Nguni bare nouns: licensing without Case* Vicki Carstens

University of Connecticut

Nguni bare or *augmentless* nominals ([-A]) are licit only as strict negative dependents and *wh*-words. They may not appear in any preverbal subject position unless local to a negation-licensed [-A] COMP of a subjunctive clause (Pietraszko 2021). This pattern motivates an analysis in terms of negative concord and a labeling-theoretic approach to the EPP (Chomsky 2013): [-A] nouns have *u*Neg features which thwart agreement and labeling in [XP,YP] configurations (see also Bošković 2019, 2020 on uFs and labeling problems) unless valued by interpretable negation in a syntactic Agree relation (Zeijlstra 2008, Penka 2011, Haegeman & Lohndal 2010). A cluster of further distributional restrictions on [-A] nominals are predictable from an independently motivated Nguni clausal topography of focus (Carstens & Mletshe 2016) eliminating any role for abstract Case in explaining the facts, contra Halpert (2015) and Pietraszko (2021). The analysis is inspired by and extends to parallel restrictions in Romance languages previously attributed to the ECP and the EPP (Contreras 1986, Deprez 2000, Landau 2007, Longobardi 1994).

1 Augmentless nominals: analytical challenges

1.1 Overview

A lively debate exists on the question of whether Bantu nominals have Case-licensing requirements and accordingly whether Case can be viewed as a universal (see among others Carstens & Diercks 2013a, Carstens & Mletshe 2015, 2016, Diercks 2012, Halpert 2015, Harford 1986, Pietraszko 2021, van der Wal 2015). In the Nguni languages of southern Africa this controversy centers on the distribution of bare, so-called *augmentless* nominals (henceforth [-A], underlined in examples). These lack the outer of two class prefixes which most nominals bear as exemplified in the contrast between (1) and (2).

^{*}For helpful discussion and comments I thank Zeljko Bošković, Yanelisa Mbana, Loyiso Mletshe, Patricia Schneider-Zioga, Galen Sibanda, Jochen Zeller, and audiences at ACAL 53, Bantu 8 and 9, and colloquia at University of Illinois and NYU. I am grateful also to two anonymous reviewers for valuable input.

¹ Zulu, Xhosa, and Zimbabwean Ndebele are very closely related and grammatically similar languages of the Nguni subgroup (Guthrie's Zone S40). Data in this paper for which sources are not explicitly identified come from my field work with native speakers of Xhosa and Zulu in Cape Town, Durban, and New Haven.

² PVSP = preverbal subject position; [-A] = augmentless, [+A] = augmented, AUG = augment; NEG = negation; SM = subject marker; OM = object marker; SBJ = subjunctive; DISJ = disjoint, a VP-final verb form; REL = relative marker, AGR = agreement, FV = final vowel, Q= question, COP = copula, PST = past, CAUS= causative, POSS = possessive, FUT = future, DEM = demonstrative, LOC = locative, COMP = complementizer, AUX = auxiliary, NCI = negative concord item, X_F means X is [+focus]. Numerals

(1)	a. u-mu-ntu	b. i-n-dawo	c. u-bani?	[Zulu]
	AUG-1-person	AUG-9-place	AUG-1who	
	'a/the person'	'a/the place'	'who?' (preverbal)	

(2) a. Ø-<u>mu-ntu</u> b. Ø-<u>mi-fino</u> c. Ø-<u>bani?</u> [Zulu] Ø-1-person Ø-4-vegetables Ø-1who 'nobody/anybody' 'no/any vegetables' 'who?' (postverbal)

The distribution of [-A] nominals is constrained in several ways that play a role in the Case debate. First, [-A] nominals serve only as negative dependents and post-verbal *wh*-words, as (2) and (3) illustrate. (3)a is an object question formed with the [-A] in situ *wh*-word *bani* – 'who'? A negative answer may include the [-A] nominal *muntu* – 'anybody/nobody' as in (3)b. (3)c shows that *muntu* may be used as a negative fragment answer, but the unacceptability of (3)d arises because when *muntu* appears within a sentence, it must have the c-commanding negation that is present in (3)b.

- (3) a. U-bon-e <u>bani</u>? b. A-ngi-bon-anga <u>mu-ntu</u>! [Zulu]

 2sSM-see-PST 1who

 'Who did you see?'

 'I didn't see anybody!'
 - c. Mu-ntu! d. *Ngi-bon-e mu-ntu.
 1-person 1SM-see-PST 1-person
 'Nobody!' Intended: I saw somebody *or* I saw nobody.

A second constraint, brought to light in Halpert (2015), is the exclusion of [-A] nominals from all vP-external positions including preverbal subject position (henceforth PVSP) as shown in (4). The restriction leads Halpert to propose that [-A] forms require Case-licensing which is available only vP-internally from a clause-medial downwards probing head L, as illustrated in (5).³ Because [+A] are encased in KP shells headed by

indicate noun class (number+gender). Subject agreement in person/number is 1sSM/2sSM or 1PlSM/2PlSM (first or second singular or plural) as opposed to e.g. 1SM = SM in noun class 1. [Xhosa/Zulu] = examples homophonous in the two languages. I do not mark tone, which does not play a role in the phenomena of concern.

2

_

³ APPL or CAUS adds a Case-licenser to ditransitives, in Halpert's account; this will not be relevant here.

the augment (see (5)b), they do not require Case from a clause-level functional head and so do not exhibit the same restrictions, under Halpert's account.⁴

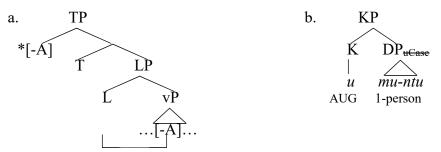
(4) a. *Bani u-fik-ile?

[Xhosa/Zulu]

1who 1SM-arrive-DISJ.PST Intended: Who arrived?

b. *Mu-ntu u-fik-ile /a-ka-fik-ile. 1person 1SM-arrive-DISJ.PST/NEG-1SM- arrive-DISJ.PST Intended: Nobody arrived. [Zulu]

(5) Halpert (2015): A single Case-licenser L of Nguni (in)transitive clauses licenses [-A].



A third significant restriction is a clausemate requirement for negative-dependent [-A] nominals in indicative contexts as exemplified in (6). Carstens & Mletshe (2016) propose that negative-dependent [-A] bear negative concord features which must be valued in a clause-bound Agree relation with negation ((6) = Carstens & Mletshe 2016:769:(22)a).

(6) *U-Simiso **a**-ka-shongo [ukuthi u-Nothando u-theng-e <u>mi-fino]</u>. [Zulu] AUG-1Simiso NEG-1SM-say COMP AUG-1Nothando 1SM-eat-PST 4-vegetables 'Simiso didn't say that Nothando bought any vegetables.'

These disparate factors all enter into debate on the question of how best to characterize the properties of [-A] nominals and whether they reveal something about Case in Nguni. Researchers differ in their opinions of what is relevant. Halpert (2015) and Pietraszko

-

⁴ Under Halpert's (2015) analysis the KP shells explain much-discussed Bantu Case anomalies in which Nguni [-A] cannot participate including hyper-raising from tensed clauses, multiple subject agreement, and overt preverbal subjects within clauses whose verbs bear the noun class morphology of infinitives. As a review lies outside this brief paper's scope I refer the interested reader to Halpert (2015) and Pietraszko (2021) for descriptions of the facts in Zulu and Ndebele; to Harford (1986), Carstens & Diercks (2013), Diercks (2012) for analyses in terms of a complete absence of Case in Bantu; and to Baker (2003) and Carstens & Mletshe (2015) for the view that only [+A] nominals require Case. See §9 for brief discussion.

(2021) argue that the *wh*-hood and negative dependence of [-A] nominals have little bearing on their syntactic distribution, while Carstens & Mletshe (2016) propose that the functions of [-A] as *wh*- and strict negative dependents indicate that they have focus features which bar them from clausal positions that have been identified as inhospitable to [+focus] material, including PVSP (on which see Sabel & Zeller 2006, Zeller 2008).

The most recent chapter in this controversy opens with some previously unnoticed instances of the PVSP restriction which Pietraszko (2021) presents as proof that the Case approach is correct. If her argument holds up, then the question may be viewed as settled. If not, the evidence that her paper brings to light requires an alternative analysis.

Pietraszko (2021) describes PVSP restrictions in three Ndebele dependent clause types exemplified in (7)a-c. She argues that [-A] nominals are barred from these PVSPs because Case-licensing by Halpert's L is clause-bound, an analysis illustrated in (8).⁵

- (7) [-A] are excluded from PVSP of (a) subjunctive, (b) relative, (c) participial clauses.
 - a. *U-fun-a ukuthi [bani a-buy-e]? [Ndebele; Pietraszko 2021:589]
 2sSM-want-FV COMP 1who 1SM-come-SBJ
 Intended: Who do you want to come?
 - b. *U-zwe i-n-goma [bani a-yi-hlabelileyo]?

 2sSM-heard AUG-9-song 1who 1SM-9OM-sing.PST.REL
 Intended: You heard the song that who sang?
 - c. *U-za-pheka [bani e-nga-ka-fiki]?
 2sSM-FUT-cook lwho lSM-NEG-yet-arrive
 Intended: You will cook with who not having arrived yet?
- (8) Case locality failure into dependent clauses [Pietraszko 2021:592]

⁵ Pietraszko's account of participial clauses is slightly different in that she proposes they adjoin to matrix TP and hence are not c-commanded by L; this is irrelevant for present purposes.

1.2 Conflicting evidence

Pickiness about the properties of preverbal subjects is common, across languages.

Researchers typically relate such phenomena to the Extended Projection Principle (EPP) of Chomsky (1981); see among many others Buell (2007) and Halpert (2015) on exclusion of PPs and CPs respectively from Zulu PVSPs, and Deprez (2000) on exclusion of bare nouns from PVSPs in Romance. The EPP seems at least as plausible a source of the restrictions on [-A] nominals in (7) as is the hypothetical absence of abstract Case preverbally, particularly given Halpert's and Buell's reports of other Nguni PVSP restrictions.

Moreover, there is reason for skepticism about the Case approach in additional Ndebele data, some of which Pietraszko (2021) presents in a footnote. Pietraszko (2021:592 note 12) shows that a [-A] nominal may in fact appear in subjunctive PVSP just in case the local COMP is augmentless. Compare (9) with the well-formed (10). Here the augment drops from the COMP (*u*-)*kuthi*, a nominalization of the verb 'to say' (Pietraszko 2019), and the augment of the subject which follows it may drop as well.⁶

- (9) A-ngi-fun-i [u-kuthi *(u-)Sipho a-buy-e]. [Ndebele; Pietraszko 2021:591] NEG-1sSM-want-NEG AUG-COMP AUG-1Sipho 1SM-come-SBJ 'I don't want Sipho to come.'
- (10) A-ngi-fun-i [kuthi (u)Sipho a-buy-e]. [Ndebele; Pietraszko 2021:592] NEG-1sSM-want-NEG COMP AUG-1Sipho 1SM-come-SBJ 'I don't want Sipho to come.'

Pietraszko (2021) suggests that (10) is an instance of a broad phenomenon wherein Agree with a phasal category allows the probe involved to subsequently ignore the phase

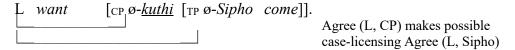
⁶ Two points concerning these examples: (i) §4.1 shows that [-A] proper names pattern syntactically like other [-A] negative dependents in all respects. (ii) Zulu has a homophonous COMP cognate to Ndebele's

COMP *ukuthi*, while Xhosa's counterpart COMP is a form of the verb 'be'. Neither can augment-drop, suggesting that they are not fully nominal like the Ndebele COMP.

5

boundary, accessing material within it (see (11) from Rackowski & Richards 2005:582). She accordingly characterizes (10) as support for the Case account of the PVSP exclusion of [-A] nominals. I illustrate her proposal in (12), taking the probe that hypothetically Case-licenses the CP and the preverbal subject to be Halpert's L as in Pietraszko (2021).

- (11) *Principle of Minimal Compliance*: Once a probe P Agrees with a goal G, P can ignore G for the rest of the derivation (Richards 1998, Hiraiwa 2001).
- (12) Agreement unlocking a phase for Case-licensing into it



A first problem with this approach concerns the role of negation, which is essential for augment-drop. This is clear from comparison of (10) with examples (13)a,b wherein the matrix clauses are affirmative. Augment-drop is impossible from COMP and the subject in both the statement (13)a and the question in (13)b, though for Halpert and Pietraszko the licenser L is present in every clause. In light of this pattern, a syntactic "unlocking" role for negation as sketched in (14) seems a better fit than (12) to explain (10).

(13) a. Ngi-fun-a [*(u)-kuthi [*(u-)Sipho a-buy-e]] [Ndebele; Sibanda p.c.] 1sSM-want AUG-COMP AUG-1Sipho 1sM-come-SBJ 'I want Sipho to come.'

_

⁷ An anonymous reviewer points out that Pietraszko (2019) claims Ndebele CPs are encased in DP shells headed by the augment: [DP AUG [CP kuthi [TP SU...]]]. Assuming CP is a phase, Agree (L, DP) cannot suffice under (11) to unlock it for probing of the preverbal subject within it. Access is not likely improved by NEG-licensed augment-drop from COMP: clauses introduced by [-A] COMPs pattern like [-A] DPs in that they cannot control agreement or dislocate (Pietraszko 2019:72), so Pietraszko suggests that they and other [-A] nominals have null D heads; hence paralleling Halpert's (5)b their structure would be [DP Ø [CP kuthi [TP SU...]]]. An alternative might take the DP layer to be omissible in negation's scope to yield bare NPs and CPs as complements to negated verbs (note this would seem to involve syntactic licensing by NEG of something akin to S'-deletion). While such an approach might make possible Pietraszko's account of (9) vs. (10) under (12), all other arguments against the Case account to be presented in this article stand: (15) can only be explained by focus-features of [-A] nominals incompatible with indicative PVSP; a syntactic licensing role for negation vis-à-vis [-A] is independently motivated by negative concord phenomena (see §3); and L is superfluous given ample evidence that negative concord, focus, and EPP determine [-A] distribution. See also Carstens (to appear) for evidence that both [+A] and [-A] nominals are opaque to extraction, providing no support for a difference in their size (a diagnostic from Bošković (2005, 2008c).

- b. U-fun-a [*(u)-kuthi *(u)-bani a-buy-e?] [Ndebele; Pietraszko p.c.] 2sSM-want AUG-COMP AUG-1who 1SM-come-SBJ 'Who do you want to come?'
- (14) Alternative hypothesis: negation as a syntactic licenser "unlocking" CP in (10) NEG want ... [CP Ø-kuthi [TP (u)-Sipho come]].

Given the indispensability of negation for augment-drop to succeed in these cases, a question arises as to whether the role of L can be eliminated to yield a simpler analysis.

For Pietraszko (2021:583), negation (or *wh*-hood) is purely a "semantic precondition" for augment-drop and not a structural licensing factor; in this Pietraszko builds on Halpert's (2015) identification of Zulu [-A] nominals in negative contexts as NPIs. But her glosses indicate no semantic correlates to augment-drop from complementizers and proper names paralleling the interpretive phenomena that argue for semantic dependency of NPIs on negation (see Kadmon & Landman 1993, Chierchia 2006 on domain widening; Giannakidou et al 2019 on referential deficiency). Pietraszko's division of labor for negation and L therefore seems arbitrary.⁸

A second problem with Pietraszko's approach is that it gives rise to a false prediction. Pietraszko (2019) shows that the augment of an Ndebele COMP introducing an indicative clause may drop in the scope of negation, just like that of the COMP introducing

PI. .

⁸ The absence of NPI-like semantic correlates to augment-drop from Ndebele COMP and proper names in Pietraszko's examples suggests that NEG-licensing of these items is purely syntactic. Consistent with this Pietraszko (2019:72) reports that augment-drop from COMP seems to convey only emphasis, and for [-A] proper names in negation's scope Xhosa speakers report only contrastive focus readings; see §2 on focus features of [-A]. However two Zulu speakers that I consulted reported ambiguity between contrastive focus and an NPI-like reading for [-A] proper names in negation's scope and Galen Sibanda, the one Ndebele speaker I am able to consult, gets a focus reading for 'Sipho' in (10) but finds more salient the reading 'any Sipho'. This is not surprising or problematic for a syntactic approach, since syntactic NEG-licensing is generally close companion to semantic dependence rather than an opposing option: Chierchia (2006) encodes NPIs' dependency on NEG in a morpho-syntactic feature; negative concord is syntactic Agree between NEG and local (semantic) dependents Zeijlstra (2004, 2008).

the subjunctive in (10). As noted above, Pietraszko (2021) claims that a [-A] COMP indicates an Agree relation between L and CP, unlocking the CP for L to Case-license its contents. Given this, the preverbal subject of an indicative complement to a [-A] COMP should be able to augment-drop, but (15) shows that it cannot ((15) adapted from Pietraszko 2019:587:(12a)).

(15) A-ngi-cabang-i [(u)kuthi [*(u)-<u>Sipho</u> u-za-pheka]] [Ndebele] NEG-1SM-think-NEG AUG-COMP AUG-Sipho 1SM-FUT-cook 'I don't think Sipho will cook.'

Summing up, Pietraszko's (2021) account of (10) wrongly predicts that when Ndebele's indicative clause COMP drops its augment under negation in (15), Case from L should enable the local subject to augment-drop too. The account thus falls short of its goal of explaining the distribution of [-A] nominals as preverbal subjects. Analytical questions also arise from the claim that Case from L is the crucial syntactic licensing factor in Ndebele (10), since the grammaticality contrast between (10) and (13) hangs on the presence or absence of negation. In Zulu and Xhosa, COMPs cannot augment-drop (see note 6) but proper names may do so in the scope of clause-mate negation, yielding the same questions as arise in Ndebele vis-à-vis the nature of negation's role.

This article presents an alternative approach in which negation is the syntactic licenser of augment-drop in negative contexts, analyzed as a form of negative concord. Negative concord licenses both the [-A] COMP and the [-A] subjunctive subject in (10). [-A] nominals are excluded from PVSP of indicatives like (15) because it is antifocus (Sabel & Zeller 2006, Zeller 2008); as strict negative dependents and *wh*-words, [-A]

nominals have focus-features incompatible with this location. The remaining PVSP restrictions are EPP-effects.

The rest of the article is structured as follows. §2 shows how the Nguni topography of focus and anti-focus accounts for all distributional restrictions on [-A] nominals apart from the non-indicative PVSP facts in (7) (Carstens & Mletshe 2016). §3 establishes the properties of negative concord in Xhosa and Zulu and demonstrates that the licensing of a subjunctive clause [-A] subject perfectly parallels augment-drop negative concord spreading into DPs. §4 proposes that all [-A] nominals must be syntactically licensed by NEG or interrogative C_Q and shows that of the two relations, only NEG-licensing involves feature-valuation. These factors pave the way for §5 to present an EPP-as-labeling-theoretic account of residual PVSP restrictions and of their ability to be neutralized by negative concord. §6 provides evidence that each [-A] noun has a null D which can be licensed by only one or the other of NEG or Co (never both) and assimilates the relations to operator-variable binding. §7 extends the labeling approach to post-verbal subjects in Nguni TECs. §8 analyzes parallels in Romance languages. §9 concludes that negative concord, focus, and wh-hood play vital syntactic roles in Nguni contra Pietraszko (2021), eliminating any explanatory role for abstract Case. The upshot is that all aspects of the distribution of [-A] nominals reduce to morpho-syntactic features associated with their functions as negative dependents and wh-words.

2. PVSP and the Focus/Case debate

2.1 Successes of a Focus-based account

§1.2 demonstrated that the Case approach advocated in Pietraszko (2021) does not fully explain the distribution of [-A] nominals in PVSPs. This is important because the

viability of the approach depends on its success in the preverbal domain, since several other restrictions identified in Halpert (2015) and attributed to Case-licensing failures for [-A] are shared by certain [+A] expressions which, under Halpert's approach, do not require Case. Thus while Halpert shows that [-A] nominals cannot be right-dislocated (diagnosed by cooccurrence of an agreeing object marker) or appear as O of a VSO(O) Transitive Expletive Construction (TEC), Carstens & Mletshe (2016) point out that [+A] wh-phrases are barred from the same range of locations, as is the expression 'only XP' (see (16) - (18)). The same is true of indicative PVSP, whether matrix or embedded: both [-A] and [+A] wh- phrases are excluded there, as is 'only XP' (see (19)a-c). For completeness (20) shows the same pattern for negative dependent [-A] nominals.

(16) Neither [+A] nor [-A] wh may clitic-dislocate

[Xhosa/Zulu]

- a. *U-**m**-bon-ile] $_{\rm vP}$ <u>bani</u>/**u-bani**? 2sSM-3sOM-see-DISJ.PST 1who/AUG-1who Intended: Who did you see?
- b. *Bani /*u-bani u-m-bon-ile? 1who/AUG-1who 2sSM-3sOM-see-DISJ.PST Intended: Who did you see?
- (17) Both [+A] and [-A] wh are excluded as O of VSOO

[Xhosa/Zulu]

- *Ku-fund-is-e u-Loyiso <u>bani</u>/**u-bani** i-si-Xhosa 17SM-learn-CAUS-PST AUG-1Loyiso 1who/AUG-1who AUG-7-Xhosa Intended: Who did Loyiso teach Xhosa to?
- (18) [+A] 'only' XP cannot be \underline{O} of $VS\underline{O}(O)$ or clitic-dislocate [Xhosa/Zulu]
 - a. *Ku-fund-is-e u-Loyiso [**U-John kuphela**] (i-si-Xhosa) 17SM-learn-CAUS-PST AUG-1Loyiso AUG-1John only AUG-7-Xhosa Intended: Loyiso taught only John Xhosa.
 - b. *U-m-bon-ile]_{vP} [U-John kuphela] 1SM-3sOM-see-DISJ.PST AUG-1John only Intended: S/he saw only John.

- (19) [+/-A] wh- and 'only' XP are all excluded from indicative PVSP
 - a. *(U-)<u>bani</u> u-fik-ile?
 AUG-1who 1SM-arrive-DISJ.PST
 Intended: Who arrived?
 - b. *[U-John kuphela] u-fik-ile AUG-1John only 1SM-arrive-DISJ.PST Intended: Only John arrived.
 - c. *U-cinga ukuba [(u-)bani w-emka]? [Xhosa] 2sSM-think COMP AUG-1who 1SM-leave Intended: Who do you think left?

[Xhosa/Zulu]

- (20) [-A] negative dependents share the distributional restrictions
 - a. *A-ngi-**m**-bon-i <u>mu-ntu</u> [Zulu; *clitic right-dislocation of [-A]] NEG-1sSM-1OM-see- NEG 1-person Intended: I don't see anybody.
 - b. *A-ku-phek-anga u-Sabelo <u>n-to!</u> [Xhosa; *[-A] as <u>O</u> of VS<u>O</u>] NEG-17sSM-buy-NEG 1-Sindiswa 9-thing Intended: Sabelo didn't buy anything!
 - c. *M-ntu a-ka-lal-i [Xhosa; *[-A] as S of SVO]
 1-person NEG-1SM-sleep-NEG
 Intended: Nobody is sleeping.

Taking *wh*-phrases and 'only XP' to be [+focus] expressions, there is a robust generalization: wherever [+focus] material is ruled out, [-A] nominals are excluded also (see (21)).

(21) <u>Predicting [-A] distribution from [+Focus] restrictions</u>
If XP_[+Focus] is barred from Position P, then [-A] nominals are barred from P

The distribution of [+A] *wh*-words and 'only XP' is the basis for [+/-focus] clausal positions proposed in Sabel & Zeller (2006), Zeller (2008), Carstens & Mletshe (2015), Carstens & Zeller (2020); antifocus positions include S of indicative SVO, O of VSO(O), and dislocated positions. Assuming with Carstens & Mletshe (2016) that all *wh*-words and [-A] negative dependents have focus-features in Nguni, the above patterns of exclusion follow (they cite Watanabe 2004 and Shimoyama 2011 on focus-features of

negative dependents in Japanese, Sells 2006 and Giannakidou & Yoon 2016 on Korean, and Giannakidou & Zeijlstra 2017 for discussion; see also Bošković 2008a on the role of focus particles in deriving negative concord items in Serbo-Croatian). Under this approach, the unacceptability of a [-A] subject in the embedded clause of (15) is due to the antifocus property of indicative PVSP. Table 1 is from Carstens & Mletshe (2016).

Table 1: Summary of correspondences between [-A] and [+focus] material in Nguni

	Restriction #1	Restriction #2	Restriction #3	Restriction #4
Nominal type	S of SV indicative	Clitic-dislocate	O of VSO	O_1 of VSO_1O_2
[+A] 'only' DP	X	X	Х	X
[-A] neg. dep.	Χ	Х	Х	Х
[+/-A] <i>wh</i> -word	Χ	Х	variable	Х

The parallels summarized in Table 1 are quite analytically significant. Consider the evidence in (17) and (19) that both [+A] and [-A] wh-phrases are barred from indicative PVSP and O of VSOO. Under Halpert's and Pietraszko's approach, these identical patterns of restriction have entirely distinct origins: [-A] wh-phrases are excluded by an absence of Case but since [+A] do not require Case, [+A] wh-phrases must be excluded by a different factor -- an analytical anomaly which the focus-based account eliminates. Turning to (16) and (20)a, Halpert and Pietraszko view the ill-formedness of clitic-dislocated [-A] nominals as an indicator that in Nguni, movement is not possible from a Case-licensed position to an A'-position. The plausibility of this proposal is undermined not only by the fact that the dislocation restriction is the same for [-A] and [+A] wh-phrases as noted above; the pattern is also cross-linguistically pervasive: the contrasts in

_

⁹ The variability of judgments on wh- as \underline{O} of VS \underline{O} is puzzling but as Carstens & Mletshe (2016) note, a problem for focus and Case accounts equally since it holds of both [+A] and [-A] wh-. §4.3:(59)-(60) provide evidence of focus projection from Nguni wh-phrases to their containing category. This might be relevant here, assuming with Carstens & Mletshe that \underline{S} of VSO raises to Spec of a low FocusP leaving the wh- \underline{O} as sole occupant of vP, to which it might project its focus-feature. Details exceed this paper's scope.

(22) demonstrate that *wh*-phrases, negative dependents, and negative quantifiers are quite generally resistant to dislocation even in English. For relevant discussion of such restrictions see among others Zeller (2008) on Nguni anti-focus positions, Rizzi (1997) on the ability of 'no one' to be focus but not topic, Baker (2003) for a similar account of why Kinande [-A] nominals cannot dislocate, and Kallulli (2000) for a focus-based account of why *wh*-phrases cannot clitic-double.¹⁰

- (22) a. √I didn't see him, your brother. b. I didn't see (*him,) anybody/nobody.
 - c. √Your brother, I didn't see him. d. *Nobody, I saw (him).
 - e. You didn't see (* him.) WHO? f. *Who, you saw (him)?
 - g. *I don't think that anybody, John saw (him).

Despite the correspondences in Table 1 and the widespread incompatibility of [+focus] expressions with dislocation, Pietraszko (2021:597) rejects any role for focus in the distribution of [-A] nominals, suggesting that Case-licensing and focus domains overlap coincidentally because Case is licensed downwards into vP by L and focus "tends to be assigned *in situ*". But this characterization is incompatible with the fact that \underline{O} in a VSO(O) TEC *cannot* be [+focus] *or* [-A] as shown in (17), (18), and (23)a,b.

- (23) O of VSO can be neither [+focus] nor [-A]
 - a. *Ku-theng-e u-Sindiswa [a-ma-qanda kuphela]. [Zulu/Xhosa] 17SM-buy-PST AUG-1-Sindiswa AUG-6-eggs only Intended: Sindiswa bought only a book.
 - b. A-ku-phek-i (u-)Zodwa *(i)suphu. [Ndebele; Pietraszko 2021:584] NEG-17SM-cook-NEG AUG-1Zodwa AUG-9soup 'Zodwa_F didn't cook soup.'

If focus is assigned *in situ* as Pietraszko suggests, we would expect [+focus] material to be acceptable as <u>O</u> of VS<u>O</u> in (23)a, contrary to fact. There is a tight

13

¹⁰ §5.3 discusses and analyzes one further restriction that Halpert (2015:69) cites as evidence for the claim that Case-licensing cannot feed A'-movement: [-A] cannot cleft.

correspondence between licit positions for focus material and [-A] nominals that cannot be explained away in terms of coincidental domains.

2.2 Where Focus falls short

Focus turns out not to be the whole story, however. Pietraszko (2021) shows that in subjunctives, relatives, and participial clauses, [+A] [+focus] expressions and [-A] nominals do not distribute identically: the former can appear in PVSP of these clause types while, as we saw in (7), [-A] nominals cannot. Herein lies the challenge of Pietraszko's new data. The relevant contrasts are illustrated in (24)-(26), from Pietraszko (2021:589-91).

(24) Subjunctive clause

- a. U-fun-a ukuthi [**u-bani** a-buy-e]? [+A] *wh*-SU OK 2sSM-want-FV COMP AUG-1who 1SM-come-SBJ 'Who do you want to come?'
- b. Ngi-funa ukuthi [**u-Sipho kuphela** a-buy-e]. [+A] *only-*SU OK 1sSM-want-FV COMP AUG-1Sipho only 1SM-come-SBJ 'I want only Sipho to come.'
- c. *U-fun-a ukuthi [bani a-buy-e]? *[-A] in same environment 2sSM-want-FV COMP 1who 1SM-come-SBJ Intended: who do you want to come?

(25) Relative clause

- a. U-zwe i-n-goma [u-bani a-yi-hlabelileyo]? [+A] wh-SU OK 2sSM-heard AUG-9-song AUG-1who 1SM-9OM-sing.PST.REL 'You heard the song that who sang?'
- b. Ngi-zwe ingoma [**u-Sipho kuphela** a-yi-hlabelileyo]. [+A] *only-*SU OK 1sSM-heard 9song AUG-1Sipho only 1SM-9OM-sing.PST.REL 'I heard the song that only Sipho sang.'
- c. *U-zwe i-n-goma [<u>bani</u> a-yi-hlabelileyo]? *[-A] wh SU 2sSM-heard AUG-9-song 1who 1SM-9OM-sing.PST.REL 'You heard the song that who sang?'

(26) Participial clause

- a. U-suk-e [u-bani e-hlabela]? [+A] wh-SU OK 2sSM-leave-PST AUG-1who 1SM-sing 'Who is such that you left while they were singing?'
- b. Ngi-suk-e [**u-Sipho kuphela** e-hlabelela]. [+A] *only-*SU OK 1sSM- leave-PST AUG-1Sipho only 1SM-sing 'I left when only Sipho was singing.'
- c. *U-suk-e [bani e-hlabela]? *[-A] wh SU 2sSM-leave-PST 1who 1SM-sing Intended: Who is suchthat you left while they were singing?

I adopt Pietraszko's proposal that subjects of indicative clauses surface in TopP, a CP layer absent in subjunctives, relatives, and participial clauses as depicted in (27). TopP is inhospitable to [+focus] material including 'only XP' and wh-phrases whether [+A] or [-A]. This explains why they are excluded from PVSP of indicatives alone:

Table 2 summarizes the contrasts between [-A] nominals and [+focus] material in Nguni PVSPs that Pietraszko describes. The relationship between focus and [-A] nominal distribution is clearly not a biconditional; (28) expands on (21) to explicitly reflect this.

Table 2: Contrasting distribution of [-A] and [+focus] material in Nguni PVSPs

TWOIC 2. CONTROLLE MICHIEF OF THE TOTAL INTERIOR IN THE TABLE				
Nominal type	S of SV indicative	S of SVnon-indicative following [+A] COMP		
[+A] 'only' DP	Х	\checkmark		
[+A] wh-word	Х	✓		
[-A] negative dependent	Х	X		
[-A] wh-word	Χ	X		

(28) [+Focus] and [-A] nominal distribution: a one-way correlation

- a. $\sqrt{If} XP_{[+Focus]}$ is barred from Position P, then [-A] nominals are barred from P
- b. XIf [-A] nominals are barred from Position P, then XP[+Focus] is barred from P

The one exception Pietraszko provides to the exclusion of [-A] nominals from PVSPs is the ability of a [-A] negative dependent to follow a [-A] COMP exemplified in (10), repeated below.

(10) A-ngi-fun-i [kuthi (u)Sipho a-buy-e]. [Ndebele; Pietraszko 2021:592] NEG-1sSM-want-NEG COMP AUG-1Sipho 1SM- come.SBJ 'I don't want Sipho to come.'

2.3 Discussion

The facts of (24)-(26) are convincing evidence that focus-features alone cannot explain all of the facts of [-A] nominal distribution, since [-A] nominals and [+focus] expressions do not pattern alike in non-indicative PVSPs. But we saw in §2.1 that the Case account suffers from redundancy with the independently motivated topography of focus in other environments. Moreover, the Case account cannot explain why a [-A] preverbal subject is licit in (10) but not in the embedded indicative (15) despite augment-drop from its COMP. And the unacceptability of a [-A] nominal in the affirmative (13)a shows that Pietraszko's account of (10) implicitly relies on two licensers, because the proposed Case-licensing is possible only when negation sanctions the presence of a [-A] COMP (examples reproduced below).

- (15) A-ngi-cabang-i [(u)kuthi [*(u)-<u>Sipho</u> u-za-pheka]] [Ndebele] NEG-1SM-think-NEG AUG-COMP AUG-Sipho 1SM-FUT-cook 'I don't think Sipho will cook.'
- (13) a. Ngi-fun-a [*(u)-kuthi [*(u-)Sipho a-buy-e]] [Ndebele; Sibanda p.c.] 1sSM-want AUG-COMP AUG-1Sipho 1sM-come-SBJ 'I want Sipho to come.'

As I mentioned above, a different interpretation of the PVSP restrictions merits consideration: the EPP. EPP-effects vary across languages from the simple requirement that there be some expression in PVSP (Holmberg 2000) to fine-grained restrictions regarding the category and size of the occupant as detailed in Buell (2007) on exclusion of PPs from Zulu PVSPs, Halpert (2015) on exclusion of Zulu CPs (though Pietraszko

reports them to be accepted in PVSPs of Ndebele), and Deprez (2000) on exclusion of Romance bare nominal subjects, among others. The illicitness of [-A] nominals in PVSPs seems very much akin to this family of constraints. Table 3 adds my proposal to results of existing explorations of the EPP in Nguni languages.¹¹

Table 3: What satisfies the EPP in Nguni?

Category	Status	Comments
[+A] nominals	√	though *[+focus] 'only XP' and wh- in indicative Spec, TopP
CPs	variable	XZulu (Halpert 2015, 2018), √Ndebele (Pietraszko 2019)
PPs	Χ	See Buell (2007) on Zulu; Carstens (2022) on Xhosa
[-A] nominals	Χ	Ruled out in all 3 languages

I henceforth adopt Carstens & Mletshe's (2016) focus-based account of the restrictions in (16)-(20) and advocate an EPP-analysis of the PVSP facts in (24)-(26) approached in terms of the labeling theory of Chomsky (2013) and reflecting a generalization not yet considered, namely that [-A] nominals cannot be agreed with. This and negative concord are key factors in labeling, I will argue. After mapping out the workings of negative concord and wh-licensing in §3 and §4, I argue in §5 that negative concord impacts labeling in a way that neatly explains the distribution of both negative-dependent and wh-[-A] nominals in PSVPs.

3 Negative concord and licensing through COMP

My account builds on a parallel between the facts of augment-drop on a subjunctive subject and a hitherto unnoticed generalization about Nguni augment-drop within complex nominal expressions, many facts of which are described for Zulu in Halpert (2015). Halpert shows that augments may be dropped from modifiers within a complex

_

¹¹ I have found CPs to be fully acceptable in PVSP of Zulu and Xhosa non-indicative clauses. See Carstens (2022) for details and a labeling-theoretic account of the CP and PP facts in Table 3.

[-A] nominal and describes this as augment-drop concord. Further investigation reveals concordial augment-drop to be consistently licit within complex negative dependents as in (29)a,b, but not within complex *wh*-phrases like the Xhosa (30)a-c or Zulu (31) (from Halpert 2015:224), despite the *wh*-word itself being [-A]. (30)c shows that augment-drop also fails under an alternative noun-initial word order within the *wh*-phrase.¹²

- (29) Augment-drop concord is possible within a complex [-A] in negation's scope
 - a. A-ndi-bon-i ø-<u>zi-hlangu</u> ø-<u>zi-bomvu</u>. [Xhosa]

 NEG-1sSM-see-NEG 8-shoes 8-red

 'I don't see any red shoes.'
 - b. U-Mary a-ka-thand-i ø-<u>zi-nja</u> ø-<u>zi-nkulu</u> zi-ka-<u>John</u>.

 AUG-1Mary NEG-1SM-like-NEG 10-dogs 10-big 10-poss-1John 'Mary doesn't like (any of) John's big dogs.'
- (30) Augment-drop concord is impossible within a complex wh-expression
 - a. U-Mary u-thanda ø-<u>zi-phi</u> *(i-)<u>zi-hlangu</u> *(e-)<u>zi-bomvu</u>? [Xhosa] AUG-1Mary 1SM-like 8-which AUG-8-shoes AUG.REL-8-red 'Which red shoes does Mary like?
 - b. U-thanda ø-<u>zi-njani</u> *(i)-<u>zi-nja</u> *(e)-<u>zi-ncincini</u>? [Xhosa] 2sSM-like 10-what.kind AUG-10-dogs AUG-10-small 'What kind of small dogs do you like?'
 - c. U-thanda *(i)-<u>zi-nja</u> *(e)-<u>zi-njani</u> *(e)-<u>zi-ncincini</u>? [Xhosa] 2sSM-like AUG-10-dogs AUG-10-what.kind AUG-10-small 'What kind of small dogs do you like?'
- (31) W-a-bona <u>mu-phi</u> *(u-)<u>mu-ntu</u>? [Zulu] 2sSM-see-PST 1-which AUG-1-person 'Which person did you see?'

Halpert (2015) analyzes augment-drop concord as Case concord, but the contrasts in (29)-(31) argue against this since all the [-A] nominals in these examples occupy vP-

¹² Zulu 'what time' in (i)a has two [-A] members but seems to be a phrasal compound since neither *si-ni* – 'what' nor *si-kathi* – 'time' may bear an augment as shown in (i)b, regardless of syntactic context; there are inner prefixes but no augments on the second members of compounds (Halpert 2015:224). Similarly *-ni* in Xhosa 'what gender/kind' is always [-A] and must be preceded by a [-A] noun (Taraldsen 2019), but nominal modifiers nonetheless cannot augment-drop; a compounding analysis seems plausible. That both involve *-ni*, otherwise a morphologically dependent element (Zulu's verbal enclitic 'what'), lends support.

18

_

⁽i) a. U-zo-fika nga-<u>si-kathi</u> <u>si-ni</u>? b. ..(*Yi/i)-<u>si-kathi</u> (*e-/i-)<u>si-ni</u>...? [Zulu] 2sSM-FUT-come NGA-7-time 7-what 'What time will you come?' COP/AUG-7-time REL/AUG-7-what '..what time...?'

internal positions that Halpert analyzes as Case-licensed. Halpert points out that augmentless -phi – 'which' (see her (31) and Xhosa (30)a) is possible only vP-internally, as her account predicts; a [+A] form of -phi is required in vP-external locations like the PVSP in (32) (Zulu; adapted from Halpert (2015:225 (368)). Halpert argues that the [-A] -phi exemplified in (31) relies on vP-internal Case-licensing. She does not suggest an account for why augment-drop concord is ruled out on the noun that -phi introduces.

(32) A-ng-azi ukuthi *(u-)<u>mu-phi</u> u-m-sakazi o-zo-hlukanisa NEG-1sSM-know COMP AUG-1-which AUG-1-broadcaster 1REL-FUT-divide u-nyaka.

AUG-3.year

'I don't know which DJ will be closing out the year.'

I propose instead that clause-level licensing of productive augment-drop concord is provided not by L but by NEG. It is *negative* concord, reflecting syntactic Agree between interpretable negation and unvalued, uninterpretable negation features, henceforth uNeg (see Zeijlstra 2004, 2008, Penka 2011 among others).¹³ I follow Haegeman & Lohndal (2010) in analyzing this as a very local relation, the reach of which may be extended through a chain of concord-bearers as illustrated in the West Flemish (33), adapted from Haegeman & Lohndal (2010:194).¹⁴ A West Flemish DP must have a negative head *niet* if NCIs are to be licit within it, much like what we have seen for augment-drop within an Nguni DP. In (34) I represent an augmentless nominal as a DP with a null D head, and an augmentless modifier α within it as having a null head as well; empirical support for null D is provided in §6.

19

¹³ A prenominal demonstrative can license augment-drop on material that follows it within DP (see Mbana 2021). I leave unification to future research. See §6 on augments in negative *wh*-questions.

¹⁴See Haegeman & Lohndal (2010) for complex adjacency and anti-adjacency effects which they capture via the binary Agree dependencies among concord bearers depicted in (33)b.

- (33) Negative concord spreading from D into DP in West Flemish
 - a. dan ze [van *(niet vele) geen boeken] ketent zyn that they of not many no book contented are 'that they are not pleased with many books'

b.
$$[N_{egP} OP[iN_{eg}]...[DP] niet_{[uNeg]} vele_{[uNeg]} geen_{[uNeg]}]$$

(34) Nguni augment-drop concord:

$$\textit{Agree} \; (\text{Neg...}[\text{DP}] \varnothing D_{\underline{uNeg}} ... \varnothing \alpha_{\underline{uNeg}}]$$

Returning to the PVSP restriction, (35) and (36) bring out a striking parallelism between augment-drop concord within nominals and CPs. In (35)a NEG licenses nominal augment-drop and, as we saw already in (29), an adjective within the nominal phrase can then augment-drop. As noted in Halpert (2015) for Zulu and shown in (35)b, absent nominal augment-drop the adjective *cannot* augment-drop. The facts of augment-drop on COMP and the subjunctive subject are reproduced in (36) for direct comparison to (35): the subject can augment-drop only if COMP does so. In other words, strict locality allows augment-drop concord to spread into NEG-licensed DPs and CPs alike.

- (35) Augment-drop concord licit only in a complex negative dependent with a [-A] head
 - a. A-ndi-bon-i <u>zi-hlangu</u> <u>zi-bomvu</u>. [Xhosa]

 NEG-1sSM-see-NEG 8-shoes
 'I don't see any red shoes.'
 - b. A-ndi-bon-i i-zi-hlangu *(e-)<u>zi-bomvu</u>. [Xhosa]

 NEG-1sSM-see-NEG AUG-8-shoes AUG.REL-8-red

 'I don't see any red shoes.'
- (36) Augment-drop in PVSP only in the scope of negation when CP has a [-A] head
 - a. A-ngi-fun-i [kuthi (u)Sipho a-buy-e]. [Ndebele; Pietraszko 2021:592] NEG-1sSM-want-NEG COMP 1AUG-Sipho1SM- come-SBJ 'I don't want Sipho to come.'
 - b. A-ngi-fun-i [u-kuthi *(u-)<u>Sipho</u> a-buy-e].

 NEG-1sSM-want-NEG AUG-COMP 1AUG-Sipho 1SM-come-SBJ
 'I don't want Sipho to come.'

I propose the account in (37). Here, negation licenses augment-drop on the COMP *ukuthi*, which in turn makes it possible on the local subject of the subjunctive clause (recall from (24)a,b that [+focus] material is licit in subjunctive PVSP). The facts are consistent with the well-established ability of negative concord to spread link-by-link into complex and otherwise opaque expressions as was illustrated in the West Flemish (33) (see also Haegeman & Zanuttini 1991, Hoeksma 2007, den Dikken 2002, 2006, Postal 2005 among many others on *parasitic licensing* of NPIs and NCIs). ¹⁵

A-ngi-fun-i [CP Ø_{uNeg}-kuthi [TP Ø_{uNeg}-Sipho a-buy-e]].

NEG-I-want COMP [SU yields a licit [-A] preverbal subject

[TP Ø_{uNeg}-Sipho a-buy-e]].

The Ndebele facts bring to mind an aspect of Basque negative concord discussed in Laka (1990). Laka shows that there is a Basque COMP *duenik* with negative features which is licensed by matrix negation. For negative dependents to be acceptable in an embedded clause, that clause must be a subjunctive introduced by *duenik* as shown in the contrast between (38)a,b (Laka 1990:217:(53); labeled bracketing added). Under my analysis of the [-A] COMP ø-kuthi, both cases illustrate strategies to overcome clausal opacity for negative concord by spreading it downwards via a bridging COMP (see also Progovac 1992 for arguments that some embedded NPI-licensing in English works similarly).

- (38) a. *Inigok ez du sinisten [CP [TP ezerk eztanda egingo] duela]] [Basque] Inigo no has believe anything explode do will that Intended: Inigo does not believe that anything will explode
 - b. Inigok ez du sinisten [CP [TP ezerk eztanda egingo] duenik]]
 Inigo no has believe anything explode do will that.NEG
 'Inigo does not believe that anything will explode'

¹⁵ §5.3 presents evidence that C-T Feature Inheritance also plays a role in NEG-licensing PVSP via COMP.

_

In the following section I map out in detail the syntactic relations sanctioning [-A] nominals as dependents of negation and as *wh*-words. This paves the way for my labeling-theoretic analysis of the PVSP constraints in §5.

4 The licensing relations

4.1 Neg-licensing: heterogeneity with uniform locality

Let us return to the first property of [-A] nominals introduced in §1.1, namely that they may surface only as *wh*-phrases and in the scope of negation. It is commonly assumed that *in situ wh*-phrases lack intrinsic quantificational force and therefore must be unselectively bound by the interrogative clause-typing C_Q (see among many others Baker 1970, Pesetsky 1987 and Cheng 1997). The syntactic reliance of negative dependents on local negation is also well-established (Haegeman 1995, Zanuttini 1997, Laka 1990/2019, Progovac 1988, 1993a, among many others). I propose the baseline requirement (39) (assuming a chain of negative concord provides an indirect strategy for NEG-licensing). ¹⁶ (39) [-A] nominals must be syntactically licensed by c-commanding *i*NEG or C_Q. The facts of [-A] nominals in negative contexts are heterogenous and intricate. Because they will play an important role in the analysis I provide full details.

Carstens & Mletshe (2016) show that in addition to combining with a clausal negator to yield single negation readings, three Zulu [-A] nominals are acceptable negative fragment answers as exemplified in (40)a-c. This duality of function is a hallmark of true negative concord items (Valduví 1994, Giannakidou 2006). Other [-A]

22

_

¹⁶ For brevity's sake I ignore raising approaches to NCIs and Qs (Collins & Postal 2015, Cable 2010). Carstens & Mletshe (2016) report that 1/3 of the Xhosa speakers in their study accepted [-A] in polar questions. For speakers who do not, the licenser is better identified as C_{WH} but I leave this aside.

are ill-formed as fragment answers (see (40)d,c), like English NPIs: *(I didn't see) any woman!¹⁷

(40) Fragment answers: a. √Mu-ntu! b. √Lutho! c. √N-dawo! [Zulu] 9-place 1-person 11thing 'Nothing!' 'No place!' 'Nobody!' d. *M-fazi! e. *Zi-hlangu! 8-shoes 1-woman Intended: No woman! Intended: No shoes!'

All nominals including proper names seem able to drop their augments in the scope of negation; see the Ndebele (41) from Pietraszko (2021) and the Xhosa/Zulu (42).

- (41) A-ba-bon-i (u)-Zodwa. [Ndebele; Pietraszko 2021:583]

 NEG-2SM-see-NEG AUG-Zodwa

 'They don't see Zodwa.'
- (42) U-Sabelo a-ka-thand-i <u>Zodwa/m-fazi/zi-nja</u>. [Xhosa/Zulu] AUG-1Sabelo NEG-1SM-like-NEG Zodwa/1-woman/10-dogs 'Sabelo doesn't like Zodwa_F /any woman/any dogs.'

Despite their heterogeneity, all [-A] nominals in negative contexts share several syntactic constraints previewed in §1. These include the PVSP exclusion, the restrictions that (17)–(18) showed to be shared with [+focus] material, and the requirement of clausemate negation in indicatives exemplified in (43).¹⁸

(43) a. *U-Mary **a**-ka-tshongo [ukuthi u-John u-thanda <u>m-fazi/Zodwa</u>]. [Zulu] AUG-1Mary NEG-1SM-say COMP AUG-1-John 1SM-like 1-woman/Zodwa Intended: Mary didn't say that John likes any woman/likes Zodwa_F.

¹⁷ Carstens & Mletshe (2016) also show that Zulu *muntu* - 'nobody' and *lutho* - 'nothing' may be modified by *cishe* - 'almost', another common NC diagnostic. A third, the ability to appear in PVSP with apparent negative force, is unhelpful in Nguni due to independent factors ruling [-A] out in this location; see §1.2. ¹⁸ Carstens & Mletshe (2016) report that 10/10 Xhosa and 7/7 Zulu speakers in their study strongly rejected cross-clausal licensing except into subjunctives, as did all 3 Zulu and all 5 Xhosa speakers in the present study. In contrast, Halpert (2015) reports that over half the Zulu speakers in her study accepted cross-clausal licensing into indicatives. Carstens & Mletshe (2016) suggest that NEG-raising and the permeability of Bantu clauses exhibited in hyper-raising may underlie this discrepancy; Halpert (2015:240) notes a correlation in acceptability of cross-clausal dislocation and cross-clausal NEG-licensing. Pietraszko (2021) exemplifies cross-clausal licensing into the indicative complement of 'think', a classic NEG-raising verb.

- [ukuthi u-Sabelo b. *U-Simiso a-ka-zi u-dl-e nyama]. [Zulu] NEG-1SM-know COMP AUG-1Sabelo 1SM-eat-PST 9meat 1-1Simiso 'Simiso doesn't know that Sabelo ate any meat.'
- c. *A-ndi-cabang-i [ukuba u-bon-e m-ntu/Zodwa.] [Xhosa] COMP 1SM-see-PST 1-person/Zodwa NEG-1SM-think-NEG Intended: I don't think that (s)he saw anyone/saw (any) Zodwa_F.'
- d. *A-ndi-qond-i [ukuba a-ba-ntwana ba-bona m-fazi]. [Xhosa] NEG-1sSM-believe-NEG COMP AUG-2-children 2SM-see 1-woman 'I don't believe that the children see any woman.'

The speakers I consulted also found relative and participial clauses opaque to negationlicensing, providing further evidence of its local character.¹⁹

- (44) Participial adjunct clauses are opaque to cross-clausal negation-licensing
 - a. U-suk-e [ku-hlabela bani]? 2ssm-left 17sm-sing Lit: You left while who singing?'

[Ndebele; Pietraszko 2021]

- [*(u-)Sabelo e-hlabela] b. A-ngi-soze ngi-suke NEG-1sSM-AUX.FUT 1sSM-leave AUG-Sipho 1SM-sing 'I will not leave while Sipho is singing.'
- [ku-hlabela *(u-)Sipho] c. A-ngi-soze ngi-suke NEG-1sSM-AUX.FUT 1sSM-leave 17sM-sing AUG-Sipho 'I will not leave while Sipho is singing.'
- (45) Relative clauses are opaque to negation-licensing

ndi-yi-bon-e *(i)-<u>ncwadi</u>.] A-ndi-zange [RC i-ndoda i-theng-e [Xhosa] NEG-1sSM-NEG 1SM-9OM-see-PST AUG-9man 9REL-buy-PST AUG-9book 'I didn't see the man who bought a/the/any book.'

On the other hand, [-A] nominals are licit internal arguments in subjunctives under superordinate negation as shown in the Xhosa (46). This is a difference between the Nguni facts and those of Basque introduced in (38) which will be discussed in detail in §4.2.

24

¹⁹ Halpert (2015:213) provides Zulu example (i) in which the head of a relative and its modifiers are [-A]. This resembles negative concord reaching into other opaque constituents as represented in (33), (34), (37).

ba-gqoka zi-gqoko zi-bomvu (i) A-ngi-bon-i ba-ntu NEG-1ssm-see-neg 2-people 2-wear 8-hat 8-red

^{&#}x27;I don't see any people wearing red hats.'

(46) **A**-ka-cel-i [ukuba *(u-)Sabelo a-bon-e <u>m-ntu/Zodwa</u>] NEG-1SM-ask-NEG COMP AUG-1-person 1SM-cook-SUBJ 1-person/Zodwa 'S/he isn't asking that Sabelo see anybody/see Zodwa_F.'

Table 4 summarizes these aspects of [-A] nominal distribution.

Table 4: Distributional properties of [-A] nominals (IA = internal argument)

Type of	Negative	PVSP in	PVSP in	Clausemate NEG	Clausemate NEG
[-A] nominal	fragment	indicatives	subjunctives,	needed for IA in	needed for IA in
	answer		relatives, participials	indicatives	subjunctives
Zulu lutho,	Yes	No	No	Yes	No
muntu, ndawo					
Other negative	No	No	Only in Ndebele	Yes	No
dependent			under [-A] COMP		
Proper name	No	No	As above	Yes	No
Wh-phrase	N/A	No	No (but see §5.1)	No	No

The facts in (40)-(45) are best reflected by designating all negation-licensed [-A] nominals in Nguni as *strict negative dependents* including [-A] proper names, [-A] NPIs like (40)d mfazi – 'any woman', and the true NCIs muntu – 'nobody', ndawo – 'no place' and lutho – 'nothing' in (40)a-c. A question arises for the negative concord analysis of constituent-internal augment-drop: if only muntu, ndawo, and lutho are full-fledged NCIs (see (40)), it is unexpected that modifiers within other negative-dependent [-A] may participate in augment-drop concord. To account for this I adopt a proposal of Carstens & Mletshe (2016) that [-A] nominals which fail the fragment-answer diagnostic have negative concord features nonetheless, but they are too weak to be compatible with the silent or elided negation often posited as the licenser of negative fragment answers in negative concord languages (see (47)). Carstens & Mletshe draw a comparison to the idea that uphi features of T vary in whether they are compatible with pro-drop — those of Italian and Spanish T are strong enough, while those of English are too weak.

4.2 The subjunctive puzzle

As we have seen, the clausemate restriction has a systematic exception: matrix negation licenses [-A] internal arguments within subjunctive complements (see the Zulu (48)).

(48) **A**-ka-cel-i [ukuthi u-Sabelo a-bon-e <u>mu-ntu/Zodwa</u>] NEG-1SM-ask-NEG COMP AUG-1-person 1SM-cook-SUBJ 1-person/Zodwa 'S/he isn't asking that Sabelo see anybody/see Zodwa_F.'

On the other hand, we saw in (9) that subjunctives disallow [-A] preverbal subjects, leading Pietraszko (2021) to treat them as opaque to Agree/Case-licensing from matrix L.

(9) A-ngi-fun-i [u-kuthi *(u-)Sipho a-buy-e]. [Ndebele; Pietraszko 2021:591] NEG-1sSM-want-NEG AUG-COMP AUG-1Sipho1sM-come-SBJ 'I don't want Sipho to come.'

A [-A] nominal is licit in subjunctive PVSP only when it follows a negative-licensed [-A] COMP as in (10) (repeated below), strongly suggesting that there is clausal opacity preventing the relation Agree (Neg, [-A]) from reaching directly into subjunctives.

(10) A-ngi-fun-i [kuthi (u)Sipho a-buy-e]. [Ndebele; Pietraszko 2021:592] NEG-1sSM-want-NEG COMP AUG-1Sipho 1SM- come-SBJ 'I don't want Sipho to come.'

Cross-linguistically, the exceptionality of subjunctives with respect to otherwise strict clausemate requirements is widespread and has received extensive attention (see Giannakidou & Quer 1997, Haegeman & Zanuttini 1991, Giannakidou 1998, 2009, Progovac 1993b, Picallo 1984, Penka 2011 among others). For example, Progovac (1993)b shows that NCIs are licit in the clausal complements to verbs like 'want' in Serbo-Croatian but not in clausal complements to verbs like 'claim', a contrast that she analyzes in terms of a subjunctive vs indicative distinction (see (49)-(50)). The pattern is

typical for languages with NCIs; Spanish examples in (51) are from Arnaiz (1996).²⁰

- (49) *Ne tvrdim [da vidim *nikoga*]. [Serbo-Croatian; Progovac 1993b:117] not claim that see no one Intended: I don't claim that I can see anyone.
- (50) Ne zelim [da vidim *nikoga*]. not want that see no one 'I don't wish to see anyone.'

[Spanish; Arnaiz 1996:54]

[Serbo-Croatian; Progovac 1993b:117]

- (51) a.*No dijo que Juan besó a nadie.

 NEG said.3s that Juan kissed.3s P n-body
 'She didn't say that Juan kissed anybody.'
 - b. No espero que Juan bese a nadie NEG expect.1s that Juan kiss.SBJ P n-body 'I do not expect Juan to kiss anybody.'

Following Giannakidou & Quer (1997) among others, I assume that while direct cross-clausal NEG-licensing of strict negative dependents is impossible, quantifier raising (QR) can move such a negative-dependent out of a subjunctive into locality with superordinate clause negation. In contrast, it is well-established that QR is *not* possible from one indicative clause to another (on these questions see also Beghelli 1995; Cecchetto 2003, 2004; Farkas 1981; Farkas & Giannakidou 1996; Fox 2000; Johnson 2000; Szabolcsi 1997). As for the special problem of [-A] subjects in PVSP, §5 proposes that *u*Neg features give rise to labeling failures if unvalued at a point where their bearer needs to Agree; thus only valuation via COMP allows [-A] nominals to surface in PVSP. ²¹

²⁰ Xhosa infinitives are also transparent to negation-licensing (see (i)) as is common cross-linguistically (Giannakidou 2000). Clauses with class 17 expletive subject agreement are more transparent than those with full agreement; (ii) is judged somewhat more acceptable than the examples in (43)).

⁽i) A-ndi-fun-i [ku-bona m-ntu]. (ii) ??U-Sabelo a-ka-tshongo ukuba [ku-phek-e m-ntu] NEG-1SSM-want-NEG 15-see 1-person 'I don't want to see anybody.'

AUG-Sabelo NEG-1SM-say that 17SM-cook-PST 1-person 'Sabelo didn't say that anybody cooked.'

²¹ Vergara (2017) shows that Spanish object but not subject n-words are licit in subjunctive complements to 'doubt' and 'deny' and on this basis argues that apparent cross-clausal licensing in reality relies on NEG-raising from the subjunctive to a position c-commanding the subject, which is blocked from 'doubt' and 'deny' complements. A problem for a NEG-raising approach to subjunctive transparency exists in verbs like

Summing up, apart from the permeability of subjunctives, clausemate effects add to the evidence of augment-drop concord that negative-dependent [-A] nominals have negative concord features, *u*Neg, which require valuation in clause-bound Agree.

Something special facilitates licensing of material in embedded subjunctives: in Basque, a negative COMP (see (38)b); in Xhosa, QR of an internal argument or NEG-licensing of PVSP via the local COMP. In contrast, we will see in §4.3 that unselective binding of *wh*-by C_O differs in being non-local.

4.3 The non-locality of unselective binding by Co

Assuming wh-questions involve a clause-typing C_Q (Cheng 1997) I have suggested that there is a relation $[C_Q...[-A]]$ comparable to [NEG...[-A]] in making [-A] nominals licit.

A small, closed class of lexical items serve as *wh*-words: in Zulu, *-phi* 'which', (*ku-)phi* – 'where', (*u-)bani* 'who', (*i-)ni* 'what,' *-ngaki* 'how many', *-njani* 'what kind/how', *nini* – 'when' and *ngani* 'why'. I assume that *wh*-features of these items make them compatible with and requiring of licensing by C_Q. While all postverbal *wh*- are [-A] in Xhosa (see (52)), Zulu allows [+A] *wh*-phrases in situ as shown in (53).²² These too by assumption have *wh*-features requiring unselective binding by C_Q.

The examples in (52) and (53) show that a [+/-A] *wh*-phrase may take matrix scope from within an embedded clause. This is a first indicator that licensing by C_Q is non-local.

28

^{&#}x27;ask' (see (48)) since I didn't ask that John see anybody $\neq I$ asked that John not see anybody. In an earlier draft of this article I proposed that as an aspect of its inflectional dependency on the matrix clause, a subjunctive can include a silent copy of matrix negation in the clause-medial location of overt subjunctive negation; in Nguni this c-commands only internal arguments. Thanks to Chris Collins (personal communication) for suggesting the simpler alternative of a QR approach to subjunctive permeability.

22 While there is a preference for Nguni wh-phrases to appear immediately after the verb, my investigation uncovered no correlates between this word order alternation and scope-taking so I leave this aside.

- (52) U-cinga ukuba u-Mary u-thanda (*u-)<u>bani</u>? [Xhosa: *postverbal [+A] wh] 2sSM-think COMP AUG-1Mary 1SM-like AUG-1who 'Who do you think Mary likes?'
- (53) U-cabanga ukuthi u-Mary u-thanda (u-)<u>bani</u>? [Zulu] 2sSM-think COMP AUG-1Mary 1SM-like AUG-1who 'Who (exactly) do you think Mary likes?'

Both [+A] and [-A] *wh*-phrases are licit within adjuncts and relative clauses (see the Zulu (54)-(55). They may also ignore the island of an embedded clause construed as a reported *wh*-question or 'whether' complement as illustrated in the Xhosa (56) and (57) (this test from Lasnik & Saito 1992, Watanabe 1992).

- (54) U-Mary w-a-hamba ngaphambi kokuthi u-John a-fik-e <u>kuphi</u>? AUG-1Mary 1SM-PST-leave before COMP AUG-1John 1SM-arrive-SBJ where 'Where did Mary leave before [John arrived <where>]?
- (55) U-Sabelo w-a-hlangana nowesifazane o-funa-<u>ni/i-ni?</u>
 AUG-1Sabelo 1SM-PST-meet with.AUG1.woman 1REL-want-9what/ AUG-9what 'What did Sabelo meet the woman who wants?'
- (56) U-John u-funa u-kw-azi ukuba u-Mariya u-thenge i-n-cwadi. AUG-1John 1SM-want AUG-15-know COMP AUG-1Mary 1SM-buy AUG-9-book 'John wants to know whether Mary bought a book.'
- (57) U-John u-funa u-kw-azi ukuba u-Mariya u-thenge <u>ntoni.</u> AUG-1John 1SM-want AUG-15-know COMP AUG-1Mary 1SM-buy 9what 'John wants to know what Mary bought.' *OR* 'What does John want to know whether Mary bought?'

A question in which a wh-phrase appears within an island may be answered with a single word, as in the Q and A pair of (58). This is at odds with a potential analysis in terms of covert pied-piping of the wh-phrase, for which a diagnostic is a requirement that the answer must repeat the whole island (see Nishigauchi 1984, Choe 1987, Pesetsky 1987 on Japanese).

(58) Q: U-bon-e u-mu-ntu o-thanda (u-)<u>bani?</u> A: John [Zulu] 2sSM-see-SBJ AUG-1-person 1REL-like AUG-1who 'Undid you see the person that likes?' 'John.'

The sole island in which *wh*-phrases are consistently ruled out is indicative PVSP, though they are fine in subjunctive PVSP as shown in the contrast of (59) with (60).

- (59) *[I-si-thombe si-ka-<u>bani</u>] si-lenga odong-eni. [Zulu]
 AUG-7-picture 7-POSS-1who 7SM-hang LOC.3wall-LOC
 Intended: Who does a picture of hang on the wall?
- (60) √U-funa ukuthi [[i-si-thombe si-ka-<u>bani</u>] si-leng-e odong-eni]? 2sSM-want COMP AUG-7-picture 7-POSS-1who 7sM-hang-sbj Loc.3wall-Loc 'Who do you want a picture of to hang on the wall?'

Focus-features account for this contrast. Recall Pietraszko's (2021) demonstration that subjunctives differ from indicatives in permitting [+focus] material in PVSP (see (61) vs (19)a,b, repeated below).

- (61) a. Ngi-funa [ukuthi √[u-John kuphela] a-pheke] [Ndebele; Pietraszko 2021:589] 1SM-want COMP AUG-1John only 1-cook.SBJ 'I want only John to cook.'
 - b. U-funa [ukuthi √u-bani a-pheke]? 2sSM-want COMP AUG-1who 1-cook.SBJ 'Who do you want to cook?'
- (19) a. *(U-)<u>bani</u> u-fik-ile?
 AUG-1who 1SM-arrive-DISJ.PST
 Intended: Who arrived?

b. *[U-John kuphela] u-fik-ile [Xhosa/Zulu]
AUG-1John only 1SM-arrive-DISJ.PST
Intended: Only John arrived.

[Xhosa/Zulu]

I have adopted Carstens & Mletshe's (2016) proposal that [-A] nominals are [+focus] expressions. Assuming there is *focus-projection* from a *wh*-phrase to its containing DP, the antifocus status of indicative PVSP explains the ill-formedness of (59) just as it does (19)a,b (see Cinque 1993, Selkirk 1984, Adger 2006, Bresnan 1971, Arregi 2016 among

others on focus projection).²³ In contrast, it is unclear how these facts could be approached in terms of Case. Since *bani* in (60) is well-formed, Halpert and Pietraszko's accounts would need to assume that it is Case-licensed, perhaps from the possessive marker ka (paralleling English 'of'). But ka is also present in (59), yielding a conundrum as to the source of ill-formedness. That the focus-based approach can easily explain the contrast between (59) and (60) is an argument in its favor.

Returning to the issue of locality, the general absence of island effects suggests that there is neither feature-valuation via Agree between C_Q and wh nor covert raising of a wh-phrase to its scope position in Nguni.

5 EPP effects, obligatory subject agreement, and labeling 5.1 The ubiquity of *u*Neg

With the properties of negative- and *wh*-licensing established I return now to the PVSP restriction on [-A] nominals exemplified in (7)a and (9), repeated below.

- (7) a. *U-fun-a u-kuthi [bani a-buy-e]? [Ndebele; Pietraszko 2021:589] 2sSM-want-FV AUG-COMP 1who 1SM-come-SBJ Intended: Who do you want to come?
- (9) A-ngi-fun-i [u-kuthi *(u-)Sipho a-buy-e].[Ndebele; Pietraszko 2021:591] NEG-1sSM-want-NEG AUG-COMP 1AUG-Sipho 1SM-come-SBJ 'I don't want Sipho to come.'

I propose that a featural deficiency excludes [-A] nominals from PVSPs -- in particular, all of them, including *wh*-phrases, have *u*Neg features that block agreement and hence labeling (Chomsky 2013) for reasons to be detailed in §5.2. I have already motivated *u*Neg features for strict negative dependent [-A] nominals such as *lutho* – 'nothing', *mifino* – 'any vegetables' and the like, in preceding sections. And recall from §2.1 that

31

²³ There is no [+A] counterpart to *ka-bani* 'whose/of whom' to explore with respect to the focus projection analysis of (59) vs. (60). Thanks to an anonymous reviewer for help clarifying this.

any common noun, or proper name, or even the COMP *u-kuthi*, can become a strict negative dependent by dropping its augment. If *u*Neg is a consistent ingredient in the feature content of *all* Nguni [-A] nominals, the full productivity of this process is explained and a unified account of their exclusion from PVSP follows.

Evidence supporting this approach to [-A] wh-phrases is provided by Galen Sibanda (personal communication), a native speaker of Zimbabwean Ndebele. In his judgment, the wh-subject of a subjunctive clause introduced by a [-A] COMP in the scope of negation may be [-A] (see (62)), the same as a common noun or proper name (see (10), repeated below). This makes perfect sense under my proposal that all [-A] nominals have uNeg features, including [-A] wh-phrases. I view (62) as valuable confirmation for this approach.²⁴

- (62) **A**-wu-fun-i [Ø-<u>kuthi</u> [(u-)<u>bani</u> a-buy-e]]? [Galen Sibanda p.c.] NEG-2sSM-want-NEG COMP AUG-1who 1SM- come-SBJ 'Who don't you want to come?'
- (10) A-ngi-fun-i [kuthi (u)<u>Sipho</u> a-buy-e]. [Ndebele; Pietraszko 2021:592] NEG-1sSM-want-NEG COMP AUG-Sipho 1SM-come-SBJ 'I don't want Sipho to come.'

What remains to be captured is why [-A] *wh*-phrases should require negation-licensing when they occupy PVSP but apparently in no other clausal location, given the licitness of their appearance as internal arguments in affirmative clauses like (53), repeated below.

(53) U-cabanga ukuthi u-Mary u-thanda (u-)<u>bani</u>? [Zulu]
2sSM-think COMP AUG-1Mary 1SM-like AUG-1who
'Who (exactly) do you think Mary likes?'

_

²⁴ Sibanda rates augment-drop in (10) a 5 out of 5 and in (62) as 4 out of 5, where 5 is perfect. §6 presents evidence that NEG cannot directly license a [-A] *wh*-phrase because its null D is bound by C_O. For this reason §5.3 sketches an approach to (62) that avoids the direct NEG-licensing available in (10). The slight difference in these examples' status likely reflects this recourse to an alternative strategy.

As we have seen, [-A] nominals serving as strict negative dependents rely on Agree with NEG to meet the [-A] licensing requirement in (39). But *wh*-phrases instead meet the licensing requirement through their relationship with c-commanding C_Q. Under Preminger's (2014) proposal that agreement may licitly fail there is nothing requiring *u*Neg of [-A] *wh*-phrases to be valued in circumstances where feature-sharing is not needed for labeling.

5.2 The labeling algorithm and unvalued features

This approach has three ingredients. Following Chomsky (2013), labeling is accomplished by an algorithm that applies at the phase level. A configuration [X, YP] is labeled XP based on its unique head X as in (63)a. In contrast an [XP, YP] configuration lacks a unique head and must be labeled by shared features as shown in (63)b. (63) Chomsky (2013) labeling by algorithm:

a. A unique head labels [X, YP] ? \rightarrow XP $X YP \qquad X YP$ b. Shared features must label [XP, YP] ? \rightarrow ϕP $XP_{\phi} YP_{\phi} \qquad XP_{\phi} YP_{\phi}$

Merge of an agentive subject creates an illicit [XP, YP] configuration: *[DP vP]. The subject therefore raises obligatorily out of vP to a landing site where shared features can provide a label — canonically, a PVSP position associated with subject-verb agreement, in a classic EPP-effect. I maintain the standard assumption that phi-agreement with subjects is accomplished via downwards-probing Agree, as in Chomsky's account, but its success becomes crucial for convergence once a subject has raised and labeling is attempted.

33

Chomsky's labeling approach straightforwardly accounts for why agreement is obligatory with preverbal subjects in Bantu. It eliminates the need for a proposed linkage between T's uPhi and an EPP-feature proposed in Baker (2003), Baker & Collins (2002), Carstens (2005) to capture the fact that whatever precedes T must agree with it.

The second ingredient of my account is a proposal that Nguni [-A] nominals are unable to participate in the feature-sharing needed to label if they have unvalued *u*Neg features, as sketched in (64).

*...[CP **u-kuthi** [? Ø_{uNeg}-Sipho T_{uPhi}-buy-e]].

AUG-COMP 1Sipho come-SBJ

As noted above, phi-agreement is a standard mechanism of feature-sharing for preverbal subjects. It is therefore highly relevant that [-A] nominals are never agreed with.²⁵ We have seen this in the fact that they cannot occupy *any* PVSP, even those tolerant of other [+focus] material like 'only XP' and [+A] *wh*-phrases (see (24)-(26); this is the core of Pietraszko's argument for advocating that the Case account of the PVSP restriction is superior to the focus-based account. We have also seen that [-A] nominals cannot be doubled by object-marking under dislocation; see (16)-(19) and (65). (66) shows that they cannot surface as agreeing post-verbal subjects.

(65) U-m-bon-ile]_{vP} *(u-)<u>Sipho?</u> [Xhosa/Zulu]
2sSM-3sOM-see-DISJ.PST AUG-1Sipho
'Did you see Sipho?'

²⁵ Halpert (2015:77) argues that a [-A] nominal values subject agreement just in case it undergoes raising-to-object from a subjunctive clause (see (i)). I assume instead that agreeing T labels TP in a case like (i) (the lower copy of *muntu* is invisible to the labeling algorithm, following Chomsky 2013).

⁽i) A-ngi-fun-i <u>mu-ntu</u> [ukuthi [TP < muntu> a-phek-e i-qanda]].

NEG-1ssm-want-neg 1-person comp 1sm-cook-sbj aug-5egg

^{&#}x27;I don't want anyone to cook a(ny) egg.'

(66) U-fik-ile *(u-)Sipho. 1SM-arrive-DISJ.PST AUG-1who 'Sipho arrived.'

I accordingly propose that a uNeg feature of a [-A] expression renders it unable to serve as goal in an Agree relation under (67), unless successfully valued as it is in (37).²⁶

(67) <u>The Agreement Mixing Prohibition</u>: An expression with an unvalued agreement feature may not value agreement.

This approach converges in a significant way with a proposal in Bošković (2019, 2020,

2021) that uFs in general thwart labeling (see (68)). I explore this connection in §5.4.

- (68) The presence of an uninterpretable feature blocks labeling via feature-sharing in XP-YP configurations (from Bošković 2020, 2021:10 (24)).
- (67) is an update of a proposal made in Carstens (1991) to address a gap in DP-internal agreement. I pointed out there that while many languages have possessor agreement and many have nominal concord within DPs, bidirectional agreement along the lines of (69) seems to be rare or non-existent.
- (69) a. *my-masc.pl sons-1s

b. ? $\widehat{DP1_{iPhi,\,uGen}} \quad \widehat{DP2} \quad \widehat{uNum} \quad \widehat{DuPhi} \quad ...$

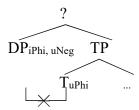
Like the problem at hand, this is compatible with the view that the goal in an Agree relation cannot itself have unvalued agreement features.²⁷ Let us take that insight as the

²⁶ As previously noted, indicative PVSPs and dislocated positions exclude [+focus] material so [-A] nominals are doubly ruled out in such positions. I leave labeling of [+A] dislocated material to future research; see Zeller (2015)a,b for relevant discussion.

²⁷ Norris (2014) argues that Case-concord and possessor agreement cooccur in Finnish and Skolt Saami, pointing out that such cooccurrences are expected if agreement and concord are non-overlapping in their domains of application, as he proposes (morphological vs. syntactic components). This overlap need not in principle be incompatible with my proposals here, which can be formulated so as to differentiate among

basis for hypothesizing that labeling fails when any [-A] nominal appears in PVSP. In (70), uNeg cannot obtain a value in the Agree relation valuing uPhi. This circumstance seems to be incompatible with successful feature-sharing in PVSP. ²⁸

(70)Feature-sharing is thwarted when the goal bears unvalued agreement features



The formulation in (67) leaves the door open for successful feature-sharing without phiagreement. This is important in relation to postverbal subjects in transitive expletive constructions (TECs) to be discussed in §7, where I argue that labeling by focus-features is possible because phi-agreement plays no role in it.

Clearly there is more to be said about [-A] wh-phrases since they are licit without negation-licensing outside of PVSP as demonstrated in (3)a, repeated below. If [-A] whphrases have uNeg features as shown in (71), why are they not ruled out in such contexts?

- (3) a. U-bon-e bani? 2sSM-see-PST 1who 'Who did you see?'
- (71) [TP U-bone baniuNeg]? you-saw 1who

varieties of features. But Carstens (2020) argues that the evidence supports the opposite conclusion. It's accordingly possible that Case-concord falls under (67)/(69), though I leave this to future research. ²⁸ An anonymous reviewer argues that if uFs probe individually problems should not arise. I take (69) as evidence that that problems do arise, as is the fact that a [-A] in PVSP is well-formed only if negationlicensed. See also Bošković (2009)a on failures of gender agreement with singular first conjuncts. Bošković notes that if each unvalued feature probed separately, uNum could be valued by [+plural] &P and uGender by singular DP1, but this fails in many cases (see Taraldsen et al 2018, Carstens 2019 on Xhosa).

This brings us to the third ingredient of the account: as mentioned in §5.1, I follow Preminger (2014) in assuming that all else being equal, unvalued agreement features are licit in syntax; they do not cause derivational crashes. Recall that [-A] nominals must be licensed (see (39), repeated below). For negative-dependent [-A] nouns like lutho – 'anything/nothing', mfazi – 'any woman' and [-A] proper names like θ -Sipho – 'Sipho', the only possibility is negation-licensing so we find they are licit only where their features can be valued in a clause-bound Agree relation (iNeg, uNeg). But for [-A] wh-phrases, on the other hand, C_Q suffices to fulfill the licensing requirement in (39). (39) [-A] nominals must be syntactically licensed by c-commanding iNEG or C_Q . Absent valuation of their uNeg we might expect [-A] wh-phrases to surface with some kind of default morphology, but this would likely take the form of the augment. Since C_Q is an alternative licenser of augment-drop, default augments do not surface on C_Q -licensed wh.

Summing up, I have argued that uNeg features are not intrinsically in need of valuation – a freedom that they share with uPhi, assuming Preminger (2014). As a result, wh-phrases may surface in augmentless form with their uNeg unvalued, and augment-drop licensed by C_Q . They may not, however, surface augmentless in PVSP, unless their uNeg is valued via negation-licensed [-A] COMP as in (62), repeated below.

(62) **A**-wu-fun-i [Ø-<u>kuthi</u> [(u-)<u>bani</u> a-buy-e]]? [Galen Sibanda p.c.] NEG-2sSM-want-NEG COMP AUG-1who 1sSM- come-SBJ 'Who don't you want to come?'

5.3 Mechanics

The mechanics of my account build on a proposal of Arregi & Nevins (2012) that

agreement involves two components. The first of these, their *Agree Link*, establishes a probe-goal relationship. I build on Arregi & Nevins' assumptions in taking Agree-Link to be common to all probe-goal relations (not just phi-valuation) and in proposing that it constitutes a very simple form of feature-sharing by confirming a match. Phi-agreement involves *Agree Copy* -- an additional step of copying the features of the goal; for person those values might be 1st or 2nd person; for noun class, any of roughly 5 gender values + singular or plural. I propose that Agree-Copy cannot accommodate unvalued agreement features on the goal and faced with them, it aborts. Unlike Arregi & Nevins I take Agree-Copy to be available in syntax, since (in the way that I employ it here) it feeds labeling.

- (72) Two-step agreement of Probe P with Goal G (Arregi & Nevins, adapted)
 - a. <u>Agree-Link</u>: P has unvalued features triggering Agree (P,G). The result is a link between P and G establishing that a match is present.
- b. Agree-Copy: The values of the φ-features of G are copied onto P.

 I summarize the labeling problems that arise for a [-A] preverbal *wh*-phrase in an affirmative context in (73) and for a negative dependent following a [+A] COMP in (74).
- (73) Though unselective binding of *wh* is possible long-distance, labeling fails when a [-A] *wh*-phrase is preverbal because its unvalued *u*Neg aborts Agree-Copy.
 - a. *U-fun-a [(u-)kuthi [bani a-buy-e]? 2sSM-want (AUG-)COMP 1who 1SM-come-SBJ Intended: Who do you want to come?

b.
$$C_Q *U$$
-funa [(u-)kuthi [? bani_{WH, uNeg, iPhi} T_{uPhi}] X Agree Copy (SU, T) fails

- (74) Following a [+A] COMP, *u*Neg of a [-A] negative dependent is in an opaque domain (the CP phase), cannot be valued in situ, and aborts Agree-Copy
 - a. *A-ngi-fun-i [u-kuthi <u>Sipho</u> a-buy-e].

 NEG-1sSM-want-NEG AUG-COMP Sipho 1SM- come.SBJ
 Intended: I don't want Sipho to come.'

b. Neg ... [u-kuthi [? Sipho_{uNeg, iPhi}
$$T_{uPhi}$$
] \times XLocality blocks Neg. concord \times XAgree Copy (SU, T) fails

I turn to the grammatical cases of [-A] nominals in PVSP. I follow Arregi and Nevins (2012) in assuming that while Agree Link is established once uPhi finds a DP in its c-command domain, Agree Copy can take place after movement. This permits Agree Copy to be facilitated by the local concord relationship between \emptyset -kuthi and a preverbal [-A] subject in (75)a,b. While there is no evidence that T ever merges bearing uNeg features of its own, I assume also that negative concord in the form of valued uNeg is passed down from a NEG-licensed [-A] COMP to T via Feature Inheritance (Chomsky 2007, 2008), so that T and the [-A] subject match in this feature.

- (75) Labeling succeeds when COMP has a negative concord value that it can share with the embedded preverbal subject and T
 - a. A-ngi-fun-i [Ø-kuthi Ø-<u>Sipho</u> a-buy-e].

 NEG-1sSM-want-NEG COMP 1Sipho 1SM- come-SBJ

 'I don't want Sipho to come.'

b.
$$\sqrt{\text{Neg}}$$
 ... $[\emptyset\text{-kuthi}_{u\text{Neg}}$ $[\text{PhiP}$ $\emptyset\text{-Sipho}_{u\text{Neg}}, \text{iPhi}]$ $[\text{PhiP}$ $0\text{-Sipho}_{u\text{Neg}}, \text{iPhi}]$ $[\text{Vagree Copy (SU, T) succeeds}]$

C-T Feature Inheritance plays an important role in the licensing of a preverbal [-A] wh-subject. We will see evidence in §6 that [-A] licensing by NEG and C_Q are mutually exclusive, yet a [-A] wh-phrase is licit in PVSP following the [-A] COMP in (76)b. Given Feature Inheritance of valued uNeg I propose that Agree (T, SU) can value the subject's uNeg and permit labeling, as (76)b illustrates (though a slight cost perhaps accompanies the lack of more direct licensing; see note 24).

- (76) Valuing uNeg features of the preverbal subject need not rely on a direct relation with NEG given C-T Feature-Inheritance and Agree (T, SU)
 - a. A-wu-fun-i [Ø-kuthi Ø-bani a-buy-e]?

 NEG-2sSM-want-NEG COMP 1who 1SM- come.SBJ

 'Who don't you want to come?'

b. $\sqrt{C_Q}$ Neg ... [Ø-kuthi_{uNeg} [PhiP Ø-bani_{uNeg}, iPhi T_{uPhi}, uNeg]] \sqrt{Agree} (Neg, kuthi) C-T Feature Inheritance of $\frac{uNeg}{\sqrt{Agree}}$ Copy (SU, T] succeeds

C-T Feature Inheritance is likely relevant to the contrast in (77)a,b, presented by Halpert (2015:114) as support for her Case-account of PVSP restrictions on [-A] nominals. These examples illustrate that in the scope of a local left edge negative adverb ngeke – 'never', a Zulu [-A] negative dependent is licit post-verbally but not preverbally.

- (77) a. Ngeke ku-fundis-e **mu-ntu.** never 17SM-teach-SBJ 1-person 'Nobody will ever teach.'
 - b. *Ngeke **mu-ntu** a-fundis-e. never 1-person 1SM-teach-SBJ Intended: Nobody will ever teach.

The contrast suggests that Feature Inheritance is consistently crucial to agreement between a [-A] subject and T, continuing to assume that Nguni T does not merge bearing uNeg of its own. It follows that unlike a NEG-licensed COMP, the presence of the adverb ngeke does not suffice to license a [-A] negative dependent in PVSP because T needs a matching valued uNeg feature that it cannot acquire from the adverb.

Contrasts in (78) and (79) suggest an additional problem: Zulu speakers I consulted find 'only XP' degraded in this location, and report that a preverbal *wh*-subject following *ngeke* has an echoic reading. It is likely relevant that this construction involves the only root clause subjunctive to be considered, which might include a larger left periphery

including the TopP layer where 'only XP' cannot surface. This does not account for the echoic interpretation of a preverbal *wh*-phrase, however, so I leave the origins of the judgments in (78) and (79) to future research.

- (78) a. Ngeke ku-fundis-e u-John kuphela. never 17SM-teach-SBJ AUG-1John only 'John will not/never be the only one to teach.'
 - b. *Ngeke u-John kuphela a-fundis-e. never AUG-1John only 1SM-teach-SBJ Intended: John will never be the only one to teach/Only John will never teach
- (79) a. Ngeke ku-fundis-e (u)-bani? never 17SM-teach-SBJ AUG-1who 'Who will never teach?'
 - b. ?Ngeke u-bani a-fundis-e? never AUG-1John 1SM-teach-SBJ 'WHO will never teach?'

As mentioned in note 10, Halpert (2015) points out one further restriction on [-A]-nominals that I have so far not considered: they may not be clefted ((80) from Halpert 2015:69, adapting Sabel & Zeller 2006:273).

(80) Ng-*(u-)bani o-fik-ile? COP-AUG-1who 1REL-arrive-DISJ.PST 'Who came?'

Sabel & Zeller (2006:279) show that the shape of the cleft copula obligatorily reflects the noun class of what is clefted (see (81), adapted from their (24)).

(81) a. **ng**-u-m-fana b. **y**-i-mali c. **l**-/**y**-u-donga COP-AUG-1-boy 'it's a boy' 'it's money' COP-AUG-11-wall 'it's a wall'

My analysis predicts that an augment is necessary in (80) because the cleft copula has uPhi to be valued, and agreement is impossible with [-A].

The syntax of Nguni clefts has received divergent analyses in Cheng & Downing

(2013), Sabel & Zeller (2006), though these authors agree on a predicate inversion approach to the position of the cleft copula. For concreteness I adopt the account of Sabel & Zeller (2006) under which the copula raises to T and the clefted expression occupies a low Spec, Foc as depicted in (82)a. While Sabel & Zeller posit a null expletive in Spec, TP, plausibly the preverbal position is simply empty; also, the clefted expression might originate low and raise to its surface position, so that there is downward Agree (COP, uSipho) before movements take place as sketched in my (82)b.

(82) a.
$$[TP \ pro \ ng-FOC-T \ [FocP \ uSipho < Foc> \ [VP < COP >]]]$$
b. $[TP \ ng-FOC-T \ T \ [FocP \ uSipho < Foc> \ [VP \ < COP \ u\phi> < uSipho \]]]$

For reasons of length I will not pursue details of the construction further. It suffices to note that agreement is involved between the cleft copula and clefted expression, and the requirement that the latter be [+A] follows.

5.4 Discussion: feature-checking, agreement, and other uFs

- §5.1 noted a proposal of Bošković (2020, 2021) that there is broad incompatibility between unvalued uFs and feature-sharing, as stated in (68) repeated below.
- (68) The presence of an uninterpretable feature blocks labeling via feature-sharing in XP-YP configurations (Bošković 2021:10 (24))
- (68) builds on existing proposals that feature-checking is impossible in intermediate positions (Bošković 2008b, 2019b, Boeckx 2003). Some Bantu evidence for this comes from Schneider-Zioga's (2009) demonstration that in Kinande, where there is obligatory wh-agreement on intermediate COMPs, reconstruction effects are present in short but not long dependencies, arguing that the former but not the latter are movement-derived. For

this reason a bound reading is available for the fronted pronoun in (83)a but not (83)b (from Schneider-Zioga 2009:5, and see Bošković 2008b for discussion).

- (83) a. Ekitabu kiwe k/j kyo [obuli mukolo]_j akasoma __ kangikangi. 7book 7his 7wh.agr every student reads regularly '(It is) His k/j book that [every student]_j reads regularly.'
 - b. Ekitabu kiwe k/*j kyo ngalengakanaya [CP nga kyo [obuli mukolo]j 7book 7his 7wh.agr I.think that 7wh.agr every student akasoma kangikangi. reads regularly '(It is) His k/*j book that I think [every student] reads regularly.'

While this is evidence against movement from one A'-feature-checking relation to another, Bošković (2008)b argues that the limitation is more general: no category bearing uF can participate in more than on feature-checking relation. Following McCloskey (2000), licit stranding of *all* in (84)a strongly suggests that *who* does not raise via PVSP; otherwise the result should be unacceptable, as in (84)b.

(84) a. Who_i was arrested all t_i in Duke Street? b. *They_i were arrested all t_i last night.

Another kind of evidence is the impoverishment or suppression of subject-verb agreement with extracted subjects in the phenomenon referred to as antiagreement (Ouhalla 1993), found in many Bantu languages and exemplified in (85) (see among others Kinyalolo 1991, Schneider-Zioga 2007, Diercks 2009, Henderson 2013).

(85) a. mutu ú-mozi **á**-ku-kít-ag-a bubo [Kilega; Kinyalolo 1991: 26: (24)] 1person 1-one 1SM-PROG-do-HAB-FV 14that 'Someone usually does that'

b. nází ú-(*á)-ku-kít-ag-a búbo? 1who 1whAgr-(*1SM)-PROG-do-HAB-FV 14that 'Who (usually) does that?'

Bošković (2008)b, (2020), (2021) argues that there is a freezing effect of feature-

checking. He takes (68) to underly imperfection-driven movement, propelling expressions that bear uFs to positions where the offending feature can be valued and labeling via feature-sharing can therefore succeed.

My approach to the distribution of [-A] nominals is along similar lines. The commonalities are striking, but there are important caveats.

First, I mentioned the need to permit feature-sharing without phi-agreement in TECs with [-A] subjects, to be described in more detail in §7. If *any* uF blocks *any* form of labeling via feature-sharing, this ought not to be possible.

Second, while Bošković (2020) proposes that uFs thwart labeling in [XP, YP] configurations, Bošković (2021) goes further in proposing that uFs block labeling even in head-complement configurations, as a unified approach to movement. The idea that uNeg features remain licitly unvalued outside of [XP,YP] configurations is inconsistent with this latter view. While many approaches to negative dependents assume they move to a NegP or PolP (see Vergara 2017 for a recent example), I have argued that Nguni wh- in situ also have uNeg features. Though it is possible that they undergo string-vacuous short movement of some kind, there is no reason to think that in affirmative clauses, they raise to a position where uNeg can be valued.

While I cannot do full justice to this topic, these factors suggest that agreement features on the goal are different from other uFs. While they impact feature-sharing in [XP, YP] configurations as described above, agreement features are otherwise "under the radar" and ignored by the grammar as in Preminger (2014). In contrast, those *u*Fs that drive movement of *wh*-phrases, topics, and the like have consequences for the C-I

interface. Perhaps for or this reason they will crash a derivation if transferred unvalued, and thwart feature-sharing relations that fail to value them.²⁹

6. Null D and the licensing requirements

I turn to some empirical evidence from negative concord that [-A] nominals are headed by null Ds. These function like variables in that NEG and C_Q compete to bind them.

Noting that Zulu augments and prenominal demonstratives are in complementary distribution (see (86)a), De Dreu (2008) proposes that they are both Ds (see (86)b).

Negative *wh*-questions provide compelling evidence for the null D approach to [-A] nominals. We have already seen in example (30) of §2.1 (repeated below) that augment-drop concord is not possible within complex *wh*-phrases in affirmative questions.

Comparing the negative statements in the Xhosa (87) with their *wh*- counterparts in (88) we see that augment-drop concord on modifiers within a [-A] *wh*-phrase is ruled out even with local c-commanding negation. On the other hand, Xhosa (89) shows that within a complex expression in a negative Yes/No question, augment-drop concord is licit. This makes it clear that interrogation and concordial augment-drop are compatible in the same clause, as long as there is no augment-drop concord within a *wh*-phrase.

- (30) Augment-drop concord is impossible within a complex wh-expression
 - a. U-Mary u-thanda ø-<u>zi-phi</u> *(i-)<u>zi-hlangu</u> *(e-)<u>zi-bomvu</u>? [Xhosa] AUG-1Mary 1SM -like 8-which AUG-8-shoes AUG.REL-8-red 'Which red shoes does Mary like?

-

²⁹ I forego discussing Case given questions outside the scope as to whether it always triggers raising (Bošković 2011) or not (Bobalijk & Wurmbrand 2005), to what extent it merits the classic role attributed to it in raising (Marantz 2000), and variation in its linkage to C-I-relevant features such as specificity.

- b. U-thanda ø-<u>zi-njani</u> *(i)-<u>zi-nja</u> *(e)-<u>zi-ncincini</u>? [Xhosa] 2sSM-like 10-what.kind AUG-10-dogs AUG-10-small 'What kind of small dogs do you like?'
- (87) ✓ Augment drop concord within a complex [-A] in negation's scope
 - a. U-Simiso a-ka-theng-anga <u>zi-hlangu</u> <u>zi-bomvu</u>.

 AUG-1Simiso NEG-1SM-buy-NEG 8-shoes 8-red
 'Nandi didn't buy (any) red shoes.'
 - b. U-Nomsa a-ka-thand-i <u>zi-nja</u> <u>zi-nkulu</u> zi-ka-<u>Sabelo</u>.

 AUG-1Nomsa NEG-1SM-like-NEG 10-dogs 10-big 10-POSS-1Sabelo 'Sindiswa doesn't like (any of) Sabelo's big dogs.'
- (88) X Augment-drop concord within complex wh-, despite c-commanding Neg
 - a. U-Simiso a-ka-theng-anga <u>zi-phi</u> *(i-)<u>zi-hlangu</u> *(e-)<u>zi-bomvu</u>? AUG-1Simiso NEG-1SM-like-NEG.PST 8-which AUG-8-shoes AUG.REL -8-red 'Which red shoes did Simiso not buy (any of)?'
 - b. A-wu-thand-i *(i-)zi-nja *(e)zi-njani *(e-)zi-nkulu?

 NEG-1SM-like-NEG AUG-10-dogs AUG.REL-10-what.kind AUG.REL-10-big

 'What kind of big dogs don't you like (any of)?'
- (89) ✓ Augment drop concord within a complex [-A] in negation's scope of a Y/N Q
 - a. (Ngaba) U-Simiso a-ka-theng-anga <u>zi-hlangu</u> <u>zi-bomvu</u>? Q AUG-1Simiso NEG-1SM-buy-NEG.PST 8-shoes 8-red 'Didn't Simiso buy (any) red shoes?'
 - b. (Ngaba) U-Nomsa a-ka-thand-i <u>zi-nja</u> <u>zi-nkulu</u> zi-ka-<u>Sabelo</u>?

 Q AUG-1Nomsa NEG-1SM-like-NEG 10-dogs 10-big 10-POSS-1Sabelo 'Doesn't Sindiswa like (any of) Sabelo's big dogs?'

I consider the pattern in (87)-(89) important evidence that when C_Q and NEG are both local to a [-A] nominal they compete as potential licensers. The facts follow easily from assuming that licensing is a kind of binding of a null D counterpart to the overt augment, as D is unique and structurally accessible to clause-level operators (see also Gambarage's 2019 analysis of Nata [-A] nominals as having operator-bound null Ds). I reflect this in a revision of the base-level licensing requirement for [-A] nominals: ³⁰

³⁰ While Gambarage (2019) proposes a null D operator-binding approach to [-A] nominals in Nata, the properties differ considerably: Nata [-A] nominals are licit in PVSPs, do not require surface c-command or a clause-mate licenser, their class of licensers is much broader, they may serve as predicates and,

(90) [-A] licensing requirement: null D must be unselectively bound by NEG or C_Q . We can think of null D as functioning rather like a variable, since it can have one and only one binder. C_Q clearly trumps NEG when both are candidates to bind null D as depicted in (91). This is easily explained: if C_Q does not bind a wh-phrase the resulting interpretation is perhaps a polar question, in which case the presence of the wh-phrase is anomalous (see Chomsky 1995:291 for relevant discussion), or perhaps the result is nothing intelligible at all. In contrast, NEG's primary function is to negate the clause. While it may unselectively bind and value uNeg of any null D in its scope, there is no need for it to do so if the binding requirement is met by C_Q . ³¹

The variable-binding approach provides a simple explanation for the failure of augment-drop concord within [-A] *wh*-phrases in negative contexts. While feature-valuing Agree relations are not typically analyzed as binding, the valuer of negative concord is a sentential operator, unlike the valuer of phi-agreement which is typically an argument. For these reasons I think it makes sense to view the commonality underlying licensing by NEG and C_O as a kind of A'-binding, albeit with differing characteristics in the two cases.

Summing up, to capture the similarities and differences between [-A] wh-phrases and [-A] negative dependents I have proposed that both are operator-bound. C_Q legitimizes a [-A] serving as a wh-word by unselectively binding its null D, assuming Pesetsky's (1987) approach to island-impervious wh- in situ. Negative dependence is accounted for

Gambarage argues, Nata augments convey speaker's belief in the referent's existence. Given so many contrasts our analyses differ considerably. Further consideration lies outside this paper's scope. ³¹ Thanks to Martha McGinnis (personal communication) for suggesting that null D is bound at the phase level when both Neg and C₀ are present.

47

here in a similar way, building on the status of negation as a clausal operator and on the fact that, like *wh*-in situ, negative dependents lack independent quantificational force (see Giannakidou & Quer 1997 for helpful discussion). I summarize in (92) below.

(92) a. Negation binds [-A]'s null D through clause-bound Agree (Neg...uNeg)

Agree Neg...(*[
$$_{CP}$$
) ... \varnothing D, $_{uNeg}$...

b. C_Q binds a wh- [-A] without sensitivity to locality

$$\sqrt{C_{Q \dots ([CP)\dots}} \mathcal{O}_{D \text{ wh, uNeg } \dots}$$

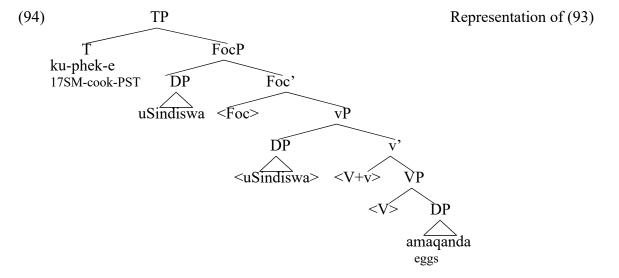
7. Focus and labeling of postverbal subjects

The account I have presented attributes the PVSP restriction on [-A] nominals not to Case but to labeling-theoretic issues: feature-sharing is needed to label [XP, YP] configurations but *u*Fs block it, and these include *u*Neg features of [-A] nominals.

[XP, YP] configurations arise also in VSO constructions like (93). An obligatory focus-reading for the post-verbal subject signals how labeling works in this domain.

(93) Ku-phek-e u-Sindiswa a-ma-qanda. (answers 'Who cooked eggs?') 17SM-cook-PST AUG-1Sindiswa AUG-6-eggs (cannot answer 'What happened?') 'Sindiswa_F cooked eggs.' (cannot answer 'What did Sindiswa cook?')

Carstens & Mletshe (2015) propose that there is a low FocusP in Xhosa immediately dominating vP as shown in (94). In keeping with a general ban on both subject and object staying in situ (Alexiadou & Anagnostopoulou 2001) the subject of a VSO TEC must raise to Spec, Foc. Following Chomsky (2013) I assume this is necessary because a labeling failure arises in the [XP, YP] configuration [SU, vP].



According to my proposals in §5, Agree-Copy aborts when it encounters an unvalued agreement feature on a goal. I propose that since there is no phi-feature copying associated with labeling between the Focus head and a post-verbal argument bearing uNeg, the problem does not arise. Agree Link is established between the Focus head and a focused expression, confirming a match (represented by $\sqrt{}$ in (95)b). The subject raises to Spec, Foc where the shared focus-features can label.³²

(95) Labeling FocusP a. P $DP_{iPhi, uNeg, uFoc} \cdots$ b. P $DP_{iPhi, uNeg, vFoc} \cdots$ FocP

The facts highlight a need for more detailed work on the comparative properties of different kinds of feature-sharing. (95) is only an initial step in this direction, but I must leave further exploration to future research. ³³

3

³² I tentatively adopt in (95) the suggestion of an anonymous reviewer that [+focus] material is formally uFocus. This entails that 'only XP' and [+A] wh- can surface in PVSP of non-indicative clauses because iFocus is available there, and similarly for focus-tolerant O_2 of VSO₁ O_2 ; see Carstens & Mletshe (2016). Alternative approaches are easily imaginable (including uFocus or an edge feature on Foc itself); I leave pursuit of the many related issues to future research.

8. Extension to parallels in Romance

The analysis of [-A] nominals that I have presented was inspired by work on an exclusion of bare nouns from PVSP in Romance languages. Contreras (1986:25-27) provides the data in (96) illustrating that a Spanish preverbal subject differs from an internal argument in requiring a determiner.

- (96) a. Quiero café. 'I want coffee.'
- b. *Café me gusta. [Spanish; Contreras 1986] coffee me pleases 'I like coffee.'
- c. El café me gusta. the coffee me pleases 'I like coffee.'

Longobardi (1994) points out that the same asymmetry characterizes bare nominals in Italian (see (97)). Only PVSP is subject to a determiner requirement.

- (97) a. *Acqua viene giù dalle colline. [Italian; Longobardi 1994: 616] water comes down from-the hills
 - b. Viene giù acqua dalle colline. comes down water from-the hills
 - c. Ho presto acqua dalla sorgente.
 I took water from-the spring

Deprez (2000) shows that an identical restriction affects bare nouns in Portuguese and Sardinian as well: though permitted as objects of verbs and prepositions, they may not be preverbal subjects ((98) from Deprez 2000:256; glosses added).

- (98) a. ??Criancas estao a gritar ao telefone. [Portuguese] children are screaming on phone Intended: Children are screaming on the phone.
 - b. *Sórrikas an mandicatu su casu. [Sardinian] mice AUX ate the cheese Intended: Mice ate the cheese.

³³ The licit [-A] O in Spec, Appl or Cause of a SVOO construction creates an [XP, YP] configuration. Arguably O surfaces in Nguni's postverbal [+focus] position, so falls under (95).

In a highly significant parallel to the Nguni facts, this restriction is consistent across clause types – determiners are required for nominals in PVSP of subjunctives and relative clauses of Spanish (99) Italian (100), Portuguese (101) and Sardianian (102). 34

- (99) a. No quiero que *(el) agua entre en la casa. [Spanish]

 NEG want.1s that the water enter.SBJ in the house
 'I don't want water to come into the house.'
 - b. Este es un maestro que *(los) ninos odian. this is a teacher that the children hate 'This is a teacher that children hate.'
- (100) a. Voglio che *(i) bambini giochini sempre in questo parco. [Italian] want.1s that the children play.SBJ always in this park 'I want children always to play in this park.'
 - b. Questo e un insegnante che *(i) bambini amano. this is a teacher that the children love 'This is a teacher that children love.'
- (101) a. Eu conheci um politico que *(os) cidadaos respeitam. [Portuguese]

 I met a politican that the citizens respect

 'I met a politican that citizens respect.'
 - b. Eu nao quero que *(a) agua entre na casa. I NEG want that the water enter.SBJ the house 'I don't want water to come into the house.'
- (102) a. Custu est unu professore chi (*sos) pitzinnos aman. [Sardinian] this is a teacher that the children love.PRES.IND.3PL 'This is a teacher that children love.'
 - b. Cherzo chi *(sos) pitzinnos gioghen sempre in custu parcu. want.PRES.IND.1SG that the children play.SBJ.3PL always in this park 'I want children always to play in this park.'

Table 5 summarizes the results for the Romance languages and Nguni. 35

_

³⁴ For assistance in assembling the data in this section my thanks to Guido Menshing for Sardinian, Andrea Calabrese for Italian, Pilar Barbosa for European Portuguese, and the late Paula Kempchinsky for Spanish and for helpful discussion.

³⁵ VS order seems predominant in Romance participials regardless of the subjects' properties.

Table 5: Exclusion of bare nominals from preverbal subject positions

Bare nominals	Nguni [-A]	Italian	Spanish	Portuguese	Sardinian
SV (indicative)	X	X	Χ	X	Χ
SV (subjunctive)	X	Χ	Χ	Х	Χ
SV (rel. clause)	Χ	Χ	Χ	Х	Χ
SV (participial)	Х	N/A	N/A	N/A	N/A

A unified account of these point-by-point correspondences between Nguni and Romance PVSP restrictions is a theoretically attractive goal. Absent evidence that Romance bare nominals have special Case needs, the approach to Nguni [-A] nominals in Halpert (2015) and Pietraszko (2021) cannot be extended to them. But negative concord plays a major syntactic role in Romance, just as in Nguni – a state of affairs that I take to be non-coincidental, like the correspondences in Table 5.

In fact, the ability of negative concord to neutralize the Ndebele PVSP restriction has a striking parallel in Romance languages discussed in Deprez (2000): negative concord items (NCIs) are a systematic exception to the exclusion of bare nominals from PVSP in (96)-(102) (see (103) adapted from Deprez 2000:254 (4); glosses added).

[Italian]

(103) Nessuno ha telefonato.
no one has telephoned
'No one called.'

I adopt a proposal of Zeijlstra (2008) that *nessuno* has *u*Neg features which in (103) obtain valuation from a silent negation operator that c-commands PVSP (see (104)).

(104) iNeg...Ø_{uNeg}-Nessuno₋ha telefonato. [Adapting Zeijlstra 2008:27]

(103) is thus grammatical because of successful Neg-licensing from the left edge as in the Nguni (37), repeated below (though I assume the operator is in a CP projection and can share features with T as well, for reasons detailed in §5.3).

(37) Negative concord spreading from C to SU yields a licit [-A] preverbal subject

A-ngi-fun-i
NEG-I-want

COMP

NEG-I-want

Subject

A-ngi-fun-i
NEG-I-want

COMP

Subject

A-ngi-fun-i
Supplication
Subject

A-ngi-fun-i
NEG-I-want

COMP

Subject

1 Sipho a-buy-e]].

Turning to Romance bare mass nouns and plurals, I suggest that they have null Ds with uNeg features, just like bare nouns in Nguni. These thwart agreement and hence labeling as in Nguni, yielding the familiar pattern of facts in (96)-(102) (see (105)).

(105) *[TP Acqua_{uNeg} T_{uPhi} viene giù dalle colline. = (97)a water comes down from-the hills uNeg of acqua blocks Agree

I also extend to these expressions a proposal of Carstens & Mletshe (2016) that I advocated in §3.1 for strict negative dependents like *mifino* – 'any/no vegetables': their *u*Neg features are too weak to be compatible with a silent negator, just as *u*Phi of T in English is too weak for compatibility with *pro*-drop. For this reason bare plurals and mass nouns of Romance cannot be licensed in PVSP as *nessuno* is in (104). Nor can they serve as negative fragment answers as (106) shows, for the same reason: following Zeijlstra (2004, 2008) among others, negative fragment answers rely on a silent negator.

(106) Q. Quanta acqua hai bevuto? Ans. *Acqua! how.much water have.2s drunk? water 'How much water have you drunk? Intended: no water!

Outside of PVSP, it may be that the uNeg features of Romance bare nouns enable them to function as negative dependents, when NEG-licensing is available:

(107) a. Non voglio acqua. b. NEG[DP Ø_{uNeg}-acqua] [Italian] NEG want.1s water 'I don't want (any) water.'

Absent local negation they simply express mass or plural meanings which do not require a relation with NEG (see (108)). In this they parallel Nguni [-A] wh-phrases: their

possession of uNeg features is irrelevant to their core semantic content and primary functions.

(108) Ho presto \emptyset_{uNeg} -acqua dalla sorgente. I took water from the spring 'I took water from the spring.'

In contrast, negative dependent expressions like the Italian nessuno – 'anybody/nobody' and Xhosa \emptyset -mifino 'any/no vegetables' have no meanings when not bound by negation; in this they are like wh-phrases without binding by C_O .

A question arises regarding why the licensing strategy in (104) fails for the three NCIs *lutho* – 'anyplace/no place', *muntu* – 'anybody/nobody' and *ndawo* – 'anyplace/no place' in the PVSPs of (109). I noted in §3.1:(40), repeated below, that these three may serve as fragment answers, and on that basis I've hypothesized that a silent negator exists in Zulu. In indicative clauses, PVSP is inhospitable to [+focus] material as we have seen, so the exact counterpart to (104) would be doomed. But something must be said about why the silent negator doesn't license preverbal [-A] nominal subjects in subjunctives like (109)a,b:

- (40) Fragment answers: a. √Mu-ntu! b. √Lutho! c. √Ndawo! [Zulu]
 1-person 11thing 9place
 'Nobody!' 'Nothing!' 'No place!'

 d. *M-fazi! e. *Zi-hlangu!
 1-woman 8-shoes
- (109) a. *Ngi-funa [(ukuthi) <u>lutho</u> li-phuk-e] [Zulu] 1sSM-want (COMP) 11thing 11SM-break-SBJ Intended: I want nothing to break.'

'No shoes!'

Intended: No woman!

b. *Ngi-funa [(ukuthi) <u>mu-ntu</u> a-phek-e] 1sSM-want (COMP) 1-person 1sM-cook-sbJ Intended: I want no one to cook. I take the ill-formedness of (109)a,b to indicate that a silent left-edge negator is absent in Nguni subjunctives as is consistent with the clause-medial location of overt negators within subjunctives, illustrated in (110)c (compare to (110)a and (110)b). Pietraszko proposes that the higher negation available in indicative clauses like (110)a is absent in subjunctives, where the left periphery is truncated.

- (110) a. Ngi-cabanga ukuthi a-bafana a-ba-phek-i. [Ndebele; Pietraszko 2021:595] 1sSM-think COMP AUG-2-boys NEG1-2SM- cook-NEG 'I think that the boys do not cook.
 - b. *Ngi-funa ukuthi a-bafana **a-**ba-phek-i. 1sSM-want COMP AUG-2-boys NEG1-2SM- cook-NEG Intended: I want the boys not to cook.'
 - c. Ngi-funa ukuthi a-ba-fana ba-**nga**-phek-i. 1sSM-want COMP AUG-2-boys 2SM-NEG2-cook-NEG 'I want the boys not to cook.'

Another question that arises is why exactly bare nouns are systematically endowed with uNeg features in Nguni and Romance, under this proposal. I conjecture that this might be a simple general strategy for equipping languages with the foundation for a negative concord system. NCIs are non-referential; if overt articles facilitate denotation and reference (Longobardi 1994), and non-referentiality correlates even partially with their absence, then bare nouns have a baseline profile more compatible with serving as NCIs than do nouns with determiners. uNeg features and null Ds are thus a natural-enough marriage. It is arguably efficient to supply uNeg features to bare nouns, in negative concord languages, especially given that the grammar can ignore them in any circumstances where they are not relevant, apart from labeling contexts.

A loose end remains in the availability of bare singular preverbal subjects in certain Romance languages, most often with generic readings ((111) is from Rinaldi 2018, p.

85:(2), citing Muller & Oliveira 2004).

(111) Elefante é inteligente. Elephant is intelligent 'Elephants are intelligent.' [Brazilian Portuguese]

I can only suggest that the feature-content of their determiners, if they have them, excludes uNeg. I must leave a deeper treatment to future research. I also leave to future research the absence of tell-tale default morphology on Romance bare nominals which lack negation-licensing.

9. Concluding remarks

The central claim of this article is a rather simple one: that the distribution of Nguni bare nouns follows from their nature as negative dependents and *wh*-question words. One surprising distributional fact to be captured is their exclusion from PVSPs, but Pietraszko (2021) shows that in Ndebele, negation-licensing via COMP overrides this prohibition. I have accordingly proposed that valuation of *u*Neg features is the crucial factor in this pattern. More broadly, an XP bearing unvalued agreement cannot value agreement on a probe Y and thereby thwarts feature-sharing relations on which labeling relies in [XP, YP] configurations (Chomsky 2013, Bošković 2020, 2021). The PVSP restrictions are thus a variety of EPP-effect arising from the valuation dependency that [-A] nominals have on *i*Neg and more generally, negative concord.

§1.1 noted controversy over whether negative-dependence and *wh*-hood are relevant to the syntax of Nguni [-A] nominals. In promoting Halpert's (2015) approach Pietraszko (2021:583-84) writes:

Halpert proposes that negative polarity and *wh*-hood...are semantic preconditions on their appearance. The distribution of augmentless DPs is *additionally* regulated

by purely syntactic factors, and [Halpert's] theory is a theory of the structural (not the semantic) licensing conditions.

My article has presented an array of evidence that the heavy lifters in syntactic licensing of [-A] are negation, focus, and C_Q. Alongside of their semantic properties I have shown that these categories play important morpho-syntactic roles in Nguni.

Abstract structural Case plays no part in this account, unlike in the analyses of Halpert (2015) and Pietraszko (2021). The case for Case in most Bantu languages hangs on the question of how to interpret a very narrow range of phenomena. A 'no' setting for Nguni seems within reach for Diercks's (2012) Bantu-inspired Case parameter in (112) under my analysis of [-A] nominal distribution.

(112) <u>Case parameter</u>: uninterpretable Case features are/are not present in a language A welcome simplification of our conception of Nguni grammar would result from this, consonant with the major, striking roles that other kinds of morphosyntactic features play in regulating the positions of Nguni nominals (Zeller 2008, Sabel & Zeller 2006, Carstens & Mletshe 2015, 2016, Carstens & Zeller 2020).

Much like Nguni languages, several Romance languages exclude bare nouns from PVSPs. While some prior accounts have attributed this to unvalued D-features of T or phi-feature visibility problems (Deprez 2000, Landau 2000), I have suggested instead extending the labeling account of Nguni to bare nouns in Romance, though this is speculative and leaves some open questions.

References

Adger, David. 2007. Stress and phasal syntax. *Linguistic Analysis* 33: 238-266. Alexiadou, Artemis, and Elena Anagnostopoulou. 2001. The subject-in-situ

- generalization and the role of case in driving computations. *Linguistic Inquiry* 32:193–231.
- Arnaiz, Alfredo. 1996. N-words and wh-in-situ: nature and interactions. University of Southern California PhD dissertation.
- Arregi, Karlos. 2016. Focus projection theories. In *The Oxford handbook of information structure*, eds. Caroline Féry and Shinichiro Ishihara, Oxford Handbooks Online.
- Arregi, Karlos & Andrew Nevins. 2012. *Morphotactics: Basque auxiliaries and the structure of spellout.* Springer.
- Baker, Carl L. 1970. Notes on the description of English questions: the role of an abstract question morpheme. *Foundations of Language*: 197-219.
- Baker, Mark. 2003. Agreement, dislocation, and partial configurationality. In A. Carnie,
 H. Harley and M-A Willie (eds). Formal approaches to function in grammar: In honor of Eloise Jelinek. Amsterdam: John Benjamins. pp. 107-132.
- Baker, Mark & Chris Collins. 2002. The agreement parameter. Ms., Rutgers University and Cornell University.
- Beghelli, Filippo. 1995. The phrase structure of quantifier scope. Los Angeles, CA:
 University of California dissertation.
- Bobaljik, Jonothan & Susi Wurmbrand. 2005. The domain of agreement. *Natural Language & Linguistic Theory*, 23(4), pp.809-865.
- Bobaljik, Jonothan & Susi Wurmbrand. 2013. Suspension across domains. *Distributed Morphology Today: Morphemes for Morris Halle*, 185-198.
- Boeckx, Cedric. 2003. Islands and chains. Vol. 24. Amsterdam: John Benjamins.

- Bošković, Željko. 2002. A-movement and the EPP. Syntax 5.3: 167-218.
- Bošković, Željko. 2005. On the locality of left branch extraction and the structure of NP. In *Studia Linguistica* 59: 1-45.
- Bošković, Željko. 2008a. On two types of negative constituents and negative concord. *Proceedings of FDSL* 6: 9-35.
- Bošković, Željko. 2008b. On successive-cyclic movement and the freezing effect of feature-checking. In J. Hartmann, V. Hegedus, H. van Riemsdijk (eds.) *Sounds of Silence: Empty Elements in Syntax and Phonology*, 195-233. North Holland/Amsterdam: Elsevier.
- Bošković, Željko. 2008c. What will you have, DP or NP? In *Proceedings of the 37th*Annual Meeting of the North East Linguistic Society, eds. Ž. Bošković, E. Elfner, and M. Walcow.
- Bošković, Željko. 2009. Unifying first and last conjunct agreement. *Natural Language* and *Linguistic Theory* 27.3: 455-496.
- Bošković, Željko. 2011. On valued uninterpretable features. In *Proceedings of the Northeast Linguistic Society* volume 39: 109-120.
- Bošković, Željko. 2020. On smuggling, the freezing ban, labels, and tough-constructions.

 In A. Belletti and C. Collins (eds.) *Smuggling in Syntax*, 53-95. Oxford: Oxford University Press.
- Bošković, Željko. 2019. Generalized asymmetry. Ms., University of Connecticut.
- Bošković, Željko. 2021. Merge, Move, and the contextuality of syntax: the role of labeling, successive-cyclicity, and the EPP effect. Ms, University of Connecticut.

- Bresnan, Joan. 1971. Sentence stress and syntactic transformations. *Language* 47:257-281.
- Buell, Leston. 2007. Semantic and formal locatives: implications for the Bantu locative inversion typology. SOAS Working Papers in Linguistics Vol. 15: 105-120.
- Cable, Seth. 2010. *The Grammar of Q.* Oxford and New York: Oxford University Press.
- Carstens, Vicki. 1991. The morphology and syntax of Determiner Phrases in Kiswahili. UCLA PhD dissertation.
- Carstens, Vicki. 2005. Agree and EPP in Bantu. *Natural Language & Linguistic Theory* 23.2: 219-279.
- Carstens, Vicki. 2019. Noun class, gender, and the workings of Agree: evidence from agreement with conjoined subjects in Xhosa. lingbuzz/004683.
- Carstens, Vicki. Concord and labeling. 2020. In Agree to Agree: agreement in the Minimalist Programme. Language Science Press vol. 6: 71-116.
- Carstens, Vicki. To appear. Extraction and the syntax of Xhosa nominal expressions. In Veneeta Dayal (ed.) *The Open Handbook of (In)definiteness: A hitchhiker's guide to interpreting bare arguments.* Cambridge, MA: MIT Press.
- Carstens, Vicki. 2022. CP and PP subjects in Nguni: a labeling-theoretic account of some apparently categorial EPP-effects. Ms., University of Connecticut.
- Carstens, Vicki & Michael Diercks. 2013a. Parameterizing Case and Activity: Hyperraising in Bantu. In *Proceedings of the 40th Annual Meeting of the North East Linguistic Society*, Seda Kan, Claire Moore-Cantwell, Robert Staubs (eds), 99-

- 118. Amherst: University of Massachusetts Graduate Linguistic Student Association.
- Carstens, Vicki & Loyiso Mletshe. 2016. Negative concord and nominal licensing in Zulu and Xhosa. *Natural Language and Linguistic Theory* 34.3: 761-804.
- Carstens, Vicki & Loyiso Mletshe. 2015. Radical defectivity: implications of Xhosa expletive constructions. *Linguistic Inquiry* 46.2:187-242.
- Carstens, Vicki & Jochen Zeller. 2020. 'Only' in Nguni: a phrase-final particle meets antisymmetry. *Linguistic Inquiry* 51.2:199-235.
- Cecchetto, Carlo. 2003. QR in the Theory of Phases. In Gina Garding & Mimu Tsujimura (eds.), *Proceedings of the 22nd West Coast Conference on Formal Linguistics*, 123–136. Somerville, MA: Cascadilla.
- Cecchetto, Carlo. 2004. Explaining the locality conditions of QR: Consequences for the theory of phases. *Natural Language Semantics* 12(4). 345–397. DOI: https://doi.org/10.1007/s11050-004-1189-x
- Cheng, Lisa. 1997. On the typology of wh-questions. Portland, OR: Taylor & Francis.
- Cheng, Lisa & Downing, Laura. 2013. Clefts in Durban Zulu. In *Cleft Structures*, ed. by Katharina Hartmann and Tonjes Veenstra, 141-63. Amsterdam and NY: John Benjamins.
- Chierchia, Genarro. 2006. Broaden your views: Implicatures of domain widening and the "logicality" of language. *Linguistic inquiry* 37.4: 535-590.
- Choe, Jae W. 1987. LF-movement and pied piping. Linguistic Inquiry 18:348-353.
- Chomsky, Noam. 1995. The Minimalist Program. Cambridge, MA: MIT Press.

- Chomsky, Noam. 2007. Approaching UG from below. In *Interfaces* +*Recursion* = *Language*? Ed by U. Sauerland and H.-M. Gartner, 1-29. Berlin: Mouton de Gruyter.
- Chomsky, Noam. 2008. On Phases. *Foundational Issues in Linguistic Theory*, eds. F. Robert, P. Otero & M. Zubizarreta, 133-166. Cambridge, MA: MIT Press.
- Chomsky, Noam. 2013. Problems of Projection. Lingua 130: 33-49.
- Cinque, Guglielmo. 1990. Types of A' Dependencies. Cambridge, MA: MIT Press.
- Cinque, Guglielmo. 1993. A null theory of phrasal and compound stress. *Linguistic Inquiry* 23:239-297.
- Citko, B. & Germain, A. & Witkoś, J., (2018) "If you cannot agree, move on! On labels and non-nominative subjects", *Glossa: a journal of general linguistics* 3.1: 28. doi: https://doi.org/10.5334/gjgl.399.
- Collins, Chris & Paul Postal. 2015. A typology of negative polarity items. lingbuzz/002677.
- Contreras, Helas. 1986. Spanish bare NPs and the ECP. In *Generative studies in Spanish syntax*, ed. By Ivonne Bordelois, Heles Contreras, and Karen Zagona, 25-49.

 Foris: Dordrecht.
- De Dreu, Merijn. 2008. The internal structure of the Zulu DP. University of Leiden MA thesis.
- Den Dikken, Marcel. 2002. Direct and parasitic polarity item licensing. *The Journal of Comparative Germanic Linguistics* 5.1: 35-66.

- Den Dikken, Marcel. 2006. Parasitism, secondary triggering, and depth of embedding. Crosslinguistic research in syntax and semantics: Negation, tense, and clausal architecture, pp.151-174.
- Deprez, Vivianne. 2000. Parallel (a)symmetries and the internal structure of negative expressions. *Natural Language and Linguistic Theory* 18:253-342.
- Diercks, Michael. 2012. Parameterizing Case: Evidence from Bantu. *Syntax* 15.3: 253-286.
- Diercks, Michael. 2009. Subject extraction and (so-called) anti-agreement effects in Bukusu: A Criterial Freezing approach. In *Proceedings of Annual Meeting of the Chicago Linguistic Society*, Volume 45, Number 1, edited by Ryan Bochnak, Nassira Nicola, Peet Klecha, Jasmin Urban, Alice Lemieux and Christina Weaver, 55-69.
- Farkas, Donka. 1981. Quantifier scope and syntactic islands. In Roberta A. Hendrick, Carrie S. Masek & Mary Frances Miller (eds.), *Papers from the 17th Regional Meeting of the Chicago Linguistic Society*, 59–66. Chicago, IL: University of Chicago, Chicago Linguistic Society.
- Farkas, Donka F. & Anastasia Giannakidou. 1996. How clause-bounded is the scope of universals? In Teresa Galloway & Justin Spence (eds.), *Proceedings from Semantic and Linguistic Theory VI*, 35–52. Ithaca, N.Y.: Cornell University, CLC Publications. DOI: https://doi.org/10.3765/salt.v6i0.2764
- Fox, Danny. 2000. *Economy and semantic interpretation*. Cambridge, MA: MIT Press/MITWPL.

- Gambarage, Joash. 2019. Belief-of-existence determiners: evidence from the syntax and semantics of Nata augments. UBC PhD dissertation.
- Giannakidou, Anastasia. 1998. *Polarity Sensitivity as (Non)Veridical Dependency*.

 Amsterdam and New York: John Benjamins.
- Giannakidou, Anastasia. 2000. Negative...concord? *Natural Language and Linguistic Theory* 18.3:457-523.
- Giannakidou, Anastasia. 2006. N-words and negative concord. In *The Blackwell Companion to Syntax, Vol. III*, eds.Martin Everaert and Henk van Riemsdijk, 327–391. Malden: Blackwell.
- Giannakidou, Anastasia. 2009. The dependency of the subjunctive revisited: Temporal semantics and polarity. *Lingua* 119.12: 1883-1908.
- Giannakidou, Anastasia & Suwon Yoon. 2016. Scalar marking without scalar meaning: nonscalar, nonexhaustive even-marked NPs in Greek and Korean. *Language* 92.3: 522-566.
- Giannakidou, Anastasia & Josep Quer. 1997. Long-distance licensing of negative indefinites. In *Negation and Polarity*, ed. by Paul Hirschbuhler, France Martineau, and Maria Luisa Rivero, 95-112.
- Giannakidou, Anastasia & Hedde Zeijlstra. 2017. The landscape of negative dependencies; n-words and negative concord. In *The Blackwell Companion to Syntax*, 2nd edn., eds. Martin Evaerert and Henk van Riemsdijk. Malden: Blackwell).

- Giannakidou, A., Maienborn, C., von Heusinger, K. and Portner, P., 2019. Negative and positive polarity items. *Semantics—Sentence and information structure*, pp.69-134.
- Haegeman, Liliiane. 1995. *The Syntax of Negation*. Cambridge and New York: Cambridge UP.
- Haegeman, Liliane & Terje Lohndal. 2010. Negative concord and (multiple) Agree: a case study of West Flemish. *Linguistic Inquiry* 41.2: 181-211.
- Haegeman, Liliane & Rafaella Zanuttini. 1991. Negative heads and the Neg Criterion. *The Linguistic Review* 8.2-4: 233-252.
- Halpert, Claire. 2015. Argument licensing and Agreement. Oxford: Oxford and NY: Oxford University Press.
- Harford, Carolyn. 1986. Aspects of complementation in three Bantu languages.

 University of Wisconsin-Madison PhD dissertation.
- Henderson, Brent. 2013. Agreement and person in anti-agreement. *Natural Language and Linguistic Theory* 31.2: 453-481.
- Hiraiwa, Ken. Multiple Agree and the defective intervention constraint in Japanese. *MIT working papers in linguistics*, 40.40: pp.67-80.
- Hoeksema, Jack. 2007. Parasitic licensing of negative polarity items. The Journal of Comparative Germanic Linguistics. 10. 163-182. 10.1007/s10828-007-9012-y.
- Holmberg, Anders. 2000. Scandinavian stylistic fronting: how any category can become an expletive. *Linguistic Inquiry* 31.3:445-483.

- Johnson, Kyle. 2000. How far will quantifiers go? In Roger Martin, David Michaels & Juan Uriagereka (eds.), *Step by step: Essays on Minimalist syntax in honor of Howard Lasnik*, 187–210. Cambridge, MA: MIT Press.
- Kadmon, Nirit & Fred Landman. 1993. Any. Linguistics and Philosophy 16.4: 353-422.
- Kallulli, D. 2000. Direct Object Clitic Doubling in Albanian and Greek. In F. Beukema &M. den Dikken (eds.), *Clitic Phenomena in European Languages*. Amsterdam:John Benjamins, 209-248.
- Kinyalolo, Kasangati K.K.W. 1991. Syntactic Dependencies and the Spec-Head Agreement Hypothesis in Kilega. UCLA PhD dissertation.
- Laka, Itziar. 1990/2019. On the syntax of negation. MIT dissertation; published by *Routledge*.
- Landau, Idan. 2007. ECP extensions. *Linguistic Inquiry* 38.3:485-523.
- Lasnik, Howard & Mamoru Saito. 1992. *Move alpha: Conditions on its application and output*. Cambridge, MA: MIT Press.
- Longobardi, Giuseppe. 1994. Reference and proper names: a theory of N-movement in syntax and Logical Form. *Linguistic Inquiry* 25.4: 609-665.
- Marantz, A., 2000. Case and licensing. In Reuland, E. (ed.) *Arguments and case: Explaining Burzio's generalization*, Amsterdam and New York: John Benjamins, pp.11-30.
- Mbana, Chulumanco Yanelisa. 2021. Deriving modifier orders in isiXhosa. University of KwaZulu-Natal BA thesis.

- McCloskey, James. 2000. Quantifier float and wh-movement in an Irish English. *Linguistic inquiry* 31.1: 57-84.
- Muller, Ana and Fatima Oliveira. 2004. Bare nominals and number in Brazilian and European Portuguese. *Journal of Portuguese Linguistics* 3.1:9-36.
- Nishigauchi, Taesuke. 1984. Japanese LF: subjacency vs. ECP. In *Seoul Papers on Formal Grammar Theory* 71-105.
- Norris, Mark. 2014. A theory of nominal concord. UC Santa Cruz PhD dissertation.
- Ouhalla, Jamal. 1993. Subject-extraction, negation and the antiagreement effect. *Natural Language & Linguistic Theory*, 11(3), pp.477-518.
- Penka, Doris. 2011. Negative indefinites. Oxford Studies in Theoretical Linguistics No. 32, Oxford University Press.
- Pesetsky, David. 1987. Wh-in-situ: movement and unselective binding. in E. Reuland & A. ter Meulen eds., *The representation of (in)definiteness*, 98-129. Cambridge, Mass.: MIT Press.
- Picallo, Carme. 1984. Opaque domains. The Linguistic Review 4.3:279-288.
- Pietraszko, Asia. 2019. Obligatory CP nominalization in Ndebele. Syntax 22:66-111.
- Pietraszko, Asia. 2021. The coming apart of Case and focus in Bantu. *Natural Language* & *Linguistic Theory*, 39.2: 579-599.
- Postal, Paul M., 2005. Suppose (if only for an hour) that negative polarity items are negation-containing phrases. Ms., New York University.
- Preminger, Omer. 2014. Agreement and its Failures. Cambridge, MA: MIT Press.

- Progovac, Ljiljana. 1992. Non-negative polarity licensing must involve COMP. *Linguistic Inquiry* 23.2: 341-347.
- Progovac, Ljiljana. 1993a. Negative polarity: entailment and binding. *Linguistics and Philosophy* 16: 149-180.
- Progovac, Ljiljana. 1993b. Locality and subjunctive-like complements in Serbo-Croatian. *Journal of Slavic Linguistics* 1.1: 116-144.
- Progovac, Liljana. 1988. A binding approach to polarity sensitivity. University of Southern California PhD dissertation.
- Quer, Josep. 2021. The subjunctive. *The Wiley-Blackwell Companion to Semantics*,

 Daniel Gutzmann, Lisa Matthewson, Cecile Meier, Hotze Rullmann, and Thomas

 Ede Zimmermann(eds). Hoboken, NJ: John Wiley & Sons, Inc, 1-27.
- Rackowski, Andrea & Norvin Richards. 2005. Phase edge and extraction: a Tagalog case study. *Linguistic Inquiry* 36.4: 565-599.
- Richards, Norvin. 1998. The principle of minimal compliance. Linguistic inquiry 29.4: 599-629.
- Rinaldi, Melissa Gisele. 2018. Bare singulars and so-called bare singulars. Queen Mary PhD dissertation.
- Rizzi, Luigi. 1997. The fine structure of the left periphery. In *Elements of grammar*, ed. by Liliane Haegeman. Dordrecht: Springer, pp. 281-337.
- Sabel, Joachim. and Jochen Zeller. 2006. Wh-question formation in Nguni. In: J. Mugane,

 J. Hutchison and D. Worman (eds), African Languages and Linguistics in Broad

 Perspective (Selected Proceedings of the 35th Annual Conference of African

- *Linguistics*, Harvard, Cambridge). Somerville, Massachusetts: Cascadilla Proceedings Press, 271-283.
- Schneider-Zioga, Patricia. 2009. Wh-agreement and bounded unbounded movement. In Jose Brucart, Anna Gavarro, & Jaume Sola (eds.), *Merging Features*, pp. 46-59. Oxford: Oxford University Press.
- Schneider-Zioga, Patricia. 2007. Anti-agreement, anti-locality and minimality: the syntax of dislocated subjects. *Natural Language and Linguistic Theory* 25.2, 403-446.
- Selkirk, Lisa. 1984. *Phonology and syntax: the relationship between sound and structure.*Cambridge, MA: MIT Press.
- Shimoyama, Junko. 2011. Japanese indeterminate negative polarity items and their scope. *Journal of Semantics* 28: 413–450.
- Sells, Peter. 2006. Interactions of negative polarity items in Korean. In *Harvard International Symposium on Korean Linguistics* 11, 724–737. Cambridge: Harvard University Department of Linguistics.
- Szabolcsi, Anna (ed.). 1997. *Ways of scope taking*. Dordrecht: Kluwer Academic Publishers. DOI: https://doi.org/10.1007/978-94-011-5814-5
- Taraldsen, K.T., Medová, L. and Langa, D., 2018. Class prefixes as specifiers in Southern Bantu. *Natural Language & Linguistic Theory*, 36(4), pp.1339-1394.
- Taraldsen, Knut Tarald. 2019. On augment-less NPs in Xhosa and de NPs in French. *Stellenbosch Papers in Linguistics Plus*, 2019,58: 33-63.
- Vallduví, Enric. 1994. Polarity items, n-words, and minimizers n Catalan and Spanish. *Probus* 6: 263-294.

- Van der Wal, J. 2015. Evidence for abstract Case in Bantu. *Lingua* 165:109-132.
- Vergara, Daniel. 2017. A minimalist analysis of negative concord in Northern Peninsular Spanish. UI-Chicago PhD dissertation.
- Watanabe, Akira. 1992. Subjacency and S-structure movement of *wh*-in-situ. *Journal of east Asian linguistics*, 1.3: 255-291
- Watanabe, Akira. 2004. The genesis of negative concord: Syntax and morphology of negative doubling. *Linguistic inquiry* 35.4:559-612.
- Zanuttini, Rafaella. 1997. Negation and clausal structure: a comparative study of Romance languages. New York/Oxford: Oxford University Press.
- Zeller, Jochen. 2008. The subject marker in Bantu as an antifocus marker. *Stellenbosch Papers in Linguistics* 38: 221-254.
- Zeller, Jochen. 2015a. Mobility as a feature: evidence from Zulu. *Stellenbosch Papers in Linguistics Plus* 48: 69-92.
- Zeller, Jochen. 2015b. Argument prominence and agreement: explaining an unexpected object asymmetry in Zulu. *Lingua* 156:17-39.
- Zeijlstra, Hedde. 2004. Sentential negation and negative concord. Ms., Netherlands Graduate School of Linguistics.
- Zeijlstra, Hedde. 2008. Negative concord is syntactic agreement. lingbuzz/000645.