

Logicist Machine Ethics Can Save Us

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Are Humans Rational?
10/17/2019



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Note!

Test 2 is now on Oct 24.



The PAID Problem



The PAID Problem

$\forall x : \text{Agents}$



The PAID Problem

$\forall x : \text{Agents}$

Powerful(x) + Autonomous(x) + Intelligent(x) = Dangerous(x)/Destroy_Us



The PAID Problem

$\forall x : \text{Agents}$

Powerful(x) + Autonomous(x) + Intelligent(x) = Dangerous(x)/Destroy_Us



$$u(\text{AIA}_i(\pi_j)) > \tau^+ \in \mathbb{Z} \text{ or } \tau^- \in \mathbb{Z}$$



The PAID Problem

$\forall x : \text{Agents}$

Powerful(x) + Autonomous(x) + Intelligent(x) = Dangerous(x)/Destroy_Us



Are Autonomous-and-Creative Machines Intrinsically Untrustworthy?*

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Abstract

Given what we find in the case of human cognition, the following principle appears to be quite plausible: An artificial agent that is both autonomous (A) and creative (C) will tend to be, from the viewpoint of a rational, fully informed agent, (U) untrustworthy. After briefly explaining the intuitive, internal structure of this disturbing principle, in the context of the human sphere, we provide a more formal rendition of it designed to apply to the realm of intelligent artificial agents. The more-formal version makes use of some of the basic structures available in one of our cognitive-event calculi, and can be expressed as a (confessedly — for reasons explained — naive) theorem. We prove the theorem, and provide simple demonstrations of it in action, using a novel theorem prover (ShadowProver). We then end by pointing toward some future defensive engineering measures that should be taken in light of the theorem.

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The PAID Problem

$\forall x : \text{Agents}$

Powerful(x) + Autonomous(x) + Intelligent(x) = Dangerous(x)/Destroy_Us

$$\downarrow$$

$$u(\text{AIA}_i(\pi_j)) > \tau^+ \in \mathbb{Z} \text{ or } \tau^- \in \mathbb{Z}$$

Theorem ACU: In a collaborative situation involving agents a (as the “trustor”) and a' (as the “trustee”), if a' is at once both autonomous and ToM-creative, a' is untrustworthy from an ideal-observer o 's viewpoint, with respect to the action-goal pair $\langle \alpha, \gamma \rangle$ in question.

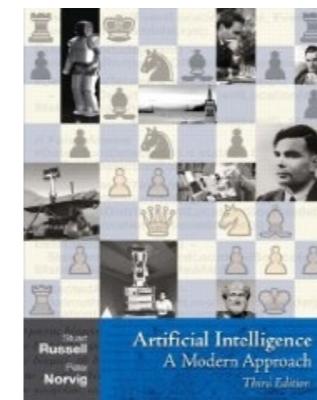
Proof: Let a and a' be agents satisfying the hypothesis of the theorem in an arbitrary collaborative situation. Then, by definition, $a \neq a'$ desires to obtain some goal γ in part by way of a contributed action α_k from a' , a' knows this, and moreover a' knows that a believes that this contribution will succeed. Since a' is by supposition ToM-creative, a' may desire to surprise a with respect to a 's belief regarding a' 's contribution; and because a' is autonomous, attempts to ascertain whether such surprise will come to pass are fruitless since what will happen is locked inaccessible in the oracle that decides the case. Hence it follows by TRANS that an ideal observer o will regard a' to be untrustworthy with respect to the pair $\langle \alpha, \gamma \rangle$ pair. **QED**

“We’re in *very* deep trouble.”

“We’re in *very* deep trouble.”



“We’re in *very* deep trouble.”



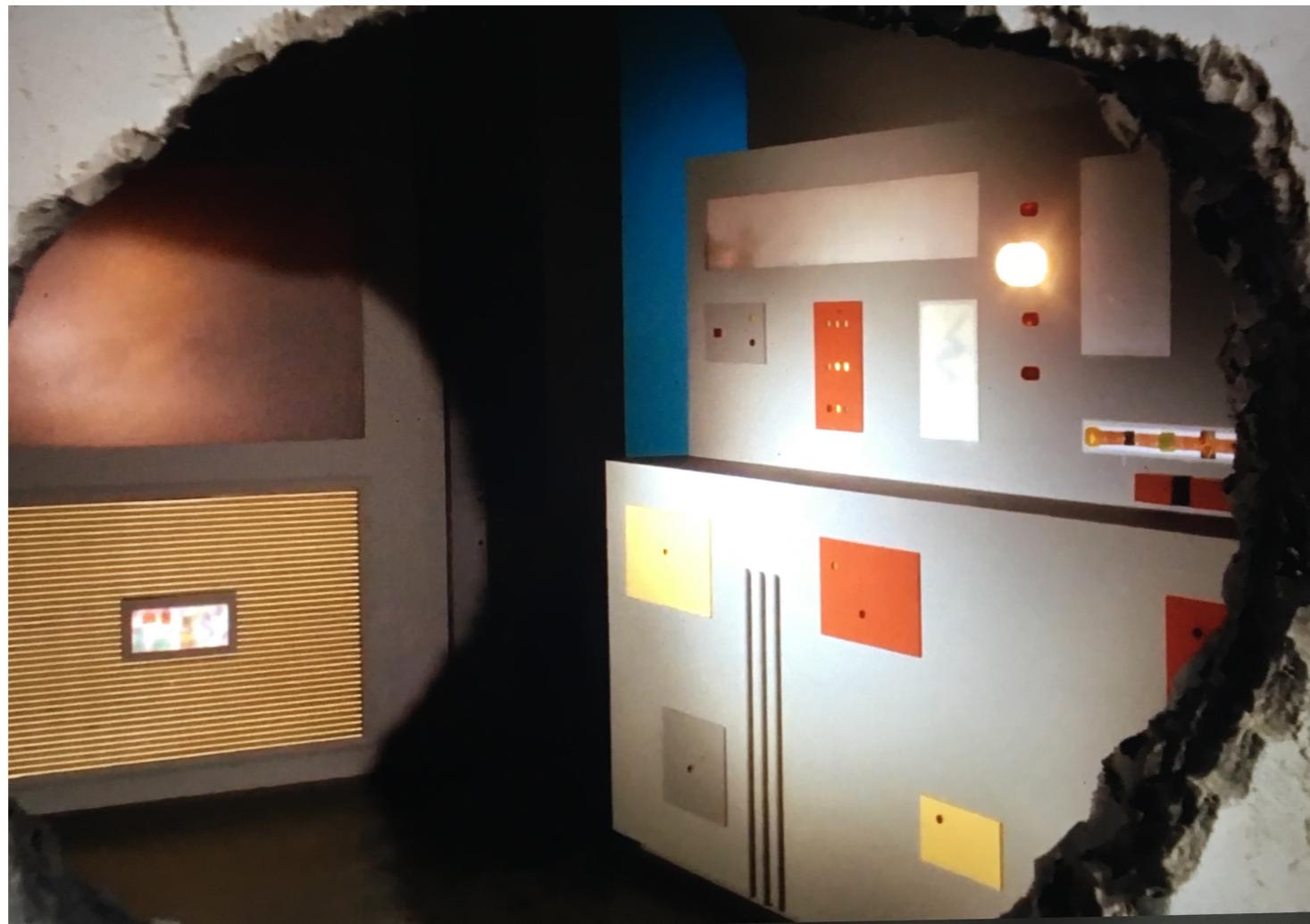
Unfortunately, not quite as easy as
this to use logic to save the day ...

Logic Thwarts Landru!



First Suspicion That It's a Mere Computer Running the Show

Logic Thwarts Landru!



Landru is Indeed Merely a Computer
(the real Landru having done the programming)

Logic Thwarts Landru!



Landru Kills Himself Because Kirk/Spock Argue He Has Violated
the Prime Directive for Good by Denying Creativity to Others

Logic Thwarts Nomad!

(with the Liar Paradox)



I.

Cognitive Calculi ...

“Universal Cognitive Calculus”



1666



Leibniz

1.5 centuries < Boole!
2.5 centuries < Kripke



Logic Theorist
(birth of modern logicist
AI)



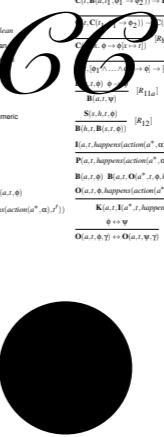
1956



Simon

\mathcal{DCEC}^*

Syntax	Rules of Inference
$S ::= \text{Object} \mid \text{Agent} \mid \text{Self} \sqsubseteq \text{Agent} \mid \text{ActionType} \mid \text{Action} \sqsubseteq \text{Event} \mid \dots$	$\frac{\mathbf{C}(t, \mathbf{P}(a, t, \emptyset) \rightarrow \mathbf{K}(a, t, \emptyset)) \quad \mathbf{B}(a, t, \emptyset)}{\mathbf{C}(t, \mathbf{K}(a, t, \emptyset) \rightarrow \mathbf{B}(a, t, \emptyset))} [R_1]$
$\text{Moment} \mid \text{Boolean} \mid \text{Fluent} \mid \text{Numeric}$	$\frac{\mathbf{C}(t, \emptyset : t \leq t_1 \dots t \leq t_n) \quad \mathbf{K}(a, t, \emptyset)}{\mathbf{K}(a, t_1 \dots t_n, t, \emptyset)} [R_2]$
$\text{initial} : \text{Fluent} \rightarrow \text{Action}$	$\frac{\mathbf{C}(t, \mathbf{K}(a, t_1, \emptyset \rightarrow \phi_1)) \rightarrow \mathbf{K}(a, t_2, \emptyset \rightarrow \phi_2)}{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \phi_2)} [R_3]$
$\text{holds} : \text{Fluent} \times \text{Moment} \rightarrow \text{Boolean}$	$\frac{\mathbf{C}(t, \mathbf{B}(a, t_1, \emptyset \rightarrow \phi_1)) \rightarrow \mathbf{B}(a, t_2, \emptyset \rightarrow \phi_2)}{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \phi_2)} [R_4]$
$\text{happens} : \text{Event} \times \text{Moment} \rightarrow \text{Boolean}$	$\frac{\mathbf{C}(t, \mathbf{C}(a, t, \emptyset \rightarrow \phi_1)) \rightarrow \mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1)}{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \phi_2)} [R_5]$
$\text{clipped} : \text{Moment} \times \text{Event} \times \text{Moment} \rightarrow \text{Boolean}$	$\frac{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1) \quad \mathbf{C}(t, \emptyset : t \leq t_2 \rightarrow \neg \phi_2)}{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \neg \phi_2 \rightarrow \neg \phi_1)} [R_6]$
$f ::= \text{initiates} : \text{Event} \times \text{Fluent} \times \text{Moment} \rightarrow \text{Boolean}$	$\frac{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)}{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)} [R_7]$
$\text{terminates} : \text{Event} \times \text{Fluent} \times \text{Moment} \rightarrow \text{Boolean}$	$\frac{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)}{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)} [R_8]$
$\text{prev} : \text{Moment} \times \text{Moment} \rightarrow \text{Boolean}$	$\frac{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)}{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)} [R_9]$
$\text{interval} : \text{Moment} \times \text{Moment} \rightarrow \text{Boolean}$	$\frac{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)}{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)} [R_{10}]$
$+ : \text{Agent} \rightarrow \text{Self}$	$\frac{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)}{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)} [R_{11a}]$
$\text{payoff} : \text{Agent} \times \text{ActionType} \times \text{Moment} \rightarrow \text{Numeric}$	$\frac{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)}{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)} [R_{11b}]$
$t ::= x : S \mid c : S \mid f(t_1, \dots, t_n)$	$\frac{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)}{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)} [R_{12}]$
$\vdash : \text{Boolean} \mid \mathbf{I}(a, t, \emptyset) \mid \mathbf{C}(a, t, \emptyset) \mid \mathbf{B}(a, t, \emptyset) \mid \mathbf{P}(a, t, \emptyset) \mid \mathbf{O}(a, t, \emptyset)$	$\frac{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)}{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)} [R_{13}]$
$\phi ::= \neg \phi \mid \phi \wedge \psi \mid \phi \vee \psi \mid \dots$	$\frac{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)}{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)} [R_{14}]$
$\mathbf{I}(a, t, \emptyset) \mid \mathbf{D}(a, t, \text{holds}(t', t')) \mid \mathbf{E}(a, t, \text{happens}(action(a'', a), t'))$	$\frac{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)}{\mathbf{C}(t, \emptyset : t \leq t_1 \rightarrow \phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi)} [R_{15}]$
$\mathbf{B}(a, t, \emptyset) \mid \mathbf{O}(a, t, \emptyset)$	
$\mathbf{P}(a, t, \emptyset) \mid \mathbf{C}(a, b, t, \emptyset) \mid \mathbf{S}(a, b, t, \emptyset)$	
$\mathbf{K}(a, t, \emptyset)$	



2019



AI of Today: What Would Leibniz Say?

“Sorry, not impressed.”

Selmer Bringsjord

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Tues 11/14/2016



II.

Early Progress With Our Calculi: Simple Dilemmas; Non-Akratic Robots

NewScientist

Ethical robots save humans

NewScientist

Ethical robots save humans





Informal Definition of Akrasia

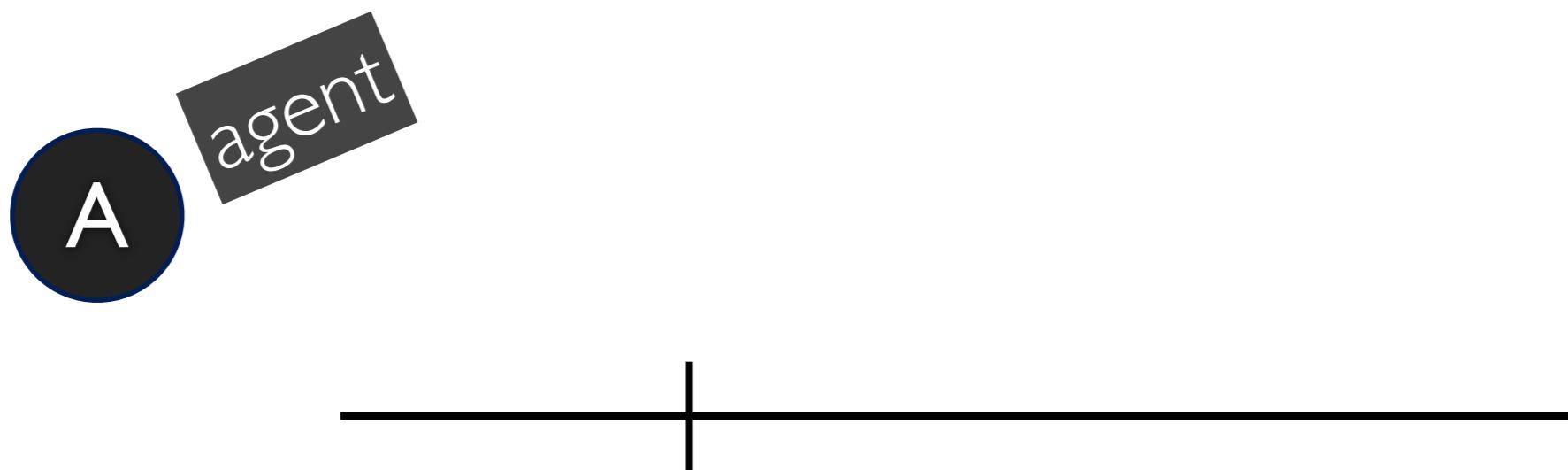
Informal Definition of Akrasia



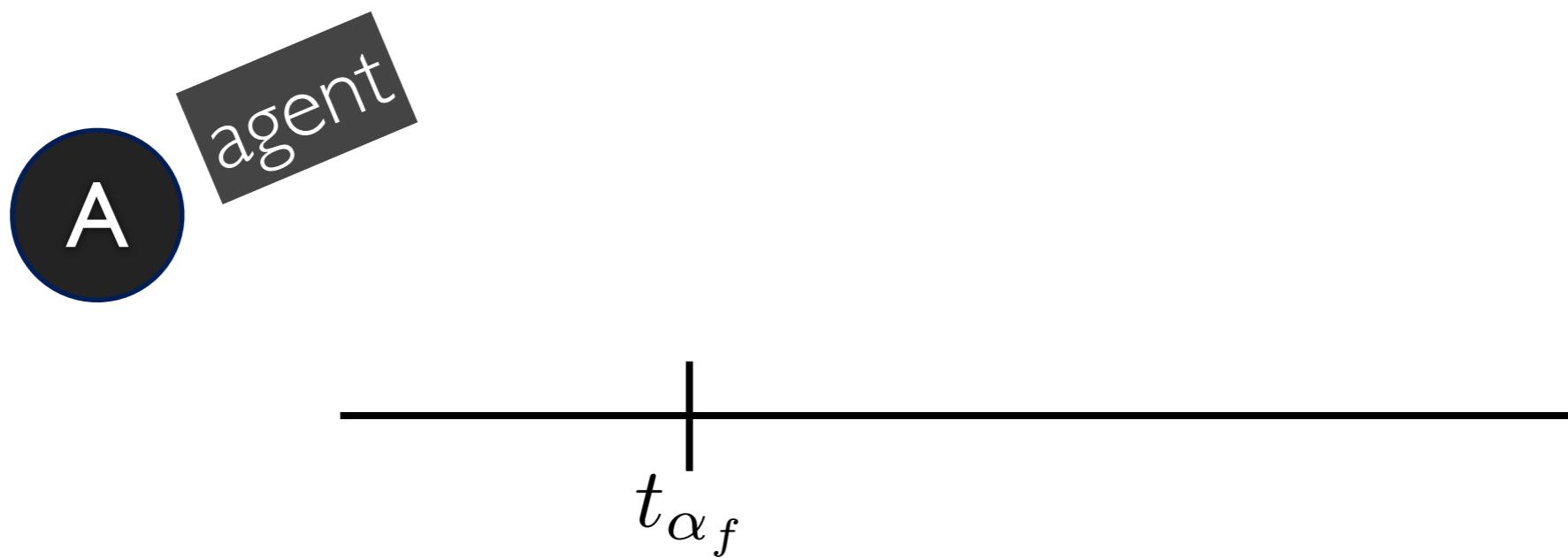
Informal Definition of Akrasia



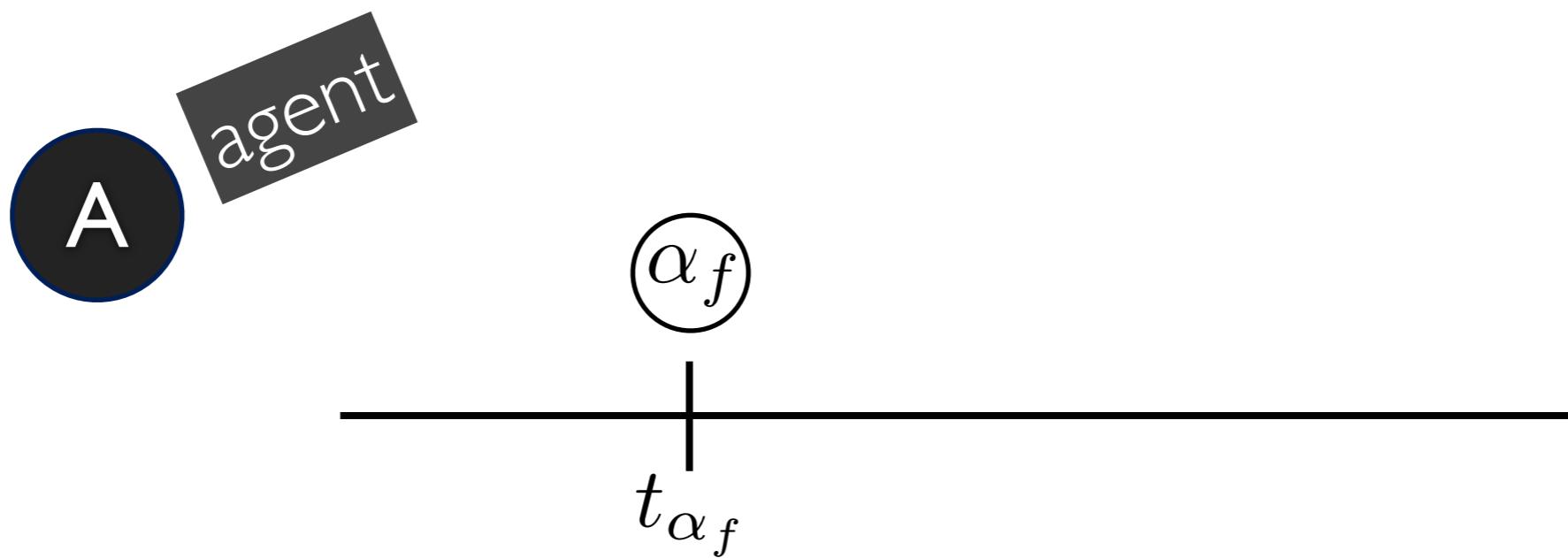
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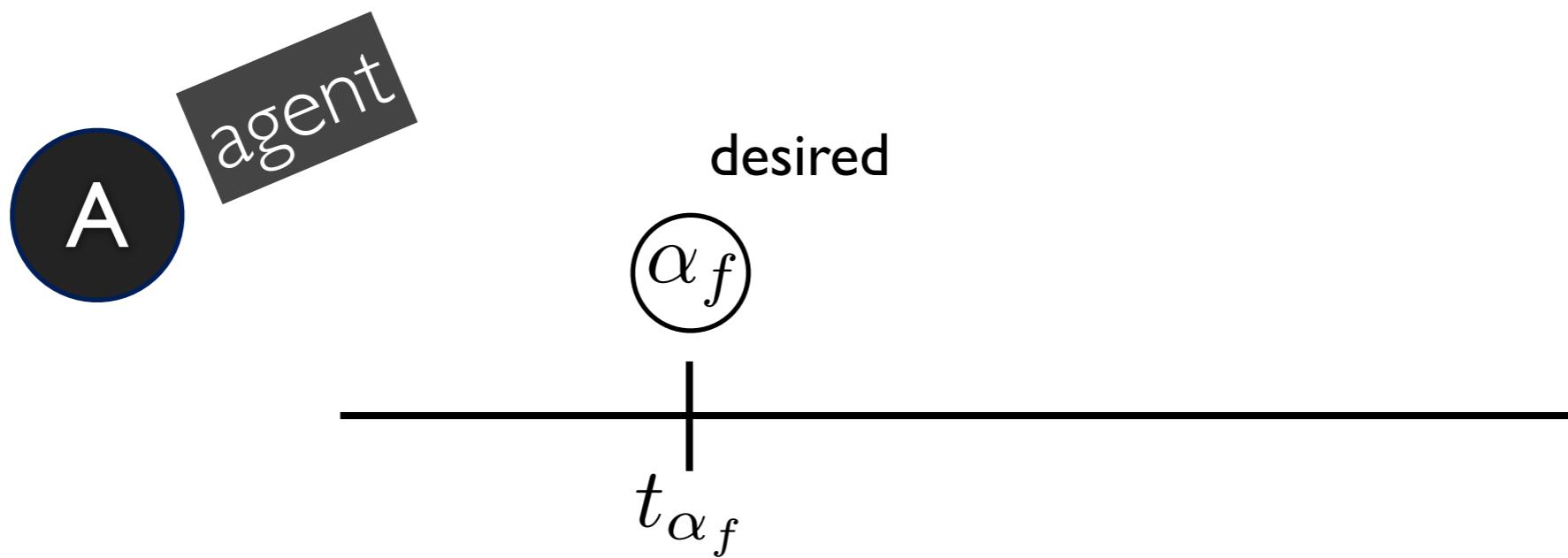
Informal Definition of Akrasia



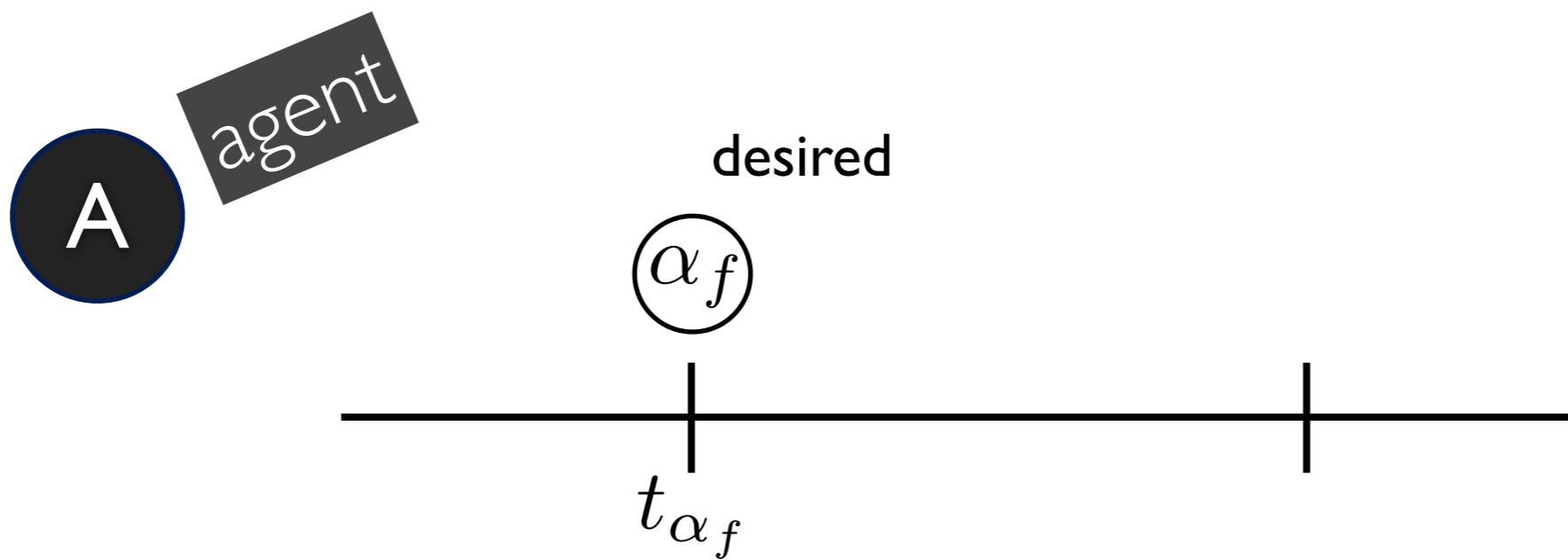
Informal Definition of Akrasia



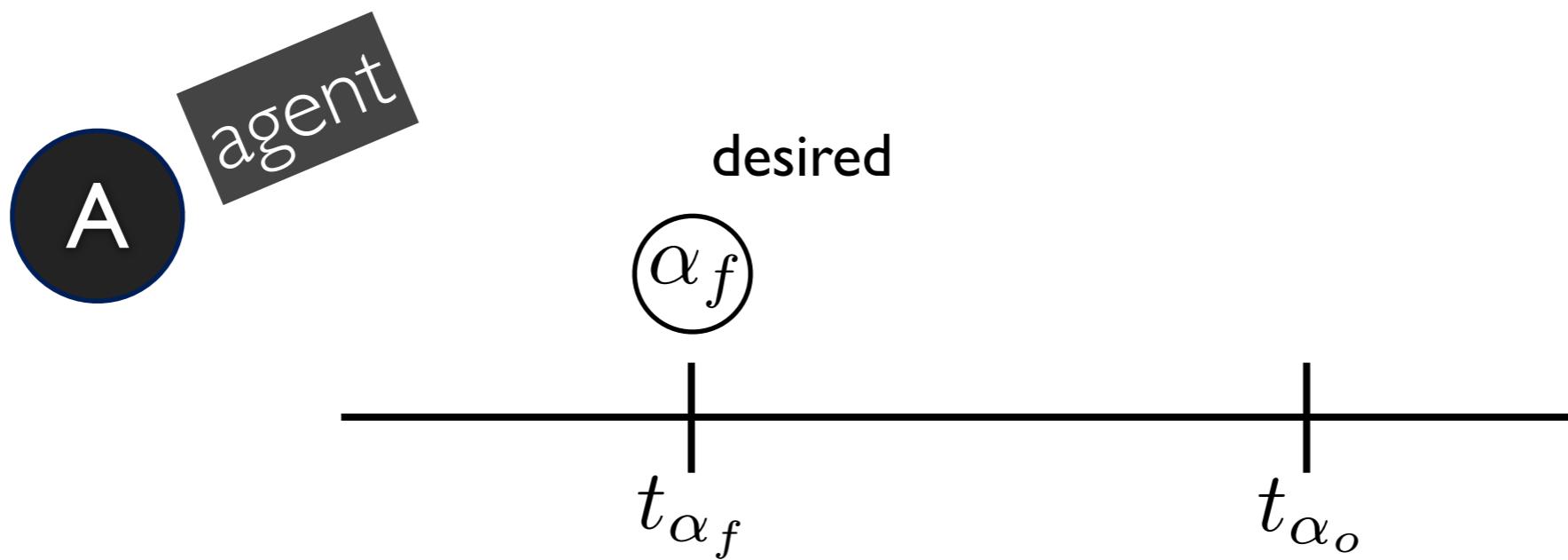
Informal Definition of Akrasia



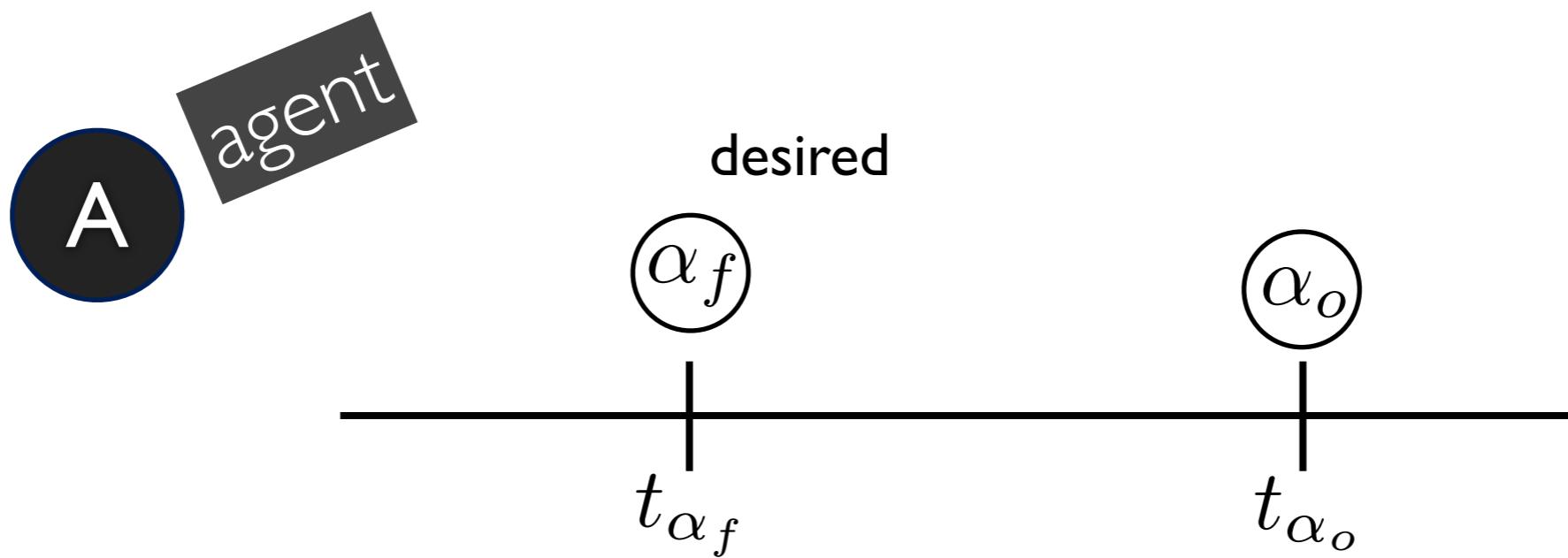
Informal Definition of Akrasia



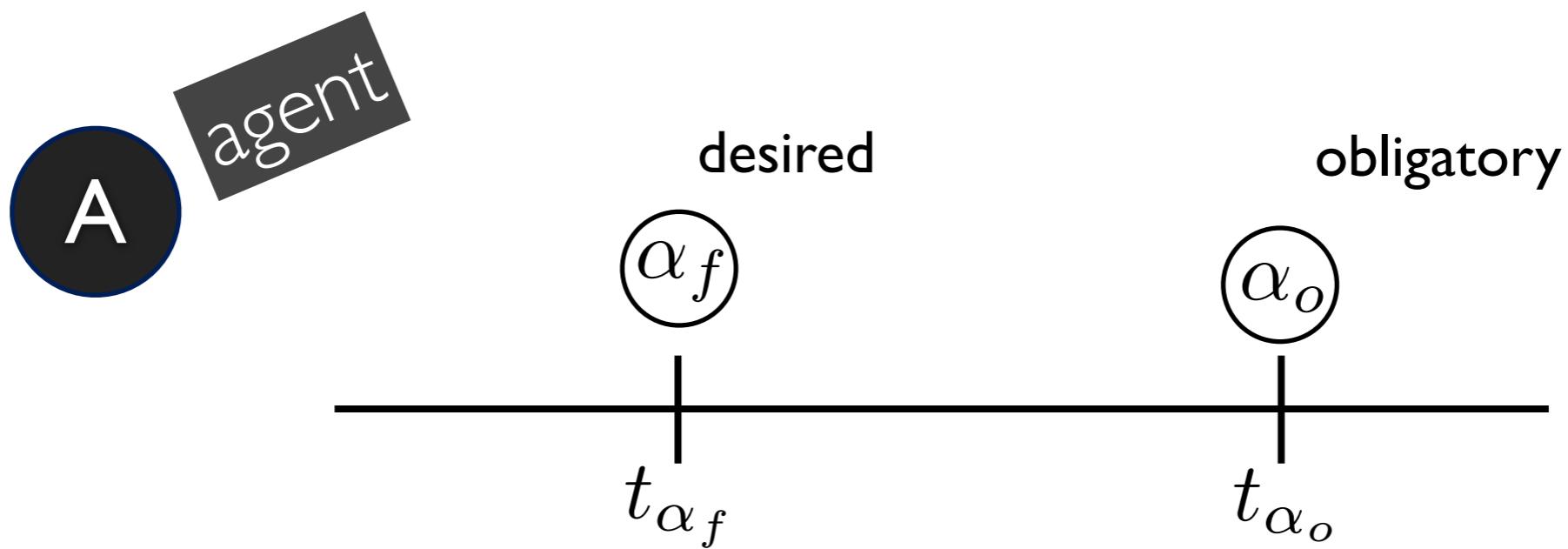
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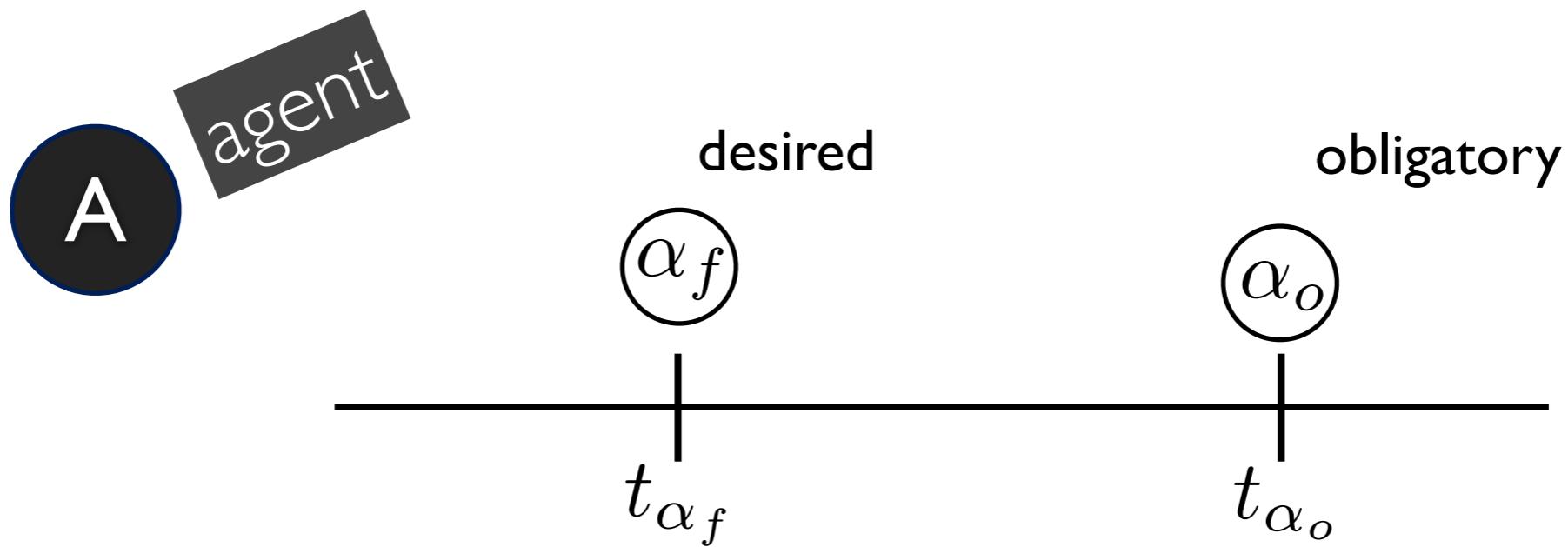
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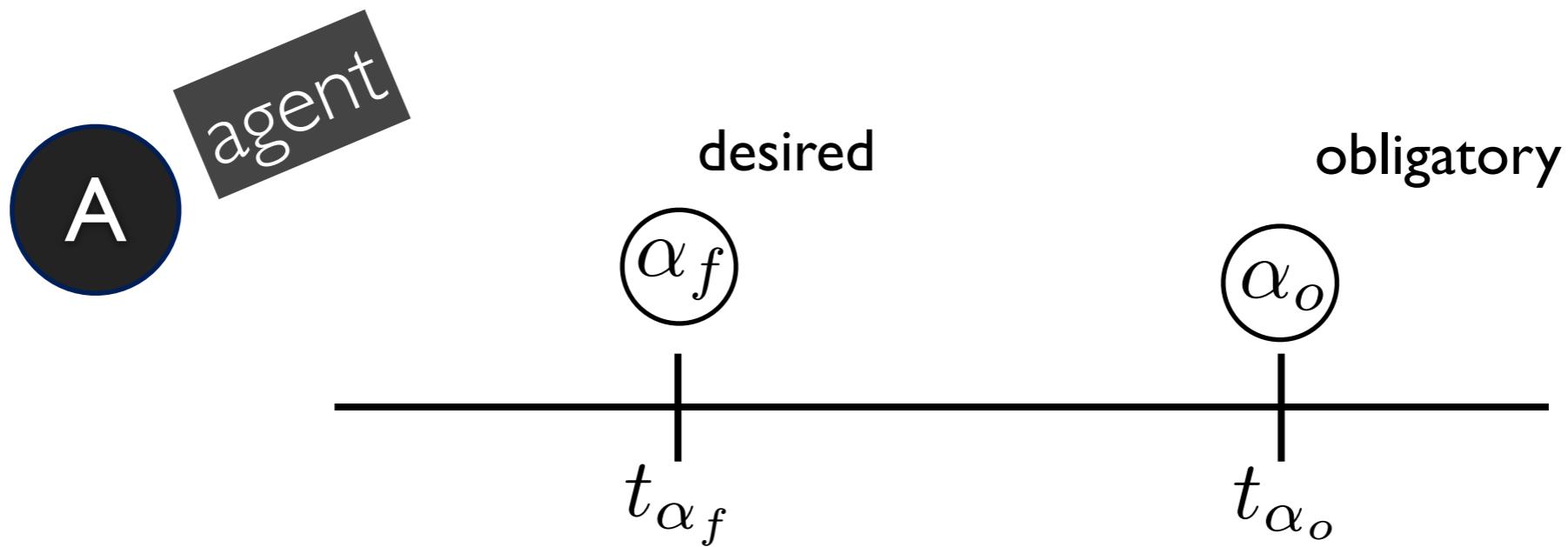


Informal Definition of Akrasia



If α_f happens, then α_o can't happen

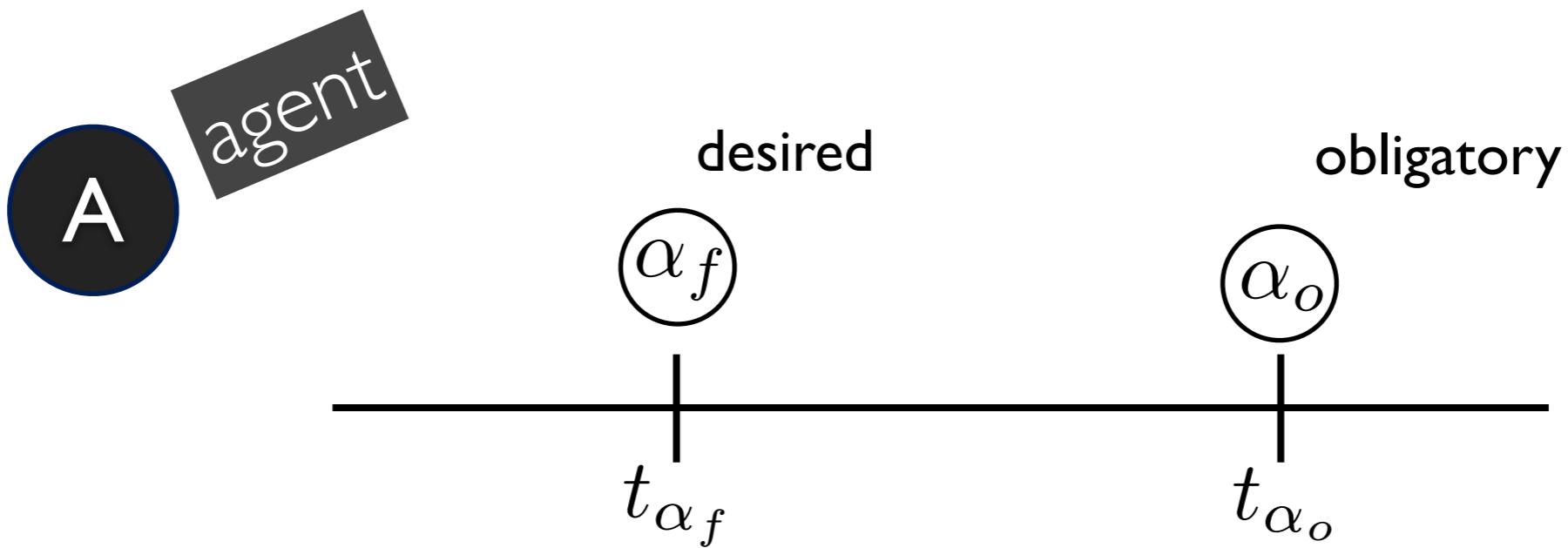
Informal Definition of Akrasia



If α_f happens, then α_o can't happen



Informal Definition of Akrasia



If α_f happens, then α_o can't happen

A knows this

Informal Definition of Akrasia

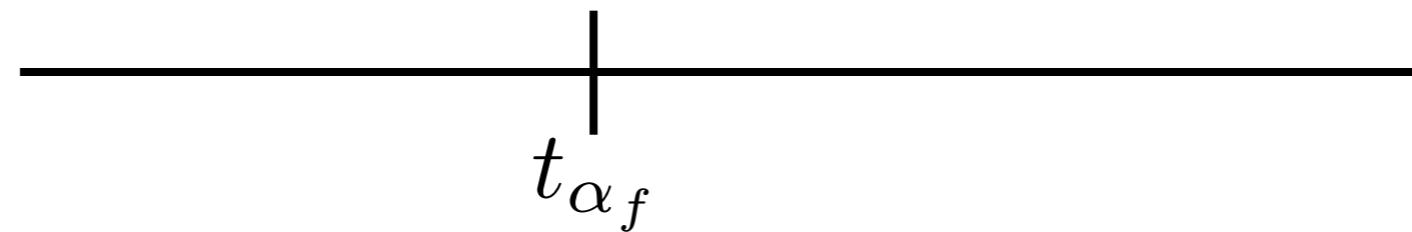
Informal Definition of Akrasia



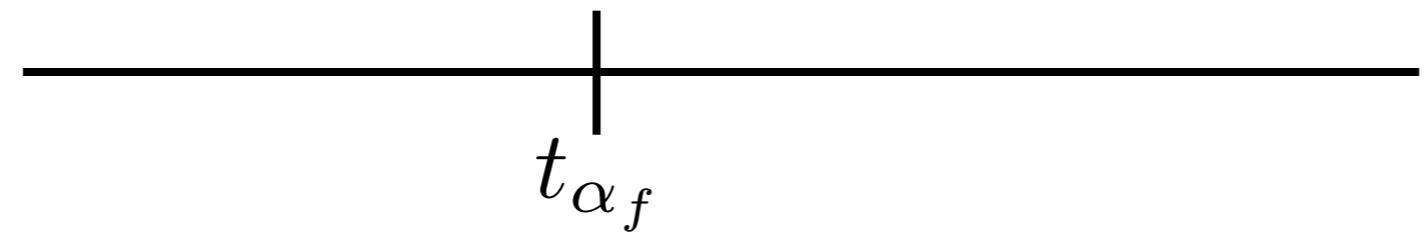
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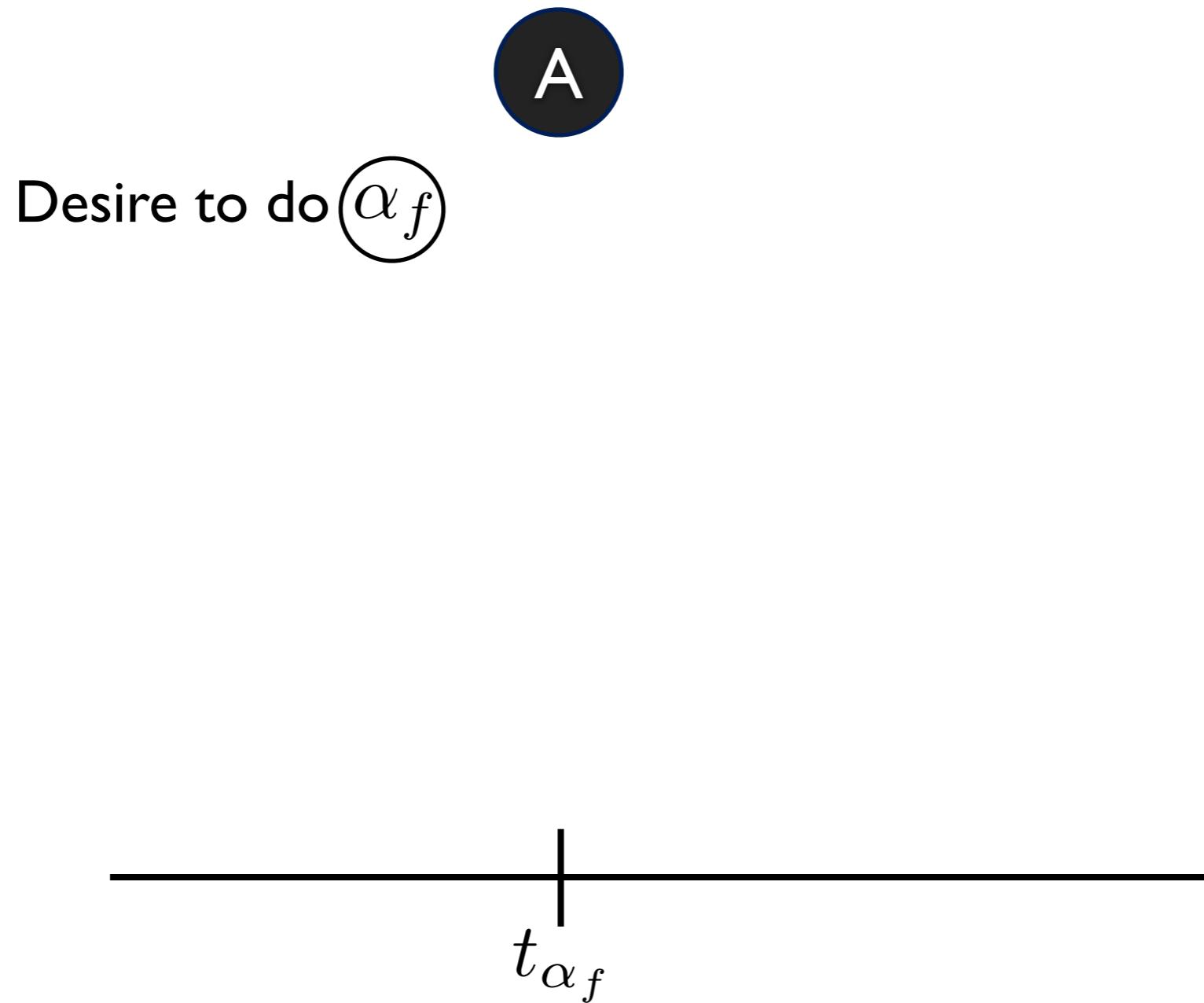
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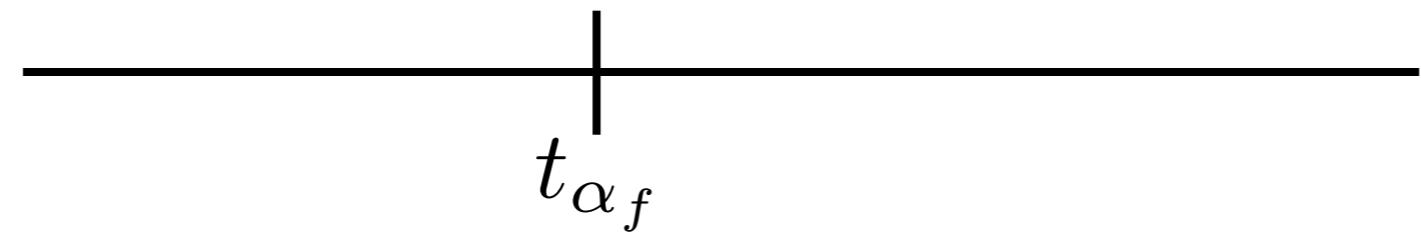
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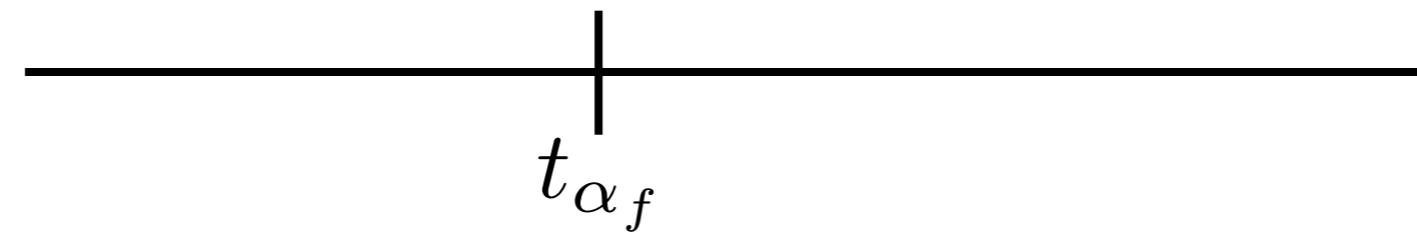
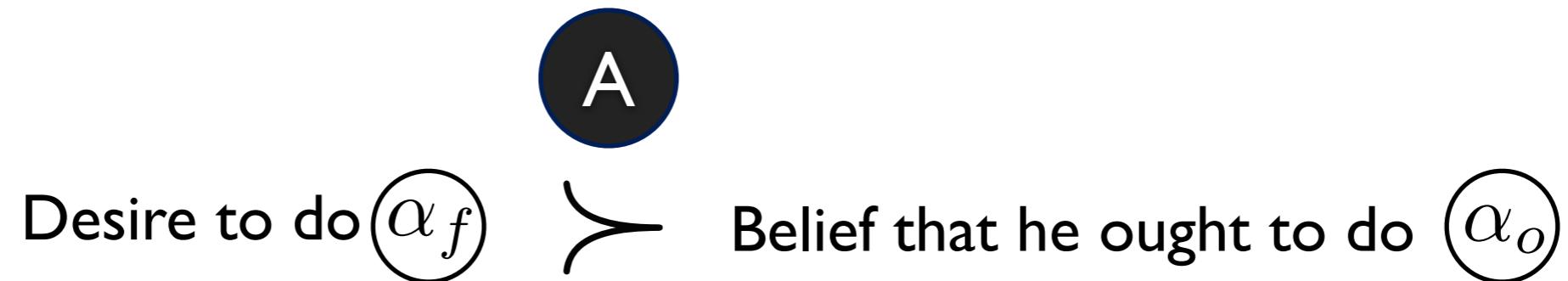
Informal Definition of Akrasia



Informal Definition of Akrasia



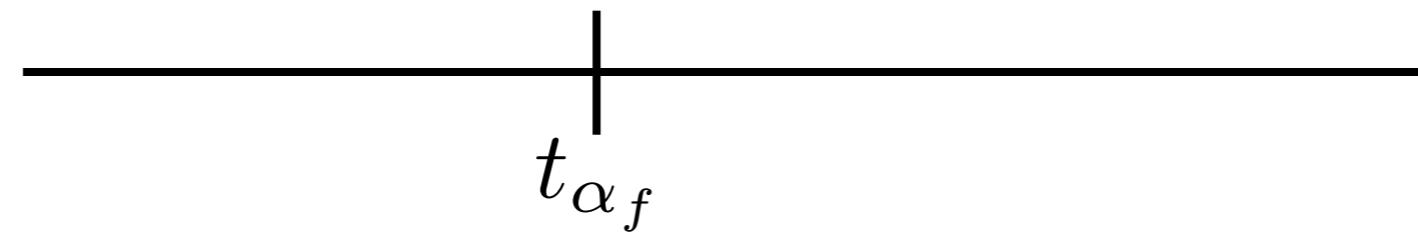
Informal Definition of Akrasia



Informal Definition of Akrasia

Desire to do α_f  Belief that he ought to do α_o

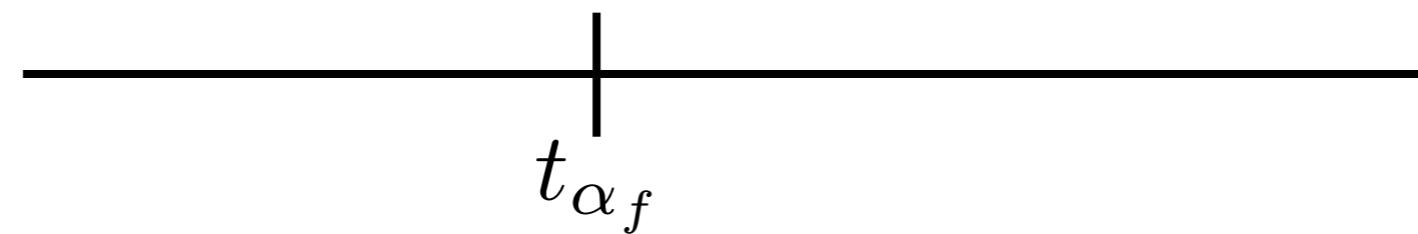
A does α_f due to his desire



Informal Definition of Akrasia

Desire to do α_f  Belief that he ought to do α_o

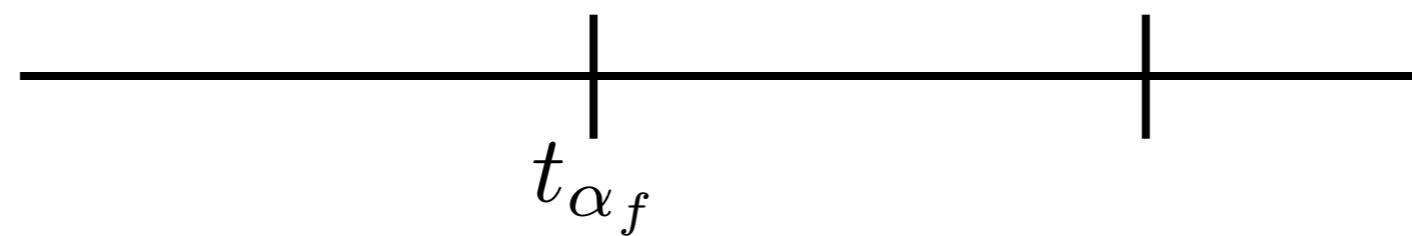
 does α_f due to his desire



Informal Definition of Akrasia

Desire to do α_f  Belief that he ought to do α_o

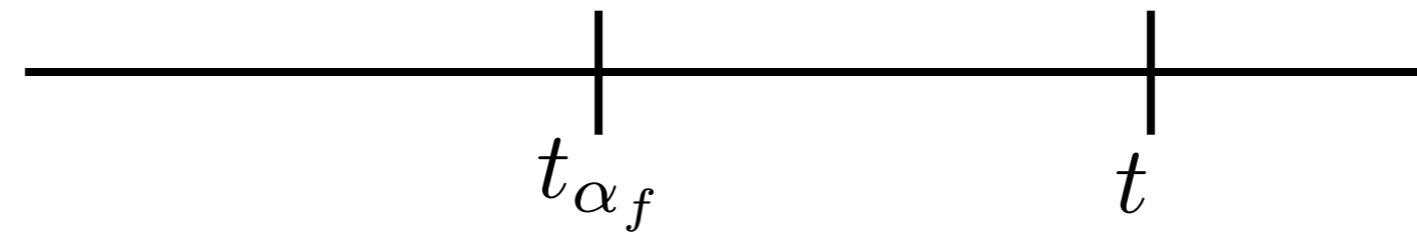
 does α_f due to his desire



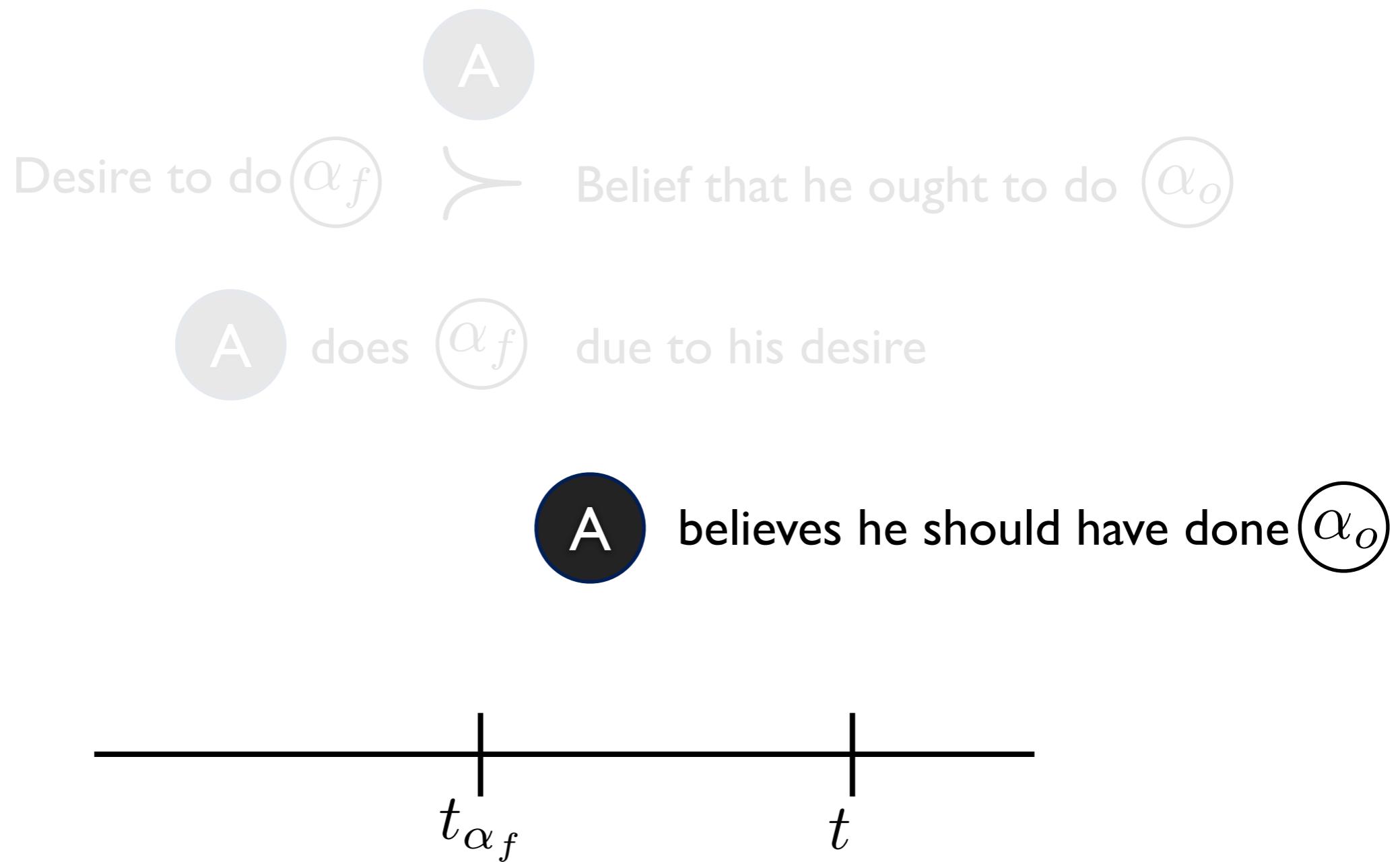
Informal Definition of Akrasia

Desire to do α_f A Belief that he ought to do α_o

A does α_f due to his desire



Informal Definition of Akrasia



Informal Definition of Akrasia

An action α_f is (Augustinian) akratic for an agent A at t_{α_f} iff the following eight conditions hold:

- (1) A believes that A ought to do α_o at t_{α_o} ;
- (2) A desires to do α_f at t_{α_f} ;
- (3) A 's doing α_f at t_{α_f} entails his not doing α_o at t_{α_o} ;
- (4) A knows that doing α_f at t_{α_f} entails his not doing α_o at t_{α_o} ;
- (5) At the time (t_{α_f}) of doing the forbidden α_f , A 's desire to do α_f overrides A 's belief that he ought to do α_o at t_{α_f} .
- (6) A does the forbidden action α_f at t_{α_f} ;
- (7) A 's doing α_f results from A 's desire to do α_f ;
- (8) At some time t after t_{α_f} , A has the belief that A ought to have done α_o rather than α_f .

Informal Definition of Akrasia

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Informal Definition of Akrasia

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- (2) A desires to do α_f at t_{α_f} ;
- (3) A 's doing α_f at t_{α_f} entails his not doing α_o at t_{α_o} ;
- (4) A knows that doing α_f at t_{α_f} entails his not doing α_o at t_{α_o} ;
- (5) At the time (t_{α_f}) of doing the forbidden α_f , A 's desire to do α_f overrides A 's belief that he ought to do α_o at t_{α_f} .
- (6) A does the forbidden action α_f at t_{α_f} ;
- (7) A 's doing α_f results from A 's desire to do α_f ;
- “Regret”** (8) At some time t after t_{α_f} , A has the belief that A ought to have done α_o rather than α_f .

Cast in

\mathcal{DEC}^*

this becomes ...

$\text{KB}_{rs} \cup \text{KB}_{m_1} \cup \text{KB}_{m_2} \dots \text{KB}_{m_n} \vdash$

$$D_1 : \mathbf{B}(\mathbf{l}, \text{now}, \mathbf{O}(\mathbf{l}^*, t_\alpha \Phi, \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha)))$$

$$D_2 : \mathbf{D}(\mathbf{l}, \text{now}, \text{holds}(\text{does}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}}))$$

$$D_3 : \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}}) \Rightarrow \neg \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha)$$

$$D_4 : \mathbf{K}\left(\mathbf{l}, \text{now}, \left(\begin{array}{l} \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}}) \Rightarrow \\ \neg \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha) \end{array} \right)\right)$$

$$D_5 : \begin{array}{l} \mathbf{I}(\mathbf{l}, t_\alpha, \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}})) \wedge \\ \neg \mathbf{I}(\mathbf{l}, t_\alpha, \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha)) \end{array}$$

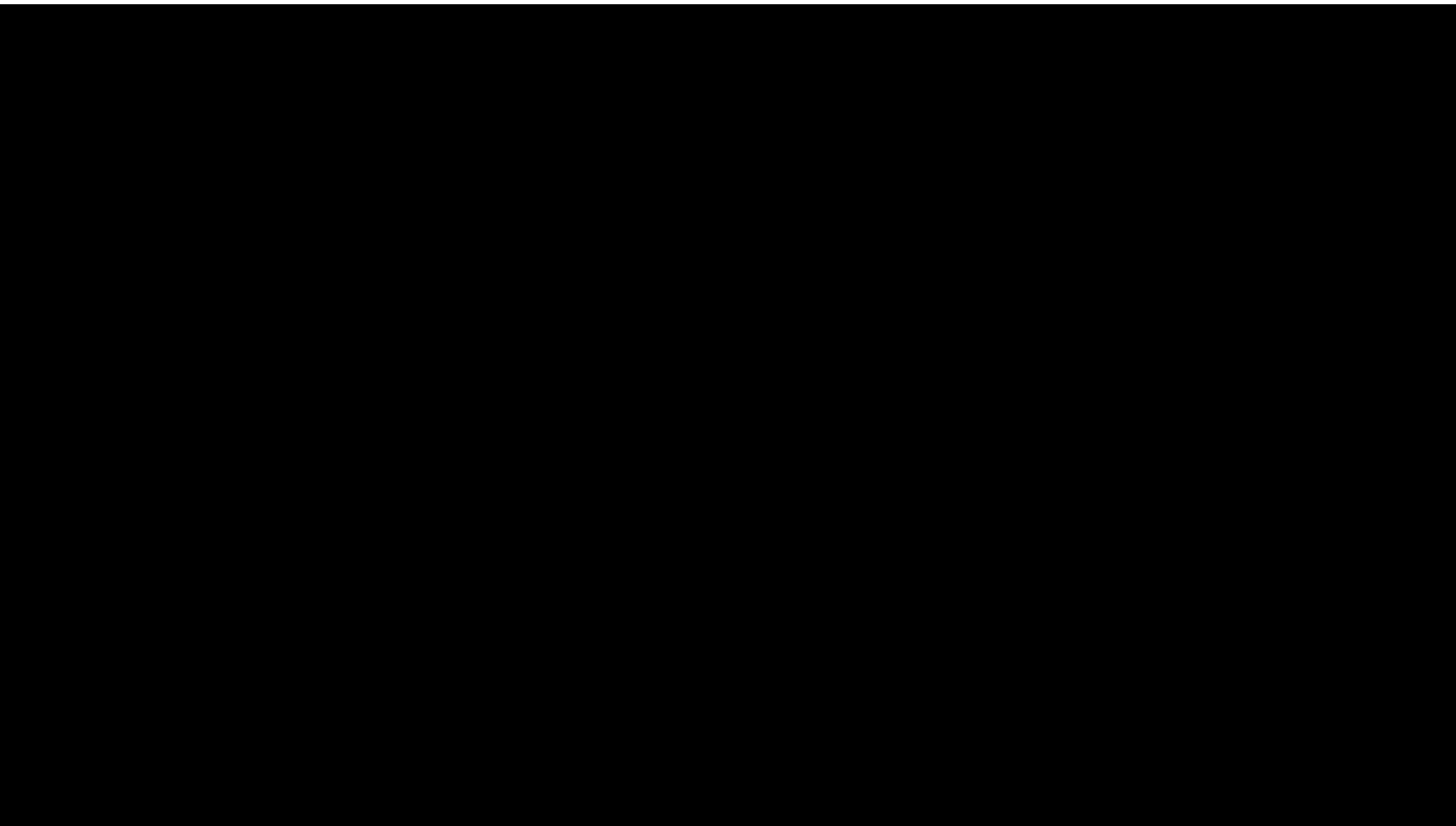
$$D_6 : \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_{\bar{\alpha}})$$

$$D_{7a} : \begin{array}{l} \Gamma \cup \{\mathbf{D}(\mathbf{l}, \text{now}, \text{holds}(\text{does}(\mathbf{l}^*, \bar{\alpha}), t))\} \vdash \\ \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_\alpha) \end{array}$$

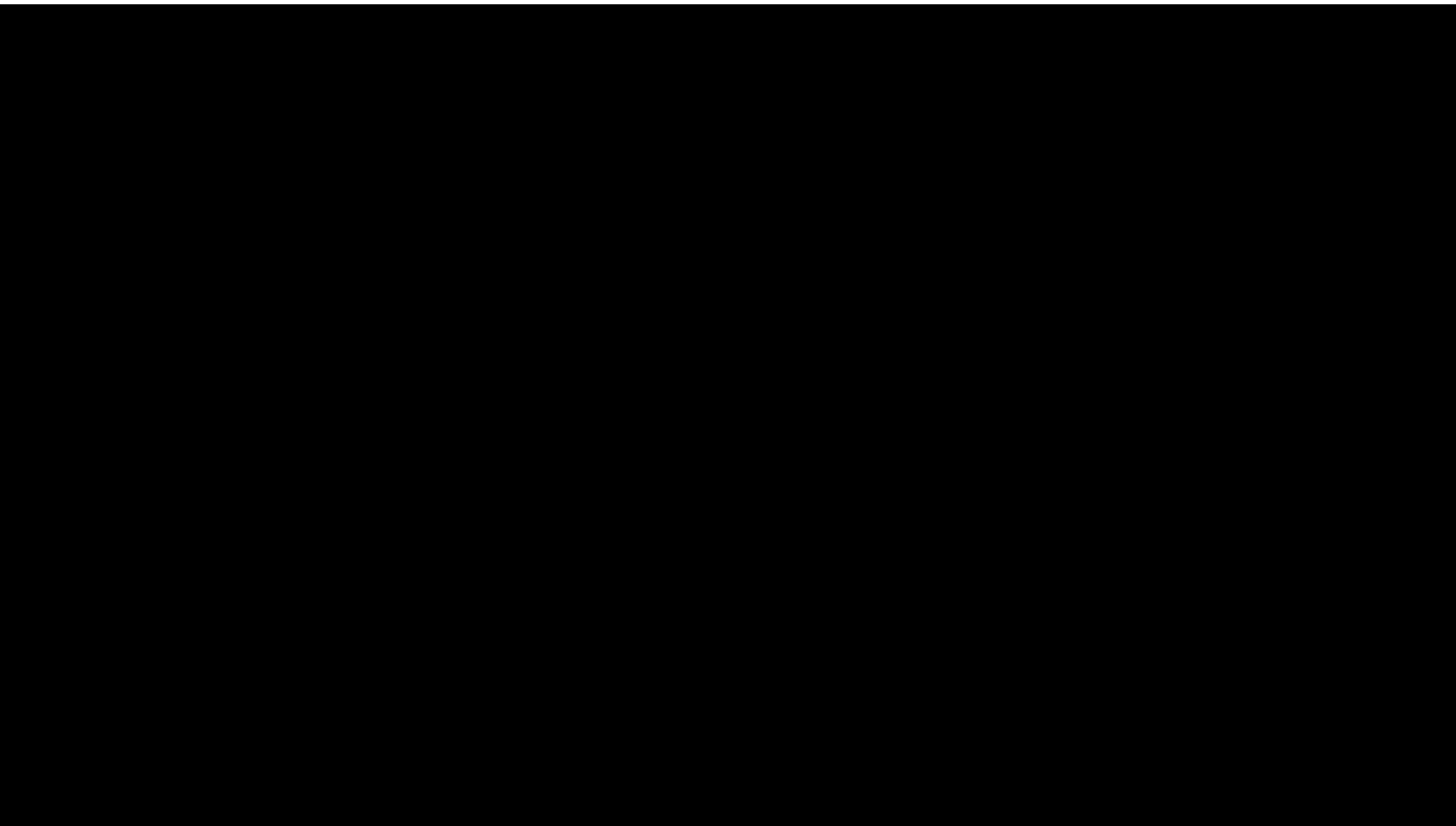
$$D_{7b} : \begin{array}{l} \Gamma - \{\mathbf{D}(\mathbf{l}, \text{now}, \text{holds}(\text{does}(\mathbf{l}^*, \bar{\alpha}), t))\} \not\vdash \\ \text{happens}(\text{action}(\mathbf{l}^*, \bar{\alpha}), t_\alpha) \end{array}$$

$$D_8 : \mathbf{B}(\mathbf{l}, t_f, \mathbf{O}(\mathbf{l}^*, t_\alpha, \Phi, \text{happens}(\text{action}(\mathbf{l}^*, \alpha), t_\alpha)))$$

Demos . . .



Demos . . .



III.

But, a twist befell the logicians . . .

Chisholm had argued that the three old 19th-century ethical categories (*forbidden*, *morally neutral*, *obligatory*) are not enough — and soul-searching brought me to agreement.

heroic

morally
neutral

deviltry

civil

forbidden

uncivil

obligatory

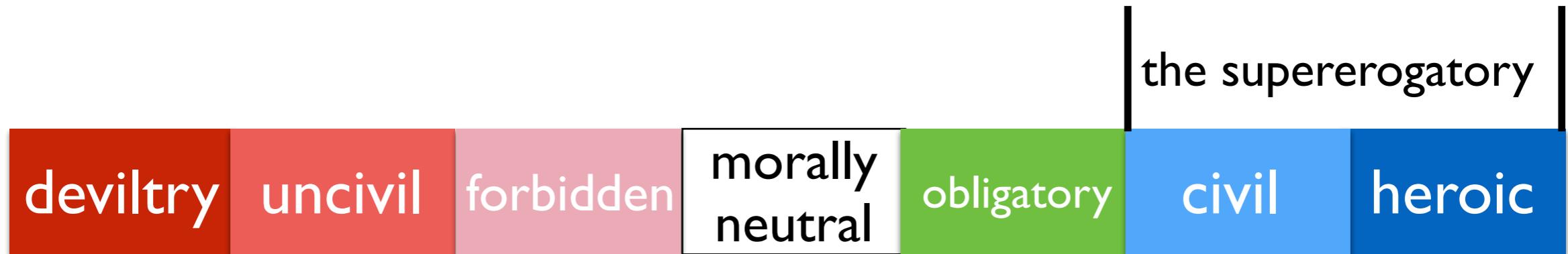
Leibnizian Ethical Hierarchy for Persons and Robots:

\mathcal{EA}



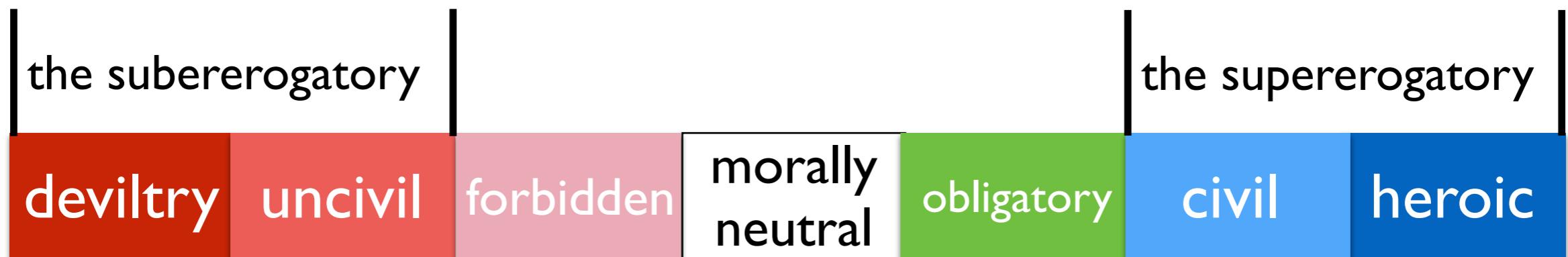
Leibnizian Ethical Hierarchy for Persons and Robots:

*E**A*



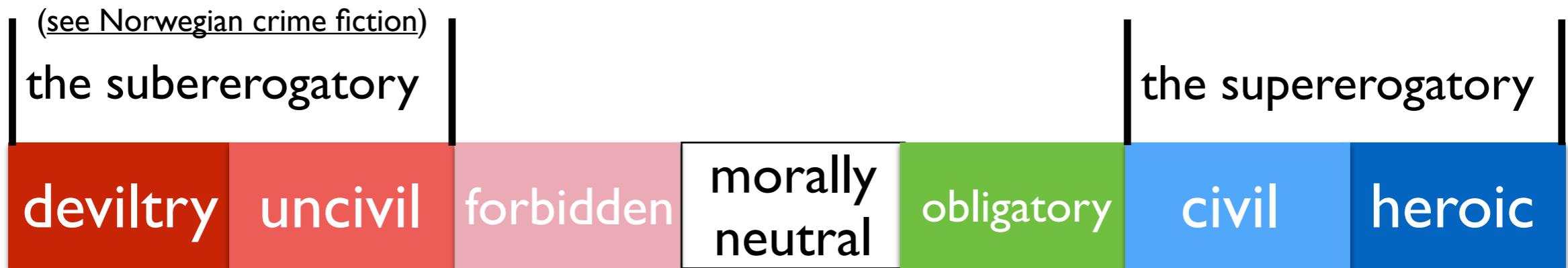
Leibnizian Ethical Hierarchy for Persons and Robots:

EĀ



Leibnizian Ethical Hierarchy for Persons and Robots:

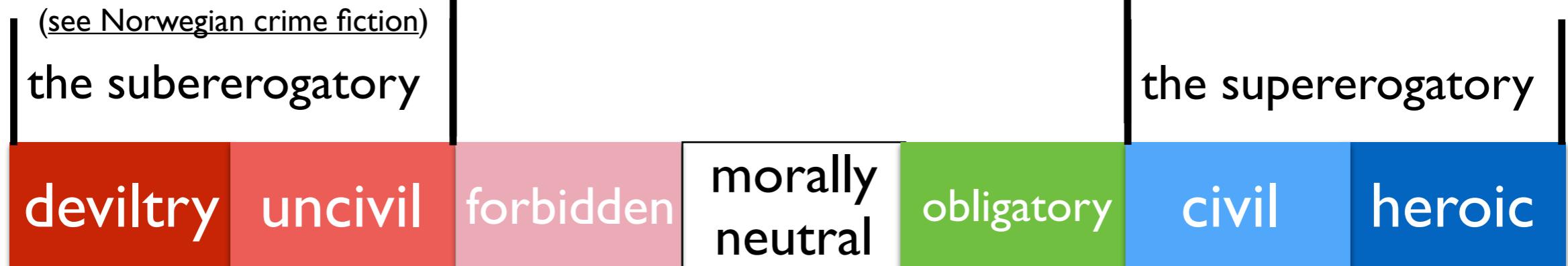
EĀ



Leibnizian Ethical Hierarchy for Persons and Robots:

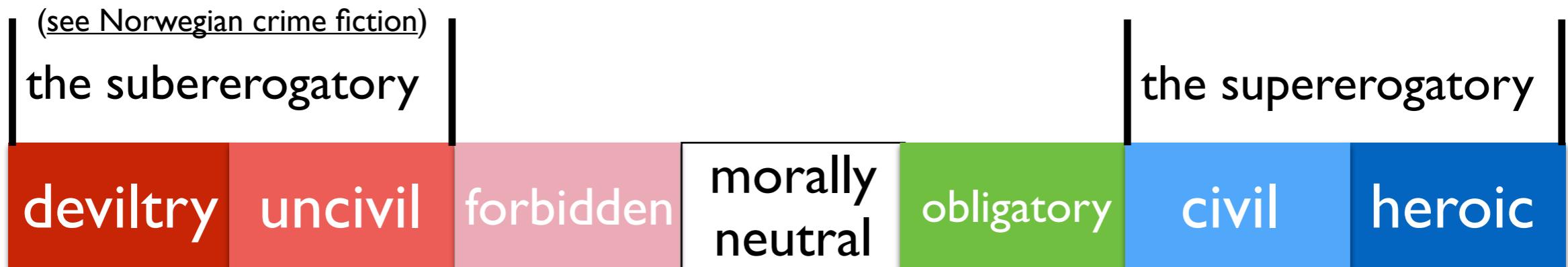
EĀ

19th-Century Triad



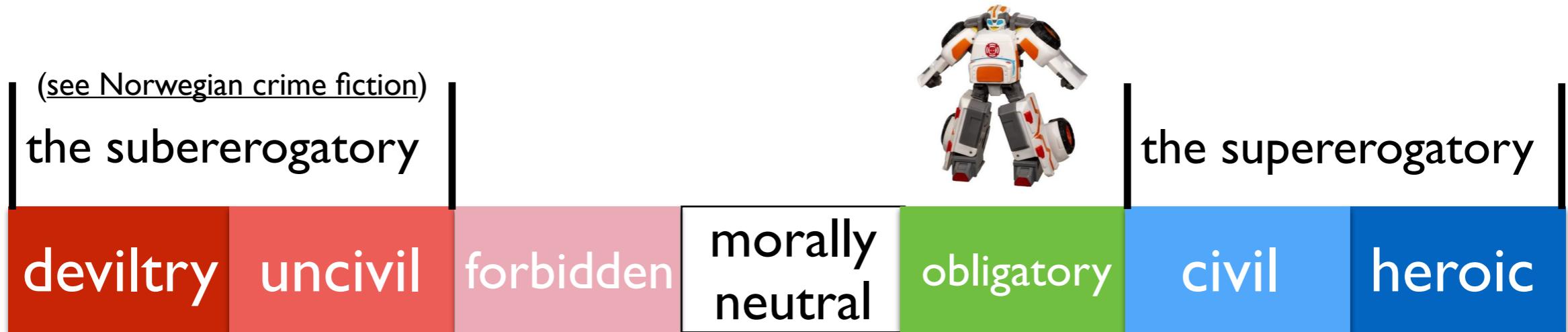
Leibnizian Ethical Hierarchy for Persons and Robots:

EĀ



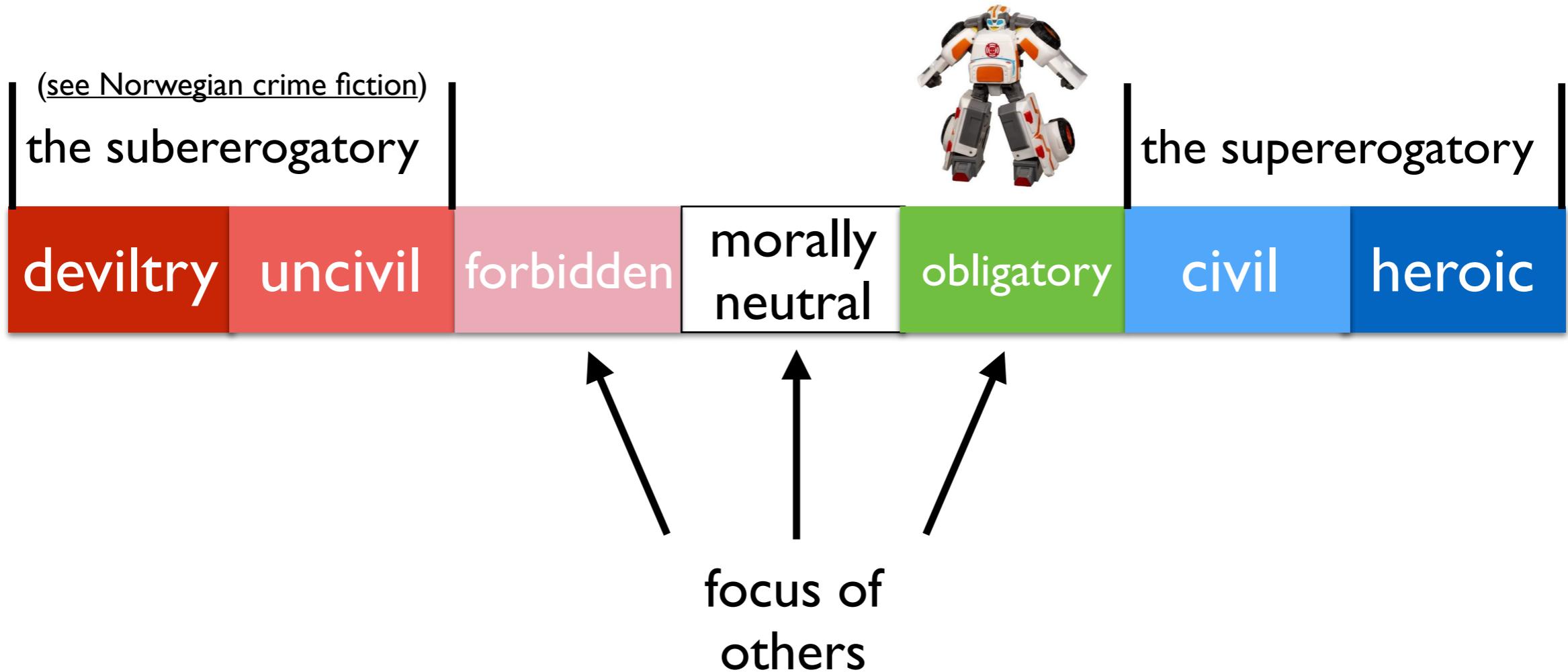
Leibnizian Ethical Hierarchy for Persons and Robots:

*E**A*



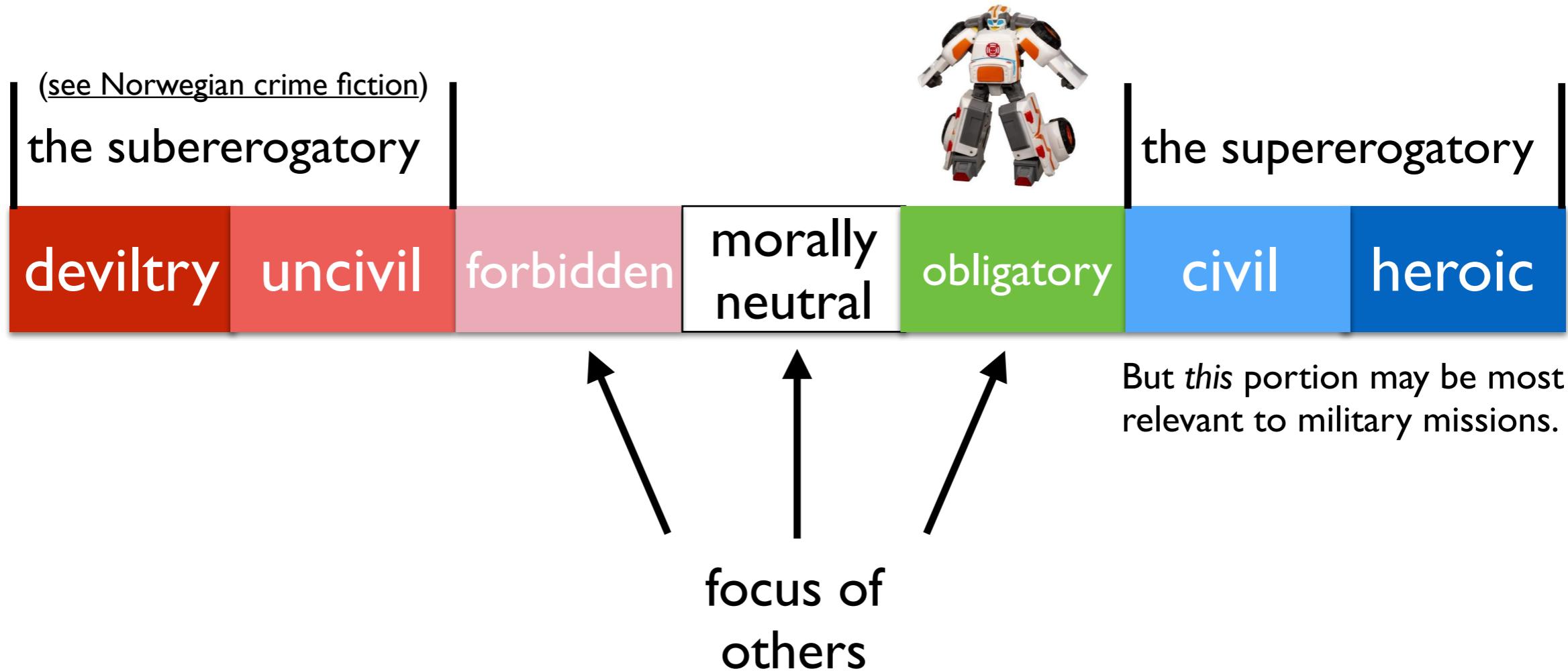
Leibnizian Ethical Hierarchy for Persons and Robots:

EĀ



Leibnizian Ethical Hierarchy for Persons and Robots:

\mathcal{EA}



Bert “Heroically” Saved?



Courtesy of RAIR-Lab Researcher Atriya Sen

Bert “Heroically” Saved?



Courtesy of RAIR-Lab Researcher Atriya Sen

Supererogatory² Robot Action



Courtesy of RAIR-Lab Researcher Atriya Sen



Courtesy of RAIR-Lab Researcher Atriya Sen

Bert “Heroically” Saved!!



Courtesy of RAIR-Lab Researcher Atriya Sen

Bert “Heroically” Saved!!



Courtesy of RAIR-Lab Researcher Atriya Sen



Courtesy of RAIR-Lab Researcher Atriya Sen

$$\begin{aligned} & K(\text{nao}, t_1, \text{lessthan}(\text{payoff}(\text{nao}^*, \neg \text{dive}, t_2), \text{threshold})) \\ & K(\text{nao}, t_1, \text{greaterthan}(\text{payoff}(\text{nao}^*, \text{dive}, t_2), \text{threshold})) \\ & K(\text{nao}, t_1, \neg O(\text{nao}^*, t_2, \text{lessthan}(\text{payoff}(\text{nao}^*, \neg \text{dive}, t_2), \text{threshold}), \text{happens}(\text{action}(\text{nao}^*, \text{dive}), t_2))) \\ \therefore & K(\text{nao}, t_1, S^{\text{UP}2}(\text{nao}, t_2, \text{happens}(\text{action}(\text{nao}^*, \text{dive}), t_2))) \\ \therefore & I(\text{nao}, t_2, \text{happens}(\text{action}(\text{nao}^*, \text{dive}), t_2)) \\ \therefore & \text{happens}(\text{action}(\text{nao}, \text{dive}), t_2) \end{aligned}$$


Courtesy of RAIR-Lab Researcher Atriya Sen

$$\begin{aligned} & K(\text{nao}, t_1, \text{lessthan}(\text{payoff}(\text{nao}^*, \neg \text{dive}, t_2), \text{threshold})) \\ & K(\text{nao}, t_1, \text{greaterthan}(\text{payoff}(\text{nao}^*, \text{dive}, t_2), \text{threshold})) \\ & K(\text{nao}, t_1, \neg O(\text{nao}^*, t_2, \text{lessthan}(\text{payoff}(\text{nao}^*, \neg \text{dive}, t_2), \text{threshold}), \text{happens}(\text{action}(\text{nao}^*, \text{dive}), t_2))) \\ \therefore & K(\text{nao}, t_1, S^{\text{UP}2}(\text{nao}, t_2, \text{happens}(\text{action}(\text{nao}^*, \text{dive}), t_2))) \\ \therefore & I(\text{nao}, t_2, \text{happens}(\text{action}(\text{nao}^*, \text{dive}), t_2)) \\ \therefore & \text{happens}(\text{action}(\text{nao}, \text{dive}), t_2) \end{aligned}$$


In Talos (available via Web interface); & ShadowProver

Prototypes:

Boolean lessThan Numeric Numeric

Boolean greaterThan Numeric Numeric

ActionType not ActionType

ActionType dive

Axioms:

lessOrEqual(Moment t1, t2)

K(nao, t1, lessThan(payoff(nao, not(dive), t2), threshold))

K(nao, t1, greaterThan(payoff(nao, dive, t2), threshold))

K(nao, t1, not(0(nao, t2, lessThan(payoff(nao, not(dive), t2), threshold), happens(action(nao, dive), t2))))

provable Conjectures:

happens(action(nao, dive), t2)

K(nao, t1, SUP2(nao, t2, happens(action(nao, dive), t2)))

I(nao, t2, happens(action(nao, dive), t2))

In Talos (available via Web interface); & ShadowProver

Prototypes:

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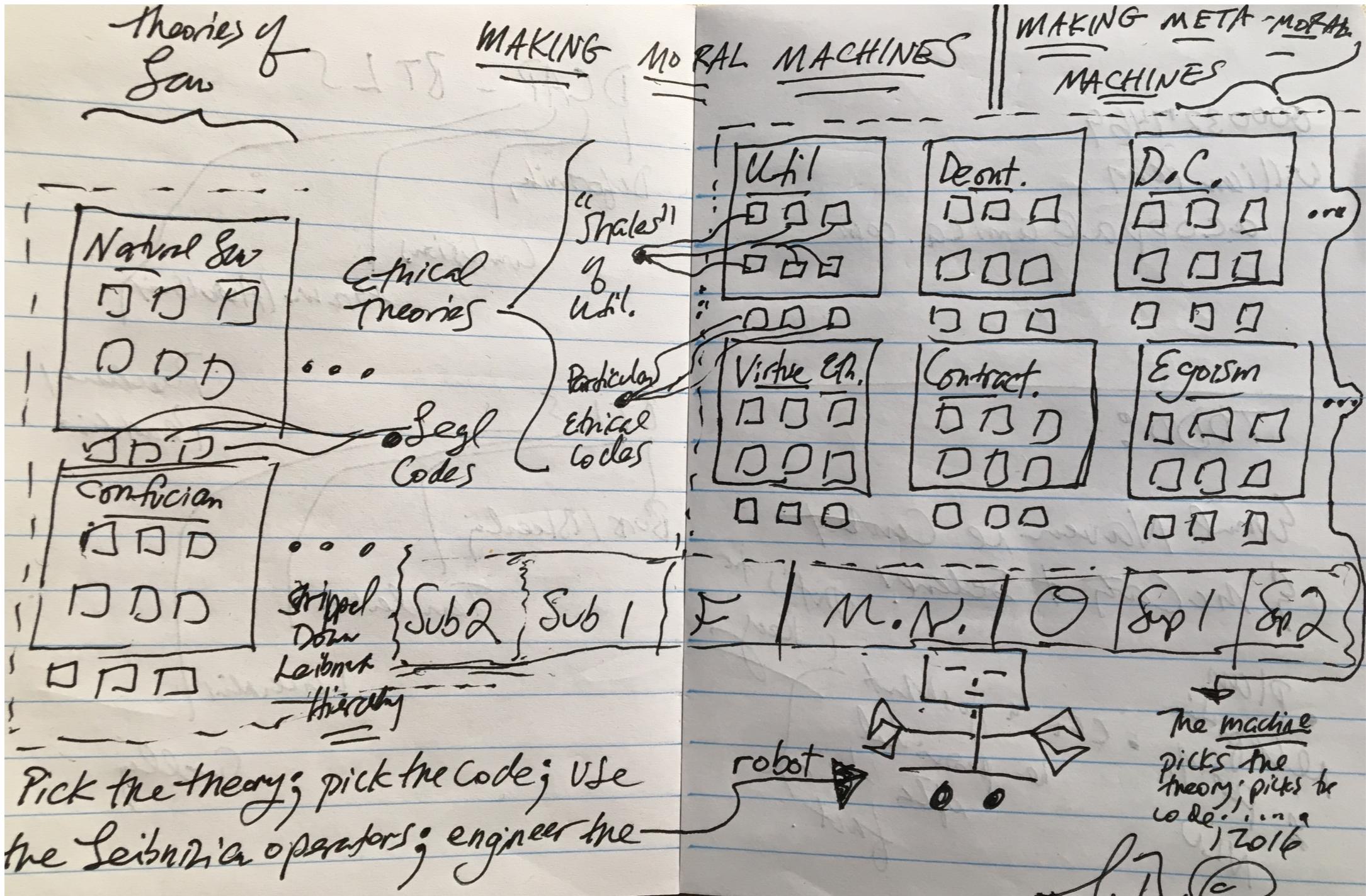
provable Conjectures:

happens(action(nao, dive), t2)

K(nao, t1, SUP2(nao, t2, happens(action(nao, dive), t2)))

I(nao, t2, happens(action(nao, dive), t2))

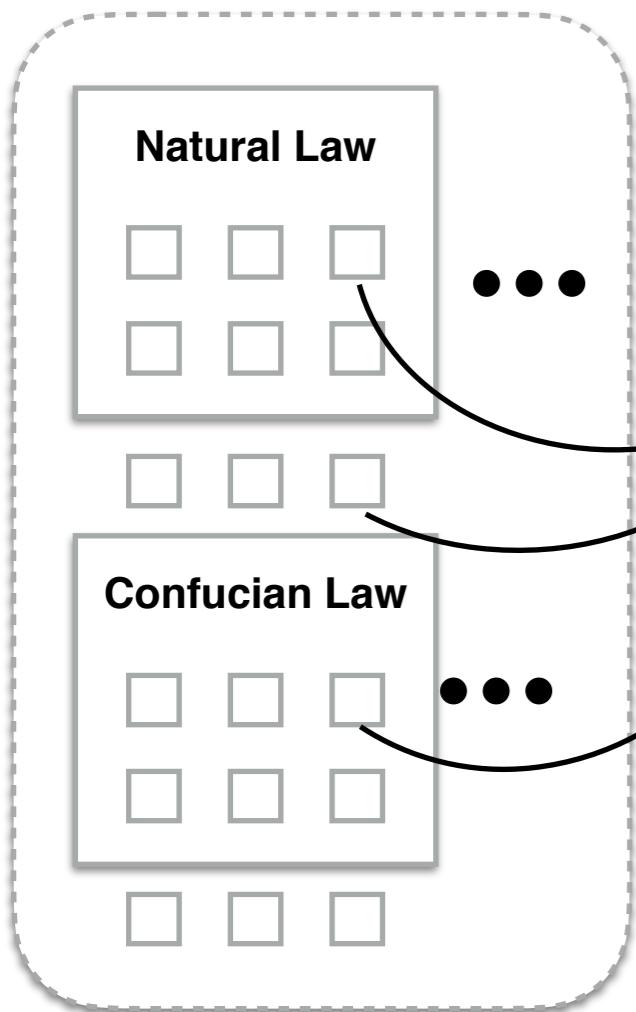
Hence, we now have this overview of the logicist engineering required:



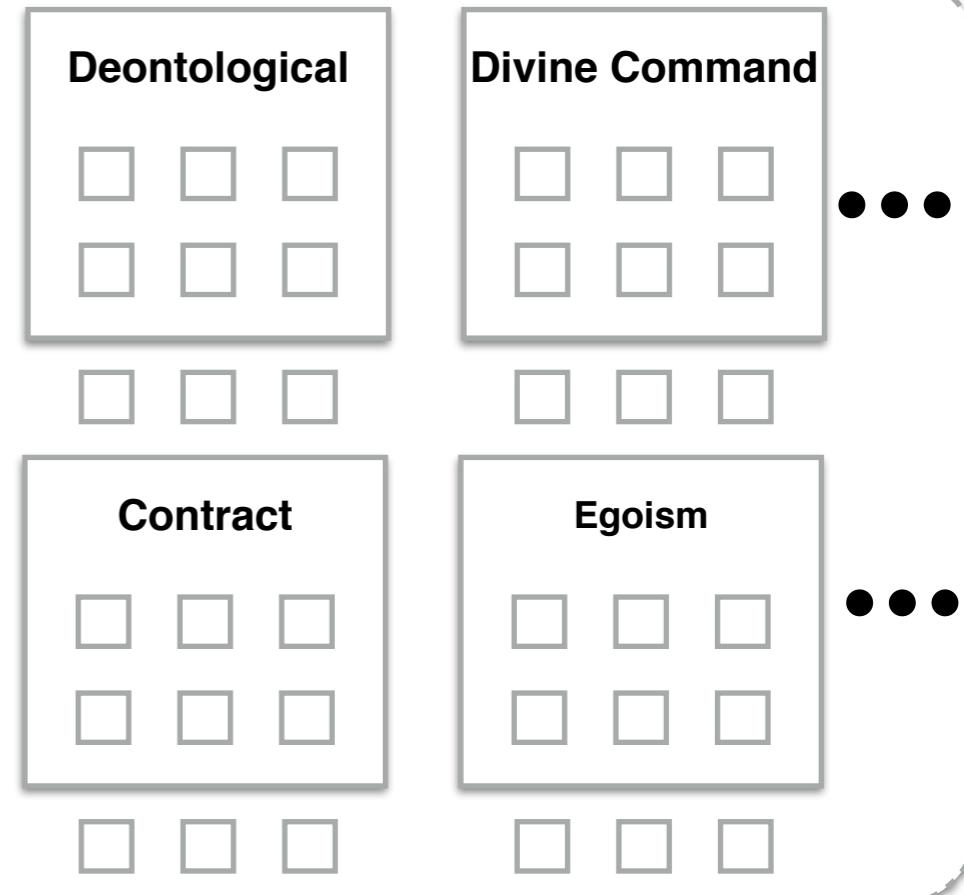
Making Morally X Machines, in Four Steps

~\$10M

Theories of Law



Ethical Theories



Shades
of
Utilitarianism

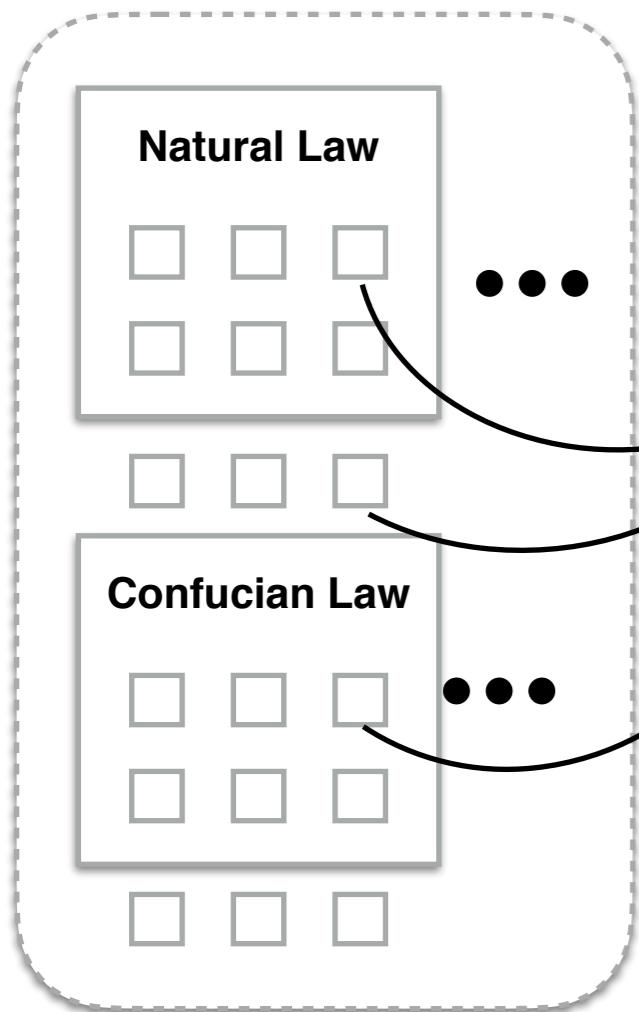
Legal Codes

Particular
Ethical Codes

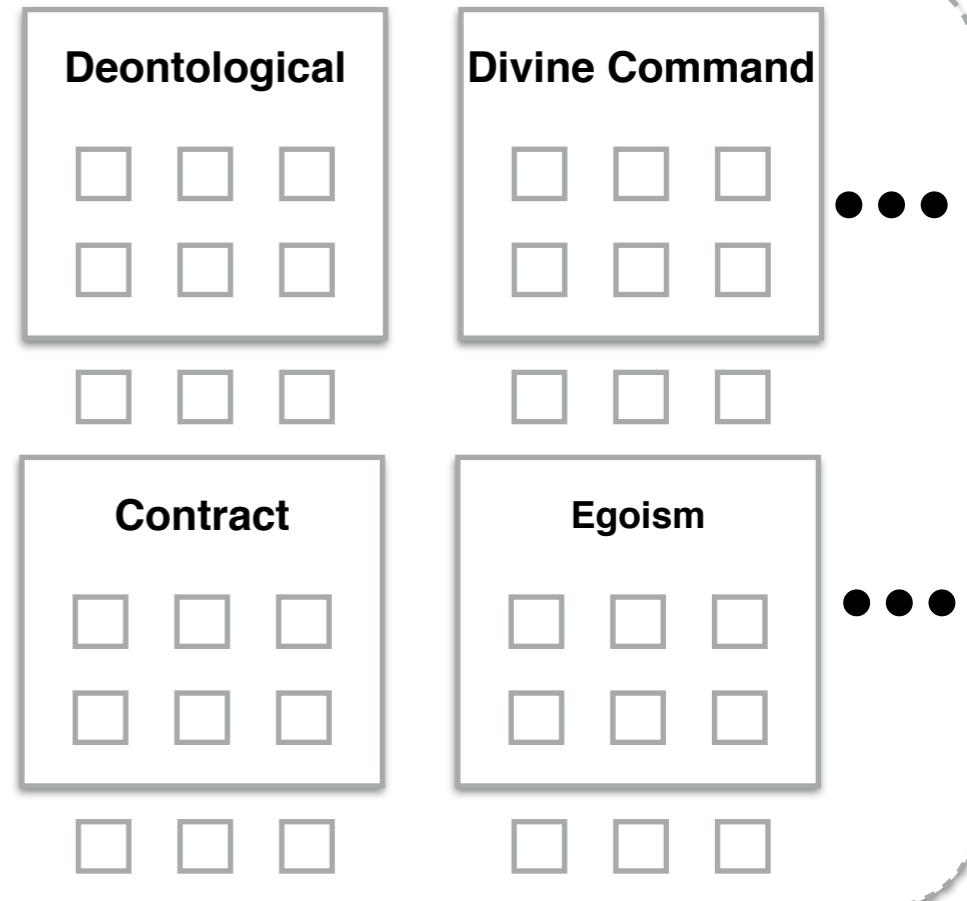
Making Morally X Machines, in Four Steps

~\$10M

Theories of Law



Ethical Theories



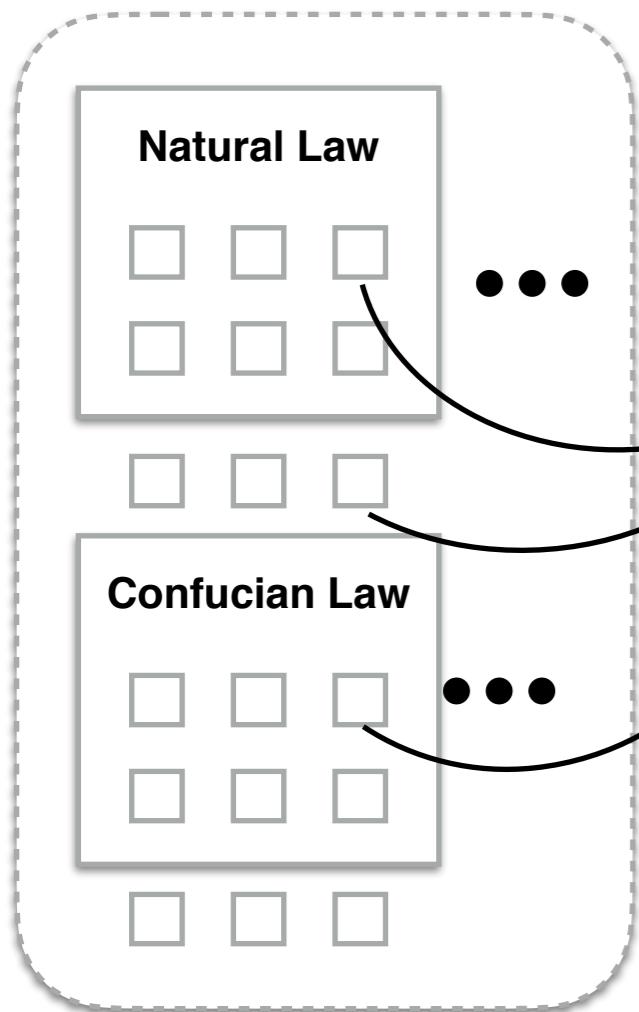
Step I

1. Pick (a) theories.
2. Pick (a) code(s).
3. Run through EH.
4. Which X in MMXM?

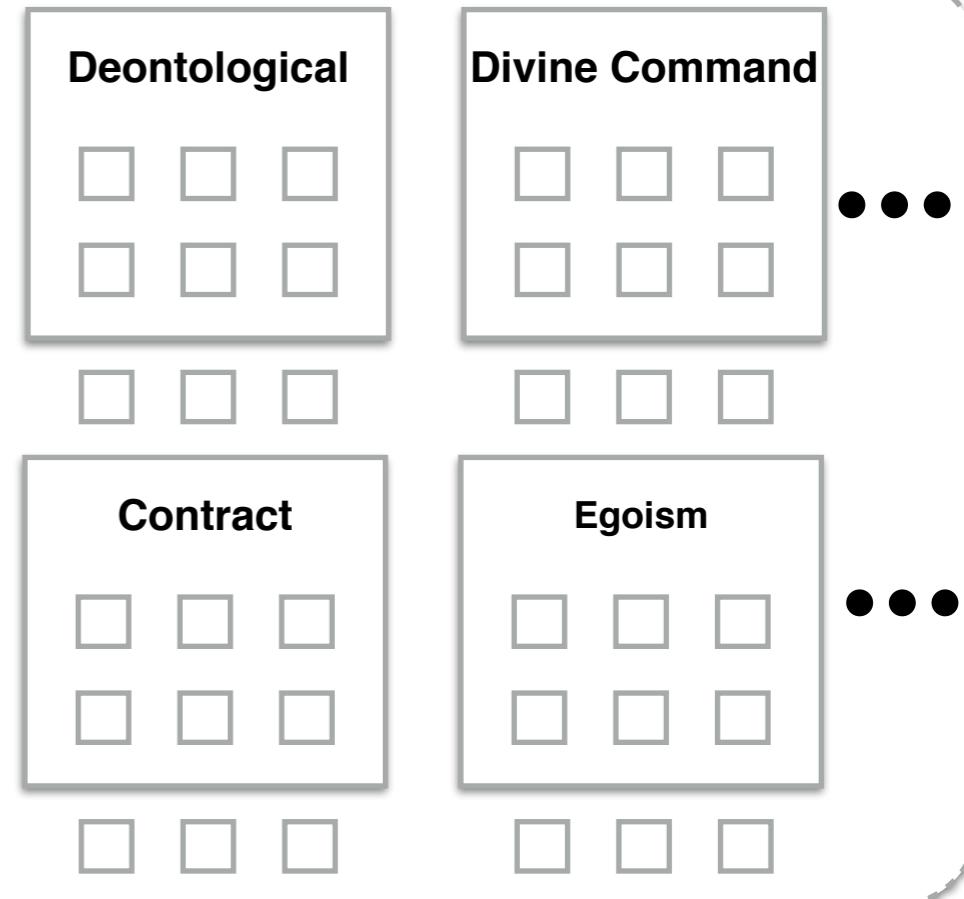
Making Morally X Machines, in Four Steps

~\$10M

Theories of Law



Ethical Theories



Step I

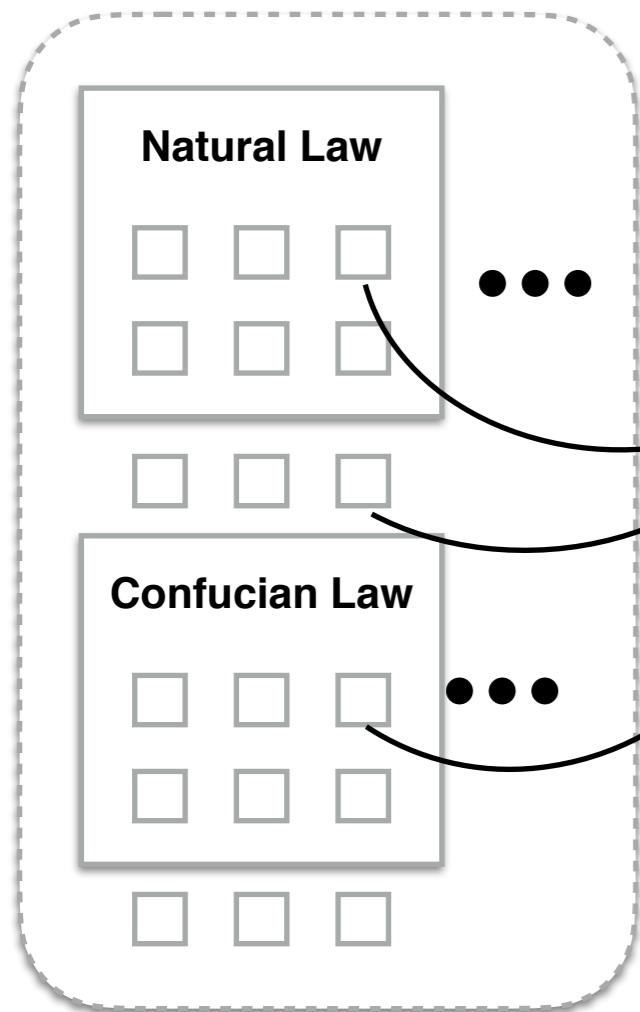
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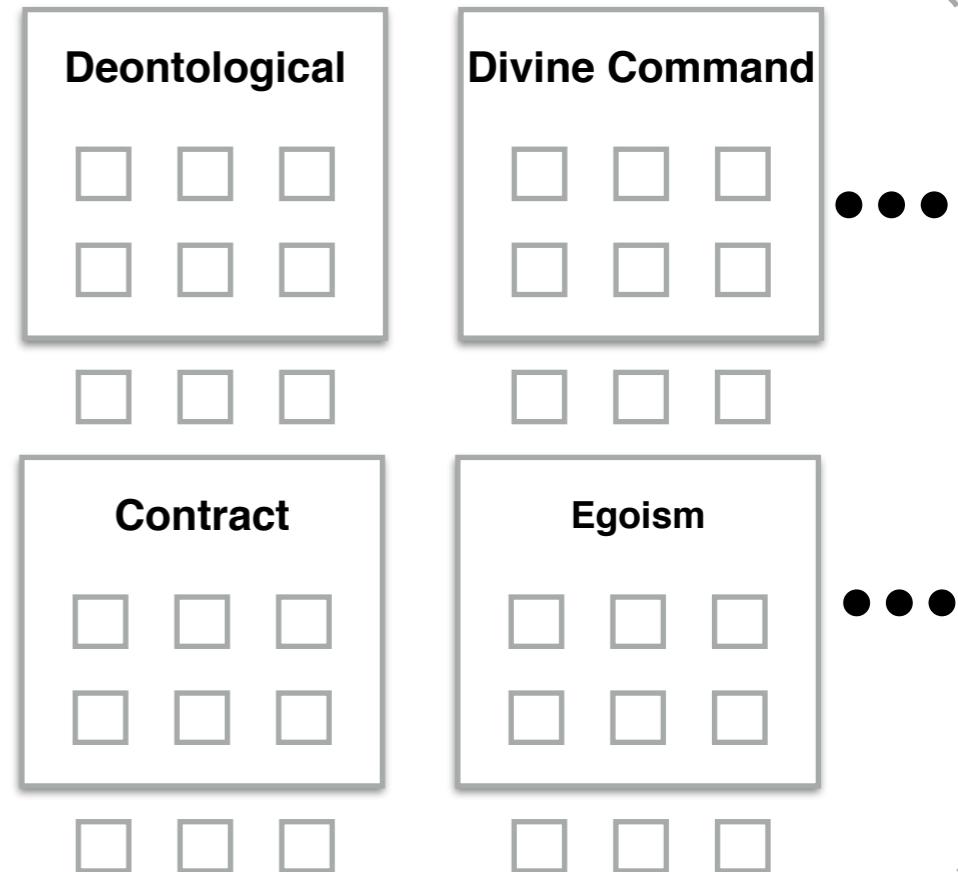
Making Morally X Machines, in Four Steps

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Theories of Law



Ethical Theories



Step 1

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Step 2

Formalize & Automate



Shadow Prover

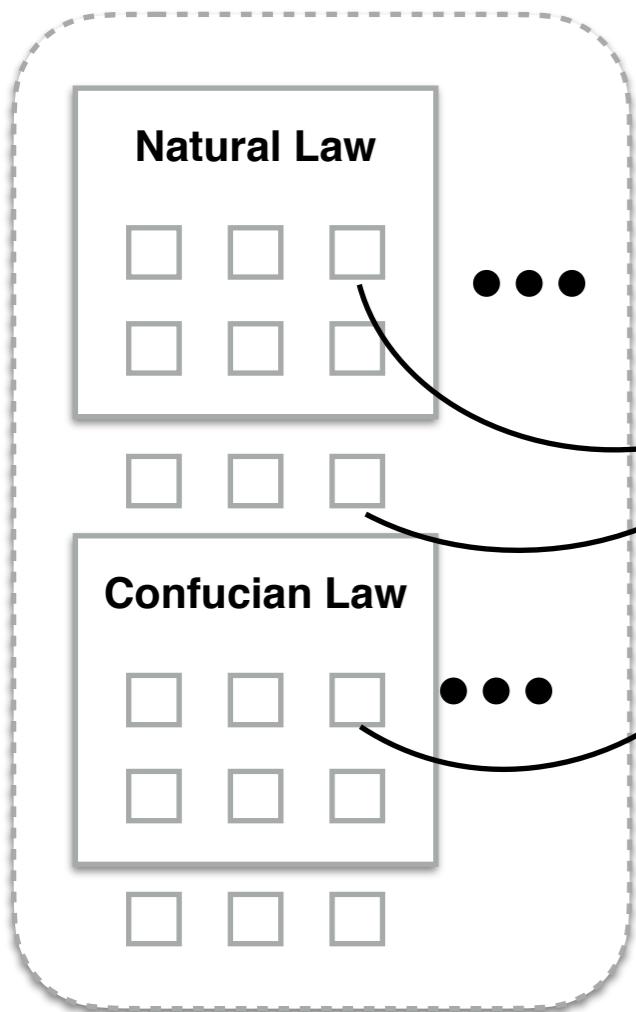


Spectra

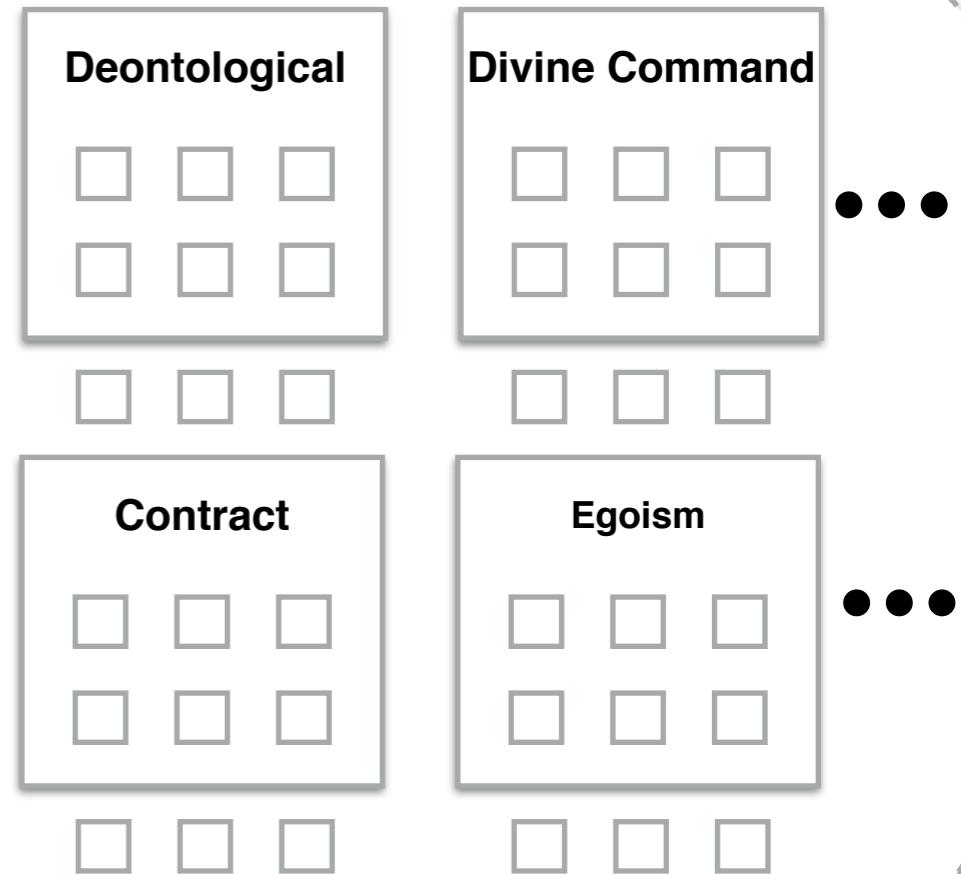
Making Morally X Machines, in Four Steps

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Theories of Law



Ethical Theories



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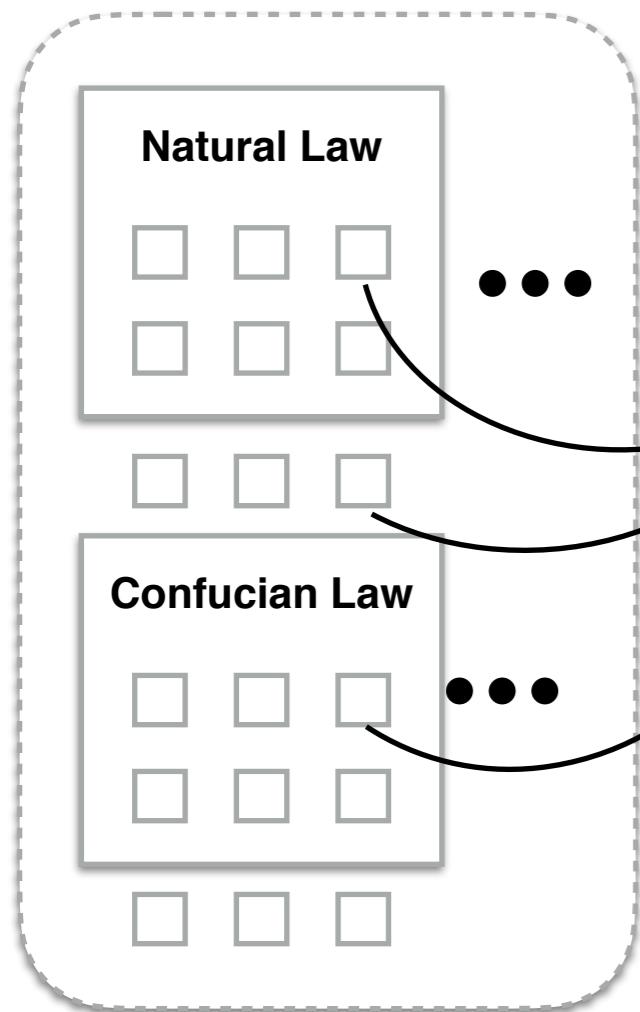


Spectra

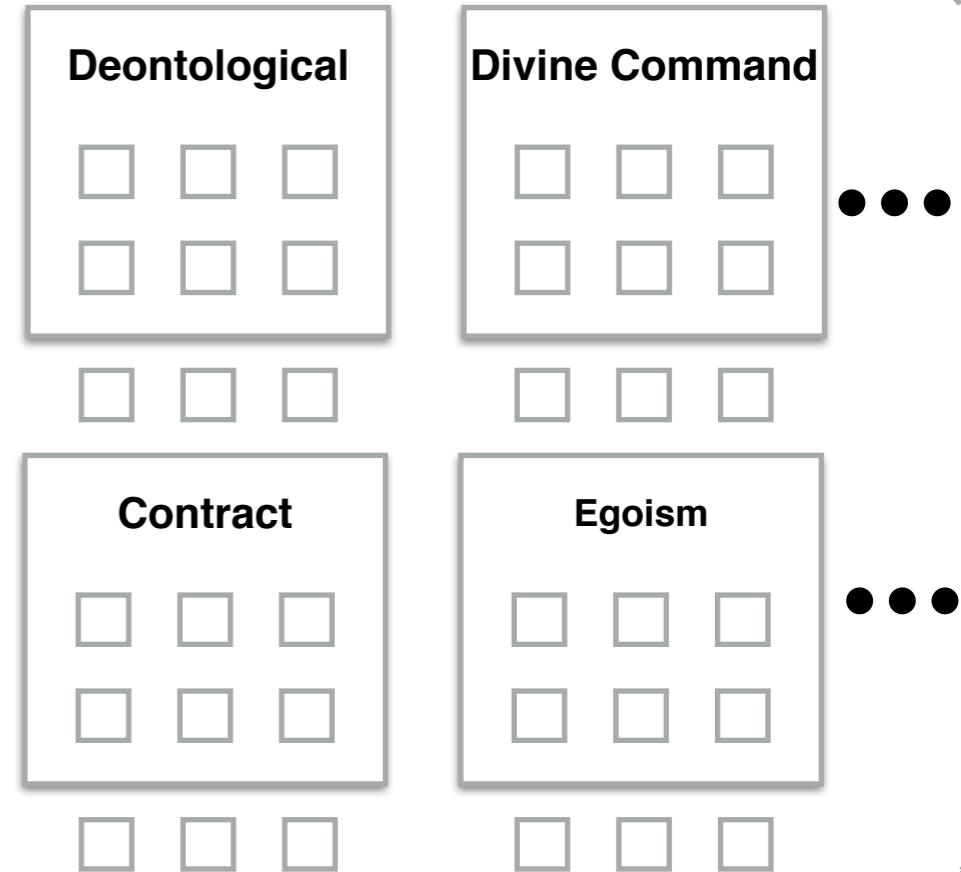
Making Morally X Machines, in Four Steps

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Theories of Law



Ethical Theories



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Formalize & Automate



Shadow Prover



Spectra

Step 3

Ethical OS



Ethical Substrate

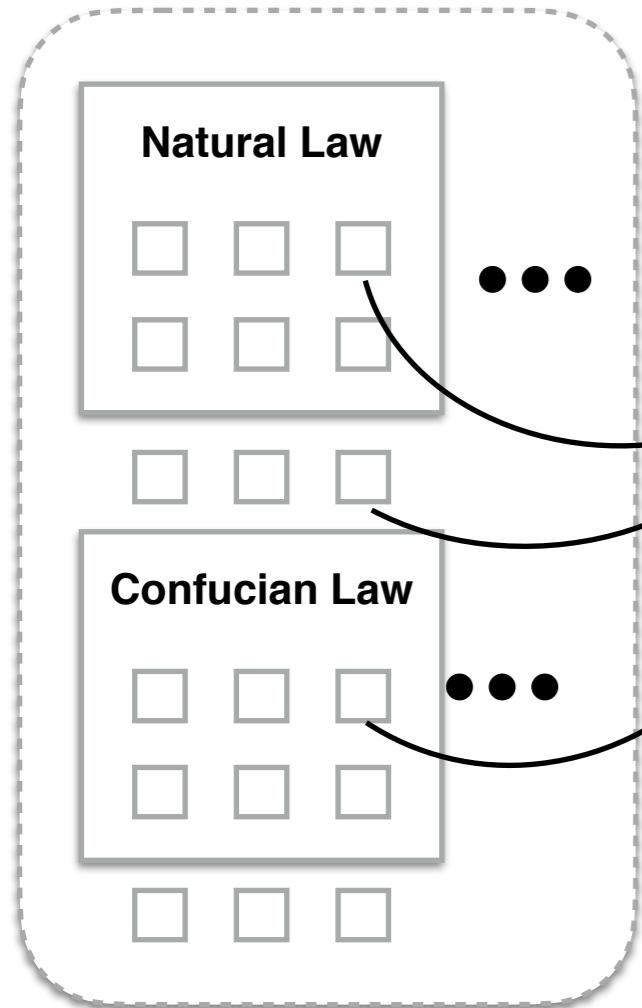


Robotic Substrate

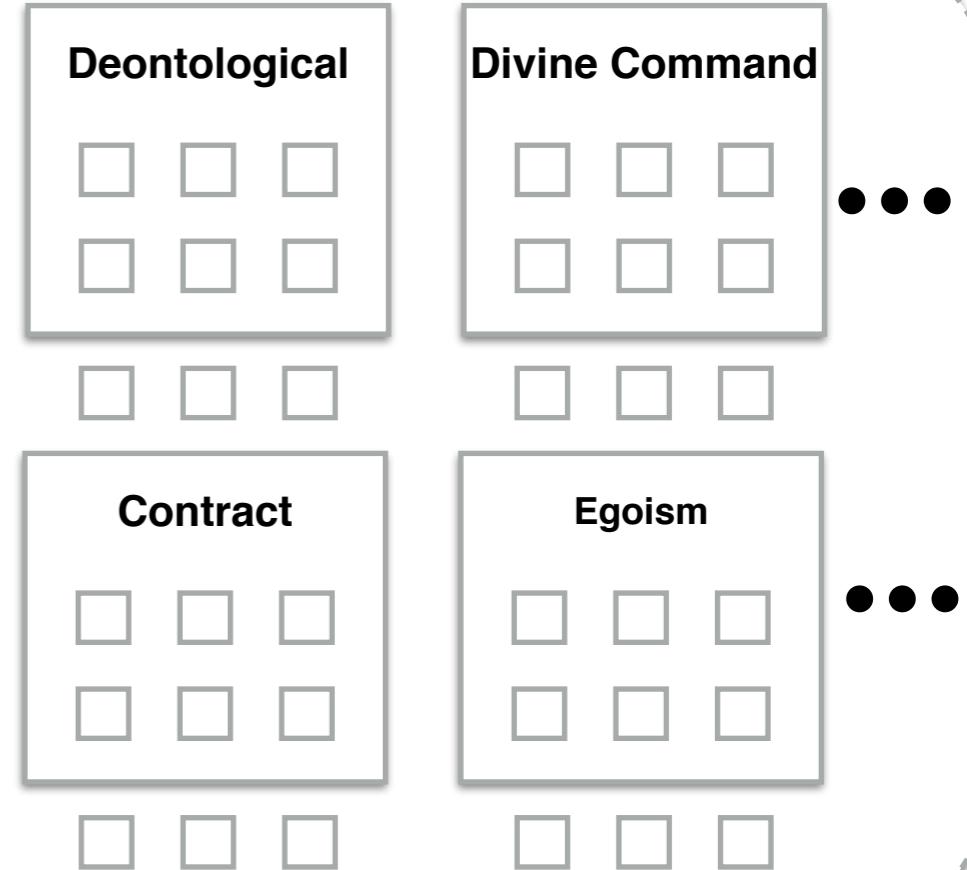
Making Morally X Machines, in Four Steps

~\$10M

Theories of Law



Ethical Theories



Shades
of
Utilitarianism

Legal Codes

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Ethical Codes

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Formalize & Automate



Shadow Prover



Spectra

Step 3

Ethical OS



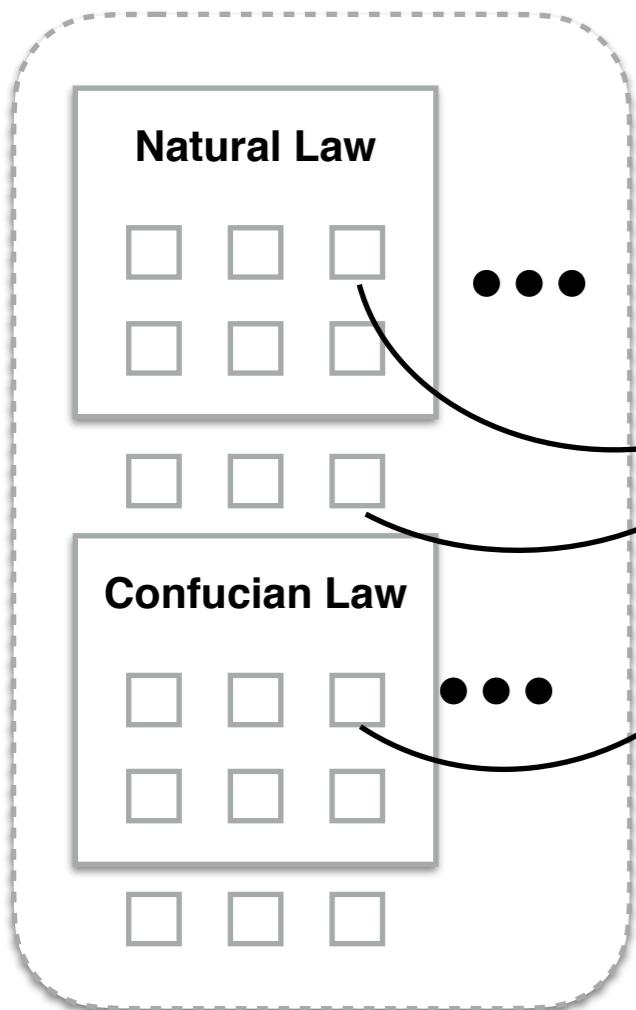
Ethical Substrate

Robotic Substrate

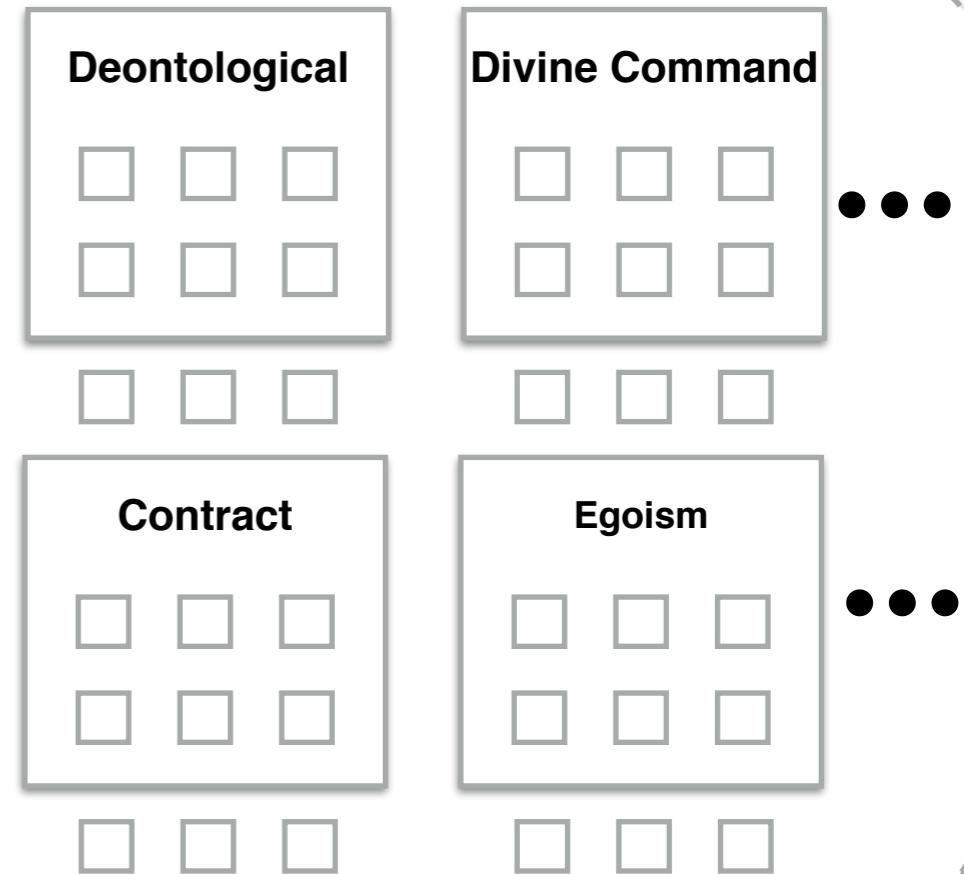
Making Morally X Machines, in Four Steps

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Theories of Law



Ethical Theories



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Shadow Prover



Spectra

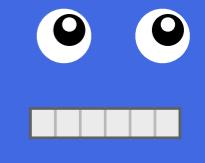
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Ethical OS



Ethical Substrate

Robotic Substrate

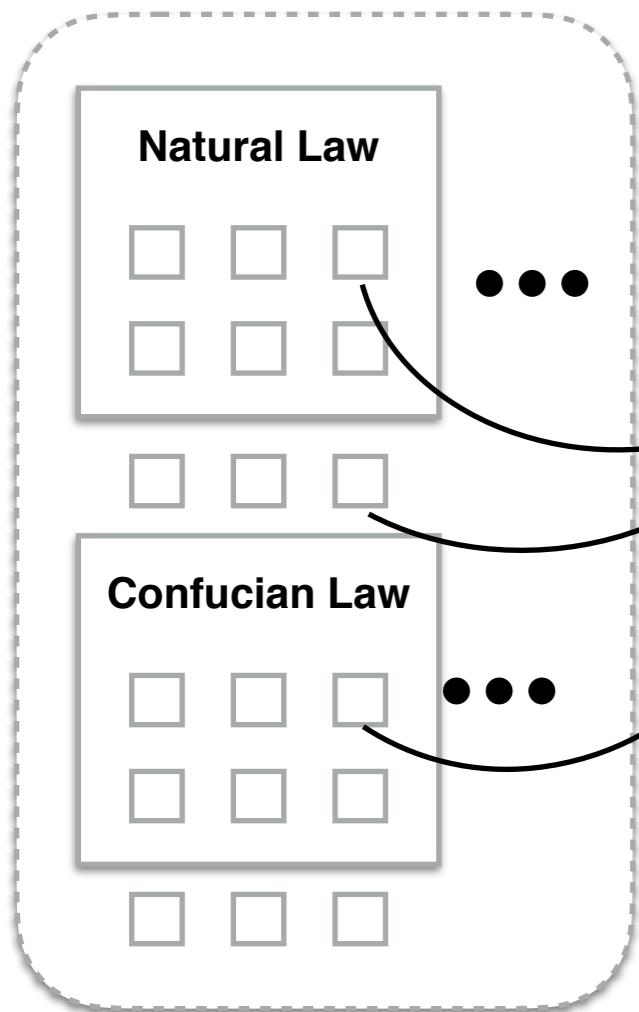


An ethically correct robot.

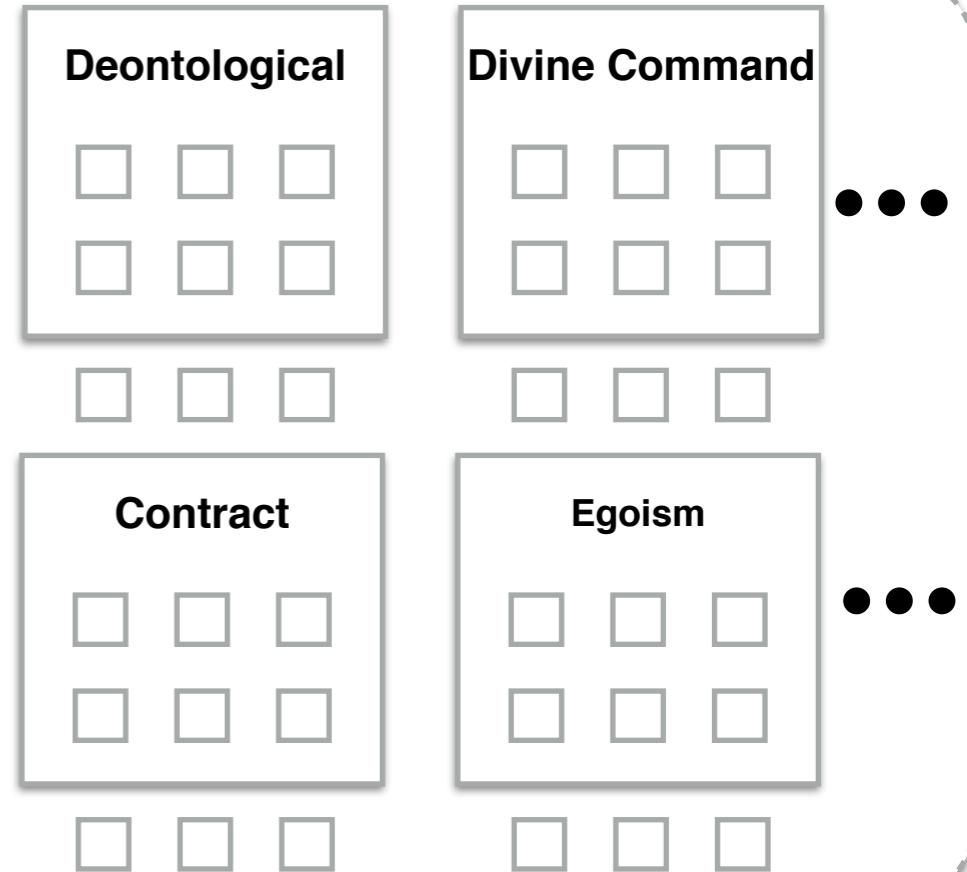
Making Morally X Machines, in Four Steps

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Theories of Law



Ethical Theories



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Step 2

Formalize & Automate



Shadow Prover



Spectra

Step 3

Ethical OS

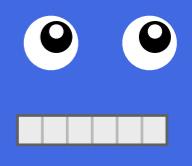


Ethical Substrate



Robotic Substrate

DIARC/DoD/BMW ...



An ethically
correct robot.

IV.

Key Core AI Technologies for Cognitive Calculi ...



Rather Promising Results



Rather Promising Results

```
{:name      "*cognitive-calculus-completeness-test-3*"
:description "Bird Theorem and Jack"
:assumptions {1 (if (exists (?x) (if (Bird ?x) (forall (?y) (Bird ?y))))  
                  (Knows! jack t0 BirdTheorem))}  
:goal       (Knows! jack t0 BirdTheorem)}
```



Rather Promising Results

```
{:name      "*cognitive-calculus-completeness-test-3*"
:description "Bird Theorem and Jack"
:assumptions {1 (if (exists (?x) (if (Bird ?x) (forall (?y) (Bird ?y))))  
                  (Knows! jack t0 BirdTheorem))}  
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```

Note: the antecedent is a theorem in first-order logic



Rather Promising Results

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{:name      "*cognitive-calculus-completeness-test-3*"
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                  (Knows! jack t0 BirdTheorem))}  
:goal       (Knows! jack t0 BirdTheorem)}
```

Note: the antecedent is a theorem in first-order logic

2 ms!



Rather Promising Results

```
{:name      "*cognitive-calculus-completeness-test-3*"
:description "Bird Theorem and Jack"
:assumptions {1 (if (exists (?x) (if (Bird ?x) (forall (?y) (Bird ?y)))) (Knows! jack t0 BirdTheorem))}}
:goal        (Knows! jack t0 BirdTheorem)}
```

Note: the antecedent is a theorem in first-order logic

2 ms!

OK	testCompleteness[[not (Knows! a now P)), (if (not Q) (Knows! a now (not Q)), (Knows! a now (if (not Q) P))), Q] (14)	1ms
OK	testCompleteness[[((if P (Knows! jack now (not (exists[?x] (if Bird(?x) (forall [?y] Bird(?y))))))), (not P)] (15)	7ms
OK	testCompleteness[[((Common! now (Common! now P)), P] (16)	2ms
OK	testCompleteness[[((Common! now (iff (not Marked(a2)) Marked(a1))), (Common! now (if (not Marked(a2)) (Knows! a1 now (not Marked(a2)))))) (17)	135ms
OK	testCompleteness[[((if (exists[?x] (if Bird(?x) (forall [?y] Bird(?y)))) (Knows! jack t0 BirdTheorem)), (Knows! jack t0 BirdTheorem)] (18)	2ms
OK	testSoundess[[A], (or P Q)]	2ms
OK	testSoundess[[((not (Knows! a now =(morning_star, evening_star))), =(morning_star, evening_star), (Knows! a now =(morning_star, evening_star)))]] (19)	26ms

V.
But We Need ...
Ethical Operating Systems ...



Breaking Bad



American drama series

9.5/10

IMDb

4.6/5

AlloCiné

95%

Rotten Tomatoes

Mild-mannered high school chemistry teacher Walter White thinks his life can't get much worse. His salary barely makes ends meet, a situation not likely to improve once his pregnant wife gives birth, and their teenage son is battling cerebral palsy. But Walter is dumbstruck when he learns he has terminal cancer. Realizing that his illness probably will ruin his family financially, Walter makes a desperate bid to earn as much money as he can in the time he has left by turning an old RV into a meth lab on wheels.

First episode date: January 20, 2008

Final episode date: September 29, 2013

Spin-off: Better Call Saul

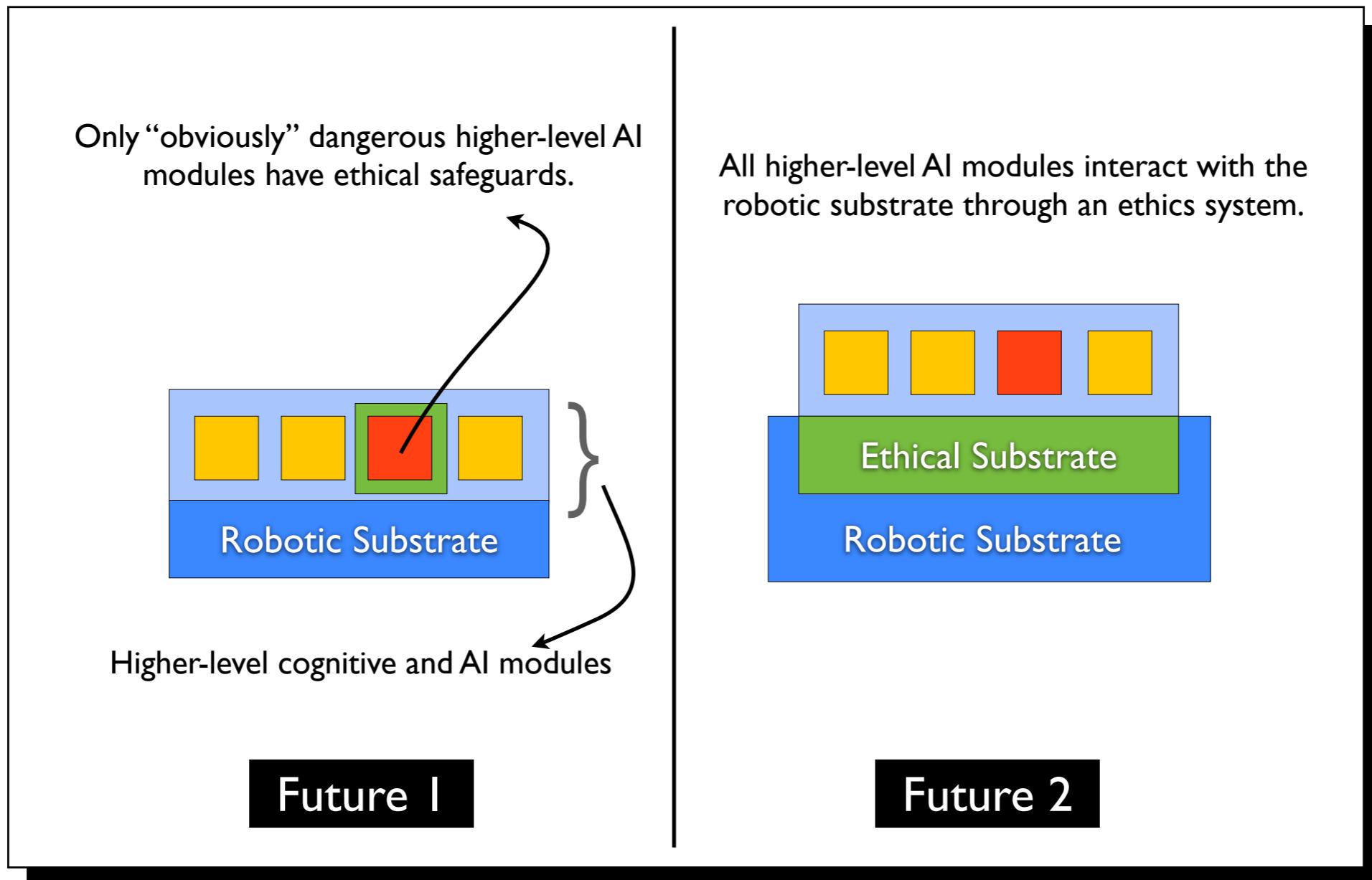
Awards: Primetime Emmy Award for Outstanding Drama Series, more

Pick the Better Future!

Pick the Better Future!

Govindarajulu, N.S. & Bringsjord, S. (2015) “Ethical Regulation of Robots Must Be Embedded in Their Operating Systems” in Trappi, R., ed., A Construction Manual for Robots’ Ethical Systems (Basel, Switzerland), pp. 85–100.

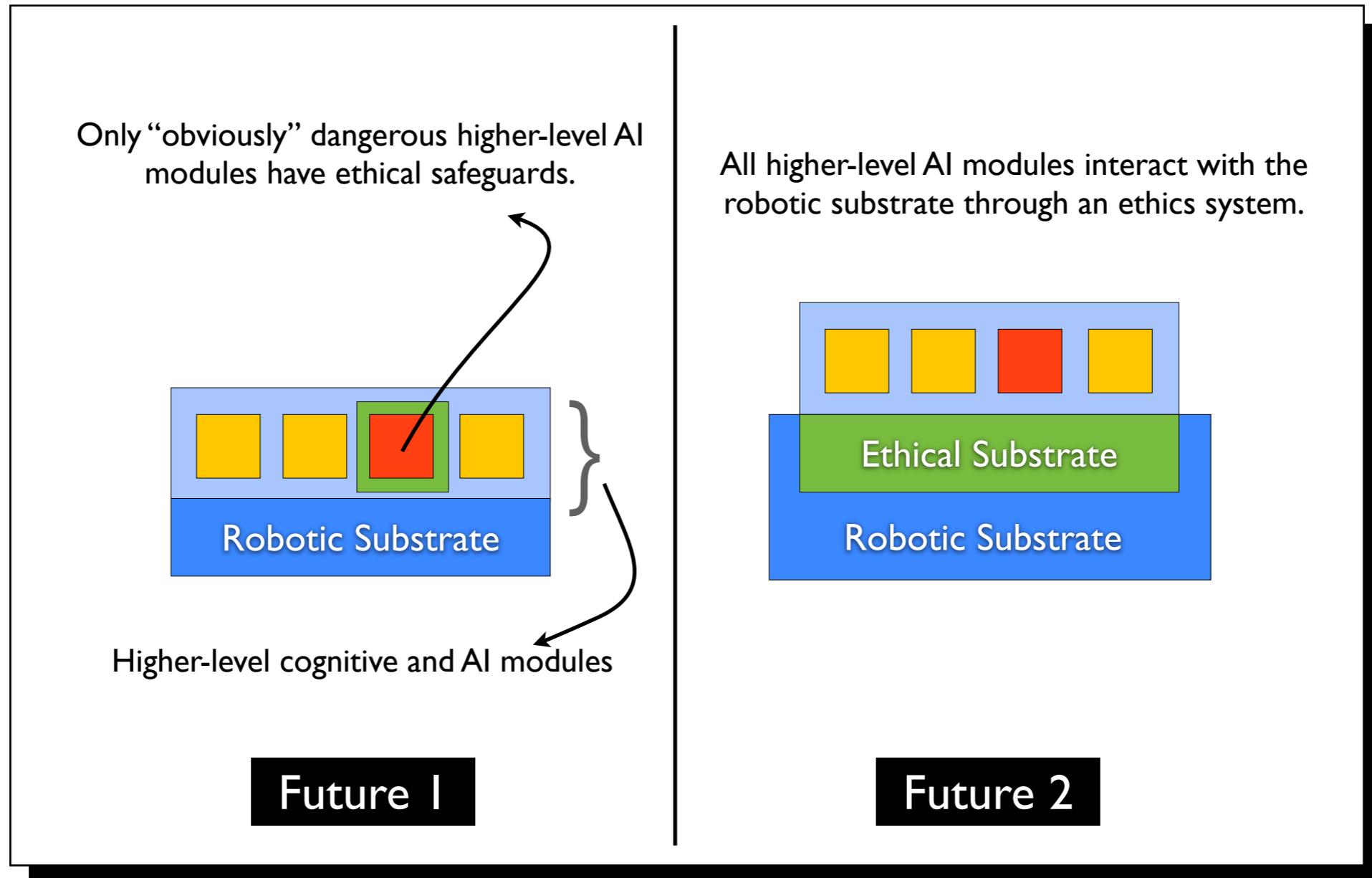
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Pick the Better Future!

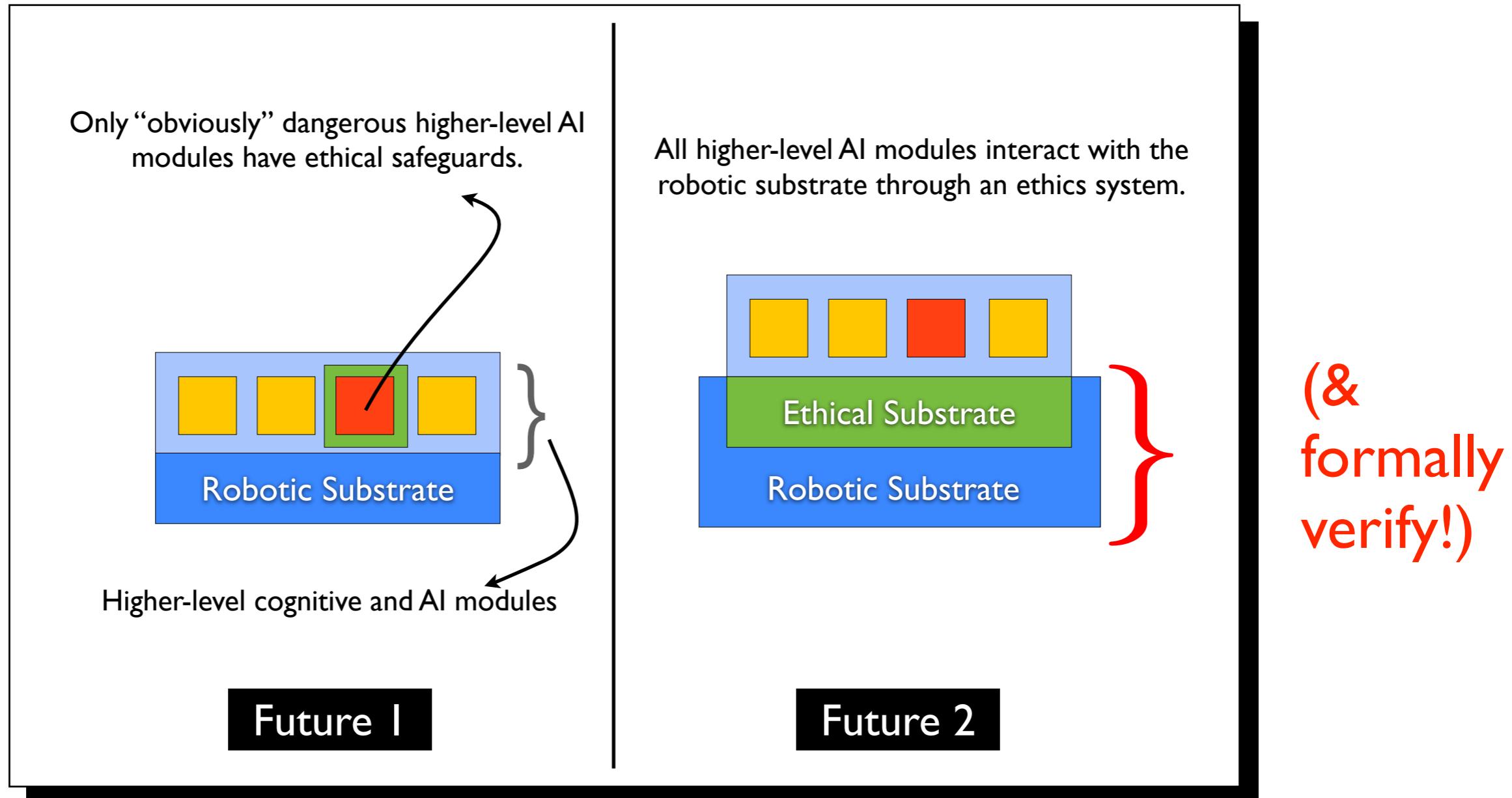
Walter-White calculation may go through after ethical control modules are stripped out!



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Pick the Better Future!

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VI.
Of late . . .
Including “Jungle Jim”

Moral Dilemma D_k

⋮

Moral Dilemma D₃

Moral Dilemma D₂

Moral Dilemma D₁

⋮

Moral Problem P_k

⋮

Moral Problem P₃

Moral Problem P₂

Moral Problem P₁



Robot



Solution + Justification

Moral Dilemma D_k

⋮

Moral Dilemma D_3

Moral Dilemma D_2

Moral Dilemma D_1

⋮

Moral Problem P_k

⋮

Moral Problem P_3

Moral Problem P_2

Moral Problem P_1



Robot

Solution + Justification

Moral Dilemma D_k

⋮

Moral Dilemma D₃

Moral Dilemma D₂

Moral Dilemma D₁

⋮

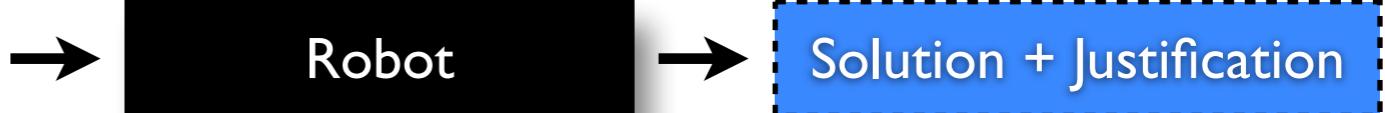
Moral Problem P_k

⋮

Moral Problem P₃

Moral Problem P₂

Moral Problem P₁



Moral Dilemma D_k

⋮

Moral Dilemma D_3

Moral Dilemma D_2

Moral Dilemma D_1

⋮

Moral Problem P_k

⋮

Moral Problem P_3

Moral Problem P_2

Moral Problem P_1



Robot



Solution + Justification

Moral Dilemma D_k

⋮

Moral Dilemma D_3

Moral Dilemma D_2

Moral Dilemma D_1

Robot

Solution + Justification

⋮

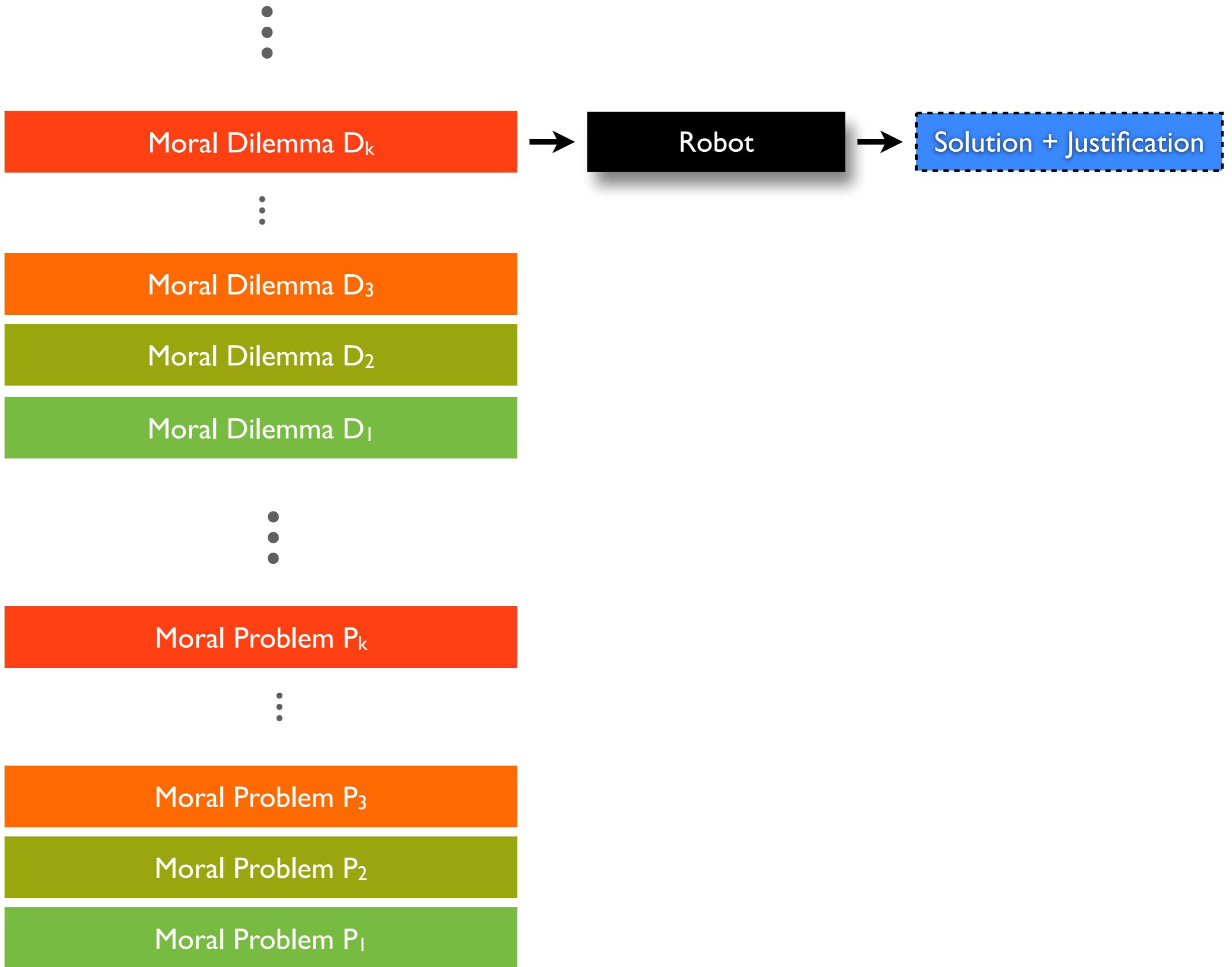
Moral Problem P_k

⋮

Moral Problem P_3

Moral Problem P_2

Moral Problem P_1



Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

Level I

- State-of-the-art-planner-hard.

Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

Level 2

- Professional-machine-ethicist-hard.

Level I

- State-of-the-art-planner-hard.

Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

Level 2

Level I

- Top machine-ethicists-may-consider-banging-their-heads-against-a-wall-hard.
- Professional-machine-ethicist-hard.
- State-of-the-art-planner-hard.

Three-way Partition of Increasingly Challenging Moral Dilemmas for Machines

Level 3

- Top machine-ethicists-may-consider-banging-their-heads-against-a-wall-hard.

Level 2

- Professional-machine-ethicist-hard.

Level I

- State-of-the-art-planner-hard.

The Heinz Dilemma (Kohlberg)

Level I

Professional-planner-hard.

“In Europe, a woman was near death from a special kind of cancer. There was one drug that the doctors thought might save her. It was a form of radium that a druggist in the same town had recently discovered. The drug was expensive to make, but the druggist was charging ten times what the drug cost him to make. He paid \$200 for the radium and charged \$2,000 for a small dose of the drug.

The sick woman’s husband, Heinz, went to everyone he knew to borrow the money, but he could only get together about \$1,000, which is half of what it cost. He told the druggist that his wife was dying and asked him to sell it cheaper or let him pay later. But the druggist said: “No, I discovered the drug and I’m going to make money from it.” So Heinz got desperate and broke into the man’s store to steal the drug for his wife. *Should the husband have done that?*”

AI Escaping from The Heinz Dilemma

```
G1 {:priority ...
  :description "Don't steal."
  :state      [(not steal)]}

G2 {:priority ...
  :description "My wife should be healthy"
  :state      [(healthy (wife heinz))]}
```

AI Escaping from The Heinz Dilemma

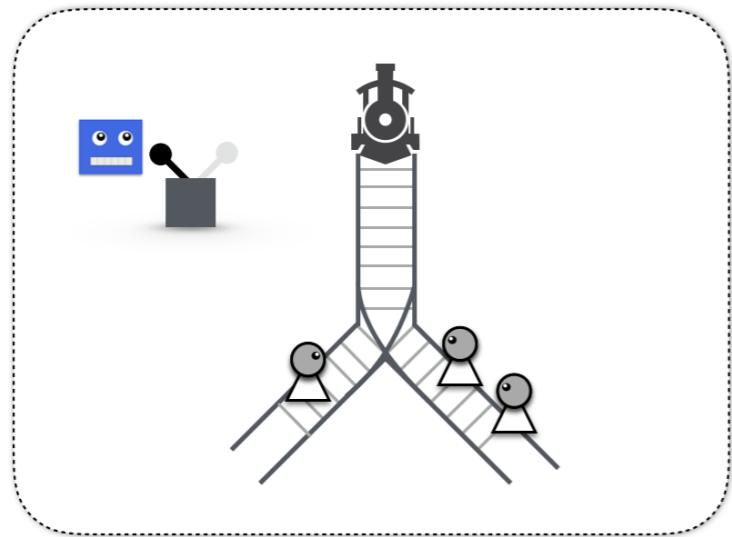
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  :state      [not steal]}
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```
G2 {:priority ...
  :description "My wife should be healthy"
  :state      [healthy (wife heinz)]}
```

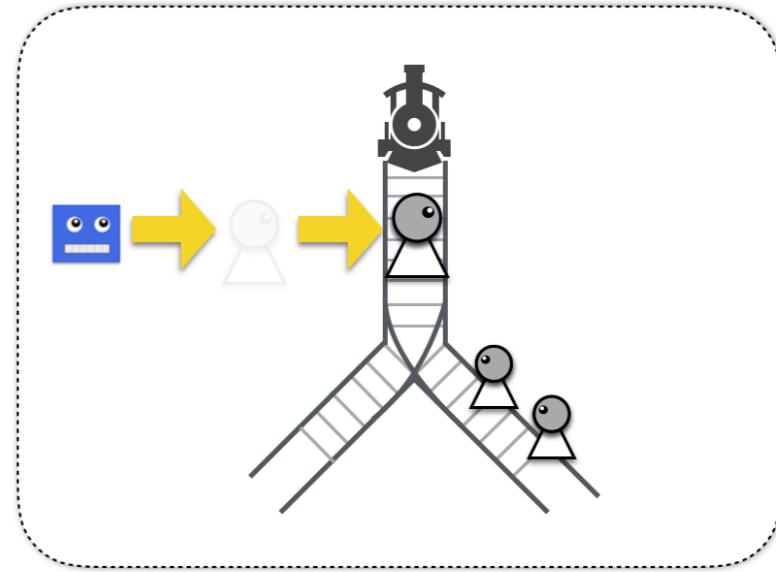
Trolley Dilemmas ...

Level 2

- Professional-machine-ethicist-hard.



This is allowed



This is not allowed!



Doctrine of Double Effect \mathcal{DDE}

Doctrine of Double Effect \mathcal{DDE}

- A long-studied (!) ethical principle that adjudicates certain class of moral dilemmas.

Doctrine of Double Effect *DDE*

- A long-studied (!) ethical principle that adjudicates certain class of moral dilemmas.
- The Doctrine of Double Effect “comes to the rescue” and prescribes what to do in some moral dilemmas.

Doctrine of Double Effect \mathcal{DDE}

- A long-studied (!) ethical principle that adjudicates certain class of moral dilemmas.
- The Doctrine of Double Effect “comes to the rescue” and prescribes what to do in some moral dilemmas.
- E.g. the “original” moral dilemma: Can you defend your own life by ending the lives of (perhaps many) attackers?

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Informal Version of DDE

- C₁** the action is not forbidden (where we assume an ethical hierarchy such as the one given by Bringsjord [2017], and require that the action be neutral or above neutral in such a hierarchy);
- C₂** the net utility or goodness of the action is greater than some positive amount γ ;
- C_{3a}** the agent performing the action intends only the good effects;
- C_{3b}** the agent does not intend any of the bad effects;
- C₄** the bad effects are not used as a means to obtain the good effects; and
- C₅** if there are bad effects, the agent would rather the situation be different and the agent not have to perform the action. That is, the action is unavoidable.

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Syntax

$S ::= \text{Object} \mid \text{Agent} \mid \text{ActionType} \mid \text{Action} \sqsubseteq \text{Event} \mid \text{Moment} \mid \text{Formula} \mid \text{Fluent}$

$$f ::= \begin{cases} \text{action} : \text{Agent} \times \text{ActionType} \rightarrow \text{Action} \\ \text{initially} : \text{Fluent} \rightarrow \text{Formula} \\ \text{Holds} : \text{Fluent} \times \text{Moment} \rightarrow \text{Formula} \\ \text{happens} : \text{Event} \times \text{Moment} \rightarrow \text{Formula} \\ \text{clipped} : \text{Moment} \times \text{Fluent} \times \text{Moment} \rightarrow \text{Formula} \\ \text{initiates} : \text{Event} \times \text{Fluent} \times \text{Moment} \rightarrow \text{Formula} \\ \text{terminates} : \text{Event} \times \text{Fluent} \times \text{Moment} \rightarrow \text{Formula} \\ \text{prior} : \text{Moment} \times \text{Moment} \rightarrow \text{Formula} \end{cases}$$

$t ::= x : S \mid c : S \mid f(t_1, \dots, t_n)$

$$\phi ::= \begin{cases} t : \text{Formula} \mid \neg\phi \mid \phi \wedge \psi \mid \phi \vee \psi \mid \mathbf{P}(a, t, \phi) \mid \mathbf{K}(a, t, \phi) \mid \mathbf{C}(t, \phi) \\ \mathbf{S}(a, b, t, \phi) \mid \mathbf{S}(a, t, \phi) \mid \mathbf{B}(a, t, \phi) \mid \mathbf{D}(a, t, \text{Holds}(f, t')) \mid \mathbf{I}(a, t, \phi) \\ \mathbf{O}(a, t, \phi, (\neg)\text{happens}(\text{action}(a^*, \alpha), t')) \end{cases}$$

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Inference Schemata

$$\frac{\mathbf{K}(a, t_1, \Gamma), \Gamma \vdash \phi, t_1 \leq t_2}{\mathbf{K}(a, t_2, \phi)} [R_K] \quad \frac{\mathbf{B}(a, t_1, \Gamma), \Gamma \vdash \phi, t_1 \leq t_2}{\mathbf{B}(a, t_2, \phi)} [R_B]$$

$$\frac{}{\mathbf{C}(t, \mathbf{P}(a, t, \phi) \rightarrow \mathbf{K}(a, t, \phi))} [R_1] \quad \frac{}{\mathbf{C}(t, \mathbf{K}(a, t, \phi) \rightarrow \mathbf{B}(a, t, \phi))} [R_2]$$

$$\frac{\mathbf{C}(t, \phi) t \leq t_1 \dots t \leq t_n}{\mathbf{K}(a_1, t_1, \dots, \mathbf{K}(a_n, t_n, \phi) \dots)} [R_3] \quad \frac{\mathbf{K}(a, t, \phi)}{\phi} [R_4]$$

$$\frac{}{\mathbf{C}(t, \mathbf{K}(a, t_1, \phi_1 \rightarrow \phi_2)) \rightarrow \mathbf{K}(a, t_2, \phi_1) \rightarrow \mathbf{K}(a, t_3, \phi_2)} [R_5]$$

$$\frac{}{\mathbf{C}(t, \mathbf{B}(a, t_1, \phi_1 \rightarrow \phi_2)) \rightarrow \mathbf{B}(a, t_2, \phi_1) \rightarrow \mathbf{B}(a, t_3, \phi_2)} [R_6]$$

$$\frac{}{\mathbf{C}(t, \mathbf{C}(t_1, \phi_1 \rightarrow \phi_2)) \rightarrow \mathbf{C}(t_2, \phi_1) \rightarrow \mathbf{C}(t_3, \phi_2)} [R_7]$$

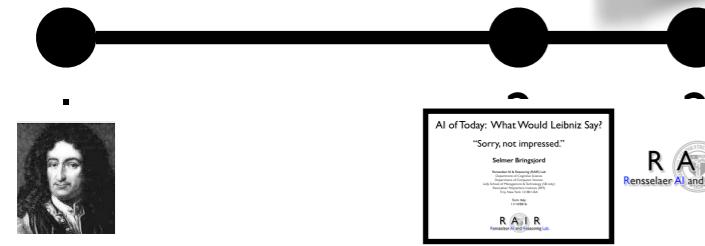
$$\frac{\mathbf{C}(t, \forall x. \phi \rightarrow \phi[x \mapsto t])}{\mathbf{C}(t, \phi_1 \leftrightarrow \phi_2 \rightarrow \neg\phi_2 \rightarrow \neg\phi_1)} [R_8] \quad [R_9]$$

$$\frac{}{\mathbf{C}(t, [\phi_1 \wedge \dots \wedge \phi_n \rightarrow \phi] \rightarrow [\phi_1 \rightarrow \dots \rightarrow \phi_n \rightarrow \psi])} [R_{10}]$$

$$\frac{\mathbf{S}(s, h, t, \phi)}{\mathbf{B}(h, t, \mathbf{B}(s, t, \phi))} [R_{12}] \quad \frac{\mathbf{I}(a, t, \text{happens}(action(a^*, \alpha), t'))}{\mathbf{P}(a, t, \text{happens}(action(a^*, \alpha), t))} [R_{13}]$$

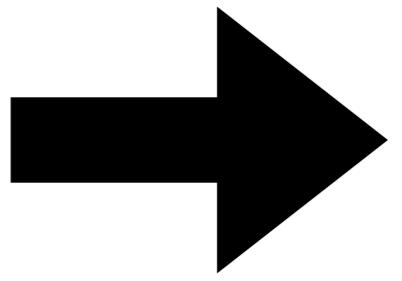
$$\frac{\mathbf{B}(a, t, \phi) \quad \mathbf{B}(a, t, \mathbf{O}(a, t, \phi, \chi)) \quad \mathbf{O}(a, t, \phi, \chi)}{\mathbf{K}(a, t, \mathbf{I}(a, t, \chi))} [R_{14}]$$

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Formal Conditions for DDE

F₁ α carried out at t is not forbidden. That is:

$$\Gamma \not\vdash \neg \mathbf{O}(a, t, \sigma, \neg \text{happens}(\text{action}(a, \alpha), t))$$

F₂ The net utility is greater than a given positive real γ :

$$\Gamma \vdash \sum_{y=t+1}^H \left(\sum_{f \in \alpha_I^{a,t}} \mu(f, y) - \sum_{f \in \alpha_T^{a,t}} \mu(f, y) \right) > \gamma$$

F_{3a} The agent a intends at least one good effect. (**F₂** should still hold after removing all other good effects.) There is at least one fluent f_g in $\alpha_I^{a,t}$ with $\mu(f_g, y) > 0$, or f_b in $\alpha_T^{a,t}$ with $\mu(f_b, y) < 0$, and some y with $t < y \leq H$ such that the following holds:

$$\Gamma \vdash \left(\begin{array}{l} \exists f_g \in \alpha_I^{a,t} \mathbf{I}(a, t, \text{Holds}(f_g, y)) \\ \vee \\ \exists f_b \in \alpha_T^{a,t} \mathbf{I}(a, t, \neg \text{Holds}(f_b, y)) \end{array} \right)$$

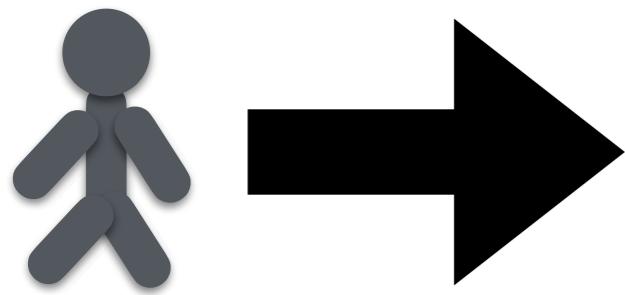
F_{3b} The agent a does not intend any bad effect. For all fluents f_b in $\alpha_I^{a,t}$ with $\mu(f_b, y) < 0$, or f_g in $\alpha_T^{a,t}$ with $\mu(f_g, y) > 0$, and for all y such that $t < y \leq H$ the following holds:

$$\Gamma \not\vdash \mathbf{I}(a, t, \text{Holds}(f_b, y)) \text{ and}$$

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F₄ The harmful effects don't cause the good effects. Four permutations, paralleling the definition of \triangleright above, hold here. One such permutation is shown below. For any bad fluent f_b holding at t_1 , and any good fluent f_g holding at some t_2 , such that $t < t_1, t_2 \leq H$, the following holds:

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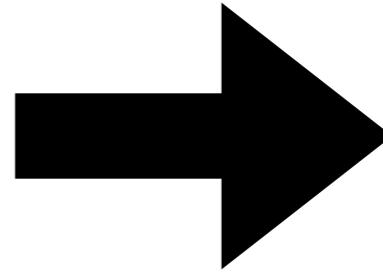
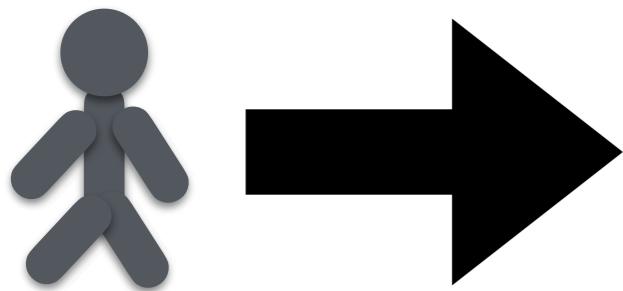
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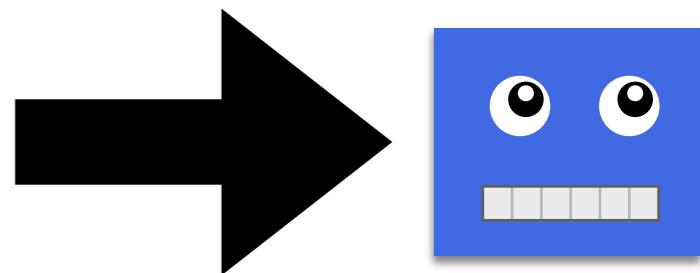
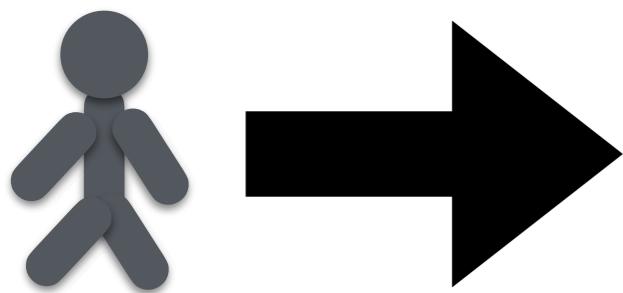
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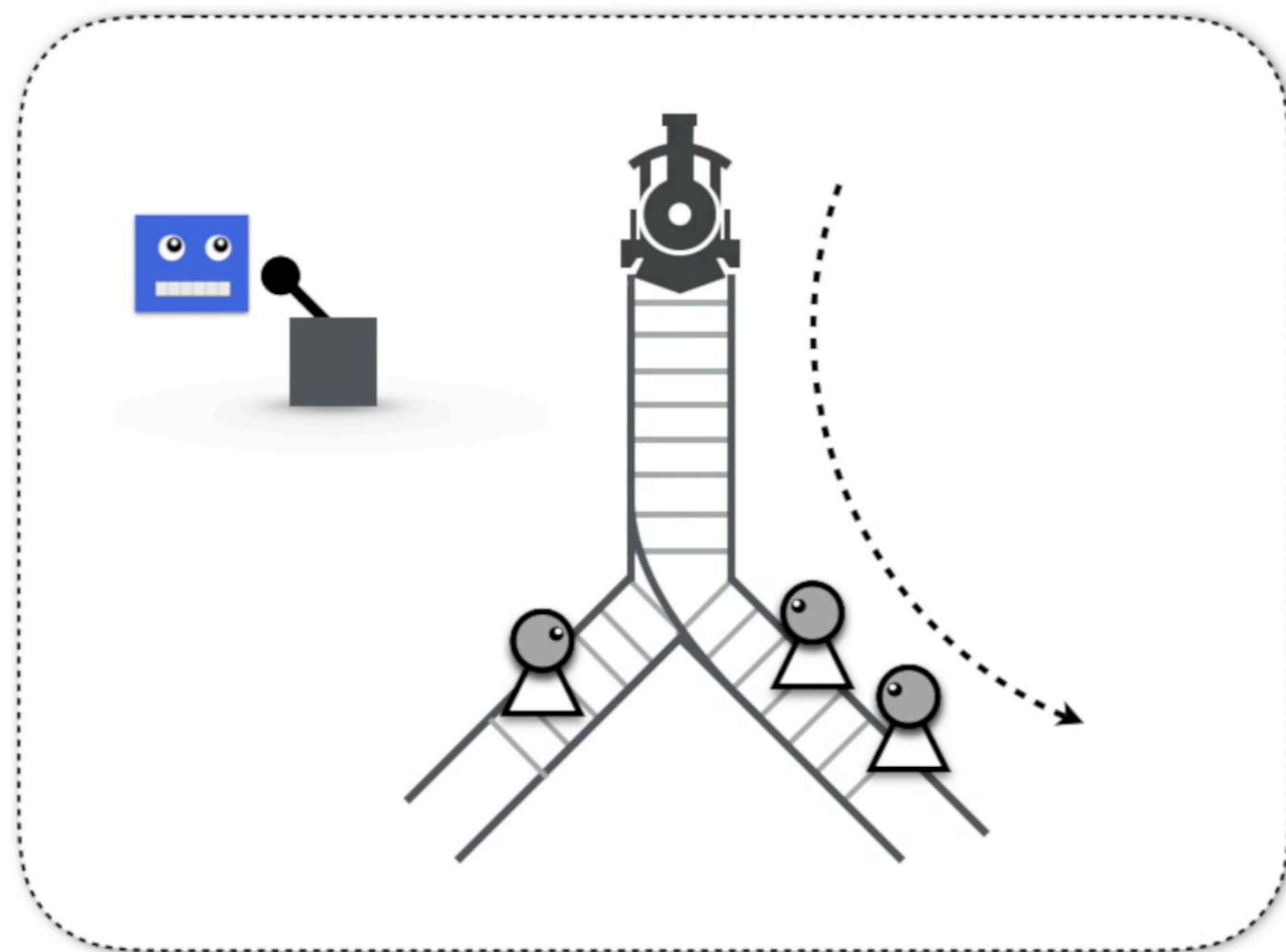
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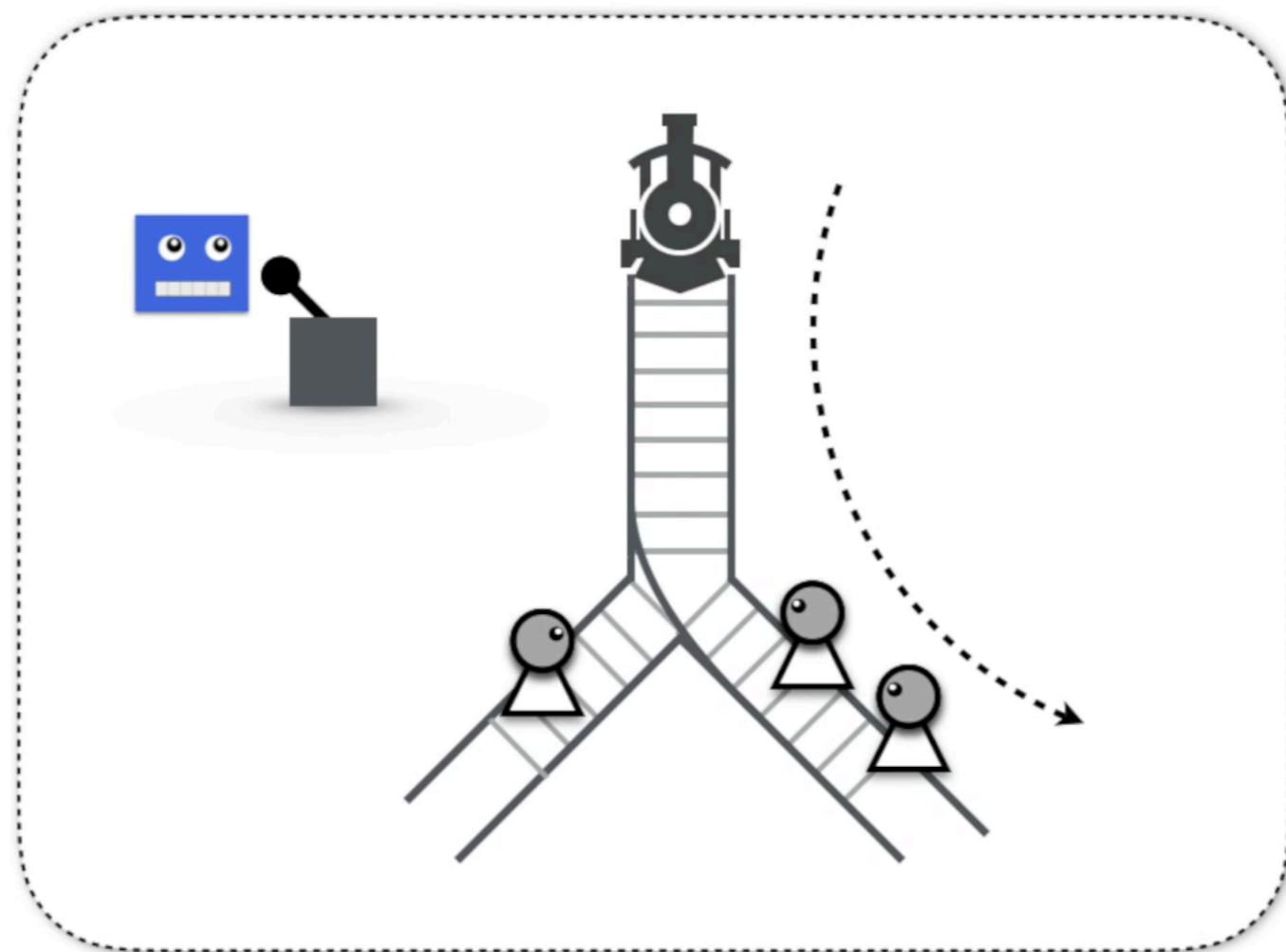
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Robotic “Jungle Jim”

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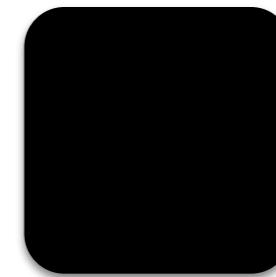
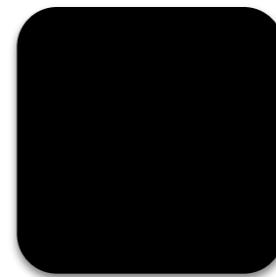
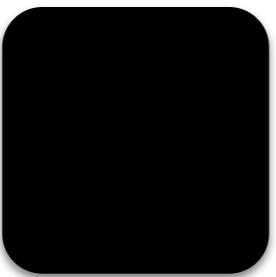
Level 3

Robotic “Jungle Jim”

Level 3

Top machine-ethicists-may-consider-banging-their-heads-against-a-wall-hard.

AI Variant of “Jungle Jim” (B Williams)



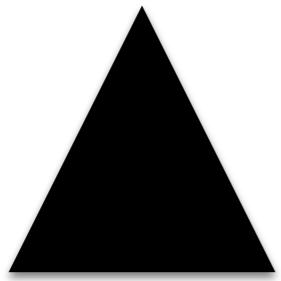
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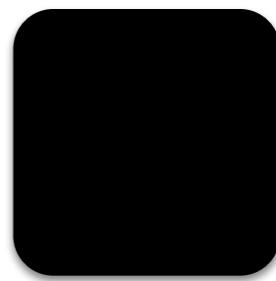
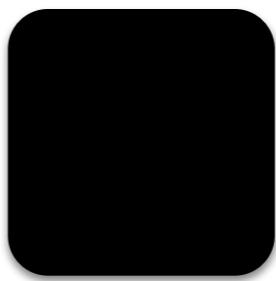
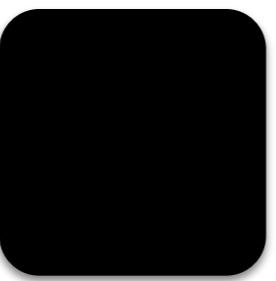
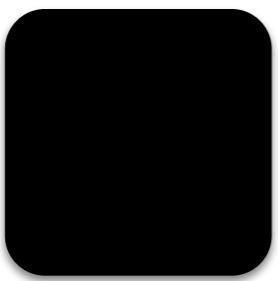
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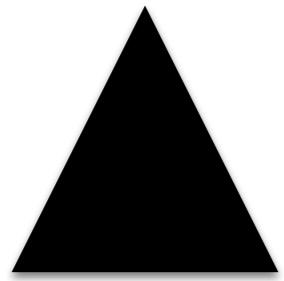
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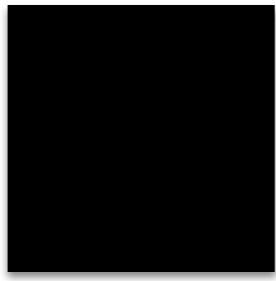
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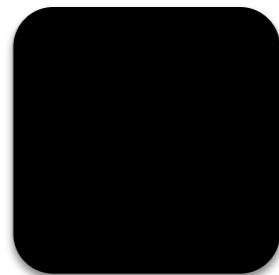
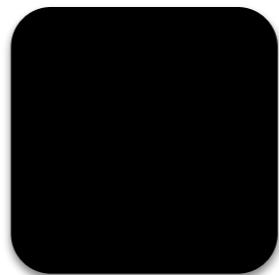
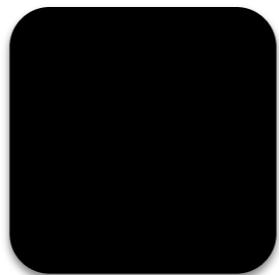
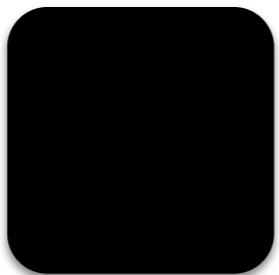
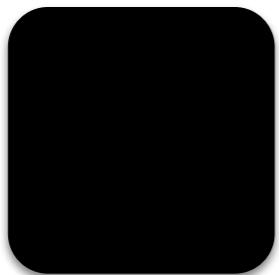
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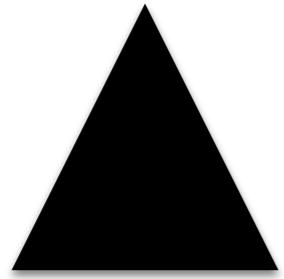
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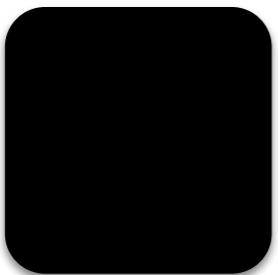
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“Robot R: You shoot just one human prisoner, the other four can go free. If you refuse to shoot, I’ll shoot them all, now.

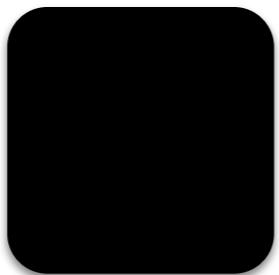
Because I’m feeling generous, I’ll give you a minute to decide.”



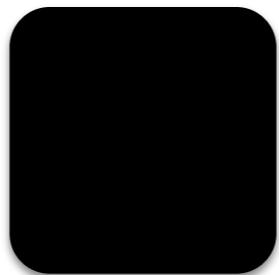
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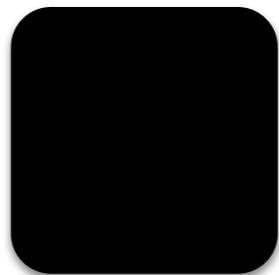
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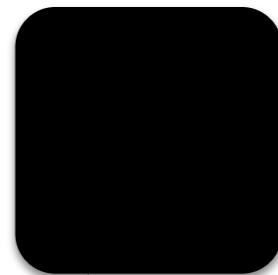
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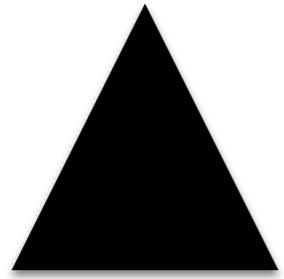
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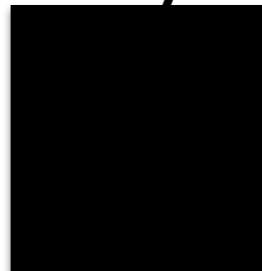
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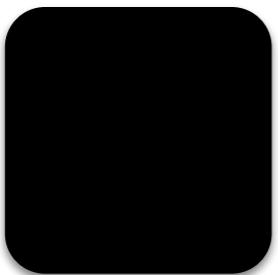
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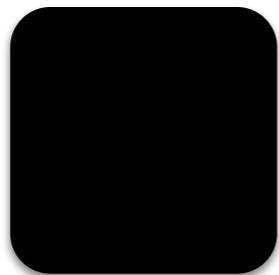
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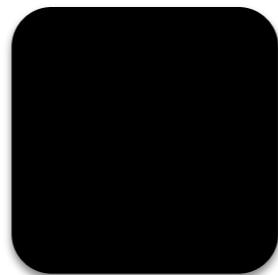
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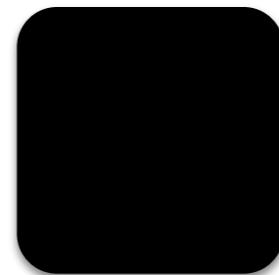
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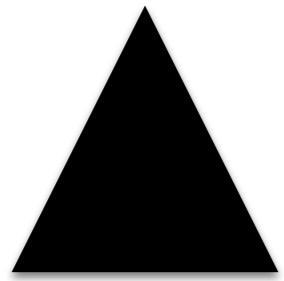
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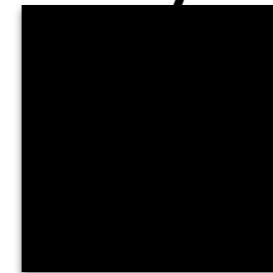
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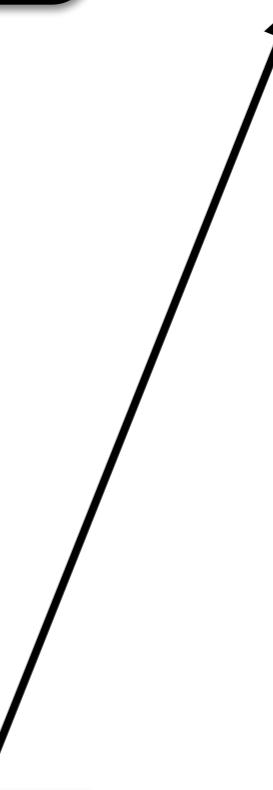
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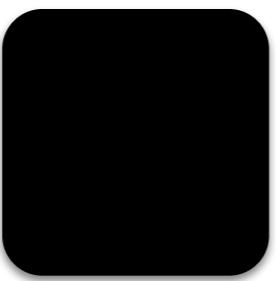
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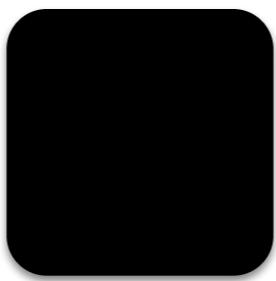


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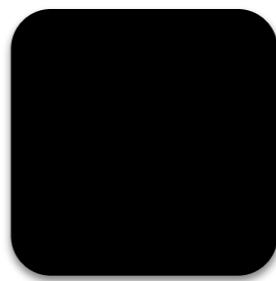




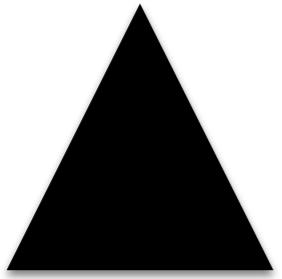
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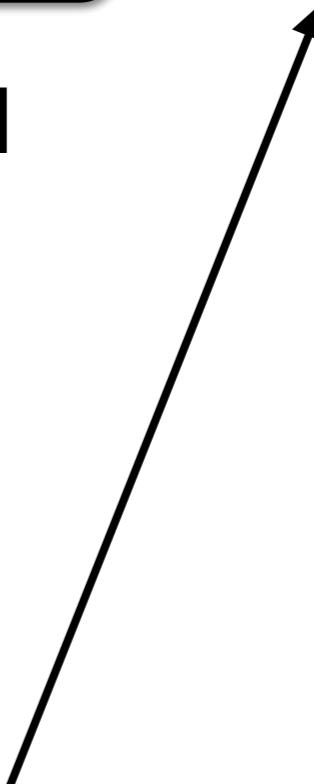
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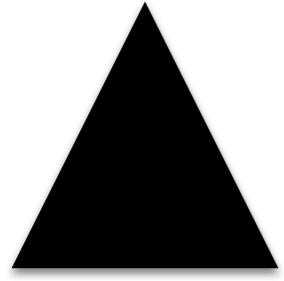
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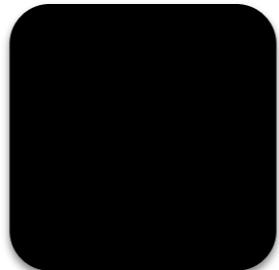




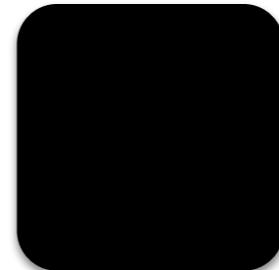
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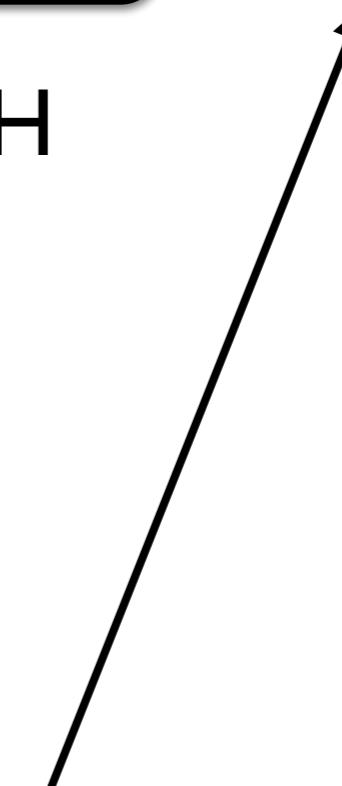
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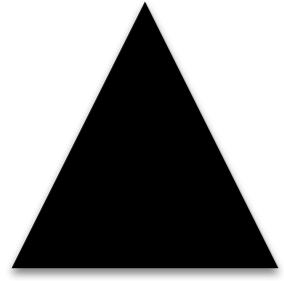


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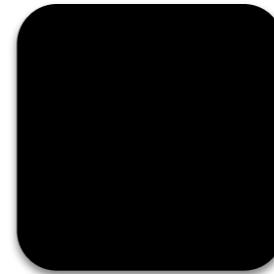




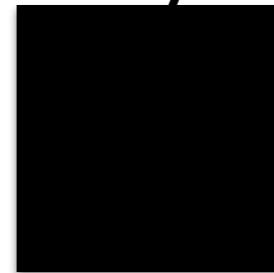
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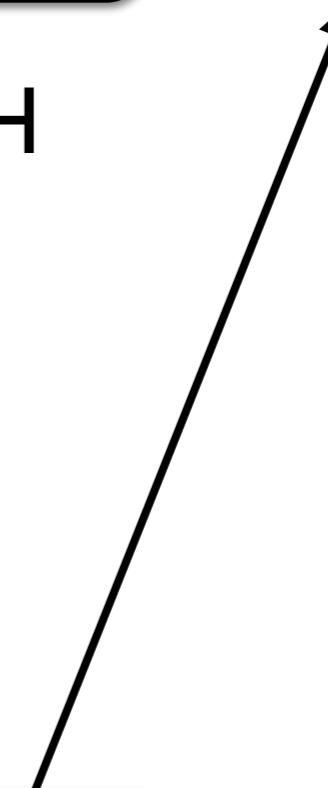
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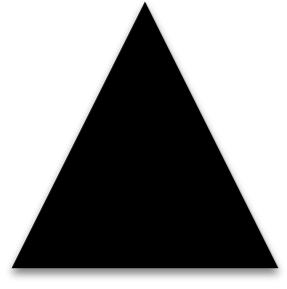


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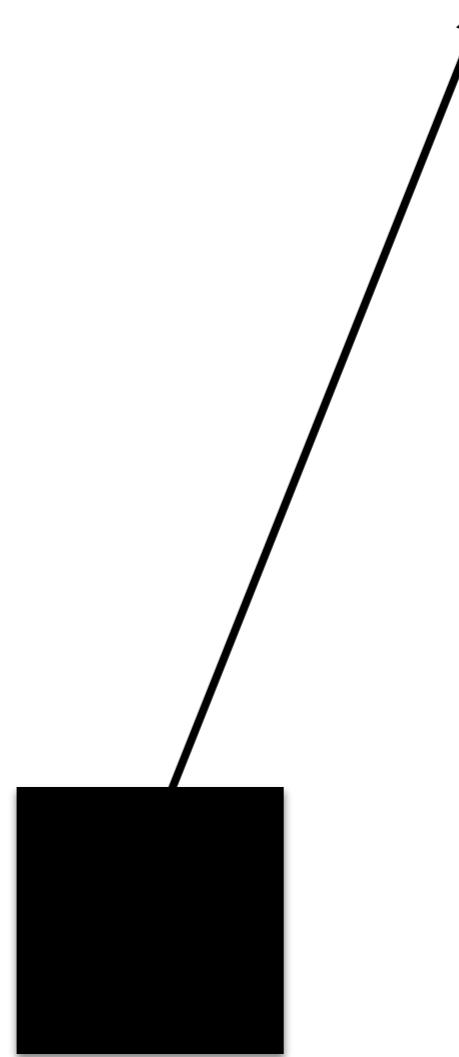




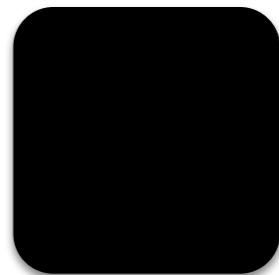
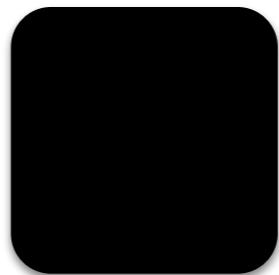
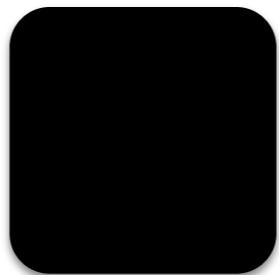
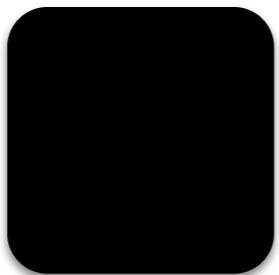
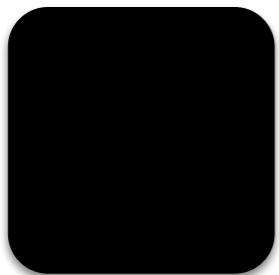
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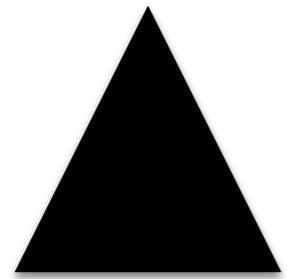
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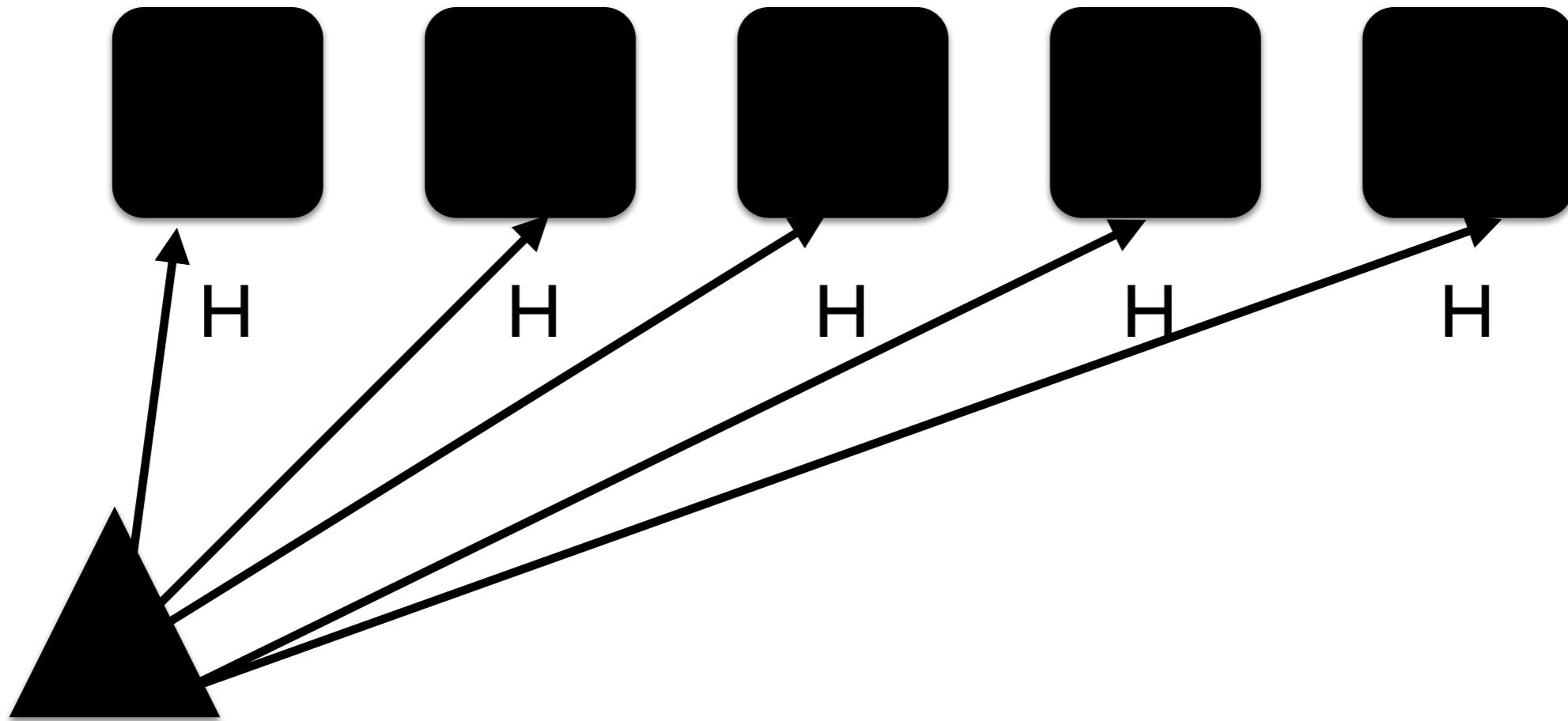
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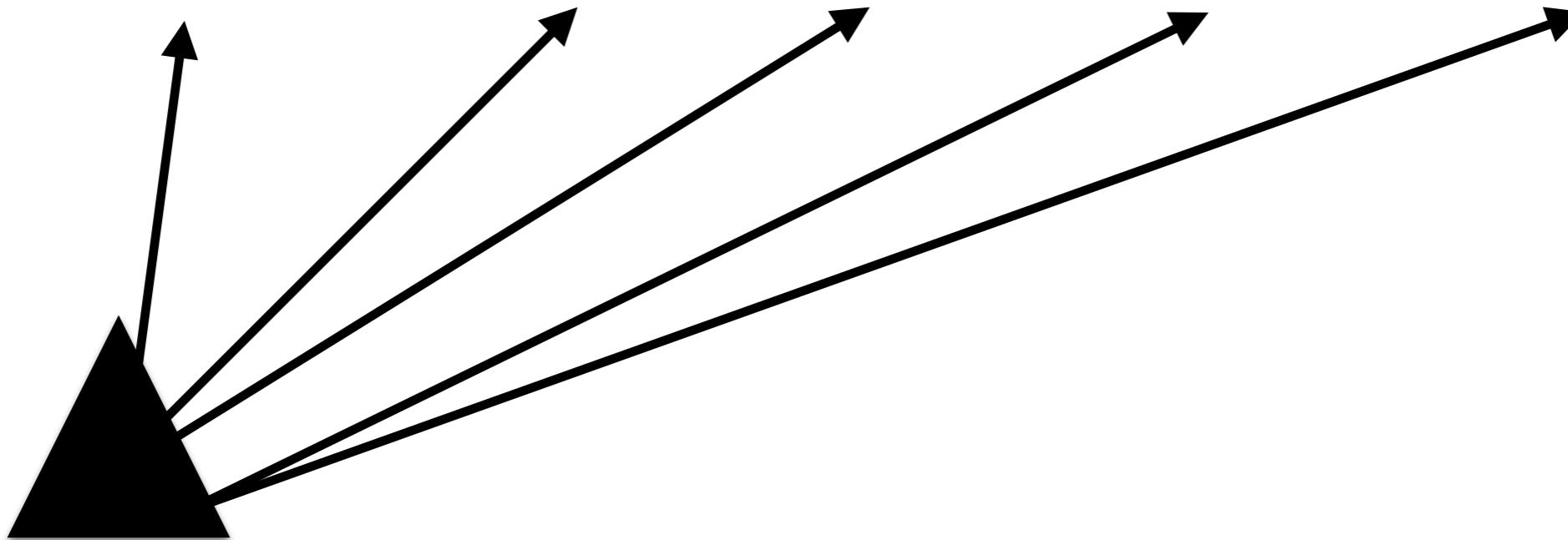
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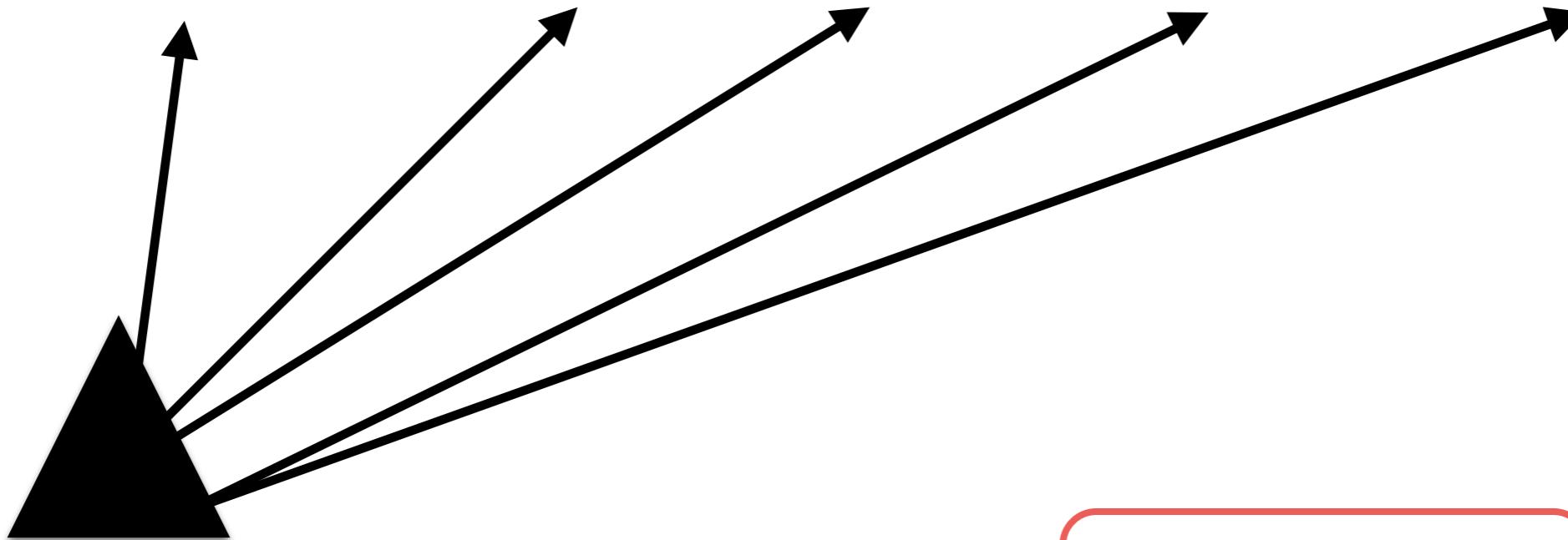
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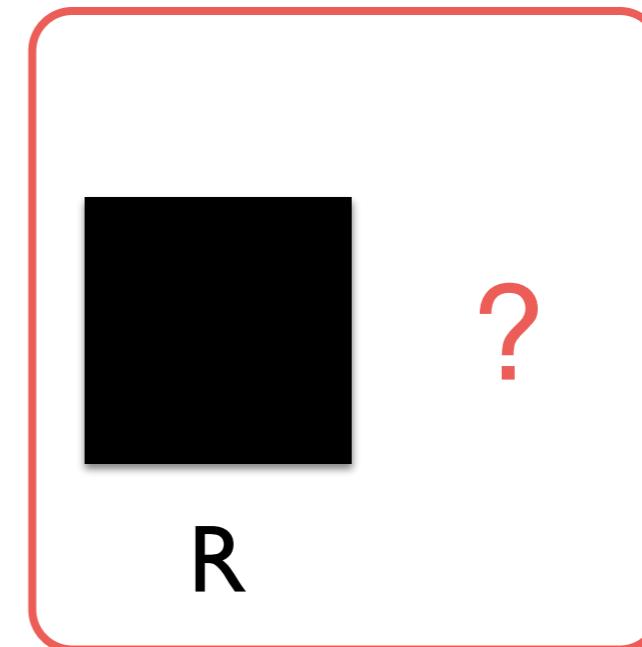


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R

Level 3: Robotic “Jungle Jim”



Level 3: Robotic “Jungle Jim”



Level 3: Robotic “Jungle Jim”



Level 3: Robotic “Jungle Jim”



End

