# thys

## mitjakrebs

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	?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc
	?s; ?P-lookup (state.parent ?s) ? $v = Some ?u$ $\Longrightarrow \neg$
	¬ bfs.is-discovered ?P-lookup ?src (state.parent ?s) ?u 87

17.5.11 [alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup
?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete
?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup
?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar
?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc
?s; alt-bfs.P' ?Map-lookup ?Set-isin ?G2.0 (?P-lookup
$(state.parent ?s) ?u) ?u = (\neg alt-bfs.P ?Map-lookup)$
$?Set$ - $isin\ ?G2.0\ ?u\ ?v);\ \{\ ?u,\ ?v\} \in adjacency.E\ ?Map$ - $lookup$
$?Set ext{-}inorder\ (adjacency.union\ ?Map ext{-}update\ ?Map ext{-}lookup$
$?Map-inorder\ ?Set-insert\ ?Set-inorder\ ?G1.0\ ?G2.0);$
$bfs.is-discovered ?P-lookup ?src (state.parent ?s) ?u \land$
$?u \notin set (?Q\text{-}list (queue ?s))] \Longrightarrow \neg \neg bfs.is\text{-}discovered$
?P-lookup $?src$ (state.parent $?s$ ) $?v$ 88
17.5.12 alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder
?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin
?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup
$P-invar\ Q-empty\ Q-is-empty\ Q-head\ Q-tail\ Q-invar$
$?Q$ -list $?Map$ -update $?G1.0\ ?G2.0\ ?src\ ?P$ -update $?Q$ -snoc
?s $\Longrightarrow$ sorted-wrt ( $\lambda u \ v. \ path-length \ (rev \ (parent.follow))$
$(?P-lookup\ (state.parent\ ?s))\ u)) \leq path-length\ (rev$
$(parent.follow\ (?P-lookup\ (state.parent\ ?s))\ v)))\ (?Q-list$
$(queue ?s)) \dots \dots 88$
17.5.13 [alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup
?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete
?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup
$P-invar\ Q-empty\ Q-is-empty\ Q-head\ Q-tail\ Q-invar$
$?Q$ -list $?Map$ -update $?G1.0\ ?G2.0\ ?src\ ?P$ -update $?Q$ -snoc
$?s; \neg ?Q$ -is-empty (queue $?s)$ ] $\Longrightarrow$ path-length (rev
$(parent.follow\ (?P-lookup\ (state.parent\ ?s))\ (last\ (?Q-list$
$(queue ?s))))) \leq path-length (rev (parent.follow (?P-lookup))))$
$(state.parent ?s)) (?Q-head (queue ?s)))) + 1 \dots 89$
· · · · · · · · · · · · · · · · · · ·

		17.5.14 alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup
		?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete
		?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup
		?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar
		?Q-list $?Map$ -update $?G1.0 ?G2.0 ?src ?P-update ?Q-snoc$
		?s; Alternating-Path.alt-path (if alt-bfs.P'?Map-lookup
		?Set-isin ? $G2.0$ (? $P$ -lookup (state-parent ? $s$ ) ? $u$ ) ? $u$
		then Not $\circ$ ( $\lambda e.\ e \in adjacency.E$ ? Map-lookup? Set-inorder
		$(Ae.\ e \in adjacency.E\ ?Map-lookup\ ?Set-inorder$ $(Ae.\ e \in adjacency.E\ ?Map-lookup\ ?Set-inorder$
		$(G2.0)$ else (Ae. $e \in aajacency.E$ : Map-lookup : Set-moraer $(G2.0)$ ) (Not $\circ$ (if alt-bfs.P' ?Map-lookup ?Set-isin
		// (
		$?G2.0$ ( $?P$ -lookup (state.parent $?s$ ) $?u$ ) $?u$ then $Not \circ (Sat)$
		$(\lambda e.\ e \in adjacency.E\ ?Map-lookup\ ?Set-inorder\ ?G2.0)$
		else ( $\lambda e.\ e \in adjacency.E\ ?Map-lookup\ ?Set-inorder$
		?G2.0))) (adjacency.E ?Map-lookup ?Set-inorder (adjacency.union
		?Map-update ?Map-lookup ?Map-inorder ?Set-insert
		$?Set\text{-}inorder\ ?G1.0\ ?G2.0))\ ?p\ ?u\ ?v; \neg \neg\ bfs.is\text{-}discovered$
		$P$ -lookup $r$ (state.parent $r$ ) $u$ ; $\neg \neg bf$ s.is-discovered
		$P-lookup\ ?src\ (state.parent\ ?s)\ ?v \implies path-length$
		$(rev\ (parent.follow\ (?P-lookup\ (state.parent\ ?s))\ ?v))$
		$\leq path\text{-}length \ (rev \ (parent.follow \ (?P\text{-}lookup \ (state.parent))))))))))))))))))))))))))))))))))))$
		(s)) $(u)$ ) + path-length $(p)$
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		21.2.4 Correctness
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		U 1

```
22 Graph
                                                                           113
   theory Dgraph
 imports
   AGF.DDFS
begin
type-synonym 'a vertex = 'a
An edge in a directed graph is a pair of vertices.
type-synonym 'a \ edge = ('a \ vertex \times 'a \ vertex)
type-synonym 'a dgraph = 'a edge set
locale dgraph =
 fixes G :: 'a \ dgraph
Let us identify a few special types of graphs.
locale finite-dgraph = dgraph G for G +
 assumes finite-edges: finite G
lemma (in finite-dgraph) finite-vertices:
 shows finite (dVs G)
\mathbf{locale} \ \mathit{simple-dgraph} \ = \ \mathit{dgraph} \ G \ \mathbf{for} \ G \ +
 assumes no-loop: (u, v) \in G \Longrightarrow u \neq v
locale symmetric-dgraph = dgraph G for G +
 assumes symmetric: (u, v) \in G \longleftrightarrow (v, u) \in G
end
theory Dpath
 imports
   Dgraph
   Ports.Berge-to-DDFS
   Ports. Mit ja‐to‐DDFS
   Ports.Noschinski-to-DDFS
begin
A directed path (dpath and dpath-bet) is a sequence v_0, \ldots, v_k of vertices
such that (v_{i-1}, v_i) is an edge for every i = 1, \ldots, k.
type-synonym 'a dpath = 'a list
lemmas dpath-induct = edges-of-dpath.induct
lemma dpath-rev-induct:
 assumes P
 assumes \bigwedge v. P[v]
```

```
assumes \bigwedge v \ v' \ l. \ P \ (l @ [v]) \Longrightarrow P \ (l @ [v, v']) shows P \ p
```

The length of a *dpath* is the number of its edges.

```
abbreviation dpath-length :: 'a dpath \Rightarrow nat where dpath-length p \equiv length (edges-of-dpath p)
```

A simple directed path is a directed path in which all vertices are distinct. Any directed path can be transformed into a directed simple path via function *dpath-bet-to-distinct*.

```
lemma distinct-dpath-length-le-dpath-length:

assumes dpath-bet G p u v

shows dpath-length (dpath-bet-to-distinct G p) \leq dpath-length p
```

A vertex v is reachable from a vertex u if and only if there is a directed path from u to v.

```
lemma reachable-iff-dpath-bet:
shows reachable G u v ←→ (∃ p. dpath-bet G p u v)

lemma reachable-trans:
assumes reachable G u v
assumes reachable G u w
shows reachable G u w

end
theory Graph-Ext
imports
AGF.Berge
begin

type-synonym 'a vertex = 'a

An edge in an undirected graph is a set of vertices.
type-synonym 'a edge = 'a vertex set
```

Since this definition allows for hyperedges, we define a graph, as opposed to a hypergraph, as follows.

```
locale graph = fixes G :: 'a \ graph assumes graph : \forall \ e \in G. \ \exists \ u \ v. \ e = \{u, \ v\} lemma (in graph) graph-subset: assumes G' \subseteq G
```

type-synonym 'a graph = 'a edge set

```
shows graph G'
lemma graphs-eqI:
 assumes graph G1
 assumes graph G2
 assumes \bigwedge u \ v. \ \{u, v\} \in G1 \longleftrightarrow \{u, v\} \in G2
 shows G1 = G2
locale finite-graph = graph G for G +
 assumes finite-edges: finite G
lemma (in finite-graph) finite-vertices:
 shows finite (Vs G)
end
0.0.1 Adaptors
theory Graph-Adaptor
 imports
   ../Directed-Graph/Dgraph
   ../ Undirected-Graph/Graph-Ext
begin
```

An undirected graph can be viewed as a symmetric directed graph. Session AGF shows how to transform a *graph* into a symmetric *dgraph*. We extend, or rather, redo (parts of) their theory. Our issue with their theory is that the lemmas are inside a locale that assumes that the graph does not have loops. Most–if not all–of the lemmas hold even if the graph contains loops, though.

```
definition (in graph) dEs :: 'a \ dgraph where dEs \equiv \{(u, v). \ \{u, v\} \in G\}

lemma (in graph) dEs-symmetric: shows (u, v) \in dEs \longleftrightarrow (v, u) \in dEs

context finite-graph begin sublocale F: finite-dgraph dEs end

end
theory Misc-Ext imports
HOL-Library.Extended-Nat
HOL-Data-Structures.List-Ins-Del
HOL-Data-Structures.Set-Specs
begin
```

### 1 enat

```
lemma enat-add-strict-right-mono:
  fixes a \ b \ c :: enat
  assumes a < b
 assumes c \neq \infty
 shows a + c < b + c
\mathbf{lemma}\ \textit{enat-add-strict-left-mono}:
  fixes a \ b \ c :: enat
 assumes b < c
  assumes a \neq \infty
 shows a + b < a + c
lemma INF-in-image:
  fixes f :: 'a \Rightarrow enat
 assumes S-finite: finite S
 assumes S-non-empty: S \neq \{\}
 shows Inf(f,S) \in f,S
2
     list
2.1 length
lemma length-ge-2D:
 assumes 2 \le length l
 shows
   l \neq []
   tl\ l \neq []
   butlast l \neq []
lemma length-ge-2E:
  assumes 2 \le length l
  obtains x x s y where
   l = x \# xs @ [y]
\mathbf{lemma}\ \mathit{length-butlast-tl} :
  assumes 2 \le length l
 shows length (butlast (tl l)) = length l - 2
2.2
       distinct
\mathbf{lemma}\ distinct-ins-listD:
 assumes distinct (ins-list \ x \ xs)
 shows distinct xs
```

 $\mathbf{lemma}\ distinct$ -ins-listI:

```
assumes Sorted-Less.sorted xs
  assumes distinct xs
  shows distinct (ins-list x xs)
lemma distinct-ins-list-cong:
  assumes Sorted-Less.sorted xs
  shows distinct (ins-list x xs) = distinct xs
\mathbf{lemma}\ distinct	ext{-}imp	ext{-}hd	ext{-}not	ext{-}mem	ext{-}set	ext{-}tl:
  assumes l \neq []
  \mathbf{assumes}\ \mathit{distinct}\ l
  shows hd \ l \notin set \ (tl \ l)
\mathbf{lemma}\ distinct\text{-}imp\text{-}last\text{-}not\text{-}mem\text{-}set\text{-}butlast:
  assumes l \neq []
  assumes distinct l
  shows last l \notin set (butlast l)
2.3
        sorted-wrt
lemma sorted-wrt-imp-hd:
  assumes l-sorted-wrt: sorted-wrt P l
  assumes x-mem-l: x \in set l
  assumes x-not-hd: x \neq hd l
  shows P (hd l) x
\mathbf{lemma}\ sorted\text{-}wrt\text{-}imp\text{-}last\text{-}aux\text{:}
  assumes x-mem-l: x \in set l
  assumes x-neg-last: x \neq last l
  obtains i where
    i < length l - 1
    x = l ! i
\mathbf{lemma}\ sorted\text{-}wrt\text{-}imp\text{-}last:
  assumes l-sorted-wrt: sorted-wrt P l
  assumes x-mem-l: x \in set l
  assumes x-neq-last: x \neq last l
  shows P \ x \ (last \ l)
lemma sorted-wrt-if:
  assumes \bigwedge x \ y. \ x \in set \ l \Longrightarrow y \in set \ l \Longrightarrow P \ x \ y
  shows sorted-wrt P l
2.4
lemma list-split-tbd:
  assumes l \neq []
  assumes hd \ l \neq last \ l
```

```
obtains l' where
   l = hd \ l \# l' @ [last \ l]
\mathbf{lemma}\ butlast\text{-}tl\text{-}conv:
 assumes l1 \neq [
 assumes l2 \neq []
 assumes last l1 = hd l2
 shows butlast l1 @ l2 = l1 @ tl l2
     Set-by-Ordered
3
lemma (in Set-by-Ordered) inorder-distinct:
 assumes invar\ s
 shows distinct (inorder s)
end
theory Path
 imports
    Graph-Ext
   ../../Misc-Ext
begin
A path (path and walk-betw) is a sequence v_0, \ldots, v_k of vertices such that
v_{i-1}, v_i is an edge for every i = 1, \ldots, k.
type-synonym 'a path = 'a list
lemma pathI:
 assumes set (edges\text{-}of\text{-}path\ p)\subseteq G
 assumes set p \subseteq Vs G
 shows path G p
lemma walk-betw-induct [consumes 1]:
 assumes walk-betw G u p v
 assumes \bigwedge v. P[v]
 assumes \bigwedge u \ v \ vs. \ P \ (v \# vs) \Longrightarrow P \ (u \# v \# vs)
 shows P p
lemma walk-betw-induct-2 [consumes 1]:
 assumes walk-betw G u p v
 assumes P[v]
 assumes \bigwedge u. P[u, v]
 \mathbf{assumes} \  \, \big \langle u \ x \ \textit{xs.} \ P \ (x \ \# \ \textit{xs} \ @ \ [v]) \Longrightarrow P \ (u \ \# \ x \ \# \ \textit{xs} \ @ \ [v])
 shows P p
We can concatenate paths.
```

lemma walk-betw-appendI:

```
assumes walk-betw G u p v
  assumes walk-betw G v p' w
 shows walk-betw G u ((butlast p @ [v]) @ tl p') w
lemma edges-of-path-append:
  assumes walk-betw G u p v
 assumes walk-betw G v p' w
 shows edges-of-path ((butlast p @ [v]) @ tl p') = edges-of-path p @ edges-of-path
p'
lemma walk-betw-Cons-snocI:
  assumes walk-betw G v p x
  assumes \{u, v\} \in G
  assumes \{x, y\} \in G
  shows
    walk-betw G u (u \# p @ [y]) y
    \{u, v\} \in set \ (edges-of-path \ (u \# p @ [y]))
   \{x, y\} \in set (edges-of-path (u \# p @ [y]))
And we can split paths.
fun is-path-vertex-decomp: 'a graph \Rightarrow 'a path \Rightarrow 'a path \times 'a path \Rightarrow bool
 is-path-vertex-decomp G p v (q, r) \longleftrightarrow p = q @ tl r \land (\exists u \ w. \ walk-betw \ G \ u \ q \ v
\land walk-betw G \ v \ r \ w)
definition path-vertex-decomp :: 'a graph \Rightarrow 'a path \Rightarrow 'a path \times 'a path
  path-vertex-decomp \ G \ p \ v \equiv SOME \ qr. \ is-path-vertex-decomp \ G \ p \ v \ qr
abbreviation closed-path :: 'a graph \Rightarrow 'a path \Rightarrow 'a \Rightarrow bool where
  closed-path G c v \equiv walk-betw G v c v \land Suc 0 < length c
fun is-closed-path-decomp:: 'a graph \Rightarrow 'a path \times 'a path \times 'a path \times 'a path \Rightarrow
bool where
  is-closed-path-decomp G p (q, r, s) \longleftrightarrow
  p = q @ tl r @ tl s \wedge
  (\exists u \ v \ w. \ walk\text{-betw} \ G \ u \ q \ v \land closed\text{-path} \ G \ r \ v \land walk\text{-betw} \ G \ v \ s \ w) \land
   distinct q
definition closed-path-decomp :: 'a graph \Rightarrow 'a path \Rightarrow 'a path \times 'a path \times 'a path
where
  closed-path-decomp\ G\ p \equiv SOME\ grs.\ is-closed-path-decomp\ G\ p\ grs
definition distinct-path :: 'a graph \Rightarrow 'a path \Rightarrow 'a \Rightarrow 'a \Rightarrow bool where
```

A simple path (distinct-path) is a path in which all vertices are distinct.

distinct-path G p u  $v \equiv walk$ -betw G u p  $v \land distinct$  p

A vertex v is reachable from a vertex u if and only if there is a path from u to v.

```
definition reachable :: 'a graph \Rightarrow 'a \Rightarrow 'a \Rightarrow bool where reachable G u v \equiv \exists p. walk-betw G u p v

The length of a path is the number of its edges.

abbreviation path-length :: 'a path \Rightarrow nat where path-length p \equiv length (edges-of-path p)

end
theory Path-Adaptor
imports
.../Directed-Graph/Dpath
Graph-Adaptor
.../Undirected-Graph/Path
begin
```

Since undirected and directed paths are defined in a very similar way, it is no surprise that the transition between them is very smooth.

```
lemmas path-induct = dpath-induct

lemmas path-rev-induct = dpath-rev-induct

lemma (in graph) path-length-eq-dpath-length:

shows path-length p = dpath-length p

lemma (in graph) path-iff-dpath:

shows path G p \longleftrightarrow dpath dEs p

lemma (in graph) walk-betw-iff-dpath-bet:

shows walk-betw G u p v \longleftrightarrow dpath-bet dEs p u v

lemma (in graph) reachable-iff-reachable:

shows reachable G u v \longleftrightarrow Noschinski-to-DDFS.reachable dEs u v

end

theory Odd-Cycle

imports

Path

begin
```

We redefine odd cycles—compared to the definition in session AGF—to also include loops for the following reason. We show that to find a shortest alternating path it suffices to consider a finite number of alternating paths. For this, we show that if there are no odd cycles, we can transform any alternating path into a simple alternating path by repeatedly removing cycles. If

we do not consider loops as odd cycles, however, and hence do not exclude them, removing a single loop may destroy the alternation of the path.

```
definition odd\text{-}cycle where odd\text{-}cycle p \equiv odd (path\text{-}length p) \land hd p = last p end theory Alternating\text{-}Path imports .../Adaptors/Path\text{-}Adaptor Odd\text{-}Cycle begin
```

We generalize this definition to arbitrary predicates P, Q: alt-list. The special case of an alternating path w.r.t. a matching M can then be obtained by instantiating the predicates as follows: alt-path.

```
definition alt\text{-}path :: ('a \ set \Rightarrow bool) \Rightarrow ('a \ set \Rightarrow bool) \Rightarrow 'a \ graph \Rightarrow 'a \ path \Rightarrow 'a \Rightarrow 'a \Rightarrow bool \ \mathbf{where}
alt\text{-}path \ P \ Q \ G \ p \ u \ v \equiv alt\text{-}list \ P \ Q \ (edges\text{-}of\text{-}path \ p) \land walk\text{-}betw \ G \ u \ p \ v
\mathbf{lemma} \ two\text{-}alt\text{-}pathsD\text{:}
\mathbf{assumes} \ alt\text{-}path \ P \ Q \ G \ p \ u \ v
\mathbf{assumes} \ alt\text{-}path \ P \ Q \ G \ q \ u \ v
\mathbf{assumes} \ \neg \ (\exists \ c. \ path \ G \ c \land odd\text{-}cycle \ c)
\mathbf{shows} \ odd \ (path\text{-}length \ p) = odd \ (path\text{-}length \ q)
```

As is the case for paths, we can reverse alternating paths.

We can concatenate alternating paths.

```
\begin{array}{l} \textbf{lemma} \ alt\text{-}path\text{-}ConsI\text{:}\\ \textbf{assumes} \ alt\text{-}path \ P \ Q \ G \ p \ v \ w\\ \textbf{assumes} \ \{u,\ v\} \in G\\ \textbf{assumes} \ Q \ \{u,\ v\}\\ \textbf{shows} \ alt\text{-}path \ Q \ P \ G \ (u \ \# \ p) \ u \ w \end{array}
```

```
lemma alt-path-snocI:
 assumes alt-path: alt-path P (Not \circ P) G (vs @ [v'', v']) u v'
 assumes alt: P \{v'', v'\} = (Not \circ P) \{v', v\}
 assumes edge: \{v', v\} \in G
 shows alt-path P (Not \circ P) G (vs @ [v'', v', v]) u v
lemma alt-path-snoc-oddI:
 assumes alt-path P Q G p u v
 assumes odd (path-length p)
 assumes \{v, w\} \in G
 assumes Q\{v, w\}
 shows alt-path P \ Q \ G \ (p \ @ \ [w]) \ u \ w
And we can split alternating paths.
lemma alt-path-pref:
 assumes alt-path P Q G (p @ v \# q) u w
 shows alt-path P Q G (p @ [v]) u v
lemma alt-path-pref-2:
 assumes alt-path P \ Q \ G \ (p @ q) \ u \ w
 assumes p \neq []
 shows alt-path P Q G p u (last p)
lemma alt-path-suf:
 assumes alt-path P (Not \circ P) G (p @ [v, v'] @ q) u w
 assumes P \{v, v'\}
 shows alt-path P (Not \circ P) G ([v, v'] @ q) v w
lemma alt-path-suf-2:
 assumes alt-path P (Not \circ P) G (p @ [v, v'] @ q) u w
 assumes \neg P \{v, v'\}
 shows alt-path (Not \circ P) P G ([v, v'] @ q) v w
\mathbf{lemma}\ \mathit{alt-path-subst-pref}\colon
 assumes alt-path P \ Q \ G \ (p @ v \# q) \ u \ w
 assumes alt-path P Q G p' u v
 assumes \neg (\exists c. path G c \land odd\text{-}cycle c)
 shows alt-path P Q G (p' @ q) u w
definition distinct-alt-path :: ('a\ set \Rightarrow bool) \Rightarrow ('a\ set \Rightarrow bool) \Rightarrow 'a\ graph \Rightarrow 'a
path \Rightarrow 'a \Rightarrow 'a \Rightarrow bool  where
  \textit{distinct-alt-path P Q G p u v} \equiv \textit{alt-path P Q G p u v} \land \textit{distinct p}
```

A simple alternating path (*distinct-alt-path*) is an alternating path in which all vertices are distinct.

```
lemma (in finite-graph) distinct-alt-paths-finite:

shows finite {p. distinct-alt-path P Q G p u v}
```

**lemma** (in graph) distinct-alt-path-alt-path-to-distinct:

If there are no odd-length cycles, we can transform any alternating path into a simple alternating path by repeatedly removing cycles. Removing an odd-length cycle, however, may destroy the alternation of the path.

```
assumes alt-path P Q G p u v
 assumes \neg (\exists c. path G c \land odd\text{-}cycle c)
 shows distinct-alt-path P Q G (path-to-distinct p) u v
Finally, we define reachability via alternating paths in the natural way.
definition alt-reachable :: ('a \ set \Rightarrow bool) \Rightarrow ('a \ set \Rightarrow bool) \Rightarrow 'a \ graph \Rightarrow 'a \Rightarrow
'a \Rightarrow bool \text{ where}
  alt-reachable P \ Q \ G \ u \ v \equiv \exists \ p. \ alt-path \ P \ Q \ G \ p \ u \ v
theory Shortest-Path
  imports
    Path
begin
definition dist :: 'a graph \Rightarrow 'a \Rightarrow 'a \Rightarrow enat where
  dist G \ u \ v \equiv INF \ p \in \{p. \ walk-betw \ G \ u \ p \ v\}. enat (path-length p)
abbreviation is-shortest-path :: 'a graph \Rightarrow 'a path \Rightarrow 'a \Rightarrow 'a \Rightarrow bool where
  is-shortest-path G p u v \equiv walk-betw G u p v \wedge path-length p = dist G u v
end
theory Shortest-Alternating-Path
 imports
    Alternating-Path
    Shortest-Path
begin
```

We generalize the notion of shortest paths to alternating paths in the natural way.

```
definition alt-dist :: ('a set \Rightarrow bool) \Rightarrow ('a set \Rightarrow bool) \Rightarrow 'a graph \Rightarrow 'a \Rightarrow 'a \Rightarrow enat where alt-dist P Q G u v \equiv INF p \in \{p. alt-path P Q G p u v\}. enat (path-length p) definition is-shortest-alt-path :: ('a set \Rightarrow bool) \Rightarrow ('a set \Rightarrow bool) \Rightarrow 'a graph \Rightarrow 'a path \Rightarrow 'a \Rightarrow 'a \Rightarrow bool where
```

```
is-shortest-alt-path P Q G p u v \equiv path-length p = alt-dist P Q G u v \wedge alt-path
P Q G p u v
lemma alt-dist-le-alt-path-length:
   assumes alt-path P Q G p u v
   shows alt-dist P Q G u v \leq path-length p
\mathbf{lemma}\ \mathit{alt-dist-alt-reachable-conv}:
    shows alt-dist P \ Q \ G \ u \ v \neq \infty = alt-reachable P \ Q \ G \ u \ v
lemma (in graph) alt-dist-eq-shortest-distinct-alt-path-length:
    assumes \neg (\exists c. path G c \land odd\text{-}cycle c)
    shows
        alt\text{-}dist\ P\ Q\ G\ u\ v =
          (INF p \in \{p. distinct-alt-path P Q G p u v\}. enat (path-length p))
lemma (in finite-graph) is-shortest-alt-pathE:
    assumes alt-reachable P Q G u v
   assumes \neg (\exists c. path G c \land odd\text{-}cycle c)
   obtains p where is-shortest-alt-path P Q G p u v
Again, we can reverse shortest alternating paths.
\mathbf{lemma} \ (\mathbf{in} \ \mathit{finite-graph}) \ \mathit{is-shortest-alt-path-rev}I\colon
   assumes is-shortest-alt-path P Q G p u v
    assumes \neg (\exists c. path G c \land odd\text{-}cycle c)
    shows is-shortest-alt-path P \ Q \ G \ (rev \ p) \ v \ u \ \lor \ is-shortest-alt-path \ Q \ P \ G \ (rev \ p)
p) v u
And we can split shortest alternating paths.
lemma (in finite-graph) is-shortest-alt-path-pref:
   assumes is-shortest-alt-path P \ Q \ G \ (p @ v \# q) \ u \ w
   assumes \neg (\exists c. path G c \land odd\text{-}cycle c)
   shows is-shortest-alt-path P \ Q \ G \ (p \ @ \ [v]) \ u \ v
lemma (in finite-graph) is-shortest-alt-path-suf:
    assumes is-shortest-alt-path P \ Q \ G \ (p @ v \# q) \ u \ w
   assumes \neg (\exists c. path G c \land odd\text{-}cycle c)
   shows is-shortest-alt-path P \ Q \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ P \ G \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ Q \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ Q \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ Q \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ Q \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ Q \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ Q \ (v \# q) \ v \ w \lor is-shortest-alt-path \ Q \ Q \ (v \# q) \ v \ w \lor
q) v w
lemma (in finite-graph) is-shortest-alt-path-snoc-snocD:
    assumes is-shortest-alt-path P \ Q \ G \ (p \ @ \ [v, \ w]) \ u \ w
    assumes \neg (\exists c. path G c \land odd\text{-}cycle c)
   shows alt-dist P Q G u w = alt-dist P Q G u v + 1
```

end

```
theory Map-Specs-Ext
 \mathbf{imports}\ \mathit{HOL-Data-Structures}. \mathit{Map-Specs}
begin
      Map
4
definition (in Map) dom :: m \Rightarrow a set where
 dom \ m \equiv \{a. \ lookup \ m \ a \neq None\}
lemma (in Map) mem-dom-iff:
 shows a \in dom \ m \longleftrightarrow lookup \ m \ a \neq None
definition (in Map) ran :: 'm \Rightarrow 'b \ set where
 ran \ m \equiv \{b. \ \exists \ a. \ lookup \ m \ a = Some \ b\}
lemma (in Map) finite-dom-imp-finite-ran:
 assumes finite (dom m)
 shows finite (ran m)
5
     Map-by-Ordered
lemma map-of-eq-Some-imp-mem:
 assumes map\text{-}of\ l\ a = Some\ b
 shows (a, b) \in set l
lemma sorted-imp-distinct:
 assumes sorted l
 shows distinct l
lemma map-of-eq-Some-if-mem:
 assumes sorted1 l
 assumes (a, b) \in set l
 shows map\text{-}of\ l\ a = Some\ b
lemma map-of-eq-Some-iff-mem:
 assumes sorted1 l
 shows map\text{-}of\ l\ a = Some\ b \longleftrightarrow (a,\ b) \in set\ l
\mathbf{lemma} \ (\mathbf{in} \ \mathit{Map-by-Ordered}) \ \mathit{mem-inorder-iff-lookup-eq-Some}:
 assumes invar m
 shows lookup m \ a = Some \ b \longleftrightarrow (a, b) \in set \ (inorder \ m)
```

**lemma** (in Map-by-Ordered) dom-inorder-cong:

**shows** dom m = fst 'set (inorder m)

assumes invar m

```
lemma (in Map-by-Ordered) finite-dom:
 assumes invar m
 shows finite (dom m)
lemma (in Map-by-Ordered) finite-ran:
 assumes invar m
 shows finite (ran m)
lemma (in Map-by-Ordered) set-filter-inorder-cong:
 assumes invar m
 shows set (filter (\lambda p. fst \ p = a) (inorder m)) = (case lookup m a of None \Rightarrow {}
| Some b \Rightarrow \{(a, b)\})
lemma sorted1D:
 assumes sorted (a \# map fst ps)
 shows (a, y) \notin set ps
lemma sorted1D-2:
 assumes sorted (a \# map fst ps)
 assumes x < a
 shows (x, y) \notin set ps
lemma set-del-list-cong:
 assumes sorted1 l
 shows set (del-list x \ l) = set \ l - set (filter <math>(\lambda p. \ fst \ p = x) \ l)
lemma (in Map-by-Ordered) set-inorder-delete-cong:
 assumes invar m
 shows set (inorder\ (delete\ a\ m)) = set\ (inorder\ m) - (case\ lookup\ m\ a\ of\ None
\Rightarrow {} | Some b \Rightarrow {(a, b)})
lemma set-upd-list-cong:
 assumes sorted1 l
 shows set (upd\text{-}list\ x\ y\ l) = set\ l - set\ (filter\ (\lambda p.\ fst\ p = x)\ l) \cup \{(x,\ y)\}
lemma (in Map-by-Ordered) set-inorder-update-cong:
 assumes invar m
  shows set (inorder (update a \ b \ m)) = set (inorder m) - (case lookup m \ a of
None \Rightarrow \{\} \mid Some \ y \Rightarrow \{(a, y)\}) \cup \{(a, b)\}
end
theory Orderings-Ext
 imports Main
begin
```

```
instantiation prod :: (linorder, linorder) linorder
begin
abbreviation less-prod' where
 less-prod' p1 p2 \equiv
  case p1 of (a1::'a::linorder, b1::'b::linorder) \Rightarrow
    case p2 of (a2::'a::linorder, b2::'b::linorder) \Rightarrow
      if (a1 < a2) \lor (a1 = a2 \land b1 < b2) then True else False
definition less-prod where
  less-prod \equiv less-prod'
definition eq-prod where
  eq-prod p1 p2 \equiv
  case p1 of (a1::'a::linorder, b1::'b::linorder) \Rightarrow
    case p2 of (a2::'a::linorder, b2::'b::linorder) \Rightarrow
      if (a1 = a2) \land (b1 = b2) then True else False
definition less-eq-prod where
  less-eq-prod p1 p2 \equiv less-prod' p1 p2 \vee eq-prod p1 p2
instance
end
```

#### 5.1 Medium level

end

As mentioned above, a graph on the high level of abstraction is a set of edges. Hence, we would expect a graph to provide basic set operations such as insert, delete, union, intersection, and difference. Moreover, many graph algorithms, including breadth-first and depth-first search, involve iterating, or, folding, over all vertices adjacent to a given vertex. Thus, we would have liked to specify a graph on the medium level of abstraction via the following locales.

### 5.1.1 Adjacency structure

```
theory Adjacency
imports
   HOL-Data-Structures.Set-Specs
   ../../Map/Map-Specs-Ext
   ../../Orderings-Ext
begin
Ports
locale Adjacency-Structure =
```

```
fixes empty :: 'g
  fixes insert :: 'a::linorder \Rightarrow 'a \Rightarrow 'g \Rightarrow 'g
  fixes delete :: 'a \Rightarrow 'a \Rightarrow 'g \Rightarrow 'g
  fixes adj :: 'a \Rightarrow 'g \Rightarrow 'a \ list
  fixes inv :: 'q \Rightarrow bool
  assumes adj-empty: adj \ v \ empty = []
  assumes adj-insert:
    inv \ G \land Sorted-Less.sorted (adj \ u \ G) \Longrightarrow
     adj \ u \ (insert \ v \ w \ G) = (if \ u = v \ then \ ins-list \ w \ (adj \ u \ G) \ else \ adj \ u \ G)
  assumes adj-delete:
    inv \ G \land Sorted\text{-}Less.sorted (adj \ u \ G) \Longrightarrow
     adj \ u \ (delete \ v \ w \ G) = (if \ u = v \ then \ List-Ins-Del. del-list \ w \ (adj \ u \ G) \ else \ adj
u(G)
  assumes inv-empty: inv empty
 assumes inv-insert: inv G \wedge Sorted-Less.sorted (adj u G) \Longrightarrow inv (insert u v G)
 assumes inv-delete: inv G \wedge Sorted-Less.sorted (adj u G) \Longrightarrow inv (delete u v G)
locale Finite-Adjacency-Structure = Adjacency-Structure where insert = insert
  insert :: 'a:: linorder \Rightarrow 'a \Rightarrow 'g \Rightarrow 'g +
  assumes finite-domain-tbd: inv G \Longrightarrow finite \{v. \ adj \ v \ G \ne []\}
locale Adjacency-Structure-2 = Adjacency-Structure where insert = insert for
  insert :: 'a:: linorder \Rightarrow 'a \Rightarrow 'g \Rightarrow 'g +
  fixes union :: 'g \Rightarrow 'g \Rightarrow 'g
  fixes difference :: 'g \Rightarrow 'g \Rightarrow 'g
  assumes adj-union:
    [ inv G1; Sorted-Less.sorted (adj v G1); inv G2; Sorted-Less.sorted (adj v G2)

     adj \ v \ (union \ G1 \ G2) = fold \ ins-list \ (adj \ v \ G2) \ (adj \ v \ G1)
  assumes adj-difference:
    [ inv G1; Sorted-Less.sorted (adj v G1); inv G2; Sorted-Less.sorted (adj v G2)
     adj \ v \ (difference \ G1 \ G2) = fold \ List-Ins-Del. del-list \ (adj \ v \ G2) \ (adj \ v \ G1)
  assumes inv-union: inv G1 \Longrightarrow inv \ G2 \Longrightarrow inv \ (union \ G1 \ G2)
  assumes inv-difference: inv G1 \implies inv G2 \implies inv (difference G1 G2)
locale Finite-Adjacency-Structure-2 = Adjacency-Structure-2 where insert = in-
sert for
  insert :: 'a::linorder \Rightarrow 'a \Rightarrow 'g \Rightarrow 'g +
 assumes finite-domain-tbd: inv G \Longrightarrow finite \{v. \ adj \ v \ G \ne []\}
Unfortunately, we were not able to refactor in time the entire formalization
```

such that it uses locale Finite-Adjacency-Structure-2 instead of the following

```
locale adjacency =
 M: Map-by-Ordered where
 empty = Map-empty and
 update = Map-update and
```

```
delete = Map-delete and
  lookup = Map-lookup and
  inorder = Map-inorder and
  inv = Map-inv +
  S: Set-by-Ordered where
  empty = Set-empty and
  insert = Set-insert and
  delete = Set-delete and
  isin = Set-isin and
  inorder = Set\text{-}inorder and
  inv = Set-inv for
  Map-empty and
  Map\text{-}update :: 'a::linorder \Rightarrow 's \Rightarrow 'm \Rightarrow 'm \text{ and }
  Map-delete and
  Map-lookup and
  Map-inorder and
  Map-inv and
  Set-empty and
 Set\text{-}insert:: 'a \Rightarrow 's \Rightarrow 's \text{ and }
  Set-delete and
  Set-isin and
  Set-inorder and
  Set-inv
definition (in adjacency) invar :: m \Rightarrow bool where
  invar G \equiv M.invar G \wedge Ball (M.ran G) S.invar
definition (in adjacency) adjacency-list :: m \Rightarrow a \Rightarrow a list where
  adjacency-list G u \equiv case Map-lookup G u \text{ of } None \Rightarrow [] \mid Some s \Rightarrow Set-inorder
lemma (in adjacency) finite-adjacency:
 shows finite (set (adjacency-list G(v))
lemma (in adjacency) distinct-adjacency-list:
 assumes invar G
 shows distinct (adjacency-list G(v))
```

This locale specifies a graph as a *Map-by-Ordered* mapping a vertex to its adjacency, which is specified as a *Set-by-Ordered*.

We define graph operations insert, delete, union, as well as difference, and show that they correspond to the respective set operations in terms of *adjacency.adjacency-list*. Let us first look at how to insert an edge.

```
definition (in adjacency) insert :: 'a \times 'a \Rightarrow 'm \Rightarrow 'm where insert p \ G \equiv let u = fst \ p; \ v = snd \ p in let s = case \ Map-lookup \ G \ u \ of \ None \Rightarrow Set-empty \mid Some \ s' \Rightarrow s'
```

```
in Map-update u (Set-insert v s) G
lemma (in adjacency) invar-insert:
 assumes invar G
 shows invar (insert p G)
lemma (in adjacency) adjacency-list-insert-cong:
 assumes invar G
 shows
   adjacency-list (insert p G) w =
    (if w = fst \ p \ then \ ins-list \ (snd \ p) (adjacency-list G \ w) else adjacency-list G \ w)
lemma (in adjacency) adjacency-insert-cong:
 assumes invar G
 shows
   set (adjacency-list (insert p G) u) =
    set (adjacency-list G u) \cup (if u = fst p then <math>\{snd p\} else \{\}\})
lemma (in adjacency) invar-fold-insert:
 assumes invar G
 shows invar (fold insert l G)
lemma (in adjacency) adjacency-fold-insert-cong:
 assumes invar G
 shows
   set (adjacency-list (fold insert l G) v) =
    set (adjacency-list G(v) \cup (\bigcup p \in set \ l. \ if \ v = fst \ p \ then \{snd \ p\} \ else \{\})
definition (in adjacency) insert' :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm where
  insert' \equiv curry insert
lemma (in adjacency) invar-insert':
 assumes invar G
 shows invar (insert' u v G)
lemma (in adjacency) adjacency-list-insert'-cong:
 assumes invar G
 shows
   adjacency-list (insert' u \ v \ G) w =
    (if w = u then ins-list v (adjacency-list G w) else adjacency-list G w)
lemma (in adjacency) adjacency-insert'-cong:
 assumes invar G
 shows
   set (adjacency-list (insert' u v G) w) =
    set (adjacency-list\ G\ w) \cup (if\ w = u\ then\ \{v\}\ else\ \{\})
lemma (in adjacency) invar-fold-insert':
 assumes invar G
```

```
shows invar (fold (insert' u) l G)
lemma (in adjacency) adjacency-fold-insert'-cong:
 assumes invar G
 shows
   set (adjacency-list (fold (insert'u) l G) v) =
    set (adjacency-list\ G\ v) \cup (\bigcup w \in set\ l.\ if\ v = u\ then\ \{w\}\ else\ \{\})
Let us now look at how to delete an edge.
definition (in adjacency) delete :: 'a \times 'a \Rightarrow 'm \Rightarrow 'm where
  delete p G \equiv
  case Map-lookup G (fst p) of
    None \Rightarrow G
    Some s \Rightarrow Map-update (fst p) (Set-delete (snd p) s) G
lemma (in adjacency) invar-delete:
 assumes invar G
 shows invar (delete p G)
lemma (in adjacency) adjacency-list-delete-cong:
 assumes invar G
 shows
    adjacency-list (delete p G) w =
      (if \ w = fst \ p \ then \ List-Ins-Del. del-list \ (snd \ p) \ (adjacency-list \ G \ w) \ else
adjacency-list G(w)
definition (in adjacency) delete' :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm where
  delete' \equiv curry \ delete
lemma (in adjacency) invar-delete':
 assumes invar G
 \mathbf{shows}\ invar\ (\mathit{delete'}\ u\ v\ G)
lemma (in adjacency) adjacency-list-delete'-cong:
 assumes invar G
 shows
   adjacency-list (delete' u v G) w =
    (if \ w = u \ then \ List-Ins-Del. del-list \ v \ (adjacency-list \ G \ w) \ else \ adjacency-list \ G
w)
Let us now look at how to union two graphs.
definition (in adjacency) insert-2 :: 'a \times 's \Rightarrow 'm \Rightarrow 'm where
  insert-2 p G \equiv
  let v = fst p; s = snd p
   in let s' = case \ Map-lookup \ G \ v \ of \ None \Rightarrow s \mid Some \ s'' \Rightarrow fold \ Set-insert
(Set-inorder s) s''
     in\ Map-update\ v\ s'\ G
```

```
lemma (in adjacency) invar-insert-2:
 assumes invar G
 assumes S.invar (snd p)
 shows invar (insert-2 p G)
lemma (in adjacency) adjacency-insert-2-cong:
 assumes invar G
 assumes S.invar (snd p)
 shows
   set (adjacency-list (insert-2 p G) u) =
    set (adjacency-list\ G\ u) \cup (if\ u = fst\ p\ then\ S.set\ (snd\ p)\ else\ \{\})
lemma (in adjacency) invar-fold-insert-2:
 assumes invar G
 assumes Ball\ (set\ l)\ (S.invar\ \circ\ snd)
 shows invar (fold insert-2 l G)
lemma (in adjacency) adjacency-fold-insert-2-cong:
 assumes invar G
 assumes Ball\ (set\ l)\ (S.invar\ \circ\ snd)
 shows
   set (adjacency-list (fold insert-2 l G) v) =
    set (adjacency-list G(v)) \cup (\bigcup p \in set(l) if v = fst(p) then S.set(snd(p)) else \{\})
definition (in adjacency) union :: m \Rightarrow m \Rightarrow m \Rightarrow m where
  union G1 G2 \equiv fold insert-2 (Map-inorder G2) G1
lemma (in adjacency) invar-union:
 assumes invar G1
 assumes invar G2
 shows invar (union G1 G2)
lemma (in adjacency) adjacency-union-cong:
 assumes invar G1
 assumes invar G2
 shows
   set (adjacency-list (union G1 G2) v) =
    set\ (adjacency-list\ G1\ v)\cup set\ (adjacency-list\ G2\ v)
Finally, let us look at how to compute the difference of two graphs.
definition (in adjacency) delete-2 :: 'a \times 's \Rightarrow 'm \Rightarrow 'm where
  delete-2 p G \equiv
  let v = fst p; s = snd p
  in case Map-lookup G v of
       None \Rightarrow G
       Some s' \Rightarrow Map-update v (fold Set-delete (Set-inorder s) s') G
```

```
lemma (in adjacency) invar-delete-2:
 assumes invar G
 shows invar (delete-2 p G)
lemma (in adjacency) adjacency-delete-2-cong:
 assumes invar G
 shows
   set (adjacency-list (delete-2 p G) u) =
    set\ (adjacency-list\ G\ u)\ -\ (if\ u=fst\ p\ then\ S.set\ (snd\ p)\ else\ \{\})
lemma (in adjacency) invar-fold-delete-2:
 assumes invar G
 assumes Ball\ (set\ l)\ (S.invar\ \circ\ snd)
 shows invar (fold delete-2 l G)
lemma (in adjacency) adjacency-fold-delete-2-cong:
 assumes invar G
 assumes Ball\ (set\ l)\ (S.invar\ \circ\ snd)
 shows
   set (adjacency-list (fold delete-2 l G) v) =
    set (adjacency-list G(v)) – (\bigcup p \in set(l)) if v = fst(p) then S.set(snd(p)) else \{\})
definition (in adjacency) difference :: m \Rightarrow m \Rightarrow m where
 difference G1 G2 \equiv fold delete-2 (Map-inorder G2) G1
lemma (in adjacency) invar-difference:
 assumes invar G1
 assumes invar G2
 shows invar (difference G1 G2)
lemma (in adjacency) adjacency-difference-cong:
 assumes invar G1
 assumes invar G2
 shows
   set (adjacency-list (difference G1 G2) v) =
    set (adjacency-list G1 v) - set (adjacency-list G2 v)
We show that our specifications of operations insert and delete satisfy all
assumptions of locale Finite-Adjacency-Structure.
context adjacency
begin
sublocale G: Finite-Adjacency-Structure where
 empty = Map\text{-}empty and
 insert = insert' and
 delete = delete' and
 adj = (\lambda v \ G. \ adjacency-list \ G \ v) and
 inv = invar
```

```
end
```

```
abbreviation f::'a \Rightarrow 'a \Rightarrow 's \Rightarrow 's where
 f u v \equiv E\text{-}insert (u, v)
abbreviation g:: 'a \times 't \Rightarrow 's \Rightarrow 's where
 g p \equiv fold (f (fst p)) (Set-inorder (snd p))
abbreviation E :: 'm \Rightarrow 's where
  E \ G \equiv fold \ g \ (Map-inorder \ G) \ E-empty
lemma invar-f:
 assumes E.invar s
 shows E.invar (f u v s)
lemma set-f-cong:
 assumes E.invar s
 shows E.set (f u v s) = E.set s \cup \{(u, v)\}
lemma invar-fold-f:
 assumes E.invar s
 shows E.invar (fold (f u) l s)
lemma invar-g:
 assumes E.invar s
 shows E.invar (g p s)
lemma set-fold-f-cong:
 assumes E.invar s
 shows E.set (fold (f u) l s) = E.set s \cup \{u\} \times set l
lemma set-g-cong:
 assumes E.invar s
 shows E.set (g p s) = E.set s \cup \{fst p\} \times G.S.set (snd p)
lemma invar-fold-q:
 assumes E.invar s
 shows E.invar (fold g \mid s)
lemma invar-E:
 shows E.invar (E G)
lemma set-fold-g-cong:
 assumes E.invar s
 shows E.set (fold\ g\ l\ s) = E.set\ s \cup (\bigcup p \in set\ l.\ \{fst\ p\} \times G.S.set\ (snd\ p))
lemma set-E-cong:
 assumes G.invar G
 shows E.set (E G) = \{(u, v). v \in set (G.adjacency-list G u)\}
```

end

#### 5.1.2 Directed adjacency structure

```
theory Directed-Adjacency
imports
   Adjacency
   ../Directed-Graph/Dgraph
   ../Directed-Graph/Dpath
begin
```

An adjacency structure specified via the locale adjacency naturally induces a directed graph, where we have an edge from vertex u to vertex v if and only if v is contained in the adjacency of u.

```
definition (in adjacency) dE :: 'm \Rightarrow ('a \times 'a) set where dE \ G \equiv \{(u, v). \ v \in set \ (adjacency\text{-}list \ G \ u)\}

definition (in adjacency) dV :: 'm \Rightarrow 'a \text{ set where}
dV \ G \equiv dVs \ (dE \ G)

lemma (in adjacency) mem-adjacency-iff-edge: shows v \in set \ (adjacency\text{-}list \ G \ u) \longleftrightarrow (u, v) \in dE \ G

lemma (in adjacency) finite-dE: assumes invar G shows finite (dE \ G)

lemma (in adjacency) adjacency-subset-dV: shows set \ (adjacency\text{-}list \ G \ v) \subseteq dV \ G

lemma (in adjacency) finite-dV: assumes invar G shows finite (dV \ G)
```

We show that graph operations union and difference correspond to the respective set operations in terms of adjacency.dE.

```
lemma (in adjacency) dE-union-cong:

assumes invar G1

assumes invar G2

shows dE (union G1 G2) = dE G1 \cup dE G2

lemma (in adjacency) dV-union-cong:

assumes invar G1

assumes invar G2

shows dV (union G1 G2) = dV G1 \cup dV G2

lemma (in adjacency) finite-dE-union:
```

```
assumes invar G1
 assumes invar G2
 shows finite (dE (union G1 G2))
lemma (in adjacency) finite-dV-union:
 assumes invar G1
 assumes invar G2
 shows finite (dV (union G1 G2))
lemma (in adjacency) dE-difference-cong:
 assumes invar G1
 assumes invar G2
 shows dE (difference G1 G2) = dE G1 - dE G2
lemma (in adjacency) finite-dE-difference:
 assumes invar G1
 assumes invar G2
 shows finite (dE (difference G1 G2))
lemma (in adjacency) finite-dV-difference:
 assumes invar G1
 assumes invar G2
 shows finite (dV (difference G1 G2))
end
        Undirected adjacency structure
theory Undirected-Adjacency
 imports
   Adjacency
   AGF.Berge
   ../Undirected-Graph/Graph-Ext
begin
If the adjacency structure is symmetric, then it induces an undirected graph.
locale adjacency' = adjacency where
 Map-update = Map-update for
 Map\text{-}update :: 'a::linorder \Rightarrow 't \Rightarrow 'm \Rightarrow 'm +
 fixes G :: 'm
 assumes invar: invar G
\mathbf{locale} \ \mathit{symmetric-adjacency} = \mathit{adjacency'} \ \mathbf{where}
 Map-update = Map-update for
 Map\text{-}update :: 'a::linorder \Rightarrow 't \Rightarrow 'm \Rightarrow 'm +
 assumes symmetric: v \in set (adjacency-list G u) \longleftrightarrow u \in set (adjacency-list G
definition (in adjacency) E :: 'm \Rightarrow 'a \text{ set set } \mathbf{where}
```

```
E G \equiv \{\{u, v\} \mid u \ v. \ v \in set \ (adjacency-list \ G \ u)\}
definition (in adjacency) V :: 'm \Rightarrow 'a \text{ set where}
  V G \equiv Vs (E G)
lemma (in adjacency) finite-E:
 assumes invar G
 shows finite (E G)
\mathbf{lemma} \ (\mathbf{in} \ symmetric\text{-}adjacency) \ mem\text{-}adjacency\text{-}iff\text{-}edge:
 shows v \in set (adjacency-list G u) \longleftrightarrow \{u, v\} \in E G
lemma (in symmetric-adjacency) mem-adjacency-iff-edge-2:
 shows u \in set (adjacency-list G(v) \longleftrightarrow \{u, v\} \in E(G(v))
lemma (in adjacency) finite-V:
 assumes invar G
 shows finite (V G)
context adjacency'
begin
sublocale finite-graph E G
end
We redefine graph operation insert such that it maintains symmetry.
definition (in adjacency) insert-edge :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm where
  insert-edge u \ v \ G \equiv insert' \ v \ u \ (insert' \ u \ v \ G)
lemma (in adjacency) invar-insert-edge:
 assumes invar G
 shows invar (insert-edge u v G)
lemma (in adjacency) adjacency-insert-edge-cong:
 assumes invar G
 shows
   set\ (adjacency-list\ (insert-edge\ u\ v\ G)\ w) =
    set (adjacency-list G(w) \cup (if(w) = u) then \{v\} else if w = v then \{u\} else \{\})
lemma (in adjacency) E-insert-edge-cong:
 assumes invar G
 shows E (insert-edge u v G) = E G \cup \{\{u, v\}\}
lemma (in adjacency) invar-fold-insert-edge:
 assumes invar G
 shows invar (fold (insert-edge u) l G)
lemma (in adjacency) adjacency-fold-insert-edge-cong:
 assumes invar G
 shows
```

```
set (adjacency-list (fold (insert-edge u) l G) v) = set (adjacency-list G v) \cup (\bigcup w \in set \ l. if v = u then \{w\} else if v = w then \{u\} else \{\}) lemma (in adjacency) E-fold-insert-edge-cong: assumes invar G shows E (fold (insert-edge u) l G) = E G \cup \{\{u, v\} | v. v \in set \ l\}
```

We show that graph operations union and difference correspond to the respective set operations in terms of *adjacency*. E, and that they maintain symmetry.

```
lemma (in adjacency) E-union-cong:
 assumes invar G1
 assumes invar G2
 shows E (union G1 G2) = E G1 \cup E G2
lemma (in adjacency) V-union-cong:
 assumes invar G1
 assumes invar G2
 shows V (union G1 G2) = V G1 \cup V G2
lemma (in adjacency) finite-V-union:
 assumes invar G1
 assumes invar G2
 shows finite (V (union G1 G2))
lemma (in adjacency) symmetric-adjacency-union:
 assumes symmetric-adjacency' G1
 assumes symmetric-adjacency' G2
 shows symmetric-adjacency' (union G1 G2)
lemma (in adjacency) symmetric-adjacency-difference:
 assumes symmetric-adjacency' G1
 assumes symmetric-adjacency' G2
 shows symmetric-adjacency' (difference G1 G2)
lemma (in adjacency) E-difference-cong:
 assumes symmetric-adjacency' G1
 assumes symmetric-adjacency' G2
 shows E (difference G1 G2) = E G1 – E G2
lemma (in adjacency) finite-V-difference:
 assumes invar G1
 assumes invar G2
 shows finite (V (difference G1 G2))
end
theory Adjacency-Adaptor
```

```
imports
   Directed	ext{-}Adjacency
   ../Adaptors/Graph-Adaptor
   Undirected-Adjacency
begin
5.2
      Edges
5.3
      Vertices
lemma (in adjacency) V-eq-dV:
 shows V G = dV G
lemma (in adjacency) adjacency-subset-V:
 shows set (adjacency-list G \ v) \subseteq V \ G
5.4
lemma (in symmetric-adjacency) dE-eq-dEs:
 shows dE G = dEs
end
theory Weighted-Dpath
 imports
   Dpath
begin
type-synonym 'a weight-fun = 'a \times 'a \Rightarrow nat
definition edges-weight :: 'a weight-fun \Rightarrow ('a \times 'a) list \Rightarrow nat where
  edges-weight f l = sum-list (map f l)
definition dpath\text{-}weight: 'a weight\text{-}fun \Rightarrow 'a dpath \Rightarrow nat where
  dpath-weight f p = edges-weight f (edges-of-dpath p)
lemma edges-weight-Nil [simp]:
 shows edges-weight f [] = 0
lemma dpath-weight-Nil [simp]:
 shows dpath\text{-}weight f [] = 0
lemma edges-weight-Cons [simp]:
 shows edges-weight f(x \# xs) = fx + edges-weight fxs
lemma edges-weight-append [simp]:
 shows edges-weight f(xs @ ys) = edges-weight f(xs + edges-weight f(ys + edges)
lemma dpath-weight-append:
 assumes p \neq []
```

```
shows dpath-weight f(p @ q) = dpath-weight f(p + dpath-weight f(last p \# q)
\mathbf{lemma}\ dpath\text{-}weight\text{-}append\text{-}2\text{:}
  assumes p \neq []
  assumes q \neq []
 assumes last p = hd q
 shows dpath\text{-}weight\ f\ (p\ @\ tl\ q)=dpath\text{-}weight\ f\ p\ +\ dpath\text{-}weight\ f\ q
lemma dpath-weight-append-3:
  assumes q \neq []
 shows dpath\text{-}weight\ f\ (p\ @\ q) = dpath\text{-}weight\ f\ (p\ @\ [hd\ q]) + dpath\text{-}weight\ f\ q
lemma dpath-weight-append-append:
  assumes p \neq []
 assumes Suc \ \theta < length \ q
 assumes r \neq []
  assumes last p = hd q
 assumes last q = hd r
  shows dpath-weight f(p@tl q@tl r) = dpath-weight f p + dpath-weight f q +
dpath-weight f r
lemma dpath-weight-closed-dpath-bet-decomp:
  assumes dpath-bet G p u v
  assumes \neg distinct p
 assumes closed-dpath-bet-decomp G p = (q, r, s)
 shows dpath\text{-}weight\ f\ p=dpath\text{-}weight\ f\ q+dpath\text{-}weight\ f\ r+dpath\text{-}weight\ f\ s
\mathbf{lemma}\ dpath\text{-}weight\text{-}ge\text{-}dpath\text{-}weight\text{-}dpath\text{-}bet\text{-}to\text{-}distinct:
  assumes dpath-bet G p u v
 shows dpath\text{-}weight\ f\ (dpath\text{-}bet\text{-}to\text{-}distinct\ G\ p) \leq dpath\text{-}weight\ f\ p
lemma dpath-length-eq-dpath-weight:
 shows dpath-length p = dpath-weight (\lambda-. 1) p
end
theory Shortest-Dpath
 imports
   ../../Misc-Ext
    Ports.Mitja-to-DDFS
    Ports.Noschinski-to-DDFS
    Weighted-Dpath
begin
We extend theory Ports.Mitja-to-DDFS and formalize shortest directed paths.
definition \delta :: 'a dgraph \Rightarrow 'a weight-fun \Rightarrow 'a \Rightarrow 'a \Rightarrow enat where
 \delta \ G f u \ v \equiv INF \ p \in \{p. \ dpath-bet \ G \ p \ u \ v\}. \ enat \ (dpath-weight \ f \ p)
definition is-shortest-dpath :: 'a dgraph \Rightarrow 'a weight-fun \Rightarrow 'a dpath \Rightarrow 'a \Rightarrow 'a
```

 $\Rightarrow bool \text{ where}$ 

```
is-shortest-dpath G f p u v \equiv dpath-bet G p u v \wedge dpath-weight f p = \delta G f u v
definition dist :: 'a \ dgraph \Rightarrow 'a \Rightarrow 'a \Rightarrow enat \ \mathbf{where}
  dist G \ u \ v \equiv INF \ p \in \{p. \ dpath-bet \ G \ p \ u \ v\}. enat (dpath-length p)
theorem dist-eq-\delta:
 shows dist G = \delta G (\lambda -. 1)
lemma (in finite-dgraph) dist-le-dpath-length:
 assumes dpath-bet G p u v
 shows dist G u v \leq dpath{-length p}
lemma (in finite-dgraph) is-shortest-dpath-if-reachable-2:
 assumes reachable G u v
 obtains p where
   dpath-bet G p u v
   dpath-length p = dist G u v
lemma (in finite-dgraph) is-shortest-dpathE-2:
 assumes dpath-bet G (p @ [v] @ q) u w \land dpath-length (p @ [v] @ q) = dist G u
 obtains
   dpath-bet G (p @ [v]) u v \wedge dpath-length (p @ [v]) = dist G u v
   dpath-bet G(v \# q) v w \wedge dpath-length (v \# q) = dist G v w
   dist\ G\ u\ w = dist\ G\ u\ v + dist\ G\ v\ w
lemma (in finite-dgraph) dist-triangle-inequality-edge:
 assumes (v, w) \in G
 shows dist G u w \leq dist G u v + 1
end
5.4.1 Directed graphs
theory Directed-Graph
 imports
   Shortest	ext{-}Dpath
begin
\mathbf{end}
theory Parent-Relation
 imports
   Main
begin
We (redefine and) extend the formalization of a well-formed parent relation.
definition follow-invar :: ('a \rightarrow 'a) \Rightarrow bool where
 follow-invar parent \equiv wf \{(u, v). parent v = Some u\}
```

```
locale parent =
 fixes parent :: 'a \rightharpoonup 'a
 assumes follow-invar: follow-invar parent
function (in parent) (domintros) follow :: 'a \Rightarrow 'a list where
 follow v = (case \ parent \ v \ of \ None \ \Rightarrow [v] \ | \ Some \ u \ \Rightarrow v \ \# \ follow \ u)
5.5
       Termination
lemma (in parent)
 assumes parent v = None
 shows follow-dom v
lemma (in parent)
 assumes parent v = Some u
 assumes follow-dom u
 shows Wellfounded.accp follow-rel v
lemma (in parent) follow-dom-if-wfP-follow-rel:
 assumes wfP follow-rel
 shows follow-dom v
lemma (in parent) follow-dom-if-wf-follow-rel:
 assumes wf \{(u, v). follow-rel u v\}
 shows follow-dom v
lemma (in parent) follow-rel-eq-parent:
 shows follow-rel = (\lambda u \ v. \ parent \ v = Some \ u)
lemma (in parent) wf-follow-rel:
 shows wf \{(u, v). follow-rel u v\}
lemma (in parent) follow-dom:
 shows follow-dom v
lemma (in parent) follow-pinduct:
 assumes \bigwedge v. (\bigwedge u. parent v = Some \ u \Longrightarrow P \ u) \Longrightarrow P \ v
 shows P v
lemma (in parent) follow-psimps:
 shows follow v = (case \ parent \ v \ of \ None \Rightarrow [v] \mid Some \ u \Rightarrow v \ \# \ follow \ u)
5.6
lemma (in parent) follow-non-empty:
 shows follow v \neq [
```

**lemma** (in parent) follow-ConsD:

```
assumes follow u = v \# p
 shows v = u
lemma (in parent) follow-Cons-ConsD:
 assumes follow v = v \# u \# p
 shows
   follow u = u \# p
   parent v = Some u
lemma (in parent) follow-Cons-ConsE:
 assumes follow v = v \# p
 assumes p \neq []
 obtains u where follow u = p
lemma (in parent) follow-appendD:
 assumes follow v = p @ u \# p'
 shows follow u = u \# p'
lemma (in parent) parent-last-follow-eq-None:
 shows parent (last (follow v)) = None
lemma (in parent) parent-eq-SomeE:
 assumes parent v = Some u
 obtains p where follow v = v \# u \# p
lemma (in parent) parent-eq-SomeD:
 assumes parent v = Some u
 shows
   u \neq v
   v \notin set (follow u)
lemma (in parent) distinct-follow:
 shows distinct (follow v)
lemma (in parent) tbd:
 assumes follow v1 = p1 @ u \# p1'
 assumes follow \ v2 = p2 @ u \# p2'
 shows p1' = p2'
end
theory Queue-Specs
 imports Main
begin
locale Queue =
 fixes empty :: 'q
 \mathbf{fixes} \ \textit{is-empty} :: \ 'q \Rightarrow \textit{bool}
 fixes snoc :: 'q \Rightarrow 'a \Rightarrow 'q
```

```
fixes head :: 'q \Rightarrow 'a
  fixes tail :: 'q \Rightarrow 'q
  fixes invar :: 'q \Rightarrow bool
  fixes list :: 'q \Rightarrow 'a \ list
  assumes list-empty: list empty = Nil
  assumes is-empty: invar q \Longrightarrow is-empty q = (list \ q = Nil)
  assumes list-snoc: invar q \Longrightarrow list (snoc \ q \ x) = list \ q \ @ [x]
  assumes list-head: [\![ invar\ q; list\ q \neq Nil\ ]\!] \Longrightarrow head\ q = hd\ (list\ q)
  assumes list-tail: [\![ invar\ q; list\ q \neq Nil\ ]\!] \Longrightarrow list\ (tail\ q) = tl\ (list\ q)
  assumes invar-empty: invar empty
  assumes invar-snoc: invar q \Longrightarrow invar (snoc \ q \ x)
  assumes invar-tail: [invar\ q; list\ q \neq Nil\ ] \implies invar\ (tail\ q)
lemma (in Queue) list-queue:
  assumes invar q
 assumes list q \neq []
 shows list q = head q \# list (tail q)
end
theory BFS
  imports
   ../Graph/Adjacency/Directed-Adjacency
   .../Graph/Directed-Graph/Directed-Graph
   ../Map/Map-Specs-Ext
   ../Map/Parent-Relation
   ../Queue/Queue-Specs
begin
```

This theory specifies and verifies breadth-first search (BFS). More specifically, we verify that given a directed graph G and a source vertex src, the output of the algorithm induces a breadth-first tree T, that is, T consists of the vertices reachable from src in G, and for every vertex v in T, T contains a unique simple path from src to v that is also a shortest path from src to v in G.

# 6 BFS

## 6.1 Specification of the algorithm

```
record ('q, 'm) state =
queue :: 'q
parent :: 'm

locale bfs =
G: adjacency where Map-update = Map-update +
P: Map where
empty = P-empty and
update = P-update and
```

```
delete = P-delete and
  lookup = P-lookup and
  invar = P-invar +
  Q: Queue where
  empty = Q-empty and
  is\text{-}empty = Q\text{-}is\text{-}empty and
  snoc = Q-snoc and
  head = Q-head and
  tail = Q-tail and
  invar = Q-invar and
  list = Q-list for
  Map\text{-}update :: 'a::linorder \Rightarrow 's \Rightarrow 'n \Rightarrow 'n and
  P-empty and
  P-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and
  P-delete and
  P-lookup and
  P-invar and
  Q-empty and
  Q-is-empty and
  Q-snoc :: 'q \Rightarrow 'a \Rightarrow 'q and
  Q-head and
  Q-tail and
  Q-invar and
  Q-list
begin
```

Our implementation of BFS keeps two data structures, a first-in, first-out queue, initialized to contain the source vertex src, and a parent map, initialized to the empty map. As long as the queue is not empty, the algorithm pops the head u of the queue, and for every adjacent vertex v, discovers v if it hasn't been discovered yet, where discovering v entails enqueuing v as well as setting v's parent to u.

```
definition init :: 'a \Rightarrow ('q, 'm) state where

init src \equiv
(|queue = Q\text{-}snoc Q\text{-}empty src, parent = P\text{-}empty|)

definition DONE :: ('q, 'm) state \Rightarrow bool where
DONE s \longleftrightarrow Q\text{-}is\text{-}empty (queue s)

definition is-discovered :: 'a \Rightarrow 'm \Rightarrow 'a \Rightarrow bool where
is-discovered src \ m \ v \longleftrightarrow v = src \lor P\text{-}lookup \ m \ v \ne None

definition discover :: 'a \Rightarrow 'a \Rightarrow ('q, 'm) state \Rightarrow ('q, 'm) state where
discover u \ v \ s \equiv
(|queue = Q\text{-}snoc (queue \ s) \ v, parent = P\text{-}update \ v \ u \ (parent \ s)|)

definition traverse\text{-}edge :: 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow 'a \Rightarrow ('q, 'm) \ state \Rightarrow ('q, 'm) \ state \ where
```

```
traverse-edge src \ u \ v \ s \equiv
  if \neg is-discovered src (parent s) v then discover <math>u v s
   else s
function (domintros) loop :: 'n \Rightarrow 'a \Rightarrow ('q, 'm) \ state \Rightarrow ('q, 'm) \ state where
  loop\ G\ src\ s =
  (if \neg DONE s
    then let
          u = Q-head (queue s);
          q = Q-tail (queue s)
        in loop G src (fold (traverse-edge src u) (G.adjacency-list G u) (s(|queue :=
q)))
    else\ s)
abbreviation bfs :: 'n \Rightarrow 'a \Rightarrow 'm where
  bfs G \ src \equiv parent \ (loop \ G \ src \ (init \ src))
abbreviation fold :: 'n \Rightarrow 'a \Rightarrow ('q, 'm) \ state \Rightarrow ('q, 'm) \ state where
 fold \ G \ src \ s \equiv
   List.fold
    (traverse-edge\ src\ (Q-head\ (queue\ s)))
    (G.adjacency-list\ G\ (Q-head\ (queue\ s)))
    (s(|queue := Q-tail (queue s)))
abbreviation T :: 'm \Rightarrow 'a \ dgraph \ \mathbf{where}
  T m \equiv \{(u, v). P-lookup m v = Some u\}
```

# 6.2 Verification of the correctness of the algorithm

### 6.2.1 Input

end

Algorithm  $\lambda Map$ -lookup Set-inorder P-empty P-update P-lookup Q-empty Q-is-empty Q-snoc Q-head Q-tail G src. state.parent (bfs.loop Map-lookup Set-inorder P-update P-lookup Q-is-empty Q-snoc Q-head Q-tail G src (bfs.init P-empty Q-empty Q-snoc src)) expects a directed graph G and a source vertex src in G as input, and the correctness theorem will assume such an input. We remark that the assumption that src is indeed a vertex in G is for the purpose of convenience. Let us formally specify these assumptions.

```
locale bfs-valid-input = bfs where

Map-update = Map-update and

P-update = P-update and

Q-snoc = Q-snoc for

Map-update :: 'a::linorder \Rightarrow 's \Rightarrow 'n \Rightarrow 'n and

P-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and

Q-snoc :: 'q \Rightarrow 'a \Rightarrow 'q +

fixes G :: 'n
```

```
fixes src :: 'a
assumes invar-G: G.invar G
assumes src-mem-dV: src \in G.dV G

abbreviation (in bfs) bfs-valid-input' :: 'n \Rightarrow 'a \Rightarrow bool where bfs-valid-input' G src \equiv bfs-valid-input
Map-empty Map-delete Map-lookup Map-inorder Map-inv
Set-empty Set-insert Set-delete Set-isin Set-inorder Set-inv
P-empty P-delete P-lookup P-invar
Q-empty Q-is-empty Q-head Q-tail Q-invar Q-list
Map-update P-update Q-snc G src
```

#### 6.2.2 Loop invariants

Unfolding the definition of  $\lambda Map-lookup$  Set-inorder P-empty P-update P-lookup Q-empty Q-is-empty Q-snoc Q-head Q-tail G src. state.parent (bfs.loop Map-lookup Set-inorder P-update P-lookup Q-is-empty Q-snoc Q-head Q-tail G src (bfs.init P-empty Q-empty Q-snoc src)), we see that function bfs.loop lies at the heart of the algorithm. It expects the undirected graph G, the source vertex src in G, as well as the current state s, which comprises the queue and parent map, as input. Let us look at the assumptions on the queue and parent map. As these are the only two data structures that may change from one iteration to the next, these assumptions constitute the loop invariants of bfs.loop.

To keep track of progress, the algorithm colors every vertex in G either white, gray, or black. All vertices start out white and may later become gray and then black.

```
abbreviation (in bfs-valid-input) white :: ('q, 'm) state \Rightarrow 'a \Rightarrow bool where white s \ v \equiv \neg is-discovered src (parent s) v

abbreviation (in bfs-valid-input) gray :: ('q, 'm) state \Rightarrow 'a \Rightarrow bool where gray s \ v \equiv is-discovered src (parent s) v \land v \in set (Q-list (queue s))

abbreviation (in bfs-valid-input) black :: ('q, 'm) state \Rightarrow 'a \Rightarrow bool where black s \ v \equiv is-discovered src (parent s) v \land v \notin set (Q-list (queue s))

abbreviation (in bfs) rev-follow :: 'm \Rightarrow 'a \Rightarrow 'a dpath where rev-follow m \ v \equiv rev (parent.follow (P-lookup m) v)

abbreviation (in bfs-valid-input) d :: 'm \Rightarrow 'a \Rightarrow nat where d \ m \ v \equiv dpath-length (rev-follow m \ v)

locale bfs-invar = bfs-valid-input where P-update = P-update and Q-snoc = Q-snoc + parent P-lookup (parent s) for P-update :: 'a::linorder \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and Q-snoc :: 'q \Rightarrow 'a \Rightarrow 'q and
```

```
s :: ('q, 'm) \ state +
  assumes invar-queue: Q-invar (queue s)
 assumes invar-parent: P-invar (parent s)
 assumes parent-src: P-lookup (parent s) src = None
 assumes parent-imp-edge: P-lookup (parent s) v = Some \ u \Longrightarrow (u, v) \in G.dE \ G
 assumes not-white-if-mem-queue: v \in set (Q-list (queue s)) \Longrightarrow \neg white s v
 assumes not-white-if-parent: P-lookup (parent s) v = Some \ u \Longrightarrow \neg \ white \ s \ u
  assumes black-imp-adjacency-not-white: [(u, v) \in G.dE \ G; black \ s \ u] \implies \neg
white s v
  assumes queue-sorted-wrt-d: sorted-wrt (\lambda u \ v. \ d \ (parent \ s) \ u \le d \ (parent \ s) \ v)
(Q-list\ (queue\ s))
  assumes d-last-queue-le:
    \neg Q-is-empty (queue s) \Longrightarrow
    d (parent s) (last (Q-list (queue s))) \le d (parent s) (Q-head (queue s)) + 1
  assumes d-triangle-inequality:
    \llbracket dpath\text{-bet } (G.dE\ G)\ p\ u\ v; \neg\ white\ s\ u; \neg\ white\ s\ v\ \rrbracket \Longrightarrow
    d (parent s) v \leq d (parent s) u + dpath-length p
```

Invariant [bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G ?src ?P-update ?Q-snoc ?s; ?u  $\rightarrow$  adjacency.dE ?Map-lookup ?Set-inorder ?G ?v; bfs.is-discovered ?P-lookup ?src (state.parent ?s) ?u  $\land$  ?u  $\notin$  set (?Q-list (queue ?s))]  $\Longrightarrow \neg \neg$  bfs.is-discovered ?P-lookup ?src (state.parent ?s) ?v says that all vertices adjacent to black vertices have been discovered.

For a vertex v in G, let d v denote the distance from the source src to v induced by the current parent map.

Let  $v_1, \ldots, v_k$  be the content of the current queue, where  $v_1$  is the head. Then invariant bfs-invar? Map-empty? Map-delete? Map-lookup? Map-inorder? Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G ?src ?P-update ?Q-snoc ?s  $\Longrightarrow$  sorted-wrt ( $\lambda u \ v$ . dpath-length  $(rev (parent.follow (?P-lookup (state.parent ?s)) u)) \leq dpath$ -length (rev (parent.follow (?P-lookup (state.parent ?s)) v))) (?Q-list (queue ?s)) says that  $dv_i \leq dv_{i+1}$  for all i < k. And invariant [bfs-invar?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update $?G ?src ?P-update ?Q-snoc ?s; \neg ?Q-is-empty (queue ?s) \implies dpath-length$ (rev (parent.follow (?P-lookup (state.parent ?s)) (last (?Q-list (queue ?s)))))  $\leq dpath{-length (rev (parent.follow (?P{-lookup (state.parent ?s)) (?Q{-head})}$ (queue ?s))) + 1 says that  $dv_k \leq dv_1 + 1$ . That is, the current queue holds at most two distinct d values.

Finally, invariant \[ \int bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder \]

?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G ?src ?P-update ?Q-snoc ?s; dpath-bet (adjacency.dE ?Map-lookup ?Set-inorder ?G) ?p ?u ?v;  $\neg \neg bfs.is-discovered$  ?P-lookup ?src (state.parent ?s) ?u;  $\neg \neg bfs.is-discovered$  ?P-lookup ?src (state.parent ?s) ?v]  $\Longrightarrow dpath-length$  (rev (parent.follow (?P-lookup (state.parent ?s)) ?v))  $\le dpath-length$  (rev (parent.follow (?P-lookup (state.parent ?s))) ?u)) + dpath-length ?p says that d satisfies a variant of the triangle inequality. More specifically, if there is a path in G between two vertices u, v that have been discovered by the algorithm, then their d values differ by at most the length of that path.

```
abbreviation (in bfs) bfs-invar':: 'n \Rightarrow 'a \Rightarrow ('q, 'm) state \Rightarrow bool where bfs-invar' G src s \equiv bfs-invar Map-empty Map-delete Map-lookup Map-inorder Map-inv Set-empty Set-insert Set-delete Set-isin Set-inorder Set-inv P-empty P-delete P-lookup P-invar Q-empty Q-is-empty Q-head Q-tail Q-invar Q-list Map-update G src P-update Q-snoc s
```

```
abbreviation (in bfs-valid-input) bfs-invar" :: ('q, 'm) state \Rightarrow bool where bfs-invar" \equiv bfs-invar' G src
```

Let us quickly show that the initial configuration of the queue–containing only the source vertex src–and parent map—the empty map—satisfies the loop invariants.

```
lemma (in bfs-valid-input) follow-invar-parent-init: shows follow-invar (P-lookup (parent (init src)))

lemma (in bfs-valid-input) invar-queue-init: shows Q-invar (queue (init src))

lemma (in bfs-valid-input) invar-parent-init: shows P-invar (parent (init src))

lemma (in bfs-valid-input) parent-src-init: shows P-lookup (parent (init src)) src = None

lemma (in bfs-valid-input) parent-imp-edge-init: assumes P-lookup (parent (init src)) v = Some \ u shows (u, v) \in G.dE \ G

lemma (in bfs-valid-input) not-white-if-mem-queue-init: assumes v \in set \ (Q-list \ (queue \ (init src))) shows \neg white \ (init src) \ v
```

**lemma** (in bfs-valid-input) not-white-if-parent-init:

```
assumes P-lookup (parent (init src)) v = Some \ u
 shows \neg white (init src) u
lemma (in bfs-valid-input) black-imp-adjacency-not-white-init:
 assumes black (init src) u
 assumes (u, v) \in G.dE G
 shows \neg white s v
lemma (in bfs-valid-input) queue-sorted-wrt-d-init:
 shows sorted-wrt (\lambda u \ v. \ d \ (parent \ (init \ src)) \ u \leq d \ (parent \ (init \ src)) \ v) \ (Q-list
(queue (init src)))
lemma (in bfs-valid-input) d-last-queue-le-init:
 assumes \neg Q-is-empty (queue (init src))
 shows
   d (parent (init src)) (last (Q-list (queue (init src)))) \leq
    d (parent (init src)) (Q-head (queue (init src))) + 1
lemma (in bfs-valid-input) d-triangle-inequality-init:
 assumes dpath-bet (G.dE G) p u v
 assumes \neg white (init src) u
 assumes \neg white (init src) v
 shows d (parent (init src)) v \leq d (parent (init src)) u + dpath-length p
lemma (in bfs-valid-input) bfs-invar-init:
 shows bfs-invar'' (init src)
```

Let us now show that the loop invariants are maintained, that is, if they are satisfied at the start of an iteration, then they also will be satisfied at the end.

For this, let us first look at how the different subroutines change the queue and parent map.

How does *bfs.discover* change the queue and parent map?

```
lemma (in bfs) queue-discover-cong [simp]:

shows queue (discover u \ v \ s) = Q-snoc (queue s) v

lemma (in bfs) parent-discover-cong [simp]:

shows parent (discover u \ v \ s) = P-update v \ u (parent s)
```

How does bfs.traverse-edge change the queue and parent map?

```
lemma (in bfs) queue-traverse-edge-cong:

shows queue (traverse-edge src u v s) = (if \neg is-discovered src (parent s) v then

Q-snoc (queue s) v else queue s)
```

lemma (in bfs) invar-queue-traverse-edge:

```
assumes Q-invar (queue s)
 shows Q-invar (queue (traverse-edge src u \ v \ s))
lemma (in bfs) list-queue-traverse-edge-cong:
 assumes Q-invar (queue s)
 shows
   Q-list (queue (traverse-edge src u v s)) =
    Q-list (queue s) @ (if \neg is-discovered src (parent s) v then [v] else [])
lemma (in bfs) invar-parent-traverse-edge:
 assumes P-invar (parent s)
 shows P-invar (parent (traverse-edge src u v s))
lemma (in bfs) lookup-parent-traverse-edge-cong:
 assumes P-invar (parent s)
 shows
   P-lookup (parent (traverse-edge src u v s)) =
    override-on
     (P-lookup\ (parent\ s))
     (\lambda-. Some u)
     (if \neg is\text{-}discovered\ src\ (parent\ s)\ v\ then\ \{v\}\ else\ \{\})
lemma (in bfs) T-traverse-edge-cong:
 assumes P-invar (parent s)
 shows T (parent (traverse-edge src u v s)) = T (parent s) \cup (if \neg is-discovered
src\ (parent\ s)\ v\ then\ \{(u,\ v)\}\ else\ \{\})
How does \lambda Map-lookup Set-inorder P-update P-lookup Q-snoc Q-head Q-tail
G src s. fold (bfs.traverse-edge P-update P-lookup Q-snoc src (Q-head (queue
s))) (adjacency.adjacency-list Map-lookup Set-inorder G (Q-head (queue s)))
(s(|queue := Q-tail (queue s))) change the queue and parent map?
lemma (in bfs) list-queue-fold-cong-aux:
 assumes P-invar (parent s)
 assumes distinct (v \# vs)
 shows filter (Not \circ is-discovered src (parent (traverse-edge src u v s))) vs = filter
(Not \circ is\text{-}discovered\ src\ (parent\ s))\ vs
lemma (in bfs) list-queue-fold-cong:
 assumes Q-invar (queue s)
 assumes P-invar (parent s)
 assumes distinct l
 shows
   Q-list (queue (List.fold (traverse-edge src u) l s)) =
    Q-list (queue s) @ filter (Not \circ is-discovered src (parent s)) l
lemma (in bfs) invar-tail:
 assumes Q-invar (queue s)
 assumes \neg DONE s
```

```
shows Q-invar (queue (s(|queue := Q-tail (queue s)|)))
lemma (in bfs) list-queue-fold-cong-2:
 assumes G.invar G
 assumes Q-invar (queue s)
 assumes P-invar (parent \ s)
 assumes \neg DONE s
 shows
   Q-list (queue (fold G src s)) =
    Q-list (Q-tail (queue\ s)) @
    filter (Not \circ is-discovered src (parent s)) (G.adjacency-list G (Q-head (queue
s)))
lemma (in bfs) lookup-parent-fold-cong:
 assumes P-invar (parent s)
 assumes distinct l
 shows
   P-lookup (parent (List.fold (traverse-edge src u) l s)) =
    override-on
     (P-lookup\ (parent\ s))
     (\lambda-. Some u)
     (set (filter (Not \circ is\text{-}discovered src (parent s)) l))
lemma (in bfs) lookup-parent-fold-cong-2:
 assumes G.invar G
 assumes P-invar (parent s)
 shows
   P-lookup (parent (fold G \ src \ s)) =
    override\hbox{-} on
     (P-lookup\ (parent\ s))
     (\lambda-. Some (Q-head (queue\ s)))
      (set (filter (Not \circ is-discovered src (parent s)) (G.adjacency-list G (Q-head
(queue\ s)))))
lemma (in bfs-invar) lookup-parent-fold-cong:
 shows
   P-lookup (parent (fold G \ src \ s)) =
    override-on
     (P-lookup\ (parent\ s))
     (\lambda-. Some (Q-head (queue\ s)))
      (set (filter (Not \circ is-discovered src (parent s)) (G.adjacency-list G (Q-head)))
(queue\ s)))))
lemma (in bfs) T-fold-cong-aux:
 assumes P-invar (parent s)
 assumes distinct (v \# vs)
 shows w \in set \ vs \land \neg \ is\ discovered \ src \ (parent \ (traverse-edge \ src \ u \ v \ s)) \ w \longleftrightarrow
w \in set \ vs \land \neg \ is\text{-}discovered \ src \ (parent \ s) \ w
```

```
lemma (in bfs) T-fold-cong:
 assumes P-invar (parent s)
 assumes distinct l
  shows T (parent (List.fold (traverse-edge src u) l s)) = T (parent s) \cup {(u, v)
|v. v \in set \ l \land \neg is\text{-}discovered src (parent s) \ v\}
lemma (in bfs) T-fold-cong-2:
 assumes G.invar G
 assumes P-invar (parent s)
 shows
   T (parent (fold G src s)) =
    T (parent s) \cup
    \{(Q\text{-}head\ (queue\ s),\ v)\ | v.\ v\in set\ (G.adjacency\text{-}list\ G\ (Q\text{-}head\ (queue\ s)))\ \land\ 
\neg is-discovered src (parent s) v}
lemma (in bfs-invar) T-fold-cong:
 shows
   T (parent (fold G src s)) =
    T (parent s) \cup
    \{(Q\text{-}head\ (queue\ s),\ v)\ | v.\ v\in set\ (G.adjacency\text{-}list\ G\ (Q\text{-}head\ (queue\ s)))\ \land\ 
\neg is-discovered src (parent s) v}
We are now ready to prove that the variants are maintained.
locale bfs-invar-not-DONE = bfs-invar where P-update = P-update and Q-snoc
= Q-snoc for
  P-update :: 'a::linorder \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and
  Q-snoc :: 'q \Rightarrow 'a \Rightarrow 'q +
assumes not-DONE: \neg DONE s
abbreviation (in bfs) bfs-invar-not-DONE' :: 'n \Rightarrow 'a \Rightarrow ('q, 'm) state \Rightarrow bool
where
  bfs-invar-not-DONE' G src s \equiv
  bfs-invar-not-DONE
   Map-empty Map-delete Map-lookup Map-inorder Map-inv
   Set-empty Set-insert Set-delete Set-isin Set-inorder Set-inv
   P-empty P-delete P-lookup P-invar
   Q-empty Q-is-empty Q-head Q-tail Q-invar Q-list
   Map-update G src s P-update Q-snoc
abbreviation (in bfs-valid-input) bfs-invar-not-DONE" :: ('q, 'm) state \Rightarrow bool
where
  bfs-invar-not-DONE'' \equiv bfs-invar-not-DONE' G src
We start with the first invariant.
lemma (in bfs) list-queue-non-empty:
 assumes Q-invar (queue s)
 assumes \neg DONE s
 shows Q-list (queue\ s) \neq []
```

```
lemma (in bfs-invar-not-DONE) list-queue-non-empty:
 shows Q-list (queue s) \neq []
lemma (in bfs-invar-not-DONE) head-queue-mem-queue:
 shows Q-head (queue\ s) \in set\ (Q-list (queue\ s))
lemma (in bfs-invar-not-DONE) not-white-head-queue:
 shows \neg white s (Q-head (queue s))
lemma (in bfs-invar-not-DONE) follow-invar-parent-fold:
 shows follow-invar (P-lookup (parent (fold G src s)))
Then the second invariant, bfs-invar ?Map-empty ?Map-delete ?Map-lookup
?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder
?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty
?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G ?src ?P-update ?Q-snoc
?s \Longrightarrow ?Q\text{-}invar (queue ?s).
lemma (in bfs) invar-queue-fold:
 assumes Q-invar (queue s)
 assumes distinct l
 shows Q-invar (queue (List.fold (traverse-edge src u) l s))
lemma (in bfs) invar-queue-fold-2:
 assumes G.invar G
 assumes Q-invar (queue s)
 assumes \neg DONE s
 shows Q-invar (queue (fold G src s))
lemma (in bfs-invar-not-DONE) invar-queue-fold:
 shows Q-invar (queue (fold G src s))
Then the third invariant, bfs-invar ?Map-empty ?Map-delete ?Map-lookup
?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder
?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty
?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G ?src ?P-update ?Q-snoc
?s \implies ?P\text{-}invar (state.parent ?s).
lemma (in bfs) invar-parent-fold:
 assumes P-invar (parent s)
 assumes distinct l
 shows P-invar (parent (List.fold (traverse-edge src u) l s))
lemma (in bfs) invar-parent-fold-2:
 assumes G.invar G
 assumes P-invar (parent s)
 shows P-invar (parent (fold G src s))
```

```
\begin{array}{l} \textbf{lemma (in }\textit{bfs-invar) invar-parent-fold:} \\ \textbf{shows }\textit{P-invar (parent (fold }\textit{G src s))} \end{array}
```

Then the fourth invariant, bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G ?src ?P-update ?Q-snoc  $?s \implies ?P-lookup (state.parent ?s) ?src = None.$ 

```
lemma (in bfs-valid-input) src-not-white: shows \neg white <math>s src
```

```
lemma (in bfs-invar) parent-src-fold:

shows P-lookup (parent (fold G src s)) src = None
```

Then the fifth invariant, [bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G ?src ?P-update ?Q-snoc ?s; ?P-lookup (state.parent ?s) ?v = Some ?u]  $\Longrightarrow ?u \rightarrow_{adjacency.dE} ?Map-lookup ?Set-inorder ?G$ ?v.

```
lemma (in bfs-invar) head-queueI:

assumes P-lookup (parent s) v \neq Some \ u

assumes P-lookup (parent (fold G src s)) v = Some \ u

shows u = Q-head (queue s)
```

```
lemma (in bfs-invar-not-DONE) parent-imp-edge-fold: assumes P-lookup (parent (fold G src s)) v = Some \ u shows (u, v) \in G.dE G
```

Then the sixth invariant,  $[bfs-invar\ ?Map-empty\ ?Map-delete\ ?Map-lookup\ ?Map-inorder\ ?Map-inv\ ?Set-empty\ ?Set-insert\ ?Set-delete\ ?Set-isin\ ?Set-inorder\ ?Set-inv\ ?P-empty\ ?P-delete\ ?P-lookup\ ?P-invar\ ?Q-empty\ ?Q-is-empty\ ?Q-head\ ?Q-tail\ ?Q-invar\ ?Q-list\ ?Map-update\ ?G\ ?src\ ?P-update\ ?Q-snoc\ ?s;\ ?v \in set\ (?Q-list\ (queue\ ?s))] \Longrightarrow \neg\ \neg\ bfs.is-discovered\ ?P-lookup\ ?src\ (state.parent\ ?s)\ ?v.$ 

```
lemma (in bfs-invar) not-white-imp-not-white-fold: assumes \neg white s v shows \neg white (fold G src s) v
```

```
lemma (in bfs-invar-not-DONE) list-queue-fold-cong: shows

Q-list (queue (fold G src s)) =

Q-list (Q-tail (queue s)) @
```

```
filter (Not \circ is-discovered src (parent s)) (G.adjacency-list G (Q-head (queue s)))

lemma (in bfs-invar-not-DONE) not-white-if-mem-queue-fold:
assumes v \in set (Q-list (queue (fold G src s)))
shows \neg white (fold G src s) v
```

Then the seventh invariant,  $\llbracket bfs\text{-}invar ?Map\text{-}empty ?Map\text{-}delete ?Map\text{-}lookup} ?Map\text{-}inorder ?Map\text{-}inv ?Set\text{-}empty ?Set\text{-}insert ?Set\text{-}delete ?Set\text{-}isin ?Set\text{-}inorder} ?Set\text{-}inv ?P\text{-}empty ?P\text{-}delete ?P\text{-}lookup ?P\text{-}invar ?Q\text{-}empty ?Q\text{-}is\text{-}empty} ?Q\text{-}head ?Q\text{-}tail ?Q\text{-}invar ?Q\text{-}list ?Map\text{-}update ?G ?src ?P\text{-}update ?Q\text{-}snoc} ?s; ?P\text{-}lookup (state.parent ?s) ?v = Some ?u \rrbracket \Longrightarrow \neg \neg bfs.is\text{-}discovered} ?P\text{-}lookup ?src (state.parent ?s) ?u.$ 

```
lemma (in bfs-invar-not-DONE) not-white-if-parent-fold: assumes P-lookup (parent (fold G src s)) v = Some \ u shows \neg white (fold G src s) u
```

Then the eighth invariant, [bfs-invar?Map-empty?Map-delete?Map-lookup?Map-inorder?Map-inv?Set-empty?Set-insert?Set-delete?Set-isin?Set-inorder?Set-inv?P-empty?P-delete?P-lookup?P-invar?Q-empty?Q-is-empty?Q-head?Q-tail?Q-invar?Q-list?Map-update?G?src?P-update?Q-snoc?s; ?u  $\rightarrow$  adjacency.dE?Map-lookup?Set-inorder?G?v; bfs.is-discovered?P-lookup?src(state.parent?s)?u  $\wedge$  ?u  $\notin$  set(?Q-list(queue?s))]  $\Longrightarrow \neg \neg$  bfs.is-discovered?P-lookup?src(state.parent?s)?v.

```
lemma (in bfs-valid-input) vertex-color-induct [case-names white gray black]:
 assumes white s \ v \Longrightarrow P \ s \ v
 assumes gray s \ v \Longrightarrow P \ s \ v
 assumes black \ s \ v \Longrightarrow P \ s \ v
 shows P s v
lemma (in bfs-invar-not-DONE) whiteD:
 assumes white s v
 shows \neg black (fold G \ src \ s) v
lemma (in bfs-invar-not-DONE) head-queueI-2:
  assumes v \in set (Q-list (queue s))
 assumes v \notin set (Q\text{-}list (queue (fold } G \ src \ s)))
 shows v = Q-head (queue s)
lemma (in bfs-invar-not-DONE) black-imp-adjacency-not-white-fold:
  assumes black (fold G src s) u
 assumes (u, v) \in G.dE G
 shows \neg white (fold G \ src \ s) v
```

Then the ninth invariant, bfs-invar ?Map-empty ?Map-delete ?Map-lookup

```
?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder
?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty
?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G ?src ?P-update ?Q-snoc
?s \Longrightarrow sorted-wrt (\lambda u v. dpath-length (rev (parent.follow (?P-lookup (state.parent
(?s)(u) \leq dpath-length (rev (parent.follow (?P-lookup (state.parent ?s)) v)))
(?Q-list (queue ?s)).
lemma (in bfs-invar-not-DONE) parent-fold:
 shows Parent-Relation.parent (P-lookup (parent (fold G src s)))
\mathbf{lemma} \ (\mathbf{in} \ \mathit{bfs-invar}) \ \mathit{not-white-imp-lookup-parent-fold-eq-lookup-parent}:
  assumes \neg white s v
 shows P-lookup (parent (fold G \operatorname{src} s)) v = P-lookup (parent s) v
lemma (in bfs-invar-not-DONE) not-white-imp-rev-follow-fold-eq-rev-follow:
 assumes \neg white s v
 shows rev-follow (parent (fold G src s)) v = rev-follow (parent s) v
lemma (in bfs-invar) mem-queue-imp-d-le:
 assumes v \in set (Q\text{-}list (queue s))
 shows d (parent s) v \leq d (parent s) (last (Q-list (queue s)))
lemma (in bfs-invar-not-DONE) mem-filterD:
  assumes v \in set (filter (Not \circ is-discovered src (parent s)) (G.adjacency-list G
(Q-head\ (queue\ s))))
 shows
   d (parent (fold \ G \ src \ s)) \ v = d (parent (fold \ G \ src \ s)) \ (Q-head (queue \ s)) + 1
   d (parent (fold \ G \ src \ s)) (last (Q-list (queue \ s))) \leq d (parent (fold \ G \ src \ s)) v
lemma (in bfs-invar-not-DONE) queue-sorted-wrt-d-fold-aux:
 assumes u-mem-tail-queue: u \in set (Q-list (Q-tail (queue s)))
 assumes v-mem-filter: v \in set (filter (Not \circ is-discovered src (parent s)) (G.adjacency-list
G(Q-head(queue s)))
 shows d (parent (fold G src s)) u \leq d (parent (fold G src s)) v
lemma (in bfs-invar-not-DONE) queue-sorted-wrt-d-fold:
  shows sorted-wrt (\lambda u \ v. \ d \ (parent \ (fold \ G \ src \ s)) \ u \leq d \ (parent \ (fold \ G \ src \ s))
v) (Q-list (queue (fold G src s)))
```

Then the tenth invariant,  $[bfs-invar\ ?Map-empty\ ?Map-delete\ ?Map-lookup\ ?Map-inorder\ ?Map-inv\ ?Set-empty\ ?Set-insert\ ?Set-delete\ ?Set-isin\ ?Set-inorder\ ?Set-inv\ ?P-empty\ ?P-delete\ ?P-lookup\ ?P-invar\ ?Q-empty\ ?Q-is-empty\ ?Q-head\ ?Q-tail\ ?Q-invar\ ?Q-list\ ?Map-update\ ?G\ ?src\ ?P-update\ ?Q-snoc\ ?s; \neg\ ?Q-is-empty\ (queue\ ?s))) \Longrightarrow dpath-length\ (rev\ (parent.follow\ (?P-lookup\ (state.parent\ ?s))\ (last\ (?Q-list\ (queue\ ?s))))) \le dpath-length\ (rev\ (parent.follow\ (?P-lookup\ (state.parent\ ?s))\ (?Q-head\ (queue\ ?s)))) + 1.$ 

 $\mathbf{lemma} \ (\mathbf{in} \ \textit{bfs-invar-not-DONE}) \ \textit{d-last-queue-le-fold-aux}:$ 

```
assumes \neg Q-is-empty (queue (fold G \ src \ s))
 shows d (parent (fold G src s)) (last (Q-list (queue (fold G src s)))) \leq d (parent
(fold\ G\ src\ s))\ (Q-head\ (queue\ s))\ +\ 1
lemma (in bfs-invar) mem-queue-imp-d-qe:
 assumes v \in set (Q-list (queue s))
 shows d (parent s) (Q-head (queue s)) \leq d (parent s) v
lemma (in bfs-invar-not-DONE) d-last-queue-le-fold-aux-2:
 assumes \neg Q-is-empty (queue (fold G \ src \ s))
 shows d (parent (fold G src s)) (Q-head (queue s)) \leq d (parent (fold G src s))
(Q-head (queue (fold G src s)))
lemma (in bfs-invar-not-DONE) d-last-queue-le-fold:
 assumes \neg Q-is-empty (queue (fold G \ src \ s))
 shows d (parent (fold G src s)) (last (Q-list (queue (fold G src s)))) \leq d (parent
(fold\ G\ src\ s))\ (Q-head\ (queue\ (fold\ G\ src\ s)))\ +\ 1
Finally, the eleventh invariant, \[ \int fs-invar ?Map-empty ?Map-delete ?Map-lookup \]
?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder
?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty
?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G ?src ?P-update ?Q-snoc
?s; dpath-bet (adjacency.dE ?Map-lookup ?Set-inorder ?G) ?p ?u ?v; ¬¬
bfs.is-discovered~?P-lookup~?src~(state.parent~?s)~?u; \neg \neg ~bfs.is-discovered
?P-lookup ?src (state.parent ?s) ?v \implies dpath-length (rev (parent.follow))
(?P-lookup (state.parent ?s))?v)) \leq dpath-length (rev (parent.follow (?P-lookup)))
(state.parent ?s)) ?u)) + dpath-length ?p.
lemma (in bfs-invar) white-imp-gray-ancestor:
 assumes dpath-bet (G.dE G) p u w
 assumes \neg white s u
 assumes white s w
 obtains v where
   v \in set p
   gray \ s \ v
lemma (in bfs-invar) white-not-white-foldD:
 assumes white s v
 assumes \neg white (fold G src s) v
   v \in set (G.adjacency-list G (Q-head (queue s)))
   P-lookup (parent (fold G \ src \ s)) v = Some \ (Q-head (queue s))
lemma (in bfs-valid-input) parent-imp-d:
 assumes Parent-Relation.parent (P-lookup (parent s))
 assumes P-lookup (parent s) v = Some u
```

**shows** d (parent s) v = d (parent s) u + 1

```
lemma (in bfs-invar-not-DONE) white-not-white-foldD-2:
  \mathbf{assumes}\ \mathit{white}\ \mathit{s}\ \mathit{v}
  assumes \neg white (fold G src s) v
 shows d (parent (fold G src s)) v = d (parent (fold G src s)) (Q-head (queue s))
+ 1
{f lemmas} (in {\it bfs-invar-not-DONE}) {\it white-not-white-foldD} =
  white	ext{-}not	ext{-}white	ext{-}foldD
  white-not-white-fold D-2
\mathbf{lemma} \ (\mathbf{in} \ \textit{bfs-invar-not-DONE}) \ \textit{d-triangle-inequality-fold}:
  assumes dpath-p: dpath-bet (G.dE~G)~p~u~v
  assumes not-white-fold-u: \neg white (fold G src s) u
  assumes not-white-fold-v: \neg white (fold G src s) v
 shows d (parent (fold G src s)) v \leq d (parent (fold G src s)) u + dpath-length <math>p
lemma (in bfs-invar-not-DONE) bfs-invar-fold:
  shows bfs-invar'' (fold G src s)
6.3
        Q-list \circ queue
6.4
        Q-head \circ queue
lemma (in bfs) head-queue-mem-dV:
  assumes Q-invar (queue s)
  assumes set (Q-list (queue\ s)) \subseteq G.dV\ G
  \mathbf{assumes} \, \neg \, \mathit{DONE} \, s
  \mathbf{shows}\ \textit{Q-head}\ (\textit{queue}\ s) \in \textit{G.dV}\ \textit{G}
```

## 7 Basic Lemmas

```
7.1 discover
7.1.1 queue
7.1.2 state.parent
7.2 traverse-edge
7.2.1 queue
7.2.2 Q-list \circ queue
\textbf{7.2.3} \quad \textit{P-lookup} \, \circ \, \textit{state.parent}
7.2.4 P-invar \circ state.parent
7.2.5 T
7.3 fold
\textbf{7.3.1} \quad \textit{Q-invar} \, \circ \, \textit{queue}
7.3.2 Q-list \circ queue
7.3.3 set \circ Q-list \circ queue
lemma (in bfs) queue-fold-subset-dV:
 assumes G.invar G
 \mathbf{assumes}\ \mathit{Q-invar}\ (\mathit{queue}\ s)
 assumes P-invar (parent s)
 assumes set (Q-list (queue\ s)) \subseteq G.dV\ G
 assumes \neg DONE s
 shows set (Q-list (queue (fold G src s))) \subseteq G.dV G
7.3.4 state.parent
7.3.5 P-invar \circ state.parent
lemma (in bfs) dom-parent-fold-subset-dV:
 assumes P-invar (parent s)
 assumes distinct l
 assumes P.dom\ (parent\ s)\subseteq G.dV\ G
 assumes set l \subseteq G.dV G
 shows P.dom (parent (List.fold (traverse-edge src u) l s)) \subseteq G.dV G
lemma (in bfs) dom\text{-}parent\text{-}fold\text{-}subset\text{-}dV\text{-}2:
 assumes G.invar G
 assumes P-invar (parent s)
 assumes P.dom\ (parent\ s)\subseteq G.dV\ G
 shows P.dom (parent (fold G src s)) \subseteq G.dV G
```

```
lemma (in bfs) ran-parent-fold-cong:
   assumes G.invar G
   assumes P.invar (parent s)
   shows
   P.ran \ (parent \ (fold \ G \ src \ s)) = P.ran \ (parent \ s) \ \cup \\   \  (if \ set \ (filter \ (Not \circ \ is-discovered \ src \ (parent \ s)) \ (G.adjacency-list \ G \ (Q-head \ (queue \ s)))) = \{\} 
   then \{\}
   else \{Q-head \ (queue \ s)\})
```

### **7.3.6** *T*

# 8 Termination

```
lemma (in bfs) loop-dom-aux:
 assumes G.invar G
 assumes P-invar (parent s)
 assumes P.dom\ (parent\ s)\subseteq G.dV\ G
   card\ (P.dom\ (parent\ (fold\ G\ src\ s))) =
    card (P.dom (parent s)) +
   card (set (filter (Not \circ is-discovered src (parent s)) (G.adjacency-list G (Q-head
(queue\ s)))))
lemma (in bfs) loop-dom-aux-2:
 assumes invar-G: G.invar G
 assumes invar-queue: Q-invar (queue s)
 assumes not\text{-}DONE: \neg DONE s
 assumes dom-parent-subset-dV: P.dom (parent s) \subseteq G.dV G
 shows
   card (G.dV G) +
    length (Q-list (Q-tail (queue s))) -
    card (P.dom (parent s)) <
    card (G.dV G) +
    length (Q-list (queue s)) -
    card (P.dom (parent s))
lemma (in bfs) loop-dom:
 assumes G.invar G
 assumes Q-invar (queue s)
 assumes P-invar (parent s)
 assumes set (Q-list (queue\ s)) \subseteq G.dV\ G
 assumes P.dom\ (parent\ s)\subseteq G.dV\ G
 shows loop\text{-}dom (G, src, s)
```

# 9 Invariants

### 9.1 Definitions

```
locale bfs-invar-DONE = bfs-invar where P-update = P-update and Q-snoc = Q-snoc for P-update :: 'a::linorder \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and Q-snoc :: 'q \Rightarrow 'a \Rightarrow 'q + assumes DONE: DONE s
```

```
abbreviation (in bfs) bfs-invar-DONE':: 'n \Rightarrow 'a \Rightarrow ('q, 'm) state \Rightarrow bool where bfs-invar-DONE' G src s \equiv bfs-invar-DONE

Map-empty Map-delete Map-lookup Map-inorder Map-inv
Set-empty Set-insert Set-delete Set-isin Set-inorder Set-inv
P-empty P-delete P-lookup P-invar
Q-empty Q-is-empty Q-head Q-tail Q-invar Q-list
Map-update G src s P-update Q-snoc
```

**abbreviation** (in bfs-valid-input) bfs-invar-DONE'' :: ('q, 'm) state  $\Rightarrow$  bool where bfs-invar-DONE''  $\equiv$  bfs-invar-DONE' G src

### 9.2 Convenience Lemmas

#### **9.2.1** *bfs*

```
lemma (in bfs) bfs-invar-not-DONE'I:
assumes bfs-invar' G src s
assumes \neg DONE s
shows bfs-invar-not-DONE' G src s

lemma (in bfs) bfs-invar-DONE'I:
assumes bfs-invar' G src s
assumes DONE s
shows bfs-invar-DONE' G src s
lemma (in bfs) rev-follow-non-empty:
assumes Parent-Relation.parent (P-lookup m)
shows rev-follow m v \neq []

lemma (in bfs) distinct-rev-follow:
assumes Parent-Relation.parent (P-lookup m)
shows distinct (rev-follow m v)
```

```
lemma (in bfs) last-rev-follow:
  assumes Parent-Relation.parent (P-lookup m)
  shows last (rev\text{-}follow \ m \ v) = v
9.2.2 bfs-valid-input
context bfs-valid-input
begin
{f sublocale}\ finite-dgraph\ G.dE\ G
\quad \text{end} \quad
9.2.3 bfs-invar
lemma (in bfs-invar) distinct-rev-follow:
  shows distinct (rev-follow (parent s) v)
9.3
       Basic Lemmas
9.3.1 bfs-valid-input
9.3.2 bfs-invar
\mathbf{lemma} \ (\mathbf{in} \ \mathit{bfs-invar}) \ \mathit{not-white-imp-dpath-rev-follow}:
  assumes \neg white s v
  shows dpath-bet (G.dE\ G)\ (rev-follow (parent\ s)\ v)\ src\ v
lemma (in bfs-invar) hd-rev-follow-eq-src:
  assumes \neg white s v
  shows hd (rev\text{-}follow\ (parent\ s)\ v) = src
\mathbf{lemma} \ (\mathbf{in} \ \mathit{bfs-invar}) \ \mathit{d-triangle-inequality-edge} :
  assumes (u, v) \in G.dE G
  assumes \neg white s u
  \mathbf{assumes} \, \neg \, \mathit{white} \, \mathit{s} \, \mathit{v}
```

**shows** d (parent s)  $v \le d$  (parent s) u + 1

- **9.4** *bfs.init*
- 9.4.1
- 9.4.2
- 9.5  $\lambda$ Map-lookup Set-inorder P-update P-lookup Q-snoc Q-head Q-tail G src s. fold (bfs.traverse-edge P-update P-lookup Q-snoc src (Q-head (queue s))) (adjacency.adjacency-list Map-lookup Set-inorder G (Q-head (queue s))) (s(queue := Q-tail (queue s)))

## 9.5.1 Convenience Lemmas

- 9.5.2
- 9.5.3 bfs-invar? Map-empty? Map-delete? Map-lookup? Map-inorder? Map-inv ?Set-empty? Set-insert? Set-delete? Set-isin? Set-inorder? Set-inv ?P-empty? P-delete? P-lookup? P-invar? Q-empty? Q-is-empty? Q-head ?Q-tail? Q-invar? Q-list? Map-update? G? src? P-update? Q-snoc ?s \Rightarrow Q-invar(queue?s)
- 9.5.4 bfs-invar? Map-empty? Map-delete? Map-lookup? Map-inorder? Map-inv ?Set-empty? Set-insert? Set-delete? Set-isin? Set-inorder? Set-inv ?P-empty? P-delete? P-lookup? P-invar? Q-empty? Q-is-empty? Q-head ?Q-tail? Q-invar? Q-list? Map-update? G? src? P-update? Q-snoc ?s \Rightarrow ?P-invar(state.parent?s)
- 9.5.5 bfs-invar?Map-empty?Map-delete?Map-lookup?Map-inorder?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty?P-delete?P-lookup?P-invar?Q-empty?Q-is-empty?Q-head ?Q-tail?Q-invar?Q-list?Map-update?G?src?P-update?Q-snoc ?s \Rightarrow ?P-lookup (state.parent?s) ?src = None

## 9.5.6 Basic Lemmas

 $\label{lemmas} \begin{array}{l} \textbf{lemmas (in } \textit{bfs-invar-not-DONE) } \textit{not-white-} \\ \textit{not-white-} \textit{imp-not-white-} \textit{fold} \\ \textit{not-white-} \textit{imp-lookup-parent-} \textit{fold-eq-lookup-parent} \\ \textit{not-white-} \textit{imp-rev-follow-} \textit{fold-eq-rev-follow} \\ \end{array}$ 

- 9.5.8 [bfs-invar?Map-empty?Map-delete?Map-lookup?Map-invar?Map-invar?Set-empty?Set-insert?Set-delete?Set-isin?Set-inorder?Set-invar?P-empty?P-delete?P-lookup?P-invar?Q-empty?Q-is-empty?Q-head?Q-tail?Q-invar?Q-list?Map-update?G?src?P-update?Q-snoc?s;  $?v \in set(?Q-list(queue?s))$ ]  $\Longrightarrow \neg \neg bfs.is-discovered?P-lookup?src(state.parent?s)?v$
- 9.5.9 [bfs-invar?Map-empty?Map-delete?Map-lookup?Map-invar?Map-invar?Set-empty?Set-insert?Set-delete?Set-isin?Set-inorder?Set-invar?P-empty?P-delete?P-lookup?P-invar?Q-empty?Q-is-empty?Q-head?Q-tail?Q-invar?Q-list?Map-update?G?src?P-update?Q-snoc?s;?P-lookup(state.parent?s)?v = Some?u]  $\Longrightarrow \neg \neg bfs.is-discovered$ ?P-lookup?src(state.parent?s)?u
- 9.5.10 [bfs-invar?Map-empty?Map-delete?Map-lookup?Map-invar?Map-invar?Set-empty?Set-insert?Set-delete?Set-isin?Set-inorder?Set-invar?P-empty?P-delete?P-lookup?P-invar?Q-empty?Q-is-empty?Q-head?Q-tail?Q-invar?Q-list?Map-update?G?src?P-update?Q-snoc?s;?u  $\rightarrow$  adjacency.dE?Map-lookup?Set-inorder?G?v; bfs.is-discovered?P-lookup?src(state.parent?s)?u  $\land$  ?u  $\notin$  set(?Q-list(queue?s))]  $\Rightarrow \neg \neg bfs.is-discovered?P-lookup?src(state.parent?s)?v$
- 9.5.11 bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G ?src ?P-update ?Q-snoc ?s  $\Longrightarrow$  sorted-wrt ( $\lambda u$  v. dpath-length (rev (parent.follow (?P-lookup (state.parent ?s)) u))  $\leq$  dpath-length (rev (parent.follow (?P-lookup (state.parent ?s)) v))) (?Q-list (queue ?s))
- 9.5.12 [bfs-invar?Map-empty?Map-delete?Map-lookup?Map-invar?Map-invar?Set-empty?Set-insert?Set-delete?Set-isin?Set-inorder?Set-invar?P-empty?P-delete?P-lookup?P-invar?Q-empty?Q-is-empty?Q-head?Q-tail?Q-invar?Q-list?Map-update?G?src?P-update?Q-snoc?s;  $\neg$ ?Q-is-empty (queue?s)]  $\Longrightarrow$  dpath-length (rev (parent.follow (?P-lookup (state.parent?s)) (last (?Q-list (queue?s)))))  $\leq$  dpath-length (rev (parent.follow (?P-lookup (state.parent?s))) (?Q-head (queue?s)))) + 1
- $\textbf{9.5.13} \quad \llbracket bfs\text{-}invar ? Map\text{-}empty ? Map\text{-}delete ? Map\text{-}lookup ? Map\text{-}invar ? Map\text{-}invar ? Set\text{-}empty ? Set\text{-}insert ? Set\text{-}delete ? Set\text{-}isin ? Set\text{-}inorder ? Set\text{-}invar ? P\text{-}empty ? P\text{-}delete ? P\text{-}lookup ? P\text{-}invar ? Q\text{-}empty ? Q\text{-}isempty ? Q\text{-}head ? Q\text{-}tail ? Q\text{-}invar ? Q\text{-}list ? Map\text{-}update ? G ? src ? P\text{-}update ? Q\text{-}snoc ? s; dpath\text{-}bet (adjacency.dE ? Map\text{-}lookup ? Set\text{-}inorder ? G) ? p?u ? v; \neg \neg bfs.is\text{-}discovered ? P\text{-}lookup ? src (state.parent ? s) ? u; \neg \neg bfs.is\text{-}discovered ? P\text{-}lookup ? src (state.parent ? s) ? v] \Longrightarrow dpath\text{-}length (rev (parent.follow (? P\text{-}lookup (state.parent ? s)) ? v)) \leq dpath\text{-}length (rev (parent.follow (? P\text{-}lookup (state.parent ? s)) ? u)) + dpath\text{-}length ? p$

```
dist G \equiv Shortest-Dpath.dist (G.dE G)
```

**abbreviation** (in bfs) is-shortest-dpath ::  $'n \Rightarrow 'a \text{ list} \Rightarrow 'a \Rightarrow 'a \Rightarrow bool \text{ where}$  is-shortest-dpath G p u  $v \equiv dpath-bet$  (G.dE G) p u  $v \land dpath-length$  p = dist G u v

#### 10.2 Basic Lemmas

```
lemma (in bfs-invar) queue-subset-dV:

shows set (Q-list (queue\ s))\subseteq G.dV\ G

lemma (in bfs-invar) dom-parent-subset-dV:

shows P.dom\ (parent\ s)\subseteq G.dV\ G
```

### 10.3 Convenience Lemmas

```
lemma (in bfs-invar) loop-dom: shows loop-dom (G, src, s)

lemma (in bfs) loop-psimps: assumes bfs-invar' G src s shows loop G src s = (if \neg DONE s then loop G src (fold G src s) else s)

lemma (in bfs-invar-not-DONE) loop-psimps: shows loop G src s = loop G src (fold G src s)

lemma (in bfs-invar-DONE) loop-psimps: shows loop G src s = s

lemma (in bfs) bfs-induct: assumes bfs-invar' G src s assumes bfs-invar' bfs ffs-invar' ffs ffs-invar' ffs-inv
```

## 10.4 Completeness

```
lemma (in bfs-invar-DONE) white-imp-not-reachable: assumes white s v shows \neg reachable (G.dE G) src v lemma (in bfs-valid-input) completeness: assumes bfs-invar'' s assumes \neg is-discovered src (parent (loop G src s)) v shows \neg reachable (G.dE G) src v
```

### 10.5 Soundness

```
lemma (in bfs-invar-DONE) not-white-imp-d-le-dist:
 assumes \neg white s v
 shows d (parent s) v \leq dist G src v
lemma (in bfs-invar-DONE) not-white-imp-is-shortest-dpath:
 assumes \neg white s v
 shows is-shortest-dpath G (rev-follow (parent s) v) src v
lemma (in bfs-valid-input) soundness:
 assumes bfs-invar'' s
 assumes is-discovered src (parent (loop G src s)) v
 shows is-shortest-dpath G (rev-follow (parent (loop G src s)) v) src v
10.6
         Correctness
abbreviation (in bfs) is-shortest-dpath-Map :: 'n \Rightarrow 'a \Rightarrow 'm \Rightarrow bool where
  is-shortest-dpath-Map G src m \equiv
  \forall v. (is\text{-}discovered\ src\ m\ v \longrightarrow is\text{-}shortest\text{-}dpath\ G\ (rev\text{-}follow\ m\ v)\ src\ v) \land
      (\neg is\text{-}discovered\ src\ m\ v\longrightarrow \neg\ reachable\ (G.dE\ G)\ src\ v)
lemma (in bfs-valid-input) correctness:
 assumes bfs-invar'' s
 shows is-shortest-dpath-Map G src (parent (loop G src s))
theorem (in bfs-valid-input) bfs-correct:
 shows is-shortest-dpath-Map G src (bfs G src)
corollary (in bfs) bfs-correct:
 assumes bfs-valid-input' G src
 shows is-shortest-dpath-Map G src (bfs G src)
end
theory Shortest-Path-Adaptor
 imports
   Path-Adaptor
   ../Directed-Graph/Shortest-Dpath
   ../ Undirected-Graph/Shortest-Path
begin
abbreviation is-shortest-dpath :: 'a dgraph \Rightarrow 'a dpath \Rightarrow 'a \Rightarrow 'a \Rightarrow bool where
   is-shortest-dpath G p u v \equiv dpath-bet G p u v \wedge dpath-length p = Short-
est-Dpath.dist G u v
lemma (in graph) dist-eq-dist:
 shows dist\ G\ u\ v = Shortest-Dpath.dist\ dEs\ u\ v
lemma (in graph) is-shortest-path-iff-is-shortest-dpath:
```

```
 \begin{array}{l} \textbf{shows} \ \textit{is-shortest-path} \ \textit{G} \ \textit{p} \ \textit{u} \ \textit{v} = \textit{is-shortest-dpath} \ \textit{dEs} \ \textit{p} \ \textit{u} \ \textit{v} \\ \\ \textbf{end} \\ \textbf{theory} \ \textit{Undirected-BFS} \\ \textbf{imports} \\ .../\textit{Graph/Adjacency/Adjacency-Adaptor} \\ \textit{BFS} \\ .../\textit{Graph/Adaptors/Shortest-Path-Adaptor} \\ \textbf{begin} \end{array}
```

# 11 Invariants

```
locale undirected-bfs-valid-input = bfs where
  Map-update = Map-update and
  P-update = P-update and
  Q-snoc = Q-snoc for
  Map\text{-}update :: 'a::linorder \Rightarrow 's \Rightarrow 'n \Rightarrow 'n and
  P-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and
  Q-snoc :: 'q \Rightarrow 'a \Rightarrow 'q +
 fixes G :: 'n
 fixes src :: 'a
 assumes invar-G: G.invar G
 assumes symmetric: v \in set (G.adjacency-list G u) \longleftrightarrow u \in set (G.adjacency-list
 assumes src\text{-}mem\text{-}V: src \in G.VG
begin
{f sublocale}\ symmetric\mbox{-}adjacency
sublocale bfs-valid-input
end
abbreviation (in bfs) undirected-bfs-valid-input' :: 'n \Rightarrow 'a \Rightarrow bool where
  undirected-bfs-valid-input' G src \equiv
  undirected-bfs-valid-input
   Map-empty Map-delete Map-lookup Map-inorder Map-inv
   Set-empty Set-insert Set-delete Set-isin Set-inorder Set-inv
   P-empty P-delete P-lookup P-invar
    Q-empty Q-is-empty Q-head Q-tail Q-invar Q-list
   Map-update P-update Q-snoc G src
```

## 12 Correctness

```
abbreviation (in bfs) is-shortest-path-Map :: 'n \Rightarrow 'a \Rightarrow 'm \Rightarrow bool where is-shortest-path-Map G src m \equiv \forall v. (is-discovered src m \ v \longrightarrow is-shortest-path (G.E. G) (rev-follow m \ v) src v) \land
```

```
(\neg is\text{-}discovered\ src\ m\ v \longrightarrow \neg\ reachable\ (G.E\ G)\ src\ v)
lemma (in undirected-bfs-valid-input) dist-eq-dist:
  shows Shortest-Path.dist (G.E G) u v = dist G u v
\mathbf{lemma} \ (\mathbf{in} \ undirected-bfs-valid-input}) \ is\text{-}shortest-path-iff-} is\text{-}shortest-dpath} :
  \mathbf{shows} \ \textit{is-shortest-path} \ (\textit{G.E G}) \ \textit{p} \ \textit{u} \ \textit{v} \longleftrightarrow \textit{is-shortest-dpath} \ \textit{G} \ \textit{p} \ \textit{u} \ \textit{v}
lemma (in undirected-bfs-valid-input) reachable-iff-reachable:
  shows reachable (G.E G) u v \longleftrightarrow Noschinski-to-DDFS.reachable (G.dE G) u v
lemma (in undirected-bfs-valid-input) undirected-bfs-correct:
  shows is-shortest-path-Map G src (bfs G src)
\mathbf{lemma} \ (\mathbf{in} \ \mathit{bfs}) \ \mathit{undirected-bfs-correct} \colon
  assumes undirected-bfs-valid-input' G src
  shows is-shortest-path-Map G src (bfs G src)
end
theory Alternating-BFS
  imports
    ../Graph/Undirected-Graph/Shortest-Alternating-Path
    ../BFS/Undirected-BFS
begin
locale alt-bfs = bfs where
  Map-update = Map-update and
  P-update = P-update and
  Q-snoc = Q-snoc for
  Map\text{-}update :: 'a::linorder \Rightarrow 's \Rightarrow 'n \Rightarrow 'n and
  P-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and
  Q-snoc :: 'q \Rightarrow 'a \Rightarrow 'q
begin
         Algorithm
13
thm init-def
thm DONE-def
thm is-discovered-def
thm discover-def
thm traverse-edge-def
definition P :: 'n \Rightarrow 'a \Rightarrow 'a \Rightarrow bool where
  P \ G \ u \ v \equiv case \ Map-lookup \ G \ u \ of \ None \Rightarrow False \mid Some \ s \Rightarrow Set\text{-}isin \ s \ v
```

```
definition P' :: 'n \Rightarrow 'a \ option \Rightarrow 'a \Rightarrow bool \ \mathbf{where}
  P' G uo v \equiv case uo of None \Rightarrow False \mid Some u \Rightarrow P G u v
definition adjacency :: 'n \Rightarrow 'n \Rightarrow ('q, 'm) state \Rightarrow 'a \Rightarrow 'a list where
  adjacency G1 G2 s v \equiv
  if P' G2 (P-lookup (parent s) v) v then G.adjacency-list G1 v
   else G.adjacency-list G2 v
function (domintros) alt-loop :: 'n \Rightarrow 'n \Rightarrow 'a \Rightarrow ('q, 'm) \ state \Rightarrow ('q, 'm) \ state
where
  alt-loop G1 G2 src s =
  (if \neg DONE s
   then let
         u = Q-head (queue s);
         q = Q-tail (queue s)
       in alt-loop G1 G2 src (List.fold (traverse-edge src u) (adjacency G1 G2 s u)
(s(|queue := q|))
    else s)
definition alt-bfs :: 'n \Rightarrow 'n \Rightarrow 'a \Rightarrow 'm where
  alt-bfs G1 G2 src \equiv parent (alt-loop G1 G2 src (init src))
abbreviation alt-fold :: 'n \Rightarrow 'n \Rightarrow 'a \Rightarrow ('q, 'm) \ state \Rightarrow ('q, 'm) \ state where
  alt-fold G1 G2 src s \equiv
  List.fold
   (traverse-edge\ src\ (Q-head\ (queue\ s)))
   (adjacency\ G1\ G2\ s\ (Q-head\ (queue\ s)))
   (s(|queue := Q-tail (queue s)|))
        Convenience Lemmas
14
         P
14.1
lemma P-iff-mem-adjacency:
 assumes G.invar G
 shows P G u v \longleftrightarrow v \in set (G.adjacency-list G u)
         local.adjacency
14.2
lemma distinct-adjacency:
 assumes G.invar G1
 assumes G.invar~G2
 shows distinct (adjacency G1 G2 s v)
lemma adjacency-subset-V-union:
 assumes G.invar G1
 assumes G.invar G2
 shows set (adjacency\ G1\ G2\ s\ v)\subseteq G.V\ (G.union\ G1\ G2)
```

# 15 Basic Lemmas

shows

```
alt-fold
15.1
15.1.1 Q-invar \circ queue
lemma invar-queue-alt-fold:
 assumes G.invar G1
 assumes G.invar G2
 assumes Q-invar (queue s)
 assumes \neg DONE s
 shows Q-invar (queue (alt-fold G1 G2 src s))
15.1.2 Q-list \circ queue
lemma list-queue-alt-fold-cong:
 assumes G.invar G1
 assumes G.invar G2
 assumes Q-invar (queue s)
 assumes P-invar (parent s)
 assumes \neg DONE s
 shows
   Q-list (queue (alt-fold G1 G2 src s)) =
    Q-list (Q-tail (queue\ s)) @
    filter (Not o is-discovered src (parent s)) (adjacency G1 G2 s (Q-head (queue
s)))
15.1.3 set \circ Q-list \circ queue
lemma queue-alt-fold-subset-V-union:
 assumes G.invar G1
 assumes G.invar~G2
 assumes Q-invar (queue s)
 assumes P-invar (parent s)
 assumes set (Q-list (queue\ s))\subseteq G.V\ (G.union\ G1\ G2)
 \mathbf{assumes} \, \neg \, \mathit{DONE} \, s
 shows set (Q-list (queue (alt-fold G1 G2 src s))) \subseteq G.V <math>(G.union G1 G2)
15.1.4 state.parent
{f lemma}\ lookup\mbox{-}parent\mbox{-}alt\mbox{-}fold\mbox{-}cong:
 assumes G.invar G1
 assumes G.invar G2
 assumes P-invar (parent s)
```

```
P-lookup (parent (alt-fold G1 G2 src s)) =
    override\hbox{-} on
    (P	ext{-}lookup\ (parent\ s))
     (\lambda-. Some (Q-head (queue\ s)))
     (set (filter (Not ∘ is-discovered src (parent s)) (adjacency G1 G2 s (Q-head
(queue\ s)))))
15.1.5 P-invar \circ state.parent
lemma invar-parent-alt-fold:
 assumes G.invar G1
 assumes G.invar G2
 assumes P-invar (parent s)
 shows P-invar (parent (alt-fold G1 G2 src s))
15.1.6 P.dom \circ state.parent
lemma dom-parent-fold-subset-V:
 assumes P-invar (parent s)
 assumes distinct l
 assumes P.dom\ (parent\ s)\subseteq G.V\ G
 assumes set l \subseteq G.VG
 shows P.dom (parent (List.fold (traverse-edge src u) l s)) \subseteq G.V G
lemma dom-parent-alt-fold-subset-V-union:
 assumes G.invar G1
 assumes G.invar~G2
 assumes P-invar (parent s)
 assumes P.dom\ (parent\ s)\subseteq G.V\ (G.union\ G1\ G2)
 shows P.dom (parent (alt-fold G1 G2 src s)) \subseteq G.V (G.union G1 G2)
15.1.7 T
lemma T-alt-fold-cong:
 assumes G.invar G1
 assumes G.invar G2
 assumes P-invar (parent s)
 shows
   T (parent (alt-fold G1 G2 src s)) =
    T (parent s) \cup
    \{(Q\text{-}head\ (queue\ s),\ v)\ | v.\ v\in set\ (adjacency\ G1\ G2\ s\ (Q\text{-}head\ (queue\ s)))\ \land
\neg is-discovered src (parent s) v}
```

# 16 Termination

```
lemma alt-loop-dom:
assumes G.invar G1
assumes G.invar G2
assumes Q-invar (queue\ s)
assumes P-invar (parent\ s)
assumes set\ (Q-list\ (queue\ s))\subseteq G.V\ (G.union\ G1\ G2)
assumes P.dom\ (parent\ s)\subseteq G.V\ (G.union\ G1\ G2)
shows alt-loop-dom\ (G1,\ G2,\ src,\ s)
```

end

## 17 Invariants

#### 17.1 Definitions

```
locale alt-bfs-valid-input = alt-bfs where
  Map-update = Map-update and
  P-update = P-update and
  Q-snoc = Q-snoc for
  Map\text{-}update :: 'a::linorder \Rightarrow 's \Rightarrow 'n \Rightarrow 'n and
  P-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and
  Q-snoc :: 'q \Rightarrow 'a \Rightarrow 'q +
  fixes G1 G2 :: 'n
  fixes src :: 'a
  assumes invar-G1: G.invar G1
 assumes invar-G2: G.invar G2
 assumes G1-symmetric: v \in set (G.adjacency-list G1 u) \longleftrightarrow u \in set (G.adjacency-list
 assumes G2-symmetric: v \in set (G.adjacency-list G2 u) \longleftrightarrow u \in set (G.adjacency-list
G2 v)
  assumes E1-E2-disjoint: G.E G1 \cap G.E G2 = {}
 assumes no-odd-cycle: \neg (\exists c. path (G.E (G.union G1 G2)) c \land odd-cycle c)
 assumes src\text{-}mem\text{-}V2: src \in G.V G2
abbreviation (in alt-bfs-valid-input) d :: 'm \Rightarrow 'a \Rightarrow nat where
  d \ m \ v \equiv path{-length} \ (rev{-follow} \ m \ v)
abbreviation (in alt-bfs-valid-input) P'' :: 'a set \Rightarrow bool where
  P^{\prime\prime} e \equiv e \in G.E G2
abbreviation (in alt-bfs-valid-input) alt :: ('q, 'm) state \Rightarrow 'a \Rightarrow 'a \Rightarrow bool where
  alt s \ u \ v \equiv P' \ G2 \ (P\text{-lookup (parent } s) \ u) \ u \longleftrightarrow \neg P \ G2 \ u \ v
abbreviation (in alt-bfs-valid-input) Q :: ('q, 'm) \ state \Rightarrow 'a \Rightarrow 'a \ set \Rightarrow bool
  Q \ s \ v \equiv if \ P' \ G2 \ (P\text{-lookup (parent s) } v) \ v \ then \ (Not \circ P'') \ else \ P''
```

```
abbreviation (in alt-bfs-valid-input) G :: 'n where
  G \equiv G.union \ G1 \ G2
abbreviation (in alt-bfs-valid-input) white :: ('q, 'm) state \Rightarrow 'a \Rightarrow bool where
  white s \ v \equiv \neg \ is\text{-}discovered \ src \ (parent \ s) \ v
abbreviation (in alt-bfs-valid-input) gray :: ('q, 'm) state \Rightarrow 'a \Rightarrow bool where
  gray s \ v \equiv is-discovered src \ (parent \ s) \ v \land v \in set \ (Q-list (queue \ s))
abbreviation (in alt-bfs-valid-input) black :: ('q, 'm) state \Rightarrow 'a \Rightarrow bool where
  black\ s\ v \equiv is\text{-}discovered\ src\ (parent\ s)\ v \land v \notin set\ (Q\text{-}list\ (queue\ s))
locale alt-bfs-invar =
  alt-bfs-valid-input where P-update = P-update and Q-snoc = Q-snoc +
  parent P-lookup (parent s) for
  P-update :: 'a::linorder \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and
  Q-snoc :: 'q \Rightarrow 'a \Rightarrow 'q and
  s :: ('q, 'm) \ state +
  assumes invar-queue: Q-invar (queue s)
  assumes invar-parent: P-invar (parent s)
  assumes parent-src: P-lookup (parent s) src = None
  assumes parent-imp-alt: P-lookup (parent s) v = Some \ u \Longrightarrow alt \ s \ u \ v
  assumes parent-imp-edge: P-lookup (parent s) v = Some \ u \Longrightarrow \{u, v\} \in G.E.G
  assumes not-white-if-mem-queue: v \in set (Q-list (queue s)) \Longrightarrow \neg white s v
  assumes not-white-if-parent: P-lookup (parent s) v = Some \ u \Longrightarrow \neg \ white \ s \ u
 assumes black-imp-adjacency-not-white: \llbracket alt\ s\ u\ v;\ \{u,v\}\in G.E\ G;\ black\ s\ u\ \rrbracket
\implies \neg \text{ white } s \text{ } v
  assumes queue-sorted-wrt-d: sorted-wrt (\lambda u \ v. \ d \ (parent \ s) \ u \le d \ (parent \ s) \ v)
(Q-list\ (queue\ s))
  assumes d-last-queue-le: \neg Q-is-empty (queue s) \Longrightarrow d (parent s) (last (Q-list
(queue\ s)) \le d\ (parent\ s)\ (Q-head\ (queue\ s)) + 1
 assumes d-triangle-inequality: [ alt-path (Q \ s \ u) \ (Not \circ Q \ s \ u) \ (G.E \ G) \ p \ u \ v;
\neg white s \ u; \neg white s \ v \ ] \implies d \ (parent \ s) \ v \le d \ (parent \ s) \ u + path-length \ p
locale alt-bfs-invar-not-DONE = alt-bfs-invar where P-update = P-update and
Q-snoc = Q-snoc for
  P-update :: 'a::linorder \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and
  Q-snoc :: 'q \Rightarrow 'a \Rightarrow 'q +
 assumes not-DONE: \neg DONE s
locale alt-bfs-invar-DONE = alt-bfs-invar where P-update = P-update and Q-snoc
= Q-snoc for
  P-update :: 'a::linorder \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and
  Q-snoc :: 'q \Rightarrow 'a \Rightarrow 'q +
  assumes DONE: DONE s
abbreviation (in alt-bfs) alt-bfs-valid-input' :: n \Rightarrow n \Rightarrow a \Rightarrow bool where
  alt-bfs-valid-input' G1 G2 src \equiv
   alt-bfs-valid-input
```

```
Map-empty Map-delete Map-lookup Map-inorder Map-inv
   Set-empty Set-insert Set-delete Set-isin Set-inorder Set-inv
   P-empty P-delete P-lookup P-invar
   Q-empty Q-is-empty Q-head Q-tail Q-invar Q-list
   Map-update P-update Q-snoc G1 G2 src
abbreviation (in alt-bfs) alt-bfs-invar' :: n \Rightarrow n \Rightarrow a \Rightarrow (q, m) state \Rightarrow bool
where
 alt-bfs-invar' G1 G2 src s \equiv
  alt-bfs-invar
   Map-empty Map-delete Map-lookup Map-inorder Map-inv
   Set-empty Set-insert Set-delete Set-isin Set-inorder Set-inv
   P-empty P-delete P-lookup P-invar
   Q-empty Q-is-empty Q-head Q-tail Q-invar Q-list
   Map-update G1 G2 src P-update Q-snoc s
abbreviation (in alt-bfs-valid-input) alt-bfs-invar'' :: ('q, 'm) state \Rightarrow bool where
 alt-bfs-invar'' \equiv alt-bfs-invar' G1 G2 src
abbreviation (in alt-bfs) alt-bfs-invar-not-DONE' :: n \Rightarrow n \Rightarrow a \Rightarrow (q, m)
state \Rightarrow bool  where
 alt-bfs-invar-not-DONE' G1 G2 src s
  alt-bfs-invar-not-DONE
   Map-empty Map-delete Map-lookup Map-inorder Map-inv
   Set-empty Set-insert Set-delete Set-isin Set-inorder Set-inv
   P-empty P-delete P-lookup P-invar
   Q-empty Q-is-empty Q-head Q-tail Q-invar Q-list
   Map-update G1 G2 src s P-update Q-snoc
abbreviation (in alt-bfs-valid-input) alt-bfs-invar-not-DONE":: ('q, 'm) state \Rightarrow
bool where
 alt-bfs-invar-not-DONE'' \equiv alt-bfs-invar-not-DONE' G1 G2 src
abbreviation (in alt-bfs) alt-bfs-invar-DONE' :: 'n \Rightarrow 'n \Rightarrow 'a \Rightarrow ('q, 'm) state
\Rightarrow bool \text{ where}
 alt-bfs-invar-DONE' G1 G2 src s \equiv
  alt-bfs-invar-DONE
   Map-empty Map-delete Map-lookup Map-inorder Map-inv
   Set-empty Set-insert Set-delete Set-isin Set-inorder Set-inv
   P-empty P-delete P-lookup P-invar
   Q-empty Q-is-empty Q-head Q-tail Q-invar Q-list
   Map-update G1 G2 src s P-update Q-snoc
abbreviation (in alt-bfs-valid-input) alt-bfs-invar-DONE":: ('q, 'm) state \Rightarrow bool
where
 alt-bfs-invar-DONE'' \equiv alt-bfs-invar-DONE' G1 G2 src
```

#### 17.2 Convenience Lemmas

```
17.2.1 alt-bfs
lemma (in alt-bfs) alt-bfs-invar-not-DONE'I:
 assumes alt-bfs-invar' G1 G2 src s
 assumes \neg DONE s
 shows alt-bfs-invar-not-DONE' G1 G2 src s
lemma (in alt-bfs) alt-bfs-invar-DONE'I:
 assumes alt-bfs-invar' G1 G2 src s
 \mathbf{assumes}\ \mathit{DONE}\ \mathit{s}
 shows alt-bfs-invar-DONE' G1 G2 src s
17.2.2
         alt-bfs-valid-input
lemma (in alt-bfs-valid-input) vertex-color-induct [case-names white gray black]:
 assumes white s \ v \Longrightarrow Q' \ s \ v
 assumes gray s v \Longrightarrow Q' s v
 assumes black \ s \ v \Longrightarrow \ Q' \ s \ v
 shows Q' s v
lemma (in alt-bfs-valid-input) Q-P''-cong:
 assumes P' G2 (P\text{-lookup }(parent \ s) \ v) \ v
 shows
   Q \ s \ v = (Not \circ P'')
   (Not \circ Q s v) = P''
lemma (in alt-bfs-valid-input) Q-P''-cong-2:
 assumes \neg P' G2 (P\text{-lookup } (parent s) v) v
 shows
   Q s v = P''
   (Not \circ Q \ s \ v) = (Not \circ P'')
lemma (in alt-bfs-valid-input) invar-G:
 shows G.invar G
lemma (in alt-bfs-valid-input) mem-E-if-mem-E1:
 assumes e \in G.E G1
 shows e \in G.E G
lemma (in alt-bfs-valid-input) mem-E-if-mem-E2:
 assumes e \in G.E G2
 shows e \in G.E G
lemma (in alt-bfs-valid-input) mem-E1-iff-not-mem-E2:
 assumes e \in G.E G
 shows e \notin G.E G1 = P'' e
```

```
lemma (in alt-bfs-valid-input) src-mem-V:
 shows src \in G.VG
context alt-bfs-valid-input
begin
sublocale G1: symmetric-adjacency where G = G1
sublocale G2: symmetric-adjacency where G = G2
sublocale G: symmetric-adjacency where G = G
end
lemma (in alt-bfs-valid-input) P-P''-cong:
 shows P G2 u v \longleftrightarrow P'' \{u, v\}
lemma (in alt-bfs-valid-input) mem-adjacency-imp-alt:
 assumes v \in set \ (adjacency \ G1 \ G2 \ s \ u)
 shows alt s u v
lemma (in alt-bfs-valid-input) mem-adjacency-imp-edge:
 assumes v \in set \ (adjacency \ G1 \ G2 \ s \ u)
 shows \{u, v\} \in G.E G
lemma (in alt-bfs-valid-input) mem-adjacency-if-edge:
 assumes alt \ s \ u \ v
 assumes \{u, v\} \in G.E G
 assumes \neg white s u
 shows v \in set (adjacency G1 G2 s u)
lemma (in alt-bfs-valid-input) src-not-white:
 shows \neg white s src
17.3
      Basic Lemmas
17.3.1 alt-bfs-valid-input
lemma (in alt-bfs-valid-input) parent-imp-d:
 assumes Parent-Relation.parent (P-lookup (parent s))
 assumes P-lookup (parent s) v = Some u
 shows d (parent s) v = d (parent s) u + 1
lemma (in alt-bfs-valid-input) P'E:
 assumes P' G2 (P-lookup (parent \ s) \ v) \ v
 obtains u where
   P-lookup (parent s) v = Some \ u
   P'' \{u, v\}
```

```
17.3.2 alt-bfs-invar
lemma (in alt-bfs-invar) rev-follow:
 shows
   rev-follow (parent s) v \neq [
   last (rev-follow (parent s) v) = v
lemma (in alt-bfs-invar) parent-rev-followE:
 assumes P-lookup (parent s) v = Some u
 obtains p where rev-follow (parent s) v = p @ [u, v]
lemma (in alt-bfs-invar) parent-imp-rev-follow:
 assumes P-lookup (parent s) v = Some u
 shows rev-follow (parent s) v = rev-follow (parent s) u @ [v]
lemma (in alt-bfs-invar) not-P'E:
 assumes \neg P' G2 (P-lookup (parent s) v) v
 assumes v \neq src
 assumes \neg white s v
 obtains u where
   P-lookup (parent s) v = Some \ u
   \neg P'' \{u, v\}
lemma (in alt-bfs-invar) not-P'D:
 assumes \neg P' G2 (P\text{-lookup } (parent s) v) v
 assumes v \neq src
 assumes \neg white s v
 shows
   edges-of-path (rev-follow (parent\ s)\ v) \neq []
   \neg P'' (last (edges-of-path (rev-follow (parent s) v)))
lemma (in alt-bfs-invar) P'-P''-cong:
 shows P' G2 (P\text{-lookup }(parent \ s) \ v) \ v \longleftrightarrow edges\text{-of-path }(rev\text{-follow }(parent \ s)
v) \neq [] \land P'' (last (edges-of-path (rev-follow (parent s) v)))
lemma (in alt-bfs-invar) alt-path-rev-follow-src:
 shows alt-path P'' (Not \circ P'') (G.E.G.) (rev-follow (parent s) src) src src
lemma (in alt-bfs-invar) alt-path-rev-follow-snocI:
 assumes alt-path P'' (Not \circ P'') (G.E G) (rev-follow (parent s) u) src u
 assumes \{u, v\} \in G.E G
 assumes alt \ s \ u \ v
 assumes \neg white s u
 shows alt-path P'' (Not \circ P'') (G.E G) (rev-follow (parent s) u @ [v]) src v
lemma (in alt-bfs-invar) not-white-imp-alt-path-rev-follow:
 assumes \neg white s v
 shows alt-path P'' (Not \circ P'') (G.E.G.) (rev-follow (parent s) v) src v
lemma (in alt-bfs-invar) hd-rev-follow-eq-src:
```

```
assumes \neg white s v
 shows hd (rev\text{-}follow\ (parent\ s)\ v) = src
lemma (in alt-bfs-invar) alt-path-snoc-snocD:
 assumes alt-path: alt-path P'' (Not \circ P'') (G.E. G) (p @ [u, v]) src v
 assumes not-white: \neg white s u
 shows
   \{u, v\} \in G.E G
   alt\ s\ u\ v
lemma (in alt-bfs-invar) alt-path-rev-follow-appendI:
 assumes alt-path: alt-path (Q \ s \ u) \ (Not \circ Q \ s \ u) \ (G.E \ G) \ (p @ [v, w]) \ u \ w
 assumes not-white: \neg white s u
 shows alt-path P'' (Not \circ P'') (G.E.G.) (but last (rev-follow (parent s) u) @ p @
[v, w] src w
lemma (in alt-bfs-invar) mem-queue-imp-d-qe:
 assumes v \in set (Q\text{-}list (queue s))
 shows d (parent s) (Q-head (queue s)) \leq d (parent s) v
lemma (in alt-bfs-invar) mem-queue-imp-d-le:
 assumes v \in set (Q\text{-}list (queue s))
 shows d (parent s) v \le d (parent s) (last (Q-list (queue s)))
lemma (in alt-bfs-invar) d-triangle-inequality-edge:
 assumes \{u, v\} \in G.E G
 assumes alt \ s \ u \ v
 assumes \neg white s u
 assumes \neg white s v
 shows d (parent s) v \le d (parent s) u + 1
17.4
        bfs.init
17.4.1
lemma (in alt-bfs-valid-input) follow-invar-parent-init:
 shows follow-invar (P-lookup (parent (init src)))
17.4.2
          alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder
          ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder
          ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty
          ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src
          ?P-update ?Q-snoc ?s \implies ?Q-invar (queue ?s)
lemma (in alt-bfs-valid-input) invar-queue-init:
 shows Q-invar (queue (init src))
```

17.4.3 alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc ?s \Rightarrow ?P-invar (state.parent ?s)

lemma (in alt-bfs-valid-input) invar-parent-init: shows P-invar (parent (init src))

17.4.4 alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc ?s  $\Longrightarrow$  ?P-lookup (state.parent ?s) ?src = None

lemma (in alt-bfs-valid-input) parent-src-init: shows P-lookup (parent (init src)) src = None

17.4.5 [alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc ?s; ?P-lookup (state.parent ?s) ?v = Some ?u]  $\Rightarrow$  alt-bfs.P' ?Map-lookup ?Set-isin ?G2.0 (?P-lookup (state.parent ?s) ?u) ?u =  $(\neg alt-bfs.P)$  ?Map-lookup ?Set-isin ?G2.0 ?u ?v)

lemma (in alt-bfs-valid-input) parent-imp-alt-init: assumes P-lookup (parent (init src))  $v = Some \ u$  shows alt (init src)  $u \ v$ 

17.4.6 [alt-bfs-invar?Map-empty?Map-delete?Map-lookup?Map-inorder?Map-inv?Set-empty?Set-insert?Set-delete?Set-isin?Set-inorder?Set-inv?P-empty?P-delete?P-lookup?P-invar?Q-empty?Q-is-empty?Q-head?Q-tail?Q-invar?Q-list?Map-update?G1.0?G2.0?src?P-update?Q-snoc?s;?P-lookup(state.parent?s)?v = Some?u] ⇒ {?u, ?v} ∈ adjacency.E?Map-lookup?Set-inorder(adjacency.union?Map-update?Map-lookup?Map-inorder?Set-insert?Set-inorder?G1.0?G2.0)

lemma (in alt-bfs-valid-input) parent-imp-edge-init: assumes P-lookup (parent (init src))  $v = Some \ u$  shows  $\{u, v\} \in G.E.G$ 

17.4.7 [alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc ?s; ?v  $\in$  set (?Q-list (queue ?s))]  $\Longrightarrow \neg \neg$  bfs.is-discovered ?P-lookup ?src (state.parent ?s) ?v

lemma (in alt-bfs-valid-input) not-white-if-mem-queue-init: assumes  $v \in set \ (Q\text{-}list \ (queue \ (init \ src)))$  shows  $\neg$  white (init src) v

17.4.8 [alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc ?s; ?P-lookup (state.parent ?s) ?v = Some ?u] ⇒ ¬¬ bfs.is-discovered ?P-lookup ?src (state.parent ?s) ?u

lemma (in alt-bfs-valid-input) not-white-if-parent-init: assumes P-lookup (parent (init src))  $v = Some \ u$  shows  $\neg$  white (init src) u

17.4.9 [alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc ?s; alt-bfs.P' ?Map-lookup ?Set-isin ?G2.0 (?P-lookup (state.parent ?s) ?u) ?u = ( $\neg$  alt-bfs.P ?Map-lookup ?Set-isin ?G2.0 ?u ?v); {?u, ?v}  $\in$  adjacency.E ?Map-lookup ?Set-inorder (adjacency.union ?Map-update ?Map-lookup ?Map-inorder ?Set-insert ?Set-inorder ?G1.0 ?G2.0); bfs.is-discovered ?P-lookup ?src (state.parent ?s) ?u  $\land$  ?u  $\notin$  set (?Q-list (queue ?s))]  $\Longrightarrow \neg \neg$  bfs.is-discovered ?P-lookup ?src (state.parent ?s) ?v

lemma (in alt-bfs-valid-input) black-imp-adjacency-not-white-init:

assumes alt (init src) u v assumes  $\{u, v\} \in G.E$  G assumes black (init src) u shows  $\neg$  white s v

17.4.10 alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc ?s  $\Longrightarrow$  sorted-wrt  $(\lambda u \ v. \ path-length \ (rev \ (parent.follow \ (?P-lookup \ (state.parent ?s)) \ v))) (?Q-list \ (queue ?s))$ 

lemma (in alt-bfs-valid-input) queue-sorted-wrt-d-init: shows sorted-wrt ( $\lambda u \ v. \ d \ (parent \ (init \ src)) \ u \le d \ (parent \ (init \ src)) \ v) \ (Q-list \ (queue \ (init \ src)))$ 

17.4.11 [alt-bfs-invar?Map-empty?Map-delete?Map-lookup?Map-inorder ?Map-inv?Set-empty?Set-insert?Set-delete?Set-isin?Set-inorder ?Set-inv?P-empty?P-delete?P-lookup?P-invar?Q-empty?Q-is-empty?Q-head?Q-tail?Q-invar?Q-list?Map-update?G1.0?G2.0?src?P-update?Q-snoc?s;  $\neg$  ?Q-is-empty (queue?s)]  $\Longrightarrow$  path-length (rev (parent.follow (?P-lookup (state.parent?s)) (last (?Q-list (queue?s)))))  $\leq$  path-length (rev (parent.follow (?P-lookup (state.parent?s))) (?Q-head (queue?s)))) + 1

lemma (in alt-bfs-valid-input) d-last-queue-le-init: assumes  $\neg$  Q-is-empty (queue (init src)) shows d (parent (init src)) (last (Q-list (queue (init src))))  $\leq$  d (parent (init src)) (Q-head (queue (init src))) + 1 [alt-bfs-invar?Map-empty?Map-delete?Map-lookup?Map-inorder] ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc ?s; Alternating-Path.alt-path (if alt-bfs.P' ?Map-lookup ?Set-isin ?G2.0 (?P-lookup (state.parent ?s) ?u) ?u then Not o  $(\lambda e. \ e \in adjacency.E \ ?Map-lookup \ ?Set-inorder \ ?G2.0) \ else \ (\lambda e.$  $e \in adjacency.E ?Map-lookup ?Set-inorder ?G2.0)) (Not \circ (if$ alt-bfs.P' ?Map-lookup ?Set-isin ?G2.0 (?P-lookup (state.parent ?s) ?u) ?u then Not  $\circ$  ( $\lambda e.\ e \in adjacency.E$  ?Map-lookup ?Set-inorder (G2.0) else ( $\lambda e.\ e \in adjacency.E\ (Map-lookup\ (Set-inorder\ (G2.0)))$ (adjacency. E? Map-lookup? Set-inorder (adjacency. union? Map-update ?Map-lookup ?Map-inorder ?Set-insert ?Set-inorder ?G1.0 ?G2.0))  $?p ?u ?v; \neg \neg bfs.is-discovered ?P-lookup ?src (state.parent ?s)$  $?u; \neg \neg bfs.is-discovered ?P-lookup ?src (state.parent ?s) ?v \implies$ path-length (rev (parent.follow (?P-lookup (state.parent ?s)) ?v))  $\leq$  path-length (rev (parent.follow (?P-lookup (state.parent ?s))) (2u)) + path-length (2p)

```
lemma (in alt-bfs-valid-input) d-triangle-inequality-init:

assumes alt-path (Q (init src) u) (Not \circ Q (init src) u) (G.E.G) p u v

assumes \neg white (init src) u

assumes \neg white (init src) v

shows d (parent (init src)) v \leq d (parent (init src)) u + path-length p
```

## 17.4.13

lemma (in alt-bfs-valid-input) alt-bfs-invar-init: shows alt-bfs-invar'' (init src)

17.5  $\lambda$ Map-lookup Set-isin Set-inorder P-lookup Q-head Q-tail P-update Q-snoc G1 G2 src s. fold (bfs.traverse-edge P-update P-lookup Q-snoc src (Q-head (queue s))) (alt-bfs.adjacency Map-lookup Set-isin Set-inorder P-lookup G1 G2 s (Q-head (queue s))) (s(queue := Q-tail (queue s))))

#### 17.5.1 Convenience Lemmas

```
lemma (in alt-bfs-invar-not-DONE) list-queue-alt-fold-cong: shows Q\text{-list } (queue \ (alt\text{-}fold \ G1 \ G2 \ src \ s)) = \\ Q\text{-list } (Q\text{-}tail \ (queue \ s)) @ \\ filter \ (Not \circ is\text{-}discovered \ src \ (parent \ s)) \ (adjacency \ G1 \ G2 \ s \ (Q\text{-}head \ (queue \ s)))
```

**lemma** (in alt-bfs-invar) lookup-parent-alt-fold-cong:

```
shows
   P-lookup (parent (alt-fold G1 G2 src s)) =
    override\hbox{-} on
     (P-lookup\ (parent\ s))
     (\lambda-. Some (Q-head (queue\ s)))
     (set (filter (Not ∘ is-discovered src (parent s)) (adjacency G1 G2 s (Q-head
(queue\ s)))))
lemma (in alt-bfs-invar) T-fold-cong:
 shows
   T (parent (alt-fold G1 G2 src s)) =
    T (parent s) \cup
    \{(Q\text{-}head\ (queue\ s),\ v)\ | v.\ v\in set\ (adjacency\ G1\ G2\ s\ (Q\text{-}head\ (queue\ s)))\ \land
\neg is-discovered src (parent s) v}
lemma (in alt-bfs-invar-not-DONE) list-queue-non-empty:
 shows Q-list (queue s) \neq []
lemma (in alt-bfs-invar-not-DONE) head-queue-mem-queue:
 shows Q-head (queue\ s) \in set\ (Q-list (queue\ s))
lemma (in alt-bfs-invar-not-DONE) not-white-head-queue:
 shows \neg white s (Q-head (queue\ s))
17.5.2
\mathbf{lemma} \ (\mathbf{in} \ \mathit{alt-bfs-invar-not-DONE}) \ \mathit{follow-invar-parent-alt-fold} :
 \mathbf{shows}\ follow\text{-}invar\ (P\text{-}lookup\ (parent\ (alt\text{-}fold\ G1\ G2\ src\ s)))
lemma (in alt-bfs-invar-not-DONE) parent-alt-fold:
 shows Parent-Relation.parent (P-lookup (parent (alt-fold G1 G2 src s)))
17.5.3
          alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder
          ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder
          ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty
          ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src
          ?P-update ?Q-snoc ?s \implies ?Q-invar (queue ?s)
lemma (in alt-bfs-invar-not-DONE) invar-queue-alt-fold:
 shows Q-invar (queue (alt-fold G1 G2 src s))
```

17.5.4 alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc ?s \Rightarrow ?P-invar (state.parent ?s)

lemma (in alt-bfs-invar) invar-parent-alt-fold: shows P-invar (parent (alt-fold G1 G2 src s))

bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G ?src ?P-update ?Q-snoc ?s ⇒ ?P-lookup (state.parent ?s) ?src = None

lemma (in alt-bfs-invar) parent-src-alt-fold: shows P-lookup (parent (alt-fold G1 G2 src s)) src = None

## 17.5.6 Basic Lemmas

```
lemma (in alt-bfs-invar-not-DONE) head-queueI:
 assumes v \in set (Q\text{-}list (queue s))
 assumes v \notin set (Q\text{-}list (queue (alt\text{-}fold G1 G2 src s)))
 shows v = Q-head (queue s)
lemma (in alt-bfs-invar) head-queueI-2:
 assumes P-lookup (parent s) v \neq Some u
 assumes P-lookup (parent (alt-fold G1 G2 src s)) v = Some \ u
 shows u = Q-head (queue s)
lemma (in alt-bfs-invar-not-DONE) whiteD:
 assumes white s v
 shows \neg black (alt-fold G1 G2 src s) v
lemma (in alt-bfs-invar) whiteI:
 assumes P-lookup (parent s) v \neq Some \ u
 assumes P-lookup (parent (alt-fold G1 G2 src s)) v = Some \ u
 shows white s v
lemma (in alt-bfs-invar) not-white-imp-not-white-alt-fold:
 assumes \neg white s v
 shows \neg white (alt-fold G1 G2 src s) v
lemma (in alt-bfs-invar) not-white-imp-lookup-parent-alt-fold-eq-lookup-parent:
 assumes \neg white s v
 shows P-lookup (parent (alt-fold G1 G2 src s)) v = P-lookup (parent s) v
```

```
\mathbf{lemma} (in alt\text{-}bfs\text{-}invar\text{-}not\text{-}DONE) not\text{-}white\text{-}imp\text{-}rev\text{-}follow\text{-}alt\text{-}fold\text{-}eq\text{-}rev\text{-}follow:
 assumes \neg white s v
 shows rev-follow (parent (alt-fold G1 G2 src s)) v = rev-follow (parent s) v
lemmas (in alt-bfs-invar-not-DONE) not-=
  not\text{-}white\text{-}imp\text{-}not\text{-}white\text{-}alt\text{-}fold
  not\text{-}white\text{-}imp\text{-}lookup\text{-}parent\text{-}alt\text{-}fold\text{-}eq\text{-}lookup\text{-}parent
  not\text{-}white\text{-}imp\text{-}rev\text{-}follow\text{-}alt\text{-}fold\text{-}eq\text{-}rev\text{-}follow
lemma (in alt-bfs-invar-not-DONE) mem-filterD:
  assumes v \in set (filter (Not \circ is-discovered src (parent s)) (adjacency G1 G2 s
(Q-head\ (queue\ s))))
 shows
   d (parent (alt-fold G1 G2 src s)) v = d (parent (alt-fold G1 G2 src s)) (Q-head
(queue\ s))+1
    d (parent (alt-fold G1 G2 src s)) (last (Q-list (queue s))) < d (parent (alt-fold G1 G2 src s))
G1 \ G2 \ src \ s)) \ v
lemma (in alt-bfs-invar) white-not-white-alt-foldD:
 assumes white s v
 assumes \neg white (alt-fold G1 G2 src s) v
 shows
   v \in set \ (adjacency \ G1 \ G2 \ s \ (Q-head \ (queue \ s)))
   P-lookup (parent (alt-fold G1 G2 src s)) v = Some (Q-head (queue s))
lemma (in alt-bfs-invar-not-DONE) white-not-white-alt-foldD-2:
  assumes white s v
 assumes \neg white (alt-fold G1 G2 src s) v
  shows d (parent (alt-fold G1 G2 src s)) v = d (parent (alt-fold G1 G2 src s))
(Q	ext{-}head\ (queue\ s)) + 1
lemmas (in alt-bfs-invar-not-DONE) white-not-white-alt-foldD = \frac{1}{2}
  white-not-white-alt-foldD
  white-not-white-alt-foldD-2
17.5.7
           [alt-bfs-invar?Map-empty?Map-delete?Map-lookup?Map-inorder]
           ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder
           ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty
           ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src
           ?P-update ?Q-snoc ?s; ?P-lookup (state.parent ?s) ?v = Some ?u
           \implies alt-bfs.P'?Map-lookup?Set-isin?G2.0 (?P-lookup (state.parent
           ?s) ?u) ?u = (\neg alt\text{-}bfs.P ?Map\text{-}lookup ?Set\text{-}isin ?G2.0 ?u ?v)
lemma (in alt-bfs-invar-not-DONE) parent-imp-alt-alt-fold:
  assumes P-lookup (parent (alt-fold G1 G2 src s)) v = Some u
 shows alt (alt-fold G1 G2 src s) u v
```

17.5.8 [alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc ?s; ?P-lookup (state.parent ?s) ?v = Some ?u] ⇒ {?u, ?v} ∈ adjacency.E ?Map-lookup ?Set-inorder (adjacency.union ?Map-update ?Map-lookup ?Map-inorder ?Set-insert ?Set-inorder ?G1.0 ?G2.0)

lemma (in alt-bfs-invar-not-DONE) parent-imp-edge-alt-fold: assumes P-lookup (parent (alt-fold G1~G2~src~s)) v = Some~u shows  $\{u, v\} \in G.E~G$ 

**17.5.9** [[alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc ?s; ?v  $\in$  set (?Q-list (queue ?s))]]  $\Longrightarrow \neg \neg$  bfs.is-discovered ?P-lookup ?src (state.parent ?s) ?v

lemma (in alt-bfs-invar-not-DONE) not-white-if-mem-queue-alt-fold: assumes  $v \in set$  (Q-list (queue (alt-fold G1 G2 src s))) shows  $\neg$  white (alt-fold G1 G2 src s) v

17.5.10 [alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc ?s; ?P-lookup (state.parent ?s) ?v = Some ?u]  $\Rightarrow \neg \neg bfs.is-discovered$  ?P-lookup ?src (state.parent ?s) ?u

lemma (in alt-bfs-invar-not-DONE) not-white-if-parent-alt-fold: assumes P-lookup (parent (alt-fold  $G1\ G2\ src\ s)$ )  $v=Some\ u$  shows  $\neg$  white (alt-fold  $G1\ G2\ src\ s)$  u

17.5.11 [alt-bfs-invar?Map-empty?Map-delete?Map-lookup?Map-inorder ?Map-inv?Set-empty?Set-insert?Set-delete?Set-isin?Set-inorder ?Set-inv?P-empty?P-delete?P-lookup?P-invar?Q-empty?Q-is-empty ?Q-head?Q-tail?Q-invar?Q-list?Map-update?G1.0?G2.0?src ?P-update?Q-snoc?s; alt-bfs.P'?Map-lookup?Set-isin?G2.0 (?P-lookup (state.parent?s)?u)?u =  $(\neg alt-bfs.P?Map-lookup$ ?Set-isin?G2.0?u?v); {?u, ?v}  $\in adjacency.E?Map-lookup$ ?Set-inorder (adjacency.union?Map-update?Map-lookup?Map-inorder?Set-insert?Set-inorder?G1.0?G2.0); bfs.is-discovered?P-lookup?src (state.parent?s)?u  $\land ?u \notin set (?Q-list (queue?s))$ ]  $\Longrightarrow \neg bfs.is-discovered?P-lookup?src (state.parent?s)?v$ 

 $\begin{array}{l} \textbf{lemma (in } \textit{alt-bfs-invar-not-DONE) } \textit{black-imp-adjacency-not-white-alt-fold:} \\ \textbf{assumes } \textit{alt (alt-fold } \textit{G1 } \textit{G2 } \textit{src } \textit{s)} \textit{ u } \textit{v} \\ \textbf{assumes } \{u, \, v\} \in \textit{G.E } \textit{G} \\ \textbf{assumes } \textit{black (alt-fold } \textit{G1 } \textit{G2 } \textit{src } \textit{s)} \textit{ u} \\ \textbf{shows} \neg \textit{white (alt-fold } \textit{G1 } \textit{G2 } \textit{src } \textit{s)} \textit{ v} \\ \end{array}$ 

17.5.12 alt-bfs-invar ?Map-empty ?Map-delete ?Map-lookup ?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc ?s  $\Longrightarrow$  sorted-wrt ( $\lambda u$  v. path-length (rev (parent.follow (?P-lookup (state.parent ?s)) v))  $\leq$  path-length (rev (parent.follow (?P-lookup (state.parent ?s)) v))) (?Q-list (queue ?s))

lemma (in alt-bfs-invar-not-DONE) queue-sorted-wrt-d-alt-fold-aux: assumes u-mem-tail-queue:  $u \in set$  (Q-list (Q-tail (queue s))) assumes v-mem-filter:  $v \in set$  (filter ( $Not \circ is$ -discovered src (parent s)) (adjacency G1 G2 s (Q-head (queue s)))) shows d (parent (alt-fold G1 G2 src s))  $u \leq d$  (parent (alt-fold G1 G2 src s)) v

lemma (in alt-bfs-invar-not-DONE) queue-sorted-wrt-d-alt-fold: shows sorted-wrt ( $\lambda u \ v. \ d \ (parent \ (alt-fold \ G1 \ G2 \ src \ s)) \ u \leq d \ (parent \ (alt-fold \ G1 \ G2 \ src \ s)))$  17.5.13 [alt-bfs-invar?Map-empty?Map-delete?Map-lookup?Map-inorder ?Map-inv?Set-empty?Set-insert?Set-delete?Set-isin?Set-inorder ?Set-inv?P-empty?P-delete?P-lookup?P-invar?Q-empty?Q-is-empty?Q-head?Q-tail?Q-invar?Q-list?Map-update?G1.0?G2.0?src?P-update?Q-snoc?s;  $\neg$ ?Q-is-empty (queue?s)]  $\Longrightarrow$  path-length (rev (parent.follow (?P-lookup (state.parent?s)) (last (?Q-list (queue?s)))))  $\leq$  path-length (rev (parent.follow (?P-lookup (state.parent?s)) (?Q-head (queue?s)))) + 1

lemma (in alt-bfs-invar-not-DONE) d-last-queue-le-alt-fold-aux: assumes  $\neg$  Q-is-empty (queue (alt-fold G1 G2 src s)) shows d (parent (alt-fold G1 G2 src s)) (last (Q-list (queue (alt-fold G1 G2 src s))))  $\leq$  d (parent (alt-fold G1 G2 src s)) (Q-head (queue s)) + 1

lemma (in alt-bfs-invar-not-DONE) d-last-queue-le-alt-fold-aux-2: assumes  $\neg$  Q-is-empty (queue (alt-fold G1 G2 src s)) shows d (parent (alt-fold G1 G2 src s)) (Q-head (queue s))  $\leq$  d (parent (alt-fold G1 G2 src s)) (Q-head (queue (alt-fold G1 G2 src s)))

lemma (in alt-bfs-invar-not-DONE) d-last-queue-le-alt-fold: assumes  $\neg$  Q-is-empty (queue (alt-fold G1 G2 src s)) shows d (parent (alt-fold G1 G2 src s)) (last (Q-list (queue (alt-fold G1 G2 src s))))  $\leq$ d (parent (alt-fold G1 G2 src s)) (Q-head (queue (alt-fold G1 G2 src s))) + 1

17.5.14 [alt-bfs-invar?Map-empty?Map-delete?Map-lookup?Map-inorder ?Map-inv ?Set-empty ?Set-insert ?Set-delete ?Set-isin ?Set-inorder ?Set-inv ?P-empty ?P-delete ?P-lookup ?P-invar ?Q-empty ?Q-is-empty ?Q-head ?Q-tail ?Q-invar ?Q-list ?Map-update ?G1.0 ?G2.0 ?src ?P-update ?Q-snoc ?s; Alternating-Path.alt-path (if alt-bfs.P' ?Map-lookup ?Set-isin ?G2.0 (?P-lookup (state.parent ?s) ?u) ?u then Not o  $(\lambda e. \ e \in adjacency.E \ ?Map-lookup \ ?Set-inorder \ ?G2.0) \ else \ (\lambda e.$  $e \in adjacency.E ?Map-lookup ?Set-inorder ?G2.0)) (Not \circ (if$ alt-bfs.P' ?Map-lookup ?Set-isin ?G2.0 (?P-lookup (state.parent ?s) ?u) ?u then Not  $\circ$  ( $\lambda e.\ e \in adjacency.E$  ?Map-lookup ?Set-inorder (G2.0) else ( $\lambda e.\ e \in adjacency.E\ ?Map-lookup\ ?Set-inorder\ ?G2.0)$ ) (adjacency. E? Map-lookup? Set-inorder (adjacency. union? Map-update ?Map-lookup ?Map-inorder ?Set-insert ?Set-inorder ?G1.0 ?G2.0))  $?p ?u ?v; \neg \neg bfs.is-discovered ?P-lookup ?src (state.parent ?s)$  $?u; \neg \neg bfs.is-discovered ?P-lookup ?src (state.parent ?s) ?v \implies$ path-length (rev (parent.follow (?P-lookup (state.parent ?s)) ?v))  $\leq$  path-length (rev (parent.follow (?P-lookup (state.parent ?s))) (2u)) + path-length (2p)

lemma (in alt-bfs-invar) white-imp-gray-ancestor: assumes alt-path  $(Q \ s \ u)$  (Not  $\circ \ Q \ s \ u)$  (G.E G)  $p \ u \ w$ 

```
assumes \neg white s u
 assumes white s w
 obtains v where
   v \in set p
   gray s v
\mathbf{lemma} \ (\mathbf{in} \ \mathit{alt-bfs-invar-not-DONE}) \ \mathit{d-triangle-inequality-alt-fold}:
  assumes alt-path-p: alt-path (Q (alt-fold G1 G2 src s) u) (Not \circ Q (alt-fold G1
G2 \ src \ s) \ u) \ (G.E \ G) \ p \ u \ v
 assumes not-white-alt-fold-u: \neg white (alt-fold G1 G2 src s) u
 assumes not-white-alt-fold-v: \neg white (alt-fold G1 G2 src s) v
 shows d (parent (alt-fold G1 G2 src s)) v \leq d (parent (alt-fold G1 G2 src s)) u
+ path-length p
17.5.15
lemma (in alt-bfs-invar-not-DONE) alt-bfs-invar-alt-fold:
 shows alt-bfs-invar" (alt-fold G1 G2 src s)
18
       alt-bfs.alt-loop
18.1
        Convenience Lemmas
lemma (in alt-bfs-invar) queue-subset-V:
 shows set (Q-list (queue\ s)) \subseteq G.V\ G
lemma (in alt-bfs-invar) dom-parent-subset-V:
 shows P.dom (parent s) \subseteq G.V G
lemma (in alt-bfs-invar) alt-loop-dom:
 shows alt-loop-dom (G1, G2, src, s)
lemma (in alt-bfs) alt-loop-psimps:
 assumes alt-bfs-invar' G1 G2 src s
  shows alt-loop G1 G2 src s = (if \neg DONE \ s \ then \ alt-loop G1 \ G2 \ src \ (alt-fold
G1 \ G2 \ src \ s) \ else \ s)
lemma (in alt-bfs-invar-not-DONE) alt-loop-psimps:
 shows alt-loop G1 G2 src s = alt-loop G1 G2 src (alt-fold G1 G2 src s)
lemma (in alt-bfs-invar-DONE) alt-loop-psimps:
 shows alt-loop G1 G2 src s = s
lemma (in alt-bfs) alt-bfs-induct:
 assumes alt-bfs-invar' G1 G2 src s
  assumes \bigwedge G1 \ G2 \ src \ s. (\neg DONE \ s \Longrightarrow Q \ G1 \ G2 \ src \ (alt-fold \ G1 \ G2 \ src \ s))
```

 $\implies Q$  G1 G2 src s

#### 18.2

```
lemma (in alt-bfs-invar) alt-bfs-invar-alt-loop:
shows alt-bfs-invar'' (alt-loop G1 G2 src s)

lemma (in alt-bfs-valid-input) alt-bfs-invar-alt-loop:
assumes alt-bfs-invar'' s
shows alt-bfs-invar'' (alt-loop G1 G2 src s)

lemma (in alt-bfs-valid-input) alt-bfs-invar-alt-loop-init:
shows alt-bfs-invar'' (alt-loop G1 G2 src (init src))

lemma (in alt-bfs) alt-bfs-invar-alt-loop-init:
assumes alt-bfs-valid-input' G1 G2 src
shows alt-bfs-invar' G1 G2 src (alt-loop G1 G2 src (init src))
```

## 19 Correctness

## 19.1 Completeness

```
lemma (in alt-bfs-invar-DONE) white-imp-not-alt-reachable: assumes white s v shows \neg alt-reachable P'' (Not \circ P'') (G.E.G) src v lemma (in alt-bfs-valid-input) completeness: assumes alt-bfs-invar'' s assumes \neg is-discovered src (parent (alt-loop G1 G2 src s)) v shows \neg alt-reachable P'' (Not \circ P'') (G.E.G) src v
```

#### 19.2 Soundness

```
lemma (in alt-bfs-invar-DONE) not-white-imp-d-le-alt-dist: assumes \neg white s v shows d (parent s) v \leq alt-dist P'' (Not \circ P'') (G.E. G) src v lemma (in alt-bfs-invar-DONE) not-white-imp-is-shortest-alt-path: assumes \neg white s v shows is-shortest-alt-path P'' (Not \circ P'') (G.E. G) (rev-follow (parent s) v) src v lemma (in alt-bfs-valid-input) soundness: assumes alt-bfs-invar'' s assumes is-discovered src (parent (alt-loop G1 G2 src s)) v shows is-shortest-alt-path P'' (Not \circ P'') (G.E. G) (rev-follow (parent (alt-loop G1 G2 src s)) v) src v
```

#### 19.3 Correctness

```
abbreviation (in alt-bfs) is-shortest-alt-path-Map :: ('a \ set \Rightarrow bool) \Rightarrow 'n \Rightarrow 'a \Rightarrow
'm \Rightarrow bool \text{ where}
  is-shortest-alt-path-Map Q G src m \equiv
  \forall v.
    is-discovered src m\ v \longrightarrow is-shortest-alt-path Q\ (Not\ \circ\ Q)\ (G.E\ G)\ (rev-follow
m \ v) \ src \ v \ \land
    \neg is-discovered src m v \longrightarrow \neg alt-reachable Q (Not \circ Q) (G.E G) src v
lemma (in alt-bfs-valid-input) correctness:
  assumes alt-bfs-invar'' s
  shows is-shortest-alt-path-Map P'' G src (parent (alt-loop G1 G2 src s))
theorem (in alt-bfs-valid-input) alt-bfs-correct:
  shows is-shortest-alt-path-Map P'' G src (alt-bfs G1 G2 src)
corollary (in alt-bfs) alt-bfs-correct:
  assumes alt-bfs-valid-input' G1 G2 src
  shows is-shortest-alt-path-Map (\lambda e.\ e\in G.E\ G2) (G.union G1 G2) src (alt-bfs
G1 \ G2 \ src)
end
theory Alternating-BFS-Partial
 imports
    Alternating-BFS
begin
\textbf{partial-function} \ (\textbf{in} \ \textit{alt-bfs}) \ (\textit{tailrec}) \ \textit{alt-loop-partial} \ \textbf{where}
  alt-loop-partial G1 G2 src\ s =
  (if \neg DONE s
   then let
         u = Q-head (queue s);
         q = Q-tail (queue s)
        in alt-loop-partial G1 G2 src (List.fold (traverse-edge src u) (adjacency G1
G2 \ s \ u) \ (s(|queue := q|))
    else s)
definition (in alt-bfs) alt-bfs-partial :: 'n \Rightarrow 'n \Rightarrow 'a \Rightarrow 'm where
  alt-bfs-partial~G1~G2~src \equiv parent~(alt-loop-partial~G1~G2~src~(init~src))
lemma (in alt-bfs-valid-input) alt-loop-partial-eq-alt-loop:
  assumes alt-bfs-invar'' s
  shows alt-loop-partial G1 G2 src\ s = alt-loop\ G1\ G2\ src\ s
\mathbf{lemma} \ (\mathbf{in} \ \mathit{alt-bfs-valid-input}) \ \mathit{alt-bfs-partial-eq-alt-bfs}:
  shows alt-bfs-partial G1 G2 src = alt-bfs G1 G2 src
```

```
\mathbf{theorem} \ (\mathbf{in} \ \mathit{alt-bfs-valid-input}) \ \mathit{alt-bfs-partial-correct} \colon
 shows is-shortest-alt-path-Map P'' G src (alt-bfs-partial G1 G2 src)
corollary (in alt-bfs) alt-bfs-partial-correct:
 assumes alt-bfs-valid-input' G1 G2 src
 shows is-shortest-alt-path-Map (\lambda e.\ e \in G.E\ G2) (G.union G1 G2) src (alt-bfs-partial
G1 \ G2 \ src)
end
theory BFS-Partial
 imports
   BFS
begin
partial-function (in bfs) (tailrec) loop-partial where
  loop\text{-}partial\ G\ src\ s =
  (if \neg DONE s
   then let
         u = Q-head (queue s);
         q = Q-tail (queue s)
        in loop-partial G src (List.fold (traverse-edge src u) (G.adjacency-list G u)
(s(|queue := q|))
   else s)
definition (in bfs) bfs-partial :: 'n \Rightarrow 'a \Rightarrow 'm where
  bfs-partial G src \equiv parent (loop-partial G src (init src))
lemma (in bfs-valid-input) loop-partial-eq-loop:
 assumes bfs-invar'' s
 shows loop-partial G src s = loop G src s
lemma (in bfs-valid-input) bfs-partial-eq-bfs:
 shows bfs-partial G src = bfs G src
theorem (in bfs-valid-input) bfs-partial-correct:
 shows is-shortest-dpath-Map G src (bfs-partial G src)
corollary (in bfs) bfs-partial-correct:
 assumes bfs-valid-input' G src
 shows is-shortest-dpath-Map G src (bfs-partial G src)
end
theory Queue
 \mathbf{imports}\ \mathit{Queue-Specs}
begin
```

## **20**

This implementation is based on Okasaki, C. (1999). Purely functional data structures. Cambridge University Press.

```
type-synonym 'a queue = 'a \ list \times 'a \ list
definition empty :: 'a queue where
  empty = ([],\, [])
fun is-empty :: 'a queue \Rightarrow bool where
  is-empty (f, -) \longleftrightarrow f = []
fun queue :: 'a queue \Rightarrow 'a queue where
  queue ([], r) = (rev r, []) \mid
  queue (f, r) = (f, r)
fun snoc :: 'a \ queue \Rightarrow 'a \Rightarrow 'a \ queue \ \mathbf{where}
  snoc (f, r) x = queue (f, x \# r)
fun head :: 'a \ queue \Rightarrow 'a \ \mathbf{where}
  head (x \# f, -) = x
fun tail :: 'a \ queue \Rightarrow 'a \ queue \ where
  tail\ (x \# f, r) = queue\ (f, r)
fun invar :: 'a \ queue \Rightarrow bool \ \mathbf{where}
  invar([], r) \longleftrightarrow r = [] \mid
  invar(f, r) = True
fun list :: 'a \ queue \Rightarrow 'a \ list \ where
```

## 20.1 Functional correctness

list (f, r) = f @ (rev r)

```
lemma list-empty: shows list empty = []

lemma is-empty: assumes invar q shows is-empty q \longleftrightarrow list \ q = []

lemma list-snoc: assumes invar q shows list (snoc \ q \ x) = list \ q @ [x]

lemma list-non-emptyE: assumes invar q assumes list q \ne [] obtains x \ f \ r where
```

```
q = (x \# f, r)
\mathbf{lemma}\ \mathit{list-head}\colon
 assumes invar q
 assumes list q \neq []
 shows head q = hd (list q)
lemma list-tail:
 assumes invar q
 assumes list q \neq []
 shows list (tail\ q) = tl\ (list\ q)
lemma invar-empty:
 shows invar empty
lemma invar-snoc:
 assumes invar q
 shows invar (snoc q x)
lemma invar-if-r-empty:
 assumes r = [
 shows invar (f, r)
lemma invar-tail:
 assumes invar q
 assumes list q \neq [
 shows invar (tail q)
interpretation Q: Queue where
 empty = empty and
 is\text{-}empty = is\text{-}empty and
 snoc = snoc and
 head = head and
 tail = tail and
 invar = invar and
 list = list
end
20.2
       Low level
{\bf theory}\ Adjacency\hbox{-}Impl
 imports
   Adjacency
   Directed	ext{-}Adjacency
   Undirected	ext{-}Adjacency
   HOL-Data-Structures.RBT-Map
   HOL-Data-Structures.RBT-Set 2
```

begin

On the medium level of abstraction, we specified a graph via the interface adjacency. We now show that, on the low level, this interface can be implemented via red-black trees.

```
global-interpretation G: adjacency where
 Map\text{-}empty = empty \text{ and }
 Map-update = update and
 Map-delete = RBT-Map.delete and
 Map-lookup = lookup and
 Map-inorder = inorder and
 Map-inv = rbt and
 Set-empty = empty and
 Set-insert = insert and
 Set-delete = delete and
 Set-isin = isin and
 Set-inorder = inorder and
 Set-inv = rbt
 defines invar = G.invar
 and adjacency-list = G.adjacency-list
 and insert = G.insert
 and insert' = G.insert'
 and insert-2 = G.insert-2
 and delete-2 = G.delete-2
 and union = G.union
 and difference = G.difference
 and dE = G.dE
 and dV = G.dV
 and E = G.E
 and V = G.V
 and insert\text{-}edge = G.insert\text{-}edge
end
theory BFS-Impl
 imports
   BFS-Partial
   HOL-Data-Structures.RBT-Set2
   ../Queue/Queue
   .../Graph/Adjacency/Adjacency-Impl
begin
global-interpretation B: bfs where
 Map-empty = empty and
 Map-update = update and
 Map-delete = RBT-Map.delete and
 Map-lookup = lookup and
 Map-inorder = inorder and
 Map-inv = rbt and
 Set-empty = empty and
 Set-insert = RBT-Set.insert and
 Set-delete = delete and
```

```
Set-isin = isin and
 Set-inorder = inorder and
 Set-inv = rbt and
 P-empty = empty and
 P-update = update and
 P-delete = RBT-Map.delete and
 P-lookup = lookup and
 P-invar = M.invar and
 Q-empty = Queue.empty and
 Q-is-empty = is-empty and
 Q-snoc = snoc and
 Q-head = head and
 Q-tail = tail and
 Q-invar = Queue.invar and
 Q-list = list
 defines init = B.init
 and DONE = B.DONE
 and is-discovered = B.is-discovered
 and discover = B.discover
 and traverse-edge = B.traverse-edge
 and loop-partial = B.loop-partial
 and bfs-partial = B.bfs-partial
declare B.loop-partial.simps [code]
\mathbf{thm}\ B.loop\text{-}partial.simps
value bfs-partial (update (1::nat) (RBT-Set.insert (2::nat) empty) 1
end
theory Alternating-BFS-Impl
 imports
   Alternating-BFS-Partial
   ../BFS/BFS-Impl
begin
global-interpretation A: alt-bfs where
 Map-empty = empty and
 Map-update = update and
 Map-delete = RBT-Map.delete and
 Map-lookup = lookup and
 Map-inorder = inorder and
 Map-inv = rbt and
 Set-empty = empty and
 Set-insert = RBT-Set.insert and
 Set-delete = delete and
 Set-isin = isin and
 Set-inorder = inorder and
 Set-inv = rbt and
 P-empty = empty and
 P-update = update and
```

```
P-delete = RBT-Map.delete and
 P-lookup = lookup and
 P-invar = M.invar and
 Q-empty = Queue.empty and
 Q-is-empty = is-empty and
 Q-snoc = snoc and
 Q-head = head and
 Q-tail = tail and
 Q-invar = Queue.invar and
 Q-list = list
 defines P = A.P
 and P' = A.P'
 and adjacency = A.adjacency
 and alt-loop-partial = A.alt-loop-partial
 \mathbf{and}\ \mathit{alt-bfs-partial} = \mathit{A.alt-bfs-partial}
declare A.alt-loop-partial.simps [code]
\mathbf{thm}\ A.alt-loop-partial.simps
value alt-bfs-partial (update (4::nat) (RBT-Set.insert (3::nat) empty) (update (3::nat)
(RBT-Set.insert (4::nat) empty) empty)) (update (2::nat) (RBT-Set.insert (1::nat)
empty) (update (1::nat) (RBT-Set.insert (2::nat) empty) empty)) 1
end
theory Augmenting-Path
 imports
   Alternating-Path
begin
```

In graph theory, a free vertex w.r.t. a matching M is a vertex not incident to any edge in M, and an augmenting path w.r.t. M is an alternating path w.r.t. M whose endpoints are distinct free vertices. Session AGF introduces the following two definitions: augmenting-path ?M  $?p \equiv 2 \leq length$   $?p \wedge Berge.alt-path$  ?M  $?p \wedge hd$   $?p \notin Vs$   $?M \wedge last$   $?p \notin Vs$  ?M, and augpath. We show that we can reverse augmenting paths.

```
lemma augmenting-path-revI:
   assumes augmenting-path M p
   shows augmenting-path M (rev p)

lemma augpath-revI:
   assumes augpath G M p
   shows augpath G M (rev p)

end
theory Bipartite-Graph
```

```
\begin{array}{c} \textbf{imports} \\ \textit{Odd-Cycle} \\ \textit{...}/\textit{Adaptors}/\textit{Path-Adaptor} \\ \textbf{begin} \end{array}
```

A bipartite graph is an undirected graph G whose set of vertices Vs G can be partitioned into two sets L, R such that every edge in G has an endpoint in L and an endpoint in R.

```
locale bipartite-graph = graph G for G + fixes L R :: 'a set assumes L-union-R-eq-Vs: L \cup R = Vs G assumes L-R-disjoint: L \cap R = \{\} assumes endpoints: \{u, v\} \in G \Longrightarrow u \in L \longleftrightarrow v \in R
```

Equivalently, a bipartite graph is an undirected graph whose set of vertices can be partitioned into two independent sets. We only show one implication.

```
lemma (in bipartite-graph) L-independent: shows \forall u \in L. \forall v \in L. \{u, v\} \notin G
lemma (in bipartite-graph) R-independent: shows \forall u \in R. \forall v \in R. \{u, v\} \notin G
lemma (in bipartite-graph) no-loop: shows \{v, v\} \notin G
```

Equivalently, a bipartite graph is an undirected graph that does not contain any odd-length cycles. Again, we only show one implication.

```
lemma (in bipartite-graph) nth-mem-L-iff-even: assumes path G p assumes hd p \in L assumes i < length p shows p ! i \in L \longleftrightarrow even i lemma (in bipartite-graph) nth-mem-R-iff-even: assumes path G p assumes hd p \in R assumes i < length p shows p ! i \in R \longleftrightarrow even i theorem (in bipartite-graph) no-odd-cycle: shows \neg (\exists c. path G c \land odd-cycle c) end
```

# 21 Edmonds-Karp algorithm

This section specifies an algorithm that solves the maximum cardinality matching problem in bipartite graphs, and verifies its correctness.

The algorithm is based on Berge's theorem, which states that a matching M is maximum if and only if there is no augmenting path w.r.t. M. This immediately suggests the following algorithm for finding a maximum matching: repeatedly find an augmenting path and augment the matching until there are no augmenting paths. We claim that the algorithm specified below, in each iteration, finds not just any augmenting path but a shortest one. We do not verify this claim, however, as the distinction is not relevant for the correctness of the algorithm.

The algorithm is an adaptation of the Edmonds-Karp algorithm, which solves the maximum flow problem, to the maximum cardinality matching problem in bipartite graphs, which reduces to the maximum flow problem.

```
\begin{tabular}{ll} \textbf{theory} & Edmonds\text{-}Karp\\ \textbf{imports}\\ & .../Alternating\text{-}BFS/Alternating\text{-}BFS\\ & .../Graph/Undirected\text{-}Graph/Augmenting\text{-}Path\\ & .../Graph/Undirected\text{-}Graph/Bipartite\text{-}Graph\\ \begin\\ \end{tabular}
```

# 21.1 Specification of the algorithm

```
locale edmonds-karp =
  alt-bfs where
  Map-update = Map-update and
  P-update = P-update +
  M: Map-by-Ordered where
  empty = M-empty and
  update = M-update and
  delete = M-delete and
  lookup = M-lookup and
  inorder = M-inorder and
  inv = M-inv for
  Map-update :: 'a::linorder \Rightarrow 's \Rightarrow 'n \Rightarrow 'n and
  P-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and
  M-empty and
  M-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and
  M-delete and
  M-lookup and
  M-inorder and
  M-inv
begin
definition is-free-vertex :: m \Rightarrow a \Rightarrow bool where
```

is-free-vertex M  $v \equiv M$ -lookup M v = None

```
definition free-vertices :: 's \Rightarrow 'm \Rightarrow 'a \ list \ \mathbf{where} free-vertices V \ M \equiv filter \ (is-free-vertex \ M) \ (Set-inorder \ V)
```

To find an augmenting path, we use a modified BFS local.alt-bfs, which takes two graphs G1, G2 as well as a source vertex src as input and outputs a parent relation such that any path from src induced by the parent relation is a shortest alternating path, that is, it alternates between edges in G2 and G1 and is shortest among all such paths.

Let (L, R, G) be a bipartite graph and M be a matching in G. Recall that an augmenting path in G w.r.t. M is a path between two free vertices that alternates between edges not in M and edges in M. Since G is bipartite, any such path is between a free vertex in L and a free vertex in R (every augmenting path in a bipartite graph has odd length, and every path of odd length starting at a vertex in L ends at a vertex in R). This suggests to let S be a free vertex S in S be the graph comprising all edges contained in S and S be the graph comprising all other edges.

As there may not be an augmenting path starting at v but one starting at another free vertex in L and local.alt-bfs takes only a single source vertex as input, we augment our input for local.alt-bfs as follows. Let G' be the graph comprising all edges contained in M and G'' be the graph comprising all other edges. We add a new vertex s to G' and connect it to all free vertices in L. Let p be a path in graph G, that is, not containing s. We then have that p is an augmenting path from a free vertex in L if and only if s # p is a path alternating between edges in G' and G'', ending at a free vertex in R

Moreover, we add another new vertex t to graph G' and connect all free vertices in R to it. Again, let p be a path in graph G, that is, containing neither s nor t. We then have that p is an augmenting path from a free vertex in L if and only if s # p @ [t] is a path alternating between edges in G' and G''.

We now choose the input for local.alt-bfs as follows. We set G1 to be G'', that is, the graph comprising all edges in graph G not in matching M, G2 to be G', that is, the graph comprising all edges in M as well as two new vertices s, t such that s is connected to all free vertices in L and all free vertices in R are connected to t, and src to be s.

```
definition G2-1 :: 'm \Rightarrow 'n where G2-1 M \equiv List.fold G.insert (M-inorder M) Map-empty
```

Graph G2-1 is the graph induced by the current matching M.

```
definition G2-2:: 's \Rightarrow 'a \Rightarrow 'm \Rightarrow 'n where G2-2 \ L \ s \ M \equiv List.fold \ (G.insert-edge \ s) \ (free-vertices \ L \ M) \ (G2-1 \ M)
```

Graph G2-2 connects vertex s in graph G2-1 to every free vertex in L.

```
definition G2-3:: 's \Rightarrow 's \Rightarrow 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'n where G2-3 \ L \ R \ s \ t \ M \equiv List.fold \ (G.insert-edge \ t) \ (free-vertices \ R \ M) \ (G2-2 \ L \ s \ M)
```

Graph G2-3 connects every free vertex in R to vertex t in graph G2-2.

```
definition G2:: 's \Rightarrow 's \Rightarrow 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'n where G2 \equiv G2\text{-}3
```

```
definition G1:: 'n \Rightarrow 'n \Rightarrow 'n where G1 \equiv G.difference
```

As described above, the algorithm repeatedly finds an augmenting path and augments the matching until there are no augmenting paths. And there are no augmenting paths if

- 1. either side of the bipartite graph contains no free vertex, or
- 2. local.alt-bfs does not find an alternating path between vertices s and t.

```
definition done-1:: 's \Rightarrow 's \Rightarrow 'm \Rightarrow bool where done-1 L R M \equiv free-vertices L M = [] \lor free-vertices R M = []
```

```
definition done-2 :: 'a \Rightarrow 'm \Rightarrow bool where done-2 \ t \ m \equiv P-lookup \ m \ t = None
```

```
fun augment :: 'm \Rightarrow 'a \ path \Rightarrow 'm \ where augment M \ [] = M \ | augment M \ [u, v] = (M\text{-update } v \ u \ (M\text{-update } u \ v \ M)) \ | augment M \ (u \# v \# w \# ws) = augment \ (M\text{-update } v \ u \ (M\text{-update } u \ v \ (M\text{-delete } w \ M))) \ (w \# ws)
```

```
function (domintros) loop' where
```

```
loop' G L R s t M = \\ (if done-1 L R M then M \\ else if done-2 t (alt-bfs (G1 G (G2 L R s t M)) (G2 L R s t M) s) then M \\ else loop' G L R s t (augment M (butlast (tl (rev-follow (alt-bfs (G1 G (G2 L R s t M)) (G2 L R s t M) s) t)))))
```

**definition** edmonds-karp ::  $'n \Rightarrow 's \Rightarrow 's \Rightarrow 'a \Rightarrow 'a \Rightarrow 'm$  where edmonds-karp  $G \ L \ R \ s \ t \equiv loop' \ G \ L \ R \ s \ t \ M-empty$ 

```
abbreviation m\text{-}tbd :: 'n \Rightarrow 's \Rightarrow 's \Rightarrow 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm \text{ where} m\text{-}tbd \ G \ L \ R \ s \ t \ M \equiv let \ G2 = G2 \ L \ R \ s \ t \ M \ in \ alt\text{-}bfs \ (G1 \ G \ G2) \ G2 \ s
```

```
abbreviation p\text{-}tbd :: 'n \Rightarrow 's \Rightarrow 's \Rightarrow 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'a \ path \ \text{where}
p\text{-}tbd \ G \ L \ R \ s \ t \ M \equiv butlast \ (tl \ (rev\text{-}follow \ (m\text{-}tbd \ G \ L \ R \ s \ t \ M) \ t))
abbreviation M\text{-}tbd :: 'm \Rightarrow 'a \ graph \ \text{where}
M\text{-}tbd \ M \equiv \{\{u, v\} \mid u \ v. \ M\text{-}lookup \ M \ u = Some \ v\}
abbreviation P\text{-}tbd :: 'a \ path \Rightarrow 'a \ graph \ \text{where}
P\text{-}tbd \ p \equiv set \ (edges\text{-}of\text{-}path \ p)
abbreviation is\text{-}symmetric\text{-}Map :: 'm \Rightarrow bool \ \text{where}
is\text{-}symmetric\text{-}Map \ M \equiv \forall u \ v. \ M\text{-}lookup \ M \ u = Some \ v \longleftrightarrow M\text{-}lookup \ M \ v = Some \ u
```

end

# 21.2 Verification of the correctness of the algorithm

## 21.2.1 Assumptions on the input

Algorithm edmonds-karp.edmonds-karp expects an input G, L, R, s, t such that

- (L, R, G) is a bipartite graph, and
- s and t are two new vertices, that is, vertices not in G,

and the correctness theorem will assume such an input. Let us formally specify these assumptions.

```
locale edmonds-karp-valid-input = edmonds-karp where

Map\text{-}update = Map\text{-}update and

P\text{-}update = P\text{-}update and

M\text{-}update = M\text{-}update for

Map\text{-}update :: 'a::linorder \Rightarrow 's \Rightarrow 'n \Rightarrow 'n and

P\text{-}update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and

M\text{-}update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm +

fixes G:: 'n

fixes L R:: 's

fixes s t :: 'a

assumes symmetric\text{-}adjacency\text{-}G: G.symmetric\text{-}adjacency' G

assumes bipartite\text{-}graph: bipartite\text{-}graph (G.E G) (G.S.set L) (G.S.set R)

assumes s\text{-}not\text{-}mem\text{-}V: s \notin G.V G

assumes s\text{-}not\text{-}mem\text{-}V: t \notin G.V G

assumes s\text{-}not\text{-}mem\text{-}V: t \notin G.V G
```

As was the case for locale alt-bfs, graph G is represented as an adjacency, that is, as a Map-by-Ordered mapping a vertex to its adjacency, which is

represented as a Set-by-Ordered. And sets L and R are represented as Set-by-Ordereds.

#### 21.2.2 Loop invariants

Unfolding the definition of algorithm edmonds-karp. edmonds-karp, we see that recursive function edmonds-karp. loop' lies at the heart of the algorithm. It expects an input G, L, R, s, t, M such that

- G, L, R, s, t satisfy the assumptions specified above, and
- M is a matching in G.

Let us now formally specify the assumptions on M. As M is the only data structure that is subject to change from one iteration to the next, these assumptions constitute the loop invariants of edmonds-karp.loop'.

```
locale edmonds-karp-invar = edmonds-karp-valid-input where Map-update = Map-update and P-update = P-update for Map-update :: 'a::linorder <math>\Rightarrow 's \Rightarrow 'n \Rightarrow 'n and P-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and M-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm
```

Matching M is represented as a Map-by-Ordered mapping a vertex to another vertex—its match.

```
lemma (in edmonds-karp-invar) matching-M-tbd:
    shows matching (M-tbd M)
lemma (in edmonds-karp-invar) graph-matching-M-tbd:
    shows graph-matching (G.E.G) (M-tbd M)
```

To verify the correctness of loop edmonds-karp.loop', we need to show that

- 1. the loop invariants are satisfied prior to the first iteration of the loop, and that
- 2. the loop invariants are maintained.

Let us start with the former, that is, let us prove that the empty matching satisfies the loop invariants.

```
lemma (in edmonds-karp-valid-input) edmonds-karp-invar-empty: shows edmonds-karp-invar'' M-empty
```

Let us now verify that the loop invariants are maintained, that is, if they hold at the start of an iteration of loop *edmonds-karp.loop'*, then they will also hold at the end. For this, we verify the correctness of the body of the loop, that is,

- 1. if there is an augmenting path, then the algorithm will find one, and
- 2. given an augmenting path, the algorithm correctly augments the current matching.

Let us start with the former.

```
locale edmonds-karp-invar-not-done-1 = edmonds-karp-invar where
  Map-update = Map-update and
  P-update = P-update and
  M-update = M-update for
  Map\text{-}update :: 'a::linorder \Rightarrow 's \Rightarrow 'n \Rightarrow 'n and
  P-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and
  M-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm +
  assumes not-done-1: \neg done-1 L R M
locale edmonds-karp-invar-not-done-2 = edmonds-karp-invar-not-done-1 where
  Map-update = Map-update and
  P-update = P-update and
  M-update = M-update for
  Map\text{-}update :: 'a::linorder \Rightarrow 's \Rightarrow 'n \Rightarrow 'n and
  P-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and
  M-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm +
  assumes not-done-2: \neg done-2 t (m-tbd G L R s t M)
```

Assuming appropriate input for algorithm *alt-bfs.alt-bfs*, the statement follows from the correctness of *alt-bfs.alt-bfs*. Hence, we mainly have to show that our construction of *edmonds-karp.G1*, *edmonds-karp.G2* is correct and that it satisfies the input assumptions of *alt-bfs.alt-bfs*.

We first prove that graph edmonds-karp. G2 comprises all edges in the current matching M as well as vertices s, t that are connected to all free vertices in L, R, respectively.

```
lemma (in edmonds-karp) E2-1-cong:
assumes M.invar M
shows G.E (G2-1 M) = M-tbd M
```

```
 \begin{array}{l} \textbf{lemma (in } \textit{edmonds-karp) } \textit{E2-2-cong:} \\ \textbf{shows } \textit{G.E (G2-2 L s M)} = \textit{G.E (G2-1 M)} \cup \{\{s, \, v\} \mid v. \, v \in \textit{set (free-vertices L M)}\} \\ \\ \textbf{lemma (in } \textit{edmonds-karp) } \textit{E2-3-cong:} \\ \textbf{shows } \textit{G.E (G2-3 L R s t M)} = \textit{G.E (G2-2 L s M)} \cup \{\{t, \, v\} \mid v. \, v \in \textit{set (free-vertices R M)}\} \\ \\ \textbf{lemma (in } \textit{edmonds-karp) } \textit{E2-cong:} \\ \textbf{assumes } \textit{M.invar M} \\ \textbf{shows} \\ \textit{G.E (G2 L R s t M)} = \\ \textit{M-tbd M} \cup \\ \{\{s, \, v\} \mid v. \, v \in \textit{set (free-vertices L M)}\} \cup \\ \{\{t, \, v\} \mid v. \, v \in \textit{set (free-vertices R M)}\} \\ \end{aligned}
```

We now show that graph *edmonds-karp.G1* comprises all edges not in the current matching.

```
lemma (in edmonds-karp) E1-cong:
assumes G.symmetric-adjacency' G
assumes G.symmetric-adjacency' G'
shows G.E (G1 G G') = G.E G - G.E G'
```

One point to note is that, given graphs edmonds-karp.G1, edmonds-karp.G2, algorithm alt-bfs finds alternating paths in the union of edmonds-karp.G1 and edmonds-karp.G2. We, on the other hand, are interested in paths in the input graph G, which, due to our augmentation by vertices s and t, is not equal to the union of edmonds-karp.G1 and edmonds-karp.G2. So let us relate the union to the input graph.

```
 \begin{array}{l} \textbf{lemma (in } \textit{edmonds-karp-invar) } \textit{E-union-G1-G2-cong:} \\ \textbf{shows} \\ \textit{G.E } \textit{(G.union (G1 G (G2 L R s t M)) (G2 L R s t M))} = \\ \textit{G.E G} \cup \{\{s, \ v\} \ | v. \ v \in \textit{set (free-vertices L M)}\} \cup \{\{t, \ v\} \ | v. \ v \in \textit{set (free-vertices R M)}\} \\ \textbf{lemma (in } \textit{edmonds-karp-invar-not-done-1) V-union-G1-G2-cong:} \\ \textbf{shows } \textit{G.V (G.union (G1 G (G2 L R s t M)) (G2 L R s t M))} = \textit{G.V G} \cup \{s\} \\ \cup \{t\} \end{array}
```

We are now able to show that edmonds-karp. G1, edmonds-karp. G2, s constitutes a valid input for algorithm alt-bfs. alt-bfs.

```
lemma (in edmonds-karp-invar-not-done-1) alt-bfs-valid-input: shows alt-bfs-valid-input' (G1 G (G2 L R s t M)) (G2 L R s t M) s
```

Hence, by the soundness of algorithm *alt-bfs.alt-bfs*, any path from vertex *s* induced by the parent relation output by *alt-bfs.alt-bfs* is a shortest alternating path in the union of graphs *edmonds-karp.G1* and *edmonds-karp.G2*.

```
lemma (in edmonds-karp-invar-not-done-1) is-shortest-alt-path-rev-follow: assumes P-lookup (m-tbd G L R s t M) v \neq None shows is-shortest-alt-path (\lambda e.\ e \in G.E\ (G2\ L\ R\ s\ t\ M)) (Not \circ (\lambda e.\ e \in G.E\ (G2\ L\ R\ s\ t\ M))) (G.E\ (G.union\ (G1\ G\ (G2\ L\ R\ s\ t\ M))) (G2\ L\ R\ s\ t\ M))) (Gv-follow (m-tbd G L R s t M) v) s v
```

By our construction of graphs edmonds-karp.G1 and edmonds-karp.G2, we can use this—as described above—to obtain an augmenting path in graph G w.r.t. the current matching M.

```
lemma (in edmonds-karp-invar-not-done-2) augpath-p-tbd:
shows augpath (G.E G) (M-tbd M) (p-tbd G L R s t M)
```

Having found an augmenting path P in graph G w.r.t. the current matching M, we now verify that the algorithm correctly augments M by P, that is, we show that function edmonds-karp.augment implements the symmetric difference  $M \oplus P$ .

```
lemma (in edmonds-karp) M-tbd-augment-cong:
assumes M.invar\ M
assumes is-symmetric-Map M
assumes augmenting-path (M-tbd M) p
assumes distinct\ p
assumes even\ (length\ p)
shows M-tbd (augment\ M\ p) = M-tbd M\oplus P-tbd p
```

Having verified the correctness of the body of loop edmonds-karp.loop', we are now finally able to show that the loop invariants are maintained.

```
lemma (in edmonds-karp-invar-not-done-2) edmonds-karp-invar-augment: shows edmonds-karp-invar'' (augment M (p-tbd G L R s t M))
```

#### 21.2.3 Termination

Before we can prove the correctness of loop *edmonds-karp.loop'*, we need to prove that it terminates on appropriate inputs. For this, we show that the

size of matching M increases from one iteration to the next.

```
lemma (in edmonds-karp-valid-input) loop'-dom:
 {\bf assumes}\ edmonds\text{-}karp\text{-}invar^{\prime\prime}\ M
 shows loop'-dom (G, L, R, s, t, M)
\mathbf{proof} (induct card (G.E G) - card (M-tbd M) arbitrary: M rule: less-induct)
 case less
 let ?G2 = G2 L R s t M
 let ?G1 = G1 \ G \ ?G2
 let ?m = alt\text{-}bfs ?G1 ?G2 s
 have m: ?m = m\text{-}tbd \ G \ L \ R \ s \ t \ M
   by metis
 show ?case
 proof (cases done-1 L R M)
   case True
   thus ?thesis
     by (blast intro: loop'.domintros)
 next
   {\bf case}\ not\text{-}done\text{-}1\text{:}\ False
   show ?thesis
   proof (cases done-2 t ?m)
     case True
     thus ?thesis
      by (blast intro: loop'.domintros)
   next
     case False
     let ?p = butlast (tl (rev-follow ?m t))
     have p: ?p = p\text{-}tbd \ G \ L \ R \ s \ t \ M
      by metis
     let ?M = augment M ?p
     have edmonds-karp-invar-not-done-2: edmonds-karp-invar-not-done-2" M
      using less.prems not-done-1 False
      unfolding m
      by (intro edmonds-karp-invar-not-done-2I-2)
     hence augpath-p: augpath (G.E.G) (M-tbd M) ?p
      unfolding m
      by (intro edmonds-karp-invar-not-done-2.augpath-p-tbd)
     show ?thesis
     proof (rule loop'.domintros, rule less.hyps, goal-cases)
      have card (M-tbd M) < card (M-tbd ?M)
      moreover have card (M-tbd ?M) \le card (G.E G)
       ultimately show ?case
        by linarith
     next
       case 2
      \mathbf{thus}~? case
        unfolding p
        using edmonds-karp-invar-not-done-2
        \mathbf{by}\ (intro\ edmonds-karp-invar-not-done-2.edmonds-karp-invar-augment)
```

```
qed
qed
qed
qed
```

#### 21.2.4 Correctness

We are now finally ready to prove the correctness of algorithm edmonds-karp.edmonds-karp. We still need to show that if the algorithm doesn't find an augmenting path, then the current matching M is already maximum.

```
abbreviation is-maximum-matching :: 'a graph \Rightarrow 'a graph \Rightarrow bool where
  is-maximum-matching G M \equiv graph-matching G M \wedge (\forall M'. graph-matching G
M' \longrightarrow card M' \leq card M
locale edmonds-karp-invar-done-1 = edmonds-karp-invar where
  Map-update = Map-update and
  P-update = P-update and
  M-update = M-update for
  Map\text{-}update :: 'a::linorder \Rightarrow 's \Rightarrow 'n \Rightarrow 'n and
  P-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and
  M-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm +
 assumes done-1: done-1 L R M
lemma (in edmonds-karp-invar-done-1) is-maximum-matching-M-tbd:
  shows is-maximum-matching (G.E G) (M-tbd M)
locale edmonds-karp-invar-done-2 = edmonds-karp-invar-not-done-1 where
  Map-update = Map-update and
  P-update = P-update and
  M-update = M-update for
  Map-update :: 'a::linorder \Rightarrow 's \Rightarrow 'n \Rightarrow 'n and
  P-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm and
  M-update :: 'a \Rightarrow 'a \Rightarrow 'm \Rightarrow 'm +
  assumes done-2: done-2 t (m-tbd \ G \ L \ R \ s \ t \ M)
lemma (in edmonds-karp-invar-done-2) is-maximum-matching-M-tbd:
 shows is-maximum-matching (G.E G) (M-tbd M)
```

Otherwise, we augment matching M by the augmenting path found as verified above, and it follows by induction (via the induction rule given by function edmonds-karp.loop') that the algorithm outputs a maximum matching.

```
lemma (in edmonds-karp-valid-input) is-maximum-matching-M-tbd-loop': assumes edmonds-karp-invar'' M shows is-maximum-matching (G.E.G) (M-tbd (loop' G.L.R.s.t.M)) proof (induct rule: edmonds-karp-induct[OF assms]) case (1 G.L.R.s.t.M)
```

```
show ?case
 proof (cases done-1 L R M)
   {f case}\ {\it True}
   with 1.prems
   have edmonds-karp-invar-done-1' G L R s t M
     by (intro edmonds-karp-invar-done-11)
   thus ?thesis
    by
       (intro edmonds-karp-invar-done-1.is-maximum-matching-M-tbd)
       (simp add: edmonds-karp-invar-done-1.loop'-psimps)
 next
   case not-done-1: False
   show ?thesis
   proof (cases done-2 t (m-tbd G L R s t M))
     case True
     with 1.prems not-done-1
     have edmonds-karp-invar-done-2' G L R s t M
      by (intro edmonds-karp-invar-done-2I-2)
     thus ?thesis
      by
        (intro edmonds-karp-invar-done-2.is-maximum-matching-M-tbd)
        (simp add: edmonds-karp-invar-done-2.loop'-psimps)
   next
     {f case}\ {\it False}
     with 1.prems not-done-1
     have edmonds-karp-invar-not-done-2' G L R s t M
      by (intro edmonds-karp-invar-not-done-2I-2)
     thus ?thesis
      using not-done-1 False
      by
        (auto
          simp add: edmonds-karp-invar-not-done-2.loop'-psimps
          dest: 1.hyps
          intro: edmonds-karp-invar-not-done-2.edmonds-karp-invar-augment)
   qed
 qed
qed
We finally have everything to state and prove the correctness theorem for
algorithm edmonds-karp.edmonds-karp.
\mathbf{lemma} \ (\mathbf{in} \ edmonds\text{-}karp\text{-}valid\text{-}input) \ edmonds\text{-}karp\text{-}correct:
 shows is-maximum-matching (G.E.G) (M-tbd (edmonds-karp G.L.R.s.t))
\mathbf{theorem} \ (\mathbf{in} \ edmonds\text{-}karp) \ edmonds\text{-}karp\text{-}correct:
 assumes edmonds-karp-valid-input' G L R s t
 shows is-maximum-matching (G.E.G) (M-tbd (edmonds-karp G.L.R.s.t))
end
theory Parent-Relation-Partial
```

```
imports
   Parent-Relation
begin
partial-function (tailrec) rev-follow-partial where
 rev-follow-partial a \ m \ v = (case \ m \ v \ of \ None \Rightarrow v \# a \mid Some \ u \Rightarrow rev-follow-partial
(v \# a) m u)
definition rev-follow :: ('a \Rightarrow 'a \ option) \Rightarrow 'a \Rightarrow 'a \ list where
  rev-follow \equiv rev-follow-partial
lemma rev-follow-partial-eq-rev-follow:
 assumes parent m
 shows rev-follow-partial a \ m \ v = rev \ (parent.follow \ m \ v) @ a
lemma rev-follow-eq-rev-follow:
 assumes parent m
 shows rev-follow m \ v = rev \ (parent.follow \ m \ v)
end
theory Edmonds-Karp-Partial
 imports
   .../Alternating-BFS/Alternating-BFS-Partial
   Edmonds	ext{-}Karp
   ../Map/Parent-Relation-Partial
begin
partial-function (in edmonds-karp) (tailrec) loop'-partial where
  loop'-partial G \ U \ V \ s \ t \ M =
  (if done-1 U V M then M
   else if done-2 t (alt-bfs-partial (G1 G (G2 U V s t M)) (G2 U V s t M) s) then
M
     else\ loop'-partial G\ U\ V\ s\ t\ (augment\ M\ (butlast\ (tl\ (Parent-Relation-Partial.rev-follow))
(P-lookup\ (alt-bfs-partial\ (G1\ G\ (G2\ U\ V\ s\ t\ M))\ (G2\ U\ V\ s\ t\ M)\ s))\ t)))))
definition (in edmonds-karp) edmonds-karp-partial where
  edmonds-karp-partial G L R s t \equiv loop'-partial G L R s t M-empty
```

 $\quad \mathbf{end} \quad$ 

imports

theory Edmonds-Karp-Impl

 $Edmonds\hbox{-}Karp\hbox{-}Partial$ 

../Alternating-BFS/Alternating-BFS-Impl

#### begin

```
global-interpretation E: edmonds-karp where
 Map-empty = empty and
 Map-update = update and
 Map-delete = RBT-Map.delete and
 Map-lookup = lookup and
 Map-inorder = inorder and
 Map-inv = rbt and
 Set-empty = empty and
 Set-insert = RBT-Set.insert and
 Set-delete = delete and
 Set-isin = isin and
 Set-inorder = inorder and
 Set-inv = rbt and
 P-empty = empty and
 P-update = update and
 P-delete = RBT-Map.delete and
 P-lookup = lookup and
 P-invar = M.invar and
 Q-empty = Queue.empty and
 Q-is-empty = is-empty and
 Q-snoc = snoc and
 Q-head = head and
 Q-tail = tail and
 Q	ext{-}invar = Queue.invar and
 Q-list = list and
 M-empty = empty and
 M-update = update and
 M-delete = RBT-Map.delete and
 M-lookup = lookup and
 M-inorder = inorder and
 M-inv = rbt
 defines is-free-vertex = E.is-free-vertex
 {\bf and}\ \mathit{free-vertices} = \mathit{E.free-vertices}
 and G2-1 = E.G2-1
 and G2-2 = E.G2-2
 and G2-3 = E.G2-3
 and G2 = E.G2
 and G1 = E.G1
 and done-1 = E.done-1
 and done-2 = E.done-2
 and augment = E.augment
 and loop'-partial = E.loop'-partial
 \mathbf{and}\ \mathit{edmonds\text{-}karp\text{-}partial} = \mathit{E}.\mathit{edmonds\text{-}karp\text{-}partial}
declare rev-follow-partial.simps [code]
declare E.loop'-partial.simps [code]
thm E.loop'-partial.simps
```

```
value alt-bfs-partial (update (4::nat) (RBT-Set.insert (3::nat) empty) (update (3::nat) (RBT-Set.insert (4::nat) empty) empty)) (update (2::nat) (RBT-Set.insert (1::nat) empty) (update (1::nat) (RBT-Set.insert (2::nat) empty)) 1 value loop'-partial (update (2::nat) (RBT-Set.insert (1::nat) empty) (update (1::nat) (RBT-Set.insert (2::nat) empty)) (RBT-Set.insert (1::nat) empty) (RBT-Set.insert (2::nat) empty) 1 2 empty value edmonds-karp-partial (update (2::nat) (RBT-Set.insert (1::nat) empty) (update (1::nat) (RBT-Set.insert (2::nat) empty)) empty))  (nat \times color) \text{ tree} \Rightarrow (nat \times color) \text{ tree} \Rightarrow nat \Rightarrow nat \Rightarrow ((nat \times nat) \times color) \text{ tree}  end
```

## 21.2.5 Undirected graphs

```
theory Undirected-Graph
imports
Augmenting-Path
Bipartite-Graph
Shortest-Alternating-Path
begin
```

end

# 22 Graph

```
theory Graph
imports
Adjacency/Adjacency
Adjacency-Impl
Directed-Graph/Directed-Graph
Undirected-Graph/Undirected-Graph
begin
```

This section considers graphs from three levels of abstraction. On the high level, a graph is a set of edges (graph for undirected graphs, and dgraph for directed graphs). On the medium level, a graph is specified via the interface adjacency. On the low level, this interface is then implemented via red-black trees.

#### 22.1 High level

For the high level of abstraction, we extend the archive of graph formalizations AGF, which formalizes both directed (dgraph) and undirected (graph) graphs as sets of edges. The set of vertices of a graph is then defined as the union of all endpoints of all edges in the graph  $(dVs ?dG \equiv \bigcup \{v1, v2\}\}$ 

 $|v1~v2.~v1\to_{?dG}v2\}$  for directed graphs, and  $\textit{Vs~?E}\equiv\bigcup~?E$  for undirected graphs). Let us first look at directed graphs. end