

An Extensible SAT-solver

[extended version 1.2]

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Abstract. In this article, we present a small, complete, and efficient SAT-solver in the style of conflict-driven learning, as exemplified by CHAFF. We aim to give sufficient details about implementation to enable the reader to construct his or her own solver in a very short time. This will allow *users* of SAT-solvers to make domain specific extensions or adaptations of current state-of-the-art SAT-techniques, to meet the needs of a particular application area. The presented solver is designed with this in mind, and includes among other things a mechanism for adding arbitrary boolean constraints. It also supports solving a series of related SAT-problems efficiently by an incremental SAT-interface.

1 Introduction

The use of SAT-solvers in various applications is on the march. As insight on how to efficiently encode problems into SAT is increasing, a growing number of problem domains are successfully being tackled by SAT-solvers. This is particularly true for the *electronic design automation* (EDA) industry [BCCFZ99,Lar92]. The success is further magnified by current state-of-the-art solvers being extended and adapted to meet the specific characteristics of these problem domains [ARMS02,ES03].

However, modifying an existing solver, even with a thorough understanding of both the problem domain and of modern SAT-techniques, can become a time consuming and bewildering journey into the mysterious inner workings of a ten-thousand-line software package. Likewise, writing a solver from scratch can also be a daunting task, as there are numerous pitfalls hidden in the intricate details of a correct and efficient solver. The problem is that although the *techniques* used in a modern SAT-solver are well documented, the details necessary for an *implementation* have not been adequately presented before.

In the fall of 2002, the authors implemented the solvers SATZOO and SAT-NIK. In order to sufficiently understand the implementation tricks needed for a modern SAT-solver, it was necessary to consult the source-code of previous implementations.¹ We find that the material contained therein can be made more accessible, which is desirable for the SAT-community. Thus, the principal goal of this article is to bridge the gap between existing descriptions of SAT-techniques and their actual implementation.

We will do this by presenting the code of a minimal SAT-solver MINISAT, based on the ideas for conflict-driven backtracking [MS96], together with watched literals and dynamic variable ordering [MZ01]. The original C++ source code

¹ LIMMAT at <http://www.inf.ethz.ch/personal/biere/projects/limmat/>
ZCHAFF at <http://www.ee.princeton.edu/~chaff/zchaff>

(downloadable from <http://www.cs.chalmers.se/~een>) for MINISAT is under 600 lines (not counting comments), and is the result of rethinking and simplifying the designs of SATZOO and SATNIK without sacrificing efficiency. We will present all the relevant parts of the code in a manner that should be accessible to anyone acquainted with either C++ or Java.

The presented code includes an incremental SAT-interface, which allows for a series of related problems to be solved with potentially huge efficiency gains [ES03]. We also generalize the expressiveness of the SAT-problem formulation by providing a mechanism for arbitrary *constraints* over boolean variables to be defined. Paragraphs discussing implementation alternatives are marked “[Discussion]” and can be skipped on a first reading.

From the documentation in this paper we hope it is possible for *you* to implement a fresh SAT-solver in your favorite language, or to grab the C++ version of MINISAT from the net and start modifying it to include new and interesting ideas.

2 Application Programming Interface

We start by presenting MINISAT’s external interface, with which a user application can specify and solve SAT-problems. A basic knowledge about SAT is assumed (see for instance [MS96]). The types *var*, *lit*, and *Vec* for variables, literals, and vectors respectively are explained in detail in section 4.

class <i>Solver</i> – <i>Public interface</i>	
var	<i>newVar</i> ()
bool	<i>addClause</i> (<i>Vec</i> <i><lit></i> literals)
bool	<i>add...</i> (...)
bool	<i>simplifyDB</i> ()
bool	<i>solve</i> (<i>Vec</i> <i><lit></i> assumptions)
<i>Vec</i> <i><bool></i> model	– <i>If found, this vector has the model.</i>

The “*add...*” method should be understood as a place-holder for additional constraints implemented in an extension of MINISAT.

For a standard SAT-problem, the interface is used in the following way: Variables are introduced by calling *newVar()*. From these variables, clauses are built and added by *addClause()*. Trivial conflicts, such as two unit clauses $\{x\}$ and $\{\bar{x}\}$ being added, can be detected by *addClause()*, in which case it returns FALSE. From this point on, the solver state is undefined and must not be used further. If no such trivial conflict is detected during the clause insertion phase, *solve()* is called with an empty list of assumptions. It returns FALSE if the problem is *unsatisfiable*, and TRUE if it is *satisfiable*, in which case the model can be read from the public vector “model”.

The *simplifyDB()* method can be used before calling *solve()* to simplify the set of problem constraints (often called the *constraint database*). In our implementation, *simplifyDB()* will first propagate all unit information, then remove all satisfied constraints. As for *addClause()*, the simplifier can sometimes detect a

conflict, in which case `FALSE` is returned and the solver state is, again, undefined and must not be used further.

If the solver returns *satisfiable*, new constraints can be added repeatedly to the existing database and *solve()* run again. However, more interesting sequences of SAT-problems can be solved by the use of *unit assumptions*. When passing a non-empty list of assumptions to *solve()*, the solver temporarily assumes the literals to be true. After finding a model or a contradiction, these assumptions are undone, and the solver is returned to a usable state, even when *solve()* return `FALSE`, which now should be interpreted as *unsatisfiable under assumptions*.

For this to work, calling *simplifyDB()* before *solve()* is no longer optional. It is the mechanism for detecting conflicts independent of the assumptions – referred to as a *top-level* conflict from now on – which puts the solver in an undefined state. We wish to remark that the ability to pass unit assumptions to *solve()* is more powerful than it might appear at first. For an example of its use, see [ES03].

An alternative interface would be for *solve()* to return one of three values: *satisfiable*, *unsatisfiable*, or *unsatisfiable under assumptions*. This is indeed a less error-prone interface as there is no longer a pre-condition on the use of *solve()*. The current interface, however, represents the smallest modification of a non-incremental SAT-solver. The early non-incremental version of SATZOO was made compliant to the above interface by adding just 5 lines of code. [Discussion]

3 Overview of the SAT-solver

This article will treat the popular style of SAT-solvers based on the DPLL algorithm [DLL62], backtracking by conflict analysis and clause recording (also referred to as *learning*) [MS96], and boolean constraint propagation (BCP) using *watched literals* [MZ01].² We will refer to this style of solver as a *conflict-driven SAT-solver*.

The components of such a solver, and indeed a more general constraint solver, can be conceptually divided into three categories:

- **Representation.** Somehow the SAT-instance must be represented by internal data structures, as must any derived information.
- **Inference.** Brute force search is seldom good enough on its own. A solver also needs some mechanism for computing and propagating the direct implications of the current state of information.
- **Search.** Inference is almost always combined with search to make the solver complete. The search can be viewed as another way of deriving information.

A standard conflict-driven SAT-solver can represent *clauses* (with two literals or more) and *assignments*. Although the assignments can be viewed as unit-clauses, they are treated specially in many ways, and are best viewed as a separate type of information.

The only inference mechanism used by a standard solver is *unit propagation*. As soon as a clause becomes *unit* under the current assignment (all literals except

² This includes SAT-solvers such as: ZCHAFF, LIMMAT, BERKMIN.

one are false), the remaining unbound literal is set to true, possibly making more clauses unit. The process is continued until no more information can be propagated.

The search procedure of a modern solver is the most complex part. Heuristically, variables are picked and assigned values (*assumptions* are made), until the propagation detects a *conflict* (all literals of a clause have become false). At that point, a so called *conflict clause* is constructed and added to the SAT problem. Assumptions are then canceled by backtracking until the conflict clause becomes unit, from which point this unit clause is propagated and the search process continues.

MINISAT is extensible with arbitrary boolean constraints. This will affect the *representation*, which must be able to store these constraints; the *inference*, which must be able to derive unit information from these constraints; and the *search*, which must be able to analyze and generate conflict clauses from the constraints. The mechanism we suggest for managing general constraints is very lightweight, and by making the dependencies between the SAT-algorithm and the constraints implementation explicit, we feel it rather adds to the clarity of the solver than obscures it.

Propagation. The propagation procedure of MINISAT is largely inspired by that of CHAFF [MZ01]. For each literal, a list of constraints is kept. These are the constraints that *may* propagate unit information (variable assignments) if the literal becomes TRUE. For clauses, no unit information can be propagated until all literals except one have become FALSE. Two unbound literals p and q of the clause are therefore selected, and references to the clause are added to the lists of \bar{p} and \bar{q} respectively. The literals are said to be *watched* and the lists of constraints are referred to as *watcher lists*. As soon as a watched literal becomes TRUE, the constraint is invoked to see if information may be propagated, or to select new unbound literals to be watched.

A feature of the watcher system for clauses is that on backtracking, no adjustment to the watcher lists need to be done. Backtracking is therefore very cheap. However, for other constraint types, this is not necessarily a good approach. MINISAT therefore supports the optional use of *undo lists* for those constraints; storing what constraints need to be updated when a variable becomes unbound by backtracking.

Learning. The learning procedure of MINISAT follows the ideas of Marques-Silva and Sakallah in [MS96]. The process starts when a constraint becomes conflicting (impossible to satisfy) under the current assignment. The conflicting constraint is then asked for a set of variable assignments that make it contradictory. For a clause, this would be all the literals of the clause (which are FALSE under a conflict). Each of the variable assignments returned must be either an *assumption* of the search procedure, or the result of some *propagation* of a constraint. The propagating constraints are in turn asked for the set of variable assignments that forced the propagation to occur, continuing the analysis backwards. The procedure is repeated until some termination condition is fulfilled, resulting in a set of variable assignments that implies the conflict. A clause prohibiting that particular assignment is added to the clause database. This *learned*

clause must always, by construction, be implied by the original problem constraints.

Learnt clauses serve two purposes: they drive the backtracking (as we shall see) and they speed up future conflicts by “caching” the reason for the conflict. Each clause will prevent only a constant number of inferences, but as the recorded clauses start to build on each other and participate in the unit propagation, the accumulated effect of learning can be massive. However, as the set of learnt clauses increase, propagation is slowed down. Therefore, the number of learnt clauses is periodically reduced, keeping only the clauses that seem useful by some heuristic.

Search. The search procedure of a conflict-driven SAT-solver is somewhat implicit. Although a recursive definition of the procedure might be more elegant, it is typically described (and implemented) iteratively. The procedure will start by selecting an unassigned variable x (called the *decision variable*) and assume a value for it, say TRUE. The consequences of $x = \text{TRUE}$ will then be propagated, possibly resulting in more variable assignments. All variables assigned as a consequence of x is said to be from the same *decision level*, counting from 1 for the first assumption made and so forth. Assignments made before the first assumption (decision level 0) are called *top-level*.

All assignments will be stored on a stack in the order they were made; from now on referred to as the *trail*. The trail is divided into decision levels and is used to undo information during backtracking.

The decision phase will continue until either all variables have been assigned, in which case we have a model, or a conflict has occurred. On conflicts, the learning procedure will be invoked and a conflict clause produced. The trail will be used to undo decisions, one level at a time, until precisely one of the literals of the learnt clause becomes unbound (they are all FALSE at the point of conflict). By construction, the conflict clause cannot go directly from conflicting to a clause with two or more unbound literals. If the clause remains unit for several decision levels, it is advantageous to chose the lowest level (referred to as *backjumping* or *non-chronological backtracking* [MS96]).

```

loop
  propagate()    – propagate unit clauses
  if not conflict then
    if all variables assigned then
      return SATISFIABLE
    else
      decide()    – pick a new variable and assign it
  else
    analyze()    – analyze conflict and add a conflict clause
    if top-level conflict found then
      return UNSATISFIABLE
    else
      backtrack() – undo assignments until conflict clause is unit

```

An important part of the procedure is the heuristic for *decide()*. Like CHAFF, MINISAT uses a dynamic variable order that gives priority to variables involved in recent conflicts.

[Discussion]

Although this is a good default order, domain specific heuristics have successfully been used in various areas to improve the performance [Stri00]. Variable ordering is a traditional target for improving SAT-solvers.

Activity heuristics. One important technique introduced by CHAFF [MZ01] is a dynamic variable ordering based on activity (referred to as the VSIDS heuristic). The original heuristic imposes an order on *literals*, but borrowing from SATZOO, we make no distinction between p and \bar{p} in MINISAT.

Each variable has an *activity* attached to it. Every time a variable occurs in a recorded conflict clause, its activity is increased. We will refer to this as *bumping*. After recording the conflict, the activity of all the variables in the system are multiplied by a constant less than 1, thus *decaying* the activity of variables over time. Recent increments count more than old. The current sum determines the activity of a variable.

In MINISAT we use a similar idea for clauses. When a learnt clause is used in the analysis process of a conflict, its activity is bumped. Inactive clauses are periodically removed.

Constraint removal. The constraint database is divided into two parts: the *problem constraints* and the *learnt clauses*. As we have noted, the set of learnt clauses can be periodically reduced to increase the performance of propagation. Learnt clauses are used to crop future branches in the search tree, so we risk getting a bigger search space instead. The balance between the two forces is delicate, and there are SAT-instances for which a big learnt clause set is advantageous, and others where a small set is better. MINISAT's default heuristic starts with a small set and gradually increases the size.

Problem constraints can also be removed if they are satisfied at the top-level. The API method *simplifyDB()* is responsible for this. The procedure is particularly important for incremental SAT-problems, where techniques for clause removal build on this feature.

Top-level solver. Although the pseudo-code for the search procedure presented above suffices for a simple conflict-driven SAT-solver, a solver *strategy* can improve the performance. A typical strategy applied by modern conflict-driven SAT-solvers is the use of *restarts* to escape from futile parts of the search tree. In MINISAT we also vary the number of learnt clauses kept at a given time. Furthermore, the *solve()* method of the API supports incremental assumptions, not handled by the above pseudo-code.

4 Implementation

The following conventions are used in the code. Atomic types start with a lower-case letter and are passed by value. Composite types start with a capital letter and are passed by reference. Blocks are marked only by indentation level. The

<pre> class Vec$\langle T \rangle$ – Public interface – Constructors: Vec() Vec(int size) Vec(int size, T pad) – Size operations: int size () void shrink (int nof_elems) void pop () void growTo (int size) void growTo (int size, T pad) void clear () – Stack interface: void push () void push (T elem) T last () – Vector interface: T op [] (int index) – Duplication: void copyTo (Vec$\langle T \rangle$ copy) void moveTo (Vec$\langle T \rangle$ dest) </pre>	<pre> class lit – Public interface lit (var x) – Global functions: lit op \neg (lit p) bool sign (lit p) int var (lit p) int index (lit p) </pre>
	<pre> class lbool – Public interface lbool () lbool (bool x) – Global functions: lbool op \neg (lbool x) – Global constants: lbool FALSE$_{\perp}$, TRUE$_{\perp}$, \perp </pre>
	<pre> class Queue$\langle T \rangle$ – Public interface Queue () void insert (T x) T dequeue () void clear () int size () </pre>

Fig. 1. Basic abstract data types used throughout the code. The vector data type can push a default constructed element by the `push()` method with no argument. The `moveTo()` method will move the contents of a vector to another vector in constant time, clearing the source vector. The literal data type has an `index()` method which converts the literal to a “small” integer suitable for array indexing. The `var()` method returns the underlying variable of the literal, and the `sign()` method if the literal is signed (FALSE for x and TRUE for \bar{x}).

bottom symbol \perp will always mean *undefined*; the symbol FALSE will be used to denote the boolean false.

We will use, but not specify an implementation of, the following abstract data types: **Vec** $\langle T \rangle$ an extensible vector of type **T**; **lit** the type of literals containing a special literal \perp_{lit} ; **lbool** for the lifted boolean domain containing elements TRUE $_{\perp}$, FALSE $_{\perp}$, and \perp ; **Queue** $\langle T \rangle$ a queue of type **T**. We also use **var** as a type synonym for **int** (for implicit documentation) with the special constant \perp_{var} . The interfaces of the abstract data types are presented in *Figure 1*.

4.1 The solver state

A number of things need to be stored in the solver state. *Figure 2* shows the complete set of member variables of the solver type of MINISAT. Together with the state variables we define some short helper methods in *Figure 3*, as well as the interface of *VarOrder* (*Figure 4*), explained in section 4.6.

The state does *not* contain a boolean “conflict” to remember if a top-level conflict has been reached. Instead we impose as an invariant that the solver must never be in a conflicting state. As a consequence, any method that puts the solver

[Discussion]

in a conflicting state must communicate this. Using the solver object after this point is illegal. The invariant makes the interface slightly more cumbersome to use, but simplifies the implementation, which is important when extending and experimenting with new techniques.

4.2 Constraints

MINISAT can handle arbitrary constraints over boolean variables through the abstraction presented in *Figure 5*. Each constraint type needs to implement methods for constructing, removing, propagating and calculating reasons. In addition, methods for simplifying the constraint and updating the constraint on backtrack can be specified. We explain the meaning and responsibilities of these methods in detail:

Constructor. The constructor may only be called at the top-level. It must create and add the constraint to appropriate watcher lists after enqueueing any unit information derivable under the current top-level assignment. Should a conflict arise, this must be communicated to the caller.

Remove. The remove method supplants the destructor by receiving the solver state as a parameter. It should dispose the constraint and remove it from the watcher lists.

Propagate. The propagate method is called if the constraint is found in a watcher list during propagation of unit information p . The constraint is removed from the list and is required to insert itself into a new or the same watcher list. Any unit information derivable as a consequence of p should be enqueued. If successful, `TRUE` is returned; if a conflict is detected, `FALSE` is returned. The constraint may add itself to the undo list of $var(p)$ if it needs to be updated when p becomes unbound.

Simplify. At the top-level, a constraint may be given the opportunity to simplify its representation (returns `FALSE`) or state that the constraint is satisfied under the current assignment and can be removed (returns `TRUE`). A constraint must *not* be simplifiable to produce unit information or to be conflicting; in that case the propagation has not been correctly defined.

Undo. During backtracking, this method is called if the constraint added itself to the undo list of $var(p)$ in *propagate()*. The current variable assignments are guaranteed to be identical to that of the moment before *propagate()* was called.

Calculate Reason. This method is given a literal p and an empty vector. The constraint is the *reason* for p being true, that is, during propagation, the current constraint enqueued p . The received vector is extended to include a set of assignments (represented as literals) implying p . The current variable assignments are guaranteed to be identical to that of the moment before the constraint propagated p . The literal p is also allowed to be the special constant \perp_{lit} in which case the reason for the clause being *conflicting* should be returned through the vector.


```

class Solver
- Constraint database
  Vec<Constr> constrs - List of problem constraints.
  Vec<Clause> learnts - List of learnt clauses.
  double cla_inc - Clause activity increment - amount to bump with.
  double cla_decay - Decay factor for clause activity.

- Variable order
  Vec<double> activity - Heuristic measurement of the activity of a variable.
  double var_inc - Variable activity increment - amount to bump with.
  double var_decay - Decay factor for variable activity.
  VarOrder order - Keeps track of the dynamic variable order.

- Propagation
  Vec<Vec<Constr>> watches - For each literal 'p', a list of constraints watching 'p'.
                        A constraint will be inspected when 'p' becomes true.
  Vec<Vec<Constr>> undos - For each variable 'x', a list of constraints that need to
                        update when 'x' becomes unbound by backtracking.
  Queue<lit> propQ - Propagation queue.

- Assignments
  Vec<lbool> assigns - The current assignments indexed on variables.
  Vec<lit> trail - List of assignments in chronological order.
  Vec<int> trail_lim - Separator indices for different decision levels in 'trail'.
  Vec<Constr> reason - For each variable, the constraint that implied its value.
  Vec<int> level - For each variable, the decision level it was assigned.
  int root_level - Separates incremental and search assumptions.

```

Fig. 2. Internal state of the solver.

```

int Solver.nVars()      return assigns.size()
int Solver.nAssigns()   return trail.size()
int Solver.nConstraints() return constrs.size()
int Solver.nLearnts()   return learnts.size()
lbool Solver.value(var x) return assigns[x]
lbool Solver.value(lit p) return sign(p) ? ¬assigns[var(p)] : assigns[var(p)]
int Solver.decisionLevel() return trail_lim.size()

```

Fig. 3. Small helper methods. For instance, *nLearnts()* returns the number of learnt clauses.

```

class VarOrder - Public interface
  VarOrder ( Vec<lbool> ref_to_assigns, Vec<double> ref_to_activity)

  void newVar() - Called when a new variable is created.
  void update(var x) - Called when variable has increased in activity.
  void updateAll() - Called when all variables have been assigned new activities.
  void undo(var x) - Called when variable is unbound (may be selected again).
  var select() - Called to select a new, unassigned variable.

```

Fig. 4. Assisting ADT for the dynamic variable ordering of the solver. The constructor takes references to the assignment vector and the activity vector of the solver. The method *select()* will return the unassigned variable with the highest activity.

```

class Constr
  virtual void remove      (Solver S)                - must be defined
  virtual bool propagate   (Solver S, lit p)          - must be defined
  virtual bool simplify    (Solver S)                - defaults to return false
  virtual void undo        (Solver S, lit p)          - defaults to do nothing
  virtual void calcReason  (Solver S, lit p, Vec(lit) out_reason) - must be defined

```

Fig. 5. Abstract base class for constraints.

The code for the *Clause* constraint is presented in Figure 7. It is also used for learnt clauses, which are unique in that they can be added to the clause database while the solver is not at top-level. This makes the constructor code a bit more complicated than it would be for a normal constraint.

Implementing the *addClause()* method of the solver API is just a matter of calling *Clause_new()* and pushing the new constraint on the “constrs” vector, storing the list of problem constraints. For completeness, we also display the code for creating variables in the solver (Figure 6).

[Discussion]

There are a number of tricks for smart-coding that can be used in a C++ implementation of *Clause*. In particular the “lits” vector can be implemented as an zero-sized array placed last in the class, and then extra memory allocated for the clause to contain the data. We observed a 20% speedup for this trick. Furthermore, memory can be saved by not storing activity for problem clauses.

[Discussion]

Of the methods defining a constraint, *propagate()* should be the primary target for efficient implementation. The SAT-solver spends about 80% of the time propagating, so the method will be called frequently. In SATZOO a performance gain was achieved by remembering the position of the last watched literal and start looking for a new literal to watch from that position. Further speedups may be achieved by specializing the code for small clause sizes.

```

var Solver.newVar()
  int index
  index = nVars()
  watches .push()
  watches .push()
  undos   .push()
  reason  .push(NULL)
  assigns .push(⊥)
  level   .push(-1)
  activity .push(0)
  order   .newVar()
  return index

```

Fig. 6. Creates a new SAT variable in the solver.

4.3 Propagation

Given the mechanism for adding constraints, we now move on to describe the propagation of unit information on these constraints.

The propagation routine keeps a set of literals (unit information) that is to be propagated. We call this the *propagation queue*. When a literal is inserted into the queue, the corresponding variable is immediately assigned. For each literal in the queue, the watcher list of that literal determines the constraints that may be affected by the assignment. Through the interface described in the previous section, each constraint is asked by a call to its *propagate()* method if more unit information can be inferred, which will then be enqueued. The process continues until either the queue is empty or a conflict is found.

```

class Clause : public Constr
    bool learnt
    float activity
    Vec<lit> lits

    - Constructor - creates a new clause and adds it to watcher lists:
    static bool Clause_new(Solver S, Vec<lit> ps, bool learnt, Clause out_clause)
        "Implementation in Figure 8"

    - Learnt clauses only:
    bool locked(Solver S)
        return S.reason[var(lits[0])] == this

    - Constraint interface:
    void remove(Solver S)
        removeElem(this, S.watches[index( $\neg$ lits[0])])
        removeElem(this, S.watches[index( $\neg$ lits[1])])
        delete this

    bool simplify(Solver S)          - only called at top-level with empty prop. queue
    int j = 0
    for (int i = 0; i < lits.size(); i++)
        if (S.value(lits[i]) == TRUE $\perp$ )
            return TRUE
        else if (S.value(lits[i]) ==  $\perp$ )
            lits[j++] = lits[i] - false literals are not copied (only occur for  $i \geq 2$ )
    lits.shrink(lits.size() - j)
    return FALSE

    bool propagate(Solver S, lit p)
    - Make sure the false literal is lits[1]:
    if (lits[0] ==  $\neg$ p)
        lits[0] = lits[1], lits[1] =  $\neg$ p

    - If 0th watch is true, then clause is already satisfied.
    if (S.value(lits[0]) == TRUE $\perp$ )
        S.watches[index(p)].push(this)          - re-insert clause into watcher list
        return TRUE

    - Look for a new literal to watch:
    for (int i = 2; i < size(); i++)
        if (S.value(lits[i]) != FALSE $\perp$ )
            lits[1] = lits[i], lits[i] =  $\neg$ p
            S.watches[index( $\neg$ lits[1])].push(this) - insert clause into watcher list
        return TRUE

    - Clause is unit under assignment:
    S.watches[index(p)].push(this)
    return S.enqueue(lits[0], this)          - enqueue for propagation

    void calcReason(Solver S, lit p, vec<lit> out_reason)
    - invariant: ( $p == \perp$ ) or ( $p == lits[0]$ )
    for (int i = ((p ==  $\perp$ ) ? 0 : 1); i < size(); i++)
        out_reason.push( $\neg$ lits[i])          - invariant: S.value(lits[i]) == FALSE $\perp$ 
    if (learnt) S.claBumpActivity(this)

```

Fig. 7. Implementation of the *Clause* constraint.

```

bool Clause_new(Solver S, Vec(lit) ps, bool learnt, Clause out_clause)

    out_clause = NULL

    - Normalize clause:
    if (!learnt)
        if ("any literal in ps is true")      return TRUE
        if ("both p and ¬p occurs in ps") return TRUE
        "remove all false literals from ps"
        "remove all duplicates from ps"

    if (ps.size() == 0)
        return FALSE
    else if (ps.size() == 1)
        return S.enqueue(ps[0])                - unit facts are enqueued
    else
        - Allocate clause:
        Clause c = new Clause
        ps.moveTo(c.lits)
        c.learnt = learnt
        c.activity = 0                        - only relevant for learnt clauses

        if (learnt)
            - Pick a second literal to watch:
            "Let max_i be the index of the literal with highest decision level"
            c.lits[1] = ps[max_i], c.lits[max_i] = ps[1]

            - Bumping:
            S.clkBumpActivity(c) - newly learnt clauses should be considered active
            for (int i = 0; i < ps.size(); i++)
                S.varBumpActivity(ps[i]) - variables in conflict clauses are bumped

        - Add clause to watcher lists:
        S.watches[index(¬c.lits[0])].push(c)
        S.watches[index(¬c.lits[1])].push(c)
        out_clause = c

    return TRUE

```

Fig. 8. Constructor function for clauses. Returns FALSE if top-level conflict is detected. 'out_clause' may be set to NULL if the new clause is already satisfied under the current top-level assignment. **Post-condition:** 'ps' is cleared. For learnt clauses, all literals will be false except 'lits[0]' (this by design of the *analyze()* method). For the propagation to work, the second watch must be put on the literal which will first be unbound by backtracking. (Note that none of the learnt-clause specific things needs to be done for a user defined constraint type.)

An implementation of this procedure is displayed in *Figure 9*. It starts by dequeuing a literal and clearing the watcher list for that literal by moving it to “tmp”. The propagate method is then called for each constraint of “tmp”. This will re-insert watches into new lists. Should a conflict be detected during the traversal of “tmp”, the remaining watches will be copied back to the original watcher list, and the propagation queue cleared.

The method for enqueueing unit information is relatively straightforward. Note that the same fact can be enqueued several times, as it may be propagated from different constraints, but it will only be put on the propagation queue once.

It may be that later enqueueings have a “better” reason (determined heuristically) and a small performance gain was achieved in SATZOO by changing reason if the new reason was smaller than the previously stored. The changing affects the conflict clause generation described in the next section.

[Discussion]

4.4 Learning

This section describes the conflict-driven clause learning. It was first described in [MS96] and is one of the major advances of SAT-technology in the last decade.

We describe the basic conflict-analysis algorithm by an example. Assume the database contains the clause $\{x, y, z\}$ which just became unsatisfied during propagation. This is our conflict. We call $\bar{x} \wedge \bar{y} \wedge \bar{z}$ the reason set of the conflict. Now x is false because \bar{x} was propagated from some constraint. We ask that constraint to give us the reason for propagating \bar{x} (the *calcReason()* method). It will respond with another conjunction of literals, say $u \wedge v$. These were the variable assignment that implied \bar{x} . The constraint may in fact have been the clause $\{\bar{u}, \bar{v}, \bar{x}\}$. From this little analysis we know that $u \wedge v \wedge \bar{y} \wedge \bar{z}$ must also lead to a conflict. We may prohibit this conflict by adding the clause $\{\bar{u}, \bar{v}, y, z\}$ to the clause database. This would be an example of a *learned* conflict clause.

In the example, we picked only one literal and analyzed it one step. The process of expanding literals with their reason sets can be continued, in the extreme case until all the literals of the conflict set are decision variables (which were not propagated by any constraints). Different learning schemes based on this process have been proposed. Experimentally the “First Unique Implication Point” (First UIP) heuristic has been shown effective [ZM01]. We will not give the definition of UIPs here, but just state the algorithm: In a breadth-first manner, continue to expand literals of the current decision level, until there is just one left.

In the code for *analyze()*, displayed in *Figure 10*, we make use of the fact that a breadth-first traversal can be achieved by inspecting the trail backwards. Especially, the variables of the reason set of p is always before p in the trail. Furthermore, in the algorithm we initialize p to \perp_{lit} , which will make *calcReason()* return the reason for the conflict.

Assuming x to be the unit information that causes the conflict, an alternative implementation would be to calculate the reason for \bar{x} and just add x to that set. The code would be slightly more cumbersome but the contract for *calcReason()* would be simpler, as we no longer need the special case for \perp_{lit} .

[Discussion]

```

Constr Solver.propagate()
  while (propQ.size() > 0)
    lit p = propQ.dequeue()      - 'p' is now the enqueued fact to propagate
    Vec<Constr> tmp               - 'tmp' will contain the watcher list for 'p'
    watches[index(p)].moveTo(tmp)

    for (int i = 0; i < tmp.size(); i++)
      if (!tmp[i].propagate(this, p))
        - Constraint is conflicting; copy remaining watches to 'watches[p]'
        - and return constraint:
        for (int j = i+1; j < tmp.size(); j++)
          watches[index(p)].push(tmp[j])
        propQ.clear()
        return tmp[i]
  return NULL

bool Solver.enqueue(lit p, Constr from = NULL)
  if (value(p) != ⊥)
    if (value(p) == FALSE⊥)
      - Conflicting enqueued assignment
      return FALSE
    else
      - Existing consistent assignment - don't enqueue
      return TRUE
  else
    - New fact, store it
    assigns [var(p)] = lbool(!sign(p))
    level   [var(p)] = decisionLevel()
    reason  [var(p)] = from
    trail.push(p)
    propQ.insert(p)
    return TRUE

```

Fig. 9. *propagate()*: Propagates all enqueued facts. If a conflict arises, the *conflicting* clause is returned, otherwise NULL. *enqueue()*: Puts a new fact on the propagation queue, as well as immediately updating the variable's value in the assignment vector. If a conflict arises, FALSE is returned and the propagation queue is cleared. The parameter 'from' contains a reference to the constraint from which 'p' was propagated (defaults to NULL if omitted).

Finally, the analysis not only returns a conflict clause, but also the backtracking level. This is the lowest decision level for which the conflict clause is unit. It is advantageous to backtrack as far as possible [MS96], and is referred to as *back-jumping* or *non-chronological backtracking* in the literature.

4.5 Search

The search method in *Figure 13* works basically as described in section 3 but with the following additions:

Restarts. The first argument of the search method is “nof_conflicts”. The search for a model or a contradiction will only be conducted for this many

```

void Solver.analyze(Constr confl, Vec $\langle$ lit $\rangle$  out_learnt, Int out_btlevel)

    Vec $\langle$ bool $\rangle$  seen(nVars(), FALSE)
    int      counter = 0
    lit      p      =  $\perp_{lit}$ 
    Vec $\langle$ lit $\rangle$  p_reason

    out_learnt.push()                - leave room for the asserting literal
    out_btlevel = 0
    do
        p_reason.clear()
        confl.calcReason(this, p, p_reason)    - invariant here: confl != NULL

        - TRACE REASON FOR P:
        for (int j = 0; j < p_reason.size(); j++)
            lit q = p_reason[j]
            if (!seen[var(q)])
                seen[var(q)] = TRUE
                if (level[var(q)] == decisionLevel())
                    counter++
                else if (level[var(q)] > 0)    - exclude variables from decision level 0
                    out_learnt.push( $\neg$ q)
                    out_btlevel = max(out_btlevel, level[var(q)])

        - SELECT NEXT LITERAL TO LOOK AT:
        do
            p = trail.last()
            confl = reason[var(p)]
            undoOne()
            while (!seen[var(p)])
                counter--
        while (counter > 0)
        out_learnt[0] =  $\neg$ p

```

Fig. 10. Analyze a conflict and produce a reason clause. **Pre-conditions:** (1) 'out_learnt' is assumed to be cleared. (2) Current decision level must be greater than root level. **Post-conditions:** (1) 'out_learnt[0]' is the asserting literal at level 'out_btlevel'. **Effect:** Will undo part of the trail, but not beyond last decision level.

```

void Solver.record(Vec $\langle$ lit $\rangle$  clause)

    Clause c                - will be set to created clause, or NULL if 'clause[]' is unit
    Clause_new(this, clause, TRUE, c)                - cannot fail at this point
    enqueue(clause[0], c)                - cannot fail at this point
    if (c != NULL) learnts.push(c)

```

Fig. 11. Record a clause and drive backtracking. Pre-condition: 'clause[0]' must contain the asserting literal. In particular, 'clause[]' must not be empty.

conflicts. If failing to solve the SAT-problem within the bound, all assumptions will be canceled and \perp returned. The surrounding solver strategy will then restart the search, possibly with a new set of parameters.

Reduce. The second argument, “`nof_learnts`”, sets an upper limit on the number of learnt clauses that are kept. Once this number is reached, *reduceDB()* is called. Clauses that are currently the reason for a variable assignment are said to be *locked* and cannot be removed by *reduceDB()*. For this reason, the limit is extended by the number of assigned variables, which approximates the number of locked clauses.

Parameters. The third argument to the search method groups some tuning constants. In the current version of MINISAT, it only contains the decay factors for variables and clauses.

Root-level. To support incremental SAT, the concept of a *root-level* is introduced. The root-level acts a bit as a new top-level. Above the root-level are the incremental assumptions passed to *solve()* (if any). The search procedure is not allowed to backtrack above the root-level, as this would change the incremental assumptions. If we reach a conflict at root-level, the search will return FALSE.

A problem with the approach presented here is conflict clauses that are unit. For these, *analyze()* will always return a backtrack level of 0 (top-level). As unit clauses are treated specially, they are never added to the clause database. Instead they are enqueued as facts to be propagated (see the code of *Clause_new()*). There would be no problem if this was done at top-level. However, the search procedure will only undo until root-level, which means that the unit fact will be enqueued there. Once *search()* has solved the current SAT-problem, the surrounding solver strategy will undo any incremental assumption and put the solver back at the top-level. By this the unit clause will be forgotten, and the next incremental SAT problem will have to infer it again.

A solution to this is to store the learnt unit clauses in a vector and re-insert them at top-level before the next call to *solve()*. The reason for omitting this in MINISAT is that we have not seen any performance gain by this extra handling in our applications [ES03,CS03]. Simplicity thus dictates that we leave it out of the presentation.

Simplify. Provided the root-level is 0 (no assumptions were passed to *solve()*) the search will return to the top-level every time a unit clause is learnt. At that point it is legal to call *simplifyDB()* to simplify the problem constraints according to the top-level assignment. If a stronger simplifier than presented here is implemented, a contradiction may be found, in which case the search should be aborted. As our simplifier is not stronger than normal propagation, it can never reach a contradiction, so we ignore the return value of *simplify()*.

<pre> void Solver.undoOne() lit p = trail.last() var x = var(p) assigns [x] = \perp reason [x] = NULL level [x] = -1 order.undo(x) trail.pop() while (undos[x].size() > 0) undos[x].last().undo(this, p) undos[x].pop() </pre>	<pre> bool Solver.assume(lit p) trail_lim.push(trail.size()) return enqueue(p) void Solver.cancel() int c = trail.size() - trail_lim.last() for (; c != 0; c--) undoOne() trail_lim.pop() void Solver.cancelUntil(int level) while (decisionLevel() > level) cancel() </pre>
---	--

Fig. 12. *assume()*: returns FALSE if immediate conflict. **Pre-condition:** propagation queue is empty. *undoOne()*: unbinds the last variable on the trail. *cancel()*: reverts to the state before last *push()*. **Pre-condition:** propagation queue is empty. *cancelUntil()*: cancels several levels of assumptions.

4.6 Activity heuristics

The implementation of activity is shown in *Figure 14*. Instead of actually multiplying all variables by a decay factor after each conflict, we bump variables with larger and larger numbers. Only relative values matter. Eventually we will reach the limit of what is representable by a floating point number. At that point, all activities are scaled down.

In the *VarOrder* data type of MINISAT, the list of variables is kept sorted on activity at all time. The backtracking will always accurately choose the most active variable. The original suggestion for the VSIDS dynamic variable ordering was to sort periodically.

The polarity of a literal is ignored in MINISAT. However, storing the latest polarity of a variable might improve the search when restarts are used, but it remains to be empirically supported. Furthermore, the interface of *VarOrder* can be used for other variable heuristics. In SATZOO, an initial static variable order computed from the clause structure was particularly successful on many problems.

[Discussion]

4.7 Constraint removal

The methods for reducing the set of learnt clauses as well as the top-level simplification procedure can be found in *Figure 15*.

When removing learnt clauses, it is important not to remove so called *locked* clauses. Locked clauses are those participating in the current backtracking branch by being the reason (through propagation) for a variable assignment. The reduce procedure keeps half of the learnt clauses, except for those which have decayed below a threshold limit. Such clauses can occur if the set of active constraints is very small.

Top-level simplification can be seen as a special case of propagation. Since

[Discussion]

```

lbool Solver.search(int nof_conflicts, int nof_learnts, SearchParams params)

    int conflictC = 0
    var_decay = 1 / params.var_decay
    cla_decay = 1 / params.cla_decay
    model.clear()

    loop
        Constr confl = propagate()
        if (confl != NULL)
            - CONFLICT

            conflictC++
            Vec<lit> learnt_clause
            int backtrack_level
            if (decisionLevel() == root_level)
                return FALSE_⊥
            analyze(confl, learnt_clause, backtrack_level)
            cancelUntil(max(backtrack_level, root_level))
            record(learnt_clause)
            decayActivities()
        else
            - NO CONFLICT

            if (decisionLevel() == 0)
                - Simplify the set of problem clauses:
                simplifyDB() - our simplifier cannot return false here

            if (learnts.size() - nAssigns() ≥ nof_learnts)
                - Reduce the set of learnt clauses:
                reduceDB()

            if (nAssigns() == nVars())
                - Model found:
                model.growTo(nVars())
                for (int i = 0; i < nVars(); i++)
                    model[i] = (value(i) == TRUE_⊥)
                cancelUntil(root_level)
                return TRUE_⊥
            else if (conflictC ≥ nof_conflicts)
                - Reached bound on number of conflicts:
                cancelUntil(root_level) - force a restart
                return ⊥
            else
                - New variable decision:
                lit p = lit(order.select()) - may have heuristic for polarity here
                assume(p) - cannot return false

```

Fig. 13. Search method. Assumes and propagates until a conflict is found, from which a conflict clause is learnt and backtracking performed until search can continue. **Pre-condition:** `root_level == decisionLevel()`.

<pre> void Solver.varBumpActivity(var x) if ((activity[x] += var_inc) > 1e100) varRescaleActivity() order.update(x) void Solver.varDecayActivity() var_inc *= var_decay void Solver.varRescaleActivity() for (int i = 0; i < nVars(); i++) activity[i] *= 1e-100 var_inc *= 1e-100 </pre>	<pre> void Solver.claBumpActivity(Clause c) void Solver.claDecayActivity() void Solver.claRescaleActivity() - <i>Similarly implemented.</i> void Solver.decayActivities() varDecayActivity() claDecayActivity() </pre>
--	--

Fig. 14. Bumping of variable and clause activities.

it is performed under no assumption, anything learnt can be kept forever. The freedom of not having to store derived information separately, with the ability to undo it later, makes it easier to implement stronger propagation.

4.8 Top-level solver

The method implementing MINISAT's top-level strategy can be found in *Figure 16*. It is responsible for making the incremental assumptions and setting the root level. Furthermore, it completes the simple backtracking search with restarts, which are performed less and less frequently. After each restart, the number of allowed learnt clauses is increased.

The code contains a number of hand-tuned constants that have shown to perform reasonable on our applications [ES03,CS03]. The top-level strategy, however, is a productive target for improvements (possibly application dependent). In SATZOO, the top-level strategy contains an initial phase where a static variable ordering is used.

5 Conclusions and Related Work

By this paper, we have provided a minimal reference implementation of a modern conflict-driven SAT-solver. Despite the abstraction layer for boolean constraints, and the lack of more sophisticated heuristics, the performance of MINISAT is comparable to state-of-the-art SAT-solvers. We have tested MINISAT against ZCHAFF and BERKMIN 5.61 on 177 SAT-instances. These instances were used to tune SATZOO for the *SAT 2003 Competition*. As SATZOO solved more instances and series of problems, ranging over all three categories (*industrial*, *handmade*, and *random*), than any other solver in the competition, we feel that this is a good test-set for the overall performance. No extra tuning was done in MINISAT; it was just run once with the constants presented in the code. At a time-out of 10 minutes, MINISAT solved 158 instances, while ZCHAFF solved 147 instances and BERKMIN 157 instances.

Another approach to incremental SAT and non-clausal constraints was presented by Aloul, Ramani, Markov, and Sakallah in their work on SATIRE and PBS [WKS01,ARMS02]. Our implementation differs in that it has a simpler

<pre> void Solver.reduceDB() int i, j double lim = cla_inc / learnts.size() sortOnActivity(learnts) for (i=j=0; i < learnts.size()/2; i++) if (!learnts[i].locked(this)) learnts[i].remove(this) else learnts[j++] = learnts[i] for (; i < learnts.size(); i++) if (!learnts[i].locked(this) && learnts[i].activity() < lim) learnts[i].remove(this) else learnts[j++] = learnts[i] learnts.shrink(i - j) </pre>	<pre> bool Solver.simplifyDB() if (propagate() != NULL) return FALSE for (int type = 0; type < 2; type++) Vec<Constr> cs = type ? (Vec<Constr>)learnts : constrs int j = 0 for (int i = 0; i < cs.size(); i++) if (cs[i].simplify(this)) cs[i].remove(this) else cs[j++] = cs[i] cs.shrink(cs.size()-j) return TRUE </pre>
---	---

Fig. 15. *reduceDB()*: Remove half of the learnt clauses minus some locked clauses. A locked clause is a clauses that is reason to a current assignment. Clauses below a certain lower bound activity are also be removed. *simplifyDB()*: Top-level simplify of constraint database. Will remove any satisfied constraint and simplify remaining constraints under current (partial) assignment. If a top-level conflict is found, FALSE is returned. **Pre-condition:** Decision level must be zero. **Post-condition:** Propagation queue is empty.

<pre> bool Solver.solve(Vec<lit> assumps) SearchParams params(0.95, 0.999) double nof_conflicts = 100 double nof_learnts = nConstraints()/3 lbool status = \perp - PUSH INCREMENTAL ASSUMPTIONS: for (int i = 0; i < assumps.size(); i++) if (!assume(assumps[i]) propagate() != NULL) cancelUntil(0) return FALSE root_level = decisionLevel() - SOLVE: while (status == \perp) status = search((int)nof_conflicts, (int)nof_learnts, params) nof_conflicts *= 1.5 nof_learnts *= 1.1 cancelUntil(0) return status == TRUE_\perp </pre>
--

Fig. 16. Main solve method. **Pre-condition:** If assumptions are used, *simplifyDB()* must be called right before using this method. If not, a top-level conflict (resulting in a non-usable internal state) cannot be distinguished from a conflict under assumptions.

notion of incrementality, and that it contains a well documented interface for non-clausal constraints.

Finally, a set of reference implementations of modern SAT-techniques is present in the OPENSAT project.³ However, the project aim for completeness rather than minimal exposition, as we have chosen in this paper.

6 Exercises

1. Write the code for an *AtMost* constraint. The constraint is satisfied if at most n out of m specified literals are true.
2. Implement a generator for (generalized) pigeon-hole formulas using the new constraints. The generator should take three arguments: number of pigeons, number of holes, and hole capacity. Each pigeon must reside in some pigeon-hole. No hole may contain more pigeons than its capacity.
3. Make an incremental version that adds one pigeon to the problem at a time until the problem becomes unsatisfiable.

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³ <http://www.opensat.org>

Appendix – What is missing from Satzoo?

In order to reduce the size of MINISAT to a minimum, all non-essential parts of SATZOO/SATNIK were left out. Since SATZOO won two categories of the *SAT 2003 Competition*, we chose to present the missing parts here for completeness.

Initial strategies:

- *Burst of random variable orders.* Before anything else, SATZOO runs several passes of about 10-100 conflicts each with the variable order initiated to random. For satisfiable problems, SATZOO can sometimes stumble upon the solution by this strategy. For hard (typically unsatisfiable) problems, important clauses can be learnt in this phase that is outside the "local optimum" that the activity driven variable heuristic will later get stuck in.
- *Static variable ordering.* The second phase of SATZOO is to compute a static variable ordering taking into account how the variables of different clauses relates to each other (see *Figure 17*). Variables often occurring together in clauses will be put close in the variable order. SATZOO uses this static ordering for at least 5000 conflicts and does not stop until progress is halted severely. The static ordering often counters the effect of "shuffling" the problem (changing the order of clauses). The authors believe this phase to be the most important feature left out of MINISAT, and an important part of the success of SATZOO in the competition.⁴

Extra variable decision heuristics:

- *Variable of recent importance.* Inspired by the SAT-solver BERKMIN, occasionally variables from recent (unsatisfied) recorded clauses are picked.
- *Random.* About 1% of the time, a random variable is selected for branching. This simple strategy seems to crack some extra problems without incurring any substantial overhead for other problems. Give it a try!

Other:

- *Equivalent variable substitution.* The binary clauses are checked for cyclic implications. If a cycle is found, a representative is selected and all other variables in the cycle is replaced by this representative in the clause database. This yields a smaller database and fewer variables. The simplification is done periodically, but is most important in the initial phase (some problems can be very redundant).
- *Garbage collection.* SATZOO implements its own memory management which allows clauses to be stored more compactly.
- *0-1-programming.* Pseudo-boolean constraints are supported by SATZOO. This can of course easily be added to MINISAT through the constraint interface.

⁴ The provided code currently has no further motivation beyond the authors' intuition. Indeed it was added as a quick hack two days before the competition.

```

void Solver.staticVarOrder()

- CLEAR ACTIVITY:
for (int i = 0; i < nVars(); i++) activity[i] = 0

- DO SIMPLE VARIABLE ACTIVITY HEURISTIC:
for (int i = 0; i < clauses.size(); i++)
    Clause c = clauses[i]
    double add = pow2(-size(c))
    for (int j = 0; j < size(c); j++) activity[var(c[j])] += add

- CALCULATE THE INITIAL "HEAT" OF ALL CLAUSES:
Vec<Vec<int>> occurs(2*nVars()) - Map literal to list of clause indices
Vec<Pair(double,int)> heat(causes.size()) - Pairs of heat and clause index
for (int i = 0; i < clauses.size(); i++)
    Clause c = clauses[i]
    double sum = 0
    for (int j = 0; j < size(c); j++)
        occurs[index(c[j])].push(i)
        sum += activity[var(c[j])]
    heat[i] = Pair_new(sum, i)

- BUMP HEAT FOR CLAUSES WHOSE VARIABLES OCCUR IN OTHER HOT CLAUSES:
double iter_size = 0
for (int i = 0; i < clauses.size(); i++)
    Clause c = clauses[i]
    for (int j = 0; j < size(c); j++) iter_size += occurs[index(c[j])].size()
int iterations = min((int)(((double)literals / iter_size) * 100), 10)
double disipation = 1.0 / iterations
for (int c = 0; c < iterations; c++)
    for (int i = 0; i < clauses.size(); i++)
        Clause c = clauses[i]
        for (int j = 0; j < size(c); j++)
            Vec<int> os = occurs[index(c[j])]
            for (int k = 0; k < os.size(); k++)
                heat[i].fst += heat[os[k]].fst * disipation

- SET ACTIVITY ACCORDING TO HOT CLAUSES:
sort(heat)
for (int i = 0; i < nVars(); i++) activity[i] = 0

double extra = 1e200
for (int i = 0; i < heat.size(); i++)
    Clause& c = clauses[heat[i].snd]
    for (int j = 0; j < size(c); j++)
        if (activity[var(c[j])] == 0)
            activity[var(c[j])] = extra
            extra *= 0.995

order.updateAll()
var_inc = 1

```

Fig. 17. The static variable ordering of SATZOO. The code is defined only for clauses, not for arbitrary constraints. It must be adapted before it can be used in MINISAT.