#### Nikita Rathi

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## **ACADEMIC QUALIFICATIONS**

- (2024), NMIMS Kirit P. Mehta School of Law, Mumbai BBA LLB (Hons), C.G.P.A 3.16/4.00
- (2026), Institute of Company Secretaries of India (ICSI)

#### **ASSOCIATE TRAINEESHIP & INTERNSHIPS**

# • Shardul Amarchand Mangaldas & Co., Mumbai (Associate Trainee) [July, 2024: 24 weeks]

- Contributed to drafting key investment documents (SSA, SHA, Waiver, and Put Option Agreements) as part of a ₹100+ crore transaction, facilitating seamless execution under tight timelines.
- Supported preparation of legal opinions on complex Coal Mines Development Agreements, enhancing regulatory clarity for internal client teams.
- Assisted in due diligence for PPAs involving renewable energy assets, identifying commercial obligations and compliance flags across six contracts.
- Collaborated in drafting escrow and retention agreements for IREDA-financed solar SPVs, improving standardization in documentation.
- Helped frame responses to indemnity notices served to NIIF, strengthening factual positioning through supporting documentation.
- Drafted litigation summary post-transaction closeout, enabling the deal team to assess post-closing risk exposure.

## Bombay Law Chambers, Mumbai(Intern)

[Apr, 2024: 4 weeks]

- Summarized ESOP schemes for early-stage companies, improving client understanding of plan structure and vesting mechanics.
- Reviewed and revised Secretarial Standards (SS-1 & SS-2) based on gap analysis of minutes, supporting ongoing compliance review.
- Prepared summary on Significant Beneficial Ownership under the Companies Act, aiding in stakeholder mapping for corporate clients.
- Compiled regulatory compliance matrices for due diligence processes, later adopted as internal reference.

## Nishith Desai Associates, Mumbai(Intern)

[Dec, 2023: 4 weeks]

- Contributed to valuation policy document aligned with SEBI and IPEV standards, supporting internal governance enhancement.
- Drafted notes on valuation principles under IPEV guidelines, assisting senior counsels in briefing fund clients.

# Wadia Ghandy & Co., Mumbai(Intern)

[Sep 2023: 8 weeks]

- Conducted research on rights issue and issuance of partially paid-up shares; input integrated into compliance briefings.
- Drafted RPT disclosure summary under Companies Act, reducing review time by highlighting timing considerations.

 Supported due diligence efforts by preparing regulatory checklists and contract summaries for client transactions.

## • Trilegal, Mumbai(Intern)

[April, 2023: 4 weeks]

• Authored internal note on RBI's Master Direction on IT outsourcing, aiding client advisory on vendor risk strategy.

## Jerome Merchant & Partners, Mumbai(Intern)

[Feb, 2023: 8 weeks]

- Assisted in drafting SPAs and SHAs for private equity transactions, contributing to closing documentation for a mid-market investment.
- Prepared research notes on inter-corporate loans and private placements, incorporated into firm's legal resource base.

## Lakshmikumaran & Sridharan, Mumbai (Intern)

[Aug, 2022: 4 weeks]

 Helped draft commercial agreements and meeting minutes, contributing to timely statutory compliance for client filings.

# AZB & Partners, Pune (Intern)

[Dec, 2021: 4 weeks]

• Drafted a Section 138 legal notice under the Negotiable Instruments Act, initiating recovery action in a commercial dispute.

#### **CO-CURRICULAR ACTIVITIES**

- Runners-up, All-India Moot Court Competition 2022 ICSI
- **3rd Place**, ICSI International Justice Day Moot Court Competition 2021, Ministry of Corporate Affairs
- Adjudicator, 10th NALSAR InterVarsity Debating Championship (Semi-Finalist)

#### PERSONAL DETAILS

Area of Interest: Mergers & Acquisition, Private Equity & Venture Capital Transactions

Languages known: Hindi, English