On the Syntactically Complex Status of Negative Indefinites[1]

Abstract:
Negative Indefinites (NIs) in languages such as Dutch and German may give rise to split scope readings. Sentences like German *Du must keine Krawatte anziehen* ('you must wear no tie') have a reading where the modal takes scope in between the negation and the indefinite. In this paper I argue that West Germanic NIs are not negative quantifiers (in the Montegovian sense), but complex syntactic structures that consist of an abstract negative operator and an indefinite that are spelled out as a single word. Split scope effects result from application of the copy theory of movement. I argue that in split-scope constructions, while being is spelled out as a single word, after Quantifier Raising the negative operator is interpreted in a higher copy and the indefinite in a lower copy of the NI,. Furthermore I demonstrate that alternative analyses that take NIs in Dutch and German to be negative quantifiers, n-words or to be resulting from amalgamation or incorporation processes face problems that the analysis presented in this paper does not encounter.

1. Introduction

Negative Indefinites (NIs), such as English *nobody, nothing* or *no boy*, are generally considered to be generalized quantifiers that are semantically negative:

(1)   [[nobody]] $= \lambda P.\neg\exists x[\textbf{body'}(x) \& P(x)]$ or equivalently
       [[nobody]] $= \lambda P.\forall x[\textbf{body'}(x) \rightarrow \neg P(x)]$

However, the question as to whether NIs in languages, such as Dutch, German and English should be regarded as negative quantifiers has been challenged by examples such as (2), which appear to be problematic for analyses of NIs in terms of negative quantifiers.

(2)   Du   musst keine Krawatte anziehen   German[2]
       You must   no   tie   wear
       a. 'It is not required that you wear a tie' $\neg >$ must $> \exists$
       b. 'There is no tie that you are required to wear' $\neg > \exists >$ must
       c. 'It is required that you don't wear a tie'   must $> \neg > \exists$

In (2) the most salient reading is the so-called split-scope reading in (2)a where the negation outscopes the modal auxiliary, which in turn outscopes the indefinite. Note that readings where the entire NI has wide or narrow scope with respect to the modal verb are also available ((2)b-c).

      Split-scope readings form a serious problem for a treatment of NIs in terms of negative quantifiers as the negation associated to the NI and the indefinite part are allowed to take scope from different clausal positions. In a recent and influential thesis Penka (2007) has

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[2] Unless mentioned otherwise, the German data are all taken from Penka & Zeijlstra (2005).

argued for a universal treatment of NIs: all NIs are semantically non-negative indefinite, just as Ladusaw (1992) and Zeijlstra (2004, 2008) have argued for n-words (as NIs in Negative Concord languages are standardly referred to); the only difference then between n-words and NIs in Double Negation languages is that the former may be licensed under multiple agreement (i.e. one (possibly covert) semantic negation may license multiple n-words), whereas the latter may only be licensed under single agreement (every NI must be licensed by a unique licenser).

Penka's analysis runs counter to previous analyses that have been proposed in the literature. The first approach takes split-scope effects to result from lexical decomposition by means of some process of amalgamation (Jacobs 1980) or incorporation (Rullmann 1995). The second approach takes NIs to be plain negative quantifiers and derives split-scope readings as an entailment of quantification over kinds (Geurts 1996) or properties (De Swart 2000).

In this paper, however, I argue that both Geurts' and De Swart's approach that takes NIs to be negative quantifiers and Penka's approach that takes n-words to be semantically non-negative in all languages face serious problems. I also point out several problems for the amalgamation/incorporation approaches, but I argue that these problems can easily be overcome once it is adopted that that NIs in Double Negation languages are the single spell-out of a piece of syntactic structure that consist of two different objects: a negative operator and an indefinite. Under this analysis the meaning of an NI in most cases is equivalent to the meaning of a negative quantifier, but this analysis accounts for split-scope interpretations as well as a result of partial reconstruction following after Quantifier Raising (QR) of NIs.

The paper thus concludes that split-scope readings can only be accounted for in terms of decomposition of NIs and not in terms of plain negative quantifiers or n-words.

The paper is set as follows: After introducing the relevant data in the next section, I present my analysis of NIs and apply it to the problematic cases. This analysis is then compared to previous accounts (section 4). In this section I demonstrate that analyses that take NIs to be negative quantifiers face serious problems, as do analyses that take NIs in Dutch and German to be n-words, not much differently from n-words in Negative Concord languages, face serious problems. Section 5, finally, concludes.

2. Data

In this section I discuss a phenomenon occurring in West Germanic languages that challenges the view that NIs in these languages are negative quantifiers. This phenomenon was first noted in Bech (1955/57) and later discussed a.o. in Jacobs (1980) for German and Rullmann (1995) for Dutch. It is sometimes referred to as scope-splitting, since semantically the negation and the indefinite meaning component of NIs take scope independently of each other. This can be seen in environments where the negation takes wide scope over some particular operator, while the indefinite meaning component has narrow scope. A split-scope reading is generally available for NIs embedded under modal or object intensional verbs and with NIs in idiomatic expressions.

2.1   Modal Verbs

Consider the following German example in which an NI is embedded under a modal verb:

(3)   Du   musst keine Krawatte anziehen   German
       You   must   no   tie   wear

a. 'It is not required that you wear a tie'	¬ > must > ∃
b. 'There is no tie that you are required to wear'	¬ > ∃ > must
c. 'It is required that you don't wear a tie'	must > ¬ > ∃

The most salient reading of this sentence is paraphrased in (3)a. As can be read off from this paraphrase, negation has wide scope over the modal, whereas the indefinite has narrow scope. This reading, however, cannot be derived under the assumption that the NI *keine Krawatte* is a plain negative quantifier. The only readings the negative quantifier analysis derives are the ones paraphrased in (3)b and (3)c. In (3)c, the NI is interpreted with surface scope and both the negation and the indefinite have narrow scope with respect to the modal. This reading, equivalent to 'you are not allowed to wear a tie', is hard to get, and available only with lots of help from the context, because there is a strong tendency in German that negation outscopes modals (see De Haan 1997). The only way the modal can end up in the scope of the negation is LF-movement of the negative quantifier across the modal, resulting in reading (3)b, in which both the negation and the indefinite outscope the modal. But the wide scope reading has very weak truth conditions: (3)b is true iff there is no specific tie that you are required to wear. This does not exclude that the occasion under discussion might require that you wear some tie or other. This is contrary to intuitions, according to which the sentence in (3) denies that wearing ties is obligatory. The same line of argumentation carries over to the following Dutch example (from Rullmann 1995: 194):

(4)   Ze   mogen geen verpleegkundige ontslaan   Dutch
       They may   no   nurse   fire
       a. 'They are not allowed to fire any nurse'   ¬ > may > ∃
       b. 'There is no nurse who they are allowed to fire'   ¬ > ∃ > may
       c. 'They are allowed not to fire a nurse'   may > ¬ > ∃

The case for the split-scope reading can even be made stronger. In the context of expletive *es* ('there') an indefinite embedded under a modal can only take narrow scope:

(5)   Es   muss ein Arzt   anwesend sein   German
       There must a   physician present   be
       a. 'It is required that there be a physician present.'   must > ∃
       b. *'There is a physician who is required to be present.' ∃ > must

Similarly, an NI embedded under a modal in a *there*-insertion context cannot take scope above the modal. But in the salient reading, the negation nevertheless outscopes the modal.

(6)   Es   muss kein Arzt   anwesend sein   German
       There must no   physician present   be
       a. 'It is not required that there be a physician present' ¬ > must > ∃
       b. *'There is no physician who is required to be present' ¬ > ∃ > must
       c. 'It is required that there be no physician present.'   must > ¬ > ∃

And even stronger, if (6) contains a modal verb that is a Negative Polarity Item, which therefore requires narrow scope with respect to negation, the split-scope reading is the only available one, as shown below:

(7)   Es   braucht kein Arzt   anwesend zu sein.   German

There needs no physician present to be
   a. 'It is not required that there be a physician present'   ¬ > need > ∃
   b. *'There is no physician who is required to be present'   ¬ > ∃ > need
   c. * 'It is required that there be no physician present'   need > ¬ > ∃

These considerations show that the salient reading cannot be somehow derived from the wide or narrow scope reading of a negative quantifier, thereby confirming that scope splitting of NIs is real.

    Most of the literature on split-scope readings focuses on Dutch and German, but split-scope readings are not restricted to these languages. Also English NIs exhibit split-scope readings under modals, although things look slightly different, since English modals have different and generally speaking more fixed interpretational scopal restrictions with respect to negation. As described by Iatridou & Sichel (2010) English *must*, for instance, must always outscope negation; thus under *must* no split-scope readings arise:

(8)   You must do no homework
   a. 'It is required that you do no homework'   must > ¬ > ∃
   b. *'It is not required that you do homework'   ¬ > must > ∃
   c. *'There is no homework that you are required to do' ¬ > ∃ > must

Have to, however, normally scopes under negation. And indeed, when *have to* is combined with an NI, split-scope effects show up. Sentence (9) is clearly ambiguous between a split-scope and a non-split-scope reading (data from Iatridou & Sichel 2010); only the reading where the modal outscopes negation is ruled out:

(9)   You have to do no homework
   a. *'It is required that you do no homework'   must > ¬ > ∃[3]
   b. 'It is not required that you do homework'   ¬ > must > ∃
   c. 'There is no homework that you are required to do'   ¬ > ∃ > must

English thus in principle also allows split-scope readings in a similar way as Dutch and German: the only reason why not all English modal auxiliaries may give rise to those readings is that they are blocked due to independent properties of modal auxiliaries.

2.2   *Object Intensional Verbs*

Scope splitting also occurs when an NI is the object of a transitive intensional verb like *seek, need* or *owe,* as demonstrated in the following examples for German, Dutch and English respectively.

(10)   Perikles schuldet Socrates kein Pferd   German
       Perikles owes Socrates no horse
   a. 'Perikles is not obliged to give Socrates a horse'   ¬ > owe > ∃
   b. 'There is no horse that P. is obliged to give to Socrates' ¬ > ∃ > owe
   c. *'Perikles is obliged not to give Socrates a horse'   owe > ¬ > ∃

(11)   Hans zoekt geen eenhoorn   Dutch

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[3] In certain contexts, for certain speakers this reading is available as well (cf. Iatridou & Sichel 2010)

Hans seeks no unicorn

    a. 'Hans is not trying to find a unicorn' $\neg > \text{seek} > \exists$

    b. 'There is no unicorn Hans is trying to find' $\neg > \exists > \text{seek}$

    c. *'Hans is trying not to find a unicorn' $\text{seek} > \neg > \exists$

(12)   Mary needs no secretary

    a. 'It is not the case that Mary needs a secretary' $\neg > \text{need} > \exists$

    b. 'There is no secretary that Mary needs' $\neg > \exists > \text{need}$

    c. *'What Mary needs is no secretary' $\text{need} > \neg > \exists$

As before, the split scope reading (a) is the salient one. Under intensional verbs, the narrow scope reading (c) is never available at all. Note that while the wide scope reading (b) is possible, it has very weak truth conditions. (11)b for instance is true if unicorns do not exist in the evaluation world, independently of Hans' activities.

2.3   *Idiomatic expressions*

Finally, German, Dutch and English idioms involving an indefinite are generally negated by replacing the indefinite with an NI. The negation then applies to the idiom as such (see (13)-(14)).

(13)   a.   Hans hat mir einen Bären aufgebunden   German
                         Hans has me a   bear up-tied
                         'Hans has fooled me'

        b.   Hans hat mir keinen Bären aufgebunden
                         Hans has me no   bear up-tied
                         'Hans hasn't fooled me'

(14)   a.   Hij heeft een scheve   schaats gereden   Dutch
                         He has a   diagonal skate   riden
                         'He made a mistake'

        b.   Hij heeft geen scheve   schaats gereden
                         He has   no   diagonal   skate   riden
                         'He didn't make any mistake'

(15)   a.   Mary has got a bone to pick with you
                         'Mary has something to fight about with you'

        b.   Mary has got no bone to pick with you
                         'Mary doesn't have anything to fight about with you'

Occurrences of NIs in idioms themselves are problematic if NIs are taken to be plain negative quantifiers. But what is important for the present discussion is the fact that NIs in idioms also invoke to split readings when they are embedded under modal verbs. Thus also within idioms the negative component of NIs may outscope the operator that has scope over its indefinite part.

(16)   Mir kannst du keinen Bären aufbinden   German
              Me can   you no   bear up-tie
              'You can't fool me'

(17)   Hij mag geen scheve   schaats meer rijden   Dutch
         He may no   diagonal skate   more ride
         'He is not allowed to make anymore mistakes'

(18)   Mary can't have a bone to pick with you
         'It is not possible that Mary has something to fight about with you'

2.4   Concluding remarks

The data presented above indicate that whenever a NI can take wide or narrow scope with respect to some particular operator, a third reading is available where the negation takes wide scope and the indefinite takes low scope and such split-scope readings are also available if e.g. the narrow or wide scope reading is ruled out on independent grounds (as is the case with, for instance, object intensional verbs selecting NIs). These data are problematic for the assumption that NIs are negative quantifiers, since without adopting additional machinery a treatment of NIs as negative quantifiers cannot account for this third type of reading.

In this paper I offer an alternative explanation of NIs, arguing that they constitute a piece of syntactic structure, consisting of both a negative operator and an indefinite, which is morphophonologically realized as a single morphological word. However, De Swart (2000) has shown that scope-splitting is not restricted to NIs but generally applies to monotone decreasing DPs (see (19)) and (20). This would cast doubt on any analysis that aims at explaining only split-scope effects occurring with NIs.

(19)   Ze   hoeven weinig verpleegkundigen te ontslaan   Dutch
         They need few   nurses   to fire
         a. 'They are required to fire few nurses' $\neg > $ need $ > \exists$
         b. 'There are few nurses who they need to fire' $\neg > \exists > $ need
         c. *'They need to fire few nurses'   need $ > \neg > \exists$[4]

(20)   You are required to read less than five books
         a. 'You are not required to read as many as five books
         b. 'There are less than five books you are required to read
         c. It is required that the number of books you read is less than five

Data like (19) and (20) at first sight call for a unified analysis of split-scope readings, but as has also been concluded by Penka (2007) closer inspection reveals that these constructions are to be analysed differently. Following Hackl (2000) and Heim (2006) monotone decreasing expressions, such as *few* or *little*, or comparatives, invoke split-scope readings due to the fact that these expressions are not plain generalized quantifiers but rather that they underlyingly consist of two quantifiers: one quantifier over individuals and one quantifier over degrees. Split-scope readings then follow automatically, since the degree quantifier can move to a position either scoping under or over the modal. This latter type of movement of the degree operator to a position higher than the modal then yields the split-scope reading (see Penka 2007 for a more detailed discussion of these facts).

Crucial, however, is that this mechanism that derives split-scope readings generated by monotone decreasing expressions, such as *few* or *little* or comparatives, does not naturally extend to split-scope readings of NIs as these do not exhibit two underlying quantifiers: NIs

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[4] Note that this reading is unavailable because Dutch *hoeven* ('need') is a Negative Polarity Item.

lack degree operators. Therefore split-scope readings involving NIs are still in need of explanation.
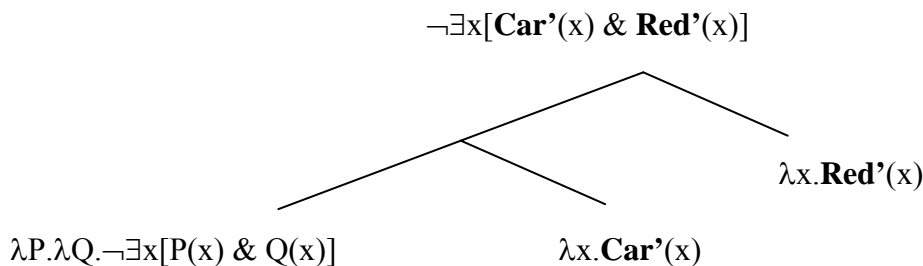

3   Analysis

The fact that the negative and the indefinite part of an NI in the languages discussed above may take scope from different positions is in need of explanation. In this section I formulate a proposal that accounts for this. In short, I propose that in these languages NIs constitute pieces of syntactic structure consisting of an abstract negative operator and a non-negative indefinite. Hence, the relation between the indefinite and this negative operator, $Op_\neg$, is syntactically fixed and further merged in the derivation.

In subsection 3.1 I elaborate this proposal in more detail and in the subsequent subsections I demonstrate how the different possible readings can be derived for the NIs in the environments discussed in section 2: modal verbs (3.2), intensional verbs (3.3) and idiomatic expressions (3.4). Subsection 3.5 contains some concluding remarks.

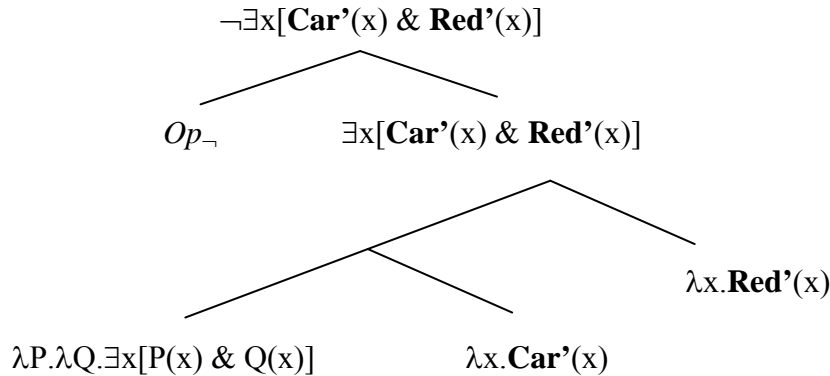## *3.1   NIs as pieces of syntactic structure*

It is often assumed that NIs lack internal syntactic structure and that an NI is an atomic lexical item. In other words, the semantics of an NI is that of a negative quantifier and its semantic force is induced from one point in the syntactic structure. A simplified illustration is given in (21).

(21)   No car is red

$$\neg\exists x[\textbf{Car'}(x)\ \&\ \textbf{Red'}(x)]$$

$\lambda x.\textbf{Red'}(x)$

$\lambda P.\lambda Q.\neg\exists x[P(x)\ \&\ Q(x)]$ $\lambda x.\textbf{Car'}(x)$

However, the structure in (21) is not the only way to generate the meaning of a construction containing an NI. Moreover, although from a morphosyntactic perspective (21) consists of three lexical elements (not taking the copula into account), semantically it exhibits at least four distinct objects: the predicates *car* and *red*, the indefinite and the negation. From this semantic point of view it is far from unnatural to assume that all these objects can express their semantic force from a different point in the syntactic structure, as shown in (22). Since (22) yields exactly the same reading as (21), it follows that NIs do not have to be negative quantifiers: they can also be semantically non-negative, as long as there is some grammatical mechanism that forces a negative operator to enter the derivation along with the indefinite and that has to end up in an appropriate position.

(22)   No car is red

$$\neg\exists x[\textbf{Car'}(x) \ \& \ \textbf{Red'}(x)]$$

$Op_\neg$ $\exists x[\textbf{Car'}(x) \ \& \ \textbf{Red'}(x)]$

$\lambda x.\textbf{Red'}(x)$

$\lambda P.\lambda Q.\exists x[P(x) \ \& \ Q(x)]$ $\lambda x.\textbf{Car'}(x)$

The main difference between (21) and (22) is that the indefinite and the negative operator occupy different positions in the structure. Consequently, the structure in (22), contrary to the structure in (21), does not prevent other material from intervening between the position of the negative operator and the indefinite. Once intervening material between the negation and the indefinite is allowed, the existence of split-scope readings follows as an immediate result. The question as to how the structure in (22) is derived is what everything boils down to and is therefore the topic of this section.

The fact that it is possible that the two parts of the NI form one unit at PF while simultaneously occupying two different structural positions at the level of LF introduces two questions. First, how does it follow from the structure in (22) that the two different nodes, the negation and the indefinite, are realized as one phonological object? Second, what is the relation between the negation and the indefinite such that on the one hand side they are linked together (an NI is always a combination of one negation with one indefinite) but that on the other hand side they may appear in different positions in the structure?

In order to address these questions, I propose that an NI is the phonological realisations of a piece of syntactic structure. Hence, rather than merging with an atomic object, the derivation is expanded with another syntactic structure, which is spelled out as *kein, geen* or *n*o for German, Dutch and English respectively (a standard case of morphological fusion resulting in suppletive forms) much in the same vein as has been proposed for pronouns (Weerman & Evers-Vermeul 2002, Szendröi and Neeleman 2007) and for Wh-terms (Barbiers et al 2009). Now, the two problems immediately vanish: first, the fact that the complex structure corresponds to one phonological object follows straightforwardly; second, the fact that the relation between the negative operator and the indefinite is 1:1 follows as well: no other object than a syntactic structure consisting of those two nodes could be spelled out as *kein*/*geen*/*n*o.

Given these considerations I argue that NIs in languages such as Dutch, German and English are the results of a spell-out rule that realized a syntactic structure consisting of a negative and an indefinite sister. An example of such a spell-out rule is given in (23) for German *kein* 'no'.

(23)

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$$\Leftrightarrow \ /kein/$$

$$Op_\neg \qquad \quad \exists$$
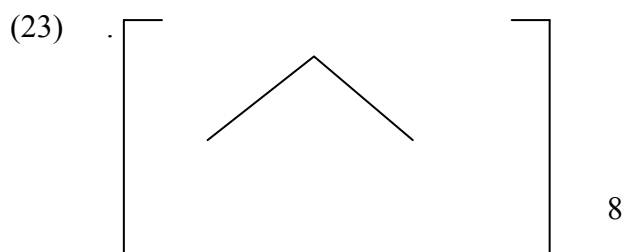
The figure in (23) shows that what is spelled out as *kein* is a syntactically and semantically complex piece of structure that contains both a negation and an indefinite component.

But it does not follow yet, how a spell-out rule such as (23) could allow for material intervening between the negation and the indefinite while still being spelled-out as one morphological word.

However, since NIs under this proposal are not syntactically atomic they are not subject to the principle of lexical integrity that states that the internal structure of words is not accessible to syntax (Di Sciullo & Williams 1987, Ackema & Neeleman 2004). NIs are not created within the lexicon process (as a result of some morphological process), but they are the result of a syntactic process in accordance with some PF rule. Therefore NIs differ from morphologically negative words (being the output of the morphological component) such as *unwise* or *non-smoker* that will never give rise to split-scope effects. The NI thus forms a syntactic constituent that can be subject to syntactic operations such as Move. As I will illustrate in detail in the next subsection, this means that the entire NI, being quantificational in nature, can undergo QR (raising across another scope-taking element), followed by partial reconstruction of the indefinite part of the NI at the level of LF. As a result, then, at PF the entire copy is spelled out, whereas at LF the negation and the indefinite structure allow intervening, scope-taking material.

The syntactically complex status of NIs now enables us to derive a structure like the one in (22) and thus to derive split-scope readings. In the next subsection I demonstrate how the different readings of sentences consisting of a modal verb and an NI follow. In the section thereafter I show in a similar fashion how the different readings come about in sentences with an object intensional verb and in idiomatic expressions.

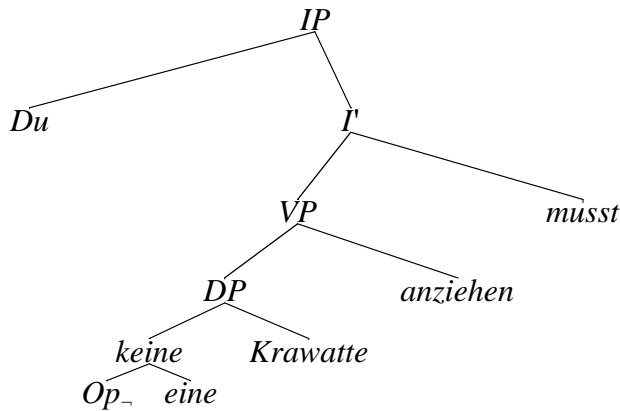### *3.2   Deriving the split-scope readings: modal verbs*

Let us reconsider the data like (3) (presented here as subordinates, to avoid V2 effects). The LFs of the three readings are given in (24)a-c, with the first one being the split-scope reading, the most salient one.

(24)   …dass du keine Krawatte anziehen musst
          …that you no   tie   wear   must
   a. '… that it is not obligatory that you wear a tie' $\neg > must > \exists$
       $[Op_\neg [_{IP}$ you $[_I$ must $[_{VP}$ a tie $[_V$ wear$]]]]]$
   b. '… that there is no tie that you must wear' $\neg > \exists > must$
       $[Op_\neg [$ a tie $[_{IP}$ you $[_I$ must $[_{VP}$ wear$]]]]]$
   c. '… that it is obligatory that you don't wear a tie' $must > \neg > \exists$
       you $[_I$ must $[_{VP} Op_\neg$ a tie $[_V$ wear$]]]]$

Let us first consider the base-generated structure, where the NI *keine Krawatte* ('no tie') is merged with the verb, which in turn merges with he modal verb *musst 'must'*, under the
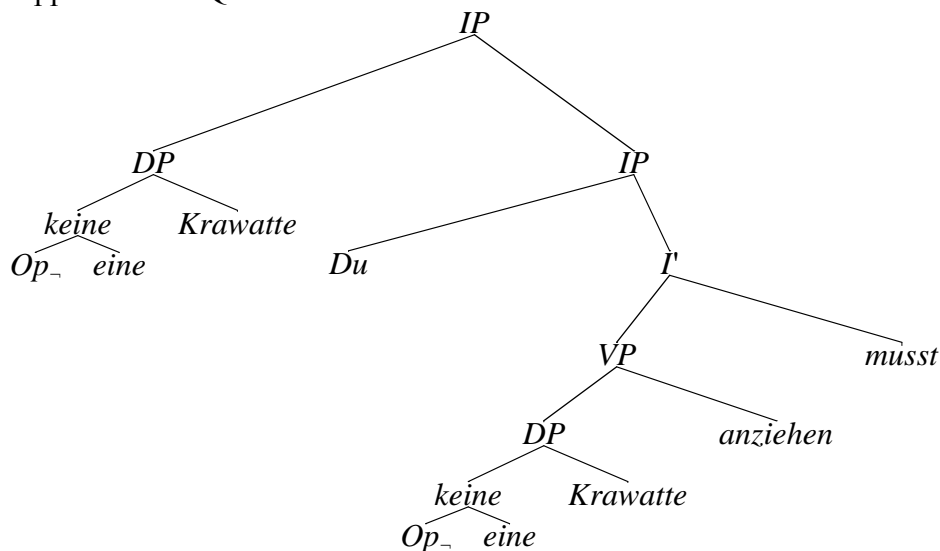
standard assumption that modal verbs are base-generated in I°.[5] Finally the subject merges and IP is created.[6]

(25)   Base-generated structure of (24):

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                              IP
                    ╱                  ╲
                 Du                      I'
                               ╱              ╲
                            VP                  musst
                      ╱          ╲
                   DP              anziehen
               ╱        ╲
            keine        Krawatte
          ╱      ╲
       Op¬        eine
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This base-generated structure already yields the narrow-scope reading (24)c, where both the negation and the indefinite are in the scope of the modal verb. Now, the object is allowed to move under QR to a higher position to get wide scope. Adopting the copy and deletion theory of movement (Chomsky 1995) that creates a copy of the object raising under QR while the original element is subject to deletion. This is illustrated in (26).

(26)   Application of QR:

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                                 IP
                      ╱                        ╲
                   DP                            IP
               ╱        ╲               ╱              ╲
            keine        Krawatte     Du                 I'
          ╱      ╲                              ╱              ╲
       Op¬        eine                        VP                musst
                                         ╱          ╲
                                      DP              anziehen
                                  ╱        ╲
                               keine        Krawatte
                             ╱      ╲
                          Op¬        eine
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The structure in (26) contains two identical copies of the syntactic object [*Op¬* *eine* *Krawatte*], with the lower copy being interpreted phonologically, and the higher copy being interpreted semantically. The interpretation of the higher copy of this object yields the reading in which both the negation and the indefinite outscope the modal verb. The LF of this structure is given in (27).

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[5] Note though that nothing crucial hinges on this assumption. If modals are base-generated in V° the proposal makes the same predictions.
[6] The exact position where the subject is base-generated (Spec,vP or Spec,IP) is irrelevant for the present discussion.

(27)   [$_{IP}$ [$Op_{\neg}$ eine Krawatte]$_i$ [$_I$ [$_{VP}$ du [$Op_{\neg}$ ~~eine Krawatte~~]$_i$ anziehen]] musst]]

However, as I have argued, the NI is not a syntactic atom, but rather constitutes a piece of syntactic structure. Given that $Op_{\neg}$ and the indefinite are sisters within that structure (and thus within each copy of that piece of structure), nothing requires that both be interpreted at LF in one and the same copy. Hence, it is possible as well that the semantic component interprets the negative operator within *keine Krawatte* in the higher copy and the indefinite in the lower copy. This yields the LF in (28), where the highest copy is partially reconstructed at LF (see Fox (1999), Fanselow & Cavar (2001, 2002) for more thorough discussion on the exact constraints on partial reconstruction; the view presented here for partial deletion basically mirror's Fanselow & Cavar (2002) analysis of partial deletion at PF).

(28)   [$_{IP}$ [$Op_{\neg}$ ~~eine Krawatte~~]$_i$ [$_I$ [$_{VP}$ du [$Op_{\neg}$ eine Krawatte]$_i$ anziehen] musst]]

The reading that (28) yields, is exactly the one where the negation outscopes the modal verb, whereas the modal verb in turn outscopes the indefinite: the split-scope reading. The assumption that NIs are syntactically complex lexical items, in accordance with the copy theory of movement, correctly predicts that a sentence like (24) gives rise to (at least) three readings, including the split-scope reading.

A potential problem for this analysis is that it seems to overgeneralise. In principle nothing would prevent the semantic component from interpreting the indefinite in the higher copy and the negative operator in the lower one, yielding a reading that is not possible for NIs. This reading cannot be yielded, however, on independent grounds. This is due to the facts that negation never reconstructs at the level of LF (cf. Horn 1989, Zanuttini 1998, von Stechow & Penka 2001, Zeijlstra 2004), a principle that is in line with the more general ban on adverbial reconstruction at LF.[7]

Thus, movement of the entire NI, followed by partial reconstruction of the negative operator is blocked, as this violates the ban LF reconstruction of negation. In (29), the negative operator receives too low an interpretation. This implies that the negation may not be interpreted below if the indefinite is interpreted in the higher copy. Thus, the interpretation in which the indefinite outscopes the negation is ruled out (30).

(29)   *[$_{IP}$ [$Op_{\neg}$ eine Krawatte]$_i$ [$_I$ [$_{VP}$ du [$Op_{\neg}$ ~~eine Krawatte~~]$_i$ anziehen] musst]]

However, the question remains open as to why the reverse reading could not take place as a result of movement of the indefinite part out of the NI if the NI does not raise to the highest position itself, as is sketched in (30).

(30)   *[$_{IP}$ [eine Krawatte]$_i$ [$_I$ [$_{VP}$ du [$Op_{\neg}$ ~~eine Krawatte~~$_i$] anziehen] musst]]

This structure in (30), however, can never be derived either. Note that under this proposal the internal structure of an NI such as *keine Krawatte* consists of merger of *keine* (in itself a merger of the negation with the indefinite) with the NP *Krawatte*:

(31)   [[$Op_{\neg}$ eine] [Krawatte]]

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[7] See Gajewksi (2007) for a series of examples that show that Neg-raising is different from LF-reconstruction.

The only syntactic constituents containing the indefinite in the construction such as (30) that could undergo movement are thus either the entire structure in (31), which gives either rise to wide–scope or split-scope readings, or *Krawatte* by itself. The latter type of movement cannot give rise to a semantic effect as the NP needs to remain in the scope of the indefinite in order to avoid semantic anomalies. Note however that some languages, e.g. German, are sometimes analyzed as allowing movement of the NP outside the NI, but if such raising is allowed, the interpretation of the NP remains in situ:[8]

(32)   Bücher hat er keine geschrieben   German
        Books   has he no   written
        'He hasn't written any books'

It should be noted that (30) is also ruled out as a result of a more general constraint on movement that indefinites in principle are not allowed to raise across negation (cf. Beck 1996), a general constraint on movement, based on intervention effects, that applies to any theory of movement including the copy theory of movement in which the proposal is implemented. Such a constraint is independently motivated by examples such as the ones in (30) as to prevent overgeneralization that may arise as a consequence of QR. NIs are not allowed to move across existential quantifiers and neither do these constructions give rise to split-scope readings:

(33)   … dat er   iemand geen boek leest   Dutch
        … that there n-body   no   book reads
        '… that somebody reads no book' $\exists_{person} > \neg > \exists_{book}$
        *'… that there is no book that somebody reads' $\neg > \exists_{book} > \exists_{person}$
        *'… that there isn't anybody reading a book' $\neg > > \exists_{person} \exists_{book}$

Adopting a QR analysis would in principal allow for a reading where the entire NI could outscope the existential quantifier *iemand* ('nobody') as well as a split-scope reading. However, if such an instance of QR is banned on independent grounds, the analysis proposed here does not overgeneralise in this respect either.

However, the ban on movement across negation may be overcome in cases where the indefinite is heavy enough. Take for instance (34), which may actually receive a reading where there is a particular book that she didn't read.

(34)   She didn't read a book (that was on the table)

Still, such readings are never available when the indefinite and the negation are both part of an NI:

(35)   She read no book (that was on the table)

Hence, restrictions on QR, such as Becks's generalization, are not sufficient to rule out movement in the type indicated in (30). However, given the internal syntactic structure of the

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[8] See Fanselow & Cavar (2002) for a discussion of these facts and how they are related to other partial deletion phenomena. Note that by no means do I want to suggest that cases such as (32) involve raising of *Bücher* ('books'). I only intend to show that if these constructions are analyzed as such, they do not form any counterexamples to what is claimed above.

NI, such readings are ruled out anyway. Thus, there is no way in which the fourth reading can be derived and thus this or other potential problems of overgeneralization do not arise.[9]

3.3 *Deriving the split-scope readings: object intensional verbs*

The analysis also applies to split-scope readings in the case of object intensional verbs, such as German *schulden* 'to owe.' The only difference between these cases, illustrated in (36), and the cases with modal verbs is that the narrow-scope reading is not available either.

(36)   …dass Perikles Sokrates   kein Pferd schuldet
          …that Perikles Sokrates   no   horse owes
     a. '… that Perikles is not obliged to give Socrates a horse' $\neg > $ owe $ > \exists$
          [$Op_\neg$ [IP Perikles [VP Sokrates [VP a horse [V owes]]]]]
     b. '… that there is no horse that P. is obliged to give to S' $\neg > \exists > $ owe
          [$Op_\neg$ a horse [IP Perikles [VP Sokrates [V owes]]]]
     c. *'… that P. is obliged not to give Socrates a horse'   owe $ > \neg > \exists$
          [IP Perikles [VP Sokrates [VP $Op_\neg$ a horse [V owes]]]]

In a similar fashion to (26) the object moves to a Spec,IP position, and the structure in (37) is derived.

(37)
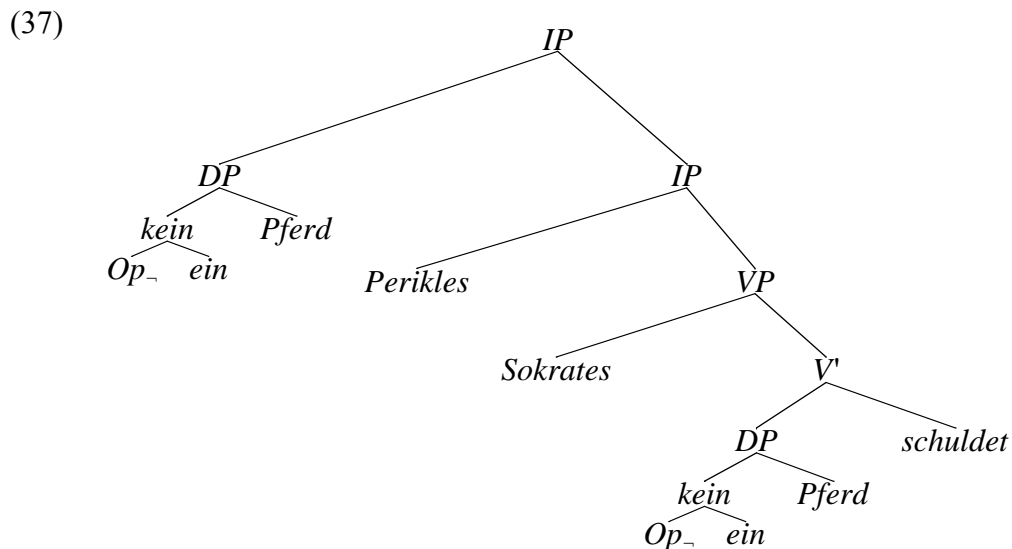
Now the entire copy can be interpreted in the higher position, which yields the wide-scope reading (38).

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[9] A third possibility would be to assume, along the lines of Fanselow & Cavar (2002), that scopal relations within the copy be preserved. I.e., if in the base copy negation scopes over the indefinite, any copy+deletion process may not yield reverse scopal relations. Fanselow & Cavar (2002) propose a similar constraint (Parallel Movement Constraint after Müller 2007), not in terms of scopal relations, but in terms of c-command. However, since the negative operator and the indefinite are sisters, this particular proposal cannot be applied to rule out (29)-(30). Moreover, they present this principle as an OT constraint, which is therefore violable, whereas no cases of reverse split-scope readings of NIs have been attested. Future study should reveal whether this particular constraint could be extended to a more general principle in terms of conservation of scope relations within copies, though.

(38)   [*Op*¬ a horse [IP Per [VP Socrates [VP *Op*¬ ~~a horse~~ [V owes]]]]]

But, similar to the case of modal verbs, the negation may be interpreted high and the indefinite below. This yields the split-scope reading (39).

(39)   [*Op*¬ ~~a horse~~ [IP Per [VP Socrates [VP *Op*¬ a horse [V]]]]]

The reading where the negation is interpreted low and the indefinite is interpreted high is ruled out due the movement constraints discussed in the previous subsection.

The question remains open as to why the narrow-scope reading is not possible where both the negation and the indefinite are interpreted below. Zimmermann (1993) argues that object intensional verbs take properties but not quantifiers as their arguments (an analysis that is at odds with the presented analysis here), as can been seen from the fact that determiners that are invariably interpreted as quantifiers, such as *every*, cannot have a narrow-scope reading, as illustrated in (40).

(40)   Hans seeks every unicorn   (wide scope only)

It is unclear, however, whether this constraints results from the semantics of transitive intensional verbs, or from the pragmatics that make such utterances salient. Several examples containing NIs have been reported to be possible with a narrow-scope reading like (41).

(41)   For once, I need no children in the house[10]

Hence, Zimmermann's account is probably too restrictive for these cases, and it seems that pragmatic constraints are involved (as well). Therefore I assume that the unwellformedness of (42) follows from the pragmatics that goes with transitive intensional verbs: and that (42) is not syntactically ill-formed but pragma-semantically infelicitous.

(42)   [#*Op*¬ ~~a horse~~ [IP Per [VP Socrates [VP *Op*¬ a horse [V owes]]]]]

The exact nature of this ban, however, is beyond the scope of this paper. Crucial for now is that the QR-based analysis predicts that NIs selected by object-intensional verbs give rise to split-scope readings, a prediction that is borne out.

3.4   Deriving the split-scope readings: idiomatic expressions
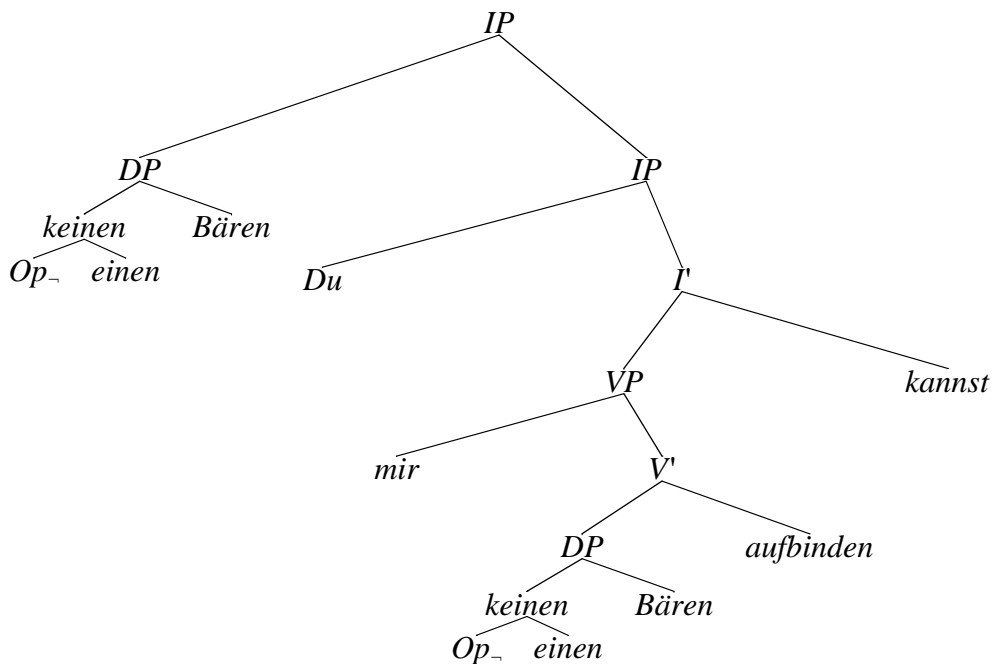
Finally, the analysis I presented above also accounts for the split-scope readings of sentences that combine an idiomatic expression with a modal verb. Let us (again) look at the German example in (43).

(43)   … dass du mir keinen Bären aufbinden kannst   German
        … that you me no   bear   up-tie   can
        Literal: 'that it is not possible that you tie me up a bear'
        Idiomatic: 'that you can't fool me'

---

[10] The example is attributed to Von Fintel (exact reference unknown).

Note that the exact idiomatic expression does not require the NI. The true idiomatic expression is rather *einen Bären aufbinden* (*a bear up-tie 'to fool'*).[11] This is shown in (44) below:

(44)   Du   willst mir einen Bären aufbinden   German
        You   want   me a   bear up-tie
        'You want to fool me'

In (43) again, the entire idiomatic expression (including the negation) is first merged within VP and later on, the NI moves out of VP under QR to an IP-adjunct position.

(45)

Now the lower negation and the higher indefinite may delete under the copy and deletion theory of movement yielding the structure (46) which correctly expresses the intended truth conditions.

(46)   [*Op*_¬ ~~a bear~~ [IP you [I can [VP me [VP *O̶p̶*_¬ a bear [V tie up]]]]]]

---

[11] In some cases *kein* cannot be directly interchanged by *ein*. This is for instance the case in (i):

(i)   Damit   kommst du   auf {keinen/*einen} grünen Zweig   German
        With.that come   you at   no/   a   green   twig
        'You won't be successful with that'

This is however not due to the fact that the negation is part of the idiom, but that the idiom is a negative polarity item. As shown below, the idiom containing a non-negative indefinite is well-formed when it is combined with, for example, a negative subject.

(ii)   Niemand ist auf einen grünen Zweig gekommen   German
        Nobody   is at a   green   twig   come
        'Nobody has been successful'

Of course, the other possible readings are still available, either with a literal or idiomatic reading, as is shown in (47) for the narrow-scope reading (literal and idiomatic) and (48) for the wide scope reading (only literal).

(47)   [IP you [I can [VP me [VP *Op*﹁ a bear [V tie up]]]]]]

(48)   [*Op*﹁ a bear [IP you [I can [VP me [VP *Op*﹁ a̶ b̶e̶a̶r̶ [V tie up]]]]]]]

A crucial step in this line of argumentation is that the idiom (*einen Bären aufbinden*) needs to be interpreted as a unit. That is, the idiomatic reading is only available if the indefinite is interpreted VP in situ. Therefore (48), which represents the wide-scope reading of (43) only receives the non-literal reading. It should be noted that under this analysis the syntactic process of QR precedes the non-literal interpretation of the idiomatic expression, even when the non-literal reading itself does not involve quantification.

3.5   *Concluding remarks*

The analysis presented above explains how split-scope readings arise and how they are constrained. It does so by assuming that NIs such as German *kein* constitute syntactic structures (as is thought to be the case with pronouns and *Wh* terms). As a result of QR and the syntactic principle that demands that copies need to be deleted as well, split-scope reasons are expected to arise as well. Note the entire analysis does not allude to any principle that has not been independently motivated.

Apart from the emergence of split-scope readings, the proposal solves another problem concerning the interaction between NIs and ellipsis. German and Dutch NIs that are deleted under ellipsis may sometimes seem to have lost their negation, as illustrated in (49) and (50) for German and for Dutch.

(49)   …   dass er im   Garten keinen Mensch antraf   und im   Haus   auch nicht
         …   that he in.the garden no   person met   and in.the house   also   neg
         '… that he didn't see anybody in the garden and neither in the house'

(50)   …   dat hij geen mens in de   tuin   aantrof en   ook niet in het huis
         …   that he no   person in the garden saw   and also neg in the   house
         '… that he didn't see anybody in the garden and neither in the house'

Given that deletion may only take place under semantic identity (cf. Merchant 2001) it is strange that the deleted VP in the second clause does not contain a negation. After all, the expected underlying representation of (49) would be (51), which would be expected to contain a negation of its own, as shown below (where double strikethrough indicates deletion under ellipsis):

(51)   …   dass er im Garten [VP keinen Mensch antraf] und im Haus auch nicht
                  [k̶e̶i̶n̶e̶n̶ ̶M̶e̶n̶s̶c̶h̶ ̶a̶n̶t̶r̶a̶f̶]

But following the analysis pursued in this section, it is in fact expected that the negation does not have to be part of the deleted VP: the fact that QR may apply followed by partial reconstruction makes it possible that only the indefinite is interpreted in situ in the first

conjunct and not the negative operator. Consequently the deleted VP does not have to carry a semantic negation either:[12]

(52)   …   dass er im Garten [Op¬ ~~einen Mensch~~] [VP [*Op¬* einen Mensch] antraf] und im
             Haus auch nicht [VP ~~einen Mensch antraf~~]

Note that so far this only partially answers the question why the deleted VPs in (49) and (50) must be interpreted non-negatively: the account only makes it possible, not obligatory. The obligatory non-negative interpretation however is required due to the contribution of *auch nicht* in German and *ook niet* in Dutch. German *auch nicht* and Dutch *ook niet* can only be felicitously included in the second conjunct of a sentence if the first conjunct is negative and it is *auch nicht / ook niet* (not anything else) that renders the second conjunct negative, as shown below:

(53)   a.   Er   hat (*nicht) gegessen und auch nicht getrunken   German
              He   has   neg   eaten   and also   neg   drunk
              'He didn't eat and neither did he drink'
              *'He didn't eat and neither did he not drink'
        b.   Hij   heeft (*niet) gegeten en   ook niet gedronken   Dutch
              He   has   neg   eaten   and also neg drunk
              'He didn't eat and neither did he drink'
              *'He didn't eat and neither did he not drink'

The data in (53) show that the negation may not be part of the deleted part of the second conjunct as a result of the semantic/pragmatic behaviour of *auch nicht / ook niet*. Similarly, the deleted VPs in (49) and (50) may not contain a negation either, which can only be the case if the VPs in the first conjuncts do not do so either.

   Note that this analysis predicts that in those cases, the first conjunct may only receive a split-scope reading, as only under the split-scope reading the VPs of both the first and the second conjunct only contain the indefinites, a prediction that is indeed born out:

(54)   Du   musst am   Vormittag keine Krawatte anziehen
        You   must   in.the evening   no   tie   wear
        und am   Nachmittag auch nicht   German
        and in.the morning   also neg
        a. 'It is not required that you wear a tie'   ¬ > must > ∃
        b. *'There is no tie that you are required to wear'   ¬ > ∃ > must
        c. '*It is required that you don't wear a tie'   must > ¬ > ∃

(55)   Ze   mogen in het ziekenhuis geen verpleegkundige ontslaan
        They may   in the hospital   no   nurse   fire
        en in de   kliniek ook   niet   Dutch
        and in the clinic also neg
        a. 'They are not allowed to fire any nurse'   ¬ > may > ∃
        b. *'There is no nurse who they are allowed to fire'   ¬ > ∃ > may
        c. *'They are allowed not to fire a nurse'   may > ¬ > ∃

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[12] Note that the deleted constituent is simply a positive indefinite followed by an NP (in casu [*einen Mensch*]), which does not contain any deleted negation in the first place.

4.   Comparison to Previous Accounts

The facts presented above strongly indicate that NIs should be considered different from negative quantifiers and the presented analysis correctly predicts how and when split-scope readings may arise. In this section, I evaluate other analyses that have attempted to cope with the problems introduced in section 2. First, I discuss a previous analysis by Jacobs (1980) and Rullmann (1995), who posit that NIs result from amalgamation or incorporation processes. After that I evaluate two accounts, by Geurts (1996) and by De Swart (2000), which have maintained that NIs are negative quantifiers despite the facts that seem to argue against this analysis resulting from independent semantic principles. Finally, I discuss recent work by Penka (2007) who takes the opposite view and argues that NIs in Dutch and German are semantically non-negative and are equipped with a formal licensing condition that requires them to be bound by an abstract negative operator. Penka's main claim is that Negative Concord and split-scope readings are two sides of the same coin and she argues that the two phenomena ought to be analysed analogously.

In this section I show that despite surface similarities with the presented analysis these accounts all face problems, which do not arise under the presented analysis.

4.1   Jacobs (1980)/Rullmann (1995): amalgamation and incorporation

One of the first analyses of this phenomenon was Jacobs' (1980) (very close in essence to the analysis I propose), which states that in German the negative marker *nicht* amalgamates with an indefinite, e.g. *jemand* ('somebody') or *etwas* 'something'. That is to say that an adjacent negative marker *nicht* and indefinite *ein* fuse into the single word *kein*.

Penka (2007) argues that such an amalgamation process is problematic in grammatical frameworks that assume different levels for semantic and phonological representations. Since such an amalgamation rule cannot take place at the level of LF (otherwise the split-scope reading could never be derived), it must be purely phonological and take place at PF. But this requires a phonological rule that e.g. renders *nicht* and *etwas* into *nichts*. Such phonological rules are not known in any other phonological processes.

One could assume (as I do as well), though, that amalgamation does not apply to the negative marker or indefinites such as *jemand* or *etwas* but that it applies to abstract material: an abstract negative operator and/or an abstract determiner. For Penka, this makes things only worse: abstract material, i.e. material that lacks phonological content in the first place, is invisible at PF in the first place; hence no amalgamation rule can apply.

A similar approach is the proposal put forward by Rullmann (1995) based on Klima's (1964) rules of incorporation. If superficially the negation and the indefinite are adjacent, the negation incorporates in the indefinite yielding an NI. For Dutch *niet* and *geen* this would be:

(56)   niet $D_{indef} \Rightarrow$ geen

But Penka argues that the same problems evident for the amalgamation process show up again. Since LF incorporation does not take place when lexical material is allowed to intervene between the negation and the indefinite, incorporation must take place again at PF. But incorporation at PF is allowed not only for overt indefinites (such as Dutch *een*), but also for the zero determiner, as shown in (57)-(58).

(57)   a.   Ze   mogen een verpleegkundige ontslaan   Dutch
                   They may   a   nurse   fire

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'They are allowed to fire a nurse'
b.   Ze   mogen geen verpleegkundige ontslaan
        They may   no   nurse   fire
        'They are not allowed to fire any nurse'

(58) a.   Ze   mogen verpleegkundigen ontslaan   Dutch
        They may   nurses   fire
        'They are allowed to fire nurses'
b.   Ze   mogen geen verpleegkundigen ontslaan
        They may   no   nurses   fire
        'They are not allowed to fire any nurses'

Whereas in (57) negation seems to have incorporated into the indefinite article *een*, in (58) incorporation must have taken place in an abstract element. But, once again, such elements that lack phonological content are invisible at PF. Hence, for Penka, Rullmann's analysis faces the same problems as Jacobs (1980): in order to account for the split scope readings that are derived at LF, NIs are suggested to be the result of an incorporation process, which may only take place at a moment in the derivation that does not affect interpretation.

All these criticisms rely, however, on what kind of rules are allowed at the phonology-morphology-syntax interfaces. Under a purely lexicalist point of view (where every lexical items comes in with all its phonological features present), Penka's arguments seem to be correct. But over the past decade the works on Distributed Morphology (Halle & Marantz (1993) and subsequent work) have provided frameworks, where morpho-phonological realization takes place after spell-out, i,e. abstract syntactic structures are the input for morpho-phonological processes. Under such a view of the syntax-morphology interface, Penka's arguments against amalgamation or incorporation lose their validity.

However, an analysis only in terms of post-syntactic morpho-phonological realisation is a necessary but not sufficient analysis of the facts. Both Jacobs'and Rullmann's proposals, rephrased in terms of post-syntactic spell-out, crucially rely on phonological string-adjacency of the negation and the indefinite; the gist of the analysis is that what appears as adjacency at surface structure is does not have to be structurally adjacent at the level of LF:

(59)   [NEG [eine Krawatte anziehen [musst]]]

In (59) NEG and *eine Krawatte* may somehow be spelled out as *keine Krawatte*, even though the indefinite scopes under the modal, whereas the modal scopes above. However, such a mechanism would not directly apply if the language in question were VO instead of OV, as then such a configuration would no longer be possible. However, as demonstrated in section 2, English, being an SVO language, allows split-scope readings as well, although the (vP-external) position where negation is interpreted at LF is never string-adjacent to the (postverbal) position where the indefinite appears at PF.

(60)   You have to do no homework

The final step that, thus, needs to be taken, is that *kein/geen/no* should not be considered as the phonological realisation of two string-adjacent elements at the level of PF, but as the realisation of a piece of syntactic structure that consists of a top node dominating two syntactic sisters: the negation and the indefinite.[13] Then, it follows naturally that in a

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[13] Thanks to Susi Wurmbrandt (p.c.) for pointing this out to me.

language like English (and *mutatis mutandis* also in Dutch/German) the negation and the indefinite are first merged together and then merged with the NP, constituting the negative DP *no homework*, which gets spelled out as such. After spell-out, under QR this entire DP raises to a higher position, after which partial reconstruction may take place to yield the split-scope reading.

So, the major difference between the analysis presented in this paper and Jacobs' and Rullmann's analyses is that in the former negation is always part of the NI (until the level of LF when partial deletion may apply), whereas in the latter NIs result form coincidental string-adjacency of the negation and the indefinite.

4.2 Geurts (1996): Quantification over abstract individuals

Geurts (1996) tries to overcome the problems of taking NIs to be negative quantifiers by arguing that split readings of NIs arise when the article *kein* does not quantify over simple individuals as usually, but rather over kinds in the sense of Carlson (1977). He derives the split reading of (61) as sketched in (62).

(61)   Ich suche keine Putzfrau   German
        I   seek no   cleaning-lady
        'I'm not looking for a cleaning lady'

(62)   a.   [no cleaning lady] λx. I seek x
        b.   ¬∃x ∈ {CLEANING LADY}: I seek x

First, the negative quantifier *keine Putzfrau* moves across the verb at LF (62)a. Geurts then assumes that *kein* in this configuration quantifies over the singleton set consisting only of the kind term CLEANING LADY. This gives (62)b, which asserts that the speaker is not a cleaning-lady seeker. This is equivalent to the reading in which the indefinite quantifier ranges over concrete individuals and the negation has wide scope: the split-scope reading.

But Geurts' proposal has a number of problems, both conceptually and empirically (see De Swart 2000 for a more thorough discussion of these problems). First, he cannot simply appeal to the notion of abstract individual or natural kind as used in Carlson (1977). To account for split readings in some cases very specific and strange kinds would have to be assumed. For instance, to get the paraphrased reading of (63), Geurts would have to appeal to the kind "student who attended Arnim's lecture yesterday".

(63)   Ich suche keinen Student, der gestern in Arnims Vorlesung war   German
        I   seek no   student who yesterday in Arnim's lecture   was
        'I'm not looking for a student who attended Arnim's lecture yesterday.'

Another problem for this analysis is the fact that *kein* can combine with numerals while scope splitting is still possible. It remains unclear how Geurts' account could deal with a sentence such as (64) under the reading paraphrased, as *two cars* does not refer to a particular kind in terms of Carlson (1977).

(64)   Wir müssen keine zwei Autos haben   German
        We   must   no   two cars   have
        'We don't need to have two cars.'

Even more devastating is the fact that NIs can occur in idiomatic expressions and give rise to split-scope readings, as shown in (65)-(66). Occurrences of NIs in idioms themselves are a problem for the negative quantifier analysis. But what is important for the present discussion is the fact that NIs in idioms also yield split readings when they are embedded under modal verbs:

(65)   Mir kannst du   keinen Bären aufbinden   German
        Me can   you no   bear   up-tie
        'You can't fool me'

(66)   Hij mag geen scheve   schaats meer rijden   Dutch
        He may   no   diagonal skate   more ride
        'He is not allowed to make anymore mistakes'

In the cases of (65) and (66), the split reading cannot be derived by assuming quantification over abstract individuals, since this would only yield the literal interpretation. To get the idiomatic meaning of the expression (*k*)*einen Bären aufbinden* in (65) the indefinite must be interpreted together with the rest of the idiom in the scope of the modal while the negation still takes wide scope. The same holds for the Dutch example.

4.3   De Swart (2000): Higher-order quantification

The account of De Swart (2000) is similar to that of Geurts (1996) in as far as both assume that some special kind of quantification is responsible for scope splitting of NIs, thus maintaining the quantificational status of NIs. But rather than assuming quantification over abstract individuals, which causes several of the above-mentioned problems, De Swart (2000) employs higher-order quantification. She argues that scope splitting occurs when *kein* quantifies over properties and proposes that there is an additional lexical entry for *kein* according to which *kein* is a negative quantifier over properties:

(67) $[[\text{kein Buch}]] = \lambda w.\lambda \mathcal{P}_{<<s,<e,t>>,t>}.\neg\exists P_{<s,<e,t>>} (P=\lambda w'\lambda y.(\textbf{Book'}_{w'}(y)) \& \mathcal{P}(P))$

Using this translation for *kein* then derives the split scope reading for the sentence in (68) as sketched in (69):

(68)   Hanna sucht kein Buch   German
        Hanna seeks no   book

(69)   no book (seek) (hanna)
        $\neg\exists P(P= \lambda w'.\lambda y.(\textbf{Book'}_{w'} (y)) \& \textbf{Seek'}(h,P))$
        $= \neg\textbf{Seek'}(h, \lambda w'.\lambda y.(\textbf{Book'}_{w'}(y))$
        'Hanna is not a book seeker'
        ='Hanna doesn't seek a book'

But, as Penka (2007) has pointed out, there are reasons to believe that higher-order quantification is not what is responsible for scope splitting. First, such an analysis cannot derive intermediate scope readings of the indefinite for sentences with two scope-bearing elements besides negation and the indefinite, i.e readings in which the negation takes widest scope and the indefinite takes scope in between the two operators. This is the case because the higher-order interpretation of *kein* invariably gives the indefinite narrowest scope. De Swart

claims that this is actually a virtue of her analysis and argues that intermediate scope readings are not available for NIs, an argument she puts forward against Kratzer (1995). But this claim seems to be empirically too strong. For example, the sentence (70) does indeed have the reading paraphrased, in which negation takes widest scope and the indefinite scopes in between *kann 'can'* and *wollen 'want'*. This is confirmed by the fact that the speaker can elaborate on (70) with "as she doesn't know one". If the indefinite had necessarily narrow scope with respect to *'want'*, this continuation would not be felicitous, because Julia might still have the idea that Norwegians make good husbands and want to marry some Norwegian or other even without knowing any Norwegian.

(70)   Julia kann keinen Norweger   heiraten wollen   German
        Julia can   no   Norwegian marry   want
        'It's not possible that there is a Norwegian that J. wants to marry' $\neg$>can>$\exists$>want

Moreover, the fact that NIs in idioms can have a split reading is also a problem for De Swart's account. The NI somehow has to isolate itself from the idiom to be able to apply to the remaining property. But if the NI is part of the idiom itself (e.g. in (65) and (66)) the idiom cannot be interpreted en bloc anymore, a crucial property for the interpretation of idioms. The prediction is thus made that split-scope readings of idiomatic expressions receive a literal reading, contrary to fact. Hence (65) and (66) are also problematic for this analysis.
        Note this problem does not appear under the analysis I proposed, as the indefinite part of the idiom will be interpreted in the lower copy of the NI, whereas negation will be interpreted in the higher copy. The actual idiom that needs to be interpreted as a unit thus still contains the indefinite, but not necessarily the negation.(see section 2.3 for the relevant data)."

4.4   Penka (2007): NIs are n-words

A recent analysis that is the opposite of Geurt's and De Swart's analyses is Penka's (2007) that takes NIs to be semantically non-negative. Penka (2007), following Penka (2002) and Penka & von Stechow (2001), draws a parallel between Negative Concord, the phenomenon where multiple morphosyntactically negative elements yield only one semantic negation, as illustrated for Italian and Czech below:

(71)   a.   Non telefona nessuno   Italian
                Neg calls n-body
                1. *$\neg\neg\exists$x[**body'**(x) & **call'**(x)]
                2. $\neg\exists$x[**body'**(x) & **call'**(x)]
        b.   Nessuno   dice niente
                N-body   says n-thing
                1. *$\neg\exists$x [**body'**(x) & $\neg\exists$y[**thing'**(y) & **say'**(x, y)]]
                2. $\neg\exists$x [**body'**(x) & $\exists$y[**thing'**(y) & **say'**(x, y)]]

(72)   a.   Nevolá   nikdo   Czech
                Neg.calls n-body
                1. *$\neg\neg\exists$x[**body'**(x) & **call'**(x)]
                2. $\neg\exists$x[**body'**(x) & **call'**(x)]
        b.   Nikdo   nedá   nikomu nic
                N-body neg.gave n-body n-thing
                1. *$\neg\neg\exists$x[**body'**(x) & $\neg\exists$y[**body'**(y) & $\neg\exists$z[**thing'**(z) & **give'**(x, y, z)]]]

2. ¬∃x[**body'**(x) & ∃y[**body'**(y) **thing'**(z) & ∃z[**give'**(x, y, z)]]]]

Penka adopts Zeijlstra's (2004, 2008) analysis of Negative Concord, where n-words (in Negative Concord languages) are taken to be semantically non-negative, carrying an uninterpretable negative feature ([uNEG]) which needs to be checked against a negative operator, which may be phonologically abstract. The examples given in (71) and (72) would then receive the following syntactic and semantic representations (for more discussion of these and similar data, see Zeijlstra 2004, 2008 and Penka 2007):

(73) a. [Non$_{[iNEG]}$ telefona nessuno$_{[uNEG]}$]
          ¬∃x[**body'**(x) & **call'**(x)]
      b. [$Op_{\neg[iNEG]}$ nessuno$_{[uNEG]}$ dice niente$_{[uNEG]}$]
          ¬∃x∃y[**body'**(x) & **thing'**(y) & **say'**(x, y)]

(74) a. [$Op_{\neg[iNEG]}$ nevolá$_{[uNEG]}$ nikdo$_{[uNEG]}$]
          ¬∃x[**body'**(x) & **call'**(x)]
      b. [$Op_{\neg[iNEG]}$ nikdo$_{[uNEG]}$ nedá$_{[uNEG]}$ nikomu$_{[uNEG]}$ nic$_{[uNEG]}$]
          ¬∃x∃y∃z[**body'**(x) & **body'**(y) **thing'**(z) & **give'**(x, y, z)]

In short, the highest element carrying [uNEG] is always immediately dominated by a negative operator, be it overt (like Italian *non*) or covert ($Op_{\neg[iNEG]}$).

Penka argues that in Dutch and German the same process is going on, the only difference being that multiple agreement with respect to negation is not allowed in these languages. Hence, every NI is a semantically non-negative indefinite carrying a [uNEG] feature and needs to have its feature checked against an abstract negative operator $Op_{\neg[iNEG]}$. In case two NIs show up in the sentence each NI must licensed by a separate $Op_{\neg[iNEG]}$.

Thus Penka derives split-scope readings by having the abstract negative operator outscope the intervening scope-taking operator, which in turn outscopes the indefinite DP, as illustrated in (75).

(75) … dass Du keine Krawatte anziehen musst   German
      … that you no   tie   wear   must
      [dass Du [$Op_{NEG[iNEG]}$ [[keine$_{[uNEG]}$ Krawatte anziehen] musst]]]
      'It is not required that you wear a tie'   ¬ > must > ∃

However, at least two problems arise for this analysis. First it is not clear what determines the licensing conditions of the abstract negative operator. In Zeijlstra (2004, 2008) it is explicitly stated that the $Op_{\neg}$ may only be introduced in a derivation immediately dominating the highest element carrying [uNEG]. Hence, under this condition the split-scope reading could not be derived, as no material may intervene between $Op_{\neg}$ and the indefinite. Penka (2007) states that adjacency at surface structure is the proper licensing domain for NIs, otherwise the three readings in (76) could not have been derived.

(76) [dass Du [$Op_{NEG[iNEG]}$ [[keine$_{[uNEG]}$ Krawatte anziehen] musst]]]   ¬ > must > ∃
      [dass Du [$Op_{NEG[iNEG]}$ [keine$_{[uNEG]}$ Krawatte [anziehen musst]]]]   ¬ > ∃ > must
      [dass Du [[$Op_{NEG[iNEG]}$ [keine$_{[uNEG]}$ Krawatte anziehen]] musst]]   must > ¬ > ∃

However, linear adjacency is not a notion that applies at the level of surface structure, but at the level of PF. Surface structure is not about linearization: that is a process that takes place at

PF. For the derivation it does not make any difference whether two elements precede or follow each other. At Spell-out the two structures in (77) are identical:

(77)   [A [B C]]
         [A [C B]]

Only at PF do the two structures diverge. So, Penka's approach is in some way the mirror version of Jacob's and Rullmann's analyses: whenever an NI appears at surface structure, apparently $Op\neg$ should be presumed to be left-adjacent to it. But this leads to the problems that these analyses face, which she relates to Jacob's and Rullmann's approaches, but which still persist in the purely lexicalist view she adopts. Moreover, it would no longer be possible to account for the split-scope readings in English VO constructions, as there the position left-adjacent to the NI is not a position where negation takes scope.[14]

    Second, Penka (2007) takes every language to exhibit formal negative features and reduces the difference between Negative Concord and Double Negation languages (i.e. languages that always exhibit a 1:1 correspondence between morphosyntactic and semantic negation) to a single parameter, namely whether negative agreement may be subject to multiple agreement or not. Zeijlstra (2004, 2008) on the other hand states that only real Negative Concord languages have a formal negative feature and that in Double Negation languages the negative feature does not have any formal status: it is a purely semantic feature. As the main argument for this, Zeijlstra demonstrates that the acquisition of formal negative features in a Double negation language is impossible. Moreover, Zeijlstra argues that this analysis makes a strong empirical prediction, namely that if non-Negative Concord languages do not have a formal negative feature at their disposal, they cannot project such a feature either (following Giorgi & Pianesi 1997), as illustrated in (78).

(78)   a.   NC: [u/iNEG]/X   b.   No NC: X

              [u/iNEG]   X   [NEG]   X

As a result negative heads (X°) are predicted not to be available in non-Negative Concord languages. This prediction is borne out (on the basis of an extensive cross-linguistic and language-internal survey, cf. Zeijlstra (2004)): there is no language without Negative Concord that exhibits a negative marker that is a syntactic head.[15] This prediction remains unexplained though under Penka's analysis where every language has a formal negative feature. Now, this is not a principled argument against Penka, as she may argue that this unidirectional relation is motivated by something else, but if two different analyses of split-scope have more or less the same explanatory power and one is compatible with the only explanation thus far for some other phenomenon, whereas the other is not, this speaks in favour of the first analysis, i.e. the lexical analysis.

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[14] Note that the only option to repair this, would be to state that then $Op\neg$ is presumed to be a syntactic sister of *keine*, and that [[$Op\neg$ *keine*] NP] undergoes QR, followed by partial reconstruction, an option not taken into account by Penka. However, if QR plus partial reconstruction is taken to be the source of split-scope readings, nothing anymore motivates the additional assumptions Penka has to make (such as the underlying syntactic negative agreement relation that is subject to some stipulated 'no multiple agree' parameter in these constructions), as the existence of split-scope readings already follow without them.

[15] See Zeijlstra (2004, 2008) for a treatment of languages that at first sight seem to violate this prediction (such as Standard British English).

To conclude, Penka's analysis, which tries to unify Negative Concord and split-scope readings faces problems that the QR-based analysis for split-scope does not suffer from. As it stands, despite surface similarities, split-scope effects and Negative Concord seem to differ much more in nature and Zeijlstra's theory of NC cannot be naturally extended to split-scope constructions without seriously modifying it to such an extent that it seriously loses its explanatory force with respect to Negative Concord.

5   Conclusions

In this paper I have argued that NIs in languages like Dutch, German and English are not negative quantifiers (in the Montegovian sense), but pieces of syntactic structure that consist of a negative operator and an indefinite, which post-syntactically are spelled-out as a single morphological word. Split scope effects are derived as a result of the copy theory of movement. I have proposed that in split-scope constructions after Quantifier Raising the negative operator is interpreted in a higher copy and the indefinite in a lower copy of the NI. Furthermore I have demonstrated that alternative analyses that take NIs in Dutch and German to be negative quantifiers, n-words or to be resulting from amalgamation or incorporation processes face problems that the analysis presented in this paper does not encounter.

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