# Causality of Passive and Paradigmatic Gaps\*

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## 1 The puzzle

This paper is going to be about passive in Barguzin dialect of Buryat (Mongolic).

The received wisdom about passives is that they can attach to agentive transitive verbs, as is schematized in (1). In this case they existentially bind the Agent and leave the event structure (the semantics of the verb) intact. The Patient becomes the subject. If a morpheme does that to the argument structure of transitive verbs, we label it 'passive'.

What is also known since Perlmutter (1978) is that morphemes that do that to transitives (passive morphemes), do not attach to unaccusatives.

What is less known is that this generalization does have counterexamples. In certain languages there are morphemes that, apart from forming standard passives, like in (1), (so we would call them 'passives'), also attach to unaccusatives. In the latter case they form what has been called *causal passive*<sup>1</sup>, schematized in (2). They *introduce* a new existentially bound Agent and a corresponding causation event into the argument structure of the base verb:

Causal passives were, probably, firstly discovered in Karachay-Balkar (Turkic) by Lyutikova et al. (2006). They are also present in Barguzin Buryat. The fact that we find counterexamples to Perlmutter's generalization in different languages with possibly unrelated passive morphemes tells us that we should probably rethink what we have been assuming about passives in general.

The focus of this paper is going to be the novel data from Buryat. In section 2 I will go through the Standard Passive use and the Causal Passive use of the Buryat -gda morpheme. In section 3 I will propose a unifying analysis, based on event decomposition

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<sup>&</sup>lt;sup>1</sup>The term is from Lyutikova and Bonch-Osmolovskaya (2006).

and neo-Davidsonian approaches to the argument structure. In section 4 I will try to explore what a possible analysis that seems to work for Buryat would predict, if extended to other languages, which may lack causal passives altogether.

# 2 The case for Barguzin Buryat

The focus here is a morpheme -gda, glossed as PASS and called 'the passive' and a sample of 48 verb roots. The passive attaches<sup>2</sup> to two major classes of verbs: transitives (or A-only for Agent-only, 22 out of 48) and unaccusatives (P-only for Patient only, 18 out of 48).

### 2.1 A-only verbs: Standard Passive

Underived verbs The 22 A-only verbs (notation  $V_{ag}$ ) that we have worked with: ala 'kill', befe 'write', beder 'look.for', du:la 'sing', ed'i 'eat', el'ge: 'send', n'e: 'open', olo 'find', soxi 'beat', fede 'throw', ferde 'paint', fagna 'listen', fara 'fry', ture 'give.birth', ta:la 'kiss', uga: 'wash', unfa 'read', uge 'give', ulde 'drive.away', xara 'watch', xaxal 'rip', zolgo 'meet/greet'.

No A-only verb can be used in an unaccusative clause, as in (3a) or (4a). All A-only verbs can be used in a transitive clause, as in (3b) or (4b). With respect to their diathesis, A-only verbs are obligatory transitive.

- (3) a. \*u:den n'e:-ge: door.NOM open-PST The door opened.
- (4) a. \*∫ulen ed'-e: soup.NOM eat-PST

The soup ate.

b. dugar ude n'e:-ge: Dugar.NOM door.ACC open-PST

Dugar opened the door.

b. seseg fule ed'-e: Seseg.NOM soup.ACC eat-PST

Seseg ate the soup.

With respect to their event and argument structure, all A-only verbs involve agentive causation. They are all compatible with agent-oriented adverbials:

(5) səsəg **zor'u:ta** (/ məndə-ʒə / mədə:-guj) ojo:r-o: uga:-ba Seseg.NOM deliberately (/ hurry-CONV / intention-NEG) floor-REFL wash-PST2 Seseg deliberately (/ in a hurry / unintentionally) washed her floor.

The nominative argument of A-only verbs has all the semantic properties, usually associated with Agents. It controls the situation, it is volitionally involved etc. – see Dowty (1991).

Their -gda derivatives: Standard Passive The suffix -gda can attach to all A-only verbs. In this case it forms what we would normally call 'standard passive'.

The former subject is suppressed, the former object becomes the subject:

(6) a.  $[dugar]_1$   $[ude]_2$  n'e:-be

Dugar.NOM door.ACC open-PST2

Dugar opened the door.

b. [uden]<sub>2</sub> n'e:-gd-e: door.NOM open-PASS-PST The door was opened.

<sup>&</sup>lt;sup>2</sup>Modulo certain exceptions.

The -gda derivatives of A-only verbs have the argument and event structure of a standard passive. They can be modified by agent-oriented adverbials:

(7) ene ojo:r zor'u:ta (/ mende-3e / mede:-guj) uga:-gd-a: this floor deliberately (/ hurry-CONV / intention-NEG) wash-PASS-PST This floor was deliberately (/ in a hurry / unintentionally) washed by someone.

They have an implicit Agent argument, which can control PRO in purpose clauses. Purpose clauses with -xa-ja 'FUT-REFL' cannot be associated with an understood Agent (8a), but can be associated with an implicit Agent in standard passive (8b).

- (8) a. Ungrammatical, if associated with an understood Agent<sup>3</sup>
  \*hun PRO<sub>i</sub> tvorog belde-xe-je: ga∫al-a:
  milk.NOM PRO cottage.cheese make-FUT-REFL sour-PST

  The milk soured to prepare some cottage cheese.

For some speakers the implicit Agent in passive can bind subject-oriented reflexives:

(9) %ojo:r e:r-i:n-ge:i ger-te uga:-gdi-a: floor self-GEN-REFL house-DAT wash-PASS-PST Someone washed the floor in their own house.

Importantly, the implicit Agent is neither pronominal, nor high scope indefinite. It must be  $\exists$ -bound at the VP level. In particular, unlike pronouns, it cannot be bound by a c-commanding quantifier. (10) only has the stronger meaning (nobody even admits that the meat was eaten).

(10) CONTEXT: Seseg cooked some meat. Somebody ate it, but nobody admits to the crime.

 $\mathbf{xen} \mathbf{je}_i$  seseg-ei m'axan ed'i- $\mathbf{gd}_{*i/j}$ -e: ge-3e xele-ne-guj nobody Seseg-GEN meat.NOM eat- $\mathbf{pass}$ -PST that say-PRS-NEG  $Nobody_i$  says that Seseg's meat was eaten (\*by them<sub>i</sub>).

Unlike pronouns, it cannot be discourse-anaphoric:

(11) Nam-da  $\mathbf{axa_i}$  bi:. #/? Ene nom bef-egd<sub>i</sub>-e:. I-DAT brother there is this book write-PASS-PST I have a brother<sub>i</sub>. This book was written (\*by him<sub>i</sub>).

Unlike high scope indefinites, the Agent cannot create a discourse antecedent that would be referred back to by a pronoun:

(12) Ojo:r uga:-gd<sub>i</sub>-a:. #/?Tərə<sub>i</sub> mini: axa bai-ga:. floor wash-PASS-PST 3SG my brother be-PST Intended: The floor was washed by someone<sub>i</sub>. They<sub>i</sub> were my brother.

<sup>&</sup>lt;sup>3</sup>The judgments about (8a) vary, meanwhile (8b) is ok by all the speakers.

**Summary** Buryat has a class of verbs that cannot be used in an unaccusative clause, but can be used in a transitive clause and can be passivized by *-gda*. The result has all the signature properties of a standard passive:

(13) a.\*[ $\operatorname{uden}$ ]<sub>2</sub> n'9:-g9: b.[ $\operatorname{dugar}$ ]<sub>1</sub> [ $\operatorname{ude}$ ]<sub>2</sub> n'9:-b9 c.[ $\operatorname{uden}$ ]<sub>2</sub> n'9:-gd-9: dr.NOM open-PST D.NOM dr.ACC open-PST2 dr.NOM open-PASS-PST  $\rightarrow$  The door opened.  $\rightarrow$  The door opened.  $\rightarrow$  The door opened.  $\rightarrow$  Someone opened the door.

### 2.2 P-only verbs: Causal Passive

All P-only verbs can be used in an unaccusative clause, as in (14a) or (15a). No P-only verb can be used in a transitive clause, as in (14b) or (15b).

b. \*badma (14)a. h<del>u</del>n gaſal-a: hŧŧ gafal-a: milk.NOM sour-PST Badma.Nom milk.acc sour-pst Badma soured the milk. The milk soured. (15)a. <del>u</del>xib<del>u</del>:n b. \*badma uxibu: unt-a: unt-a: child.NOM sleep-PST Badma.NOM child.ACC sleep-PST The child slept. Badma slept the child.

Buryat has a productive causative morpheme -u:l, which can attach to  $V_{un}$ . But it does not form a direct causative, aka a transitive clause ('put to bed'). It always entails indirect causation ('make/let someone sleep'). For direct vs. indirect causatives see Miyagawa (1984, 1998, 1999) and Harley (1997, 2008, 2010). In other words, there is no way to transitivize P-only verbs.

P-only verbs do not involve any agentive causation. They are incompatible with agent-oriented adverbials:

(16) \*hun zor'u:ta (/ məndə-ʒə / mədən-guj) ga∫al-a: milk.NOM deliberately (/ hurry-CONV / intention-NEG) sour-PST

The milk soured deliberately (/ in a hurry / unintentionally).

The nominative argument of P-only verbs has all the semantic properties, usually associated with Patients. It does not control the situation, is not volitionally involved – see Dowty (1991).

**Their** -gda derivatives: Causal Passive For most speakers of Barguzin Buryat -gda can attach to most P-only verbs and form a causal passive.<sup>5</sup> The subject stays nominative:

<sup>&</sup>lt;sup>4</sup>Motion verbs, like *jere*, take either an animate subject ('Badma swam') or an inanimate one ('log swam'). In the former case they behave like unergatives. In the latter case they behave like P-only verbs.

<sup>&</sup>lt;sup>5</sup>Though there is variation, on the whole causal passives are allowed. For some speakers the less agentive is the base verb, the better is its passive derivative.

- (17) a.  $[hun]_2$  gaʃal-a: milk.NOM sour-PST The milk soured.
- (18) a. [ʃubun]<sub>2</sub> ni:d-9: bird.NOM fly-PST

  The bird flew.

b. [hun]<sub>2</sub> gaʃal-agd-a: milk.NOM sour-PASS-PST

The milk was soured.

b.  $[\int ubun]_2$  ni:d-9gd-9: bird.NOM fly-PASS-PST

Lit: The bird was flown.

(Meaning: Someone let the bird fly.)

The -gda derivatives of P-only verbs have the same argument and event structure as a standard passive. Like standard passives, they can be modified by agent-oriented adverbials, even though P-only verbs cannot, compare (16) and (19).

(19) hun zor'u:ta (/ məndə-ʒə / mədə:-guj) gaʃal-adg-a: milk.NOM deliberately (/ hurry-CONV / intention-NEG) sour-PASS-PST

The milk was soured deliberately (/ in a hurry / unintentionally).

Like in a standard passive, causal passives involve an implicit Agent argument, which can control PRO in purpose clauses:

- (20) a. \*hun **PRO**<sub>i</sub> tvorog belde-xe-je: gaʃal-a: milk.NOM PRO cottage.cheese make-FUT-REFL sour-PST

  The milk soured to prepare some cottage cheese.
  - b. hun PRO<sub>i</sub> tvorog belde-xe-je: gaſal-agd<sub>i</sub>-a: milk.nom PRO cottage.cheese make-FUT-REFL sour-PASS-PST

    The milk was soured to prepare some cottage cheese.

For some speakers the Agent can bind subject-oriented reflexives:

(21) %hun e:r-i:n-ge:i ger-te gaʃal-agdi-a: milk.NOM self-GEN-REFL house-DAT sour-PASS-PST

The milk was soured by someone in their own house.

Unlike pronouns, the implicit Agent cannot be bound by a c-commanding quantifier:

(22) CONTEXT: Seseg warmed water. Somebody cooled it, but nobody admits to the crime.

 $\mathbf{x}$ en $\mathbf{j}$ e $_{\mathbf{i}}$  seseg-ei uhan  $\mathbf{x}$ er-eg $\mathbf{d}$ \* $_{\mathbf{i}/\mathbf{j}}$ -e: ge-39 xele-ne-ge $\mathbf{i}$ g nobody Seseg-GEN water.NOM cool-pass $_{\mathbf{i}}$ -PST that say-PRS-NEG

- 1. \*Nobody<sub>i</sub> says that Seseg's water was cooled by them<sub>i</sub>.
- 2. Nobody says that Seseg's water was cooled.

Unlike pronouns, it cannot be discourse anaphoric:

(23) Nam-da **axa**<sub>i</sub> bi:. #/?En9 uxibu:n unt-**agd**<sub>i</sub>-a:. I-DAT brother there is this child sleep-PASS-PST

I have a brother<sub>i</sub>. This child was put to sleep (\*by him<sub>i</sub>).

Unlike high scope indefinites, the Agent cannot create discourse antecedents:

(24) uxibu:n unt-agd<sub>i</sub>-a:. #/?Tərə<sub>i</sub> mini: axa bai-ga:. child sleep-PASS-PST 3SG my brother be-PST Intended: The child was put to bed by someone<sub>i</sub>. They<sub>i</sub> were my brother.

In other words, the Agent must be ∃-bound at the VP level.

**Summary** Buryat has a class of verbs that can be used in an unaccusative clause, cannot be used in a transitive clause, but can be passivized by -gda. The result has the same argument structure, as a standard passive:

(25) a.  $[hun]_2$  gaʃal-a: b.  $*[b.]_1$   $[hu]_2$  gaʃal-a: c.  $[hun]_2$  gaʃal-agd-a: m.NOM sour-PST B.NOM m.ACC sour-PST m.NOM sour-PASS-PST  $\rightarrow$  The milk soured.  $\rightarrow$  The milk soured.  $\rightarrow$  Someone soured the door.

#### 2.3 Generalizations

e-'be (26)a.  $[badma]_1$  $[[ule]_2]$ b.  $[\int \mathbf{u} \operatorname{len}]_2$ Badma.NOM soup.ACC eat-PST soup.NOM eat-PASS-PST  $\rightarrow$  The soup was eaten.  $\rightarrow$  The soup was eaten.  $\rightarrow$  Badma ate the soup.  $\rightarrow$  Someone ate the soup. b.  $[uxibu:n]_2$  unt- $[agd]_a$ : (27)a.  $[uxibu:n]_2$  unt-a: child.NOM sleep-PST child.NOM sleep-PASS-PST  $\rightarrow$  The child slept.  $\rightarrow$  The child slept.  $\rightarrow$  Someone put the child to bed.

The standard passive with -gda (26b) and the causal passive with -gda (27b) have basically the same argument and event structure. In particular they share the same semantic component: the causation event and the implicit existentially bound Agent (the boxed entailments).

This is the semantic component shared by (26b) and (27b). The shared morphological component is the passive morpheme -gda. It is natural to assume that the semantic contribution of -gda in both cases is indeed the causation event + the  $\exists$ -bound Agent.

If the semantic contribution of -gda in (26b) is the causation + the Agent, then, under the simplest assumptions, the semantic contribution of the verb gd'i 'eat' itself is the remaining part: the non-agentive soup-being-eaten. If it is the contribution of gd'i in (26b), it is the contribution of gd'i in (26a) as well (again, under the simplest assumptions). Hence the overt Agent and the causation event in (26a), aka the dash-boxed entailment, should come from something else. This something else must be familiar to the reader by the name of the little v.

# 3 Analysis: unifying passives

# 3.1 The v-hypothesis

The proposed analysis is built on the neo-Davidsonian theory of the argument structure. The assumption is that at least some arguments of the verbs (in particular, Agents) are introduced by separate functional heads. In addition I am assuming an event decomposition approach, according to which those functional heads also bear event semantics. A sample of such theories includes Dowty (1979), Hale and Keyser (1993), Kratzer (1996), Harley (1997), Baker (1997), Miyagawa (1998), Pylkkänen (2002), Folli and Harley (2007), Ramchand (2008), Harley (2008, 2010) and Paducheva (2001, 2009).

**Step 1** Assume non-agentive semantics for both P-only and A-only verbs:

(28) a. [[ 'sour' ]] = 
$$\lambda x$$
.  $\lambda e$ . sour(e) & Patient(x)(e).   
[[ 'sleep' ]] =  $\lambda x$ .  $\lambda e$ . sleep(e) & Patient(x)(e).

b. [[ 'open' ]] =  $\lambda x$ .  $\lambda e$ . open(e) & Patient(x)(e).   
[[ 'eat' ]] =  $\lambda x$ .  $\lambda e$ . eat(e) & Patient(x)(e).

(29) [[ 
$$[V_{unP}$$
 'child' 'sleep' ] ]] =  $\lambda$ e. child-sleeping(e). [[  $[V_{agP}$  'soup' 'eat' ] ]] =  $\lambda$ e. soup-being-eaten(e).

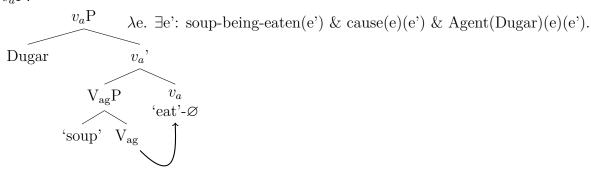
Step 2 Assume two extra morphemes:  $v_a$  and  $v_p$ . Both introduce a causation event and an ( $\exists$ -bound) Agent. The former may be decomposed into v and Voice, following the proposal in Pylkkänen (2002), further developed in e.g. Lyutikova et al. (2006) or Harley (2013). Here I am not taking up this assumption for simplicity. The lexical entries for  $v_a$  and  $v_p$ :

(30) a. 
$$[[\frac{v_a}{-\varnothing}]] = \lambda P_{vt}$$
.  $\lambda y$ .  $\lambda e$ .  $\exists e'$ :  $P(e')$  & cause(e)(e') & Agent(y)(e)(e').   
b.  $[[\frac{v_p}{-gda}]] = \lambda P_{vt}$ .  $\lambda e$ .  $\exists e'$ ,  $y$ :  $P(e')$  & cause(e)(e') & Agent(y)(e)(e').   
1.  $\mathbf{v}$  is the type for events; 2.  $\mathbf{cause}(e_1)(e_2)$ :  $e_2$  only occurs in all and only the worlds where  $e_1$  does; 3.  $\mathbf{Agent}(y)(e_1)(e_2)$  iff cause( $e_1$ )( $e_2$ ) and (a) Causer(y)( $e_1$ )( $e_2$ ) :=  $e_1$  causes  $e_2$  by  $y$ ; (b) Control(y)( $e_1$ )( $e_2$ ) :=  $y$  has the ability to prevent  $e_1$  from causing  $e_2$ .

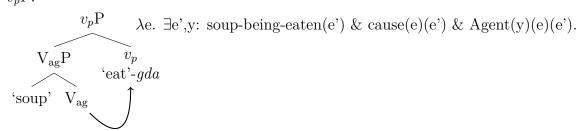
Nothing prevents  $v_a$  and  $v_p$  from combining with the VP of either of the classes of verbs. This successfully derives passivized A-only verbs, aka Standard Passives, and passivized P-only verbs, aka Causal Passives. Note that -gda in both cases has exactly the same meaning.

(31) A-only verbs:  $v_a P$  (transitive) and  $v_p P$  (standard passive).

a.  $v_a$ P:

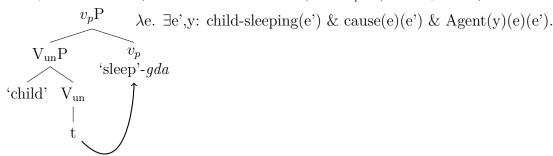


b.  $v_n$ P:



<sup>&</sup>lt;sup>6</sup>Based on Davidson (1967), Dowty (1979, 1991), Baker (1997), Kratzer (2007), Ramchand (2008).

(32) P-only verbs:  $V_{un}P$  (unaccusative, see above) and  $v_pP$  (causal passive).



The  $v_a$  given the A-only VP 'soup eat' returns a predicate of causation events by e.g. Dugar for each of which there is an soup-being-eaten event that they caused (31a). The  $v_p$  given the P-only VP 'soup eat' returns a predicate of causation events by someone for each of which there is a soup-being-eaten event that they caused (Standard Passive) (31b). The  $v_p$  given the P-only VP 'child sleep' returns a predicate of causation events by someone for each of which there is a child-sleeping event that they caused (Causal Passive) (32).

### 3.2 A combinatoric problem

Obviously, this cannot be the whole story. We inevitably run into a serious combinatoric problem. The same kind of problem, as the one noticed in Ramchand (2008:81). Namely, not all the combinations of [verb class]×[flavor of v] are acceptable, remember (13) and (25). So far we predict 6 possibilities ( $V_{ag}/V_{un}$  can be on its own, with  $v_a$  or with  $v_p$ ):

(33) Paradigmatic gaps:

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\begin{split} \mathbf{V}_{\mathrm{ag}} &= \textit{n'e: 'open', ferde 'paint', ed'e 'eat', befe 'write' etc.} \\ &\overset{*}{*}! \quad [\mathbf{V}_{\mathrm{ag}}\mathbf{P}\ ] \qquad \text{unaccusative A-only} \quad (13a) \\ &\overset{\mathrm{ok}}{} \quad [\mathbf{V}_{\mathrm{ag}}\mathbf{P} \quad v_{a}\ ] \quad \text{transitivized A-only} \quad (13b) \\ &\overset{\mathrm{ok}}{} \quad [\mathbf{V}_{\mathrm{ag}}\mathbf{P} \quad v_{p}\ ] \quad \text{passivized A-only} \quad (13c) \\ &V_{\mathrm{un}} &= \textit{gafal 'sour', unta 'sleep', jaba 'go' etc.} \\ &\overset{\mathrm{ok}}{} \quad [\mathbf{V}_{\mathrm{un}}\mathbf{P}\ ] \qquad \text{unaccusative P-only} \quad (25a) \\ &\overset{*}{*}! \quad [\mathbf{V}_{\mathrm{un}}\mathbf{P} \quad v_{a}\ ] \quad \text{transitivized P-only} \quad (25b) \\ &\overset{\mathrm{ok}}{} \quad [\mathbf{V}_{\mathrm{un}}\mathbf{P} \quad v_{p}\ ] \quad \text{passivized P-only} \quad (25c) \\ \end{split}
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If the above analysis is on the right track, there are two kinds of unacceptability:

- (34) a. **Obligatoriness** A certain class of verbs cannot surface without some flavor of v.
  - b. Incompatibility A certain v cannot take a certain class of verbs as its complement.

Between these two problems the obligatoriness case seems to be the more important and the more universal one. Tentatively expanding this analysis, it seems that in all languages there is a class of verbs that cannot surface without some or another v. However, whether a language can regularly transitivize or passivize all of its unaccusatives seems to depend on the language. In particular, a language may have or lack a productive passive (the one which forms both causal and standard passives). A language may also have or lack a productive lexical causative (transitivizer), see Miyagawa (1998) or Harley (1997).

#### 3.3 A solution for obligatoriness

**Step 1** Assume that P-only verbs are predicates of events; but A-only verbs are predicates of caused events. That is, any event of milk-souring or child-sleeping can be in the extension of  $ga \int al$  and unta, caused or not. Only caused events of door-opening or soup-eating can be in the extension of n's: and a's:

$$\begin{array}{ll} \text{(35)} & \text{a. P-only. } [[\ V_{un}\ ]] = \lambda x. \ \lambda e. \ V_{unacc}(e) \ \& \ Patient(x)(e). \\ & \text{b. A-only. } [[\ V_{ag}\ ]] = \lambda x. \ \lambda e. \ V_{ag}(e) \ \& \ Patient(x)(e). \\ & \forall e: \ V_{ag}(e) \rightarrow \exists \mathbf{e_0,y: \ cause(e_0)(e)} \ \& \ \mathbf{Agent(y)(e_0)(e)}. \end{array}$$

The result of this assumption is that the truth-conditions of  $V_{ag}P$  are the same as of [ $v_p$  [ $V_{ag}P$ ]]. Namely, the truth-conditions of a standard passive of an A-only verb ('soup was eaten') are the same as the truth-conditions of the base VP of an A-only verb ('soup ate').

Then the obligatoriness problem is restated as follows. Given that  $V_{ag}$  ('soup ate') and  $V_{ag}$ - $v_p$  ('soup was eaten') have the same truth-conditions, the speaker prefers the latter.

Why? Maybe, for the same reason as in the case of \*weight of our tent vs. **the** weight of our tent. Because the latter presupposes more. In other words,  ${}^*V_{ag}$  is a Maximize Presupposition effect. The principle of Maximize Presupposition was established in Heim (1991) and is used elsewhere in the grammar. For it to work here we need one more step.

**Step 2** Assume presuppositional semantics for v:

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(36) a. [[v_a]] = \lambda P_{vt}. \lambda y. \lambda e: \underline{\exists e': \underline{P(e')}. cause(e)(e') & Agent(y)(e)(e').

b. [[v_p]] = \lambda P_{vt}. \lambda e: \underline{\exists e': \underline{P(e')}. cause(e)(e') & \exists y: Agent(y)(e)(e').
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(37) Take VP to be ude n'e: 'door open'

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a. [[v_a(VP)(Dugar)]] = \lambda e: \underline{\exists e': door-opening(e'). cause(e)(e') & Agent(Dugar)(e)(e').
b. [[v_p(VP)]] = \lambda e: \underline{\exists e'}: door-opening(e'). cause(e)(e') & \exists y: Agent(y)(e)(e').
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The vP is a predicate of such causation events for each of which there is presupposed to be a caused VP-event.

If v is a presupposition trigger, it would have to be a soft one. It seems to behave in the same way as soft presuppositions, like in the case of possessive pronouns, see Abusch (2002).<sup>7</sup> The predicted presupposition (that the caused event happened) can, but does not have to project from under negation.

- **Step 3** The final step is the Maximize Presupposition principle (henceforth MP): "Präsupponiere in deinem Beitrag so viel wie möglich!" (Presuppose in your utterance as much as possible!), Heim (1991:515). In a more formal way it could be stated as follows:
  - (38) Maximize Presupposition P (based on Katzir (2007) and Schlenker (2012))
    - \* on sentence U in a context C, if there is a sentence S such that
      - a. U is a structural alternative to S;<sup>8</sup>
      - b. S is contextually equivalent to U (S  $\leftrightarrow_{\rm C}$  U);
      - c. the presuppositions of both S and U are met in C;
      - d. S carries stronger presuppositions than U.<sup>9</sup>

<sup>&</sup>lt;sup>7</sup>Soft triggers also cause Maximize Presupposition effects, see Heim (1991).

This principle accounts for the obligatory use of the definite article in cases like \*(the) weight of our tent and for the obligatory usage of the presuppositional trigger too in An n read this book. And Bill did so \*(too).

Assume that, if there is a v, V moves to it and forms a single lexical item V-v. In this case V and V- $v_p$  will be lexical alternatives, as defined in Katzir (2007). Hence  $V_{ag}P$  and  $[v_p \ V_{ag}P]$  will also be structural alternatives.

 $V_{ag}$  and  $V_{ag}$ - $v_p$  are structural alternatives.  $V_{ag}$  and  $V_{ag}$ - $v_p$  have the same truthconditions.  $V_{ag}$ - $v_p$  has stronger presuppositions:  $V_{ag}$  presupposes nothing, while  $V_{ag}$ - $v_p$ presupposes the caused event. Hence by MP  $^{\text{ok}}V_{\text{ag}}$ - $v_p$  and  $^*V_{\text{ag}}$ .

#### 3.4A solution for incompatibility

If the above analysis is correct, in Barguzin Buryat  $v_p$  is compatible with any VP, while  $v_a$  is only compatible with  $V_{ag}P$ . Other languages may have a productive transitivizer  $v_a$ , compatible with any verb (e.g. most Turkic languages). In addition their  $v_p$  may be more restricted: it would only take V<sub>ag</sub>P. This will result in a language without a causal passive. In the view of this typological prediction, I will stipulate that Buryat  $v_a$  has a selectional restriction: it only takes predicates of caused events.

#### 3.5 Summary

The paper proposes two lexical entries for basic classes of verbs and two functional heads:

(39) a. P-only. [[ 
$$V_{un}$$
 ]] =  $\lambda x$ .  $\lambda e$ .  $V_{un}(e)$  & Patient(x)(e).  
b. A-only. [[  $V_{ag}$  ]] =  $\lambda x$ .  $\lambda e$ .  $V_{ag}(e)$  & Patient(x)(e).  
 $\forall e$ :  $V_{ag}(e) \rightarrow \exists \mathbf{e_0}, \mathbf{y}$ :  $\mathbf{cause}(\mathbf{e_0})(e)$  &  $\mathbf{Agent}(\mathbf{y})(\mathbf{e_0})(e)$ .  
(40) a. [[  $v_a$  ]] =  $\lambda P_{vt}$ :  $\underline{\forall e_1: P(e_1) \rightarrow \exists e_0: cause(e_0)(e_1)}$ . (the selectional restriction)  $\lambda y$ .  $\lambda e$ :  $\exists e'$ :  $P(e')$ .  $cause(e)(e')$  &  $Agent(y)(e)(e')$ .

b. 
$$[[v_p]] = \lambda P_{vt}$$
.  $\lambda e: \underline{\exists e': P(e')}$ .  $cause(e)(e') \& \exists y: Agent(y)(e)(e')$ .

b. 
$$[[v_p]] = \lambda P_{\text{vt}}$$
.  $\lambda e: \underline{\exists e': P(e')}$ .  $cause(e)(e') \& \exists y: Agent(y)(e)(e')$ 

#### Conclusion: a typological prediction 4

What part of this picture could be parametrized cross-linguistically? There seem to be two potential points of variation. Firstly, the exact lexical members of the verb classes (e.g. Buryat n's: and Russian otrky is  $V_{ag}$ , but English open is  $V_{un}$ ). Secondly, whether  $v_a$  or  $v_p$  or both have a selectional restriction:

$$v_a$$
 or  $v_p$  or both have a selectional restriction:

(41) a.  $[[v_a]] = \lambda P_{vt}$ :  $\left( \underline{\forall e_1 : P(e_1) \rightarrow \exists e_0 : cause(e_0)(e_1)} \right)$ 
 $\lambda y. \lambda e: \underline{\exists e' : P(e')}. cause(e)(e') \& Agent(y)(e)(e').$ 

b.  $[[v_p]] = \lambda P_{vt}$ :  $\left( \underline{\forall e_1 : P(e_1) \rightarrow \exists e_0 : cause(e_0)(e_1)} \right)$ 
 $\lambda e: \underline{\exists e' : P(e')}. cause(e)(e') \& \exists y : Agent(y)(e)(e').$ 

If that is correct, every language with  $v_a$  and  $v_p$  will have two basic classes of verbs. (A) Verbs that cannot be used in an unaccusative clause (V<sub>ag</sub>). All of them will be able to be both transitive and passivized. (B) Verbs that can be used in an unaccusative clause

<sup>&</sup>lt;sup>8</sup>As defined in Katzir (2007).

<sup>&</sup>lt;sup>9</sup>As defined in Schlenker (2012).

 $(V_{un})$ . These are predicted to be homogeneous (modulo potential idiosyncratic cases). All of them will be able to be, depending on the language, (a) neither passivized nor transitive; or (b) passivized, but not transitivized; or (c) transitivized, but not passivized; or (d) both.

- (42) That is,  ${}^*V_{ag}$ ;  ${}^{ok}V_{ag}$ - $v_a$ ;  ${}^{ok}V_{ag}$ - $v_p$ . \*unaccusative,  ${}^{ok}$ transitive,  ${}^{ok}$ passivized And, as for  $V_{un}$ :
  - a.  $v_a$  and  $v_p$  have a selectional restriction;  ${}^{ok}V_{un}$ ;  ${}^*V_{un}$ - $v_a$ ;  ${}^*V_{un}$ - $v_p$ .  ${}^{ok}unaccusative$ ,  ${}^*transitive$ ,  ${}^*passivized$
  - b.  $v_p$  has a selectional restriction;  ${}^{ok}V_{un}; {}^{ok}V_{un}-v_a; {}^*V_{un}-v_p.$ okunaccusative, oktransitive, \*passivized
  - c.  $v_a$  has a selectional restriction;  ${}^{ok}V_{un}$ ;  ${}^*V_{un}$ - $v_a$ ;  ${}^{ok}V_{un}$ - $v_p$ .  ${}^{ok}unaccusative}$ ,  ${}^*transitive}$ ,  ${}^{ok}passivized$
  - d. neither v has a selectional restriction.  ${}^{ok}V_{un}; {}^{ok}V_{un}-v_a; {}^{ok}V_{un}-v_p.$   ${}^{ok}u_{n}=v_{n}$   ${}^{ok}v_{n}=v_{n}$   ${}^{o$

(42c) is Barguzin Buryat. (42d) may be Karachay-Balkar and certain dialects of Tatar (Turkic), building on Lyutikova et al. (2006) and Tatevosov et al. (2017). Karachay-Balkar and Tatar have a causative morpheme -tyr 'CAUS' which can form lexical causatives (transitives). They also have a passive morpheme l/n. Assume Karachay-Balkar and Tatar -tyr is a  $v_a$  and assume Karachay-Balkar and Tatar -l/-n is a  $v_p$ . Then, Karachay-Balkar and Tatar (at least its certain dialects, see Tatevosov et al. (2017)) exemplify (42d). (42a) may be Russian (assuming Russian s'a is  $v_p$  and  $v_a$  is null). Finally, if English be -en passive is  $v_p$ , English exemplifies (42b). Although there are reasons to believe that be -en is not  $v_p$ . In particular, all the passives discussed so far, regardless of the base verb, allow for agentive causation readings. It is not true for the English be -en passive: be seen/owned/liked/hated does not allow for agentive causation readings.

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