

Topics: Maximum and minimum values, Extreme Value Theorem, local and absolute extrema, critical points, Closed Interval Method, First/Second derivative tests, concavity, inflection points, sketching functions

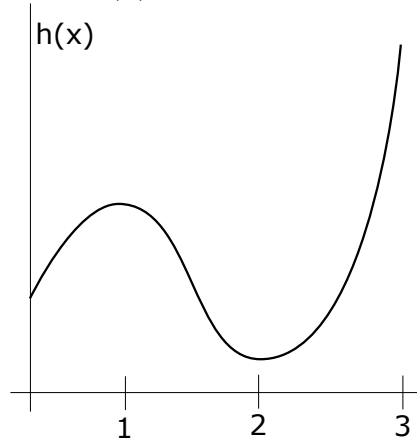
Maximum and minimum values.

Important applications of calculus are *optimization* problems: questions concerned with maximizing or minimizing some quantity. Optimization appears in all fields:

- (Physics/Engineering) Build a bridge that minimizes stresses.
- (Chemistry) Minimizing the energy in an arrangement of atoms in a molecule.
- (Biology/Medicine) Determine a treatment plan for patients that maximizes effectiveness while minimizing dosage.
- (Business) Build a consumer product while maximizing profits.

Although these problems all come from different areas, the mathematics underlying each is the same: find the x that maximizes/minimizes a function output $f(x)$.

Example 1. Suppose you ride a bike from your apartment to TCNJ and your height after x miles is given by $h(x)$:



Where do you achieve a maximum along the route?

If a number a is in a set A then we write $a \in A$ and say that “ a is in A ” or that “ a is contained in A .”

E.g., $x \in [0, 1]$ means that x is in the interval $[0, 1]$: $0 \leq x \leq 1$

$x \in (0, \infty)$ means that $0 < x$.

Definition: Let f be a function with domain D . Let $c \in D$ (c is a number in the domain of f). Then $f(c)$ is the

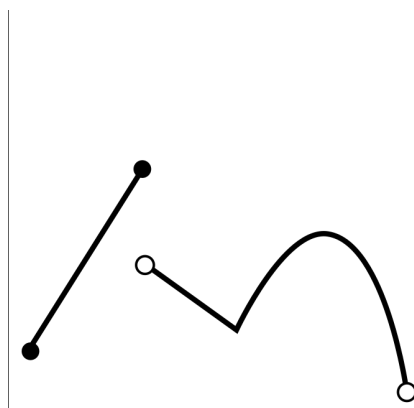
- *absolute/global maximum value* if
- *absolute/global minimum value* if

The number $f(c)$ is a

- *local maximum value* if
- *local minimum value* if

Remark: Local max/min cannot occur on endpoints of domains, since they require checking both left/right sides of c .

Example 2. Label that local/global max and min in the following graph.



How to find local extrema

Example 3. *Is finding local max/min the same as finding where $f'(x) = 0$?*

Critical numbers

Definition: A *critical number* of a function f is a number c in the domain of f such that

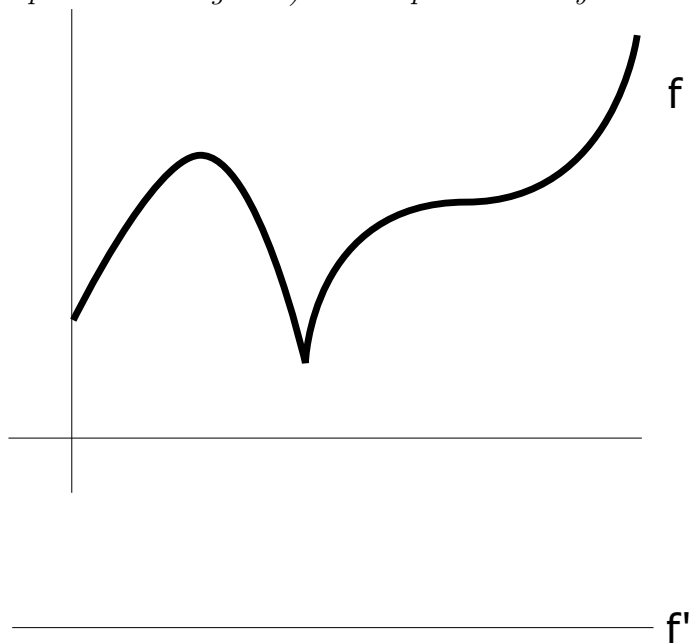
Example 4. *Find the critical numbers of $f(x) = \frac{x^2}{x+1}$.*

Example 5. Find the critical number of the following function:

$$h(t) = t^{3/4} - 6t^{1/4}.$$

Important: Critical numbers are only *candidates* for local max/min! Must do more work to determine if they are max/min/neither.

Example 6. Find all critical numbers of the following function. Then determine the sign of f' on each interval between critical numbers (you don't have to sketch the entire graph of f' , just determine if it is positive or negative). What patterns do you notice?



First derivative test. Suppose that c is a critical number of a continuous function f .

1. If f' goes from _____ to _____ at c , then $f(c)$ is a local _____.
2. If f' goes from _____ to _____ at c , then $f(c)$ is a local _____.
3. If f' _____, then $f(c)$ is _____.

Example 7. Let $f(x) = \frac{1}{4}x^4 - \frac{1}{3}x^3 + 4$. Determine the intervals where f is increasing and decreasing.

Example 8. Using the same $f(x)$, identify the local max and min.

Global max/min

Techniques from the last section let us find all of the local max/min. How do we find the global ones? First, we must determine *when* global ones exist!

Example 9. *Is there a function that is...*

			Y/N
<i>continuous</i>	<i>on domain</i> $(0, 1)$	<i>without a global max and min?</i>	
<i>discontinuous</i>	<i>on domain</i> $[0, 1]$	<i>without a global max and min?</i>	
<i>continuous</i>	<i>on domain</i> $[0, 1]$	<i>without a global max and min?</i>	

The Extreme Value Theorem.

Theorem. (The Extreme Value Theorem) If f is _____ on the _____, then f attains both an _____ at numbers $c, d \in [a, b]$.

Closed interval method

Given a continuous function f on a closed interval $[a, b]$:

1. Find the values of f at its critical numbers in (a, b) .
2. Compute the values $f(a)$ and $f(b)$ (endpoints).
3. Compare all values from steps 1 and 2. The largest value is the absolute maximum and the smallest value is the absolute minimum of f on $[a, b]$.

Example 10. Find the absolute maximum and minimum of

$$f(x) = x^3 - 3x^2 + 1$$

on the interval $[-1/2, 4]$.

Example 11. Use the closed interval method on

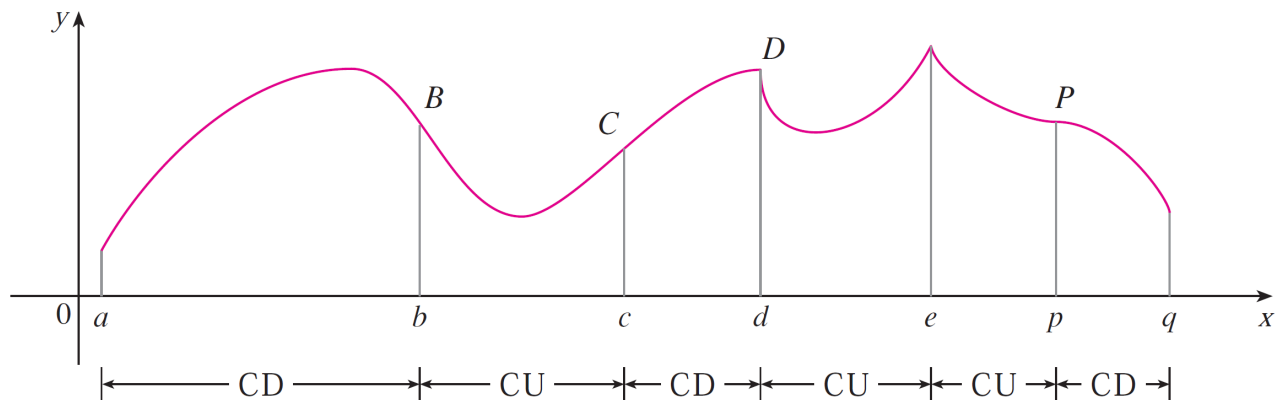
$$\frac{x^3}{x^2 - 1},$$

on the interval $(-1, 1)$. What do you notice?

The Second Derivative.

1. If $f''(x) > 0$ for all $x \in I$ then f is _____ on I
2. If $f''(x) < 0$ for all $x \in I$ then f is _____ on I .

Example 12. *Concavity visual*



A point P on the curve $y = f(x)$ is called an _____ if f is continuous at P and the curve changes from concave upward to downward (or vice versa).

Example 13. *Find intervals of concavity and inflection points of*

$$x^3 - 12x + 2.$$

Example 14. *Sketch a graph of a function f satisfying all of the following conditions:*

1. $f'(x) > 0$ on $(-\infty, 1)$ and $f'(x) < 0$ on $(1, \infty)$.
2. $f''(x) > 0$ on $(-\infty, -2) \cup (2, \infty)$, $f''(x) < 0$ on $(-2, 2)$
3. $\lim_{x \rightarrow -\infty} f(x) = -2$ and $\lim_{x \rightarrow \infty} f(x) = 0$

Difference between inflection points and critical points: Critical numbers are places where $f'(x) = 0$ or f' does not exist. They may or may not correspond to max/min. Inflection points are places where f'' changes sign. It is necessary that $f''(x) = 0$ or $f''(x)$ does not exist, but it is not sufficient.

Example 15. *Suppose a function f has second derivative*

$$f''(x) = (x - 1)^2(x + 2)(x - 3).$$

Find the inflection points and the intervals of concavity.

Example 16. *Sketch*

$$y = \frac{2x^2}{x^2 - 1}.$$