

## Problem Set 4

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## Instructions.

- **When:** This problem set is due on **November 10, 2021** before **11pm ET**.
- **How:** You should use L<sup>A</sup>T<sub>E</sub>X to type up your solutions (you can use our L<sup>A</sup>T<sub>E</sub>X [template](#) from the course webpage). Solutions should be uploaded on Gradescope as a single pdf file.
- **Acknowledge your collaborators:** Collaboration is permitted and encouraged in small groups of at most three. You must write up your solutions *entirely on your own* and *acknowledge your collaborators*.
- **Reference your sources:** If you use material from outside the lectures, you must reference your sources (papers, websites, wikipedia, ...).
- **When in doubt, ask questions:** Use Piazza or the TA office hours for questions about the problem set. See the [course webpage](#) for the timings.

## Problem 1. Commitment issues!

A commitment scheme  $(\langle \mathcal{S}, \mathcal{R} \rangle, \text{Verify})$  for a message space  $\mathcal{M}$  and security parameter  $\lambda$  consists of an interactive protocol between a PPT sender  $\mathcal{S}$  and a PPT receiver  $\mathcal{R}$  as well as an efficient algorithm  $\text{Verify}$ , satisfying correctness, hiding, and binding defined below. We denote running the interactive protocol between the sender  $\mathcal{S}$  with input  $m \in \mathcal{M}$  and the receiver  $\mathcal{R}$  with no input by

$$[(c, d)_{\mathcal{S}}, (c)_{\mathcal{R}}] \leftarrow \langle \mathcal{S}(1^\lambda, m), \mathcal{R}(1^\lambda) \rangle,$$

where  $(c, d)$  is the output of the sender and  $(c)$  is the output of the receiver.  $\text{Verify}$  takes as input  $m, c, d$  and returns **yes** if  $d$  is a valid opening of the commitment  $c$  for the message  $m$  and **no** otherwise.

**Definition 1** (Correctness). A commitment scheme  $(\langle \mathcal{S}, \mathcal{R} \rangle, \text{Verify})$  with message space  $\mathcal{M}$  and security parameter  $\lambda$  satisfies correctness if for all  $m \in \mathcal{M}$ ,

$$\Pr[[(c, d)_{\mathcal{S}}, (c)_{\mathcal{R}}] \leftarrow \langle \mathcal{S}(1^\lambda, m), \mathcal{R}(1^\lambda) \rangle : \text{Verify}(m, c, d) = \text{yes}] = 1.$$

**Definition 2** (Hiding). A commitment scheme  $(\langle \mathcal{S}, \mathcal{R} \rangle, \text{Verify})$  with message space  $\mathcal{M}$  and security parameter  $\lambda$  is said to be perfectly hiding if for all (possibly malicious; possibly unbounded)  $\mathcal{R}^*$  and all messages  $m_0, m_1 \in \mathcal{M}$ :

$$\text{view}_{\mathcal{R}^*}(\langle \mathcal{S}(1^\lambda, m_0), \mathcal{R}^*(1^\lambda) \rangle) \equiv \text{view}_{\mathcal{R}^*}(\langle \mathcal{S}(1^\lambda, m_1), \mathcal{R}^*(1^\lambda) \rangle)$$

where  $\text{view}_{\mathcal{R}^*}$  is everything  $\mathcal{R}^*$  sees while interacting with  $\mathcal{S}$ , i.e., all messages sent between  $\mathcal{S}$  and  $\mathcal{R}^*$  and  $\mathcal{R}^*$ 's internal randomness.

If for all (possibly malicious) PPT recipients  $\mathcal{R}^*$ , the two distributions are computationally indistinguishable, then we say the commitment scheme is computationally hiding and denote it as:

$$\text{view}_{\mathcal{R}^*}(\langle \mathcal{S}(1^\lambda, m_0), \mathcal{R}^*(1^\lambda) \rangle) \approx_c \text{view}_{\mathcal{R}^*}(\langle \mathcal{S}(1^\lambda, m_1), \mathcal{R}^*(1^\lambda) \rangle).$$

**Definition 3** (Binding). A commitment scheme  $(\langle \mathcal{S}, \mathcal{R} \rangle, \text{Verify})$  with message space  $\mathcal{M}$  and security parameter  $\lambda$  is said to be statistically binding if for all (possibly malicious; possibly unbounded)  $\mathcal{S}^*$  and all messages  $m \neq m' \in \mathcal{M}$ :

$$\Pr \left[ [(c, d, d')_{\mathcal{S}^*}, (c)_{\mathcal{R}}] \leftarrow \langle \mathcal{S}^*(1^\lambda), \mathcal{R}(1^\lambda) \rangle : \begin{array}{l} \text{Verify}(m, c, d) = \text{yes}; \\ \text{Verify}(m', c, d') = \text{yes} \end{array} \right] \leq \text{negl}(\lambda).$$

If the statement holds for all (possibly malicious) PPT senders  $\mathcal{S}^*$ , then we say the commitment scheme is computationally binding.

- (a) **Prove that a commitment scheme cannot be simultaneously perfectly hiding and statistically binding.**

**Solution**

Suppose we have a commitment scheme that is correct and perfectly hiding. We will show how to break statistical binding as a malicious, unbounded sender  $\mathcal{S}^*$ . Pick some  $m, m' \in \mathcal{M}$ . Let

$$[(c, d)_{\mathcal{S}^*}, (c)_{\mathcal{R}}] \leftarrow \langle \mathcal{S}(1^\lambda, m), \mathcal{R}(1^\lambda) \rangle.$$

Because the scheme is perfectly hiding, we have that the distributions of the receiver's view while running the commitment protocol on either message is identical, i.e.

$$\text{view}_{\mathcal{R}}(\langle \mathcal{S}(1^\lambda, m), \mathcal{R}(1^\lambda) \rangle) \equiv \text{view}_{\mathcal{R}}(\langle \mathcal{S}(1^\lambda, m'), \mathcal{R}(1^\lambda) \rangle).$$

We can conclude that

$$\Pr[(c', d')_{\mathcal{S}^*}, (c')_{\mathcal{R}}] \leftarrow \langle \mathcal{S}(1^\lambda, m'), \mathcal{R}(1^\lambda) \rangle : c' = c] > 0.$$

By correctness, we then have that

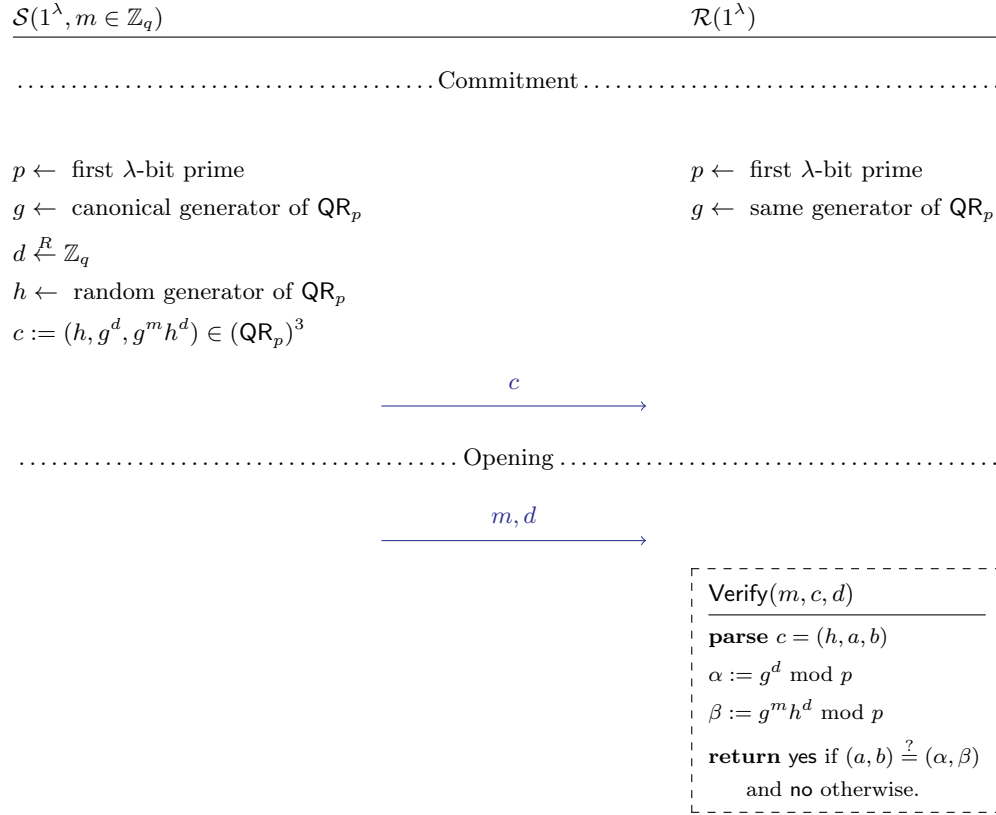
$$\text{Verify}(m, c, d) = \text{yes} \quad \text{and} \quad \text{Verify}(m', c, d') = \text{yes}$$

so the scheme is not statistically binding. As such, we conclude that there cannot exist a commitment scheme that is both perfectly hiding and statistically binding.

- (b) **Construct a *computationally hiding and statistically binding* commitment scheme with message space  $\mathcal{M}$  based on the Decisional Diffie-Hellman (DDH) assumption (where  $p = 2q + 1$  such that  $q$  is also prime). (You can assume e.g.,  $\mathcal{M} = \mathcal{M} = \mathbb{Z}_p^*$ .) Prove your construction is correct, *computationally hiding*, and *statistically binding* under the DDH assumption.**

## Solution

We define the following commitment scheme.



**Correctness.** If the sender commits to  $m \in \mathbb{Z}_q$  then  $c = (h, \underbrace{g^d}_a, \underbrace{g^m h^d}_b)$  for some random  $d$  and where  $g, h$  are random generators. We then have that if  $a = g^d = \alpha$  and  $b = g^m h^d = \beta$  and so the receiver accepts a valid opening.

**Computational hiding.** We first prove that the above scheme is computationally hiding. Assume towards contradiction that it is *not* computationally hiding. Then, there exists a PPT  $\mathcal{A}$  (i.e., the malicious receiver) such that for some non-negligible  $\delta$  and some messages  $m_0 \neq m_1 \in \mathcal{M}$

$$\Pr \left[ \begin{array}{l} b \xleftarrow{R} \{0, 1\}; \\ \text{view}_{\mathcal{A}}^{m_b} \leftarrow \langle \mathcal{S}(1^\lambda, m_b), \mathcal{A}(1^\lambda) \rangle; \quad : \quad b' = b \\ b' \leftarrow \mathcal{A}(1^\lambda, \text{view}_{\mathcal{A}}^{m_b}) \end{array} \right] \geq \frac{1}{2} + \delta(\lambda).$$

Note that for our scheme,  $\text{view}_{\mathcal{R}}(\langle \mathcal{S}(1^\lambda, m), \mathcal{R}(1^\lambda) \rangle) = c$ . Construct PPT  $\mathcal{B}$  which breaks the DDH assumption as follows. We will let  $\mathcal{B}$  act as the sender and  $\mathcal{A}$  act as the recipient.

$\mathcal{B}(\text{QR}_p, g, g^x, g^y, g^z)$	
1 : $b \xleftarrow{R} \{0, 1\}$	
2 : $c \leftarrow (g^x, g^y, g^{m_b} g^z)$	3
3 : $b' \leftarrow \mathcal{A}(1^\lambda, c)$	
4 : <b>return</b> 1 (DDH) if $b = b'$ and 0 (not DDH) otherwise.	

Solution (continued...)

**Analysis:** Suppose that  $\mathcal{B}$  receives as input  $(\text{QR}_p, g, g^x, g^y, g^{xy})$ —i.e., a DDH tuple. Then the commitment  $c = (g^x, g^y, g^{m_b+xy})$  follows the exact distribution of the above scheme (and hence the input expected by  $\mathcal{A}$ ) because  $c = (h, g^y, g^{m_b}h^y)$  for  $h = g^x$ . Hence, we have that  $\mathcal{A}$  succeeds with non-negligible advantage  $\delta(\lambda)$  which transfers to the advantage of  $\mathcal{B}$  in breaking DDH. On the other hand, if  $\mathcal{B}$  receives as input  $(\text{QR}_p, g, g^x, g^y, g^z)$ —i.e., a uniformly random tuple—then  $\mathcal{A}$  receives an invalid commitment  $c = (g^x, g^y, g^{m_b+z})$ . This is *crucially* distributed independently of  $m_b$  given that  $z$  is random and independent of  $x$  and  $y$ . Therefore,  $\mathcal{A}$ 's advantage is 0 and  $\mathcal{B}$  outputs correctly with probability  $\frac{1}{2}$ . The overall advantage of  $\mathcal{B}$  is therefore  $\frac{1}{2}\delta(\lambda)$  which is non-negligible.

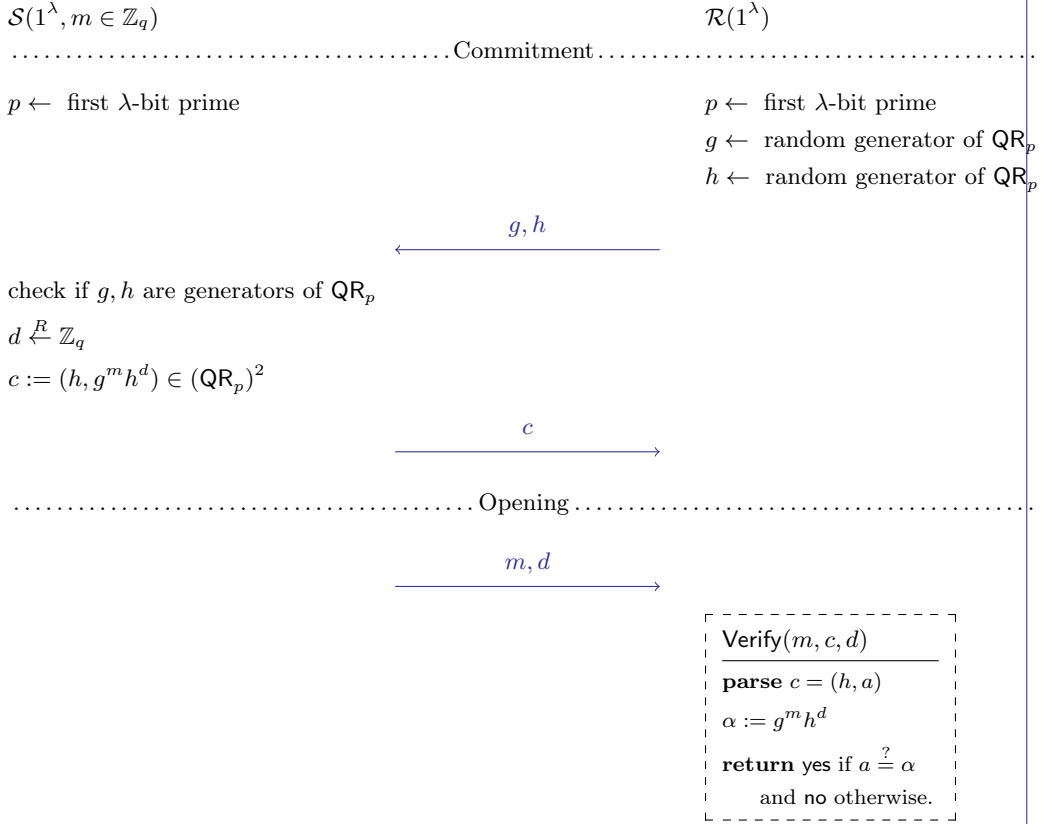
**Statistical binding.** Suppose that the scheme is **not** statistically binding. Then, we have that there exists  $c = (h, a, b), m \neq m', d, d'$  such that

$$\begin{aligned} a &= g^m h^d = g^{m+xd} = g^{m'} h^{d'} = g^{m'+xd'} \pmod{p}, \\ b &= g^d = g^{d'} \pmod{p}. \end{aligned}$$

By assumption that  $m \neq m'$  we get that  $d \neq d'$ . However, this is a contradiction since  $g^d = g^{d'}$  and  $g$  is a generator, thus  $d = d'$ . Therefore, we conclude that there does not exist  $d'$  that opens  $c$  to message  $m'$ , making the scheme statistically binding.

- (c) Construct a *perfectly hiding and computationally binding* commitment scheme based on the hardness of the discrete logarithm problem in  $\mathbb{Z}_p^*$  (where  $p = 2q+1$  such that  $q$  is also prime). (You can assume e.g.,  $\mathcal{M} = \mathcal{M} = \mathbb{Z}_p^*$ .) Prove your construction is correct, *perfectly hiding*, and *computationally binding* under the discrete logarithm assumption.

## Solution



**Correctness.** If the sender commits to  $m \in \mathbb{Z}_q$  then  $c = (h, \underbrace{g^m h^d}_a)$  for some random  $d$  and where  $g, h$  are random generators chosen by the receiver. We then have that if  $a = g^m h^d = \alpha$  so the receiver accepts a valid opening.

**Perfect hiding.** We first prove that the above scheme is perfectly hiding. For our scheme,  $\text{view}_{\mathcal{R}}(\langle \mathcal{S}(1^\lambda, m), \mathcal{R}(1^\lambda) \rangle) = (h, a = g^m h^d) \in (\mathbb{QR}_p)^2$ . Fix arbitrary messages  $m_0, m_1 \in \mathcal{M}$  and malicious, unbounded receiver  $\mathcal{R}^*$ . For all  $h^* \in \mathbb{QR}_p$ , let  $p_{h^*}$  be the probability that  $\mathcal{R}^*$  sends  $h^*$  as its first message. Then for any  $h^*, a^* \in \mathbb{QR}_p$ , we have

$$\begin{aligned}
 & \Pr \left[ [(c = (h, a), d)_{\mathcal{S}}, (c)_{\mathcal{R}^*}] \leftarrow \langle \mathcal{S}(1^\lambda, m_0), \mathcal{R}^*(1^\lambda) \rangle : (h, a) = (h^*, a^*) \right] \\
 &= p_{h^*} \cdot \Pr \left[ d \xleftarrow{R} \mathbb{Z}_q : m_0 + \log h^* \cdot d = \log a^* \right] \\
 &= p_{h^*} \cdot \Pr \left[ d \xleftarrow{R} \mathbb{Z}_q : d = \frac{\log a^* - m_0}{\log h^*} \right] \\
 &= p_{h^*} \cdot \Pr \left[ d \xleftarrow{R} \mathbb{Z}_q : d = \frac{\log a^* - m_1}{\log h^*} \right] \\
 &= \Pr \left[ [(c = (h, a), d)_{\mathcal{S}}, (c)_{\mathcal{R}^*}] \leftarrow \langle \mathcal{S}(1^\lambda, m_1), \mathcal{R}^*(1^\lambda) \rangle : (h, a) = (h^*, a^*) \right]
 \end{aligned}$$

where we use  $\log$  to denote the discrete log with base  $g$ . Thus we can conclude that

$$\text{view}_{\mathcal{R}^*}(\langle \mathcal{S}(1^\lambda, m_0), \mathcal{R}^*(1^\lambda) \rangle) \stackrel{\$}{=} \text{view}_{\mathcal{R}^*}(\langle \mathcal{S}(1^\lambda, m_1), \mathcal{R}^*(1^\lambda) \rangle).$$

### Solution (continued...)

**Computational binding.** We prove that the scheme is computationally binding assuming the hardness of the discrete logarithm problem in  $\text{QR}_q$ . Suppose, towards contradiction, that the scheme is not computationally binding. Then, there exists a PPT  $\mathcal{A}$  (i.e., the sender) such that for some non-negligible function  $\delta(\lambda)$  and pair of messages  $m_0 \neq m_1 \in \mathcal{M}$ :

$$\Pr \left[ [(c, d, d')_{\mathcal{A}}, (c)_{\mathcal{R}}] \leftarrow \langle \mathcal{A}(1^\lambda), \mathcal{R}(1^\lambda) \rangle : \begin{array}{l} \text{Verify}(m, c, d) = \text{yes}; \\ \text{Verify}(m', c, d') = \text{yes} \end{array} \right] \geq \delta(\lambda)$$

We will use  $\mathcal{A}$  to solve the discrete logarithm problem in  $\text{QR}_p$ . Fix any  $m$  and  $m'$  and construct PPT  $\mathcal{B}$  which breaks the DL assumption as follows.

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 $\mathcal{B}(\text{QR}_p, g, h = g^x)$ 
1 : Run  $\mathcal{A}(1^\lambda)$  and simulate the receiver  $\mathcal{R}$  with input  $h$ 
2 :  $\mathcal{A}$  outputs  $(c, d, d')$ 
3 :  $x' \leftarrow (d' - d) \cdot (m_0 - m_1)^{-1} \bmod q$ 
4 : return  $x'$ 

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**Analysis:** If  $\mathcal{A}$  succeeds in outputting  $(c, d, d')$  then  $d' = d + x(m - m')$  where  $h = g^x$ . As such, we have that  $x = (d' - d) \cdot (m - m')^{-1} \bmod q$ . Therefore, if  $\mathcal{A}$  succeeds with probability  $\delta(\lambda)$ , then  $\mathcal{A}$  succeeds in recovering the discrete logarithm of  $g^x$  with probability  $\delta(\lambda)$ , which is non-negligible. By contrapositive, if the discrete logarithm problem is hard, then the scheme is computationally binding.

### Problem 2. Back to MACs

Alice and Bob want to design a simple secret-key message authentication code (MAC) using hash functions. They learned in 6.875 that pseudorandom functions can be used to construct MACs, but they want to try something different. They define  $\Pi = (\text{Gen}, \text{MAC}, \text{Verify})$  as follows, using a hash function  $h : \{0, 1\}^\lambda \rightarrow \{0, 1\}^{\ell(\lambda)}$ :

$\text{Gen}(1^\lambda)$	$\text{MAC}(sk, m \in \{0, 1\}^\lambda)$	$\text{Verify}(sk, m, \sigma)$
1 : $sk \xleftarrow{R} \{0, 1\}^\lambda$	1 : $\sigma \leftarrow h(sk \oplus m)$	1 : $t \leftarrow h(sk \oplus m)$
2 : <b>return</b> $sk$	2 : <b>return</b> $\sigma$	2 : <b>if</b> $\sigma = t$ : <b>return</b> 1
		3 : <b>else</b> : <b>return</b> 0

- (a) For this part, assume that  $h$  is a random oracle. That is, it is a *public random function* that all the algorithms (that is, Gen, MAC and Verify) as well as the adversary have oracle access to. Give a proof in the

random oracle model that  $\Pi$  is an EUF-CMA secure MAC for  $\lambda$ -bit messages.

### Solution

Suppose towards contradiction that  $\Pi$  is not EUF-CMA secure, so we have some PPT  $\mathcal{A}$  which makes some amount of queries to the  $\text{MAC}(sk, \cdot)$  oracle, after which it will output (with non-negligible probability) a forgery  $(m^*, \sigma^*)$  such that  $\text{Verify}(sk, m^*, \sigma^*) = 1$ , i.e.,  $\sigma^* = h(sk \oplus m^*)$  and  $m^*$  was never queried to the  $\text{MAC}(sk, \cdot)$  oracle. We will consider two cases.

**Case 1.**  $\mathcal{A}$  learns  $sk \oplus m^*$  and queries the random oracle on  $sk \oplus m^*$  itself. However, since  $h$  is a random oracle, from  $\mathcal{A}$ 's perspective  $sk \oplus m^*$  is a uniformly random value independent from all the outputs  $h(sk \oplus m)$  it has seen. Since there are  $2^\lambda$  possible values for  $sk \oplus m^*$  that all occur with equal probability (over the choice of  $sk$ ), we have

$$\Pr \left[ \begin{array}{l} sk \leftarrow \text{Gen}(1^\lambda); \\ (m^*, \sigma^*) \leftarrow \mathcal{A}^{\text{MAC}(sk, \cdot)}(1^\lambda) \quad : \quad m^* \notin Q; \\ \sigma^* = h(sk \oplus m^*) \end{array} \right] \leq \frac{1}{2^\lambda} = \text{negl}(\lambda).$$

**Case 2.**  $\mathcal{A}$  doesn't learn  $sk \oplus m^*$ , but manages to guess  $h(sk \oplus m)$ . However, since  $h$  is a random oracle, from  $\mathcal{A}$ 's perspective  $h(sk \oplus m)$  is a uniformly random value independent from all the values it has seen. Since there are  $2^{\ell(\lambda)}$  possible values for  $h(sk \oplus m)$  that all occur with equal probability, independent to all the inputs/query responses to  $\mathcal{A}$ , we have

$$\Pr \left[ \begin{array}{l} sk \leftarrow \text{Gen}(1^\lambda); \\ (m^*, \sigma^*) \leftarrow \mathcal{A}^{\text{MAC}(sk, \cdot)}(1^\lambda) \quad : \quad m^* \notin Q; \\ \sigma^* = h(sk \oplus m^*) \end{array} \right] \leq \frac{1}{2^{\ell(\lambda)}}.$$

Finally, we claim that  $\frac{1}{2^{\ell(\lambda)}}$  must be negligible. Suppose towards contradiction that it is not. Selecting any two inputs uniformly at random  $x_1, x_2 \in \{0, 1\}^\lambda$  gives us a collision with probability  $\Pr[h(x_1) = h(x_2)] \geq \frac{1}{2^{\ell(\lambda)}}$ . Then if  $\frac{1}{2^{\ell(\lambda)}}$  were non-negligible, that would contradict the fact that  $h$  is a CRHF. Thus,  $\Pi$  is a secure fixed-length MAC for  $\lambda$ -bit messages, in the random oracle model.

- (b) Alice and Bob like the simplicity of the scheme, but they have philosophical disagreements on what security in the random oracle model actually means when  $h$  is replaced with SHA-3 (a popular but messy hash function you haven't seen in class) in the real world. They start thinking about using collision-resistant hash functions in place of the random oracle, with the goal of coming up with a proof of security that does not resort to the strangeness of random oracles. They consider the following scheme. Let  $\mathcal{H}_\lambda = \{h : \{0, 1\}^\lambda \rightarrow \{0, 1\}^{\ell(\lambda)}\}$  be a collision-resistant hash function family.

$\text{Gen}(1^\lambda)$	$\text{MAC}(sk, m \in \{0, 1\}^\lambda)$	$\text{Verify}(sk, m, \sigma)$
1 : $h \xleftarrow{R} \mathcal{H}_\lambda$	1 : $\sigma \leftarrow h(sk \oplus m)$	1 : $t \leftarrow h(sk \oplus m)$
2 : publish $h$ on bulletin board	2 : <b>return</b> $\sigma$	2 : <b>if</b> $\sigma = t$ : <b>return</b> 1
3 : $sk \xleftarrow{R} \{0, 1\}^n$		3 : <b>else</b> : <b>return</b> 0
4 : <b>return</b> $sk$		

Either prove that  $\Pi$  is an EUF-CMA secure MAC whenever  $\mathcal{H}$  is a CRHF family, or provide a counterexample.

**Solution**

Define  $h'(x) = x_1 \parallel h(x_{[2:|x|]})$ . We claim that  $h$  is a CRHF and that  $\Pi$  is not EUF-CMA secure when implemented using  $h$ . First we will show that  $h$  is collision-resistant. Suppose not, so some PPT adversary  $\mathcal{B}$  can produce a collision  $x, x'$  for  $h'$ . Then

$$h'(x) = h'(x') \implies x_1 \parallel h(x_{[2:|x|]}) = x'_1 \parallel h(x'_{[2:|x'|]})$$

We also have that  $h(x_{[2:|x|]}) = h(x'_{[2:|x'|]})$  and that  $x_{[2:|x|]} \neq x'_{[2:|x'|]}$ , since  $x_1 = x'_1$  but  $x \neq x'$ . Thus  $x_{[2:|x|]}, x'_{[2:|x'|]}$  is a collision for  $h$ , but this contradicts the collision resistance of  $h$ , because a PPT adversary  $\mathcal{A}$  can simply run  $\mathcal{B}$  to get  $x, x'$  and return  $x_{[2:|x|]}, x'_{[2:|x'|]}$ .

Now, we just need to show that  $\Pi$  is not a EUF-CMA secure when implemented using  $h'$ . Define the forger  $\mathcal{A}$  as follows:

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 $\mathcal{A}^{\text{MAC}(sk, \cdot)}(1^\lambda)$ 


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1 :  $m \xleftarrow{R} \{0, 1\}^n, \sigma \leftarrow \text{MAC}_{(sk, m)}$ 
2 :  $m' := \overline{m_1} \parallel m_{[2:|m|]}$ 
3 :  $\sigma' := \overline{\sigma_1} \parallel \sigma_{[2:|\sigma|]}$ 
4 : return  $(m', \sigma')$ 

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Clearly this runs in polynomial time. By construction,  $m' \neq m$  and so  $m'$  has not been queried by to the  $\text{MAC}(sk, \cdot)$  oracle. By the definition of  $h'$ , we have that

$$\begin{aligned}
h'(sk \oplus m') &= (sk \oplus m'_1) \parallel h'(sk \oplus m'_{[2:|m'|]}) \\
&= (sk \oplus \overline{m_1}) \parallel h(sk \oplus m_{[2:|m|]}) \\
&= \overline{(sk \oplus m_1)} \parallel h(sk \oplus m_{[2:|m|]}) \\
&= \overline{\sigma_1} \parallel \sigma_{[2:|\sigma|]} = \sigma'.
\end{aligned}$$

Therefore  $(m', \sigma')$  is a forgery with probability 1, so  $\Pi$  is not EUF-CMA secure.

**Problem 3. Upgrading Lamport signatures**

Recall Lamport's signature scheme from class, based on a OWF  $f : \{0, 1\}^{\ell_1} \rightarrow \{0, 1\}^{\ell_2}$ , that produces an  $(\ell_1 \cdot n)$ -bit signature for an  $n$ -bit message:

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 $\text{Gen}(1^\lambda)$ 


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1 :  $x_{1,0}, \dots, x_{n,0} \xleftarrow{R} \{0, 1\}^{\ell_1}$ 
2 :  $x_{1,1}, \dots, x_{n,1} \xleftarrow{R} \{0, 1\}^{\ell_1}$ 
3 :  $sk := (x_{1,0}, \dots, x_{n,0}, x_{1,1}, \dots, x_{n,1})$ 
4 :  $vk := (y_{1,0}, \dots, y_{n,0}, y_{1,1}, \dots, y_{n,1})$ , where  $y_{i,c} = f(x_{i,c})$ 
5 : return  $(sk, vk)$ 

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$\text{Sign}(sk, m \in \{0, 1\}^n)$

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1 : parse  $sk = (x_{1,0}, \dots, x_{n,0}, x_{1,1}, \dots, x_{n,1})$ 
2 : return  $\sigma := (x_{1,m_1}, \dots, x_{n,m_n})$ 

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$\text{Verify}(vk, m \in \{0, 1\}^n, \sigma)$

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1 : parse  $\sigma := (\sigma_1, \dots, \sigma_n)$ 
2 : if  $\forall i \in [n], f(\sigma_i) \stackrel{?}{=} y_{i,m_i}$  : return 1
3 : else : return 0

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In this problem, we will look at a stronger definition of one-time unforgeability known as *one-time strong unforgeability* which states that not only is the adversary unable to produce a signature on a different message, but also that she is unable to produce a *different* signature  $\sigma^*$  on the same message it requested a signature on.

**Definition 4** (One-time strong unforgeability).

Let  $(\text{Gen}, \text{Sign}, \text{Verify})$  be a digital signature scheme with message space  $\mathcal{M}$  and key space  $\mathcal{K}$  with security parameter  $\lambda$ . This scheme is one-time strongly unforgeable if for all pair of PPT algorithms  $(\mathcal{A}_1, \mathcal{A}_2)$ , there exists a negligible function  $\text{negl}$  such that for all  $\lambda$

$$\Pr \left[ \begin{array}{l} (sk, vk) \leftarrow \text{Gen}(1^\lambda); \\ (m, \text{state}) \leftarrow \mathcal{A}_1(vk); \\ \sigma \leftarrow \text{Sign}(sk, m); \\ \sigma^* \leftarrow \mathcal{A}_2(\sigma, \text{state}) \end{array} : \begin{array}{l} \sigma^* \neq \sigma; \\ \text{Verify}(vk, m, \sigma^*) = 1 \end{array} \right] \leq \text{negl}(\lambda).$$

- (a) Show an attack on the one-time strong unforgeability of Lamport's scheme. That is, construct a OWF  $f$  such that the Lamport signature scheme using  $f$  is not one-time strongly unforgeable.

**Solution**

Let  $f : \{0, 1\}^{\ell_1} \rightarrow \{0, 1\}^{\ell_2}$  be an arbitrary one-way function. Define  $f' : \{0, 1\}^{\ell_1+1} \rightarrow \{0, 1\}^{\ell_2}$  by  $f'(x) = f(x_{[1:\ell_1]})$ .  $f'$  is also a OWF: given a preimage  $x'$  for  $f'(x)$ ,  $x'_{[1:\ell_1]}$  is a preimage for  $f(x_{[1:\ell_1]})$ , which is a correctly distributed challenge in the OWF game for  $f$ .

Lamport signatures with  $f'$  are clearly not one-time strongly unforgeable: Given a signature  $\sigma$  for  $m$ , the adversary can produce  $\sigma_{[1:(\ell_1+1)n-1]} \parallel \overline{\sigma_{(\ell_1+1)n}}$ , a valid and different signature for  $m$ .

- (b) What additional property of the one-way function will make Lamport's scheme one-time strongly unforgeable? State the property and prove one-time strong unforgeability. (Keep the additional requirement on the OWF as minimal as you can.)

**Solution**

If the OWF is collision-resistant, then it's computationally intractable to find a different preimage, so no adversary can break the signature. Suppose not, so we have some PPT algorithm  $\mathcal{A} = (\mathcal{A}_1, \mathcal{A}_2)$  which can break the one-time strong unforgeability of the Lamport signature scheme with a collision-resistant OWF  $f$ . We will construct a PPT adversary  $\mathcal{B}$  which uses  $\mathcal{A}$  to break the collision-resistance of  $f$  as follows:

$$\mathcal{B}(1^\lambda, f)$$


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```

1 :  $x_{1,0}, \dots, x_{n,0} \xleftarrow{R} \{0, 1\}^{\ell_1}$ 
2 :  $x_{1,1}, \dots, x_{n,1} \xleftarrow{R} \{0, 1\}^{\ell_1}$ 
3 :  $vk := (y_{1,0}, \dots, y_{n,0}, y_{1,1}, \dots, y_{n,1})$ , where  $y_{i,c} = f(x_{i,c})$ 
4 :  $(m, \text{state}) \leftarrow \mathcal{A}_1(vk)$ 
5 :  $\sigma := (x_{1,m_1}, \dots, x_{n,m_n})$ 
6 :  $\sigma^* \leftarrow \mathcal{A}_2(\sigma, \text{state})$ 
7 : for  $i \in [n]$  :
8 :     if  $\sigma_i \neq \sigma_i^*$  : return  $(\sigma_i, \sigma_i^*)$ 
```

When  $\mathcal{A}$  produces  $\sigma^* \neq \sigma$  such that  $\text{Verify}(vk, m, \sigma^*) = 1$ , then  $\mathcal{B}$  successfully produces a collision for  $f$ , since there must exist some  $i$  such that  $\sigma_i \neq \sigma_i^*$  and  $f(\sigma_i) = f(\sigma_i^*) = y_{i,m_i}$ . Since  $\mathcal{A}$  forges with nonnegligible probability,  $\mathcal{B}$  breaks the collision-resistance of  $f$  with nonnegligible probability, but this is a contradiction. Thus the Lamport scheme with a collision-resistant OWF  $f$  is one-time strongly unforgeable.

**Problem 4. ZK Proof of 1-out-of-2 QR** Recall the quadratic residue problem described in class: Given a composite number  $N = pq$  where  $p$  and  $q$  are two  $\lambda$ -bit primes, determine if a value  $a \in \mathbb{Z}_N^*$  is of the form  $a = b^2 \pmod{N}$  for some  $b \in \mathbb{Z}_N^*$ .

The quadratic residuosity assumption states that determining if  $a \in \text{QR}_N$  is computationally hard. A simple (but not zero-knowledge) proof that  $a$  is a quadratic residue is simply the value  $b$ . A verifier can efficiently check that  $a = b^2 \pmod{N}$ .

We will now explore a more interesting variant of this idea: proving, without leaking information about  $y_0$  or  $y_1$ , that one of two values  $y_0, y_1$  is a quadratic residue mod  $N$ .

- (a) As a warmup, provide a honest-verifier 2-message zero-knowledge protocol for proving that exactly one of  $y_0$  and  $y_1$  is a quadratic residue (and the other is not).

### Solution

We use the honest-verifier ZK proof of not QR from class on the product  $y_0y_1$ . Note that since

$$y_0y_1 \notin \text{QR}_N \iff (y_0 \notin \text{QR}_N \wedge y_1 \in \text{QR}_N) \vee (y_0 \in \text{QR}_N \wedge y_1 \notin \text{QR}_N),$$

this is exactly what we want to prove. Proofs of completeness, soundness, and zero-knowledge are the same as from class.

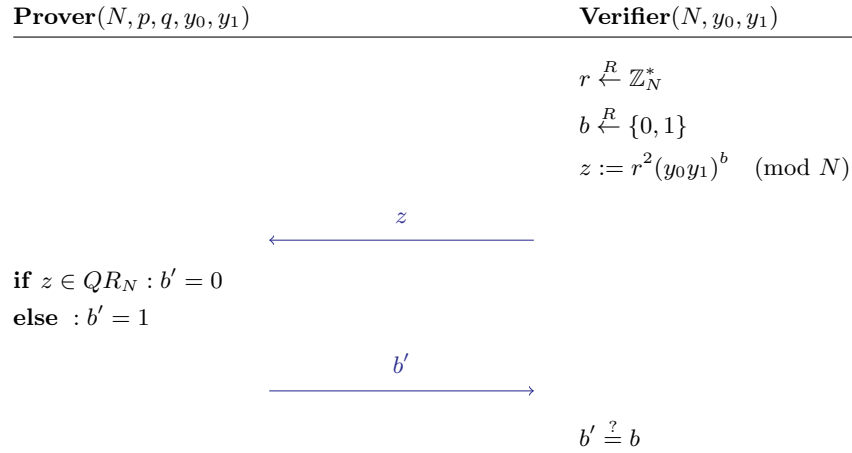


Figure 1: Zero-knowledge proof system for exactly-1-out-of-2 quadratic residues.

- (b) Construct a malicious-verifier zero-knowledge 3-message protocol for proving that at least one of  $y_0$  and  $y_1$  is a quadratic residue mod  $N$ . Remember, you need to prove: *completeness, soundness, and zero-knowledge*.

## Solution

**Prover**( $N, p, q, y_0, y_1$ )

**Verifier**( $N, y_0, y_1$ )

**if**  $y_0 \notin QR_N : \beta = 0$

**else**  $\beta = 1$

$b_\beta \xleftarrow{R} \{0, 1\}$

$r_\beta \xleftarrow{R} \mathbb{Z}_N^*$

$z_\beta := r_\beta^2 y_\beta^{b_\beta} \pmod{N}$

$r_{1-\beta} \xleftarrow{R} \mathbb{Z}_N^*$

$z_{1-\beta} := r_{1-\beta}^2 y_{1-\beta} \pmod{N}$

$z_0, z_1$

$c \leftarrow \{0, 1\}$

$c$

$\pi_\beta := r_\beta$

$b_{1-\beta} := c \oplus b_\beta$

$x_{1-\beta} := \sqrt{y_{1-\beta}} \pmod{N}$

$\pi_{1-\beta} := r_{1-\beta} x_{1-\beta}^{1-b_{1-\beta}} \pmod{N}$

$b_0, b_1, \pi_0, \pi_1$

$\pi_0^2 \stackrel{?}{=} z_0 y_0^{-b_0} \pmod{N}$

$\pi_1^2 \stackrel{?}{=} z_1 y_1^{-b_1} \pmod{N}$

$b_0 \oplus b_1 \stackrel{?}{=} c$

Figure 2: Zero-knowledge proof system for at-least-1-out-of-2 quadratic residues.

**Solution (continued...)****Completeness.**

$$\begin{aligned}\pi_\beta^2 &= r_\beta^2 = (r_\beta^2 y_\beta^{b_\beta}) y_\beta^{-b_\beta} = z_\beta y_\beta^{-b_\beta} \pmod{N} \\ \pi_{1-\beta}^2 &= (r_{1-\beta} x_{1-\beta}^{1-b_{1-\beta}})^2 = r_{1-\beta}^2 (x_{1-\beta}^2)^{1-b_{1-\beta}} = (r_{1-\beta}^2 y_{1-\beta}) y_{1-\beta}^{-b_{1-\beta}} = z_{1-\beta} y_{1-\beta}^{-b_{1-\beta}} \pmod{N} \\ b_0 \oplus b_1 &= b_\beta \oplus b_{1-\beta} = b_\beta \oplus (c \oplus b_\beta) = c \oplus (b_\beta \oplus b_\beta) = c\end{aligned}$$

**Soundness.** Suppose  $y_0, y_1 \notin \text{QR}_N$ . We will show that regardless of the prover's choice of  $z_0, z_1$ , with probability  $1/2$  (over the choice of  $c$ ) we have that  $z_0 y_0^{-b_0} z_1 y_1^{-b_1} \notin \text{QR}_N$ , so one of the verifier's checks will fail.

- Case 1.  $z_0 z_1 \in \text{QR}_N$ . If  $c = 1$ , then  $b_\gamma = 0 \implies y_\gamma^{-b_\gamma} \in \text{QR}_N$  and  $b_{1-\gamma} = 1 \implies y_\gamma^{-b_{1-\gamma}} \notin \text{QR}_N$ , so  $y_0^{b_0} y_1^{b_1} \notin \text{QR}_N \implies z_0 y_0^{-b_0} z_1 y_1^{-b_1} \notin \text{QR}_N$ .
- Case 2.  $z_0 z_1 \notin \text{QR}_N$ . If  $c = 0$ , then either  $b_0 = b_1 = 0 \implies y_0^{-b_0} y_1^{-b_1} \in \text{QR}_N$  or  $b_0 = b_1 = 1 \implies y_0^{-b_0} y_1^{-b_1} \in \text{QR}_N$ , so regardless we have  $z_0 y_0^{-b_0} z_1 y_1^{-b_1} \notin \text{QR}_N$ .

**Zero-knowledge.** Define our simulator  $\mathcal{S}$  as follows:

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 $\mathcal{S}(N, y_0, y_1)$ 
1:  $c^* \xleftarrow{R} \{0, 1\}$ 
2:  $b_0 \xleftarrow{R} \{0, 1\}, b_1 \xleftarrow{R} c^* \oplus b_0$ 
3:  $r_0 \xleftarrow{R} \mathbb{Z}_N^*, r_1 \xleftarrow{R} \mathbb{Z}_N^*$ 
4:  $z_0 := r_0^2 y_0^{b_0}, z_1 := r_1^2 y_1^{b_1}$ 
5: send  $z_0, z_1$  to verifier
6: receive  $c$  from verifier
7: if  $c \neq c^*$ : rewind to line 1
8: return  $(z_0, z_1, c, b_0, b_1, \pi_0 := r_0, \pi_1 := r_1)$ 

```

---

Fix arbitrary  $y_0, y_1$  such that at least one is a quadratic residue mod  $N$ . Let  $\beta = 0$  if  $y_0 \notin \text{QR}_N$  and  $\beta = 1$  otherwise (the simulator does not compute this value; we are just using it as notation to show the desired property). Consider the distribution  $(z_0, z_1, c, b_0, b_1, \pi_0 := r_0, \pi_1 := r_1) \leftarrow \mathcal{S}(N, y_0, y_1)$ .

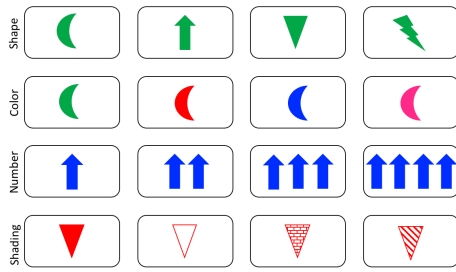
Since  $y_{1-\beta} \in \text{QR}_N$ . We also have that  $z_\beta = r_\beta^2 y_\beta^{b_\beta}$  exactly as in our protocol.  $z_{1-\beta}$  is computed differently by the simulator than in our protocol, but is still correctly distributed uniformly randomly in  $\text{QR}_N$ . Lastly, we have that  $\pi_0^2 = r_0^2 = z_0 y_0^{-b_0}$  and  $\pi_1^2 = r_1^2 = z_1 y_1^{-b_1}$  as in our protocol.

**Problem 5. Zero Knowledge Proof System for Set**

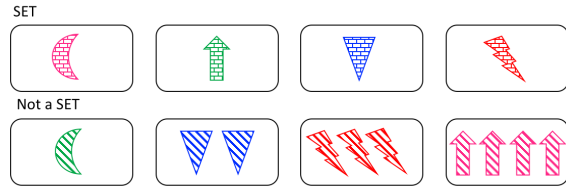
Set<sup>1</sup> is a card game. The object of the game is to identify a SET of  $n$  cards from  $n^2$  cards. Each card has  $n$  features, and each feature has  $n$  possible values. A SET consists of  $n$  cards with the property that  $\lfloor \frac{n}{2} \rfloor$  out of the  $n$  features are the same on each card, and  $\lceil \frac{n}{2} \rceil$  of the features are different on each card. See an example with  $n = 4$  below.

**Design an honest-verifier zero-knowledge proof system for Set, i.e., for the**

<sup>1</sup>We modify the rules of the original game called Set, so please read the game instructions.



(a) Set Features



(b) Example of a SET and not a SET

language of Set instances (that is, collections of  $n^2$  labeled cards) that contain a SET. Your protocol should have perfect completeness and soundness error  $1 - \delta(n)$  for a non-negligible function  $\delta$ .

### Solution

We design a proof system  $\Pi = (P, V)$  for the language of solvable Set instances. Let  $x = (c_1, \dots, c_{n^2})$  be a common Set instance, where each card consists with a list of  $n$  features with a numeric value in  $[n]$ . In other words, for each  $i \in [n^2]$ ,  $c_i = (f_1, \dots, f_n)$ , and for each  $j \in [n]$   $f_j \in [n]$ .

1. First message is a commitment to  $\tilde{x}$  by the prover  $P$ :

- The prover  $P$  selects uniform random  $n + 1$  permutations with the domain  $[n]$ . That is,

$$\pi_0, \dots, \pi_n \leftarrow \{\pi : [n] \xrightarrow{1:1} [n]\}.$$

- Then, the prover  $P$  permute the list of features in each card according to the permutation  $\pi_0$ , and apply to the  $j$ -th feature the  $j$ -th permutation  $\pi_j$ . That is, each card  $c_i$  is permuted to  $\tilde{c}_i$  as follows

$$\tilde{c}_i = (\pi_1(f_{\pi_0(1)}), \dots, \pi_n(f_{\pi_0(n)})).$$

- Next, the prover selects a uniform permutation with the domain  $[n^2]$ ,  $\sigma : [n^2] \xrightarrow{1:1} [n^2]$ , and permutes the cards according to it. That is  $\tilde{x} = (\tilde{c}_{\sigma(1)}, \dots, \tilde{c}_{\sigma(n^2)})$ .
- Finally, the prover commits (bitwise) to  $\tilde{x}$  using a statistically binding commitment scheme.

2. Second message is a uniform random challenge  $b \in \{0, 1\}$  by the verifier  $V$

3. Third message is a challenge respond by the prover

- **Case 1** (challenge message  $b = 0$ ): The prover  $P$ 's response consists of decommitments to  $\tilde{x}$ , and all  $n + 2$  permutations  $\pi_0, \dots, \pi_n$  and  $\sigma$ .
- **Case 2** (challenge message  $b = 1$ ): The prover  $P$ 's response consists of decommitments to a SET  $S$ . Namely, a subset  $S \subset \tilde{x}$  such that  $|S| = n$ ,  $\lfloor \frac{n}{2} \rfloor$  out of the  $n$  features have the same value on all cards in  $S$ , and  $\lceil \frac{n}{2} \rceil$  out of  $n$  of the features have different value on each card in  $S$ .

4. The verifier  $V$  accepts if

- **Case 1** (challenge message  $b = 0$ ): The committed  $\tilde{x}$  is a permuted version of  $x$  according to the  $n + 2$  permutations that the prover sent in the previous round. That is,  $\tilde{x} = (\tilde{c}_{\sigma(1)}, \dots, \tilde{c}_{\sigma(n^2)})$  and  $\tilde{c}_i = (\pi_1(f_{\pi_0(1)}), \dots, \pi_n(f_{\pi_0(n)}))$  where  $\tilde{c}_i$  is a permuted version of  $c_i$  and  $x = (c_1, \dots, c_{n^2})$
- **Case 2** (challenge message  $b = 1$ ): The set  $S$  that the prover sent in the previous round is a valid decommitment to a SET according to the Set card game. Thus, this protocol has soundness  $1/2$ .

**Solution (continued...)**

Next we prove that the proof system  $(P, V)$  is complete, sound and zero-knowledge.

**Completeness** Completeness follows from the fact that the SET property is preserved under the permutation done by the honest prover  $P$ .

**Soundness** Soundness follows from the fact that with all but negligible probability, any first message  $m_1$  by the prover  $P^*$  corresponds to *at most* one string  $x^*$  under the commitment scheme (by the statistical binding property of the commitment scheme). Since  $x \notin L$ , we know that either  $x^*$  is not a “valid permutation” of  $x$  (as defined in the protocol), in which case  $P^*$  will fail the challenge  $b = 0$ , or  $x^*$  contains no SET (because any valid permutation of  $x$  cannot contain a SET), in which case  $P^*$  will fail the challenge  $b = 1$ .

**Zero Knowledge** We show that for every PPT algorithm  $V^*$  there exists a PPT algorithm  $S$  (simulator) such that for all  $x$  in the language of solvable Set instances,  $\text{view}_{(P, V^*)}(x)$  and  $S(x)$  are computationally indistinguishable.

The simulator  $S$  works as follows:

1. Select a uniform random bit  $b \in \{0, 1\}$ .
  - **Case 1** ( $b=0$ ) Simulate an honest first message of the honest prover  $P$  and send to  $V^*$ , i.e., send a commitment to  $\tilde{x}$  using a statistically binding commitment scheme where  $\tilde{x}$  is a permuted version of  $x$  according to  $n + 2$  random permutations.
  - **Case 1** ( $b=1$ ) Send to  $V^*$  a commitment to  $n^2$  cards  $(c'_1, \dots, c'_{n^2})$  where a random subset of size  $n$  of them is a random SET, and the other cards are zero cards.
2. Get a challenge message  $b^*$  from  $V^*$
3. If  $b = b^*$ , answer and output the transcript. Namely,
  - **Case 1** ( $b^* = 0$ ) Send decommitments to  $\tilde{x}$  and  $n + 2$  permutations that consists with the first message.
  - **Case 2** ( $b^* = 1$ ) Send decommitments to the random SET within the first message.
4. Else ( $b \neq b^*$ ), rewind (i.e., go to step 1) at most  $n$ -times.



### Solution (continued...)

We now prove that  $S(x) \stackrel{c}{\approx} \text{view}_{(P,V^*)}(x)$ .

First, we show that for every  $V^*$ , in one iteration of the loop, the probability that  $b^* = b$  is  $1/2 + \text{negl}(\lambda)(n)$ , where  $b$  is the bit sampled by the simulator and  $b^*$  is the output bit of  $V^*$  after receiving the first message. If we show this, then we know that the simulator outputs a transcript with high probability, that is  $1 - 2^{-n}$ .

Assume for contradiction that there exists a PPT algorithm  $V^*$  that causes the simulator  $S$  to rewind with probability  $\mu(n)$ , i.e., with input<sup>a</sup>  $x$  and first message<sup>b</sup>  $C^*$ , output a bit  $b^*$  that predict if  $C^*$  is a commitment of a permuted version of  $x$  or not with probability  $\mu$ . We construct a PPT algorithm  $A$  that uses  $V^*$  and breaks the hiding property of the commitment scheme.

- $A$  selects a Set game instance  $x$  (i.e.,  $x$  consists of  $n^2$  cards), and sets  $m_0$  to be a permuted version of  $x$  according to  $n + 2$  random permutations (as done by the honest prover  $P$ ), and sets  $m_1$  to be a list  $n^2$  cards where a random subset of size  $n$  of them is a random SET, and the other cards are zero cards.
- $A$  sends  $m_0, m_1$  to the challenger.
- The challenger send sto  $A$  a commitment  $C^* = \text{Commit}(m_b)$  for a uniform random  $b$ .
- $A$  runs  $V^*$  with input  $x$  and first message  $C^*$ , and gets from  $V^*$  a bit  $b^* \in \{0, 1\}$ .
- $A$  output  $b^*$ .

Note that  $A$  runs  $V^*$  with input and first message from the same distribution that the simulator  $S$  generates. Therefore, the probability  $b^* = b$  is  $\mu$ , and by the hiding property of the commitment scheme it is  $1/2 + \text{negl}(\lambda)(n)$ . So, we conclude that the simulator outputs a transcript with probability close to 1. In fact, the above reduction shows that

$$(x, C^*, b^*, \rho_{V^*})_{C^* \leftarrow \text{Commit}(m_0)} \stackrel{c}{\approx} (x, C^*, b^*, \rho_{V^*})_{C^* \leftarrow \text{Commit}(m_1)} \quad (1)$$

where  $\rho_{V^*}$  is the random coins of  $V^*$ .

Second, we show that the transcript output by the simulator is computationally indistinguishable from the view of  $V^*$  when interact with the honest unbounded prover  $P$ .

**Case 1**(Transcript when  $b^* = 0$ ) In this case  $S$  simulates perfectly the honest prover  $P$  messages, and so

$$S(x) = (x, C^*, 0, \vec{c}r^{(0)} = (r_1, \dots, r_{n^2}, \pi_0, \dots, \pi_n, \sigma)) \equiv \text{view}_{(P,V^*)}(x).$$

**Case 2**(Transcript when  $b^* = 1$ ) In this case  $S$  simulates a computationally indistinguishable first message (by the commitment hiding property), and third message is a decommitment to a random subset that is a random SET as in the real interaction, and so

$$S(x) = (x, C^*, 1, \vec{c}r^{(1)} = (r_1, \dots, r_n)) \stackrel{c}{\approx} \text{view}_{(P,V^*)}(x).$$

Combining these cases with (1), we conclude that

$$(x, C^*, b^*, \vec{c}r^{(b^*)}, \rho_{V^*})_{C^* \leftarrow \text{Commit}(m_0)} \stackrel{c}{\approx} (x, C^*, b^*, \vec{c}r^{(b^*)}, \rho_{V^*})_{C^* \leftarrow \text{Commit}(m_1)},$$

completing the proof of indistinguishability.

<sup>a</sup>Input is a Set game instance, a list of  $n^2$  cards.

<sup>b</sup>First message is a commitment of either a permuted version of the input  $x$ , or a list of  $n^2$  cards with a random subset of a random SET.

