

Rational Phonology

A naysayer's guide to some phonological notions

Charles Reiss

Concordia University

December 15, 2023

GLOWing Lecture

[Video here](#)

Strategy and goals

- Present conventional wisdom on some point of phonology

Strategy and goals

- Present conventional wisdom on some point of phonology
- Offer an outlandish and idiosyncratic alternative

Strategy and goals

- Present conventional wisdom on some point of phonology
- Offer an outlandish and idiosyncratic alternative
 - ▶ “My argument will be brief, cavalier, and dogmatic.”

Strategy and goals

- Present conventional wisdom on some point of phonology
- Offer an outlandish and idiosyncratic alternative
 - ▶ “My argument will be brief, cavalier, and dogmatic.”
(Tolman, 1948, ‘Cognitive maps in rats and men’)

Strategy and goals

- Present conventional wisdom on some point of phonology
- Offer an outlandish and idiosyncratic alternative
 - ▶ “My argument will be brief, cavalier, and dogmatic.”
(Tolman, 1948, ‘Cognitive maps in rats and men’)
- Hope to foster discussion

Strategy and goals

- Present conventional wisdom on some point of phonology
- Offer an outlandish and idiosyncratic alternative
 - ▶ “My argument will be brief, cavalier, and dogmatic.”
(Tolman, 1948, ‘Cognitive maps in rats and men’)
- Hope to foster discussion
- *Rational Phonology* to suggest various themes

Strategy and goals

- Present conventional wisdom on some point of phonology
- Offer an outlandish and idiosyncratic alternative
 - ▶ “My argument will be brief, cavalier, and dogmatic.”
(Tolman, 1948, ‘Cognitive maps in rats and men’)
- Hope to foster discussion
- *Rational Phonology* to suggest various themes
 - ▶ Rationalism vs. empiricism

Strategy and goals

- Present conventional wisdom on some point of phonology
- Offer an outlandish and idiosyncratic alternative
 - ▶ “My argument will be brief, cavalier, and dogmatic.”
(Tolman, 1948, ‘Cognitive maps in rats and men’)
- Hope to foster discussion
- *Rational Phonology* to suggest various themes
 - ▶ Rationalism vs. empiricism
 - ▶ Focus on logic, not phonetic substance

Strategy and goals

- Present conventional wisdom on some point of phonology
- Offer an outlandish and idiosyncratic alternative
 - ▶ “My argument will be brief, cavalier, and dogmatic.”
(Tolman, 1948, ‘Cognitive maps in rats and men’)
- Hope to foster discussion
- *Rational Phonology* to suggest various themes
 - ▶ Rationalism vs. empiricism
 - ▶ Focus on logic, not phonetic substance
 - ★ “Concordia Substance Free Phonology”

Strategy and goals

- Present conventional wisdom on some point of phonology
- Offer an outlandish and idiosyncratic alternative
 - ▶ “My argument will be brief, cavalier, and dogmatic.”
(Tolman, 1948, ‘Cognitive maps in rats and men’)
- Hope to foster discussion
- *Rational Phonology* to suggest various themes
 - ▶ Rationalism vs. empiricism
 - ▶ Focus on logic, not phonetic substance
 - ★ “Concordia Substance Free Phonology”
 - ▶ Competing frameworks are incommensurable—stuck with me

Strategy and goals

- Present conventional wisdom on some point of phonology
- Offer an outlandish and idiosyncratic alternative
 - ▶ “My argument will be brief, cavalier, and dogmatic.”
(Tolman, 1948, ‘Cognitive maps in rats and men’)
- Hope to foster discussion
- *Rational Phonology* to suggest various themes
 - ▶ Rationalism vs. empiricism
 - ▶ Focus on logic, not phonetic substance
 - ★ “Concordia Substance Free Phonology”
 - ▶ Competing frameworks are incommensurable—stuck with me
- Show unity of linguistics—mostly by citing Chomsky

Outline

- 1 Phonetics and Phonology
- 2 UG can be small
 - Justifying features
 - Underspecification
 - Feature combinatorics
- 3 Ontologies vs epistemic toolkits
- 4 Assimilation and household pets
- 5 Abstracting from the welter
- 6 Satisfying long-distance relationships without tiers
- 7 It is more constrained to have no constraints than to have constraints
- 8 Poverty of the stimulus in phonology
- 9 Conclusions

Conventional wisdom

- Phonology depends on phonetics.

Conventional wisdom

- Phonology depends on phonetics.
- Segments and features can be observed in the signal.

Conventional wisdom

- Phonology depends on phonetics.
- Segments and features can be observed in the signal.
 - ⌚ I say ‘nay’ to this.

Two perspectives

Commonsense: phonetics comes before phonology

Two perspectives

Commonsense: phonetics comes before phonology

Henry Sweet, in Anderson (1974), *The Organization of Phonology*

*My own subject, **Phonetics**, is one which is useless by itself, while at the same time it **is the foundation** of all study of language, whether theoretical or practical.*

Two perspectives

Commonsense: phonetics comes before phonology

Henry Sweet, in Anderson (1974), *The Organization of Phonology*

*My own subject, **Phonetics**, is one which is useless by itself, while at the same time it **is the foundation** of all study of language, whether theoretical or practical.*

vs.

Two perspectives

Commonsense: phonetics comes before phonology

Henry Sweet, in Anderson (1974), *The Organization of Phonology*

*My own subject, **Phonetics**, is one which is useless by itself, while at the same time it **is the foundation** of all study of language, whether theoretical or practical.*

vs.

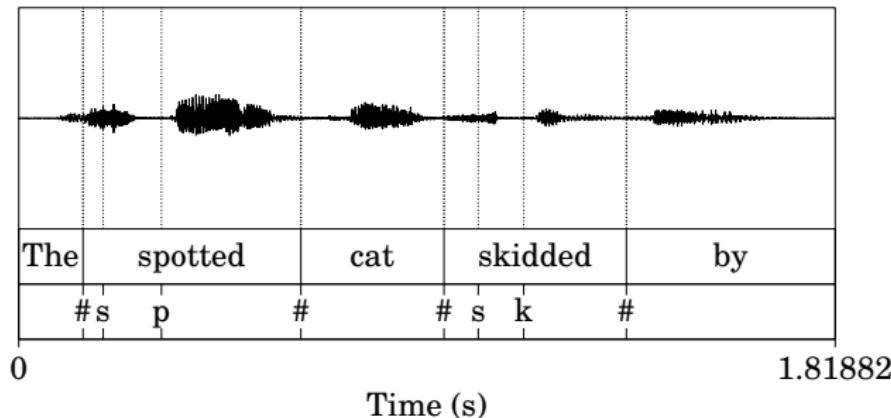
‘The Metaphysics of Coarticulation,’ Hammarberg 1976

phonology is logically and epistemologically prior to phonetics

Words and the Poverty of the Stimulus (PoS)

Howard Lasnik (2000:3)

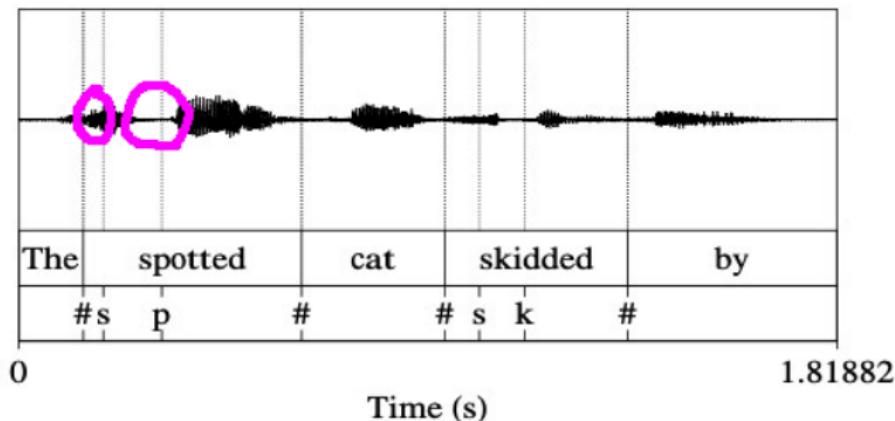
The big step is going from “noise” to “word”.



Words and the Poverty of the Stimulus (PoS)

Howard Lasnik (2000:3)

The big step is going from “noise” to “word”.



Howard is not being radical enough

Poverty of the stimulus is everywhere

- Phonological patterns
 - ▶ alternations, intonation, stress
- Syllables
- Segments
- Features
- Rules

Howard is not being radical enough

Poverty of the stimulus is everywhere

- Phonological patterns
 - ▶ alternations, intonation, stress
- Syllables
- Segments
- Features
- Rules

- PoS: The input underdetermines the acquired knowledge state w/o significant priors: UG exists.

Howard is not being radical enough

Poverty of the stimulus is everywhere

- Phonological patterns
 - ▶ alternations, intonation, stress
- Syllables
- Segments
- Features
- Rules

- PoS: The input underdetermines the acquired knowledge state w/o significant priors: UG exists.
- **Rationalism beats empiricism**

Abstractness is not just in language

Pylyshyn 1984

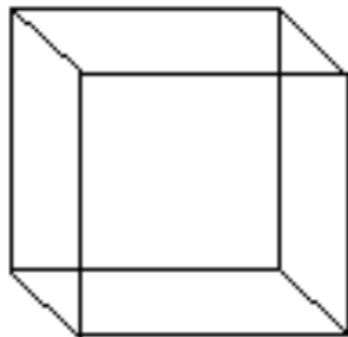
- Equivalence classes are not stimulus bound

Abstractness is not just in language

Pylyshyn 1984

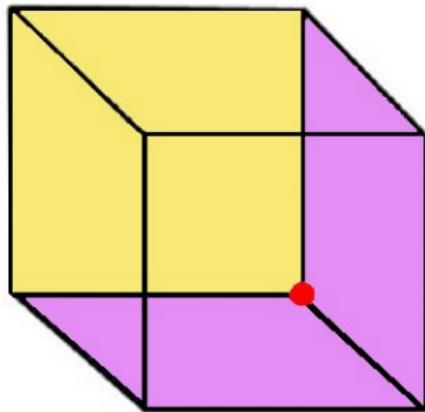
- Equivalence classes are not stimulus bound
- An infinite range of physical arrays lead to Necker Cube percept

Stimulus independence in vision—(and for language)



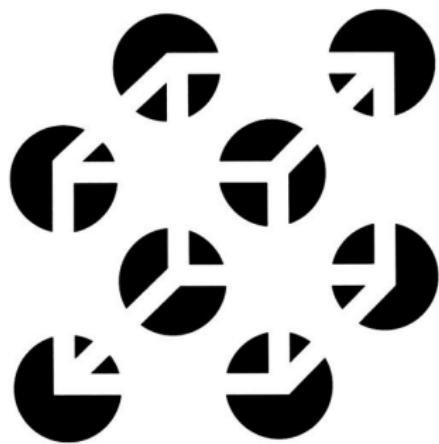
There are no necessary or sufficient **physical** conditions for the definition of a Necker cube ...

Stimulus independence in vision—(and for language)



There are no necessary or sufficient **physical** conditions for the definition of a Necker cube ...

Stimulus independence in vision—(and for language)



There are no necessary or sufficient **physical** conditions for the definition of a Necker cube ...**or a syllable or a /t/ or an /æ/ or an NP or a subject**

Rationalism and the segment

For linguists and humans (Hammarberg, 1976, p. 354)

- **Linguist:** the concept of the segment, which is indispensable to phonetics and phonology, is a creature of the paradigm, not of the raw data
- **Human:** [I]t should be perfectly obvious by now that segments do not exist outside the human mind.

What would a Hammarbergian Martian say?

- Compare my *keep* and my *coop*

What would a Hammarbergian Martian say?

- Compare my *keep* and my *coop*
 - ▶ fronter, lip-spread *k* vs. backer, lip-rounded *k*

What would a Hammarbergian Martian say?

- Compare my *keep* and my *coop*
 - ▶ fronter, lip-spread *k* vs. backer, lip-rounded *k*
- “He just did the same thing twice.”

What would a Hammarbergian Martian say?

- Compare my *keep* and my *coop*
 - ▶ fronter, lip-spread *k* vs. backer, lip-rounded *k*
- “He just did the same thing twice.”
- Nahhh.

What would a Hammarbergian Martian say?

- Compare my *keep* and my *coop*
 - ▶ fronter, lip-spread *k* vs. backer, lip-rounded *k*
- “He just did the same thing twice.”
- Nahhh.
- Can’t talk about ‘rounded [k]’ or ‘unrounded [k]’ w/o category [k]

Chomsky meets Scrooge¹: [ba], humbug!

¹ *A Christmas Carol* by Charles Dickens

Chomsky meets Scrooge¹: [ba], humbug!

Cognoscitive powers give us symbols inside language

- “No one is so deluded as to believe that there is a mind-independent object corresponding to the internal syllable [ba], some construction from motion of molecules perhaps, which is selected when I say [ba] and when you hear it” (Chomsky 2015, p.126)

¹ *A Christmas Carol* by Charles Dickens

Chomsky meets Scrooge¹: [ba], humbug!

Cognoscitive powers give us symbols inside language

- “No one is so deluded as to believe that there is a mind-independent object corresponding to the internal syllable [ba], some construction from motion of molecules perhaps, which is selected when I say [ba] and when you hear it” (Chomsky 2015, p.126)
- “it is idle to seek a mind-independent construct that corresponds to the syllable [ba]” (Chomsky 2009, p. 27)

¹ *A Christmas Carol* by Charles Dickens

Chomsky meets Scrooge¹: [ba], humbug!

Cognoscitive powers give us symbols inside language

- “No one is so deluded as to believe that there is a mind-independent object corresponding to the internal syllable [ba], some construction from motion of molecules perhaps, which is selected when I say [ba] and when you hear it” (Chomsky 2015, p.126)
- “it is idle to seek a mind-independent construct that corresponds to the syllable [ba]” (Chomsky 2009, p. 27)
- Of course, ...

¹ *A Christmas Carol* by Charles Dickens

Chomsky meets Scrooge¹: [ba], humbug!

Cognoscitive powers give us symbols inside language

- “No one is so deluded as to believe that there is a mind-independent object corresponding to the internal syllable [ba], some construction from motion of molecules perhaps, which is selected when I say [ba] and when you hear it” (Chomsky 2015, p.126)
- “it is idle to seek a mind-independent construct that corresponds to the syllable [ba]” (Chomsky 2009, p. 27)
- Of course, ...

¹ *A Christmas Carol* by Charles Dickens

Chomsky meets Scrooge¹: [ba], humbug!

Cognoscitive powers give us symbols inside language

- “No one is so deluded as to believe that there is a mind-independent object corresponding to the internal syllable [ba], some construction from motion of molecules perhaps, which is selected when I say [ba] and when you hear it” (Chomsky 2015, p.126)
- “it is idle to seek a mind-independent construct that corresponds to the syllable [ba]” (Chomsky 2009, p. 27)
- Of course, ...

and outside

- “No entity in human experience can be adequately defined as the mechanical sum or product of its physical properties.” Sapir (1933)

¹ *A Christmas Carol* by Charles Dickens

Martian scientists and features

What's the generalization?

- x occurs / i, u, a, % vs.

Martian scientists and features

What's the generalization?

- x occurs / __ i, u, a, % vs.
- y occurs / __ p,t,k,b,d,g,n,m,r,l,s,z,f,ʒ

Martian scientists and features

What's the generalization?

- x occurs / __ i, u, a, % vs.
- y occurs / __ p,t,k,b,d,g,n,m,r,l,s,z,f,ʒ
- Which occurs in more environments?

Martian scientists and features

What's the generalization?

- x occurs / __ i, u, a, % vs.
- y occurs / __ p,t,k,b,d,g,n,m,r,l,s,z,f,3
- Which occurs in more environments?
- 14 > 4

Martian scientists and features

What's the generalization?

- x occurs / __ i, u, a, % vs.
- y occurs / __ p,t,k,b,d,g,n,m,r,l,s,z,ʃ,ʒ
- Which occurs in more environments?
- $14 > 4$
- Which is the underlying form, x or y ?

Martian scientists and features

What's the generalization?

- x occurs / __ i, u, a, % vs.
- y occurs / __ p,t,k,b,d,g,n,m,r,l,s,z,ʃ,ʒ
- Which occurs in more environments?
- 14 > 4
- Which is the underlying form, x or y ?
 - ▶ y occurs in ONE environment; x in TWO: $/x/ \rightarrow [y]$ before a CONSONANT

Martian scientists and features

What's the generalization?

- x occurs / i, u, a, % vs.
- y occurs / p,t,k,b,d,g,n,m,r,l,s,z,ʃ,ʒ
- Which occurs in more environments?
- 14 > 4
- Which is the underlying form, x or y ?
 - ▶ y occurs in ONE environment; x in TWO: /x/ → [y] before a CONSONANT
 - ▶ y occurs before a CONSONANT and x occurs ELSEWHERE

Martian scientists and features

What's the generalization?

- x occurs / __ i, u, a, % vs.
- y occurs / __ p,t,k,b,d,g,n,m,r,l,s,z,ʃ,ʒ
- Which occurs in more environments?
- 14 > 4
- Which is the underlying form, x or y ?
 - ▶ y occurs in ONE environment; x in TWO: /x/ → [y] before a CONSONANT
 - ▶ y occurs before a CONSONANT and x occurs ELSEWHERE
 - ★ Not in the signal—think about spectrograms of /a, n, p, s, r, l, k, v/

Martian scientists and features

What's the generalization?

- x occurs / i, u, a, % vs.
- y occurs / p,t,k,b,d,g,n,m,r,l,s,z,ʃ,ʒ
- Which occurs in more environments?
- $14 > 4$
- Which is the underlying form, x or y ?
 - ▶ y occurs in ONE environment; x in TWO: $/x/ \rightarrow [y]$ before a CONSONANT
 - ▶ y occurs before a CONSONANT and x occurs ELSEWHERE
 - ★ Not in the signal—think about spectrograms of /a, n, p, s, r, l, k, v/
 - ★ Howard's "big leap" also applies from noise to feature

Linguists are not alone

- Heisenberg: “We cannot observe electron orbits inside the atom...Now, since a good theory must be based on directly observable magnitudes, I thought it more fitting to restrict myself to these, treating them, as it were, as representatives of the electron orbits.”

Linguists are not alone

- Heisenberg: “We cannot observe electron orbits inside the atom...Now, since a good theory must be based on directly observable magnitudes, I thought it more fitting to restrict myself to these, treating them, as it were, as representatives of the electron orbits.”
- “But you don’t seriously believe,” Einstein protested, “that none but observable magnitudes must go into a physical theory?”

Linguists are not alone

- Heisenberg: “We cannot observe electron orbits inside the atom...Now, since a good theory must be based on directly observable magnitudes, I thought it more fitting to restrict myself to these, treating them, as it were, as representatives of the electron orbits.”
- “But you don’t seriously believe,” Einstein protested, “that none but observable magnitudes must go into a physical theory?”
- “Isn’t that precisely what you have done with relativity?” I asked in some surprise...

Linguists are not alone

- Heisenberg: “We cannot observe electron orbits inside the atom...Now, since a good theory must be based on directly observable magnitudes, I thought it more fitting to restrict myself to these, treating them, as it were, as representatives of the electron orbits.”
- “But you don’t seriously believe,” Einstein protested, “that none but observable magnitudes must go into a physical theory?”
- “Isn’t that precisely what you have done with relativity?” I asked in some surprise...
- “Possibly I did use this kind of reasoning,” Einstein admitted, “but it is nonsense all the same....In reality the very opposite happens. It is the theory which decides what we can observe.”

Linguists are not alone

- Heisenberg: “We cannot observe electron orbits inside the atom...Now, since a good theory must be based on directly observable magnitudes, I thought it more fitting to restrict myself to these, treating them, as it were, as representatives of the electron orbits.”
- “But you don’t seriously believe,” Einstein protested, “that none but observable magnitudes must go into a physical theory?”
- “Isn’t that precisely what you have done with relativity?” I asked in some surprise...
- “Possibly I did use this kind of reasoning,” Einstein admitted, “but it is nonsense all the same....In reality the very opposite happens. **It is the theory which decides what we can observe.**”

Linguists are not alone

- Heisenberg: “We cannot observe electron orbits inside the atom...Now, since a good theory must be based on directly observable magnitudes, I thought it more fitting to restrict myself to these, treating them, as it were, as representatives of the electron orbits.”
- “But you don’t seriously believe,” Einstein protested, “that none but observable magnitudes must go into a physical theory?”
- “Isn’t that precisely what you have done with relativity?” I asked in some surprise...
- “Possibly I did use this kind of reasoning,” Einstein admitted, “but it is nonsense all the same....In reality the very opposite happens. **It is the theory which decides what we can observe.**”

and it's UG that decides what kids can learn

Rational phonology view

- Contrary to commonsense and curricula, you can't do phonetics without phonology.

Rational phonology view

- Contrary to commonsense and curricula, you can't do phonetics without phonology.
- UG-the-theory should be isomorphic to UG-the-object

Rational phonology view

- Contrary to commonsense and curricula, you can't do phonetics without phonology.
- UG-the-theory should be isomorphic to UG-the-object
- Phonetics is grounded in phonology!

Rational phonology view

- Contrary to commonsense and curricula, you can't do phonetics without phonology.
- UG-the-theory should be isomorphic to UG-the-object
- Phonetics is grounded in phonology!
- Words, segments, syllables, features are not 'out there'

Rational phonology view

- Contrary to commonsense and curricula, you can't do phonetics without phonology.
- UG-the-theory should be isomorphic to UG-the-object
- Phonetics is grounded in phonology!
- Words, segments, syllables, features are not ‘out there’
- You need (innate) phonological primes to acquire a language

Rational phonology view

- Contrary to commonsense and curricula, you can't do phonetics without phonology.
- UG-the-theory should be isomorphic to UG-the-object
- Phonetics is grounded in phonology!
- Words, segments, syllables, features are not ‘out there’
- You need (innate) phonological primes to acquire a language
 - ☺ To this I say ‘aye’.

Outline

- 1 Phonetics and Phonology
- 2 UG can be small
 - Justifying features
 - Underspecification
 - Feature combinatorics
- 3 Ontologies vs epistemic toolkits
- 4 Assimilation and household pets
- 5 Abstracting from the welter
- 6 Satisfying long-distance relationships without tiers
- 7 It is more constrained to have no constraints than to have constraints
- 8 Poverty of the stimulus in phonology
- 9 Conclusions

Conventional wisdom

- Phonological UG must contains a lot since there are lots of languages.

Conventional wisdom

- Phonological UG must contains a lot since there are lots of languages.
- Maybe lots of constraints or lots of features?

Conventional wisdom

- Phonological UG must contains a lot since there are lots of languages.
- Maybe lots of constraints or lots of features?
- Maybe an extra module/level of phonetic spell-out specific to each language?

Conventional wisdom

- Phonological UG must contains a lot since there are lots of languages.
- Maybe lots of constraints or lots of features?
- Maybe an extra module/level of phonetic spell-out specific to each language?
- Underspecification is abstract and complicated.

Conventional wisdom

- Phonological UG must contains a lot since there are lots of languages.
- Maybe lots of constraints or lots of features?
- Maybe an extra module/level of phonetic spell-out specific to each language?
- Underspecification is abstract and complicated.
 - ⌚ I say ‘nay’ to this.

Justifying features

(The?) 8 Turkish vowels

singular	meaning
ip	rope
öç	vengeance
gül	rose
ek	junction
kıl	body hair
sap	stalk
uç	edge
son	end

Features are symbols that get transduced

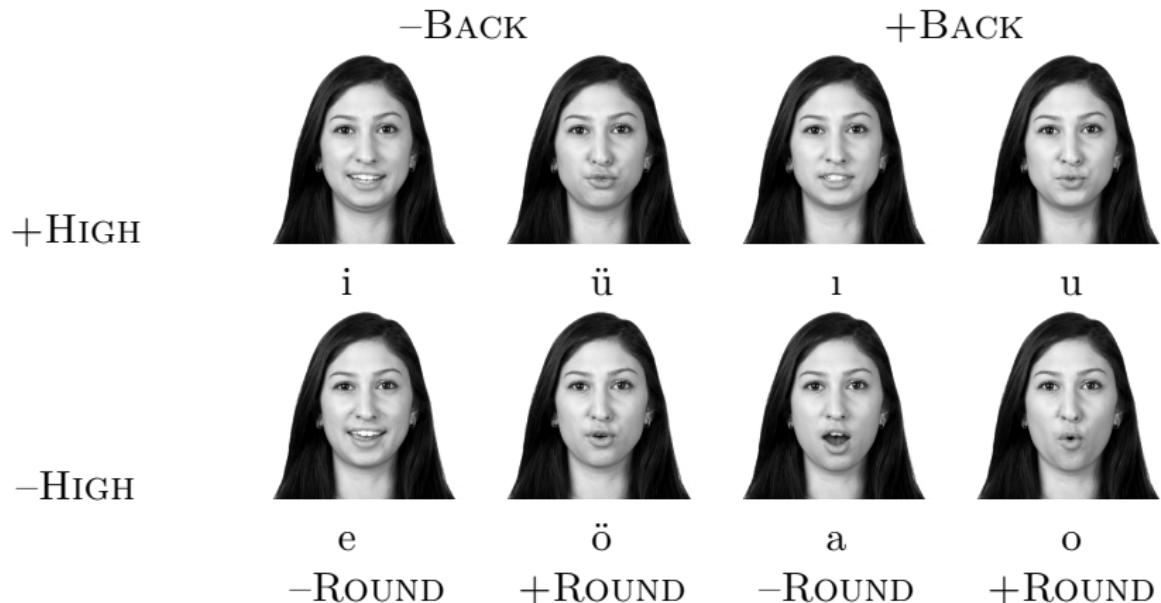


Figure: Ezgi pronouncing the eight Turkish surface vowels.

Turkish vowels page. Photos by Sabina Matyiku.

A segment IS a set of features (...)

- That's part of our explicit representational theory

A segment IS a set of features (...)

- That's part of our explicit representational theory
- Consistent—no incompatible values

A segment IS a set of features (...)

- That's part of our explicit representational theory
- Consistent—no incompatible values
 - ▶ +F and -F (there are other models)

A segment IS a set of features (...)

- That's part of our explicit representational theory
- Consistent—no incompatible values
 - ▶ +F and -F (there are other models)

$$/i/ = \left\{ \begin{array}{l} \text{-BACK} \\ \text{-ROUND} \\ \text{+HIGH} \\ \vdots \end{array} \right\} \quad /u/ = \left\{ \begin{array}{l} \text{+BACK} \\ \text{+ROUND} \\ \text{+HIGH} \\ \vdots \end{array} \right\}$$

Turkish singular / plural pairs

singular	plural	meaning
dev	devler	giant
kek	kekler	cake
cep	cepler	pocket
çek	çekler	check
ters	tersler	contrary
can	canlar	soul
tarz	tarzlar	type
kap	kaplar	recipient
saç	saçlar	hair
aşk	aşklar	love

Turkish singular / plural pairs

singular	plural	meaning
dev	devler	giant
kek	kekler	cake
cep	cepler	pocket
çek	çekler	check
ters	tersler	contrary
can	canlar	soul
tarz	tarzlar	type
kap	kaplar	recipient
saç	saçlar	hair
aşk	aşklar	love

- Vowel Harmony I: The vowel of the suffix, *-ler/-lar* is identical to the preceding vowel.

Turkish singular / plural pairs

singular	plural	meaning
dev	devler	giant
kek	kekler	cake
cep	cepler	pocket
çek	çekler	check
ters	tersler	contrary
can	canlar	soul
tarz	tarzlar	type
kap	kaplar	recipient
saç	saçlar	hair
aşk	aşklar	love

- Vowel Harmony I: The vowel of the suffix, *-ler/-lar* is identical to the preceding vowel.
- Discovery! Phonology can compute identity!

Turkish singular / plural pairs

singular	plural	meaning
dev	devler	giant
kek	kekler	cake
cep	cepler	pocket
çek	çekler	check
ters	tersler	contrary
can	canlar	soul
tarz	tarzlar	type
kap	kaplar	recipient
saç	saçlar	hair
aşk	aşklar	love

- Vowel Harmony I: The vowel of the suffix, *-ler/-lar* is identical to the preceding vowel.
- Discovery! Phonology can compute identity!
 - ~ “The vowel of the plural suffix is set to the value of the vowel of the preceding syllable”

More Turkish singular / plural pairs

singular	plural	meaning
ip	ipler	rope
öç	öçler	vengeance
gül	güller	rose
ek	ekler	junction
kıl	kıllar	body hair
sap	saplar	stalk
uç	uçlar	edge
son	sonlar	end

More Turkish singular / plural pairs

singular	plural	meaning
ip	ipler	rope
öç	öçler	vengeance
gül	güller	rose
ek	ekler	junction
kıl	kıllar	body hair
sap	saplar	stalk
uç	uçlar	edge
son	sonlar	end

Vowel Harmony II: The vowel of the suffix is identical to the preceding vowel w.r.t. the feature BACK.

- i, e, ü, ö are -BACK (IPA: i, e, y, œ)
- u, o, ı, a are +BACK (IPA: u, o,ɯ, a)

What have we learned?

- Discovery 1! Phonology can compute identity!

What have we learned?

- Discovery 1! Phonology can compute identity!
- Discovery 2! Segments are not the atoms of computation, valued features are.

What have we learned?

- Discovery 1! Phonology can compute identity!
- Discovery 2! Segments are not the atoms of computation, valued features are.
- The innate feature set determines what the patterns/equivalence classes are—not the acoustics and physiology.

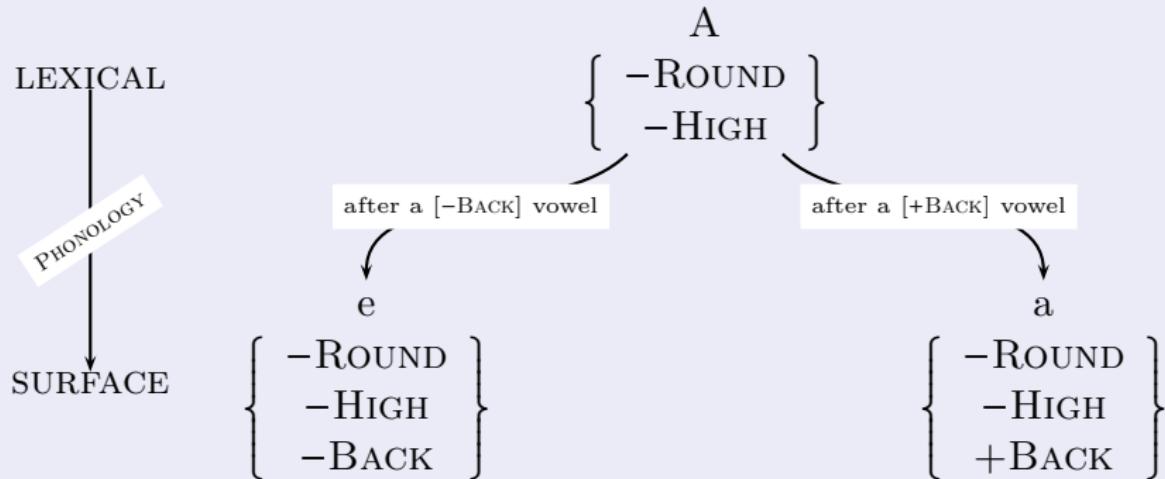
Underspecification: A 9th vowel for Turkish?

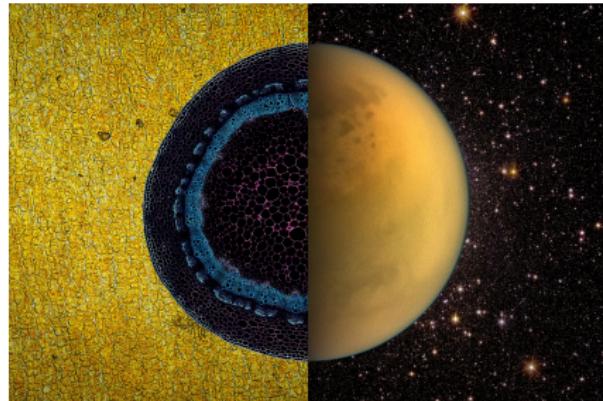
- *He went to the park.* FALLING INTONATION
- *He went to the park?* RISING INTONATION
 - ▶ What is stored? Something that is never heard!

Underspecification: A 9th vowel for Turkish?

- *He went to the park.* FALLING INTONATION
- *He went to the park?* RISING INTONATION
 - ▶ What is stored? Something that is never heard!

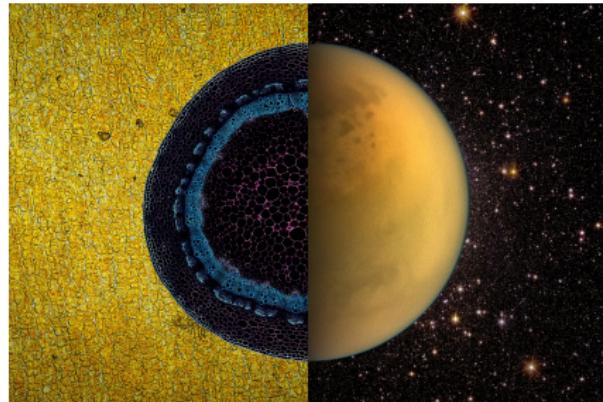
Kids NEVER hear [A], but they store that vowel!





Exactly How Much Life Is on Earth?

According to a recent calculation by a team of biologists and geologists, there are a more living cells on Earth — a million trillion trillion, or 10^{30} in math notation, a 1 followed by 30 zeros — than there are stars in the universe or grains of sand on our planet.



Exactly How Much Life Is on Earth?

According to a recent calculation by a team of biologists and geologists, there are a more living cells on Earth — a million trillion trillion, or 10^{30} in math notation, a 1 followed by 30 zeros — than there are stars in the universe or grains of sand on our planet.

These numbers are kids' stuff!

Working with features

Segments

- UG provides $\mathbf{F} = \{F_1, F_2, \dots, F_n\}$ and $\{+, -\}$

Working with features

Segments

- UG provides $\mathbf{F} = \{F_1, F_2, \dots, F_n\}$ and $\{+, -\}$
- **Segments are Sets of valued features** (at least: X-slots, etc.)

Working with features

Segments

- UG provides $\mathbf{F} = \{F_1, F_2, \dots, F_n\}$ and $\{+, -\}$
- Segments are Sets of valued features (at least: X-slots, etc.)
- Segments are Consistent—can't have $+F$ and $-F$ (for now)

Segments

- UG provides $\mathbf{F} = \{F_1, F_2, \dots, F_n\}$ and $\{+, -\}$
- Segments are Sets of valued features (at least: X-slots, etc.)
- Segments are Consistent—can't have $+F$ and $-F$ (for now)
- Not necessarily complete—underspecification allowed:

Segments

- UG provides $\mathbf{F} = \{F_1, F_2, \dots, F_n\}$ and $\{+, -\}$
- **Segments are Sets of valued features** (at least: X-slots, etc.)
- **Segments are Consistent**—can't have $+F$ and $-F$ (for now)
- Not necessarily **complete**—underspecification allowed:
 - ▶ $s_1 = \{+F_1, -F_2, +F_3\}$

Segments

- UG provides $\mathbf{F} = \{F_1, F_2, \dots, F_n\}$ and $\{+, -\}$
- **Segments are Sets of valued features** (at least: X-slots, etc.)
- **Segments are Consistent**—can't have $+F$ and $-F$ (for now)
- Not necessarily **complete**—underspecification allowed:
 - ▶ $s_1 = \{+F_1, -F_2, +F_3\}$
 - ▶ $s_2 = \{-F_2, -F_3\}$

Working with features

Segments

- UG provides $\mathbf{F} = \{F_1, F_2, \dots, F_n\}$ and $\{+, -\}$
- **Segments are Sets of valued features** (at least: X-slots, etc.)
- **Segments are Consistent**—can't have $+F$ and $-F$ (for now)
- Not necessarily **complete**—underspecification allowed:
 - ▶ $s_1 = \{+F_1, -F_2, +F_3\}$
 - ▶ $s_2 = \{-F_2, -F_3\}$
 - ▶ Turkish a,A,e and many other situations

Combinatorics of underspecification

If $n = 4$ there are $3^4 = 81$ possible segments

- $+F_1$ or $-F_1$ or F_1 is *absent*;

Combinatorics of underspecification

If $n = 4$ there are $3^4 = 81$ possible segments

- $+F_1$ or $-F_1$ or F_1 is *absent*;
 - ▶ same for F_2 ; etc.

Combinatorics of underspecification

If $n = 4$ there are $3^4 = 81$ possible segments

- $+F_1$ or $-F_1$ or F_1 is *absent*;
 - ▶ same for F_2 ; etc.
 - ▶ $3 \times 3 \times 3 \times 3 = 3^4$ possible segments

Combinatorics of underspecification

If $n = 4$ there are $3^4 = 81$ possible segments

- $+F_1$ or $-F_1$ or F_1 is *absent*;
 - ▶ same for F_2 ; etc.
 - ▶ $3 \times 3 \times 3 \times 3 = 3^4$ possible segments
 - ▶ e.g. $\{+F_1, -F_2, +F_3, -F_4\}$

Combinatorics of underspecification

If $n = 4$ there are $3^4 = 81$ possible segments

- $+F_1$ or $-F_1$ or F_1 is *absent*;
 - ▶ same for F_2 ; etc.
 - ▶ $3 \times 3 \times 3 \times 3 = 3^4$ possible segments
 - ▶ e.g. $\{+F_1, -F_2, +F_3, -F_4\}$
 - ▶ e.g. $\{+F_2, -F_3, \}$ (not specified for F_1 or F_4)

Combinatorics of underspecification

If $n = 4$ there are $3^4 = 81$ possible segments

- $+F_1$ or $-F_1$ or F_1 is *absent*;
 - ▶ same for F_2 ; etc.
 - ▶ $3 \times 3 \times 3 \times 3 = 3^4$ possible segments
 - ▶ e.g. $\{+F_1, -F_2, +F_3, -F_4\}$
 - ▶ e.g. $\{+F_2, -F_3, \}$ (not specified for F_1 or F_4)
 - ▶ ...

Combinatorics of underspecification

If $n = 4$ there are $3^4 = 81$ possible segments

- $+F_1$ or $-F_1$ or F_1 is *absent*;
 - ▶ same for F_2 ; etc.
 - ▶ $3 \times 3 \times 3 \times 3 = 3^4$ possible segments
 - ▶ e.g. $\{+F_1, -F_2, +F_3, -F_4\}$
 - ▶ e.g. $\{+F_2, -F_3, \}$ (not specified for F_1 or F_4)
 - ▶ ...
- $\rightsquigarrow 2^{3^4} = 2^{81} \sim 2.4 \times 10^{24}$ segment inventories (languages)

Combinatorics of underspecification

If $n = 4$ there are $3^4 = 81$ possible segments

- $+F_1$ or $-F_1$ or F_1 is *absent*;
 - ▶ same for F_2 ; etc.
 - ▶ $3 \times 3 \times 3 \times 3 = 3^4$ possible segments
 - ▶ e.g. $\{+F_1, -F_2, +F_3, -F_4\}$
 - ▶ e.g. $\{+F_2, -F_3, \}$ (not specified for F_1 or F_4)
 - ▶ ...
- $\approx 2^{3^4} = 2^{81} \sim 2.4 \times 10^{24}$ segment inventories (languages)

That's already a thousand times more than the number of grains of sand on earth

In praise of underspecification

If $n = 20$ there are $3^{20} =$ over a **billion** (10^9) possible segments

- $\rightsquigarrow 2^{3^{20}} =$ over $2^{1,000,000,000}$ segment inventories (languages)

In praise of underspecification

If $n = 20$ there are $3^{20} =$ over a **billion** (10^9) possible segments

- $\rightsquigarrow 2^{3^{20}} =$ over $2^{1,000,000,000}$ segment inventories (languages)
- Number of particles in universe is about 2^{285}

In praise of underspecification

If $n = 20$ there are $3^{20} =$ over a **billion** (10^9) possible segments

- $\rightsquigarrow 2^{3^{20}} =$ over $2^{1,000,000,000}$ segment inventories (languages)
- Number of particles in universe is about 2^{285}
- Underspecification gives us something for nothing

In praise of underspecification

If $n = 20$ there are $3^{20} =$ over a **billion** (10^9) possible segments

- $\rightsquigarrow 2^{3^{20}} =$ over $2^{1,000,000,000}$ segment inventories (languages)
- Number of particles in universe is about 2^{285}
- Underspecification gives us something for nothing
- Every combinatoric theory “overgenerates”

In praise of underspecification

If $n = 20$ there are $3^{20} =$ over a **billion** (10^9) possible segments

- $\rightsquigarrow 2^{3^{20}} =$ over $2^{1,000,000,000}$ segment inventories (languages)
- Number of particles in universe is about 2^{285}
- Underspecification gives us something for nothing
- Every combinatoric theory “overgenerates”
- Underspecification is elegant, like collapsing of MERGE and MOVE

The corollary—Small UG is plausible

‘Approaching UG from below’ (Chomsky, 2007)

the less attributed to genetic information (in our case, the topic of UG) for determining the development of an organism, the more feasible the study of its evolution

- We can get a lot of variety from a small UG via combinatorics

The corollary—Small UG is plausible

‘Approaching UG from below’ (Chomsky, 2007)

the less attributed to genetic information (in our case, the topic of UG) for determining the development of an organism, the more feasible the study of its evolution

- We can get a lot of variety from a small UG via combinatorics
- This also provides an argument against language specific phonetics:

The corollary—Small UG is plausible

‘Approaching UG from below’ (Chomsky, 2007)

the less attributed to genetic information (in our case, the topic of UG) for determining the development of an organism, the more feasible the study of its evolution

- We can get a lot of variety from a small UG via combinatorics
- This also provides an argument against language specific phonetics:
 - ▶ The ‘i’ of two languages can be featurally distinct—null hypothesis.

The corollary—Small UG is plausible

‘Approaching UG from below’ (Chomsky, 2007)

the less attributed to genetic information (in our case, the topic of UG) for determining the development of an organism, the more feasible the study of its evolution

- We can get a lot of variety from a small UG via combinatorics
- This also provides an argument against language specific phonetics:
 - ▶ The ‘i’ of two languages can be featurally distinct—null hypothesis.
 - ▶ A mere 6 vowel features yields $3^6 = 729$ vowels

The corollary—Small UG is plausible

‘Approaching UG from below’ (Chomsky, 2007)

the less attributed to genetic information (in our case, the topic of UG) for determining the development of an organism, the more feasible the study of its evolution

- We can get a lot of variety from a small UG via combinatorics
- This also provides an argument against language specific phonetics:
 - ▶ The ‘i’ of two languages can be featurally distinct—null hypothesis.
 - ▶ A mere 6 vowel features yields $3^6 = 729$ vowels
 - ▶ **The universal vowel triangle is crowded!**

A conceptual argument for underspecification

‘Approaching UG from below’, (Chomsky, 2007)

*It also follows that it was a mistake—mine in particular—to suppose that displacement is an “imperfection” of language that has to be assigned to UG or somehow explained in terms of its special functions. On the contrary, its absence would have to be accounted for by a **UG stipulation barring IM** [Internal Merge=Move-cr]. It therefore follows that some form of transformational grammar—by now a radically stripped-down version of early proposals—essentially “comes free.”*

- Progress may not require a new good idea—

A conceptual argument for underspecification

‘Approaching UG from below’, (Chomsky, 2007)

*It also follows that it was a mistake—mine in particular—to suppose that displacement is an “imperfection” of language that has to be assigned to UG or somehow explained in terms of its special functions. On the contrary, its absence would have to be accounted for by a **UG stipulation barring IM** [Internal Merge=Move-cr]. It therefore follows that some form of transformational grammar—by now a radically stripped-down version of early proposals—essentially “comes free.”*

- Progress may not require a new good idea—
 - ▶ but rather getting rid of an old bad idea

A conceptual argument for underspecification

‘Approaching UG from below’, (Chomsky, 2007)

*It also follows that it was a mistake—mine in particular—to suppose that displacement is an “imperfection” of language that has to be assigned to UG or somehow explained in terms of its special functions. On the contrary, its absence would have to be accounted for by a **UG stipulation barring IM** [Internal Merge=Move-cr]. It therefore follows that some form of transformational grammar—by now a radically stripped-down version of early proposals—essentially “comes free.”*

- Progress may not require a new good idea—
 - ▶ but rather getting rid of an old bad idea
- Underspecification comes for free—

A conceptual argument for underspecification

‘Approaching UG from below’, (Chomsky, 2007)

*It also follows that it was a mistake—mine in particular—to suppose that displacement is an “imperfection” of language that has to be assigned to UG or somehow explained in terms of its special functions. On the contrary, its absence would have to be accounted for by a **UG stipulation barring IM** [Internal Merge=Move-cr]. It therefore follows that some form of transformational grammar—by now a radically stripped-down version of early proposals—essentially “comes free.”*

- Progress may not require a new good idea—
 - ▶ but rather getting rid of an old bad idea
- Underspecification comes for free—
 - ▶ just don’t stipulate that segments need to be fully specified

Rational Phonology View

- Features are the real atoms of phonological computation

Rational Phonology View

- Features are the real atoms of phonological computation
- Underspecification is analytically useful ($D \neq d \neq t$)

Rational Phonology View

- Features are the real atoms of phonological computation
- Underspecification is analytically useful ($D \neq d \neq t$)
 - ▶ See especially Sharon Inkelas on exceptionality as prespecification
(Inkelas and Cho, 1993)

Rational Phonology View

- Features are the real atoms of phonological computation
- Underspecification is analytically useful ($D \neq d \neq t$)
 - ▶ See especially Sharon Inkelas on exceptionality as prespecification (Inkelas and Cho, 1993)
- Underspecification gives us good combinatoric explosion (Gallistel and King, 2009)

Rational Phonology View

- Features are the real atoms of phonological computation
- Underspecification is analytically useful ($D \neq d \neq t$)
 - ▶ See especially Sharon Inkelas on exceptionality as prespecification (Inkelas and Cho, 1993)
- Underspecification gives us good combinatoric explosion (Gallistel and King, 2009)
- Underspecification removes the stipulation of completeness

Rational Phonology View

- Features are the real atoms of phonological computation
- Underspecification is analytically useful ($D \neq d \neq t$)
 - ▶ See especially Sharon Inkelas on exceptionality as prespecification (Inkelas and Cho, 1993)
- Underspecification gives us good combinatoric explosion (Gallistel and King, 2009)
- Underspecification removes the stipulation of completeness
- It is plausible that transduction is universal (as in *SPE*)—no “language specific phonetics”

Rational Phonology View

- Features are the real atoms of phonological computation
- Underspecification is analytically useful ($D \neq d \neq t$)
 - ▶ See especially Sharon Inkelas on exceptionality as prespecification (Inkelas and Cho, 1993)
- Underspecification gives us good combinatoric explosion (Gallistel and King, 2009)
- Underspecification removes the stipulation of completeness
- It is plausible that transduction is universal (as in *SPE*)—no “language specific phonetics”
 - ☺ To this I say ‘aye’.

Outline

- 1 Phonetics and Phonology
- 2 UG can be small
 - Justifying features
 - Underspecification
 - Feature combinatorics
- 3 Ontologies vs epistemic toolkits
- 4 Assimilation and household pets
- 5 Abstracting from the welter
- 6 Satisfying long-distance relationships without tiers
- 7 It is more constrained to have no constraints than to have constraints
- 8 Poverty of the stimulus in phonology
- 9 Conclusions

Conventional wisdom

- Phonology is concerned with minimal pairs and contrast.

Conventional wisdom

- Phonology is concerned with minimal pairs and contrast.
 - ☺ I say ‘nay’ to this.

An important distinction

- The discipline of phonology (What I do.)

An important distinction

- The discipline of phonology (What I do.)
- Phonological grammars. (What my I-phonology is.)

Rational phonology view



Rational phonology view



[br?] vs. [bε?]

Rational phonology view



[br?] vs. [bε?]

- Phonology has minimal pairs like physics has cyclotrons.

Rational phonology view



[br?] vs. [bɛ?]

- Phonology has minimal pairs like physics has cyclotrons.
- Phonology (like syntax and semantics) generates lots of ambiguity/homophony

Rational phonology view



[br?] vs. [bε?]

- Phonology has minimal pairs like physics has cyclotrons.
- Phonology (like syntax and semantics) generates lots of ambiguity/homophony
- Distinguish sources of evidence from the object of inquiry.

Rational phonology view



[br?] vs. [bɛ?]

- Phonology has minimal pairs like physics has cyclotrons.
- Phonology (like syntax and semantics) generates lots of ambiguity/homophony
- Distinguish sources of evidence from the object of inquiry.
 - ☺ To this I say ‘aye’.

Outline

- 1 Phonetics and Phonology
- 2 UG can be small
 - Justifying features
 - Underspecification
 - Feature combinatorics
- 3 Ontologies vs epistemic toolkits
- 4 Assimilation and household pets
- 5 Abstracting from the welter
- 6 Satisfying long-distance relationships without tiers
- 7 It is more constrained to have no constraints than to have constraints
- 8 Poverty of the stimulus in phonology
- 9 Conclusions

Assimilation and household pets



Assimilation and household pets



Not this.

Conventional wisdom

- Assimilation is a real and important phenomenon.

Conventional wisdom

- Assimilation is a real and important phenomenon.
 - ▶ In fact, Turkish vowel harmony exemplifies this.

Conventional wisdom

- Assimilation is a real and important phenomenon.
 - ▶ In fact, Turkish vowel harmony exemplifies this.
- Common phenomena should be easy to account for.

Conventional wisdom

- Assimilation is a real and important phenomenon.
 - ▶ In fact, Turkish vowel harmony exemplifies this.
- Common phenomena should be easy to account for.
 - ⌚ I say ‘nay’ to this.

What's the goal?

Fetishization of assimilation (McCarthy, 1988, e.g.,)

[t]he goal of phonology is the construction of a theory in which cross-linguistically common and well-established processes emerge from very simple combinations of the descriptive parameters of the model.

What's the goal?

Fetishization of assimilation (McCarthy, 1988, e.g.,)

[t]he goal of phonology is the construction of a theory in which cross-linguistically common and well-established processes emerge from very simple combinations of the descriptive parameters of the model.

- Is the Big Bang less central than falling leaves?

What's the goal?

Fetishization of assimilation (McCarthy, 1988, e.g.,)

[t]he goal of phonology is the construction of a theory in which cross-linguistically common and well-established processes emerge from very simple combinations of the descriptive parameters of the model.

- Is the Big Bang less central than falling leaves?
- Should *do*-support be hard to model?

What's the goal?

Fetishization of assimilation (McCarthy, 1988, e.g.,)

[t]he goal of phonology is the construction of a theory in which cross-linguistically common and well-established processes emerge from very simple combinations of the descriptive parameters of the model.

- Is the Big Bang less central than falling leaves?
- Should *do*-support be hard to model?
- “common and well-established”?

What's the goal?

Fetishization of assimilation (McCarthy, 1988, e.g.,)

[t]he goal of phonology is the construction of a theory in which cross-linguistically common and well-established processes emerge from very simple combinations of the descriptive parameters of the model.

- Is the Big Bang less central than falling leaves?
- Should *do*-support be hard to model?
- “common and well-established”?

What's the goal?

Fetishization of assimilation (McCarthy, 1988, e.g.,)

[t]he goal of phonology is the construction of a theory in which cross-linguistically common and well-established processes emerge from very simple combinations of the descriptive parameters of the model.

- Is the Big Bang less central than falling leaves?
- Should *do*-support be hard to model?
- “common and well-established”?

Instead:

‘Language as a natural object’ (Chomsky, 2000a, 122)

...to abstract from the welter of descriptive complexity certain general principles governing computation that would allow the rules of a particular language to be given in very simple forms

Simple rule I

Copy/Assimilate/Harmony *apparently* is a thing

- $e \rightarrow \tilde{e} / _ n$
- Search and Copy:
 - ▶ “vowel looks at segment to immediate right, if it finds +NASAL it *copies* that feature”

Simple rule II

Search no copy

- $e \rightarrow i / \underline{\quad} n$
- Search but NOT Copy:
 - ▶ “vowel searches to immediate right, if it finds +NASAL the vowel becomes +HIGH”

Simple rule III

Search and change

- $e \rightarrow X / _ n$
- Search and Change:
 - ▶ “vowel searches to immediate right, if it finds +NASAL something happens to the vowel”

Assimilation is not a(n important) thing

environment ≠ change

What you look for (check for a following nasal)

Assimilation is not a(n important) thing

environment ≠ change

What you look for (check for a following nasal)

≠

Assimilation is not a(n important) thing

environment ≠ change

What you look for (check for a following nasal)

#

What happens (nasalize, raise, whatever)

Terrestrial mammals and household pets

- *elephants, rabbits, wolves,...* and *goldfish, turtles, dogs,...*

Terrestrial mammals and household pets

- *elephants, rabbits, wolves,...* and *goldfish, turtles, dogs,...*

Chomsky (2000b, 8): carve nature at its joints

*[P&P] rejected the concept of rule and grammatical construction entirely: there are no rules for forming **relative clauses** in Hindi, verb phrases in Swahili, **passives** in Japanese, and so on. The familiar grammatical constructions are taken to be taxonomic artifacts, useful for informal description perhaps but with no theoretical standing. They have something like the status of “terrestrial mammal” or “household pet”.*

Terrestrial mammals and household pets

- *elephants, rabbits, wolves,...* and *goldfish, turtles, dogs,...*

Chomsky (2000b, 8): carve nature at its joints

*[P&P] rejected the concept of rule and grammatical construction entirely: there are no rules for forming **relative clauses** in Hindi, verb phrases in Swahili, **passives** in Japanese, and so on. The familiar grammatical constructions are taken to be taxonomic artifacts, useful for informal description perhaps but with no theoretical standing. They have something like the status of “terrestrial mammal” or “household pet”.*

- ‘assimilation’, ‘vowel harmony’, ‘opaqueness’ and ‘adjacency’ in phonology parallel

Terrestrial mammals and household pets

- *elephants, rabbits, wolves,...* and *goldfish, turtles, dogs,...*

Chomsky (2000b, 8): carve nature at its joints

*[P&P] rejected the concept of rule and grammatical construction entirely: there are no rules for forming **relative clauses** in Hindi, verb phrases in Swahili, **passives** in Japanese, and so on. The familiar grammatical constructions are taken to be taxonomic artifacts, useful for informal description perhaps but with no theoretical standing. They have something like the status of “terrestrial mammal” or “household pet”.*

- ‘assimilation’, ‘vowel harmony’, ‘opaqueness’ and ‘adjacency’ in phonology parallel
- ‘grammatical constructions’ like ‘passive’ or ‘relative clause’ in syntax

Terrestrial mammals and household pets

- *elephants, rabbits, wolves,...* and *goldfish, turtles, dogs,...*

Chomsky (2000b, 8): carve nature at its joints

*[P&P] rejected the concept of rule and grammatical construction entirely: there are no rules for forming **relative clauses** in Hindi, verb phrases in Swahili, **passives** in Japanese, and so on. The familiar grammatical constructions are taken to be taxonomic artifacts, useful for informal description perhaps but with no theoretical standing. They have something like the status of “terrestrial mammal” or “household pet”.*

- ‘assimilation’, ‘vowel harmony’, ‘opaqueness’ and ‘adjacency’ in phonology parallel
- ‘grammatical constructions’ like ‘passive’ or ‘relative clause’ in syntax
- and our job is to see beyond these “taxonomic artifacts”

Rational phonology view

- Assimilation doesn't exist except to describe the situation where what is sought (the definition of the environment) and what changes happen to be (partially) the same.

Rational phonology view

- Assimilation doesn't exist except to describe the situation where **what is sought** (the definition of the environment) and **what changes** happen to be (partially) the same.
- (α -notation is a separate matter)

Rational phonology view

- Assimilation doesn't exist except to describe the situation where **what is sought** (the definition of the environment) and **what changes** happen to be (partially) the same.
- (α -notation is a separate matter)
- Assimilation is not a category of phonological grammars.

Rational phonology view

- Assimilation doesn't exist except to describe the situation where **what is sought** (the definition of the environment) and **what changes** happen to be (partially) the same.
- (α -notation is a separate matter)
- Assimilation is not a category of phonological grammars.
- Assimilation happens a lot because of the nature of language change.

Rational phonology view

- Assimilation doesn't exist except to describe the situation where **what is sought** (the definition of the environment) and **what changes** happen to be (partially) the same.
- (α -notation is a separate matter)
- Assimilation is not a category of phonological grammars.
- Assimilation happens a lot because of the nature of language change.
- It should not be especially easy/hard to model assimilation/*do-support*

Rational phonology view

- Assimilation doesn't exist except to describe the situation where **what is sought** (the definition of the environment) and **what changes** happen to be (partially) the same.
- (α -notation is a separate matter)
- Assimilation is not a category of phonological grammars.
- Assimilation happens a lot because of the nature of language change.
- It should not be especially easy/hard to model assimilation/*do-support*
 - ☺ To this I say 'aye'.

Outline

- 1 Phonetics and Phonology
- 2 UG can be small
 - Justifying features
 - Underspecification
 - Feature combinatorics
- 3 Ontologies vs epistemic toolkits
- 4 Assimilation and household pets
- 5 Abstracting from the welter
- 6 Satisfying long-distance relationships without tiers
- 7 It is more constrained to have no constraints than to have constraints
- 8 Poverty of the stimulus in phonology
- 9 Conclusions

Conventional wisdom

- Assimilation requires different tools from other processes

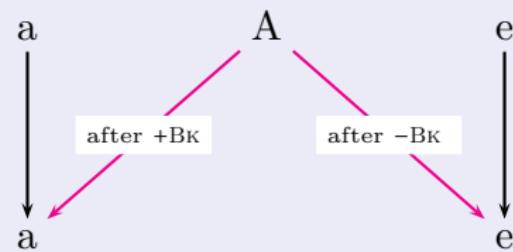
Conventional wisdom

- Assimilation requires different tools from other processes
 - ⌚ I say ‘nay’ to this.

Segment mapping diagrams (SMDs)

Underlying Representation: UR

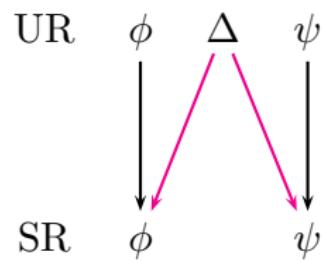
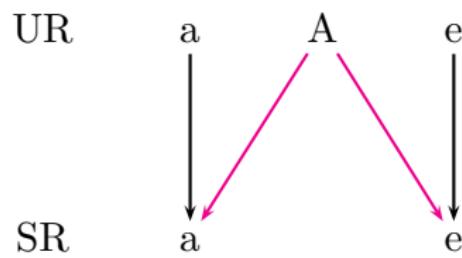
Surface Representation: SR



- These SMDs are part of our **epistemic toolkit**—they are not objects in the theory.

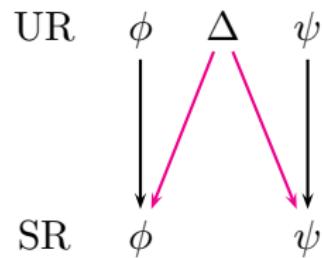
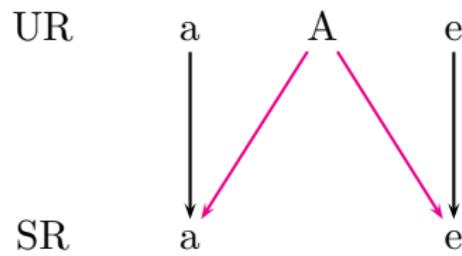
Schematic form of a/A/e pattern)

- $\phi \neq \psi \neq \Delta$



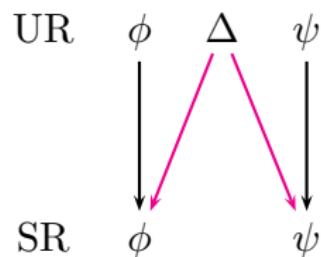
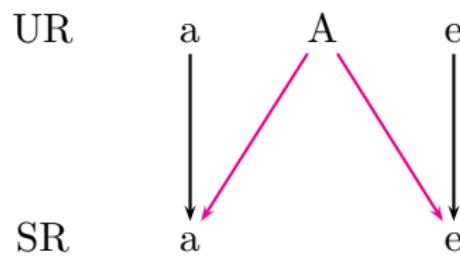
Schematic form of a/A/e pattern)

- $\phi \neq \psi \neq \Delta$
- $\phi - \{\alpha F\} = \Delta$



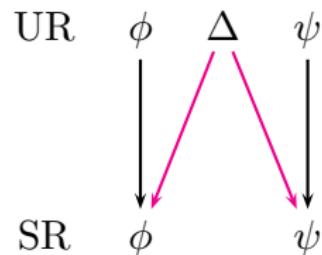
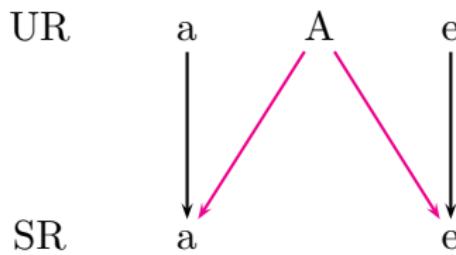
Schematic form of a/A/e pattern)

- $\phi \neq \psi \neq \Delta$
- $\phi - \{\alpha F\} = \Delta$
- $\psi - \{-\alpha F\} = \Delta$



Schematic form of a/A/e pattern)

- $\phi \neq \psi \neq \Delta$
- $\phi - \{\alpha F\} = \Delta$
- $\psi - \{-\alpha F\} = \Delta$
- $\phi \cap \psi = \Delta$



Fairly complete model of segmental changes (FCMSC)

Deconstructing →: Two basic operations

a. **unify**

add s.t.



b. **subtract**

delete s.t.



- **Unification**-based rules *add* a feature to a segment/set
- Set **subtraction**-based rules *delete* a feature from a segment/set

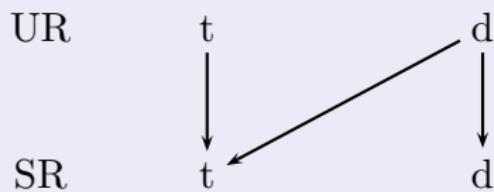
The goal of linguistic theory

‘Language as a natural object’ (Chomsky, 2000a, 122)

...to abstract from the welter of descriptive complexity certain general principles governing computation that would allow the rules of a particular language to be given in very simple forms

'Normal' neutralization

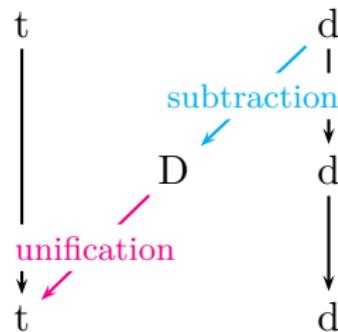
Final devoicing of d → t in Russian



- /d/ is +VOICED coronal stop
- /t/ is -VOICED coronal stop

Two-step SMD for final devoicing of d in Russian

An old trick (J. Harris, B. Poser, P. Siptár) of 2-step feature changing:
 $/d/ \rightarrow D \rightarrow [t]$



- /d/ is +VOICED coronal stop
- /t/ is -VOICED coronal stop
- /D/ is a coronal stop unspecified for VOICE

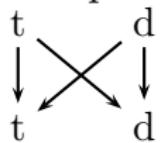
Reciprocal neutralization in Hungarian

Both nouns show up with *t* and *d*

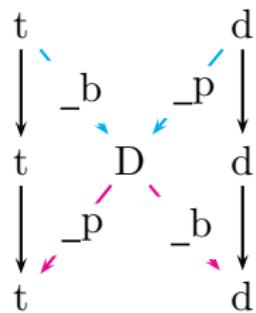
Noun	In N	From N	To N		
ku: t	ku: d ban	ku: t to:l	ku: t nak	'well'	/ku: t /
ka: d	ka: d ban	ka: t to:l	ka: d nak	'tub'	/ka: d /

(Simp.) Hungarian Reciprocal Neutralization

Reciprocal neutralization SMD:



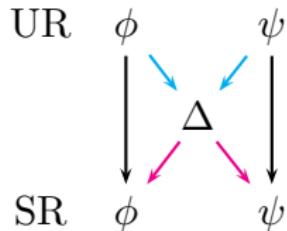
Revised reciprocal neutralization SMD



Subtraction: $[\text{ } -\text{SON} \text{ }] - \{\alpha \text{VOIC}\} / \boxed{[\begin{array}{c} -\text{SON} \\ -\alpha \text{VOIC} \end{array}]}$

Unification: $[\text{ } -\text{SON} \text{ }] \sqcup \{\alpha \text{VOIC}\} / \boxed{[\begin{array}{c} -\text{SON} \\ \alpha \text{VOIC} \end{array}]}$

Combinatorics strike again



Phenomena get more complex, yet model remains simple.

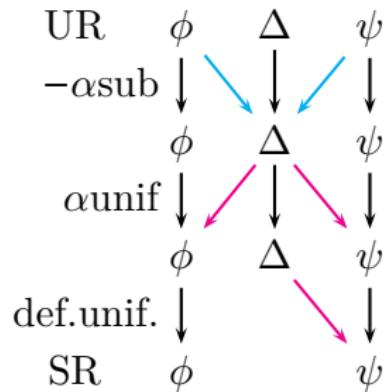
- *As concepts and principles become simpler, argument and inference tend to become more complex—a consequence that is naturally very much to be welcomed.* [Chomsky 1982, p.3]

Hungarian with ‘exceptional’ *v*

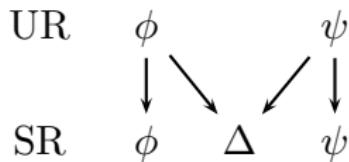
- *v* is a target of devoicing: *óvtam* /vt/ ↗ [ft]
- *v* does **not** trigger voicing: *pitvar* /tv/ ↗ [dv]

Hungarian with ‘exceptional’ v

- v is a target of devoicing: \'ovtam /vt/ \rightsquigarrow [ft]
- v does **not** trigger voicing: pitvar /tv/ $\not\rightsquigarrow$ [dv]



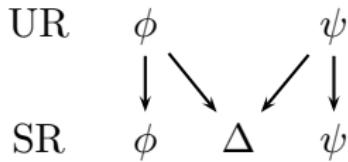
Derived surface underspecification



Does this exist?

- Benz and Volenec (2023) point out that this expresses “debuccalization”, the loss of place of articulation contrasts in a given environment

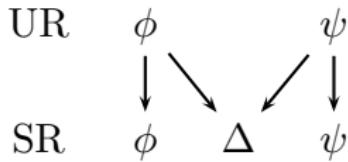
Derived surface underspecification



Does this exist?

- Benz and Volenec (2023) point out that this expresses “debuccalization”, the loss of place of articulation contrasts in a given environment
- In Arbore, glottalized consonants like [k', d'] all become glottal stop [?] in coda (Hayward 1984; McCarthy 2008)

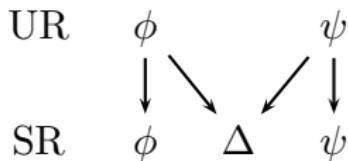
Derived surface underspecification



Does this exist?

- Benz and Volenec (2023) point out that this expresses “debuccalization”, the loss of place of articulation contrasts in a given environment
- In Arbore, glottalized consonants like [k', d'] all become glottal stop [?] in coda (Hayward 1984; McCarthy 2008)
- Underspecification not only can persist to the SR from UR (Keating, 1988), it can even be derived

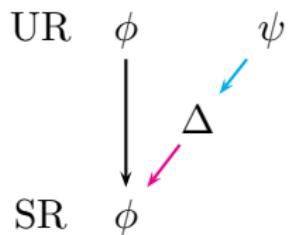
Derived surface underspecification



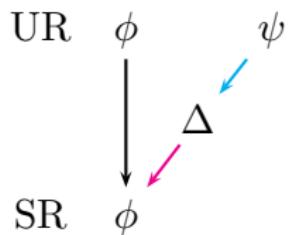
Does this exist?

- Benz and Volenec (2023) point out that this expresses “debuccalization”, the loss of place of articulation contrasts in a given environment
- In Arbore, glottalized consonants like [k', d'] all become glottal stop [?] in coda (Hayward 1984; McCarthy 2008)
- Underspecification not only can persist to the SR from UR (Keating, 1988), it can even be derived
- No stipulation that segments be complete at SR

What could this mean?

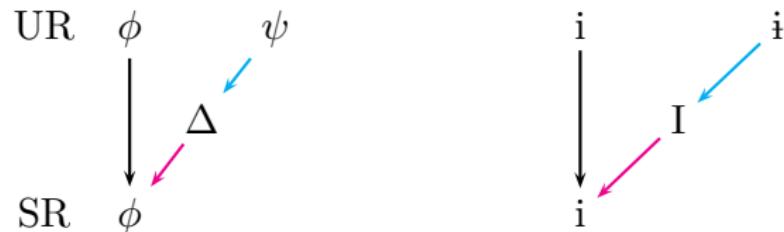


What could this mean?

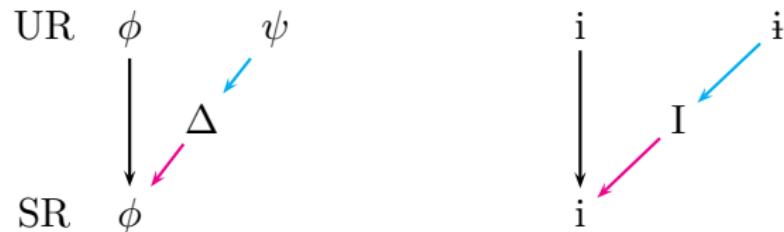


What justifies positing $|\psi|$?

Feature-changing absolute neutralization of ϕ and ψ with Hungarian parallel

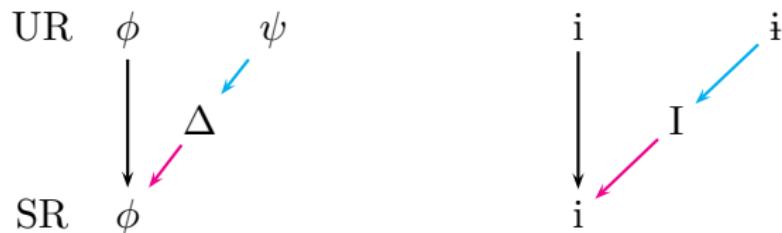


Feature-changing absolute neutralization of ϕ and ψ with Hungarian parallel



- /vi:z/: *víz, víznek* (front harmony)
- /hí:d/: *híd, hídnak* (back harmony)

Feature-changing absolute neutralization of ϕ and ψ with Hungarian parallel

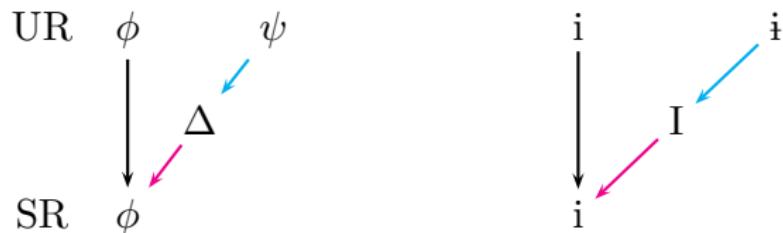


- /vi:z/: *víz, víznek* (front harmony)
- /hí:d/: *híd, hídnak* (back harmony)

Rules

- Vowel Harmony triggered by /i/ vs. /ī/ (similar to Turkish)

Feature-changing absolute neutralization of ϕ and ψ with Hungarian parallel

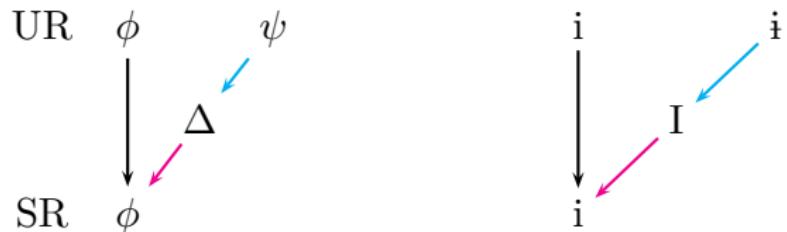


- /vi:z/: *víz, víznek* (front harmony)
- /hí:d/: *híd, hídnak* (back harmony)

Rules

- Vowel Harmony triggered by /i/ vs. /ī/ (similar to Turkish)
- Subtraction rule for /i/-to-*I* (ψ -to- Δ)

Feature-changing absolute neutralization of ϕ and ψ with Hungarian parallel

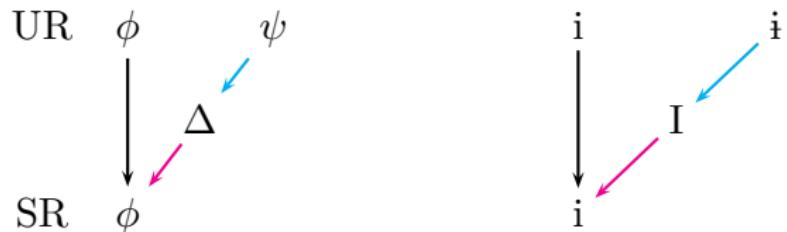


- /vi:z/: *víz, víznek* (front harmony)
- /hi:d/: *híd, hídnak* (back harmony)

Rules

- Vowel Harmony triggered by /i/ vs. / \dot{i} / (similar to Turkish)
- Subtraction rule for / \dot{i} /-to-*I* (ψ -to- Δ)
- Unification rule for *I*-to-[i] (Δ -to- ϕ)

Feature-changing absolute neutralization of ϕ and ψ with Hungarian parallel

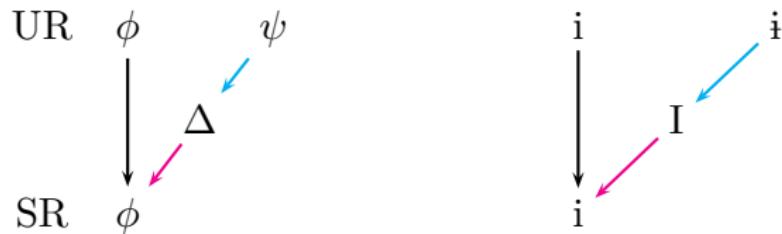


- /vi:z/: *víz, víznek* (front harmony)
- /hi:d/: *híd, hídnak* (back harmony)

Rules

- Vowel Harmony triggered by /i/ vs. /ī/ (similar to Turkish)
- Subtraction rule for /i/-to-*I* (ψ -to- Δ)
- Unification rule for *I*-to-[i] (Δ -to- ϕ)

Feature-changing absolute neutralization of ϕ and ψ with Hungarian parallel



- /vi:z/: *víz, víznek* (front harmony)
- /hi:d/: *híd, hídnak* (back harmony)

Rules

- Vowel Harmony triggered by /i/ vs. /ī/ (similar to Turkish)
- Subtraction rule for /i/-to-*I* (ψ -to- Δ)
- Unification rule for *I*-to-[i] (Δ -to- ϕ)

Duh! The essence of an element is not to be inferred only from its appearance, but also from its effect on other elements.

Have we gone too far?

- Derived surface underspecification

Have we gone too far?

- Derived surface underspecification
- Absolute neutralization

Have we gone too far?

- Derived surface underspecification
- Absolute neutralization
- Reciprocal neutralization

Have we gone too far?

- Derived surface underspecification
- Absolute neutralization
- Reciprocal neutralization
- etc. all from the same simple model of unification and subtraction

Don't fit the 'data'—let the theory guide you to the data

- Again: "As concepts and principles become simpler, argument and inference tend to become more complex".

Don't fit the 'data'—let the theory guide you to the data

- Again: "As concepts and principles become simpler, argument and inference tend to become more complex".
- More radically, excluding "complex" mappings like absolute neutralization make theories 'useless'

Don't fit the 'data'—let the theory guide you to the data

- Again: “As concepts and principles become simpler, argument and inference tend to become more complex”.
- More radically, excluding “complex” mappings like absolute neutralization make theories ‘useless’

Don't fit the 'data'—let the theory guide you to the data

- Again: "As concepts and principles become simpler, argument and inference tend to become more complex".
- More radically, excluding "complex" mappings like absolute neutralization make theories 'useless'

Syntactic Structures (Chomsky, 1957, 23-24)

[A grammar that limits sentence length or the number of possible sentences] will be so complex that it will be of little use or interest. In general, the assumption that languages are infinite is made in order to simplify the description of these languages.

Don't fit the 'data'—let the theory guide you to the data

- Again: "As concepts and principles become simpler, argument and inference tend to become more complex".
- More radically, excluding "complex" mappings like absolute neutralization make theories 'useless'

Syntactic Structures (Chomsky, 1957, 23-24)

[A grammar that limits sentence length or the number of possible sentences] will be so complex that it will be of little use or interest. In general, the assumption that languages are infinite is made in order to simplify the description of these languages.

- The "complex" stuff may turn out to be attested—the theory tells us what to look for

Don't fit the 'data'—let the theory guide you to the data

- Again: “As concepts and principles become simpler, argument and inference tend to become more complex”.
- More radically, excluding “complex” mappings like absolute neutralization make theories ‘useless’

Syntactic Structures (Chomsky, 1957, 23-24)

[A grammar that limits sentence length or the number of possible sentences] will be so complex that it will be of little use or interest. In general, the assumption that languages are infinite is made in order to simplify the description of these languages.

- The “complex” stuff may turn out to be attested—the theory tells us what to look for
- Imagine a world without plastics!

Rational Phonology View

- “abstract from the welter”

Rational Phonology View

- “abstract from the welter”
- make useful grammars

Rational Phonology View

- “abstract from the welter”
- make useful grammars
 - ☺ To this I say ‘aye’.

Outline

- 1 Phonetics and Phonology
- 2 UG can be small
 - Justifying features
 - Underspecification
 - Feature combinatorics
- 3 Ontologies vs epistemic toolkits
- 4 Assimilation and household pets
- 5 Abstracting from the welter
- 6 Satisfying long-distance relationships without tiers
- 7 It is more constrained to have no constraints than to have constraints
- 8 Poverty of the stimulus in phonology
- 9 Conclusions

Conventional wisdom

- Phonological processes are fundamentally/essentially local

Conventional wisdom

- Phonological processes are fundamentally/essentially local
- Either stringwise or by reference to tiers (as explained by L.K.)

Conventional wisdom

- Phonological processes are fundamentally/essentially local
- Either stringwise or by reference to tiers (as explained by L.K.)
 - ⌚ I say ‘nay’ to this.

Empirical base: Comparative Pseudo-Bantu

Lang1: (Kind of) Purely local/adjacent nasal assimilation (e.g. Lamba)

- /pam-il-a/ → [pamina]

Empirical base: Comparative Pseudo-Bantu

Lang1: (Kind of) Purely local/adjacent nasal assimilation (e.g. Lamba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatila]

Empirical base: Comparative Pseudo-Bantu

Lang1: (Kind of) Purely local/adjacent nasal assimilation (e.g. Lamba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatila]

Empirical base: Comparative Pseudo-Bantu

Lang1: (Kind of) Purely local/adjacent nasal assimilation (e.g. Lamba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatila]
 - ▶ Is it that m is too far from l?

Empirical base: Comparative Pseudo-Bantu

Lang1: (Kind of) Purely local/adjacent nasal assimilation (e.g. Lamba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatila]
 - ▶ Is it that m is too far from l?
 - ▶ Or is it that *s,t* are opaque?

Empirical base: Comparative Pseudo-Bantu

Lang1: (Kind of) Purely local/adjacent nasal assimilation (e.g. Lamba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatila]
 - ▶ Is it that m is too far from l?
 - ▶ Or is it that *s,t* are opaque?

Empirical base: Comparative Pseudo-Bantu

Lang1: (Kind of) Purely local/adjacent nasal assimilation (e.g. Lamba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatila]
 - ▶ Is it that m is too far from l?
 - ▶ Or is it that *s,t* are opaque?

Lang2: Local and long-distance nasal assimilation (e.g. Tshiluba)

- /pam-il-a/ → [pamina]

Empirical base: Comparative Pseudo-Bantu

Lang1: (Kind of) Purely local/adjacent nasal assimilation (e.g. Lamba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatila]
 - ▶ Is it that m is too far from l?
 - ▶ Or is it that *s,t* are opaque?

Lang2: Local and long-distance nasal assimilation (e.g. Tshiluba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatina]

Empirical base: Comparative Pseudo-Bantu

Lang1: (Kind of) Purely local/adjacent nasal assimilation (e.g. Lamba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatila]
 - ▶ Is it that m is too far from l?
 - ▶ Or is it that *s,t* are opaque?

Lang2: Local and long-distance nasal assimilation (e.g. Tshiluba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatina]

Empirical base: Comparative Pseudo-Bantu

Lang1: (Kind of) Purely local/adjacent nasal assimilation (e.g. Lamba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatila]
 - ▶ Is it that m is too far from l?
 - ▶ Or is it that *s,t* are opaque?

Lang2: Local and long-distance nasal assimilation (e.g. Tshiluba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatina] *s,t* are transparent

Empirical base: Comparative Pseudo-Bantu

Lang1: (Kind of) Purely local/adjacent nasal assimilation (e.g. Lamba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatila]
 - ▶ Is it that m is too far from l?
 - ▶ Or is it that *s,t* are opaque?

Lang2: Local and long-distance nasal assimilation (e.g. Tshiluba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatina] *s,t* are transparent

Lang3: Segment adjacency required

- l → n / m _____

Empirical base: Comparative Pseudo-Bantu

Lang1: (Kind of) Purely local/adjacent nasal assimilation (e.g. Lamba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatila]
 - ▶ Is it that m is too far from l?
 - ▶ Or is it that *s,t* are opaque?

Lang2: Local and long-distance nasal assimilation (e.g. Tshiluba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatina] *s,t* are transparent

Lang3: Segment adjacency required

- l → n / m _____

Empirical base: Comparative Pseudo-Bantu

Lang1: (Kind of) Purely local/adjacent nasal assimilation (e.g. Lamba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatila]
 - ▶ Is it that m is too far from l?
 - ▶ Or is it that s,t are opaque?

Lang2: Local and long-distance nasal assimilation (e.g. Tshiluba)

- /pam-il-a/ → [pamina]
- /masat-il-a/ → [masatina] s,t are transparent

Lang3: Segment adjacency required

- l → n / m _____
no transparent consonants *or vowels*, they're all opaque

Informal analogy

Standing in line

1. Scan ahead of you in line until you find a man. If that man is wearing a hat, take it.

- These instructions can clearly lead to different outcomes.
- It's all about SCOPE of conditions—what is specified where

Informal analogy

Standing in line

1. Scan ahead of you in line until you find a man. If that man is wearing a hat, take it.
 2. Scan ahead of you in line until you find a man with a hat. Take the hat.
- These instructions can clearly lead to different outcomes.
 - It's all about SCOPE of conditions—what is specified where

Informal analogy

Standing in line

1. Scan ahead of you in line until you find a man. If that man is wearing a hat, take it.
2. Scan ahead of you in line until you find a man with a hat. Take the hat.
3. Look at the person in front of you and if they are wearing a hat, take it

- These instructions can clearly lead to different outcomes.
- It's all about SCOPE of conditions—what is specified where

Informal analogy

Standing in line

1. Scan ahead of you in line until you find a man. If that man is wearing a hat, take it.
 2. Scan ahead of you in line until you find a man with a hat. Take the hat.
 3. Look at the person in front of you and if they are wearing a hat, take it
 4. (Scan ahead of you in line until you find a person with a hat. Take the hat.)
- These instructions can clearly lead to different outcomes.
 - It's all about SCOPE of conditions—what is specified where

SEARCH is unbounded by default

- Local vs. long-distance is just SCOPE of conditions

SEARCH is unbounded by default

- Local vs. long-distance is just SCOPE of conditions
- Adjacency is just the case of the *minimally* restrictive TERMINATOR:

SEARCH is unbounded by default

- Local vs. long-distance is just SCOPE of conditions
- Adjacency is just the case of the *minimally* restrictive TERMINATOR:
 - ▶ Find a segment

SEARCH is unbounded by default

- Local vs. long-distance is just SCOPE of conditions
- Adjacency is just the case of the *minimally* restrictive TERMINATOR:
 - ▶ Find a segment
 - ▶ Find a person

SEARCH is unbounded by default

- Local vs. long-distance is just SCOPE of conditions
- Adjacency is just the case of the *minimally* restrictive TERMINATOR:
 - ▶ Find a segment
 - ▶ Find a person
- The more specific the TERMINATOR is, the further the SEARCH can go

SEARCH is unbounded by default

- Local vs. long-distance is just SCOPE of conditions
- Adjacency is just the case of the *minimally* restrictive TERMINATOR:
 - ▶ Find a segment
 - ▶ Find a person
- The more specific the TERMINATOR is, the further the SEARCH can go
 - ▶ Find a +CONSONANTAL, +NASAL segment

SEARCH is unbounded by default

- Local vs. long-distance is just SCOPE of conditions
- Adjacency is just the case of the *minimally* restrictive TERMINATOR:
 - ▶ Find a segment
 - ▶ Find a person
- The more specific the TERMINATOR is, the further the SEARCH can go
 - ▶ Find a +CONSONANTAL, +NASAL segment
 - ▶ Find a person who is a man and has a hat

Rational phonology view

- Phonological rules encode independent specifications of

Rational phonology view

- Phonological rules encode independent specifications of
 - ▶ What initiates SEARCH

Rational phonology view

- Phonological rules encode independent specifications of
 - ▶ What initiates SEARCH
 - ▶ Direction of SEARCH

Rational phonology view

- Phonological rules encode independent specifications of
 - ▶ What initiates SEARCH
 - ▶ Direction of SEARCH
 - ▶ What terminates SEARCH

Rational phonology view

- Phonological rules encode independent specifications of
 - ▶ What initiates SEARCH
 - ▶ Direction of SEARCH
 - ▶ What terminates SEARCH
 - ▶ Whether a terminator triggers a CHANGE

Rational phonology view

- Phonological rules encode independent specifications of
 - ▶ What initiates SEARCH
 - ▶ Direction of SEARCH
 - ▶ What terminates SEARCH
 - ▶ Whether a terminator triggers a CHANGE
 - ▶ What the CHANGE is

Rational phonology view

- Phonological rules encode independent specifications of
 - ▶ What initiates SEARCH
 - ▶ Direction of SEARCH
 - ▶ What terminates SEARCH
 - ▶ Whether a terminator triggers a CHANGE
 - ▶ What the CHANGE is
- Combinatorics give us a welter of surface patterns

Rational phonology view

- Phonological rules encode independent specifications of
 - ▶ What initiates SEARCH
 - ▶ Direction of SEARCH
 - ▶ What terminates SEARCH
 - ▶ Whether a terminator triggers a CHANGE
 - ▶ What the CHANGE is
- Combinatorics give us a welter of surface patterns
- This simple system unifies opaque/transparent segments, local/long-distance effect, apparent iterative application

Rational phonology view

- Phonological rules encode independent specifications of
 - ▶ What initiates SEARCH
 - ▶ Direction of SEARCH
 - ▶ What terminates SEARCH
 - ▶ Whether a terminator triggers a CHANGE
 - ▶ What the CHANGE is
- Combinatorics give us a welter of surface patterns
- This simple system unifies opaque/transparent segments, local/long-distance effect, apparent iterative application
- Locality falls out from the fact that segments are ordered

Rational phonology view

- Phonological rules encode independent specifications of
 - ▶ What initiates SEARCH
 - ▶ Direction of SEARCH
 - ▶ What terminates SEARCH
 - ▶ Whether a terminator triggers a CHANGE
 - ▶ What the CHANGE is
- Combinatorics give us a welter of surface patterns
- This simple system unifies opaque/transparent segments, local/long-distance effect, apparent iterative application
- Locality falls out from the fact that segments are ordered
- Some similarities to work such as Deal (2015)

Rational phonology view

- Phonological rules encode independent specifications of
 - ▶ What initiates SEARCH
 - ▶ Direction of SEARCH
 - ▶ What terminates SEARCH
 - ▶ Whether a terminator triggers a CHANGE
 - ▶ What the CHANGE is
- Combinatorics give us a welter of surface patterns
- This simple system unifies opaque/transparent segments, local/long-distance effect, apparent iterative application
- Locality falls out from the fact that segments are ordered
- Some similarities to work such as Deal (2015)
 - ☺ To this I say ‘aye’.

Outline

- 1 Phonetics and Phonology
- 2 UG can be small
 - Justifying features
 - Underspecification
 - Feature combinatorics
- 3 Ontologies vs epistemic toolkits
- 4 Assimilation and household pets
- 5 Abstracting from the welter
- 6 Satisfying long-distance relationships without tiers
- 7 **It is more constrained to have no constraints than to have constraints**
- 8 Poverty of the stimulus in phonology
- 9 Conclusions

Conventional wisdom

The phonology repairs ill-formed/marked/pathological/complex representations

- “[f]inal vowel deletion cannot create bad syllables in surface forms, and epenthesis exists to eliminate” the bad syllables that arise (McCarthy 2011, p. 2 discussing rule conspiracies)

Conventional wisdom

The phonology repairs ill-formed/marked/pathological/complex representations

- “[f]inal vowel deletion cannot create **bad syllables** in surface forms, and epenthesis exists to eliminate” the bad syllables that arise (McCarthy 2011, p. 2 discussing rule conspiracies)
- phonological computation has to “cure” a “condition” (Yip, 1988)

Conventional wisdom

The phonology repairs ill-formed/marked/pathological/complex representations

- “[f]inal vowel deletion cannot create **bad syllables** in surface forms, and epenthesis exists to eliminate” the bad syllables that arise (McCarthy 2011, p. 2 discussing rule conspiracies)
- phonological computation has to “cure” a “condition” (Yip, 1988)
- Phonology contains “principles of well-formedness (the ‘laws) that drive it” (Prince and Smolensky, 1993, p. 216), taking input representations and making them somehow better, more harmonic or optimal.

Conventional wisdom

The phonology repairs ill-formed/marked/pathological/complex representations

- “[f]inal vowel deletion cannot create **bad syllables** in surface forms, and epenthesis exists to eliminate” the bad syllables that arise (McCarthy 2011, p. 2 discussing rule conspiracies)
- phonological computation has to “cure” a “condition” (Yip, 1988)
- Phonology contains “principles of well-formedness (the ‘laws) that drive it” (Prince and Smolensky, 1993, p. 216), taking input representations and making them somehow better, more harmonic or optimal.
- “repair strategies” (Paradis, 1988)

Conventional wisdom

The phonology repairs ill-formed/marked/pathological/complex representations

- “[f]inal vowel deletion cannot create **bad syllables** in surface forms, and epenthesis exists to eliminate” the bad syllables that arise (McCarthy 2011, p. 2 discussing rule conspiracies)
- phonological computation has to “cure” a “condition” (Yip, 1988)
- Phonology contains “principles of well-formedness (the ‘laws) that drive it” (Prince and Smolensky, 1993, p. 216), taking input representations and making them somehow better, more harmonic or optimal.
- “repair strategies” (Paradis, 1988)
- “output drivenness” (Tesar, 2014)

Conventional wisdom

The phonology repairs ill-formed/marked/pathological/complex representations

- “[f]inal vowel deletion cannot create **bad syllables** in surface forms, and epenthesis exists to eliminate” the bad syllables that arise (McCarthy 2011, p. 2 discussing rule conspiracies)
- phonological computation has to “cure” a “condition” (Yip, 1988)
- Phonology contains “principles of well-formedness (the ‘laws) that drive it” (Prince and Smolensky, 1993, p. 216), taking input representations and making them somehow better, more harmonic or optimal.
- “repair strategies” (Paradis, 1988)
- “output drivenness” (Tesar, 2014)

Conventional wisdom

The phonology repairs ill-formed/marked/pathological/complex representations

- “[f]inal vowel deletion cannot create **bad syllables** in surface forms, and epenthesis exists to eliminate” the bad syllables that arise (McCarthy 2011, p. 2 discussing rule conspiracies)
- phonological computation has to “cure” a “condition” (Yip, 1988)
- Phonology contains “principles of well-formedness (the ‘laws) that drive it” (Prince and Smolensky, 1993, p. 216), taking input representations and making them somehow better, more harmonic or optimal.
- “repair strategies” (Paradis, 1988)
- “output drivenness” (Tesar, 2014)
 - ▶ I say ‘nay’ to this.

In a nutshell

It's all teleological, driven by purpose

- epenthesis exists to eliminate bad syllables

In a nutshell

It's all teleological, driven by purpose

- epenthesis exists to eliminate bad syllables
- Maintain Contrast constraints exist to avoid homophony

In a nutshell

It's all teleological, driven by purpose

- epenthesis exists to eliminate bad syllables
- Maintain Contrast constraints exist to avoid homophony
- the OCP exists to cure a condition

In a nutshell

It's all teleological, driven by purpose

- epenthesis exists to eliminate bad syllables
- Maintain Contrast constraints exist to avoid homophony
- the OCP exists to cure a condition
- etc.

In a nutshell

It's all teleological, driven by purpose

- epenthesis exists to eliminate bad syllables
- Maintain Contrast constraints exist to avoid homophony
- the OCP exists to cure a condition
- etc.

In a nutshell

It's all teleological, driven by purpose

- epenthesis exists to eliminate bad syllables
 - Maintain Contrast constraints exist to avoid homophony
 - the OCP exists to cure a condition
 - etc.
-
- But grammars do not have goals, purposes, aims, motivations.

In a nutshell

It's all teleological, driven by purpose

- epenthesis exists to eliminate bad syllables
 - Maintain Contrast constraints exist to avoid homophony
 - the OCP exists to cure a condition
 - etc.
-
- But grammars do not have goals, purposes, aims, motivations.
 - The claims are no better than Aristotle's view that a lantern shines **so that** we don't stumble.

In a nutshell

It's all teleological, driven by purpose

- epenthesis exists to eliminate bad syllables
 - Maintain Contrast constraints exist to avoid homophony
 - the OCP exists to cure a condition
 - etc.
-
- But grammars do not have goals, purposes, aims, motivations.
 - The claims are no better than Aristotle's view that a lantern shines **so that** we don't stumble.
 - **Lanterns/grammars don't care about stumbling/ambiguity**

In a nutshell

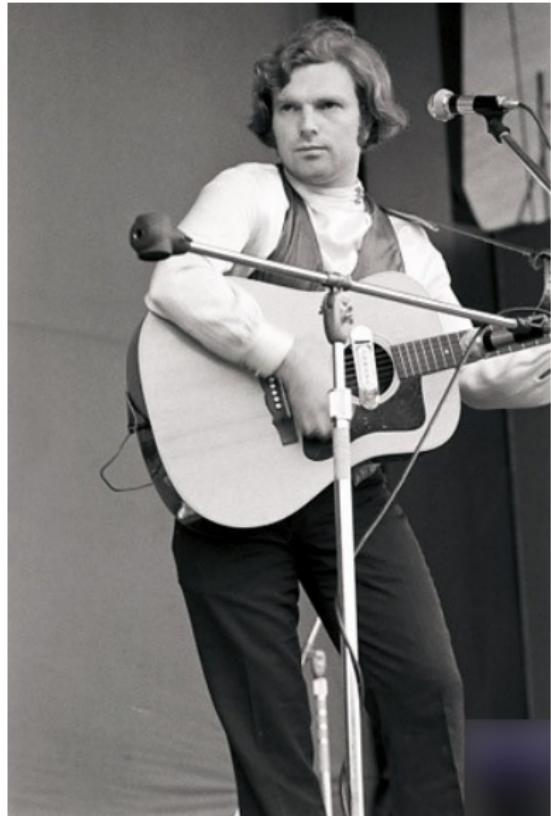
It's all teleological, driven by purpose

- epenthesis exists to eliminate bad syllables
 - Maintain Contrast constraints exist to avoid homophony
 - the OCP exists to cure a condition
 - etc.
-
- But grammars do not have goals, purposes, aims, motivations.
 - The claims are no better than Aristotle's view that a lantern shines **so that** we don't stumble.
 - Lanterns/grammars don't care about stumbling/ambiguity
 - ▶ ...or anything else

Languages have no purpose—just like life

*It ain't **why**, **why**, **why**. It just is.*

— Van Morrison



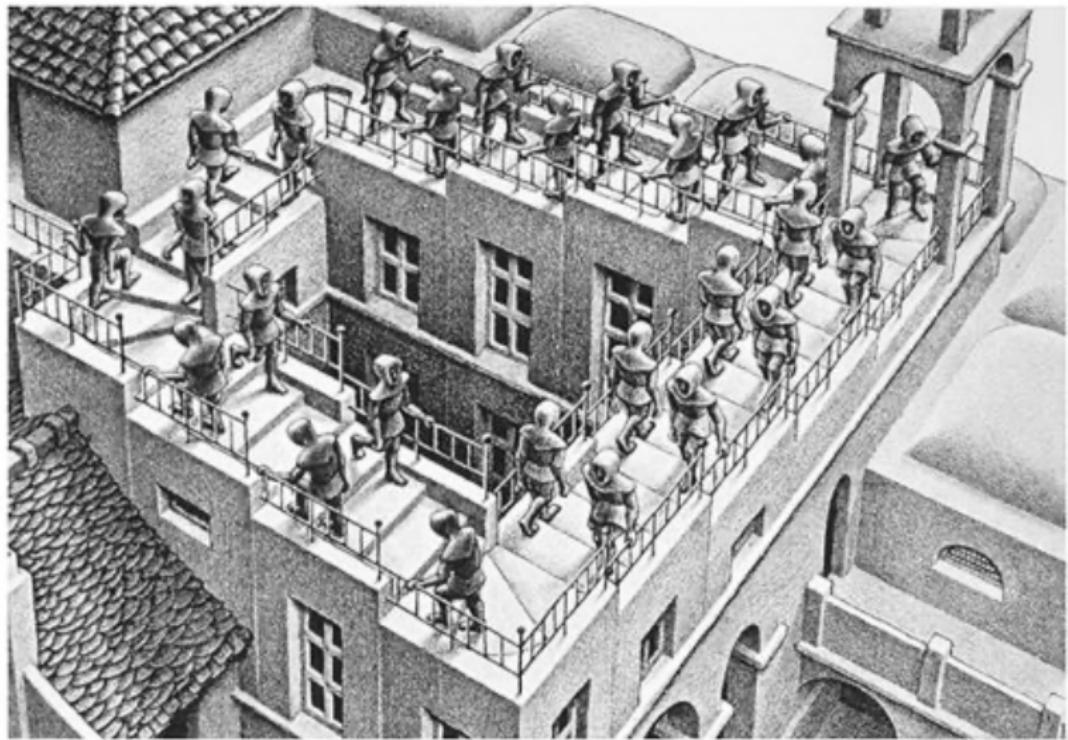
Confessio Grammatici (Halle, 1975)

Since language is not, in its essence, a means for transmitting [cognitive] information—though no one denies that we constantly use language for this very purpose—then it is hardly surprising to find in languages much ambiguity and redundancy, as well as other properties that are obviously undesirable in a good communication code.

Impossible Triangle...but possible visual representation



Escher Staircase...but possible visual representation



- The notion of ill-formedness, relative or absolute is unfounded. We can have visual representations of an Impossible Triangle or an Escher Staircase

- The notion of ill-formedness, relative or absolute is unfounded. We can have visual representations of an Impossible Triangle or an Escher Staircase
- Constraint satisfaction models appear to be restricted to artifacts like Sudoku, Traveling Salesman, Scheduling, etc., but language is a natural object

- The notion of ill-formedness, relative or absolute is unfounded. We can have visual representations of an Impossible Triangle or an Escher Staircase
- Constraint satisfaction models appear to be restricted to artifacts like Sudoku, Traveling Salesman, Scheduling, etc., but language is a natural object
- Constraints can be learned by negative evidence, but it is not available

- The notion of ill-formedness, relative or absolute is unfounded. We can have visual representations of an Impossible Triangle or an Escher Staircase
- Constraint satisfaction models appear to be restricted to artifacts like Sudoku, Traveling Salesman, Scheduling, etc., but language is a natural object
- Constraints can be learned by negative evidence, but it is not available
- **Constraints cannot be learned by positive evidence**

- The notion of ill-formedness, relative or absolute is unfounded. We can have visual representations of an Impossible Triangle or an Escher Staircase
- Constraint satisfaction models appear to be restricted to artifacts like Sudoku, Traveling Salesman, Scheduling, etc., but language is a natural object
- Constraints can be learned by negative evidence, but it is not available
- Constraints cannot be learned by positive evidence
 - ▶ Maybe tomorrow's data will violate the constraint

- The notion of ill-formedness, relative or absolute is unfounded. We can have visual representations of an Impossible Triangle or an Escher Staircase
- Constraint satisfaction models appear to be restricted to artifacts like Sudoku, Traveling Salesman, Scheduling, etc., but language is a natural object
- Constraints can be learned by negative evidence, but it is not available
- Constraints cannot be learned by positive evidence
 - ▶ Maybe tomorrow's data will violate the constraint
- So they force an implausibly rich UG

- The notion of ill-formedness, relative or absolute is unfounded. We can have visual representations of an Impossible Triangle or an Escher Staircase
- Constraint satisfaction models appear to be restricted to artifacts like Sudoku, Traveling Salesman, Scheduling, etc., but language is a natural object
- Constraints can be learned by negative evidence, but it is not available
- Constraints cannot be learned by positive evidence
 - ▶ Maybe tomorrow's data will violate the constraint
- So they force an implausibly rich UG
- **There are an infinite number of constraints**

- The notion of ill-formedness, relative or absolute is unfounded. We can have visual representations of an Impossible Triangle or an Escher Staircase
- Constraint satisfaction models appear to be restricted to artifacts like Sudoku, Traveling Salesman, Scheduling, etc., but language is a natural object
- Constraints can be learned by negative evidence, but it is not available
- Constraints cannot be learned by positive evidence
 - ▶ Maybe tomorrow's data will violate the constraint
- So they force an implausibly rich UG
- There are an infinite number of constraints
 - ▶ **NoBANANA:** No sentence contains a banana.

- The notion of ill-formedness, relative or absolute is unfounded. We can have visual representations of an Impossible Triangle or an Escher Staircase
- Constraint satisfaction models appear to be restricted to artifacts like Sudoku, Traveling Salesman, Scheduling, etc., but language is a natural object
- Constraints can be learned by negative evidence, but it is not available
- Constraints cannot be learned by positive evidence
 - ▶ Maybe tomorrow's data will violate the constraint
- So they force an implausibly rich UG
- There are an infinite number of constraints
 - ▶ NoBANANA: No sentence contains a banana.
 - ▶ Universally valid constraint.

- The notion of ill-formedness, relative or absolute is unfounded. We can have visual representations of an Impossible Triangle or an Escher Staircase
- Constraint satisfaction models appear to be restricted to artifacts like Sudoku, Traveling Salesman, Scheduling, etc., but language is a natural object
- Constraints can be learned by negative evidence, but it is not available
- Constraints cannot be learned by positive evidence
 - ▶ Maybe tomorrow's data will violate the constraint
- So they force an implausibly rich UG
- There are an infinite number of constraints
 - ▶ NoBANANA: No sentence contains a banana.
 - ▶ Universally valid constraint.
- Soft constraints reflect markedness prejudices. Why generate and filter (like “Move- α , then filter”)? Just build the (licit) structures, as in Minimalism.

Rational phonology view

- If we accept Chomsky's (2000a) naturalism, then phonological representations are natural objects that exist as possible aspect of the world and do not *need* to undergo repair.

Rational phonology view

- If we accept Chomsky's (2000a) naturalism, then phonological representations are natural objects that exist as possible aspect of the world and do not *need* to undergo repair.
- There is no sense in which a mental representation can be ill-formed or well-formed, any more than a molecule can be ill-formed or well-formed.

Rational phonology view

- If we accept Chomsky's (2000a) naturalism, then phonological representations are natural objects that exist as possible aspect of the world and do not *need* to undergo repair.
- There is no sense in which a mental representation can be ill-formed or well-formed, any more than a molecule can be ill-formed or well-formed.
 - ▶ An existing molecule is compatible with the laws of physics; an 'ill-formed molecule' that violates the laws of physics is no molecule at all—it does not exist.

Rational phonology view

- If we accept Chomsky's (2000a) naturalism, then phonological representations are natural objects that exist as possible aspect of the world and do not *need* to undergo repair.
- There is no sense in which a mental representation can be ill-formed or well-formed, any more than a molecule can be ill-formed or well-formed.
 - ▶ An existing molecule is compatible with the laws of physics; an ‘ill-formed molecule’ that violates the laws of physics is no molecule at all—it does not exist.
- Phonological grammars receive representations consisting of combinations of morphemes, and these are mapped to other representations without regard to markedness or well-formedness. There is no “repair” of representations.

Rational phonology view

- If we accept Chomsky's (2000a) naturalism, then phonological representations are natural objects that exist as possible aspect of the world and do not *need* to undergo repair.
- There is no sense in which a mental representation can be ill-formed or well-formed, any more than a molecule can be ill-formed or well-formed.
 - ▶ An existing molecule is compatible with the laws of physics; an ‘ill-formed molecule’ that violates the laws of physics is no molecule at all—it does not exist.
- Phonological grammars receive representations consisting of combinations of morphemes, and these are mapped to other representations without regard to markedness or well-formedness. There is no “repair” of representations.
 - ☺ To this I say ‘aye’.

Outline

- 1 Phonetics and Phonology
- 2 UG can be small
 - Justifying features
 - Underspecification
 - Feature combinatorics
- 3 Ontologies vs epistemic toolkits
- 4 Assimilation and household pets
- 5 Abstracting from the welter
- 6 Satisfying long-distance relationships without tiers
- 7 It is more constrained to have no constraints than to have constraints
- 8 Poverty of the stimulus in phonology
- 9 Conclusions

Conventional wisdom

- There is no Argument from the Poverty of the Stimulus in Phonology

Conventional wisdom

- There is no Argument from the Poverty of the Stimulus in Phonology
 - ☺ I say ‘nay’ to this.

The origin of speech (2008: 41)

*however much poverty of the stimulus exists for language in general, there is none of it in the domain of the structure of words, the unit of communication I am most concerned with. Infants **hear all the words** they expect to produce. Thus, the main proving ground for UG does not include phonology*

The origin of speech (2008: 41)

*however much poverty of the stimulus exists for language in general, there is none of it in the domain of the structure of words, the unit of communication I am most concerned with. Infants **hear all the words** they expect to produce. Thus, the main proving ground for UG does not include phonology*

- Do Turkish kids hear *evlerimizdekilerinki* ‘the one belonging to the ones in our houses’ with root *ev* ‘house’ (Hankamer, 1989, p. 397)

The origin of speech (2008: 41)

however much poverty of the stimulus exists for language in general, there is none of it in the domain of the structure of words, the unit of communication I am most concerned with. Infants **hear all the words** they expect to produce. Thus, the main proving ground for *UG* does not include phonology

- Do Turkish kids hear *evlerimizdekilerinki* ‘the one belonging to the ones in our houses’ with root *ev* ‘house’ (Hankamer, 1989, p. 397)
- Do Shona kids hear all 10^{33} forms of a verb they can parse and generate if need be? (David Odden, p.c.)

The Emergence of Distinctive Features, 2008

- *Many of the arguments for UG in other domains do not hold for phonology. For example, there is little evidence of a learnability problem in phonology (p. 33)*

The Emergence of Distinctive Features, 2008

- *Many of the arguments for UG in other domains do not hold for phonology. For example, there is little evidence of a learnability problem in phonology (p. 33)*
- *[Most of the evidence for] UG is not related to phonology, and phonology has more of a guilt-by-association status with respect to innateness. (p. 34)*

⇒‘Phonology without universal grammar’⇐ (2015)

- *features cannot be innately defined, but must be learned*

⇒ 'Phonology without universal grammar' ⇐ (2015)

- *features cannot be innately defined, but must be learned*
- *[Children face] the challenge of isolating specific sounds from the sound stream*

⇒‘Phonology without universal grammar’⇐ (2015)

- *features cannot be innately defined, but must be learned*
- *[Children face] the challenge of isolating specific sounds from the sound stream*
- *the predictions of [Emergent Grammar] fit the data better than do the predictions of UG.*

‘Universal grammar and syntax/phonology parallelisms’ (2006)

Phonological objects and relations are internalisable: there is no poverty of the stimulus argument in phonology. No phonological knowledge is given by UG.

Evolutionary Phonology

Within the domain of sounds, there is no poverty of the stimulus. [I offer] general arguments against the “poverty of stimulus” in phonology, ...[there is no evidence that] regular phonological alternations cannot be acquired on the basis of generalizations gleaned directly from auditory input.

Evolutionary Phonology

Within the domain of sounds, there is no poverty of the stimulus. [I offer] general arguments against the “poverty of stimulus” in phonology, ...[there is no evidence that] regular phonological alternations cannot be acquired on the basis of generalizations gleaned directly from auditory input.

- Obviously you need more than auditory input to get alternations—you need meaning.
- Auditory input is not linguistic input.

Empirical base

English regular plural

SR	[ma̚ts]	[klɪfs]	[hədz]	[buʃɪz]	[mæsɪz]	[wɪzɪz]
Gloss	'mats'	'cliffs'	'heads'	'bushes'	'masses'	'whizzes'

Empirical base

English regular plural

SR	[ma̚ts]	[klɪfs]	[hədz]	[bʊʃɪz]	[mæsɪz]	[wɪzɪz]
Gloss	'mats'	'cliffs'	'heads'	'bushes'	'masses'	'whizzes'

Speech sounds are sets of features

- [s] in *mats* is –VOICED (w/ vocal fold vibration) and +CORONAL,
...

Empirical base

English regular plural

SR	[ma̚ts]	[klɪfs]	[hədz]	[buʃɪz]	[mæsɪz]	[wɪzɪz]
Gloss	'mats'	'cliffs'	'heads'	'bushes'	'masses'	'whizzes'

Speech sounds are sets of features

- [s] in *mats* is –VOICED (w/ vocal fold vibration) and +CORONAL,
...
- [z] in *heads* is +VOICED (w/o vocal fold vibration) and
+CORONAL, ...

Empirical base

English regular plural

SR	[ma̚ts]	[klɪfs]	[hədz]	[buʃɪz]	[mæsɪz]	[wɪzɪz]
Gloss	'mats'	'cliffs'	'heads'	'bushes'	'masses'	'whizzes'

Speech sounds are sets of features

- [s] in *mats* is –VOICED (w/ vocal fold vibration) and +CORONAL,
...
- [z] in *heads* is +VOICED (w/o vocal fold vibration) and
+CORONAL, ...
- [iz] in *bushes* has an extra vowel

Amodal completion

(a)



(b)

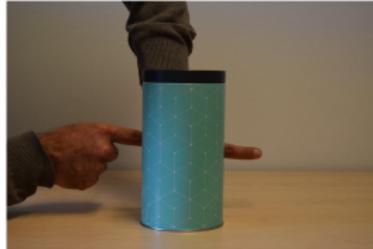


Amodal completion

(a)



(b)



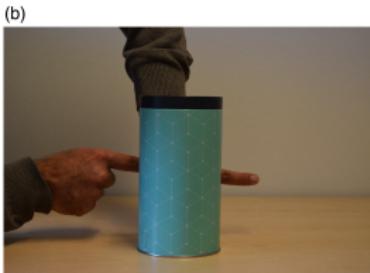
(c)



(d)



Amodal completion



- Your visual system infers extra finger meat, even though you know it's crazy to do so
- Imagine explaining this as “repair” by the visual system
- “View is obstructed, so let’s make a representation of an impossibly long finger”

Which segments take [-s]?

- *caps, cats, rocks, cliff, myths*

Which segments take [-s]?

- *caps, cats, rocks, cliff, myths*
- Segment are sets of (valued) features

Which segments take [-s]?

- *caps, cats, rocks, cliff, myths*
- Segment are sets of (valued) features
- Rules are built on natural classes

Which segments take [-s]?

- *caps, cats, rocks, cliff, myths*
- Segment are sets of (valued) features
- Rules are built on natural classes
- Natural classes are sets of segments

Which segments take [-s]?

- *caps, cats, rocks, cliff, myths*
- Segments are sets of (valued) features
- Rules are built on natural classes
- Natural classes are sets of segments
 - ▶ (set of sets of valued features)

$$\cap \left\{ \begin{array}{c} p \\ \left\{ \begin{array}{l} - \text{COR} \\ - \text{STRID} \\ - \text{NAS} \\ + \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{CON} \\ + \text{ANT} \\ - \text{VOI} \end{array} \right\}, \quad t \\ \left\{ \begin{array}{l} + \text{COR} \\ - \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{CON} \\ + \text{ANT} \\ - \text{VOI} \end{array} \right\}, \quad k \\ \left\{ \begin{array}{l} - \text{COR} \\ - \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{CON} \\ - \text{ANT} \\ - \text{VOI} \end{array} \right\}, \quad f \\ \left\{ \begin{array}{l} - \text{COR} \\ + \text{STRID} \\ - \text{NAS} \\ + \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ + \text{CON} \\ + \text{ANT} \\ - \text{VOI} \end{array} \right\}, \quad \theta \\ \left\{ \begin{array}{l} + \text{COR} \\ - \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ + \text{CON} \\ + \text{ANT} \\ - \text{VOI} \end{array} \right\} \end{array} \right\}$$

Which segments take [-s]?

- *caps, cats, rocks, cliff, myths*
- Segment are sets of (valued) features
- Rules are built on natural classes
- Natural classes are sets of segments
 - ▶ (set of sets of valued features)
- Natural classes are defined by generalized intersections

Formation of natural class via generalized intersection

$$\cap \{p, t, k, f, \theta\} = \left\{ \begin{array}{l} - \text{NAS} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{VOI} \end{array} \right\}$$

Natural class expressed intensionally (superset version)

$$\{y : y \supseteq \left\{ \begin{array}{l} - \text{NAS} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{VOI} \end{array} \right\}\}$$

Natural class expressed intensionally (subset version)

$$\left\{ y : \left\{ \begin{array}{l} - \text{NAS} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{Voi} \end{array} \right\} \subseteq y \right\}$$

Natural class and subsets

$$\left\{ \begin{array}{l} - \text{NAS} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{VOI} \end{array} \right\} \subseteq \left\{ \begin{array}{l} - \text{COR} \\ - \text{STRID} \\ - \text{NAS} \\ + \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{CON} \\ + \text{ANT} \\ - \text{VOI} \end{array} \right\}$$

p

Natural class and subsets

$$\left\{ \begin{array}{l} - \text{NAS} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{Voi} \end{array} \right\} \subseteq \left\{ \begin{array}{l} + \text{COR} \\ - \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{CON} \\ + \text{ANT} \\ - \text{Voi} \end{array} \right\}$$

Natural class and subsets

$$\left\{ \begin{array}{l} - \text{NAS} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{Voi} \end{array} \right\} \subseteq \left\{ \begin{array}{l} - \text{COR} \\ - \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{CON} \\ + \text{ANT} \\ - \text{Voi} \end{array} \right\}$$

k

Natural class and subsets

$$\left\{ \begin{array}{l} - \text{NAS} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{VOI} \end{array} \right\} \subseteq \left\{ \begin{array}{l} - \text{COR} \\ + \text{STRID} \\ - \text{NAS} \\ + \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ + \text{CON} \\ + \text{ANT} \\ - \text{VOI} \end{array} \right\}$$

Natural class and subsets

$$\left\{ \begin{array}{l} - \text{NAS} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{Voi} \end{array} \right\} \subseteq \left\{ \begin{array}{l} + \text{COR} \\ + \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ + \text{CON} \\ + \text{ANT} \\ - \text{Voi} \end{array} \right\}$$

θ

A ‘new’ segment

$$\left\{ \begin{array}{l} - \text{NAS} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{Voi} \end{array} \right\} \subseteq$$

A ‘new’ segment

$$\left\{ \begin{array}{l} - \text{NAS} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{Voi} \end{array} \right\} \subseteq \left\{ \begin{array}{l} - \text{COR} \\ - \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ + \text{CON} \\ - \text{ANT} \\ - \text{Voi} \end{array} \right\}$$

Lise Menn's example: *Bachs* Halle (1978)

What happens with a new segment /x/

- Rules are defined *intensionally*, via natural classes

Lise Menn's example: *Bachs* Halle (1978)

What happens with a new segment /x/

- Rules are defined *intensionally*, via natural classes
- Suppose you get /x/ in *Bach*

Lise Menn's example: *Bachs* Halle (1978)

What happens with a new segment /x/

- Rules are defined *intensionally*, via natural classes
- Suppose you get /x/ in *Bach*
- So /x/ is necessarily a trigger of any rule that /p,t,k,f,θ/ all trigger

Lise Menn's example: *Bachs* Halle (1978)

What happens with a new segment /x/

- Rules are defined *intensionally*, via natural classes
- Suppose you get /x/ in *Bach*
- So /x/ is necessarily a trigger of any rule that /p,t,k,f,θ/ all trigger
 - ▶ Can't help but say/accept [baxs], despite the lack of exposure to [x]

Lise Menn's example: *Bachs* Halle (1978)

What happens with a new segment /x/

- Rules are defined *intensionally*, via natural classes
- Suppose you get /x/ in *Bach*
- So /x/ is necessarily a trigger of any rule that /p,t,k,f,θ/ all trigger
 - ▶ Can't help but say/accept [baxs], despite the lack of exposure to [x]
 - ▶ This is not '(over)generalization', it is just what it means to have a rule.

Lise Menn's example: *Bachs* Halle (1978)

What happens with a new segment /x/

- Rules are defined *intensionally*, via natural classes
- Suppose you get /x/ in *Bach*
- So /x/ is necessarily a trigger of any rule that /p,t,k,f,θ/ all trigger
 - ▶ Can't help but say/accept [baxs], despite the lack of exposure to [x]
 - ▶ This is not '(over)generalization', it is just what it means to have a rule.
 - ▶ Is this PoS?

Lise Menn's example: *Bachs* Halle (1978)

What happens with a new segment /x/

- Rules are defined *intensionally*, via natural classes
- Suppose you get /x/ in *Bach*
- So /x/ is necessarily a trigger of any rule that /p,t,k,f,θ/ all trigger
 - ▶ Can't help but say/accept [baxs], despite the lack of exposure to [x]
 - ▶ This is not '(over)generalization', it is just what it means to have a rule.
 - ▶ Is this PoS?
- We now have a mechanism for predicting whether 'generalization' will occur

Poverty of the stimulus

Positive view

- Kids learn despite the messy, incomplete input

Negative view

Poverty of the stimulus

Positive view

- Kids learn despite the messy, incomplete input
- able to front the 'right' AUX

Negative view

Poverty of the stimulus

Positive view

- Kids learn despite the messy, incomplete input
- able to front the ‘right’ AUX

Negative view

- Kid can't help but learn the right rule

Poverty of the stimulus

Positive view

- Kids learn despite the messy, incomplete input
- able to front the ‘right’ AUX

Negative view

- Kid can't help but learn the right rule
- Not able to learn rules that don't make use of c-command, etc

Poverty of the stimulus

Positive view

- Kids learn despite the messy, incomplete input
- able to front the ‘right’ AUX

Negative view

- Kid can't help but learn the right rule
- Not able to learn rules that don't make use of c-command, etc
- **Not able to count or use linear order**

Think negative

What the learner *can't* do

- Given the stimulus, there are several extensionally equivalent grammars, e.g.

Think negative

What the learner *can't* do

- Given the stimulus, there are several extensionally equivalent grammars, e.g.
 - Separate rules for each triggering segment (e.g., $z \rightarrow s / k \underline{\quad}$)

Think negative

What the learner *can't* do

- Given the stimulus, there are several extensionally equivalent grammars, e.g.
 - Separate rules for each triggering segment (e.g., $z \rightarrow s / k \underline{\quad}$)
 - Set up one rule for all triggering segments

Think negative

What the learner *can't* do

- Given the stimulus, there are several extensionally equivalent grammars, e.g.
 - ▶ Separate rules for each triggering segment (e.g., $z \rightarrow s / k \underline{\quad}$)
 - ▶ Set up one rule for all triggering segments
- If s/he could have separate rules, then it would be possible to *not generalize* to [x]

Think negative

What the learner *can't* do

- Given the stimulus, there are several extensionally equivalent grammars, e.g.
 - ▶ Separate rules for each triggering segment (e.g., $z \rightarrow s / k \underline{\quad}$)
 - ▶ Set up one rule for all triggering segments
- If s/he could have separate rules, then it would be possible to *not generalize* to [x]
- “there is an inseparable connection between the **scope** and **limits** of human knowledge” (Chomsky, 1980)

Think negative

What the learner *can't* do

- Given the stimulus, there are several extensionally equivalent grammars, e.g.
 - ▶ Separate rules for each triggering segment (e.g., $z \rightarrow s / k \underline{\quad}$)
 - ▶ Set up one rule for all triggering segments
- If s/he could have separate rules, then it would be possible to *not generalize* to [x]
- “**there is an inseparable connection between the scope and limits of human knowledge**” (Chomsky, 1980)
- Epistemic boundedness (Fodor, 1983): Learner is incapable of having separate rules if the data is consistent with a single rule

Think negative

What the learner *can't* do

- Given the stimulus, there are several extensionally equivalent grammars, e.g.
 - ▶ Separate rules for each triggering segment (e.g., $z \rightarrow s / k __$)
 - ▶ Set up one rule for all triggering segments
- If s/he could have separate rules, then it would be possible to *not generalize* to [x]
- “**there is an inseparable connection between the scope and limits of human knowledge**” (Chomsky, 1980)
- Epistemic boundedness (Fodor, 1983): Learner is incapable of having separate rules if the data is consistent with a single rule
- Our scope/limits prevent us from *not* devoicing after [x]

Think negative

What the learner *can't* do

- Given the stimulus, there are several extensionally equivalent grammars, e.g.
 - ▶ Separate rules for each triggering segment (e.g., $z \rightarrow s / k __$)
 - ▶ Set up one rule for all triggering segments
- If s/he could have separate rules, then it would be possible to *not generalize* to [x]
- “**there is an inseparable connection between the scope and limits of human knowledge**” (Chomsky, 1980)
- Epistemic boundedness (Fodor, 1983): Learner is incapable of having separate rules if the data is consistent with a single rule
- Our scope/limits prevent us from *not* devoicing after [x]
- Doing so is beyond the limits of UG—humans are incapable of *not* using natural classes

English nouns with each alternant of the regular plural suffix

a. [-s]	b. [-z]	c. [-iz]
cup	cub, head, rug	bus
mat	farm, son, song	bush
rack	car, hill	match
cliff	hive	whiz
myth	bow, bee, clue pickle, burger	garage judge

natural class
coronal stridents

$$\bigcap \{s, \int, t^f, z, \mathfrak{z}, d^3\} =$$

$$\bigcap \left\{ \begin{array}{cccccc} s & \int & t^f & z & \mathfrak{z} & d^3 \\ \left[\begin{array}{c} + \text{COR} \\ + \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ + \text{CON} \\ + \text{ANT} \\ - \text{VOI} \end{array} \right], \left[\begin{array}{c} + \text{COR} \\ + \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ + \text{CON} \\ - \text{ANT} \\ - \text{VOI} \end{array} \right], \left[\begin{array}{c} + \text{COR} \\ + \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ + \text{DEL} \\ - \text{CON} \\ - \text{ANT} \\ - \text{VOI} \end{array} \right], \left[\begin{array}{c} + \text{COR} \\ + \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ + \text{CON} \\ + \text{ANT} \\ + \text{Voi} \end{array} \right], \left[\begin{array}{c} + \text{COR} \\ + \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ + \text{CON} \\ - \text{ANT} \\ + \text{Voi} \end{array} \right], \left[\begin{array}{c} + \text{COR} \\ + \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ + \text{DEL} \\ - \text{CON} \\ - \text{ANT} \\ + \text{Voi} \end{array} \right] \end{array} \right\}$$

$$= \text{Let's say } \left\{ \begin{array}{c} + \text{COR} \\ + \text{STRID} \\ (\dots) \end{array} \right\}$$

Alternants of the regular plural suffix /-z/

a. [-s]	b. [-z]	c. [-iz]
cup	cub, head, rug	bus
mat	farm, son, song	bush
rack	car, hill	match
cliff	hive	whiz
myth	bow, bee, clue pickle, burger	garage judge
not natural class ELSEWHERE case UR -z appears		natural class: coronal stridents vowel insertion

Alternants of the regular plural suffix /-z/

a. [-s]	b. [-z]	c. [-iz]
cup	cub, head, rug	bus
mat	farm, son, song	bush
rack	car, hill	mass
cliff	hive	whiz
myth	bow, bee, clue pickle, burger	garage judge
not a natural class PROBLEM!	not natural class ELSEWHERE case UR -z appears	natural class coronal stridents vowel insertion

Consider /s/ (and /ʃ/)

$$s = \left\{ \begin{array}{l} + \text{COR} \\ + \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ + \text{CON} \\ + \text{ANT} \\ - \text{VOI} \end{array} \right\}$$

Obviously /s/ is relevant to any rule that refers to {s, ſ, tʃ, z, ʒ, dʒ}

Consider /s/ (and /ʃ/)

$$s = \left\{ \begin{array}{l} + \text{COR} \\ + \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ + \text{CON} \\ + \text{ANT} \\ - \text{VOI} \end{array} \right\} \supseteq \left\{ \begin{array}{l} + \text{COR} \\ + \text{STRID} \end{array} \right\}$$

Obviously /s/ is relevant to any rule that refers to {s, ſ, tʃ, z, ʒ, dʒ}

Consider /s/ (and /ʃ/)

$$s = \left\{ \begin{array}{l} + \text{COR} \\ + \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ + \text{CON} \\ + \text{ANT} \\ - \text{VOI} \end{array} \right\}$$

But /s/ is (also) necessarily relevant to any rule that refers to {p,t,k,f,θ} (and x)

Consider /s/ (and /ʃ/)

$$s = \left\{ \begin{array}{l} + \text{COR} \\ + \text{STRID} \\ - \text{NAS} \\ - \text{LAB} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ + \text{CON} \\ + \text{ANT} \\ - \text{VOI} \end{array} \right\} \supseteq \left\{ \begin{array}{l} - \text{NAS} \\ - \text{SON} \\ - \text{LAT} \\ - \text{DEL} \\ - \text{VOI} \end{array} \right\}$$

But /s/ is (also) necessarily relevant to any rule that refers to {p,t,k,f,θ} (and x)

Why doesn't /s/ devoice the plural /-z/?

Bleeding rule ordering

- First insert a vowel between a coronal strident and /z/

Why doesn't /s/ devoice the plural /-z/?

Bleeding rule ordering

- First insert a vowel between a coronal strident and /z/

Insertion rule: $\epsilon \rightarrow i / \left[\begin{array}{c} +\text{COR} \\ +\text{STRID} \end{array} \right] - z$

Why doesn't /s/ devoice the plural /-z/?

Bleeding rule ordering

- First insert a vowel between a coronal strident and /z/

Insertion rule: $\epsilon \rightarrow i / \begin{bmatrix} +\text{COR} \\ +\text{STRID} \end{bmatrix} - z$

▶ /mæs-z/ → /mæsiz/

Why doesn't /s/ devoice the plural /-z/?

Bleeding rule ordering

- Then devoicing rule applies

Why doesn't /s/ devoice the plural /-z/?

Bleeding rule ordering

- Then devoicing rule applies
- Formulated INTENTIONALLY to apply after ALL voiceless segments
(even s,f in the absence of 'data')

Why doesn't /s/ devoice the plural /-z/?

Bleeding rule ordering

- Then devoicing rule applies
- Formulated INTENTIONALLY to apply after ALL voiceless segments (even s,ʃ in the absence of 'data')
 - ▶ Rule does not affect [z] in /mæsɪz/ since /s/ and /z/ are not adjacent

Why doesn't /s/ devoice the plural /-z/?

Bleeding rule ordering

- Then devoicing rule applies
- Formulated INTENTIONALLY to apply after ALL voiceless segments (even s,ʃ in the absence of 'data')
 - ▶ Rule does not affect [z] in /mæsɪz/ since /s/ and /z/ are not adjacent
 - ▶ Circumstances have changed! But the (intensional) rule applies to a natural class.

The key

Intensional rule

- Refers to whole natural class

The key

Intensional rule

- Refers to whole natural class
- Data doesn't reflect this! $\{p,t,k,f,\theta\}$ *not* a natural class.

The key

Intensional rule

- Refers to whole natural class
- Data doesn't reflect this! $\{p,t,k,f,\theta\}$ *not* a natural class.
- **POVERTY OF THE STIMULUS**

English Plural Derivations

UR	/mæt-z/	/klif-z/	/həd-z/	/buʃ-z/	/mæs-z/	/wiz-z/
SR	[mæts]	[klifs]	[hədz]	[buʃɪz]	[mæsɪz]	[wɪzɪz]
Gloss	‘mats’	‘cliffs’	‘heads’	‘bushes’	‘masses’	‘whizzes’

English Plural Derivations

UR	/mæt-z/	/klɪf-z/	/hɛd-z/	/buʃ-z/	/mæs-z/	/wɪz-z/
Insert Rule	—	—	—	buʃɪz	mæsɪz	wɪzɪz
SR	[mæts]	[klɪfs]	[hɛdz]	[buʃɪz]	[mæsɪz]	[wɪzɪz]
Gloss	‘mats’	‘cliffs’	‘heads’	‘bushes’	‘masses’	‘whizzes’

- Vowel insertion between coronal stridents and -z

English Plural Derivations

UR	/mæt-z/	/klif-z/	/hɛd-z/	/buʃ-z/	/mæs-Z/	/wiz-Z/
Insert Rule	—	—	—	buʃɪz	mæsɪz	wizɪz
Devoic Rule	mæts	klifs	—	BLED	BLED	—
SR	[mæts]	[klifs]	[hɛdz]	[buʃɪz]	[mæsɪz]	[wizɪz]
Gloss	'mats'	'cliffs'	'heads'	'bushes'	'masses'	'whizzes'

- Intensional formulation of devoicing rule targets /z/ before p,t,k,f,θ,s,ʃ
 - ▶ but the rule is **bled** in some forms

English Plural Derivations

UR	/mæt-z/	/klif-z/	/hɛd-z/	/buʃ-z/	/mæs- z /	/wiz- z /
Insert Rule	—	—	—	buʃɪz	mæsɪz	wizɪz
Devoicing Rule	mæts	klifs	—	BLED	BLED	—
SR	[mæts]	[klifs]	[hɛdz]	[buʃɪz]	[mæsɪz]	[wizɪz]
Gloss	‘mats’	‘cliffs’	‘heads’	‘bushes’	‘masses’	‘whizzes’

- The ‘data’ says that devoicing is not triggered by s,ʃ

English Plural Derivations

UR	/mæt-z/	/klif-z/	/hɛd-z/	/buʃ-z/	/mæs- Z /	/wiz- Z /
Insert Rule	—	—	—	buʃɪz	mæsɪz	wizɪz
Devoic Rule	mæts	klifs	—	BLED	BLED	—
SR	[mæts]	[klifs]	[hɛdz]	[buʃɪz]	[mæsɪz]	[wizɪz]
Gloss	‘mats’	‘cliffs’	‘heads’	‘bushes’	‘masses’	‘whizzes’

- Kids don't encode the ‘patterns in the surface data’ or the ‘auditory input’

English Plural Derivations

UR	/mæt-z/	/klif-z/	/hɛd-z/	/buʃ-z/	/mæs-Z/	/wiz-Z/
Insert Rule	—	—	—	buʃɪz	mæsɪz	wizɪz
Devoic Rule	mæts	klifs	—	BLED	BLED	—
SR	[mæts]	[klifs]	[hɛdz]	[buʃɪz]	[mæsɪz]	[wizɪz]
Gloss	‘mats’	‘cliffs’	‘heads’	‘bushes’	‘masses’	‘whizzes’

- Kids don't encode the ‘patterns in the surface data’ or the ‘auditory input’
- Kids *can't* encode the ‘patterns in the surface data’ or the ‘auditory input’

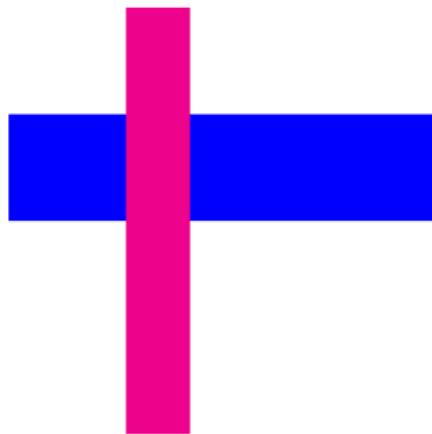
English Plural Derivations

UR	/mæt-z/	/klif-z/	/hɛd-z/	/buʃ-z/	/mæs-Z/	/wiz-Z/
Insert Rule	—	—	—	buʃɪz	mæsɪz	wizɪz
Devoic Rule	mæts	klifs	—	BLED	BLED	—
SR	[mæts]	[klifs]	[hɛdz]	[buʃɪz]	[mæsɪz]	[wizɪz]
Gloss	‘mats’	‘cliffs’	‘heads’	‘bushes’	‘masses’	‘whizzes’

- Kids don't encode the ‘patterns in the surface data’ or the ‘auditory input’
- Kids *can't* encode the ‘patterns in the surface data’ or the ‘auditory input’
 - ▶ They can't be little empiricists

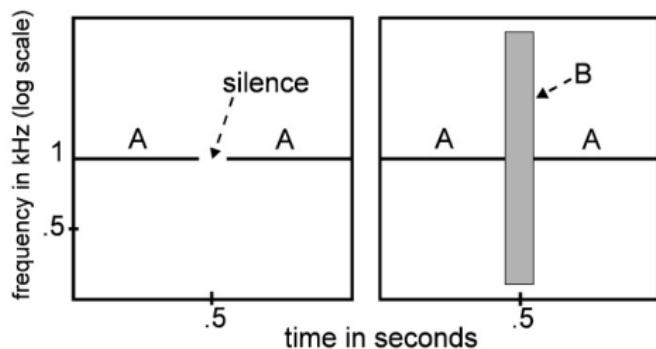
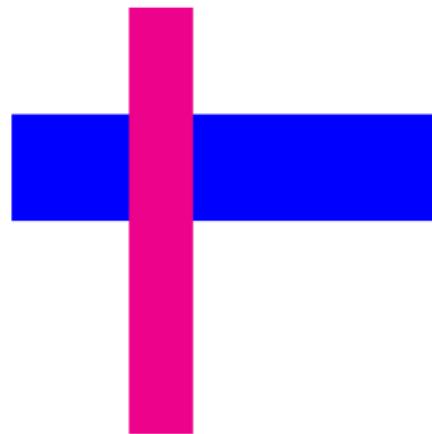
Masking with amodal completion

- Can't help but see two objects
- Can't help but hear continuous tone



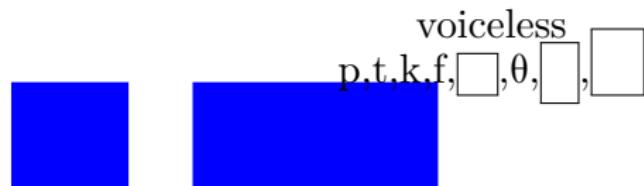
Masking with amodal completion

- Can't help but see two objects
- Can't help but hear continuous tone



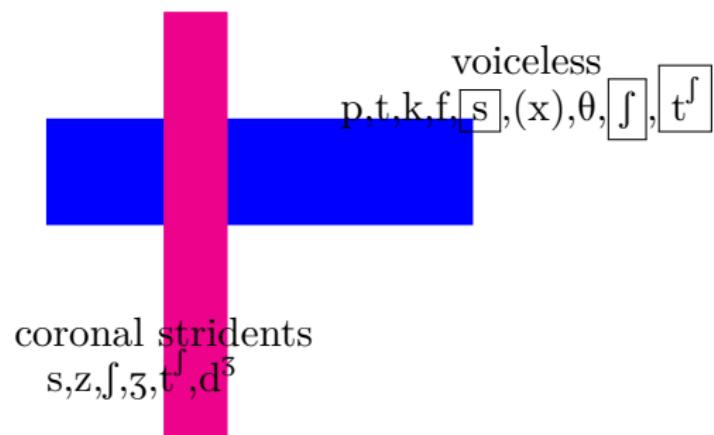
Masking in phonology 1: stimulus

- The stimulus for devoicing
- NOT a natural class
- “voiceless segments that are non-strident OR non-coronal”
- Phonological UG does not provide OR



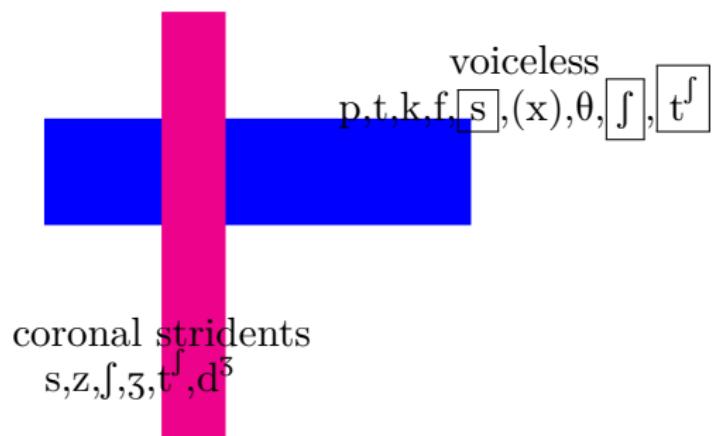
Masking in phonology 2: amodal completion

- Can't help but formulate rule (based on natural class)
- Problem of /s,f,t^f/ solved by masking, amodal completion
- *Bach[s]* with /x/ follows automatically



Masking in phonology 2: amodal completion

- Can't help but formulate rule (based on natural class)
- Problem of /s,f,t^f/ solved by masking, amodal completion
- *Bach[s]* with /x/ follows automatically



/s,f,t^f/ are “masked” by bleeding rule ordering

Masking in phonology 3:

- What's acquired for devoicing rule?

voiceless
p,t,k,f,s,(x),θ,ʃ,tʃ



Despite PoS

Rational phonology view

- The big step is going from noise to word/segment/feature!
(Lasnik)

Rational phonology view

- The big step is going from noise to word/segment/feature!
(Lasnik)
- APoS exists in all domains of cognition, including phonology

Rational phonology view

- The big step is going from noise to word/segment/feature!
(Lasnik)
- APoS exists in all domains of cognition, including phonology
- You need a theory of grammar in order to do acquisition, evaluate APoS

Rational phonology view

- The big step is going from noise to word/segment/feature!
(Lasnik)
- APoS exists in all domains of cognition, including phonology
- You need a theory of grammar in order to do acquisition, evaluate APoS
 - ▶ e.g. ‘Rules are formulated in terms of natural classes’

Rational phonology view

- The big step is going from noise to word/segment/feature!
(Lasnik)
- APoS exists in all domains of cognition, including phonology
- You need a theory of grammar in order to do acquisition, evaluate APoS
 - ▶ e.g. ‘Rules are formulated in terms of natural classes’
 - ▶ ‘**Rules are ordered**’

Rational phonology view

- The big step is going from noise to word/segment/feature!
(Lasnik)
- APoS exists in all domains of cognition, including phonology
- You need a theory of grammar in order to do acquisition, evaluate APoS
 - ▶ e.g. ‘Rules are formulated in terms of natural classes’
 - ▶ ‘Rules are ordered’
 - ▶ Rule ordering obscures natural classes

Rational phonology view

- The big step is going from noise to word/segment/feature!
(Lasnik)
 - APoS exists in all domains of cognition, including phonology
 - You need a theory of grammar in order to do acquisition, evaluate APoS
 - ▶ e.g. ‘Rules are formulated in terms of natural classes’
 - ▶ ‘Rules are ordered’
 - ▶ Rule ordering obscures natural classes
- ☺ To this I say ‘aye’!

Outline

- 1 Phonetics and Phonology
- 2 UG can be small
 - Justifying features
 - Underspecification
 - Feature combinatorics
- 3 Ontologies vs epistemic toolkits
- 4 Assimilation and household pets
- 5 Abstracting from the welter
- 6 Satisfying long-distance relationships without tiers
- 7 It is more constrained to have no constraints than to have constraints
- 8 Poverty of the stimulus in phonology
- 9 Conclusions

Of course there is more

- formalizing insertion, deletion and metathesis
- syllable structure
- stress

No surprises here

- The “relation between a phonemic system and the phonetic record ...is remote and complex” (Chomsky, 1964, p. 38, *Current issues in linguistic theory*).

No surprises here

- The “relation between a phonemic system and the phonetic record ...is remote and complex” (Chomsky, 1964, p. 38, *Current issues in linguistic theory*).
- As in syntax, the “essential properties underlie the surface form” (Katz and Bever, 1976, p. 12, *The fall and rise of empiricism*)

No surprises here

- The “relation between a phonemic system and the phonetic record ...is remote and complex” (Chomsky, 1964, p. 38, *Current issues in linguistic theory*).
- As in syntax, the “essential properties underlie the surface form” (Katz and Bever, 1976, p. 12, *The fall and rise of empiricism*)
- Controversies remain, but we have achieved “high quality ignorance” (Stuart Firestein’s olfactory neuroscience talk)

No surprises here

- The “relation between a phonemic system and the phonetic record ...is remote and complex” (Chomsky, 1964, p. 38, *Current issues in linguistic theory*).
- As in syntax, the “essential properties underlie the surface form” (Katz and Bever, 1976, p. 12, *The fall and rise of empiricism*)
- Controversies remain, but we have achieved “high quality ignorance” (**Stuart Firestein’s olfactory neuroscience talk**)
- **Linguistic reasoning applies across modules**

References I

- Anderson, Stephen R. 1974. *The organization of phonology*. New York: Academic Press.
- Benz, Johanna, and Veno Volenec. 2023. Two logical operations underlie all major types of segmental alternations. Presented at Manchester Phonology Meeting 30.
- Chomsky, Noam. 1957. *Syntactic structures*. The Hague: Mouton.
- Chomsky, Noam. 1980. *Rules and representations*. New York: Columbia University Press.
- Chomsky, Noam. 1982. *Some concepts and consequences of the theory of government and binding*. Cambridge, MA: MIT Press.
- Chomsky, Noam. 2000a. Language as a natural object. In *New horizons in the study of language and mind*, 106–133. Cambridge: Cambridge University Press.

References II

- Chomsky, Noam. 2000b. *New horizons in the study of language and mind*. Cambridge, MA, US: Cambridge University Press.
- Chomsky, Noam. 2007. Approaching UG from below. In *Interfaces + recursion = language?: Chomsky's minimalism and the view from syntax-semantics*, ed. Uli Sauerland and Hans-Martin Gärtner, 1–24. Berlin: Mouton de Gruyter.
- Chomsky, Noam. 2009. Opening remarks and conclusion. In *Of minds and language: a dialogue with Noam Chomsky in the Basque country*, ed. Massimo Piattelli-Palmarini, Pello Salaburu, and Juan Uriagereka. Oxford: Oxford University Press.
- Chomsky, Noam. 2015. *What kind of creatures are we?*. New York: Columbia University Press.
- Deal, Amy Rose. 2015. Interaction and satisfaction in φ -agreement. In *Proceedings of NELS*, volume 45, 179–192.

References III

- Fodor, Jerry A. 1983. *The modularity of mind: an essay on faculty psychology*. Cambridge, MA: MIT Press.
- Gallistel, C. Randy, and Adam Philip King. 2009. *Memory and the computational brain: Why cognitive science will transform neuroscience*. Chichester, West Sussex, UK: Wiley-Blackwell.
- Halle, Morris. 1975. Confessio grammatici. *Language* 51:525–535.
- Halle, Morris. 1978. Knowledge unlearned and untaught: What speakers know about the sounds of their language. In *Linguistic theory and psychological reality*, ed. Morris Halle, Joan Bresnan, and George Miller, 294–303. MIT Press.
- Hammarberg, Robert. 1976. The metaphysics of coarticulation. *Journal of Phonetics* 4:353–363.
- Hankamer, Jorge. 1989. *Morphological parsing and the lexicon*, 392–408. Cambridge, MA, USA: MIT Press.

References IV

- Hayward, Dick. 1984. *The arbore language: a first investigation, including a vocabulary*, volume 2. John Benjamins Publishing Company.
- Inkelas, Sharon, and Young-mee Yu Cho. 1993. Inalterability as prespecification. *Language* 69:529–574.
- Keating, Patricia. 1988. Underspecification in phonetics. *Phonology* 5:275–292.
- McCarthy, John. 1988. Feature geometry and dependency: a review. *Phonetica* 45:84–108.
- McCarthy, John J. 2008. The gradual path to cluster simplification. *Phonology* 25:271–319.
- McCarthy, John J. 2011. *Doing optimality theory: Applying theory to data*. John Wiley & Sons.
- Paradis, Carole. 1988. On constraints and repair strategies. *Linguistic Review* 6:71–97.

References V

- Prince, Alan, and Paul Smolensky. 1993. *Optimality Theory: Constraint interaction in generative grammar (technical report)*. New Brunswick, NJ: Rutgers Center for Cognitive Science.
- Sapir, Edward. 1933. *La réalité psychologique des phonèmes*. Presses universitaires de France.
- Tesar, Bruce. 2014. *Output-driven phonology: Theory and learning*. Cambridge, U.K.: Cambridge University Press.
- Tolman, Edward C. 1948. Cognitive maps in rats and men. *Psychological Review* 55:189–208.
- Yip, Moira. 1988. The Obligatory Contour Principle and phonological rules: A loss of identity. *Linguistic Inquiry* 19:65–100.