

# PRONOMINAL CLITICS IN OLD ROMANIAN: THE TOBLER-MUSSAFIA LAW

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**Abstract.** This study analyzes the position occupied by pronominal clitics in the clause with respect to the verb in old Romanian (OR) on the basis of an extensive corpus analysis of 16<sup>th</sup> – 18<sup>th</sup> century texts. The corpus analysis shows that, from the earliest texts, OR pronominal clitics are attested in second, third, fourth, etc. position in the clause, and exceptionally also in first position. Therefore, they do not fully observe the Tobler-Mussafia Law, which was in function in other old Romance languages. OR pronominal clitics are IP-clitics, which can be placed both in pre- and in postverbal position (proclisis and enclisis). Due to the gradual reduction of V-to-C movement, pronominal proclisis generalizes.

**Keywords:** pronominal clitics, enclisis, proclisis, old Romanian, the Tobler-Mussafia Law, V-to-C movement.

## 1. INTRODUCTION

Word order in old Romanian (OR) is generally freer than in the modern Romanian (MR). For instance, in OR, arguments of nouns could be placed not only at the right, but also at the left of its head, pronominal clitics and auxiliaries could be positioned both pre- and postverbally; scrambling is attested in the verbal domain – the displacement of the clitic / auxiliary / clitic plus auxiliary from the lexical verb through the insertion of phrasal constituents (Dragomirescu 2013, 2014) –, as well as in the nominal domain – the adjunction of adverbials and conjunctions to phrasal DP-internal constituents (Nicolae 2015).

Having a descriptively oriented empirical goal, this article investigates OR word order as reflected by (a) the position occupied by dative and accusative pronominal clitics within the clause (first, second, third position, etc.) and (b) their ordering in relation to their morphosyntactic host, the inflectional phrase, aiming to reveal the changes that took place in the transition from old to modern Romanian (MR). The ordering of OR pronominal clitics within the cluster is identical to that of MR, namely the fixed dative–accusative order. However, while in MR pronominal clitics generally occur at the left of the verb (in proclisis), except for gerunds and imperatives, in OR, they are attested both as proclitics and as enclitics with all types of verb forms. These two word orders characterize not only old Romanian, but also the older stages of other Romance languages (de Dardel and de Kok 1996, Fontana 1997, Fischer 2002, Poletto 2014 a.o.). Previous studies on old Romance (some of them including Romanian data) account for the possibility of pronominal enclisis by invoking the Tobler-Mussafia Law, while other analyses focusing especially on Romanian look at the relation between postverbal clitic placement and Wackernagel’s Law.

We aim to determine the extent to which either the Tobler-Mussafia Law or Wackernagel’s Law were in effect in the earliest OR texts. We argue that, as early as the 16<sup>th</sup> century, OR clitics are not Wackernagel clitics, a conclusion confirming the results reached by Alboiu and Hill (2012), Hill and Alboiu (2016: 61 ff.), whose analysis is based on a later and much smaller corpus of OR texts than the one used here. The fact that OR clitics take the inflectional phrase as their morphosyntactic host raises the question whether they might observe the Tobler-Mussafia law, which supposedly was in effect in Romanian in a stage prior to its first attestations.

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In order to establish the place of OR pronominal clitics in the clause and within the IP, we conducted an exhaustive corpus analysis for the first OR period (1500–1640) and an analysis of a large second OR period corpus (1640–1780), using the OR corpus compiled by Emanuela Timotin for *The Syntax of Old Romanian* (ed. Gabriela Pană Dindelegan, in preparation). It is important to mention that both corpora contain translated, as well as original Romanian texts. Most of the translated texts have an old Church Slavonic source (a lesser number of texts have a Hungarian or Greek source), which raises the question whether some syntactic structures encountered are literally copied from the original. While this does remain a possibility, we maintain the hypothesis that the syntax of these texts, including the position of clitic pronouns, reflects the real usage of the period to a great extent; therefore, we take the data drawn from translations to be relevant for our investigation.

The novelty of our analysis in relation to previous studies on pronominal clitic word order consists in a systematic (and partly exhaustive) corpus analysis of OR, which provides a precise image of the range of word order possibilities in this period and of the changes taking place from the first to the second OR period.

With respect to the syntax of the clause, we adopt the following generally accepted ideas in the literature: (i) clauses are split into three syntactic domains, the (discourse-related) CP-field, the IP-field (hosting mainly the Mood-Tense-Aspect projections, but also accommodating other types of syntactic material), and the vP-field (the thematic domain), hierarchically structured (CP > IP > VP) and (ii) verbs undergo movement on the clausal spine, movement being always to the left (i.e. raising).

The paper is structured as follows: section 2 briefly discusses the Tobler-Mussafia Law in relation to Wackernagel's Law and the accounts that were proposed in the literature for the fixed position of pronominal clitics within the clause; section 3 contains the results of the corpus analysis; section 4 brings evidence that the OR pronominal clitics attach at the level of the inflection, instead of the level of the complementizer phrase, and, therefore, are verbal clitics; the fifth section is represented by conclusions.

## 2. OLD ROMANIAN CLITICS AND THE TOBLER-MUSSAFIA LAW

The Tobler-Mussafia Law (TML) was put forth by Adolf Tobler (1875) and Adolfo Mussafia (1886) with reference old Romance languages, i.e. old French and old Italian. In a nutshell, it states that clitic object pronouns cannot occupy the initial position in the clause (Salvi 1993, Benincà 1994, de Dardel and de Kok 1996, Fontana 1997, Fischer 2002, Salvi 2011: 363–365), where the initial position is defined with respect to the minimal clause, without hanging topics or left dislocated elements, which are extrasentential (Salvi 1993, Ledgeway 2012: 161). Thus, pronominal clitics have a strong tendency to occur in the second position of the clause. If the verb phrase occupies the first position, the pronominal clitic will be placed postverbally, a possibility lost by the modern Romance languages, except for Portuguese and part of Asturo-Leonese (Martins 1995, Salvi 2011: 364).

Wackernagel's Law (WL), formulated by Jakob Wackernagel (1892), provides a phonological generalization regarding the position occupied by clitics in the clause in Indo-European languages. The law states that inherently unstressed/unstressable words (i.e. clitics) are generally found in second position in the clause, after the first prosodic word, and they cannot occupy the clause's first position (Fischer 2002: 26). WL manifests in Indo-European, Latin, Ancient Greek, Old English, Old High German, Modern German, Slavic languages, with the exception of Bulgarian and Macedonian (Cardinaletti and Roberts 2002, Bošković 1999, Tomić 2004, Pancheva 2005).

The main characteristics of Wackernagel (W) clitics are: (a) they do not need to be adjacent to the verb, as in Old Church Slavonic (Pancheva 2005), and (b) they can occur at the left of negation, as in Serbo-Croatian. In opposition to them, Tobler-Mussafia (TM) clitics must be placed adjacent to the inflection and at the right of sentential

negation. This different syntactic behaviour reflects the fact that Wackernagel clitics are Complementizer-oriented (clausal clitics), while TM clitics are Inflection-oriented (verbal clitics; Rivero 1997). However, there is a relation between TML and WL: the studies on old Romance languages agree that TML is the Romance variant of Wackernagel's law (Wanner 1987, Rivero 1991, Salvi 1993, Martins 1995, de Dardel and de Kok 1996, Fischer 2003, Ledgeway 2011, 2012 a.o.). It should be noted that these two laws actually describe tendencies, not unbreakable laws, since there are exceptions to second position clitic placement, as shown, for example, by Taylor (1990) (*apud* Fontana 1997) and Halpern (1995).

Halpern (1995) makes a typology of second position clitics in general, distinguishing between Second Word (2W) and Second Daughter (2D) languages according to the type of constituent which acts as the host of encliticization. In 2W languages, clitics are placed in second position after the first prosodic word of the clause, even if this means placing them inside a complex constituent (e.g. Serbo-Croatian), while in 2D languages, clitics occur in second position after the first phrase of the clause (e.g. old Romance languages, see (1)).

- (1) a. [Toutes ces choses] **te** presta Noste Sires (old French)  
all these things CL.DAT.2SG lent our Lord  
‘All these things our Lord lent you’  
(de Kok 1985: 74, in Cardinaletti and Roberts 2002)
- b. [esto] **les** mandaua el rey cuydando que... (old Spanish)  
this CL.DAT.3PL ordered the king thinking that  
‘The king ordered them to do this, thinking that...’ (GEI.002, in Fontana 1997: 228)

The possibility of placing pronominal clitics at the right of the verb (2) is attested from the 13<sup>th</sup> century up to the 15<sup>th</sup> century in Italian, up to the 16<sup>th</sup> century in French, and up to the end of the 17<sup>th</sup> century in Spanish and Portuguese; in Portuguese, the verb–clitic word order occurs again from the 19<sup>th</sup> century. Across Romance, the TML stopped being consistently observed between the 13<sup>th</sup> and the 17<sup>th</sup> centuries, with French as the first Romance language where the TM Law started to erode (13<sup>th</sup> c.) and Portuguese the last (17<sup>th</sup> c., Martins 1995, Fischer 2002: 56).

- (2) Doné-li terme (old Piemontese)  
gave.3sg=CL.DAT baths  
‘He gave them baths’ (Sermones Subalpini. 12<sup>th</sup>–13<sup>th</sup> c., in Benincà 1994)

Both syntactic (Benincà 1994, Rivero 1991, 1997, Halpern 1995, Hirschbühler and Labelle 2000, Labelle and Hirschbühler 2005, Cardinaletti and Roberts 2002, Fischer 2003, Pancheva 2005, Ledgeway 2008, 2012: 162) and phonological analyses (Fontana 1993, Bošković 1999, 2001) have been put forth to account for the word order restrictions displayed by second position clitics (i.e. Wackernagel and Tobler-Mussafia clitics).

Syntactic accounts place second-position clitics in the head or specifier of a phrase that is the complement of C and, on its turn, takes the verb's inflection as its complement. The phrase is labelled Agr<sub>P</sub> (Cardinaletti and Roberts 2002), Wackernagel P / Tobler-Mussafia P (Rivero 1997), or ΣP (Fischer 2003). Alternatively, it has been proposed that second position Romance clitics adjoin at IP/TP (Fischer 2003, Pancheva 2005). The ban on the clitic's first position was analysed as a verb-second effect, as old Romance languages are verb-second languages (Adams 1987, Benincà 1995, Manzini 1994, Fontana 1997, Hirschbühler and Labelle 2000, Salvi 2004, Ledgeway 2007, 2008, 2012: 162, Poletto 2014; but see Rivero 1997 for arguments that old Spanish is not verb-second). In verb-second languages, verb

movement (to the Finiteness Phrase of the C-domain, Ledgeway 2008, 2012: 161) and the presence or absence of a phrasal constituent XP in the CP (Theme / FocusP) determine the pre- or postverbal position of the clitic. When a constituent is in the Theme / FocusP, the verb is in second position, therefore the XP – clitic – verb word order is obtained, since the clitic does not count as a prosodic word. When the Theme / FocusP is empty, the verb raises to C giving rise to a verb-first (V1) structure, and the verb – clitic word order is obtained (Salvi 2004, Labelle and Hirschbühler 2005, Benincà 2006, Ledgeway 2008). In this paper, we shall also assume that the pre- and postverbal placement of pronominal clitics is the consequence of the level of verb movement (V-to-I or V-to-C).

Halpern (1995) puts forth a syntactic analysis that includes a PF operation, movement in the phonological component, considered responsible for the placement of the clitic in second position, in order to avoid starting a clause with an unstressed constituent.

There are also phonological accounts (Fontana 1993, Bošković 1999) arguing that clitic placement takes place in syntax, and no further movement operations occur in the phonological component; however, phonology filters out those word orders that are grammatical, but do not conform to the clitics' requirement to attach enclitically to their host.

### **3. THE EVOLUTION OF OLD ROMANIAN CLITIC PLACEMENT FROM A ROMANCE PERSPECTIVE**

The main observation about OR clitics of previous studies is that old Romanian had second-position clitics. In the earliest old Romanian texts, dating from the 16<sup>th</sup> century, first position clitics are generally avoided. This was explicitly or implicitly put down to the action of either the Tobler-Mussafia Law or of Wackernagel's Law (Frâncu 1980, 1997: 172, de Dardel and de Kok 1996, Reinheimer Rîpeanu 2002, Alboiu and Hill 2012). Although Romanian is a Romance language, Frâncu (2009) argues that Wackernagel's Law could have been in effect in OR as a consequence of the Old Slav(on)ic influence. Counterarguments to this claim are brought by Alboiu and Hill (2012), who show that 17<sup>th</sup>–18<sup>th</sup> century old Romanian did not have Wackernagel clitics. Furthermore, Alboiu, Hill and Sitaridou (2014) agree with Benincà (1983/4, *apud* Alboiu, Hill and Sitaridou 2014) that Romanian may have been a V2 language in a period for which there are no attested texts; however, as early as the first Romanian documents of the 16<sup>th</sup> c., the alleged V2 rule was no longer active.

#### **3.1. What counts as first position in Romanian and in the early Romance clause?**

Before we investigate the position occupied by OR pronominal clitics in the clause, it is incumbent on us to establish what counts as the first position of a clause and whether there are differences between the data contained in the old Romanian corpus and the data provided by existing studies on medieval Romance languages.

##### **3.1.1. Clitic placement after a coordinating conjunction**

Romance continuators of the Latin coordinating conjunctions ET 'and', AUT 'or' and MAGIS, originally an adverb, but having the same behaviour as the other conjunctions in old French, where it came to have the meaning 'but' (de Dardel and de Kok 1996: 126), are argued not to function as first prosodic words in early old Romance, when the TML was strictly applied. The consequence is that clitics were not allowed to attach enclitically to these conjunctions (Renzi 1992: 279, de Dardel and de Kok 1996: 113, Wanner 1987), so that the word order was *and/but/or* – XP/verb – clitic. Hirschbühler and Labelle (2000) call this stage the strict Tobler-Mussafia phase (3)–(4).

- (3) [...] *e* mist **la** al lit David (old French)  
 and placed CL.ACC on.the bed David  
 ‘and placed it on David’s bed’ (QLR 2 R 8.27, in Hirschbühler and Labelle 2000)
- (4) **Ma** dicoti (old Italian)  
 but tell=CL.DAT.2SG  
 ‘But I tell you’ (Nov.141, 7, in Renzi and Salvi 1992: 279)

As early as the end of the 12<sup>th</sup> century, French clitics start to occur immediately at the right of *et* ‘and’ (Hirschbühler and Labelle 2000), as shown in (5), which means that the coordinating conjunction was reanalysed as the first word of the clause.

- (5) Au soir dist Lancelos a la dame..., **et la** mercia moult  
 at.the evening said Lancelot to the lady and CL.DAT thanked much  
 ‘In the evening, Lancelot said to the lady..., and thanked her very much’  
 (Artu 56,2, in Benincà 1994)

As far as OR is concerned, the corpus analysis has shown that in 16<sup>th</sup> century texts there are only attestations of the *e* (< ET) – (XP) – verb – clitic word order (6). Considering that first position clitics are exceptional in 16<sup>th</sup> century texts, the postverbal position of the clitic with this conjunction can be due to the fact that, in the earliest documents, the Romanian conjunction *e*, now obsolete, does not function as a first position in the clause.

- (6) *e* pleca-**te**-veri (CC<sup>1</sup>.1567: 165<sup>v</sup>)  
 and humble.INF=CL.REFL.ACC.2SG=AUX.FUT.2SG  
 ‘and you will humble yourself’

From the 17<sup>th</sup> century onwards, the *e* – clitic – verb ordering is attested in Romanian texts (7). This coincides with the increase in frequency of first-position pronominal clitics; therefore we cannot decide whether *e* ‘and’ is reanalysed as a first prosodic word of the clause at this point in the history of Romanian or the pronominal clitic itself is in first position.

- (7) *e* **să** boteadză (CazV.1643: 413)  
 and CL.REFL.3SG baptize.PRES.3SG  
 ‘and he gets baptized’

Differently from the status of *e* ‘and’ in the 16<sup>th</sup> century, the coordinating conjunctions *și* ‘and’, *ci/ce* ‘but’, *însă* ‘but’, and *au* ‘or’ function as clause-initial elements, as shown by the fact that clitics frequently occur to their right, in second position (8)–(10). A possible explanation for the different behaviour of the two synonymous conjunctions, *e* and *și* ‘and’, is that the former has its etymological source in a Latin conjunction (ET), while the latter continues the Latin adverb SIC ‘so’, which always functioned as a first prosodic word of the clause. The *și* / *ci/ce* / *însă* / *au* – verb – clitic word order is, however, not excluded in OR (11).

- (8) *și* **mă** veselesc (CP<sup>1</sup>.1577: 50<sup>v</sup>)

- and CL.REFL.ACC.1SG rejoice.PRES.1SG  
‘and I rejoice’
- (9) nimini de rudele meale nu m-a grijit,  
nobody of relatives.DEF my not CL.ACC.1SG=AUX.PERF take.care.PPLE  
ci m-au căutat Dragnea (DÎ.1600: VIII)  
but CL.ACC.1SG=AUX.PERF look.after.PPLE Dragnea  
‘none of my relatives took care of me, but Dragnea looked after me’
- (10) când intra în cortul adevăratei au se apropiia  
when enter.IMPERF in tent.DEF truth.DEF.GEN or CL.REFL.3SG draw.near.IMPERF  
cătră oltariu (PO.1582: 317)  
towards altar  
‘when he entered the tent of truth or he came near the altar’
- (11) și lauda-se-voru (PH.1500–10: 4<sup>r</sup>)  
and boast=CL.REFL.3PL=AUX.FUT.3PL  
‘and they will boast’

One special context in which pronominal clitics are in preverbal position after a coordinating conjunction is represented by verbs in the imperative. Attestations of the clitic–imperative word order are found both in OR and in MR, such as in (12), in the second conjunct of a coordination.

- (12) ia patul tău și te du în casa ta  
take.IMP bed.DEF your and CL.ACC.2SG go.IMP in house.DEF your  
‘Take your bed and go into your house!’ (CC<sup>2</sup>.1581: 55)

### 3.1.2. The sentential negator *nu* (‘not’)

In the strict TML phase, sentential negation counts as the first prosodic word of the clause (de Dardel and de Kok 1996: 115). Our corpus analysis has shown that the situation of 16<sup>th</sup> century OR is similar to that of other Romance languages. There are many attestations of the negative adverbial *nu* (‘not’) in first position in the clause, followed by the pronominal clitic in preverbal position (13)–(14).

- (13) Nu ți se cade să o iai ea  
not CL.DAT.2SG CL.REFL.ACC ought SĂ<sub>SUBJ</sub> CL.ACC.3SG take.SUBJ her  
‘You ought to not take her’ (CT.1561: 30<sup>r</sup>)
- (14) Nu se va muta cătră tine hicleanulu (PH.1500–10: 3<sup>v</sup>)  
not CL.REFL.ACC.3SG AUX.FUT.3SG come.INF towards you evil.DEF  
‘The evil one will not come to you’

### 3.2. Old Romanian second-position clitics

Our extensive 16<sup>th</sup> century corpus analysis has shown that second-position clitics occur both in main (15)–(25) and subordinate clauses (26)–(27). In main clauses, proclitic second-position clitics are attested in declaratives (15), in

*yes-no* interrogatives (16), as well as in *wh*-interrogatives (17), with an adverbial (15) or DP (16)–(17) occupying the first position in the clause.

- (15) Aşa mă rog domnivoastră (DÎ.1599–600: XXII)  
 so CL.REFL.1SG ask.PRES.1SG you.POL.DAT  
 ‘so I ask you’
- (16) Frate drag, voia ți-e această fată în leagea lu Dumnezeu  
 brother.VOC dear will.DEF CL.DAT.2SG=is this girl in law LUI God  
 să o iai la tine, să-ți fie căsătorie?  
 SĂ<sub>SUBJ</sub> CL.ACC.3SG take.SUBJ at you SĂ<sub>SUBJ</sub>=CL.DAT.2SG be.SUBJ.3SG wife  
 ‘Dear brother, do you want to take this girl in God’s law to be your wife?’ (CM.1567–8: 258<sup>v</sup>)
- (17) de cine mă tem? (CP<sup>1</sup>.1577: 43<sup>v</sup>)  
 of who CL.REFL.ACC.1SG be.afraid.PRES.1SG  
 ‘Who am I afraid of?’

The corpus analysis has shown that enclisis in non-imperative clauses, with the clitic in second (but also in third, fourth, etc.) position in the clause, occurs in Romanian from earliest texts till the end of the OR period (the end of the 18<sup>th</sup> century). Second-position clitics occur as enclitics in declaratives (18)–(21), both with synthetic (18), (19), (21) and analytic (20) verb forms, in *yes-no* interrogatives (22), (23), and in clauses with an imperative force, whose finite verb is in the subjunctive (25) or in the imperative (26).

- (18) Rogu-mă măriei tale (DÎ.1598: XVII)  
 ask=CL.REFL.SG highness.DEF.DAT your  
 ‘I ask your highness’
- (19) Sârguiia-se această ușă să treacă (CC<sup>2</sup>.1581: 179)  
 try.IMPERF=CL.REFL.3SG this door SĂ<sub>SUBJ</sub> pass.SUBJ  
 ‘He was trying to pass beyond this door’
- (20) Priimitu-mi-au în jele lacrimi ovilite (DPV.1673: 57)  
 received=CL.DAT.1SG=AUX.PERF in sadness tears poor  
 ‘He received my poor tears when I was sad’
- (21) Multumescu-ți Ție (ITM.1750: 571)  
 thank.PRES.1SG=CL.DAT.2SG you.DAT  
 ‘I thank You’
- (22) Lepădași-te de satana? (Mol.1689: 215)  
 reject.PS.2SG=CL.REFL.2SG of Satan  
 ‘Have you rejected Satan?’
- (23) Cunoști-mă pre mine, au ba? (NL.~1750–66: 199<sup>v</sup>)  
 recognize.PRES.2SG=CL.ACC.1SG DOM me or not  
 ‘Do you recognize me or not?’
- (24) Hie-ț, Doamne, mila ta cea zvântă preste noi  
 be.SUBJ.3SG=CL.DAT.2SG God mercy.DEF your CEL.F holy over us  
 ‘May your holy mercy descend over us’ (DPV.1673: 229)

- (25) Ascundeți-vă comoara (CC<sup>2</sup>.1581: 44)  
 hide.IMP.2PL=CL.REFL.DAT.2PL treasure.DEF  
 ‘Hide your treasure’

Imperative clauses and, to a more limited extent, imprecatory conditionals are the only ones in which the verb-clitic word order is still in use in standard MR. Pronominal clitics in enclisis are still attested dialectally in declaratives and in *yes-no* interrogatives, for instance in Crișana and Maramureș (TDR 1984: 309, 337). The preservation of this archaic syntactic characteristic in contemporary Romanian dialects constitutes evidence that the verb-clitic word order in OR texts cannot be explained as a loan translation from Old Church Slavonic sources.

Pronominal proclisis in subordinate clauses is very frequent. It is also the expected word order if the second-position rule was in effect in OR, because the *wh*-phrase (26) or the complementizer (27) occupies the first position of the subordinate.

- (26) cându ne aducem aminte (CCat.1560: 9<sup>v</sup>)  
 when CL.REFL.1PL bring.PRES.1PL in-mind  
 ‘when we remember’
- (27) că se teame de noi că-l vomu  
 that CL.REFL.3S be-afraid.PRES.3SG of us that=CL.ACC.3SG AUX.FUT.1PL  
 prinde (DÎ.1599: XVIII)  
 catch.INF  
 ‘because he is afraid that we’ll catch him’

### 3.3. Deviations from the TML: Clitics in 3<sup>rd</sup> / 4<sup>th</sup> / 5<sup>th</sup> positions

Third position clitics can be pre- or postverbal, but, usually, studies on old Romance relate the clitic’ 3<sup>rd</sup> position to its postverbal placement, which results in the XP–verb–clitic word order. This yields enclisis in contexts in which the verb does not occupy the first position in the clause (28).

- (28) Et Ewruins ot en gran dol (old French)  
 and Ewruins had CL.ADV great sadness  
 ‘And Ebroïn was very sad’ (*Vie de saint Leger*, in de Dardel and de Kok 1996: 34)

Examples like (28) constitute deviations from the Tobler-Mussafia Law. They were explained either through the co-existence of archaic and/or innovative word orders during each dominant phase in the evolution of clitic placement in Romance (de Dardel and de Kok 1996: 122), or, with specific reference to old Romanian, through the action of discourse factors, namely of movement to focus (Alboiu and Hill 2012, Alboiu, Hill and Sitaridou 2014). The XP–V–clitic word order is attested in French as early as the 11<sup>th</sup> century (de Dardel and de Kok 1996: 35) and in Spanish, Italian, and Portuguese, from the 13<sup>th</sup> century. After the 17<sup>th</sup> century, in all Romance languages with the exception of Portuguese, this word order is no longer attested (see section 2 above; Salvi 1993, Fischer 2002: 86ff.). Our corpus analysis has shown that Romanian kept this archaic word order longer than the other Romance languages (with the exception of Portuguese), namely until the end of the OR period.



In OR, both pre- and postverbal clitics could occur in 3<sup>rd</sup>, 4<sup>th</sup>, 5<sup>th</sup> position in the clause, not only in main (29)–(38), but also in subordinate clauses (39)–(44) (see also Alboiu and Hill 2012, Alboiu, Hill and Sitaridou 2014). In main clauses, preverbal (39) or postverbal (30)–(35) clitics in third (29)–(31), (36)–(38), fourth (32)–(33) and fifth (34)–(35) position are attested in declaratives, in *yes-no* interrogative clauses (36), as well as in *wh*-interrogatives (37), (38). In *wh*-interrogatives, the word order *wh*-phrase–clitic–verb is expected, since the *wh*-phrase can act as the clitic’s phonological host, but the corpus analysis has shown that the *wh*-phrase–verb–clitic order is also possible, although less frequent. We found no occurrences of encliticization in *wh*-interrogatives in original texts, where interrogatives are scarce.

- (29) Deci iară **mă** rog domilor voastre  
so again CL.REFL.ACC.1SG ask.PRES.1SG lordships.DEF.DAT your  
‘So I ask your lordships again’ (DÎ.1600: CXIV)
- (30) Domnul râde-și lui (CP<sup>1</sup>.1577: 65<sup>r</sup>)  
God laugh=CL.DAT him  
‘God is laughing at him’
- (31) Într-acia vreme tâmplatu-s-au (NL.~1750–66: 215<sup>r</sup>)  
in=that time happened=CL.REFL=AUX.PERF  
‘In that time it happened’
- (32) Și toți oamenii aflămu-ne ca într-o corabie intrați (CC<sup>2</sup>.1581: 55)  
and all people.DEF find=CL.REFL.1PL like in=a ship enter.PPLE  
‘and we, all the people, are as if we had entered a ship’
- (33) Și cu adevăratu cade-i-să acestu nume (CLM.1700–50: 178<sup>r</sup>)  
and with true ought=CL.DAT.3SG=CL.REFL.3SG this name  
‘And he really deserves this name’
- (34) Și a mulți oameni, cu hitlensugul său, de avuție i-au golit  
and at many people with slyness.DEF his of wealth CL.ACC.3PL=AUX.PERF emptied  
‘And has robbed many people of their wealth with his slyness’ (DÎ.1593: LXXXIX)
- (35) Iară Iuda în veaci sălășlui-să-va (DPar.1683: I.6<sup>v</sup>)  
and Judas in ages live=CL.REFL=AUX.FUT  
‘And Judas will live [there] forever’
- (36) Doară ispovedi-ți-se ță râna? (CP<sup>1</sup>.1577: 49<sup>r</sup>)  
Q confess=CL.DAT.2SG=CL.REFL.ACC.3SG ground.DEF  
‘Will the ground confess to you?’
- (37) întru iadu [cine spovedi-se-va]? (PH.1500–10: 4<sup>r</sup>)  
in hell who confess=CL.REFL=AUX.FUT  
‘Who will confess in hell?’
- (38) Până când rădică-se dracul mieu spre mine? (CP<sup>1</sup>.1577: 18<sup>r</sup>)  
till when rises=CL.REFL devil.DEF my towards me  
‘How long will my devil keep coming to me?’

Both proclisis (39)–(40) and enclisis of pronominal clitics (41)–(44) in third position are attested in subordinate clauses. In the first OR period, postverbal clitics occur in subordinate clauses both in translations and in

original texts (with *wh*-relatives, postverbal clitics occur only in translations). This shows that old Romanian preserves an ancient word order that disappeared much earlier from the other Romance languages. In the evolution of Romance, the verb–clitic word order was first lost in embedded clauses (Fischer 2003) and, for this reason, in medieval Romance languages (with the exception of old Catalan) clitics do not occur postverbally in subordinate clauses (Benincà 1994, Martins 1995, Fischer 2003).

- (39) că foarte-mi trebuie (DÎ.1599–600: XXII)  
 that much=CL.DAT.1SG need.PRES.3PL  
 ‘because I need them a lot’
- (40) cât Dumnezeu i va da (DÎ.1595–6: XIII)  
 how.many God CL.DAT.3SG AUX.FUT give.INF  
 ‘how many God will give him’
- (41) Că sculară-se (CP<sup>1</sup>.1577: 60’)  
 that get.up.PS.3PL=CL.REFL  
 ‘that they got up’
- (42) Pentru că acest satu și acești rumâni fostu-i-am  
 because this village and these serfs be.PPLE=CL.ACC.3PL=AUX.PERF.1SG  
 cumpărat eu (DRH.B.XXX.1645: 248)  
 buy.PPLE I.NOM  
 ‘Because I had bought this village and these serfs’
- (43) Ferice de cei [ce lăsară-se fără-legile]  
 happy of those that give.up=CL.REFL bad.deeds  
 ‘Those that gave up bad deeds are happy’ (CP<sup>1</sup>.1577: 53’)
- (44) Că ca iarba curând usucă-se (CP<sup>1</sup>.1577: 64’)  
 that like grass.DEF soon dries=CL.REFL  
 ‘that it dries as quick as grass’

### 3.4. The rise of first position clitics

Pronominal clitics begin to be infrequently attested in first position starting with the late 12<sup>th</sup> century in French (45) and Italian, and from the 13<sup>th</sup> century in Spanish (Adams 1987: 160, de Dardel and de Kok 1996: 54 for French; Benincà 1994 for Italian; Fischer 2002: 87 for French, Spanish and Italian). Portuguese is late in allowing first position clitics, from the 17<sup>th</sup> (and up to the 19<sup>th</sup> century, Martins 1995). French allowed first-position clitics till the end of the 16<sup>th</sup> century, when the realization of subject pronouns becomes obligatory (Fischer 2002: 87).

- (45) Ba! **me** conmissies vos? fait Aucassins. (old French)  
 ah CL.ACC.1SG recognize you says Aucassins  
 ‘Ah! Do you recognize me? Aucassins said’ (Auc.XXIV, 34, in Adams 1987: 161)

In OR, first position clitics occur sporadically in the 16<sup>th</sup> century and more often in the first half of the 17<sup>th</sup> century (van Eeden 1985.1: 404). Our extensive corpus analysis revealed extremely few examples of first position clitics in both original (46)–(47) and translated 16<sup>th</sup> century texts (48). There is a higher number of occurrences of clitics

in first position in original texts (although they represent a much lower number of pages of text), which shows that their syntax is more innovative than that of translations.

- (46) **Mă** rog domniia-voastră să căutaț (DÎ.1599–600: XXV)  
 CL.REFL ask.PRES.1SG lordship.DEF=your SĂ<sub>SUBJ</sub> search.SUBJ.2PL  
 ‘I ask your lordship to search [...]’
- (47) **S-a** scris aceasta când s-a ferecat  
 CL.REFL=AUX.PERF written this when CL.REFL=AUX.PERF.3SG bound  
 ‘This was written when it was bound’ (ITM.16<sup>th</sup>c.: 83)
- (48) **luo** pâine întru sfânta a sa și nevinovata mână dulce-har deade,  
 take.PS.3SG bread in holy.DEF AL.F his and innocent hand grace give.PS.3SG  
 binecuvântă, o sfînți, o frâmse  
 bless.PS.3SG CL.ACC.3SG consecrate.PS.3SG CL.ACC.3SG break.PS.3SG  
 ‘he took some bread in his holy and innocent hand, he gave us grace, he blessed it, he consecrated it, he broke it’ (CL.1570: 32<sup>v</sup>)

The number of first-position clitics increases in the 17<sup>th</sup> and 18<sup>th</sup> century texts (49)–(50), inversely proportional with the frequency of pronominal enclisis.

- (49) **Mă** minunez, cu adevărat (AIP.1705: 353)  
 CL.REFL.1SG wonder with true  
 ‘I truly wonder’
- (50) **Te** râd pe tine că ești un  
 CL.ACC.2SG laugh.PRES.3PL DOM you.ACC that be.PRES.2SG a  
 dobitoc (Bert.1774: 20<sup>f</sup>)  
 fool  
 ‘They are laughing at you because you are a fool’

#### 4. OLD ROMANIAN CLITICS ARE VERBAL (IP-ORIENTED) CLITICS

Alboiu and Hill (2012) show that 17<sup>th</sup> – 18<sup>th</sup> century old Romanian clitics do not obey Wackernagel’s Law. Our 16<sup>th</sup> century corpus analysis confirms their conclusions and, furthermore, it brings evidence that, as early as the first OR documents, pronominal clitics took the inflectional phrase as their morphosyntactic host, and did not raise to the complementizer phrase.

##### 4.1. The OR clitics’ position relative to *să*<sub>SUBJ</sub> and *a*<sub>INF</sub>

The first evidence for the fact that OR clitics are verb-oriented is provided by their position relative to the subjunctive marker *să* and to the infinitive marker *a*. In modern Romanian, *să* and *a* are analysed as complementizers, more precisely as Finiteness heads (Ledgeway 2004, 2012: 170, Nicolae 2013, Hill 2013). *Să*<sub>SUBJ</sub> had the same status in OR, and the same can be argued for *a*<sub>INF</sub>, at least in those contexts in which it clearly no longer has a prepositional value, for instance, when the *a*-infinitive is clausal and occurs as a subject or as a direct object.

In the 16<sup>th</sup> century corpus, clitics are only attested at the right of *să*<sub>SUBJ</sub> (51)–(52) and of *a*<sub>INF</sub> (53)–(54), even if they are postverbally placed (52), (54), this proving that they do not leave the Inflectional domain.

- (51) cum să se întoarne  
 how SĂ<sub>SUBJ</sub> CL.REFL.ACC return.SUBJ.3SG  
 ‘how to return’ (PO.1582: 200)
- (52) și hitlenșug înaintea ta feciu, ca să  
 and slyness before your do.PS.1SG CA SĂ<sub>SUBJ</sub>  
dereptezi-te întru cuvintele tale  
 straighten.SUBJ=CL.REFL.2SG in words.DEF your  
 ‘and I did this sly thing in front of you to make you talk justly’ (CL.1570: 131)
- (53) Nu se cade împrejur a se tăia  
 not CL.REFL.3SG ought.PRES.3SG around A<sub>INF</sub> CL.REFL.3SG cut.INF  
 limbile  
 people.PL.DEF  
 ‘People ought to not be circumcized’ (CPr.1566: 217)
- (54) Și deca fu a descăra-se noao  
 and if be.PS.3SG A<sub>INF</sub> leave=CL.REFL.3SG us.DAT  
 ‘And if it happened had we left’ (CB.1559–60: 168)

#### 4.2. The OR clitics’ position relative to the sentential negation

Ever since the publication of Zanuttini’s (1997) study on negation it is generally accepted that, in Romance, sentential negation takes the Inflection Phrase as its complement, closing off the IP field. The consequence is that verbal clitics, being part of the Inflectional field, can only occur at the right of negation (Salvi 1993, Rivero 1997 for TM clitics). This is confirmed by our corpus analysis: in OR there are no attestations of the clitic raising above negation (55)–(57). Very rare examples such as (56), (57), which are only encountered in translations and possibly imitate the word order of the original text, show the verb raising above the pronominal clitic, but not above the negator *nu* ‘not’.

- (55) Nu mă voiu rădica în veac (CP<sup>1</sup>.1577: 49<sup>r</sup>)  
 not CL.REFL.ACC.1SG AUX.FUT.1SG raise.INF in age  
 ‘I shall not raise in ages’
- (56) ceia ce nu înșală-se de mine (CT.1560–1: 52)  
 those that not cheat=CL.REFL.ACC.3PL by me.ACC  
 ‘those that are not cheated by me’
- (57) Derept aceia nu spăimântămu-ne (CP<sup>1</sup>.1577: 85<sup>v</sup>)  
 for that not get-scared.PRES.1PL=CL.REFL.ACC.1PL  
 ‘for this reason we do not get scared’

#### 4.3. Clitic – verb (non-)adjacency

In modern Romanian, like in the other Romance languages, the strict adjacency between the pronominal clitic and the verb is obligatory, as the clitic takes the verb as its support (Dobrovie-Sorin 1994, Avram 1999 a.o.). Adverbial clitics are the only constituents that can break up the clitic–verb complex (*îl (mai) / (\*acum) văd* ‘I can (still) see him (now)’).

Old Romance clitics can be non-adjacent to the verb (58) (see Wanner 1987, Benincà 1995 for old Romance; Fontana 1993 for old Spanish, Martins 1995 for old Portuguese, Dragomirescu 2013, 2014 for old Romanian). The possibility to insert a phrase between the clitic and the verb was analysed as a relic of Wackernagel’s Law (in its 2D manifestation) (de Dardel and de Kok 1996: 129, for old French; Rivero 1997, for old Spanish).

- (58) otro dia queles [este buen mandado] dixo Moysen (old Spanish)  
 other day that=CL.DAT.3PL this good order told Moses  
 ‘The day after Moses had given them directions’ (GE-I.216v, in Fontana 1997: 229)

OR has attestations of clitic–verb non-adjacency such as (59)–(60), decreasing in frequency from the 16<sup>th</sup> to the 18<sup>th</sup> century, and occurring both in translated (59) and original texts (60). The occurrence of such word orders in original texts indicates that their presence is not accidental or due to the close imitation of the word order of the original text. We argue that OR clitics that are non-adjacent to the verb are adverbial, and not Wackernagel clitics and we follow Dragomirescu (2013, 2014) in analysing non-adjacency as a consequence of scrambling.

- (59) ce se [pururea] pomeneaste (Prav.1581: 258<sup>r</sup>)  
 what CL.REFL always remember.PRES.3SG  
 ‘which is always remembered’
- (60) numele nu să [nice] povesteste (CLM.1700–50: 166<sup>v</sup>)  
 name.DEF not CL.REFL even tell.PRES.3SG  
 ‘his name is not even told’

#### 4.4. Explanations for the loss of TM clitics

The first accounts of the loss of Tobler-Mussafia clitics by Romance languages are phonological in nature (Meyer Lübke 1897, *apud* Benincà 1994). With reference to French, Adams (1987: 165, 198) puts forth the idea that a change in accentuation by which all words lost their original stress to the new oxytone stress led to the weakening of the rule of placing a stressed word at the head of a clause.

Frâncu (1980) considers that phonological factors are responsible for the rise of first position clitics and the generalization of procliticisation in OR. The author claims that proclisis arises as a consequence of the phonological strengthening of the clitic through prothetic *i*- (e.g. *mi* > *îmi* ‘me.DAT’) in the 16<sup>th</sup> century (see Alboiu and Hill 2012, Nicolae and Niculescu 2015 for arguments against this hypothesis).

We shall adopt a syntactic stand, according to which the weakening and eventual loss of the Tobler-Mussafia law falls out from the changes that took place in the domain of verb movement in Romanian (and Romance more generally, cf. Poletto 2014 and references therein on the V2 grammar<sup>3</sup> of certain old Romance varieties). More

<sup>3</sup> Poletto (2014: 33–34) briefly highlights the differences between the V2 grammar of old Romance varieties and Germanic (German, Dutch, mainland Scandinavian) V2, showing that in the former group of languages V2 is not a strict rule, but rather translates as V-

precisely, the restriction and specialization of V-to-C movement (very extensive in OR, cf. Dragomirescu 2013, 2014, Zafiu 2014) gradually gives rise to pronominal proclisis; in other words, the pronominal clitic is also not constrained to the second position any longer (see, for Romance, Adams 1987, Benincà 1995, Manzini 1994, Hirschbühler and Labelle 2000, Ledgeway 2008, 2012: 162). The loss of the V-to-C (and of V2) is caused by the rise of configurational structure in the Romance languages, in their evolution from Latin, which had a non-configurational system. The complementizer field of the Romance clause becomes further articulated, which leads to the possibility of projecting multiple discourse-related phrases in the Left Periphery. As more landing sites become available in the CP-field for information-structurally marked DPs, the verb starts to occupy the third, fourth etc. position of the clause. The ultimate shift taking place in the evolution of the Romance languages and Romanian (Cornilescu 2000) is the fact that the verb raises only as far as inflection in declarative clauses, which means that the pronominal clitics, which occupy a high position in the inflectional domain, preceding the MTA projections (Nicolae 2014), remain proclitic. Verb movement to the complementizer domain is triggered by the imperative and interrogative illocutionary forces (Labelle and Hirschbühler 2005, Ledgeway 2008, Ledgeway 2012: 179). As for Romanian, in the evolution from OR to MR finite verb movement to C is lost in most types of clauses, except for imperatives and conditional imprecations, where we still witness pronominal enclisis.

## 5. CONCLUSIONS

Ever since the 16<sup>th</sup> century, Romanian pronominal clitics are adverbial, even when non-adjacent to the verb; this means that Wackernagel's law does not function in OR. The sentential negator *nu* and the Finiteness complementizers *să* and *a* always precede pronominal clitics, proving that they attach higher in the syntactic derivation than clitics. Adverbial pronominal clitics are confined to the inflectional domain of the clause.

Old Romanian pronominal clitics do occur in second position, but not exclusively. Third- or fourth-position clitics are also attested in the earliest OR texts, and, sporadically, first-position clitics; this indicates that the Tobler-Mussafia Law is no longer (fully) functional in 16<sup>th</sup> century old Romanian.

The main consequence of the fact that the Tobler-Mussafia Law (which, in its turn, is a verb-second effect) stopped being observed in OR is the loss of pronominal enclisis in relation to the morphosyntactic host of the clitic, namely the verb (more precisely, the verbal inflection) in declarative and interrogative clauses, a process that took place in the evolution from OR to MR. In (standard) MR, enclisis is reduced to imperatives and gerunds.

## CORPUS

- |                       |                                                                                                                                                                                  |
|-----------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| AIP.1705              | Antim Ivireanul, <i>Învățătură pentru taina pocăinții</i> . Ed.: Antim Ivireanul, <i>Opere</i> , ed. G. Ștrempel, București, Minerva, 1972, 347–351.                             |
| Bert.1774             | <i>Bertoldo</i> . Ed. Magdalena Georgescu, București, Minerva, 1999 ( <i>Cele mai vechi cărți populare în literatura română</i> , 3), 157–239.                                   |
| CazV.1643             | Varlaam, <i>Cazania</i> , ed. J. Byck, București: Editura Academiei, [s.a.], 1–506.                                                                                              |
| CB.1559–60            | <i>Codicele popii Bratului</i> . Ed. Al. Gafton: <a href="http://media.lit.uaic.ro/gafton">http://media.lit.uaic.ro/gafton</a> .                                                 |
| CC <sup>2</sup> .1581 | Coresi, <i>Evanghelie cu învățătură</i> . Ed. S. Pușcariu, Al. Procopovici: Diaconul Coresi, <i>Carte cu învățătură (1581)</i> , vol. I, <i>Textul</i> , București: Socec, 1914. |

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to-C, with the verb occupying different positions in the C-field, not necessary a constraint on the occurrence of the verb in strict clause-second position.

- CCat.1560 Coresi, *Catehism*. Ed. Al. Roman-Moraru, in I. Gheție (coord.), *Texte românești din secolul al XVI-lea*. I. *Catehismul lui Coresi*; II. *Pravila lui Coresi*; III. *Fragmentul Todorescu*; IV. *Glosele Bogdan*; V. *Prefețe și Epiloguri*, București: Editura Academiei Române, 1982, 101–5.
- CC<sup>1</sup>.1567 Coresi, *Tâlcul Evangheliilor*. Ed.: Coresi, *Tâlcul evangheliilor și molitvenic românesc*, ed. V. Drimba, București: Editura Academiei Române, 1998, 31–187.
- CL.1570 Coresi, *Liturghier*. Ed. Al. Mareș, București: Editura Academiei, 1969, 127–148.
- CLM.1700–50 Miron Costin, *Letopisețul Țării Moldovei*. Ed.: M. Costin, *Opere*, ed. P.P. Panaitescu, București, Editura de Stat pentru Literatură și Artă, 1958, 41–201.
- CM.1567 Coresi, *Molitvenic*. Ed.: Coresi, *Tâlcul evangheliilor și molitvenic românesc*, ed. V. Drimba, București: Editura Academiei Române, 1998, 189–211.
- CP<sup>1</sup>.1577 Coresi, *Psaltire slavo-română*. Ed.: Coresi, *Psaltirea slavo-română (1577) în comparație cu psaltirile coresiene din 1570 și din 1589*, ed. S. Toma, București: Editura Academiei, 1976, 35–662.
- CPr.1566 Coresi, *Apostol*. Ed. I. Bianu, *Texte de limbă din secolul XVI, IV, Lucrul apostolesc tipărit de diaconul Coresi la 1563*, București, Cultura Națională, 1930.
- CT.1560–1 Coresi, *Tetraevanghel*. Ed.: *Tetraevanghelul tipărit de Coresi. Brașov 1560 – 1561*, comparat cu *Evangheliarul lui Radu de la Mănăcești. 1574*, ed. F. Dimitrescu, București: Editura Academiei, 1963.
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- ITM *Însemnări pe de pe manuscrise și cărți vechi din Țara Moldovei*, ed. I. Caproșu and E. Chiaburu, Iași, Demiurg, 2008, vol. I (1429–1750), 130–582; vol. II (1751–1795), 5–325.
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- PO.1582 *Palia de la Orăștie*. Ed. V. Pamfil, București, Editura Academiei, 1968.
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