# Project 7: AVL Trees

#### Due: Thursday, March 31st @ 10:00pm ET

This is not a team project. Do not copy someone else's work.

# **Assignment Overview**

AVL trees are a self-balancing binary search tree (BST) optimized to maintain logarithmic-time operations regardless of the order in which data is inserted and deleted. First introduced by Soviet computer scientists Georgy Adelson-Velsky and Evgenii Landis in their 1962 paper "An algorithm for the organization of information," AVL trees have stood the test of time and remain a popular choice when a space-efficient data structure supporting fast insertion/search/deletion is necessary.

To motivate AVL trees, it is worth considering a common problem that arises in traditional BSTs. BSTs are designed to perform logarithmic-time insertion/search/deletion, but may operate at linear-time if data is inserted or deleted according to certain patterns which cause the BST to become *unbalanced*. For example, when data is inserted into a traditional BST in sorted (or reverse-sorted) order, the BST will grow leaves in a single direction and effectively turn into a linked list.

If our dataset is small, this may not be a problem—but when we're working with thousands or millions of records in a database, the difference between logarithmic and linear is astounding!

AVL trees improve upon traditional BSTs by *self-balancing* in order to guarantee logarithmic-time operations. In this project, you will be implementing a traditional BST, then an AVL tree from scratch in Python, using the latter to solve a machine learning-inspired application problem. For more information on BSTs and AVL Trees, check out Week 7-8 Content on D2L.

# **Assignment Notes**

- 1. In this project, you'll be using Python Generator objects to traverse a tree in a space-efficient manner. Unlike a traversal returned in the form List[Node] using *O(n)* space, a traversal returning a generator will use *O(1)* space by *yielding* each Node in a sequential, on-demand manner. See this link for a nice introduction to Generators in Python! You can also look back at the March 1st Live Class Activity and Week 7-8 Yield materials in D2L.
- 2. One of the most common errors in this project is forgetting or incorrectly updating the height and rebalancing within functions that change the tree structure (insert and remove). Read the notes we put under the function description in the specs carefully and think about how you can use recursion/call stack to help you rebalance the tree. What is the call stack's relationship to the node which you removed/inserted?

3. AVL Trees are more complicated structures than what you have worked with before but if you boil each function down to the different cases within them, then it begins to look a lot simpler. Try to decompose each function into what checks/cases you need to look for before an operation. Checks like is the node I'm removing/inserting the origin? Is there a right node before I make a call on node.right? Am I updating the correct pointers?

- 4. The debugger is your friend! Do not be scared to use it, it is worth the extra time to learn its functionality if you haven't yet. Use it to determine if what you think your code is doing, is actually what it's doing! It's the most helpful tool when trying to figure out why your more complex functions aren't working.
- 5. We have provided visualization and printing functions for when you just want to get a birds eye view of your tree and don't want to have to click through several levels of objects in the debugger. Using the visualization functions is as simple as calling tree.visualize() on an object of type BinarySearch, AVLTree or NearestNeighborClassifier. We are, of course, human, and cannot guarantee these visualizations are 100% bug free; if you encounter issues, let us know.
- 6. Using global variables (with the nonlocal keyword) will result in a 20 point flat-rate deduction.
- 7. Changing function signatures will result in a 2 point deduction for each violation, up to a maximum of 20 points.
- 8. Note that the amount of duplicate code this project could be reduced by the use of inheritance. In particular, the code for search and insert within the BinarySearchTree and AVLTree classes will look quite similar in this project, and could be reused if we had made the AVLTree class a subclass of the BinarySearchTree class. We intentionally avoided bringing inheritance into this project to keep the syntax lightweight and to avoid adding a layer of object-oriented complexity, but it is a useful thought exercise to consider how one could implement the AVLTree class as a subclass of the BinarySearchTree class.
- 9. If you run the solution.py file after implementing all BinarySearchTree and AVLTree functions, you will be greeted by a performance comparison between the two trees!

# **Assignment Specifications**

#### class Node:

Implements a tree node used in the BinarySearchTree and AVLTree classes.

DO NOT MODIFY the following attributes/functions

#### Attributes

- value: T: Value held by the Node. Note that this may be any type, such as a str, int, float, dict, or a more complex object.
- o parent: Node: Reference to this Node's parent Node (may be None).
- left: Node: Reference to this Node's left child Node (may be None).
- **right: Node:** Reference to this Node's right child Node (may be None).
- height: int: Number of levels of Nodes below (the height of a leaf Node is 0).
- \_\_init\_\_(self, value: T, parent: Node = None, left: Node = None, right: Node = None) -> None
  - Constructs an AVL Tree node.

- value: T: Value held by the Node.
- o parent: Node: Reference to this Node's parent Node (may be None).
- **left: Node:** Reference to this Node's left child Node (may be None).
- o **right: Node:** Reference to this Node's right child Node (may be None).
- Returns: None.
- \_str\_(self) -> str and \_repr\_(self) -> str
  - Represents the Node as a string in the form <value\_held\_by\_node>. Thus, <7> indicates a Node object holding an int value of 7, whereas <None> indicates a Node object holding a value of None.
  - Note that Python will automatically invoke this function when using printing a Node to the console, and PyCharm will automatically invoke this function when displaying a Node in the debugger.
  - Call this with str(node) (rather than node.\_\_str\_()).
  - Returns: str.

### class BinarySearchTree:

Implements a traditional BST.

DO NOT MODIFY the following attributes/functions

#### Attributes

- origin: Node: Root node of the entire BSTree (may be None). This naming convention helps us disambiguate between when we are referring to the root of the entire BSTree and the root of a subtree within the BSTree. In fact, any given Node object within an BSTree can be thought of as being the root of the subtree of all Nodes below—and origin is the uppermost such root in our tree.
- o size: int: Number of nodes in the BSTree.
- \_init\_(self) -> None
  - Construct an empty BSTree. Initialize the origin to None and set the size to zero.
  - Returns: None.
- \_str\_(self) -> str and \_repr\_(self) -> str
  - Returns a pretty printed string representation of the binary tree. Each node will be of the form
    {value}, h={height}, f{parent.value}
  - Note that Python will automatically invoke this function when using printing a Node to the console, and PyCharm will automatically invoke this function when displaying a Node in the debugger.
  - Call this with str(node) (rather than node.\_\_str\_\_()).
  - Returns: str.
- visualize(self, filename="bst\_visualization.svg") -> str
  - Generates an svg image file of the binary tree.
  - filename: str: The filename for the generated svg file. Should end with .svg. Defaults to bst\_visualization.svg
  - **Returns:** The svg string.

IMPLEMENT the following functions

height(self, root: Node) -> int

• Return height of a subtree in the BSTree, properly handling the case of root = None. Recall that the height of an empty subtree is -1.

- Time / Space: O(1) / O(1).
- root: Node: The root Node of the subtree being measured.
- **Returns:** Height of the subtree at root, i.e., the number of levels of Nodes below this Node. The height of a leaf Node is 0, and the height of a None-type is -1.

#### • insert(self, root: Node, val: T) -> None

- Insert a node with val into the subtree rooted at root, returning the root node of the balanced subtree after insertion.
- o If val already exists in the tree, do nothing.
- Should update size and origin attributes of the object if necessary and correctly set parent/child pointers when inserting a new Node
- Easiest to implement recursively.
- Time / Space: O(h) / O(1), where h is the height of the tree.
- root: Node: The root Node of the subtree in which to insert val.
- val: T: The value to be inserted in the subtree rooted at root.
- Returns: None

#### • remove(self, root: Node, val: T) -> Node

- Remove the node with value val from the subtree rooted at root, and return the root of the subtree following removal.
- o If val does not exist in the BST tree, do nothing.
- Should update size and origin attributes of the object and correctly update parent/child pointers of Node objects as necessary.
- Should update the height attribute on all Node objects affected by the removal (ancestor nodes directly above on path to origin).
- Recall that there are three distinct cases of BST removal to consider.
- Easiest to implement recursively.
- If the node being removed has two children, swap the value of this node with its **predecessor** and recursively remove this predecessor node (which contains the value to be removed after swapping and is guaranteed to be a leaf).
  - Although one technically could swap values with the successor node in a two-child removal, our testcases assume you will swap with the predecessor.
- Time / Space: O(h) / O(1), where h is the height of the tree.
- o root: Node: The root Node of the subtree from which to delete val.
- val: T: The value to be deleted from the subtree rooted at root.
- Returns: Root of new subtree after removal (could be the original root).

#### search(self, root: Node, val: T) -> Node

- Find and return the Node with the value val in the subtree rooted at root.
- o If val does not exist in the subtree rooted at root, return the Node below which val would be inserted as a child. For example, on a balanced 1-2-3 tree (with 2 on top and 1, 3 as children), search(node\_2, 0) would return node\_1 since the value of 0 would be inserted as a left child of node\_1.

- Easiest to implement recursively.
- Time / Space: O(h) / O(1), where h is the height of the tree.
- o root: Node: The root Node of the subtree in which to search for val.
- **val: T:** The value being searched in the subtree rooted at root.
- Returns: Node object containing val if it exists, else the Node object below which val would be
  inserted as a child.

#### class AVLTree:

Implements a self-balancing BST for faster operation.

DO NOT MODIFY the following attributes/functions

#### Attributes

- origin: Node: Root node of the entire AVLTree (may be None). This naming convention helps us disambiguate between when we are referring to the root of the entire AVLTree and the root of a subtree within the AVLTree. In fact, any given Node object within an AVLTree can be thought of as being the root of the subtree of all Nodes below—and origin is the uppermost such root in our tree.
- size: int: Number of nodes in the AVLTree.
- \_\_init\_\_(self) -> None
  - Construct an empty AVLTree. Initialize the origin to None and set the size to zero.
  - o Returns: None.
- \_str\_(self) -> str and \_repr\_(self) -> str
  - Returns a pretty printed string representation of the binary tree. Each node will be of the form
    {value},h={height},f{parent.value}
  - Note that Python will automatically invoke this function when using printing a Node to the console, and PyCharm will automatically invoke this function when displaying a Node in the debugger.
  - Call this with str(node) (rather than node. str ()).
  - Returns: str.
- visualize(self, filename="avl\_tree\_visualization.svg") -> str
  - Generates an svg image file of the binary tree.
  - filename: str: The filename for the generated svg file. Should end with .svg. Defaults to avl\_tree\_visualization.svg
  - **Returns:** The svg string.

### left\_rotate(self, root: Node) -> Node

- Perform a left rotation on the subtree rooted at root. Return root of new subtree after rotation.
- This method is already implemented for you.
- Time / Space: O(1) / O(1).
- o root: Node: The root Node of the subtree being rotated.
- Returns: Root of new subtree after rotation.

#### remove(self, root: Node, val: T) -> Node

- o This method is already implemented for you.
- Removes the node with value val from the subtree rooted at root, and returns the root of the balanced subtree following removal.
- o If val does not exist in the AVL tree, do nothing.
- Updates size and origin attributes of AVLTree and correctly update parent/child pointers of Node objects as necessary.
- Updates the height attribute and call rebalance on all Node objects affected by the removal (ancestor nodes directly above on path to origin).
- Note that that there are three distinct cases of BST removal to consider.
- o Implemented recursively.
- If the node being removed has two children, swaps the value of this node with its **predecessor** and recursively removes this predecessor node (which contains the value to be removed after swapping and is guaranteed to be a leaf).
  - Although one technically could swap values with the successor node in a two-child removal, we choose to swap with the predecessor.
- *Time / Space: O(log n) / O(1).*
- root: Node: The root Node of the subtree from which to delete val.
- val: T: The value to be deleted from the subtree rooted at root.
- Returns: Root of new subtree after removal and rebalancing (could be the original root).

#### IMPLEMENT the following functions

#### • height(self, root: Node) -> int

- Return height of a subtree in the AVL tree, properly handling the case of root = None. Recall that the height of an empty subtree is -1.
- Time / Space: O(1) / O(1).
- **root: Node:** The root Node of the subtree being measured.
- **Returns:** Height of the subtree at root, i.e., the number of levels of Nodes below this Node. The height of a leaf Node is 0, and the height of a None-type is -1.

#### right\_rotate(self, root: Node) -> Node

- Perform a right rotation on the subtree rooted at root. Return root of new subtree after rotation.
- This should be nearly identical to left\_rotate, with only a few lines differing. Team 331 agreed that giving one rotation helps ease the burden of this project on the heels of Exam 2—but writing the other rotation will be a good learning experience!
- Time / Space: O(1) / O(1).
- o **root: Node:** The root Node of the subtree being rotated.
- Returns: Root of new subtree after rotation.

#### balance\_factor(self, root: Node) -> int

- Compute the balance factor of the subtree rooted at root.
- Recall that the balance factor is defined to be h\_L h\_R where h\_L is the height of the left subtree beneath this Node and h\_R is the height of the right subtree beneath this Node.

 Note that in a properly-balanced AVL tree, the balance factor of all nodes in the tree will be in the set {-1, 0, +1}, as rebalancing will be triggered when a node's balance factor becomes -2 or +2.

- The balance factor of an empty subtree (None-type root) is 0.
- To stay within time complexity, keep the height attribute of each Node object updated on all insertions/deletions/rebalances, then use h\_L = left.height and h\_R = right.height.
- Time / Space: O(1) / O(1).
- **root: Node:** The root Node of the subtree on which to compute the balance factor.
- **Returns:** int representing the balance factor of root.

#### • rebalance(self, root: Node) -> Node

- Rebalance the subtree rooted at root (if necessary) and return the new root of the resulting subtree.
- Recall that rebalancing is only necessary at this root if the balance factor b of this root satisfies
   b >= 2 or b <= -2.</li>
- Recall that there are four types of imbalances possible in an AVL tree, and that each requires a different sequence of rotation(s) to be called.
- Time / Space: O(1) / O(1).
- root: Node: The root Node of the subtree to be rebalanced.
- **Returns:** Root of new subtree after rebalancing (could be the original root).

#### insert(self, root: Node, val: T) -> Node

- Insert a node with val into the subtree rooted at root, returning the root node of the balanced subtree after insertion.
- If val already exists in the AVL tree, do nothing.
- Should update size and origin attributes of AVLTree if necessary and correctly set parent/child pointers when inserting a new Node
- Should update the height attribute and call rebalance on all Node objects affected by the insertion (ancestor nodes directly above on path to origin).
- Easiest to implement recursively.
- ∘ *Time / Space: O(log n) / O(1).*
- root: Node: The root Node of the subtree in which to insert val.
- val: T: The value to be inserted in the subtree rooted at root.
- **Returns:** Root of new subtree after insertion and rebalancing (could be the original root).

#### • min(self, root: Node) -> Node

- Find and return the Node with the smallest value in the subtree rooted at root.
- Easiest to implement recursively.
- ∘ *Time / Space: O(log n) / O(1).*
- root: Node: The root Node of the subtree in which to search for a minimum.
- Returns: Node object containing the smallest value in the subtree rooted at root.

#### max(self, root: Node) -> Node

- Find and return the Node with the largest value in the subtree rooted at root.
- Easiest to implement recursively.

- $\circ$  Time / Space:  $O(\log n) / O(1)$ .
- root: Node: The root Node of the subtree in which to search for a maximum.
- **Returns:** Node object containing the largest value in the subtree rooted at root.

#### search(self, root: Node, val: T) -> Node

- Find and return the Node with the value val in the subtree rooted at root.
- If val does not exist in the subtree rooted at root, return the Node below which val would be inserted as a child. For example, on a balanced 1-2-3 tree (with 2 on top and 1, 3 as children), search(node\_2, 0) would return node\_1 since the value of 0 would be inserted as a left child of node\_1.
- Easiest to implement recursively.
- ∘ *Time / Space: O(log n) / O(1).*
- o root: Node: The root Node of the subtree in which to search for val.
- val: T: The value being searched in the subtree rooted at root.
- Returns: Node object containing val if it exists, else the Node object below which val would be
  inserted as a child.

#### inorder(self, root: Node) -> Generator[Node, None, None]

- Perform an inorder (left, current, right) traversal of the subtree rooted at root using a Python generator.
- Use yield to immediately generate an element in your function, and yield from to generate an element from a recursive function call.
- Do not yield (generate) None-types.
- To pass the test case for this function, you must also make the AVLTree class iterable such that doing for node in avltree iterates over the inorder traversal of the tree
- *Time / Space: O(n) / O(1).* 
  - Although we will traverse the entire tree and hence incur O(n) time, our use of a generator will keep us at constant space complexity since elements are yielded one at a time! This is a key advantage of returning a generator instead of a list.
- o root: Node: The root Node of the subtree currently being traversed.
- Returns: Generator object which yields Node objects only (no None-type yields). Once all nodes
  of the tree have been yielded, a StopIteration exception is raised.

### \_iter\_(self) -> Generator[Node, None, None]

- Implementing this "dunder" method allows you to use an AVL tree class object anywhere you can
  use an iterable, e.g., inside of a for node in tree expression. We want the iteration to use the
  inorder traversal of the tree so this should be implemented such that it returns the inorder
  traversal.
- This function should only be one line, calling another function you have implemented.
- o Returns: A generator that iterates over the inorder traversal of the tree

#### preorder(self, root: Node) -> Generator[Node, None, None]

 Perform a preorder (current, left, right) traversal of the subtree rooted at root using a Python generator.

• Use yield to immediately generate an element in your function, and yield from to generate an element from a recursive function call.

- o Do not yield (generate) None-types.
- Time / Space: O(n) / O(1).
  - Although we will traverse the entire tree and hence incur O(n) time, our use of a generator will keep us at constant space complexity since elements are yielded one at a time! This is a key advantage of returning a generator instead of a list.
- **root: Node:** The root Node of the subtree currently being traversed.
- Returns: Generator object which yields Node objects only (no None-type yields). Once all nodes
  of the tree have been yielded, a StopIteration exception is raised.

### postorder(self, root: Node) -> Generator[Node, None, None]

- Perform a postorder (left, right, current) traversal of the subtree rooted at root using a Python generator.
- Use yield to immediately generate an element in your function, and yield from to generate an element from a recursive function call.
- Do not yield (generate) None-types.
- ∘ *Time / Space: O(n) / O(1).* 
  - Although we will traverse the entire tree and hence incur O(n) time, our use of a generator will keep us at constant space complexity since elements are yielded one at a time! This is a key advantage of returning a generator instead of a list.
- **root: Node:** The root Node of the subtree currently being traversed.
- Returns: Generator object which yields Node objects only (no None-type yields). Once all nodes
  of the tree have been yielded, a StopIteration exception is raised.

#### levelorder(self, root: Node) -> Generator[Node, None, None]

- Perform a level-order (breadth-first) traversal of the subtree rooted at root using a Python generator.
- Use the builtin queue.SimpleQueue class to maintain your queue of children throughout the course of the traversal—see the official documentation here.
- Use yield to immediately generate an element in your function, and yield from to generate an element from a recursive function call.
- o Do not yield (generate) None-types.
- Time / Space: O(n) / O(n).
  - We will traverse the entire tree and incur O(n) time. In addition, the queue we must use for an inorder traversal will grow to size n/2 = O(n) just before beginning the final level of leaf nodes in the case of a perfect binary tree.
- root: Node: The root Node of the subtree currently being traversed.
- Returns: Generator object which yields Node objects only (no None-type yields). Once all nodes
  of the tree have been yielded, a StopIteration exception is raised.

# Application: k-Nearest Neighbors

You are a member of the development team for Avida-ED: a truly fascinating program developed at MSU that lets you simulate the process of evolution (natural selection, mutations, genetic drift and the like). You recently

came up with the *ground-breaking* idea of integrating *tree*-dwellers - a digital organism that lives in binary trees - into Avida.

So, you get to work and get a prototype up and running. To test your prototype, you decided to go ahead and run your simulation overnight. Unfortunately for you, there was a memory leak in your program, and it crashed your entire computer (That's what you get for using C++ over Rust). Luckily you were able to salvage some of the results and saw that you were indeed able to produce tree dwellers that evolved, but some things were missing - including the timestamps for when each one evolved. You initially try to recover the data manually but see that there is too much. But, there is hope: it seems that the timestamps can be roughly inferred from the properties which were not lost.

So, you decide to make full use of the labels that you generated manually by using them to train a k-Nearest Neighbor classifier.

# **Background Information**

Classification refers to the task of categorizing data into one of several buckets. For example, the task of deciding whether an email is "spam" or "not spam" can be viewed as a classification problem. Traditionally, classification problems were solved by using conditional statements to create *rule-based* classifiers—but such rule-based classifiers are difficult to implement, maintain, and tune.

As such, machine learning based classifiers have gained popularity. In contrast to rule-based classifiers, machine learning-based classifiers figure out how to classify new data on their own by learning the patterns embedded in previously-observed, labeled data.

A *k*-Nearest Neighbor classifier is one type of machine learning-based classifier that compares a new data point to its closest *neighbors* with known class labels. In this application problem, you'll be implementing two functions of a custom NearestNeighborClassifier class.

### **Problem Description**

Given a *training* dataset of (x, y) pairs and *testing* dataset of x values, your NearestNeighborClassifier will:

- 1. fit() a one-dimensional k-Nearest Neighbor classifier to the training data, and
- 2. predict() the class labels of testing data based on the patterns observed in the training data.

More specifically, the training dataset will be a List[tuple(float, str)] of (x: float, y: str) tuples, where x can be thought of as a *feature* and y can be thought of as a *target label*. The goal of fit() is for the NearestNeighborClassifier to *learn* the associations between features x and target labels y, and the goal of predict() is for the NearestNeighborClassifier to predict the unknown labels of features x.

For example, suppose we have a dataset of (temperature, season) pairs in which the x: float value is the daily high temperature on a scale of 0 to 1 and the y: str value indicates the season in which the temperature was recorded, with possible values {"spring", "summer", "fall", "winter"}. Then fit() will learn to associate lower temperatures with winter, higher temperatures with summer, and mid-range temperatures with spring and fall Likewise, predict() will take in a temperature x and output the most likely season y in which the temperature was observed.

The specifications below provide further detail.

## fit(self, data: List[Tuple[float, str]]) -> None

- Fits the one-dimensional NearestNeighborClassifier to data by:
  - Rounding each x value to the number of digits specified by self.resolution to obtain a key,
  - Searching for the Node in self.tree with this key, which is guaranteed to exist by construction of self.tree in self. init ().
  - Accessing the AVLWrappedDictionary object stored in node.value, and
  - Updating the dictionary of this node.value by incrementing the number of times class y ended up at this node, i.e., the number of times class y was associated to key.
- Time / Space: O(n log n) / O(n)
  - Searching for a node is O(log n) and must be completed O(n) times to save O(n) dictionary entries.
- o data: List[Tuple[float, str]]: A list of (x: float, y: str) pairs associating feature x values in the range [0, 1] to target y values. Provides the information necessary for our classifier to learn.
- Returns: None.

# predict(self, x: float, delta: float) -> str

- Predicts the class label of a single x value by:
  - Rounding x to the number of digits specified by self.resolution to obtain a key,
  - Searching for all Node objects in self.tree whose key is within ± delta of this key,
  - Accessing the AVLWrappedDictionary object stored in all such node.values, and
  - Taking the most common y label across all dictionaries stored in these node.values.
- Note that this process effectively predicts the class y based on the most common y observed in training data close to this x
- If no data in self.tree from the training set has a key within key ± delta, return None.
- $\circ$  Time / Space: O(k log n) / O(1)
  - Here, k = (delta\*10\*\*resolution + 1) is the number of neighbors being searched in self.tree, and each search is an O(log n) operation.
- **x: float:** Feature value in range [0, 1] with unknown class to be predicted.
- o **delta: float:** Width of interval to search across for neighbors of x.
- Returns: str of the predicted class label y

To implement fit() and predict(), you'll need to understand how the NearestNeighborClassifier is constructed in its provided \_\_init\_\_() function. Additionally, you'll need to know how the AVLWrappedDictionary class works!

## class NearestNeighborClassifier

DO NOT MODIFY the following attributes/functions

#### Attributes

- tree: AVLTree: Structure storing labeled training data, filled in fit() and used in predict().
- resolution: int: Number of decimal places to which feature x values are rounded.
- \_\_init\_\_(self, resolution: int) -> None
  - Initialize the NearestNeighborClassifier with 10\*\*resolution + 1 nodes in self.tree,
     each storing a value of type AVLWrappedDictionary.

- For example, if resolution == 1, then 11 nodes will be created with keys 0, 0.1, 0.2 ..., 1.0, and if resolution == 2, then 101 nodes will be created with keys 0, 0.01, 0.02, ..., 1.0.
- **resolution: int:** Number of decimal places to which feature x values are rounded.
- Returns: None.
- \_str\_(self) -> str and \_repr\_(self) -> str
  - Represents the NearestNeighborClassifier as a string.
  - Returns: str.
- visualize(self, filename="nnc visualization.svg") -> None
  - Generates an svg image file of the nnc tree.
  - filename: str: The filename for the generated svg file. Should end with .svg. Defaults to nnc\_visualization.svg
  - **Returns:** The svg string.

#### IMPLEMENT the following functions

- fit(self, data: List[Tuple[float, str]]) -> None
  - See above.
- predict(self, x: float, delta: float) -> str
  - See above.

## class AVLWrappedDictionary:

DO NOT MODIFY the following attributes/functions

- Attributes
  - **key: float:** Floating point key which this AVLWrappedDictionary can be searched / looked up by.
  - o dictionary: dict Price of a stock on a given date.
- \_\_init\_\_(self, key: float) -> None
  - Construct a AVLWrappedDictionary, a wrapper object which allows one to store dictionaries inside of a Node in an AVLTree, ordered and searchable by key.
  - key: float: Floating point key which this AVLWrappedDictionary can be searched / looked up by.
  - **Returns:** None.
- \_str\_(self) -> str and \_repr\_(self) -> str
  - Represents the AVLWrappedDictionary as a string.
  - Returns: str.
- \_eq\_(self, other: AVLWrappedDictionary) -> bool
- \_gt\_(self, other: AVLWrappedDictionary) -> bool
- \_lt\_(self, other: AVLWrappedDictionary) -> bool
  - Compare two AVLWrappedDictionarys to one another by key, returning the appropriate boolean value.
  - **other: AVLWrappedDictionary** Other object to compare to.
  - Returns: bool indicating whether this object is equal to, greater than, or less than other, respectively.

#### **Example**

Suppose we have a dataset of images, and wish to classify them by whether they were taken during the day or at night. We may directly measure the brightness x of each image on a scale of [0, 1], and it stands to reason that x may be a useful predictor of the class label y from {"day", "night"}, since bright images are more likely to be taken during the day and vice-versa for dark images.

Given the labeled dataset

with a NearestNeighborClassifier whose resolution is 1, the tree of our classifier would look as follows after a call to fit(data):

Now, suppose we are given the following brightness observations from unlabeled images

```
test_images = [0.1, 0.2, 0.5, 0.8, 0.9]
```

and asked to predict the class label y from {"day", "night"} for each x in test\_images with delta =
0.1. Then:

- predict(x=0.1, delta=0.1) would look at the total number of "day" and "night" instances in the nodes with keys {0, 0.1, 0.2}, finding 2 "night" instances and 0 "day" instances. Thus, "night" would be predicted.
- predict(x=0.2, delta=0.1) would look at the total number of "day" and "night" instances in the nodes with keys {0.1, 0.2, 0.3}, finding 3 "night" instances and 0 "day" instances. Thus, "night" would be predicted.
- predict(x=0.5, delta=0.1) would look at the total number of "day" and "night" instances in the nodes with keys {0.4, 0.5, 0.6}, finding 1 "night" instance and 2 "day" instances. Thus, "day" would be predicted.
- predict(x=0.8, delta=0.1) would look at the total number of "day" and "night" instances in the nodes with keys {0.7, 0.8, 0.9}, finding 0 "night" instance and 0 "day" instances. Thus, None would be predicted.
- predict(x=0.9, delta=0.1) would look at the total number of "day" and "night" instances in the nodes with keys {0.8, 0.9, 1}, finding 0 "night" instance and 3 "day" instances. Thus, "day" would be predicted.

To summarize, the following code

```
nnc = NearestNeighborClassifier(resolution=1)
nnc.fit(data)
predictions = nnc.predict(test_images)
```

would give

```
predictions = ["night", "night", "day", None, "day"]
```

More cases and precise input/output syntax are provided in the testcases.

Note that the testcases include a plot flag variable to enable (optional) visualization of the training and testing data using numpy and matplotlib. An example plot visualizing the training and testing data for test 1b is shown below. The **visualize function** on the NNC class could also potentially be useful.

# **Submission**

#### **Deliverables**

Be sure to upload the following deliverables in a .zip folder to Mimir by 10:00p ET on Thursday, March 31st.

```
Project07.zip
|- Project07/
|- feedback.xml (for project feedback)
|- __init__.py (for proper Mimir testcase loading)
|- solution.py (contains your solution source code)
```

### Grading

```
• Tests (75)
     Coding Standard: __/3

    README.xml Validity Check: __/3

     • BinarySearchTree: __/9
          insert: __/3
          search: __/3
          remove: __/3
     AVLTree: __/45
          right rotate: __/1
          balance_factor: __/1
          rebalance: _/8
          insert: _/8
          min: __/2
          max: __/2
          search: __/8
          inorder/__iter__: __/1
          preorder: __/1
          postorder: __/1
          levelorder: __/1
          avl_comprehensive: __/10
     NearestNeighborClassifier: __/16
          nnc_basic: __/8
```

- nnc\_comprehensive: \_\_/8
- Manual (25)
  - Time and space complexity points are all-or-nothing for each function. If you fail to meet time
     or space complexity in a given function, you do not receive manual points for that function.
  - Loss of 1 point per missing docstring (max 3 point loss)
  - Loss of 2 points per changed function signature (max 20 point loss)
  - Loss of 20 points (flat-rate) for use of global variables (with the nonlocal keyword)
    - BinarySearchTree time & space: \_\_/6
      - insert: /2
      - search: \_\_/2
      - remove: \_\_/2
    - AVLTree time & space: \_\_/15
      - right\_rotate: \_\_/1
      - balance\_factor: \_\_/2
      - rebalance: /2
      - insert: \_\_/2
      - min: \_\_/1
      - max: /1
      - search: \_\_/2
      - inorder/\_\_iter\_\_:\_/1
      - preorder: \_\_/1
      - postorder: \_\_/1
      - levelorder: \_\_/1
    - NearestNeighborClassifier: \_\_/4
      - fit: /2
      - predict: \_\_/2

# **Appendix**

#### **Authors**

Project authored by Bank Premsri and Joseph Pallipadan. Adapted from work by Andrew McDonald, Jacob Caurdy and Lukas Richters.

#### Memes