# Left Edge Filling Trigger

V2 as obligatory exponence at the sentence level and typological implications Mélanie Jouitteau, CNRS, IKER, UMR 5478

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This paper is an inquiry about the subcomponent of the Extended Projection Principle (EPP) that is relevant for second position phenomena: the *Left Edge Filling Trigger* (LEFT). LEFT basically amounts to a classical morphological obligatory exponence effect, except it is instantiated at the sentence level. It crosslinguistically operates in a postsyntactic realizational morphological module. I show that LEFT is an active rule of Universal Grammar, providing empirical arguments from Breton, a Celtic VSO language showing an extra conspicuous V2 requirement. I propose a radical reanalysis of language typology of word order. Classical V2 languages are conspicuously V2. SVO is a subtype. So-called V1 languages are either predicate fronting languages (Tense second), or inconspicuously V2. I discuss a crosslinguistic typology of LEFT effects, with great attention to inconspicuous satisfiers, among them null expletives for which I present evidence. I argue accordingly for a drastic extension of the typology of expletives.

This paper proposes a new take on V2 by bringing attention to the most inconspicuous elements that occupy the initial position before the inflected verb. It is first argued that the V2 requirement is in itself post-syntactic. A close look at possible word orders in Breton (Celtic) shows that when syntax and information structure conspire for Tense-first orders, the language makes use of last-resort non-syntactic operations that violate the Head Movement Constraint and/or the syntactic ban on excorporation. Syntactic accounts of V2 fall short in accounting for these syntactic violations that happen only in all-focused or out-of-the-blue contexts, as well as their ultralocality as in Stylistic fronting. I argue that V2 fundamentally derives from a morphological obligatory exponence effect that we observe at the sentence level (Left Edge Filling Trigger, LEFT). This proposal has far reaching typological consequences. Conspicuous LEFT effects can be seen in classical V2 languages like Germanic languages or Old Romance languages, but also Japanese and Korean scrambling paradigms, or even verb-doubling paradigms in languages as diverse as Basque or Gungbe. Finally, a close scrutiny at inconspicuous LEFT effects (dropped elements, null expletives, C heads...) allows for a reclassification of classical Verb-first languages like Arabic or Irish into verb-second languages, which drastically extends the typology of V2.

The paper is organized as follows. Section one provides a historical perspective on studies into the nature of the EPP with respect to verb-second phenomenon. Section two isolates the Left Edge Filling Trigger and illustrates with the Breton merged expletive bez'. Breton has a transitive expletive that does not impose restrictions on the postverbal subject, which shows that LEFT is neither vacuous nor redundant with Case assignment effects or relations of phifeatures. Section three presents arguments that Breton LEFT effects are post-syntactic. I propose they are realized in a morphological module before Vocabulary Insertion. I show that LEFT effects are ultra-local and syntactically ill-behaved. In Breton the obligatory exponent satisfying LEFT originates from the linearly closest post-head element (Stylistic Fronting, Long Head Movement), or from the head itself (excorporation followed by a do-support insertion, or even idiosyncratically leading to doubling). Section four reviews some paradigms that fall under the empirical domain of LEFT effects: V2 word order of wide focus sentences in V2 languages, Stylistic fronting, or short Scrambling in Korean and Japanese, verb-doubling in Western Basque or Gungbe. In section 5, I explore crosslinguistic paradigms with inconspicuous LEFT satisfiers. I address the question of null expletives. Section six summarizes how LEFT effects design a new typology of languages.

# 1. Historical perspective on studies about the EPP

The Extended Projection Principle (EPP) appeared in Generative Grammar in Chomsky (1982:10), mainly to contrast sentences and nominal structures: the former need a subject whereas the latter do not. Chomsky's (1986:46) implementation of the EPP stresses the notion of subjecthood: a subject must be present in Surface Structure. The EPP therefore has accounted for the insertion of expletives since the 80s: Manon seems \_ to be laughing, but (It)\* seems that Manon is laughing. Subjecthood-centric versions of the EPP were challenged by potential cases of subjectless sentences (see Babby 1991 for Russian, McCloskey 1996 for Irish), but this 'traditional EPP' is still widespread three decades later and the notion of subjecthood and the SpecIP position are still considered as classically pertaining to the EPP. During the next decade, the EPP has been used more and more, but at the same time it has been transformed so as to account for a larger set of empirical facts. First we saw a multiplication of the syntactic locations where EPP was postulated, and second we saw a radical extension of the typology of elements that could satisfy the EPP.

The multiplication of EPP sites in the structure started in the late 90s, when Case or Agreement relations could be made in syntax at a distance. Overt movement became entirely

redundant, and its motivation was endorsed by EPP features that can be freely postulated (on nominal structures, or on vP for object-shift, cf. Lasnik 1995, Chomsky 2000:102, 2001:10). This line of analysis is referred to as Generalized EPP. Its drastic extension of the location of EPP effects has triggered in the field a productive counter-wave of reductionist analyses, whose aim was to advocate for a complete elimination of the EPP as a Principle, as a Parameter or as a feature (Epstein and Seely 1999, 2006, Martin 1999, Grohmann, Drury and Castillo 2000, Boeckx 2000, Bošković 2002, 2007, Cable 2011). These reductionist analyses typically ignore the second direction of extension of the EPP paradigms, the one that extends the typology of elements that can satisfy the EPP. This second tradition of analysis has concentrated on the idea that something has to precede the inflected verb (or AGR features or tense morphology), whatever the syntactic location of this element at the end of the derivation (final EPP Bošković 2002, left edge lexicalization strategy or Filled Left Edge Effect, Sigurðsson 2008, 2010). In Chomsky (1995:235,287), the EPP is implemented by means of an N/D feature, weak or strong, that must be checked. The typology of EPP-derived structures thus includes all DP-V orders: impersonal adversatives in Russian (Lavine 1998, Lavine and Freidin 2001, Bailyn 2004), quirky subjects (Ura 2000, Boeckx 2000:appendix1), dative experiencers (Maling and Zaenen 1990 and Sigurðsson 2002 for Icelandic, Avrutin & Babyonyshev 1997 for Russian), OVS orders (Bailyn 2003, 2004 for Russian, Kilega and Kirundi), etc. The drastic extension of the typology of EPP satisfiers continues with English locative inversion and subject inversion structures. The pre-Tense element, adverb or there expletive is postulated to have a nominal dimension under the form of an uninterpretable D feature (Beletti 1988, Lasnik 1999). Any element for which an interpretable nominal feature can be postulated rejoins the set of possible EPP satisfiers (for example agreement, see Alexiadou and Anagnostopoulou 1998, Kato 1999). A first line of research has restated the EPP in terms of obligatory projection of a specifier (Lasnik 2001, Lavine and Freidin 2001, Bailyn 2003, 2004), or binding requirement of Tense (Sigurδsson 1997, Roberts & Roussou 2002). Typically, this tradition of analysis explores locative inversion, or pre-Tense possessive PPs with A properties. The variety of pre-Tense elements naturally places V2 languages as an empirical domain derivable by EPP effects (Holmberg 2000, 2005, Grohmann, Drury and Castillo 2000, Kim 2003, Bury 2003, Rezac 2004a, Bailyn 2004, Jouitteau 2005, 2007, Landau 2007 among others...). Finally, other types of EPP satisfiers are

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<sup>&</sup>lt;sup>1</sup> Despite crosslinguistic EPP effects being obviously blind to clitics.

also considered, in particular C heads, which opens the EPP derived paradigms to V2 languages with alternative C-VSO orders like Breton or middle Welsh (Bury 2002, 2003, 2005, Jouitteau 2004, 2005b:407 and chapter 6, 2005c, 2007).

In the next section, I go back to the unavoidable basics: expletive insertion has to be accounted for. I will show how Breton provides solid empirical evidence for a *Left Edge Filling Trigger* (LEFT) entirely independent of either the notion of subject, the specific SpecIP site, subject Agreement or Case.

## 2. What Breton expletive insertion shows

Breton is a Celtic VSO language that requires the presence of a pre-Tense element, be it a head or an XP. This type of word order is called  $Linear\ V2$  in Borsley and Kathol (2000), or X(P)- $VSO\ language$  in Jouitteau (2005). The structure is as in (1). The inflected verb is located in Fin, the lower head of an articulated CP domain (Jouitteau 2005). The subject is plausibly lower than SpecIP (Roberts 2005, Jouitteau 2005). A merged expletive can appear in polarity focus and in wide focus sentences.

#### (1) Expletive Fin-V [IP ... subject]

The morphology of the Breton expletive (2) is neither pronominal like French *il* or English *it*, nor adverbial like English *there*. Instead, its phonological realization corresponds to a shortening of *bezañ*, the infinitival form of the verb 'to be'. This remains true across dialectal variations, where the infinitive 'to be' and the pre-Tense expletive are realized the same (*bit* in the Poher dialect, *bout* in Gwened dialects, *bez* in Standard Breton).

a'. bez' (2)a. bi(t) bara. ZO bara. SO to-be (Fin) is bread to-be (Fin) is bread 'There is bread.' 'There is bread.' b. **bi(t)** ë ra b'. bez' e ra glav glav to-be Fin does rain. to-be Fin does rain. 'It rains.' *Poher dialect*, Trevidig (1987) 'It rains.' Standard Breton

The Fin head can be phonetically realized in Breton, and agrees in category with its preceding element (nominal > a + lenition, non-nominal > e + mixed mutations, Rezac 2004a). It is a

noteworthy property of the pre-Tense expletive in (2) that it is compatible with both realizations of the Fin head. Expletive insertion has a null semantic impact and never impacts the truth conditions of the sentence. Its effect, if any, is pragmatic. The equivalent of a *verum focus* effect on the verb is reported by Le Gléau (1973:45), Trépos (2001:440), Gros (1984:110), Favereau (1997:§443) and Chalm (2008:212), Bottineau (2009:4). Crucially, however, association of *verum focus* with *bez'* is possible but not obligatory. Contextually enforced *verum focus* in (3b) is pragmatically odd in (3a).

- (3) a. **Bez' omp** digemeret en eur zal vraz spontuz. Miossec (1984 :7)

  EXPL Fin.are.1PL welcome in a room big terrible

  'We are welcomed in a terribly big room.'
  - b. **Bez' he-deus** da vihanna, tri-ugent metr hed ha tregont metr lehed. EXPL Fin.3SGF-has at least three-twenty meter long and thirty meter large '(Indeed) It is at least sixty meter long and thirty meter large.'

One could be tempted to think of *bez* as a C Focus head transmitting Focus to either the polarity head or to the entire sentence. This is however not plausible, because focus sentence particles are semantically compatible with negation (for example in Nupe, see Kandybowicz 2008:37), but co-occurrence with negation is not an option in Breton. In the contrary, *bez* is in mutual exclusive distribution with any other pre-Tense element: negation, past participle, C matrix particle, subject or object. The distribution of *bez*' reveals a pure last resort operation repairing a ban on Tense-first: *bez* is never found in a post-Tense position, and *any* other pre-Tense element makes it illicit. In embedded domains, *bez* is only licit in structures that independently allow for embedded V2 orders, typically *if*-sentences (4).

(4) Lavar din ha **bez**' e teui.

tell to.1SG if EXPL Fin come.FUT.3SG

'Tell me if he is going to come.'

Kerne, Trépos (2001:322)

In contrast with the English 'there' type of expletive, bez' triggers no definiteness effect on

<sup>&</sup>lt;sup>2</sup> Bottineau (2009:4) states that the most common effect 'is reinforcement of the assertive force, centered on the speaker and potentially in conflict with the addressee, with pragmatic values close to those of the question and the so-called emphatic *do*-support of present-day English'. [my translation].

the canonically postverbal Breton subject, is not restricted to unaccusative constructions and shows no restriction to 3sg (Jouitteau 2007). *Bez* never appears in infinitives, even those allowing for an overt subject (prepositional infinitives, narrative infinitives, cf. Rezac 2011). Lexical availability of *bez* varies across Breton varieties depending on the verb that follows. In Eastern dialects (Treger, Gwenedeg), *bez'/bout* appears only before inflected verbs that contain the root of the verb 'to be'. The vannetais dialect of Herrieu (1994) also has occurrences before *kaout*, 'to have', derived from 'to be'. Gros (1984:110) states for Treger that *bez'* appears before *bezañ*, 'to be', *kaout*, 'to have' and *gouzout*, 'to know' (see also Hewitt 1988). In contrast, the expletive is found before any lexical verb in Western varieties like Kerne, Leon or Standard Breton.<sup>4</sup>

(5) **Bez'** e **prenis** eul levr d' am breur deh.
expl Fin bought.1SG a book to my brother yesterday
'I have bought my brother a book yesterday.' *Leon/Standard Breton*, Fave (1998:51)

The Breton bez expletive of Kerne, Leon and Standard Breton is thus a pre-Tense, non-pronominal free expletive. The very existence of such expletives in human language has deep theoretical implications, because it stands in opposition to the versions of the EPP that make reference to the notion of subjecthood (Chomsky 1982, 1986), as well as to the different attempts to reduce expletive insertion to semantics or to Case. The Inverse Case Filter (*ICF*, Bošković 2002, see also Bošković 1997, 2007, Epstein and Seely 1999, Ormazabal 2002) is a requirement that traditional Case assigners have to assign their Case, predicting expletive insertions where Case is canonically assigned (cf. English *there*). The Inverse Case Filter is typically used to account for EPP effects in SVO paradigms. In Breton, however, LEFT and ICF make predictions for different location sites, because the subject is Case licensed after Tense (6). ICF, if any, is active *on the right* of the inflected head, whereas the expletive shows up on its left. As schematized in (7), the argument holds for VSO languages such as Arabic or Celtic, and for Germanic V2 languages such as Dutch, Frisian, German or Icelandic that show

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<sup>&</sup>lt;sup>3</sup> In Eastern varieties, *bez'/bout*-V orders could derive entirely from verb-doubling, a process that is independently available in the language (Jouitteau 2011 and section 6 below).

<sup>&</sup>lt;sup>4</sup> For examples before lexical verbs in Kerne, see Trépos (2001:440), Chalm (2008:212), and for Leon and standard, see Leclerc (1986:63, fn2), Abeozen (1991:45), Fave (5) and Le Gléau (1973:45). See also de Rostrenen (1795:179) for the complete paradigm of the verb *karout*, 'to love', preceded by 'bez.

<sup>&</sup>lt;sup>5</sup> Breton is not isolated in showing Transitive Expletive constructions that do not impose restrictions on the postverbal subject. Such TECs exist in Finnish (Holmberg and Nikanne 2002) and Czech (Rezac 2004b:238)

Transitive Expletive Constructions (TECs). It also holds in V2 languages that lack TECs and obtain V2 orders by pre-Tense movement, such as the Mainland Scandinavian languages Norwegian, Danish and Swedish.

ICF could conceivably be responsible for postverbal expletive insertions like in (8) or (9) in Arabic or Swedish. However, in Swedish, if the pre-Tense adverb in (9) is dropped, the expletive appears on the left (10), a fact that ICF in (9) could not account for (in contrast with LEFT). <sup>6</sup>

- (8) Kaan **famma** barsha naas huuni.

  was. SG there many people here

  'There were many people here.'

  Tunisian Arabic, Chekili (2004)
- (9) Idag har det kommit många lingvister hit.
   today have it come many linguists here
   'There have arrived many linguists here today.'

  Swedish, Platzack (1998:56)
- (10) **Det** har \_\_ kommit många lingvister hit.

  It have come many linguists here

  'There have arrived many linguists here.' Swedish, Platzack (1998:56)

Under the proposal developed here, the so-called EPP effects in English result from the interaction of two independent rules, namely ICF and LEFT. It is difficult and arguably

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<sup>&</sup>lt;sup>6</sup> There is a debate as to whether expletives can move to SpecIP. Moro (1997), Hoekstra and Mulder (1990), Sabel (2000) among others suggest that expletives are generated lower than IP and then moved to SpecIP. Bošković rejects this idea and shows that in infinitives, expletives can only be generated *in situ*. The hypothesis of expletive movement by LEFT effects is not affected by these arguments.

inadequate to study these two rules exclusively in SVO languages like English or French, because both rules happen to have a common target site location in these languages.

A third theoretical implication of the Breton bez expletive paradigm concerns the 'vacuity argument' raised against the EPP in the literature. This tradition of analysis has demonstrated that in pro-drop languages, a hypothetical pre-Tense null expletive fails to show any association with a post-Tense subject (see Fassi-Fehri 1993:38-42 for Arabic, McCloskey 1996 for Irish, Alexiadou and Anagnostopoulou 1998 for Greek, and Rossello 2002 for Spanish and Catalan). This line of reasoning contrasts the hypothetical free null expletive with realized expletives like there or the Transitive Expletive Constructions in Mainland Scandinavian (Vangsnes 2002), claiming that postulating a null expletive would amount to positing a free pre-Tense expletive without any overt equivalent. The Breton data shows overt evidence for an overt free pre-Tense expletive: it is thus not the case that LEFT satisfaction in pro-drop languages would involve an ad-hoc exotic expletive (at least, not more exotic than the overt Breton one).

I conclude here that there does exist in human languages a trigger for the insertion of a free pre-Tense expletive. The Breton data shows that the free expletive cannot be reduced to a semantic or pragmatic effect, that it is independent of the notion of subjecthood, as well as that of nominal features.

# 3. LEFT as obligatory exponence at the sentence level

In this section, I will present the Breton paradigms that show signs of post-syntactic behavior in order to back the claim that LEFT amounts to obligatory exponence that applies at the sentence level in a post-syntactic morphological module. As a morphological trigger, LEFT allows for idiosyncratic exceptions. Its effects should be ultra-local, and show a linear dimension that captures equally head-movement and XP-movement. They should show themselves some signs of idiosyncrasy.

# 3.1. Morphological second position effects

Crosslinguistically, LEFT effects are known to be independently active in morphology: EPP effects indeed have been reported at the word-internal level. Obligatory exponence derives absolutive displacement in the inflectional morphology of Yimas (Papua New Guinea; see Foley 1991 and Phillips 1994), and ergative displacement and ergative doubling in the

inflectional morphology of Basque (see Rezac 2004b). Another second position phenomenon at the level of the sentence is also illustrated by the paradigms of clitic second languages, for which post-syntactic rules are commonly postulated (see Anderson 2005 for an overview and a morphological OT proposal for both clitic second and verb-second, as well as Bošković, this volume).

In all the preceding Breton sentences above, absence of the pre-Tense expletive would automatically trigger ultra-local fronting of an element as a last resort strategy avoiding Tense-first (in Breton, this phenomenon is known as *Long Head Movement*, or *Stylistic Fronting* in Borsley, Rivero and Stephens 1996, Rivero 1999). The interesting fact here is that LEFT short-movements seem free from syntactic rules, pointing toward a post-syntactic phenomenon. This last-resort movement operation already analyzed as pertaining to a post-syntactic/pre-PF component (Rivero 1999 for Breton, Burton Roberts & Poole 2006 for Icelandic). The concurrent syntactic accounts can be designed so as to manipulate heads as well as bigger constituents. In the next section, I will show two other sorts of last-resort strategies for the avoidance of tense-first: analytic tenses and verb-doubling. I argue that these paradigms provide additional arguments for the proposal that LEFT is post-syntactic.

## 3.2. Syntactically ill-behaved ultra-short-movement paradigms

The Head Movement Constraint (Travis 1984) fails to apply in (11), where the past-participle head has crossed the Fin head and the head of the auxiliary. The syntactic ban on excorporation (Roberts 1991) fails to apply in (12), where the lexical verb is excorporated out of the tensed complex and appears initial with an infinitive default morphology, while *do* insertion provides morphological support for Tense and the features of agreement (Jouitteau 2011). A particular case of excorporation arises when verbs excorporate without calling for *do* support. The lower inflected copy is thus pronounced, leading to verb-doubling structures as in (13), irrespective of the theta-criterion. Verb-doubling is also highly idiosyncratic, another hallmark of a morphological operation (Jouitteau 2011).

(11) **Prenet** em eus \_ ul levr evit ma breur deh. bought Fin.have.1SG a book for my brother yesterday

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'I have bought my brother a book yesterday.'
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Stylistic Fronting

- (12) **Prenañ** a **ris** ul levr d' am breur deh.

  to.buy Fin did.1sG a book to my brother yesterday

  'I have bought my brother a book yesterday.' *Excorporation and 'do' support*
- (13) **Goud** a **ouien** eur mare zo lar e oa teo ar vamm!

  to.know Fin knew.1sg a time is C Fin was fat the mother *Verb Doubling*'I knew since a long time that the mother was fat!' Uhelgoat, Skragn (2002:89)

Such syntactic violations are never licit elsewhere in the language. They appear exclusively in the immediate position before Tense, exclusively from ultra-local sites, and exclusively as a last resort for avoiding Tense-first. Adapting Rivero (1999), I propose that LEFT satisfaction movement operates in the PF branch and that LEFT effects occur on the left of a phase being linearized. The particular identity of this phase is language dependent, it is FinP in Breton. The Breton structure showing avoidance of Tense-first is illustrated in (14), where the morphologically complex head HI is linearized on the left edge of the phase it heads. HI contains different morphemes,  $M_x$  and  $M_y$ . Be it a head or an XP, H2 is a constituent that linearizes immediately after HI. The alternative repair strategies are illustrated below. In (15), the closest linearized element H2 is inverted with HI. In (16), morphological material is extracted out of H1. The  $M_x$  morpheme appears fronted before H1 where do insertion provides morphological support for  $M_y$ . In (17), the same excorporation arises, but instead of do support, morphology chooses to spell-out the lower copy of the extracted element. The empirical result is verb-doubling as in (13).

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[H_1 \text{ Fin } M_x M_y] [H_2] \dots
(14) OUT:
                 Phase
(15)
                 [Phase [ H_2 ] [H_1 Fin M_x M_y] [H_2]
                                                                           Stylistic Fronting
                                 [H_1 \text{ Fin } do M_v] [H_2]
                                                                           Analytic tense in do
(16)
                         M_{x}
                                                               . . . .
                                                                      ]
                  Phase
                                 [H_1 \text{ Fin } M_x M_v] [H_2]
                                                                           Verb-doubling
(17)
                 Phase
                         M_{x}
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LEFT short-movement strategies do not obey syntactic constraints (Head Movement Constraint, ban on excorporation), but are far from unconstrained. No subcomponent of H2 ever fronts: a fronted subject or adverb cannot be short-moved without its modifiers. Not all

<sup>&</sup>lt;sup>7</sup> Properties of Stylistic Fronting in Breton are detailed in Jouitteau (2005:chap 5, 2007). Properties of analytic tenses in 'do' and their analysis in terms of excorporation are detailed in Jouitteau (2011). The last resort dimension of verb-fronting for V2 has been noted since Stephens (1982) and Hewitt (1988).

HI material can be excorporated. The Fin head and agreement morphemes typically cannot. If a lexical verb  $M_x$  bears a clitic object, this clitic has to appear on  $M_x$ . Only short  $\nu$ P adverbs (sometimes polysyllabic) can appear on  $M_x$  as in (18) and (19).

- (18) Kompren (mat/\*alies) a ran (mat/alies) tout an traoù a larit.

  to.understand well/often Fin do.1SG well/often all the things Fin say.2PL

  'I understand (well/often) the things you say.' [D.L] Quimperlé
- (19) [...], ha gouzout **mat** a ouzon e save pennadou flemmus hag entanet [...] and to.know well Fin knew.1SG Fin put.up.3SG articles biting and passionate 'And I knew well he was writing biting and passionate articles...'

Cornouaillais (Pleiben), ar Go (1950:7)

It is not the case that LEFT effects are anchored to the extreme left of a realized utterance: linearization proceeds from phase to phase, and consequently designs a 'glass ceiling' on the left-edge of the phase above, which morphemes cannot serve as exponents anymore. The Breton high left-periphery of CP hosts hanging topics and scene setting adverbs, which are persistently invisible for LEFT effects (Jouitteau 2005 for Breton, see also Wolfe, Salvesen and Haegeman,xxx Greco this volume).

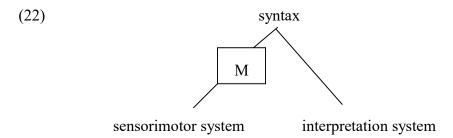
(20) Pan erruo ar brini du, douget gant an avel gwalarn, **tostaat** a **reio** ar goanv. when arrive.FUT the ravens black brought by the wind northeast, approach Fin do.FUT the winter 'When the black ravens come, brought by the Northeast wind, winter will be at hand.'

Standard, Le Bozec (1933:18)

Both Breton Stylistic Fronting and analytic tenses in do or doubling bear the LEFT signature properties listed in (21). The last resort dimension of short-movement is evidenced by its mutual exclusive distribution with any other pre-Tense element. Short movement is never observed in another location than directly before the Tensed element. Consistent with the hypothesis that short movement operates during linearization in a postsyntactic module, it is characterized by its ultra-local domain of impact: the moved element is either the closest post-Tense element (Stylistic Fronting) or a subpart of the Tensed element itself (Analytic Tenses in do, doubling). No longer movement is ever observed. Another consequence of LEFT operating in the PF branch is its invisibility at LF, as expected in a T model as in (22), where M stands for a morphological component a b b b Embick and Noyer (2001). As predicted, the T2

sentences in (11) and (12) are not semantically distinguishable from their counterpart with expletive insertion in (5).

- (21) (i) Last resort operation
  - (ii) Ultralocality (very short movement)
  - (iii) Lack of impact at LF
  - (iv) Blindness to the head/XP distinction,



LEFT effects are obviously blind to the X/XP distinction: a post-Tense subject is fronted in the same manner as a post-Tense lexical verbal head. Obligatory exponence at the phase level derives the possibility of Breton unmarked SV\_O orders as in (23), which solves a classical mystery of Breton syntax. The inflected Breton verb stands in Fin, the position phonologically signaled by its *a/e* realization: the inflected verb is thus directly dominated by the A-bar field where presence of A subjects is unexpected. However, the pre-Tense subject in Breton wide focus sentences does show A properties (Stump 1984, 1989, Borsley and Stephens 1989, Rezac 2004a, Jouitteau 2005:chap2, 2007). LEFT predicts that the subject of SV\_O unmarked orders will show A-properties, because for all LF interpretation is concerned, this sentence is VSO, with the subject standing in the directly post-Tense canonical A position. Even objects can be fronted by short-movement, as long as the derivation leaves them standing as the closest post-Tense element: in (24) the object is extracted out of an idiom chunk with an unmarked reading.<sup>8</sup>

- (23) Marijo a breno \_ ul levr evit Azenor. Subject short-fronting

  Marijo Fin bought.will a book for Azenor

  'Marijo will buy a book for Azenor.' Standard Breton
- (24) An êr az-peus \_ da houzoud ar vicher! Object short-fronting the appearance (Fin) 2SG-has of to.know the job

  'You look like you know what you're doing!' Léon, Seite (1998:13)

## 3.2. Lack of motivation for LEFT in syntax and semantics is expected

LEFT is the name of a theoretical trigger that remains here unmotivated in both modules of syntax and semantics. This is a desired result if, as suggested by the Breton facts, this rule is post-syntactic in nature. Different lines of hypothesis have proposed to derive V2 from a higher requirement, like a need for Tense to be bound (Sigurδsson 1997, Roberts & Roussou 2002). Such hypotheses make wrong predictions for empirical paradigms that include stylistic fronting or excorporation. Moreover, if V2 was the result of a deep syntactic or semantic requirement, verb-first languages or verb-first orders in V2 or SVO languages should never be grammatical at the syntactic level. This is a very strong typological prediction that has not been seriously explored (but see Bury 2005, Jouitteau 2005:chap6).

LEFT operates at a post-syntactic level and allows us to account for a possible idiosyncrasy of verb-second orders, as well as sets of erratic exceptions that are not reducible to the presence of a syntactic head before the tensed verb, nor to adverb-drop in narrative contexts (see Jouitteau 2009-2018:'V1' for a range of such exceptions in Breton).

#### (25) CONTEXT: Everyday it is the same thing...

E labouran va zamm douar, hag on skuizh.

Fin work.1SG my piece land and am tired

'I work my land, and I'm tired.'

Leon dialect, (Jouitteau 2009-2018:'V1')

### 3.3. LEFT is not an uninterpretable feature

LEFT is invisible to LF, and not filtered by syntax. Since LEFT does not have a semantic effect, and since it is blind to the X/XP distinction, multiple authors have tried a syntactic agreement scenario, going from the phonological [P-] of Holmberg (2000) for Icelandic, the empty  $\varphi$  sets mentioned by Grohmann, Drury and Castillo (2000), the [-Foc] of Holmberg and Nikanne (2002) for Finnish, the  $[\delta]$  feature of Rezac (2004a) or the categorial [u CAT] in Jouitteau (2005). However, LEFT does not coincide with what we know of feature checking. First, LEFT satisfaction does not seem to be possible at a distance. Instead, its very short domain of impact characterizes it. This problem can be dealt with by postulating a feature

<sup>9</sup> Old Norse would seem to be a plausible counter-example for the hypothesis that verb-first orders are syntactically ungrammatical. Rögnvaldsson (1996) provides VSO examples from corpus. Haugan (2000:22)

<sup>&</sup>lt;sup>8</sup> Thanks to Milan Rezac for pointing the idiom chunk example out to me.

present on almost all elements; Relativized Minimality next ensures no further element is concerned. This solution creates a second problem: a potential uninterpretable feature on the tensed head would have to be absolutely blind to all sorts of features already provided head-internally. The verbal head itself or its potential clitics have all sorts of phonological or categorical features that could satisfy the uninterpretable feature on site. A third argument, developed in Lasnik (2001), states that the EPP cannot be embodied under a strong feature. Provided that features can be checked by erasure of their satisfier inside an ellipsis (of VP or IP), VP ellipsis should allow for verb-first in V2 languages. However, verb-first exceptions are obviously not related to VP ellipsis (25). A last oddity is mentioned by Rezac (2004a:481): LEFT would be "the (unique) feature whose Agree results in the Merge component of the Move operation, and in expletive base-generation". I conclude that LEFT is not at core an agreement effect, and could not be embodied under a feature checking mechanism.

## 4. Crosslinguistic conspicuous LEFT effects

In this section, I provide a survey of the typologically most salient crosslinguistic LEFT effects. This wide typology of second placement effects shows up in Fin, T or even lower in the structure, demonstrating that LEFT is not a property of a particular head in the structure.

## 4.1. Classical V2 and information packaging

LEFT makes a precise prediction about the relationship between word order and information packaging. In V2 languages, a focus or a topic, when present, have to appear in the left periphery, leading to V2 orders. LEFT is evidenced in their absence, in so-called unmarked, or wide focus sentences that still are not verb-first. The last resort dimension of LEFT and its ultra local solutions make a straightforward, crosslinguistically falsifiable prediction about the exact set of possible pre-Tense element in a given numeration: the set of possible pre-Tense elements in wide focus sentences should consist of (i) merged expletives or (ii) whatever element stands the highest in the middle field in a given derivation, which will undergo short movement across the tensed head to form a light expletive created by movement (in the sense of Homberg 2000), or finally (iii) material excorporated from the tensed head itself.

In V2 Kashmiri, Bhatt (1999:89) notes that "subjects and adverbs can appear clause initially without any focal stress associated with them. Other non-subject arguments are permitted to appear clause initially only if they bear focal stress". This is precisely what is expected in (ii) above. Bhatt (1999:261) suggests that the temporal adverbs that can appear before Tense in wide focus sentences are directly merged in the pre-Tense position. The closest postverbal position in Kashmiri is otherwise canonically occupied by the subject, predicting its fronting in wide focus sentences.

In German wide focus sentences also, the pre-Tense element is directly fronted from the closest postverbal position, as a last resort pre-Tense filling strategy (see Fanselow 2002a,b, and Frey 2006 who adapt the generalization of Bhatt 1999 for Kashmiri V2 orders). Frey proposes (26), where we recognize the subcomponent of the EPP that LEFT isolates.

(26) [CP XP [C' V<sub>fin</sub> [IP ... ]]] can be derived from [C' [IP XP .... V<sub>fin</sub> ]] (by moving the finite verb to C and) by moving XP as the highest element of IP to SpecCP (Minimal Link) just in order to fulfil the EPP requirement associated with C, i.e., without any additional intonational, semantic or pragmatic effects. (Frey 2006)

Frey labels this operation 'Formal movement' and writes: "There is [in German] a mechanism by which the prefield can be filled with the highest constituent of the middle field of the same clause while preserving the semantic/pragmatic properties of the constituent and without endowing it with additional ones. Thus, this mechanism does not seem to be related to the assignment or licensing of any contentful property, but seems to be a purely formal one". Again in the empirical domain of Germanic languages, LEFT offers a new take on an old question: that of the precise location of the inflected verb in Germanic V2. A line of analysis proposes that in matrix SVO orders, the subject is unraised, and the inflected verb is lower than its C location prototypical of other V2 orders (Travis 1984, Zwart 1993 and subsequent work). The set of empirical arguments backing this proposal can as well be argued to result from LEFT preposing the subject by stylistic fronting.<sup>10</sup>

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<sup>&</sup>lt;sup>10</sup> Reduction of one rule to another goes only one way: LEFT is not reducible to the hypothesis that SVO orders in V2 languages are lower in the structure. LEFT for example accounts for the information structure associated with initial adverbs, or past-participle fronting. In Kashmiri, non-stressed preverbal elements can be subjects, and temporal adverbs pattern with them (Bhatt 1999:261). In Breton, subject oriented adverbs, non-tensed verbal heads, etc. can satisfy LEFT.

In a given V2 language, verb raising can always target the same site. If the subject is the closest element on its right in the derivation, LEFT will predict its privileged access to the pre-Tense position in wide focus sentences. Another approach of the empirical domain of V2 in Germanic or Romance postulates that the specifier of the landing site for the inflected verb is a *syncretic category*, a projection provided with mixed A/A-bar properties (Diesing 1990 for Yiddish, Rögnvaldsson and Thráinsson 1990 for Icelandic, Rizzi 1990, 1991, Zubizarreta 1998 for Spanish, Rezac 2004a for Breton). In any language where the closest postverbal position is an A position and the pre-Tense field is A-bar LEFT indeed predicts that the pre-Tense position should show mixed A/A-bar properties, the A properties being reduced to LEFT movement *from* an A position. Finally, for VSO languages with a CP domain on the left, LEFT accurately predicts that SVO will be a widely represented alternative word order (Universal 6, Greenberg 1966, see previous discussion in section 4.1).

## 4.2. Japanese and Korean Scrambling

Short distance scrambling in Korean and Japanese also falls under the predictions of LEFT. In Korean and Japanese, short distance scrambling of the object across the subject has no semantic impact. It shows A-properties and is available depending on the previous derivational history of the object, namely its Case marking (Miyagawa 2001:300, 2003). If it can be demonstrated in Korean and Japanese that Case marking brought the object in the structural closest position to the verbal head, which does not sound unreasonable, then short distance scrambling also dissolves into a LEFT effect.

## 4.3. Stylistic Fronting

In Breton and in Slavic languages, LEFT effects with lexical verbal heads appearing before their auxiliary are known under the name of *Long Head Movement*. In Icelandic and Faroese, LEFT effects are just another name for *Stylistic Fronting*. It has been extensively and carefully demonstrated that the optionally moved pre-Tense element in subject gap position in Icelandic is exactly the one brought the closest to the inflected verb at the end of the derivation, regardless of its category (Maling 1980, Holmberg 1997, 2000, 2005 and references therein). In languages that do show LEFT effects, but where a verbal head never

happens to be the highest element of the middle field, such violations of the Head Movement Constraint should never occur. <sup>11</sup>

Deriving V2 as a LEFT effect thus first obtains an empirical predictive power. The gain is also theoretical for two reasons. First, as already pointed out, it is desirable that two well defined but unmotivated mysteries could dissolve into one. Second, V2 is derived without appealing to a counting operation. It is rather unclear how language structures could be sensitive to positions determined by counting elements/positions. No language of the world is has obligatory V3 or V5, and no language shows verbal heads assigning Case to their internal argument if and only if they are located two positions away from it. Instead, language structures, in different modules and especially in the PF branch, are sensitive to the notion of edge. This means that second positions do not exist by themselves, but first positions do, and may be avoided, indirectly leading to second position effects. Morphological obligatory exponence derives V2 orders without appealing to a 'second position' as such, because V2 is merely a byproduct of avoidance of verb-first.

## 4.4 Verb-doubling in Basque and Gungbe

Basque and Gungbe show that prototypical LEFT effects are not restricted to the CP domain. Elordieta and Haddican (2016) present a case of ultra-local verb-doubling in Western Basque as in (27) where the verb is in T. Only the rare verbs that can raise to T can double. Doubling show all the signs of a last resort operation to prevent a ban on Tense-first. As in Breton, Hanging topics higher on the left do not interfere with the paradigm and pertain to the next phase.

(27) **Ibil-i dabil** beti kale-a-n. Western Basque
walk.inf walk.3SG always street.the.loc
'She is/IS always WALKING in the street.' Elordieta and Haddican (2016:223)

<sup>11</sup> Kashmiri may show apparent violations of the HMC, as illustrated below from Bhatt (1995:2), with a fronted verb and a stranded realized object. Bhatt does not comment on it. More work on this construction is in need in order to determine that the verb that crossed the auxiliary head is indeed a head, as it seems here.

'Ram is giving Sham a book.'

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<sup>(</sup>i) divan chu ram shamas kitab. *Kashmiri* give+prog. be+prs. Ram Sham+infl. book

I have considered so far only examples where LEFT, like in Breton, is relative to the Tense/agreement inflection head. V2 languages however do not exhaust the empirical domain showing a salient LEFT. Gungbe provides a case of obligatory exponence also in the preverbal position, but independently from Tense, inside a nominalized small clause structure. This small clause is either selected by an aspectual control verb ('refuse', 'begin'), or by a purpose marker  $gb\acute{e}$  or by a final low-tone (noted NR). In (28)b, I represent the derivation proposed by Aboh (2009) for these structures: the internal argument of the purpose marker  $gb\acute{e}$  fronts into its specifier. The position of obligatory exponence is at the left edge of this moved constituent. The canonical element in preverbal position is the internal argument (here in bold), whose preverbal movement is neither case-related (Aboh 2005:158), nor related to information packaging (Aboh p.c.).  $^{12}$ 

When this obligatory exponent position is empty, because the verb is intransitive, or because the object is either a pronominalized clitic or A'-extracted, another element has to take its place and front preverbally. This element can be a locative PP (29), a reduplicated adverb like  $d\acute{e}d\acute{e}$ , 'slowly' (30), a goal argument of a double object construction (31). A reduplication process of the verb is also an available last-resort strategy (32). When the numeration independently provides a preverbal head that satisfies LEFT, no fronting operation is required and the sentence is licit: presence of the prospective marker  $n\acute{a}$  for example saturates LEFT effects, as illustrated in (33).

<sup>&</sup>lt;sup>12</sup> Aboh (2005) labels the preverbal position the 'subject position'. The term is induced by his analysis that preverbal movement is EPP-triggered. Aboh (2009) clearly shows that no subject ever stops in AspP where it would fail to receive Case. The Tense marker, whenever realized, is the [+futur] morpheme  $n\acute{a}$ . It distributes Direct Case and is located higher in the structure (Aboh 2005:ex 11).

- 'Asiba is going to the market.'
- (30) Àsíbá tò [ dédé zon ]`.

  Asiba PROG slowly walk.PRT

  'Asiba is walking slowly.'
- (31) Été wè à tò [Kòfí kplon]n'? Gungbe, Aboh (2009:12) what FOC 2SG PROG Kofi teach. PRT

  'What are you teaching Kofi?'
- (32) Kòfi ná nò tò [ **dù**dù-ì ] `. Gungbe, Aboh (2005:158)

  Kofi FUT HAB PROG eat.eat-3SG NR

  'Kofi will be habitually eating it.'
- (33) Kòfí ná nò tò [ **ná** dù-ì ] `. Gungbe, Aboh (2005:158)

  Kofí FUT HAB PROG PROSP eat-3SG NR

  'Kofí will be habitually about to eat it.'

# 5. Inconspicuous LEFT effects

In this section, I investigate the paradigms where the satisfier of LEFT is less conspicuous than above. I design a brief typology of inconspicuous elements. The hotly debated question of null expletives is discussed in detail in section 5.5.

## 5.1. Topic-drop

The first range of inconspicuous syntactic elements satisfying LEFT is illustrated in the well documented cases of topic-drop in V2 languages, like in Breton (34) or Dutch (35)a. Dropping of a non-argument is a harder case, with less obvious evidence for the gap. Adverbdrop in (35)b and c illustrates isolated V1 patterns in joke introduction or narrative in prototypically V2 Dutch. Similar adverb drop is also noted in Breton (Gros 1984) where an adverb like *so* can be dropped. <sup>13</sup>

(34) ... a zo gwir! Breton

Fin is true

'That's true!/...which is true!'

<sup>13</sup> See also (Bhatt 1999:116) for examples of V1 examples with topic-drop in Kashmiri, or Sigurdsson (2010:182) for Icelandic.

- (35) a. ... Wil ik wel doen. Dutch, (Bennis 2006:fn3) .... want I PRT do 'That is ok with me.'
  - b. ... Zitten twee mannen in de kroeg. *joke–introduction*

... sit two man in the pub

'There are two men in the pub.'

c. ... Zegt die een tegen de ander: narrative V1

... says that one to the other

'The one says to the other:'

## 5.2. Phonologically empty elements

Phonologically null elements of all sorts are inconspicuous LEFT satisfiers. Icelandic and Faroese PRO subjects of infinitives are incompatible with Stylistic Fronting (Sigurδsson 2008:444), and hence plausibly saturate LEFT effects. In Icelandic and Faroese tensed domains, Stylistic Fronting appears optional when it occurs in a subject gap position. I assume A-bar movement of subjects can optionally raise in SpecIP where it will leave a trace or a copy whose presence serves as a LEFT satisfier at the interface (A-bar moved objects never leave a trace there). Icelandic embedded C-V orders are restricted to impersonal subjects (Sigurδsson 2010:184), pointing toward an element licensing an impersonal pronoun. Across both pro-drop (36) and non pro-drop (37) Romance languages, a realized expletive has an acceptable null counterpart in some specific constructions. Possible realization of the expletive is a strong argument for the presence of a null expletive in the alternative.

- (36) (Ello) parece que no hay azúcar.

  It/pro<sup>EXPL</sup> seem.3SG that no existential sugar

  'It seems there is no sugar.' Dominican Spanish, (Toribio 1996: 422)
- (37) (II) faut chanter.

  It/ pro<sup>EXPL</sup> need.3SG to.sing

  'One/we must sing.' Standard colloquial French

From the above, it is clear that LEFT is not concerned with the PF realization of its satisfiers, and hence cannot be derived by any form of a *Hearability/Visibility Condition*.

Phonologically null LEFT satisfiers are however constrained: short-movement is not an available option for them.<sup>14</sup>

## 5.3. No short-move of phonologically empty elements

Stylistic Fronting targets specifically the closest post-Tense element with phonological features. In Breton, Stylistic Fronting is insensitive to the intervention of an empty category such as the trace of an incorporated subject pronoun, or the trace of verb-movement in T (Jouitteau 2005:chap5). The idea that phonologically null elements cannot be fronted is also falsifiable in pro-drop languages. Old French was a pro-drop V2 language. However, the null pronouns that one finds in the IP domain appear banned from the pre-Tense area (Adams 1987). If V2 is understood as a LEFT effect, and if phonologically null elements cannot be targeted by LEFT short-movement, the ungrammaticality of unmarked pro-dropped SVO orders follows. Is see two reasons for the ban on the short-move of phonologically empty elements. First, as noted by Rezac (2004a), empty categories cannot undergo Move because the pied-piping operation is not defined for them (Chomsky 2001:23-4), and second, fronting of a null category would fail to impact either directly or indirectly at least one of the interfaces (Jouitteau 2005).

To summarize so far, topic-drop, copy or traces, as well as null pronouns like PRO and *pro* are inconspicuous elements able to satisfy LEFT. Stylistic Fronting of null elements is banned by independent principles.

### 5.4. C heads and even more inconspicuous elements:

We have seen that heads are potential LEFT satisfiers. I show here that C heads, especially evidential heads, can show up with a rather unconventional morphology. They can be realized by (i) minimal sounds, (ii) ostensible physical gestures or (iii) intonation. The typology of inconspicuous LEFT satisfiers has to be extended in accordance.

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<sup>&</sup>lt;sup>14</sup> Platzack (1998) explored the idea of a *Visibility Condition* on the CP area for V2. The multiplication of the projections of the extended CP domain à *la* Rizzi (1997) made it untenable, but the intuition is reworked out in Roberts and Roussou (2002) who proposes as a parameter that some functional projections (noted \*) be obligatory overt, minimally by the Spec or head. However, this rule cannot predict that phonologically null elements under the 'glass ceiling', like dropped topics or null pronouns can satisfy LEFT.

<sup>&</sup>lt;sup>15</sup> A reviewer reports the same situation to arise in Kashmiri (Wali and Koul 1997). However, I could not find an empirical illustration for it. Wali and Koul (1997:85, ex6) shows that the preverbal expletive can be dropped, and that a stylistic fronting option is possible. Ungrammaticality of verb-first is probably assumed.

SVO Modern Nantais French shows a surprising paradigm that enforces the phonological realization of a pre-Tense element. Nantais French is a non-pro-drop Romance language where null expletives as in (37) are lexically restricted. However, pre-Tense subject drop is possible like in (38) if and only if another element with phonological content is realized before the verb (Jouitteau 2004). In (38), the weak pronoun 3PL *ils* is pronounced with a final /z/ in order to accommodate the initial vowel of the inflected verb. If this /z/ liaison remains, the subject can be freely dropped. More importantly, the liaison can be erased together with the weak pronoun if and only if any another phonological matrix is realized before the verb.

(38) (Ils) /z/ ont arrêté plus de 150 militants bretons. they LIAISON have arrested more than 150 militant breton 'They have arrested 150 breton militants.'

Erasure of both the subject pronoun and its liaison are licit if pronunciation of the inflected verb is preceded by a disabused /pfff!/ sound or an admirative whistle. These minimal sounds unambiguously express the attitude of the speaker toward the utterance. These minimal sounds realize an evidential head in the CP domain (Jouitteau 2004, 2005:chap6). 16,17

Syntactic null C heads are also eligible LEFT satisfiers. A first case is a phonologically null head that is detectable because it syntactically licenses another. Licensing of negative polarity items such as *ever* in English can serve as a detection test for a class of inconspicuous pre-Tense elements. In English, the adverbial *ever* must be licensed by an element higher in the structure ('If he should ever call', see Klima 1964, Giannakidou 1997). A pre-Tense inconspicuous element is detectable when it licenses *ever*: Ø Will he ever call or Ø Should he ever call, she will answer. Likewise, in yes/no question, a null Q head precedes the inflected verb and leads to inconspicuous V2. Such paradigms are called *verb-first* in prototypical V2 languages as in (39)a, or *inversion contexts* in prototypical SVO as in (39)b. The yes/no question pattern could also be analyzed by a verb-raising operation, the inflected verb providing a phonological matrix to an abstract head. In this scenario, the inconspicuous

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<sup>&</sup>lt;sup>16</sup> The Nantais French C head is not the LEFT satisfier itself, but it allows for erasure of the LEFT satisfier subject at PF. At PF, erasure of the subject is licit only if it is directly preceded by a realized head, a constraint that has been independently argued for (Ackema and Neeleman 2003, Bošković 2007). This accurately predicts that LEFT short-movement never occurs as a repair strategy with non-realized subjects. Stylistic Fronting, among others, is out: \*Arrêté ont plus de 150 militants bretons.

<sup>&</sup>lt;sup>17</sup> See also Santorini (1989:48), ex (18) for an example of English subject drop repaired by a pre-Tense yeah.

element is a C particle on which the verb has incorporated. Such particles triggering inconspicuous V2 orders are to be found overtly in Breton. Only some rare verbs can select a matrix C head as in (39)c, associated with the rare apparent verb-first orders in the language. In Welsh, pre-Tense matrix C particles can be merged freely, a property that qualifies the so-called prototypically verb-first Welsh as verb-second ((39)d).

(39)Ga ie met mij mee? Dutch, (Bennis 2006:fn3) a. Q go you with me PRT 'Do you come with me?' b. As-tu jamais aimé? French Q have-you ever loved E-maon Breton c. ger. **C**-be.1sG in.the home 'I am home.' d. Fe Welsh glywes.  $\mathbf{C}$ heard.1.sg 'I've heard.'

Last but not least, the morphology of inconspicuous syntactic heads can be oral, but also gestural, taking from a morphology that is more prototypical of sign languages. Going back again to Nantais French, we saw that PF erasure of a pronominal subject was possible *if and only if* this subject was preceded by an evidential head realized at PF. We saw already that minimal sounds can realize evidentiality markers standing in the A-bar field. Surprisingly, these markers can also be realized by an ostensible physical gesture as in (40)a, b (Jouitteau 2004, 2005:chap6, 2005c). In (40), if the gesture fails to be produced, the sentence is felt strongly ungrammatical. In (40)c, licit with falling intonation, the gesture realizes a Q head serving clause typing.

(40) a. ([SHOULDER RAISING])\* rappellera plus tard. Nantais French
call.back later
'(it doesn't matter:) you/he/she/we will call back later.'
b. ([EYEBROW RAISING])\* prendront le car de six heures.
will.take the bus of six hour

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'(I doubt that) they will take the six hour bus.'
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c. ([HEAD RAISING])\* sens le gaz? smell the gaz?

'Do I smell like gaz (or what)?' > 'Come closer!'

In (40)c, if and only if a raising intonation is realized and serves clause-typing, the gesture becomes optional. Realization of pre-Tense Q particles by raising intonation is another wide spread crosslinguistic source of inconspicuous elements satisfying LEFT.

### 5.5. Raising calmly the question of phonologically null expletives

The three recurrent serious questions about null expletives concern their theoretical motivation with respect to economy, leading to questions of learnability.

- (41) i. Can linguists postulate syntactic elements that have neither phonological realization nor semantic content? Isn't it completely *ad-hoc*, especially in pro-drop languages?
  - ii. Elements enter a numeration if and only if they have an effect on the interface (Chomsky 1995:294).
  - iii. How is a null and semantically empty element learnable? 18

I agree with the idea that if a null expletive fails to have impact on at least one of the interfaces, it may exist without being falsifiable and is not in our scientific reach. However, I consider that null expletives, contrary to the presupposition of (41), can have an impact on at least one of the interfaces. Requirement of impact should be saturated by an indirect impact. To provide an astronomy analogy, the presence of the invisible satellite of a planet can be revealed by the gravity influence this satellite has on its planet. Likewise, if phonologically null and semantically empty elements do exist in syntax, they should be revealed by the result of their interactions with other syntactic elements, at least on one of the interfaces. Null expletives should thus either have an effect on other elements (phi feature sharing, consonantic mutation on the following element, disturbance of liaison...), or they should impact the semantic behavior of other elements (scope variations, indefiniteness effects, restriction on the type of verb, licensing of negative polarity items or free choice). Sheehan

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<sup>&</sup>lt;sup>18</sup> The argument of learnability is valid only in the hypothesis that LEFT is a Parameter. If LEFT turns out to be a Principle, learnability is not an issue.

(2006:238) shows for instance that in Romance languages, a null locative can have subtle effects on the semantics of the sentence like *there* does, and is interpreted at LF as an existential quantifier. This null expletive also has an impact on word order: it is responsible for inversion in out-of-the-blue contexts.

Below, I illustrate specifically with a class of null expletives revealed by the disturbances they trigger on agreement paradigms.

## 5.6. A case of indirect evidence for pre-Tense null expletives: Agree

We know for a fact that overt expletives can enter into  $\varphi$ -feature relations with an inflected verb (*It seems that the girls are having fun*). Czech provides a full paradigm of expletives agreeing in number and gender. Morphology here overtly signals the expletive's interpretable  $\varphi$  features (Rezac 2004b). These elements enter into agreement relationships with their verbs the way pronominal subjects do.

- (42) **Von** (asi) byl dřív vchod z druhý strany. *Czech*, Rezac (2004b) he probably was before entrance.NOM from other side 'There probably used to be an entrance on the other side.'
- (43) **Vona** se každýmu dítěti jeho první hračka líbí. she SRX every-DAT child-DAT its first toy pleases 'Every child likes its first toy.'

In pro-drop languages like Iberian Spanish or Italian, the verbal agreement morphology is provided with a [3sG] set of features. In languages or constructions with optionality of the realization of the expletive, the agreement pattern is constant irrespective of the pronunciation of the expletive, as previously illustrated in (36) and (37). In this case, null expletives are probably uniformly the goals for Agree. The concurrent hypothesis that null expletives are not eligible goals for Agree has to resort to the idea that the verb  $3^{rd}$  person agreement is default (and has to parameterize it), with the implication that modifications of a verbal root outside of agreement paradigms is possible. Absence of a pronominal expletive should trigger the inflected verb to either show up with a bare root, or to covary with the closest element providing interpretable  $\varphi$ -features. This pattern is not observed.

The interesting case is that of languages where the null expletive is not the subject. Such a case is provided by transitive expletive constructions in languages where a realized subject

shows up postverbally and a LEFT satisfier, distinct from the subject, shows up before Tense. I assume that in these languages too, pre-Tense expletives can bear interpretable φ-features and be a goal for Agree. When the expletive is the closest goal for Agree, it should act as an intervener for subject agreement and perturb the verbal covariance with the features of the post-Tense subject. I propose the hypothesis in (44), which has far reaching typological consequences as it allows for a reclassification of emblematic 'verb-first' languages with impoverishment agreement paradigms like Irish, Welsh, Modern Standard Arabic and Hebrew. They would be at core inconspicuously verb-second.

(44) A null expletive is recoverable and learnable when it is a goal for Agree.

I follow Jouitteau and Rezac (2006) who propose that the 'frozen agreement' characteristic of complementarity effect in Celtic, Arabic and Old Hebrew results from the presence of an intervener for Agree, as illustrated below for Modern Standard Arabic.

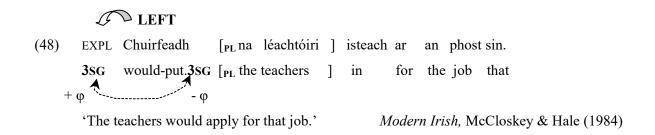
(45)V-φsubject /akal-**na** have eaten.3F.PL 'They have eaten.' b. \* /akal-na /al-ummahat-u \*V-osubject Subject have eaten.3F.PL the mothers.F.PL-NOM /akal-at /al-ummahat-u V-\psi3sg Subject c. have eaten. **3F.SG** the mothers. **F.PL**-NOM 'The mothers have eaten.' Modern Standard Arabic

In the Minimalist Program, a Probe  $[u\phi]$  tracks interpretable features and 'agrees' with the closest goal. In (44), the Probe  $[u\phi]$  on the inflected head has found a goal with interpretable [3sG] features, and covariance with the subject is not available anymore. The agreement morphology spells out [3sG] features whatever the features of the DP subject because the closest goal for the Probe indeed has [3sG] interpretable features: the pre-Tense expletive. In (44)a, the weak pronominal subject incorporates into the inflected head and consequently stays the closest goal for Agree. The location and precise features of the null expletive can now be investigated: (46) shows that the expletive is closer to the inflected verb than a

hanging topic (or any other null element bound by this hanging topic), and (47) shows that the intervening expletive is not specified for gender<sup>19</sup>.

(46)al-nisaa?-u ya-Zhar Zahab-na Ali (1996) anna-hunna the women3F.PL—NOM seem-3SG.M left-3F.PL. that-they-F 'As for the women, it seems that they have left.' **LEFT** Modern Standard Arabic, Doron (2000:77) EXPL la ?ibat (47) wa-zayd ] PL maryam fi-l-bayt. Mariam.F et Zayd.M in-the-house 3SG played.3SG.F 'Mariam et Zayd played in the house.'

Irish is a pro-drop VSO language, a prototype in the literature of a language not showing any sort of EPP effect (McCloskey 1996). My hypothesis in (44) accurately predicts that complementarity effects and apparent absence of LEFT effects go hand in hand: the pre-Tense null [3sG] goal for Agree monotonously saturates LEFT. In Irish, the pre-Tense null C head has interpretable [3sG] features and monotonously freezes agreement at the syntactic level. <sup>20</sup>



Rich morphology of Irish agreement thus differs from that of Modern Standard Arabic. The latter results from a syntactic agreement with the subject, whereas the former is monotonously frozen at the level of syntax. Irish rich agreement morphology is a post-syntactic realization superimposed onto the monotonous syntactic frozen [3sG] agreement morphology. As such, it shows idiosyncratic properties and is sensitive to prosody (see Adger 2006). The Modern Irish pre-Tense expletive is otherwise of the Breton *bez* 'type in that it imposes no restriction on the post-Tense subject. The null Irish matrix C head has to be present in all Modern Irish

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<sup>&</sup>lt;sup>19</sup> The literature diverges as to whether gender marking on the inflected verb is a result of cliticisation or of agreement in Modern Standard Arabic. My hypothesis is immune to the controversy.

sentences, independently of LEFT, because the agreement pattern is not troubled by independent LEFT satisfaction. Early Celtic also provides the case of a null matrix C head with a LEFT last resort dimension: in Brythonic Celtic of the XI<sup>th</sup> century, a special so-called 'absolute' agreement marker correlates with the only possible V1 orders of the language (on Bergin's law, see Fleuriot 1983).

(49) chwerdyt bryt wrth a garo laugh.V1 spirit P Fin likes 'The spirit laughs at what it likes.'

Early Welsh, [Red book, 1028.12]

In (49), absolute agreement is realized by the *-yt* agreement morpheme. I propose that LEFT is active in the language: in Tense-first contexts, it triggers the last resort Merge of a null expletive (possibly a C head) whose interpretable features trigger the *-yt* agreement reflex. Consistent with this hypothesis, Early Welsh realized C heads or fronted focused elements are incompatible with this special *-yt* agreement.<sup>21</sup>

Below, I detail the three possible scenarios opened by the existence of null expletives, and the predictions they make: The main three options are : (i) when a null expletive intervenes for Agree, (ii) when a null expletive does not intervene for Agree, and (iii) when no null expletive is available in the language.

In the first case, the null *pro* expletive intervening for Agree is detectable and learnable by the disturbance of agreement it triggers on the verbal morphology. If this intervener for Agree stands in a pre-Tense position, it also leads to apparent verb-first orders that are inconspicuously Tense-second. If freely available, this expletive should ban any other LEFT satisfaction strategy in the language. Irish or Modern Standard Arabic could illustrate such a scenario. In this line of reasoning, 'poor agreement' becomes a sign that LEFT has been satisfied by a syntactic element bearing default features. In case a null expletive is available in the language but never is the closest goal for Agree, or if it does not bear interpretable features, this null expletive does not intervene in agreement relationships and no

Note that my predictions in Celtic mirror exactly the predictions of Alexiadou and Anagnostopoulou (1998). Their hypothesis that the EPP is a requirement satisfied by rich agreement wrongly predicts that Celtic

<sup>&</sup>lt;sup>20</sup> Celtic languages have overt matrix C particles. The Irish intervener for Agree is plausibly a null matrix C head. C heads can bear interpretable phi-features as in Flemish.

complementarity effect is expected on the verbal agreement system. LEFT is monotonously satisfied by this null expletive. The overt counterpart of this scenario is illustrated by transitive expletive constructions. In order to be learnable, this null expletive should impact one of the interfaces by other means like association with another element (for example definiteness effects, restriction to person 3...). In case (iii), no null expletive is available in the language. If complementarity effects do show up, they are triggered by another sort of intervener for Agree, as is the case in Breton where the intervener is the extended  $\nu$ P itself (Jouitteau and Rezac 2006), or by some other mechanism. Apparent V1 orders should be banned, because LEFT is never satisfied by a pre-Tense null intervener for Agree. All sorts of conspicuous LEFT strategies characterize the language, leading to a salient V2 word order. <sup>22</sup>

## 5.7. Null expletives and excorporation

We know from Breton analytic tenses in *do* support and verb-doubling constructions that LEFT effects include excorporation out of a complex morphological head like that of a tensed verb. This paves the way for another excorporation scenario, where the bundle of features that are excorporated from the tensed verbal complex is not the lexical verb and its potential clitics, but the nominal features of agreement. This recalls Kim (2004)'s proposal that null expletives can be realized by features splitting and subsequent pre-Tense movement. Kim presents evidence in Greek, Italian and Spanish for a pre-Tense null expletive. First, a post-Tense null subject can control the PRO subject of an infinitival  $\nu$ P adjunct. The null subject being *in situ*, inside the  $\nu$ P, could not C-command a  $\nu$ P adjunct, revealing a higher pronominal element C-commanding the adjunct.

In conclusion, I call for a drastic and careful extension of the typology of inconspicuous elements potentially satisfying LEFT. First, elements realized by gestures or minimal sounds or intonative morphology do exist as LEFT satisfiers and have to be seriously considered. Second, null expletives do exist, and can bear interpretable features that are selected as a goal for Agree. Depending on their location, they should be able to intervene in verb-subject agreement relationships by Relativized Minimality. There seems to be languages where intervention scenarios could provide a key for the study of agreement troubled/impoverished

empoverished verbal morphology should pattern with visible occupation of the preverbal area. I predict that an agreement paradigm blocked to 3SG morphology can pattern with apparent verb-first orders.

paradigms, as well as for word order. The above empirical body of data is too partial to be considered definitely conclusive on that last point, but it is enough to conclude that the study of inconspicuous expletives is a challenge of the sort we should not refuse. Furthermore, the study of inconspicuous expletives created at PF bears the potential of raising the question of the very existence of verb-first languages, and redesigns the classification of human languages.

# 6. A wider typology of verb-second languages

LEFT satisfaction at a postsyntactic level derives a uniform X(P)-VSO type in all languages with a CP domain on the left and with a raised verbal head bearing Tense and agreement features in T (or subsequent raising to Fin). In this empirical domain, word order further depends on the Case assignment system and the lexical inventory of a given language, leading to either an SVO, VSO or V2 type. I detail below these three different cases.

In an X(P)-VSO language where the pre-Tense position is filled independently of LEFT, for example because direct Case is assigned to the subject into the left position of Tense, Case distribution and LEFT conspire to impose the presence of a nominal element. Such an X(P)-VSO language over-represents SVO orders, as is the Case in English or French. Apparent V1 orders are inconspicuouly V2, and are restricted to the exact set of null pronominal elements that fill in the pre-Tense position, like null first person pronoun in English declaratives (diary-drop), null second person pronoun in English interrogatives ( $\emptyset$  bought milk?), or restricted null expletives in French as in (37) and (38). Should the ICF requirement vary across time, the language shows conspicuous V2 effect as illustrated by stylistic fronting in the V2 stage of Romance languages.<sup>23</sup>

In an X(P)-VSO language where the pre-Tense position is not filled independently of LEFT, and where the lexicon provides inconspicuous strategies to satisfy LEFT (pro-drop, null C expletive, gestural expletive), canonical word order can superficially look V1. Irish, Scottish Gaelic, Welsh, Biblical Hebrew or Modern Standard Arabic show a wide inventory of

<sup>23</sup> The term residual V2 for SVO languages is thus inaccurate in synchronic studies: SVO fully instantiates V2.

<sup>&</sup>lt;sup>22</sup> Null pronominals are not available in Breton, which is not a pro-drop language. Breton null subjects are plausibly traces of incorporation into T. The preverbal position is occupied by a null subject only in case of topic-drop, under regular restrictions of interpretability.

inconspicuous pre-Tense elements (heads or phonologically empty elements), leading to their VSO appearance. Crosslinguistically, those *inconspicuous V2* orders derive from the set of properties already typologically associated with verb-first languages (pro-drop parameter or pre-Tense particles, see Carnie and Guilfoyle 2000:10, Carstairs-McCarthy 1999:158, Bury 2002).

Finally, an X(P)-VSO language where the pre-Tense position is not filled independently by LEFT, and whose lexicon fails to provide inconspicuous satisfaction strategies will resort to visible heads or XPs to fill in the pre-Tense position. These languages are the classical V2 languages. The lexicon of Germanic languages prototypically lacks null subjects and matrix C heads. The inventory of pre-Tense elements consequently consists of either a pronounced phrasal constituent, a topic-drop as was illustrated for Dutch in (35), or an embedded C head. As for expletives, German has an overt expletive *es* for meteorological verbs, and a null expletive for existentials, but the latter seems correlated with inversion (Sheehan 2006:239), which points towards a postverbal null expletive that could not be fronted as a LEFT satisfier due to its lack of phonological content. In Breton, matrix C or Q heads and some restricted matrix C heads are available as in (39)c, and they design the limited set of superficial V1 orders (C-VSO). I recapitulate the inventory of LEFT satisfiers in the table content below. Crosslinguistic inconspicuous LEFT satisfaction appears in grey.

#### (50) Crosslinguistic pre-Tense elements

Satisfaction of the EPP	SVO			VSO			V2		
by:	English	French	Italian	Welsh	Irish	Arabic	Hebrew (Mod.)	Breton	German
DP subject	V	√	√	1	√	√	V	√	V
Realized Expletive	V	√	√	1	Ø	√	V	√	√
DP object	-	-		1			V	√	√
Loc Inversion	V	√		1			V	√	√
Pre-Tense Adv		√			√		V	√	√
Null Expletive	Ø	Restrict.		Ø	V	√	V	Ø	Restrict.
null subject Pronom	Ø	Ø		V	V	$\sqrt{}$	Ø	Ø	Ø
C head in embedded	-	-	-	√	√	V	V	V	√
Null C head in	-	-	-					<b>V</b>	
embedded	Ø	Ø	Ø		۵ ( ( ا ا			√ few	Ø
Matrix C head	V V	Ø	Ø	V	√ (morph)	V			Ø
Expletive of Stylistic Fronting								V	
non segmental gestural EXPL		√ (Nantais French)		?				?	

The X(P)VSO classification thus subsumes the SVO, VSO and V2 types. Family internal word order partitions are avoided. The V1 vs. V2 typology classifies apart languages whose genetical links are obvious and whose core properties are alike: in the Celtic branch, Modern Irish, Welsh, Scottish Gaelic and Manx are considered V1 whereas Middle Welsh, Breton and Cornish are considered V2, and Old Irish residual V2. In the Semitic branch, Biblical Hebrew and Modern Standard Arabic are classified in the V1 type whereas their modern counterparts, Modern Hebrew and various Arabic dialects are V2. The word order of the X(P)-VSO subtypes is now clearly tied with the availability of inconspicuous strategies for LEFT satisfaction. Identifiable changes in the lexicon produce changes in superficial word order. For example, the diachronic switch from V2 to V1 in Middle Welsh directly follows from availability of a matrix particle as illustrated in (39)d. (see Willis 1998, Bury 2002). Loss of V2 in Old French coincides with the loss of an inconspicuous element (a null subject, Adams 1987). The X(P)VSO type derived by LEFT embraces typical SVO languages, V2 languages, as well as those V1 languages that are reclassified as inconspicuously V2.

Finally, another consistent set of languages typologically classified as verb-first, also seem to be derived by LEFT. In these languages, verb-raising amounts to remnant predicate fronting. LEFT, if any, is satisfied by the verbal XP structure itself. In verb-first languages like Niuean or Chol, it is thus movement of the remnant VP that saturates LEFT (they are PredP-SO or PredP-OS languages, see Carnie, Dooley-Collberg and Harley 2005, Sifaki 2003, Massam 2005, 2010 and references therein). Following the estimations of Tomlin (1984), 9.6% of the languages of the world are actually classified as V1 languages. The very existence of V1 languages (, or of any syntactically verb-first order in any type of language) would of course provide a solid argument against LEFT as a Principle. However, as seen above, an independently necessary extension of the typology of expletives, particularly of null expletives, sets up the exploratory conditions for (51).

### (51) Strict V1 orders/languages are ungrammatical objects.

Languages whose word order is not affected by LEFT are the languages with a CP domain on the right like some Dravidian languages (Telugu, Tamil, Kannada, Malayalam, cf. Bayer 1999), or Austronesian languages (Seedicq, cf. Holmer 2005). The paradigms are more complicated in the languages that have a CP domain partially on the right and partially on the left like Basque, or some Southern and/or Eastern Indo-Aryan languages in close contact with

Dravidian languages like Bengali, Oriya, Assamese, Marathi, Dakkhini Hindi (cf. Bayer 1999).

## 7. Conclusion: summarizing what LEFT is and is not

LEFT is the trigger for late insertion of an obligatory exponent in post-syntactic morphology. In the history of the Extended Projection Principle, LEFT addresses the subcomponent that cannot be reducible to either Case effects or phi-checking relationships. LEFT is satisfied by way of internal or external Merge. It is constrained by ultra-locality and shows linear effect. Idiosyncratic excorporation is an option for its satisfaction. It is related only accidentally to the notion of subjecthood, in the crosslinguistic situations where ICF and LEFT happen to require the same element (prototypically English or French, but also pro-drop languages where the null expletive serves as a goal for Agree). LEFT, being independent of subjecthood, is also not tied to the SpecIP site. It seems that LEFT is not crosslinguistically correlated with any particular functional projection. In an articulated CP domain à la Rizzi (1997), LEFT seems active in Fin in Breton (Jouitteau 2005), in Mood in Kashmiri (Bhatt 1999), Force in German, I in Icelandic, Spanish or French, Asp in Gungbe etc.

In the above, and except for Gungbe, Japanese and Korean, I have followed the intuition of Koster (2003) and Kučerová (2005), among others, that EPP effects are related to Tense. In the Tense-related LEFT paradigms however, LEFT effects could as well be regarded as related to morphological Agreement. The inquiry here should profit from close investigation of languages that express inflection, Tense or Agreement separately. Khoekhoegowab, a central Khoesan language, seems to show Agreement dependent V2 effects (Huybregts 1997, 2003, Den Besten 2002, 2007). Lecarme (1996) also observes V2 effects in Somali that are attached to C particles that are themselves dependent on the presence of Agreement. As far as I understand the data, these V2 effects seem of the LEFT type, which could also show LEFT effects to be dependent on the expression of agreement.

There is a typological variation as to what element is too high for LEFT satisfaction purposes (elements standing above the *glass ceiling*, higher than the linearized phase headed by the head showing LEFT effects). V2 languages vary as to what is the last phase of their derivation, leading to a variation in the 'glass ceiling' above LEFT effects. Rare are the V2 languages that tolerate one and only one element appearing before the tensed element (Norwegian is a candidate, but see Eide 2011). In Breton like in Rhaeto-Romance V2, the high glass ceiling isolates scene-setting adverbs and hanging topics (Poletto 2002). In

contrast, Germanic embedded C heads obviously are not eligible LEFT satisfiers. This is not easily demonstrated in languages where the inflected verb fails to move when the C head is realized (German, Dutch, Swedish, Norvegian, Danish), but this is essential to derive so-called symmetrical V2 languages like Icelandic that allow for embedded C-EXPL-V orders. In Icelandic, the Force C head seems above the glass ceiling, rendering it invisible to LEFT effects. In Kashmiri embedded sentences, only the ki C head seems to stand into the glass ceiling and patterns with embedded V2, in contrast with the other embedded C heads<sup>24</sup>.

LEFT seems crosslinguistically widespread, and I propose a drastic extension of inconspicuous elements and expletives that could even reveal a wider empirical body of evidence. LEFT is a morphological Parameter, and there should be languages that do not have it, that is there should be VSO languages that are not derived by underlying VP fronting, or verb-raising into an inconspicuous matrix C head. As a parameter, LEFT has to be learnable. LEFT in pro-drop languages is active and learnable when the forced expletive is a goal for Agree, (i) if the expletive is the subject (as in Spanish) or (ii) if it is an intervener for Agree (Modern Standard Arabic and Modern Irish). Some V2 languages like Karitiana (Storto 2014) are areally isolated from other V2 languages, but the fact that LEFT operates in realizational morphology provides a key to understand the areal character of second position phenomena in Europe, be it clitic second in Slavic languages or V2 in Old Romance languages, Breton, Middle Welsh and Germanic languages.

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<sup>&</sup>lt;sup>24</sup> This head seems quite high in the left periphery: wh movement can target the pre-Infl position under a topic (C-(top)-wh-AUX order, Bhatt 1999:160,166).

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