Memorization and the morphology-syntax divide: A cross-linguistic investigation

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This study investigates the memorization of complex lexical items from a crosslinguistic perspective and in the context of the debate about the demarcation between morphology and syntax. For this purpose, we conducted an experimental study in which German, French and English adjective-noun/noun-adjective combinations (e.g. Jungtourist, jeune touriste, YOUNG tourist, young TOURist) were tested with respect to how well they were memorized. Using existing nouns (e.g. Architekt, architecte, architect) as a baseline, we found evidence that the German AN constructions under investigation exhibit a memorization advantage in comparison to the French AN/NA constructions. We attribute the effect to the compound status of the German constructions as well as their morphological origin, in contrast to the syntactic source of the French constructions. For the English constructions, we considered stress (YOUNG tourist vs. young TOURist) to be a determining factor, which we hypothesized to interact with semantic compositionality. This interplay was examined in a second study, which revealed that non-compositional structures with initial stress (e.g. HARD shirt) gave rise to compound-like effects in comparison to the phrase-like compositional constructions with non-initial stress (e.g. short BRUSH). In conclusion, we argue for a cognitively grounded distinction between word-formation and syntax, where memorization has turned out to be a suitable test environment.

Keywords: compounds, phrases, memorization, mental lexicon, lexicalism

1. Introduction

Following the lexicalist tradition and assuming that morphological and syntactic products differ from each other categorically (e.g. Chomsky 1970; Di Sciullo & Williams 1987; Scalise & Guevara 2005: 182-183), the question arises of whether morphological constructions are better candidates for memory storage – an idea suggested in the literature (Wunderlich 1986: 209; Olsen 2000: 899). Therefore, the goal of the current paper is to investigate and compare the memorization of compounds/compound-like constructions and phrases/phrase-like constructions composed of an adjective and a noun. Specifically, we ask whether adjective-noun (henceforth: AN)/noun-adjective (henceforth: NA) constructions in German, French and English differ in terms of memorization and, if they do, what this contrast can tell us about the grammatical nature of these constructions as either morphological or syntactic. Based on the results of two experimental studies, we claim that items originating in the domain of morphology show a memorization advantage in comparison to items of syntactic provenance.

The structure of the paper unfolds as follows. In Chapter 2, the theoretical background of our studies will be discussed. We will define the terms compound as well as phrase and outline difficulties in clearly distinguishing between a compound and a phrase in English. Aiming at a clarification on empirical grounds, Chapter 3 will report on a psycholinguistic

study we conducted in order to go beyond a mere structural analysis by examining the cognitive reflexes, i.e. the memorization, of novel, non-lexicalized AN/NA constructions in the three aforementioned languages. The results obtained here will serve as the starting point for our second experiment that exclusively focused on the memorization of AN constructions in English (Chapter 4). Finally, Chapter 5 will discuss the implications of these two studies for the morphology-syntax divide and conclude our paper.

2. Theoretical background

In the literature, arguments for and against a principal distinction between morphology and syntax have been discussed for decades. While proponents of lexicalist conceptions emphasize the necessity to separate the two domains (e.g. Sadock 1985; Bisetto & Scalise 1999; Ackema & Neeleman 2004), other authors reject the idea and prefer a single grammatical module (e.g. Baker 1985; Lieber 1992; Kremers forthcoming). The debate on whether or not a categorical distinction between compounds and phrases needs to be assumed plays a crucial role in the present contribution and mirrors the two opposing views just mentioned. In order to find out whether compounds and phrases differ from each other, several factors have been investigated in the literature.

Inflection or, more precisely, inflectional agreement represents a typical factor discussed in the context of the separation between compounds and phrases. In German, AN compounds and AN phrases can be clearly distinguished on the basis of inflection: In a phrase, an inflectional suffix attaches to the adjective that agrees with the noun in terms of gender, number and case; in a compound, however, an adjective's root is attached to the nominal head without an inflectional marker (Becker 1992: 16). The phrase roter Barsch (red perch) and the compound *Rotbarsch* (red perch, 'rosefish') illustrate the contrast. In French, the same distinction is possible: While the construction grande mère (big mother) can be regarded as a phrase because the adjective and the noun agree in terms of number and gender, grand-mère (big-mother, 'grandmother') must be considered a compound due to the absence of agreement between the adjective and the noun. However, it is a well-known fact that the adjective almost always agrees with the noun in French (Bouchard 2002: 70-71; Treffers-Daller 2005: 487). In other words, French uses AN compounds only very rarely and, instead, mostly relies on AN/NA phrases. Since English relinquishes to the use of adjectival inflectional affixes at all, the criterion of inflectional agreement does not shed light on the problem here (Bell 2011: 142-143). All in all, we consider inflectional agreement to be the defining criterion of AN compounds and AN phrases. Put differently, while agreement between the adjective and the noun indicates phrasal status, the lack of agreement signals compoundhood. As a consequence, we will avoid the terms AN compound and AN phrase when referring to English AN constructions throughout the current paper because inflectional markers never occur in this language. Other factors such as those presented below cannot unambiguously separate compounds and phrases; however, they can confirm and, thus, further support the distinction between compounds and phrases. Therefore, it must be the goal to find as many features as possible that characterize compounds and demarcate them from phrases. By doing so, we might be able to underline the compound-phrase distinction in

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¹ Note that we will use construction as a neutral term throughout the paper and across all languages. Put differently, we will use it if we refer to compounds and phrases together or if it is not entirely clear, as in English, whether we deal with compounds or phrases.

languages like German and, in addition to that, argue which English AN constructions are at least compound-*like* and which are phrase-*like*.

Stress is one such factor. In German, AN compounds usually bear initial stress, whereas AN phrases typically carry non-initial stress (Motsch 2004: 379). In French, stress is not decisive in this respect and does not contribute to the compound-phrase distinction (Van Goethem 2009: 242). In English, initial stress has been considered to be an indication of compoundhood and non-initial stress, i.e. nuclear stress, a marker of syntactic constructions (Chomsky & Halle 1968: 17). Even though this observation has been criticized time and again (cf. Bauer 1998; Lieber & Štekauer 2009: 8-11 for a critical analysis of stress in English), it is generally plausible if we take the Germanic root that German and English have in common into account (Pereltsvaig 2012: 10). As just stated, German distinguishes AN compounds from AN phrases by means of inflection. Additionally, the distinction is almost always reflected in the stress pattern. Since the factor inflection is not available in English, we cannot surely define compounds and phrases but we can find arguments why certain AN constructions are compound-like and why others are phrase-like. So, we might regard constructions with initial stress as compound-like and those with non-initial stress as phraselike. Altogether, stress mostly confirms the distinction between AN compounds and AN phrases in German but is irrelevant in French. In English, the absence of an "independent argument" (Plag 2003: 139) such as inflectional agreement makes it difficult to evaluate the role of stress in this language. Despite this fact, we should keep stress in mind and try to examine its role in English more profoundly.

So far, in sum, we can clearly distinguish AN compounds and AN phrases in German and French. In the following, we will therefore consider constructions where the adjective agrees with the noun to be phrases and constructions without agreement to be compounds. Since English lacks the decisive structural criterion of inflectional agreement, we cannot be entirely sure whether AN constructions are compounds or phrases. However, we can transfer a factor such as stress that mostly supports the distinction between compounds and phrases from German to the closely related English language and see whether we detect further similarities between the two languages. If we do find more similarities, we have good reason to consider a specific English AN construction to be at least compound-like. The question remains of where we could find additional similarities between different languages. In the current paper, we examine the cognitive process of memorization and investigate it from a cross-linguistic perspective. In other words, if the memorization of English AN constructions with initial stress resembles the memorization of compounds rather than the memorization of phrases, we have an additional argument to call these English constructions compound-like constructions. The memorization of English AN constructions with non-initial stress, however, should be similar to the memorization of phrases if we want to regard them as phrase-like constructions.

The present paper is generally based on the idea that psycholinguistics might offer further insights to the compound-phrase distinction – an idea that has also been pursued in other studies. Mondini et al. (2002), for example, claim to have found empirical evidence for a fundamental distinction between AN/NA compounds and phrases in Italian. While analyzing the linguistic capacities of an aphasic individual, they detected that the patient had more difficulties in correctly inflecting the constituents of phrases compared to compounds.

² Note that the current paper ignores other factors that have been discussed in the context of the compoundphrase distinction such as, e.g., orthography, head position, syntactic accessibility or functional factors. In the present contribution, we focus on the factors of inflection, stress, semantic compositionality and memorization.

Mondini et al.'s (2002) examination is, however, problematic. First of all, by relying on our definition of AN compounds and AN/NA phrases outlined above, we state that inflectional agreement within a compound is impossible. Second, instead of comparing an aphasic's performance on compounds and phrases, Mondini et al. (2002) actually tested reactions to lexicalized and non-lexicalized stimuli. Lexicalization and the designation of particular concepts have been considered to be a decisive feature of compounds that distinguishes them from phrases (ten Hacken 2013: 100). However, it is a well-known fact that phrases can be subject to lexicalization as well (Bauer 1998: 67-68) and, thus, the status of lexicalization of a specific construction and its grammatical origin, i.e. morphological or syntactic, should be treated separately (Gaeta & Ricca 2009).

In another study, Kotowski et al. (2014: 195-196) concentrated on the comparison of AN compounds and AN phrases in German. They conducted a memorization experiment on three different days. On each day, participants were asked to memorize novel AN compounds and AN phrases along with a specific picture representing the object. After the memorization phase, participants were tested in a lexical-decision task and asked to decide whether a construction, i.e. a compound or phrase, accompanied the same image as in the memorization part. The authors found that subjects reacted more slowly and less accurately to the compounds that had not been memorized in the preceding phase than to the non-memorized phrases. Interestingly, their analysis did not reveal a significant difference between memorized compounds and memorized phrases. Based on these findings, Kotowski et al. (2014: 195-196) take the view that the process of memorization is able to make initial processing difficulties of compounds disappear. According to the authors, the markedness of compounds explains why they are initially more difficult to memorize than phrases. Having been memorized, however, compounds are accessed as easily as phrases.

In the current paper, we aim to further pursue the idea that compounds and phrases might cognitively deviate from each other. Instead of following a monolingual path as in Kotowski et al. (2014: 195-196), the present contribution addresses the controversial issue of the compound-phrase distinction from a cross-linguistic perspective, i.e. by contrasting German, French and English. Generally speaking, it attempts to find further empirical evidence to the widespread conception that morphological constructions seem to be more appropriate to be memorized than syntactic constructions. Although this suggestion harmonizes with most people's intuition, psycholinguistic evidence for this claim is still rare. The contrast between German compounds and French phrases as defined above might provide interesting insights in this respect. Furthermore, the paper aims to further examine the English language, where the clear structural marker of inflection is not available, by contrasting it to languages such as German, where inflection does represent the factor that clearly distinguishes compounds and phrases. Put differently, although we are not able to unambiguously define AN compounds in English and demarcate them from AN phrases, we can find arguments to call specific constructions compound-like rather than phrase-like and vice versa by comparing the cognitive reflexes across languages.

3. Experimental study 1

3.1 *General idea and goal of the study*

The experimental study reported in this chapter investigated the memorization of German AN compounds, French AN/NA phrases, English AN constructions with initial stress, i.e. compound-like constructions, and English AN constructions with non-initial stress, i.e. phrase-like constructions. The objective of the study was to examine whether compounds/compound-like constructions show a memorization advantage in comparison to phrases/phrase-like constructions.

3.2 Method

3.2.1 Participants

In our first study, we tested 35 participants, each of them belonging to one of the following four groups that only included native speakers of the respective language: A German group (nine native speakers, mean age: 24.11 years), a French group (eight native speakers, mean age: 20.63 years) and two English groups (nine native speakers in each group, mean age of EnglishA: 21.22 years, mean age of EnglishB: 21.33 years). While the first group of English native speakers, i.e. group EnglishA, was exclusively tested on AN constructions with initial stress, the second group, i.e. EnglishB, was only tested on AN constructions with non-initial stress. All subjects were recruited in the area of the university campus in Kassel (Germany).

3.2.2 Material

The items were presented to the subjects in their native language. We examined two different types of items: (a) Our experimental items were novel complex constructions composed of an adjective and a noun and (b) our control items (baseline) were existing nouns. These items were to be memorized on three different days (see §3.1.3). Our filler items were other novel complex AN/NA constructions and other existing nouns that were not to be memorized, i.e. different filler items were used on each test day. The novel complex AN/NA constructions that were not memorized (e.g. BLUE pilot, LONG motor) contained the same adjectives and nouns as the experimental items, i.e. the novel complex AN/NA constructions that were memorized (e.g. BLUE motor). All German AN constructions tested in the current study were compounds as the adjectives did not agree with the nouns. As opposed to this, all French AN/NA constructions were phrases as the adjectives always agreed with the nouns. In English, we regarded the AN constructions with initial stress as compound-like constructions and those with non-initial stress as phrase-like constructions. The items were controlled for several potentially confounding variables across the languages under investigation. The number of syllables of the constituents of the novel complex constructions (adjectives and nouns) and of the existing nouns was balanced across languages. The AN/NA constructions were trisyllabic, i.e. they were composed of monosyllabic adjectives and disyllabic nouns. The existing nouns were trisyllabic as well. Moreover, we controlled for the frequency of the adjectives and nouns, i.e. the constituents of the AN/NA constructions, and of the existing

³ The first author's judgment was decisive in order to determine the number of syllables of all items.

nouns by ensuring that a word, i.e. an adjective, a noun or an existing noun, in one language was located within the same frequency range as in the other languages (see Table 1 in van Heuven et al. 2014: 1180). Also, the mean frequencies of the constituents of the AN/NA constructions, the mean frequencies of the existing nouns (control items) and the mean frequencies of the existing nouns (filler items) did not significantly differ across languages. Lemma frequencies were measured in per million words (Gries & Newman 2013: 274-275) using the corpus interface IntelliText (Hartley et al. 2011). Furthermore, we ensured that all AN/NA constructions were not lexicalized by again consulting IntelliText where we used both the concordance and the frequency function. An item was defined as non-lexicalized if either it did not appear in the corpus (frequency = zero occurrences per million words) or, if it occurred, did not represent a name of a specific and well-known concept, i.e., e.g., it was a usual descriptive structure (Bakken 2006: 106; Plag 2006: 158; Gaeta & Ricca 2009: 38). So, for instance, young tourist appeared in the corpus but was regarded as a non-lexicalized and descriptive construction. We checked the AN/NA constructions in different spellings, i.e. we verified three possible options for the French and the English constructions (with a space, with a hyphen, without a space/hyphen between the constituents) but only two alternatives in German because AN compounds are never separated by a space in this language. Finally, we measured the duration in seconds of all items by using Praat (Boersma & Weenink 2012). The length of each item, i.e. of each AN/NA construction or existing noun, in one language was rounded to three decimal places and identical to the length of the same item in the other languages.

All items were recorded using Praat and the voice of a woman, who was 19 years old at the time of the recordings. She was a native speaker of the three above-named languages. As already mentioned in §3.1.1, we aimed to investigate both English AN constructions with initial stress (e.g. *YOUNG tourist*) and AN constructions with non-initial stress (e.g. *young TOURist*). Having asked the speaker to stress either the first or the second syllable of the AN construction, the first author verified the correctness of the stress pattern of each sound file by listening to it and visualizing it in an oscillogram in Praat. The auditory judgment had to be in accordance with the visual one. Table 1 contains all experimental and control items, i.e. the AN/NA constructions and existing nouns that had to be memorized on the three days.

Table 1: The experimental and control items^{4 5}

Item type	German	French	English
	Altkaffee	vieux café	old coffee
	Langroman	long roman	long novel
Experimental	Jungtourist	jeune touriste	young tourist
items	Graumuskel	muscle gris	gray muscle
	Blaumotor	moteur bleu	blue motor
	Dünnpilot	pilote mince	thin pilot
	Katalog	catalogue	catalog
	Kamera	caméra	camera
Control	Theater	théâtre	theater
items	Architekt	architecte	architect
	Professor	professeur	professor
	Festival	festival	festival

3.2.3 Procedure

All subjects were tested individually in our language laboratory on three different days, i.e. on days one, four and eight. The experiments of the study were carried out using the computer program E-Prime (Psychology Software Tools, Inc. 2010). Items were presented to subjects through headphones. On each of the three days, the experiment consisted of two phases, namely a memorization and, immediately following, a recall phase. By pressing a button, participants decided on their own when they wanted to start either of the two phases. Prior to the experiment on the first day, subjects were familiarized with the task by means of an instruction sheet, took a trial run and were given the opportunity to ask questions. Apart from the instruction sheet, we also provided instructions on the computer screen right before the respective phase. During the memorization phase, participants were asked to memorize both the experimental items, i.e. novel complex AN/NA constructions (e.g. Jungtourist, jeune touriste, YOUNG tourist, young TOURist), and the control items, i.e. existing nouns (e.g. Architekt, architecte, architect, architect) in their respective native language. A "+" preceded each of the items and appeared for 1.5 seconds on the screen. After that, subjects heard an item and were given 3.5 seconds to memorize it. The twelve items listed in Table 1 were presented in this phase. In the recall phase, subjects were requested to press a button labeled "Yes" if they heard an item that they had memorized in the memorization phase or a button labeled "No" if they were exposed to an item they had not memorized before. During the recall phase, again, a "+" preceded each item for 1.5 seconds before participants heard an item and had to press the "Yes"- or the "No"-button. We neither included a time limit nor a feedback slide. There were 24 items in the recall phase, i.e. the twelve items from Table 1,

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⁴ When controlling for the potentially confounding variables presented before Table 1, we took several spellings into account for the following German and English examples: *Altkaffee/Altcafé/Altcafe*, *gray muscle/grey muscle, catalog/catalogue, theater/theatre*.

⁵ Note that we summarized the groups EnglishA and EnglishB in Table 1. Both groups heard the same control items. The experimental items only differed in their stress pattern in that EnglishA heard only AN constructions with initial stress and EnglishB heard only AN constructions with non-initial stress.

⁶ The "Yes"-button was the STRG-button, i.e. the eighth button from the left in the lowest row on a keyboard.

⁷ The "No"-button was the ALT-button, i.e. the third button from the left in the lowest row on a keyboard.

six non-memorized AN/NA constructions and six non-memorized existing nouns. In sum, everybody heard every memorized item six times, i.e. on three days in two phases per day, and every non-memorized one only once, i.e. in the recall phase of one day. We used different non-memorized items on each day.

3.3 Main hypotheses

We did not expect the control items, i.e. the existing nouns, to differ across the three languages under investigation. In order to be able to compare different languages at all, we needed the control items to justify our investigation and rule out that effects found for the AN/NA constructions were due to independent reasons. We predicted, however, cross-linguistic differences among the AN/NA constructions. More specifically, we hypothesized a memorization advantage of morphological products in comparison to syntactic products. By relying on the definition presented in Chapter 2, we considered the German AN constructions to be compounds, i.e. morphological products. As opposed to that, we regarded the French AN/NA constructions to be phrases, i.e. syntactic products. Looking at English, we assumed that compound-like constructions, i.e. morphology-like constructions, carried initial stress, while phrase-like constructions, i.e. syntax-like constructions, took non-initial stress.

At this point, an exact definition of the term memorization advantage is still in order. In the current paper, the following two approaches were taken into consideration. First, a memorization advantage could be mirrored in the fact that compounds/compound-like constructions are responded to faster and/or more accurately in comparison to phrases/phraselike constructions on all three days together. Note, however, that this approach works in only one direction. That means, if phrases/phrase-like constructions are responded to faster and/or more accurately than compounds/compound-like constructions on all three days together, we cannot speak of a memorization advantage. Instead, this kind of advantage would originate in the fact that phrases/phrase-like constructions are the more usual or normal type in comparison to compounds/compound-like constructions (cf., e.g., ten Hacken 2013: 97). So, for instance, when comparing the experimental items of the two English groups, we have to keep in mind that non-initial stress is the default and unmarked pattern (Levi 1978: 41-42; Giegerich 1992: 252; Liberman & Sproat 1992: 134). It has been observed that unmarkedness goes hand in hand with higher frequency and, in turn, that a more frequent stress pattern causes faster responses (Bybee 1995: 237 referring to Greenberg 1966; Schiller et al. 2004: 237-238). Thus, a possible advantage of non-initial stress in English would not result from better memorization but rather from the frequency of the stress pattern. Therefore, we need the following, second definition of the term memorization advantage if phrases/phrase-like constructions are responded to faster and/or more accurately on all three days together or if the responses to phrases/phrase-like constructions and those to compounds/compound-like constructions do not differ on all three days together: Compounds/compound-like constructions might give rise to slower and/or less accurate reactions than phrases/phrase-like constructions on the first day but not on the consecutive day(s), i.e. on the second and/or third day. The latter suggestion is based on the aforementioned idea that phrases represent the default and more frequent pattern in a language (ten Hacken 2013: 97) and, thus, should give rise to shorter response times and fewer errors at the beginning, i.e. on the first day. If, despite the phrases' initial lead, compounds and phrases do no longer differ at a later stage of learning, i.e. on day two and/or three, we can interpret the greater improvement of compounds to mirror a memorization advantage.

The results were analyzed by using the statistical software Minitab (Minitab Inc. 2013). Since all subjects and all memorized items, i.e. all experimental and control items, reached an overall accuracy level of 70 percent or higher, no participants or memorized items had to be discarded from further analyses. Moreover, in the analysis of response latencies, we only included times associated with correct responses. The following analyses only refer to the memorized items within the range from 488 to 1416 ms. All in all, 86.19 and 92.54 percent of the responses to memorized items were used to analyze the dependent variables of RESPONSE TIME and RESPONSE ACCURACY, respectively.

4 x 2 x 3 repeated-measures ANOVAs by subject (F_1) and by item (F_2) were conducted for the two dependent variables RESPONSE TIME and RESPONSE ACCURACY. We included the following three fixed independent factors: LANGUAGE as a between-subject and within-item factor, ITEM TYPE as a within-subject and between-item factor and DAY as a within-subject and within-item factor. LANGUAGE had the four levels German, French, EnglishA and EnglishB, ITEM TYPE had the two levels experimental items and control items and DAY had the three levels 1, 2 and 3. SUBJECT represented a random factor in F_1 and ITEM was a random factor in F_2 .

Looking at RESPONSE TIME, there was no significant interaction of LANGUAGE x ITEM TYPE x DAY. The interaction of LANGUAGE x ITEM TYPE was very significant in F_1 and highly significant in F_2 ($F_1(3, 155) = 4.98$, p = .003; $F_2(3, 110) = 6.39$, p = .000). Moreover, our analysis revealed that the interaction of LANGUAGE x DAY was only significant in F_1 ($F_1(6, 155) = 2.32$, p = .036) and that the interaction of ITEM TYPE x DAY was not significant. Although the main effect of LANGUAGE was not significant in F_1 , it was highly significant in F_2 ($F_2(3, 110) = 22.87$, p = .000). The main effect of ITEM TYPE was highly significant ($F_1(1, 155) = 147.06$, p = .000; $F_2(1, 110) = 34.38$, p = .000) as was the main effect of DAY ($F_1(2, 155) = 14.86$, p = .000; $F_2(2, 110) = 15.20$, p = .000). The examination of RESPONSE ACCURACY showed that only the interaction of LANGUAGE x DAY (significance only in F_1 : $F_1(6, 155) = 2.28$, p = .039) and the main effect of DAY ($F_1(2, 155) = 3.70$, p = .027; $F_2(2, 110) = 3.54$, p = .032) were significant. All other interactions and main effects were not significant.

Since our main interest was the contrast of the two levels of the factor ITEM TYPE across the languages examined in the study, we conducted Tukey multiple comparisons for the significant interaction of LANGUAGE x ITEM TYPE (RESPONSE TIME). The following results display effects for F_2 . The latencies of the French control items did not significantly differ from those of the German control items (Difference of means (henceforth: DM) = -35.6, t = -1.71, p = .682). The reaction times of both the German and the French control items, however, highly (very) significantly differed from the response latencies of the control items of the two English groups (EnglishA versus German: DM = -117.5, t = -5.64, p = .000; EnglishB versus German: DM = -113.8, t = -5.46, p = .000; French versus EnglishA: DM =

⁸ Outliers were excluded by means of a boxplot-analysis.

⁹ Note two general procedures that we apply throughout the paper. First, we follow Larson-Hall (2010: 103) by providing precise *p*-values. Second, we follow Bühl & Zöfel (2002: 111) by considering *p*-values \leq .05 to be significant, *p*-values \leq .01 to be very significant and *p*-values \leq .001 to be highly significant.

All p-values reported for Tukey multiple comparisons in the current paper are corrected p-values.

¹¹ Since the control items are not hypothesized to significantly differ across languages, we report these non-significant values here.

81.8, t = 3.93, p = .004; French versus EnglishB: DM = 78.2, t = 3.75, p = .007). The response times of the control items of the two English groups did not significantly differ (DM = 3.6, t = 0.17; p = 1.000). Since our baseline only worked for two comparisons, i.e. the German control items did not significantly differ from the French control items and the control items of EnglishA did not significantly differ from the control items of EnglishB, we focused on these two comparisons when looking at the experimental items. Latencies for the French experimental items were significantly longer than the reactions to the German experimental items (DM = 65.0, t = 3.12, p = .046). Interestingly, the response times to the German control items did not significantly differ from the reaction times to the experimental items of the same language although the former were shorter in duration and higher in frequency than the latter (DM = -52.9, t = -2.54, p = .191). In the French and the two English groups, the control items were always responded to highly significantly faster than the experimental items (French: DM = -153.5, t = -7.37, p = .000; EnglishA: DM = -167.5, t = -167.58.04, p = .000; EnglishB: DM = -98.7, t = -4.74, p = .000). Moreover, subjects responded to the English experimental items with non-initial stress significantly faster than to the experimental items with initial stress (DM = -65.2, t = -3.13, p = .045). Figure 1 summarizes the results reported in this paragraph.

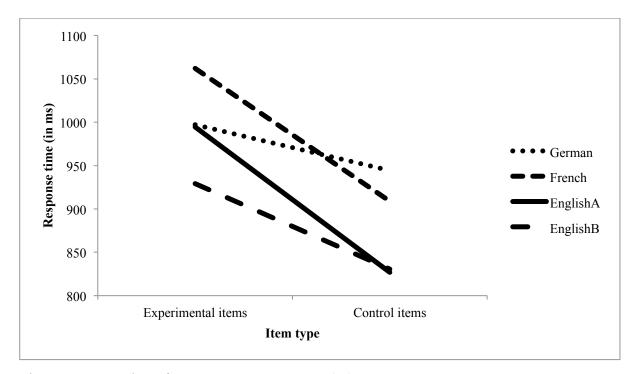


Figure 1: Interaction of LANGUAGE x ITEM TYPE (F₂)

We have already mentioned that the analysis of the results on all three days together can be problematic because, for instance, non-initial stress represents the more frequent pattern in the case of AN constructions in English. For this reason, we conducted an analysis on the individual days. In statistical terms, we carried out Tukey multiple comparisons of the interaction of LANGUAGE x ITEM TYPE x DAY in F₂ but did not find a significant result, i.e. the

¹² Possible reasons for this effect cannot be discussed in the current paper.

comparison between the reaction times of the experimental items with non-initial stress and those with initial stress did not show a significant difference on any of the three days.¹³

3.5 Discussion

Having ensured that our baseline worked for the comparisons between German and French as well as between the two English groups, we were able to contrast the respective AN/NA constructions, i.e. the experimental items. We interpret the finding that the German AN compounds showed faster response latencies on all three days together than the French AN/NA phrases as a memorization advantage of the German compounds. Compounds seem thus to be more prone to be memorized than phrases. The results revealed for the comparisons between the two item types, i.e. between the experimental and the control items, within the individual languages further support this tendency: Whereas the responses to the two item types significantly differed in French as well as in the two English groups, we did not detect a significant difference in German. In our opinion, this finding mirrors the word-like character of German AN compounds because their latencies did not deviate from those of the existing nouns, i.e. typical words, of the same language.

Due to the differences in the frequencies of the two stress patterns in English AN constructions, we analyzed the data for EnglishA and EnglishB on the individual days but did not find significant differences. Thus, our analysis did not reveal a memorization advantage for one group of English AN constructions in comparison to the other group of English AN constructions.

4. Experimental study 2

4.1 *General idea and goal of the study*

The experimental study reported in this chapter examined the interplay between stress distribution and semantic compositionality in English AN constructions in the context of memorization. In particular, we asked whether semantically non-compositional constructions with initial stress, i.e. compound-like constructions, showed a memorization advantage in comparison to semantically compositional constructions with non-initial stress, i.e. phrase-like constructions.

Our first study reported in Chapter 3 examined only one typical feature of AN compounding, i.e. initial stress. Apart from initial stress, semantic non-compositionality has been considered to be a common characteristic of compounds (Downing 1977: 820; Bücking 2009: 187). Interestingly, initial stress and semantic non-compositionality are not only closely connected to morphology, i.e. specifically to compounding, but also to lexicalization (Bauer 1983: 58; Giegerich 2004; 2005). If we now rely on Wunderlich (1986: 231) in assuming that lexicalization can follow memorization, the process under investigation in our first study, the question arises of whether non-compositional constructions with initial stress, i.e. constructions with typically morphological features, show a memorization advantage in

 $^{^{13}}$ Note that the analysis of the interaction of LANGUAGE x ITEM TYPE x DAY (F₂) did not reveal a significant difference between the response latencies of the German and the French experimental items on any of the three days either.

comparison to constructions with typically syntactic features such as compositional semantics and non-initial stress. The impact of the interaction of stress and semantic compositionality on the process of memorization was the topic of interest in our follow-up/second study reported in this chapter. Instead of focusing on the factor of stress only, as in the first study, we included semantic compositionality as a second factor in the follow-up study. Similar to the stress criterion, semantic compositionality cannot define compounds and phrases but it can possibly support the distinction and, as in English, provide arguments to call certain AN constructions compound-like but others phrase-like. In the first study outlined above, we primarily concentrated on fully compositional items such as young tourist. The results of a post-hoc survey (SoSci, Leiner 2014) we conducted revealed that participants did not find it difficult to imagine a referent for the AN constructions to be memorized in the first study in almost all cases (cf. also §4.1.2 for further information on the survey). We believe that this finding indicates the compositional character of the AN constructions listed in Table 1 and used in the first study.

4.2 Method

4.2.1 Participants

The data of 34 native speakers of English, who completed the whole experiment, was examined in the study. They were recruited in the area of the university campus in Kassel and were divided into two groups (17 subjects in each group). Both groups had to memorize the same items; however, complex AN items with initial stress in the first group carried noninitial stress in the second group and complex AN items with non-initial stress in the first group had initial stress in the second group. The mean age of the first group was 23.29 years and the mean age of the second group was 24.47 years.

4.2.2 Material

The sound files of the AN constructions to be memorized and investigated in the study were grouped into the following four conditions: (1) Semantically compositional items with noninitial stress (e.g. short BRUSH), (2) semantically compositional items with initial stress (e.g. SHORT brush), (3) semantically non-compositional items with non-initial stress (e.g. hard SHIRT) and (4) semantically non-compositional items with initial stress (e.g. HARD shirt). All items of these four conditions were to be memorized and represented our experimental items. Since we contrasted experimental items of the same language, we did not include control items. We used adjectives referring to physical properties, speed as well as dimensional adjectives and concrete/physical inanimate nouns. ¹⁴ All nouns and adjectives were monosyllabic. Since every item appeared with initial stress in one group and with noninitial stress in the other group, i.e., e.g., short BRUSH occurred in group one but SHORT brush appeared in group two, we did not have to worry about the potentially confounding variable of constituent frequency between the two levels of the factor of stress. However, we controlled for the frequency of the constituents between semantically compositional and noncompositional items. All lemma frequencies were gathered from the IntelliText interface and measured in per million words. The mean frequencies of the constituents (adjectives and

¹⁴ Cf., e.g., Dixon (1982: 16); Frawley (1992: 463); Motsch (2004: 321-322); Gallmann (2009: 146).

nouns) contained in semantically compositional items did not significantly differ from the mean frequencies of the constituents of the non-compositional items. The decision of whether an item was compositional or not was based on the authors' opinions and, importantly, consolidated in a survey (SoSci) asking English native speakers how easy/difficult it was for them to imagine that these items exist. Each item was rated on a scale from 1 (very very easy) to 6 (very very difficult). We considered a mean value below 3.5 to indicate compositionality and a mean value above 3.5 to signal non-compositionality. The results of our survey confirmed the idea, i.e. the eleven compositional items had a mean lower than 3.5 but the eleven non-compositional items had a mean higher than 3.5. The total mean values were 2.10 (compositional items) and 4.32 (non-compositional items). The difference was highly significant (t(20) = -10.00, p = .000). All items were recorded using the voice of a male native speaker of North-American English, who was 25 years old at the time of the recordings, and the computer program Praat (Boersma & Weenink 2014). Using the software, we also ensured that four sound files, i.e. one sound file of each of the four conditions mentioned at the beginning of §4.1.2, had the same duration in seconds (see §3.1.2). No construction was lexicalized (see §3.1.2). Using the procedure described in §3.1.2, the correct stress pattern of each item was verified. Table 2 presents all experimental items of the study. 13

Table 2: The experimental items

Semantically compositional items	Semantically non-compositional items	
short brush	hard shirt	
sharp nail	soft coin	
vast tent	sweet fence	
hot pipe	deep knife	
broad hat	warm pill	
dry cap	slow pen	
tall truck	loud desk	
cold hut	fast sock	
big shelf	full lamp	
thin dress	rough milk	
thick rope	sour bike	

The filler items were AN constructions consisting of the same adjectives and nouns as the experimental items but the adjectives and nouns were combined differently. Therefore, we automatically controlled for the variables number of syllables and frequency of the constituents. Further, we ensured that no filler item was lexicalized (see §3.1.2). Filler items had the same stress pattern as the experimental items sharing one of the two constituents in the respective group, i.e., e.g., SHORT brush was an experimental item in the group where SHORT pen was a filler item. In the other group, however, short BRUSH, and not SHORT brush, was an experimental item and short PEN, and not SHORT pen, was a filler item. The

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¹⁵ Note that the first group of subjects heard the first six compositional items and the first six non-compositional items with initial stress but the last five compositional items as well as the last five non-compositional items with non-initial stress. The second group of subjects heard the first six compositional items and the first six non-compositional items with non-initial stress but the last five compositional items and the last five non-compositional items with initial stress.

same speaker recorded all of these sound files with the same software. An item with initial stress had the same duration as the correspondent item with non-initial stress (see §3.1.2).

4.2.3 *Procedure*

The procedure was similar to the one described in §3.1.3. In the current study, however, two memorization phases preceded the recall phase on each of the three test days. Due to the greater number of constructions to be memorized, we decided to include an additional memorization phase in the study. Items were presented visually and auditorily in the first memorization phase but only auditorily in the second memorization and in the recall phase. During the supplementary phase, i.e. during the first memorization phase, each item was auditorily and visually presented with the visual presentation lasting for 3.5 seconds. All in all, subjects heard a total of 22 experimental items nine times, i.e. on three days in three phases per day, they read them three times, i.e. on three days in one phase per day, and they heard the filler items once, i.e. in one phase of one day. We used 22 filler items on each day.

4.3 Main hypotheses

Due to their higher frequency of usage and their lower degree of markedness, we expected the features non-initial stress and semantic compositionality to cause faster and more accurate reactions than initial stress and semantic non-compositionality (Liberman & Sproat 1992: 134; Giegerich 2009: 5-7). Therefore, the phrase-like constructions, i.e. the compositional constructions with non-initial stress, should trigger the fastest and most accurate responses when looking at all three days together. As opposed to that, subjects should be slower and less accurate when responding to the compound-like constructions, i.e. to the non-compositional constructions with initial stress. Comparing the two extremes, i.e. compound-like and phrase-like constructions, on the individual days, however, we hypothesized a greater memorization advantage of the compound-like constructions compared to the phrase-like constructions in that the former should differ from the latter at an early point during the experiment (e.g. day one) but not at a later point (e.g. day two).

4.4 *Results*

Again, we used Minitab to conduct the statistical analyses. None of the 34 subjects and none of the experimental items had to be excluded from further analyses since all of them reached the accuracy threshold of 70 percent. Only correct responses were included in the statistical analysis of the dependent variable of RESPONSE TIME. In the following, we focus on the analysis of responses given to the experimental items within a range from 596 to 1598 ms (RESPONSE TIME) or 596 to 1606 ms (RESPONSE ACCURACY)¹⁶. In sum, 82.71 and 91.44 percent of responses given to the experimental items were included in the following analyses on RESPONSE TIME and RESPONSE ACCURACY, respectively.

2 x 2 x 3 repeated-measures ANOVAs by subject (F₁) and by item (F₂) were conducted for the two dependent variables RESPONSE TIME and RESPONSE ACCURACY. We included the following three fixed independent factors: STRESS as a within-subject and within-item factor, SEMANTIC COMPOSITIONALITY as a within-subject and between-item factor

¹⁶ Outliers were discarded by using boxplots.

and DAY as a within-subject and within-item factor. STRESS had the two levels initial stress and non-initial stress, SEMANTIC COMPOSITIONALITY had the two levels semantic compositionality and semantic non-compositionality and DAY had the three levels 1, 2 and 3. SUBJECT represented a random factor in F_1 and ITEM was a random factor in F_2 .

The analysis of RESPONSE TIME revealed that none of the interactions reached significance. The main effect of STRESS reached high significance ($F_1(1, 363) = 21.77$, p = .000; $F_2(1, 100) = 14.20$, p = .000), the main effect of SEMANTIC COMPOSITIONALITY turned out to be very significant only in F_1 ($F_1(1, 363) = 9.83$, p = .002) and the main effect of DAY showed a highly significant result ($F_1(2, 363) = 121.66$, p = .000; $F_2(2, 100) = 100.99$, p = .000). Looking at the dependent variable of RESPONSE ACCURACY, our analysis showed that the interactions did not reach significance. Also, we did not find a significant main effect of STRESS. However, we found a very significant main effect of SEMANTIC COMPOSITIONALITY in F_1 ($F_1(1, 363) = 10.10$, p = .002) and a highly significant main effect of DAY ($F_1(2, 363) = 25.48$, p = .000; $F_2(2, 100) = 21.56$, p = .000).

We then directly compared the two poles of the interaction of STRESS x SEMANTIC COMPOSITIONALITY, i.e. the phrase-like constructions characterized by non-initial stress and compositional semantics and the compound-like constructions characterized by initial stress and non-compositional semantics, by using Tukey multiple comparisons. Our analysis of RESPONSE TIME revealed a highly significant difference in both F_1 and F_2 (DM₁ = -68.8, t_1 = -5.52, p_1 = .000; DM₂ = -61.3, t_2 = -4.40, p_2 = .000). The difference in F_1 is represented in Figure 2. The analysis of RESPONSE ACCURACY yielded a significant result only in F_1 (DM₁ = 5.69, t_1 = 3.05, p_1 = .012). However, as mentioned in §4.2, we do not interpret this trend to represent a memorization advantage. Instead, we can simply explain the difference by referring to the lower level of markedness of the phrase-like constructions.

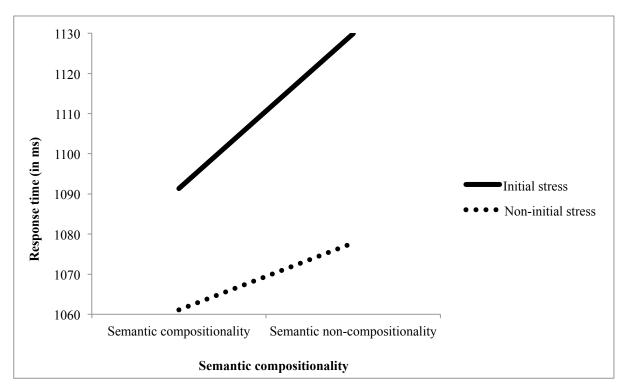


Figure 2: Interaction of STRESS X SEMANTIC COMPOSITIONALITY (F₁)

In the next step, we were particularly interested in the comparison between the phrase-like constructions, i.e. the semantically compositional items with non-initial stress, and the compound-like constructions, i.e. the semantically non-compositional items with initial stress, on the three individual days. The data of RESPONSE TIME showed a (highly) significant difference between the two groups on day one (DM₁ = -107.1, t_1 = -4.96, p_1 = .000; DM₂ = -81.1; t_2 = -3.36, p_2 = .048) but not on day two (DM₁ = -46.9, t_1 = -2.17, p_1 = .569; DM₂ = -50.3, t_2 = -2.09, t_2 = .633) and three (DM₁ = -52.2, t_1 = -2.42, t_2 = .393; DM₂ = -52.4, t_2 = -2.17, t_2 = .571). Figure 3 visualizes the comparisons in t_1 .

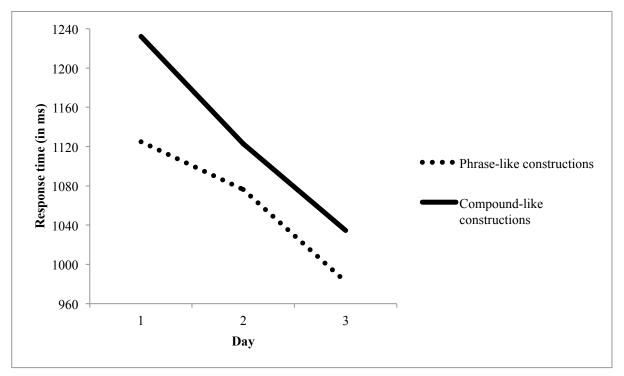


Figure 3: Phrase-like constructions (semantically compositional items with non-initial stress) versus compound-like constructions (semantically non-compositional items with initial stress) on the three test days (F_1)

4.5 Discussion

In our first study (Chapter 3), we contrasted the memorization of English AN constructions with initial stress to those with non-initial stress but did not find that any of the two kinds of constructions were memorized better. Since almost all items were fully compositional in their semantics in the first study, we decided to investigate the interaction of stress and semantic compositionality in our second study (Chapter 4) and revealed a greater improvement of semantically non-compositional constructions with initial stress compared to semantically compositional constructions bearing non-initial stress. It has been pointed out at the beginning of the current paper that it is not possible to clearly distinguish AN compounds from AN phrases in English because inflectional agreement between an adjective and a noun is never visible in this language. Nonetheless, apart from inflectional agreement, other factors have been investigated in the literature. Although these factors cannot unequivocally define compounds and phrases, they can confirm a distinction between compounds and phrases.

This becomes clear if we examine German. In this language, an AN compound can be clearly defined and kept apart from an AN phrase on a structural basis, i.e. by means of inflectional markers. In addition to that, it is well known that German AN compounds typically carry initial stress and tend to be semantically non-compositional, while AN phrases mostly bear non-initial stress and are usually semantically compositional. Moreover, it has been shown in the first study of the current paper as well as in Kotowski et al. (2014: 195-196) that German AN compounds show a memorization advantage in comparison to AN phrases. Taken together, it is possible to define German AN compounds as well as German AN phrases on structural grounds and, after that, observe features in these two kinds of constructions that do not unequivocally define but generally characterize them. If we now transfer these observations from German to another Germanic language, namely English, we still lack the defining criterion of inflectional agreement but we have good reasons to call semantically non-compositional AN constructions with initial stress compound-like constructions and semantically compositional constructions with non-initial stress phrase-like constructions. The current study provides additional arguments for this distinction as the compound-like constructions showed a memorization advantage in comparison to the phrase-like constructions. Initial stress, semantic non-compositionality and memorization affinity can be regarded as typically morphological characteristics.

5. General discussion and conclusion

The current investigation contributes to the ongoing debate about the demarcation between morphological and syntactic structure building. While the structural factor of inflection can be used to unambiguously distinguish a compound from a phrase in German and French, this factor cannot be used to do so in English. Our paper aimed both at further investigating the cognitive nature of morphological and syntactic constructions from a cross-linguistic perspective and at transferring tendencies from a language like German, where a clear structural distinction between compounds and phrases is possible, to English, where a clear structural separation is not possible. In general, German functioned as the starting point of the current contribution. Since the German AN constructions that were part of our first study can be unequivocally considered to figure as compounds on structural grounds and showed a memorization advantage in a previous study, we aimed at comparing the cognitive nature, specifically the affinity to be memorized, of these German compounds to that of AN/NA constructions in French and English in order to find further support for a grammatical distinction between compounds and phrases or, in the case of English, at least between compound-like and phrase-like constructions.

The results of our first study clearly point to a memorization advantage of compounds, i.e. the German AN constructions, in comparison to phrases, i.e. the French AN/NA constructions. The memorization advantage was expressed in the overall better reactions to the novel German compounds than to the novel French phrases. Note that responses to memorized existing nouns of these languages (e.g. *Architekt/architecte*) did not differ.

The contrast of the English AN constructions with initial stress to those with non-initial stress required the second definition of the notion of memorization advantage. Since we interpreted the overall better performance on all three days taken together on structures with non-initial stress to be due to the higher frequency of usage of this pattern, we separately

analyzed responses given on individual days. While this analysis did not reveal significant results as long as the focus was on semantically compositional constructions (Chapter 3), our second study (Chapter 4) showed interesting findings concerning the interaction of stress and semantic compositionality. Even though compound-like constructions, i.e. semantically non-compositional constructions with initial stress, were responded to significantly slower than phrase-like constructions, i.e. semantically compositional constructions with non-initial stress, on the first experimental day, the response times of these two item types did no longer differ on the two following test days. We interpret this effect to reflect a memorization advantage of compound-like constructions in comparison to phrase-like constructions.

In sum, we have found further empirical evidence for a cognitive distinction of compounds and phrases by comparing the memorization of German AN compounds to French AN/NA phrases. Although we cannot establish a structural distinction between AN compounds and AN phrases in English, our analysis has revealed that compound-like constructions in English show similar cognitive reflexes as German AN compounds, i.e. they show a memorization advantage in comparison to phrase-like constructions.

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Abbreviations

AN – Adjective-noun

DM – Difference of means

NA – Noun-adjective

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