

# **Paper Review: Data-Independent Neural Pruning via Coresets**

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# Table of contents

## 1 Neural Pruning Problem

## 2 Approx Single Output Neuron

- Neural Network is a Query Space
- Coreset Algorithm
- Additive Error Analysis
- Other Results

## 3 Coreset Per Layer

# Facts

- BERT (popular NLP model) costs ~\$7k to train
- A recent NLP paper used ~\$150k worth of compute
- BERT-Large does not fit in GPU memory, need Google TPU
- BERT parameters are 3 GB on disk
- Training BERT produces ~1.5k lbs of CO<sub>2</sub>
- We would train bigger networks if we could

# Model Compression

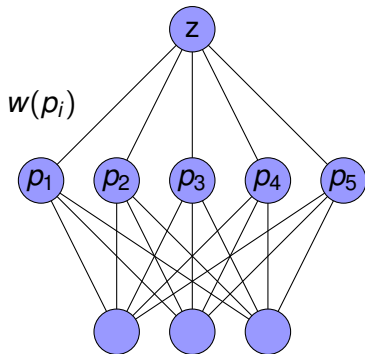
## Definition (Model Compression)

Making a **trained** neural network smaller and faster. In practice, most trained neural networks are **highly redundant**.

## Definition (Neural Pruning)

Removing **neurons** from a neural network

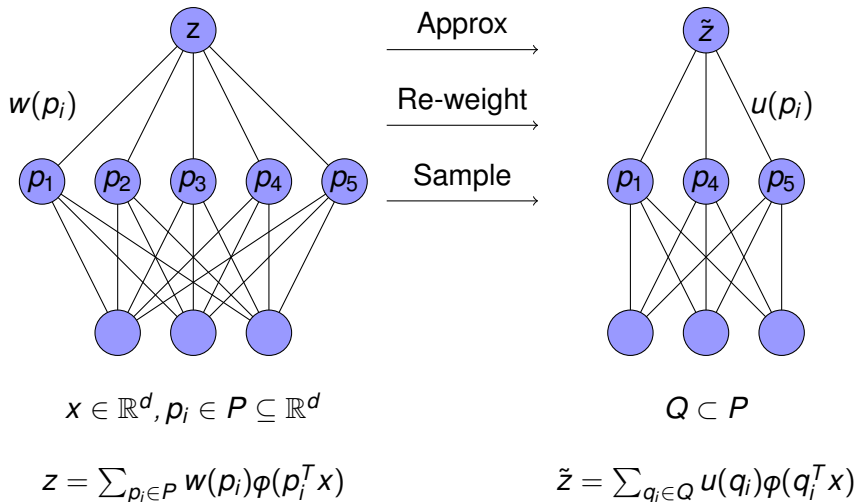
# Neuron Pruning via Coresets



$$x \in \mathbb{R}^d, p_i \in P \subseteq \mathbb{R}^d$$

$$z = \sum_{p_i \in P} w(p_i) \phi(p_i^T x)$$

# Neuron Pruning via Coresets



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# Coreset Framework

## Definition (Weighted Set)

Let  $P \subseteq \mathbb{R}^d$  be a set, and  $w$  be a function that maps every  $p \in P$  to a weight  $w(p)$ . The pair  $(P, w)$  is called a **weighted set**.

## Definition (Query Space)

Let  $P' = (P, w)$  be a weighted set called the **input set**. Let  $X \subset \mathbb{R}^d$  be a set, and  $f : P \times X \rightarrow [0, \infty)$  be a **loss function**. The tuple  $(P, w, X, f)$  is called a **query space**.



# Translation Table

Query Space

Weighted Input Set  $(P, w)$

Query Set  $X$

Loss Function  $f : P \times X \rightarrow [0, \infty)$

Additive  $\epsilon$ -Coreset Guarantee

Neural Network

Hidden neurons  $P$  + weights  $w(p)$

Set of possible inputs,  $X$

$f(p, x) = \varphi(p^T x)$

$|z - \tilde{z}| < \epsilon$

# Coreset Algorithm

**Input:** weighted hidden neurons  $(P, w)$   
integer sample size  $m \geq 1$   
an (activation) function  $\varphi : \mathbb{R} \rightarrow [0, \infty)$   
an **upper bound**  $\beta > ||x|| > 0$

**Output:** weighted neuron coreset  $(C, u)$

# Coreset Algorithm

**Input:** weighted hidden neurons  $(P, w)$   
integer sample size  $m \geq 1$   
an (activation) function  $\varphi : \mathbb{R} \rightarrow [0, \infty)$   
an **upper bound**  $\beta > ||x|| > 0$

**Output:** weighted neuron coreset  $(C, u)$

**for every**  $p \in P$  **do**

$$pr(p) := \frac{w(p)\varphi(\beta||p||)}{\sum_{q \in P} w(q)\varphi(\beta||q||)}$$

$$u(p) := 0$$

**end for**

$$C \leftarrow \emptyset$$

**for**  $m$  iterations **do**

Sample  $q$  from  $P$  w.p.  $pr(q)$ .

$$C := C \cup q$$

$$u(q) := u(q) + \frac{w(q)}{mpr(q)}$$

**end for**

**return**  $(C, u)$

# Analysis

## Theorem (Additive Error Coreset - Braverman et al. (2016))

Let  $d$  be the *VC-dimension of a query space*  $(P, w, X, f)$ . Suppose  $s : P \rightarrow [0, \infty)$  such that  $s(p) \geq w(p) \sup_{x \in X} f(p, x)$ . Let  $t = \sum_{p \in P} s(p)$ , and  $\epsilon, \delta \in (0, 1)$ . Let  $c \geq 1$  be a sufficiently large constant that can be determined from the proof, and let  $C$  be a sample (multi-set) of

$$m \geq \frac{ct}{\epsilon^2} (d \log t + \log(\frac{1}{\delta}))$$

i.i.d. points from  $P$ , where for every  $p \in P$  and  $q \in C$  we have  $pr(p = q) = s(p)/t$ . Then, with probability at least  $1 - \delta$ ,

$$\forall x \in X : \left| \sum_{p \in P} w(p) f(p, x) - \sum_{q \in C} \frac{w(q)}{m pr(q)} f(q, x) \right| \leq \epsilon$$

# Analysis

## VC-dimension

We know the VC-dimension of neural networks with common activation functions (ReLU, sigmoid) is  $O(d)$  (Anthony & Bartlett, 2009)

## Weighted Query Space Loss (Weighted NN Activation)

We need an upper-bound on the weighted activation for each neuron.  
Assume:

- $X \subseteq \mathbb{B}_\beta$ .
- $P \subseteq \mathbb{B}_\alpha$

Then the upper-bound on the activation is just a simple application of Cauchy-Schwartz.

$$f(p, x) = \varphi(p^T x) \leq \varphi(\|p\| \|x\|) \leq \varphi(\|p\| \beta) \leq \varphi(\alpha \beta)$$

# Other Results

- Extension to Negative Weights
- Multiplicative Error Approximation Impossible

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# Coreset Per Layer Algorithm

**Input:** weighted sets  $(P, w_1), \dots, (P, w_k)$   
integer sample size  $m \geq 1$   
an (activation) function  $\varphi : \mathbb{R} \rightarrow [0, \infty)$   
an upper bound  $\beta > ||x|| > 0$

**Output:** weighted neuron coreset  $(C, u_1, \dots, u_k)$

**for every**  $p \in P$  **do**

$$pr(p) := \frac{\max_{i \in [k]} w_i(p) \varphi(\beta ||p||)}{\sum_{q \in P} \max_{i \in [k]} w_i(q) \varphi(\beta ||q||)}$$

$$u_i(p) := 0$$

**end for**

$$C \leftarrow \emptyset$$

**for**  $m$  iterations **do**

Sample  $q$  from  $P$  w.p.  $pr(q)$ .

$$C := C \cup q$$

$$\forall i \in [k] : u_i(q) := u_i(q) + \frac{w_i(q)}{m pr(q)}$$

**end for**

**return**  $(C, u_1, \dots, u_k)$



# Coreset Per Layer

## Corollary (Coreset per Layer)

Let  $(P, w_1, \mathbb{B}_\beta(0), f), \dots, (P, w_k, \mathbb{B}_\beta(0), f)$  be  $k$  query spaces, each of VC-dimension  $O(d)$ , such that  $f(p, x) = \varphi(p^T x)$  for some non-decreasing  $\varphi : \mathbb{R} \rightarrow [0, \infty)$  and  $P \subseteq \mathbb{B}_\beta(0)$ . Let

$$s(p) = \max_{i \in [k]} \sup_{x \in X} w_i(p) \varphi(p^T x)$$

Let  $c \geq 1$  be a sufficiently large constant that can be determined from the proof, and  $t = \sum_{p \in P} s(p)$

$$m \geq \frac{ct}{\epsilon^2} (d \log t + \log(\frac{1}{\delta}))$$

# Coreset Per Layer

## Corollary (Coreset per Layer cont'd)

Let  $(C, u_1, \dots, u_k)$  be the output of a call to  $\text{CORESET}(P, w_1, \dots, w_k, m, \phi, \beta)$ . Then,  $|C| \leq m$  and, with probability at least  $1 - \delta$ ,

$$\forall i \in [k], x \in \mathbb{B}_\beta : \left| \sum_{p \in P} w_i(p) f(p, x) - \sum_{q \in C} u_i(q) f(q, x) \right| \leq \epsilon$$

## Proof.

The proof follows directly from the observation that

$$s(p) \geq w(p) \sup_{x \in X} f(p, x)$$



# References I



Ben Mussay, Margarita Osadchy, Vladimir Braverman, Samson Zhou, Dan Feldman

*Data-Independent Neural Pruning via Coresets*, 2019.

<https://arxiv.org/abs/1907.04018>