Complex predicate formation via voice incorporation

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Abstract

This article proposes a new approach to restructuring which unites complex head approaches with a bare VP complementation approach. With the former, I argue that restructuring involves incorporation, however, in contrast to complex head (V—V) approaches, I argue that only the *voice* head of a restructuring complement undergoes incorporation. With the VP complementation approach, I assume that a restructuring complement contains a syntactically and semantically independent VP. In contrast to the bare VP complementation approach, however, I propose that restructuring complements also involve a *voice* head (but no embedded subject). Motivation for a *voice* head in restructuring comes from the subject interpretation of the embedded predicate, German stem allomorphy, and voice marking in several Austronesian languages. This hybrid account has the advantage that argument structure 'sharing' only applies to the subject of a restructuring configuration, whereas the remaining argument and event structure properties of the matrix and embedded VPs remain separate, which is supported by cross-linguistic properties pointing to the morphological, syntactic, and semantic independence of the two VPs. The account proposed achieves a larger empirical coverage than previous accounts and also improves in several respects on the theoretical details of previous analyses.

1. Introduction

This article provides a new approach to complex predicate (restructuring) constructions which allows a unified treatment of a range of restructuring phenomena in a diverse set of languages (German, Japanese, Itelmen, Chamorro, Acehnese, Mayrinax Atayal, and Isbukun Bunun). Among the mono-clausal complex predicate approaches two main approaches can be distinguished: approaches employing a form of complex head (V—V) formation and approaches assuming a truncated clausal structure, such as a bare VP complementation structure (Wurmbrand 2001 et. seq.; for the former, see, among others, Geach 1970, Steedman 1985, Haider 1986 et. seq., Jacobs 1992, Hinrichs and Nakezawa 1994, Butt 1995, Kiss 1995, Bouma and Noord 1997, Saito and Hoshi 1998, Hoshi 1999, Müller 1999 et seq., Meurers 2000, Saito and Hoshi 2000, Sternefeld 2000, Williams 2003). In this article, I show that both approaches have advantages and disadvantages and I provide a new approach to restructuring which combines the insights of a complex head approach with the merits a syntactic VP complementation structure.

A core property of restructuring cross-linguistically is the phenomenon that arguments of the embedded predicate establish an A-dependency (such as Case, agreement, thematic relations) with the matrix predicate. One such dependency is *long passive* which I will show can be found in several languages. Long passive refers to constructions in which the object of the embedded predicate is promoted to the matrix subject in contexts where the matrix predicate is, depending on the type of language, passivized or occurs in the patient voice. Since in long passive constructions, the voice properties of the matrix predicate determine the Case and argument structure properties of the embedded object, long passive is a showcase of complex predicate formation. However, comparing long passive in several languages, it can be observed that both complex head approaches and a VP complementation approach face the challenge that the embedded predicates appear to be larger than just a V or VP. Specifically, I will show that there is evidence that restructuring complements in the languages discussed involve properties of voice, leading to a structure in which embedded restructuring predicates involve a v head. Despite the presence of

a v head, I will argue that complex head and VP complementation approaches are correct in assuming that restructuring complements are subject-less. To account for these apparent contradictory properties, I propose a general feature system of v, which has as a consequence that a restructuring v head cannot combine with a subject but nevertheless caries voice properties as diagnosed by various syntactic, semantic, and morphological properties of restructuring cross-linguistically. To derive restructuring, I show that incorporation of the embedded voice head, rather than the embedded verb itself, provides a new unified approach to complex predicate formation which covers a larger empirical domain than previous accounts and overcomes the problems those accounts face. In the next section, I lay out the basic previous analyses and present data that provide challenges for these approaches. In section 3, I present the feature system of v I propose. Section 4 provides the new analysis which covers the argument structure properties of restructuring including the interpretation of the embedded subject, agreement properties as found in Chamorro restructuring, voice properties of restructuring in several Austronesian languages, event structure and transitivity properties of restructuring constructions, and finally the anti-reconstruction effect found in restructuring cross-linguistically.

2. Restructuring — achievements and challenges

2.1 Embedded subjects

The complex head approach and VP complementation approaches differ from restructuring approaches involving a bi-clausal structure in two crucial respects: the lack of a functional domain in the embedded clause and the lack of an embedded subject (such as PRO). As shown in (1), although typologically diverse, German, Acehnese and Mayrinax Atayal show the same long passive configuration: the matrix restructuring predicate, but crucially not the embedded predicate, is passivized (German, Acehnese; see Legate 2012 for arguments that (1b) is a passive configuration in which the matrix verb realizes the features of the implicit agent) or occurs in the patient voice [PV] (Mayrinax Atayal); as a result, the embedded object becomes the matrix subject. Evidence for subject promotion is the nominative case on the object (German, Mayrinax Atayal), agreement with the matrix predicate (German), and/or the position of the object (Acehnese). To account for the argument structure dependencies found in long passive, biclausal approaches need to resort to the assumption that the embedded functional domain is made inactive (to eliminate embedded accusative) and that PRO is circumvented in some way when the embedded object is promoted to the matrix subject.

- (1) a. dass der Traktor und der Lastwagen zu reparieren versucht wurden German that [the tractor and the truck]. NOM to repair tried were. PASS PL 'that they tried to repair the tractor and the truck'
 - b. Aneuk agam nyan geu-ci (*geu-)peuréksa lé dokto

 child male DEM 3.POL-try (*3.POL-)diagnose by doctor

 Lit. 'The child was tried to be diagnosed by the doctor.'

 'The doctor tried to diagnose the child.' [Legate 2012: 501]
 - c. naqaru.un i t.um.uting ni yumin ku bawaq Mayrinax Atayal finish.PV LNK beat.AV.beat GEN Yumin NOM pig Lit. 'The pigs were finished to be beaten by Yumin.'

 'Yumin finished beating/killing the pigs.' [Chen 2010: 5]

Complex head and VP complementation approaches, on the other hand, derive long passive without any further assumptions. In complex head approaches such as (2), the matrix and embedded predicates form a lexical, base-generated or syntactically derived V*-unit, which, for all intents and purposes, behaves like a single verb. The properties of long passive can then be attributed to this merged argument structure. According to the bare VP complementation approach in (3), the embedded restructuring complement (RC) is highly deficient, lacking the projections assigning NOM and ACC Case. The lack of a functional domain in the RC is then responsible for making the embedded object Case-dependent on the functional domain of the matrix predicate.

- (2) a. [v* restructuring V1 + embedded V2] V*: combined argument structure of V1/2
 - b. try [agent, event (inf)]
 - c. repair [agent, theme (obj)]
 - d. *try-repair* [agent, theme (obj)]
- (3) [vP/TP v.ACC/T.NOM [vP1 restructuring V1 [vP2-RC embedded V2]]]

Both approaches entail that the embedded predicate does not project a syntactic subject. Evidence for this claim comes, among others, from binding. As shown in (4a), in non-restructuring contexts (i.e., full-size complements), embedded anaphors such as inherent reflexives are possible, which is as expected if those complements involve an embedded PRO subject. In the long passive configuration such as (4b), on the other hand, anaphors and hence inherent reflexives are impossible. This follows if, as predicted by complex head and the VP complementation approach, a RC does not include a subject which could function as the binder of the reflexive (being passive, the matrix predicate also does not have a subject in an argument position).

- (4) a. Es wurde versucht [PRO_{IMPL} sich_{IMPL} den Fisch mit Streifen vorzustellen] It was tried [PRO_{IMPL} SELF_{IMPL} the fish.ACC with stripes to-imagine] 'People tried to imagine what the fish would look like with stripes.'
 - b. *weil sich der Fisch mit Streifen vorzustellen versucht wurde since SELF the fish.NOM with stripes to-imagine tried was 'since somebody tried to recall the image of the fish'

One question arising, however, for the VP complementation approach concerns the interpretation of the embedded subject. In active restructuring contexts, the matrix subject acts as the subject of both matrix and embedded predicates. Similarly, in the long passive cases in (1), the implicit matrix agent must also be understood as the agent of the embedded predicate. For a VP complementation approach (where no argument structure unification takes place) a mechanism is needed that arrives at the correct interpretation. An option that has been proposed (see in particular Chierchia 1984a, b) is to rely on meaning postulates such as the one in (5), which lexically associate the matrix agent with the embedded predicate. Given that meaning postulates are rather ad hoc, the question is whether there is a better way to derive the subject interpretation in restructuring contexts.

- (5) a. $try'(P)(x) \rightarrow \Box_j P(x)$ Chierchia (1984a: 34)
 - b. whenever x tries to bring about P, then in all the contextually relevant situations (namely those where what x tries actually succeeds) x does P

2.2 Restructuring involves voice

2.2.1 Stem allomorphy in German (Pitteroff, in prep)

German, like many other languages, has verbs that alternate between an inchoative (the window broke) and causative interpretation (he broke the window). In certain cases, the inchoative–causative alternation is expressed as stem allomorphy in German, as illustrated in (6a-c). A prominent syntactic approach to this alternation is to associate the two interpretations with different vP structures. Alexiadou et al. (2006), for instance, argue that the causative version involves a VoiceP (in addition to a causative vP, following Pylkkännen 2002; see also Schäfer 2008, Pitteroff and Alexiadou 2012, Pitteroff in prep). In those works, stem allomorphy is then derived as different spell-outs of the verbal roots depending on the syntactic context. In a distributed morphology approach, this could be expressed via vocabulary insertion rules such as the ones in (6d).

(6)			inchoative	causative
	a.	sink:	versinken	versenken
	b.	fall/chop (tree):	fallen	fällen
	c.	fall asleep/put to sleep	einschlafen	einschläfern
	d.	√SINK www versen √SINK www versin	ken /VoiceP	

'People began to cut down the tree.'

head.

This approach has an important consequence for restructuring. Pitteroff (in prep, p.c.) observes that in restructuring constructions only the causative versions are possible; the inchoative versions are excluded.

- (7) a. Der Frachter wurde zu versenken / *versinken versucht to sink.CAUS / *sink.INCH the.NOM freighter was tried 'People tried to sink the freighter.' Der Baum b. wurde zu fällen / *fallen begonnen / *cut.down begun the NOM tree was to cut
 - c. Der Vulkanier wurde einzuschläfern / *einzuschlafen versucht the.NOM Vulcan was to.put.to.sleep / *fall.sleep tried 'People tried to put to sleep the Vulcan.'

The distribution in (7) poses a serious question for both complex head approaches and the bare VP complementation approach to restructuring (note that all the examples above involve long passive). If the causative versions of the verbs in (6) are dependent on the syntactic presence of a VoiceP, both types of complex predicate approaches are challenged by these examples. Instead what is needed is a more complex structure of RCs—i.e., a structure that involves at least a voice

2.2.2 Voice marking in Austronesian restructuring

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Broadening the empirical domain of restructuring from the well-studied European languages, we find that restructuring and long passive exists in several Austronesian languages. A property relevant for the distribution of restructuring is the rich *voice* marking of these languages. Interestingly, languages fall into two groups when voice marking in restructuring constructions is considered: languages in which the matrix and embedded voice must match, and languages in which the embedded voice is realized as a default voice. Voice matching is illustrated in (8). In Chamorro and Isbukun Bunun, for instance, both predicates are either active/in the active voice [AV] or passive/in the PV. As shown in (9) for Chamorro, active/passive mismatches lead to ungrammaticality (in the account to be presented, (9a,c) will also be excluded due to lack of ACC for the embedded object).

(8) Ma'a'ñao ha-taitai esti na lepblu Chamorro a. i pätgun NPL.RL.IN.afraid the child 3SG.RL.TR-read this LNK book 'The child is afraid to read this book' [Chung 2004: 203; (5a)] Ch<u>in</u>ägi b. si Carmen gias Maria Chamorro NPL.R.IN.PASS try NPL.RL.IN.PASS forgive DET Carmen OBL Maria Lit. 'Carmen was tried to be forgiven by Maria.' 'Maria tried to forgive Carmen.' [Chung 2004: 219; (31a)] Isbukun Bunun c. Miliskin saikin tu ma-baliv bunbun-cia AV.want 1SG.NOM banana-that.OBL TU AV-buy 'I want to buy the bananas.' [Wu 2013: 39; (8)] d. Iliskinun-ku Isbukun Bunun bunbun-a tu baliv-un buy-PV want.PV-1SG.ACC banana-that.NOM TU Lit. 'The bananas are wanted to be bought by me.' 'I wanted to buy the bananas.' [Wu 2013: 40; (10b)] (9) a. *Tinituhun ha-lalatdi i famagu'un si Dolores NPL.RL.IN.PASS.begin 3SG.RL.TR-scold Dolores the children 'Dolores began to scold the children' [Chung 2004: 219; (32a)] b. *Tinituhun kumati i pätgun NPL.RL.IN. PASS. begin NPL.RL.IN.cry the child 'The child began to cry.' [Chung 2004: 219; (32b)] *Ha-hähassu si Carmen binisita i biha 3SG.RL.TR-think.PROG NPL.RL.IN.PASS.visit the old.lady Carmen 'Carmen is thinking of visiting the old lady.' [Chung 2004: 222; (37a)] *Kao ha-ayuda ni chi'lu-hu? man-sinedda' i famagu'un

In contrast, as we have seen in (1), German, Acehnese and Mayrinax Atayal always involve active (voice) in the restructuring complement, which I argue are default voice realizations. Passive constructions in Acehnese are expressed by means of an affix on the verb realizing the features

OBL sibling-1SG

[Chung 2004: 222; (37b)]

3SG.RL.TR-help PL.RL.IN-PASS find the children

'Did my brother help find the children?'

of the underlying agent (see Legate 2012). Crucially, in the restructuring case in (1b) (repeated as (10a)), the features of the underlying agent cannot be realized on the embedded verb but only on the matrix verb. Instead, the embedded verb shows up in the bare form. In restructuring constructions in Mayrinax Atayal, the embedded verb must occur in the AV, independently of the voice of the matrix predicate (see (10b,c)), and embedded PV is excluded, even if the PV matches a PV in the matrix predicate (see (10d,e)).

- (10) a. Aneuk agam nyan geu-ci (*geu-)peuréksa lé dokto

 child male DEM 3.POL-try (*3.POL-)diagnose by doctor

 Lit. 'The child was tried to be diagnosed by the doctor.'

 'The doctor tried to diagnose the child.' [Legate 2012: 501]
 - b. naqaru.un i t.um.uting ni yumin ku bawaq Mayrinax Atayal finish.PV LNK beat.AV.beat GEN Yumin NOM pig
 Lit. 'The pigs were finished to be beaten by Yumin.'

 'Yumin finished beating/killing the pigs.' [Chen 2010: 5]
 - c. m.naqru i t.um.uting cu bawaq i yumin

 AV. finish LNK beat. AV. beat ACC pig NOM Yumin

 'Yumin is finishing beating/killing pigs.'

 [Chen 2010: 7]
 - d. *naqaru.un i tuting.un ni yumin ku bawaq finish.PV LNK beat.PV GEN Yumin NOM pig [Chen 2010: 11]
 - e. *m.naqru i tuting.un i yumin cu bawaq

 AV.finish LNK beat.PV NOM Yumin ACC pig [Chen 2010: 11]

Mayrinax Atayal also provides interesting evidence for the claim that the embedded AV is a default voice realization and not a true AV. I summarize one argument here; for details and further arguments, the reader is referred to Chen (2010). In Mayrinax Atayal, like in many other Austronesian languages, A'-extraction of the object is only possible when the verb occurs in the PV. The blocking effect of AV is illustrated in (11a) vs. (11b) for extraction in simple clauses, and (11c) for extraction from an embedded (non-restructuring) clause. In restructuring contexts, on the other hand, AV is possible (in fact necessary) in the embedded predicate as shown in (11d). The fact that AV does not block object extraction in restructuring contexts, whereas it does so in simple clauses and non-restructuring contexts, thus provides evidence for AV not being a true voice.

- (11) a. nanuan ku tuting.un ni yumin Mayrinax Atayal what NOM beat. V GEN Yumin

 'What is Yumin beating/killing?' [Chen 2010: 8]
 - b. *nanuan ku t.um.uting i yumin
 what NOM beat AV beat NOM Yumin
 - c. *nanuan ku siwal.an ni tali i t.um.uting i yumin what NOM allow.LV GEN Tali COMP beat.AV.beat NOM Yumin 'What did Tali allow Yumin to beat/kill?'

c. nanuan ku naqaru.un i t.um.uting ni yumin what NOM finish.PV LNK beat.AV beat GEN Yumin 'What did Yumin finish beating/killing?'

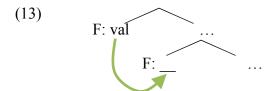
Although the details and function of Austronesian voice marking are still under debate, a common assumption in many accounts is that voice marking is a reflex of a functional head in the TP/vP domain agreeing with a particular argument (see Pearson 2005, Rackowski 2002, Rackowski and Richards 2005, Aldridge's 2004, 2006, 2007 for different instantiations of this assumption). If this is the case, the question that both complex head approaches and the VP complementation approach are faced with is the question of how voice marking is possible on the embedded verb. Voice matching could perhaps be seen as some form of morphological feature spreading which associates both verbs with the same voice element at PF. However, default voice languages then present a puzzle.

In this article, I assume a direct syntax-morphology mapping in the sense that the morphological presence of an inflectional affix entails the presence of a syntactic head corresponding to that affix (but not vice versa). Following Wurmbrand (2013c), I assume that Austronesian voice marking is exactly that: agreement of an argument with v. The distribution of voice marking in restructuring contexts in the languages discussed above then leads to the conclusion that RCs involve a voice head. The same conclusion has been reached regarding the German stem allomorphy facts in the previous section. Putting the findings regarding voice together with the claim that RCs do not project an embedded subject, we appear to be in a contradictory situation: a RC complement involves a voice head, however, no embedded subject. In the next section, I present an approach that derives these properties.

3. The features of voice

How can there be an active v head but no subject? To answer this question, a short detour of the feature specification of v is necessary. Following a valuation based approach to Agree (Pesetsky and Torrego 2007, among others), I assume that unvalued features are not utilizable by the interfaces, and that elements inserted with unvalued features must enter an Agree relation with an element with corresponding valued features, which results in feature valuation/sharing. In contrast to the standard view of Agree (Chomsky 2000, 2001), I assume a feature valuation system in which unvalued features receive a value from a *c-commanding* element with a corresponding valued feature under Reverse Agree (cf. (12) and (13)).

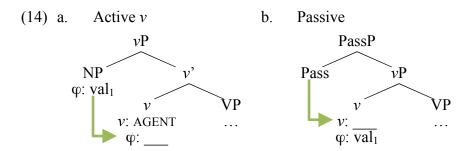
- (12) A feature F: $\underline{}$ on α is valued by a feature F: val on β , iff [Wurmbrand To appear]
 - i. β c-commands α AND
 - ii. α is accessible to β . [accessible: not spelled-out]
 - iii. α does not value {a feature of β }/{a feature F of β }.



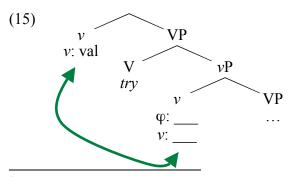
[See also: Neeleman and Koot 2002, Adger 2003, von Stechow 2003, 2004, 2005, 2009, Baker 2008, Hicks 2009, Haegeman and Lohndal 2010, Bjorkman 2011, Grønn and von Stechow 2011, Merchant 2011, Zeijlstra 2012, Wurmbrand 2012a, b, To appear]

As for v, I assume that v has two types of features, φ -features and a v-feature, which both can be specified (valued) or underspecified (unvalued), vielding several different types of v. In active contexts, as shown in (14a), v is inserted with an AGENT value (or a different flavor of that value), which translates as an argument introducing head such as Kratzer's (1996) Agent* $(\lambda x \in Agent(x)(e)])$. Furthermore, v: AGENT has the capacity to accusative Case. The φ features of v are inserted unvalued.² For the φ -features to be valued, v' must merge with an NP with valued φ -features. Valuation of v's φ -features by an NP merged with v' then translates as argument-of relation. Specifically, v: AGENT (or v: PASS; see below) identifies the φ-features as the AGENT argument.

In non-agentive cases, v is inserted with an unvalued v-feature. Depending on which head values the v-feature, different constructions arise. In case of passive, vP merges with the passive auxiliary, which values the v-feature of v as PASSIVE (see (14b). Following Legate (2010, 2012), I further assume that passive ν is inserted with lexically valued φ -features, which correspond to the features of an (implicit or oblique) agent. As we have seen in (1b)/(10a), these features are overtly realized in Acehnese. A v head valued as PASS translates as a head introducing an existentially closed agent argument which identifies the φ -features on ν as the implicit AGENT of the event. Since only v. AGENT assigns accusative Case, the object must move into the Agree domain of T to receive (NOM) Case.



Turning to restructuring, I propose that in restructuring contexts, v is inserted with unvalued v and unvalued φ -features as in (15). The lack of an AGENT value accounts for the impossibility of merging an embedded subject with a restructuring v. Furthermore, restructuring v needs to enter a dependency with matrix v, which I suggest is established via v incorporation. In the next section, I will illustrate the detailed derivations for different restructuring configurations and show how this approach covers the facts discussed so far.

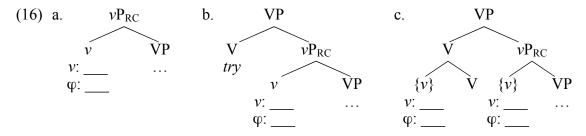


¹ In Wurmbrand (2013d, in prep), I show that there are (at least) six possible combinations which yield the following constructions: active, passive, pro, inchoative/anti-causative, restructuring and retroactive constructions. In the current article, I concentrate and the basic active/passive cases and restructuring. ² In *pro*-drop languages, the φ -features of v are inserted valued (see Alexiadou and Anagnostopoulou 1998).

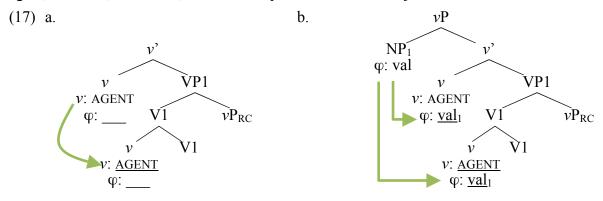
4. Deriving the properties of restructuring

4.1 Subject interpretation and agreement

The basic step-by-step structure of a RC is given in (16.) The embedded VP merges with a restructuring v, which is underspecified for both v and φ values. Since a subject can only be introduced by a v head with an AGENT feature, no subject can be merged with a restructuring v, which accounts for the binding properties discussed in section 2.1 and the lack of a subject intervention effect in long passive. Instead the RC is merged directly with the matrix restructuring verb (cf. (16b)). At this point, complex predicate formation takes place, which, in the current approach, involves incorporation of the restructuring v into the matrix verb as in (16c) (see section 4.3 for evidence that only v incorporates and not the embedded verb). Further structural consequences of this incorporation operation will be discussed in sections 4.2 and 4.4.



Let us start with active matrix restructuring predicates. The further derivation is given in (17). If the matrix predicate is active, v is inserted with an AGENT feature and unvalued φ -features. After v merges with the matrix VP, v values the v-feature of the restructuring v as in (17a). Lastly, the matrix subject merges and values the φ -features of both matrix v and the embedded v. In this derivation, the RC is correctly associated with the matrix subject—due to the two feature valuation relations NP₁ establishes in (17b), NP₁ is interpreted as the agent of both the matrix and the embedded v. The advantage of this analysis is that the embedded predicate is associated with an agent, however, as desired, there is no separate embedded subject in a RC.



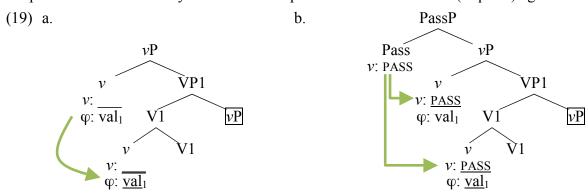
The analysis in (17) also straightforwardly accounts for the agreement properties of restructuring constructions in Chamorro.³ As noted in Chung (2004), embedded restructuring predicates in

³ The basic restructuring analysis proposed here is similar to the proposal in Chung (2004), but agreement is handled differently. Chung suggests that RCs in Chamorro are vPs, however, in contrast to the account in this paper, in active restructuring contexts, the RC also involves a subject and a v head that is capable of assigning ACC Case. For

Chamorro agree with the matrix subject. This is illustrated in (18) (see also (8a)). Agreement in Chamorro is conditioned by transitivity and mood. In (18a), for instance, in intransitive realis contexts, the (3.)PL marker is man- whereas the 3.PL marker is ma- in transitive realis contexts. Similarly in (18b), 3.SG is realized as um- in intransitive realis contexts and as ha- in transitive realis contexts. Despite yielding different affixes due to the transitivity difference between the matrix and embedded predicates, agreement on both predicates in (18) clearly reflects the φ -features of the subject (see section 4.3 for an account and consequences of transitivity mismatches between the two predicates). These agreement facts in Chamorro follow directly from the restructuring configuration in (17), in particular the computation of the φ -values of the matrix and embedded v is: the embedded predicate agrees with the matrix subject exactly because the φ -features of the embedded v are valued by the matrix subject. Agreement can thus be seen as a spell-out of those features. On the other hand, in bare VP complementation and complex head approaches, agreement on embedded restructuring predicates is not expected and a direct syntax—morphology mapping could not be achieved.

- (18) a. *Man-o'sun ma-dipendi siha i famalao'an gias Juan*PL.RL.IN-bored 3PL.RL.TR-depend themselves the women LOC Juan
 'The women are tired of depending on Juan.' [Chung 2004: 205; (8a)]
 - b. *Um-o'sun* ha-suguni yu' pära i iskuela i ma'estra
 NPL.RL.IN-bored 3SG.RL.TR-drive me to the school
 'The teacher became tired of driving me to school.' [Chung 2004: 203; (5c)]

Turning to passive restructuring configurations, if the matrix precicate is passive, v is inserted with valued φ -features (corresponding to the implicit or oblique agent) and an unvalued v-feature. As shown in (19a), after v merges with the matrix VP, matrix v values the φ -features of the restructuring v. The matrix vP is then merged with the passive head in (19b), which values both the matrix and the embedded v heads as passive. Since the two v heads share the φ -features, the passive feature correctly associates both predicates with the same (implicit) agent.



passive restructuring contexts, the accounts are basically the same. To distinguish between these two approaches in active restructuring contexts is difficult. As we will see in section 4.4, the property that favors the view that RCs are subject-less (and hence Case-less) is scope: quantified elements cannot take scope within the embedded predicate but must obligatorily take matrix scope. The analysis I propose is crucially built on the claim that RCs are not propositional—i.e., they lack a subject (despite having a ν head which expresses agentivity). This anti-reconstruction effect is well-motivated for German, Japanese, and Itelmen, but, unfortunately, no scope data are available for Chamorro.

Chamorro again offers interesting support for this account. There are two passive morphemes in Chamorro: the prefix *ma*- and the infix -*in*- (plus some phonologically triggered variants of these morphemes). The choice between these two affixes depends on the features of the (implicit) agent argument, and crucially not the surface subject. The prefix *ma*- is used when the understood agent is plural, whereas the infix -*in*- is used when the agent is singular (see Chung 1998, 2004). This is shown in (20) (see also (8b)): in (20a), the underlying agent is *the men*, hence *ma*- is used; in (20b), the agent is *Joaquin's mother*, hence -*in*- is used; finally in (20c), the agent is the plural argument *the students* (whereas the surface subject is the singular NP *the teacher*), and the passive morpheme must be the affix specified for plural, i.e., for the features of the implicit/oblique agent. The structure in (19) straightforwardly derives the agent conditioned allomorphy of the passive morpheme, since *v* is specified for the features of the agent, which are thus visible when vocabulary insertion takes place.⁴

- (20) a. Pära tafan-ma-chägi ma-na'fanätuk ni lalahi siha

 FUT 1PL-IR.IN-PASS-try NPL.RL.IN.PASS-hide OBL men PL [Chung 2004: 204; (6a)]

 Lit. 'We will be tried to be hidden by the men.' 'The men will try to hide us.'
 - b. *Hagu pära un-tinituhun* in-änña ni nana-n Joaquin you FUT 2SG.IR.IN-PASS begin NPL.RL.IN.PASS beat OBL mother-LNK Joaquin 'Joaquin's mother is going to begin to punish you.' [Chung 2004: 219 (31b)] Lit. 'You will be begun to be punished by Joaquin's mother.'
 - c. *Ma-hähassu ni istudianti ma-bisita i ma'estra*NPL.RL.IN.PASS-think.PROG OBL students NPL.RL.IN.PASS-visit the teacher

 'The students are thinking of visiting the teacher.' [Chung 2004: 228; (44a)]

 Lit. 'The teacher is thought to be visited by the students.'

To conclude, the derivations in (17) and (19) derive the thematic properties found in restructuring constructions cross-linguistically: the matrix and embedded predicates are both associated with the same agent, and a RC is hence interpreted agentively (after valuation, the embedded ν P is agentive, because ν is either valued ν : AGENT or ν : PASS, both of which associate the embedded VP with an (explicit or implicit) agent). Importantly, however, a ν P_{RC} does not involve its own subject, but 'shares' the subject with the matrix predicate. The analysis therefore correctly accounts for the apparent paradoxical situation that RCs are agentive but subject-less.

4.2 Phases and the spell-out of *voice*

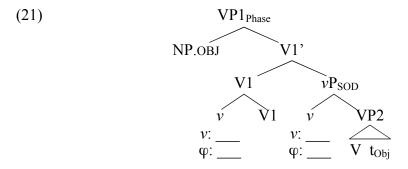
In this section, I discuss the morphological voice properties in restructuring constructions and show that the current account, bundled with a particular view on phases, also allows us to derive the difference between voice matching and default voice languages. Before turning to the

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⁴ In the examples in (20), we see that passive verbs can also agree with the promoted surface subject. This agreement is missing in the embedded predicates due to various independent restrictions on subject agreement (S. Chung, p.c.; in (20a), the embedded verb involves a causative, which is already specified for agreement; furthermore, in realis forms, singular agreement can be unpronounced yielding (20b,c); see also Chung 1998). If (surface) subject agreement is also possible in passive RCs, this would mean that the transitivity head (see section 4.3) also has φ-features which are valued by the object on its way to the matrix clause where it is assigned NOM Case by T. Movement is necessary since the object must escape spell-out in the RC, which, I will argue, constitutes a spell-out domain.

languages with voice marking, let us look in more detail at the structure of the embedded predicate in restructuring constructions, in particular the phasehood properties of RCs.

I propose that voice incorporation has an important structural reflex: following several recent strands of research (Gallego 2005, 2010, Gallego and Uriagereka 2006, den Dikken 2007), I assume that head movement of a phase head extends (or slides up) the phase to the projection of the incorporated (or re-projected) head. Since the restructuring vP closes off the extended projection of the embedded verb (merging the matrix verb starts a new lexical verbal domain), a restructuring vP is a (potential) phase. Incorporation of v into V as in (23), however, extends the phasehood to the matrix VP and makes the restructuring vP a spell-out domain. As a consequence, the embedded object must move to Spec, VP to escape spell-out of the vP_{RC} . Recall that only v heads specified with a v: AGENT feature can assign ACC case. Although RCs involve a v head, this head is underspecified at Merge, and still at the stage of the derivation in (21), i.e., when spell-out of the restructuring vP occurs. Since no case is available for the embedded object in situ, movement to the phase edge (Spec, VP) as in (21) must apply for the object to escape spell-out.



Evidence for this obligatory movement of the object is provided by VP fronting as in (22) (the data are from Bobaljik and Wurmbrand 2005: 823f). If the embedded object remains in the RC as in (22a), its Case cannot be licensed and the derivation fails.⁶ On the other hand, movement of a remnant RC as in (22b) is possible since the object occurs in the matrix clause, where it can receive Case from T.

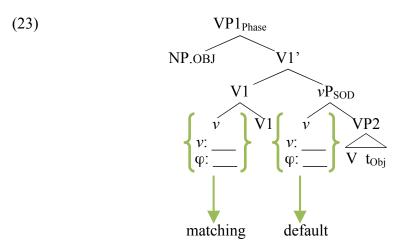
- (22) a. *[Ein blauer Wagen zu reparieren]_{RC} wurde erst gestern vergessen.
 a.NOM blue car to repair was just yesterday forgotten
 It just happened yesterday that they forgot to repair a blue car.
 - b. [Zu reparieren] RC wurde erst gestern ein blauer Wagen vergessen. to repair was just yesterday a.NOM blue car forgotten It just happened yesterday that they forgot to repair a blue car.

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⁵ It is crucial that restructuring verbs such as *try* are considered as lexical verbs. Extensive motivation for this view comes from systematic differences between functional restructuring (i.e., constructions in which the restructuring verb is part of the clausal spine) and lexical restructuring (see Wurmbrand 2004, Bobaljik and Wurmbrand 2005). Although it is not crucial for this article, I follow a *dynamic phase approach*, according to which the highest projection of a cyclic domain (here the extended domain of V) is a phase (Bobaljik and Wurmbrand 2005, 2013, den Dikken 2007, 2012a, b, Bošković To appear, Wurmbrand 2013a, b).

⁶ Movement out of a fronted XP after reconstruction of that XP is excluded due to the freezing effect caused by fronting (see Bobaljik and Wurmbrand 2005 for further details).

Voice incorporation opens a way to derive the difference between voice matching and default voice languages. When a phase is complete, an operation that takes place within the phase and before actual spell-out is copy choice: in chains consisting of more than one copy of a particular syntactic object, one copy of that object is selected for pronunciation/interpretation, and the other copy is typically deleted. Consider now the configuration in (23) in this respect. At the VP1 phase level, a choice has to be made between the higher copy of v or the lower copy of v. If the higher copy is chosen, the lower copy is deleted and spell-out only affects the embedded VP2. The incorporated v is spelled-out when the next phase is completed, and at that point, the incorporated v will have been valued with the same value as the matrix v (cf. (17) and (19)). Since the two v heads have the same features, voice matching is derived. Suppose now that certain languages also have the option of choosing the lower copy of the incorporated v before PF spell-out of the restructuring νP . At the stage of the derivation when spell-out of νP_{RC} occurs, however, the features of the embedded v have not been determined yet. I propose that in such cases, default values are used at PF (but to ensure the correct interpretation, LF must always chose the higher copy of v). For the languages under consideration, the default forms are the AV in Mayrinax Ataval, the infinitive in German, and the bare form in Acehnese.⁷



4.3 The independence of restructuring complements

Although the *v* incorporation approach proposed here is similar to the traditional V—V complex head formation approach in that the (implicit or explicit) matrix subject is shared by both predicates, the account is also different in two crucial ways. First, *v* incorporation only affects the interpretation of the subject—the remaining argument structures remain separate. Second, as shown in (23), the embedded VP2 remains an independent VP in syntax as well as an independent semantic event. In what follows I show that the properties of restructuring do indeed favor the *v* incorporation approach over a traditional complex head approach.

As argued in Wurmbrand (2001, 2007) for German and Bobaljik and Wurmbrand (2007) for Japanese, RCs clearly constitute independent events since they can be modified separately and can also involve a telicity specification different from the matrix predicate. German, like

⁷ Currently, I do not have information about whether there are default voice languages which, like Chamorro, also involve overt agreement in the RC. To refine the spell-out account proposed here it would be interesting to see if such languages exist and if so, what agreement forms are used in such cases.

English, has two types of telicity modifiers—in adverbials which are only compatible with a telic (bounded) event, and for (German lang) adverbials which are only compatible with atelic events. As shown in (24a,b) (examples from Wurmbrand 2007: 277, (45)), restructuring configurations can involve both an in and a for adverbial. The former modifies the embedded event, which thus has to be seen as a telic event, whereas the latter modifies the matrix event, which is atelic. The opposite modification relations are excluded. If, on the other hand, the embedded event is changed to an atelic event, which can be achieved by using a plural object as in (24c), both predicates can be modified by a for adverbial.

- (24) a. Sie haben den Damm ein Jahr lang in zwei Monaten bauen wollen They have the dam one year long in two months build want 'They wanted for a year to build the dam in two months'
 - b. *Sie haben den Damm in zwei Monaten ein Jahr lang bauen wollen
 They have the dam in two months one year long build want
 *'They wanted in two months to build the dam for a year.'
 - c. Sie haben ein Jahr lang zwei Monate lang Dämme bauen wollen They have one year long two months long dams build want 'For a year, they wanted to build dams for two months'

In a complex head approach, in particular one where the argument structures are merged into a single event, it would be rather difficult to account for the facts in (24).

A further argument against a complex V—V head comes from the syntactic properties of RCs. As shown in (25), long passive in German is compatible with VP-topicalization. Since the embedded verbs (more concretely the embedded VP) can undergo movement by itself, a complex V—V structure is again problematic. Assuming the structure in (23), on the other hand, straightforwardly derives these facts.

[Zu reparieren]_{VP} wurden nur blaue Autos vergessen
[To repair]_{VP} were only blue cars forgotten

'They only forgot to repair blue cars.' [Wurmbrand 2007: 264]

The last argument for the current approach and against a complex head approach is provided by restructuring in Chamorro. As we have seen in section 4.1, Chamorro RCs display subject agreement, which is sensitive to the transitivity of the predicate. Crucially, in restructuring contexts, the matrix and embedded events register transitivity separately. In (26a) (repeated from (8a)), the matrix agreement comes from the intransitive paradigm, whereas the embedded agreement uses the transitive paradigm. The opposite is the case in (26b): the verb *begin* is transitive in Chamorro (S. Chung, p.c.; when *begin* occurs with an eventive NP as in (26c), a reflexive configuration is used), hence matrix agreement chooses the transitive form, whereas the embedded verb is intransitive and agreement is chosen from the intransitive paradigm.

(26) a. Ma'a'ñao i pätgun ha-taitai esti na lepblu Chamorro NPL.RL.N afraid the child 3SG.RL.TR-read this LNK book 'The child is afraid to read this book.' [Chung 2004: 203; (5a)]

- b. *Ma-tutuhun man-mahalang i famalao'an as Dolores*3PL.RL.TR-begin PL.RL.IN-lonely the women OBL Dolores
 'The women began to feel lonely for Dolores.' [Chung 2004: 204; (5d)]
- c. *Ha-tutuhun* gui' i ichan
 3SG.RL.TR-begin itself the rain
 'The rain began.'

[S. Chung, p.c.]

Under a complex head approach this distribution would be difficult to account for. Furthermore, if restructuring were to involve merged argument structures, it would be mysterious why the two predicates register transitivity differently. In the v incorporation approach, on the other hand, argument 'sharing' only applies to the subject of the two predicates. The argument structures of the two predicates are not merged and remain distinct. Thus in (26a), the embedded predicate is transitive since it is associated with two arguments—V (or another head of a decomposed VP) selects an object and the restructuring v head associates the VP with an agent argument. The matrix verb, on the other hand, selects only one NP-argument, the subject, and hence the matrix predicate qualifies as intransitive. The opposite situation is the case in (26b).

If transitivity is encoded syntactically, these facts motivate a split vP as, for instance, proposed in Collins (1997, 2005), where transitivity and agentivity are associated with different projections. RCs project their own transitivity phrase (which is specified independently of the matrix predicate), however, the restructuring v head associated with the agent interpretation (and ACC Case) is underspecified and only receives its values via the dependency with the matrix v.

4.4 Anti-reconstruction

The last restructuring property I discuss in this article is the so-called anti-reconstruction effect found cross-linguistically in restructuring contexts. Bobaljik and Wurmbrand (2005, 2007) show that in German, Japanese, and Itelmen, quantifiers originating in a lexical RC cannot take scope within the RC but must obligatorily take scope over the matrix predicate. Some of the relevant examples from the three languages discussed in Bobaljik and Wurmbrand (2005: 810) are given in (27).

- - b. t'-əntxa-če?n [mił okno-?n sop-es]
 1SG-forget-3PL.OBJ [all window-PL close-INF]
 'I forgot to close all the windows.' (S6:6-7)

 ∀ » forget; *forget » ∀
 - c. John-wa subeto-no ringo-o tabe-wasure-ta
 John-TOP all-GEN apple-ACC eat-forget-PAST

 'John forgot to eat all the apples.' ∀ » forget; *forget » ∀

The anti-reconstruction effect is not restricted to objects (which we have seen are in a Case dependency with matrix v or T), but has also been argued to exist for embedded modifiers as in

(28) (see Saito 2000, Saito and Hoshi 1998, 2000, Bobaljik and Wurmbrand 2007, Takahashi 2010, 2011).8

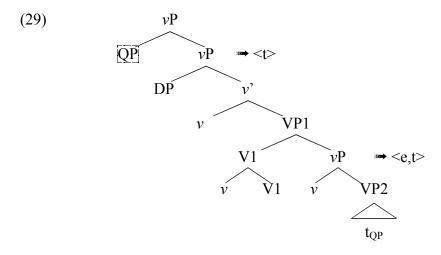
(28) a. Taroo-wa hon-o Mary-dake-kara kari-wasure-ta
Taro-TOP book-ACC Mary-only-from borrow-forget-PAST
'Taro forgot to borrow books only from Mary.' only » forget; *forget » only

[Takahashi 2011: 245; (56)]

b. Taroo-wa me-ga 0.001-byoo-dake ake-rare-ru
Taro-TOP eye-NOM 0.001-second-only open-can-PRES
'Taroo can open his eyes only for 0.001 seconds.' only » can; %can » only only » can: He cannot open his eyes for long (e.g., because his eyes are very sensitive to ulatraviolet rays)

can » only: He has a special ability to move his eyelids very quickly

The account proposed in Bobaljik and Wurmbrand (2005) is built on the claim that lexical RCs are *agreement domains*, which, by assumption, can be crossed by movement but not by Agree. Embedded objects thus must move out of a RC to get into the Agree domain of matrix v or T. Furthermore, such movement is assumed to not be able to reconstruct since Agree must be visible at LF. The restructuring approach put forward in the current paper allows us to follow a suggestion made in Bobaljik and Wurmbrand (2007) and derive the anti-reconstruction effect without the additional concept of agreement domains and by maintaining the same locality restrictions for Move and Agree. Consider again a basic restructuring configuration in (29) (I ignore intermediate steps of movement required due to the phasehood of VP1).



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⁸ Note that the judgment of (28b) is controversial; Saito (2000) only allows the wide-scope reading of *only* (hence showing an anti-reconstruction effect), whereas Takano (2003) finds the example ambiguous. This difference can be explained by the ambivalent status of the potential verb as a lexical verb vs. a functional modal head. As shown in Bobaljik and Wurmbrand (2005), anti-reconstruction effects arise only in lexical restructuring contexts. Thus, if the potential verb is a functional head, no anti-reconstruction effects would be expected (see also Nomura 2005 for the lack of anti-reconstruction with the potential verb). The restructuring analysis in this paper applies only to lexical restructuring (functional restructuring would involve a single lexical predicate and aspectual and/or modal projections above the ν P), and I therefore set the Japanese potential construction aside.

A standard view on quantifier scope (Heim and Kratzer 1998) is that quantifiers must combine with a clause-denoting expression (of type <t>). As shown in (29), although a RC is a ν P (since it involves a ν head), it is not a propositional expression, since no subject is merged with the embedded ν . The first propositional node is the matrix ν P. The structure in (29) then allows a very simple account of the anti-reconstruction effect: QPs within the RC cannot be interpreted internal to the RC since there is no type <t> projection. Movement to the matrix ν P is thus required, which necessarily brings the QP outside the scope of the matrix verb.

The current voice incorporation and feature valuation analysis also overcomes a challenge raised in Takahashi (2010, 2011) for the analysis of anti-reconstruction effects presented above. Takahashi provided binding data which can be taken as evidence for the claim that Japanese RCs involve an embedded subject (i.e., the RC would qualify as a propositional expression), yet the same anti-reconstruction effect is found as in (27) and (28). The relevant data are given in (30) (see Takahashi's works for detailed scope descriptions). In these configurations, the matrix restructuring verb combines with a causative verb, which in turn combines with a RC. Furthermore, the RC contains an *only*-phrase as well as a subject-oriented anaphor (zibun). Since zibun is subject-oriented, Takahashi assumes that direct binding by the causee argument (which is an internal dative argument) is not possible, but there must be a PRO subject in the RC which is controlled by the causee argument and which binds the anaphor in the interpretations where zibun refers to the dative argument. Crucially, in the case of lexical restructuring in (30a), an anti-reconstruction effect arises, independently of whether zibun is bound by the matrix subject or the causee argument. Thus if zibun binding requires a PRO subject, the embedded RC should qualify as a propositional complement, and quantifiers should not be required to move all the way up to the matrix clause. In short, no anti-reconstruction effect would be expected, contrary to fact.

The example in (30b) involves the potential verb which could be a functional head (see fn. 8), and as exected no anti-reconstruction effect arises. This example is still important, however, since it shows again that in a clear case of restructuring (nominative Case on the object is evidence for restructuring), *zibun* can co-refer with the dative causee argument, which again could be taken as evidence for an embedded subject in the RC.

(30) a. Sensei_T-wa gakusei_S-ni sono-nyuusu-o zibun_{T/S}-no zimoto-dake-e teacher-TOP student-DAT that-news-ACC SELF-GEN home-only-to hookoku-sase-wasure-ta report-cause-forget-PAST only » forget; *forget » only
'The teacher_T forgot to make the student_T report the news only to his_{T/S} home.'

[Takahashi 2011: 249; (61)]

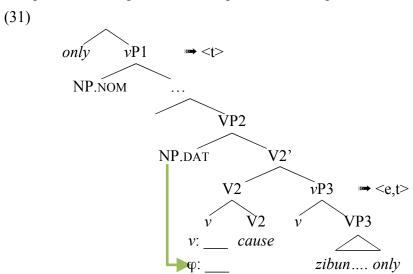
b. Taro_T-ga Hanako_H-ni zibun_{T/H}-no migite-dake-ga
Taro-NOM Hanako-DAT SELF-GEN right.hand-only-NOM

age-sase-rare-ta
raise-cause-can-PAST only » can; can » only
'Taro_T could make Hanako_H raise only his_T/her_H right hand.'

[Takahashi 2010: 330; (21); Takahashi 2011: 23; (17)]

These facts are very interesting, however, I believe the conclusion that binding can only be achieved by a PRO argument in the embedded RC is not necessary. Let us zoom in on the rele-

vant part of the structure under the account proposed here. As shown in (31), the v head of the lowest predicate is underspecified and undergoes incorporation into the higher V—the causative verb. In addition to the RC, the causative verb also combines with an internal argument (the dative causee NP). At this point in the derivation, the causee NP values the φ -features of the incorporated v head. This Agree relation has an important consequence: it associates the dative causee with the agent of the embedded predicate (exactly parallel to what has been proposed for matrix subjects in simple restructuring contexts such as (17)). Eventually, the embedded v will be valued as v: AGENT, yielding the correct interpretation that the dative arguments in (30) are also interpreted as the agents of the deepest embedded predicates.



Let us now consider how binding works in (30)/(31). In the current account, it seems that direct binding of *zibun* by the dative NP and subject-orientation of *zibun* are not in conflict in the configuration above. Although the causee NP is not a nominative argument and it is also an internal argument of the causative verb, it does clearly qualify as the subject of the embedded predicate as well since the φ -valuation operation indicated above associates that NP with the agent of the

⁹ A technical question arising for examples such as (30) is how feature valuation is achieved in recursive restructuring configurations. Since the empirical distribution of such configurations is very unclear, I leave a detailed account aside here. I only note that if stacked RCs are possible, presumably some recursive *v* incorporation would need to be assumed. In general, stacked lexical restructuring constructions are not very natural, if possible at all (note that the Japanese examples involve a causative, which could also be treated as a functional head). In German, long passive across two RCs is impossible, even for speakers who have no general problem with long passive. That is, although both *begin* and *try* allow long passive (i.), and the two verbs can (more or less) combine in the non-restructuring variant, (ii.), long passive across both verbs is impossible (iii.).

Der Wagen wurde begonnen/versucht i. zu reparieren The.NOM car was to repair began/tried 'They tried/began to repair the car' ii. wurde den Wagen ?Esbegonnen zu versuchen zu reparierent begun the.ACC car to repair was to try 'They began to try to fix the car.' *Der Wagen wurde zu reparieren begonnen zu versuchen The NOM car was to repair began to try

embedded predicate. Thus, if subject-orientation is a matter of semantics, binding by the dative causee will satisfy the constraint.

Binding can also be straighforwardly derived in the configuration in (31) under recent Agree-based approaches to binding. Reuland (2005, 2011), for instance, argues that binding is not established directly between an antecedent and the anaphor but is intermediated by a functional head, which is in an Agree relation with both, the antecedent and the anaphor. The structure above involves exactly such a configuration: the dative NP Agrees with v and v Agrees with v Agrees with v and v Agrees with v Agrees with v and v Agrees with v Agree

Note finally that this account has no effect on the binding properties of the German examples in (4). In (4b), the embedded v ends up with the φ -features corresponding to the implicit agent of the passive matrix v. However, these features are not sufficient to license an anaphor—implicit passive arguments cannot bind anaphors—thus, a simple Agree relation between v and the anaphor is not sufficient to establish binding. For an anaphor to be licensed it needs to (also) be in a (direct or indirect) relation with an antecedent NP. No such NP is available in long passive constructions as in (4), but in the Japanese causative construction, there is: the dative NP which Agrees with the embedded v.

5. Summary

The following table summarizes the properties found in restructuring constructions cross-linguistically and discussed in this article.

Property	V—V	VP only	v incor- poration	Explanation
RC involves no (PRO) subject	✓	✓	✓	restructuring <i>v</i> is underspecified; cannot select AGENT
Interpretation of embedded subject	✓	?	✓	matrix & embedded φ-features are co-valued/identical
Subject agreement in RC in Chamorro	?	?	✓	φ -features of embedded v are valued by the matrix subject
RC can involve verbs showing <i>v</i> conditioned stem allomorphy	?	?	✓	v is present in RC
RC involves voice marking in Austronesian languages	?	?	√	v is present in RC
Voice matching vs. default voice	?	?	✓	RC is spell-out domain; copy choice for incorporated <i>v</i>
RC constitutes separate event	?	✓	✓	argument structures not merged— embedded vP is a separate event
RC shows independent transitivity marking in Chamorro	?	?	✓	matrix and embedded argument structures are separate
Embedded VP is mobile	?	✓	✓	no V-incorporation, only <i>v</i> incorporation
Anti-reconstruction effect	✓	✓/?	√	embedded vP is non-propositional
Subject-oriented binding in RC	?	?	✓	matrix binder qualifies as embedded subject

The comparison of the three complex predicate approaches considered (complex head, bare VP complementation, *v* incorporation) shows that the approach advocated in this paper covers all the phenomena whereas the previous analyses face several challenges.

6. Conclusion

In this article, I proposed a new approach to restructuring which unites complex head approaches with a bare VP complementation approach. With the former, I argued for an incorporation approach. However, in contrast to other complex head approaches, I proposed that only the v head of a restructuring complement undergoes incorporation. With the VP complementation approach, I assumed that a RC contains a syntactically and semantically independent VP. In contrast to the bare VP complementation approach, however, I proposed that restructuring complements also involve a v head. This hybrid account has the advantage that it provides argument structure sharing for only the subject of a restructuring configuration (only the vP), whereas the remaining argument structure properties (the big VP properties) remain separate. I have shown that this result is empirically correct and supported by several phenomena from a diverse set of languages. The account proposed achieves a larger empirical coverage than previous accounts and improves in several respects on the theoretical details of the analyses.

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