P-Stranding in a language without P-Stranding? The case of Sluicing in Romanian

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"What is [...] clearly required in each fresh examination of sluicing in a language is a careful comparison of sluices with other patterns in the same language, in particular those relating to *wh*-movement, case, copulas, and cleft constructions" (Bhattacharya and Simpson 2012: 190)

1. Aim and claim of the paper

- in Romanian, the *wh*-remnant in Sluicing may (1a) or may not (1b) pied-pipe along the associated preposition:

(1) Părinții copilului discutau despre ceva, parents.DEF child.DEF.GEN argued about something a. dar ea a refuzat să spună despre ce ne but she has refused SUBJ CL.DAT.1PL tell about what.ACC b. dar ea a refuzat să ne spună **ce** refused SUBJ CL.DAT.1PL but she has tell what.ACC 'The child's parents were arguing about something but she refused to tell us what'

- the omission of the preposition is curios, as Romanian is a *Pied-Piping language*: the preposition associated with a *wh*-phrase must be displaced along with the *wh*-phrase in all the relevant environments (interrogatives, topicalization, relativization), as shown in (2):
- (2) a. **Despre cine**_i ai vorbit t_i? [Pied-Piping: OK] about whom (you)have talk 'Who did you talk about'
 b. ***Cine**_i ai vorbit **despre** t_i? [P-Stranding: ungrammatical] whom (you)have talked about
- this distribution violates Merchant's (2001) *Form-Identity Generalization II*, which holds regardless of whether or not there is apparently an island interior to the ellipsis site:
- (3) Form-identity generalization II: Preposition-Stranding (Merchant 2001: 92)
 A language L will allow preposition stranding under sluicing iff L allows preposition stranding under regular wh-movement.
- this form-identity generalization → robust across languages (see Merchant 2001: 92-107)

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- **claim**: it will be shown that Romanian possesses a resumption strategy, dubbed here "Avoid P-Stranding", which indirectly feeds this paradoxical P-omission instance of Sluicing:
- (4) **omul**_i **care**_i am vorbit **cu el**_i man.DEF who (I)have talked to him 'the man to whom I talked'
- outline of the paper:
 - section 2: main characteristics of P-omission sluicing
- section 3: our theoretical stand on the theory of ellipsis in general, and of sluicing in particular
 - section 4: some important results Merchant and Simpson 2012
 - section 5: analysis of the Avoid P-stranding strategy based on Rouveret (*mss.*)
 - section 6: analysis of P-omission sluicing in Romanian
 - section 7: conclusions
- a preliminary comment is in order: Hoyt and Teodorescu (2012) have shown that Sluicing in Romanian is an instance of TP deletion of the familiar type described by Merchant (2001) for English; the remnant *wh*-phrase typically moves from the ellipsis site to the CP specifier

2. P-omission in Romanian: main features. Why P-omission sluicing is not an instance of pseudo-sluicing

2.1. The range of remnants

- there are no restrictions on what can be a prepositionless remnant from the point of view of the dropped preposition; the omitted preposition can be lexical (5) or functional (6):
- (5) Ieri fost la cineva. vesterday (he/she)has been at someone dar nu îmi a. amintesc exact cine. exactly who(m) but not CL.DAT.1SG remember dar nu îmi exact la cine. b. amintesc but not CL.DAT.1SG remember exactly at who(m) 'Yesterday he visited someone, but I don't remember who'
- in (6), PE is a functional preposition, devoid of lexical meaning, which participates in the differential object marking phenomenon; it assigns accusative to its complement:
- (6) rănit <u>pe</u> Aicineva, (you)have wounded PE someone greu să dar mi-e a. spun cine but CL.DAT.1SG=is hard SUBJ say who.ACC b. dar mi-e greu să spun (pe) cine but CL.DAT.1SG=is hard SUBJ say who.ACC PE 'You wounded someone, but I find it hard to tell you who'

2.2. P-omission as pseudo-sluicing?

- given the general nominative/accusative syncretism of Romanian wh-phrases, one might be tempted to claim that P-omission sluicing (cf. (7)) in Romanian represents a case of pseudo-sluicing with the underlying structure of a inverted pseudo-cleft (cf. (8)), in which what gets deleted is the copula (or the copula + the comment of the pseudo-cleft) and what surfaces as the remnant is the cleft pivot; this may well be the case, as Romanian has pseudo-cleft structures (9c), (9d) (but no cleft structures (9b)):

- (7) Ieri a fost la cineva,
 yesterday (he/she)has been at someone
 dar nu îmi amintesc exact cine.
 but not CL.DAT.1SG remember exactly who(NOM/ACC)
 'Yesterday (s)he visited someone, but I don't exactly remember who'
- (8) Ieri la cineva. fost yesterday (he/she)has been at someone dar nu îmi amintesc exact cine este (cel la care a but not CL.DAT.1SG exactly who is that at who (he/she)has remember been 'Yesterday (s)he visited someone, but I don't exactly remember who is the one (s)he visited'
- (9) a. *Ion a câştigat premiul.*John has won prize.DEF
 'John won the prize'
 - b. *E Ion că / care a câştigat premiul. [Cleft: *] is John that / who has won prize.DEF
 - c. Cel care a câştigat premiul e Ion. that who has won prize is John 'The one who won the prize is John'
 - d. *Ion e cel care a câştigat premiul.* [Inverted pseudo-cleft: OK]

 John is that who has won prize.DEF

 'John is the one who won the prize'

[Pseudo-cleft: OK]

- there are a series of arguments which invalidate the hypothesis that P-omission sluicing is based on a inverted pseudo-cleft strategy:
- (A) the remnant displays case-connectivity effects: when the dropped preposition assigns dative or genitive, the remnant preserves its case:
- (10)Adatorită reușit în viată cuiva, thanks to (he)has succeeded in life someone(DAT) a. Şi тă abțin să întreb **cui** nu CL.ACC.1SG refrain SUBJ and not ask who.DAT b. si тă abtin să întreb datorită cui nu and not CL.ACC.1SG refrain SUBJ ask thanks to who.DAT

'He succeeded in life thanks to someone, and I can't help myself asking who'

- (11) A luat poziție contra cuiva, (he)has taken stand against someone(GEN)
 - a. dar n-aş putea să-ţi spun exact (a) cui. but not=COND can SUBJ=CL.DAT.2SG tell exactly who(GEN)
 - b. dar n-aş putea să-ți spun exact contra cui. but not=COND can SUBJ=CL.DAT.2SG tell exactly against who(GEN)

'He took a stand against someone, but I couldn't tell you who'

- the remnant thus observes Merchant's (2001) first form-identity generalization
- (12) Form-identity generalization I: Case-matching (Merchant 2001: 91) The sluiced wh-phrase must bear the case that it correlate bears.
- inverted pseudo-clefts are not possible with non-prepositional remnants in oblique cases (compare (10a) and (11a) above with their ungrammatical alleged pseudo-cleft structures given below); the pseudo-cleft pivot is strictly marked with the nominative:
- (13) *şi nu mă abţin să întreb **cui** este (cel datorită căruia...) and not CL.ACC.1SG refrain SUBJ ask who.DAT is that thanks to whom
- (14)*dar n-aş putea să-ți spun but not=COND SUBJ=CL.DAT.2SG tell can exact (a) cui (cel contra căruia ...) este exactly who(GEN) is that against who(DAT)
- (B) the arguments provided in Merchant (2001: 117-128) to refute the idea that the ellipsis site in sluicing might be in fact a cleft, and not a structure parallel to the antecedent carry over to refuting the idea that Romanian (regular and P-omission) sluicing might be in fact an instance of pseudo-sluicing based on an inverted pseudo-cleft (the English examples below are due to Merchant 2001)
- (B₁) wh-adjuncts and implicit arguments are degraded as pivots of clefts in English, and as pivots of pseudo-clefts in Romanian; sluicing is however grammatical:
- (15) a. He fixed the car, but I don't know how (*it was).
 - b. El a reparat maşina, dar nu ştiu cum (*era ...). he has fixed car.DEF, but not (I)know how *(it)was
- (16) a. They served the guests, but I don't know what (*it was).
 - b. Au dat pâine, dar nu ştiu cui (*era ...). (they)have given bread but not (I)know who.DAT (it)was
- (B_2) prosody: the intonational contour associated with sluicing (i.e. the greatest pitch falls on the *wh*-phrase) is different from that associated with clefts in English and pseudo-clefts in Romanian (i.e. the pitch accent must fall on the copula)
- (17) Someone gave me a valentine, but
 - a. I don't know WHO.
 - b. I don't know who it WAS.
 - c. *I don't know WHO it was.

(18)Cineva mi-a dat depeşă, însă someone CL.DAT.1SG=has given a letter, but CINE. ştiu a. nu (I)know who not ERA. b. nu ştiu cine (I)know who (it)was not ?? nu stiu CINE era. c. not (I)know who (it)was

(B₃) other tests: 'mention-some' modification, 'mention-all modification', etc. (cf. Merchant 2001) → apply in various degrees to distinguish instances of sluicing from clefts in English and (inverted) pseudo-clefts in Romanian

- summary:

- up to this point, it has been shown that P-omission sluicing does not represent and instance of deletion whose ellipsis site is an inverted pseudo-cleft structure → P-omission sluicing is not an instance of pseudo-sluicing
- the fact that in Romanian there is a strict case-matching requirement, and that the case-marking of a *wh*-phrase must correspond to the case of the inner antecedent clearly indicates that Romanian sluices are not derived from underlying inverted pseudo-clefts, and are instead instances of deletion of a clausal structure that appears to be isomorphic to the structure of the antecedent clause containing the correlate *wh*-phrase

2.3. Restrictions on P-omission in sluicing

(A) the presence of an **inner antecedent** (i.e. an indefinite phrases which representing the remnant *wh*-phase's correlate) in the anteceding discourse is obligatory (cf. the a-examples below):

(19)	a.	*Ieri a fo.	st, dar nu	îmi	amintesc	exact cine .
		yesterday (he/she)has be	en but not	CL.DAT.1SG	remember	exactly who
	b.	Ieri a fo.	st, dar nu	îmi	amintesc	exact la cine.
		yesterday (he/she)has be	en but not	CL.DAT.1SG	remember	exactly at who
(20)	a.	* A luat poziție, de	ar n-aş	putea să-ți		spun (a) cui .
		(he)has taken stand but	not=COND	can SUBJ=C	CL.DAT.2SG	tell who(GEN)
	b.	A luat poziție, de	ar n-aş	putea să-ți	spun c	ontra cui.
		(he)has taken stand but not=COND		can SUBJ=CL.DAT.2sGtell against who(GEN)		
(21)	a.	*A reuşit în	viaţă	și mă	întreb	cui
		(he)has succeeded in	life	and CL.ACC	c.1sg ask	who.DAT
	b.	A reuşit în	viață și	mă	întreb datori	tă cui
		(he)has succeeded in	life and	CL.ACC.1SG	ask thanks	to who.DAT

- suppressing the inner antecedent determines the obligatory realization of the preposition with the remnant *wh*-phrase (cf. the b-examples above)

(B) P-omission is disallowed with wh-adverbial remnants; the relevant examples concern the indefinite adverbial of place undeva ('somowhere'), which seems to be the only indefinite adverbial which can be freely embedded in a PP^2 :

- plecat de undeva. (22)A(he)has left from somewhere dar unde a nu stiu exact de exactly from where but (I)know not exact unde. (with the intended meaning "from where") *dar b. stiu nu but (I)know exactly where not 'He departed from somewhere, but I don't exactly know where from'
- both these distributional restrictions are important in the assessment of the characteristics of the P-omission structure:
- (A) if the P-drop structure were base on a pseudo-cleft (which, as seen above, is not the case), infelicity of structures without the an inner antecedent would be mysterious, as overt pseudo-cleft structures that can be reconstructed (23b) (i.e. with a nominative/accusative *wh*-pivot) would be employed exactly when the inner antecedent is missing; compare the structures below:
- (23)a. *Ieri fost, dar nu îmi amintesc exact cine. yesterday (he/she)has been but not exactly who CL.DAT.1SG remember fost, dar nu îmi amintesc b. yesterday (he/she)has been but not CL.DAT.1SG remember exact cine este persoana la care a fost. exactly who at who (he)has been is person.DEF
- (B) the importance of the impossibility of dropping the preposition of a *wh*-adverb of place will be apparent in the section devoted to the analysis of P-drop in sluicing; it will be shown there that the Avoid P-Stranding strategy which feeds P-drop Sluicing in not available with prepositional *wh*-adverbs (see section **6.3.4** below)

3. Two sources for ellipsis in Universal Grammar

- *a long-noted intuition*: elliptical phenomena (properly delimited from the related phenomena of "pronominalization" and pro-form formation) roughly fall into *two distinct classes* (see, for example, the distinction between *deep* and *surface* anaphora in Hankamer and Sag 1976 or that between H+ / H~ ellipses in Chao 1988 among other proposals, e.g. Winkler 2006)
- claim: two distinct operations are involved in what appears to be a form of non-pronunciation:
 - (A) multidominance
 - (B) PF-deletion

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² Other attested combinations of prepositional indefinite *wh*-adverbs are attested, but they are idiomatic: for instance *de cândva* (lit. 'since some time') has the meaning "once, in the old times". Thus, these structures can no longer be used as a diagnostic for sluicing structures.

³ Structure (b) is grammatical, but not with the intended meaning, i.e. to express the source ("from where"). Without the preposition, the sluice in (b) expresses the target of motion, not the source.

(A) Multidominant ellipses

- ellipses such as Gapping and Stripping are feed by *Parallel Merge* (Citko 2005), the source of multidominant structures
- Parallel Merge combines the properties of Internal and External Merge: essentially, by Parallel Merge, a constituent (β) merges with a subpart (γ) of another constituent (α), which is the result of a previous Merge operation [i.e. Merge (α , γ) \rightarrow { α , { α , γ }}]
- the shared syntactic and interpretative properties of these types of ellipsis follow from the conditions on the application and linearization of multidominant structures (cf. Citko 2011)

(B) PF-deletion ellipses (licensed ellipses)

- ellipses such as VPE, Nominal Ellipsis, Modal Complement Ellipsis, and Sluicing are licensed by *a specific class of licensers* (which are subject to cross- and inter-linguistic variation)
- the ellipsis site is the outcome of a *phasal* deletion process which applies at the PF-interface (Merchant 2001) as an effect of a non-pronunciation instruction given in the syntax (Rouveret 2012)
- PF-deletion ellipses → systematically tied to the *properties of specific licensers*
- verbal ellipsis is licensed by auxiliary/functional verbs in English, certain auxiliaries like *gwneud* 'do' in Welsh (Rouveret 2012), main verbs in European Portuguese (V-stranding VPE cf. Goldberg 2005), the modal verbs *putea* ('can'), *vrea* ('want') in Romanian
- what all these licensers have in common is the feature [+D(iscourse)-linking], which "is an instruction [...] that says 'connect with a discourse topic'" (López 2000: 188)

- in a nutshell:

- (a) the important factor triggering ellipsis with PF-deletion ellipses is the presence of an element that gives the non-pronunciation instruction to the Phonological Component of the grammar
- (b) in its turn, the nature of the ellipsis site depends on the availability of certain patterns in targeted languages
- let us analyze these claims from the perspective of sluicing:
- (a) in all instances, sluicing is licensed by a wh-phrase or by an element with operator properties in the C(omp)-domain⁴

⁴ I remain agnostic as to whether interrogative ellipsis with non-wh-remnants (i) represents an instance of sluicing (as claimed in Hoyt and Teodorescu 2012), for two main reasons. First, with this type of ellipsis, well-attested in Romanian, the presence of an overt complementizer which precedes the remnant is obligatory, contrary to bona fide sluicing, which disallows the presence of an overt complementizer (iib). Second, sluicing is generally characterized as **embedded interrogative CP**, but this characterization is not entirely accurate: the embedded interrogative CP is a partial interrogative clause not a full interrogative (yes/no-) clause. By contrast, the examples with the use of which Hoyt and Teodorescu (2012) illustrate sluicing with lexical remnants are can be categorized as embedded full interrogative (yes/no) clause. The formal indication for this is that the surviving complementizer dacă ('if, whether') marks the embedding of yes-no clauses (GALR 2005, II: 34) (iia) (vs. (iib):

(i) A venit cineva; nu ştiu dacă <u>Ion</u>. (he/she)has come someone; not (I)know if John 'Someone came: I don't know if Ion came.'

(ii) a. A venit Ion? \rightarrow Mă întreabă dacă Ion a venit. (he/she)has come Ion 'Did Ion come?' \rightarrow Mă întreabă dacă Ion a venit. CL.ACC.1SG (he/she)asks if Ion has come. '(S)he is asking me if Ion came.'

- (b) several recent studies have argued that what distinguishes sluicing (and sluicing-like constructions) is the presence of the licensing *wh*-phrase; the ellipsis site may have a different constituency, depending on the constituency properties of each language in turn:
- analyzing Modal Existential Constructions (MEC), Šimík (2012) shows that MECs feed sluicing, although the deletion site need not include a TP; what is crucial is the presence of the licensing *wh*-phrase
- Hoyt and Teodorescu (2012) (following a long tradition Shimoyama 1995, Merchant 1998, Hiraiwa and Ishihara 2002) analyze Japanese sluicing as CP-ellipsis of a cleft construction
- Paul and Postdam (2012) analyze Malagasy sluicing as an instance of TP-ellipsis; however, based on the general properties of Malagasy, they show that the ellipsis site is not necessarily a structure derived via regular *wh*-movement, but rather via predicate fronting
- what further verifies the idea put forth above is that the ellipsis site need not be entirely parallel to / isomorphic with the antecedent:
 - sluicing with missing inner antecedents:
- (24) *A câştigat, dar nu ştiu exact ce.* (he)has won but not (I)know exactly what 'He won, but I don't know exactly what.'
- valency (voice) mismatch between the antecedent and the ellipsis site (see Merchant 2010a, for the claim that voice mismatches are disallowed with sluicing); the antecedent contains a passive form with an implicit argument, while the elided verb is in the active voice and both its arguments (the subject and the direct object) surface as remnants
- (25) S-a câştigat, dar nu ştiu cine ce a câştigat.

 PASS-has won but not (I)know who what has won 'It has been won, but I don't know exactly who won what.'
- with direct object *wh*-remnants, the ellipsis site contains a clitic, as clitic doubling is obligatory with direct object *wh*-phrases; by contrast, if the inner antecedent is an [-specific] [-definite] DP, it needn't be clitic doubled:
- (26) A văzut un băiat, dar nu ştiu **pe care**i l_i-a văzut. (he)has seen a boy, but not (I)know PE which CL.ACC.3SG=has seen 'He saw a boy, but I don't know which one'
- \rightarrow conclusion: the ellipsis site need not be entirely parallel to the antecedent; the crucial element in the licensing of ellipsis is the presence of the licensing *wh*-phrase

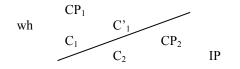
- summary:

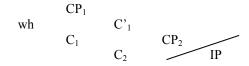
- the defining characteristic of Sluicing is the presence of a licensing wh-phrase
- the exact nature of the ellipsis site depends on the properties of each language in turn; furthermore, the ellipsis site need not be fully isomorphic with its antecedent
 - b. Cine a venit? \rightarrow *Mă întreabă dacă cine a venit. who (he/she)hascome CL.ACC.1SG (he/she)asks if who has come. 'Who came?'

4. New results in the analysis of sluicing: Merchant and Simpson 2012

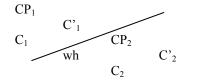
- Merchant and Simpson (eds.) (2012): *Sluicing. Cross-linguistic perspectives* (OUP) → besides the wealth of empirical data carefully accounted for, it contains two very general results with important consequences for the analysis of ellipsis in general, and of sluicing, in particular:
- (A) The strategy employed by a certain language to form sluicing constructions directly depends on the syntactic mechanisms available in the respective language; as Merchant and Simpson (2012: 10) put is: "different underlying mechanisms may actually result in similar surface structures"
 - this point has been discussed at the end of the previous section
- a further argument to support this claim is that languages which derive sluices by the same mechanism (i.e. TP-deletion in English and Romanian) may differ as to the range of admissible remnants
- Romanian: a language with multiple wh-movement (27), with all wh-elements being able to move to the C-domain, observing Superiority (subject wh-phrase > object wh-phrase) \rightarrow the availability of multiple wh-remnants (28a), also observing Superiority (cf. (28b))
- (27) *Cine ce precedă?* who what precedes 'Who precedes what?'
- (28) a. Cineva precedă ceva, dar nu ştiu cine ce. someone precedes something but not (I)know who what 'Someone precedes something, but I don't know who precedes what'
 - b. *Cineva precedă ceva, dar nu ştiu ce cine. someone precedes something but not (I)know what who
- by contrast, English multiple remnants are most often the not unacceptable (Hoyt and Teodorescu 2012: 86):
- (29) *John gave someone something, and I want to know [who what].
- this is due to the fact that English disallows multiple *wh*-movement:
- (30) a. *Who* gave what?
 - b. *Who what / what who gave?
- result:
 - deciding the underlying structure of an ellipsis site is an empirical problem
- furthermore, as shown above in the discussion surrounding examples (24)-(26), it is not enough to decide what sluicing strategy a language adopts, but it is also necessary to inspect the characteristics of the remnants in order to establish the exact constituency of the ellipsis site (cf. (25) above, which illustrates an instance of voice mismatch in sluicing, hence an instance in which the antecedent and the ellipsis site are not isomorphic)

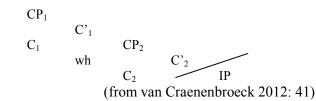
- (B) The implementation of the Split-CP hypothesis (Rizzi 1997) in the analysis of sluicing (van Craenenbroeck 2012)
- the CP-split: $C_1 > C_2$
- two important claims in van Craenenbroeck 2012:
- (I) depending on where the sluiced wh-phrase resides, ellipsis can target different portions of structure:
 - the low CP projection (CP_2) if the remnant resides in the higher C-layer (CP_1) (31a)
 - the TP-domain if the remnant resides in the lower C-layer (CP₂) (31d) or has transited Spec,CP₂ on its way to Spec,CP₁ (31b)
 - a fourth logical possibility, with the *wh*-phrase in Spec,CP₂ and the deletion of CP₂ is not a well-formed configuration (31c)
- (31) a. wh in SpecCP₁, deletion of CP₂ b. wh in SpecCP₁, deletion of IP





- c. wh in SpecCP₂, deletion of CP_2 d.
- wh in SpecCP₂, deletion of IP





- structures (31a), (31b), (31d) \rightarrow all instantiated in different languages
- the function of CP_1 is clause typing (Cheng 1991); CP_2 is the locus where variable/operator dependencies are created
 - notice again that deletion is parasitic on the presence of a licensing wh-phrase

IP

- (II) there is an important difference between <u>simple wh-phrases</u> and <u>complex wh-phrases</u>
- the criterion to distinguish between simple (which) and complex wh-phrases (which boy): the presence of an overt nominal restriction
 - proposal:
 - simple wh-phases undergo movement from their IP-internal position to Spec, CP_1 through Spec, CP_2 ; the operator feature of C_2 and the clause-typing feature of C_1 are valued along the way
 - complex *wh*-phrases are base generated in Spec,CP₁; their clause-typing feature is valued in situ; there is empty operator movement from the IP-internal base position to which the complex *wh*-phase is related to Spec,CP₂ in order to value the operator feature of C₂
- this hypothesis is defended through a wealth of empirical facts (van Craenenbroeck 2012: 41): the presence of two heads; the different status of simple and complex *wh*-phases with

respect to Superiority effects (se the difference between ungrammatical *What did who buy? and grammatical/acceptable What did which boy buy?); the spell out of intermediate copies; various tests determine the presence of an empty operator

- result: even though it is **directly merged** in the topmost projection of the C-layer, a wh-phrase (i.a. a complex one in this case) can license ellipsis of its complement \rightarrow Sluicing is not parasitic on movement, but on the presence of a licensing wh-phrase

5. Avoid P-Stranding in Romanian

5.1. On resumption

- recall the objective pursued in this paper: to determine mechanism that determines the omission of a preposition in a sluicing construction, which is surprising given that Romanian is a language that disallows P-stranding
- the main claim is that what underlies this phenomenon is a resumptive strategy applying in the ellipsis site
- this section: discuss the Avoid P-Stranding resumptive strategy available in Romanian
- intensive work on resumptive in GB and in the minimalist framework (Zaenen, Engdahl, Maling 1981, McCloskey 2005, Rouveret *mss.* among many others) has led to two very general results about the nature of this phenomenon:
 - resumption is not a theoretically uniform result
- resumption is a last resort mechanism, which is available only if movement is not available

Rouveret (mss.) \rightarrow the operation involved in establishing non-movement dependencies is Agree

- further assumptions:
- Successive cyclicity effects → not an exclusive property of the structures derived by movement; Agree can also give rise to successive cyclicity effects
 - Agree applies by phase, in a cyclic fashion (observing the PIC⁵)

5.2. Three ways to establish a resumptive strategy

- Rouveret (*mss.*) further shows that in a theory incorporating phasal Agree, there are three ways to establish an A'-dependency between the head of a relative clause and the relativization site:

Ways of establishing an A'-dependency

- (32) (i) via Movement, which is the combined effect of Agree + Move
 - (ii) via Base-generation + Agree
 - (iii) via Base-generation alone
- all three strategies are instantiated in Welsh

⁵ The Phase Impenetrability Condition (Chomsky 2000): "In phase α with head H, the domain of H is not accessible to operations outside α , only H and its edge are accessible to such operations."

- the choice of a strategy over the other is determined by **locality constraints**
- strategy (i) (movement / head-raising) applies when the term to be relativized is the subject or the object of a single clause structure \rightarrow subjects and objects can reach the edge of a phase, and therefore can move further up \rightarrow result: the "direct gap relatives"
- strategy (ii) (base-generation + Agree) applies when the term to be relativized is the object of a preposition internal to $vP \rightarrow$ the object to be relativized is no accessible to relative C; however, cyclic Agree is not blocked \rightarrow result: the resumptive construction
- strategy (iii) (base-generation plus, presumably, simple anaphoric binding) applies when the relativization site is within an island \rightarrow the gap is filled by an intrusive resumptive pronoun (RP)

A note on sluicing and resumption

- strategy (iii) → dubbed for English "intrusive resumption" (Chao and Sells 1983, Sells 1984)
- (33) Who_i did the Brazilian team improve after he_i started playing for them?

(from Merchant 2001: 128)

- analyzing the possibility that sluicing may be based on a resumptive strategy in English, Merchant (2001) concludes that this is not a viable solution
- notice, however, that Merchant's (2001) discussion is only centred around this particular resumptive strategy, and his conclusions need not be taken to hold for strategy (ii) (i.e. basegeneration + Agree) as well

5.3. Resumption in Romanian: Avoid P-stranding⁶

- only strategies (i) and (ii) are attested in Romanian
 - strategy (i) feeds the direct gap relatives
- (34) a. *omul* care a venit man.DEF who has come 'the man who came'
 - b. omul pe care l-ai văzut ___ man.DEF PE who CL.ACC.3SG=have seen 'the man who you saw'
 - strategy (ii) gives rise to a **resumptive strategy** in headed relative clauses
- as discussed above, this strategy applies when locality considerations block ascension of material from the relativization site to the left periphery of the relative clause

⁶ This strategy has been dubbed "(Rom.) lexicalizarea urmei" (*trace lexicalization / sounding the trace*) in the relevant literature (Gheorghe 2004). As will be shown in this paper, this strategy is not based on movement, but on a base-generation / external merge (cf. Rouveret *mss.*); hence, a label which resorts to the term "trace" is inappropriate.

De-relativization

- de: an invariable relative pronoun, which cannot be embedded in a PP⁷
- relativization of subjects (35a) and objects (35b) does not trigger the insertion of an RP
- (35)a. $omul_i$ de a venit $(*el_i)$ man.DEF who has he come 'the man who came'
 - b. omul de l-ai văzut man.DEF who CL.ACC.3SG=have seen 'the man who you saw'
- by contrast, whenever the relativized constituent is embedded under a PP, the only strategy available is the insertion of an RP:
- (36)a. femeia; vorbit despre eai who (he)has spoken about her woman.DEF 'the woman he spoke about'
 - b. aia mică_i de-am trăit **în ea**; casa house.DEF that small which=(I)have lived in it 'that small house I lived in'
 - ciocanul_i de hătut el_i c. cu hammer.DEF which (you)have with it hammered 'the hammer you have hammered with'
- a disambiguation strategy: in the appropriate agreement configurations, when the resumptivepronoun PP is suppressed, what is understood as the relativized element is no longer a(n) (implicit) prepositional object, but the subject (compare (36a) with (37)):
- (37)femeia de vorhit (3rd)has womanwho spoken 'the woman who spoke'
- result: with de-relativization, resumption occurs as a last resort strategy to relativize a prepositional constituent

Other types of relativization: care-relativization

the more familiar *care*-relativization

- with relativized subjects: the same type of behaviour like in the case of de-relativization \rightarrow strategy (i) applies, yielding a "direct gap relative"
- *vorbit* (*ea_i) (38)femeia_i care a spoken (she) woman.DEF who has 'the woman who spoke'

⁷ It is not clear whether *de* is a relative pronoun or a relative complementizer; I will continue to consider it an invariable pronoun in line with the most recent traditional works on Romanian (Gheorghe 2013a).

- nota bene: since *care* is inherently definite, it participates in the differential object marking phenomenon when it is a direct object; the direct object is introduced by the functional preposition PE, and behaves like a bona fide prepositional object (see below)
- the situation is, however, different with prepositional objects; there are two distinct options, both well represented
 - (A) the wh-containing PP is fully relativized \rightarrow strategy (i): "direct gap relative"
- (39) femeia pe care am văzut-o ___ woman.DEF PE who (I)have seen-CL.ACC.3SG 'the woman (who) I saw'
- (40) a. omul cu care am vorbit __ man.DEF with whom (I)have spoken 'the man I spoke to'
 - b. *mândra mea cu care m-am iubit* (folkloric text) sweetheart.DEF my with whom CL.ACC.1SG=(I)have 'the sweetheart I made love with'
 - c. băiatul lângă care am stat __ la petrecere boy.DEF next-to who (I)have stayed at party 'the boy I stayed next to at the party'
- (B) only the *wh*-element gets relativized \rightarrow apply strategy (ii): the resumptive construction \rightarrow **Avoid-P Stranding**
- (41) $femeia_i$ care am văzut-o $pe ea_i$ woman.DEF who (I)have seen-CL.ACC.3SG PE her(RP) 'the woman (who) I saw'
- (42) a. *omul* care am vorbit cu el man.DEF who (I)have spoken with him(RP) 'the man I spoke to'
 - b. mândra mea care m-am iubit cu ea sweetheart.DEF my whom CL.ACC.1SG=(I)have loved with her(RP) 'the sweetheart I made love with'
 - c. băiatul care am stat lângă el la petrecere boy.DEF who (I)have stayed next-to him(RP) at party 'the boy I stayed next to at the party'
- this second situation: clearly a last resort mechanism (**Avoid P-Stranding**) \rightarrow as soon as a non-prepositional *wh*-pronoun is merged, the structure is rescued by inserting an RP-containing PP; notice that suppressing this PP leads to ungrammaticality in the case of PPs other than direct objects (compare (42) with (43)):
- (43) a. *omul care am vorbit man.DEF who (I)have spoken

- b. *mândra mea care m-am iubit sweetheart.DEF my whom CL.ACC.1SG=(I)have loved
- c. *băiatul care am stat la petrecere boy.DEF who (I)have stayed at party
- the direct object structure (41) need not be resumptively doubled in a PP; it is rescued by the presence in the RC of the direct object clitic (44), which signals the relativization of a prepositional direct object (note that clitic doubling is disallowed with non-prepositional direct objects)
- (44) femeia care am văzut-o woman.DEF who (I)have seen-CL.ACC.3SG 'the woman (who) I saw'
- from a technical perspective, the idea that Avoid P-Stranding is a last resort strategy can be stated as follows: the need to spell-out the RP is due to the fact that the syntactic objects which bear the corresponding phi-features are trapped within vP and have no access to its edge
- as strategy (i) ("direct gap relative") is a familiar relativization strategy, whose derivational underpinnings are well-known, it is necessary to briefly deal with the derivation of the resumptive structures (strategy (ii))

5.4. Deriving Avoid P-Stranding

- as announced, we will closely follow Rouveret's (mss.) analysis of this structure, which is based on Base-generation + Agree
- Rouveret's proposal will be recast in terms of the Split-CP hypothesis
- the derivation considered is that of an example like (42a) (repeated below), but holds for the entire array of Avoid P-Stranding cases
- (42) a. omul care am vorbit cu el man.DEF who (I)have spoken with him(RP) 'the man I spoke to'
- several problems, each examined in turn:

5.4.1. What a RP is

- a decompositional view on pronouns stemming from Postal (1970) (see McCloskey 2005, Rouveret *mss.* for the implementation of this idea in deriving resumptive structures, and Freidin and Vergnaud 2001 for an explicit proposal)
 - an RP \rightarrow a definite pronoun with a silent nominal restriction:
- (45) [DP [+def] phi NP] (apud Rouveret mss.)

5.4.2. How the resumptive strategy is established in narrow syntax

- by Agree, a relation is established between the active (uninterpretable) feature of the relative C and of the RP

- Agree is not subsequently followed by movement
- (A) the first step is establishing an operator-variable relation between the C-domain and the resumption site
- an empty operator is merged in the C-domain
- here, we differ from Rouveret (mss.) and follow van Craenenbroek (2012: 43)⁸, by merging the empty operator in the lower C_2 , the locus of establishing operator-variable dependencies
- the assumed structure of the relative C_2 :
- (46) C_2 : [uOp], [uphi], [iRel] / [imood]
- Rouveret (mss.) initially proposes that C is endowed with an [iRel] feature, and subsequently, following Nissembaum (2000), proposes to replace this feature with a more motivated one, i.e. [imood]^{9;10}
- the role of this feature is to ensure successive cyclicity → an "activation feature" (similar to Chomsky's P feature), which has to be active until the derivation reaches C-layer
- because of the PIC, the RP has no direct access to the CP periphery; thus, the relation between relative C_2 and the resumptive pronoun is mediated by the phasal head ν , itself endowed with the relevant features for functioning as a probe
- assumption: an unvalued Op feature is also present on the resumptive pronoun; it has the role of establishing the operator-variable dependency with the left periphery C_2

(47)	(DP)	C_2	T	v [P	RP]
		<i>i</i> mood	umood	umood	umood
		<i>u</i> phi			<i>i</i> phi
		uOp			<i>i</i> Op

- notice that the [Op] feature need not be present on all intervening heads, as, on the one hand, the Activity condition is satisfied by the presence of the [mood] feature, and, on the other hand, there is no need to endow the T and v heads with any operator property, and hence, defective intervention effects are not induced

- a similar reasoning holds for not assuming that [uphi] features are present on v

-

⁸ Roveret assumes that the empty Op is merged in Spec,CP, postulating a EPP feature of the relative C-head. Assuming, as in van Craenenbroeck (2012: 43), that operator-variable dependencies are established by the mediation of the lower C in split-CP constructions, we eliminate the need for an EPP feature on C.

⁹ Rouveret operates this replacement on the basis of the empirical fact that the various complementizers and particles of languages like Irish systematically vary along the dimension [mood]. This intuition, that C is sensitive to the mood of the clause it heads, is correct, as noticed by (Martins 1994: 201), although it does not always morphologically manifest. Romanian Cs are clearly sensitive to the feature mood, as different complementizers are used to introduce indicative and subjunctive clauses (see Gheorghe 2013b). ¹⁰ As Rouveret puts it "[mood] is also a property of the pronominal (and nominal) phrases which must be linked to the periphery; just as their being marked for [tense] allows nominal expressions to function as arguments in the inflectional domain (Pesetsky and Torrego, 2001), their being marked for [mood] allows them to be linked to the periphery and to participate in A'-relations." The presence of [mood] on resumptive pronouns is thus accounted for.

- a series of Agree relations are established in turn:
 - [mood] Agree between first RP and v; then between v and T, and finally between C_2 and T
- as can be observed, the PIC would prevent establishing an Agree relation between the [phi] and [Op] features in C and those of the RP \rightarrow 2 solutions (Rouveret *mss.*):
- either assume that assume that the [phi] and [Op] features are present on every head (not necessary, as insisted above)
- or assume that, as long as a phasal Agree relation has been established (the [mood] Agree relations), it is not necessary for the [phi] and [Op] Agree relation to be phasal; this is done by extending Richards's (1997) Principle of Minimal Compliance to Agree chains (Rouveret *mss.*):

(48) **Principle of Minimal Compliance** (Richards 1997, apud Rouveret *mss.*)

Once an instance of movement to β has obeyed a constraint on the distance between the source and the agent, other instances of movement to β need not obey this constraint.

- this second solution seems to be more economical and does not violate any fundamental principle of grammar
- all the elements have their features valued:

- more precisely, the [phi] and [Op] features of C₂ are now interpretable

(B) the second step is merging the wh-phrase in the specifier of the higher C-projection

- assume now that the wh-element is merged in Spec,CP₁; it's lexical specification is [uphi], [uOp]; these features are valued via Agree with the features of C₂
- the clause-typing feature (DP) of the wh-phase is also valued via Agree with the clause-typing feature of C
- **(C) finally, the RC adjoins the definite head** (recall that Avoid P-Stranding is available for headed relative clauses)
- there is agreement (or feature matching) between the head of the relative clause and the features of the *wh*-phrase in Spec,CP₁, ensuring a converging derivation, since the RC attaches as a modifier to the head

6. Analyzing P-omission sluicing in Romanian

6.1. Introduction

- recall our objective: to propose a viable explanation for the possibility to omit the preposition with sluicing remnants in Romanian; this behaviour is puzzling since Romanian is a language that does not allow preposition stranding
- the relevant explanation should cover examples like (1), (10), (11) (repeated below), with remnants in the accusative, dative and genitive case
- (1) *Părinții copilului discutau <u>despre ceva</u>*, parents.DEF child.DEF.GEN argued about something
 - a. dar ea a refuzat să ne spună despre ce but she has refused SUBJ CL.DAT.1PL tell about what.ACC
 - b. dar ea a refuzat să ne spună ce but she has refused SUBJ CL.DAT.1PL tell what.ACC

'The child's parents were arguing about something but she refused to tell us what'

- (10) A reuşit în viață <u>datorită cuiva</u>,
 - (he) succeeded in life thanks to someone
 - a. *şi nu mă abţin să întreb cui* and not CL.ACC.1SG refrain SUBJ ask who.DAT
 - b. *şi nu mă abţin să întreb datorită cui* and not CL.ACC.1SG refrain SUBJ ask thanks to who.DAT

'He succeeded in life thanks to someone, and I can't help myself asking thanks to whom'

(11) A luat poziție contra cuiva,

(he)has taken stand against someone(GEN)

- a. dar n-aş putea să-ţi spun exact (a) cui. but not=COND can SUBJ=CL.DAT.2SG tell exactly who(GEN)
- b. dar n-aş putea să-ți spun exact contra cui. but not=COND can SUBJ=CL.DAT.2SG tell exactly against who(GEN)

- also, recall that in section 2 we have shown that analyzing instances of P-drop as being based on a inverted psedo-cleft ellipsis site is not a viable option → a narrow syntactic explanation is needed
- before dealing presenting our own proposal, it is necessary to briefly discuss Stjepanović's (2008, 2012) analysis of similar facts in Serbo-Croatian and to show that it does not properly account for the Romanian data
- Stjepanović (2008, 2012) claims that the omission of the preposition in Serbo-Croatian, a non-P-stranding language as well, is a post-syntactic / PF process
- furthermore, she claims that sluicing feeds P-drop, and that this phenomenon can be placed within the phenomena of elliptical repair discussed by Merchant (2010b) \Rightarrow in Class II: "An operation O (generally movement) that is *impossible* in non-elliptical contexts is found under ellipsis: ellipsis feeds O."

^{&#}x27;He took a stand against someone, but I couldn't tell you who'

- it is unclear why and how a certain process applies in the post-syntactic component especially, since as acknowledged by the author (Stjepanović 2012: 75), even in the language in question, there are types of ellipsis in which Ps cannot be omitted: VPE, comparative ellipsis, gapping, sluicing under sprouting, multiple sluicing, contrast sluicing
- result: one has to find a more principled reason (preferably based on narrow syntactic considerations) to explain the omission of preposition with sluicing remnants
- finally, recall from the discussion in section 4 that deletion in sluicing is not necessarily dependent on movement; as shown by van Craenenbroeck (2012), complex *wh*-phrases directly merged in the C-domain may trigger deletion

6.2. Avoid P-Stranding with Sluicing

- claim: the prepositionless remnant establishes a relation with a resumptive pronoun selected by a preposition in the ellipsis site
- in the derivation of P-drop sluicing it is necessary to take into account the fact that the sluice, as well as its overt counterparts are embedded interrogative clauses; thus from the point of view of their relativization type, they are **headless relative clauses**, differing thus from the above analyzed pattern through the absence of the external head
- \rightarrow the implication for this phenomenon is that the last step of the process is different from step III in the derivation of resumptive RCs \rightarrow implications for case-connectivity and for the (extended) range of remnants in sluicing in opposition to Avoid P-stranding relatives
- consider the derivation of example (1b)
- (1) Părinții copilului discutau despre ceva, parents.DEF child.DEF.GEN argued about something dar ea a refuzat să spună **ce** b. ne but she has refused SUBJ CL.DAT.1PL tell. what.ACC
- we are interested in the structure of the prepositionless sluice
- **(A) the first step is establishing** an operator-variable relation between the C-domain and the resumption site; this is done as in the case of Avoid P-Stranding above:
- we assume the existence of a PP-embedded RP in the ellipsis site
- a [umood] feature is available on all the relevant heads, which ensures the Activity Condition
- the relevant C-head (C₂) is specified as [imood], [uphi], [uOp] while the RP contains the same features with opposite values [umood], [iphi], [iOp]

- Agree applies, ensuring that C₂ and the RP have their features valued

(51)	C_2	T	V	[P	RP]
	<i>i</i> mood [1]	<i>u</i> mood [1]	<i>u</i> mood [1]		<i>u</i> mood [1]
	<i>u</i> phi [2]				<i>i</i> phi [2]
	<i>u</i> Op [3]				<i>i</i> Op [3]

(B) the second step is merging the wh-phrase in the specifier of the higher C-projection

- assume now that the wh-element is merged in Spec, CP_1 ; it's lexical specification is [uphi], [uOp]; these features are valued via Agree with the features of C_2
- the clause-typing feature of the *wh*-phase is also valued via Agree with the clause-typing feature of C; the clause is marked as an embedded interrogative
- by virtue of its inherent [D-linking] feature, the *wh*-phrase "looks for a suitable antecedent" and is able to license deletion of its complement
- from a relativization perspective, no other operation needs to apply since the sluiced clause is an embedded **headless** relative and, as is well documented (cf. Merchant 2001: 41-54), the sluiced wh-phrase does not enter a relation with the verb that embeds the sluice \rightarrow contrasting thus with the headed relative clause, which is an adjunct of an external head
- **(C) the final step** consists in attaching the sluiced clause to a verb that takes as its complement an embedded interrogative CP

6.3. Consequences

- four (apparently problematic) empirical issues have to be discussed before we conclude

6.3.1 Case-connectivity effects

- in resumptive relative clauses, the case of the *wh*-phrase is invariably nominative/accusative, although the RP to which it is related may be in an oblique case:

(52)	omul	care	ai	luat o poziție contra lui
	man	who(NOM/ACC)) (you)have	taken a stand against he.GEN
	'the man you took a stand against'			

- by contrast, the P-dropped sluice typically displays the case of its associated RP¹²:

(10) A reuşit în viață <u>datorită cuiva</u>, (he) succeeded in life thanks to someone

¹¹ I do not apply Merchant's technical implementation of deletion for conceptual and empirical reasons: conceptually, the presence of an E-feature violates the Inclusiveness Condition, as it is not inherent in the featural a certain head / phrase and its insertion has to be stipulated; empirically, in a PF-deletion analysis of nominal ellipsis in Romanian (Cornilescu and Nicolae 2012), it has been shown that an the E-feature is superfluous and can be replaced by more motivated features (for nominal ellipsis, the feature [+anaphoric]).

There seems to be variation in this respect: *wh*-phrases that appear both in headed and headless RCs appear with a default nominative / accusative form in P-drop sluicing, while *wh*-phrases that occur only in headless RCs displays the case assigned in the ellipsis site. This point needs further elaboration.

- a. *şi nu mă abţin să întreb cui* and not CL.ACC.1SG refrain SUBJ ask who.DAT
- this may be taken to follow from the different status of sluices and of resumptive relative clauses: the sluiced clause is a headless relative clause and its *wh*-phrase need not enter a relation with an external head; thus, the its case-features, transmitted in the process of [phi]- and [Op]-Agree are not superseded by a further Agree dependency

6.3.2 The range of remnants

- there is a larger array of remnants in P-dropped sluicing than in resumptive RCs derived via Avoid P-Stranding; namely, all wh-elements that may occur in headless RCs are available with P-dropped sluices \rightarrow a natural explanation: the sluice is a headless interrogative clauses

6.3.3 The unavailability of an overt counterpart

- notice that it is impossible to utter the full clause corresponding to a P-dropped sluiced:

(53)	Părinții	copilului	discutau	despre	cineva,
	parents.DEF	child.DEF.GEN	discussed	about	someone
	dar ea a	refuzat să	ne	spună	cine
	but she has	refused SUBJ	CL.DAT.1PL	tell	what.ACC

- copilului cineva, dar ea a refuzat (54)*Părinții discutau despre să parents.DEF child.DEF.GEN discussed about someone but she has refused SUBJ părinții ei discutau despre el_i / ea_i spună **cine**i ne parents.DEF her discussed about him/her tell CL.DAT.1PL what.ACC
- this may be taken as the effect of the MaxElide constraint (Takahashi and Fox 2005, Merchant 2008, Hartman 2011) relativized to Agree relations (MaxElide is formulated as a restriction on traces)
- simply put, "MaxElide chooses the largest deletable constituent within a given domain of semantic parallelism, and its effects are visible whenever a variable inside the elided constituent is bound from outside the elided constituent" (Hartman 2011: 368)
- the configurations discussed (with the remnant wh-phrase merged in the higher C) the Op-variable relation is established via Agree, and the final variable-binding relation applies between the remnant wh-phrase and the Op-feature in lower C \rightarrow this creates the configuration that **enforces** the application of MaxElide

6.3.4 The impossibility to drop the preposition of an adjunct phrase

- recall example (22), which shows that the preposition heading a wh-adverb cannot be dropped

(22)undeva. Aplecat de (he)has left from somewhere a. dar nu stiu exact de unde. exactly from where but not (I)know *dar exact unde. (with the intended meaning "from where") ştiu h nu (I)know exactly where but not 'He departed from somewhere, but I don't exactly know where from'

- this has a rather simple explanation: Avoid P-Stranding is unavailable with adjuncts
- (55) a. *locul de unde a venit* ___ place.DEF from where (he)has come 'the place where he came from'
 - b. $*locul_i$ unde_i a venit de acolo_i place.DEF where (he)has come from there
- this is perhaps because adjuncts are islands both for Movement and for Agree

7. Conclusions

(A) Contrary to what is usually accepted in the bibliography (cf. Merchant 2001), the examination of P-omission sluicing in Romanian shows that a resumptive structure may also be present in the ellipsis site of a sluiced clause.

This is not a surprising result since, as argued by various authors, resumption is not a unitary phenomenon.

(B) Romanian does not have a form of P-stranding under sluicing; the omission of the preposition with *wh*-remnants in sluicing is due to the existence in Romanian of an Avoid P-Stranding strategy whose mechanism indirectly feeds P-omission sluicing.

Arguing that the omission of the preposition of sluicing remnants has a corresponding strategy in overt-head RC structures offers, after all, a more principled account for this phenomenon than Stjepanović's (2008, 2012) proposal that the preposition is dropped as the effect of a post-syntactic P-drop process.

- (C) Reviewing the (Romanian) empirical data in connection with the phenomenon investigated here has also the side-effect of contributing to a more general characterization of sluicing; the following empirical and theoretical results have pointed out:
- the exact nature of the isomorphism / parallelism relation is still to be formulated; phenomena such as missing inner antecedents, voice mismatch, and the disparity between clitic doubling in the ellipsis site and non-doubling in the antecedent raise questions as to how the Parallelism Condition should be formulated;
- as argued by various authors in Merchant and Simpson (2012) and by the present paper, in order to license sluicing it is necessary that a *wh*-phrase be present in the C-domain; the *wh*-phrase may get in the C-domain via movement (the general case), via predicate fronting (Paul and Postdam 2012) or may be directly merged in the C-domain (complex *wh*-phrase in van Craenenbroeck 2012; remnants of P-omission sluicing in Romanian); thus, the relation between movement and deletion should be reconsidered;
- furthermore, as discussed in section 4 (and in other various points in the paper), sluicing should not be blindly considered a form of TP-deletion; turning the tables, sluicing should be considered a form of deletion licensed by a *wh*-phrase, with the nature and constituency of the ellipsis site depending on the strategies available in each freshly examined language.

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