

## The cortical organization of syntax

William Matchin<sup>1</sup> & Gregory Hickok<sup>2</sup>

<sup>1</sup>Department of Communication Sciences and Disorders, University of South Carolina

<sup>2</sup>Department of Cognitive Sciences, Department of Language Science, University of California,  
Irvine

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### Abstract

Syntax, the structure of sentences, enables humans to express an infinite range of meanings through finite means. The neurobiology of syntax has been intensely studied, but with little consensus. Two main candidate regions have been identified: the posterior inferior frontal gyrus (pIFG) and the posterior middle temporal gyrus (pMTG). Integrating research in linguistics, psycholinguistics, and neuroscience, we propose a neuroanatomical framework for syntax that attributes distinct syntactic computations to these regions in a unified model. The key theoretical advances are adopting a modern lexicalized view of syntax in which the lexicon and syntactic rules are intertwined, and recognizing a computational asymmetry in the role of syntax during comprehension and production. Our model postulates a hierarchical lexical-syntactic function to the pMTG, which interconnects previously identified speech perception and conceptual-semantic systems in the temporal and inferior parietal lobes, crucial for both sentence production and comprehension. These relational hierarchies are transformed via the pIFG into morpho-syntactic sequences, primarily tied to production. We show how this architecture provides a better account

of the full range of data and is consistent with recent proposals regarding the organization of phonological processes in the brain.

## Introduction

Language is arguably the most uniquely human cognitive trait (von Humboldt, 1836; Darwin, 1871; Hauser et al., 2002; Tattersall, 2004; Bolhuis et al., 2014). Many other abilities once thought to set humans apart from our nearest primate cousins—tool use, culture, theory of mind, altruism, empathy—are increasingly documented in other species (Seed & Byrne, 2010; Whiten et al., 1999; Warneken et al., 2007; Call & Tomasello, 2008; De Waal, 2008). But decades of research on the cognitive capacities of non-human apes as well as birds with complex vocalizations has shown that the combinatorial nature of language, with syntactic structure at its core, is beyond their reach (Terrace et al., 1979; Yang, 2013; Poletiek et al., 2016; Beckers et al., 2012; Berwick et al., 2011). It is no surprise, then, that there has been great interest in the evolution and neural basis of language.

Current models of language evolution, broadly speaking, emphasize its multi-component nature involving a set of traits, each, perhaps, with its own evolutionary history: traits such as vocal learning, gestural mimicry, and conceptual combination (Fitch, 2017). Research on the neural circuits that support language has made significant progress in many of these domains, identifying networks that support vocal motor control (Guenther, 2006; Hickok, 2012a; Simonyan, 2014), imitation (Iacoboni, 2009), speech perception and word recognition (Hickok & Poeppel, 2007; Rauschecker & Scott, 2009; Chang et al., 2010), and semantics (Binder et al., 2009), including simple forms of conceptual combination (Pylkkänen, 2015). But

comparatively little progress, measured in terms of agreement in the field, has been made in mapping the computational system that binds all these pieces together and imbues language with its communicative power: syntax.

The dominant view regarding the neurology of syntax over the last 40 years is that Broca's area—the posterior portion of the inferior frontal gyrus (pIFG) including the pars triangularis and pars opercularis—is a critical hub for this capacity (Hagoort, 2014; Friederici et al., 2017; Grodzinsky & Santi, 2008). The origin of this hypothesis is that people with Broca's aphasia, and assumed damage to Broca's area, often suffer from the symptom of expressive *agrammatism*, a tendency to omit grammatical elements and generally simplify sentence structure in connected speech production (Goodglass, 1968; 1993). In the 1970s it was discovered that Broca's aphasia is also associated with particular sentence comprehension deficits that suggested a fundamental syntactic problem (Caramazza & Zurif, 1976), kicking off decades of intense investigation of the role of Broca's area in syntactic processing, assessed primarily via comprehension tasks (Grodzinsky & Amunts, 2006; Matchin & Rogalsky, 2017). However, while some large-scale lesion-deficit mapping studies have reported an association between damage to Broca's area and comprehension of syntactically complex and/or non-canonical sentence structures (Wilson et al., 2011; Magnusdottir et al., 2013; Mesulam et al., 2015; Fridriksson et al., 2018), many others have primarily implicated the posterior temporal lobe in basic syntactic processing and not Broca's area (Dronkers et al., 2004; Wilson & Saygin, 2004; Baldo & Dronkers, 2007; Peelle et al., 2008; Pillay et al., 2017; Rogalsky, et al. 2018; Wilson et al., 2018b; 2018c).

Some of the strongest evidence *for* the link between Broca's area and syntactic comprehension has come from neuroimaging studies of structural processing, which often implicate this region (for reviews, see Friederici, 2011; 2017; Hagoort, 2014). However, a closer look at the neuroimaging results on sentence comprehension show that the activation profile of the posterior temporal lobe is almost always coupled with Broca's area (see Matchin et al., 2017a for data and a review). This finding weakens the proposed unique associations between Broca's area and receptive syntactic function and has led to proposals that both frontal and posterior temporal lobe regions participate in syntax (Tyler & Marslen-Wilson, 2007; Wilson et al., 2014; 2016; Blank et al., 2016). Other researchers have challenged the imaging results further still, arguing that what appears to be syntactic effects during comprehension may instead be attributed to working memory (Rogalsky et al., 2008a; Rogalsky & Hickok, 2011) and/or cognitive control (Novick et al., 2005; 2010; January et al., 2009). This has led to alternative proposals that emphasize the primacy of posterior temporal and/or parietal regions (Bornkessel-Schlesewsky et al., 2013; 2015; Thothathiri et al., 2012; Pillay et al., 2017).

The anterior temporal lobe (ATL) emerged around the turn of the century as a third candidate region to underlie syntactic processing. This is primarily due to its functional response properties, which show a preference for sentence stimuli over lists of words, music, and environmental sound sequences (Mazoyer et al., 1993, Humphries et al, 2001, 2005; 2006; Rogalsky & Hickok, 2008; Stowe et al., 1998; Matchin et al., 2017a). But on closer examination of the neuroimaging literature, several important observations indicated a semantic, rather than syntactic, function of the ATL. An early study (Mazoyer et al., 1993) showed a weak response to meaning-impoverished but syntactically structured sentences in the ATL, suggesting a function

tied to syntax. However, subsequent studies did not replicate this finding, highlighting instead the IFG and pMTG (Pallier et al., 2011; Fedorenko et al., 2012b; Goucha et al., 2015; Matchin et al., 2017a). Other neuroimaging studies have revealed that the ATL responds to experimental manipulations of conceptual-semantic or discourse properties regardless of syntax (Vandenbergh et al., 2002; Humphries et al., 2006; Fletcher et al., 1995; Maguire et al., 1999; Westerlund & Pylkkanen, 2014), while failing to respond to manipulations of syntax independently of semantics (Rogalsky & Hickok, 2008; Del Prato et al., 2014). The strongest evidence comes from neuropsychological studies on patients with ATL degeneration, damage, or resection (see Wilson et al., 2014, for data and review). While such patients have striking conceptual-semantic impairments, basic sentence comprehension in these patients is unimpaired when lexical demands are minimized, and sentence production appears normal, with no evidence of grammatical errors (Hodges et al., 1992; Hodges & Patterson, 2007; Kho et al., 2008; Wilson et al. 2012; Mesulam et al., 2015; Rogalsky et al., 2018). In addition, these patients are sensitive to syntactic violations in the same fashion as healthy subjects (Cotelli et al., 2007; Grossman et al., 2005).

Here we propose an alternative model that (i) parcels the syntactic network into two broad computational processes, hierarchical structuring and morpho-syntactic linearization, and (ii) acknowledges a task-dependent, *production-comprehension asymmetry* in the recruitment of these computations. This explains the key involvement of inferior frontal regions: predominantly linearization for production, but sometimes co-opted for comprehension as a form of syntactic working memory and syntactic prediction, and posterior temporal regions: hierarchical structuring for both comprehension and production. To preview our proposal, we argue that:

(1) In comprehension, the posterior middle temporal gyrus (pMTG) functions to decode sequences of auditory phonological representations in the posterior superior temporal gyrus (pSTG) into hierarchical structures and link these with two conceptual networks, an entity knowledge hub in the anterior temporal lobe (ATL) and an event knowledge hub in the angular gyrus (AG) (Binder & Desai, 2011).

(2) In production, the computational task is different, to take non-sequential conceptual information, derive hierarchical structures (pMTG), and transform them into sequences of morphemes, which is accomplished via the pars triangularis (pTri) of the inferior frontal gyrus (IFG, the anterior part of Broca's area).

This functional architecture extends previous dual-stream proposals regarding perception-production asymmetries at the lexical-phonological level (Hickok & Poeppel, 2000; 2004; 2007; Hickok et al. 2012; Hickok 2014) to the syntactic level, thus conserving a basic architectural plan for the language system. In addition, our proposal adopts a modern lexicalized view of syntax in which the lexicon and syntactic rules are intertwined in the same representational system. This allows us to account for the fact that the posterior temporal lobe regions that are associated with syntactic processes are also robustly associated with lexical-level processes (Hickok & Poeppel, 2007; Lau et al., 2008; Fedorenko et al., 2018).

The balance of this article is organized as follows. We first define the syntactic domain that we target for neural mapping. Then we lay out our hypotheses along with their conceptual

motivation. We then provide a detailed review of the relevant evidence regarding the competing hypotheses for neural organization of syntax, including the present one. We then consider syntax in the context of sentence comprehension and production, arguing for the proposed asymmetry. Finally, we conclude by briefly discussing questions concerning how the syntactic system develops in the human brain.

## What is Syntax?

Language is best conceptualized as a form-meaning interface: a system for transforming often non-sequential thoughts into a linear sequence of words and sounds, sign gestures, or orthographic forms and back again. For example, the concept of *two large dogs* is inherently non-sequential; there is no sense in which the concepts *large* or *dog* or a two-element set are ordered. Yet we must communicate these ideas by sequencing elements through a serial channel such as the vocal tract. Similarly, during sentence comprehension, the semantic (meaning) relations among words must be recovered, which is determined through hierarchical structures (Heim & Kratzer, 1998). Broadly, then, syntax is the component of language that accomplishes these transformations. Understanding how they are achieved is the research goal of theoretical syntax. This is a rich and technical field of inquiry that we can only highlight in the most cursory manner here. We emphasize those aspects most relevant to the present proposal.

First, it is important to underscore that while syntax critically relates to meaning, it is not reducible to it (Chomsky, 1957; see Adger, 2018, for extensive discussion). This is illustrated by syntactically unacceptable sentences that nonetheless have an unambiguous semantic

interpretation, such as *the child seems sleeping* (compare with *the child is sleeping* or *the child seems to be sleeping*) or *Who did you see Edgar and?* (cf., I saw Edgar and Françoise) (Chomsky, 1957). By contrast, there are sentences that have no clear semantic interpretation yet have well-formed syntax, such as “jabberwocky” sentences invented by Lewis Carroll (1871), that retain function words/morphemes but replace content words with nonsense words:

'Twas brillig, and the slithy toves  
 Did gyre and gimble in the wabe:  
 All mimsy were the borogoves,  
 And the mome raths outgrabe.

Interestingly, even though one cannot provide a full meaning to jabberwocky sentences, the prose with its functional elements evokes hierarchically structured relationships between the nonsense words. For example, it is likely that *slithy* is a property of *toves*, which are the agents of *gyre-ing* and *gimble-ing* actions carried out in a *wabe* context. This suggests a correlation between hierarchical syntactic construction and semantic interpretations (Johnson and Goldberg, 2013); the syntactic structure constrains the semantic relationships between the elements of sentence.

This relates to our second point: a central function of syntax is to encode/decode hierarchical grouping relations between elements in a sentence that relate to meaning. To illustrate this, principles of syntactic computation can lead to ambiguity in cases where multiple grouping relations are compatible with the input, as in the sentence *Ursula saw the man with binoculars*. If

the prepositional phrase *with binoculars* is grouped with the verb phrase *saw the man* (Figure 1a), the sentence roughly means *Ursula used binoculars to see the man*. However, if the prepositional phrase *with binoculars* is instead grouped with the noun phrase *the man*, (Figure 1b), the sentence roughly means *Ursula saw the man who has binoculars*. In other words, the possible meanings are constrained by the possible groupings. With this background, one can better appreciate that the job of syntax is to translate between a surface phonological sequence and a non-sequential, relational semantic representation via hierarchical syntactic structures.

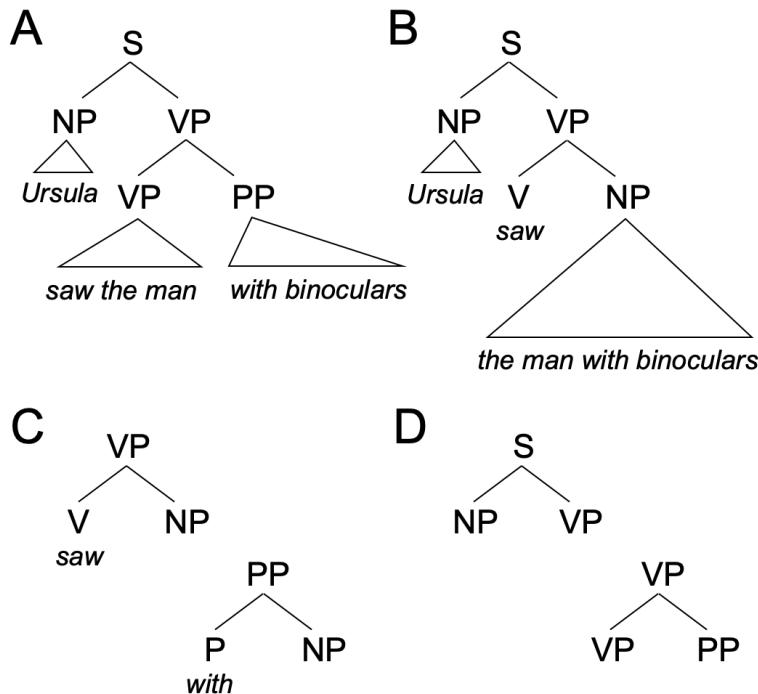


Figure 1. Syntactic structures. A & B: possible syntactic groupings associated with the sentence *Ursula saw the man with binoculars*. A: structure in which the meaning is roughly *Ursula used binoculars to see the man*. B: structure in which the meaning is roughly *Ursula saw the man who has binoculars*. C: lexicalized treelets for the verb *saw* and preposition *with*. D: abstract lexicalized treelets. S: sentence, NP: noun phrase, VP: verb phrase, PP: prepositional phrase, V: verb.

*Words and rules: lexicalized structure*

Essentially all syntactic theories contain two things: a lexicon of items (i.e., words) and a system for combining them (i.e., rules) (Chomsky, 1965; Pinker, 1999; Jackendoff, 2002). However, theories differ in the degree of separation of words and rules. Older theories in the generative grammar tradition contained an elaborate system of syntactic phrase structure rules (which generate basic hierarchical groupings) and transformational rules (which re-arrange pieces of structure) (Chomsky, 1957; 1965; 1980). Most modern syntactic theories typically assume a radically slimmer rule-based component comprised of a single compositional operation (called “Merge” or “Unify”), with much of the structure of sentences represented along with words in the lexicon (Joshi & Schabes, 1997; Pollard & Sag, 1994; Chomsky, 1995; Goldberg, 1995; Bresnan, 2001; Jackendoff, 2002; Frank, 2002). In fact, in some theories, this “lexicalization” of grammar is taken even further, replacing traditional syntactic categories (e.g., noun, verb, noun phrase, verb phrase) with labels derived from the word itself. In such “bare phrase structure” approaches (Chomsky, 1994), instead of noun phrases there are “cat phrases” and “house phrases”, instead of verb phrases there are “attack phrases” and “walk phrases”, and so forth.

Relatedly, a largely separate psycholinguistic literature on word and sentence production has long postulated the existence of lemmas (Kempen & Huijbers, 1983; Levelt, 1993; Dell & O’Seaghda, 1992), which are abstract word forms without phonological or semantic specification, but with syntactic information. This includes syntactic category (e.g., noun, verb), grammatical gender (e.g. feminine, masculine, neuter), and subcategorization (e.g., the verb *devour* takes a noun phrase complement such as *the cake* while a verb like *reside* takes a prepositional phrase complement such as *by the river*). Thus, both of these literatures converge

on a lexicalist view of syntax, which naturally fits with overlap in the neural systems involved in lexical and syntactic functions (Fedorenko et al., 2018).

In the present work, we assume this modern lexicalist view. Lexicalized approaches posit “treelets” (stored structures) both associated with individual words, that is with links to a specific phonological form and meaning (Figure 1c), and more abstract treelets without association to a specific word, that is without phonological and/or conceptual links (Figure 1d). However all treelets contain syntactic features and hierarchical structures. Numerous parsing models have made heavy use of such treelets (MacDonald, 1994; Jurafsky, 1996; Vosse & Kempen, 2000; Lewis & Vasishth, 2005; Demberg et al., 2013). In these models, during sentence comprehension the perception of words activates treelets that are “clipped” together, depending on the stage of the parse, to form a unified structure (Jackendoff, 2017).

### *The role of serial order*

Hierarchical structure is intimately related to semantics. Interestingly, while order is a cue to the structure, order by itself is irrelevant to meaning (Heim and Kratzer, 1998; Everaert *et al.*, 2015). However, order *is* a constraint on the perception and production of language. The vocal tract is a serial channel, and so a hierarchically structured sentence must be produced word-by-word. This has led some to speculate that linear properties of language, such as affixation morphology and word order, are driven by the demands of the motor systems (Everaert *et al.*, 2015; Berwick & Chomsky, 2016; Idsardi & Raimey, 2013). Interestingly, sign languages generally rely on order

less than spoken languages (Aronoff et al., 2005). This may be partly due to the fact that the manual systems allow for greater parallel expression (Sandler & Lillo-Martin, 2006).

In comprehension, the hierarchical structure of sentences must be determined from sequential inputs. However, it appears that explicit encoding of linear relations is neither necessary nor even possible during structure building (parsing) in comprehension (Lewis, 2000; McElree et al., 2003; Lewis & Vasishth, 2005; Lewis et al., 2006). In brief, the recall of order relations is very slow, on the order of hundreds of milliseconds (McElree, 1993) – too slow to characterize the rapid pace of sentence comprehension. This suggests that the order of elements in the input is used incrementally to drive parsing but is not specifically encoded in the parser. We discuss later how production-related mechanisms can be used to reiterate the linear order of morphemes for reparsing.

Lewis et al. (2006) illustrates how parsing can succeed without explicit order information through an example from Japanese, in which two nominative case-marked nouns occur in successive order in sentential complement structure:

- (1) Mary-ga John-ga butler-o korosita-to omotta

Mary-NOM John-NOM butler-ACC killed-COMP thought

‘Mary thought that John killed the butler’

Both *Mary* and *John* are marked with nominative case, meaning that they are each subjects of a different clause. Which one should be associated with the verb *thought* in the main clause, and

which one associated with *killed* in the embedded clause? As Lewis et al. (2006) explain, the initial perception of Mary with a nominative case marker automatically cues a predictive parser to build a structure in which Mary is attached as the subject of the main clause, and then attaching John as subject of the embedded clause. It is unnecessary to explicitly encode the linear order relation between the two NPs.

Another way of looking at this is that the order information is given by the input, having already been sequenced by the interlocuter, and does not need to be redundantly calculated by a predictive parser, which generates structure incrementally given each morphological input. In contrast, the computation of linear order is necessary during production because of the demands of the vocal tract. As we discuss later, we accordingly posit that the hierarchical-to-linear conversion is processed via interaction with frontal motor-related brain systems, particularly Broca's area, while the core hierarchical syntactic structures are processed in the posterior temporal lobe.

### **The cortical organization of syntax**

Here we put forward the core claim of our proposal starting with what we view as a significant constraint on the localization of syntax: the neuroanatomy of the phonological and semantic networks with which a syntactic system must interface. The last several years has seen substantial convergence in models of the neuroanatomy of these networks. We provide a brief summary of these in turn.

Networks for speech perception strongly implicate mid-to-posterior superior temporal gyrus (STG) regions extending roughly from the lateral aspect of the gyrus into the dorsal bank of the superior temporal sulcus (STS) (Hickok & Poeppel, 2007). (See Wilson et al., 2018a for evidence that the dorsal and ventral banks of the posterior STS are functionally distinguishable). This includes evidence from functional imaging (Binder et al., 2000; DeWitt & Rauschecker, 2012; Okada & Hickok, 2006; Okada et al., 2010), phonological-level speech decoding via direct cortical recordings (Moses et al., 2016; Pasley et al., 2012), and interference using direct cortical stimulation (Roux et al., 2015). This work has been reviewed extensively in the articles cited here and will not be discussed further. We will refer to the anatomical location of this auditory-based phonological network as the pSTG (Figure 2, dark blue).

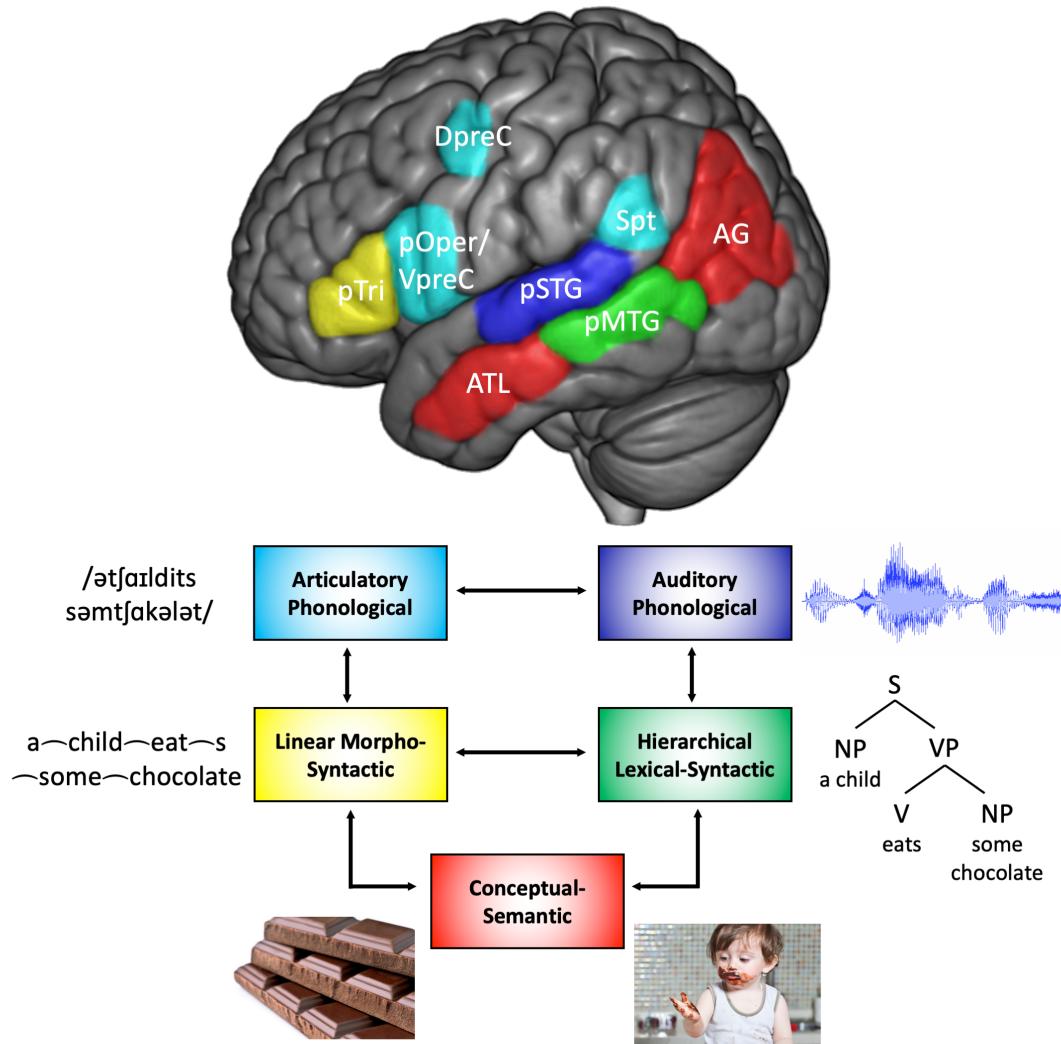


Figure 2. Cortical organization of syntax and the sensorimotor and conceptual-semantic brain systems it interfaces with. pTri: inferior frontal gyrus, pars triangularis; pOper/VpreC: inferior frontal gyrus, pars opercularis/ventral precentral gyrus; DpreC: dorsal precentral gyrus; Spt: Sylvian parietal-temporal; pSTG: posterior superior temporal gyrus (including dorsal bank of superior temporal sulcus); ATL: anterior temporal lobe; AG: angular gyrus; pMTG: posterior middle temporal gyrus (including ventral bank of superior temporal sulcus). Colored boxes correspond to the same colored brain regions. Arrows indicate bidirectional white matter connections between brain regions.

The auditory-phonological networks in the pSTG participate also in speech production, serving as sound targets for motor-phonological speech planning via a sensorimotor network (Hickok et

al., 2001; Hickok 2012; Hickok & Poeppel 2007; Rauschecker & Scott 2009; Hickok & Guenther, 2015). The sensorimotor network includes the posterior part of Broca's area, the pars opercularis, the precentral gyrus, the supplementary motor area (SMA), and an auditory-motor interface area, Spt, at the posterior end of the Sylvian fissure, (Hickok et al. 2003, 2009; Tourville & Guenther, 2011). Thus, phonological networks are asymmetric with respect to task: sensory nodes participate in both perception and production and motor nodes participate primarily in production (Hickok & Poeppel, 2000; Hickok et al., 2011; Hickok, 2012a) (Figure 2, cyan).

It has long been postulated that conceptual knowledge representations are widely distributed in cortex, yet organized in one way or another (e.g., by sensorimotor modality, adaptive significance) (Caramazza, 2000; Damasio, 1989; Gage & Hickok, 2005; Martin & Chao, 2001; Mesulam, 1990; Patterson et al., 2007; Wernicke, 1900). Recent work has identified two conceptual knowledge zones (Binder & Desai, 2011; Binder et al., 2009) that are particularly relevant to the current proposal: one in the ATL (anterior STS/MTG) that is involved in knowledge of entities (e.g., object categories) and another in the vicinity of the angular gyrus (AG) that is involved in event knowledge (thematic relations between entities) (Figure 2, red). The distinction between entities and events appears to be a rather fundamental psychological one, revealed in experiments in which adults and children universally tend to group sets of objects or toys together based either on their individual attributes (e.g., grouping CAT and DOG together based on shared features such as ANIMAL, FOUR-LEGGED, TAIL) or on their relational attributes, that is, how the object interacts with the other objects (e.g., grouping DOG with BONE, based on the shared events between them, e.g. WANTING, EATING, BURYING)

(Estes et al., 2011; Lin & Murphy, 2001). Fairly direct evidence for this neuroanatomical distinction in the context of a language task comes from Schwartz et al. (2011), who reported that taxonomic lexical substitution errors (e.g. *dog* -> *cat*) in stroke patients are associated with damage to the ATL, while thematic errors (e.g. *dog* -> *bone*) are associated with damage to the AG. Relatedly, several fMRI studies have shown increased activation with increased verb argument structure complexity (i.e., the number of entities participating in the event) in the AG but not the ATL (Thompson et al., 2007; Thompson et al., 2010; Meltzer-Asscher *et al.*, 2015; Malyutina and den Ouden, 2017). Additionally, stimuli containing thematic relations show more robust AG activation than taxonomic relations or non-thematic feature combination (Kalenine et al., 2009; Boylan et al., 2015; 2017; Lewis et al., 2015; Williams et al. 2017). In contrast, increased activation in minimal combinatory contexts that enrich the semantic representation of an entity-based element (e.g., adjective noun combinations like “red boat”) is consistently observed in the ATL and generally not in the AG (see Pylkkanen, 2015 for a review).

Drawing on basic distance minimization principles of neural connectivity (Chklovskii & Koulakov, 2004; Chklovskii et al., 2002), a reasonable prior for the location of a network involved in representing hierarchical syntactic information is the cortical zone that sits in between the auditory-phonological and semantic zones. This cortical area corresponds to the pMTG (Figure 2, green), the location of our proposed hub for hierarchical lexical-syntactic processing. Crucially, this region includes the ventral bank of the STS (Wilson et al., 2018a), which typically shows the most robust syntactic effects in neuroimaging studies (Pallier et al., 2011; Matchin et al., 2017a). This corresponds to the components of theoretical syntax that refer to hierarchical representations, such as phrase structure, C-command relations, movement, and

binding constraints (Chomsky, 1980; 1995). We define “posterior” as between the lateral extent of Heschl’s gyrus and the end of the Sylvian fissure. This functional anatomical organization converges with that proposed in Wilson et al. (2018a): a syntactic hub situated between semantic and phonological regions of the ventral stream.

We argue further that this pMTG syntactic hub interacts with other networks asymmetrically for comprehension versus production, analogous to the perception-production asymmetry in phonological networks. For comprehension, the pMTG converts an incoming linear sequence of morphemes processed in phonological networks into non-linear hierarchical structures, which are then mapped onto semantic networks. For production, the pMTG syntactic hub converts entity and event concepts processed in the ATL and AG into hierarchical syntactic representations, which are then translated by additional computations into linear morphological sequences for speech output. We argue that the translation from hierarchies to sequences is a function of the interaction between the pMTG syntactic hub and frontal networks. We suggest that the key frontal region is the anterior portion of Broca’s area, the pars triangularis (Figure 2, yellow), which is connected to the pMTG via the arcuate fasciculus (Yagmurlu *et al.*, 2016) and among the sub-regions of Broca’s area shows the greatest degree of sentence-specific effects in neuroimaging studies (Fedorenko *et al.*, 2012a). These procedures for mapping a hierarchical syntactic representation into a linearized sequence are the objects of inquiry in theoretical syntax and morphology that refer to linear order, such as word order constraints (e.g. the head parameter) and affixation rules (Chomsky, 1980; & Raimey, 2013) (see Bornkessel *et al.*, 2005 and Boeckx *et al.*, 2014 for related proposals regarding linearization and Broca’s area).

Thus, the comprehension-production asymmetry is determined by the difference in computational demands. In comprehension, the task is to convert an input-provided linear sequence of morphemes into a hierarchical representation and thereby to conceptual-semantic representations, whereas for production the task is to transform an intended message first into a non-linear hierarchy and then into a linear sequence of morphemes. This architecture also provides a natural explanation of the recruitment of frontal circuits in some circumstances of sentence comprehension (Matchin, 2017): sequencing mechanisms can be used to maintain information in working memory, which act as a buffer to the preserve the sequence of the input for hierarchical structuring—a kind of mental rewind button—and may facilitate predictions of upcoming input, via top-down activation of lexical-syntactic representations in pMTG (see Hickok, et al. 2011 for a similar proposal in the phonological domain and Hickok, 2014, Chapter 10 for some pitfalls with motor-based prediction in perception/comprehension).

Unlike some theorists (e.g., Hagoort, 2014; Friederici, 2017), we do not propose an anatomical correlate of basic syntactic operations that are promoted in lexicalist theories of syntax (Chomsky, 1995; Jackendoff, 2002). Instead we focus on broad representation types that are common to all such syntactic theories, leaving the details of specific operations to future work. We speculate that minimal operations such as Merge or Unify are instantiated by subtler biophysical properties within the pMTG such as network connectivity patterns or cortical oscillations (Ding et al., 2016; Murphy, 2015) (see Poeppel and Embick, 2005; Embick and Poeppel, 2015 for discussion of the difficulty in straightforwardly attempting to map basic operations to brain tissue).

*Sign language*

Research in the last several decades has revealed that sign languages possess the same core properties of language as spoken ones (Stokoe, 1960; Bellugi & Klima, 1976; Klima & Bellugi, 1979; Petitto 1994; Sandler & Lillo-Martin, 2006), as well as similar overall neurobiological organization (Hickok et al., 1996; Neville et al., 1998; Petitto et al., 2000; MacSweeney et al., 2002; Emmorey et al., 2007; Mayberry et al., 2011). In particular, sign languages appear to rely on the same underlying lexical-syntactic and semantic systems as for spoken languages (MacSweeney et al., 2006; Leonard et al., 2012; Newman et al., 2015; Matchin et al., 2017b).

While the lexical-syntactic and conceptual-semantic systems appear to be the same between speech and sign, potential differences in cortical organization concerning the frontal morpho-syntactic linearization system may derive from the distinct demands of producing sign and speech, and could be investigated in future studies.

**The Evidence**

We next turn to a detailed examination of the evidence regarding the two major regions that potentially process syntax: the posterior inferior frontal gyrus (pIFG), or Broca's area, and the posterior superior temporal sulcus/posterior middle temporal gyrus (pMTG). Across neuroimaging studies, these regions are the most strongly implicated in syntactic processes. However, key pieces of neuroimaging data and the neuropsychological literature provide compelling insight into a functional dissociation of these two areas. Namely, the pMTG (and not

pIFG) is consistently implicated in basic receptive syntactic processing, while both regions are implicated in sentence production, albeit distinctly.

### *Posterior inferior frontal gyrus*

Grammatical deficits in aphasia were first documented in speech production (Kussmaul, 1877) and are widely known as the syndrome of expressive agrammatism – the reduced use of function words and morphemes (Alajouanine, 1968; Goodglass & Berko, 1960; Jakobson, 1956). Despite this, most modern research and debate on the neurology of syntax has centered on comprehension. Indeed, as we noted in the introduction, the belief in a strong association between Broca's area (the pars opercularis and pars triangularis of the inferior frontal gyrus) and syntax emerged in the 1970s following a study that showed what appeared to be a grammatical deficit in comprehension alongside expressive agrammatism in people with Broca's aphasia (Caramazza & Zurif, 1976). Such patients performed well on sentences that could be comprehended heuristically, but failed on sentences that required a syntactic algorithm. For example, canonical subject-verb-object word order sentences (in English), (1) & (3), could be successfully comprehended by adopting a heuristic that assumes the first noun is the agent of the action, and sentences with semantically non-reversible elements (1 & 2, girls can eat sushi but not the other way around) could be comprehended by a semantic plausibility heuristic. However, neither heuristic gets the right answer for non-canonical, semantically reversible sentences as in (4), which requires a syntactic parse (See Grodzinsky, 2000 for a review). The co-occurrence of expressive and receptive agrammatism suggested a central deficit in syntax and the association between agrammatism and Broca's aphasia yoked syntactic function to Broca's area.

- (1) Non-reversible, subject-relative: *The girl that \_\_ devoured the sushi is smart* (good performance)
- (2) Non-reversible, object-relative: *The sushi that the girl devoured \_\_ is tasty* (good performance)
- (3) Reversible, subject-relative: *The girl that \_\_ pushed the boy is smart* (good performance)
- (4) Reversible, object-relative: *the girl that the boy pushed \_\_ is smart* (**poor performance**)

This initiated a long association between Broca's area and syntactic processing, which included dozens of studies testing grammatical abilities in Broca's aphasia (see Matchin & Rogalsky, 2017 for a review). Subsequently, much neuroimaging data showed an association between sentence processing and brain activity for this region, along with the pMTG, particularly for sentences with non-canonical word order (such as 2, 4) compared to sentences with canonical word order (such as 1, 3), a contrast that was assumed to tax syntactic resources (see Meyer & Friederici, 2016 for a meta-analysis and review).

Counter to this dominant modern narrative, however, we support the classical view – that the function of Broca's area in syntax is primarily tied to production. There are several problems with the conclusion that damage to Broca's area causes syntactic comprehension deficits. First, much of the research connecting syntactic deficits with damage to Broca's area relies on an implicit assumption that patients with Broca's aphasia have damage to this region. This assumption is problematic because damage restricted only to Broca's area does not cause Broca's aphasia; typically, patients with Broca's aphasia have much larger lesions extending

beyond Broca's area (Mohr et al., 1978), potentially impinging on the temporal lobe (Fridriksson et al., 2015). This means that any putative syntactic comprehension deficits in Broca's aphasia could derive from damage to other brain regions rather than Broca's area. Even assuming such a link, people with agrammatic Broca's aphasia generally succeed at making subtle acceptability judgments about the syntactic well-formedness of sentences (Linebarger et al., 1983; Wulfeck & Bates, 1991; Wilson & Saygin, 2004; see Matchin & Rogalsky, 2017 for a review). Success in making acceptability judgments requires the use of some form of syntactic representations, indicating that so-called agrammatic comprehension is not central loss of syntactic function.

More direct evidence against the proposal that Broca's area is a core seat of syntactic ability for comprehension comes from lesion studies. Lesion-deficit mapping studies of general sentence comprehension do not highlight Broca's area, but rather the angular gyrus, supramarginal gyrus, the temporal lobe (anterior and posterior portions), and occasionally more anterior parts of the IFG (pars orbitalis) (Dronkers et al., 2004; Baldo & Dronkers, 2007; Magnusdottir et al., 2013; Thothathiri et al., 2012; Rogalsky et al., 2018; Pillay et al., 2017; Fridriksson et al., 2018). Intact sentence comprehension and syntactic acceptability judgments in the face of Broca's area damage together speak strongly against a role for this region in basic syntactic processes.

While Broca's area is not implicated in *basic* receptive sentence processing, there is variable evidence from the neuropsychological literature for a role in the comprehension of *complex* sentences. For example, some studies have reported an association between Broca's area damage, or white matter tracts linking posterior temporal regions with Broca's area, and deficits in comprehending semantically reversible noncanonical sentences (Amici et al., 2007;

Magnusdottir *et al.*, 2013; Mesulam *et al.*, 2015; Wilson *et al.*, 2011). Other studies have reported no such association (Dronkers *et al.*, 2004; Race *et al.*, 2012; Thothathiri *et al.*, 2012; Rogalsky *et al.*, 2018). A straightforward summary of the current state of evidence is that a link between Broca's area and the processing of complex sentence comprehension exists—several studies have yielded positive results backed by relatively consistent functional imaging work—but is weak and variable. Such a pattern is inconsistent with proposals that assign a necessary syntactic computation to Broca's area for comprehension (Friederici, 2011; Hagoort, 2014).

The findings are much more robust regarding the link between Broca's area and language production. Large-scale lesion-deficit mapping studies have identified an association between damage primarily to Broca's area (including pars triangularis) and agrammatic production (Den Ouden *et al.*, 2019; Sapolsky *et al.*, 2010; Wilson *et al.*, 2010b; Wilson *et al.*, 2011; Fridriksson *et al.*, 2015) (Figure 3, left). Electrical stimulation of Broca's area, particularly pars triangularis, induces agrammatic production (Chang, Kurteff and Wilson, 2018). And, agrammatic production deficits can be observed following damage to dorsal white matter tracts connecting the IFG to the temporal lobe (Fridriksson *et al.*, 2007; Wilson *et al.*, 2011), suggesting that successful sentence production requires the interaction of processing in the IFG and the posterior temporal lobe.

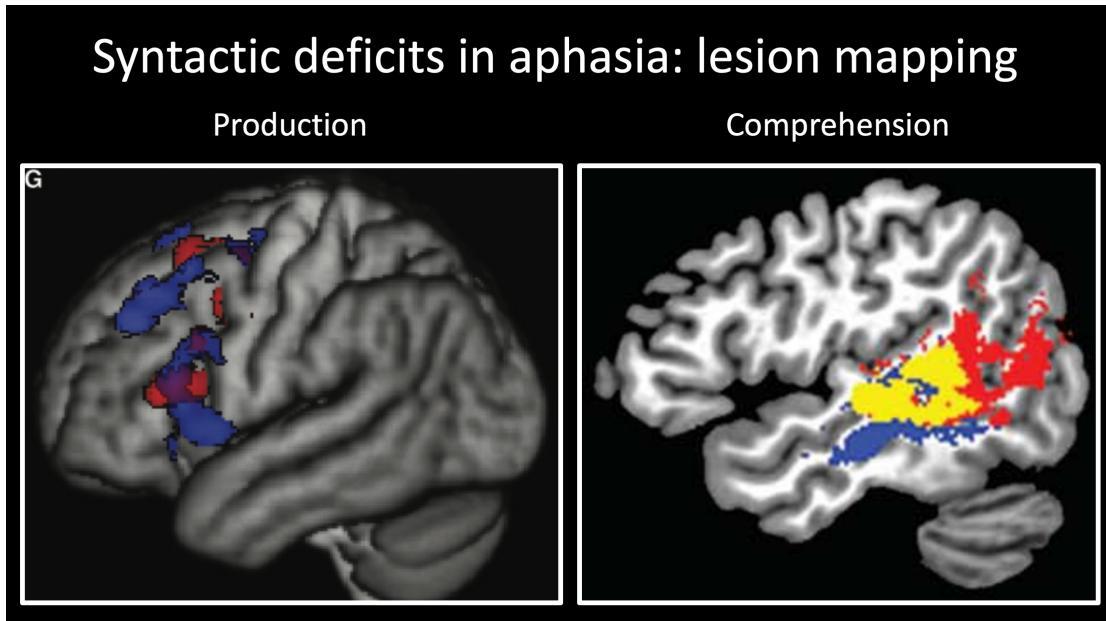


Figure 3. LEFT: Results of a voxel-based morphometry study of sentence production (reproduced with permission from Wilson et al., 2010b). Brain regions where atrophy was correlated with lower scores on the composite syntactic measure indicating greater syntactic impairments (red) and reduced numbers of embeddings (blue). RIGHT: Results of a voxel-based lesion symptom mapping study of sentence comprehension (reproduced with permission from Pillay et al., 2017). Overlap (yellow) between regions associated with impaired auditory sentence comprehension (red) and impaired auditory description naming (blue) with picture naming scores as a covariate.

If the role of the pIFG is primarily tied to production, why does this region consistently activate for structural manipulations in neuroimaging studies, even for relatively simple structures (Pallier et al., 2011; Matchin et al., 2017a; Zaccarella et al., 2017; Zaccarella & Friederici, 2015)? We have elsewhere suggested (in addition to other authors) that Broca's area activation is driven by working memory resources, perhaps specialized for sentences, (Fiebach *et al.*, 2005; Rogalsky & Hickok, 2011. Rogalsky *et al.*, 2015; Matchin, 2017). Additionally, these frontal resources may be harnessed to facilitate predictions in some situations by pre-activating lexical-syntactic representations stored in temporal cortex in a top-down fashion (Lau *et al.*, 2006; Friederici, 2012; Bonhage *et al.*, 2015; Matchin et al., 2017a; Rimmele *et al.*, 2018). This

supposition is supported by the findings of Wright et al. (2012) who found that the benefit to reaction time of a word monitoring task due to syntactic context depended on tissue integrity of Broca's area and the arcuate fasciculus (a white matter tract connecting frontal and posterior temporal cortex), as well as Jakuszeit, Kotz and Hasting (2013), who found that the speed of the ERP component associated with subject-verb agreement violations was delayed in patients with pIFG lesions. Consistent with these proposals, patients with Broca's aphasia (and left frontal lesions) show a boost to sentence comprehension performance when the rate of presentation is slowed (Love et al., 2008).

In summary, the evidence for the existence of a syntactic hub in Broca's area is weak and variable for comprehension but much stronger for production, which primarily implicates the more anterior sector of Broca's area, the pars triangularis. This production-comprehension asymmetry mirrors a similar state of affairs at the phonological level where there is equivocal evidence at best for a strong role in speech perception (despite many claims for a causal role) (Hickok, 2014b), and robust evidence for a critical role in phonological stages of speech production, particularly involving the posterior sector of Broca's area, the pars opercularis (see Hickok & Poeppel 2007 for review).

#### *Posterior middle temporal gyrus (pMTG)*

In the previous section, we argued that the link between syntactic processing and Broca's area in the neuropsychological literature holds primarily for language production, not comprehension.

Here we review evidence that shows strong associations between syntactic processing and the pMTG for comprehension *and* production.

With respect to comprehension, neuroimaging studies find that the pMTG and pIFG consistently exhibit effects of structure for semantically-impoverished sentences (Pallier et al., 2011; Fedorenko et al., 2012b; Goucha et al., 2015; Matchin et al., 2017a). These regions also show effects of structural complexity that cannot be accounted for by verbal working memory (Caplan et al., 2000; Rogalsky et al., 2008; 2015; Fedorenko et al., 2012a; Matchin et al., 2017a). There are, however, key studies that illustrate asymmetries between these regions – namely, that the posterior temporal lobe is associated with hierarchical processing while Broca's area is associated with linear processing. A series of fMRI studies by Tyler and colleagues has found that pMTG activates for simple phrasal stimuli, but that the response in pIFG is preferentially driven by inflected word forms, which rely on linear morpho-syntactic computations (Tyler et al., 2004; 2005; Bozic et al., 2015). Additionally, a recent fMRI study by Brennan et al. (2016) localized language-responsive regions of interest (ROIs) in individual subjects and then tested parsing models with an increasing degree of hierarchical information, from linear sequences, to simple hierarchical phrase structure, and finally to more complex transformed syntactic structures. They identified effects of linear sequence in all of the language regions tested, with additional hierarchical effects (both for simple and more complex phrase structure) in pMTG and ATL, but no hierarchical effects at all in pIFG. Finally, an electrocorticography (ECoG) study by Nelson et al. (2017) found that the response of electrodes in the pSTS (but not ATL and IFG) had strong correlations with predictive parsing models that plausibly underlie hierarchical syntactic computations.

In contrast to the weak and variable effects in the pIFG, damage to the pMTG is robustly associated with basic sentence comprehension deficits across many studies (Dronkers et al., 2004; Amici et al., 2007; Baldo and Dronkers, 2007; Race et al., 2012; Magnusdottir et al., 2013; Pillay et al., 2017; Fridriksson et al., 2018; Rogalsky et al., 2018; Wilson et al., 2018b; Wilson et al., 2018c). Importantly, such deficits cannot be simply attributed to word-level deficits as single word comprehension impairments are rare and typically mild following left unilateral injury (Rogalsky et al., 2008b), even when encompassing posterior temporal cortex (Selnes et al., 1984; Wilson et al., 2018b; Wilson et al. 2018c). In contrast, sentence comprehension deficits are more readily detected, even for simple experimental tasks (Dronkers et al., 2004; Wilson et al., 2018b; Wilson et al. 2018c) that do not place high demands on working memory or executive function resources.

A recent lesion-deficit mapping study by Pillay et al. (2017) provides compelling evidence (Figure 3, right). These authors employed two types of tasks. One was a picture naming task, which engages word-level phonological, lexical, and semantic processes as well as articulatory and executive functions. The other was sentence comprehension: first, a naming-to-auditory description task (e.g., stimulus: “what a king wears on his head”, target answer: “crown”), which in addition to processes engaged in single word naming, also engages syntactic processes involved in sentence-level comprehension. Second, they also employed an auditory sentence comprehension task, in which subjects decided whether an auditorily presented sentence matched a video animation. Picture naming is known to implicate superior and middle temporal gyri (Baldo et al., 2013; Indefrey, 2011; Indefrey & Levelt, 2004; Piras & Marangolo, 2007),

presumably reflecting phonological and lexical processes, respectively (Hickok & Poeppel, 2007; Indefrey, 2011; Indefrey & Levelt, 2004). Pillay et al. looked at the lesions associated with the naming-to-description task and the auditory sentence comprehension task once the effects of picture naming were covaried out from both tasks, thus factoring out single-word processing and isolating sentence-level comprehension. The overlap for the affected areas for sentence processing (with picture naming regressed out) centered on the pMTG. The IFG was not implicated by either task, reinforcing our claims about the lack of a role of the IFG in sentence comprehension. This means that while pMTG is clearly involved in single word lexical processing (see Lau et al., 2008 for a review), it is also involved in sentence comprehension above and beyond single words, presumably reflecting the extra load and/or additional representations involved in sentence processing.

Neuropsychological evidence for a link between syntax and the pMTG in sentence production comes in the form of a symptom of aphasia known as *paragrammatism*. As early as 1914, Karl Kleist recognized two disturbances of word order (“*störungen der wortfolge*”), one being agrammatism and the other paragrammatism (Kleist, 1914). Here is how he characterized them (translation from Papathanasiou & Coppens, 2017, p. 26):

The basic trait of agrammatism is the simplification and coarsening of word sequences. Complicated compound sentences (subordination of clauses) are not built. The patients only speak in small, primitive mini-sentences, if they continue to create sentences at all. All less necessary words, especially pronouns and particles, are reduced or eliminated....

An example from Goodglass (Goodglass, 1993):

Examiner: What brought you to the hospital?

Patient: Yeah... Wednesday, ... Paul and dad... Hospital... yeah... doctors, two....an' teeth.

In paragrammatism, Kleist continues,

the ability to create words orders is not abolished, but phrases and sentences are often wrongly chosen and thereby amalgamate and contaminate each other... phrases and sentence constructions are not completed. ... The spoken expression is not simplified overall, instead, also conditioned by a strong overproduction of word sequences, it swells to confused sentence monsters. (p. 10-11)

Goodglass (Goodglass, 1993) provides some examples of such “sentence monsters”:

“Well, all I know is, somebody is clipping the kreples and some wha, someone here on the kureping arm, ... why I don’t know.” (p. 86)

“I feel very well. My hearing, writing been doing well. Things that I couldn’t hear from. In other words, I used to be able to work cigarettes I didn’t know how.... Chesterfeela, for 20 years I can write it.” (p. 86)

Other paragrammatic errors are illustrated by Butterworth and Howard (1987):

“And I want everything to be so talk”

“She was handled to look at the books a bit”

“I’m very want it”

“Isn’t look very dear, is it?”

“But it’s silly, aren’t they?”

The existence of these two disorders suggests that brain injury can disrupt syntactic ability in speech production and can do so in two ways, broadly speaking: one that severely reduces grammatical structure (agrammatism) and another that leaves relatively intact the ability to generate syntactic sequences, but at a higher error rate (paragrammatism). Agrammatism is associated with non-fluent aphasia (e.g. Broca’s aphasia), relatively preserved language comprehension, and frontoparietal lesions (as discussed above), whereas paragrammatism is associated with fluent aphasia (Wernicke’s aphasia, conduction aphasia), relatively poor comprehension, and posterior temporo-parietal lesions (Dronkers & Baldo, 2009; Fridriksson et al., 2018; Goodglass, 1993; Henseler et al., 2014). Supporting this distinction, Casilio et al. (2019) performed a factor analysis in which paragrammatism was associated with empty speech, semantic paraphasias and neologisms (unattested word forms) but was independent of agrammatism.

While several studies have implicated primarily the pIFG in agrammatic production (Sapolsky et al., 2010; Wilson et al., 2010b; Wilson et al., 2011; Chang, Kurteff and Wilson, 2018; den Ouden et al., 2019), there is comparatively less direct evidence regarding the localization of

paragrammatic deficits. Its association with fluent aphasia, however, implicates posterior temporal-parietal regions. Yagata et al., (2017) report two cases studies of paragrammatic patients, both with confirmed damage to the posterior temporal and inferior parietal lobes and no frontal lobe damage. In addition, Wilson et al. present several case studies in which paragrammatism and sentence comprehension deficits were associated with posterior temporal damage (see patients included in both Wilson et al., 2018b and Wilson et al., 2018c).

To summarize this section, the pMTG is a stronger candidate than the pIFG for a region supporting basic hierarchical syntactic processes. It is consistently implicated in the comprehension of even simple sentences in neuropsychological and neuroimaging studies, shows classic sentence complexity effects, is correlated in activity with parsing operations consistent with hierarchical processing, and when damaged appears to result in a form of syntactic production deficit, paragrammatism.

### **The Comprehension-Production Asymmetry in Syntactic Processing**

The evidence we reviewed converges on the pMTG as hub for hierarchical lexical-syntactic processing, which plays a role in both sentence comprehension and production. The pIFG, in contrast, is associated primarily with production and plays a critical role, we argue, in morpho-syntactic sequencing. Here we elaborate this aspect of our proposal.

We have characterized the job of syntax as the process of transforming a linear sequence of elements into a nonlinear semantic representation and back again. But as we pointed out in the

preceding paragraphs, the computational task of encoding a semantic message into a linguistic form is not the same as that of decoding a message from the speech stream. Specifically, when listening to speech, the job of a parser is to recover the most probable structure given an observed sequence, a set of syntactic constraints (the rules of syntax), and the top-down discourse/semantic constraints that are available. It has been noted that sentence comprehension typically does not strictly require reconstructing all linguistic details of an utterance (Garrett, 1980; Bock, 1995), requiring only a “good-enough” representation (Ferreira et al., 2002). In the present context, we emphasize that the linear order of morphemes is given and does not need to be explicitly recomputed or maintained in the parse. That is, perceived morpheme order is used in the pMTG to construct a hierarchical representation and is then quickly discarded as the parse proceeds. This mechanism is sufficient for most sentence comprehension situations. However, in some cases, such as when a reanalysis or repair of a parse is needed, the capacity to recompute the linear sequence via interaction frontal networks (see below) can aid receptive parsing by providing a kind of mental rewind button. Such a mechanism may also serve a predictive coding function.

Producing speech or sign presents a different problem. The starting point is a non-sequential semantic representation that must be translated into a sequence of words and phonemes (Roelofs and Ferreira, in press; Levelt, 1989). In contrast to comprehension, the sequence is unknown and must be computed. In what follows, we propose an architecture for this computation.

We propose that production at the morpho-syntactic level is carried out using an architecture that is homologous to that proposed for the phonological level (Hickok et al., 2011; Hickok, 2012a;

2014a), which in turn is homologous to architectures for sensorimotor control generally (Shadmehr & Krakauer, 2008). The basic plan for such architectures is that sensory-related cortex codes targets for output planning, which typically involves sequence coding. In visuomotor control, sensory targets might be objects of a particular size, shape, and location. The computational task in motor planning is to transform visual-based features into a motor plan to “hit” those targets (size, shape, location) with an appropriate sequence of reach and grasp actions of the limb. In phonological output planning, sensory targets are stored memories of the sound pattern of a word. The computational task here is to transform high-level auditory speech features into a sequence of motor speech gestures that will reproduce a sequence of sounds that will “hit” the sound pattern target. In syntactic output planning, we propose that the targets are hierarchical forms (configurations of treelets). The computational task is to transform the hierarchical configurations into a sequence of morphemes that, when decoded by a listener, will reconstruct the same hierarchical configuration. This conservation of computational architectures, we believe, is sensible from an evolutionary standpoint in that it provides a framework for developing hypotheses about how language systems might have evolved from sensorimotor networks. Indeed, we suggest a common “computational ancestor” for these different forms of output planning; the systems are architecturally and computationally homologous.

In Figure 2, note the hierarchical arrangement of the syntactic system (higher level) compared to the phonological system (lower level), which both involve “sensorimotor” loops (where the term sensorimotor is used in the sense of the computational and network homology). The dark blue, green, and red nodes together comprise the comprehension network. We assume that in

comprehension the lexical-phonological network processes each speech input, sequentially activating lexical-syntactic representations in the pMTG that are integrated into a broader non-sequential relational hierarchy and related to the conceptual network for comprehension. The language production network comprises all of the displayed components. Production starts with a conceptual representation in ATL/AG (red) that is transformed into a non-linear hierarchical representation coded in the pMTG (green). The hierarchical representations in turn serve as the target for a corresponding morphosyntactic sequence (yellow) that is computed via interaction with inferior frontal networks, the pars triangularis in particular. This proposal is consistent with evidence for frontal involvement in sequential processing in artificial grammars, meaningless speech sequences, and music (Flöel et al., 2009; Petersson et al., 2012; Friederici et al., 2006; Bohland & Guenther, 2010; Gelfand & Bookheimer, 2003; Leaver et al., 2009; Rong et al., 2018).

We propose a direct link between conceptual-semantic representations (red) and the morpho-syntactic sequencing network (yellow) based on the following theoretical and empirical considerations. If the only pathway for activating the frontal sequencing network passes through the hierarchical lexical-syntactic system, then damage to that system should dramatically reduce sentence-level speech output. Instead, when damage occurs to the posterior temporal lobe, one observes grammatical impairments, but output remains fluent (even prodigious). There must be some means to activate morpho-syntactic sequences without involvement of an intact hierarchical lexical-syntactic system. We therefore propose the existence of direct links between the semantic system and the sequence coding network as the culprit. In essence, we suggest the existence of something like “comprehension lemmas” (lexical-syntactic treelets of the pMTG)

and “production lemmas” (linear morphological sequence “chunks” of the pars triangularis) (see Caramazza, 1986 for neuropsychological evidence regarding the existence of both an input and an output lexicon), and that both systems can be driven by activation in the conceptual-semantic system. Similar arguments have been made for speech production at the phonological level (Hickok, 2012a; Hickok, Houde, & Rong, 2011).

Why isn’t a direct semantic-to-morpheme sequence pathway sufficient for normal grammatical production? Because it lacks a hierarchical target that constrains the form of the sequence. Why would such a direct pathway exist at all? It would be useful and sufficient for idiomatic or automated expressions (“How are you today?”) and it could improve efficiency by pre-activating high-frequency sequence chunks similar to motor sequence chunking generally (a form of predictive coding) that can be fine-tuned or integrated with a sentence context via interaction with the lexical-syntactic network. Again, analogous arguments have been made at the phonological level (Hickok, 2012a; Hickok et al., 2011) and have a long history, dating back to the 19<sup>th</sup> century (Wernicke, 1874/1969). Here we extend it to syntactic production (again, see Caramazza, 1986).

Consider, now, the distinction between agrammatism and paragrammatism in light of this theoretical framework. Paragrammatism results from impairment of hierarchical lexical-syntactic representations, but intact morpho-syntactic sequencing. Output is fluent because direct conceptual selection of morphological sequences is intact, but it is prone to “sentence monsters” and other syntactic errors because it is unconstrained by hierarchical relationships. An illustration comes from agreement errors in paragrammatic speech, e.g., a mismatch in number

feature between subject and verb, as in *the birds was a color* (reported in Yagata et al., 2017).

Agreement can be arbitrarily linearly far apart, as shown in (1-3):

- (1) **the man** sleep-s
- (2) **the man** that had a dozen hats sleep-s
- (3) **the man** from Tallahassee that had a dozen hats sleep-s

The local hierarchical relation between the subject and verb (that is preserved regardless of linear distance) is critical for selecting the appropriate agreement morpheme -s. Thus, in English-speaking paragrammatic patients we hypothesize that the affixation rules for attaching an agreement morpheme onto a verb are intact (relying on the frontal morpho-syntactic sequencing system), but that the hierarchical relationship that constrains the selection of the appropriate agreement feature is impaired. Agrammatism, by contrast, results from damage to the sequencing mechanism itself, thus dramatically reducing the ability to sequence much of anything at all, including a striking reduction in the presence of inflectional morphology. Relatively preserved ability to judge grammaticality of perceived sentences in agrammatism (Linebarger et al., 1983) is explained on this account by an intact hierarchical lexical-syntactic system, the comprehension side of the network. Consistent with this model we note evidence linking impairments in grammaticality judgments primarily to posterior temporal lobe damage (Wilson & Saygin, 2004).

If paragrammatism results from impaired syntactic hierarchies, shouldn't sentence output exhibit some degree of reduced syntactic complexity, in contrast to Kleist's claim of no simplification?

Indeed it should and indeed it does, although the reduction appears to be in the form of a reduced frequency of complex structures rather than an absolute inability to generate complex syntax on any attempt (Bastiaanse et al., 1996; Bates & Devescovi, 1989; Goodglass, 1993). Interestingly, this parallels what has been observed in phonological ability in fluent aphasia (conduction aphasia, in particular): phonological errors are relatively common and exacerbated by phonological complexity or lower frequency forms, yet examples of error-free complex or low frequency phonological forms are produced nonetheless (Goodglass, 1992). At the phonological level, this is attributed to damage to an error detection and correction mechanism rather than phonological sequence coding; the assumption is that the probability of a sequence coding error increases with complexity (Hickok, 2012b; Hickok et al., 2011). Thus, the deficit leads to greater difficulty with more complex or infrequent forms but does not preclude their occasional correct output. A similar mechanism is at work for syntax, we propose. Morphological sequences can be generated from semantic representations, but the probability of an error increases with greater complexity/lower frequency because the sequences cannot be constrained by interaction with hierarchical representations.

Our account, in which lexical representations and syntactic hierarchies are part of the same system encoded in the pMTG, also explains why paragrammatic speech is often semantically impoverished (e.g., “Things that I couldn’t hear from”) and exhibits a healthy dose of lexical selection errors (e.g., “I used to be able to work cigarettes”) (Bastiaanse et al., 1996; Casilio et al., in press). Both symptoms could stem from lexical-level problems, which would lead to access *failures* and the overuse of filler words (“things” and the like), as well as access *errors*

leading to a lexical substitution. The co-occurrence of lexical and syntactic errors makes sense in light of the proposed lexical/syntactic hub.

### **Conclusions, future directions, and loose ends**

The neuroanatomical model of syntax we have proposed here integrates research in a wide variety of domains: theoretical linguistics, psycholinguistics, computational modeling, neuroimaging, and neuropsychology. This ambition leaves many important data points and theoretical perspectives unaddressed. However, approaching the problem of syntax in the brain with conceptual and theoretical motivations regarding the fundamental nature of syntax as connecting sound and meaning, and as connecting distinct semantic systems with each other, has helped to piece together many disparate empirical findings across domains. One of the biggest challenges in the cognitive neuroscience of syntax is reconciling theories of syntax in the brain, usually motivated by neuroimaging data, with conflicting neuropsychology data. We have shown here that a role for the posterior temporal lobe in hierarchical lexical-syntactic processing and inferior frontal cortex in linear morpho-syntactic sequencing successfully resolves this conflict. This distinction allows us to make the following empirical predictions:

- Large-scale lesion-deficit mapping studies should reveal a double dissociation between agrammatic and paragrammatic production: agrammatic production follows from damage to Broca's area, while paragrammatic production follows from posterior temporal damage.
- Neuroimaging studies of sentence production, using computational models that specify distinct stages of hierarchical and linear syntactic processing, should identify correlations

between hierarchical planning processes with activation in pMTG and linear planning processes with activation in pTri.

- Neuroimaging studies of syntactic comprehension using tasks that encourage subjects to parse linear morpho-syntactic information vs. simple tasks that focus on semantic interpretation without requiring explicit linear parsing will differentially recruit pTri, but more equally recruit pMTG.

An additional question that we have not so far addressed is how to reconcile the focal picture of syntactic processing painted by the neuroimaging literature with the coarser picture painted by the neuropsychological literature. Earlier we noted that chronic linguistic deficits in aphasia typically do not result from focal damage to the inferior frontal gyrus, requiring broader lesions to produce full-blown Broca's aphasia (Mohr, 1978; Fridriksson et al., 2015). Now, we have claimed that linearization processes in syntax are tied to a subregion of Broca's area, the pars triangularis, and one may wonder whether the lesion evidence is a problem for our claim: if damage restricted to Broca's area does not produce Broca's aphasia then presumably it doesn't produce agrammatism, as we would expect given our localization claims. We have two comments on this issue. One is that restricted damage to Broca's area may have an effect on syntax, if not profoundly. Commenting on the effects of lesions restricted to Broca's area, Benson and Ardila (1996) write, "Although these patients can produce an occasional long phrase, phrase length is usually shortened; syntax is restricted (simplified) and occasionally incorrect; a full picture of agrammatism, however, is not observed." (p. 127). The fact that *some* degree of syntactic difficulty is evident even with focal lesions to Broca's area is consistent with our proposal. What remains to be explained is why the deficit isn't severe. One likely possibility

is that the computational machinery we ascribe to the pars triangularis is not as focal as the functional imaging evidence suggests. A limitation of hemodynamic methods is that across studies they will tend to identify only the most robust and temporally sustained effects, perhaps missing key contributions from other regions that have activations that are subthreshold or more variable localization from one participant to the next. Indeed, one study that used electrocorticography (affording precise spatial and temporal resolution) identified a much broader network of cortical regions engaged by syntactic mechanisms (Nelson et al., 2017). This is an important issue to be addressed in future research.

Finally, our model raises questions about the evolution and development of syntax and semantics in the human brain. The data appear to suggest that the syntactic function of the pMTG may be in part innate. The superior temporal sulcus (STS), as part of pMTG, is a general anatomical region that is greatly expanded in humans relative to non-human primates (Hill et al., 2010), as are white matter tracts connecting the frontal lobe to pMTG (Rilling et al., 2008). The specific morphology of the STS, namely its hemispheric asymmetry in depth, is unique to humans (Leroy et al., 2015) and is present in 3-month-old infants (Glaser et al., 2011), suggesting a genetic component. Also, if the lexical-syntactic system derives its properties solely through the emergent connections between sensory and semantic systems, then one would expect a distinct cortical locus between auditory-vocal and visual-manual languages. However, syntactic effects for spoken languages and sign languages occur in apparently the same portions of the ventral STS (Pallier et al., 2011; Matchin et al., 2017b). How these evolutionary changes relate to the syntactic function of pMTG remains a tangible mystery to be explored. This does not suggest that the entire system is genetically determined – the specific hierarchical structures in a given

language vary across languages, and must be acquired through experience. Late environmental exposure to language in development is correlated with severe language deficits (Mayberry et al., 2002) and reduced activations in posterior temporal and inferior frontal brain regions for sentence comprehension in adulthood (Mayberry et al., 2011). This suggests a crucial interaction of genes and environment for the syntactic properties of the pMTG to emerge.

Similar questions concern the morpho-syntactic sequencing function of the pIFG, pars triangularis (Matchin, 2017). The dorsal white matter tract connecting the pMTG to the pIFG, the arcuate fasciculus, is relatively immature in young children relative to adults compared to other white matter pathways (Dubois et al., 2006; 2008), suggesting that the link between these regions is constructed in development and may drive the sentence-specificity of activations in the pars triangularis (Fedorenko et al., 2012a). However, without direct evidence, such proposals remain speculative and need to be confirmed with research examining the degree of sentence-specificity occurring in both of these regions across the lifespan.

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