# Data Communications Chapter 3: Data and Signals

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#### Note

# Data must be transformed to electromagnetic signals, To be transmitted

#### 3-1 ANALOG AND DIGITAL

Data can be analog or digital. The term analog data refers to information that is continuous; digital data refers to information that has discrete states. Analog data take on continuous values. Digital data take on discrete values.

#### Topics discussed in this section:

Analog and Digital Data
Analog and Digital Signals
Periodic and Nonperiodic Signals

#### ANALOG AND DIGITAL DATA



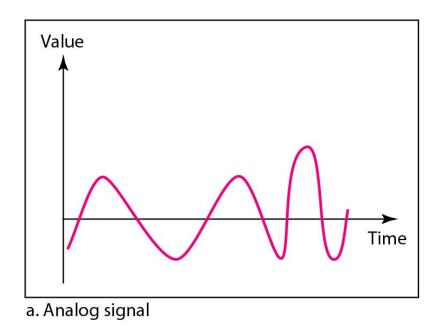
- Data can be analog or digital.
- Analog data are continuous and take continuous values.
- Digital data have discrete states and take discrete values.

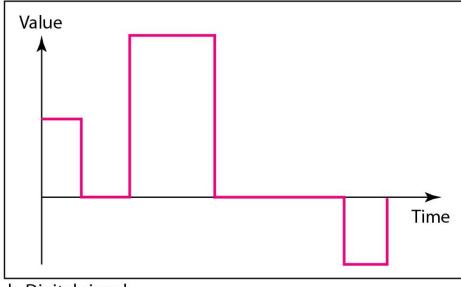
#### ANALOG AND DIGITAL SIGNALS



- Signals can be analog or digital.
- Analog signals can have an infinite number of values in a range;
- Digital signals can have only a limited number of values. It is often as simple as 1 and 0.

#### Figure: Comparison of analog and digital signals





#### Periodic and Non-periodic signals

- Both analog and digital signals can take one of two terms: Periodic or non periodic.
- A periodic signal completes a pattern within a measurable time frame, called a period, and repeats that pattern over subsequent identical periods.
- The completion of one full pattern is called a cycle.
- A non-periodic signal changes without exhibiting a pattern or cycle that repeats over time.



#### Note

In data communications, we commonly use periodic analog signals and non-periodic digital signals.

#### 3-2 PERIODIC ANALOG SIGNALS

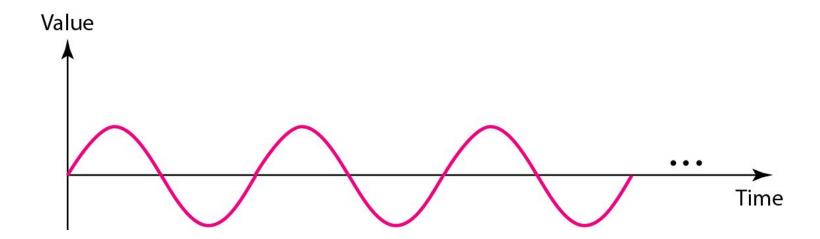
- Periodic analog signals can be classified as simple or composite.
- A simple periodic analog signal, a sine wave, cannot be decomposed into simpler signals.
- A composite periodic analog signal is composed of multiple sine waves.

#### Topics discussed in this section:

Sine Wave
Wavelength
Time and Frequency Domain
Composite Signals
Bandwidth

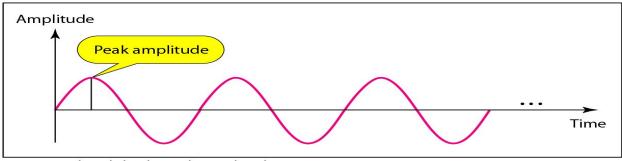
#### A sine wave

A sine wave can be represented by three parameters: the peak amplitude, the frequency and the phase. These three parameters fully describe a sine wave.

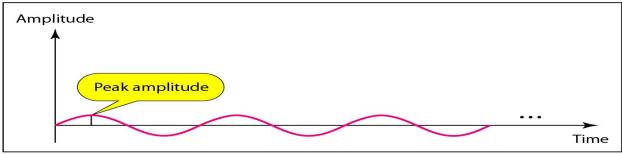


# Figure: Two signals with the same phase and frequency, but different amplitudes

- The peak amplitude of a signal is the absolute value of its highest intensity, proportional to the energy it carries.
- For electric signals, peak amplitude is normally measured in volts.



a. A signal with high peak amplitude



b. A signal with low peak amplitude

#### **Period and Frequency**

- Period refers to the amount of time, in seconds, a signal needs to complete 1 cycle.
- Frequency refers to the number of periods in 1 s.
- Frequency  $f = \frac{1}{T}$  and  $T = \frac{1}{f}$  each other.

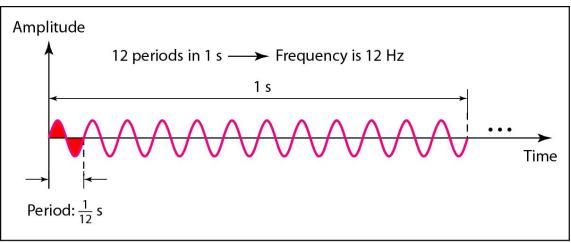
- Frequency is the rate of change with respect to time.
- Change in a short span of time means high frequency.
- Change over a long span of time means low frequency.
- If a signal does not change at all, its frequency is zero.
- If a signal changes instantaneously, its frequency is *infinite*.

Note

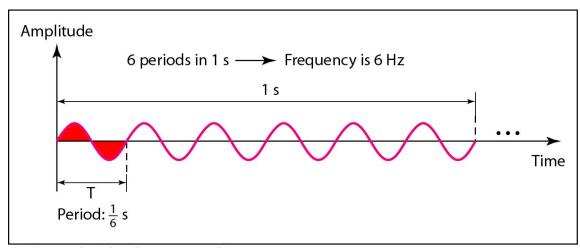
# Frequency and period are the inverse of each other.

$$f = \frac{1}{T}$$
 and  $T = \frac{1}{f}$ 

# Figure: Two signals with the same amplitude and phase, but different frequencies



a. A signal with a frequency of 12 Hz



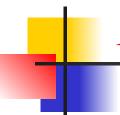
b. A signal with a frequency of 6 Hz



#### Example 3.3

The power we use at home has a frequency of 60 Hz. The period of this sine wave can be determined as follows:

$$T = \frac{1}{f} = \frac{1}{60} = 0.0166 \text{ s} = 0.0166 \times 10^3 \text{ ms} = 16.6 \text{ ms}$$



#### Example 3.5

The period of a signal is 100 ms. What is its frequency in kilohertz?

#### Solution

First we change 100 ms to seconds, and then we calculate the frequency from the period (1  $Hz = 10^{-3}$  kHz).

$$100 \text{ ms} = 100 \times 10^{-3} \text{ s} = 10^{-1} \text{ s}$$

$$f = \frac{1}{T} = \frac{1}{10^{-1}} \text{ Hz} = 10 \text{ Hz} = 10 \times 10^{-3} \text{ kHz} = 10^{-2} \text{ kHz}$$

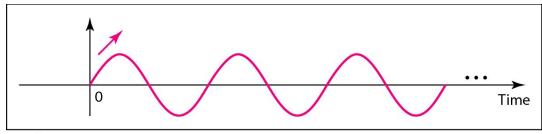


Phase describes the position of the waveform relative to time 0.

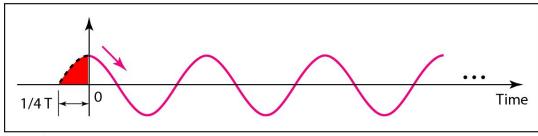
#### **Phase**

- The term phase or phase shift, describe the position of the waveform relative to time 0.
- Phase is measured in degrees or radians.
- $360^{\circ}$  is  $2\pi$  rad;  $1^{\circ}$  =  $2\pi/360$  rad, and 1 rad = $360/2\pi$  °.
- A phase shift of 360° corresponds to a shift of a complete period;
- A phase shift of 180° corresponds to a shift of one-half of a period;
- A phase shift of 90° corresponds to a shift of one-quarter of a period

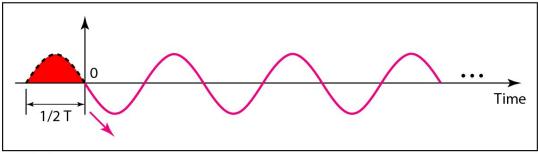
### Figure: Three sine waves with the same amplitude and frequency, but different phases



a. 0 degrees



b. 90 degrees

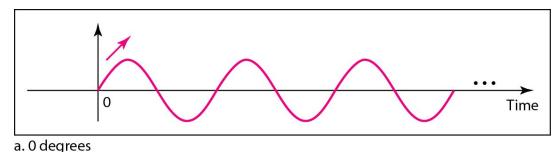


c. 180 degrees

#### 0 degree Phase

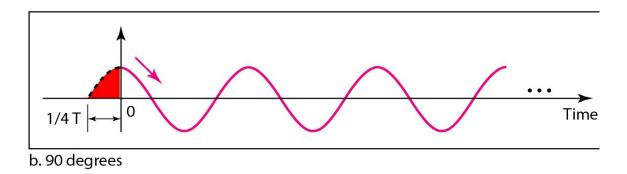
- A sine wave with a phase of 0° starts at time 0 with a zero amplitude. The amplitude is increasing.
- A sine wave with a phase of 0° is not shifted.

lacktriangle



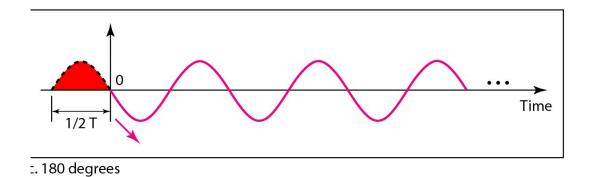
#### 90 degree Phase

- A sine wave with a phase of 90° starts at time 0 with a peak amplitude.
   The amplitude is decreasing.
- A sine wave with a phase of  $90^{\circ}$  is shifted to the left by  $\frac{1}{4}$  cycle.
- However, note that the signal does not really exist before time 0.



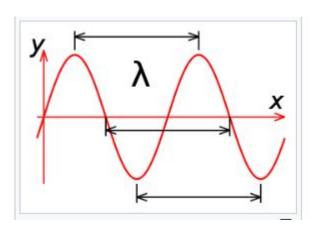
#### 180 degree Phase

- A sine wave with a phase of 180° starts at time 0 with a zero amplitude.
   The amplitude is decreasing.
- A sine wave with a phase of 180° is shifted to the left by ½ cycle.
- However, note that the signal does not really exist before time 0.



#### Wavelength

• The wavelength of a wave **describes how long the wave is**. The distance from the "crest" (top) of one wave to the crest of the next wave is the wavelength. Alternately, we can measure from the "trough" (bottom) of one wave to the trough of the next wave and get the same value for the wavelength.



#### Wavelength

- In data communications, we often use wavelength to describe the transmission of light in an optical fiber.
- The wavelength is the distance a simple signal can travel in one period.
- Wavelength can be calculated if one is given the propagation speed (the speed of light) and the period of the signal.
- However, since period and frequency are related to each other, if we represent wavelength by λ, propagation speed by c (speed of light), and frequency by1, we get
- Wavelength = propagation speed x period = propagation speed / frequency

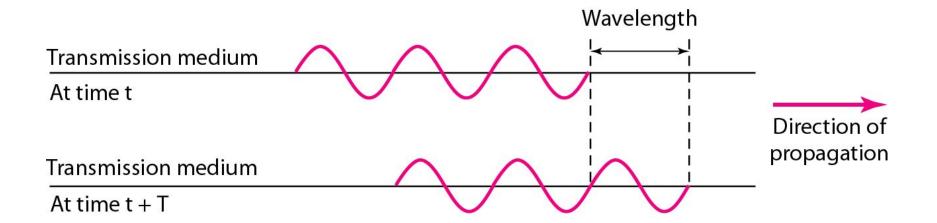
$$\lambda = c/f$$

The propagation speed of electromagnetic signals depends on the medium and on the frequency of the signal. For example, in a vacuum, light is propagated with a speed of  $3 \times 10^8$  mls.

The wavelength is normally measured in micrometers (microns) instead of meters. For example, the wavelength of red light (frequency =4 x  $10^{14}$ ) in air is

$$\lambda = \frac{c}{f} = \frac{3 \times 10^8}{4 \times 10^{14}} = 0.75 \times 10^{-6} \ m = 0.75 \ \mu m$$

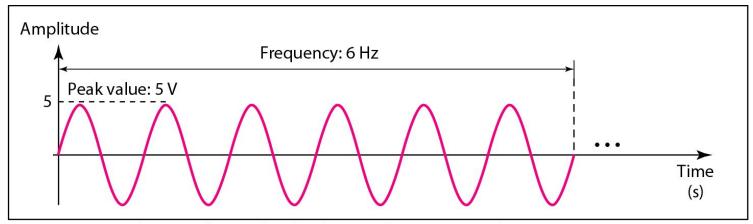
#### Figure: Wavelength and period



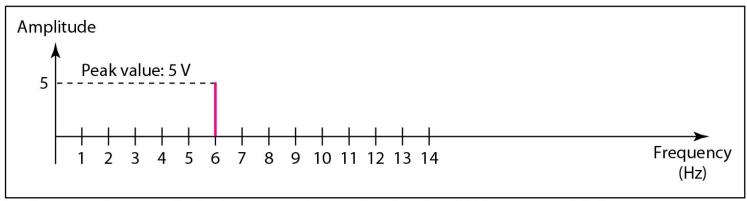
#### The time-domain and frequency-domain plots of a sine wave

- The time-domain plot shows changes in signal amplitude with respect to time (it is an amplitude-versus-time plot). Phase is not explicitly shown on a time-domain plot.
- The frequency-domain plot show the relationship between amplitude and frequency. A frequency-domain plot is concerned with only the peak value and the frequency. Changes of amplitude during one period are not shown.
- The advantage of the frequency domain is that we can immediately see the values of the frequency and peak amplitude. The position of the spike shows the frequency; its height shows the peak amplitude.
- A complete sine wave in the time domain can be represented by one single spike in the frequency domain.

#### Figure: The time-domain and frequency-domain plots of a sine wave



a. A sine wave in the time domain (peak value: 5 V, frequency: 6 Hz)



b. The same sine wave in the frequency domain (peak value: 5 V, frequency: 6 Hz)

#### Composite Signals

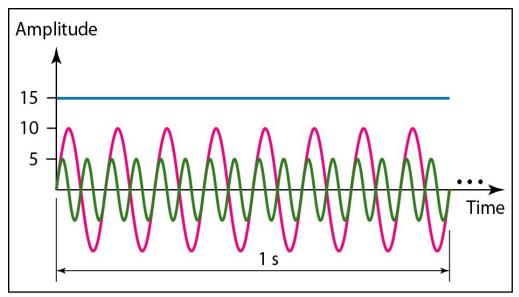
#### Note

A single-frequency sine wave is not useful in data communications; we need to send a composite signal, a signal made of many simple sine waves.

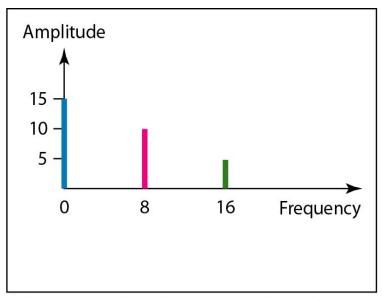


The frequency domain is more compact and useful when we are dealing with more than one sine wave. For example, Figure of next slide shows three sine waves, each with different amplitude and frequency. All can be represented by three spikes in the frequency domain.

#### Figure: The time domain and frequency domain of three sine waves



a. Time-domain representation of three sine waves with frequencies 0, 8, and 16



b. Frequency-domain representation of the same three signals

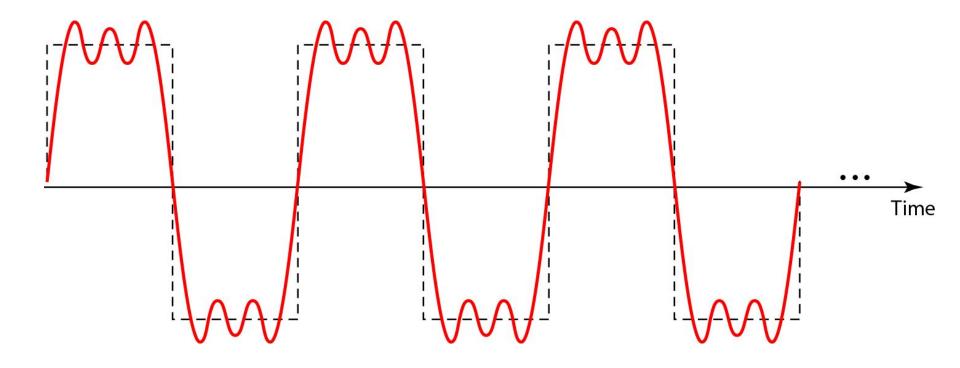
#### **Composite Signals**

- Any composite signal is a combination of simple sine waves with different frequencies, amplitudes, and phases.
- A composite signal can be periodic or non-periodic.
- If the composite signal is periodic, the decomposition gives a series of signals with discrete frequencies;
- If the composite signal is non-periodic, the decomposition gives a combination of sine waves with continuous frequencies.

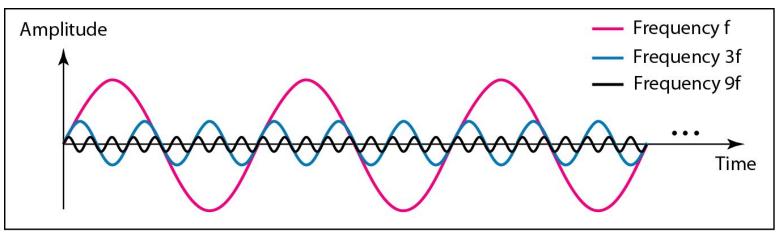


Figure of next slide shows a periodic composite signal with frequency f. This type of signal is not typical of those found in data communications. We can consider it to be three alarm systems, each with a different frequency. The analysis of this signal can give us a good understanding of how to decompose signals.

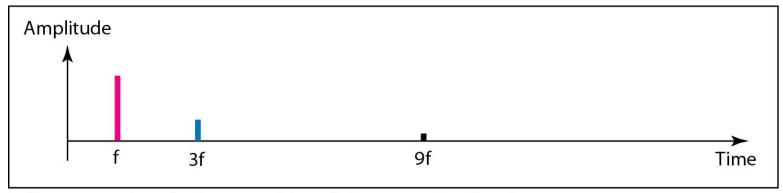
Figure: A composite periodic signal



### Figure: Decomposition of a composite periodic signal in the time and frequency domains



a. Time-domain decomposition of a composite signal



b. Frequency-domain decomposition of the composite signal

# Decomposition of a composite periodic signal in the time and frequency domains

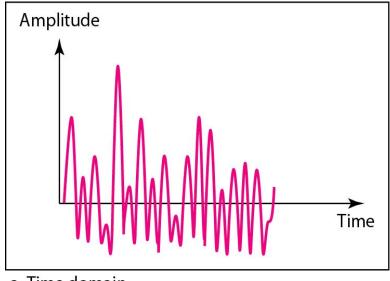
- It is very difficult to manually decompose this signal into a series of simple sine waves.
- Figure of last slide shows the result of decomposing the above signal in both the time and frequency domains.
- The amplitude of the sine wave with frequency f is almost the same as the peak amplitude of the composite signal. The amplitude of the sine wave with frequency 3f is one-third of that of the first, and the amplitude of the sine wave with frequency 9f is one-ninth of the first.
- Note that the frequency decomposition of the signal is discrete; it has frequencies
  f, 3f, and 9f. Because f is an integral number, 3f and 9f are also integral numbers.
  There are no frequencies such as 1.2f or 2.6f. The frequency domain of a periodic
  composite signal is always made of discrete spikes.

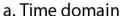


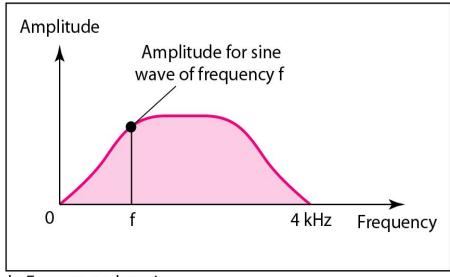
Figure of next slide shows a non-periodic composite signal. It can be the signal created by a microphone or a telephone set when a word or two is pronounced. In this case, the composite signal cannot be periodic, because that implies that we are repeating the same word or words with exactly the same tone.

#### Figure: The time and frequency domains of a non-periodic signal

- In a time-domain representation of this composite signal, there are an infinite number of simple sine frequencies.
- Although the number of frequencies in a human voice is infinite, the range is limited.
- A normal human being can create a continuous range of frequencies between 0 and 4 kHz.





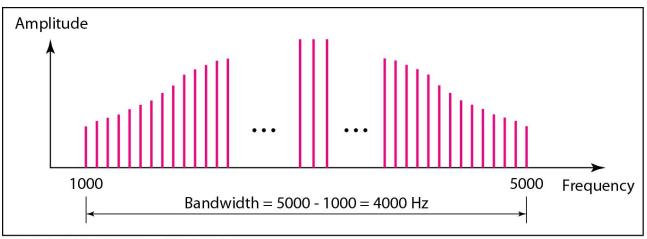


b. Frequency domain

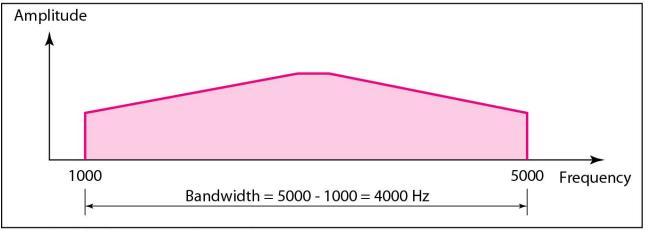
### **Bandwidth**

- The bandwidth of a composite signal is the difference between the highest and the lowest frequencies contained in that signal.
- The range of frequencies contained in a composite signal is its bandwidth.
- The bandwidth is normally a difference between two numbers. For example, if a composite signal contains frequencies between 1000 and 5000, its bandwidth is 5000 1000, or 4000.

#### Figure: The bandwidth of periodic and nonperiodic composite signals



a. Bandwidth of a periodic signal



b. Bandwidth of a nonperiodic signal



If a periodic signal is decomposed into five sine waves with frequencies of 100, 300, 500, 700, and 900 Hz, what is its bandwidth? Draw the spectrum, assuming all components have a maximum amplitude of 10 V.

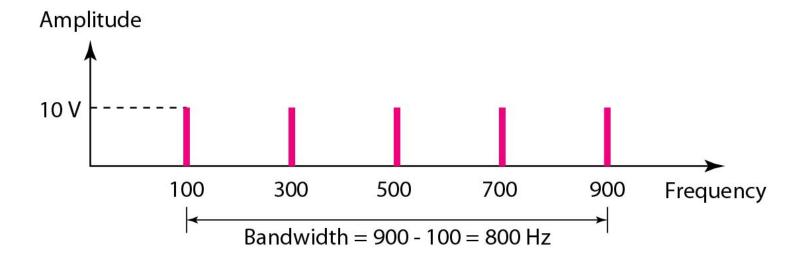
#### Solution

Let  $f_h$  be the highest frequency,  $f_l$  the lowest frequency, and B the bandwidth. Then

$$B = f_h - f_l = 900 - 100 = 800 \text{ Hz}$$

The spectrum has only five spikes, at 100, 300, 500, 700, and 900 Hz (see Figure 3.13).

#### **Figure:** The bandwidth for Example 3.10



#### The bandwidth for Example 3.11

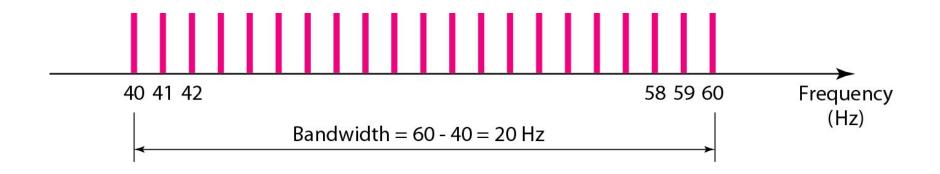
A periodic signal has a bandwidth of 20 Hz. The highest frequency is 60 Hz. What is the lowest frequency? Draw the spectrum if the signal contains all frequencies of the same amplitude.

#### Solution

Let  $f_h$  be the highest frequency,  $f_l$  the lowest frequency, and B the bandwidth. Then

$$B = f_h - f_l \longrightarrow 20 = 60 - f_l \longrightarrow f_l = 60 - 20 = 40 \text{ Hz}$$

The spectrum contains all integer frequencies. We show this by a series of spikes (see Figure 3.15).





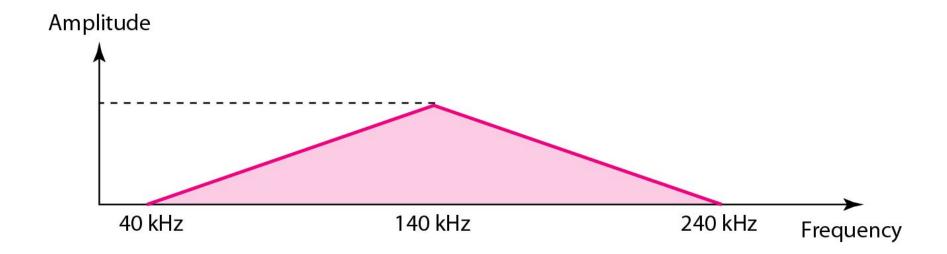
A nonperiodic composite signal has a bandwidth of 200 kHz, with a middle frequency of 140 kHz and peak amplitude of 20 V. The two extreme frequencies have an amplitude of 0. Draw the frequency domain of the signal.

#### Solution

The lowest frequency must be at 40 kHz, and the highest at 240 kHz. Figure 3.15 shows the frequency domain and the bandwidth.

#### **Figure:** The bandwidth for Example 3.8

The lowest frequency must 40 kHz and the highest at 240 kHz. Figure shows the frequency domain and bandwidth.



# 3-3 DIGITAL SIGNALS

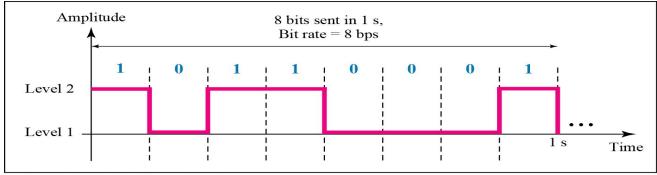
In addition to being represented by an analog signal, information can also be represented by a digital signal. For example, a 1 can be encoded as a positive voltage and a 0 as zero voltage. A digital signal can have more than two levels. In this case, we can send more than 1 bit for each level.

## Topics discussed in this section:

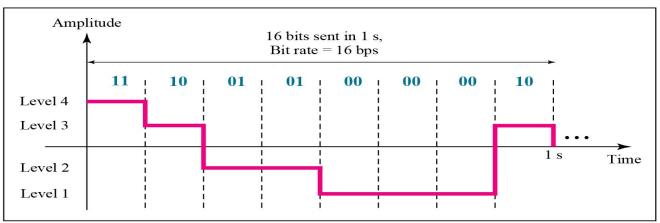
Bit Rate
Bit Length
Digital Signal as a Composite Analog Signal
Application Layer

# Figure: Two digital signals: one with two signal levels and the other with four signal levels

- Figure shows two signals, one with two levels and the other with four. We send 1 bit per level in part a of the figure and 2 bits per level in part b of the figure.
- In general, if a signal has L levels, each level needs log2 L bits. For this reason, we can send log2 4 = 2 bits in part b.



a. A digital signal with two levels



b. A digital signal with four levels

A digital signal has eight levels. How many bits are needed per level? We calculate the number of bits from the formula

Number of bits per level =  $log_2 8 = 3$ 

Each signal level is represented by 3 bits.

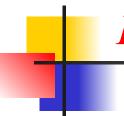


A digital signal has nine levels. How many bits are needed per level? We calculate the number of bits by using the formula. Each signal level is represented by 3.17 bits. However, this answer is not realistic. The number of bits sent per level needs to be an integer as well as a power of 2. For this example, 4 bits can represent one level.

# Bit Rate & Bit length

- Bit rate (instead of frequency)—is used to describe digital signals.
   The bit rate is the number of bits sent in 1s, expressed in bits per second (bps).
- The bit length is the distance one bit occupies on the transmission medium.

Bit length = propagation speed  $\times$  bit duration



Assume we need to download text documents at the rate of 100 pages per second. What is the required bit rate of the channel?

#### Solution

A page is an average of 24 lines with 80 characters in each line. If we assume that one character requires 8 bits, the bit rate is

 $100 \times 24 \times 80 \times 8 = 1,636,000 \text{ bps} = 1.636 \text{ Mbps}$ 



A digitized voice channel, as we will see in Chapter 4, is made by digitizing a 4-kHz bandwidth analog voice signal. We need to sample the signal at twice the highest frequency (two samples per hertz). We assume that each sample requires 8 bits. What is the required bit rate?

#### Solution

The bit rate can be calculated as

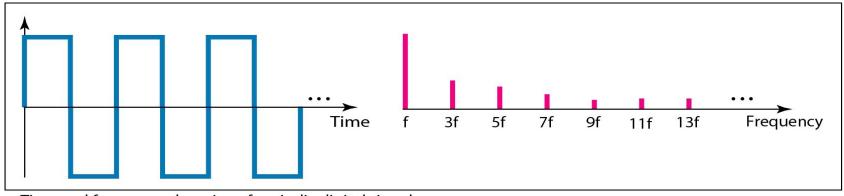
 $2 \times 4000 \times 8 = 64,000 \text{ bps} = 64 \text{ kbps}$ 

# Digital Signal as a Composite Analog Signal

A digital signal is a composite analog signal.

# Figure 3.17 The time and frequency domains of periodic and nonperiodic digital signals

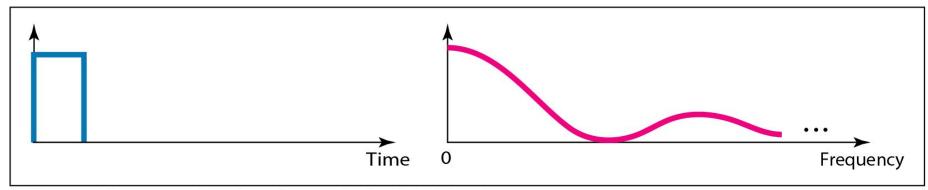
If the digital signal is periodic, which is rare in data communications, the decomposed signal has a frequency domain representation with an infinite bandwidth and discrete frequencies.



a. Time and frequency domains of periodic digital signal

# Figure 3.17 The time and frequency domains of periodic and nonperiodic digital signals

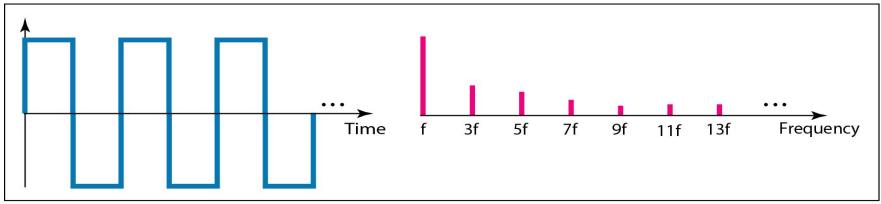
If the digital signal is non-periodic, the decomposed signal still has an infinite bandwidth, but the frequencies are continuous.



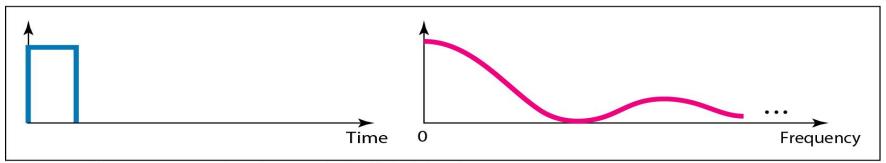
b. Time and frequency domains of nonperiodic digital signal

# Figure 3.17 The time and frequency domains of periodic and nonperiodic digital signals

Note that both bandwidths are infinite, but the periodic signal has discrete frequencies while the non-periodic signal has continuous frequencies.



a. Time and frequency domains of periodic digital signal

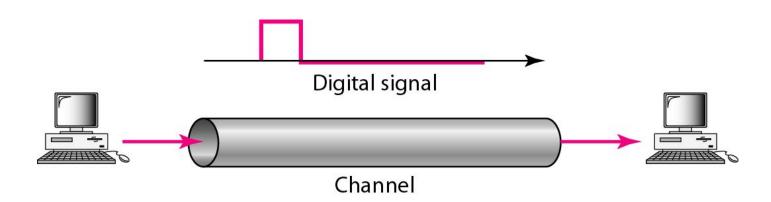


b. Time and frequency domains of nonperiodic digital signal

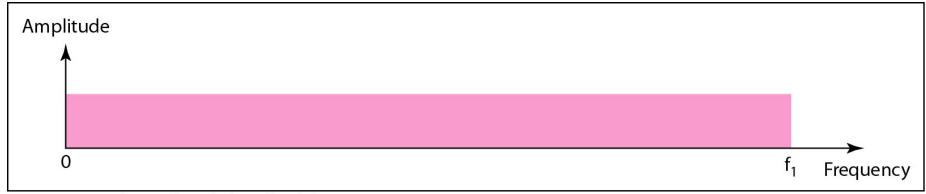
## Transmission of digital signals

We can transmit a digital signal by one of two different approaches:

- Baseband Transmission
- 2. Broadband Transmission(using modulation)
- Baseband transmission means sending a digital signal over a channel without changing the digital signal to an analog signal.
- Baseband transmission requires a low-pass channel, a channel with a bandwidth that starts from zero.



#### Figure: Bandwidths of two low-pass channels



a. Low-pass channel, wide bandwidth

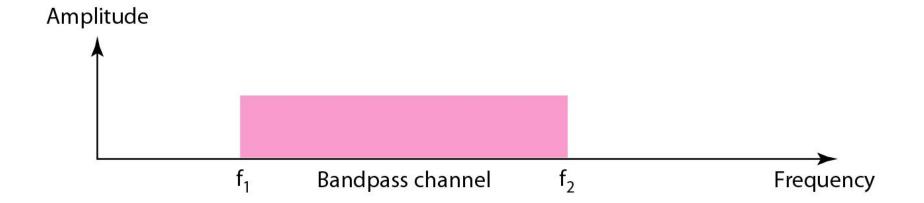


b. Low-pass channel, narrow bandwidth

#### **Broadband Transmission**

- Broadband transmission or modulation means changing the digital signal to an analog signal for transmission.
- Modulation allows us to use a bandpass cannel— a channel with a bandwidth that does not start from zero.
- This type of channel is more available than a low-pass channel.
- If the available channel is a bandpass channel, we cannot send the digital signal directly to the channel; we need to convert the digital signal to an analog signal before transmission.

#### Figure: Bandwidth of a bandpass channel



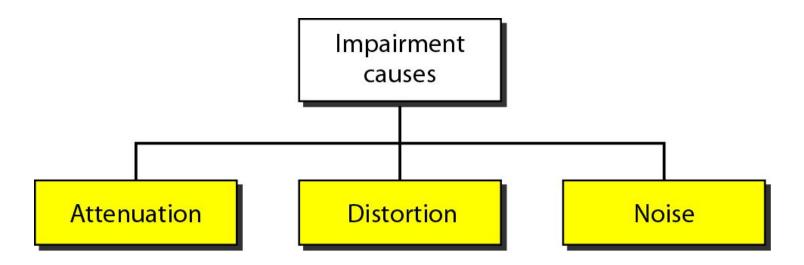
# 3-4 TRANSMISSION IMPAIRMENT

Signals travel through transmission media, which are not perfect. The imperfection causes signal impairment. This means that the signal at the beginning of the medium is not the same as the signal at the end of the medium. What is sent is not what is received. Three causes of impairment are attenuation, distortion, and noise.

## Topics discussed in this section:

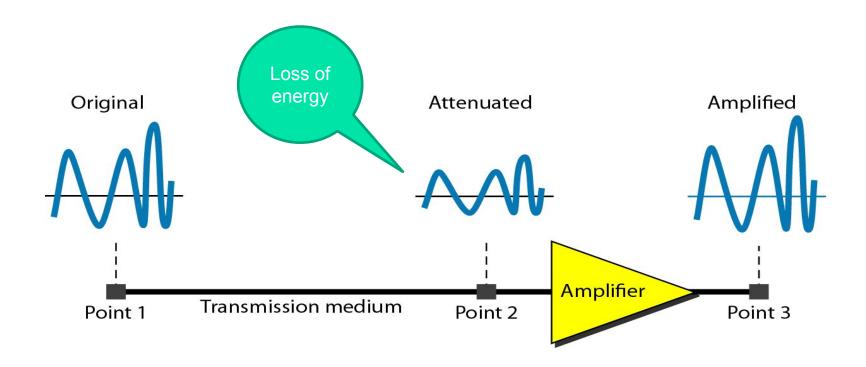
Attenuation Distortion Noise

# Figure: Causes of impairment



#### **Attenuation**

- It means loss of energy -> weaker signal
- When a signal travels through a medium it loses energy overcoming the resistance of the medium.
- This is also known as attenuated signal.
- Amplifiers are used to amplify the attenuated signal which gives the original signal back.



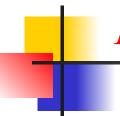
#### **Measurement of Attenuation**

Attenuation is measured in decibels (dB).

It measures the relative strengths of two signals or one signal at two different points.

$$dB = 10\log_{10}\frac{P_2}{P_1}$$

Variables P1 and P2 are the powers of a signal at points 1 and 2, respectively and positive sign means amplification, negative sign means attenuation.



Suppose a signal travels through a transmission medium and its power is reduced to one-half. This means that  $P_2$  is  $(1/2)P_1$ . In this case, the attenuation (loss of power) can be calculated as

$$10 \log_{10} \frac{P_2}{P_1} = 10 \log_{10} \frac{0.5P_1}{P_1} = 10 \log_{10} 0.5 = 10(-0.3) = -3 \text{ dB}$$

A loss of 3 dB (-3 dB) is equivalent to losing one-half the power.



A signal travels through an amplifier, and its power is increased 10 times. This means that  $P_2 = 10P_1$ . In this case, the amplification (gain of power) can be calculated as

$$10 \log_{10} \frac{P_2}{P_1} = 10 \log_{10} \frac{10P_1}{P_1}$$

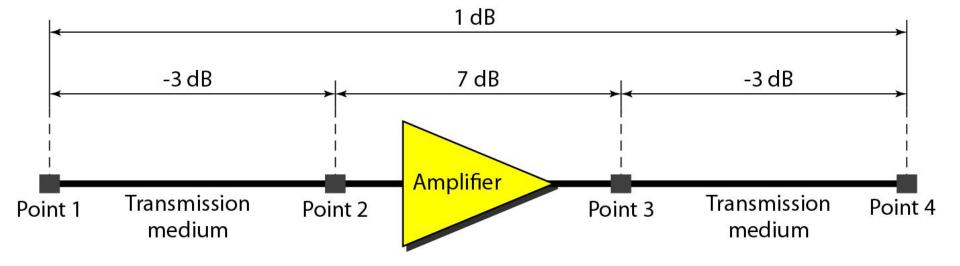
$$= 10 \log_{10} 10 = 10(1) = 10 \text{ dB}$$



One reason that engineers use the decibel to measure the changes in the strength of a signal is that decibel numbers can be added (or subtracted) when we are measuring several points (cascading) instead of just two. In Figure 3.27 a signal travels from point 1 to point 4. In this case, the decibel value can be calculated as

$$dB = -3 + 7 - 3 = +1$$

#### Figure 3.27 Decibels for Example 3.28





Sometimes the decibel is used to measure signal power in milliwatts. In this case, it is referred to as  $dB_m$  and is calculated as  $dB_m = 10 \log 10 P_m$ , where  $P_m$  is the power in milliwatts. Calculate the power of a signal with  $dB_m = -30$ .

#### Solution

We can calculate the power in the signal as

$$dB_{m} = 10 \log_{10} P_{m} = -30$$

$$\log_{10} P_{m} = -3 \qquad P_{m} = 10^{-3} \text{ mW}$$



The loss in a cable is usually defined in decibels per kilometer (dB/km). If the signal at the beginning of a cable with -0.3 dB/km has a power of 2 mW, what is the power of the signal at 5 km?

#### Solution

The loss in the cable in decibels is  $5 \times (-0.3) = -1.5 \, dB$ . We can calculate the power as

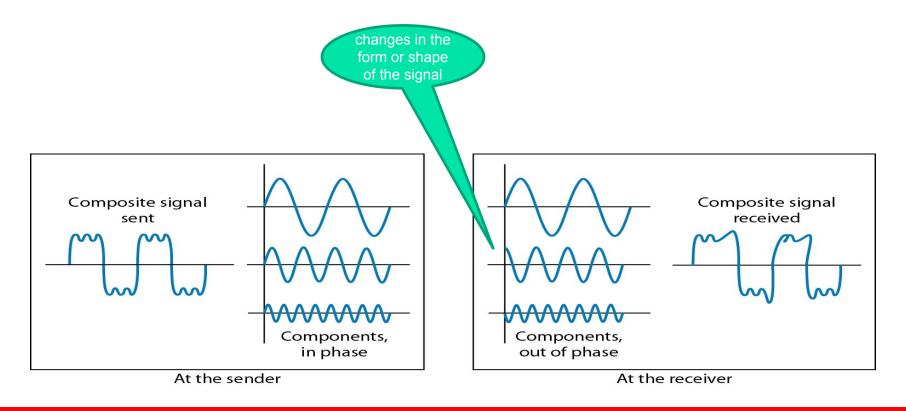
$$dB = 10 \log_{10} \frac{P_2}{P_1} = -1.5$$

$$\frac{P_2}{P_1} = 10^{-0.15} = 0.71$$

$$P_2 = 0.71P_1 = 0.7 \times 2 = 1.4 \text{ mW}$$

## **Figure:** Distortion

- It means change in the shape of signal.
- Distortion occurs in composite signals with different frequencies.
- Each frequency component has its own propagation speed traveling through a medium.
- The different components therefore arrive with different delays at the receiver.
- That means that the signals have different phases at the receiver end from what they had at senders end.



#### **Noise**

The random or unwanted signal that mixes up with the original signal is called noise.

There are different types of noise

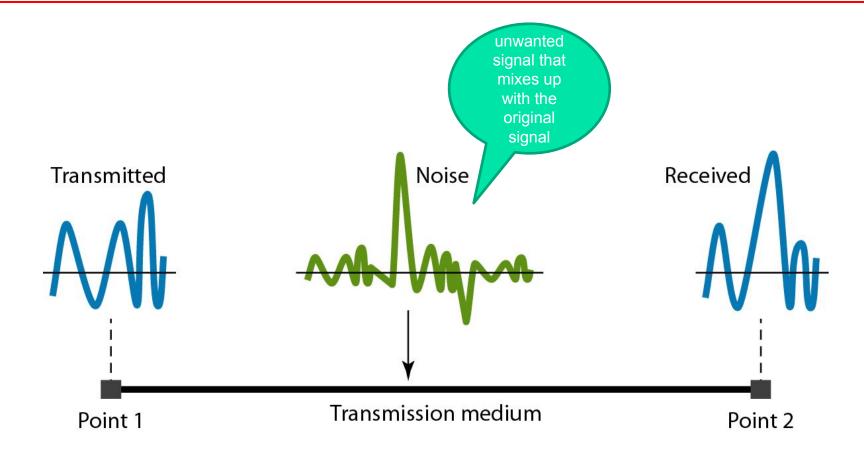
Thermal - noise random movement of electrons in the wire creates an extra signal

Induced – comes from sources such as motors and appliances. These devices act as sending antenna and transmission medium act as receiving antenna.

Crosstalk – when one wire affects the other wire.

Impulse - Spikes that result from power lines, lightning, or comes from high energy etc.

# **Figure:** Noise



### Signal to Noise Ratio (SNR)

- To measure the quality of a system the SNR is often used. It indicates the strength of the signal with the noise power in the system.
- SNR is actually the ratio of what is wanted (signal) to what is not wanted (noise).
- A high SNR means the signal is less corrupted by noise; a low SNR means the signal is more corrupted by noise.

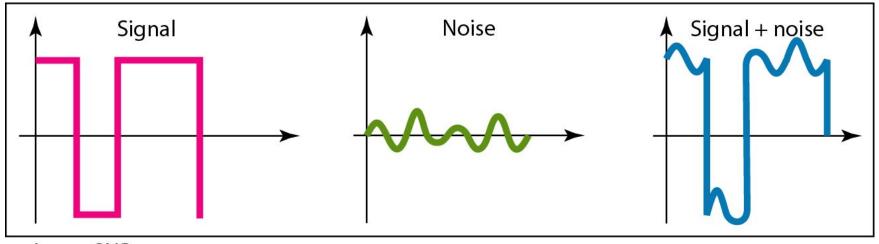
$$SNR = \frac{P_{signal}}{P_{noise}}$$
 Unwanted component

- It is the ratio between two powers.
- It is usually described in dB unit and referred to as SNR<sub>dB</sub>.

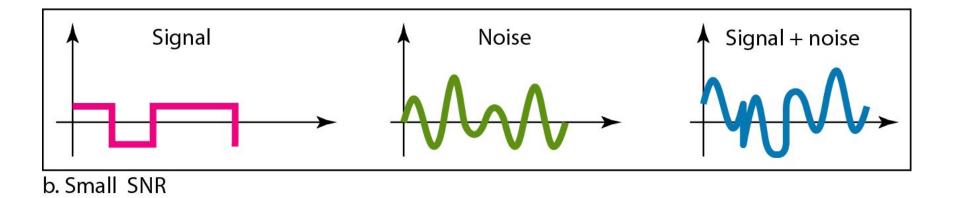
$$SNR(dB) = 10 \log_{10} \left( \frac{Signal\ Power}{Noise\ Power} \right)$$

$$SNR_{dB} = 10 \log_{10} SNR$$

### Figure: Two cases of SNR: a high SNR and a low SNR



a. Large SNR





The power of a signal is 10 mW and the power of the noise is 1  $\mu$ W; what are the values of SNR and SNR<sub>dB</sub>?

Solution The values of SNR and  $SNR_{dB}$  can be calculated as follows:

$$SNR = \frac{10,000 \ \mu\text{W}}{1 \ \text{mW}} = 10,000$$
$$SNR_{dB} = 10 \log_{10} 10,000 = 10 \log_{10} 10^4 = 40$$



The values of SNR and  $SNR_{dB}$  for a noiseless channel are

$$SNR = \frac{\text{signal power}}{0} = \infty$$
$$SNR_{dB} = 10 \log_{10} \infty = \infty$$

We can never achieve this ratio in real life; it is an ideal.

### 3-5 DATA RATE LIMITS

A very important consideration in data communications is how fast we can send data, in bits per second, over a channel. Data rate depends on three factors:

- 1. The bandwidth available
- 2. The level of the signals we use
- 3. The quality of the channel (the level of noise)

Two theoretical formulas were developed to calculate the data rate: one by Nyquist for a noiseless channel, another by Shannon for a noisy channel.

### Topics discussed in this section:

**Noiseless Channel: Nyquist Bit Rate** 

**Noisy Channel: Shannon Capacity** 

**Using Both Limits** 

# Noiseless Channel: Nyquist Bit Rate

For a noiseless channel, the Nyquist bit rate formula defines the theoretical maximum bit rate

BitRate = 
$$2 \times \text{bandwidth} \times \log_2 L$$

In this formula, bandwidth is the bandwidth of the channel, L is the number of signal levels used to represent data, and BitRate is the bit rate in bits per second.

According to the formula, we might think that, given a specific bandwidth, we can have any bit rate we want by increasing the number of signal levels. Although the idea is theoretically correct, practically there is a limit. When we increase the number of signal levels, we impose a burden on the receiver.

Increasing the levels of a signal may reduce the reliability of the system.



Consider a noiseless channel with a bandwidth of 3000 Hz, transmitting a signal with two signal levels. The maximum bit rate can be calculated as

BitRate =  $2 \times 3000 \times \log_2 2 = 6000$  bps



Consider the same noiseless channel transmitting a signal with four signal levels (for each level, we send 2 bits). The maximum bit rate can be calculated as

BitRate =  $2 \times 3000 \times \log_2 4 = 12,000$  bps



We need to send 265 kbps over a noiseless channel with a bandwidth of 20 kHz. How many signal levels do we need?

Solution

We can use the Nyquist formula as shown:

$$265,000 = 2 \times 20,000 \times \log_2 L$$
  
 $\log_2 L = 6.625$   $L = 2^{6.625} = 98.7$  levels

Since this result is not a power of 2, we need to either increase the number of levels or reduce the bit rate. If we have 128 levels, the bit rate is 280 kbps. If we have 64 levels, the bit rate is 240 kbps.

# **Noisy Channel: Shannon Capacity**

In 1944, Claude Shannon introduced a formula, called the Shannon capacity, to determine the theoretical highest data rate for a noisy channel:

Capacity = bandwidth  $\times \log_2(1 + SNR)$ 

n this formula, bandwidth is the bandwidth of the channel, SNR is the signal-to-noise ratio, and capacity is the capacity of the channel in bits per second. Note that in the Shannon formula there is no indication of the signal level, which means that no matter how many levels we have, we cannot achieve a data rate higher than the capacity of the channel.

In other words, the formula defines a characteristic of the channel, not the method of transmission.



Consider an extremely noisy channel in which the value of the signal-to-noise ratio is almost zero. In other words, the noise is so strong that the signal is faint. For this channel the capacity C is calculated as

$$C = B \log_2 (1 + SNR) = B \log_2 (1 + 0) = B \log_2 1 = B \times 0 = 0$$

This means that the capacity of this channel is zero regardless of the bandwidth. In other words, we cannot receive any data through this channel.



We can calculate the theoretical highest bit rate of a regular telephone line. A telephone line normally has a bandwidth of 3000. The signal-to-noise ratio is usually 3162. For this channel the capacity is calculated as

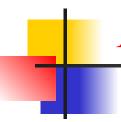
$$C = B \log_2 (1 + \text{SNR}) = 3000 \log_2 (1 + 3162) = 3000 \log_2 3163$$
  
=  $3000 \times 11.62 = 34,860 \text{ bps}$ 

This means that the highest bit rate for a telephone line is 34.860 kbps. If we want to send data faster than this, we can either increase the bandwidth of the line or improve the signal-to-noise ratio.



The signal-to-noise ratio is often given in decibels. Assume that  $SNR_{dB} = 36$  and the channel bandwidth is 2 MHz. The theoretical channel capacity can be calculated as

$$SNR_{dB} = 10 \log_{10} SNR$$
  $\longrightarrow$   $SNR = 10^{SNR_{dB}/10}$   $\longrightarrow$   $SNR = 10^{3.6} = 3981$   $C = B \log_2 (1 + SNR) = 2 \times 10^6 \times \log_2 3982 = 24 \text{ Mbps}$ 



For practical purposes, when the SNR is very high, we can assume that SNR + 1 is almost the same as SNR. In these cases, the theoretical channel capacity can be simplified to

$$C = B \times \frac{\text{SNR}_{\text{dB}}}{3}$$

For example, we can calculate the theoretical capacity of the previous example as

$$C = 2 \text{ MHz} \times \frac{36}{3} = 24 \text{ Mbps}$$



We have a channel with a 1-MHz bandwidth. The SNR for this channel is 63. What are the appropriate bit rate and signal level?

### Solution

First, we use the Shannon formula to find the upper limit.

$$C = B \log_2 (1 + \text{SNR}) = 10^6 \log_2 (1 + 63) = 10^6 \log_2 64 = 6 \text{ Mbps}$$

# Using Both Limits

In practice, we need to use both methods to find the limits and signal levels. Let us show this with an example.

### Example 3.41 (continued)

The Shannon formula gives us 6 Mbps, the upper limit. For better performance we choose something lower, 4 Mbps, for example. Then we use the Nyquist formula to find the number of signal levels.

$$4 \text{ Mbps} = 2 \times 1 \text{ MHz} \times \log_2 L \longrightarrow L = 4$$

# Note

The Shannon capacity gives us the upper limit; the Nyquist formula tells us how many signal levels we need.

### **3-6 PERFORMANCE**

One important issue in networking is the performance of the network—how good is it? We discuss quality of service, an overall measurement of network performance, in greater detail in Chapter 24. In this section, we introduce terms that we need for future chapters.

### Topics discussed in this section:

Bandwidth
Throughput
Latency (Delay)



# In networking, we use the term bandwidth in two contexts.

- The first, bandwidth in hertz, refers to the range of frequencies in a composite signal or the range of frequencies that a channel can pass.
- The second, bandwidth in bits per second, refers to the speed of bit transmission in a channel or link.



The bandwidth of a subscriber line is 4 kHz for voice or data. The bandwidth of this line for data transmission can be up to 56,000 bps using a sophisticated modem to change the digital signal to analog.

# **Throughput**

The throughput is a measure of how fast we can actually send data through a network.

A link may have a bandwidth of B bps, but we can only send T bps through this link with T always less than B. In other words, the bandwidth is a potential measurement of a link; the throughput is an actual measurement of how fast we can send data. For example, we may have a link with a bandwidth of 1 Mbps, but the devices connected to the end of the link may handle only 200 kbps. This means that we cannot send more than 200 kbps through this link.



A network with bandwidth of 10 Mbps can pass only an average of 12,000 frames per minute with each frame carrying an average of 10,000 bits. What is the throughput of this network?

### Solution

We can calculate the throughput as

Throughput = 
$$\frac{12,000 \times 10,000}{60}$$
 = 2 Mbps

The throughput is almost one-fifth of the bandwidth in this case.

# Latency (Delay)

The latency or delay defines how long it takes for an entire message to completely arrive at the destination from the time the first bit is sent out from the source. We can say that latency is made of four components: propagation time, transmission time, queuing time and processing delay.

Latency = propagation time + transmission time + queuing time + processing delay

### **Propagation Time**

Propagation time measures the time required for a bit to travel from the source to the destination. The propagation time is calculated by dividing the distance by the propagation speed.

**Propagation time = Distance / (Propagation Speed)** 

The propagation speed of electromagnetic signals depends on the medium and on the frequency of the signal.

# Latency (Delay)

### **Transmission Time**

There is a time between the first bit leaving the sender and the last bit arriving at the receiver. The first bit leaves earlier and arrives earlier; the last bit leaves later and arrives later. The transmission time of a message depends on the size of the message and the bandwidth of the channel.

Transmission time = (Message size) / Bandwidth



What are the propagation time and the transmission time for a 2.5-kbyte message (an e-mail) if the bandwidth of the network is 1 Gbps? Assume that the distance between the sender and the receiver is 12,000 km and that light travels at  $2.4 \times 108$  m/s.

### Solution

We can calculate the propagation and transmission time as shown on the next slide:



## Example 3.46 (continued)

Propagation time = 
$$\frac{12,000 \times 1000}{2.4 \times 10^8} = 50 \text{ ms}$$

Transmission time = 
$$\frac{2500 \times 8}{10^9}$$
 = 0.020 ms

Note that in this case, because the message is short and the bandwidth is high, the dominant factor is the propagation time, not the transmission time. The transmission time can be ignored.

# Latency (Delay)

### **Queuing Time**

The third component in latency is the queuing time, the time needed for each intermediate or end device to hold the message before it can be processed. The queuing time is not a fixed factor; it changes with the load imposed on the network.

### **Processing Delay**

Delay of processing in router or intermediate device

