

On the EPP

Halldór Ármann Sigurðsson
Lund University
Draft, 2 September 2007
Comments welcome

- I. Introduction
2. Person and NP-movement
3. FLEE, *það* and CLIC
4. A note on the Definiteness Effect and matching of Pn and Λ_N/Λ_P
5. Teasing FLEE further apart: Stylistic Fronting
6. The optionality problem
7. Conclusion

1. Introduction^{*}

EPP (Extended Projection Principle) effects are of two different types:

- (1) EPP effects:
 - a. NP-MOVEMENT ('DP-displacement')
 - b. The FILLED LEFT EDGE EFFECT, FLEE for short (leading to the finite declarative clause having a spelled-out left edge)¹

These two types of effects commonly overlap and they are also commonly conflated in the literature.² In the approach of Chomsky (2006), FLEE should follow from the Edge Feature (EF). Much like EPP itself however, the notion 'Edge Feature' is unclear and poorly understood. Lasnik (2003:1) claims that the "EPP has been ... a pervasive mystery since it was first formulated by Chomsky (1981)". Introducing the 'Edge Feature' certainly does not clarify or remove the mystery.

On the basis of evidence from mainly Icelandic, I argue that the two types of EPP effects, NP-movement and FLEE, have common as well as different properties. Common to both types is that they are reflections of the clausal computation, that is, the grammatical machinery that values clause internal elements in relation to the linguistic context, above all the features of the *Speech Event*. However, while NP-movement in addition involves Person matching, FLEE may be substantiated by elements that do not match Person, including the Icelandic expletive *það* 'there, it' and stylistically fronted non-arguments (Holmberg 2000).

^{*} The research for this paper was supported by a grant from the Swedish Research Council to the project 'Grammatical categories in Germanic and Romance: on the relation between interpretation and morphology' (in cooperation with Verner Egerland).

¹ The informal notion 'left edge' refers to both the CP domain in 'full' finite clauses and the IP domain in clausal structures that lack an active CP layer, such as ECM infinitives. See below.

² For recent overviews of the EPP and its history in generative research, see Lasnik 2003, Epstein & Seely 2006, Boeckx 2007.

While languages evidently display effects that have commonly been attributed to EPP, Universal Grammar arguably contains no Extended Projection *Principle*.³ The terms ‘NP-movement’ and FLEE are thus just convenient descriptive labels. Nonetheless, I argue, both types of EPP effects reflect central computational properties, independently motivated.

Before I can discuss EPP effects, general background assumptions about clausal structure and the computation must be introduced. Extending the cartographic approach of Rizzi 1997 and ideas I have developed in earlier work,⁴ I assume a radically split Infl and a rich CP domain, with at least the following elements (abstracting away from Foc(us) and more fine grained splitting of the modal and aspectual domains):⁵

(2) [CP .. Force .. CLn .. Λ_A .. Λ_P .. Fin .. [IP Pn .. Nr .. T .. [vP v .. (NP) ..]]

(3) a. CLn, Λ_A , Λ_P , Fin = features of the minimal *Speech Event*.⁶

b. CLn = a *Context-Linker*.

CLn corresponds roughly to the Top(ic) head or feature in the sense of Rizzi 1997. However, not only true argumental topics but also many adverbials are semantic variables, getting their values set by elements in the linguistic (or speech event) context, thereby serving as Context-Linkers.⁷

c. Λ_A = the logophoric agent (‘the speaker’).⁸

d. Λ_P = the logophoric patient (‘the hearer’).

³ My arguments to this effect are different from the arguments developed by others (e.g., Epstein & Seely 2006), but I will not compare my approach with alternative accounts of EPP effects; it would take us much too far afield.

⁴ Including Sigurðsson 2000, 2003, 2007a (written 2003), 2004a, 2004b, 2004c, 2006a, 2006b, 2006c, 2006d, 2007b, 2007c, and Sigurðsson & Holmberg 2007. Like I do in many of these works, and will do here, Boeckx 2007 argues in favor of Person being crucially important with respect to (certain) EPP effects.

⁵ CP, IP and vP are here used as labels for domains, and not as X'-theoretic labels of projections (there are no simple or unique C, I and v heads, cf. Sigurðsson 2006b). For an early, conceptually related split CP domain approach to some of the issues discussed here, see Branigan (1996).

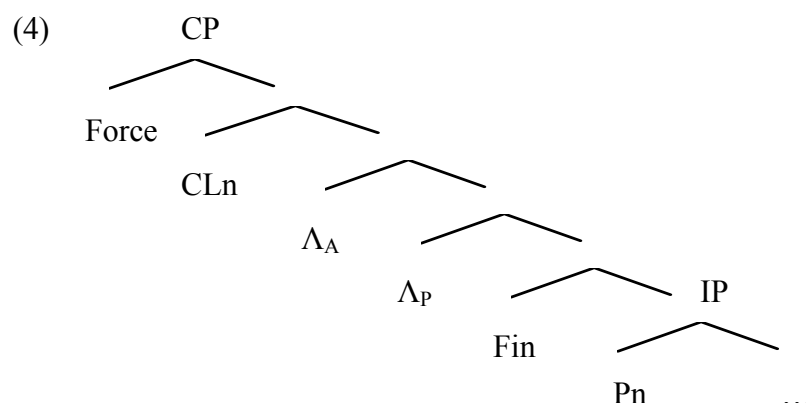
⁶ My *syntactic* notion of the speech event is much more limited in scope or minimalistic than the speech event notion of Jakobson (1959/1990). It is also more constrained than Ross’ performative hypothesis (1970) and free of the recursion problem of his approach. However, I owe much to the sharp insights of these researchers and also to Bianchi 2003, 2006 and Schlenker 2003 (see furthermore Sigurðsson 1990, Di Domenico 2004, Speas 2004, Tenny 2006). For speculations that silent speech event features might be needed to ‘round off’ the CP phase, see Chomsky (2004:108 and 125, fn. 17).

⁷ Thus, a clause-initial adverb like *then* in *Then I saw Peter* is a temporal variable with roughly the meaning “time *y* simultaneous to or later than time *x*”, where time *x* is a contextually decided temporal referent. Context-linking is referred to as D(iscourse)-linking by Pesetsky (1987) and much subsequent work.

⁸ I use lambda in line with θ and ϕ , but I opt for a capital *A* to avoid confusion with lambda calculus. As illustrated in Sigurðsson 2004b and 1990, the more common notions ‘speaker’ and ‘hearer’ are too simple and partly misleading, although I also use them, for ease.

- e. Fin = the Fin(iteness) head or feature in the sense of Rizzi (1997).
This is sufficiently accurate for most of our purposes, but, as discussed in Sigurðsson 2004b, Fin should arguably be split into Speech Location and Speech Time, S_L and S_T (or T_S , if one likes). I will return to this.
- f. Pn = the Person head of the clause (one of the features of the classical Infl), valued in relation to Λ_A , Λ_P , and usually also valued in relation to an argument.
- g. Nr = the Number head of the clause.

It is not self-evident what order to assign to silent CP domain features, but for concreteness I assume the following structure, where I abstract away from Foc(us) (we will in fact encounter some evidence in favor of the order of elements assumed here):



A single item may match (represent) more than one feature. Thus, a third person subject pronoun like *she* matches or represents +Pn, + Fin (i.e., its Speech Local factor), $-\Lambda_P$, $-\Lambda_A$ and +CLn. The subject is thus speech event anchored or GROUNDED, in the sense suggested in Sigurðsson (2007c). In order for successful grounding to take place the subject has to raise into the vicinity of to Pn, but no higher, that is, it matches the features of the CP domain under distant Agree (see further below). Objects are indirectly or ‘transitively’ licensed by being in the scope of their subject.⁹

Arguments, in turn, are complex syntactic structures with phi-feature variables, Pn_α , Nr_β , etc. (cf. Déchaine and Wiltschko 2002, Platzack 2004 and others). As pointed out by Sigurðsson and Holmberg (2007), a predication like *write* (x , y) or *write* (θ_1 , θ_2), can of course be expressed as in (5):

(5) writer write (to) writee

⁹ This is not an innocent assumption, but I present some evidence in favor of it in section 4. In addition, objects arguably enter into non-subject related matching relations as well. See further Sigurðsson 2004b, 2006c, 2007c.

However, this is not how language typically works. Rather, any argument must match the clausal Pn head as being either +Pn or –Pn, +Pn arguments in turn entering into a further matching relation with the logophoric features of the CP domain, this second (and higher) matching yielding the actual person values of a pronoun. This is sketched below, where the arrow reads ‘valued as’:

$$(6) \quad \text{NP}_{\alpha\text{Pn}} \quad \rightarrow \quad \text{NP}_{+\text{Pn}} \text{ or } \text{NP}_{-\text{Pn}}$$

- (7) a. +Pn \rightarrow + Λ_A , – Λ_P = 1P by computation
 b. +Pn \rightarrow – Λ_A , + Λ_P = 2P by computation
 c. +Pn \rightarrow – Λ_A , – Λ_P = 3P by computation
 d. –Pn: = 3P by default¹⁰

Similarly, a vP event is either valued as +T(ensed) or –T, +T in turn entering into a further matching relation with (the Speech Time feature of) Fin. Thus, the IP domain of grammatical features mediates between the ‘context domain’ of the CP and the ‘content domain’ of the vP (Platzack 2001 pursues the same intuition, though approaching and formalizing it differently).¹¹

In this approach, all syntactic features are interpretable (i.e., uninterpretable agreement features belong exclusively to PF, Sigurðsson 2007b). However, they enter syntax unvalued, being valued in the course of the derivation, as just sketched for Person.

Case is not a syntactic feature or object on the present approach, but a morphological (PF) interpretation or ‘translation’ of complex relations between NPs and their syntactic environment. Thus, dative case is, for instance, used to mark the following kind of NP relations in Icelandic:

- (8) a. Agentive NPs in *af*- ‘by’ phrases in the passive
 b. Experiencer subjects of certain predicates
 c. Theme subjects of certain predicates
 d. Free benefactives
 e. Most benefactive indirect (or ‘first’) objects
 f. Numerous direct objects (with certain thematic and aspectual readings)
 g. Complements of many prepositions
 h. Complements of certain adjectives
 i. Certain adverbial NPs (instrumental, possessive, comparative)

¹⁰ The ‘no person’ approach to the 3 person (of Benveniste 1966 and others) has long been tantalizingly ‘correct and incorrect’, as is well known. The dilemma disappears under the present approach.

¹¹ More is required to account for Number computation and for in-/exclusiveness of plural arguments, but I will not go into further details here. This sketch is sufficiently detailed to demonstrate the general approach.

This is illustrated by English glosses in (9), where *mér* is the (Icelandic) dative form of the first person singular pronoun:

- (9)
- a. ‘he was supported by **mér**’
 - b. ‘**mér** feels good’ = ‘I feel good’
 - c. ‘**mér** went forth’ = ‘I made progress/recovered’
 - d. ‘I made **mér** a cup of coffee’
 - e. ‘she sent **mér** the letter’
 - f. ‘she pushed **mér**’
 - g. ‘she was with **mér**’, ‘she was ahead of **mér**’
 - h. ‘she was **mér** nice’ = ‘she was nice/kind to me’
 - i. ‘she was **mér** older’ = ‘she was older than me’

These are among the possibilities, but there are more, and all of these relations can also be differently marked (with different cases and/or prepositions, depending on predicates and various other factors). It is rather obvious that it does not make much sense to assume there to be a *syntactic* ‘dative feature’ that would be the common denominator for all these (and further) relations.¹² Rather, dative case is a *morphological* ‘translation’ or representation of numerous different syntactic factors, A, B, C, etc.:

(10)	<u>Narrow Syntax</u>	transfer	<u>Morphology (PF)</u>
a.	Syntactically computed {A, B, C}	→	dative
b.	Syntactically computed {B, D}	→	dative
c.	Syntactically computed {E, F, L}	→	dative
d.	...		

– and so on. In less schematic terms, the sets {A, B, C}, etc., of syntactically computed relations correspond to traditional descriptions like ‘experiencer subjects of certain predicates’, ‘direct objects with certain thematic and aspectual properties’, and so on. Parallel observations apply to the other cases. Thus, nominative is used to mark the following rather heterogeneous syntactic relations (in addition there are agreeing nominatives, including floating quantifiers and predicates, not taken into account here):

- (11) a. Agentive subjects (in finite clauses)

¹² For instance, prepositions with heterogeneous semantics obligatorily assign or require dative case, including *frá* ‘from’, *að* ‘towards’, *hjá* ‘at, with’ and *gegn/gengt* ‘against/opposite to’. Other prepositions arbitrarily require the genitive, e.g., *tíl* ‘to(wards)’, *án* ‘without’, *innan* ‘within’, and so on.

- b. Numerous non-agentive subjects (of various kinds of predicates)
- c. Subjects of ECM-like infinitival and small clause complements of certain matrix verbs that take a dative subject
- d. Objects of certain verbs that take a dative subject
- e. Predicative NPs (in finite clauses and PRO infinitives)
- f. Many left dislocated NPs
- g. Many right dislocated NPs
- h. Vocatives and other addressing expressions
- i. Certain exclamative NPs
- j. Certain adverbial NPs
- k. Most listed NPs (in dictionaries, etc.)

Again, it does not seem to make much sense to assume that all these relations are ‘one and the same’ in syntax. This becomes even more obvious when one also considers the fact that closely related languages like Icelandic and German, with fundamentally the same kind of case systems, do not only have many interesting (or curious) similarities in their case systems but also numerous striking differences (see Maling 2001, 2002) – such differences would be unexpected, in fact very surprising, if the cases belonged to Narrow Syntax. In short, the derivation is plausibly not as in (12), but, rather, like the more economical one in (13):

<u>Narrow Syntax</u>	transfer	<u>Morphology (PF)</u>
* (12) a. {M, N, L} > +NOM	→	nominative
b. {H, J, M} > +NOM	→	nominative
c. {K, N, R} > +NOM	→	nominative
d. ...		

<u>Narrow Syntax</u>	transfer	<u>Morphology (PF)</u>
(13) a. {M, N, L}	→	nominative
b. {H, J, M}	→	nominative
c. {K, N, R}	→	nominative
d. ...		

That is, the NO CASE GENERALIZATION holds (Sigurðsson 2007a):

(14) Syntax has no case features

If one wishes, one can call sets or combinations {M, N, L}, etc. (or ‘agentive subjects’, etc.), of syntactically computed relations ‘syntactic cases’, but, importantly, such complexes are *not input* to the computation but its *outcome*, to be interpreted by the interfaces (much like, e.g., ‘subjects’ and ‘objects’). In other words, case is not – could not be – a feature or an object operated with or on in syntax.¹³ It is an ‘interpretative’ feature (or features) operated with in PF morphology, where it enters into agreement processes and the like.¹⁴ Not being part of Narrow Syntax, it is no wonder that case is uninterruptible to the semantic interface.

2. Person and NP-movement

If syntax has no case features, NP-movement cannot be driven by case, at least not if it is a Narrow Syntax operation.¹⁵ A wide array of facts considered in Zaenen et al. (1985) and in Sigurðsson (1989) and subsequent work indicates that this is correct, raising the question of what other factors might drive NP-movement. In Sigurðsson (2003), I proposed that Person matching is the crucial factor behind it, at least in nominative-accusative systems, stating the following PERSON PROMINENCE PRINCIPLE, PPP:¹⁶

(15) Visualize Person at the left edge of IP

At the time, PPP was basically only a generalization, but given the Speech Event Theory sketched in (2) = (16), the PPP now falls into place:

(16) [CP .. Force .. CLn .. Λ_A .. Λ_P .. Fin .. [IP .. Pn .. Nr .. T .. [_{VP} v .. NP ..]]

In order to get valued as +Pn and subsequently to match the logophoric ‘speaker’ and ‘hearer’ features (Λ_A - Λ_P), an argument has to move into the vicinity of the Pn head of the IP clause.¹⁷ Notice that if the argument would only need to match Pn, it would presumably be able to do

¹³ Assuming that an NP has to have an ‘unsatisfied’ or an active case feature in order to be syntactically active is vacuous, as it merely amounts to saying that NPs enter into syntactic relations. That is, this is tantamount to the No Case Generalization.

¹⁴ Syntactic Agree must be sharply distinguished from morphological agreement (Sigurðsson 2004c, 2006b).

¹⁵ As will be discussed in sections 6 and 7 below, there are in fact reasons to believe that EPP effects are PF phenomena, but, even so, it is evident that NP-movement is not driven by case.

¹⁶ Curiously, however, personal pronoun subjects in Swedish and Norwegian (as opposed to Icelandic, English, German, etc.) are exempted from this left edge visualization requirement, i.e., these languages have grammatical examples of the sort “... that not I knew it” (see Holmberg 1993 on Swedish). It thus seems that Pn and Fin can be separated by certain adverbials in these languages, but I leave this problem aside here.

¹⁷ I say ‘vicinity of Pn’ rather than Spec,PnP because I assume that Move generally tucks in (e.g., Sigurðsson 2006b, 2006c). See further below.

so under distant Agree. That is, the reason why it has to raise is plausibly that it also has to match the logophoric features of the CP domain, i.e., it cannot both match Pn and Λ_A/Λ_P across Pn by distant Agree. In other words, (17a) below is well formed, whereas (17b) is not; the notation Pn/NP indicates that the moved NP tucks in under Pn, thereby matching Pn, in turn enabling Pn to match $\Lambda_A-\Lambda_P$ (henceforth Λ for short):¹⁸

(17) a. [CP .. Λ .. Fin .. [IP .. Pn/NP .. [_{VP} v .. ~~NP~~ ..]]
 \uparrow _____ Agree _____ \uparrow \uparrow _____ Move _____ \uparrow

b. * [CP .. Λ .. Fin .. [IP .. Pn .. [_{VP} v .. NP ..]]
 \uparrow _____ Agree _____ \uparrow
 \uparrow _____ Agree _____ \uparrow

The argument also matches Fin (suggesting that Λ and Fin may bundle up and act as a single head). I will return to this analysis at the end of section 3.

By (7c,d), 3p is either a true, computed person or ‘no person’, triggering 3p morphology as a last resort, by default. Given that indefinite NPs are or may be 3p by default (‘no person’), the PPP accounts directly for the core facts of the Definiteness Effect:

- (18) a. There have probably been **some strangers** in the garden.
 b. * There have probably been **I/you/they** in the garden.
 c. * There has probably been **she/he/it** in the garden.
 d. * There am probably **I** in the garden.

Chomsky (2001:7) argues that “expletives must have the feature [person]”. That seems to be true of English *there*, as suggested by the fact that its associate triggers number agreement (plural *have* in (17a)), but cannot trigger true person agreement. That follows if *there* enters into a matching relation with Pn, which is thus ‘taken’. It also follows that the expletive is incompatible with the true person matching pronouns in (18b,c,d). See further section 3.

That true person (1, 2 and computed 3 person), as opposed to case, is relevant with respect to NP-movement is abundantly evident in case languages like Icelandic. This is partly illustrated by patterns like the one in (19):

¹⁸ I assume that adjacent silent features like Λ_A and Λ_P bundle up ($\Lambda_A-\Lambda_P = \Lambda$) unless they are separately treated by some syntactic process. – Notice that my ‘single chain’ approach to Move + Agree is incompatible with Chomsky’s (2005) attempt to split such complex chains into two disjoint chains (formed by parallel movement). For evidence suggesting that Chomsky’s approach cannot be easily maintained, see Sigurðsson and Holmberg (2007).

- (19) a. ... að þá mundu sennilega hafa verið kosnir
 ... that then would probably have been elected
kommúnistar í stjórnina.
 communists.NOM to board.the
 ‘... that there would then probably have been (some) communists elected ...’
- b. * ... að þá mundu sennilega hafa verið kosnir
 ... that then would probably have been elected
kommúnistarnir í stjórnina.
 communists.the.NOM to board.the
- c. * ... að þá mundu sennilega hafa verið kosnir **þeir** í stjórnina.
 ... that then would probably have been elected they.NOM to board.the
- d. * ... að þá mundu sennilega hafa verið kosnir **við** í stjórnina.
 ... that then would probably have been elected we.NOM to board.the

If we assume that definite NPs have to be valued +Pn by computation, we have an account of the ungrammaticality of (19b-d):¹⁹ The +Pn arguments are not raised into the vicinity of Pn, hence cannot enter into the necessary matching correlations with the speech event features of the CP domain, cf. (17). In contrast, indefinite NPs may either be 3p by default, staying low, as in (19a), or 3p (−Λ_A, −Λ_P) by computation, in which case they raise, as in (20):

- (20) ... that (**some**) **communists** would then probably have been elected to the board.

As seen in (19a), getting the structural cases deep down into the vP is not a problem. This is further illustrated in (21) and (22) (see also Sigurðsson 1992:22, 2003:253ff); the brackets indicate an ECM clausal boundary:

- (21) a. Þess vegna höfðu verið seldir **bátar** á uppboðinu.
 there for had been sold boats.NOM at auction.the
 ‘Therefore, (some) boats had been sold at the auction.’
- b. Mér virtust [_{ECM/NOM} hafa verið seldir **bátar** á uppboðinu].
 me.dat seemed have been sold boats.NOM at auction.the
 ‘It seemed to me that (some) boats had been sold at the auction.’
- (22) Ég taldi [_{ECM/ACC} hafa verið selda **báta** á uppboðinu].
 I believed have been sold boats.ACC at auction.the
 ‘I believed there to have been (some) boats sold at the auction.’

¹⁹ Alternatively, Def(initeness) or Specificity is an independent computational feature, preconditioning +Pn. This is a complicated issue, and I will not try to sort it out here.

Non-structurally case-marked NPs are subject to the same positional conditions and restrictions as structurally case-marked ones (Sigurðsson 1989 *et seq.*). That is, NPs have to raise when they carry true person and need not (even may not) raise otherwise, regardless of their case-marking:

- (23) a. ... að það hafði verið bjargað fjórum sjómönnum / *okkur
 ... that there had been rescued four fishermen.DAT / *us.DAT
 b. ... að okkur hafði verið bjargað.
 ... that us.DAT had been rescued
 ‘... that we had been rescued.’

I take it that the importance of Person and the irrelevance of case with respect to NP-movement is firmly established by now (see previous work, e.g., Sigurðsson 1989, 2000, 2003, 2006b). However, I will return to the issue at the end of section 3, presenting facts that lend even further credibility to the present approach. First, however, I need to discuss the Icelandic expletive *það* ‘there, it’, as well as ‘the new actor in the game’, the Filled Left Edge Effect, FLEE.

3. FLEE, *það* and CLIC

As mentioned above, English expletive *there* can be successfully analyzed as a [person] element, matching the clausal Pn feature:

- (24) [_{CP} .. Force .. CL_n .. Λ .. Fin .. [_{IP} P_n/*there* ...

I assume that *there* enters into a long distance Agree with the CP speech event features, thus (negatively) matching Fin and the logophoric ‘speaker’ and ‘hearer’ features, Λ.²⁰ Recall from (3e) that Fin arguably splits into Speech Location and Speech Time, and assuming that *there* is marked as –Speech Local (or –Proximate) in addition to –Λ seems to be essentially correct. A regular, definite subject behaves much the same, the major difference being that it matches at least one CP speech event feature positively (+Λ_A and +S_L in the case of the first person pronoun, +CL_n in the case of 3 person pronouns, and so on).

²⁰ In view of the fact that expletives are compatible with CL_n matching adverbials (as in *Then, there was a strike in London*), I assume that they do not enter into any matching relation with CL_n (rather than matching it negatively). In addition, expletives only match Fin as a last resort (in the absence of any ‘stronger’ Fin matcher).

In short, English *there* shows much the same NP-movement type of EPP effects as regular definite arguments, arguably because it is a [person] element. The Icelandic expletive *það* ‘there, it’ behaves very differently, not sharing any A-properties with regular subjects. Thus, it differs from English *there* and for instance Mainland Scandinavian *det* in never interfering with any kind of agreement. Compare the Swedish clause in (25a) with the Icelandic one in (25b) (from Sigurðsson 2004b):

- (25) a. **Det** blev skjutet älgar.
 it.NT.SG was shot.NT.SG moose
 ‘There were some moose shot.’
 b. Það voru skotnir hvalir.
 it was.3PL shot.NOM.M.PL whales.NOM.M.PL
 ‘There where some whales shot.’

The Swedish expletive *det* triggers (underlined) agreement of the participle *skjutet*, whereas both verbal and participial agreement in Icelandic is controlled by the post-verbal nominative associate of *það*, *það* itself never having any agreement effects whatsoever. Rather, it merely satisfies the FLEE in (1b), repeated here:

- (1) EPP effects:
 a. NP-MOVEMENT (‘DP-displacement’)
 b. FILLED LEFT EDGE EFFECT, FLEE for short (leading to the finite declarative clause having a spelled-out left edge)

Thus, *það* is subject to a remarkable restriction, the CLAUSE INITIAL CONSTRAINT, CLIC.²¹ That is, it is confined to the first position in finite clauses, both main and subordinate clauses, thereby differing from referential subjects, in fact from all other lexical items in the language. This is illustrated with English glosses in (26):

- (26) a. **Það** had been sold some boats at the auction.
 b. Had (***það**) been sold some boats at the auction?
 c. Then had (***það**) been sold some boats at the auction.
 d. ... whether [**það** had been sold some boats at the auction].
 e. ... whether [then had (***það**) been sold some boats at the auction].

²¹ The term Clause Initial Constraint was coined in Sigurðsson 2004b, but the properties of the Icelandic expletive have been widely discussed. See, for instance, Thráinsson 1979, 2005, 2007, Rögnvaldsson 1984, Zaenen 1985, Platzack 1987, Ottósson 1989, Sigurðsson 1989, Magnússon 1990, Rögnvaldsson and Thráinsson 1990, Jónsson 1991, 1996, Holmberg and Platzack 1995, Holmberg 2000, Vangsnes 2002.

- f. I believed [(?**pað**) have been sold some boats at the auction].²²

In the influential analysis of Platzack (1987) and Holmberg & Platzack (1995), it was assumed that *pað* was strictly confined to (an unsplit) Spec,CP and hence that subordinate clauses with *pað* had to be analyzed as involving a recursive CP layer:

- (27) ... [CP whether [CP **pað** had [IP ...

However, a recursive CP structure should plausibly be exceptional, even highly exceptional. Expletive *pað* in embedded clauses is not exceptional. It is common and neutral, as extensively demonstrated by Magnússon (1990). Consider:

- (28) a. ... að **pað** væri draugur í eldhúsinu.
 ... that there was ghost in kitchen.the
 ‘... that there was a ghost in the kitchen.’
 b. ... hvort (að) **pað** væri draugur í eldhúsinu.
 ... whether (that) there was ghost in kitchen.the
 c. ... hvenær (að) **pað** væri draugur í eldhúsinu.
 ... when (that) there was ghost in kitchen.the
 d. ... eins og (að) **pað** væri draugur í eldhúsinu.
 ... as if (that) there was ghost in kitchen.the
 e. ... þegar (að) **pað** væri draugur í eldhúsinu.
 ... when (that) there was ghost in kitchen.the

And so on. As very clearly demonstrated by Magnússon (1990), *pað* is neutral in almost any kind of subordinate clauses, with basically only one type of exception, namely:

- (29) *Pað* may act as an intervener between an operator and a variable.

That is, *pað* is excluded from many relatives, comparatives, interrogatives and clauses extracted from. This holds in particular if the variable is the subject of the ‘gapped’ clause, as in (30):

- (30) a. Þetta er maður sem (***pað**) elskar allar konur.
 this is man who (*there) loves all women
 ‘This is a man who loves all women.’

²² Some speakers accept the expletive in ECM infinitives (see Thráinsson 1979:357, 446, 481f), but authentic examples are hard to find, it seems (on Google, for instance). I restrict my account to my own (and, I believe, the central) variety, where the expletive is ungrammatical in ECM albeit not as sharply ungrammatical as in post-verbal position in finite clauses.

- b. Hún spyr hver (***það**) elski allar konur.
 she asks who (*there) loves all women
- c. Þessi maður veit ég að (***það**) elskar allar konur.
 this man know I that (*there) loves all women
 ‘This man I know loves all women.’

This is much as in English and many other related languages. I will return to this in section 5, where I argue that it is directly accounted for if *það* has a matching function that is already served by the empty subject variable.²³

The most central and general fact about *það* is CLIC, namely that *það* must not be spelled out *when some other element takes the first position of the clause*. We saw this in (26) and it is further illustrated in (31) (for the transitive expletive, but the same holds for other expletive constructions, such as intransitive existential predicates and weather predicates):

- (31) a. **Það** höfðu margir stúdentar lesið auglýsinguna í gærdag.
 there had many students read announcement.the in yesterday
 ‘Many students had read the announcement yesterday.’
- b. Margir stúdentar höfðu (***það**) lesið auglýsinguna í gærdag.
- c. Auglýsinguna höfðu (***það**) margir stúdentar lesið í gærdag.
- d. Í gærdag höfðu (***það**) margir stúdentar lesið auglýsinguna.

This even holds in yes/no-questions, where Spec,CP is not lexicalized:

- (32) Höfðu (***það**) margir stúdentar lesið auglýsinguna í gærdag?
 had (*there) many students read announcement.the in yesterday

²³ Notice, however, that the distribution of Icelandic *það* is markedly different from the distribution of German (existential) *es* ‘there’, the latter being strictly confined to main clause initial position (cf. Mohr 2005):

- (i) a. **Það** er draugur í eldhúsinu.
 there is ghost in kitchen.the
 ‘There is a ghost in the kitchen.’
- b. ... hvers vegna **það** er draugur í eldhúsinu.
 ... what for there was ghost in kitchen.the
 ‘... why there is a ghost in the kitchen’.
- (ii) a. **Es** ist ein Gespenst in der Küche.
 there is a ghost in the kitchen
- b. * ... warum **es** ein Gespenst in der Küche ist.
 ... why there a ghost in the kitchen is

In Sigurðsson (2004b), I proposed an analysis where *það* (negatively) matches Fin (its S_L factor) and other speech event features under distant Agree, *það* thereby representing the values –Fin, – Λ .²⁴ As illustrated in (33), this gives us a uniform account of the CLIC in both main and subordinate clauses:²⁵

- (33) a. Main clauses: [CP ... Fin/*það* [IP Pn ... ‘there’
 b. Declarative subord.: [CP *að* Fin/*það* [IP Pn ... ‘that there’
 c. Interrogative subord.: [CP *hvort* (*að*) Fin/*það* [IP Pn ... ‘whether (that) there’
 d. Conditional subord.: [CP *ef* (*að*) Fin/*það* [IP Pn ... ‘if (that) there’
 e. Temporal subord.: [CP *þegar* (*að*) Fin/*það* [IP Pn ... ‘when (that) there’
 f. ...

In all instances, then, *það* tucks in to the right of Fin.

Notice that *það* is excluded in the presence of a regular definite subject, as positive matching takes precedence over negative matching. A first person pronoun, for instance, matches both Λ_A and the S_L (or the proximate) factor of Fin positively (as being +Speech Local), thereby excluding the expletive (from simultaneously matching Λ_A and S_L negatively).²⁶

If the finite verb in verb-second languages moves into the vicinity of Fin (and sometimes also to Force), as commonly assumed, we have a simple account of CLIC:

- (34) *Það* is licensed only in the vicinity of Fin, hence it a) blocks the finite verb from moving to Fin, and b) cannot occur any lower in the structure (which would allow the finite verb to move to Fin).

Thus, (35a) and (35d) are well-formed, whereas both (35b) and (35c) crash:

- (35) a. [CP ... Fin/*það* [IP Pn V_{fin} ... *það var kalt* = ‘p. was cold’
 b. * [CP ... Fin/V_{fin}/*það* [IP Pn ∇_{fin} ... * *var það kalt?* = ‘was p. cold?’
 c. * [CP ... Fin/V_{fin} [IP Pn/*það* ∇_{fin} ... * *var það kalt?* = ‘was p. cold?’
 d. [CP ... Fin/V_{fin} [IP Pn ∇_{fin} ... *var kalt?* = ‘was cold?’

²⁴ As I also argued, a distinction has to be made between negative matching (yielding negative valuing) and no matching (yielding no valuing). See further below.

²⁵ The analysis in (33) is slightly different from my 2004b approach (also in 2007a, written 2003), where I assumed that *það* is in Spec,IP (as in, e.g., Ottosson 1989, Rögnvaldsson and Thráinsson 1990, Magnússon 1990). On the present approach, the complementizer *að* is not a lexicalized Fin (as I assumed in 2004b) but a ‘lexicalizer’ of Λ , higher in the CP domain. *Hvort*, *ef*, *þegar* in (33c-d) are plausibly Force elements, still higher than Λ , cf. the structure in (4).

²⁶ In English, *there* is already thrown out of the competition by Pn matching of personal pronouns (as *there*, in contrast to *það*, also has to match Pn).

This follows as *það*, in contrast to English *there*, Swedish *det*, etc, does not match Pn, thus having no ‘business’ other than negatively matching Fin and the logophoric speech participant features (Λ). In contrast, Mainland Scandinavian *det* and English *there* attach to or tuck in under Pn, hence not competing for Fin with the finite verb:

- (36) a. [CP ... Fin/*was* [IP Pn/*there* ...
 b. [CP ... Fin/*var* [IP Pn/*det* ...

4. A note on the Definiteness Effect and matching of Pn and Λ_A/Λ_P

Reconsider the Definiteness Effect:

- (37) a. There have probably been **some democrats** elected to the board.
 b. * There have probably been **we** elected to the board.

On the assumption that *there* matches Pn, these facts are unproblematic. The indefinite associate in (37a) has no true (computed) person, hence it matches only Nr, leaving room for *there* to match Pn. In (37b), on the other hand, the nominative *we* needs to match it’s true person, making the expletive superfluous (leading, in a sense, to violation of the Inclusiveness Condition of Chomsky 1995 *et seq.*).

This is simple and elegant. Icelandic, however, illustrates that the issues at stake are more complex. Consider the facts in (38):

- (38) a. Þá hafa sennilega verið kosnir **einhverjir demókratar** í stjórnina.
 then have.3PL probably been elected some democrats.NOM to board.the
 ‘Then there have probably been some democrats elected to the board.’
 b. * Þá höfum sennilega verið kosnir **við** í stjórnina.
 then have.1PL probably been elected we.NOM to board.the
 c. Þá höfum **við** sennilega verið kosnir í stjórnina.
 then have.1PL we.NOM probably been elected to board.the

As mentioned earlier, and as seen in (38a), nominative case is fully grammatical vP-internally, raising the question of why the pronoun in (38b) has to raise, as in (38c).

Simple as it is, this is a big and a hitherto unnoticed problem for the approach to Agree developed in Chomsky (2000, 2001): Since the clause in (38b) does not contain any argument other than precisely the nominative *við*, intervention does not arise and the finite verb should be free to probe for the person of the nominative. As seen in (38a), it does probe the nominative for number, so why can it not also probe it for person?

As already suggested in section 2, the reason does not seem to have to do with Pn matching as such (yielding +Pn); if only that was at stake, plain Agree should do:

- (39) [CP .. [IP Pn .. [vP NP ..]]
 ↑ ___ Agree ___ ↑

Plausibly, therefore, the reason why the pronoun has to raise into the vicinity of Pn is that it does not only have to match Pn but also the logophoric speech event features, Λ_A/Λ_P , in order to match the exact value of +Pn, as 1, 2, or 3 (by computation). This was sketched in (17), repeated here as (40), in a slightly revised form, where I assume that Λ and Fin bundle up, thereby acting as a single head, Λ -Fin:

- (40) a. [CP .. Λ -Fin .. [IP .. Pn/NP .. [vP V .. ~~NP~~ ..]]
 ↑ ___ Agree ___ ↑ ↑ ___ Move ___ ↑
- b. * [CP .. Λ -Fin .. [IP .. Pn .. [vP V .. NP ..]]
 ↑ ___ Agree ___ ↑
 ↑ ___ Agree ___ ↑

On this account, Λ -Fin may probe Pn/NP under distant Agree, (40a), whereas it cannot probe NP across Pn, as in (40b), Pn in that case acting as an intervener.²⁷

This approach gains further support from Icelandic *Reverse Predicate Agreement*, RPA (Sigurðsson 2004c, 2006b). Predicative NPs do not normally interfere with finite verb agreement, as illustrated in (41) (similar facts were discussed in Sigurðsson 1996):

- (41) a. Við **verðum**/*verða þá sennilega þeir í næsta lífi.
 we.NOM will-be.1PL/*3PL then probably they.NOM in next life
 ‘We will then probably be them in the next life.’
- b. Þeir **verða**/*verðum þá sennilega við í næsta lífi.
 they.NOM will-be.3PL/*1PL then probably we.NOM in next life
 ‘They will then probably be us in the next life.’

However, in the RPA construction, the predicates may and must control even person agreement, as illustrated in (42), where the agreement controlling predicates are underlined.²⁸

²⁷ This is similar to the Inactive Intervention approach in Sigurðsson 2006c.

²⁸ These judgements reflect my own intuitions. Some speakers accept default 3SG as well in clauses of this sort, but most informants I have checked this with usually prefer full agreement. Thus, in a small survey I have made, the 2SG form *ert* in (42c) was judged perfect by 13 but questionable by 1 of 14 informants. The default 3SG form *er* in this particular clause was judged perfect by 6, questionable by 5 and ungrammatical by 3.

- (42) a. Þetta höfum áreiðanlega bara verið við.
 this.NOM have.1PL certainly unly been we.NOM
 ‘This has certainly only been us.’
- b. Voruð þetta þá kannski þið sjálfir?
 were.2PL this.NOM then perhaps you yourselves.NOM.PL
 ‘Was this then perhaps you yourselves?’
- c. Ert það bara þú?
 are.2SG it.NOM just you.NOM.SG
 ‘Is it only you?’

RPA is confined to only two lexical items as subjects, demonstrative *þetta* ‘this’ and *það* ‘it’. These items are like expletive *það* in being computationally phi-empty (3SG by default), but they differ from it in being context-linkers (i.e., they positively match CL_N, whereas the expletive does not match CL_N).

As seen in (42b,c), demonstrative *þetta* and *það* both invert with the finite verb in V2 contexts, thus behaving like regular subjects (and not like the expletive). That they are genuine subjects in the RPA construction is further suggest by the fact that they raise (like neuter nominals in general, *það* and *þetta* show no NOM/ACC distinction):

- (43) a. Ég taldi það bara hafa verið ykkur.
 I believed it.ACC only have been you.ACC.PL
- b. Þetta virtumst víst bara hafa verið við.
 this.NOM seemed.1PL allegedly only have been we.NOM
 ‘Allegedly, this seemed to have been only us.’

We have an account of RPA if demonstrative *það* and *þetta* do not only match CL_N positively but are also matchers of Λ -Fin. If so, the predicative nominatives match only P_n (and N_r) but do not also have to match Λ -Fin, across P_n. This is illustrated in (44):

- (44) [CP CL_N ... Λ -Fin/*þetta* .. [IP .. P_n .. [VP V .. [VP ... NOM ...
 ↑ _____ Agree _____ ↑ ↑ _____ Agree _____ ↑

RPA, then, yields support to the conclusion that it is not person-probing as such that leads to Definiteness Effect violations like (38b), but, rather, ‘too distant’ Λ -Fin probing of NOM, across P_n.²⁹ Thereby, RPA also provides evidence in favor of syntactic Speech Event Theory as layed out in Sigurðsson 2004b and further developed here.

²⁹ In English, the person matching property of *there* is sufficient to rule out (37b).

Consider the difference between predicative constructions with demonstrative *það*, (45), and clauses with the expletive, (46):

- (45) a. *Það vorum bara við.*
 it were.1PL only we.NOM
 ‘It was only us.’
 b. * *Við vorum það.*³⁰
- (46) a. * *Það vorum kosnir við.*
 there were.1PL elected we.NOM
 b. *Við vorum kosnir.*
 we were.1PL elected

Crucially, demonstratives, like *það* in (45), are context-linkers. Expletive *það*, as in (46), on the other hand, has no other functions than to match features (Λ and Fin) that are positively (hence ‘more strongly’) matched by the pronominal argument.

Finally, notice that these considerations suggest that subjects are the only arguments that enter directly into speech event matching relations, other arguments being indirectly licensed in the scope of a subject (cf. Sigurðsson 2007c on PRO and Pronoun License).

5. Teasing FLEE further apart: Stylistic Fronting

Mainland Scandinavian *det* as well as English *there* and *it* are largely obligatory in the absence of a stronger Λ-Fin matcher; the examples in (48) are Swedish:

- (47) a. Did **(it)* rain much?
 b. Is **(there)* anybody there?
 c. I believe **(it)* is raining.
 d. Does **(there)* seem to be anyone in the house?
- (48) a. Regnade **(det)* mycket?
 rained it much
 b. Har **(det)* blivit vald en ny president?
 has there been elected a new president

³⁰ With different semantics this clause is somewhat less degraded (inasmuch as *það* can be construed as a personal pronoun, referring back to a discourse antecedent). Topicalizing the predicative NP also leads to marginality (*??Við vorum það bara*).

- c. Jag anser *(det) vara för kallt för att bada.
I consider it be too cold for (one) to swim
- d. Verkar *(det) kanske ha varit någon i huset?
seems there maybe have been somebody in house.the

Due to the Clause Initial Constraint, CLIC, expletive *það* is excluded from comparable clauses in Icelandic:

- (49) a. Rignði (*það) mikið?
rained it much
- b. Hefur (*það) verið kosinn nýr forseti?
has there been elected new president
- c. Ég tel (??það) vera of kalt til að synda.
I consider it be too cold for (one) to swim
- d. Virðist (??það) kannski hafa verið einhver í húsinu?
seems there maybe have been somebody in house.the

As we have seen, we have an account of the Icelandic facts if *það* is licensed only in the vicinity of Fin, thus neither being available in infinitives nor in finite clauses where the verb raises to Fin. English and Swedish, on the other hand, generally have to strictly obey the Person Prominence Principle in (15) above:³¹

(15) Visualize Person at the left edge of IP

In retrospect, however, we see that this is not a principle but a generalization. What happens in English and Swedish is that a [person] element matches both Pn and Λ -Fin in finite clauses, only Pn in ECM infinitives, and neither in PRO infinitives (see Sigurðsson 2007c for an analysis of PRO infinitives):

- (50) a. [CP ... Λ -Fin ... [IP Pn/*there* ^{ok} in finite clauses
b. [IP Pn/*there* ^{ok} in ECM
c. * [CP ... [IP Pn/*there* * in PRO infinitive

The unacceptability of *það* in ECM infinitives like (49c) is expected if ECM structures contain no Fin but do contain Pn (licensing English *there*). It is further supported by the fact that ECM infinitives are sensitive to the Definiteness Effect in much the same manner as finite clauses:

³¹ With the exception, mentioned in fn. 15 above that certain adverbials can separate Fin and Pn in Swedish.

- (51) a. *Ég taldi hafa verið selda of marga báta/*bátana.*
 I believed have been sold too many boats.ACC/boats.the.ACC
 ‘I believed there to have been too many boats sold.’
- b. *Ég taldi bátana hafa verið selda.*
 I believed boats.the.ACC have been sold

Recall, however, that matching Pn as such should be possible under mere Agree, that is, Move is triggered by a matching relation with a still higher head. In finite clauses the head in question is Λ -Fin, but in ECM it is the matrix clause object Pn (cf. Sigurðsson 2007c). When an indefinite NP does not move, as in (51a), the Pn features are left unmatched.³²

EPP effects thus boil down to an interplay of Person matching and matching of CP speech event features, either involving both types of matching relations or only one of them. It follows that parameterization or other kinds of generalizations in terms of ‘EPP’ are unprincipled and theoretically underivable. Such generalizations can be catching descriptive approximations, but they tell us nothing about how grammar works.

Icelandic Stylistic Fronting, SF offers additional evidence that we need to tease apart the mechanisms behind EPP effects, that is:

- (52) a. Pn matching
 b. Fin matching
 c. Λ matching
 d. CLn matching

SF has several rather special characteristics (Maling 1980, Rögnvaldsson and Thráinnsson 1990, Jónsson 1991, Holmberg 2000, among others):

- (53) a. SF fronts non-subjects, typically an adverb or a participle but sometimes a particle, a preposition, an infinitive, a PP or an N(P)
 b. SF is preconditioned by a ‘subject gap’, that is, it only applies in case the canonical subject position is (phonologically) empty or vacated³³

³² Clausal head features remain unmatched (getting default values) in the absence of an active matcher. I refrain from illustrating this here, though.

³³ According to Hrafnbjargarson (2004), SF is only mildly degraded (one question mark) in the presence of a subject clitic, yielding the order Comp-CI-SF (type: ‘that’(h)e read had’). I do not share Hrafnbjargarson’s intuition in this respect, that is, all such examples are clearly ungrammatical to me. In view of the fact that such examples can be found in Italian (Cardinaletti 2003), some Icelandic speaker variation in this respect is perhaps not unexpected. However, I have never come across an authentic Comp-CI-SF example in any form of Icelandic, so I refrain from considering such examples here.

- c. SF seemingly targets the canonical subject position
- d. SF applies in finite clauses only, most commonly in subject gapped subordinate clauses (relative clauses, etc.) but also in main clauses that meet the subject gap condition.

A few examples:

- (54) a. **Tekin** hefur verið ___ sú ákvörðun að fresta kosningunum.
 taken has.3SG been the decision to postpone election.the
 ‘They have decided to postpone the election.’
- b. **Sagt** er ___ að **kosið** verði ___ í júní.
 said is.3SG that elected will-be.3SG in June
 ‘It is said that there will be election in June.’
- c. Þetta er vandamál sem **leysa** þyrfti ___ strax.
 this is problem that solve would-need.3SG at-once
 ‘This is a problem one would need to solve at once.’
- d. Við gerum þetta, eins og **um** var talað _____.
 we will-do this as about was.3SG spoken
 ‘We will do this, as had been discussed.’

In most respects, SF has much the same distribution as expletive *það*, suggesting that SF elements are in some sense ‘expletives’ (Holmberg 2000):

- (55) a. SF and *það* are both usually excluded in the presence of a definite (+Pn matching) subject
- b. SF elements are always clause initial, like *það*, that is, both *það* and SF are ruled out when the finite verb moves to Fin
- c. SF and *það* are both excluded in infinitives³⁴

These facts are illustrated for SF in (57)-(58), which should be compared to the grammatical examples in (56):

- (56) a. **Skrifuð** hefur verið ný bók um þessar tilraunir.
 written has.3SG been new book about these experiments
 ‘There has been a new book written about these experiments.’
- b. **Skrifað** hefur verið um þessar tilraunir.
 written has.3SG been about these experiments
 ‘Somebody written about these experiments.’

³⁴ However, SF is more sharply ungrammatical in raising infinitives than is *það*.

Ungrammaticality in the presence of a definite subject (Def.S) or verb raising (VR):

- (57) a. * Skrifuð hefur **bókin** verið / verið **bókin** um þessar tilraunir.
 written has.3SG book.the been / been book.the about these experiments
 b. * Hefur **skrifað** verið um þessar tilraunir?
 has.3SG written been about these experiments

Ungrammaticality in infinitives:

- (58) a. * Hún vonast til að **skrifað** hafa ___ um þessar tilraunir
 she hopes to written have have about these experiments
 fyrir jól.
 before Christmas.
 b. * Hún taldi **skrifað** hafa verið ___ um þessar tilraunir.
 she believed written have been about these experiments
 c. * Hún virðist **skrifað** hafa ___ um þessar tilraunir.
 she seems written have about these experiments

Like SF, *það* is out in all these contexts. In one respect, however, the distribution of *það* and SF is radically different:

- (59) *Það* may act as an intervener between an operator and a variable, whereas SF does not generally block operator-variable ‘binding’ (see (66) below)³⁵

This is illustrated (in part only) in (60)-(62).³⁶

- (60) a. * Þetta er bók sem **það** hefur verið skrifuð um einmitt þetta.
 this is book that there has been written about exactly this
 b. Þetta er bók sem **skrifuð** hefur verið um einmitt þetta.
 this is book that written has been about exactly this
 ‘This is a book that has been written about exactly this.’

³⁵ I only present the core facts, but, as has been discussed by, e.g., Rögnvaldsson (1984) and Magnússon (1990), some other factors may affect the well-formedness of expletive *það*. Thus, while it is impossible when the variable is a subject, it is commonly well-formed when it is a prepositional complement or an adverbial (in my grammar, which thus partly differs from the grammar described in Jónsson 1991). This tallies well with the analysis proposed here, but I refrain from going into further details.

³⁶ In fact there is another difference: *það* is more easily compatible with transitive verbs (the Transitive Expletive Construction) than is Stylistic Fronting, at least in my speech. However, the difference is not a sharp one and I will not try to develop any analysis of it here.

- (61) a. * Veit hún hver **það** hefur skrifað um þetta?
 knows she who that has written about this
 b. Veit hún hver **skrifað** hefur um þetta?
 knows she who written ahs about this
 ‘Does she know who has written about this?’
- (62) a. * Hver heldur þú að **það** hafi skrifað um þetta?
 who believe you that there has written about this
 b. Hver heldur þú að **skrifað** hafi um þetta?
 who think you that written ahs about this

SF does not have any clear semantic effects, a fact that led Holmberg (2000) to suggest that it is a syntactic movement that satisfies ‘EPP’ by moving only the phonological features of a word or a constituent, stranding its semantic and formal features. However, assuming that syntax can deprive meaningful units of their phonological representations calls for, 1) a general theory of such form-meaning splits (principles, conditions, etc.), and, 2) a theory of why and how form-meaning pairs should come into being in the first place (cf. Burton-Roberts and Poole 2006).³⁷

I propose the following understanding: SF often has (formal) stylistic flavor to it, but it does not correlate with propositional semantics, so it is not surprising that it generally has vague or even nondetectable semantic effects.³⁸ Like expletive *það*, however, it negatively matches the speaker/hearer CP features, yielding or representing $-\Lambda_A/-\Lambda_P$ (hence the impersonal semantics of most SF clauses as well as of *það*-clauses). There is one crucial difference, though, explaining why SF is possible in ‘binding domains’ in examples like the ones in (60)–(62) above, while *það* is impossible there:

- (63) a. *Það* matches both (the Speech Local feature of) Fin and the speaker/hearer CP features (representing $-\text{S}_L$ as well as $-\Lambda_A/-\Lambda_P$)
 b. A stylistically fronted element matches only the speaker/hearer CP features (representing only $-\Lambda_A/-\Lambda_P$)

³⁷ There are strong reasons to believe that ‘syntactic words’ have no phonological material attached to them, but that assumption is not available under the general approach pursued by Holmberg (2000).

³⁸ Hrafnbjargarson (2004:93 ff.) claims that SF may have truth-conditional focus effects. I do not share that intuition (nor do other native linguists who have studied SF, as far as I know). Hrafnbjargarson (2004:93) says that Sigurðsson (1997) suggested that “stylistic fronting is a way to focus a specific element in the clause”, but that is a misinterpretation. I only pointed out that it was *compatible* with contrastive focus, given the right context.

Given that copies of A'-moved subjects are always Speech Local (and context-linked), they are like regular definite arguments in being positive matchers of (the S_L feature of) Fin, thus rendering the expletive superfluous and ill-formed (as opposed to SF elements).

That copies of A'-moved subjects have largely the same matching properties as regular overt subjects is evidenced by various factors, for instance verb agreement:

- (64) a. Hvaða málfræðingar heldur þú að hefðu/*hefði skrifað um þetta?
 what linguists.PL think.2SG you.SG that had.3PL/*3SG written about this
 'Which linguists do you believe would have written about this?'
 b. Hvaða málfræðingar heldur þú að **skrifað** hefðu/*hefði um þetta?
 what linguists.PL think.2SG you.SG that written had.3PL/*3SG about this

On the present tucking-in approach to movement, the structure of the stylistically fronted version in (64b) is as in (65):

- (65) ^{ok} [CP ... Λ /skrifað Fin [IP ... e_{subj}
 ↑ ↑ ↑ ↑

The corresponding ungrammatical structure containing *það* is sketched in (66):

- (66) * [CP ... Λ -Fin/það [IP ... e_{subj}
 ↑ ↑ ↑ ↑

That is, *það* blocks e_{subj} from matching the Λ -Fin head or 'operator' by intervention, hence being ungrammatical (much as expletives in comparable constructions in related languages).

6. The optionality problem

In addition to topicalization (CLn-matching), Icelandic has three ways of overtly satisfying 'EPP':

- NP-movement matching P(erso)n locally and also matching CP speech event features under distant Agree, that is, Fin (its Speech Local factor) and the speaker/hearer features, Λ_A and Λ_P
- Expletive merger, (negatively) matching Fin and Λ_A - Λ_P but not matching Pn
- Stylistic Fronting, (negatively) matching only Λ_A - Λ_P

Icelandic thus demonstrates that EPP effects are reflections of distinct matching relations that overlap rather generally in languages like English, but can be teased apart when a richer cross-linguistic variation is carefully scrutinized.

There can be little doubt that Pn and the features of the speech event are meaningful features of Universal Grammar. That is, EPP effects are not just some mystery but follow from factors that are central to language and ‘make perfect sense’. Nonetheless, there are numerous ‘EPP exceptions’, even in English:

- (67) a. As (*it) is well known ...
 b. As far as (*it) can be seen ...
 c. She is much smarter than (*it/*there) is her boss.
 d. (I) saw him yesterday. (I) was a bit surprised.

Facts of this sort should not, in my view, be interpreted such that EPP effects are uninteresting or unimportant, but they should get *some* theoretical interpretation.

‘EPP violations’ quite generally lead to ungrammaticality in English and they are commonly unacceptable or at least awkward in Icelandic too (cf. Kosmeijer 1993), as illustrated in (68) (the minus sign in front of (68a) denotes a variable and a commonly degraded acceptability – my own judgement is a single question mark):

- (68) a. – Ég vona að ___ verði ekki of kalt til að synda.
 I hope that will-be not too cold for to swim
 Intended: ‘I hope it won’t be too cold to swim.’
 b. Ég vona að það verði ekki of kalt til að synda.
 I hope that it will-be not too cold for to swim
 c. Ég vona að ekki verði of kalt til að synda.
 I hope that not will-be too cold for to swim

Thus, it seems clear that filling the left edge is in some sense a ‘desirable goal’. Leaving it empty is typically construction specific, that is, clauses with a phonologically empty left edge strongly tend to serve some special function, as partly illustrated in (69):

- (69) a. ___ Hafa þau lesið bókina? Question
 have.3PL they read book.the
 b. ___ Lásu þau því bókina og ... Narrative Inversion³⁹
 read.3PL they thus book.the and

³⁹ Typical of certain narrative texts, signalling ‘narrative cohesion’ (usually conditioned by a continuous aboutness topic).

- c. ‘Thus they read the book and’
 ___ Las ___ hana ekki. Colloquial argument drop
 (I) read.1SG it not
 ‘I didn’t read it.’

Facts like (67) and (69) suggests that the FILLED LEFT EDGE generalization in (70) applies:

- (70) Fill the left edge unless leaving it empty serves some special function X,
X subject to cross-linguistic variation

This generalization has a suspicious conspiracy flavor and is arguably not a Narrow Syntax principle nor does it even seem to follow from any general properties of Universal Grammar. Nonetheless, it is evidently a part of the externalization strategies applied by individual languages such as English and Icelandic, that is, it seems to be a common PF or *performance* rule or target.

Arguably, silent but syntactically active elements are present in the left edge of all the clauses in (69): a question particle (operator) in (69a), a ‘continuous topic’ in (69b), and a positively set logophoric agent feature, $+\Lambda_A$, in (69c).⁴⁰ More problematically, however, there are also some true exceptions from Filled Left Edge, that is, cases where leaving the left edge empty does *not* seem to serve any special function:

- (71) a. Við förum þegar ___ verður kallað á okkur.
we go when will-be.3SG called on us
'We will go when somebody calls (for) us.'
- b. Getum við farið eitthvert þar sem ___ er betra næði?
can we go somewhere where that is.3SG better peace
'Can we go somewhere where it is more quiet?'
- c. Við fórum ekki fyrr en eftir að ___ byrjaði að rigna.
we went not before than after that began.3SG to rain
'We did not leave until after it began raining.'
- d. Láttu mig vita ef ___ slokknar ekki á ljósinu.
let me know if goes-out.3SG not on light.the
'Let me know if the light does not go out.'
- e. Það er ekki satt, eins og ___ sést á þessu.
it is not true, as is-seen.3SG on this
'It is not true, as can be seen by this.'

⁴⁰ Actually, these elements are only segmentally silent, as clauses containing them have different prosodic properties (or so my strong intuitions tell me, at least, but I have not made any measurements of this). Since these elements thus have both semantic and phonological correlates, they are arguably syntactically present.

- f. Þetta var galli sem ég hélt að ___ mætti laga.
 this was flaw that I though that might.3SG fix
 ‘This was a flaw I though one could fix.’

It has sometimes been claimed or assumed that examples like these are marked and rare (e.g. Kosmejer 1993), but they are neutral, and comparable authentic examples are easily found (e.g., on Google).

Icelandic ‘true EPP violations’ of this sort are confined to impersonal constructions. One might therefore wish to argue that they contain an expletive or an impersonal *pro* which matches Pn and the CP speech event features under distant Agree (cf. Holmberg 2005 on somewhat similar facts in Finnish). There are two problems with this approach, though. First, EPP effects, both NP movement and Filled Left Edge, are *overt* effects. It frankly does not seem to make much sense to assume that these overt effects are *obligatorily* triggered by feature matching relations and then say that these same feature matching requirements may be satisfied by silent (semantically meagre) elements. Second, both the expletive and Stylistic Fronting are optional in many examples of this kind:

- (72) a. Láttu mig vita ef ___ slokknar ekki á ljósinu. = (71d)
 let me know if goes-out.3SG not on light.the
 ‘Let me know if the light does not go out.’
 b. Láttu mig vita ef **það** slokknar ekki á ljósinu.
 let me know if it goes-out.3SG not on light.the
 c. Láttu mig vita ef **ekki** slokknar á ljósinu.
 let me know if not goes-out.3SG on light.the

The unavoidable conclusion is that the matching correlations signalled by merger of *það* and Stylistic Fronting need not be overtly expressed. In other words, Filled Left Edge seems to be a performance target, a ‘desirable PF goal’.

If this is the right conclusion, much of traditional ‘syntax’ operations actually take place in PF, rather than in Narrow Syntax in the minimalist sense. Another and perhaps a more positive way to put this is to say that PF is more complex and ‘more syntactic’ than often assumed (even more radically so than argued in Sigurðsson 2006b, 2007b).

7. Conclusion

In this paper, I have discussed clausal structure and EPP effects, in particular in the light of facts and phenomena in (English and) Icelandic, mainly NP-movement, expletive merger and Stylistic

Fronting. I have argued that these overt EPP effects illustrate that we need to tease apart the covert matching mechanisms behind them, that is:

- I. Matching of grammatical Person, **Pn**, in the IP domain
- II. Matching of **Fin**(iteness), at the IP/CP boarder
- III. Matching of speech event participants, **Λ** ('speaker' / 'hearer'), higher in the CP domain
- IV. Matching of a Context-Linking feature, **CLn**, still higher in the CP domain

While NP-movement regularly involves relations I-III, expletive merger involves only (negative) Fin and Λ matching and Stylistic Fronting only (negative) Λ matching. The latter two phenomena, as well as topicalization (involving CLn-matching), yield a *Filled Left Edge*, i.e., they show or bear witness to the *Filled Left Edge Effect*, FLEE. NP-movement commonly does so too (when the moved NP is clause-initial).

All this seems to be amenable to a strictly syntactic analysis. Problematically for such an analysis however, there are numerous true exceptions from Filled Left Edge, that is, cases where leaving the left edge empty (by not applying any of the available left edge lexicalization strategies) does *not* serve any special function, as far as can be seen. This suggests that Filled Left Edge might be a performance target, that is, a 'desirable PF goal' rather than a syntactic requirement.

Plausibly, Narrow Syntax is a universal, largely invariant system (cf. Hauser et al. 2002), only indirectly related to a very 'syntactic' but more variable performance or externalization system, commonly referred to as PF. Since at least a part of this externalization component must be common to both vocally and manually/facially expressed language, it is appropriately referred to as PERCEPTIBLE FORM (rather than merely as Phonological Form). It is evidently an extremely powerful motoric system, even though it is not part of universal Narrow Syntax or I(nternal)-Language. Perhaps not surprisingly, it seems that EPP effects arise in this system, rather than in syntax or I-language in the narrow sense.

References

- Benveniste, Émile. 1966. *Problèmes de linguistique générale*. Paris: Gallimard [English translation: 1971. *Problems in General Linguistics*. Translated by Mary E. Meek. Cora Gables, FA: University of Miami Papers].
- Bianchi, Valentina. 2003. On Finiteness as Logophoric Anchoring. In *Temps et point de vue/ Tense and point of view*, ed. Jacqueline Guéron and Liliane Tasmovski, 213–246. Université Paris X.
- Bianchi, Valentina. 2006. On the syntax of personal arguments. *Lingua* 116:2023–2067.
- Boeckx, Cedric. 2007. Case and Agreement – The Syntax of A-Dependencies. Ms. Harvard University.
- Branigan, Philip. 1996. Verb-second and the A-bar syntax of subjects. *Studia Linguistica* 50:50–79.

- Burton-Roberts, Noel and Geoffrey Poole. 2006. 'Virtual conceptual necessity', feature dissociation and the Saussurean legacy in generative grammar. *Journal of Linguistics* 42:575–628.
- Cardinaletti, Anna. 2003. Stylistic Fronting in Italian. In *Grammatik i fokus / Grammar in Focus*. Festschrift for Christer Platzack 18 November 2003, ed. by Lars-Olof Delsing, Cecilia Falk, Gunlög Josefsson, and Halldór Ármann Sigurðsson, Vol II: 47–55. Lund: Department of Scandinavian Languages.
- Chomsky, Noam. 1981. *Lectures on Government and Binding*. Dordrecht: Foris.
- Chomsky, Noam. 1995. *The Minimalist Program*. Cambridge, Mass: MIT Press.
- Chomsky, Noam. 2000. Minimalist inquiries: the framework. In *Step by Step: Essays on Minimalist Syntax in Honor of Howard Lasnik*, ed. by Roger Martin, David Michaels, and Juan Uriagereka, 89–155. Cambridge, MA: MIT Press.
- Chomsky, Noam. 2001. Derivation by phase. In *Ken Hale: A Life in Language*, ed. by Michael Kenstowicz, 1–52. Cambridge, Massachusetts: The MIT Press.
- Chomsky, Noam. 2004. Beyond explanatory adequacy. In *Structures and Beyond - The Cartography of Syntactic Structure*, Vol. 3, ed. Adriana Belletti, 104–131. New York: Oxford University Press.
- Chomsky, Noam. 2005. On Phases. To appear in *Foundational Issues in Linguistic Theory*, ed. C. P. Otero et. al. Cambridge, MA: MIT Press.
- Chomsky, Noam. 2006. Approaching UG from Below. Ms.
- Déchaine, Rose-Marie, and Martina Wiltschko. 2002. Decomposing pronouns. *Linguistic Inquiry* 33:409–442.
- Di Domenico, Elisa. 2004. Placed, non-placed and anaphorically placed expressions. *Italian Journal of Linguistics / Rivista di Linguistica* 16:63–105.
- Epstein, Samuel David & T. Daniel Seely. 2006. *Derivations in Minimalism*. Cambridge: Cambridge University Press.
- Hauser, Marc D., Noam Chomsky and W. Tecumseh Fitch. 2002. The faculty of language: what is it, who has it, and how did it evolve? *Science* 298, 1569–1579.
- Holmberg, Anders. 1993. Two subject positions in IP in Mainland Scandinavian. *Working Papers in Scandinavian Syntax* 52:29–41.
- Holmberg, Anders. 2000. Scandinavian Stylistic Fronting: how any category can become an expletive. *Linguistic Inquiry* 31, 445–483.
- Holmberg, Anders. 2005. Is there a little pro? Evidence from Finnish. *Linguistic Inquiry* 36:533–564.
- Holmberg, Anders & Christer Platzack. 1995. *The Role of Inflection in Scandinavian Syntax*. Oxford: Oxford University Press.
- Hrafnbjargarson, Gunnar Hrafn. 2004. Stylistic Fronting. *Studia Linguistica* 58:88–134.
- Jakobson, Roman. 1959/1990. The Speech Event and the functions of language. In *On Language*, ed. by Linda R. Waugh and Monique Monville-Burston, 69–79. London: Harvard University Press.
- Jónsson, Jóhannes Gísli. 1991. Stylistic Fronting in Icelandic. *Working Papers in Scandinavian Syntax* 48, 1–43.
- Jónsson, Jóhannes Gísli. 1996. *Clausal Architecture and Case in Icelandic*. Doctoral dissertation, University of Massachusetts.
- Kosmeijer, Wim. 1993. *Barriers and Licensing*. Doctoral dissertation, University of Groningen.

- Lasnik, Howard. 2003. On the Extended Projection Principle. *Studies in Modern Grammar* 31:1–23.
- Magnússon, Friðrik. 1990. *Kjarnafærsla og það-innskot í aukasetningum í íslensku* [Topicalization and það-insertion in subordinate clauses in Icelandic]. Reykjavík: Institute of Linguistics.
- Maling, Joan. 1980. Inversion in embedded clauses in Icelandic. *Íslenskt mál og almenn málfræði* 2: 175–193 [republished 1990 in *Modern Icelandic Syntax*, Joan Maling and Annie Zaenen (eds), 71–91. San Diego: Academic Press].
- Maling, Joan. 2001. Dative: the heterogeneity of the mapping among morphological case, grammatical functions, and thematic roles. *Lingua* 111, 419–464.
- Maling, Joan. 2002. Það rignir þágufalli á Íslandi. Verbs with dative objects in Icelandic. *Íslenskt mál og almenn málfræði* 24: 31–105.
- Mohr, Sabine. 2005. *Clausal Architecture and Subject Positions: Impersonal Constructions in the Germanic Languages*. Amsterdam: John Benjamins.
- Ottósson, Kjartan G. 1989. VP-Specifier Subjects and the CP/IP Distinction in Icelandic and Mainland Scandinavian. *Working Papers in Scandinavian Syntax* 44, 89–100.
- Pesetsky, David. 1987. Wh-in-situ: Movement and unselective binding. In *The Representation of (In)definiteness*, ed. by Eric Reuland & G. B. Alice Meulen, 98–129. Cambridge, MA: MIT Press.
- Platzack, Christer. 1987. The Scandinavian Languages and the Null-Subject Parameter. *Natural Language and Linguistic Theory* 5:377–401.
- Platzack, Christer. 2001. The computational system as a minimal feature driven device and the tripartite TP/VP-hypothesis of the universal clause. *GLOW Newsletter* 46:46–47.
- Platzack, Christer. 2004. Agreement and the Person Phrase Hypothesis. *Working Papers in Scandinavian Syntax* 73:83–112.
- Rizzi, Luigi. 1997. The fine structure of the left periphery. In *Elements of Grammar. Handbook in Generative Syntax*, ed. by Liliane Haegeman, 281–337. Dordrecht: Kluwer.
- Rögnvaldsson, Eiríkur. 1984. Icelandic Word Order and það-insertion. *Working Papers in Scandinavian Syntax* 8.
- Rögnvaldsson, Eiríkur & Höskuldur Thráinsson. 1990. On Icelandic word order oncemore. In *Modern Icelandic Syntax*, ed. by Joan Maling and Annie Zaenen, 3–40. San Diego: Academic Press.
- Ross, John. R. 1970. On declarative sentences. In *Readings in English Transformational Grammar*, ed. by Roderick Jacobs & Peter Rosenbaum, 222–277. Waltham, MA: Ginn & Co.
- Schlenker, Philippe. 2003. A plea for monsters. *Linguistics and Philosophy* 26:29–120.
- Sigurðsson, Halldór Ármann. 1989. *Verbal Syntax and Case in Icelandic*. Doctoral dissertation, University of Lund. [Republished 1992 in Reykjavík: Institute of Linguistics].
- Sigurðsson, Halldór Ármann. 1990. Long distance reflexives and moods in Icelandic. In *Modern Icelandic Syntax. Syntax and Semantics* 24, ed. by Joan Maling and Annie Zaenen, 309–346. San Diego: Academic Press.
- Sigurðsson, Halldór Ármann. 1992. The case of quirky subjects. *Working Papers in Scandinavian Syntax* 49:1–26.
- Sigurðsson, Halldór Ármann. 1996. Icelandic finite verb agreement. *Working Papers in Scandinavian Syntax* 57:1–46 [see an electronic version on lingBuzz].

- Sigurðsson, Halldór Ármann. 1997. Stylistic Fronting. Ms., University of Iceland [presented at “Subjects, Expletives, and the EPP”, Tromsø].
- Sigurðsson, Halldór Ármann. 2000. The locus of case and agreement. *Working Papers in Scandinavian Syntax* 65:65–108.
- Sigurðsson, Halldór Ármann. 2003. Case: abstract vs. morphological. In *New Perspectives on Case Theory*, ed. by Ellen Brandner and Heike Zinzmeister, 223–268. Stanford: CSLI Publications.
- Sigurðsson, Halldór Ármann. 2004a. Meaningful silence, meaningless sounds. In *Linguistic Variation Yearbook 2004*, Volume 4, ed. by Pierre Pica et al., 235–259. Amsterdam & Philadelphia: John Benjamins [see an electronic version on lingBuzz].
- Sigurðsson, Halldór Ármann. 2004b. The syntax of Person, Tense, and speech features. *Italian Journal of Linguistics / Rivista di Linguistica* 16:219–251 [special issue, ed. by Valentina Bianchi and Ken Safir; see an electronic version on lingBuzz].
- Sigurðsson, Halldór Ármann. 2004c. Agree and agreement: Evidence from Germanic. In *Focus on Germanic Typology*, ed. by Werner Abraham, 61–103. Berlin: Akademie Verlag.
- Sigurðsson, Halldór Ármann. 2006a. The Nom/Acc alternation in Germanic. In *Issues in Comparative Germanic Syntax*, ed. by Jutta Hartmann and Laszlo Molnarfi, 13–50. Amsterdam & Philadelphia: John Benjamins [an electronic version on lingBuzz].
- Sigurðsson, Halldór Ármann. 2006b. Agree in syntax, agreement in signs. In *Agreement Systems*, ed. by Cedric Boeckx, 201–237. Amsterdam & Philadelphia: John Benjamins.
- Sigurðsson, Halldór Ármann. 2006c. The Nominative Puzzle and the Low Nominative Hypothesis. *Linguistic Inquiry* 37:289–308.
- Sigurðsson, Halldór Ármann. 2007a [written 2003]. Argument features, clausal structure and the computation. In *Argument Structure*, ed. by Tanmoy Bhattacharya, Eric Reuland, and Giorgos Spathas. Amsterdam & Philadelphia: John Benjamins
- Sigurðsson, Halldór Ármann. 2007b. Remarks on features. Ms. To appear in *Phases at the Interface*, ed. by Kleanthes Grohman. Berlin: Mouton de Gruyter [an electronic version on lingBuzz].
- Sigurðsson, Halldór Ármann. 2007c. The case of PRO. Ms., University of Lund [an electronic version on lingBuzz].
- Sigurðsson, Halldór Ármann and Anders Holmberg. 2007. Icelandic Dative Intervention. Ms. To appear in *Agreement Restrictions*, ed. by Roberta D’Alessandro et al. Berlin: Mouton de Gruyter [see an electronic version on lingBuzz].
- Speas, Margaret. 2004. Evidentiality, logophoricity and the syntactic representation of pragmatic features. *Lingua* 114/3:255–276.
- Tenny, Carol L. 2006. Evidentiality, experiencers, and the syntax of sentience in Japanese. *Journal of East Asian Linguistics* 15:245–288.
- Thráinsson, Höskuldur. 1979. *On Complementation in Icelandic*. New York: Garland.
- Thráinsson, Höskuldur. 2005. *Íslensk tunga III: Setningar* [Icelandic Language III: Syntax]. Reykjavík: Almenna bókafélagið.
- Thráinsson, Höskuldur. 2007. *The Syntax of Icelandic*. Cambridge: Cambridge University Press.
- Vangsnes, Øystein Alexander. 2002. Icelandic expletive constructions and the distribution of subject types. In *Subjects, Expletives, and the EPP*, ed. Peter Svenonius, 43–70. Oxford: Oxford University Press.

Zaenen, Annie. 1985. *Extraction Rules in Icelandic*. New York: Garland.

Zaenen, Annie, Joan Maling and Höskuldur Thráinsson. 1985. Case and grammatical functions: the Icelandic passive. *Natural Language and Linguistic Theory* 3:441–483.

SOL, Centre for Language and Literature
Lund University
Box 201, SE 221 00 Lund

halldor.sigurdsson@nordlund.lu.se
<http://www.sol.lu.se/staff/person.html?personid=349>