Haoqiang (Murray) Kang

Email: haoqik88@gmail.com | Website: mk322.github.io

Education Background

Northwestern University, Evanston, IL

09/2024 - 06/2029 (expected)

Ph.D. in Computer Science

University of Washington, Seattle, WA

09/2019 - 06/2023

B.S. in Computer Science

Major GPA: 3.86/4.0 GPA in the recent 3 years: 3.92/4.0

Advisor: Prof. Luke Zettlemoyer

Research Interests: Natural Language Processing (NLP), Multilingual NLP, Large Language Models (LLMs), Hallucination, Factuality, Trustworthiness of ML Foundation Models.

Research Papers

- [1] <u>Haoqiang Kang*</u>, Terra Blevins*, and Luke Zettlemoyer. *Translate to Disambiguate: Zero-shot Multilingual Word Sense Disambiguation with Pretrained Language Models*. **EACL Main Conference 2024 (Oral Presentation)**.
- [2] <u>Haoqiang Kang</u> and Xiao-Yang Liu. *Deficiency of large language models in finance: An empirical examination of hallucination*. **NeurIPS Workshop on I Can't Believe It's Not Better (ICBINB) 2023**.
- [3] <u>Haoqiang Kang</u>, Terra Blevins, and Luke Zettlemoyer. *Empirical analysis of factuality hallucination detection in multilingual generation by large language models*. **Under Review at ACL 2024**.
- [4] <u>Haoqiang Kang</u>, Juntong Ni, and Huaxiu Yao. *EVER*: *Mitigating Hallucination in Large Language Models through Real-Time Verification and Rectification*. **Under Review at ACL 2024**.
- [5] Reliable and Responsible Foundation Models: A Survey. (Wrote the whole LLM hallucination part)
- [6] Qianqian Xie, Weiguang Han, Xiao Zhang, ..., <u>Haoqiang Kang</u>, ... *The FinBen: An Holistic Financial Benchmark for Large Language Models.* **Under Review at ACL 2024**.

Research Experience

Research Member Linux Foundation

Dec 2023 - June 2024

Research Assistant Columbia University

June 2023 - June 2024

Research Intern UNC at Chapel Hill

June 2023 - Feb 2024

Research Assistant University of Washington

March 2022 - June 2023

NLP Research Projects

Benchmark of the Deficiency of Large Language Models in Finance

12/2023 - Present

Collaborated with FinTech Open-Source (FinOS) at Linux Foundation

Position: Research Intern Advisor: Dr. Xiao-Yang Liu, Columbia University and Linux Foundation

Purpose: To benchmark the deficiencies of current LLMs' performance in real-world finance tasks.

Proposed Framework:

- 1. Constructed a financial mathematical reasoning dataset from the CFA, ACCA, and CPA exams.
- 2. Created a dataset of long-context processing for the financial documents.
- 3. Developed a dataset to evaluate the LLMs' ability to handle different modalities of information.
- 4. Built a dataset to measure the coding performance of LLM on real-world financial scenarios.

Next Step: To evaluate the performance of current LLMs on the proposed benchmark.

Mitigating LLM Hallucination by Real-Time Verification and Rectification 08/2023 - 11/2023

Position: Research Intern Advisor: Dr. Huaxiu Yao, UNC at Chapel Hill

Purpose: To address the "error propagation (snowballing) issue" of hallucination in LLMs.

Proposed Framework:

- 1. Extracted key entities in a sentence and then constructed atomic facts for each entity.
- 2. Designed and instituted a comprehensive evidence-retrieval system, followed by a classification process to identify and address both intrinsic and extrinsic hallucinations.
- 3. Revised "intrinsic hallucinations" using retrieved evidence, and appended user warnings to outputs identified as "extrinsic hallucinations".

Achievement: Outperformed the existing state-of-the-art (SOTA) mitigation strategies in both non-retrieval and retrieval scenarios across short-form QA, long-form QA, and reasoning tasks.

Hallucinations of Large Language Models in the Finance Domain

08/2023 - 11/2023

Position: Research Assistant Advisor: Dr. Xiao-Yang Liu, Columbia University

Purpose: To investigate severity of hallucination of LLMs in the finance domain.

Workload:

- 1. Investigated LLM model's ability of explaining financial concepts and terminologies.
- 2. Evaluated LLM models' capacity of querying historical stock prices
- 3. Assessed the efficacy of four practical mitigation methods, including few-shot learning, Decoding by Contrasting Layers (DoLa), the Retrieval Augmentation Generation (RAG) and the prompt-based tool learning method for a function to generate a query command.
- 4. Found that off-the-shelf LLMs experience serious hallucination behaviors in financial tasks.

Achievement: The first work on hallucinations within the finance domain, and drew comparative insights between different mitigation techniques for more reliable LLMs in finance tasks.

Multilingual Hallucinations in Large Language Models

05/2023 - 02/2024

Position: Research Assistant Advisor: Prof. Luke Zettlemoyer, University of Washington **Purpose:** To investigate the phenomenon of factual hallucination in large multilingual language models, with a particular focus on the BLOOMZ and BLOOM model, through an analysis of its pretraining ROOTS corpus.

Workload:

 Established diverse baselines such as ROUGE and Named Entity Overlap to identify multilingual hallucinations in datasets containing human biographies from Wikipedia across 20 languages.

- 2. Performed a detailed comparative analysis of each human name in the pretraining ROOTS corpus against the factuality of its generated content.
- 3. Introduced an innovative cross-lingual knowledge technique to enhance the generation quality in languages with limited resources by knowledge retrieval in high-resource languages.

Next Step: To do human evaluation on the accuracy of NLI-based metrics.

Multilingual Word Sense Disambiguation by Pretrained Language Models 09/2022 - 04/2023

Position: Research Assistant Advisor: Prof. Luke Zettlemoyer, University of Washington

Purpose: To analyze the performance of different language models on their ability to translate words based on a specific in-context usage of the source word in the zero-shot setting.

Workload:

- 1. Extended the task of word-level translation (WLT) to Contextual Word-Level Translation (C-WLT) and demonstrated that integrating contexts into WLT enhanced the performance of the model.
- 2. Proposed and demonstrated a zero-shot technique for multilingual Word Sense Disambiguation (WSD) that uses C-WLT as a component, showing its effectiveness in 18 languages, including those with scarce resources or not included in the PLM's pretraining.

Achievement: A first step towards applying the translation skill to the downstream task of WSD by leveraging the cross-lingual knowledge inside the PLM.

NLP Course Projects

Pretraining of LLaMA Language Model from Scratch with multiple GPUs 03/2023 - 06/2023

Course Name: CSE 593 Advanced ML Instructor: Prof. Ludwig Schmidt, University of Washington

Purpose: To develop a multi-GPUs supported pre-training system from scratch using the LLaMA codebase by using the subset of the Pile dataset.

Workload:

- 1. Established a training pipeline utilizing the Fully Sharded Data Parallelism strategy on Fabric and optimized hyperparameter selection.
- 2. Performed ablation studies comparing two optimizers, AdamW and Sophia, and evaluated the effects of varying the number of training examples.

Achievement: Successfully trained a small-sized LLaMA model on the Pile dataset, enabling the generation of fluent English sentences.

Investigation on the Factors of In-Context Learning

09/2022 - 12/2022

Course Name: CSE 599 G1 Deep Learning Instructor: Dr. Joseph Redmon, University of Washington **Purpose:** To reproduce and validate the statement that input-label mapping, label space, prompt format, and distribution of input text affect the performance differently of the language models in in-context learning, following the same methodology as in the paper (Min et al., 2022).

Workload:

- 1. Deployed pretrained language models such as GPT-3, GPT-2, and GPT-J for various text classification tasks using a few-shot in-context learning approach.
- 2. Analyzed the effects of input-label mapping, the distribution of input text, and label space on in-context learning performance.
- 3. Conducted experiments with irrelevant and misleading prompt formats during a text classification task to study their impact.

Achievement: Successfully replicated and validated the findings of the original paper, while discovering unexpected results concerning the role of prompt formats in in-context learning.

Replication and Evaluation of the SelfExplain Text Classifier

12/2021 - 03/2022

Course Name: CSE 517 Natural Language Processing Advisor: Prof. Noah Smith, University of Washington **Purpose:** To reproduce the SelfExplain model (<u>Rajagopal et al.</u>) and test its interpretability and stability.

Workload:

- 1. Detailed the architecture of the SelfExplain model, highlighting how it integrates locally interpretable, globally interpretable, and linear layers for prediction.
- 2. Replicated the study's experiments and outcomes using alternative transformers XLNet and RoBERTa, varied hyperparameters, and the SST-5, TREC-6, SUBJ, and CoLA classification datasets from Stanford NLP and DeepAI platforms.
- 3. Assessed whether the explanations provided by the two interpretable layers met sufficiency, plausibility, and trustability standards.

Achievement: Effectively reproduced the original paper's results and verified its claims through supplementary experiments utilizing new datasets, hyperparameters, and transformer selections.

Other Research Projects

Skin Bias in Oxygen Saturation Measurements

03/2022 - 09/2023

Position: Research Assistant Advisor: Prof. Shwetak N. Patel at Ubicomp Lab

Purpose: To reduce pulse oximeter inaccuracies for patients with darker skin tones.

Workload:

- 1. Engineered a skin tone sensor comprising a transmittance sensor, an RGB sensor, a LED reflectance, and a flash, enabling the precise measurement of user skin tones.
- 2. Constructed a machine learning algorithm pipeline that enhances the traditional ratio of ratio models, designed to calibrate raw SpO2 readings from our conventional Red/IR pulse oximeter, thereby accounting for the variability of skin tones.
- 3. Launched IRB-approved clinical study to collect patient health data to support device development.

Achievement: Prototyped a medical device that addresses racial bias in pulse oximetry and awarded \$7000+ in funding in the UW Hollomon Health Innovation Challenge, as well as an additional prize for Best Idea for Addressing Health Disparities.

Under-ice Ocean Currents Reconstruction with Deep Learning

03/2022 - 09/2022

Position: Research Assistant Advisor: Dr. Georgy Manucharyan at Ocean Dynamics Group

Purpose: To reconstruct under-ice ocean velocity vectors using machine learning **Workload:**

- 1. Processed and converted NASIDC datasets of ice concentration, sea ice velocity, ocean velocity, and atmospheric wind velocity from 1978 to 2021 into Ease Grid 1.0 format.
- 2. Employed linear regression, support vector machine, and multi-layer perceptron as base models to predict deep ocean activity using interpolated datasets.
- 3. Developed and implemented a hybrid model combining Long Short-Term Memory (LSTM) and Convolutional Neural Network (CNN) for regression tasks.

Achievement: Constructed an effective model capable of predicting under-ice ocean velocity vectors.

Exploration of Parameter Dependence in Manifold Learning Algorithms 06/2022 - 09/2022

Position: Research Assistant Advisor: Prof. Marina Meila, University of Washington

Purpose: To investigate the influence of parameters on manifold learning algorithms.

Workload:

1. Developed variable density datasets of Swiss rolls and tori in 3D, derived from the transformation of a 2D rectangle.

- 2. Analyzed the effect of parameters the ratio of the number of neighbors to the number of points and the radius of neighbors on 2D and 3D disks using the T-SNE algorithm.
- 3. Examined the Erdos-Renyi graph and k-regular graph in relation to parameters such as the radius of neighbors and the number of points.

Achievement: Produced visual representations of the varying effects of different parameters in manifold learning algorithms. Repository: https://github.com/mk322/manifold-learning-examples

Debiasing Deep Learning Recommender Systems

07/2021 - 10/2021

Advisor: Prof. David Woodruff, School of Computer Science, Carnegie Mellon University **Purpose:** To mitigate bias in deep learning recommender systems.

Workload:

- 1. Explored various traditional statistical learning methods in recommender systems, such as Collaborative Filtering (CF), Matrix Factorization (MF), Factorization Machine (FM), Field-aware Factorization Machine (FFM), and Gradient Boosting Decision Tree (GBDT).
- 2. Evaluated the performance of AutoRec and NeuralCF neural models in the recommender system using the MovieLens dataset, which contains over 1 million data points.
- 3. Introduced and implemented the Inverse Propensity Score (IPS) and doubly robust algorithms to address bias in recommender systems.

Achievement: Successfully reduced the mean squared errors (MSE) of both AutoRec and NeuralCF models by 8% when employing the aforementioned debiasing methods on a real-world dataset.

Facilitating Conference Paper Reviews through an Interactive UI

03/2022 - 06/2022

Position: Research Assistant Advisor: Prof. Marina Meila

Purpose: To develop an interactive user interface to enhance the review process of conference papers. **Workload:**

- 1. Developed an interactive UI for sorting and organizing paper reviews.
- 2. Implemented visual and filtering tools for efficient review management.

Achievement: Released a PyPi package for a comprehensive paper review system.

Skills & Others

- Reviewer, ACL Student Research Workshop 2023
- Programming Skills: Python (proficient), Java, R, and SQL
- Dean's List: Spring 2023, Winter 2023, Autumn 2022, Spring 2022, Winter 2022, Autumn 2021, Summer 2021, Spring 2021, Winter 2021, Spring 2020
- Core Courses: Deep Learning, Natural Language Processing, Machine Learning, Data Structures and Parallelism, Database System, Linear Optimization, Mathematical Reasoning, Applied Linear Algebra and Numerical Analysis, Discrete Mathematical Modeling, Probability.