

Image source: Epic Games

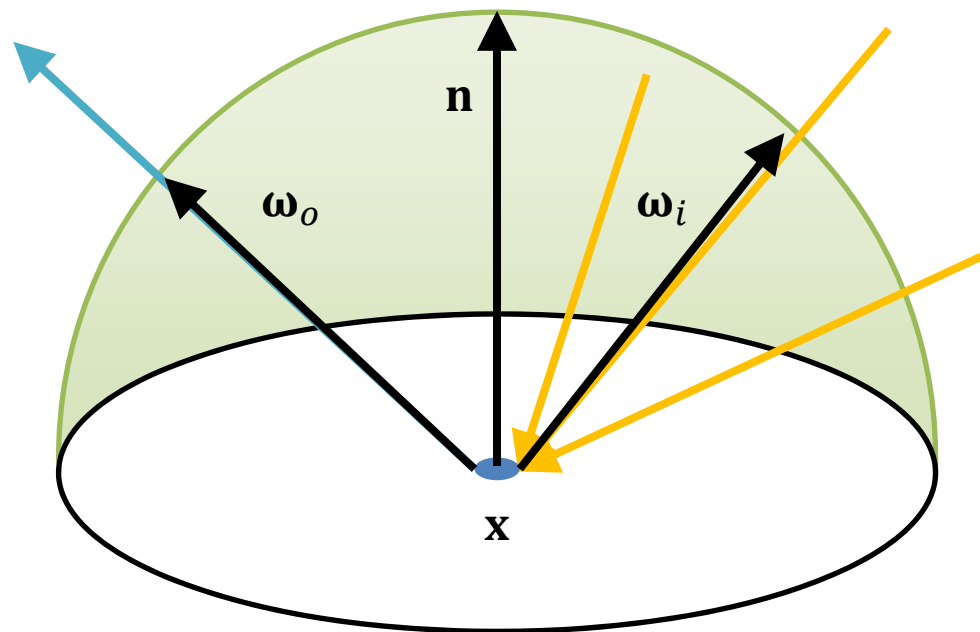


# Shading Models

# Shading

- We know which pixels are occupied by which object
  - E. g., from rasterization
- What color should the pixels have?
  - How is light reflected by an object?

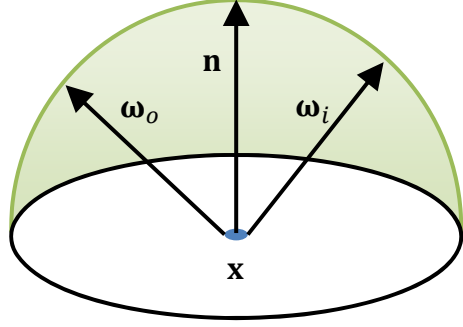
# What Happens at a Surface?



# Rendering Equation

indirect illumination

BRDF



$$L(\mathbf{x}, \omega_o) = \underbrace{L_e(\mathbf{x}, \omega_o)}_{\text{emitted light}} + \underbrace{\int_{\Omega} L(\mathbf{x}, \omega_i) f_r(\mathbf{x}, \omega_i, \omega_o) d\omega_i}_{\text{reflected light}}$$

The diagram illustrates the rendering equation. A large blue arrow labeled "indirect illumination" points from the integral term back to the left side of the equation, indicating a recursive process. A blue arrow labeled "BRDF" points to the  $f_r$  term in the integral. A diagram of a hemisphere shows the normal vector  $\mathbf{n}$ , the outgoing direction  $\omega_o$ , and the incoming direction  $\omega_i$  at point  $\mathbf{x}$ .

# BRDF

- **B**idirectional **R**eflectance **D**istribution **F**unction
- Describes how a surface reflects light
  - At location  $\mathbf{x}$
  - From incoming direction  $\omega_i$
  - Into outgoing direction  $\omega_o$

$$f_r(\mathbf{x}, \omega_i, \omega_o)$$

# BRDF Properties

- Reciprocity

$$f_r(\mathbf{x}, \omega_1, \omega_2) = f_r(\mathbf{x}, \omega_2, \omega_1) \quad \forall \omega_1, \omega_2$$

- Energy conservation

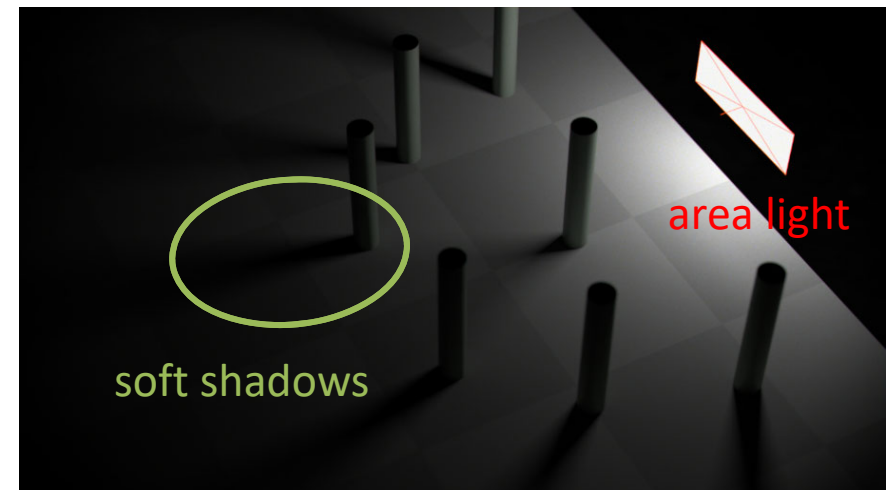
$$\int_{\Omega} f_r(\mathbf{x}, \omega_i, \omega_o) d\omega_i \leq 1 \quad \forall \omega_o$$

- Positivity

$$f_r(\mathbf{x}, \omega_1, \omega_2) \geq 0$$

# Light Sources

- Most general light source: area light
- Problem: at every location, light is coming from a range of directions
  - Integration needed
  - Analytic solution only for extremely trivial cases
  - Simplification needed



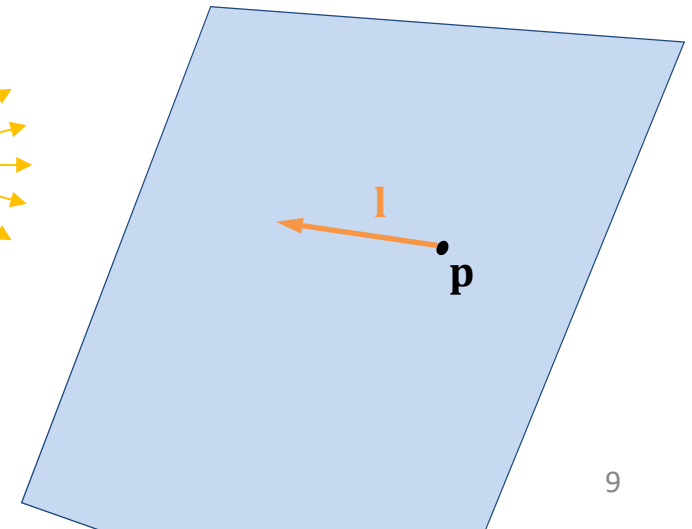
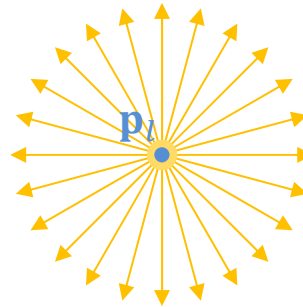
# Light Sources in Real-Time

- Local shading
  - Direct illumination only
  - $O(n^\infty)$  reduces to  $O(n)$
- Consider analytical light sources only
  - Point light (infinitely small)
  - Directional light (infinitely far away)
  - Light from a single direction  $\mathbf{l} \rightarrow$  integral vanishes



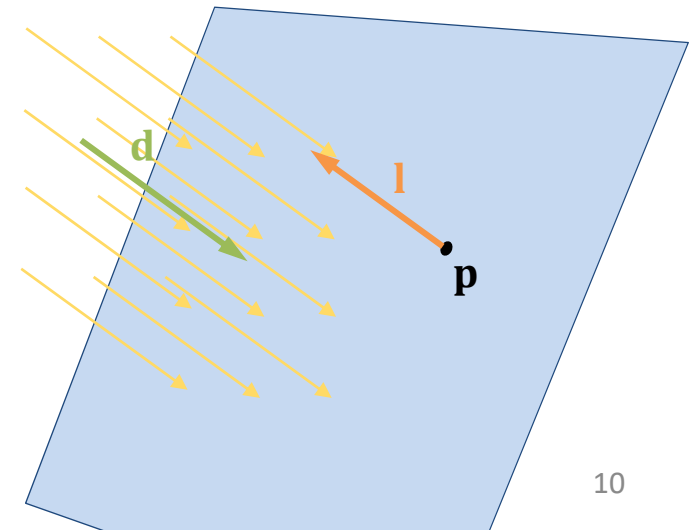
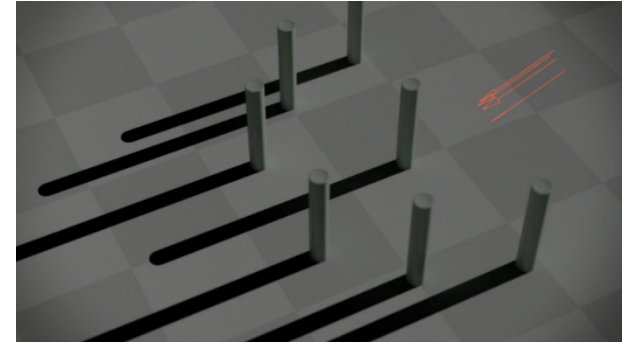
# Point Light

- Simplification
  - Light source infinitesimally small
  - At every location  $\mathbf{p}$ , light incoming from a single direction only
- Parameters:
  - $\mathbf{p}_l$  light position



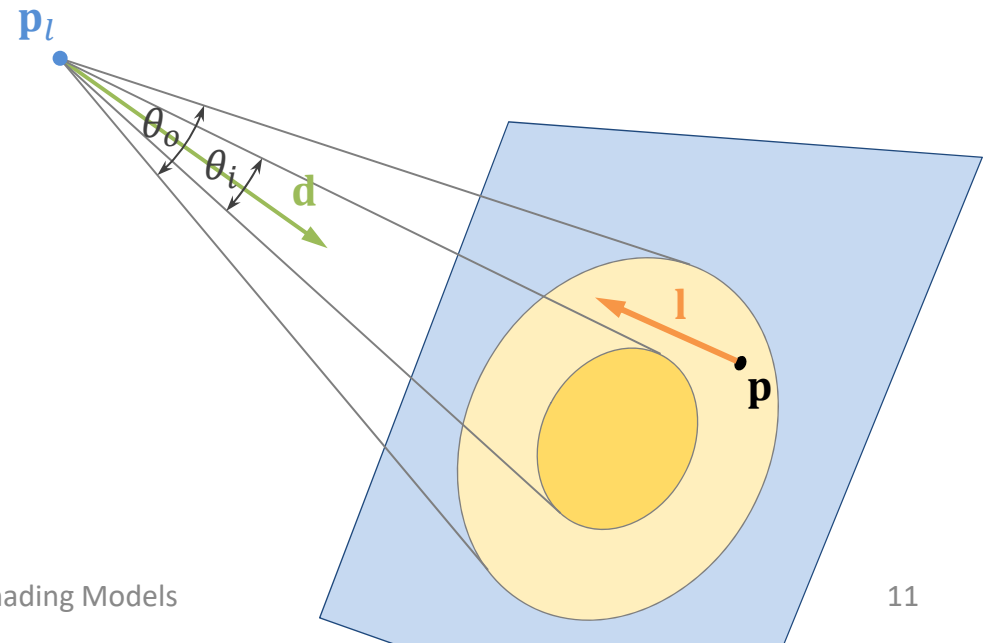
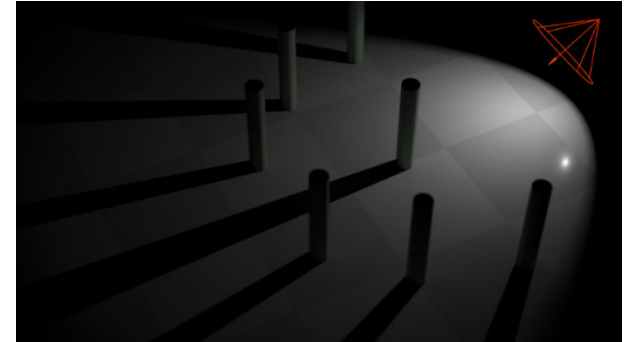
# Directional Light

- Simplification
  - Light source infinitely far away
  - At every location  $\mathbf{p}$ , light from the same direction only
- Parameters:
  - $\mathbf{d}$  light direction



# Spot Light

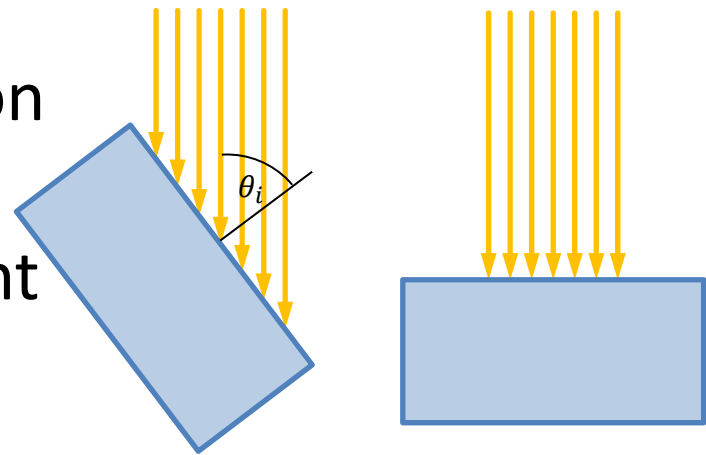
- Like point light
- Volume constrained to a cone
- Parameters:
  - $\mathbf{p}_l$  light position
  - $\mathbf{d}$  cone direction
  - $\theta_i$  inner cone angle
  - $\theta_o$  outer cone angle



# Lambert Model

- Assumption of a *perfectly diffuse* reflector
- Scatters light evenly in all directions
  - View independent
  - Depends only on orientation of surface towards light
  - Surface irradiance from light

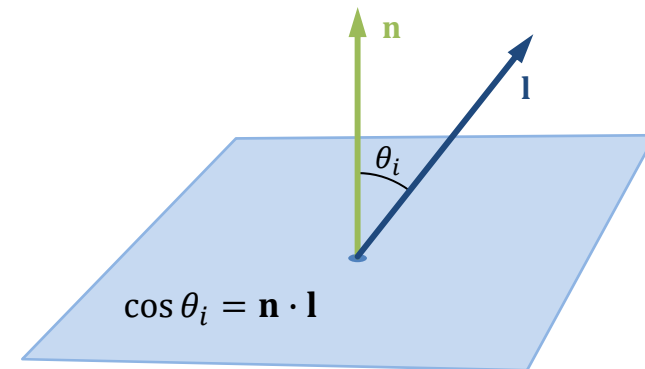
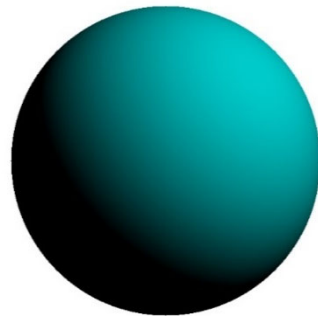
$$E_L \propto \cos \theta_i$$



# Lambert Shading Computation

$$L_o = c_d \circ \max(\mathbf{n} \cdot \mathbf{l}, 0) \circ I_L$$

- $c_d$  Diffuse reflectance („albedo“)
- $I_L$  Irradiance from light



# Diffuse vs Specular

Diffuse + specular

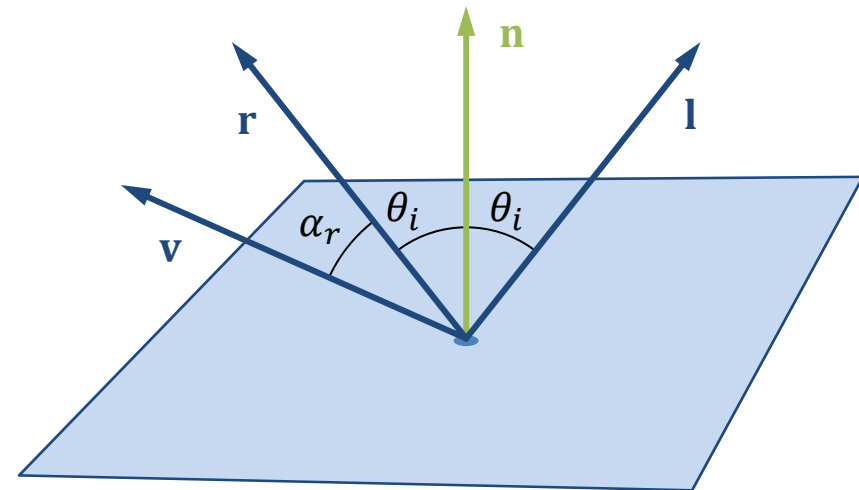
Diffuse



# Phong Model

- $\mathbf{L}_o = \mathbf{c}_e + (\mathbf{c}_d \circ \cos \theta_i + \mathbf{c}_s \circ (\cos \alpha_r)^m) \circ \mathbf{B}_L$

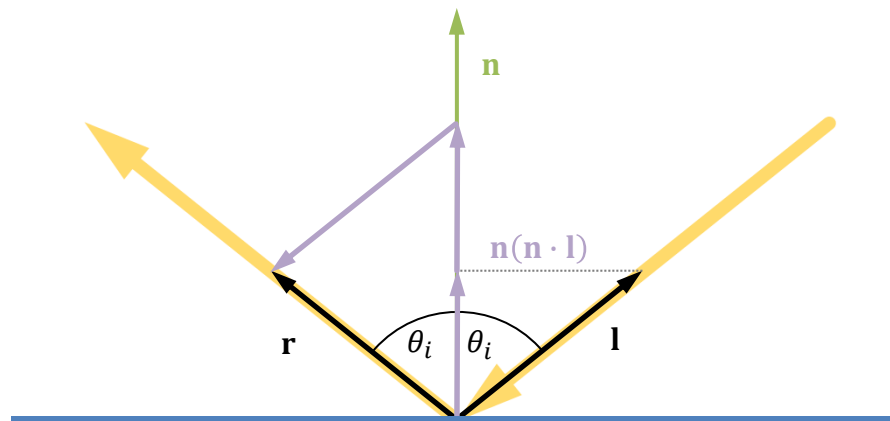
- $\mathbf{c}_e$  Emissive color
- $\mathbf{c}_d$  Diffuse color
- $\mathbf{c}_s$  Specular color
- $m$  Specular power
- $\mathbf{B}_L$  Light color



$$\mathbf{r} = 2\mathbf{n}(\mathbf{n} \cdot \mathbf{l}) - \mathbf{l}$$

All vectors assumed to be normalized!

# Reflection



$$\mathbf{r} = 2\mathbf{n}(\mathbf{n} \cdot \mathbf{l}) - \mathbf{l}$$

All vectors assumed to be normalized!

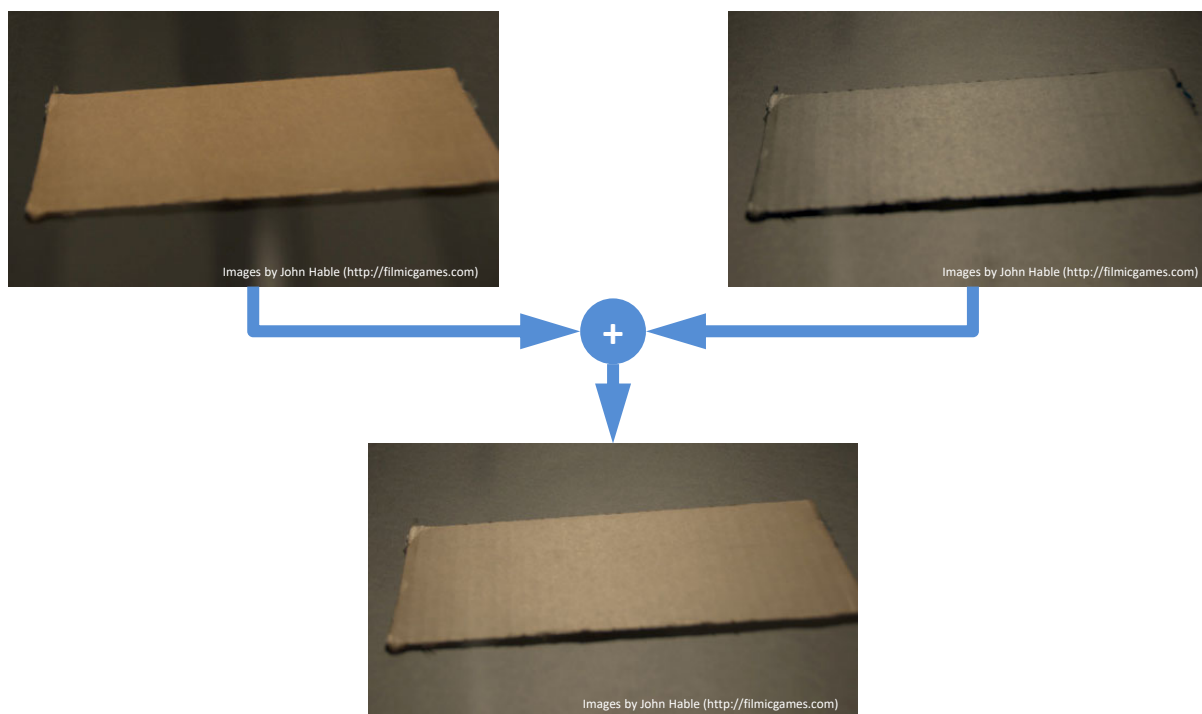




# Diffuse and Specular Combined 1

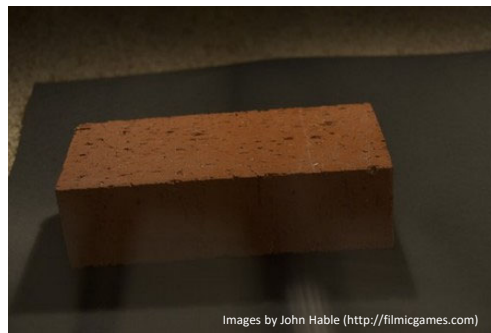
Diffuse

Specular



# Diffuse and Specular Combined 2

Diffuse



Specular



+



Specular reflection  
on brick is rather white  
(from light), not red

# Example Images

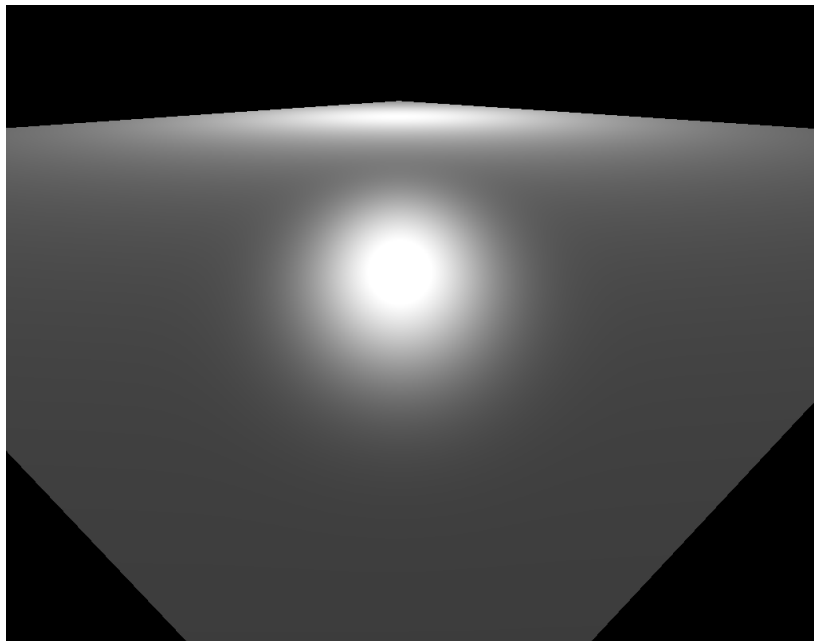


# Phong Shading Properties

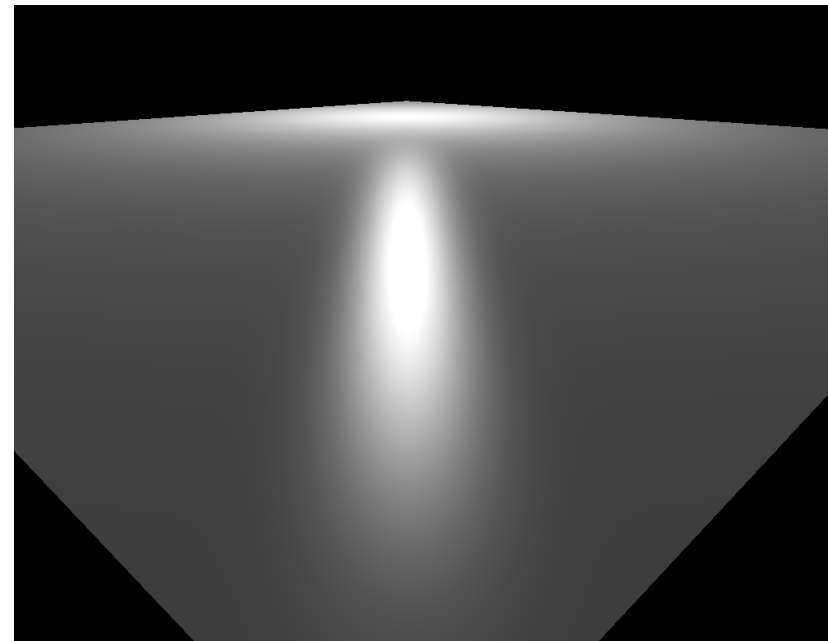
- Phenomenological model
  - Not a physically meaningful BRDF
- Highlights always circular
- Energy conservation ignored
  - Large  $m$  should lead to smaller, but stronger highlight
  - Normalization would be needed

# Highlight Shape

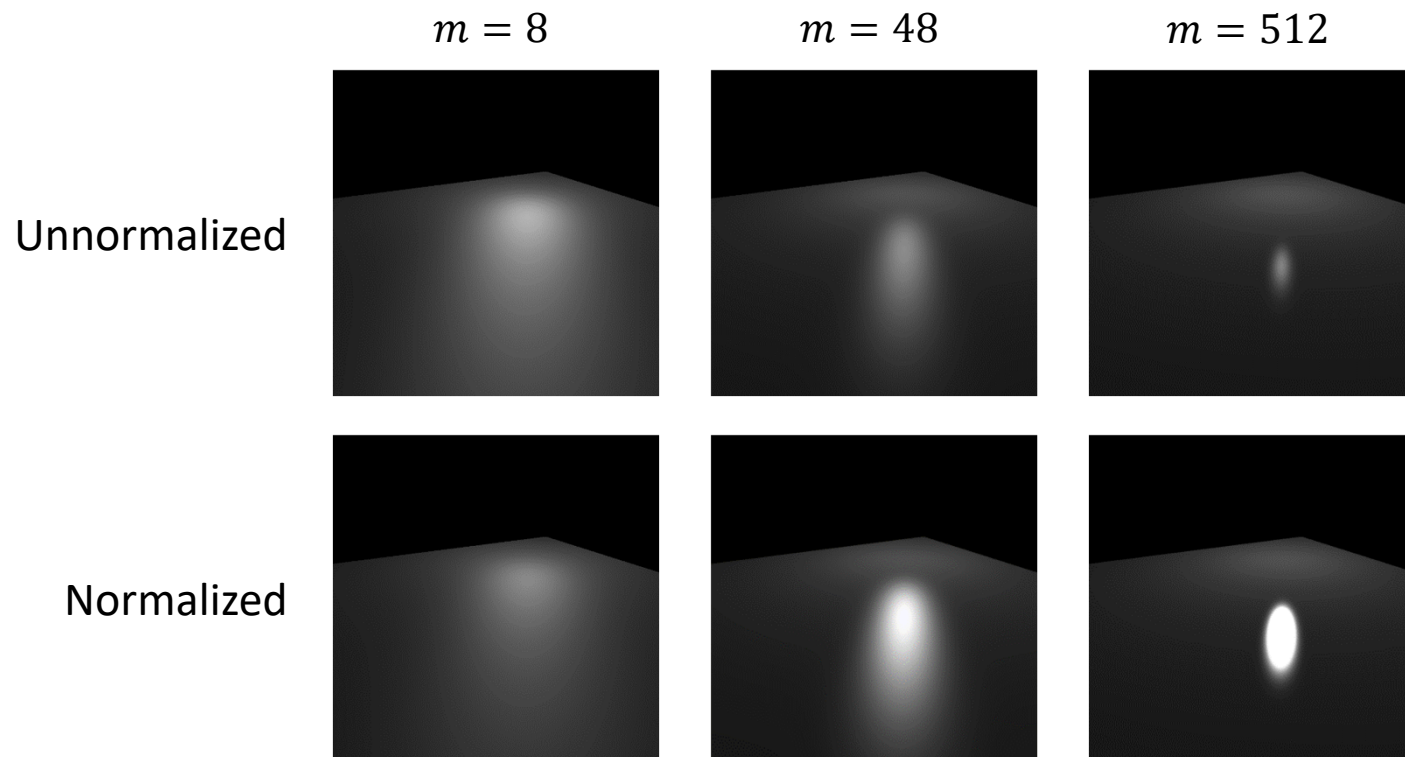
Phong



Blinn-Phong



# Normalization



# Energy Conservation

Specular tweaked for glossy



Specular tweaked for dull

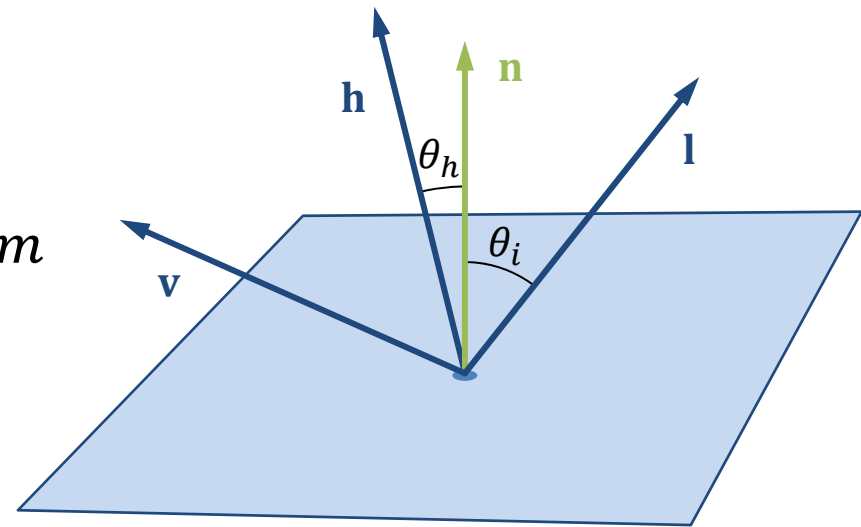


Energy conserving



# Blinn-Phong Model

- Approximates energy conservation
- $$f_r = \frac{c_d}{\pi} + \frac{m+8}{8\pi} c_s (\cos \theta_h)^m$$
  - $c_d$  Diffuse color
  - $c_s$  Specular color
  - $m$  Specular power



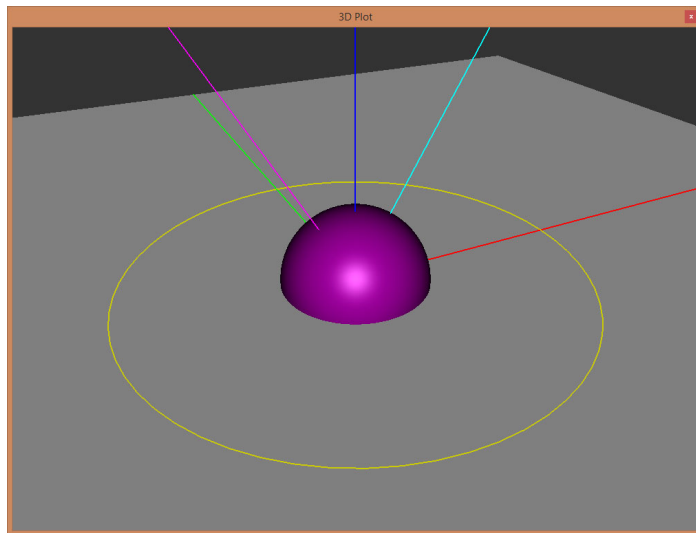
$$\mathbf{h} = \frac{\mathbf{v} + \mathbf{l}}{\|\mathbf{v} + \mathbf{l}\|}$$

All vectors assumed to be normalized!

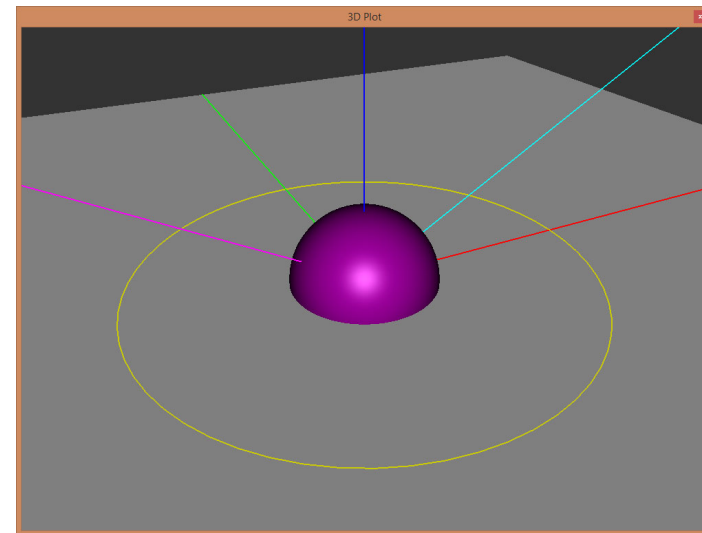


# Lambert BRDF

$$\theta_i = 30^\circ$$

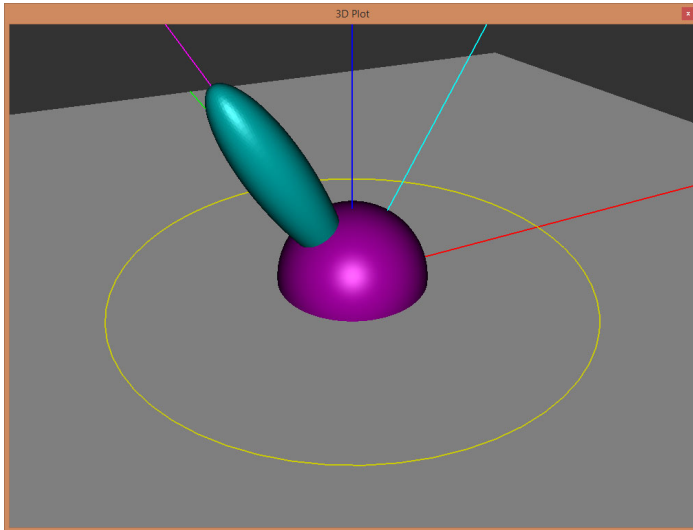


$$\theta_i = 60^\circ$$

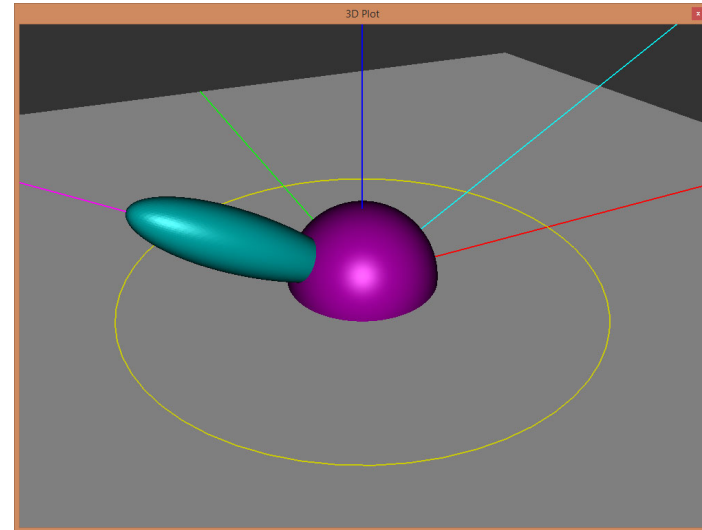


# Phong BRDF 1

$$\theta_i = 30^\circ$$

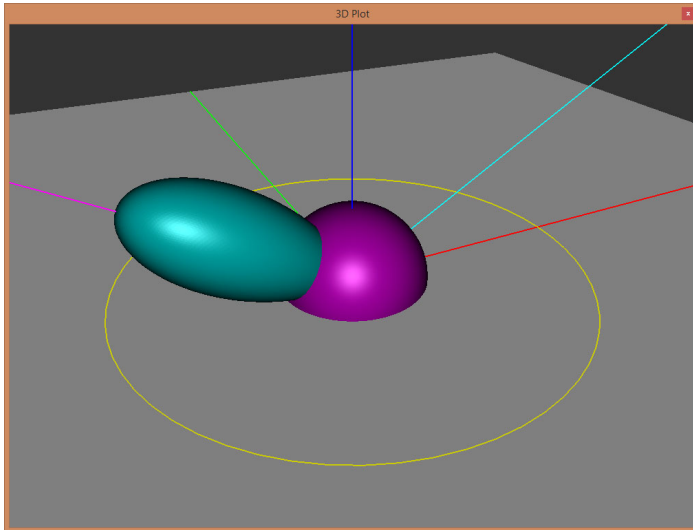


$$\theta_i = 60^\circ$$

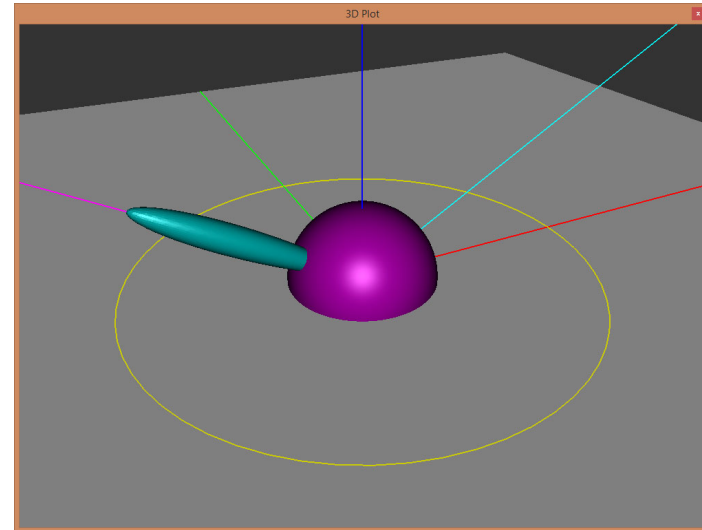


# Phong BRDF 2

$m = 8$

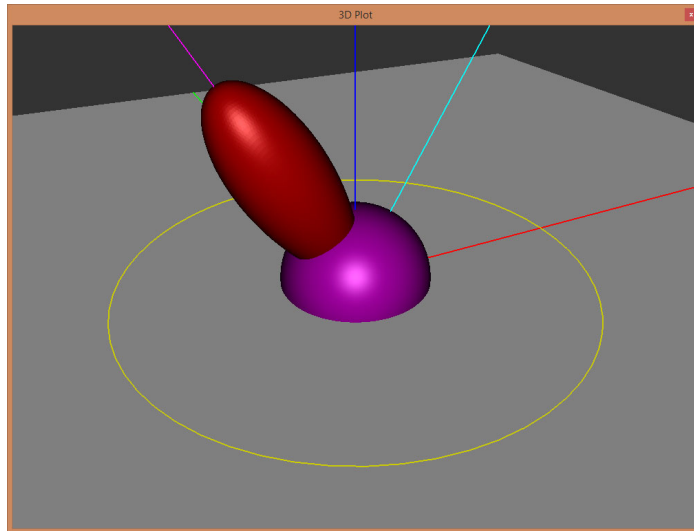


$m = 100$

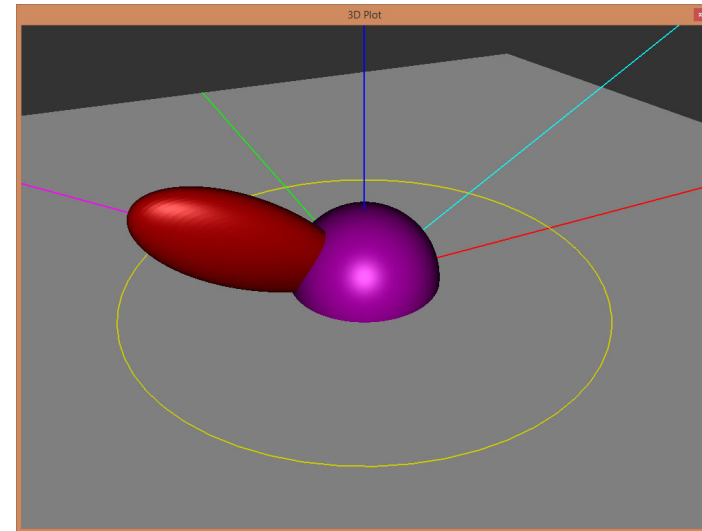


# Blinn-Phong BRDF 1

$$\theta_i = 30^\circ$$

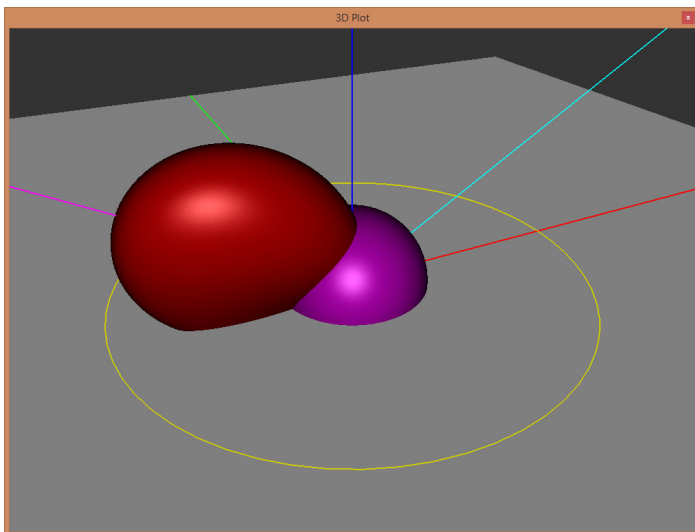


$$\theta_i = 60^\circ$$

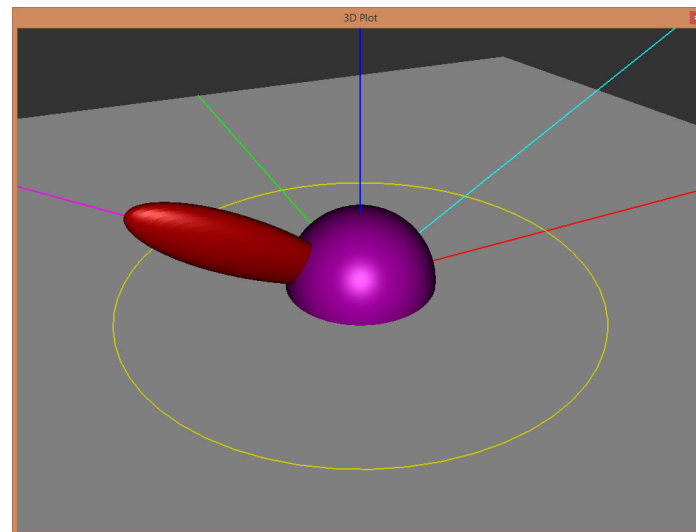


# Blinn-Phong BRDF 2

$m = 8$



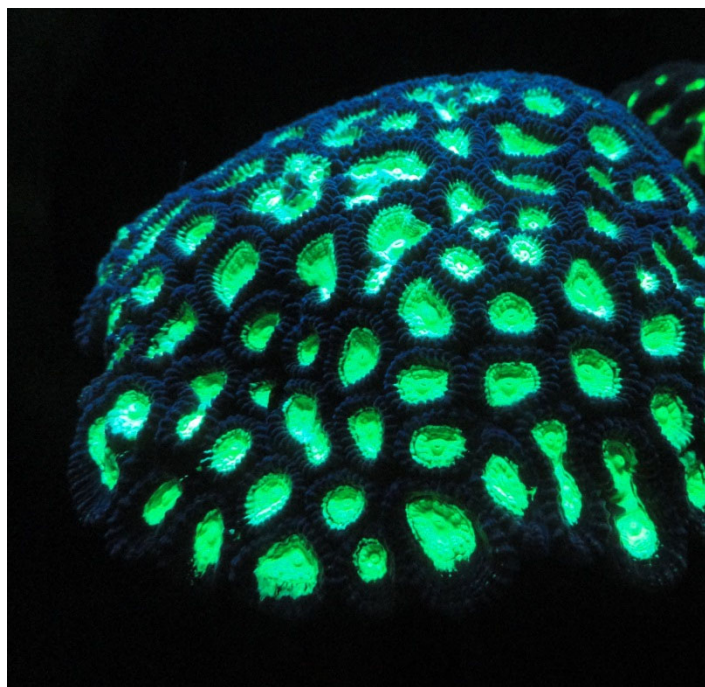
$m = 100$



# Physically-Based Rendering

- Derive shading from (simplified) physics instead of just re-creating the phenomena
- All light-matter interaction boils down to
  - Emission
  - Scattering
  - Absorption

# Emission

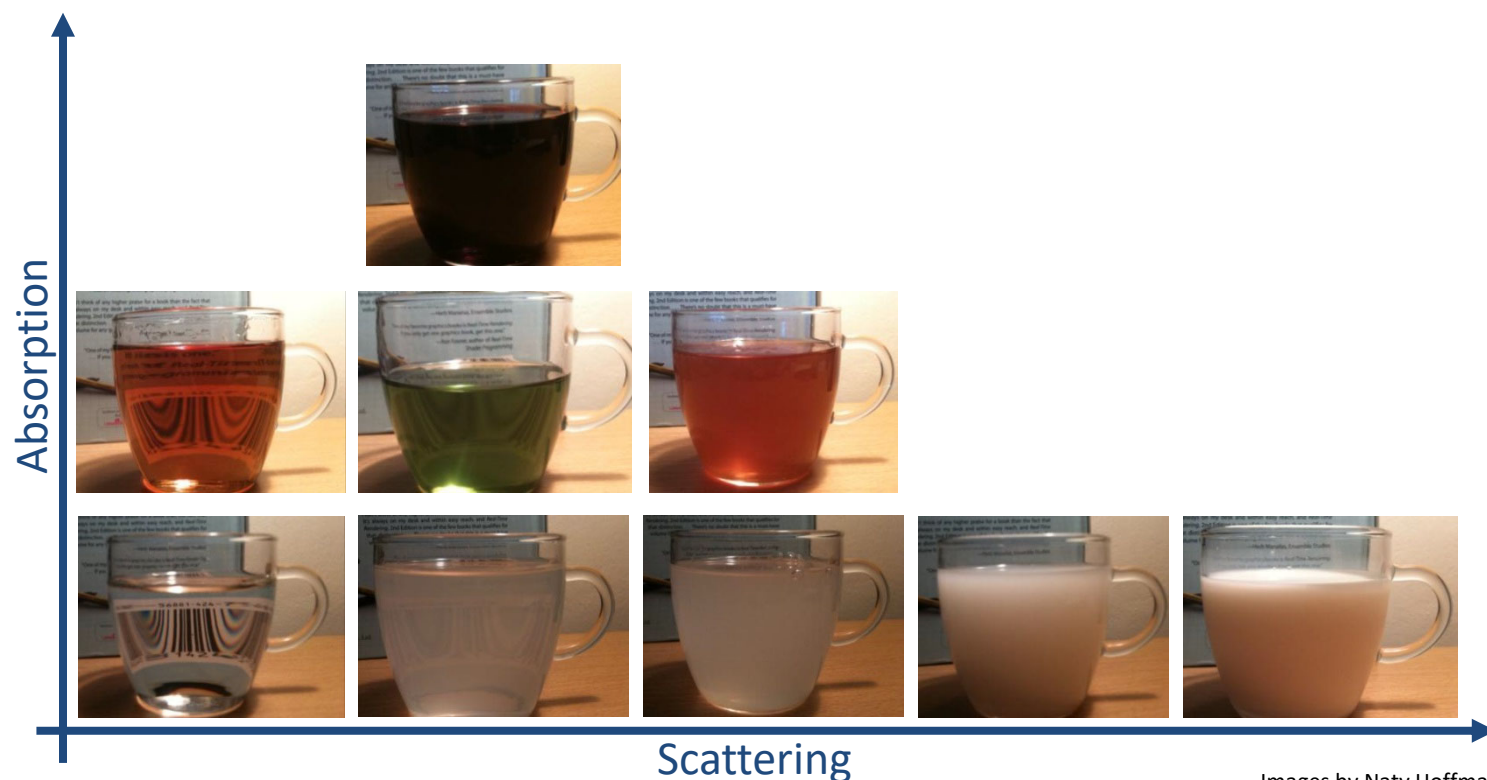


Dieter Schmalstieg



Shading Models

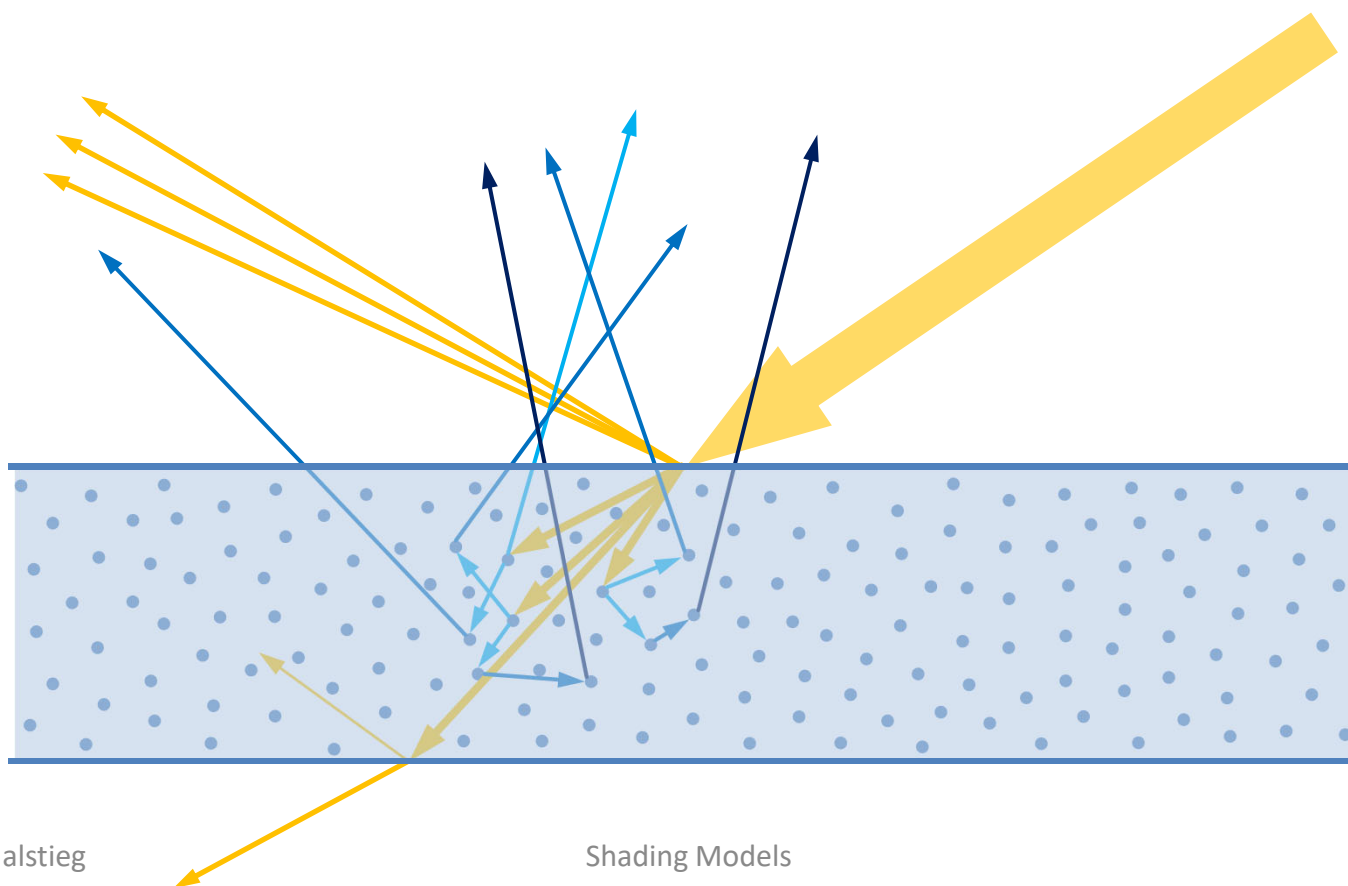
# Absorption vs. Scattering



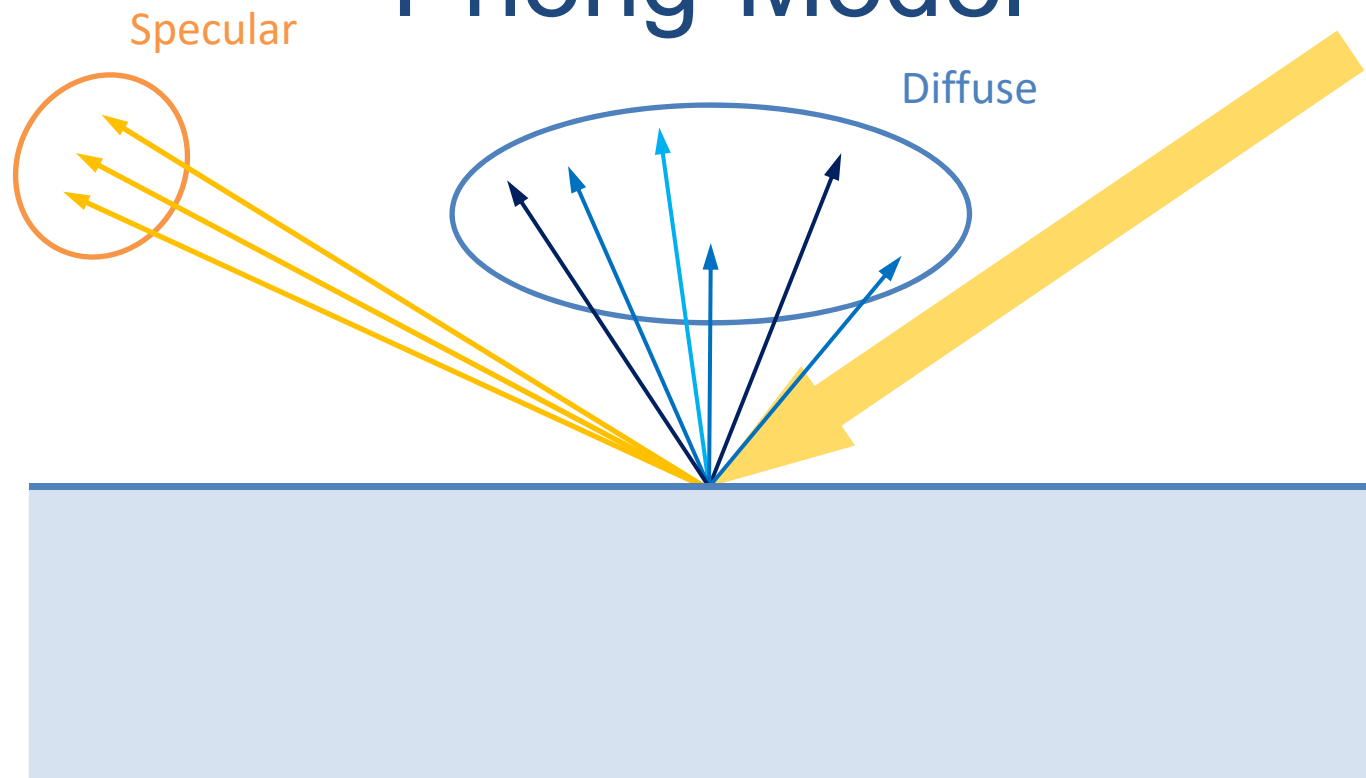
Images by Naty Hoffman



# Nature



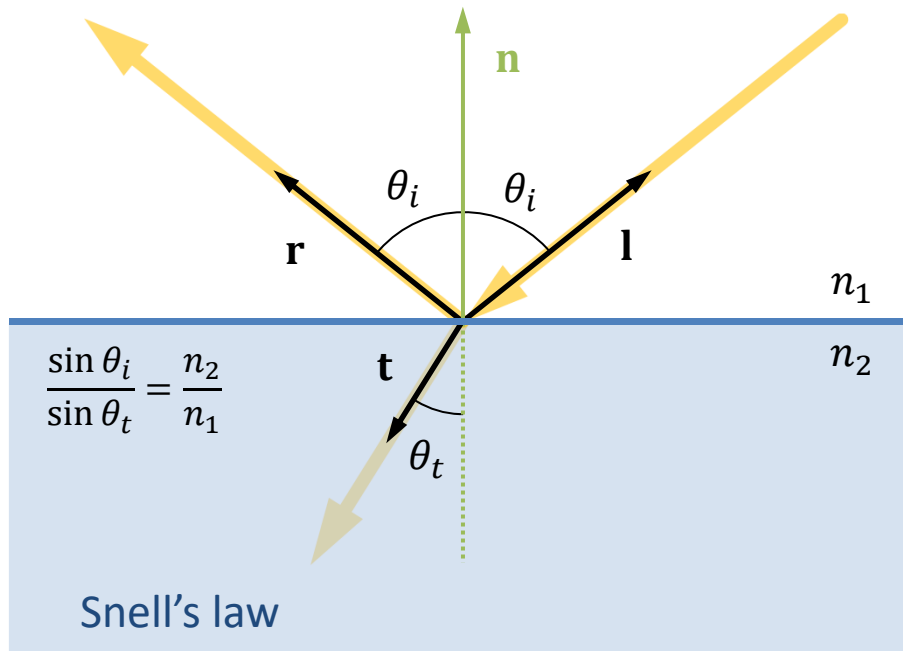
# Phong-Model



# Fresnel Laws

- What happens at boundary between two media
  - Phase speed of light different in each medium
- Part of the light wave is directly *specularly* reflected
- Part of the light wave is transmitted
  - We assume the material is not transparent
  - The transmitted part becomes the *diffuse* reflection
- What is the exact ratio?
  - *Augustin-Jean Fresnel knows.*

# Fresnel Equations



$$R_s = \left| \frac{n_1 \cos \theta_i - n_2 \sqrt{1 - \left(\frac{n_1}{n_2} \sin \theta_i\right)^2}}{n_1 \cos \theta_i + n_2 \sqrt{1 - \left(\frac{n_1}{n_2} \sin \theta_i\right)^2}} \right|^2$$

$$R_p = \left| \frac{n_1 \sqrt{1 - \left(\frac{n_1}{n_2} \sin \theta_i\right)^2} - n_2 \cos \theta_i}{n_1 \sqrt{1 - \left(\frac{n_1}{n_2} \sin \theta_i\right)^2} + n_2 \cos \theta_i} \right|^2$$

$R, T$  depend only on  $n_1, n_2$ !

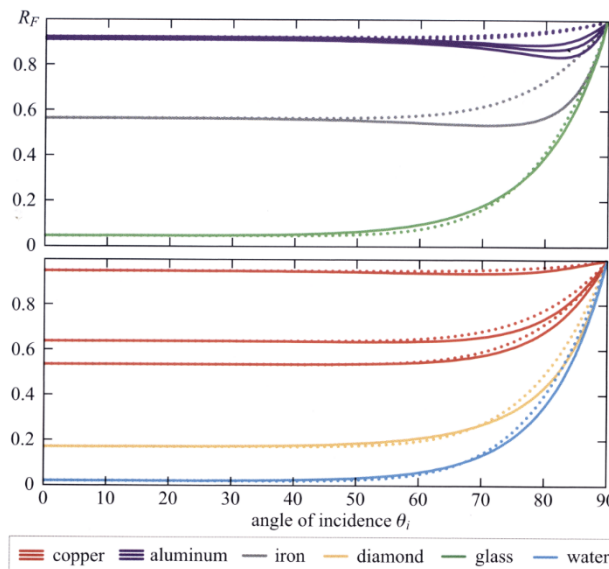
Specular  $R = \frac{R_s + R_p}{2}$

$T = 1 - R$  Diffuse

# Schlick's Approximation of Reflection

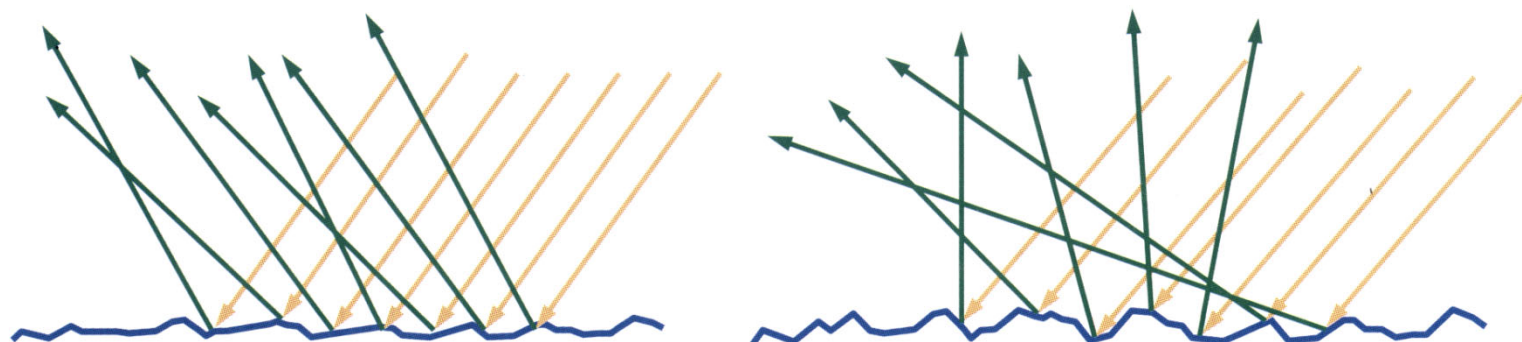
Easier (faster) to compute than original Fresnel equations

$$R = R_0 + (1 - R_0)(1 - \cos \theta_i)^5$$



[Schlick 1994]

# Surface Roughness



Metallic surfaces: diffuse model not really working

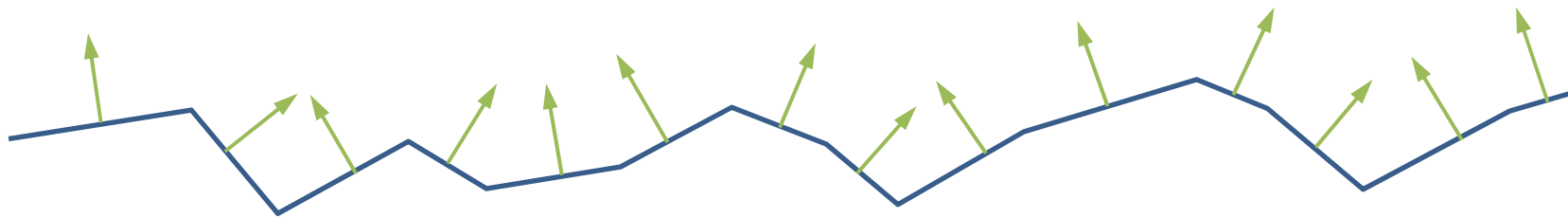
Model surface roughness instead



Images from [Akenine-Möller et al. 2008]

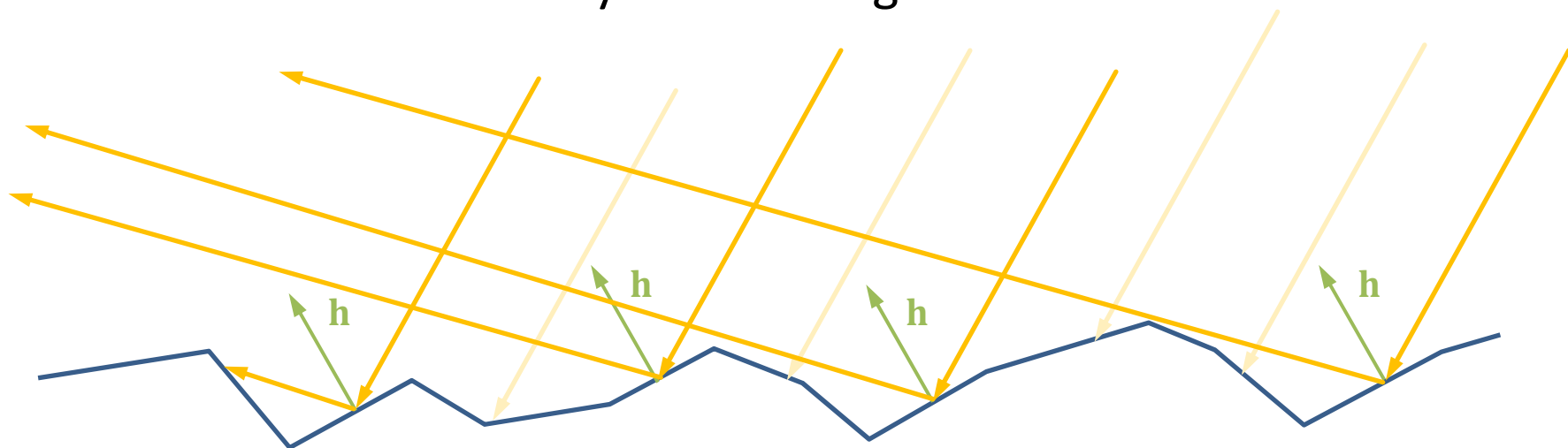
# Microfacets

- Surface assumed to be made up of tiny mirrors
  - Lots of tiny mirrors
- Need to model the distribution of mirrors



# Half-Vector

- Only mirrors oriented halfway between light and view direction reflect light into camera
- Direction  $\mathbf{h}$  ... halfway between light and view direction





# Microfacet Distribution

- Fraction of microfacets oriented in direction  $\mathbf{h}$
- Example: Beckmann Distribution

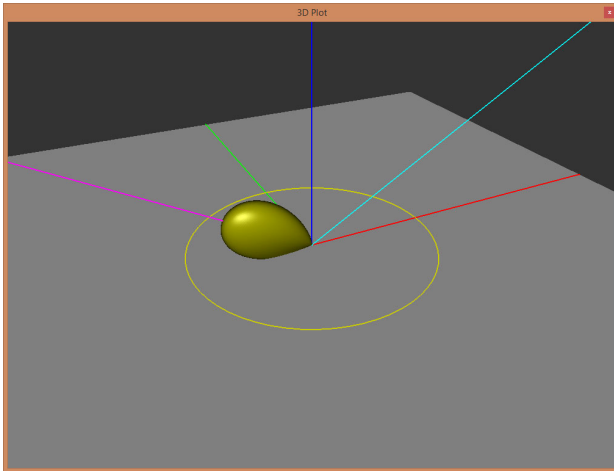
$$D(\mathbf{n}, \mathbf{h}, m) = \frac{1}{4m^2(\mathbf{n} \cdot \mathbf{h})^4} \exp\left(\frac{(\mathbf{n} \cdot \mathbf{h})^2 - 1}{m^2(\mathbf{n} \cdot \mathbf{h})^2}\right)$$

$m$       Root mean squared slope of microfacets  
(corresponds to roughness)

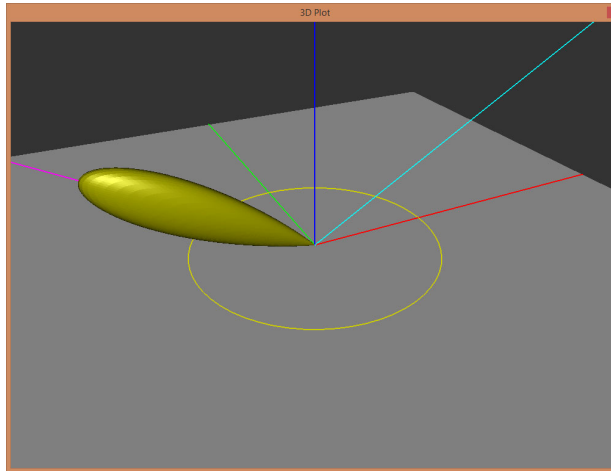
[Beckmann, Spizzichino 1963]

# Beckmann Distribution

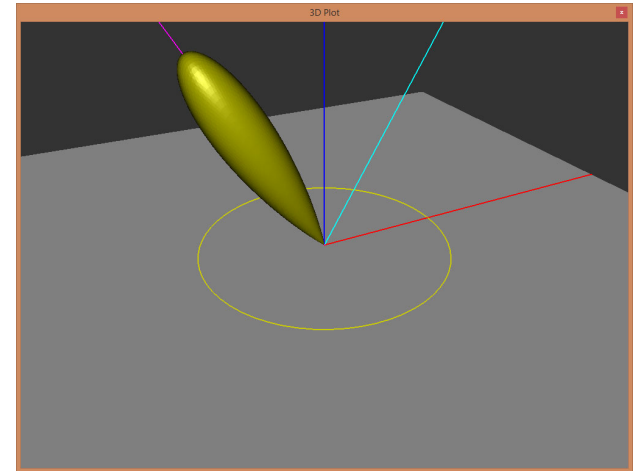
$$\theta_i = 60^\circ, m = 0.24$$



$$\theta_i = 60^\circ, m = 0.069$$



$$\theta_i = 30^\circ, m = 0.069$$



# Geometric Attenuation

- Accounts for shadowing
  - Light blocked from reaching microfacet by other microfacets
- Accounts for masking
  - Reflected light blocked from reaching camera by other microfacets
  - Example: Torrance-Sparrow model

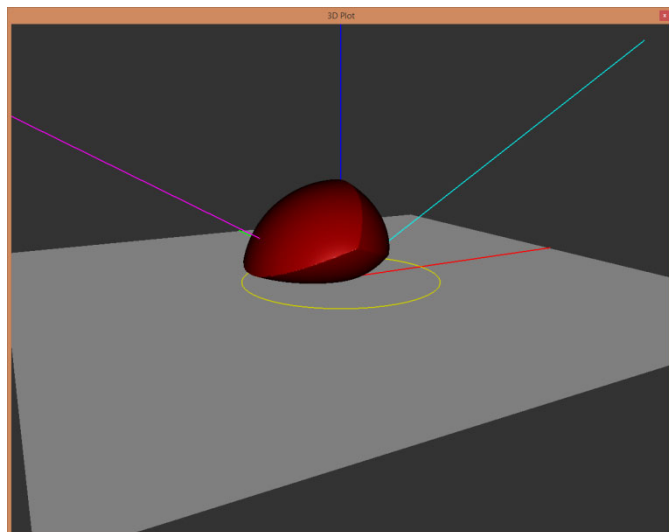
$$G(\mathbf{l}, \mathbf{v}) = \min \left( 1, \frac{2 \cos \theta_h \cos \theta_o}{\cos \alpha_h}, \frac{2 \cos \theta_h \cos \theta_i}{\cos \alpha_h} \right)$$

$$\begin{aligned} \cos \theta_h &= \mathbf{h} \cdot \mathbf{n} \\ \cos \theta_o &= \mathbf{v} \cdot \mathbf{n} \\ \cos \alpha_h &= \mathbf{v} \cdot \mathbf{h} \end{aligned}$$

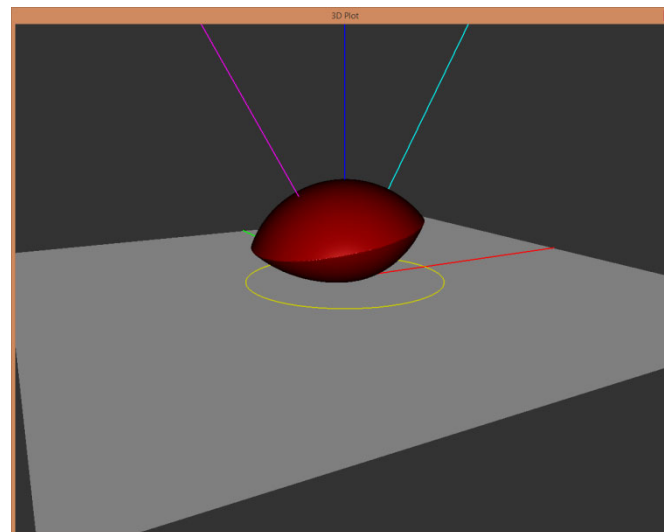
[Torrance, Sparrow 1967]

# Torrance-Sparrow Geometric Attenuation

$$\theta_i = 60^\circ$$



$$\theta_i = 30^\circ$$




# Cook-Torrance Model

Popular shading model which is putting all these components together

Microfacet distribution  
(accounts for surface roughness)

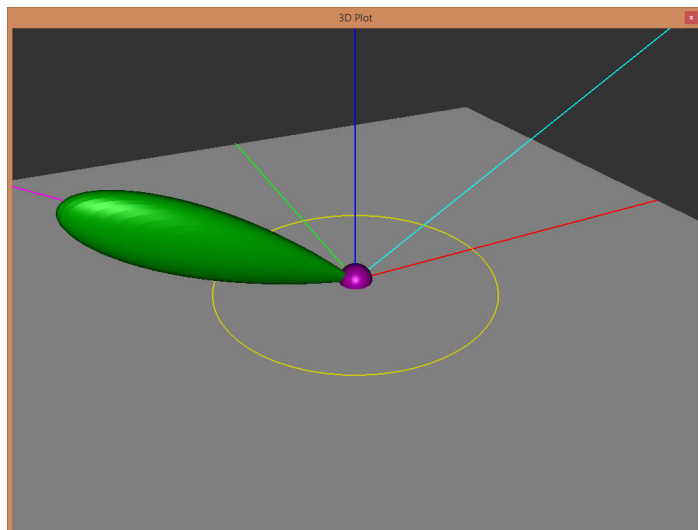
Fresnel  
reflectance

Geometric attenuation  
(amount of self-shadowing)

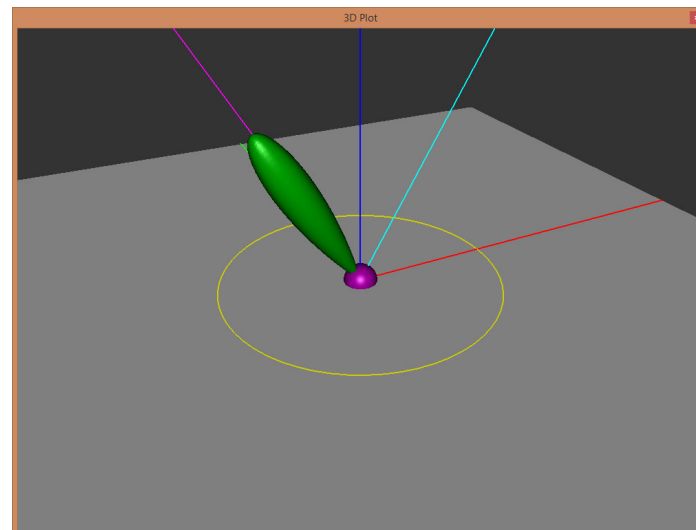

$$f_r(\mathbf{l}, \mathbf{v}) = \frac{D(\mathbf{h})F(\mathbf{l}, \mathbf{v})G(\mathbf{l}, \mathbf{v})}{4(\mathbf{n} \cdot \mathbf{v})(\mathbf{n} \cdot \mathbf{l})}$$

# Cook-Torrance BRDF 1

$$\theta_i = 60^\circ, m = 0.069$$

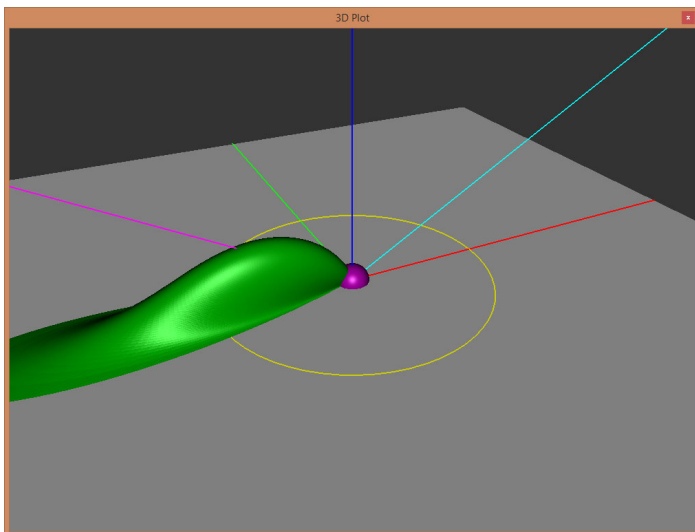


$$\theta_i = 30^\circ, m = 0.069$$

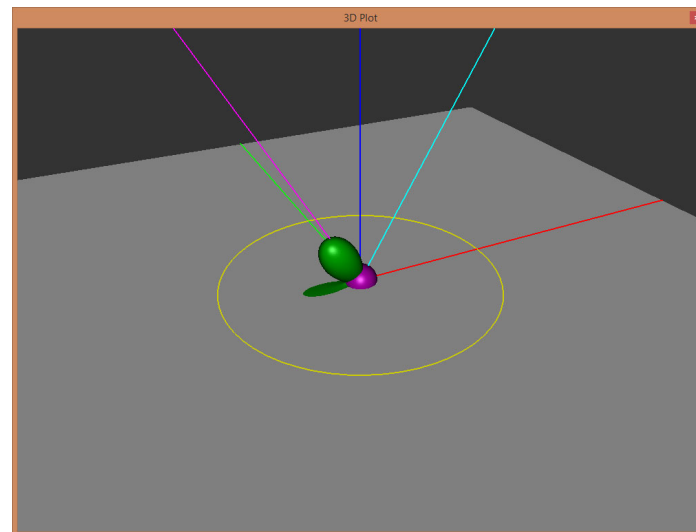


# Cook-Torrance BRDF 2

$\theta_i = 60^\circ, m = 0.24$



$\theta_i = 30^\circ, m = 0.24$



# Examples

Phong diffuse



Dieter Schmalstieg

Phong specular



Shading Models

Cook-Torrance



<https://www.youtube.com/watch?v=iVOfKIVtuVg>

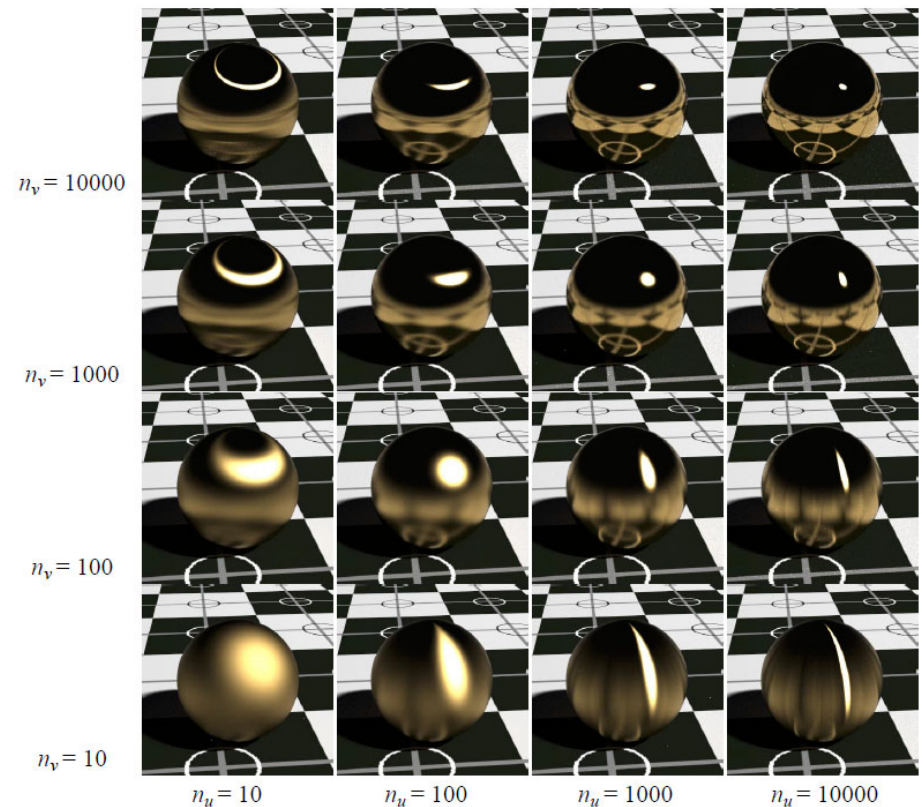
48



# Anisotropic Reflection

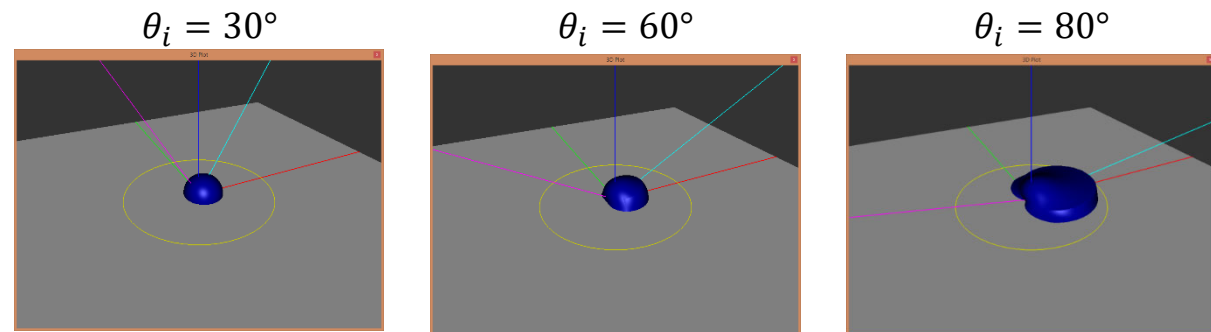
- Viewing direction considered
  - Not just inclination
- Example
  - Brushed metal

[Ashikhmin, Shirley 2000]



# Retro-Reflection

- Deep cavities on surface reflect light back to light source
- Brighter at steep angles (near silhouette of object)
- Example:  
pottery



Photograph



Lambertian



Oren-Nayar



[Oren, Nayar 1994]

# Implementation in GLSL

- BRDF:

$$f(\mathbf{l}, \mathbf{v}) = \frac{dL_o(\mathbf{v})}{dE_i(\mathbf{l})}$$

outgoing radiance

- Irradiance from light source:

$$E_L = \frac{I_L}{r^2}$$

light source intensity

irradiance incident on surface  
incoming irradiance

- Radiance towards viewer:

$$L_o(\mathbf{v}) = \sum_{k=1}^n f(\mathbf{l}_k, \mathbf{v}) \cdot E_{L_k} \cos \theta_{i_k}$$

squared distance to light source

sum up contributions of each light source

# Example: Blinn-Phong Vertex Shader

```
1  #version 330
2  uniform mat4x4 PV;  // view projection matrix
3  layout(location = 0) in vec3 vertex_position;
4  layout(location = 1) in vec3 vertex_normal;
5  out vec3 p;
6  out vec3 normal;
7  void main()
8  {
9      gl_Position = PV * vec4(vertex_position, 1.0f);
10     p = vertex_position;
11     normal = vertex_normal;
12 }
```

# Example: Phong Fragment Shader

```

#version 330

1  uniform vec3 camera_position;
2  uniform vec3 light_direction;
3  uniform vec3 B_L;
4  uniform vec3 c_d;
5  uniform vec3 c_s;
6  uniform float m;
7  in vec3 p;
8  in vec3 normal;

9  layout(location=0) out vec4 fragment_color;

10 void main()
11 {
12     vec3 n = normalize(normal);
13     vec3 v = normalize(camera_position - p);
14     vec3 l = -light_direction;
15     vec3 r = 2.0f * n * dot(n, l) - l;
16
17     float lambert = max(dot(n, l), 0.0f);
18     float specular = pow(max(dot(v, r), 0.0f), m);
19
20     fragment_color.rgb = (c_d * lambert + c_s * specular) * B_L;
21     fragment_color.a = 1.0f;
22 }

```

# Example: Blinn-Phong Fragment Shader

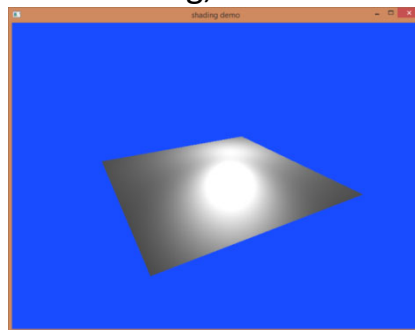
```

1  #version 330
2
3  const float pi = 3.14159265358979f;
4
5  uniform vec3 camera_position;
6  uniform vec3 light_direction;
7  uniform vec3 I_L;
8  uniform vec3 c_d;
9  uniform vec3 c_s;
10 uniform float m;
11
12 in vec3 p;
13 in vec3 normal;
14
15 layout(location=0) out vec4 fragment_color;
16
17 void main()
18 {
19     vec3 n = normalize(normal); // renormalize interpolated normal
20     vec3 v = normalize(camera_position - p);
21     vec3 l = -light_direction;
22     vec3 h = normalize(v + l);
23     float lambert = max(dot(n, l), 0.0f);
24     float specular = (m + 8) / (8 * pi) * pow(max(dot(n, h), 0.0f), m);
25     fragment_color.rgb = (c_d / pi + c_s * specular) * lambert * I_L;
26     fragment_color.a = 1.0f;
27 }

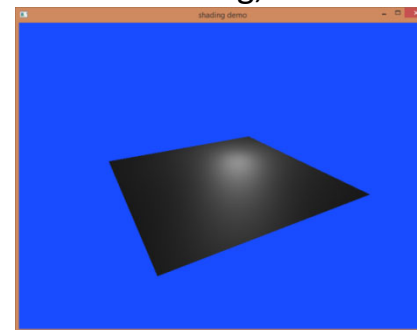
```

# Results

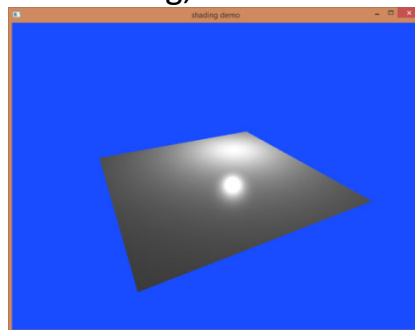
Phong,  $m = 8$



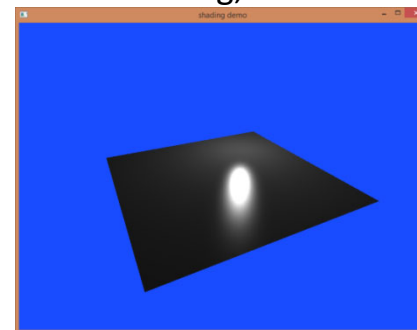
Blinn-Phong,  $m = 8$



Phong,  $m = 100$



Blinn-Phong,  $m = 100$



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