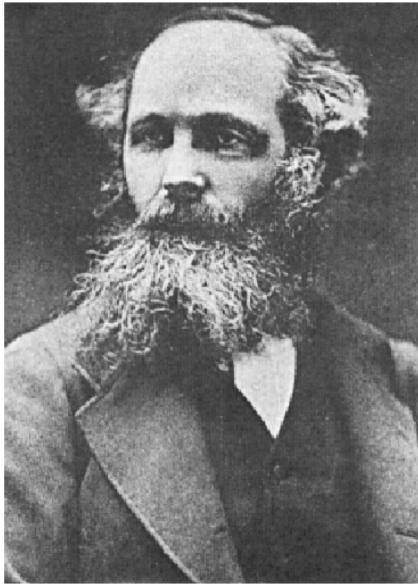


18.369:  
Mathematical Methods  
in Nanophotonics

overview lecture slides

*(don't get used to it: most lectures are blackboard)*

Prof. Steven G. Johnson  
MIT Applied Mathematics



James Clerk Maxwell

1864

# Maxwell's Equations

$$\nabla \cdot \mathbf{B} = 0$$

Gauss:

$$\nabla \cdot \mathbf{D} = \rho$$

constitutive  
relations:

Ampere:

$$\nabla \times \mathbf{H} = \frac{\partial \mathbf{D}}{\partial t} + \mathbf{J}$$

$$\epsilon_0 \mathbf{E} = \mathbf{D} - \mathbf{P}$$

Faraday:

$$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$$

$$\mathbf{H} = \mathbf{B}/\mu_0 - \mathbf{M}$$

*electromagnetic fields:*

**E** = electric field

*sources:* **J** = current density

**D** = displacement field

$\rho$  = charge density

**H** = magnetic field / induction

*material response to fields:*

**B** = magnetic field / flux density

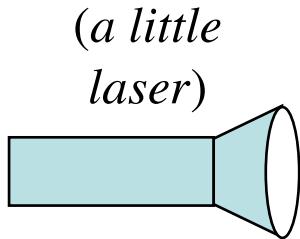
**P** = polarization density

*constants:*  $\epsilon_0, \mu_0$  = vacuum permittivity/permeability  
 $c$  = vacuum speed of light =  $(\epsilon_0 \mu_0)^{-1/2}$

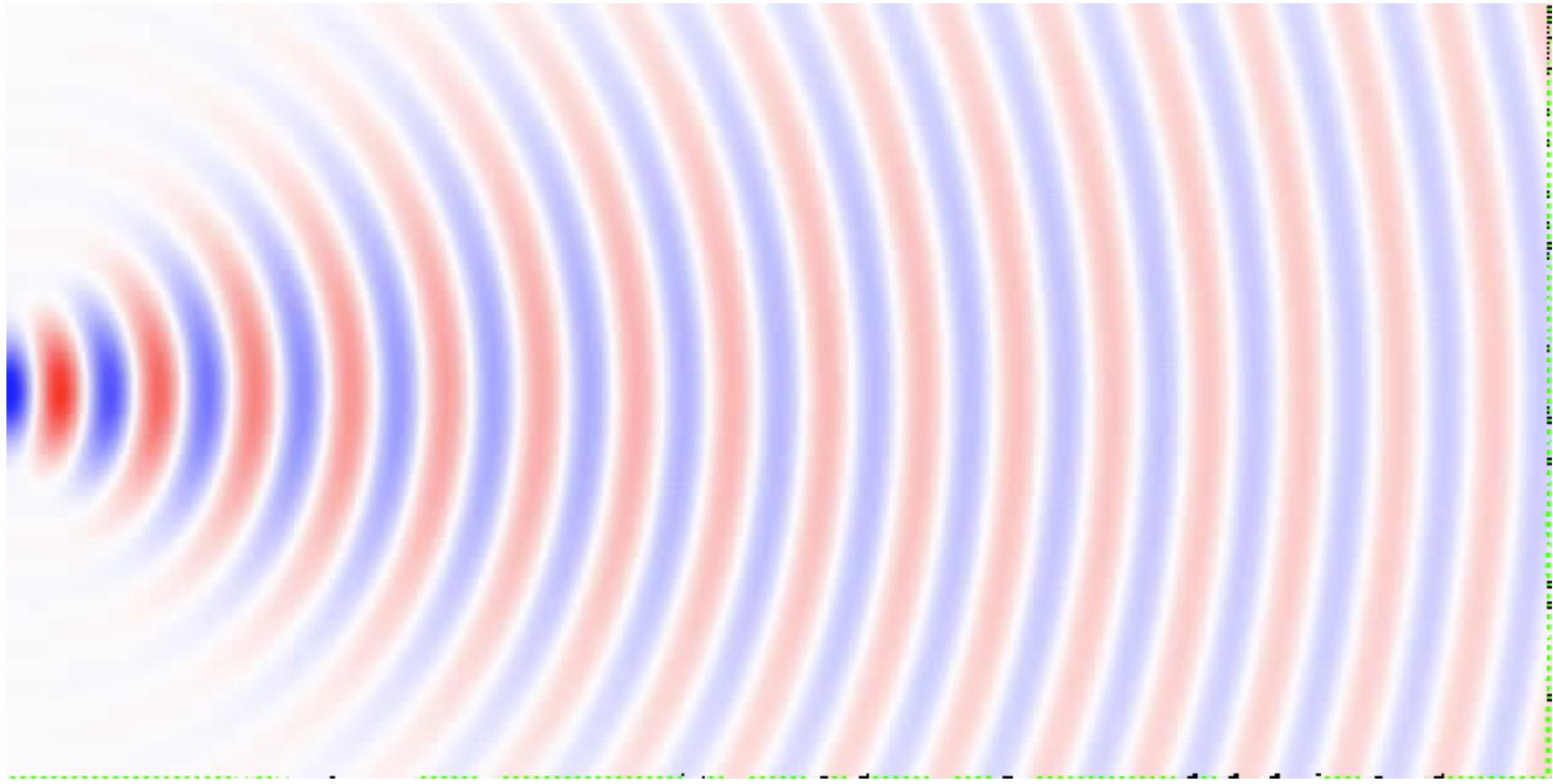
**M** = magnetization density

# Light is a Wave (and a quantum particle)

color ~ wavelength  $\lambda \approx 500\text{nm}$  for visible light



wave  
spreading:  
*diffraction*



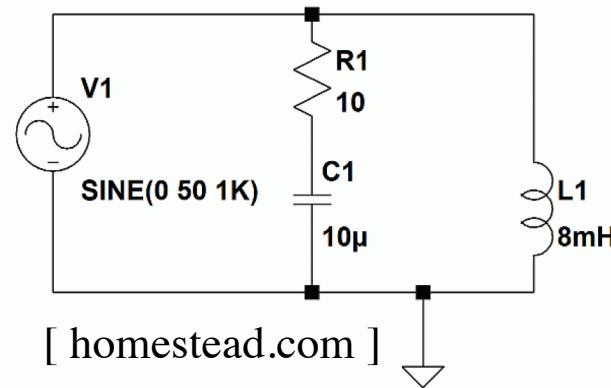
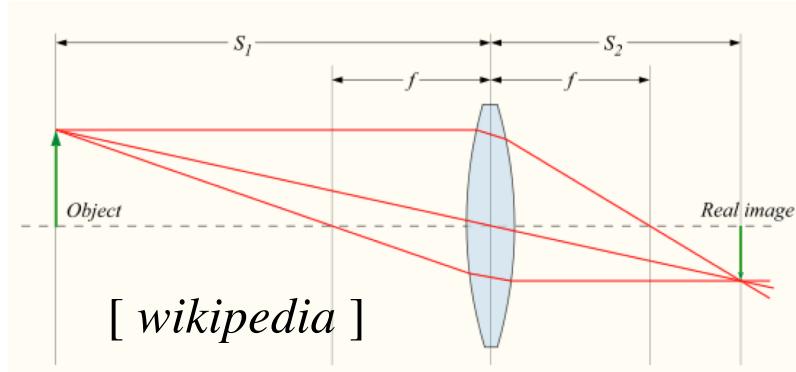
- +

out-of-plane electric field

speed  $c \approx 186,000$  miles/sec

# When can we solve this mess?

- Very small wavelengths: **ray optics**
- Very large wavelengths:  
**quasistatics** (8.02)  
& **lumped circuit models** (6.002)



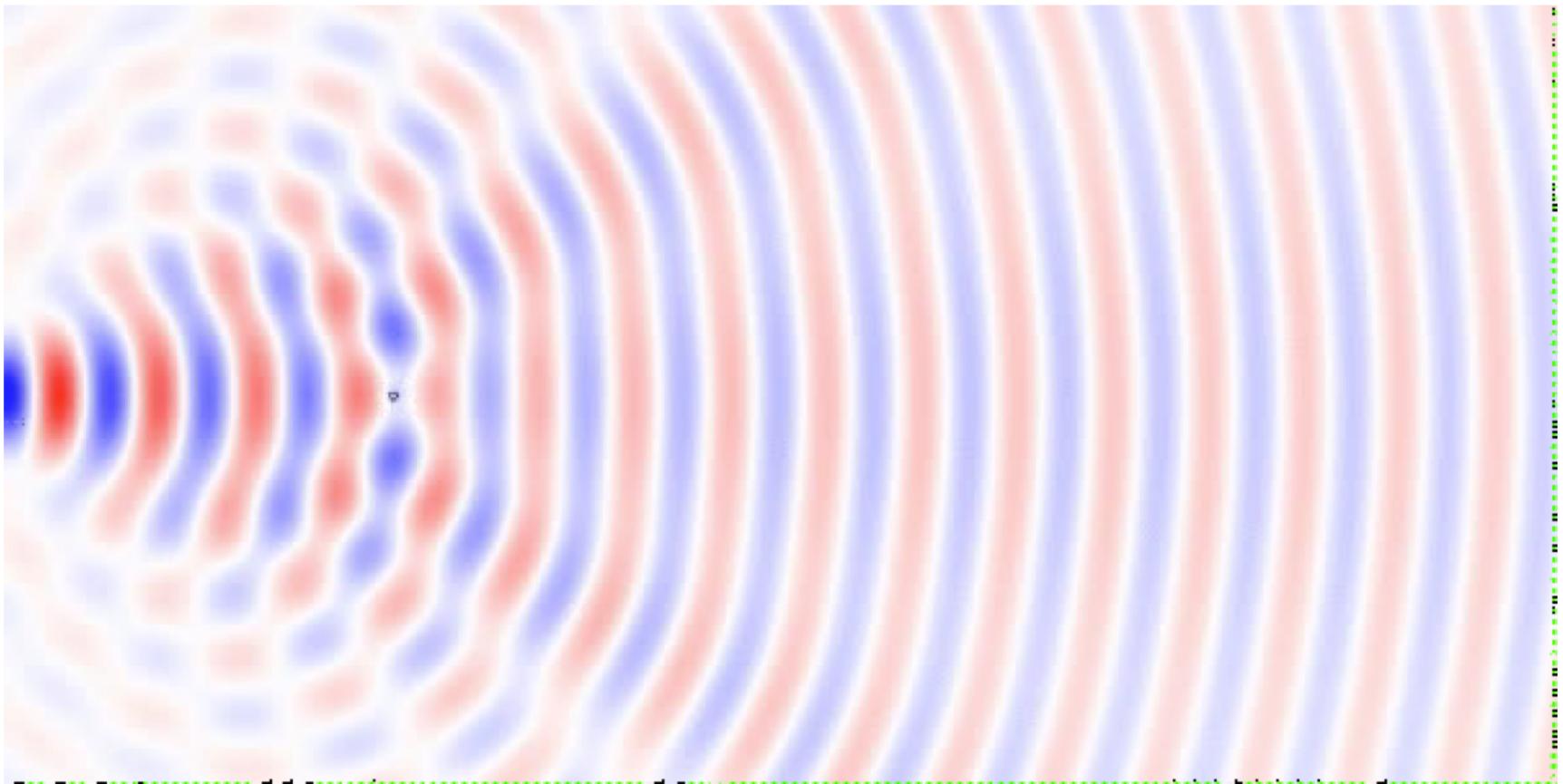
- Wavelengths comparable to geometry?
  - handful of cases can be ~solved analytically:  
planes, spheres, cylinders, empty space (8.07, 8.311)
  - everything else just a mess for computer...?



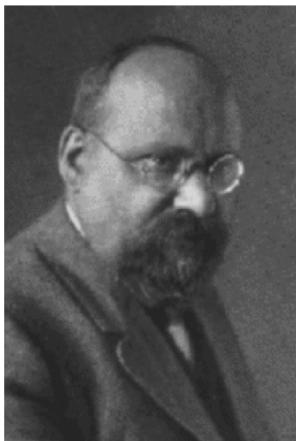
small particles:  
Lord Rayleigh (1871)  
why the sky is blue

# Waves Can Scatter

here: a little circular speck of silicon



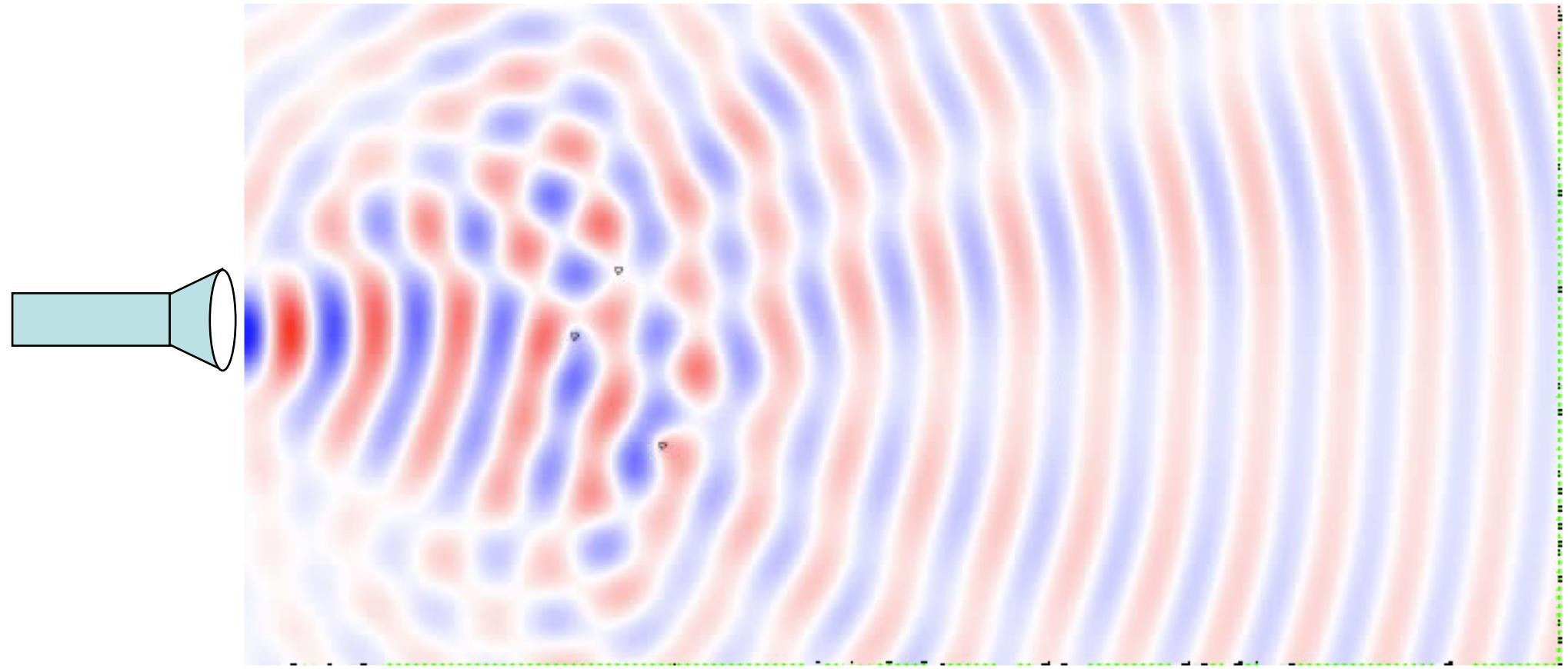
checkerboard pattern: **interference** of waves  
traveling in different directions



scattering by spheres:  
solved by Gustave Mie (1908)

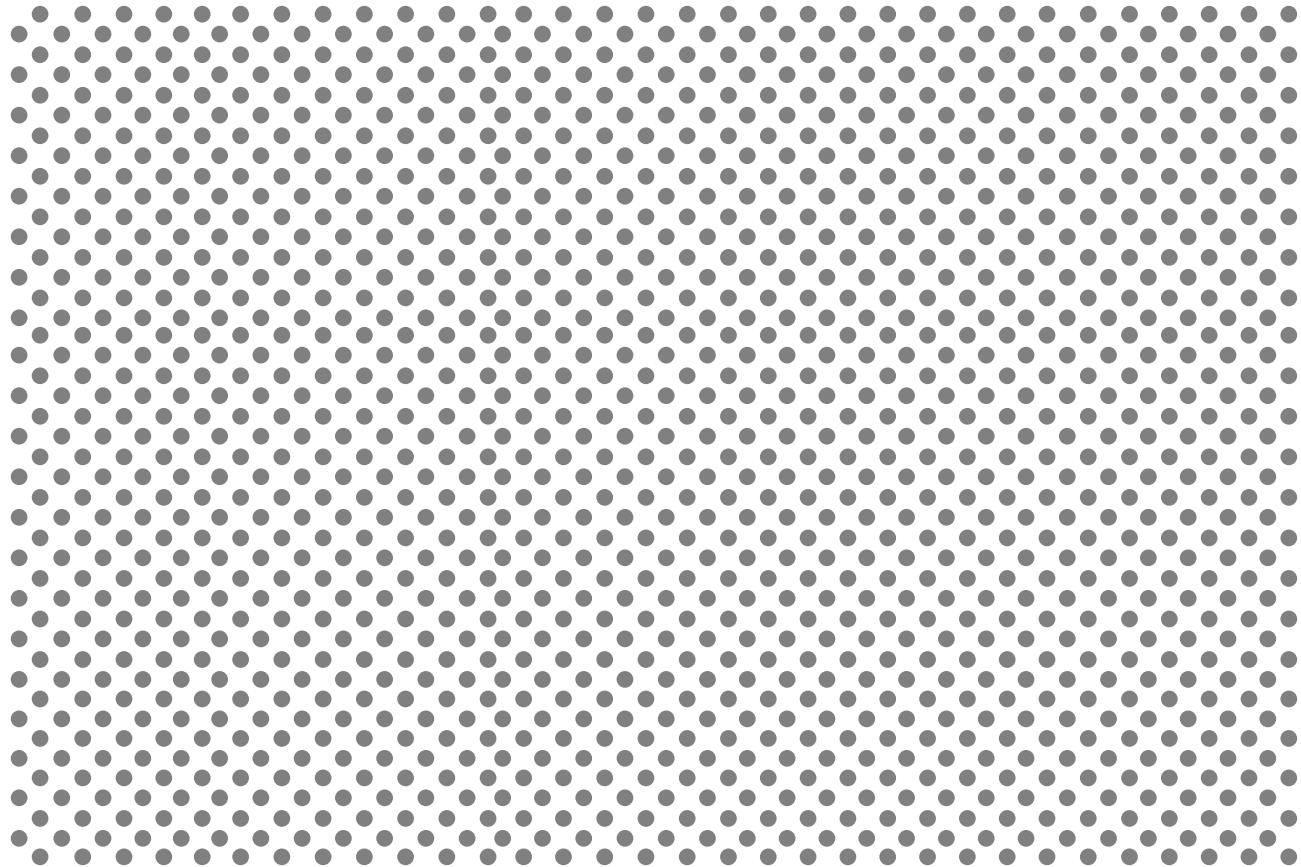
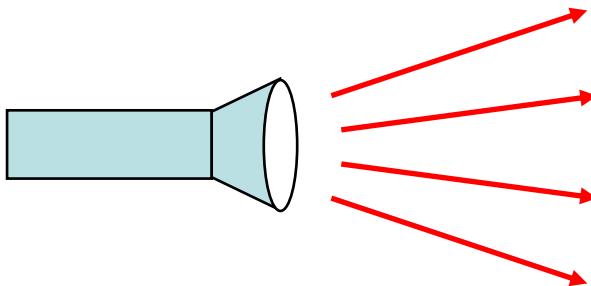
# Multiple Scattering is Just Messier?

here: scattering off **three** specks of silicon



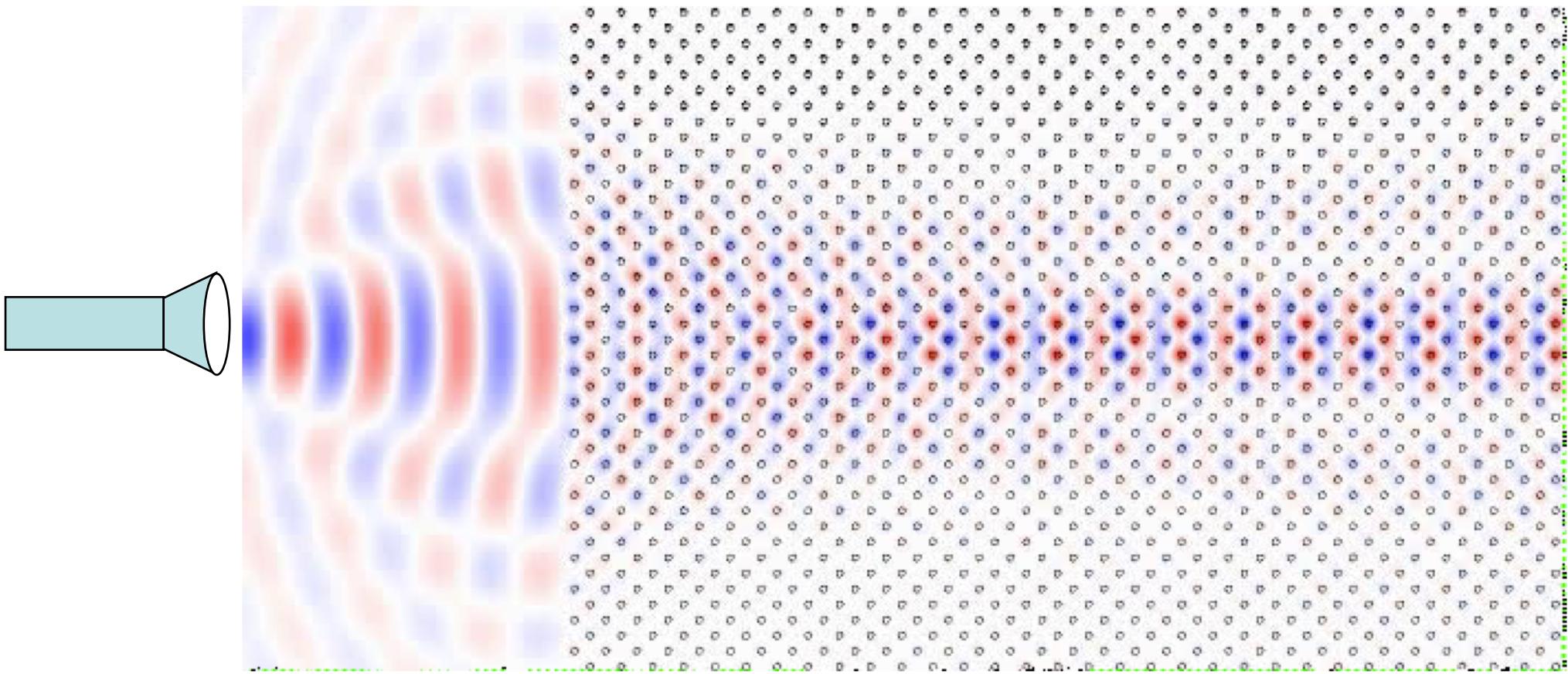
can be solved on a computer, but not terribly interesting...

# An even bigger mess? zillions of scatterers



Blech, light will just scatter like crazy  
and go all over the place ... how boring!

# Not so messy, not so boring...



the light seems to form several *coherent beams*  
that propagate *without scattering*  
... and almost *without diffraction* (*supercollimation*)

# ...the magic of symmetry...



[ Emmy Noether, 1915 ]

Noether's theorem:  
symmetry = conservation laws

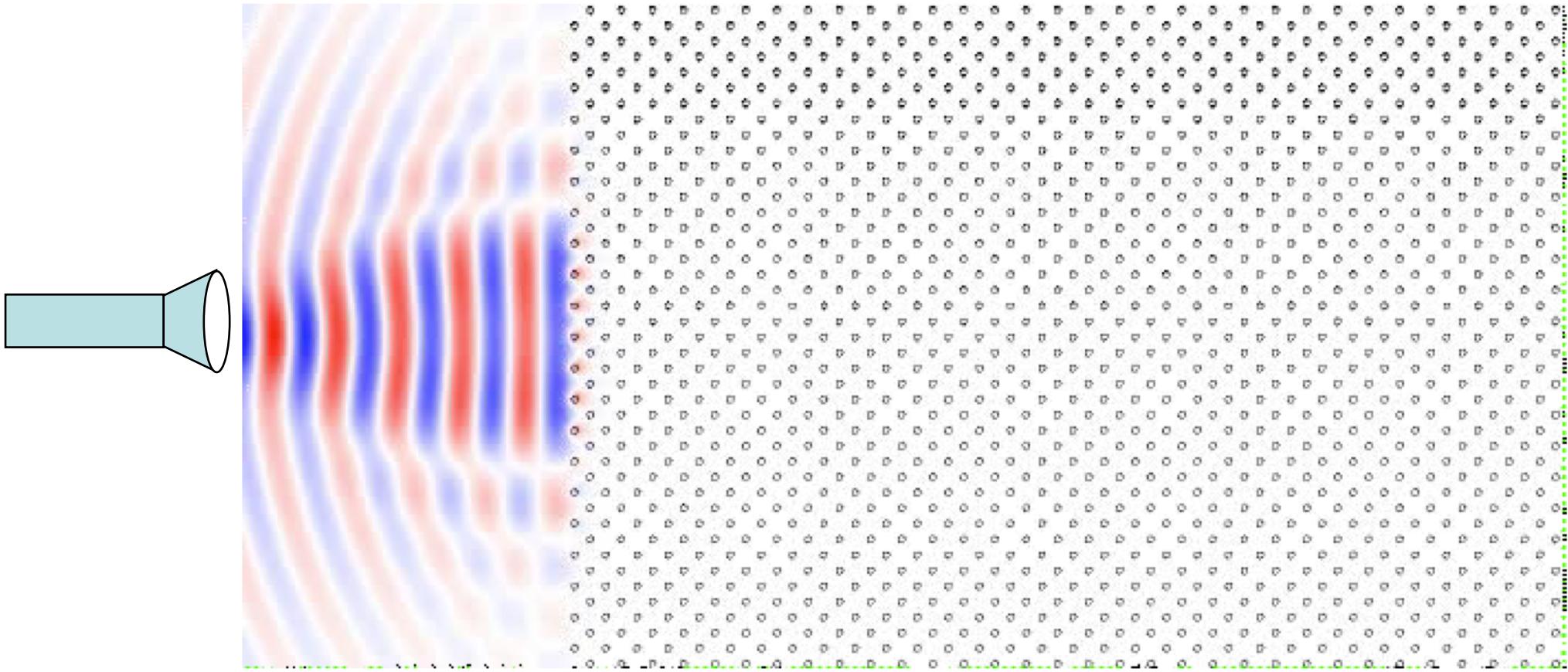
In this case, periodicity  
= conserved "momentum"  
= wave solutions without scattering  
[ Bloch waves ]



Felix Bloch  
(1928)

Mathematically, use *structure* of the equations, not explicit solution:  
linear algebra, group theory, functional analysis, ...

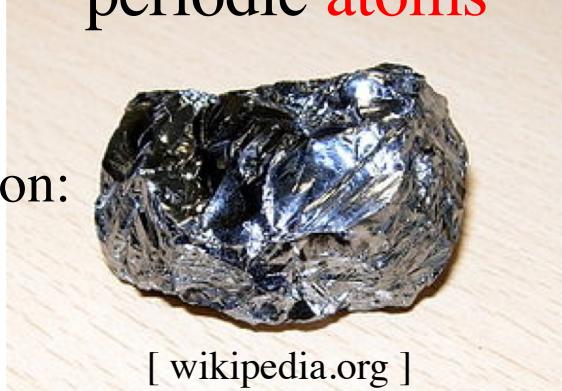
# A slight change? Shrink $\lambda$ by 20% *an “optical insulator” (*photonic bandgap*)*



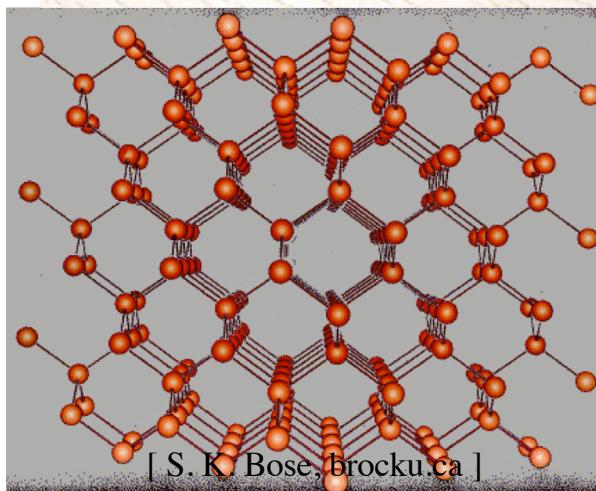
light **cannot penetrate the structure at this wavelength!**  
*all of the scattering destructively interferes*

# Photonic Crystals: periodic structures for light

crystalline minerals:  
periodic atoms

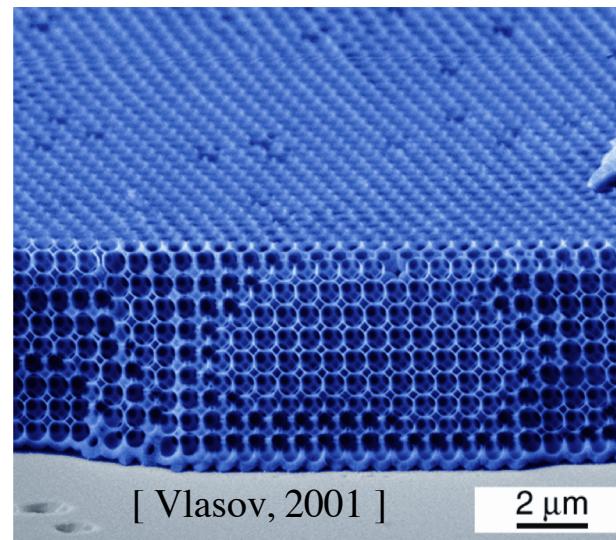
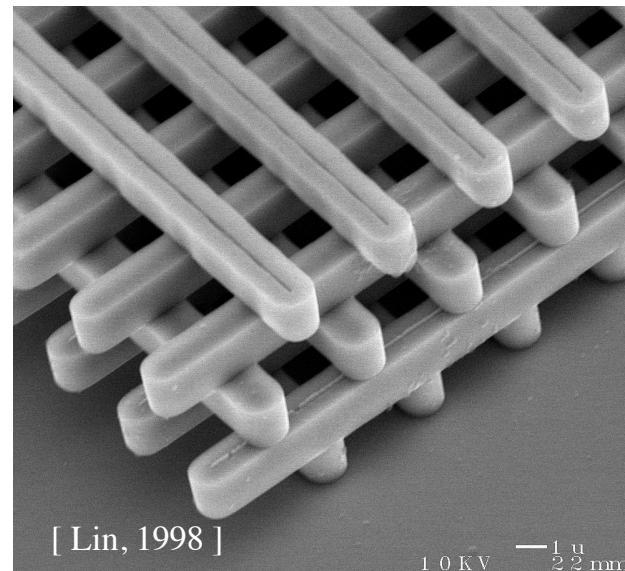


silicon:



bandgaps = insulators &  
semiconductors

synthetic microstructures



Some nice math to  
*understand* this...

...linear algebra:

$$\nabla \times \frac{1}{\epsilon} \nabla \times \vec{H} = \left( \frac{\omega}{c} \right)^2 \vec{H}$$

(eigenproblem)

...symmetry  $\Rightarrow$  group  
representation theory

...computational  
methods...

...many open  
questions...

# Structural Color in Nature

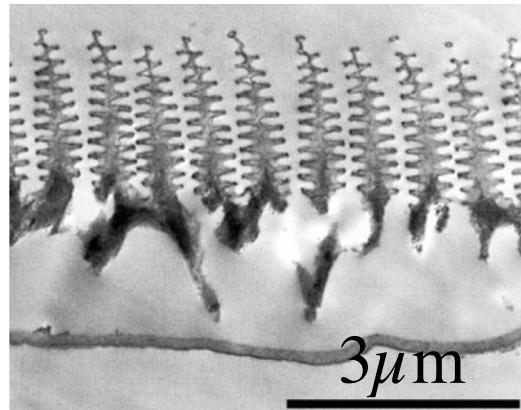
bandgap = wavelength-selective mirror = bright iridescent colors



wing scale:

[ P. Vukosic (1999) ]

also peacocks,  
beetles, ...



opals

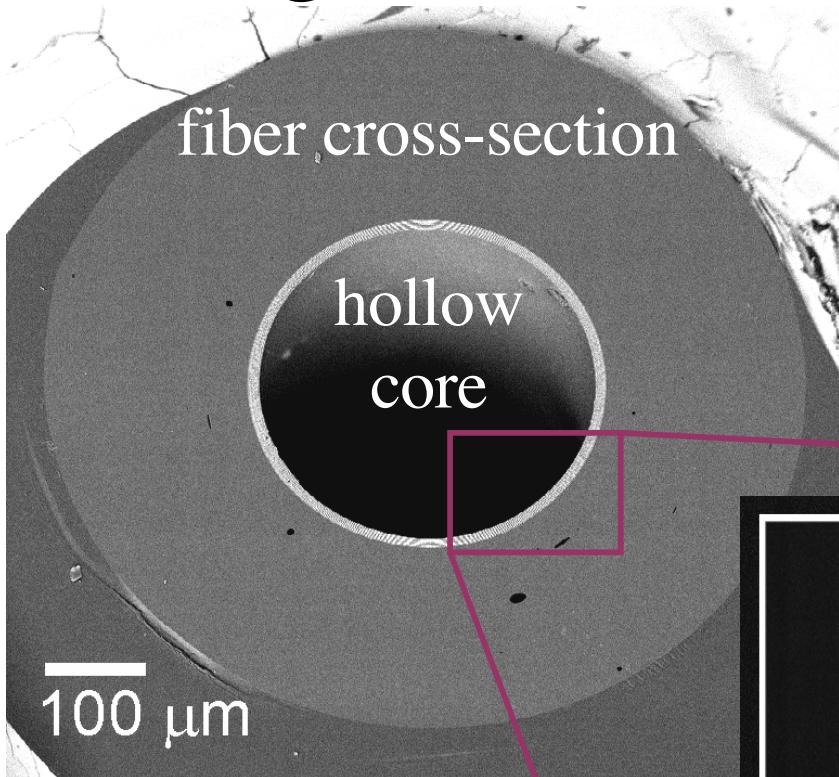


[ wikipedia ]



<http://www.icmm.csic.es/cefe/>

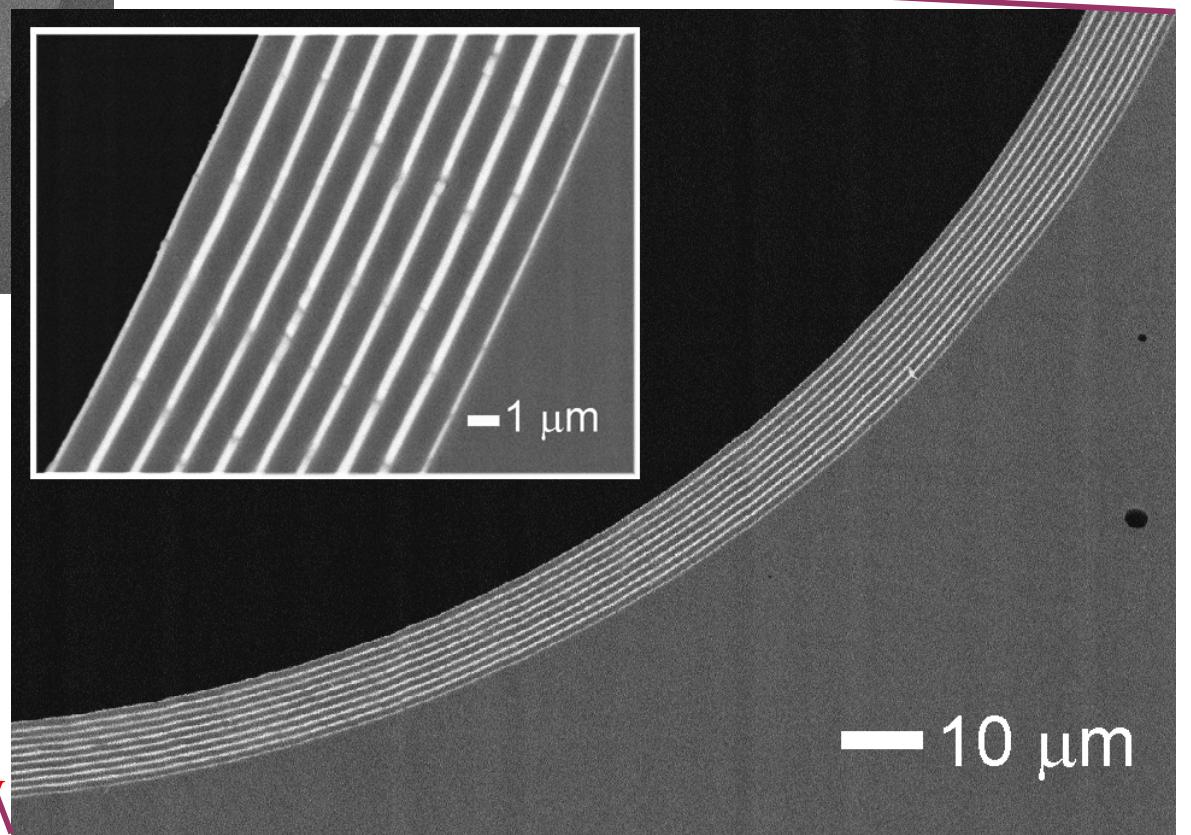
# Light Confinement for Surgery



MIT startup: [omni-guide.com](http://omni-guide.com)

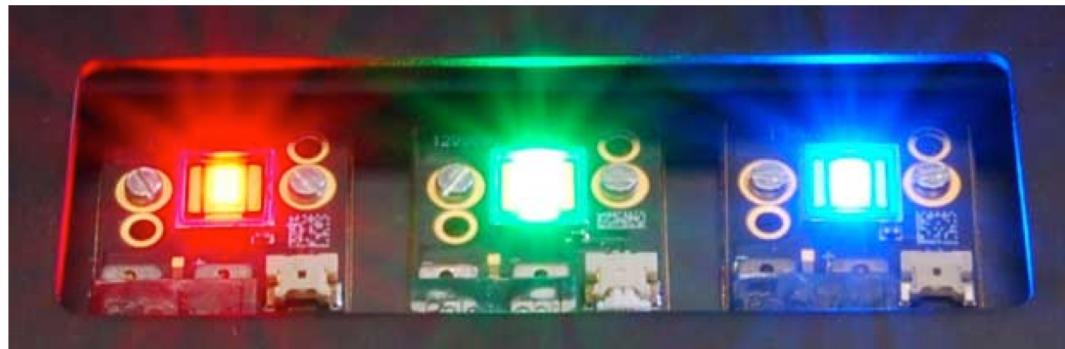
Periodic layering  
confines laser light  
that would vaporize glass  
within a **hollow core**

Used for **endoscopic surgery**



# Molding Diffraction for Lighting

[ another MIT startup (by a colleague): Luminus.com ]

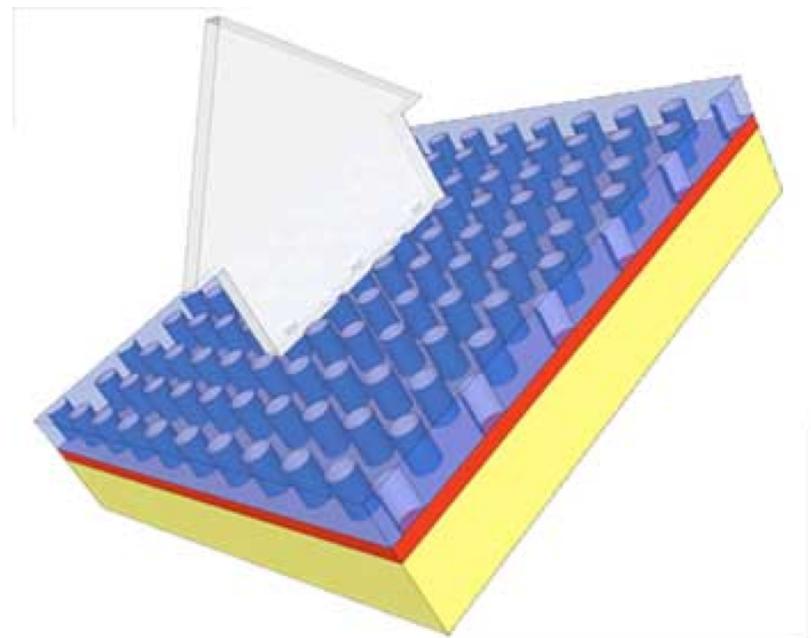


ultra-bright/efficient LEDs

periodic pattern gathers & redirects it in one direction

new projection TVs,  
pocket projectors,  
lighting applications,

...



# Back to Maxwell, with some simplifications

- *source-free* equations (propagation of light, not creation):  $\mathbf{J} = 0, \rho = 0$
- *Linear, dispersionless* (instantaneous response) materials:

$$\mathbf{P} = \epsilon_0 \chi_e \mathbf{E} \quad \Rightarrow$$

$$\mathbf{M} = \chi_m \mathbf{H}$$

(nonlinearities very weak  
in EM ... we'll treat later)  
(dispersion can be negligible  
in narrow enough bandwidth)

$$\mathbf{D} = \epsilon_0 (1+\chi_e) \mathbf{E} = \epsilon_0 \epsilon_r \mathbf{E}$$

$$\mathbf{B} = \mu_0 (1+\chi_m) \mathbf{H} = \mu_0 \mu_r \mathbf{H}$$

where  $\epsilon_r = 1+\chi_e$  = relative permittivity  
*(drop r subscript)* or dielectric constant  
 $\mu_r = 1+\chi_m$  = relative permeability

- *Isotropic* materials:  $\epsilon, \mu$  = **scalars** (not matrices)  $\epsilon\mu = (\text{refractive index})^2$
- *Non-magnetic* materials:  $\mu = 1$  (true at optical/infrared)
- *Lossless, transparent materials*:  $\epsilon$  **real,  $> 0$**  ( $< 0$  for metals...bad at infrared)

# Simplified Maxwell

$$\nabla \cdot \mathbf{H} = 0 \quad \nabla \cdot \epsilon \mathbf{E} = 0$$

$$\nabla \times \mathbf{H} = \epsilon_0 \epsilon(\mathbf{x}) \frac{\partial \mathbf{E}}{\partial t} \quad \nabla \times \mathbf{E} = -\mu_0 \mu \frac{\partial \mathbf{H}}{\partial t} = -\mu_0 \frac{\partial \mathbf{H}}{\partial t}$$

- Linear, time-invariant system:

⇒ look for sinusoidal solutions  $\mathbf{E}(\mathbf{x},t) = \mathbf{E}(\mathbf{x})e^{-i\omega t}$ ,  $\mathbf{H}(\mathbf{x},t) = \mathbf{H}(\mathbf{x})e^{-i\omega t}$   
(i.e. Fourier transform)

$$\nabla \times \mathbf{H} = -i\omega \epsilon_0 \epsilon(\mathbf{x}) \mathbf{E}$$

$$\nabla \times \mathbf{E} = i\omega \mu_0 \mathbf{H}$$

[ note: real materials have

dispersion:  $\epsilon$  depends on  $\omega$   
= non-instantaneous response ]

... these, we can work with

# Dimensionless Maxwell

$$\nabla \times \mathbf{H} = -i\omega \cancel{\varepsilon_0} \boldsymbol{\varepsilon}(\mathbf{x}) \mathbf{E}$$

$$\nabla \times \mathbf{E} = i\omega \cancel{\mu_0} \mathbf{H}$$

rescale the units so that  $\varepsilon_0$  and  $\mu_0$  both = 1 ( $c = 1$ )

## Scale-invariance:

if we rescale space by  $x \rightarrow sx$  and  $\omega \rightarrow \omega/s$   
(equivalently, wavelength  $\lambda = 2\pi c/\omega \rightarrow s\lambda$ ),

the Maxwell equations don't change  
(assuming same  $\varepsilon$  and  $\mu$ !)

This means we can set any convenient distance = 1.

Equivalently, pick a typical lengthscale  $a$  and write all distances  
(and  $\lambda$ ) in units of  $a$ , and all frequencies  $\omega$  in units of  $2\pi c/a$ .