0A | 06 | 54 | 65 | 73 | 74 | 47 | 70 | 02 | 72 | 73 | 00 |
|  | **B25** | **B26** | **B27** | **B28** | **B29** | **B30** | **B31** | **B32** | **B33** | **B34** | **B35** | **B36** |
|  | 0B | 00 | 09 | 00 | 00 | 06 | 02 | 04 | 01 | 01 | 01 | 02 |

The ME is pre-configured with the following URSP rules:

USRP rules for one PLMN only

- PLMN: 246 081

Rule Precedence =1

Traffic descriptor:

- DNN=TestGp.rs

Route Selection Descriptor:

- Precedence=1

- Network Slice Selection, S-NSSAI: 01 01 01 01 (ST: MBB, SD: 010101)

- SSC Mode Selection: SSC Mode 1

- Access Type preference: 3GPP access

The TT (NG-SS) transmits on the BCCH, with the following network parameters:

- Mobile Country Code (MCC) = 246;

- Mobile Network Code (MNC) = 081;

- Tracking Area Code (TAC) = 000001;

- NG-SS Cell Id = 0001 (36 bits).

The Allowed S-NSSAI list is configured in NG-SS as '01 01 01 01', '01 01 01 02' and '01 01 01 03'.

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case.

##### 16.1.3.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | UE | Run initial activation. |  |  |  |
| 2 | UE > TT | Authenticate at the TT | The UE successful authenticates to PLMN 246 081.  The TT indicates Allowed S-NSSAI list as:  - '01 01 01 01'  - '01 01 01 02'  - '01 01 01 03'. | CR 1 |  |
| 3 | UE | READ EFUST and EFURSP | No URSP rules are provisioned by the PCF | CR 2  (CR 1) | A.2/1 OR A.2/2 |
| 3 | UE > TT | Initiate a connection to DNN=TestGp.rs |  |  |  |
| 4 | UE > TT | Send a PDU SESSION ESTABLISHMENT REQUEST | The UE sends the PDU SESSION ESTABLISHMENT REQUEST to the network via UL NAS TRANSPORT with:  - DNN: TestGp.rs  - S-NSSAI: '01 01 01 02 |  |  |
| 5 | TT > UE | Send PDU SESSION ESTABLISHMENT ACCEPT message |  | (CR 1) |  |
| 6 | TT > UE | Release the PDU Session |  |  |  |
| 7 | TT > UE | Send MANAGE UE POLICY COMMAND | The UE should update the URSP rule for DNN: "TestGp.rs"  Traffic Descriptor:  - DNN: 'TestGp.rs'  - S-NSSAI: '01 01 01 03' | CR 1 |  |
| 8 | UE > TT | Initiate a connection to DNN=TestGp.rs |  |  |  |
| 9 | UE > TT | Send a PDU SESSION ESTABLISHMENT REQUEST | The UE sends the PDU SESSION ESTABLISHMENT REQUEST to the network via UL NAS TRANSPORT with:  - DNN: TestGp.rs  - S-NSSAI: '01 01 01 03 |  |  |
| 10 | TT > UE | Send PDU SESSION ESTABLISHMENT ACCEPT message |  | (CR 1) |  |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Optional step if CR 2 verification cannot be performed at step 3) | | | | | |
| 11 | UE | READ EFUST and EFURSP |  | CR 2 | A.2/3 |

#### 16.1.3.5 Acceptance criteria

CR 1 is implicitly verified. The requirement is met if the test sequence can be executed as expected.

CR 2 is explicitly verified at step 3) by using the methods A.2/1 or A.2/2. Optional it can be verified in step 11) using method A.2/3.

# 17 CAG list handling

## 17.1 CAG list handling for 5G

### 17.1.1 Automatic CAG selection with preconfigured CAG list on USIM

#### 17.1.1.1 Definition and applicability

If MS supports CAG and is pre-configured with a non-empty "CAG information list" stored in the USIM, the MS in automatic PLMN selection mode shall consider a PLMN indicated by an NG-RAN cell only if:

1. the cell is a CAG cell and broadcasts a CAG-ID for the PLMN such that there exists an entry with the PLMN ID of the PLMN in the "CAG information list" and the CAG-ID is included in the "Allowed CAG list" of the entry;

or

1. the cell is not a CAG cell and:

- there is no entry with the PLMN ID of the PLMN in the "CAG information list";

or

- there exists an entry with the PLMN ID of the PLMN in the "CAG information list" but the "indication that the MS is only allowed to access 5GS via CAG cells" is not included in the entry.

#### 17.1.1.2 Conformance requirement

CR 1 If service n°137 is available in EFUST and a preconfigured "CAG information list" is available on the USIM, then an ME that supports CAG shall read EFCAG and consider the PLMN indicated by the NG-RAN to perform automatic PLMN selection procedure.

Reference:

- TS 31.102 [4], clause 4.4.11.14.

CR 2 If the available CAG cell broadcasts a CAG-ID for the PLMN such that there exists an entry with the PLMN ID of the PLMN in the "CAG information list" and the CAG-ID is included in the "Allowed CAG list" of the entry, then UE shall select the CAG cell.

Reference:

- TS 23.122 [31], clauses 3.8, 4.4.3.1.1

- TS 24.501 [42], clauses 9.11.3.18A

#### 17.1.1.3 Test purpose

The purpose of this test is to verify that the ME only selects the CAG cell if the PLMN ID and CAG ID of the cell are found in the EFCAG (Pre-configured CAG information list) available on USIM.

#### 17.1.1.4 Method of tests

##### 17.1.1.4.1 Initial conditions

The values of the 5G-NR UICC – CAG support as defined in clause 4.5.13 of the present document are used.

The TT (NG-SS) is configured to transmit on the BCCH, with the following network parameters:

Cell A

- TAI (MCC/MNC/TAC): 244/083/000001.

- cag-Identity: 00 00 00 02

Cell B

- TAI (MCC/MNC/TAC): 244/083/000002.

- cag-Identity: 00 00 00 09

Ensure that the UE has installed and is using the UICC/USIM configuration defined for this test case and that both cells on the TT are switched off.

##### 17.1.1.4.2 Procedure

| Step | Direction | Action | Information | REQ | SA |
| --- | --- | --- | --- | --- | --- |
| 1 | TT | Activate NG-SS Cell B | The NG-SS Cell B on the TT is activated as defined in the initial conditions |  |  |
| 2 | UE | Run initial activation |  |  |  |
| 3 |  | Wait for 5 minutes | While waiting, the ME shall not attempt to registration to Cell B | CR 1 |  |
| 4 | TT | Activate NG-SS Cell A, deactivate NG-SS Cell B |  |  |  |
| 5 | UE > TT | Send REGISTRATION REQUEST to Cell A | The UE sends a REGISTRATION REQUEST with 5GS registration type IE as "initial registration" | CR 2 |  |
| 6 | TT > UE | Send REGISTRATION ACCEPT from Cell A | The TT sends a REGISTRATION ACCEPT with 5G‑GUTI |  |  |
| 7 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |
| 8 | UE | Power off/deactivate the UE |  |  |  |
| 9 | TT | Deactivate NG-SS Cell A |  |  |  |
| 10 | TT | Activate NG-SS Cell B with SIB1 modified to indicate new cag-identity 00 00 00 07 |  |  |  |
| 11 | UE | Power on/activate the UE |  |  |  |
| 12 | UE > TT | Send REGISTRATION REQUEST to Cell B | The UE sends a REGISTRATION REQUEST with 5GS registration type IE as "initial registration" | CR 2 |  |
| 13 | TT > UE | Send REGISTRATION ACCEPT from Cell B | The TT sends a REGISTRATION ACCEPT with 5G‑GUTI |  |  |
| 14 | UE > TT | Send REGISTRATION COMPLETE |  |  |  |
| 15 | UE | Power off/deactivate the UE |  |  |  |
| 16 | TT | Deactivate NG-SS Cell A and Cell B |  |  |  |

#### 17.1.1.5 Acceptance criteria

CR 1 can be implicitly verified in step 3). The requirement is met if the UE is not sending a REGISTRATION REQUEST message to Cell B.

CR 2 can be implicitly verified in steps 5) and 12). The requirement is met if the UE sends REGISTRATION REQUEST message to Cell A in step 5) and to Cell B in step 6).

Annex X (informative):  
Change history

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| Date | Meeting | TDoc | CR | Rev | Cat | Subject/Comment | New version |
| 2023-08 | CT6#116 | C6-230499 |  |  |  | Initial version for information |  |
| 2023-11 | CT6#117 | C6-230664 |  |  |  | Release candidate | 1.1.0 |
| 2023-11 | CT6#117 | C6-230738 |  |  |  | Version in CT6 meeting | 2.0.0 |
| 2023-12 | CT#102 | CP-233094 |  |  |  | Presented for information and approval | 2.0.1 |
| 2023-12 | CT#102 |  |  |  |  | TS approved in TSG#102 | 17.0.0 |
| 2024-03 | CT#103 | CP-240135 | 0001 | - | F | Correction of codings in the 5G test UICC definitions | 17.1.0 |
| 2024-03 | CT#103 | CP-240135 | 0002 | - | F | Addition of test case | 17.1.0 |
| 2024-03 | CT#103 | CP-240135 | 0003 | - | F | Insertion of a clause 17 header and correction of TC 17.1.1 | 17.1.0 |
| 2024-06 | CT#104 | CP-241209 | 0004 | 1 | F | Correction of PIN handling tests | 17.2.0 |