

# PACE 2024: OCMu64, reductions and One-sided Crossing

Ragnar Groot Koerkamp

<sup>1</sup>ETH Zurich, @curious\_coding

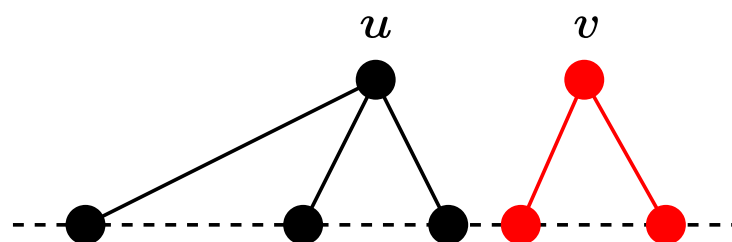
## One-sided crossing minimization

Given is a bipartite graph  $(A, B)$  that is drawn in the plane at points  $(i, 0)$  and  $(j, 1)$  for components  $A$  and  $B$  respectively. The ordering of  $A$  is fixed. The goal is to find an ordering of  $B$  that minimizes the number of crossings when edges are drawn as straight lines.

We use  $<$  to compare vertices in  $A$  in their fixed ordering. For  $u \in B$ , we write  $N(u) \subseteq A$  for the set of its neighbours.

The **crossing number**  $c(u, v)$  is  $\sum_{(a,b) \in A^2} u(a)v(b)[a > b]$ , the number of crossings between edges incident to  $u$  and  $v$  when  $u$  is drawn before  $v$ . For  $X, Y \subseteq B$  we set  $c(X, Y) = \sum_{x \in X} \sum_{y \in Y} c(x, y)$  for the cost of ordering all vertices of  $X$  before all vertices of  $Y$ . More generally,  $c(X, Y, Z) = c(X, Y) + c(X, Z) + c(Y, Z)$ . We also consider the *reduced cost*  $r(X, Y) = c(X, Y) - c(Y, X)$ , which is negative when  $X$  is better *before*  $Y$  and positive when  $X$  is better *after*  $Y$ .

We write  $u \prec v$  when  $u$  must come before  $v$  in *all* minimal solutions, and say that  $(u, v)$  is a **fixed pair**. We try to fix as many pairs as possible to speed up the search. A well known result is that when  $\max N(u) < \min N(v)$ , then  $u \prec v$ .



## Strongly fixed pairs

We call  $u, v \in B$  a **strongly fixed pair** if  $N(u) \neq N(v)$  and their degrees are  $m$  and  $m$  and for all  $0 < i < m$

# and a branch-and-bound solver for Minimization

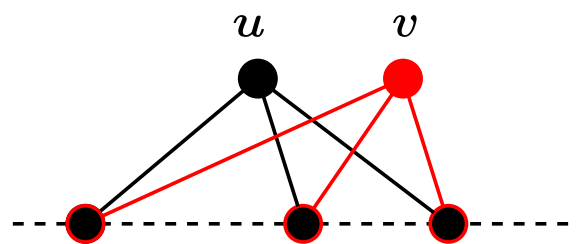
amp<sup>1</sup> Mees de vries<sup>2</sup>

<sup>2</sup>Unaffiliated, The Netherlands

## Gluing

When  $u$  and  $v$  are consecutive in *all* optimal solutions, we **glue** them together and treat them as a single vertex.

**Identical nodes:** When  $u$  and  $v$  have the same neighbours, we glue them.



**Greedy gluing:** When  $r(u, x) \leq 0$  for all  $x \in B$ , there is a solution that starts with  $u$ .

**Practical gluing lemma (unused):** Let  $u$  and  $v$  satisfy  $r(u, v) \leq 0$ . A non-empty subset  $X \subseteq B - \{u, v\}$  is *blocking* when  $c(u, X, v) \leq \min(c(u, v, X), c(X, u, v))$ . If there is no blocking set, then we can glue  $u, v$ .

Again such sets  $X$  can be found or proven to not exist using a knapsack algorithm: add points  $P_x = (r(u, x), r(x, v))$  and search for a non-empty set summing to  $\leq (0, 0)$ .

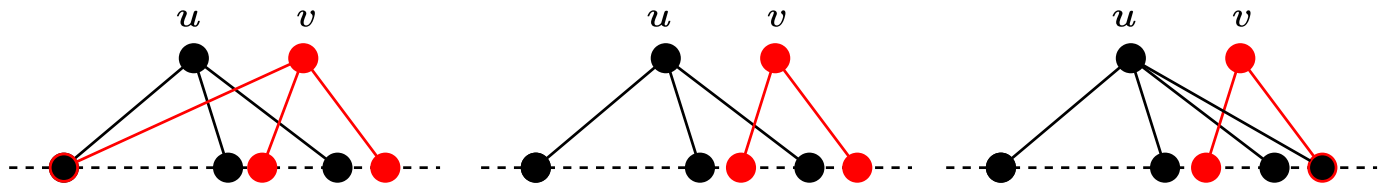
## Solver

Our solver OCMu64 uses a standard **branch-and-bound on the ordering of the solution**. We start with fixed prefix  $P = ()$  and tail  $T = B$ , and in each step we try (a subset of) all vertices in  $T$  as the next vertex appended to  $P$ . In a preprocessing step we compute the trivial lower bound  $S_0 = \sum_{u,v} \min(c(u, v), c(v, u))$  on the score.

We call  $u, v \in B$  a **strongly fixed pair** if  $N(u) \neq N(v)$  and their degrees are  $n$  and  $m$  and for all  $0 \leq i < n$ ,

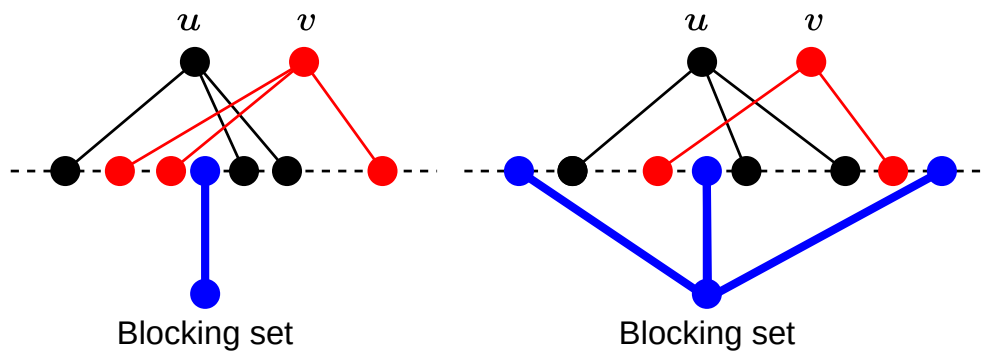
$$N(u)_i \leq N(v)_{\lfloor i \cdot m/n \rfloor}.$$

That is: for all  $x$ , the  $x\%$ 'th neighbour of  $u$  is left of the  $x\%$ 'th neighbour of  $v$ .



**Main result:** If  $(u, v)$  is strongly fixed, then  $u \prec v$ .

**The lemma is optimal:** Without further assumptions on  $(A, <)$  or on the other elements of  $B$ , it is not possible to prove  $u \prec v$  if  $(u, v)$  is not strongly fixed. Suppose that  $u, v \in B$  with  $N(u) \neq N(v)$  are not strongly fixed, and let  $i$  be such that  $b := N(u)_i > N(v)_{\lfloor i \cdot m/n \rfloor} =: a$ . Now take sets of vertices  $L, M, R \subseteq A$  with  $a < M < b$ , with  $L < \min(N(v))$  and with  $\max(N(u)) < R$ . Add a node  $x \in B$  connected to  $L, M, R$ . With the correct numbers of vertices in  $L, M, R$  we can make it so that  $c(v, x, u) < \min(c(x, u, v), c(u, v, x))$ , so that  $v$  has to go before  $u$ .



## Practically fixed pairs

Although such a node  $x$  may exist in theory, it does not have to exist in the actual set  $B$ , motivating a more practical approach:

**Blocking set:** Suppose  $r(u, v) < 0$ , i.e.,  $u$  wants to go left of  $v$ . A *blocking set*  $X \subseteq B - \{u, v\}$  is a set such that  $c(v, X, u) \leq \min(c(v, u, X), (X, v, u))$ . If there is no blocking set for  $(u, v)$ , we call it a *practically fixed pair*, and  $u \prec v$ .

**Finding blocking sets:** Such a set  $X$  can be found, if one exists, using a knapsack-like algorithm: for each  $x \in B - \{u, v\}$ , add a point  $P_x = (r(v, x), r(x, u))$ , and search for a subset summing to  $\leq (r(u, v), r(u, v))$ .

$S_0 = \sum_{u,v} \min(c(u, v), c(v, u))$  on the score.

**Graph simplification** The initial solution uses the median heuristic followed by a simple local search that tries to move slices and optimally insert them. We then re-label all nodes in order to make memory accesses more efficient.

**Fixed pairs** We find all strongly fixed pairs and store them. For the exact track we also find practically fixed pairs. For each tail we search for *tail-local* practically fixed pairs. In each state, we only try vertices  $u \in T$  not fixed after another  $v \in T$ .

**Gluing** We use the greedy gluing strategy. Our implementation of practical gluing contained a bug, so we did not use this.

**Tail cache** In each step, we search for the longest suffix of  $T$  that was seen before, and reuse (the lower bound on) its score. We also cache the tail-local practically fixed pairs.

**Optimal insert** Instead of simply appending  $u$  to  $P$ , we insert it in the optimal position. The implementation is tricky because it interacts in complicated ways with the caching of results for each tail.

## Performance

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**Parameterized track:** All 200 instances in **10.37s** total time (second place).

**Exact track:** 157/200 instances.