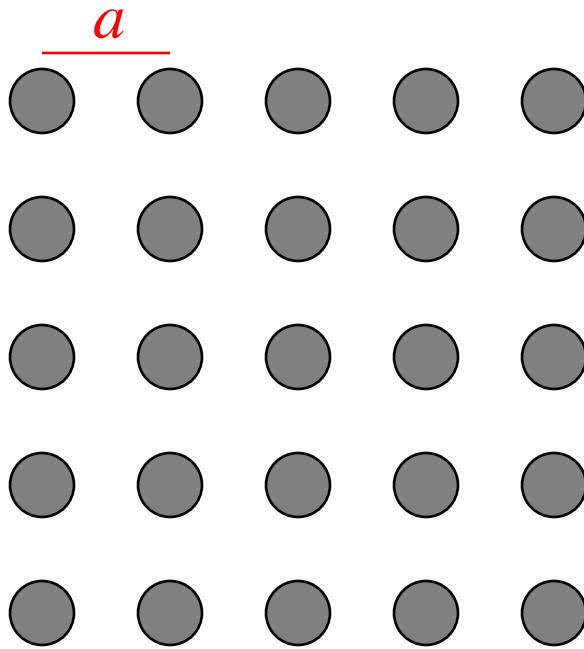
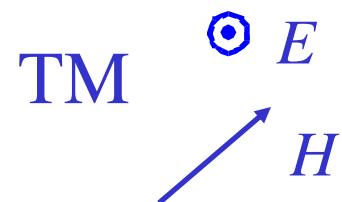
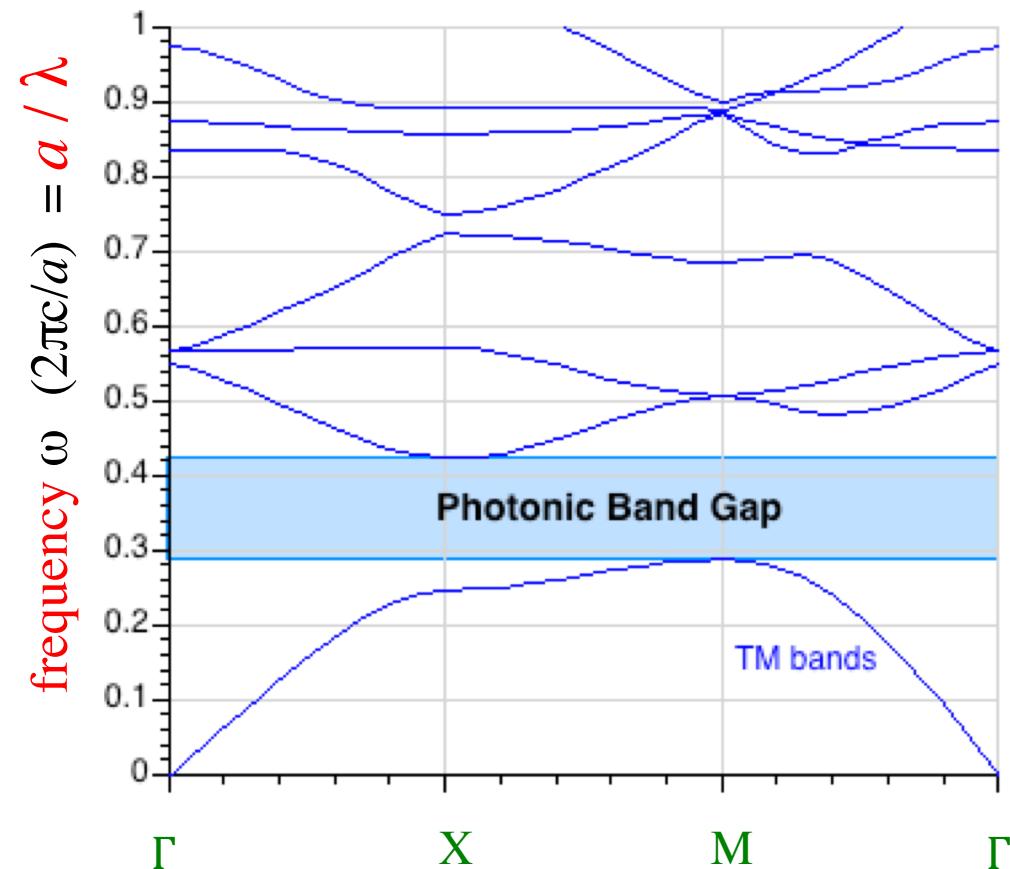
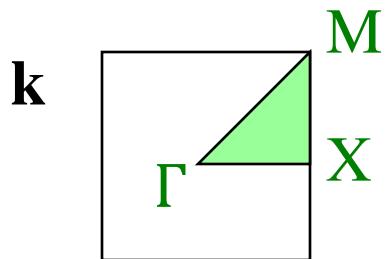


# 2d periodicity, $\varepsilon=12:1$

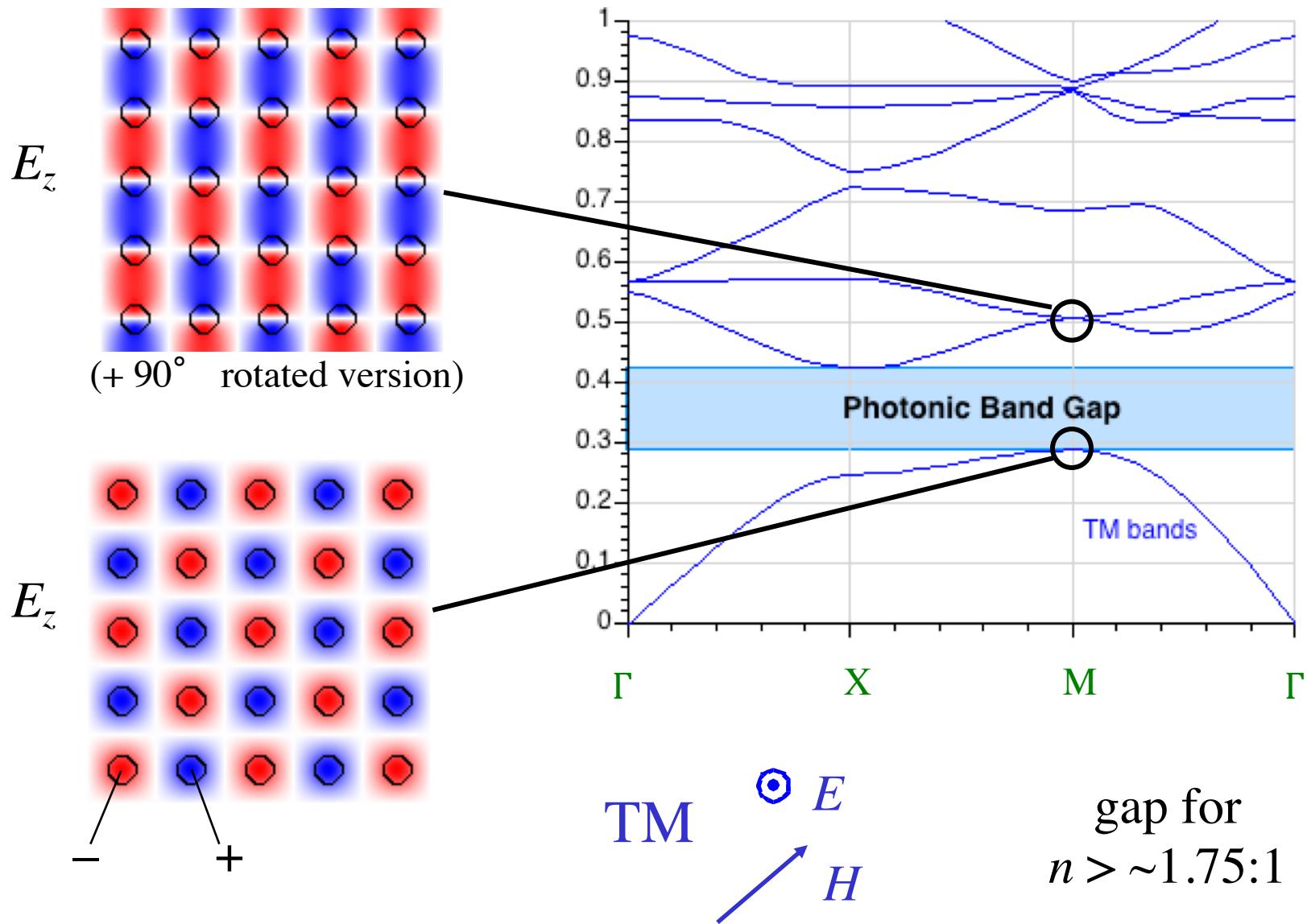


irreducible Brillouin zone

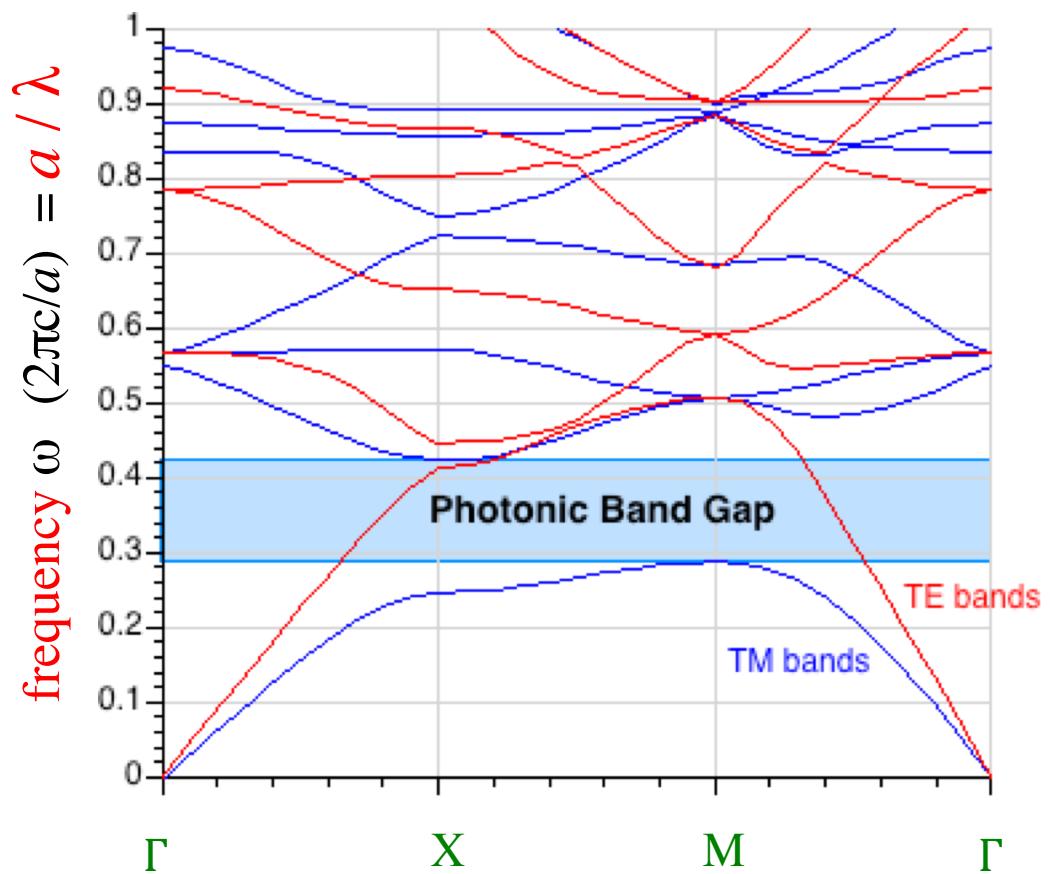
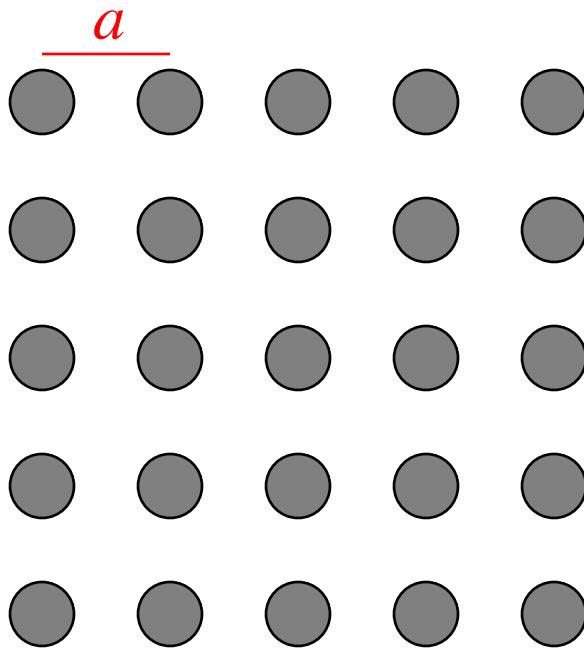


gap for  
 $n > \sim 1.75:1$

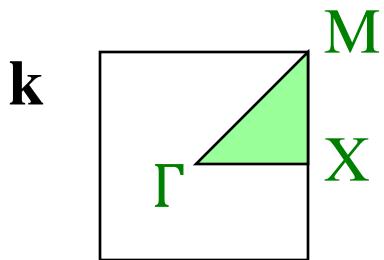
# 2d periodicity, $\epsilon=12:1$



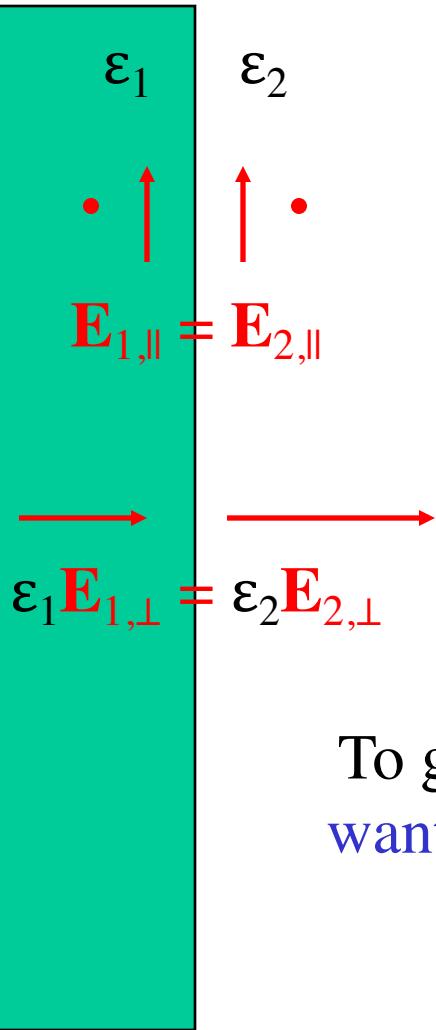
# 2d periodicity, $\epsilon=12:1$ : TM gap, no TE gap



irreducible Brillouin zone



# What a difference a boundary condition makes...



$E_{\parallel}$  is continuous:  
energy density  $\epsilon|E|^2$   
more in larger  $\epsilon$

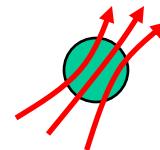
$\epsilon E_{\perp}$  is continuous:  
energy density  $|E|^2/\epsilon$   
more in smaller  $\epsilon$

To get strong confinement & gaps,  
want  $E$  mostly parallel to interfaces

TM:  $\parallel$

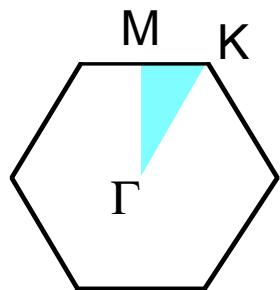
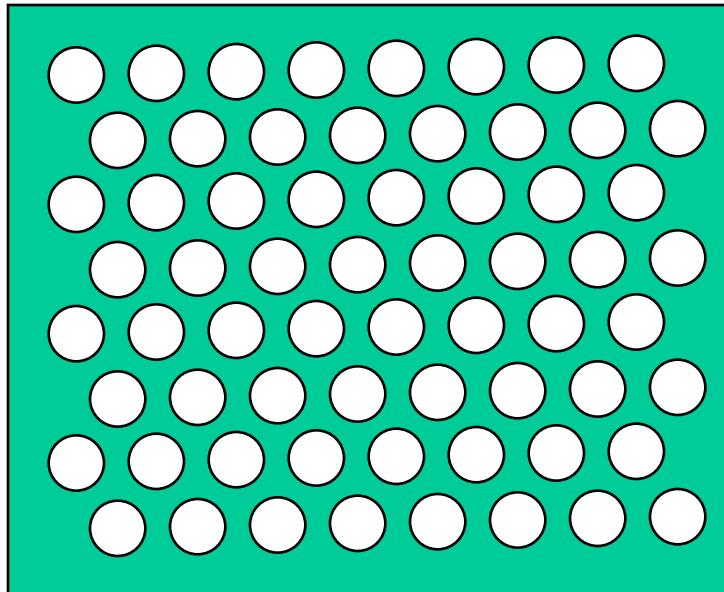


TE:  $\perp$



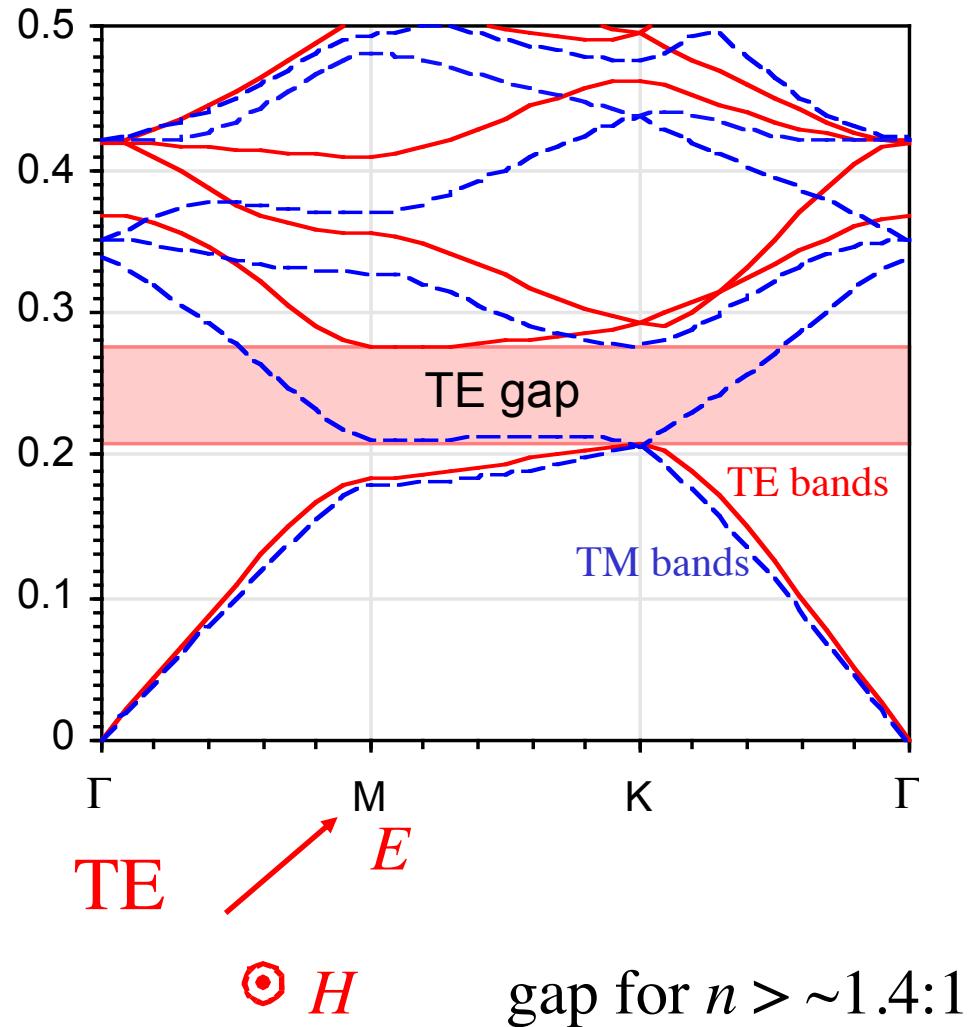
# 2d photonic crystal: TE gap, $\epsilon=12:1$

triangular / hexagonal lattice of air holes in  $\epsilon=12$



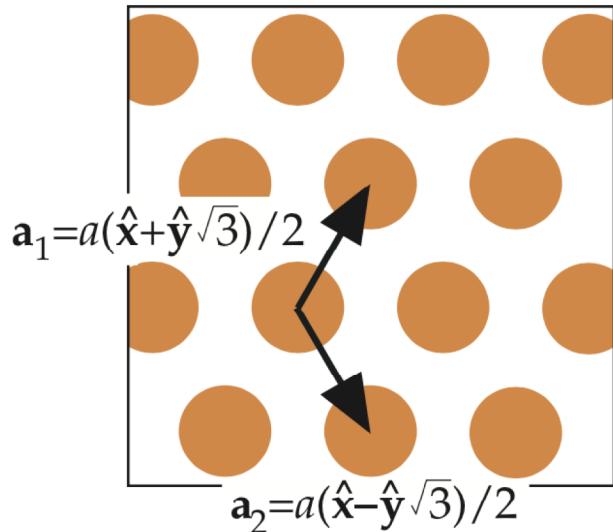
1<sup>st</sup> Brillouin zone  
+ irreducible B.Z.

Origin of TE gap: 1<sup>st</sup> band's  
TE ( $E_x, E_y$ ) **electric field lines**  
can “loop around” cylinders

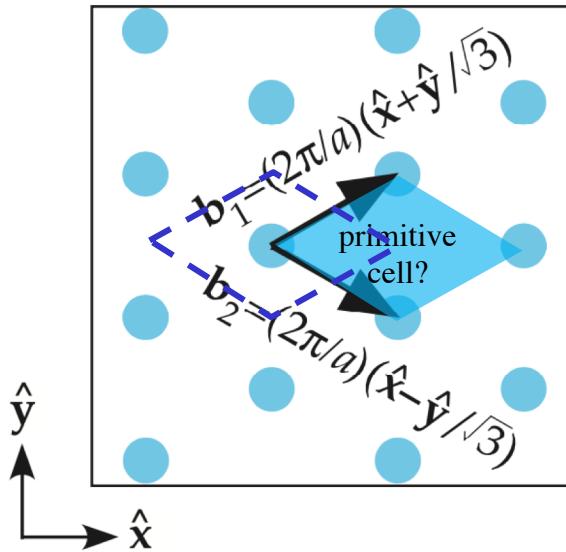


# Brillouin zones: A better unit cell in $\mathbf{k}$

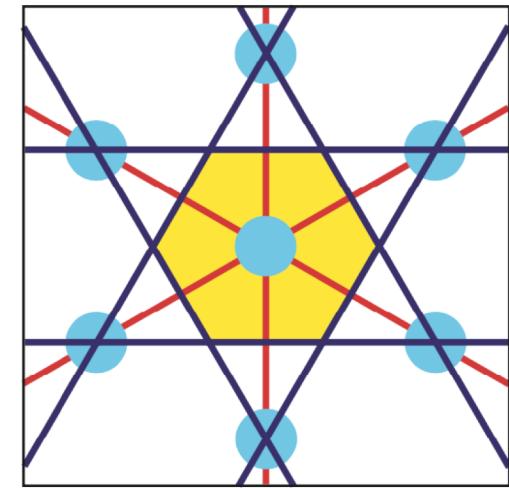
hexagonal / “triangular” Bravais lattice



Reciprocal lattice

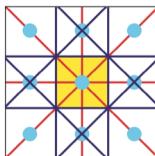
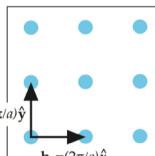
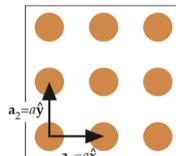


first Brillouin zone



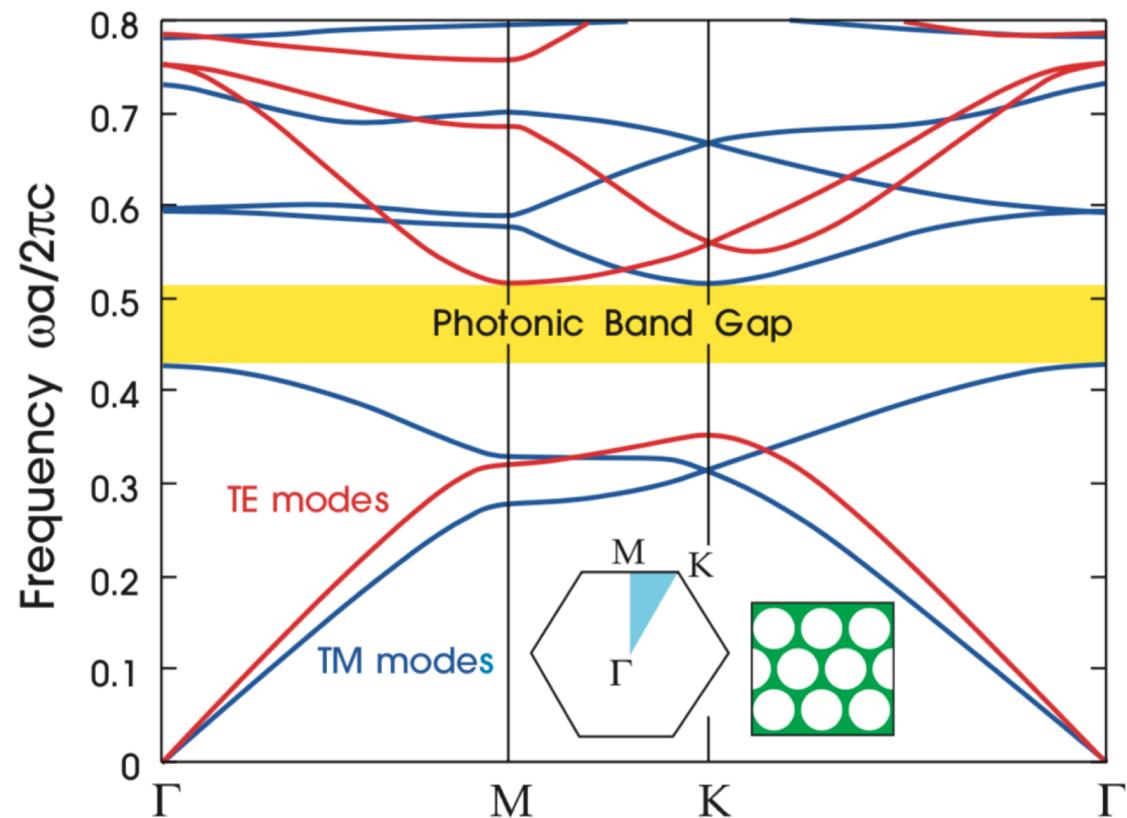
- Problem: obvious “primitive cell” in  $\mathbf{k}$  space **breaks  $C_{6v}$  symmetry.**
- Solution: define “first Brillouin zone” as points *closer* to  $\mathbf{k}=0$  than to any other reciprocal lattice vector  $\mathbf{G}$ .

gives square B.Z.  
in square lattice:

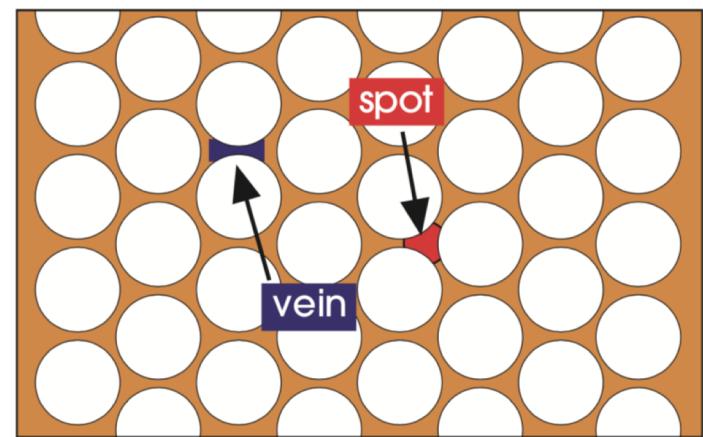


(also called “Wigner–Seitz cell” of Bravais lattice,  
or “Voronoi cell for any set of points.)

# An overlapping TE+TM gap



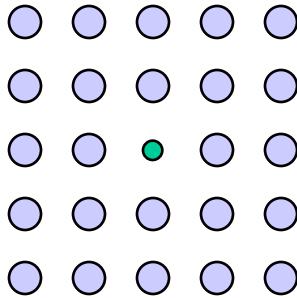
(In 2d, however, we can generally choose one polarization to work with, so an overlapping TE+TM gap is rarely needed. In 3d, though, modes are no longer purely polarized and we will have to handle every field orientation simultaneously.)



**TE gap:** 1<sup>st</sup> band loops around veins, 2<sup>nd</sup> band forced out by orthogonality.

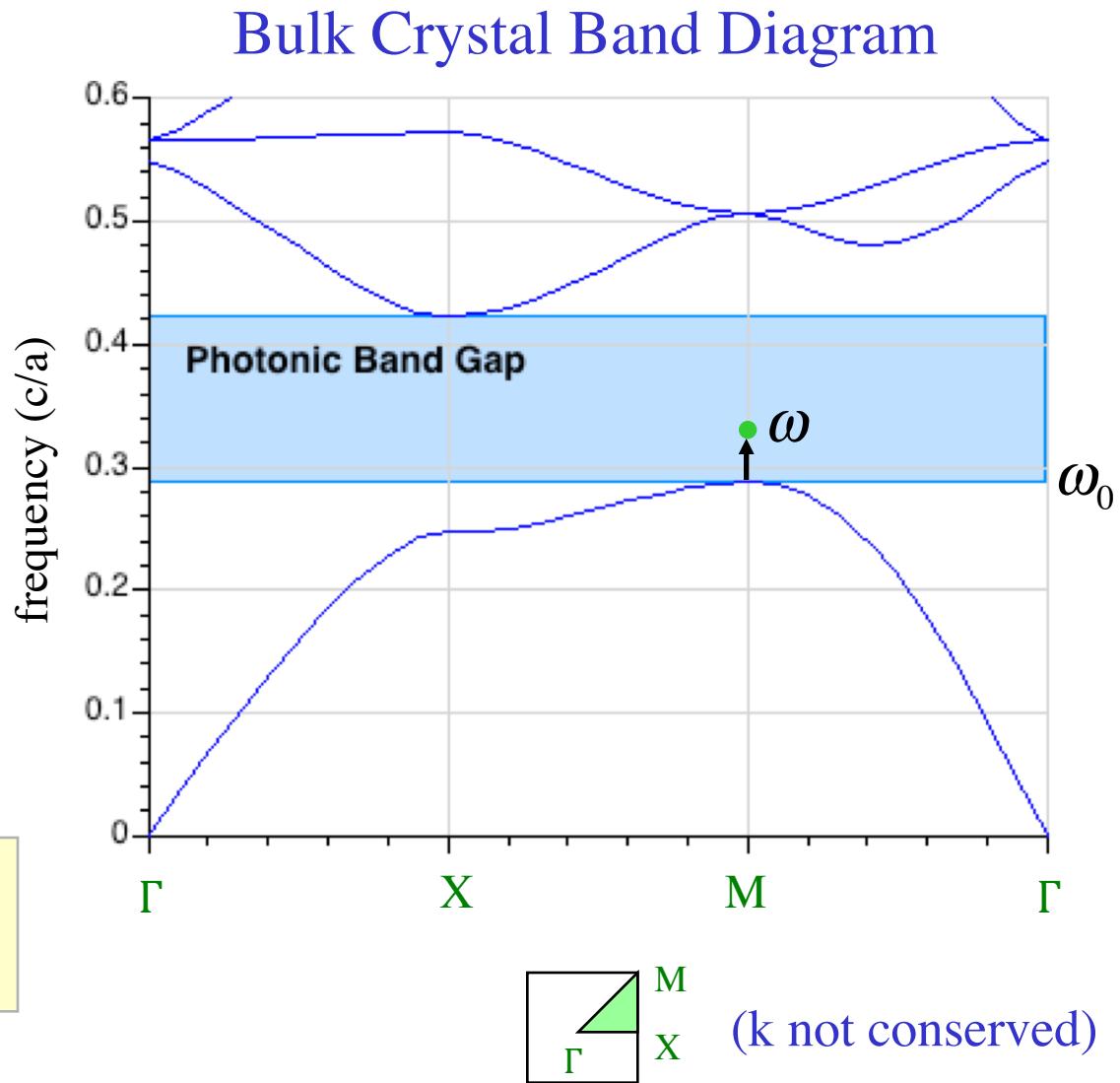
**TM gap:** 1<sup>st</sup> band concentrates in interstitial “spots,” 2<sup>nd</sup> band forced out by orthogonality.

# Single-Mode Cavity

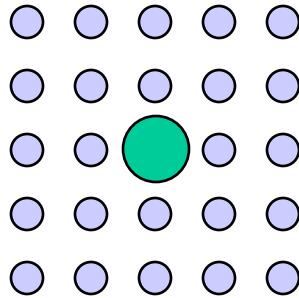


A *point defect*  
can **push up**  
a **single** mode  
from the **band edge**

$$\text{field decay} \sim \sqrt{\frac{\omega - \omega_0}{\text{curvature}}}$$

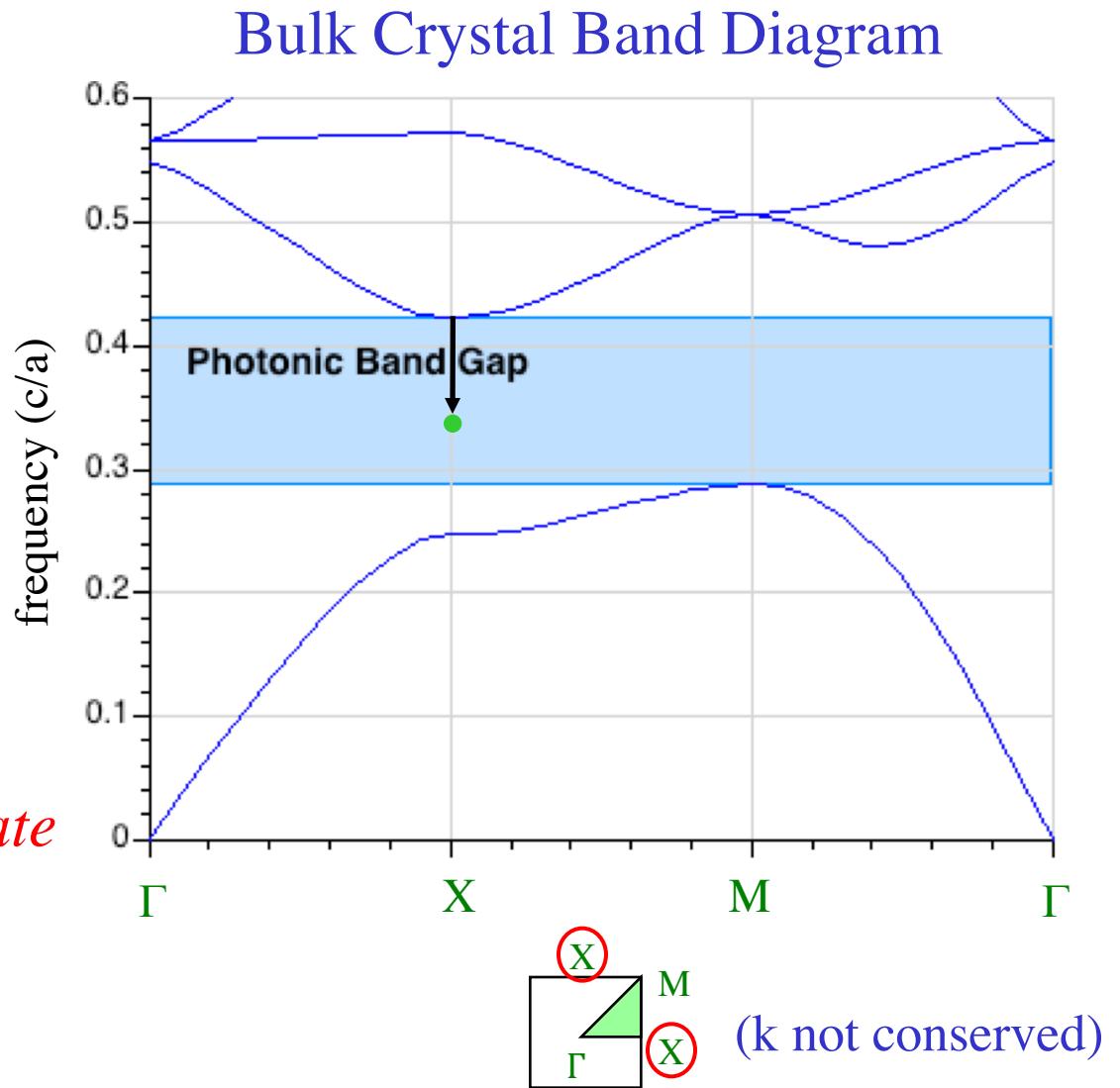


# “Single”-Mode Cavity

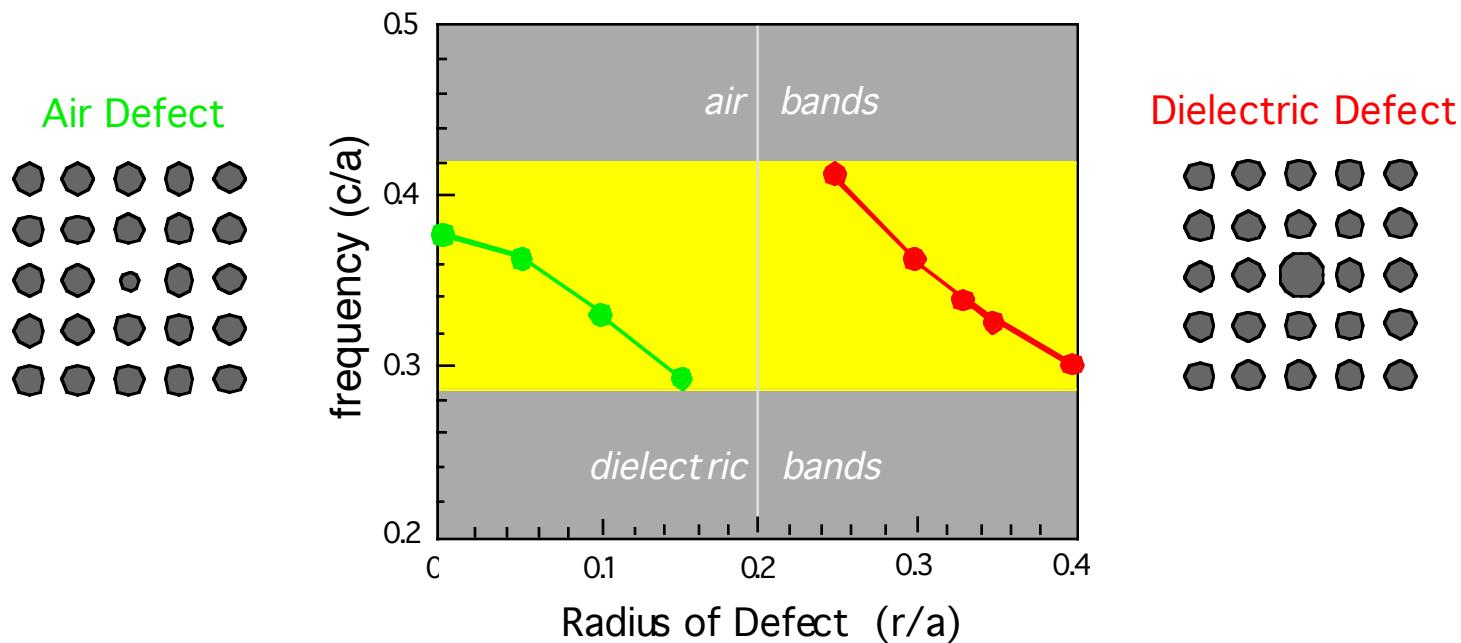


A *point defect*  
can **pull down**  
a “**single**” mode

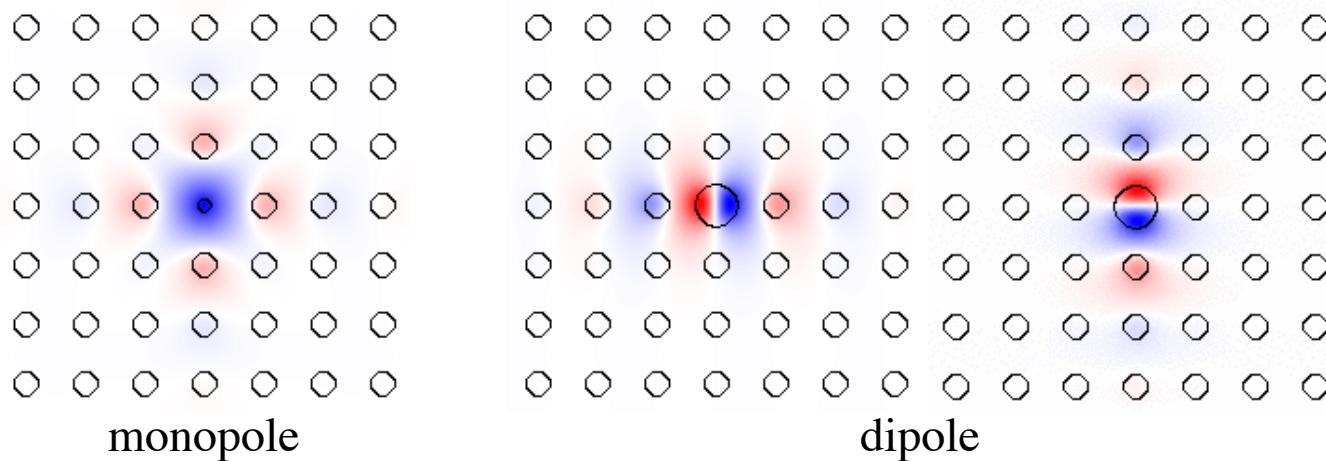
...here, **doubly-degenerate**  
(two states at *same*  $\omega$ )



# Tunable Cavity Modes

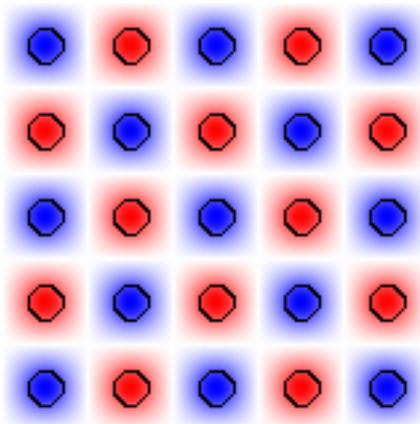


$E_z$ :

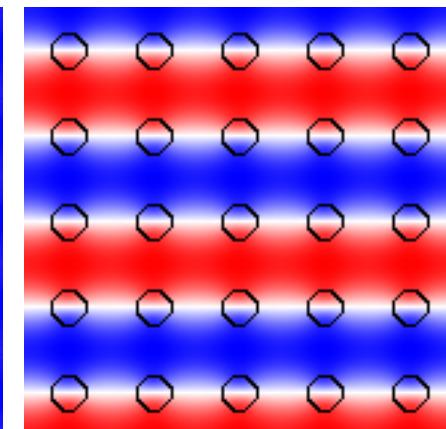
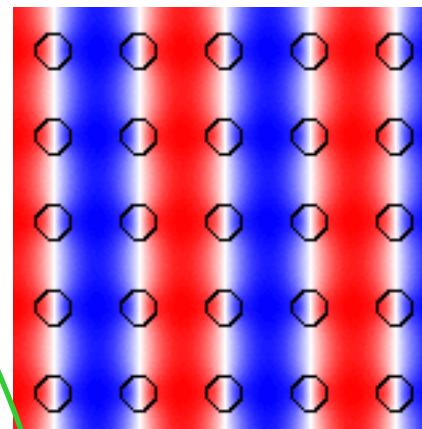


# Tunable Cavity Modes

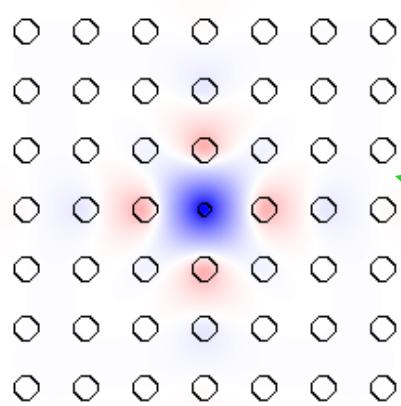
band #1 at M



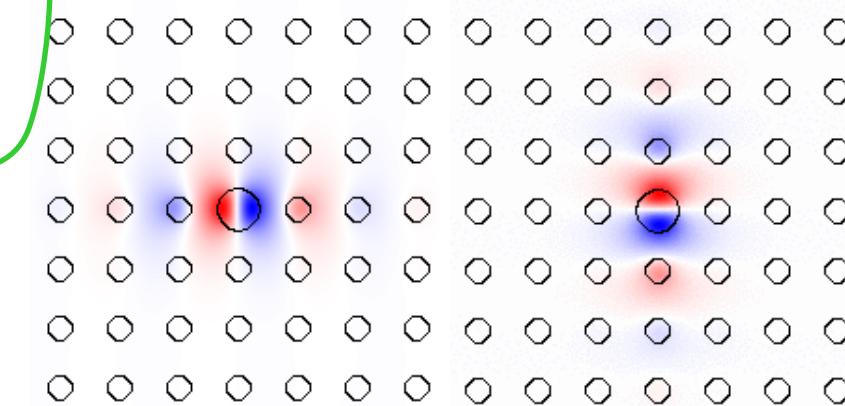
band #2 at X' s



$E_z$ :



monopole



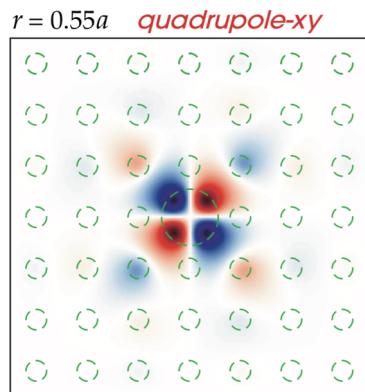
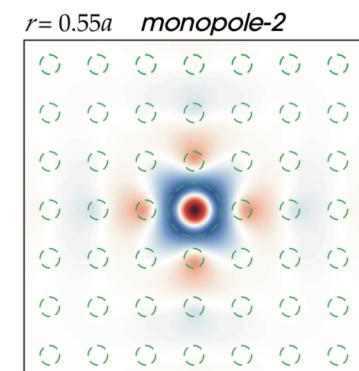
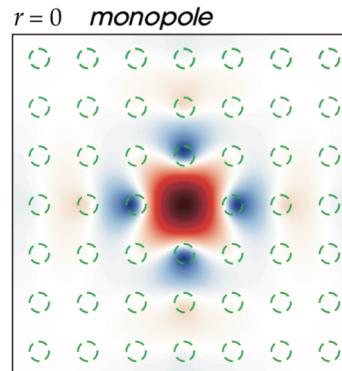
dipole

*multiply by exponential decay*

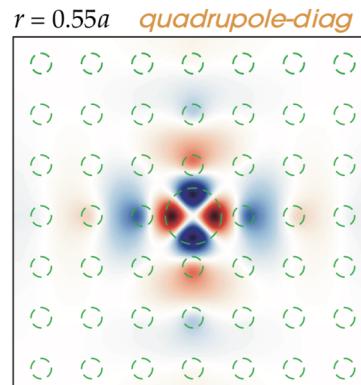
# Other defects = other $C_{4v}$ irreps

	E	$2C_4$	$C_2$	$2\sigma$	$2\sigma'$
$\Gamma_1$	1	1	1	1	1
$\Gamma_2$	1	1	1	-1	-1
$\Gamma_3$	1	-1	1	1	-1
$\Gamma_4$	1	-1	1	-1	1
$\Gamma_5$	2	0	-2	0	0

$\Gamma_1$

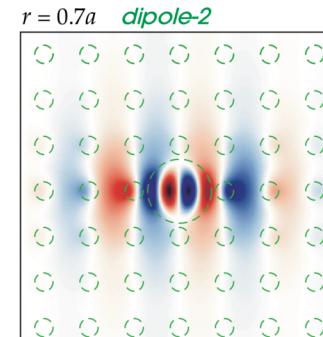
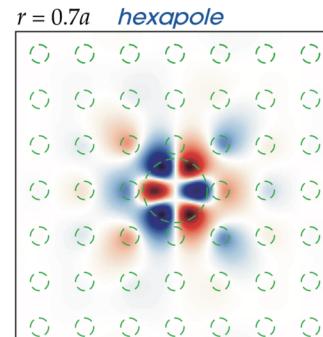
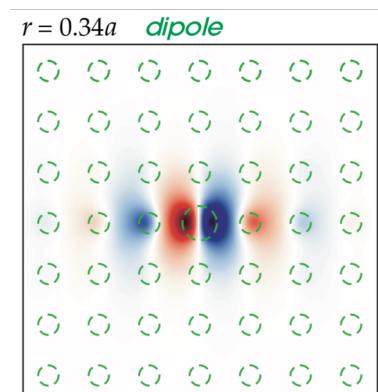


$\Gamma_2$



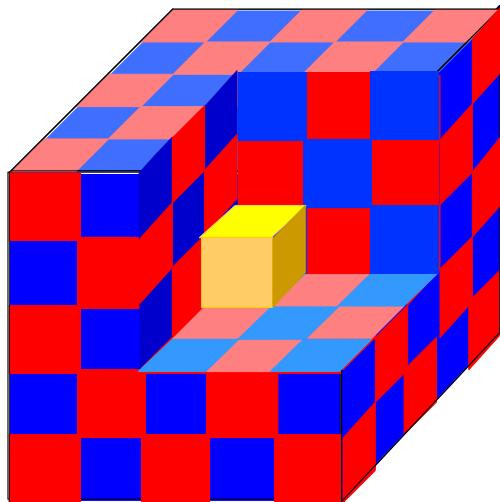
$\Gamma_3$

$\Gamma_5$   
(doubly  
degenerate)

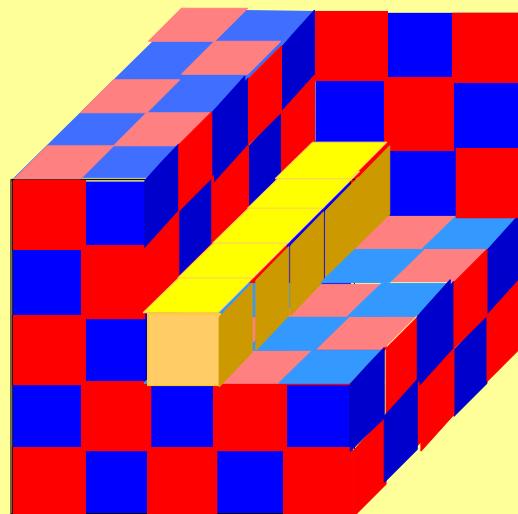


# Intentional “defects” are good

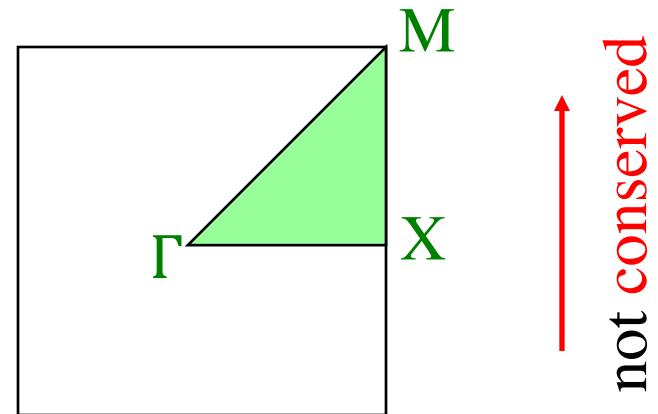
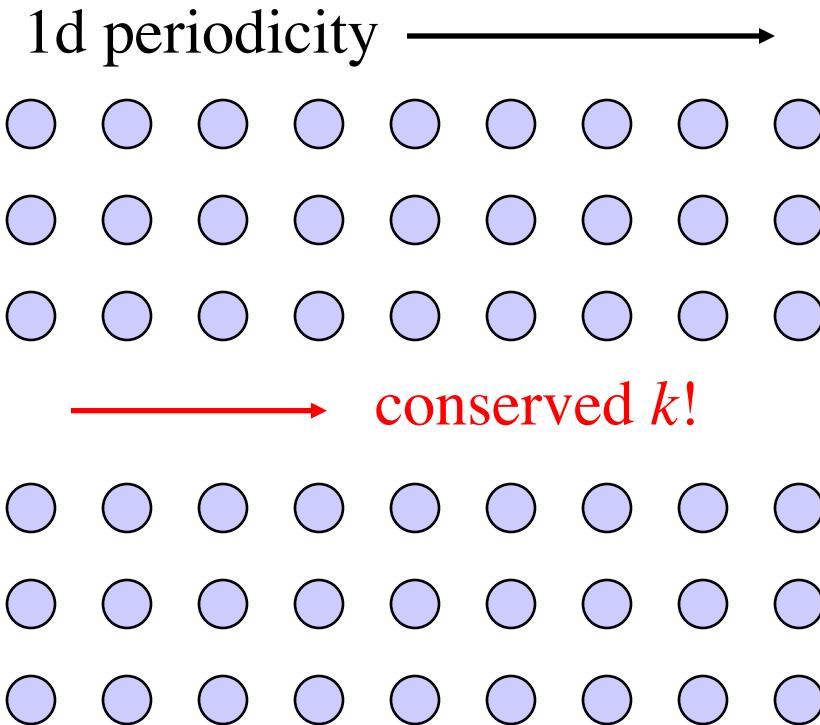
microcavities



waveguides (“wires”)



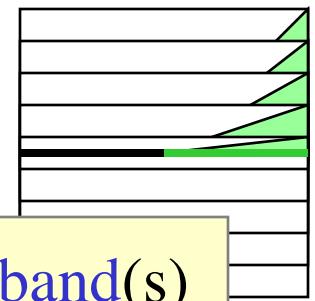
# Projected Band Diagrams



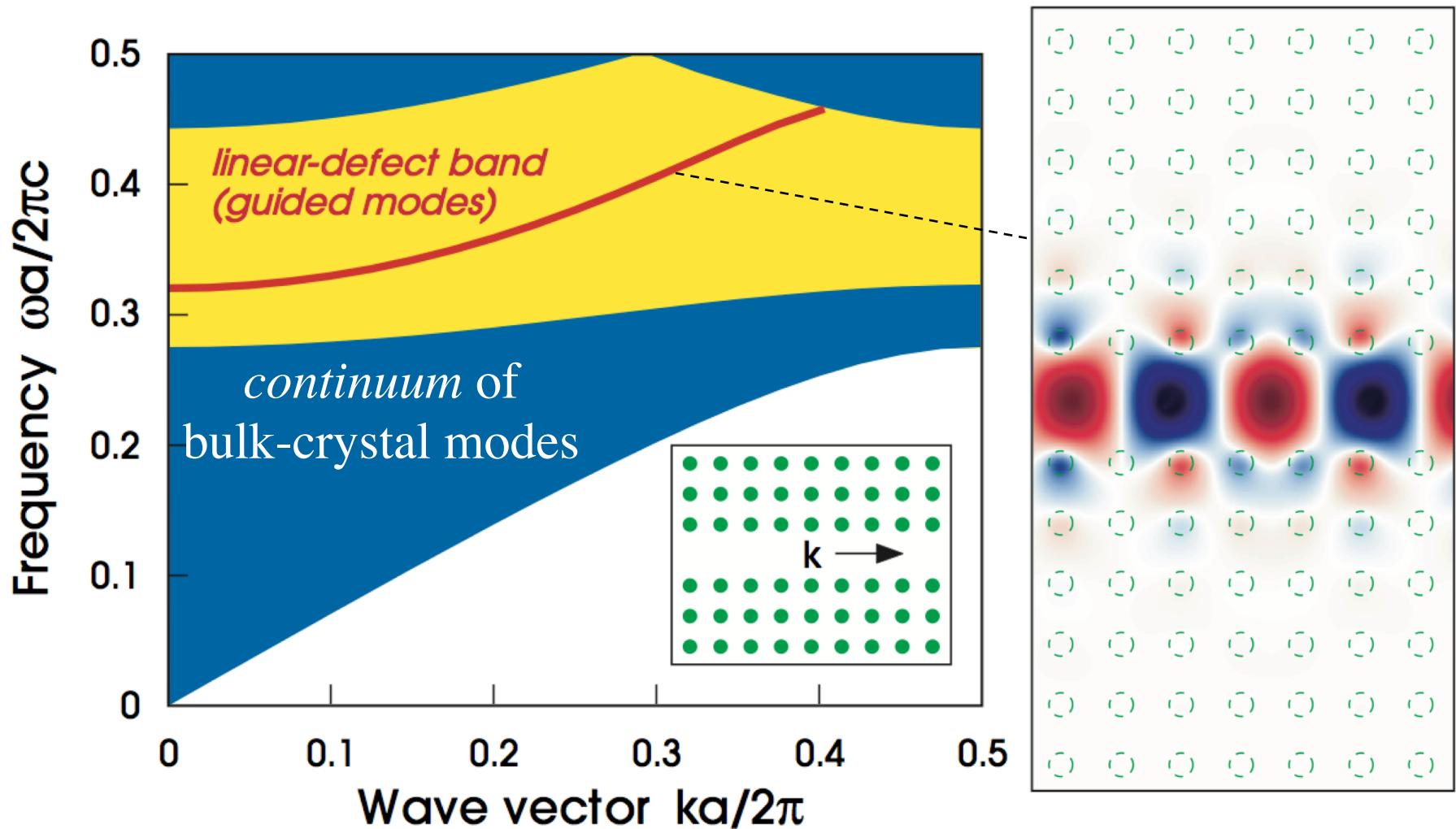
$\longrightarrow$  conserved

So, plot  $\omega$  vs.  $k_x$  only... project Brillouin zone onto  $\Gamma$ -X:

gives continuum of bulk states + discrete guided band(s)

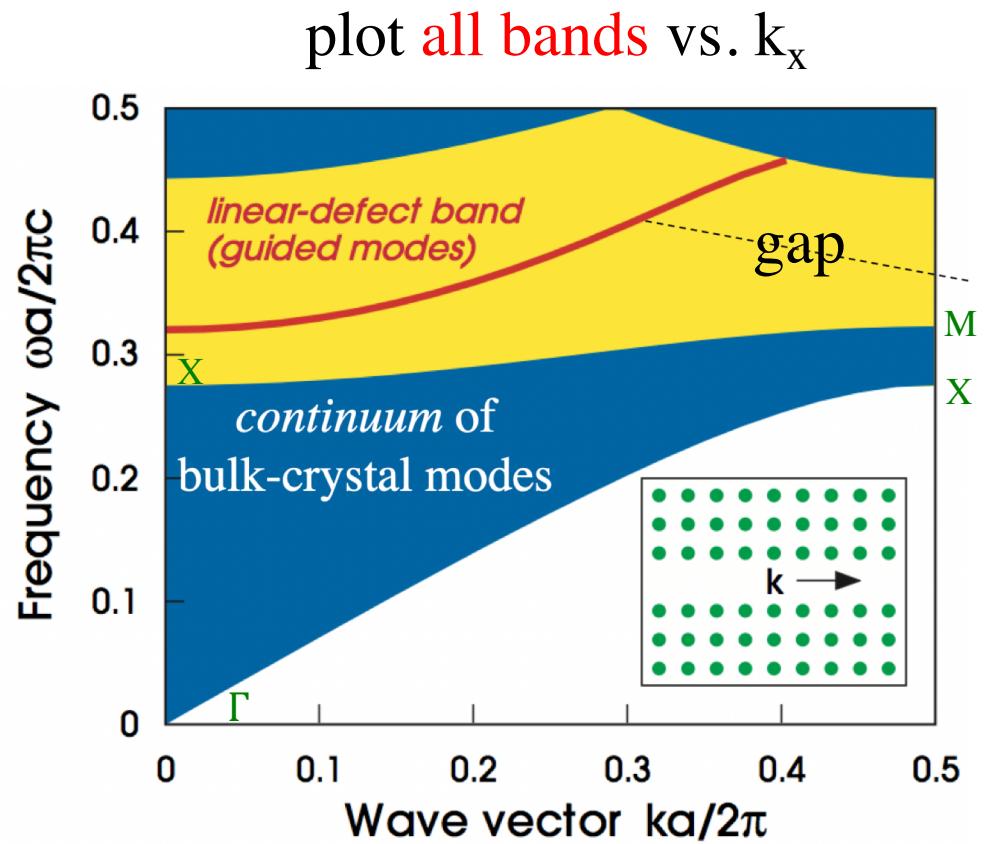
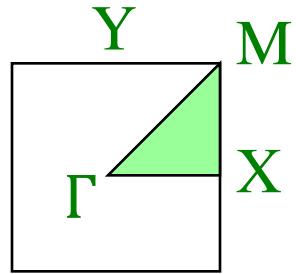
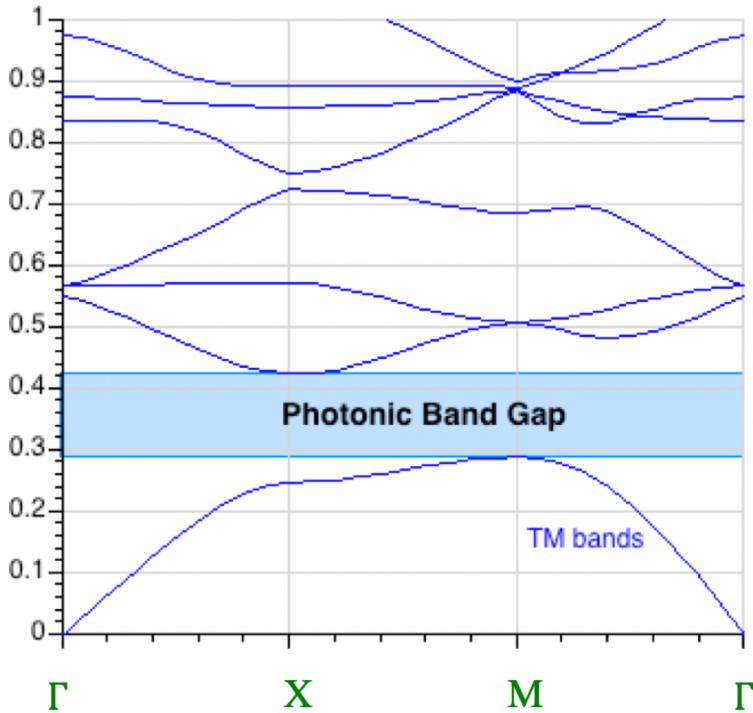


# Air-waveguide Band Diagram



any state in the gap cannot couple to bulk crystal  $\Rightarrow$  localized

# Projected band diagram: $k_x$ conserved



# (Waveguides don't really need a *complete* gap)

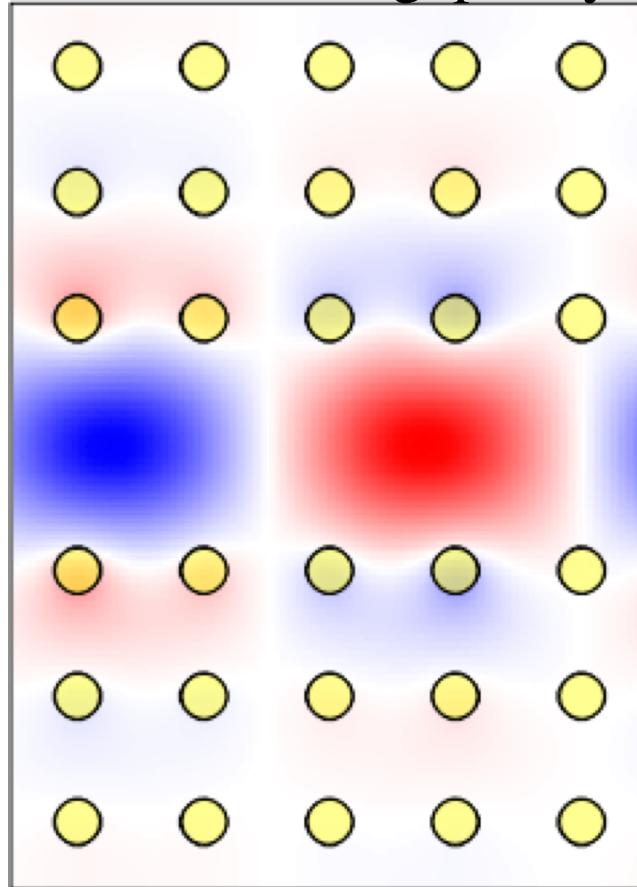
Fabry-Perot waveguide:



This is exploited *e.g.* for photonic-crystal fibers...

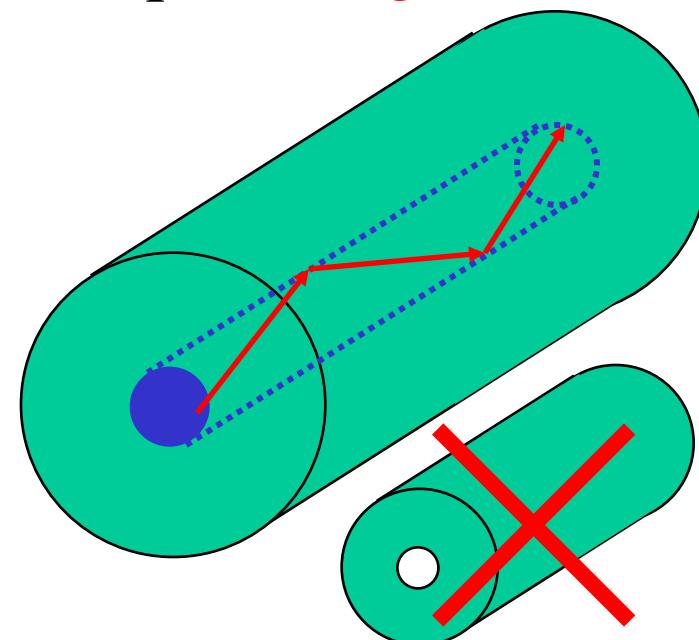
# Guiding Light in Air!

mechanism is gap only



vs. standard optical fiber:

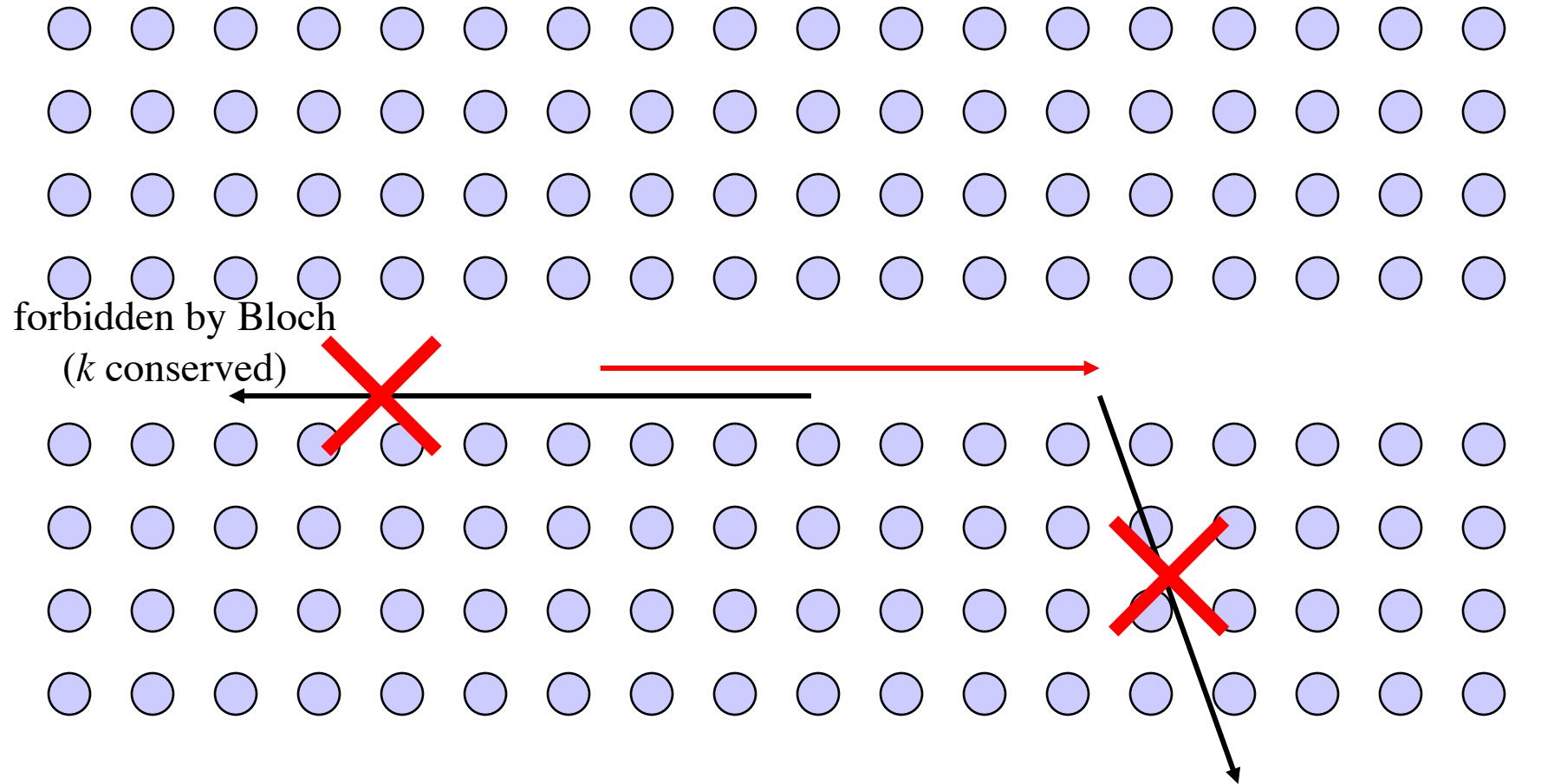
- “total internal reflection”
- requires *higher-index core*



no hollow core!

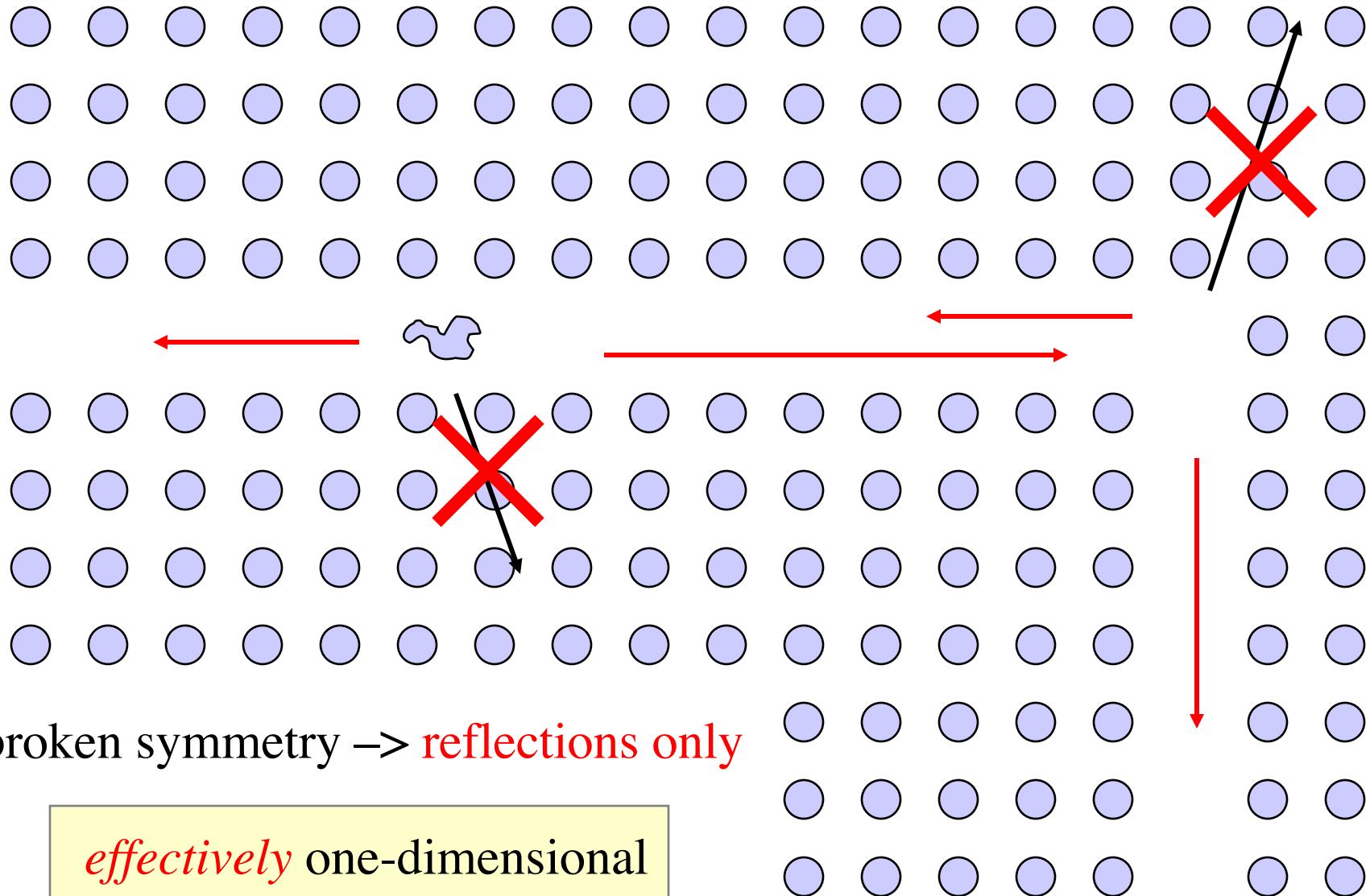
hollow = lower absorption, lower nonlinearities, higher power

# Review: Why no scattering?



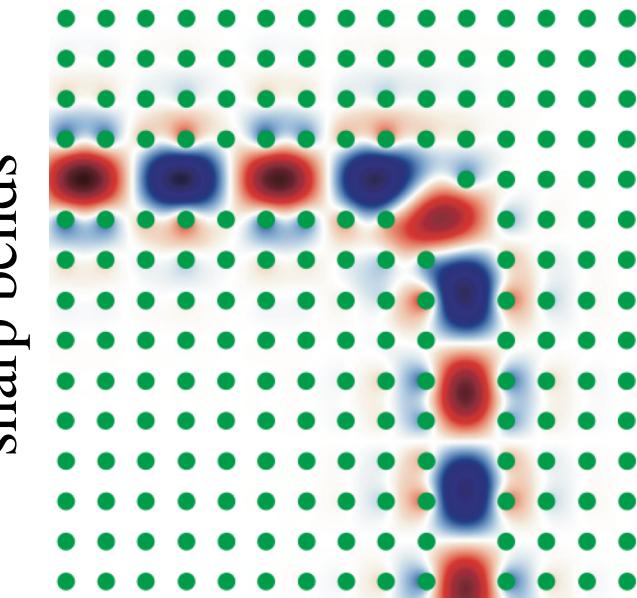
forbidden by gap  
(except for finite-crystal tunneling)

# Benefits of a complete gap...

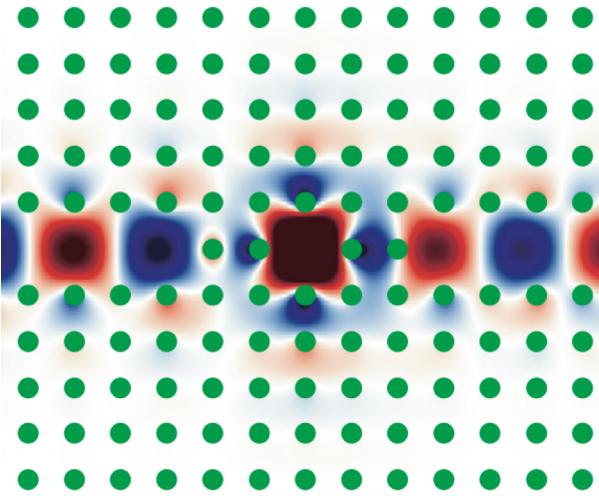


# “1d” Waveguides + Cavities = Devices

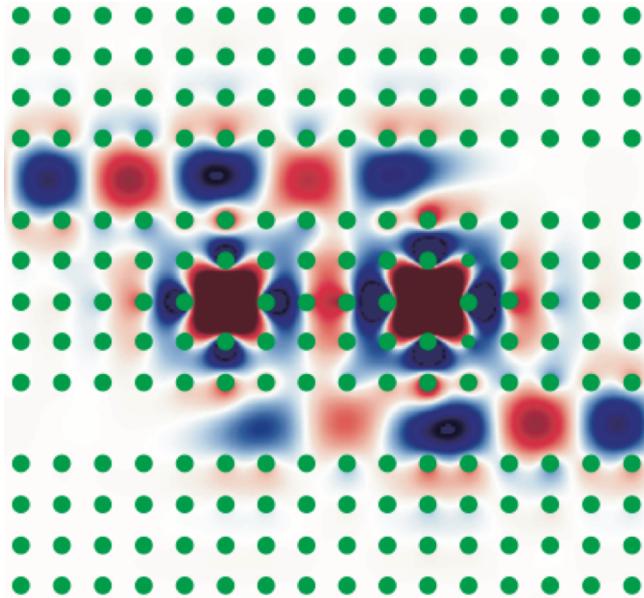
high-transmission  
sharp bends



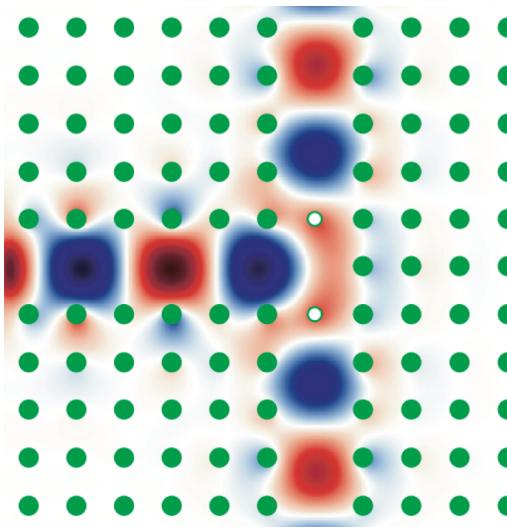
resonant filters



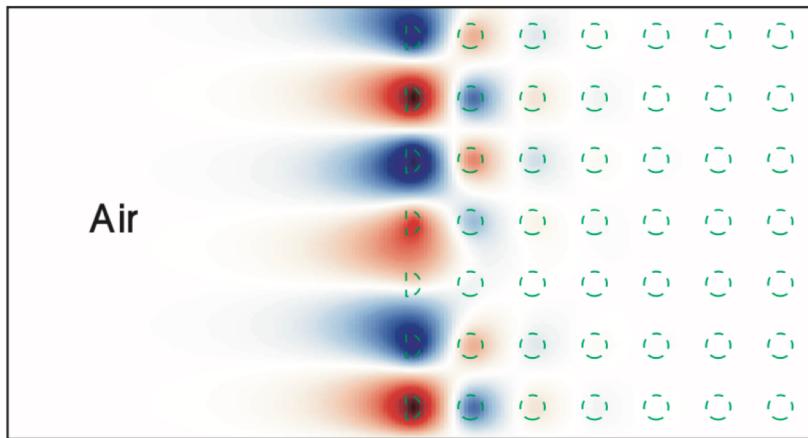
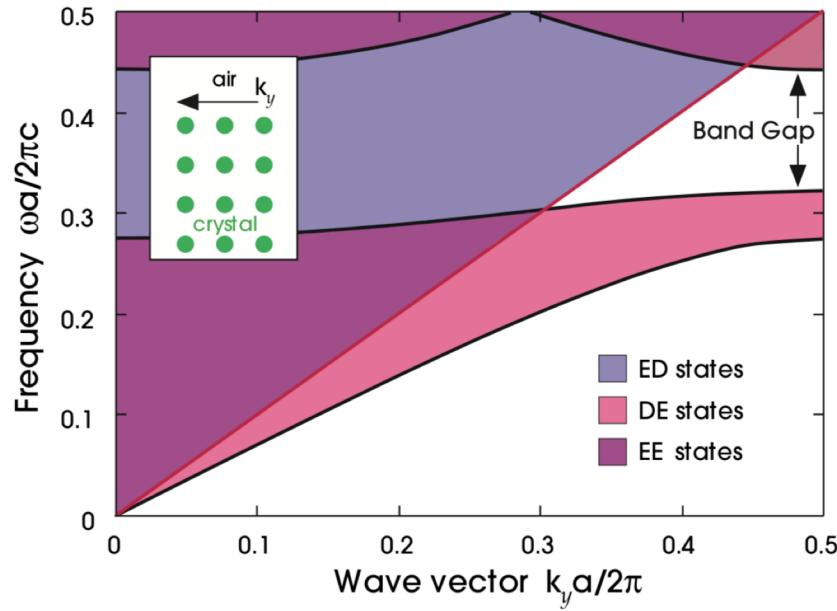
channel-drop filters



waveguide splitters



# Surface states in 2d



Projected band diagram: only  $k_{\parallel}$  conserved:  
Plot projected crystal bands + light cone.

Surface **termination** determines surface state solution: see effect of 2 different terminations!

