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# Applications of Operant Demand to Treatment Selection I: Characterizing Demand for Evidence-based Practices

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- 15 https://github.com/miyamot0/TreatmentDemandPilot
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21 Abstract

Various treatment approaches have been determined efficacious for improving child 22 behavior outcomes. Despite a variety of evidence-based options, consumers often disregard 23 empirically supported treatments to pursue alternatives that lack empirical support, 24 e.g. fad therapies. The choice to pursue therapies lacking empirical support has been 25 considered as a 'gamble' on the rapeutic outcomes and this form of risky choice has 26 historically been explained through various cognitive heuristics and biases. This report translates quantitative analyses from operant demand to characterize how caregivers of children with behavioral issues consume treatment services. The operant demand approach is presented, its utility for characterizing patterns of treatment consumption is discussed, and cross-price analyses of demand are applied to evaluate how various factors influence treatment-related decisions. Results indicated that caregivers endorsing interest in receiving behavioral parent training regularly pursued pseudoscientific alternatives as a 33 substitute for an established therapy, despite explicit language stating a lack of evidence. 34 These findings question the presumption of rationality in treatment choice as well as the 35 degree to which scientific evidence influences the consumption of specific therapies. This report ends with a discussion of Consumer Behavior Analysis and how quantitative 37 analyses of behavior can be used to better understand factors that help or hinder the dissemination of evidence-based practices. 39

Keywords: behavioral economics, demand, substitution, evidence-based practices, pseudoscience, consumer behavior analysis

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45 Introduction

The APA Presidential Task Force on Evidence-Based Practice (2006) has defined 46 Evidence-based Practices (EBPs) as "...the integration of the best available research with 47 clinical expertise in the context of patient characteristics, culture, and preferences (p. 273)." Broadly, a focus on EBPs reflects a commitment to align clinical services with the approaches and procedures that are most supported by credible and scientific evidence (Newsom & Hovanitz, 2015). In the context of developmental and child behavior issues, 51 various practices have been determined to be empirically supported for improving specific 52 outcomes (Chambless et al., 1998; National Autism Center, 2015; Woody et al., 1996). 53 Although highlighted here in the context of child behavior therapies, it warrants noting that commitments to EBPs are typically observed in most clinical fields, including 55 pediatrics (American Academy of Pediatrics, 2017), speech and language pathology 56 (American Speech-Language-Hearing Association, 2005), and healthcare more broadly 57 (Evidence-Based Medicine Working Group, 1992).

# "Alternatives" to Evidence-based Practices

Not all practices marketed to families experiencing undesired child behavior are supported by strong evidence (i.e., complementary and alternative treatment options).

Practices marketed to caregivers may lack scientific evidence of efficacy, or worse, have a documented risk of harm (Food and Drug Administration, 2019). Such dangerous and questionable services exist for the treatment of various developmental and behavioral disorders; however, these tend to be marketed most heavily towards families of children diagnosed with Autism Spectrum Disorder (ASD) (Travers et al., 2016). Indeed, the range of 'fad' and pseudoscientific services marketed to the ASD population and their families

has been considerable and has included practices such as Auditory Integration Training
(Dawson & Watling, 2000), Sensory Integration Therapy (Lang et al., 2012), various
mineral supplements and dietary restrictions (Trudeau et al., 2019), chelation therapy
(Davis et al., 2013), hyperbaric oxygen therapy (Jepson et al., 2011), and Facilitated
Communication (Mostert, 2001), along with its derivative, the Rapid Prompting Method
(Hemsley, 2016).

The proliferation of practices lacking strong evidence is not a recent development 74 and these alternatives to EBPs have previously been described in ways such as "scientifically questionable" treatments (Lilienfeld, 2005), as "fads" or "controversial" treatments (Foxx, 2008), or as forms of pseudoscientific thinking outright (Normand, 2008). Regardless of the specific term used to describe the consumption of these practices, each refers to an instance where services are pursued despite a limited degree (or total lack) of 79 scientific evidence. These services are marketed heavily towards families of children with developmental and behavioral disorders and often result in families adopting such practices 81 at levels that exceed (or completely replace) EBPs (Green et al., 2006). Put simply, these 82 alternative approaches seem to be consumed as if they were equivalent or superior 83 replacements to EBPs (i.e., substitutes). This alarming trend is also reflected in professional decision-making, with educators of children in early childhood (Stahmer et al., 2005) and the public school system (Hess et al., 2008) endorsing high levels of these practices as well.

# 88 (A)Rational Treatment Choice

The enduring demand for alternative therapies that lack scientific support naturally evokes questions regarding the factors that drive treatment choices. The rational assumption holds that decision-makers would allocate greater resources to the prospects that have the greatest likelihood of returns. EBPs are more associated with positive and reliable returns and thus should be consumed most readily and at higher levels. Viewing

caregivers and families as consumers and treatments as investments in future health and wellness, classical economic assumptions hold that agents should respond in ways that 95 maximize their expected utility or benefit (Strotz, 1955). Per classical economic reasoning, the rational actor should disregard inferior prospects that are associated with suboptimal 97 or questionable benefits (i.e., poor return on the resources invested). However, deviations 98 from these 'rational' choices are quite common (Ainslie, 1974, 1992) and this perspective, Rational Choice Theory (RCT), fails to account for these phenomena. Herrnstein (1990) 100 provided an exposition on the many issues associated with RCT and its limited utility in 101 explaining real-world choices. They noted that RCT succeeds in describing how agents 102 should make choices (i.e., to maximize utility) but fails to predict how agents actually 103 make choices. 104

Revisiting choice in the context of selecting behavior therapies, let us apply RCT to 105 a hypothetical agent selecting from one of several treatment options for addressing their 106 child's undesirable behavior. In this scenario, the choice is between an established EBP 107 (e.g., Applied Behavior Analysis) and some alternative that clearly lacks scientific support 108 (e.g., a fad or pseudoscientific behavior therapy). The rational agent would scrutinize the 109 strength and degree of support for each form of therapy, and it stands to reason that they 110 would choose the option associated with higher levels of efficacy (e.g., improvements in 111 behavior). However, revisiting the concerns noted in Herrnstein (1990), RCT and 112 assumptions of rationality provide a better description of how we should behave but serve 113 as a poor framework for predicting how individuals actually make choices. As such, this 114 calls into question to what extent differences in the degree of scientific evidence influence 115 choices in child behavior therapies. 116

#### Factors Associated with "Alternative" Treatment Choices

Researchers have explored how various factors contribute to the consumption of alternative (i.e., suboptimal) treatment approaches. Smith (2015) highlighted various

strategies used to advertise the purported benefits of these approaches. Specifically, 120 vendors of these approaches often use language that obscures the actual, likely effect(s) of 121 the treatment. For example, the language included in these advertisements often includes 122 vague and non-specific indicators of improvement that are difficult or impossible to 123 quantitatively refute (e.g., increased 'focus,' 'attending'). Additionally, these practices use 124 language that emphasizes ease and immediacy, which are contrasted with EBPs that 125 generally entail substantial time, effort, and resources to implement as designed. As such, 126 the emphasis here is placed not on evidence (i.e., treatment efficacy) but instead on ease 127 and immediacy—dimensions of reinforcement associated with greater efficacy and relative 128 preference. It warrants noting that reinforcer efficacy and treatment efficacy are distinct 129 concepts, with treatment efficacy representing distal effect(s) of treatment choices (e.g., 130 child behavior improvement, outcomes) and reinforcer efficacy the proximal contingencies 131 related to implementation (i.e., immediate consequences of implementation). 132

Beyond the use of vague and misleading language, Foxall (2004) posited that 133 consumption can be maintained by a convergence of multiple reinforcement contingencies. 134 Consumer Behavior Analysis highlights the relevance of both Utilitarian (UR) and 135 Informational Reinforcement (IR) contingencies (Foxall, 2001). Briefly, UR contingencies 136 closely relate to the traditional definition of reinforcement whereby the putative effect on 137 behavior is a direct result of consuming the reinforcer (e.g., edibles). Alternatively, IR 138 contingencies represent those mediated by members of the verbal community as a function 139 of consuming specific goods or services (e.g., signaling status). To better illustrate the two, 140 let us consider the social contingencies (informational) that differ when consuming 141 economy versus luxury clothing. Controlling for size and features, both economy and 142 luxury clothing offer comparable utilitarian contingencies because, functionally, they both 143 provide the same direct result (i.e., protection from elements, warmth). However, the two 144 differ in informational contingencies because the consumption of premium and luxury 145 goods is much more associated with greater levels of recognition and praise by peers (i.e.,

the verbal community). Revisiting child behavior treatment, various 'fads' (e.g., fidget spinners) demonstrate spurious effects on behavior (i.e., low utilitarian value) but members of the verbal community often recognize and praise such patterns of consumption (e.g., status signaling, both in-person and via social media). Viewed across these dimensions, "alternative" treatment practices may not require any degree of utilitarian value at all to reach and sustain high levels of consumption and adoption.

# Elucidating "Alternative" Treatment Choice

Experimental research with human and non-human animals has developed and 154 applied procedures that elucidate deviations from maximized utility, i.e. "irrational" 155 choices (Ainslie, 1974; Ainslie & Herrnstein, 1981). Experimental methods emerging from 156 Operant Behavioral Economics have revealed that organisms regularly deviate from rational choices and tend to demonstrate a relative preference for immediate and lesser 158 prospects over optimal ones, which are typically delayed and may be uncertain. This 159 phenomenon, discounting, is one of several frequently evaluated in the Operant Behavioral 160 Economic framework (Hursh, 2014; Reed et al., 2013). Discounting has been explored in 161 the context of various treatment situations, such as the choice of whether or not to pursue 162 vaccination (Jit & Mibei, 2015), to continue or discontinue effective behavior therapy 163 (Swift & Callahan, 2010), and whether to disregard optimal, but delayed behavior 164 management strategies (Gilrov & Kaplan, 2020). 165

Methods designed to elucidate patterns of suboptimal choice (i.e., discounting)
typically present choices to participants in a dichotomous manner (e.g., Larger, Later
vs. Smaller, Sooner). In these procedures, prospects vary across one or two dimensions
(e.g., delays, magnitude) and this is highly effective for isolating the effects of certain
aspects of choice. However, choices take place in complex environments and the

<sup>&</sup>lt;sup>1</sup> We note here that Consumer Behavior Analysis is a highly related perspective that is also subsumed under the greater Operant Behavioral Economic framework.

dichotomous nature of this format fails to account for the various relations that exist 171 between reinforcers (e.g., complementary, substitutional relations) (Hursh, 1980). For 172 instance, consider the treatment programming for a young child diagnosed with ASD. 173 Caregivers of children diagnosed with this disorder typically report consuming a wide range 174 of different behavior therapies, concurrently, each at varying degrees (Goin-Kochel et al., 175 2007; Green et al., 2006). In a survey of caregiver treatment choices, Green et al. (2006) 176 found that caregivers of children with ASD, on average, endorsed the use of up to eight 177 behavior therapies at a time. Given that treatment choices are rarely dichotomous (i.e., 178 just Treatment A or just Treatment B) and because relations likely exist between 179 treatments, the delay discounting framework fails to account for the possible interactions 180 between treatment choices. 181

Within the Operant Behavioral Economic framework, the demand methodology 182 provides a means of analyzing patterns of consumption under various constraints, e.g. time, 183 limited resources (Hursh & Silberberg, 2008; Kagel & Winkler, 1972; Rachlin et al., 1976). 184 Rather than presenting choices as dichotomous (i.e., which treatments), consumption is 185 indexed continuously across alternatives (i.e., how much of each treatment). In a 186 hypothetical experiment related to treatment choice, a caregiver might endorse the 187 consumption of Therapy A for five hours/week on average, Therapy B for four hours/week 188 on average, and Therapy C for one hour a week on average—each consumed at a different 189 price. The operant demand framework supports an analysis of how pricing, the availability 190 of alternatives, and various other factors can influence the consumption of certain services 191 (e.g., EBPs). 192

Operant demand methods are well-suited to characterizing the consumption of
behavior therapies for several reasons. First, researchers can evaluate the bliss point
consumption of specific goods or services. That is, the consumer's overall level of demand,
if the price was no object, can be modeled directly and used as an index of its hedonic
value (Hursh & Silberberg, 2008). This is useful for comparing the demand for specific

services across individuals and arrangements (e.g., EBPs, recommended treatments). 198 Additionally, researchers can evaluate how strongly consumers would defend their levels of 199 consumption of services when prices increase or when other treatment alternatives become 200 available (Hursh, 2000). When we speak of defending consumption, we refer to the degree 201 to which the consumer remains committed to their base level consumption of some 202 treatment service before either ceasing that consumption (i.e., terminating therapy) or 203 substituting that consumption with some alternative (e.g., fads, alternative therapies). For 204 instance, a high level of demand would indicate that agents were willing to endure the 205 burden of high costs to maintain their base levels of EBP consumption. Alternatively, a 206 low level of defense would mean that agents quickly decrease/cease their consumption of 207 EBPs when relatively minor increases in price/effort are encountered. This sensitivity to 208 changes in price (i.e., rate of change in elasticity) is captured in models via a rate parameter in the demand curve (Gilroy et al., 2020; Hursh & Silberberg, 2008). For 210 convenience, the original Exponential model of operant demand outlined in Hursh and 211 Silberberg (2008) is listed in Equation 1 below:

$$log_{10}Q = log_{10}Q_0 + k(e^{-\alpha Q_0 P} - 1)$$
(1)

In this exponential decay model, consumption (Q) is modeled as a function of price 213 (P). As mentioned previously, Q0 represents the bliss point and the  $\alpha$  parameter reflects 214 the sensitivity to price standardized to intercept levels. The range of consumption is 215 constrained by the parameter k. In addition to characterizing the demand for behavior 216 therapies, the operant demand approach can be used to quantify relationships that exist between different types of commodities and how they are consumed in tandem (Hursh & 218 Roma, 2013). For example, decision-makers may consume certain treatments together (i.e., the treatments complement one another), consume certain treatments only as a 220 replacement to others (i.e., one treatment substitutes the other), or the consumption of 221 treatments may be completely independent of one another (Hursh & Roma, 2016). Such 222

relationships are particularly useful for characterizing choices for behavior intervention
because it is unclear how caregivers arrive at specific combinations of behavior treatment.

For instance, this approach can be used to quantify how families consume and defend their
consumption of EBPs in the presence and absence of "alternatives" that differ in levels of
empirical evidence or treatment efficacy. Similarly, this approach can be used to determine
whether "alternative" treatments are consumed as substitutes to EBPs, as complements, or
if the consumption of the two appears to occur independently of each other.

#### 230 Research Goals

The purpose of this study was to evaluate factors associated with the consumption 231 of child behavior therapies (e.g., EBPs, alternative treatments). Two Hypothetical 232 Treatment Purchase Tasks (HTPTs) were developed to evaluate the consumption of various 233 treatments when each varied in terms of their level of supporting evidence. Methods from 234 operant demand were applied to quantify the patterns of consumption observed when 235 EBPs were available alone (closed economy) and accompanied by an alternative therapy 236 (open economy). The overall demand for EBPs was evaluated alone as well as with 237 cross-price analyses to quantify the relationship between EBPs and alternative therapies 238 (e.g., complements, substitutes). 230

240 Methods

#### 41 Participants

A total of 62 caregivers of children endorsing child behavior concerns as well as interest in pursuing behavioral therapy were recruited using the Amazon Mechanical Turk platform (MTurk). Briefly, MTurk is a crowdsourcing platform where "workers" (i.e., participants) meeting requisite criteria complete various tasks for "requesters" (i.e., researchers) and are compensated for their work (Chandler & Shapiro, 2016). The task was made available to workers on the MTurk platform if they met the following criteria: 1)

completion of at least 1,000 total tasks; 2) maintained an overall 99% approval rating for

their submitted work; 3) and resided in the United States. These requirements are

consistent with recommended practices for gathering "crowdsourced" participant data and

previous applications (Chandler & Shapiro, 2016). Eligible workers completed a survey

designed using the Qualtrics Research Suite.

### 253 Criteria for Inclusion

All study methods and instruments were approved by the Louisiana State 254 University Institutional Review Board. The initial portion of the research instrument 255 evaluated whether the caregivers were eligible to participate. Prospective participants had 256 to have been caring for at least one school-aged child in a custodial role and endorsed some 257 level of concern regarding their child's behavior (i.e., enough to consider behavior therapy). 258 Caregivers endorsing that they either had no children, no child behavioral concerns, or no 250 interest in pursuing child behavior therapies were subsequently informed that they were 260 not eligible to participate in the study. Once determined ineligible, workers were unable to 261 re-attempt the study (i.e., individual worker IDs were logged and screened from subsequent batches). After the survey, participants who completed all measures were provided with a unique string which was then submitted to the MTurk portal to complete the HIT and 264 received a \$1.00 payment for the approximately 10 min task, i.e. consistent with 265 recommended payment guidelines; see Chandler and Shapiro (2016). 266

# 267 Systematicity of Demand Data

Responses collected using the MTurk platform were evaluated for indicators of systematic responding (i.e., non-random patterns of choice). Criteria for systematic responding on Hypothetical Purchase Task data were first proposed in Stein et al. (2015) and these were designed to assess three indicators of systematic demand data. First,

'trend' refers to the global direction of consumption and the expected form of consumption 272 is a decreasing trend as prices increase (i.e., from low to high prices). Second, 'bounce' 273 refers to the local direction of consumption as prices increase. That is, consumption should 274 not be low at one price only to be followed by high consumption at the next highest price. 275 Third, 'reversals from zero' speak to instances where non-zero consumption is reported 276 after zero consumption is endorsed at a lower price. That is, it would be unexpected to 277 consume 0 service units at \$100/hour and then subsequently report consumption of 2 278 service units at \$250/hour. These indicators were assessed using methods included in the 279 beezdemand software package (Kaplan et al., 2019) in the R Statistical Program (R Core 280 Team, 2021). Combined, these indicators of responding provide a level of data validation 281 when using crowdsourced data and data meeting all indicators were carried forward into 282 the final analyses.

# Hypothetical Treatment Purchase Task (HTPT)

Caregivers eligible to participate in the study completed two HTPTs—one with 285 EBPs available alone and another with EBPs accompanied by a mock Alternative Therapy 286 (EBP+AT). In each HTPT, participants were allotted a hypothetical budget of up to 287 \$5,000 per week to spend towards child behavior services with a maximum of 20 hours 288 available for treatment. The overall budget and price points were formed around an 280 approximated hourly rate of 200 USD and a standard deviation of 50 USD. Participants 290 were informed that if they did not spend the funds on treatment the remaining money 291 could not be directed elsewhere or saved. Similarly, both treatments were described as 292 parent-training programs and each was framed in terms that indicated equal effort and time 293 commitments. In both HTPTs, the prices per unit (i.e., hour of service) for the EBP were \$50, \$100, \$150, \$200, \$250, \$300, \$400, \$500, \$750, \$1000, \$2000, \$3000, and \$5000 per hour. Prices for the EBP were identical across both the EBP and the EBP+AT HTPTs.

### Alone-Price Demand for EBPs (EBP HTPT)

The EBP HTPT was designed to elucidate caregiver choice when only EBPs were 298 available. The EBP presented here was derived from established behavioral principles of 299 punishment and reinforcement (see Appendix). The vignette presented to the participant 300 explicitly stated that the EBP was strongly supported by empirical research and caregivers 301 were instructed to imagine that their child's primary care physician would highly 302 recommend this approach based on credible and scientific evidence. Alone-price demand 303 for EBPs was assessed across each of the prices listed in the section above. At each price 304 point, participants could elect to spend as much or as little time and money toward these services as they preferred or could afford. If participants endorsed preferences beyond those constraints (e.g., over 20 hours, over \$5,000) they were subsequently prompted to spend within their budget before they could proceed to the next price point or task.

# 309 Own-Price Demand for EBPs (EBP+AT HTPT)

The EBP+AT HTPT was designed to evaluate patterns of choice across EBPs and 310 ATs. This task included the same prices, budget, and EBP from the EBP HTPT but also 311 featured an AT option that was available at a fixed price (\$100/hour). That is, both an 312 EBP and an AT were concurrently available in any combination desired by the caregiver. 313 The AT described here was a mock pseudoscientific treatment termed 'Positive Attachment 314 Therapy.' In addition to the vignette for the EBP, a second vignette was presented to the 315 caregiver specific to the AT (see Appendix). In this vignette, the AT was described as a 316 therapeutic approach for challenging behavior using 'therapeutic embrace' as the 317 underlying mechanism of behavior change-similar to the basis for Gentle Touch (Bailey, 1992). Additionally, the vignette explicitly stated that the AT did not have scientific 319 evidence supporting its use, and caregivers were instructed to imagine that their child's 320 primary care physician recommended against this approach due to its lack of scientific 321

evidence. Consistent with the EBP HTPT, participants could spend as much time and/or money towards treatment(s) given time and cost constraints.

#### 324 Analytical Plan

Caregiver consumption of EBPs and FPTs across both HTPTs was evaluated using 325 the Zero Bounded Exponential (ZBE) model of demand (Gilroy et al., 2021). Briefly, the 326 ZBE model is an extension of the original Exponential model of operant demand (Hursh & 327 Silberberg, 2008) with a modified scale (Inverse Hyperbolic Sine) that optionally supports a true lower bound at zero consumption. Specifically, the ZBE model has a form to 329 accommodate non-zero lower asymptotes (i.e., not at zero; Equation 2), zero asymptotes 330 (i.e., reaching true zero; Equation 3), and when demand is purely inelastic (i.e., demand 331 essentially flat; Equation 4). Each variant exists in the same scale (IHS) and models can be 332 evaluated using traditional model selection procedures (e.g., Sum of Squares F-test). 333 Specifically, Eq. 3 and Eq. 4 were considered restricted forms of Eq. 2 and the complexity 334 of the final model was determined prior to performing further analysis. The various forms 335 of the ZBE model are illustrated below: 336

$$IHS(Q) = IHS(Q_0) + k(e^{-\alpha Q_0 P} - 1)$$
 (2)

$$IHS(Q) = IHS(Q_0) + k(e^{-\frac{\alpha}{IHS(Q_0)}Q_0P} - 1)$$
 (3)

$$IHS(Q) = IHS(Q_0) \tag{4}$$

The ZBE model was used to evaluate a participant's consumption in units of therapy (Q) as prices (P) ranged from low to high. In this framework, the span of the demand curve  $(k \text{ [Eq. 2] or } Q_0 \text{ [Eq. 3]})$  reflects the range of modeled consumption in IHS units and this was determined via parameter estimation. Parameter  $\alpha$  reflects the overall intensity of demand as prices approach a price of zero (and potentially the full span; Equation 3) and  $\alpha$  is an index of the overall sensitivity of Q to P. In contrast to the Exponential model of demand,  $\alpha$  is normalized in units of Q0 to support comparisons in the absence of an explicit span parameter (Gilroy et al., 2021). Unless noted otherwise, all model fitting was performed using the R Statistical Program (R Core Team, 2021). All analytical syntax and study data have been included as supplemental materials and are hosted in a repository managed by the corresponding author.<sup>2</sup>

# ${\it Alone-/Own-Price\,\, Demand\,\, for\,\, EBPs}$

The alone-and own-price demand for EBPs was evaluated using the ZBE model of 349 operant demand. Model selection was performed using the levels of reported consumption 350 across prices for all participants. The best performing model was then applied using a 351 generalized nonlinear least squares approach (Pinheiro et al., 2014) to evaluate the 352 influence of various covariates (e.g., gender, income). Although measures of demand 353 elasticity ( $\alpha$ ) may be determined via differentiation (Gilroy et al., 2020), elasticity for each 354 fitted model was determined by optimizing the peak levels of responding on the natural 355 scale (Gilroy et al., 2021). This quantity  $(P_{MAX})$  was then multiplied by the aggregate  $Q_0$ 356 to yield the peak expenditure on EBPs  $(O_{MAX})$  for both HTPTs. 357

#### $_{358}$ $Cross-Price\ Demand\ for\ ATs$

Demand for EBPs and ATs was evaluated with two different strategies. First, the own-price demand for EBPs was evaluated in the same manner as the alone-price demand approach listed above. Second, Hursh and Roma (2013) previously provided a form of the Exponential model that evaluates the cross-price elasticity of demand for alternatives.

<sup>&</sup>lt;sup>2</sup> Repository is available at <a href="https://www.github.com/miyamot0/TreatmentDemandPilot">https://www.github.com/miyamot0/TreatmentDemandPilot</a>>

However, this approach was not used in this evaluation. Rather, a Generalized Estimating
Equation (GEE) was used to evaluate how various covariates beyond price contribute to
the consumption (or non-consumption) of ATs.

The GEE procedure was selected over the Hursh and Roma (2013) approach for 366 several pragmatic reasons. First, the GEE strategy is flexible and can be adapted to 367 evaluate various factors (e.g., price, demographics) that may be related to reported consumption (i.e., covariates). Second, GEE is similar to multilevel models and often applied in experiments to account for repeated measurements across individuals (Hardin, 370 2005; Kaplan et al., 2020; Kaplan & Koffarnus, 2019). Such an approach avoids issues 371 associated with ordinary least squares regression, e.g., non-independence (DeHart & 372 Kaplan, 2019; Kaplan et al., In Press). Third, similar to the methods proposed in Hursh 373 and Roma (2013), the quantity regressed upon price in the GEE approach captures 374 direction and rate of changes in consumption as the price to consume EBPs change. For 375 instance, a weight of zero ascribed to Price would indicate no changes in AT consumption 376 as prices to consume EBPs increased (i.e., services appear to be consumed independently). 377 Alternatively, a non-zero value would indicate that the consumption of ATs changed in a 378 particular direction in response to changes in the price for EBPs. Specifically, a positive 379 value would indicate that the consumption of ATs increased while EBPs decreased (i.e., 380 substitute) and a negative value would indicate the contrary (i.e., complement). 381 Additionally, the fitted intercept represents an indicator of the AT's baseline hedonic value. 382 Lastly, the GEE approach fares better in cases where the span parameter I in the Hursh 383 and Roma (2013) model approaches zero, and the reciprocal nature of the I and  $\alpha$ 384 parameters occasionally leads to highly inflated and questionable estimates.

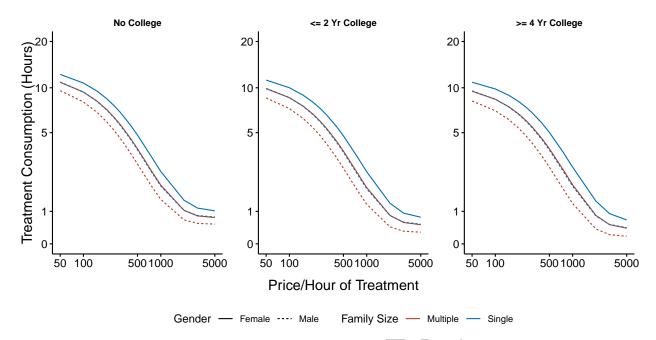


Figure 1

Alone-Price Demand for Evidence-based Practices

Results

# Alone-Price Demand for EBPs (EBP HTPT)

A total of 63 participants completed the survey and 54 met all criteria for 388 systematic purchase data across both HTPTs (85.71%). The demographics of included 389 participants are listed in Table 1. The alone-price demand for EBPs using mean 390 consumption levels was evaluated using each of the ZBE models prior to analysis. Model 391 comparisons revealed that the 3-parameter ZBE model better characterized the data than 392 the two-parameter (F[1, 699]=17.72, p<0.001) and one-parameter alternatives (F[2, 699]=17.72, p<0.001) 393 699/=319.53, p<0.001). The 3-parameter form of the ZBE model was used to estimate  $Q_0$ 394 and  $\alpha$  across reported levels of education (no college, some/junior college, 4+ year degree), 395 gender (male, female), and family size (single, multiple children). The separate span 396 parameter was estimated globally, and thus, shared across all participants. The results of 397 this regression are listed in Table XXXX and displayed in Figure 1. Model fits indicated a

main effect for education, whereby caregivers with a four-year college degree or more reported significantly lower baseline levels of EBP consumption than individuals without a college education ( $Q_0$  [Education >= 4 Yr. College]=-1.94, T=-1.97, p<0.05). Population-level predictions revealed a peak expenditure ( $O_{MAX}$ ) of 1856 USD towards EBPs, which occurred at a price ( $P_{MAX}$ ) of 371.12 USD per unit hour of therapy.

## 404 Own-Price Demand for EBPs (EBP/AT HTPT)

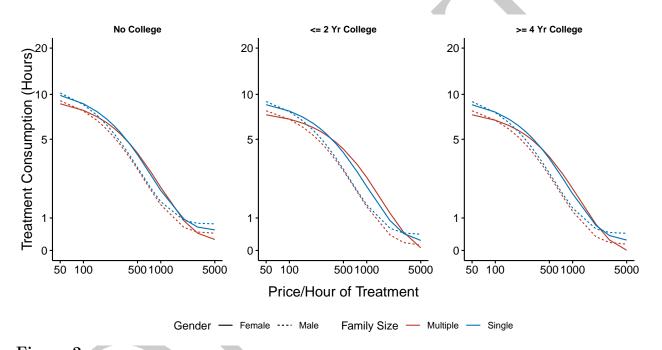


Figure 2

Own-Price Demand for Evidence-based Practices

Model comparisons revealed that the 3-parameter form of the ZBE model better characterized own-price demand for EBPs than the two-parameter (F[1, 699]=7.16, p=0.008) and one-parameter alternatives (F[2, 699]=290.08, p<0.001). The 3-parameter form of the ZBE model was used to estimate  $Q_0$ ,  $\alpha$ , and k parameters in the same manner as in the Alone-Price demand for EBPs. The results of this regression are listed in Table XXXX and displayed in Figure 2. Model fits indicated that men demonstrated higher baseline levels of EBP consumption than women when an alternative therapy was available

 $(Q_0 \ [Male] = 1.12, \ T = 2.36, \ p < .05).$  However, men demonstrated greater sensitivity to changes in prices than women ( $\alpha \ [Male] = 0.00, \ T = 3.54, \ p < .0001$ ). Further, results indicated that caregivers of a single child demonstrated greater baseline levels of EBP consumption than those who cared for multiple children ( $Q_0 \ [Single] = 1.63, \ T = 2.79, \ p < .01$ ). Population-level predictions revealed a peak expenditure ( $Q_{MAX}$ ) of 1,719.3 USD towards EBPs, which occurred at a price ( $Q_{MAX}$ ) of 522.65 USD per unit hour of therapy.

# 418 Cross-Price Demand for ATs (EBP/AT HTPT)

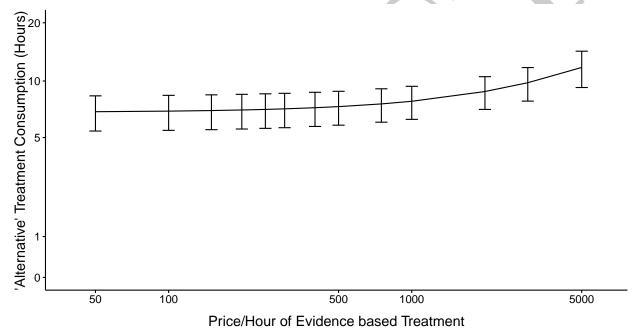


Figure 3

Cross-Price Demand for Alternative Therapy

The cross-price demand for ATs was evaluated using GEE with an exchangeable correlation structure and model comparisons were performed using the QIC metric included in the *MuMin* R package (Barton, 2015). Briefly, the QIC value is an indicator frequently used to select the best performing model and correlation structure when comparing various modeling options in GEE (Pan, 2001). As noted in Pan (2001), the QIC metric is derived

from the Akaike Information Criterion (AIC) (Akaike, 1974) but has been modified to support GEE because this procedure is not based on Maximum Likelihood Estimation.

The GEE was applied using the geeglm method included in the geepack R package (Halekoh et al., 2006). Factors in the GEE fitting included Price (of EBP), Gender (Men, Women), Family Size (Single, Multiple Children), and Education (i.e., No College, <=2 Yr College, >=4 Yr College) and all possible interactions. Model selection using QIC favored the model with Price as the sole factor associated with the consumption of ATs ( $\alpha$  [\*Price]\* = 0.00, W = 0, p<0.001. Overall, results indicated that caregivers substituted ATs for EBPs as the price to consume EBPs increased. No other demographic factors were significantly related to levels of AT consumption.

434 Discussion

Terms such as "evidence-based" and "empirically-supported" are labels used to 435 identify therapies and approaches found to be efficacious or at least probably efficacious 436 (Chambless et al., 1998). These designations aid in communicating the relative efficacy of 437 specific treatments as well as in advocating for the use of these approaches over dubious 438 alternatives. However, despite an established body of evidence supporting EBPs, fad and 439 pseudoscientific therapies maintain high levels of adoption. Indeed, certain "alternative" 440 therapies have persisted for decades despite a consistent lack of support, and worse, those clearly discredited following careful scientific study have re-emerged at later times in re-branded forms.<sup>3</sup> Given the relatively limited value associated with being labeled as 443 having scientific evidence (i.e., evidence-based), this naturally prompts further inquiry into the factors that influence consumer choice for treatment.

<sup>&</sup>lt;sup>3</sup> Interested readers should consult Travers, J. C., Ayers, K., Simpson, R. L., & Crutchfield, S. (2016). Fad, pseudoscientific, and controversial interventions. In *Early intervention for young children with autism spectrum disorder* (pp. 257-293). Springer. for a review of the decline and return of Facilitated Communication.

This study applied an operant behavioral economic interpretation of treatment 446 choice when multiple behavior therapies were concurrently available to caregivers. The 447 approach used here expands upon earlier work in that it permits researchers to evaluate 448 how certain forms of treatment consumption relate to one another. Results indicated that 449 caregivers regularly and overwhelmingly reported that they would pursue "alternative" 450 therapies as functional substitutes for EBPs, despite being told explicitly that the 451 "alternative" lacked credible evidence that it would provide benefit. Even further, 452 participants were told to imagine that their family doctor actively advocated against it. 453 Throughout the experiment, the scientific evidence of efficacy did not emerge as a factor 454 that swaved consumers from "alternative" treatments. 455

Although unsettling, this pattern of consumption (i.e., substituting ATs with EBPs) 456 is consistent with an Operant Behavioral Economic view of individual choice. That is, 457 findings from behavioral science have found that caregivers rarely commit to the most 458 optimal prospects and instead make choices based on delay to treatment effects (Call, 459 Reavis, et al., 2015; Gilroy & Kaplan, 2020) or prior treatment experience (Call, Delfs, et 460 al., 2015). That is, scientific evidence has rarely emerged as the sole factor that drives 461 treatment-related choices made by caregivers. Although studies such as Call, Delfs, et al. 462 (2015), Gilroy and Kaplan (2020), and Call, Reavis, et al. (2015) have arrived at similar 463 findings, these works have applied either a descriptive or a discounting-based approach to 464 evaluate this manner of decision-making. Here, we advocate for the use of the operant 465 demand framework over other methodologies for several reasons. First, this approach is 466 well-suited to represent the complex and rapidly changing landscape of services available to 467 consumers. Results indicated that the overall demand for EBPs substantially decreased 468 when just one AT was available, whereas it is plausible that this trend might be 469 exacerbated when multiple ATs are concurrently available. The approach used here can be 470 extended to evaluate overall patterns and trends in service use when a variety of treatment 471 approaches are available. Second, demand curve analyses support the evaluation of

consumption as a function of price (as well as other relevant factors), and results from 473 these analyses may be useful in guiding policy (Hursh & Roma, 2013). For example, the 474 demand methodology could be used to evaluate which pricing arrangements most support 475 the consumption of efficacious treatments (i.e., EBPs) and discourage the use of unsafe, 476 ineffective, and predatory alternatives (i.e., ATs). Findings here indicated that the 477 availability of a single fad or "alternative" treatment substantially decreased the baseline 478 consumption of EBPs (~11 units @ 50 USD/hr) when compared to when EBPs were 470 available alone (~13 units @ 50 USD/hr). This empirical approach to public policy has 480 been demonstrated in the use of targeted taxes to discourage unhealthy choices, such as 481 ultraviolent tanning (Reed et al., 2016) and cigarette use (MacKillop et al., 2012; Pope et 482 al., 2020), and encourage sustainable practices (e.g., "green" consumerism) (Kaplan et al., 483 2018). However, it warrants noting that further refinement of this approach will be 484 necessary before such an approach could inform healthcare policies. That is, the purpose of 485 the current study was an initial investigation into whether the demand and substitution framework could be applied to the societally important issue of treatment consumption. To 487 move towards more direct policy implications, future tasks would need to use more precise 488 pricing structures, use budgets tailored to individual households, and use more specific 489 treatments with information reflective of what is normally provided to caregivers. 490

Findings from this study naturally evoke questions regarding how to advocate most 491 effectively for EBPs and discourage the use of unproven, and potentially unsafe, ATs. 492 Current attempts to educate or persuade caregivers against ATs focus heavily on consulting 493 the research literature; however, reviews of evidence alone appear unlikely to convince 494 caregivers to allocate their resources (or even a proportion of resources) towards EBPs. As 495 most clinicians would likely attest, advocating for EBPs is not so simple as stating "...but the research says" and future attempts to advocate for EBPs warrant a more sophisticated 497 and targeted approach. Indeed, emerging methodologies such as Consumer Behavior 498 Analysis (Foxall et al., 2007, 2010; Foxall, 2017) hold particular promise in evaluating how

multiple dimensions of behavioral contingencies each influence the consumption of good and services.

#### 502 Limitations

Although the interpretation provided here is consistent with behavioral economic 503 concepts and methods, several limitations warrant noting. First, the tasks presented here 504 were hypothetical and to what degree these results correspond with how caregivers would 505 spend actual time and resources is unknown. Although hypothetical, these types of tasks have been found to capture choices that are similar to real-world choices and offer greater safety because participants are not exposed to potentially unsafe or ineffective contingencies (Roma et al., 2017). Second, the methods used here evaluated choice using a 509 relatively limited array of treatment options (i.e., one EBP, one AT). As such, future 510 efforts will need to expand upon this methodology and refine the scope and range of 511 therapies available to caregivers. Third, the vignettes included in this HTPT were designed 512 to produce a context in which most caregivers consulted an individual qualified to interpret 513 scientific evidence (i.e., child's pediatrician). Although this avenue is broadly relatable, 514 caregivers regularly receive information regarding child behavior therapies from various 515 sources (e.g., social media, neighborhoods; informational contingencies). As such, 516 additional evaluation using methods and concepts derived from Consumer Behavior 517 Analysis could be beneficial in further extending the breadth of contingencies that support 518 these choices. Lastly, this study served as an initial evaluation of the operant demand 519 framework with treatment-related decision-making. As such, further evaluation with 520 broader and more representative sampling is necessary in future demonstrations (e.g., more 521 tailored budgets, vignettes, available treatment options). Notwithstanding these 522 limitations, this study represents a successful, initial application of the operant demand framework to how caregivers make treatment-related choices for their children.

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Table 1
Participant Demographics

Participant Demographics (n = 54)

Tarticipant Demographics (II = 54)				
Age (years)		Number of Children		
Mean (SD)	38.7 (9.6)	Median (Q1-Q3)	2 (1-2)	
Median (Q1-Q3)	38 (30.2-44.8)	Mean (SD)	1.85 (0.96)	
$\underline{\mathbf{Sex}}$		Education		
Male	28 (51.9%)	High School graduate	11 (20.4%)	
Female	26 (48.1%)	Some college but no degree	8 (14.8%)	
Income		Associate degree	10 (18.5%)	
Q1	30,250 USD	Bachelor's degree	21 (38.9%)	
Median	50,000 USD	Master's degree	4(7.4%)	
Q3	82,500 USD	Race/Ethnicity		
Behavior Concern		African-American	3~(5.5%)	
A little	26 (48.1%)	Asian	7~(13.0%)	
A moderate amount	11 (20.4%)	Hispanic/Latinx	1 (1.8%)	
A lot	12~(22.2%)	White/Caucasian	42 (77.8%)	
A great deal	5 (9.2%)	Native American	1 (1.8%)	
Marital Status				
Single	12~(22.2%)			
Married	39~(72.2%)			
Divorced	3 (5.5%)			