- Applications of Operant Demand to Treatment Selection I: Characterizing
  Demand for Evidence-based Practices
- Shawn P. Gilroy<sup>1</sup>, Jodie A. Waits<sup>1</sup>, & Brent A. Kaplan<sup>2</sup>
- <sup>1</sup> Louisiana State University
- $^{2}$  Department of Family and Community Medicine, University of Kentucky College of
- 6 Medicine

#### Author Note

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Shawn Patrick Gilroy is an Assistant Professor of School Psychology at Louisiana

State University. Jodie Waits is a doctoral student in the School Psychology at Louisiana

State University and Brent Kaplan is a Assistant Professor in the Department of Family and

Community Medicine at the University of Kentucky College of Medicine. Correspondence

should be directed to sgilroy1@lsu.edu. The source code necessary to recreate this work is

publicly hosted in a repository at: https://github.com/miyamot0/TreatmentDemandPilot

The authors made the following contributions. Shawn P. Gilroy: Conceptualization,
Writing - Original Draft Preparation, Writing - Review & Editing; Jodie A. Waits: Writing Review & Editing; Brent A. Kaplan: Writing - Review & Editing.

Correspondence concerning this article should be addressed to Shawn P. Gilroy, 226

Audubon Hall Baton Rouge, Louisiana 70806. E-mail: sgilroy1@lsu.edu

20 Abstract

Various treatment approaches have been determined efficacious for improving child behavior 21 outcomes. Despite a variety of evidence-based options, consumers often disregard empirically 22 supported treatments to pursue alternatives that lack empirical support, e.g. fad therapies. 23 The choice to pursue therapies lacking empirical support has been considered as a 'gamble' on the apeutic outcomes and this form of risky choice has historically been explained 25 through various cognitive heuristics and biases. This report translates quantitative analyses 26 from operant demand to characterize how caregivers of children with behavioral issues 27 consume treatment services. The operant demand approach is presented, its utility for characterizing patterns of treatment consumption is discussed, and cross-price analyses of 29 demand are applied to evaluate how various factors influence treatment-related decisions. Results indicated that caregivers endorsing interest in receiving behavioral parent training 31 regularly pursued pseudoscientific alternatives as a substitute for an established therapy, despite explicit language stating a lack of evidence. These findings question the presumption 33 of rationality in treatment choice as well as the degree to which scientific evidence influences the consumption of specific therapies. This report ends with a discussion of Consumer 35 Behavior Analysis and how quantitative analyses of behavior can be used to better understand factors that help or hinder the dissemination of evidence-based practices. 37

Keywords: behavioral economics, demand, substitution, evidence-based practices, pseudoscience, consumer behavior analysis

40 Word count: X

# Applications of Operant Demand to Treatment Selection I: Characterizing Demand for Evidence-based Practices

43 Introduction

The APA Presidential Task Force on Evidence-Based Practice (2006) has defined 44 Evidence-based Practices (EBPs) as "... the integration of the best available research with 45 clinical expertise in the context of patient characteristics, culture, and preferences (p. 273)." 46 Broadly, a focus on EBPs reflects a commitment to align clinical services with the approaches and procedures that are most supported by credible and scientific evidence (Newsom & Hovanitz, 2015). In the context of developmental and child behavior issues. various practices have been determined to be empirically supported for improving specific outcomes (Chambless et al., 1998; National Autism Center, 2015; Woody et al., 1996). 51 Although highlighted here in the context of child behavior therapies, it warrants noting that commitments to EBPs are typically observed in most clinical fields, including pediatrics American Academy of Pediatrics, 2017), speech and language pathology (American Speech-Language-Hearing Association, 2005), and healthcare more broadly (Evidence-Based Medicine Working Group, 1992)

### "Alternatives" to Evidence-based Practices

Not all practices marketed to families experiencing undesired child behavior are supported by strong evidence (i.e., complementary and alternative treatment options).

Practices marketed to caregivers may lack scientific evidence of efficacy, or worse, have a documented risk of harm (Food and Drug Administration, 2019). Such dangerous and questionable services exist for the treatment of various developmental and behavioral disorders; however, these tend to be marketed most heavily towards families of children diagnosed with Autism Spectrum Disorder (ASD) (Travers et al., 2016). Indeed, the range of 'fad' and pseudoscientific services marketed to the ASD population and their families has been considerable and has included practices such as Auditory Integration Training (Dawson

& Watling, 2000), Sensory Integration Therapy (Lang et al., 2012), various mineral
supplements and dietary restrictions (Trudeau et al., 2019), chelation therapy (Davis et al.,
2013), hyperbaric oxygen therapy (Jepson et al., 2011), and Facilitated Communication
(Mostert, 2001), along with its derivative, the Rapid Prompting Method (Hemsley, 2016).

The proliferation of practices lacking strong evidence is not a recent development and 71 these alternatives to EBPs have previously been described in ways such as "scientifically questionable" treatments (Lilienfeld, 2005), as "fads" or "controversial" treatments (Foxx, 73 2008), or as forms of pseudoscientific thinking outright (Normand, 2008). Regardless of the specific term used to describe the consumption of these practices, each refers to an instance 75 where services are pursued despite a limited degree (or total lack) of scientific evidence. 76 These services are marketed heavily towards families of children with developmental and 77 behavioral disorders and often result in families adopting such practices at levels that exceed (or completely replace) EBPs (Green et al., 2006). Put simply, these alternative approaches 79 seem to be consumed as if they were equivalent or superior replacements to EBPs (i.e., 80 substitutes). This alarming trend is also reflected in professional decision-making, with 81 educators of children in early childhood (Stahmer et al., 2005) and the public school system (Hess et al., 2008) endorsing high levels of these practices as well. 83

# 84 (A)Rational Treatment Choice

The enduring demand for alternative therapies that lack scientific support naturally evokes questions regarding the factors that drive treatment choices. The rational assumption holds that decision-makers would allocate greater resources to the prospects that have the greatest likelihood of returns. EBPs are more associated with positive and reliable returns and thus should be consumed most readily and at higher levels. Viewing caregivers and families as consumers and treatments as investments in future health and wellness, classical economic assumptions hold that agents should respond in ways that maximize their expected utility or benefit (Strotz, 1955). Per classical economic reasoning, the rational actor should

disregard inferior prospects that are associated with sub optimal or questionable benefits

(i.e., poor return on the resources invested). However, deviations from these 'rational' choices

are quite common (Ainslie, 1974, 1992) and this perspective, Rational Choice Theory (RCT),

fails to account for these phenomena. Herrnstein (1990) provided an exposition on the many

issues associated with RCT and its limited utility in explaining real-world choices. They

noted that RCT succeeds in describing how agents should make choices (i.e., to maximize

utility) but fails to predict how agents actually make choices.

Revisiting choice in the context of selecting behavior therapies, let us apply RCT to a 100 hypothetical agent selecting from one of several treatment options for addressing their child's 101 undesirable behavior. In this scenario, the choice is between an established EBP (e.g., 102 Applied Behavior Analysis) and some alternative that clearly lacks scientific support (e.g., a 103 fad or pseudoscientific behavior therapy). The rational agent would scrutinize the strength 104 and degree of support for each form of therapy, and it stands to reason that they would 105 choose the option associated with higher levels of efficacy (e.g., improvements in behavior). 106 However, revisiting the concerns noted in Herrnstein (1990), RCT and assumptions of 107 rationality provide a better description of how we should behave but serve as a poor 108 framework for predicting how individuals actually make choices. As such, this calls into 109 question to what extent differences in the degree of scientific evidence influence choices in 110 child behavior therapies. 111

#### Factors Associated with "Alternative" Treatment Choices

Researchers have explored how various factors contribute to the consumption of
alternative (i.e., suboptimal) treatment approaches. Smith (2015) highlighted various
strategies used to advertise the purported benefits of these approaches. Specifically, vendors
of these approaches often use language that obscures the actual, likely effect(s) of the
treatment. For example, the language included in these advertisements often includes vague
and non-specific indicators of improvement that are difficult or impossible to quantitatively

refute (e.g., increased 'focus,' 'attending'). Additionally, these practices use language that 119 emphasizes ease and immediacy, which are contrasted with EBPs that generally entail 120 substantial time, effort, and resources to implement as designed. As such, the emphasis here 121 is placed not on evidence (i.e., treatment efficacy) but instead on ease and 122 immediacy—dimensions of reinforcement associated with greater efficacy and relative 123 preference. It warrants noting that reinforcer efficacy and treatment efficacy are distinct 124 concepts, with treatment efficacy representing distal effect(s) of treatment choices (e.g., child 125 behavior improvement, outcomes) and reinforcer efficacy the proximal contingencies related 126 to implementation (i.e., immediate consequences of implementation). 127

Beyond the use of vague and misleading language, Foxall (2004) posited that 128 consumption can be maintained by a convergence of multiple reinforcement contingencies. 129 Consumer Behavior Analysis highlights the relevance of both Utilitarian (UR) and 130 Informational Reinforcement (IR) contingencies (Foxall, 2001). Briefly, UR contingencies 131 closely relate to the traditional definition of reinforcement whereby the putative effect on 132 behavior is a direct result of consuming the reinforcer (e.g., edibles). Alternatively, IR 133 contingencies represent those mediated by members of the verbal community as a function of 134 consuming specific goods or services (e.g., signaling status). To better illustrate the two, let 135 us consider the social contingencies (informational) that differ when consuming economy 136 versus luxury clothing. Controlling for size and features, both economy and luxury clothing offer comparable utilitarian contingencies because, functionally, they both provide the same 138 direct result (i.e., protection from elements, warmth). However, the two differ in 139 informational contingencies because the consumption of premium and luxury goods is much more associated with greater levels of recognition and praise by peers (i.e., the verbal 141 community). Revisiting child behavior treatment, various 'fads' (e.g., fidget spinners) 142 demonstrate spurious effects on behavior (i.e., low utilitarian value) but members of the 143 verbal community often recognize and praise such patterns of consumption (e.g., status signaling, both in-person and via social media). Viewed across these dimensions,

"alternative" treatment practices may not require any degree of utilitarian value at all to reach and sustain high levels of consumption and adoption.

### <sup>148</sup> Elucidating "Alternative" Treatment Choice

Experimental research with human and non-human animals has developed and 149 applied procedures that elucidate deviations from maximized utility, i.e. "irrational" choices 150 (Ainslie, 1974; Ainslie & Herrnstein, 1981). Experimental methods emerging from Operant 151 Behavioral Economics have revealed that organisms regularly deviate from rational choices 152 and tend to demonstrate a relative preference for immediate and lesser prospects over 153 optimal ones, which are typically delayed and may be uncertain. This phenomenon, 154 discounting, is one of several frequently evaluated in the Operant Behavioral Economic 155 framework (Hursh, 2014; Reed et al., 2013). Discounting has been explored in the context 156 of various treatment situations, such as the choice of whether or not to pursue vaccination 157 (Jit & Mibei, 2015), to continue or discontinue effective behavior therapy (Swift & Callahan, 158 2010), and whether to disregard optimal, but delayed behavior management strategies 159 (Gilroy & Kaplan, 2020). 160

Methods designed to elucidate patterns of sub optimal choice (i.e., discounting) 161 typically present choices to participants in a dichotomous manner (e.g., Larger, Later 162 vs. Smaller, Sooner). In these procedures, prospects vary across one or two dimensions (e.g., 163 delays, magnitude) and this is highly effective for isolating the effects of certain aspects of 164 choice. However, choices take place in complex environments and the dichotomous nature of 165 this format fails to account for the various relations that exist between reinforcers (e.g., 166 complementary, substitutional relations) (Hursh, 1980). For instance, consider the treatment 167 programming for a young child diagnosed with ASD. Caregivers of children diagnosed with this disorder typically report consuming a wide range of different behavior therapies,

<sup>&</sup>lt;sup>1</sup> We note here that Consumer Behavior Analysis is a highly related perspective that is also subsumed under the greater Operant Behavioral Economic framework.

concurrently, each at varying degrees (Goin-Kochel et al., 2007; Green et al., 2006). In a survey of caregiver treatment choices, Green et al. (2006) found that caregivers of children with ASD, on average, endorsed the use of up to eight behavior therapies at a time. Given that treatment choices are rarely dichotomous (i.e., just Treatment A or just Treatment B) and because relations likely exist between treatments, the delay discounting framework fails to account for the possible interactions between treatment choices.

Within the Operant Behavioral Economic framework, the demand methodology 176 provides a means of analyzing patterns of consumption under various constraints, e.g. time, 177 limited resources (Hursh & Silberberg, 2008; Kagel & Winkler, 1972; Rachlin et al., 1976). 178 Rather than presenting choices as dichotomous (i.e., which treatments), consumption is 179 indexed continuously across alternatives (i.e., how much of each treatment). In a 180 hypothetical experiment related to treatment choice, a caregiver might endorse the 181 consumption of Therapy A for five hours/week on average, Therapy B for four hours/week 182 on average, and Therapy C for one hour a week on average—each consumed at a different 183 price. The operant demand framework supports an analysis of how pricing, the availability 184 of alternatives, and various other factors can influence the consumption of certain services 185 (e.g., EBPs). 186

Operant demand methods are well-suited to characterizing the consumption of 187 behavior therapies for several reasons. First, researchers can evaluate the bliss point 188 consumption of specific goods or services. That is, the consumer's overall level of demand, if 189 the price was no object, can be modeled directly and used as an index of its hedonic value 190 (Hursh & Silberberg, 2008). This is useful for comparing the demand for specific services 191 across individuals and arrangements (e.g., EBPs, recommended treatments). Additionally, 192 researchers can evaluate how strongly consumers would defend their levels of consumption of 193 services when prices increase or when other treatment alternatives become available (Hursh, 194 2000). When we speak of defending consumption, we refer to the degree to which the 195

consumer remains committed to their base level consumption of some treatment service 196 before either ceasing that consumption (i.e., terminating therapy) or substituting that 197 consumption with some alternative (e.g., fads, alternative therapies). For instance, a high 198 level of demand would indicate that agents were willing to endure the burden of high costs to 199 maintain their base levels of EBP consumption. Alternatively, a low level of defense would 200 mean that agents quickly decrease/cease their consumption of EBPs when relatively minor 201 increases in price/effort are encountered. This sensitivity to changes in price (i.e., rate of 202 change in elasticity) is captured in models via a rate parameter in the demand curve (Gilroy 203 et al., 2020; Hursh & Silberberg, 2008). For convenience, the original Exponential model of 204 operant demand outlined in Hursh and Silberberg (2008) is listed in Equation 1 below: 205

$$log_{10}Q = log_{10}Q_0 + k(e^{-\alpha Q_0 P} - 1)$$
(1)

In this exponential decay model, consumption (Q) is modeled as a function of price 206 (P). As mentioned previously, Q0 represents the bliss point and the  $\alpha$  parameter reflects the 207 sensitivity to price standardized to intercept levels. The range of consumption is constrained 208 by the parameter k. In addition to characterizing the demand for behavior therapies, the 209 operant demand approach can be used to quantify relationships that exist between different 210 types of commodities and how they are consumed in tandem (Hursh & Roma, 2013). For 211 example, decision-makers may consume certain treatments together (i.e., the treatments 212 complement one another), consume certain treatments only as a replacement to others (i.e., 213 one treatment substitutes the other), or the consumption of treatments may be completely 214 independent of one another (Hursh & Roma, 2016). Such relationships are particularly 215 useful for characterizing choices for behavior intervention because it is unclear how caregivers 216 arrive at specific combinations of behavior treatment. For instance, this approach can be 217 used to quantify how families consume and defend their consumption of EBPs in the 218 presence and absence of "alternatives" that differ in levels of empirical evidence or treatment 219

efficacy. Similarly, this approach can be used to determine whether "alternative" treatments are consumed as substitutes to EBPs, as complements, or if the consumption of the two appears to occur independently of each other.

#### 223 Research Goals

The purpose of this study was to evaluate factors associated with the consumption of 224 child behavior therapies (e.g., EBPs, alternative treatments). Two Hypothetical Treatment 225 Purchase Tasks (HTPTs) were developed to evaluate the consumption of various treatments 226 when each varied in terms of their level of supporting evidence. Methods from operant 227 demand were applied to quantify the patterns of consumption observed when EBPs were 228 available alone (closed economy) and accompanied by an alternative therapy (open 229 economy). The overall demand for EBPs was evaluated alone as well as with cross-price 230 analyses to quantify the relationship between EBPs and alternative therapies (e.g., 231 complements, substitutes). 232

233 Methods

#### 234 Participants

A total of 62 caregivers of children endorsing child behavior concerns as well as 235 interest in pursuing behavioral therapy were recruited using the Amazon Mechanical Turk 236 platform (MTurk). Briefly, MTurk is a crowdsourcing platform where "workers" (i.e., 237 participants) meeting requisite criteria complete various tasks for "requesters" (i.e., 238 researchers) and are compensated for their work (Chandler & Shapiro, 2016). The task was 239 made available to workers on the MTurk platform if they met the following criteria: 1) completion of at least 1,000 total tasks; 2) maintained an overall 99% approval rating for their submitted work; 3) and resided in the United States. These requirements are consistent with recommended practices for gathering "crowdsourced" participant data and previous applications (Chandler & Shapiro, 2016). Eligible workers completed a survey designed using 244

the Qualtrics Research Suite.

#### 246 Criteria for Inclusion

All study methods and instruments were approved by the Louisiana State University 247 Institutional Review Board. The initial portion of the research instrument evaluated whether 248 the caregivers were eligible to participate. Prospective participants had to have been caring 249 for at least one school-aged child in a custodial role and endorsed some level of concern 250 regarding their child's behavior (i.e., enough to consider behavior therapy). Caregivers 251 endorsing that they either had no children, no child behavioral concerns, or no interest in 252 pursuing child behavior therapies were subsequently informed that they were not eligible to participate in the study. Once determined ineligible, workers were unable to re-attempt the study (i.e., individual worker IDs were logged and screened from subsequent batches). After 255 the survey, participants who completed all measures were provided with a unique string 256 which was then submitted to the MTurk portal to complete the HIT and received a \$1.00 257 payment for the approximately 10 min task, i.e. consistent with recommended payment 258 guidelines; see Chandler and Shapiro (2016). 259

#### 260 Systematicity of Demand Data

Responses collected using the MTurk platform were evaluated for indicators of 261 systematic responding (i.e., non-random patterns of choice). Criteria for systematic 262 responding on Hypothetical Purchase Task data were first proposed in Stein et al. (2015) and 263 these were designed to assess three indicators of systematic demand data. First, 'trend' refers 264 to the global direction of consumption and the expected form of consumption is a decreasing trend as prices increase (i.e., from low to high prices). Second, 'bounce' refers to the local direction of consumption as prices increase. That is, consumption should not be low at one price only to be followed by high consumption at the next highest price. Third, 'reversals 268 from zero' speak to instances where non-zero consumption is reported after zero consumption 269 is endorsed at a lower price. That is, it would be unexpected to consume 0 service units at

\$100/hour and then subsequently report consumption of 2 service units at \$250/hour. These indicators were assessed using methods included in the beezdemand software package (Kaplan et al., 2019) in the R Statistical Program (R Core Team, 2021). Combined, these indicators of responding provide a level of data validation when using crowdsourced data and data meeting all indicators were carried forward into the final analyses.

#### Hypothetical Treatment Purchase Task (HTPT)

Caregivers eligible to participate in the study completed two HTPTs—one with EBPs 277 available alone and another with EBPs accompanied by a mock Alternative Therapy 278 (EBP+AT). In each HTPT, participants were allotted a hypothetical budget of up to \$5,000 270 per week to spend towards child behavior services with a maximum of 20 hours available for 280 treatment. The overall budget and price points were formed around an approximated hourly 281 rate of 200 USD and a standard deviation of 50 USD. Participants were informed that if they 282 did not spend the funds on treatment the remaining money could not be directed elsewhere 283 or saved. Similarly, both treatments were described as parent-training programs and each 284 was framed in terms that indicated equal effort and time commitments. In both HTPTs, the 285 prices per unit (i.e., hour of service) for the EBP were \$50, \$100, \$150, \$200, \$250, \$300, \$400, \$500, \$750, \$1000, \$2000, \$3000, and \$5000 per hour. Prices for the EBP were identical across both the EBP and the EBP+AT HTPTs.

## Alone-Price Demand for EBPs (EBP HTPT)

The EBP HTPT was designed to elucidate caregiver choice when only EBPs were
available. The EBP presented here was derived from established behavioral principles of
punishment and reinforcement (see Appendix). The vignette presented to the participant
explicitly stated that the EBP was strongly supported by empirical research and caregivers
were instructed to imagine that their child's primary care physician would highly recommend
this approach based on credible and scientific evidence. Alone-price demand for EBPs was
assessed across each of the prices listed in the section above. At each price point,

participants could elect to spend as much or as little time and money toward these services
as they preferred or could afford. If participants endorsed preferences beyond those
constraints (e.g., over 20 hours, over \$5,000) they were subsequently prompted to spend
within their budget before they could proceed to the next price point or task.

## 301 Own-Price Demand for EBPs (EBP+AT HTPT)

The EBP+AT HTPT was designed to evaluate patterns of choice across EBPs and 302 ATs. This task included the same prices, budget, and EBP from the EBP HTPT but also 303 featured an AT option that was available at a fixed price (\$100/hour). That is, both an EBP 304 and an AT were concurrently available in any combination desired by the caregiver. The AT 305 described here was a mock pseudoscientific treatment termed 'Positive Attachment Therapy.' 306 In addition to the vignette for the EBP, a second vignette was presented to the caregiver 307 specific to the AT (see Appendix). In this vignette, the AT was described as a therapeutic 308 approach for challenging behavior using 'therapeutic embrace' as the underlying mechanism 309 of behavior change-similar to the basis for Gentle Touch (Bailey, 1992). Additionally, the 310 vignette explicitly stated that the AT did not have scientific evidence supporting its use, and 311 caregivers were instructed to imagine that their child's primary care physician recommended 312 against this approach due to its lack of scientific evidence. Consistent with the EBP HTPT, 313 participants could spend as much time and/or money towards treatment(s) given time and cost constraints. 315

## 16 Analytical Plan

Caregiver consumption of EBPs and FPTs across both HTPTs was evaluated using
the Zero Bounded Exponential (ZBE) model of demand (Gilroy et al., 2021). Briefly, the
ZBE model is an extension of the original Exponential model of operant demand (Hursh &
Silberberg, 2008) with a modified scale (Inverse Hyperbolic Sine) that optionally supports a
true lower bound at zero consumption. Specifically, the ZBE model has a form to
accommodate non-zero lower asymptotes (i.e., not at zero; Equation 2), zero asymptotes (i.e.,

TREATMENT DEMAND

reaching true zero; Equation 3), and when demand is purely inelastic (i.e., demand
essentially flat; Equation 4). Each variant exists in the same scale (IHS) and models can be
evaluated using traditional model selection procedures (e.g., Sum of Squares F-test).

Specifically, Eq. 3 and Eq. 4 were considered restricted forms of Eq. 2 and the complexity of
the final model was determined prior to performing further analysis. The various forms of
the ZBE model are illustrated below:

$$IHS(Q) = IHS(Q_0) + k(e^{-\alpha Q_0 P} - 1)$$
 (2)

$$IHS(Q) = IHS(Q_0) + k(e^{-\frac{\alpha}{IHS(Q_0)}Q_0P} - 1)$$
 (3)

$$IHS(Q) = IHS(Q_0) \tag{4}$$

The ZBE model was used to evaluate a participant's consumption in units of therapy 320 (Q) as prices (P) ranged from low to high. In this framework, the span of the demand curve 330  $(k \text{ [Eq. 2] or } Q_0 \text{ [Eq. 3]})$  reflects the range of modeled consumption in IHS units and this was 331 determined via parameter estimation. Parameter  $\alpha$  reflects the overall intensity of demand 332 as prices approach a price of zero (and potentially the full span; Equation 3) and  $\alpha$  is an 333 index of the overall sensitivity of Q to P. In contrast to the Exponential model of demand,  $\alpha$ 334 is normalized in units of  $Q_0$  to support comparisons in the absence of an explicit span 335 parameter (Gilroy et al., 2021). Unless noted otherwise, all model fitting was performed 336 using the R Statistical Program (R Core Team, 2021). All analytical syntax and study data 337 have been included as supplemental materials and are hosted in a repository managed by the 338 corresponding author.<sup>2</sup> 339

 $<sup>^2</sup>$  Repository is available at  $<\!$  https://www.github.com/miyamot0/TreatmentDemandPilot>

## 340 Alone-/Own-Price Demand for EBPs

The alone-and own-price demand for EBPs was evaluated using the ZBE model of 341 operant demand. Model selection was performed using the levels of reported consumption 342 across prices for all participants. The best performing model was then applied using a 343 generalized nonlinear least squares approach (Pinheiro et al., 2014) to evaluate the influence 344 of various covariates (e.g., gender, income). Although measures of demand elasticity ( $\alpha$ ) may 345 be determined via differentiation (Gilroy et al., 2020), elasticity for each fitted model was 346 determined by optimizing the peak levels of responding on the natural scale (Gilroy et al., 347 2021). This quantity  $(P_{MAX})$  was then multiplied by the aggregate  $Q_0$  to yield the peak 348 expenditure on EBPs ( $\mathcal{O}_{MAX}$ ) for both HTPTs. 349

#### 350 Cross-Price Demand for ATs

Demand for EBPs and ATs was evaluated with two different strategies. First, the
own-price demand for EBPs was evaluated in the same manner as the alone-price demand
approach listed above. Second, Hursh and Roma (2013) previously provided a form of the
Exponential model that evaluates the cross-price elasticity of demand for alternatives.
However, this approach was not used in this evaluation. Rather, a Generalized Estimating
Equation (GEE) was used to evaluate how various covariates beyond price contribute to the
consumption (or non-consumption) of ATs.

The GEE procedure was selected over the Hursh and Roma (2013) approach for several pragmatic reasons. First, the GEE strategy is flexible and can be adapted to evaluate various factors (e.g., price, demographics) that may be related to reported consumption (i.e., covariates). Second, GEE is similar to multilevel models and often applied in experiments to account for repeated measurements across individuals (Hardin, 2005; Kaplan et al., 2020; Kaplan & Koffarnus, 2019). Such an approach avoids issues associated with ordinary least squares regression, e.g., non-independence (DeHart & Kaplan, 2019; Kaplan et al., In Press). Third, similar to the methods proposed in Hursh and Roma (2013), the quantity regressed

upon price in the GEE approach captures direction and rate of changes in consumption as 366 the price to consume EBPs change. For instance, a weight of zero ascribed to Price would 367 indicate no changes in AT consumption as prices to consume EBPs increased (i.e., services 368 appear to be consumed independently). Alternatively, a non-zero value would indicate that 369 the consumption of ATs changed in a particular direction in response to changes in the price 370 for EBPs. Specifically, a positive value would indicate that the consumption of ATs increased 371 while EBPs decreased (i.e., substitute) and a negative value would indicate the contrary (i.e., 372 complement). Additionally, the fitted intercept represents an indicator of the ATs baseline 373 hedonic value. Lastly, the GEE approach fares better in cases where the span parameter I in 374 the Hursh and Roma (2013) model approaches zero, and the reciprocal nature of the I and  $\alpha$ 375 parameters occasionally leads to highly inflated and questionable estimates. 376

Results

Alone-Price Demand for EBPs (EBP HTPT)

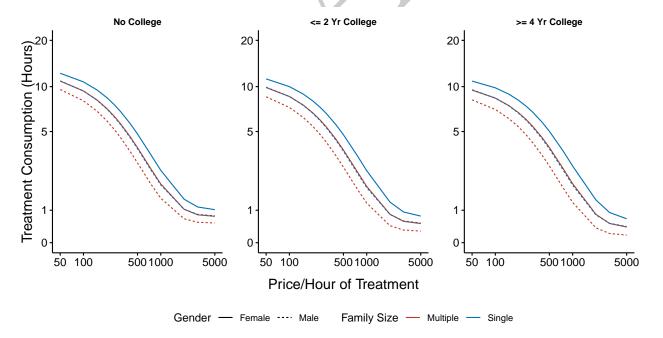


Figure 1

Alone-Price Demand for Evidence-based Practices

A total of 63 participants completed the survey and 54 met all criteria for systematic 379 purchase data across both HTPTs (85.71%). The demographics of included participants are 380 listed in Table 1. The alone-price demand for EBPs using mean consumption levels was 381 evaluated using each of the ZBE models prior to analysis. Model comparisons revealed that 382 the 3-parameter ZBE model better characterized the data than the two-parameter (F/1,383 699/=17.72, p<0.001) and one-parameter alternatives (F/2, 699/=319.53, p<0.001). The 384 3-parameter form of the ZBE model was used to estimate  $Q_{\theta}$  and  $\alpha$  across reported levels of 385 education (no college, some/junior college, 4+ year degree), gender (male, female), and 386 family size (single, multiple children). The separate span parameter was estimated globally, 387 and thus, shared across all participants. The results of this regression are listed in Table 2 388 and displayed in Figure 1. Model fits indicated a main effect for education, whereby 389 caregivers with a four-year college degree or more reported significantly lower baseline levels 390 of EBP consumption than individuals without a college education ( $Q_0$  [Education >= 4 Yr. 391 College = 1.94, T = 1.97, p<0.05). Population-level predictions revealed a peak expenditure 392  $(O_{MAX})$  of 1856 USD towards EBPs, which occurred at a price  $(P_{MAX})$  of 371.12 USD per 393 unit hour of therapy. 394

# Own-Price Demand for EBPs (EBP/AT HTPT)

Model comparisons revealed that the 3-parameter form of the ZBE model better 396 characterized own-price demand for EBPs than the two-parameter (F/1, 699)=7.16, 397 p=0.008) and one-parameter alternatives (F/2, 699/=290.08, p<0.001). The 3-parameter 398 form of the ZBE model was used to estimate  $Q_0$ ,  $\alpha$ , and k parameters in the same manner as 399 in the Alone-Price demand for EBPs. The results of this regression are listed in Table 2 and 400 displayed in Figure 2. Model fits indicated that men demonstrated higher baseline levels of 401 EBP consumption than women when an alternative therapy was available ( $Q_0$  [Male] = 1.12, 402  $T=2.36,\,0.02$ ). However, men demonstrated greater sensitivity to changes in prices than 403 women ( $\alpha$  [Male] = 0.00, T = 3.54, 0.00). Further, results indicated that caregivers of a 404

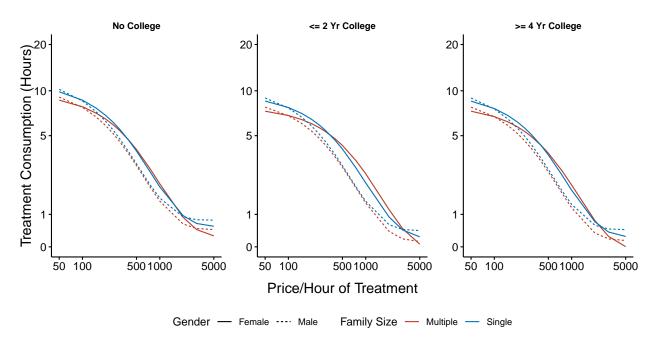


Figure 2

Own-Price Demand for Evidence-based Practices

single child demonstrated greater baseline levels of EBP consumption than those who cared for multiple children ( $Q_0$  [Single] = 1.63, T = 2.79, 0.01). Population-level predictions revealed a peak expenditure ( $O_{MAX}$ ) of 2140 USD towards EBPs, which occurred at a price ( $P_{MAX}$ ) of 427.92 USD per unit hour of therapy.

# 409 Cross-Price Demand for ATs (EBP/AT HTPT)

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The cross-price demand for ATs was evaluated using GEE with an exchangeable correlation structure and model comparisons were performed using the QIC metric included in the *MuMin* R package (Barton, 2015). Briefly, the QIC value is an indicator frequently used to select the best performing model and correlation structure when comparing various modeling options in GEE (Pan, 2001). As noted in Pan (2001), the QIC metric is derived from the Akaike Information Criterion (AIC) (Akaike, 1974) but has been modified to support GEE because this procedure is not based on Maximum Likelihood Estimation.

The GEE was applied using the geeglm method included in the geepack R package

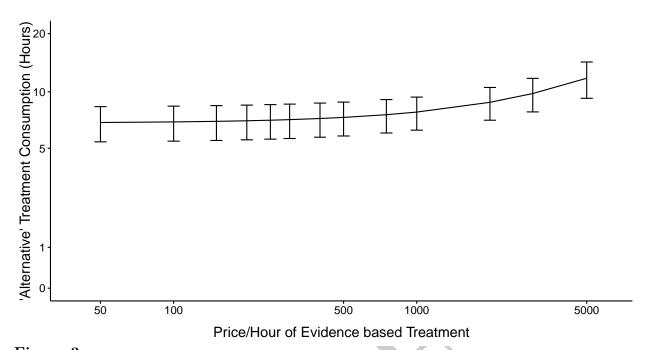


Figure 3

Cross-Price Demand for Alternative Therapy

(Halekoh et al., 2006). Factors in the GEE fitting included Price (of EBP), Gender (Men, Women), Family Size (Single, Multiple Children), and Education (i.e., No College,  $\langle = 2 \text{ Yr} \rangle$  College,  $\rangle = 4 \text{ Yr} \rangle$  College) and all possible interactions. Model selection using QIC favored the model with Price as the sole factor associated with the consumption of ATs ( $\alpha$  [\*Price]\* = 0.00, W = 0, p< 0.001. Overall, results indicated that caregivers substituted ATs for EBPs as the price to consume EBPs increased. No other demographic factors were significantly related to levels of AT consumption.

Discussion

Terms such as "evidence-based" and "empirically-supported" are labels used to identify therapies and approaches found to be efficacious or at least probably efficacious (Chambless et al., 1998). These designations aid in communicating the relative efficacy of specific treatments as well as in advocating for the use of these approaches over dubious alternatives. However, despite an established body of evidence supporting EBPs, fad and pseudoscientific therapies maintain high levels of adoption. Indeed, certain "alternative"
therapies have persisted for decades despite a consistent lack of support, and worse, those
clearly discredited following careful scientific study have re-emerged at later times in
re-branded forms.<sup>3</sup> Given the relatively limited value associated with being labeled as having
scientific evidence (i.e., evidence-based), this naturally prompts further inquiry into the
factors that influence consumer choice for treatment.

This study applied an operant behavioral economic interpretation of treatment choice 437 when multiple behavior therapies were concurrently available to caregivers. The approach 438 used here expands upon earlier work in that it permits researchers to evaluate how certain 439 forms of treatment consumption relate to one another. Results indicated that caregivers 440 regularly and overwhelmingly reported that they would pursue "alternative" therapies as 441 functional substitutes for EBPs, despite being told explicitly that the "alternative" lacked 442 credible evidence that it would provide benefit. Even further, participants were told to 443 imagine that their family doctor actively advocated against it. Throughout the experiment, 444 the scientific evidence of efficacy did not emerge as a factor that swayed consumers from 445 "alternative" treatments.

Although unsettling, this pattern of consumption (i.e., substituting ATs with EBPs)
is consistent with an Operant Behavioral Economic view of individual choice. That is,
findings from behavioral science have found that caregivers rarely commit to the most
optimal prospects and instead make choices based on delay to treatment effects (Call, Reavis,
et al., 2015; Gilroy & Kaplan, 2020) or prior treatment experience (Call, Delfs, et al., 2015).
That is, scientific evidence has rarely emerged as the sole factor that drives treatment-related

<sup>&</sup>lt;sup>3</sup> Interested readers should consult Travers, J. C., Ayers, K., Simpson, R. L., & Crutchfield, S. (2016). Fad, pseudoscientific, and controversial interventions. In *Early intervention for young children with autism spectrum disorder* (pp. 257-293). Springer. for a review of the decline and return of Facilitated Communication.

choices made by caregivers. Although studies such as Call, Delfs, et al. (2015), Gilroy and 453 Kaplan (2020), and Call, Reavis, et al. (2015) have arrived at similar findings, these works 454 have applied either a descriptive or a discounting-based approach to evaluate this manner of 455 decision-making. Here, we advocate for the use of the operant demand framework over other 456 methodologies for several reasons. First, this approach is well-suited to represent the complex 457 and rapidly changing landscape of services available to consumers. Results indicated that the 458 overall demand for EBPs substantially decreased when just one AT was available, whereas it 450 is plausible that this trend might be exacerbated when multiple ATs are concurrently 460 available. The approach used here can be extended to evaluate overall patterns and trends in 461 service use when a variety of treatment approaches are available. Second, demand curve 462 analyses support the evaluation of consumption as a function of price (as well as other 463 relevant factors), and results from these analyses may be useful in guiding policy (Hursh & Roma, 2013). For example, the demand methodology could be used to evaluate which pricing arrangements most support the consumption of efficacious treatments (i.e., EBPs) and discourage the use of unsafe, ineffective, and predatory alternatives (i.e., ATs). Findings 467 here indicated that the availability of a single fad or "alternative" treatment substantially 468 decreased the baseline consumption of EBPs (~11 units @ 50 USD/hr) when compared to 469 when EBPs were available alone (~13 units @ 50 USD/hr). This empirical approach to public 470 policy has been demonstrated in the use of targeted taxes to discourage unhealthy choices, 471 such as ultraviolent tanning (Reed et al., 2016) and cigarette use (MacKillop et al., 2012; 472 Pope et al., 2020), and encourage sustainable practices (e.g., "green" consumerism) (Kaplan 473 et al., 2018). However, it warrants noting that further refinement of this approach will be 474 necessary before such an approach could inform healthcare policies. That is, the purpose of 475 the current study was an initial investigation into whether the demand and substitution 476 framework could be applied to the societally important issue of treatment consumption. To 477 move towards more direct policy implications, future tasks would need to use more precise 478 pricing structures, use budgets tailored to individual households, and use more specific 479

treatments with information reflective of what is normally provided to caregivers.

Findings from this study naturally evoke questions regarding how to advocate most 481 effectively for EBPs and discourage the use of unproven, and potentially unsafe, ATs. 482 Current attempts to educate or persuade caregivers against ATs focus heavily on consulting the research literature; however, reviews of evidence alone appear unlikely to convince caregivers to allocate their resources (or even a proportion of resources) towards EBPs. As 485 most clinicians would likely attest, advocating for EBPs is not so simple as stating "... but 486 the research says" and future attempts to advocate for EBPs warrant a more sophisticated 487 and targeted approach. Indeed, emerging methodologies such as Consumer Behavior Analysis 488 (Foxall et al., 2007, 2010; Foxall, 2017) hold particular promise in evaluating how multiple 489 dimensions of behavioral contingencies each influence the consumption of good and services. 490

#### 491 Limitations

Although the interpretation provided here is consistent with behavioral economic 492 concepts and methods, several limitations warrant noting. First, the tasks presented here 493 were hypothetical and to what degree these results correspond with how caregivers would 494 spend actual time and resources is unknown. Although hypothetical, these types of tasks 495 have been found to capture choices that are similar to real-world choices and offer greater 496 safety because participants are not exposed to potentially unsafe or ineffective contingencies 497 (Roma et al., 2017). Second, the methods used here evaluated choice using a relatively 498 limited array of treatment options (i.e., one EBP, one AT). As such, future efforts will need 490 to expand upon this methodology and refine the scope and range of therapies available to 500 caregivers. Third, the vignettes included in this HTPT were designed to produce a context 501 in which most caregivers consulted an individual qualified to interpret scientific evidence (i.e., child's pediatrician). Although this avenue is broadly relatable, caregivers regularly receive information regarding child behavior therapies from various sources (e.g., social media, neighborhoods; informational contingencies). As such, additional evaluation using methods

and concepts derived from Consumer Behavior Analysis could be beneficial in further 506 extending the breadth of contingencies that support these choices. Lastly, this study served 507 as an initial evaluation of the operant demand framework with treatment-related 508 decision-making. As such, further evaluation with broader and more representative sampling 509 is necessary in future demonstrations (e.g., more tailored budgets, vignettes, available 510 treatment options). Notwithstanding these limitations, this study represents a successful, 511 initial application of the operant demand framework to how caregivers make 512 treatment-related choices for their children. 513



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 $\begin{tabular}{ll} \textbf{Table 1} \\ Participant \ Demographics \\ \end{tabular}$ 

Participant Demographics (n = 54)

Participant Demographics $(n = 54)$						
Age (years)		Number of Children				
Mean (SD)	38.7 (9.6)	Median (Q1-Q3)	2 (1-2)			
Median (Q1-Q3)	38 (30.2-44.8)	Mean (SD)	1.85 (0.96)			
$\underline{\mathbf{Sex}}$		Education				
Male	28 (51.9%)	High School graduate	11 (20.4%)			
Female	26 (48.1%)	Some college but no degree	8 (14.8%)			
Income		Associate degree	10 (18.5%)			
Q1	30,250 USD	Bachelor's degree	21 (38.9%)			
Median	50,000 USD	Master's degree	4(7.4%)			
Q3	82,500 USD	Race/Ethnicity				
Behavior Concern		African-American	3~(5.5%)			
A little	26 (48.1%)	Asian	7~(13.0%)			
A moderate amount	11 (20.4%)	Hispanic/Latinx	1 (1.8%)			
A lot	12 (22.2%)	White/Caucasian	42 (77.8%)			
A great deal	5 (9.2%)	Native American	1 (1.8%)			
Marital Status						
Single	12~(22.2%)					
Married	39 (72.2%)					
Divorced	3~(5.5%)					

Table 2

Modeled Demand for Evidence-based Practices

	Alone-Price	Own-Price
q0.(Intercept)	12.7365***	9.6449***
	(1.4390)	(1.1743)
q0.Education<= 2 Yr College	-1.3311	-1.8012
	(1.0362)	(0.9645)
q0.Education>= 4 Yr College	$-1.9409^*$	-1.6497
	(0.9844)	(0.9470)
q0.SexMale	-1.1962	1.1175*
·	(0.6960)	(0.4727)
q0.FamilySizeSingle	1.3062	1.6307**
	(0.7043)	(0.5852)
alpha.(Intercept)	0.0017***	0.0010**
	(0.0004)	(0.0003)
alpha.Education<= 2 Yr College	-0.0002	-0.0004
	(0.0004)	(0.0003)
alpha.Education>= 4 Yr College	-0.0004	-0.0003
	(0.0003)	(0.0003)
alpha.SexMale	0.0002	0.0007***
	(0.0002)	(0.0002)
alpha.FamilySizeSingle	-0.0002	0.0004
	(0.0002)	(0.0002)
k	0.9385***	0.9247***
	(0.0349)	(0.0314)
AIC	221.1570	242.2796
BIC	280.3581	301.4808
Log Likelihood	-97.5785	-108.1398
Num. obs.	702	702

<sup>\*\*\*</sup>p < 0.001; \*\*p < 0.01; \*p < 0.05