Categorical and gradient aspects of wordlikeness*

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Abstract

Gradient wordlikeness judgements do not necessarily imply that a gradient well-formedness system underlies them; gradient judgements may be an artifact of gradient rating tasks. Dubious architectural assumptions are needed for speakers to report gradient well-formedness judgements. Simple baselines better account for gradient well-formedness judgements than state-of-the-art computational models of gradient phonotactic knowledge.

1 Introduction

Recent developments in the theory of phonotactic knowledge are motivated by the claim that speakers' wordlikeness intuitions are inherently *gradient*, i.e., that such intuitions are more granular than implied by a binary contrast between "possible" and "impossible" words.

When native speakers are asked to judge made-up (nonce) words, their intuitions are rarely all-or-nothing. In the usual case, novel items fall along a gradient cline of acceptability. (Albright 2009:9)

In the particular domain of phonotactics gradient intuitions are pervasive: they have been found in every experiment that allowed participants to rate forms on a scale. (Hayes and Wilson 2008:382)

...when judgements are elicited in a controlled fashion from speakers, they always emerge as gradient, including all intermediate values. (Shademan 2006:371)

This is not a novel claim. Early generative discussions of wordlikeness (e.g., Chomsky and Halle 1965, Halle 1962) are best remembered for the famous examples [blɪk] and [bnɪk], the former representing a "possible word" of English and the latter representing an "impossible word". A naïve account of this contrast follows from the assumption that segments must be parsed into syllables or subject to further phonological repair (e.g., Hooper 1973:10f., Kahn 1976:57f., Itô 1989, Wolf and McCarthy 2009:19f.). Unlike some languages (e.g., Morroccan Arabic: *bniqa* 'closet'), English does not permit stop-nasal onsets like [bn], so the latter nonce word cannot surface as such. In other words, [bnɪk] is an impossible surface representation in English. However, in *The Sound Pattern of English*, Chomsky and Halle (1968) introduce

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a third nonce word, [bznk], and claim that last form is in some sense even less English-like than [bnɪk].¹ From this they too conclude that wordlikeness intuitions are gradient.

Hence, a real solution to the problem of "admissibility" will not simply define a tripartite categorization of occurring, accidental gap, and inadmissible, but will define the 'degree of admissibility' of each potential lexical matrix in such a way as to...make numerous other distinctions of this sort (*SPE*:416–417)

This brings the theory of wordlikeness in line with the view of syntactic grammaticality presented by foundational documents like *The Logical Structure of Linguistic Theory* (Chomsky 1955) and *Aspects in the Theory of Syntax* (Chomsky 1965), which posits multiple degrees of ungrammaticality arising from different types of syntactic violations. Chomsky (1986) and Huang (1982) propose further elaborations of this type of theory (see Schütze 1996:43f. for a critique).

It has been recognized, however, that the naïve account of the [blɪk] and [bnɪk] cannot easily be extended to account for these "numerous other distinctions".

A defect of current grammatical accounts of phonotactics is that they render simple up-or-down decisions concerning well-formedness and cannot account for gradient judgements. (Shademan 2006:371)

Scientific observations do not necessarily arrive at the appropriate granularity for analysis. Since the granularity of measurement and analysis is determined by prior hypotheses about the nature of the system under study, disputes are inevitable as scientific paradigms evolve. This study argues that there are both theoretical and empirical arguments to doubt the implicit hypothesis linking scalar wordlikeness judgements and a gradient model of phonotactics. First, intermediate judgements are characteristic of all gradient rating tasks, and therefore these judgements are irrelevant to the question of whether well-formedness is categorical or gradient. Secondly, simple baselines better account for gradient well-formedness judgements than current computational models of phonotactic knowledge, suggesting that the gradience observed in these tasks do not derive from grammatical mechanisms.

2 Aspects in the theory of gradient grammaticality

The aforementioned discussions of gradient aspects of wordlikeness judgements take for granted that intermediate judgements require a model of gradient grammaticality. The general position that such data can be taken at face value is known in the cognitive sciences as *naïve realism* (Fodor and Pylyshyn 1981), and is not uncontroversial. With respect to wordlikeness, there are numerous reasons to question the wisdom of this position.

¹That wordlikeness judgements depend on language-specific knowledge is apparent given that [bznk] is not impossible in all languages: Imdlawn Tashlhiyt Berber permits whole words consisting of a stop-fricative-nasal-stop sequence (e.g., [tzmt] 'it is stifling'; Dell and Elmedlaoui 1985:112). This suggests that wordlikeness judgements depend on language-specific knowledge. It has more recently been suggested some contrasts between unattested clusters can be viewed as cross-linguistic implicational universals (e.g., Berent et al. 2007, 2008, 2009, Berent and Lennertz 2007), so that, for instance, the acceptance of [bznk] might imply acceptance of [bnɪk]. If this is correct, it undermines Chomsky and Halle's position.

2.1 What some linguistic intuitions might not be

As first noted by Chomsky and Miller (1963), speakers experience difficulty processing sentences with multiple center embeddings. Gibson and Thomas (1999) find that speakers rate sentences like (1a), which is well-formed, less grammatical than (1b), which is nonsensical.

- (1) A well-formedness illusion:
- a. The patient who the nurse who the clinic had hired admitted met Jack.
- b. *The patient who the nurse who the clinic had hired met Jack.

It is informative to consider that this well-known result has had no effect on the theory of syntactic representations, only on the theory of linguistic memory; it is recognized as the product of cognitive restrictions found in non-linguistic domains, as a *task effect*. This contrasts with the argument made by Hayes (2000), that gradient wordlikeness judgements demand an allencompassing revision to the grammatical architecture, reviewed below.

The results of controlled experiments are often biased by subtle details that seem orthogonal to the task: for instance, certain types of duration judgements are systematically biased by consumption of caffeine (Gruber and Block 2005). It should come as no surprise, then, that a highly salient aspect of a judgement task, the scale used for responses, also influences the results obtained. Armstrong, Gleitman, and Gleitman (1983) argue that the use of many-valued scales may cause intermediate ratings, and should be interpreted as a task effect.

Armstrong et al. (1983) are concerned with experimental evidence for the nature of cognitive concepts. While they do not attempt to dispute that certain concepts (e.g., *fruit*) have a family-resemblance structure (e.g., Rosch 1975), they assert that it is apparent that other concepts are "definitional" (i.e., all-or-nothing), a notion which they illustrate with *odd number*.

No integer seems to sit on the fence, undecided as to whether it is quite even, or perhaps a bit odd. No odd number seems odder than any other odd number. (Armstrong et al. 1983:274)

However, when subjects are asked to rate, using a 7-point Likert scale, how representative individual odd counting numbers are of the concept *odd number*, they freely use intermediate ratings; their results with *odd number* and *even number* are shown in Figure 1.

This suggests that the gradience observed is primarily an artifact of the task itself. Schütze suggests that the nature of this effect might be understood as the result of speakers' attempts to reconcile bizarre experimental tasks with their knowledge.

Putting it another way, when asked for gradient responses, participants will find some way to oblige the experimenter; if doing so is incompatible with the experimenter's actual question, they apparently infer that she must have really intended to ask something slightly different. (Schütze 2011:24)

As Armstrong et al. observe, these results show that the scalar judgement tasks provide no evidence as to whether the category being rated is categorical or gradient.

...we hold that *fruit* and *odd number* have different structures, and yet we obtain the same experimental outcome for both. But if the same result is achieved regardless of the concept structure, then the experimental design is not pertinent to the determination of concept structure. (Armstrong et al. 1983:284–5)

It might be said that these results reveal something about the representation of odd numbers, but no scientist of mathematical cognition has risen to the challenge of constructing a

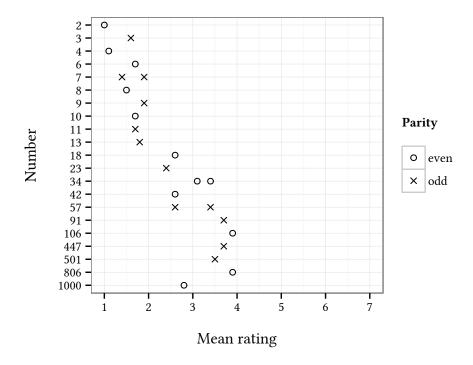


Figure 1: Subjects freely use intermediate ratings when asked to rate how representative even and odd numbers were of "even" and "odd", respectively (Armstrong et al. 1983)

theory that might account for the fact that 447 is rated more odd than 3. Armstrong et al. anticipate this objection.

Some have responded to these findings very consistently, by asserting that the experimental findings are to be interpreted as before: that, psychologically speaking, odd numbers as well as birds and vegetables are graded concepts... We reject this conclusion just because we could not explain how a person could compute with integers who believed that 7 was odder than 23. We assert confidently that the facts about subjects being able to compute and about their being able to give the definition of odd number, etc., are the more important, highly entrenched, facts we want to preserve and explain... (Armstrong et al. 1983:284)

2.2 A model of gradient intuitions

It is possible to draw an analogy: can it be the case that [st] is a significantly "better" onset than [bl] (a prediction of the wordlikeness model proposed by Albright 2009, for instance), but ensure that both are treated the same with respect to syllabification? Current research on gradient well-formedness is concerned with specifying the component by which a scalar value is assigned to linguistic structures. This is only one part of any model of gradient grammaticality, however. Further assumptions are necessary, as follows. When presented with a linguistic item (of whatever type) in a well-formedness task, the grammar assigns a parse. This grammar must be able to parse an enormous range of linguistic structures, including many which it cannot be permitted to generate; independent perception and production grammars may be necessary. A scalar value is then assigned to this parse. Then, speakers

consciously access this scalar value, then transform it in accordance with the numerical scale chosen by the experimenter.

Each step of this procedure is dubious, however. First, speakers have difficulty perceiving (Brown and Hildum 1956) and producing (Davidson 2005, 2006a,b, 2010, Gallagher in press, Rose and King 2007, Vitevitch and Luce 1998, 2005) phonotactically illicit non-words, suggesting that speakers' ability to faithfully parse illicit representations is at best quite limited. Secondly, the computation of a scalar value serves no further purpose than to provide for gradient well-formedness judgements; even if this is the result of probabilistic parsing (e.g., Coleman and Pierrehumbert 1997), it need not be computed overtly. Next, speakers must be able to consciously access and report the magnitude of this value (it must be *cognitively penetrable* in the sense of Pylyshyn 1984), an ability which is limited in many other domains. Finally, Sprouse (2011) argues that speakers do not (or cannot) scale well-formedness values to satisfy the assumptions magnitude estimation, a type of gradient rating task.

It is informative to compare this baroque architecture to a model of what is necessary to make a binary well-formedness judgement. When presented with a linguistic item in a judgement task, the grammar attempts to assign a parse. Speakers then access whether or not parsing was successful. There are reasons to think that parsing of ungrammatical structures does in fact result in a "crash": whereas syntactic priming increases the acceptability of grammatical structures (Luka and Barsalou 2005), ungrammatical structures show no priming effects (Sprouse 2007). As priming of linguistic structures is thought to implicate shared representations in memory, ungrammatical structures may not stored in linguistic memory. This cannot be reduced to the fact that ungrammatical structures fail to denote, since well-formed but non-existent structures do produce facilitory priming (e.g., Longtin and Meunier 2005). The fact that requests for repetition and clarification are ubiquituous in spontaneous speech illustrates further that speakers are frequently aware when parsing has failed. Consequently, a great deal of evidence is needed to reject this simple model in favor of the gradient grammaticality architecture.

2.3 Evidencing gradience

Hayes (2000) argues that it is "uninsightful" to attribute gradience to task effects, insofar as these effects call upon grammatical representations.

...patterns of gradient well-formedness often seem to be driven by the very same principles that govern absolute well-formedness... I conclude that the proposed attribution of gradient well-formedness judgments to performance mechanisms would be uninsightful. Whatever "performance" mechanisms we adopted would look startlingly like the grammatical mechanisms that account for non-gradient judgments. (Hayes 2000:99)

This is indisputable. However, there have been no serious attempts to evaluate categorical and gradient models of wordlikeness on an equal footing. In light of the complexities of gradient models, such an evaluation requires strong quantitative evidence for the superiority of gradient grammatical models. This study represents a first attempt to fill this gap.

²An objection might be made here on evolutionary grounds. It is still quite mysterious why the human language endowment includes constraints on pronominal binding, for instance, but these constraints are implicated in every-day language use. Far more bizarre is the suggestion that the linguistic endowment includes mechanisms only used in certain experimental tasks.

It is not that categorical models have been ignored by the literature on wordlikeness modeling, but rather that they have not been compared. Frisch et al. (2000) and Vitevitch et al. (1997) find that speakers' wordlikeness ratings of multisyllabic words are correlated with a probabilistic measure of the well-formedness of the constituent syllables. Unfortunately, no attempt is made to control for the well-formedness of syllable contact clusters in these words: some of the stimuli have medial consonant clusters containing both voiced and voiceless obstruents (e.g., [garbsark]), something which is exceptionally rare in English simplex words. Similarly, Hayes and Wilson (2008), who compare their gradient model of wordlikeness against a set of phonotactic constraints proposed by Clements and Keyser (1983), first transform these constraints, many of which are exceptionless, into probabilities. While this is consistent with their claim, that "the ability to model gradient intuitions to be an important criterion for evaluating phonotactic models" (Hayes and Wilson 2008:382), this principle would preclude any attempt to test the hypotheses that underlies it.

3 Evaluation

It is unknown whether the intermediate ratings in gradient wordlikeness tasks are reliably predicted by computational models that have been proposed. If some model poorly accounts for these intermediate ratings, it may be the case that the model is improperly specified, or it may indicate that a considerable amount of variance in wordlikeness ratings is not a product of the grammatical system per se. It seems particularly plausible that speakers might differentiate, in a regular fashion, between different types of "impossible" words, as proposed by Chomsky and Halle (1968). There are also claims that speakers distinguish between different types of "possible" words, so that, for instance, [stm] *stin* is rated more English-like than [blm] *blin* (Albright 2009). Alternatively, wordlikeness judgements could be effectively modeled with a gross contrast between possible and impossible words; even then, a gradient model might show a residual correlation. All of these possibilities are considered here.

3.1 Materials

This evaluation uses a large sample of three previously published studies on English word-likeness comprising 125 subjects and 187 items. Two criteria were used to select these three studies. First, it was required that the stimuli be presented aurally so as to eliminate any possibility of orthographic effects (e.g., Berent et al. 2001, Berent 2008). Secondly, the data must be sufficiently "phonotactically diverse": that is, it must include both items like *blick* and *bnick*. This excludes studies like that of Bailey and Hahn (2001), in which few if any items contain gross phonotactic violations of the type represented by *bnick*, since this is likely to minimize the coverage of any grammatical model. The data is summarized in Table 1.

3.1.1 Albright 2007

Albright (2007) administers a wordlikeness task in which 68 adult speakers rate 40 monosyllabic nonce words, presented aurally, on a 7-point Likert scale with endpoints labeled "completely impossible as an English word" and "would make a fine English word". Albright's study is primarily concerned with the effects of different onset types (e.g., well-formed /bl/, marginal /bw/, unattested /bn, bd, bz/), and there is less diversity among the choice of rimes, none of which are obviously ill-formed.

	subjects	items	trials
Albright	68	40	2,720
Albright and Hayes	24	86	2,064
Scholes	33	63	2,178
Total	125	187	6,962

Table 1: Subject and item counts

3.1.2 Albright and Hayes 2003 (norming experiment)

Albright and Hayes (2003) have 24 adult speakers rate 87 aurally presented monosyllabic nonce words on a 7-point Likert scale with endpoints labeled "completely bizarre, impossible as an English word" and "completely normal, would make a fine English word". This task was administered to establish phonotactic norms for a later nonce word inflection task. Their item [raɪf] is excluded in this study, since this is an actual word of English, *rife*. Albright (2009) uses this data to evaluate several computational models of wordlikeness.

3.1.3 Scholes 1966 (experiment 5)

Scholes (1966) conducts several wordlikeness tasks with 7th-grade students (approximately 12–13 years of age). The data used here is his experiment 5, in which 33 speakers provide a "yes" or "no" as to whether each of the 63 items, presented aurally, are "likely to be usable as a word of English". Like the study by Albright (2007), the focus is on onset well-formedness and there is minimal diversity in rime type. Two items, [klʌŋ] *clung* and [bɹʌŋ] *brung* (a dialectical past participle of *bring*), are excluded here as actual words of English. Albright (2009) and Hayes and Wilson (2008) also use this data for the purposes of model evaluation; following Frisch et al. (2000), they use the proportion of "yes" responses for each item so as to derive a continuous measure of well-formedness.

3.2 Method

Models are evaluated by comparing their scores to the average rating of each word using four correlation statistics. Each of these range between [-1,1], where 1 indicates a perfect positive correlation and -1 denotes a perfect negative correlation. Hayes and Wilson (2008) evaluate their model using the Pearson ("product-moment") r, a parametric correlation measure. Stevens (1946) argues that statistics of this type are inappropriate for analysis of Likert scale data, like those used by Albright (2007) and Albright and Hayes (2003). The reason is that the Pearson r makes a *linearity assumption*. That is, it assumes that nonce words rated "1" and "3", for instance, are just as different as those "4" and a "6". A weaker assumption, more appropriate for Likert scale data, is the *monotonicity assumption*: that "1" is less English-like than "3", which is less English-like than "4", and so on. However, it also has been claimed that r is particularly robust to violations of the linearity assumption (e.g., Havlicek and Peterson 1976). Pearson r is reported here, but no stance is taken on its appropriateness for this data. Hayes and Wilson also report Spearman ρ ; this statistic requires only the weaker assumption of monotonicity, but it is difficult to give a simple interpretation to the coefficient.

Much easier to interpret are two other non-parametric statistics, the Goodman-Kruskal γ and the Kendall τ_h (Noether 1981), as follows. These statistics are computed by comparing

every model score/wordlikeness rating pair to every other: a comparison is counted as *concordant* if the greater of the two model scores is the one associated with the greater of the two wordlikeness ratings (that is, the model ranks these two nonce words the in accordance with speakers' ratings), and as *discordant* otherwise. These two statistics differ only in the treatment of "ties", pairs where either the model score or wordlikeness rating are identical. For γ , ties are ignored, and the coefficient is

$$\gamma = \frac{c - d}{c + d}$$

where c and d represent the number of concordant and discordant pairs, respectively. The τ_b statistic uses a similar formula, but also incorporates a penalty for ties in model score which are not also paired with ties in wordlikeness ratings, or vis versa. Albright (2009) uses a variant of this statistic to evaluate wordlikeness models.

3.3 Models

The nonce word stimuli from these three studies are scored automatically using four computational models. The first two models represent baselines for comparison to the latter two state-of-the-art gradient models. The scores for each model are provided in Appendix A.

3.3.1 Gross phonotactic violation

A simple baseline is constructed by separating nonce words into those which contain a phonotactic violation and those which do not. As all nonce words here are monosyllabic, this task can be localized to two subcomponents of the syllable, the onset and the rime. This is not to imply that these are the only domains over which phonotactic violations might be stated, but there are prior claims that onset and rime are particularly important domains for stating phonotactic constraints (e.g., Fudge 1969, Kessler and Treiman 1997, Treiman et al. 2000). Speakers are adept at separating syllables into these units (Treiman 1983, 1986, Treiman et al. 1995), and they are implicated by patterns of speech errors (Fowler 1987, Fowler et al. 1993).

Operationalizing "phonotactic violation" is somewhat more difficult. The simplest possible mechanism is chosen here: an onset or rime is identified as well-formed if it occurs with non-zero frequency in a representative sample, and is identified as ill-formed otherwise. The sample is derived from those entries of the CMU pronunciation dictionary which occur at least once per million words in the SUBTLEX-US frequency norms, the latter though to be particularly strongly correlated with behavioral measures (Brysbaert and New 2009). These pronunciations are then syllabified, and individual syllables parsed into onset and rime, according to a process described in detail in Appendix B. This is not is not to imply that all unattested onsets or rimes should be regarded as ill-formed, or that all onsets or rimes with non-zero frequency in this data are well-formed. For instance, Albright (2009) judges [diesp] dresp to be phonotactically well-formed, despite the total lack of [ssp] rimes in English. Similar observations have been made concerning English onsets (e.g., Cairns 1972, Moreton 2002). In the other direction, there are precedents for labeling certain attested words as phonotactically "peripheral" (e.g., Myers 1987, Borowsky 1989), as lexical exceptions to language-specific prosodic constraints, without labeling these words as absolutely ungrammatical. Neither of these dissociations between attestation and well-formedness are implemented here, however.

In Figure 2, wordlikeness ratings from the three studies are plotted according to this gross contrast. While there are a considerable number of outliers, there can be little doubt that the

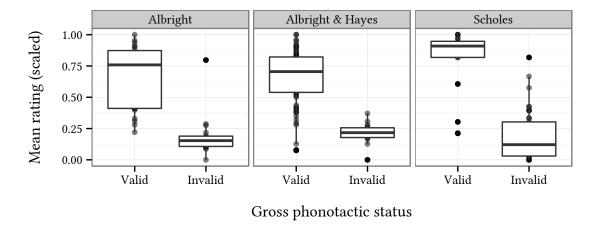


Figure 2: Gross phonotactic status and item-averaged wordlikeness ratings

contrast is reflected in wordlikeness judgements in all three studies.

3.3.2 Lexical neighborhood density

A second baseline is provided by measures of similarity to existing English words items, which has long been applied to model wordlikeness judgements (e.g., Bailey and Hahn 2001, Greenberg and Jenkins 1964, Kirby and Yu 2007, Ohala and Ohala 1986, Shademan 2006, 2007, Vitevitch and Luce 1998, 1999). Chomsky (1955: 151, fn. 27) suggests that grammaticality judgements in general might be influenced by similarity to existing grammatical structures, and Chomsky and Halle (1968:417f.) outline a similarity-based wordlikeness model. More recently, it has been observed (e.g., Coleman and Pierrehumbert 1997:51, Hay et al. 2004) that nonce words which flagrantly violate English sonority restrictions but which bear common affixes (e.g., *mrupation) are rated highly English-like.

A wide variety of lexical similarity measures were considered, including a variant of including a variant of the Generalized Neighborhood model (Bailey and Hahn 2001), PLD20 (Suárez et al. 2011), and a set of measures provided by the Irvine Phonotactic Calculator (Vaden et al. 2009). The most reliable measure is also the most venerable measure of lexical similarity: Coltheart's N (Coltheart et al. 1977), which is defined as the number of words in some representative sample which can be changed into a target nonce word by a single insertion, deletion, or substitution of a phone. Greenberg and Jenkins (1964) find a correlation between wordlikeness ratings and a variant of this measure which only counts words differing by a single substitution. This measure is plotted against ratings from the three studies in Figure 3, where it can be seen that it accounts for much of the variance in ratings.

While there is nothing inherently "phonotactic" about Coltheart's *N*, it indirectly incorporates much of the information present in the gross phonotactic baseline. Consider [blɪk]: since there is nothing marked about any part of this nonce word, a "neighbor" might be found by modifying any phone: e.g., *click*, *brick*, *bloke*, *bliss*. However, since [bn] onsets are unattested in English, a neighbor of [bnɪk] must somehow modify this cluster: this leaves only *brick* and *nick*. Bailey and Hahn (2001) and Frauenfelder et al. (1993) note that neighborhood density is also strongly correlated with measures like bigram probability, but it is argued elsewhere that phonotactic measures and neighborhood density have distinct effects (e.g., Berent

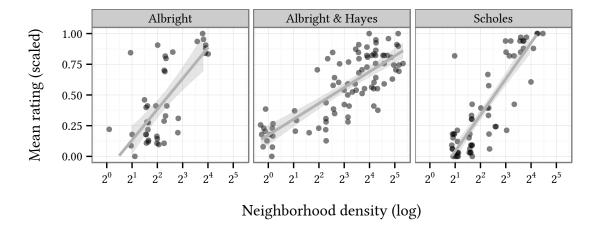


Figure 3: Correlation between neighborhood density and item-averaged wordlikeness ratings

and Shimron 2003, Pitt and McQueen 1998, Vitevitch and Luce 1998, 1999).

3.3.3 Segmental bigram probability

Faciliatory effects of bigram probabilities (i.e., shorter latencies) are reported for other nonce word tasks conducted with adults, including single-word shadowing (Vitevitch et al. 1997, Vitevitch and Luce 1998), same/different judgements (Lipinski and Gupta 2005, Luce and Large 2001, Vitevitch and Luce 1999, 2005), and lexical decision (Pylkkänen et al. 2002). Albright (2009) applies them as a model of wordlikeness judgements. The bigram probability of a sequence *ijk*, for instance, is:

$$\hat{p}(ijk) = p(i|\text{START}) \cdot p(j|i) \cdot p(k|j) \cdot p(\text{STOP}|k)$$

That is, it is the product of sequence-initial i, the probability of j following i, the probability of k following j, and the probability of the sequence ending after k.

Albright (2009) compares two variants of this model, the first operating over segments, the second over sets of features. Unfortunately, the latter model is not described in sufficient detail to allow it to be implemented directly, and there is no publicly available implementation. However, Albright's evaluation, which includes the Scholes (1966) and Albright and Hayes (2003) data, finds an advantage for segmental bigrams. In implementing this model, it was found that a slight improvement could be made by preventing any phone-to-phone transition from having zero probability. This is accomplished by adding 1 to the count of every transition, a technique used in natural language processing and known as Laplace, or "add one", smoothing. As can be seen in Table 2, this results in a slight increase in the correlation between the scores from this model and wellformedness ratings. This smoothed segmental bigram score is adopted below. In Figure 4, it is plotted against wordlikeness ratings from the three studies.

3.3.4 Maximum entropy phonotactics

Hayes and Wilson (2008) propose a sophisticated model in which well-formedness is related to a probability distribution over phone sequences, estimated according to the principle of

	Pearson <i>r</i>	Spearman ρ	G-К γ	Kendall τ_b
featural bigrams	.71	.64	.45	.45
segmental bigrams	.74	.67	.48	.47
segmental bigrams with smoothing	<u>.75</u>	.70	<u>.50</u>	.50

Table 2: Correlation between item-averaged wordlikeness ratings for the Albright and Hayes (2003) norming study and three variants of bigram probability

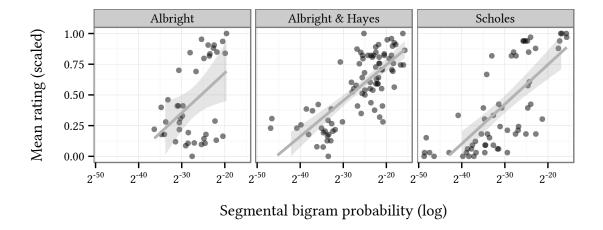


Figure 4: Correlation between segmental bigram score and item-averaged wordlikeness ratings

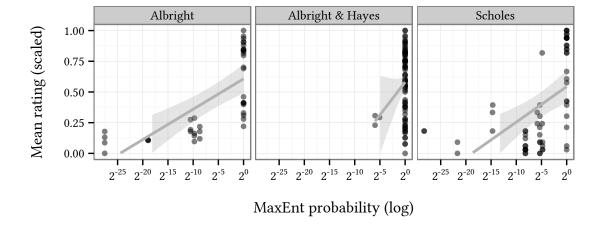


Figure 5: Correlation between MaxEnt score and item-averaged wordlikeness ratings

maximum entropy, or "MaxEnt" (e.g., Goldwater and Johnson 2003, Jäger 2007). Hayes and Wilson use a complex method to evaluate their model. First, they extract onset sequences from the CMU pronunciation dictionary, and use these to train the model. The model is then used to score the onsets of the Scholes (1966) nonce words. Then they compute a parameter for transforming their model scores so as to maximize the correlation between these transformed scores and wordlikeness ratings, then report the resulting correlation.³

An attempt is made to replicate the details of Hayes and Wilson's evaluation as closely as is feasible: their software, model parameters, and feature specifications are all used. However, Albright (2009) finds that the maximum entropy model, training and testing only on onsets, does not generalize to the Albright and Hayes (2003) data, presumably because of the considerable diversity of rimes in the latter data. Consequently, the model was trained to score whole words, not just onsets, using the subset of the CMU dictionary described above. Following the procedure of Hayes and White (in press), dictionary entries were syllabified and the resulting syllables were parsed into onset, nucleus, and coda, and a novel feature [±Coda] was added to contrast onset and coda consonants. Since the maximum entropy model produces slightly different scores on each run, the worst-performing of 10 runs is reported here, following Hayes and Wilson (2008). The resulting scores are plotted against wordlikeness ratings in Figure 5; it can be seen that the model assigns the highest possible score to large variety of nonce words, though many of these words appear to have a low rating. This suggests that the model is not robust enough to score whole words.

3.4 Results

Table 3 displays the full set of correlation coefficients, for each of the three data sets, and for each of the four models. The first observation is that in general, there is a positive correlation between model score and ratings in each pair. The two baselines, gross phonotactic status and neighborhood density, are by far the strongest models across statistics and studies, with

 $^{^{3}}$ This is contrary to standard practices in natural language process, in that the data used for evaluation is also used to fit the model (namely, the transformation's parameter); when this is the case, there is reason to suspect the parameter values will not generalize to new data. No transformation is used here; this only has an effect on the Pearson r coefficient, since they use a transformation that preserves monotonicity.

	P	earson	r	Sp	Spearman ρ		G-К γ			Kendall $ au_b$			
	A	AH	S	A	AH	S	A	AH	S		A	AH	S
Gross status	.73	.60	.80	.82	.66	.80	.87	.93	.91		67	.47	.62
Density (<i>N</i>)	.67	.79	.86	.61	<u>.74</u>	.82	.49	.57	.74		45	.56	.67
Bigram score	.46	.75	.74	.34	.70	.79	.25	.50	.63		25	.50	.61
MaxEnt score	.70	.21	.53	.66	.39	.58	.85	.61	.56	<u>:</u>	68	.16	.48

Table 3: Correlations between item-averaged wordlikeness ratings and model scores; the largest coefficient for each statistic/data set pair is underlined.

	7"	⁄alid" it	ems	"Inv	alid" it	ems	
	A	AH	S		A	AH	S
Bigram score	.65	.34	.60		.03	17	.47
MaxEnt score	.00	15	32		42	.29	16

Table 4: Kendall τ_b correlation between item-averaged wordlikeness ratings (rated "valid" or "invalid" by the gross phonotactic status baseline) and model scores

gross phonotactic status performing the strongest under the Goodman-Kruskal γ and on the Albright (2007) data, and neighborhood density performing strongly under nearly all other statistics and data sets.

It is also possible to consider whether there is any residual correlation between bigram and MaxEnt model scores, and wordlikeness ratings within the "valid" and "invalid" groups defined by the gross phonotactic status measure. Kendall τ_b correlations within these subgroups for each data set are shown in Table 4. The only reliable positive correlation is present among the "valid" items as rated by the smoothed segmental bigram model. This model is somewhat capable of accounting for contrasts between different "possible" nonce words: for instance, it favors [plin] *plean* over [brɛlθ] *brelth* just as subjects in the Albright (2007) study do. Within the set of "invalid" items, however, neither grammatical model reliably distinguishes among items; both models, for instance, rate [ptʌs] *ptus* more well-formed than [bnʌs] *bnus*, but speakers have the opposite preference.

3.5 Discussion

The bigram and MaxEnt models do not reliably outperform simple baselines. From this it can be inferred that the gradient models do not reliably predict intermediate ratings. These models do not reliably distinguish within classes of "valid" and "invalid" words either.

A serious limitation of this evaluation is the primitive nature of the gross phonotactic status baseline. It does not allow for any way to state constraints on onset-nucleus sequences, which have been proposed for some languages (e.g., Kirby and Yu 2007),⁴ or constraints spanning whole syllables, which have been proposed for English (e.g., Berkley 1994a,b, Coetzee 2008, Fudge 1969). Furthermore, the gross phonotactic baseline does not have any mechanism for generalizing the wellformedness of [ɛsp] rimes from *clasp*, *lisp*, and other rimes consisting

⁴Kessler and Treiman (1997), however, argue there are no clear restrictions on English onset-nuclei pairs.

of a lax vowel followed by [sp] found in English. Borowsky (1989), for instance, proposes a theory of possible rimes in English which makes the correct prediction regarding [ɛsp]. This is not embedded in an acquisitional model, but models of syllable type acquisition have been proposed (e.g., Levelt et al. 2000).

The gross phonotactic baseline could also be extended so as to include additional levels of wellformedness. While the bigram and MaxEnt models do not appear to be able to reliably distinguish intermediate levels of well-formedness, it might be desirable to encode the intuition that, for example, *zhlick* [zlɪk], is more English-like than [bnɪk], though both have unattested onsets. It is also possible to imagine that phonotactic violations would have a cumulative effect on well-formedness; for instance, a nonce word with an unattested onset and an unattested rime, like [tsɪlb], might be less English-like than either [tsɪb] or [sɪlb]. This prediction is made by the bigram and MaxEnt models, among others (e.g., Albright et al. 2008, Anttila 2008), but could easily be incorporated into a simple baseline by counting the number of violations. However, there is not yet any convincing evidence for cumulativity effects in wordlikeness tasks.

4 Conclusions

State-of-the-art computational models of wellformedness do not reliably predict intermediate ratings in wordlikeness tasks. To the degree to which the bigram or MaxEnt models are correlated with speakers' judgements, these judgements are more precisely modeled by similarity to existing words, or by a gross contrast between attested and unattested onsets and rimes. While it remains an open question whether future gradient models will account for intermediate judgements, the current evidence suggests that gradient grammaticality is not crucial for modeling gradient wordlikeness judgements.

A English wordlikeness ratings

A.1 Albright (2007)

	lexical density	$-\log p$ (bigram)	$-\log p$ (MaxEnt)	gross status	rating (7-point)
P L IY1 N B L AA1 D P L IY1 K P L EY1 K P R AH1 N JH B L UW1 T P L IH1 M B L EH1 M P B L AE1 D B L IH1 G P R EH1 S P B R EH1 N TH P R AH1 P T B R EH1 L TH P W J IH1 S B W AA1 D P W AE1 D	13 13 11 14 3 14 5 1 14 15 4 4 4 4 2 2 3 2 4 6	13.585 17.609 14.200 15.576 16.546 15.692 15.126 19.447 13.806 16.259 16.347 17.214 21.255 18.487 23.014 21.499 20.596 21.329 24.103	0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000 0.000	valid	5.32 5.13 5.06 4.94 4.94 4.84 4.71 4.69 4.67 4.65 4.58 4.50 4.11 4.07 3.14 2.94 2.94 2.89
P W AH1 S P W EH1 T	4 6	20.684 20.998	$0.000 \\ 0.000$	valid valid	2.61 2.53

P T IY1 N B W AE1 D B N IY1 N P W AH1 D Z P N IY1 D B N AH1 S P N EH1 P B N AA1 D B Z IY1 N P T AH1 S P T EH1 P B Z AH1 S P N IY1 K B D IY1 K B D UW1 T B D AH1 S P T AE1 D B Z AA1 D	4 2 2 0 2 6 2 2 1 3 3 2 2 2 3 4 3 1	15.440 23.365 21.180 25.210 21.181 19.732 23.587 24.066 16.896 14.169 17.228 15.237 21.796 18.773 19.781 17.041 17.622 20 151	6.762 0.000 7.296 0.000 6.019 7.296 19.097 6.762 19.097 6.019 13.131 13.131 13.131 13.997	invalid valid invalid	2.44 2.41 2.39 2.17 2.16 2.06 2.00 2.00 1.94 1.86 1.76 1.72 1.71 1.71
	3 1 1	17.622 20.151 19.118	6.762 19.097 19.097	ınvalıd invalid invalid	1.67 1.63 1.28

A.2 Albright and Hayes (2003), norming study

	lexical	$-\log p$	_log #	gross	rating
	density	(bigram)	$-\log p$ (MaxEnt)	gross status	(7-point)
S L EY1 M W IH1 S	15	17.469	0.000	valid	5.84
W IH1 S P IH1 N T	34 26	11.208 13.046	$0.000 \\ 0.000$	valid valid	5.84 5.67
P AE1 NG K	18	13.040	0.000	valid	5.67 5.63
P IH1 S P IH1 N T P AE1 NG K S T IH1 P	18 18	13.723 12.599	0.000	valid	5.63 5.53
\overline{M} $\overline{IH1}$ \overline{P}	33	12.345	0.000	valid	5.47
S T AY1 R	11	15.118	0.000	valid	5.47
M IH1 P S T AY1 R M ER1 N P L EY1 K	34	12.872 15.576	0.000	vaļid	5.42
P L EY1 K S N EH1 L S T IH1 N	14	15.576	0.000	valid	5.39
S N EH1 L S T IH1 N	10 18	18.582	$0.000 \\ 0.000$	valid valid	5.32 5.28
R AE1 S K	10	10.899 15.544	0.000	valid	5.20 5.21
R AE1 S K T R IH1 S K	5	17.980	0.000	valid	5.21 5.21
T R IH1 S K S P AE1 K	17	14.205	0.000	valid	5.16
D EY1 P	22	14.193	0.000	valid	5.11 5.11
G EH1 R	11 5 17 22 25 14	13.044	0.000	vaļid	5.11
S N EH1 L S T IH1 N R AE1 S K T R IH1 S K S P AE1 K D EY1 P G EH1 R G L IH1 T S K EH1 L	14	16.830	0.000	vaļid	5.11 5.11
	16	16.356	0.000	valid	5.11 5.11
T AA1 R K	23 18	15.913 17.702	$0.000 \\ 0.000$	valid valid	5.11 5.11
SH ER1 N T AA1 R K CH EY1 K	23 18 28	15.023	0.000	valid	5.11
G L IY1 D	14	16.118	0.000	valid	5.05 5.05
G R AY1 N T	4 11	17.626	0.000	valid	5.00
P R IY1 K	11	13.396	0.000	valid	5.00
SH IH1 L K D AY1 Z N EY1 S	8	21.270	0.000	vaļid	4.89
D AY1 Z N EY1 S	39	12.730	0.000	valid	4.84
T AH1 NG K	23 18	14.952 15.046	$0.000 \\ 0.000$	valid valid	4.84 4.84
S K W IH1 L	6	18.210	0.000	valid	4.83
S K W IH1 L L AH1 M	6 35	11.569	0.000	valid	4.79
P AH1 M	30	11.121	0.000	valid	4.79
S P L IH1 NG	14	15.573	0.000	valid	4.72
G R EH1 L	3 12 32 35	14.624	0.000	vaļid	4.63
T EH1 SH	12	14.517	0.000	vaļid	4.63
T IY1 P B AY1 Z	32 25	12.980 12.821	$0.000 \\ 0.000$	valid valid	4.63 4.58
G L IH1 P	33 11	17.377	0.000	valid	4.56
CH AY1 N D	11 18 5	17.747	0.000	valid	4.37
P L IH1 M	5	15.126	0.000	valid	4.37
G UW1 D	29	15.448	0.000	valid	4.32
B L EY1 F	6 17	19.485	0.000	valid	4.21
B L EY1 F G EH1 Z D R IH1 T F L IY1 P	17	16.466	0.000	vaļid	4.21
D R IH1 T F L IY1 P	8 10	15.563	0.000	valid	4.16
F L IY1 P Z EY1	10 23	15.292 15.208	$0.000 \\ 0.000$	valid valid	$\frac{4.16}{4.16}$
Z EY1 S K R AY1 D	23 5	18.722	0.000	valid	4.11

K IH1 V	16	12.591	0.000	valid	4.05
F LEH1 T	17	16.490	0.000	valid	4.00
NOW1L D	19 13 7	19.101	0.000	valid	4.00
S K IH1 K	13	14.628	0.000	valid	4.00
B R EH1 JH	7	17.318	0.000	valid	3.95
K W IY1 D S K OY1 L	10	16.039	0.000	valid	3.95
S K OY1 L	9 12	19.350	0.000	valid	3.89
D R AY1 S F L IH1 JH	12	17.758	0.000	valid	3.84
	8	17.312	0.000	valid	3.79
B L IH1 G	4 7	16.347	0.000	valid	3.53
Z EY1 P S	7	24.825	0.000	valid	3.47
CH UW1 L	17 8 5 0	14.492 18.503	0.000	valid	3.42
SH AY1 N T	8	18.503	0.000	valid	3.42
SH R UH1 K S	5	26.733	0.000	vaļid	3.32
G W EH1 N JH		22.722	0.000	valid	3.32
N AH1 NG	19 1 5 8	15.754	0.000	valid	3.28
S K W AA1 L K	1	25.752	0.000	invalid	3.26
T W UW1 S M AH1 M S N OY1 K S S F UW1 N D P W IH1 P	5	17.918	0.000	valid	3.17
S M AH1 M	8	14.940	0.000	valid	3.05
S N OY1 K S	4 1	32.283	4.136	invaļid	3.00
Š FUW1 N D	1	23.241	3.507	valid	2.94
	4 8	20.928	0.000	vaļid	2.89
R AY1 N T	8	14.412	0.000	, vaļid	2.89 2.83 2.79
S K LUW1N D	0	22.661	0.000	invaļid	2.83
S M IY1 R G	0	27.601	0.000	invaļid	2.79
F R IH1 L G	3	24.299	0.000	invaļid	2.68
SH W UW1 JH	0	28.270	0.000	invalid	2.68
TH ROY1 KS T RIH1 LB	0	32.485	4.136	invaļid	2.68
T R IH1 L B K R IH1 L G	4	22.097	0.000	invaļid	2.63
K R IH1 L G	1	23.719	0.000	invaļid	2.63 2.58 2.58
S M EH1 R G TH W IY1 K S	0	22.473	0.000	invaļid	2.58
TH W IY1 K S	2	23.984	0.000	invaļid	2.53
S M EH1 R F S M IY1 L TH	0	23.136	0.000	invaļid	2.47
S M IY1 L TH	0	26.377	0.000	invaļid	2.47
P LOW1 M F P LOW1 N TH	0	23.336	0.000	invalid	2.42
P L OW1 N TH	0	22.805	0.000	invalid	2.26
TH EY1 P T S M IY1 N TH	4	23.380 25.043	$0.000 \\ 0.000$	valid	2.26 2.06
	0	25.043 24.031		valid valid	
S P R AA1 R F P W AH1 JH	$0 \\ 0$	23.205	$0.000 \\ 0.000$	valid	$\frac{2.05}{1.74}$
1 W AIII JII	U	43.403	0.000	vanu	1./4

A.3 Scholes (1966), experiment 5

	lexical density	−log <i>p</i> (bigram)	$-\log p$ (MaxEnt)	gross status	rating (binary)
G RAH1 N	18	11.799	$0.000 \\ 0.000$	valid	33
K RAH1 N	21	11.597		valid	33
S T IH1 N	18	10.899	$0.000 \\ 0.000$	valid	33
S M AE1 T	13	16.654		valid	32
P R AH1 N S L ER1 K F L ER1 K	11 12	10.845 17.846	$0.000 \\ 0.000$	valid valid	32 31
B L AH1 NG	11 8	17.456 17.156	$0.000 \\ 0.000$	valid valid	31 31
D R AH1 NG	7	17.753	$0.000 \\ 0.000$	valid	31
T R AH1 N	12	11.975		valid	31
F R AH1 N S P EY1 L S N EH1 T P L AH1 NG	12 1 <u>6</u>	12.177 15.851	$0.000 \\ 0.000$	valid valid	29 29
S N EH1 T	7	19.384	$0.000 \\ 0.000$	valid	28
P L AH1 NG	11	16.960		vaļid	28
SH R AH1 K	8	19.734	$0.000 \\ 0.000 \\ 3.365$	valid	27
G L AH1 NG	9	18.990		valid	27
M R AH1 NG	1	22.888		invalid	27
SH L ER1 K	4	23.711	$0.000 \\ 0.000$	invalid	22
S K IY1 P	15	16.845		valid	20
V RAH1N	4 9	17.087 16.626	0.000 0.000 0.000	invalid invalid	19 14
S RAH1 N V LER1 K M LAH1 NG	$\overset{\circ}{_{2}}$	21.777 21.300	$0.000 \\ 10.164$	invalid invalid	14 13
SH T IH1 N	3	17.106	0.000	invalid	13
F P EY1 L	4	24.250	3.685	invalid	13

ZH R AH1 N F SH IH1 P SH N EH1 T F T IH1 N Z R AH1 N N R AH1 N SH M AE1 T S F IY1 D Z L ER1 K Z T IH1 N F S EH1 T V Z IH1 P V Z AH1 T ZH L ER1 K SH F IY1 D Z N AE1 T F N EH1 T F K IY1 P V T IH1 N Z N AE1 T F K IY1 P V T IH1 L Z M AE1 T	4 2 2 2 5 5 1 7 2 1 4 1 1 2 1 2 2 2 2 2	28.305 22.640 24.044 14.767 21.556 18.588 20.389 18.656 24.578 23.600 19.079 17.401 15.806 33.442 23.258 25.541 23.969 23.905 22.639 26.018	4.042 10.198 0.000 3.685 4.042 3.365 0.000 3.701 5.678 10.198 19.601 19.601 5.678 3.701 5.678 3.701 5.678 3.315 3.685 3.685 15.023	invalid invalid invalid invalid invalid valid valid invalid	11 11 10 10 8 8 7 7 6 6 6 6 6 5 5 4 3 3 3 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
Z V IY1 L	2	26.018	15.023	invaļid	3
Z M AE1 T	$\bar{1}$	21.983	5.678	invalid	2
ZH M AE1 T F M AE1 T	1	26.800 21.800	5.678 3.315	invalid invalid	2
SH PEY1 L	4 2 2 2 2	26.172	0.000	invalid	$\frac{2}{2}$
V M AE1 T	$\overline{2}$	20.388	3.315	invalid	1
V N EH1 T	2	24.017	3.315	invaļid	1
SH K IY1 P Z P EY1 L	1	26.976 25.421	$0.000 \\ 5.678$	invalid invalid	1 1
ZH PEYIL	1	32.906	5.678	invalid	1
ZH T IH1 N	ī	29.763	5.678	invalid	1
ZH K IY1 P	1	33.710	5.678	invaļid	1
ZH NEH1 T Z KIY1 P	1 1	33.775 27.547	5.678 5.678	invalid invalid	0
V P EY1 L	$\overset{1}{2}$	25.782	3.685	invalid	0
V K IY1 P	1	26.586	3.685	invalid	0
ZH V ÎŶÎ L	1	32.181	15.023	invalid	0

B English syllabification

This appendix describes an automated procedure used to process the CMU pronunciation dictionary entries, separating medial clusters from their flanking nuclei, parsing the resulting sequences into coda and onset, and reversing allophonic processes targeting medial clusters.

B.1 Ambiguous segments

The syllabification procedure begins by separating sequences of vocalic and consonantal segments. In English, r and onglides pattern with consonants or with vowels depending on the context in which they occur. The heuristic adopted here is that ambiguous segments which impose restrictions on adjacent vowels are themselves vocalic, and those which impose restrictions on adjacent consonants are consonantal.

Initially, between two vowels, or finally, r is consonantal. Before another consonant, however, r has been lost in Received Pronunciation. Even in r-ful dialects, though, post-vocalic non-onset r patterns with vowels, not coda consonants. Before non-onset r many vowel contrasts are suspended (e.g., Fudge 1969:269f., Harris 1994:255): compare American English fern/fir/fur to pet/pit/putt. In this position, r is the only consonant which permits variable glottalization of a following /t/ in r-ful British dialects (Harris 1994:258), and the only consonant which does does not trigger variable deletion of a following word-final /t, d/ in American dialects (Guy 1980:8). This is shown in (2–3) below.

(2) /t/-GLOTTALIZATION in *r*-ful British dialects:

```
a. \operatorname{des}[\sigma t] \sim \operatorname{des}[\sigma ?]

\operatorname{c}[\sigma t] \operatorname{ain} \sim \operatorname{c}[\sigma ?] \operatorname{ain}

b. \operatorname{fi}[\operatorname{st}] \sim \operatorname{fi}[\operatorname{s}?]

\operatorname{mi}[\operatorname{st}]\operatorname{er} \sim \operatorname{mi}[\operatorname{s}?]\operatorname{er}
```

(3) /t, d/-Deletion in American English:

```
\begin{array}{lll} \text{a.} & \text{be[lt]} & \sim & \text{be[l]} \\ & \text{me[nd]} & \sim & \text{me[n]} \\ \text{b.} & \text{sk}[\sigma t] & \sim & \text{*sk}[\sigma] \\ & \text{th}[\sigma d] & \sim & \text{*th}[\sigma] \end{array}
```

Consequently, pre-consonantal r is assigned to the preceding nucleus.

The front onglide is assigned to onset position when initial or preceded by a single consonant, as in [j] arn or ju[n.j] or. When the glide is preceded by two or more consonants, it is assigned to the nucleus. There is considerable evidence in support of this assumption. When [j] is assigned to the onset, it may be followed by any vowel (Borowsky 1986:276), but when it is nuclear, the following vowel is always [u], suggesting a nuclear affiliation (Harris 1994:61f., Hayes 1980:232). Clements and Keyser (1983:42) note that [j] is the only consonant which can follow onset /m/ and /v/: [mj] use, [vj] iew. Finally, [ju] sequences in words such as spew behave as a unit in language games (Davis and Hammond 1995, Nevins and Vaux 2003) and speech errors (Shattuck-Hufnagel 1986:130).

The phonotactic properties of the back onglide [w] are quite different than those of the front onglide, and it is consequently assigned to the onset portion of medial clusters. Whereas [j] shows only limited selectivity for preceding tautosyllabic consonants (Kaye 1996), [w] only rarely occurs after onset consonants other than [k] (e.g., *tran*[kw]*il*), and never after tautosyllabic labials in the native vocabulary. Whereas [kj] is always followed by [u], [kw] may precede nearly any vowel (Davis and Hammond 1995:161).

B.2 Parsing medial consonant clusters

Medial consonant clusters are segmented into coda and onset using a heuristic version of the principle of onset maximization (e.g., Kahn 1976:42f., Kuryłowicz 1948, Pulgram 1970:75, Selkirk 1982:358f.) which favors parses of word-medial clusters in which as much of the cluster as possible is assigned to the onset. A medial onset is defined to be "possible" simply if it occurs word-initially (according to the rules defined above). As an example, the medial clusters in words such as neu[.tx] on or bi[.stx] o also occur in word-initial position (e.g., [tx] ain, [stx] it is not found word-initially; the maximal onset here is [stx] and the remaining [n] is assigned to the preceding coda.

In English, when a medial consonant cluster is preceded by a stressed lax vowel, as wh[s.p]er, v[s.t]ige, or m[s.k]et, the first consonant of the cluster checks the lax vowel (Hammond 1997:3, Treiman and Zukowski 1990). As Harris (1994:55) notes, however, when the medial cluster is also a valid onset, as in whi[s.p]er, ve[s.ti]ge, and mu[s.k]et, onset maximization will incorrectly assign the entire cluster to the onset and leave the lax vowel unchecked. For this reason, onset maximization parses are modified to assign the first consonant of a

⁵The glide is also assumed to be present in underlying representation (e.g., Anderson 1988, Borowsky 1986:278) rather than inserted by rule (e.g., *SPE*:196, Halle and Mohanan 1985:89, McMahon 1990:217) since presence or absense of the glide is contrastive (e.g., *booty/beauty, coot/cute*).

complex medial consonant cluster to the coda before a stressed lax vowel (Pulgram 1970:48).

B.3 Phonologization

The traditional analysis of affricates as single segments (e.g., SPE:321f., Jakobson et al. 1961:24) rather than stop-fricative sequences (e.g., Hualde 1988, Lombardi 1990) is assumed here. In many languages, affricates pattern with simple onsets; for instance, Classical Nahua bans true onset clusters but permits the affricate series [ts, t], t] (Launey 2011:9). In English, however, affricates do not form complex onsets. Yet the stop and release phase of affricates cannot be separated by a syllable boundary, as predicted from the assumption they are single phonological units.

In English, $[\eta]$ has been analyzed as a pure allophone of /n/ before underlying /k, g/ (with later deletion of /g/ in some contexts; Borowsky 1986:65f., SPE:85, Halle and Mohanan 1985:62), or as a phoneme in its own right (e.g., Jusczyk et al. 2002, Sapir 1925). Onset $[\eta]$ is totally absent in onset position, where it cannot be followed by a /k, g/ needed to derive the velar allophone, a fact predicted only by the former account, and English speakers have considerable difficulty producing initial $[\eta]$ (Rusaw and Cole 2009). The allophonic analysis is assumed here. When followed by /k, g/, $[\eta]$ is mapped to /n/. When not followed by a velar stop (i.e., finally), $[\eta]$ is analyzed as underlying $/\eta g/$.

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