Predicate Logic

Week 2

The most certain principle in semantics

"For two sentences A and B, if in some possible situation A is true and B is false, A and B must have different meanings."

(M. Cresswell, 1975)

 Knowing the meaning of a (declarative) sentence requires knowing what the world would have to be like for the sentence to be true:

Meaning = Truth Conditions

Applied to logical representations:

For sentence A and formula α : If there is a possible situation in which A is true and α is not, or vice versa, then α is **not** an appropriate meaning representation for A.

A central notion: Entailment

- "Tina is tall and thin" ⇒ "Tina is tall"
- "Tina is tall, and Ms. Turner is not tall" \Rightarrow "Tina is not Ms. Turner"
- "a dog entered the room" ⇒ "an animal entered the room"

- **Entailment**: speakers intuitively judge S₂ to be true whenever S₁ is true
 - \circ S₁ entails S₂ (S₁ \Rightarrow S₂)

Truth-conditional formal semantics

 The meaning representation of a sentence must be true in exactly the same situations as the sentence itself



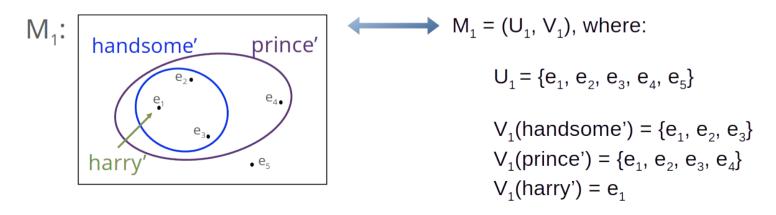
Model structures and formulas

- A model structure is a formal representation of a single possible situation
- A formula is a statement about model structures in a formal language
 - Formulas (formulae) obtain a **truth value** (true/false) with respect to model structure *M*.



Model structures: Definition

- Formally, a model structure M can be defined as a tuple M = (U, V), where:
 - U is a set of individual entities, called the universe (sometimes called domain D);
 - V is an interpretation function (sometimes denoted by I) that maps formula expressions onto (sets of) these entities.



Formulas: Logical languages

 A logical language is a mathematical device that defines under what conditions a model makes a formula true.

- Propositional logic: Propositions as basic atoms
 - Syntax: propositions (p, q,...), logical connectives $(\neg, \land, \lor, \rightarrow, \leftrightarrow)$
 - Semantics: truth tables truth conditions, entailment
 - Limitation: propositions with internal structure

p	9	p & q	$p \vee q$	$p \rightarrow q$	$p \leftrightarrow q$
T	T	T	T	T	T
T	F	F	T	F	F
F	T	F	T	T	F
F	F	F	F	T	T

Formulas: Logical languages

 A logical language is a mathematical device that defines under what conditions a model makes a formula true.

- First-order predicate logic (FOL): Predicates and arguments
 - Syntax: predicates, constants and variables (love(j, m), mortal(x), ...), quantifiers (\forall , \exists), logical connectives (\land , \lor , \neg , \rightarrow , \leftrightarrow)
 - Semantics: model structures and variable assignments
 - Limitations: none*

First-order predicate logic: Vocabulary

- Non-logical expressions:
 - Individual constants: CON
 - o *n*-place relation constants: $PRED^n$, for all $n \ge 0$

- Infinite set of individual variables: VAR
- Logical connectives: ∧ , ∨ , ¬, → , ↔ , ∀, ∃
- Brackets: (,)

 $harry' \in CON$ $prince' \in PRED^1$ $x, y, z, ... \in VAR$

First-order predicate logic: Syntax

- Terms: TERM = VAR ∪ CON
- Atomic formulas:
 - $\circ R(t_1, \ldots, t_n)$ for $R \in PRED^n$ and $t_1, \ldots, t_n \in TERM$
 - $\circ \quad t_1 = t_2 \qquad \qquad \text{for } t_1, t_2 \in TERM$
- Well-formed formulas (WFF):
 - All atomic formulas are WFFs
 - If φ and ψ are WFFs, then ¬φ, (φ ∧ ψ), (φ ∨ ψ), (φ → ψ), (φ ↔ ψ) are WFFs
 - If $x \in VAR$, and φ is a WFF, then $\forall x \varphi$ and $\exists x \varphi$ are WFFs
 - Nothing else is a WFF

Well-Formed FOL Formulas?

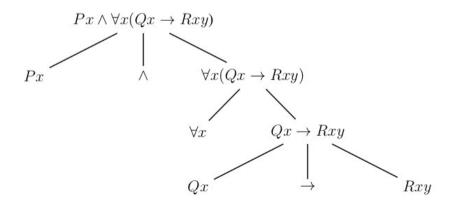
- prince
- prince(x)
- prince(harry ∧ william)
- ¬prince(harry)
- $rain \rightarrow happy(kate)$
- ∀x(rain)
- $\exists x(\forall x(happy(x)))$
- $\forall x(prince(x)) \rightarrow handsome(x)$

Well-Formed FOL Formulas?

- prince assuming prince ∈ PRED¹
- prince(x)
- prince(harry ∧ william)
 correct: prince(harry) ∧ prince(william)
- $\neg prince(harry)$ only if interpreted as: $\neg (prince(harry))$
- $rain \rightarrow happy(kate)$ only if: $rain \in PRED^{0}$ (~"it is raining")
- ∀x(rain)
- ∃x(∀x(happy(x)))
- $\forall x(prince(x)) \rightarrow handsome(x)$

Variable binding

- Given a quantified formula $\forall x \phi$ (or $\exists x \phi$), we say that ϕ (and every part of ϕ) is in the **scope** of the quantifier
- In a formula $\forall x \phi$ (or $\exists x \phi$), the quantifier **binds** all occurrences of x in ϕ that are not bound by any quantifier occurrence $\forall x$ or $\exists x$ inside ϕ
- If a variable is not bound in a formula φ , it occurs **free** in φ



FOL Formulas

- prince
- prince(x)
- prince(harry ∧ william)
- ¬prince(harry)
- rain → happy(kate)
- ∀x(rain)
- **∃X**(∀**X**(*happy*(**X**)))
- $\forall x(prince(x)) \rightarrow handsome(x)$

First-order predicate logic: Semantics

- FOL formulas obtain a truth value with respect to a model structure M and an assignment function $g: \llbracket \varphi \rrbracket^{M,g} \in \{0,1\}$ (OR $\{\bot, \top\}$)
- First-order model structures are formally defined as tuples $M = (U_M, V_M)$, where U_M is a non-empty set (the **universe**) and V_M is an **interpretation** function:
 - \circ $[c]^{M,g} = V_M(c) \in U_M$ if c is an individual constant

 $V1(harry') = e_1$

○ $[P]^{M,g} = V_M(P) \subseteq (U_M)^n$ if P is an *n*-place predicate symbol

O
$$M_1$$
: $PM_2 = V_M(P) \in M_1$
handsome prince'
 e_2
 e_4
harry'
 e_3

 $\begin{array}{ll} \textbf{0, 1} & \text{if P is an 0-place predicate} \\ \textbf{M1} = \langle \textbf{U}_1, \textbf{V}_1 \rangle, \text{ where:} & \textbf{[harry']}^{M1,g} = \textbf{V}_M(\text{harry'}) = \textbf{e}_1 \\ \textbf{U1} = \{\textbf{e}_1, \textbf{e}_2, \textbf{e}_3, \textbf{e}_4, \textbf{e}_5 \} & \textbf{[handsome']}^{M1,g} = \textbf{V}_M(\text{handsome'}) \\ \textbf{V1(prince')} = \{\textbf{e}_1, \textbf{e}_2, \textbf{e}_3, \textbf{e}_4 \} & \textbf{e}_4 = \{\textbf{e}_1, \textbf{e}_2, \textbf{e}_3 \} \end{array}$

Assignment function

- An assignment function g assigns values to all variables— $g: VAR \rightarrow U_M$
 - $\circ \quad [\![x]\!]^{M,g} = g(x) \in U_M \qquad \text{if x is a variable}$
 - Write g[x/d] for the assignment function g' that assigns $d \in U_M$ to x and assigns the same values as g to all other variables

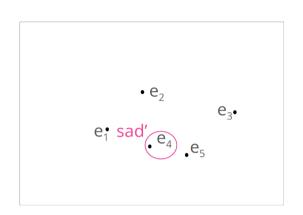
	X	у	Z	u	•••
g	e ₁	e ₂	e ₃	e ₄	
g[y/e₁]	e ₁	e ₁	e ₃	e ₄	•••
g[x/e₁]	e ₁	e ₂	e ₃	e ₄	
g[y/g(z)]	e ₁	e ₃	e ₃	e ₄	
g[y/e ₁][u/e ₁]	e ₁	e ₁	e ₃	e ₁	
g[y/e ₁][y/e ₂]	e ₁	e ₂	e ₃	e ₄	

Assignment function: Interpretation of quantifiers

How to interpret the following sentence in model M:

"someone is sad" \rightarrow $\exists x(sad'(x))$

- Intuition:
 - o find an entity in the universe for which the statement $x ∈ V_M(sad')$ holds: e_4
 - o replace x by e_4 in order to make $\exists x(sad'(x))$ true
- More formally:
 - Interpret the sentence relative to assignment function g: i.e., $[\exists x(sad'(x))]^{M,g}$, such that $g(x) = e_4$; this can be generalised to any g' as follows: $g'[x/e_4](x) = e_4$



Recap: Semantics of first-order predicate logic

- FOL formulas obtain a truth value with respect to a model structure M and an assignment function $g: \llbracket \phi \rrbracket^{M,g} \in \{0, 1\}$ (OR $\{\bot, \top\}$)
- First-order model structures are formally defined as tuples $M = (U_M, V_M)$, where U_M is a non-empty set (the universe) and V_M is an interpretation function:
 - \circ $[c]^{M,g} = V_M(c) \in U_M$ if c is an individual constant
 - $[P]^{M,g} = V_M(P) \subseteq (U_M)^n$ if P is an *n*-place predicate symbol
 - \circ $[P]^{M,g} = V_M(P) \in \{0, 1\}$ if P is an 0-place predicate
- The assignment function g assigns values to all variables— $g: VAR \rightarrow U_M$
 - $\circ \quad [x]^{M,g} = g(x) \in U_M \qquad \text{if x is a variable}$

First-order predicate logic: (more) semantics

•
$$\llbracket R(t_1, \ldots, t_n) \rrbracket^{M,g} = 1$$
 iff $(\llbracket t_1 \rrbracket^{M,g}, \ldots, \llbracket t_n \rrbracket^{M,g}) \in V_M(R)$
• $\llbracket t_1 = t_2 \rrbracket^{M,g} = 1$ iff $\llbracket t_1 \rrbracket^{M,g} = \llbracket t_2 \rrbracket^{M,g}$
• $\llbracket \phi \rrbracket^{M,g} = 1$ iff $\llbracket \phi \rrbracket^{M,g} = 0$
• $\llbracket \phi \lor \psi \rrbracket^{M,g} = 1$ iff $\llbracket \phi \rrbracket^{M,g} = 1$ and $\llbracket \psi \rrbracket^{M,g} = 1$
• $\llbracket \phi \lor \psi \rrbracket^{M,g} = 1$ iff $\llbracket \phi \rrbracket^{M,g} = 1$ or $\llbracket \psi \rrbracket^{M,g} = 1$
• $\llbracket \phi \to \psi \rrbracket^{M,g} = 1$ iff $\llbracket \phi \rrbracket^{M,g} = 0$ or $\llbracket \psi \rrbracket^{M,g} = 1$
• $\llbracket \phi \to \psi \rrbracket^{M,g} = 1$ iff $\llbracket \phi \rrbracket^{M,g} = \llbracket \psi \rrbracket^{M,g}$
• $\llbracket \exists x \phi \rrbracket^{M,g} = 1$ iff there is a $d \in U_M$ such that $\llbracket \phi \rrbracket^{M,g[x/d]} = 1$
• $\llbracket \forall x \phi \rrbracket^{M,g} = 1$ iff for all $d \in U_M$, $\llbracket \phi \rrbracket^{M,g[x/d]} = 1$

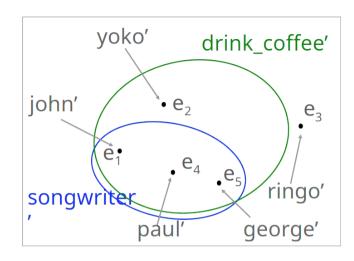
First-order predicate logic: (more) semantics

Interpretation of formulas

- "every songwriter drinks coffee"
 - $\mapsto \forall x (songwriter(x) \rightarrow drink-coffee(x))$
- Truth conditions w.r.t. M_1 :

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[\![ \forall x (songwriter(x) \rightarrow drink-coffee(x)) ]\!]^{M_1,g} = 1 \text{ iff for all } e \in U_1:
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- $[songwriter(x) \rightarrow drink_coffee(x)]^{M_1,g[x/e]} = 1$
- $[songwriter(x)]^{M_1,g[x/e]} = 0 \text{ or }$ $[drink-coffee(x)]^{M_1,g[x/e]} = 1$
- $g[x/e](x) \notin V_1(songwriter)$ or $g[x/e](x) \in V_1(drink-coffee)$
- $e \notin V_1(songwriter)$ or $e \in V_1(drink-coffee)$



 $M_1 = \langle U_1, V_1 \rangle$, where:

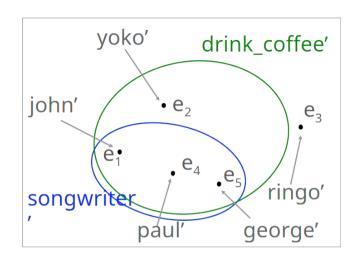
- $U_1 = \{e_1, e_2, e_3, e_4, e_5\}$
- V₁(john') = e₁; V₁(yoko') = e₂;
 V₁(ringo') = e₃; V₁(paul') = e₄;
 V₁(george') = e₅
- V₁(song-writer') = {e₁, e₄, e₅}
 V₁(drink_coffee') = {e₁, e₂, e₄, e₅}

Interpretation of formulas

- "every songwriter drinks coffee"
 - $\mapsto \forall x (songwriter(x) \rightarrow drink-coffee(x))$
- Truth value in M_1 :

let $\varphi = songwriter(x) \rightarrow drink-coffee(x)$

- For $e \in \{e_1, e_2, e_4, e_5\}$: $\llbracket \phi \rrbracket^{M_1, g[x/e]} = 1$ since $e \in V_1(drink\text{-}coffee)$
- For $e = e_3$: $\llbracket \varphi \rrbracket^{M_1, g[x/e]} = 1$ since $e \notin V_1(songwriter)$



 $M_1 = \langle U_1, V_1 \rangle$, where:

- $U_1 = \{e_1, e_2, e_3, e_4, e_5\}$
- V₁(john') = e₁; V₁(yoko') = e₂;
 V₁(ringo') = e₃; V₁(paul') = e₄;
 V₁(george') = e₅
- $V_1(\text{song-writer'}) = \{e_1, e_4, e_5\}$ $V_1(\text{drink_coffee'}) = \{e_1, e_2, e_4, e_5\}$

Exercise: Formalizing Natural Language

- 1. Bill loves Mary.
- 2. Bill reads an interesting book.
- 3. Every student reads a book.
- 4. Bill passed every exam.
- 5. Not every student answered every question.
- 6. Only Mary answered every question.
- 7. Mary is annoyed when someone is noisy.
- 8. Although nobody makes noise, Mary is annoyed.

Truth, validity, and entailment

- A formula φ is **true** in a model M iff:
 - \circ $\llbracket \varphi \rrbracket^{M,g} = 1$ for every variable assignment g
- A formula φ is **valid** ($\models \varphi$) iff:
 - \circ ϕ is true in all models
- A formula φ is satisfiable iff:
 - there is at least one model M such that φ is true in M
- A set of formulas Γ entails formula φ ($\Gamma \models \varphi$) iff:
 - \circ ϕ is true in every model in which all formulas in Γ are true
 - \circ the elements of Γ are called the **premises** or **hypotheses**
 - lacktriangledown ϕ is called the **conclusion**

Logical Equivalence

- Formula φ is **logically equivalent** to formula ψ ($\varphi \Leftrightarrow \psi$), iff:
 - \circ $\llbracket \phi \rrbracket^{M,g} = \llbracket \psi \rrbracket^{M,g}$ for all models M and variable assignments g

- For all *closed* formulas φ and ψ , the following assertions are *equivalent*:
 - $\circ \quad \phi \Leftrightarrow \psi$ (logical equivalence)
 - $\circ \quad \phi \models \psi \text{ and } \psi \models \phi \qquad \qquad \text{(mutual entailment)}$
 - $\circ \models \phi \leftrightarrow \psi$ (validity of "material equivalence")

Logical Equivalence Theorems: Propositional Logic

```
\Leftrightarrow \phi (double negation)
1. ¬¬φ
                  \overset{\Leftrightarrow}{} \overset{\psi}{} \overset{\wedge}{} \overset{\varphi}{} \text{(commutativity)}
2. φ Λ Ψ
3. φ ν ψ
4. \phi \wedge (\psi \vee \chi) \Leftrightarrow (\phi \wedge \psi) \vee (\phi \wedge \chi) (distributivity)
5. \phi \lor (\psi \land \chi) \Leftrightarrow (\phi \lor \psi) \land (\phi \lor \chi)
                       \Leftrightarrow \neg \phi \lor \neg \psi (de Morgan's Laws)
6. \neg(\phi \land \psi)
                       \Leftrightarrow \neg \phi \land \neg \psi
7. \neg(\phi \lor \psi)
8. \phi \rightarrow \neg \psi \Leftrightarrow \psi \rightarrow \neg \phi (Law of Contraposition)
                  \Leftrightarrow \neg \phi \lor \psi
9. \phi \rightarrow \psi
10. \neg (\phi \rightarrow \psi)
                        \psi \wedge \psi
```

Logical Equivalence Theorems: Quantifiers

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1. \neg \forall x \phi \Leftrightarrow \exists x \neg \phi
                                                 (quantifier negation)
2. \neg \exists x \phi \Leftrightarrow \forall x \neg \phi
3. AX(0 \lor A) \Leftrightarrow AX0 \lor AXA
                                                                           (quantifier distribution)
4. \exists x(\phi \lor \Psi) \Leftrightarrow \exists x\phi \lor \exists x\Psi
5. \forall x \forall y \phi \Leftrightarrow \forall y \forall x \phi
                                                          (quantifier swap)
6. \exists x \exists y \phi \Leftrightarrow \exists y \exists x \phi
        \exists x \forall y \phi \Rightarrow \forall y \exists x \phi (but not vice-versa)
```

Logical Equivalence Theorems: Quantifiers and Variables

The following equivalences are valid theorems of FOL, *provided that x does not occur free in* φ :

 $(\phi[x/y])$ is the result of replacing all free occurrences of y in ϕ with x)

1.
$$\exists y \phi \Leftrightarrow \exists x \phi[x/y]$$
 6. $\phi \lor \exists x \Psi \Leftrightarrow \exists x (\phi \lor \Psi)$

2.
$$\forall y \phi \Leftrightarrow \forall x \phi[x/y]$$
 7. $\phi \to \forall x \Psi \Leftrightarrow \forall x (\phi \to \Psi)$

3.
$$\phi \land \forall x \Psi \Leftrightarrow \forall x (\phi \land \Psi)$$
 8. $\phi \rightarrow \exists x \Psi \Leftrightarrow \exists x (\phi \rightarrow \Psi)$

4.
$$\phi \land \exists x \Psi \Leftrightarrow \exists x (\phi \land \Psi)$$
 9. $\exists x \Psi \rightarrow \phi \Leftrightarrow \forall x (\Psi \rightarrow \phi)$

5.
$$\phi \lor \forall x \Psi \Leftrightarrow \forall x (\phi \lor \Psi)$$
 10. $\forall x \Psi \to \phi \Leftrightarrow \exists x (\Psi \to \phi)$

Equivalence Transformations: Example

- 1. "nobody masters every problem"
- $\mapsto \neg \exists x \forall y (Py \rightarrow Rxy)$
- 2. "everybody fails to master some problem"
- $\mapsto \forall x \exists y (Py \land \neg Rxy)$

• We show the equivalence of 1. and 2. as follows:

$$\neg\exists x \forall y (Py \rightarrow Rxy)$$

- $\Leftrightarrow \forall X \neg \forall y (Py \rightarrow RXy) \qquad (\neg \exists X \phi \Leftrightarrow \forall X \neg \phi)$
- $\Leftrightarrow \forall X \exists y \neg (Py \rightarrow RXy) \qquad (\neg \forall X \phi \Leftrightarrow \exists X \neg \phi)$
- $\Leftrightarrow \forall X \exists y (Py \land \neg RXy) \qquad (\neg (\phi \rightarrow \psi) \Leftrightarrow \phi \land \neg \psi)$