Why Questions Break the Residual V2 Restriction (in Basque and Beyond)

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Contents

Wh	<i>y</i>	
3.1	The special behavior of why-questions	
3.2	An early acquired and early set pattern	
3.3	Antisuperiority effects	
3.4	Why+focus	
	Long, short, and clausal construals	
3.6	Clausal zergatik	

1 Introduction

Why questions –interrogative sentences that inquiry about reasons, causes, and purposes– are particular in many respects. In this paper I address the main properties of why-questions in Basque and contrast them with the patterns attested cross-linguistically. I show that there are two main construals (constructions with and without V2) and that they are accompanied by different semantic nuances. Adopting Shlonsky & Soare's (2011) richly articulated CP, I propose that in why questions with V2, the interrogative phrase is first-merged in Spec-ReasonP and then moved successive cyclically,

which is accompanied by movement of the verb (T-to-C movement), whereas in non-V2 constructions the interrogative phrase is externally merged in a very high position, where it is frozen, and takes scope over the whole clause.

The paper is organized as follows: Section 2 offers a brief introduction to the syntax of interrogatives (and foci) in Basque. Section 3 then addresses the particular behavior of *why*-questions in this language from a comparative perspective. In Section 4 I present my analysis of the different construals and finally Section 5 closes the chapter with the conclusions.

2 Standard question and focalization strategies

Basque is both a SOV and a 'discourse configurational' language, which means that even if the neutral word order is SOV, alternate word orders are also grammatical, but with a marked information structure. For instance, an informationally neutral statement would have the word order in (1), that is, SOV. An alternative word order such as the SVO of (2), even if grammatical, would be unacceptable as an informationally neutral sentence (this rather corresponds to a focalization over the subject (see below)):

- Jonek ura edan du.
 Jon water drink AUX
 Jon drank water.
- (2) # Jonek edan du ura. Jon drink AUX water Jon drank water.

In a similar vein, subject *wh*-questions cannot maintain the neutral SOV word order (3) and necessarily display adjacency between the interrogative phrase and the verb (4). Otherwise it generates strong ungrammaticality (more so than in Spanish, *cf.* Dold (2018)):

- (3) * Nork ura edan du? who water drink AUX Who drank water?
- (4) Nork edan du ura? who drink AUX water Who drank water?

These patterns are generally analyzed as instances of *wh*-movement followed by the verb, which constitutes a 'residual V2'. 'Residual V2' is defined by Rizzi (1996, 64) as "such construction-specific manifestations of I-to-C movement in a language (like English and the modern Romance languages except Rætho-Romansch) which does not generalize the V2 order to main declarative clauses".

In Basque, this property generalizes to both embedded and matrix interrogative clauses, and just as in (4), in example (5) we observe a leftward position of the interrogative phrase followed by O-V inversion in the embedded clause, and S-V inversion in the matrix clause. Failing to display residual V2 in either embedded (6), matrix (7), or both clauses (8) produces ungrammaticality (see Irurtzun, 2016, for an overview of the syntax of interrogatives):

- (5) Nork esan du Jonek [edan duela ura]? who say AUX Jon drink AUX.C water Who did Jon say that drank water?
- (6) * Nork esan du Jonek [ura edan duela]? who say AUX Jon water drink AUX.C Who did Jon say that drank water?
- (7) * Nork Jonek esan du [edan duela ura]? who Jon say AUX drink AUX.C water Who did Jon say that drank water?
- (8) * Nork Jonek esan du [ura edan duela]? who Jon say AUX water drink AUX.C Who did Jon say that drank water?

Alternatively, the whole embedded clause can be fronted, but again this requires adjacency between the interrogative phrase and the verb in the embedded clause, as well as adjacency between the whole embedded clause and the matrix verb (9). This is known as a 'clausal pied-piping' construction (Ortiz de Urbina (1989), et seq.). Again, failing to render residual V2 in either embedded (10), matrix (11), or both clauses (12) generates ungrammaticality:

- (9) [Nork edan duela ura] esan du Jonek? who drink AUX.C water say AUX Jon Who did Jon say that drank water?
- (10) *[Nork ura edan duela] esan du Jonek? who water drink AUX.C say AUX Jon Who did Jon say that drank water?
- (11) *[Nork edan duela ura] Jonek esan du? who drink AUX.C water Jon say AUX Who did Jon say that drank water?
- (12) *[Nork ura edan duela] Jonek esan du? who water drink AUX.C Jon say AUX Who did Jon say that drank water?

Interestingly focalization displays the very same pattern in Basque. As advanced above, focus on the subject necessarily affects the word order and instead of the neutral SOV, SVO is obtained, with residual V2 and adjacency between the focal phrase and the verb (cf. i.a. De Rijk (1978)):

(13) $[Peiok]_F$ edan du ura. Peio drink AUX water $[Peio]_F$ drank water.

Such a pattern, again, generalizes to embedded clauses and the same restrictions that we saw for interrogatives hold (compare the focalization data in (14-17) with the question data in (5-8):

- (14) [Peiok]_F esan du Jonek [edan duela ura]. Peio say AUX Jon drink AUX.C water Jon said that [Peio]_F drank water.
- (15) * [Peiok]_F esan du Jonek [ura edan duela]. Peio say AUX Jon water drink AUX.C Jon said that [Peio]_F drank water.
- (16) * [Peiok]_F Jonek esan du [edan duela ura].
 Peio Jon say AUX drink AUX.C water
 Jon said that [Peio]_F drank water.
- (17) * [Peiok]_F Jonek esan du [ura edan duela].
 Peio Jon say AUX water drink AUX.C
 Jon said that [Peio]_F drank water.

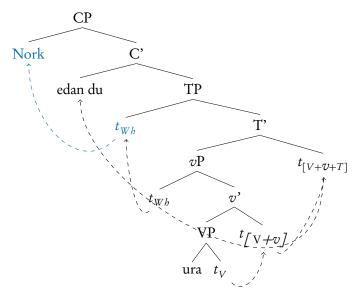
The clausal pied-piping construction also exists for focalizations, with the same restrictions with respect to the necessity of residual V2 (compare (126-21) with (9-12)):

- (18) $[[Peiok]_F]$ edan duela ura] esan du Jonek. Peio drink AUX.C water say AUX Jon Jon said that $[Peio]_F$ drank water.
- (19) $*[Peiok]_F$ ura edan duela] esan du Jonek. Peio water drink AUX.C say AUX Jon Jon said that $[Peio]_F$ drank water.
- (20) $*[Peiok]_F$ edan duela ura] Jonek esan du. Peio drink AUX.C water Jon say AUX Jon said that $[Peio]_F$ drank water.
- (21) $*[Peiok]_F$ ura edan duela] Jonek esan du. Peio water drink AUX.C Jon say AUX Jon said that $[Peio]_F$ drank water.

The standard analysis of wh-questions in Basque posits wh-movement to Spec-CP, which is then followed by T-to-C movement to check the Q-feature in a Spec-Head configuration –giving rise to the residual V2 configuration (see Ortiz de Urbina (1989) et seq.). Thus, sentence (22a) with a wh-question on the subject receives the analysis in (22b):

(22) a. Nork edan du ura? who drink AUX water Who drank water?

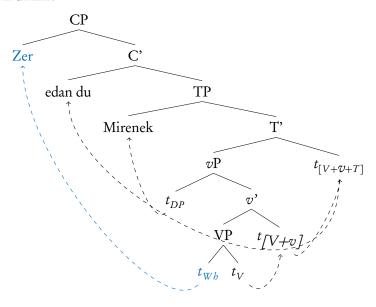
b.



Likewise, an interrogative on the direct object as in (23a), showing V-S inversion would have the structure in (23b):

(23) a. Zer edan du Mirenek? what drink AUX Miren What did Miren drink?

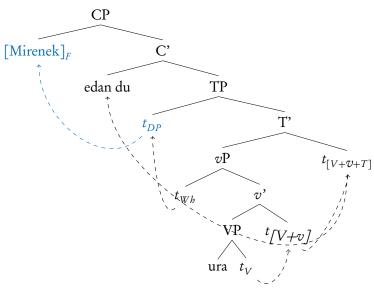
b.



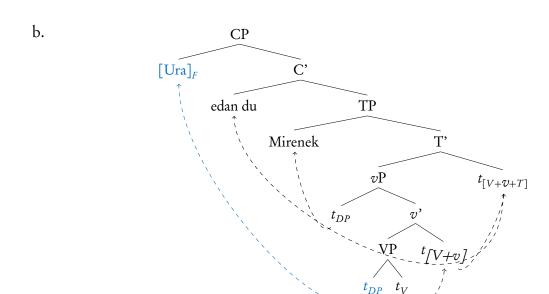
As the patterns for subject (24a) and object (25a) focalizations are analogous to those of *wh*-questions, their corresponding structures are also parallel (compare (24b) and (25b) with (22b) and (23b)):

(24) a. $[Mirenek]_F$ edan du ura. Miren drink AUX water $[Miren]_F$ drank water.

b.



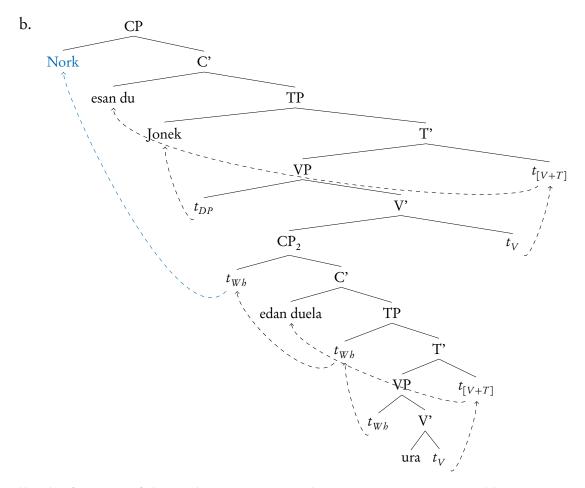
(25) a. $[Ura]_F$ edan du Mirenek. water drink AUX Miren Miren drank $[water]_F$.



As I advanced, extraction out of embedded clauses takes place the same way successive cyclically, with the consequence that the residual V2 configuration is repeated in both clauses. Therefore, departing from the basic statement in (26), question (27a) on the subject of the embedded clause displays O-V inversion in the embedded clause as well as S-V inversion in the matrix clause, which derives from the structure depicted in (27b): the interrogative phrase undergoes movement to the specifier of the embedded CP first, followed by T-to-C movement of the embedded verb (which renders O-V inversion), and then it is extracted to the specifier of the matrix CP, which triggers again T-to-C movement of the matrix verb, resulting in S-V inversion:

- (26) Jonek [Peiok ura edan duela] esan du. Jon Peio water drink AUX.C say AUX Jon said that Peio drank water.
- (27) a. Nork esan du Jonek edan duela ura? who say AUX Jon drink AUX.C water Who did Jon say that drank water?

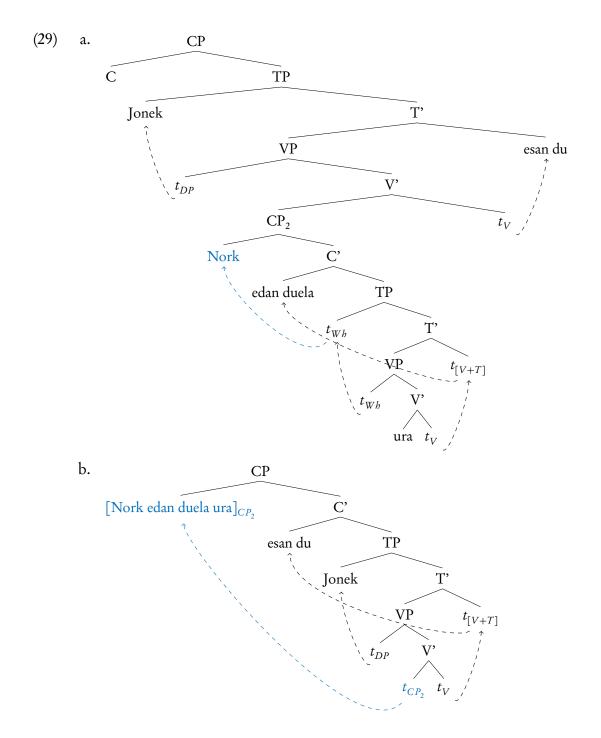
 $^{^{1}}$ Again, the pattern for long-distance focalizations is the same. In the interest of space, I omit such examples and tree-structures.



Finally, the first step of the pied-piping strategy that we saw in (9) –repeated here as (28)– is identical to that of the long-distance extraction: the *wh*-phrase is extracted to Spec-CP of the embedded clause, triggering movement of the verb (29a). However, the second step is different since then the whole embedded clause is extracted to the specifier of the matrix CP, which is followed by movement of the matrix verb to C (rendering the residual V2 effect), (29b):²

(28) [Nork edan duela ura] esan du Jonek? who drink AUX.C water say AUX Jon Who did Jon say that drank water?

 $^{^{2}}$ Here again I omit the examples and tree-structures for focalizations, as the displacements and syntactic configurations of each construal are identical for *wh*-constructions and focalizations.



Additional evidence in support of this analysis comes from the fact that extraction out of islands such as coordinate structures (30), adjuncts (31), left branches (32), and complex NPs (33) is fully deviant (again, the pattern is the same for both wh-constructions and focalizations):

- (30) a. Jonek [salda eta legatza] nahi ditu.
 Jon stock and hake want AUX
 Jon wants stock and hake.
 - b. * Zer nahi ditu Jonek [salda eta t]? what want AUX Jon stock and Lit. What does Jon want stock and?
 - c. * Zer nahi ditu Jonek [t eta legatza]? what want AUX Jon and hake Lit. What does Jon want and hake?
- (31) a. Jon [abestia entzun duelako] poztu da. Jon song hear AUX.because get.happy AUX Jon got happy because he heard the song.
 - b. * Zer poztu da Jon [t entzun duelako]? what get.happy AUX Jon hear AUX.because *Lit*. What did Jon got happy because he heard?
 - c. *[Abestia]_F poztu da Jon [t entzun duelako]. song.ART get.happy AUX Jon hear AUX.because Lit. Jon got happy because he heard [the song]_F.
- (32) a. Mirenek [Jonen liburua] irakurri du.
 Miren Jon.GEN book read AUX
 Miren read Jon's book.
 - b. * Noren irakurri du Mirenek [t liburua]? whose read AUX Miren book Whose book did Miren read?
 - c. *[Jonen]_F irakurri du Mirenek [t liburua].

 Jon.GEN read AUX Miren book

 Miren read [Jon's]_F book.
- (33) a. [Jonek liburu bat idatzi duelako zurrumurrua] entzun duzu. Jon book one write AUX.C.P rumour hear AUX You heard the rumour that Jon wrote a book.
 - b. * Zer entzun duzu [Jonek *t* idatzi duelako zurrumurrua]? what hear AUX Jon write AUX.C.P rumour *Lit.* What did you hear the rumour that Jon wrote?
 - c. *[Liburu bat]_F entzun duzu [Jonek t idatzi duelako zurrumurrua] book one hear AUX Jon write AUX.C.P rumour You heard the rumour that Jon wrote [a book]_F.

Summarizing, the main characteristics of *wh*-questions and focalizations are the following ones:

- Movement of the focus/Wh-phrase to Spec-CP.
- T-to-C movement.
- Focus/Wh-movement is cyclic.
- The usual restrictions on extraction (islands) apply.

Now, since the syntax of foci and wh-questions is uniform, constructions combining a wh-question and a focalization are ungrammatical. This is illustrated by the ungrammaticality of both Wh»Foc (35) and Foc»Wh (36) with respect to the grammaticality of the simpler wh-question in (34):

- (34) Nork edan du ura? who drink AUX water Who drank water?
- (35) * Nork [ura]_F edan du? who drink AUX Who drank [water]_F?
- (36) * [Ura]_F nork edan du? who drink AUX Who drank [water]_F?

From the Principles and Parameters model, such pattern has been analyzed as a clash deriving from two elements (the *wh*-phrase and the focal phrase) targeting the same position:

Nork/[ura]_F C' t_{Wb} vP $t_{[V+v+T]}$ t_{DP} t_{V}

In the next section I will analyze the special behavior of Basque why-questions from a cross-linguistic perspective. I will argue that in many languages why-questions behave in particular ways with respect to other argument and adjunct questions and, furthermore, that often why-questions show a special syntax in patterned ways. In particular, I will show that when fronted they tend to not require the otherwise general adjacency to the verb (hence, no V2) and that they are compatible with foci. I will propose that such patterns derive from a very high merger of the causal interrogative.

3 Why

A longstanding observation is that not all *wh*-phrases necessarily require adjacency to the verb. In particular, researchers such as Mitxelena (1981); Uriagereka (1999) or Etxepare & Ortiz de Urbina (2003) observed that "the operator-verb adjacency is occasionally absent, especially with *zergatik* "why" and other causal *wh*-words" (Etxepare & Ortiz de Urbina, 2003, 465). For instance (38b) is (indeed) grammatical, alongside the general –residual V2– construction of (38a):

- (38) a. Zergatik hil zuen zaldunak herensugea? why kill AUX knight dragon Why did the knight kill the dragon?
 - b. Zergatik zaldunak herensugea hil zuen? why knight dragon kill AUX Why did the knight kill the dragon?

That is, in contrast to (38a), in (38b) we do not observe O-V inversion, but contrary to what we saw in examples such as (3) and the following, the result is grammatical with a why-question.

There are some small dialectal differences with respect to the morphology of the interrogative element employed for *why*-questions: Southern dialects employ *zergatik* which is composed of the interrogative item *zer* 'what' + a motivative postposition, producing a *wh*-item with the value of 'why'. This element can be reinforced in some varieties with the addition of an inessive marker -n, producing *zergatikan* with no apparent change in meaning. In Northern dialects the interrogative item corresponding to *why* is composed of *zer* 'what' + the possessive -*ren* + the destinative postposition -*dako*: *ze(re)ndako* = 'why/for what purpose'.

In Northern dialects (which are the dialects that have *wh-in-situ* alongside *wh*-movement (*cf.* Duguine & Irurtzun, 2014)) lack of V2 in *why*-questions is more widely available than in Southern dialects. Thus, it is not uncommon to find in Northern Basque examples such as (39) or (40):³

³Example (39) extracted from Jean Etxepare's *Buruxkak*; example (40) from a tweet by user @lamiscarreb.

- (39) Zendako itxurari ez darraiko bethi izana? why appearance.DAT NEG follow always being Why doesn't always come the being after the appearance?
- (40) Zendako nere aintzinian jarri zira? why me.POSS front.INESS sit AUX Why did you sit down in front of me?

3.1 The special behavior of why-questions

The particular behavior of why-questions is by no means an idiosyncratic property of Basque.⁴ For instance, a classical observation on the literature in French syntax is that French has both wh in situ and wh-movement questions and while all wh-phrases tend to behave similarly in both constructions, pourquoi 'why' escapes this general pattern (Kayne, 1972; de Cornulier, 1974; Kayne & Pollock, 1978; Rizzi, 1990; Hamann, 2000). To begin with, the basic word order in French being SVO, in the wh in situ strategy wh-phrases tend to occupy the same position as the phrase they substitute. See for example the case of a direct object in (41):

(41) a. Tu veux [un vin]. you want one wine You want a wine.

[French]

b. Tu veux quoi?
you want what
What do you want?

The same happens with adjuncts such as $o\hat{u}$ 'where':

(42) a. Tu vas [au restaurant].
you go to.ART restaurant
You are going to the restaurant.

[French]

b. Tu vas où?
you go where
Where are you going?

However, *pourquoi* cannot appear in the same position as its corresponding phrases (43b); it must obligatorily appear in the left periphery (43c):

- (43) a. Tu verses de l'eau [parce que tu as soif] [French] you pour PART ART.water because you have thirst You pour water because you are thirsty.
 - b. *Tu verses de l'eau pourquoi? you pour PART ART.water why Why do you pour water?

⁴See the contributions in this volume, and Buell's, and Bonan and Shlonsky's in particular.

c. Pourquoi tu verses de l'eau? why you pour PART ART.water Why do you pour water?

In wh-movement questions the general SVO word order is altered with S-V inversion, ending up in a residual V2 configuration, both in argument (44a) and adjunct questions (44b-44c):

- (44) a. Que fait Pierre? [French] what does Pierre
 What is Pierre doing?
 - b. Comment votera Pierre?
 how vote.FUT Pierre
 How will Pierre vote?
 - c. Quand votera Pierre? when vote.FUT Pierre When will Pierre vote?

However, in questions with *pourquoi*, subject-verb inversion is deviant (45a), and a *pourquoi*-subject-verb order is grammatical (45b).⁵

- (45) a. */ Pourquoi votera Pierre? [French]
 why vote.FUT Pierre
 Why will Pierre vote?
 - b. Pourquoi Pierre votera?
 why Pierre vote.FUT
 Why will Pierre vote?

Last, note that this only affects why-question pourquoi since a question on a segmentally homophonous adjunct such as pour quoi 'for what' (seeking an answer such as 'For the abolition of the law') is ungrammatical if it does not involve subject-verb inversion (46a-46b):

- (46) a. Pour quoi votera Pierre? [French]
 for what vote.FUT Pierre
 What will Pierre vote for?
 - b. * Pour quoi Pierre votera?
 for what Pierre vote.FUT
 What will Pierre vote for?

The general pattern in Spanish wh-questions (an SVO language too) is that wh-questions involve wh-movement followed by movement of the verb (and hence, residual V2):

⁵Speakers tend to prefer a variant of (45b) with a clitic attached to the verb (*Pourquoi Pierre votera-t-il?*) but since this is optional I kept the bare (45b) for a better comparison with (45a) and (46a).

(47) a. Qué querían esos dos? what wanted those two What did those two want?

[Spanish]

b. * Qué esos dos querían? what those two wanted What did those two want?

But questions with *por qué* 'why' can optionally avoid the V2 pattern, and alongside the regular V2 patterns of (48a) and (49a), non-V2 constructions are also grammatical with *por qué* (47b), (48b) (Torrego, 1984; Uriagereka, 1988; Kaiser et al., 2019):⁶

- (48) a. Por qué quiere Juan salir antes que los demas? why wants Juan go.out before than the rest Why does John want to leave before the rest?
- [Spanish]
- b. Por qué Juan quiere salir antes que los demas? why Juan wants go.out before than the rest Why does John want to leave before the rest?
- (49) a. Por qué ha dicho Pedro que Juan quiere a María? why AUX say Pedro that Juan loves María Why did Pedro say that Juan loves María?

[Spanish]

b. Por qué Pedro ha dicho que Juan quiere a María? why Pedro AUX say that Juan loves María Why did Pedro say that Juan loves María?

Something similar happens in Italian. In general, *wh*-movement has to be accompanied by V2. Hence (50a) with no S-V inversion (no residual V2) is ungrammatical whereas (50b) with adjacency between the *wh*-phrase and the verb is perfectly grammatical:

(50) a. * Che cosa Maria ha detto? what thing Maria AUX said What did Maria say?

[Italian]

b. Che cosa ha detto Maria? what thing AUX said Maria What did Maria say?

The same pattern is observed with adjunct wh-phrases such as *dove* 'where' (51a) and *come* 'how' (51b), which require a residual V2 construal. However, this is not the case with *perché* 'why', which appears in a clause initial position as in (51c), not triggering movement of the verb (Rizzi, 1996, 2001):

(51) a. Dove è andato Gianni? where AUX went Gianni Where did Gianni go?

[Italian]

⁶In Spanish orthography it is customary to write clause-initial inverted question marks. However, in order to avoid confusion with acceptability judgements such question marks were omitted here.

- b. Come è partito Gianni? how AUX left Gianni How did Gianni leave?
- c. Perché Gianni è venuto? why Gianni AUX came Why did Gianni come?

In New Testament Greek argument questions on direct objects ti require wh-movement and residual V2 (52a), but why-questions with dia ti do not, and are perfectly grammatical with a Wh subj word order (52b-52c) (Kirk, 2012).

- (52) a. è: tí dó:sei ánt^hro:pos antállagma tê:s psukhê:s autoû? [NTG] or what give man price the soul his Or what price will a man give for his soul?
 - b. Dià tí toûto tò múron ouk epráthe:? why this the ointment NEG sell Why was this ointment not sold?
 - c. Dià tí hoi methe:taí sou parabaínousin tè:n parádosin tô:n presbutéro:n? why the disciple your transgress the teaching the elder Why do your disciples transgress the teaching of the elders?

Likewise, in Romanian wh-phrases tend to require residual V2 construals, as shown with the pair in (53) (Shlonsky & Soare, 2011):

- (53) a. Cui i-a cumpărat Ion un CD? [Romanian] who.DAT him-has bought Ion a CD For whom did Ion buy a CD?
 - b. * Cui Ion i-a cumpărat un CD? who.DAT Ion him-has bought a CD For whom did Ion buy a CD?

However, such a restriction does not hold for *de ce* 'why', which allows both V2 (54a) and non-V2 (54b) construals:

- (54) a. De ce a cumpărat Ion un CD pentru el? [Romanian] why has bought Ion a CD for him Why did Ion buy him a CD?
 - b. De ce Ion a cumpărat un CD pentru el? why Ion has bought a CD for him Why did Ion buy him a CD?

In Syrian Arabic the basic VSO word order is changed into Wh-V-S in *wh*-questions in general, as represented in (55), from Sulaiman (2017):

(55) a. shw ħaka basem? [Syrian Arabic] what said.3SG.M Basel
What did Bassel say?

b. *shw basem ħaka? what Bassel said.3SG.M What did Bassel say?

However, the Wh-V adjacency becomes optional in questions with *lesh* 'why'. Compare the grammaticality of (56b) with the deviance of (55b):⁷

(56) a. lesh tdday?-et mary? why upset-3SG.F Mary Why did Mary get upset?

[Syrian Arabic]

b. lesh mary tdday?-et? why Mary upset-3SG.F Why did Mary get upset?

The pattern of Singapore English *wh*-questions is a bit different. In this language, *wh*-phrases show optional fronting and may be optionally accompanied by a question particle *ah* in either sentence-final or second position (Yeo, 2010):

(57) a. You buy what áh? you buy what Q
What did you buy?

[Singapore English]

- b. What you buy áh? what you buy Q
 What did you buy?
- c. What áh, you buy? what Q you buy? What did you buy?

But *why*-questions show the particularity that *why* has to be obligatorily in the clause-initial position, otherwise, the sentence is clearly deviant (58c):⁸

(58) a. Why John like Mary ah? why John like Mary Q
Why does John like Mary?

[Singapore English]

- b. Why ah John like Mary?why Q John like MaryWhy does John like Mary?
- c. * John like Mary why ah?John like Mary why QWhy does John like Mary?

Similarly, in Persian in general, wh-phrases can remain in situ (59a), or move to the focus position (59b-59c) (Kahnemuyipour, 2001; Karimi, 2005):

⁷Actually, the translation provided for V2 (56a) and non-V2 (56b) in Sulaiman (2017, 328) is 'What did upset Mary?' but from the discussion and the context it is clear that it is a *why*-question.

⁸how also behaves similarly in this language.

- (59) a. Kimea diruz ketâb-ro be ki dâd? [Persian]
 Kimea yesterday book-râ to who gave
 Who did Kimea give the book to yesterday?
 - b. Kimea be ki diruz ketâb-ro dâd? Kimea to who yesterday book-râ gave Who was it that Kimea gave the book to yesterday?
 - c. Be ki Kimea diruz ketâb-ro dâd? to who Kimea yesterday book-râ gave Who was it that Kimea gave the book to yesterday?

The only exception to this general pattern is *cherâ* 'why', which obligatorily surfaces in the left periphery (even in an echo-reading) (60b-60c):

- (60) a. Ali bâ Maryam ezdevâj kard chon dust-esh dâsht. [Persian]
 Ali with Maryam marry AUX because friend-her AUX
 Ali married Maryam because he loved her.
 - b. * Ali bâ Maryam ezdevâj kard cherâ? Ali with Maryam marry AUX why Why did Ali marry Maryam?
 - c. Ali cherâ bâ Maryam ezdevâj kard? Ali why with Maryam marry AUX Why did Ali marry Maryam?

In Krachi wh-phrases can appear both in situ (61a) as well as in the left peripheric focus position (61b) (Kandybowicz & Torrence, 2012):

- (61) a. ot ſiw ε-mò bwatéo momo? [Krachi] woman AGR-kill.PST chicken which Which chicken did the woman slaughter?
 - b. Bwatéo momo jí otſíw ε-mò?
 chicken which FOC woman AGR-kill.PST
 Which chicken did the woman slaughter?

This is general across all wh-phrases (nse 'who', ne 'what', nfré 'where', kemeké 'when', nene 'how'...), with the exception of nání 'why', which unlike the rest, cannot surface in the clause-internal position (62a) and has to be necessarily fronted (62b):

- (62) a. * ɔtʃíw ε-mò bwatéo nání? [Krachi] woman AGR-kill.PST chicken why
 Why did the woman slaughter the chicken?
 - Nání jí ot∫íw ε-mò bwatéo?
 why FOC woman AGR-kill.PST chicken
 Why did the woman slaughter the chicken?

In Irish a different externalization pattern suggests a similar underlying structure: in this language, there are two different overt complementizers, aN -which is used when Spec-CP is occupied directly by external merge-, and aL -which is used when Spec-CP is filled *via* internal merge, *i.e.* movement (McCloskey, 2002, 2003). Thus, for instance, when the clause hosts A'-binding of a moved element, it is headed by aL (63a), but when it hosts A'-binding of a resumptive pronoun, it is headed by aN (63b):

- (63) a. an ghirseach a ghoid na síogaí [Irish] the girl aL stole the fairies the girl that the fairies stole away.
 - b. an ghirseach a-r ghoid na síogaí í the girl *aN*-past stole the fairies her the girl that the fairies stole away.

Interestingly for our discussion, why-questions behave differently with respect to the other wh-questions. Whereas argument and adjunct wh-questions in general surface with the aL complementizer (64a), why-questions necessarily surface with aN (65):

- (64) a. Cá fhad a bhí tú ann? [Irish] how long aL be.past you there How long were you there?
 - b. * Cá fhad a raibh tú ann? how long aN be.past you there How long were you there?
- (65) a. Cad chuige a ndeachaigh tú ann? [Irish] why aN went you there Why did you go there?
 - b. * Cad chuige a chuaigh tú ann? why aL went you there Why did you go there?

This pattern extends beyond the simple 'why' cad chuige to more complex reason questions composed with cén fáth 'what reason':

- (66) Cén fáth a-r dúirt tú sin? [Irish] what reason aN-[PAST] say you that Why did you say that?
- (67) * Cén fáth a dúirt tú sin? what reason aL say you that Why did you say that?

the *wh*-word, and cannot be separated from it (contrary to what happens with other *wh*-elements). Thus the ungrammaticality of (68a) and (68b):⁹

- (68) a. *Ranjit [Chitra æi də aawe kiy] dannəwa. [Sinhala]
 Ranjit Chitra why Q came.E that know.A
 Ranjit knows why Chitra came.
 - b. *Ranjit [Chitra mokə aawa də] kiyəla] dannəwa. Ranjit Chitra why came.A Q that know.A Ranjit knows why Chitra came.

All in all, the broad picture that we obtain from this quick cross-linguistic comparison is that across languages of different families and types *why*-questions display different patterns with respect to the rest of *wh*-questions. In particular, the *wh*-phrase corresponding to *why* tends to be in a higher position and (as a consequence) it tends not to require movement of the verb in the languages that generally have it (residual V2) and/or not to display the characteristic properties of *wh*-movement.^{10,11}

3.2 An early acquired and early set pattern

The relative difference between *why*-questions and other *wh*-questions with respect to the requirement (or not) of residual V2 is an early acquired pattern. For instance, Barreña (1995) reports the following data on the acquisition of Basque:

- (69) a. Hau nok ipini dau? (2;04;24) this who put AUX
 Who put this?
 - b. Nok apurtu dau holakue? (2;04;24) who break AUX like.this
 Who broke the one like this one?
 - c. Nun daoz nire egurrek? (2;06;05) where are my woods Where are my woods?

As can be seen, by the age of 2;04 subject questions (69a-69b) as well as locative adjunct questions (69c) trigger movement of the verb attracting it to be right-adjacent

⁹Remarkably, these *wh*-adjuncts are restricted to have short-distance scope.

¹⁰In Khmer too, "The behavior of *mec* "how, why" is comparable to that of the similarly homophonous words in Chinese (Li & Thompson, 1980, 523–4) and other languages. When the word means "how", it appears wherever manner adverbs are found, but when it means "why" it typically occurs clause initially. (Haiman, 2011, 234). Likewise with Dhao *ngaa tao* 'why', which "[u]nlike other interrogatives, *ngaa tao* never occurs in clause final position." (Balukh, 2020, 133).

¹¹See also the behavior of Zulu *ngani* 'why' which surfaces postverbally as other *wh*-phrases, but requires its preceding verb to be appear in disjoint or neutral form, whereas all other *wh*-phrases require a preceding verb in conjoint or neutral form. Buell (2011) builds on this evidence to propose that even if it surfaces postverbally, *ngani* is in the CP area (an Int° head). This contrasts with the rest of *wh*-phrases, which appear inside the VP.

to the wh-phrase. This contrasts sharply with the patterns of why-questions, which even at much later ages do not necessarily show V2 effects:

- (70) a. Zeatik honek jo ein bi dau trena? (2;08;13) why this hit do have AUX train
 Why does this one have to hit the train?
 - b. Zegatik Arantzan zalduna bota dozu? (3;01;12) why Arantza.POSS knight throw AUX Why did you throw Arantza's knight?
 - c. Zegatik azeriek untxie jaten dabie? (3;09;11) why foxes rabbit eat AUX Why do foxes eat rabbit?

Similar differential patterns in acquisition have also been found in other languages such as English (Labov & Labov, 1978; Stromswold, 1990; Berk, 2003; Thornton, 2004, 2008; Conroy & Lidz, 2007), French (Hamann, 2000, 2006), or Korean (Ko, 2006) and Japanese (Ikeda et al., 2019) among others.

Besides, so far I have been mentioning contemporary Basque data, but absence of V2 in *why*-questions is attested across all the history of Basque literature. In Ancient and (Post-)Classical Basque *wh*-movement was only optionally accompanied by V2 (Ortiz de Urbina, 1989; Aldai, 2011; Duguine & Irurtzun, 2014), but by far the *wh*-phrase that appears the less often left-adjacent to the verb (*i.e.* in a V2 construction) is *zergatik*.¹² Observe the examples below from 16th century Lazarraga (71a) and Leizarraga (71b); or the 17th century Axular (71c), Haranburu (71d), or Belapeyre (71e):

- (71) a. Cegaiti lauoi bardin on erechi ez derausteçu euren amoreetan? why four.DAT equally well consider NEG cause their loves.in Why didn't you make the four of them love each other?
 - b. Cergatic haur hunela blasphemio erraiten ari da? why this thus blasphemy saying PROG AUX Why is this one saying blasphemies like that?
 - c. Cergatic bada gorputceco eritasunagatic eguiten duçuna, eztuçu why then body.from sickness.for do AUX.REL.ART NEG.AUX arimacoagatic eguinen? soul.for do.FUT

 Why then won't you do for the sickness of the soul, that that you do for the sickness of the body?

¹²For instance, studying a sample from the New Testament translations by Leizarraga (1571), Haraneder (c. 1740) and Etcheandy (1999) (the Gospels of Matthew and John), Aldai (2011) finds 14 examples of wb-V non-adjacency vs. 7 examples of adjacency with zergatik, but only 1 example of non-adjacency with zer vs. 15 examples of adjacency, or no examples of non-adjacency vs. 5 examples of adjacency with non 'where'.

- d. Cergatic ni neror bakharric vtzten nauçu? why me me.contrast alone leave AUX Me, why do you leave me alone?
- e. Cergatic egun oroz goiçan, egüerditau, eta arraxen hirourna why day all morning.at noon.at and afternoon.at three.each cegnu khaldi emaiten dira eliçan? sign hit give AUX church.at Why are everyday, in the morning, by noon and in the afternoon three signs given at the church?

3.3 Antisuperiority effects

A remarkable observation made in the literature on why-questions is that they may be subject to 'antisuperiority effects' in multiple wh-constructions. Such is, for instance, the case of Japanese (cf. i.a. Hornstein, 1995; Takita & Yang, 2014). In Japanese, as is well known, wh-phrases do not need to front and can remain in situ, which renders SOV word order (72a). However, Japanese has scrambling operations that can produce alternative word orders such as OSV, where the object is scrambled over the subject (72b). Both sentences are perfectly grammatical as there is no superiority effect:

(72) a. Dare-ga nani-o katta no? who-NOM what-ACC bought Q
Who bought what?

[Japanese]

b. Nani-o_i dare-ga t_i katta no? what-ACC who-NOM bought Q
Who bought what?

However, in multiple *wh*-constructions involving *naze* 'why' sentences such as (73a) –where *nani* 'what' precedes *naze* 'why' – are perfectly grammatical, but sentences such as (73b) –where *naze* precedes *nani* – are ungrammatical. Such a restriction is known as an 'antisuperiority effect':

(73) a. Taroo-ga nani-o naze katta no?
Taroo-NOM what-ACC why bought Q
What did Taroo buy why?

[Japanese]

[Korean]

b. * Taroo-ga naze nani-o katta no?
Taroo-NOM why what-ACC bought Q
What did Taroo buy why?

Korean displays a similar behavior: the word order *why»what* is ungrammatical (74a), whereas *what»why* is perfectly grammatical (74b) (Jeong, 2003):

(74) a. * Wae mwues-ul ne-nun sa-ess-ni?
why what-ACC you-TOP buy-PAST-Q
Why did you buy what?

b. Mwues-ul wae ne-nun sa-ess-ni? what-ACC why you-TOP buy-PAST-Q Why did you buy what?

Tibetan is also a language with scrambling operations whereby wh-phrases can surface in different positions. For instance, garebyadnas 'why' can either precede (75a) or follow (75b) the direct object:

- (75) a. Bkrashis-lags-gi gyag garebyadnas gzigs-gnang-pa-red? [Tibetan]
 Tashi-HON-ERG yak why buy-HON-PAST-AGR
 Why did Tashi buy a yak?
 - b. Bkrashis-lags-gi garebyadnas gyag gzigs-gnang-pa-red? Tashi-HON-ERG why yak buy-HON-PAST-AGR Why did Tashi buy a yak?

But if we substitute the direct object with interrogative gagi 'which/what', the antisuperiority effect arises and while the order what»why is grammatical (76a), the order why»what is not (76b) (Richards, 1997):

- (76) a. Bkrashis-lags-gi gagi garebyadnas gzigs-gnang-pa-red? [Tibetan]
 Tashi-HON-ERG which why buy-HON-PAST-AGR
 Why did Tashi buy what?
 - b. *Bkrashis-lags-gi garebyadnas gagi gzigs-gnang-pa-red? Tashi-HON-ERG why which buy-HON-PAST-AGR Why did Tashi buy what?

The same pattern can be found in Hungarian, where in multiple *wh*-questions *ki* 'who' must precede *miért* 'why' (Kiss, 2002):

(77) a. Ki miért hazudott? who why lied Who lied why?

[Hungarian]

b. * Miért ki hazudott? why who lied Who lied why?

In Romanian too, *de ce* 'why' may co-occur with other *wh*-phrases in multiple *wh*-questions, but always following them, as shown in (78) and (79) (Shlonsky & Soare, 2011):

(78) a. Cine de ce a plecat? who why has left
Who left and why?

[Romanian]

b. * De ce cine a plecat? why who has left Who left and why?

- (79) a. Pe cine de ce ai întrebat despre accident? [Romanian]

 ACC who why have asked about accident

 Who did you ask about the accident and why?
 - b. * De ce pe cine ai întrebat despre accident? why ACC who have asked about accident Who did you ask about the accident and why?

In Basque, we find an analogous behavior: the word order in (80a), where zer 'what' precedes zergatik 'why' is grammatical, but the reverse word order, illustrated in (80b) is deviant:

- (80) a. Zer erosi duzu zergatik? what buy AUX why Why did you buy what?
 - b. Zergatik erosi duzu zer?
 why buy AUX what
 Why did you buy what?

Takita & Yang (2014) provide an analysis of Japanese antisuperiority facts that treat naze as a "defective" element and where the antisuperiority effect is an illicit case of feature valuation of C, which is induced by the defective feature specification of naze. Extending their analysis to the Basque data is a nontrivial task, since both languages differ in the interrogative strategy employed (wh in situ in Japanese; wh-movement in Basque) and in the availability of 'free' scrambling. I would like to conjecture that the deviance of constructions such as (80b) may be rather due to discursive reasons: first, note that they improve in embedded contexts. Thus, both (81a), with the order What»Why, and (81b), with Why»What, are perfectly grammatical:

- (81) a. Esadazu [zer erosi duzun zergatik]. tell.me what buy AUX.C why *Lit.* Tell me what you bought why.
 - b. Esadazu [zergatik erosi duzun zer]. tell.me why buy AUX.C what *Lit.* Tell me why you bought what.

But furthermore, multiple wh-constructions seem to be subject to the D-linking requirement of the leftmost wh-phrase (Bolinger, 1978), which is generally harder to satisfy for why-questions. In order to show the D-linking requirement of the leftmost wh-phrase, Bolinger (1978) provides the following paradigm:

- (82) It's nice to have all those times scheduled, but when are you doing what? (#...but what are you doing when?)
- (83) It's nice to have all those activities ahead of you, but what are you doing when? (#...but when are you doing what?)

That is, when the set that the *wh*-phrase stands for is discursively given, it can be fronted to the leftmost position. Extending this analysis to the Basque data, we can observe that D-linking the *zergatik*-phrase ameliorates substantially the acceptability of *zergatik*»zer patterns (compare example (84) with (80b)):

(84) Gauza horiek guztiak erosteko arrazoi asko eman dituzu, baina zergatik things those all buy.for reason many give AUX but why erosi duzu zer?
buy AUX what
You gave many reasons for buying all those things, but why did you buy what?

So, all in all, there may not be an absolute 'antisuperiority' restriction on multiple *wh*-constructions with *zergatik*, and the pattern observed in Basque and in other languages may be due to discursive factors.

3.4 Why+focus

We saw in Section 2 that in Basque wh-questions and focalizations are in general incompatible with each other. This is not a particularity of Basque, but one that has been observed language after language. In Italian, for instance, there is no possible combination of a focalization with a wh-question such as a chi 'to whom', and both Wh»Foc and Foc»Wh word orders are ungrammatical (Rizzi, 2001):

- (85) a. * A chi [questo]_F hanno detto? [Italian] to whom this AUX said

 To whom did they say [this]_F?
 - b. *[Questo]_F a chi hanno detto? this to whom AUX said To whom did they say [this]_E?

There is a caveat though, since *why*-questions tend to allow for focalizations, as Rizzi (2001) observes. However, in these combinations *perchè* 'why' must precede the focal phrase:

- (86) a. Perchè [questo]_F avremmo dovuto dirgli? [Italian] why this AUX should say.CL Why should we have said [this]_F to him?
 - b. *[Questo]_F perchè avremmo dovuto dirgli? this why AUX should say.CL Why should we have said [this]_F to him?

This is in line with what other researchers have observed in other languages. As Partee (1991, 171) puts it, "WHY-questions are focus-sensitive in a way that other WH-questions are not". But interestingly, language after language the same patterns of combination seem to emerge. In Romanian for instance, wh-questions in general cannot be combined with focalizations in any order (Shlonsky & Soare, 2011):

- (87) a. * Cui $[un CD]_F$ i-ai cumpărat? [Romanian] who.DAT a CD him-AUX bought To whom is it a CD that you bought?
 - b. *[Un CD]_F cui i-ai cumpărat? a CD who.DAT him-AUX bought To whom is it a CD that you bought?

However, *de ce* 'why' can be combined with focalized constituents, but only with the *Why»Foc* order:

- (88) a. De ce $[un CD]_F$ ai cumpărat pentru el? [Romanian] why a CD AUX bought for him Why is it a CD that you bought for him?
 - b. $*[Un CD]_F$ de ce ai cumpărat pentru el? a CD why AUX bought for him Why is it a CD that you bought for him?

A similar pattern is also observed in Japanese. Endo (2015) provides the following paradigm combining a *wh*-question and a focalization with *dake* 'only':

- (89) a. Nan-de [John-dake]_F naiteiru no? [Japanese] why John-only crying Q
 Why is only John crying?
 - b. "[John-dake]_F nan-de naiteiru no? John-only why crying Q Why is only John crying?

As can be seen, the order *Why»Foc* is grammatical (89a), whereas the order *Foc» Why* is deviant (89b).¹³

A similar pattern can be observed in Hungarian, which is known for having a designated preverbal focus position, like in Basque (Kiss, 2002; Horvath, 2013). In this language, both *wh*-movement and focus movement are taken to target the same position (the immediately preverbal one) and hence, their combination produces ungrammaticality (in any of the logically possible orders):

- (90) a. * [Pétert]_F kinek mutattad be? [Hungarian]

 Péter.ACC who.DAT showed.2SG PRT

 To whom did you introduce [Péter]_F?
 - b. *Kinek [Pétert]_F mutattad be? who.DAT Péter.ACC showed.2SG PRT To whom did you introduce [Péter]_F?

However, *wh*-questions with *miért* 'why' can be naturally combined with focalizations, provided they have the *Why»Foc* word order:

¹³See, however, Miyagawa (2017) for discussion on the strength of this evidence.

- (91) a. Miért [Pétert]_F mutattad be Marinak? [Hungarian] why Péter.ACC showed.2SG PRT Mari.DAT
 Why was it [Péter]_F that you introduced to Mari?
 - b. *[Pétert]_F miért mutattad be Marinak?
 Péter.ACC why showed.2SG PRT Mari.DAT
 Why was it [Péter]_F that you introduced to Mari?

Finally, the same pattern appears in New Testament Greek (Kirk, 2012): whyquestions can be combined with focal elements such as kaì humeîs 'also/even you', but they always appear in the Why»Foc order:

(92) Dià tí kai humeîs parabaínete tè:n entolè:n toû t^heoû dià tè:n parádosin why also you transgress the commandment the God by the tradition humô:n? [NTG] your

Why do also you transgress the commandment of God by your tradition?

And the pattern in Basque is exactly the same: the general impossibility of combining wh-questions and focalizations disappears with zergatik, and complex questions+focalizations can be formed. Such sentences share the same word order pattern that we saw for the other languages whereby the interrogative item has to precede the focus:

- (93) a. Zergatik [Peiok]_F eman die albistea? why Peio give AUX news Why was it Peio that gave them the news?
 - b. *[Peiok]_F zergatik eman die albistea?
 Peio why give AUX news
 Why was it Peio that gave them the news?

Furthermore, the V2 pattern may not be kept in such constructions and the verb may surface right-adjacent to the focal element (V3, as in (93a)). Nonetheless, placing the verb in the position after *zergatik*, that is, sandwiched between *zergatik* and the focus is also grammatical:

(94) Zergatik eman die $[Peiok]_F$ albistea? why give AUX Peio news Why was it Peio that gave them the news?

As expected, leaving the verb in the sentence-final position is ungrammatical (as this would go against the general pattern of both *wh*-questions and focalizations):

(95) * Zergatik [Peiok]_F albistea eman die? why Peio news give AUX Why was it Peio that gave them the news? Last, note that *zergatik*-questions are also compatible with a particular type of focalization construal whereby instead of the sentence-initial position, the focus appears in the sentence-final position:¹⁴

- (96) a. Zergatik esan die Peiok [egia]_F? why tell AUX Peio truth Why did Peio tell them [the truth]_F?
 - b. Zergatik esan die egia [Peiok]_F? why tell AUX truth Peio Why did [Peio]_F tell them the truth?

The fact that why-questions can be combined with focalizations makes them context and contrast-sensitive in a way that other wh-questions are not, as the philosophical literature has discussed (Partee, 1991; Bromberger, 1993; Cox, 2019). As a matter of fact, question (96a) can be naturally answered with an answer such as "because lying would be problematic", but not with an answer such as "because he was the only one around at the moment". On the contrary, (96b) clashes with an answer such as "because he was the only one around at the moment".

In conclusion, as in other languages, the syntax of why-questions in Basque seems to be characterized by a couple of particularities. It can behave like any other whquestion but (i) in some construals it does not generate the otherwise general residual V2, and (ii) it can be combined with focalizations (always with the Why»Foc word order). All this suggests that zergatik can be merged very high in the structure, so much so that the position of the verb may not be affected by it. This is what I will explore in the next sections proposing that there are two different construals: one where why is merged below the core complementizer area (in SpecReasonP) and then moved to IntP successive cyclically (generating V2 effects), and another one where why is directly merged in SpecIntP, where it is frozen, and does not generate any V2 effects.

3.5 Long, short, and clausal construals

Regarding interpretation, the cross-linguistic literature on why-questions has uncovered that often why-questions can be ambiguous between the so-called short vs. long construals (cf. Cattell (1978); Ko (2005); Shlonsky & Soare (2011)). For example, question (97) could be interpreted in either of the two following ways:

- (97) Why did you ask her to resign?
 - 1. What is the reason *x* such that for *x*, you asked her to resign? *e.g.* Because I didn't want to just tell her. (Short Construal)

¹⁴These constructions tend to have a reinforced contrastive reading (see Ortiz de Urbina (2002) for discussion and a derivational proposal).

What is the reason x, such that you asked her to resign for that particular reason x?e.g. I asked her to resing because of her health, not because of her intelligence...(Long Construal)

The explicit syntax that Basque deploys in *wh*-questions helps disambiguating potential ambiguities with respect to short *vs.* long construals. In particular, the cyclicity of verbal movement and clausal pied-piping provides evidence of the extraction site of adjuncts which, in principle, can be extracted out of either the matrix or the embedded clauses. For instance, question (98) with *noiz* 'when' is eminently a question over the eventuality described in the matrix clause (*i.e. when*—*think*, not *when*—*finish*) since there is verbal movement in the matrix clause (signalled by S-V inversion) but not in the embedded clause (and hence no object-verb inversion):

(98) Noiz pentsatzen du Jonek [gerra bukatuko dela]? when think AUX Jon war finish AUX.C When is it that Jon thinks that the war will finish?

Alternatively, in (99) the question is over the eventuality described in the embedded clause (*i.e.* when—finish), since there is verbal movement in both clauses, which signals that the extraction of noiz took place from the embedded clause:

(99) Noiz pentsatzen du Jonek [bukatuko dela gerra]? when think AUX Jon finish AUX.C war According to Jon, when will the war finish?

Since clausal pied-piping is an alternative to long-distance extraction, it can only arise in questions on the embedded clause (*i.e.* when—finish), as shown in (100):

(100) [Noiz bukatuko dela gerra] pentsatzen du Jonek? when finish AUX.C war think AUX According to Jon, when will the war finish?

The behavior of *zergatik* is the expected one given this syntax: the extraction site can be tracked down in the word order. Thus, the interpretation of (101a) is that of a short construal ($why \longrightarrow think$), in (101b) we have a long construal ($why \longrightarrow finish$) and in (101c) a long construal:¹⁵

- (101) a. Zergatik pentsatzen du Jonek [gerra bukatuko dela]? why think AUX Jon war finish AUX.C Why is it that Jon thinks that the war will finish?
 - b. Zergatik pentsatzen du Jonek [bukatuko dela gerra]? why think AUX Jon finish AUX.C war According to Jon, why will the war finish?

¹⁵Even if it is generally judged grammatical as such, example (101c) is more natural with *gerra* topicalized over *zergatik*.

c. [Zergatik bukatuko dela gerra] pentsatzen du Jonek? why finish AUX.C war think AUX Jon According to Jon, why will the war finish?

(101a) shows S-V inversion in the matrix clause but no O-V inversion in the embedded clause, as a consequence, its reading is that of a question on the matrix clause $(why \rightarrow think)$. (101b) is an instance of long-distance extraction (with V2 in both clauses) and as a consequence its reading is that of a question on the embedded clause $(why \rightarrow finish)$. Last, the clausal pied-piping construction of (101c) is also a question on the embedded clause, hence its reading is $why \rightarrow finish$.

Likewise, island structures provide good environments to assess the association between word order and interpretation. As we said in Section 2, wh-movement in Basque is impossible out of adjunct clauses. Thus, departing from the base in (102a), wh-extraction of the direct object such as in (102b) produces ungrammaticality:

- (102) a. Jon [abestia entzun duelako] poztu da.
 Jon song hear AUX.because get.happy AUX
 Jon got happy because he heard the song.
 - b. * Zer poztu da Jon [t entzun duelako]? what get.happy AUX Jon hear AUX.because *Lit*. What did Jon got happy because he heard?

Such behavior helps explaining the pattern in (103) below. Example (103a) with V-S inversion in the matrix clause and no inversion in the embedded clause is perfectly grammatical because zergatik can only be understood as coming from the matrix clause (hence its effect in the movement of the matrix verb, and its lack thereof in the embedded clause). Accordingly, its intended meaning questions why Jon got happy because he heard a song. Contrariwise, movement of the embedded verb over the direct object abestia in (103b) could only be due to a residual V2 of the movement of zergatik form the embedded clause to successive cyclically move to the specifier of the matrix clause (triggering again movement of the matrix verb). However, such an extraction out of an adjunct clause is illicit, hence the ungrammaticality of (103b):

- (103) a. Zergatik poztu da Jon [pro abestia entzun duelako]? why get.happy AUX Jon song hear AUX.because Why did Jon got happy because he heard the song?
 - b. *Zergatik poztu da Jon [entzun duelako *pro* abestia]? why get.happy AUX Jon hear AUX.because song Jon got happy because why did he hear the song?

Now, regarding the ambiguity that we saw in (97) on the short vs. long construal, a Basque variant as in (104a) is also ambiguous between the short and long construal readings (even though the short construal reading is more prominent). This derives from the fact that having zergatik in clause-initial position, and then followed by the matrix and the embedded verbs can correspond to extraction from any of the

clauses; both construals provide the same word order. However, a word order such as (104b) can only correspond to a long construal reading, which would be derived *via* the clausal pied-piping operation: first, movement of the *wh*-word to the specifier of the embedded CP, movement of the verb of the embedded clause to C (V2), and then movement of the whole embedded clause to the specifier of the matrix clause, which is again followed by movement of the matrix verb. It is the clausal pied-piping movement that produces the [CP wh V] V word order:

- (104) a. Zergatik eskatu zenion uko egiteko? why ask AUX renunciation do Why did you ask her/him to resign?
 - b. Zergatik uko egiteko eskatu zenion? why renunciation do ask AUX Why did you ask her/him to resign?

However, there is a third reading that questions such as (104b) can get: a 'clausal' reading. In the next sections I will argue that example (104b) can correspond to the clausal-pied piping construction (with its associated reading), but also to a construction where *zergatik* is directly first-merged in the left periphery, and there is no verbal movement whatsoever (thus, similar to the construction that we saw in (38b) and to the ones attested in other languages). In such cases, the interrogative element takes clausal scope and a reason interpretation similar to that of English *how come* or *why is it that*.

3.6 Clausal zergatik

The clausal reading, as indicated by the name, does not inquire about the reasons of the VP/vP but about the whole TP. Hence it does not question on the motives of the subject/initiator. It rather questions why is it that the whole eventuality described by the sentence took place (thus, it is similar to the meaning of English *how come*).

Imagine the following scenario:

(105) Context: We leave Leire -an infant- with a caregiver for the morning. We know that she is very tired, since she spent a large part of last night awake and playing, but we need the caregiver to keep Leire from getting asleep, otherwise in the afternoon it will be difficult to get her to bed. When we come home at noon, we see that Leire is asleep.

In such a context, it would be pointless to ask a question like (106a), for we know the answer (she got asleep because she did not have enough sleep the night before and she was very sleepy). However, (106b) questions over the whole clause/ eventuality, and therefore it is coherent in this context as a recrimination of how come such an event took place, even if it was meant not to:

- (106) a. # Zergatik lokartu da Leire?
 why get.asleep AUX Leire
 Why did Leire get asleep?
 [≈what were the reasons for her sleepiness?]
 - b. Zergatik Leire lokartu da? why Leire get.asleep AUX Why did Leire get asleep? [how come she got asleep]

So in this pattern we would be in front of a very high *zergatik*, reminiscent of those that have been proposed for other languages.

Besides, regular why-questions tend to display ambiguity between a reason and a purpose reading (Stepanov & Tsai, 2008; Chapman & Kučerová, 2016). This is illustrated in example (107), from Chapman & Kučerová (2016):

- (107) Why did she resign?
 - a. \(\sqrt{Purpose}\): For what purpose did they resign? In order to earn more money next year.
 - b. \sqrt{Reason} : What was the reason for their resigning? Because they got a pay cut.

In Chapman & Kučerová's (2016) analysis, reason why-s are base-generated as TP adjuncts whereas purpose why-s are base-generated as adjuncts of CausP (a vP functional layer corresponding to agentive predicates having a volitional requirement).¹⁶

The same pattern arises in Basque with the V2 construal of zergatik:

- (108) Zergatik utzi du lana? why leave AUX work Why did they resign?
 - a. ✓ *Purpose*: For what purpose did they resign? In order to earn more money next year.
 - b. ✓ *Reason*: What was the reason for their resigning? Because they got a pay cut.

However, this ambiguity disappears with high zergatik, and the only available reading is the how come (reason) reading (\approx Why is it that they resigned?):

- (109) Zergatik lana utzi du? why work leave AUX How come they resigned?
 - a. # *Purpose*: For what purpose did they resign? In order to earn more money next year.

¹⁶This explains the fact that only agentive dynamic predicates allow for both readings; in particular neither passives, unaccusatives, nor locative existential predicates allow for purpose readings.

b. \sqrt{Reason} : What was the reason for their resigning? Because they got a pay cut.

Very often, the clausal and the reason reading are hard to distinguish, as agents/initiators are which bring about the eventualities. However, we will see below that the clausal construction is particularly employed in conjectural or wondering situations such as rhetorical questions that wonder about the state of affairs and they often imply a counter-expectation (see Tsai (2008) for similar evidence).

Likewise, I mentioned in Section 3 that zergatik is composed of zer 'what' + "motivative" -gatik. Thus, a construction with zergatik can be ambiguous between being construed with the lexicalized zergatik 'why' or with the syntagmatic zer-gatik "what for". Below I provide some examples that show that regular constructions with V2 can display this ambiguity (the 'a' examples in (110) to (112)), thus they can be answered either with causes or with PP complements. However, constructions with high zergatik (the 'b' examples) cannot; they only accept the causal reading, as shown in (110b-C), (111b-C), and (112b-C):^{17,18}

- (110) a. A. Zergatik gaisotu da Miren?
 why/what.for get.sick AUX Miren
 Why did Miren get sick? OR What did Miren get sick for?
 - B. Ez babesteagatik.
 not protecting.for
 Because she took no precautions.
 - C. Gaixotasun profesionalagatik. illness profesional.for Of an occupational disease.
 - b. A. Zergatik Miren gaisotu da? why Miren get.sick AUX How come Miren got sick?
 - B. Ez babesteagatik.
 not protecting.for
 Because she took no precautions.
 - C. # Gaixotasun profesionalagatik. illness profesional.for Of an occupational disease.

¹⁷Some of these examples are substandard and probably calques from Spanish.

¹⁸In Central Basque, ambiguous structures can be disambiguated by means of prosody: *zergátik* with the regular peninitial stress corresponds to the lexicalized "why" whereas *zérgatik* with initial stress to the syntagmatic "what for".

- (111) a. A. Zergatik kondenatu dute Jon?
 why/what.for convict AUX Jon
 Why did they convict Jon? OR What did they convict Jon of?
 - B. Bere aurkako frogak zituztelako. his against.GEN proofs have.because Because they had proofs against him.
 - C. Ogasun publikoaren aurkako delituengatik. estate public.GEN against.GEN crimes.for Of crimes against public finances.
 - b. A. Zergatik Jon kondenatu dute?
 why Jon convict AUX
 How come they convicted Jon?
 - B. Bere aurkako frogak zituztelako. his against.GEN proofs have.because Because they had proofs against him.
 - C. # Ogasun publikoaren aurkako delituengatik. estate public.GEN against.GEN crimes.for Of crimes against public finances.
- (112) a. A. Zergatik aldatu du Peiok oparia?
 why/what.for change AUX Peio present.ART
 Why did Peio change the present? OR What did Peio change the present for?
 - B. Ez zitzaiolako gustatzen. not AUX.because like Because he didn't like it.
 - C. Beste batengatik. other one.for For another one.
 - b. A. Zergatik Peiok oparia aldatu du? why Peio present change AUX How come Peio changed the present?
 - B. Ez zitzaiolako gustatzen. not AUX.because like Because he didn't like it.
 - C. # Beste batengatik. other one.for For another one.

Further evidence in favor of the high merger of this *zergatik* is that the word order of an embedded clause cannot be affected by it. Thus, example (113a) is acceptable with initial *zergatik* followed by the rest of the elements of the clause in their base

generated position, that is: S [O V]_{CP} V. However, (113b) with O-V inversion in the embedded clause is not, since this inversion could only be triggered by a previous A'-movement to the specifier of the embedded CP. In (113b) it cannot be the case that zergatik originated in the embedded clause, for it would have triggered V2 in both the embedded and the matrix clauses. Thus the ungrammaticality of (113b). Alternatively, if zergatik was directly merged in the left periphery of the matrix clause we should not observe O-V inversion in the embedded clause (and hence, we would obtain (113a)):

- (113) a. Zergatik Jonek [gerra bukatuko dela] pentsatzen du? why Jon war finish AUX.C think AUX {Why is it that/How come} Jon thinks that the war will finish?
 - b. * Zergatik Jonek [bukatuko dela gerra] pentsatzen du? why Jon finish AUX.C war think AUX {Why is it that/How come} Jon thinks that the war will finish?

However, the restriction on verb movement with 'high' zergatik is not an absolute one; it only holds if the movement can only be derivative of a purported movement of zergatik itself (as in the case of the embedded verb in (113b)), which clashes with the idea that zergatik was directly introduced in the left periphery. Verb movements are fine, provided that they piggy back on another displacement operation (say, a focalization), as in the case of (114a), where high zergatik is combbined with a focalization on the subject of the matrix clause (which in consequence shows O-V inversion). Again, (114b) is ungrammatical because besides the O-V inversion of the matrix clause, it also displays O-V inversion in the embedded clause. The former is due to the focalization of the subject (as in (114a)), but the latter could only be due to a wh-displacement in the embedded clause that generated the V2. The unavailability of such a movement suggests that in this construction zergatik is introduced directly high in the left periphery:

- (114) a. [?] Zergatik [Jonek]_F pentsatzen du [gerra bukatuko dela]? why Jon think AUX war finish AUX.C {Why is it that/How come} Jon thinks that the war will finish?
 - b. * Zergatik [Jonek]_F pentsatzen du [bukatuko dela gerra]? why Jon think AUX finish AUX.C war {Why is it that/How come} Jon thinks that the war will finish?

Besides focalized constituents, high *zergatik* can also be combined with topics and other intervening material which suggests its very high position in the clause. We already saw an example from Haranburu (17th c.) in (71d), repeated here as (115) where *zergatik* is followed by a reduplicated topical pronoun:

(115) Cergatic ni neror bakharric vtzten nauçu? why me me.contrast alone leave AUX

Lit. {Why is it that/How come}, me, you leave me alone?

But it can also be followed by series of adjuncts, as in example (71e), repeated here as (116) from Belapeyre (17^{th} c.):

(116) Cergatic egun oroz goiçan, egüerditau, eta arraxen hirourna cegnu why day all morning.at noon.at and afternoon.at three sign khaldi emaiten dira eliçan?

hit give AUX church.at

Lit. {Why is it that/How come} everyday, in the morning, by noon and in the afternoon three signs given at the church?

Also by vocatives, as in example (117), from Uriarte (19^{th} c.):

(117) Zergatik, Jauna, urrutira alde egin dezu, desanparatu nazu denborarik bearrenean, why Lord far.to side do AUX abandon AUX time.of need.most.at naigabean?

desperation.at
Why standest Thou afar off, o Lord? Why hidest Thou Thyself in times of trouble?

In contemporary Basque high *zergatik* can even be associated with clauses with overt complementizers, as in the Basque variants of the reportative clauses analyzed by Etxepare (2010) for Spanish. Etxepare studies a set of constructions of Spanish which are characterized by having an overt initial complementizer *que*, which adds a reportative reading to the sentence. Thus, there is a difference between examples (118a) and (118b):

- (118) a. Si viene mi madre, el tabaco es tuyo. [Spanish] if comes my mother the tobacco is yours

 Lit. If my mother comes, the tobacco is yours.
 - b. Si viene mi madre, que el tabaco es tuyo. if comes my mother C the tobacco is yours *Lit.* If my mother comes, that the tobacco is yours.

Etxepare (2010) imagines the following scenario: two teenagers (A and B) are secretly smoking in a room. Suddenly, fearing that his/her mother could show up and find out, A tells B (118a): Si viene mi madre, el tabaco es tuyo. By saying that, A asks B to act as if the tobacco was B's, if A's mother comes. However, by saying (118b), A asks B something more than just pretense: A asks B to say that the tobacco is B's. If B doesn't say so, B will not be complying with A's request.

Basque also has analogous constructions (119A), and high zergatik can appear with them (119B), as in the following scenario, where *nirea* 'mine' intervenes between zergatik and the verb:

(119) A. Nire ama etortzen ba-da, tabakoa zurea de-la. my mother come if-BE tobacco yours BE-C If my mother comes, (say) that the tobacco is yours.

B. Zergatik nirea de-la?
why mine BE-C
{Why is it that/How come} (I/we should say) that it is mine?

By employing the complementizer -la (which surfaces attached to the verb) speaker A asks B to say that the tobacco is B's, but again by employing -la B complains asking why should she/he say that it is hers/his.

All this bears testimony to the fact that what I called *high* zergatik is very high in the clausal structure.

4 Analysis and discussion

Given that the *wh*-phrase corresponding to the meaning of *why* has such a particular behavior across languages, several authors have proposed that it is directly merged in a high position in the clause (see *i.a.* Hornstein, 1995; Rizzi, 2001; Ko, 2005). More recently, Shlonsky & Soare (2011) propose a richly articulated complementizer structure at the left periphery of the clause, arguing that English *why* (or Romanian *de ce*) is externally merged in Spec-ReasonP and then moved to Spec-IntP whereas *how come* is directly externally merged in Spec-IntP:

```
(120) IntP > TopP > FocP > WhP > ReasonP...
```

Building on this richly articulated left periphery, I would like to propose that the differential patterns that we observed for Basque *zergatik* are due to the following two types of construals where *zergatik* participates:

- 1. Zergatik externally merged at Spec-ReasonP (above NegP); then moving to IntP successive cyclically followed by the verb and generating residual V2 effects. This gives rise to the canonical Short and Long construals.¹⁹
- 2. Zergatik directly merged at Int^o, where it is frozen, takes clausal scope and as a consequence does not generate any verb movement (*i.e.*, high zergatik).

In this respect, high *zergatik* is a complementizer with the same syntax as English *how come*. There is no *wh*-movement and therefore no V2 effect in consequence (Collins, 1991).

It is also similar to Basque *nola* 'how', that beyond being a *wh*-adjunct in questions (121) can also serve as a complementizer in embedded clauses, not triggering movement of the verb. Thus, in (121a) we observe *nola*-verb adjacency and we have an embedded manner interpretation (hence the grammaticality of (121b) with *galdetu* 'ask' in the matrix clause, a question-embedding verb):

¹⁹I leave the question open as to whether in purpose questions *zergatik* is introduced lower, as suggested by Chapman & Kučerová (2016).

- (121) a. Begira nola estali duen Jonek oparia. look how wrap AUX Jon present Look how Jon wrapped the present.
 - b. Galdetu nola estali duen Jonek oparia. Ask how wrap AUX Jon present Ask how Jon wrapped the present.

In (122a) on the contrary we have complementizer *nola*; it implies a factive-like meaning and does not attract the verb. This complementizer cannot be combined with a question-embedding verb (122b):

- (122) a. Begira nola Jonek oparia estali duen. look how Jon present wrap AUX
 Observe the fact that Jon wrapped the present.
 - b. *Galdetu nola Jonek oparia estali duen. question how Jon present wrap AUX Question the fact that Jon wrapped the present.

Returning to zergatik, I mentioned already that high zergatik has an interpretation akin to that of English how come in that it generates speculative, wondering questions on how come the eventuality described by the clause happened. There is another interesting fact about how come: contrary to why, it always takes highest scope (cf. Collins (1991)). In (123a) the surface order why- \forall is ambiguous between the Wh» \forall and the \forall » Wh readings; however, (123b) with how come can only be interpreted with frozen scope Wh» \forall :

- (123) a. Why was every candidate elected? $Wh \gg \forall / \forall \gg Wh$
 - b. How come every candidate was elected? Wh»∀ / *∀»Wh

If as I proposed high *zergatik* is externally merged in the same position as *how come*, the prediction would be that it should have a similar behavior with respect to scopal properties. Indeed, we observe the very same pattern: (124a) with V2 is ambiguous between the Wh» \forall and the \forall » Wh readings, but (124b) with high *zergatik* only has the Wh» \forall reading whereby *zergatik* takes highest scope:²⁰

(124) a. Zergatik etorri dira ikasle hauek guztiak? why come AUX student these all Why did all these students come? [Wh» \forall / \forall » Wh]

²⁰As a matter of fact, high *zergatik* can also be substituted by another *wh*-element with the same meaning that takes highest scope: *nolatan*.

b. Zergatik ikasle hauek guztiak etorri dira?
why student these all come AUX
{Why is it that/How come} all these students came?
[Wh»\forall /*\forall whh]

Likewise, English how come –as opposed to why– cannot appear in multiple wh-constructions, as represented in (125) (see Ochi (2004) for discussion). The same pattern is attested in Basque with high zergatik, which renders ungrammatical results when combined with another interrogative phrase. Compare the grammaticality of 'regular' zergatik with either multiple fronting in (126a) or with single fronting+in situ wh in (126b), with the ungrammaticality of high zergatik in (126c):²¹

- (125) a. Why did John eat what?
 - b. * How come John ate what?
- (126) a. [?] Zergatik jan du Jonek zer? why eat AUX Jon what Why did Jon eat what?
 - b. "Zergatik zer jan du Jonek? why what eat AUX Jon Why did Jon eat what?
 - c. * Zergatik Jonek jan du zer?why Jon eat AUX what {Why is it that/How come} Jon ate what?

If high *zergatik* is a complementizer directly inserted in Int^o, it follows that it is incompatible with any construction that targets that very same position.

Last, disjoint causal questions have a pair-list reading. Thus, question (127A) may ask about the reasons one may have for choosing either of the options, where (127B) could provide a coherent answer to it. (127A) can also be naturally answered with a single pair that focuses on the whole disjunct (127C):

- (127) A. Zergatik hautatuko zenuke bata ala bestea? why choose.FUT AUX one.ART XOR other.ART Why would you choose the one or the other?
 - B. Bata merkeagoa delako, bestea hobea delako. one.ART cheaper is.C.because other.ART better is.C.because The one because it is cheaper, the other one because it is better.
 - C. Biak ezin ditudalako erosi. two.ART impossible AUX.C.because buy Because I can't buy both of them.

²¹As I explained in Section 3.3, 'antisuperiority-violating' constructions such as (126a) and (126b) are inherently degraded in matrix constructions.

Under the first reading, *zergatik* interacts with each of the disjuncts and generates a set of questions (a set of sets of propositions). Under the second reading, *zergatik* takes the whole clause as its sister and generates a single question.²²

As can be expected, the pair-list reading is unavailable with high *zergatik* (as represented in (128B)), the only available reading being the single-pair (128C):

- (128) A. Zergatik bata ala bestea hautatuko zenuke? why one.ART XOR other.ART choose.FUT AUX {Why is it that/How come} you would choose the one or the other?
 - B. #Bata merkeagoa delako, bestea hobea delako.
 one.ART cheaper is.C.because other.ART better is.C.because
 The one because it is cheaper, the other one because it is better.
 - C. Biak ezin ditudalako erosi. two.ART impossible AUX.C.because buy Because I can't buy both of them.

The high merger of *zergatik* directly in Int^o makes it take scope over the whole clause as such. It is unsurprising then that high *zergatik* is particularly employed in rhetorical questions.²³ Below are some examples of rhetorical questions with high *zergatik*:²⁴

(129) a. Zergatik mundua hain gaizki banatua dago?
why world so badly share AUX
{Why is it that/How come} the world is shared so badly?

However note that these facts could be analyzed in a different manner: if *how come*, like Basque high *zergatik* is factive and takes the whole clause in its scope –hence asking about the whole eventuality– it could not perform a question (rhetorical or not) inquiring about John's motives for leaving. Whether *how come* cannot be really employed in rhetorical questions is a matter that deserves further investigation.

²²This is similar to what happens in polarity questions with disjunction such as "Do you want tea or coffee?" which can be interpreted with a polar interpretation (one single question for which a possible answer could be "Yes."), or with an alternative interpretation (a pair/series of questions for which a possible answer could be "Tea.").

²³In this respect, it seems to be different from English *how come*: Fitzpatrick (2005) and Conroy (2006), when analyzing English *why* and *how come* mention examples such as (ia) and (ib), claiming that they show that *why*, as opposed to *how come* can be employed in forming rhetorical questions (for example, (ia) could be part of an exchange where someone asks, 'Did John leave?' and the response is 'No, why would John leave?', but (ib) cannot be used in such a case):

⁽i) a. Why would John leave?

b. * How come John would leave?

 $^{^{24}}$ Example (129a) taken from a Fotolog entry, example (129b) from the Wikipedia webpage of Ingmar Bergman's film *Det sjunde inseglet*, example (129c) from a tweet by user @Iraultza8m (which employs the reinforced dialectal morphological variant *zeatikan* < *zergatik* + $\cdot n$ (inessive)), example (129d) from a tweet by user @EuskalHedabide and example (129e) from a tweet by user @beatxo.

- b. Zergatik Jainkoak ez du bere izatearen froga edo erantzunik ematen? why God neg AUX its nature proof or response give {Why is it that/How come} God doesn't provide answers or proof of its nature?
- c. Zeatikan beti neska bat mutil baten jarrera matxistaz kexatzen why always girl one boy one.POSS attitude macho.INSTR complain danen atea bar da beste mutil bat esanez "not all man"?

 AUX.C get.out have AUX other boy one saying "not all man"

 {Why is it that/How come} whenever a girl complains about the macho attitude of a boy another boy comes saying "not all man [SIC]"?
- d. Zendako herri batetan gure hizkuntza, bigarren hizkuntza da? why town one.in our language second language BE {Why is it that/How come} our language is a second language in a town?
- e. Zendako beti eni tokatzen zait aspiragailua pasatzea? why always me.to touch AUX hoover pass.to {Why is it that/How come} it is always my turn to do the hoovering?

Rather than an innovation of recent years, such different behavior can be observed already in the Classical Basque literature.

5 Conclusions

Why-questions are special in many respects. As I showed, their syntactic pattern is particular in many languages, but their particularity seems to be homogeneous across them: they tend to surface at the left edge of the clause (outscoping topics, foci, etc.) and in languages generally requiring V2 this restriction disappears with why-questions. Also, they seem to be able to be first-merged in different positions in the clausal spine, and the very nature of infinite causal links makes it impossible to provide a fully exhaustive answer to a why-question. In consequence, any why-question can be answered with a series of propositions, each explaining further the information provided by the previous one: Q: Why did John eat a sandwich?, A: Because he was hungry. And there was not going to have anything else until late. And... This is particular of why-questions, as questions on arguments (Who?, What?...) or other adjuncts (When? Where?) do not allow such infinity.²⁵

The literature is converging on the idea that elements such as *why* can be first-merged very high in the structure. Here I discussed evidence from Basque in support of this vision, providing evidence that we should distinguish different types of *why*-questions: (i) lower *why*-questions (for reasons and purposes) that show cyclicity ef-

²⁵Maybe *how* also allows series of answers (even if they are more bounded than with *why*). This is another feature linking *why* and *how* together (*cf.* the discussion on Basque *nola(tan)* or English *how come* above.

fects and residual V2, and (ii) high *why*-questions where the interrogative element is a complementizer directly merged at Int^o and taking the whole clause as a complement. These are elements like English *how come* or Basque high *zergatik*, which are frozen and do not generate V2 effects.

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